

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 785380981	Statement Date: 02/14/2021 Document ID: ZWPR 6W1 56R8	2020
	Sudheerreddy Gundala 713 SE 12 th Street Grimes, IA 50111 RECIPIENT'S TIN: XXX-XX-3584		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 2.03</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 6.35</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.30</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	135,367.16	166,939.95	0.00	13,425.35	-18,147.44
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	135,367.16	166,939.95	0.00	13,425.35	-18,147.44
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	135,367.16	166,939.95	0.00	13,425.35	-18,147.44

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	39.24
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$14.00 / CUSIP: / Symbol:							
10/02/20	1.000	208.98	09/30/20	174.00	...	34.98	Option sale to close-call ²⁵
AAL 01/21/2022 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	31.99	11/25/20	28.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	1.000	31.99	11/30/20	25.00	...	6.99	2 of 2 - Option sale to close-call ²⁵
12/02/20	2.000	63.98	Various	53.00	...	10.98	Total of 2 transactions
AAL 11/20/2020 CALL \$13.00 / CUSIP: / Symbol:							
08/11/20	5.000	1,774.95	07/23/20	1,330.00	...	444.95	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$170.00 / CUSIP: / Symbol:							
11/30/20	1.000	21.98	10/08/20	97.00	...	-75.02	Option sale to close-call ²⁵

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	464.98	07/15/20	540.00	...	-75.02	1 of 2 - Option sale to close-call ²⁵
	1.000	464.98	07/28/20	296.00	...	168.98	2 of 2 - Option sale to close-call ²⁵
07/31/20	2.000	929.96	Various	836.00	...	93.96	Total of 2 transactions
AAPL 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
10/12/20	1.000	74.98	08/31/20	204.00	129.02 W	0.00	Option sale to close-call ²⁵
4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	08/31/20	204.00	189.00 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	15.00	09/03/20	192.00	177.00 W	0.00	2 of 4 - Option sale to close-call ²⁵
	2.000	29.99	09/04/20	354.00	324.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	14.99	09/04/20	170.00	155.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
11/25/20	5.000	74.98	Various	920.00	845.02 W	0.00	Total of 4 transactions
11 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	18.99	10/02/20	57.00	...	-38.01	1 of 11 - Option sale to close-call ²⁵
	1.000	19.00	10/02/20	57.00	...	-38.00	2 of 11 - Option sale to close-call ²⁵
	2.000	37.99	10/06/20	116.00	...	-78.01	3 of 11 - Option sale to close-call ²⁵
	1.000	20.00	10/06/20	58.00	...	-38.00	4 of 11 - Option sale to close-call ²⁵
	2.000	39.99	11/10/20	350.01	...	-310.02	5 of 11 - Option sale to close-call ²⁵
	1.000	20.00	11/10/20	202.00	...	-182.00	6 of 11 - Option sale to close-call ²⁵
	1.000	20.00	11/10/20	190.00	...	-170.00	7 of 11 - Option sale to close-call ²⁵
	1.000	19.99	11/10/20	168.01	...	-148.02	8 of 11 - Option sale to close-call ²⁵
	1.000	20.00	11/10/20	163.00	...	-143.00	9 of 11 - Option sale to close-call ²⁵
	2.000	39.99	11/16/20	306.01	...	-266.02	10 of 11 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$250.00 / CUSIP: / Symbol: (cont'd)							
	1.000	20.00	11/18/20	154.00	...	-134.00	11 of 11 - Option sale to close-call ²⁵
11/30/20	14.000	275.95	Various	1,821.03	...	-1,545.08	Total of 11 transactions
	7 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	20.00	09/04/20	170.00	150.00 W	0.00	1 of 7 - Option sale to close-call ²⁵
	5.000	99.98	09/04/20	800.00	700.02 W	0.00	2 of 7 - Option sale to close-call ²⁵
	2.000	39.99	09/04/20	310.00	270.01 W	0.00	3 of 7 - Option sale to close-call ²⁵
	3.000	56.99	09/04/20	465.00	408.01 W	0.00	4 of 7 - Option sale to close-call ²⁵
	1.000	19.00	09/11/20	83.00	64.00 W	0.00	5 of 7 - Option sale to close-call ²⁵
	1.000	19.00	09/16/20	205.02	186.02 W	0.00	6 of 7 - Option sale to close-call ²⁵
	1.000	19.00	09/16/20	76.00	57.00 W	0.00	7 of 7 - Option sale to close-call ²⁵
11/30/20	14.000	273.96	Various	2,109.02	1,835.06 W	0.00	Total of 7 transactions
	6 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	3.000	65.99	11/24/20	447.01	...	-381.02	1 of 6 - Option sale to close-call ²⁵
	2.000	43.99	11/24/20	306.01	...	-262.02	2 of 6 - Option sale to close-call ²⁵
	2.000	43.99	11/24/20	296.01	...	-252.02	3 of 6 - Option sale to close-call ²⁵
	1.000	22.00	11/24/20	199.02	...	-177.02	4 of 6 - Option sale to close-call ²⁵
	1.000	22.00	11/24/20	77.00	...	-55.00	5 of 6 - Option sale to close-call ²⁵
	1.000	22.00	11/24/20	70.00	...	-48.00	6 of 6 - Option sale to close-call ²⁵
12/04/20	10.000	219.97	Various	1,395.05	...	-1,175.08	Total of 6 transactions
	Security total:	919.84		6,449.10	2,809.10 W	-2,720.16	
AAPL 09/18/2020 CALL \$111.25 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/17/20	69.00	...	-69.00	Option expiration- long call
AAPL 09/18/2020 PUT \$108.75 / CUSIP: / Symbol:							
09/17/20	1.000	115.98	09/17/20	112.00	...	3.98	Option sale to close-put ²⁵
AAPL 09/25/2020 CALL \$120.00 / CUSIP: / Symbol:							
	5 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	09/18/20	78.00	...	-78.00	1 of 5 - Option expiration- long call
	2.000	0.00	09/18/20	58.00	...	-58.00	2 of 5 - Option expiration- long call
	6.000	0.00	09/23/20	24.00	...	-24.00	3 of 5 - Option expiration- long call
	10.000	0.00	09/24/20	10.00	...	-10.00	4 of 5 - Option expiration- long call

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$120.00 / CUSIP: / Symbol: (cont'd)							
	5.000	0.00	09/24/20	5.00	...	-5.00	5 of 5 - Option expiration- long call
09/25/20	25.000	0.00	Various	175.00	...	-175.00	Total of 5 transactions
AAPL 10/02/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/30/20	2.000	407.98	09/28/20	368.00	...	39.98	Option sale to close-call ²⁵
AAPL 10/09/2020 CALL \$116.25 / CUSIP: / Symbol:							
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	169.98	10/02/20	160.00	...	9.98	1 of 4 - Option sale to close-call ²⁵
	1.000	169.99	10/02/20	160.00	...	9.99	2 of 4 - Option sale to close-call ²⁵
	1.000	169.99	10/02/20	160.00	...	9.99	3 of 4 - Option sale to close-call ²⁵
	1.000	169.99	10/02/20	137.00	...	32.99	4 of 4 - Option sale to close-call ²⁵
10/05/20	4.000	679.95	Various	617.00	...	62.95	Total of 4 transactions
AAPL 10/09/2020 CALL \$122.50 / CUSIP: / Symbol:							
09/25/20	3.000	212.98	09/24/20	153.00	...	59.98	Option sale to close-call ²⁵
AAPL 10/23/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/23/20	2.000	0.00	10/19/20	72.00	...	-72.00	Option expiration- long call
AAPL 11/06/2020 CALL \$122.00 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/28/20	140.00	...	-140.00	1 of 2 - Option expiration- long call
	2.000	0.00	10/30/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
11/06/20	3.000	0.00	Various	190.00	...	-190.00	Total of 2 transactions
AAPL 11/13/2020 CALL \$121.00 / CUSIP: / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	11/09/20	80.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	59.98	11/09/20	80.00	...	-20.02	2 of 2 - Option sale to close-call ²⁵
11/11/20	2.000	119.96	Various	160.00	...	-40.04	Total of 2 transactions

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(continued)

02/14/2021

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AAPL 11/13/2020 CALL \$122.00 / CUSIP: / Symbol:							
9 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	11/12/20	210.00	...	-210.00	1 of 9 - Option expiration- long call
	4.000	0.00	11/12/20	140.00	...	-140.00	2 of 9 - Option expiration- long call
	5.000	0.00	11/12/20	95.00	...	-95.00	3 of 9 - Option expiration- long call
	1.000	0.00	11/12/20	60.02	...	-60.02	4 of 9 - Option expiration- long call
	1.000	0.00	11/12/20	26.00	...	-26.00	5 of 9 - Option expiration- long call
	1.000	0.00	11/12/20	17.00	...	-17.00	6 of 9 - Option expiration- long call
	1.000	0.00	11/12/20	16.00	...	-16.00	7 of 9 - Option expiration- long call
	1.000	0.00	11/12/20	16.00	...	-16.00	8 of 9 - Option expiration- long call
	1.000	0.00	11/12/20	16.00	...	-16.00	9 of 9 - Option expiration- long call
11/13/20	25.000	0.00	Various	596.02	...	-596.02	Total of 9 transactions
11/13/20	1.000	0.98	11/12/20	35.00	34.02 W	0.00	Option sale to close-call ²⁵
	Security total:	0.98		631.02	34.02 W	-596.02	
AAPL 11/20/2020 CALL \$147.50 / CUSIP: / Symbol:							
9 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/13/20	192.00	...	-192.00	1 of 9 - Option expiration- long call
	1.000	0.00	10/21/20	44.00	...	-44.00	2 of 9 - Option expiration- long call
	3.000	0.00	10/30/20	21.00	...	-21.00	3 of 9 - Option expiration- long call
	3.000	0.00	11/09/20	12.00	...	-12.00	4 of 9 - Option expiration- long call
	1.000	0.00	11/09/20	4.00	...	-4.00	5 of 9 - Option expiration- long call
	10.000	0.00	11/11/20	20.00	...	-20.00	6 of 9 - Option expiration- long call
	10.000	0.00	11/11/20	20.00	...	-20.00	7 of 9 - Option expiration- long call
	50.000	0.00	11/16/20	50.00	...	-50.00	8 of 9 - Option expiration- long call
	20.000	0.00	11/16/20	20.00	...	-20.00	9 of 9 - Option expiration- long call
11/20/20	100.000	0.00	Various	383.00	...	-383.00	Total of 9 transactions
AAPL 11/20/2020 PUT \$118.00 / CUSIP: / Symbol:							
11/16/20	2.000	171.98	11/16/20	160.00	...	11.98	Option sale to close-put ²⁵
AAPL 11/27/2020 CALL \$121.00 / CUSIP: / Symbol:							
4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	11/23/20	145.01	...	-144.01	1 of 4 - Option sale to close-call ²⁵
	1.000	1.00	11/25/20	119.00	...	-118.00	2 of 4 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/27/2020 CALL \$121.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.99	11/25/20	90.01	...	-89.02	3 of 4 - Option sale to close-call ²⁵
	2.000	1.99	11/25/20	10.00	...	-8.01	4 of 4 - Option sale to close-call ²⁵
11/27/20	5.000	4.98	Various	364.02	...	-359.04	Total of 4 transactions
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	11/18/20	115.00	114.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	1.00	11/18/20	115.00	114.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	0.99	11/19/20	86.00	85.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/27/20	3.000	2.98	Various	316.00	313.02 W	0.00	Total of 3 transactions
Security total:		7.96		680.02	313.02 W	-359.04	
AAPL 12/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
15 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	3.99	11/10/20	56.01	...	-52.02	1 of 15 - Option sale to close-call ²⁵
	1.000	0.98	11/10/20	28.00	...	-27.02	2 of 15 - Option sale to close-call ²⁵
	5.000	9.98	11/16/20	80.02	...	-70.04	3 of 15 - Option sale to close-call ²⁵
	4.000	7.99	11/16/20	64.01	...	-56.02	4 of 15 - Option sale to close-call ²⁵
	1.000	2.00	11/16/20	16.02	...	-14.02	5 of 15 - Option sale to close-call ²⁵
	1.000	2.00	11/18/20	98.01	...	-96.01	6 of 15 - Option sale to close-call ²⁵
	2.000	3.99	11/24/20	196.01	...	-192.02	7 of 15 - Option sale to close-call ²⁵
	3.000	5.99	11/24/20	183.03	...	-177.04	8 of 15 - Option sale to close-call ²⁵
	4.000	7.98	11/24/20	116.03	...	-108.05	9 of 15 - Option sale to close-call ²⁵
	1.000	2.00	11/24/20	98.01	...	-96.01	10 of 15 - Option sale to close-call ²⁵
	1.000	2.00	11/24/20	69.00	...	-67.00	11 of 15 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/18/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	2.00	11/24/20	61.02	...	-59.02	12 of 15 - Option sale to close-call ₂₅
	1.000	2.00	11/24/20	61.00	...	-59.00	13 of 15 - Option sale to close-call ₂₅
	1.000	2.00	11/24/20	29.02	...	-27.02	14 of 15 - Option sale to close-call ₂₅
	1.000	2.00	11/24/20	29.01	...	-27.01	15 of 15 - Option sale to close-call ₂₅
11/30/20	29.000	56.90	Various	1,184.20	...	-1,127.30	Total of 15 transactions
	17 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	4.000	3.98	09/10/20	384.00	380.02 W	0.00	1 of 17 - Option sale to close-call ₂₅
	1.000	1.00	09/10/20	67.00	66.00 W	0.00	2 of 17 - Option sale to close-call ₂₅
	1.000	1.00	09/11/20	59.00	58.00 W	0.00	3 of 17 - Option sale to close-call ₂₅
	3.000	2.98	09/15/20	177.00	174.02 W	0.00	4 of 17 - Option sale to close-call ₂₅
	1.000	0.98	09/15/20	59.00	58.02 W	0.00	5 of 17 - Option sale to close-call ₂₅
	10.000	9.97	10/06/20	270.00	260.03 W	0.00	6 of 17 - Option sale to close-call ₂₅
	1.000	0.98	10/20/20	15.00	14.02 W	0.00	7 of 17 - Option sale to close-call ₂₅
	9.000	8.97	10/20/20	135.00	126.03 W	0.00	8 of 17 - Option sale to close-call ₂₅
	5.000	4.98	11/10/20	140.02	108.03 W	-27.01	9 of 17 - Option sale to close-call ₂₅
	1.000	1.00	11/10/20	97.01	96.01 W	0.00	10 of 17 - Option sale to close-call ₂₅
	1.000	1.00	11/10/20	68.00	67.00 W	0.00	11 of 17 - Option sale to close-call ₂₅
	3.000	2.99	11/10/20	291.01	288.02 W	0.00	12 of 17 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/18/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	11/10/20	60.00	59.00 W	0.00	13 of 17 - Option sale to close-call ²⁵
	1.000	1.00	11/10/20	60.02	59.02 W	0.00	14 of 17 - Option sale to close-call ²⁵
	3.000	2.99	11/10/20	180.02	177.03 W	0.00	15 of 17 - Option sale to close-call ²⁵
	1.000	0.99	11/10/20	28.00	27.01 W	0.00	16 of 17 - Option sale to close-call ²⁵
	1.000	0.98	11/10/20	28.00	27.02 W	0.00	17 of 17 - Option sale to close-call ²⁵
11/30/20	47.000	46.79	Various	2,118.08	2,044.28 W	-27.01	Total of 17 transactions
	Security total:	103.69		3,302.28	2,044.28 W	-1,154.31	
ACB 01/29/2021 CALL \$11.00 / CUSIP: / Symbol:							
12/30/20	10.000	479.96	12/29/20	430.00	...	49.96	Option sale to close-call ²⁵
ACB 12/11/2020 CALL \$10.50 / CUSIP: / Symbol:							
12/08/20	2.000	123.98	12/07/20	104.00	...	19.98	Option sale to close-call ²⁵
ACB 12/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
12/18/20	3.000	0.00	12/04/20	195.00	...	-195.00	Option expiration- long call
AMD 09/04/2020 CALL \$88.00 / CUSIP: / Symbol:							
08/31/20	1.000	309.98	08/31/20	194.00	...	115.98	Option sale to close-call ²⁵
AMD 09/11/2020 CALL \$95.00 / CUSIP: / Symbol:							
	21 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/02/20	267.00	...	-267.00	1 of 21 - Option expiration- long call
	1.000	0.00	09/02/20	267.00	...	-267.00	2 of 21 - Option expiration- long call
	1.000	0.00	09/02/20	176.00	...	-176.00	3 of 21 - Option expiration- long call
	1.000	0.00	09/02/20	164.00	...	-164.00	4 of 21 - Option expiration- long call

