

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 111132544	Statement Date: 02/14/2021 Document ID: 5V48 5DM 2813	2020
	Amar Vudayagiri 2424 Drusilla Ln Apt 39 Baton Rouge, LA 70809 RECIPIENT'S TIN: XXX-XX-4694		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	17.53		2- Royalties	0.00	
1b- Qualified dividends	17.11		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	2.14		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.07				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	29,893.82	26,760.66	0.00	801.67	3,934.83
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	34.59	76.03	0.00	0.00	-41.44
	Total Short-term	29,928.41	26,836.69	0.00	801.67	3,893.39
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	29,928.41	26,836.69	0.00	801.67	3,893.39

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APA 04/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
04/17/20	2.000	0.00	04/09/20	118.00	...	-118.00	Option expiration- long call
APA 05/08/2020 PUT \$11.00 / CUSIP: / Symbol:							
05/06/20	1.000	31.98	05/01/20	148.02	...	-116.04	Option sale to close-put ²⁵
05/06/20	1.000	31.98	05/01/20	90.00	58.02 W	0.00	Option sale to close-put ²⁵
	Security total:	63.96		238.02	58.02 W	-116.04	
USO 04/17/2020 PUT \$4.50 / CUSIP: / Symbol:							
04/16/20	2.000	61.98	04/09/20	54.00	...	7.98	Option sale to close-put ²⁵
AAR CORP. / CUSIP: 000361105 / Symbol:							
07/02/20	2.000	42.29	06/25/20	37.51	...	4.78	Sale ²⁵
08/24/20	3.000	58.80	06/25/20	56.27	...	2.53	Sale ²⁵
	Security total:	101.09		93.78	...	7.31	

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Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
08/11/20	3.000	14.20	06/24/20	13.97	...	0.23	Sale ²⁵
08/11/20	20.000	94.64	06/11/20	101.36	6.72 W	0.00	Sale ²⁵
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	2.000	11.38	06/24/20	9.32	...	2.06	1 of 2 - Sale ²⁵
	8.000	45.52	06/24/20	37.26	...	8.26	2 of 2 - Sale ²⁵
08/13/20	10.000	56.90	Various	46.58	...	10.32	Total of 2 transactions
08/24/20	5.000	26.71	06/24/20	23.29	...	3.42	Sale ²⁵
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	2.000	12.28	06/24/20	9.32	...	2.96	1 of 2 - Sale ²⁵
	1.000	6.14	07/24/20	4.40	...	1.74	2 of 2 - Sale ²⁵
08/27/20	3.000	18.42	Various	13.72	...	4.70	Total of 2 transactions
08/28/20	2.000	12.59	07/24/20	8.81	...	3.78	Sale ²⁵
09/02/20	5.000	35.20	07/24/20	22.02	...	13.18	Sale ²⁵
6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	12.000	49.20	07/24/20	52.85	...	-3.65	1 of 6 - Sale ²⁵
	3.000	12.30	07/24/20	12.20	...	0.10	2 of 6 - Sale ²⁵
	7.000	28.70	09/24/20	32.34	...	-3.64	3 of 6 - Sale ²⁵
	11.000	45.10	10/07/20	44.64	...	0.46	4 of 6 - Sale ²⁵
	9.000	36.90	10/13/20	31.93	...	4.97	5 of 6 - Sale ²⁵
	10.000	40.99	11/02/20	21.50	...	19.49	6 of 6 - Sale ²⁵
11/09/20	52.000	213.19	Various	195.46	...	17.73	Total of 6 transactions
Security total:		471.85		425.21	6.72 W	53.36	
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
07/01/20	5.000	90.65	05/13/20	66.90	...	23.75	Sale ²⁵
07/21/20	5.000	97.35	05/13/20	66.90	...	30.45	Sale ²⁵
08/10/20	5.000	105.35	05/13/20	66.90	...	38.45	Sale ²⁵
08/11/20	1.000	22.19	05/13/20	13.38	...	8.81	Sale ²⁵
08/24/20	1.000	21.15	05/13/20	13.38	...	7.77	Sale ²⁵
08/27/20	3.000	63.39	05/13/20	40.14	...	23.25	Sale ²⁵
Security total:		400.08		267.60	...	132.48	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALASKA AIR GROUP, INC. / CUSIP: 011659109 / Symbol:							
05/05/20	10.000	290.92	05/05/20	289.84	...	1.08	Sale ²⁵
08/10/20	2.000	77.96	06/09/20	92.42	...	-14.46	Sale ²⁵
08/27/20	1.000	39.30	06/10/20	41.45	...	-2.15	Sale ²⁵
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	42.21	06/10/20	41.44	...	0.77	1 of 2 - Sale ²⁵
	1.000	42.19	07/07/20	36.09	...	6.10	2 of 2 - Sale ²⁵
09/03/20	2.000	84.40	Various	77.53	...	6.87	Total of 2 transactions
	Security total:	492.58		501.24	...	-8.66	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
05/26/20	2.000	412.77	05/22/20	398.45	...	14.32	Sale ²⁵
ALLEGIANT TRAVEL COMPANY COMMON STOCK / CUSIP: 01748X102 / Symbol:							
07/10/20	1.000	107.26	07/07/20	106.35	...	0.91	Sale ²⁵
07/15/20	1.000	117.69	07/07/20	106.42	...	11.27	Sale ²⁵
07/23/20	1.000	114.08	07/09/20	100.34	...	13.74	Sale ²⁵
	