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 09/11/2020 CALL \$95.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	09/02/20	159.00	...	-159.00	5 of 21 - Option expiration- long call
	5.000	0.00	09/03/20	275.00	...	-275.00	6 of 21 - Option expiration- long call
	2.000	0.00	09/03/20	168.00	...	-168.00	7 of 21 - Option expiration- long call
	2.000	0.00	09/03/20	168.00	...	-168.00	8 of 21 - Option expiration- long call
	4.000	0.00	09/03/20	156.00	...	-156.00	9 of 21 - Option expiration- long call
	1.000	0.00	09/03/20	84.00	...	-84.00	10 of 21 - Option expiration- long call
	2.000	0.00	09/03/20	76.00	...	-76.00	11 of 21 - Option expiration- long call
	1.000	0.00	09/03/20	45.00	...	-45.00	12 of 21 - Option expiration- long call
	4.000	0.00	09/04/20	80.00	...	-80.00	13 of 21 - Option expiration- long call
	4.000	0.00	09/04/20	72.00	...	-72.00	14 of 21 - Option expiration- long call
	4.000	0.00	09/04/20	72.00	...	-72.00	15 of 21 - Option expiration- long call
	3.000	0.00	09/04/20	60.00	...	-60.00	16 of 21 - Option expiration- long call
	3.000	0.00	09/04/20	60.00	...	-60.00	17 of 21 - Option expiration- long call
	5.000	0.00	09/09/20	35.00	...	-35.00	18 of 21 - Option expiration- long call
	2.000	0.00	09/09/20	14.00	...	-14.00	19 of 21 - Option expiration- long call
	2.000	0.00	09/09/20	14.00	...	-14.00	20 of 21 - Option expiration- long call
	1.000	0.00	09/09/20	7.00	...	-7.00	21 of 21 - Option expiration- long call
09/11/20	50.000	0.00	Various	2,419.00	...	-2,419.00	Total of 21 transactions

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 10/02/2020 CALL \$78.50 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	213.99	09/28/20	179.00	...	34.99	1 of 2 - Option sale to close-call ²⁵
	2.000	427.98	09/28/20	358.00	...	69.98	2 of 2 - Option sale to close-call ²⁵
09/28/20	3.000	641.97	Various	537.00	...	104.97	Total of 2 transactions
AMD 10/16/2020 PUT \$77.00 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	2.000	107.98	10/05/20	104.00	...	3.98	1 of 2 - Option sale to close-put ²⁵
	3.000	161.98	10/05/20	156.00	...	5.98	2 of 2 - Option sale to close-put ²⁵
10/06/20	5.000	269.96	Various	260.00	...	9.96	Total of 2 transactions
AMRN 07/10/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/10/20	5.000	0.00	06/23/20	180.00	...	-180.00	Option expiration- long call
AZUL 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/08/20	245.00	...	-245.00	Option expiration- long call
AZUL 06/19/2020 PUT \$10.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/11/20	70.00	...	-70.00	Option expiration- long put
AZUL 06/19/2020 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/15/20	15.00	...	-15.00	1 of 2 - Option expiration- long put
	1.000	0.00	06/15/20	15.00	...	-15.00	2 of 2 - Option expiration- long put
06/19/20	2.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
BA 01/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/28/20	1.000	171.98	09/21/20	153.00	...	18.98	Option sale to close-call ²⁵
BA 11/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
07/23/20	5.000	2,074.94	07/20/20	1,850.00	...	224.94	Option sale to close-call ²⁵
07/24/20	5.000	1,999.94	07/23/20	1,725.00	...	274.94	Option sale to close-call ²⁵
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	1,824.95	07/24/20	1,725.00	...	99.95	1 of 6 - Option sale to close-call ²⁵
	1.000	364.99	07/28/20	293.00	...	71.99	2 of 6 - Option sale to close-call ²⁵

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 11/20/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	364.99	07/29/20	216.00	...	148.99	3 of 6 - Option sale to close-call ²⁵
	5.000	1,824.95	07/29/20	1,010.00	...	814.95	4 of 6 - Option sale to close-call ²⁵
	1.000	364.99	07/30/20	166.00	...	198.99	5 of 6 - Option sale to close-call ²⁵
	1.000	364.98	07/31/20	149.00	...	215.98	6 of 6 - Option sale to close-call ²⁵
08/11/20	14.000	5,109.85	Various	3,559.00	...	1,550.85	Total of 6 transactions
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	2.000	445.99	08/12/20	572.00	...	-126.01	1 of 4 - Option sale to close-call ²⁵
	3.000	668.98	08/13/20	624.00	...	44.98	2 of 4 - Option sale to close-call ²⁵
	1.000	222.99	08/18/20	169.00	...	53.99	3 of 4 - Option sale to close-call ²⁵
	1.000	222.99	08/19/20	161.00	...	61.99	4 of 4 - Option sale to close-call ²⁵
08/28/20	7.000	1,560.95	Various	1,526.00	...	34.95	Total of 4 transactions
	Security total:	10,745.68		8,660.00	...	2,085.68	
BABA 10/02/2020 CALL \$280.00 / CUSIP: / Symbol:							
	3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	1,099.96	09/28/20	301.00	...	798.96	1 of 3 - Option sale to close-call ²⁵
	1.000	1,099.97	09/28/20	301.00	...	798.97	2 of 3 - Option sale to close-call ²⁵
	2.000	2,199.94	09/28/20	820.00	...	1,379.94	3 of 3 - Option sale to close-call ²⁵
09/30/20	4.000	4,399.87	Various	1,422.00	...	2,977.87	Total of 3 transactions
BABA 10/09/2020 PUT \$285.00 / CUSIP: / Symbol:							
	5 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/30/20	480.00	...	-480.00	1 of 5 - Option expiration- long put
	1.000	0.00	09/30/20	350.00	...	-350.00	2 of 5 - Option expiration- long put
	1.000	0.00	10/01/20	315.00	...	-315.00	3 of 5 - Option expiration- long put
	2.000	0.00	10/06/20	292.00	...	-292.00	4 of 5 - Option expiration- long put
	5.000	0.00	10/08/20	105.00	...	-105.00	5 of 5 - Option expiration- long put
10/09/20	10.000	0.00	Various	1,542.00	...	-1,542.00	Total of 5 transactions
BABA 12/04/2020 CALL \$277.50 / CUSIP: / Symbol:							
12/02/20	1.000	35.98	12/01/20	72.02	...	-36.04	Option sale to close-call ²⁵
12/02/20	1.000	35.98	12/01/20	54.00	18.02 W	0.00	Option sale to close-call ²⁵
	Security total:	71.96		126.02	18.02 W	-36.04	

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/11/2020 CALL \$290.00 / CUSIP: / Symbol:							
12/03/20	1.000	106.98	11/30/20	100.00	...	6.98	Option sale to close-call ²⁵
BAC 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/02/20	5.000	39.99	11/19/20	25.00	...	14.99	Option sale to close-call ²⁵
12/02/20	10.000	79.97	09/15/20	170.00	90.03 W	0.00	Option sale to close-call ²⁵
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	2.000	23.98	11/19/20	28.01	4.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	3.000	35.98	11/19/20	42.01	6.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/04/20	5.000	59.96	Various	70.02	10.06 W	0.00	Total of 2 transactions
Security total:		179.92		265.02	100.09 W	14.99	
BAC 09/18/2020 CALL \$26.00 / CUSIP: / Symbol:							
11 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	09/08/20	620.00	...	-620.00	1 of 11 - Option expiration- long call
	4.000	0.00	09/16/20	76.00	...	-76.00	2 of 11 - Option expiration- long call
	1.000	0.00	09/16/20	19.00	...	-19.00	3 of 11 - Option expiration- long call
	13.000	0.00	09/17/20	65.00	...	-65.00	4 of 11 - Option expiration- long call
	8.000	0.00	09/17/20	24.00	...	-24.00	5 of 11 - Option expiration- long call
	7.000	0.00	09/17/20	21.00	...	-21.00	6 of 11 - Option expiration- long call
	3.000	0.00	09/17/20	15.00	...	-15.00	7 of 11 - Option expiration- long call
	1.000	0.00	09/17/20	5.00	...	-5.00	8 of 11 - Option expiration- long call
	1.000	0.00	09/17/20	5.00	...	-5.00	9 of 11 - Option expiration- long call
	1.000	0.00	09/17/20	5.00	...	-5.00	10 of 11 - Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 09/18/2020 CALL \$26.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	09/17/20	5.00	...	-5.00	11 of 11 - Option expiration- long call
09/18/20	50.000	0.00	Various	860.00	...	-860.00	Total of 11 transactions
BAC 11/20/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	196.99	08/05/20	104.00	...	92.99	1 of 2 - Option sale to close-call ²⁵
	2.000	393.98	08/05/20	206.00	...	187.98	2 of 2 - Option sale to close-call ²⁵
08/11/20	3.000	590.97	Various	310.00	...	280.97	Total of 2 transactions
BAC 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	159.99	08/17/20	138.00	...	21.99	1 of 2 - Option sale to close-call ²⁵
	2.000	159.99	08/18/20	114.00	...	45.99	2 of 2 - Option sale to close-call ²⁵
09/03/20	4.000	319.98	Various	252.00	...	67.98	Total of 2 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	5.000	399.98	08/11/20	595.00	195.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	80.00	08/13/20	81.00	1.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/03/20	6.000	479.98	Various	676.00	196.02 W	0.00	Total of 2 transactions
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	167.99	08/18/20	186.01	...	-18.02	1 of 5 - Option sale to close-call ²⁵
	2.000	167.99	08/20/20	162.01	...	5.98	2 of 5 - Option sale to close-call ²⁵
	1.000	84.00	08/26/20	85.00	...	-1.00	3 of 5 - Option sale to close-call ²⁵
	1.000	84.00	08/26/20	47.00	...	37.00	4 of 5 - Option sale to close-call ²⁵
	4.000	335.98	08/26/20	184.00	...	151.98	5 of 5 - Option sale to close-call ²⁵
09/04/20	10.000	839.96	Various	664.02	...	175.94	Total of 5 transactions
	Security total:	1,639.92		1,592.02	196.02 W	243.92	
BAC 12/18/2020 CALL \$34.00 / CUSIP: / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	7.000	62.98	09/25/20	42.00	...	20.98	1 of 3 - Option sale to close-call ²⁵
	5.000	44.99	10/14/20	15.00	...	29.99	2 of 3 - Option sale to close-call ²⁵

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 12/18/2020 CALL \$34.00 / CUSIP: / Symbol: (cont'd)							
	5.000	44.99	10/15/20	15.00	...	29.99	3 of 3 - Option sale to close-call ²⁵
11/09/20	17.000	152.96	Various	72.00	...	80.96	Total of 3 transactions
11/09/20	3.000	26.99	09/18/20	33.00	6.01 W	0.00	Option sale to close-call ²⁵
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	3.000	17.99	10/15/20	12.01	...	5.98	1 of 4 - Option sale to close-call ²⁵
	2.000	12.00	10/15/20	6.00	...	6.00	2 of 4 - Option sale to close-call ²⁵
	8.000	47.97	10/15/20	24.00	...	23.97	3 of 4 - Option sale to close-call ²⁵
	7.000	41.98	10/15/20	14.00	...	27.98	4 of 4 - Option sale to close-call ²⁵
11/10/20	20.000	119.94	Various	56.01	...	63.93	Total of 4 transactions
	Security total:	299.89		161.01	6.01 W	144.89	
BAC 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	5.000	9.99	09/16/20	65.00	55.01 W	0.00	1 of 4 - Option sale to close-call ²⁵
	7.000	13.98	09/16/20	84.00	70.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	3.000	5.99	09/16/20	36.00	30.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	11.000	21.98	11/11/20	33.00	11.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
11/24/20	26.000	51.94	Various	218.00	166.06 W	0.00	Total of 4 transactions
22 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	11/11/20	56.01	...	-56.01	1 of 22 - Option expiration- long call
	7.000	0.00	11/16/20	84.02	...	-84.02	2 of 22 - Option expiration- long call
	18.000	0.00	11/16/20	36.00	...	-36.00	3 of 22 - Option expiration- long call
	9.000	0.00	11/16/20	27.02	...	-27.02	4 of 22 - Option expiration- long call
	2.000	0.00	11/16/20	24.01	...	-24.01	5 of 22 - Option expiration- long call
	1.000	0.00	11/16/20	13.00	...	-13.00	6 of 22 - Option expiration- long call
	1.000	0.00	11/16/20	12.00	...	-12.00	7 of 22 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BAC 12/18/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	11/16/20	6.00	...	-6.00	8 of 22 - Option expiration- long call
	3.000	0.00	11/23/20	3.00	...	-3.00	9 of 22 - Option expiration- long call
	3.000	0.00	11/24/20	3.00	...	-3.00	10 of 22 - Option expiration- long call
	7.000	0.00	12/01/20	14.00	...	-14.00	11 of 22 - Option expiration- long call
	12.000	0.00	12/04/20	24.00	...	-24.00	12 of 22 - Option expiration- long call
	11.000	0.00	12/08/20	11.00	...	-11.00	13 of 22 - Option expiration- long call
	10.000	0.00	12/08/20	10.00	...	-10.00	14 of 22 - Option expiration- long call
	6.000	0.00	12/08/20	6.00	...	-6.00	15 of 22 - Option expiration- long call
	5.000	0.00	12/08/20	5.00	...	-5.00	16 of 22 - Option expiration- long call
	5.000	0.00	12/08/20	5.00	...	-5.00	17 of 22 - Option expiration- long call
	5.000	0.00	12/08/20	5.00	...	-5.00	18 of 22 - Option expiration- long call
	5.000	0.00	12/08/20	5.00	...	-5.00	19 of 22 - Option expiration- long call
	5.000	0.00	12/08/20	5.00	...	-5.00	20 of 22 - Option expiration- long call
	3.000	0.00	12/10/20	3.00	...	-3.00	21 of 22 - Option expiration- long call
	3.000	0.00	12/10/20	3.00	...	-3.00	22 of 22 - Option expiration- long call
12/18/20	127.000	0.00	Various	360.06	...	-360.06	Total of 22 transactions
	Security total:	51.94		578.06	166.06 W	-360.06	
BB 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/08/20	1.000	25.98	12/04/20	20.00	...	5.98	Option sale to close-call ²⁵

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(continued)

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BCRX 12/18/2020 CALL \$8.00 / CUSIP: / Symbol:							
12/07/20	4.000	119.98	12/04/20	120.00	...	-0.02	Option sale to close-call ²⁵
BMY 07/31/2020 CALL \$61.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	2.000	49.98	07/16/20	190.00	...	-140.02	1 of 2 - Option sale to close-call ²⁵
	1.000	24.98	07/16/20	95.00	...	-70.02	2 of 2 - Option sale to close-call ²⁵
07/28/20	3.000	74.96	Various	285.00	...	-210.04	Total of 2 transactions
BRKB 01/15/2021 CALL \$215.00 / CUSIP: / Symbol:							
07/31/20	2.000	849.97	07/20/20	660.00	...	189.97	Option sale to close-call ²⁵
BRKB 07/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/19/20	135.00	...	-135.00	1 of 2 - Option expiration- long call
	5.000	0.00	06/24/20	70.00	...	-70.00	2 of 2 - Option expiration- long call
07/10/20	10.000	0.00	Various	205.00	...	-205.00	Total of 2 transactions
BRKB 09/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/02/20	5.000	339.98	09/01/20	250.00	...	89.98	Option sale to close-call ²⁵
CCL 06/12/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/12/20	2.000	0.00	06/08/20	290.00	...	-290.00	Option expiration- long call
CCL 06/19/2020 PUT \$17.00 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	06/10/20	65.02	...	-60.04	Option sale to close-put ²⁵
06/19/20	1.000	4.98	06/10/20	35.00	30.02 W	0.00	Option sale to close-put ²⁵
	Security total:	9.96		100.02	30.02 W	-60.04	
CCL 10/09/2020 CALL \$18.50 / CUSIP: / Symbol:							
14 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	09/04/20	1,140.00	...	-1,140.00	1 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	32.00	...	-32.00	2 of 14 - Option expiration- long call

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CCL 10/09/2020 CALL \$18.50 / CUSIP: / Symbol: (cont'd)							
	3.000	0.00	09/21/20	63.00	...	-63.00	3 of 14 - Option expiration- long call
	1.000	0.00	09/21/20	21.00	...	-21.00	4 of 14 - Option expiration- long call
	5.000	0.00	09/24/20	50.00	...	-50.00	5 of 14 - Option expiration- long call
	5.000	0.00	09/25/20	80.00	...	-80.00	6 of 14 - Option expiration- long call
	7.000	0.00	10/01/20	56.00	...	-56.00	7 of 14 - Option expiration- long call
	3.000	0.00	10/01/20	21.00	...	-21.00	8 of 14 - Option expiration- long call
	20.000	0.00	10/08/20	20.00	...	-20.00	9 of 14 - Option expiration- long call
	10.000	0.00	10/08/20	10.00	...	-10.00	10 of 14 - Option expiration- long call
	4.000	0.00	10/08/20	4.00	...	-4.00	11 of 14 - Option expiration- long call
	1.000	0.00	10/08/20	1.00	...	-1.00	12 of 14 - Option expiration- long call
	1.000	0.00	10/08/20	1.00	...	-1.00	13 of 14 - Option expiration- long call
	1.000	0.00	10/08/20	1.00	...	-1.00	14 of 14 - Option expiration- long call
10/09/20	67.000	0.00	Various	1,500.00	...	-1,500.00	Total of 14 transactions
CGC 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/24/20	2.000	31.98	11/16/20	26.00	...	5.98	Option sale to close-call ²⁵
CRM 10/16/2020 CALL \$265.00 / CUSIP: / Symbol:							
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/14/20	241.00	...	-241.00	1 of 3 - Option expiration- long call
	1.000	0.00	10/15/20	66.00	...	-66.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/15/20	66.00	...	-66.00	3 of 3 - Option expiration- long call
10/16/20	3.000	0.00	Various	373.00	...	-373.00	Total of 3 transactions

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CRM 12/11/2020 CALL \$280.00 / CUSIP: / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	11/30/20	156.00	...	-155.01	1 of 3 - Option sale to close-call ²⁵
	3.000	2.98	12/03/20	63.00	...	-60.02	2 of 3 - Option sale to close-call ²⁵
	2.000	1.99	12/03/20	42.00	...	-40.01	3 of 3 - Option sale to close-call ²⁵
12/10/20	6.000	5.96	Various	261.00	...	-255.04	Total of 3 transactions
CRM 12/18/2020 CALL \$270.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	12/02/20	62.00	...	-62.00	Option expiration- long call
CVS 11/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
8 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	09/10/20	40.00	...	-40.00	1 of 8 - Option expiration- long call
	5.000	0.00	09/18/20	10.00	...	-10.00	2 of 8 - Option expiration- long call
	5.000	0.00	09/18/20	10.00	...	-10.00	3 of 8 - Option expiration- long call
	4.000	0.00	09/18/20	8.00	...	-8.00	4 of 8 - Option expiration- long call
	4.000	0.00	09/18/20	8.00	...	-8.00	5 of 8 - Option expiration- long call
	1.000	0.00	09/18/20	3.00	...	-3.00	6 of 8 - Option expiration- long call
	1.000	0.00	09/18/20	3.00	...	-3.00	7 of 8 - Option expiration- long call
	30.000	0.00	11/16/20	30.00	...	-30.00	8 of 8 - Option expiration- long call
11/20/20	60.000	0.00	Various	112.00	...	-112.00	Total of 8 transactions
CVS 11/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/04/20	5.000	509.97	08/03/20	410.00	...	99.97	Option sale to close-call ²⁵
CVS 11/20/2020 CALL \$77.50 / CUSIP: / Symbol:							
22 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	4.000	31.98	08/20/20	120.00	...	-88.02	1 of 22 - Option sale to close-call ²⁵
	10.000	89.97	09/01/20	170.00	...	-80.03	2 of 22 - Option sale to close-call ²⁵
	6.000	65.98	09/01/20	96.00	...	-30.02	3 of 22 - Option sale to close-call ²⁵
	4.000	43.99	09/01/20	68.00	...	-24.01	4 of 22 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVS 11/20/2020 CALL \$77.50 / CUSIP: / Symbol: (cont'd)							
	1.000	8.00	09/01/20	17.00	...	-9.00	5 of 22 - Option sale to close-call 25
	2.000	23.98	09/01/20	32.00	...	-8.02	6 of 22 - Option sale to close-call 25
	1.000	11.98	09/01/20	16.00	...	-4.02	7 of 22 - Option sale to close-call 25
	1.000	11.98	09/01/20	16.00	...	-4.02	8 of 22 - Option sale to close-call 25
	2.000	23.98	09/02/20	36.00	...	-12.02	9 of 22 - Option sale to close-call 25
	2.000	23.98	09/02/20	36.00	...	-12.02	10 of 22 - Option sale to close-call 25
	1.000	11.98	09/02/20	18.00	...	-6.02	11 of 22 - Option sale to close-call 25
	4.000	47.98	09/08/20	68.00	...	-20.02	12 of 22 - Option sale to close-call 25
	4.000	47.98	09/08/20	68.00	...	-20.02	13 of 22 - Option sale to close-call 25
	1.000	11.98	09/08/20	17.00	...	-5.02	14 of 22 - Option sale to close-call 25
	1.000	11.98	09/08/20	17.00	...	-5.02	15 of 22 - Option sale to close-call 25
	1.000	12.00	10/06/20	5.00	...	7.00	16 of 22 - Option sale to close-call 25
	9.000	116.97	10/06/20	45.00	...	71.97	17 of 22 - Option sale to close-call 25
	1.000	13.00	10/13/20	3.00	...	10.00	18 of 22 - Option sale to close-call 25
	4.000	55.99	10/13/20	12.00	...	43.99	19 of 22 - Option sale to close-call 25
	4.000	55.99	11/03/20	268.01	...	-212.02	20 of 22 - Option sale to close-call 25
	1.000	13.99	11/03/20	67.00	...	-53.01	21 of 22 - Option sale to close-call 25

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVS 11/20/2020 CALL \$77.50 / CUSIP: / Symbol: (cont'd)							
	1.000	14.00	11/09/20	44.00	...	-30.00	22 of 22 - Option sale to close-call ²⁵
11/16/20	65.000	749.66	Various	1,239.01	...	-489.35	Total of 22 transactions
	2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	5.000	39.99	08/04/20	360.00	320.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	8.00	08/13/20	48.00	40.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/16/20	6.000	47.99	Various	408.00	360.01 W	0.00	Total of 2 transactions
	Security total:	797.65		1,647.01	360.01 W	-489.35	
CVS 11/27/2020 CALL \$69.00 / CUSIP: / Symbol:							
11/24/20	10.000	229.97	11/19/20	160.00	...	69.97	Option sale to close-call ²⁵
FB 10/30/2020 PUT \$247.50 / CUSIP: / Symbol:							
10/28/20	1.000	130.98	10/21/20	124.00	...	6.98	Option sale to close-put ²⁵
FB 11/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
07/31/20	1.000	529.97	07/27/20	320.00	...	209.97	Option sale to close-call ²⁵
FCX 09/25/2020 CALL \$17.00 / CUSIP: / Symbol:							
09/18/20	2.000	127.98	09/11/20	76.00	...	51.98	Option sale to close-call ²⁵
GBX 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	07/10/20	70.00	...	-70.00	Option expiration- long call
GM 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/04/20	3.000	374.98	07/31/20	309.00	...	65.98	Option sale to close-call ²⁵
GNUS 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/19/20	3.000	0.00	06/15/20	240.00	...	-240.00	Option expiration- long call
GRWG 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/18/20	4.000	0.00	09/16/20	20.00	...	-20.00	Option expiration- long call
HTZ 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/12/20	120.00	...	-120.00	Option expiration- long call

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 06/12/2020 CALL \$12.00 / CUSIP: / Symbol:							
06/09/20	1.000	99.98	06/08/20	60.00	...	39.98	Option sale to close-call ²⁵
INO 11/20/2020 CALL \$11.50 / CUSIP: / Symbol:							
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	11/19/20	11.00	...	3.98	1 of 4 - Option sale to close-call ²⁵
	1.000	14.98	11/19/20	11.00	...	3.98	2 of 4 - Option sale to close-call ²⁵
	1.000	14.98	11/19/20	11.00	...	3.98	3 of 4 - Option sale to close-call ²⁵
	2.000	29.98	11/19/20	22.00	...	7.98	4 of 4 - Option sale to close-call ²⁵
11/20/20	5.000	74.92	Various	55.00	...	19.92	Total of 4 transactions
INO 11/20/2020 CALL \$12.50 / CUSIP: / Symbol:							
7 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/16/20	86.00	...	-86.00	1 of 7 - Option expiration- long call
	1.000	0.00	11/16/20	86.00	...	-86.00	2 of 7 - Option expiration- long call
	1.000	0.00	11/17/20	29.00	...	-29.00	3 of 7 - Option expiration- long call
	2.000	0.00	11/18/20	26.00	...	-26.00	4 of 7 - Option expiration- long call
	2.000	0.00	11/18/20	20.00	...	-20.00	5 of 7 - Option expiration- long call
	1.000	0.00	11/18/20	10.00	...	-10.00	6 of 7 - Option expiration- long call
	1.000	0.00	11/19/20	7.00	...	-7.00	7 of 7 - Option expiration- long call
11/20/20	9.000	0.00	Various	264.00	...	-264.00	Total of 7 transactions
INTC 09/11/2020 CALL \$52.50 / CUSIP: / Symbol:							
9 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/02/20	114.00	...	-114.00	1 of 9 - Option expiration- long call
	1.000	0.00	09/02/20	114.00	...	-114.00	2 of 9 - Option expiration- long call
	2.000	0.00	09/03/20	162.00	...	-162.00	3 of 9 - Option expiration- long call
	10.000	0.00	09/08/20	100.00	...	-100.00	4 of 9 - Option expiration- long call
	3.000	0.00	09/08/20	30.00	...	-30.00	5 of 9 - Option expiration- long call
	1.000	0.00	09/08/20	10.00	...	-10.00	6 of 9 - Option expiration- long call
	1.000	0.00	09/08/20	10.00	...	-10.00	7 of 9 - Option expiration- long call
	1.000	0.00	09/08/20	10.00	...	-10.00	8 of 9 - Option expiration- long call
	10.000	0.00	09/09/20	20.00	...	-20.00	9 of 9 - Option expiration- long call
09/11/20	30.000	0.00	Various	570.00	...	-570.00	Total of 9 transactions

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JD 11/20/2020 CALL \$90.00 / CUSIP: / Symbol:							
<i>10 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	21.99	11/16/20	132.00	...	-110.01	1 of 10 - Option sale to close-call ²⁵
	1.000	11.00	11/16/20	81.00	...	-70.00	2 of 10 - Option sale to close-call ²⁵
	1.000	11.00	11/16/20	81.00	...	-70.00	3 of 10 - Option sale to close-call ²⁵
	1.000	11.00	11/16/20	66.00	...	-55.00	4 of 10 - Option sale to close-call ²⁵
	3.000	32.99	11/18/20	51.00	...	-18.01	5 of 10 - Option sale to close-call ²⁵
	1.000	11.00	11/18/20	22.00	...	-11.00	6 of 10 - Option sale to close-call ²⁵
	1.000	11.00	11/18/20	22.00	...	-11.00	7 of 10 - Option sale to close-call ²⁵
	2.000	21.99	11/19/20	28.00	...	-6.01	8 of 10 - Option sale to close-call ²⁵
	3.000	32.99	11/19/20	27.00	...	5.99	9 of 10 - Option sale to close-call ²⁵
	5.000	54.99	11/19/20	45.00	...	9.99	10 of 10 - Option sale to close-call ²⁵
11/19/20	20.000	219.95	Various	555.00	...	-335.05	Total of 10 transactions
JNJ 10/16/2020 CALL \$147.00 / CUSIP: / Symbol:							
<i>4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	261.98	10/07/20	204.00	...	57.98	1 of 4 - Option sale to close-call ²⁵
	1.000	261.98	10/07/20	204.00	...	57.98	2 of 4 - Option sale to close-call ²⁵
	1.000	261.98	10/07/20	204.00	...	57.98	3 of 4 - Option sale to close-call ²⁵
	2.000	521.97	10/07/20	408.00	...	113.97	4 of 4 - Option sale to close-call ²⁵
10/07/20	5.000	1,307.91	Various	1,020.00	...	287.91	Total of 4 transactions

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JNJ 12/04/2020 PUT \$145.00 / CUSIP: / Symbol:							
5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	6.00	12/01/20	48.01	...	-42.01	1 of 5 - Option sale to close-put ²⁵
	1.000	6.00	12/02/20	36.00	...	-30.00	2 of 5 - Option sale to close-put ²⁵
	1.000	6.00	12/03/20	29.01	...	-23.01	3 of 5 - Option sale to close-put ²⁵
	6.000	35.97	12/03/20	42.00	...	-6.03	4 of 5 - Option sale to close-put ²⁵
	1.000	6.00	12/03/20	7.00	...	-1.00	5 of 5 - Option sale to close-put ²⁵
12/03/20	10.000	59.97	Various	162.02	...	-102.05	Total of 5 transactions
12/03/20	3.000	17.98	12/01/20	84.00	66.02 W	0.00	Option sale to close-put ²⁵
	Security total:	77.95		246.02	66.02 W	-102.05	
KR 09/18/2020 CALL \$35.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/10/20	85.00	...	-85.00	Option expiration- long call
KSS 01/15/2021 CALL \$47.50 / CUSIP: / Symbol:							
5 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	41.98	07/23/20	38.00	...	3.98	1 of 5 - Option sale to close-call ²⁵
	1.000	41.98	07/23/20	38.00	...	3.98	2 of 5 - Option sale to close-call ²⁵
	1.000	41.98	07/23/20	38.00	...	3.98	3 of 5 - Option sale to close-call ²⁵
	1.000	41.98	07/23/20	38.00	...	3.98	4 of 5 - Option sale to close-call ²⁵
	1.000	41.98	07/23/20	38.00	...	3.98	5 of 5 - Option sale to close-call ²⁵
08/11/20	5.000	209.90	Various	190.00	...	19.90	Total of 5 transactions
LMT 10/09/2020 CALL \$400.00 / CUSIP: / Symbol:							
13 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/30/20	170.00	...	-170.00	1 of 13 - Option expiration- long call
	2.000	0.00	10/01/20	110.00	...	-110.00	2 of 13 - Option expiration- long call
	4.000	0.00	10/02/20	160.00	...	-160.00	3 of 13 - Option expiration- long call
	1.000	0.00	10/02/20	40.00	...	-40.00	4 of 13 - Option expiration- long call
	10.000	0.00	10/06/20	180.00	...	-180.00	5 of 13 - Option expiration- long call

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LMT 10/09/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	10.000	0.00	10/06/20	70.00	...	-70.00	6 of 13 - Option expiration- long call
	5.000	0.00	10/06/20	50.00	...	-50.00	7 of 13 - Option expiration- long call
	5.000	0.00	10/06/20	50.00	...	-50.00	8 of 13 - Option expiration- long call
	5.000	0.00	10/06/20	50.00	...	-50.00	9 of 13 - Option expiration- long call
	1.000	0.00	10/06/20	30.00	...	-30.00	10 of 13 - Option expiration- long call
	5.000	0.00	10/06/20	30.00	...	-30.00	11 of 13 - Option expiration- long call
	5.000	0.00	10/06/20	30.00	...	-30.00	12 of 13 - Option expiration- long call
	1.000	0.00	10/08/20	5.00	...	-5.00	13 of 13 - Option expiration- long call
10/09/20	56.000	0.00	Various	975.00	...	-975.00	Total of 13 transactions
LMT 10/16/2020 CALL \$405.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/12/20	28.00	...	-28.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/12/20	28.00	...	-28.00	2 of 2 - Option expiration- long call
10/16/20	2.000	0.00	Various	56.00	...	-56.00	Total of 2 transactions
LTMAQ 06/19/2020 CALL \$3.50 / CUSIP: / Symbol:							
06/19/20	5.000	0.00	06/09/20	550.00	...	-550.00	Option expiration- long call
MITT 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/12/20	100.00	...	-100.00	Option expiration- long call
MRK 10/16/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/30/20	5.000	209.98	07/22/20	190.00	...	19.98	Option sale to close-call ²⁵

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MSFT 10/02/2020 CALL \$217.50 / CUSIP: / Symbol:							
6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/18/20	107.00	...	-107.00	1 of 6 - Option expiration- long call
	2.000	0.00	09/28/20	116.00	...	-116.00	2 of 6 - Option expiration- long call
	1.000	0.00	09/28/20	49.00	...	-49.00	3 of 6 - Option expiration- long call
	4.000	0.00	09/30/20	56.00	...	-56.00	4 of 6 - Option expiration- long call
	1.000	0.00	09/30/20	14.00	...	-14.00	5 of 6 - Option expiration- long call
	1.000	0.00	09/30/20	14.00	...	-14.00	6 of 6 - Option expiration- long call
10/02/20	10.000	0.00	Various	356.00	...	-356.00	Total of 6 transactions
NCLH 06/26/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/23/20	20.00	...	-20.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/23/20	20.00	...	-20.00	2 of 2 - Option expiration- long call
06/26/20	2.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
NIO 09/25/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/15/20	92.00	...	-92.00	Option expiration- long call
NKLA 12/18/2020 CALL \$23.50 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	12/03/20	50.00	...	-50.00	Option expiration- long call
NVAX 09/18/2020 CALL \$133.00 / CUSIP: / Symbol:							
6 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	09/10/20	195.08	...	-140.10	1 of 6 - Option sale to close-call ²⁵
	1.000	54.98	09/10/20	26.00	...	28.98	2 of 6 - Option sale to close-call ²⁵
	1.000	54.99	09/10/20	26.00	...	28.99	3 of 6 - Option sale to close-call ²⁵
	1.000	55.00	09/10/20	26.00	...	29.00	4 of 6 - Option sale to close-call ²⁵
	2.000	109.98	09/10/20	52.00	...	57.98	5 of 6 - Option sale to close-call ²⁵
	2.000	109.99	09/10/20	52.00	...	57.99	6 of 6 - Option sale to close-call ²⁵
09/16/20	8.000	439.92	Various	377.08	...	62.84	Total of 6 transactions
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	09/03/20	250.00	195.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	54.98	09/09/20	266.02	211.04 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	54.98	09/09/20	282.04	227.06 W	0.00	3 of 5 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVAX 09/18/2020 CALL \$133.00 / CUSIP: / Symbol: (cont'd)							
	1.000	54.98	09/10/20	253.06	198.08 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	55.00	09/10/20	224.08	169.08 W	0.00	5 of 5 - Option sale to close-call ²⁵
09/16/20	5.000	274.92	Various	1,275.20	1,000.28 W	0.00	Total of 5 transactions
	Security total:	714.84		1,652.28	1,000.28 W	62.84	
NVAX 10/09/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/09/20	2.000	0.00	10/08/20	182.00	...	-182.00	Option expiration- long call
NVAX 10/09/2020 CALL \$121.00 / CUSIP: / Symbol:							
6 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	47.99	09/30/20	310.00	262.01 W	0.00	1 of 6 - Option sale to close-call ²⁵
	1.000	47.99	09/30/20	305.00	257.01 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	48.00	10/01/20	217.00	169.00 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	47.99	10/05/20	345.01	297.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	47.99	10/05/20	340.01	292.02 W	0.00	5 of 6 - Option sale to close-call ²⁵
	1.000	48.00	10/06/20	247.00	199.00 W	0.00	6 of 6 - Option sale to close-call ²⁵
10/08/20	6.000	287.96	Various	1,764.02	1,476.06 W	0.00	Total of 6 transactions
4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/06/20	375.02	...	-375.02	1 of 4 - Option expiration- long call
	1.000	0.00	10/06/20	369.02	...	-369.02	2 of 4 - Option expiration- long call
	1.000	0.00	10/06/20	270.00	...	-270.00	3 of 4 - Option expiration- long call
	1.000	0.00	10/06/20	71.00	...	-71.00	4 of 4 - Option expiration- long call
10/09/20	4.000	0.00	Various	1,085.04	...	-1,085.04	Total of 4 transactions
	Security total:	287.96		2,849.06	1,476.06 W	-1,085.04	
NVDA 09/04/2020 CALL \$522.50 / CUSIP: / Symbol:							
08/28/20	1.000	1,593.95	08/28/20	1,420.00	...	173.95	Option sale to close-call ²⁵
OGI 06/18/2021 CALL \$2.50 / CUSIP: / Symbol:							
12/01/20	1.000	29.98	11/30/20	25.00	...	4.98	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$28.00 / CUSIP: / Symbol:							
12/03/20	1.000	100.98	12/02/20	68.00	...	32.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLTR 12/18/2020 CALL \$33.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	11/30/20	175.00	...	-175.00	Option expiration- long call
QCOM 09/25/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	35.99	09/17/20	239.00	...	-203.01	1 of 2 - Option sale to close-call ²⁵
	1.000	35.99	09/18/20	168.00	...	-132.01	2 of 2 - Option sale to close-call ²⁵
09/25/20	2.000	71.98	Various	407.00	...	-335.02	Total of 2 transactions
ROKU 09/25/2020 CALL \$202.50 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/23/20	245.00	...	-245.00	Option expiration- long call
SBUX 10/09/2020 CALL \$88.00 / CUSIP: / Symbol:							
9 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	129.98	09/30/20	120.00	...	9.98	1 of 9 - Option sale to close-call ²⁵
	1.000	129.98	09/30/20	120.00	...	9.98	2 of 9 - Option sale to close-call ²⁵
	1.000	129.98	09/30/20	120.00	...	9.98	3 of 9 - Option sale to close-call ²⁵
	1.000	129.98	09/30/20	118.00	...	11.98	4 of 9 - Option sale to close-call ²⁵
	1.000	129.98	09/30/20	118.00	...	11.98	5 of 9 - Option sale to close-call ²⁵
	2.000	259.98	09/30/20	240.00	...	19.98	6 of 9 - Option sale to close-call ²⁵
	3.000	389.98	09/30/20	354.00	...	35.98	7 of 9 - Option sale to close-call ²⁵
	1.000	129.98	09/30/20	61.00	...	68.98	8 of 9 - Option sale to close-call ²⁵
	1.000	129.98	09/30/20	61.00	...	68.98	9 of 9 - Option sale to close-call ²⁵
10/05/20	12.000	1,559.82	Various	1,312.00	...	247.82	Total of 9 transactions
SJR 08/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
07/13/20	5.000	349.98	07/10/20	175.00	...	174.98	Option sale to close-call ²⁵
SJR 10/16/2020 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/13/20	50.00	...	-50.00	1 of 2 - Option expiration- long call
	5.000	0.00	07/13/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
10/16/20	10.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
SOLO 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/09/20	75.00	...	-75.00	Option expiration- long call

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPOT 09/04/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/31/20	1.000	539.97	08/28/20	470.00	...	69.97	Option sale to close-call ²⁵
SPOT 11/27/2020 CALL \$292.50 / CUSIP: / Symbol:							
11/12/20	1.000	189.98	11/10/20	100.00	...	89.98	Option sale to close-call ²⁵
SPY 09/11/2020 CALL \$356.00 / CUSIP: / Symbol:							
<i>17 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	09/03/20	329.00	...	-329.00	1 of 17 - Option expiration- long call
	1.000	0.00	09/03/20	237.00	...	-237.00	2 of 17 - Option expiration- long call
	1.000	0.00	09/03/20	125.00	...	-125.00	3 of 17 - Option expiration- long call
	1.000	0.00	09/03/20	91.00	...	-91.00	4 of 17 - Option expiration- long call
	1.000	0.00	09/04/20	100.00	...	-100.00	5 of 17 - Option expiration- long call
	2.000	0.00	09/04/20	86.00	...	-86.00	6 of 17 - Option expiration- long call
	2.000	0.00	09/04/20	86.00	...	-86.00	7 of 17 - Option expiration- long call
	1.000	0.00	09/04/20	43.00	...	-43.00	8 of 17 - Option expiration- long call
	5.000	0.00	09/08/20	60.00	...	-60.00	9 of 17 - Option expiration- long call
	4.000	0.00	09/08/20	40.00	...	-40.00	10 of 17 - Option expiration- long call
	4.000	0.00	09/08/20	40.00	...	-40.00	11 of 17 - Option expiration- long call
	1.000	0.00	09/08/20	10.00	...	-10.00	12 of 17 - Option expiration- long call
	1.000	0.00	09/08/20	10.00	...	-10.00	13 of 17 - Option expiration- long call
	2.000	0.00	09/09/20	8.00	...	-8.00	14 of 17 - Option expiration- long call

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/11/2020 CALL \$356.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	09/09/20	6.00	...	-6.00	15 of 17 - Option expiration- long call
	1.000	0.00	09/09/20	4.00	...	-4.00	16 of 17 - Option expiration- long call
	20.000	0.00	09/10/20	40.00	...	-40.00	17 of 17 - Option expiration- long call
09/11/20	50.000	0.00	Various	1,315.00	...	-1,315.00	Total of 17 transactions
SPY 09/16/2020 CALL \$362.00 / CUSIP: / Symbol:							
9 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	09/10/20	100.00	...	-100.00	1 of 9 - Option expiration- long call
	5.000	0.00	09/10/20	15.00	...	-15.00	2 of 9 - Option expiration- long call
	11.000	0.00	09/11/20	11.00	...	-11.00	3 of 9 - Option expiration- long call
	7.000	0.00	09/11/20	7.00	...	-7.00	4 of 9 - Option expiration- long call
	7.000	0.00	09/11/20	7.00	...	-7.00	5 of 9 - Option expiration- long call
	6.000	0.00	09/11/20	6.00	...	-6.00	6 of 9 - Option expiration- long call
	2.000	0.00	09/11/20	2.00	...	-2.00	7 of 9 - Option expiration- long call
	1.000	0.00	09/11/20	1.00	...	-1.00	8 of 9 - Option expiration- long call
	1.000	0.00	09/11/20	1.00	...	-1.00	9 of 9 - Option expiration- long call
09/16/20	50.000	0.00	Various	150.00	...	-150.00	Total of 9 transactions
SPY 09/21/2020 CALL \$336.00 / CUSIP: / Symbol:							
14 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	09/18/20	740.00	...	-740.00	1 of 14 - Option expiration- long call
	10.000	0.00	09/18/20	660.00	...	-660.00	2 of 14 - Option expiration- long call
	5.000	0.00	09/18/20	280.00	...	-280.00	3 of 14 - Option expiration- long call
	5.000	0.00	09/18/20	280.00	...	-280.00	4 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	132.00	...	-132.00	5 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	132.00	...	-132.00	6 of 14 - Option expiration- long call

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(continued)

02/14/2021

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SPY 09/21/2020 CALL \$336.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	09/18/20	104.00	...	-104.00	7 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	100.00	...	-100.00	8 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	100.00	...	-100.00	9 of 14 - Option expiration- long call
	2.000	0.00	09/18/20	100.00	...	-100.00	10 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	93.00	...	-93.00	11 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	56.00	...	-56.00	12 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	50.00	...	-50.00	13 of 14 - Option expiration- long call
	1.000	0.00	09/18/20	50.00	...	-50.00	14 of 14 - Option expiration- long call
09/21/20	44.000	0.00	Various	2,877.00	...	-2,877.00	Total of 14 transactions
SPY 10/02/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/25/20	2.000	719.97	09/24/20	398.00	...	321.97	Option sale to close-call ²⁵
SPY 10/19/2020 PUT \$325.00 / CUSIP: / Symbol:							
5 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	10/07/20	222.00	...	-222.00	1 of 5 - Option expiration- long put
	1.000	0.00	10/08/20	78.00	...	-78.00	2 of 5 - Option expiration- long put
	1.000	0.00	10/08/20	78.00	...	-78.00	3 of 5 - Option expiration- long put
	3.000	0.00	10/12/20	51.00	...	-51.00	4 of 5 - Option expiration- long put
	2.000	0.00	10/12/20	28.00	...	-28.00	5 of 5 - Option expiration- long put
10/19/20	9.000	0.00	Various	457.00	...	-457.00	Total of 5 transactions
SPY 10/19/2020 PUT \$342.00 / CUSIP: / Symbol:							
10/15/20	2.000	393.98	10/13/20	192.00	...	201.98	Option sale to close-put ²⁵
SPY 10/28/2020 PUT \$324.00 / CUSIP: / Symbol:							
10/19/20	1.000	35.98	10/16/20	36.00	...	-0.02	Option sale to close-put ²⁵

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(continued)

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SRNE 11/20/2020 CALL \$7.00 / CUSIP: / Symbol:							
4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	11/11/20	108.00	...	-48.01	1 of 4 - Option sale to close-call ²⁵
	1.000	29.99	11/11/20	54.00	...	-24.01	2 of 4 - Option sale to close-call ²⁵
	1.000	30.00	11/11/20	54.00	...	-24.00	3 of 4 - Option sale to close-call ²⁵
	1.000	30.00	11/11/20	54.00	...	-24.00	4 of 4 - Option sale to close-call ²⁵
11/12/20	5.000	149.98	Various	270.00	...	-120.02	Total of 4 transactions
TSLA 10/09/2020 PUT \$392.50 / CUSIP: / Symbol:							
10/02/20	1.000	564.97	10/01/20	400.00	...	164.97	Option sale to close-put ²⁵
TSLA 10/16/2020 PUT \$382.00 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	519.98	10/05/20	475.00	...	44.98	1 of 2 - Option sale to close-put ²⁵
	1.000	519.98	10/06/20	370.00	...	149.98	2 of 2 - Option sale to close-put ²⁵
10/06/20	2.000	1,039.96	Various	845.00	...	194.96	Total of 2 transactions
TSLA 10/16/2020 PUT \$391.00 / CUSIP: / Symbol:							
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/07/20	350.00	...	-350.00	1 of 4 - Option expiration- long put
	1.000	0.00	10/08/20	248.00	...	-248.00	2 of 4 - Option expiration- long put
	1.000	0.00	10/12/20	52.00	...	-52.00	3 of 4 - Option expiration- long put
	10.000	0.00	10/15/20	180.00	...	-180.00	4 of 4 - Option expiration- long put
10/16/20	13.000	0.00	Various	830.00	...	-830.00	Total of 4 transactions
TSLA 11/27/2020 PUT \$410.00 / CUSIP: / Symbol:							
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/19/20	102.00	...	-102.00	1 of 5 - Option expiration- long put
	6.000	0.00	11/24/20	60.00	...	-60.00	2 of 5 - Option expiration- long put
	4.000	0.00	11/24/20	40.00	...	-40.00	3 of 5 - Option expiration- long put
	13.000	0.00	11/25/20	13.00	...	-13.00	4 of 5 - Option expiration- long put
	3.000	0.00	11/25/20	3.00	...	-3.00	5 of 5 - Option expiration- long put
11/27/20	27.000	0.00	Various	218.00	...	-218.00	Total of 5 transactions

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(continued)

02/14/2021

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TTM 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	55.00	07/16/20	35.00	...	20.00	1 of 2 - Option sale to close-call ²⁵
	5.000	274.98	08/04/20	125.00	...	149.98	2 of 2 - Option sale to close-call ²⁵
08/26/20	6.000	329.98	Various	160.00	...	169.98	Total of 2 transactions
UBER 10/02/2020 CALL \$34.50 / CUSIP: / Symbol:							
09/28/20	10.000	1,659.94	09/25/20	820.00	...	839.94	Option sale to close-call ²⁵
UPS 09/11/2020 CALL \$172.50 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	08/31/20	192.00	...	-190.01	1 of 3 - Option sale to close-call ²⁵
	2.000	1.99	09/03/20	92.00	...	-90.01	2 of 3 - Option sale to close-call ²⁵
	1.000	1.00	09/04/20	25.00	...	-24.00	3 of 3 - Option sale to close-call ²⁵
09/11/20	5.000	4.98	Various	309.00	...	-304.02	Total of 3 transactions
VAL 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/08/20	120.00	...	-120.00	Option expiration- long call
VAL 06/19/2020 PUT \$1.00 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	06/11/20	25.00	...	-20.02	Option sale to close-put ²⁵
VSTM 08/21/2020 CALL \$2.00 / CUSIP: / Symbol:							
07/10/20	5.000	54.98	06/23/20	175.00	120.02 W	0.00	Option sale to close-call ²⁵
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	07/10/20	152.02	...	-152.02	1 of 4 - Option expiration- long call
	3.000	0.00	07/10/20	42.00	...	-42.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/10/20	38.00	...	-38.00	3 of 4 - Option expiration- long call
	2.000	0.00	07/10/20	28.00	...	-28.00	4 of 4 - Option expiration- long call
08/21/20	10.000	0.00	Various	260.02	...	-260.02	Total of 4 transactions
	Security total:	54.98		435.02	120.02 W	-260.02	
WBA 01/15/2021 CALL \$47.50 / CUSIP: / Symbol:							
11/10/20	5.000	434.98	09/18/20	275.00	...	159.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WFC 01/15/2021 CALL \$32.50 / CUSIP: / Symbol:							
08/14/20	5.000	409.98	08/12/20	390.00	...	19.98	Option sale to close-call ²⁵
WFC 09/04/2020 CALL \$24.50 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	10.000	279.97	08/28/20	540.00	...	-260.03	1 of 2 - Option sale to close-call ²⁵
	10.000	279.97	09/01/20	190.00	...	89.97	2 of 2 - Option sale to close-call ²⁵
09/02/20	20.000	559.94	Various	730.00	...	-170.06	Total of 2 transactions
WFC 09/11/2020 CALL \$25.50 / CUSIP: / Symbol:							
7 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	12.000	0.00	09/04/20	492.00	...	-492.00	1 of 7 - Option expiration- long call
	5.000	0.00	09/04/20	205.00	...	-205.00	2 of 7 - Option expiration- long call
	3.000	0.00	09/04/20	123.00	...	-123.00	3 of 7 - Option expiration- long call
	12.000	0.00	09/08/20	96.00	...	-96.00	4 of 7 - Option expiration- long call
	10.000	0.00	09/08/20	70.00	...	-70.00	5 of 7 - Option expiration- long call
	7.000	0.00	09/08/20	56.00	...	-56.00	6 of 7 - Option expiration- long call
	1.000	0.00	09/08/20	8.00	...	-8.00	7 of 7 - Option expiration- long call
09/11/20	50.000	0.00	Various	1,050.00	...	-1,050.00	Total of 7 transactions
WKHS 09/11/2020 CALL \$19.00 / CUSIP: / Symbol:							
09/08/20	2.000	469.97	09/01/20	270.00	...	199.97	Option sale to close-call ²⁵
WM 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
08/10/20	1.000	109.98	08/05/20	90.00	...	19.98	Option sale to close-call ²⁵
WMT 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	2.000	549.98	08/28/20	586.00	...	-36.02	1 of 5 - Option sale to close-call ²⁵
	1.000	274.99	08/31/20	127.00	...	147.99	2 of 5 - Option sale to close-call ²⁵
	1.000	274.99	08/31/20	121.00	...	153.99	3 of 5 - Option sale to close-call ²⁵
	1.000	274.99	08/31/20	101.00	...	173.99	4 of 5 - Option sale to close-call ²⁵
	1.000	275.00	08/31/20	101.00	...	174.00	5 of 5 - Option sale to close-call ²⁵
09/01/20	6.000	1,649.95	Various	1,036.00	...	613.95	Total of 5 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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WMT 10/09/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/09/20	1.000	0.00	10/05/20	37.00	...	-37.00	Option expiration- long call
WORK 07/31/2020 PUT \$29.00 / CUSIP: / Symbol:							
07/24/20	1.000	97.98	07/16/20	63.00	...	34.98	Option sale to close-put ²⁵
WORK 09/04/2020 CALL \$33.00 / CUSIP: / Symbol:							
09/01/20	5.000	834.97	08/28/20	345.00	...	489.97	Option sale to close-call ²⁵
WORK 09/25/2020 CALL \$28.00 / CUSIP: / Symbol:							
5 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/15/20	36.00	...	-36.00	1 of 5 - Option expiration- long call
	6.000	0.00	09/16/20	102.00	...	-102.00	2 of 5 - Option expiration- long call
	1.000	0.00	09/16/20	17.00	...	-17.00	3 of 5 - Option expiration- long call
	1.000	0.00	09/16/20	17.00	...	-17.00	4 of 5 - Option expiration- long call
	1.000	0.00	09/16/20	17.00	...	-17.00	5 of 5 - Option expiration- long call
09/25/20	10.000	0.00	Various	189.00	...	-189.00	Total of 5 transactions
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	100.000	310.28	07/30/20	407.00	...	-96.72	1 of 3 - Sale ²⁵
	59.000	183.07	07/30/20	240.13	...	-57.06	2 of 3 - Sale ²⁵
	41.000	127.21	07/30/20	166.87	...	-39.66	3 of 3 - Sale ²⁵
08/18/20	200.000	620.56	Various	814.00	...	-193.44	Total of 3 transactions
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
05/07/20	100.000	411.00	05/06/20	403.99	...	7.01	Sale ²⁵
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol:							
05/13/20	1,000.000	195.38	04/09/20	146.80	...	48.58	Sale ²⁵
08/18/20	1,000.000	204.28	08/17/20	200.00	...	4.28	Sale ²⁵
	Security total:	399.66		346.80	...	52.86	
AGEX THERAPEUTICS, INC. / CUSIP: 00848H108 / Symbol:							
10/08/20	200.000	399.98	10/07/20	270.00	...	129.98	Sale ²⁵

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(continued)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	2.000	472.76	12/24/20	479.00	...	-6.24	1 of 4 - Sale ²⁵
	1.000	236.38	12/24/20	239.56	...	-3.18	2 of 4 - Sale ²⁵
	1.000	236.38	12/24/20	239.50	...	-3.12	3 of 4 - Sale ²⁵
	1.000	236.37	12/24/20	219.45	...	16.92	4 of 4 - Sale ²⁵
12/29/20	5.000	1,181.89	Various	1,177.51	...	4.38	Total of 4 transactions
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	236.45	12/22/20	256.50	20.05 W	0.00	1 of 3 - Sale ²⁵
	2.000	472.90	12/22/20	513.00	40.10 W	0.00	2 of 3 - Sale ²⁵
	1.000	236.39	12/22/20	256.50	20.11 W	0.00	3 of 3 - Sale ²⁵
12/29/20	4.000	945.74	Various	1,026.00	80.26 W	0.00	Total of 3 transactions
	Security total:	2,127.63		2,203.51	80.26 W	4.38	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
04/09/20	100.000	612.97	04/07/20	487.99	...	124.98	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
08/12/20	0.162	510.69	08/11/20	500.00	...	10.69	Sale ²⁵
09/02/20	0.287	1,010.79	09/01/20	1,000.00	...	10.79	Sale ²⁵
	Security total:	1,521.48		1,500.00	...	21.48	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/09/20	30.000	375.00	04/02/20	306.90	...	68.10	Sale ²⁵
05/21/20	100.000	998.97	05/11/20	979.81	...	19.16	Sale ²⁵
08/31/20	35.000	473.90	08/28/20	476.26	...	-2.36	Sale ²⁵
	Security total:	1,847.87		1,762.97	...	84.90	
ANTERO RESOURCES CORPORATION / CUSIP: 03674X106 / Symbol:							
04/24/20	100.000	225.00	04/21/20	207.00	...	18.00	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10/12/20	1.000	121.09	10/08/20	115.45	...	5.64	Sale ²⁵
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	120.10	10/08/20	115.45	...	4.65	1 of 2 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.000	120.22	10/08/20	115.46	...	4.76	2 of 2 - Sale ²⁵
10/16/20	2.000	240.32	Various	230.91	...	9.41	Total of 2 transactions
	Security total:	361.41		346.36	...	15.05	
ARCUS BIOSCIENCES, INC. / CUSIP: 03969F109 / Symbol:							
04/24/20	10.000	286.80	04/16/20	273.60	...	13.20	Sale ²⁵
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
08/17/20	40.000	1,189.17	08/14/20	1,140.80	...	48.37	Sale ²⁵
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
07/15/20	100.000	893.98	07/14/20	848.99	...	44.99	Sale ²⁵
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	369.04	02/28/20	403.28	...	-34.24	1 of 5 - Sale ²⁵
	1.000	184.52	03/19/20	170.37	...	14.15	2 of 5 - Sale ²⁵
	2.000	369.04	05/12/20	349.08	...	19.96	3 of 5 - Sale ²⁵
	2.000	369.04	05/13/20	339.94	...	29.10	4 of 5 - Sale ²⁵
	2.000	369.05	05/15/20	337.96	...	31.09	5 of 5 - Sale ²⁵
05/28/20	9.000	1,660.69	Various	1,600.63	...	60.06	Total of 5 transactions
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	100.000	61.99	10/05/20	72.01	...	-10.02	1 of 4 - Sale ²⁵
	72.000	44.63	10/05/20	51.85	...	-7.22	2 of 4 - Sale ²⁵
	128.000	79.34	10/05/20	85.76	...	-6.42	3 of 4 - Sale ²⁵
	28.000	17.36	10/05/20	18.76	...	-1.40	4 of 4 - Sale ²⁵
10/06/20	328.000	203.32	Various	228.38	...	-25.06	Total of 4 transactions
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	72.000	44.63	10/05/20	48.24	3.61 W	0.00	1 of 2 - Sale ²⁵
	100.000	61.99	10/05/20	67.00	5.01 W	0.00	2 of 2 - Sale ²⁵
10/06/20	172.000	106.62	Various	115.24	8.62 W	0.00	Total of 2 transactions
	Security total:	309.94		343.62	8.62 W	-25.06	

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BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	2.000	344.77	03/17/20	242.65	...	102.12	1 of 2 - Sale ²⁵
	3.000	517.15	03/19/20	299.07	...	218.08	2 of 2 - Sale ²⁵
03/27/20	5.000	861.92	Various	541.72	...	320.20	Total of 2 transactions
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	172.39	02/28/20	271.13	98.74 W	0.00	1 of 2 - Sale ²⁵
	1.000	172.39	03/05/20	265.99	93.60 W	0.00	2 of 2 - Sale ²⁵
03/27/20	2.000	344.78	Various	537.12	192.34 W	0.00	Total of 2 transactions
04/06/20	3.000	435.01	04/02/20	384.00	...	51.01	Sale ²⁵
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	145.01	04/02/20	226.74	81.73 W	0.00	1 of 2 - Sale ²⁵
	1.000	145.01	04/02/20	221.60	76.59 W	0.00	2 of 2 - Sale ²⁵
04/06/20	2.000	290.02	Various	448.34	158.32 W	0.00	Total of 2 transactions
5 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	130.00	04/24/20	211.18	...	-81.18	1 of 5 - Sale ²⁵
	1.000	130.00	04/24/20	206.04	...	-76.04	2 of 5 - Sale ²⁵
	3.000	390.00	04/24/20	388.35	...	1.65	3 of 5 - Sale ²⁵
	1.000	130.00	05/04/20	127.95	...	2.05	4 of 5 - Sale ²⁵
	5.000	649.99	05/06/20	629.68	...	20.31	5 of 5 - Sale ²⁵
05/07/20	11.000	1,429.99	Various	1,563.20	...	-133.21	Total of 5 transactions
10/07/20	5.000	822.96	10/06/20	797.30	...	25.66	Sale ²⁵
	Security total:	4,184.68		4,271.68	350.66 W	263.66	
CALIX, INC. / CUSIP: 13100M509 / Symbol:							
08/28/20	15.000	317.48	08/28/20	312.00	...	5.48	Sale ²⁵
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
06/09/20	100.000	194.99	06/05/20	133.00	...	61.99	Sale ²⁵
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
04/14/20	1,500.000	201.57	04/09/20	269.55	...	-67.98	Sale ²⁵
CIELO SA SPONSORED ADR (BRAZI L) / CUSIP: 171778202 / Symbol:							
08/18/20	1,000.000	863.96	06/15/20	1,080.00	...	-216.04	Sale ²⁵

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
04/17/20	1,000.000	179.98	04/15/20	180.10	0.12 W	0.00	Sale ²⁵
	<i>14 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	26.50	04/24/20	23.64	...	2.86	1 of 14 - Sale ²⁵
	300.000	158.99	04/24/20	141.85	...	17.14	2 of 14 - Sale ²⁵
	300.000	158.99	04/24/20	141.85	...	17.14	3 of 14 - Sale ²⁵
	150.000	79.50	04/27/20	43.52	...	35.98	4 of 14 - Sale ²⁵
	200.000	105.99	04/27/20	58.02	...	47.97	5 of 14 - Sale ²⁵
	1.000	0.53	05/28/20	0.25	...	0.28	6 of 14 - Sale ²⁵
	99.000	52.47	05/28/20	24.72	...	27.75	7 of 14 - Sale ²⁵
	100.000	52.99	05/28/20	24.97	...	28.02	8 of 14 - Sale ²⁵
	100.000	53.00	05/28/20	24.97	...	28.03	9 of 14 - Sale ²⁵
	100.000	53.00	05/28/20	24.97	...	28.03	10 of 14 - Sale ²⁵
	100.000	53.00	05/28/20	24.97	...	28.03	11 of 14 - Sale ²⁵
	100.000	53.00	05/28/20	24.97	...	28.03	12 of 14 - Sale ²⁵
	200.000	106.00	05/28/20	49.94	...	56.06	13 of 14 - Sale ²⁵
	200.000	106.00	05/28/20	49.94	...	56.06	14 of 14 - Sale ²⁵
06/08/20	2,000.000	1,059.96	Various	658.58	...	401.38	Total of 14 transactions
07/31/20	1,000.000	60.88	07/10/20	254.00	...	-193.12	Sale ²⁵
	Security total:	1,300.82		1,092.68	0.12 W	208.26	
DIGIRAD CORPORATION COMMON STO CK / CUSIP: 253827703 / Symbol:							
	<i>3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	9.04	03/06/20	20.49	...	-11.45	1 of 3 - Sale ²⁵
	40.000	120.47	07/13/20	108.46	...	12.01	2 of 3 - Sale ²⁵
	57.000	171.67	07/14/20	147.52	...	24.15	3 of 3 - Sale ²⁵
07/16/20	100.000	301.18	Various	276.47	...	24.71	Total of 3 transactions
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol:							
09/01/20	1,000.000	859.87	08/18/20	979.90	...	-120.03	Sale ²⁵
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
08/31/20	10.000	367.53	08/28/20	372.90	...	-5.37	Sale ²⁵
ENSERVCO CORP. / CUSIP: 29358Y102 / Symbol:							
09/18/20	3,000.000	522.52	09/17/20	731.70	...	-209.18	Sale ²⁵

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EQUILLIUM, INC. COMMON STOCK / CUSIP: 29446K106 / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	5.00	07/14/20	20.65	...	-15.65	1 of 3 - Sale ²⁵
	9.000	44.95	08/12/20	80.37	...	-35.42	2 of 3 - Sale ²⁵
	10.000	49.95	08/13/20	74.80	...	-24.85	3 of 3 - Sale ²⁵
09/04/20	20.000	99.90	Various	175.82	...	-75.92	Total of 3 transactions
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
07/22/20	50.000	312.50	07/13/20	342.94	...	-30.44	Sale ²⁵
EYEPOINT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233G100 / Symbol:							
09/03/20	250.000	121.25	08/21/20	223.05	...	-101.80	Sale ²⁵
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	4.000	25.98	11/13/19	27.54	...	-1.56	1 of 2 - Sale ²⁵
	100.000	649.47	12/06/19	675.97	...	-26.50	2 of 2 - Sale ²⁵
01/14/20	104.000	675.45	Various	703.51	...	-28.06	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	20.000	146.46	03/06/20	189.94	...	-43.48	1 of 6 - Sale ²⁵
	20.000	146.45	03/19/20	128.37	...	18.08	2 of 6 - Sale ²⁵
	10.000	73.23	04/16/20	63.15	...	10.08	3 of 6 - Sale ²⁵
	30.000	219.68	04/23/20	196.72	...	22.96	4 of 6 - Sale ²⁵
	20.000	146.46	04/29/20	132.74	...	13.72	5 of 6 - Sale ²⁵
	50.000	366.13	05/13/20	283.25	...	82.88	6 of 6 - Sale ²⁵
05/27/20	150.000	1,098.41	Various	994.17	...	104.24	Total of 6 transactions
GENIUS BRANDS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
09/03/20	50.000	52.75	07/22/20	109.99	...	-57.24	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
08/20/20	770.000	962.38	08/20/20	752.21	...	210.17	Sale ²⁵

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(continued)

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GREENPRO CAPITAL CORP. COMMON STOCK / CUSIP: 39540F101 / Symbol:							
4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	100.000	176.99	08/18/20	173.00	...	3.99	1 of 4 - Sale ²⁵
	300.000	530.94	08/18/20	519.00	...	11.94	2 of 4 - Sale ²⁵
	100.000	176.99	08/18/20	155.99	...	21.00	3 of 4 - Sale ²⁵
	100.000	177.09	08/18/20	148.00	...	29.09	4 of 4 - Sale ²⁵
08/18/20	600.000	1,062.01	Various	995.99	...	66.02	Total of 4 transactions
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
04/24/20	15.000	131.55	04/22/20	123.71	...	7.84	Sale ²⁵
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
07/15/20	200.000	259.98	07/13/20	241.00	...	18.98	Sale ²⁵
HELIUS MEDICAL TECHNOLOGIES, INC. CLASS A COMMON STOCK (DE) / CUSIP: 42328V504 / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1,000.000	470.16	08/13/20	489.70	...	-19.54	1 of 2 - Sale ²⁵
	100.000	47.02	08/26/20	47.96	...	-0.94	2 of 2 - Sale ²⁵
08/28/20	1,100.000	517.18	Various	537.66	...	-20.48	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	100.000	144.28	06/12/20	286.76	...	-142.48	1 of 2 - Sale ²⁵
	100.000	144.28	07/15/20	142.95	...	1.33	2 of 2 - Sale ²⁵
09/03/20	200.000	288.56	Various	429.71	...	-141.15	Total of 2 transactions
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U209 / Symbol:							
08/03/20	80.000	123.99	07/31/20	144.10	...	-20.11	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/05/20	50.000	494.59	02/28/20	212.50	...	282.09	Sale ²⁵
2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	20.000	210.80	03/05/20	191.36	...	19.44	1 of 2 - Sale ²⁵
	25.000	293.60	03/06/20	269.12	...	24.48	2 of 2 - Sale ²⁵
03/06/20	45.000	504.40	Various	460.48	...	43.92	Total of 2 transactions

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	10.000	112.91	03/19/20	71.30	...	41.61	1 of 3 - Sale ²⁵
	10.000	112.92	03/27/20	75.00	...	37.92	2 of 3 - Sale ²⁵
	15.000	169.37	04/06/20	122.70	...	46.67	3 of 3 - Sale ²⁵
05/11/20	35.000	395.20	Various	269.00	...	126.20	Total of 3 transactions
05/11/20	18.000	203.24	03/06/20	276.41	73.17 W	0.00	Sale ²⁵
05/12/20	82.000	986.44	05/11/20	943.82	...	42.62	Sale ²⁵
05/12/20	18.000	216.53	05/11/20	280.35	63.82 W	0.00	Sale ²⁵
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	665.73	06/03/20	623.26	...	42.47	1 of 2 - Sale ²⁵
	100.000	1,331.46	06/04/20	1,187.81	...	143.65	2 of 2 - Sale ²⁵
06/10/20	150.000	1,997.19	Various	1,811.07	...	186.12	Total of 2 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	18.000	239.66	05/20/20	347.59	107.93 W	0.00	1 of 2 - Sale ²⁵
	32.000	426.07	05/20/20	504.48	78.41 W	0.00	2 of 2 - Sale ²⁵
06/10/20	50.000	665.73	Various	852.07	186.34 W	0.00	Total of 2 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	10.000	176.92	09/01/20	285.88	...	-108.96	1 of 2 - Sale ²⁵
	2.000	35.38	09/01/20	57.29	...	-21.91	2 of 2 - Sale ²⁵
09/18/20	12.000	212.30	Various	343.17	...	-130.87	Total of 2 transactions
10 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	18.000	298.99	06/26/20	673.13	374.14 W	0.00	1 of 10 - Sale ²⁵
	32.000	531.54	06/26/20	1,083.21	551.67 W	0.00	2 of 10 - Sale ²⁵
	50.000	874.49	06/26/20	1,570.00	695.51 W	0.00	3 of 10 - Sale ²⁵
	4.000	70.77	06/30/20	104.70	33.93 W	0.00	4 of 10 - Sale ²⁵
	4.000	70.77	07/14/20	103.24	32.47 W	0.00	5 of 10 - Sale ²⁵
	10.000	176.92	07/14/20	250.00	73.08 W	0.00	6 of 10 - Sale ²⁵
	2.000	35.38	07/15/20	49.18	13.80 W	0.00	7 of 10 - Sale ²⁵
	2.000	35.38	08/28/20	65.22	29.84 W	0.00	8 of 10 - Sale ²⁵
	3.000	53.08	08/28/20	98.17	45.09 W	0.00	9 of 10 - Sale ²⁵
	13.000	229.99	09/01/20	418.51	72.51 W	-116.01	10 of 10 - Sale ²⁵
09/18/20	138.000	2,377.31	Various	4,415.36	1,922.04 W	-116.01	Total of 10 transactions

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Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	30.000	383.42	09/01/20	757.75	...	-374.33	1 of 2 - Sale ²⁵
	20.000	255.61	09/01/20	571.76	...	-316.15	2 of 2 - Sale ²⁵
10/13/20	50.000	639.03	Various	1,329.51	...	-690.48	Total of 2 transactions
10/27/20	2.000	21.24	09/03/20	49.76	...	-28.52	Sale ²⁵
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	5.000	52.60	09/03/20	122.31	...	-69.71	1 of 2 - Sale ²⁵
	3.000	31.56	09/03/20	74.97	...	-43.41	2 of 2 - Sale ²⁵
10/30/20	8.000	84.16	Various	197.28	...	-113.12	Total of 2 transactions
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	110.42	09/03/20	238.70	...	-128.28	1 of 2 - Sale ²⁵
	10.000	112.02	09/03/20	238.70	...	-126.68	2 of 2 - Sale ²⁵
11/18/20	20.000	222.44	Various	477.40	...	-254.96	Total of 2 transactions
4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	10.000	112.75	09/03/20	172.68	...	-59.93	1 of 4 - Sale ²⁵
	4.000	45.10	09/03/20	73.77	...	-28.67	2 of 4 - Sale ²⁵
	4.000	45.10	09/03/20	72.31	...	-27.21	3 of 4 - Sale ²⁵
	2.000	22.55	09/03/20	33.72	...	-11.17	4 of 4 - Sale ²⁵
11/19/20	20.000	225.50	Various	352.48	...	-126.98	Total of 4 transactions
	Security total:	9,245.30		12,270.66	2,245.37 W	-779.99	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
07/28/20	200.000	304.98	07/24/20	293.00	...	11.98	Sale ²⁵
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	50.000	230.00	04/08/20	199.50	...	30.50	1 of 2 - Sale ²⁵
	50.000	230.00	04/08/20	199.50	...	30.50	2 of 2 - Sale ²⁵
04/09/20	100.000	460.00	Various	399.00	...	61.00	Total of 2 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
12/29/20	10.000	854.48	12/28/20	820.55	...	33.93	Sale ²⁵

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JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
06/10/20	50.000	1,099.97	06/10/20	573.50	...	526.47	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
08/05/20	100.000	269.99	08/04/20	264.67	...	5.32	Sale ²⁵
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	6.000	29.61	04/09/20	25.92	...	3.69	1 of 2 - Sale ²⁵
	94.000	463.88	04/09/20	406.08	...	57.80	2 of 2 - Sale ²⁵
04/24/20	100.000	493.49	Various	432.00	...	61.49	Total of 2 transactions
09/17/20	100.000	461.02	09/16/20	465.76	...	-4.74	Sale ²⁵
	Security total:	954.51		897.76	...	56.75	
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	49.000	23.52	06/15/20	33.20	...	-9.68	1 of 4 - Sale ²⁵
	36.000	17.28	06/15/20	24.39	...	-7.11	2 of 4 - Sale ²⁵
	4.000	1.92	06/15/20	2.71	...	-0.79	3 of 4 - Sale ²⁵
	1.000	0.48	06/15/20	0.68	...	-0.20	4 of 4 - Sale ²⁵
07/16/20	90.000	43.20	Various	60.98	...	-17.78	Total of 4 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
07/20/20	10.000	2,086.07	07/14/20	2,068.79	...	17.28	Sale ²⁵
MIDATECH PHARMA PLC AMERICAN D EPOSITARY SHS / CUSIP: 59564R302 / Symbol:							
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	300.000	598.15	08/14/20	1,260.05	...	-661.90	1 of 5 - Sale ²⁵
	200.000	399.96	08/14/20	840.02	...	-440.06	2 of 5 - Sale ²⁵
	100.000	199.98	08/14/20	489.99	...	-290.01	3 of 5 - Sale ²⁵
	100.000	199.98	08/14/20	489.99	...	-290.01	4 of 5 - Sale ²⁵
	100.000	199.38	08/20/20	350.01	...	-150.63	5 of 5 - Sale ²⁵
09/04/20	800.000	1,597.45	Various	3,430.06	...	-1,832.61	Total of 5 transactions

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MIDATECH PHARMA PLC AMERICAN D EPOSITARY SHS / CUSIP: 59564R302 / Symbol: (cont'd)							
<i>3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	400.02	08/14/20	690.00	289.98 W	0.00	1 of 3 - Sale ²⁵
	200.000	399.98	08/14/20	690.00	290.02 W	0.00	2 of 3 - Sale ²⁵
	400.000	799.93	08/14/20	1,380.00	580.07 W	0.00	3 of 3 - Sale ²⁵
09/04/20	800.000	1,599.93	Various	2,760.00	1,160.07 W	0.00	Total of 3 transactions
	Security total:	3,197.38		6,190.06	1,160.07 W	-1,832.61	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
07/23/20	200.000	1,829.93	07/23/20	1,615.00	...	214.93	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
04/15/20	5.000	187.52	02/28/20	126.15	...	61.37	Sale ²⁵
<i>2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	864.63	07/14/20	1,122.86	258.23 W	0.00	1 of 2 - Sale ²⁵
	5.000	288.21	08/12/20	347.85	59.64 W	0.00	2 of 2 - Sale ²⁵
09/10/20	20.000	1,152.84	Various	1,470.71	317.87 W	0.00	Total of 2 transactions
09/21/20	10.000	679.03	09/18/20	838.73	...	-159.70	Sale ²⁵
<i>2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	356.34	09/30/20	441.34	...	-85.00	1 of 2 - Sale ²⁵
	5.000	356.33	09/30/20	414.90	...	-58.57	2 of 2 - Sale ²⁵
10/05/20	10.000	712.67	Various	856.24	...	-143.57	Total of 2 transactions
	Security total:	2,732.06		3,291.83	317.87 W	-241.90	
MYOS RENS TECHNOLOGY INC. COMM ON STOCK / CUSIP: 62857P108 / Symbol:							
<i>2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	150.99	08/05/20	209.00	...	-58.01	1 of 2 - Sale ²⁵
	100.000	150.99	08/05/20	209.00	...	-58.01	2 of 2 - Sale ²⁵
08/19/20	200.000	301.98	Various	418.00	...	-116.02	Total of 2 transactions
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
<i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.80	08/13/20	4.13	...	0.67	1 of 2 - Sale ²⁵
	999.000	4,794.97	08/13/20	4,125.87	...	669.10	2 of 2 - Sale ²⁵
08/13/20	1,000.000	4,799.77	Various	4,130.00	...	669.77	Total of 2 transactions

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Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
06/03/20	50.000	116.63	04/08/20	108.50	...	8.13	Sale ²⁵
9 METERS BIOPHARMA, INC. COMMO N STOCK / CUSIP: 654405109 / Symbol:							
<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	350.000	204.71	08/19/20	380.28	...	-175.57	1 of 2 - Sale ²⁵
	100.000	58.49	08/20/20	73.89	...	-15.40	2 of 2 - Sale ²⁵
09/02/20	450.000	263.20	Various	454.17	...	-190.97	Total of 2 transactions
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
04/23/20	300.000	187.46	04/09/20	100.80	...	86.66	Sale ²⁵
06/08/20	100.000	199.99	06/05/20	108.50	...	91.49	Sale ²⁵
<i>3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	586.97	06/12/20	1,188.00	...	-601.03	1 of 3 - Sale ²⁵
	500.000	293.48	07/28/20	340.60	...	-47.12	2 of 3 - Sale ²⁵
	500.000	293.48	08/04/20	337.25	...	-43.77	3 of 3 - Sale ²⁵
09/16/20	2,000.000	1,173.93	Various	1,865.85	...	-691.92	Total of 3 transactions
	Security total:	1,561.38		2,075.15	...	-513.77	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
07/28/20	1,000.000	794.87	07/24/20	384.00	...	410.87	Sale ²⁵
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	5.07	03/19/20	6.68	...	-1.61	1 of 2 - Sale ²⁵
	60.000	101.39	03/19/20	89.39	...	12.00	2 of 2 - Sale ²⁵
04/16/20	63.000	106.46	Various	96.07	...	10.39	Total of 2 transactions
04/16/20	14.000	23.66	03/06/20	33.99	2.21 W	-8.12	Sale ²⁵
	Security total:	130.12		130.06	2.21 W	2.27	
OUTLOOK THERAPEUTICS, INC. COMMON STOCK / CUSIP: 69012T206 / Symbol:							
07/16/20	100.000	156.00	07/13/20	147.90	...	8.10	Sale ²⁵

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PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	7.000	1,093.90	12/21/20	1,024.80	...	69.10	1 of 2 - Sale ²⁵
	1.000	156.27	12/22/20	143.73	...	12.54	2 of 2 - Sale ²⁵
12/24/20	8.000	1,250.17	Various	1,168.53	...	81.64	Total of 2 transactions
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	997.000	589.19	06/09/20	532.90	...	56.29	1 of 3 - Sale ²⁵
	1,003.000	593.44	06/09/20	536.10	...	57.34	2 of 3 - Sale ²⁵
	1,000.000	590.97	06/24/20	399.20	...	191.77	3 of 3 - Sale ²⁵
07/23/20	3,000.000	1,773.60	Various	1,468.20	...	305.40	Total of 3 transactions
RPC, INC. / CUSIP: 749660106 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	25.000	75.00	04/09/20	67.19	...	7.81	1 of 2 - Sale ²⁵
	75.000	225.37	04/09/20	201.57	...	23.80	2 of 2 - Sale ²⁵
04/24/20	100.000	300.37	Various	268.76	...	31.61	Total of 2 transactions
RIGEL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 766559603 / Symbol:							
07/14/20	200.000	846.96	07/14/20	823.50	...	23.46	Sale ²⁵
SONY CORPORATION ADS / CUSIP: 835699307 / Symbol:							
06/22/20	5.000	357.26	06/10/20	354.10	...	3.16	Sale ²⁵
STEIN MART INC COMMON STOCK / CUSIP: 858375108 / Symbol:							
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	4,000.000	99.53	08/17/20	850.80	...	-751.27	1 of 3 - Sale ²⁵
	1,000.000	24.88	08/18/20	112.90	...	-88.02	2 of 3 - Sale ²⁵
	1,000.000	24.88	08/19/20	103.90	...	-79.02	3 of 3 - Sale ²⁵
09/15/20	6,000.000	149.29	Various	1,067.60	...	-918.31	Total of 3 transactions

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/13/20	1.000	521.77	01/13/20	517.51	...	4.26	Sale ²⁵
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	1.000	1,566.53	07/14/20	1,515.23	...	51.30	1 of 2 - Sale ²⁵
	1.000	1,566.53	07/14/20	1,509.67	...	56.86	2 of 2 - Sale ²⁵
07/20/20	2.000	3,133.06	Various	3,024.90	...	108.16	Total of 2 transactions
08/11/20	5.000	7,325.08	08/11/20	6,883.05	...	442.03	Sale ²⁵
08/14/20	0.068	111.77	08/11/20	100.00	...	11.77	Sale ²⁵
	3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	0.472	213.75	08/31/20	230.00	16.25 W	0.00	1 of 3 - Sale ²⁵
	0.515	233.26	09/01/20	250.00	16.74 W	0.00	2 of 3 - Sale ²⁵
	0.012	5.54	09/01/20	5.91	0.37 W	0.00	3 of 3 - Sale ²⁵
09/15/20	1.000	452.55	Various	485.91	33.36 W	0.00	Total of 3 transactions
	7 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	0.183	81.16	09/03/20	75.00	...	6.16	1 of 7 - Sale ²⁵
	0.426	188.59	09/03/20	180.00	...	8.59	2 of 7 - Sale ²⁵
	0.894	396.18	09/03/20	370.00	...	26.18	3 of 7 - Sale ²⁵
	0.010	4.29	09/04/20	3.99	...	0.30	4 of 7 - Sale ²⁵
	0.476	210.95	09/04/20	196.01	...	14.94	5 of 7 - Sale ²⁵
	0.020	8.76	09/08/20	6.78	...	1.98	6 of 7 - Sale ²⁵
	0.999	442.77	09/08/20	343.22	...	99.55	7 of 7 - Sale ²⁵
09/18/20	3.007	1,332.70	Various	1,175.00	...	157.70	Total of 7 transactions
	6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	0.472	209.31	09/01/20	244.44	35.13 W	0.00	1 of 6 - Sale ²⁵
	0.033	14.58	09/01/20	16.97	2.39 W	0.00	2 of 6 - Sale ²⁵
	0.012	5.42	09/01/20	6.27	0.85 W	0.00	3 of 6 - Sale ²⁵
	0.483	213.84	09/01/20	248.38	34.54 W	0.00	4 of 6 - Sale ²⁵
	0.505	223.88	09/01/20	243.65	19.77 W	0.00	5 of 6 - Sale ²⁵
	1.000	443.14	09/02/20	458.99	7.84 W	-8.01	6 of 6 - Sale ²⁵
09/18/20	2.505	1,110.17	Various	1,218.70	100.52 W	-8.01	Total of 6 transactions
	6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	0.472	198.12	10/06/20	229.47	...	-31.35	1 of 6 - Sale ²⁵
	0.483	202.41	10/06/20	233.09	...	-30.68	2 of 6 - Sale ²⁵
	0.505	211.92	10/06/20	227.64	...	-15.72	3 of 6 - Sale ²⁵

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.495	207.54	10/06/20	211.42	...	-3.88	4 of 6 - Sale ²⁵
	0.033	13.80	10/06/20	15.93	...	-2.13	5 of 6 - Sale ²⁵
	0.012	5.13	10/06/20	5.89	...	-0.76	6 of 6 - Sale ²⁵
10/07/20	2.000	838.92	Various	923.44	...	-84.52	Total of 6 transactions
	Security total:	14,826.02		14,328.51	133.88 W	631.39	
22ND CENTURY GROUP INC. / CUSIP: 90137F103 / Symbol:							
08/19/20	394.000	257.43	08/18/20	246.25	...	11.18	Sale ²⁵
UNITEDHEALTH GROUP INCORPORATE D (DELAWARE) / CUSIP: 91324P102 / Symbol:							
04/07/20	1.000	249.90	03/19/20	218.71	...	31.19	Sale ²⁵
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
4 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	50.000	111.00	02/28/20	143.25	...	-32.25	1 of 4 - Sale ²⁵
	35.000	77.70	03/05/20	83.60	...	-5.90	2 of 4 - Sale ²⁵
	15.000	33.30	03/05/20	35.70	...	-2.40	3 of 4 - Sale ²⁵
	120.000	266.39	04/03/20	194.39	...	72.00	4 of 4 - Sale ²⁵
04/20/20	220.000	488.39	Various	456.94	...	31.45	Total of 4 transactions
07/14/20	100.000	1,533.45	06/25/20	457.00	...	1,076.45	Sale ²⁵
5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	10.000	92.45	07/20/20	155.18	...	-62.73	1 of 5 - Sale ²⁵
	2.000	18.49	07/21/20	28.14	...	-9.65	2 of 5 - Sale ²⁵
	10.000	92.45	07/24/20	130.00	...	-37.55	3 of 5 - Sale ²⁵
	8.000	73.96	07/27/20	77.04	...	-3.08	4 of 5 - Sale ²⁵
	10.000	92.45	07/29/20	101.10	...	-8.65	5 of 5 - Sale ²⁵
08/19/20	40.000	369.80	Various	491.46	...	-121.66	Total of 5 transactions
10/01/20	100.000	685.22	09/30/20	660.89	...	24.33	Sale ²⁵
	Security total:	3,076.86		2,066.29	...	1,010.57	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
10/02/20	18.000	370.62	10/01/20	360.00	...	10.62	Sale ²⁵

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WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
01/14/20	300.000	105.31	01/14/20	106.50	1.19 W	0.00	Sale ²⁵
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	21.000	20.14	01/14/20	7.54	...	12.60	1 of 2 - Sale ²⁵
	279.000	267.37	01/14/20	100.15	...	167.22	2 of 2 - Sale ²⁵
03/17/20	300.000	287.51	Various	107.69	...	179.82	Total of 2 transactions
	2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	100.000	179.99	03/19/20	259.76	...	-79.77	1 of 2 - Sale ²⁵
	105.000	188.99	04/02/20	113.27	...	75.72	2 of 2 - Sale ²⁵
05/04/20	205.000	368.98	Various	373.03	...	-4.05	Total of 2 transactions
	Security total:	761.80		587.22	1.19 W	175.77	
WASTE MANAGEMENT, INC. / CUSIP: 94106L109 / Symbol:							
07/21/20	2.000	216.03	07/16/20	215.08	...	0.95	Sale ²⁵
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
04/21/20	500.000	209.44	04/09/20	192.40	...	17.04	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/24/20	100.000	814.10	06/24/20	821.98	...	-7.88	Sale ²⁵
X FINANCIAL AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING TW O CLASS A ORDINARY SHARES / CUSIP: 98372W103 / Symbol:							
08/28/20	330.000	245.15	08/19/20	326.70	...	-81.55	Sale ²⁵
ZION OIL & GAS INC COMMON STOC K / CUSIP: 989696109 / Symbol:							
	11 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	500.000	84.94	07/31/20	235.00	...	-150.06	1 of 11 - Sale ²⁵
	492.000	83.58	08/11/20	375.34	...	-291.76	2 of 11 - Sale ²⁵
	8.000	1.36	08/11/20	6.10	...	-4.74	3 of 11 - Sale ²⁵
	127.000	21.57	09/01/20	82.19	...	-60.62	4 of 11 - Sale ²⁵
	100.000	16.99	09/01/20	64.72	...	-47.73	5 of 11 - Sale ²⁵
	100.000	16.99	09/01/20	64.72	...	-47.73	6 of 11 - Sale ²⁵
	100.000	16.99	09/01/20	64.71	...	-47.72	7 of 11 - Sale ²⁵
	73.000	12.40	09/01/20	47.24	...	-34.84	8 of 11 - Sale ²⁵
	373.000	63.36	09/01/20	97.39	...	-34.03	9 of 11 - Sale ²⁵
	100.000	16.99	09/01/20	26.11	...	-9.12	10 of 11 - Sale ²⁵

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ZION OIL & GAS INC COMMON STOC K / CUSIP: 989696109 / Symbol: (cont'd)							
	27.000	4.59	09/01/20	7.05	...	-2.46	11 of 11 - Sale ²⁵
09/04/20	2,000.000	339.76	Various	1,070.57	...	-730.81	Total of 11 transactions
<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	1.39	07/31/20	4.48	3.09 W	0.00	1 of 2 - Sale ²⁵
	992.000	172.79	07/31/20	555.77	382.98 W	0.00	2 of 2 - Sale ²⁵
09/04/20	1,000.000	174.18	Various	560.25	386.07 W	0.00	Total of 2 transactions
	Security total:	513.94		1,630.82	386.07 W	-730.81	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
09/22/20	2,000.000	199.76	09/21/20	204.00	...	-4.24	Sale ²⁵
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	547.000	278.90	10/07/20	328.20	...	-49.30	1 of 2 - Sale ²⁵
	253.000	129.00	10/07/20	151.80	...	-22.80	2 of 2 - Sale ²⁵
10/08/20	800.000	407.90	Various	480.00	...	-72.10	Total of 2 transactions
GASLOG LTD / CUSIP: G37585109 / Symbol:							
<i>5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	435.99	04/20/20	516.10	...	-80.11	1 of 5 - Sale ²⁵
	27.000	117.72	05/01/20	115.52	...	2.20	2 of 5 - Sale ²⁵
	73.000	318.27	05/20/20	277.39	...	40.88	3 of 5 - Sale ²⁵
	20.000	87.20	05/27/20	70.20	...	17.00	4 of 5 - Sale ²⁵
	80.000	348.78	05/27/20	280.80	...	67.98	5 of 5 - Sale ²⁵
06/08/20	300.000	1,307.96	Various	1,260.01	...	47.95	Total of 5 transactions
HERMITAGE OFFSHORE SERVICES LT D. / CUSIP: G4511M108 / Symbol:							
09/22/20	600.000	269.93	09/22/20	282.00	...	-12.07	Sale ²⁵
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
07/30/20	100.000	719.97	07/30/20	694.00	...	25.97	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 785380981

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
<i>4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	19.84	06/09/20	19.13	...	0.71	1 of 4 - Sale ²⁵
	300.000	59.51	06/09/20	57.54	...	1.97	2 of 4 - Sale ²⁵
	300.000	59.51	06/09/20	57.54	...	1.97	3 of 4 - Sale ²⁵
	300.000	59.51	06/09/20	57.54	...	1.97	4 of 4 - Sale ²⁵
06/19/20	1,000.000	198.37	Various	191.75	...	6.62	Total of 4 transactions
Totals :		135,367.16		166,939.95	13,425.35 W	-18,147.44	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 785380981

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE)	583840509		10/19/20	2.03	Nonqualified dividend	
			10/19/20	-0.30	Foreign tax withheld-RS	
				2.03	Total Dividends & distributions	
				-0.30	Total Foreign tax withheld	
GASLOG LTD	G37585109		05/28/20	6.35	Nondividend distribution	03
				8.38	Total Dividends & distributions	
				-0.30	Total Foreign tax withheld	

Robinhood Securities LLC

Account 785380981

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/29/20	-5.00	Margin interest paid	
		07/27/20	-2.61	Margin interest paid	
		07/27/20	-5.00	Margin interest paid	
		08/26/20	-5.00	Margin interest paid	
		09/25/20	-1.35	Margin interest paid	
		09/25/20	-5.00	Margin interest paid	
		10/26/20	-0.28	Margin interest paid	
		10/26/20	-5.00	Margin interest paid	
		11/24/20	-5.00	Margin interest paid	
		12/24/20	-5.00	Margin interest paid	
			-39.24	Total Margin interest paid	
			-39.24	Total Margin interest paid	

Robinhood Securities LLC

Account 785380981

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
MN	GASLOG LTD	G37585109	0.00	0.00	0.00	0.00	0.00	0.00
Total MONACO			0.00	0.00	0.00	0.00	0.00	0.00
RS	MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE)	583840509	2.03	0.00	0.00	0.00	2.03	-0.30
Total RUSSIA			2.03	0.00	0.00	0.00	2.03	-0.30
Grand Total	Total Foreign Source Income*			2.03				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax							-0.30

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 785380981

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
LTM 06/19/2020 CALL \$3.50	LTM 06/19/20 C 3.500	06/11/20	-5.00	0.00	Merger	
LTMAQ 06/19/2020 CALL \$3.50	LTMAQ 06/19/20 C 3.500	06/11/20	5.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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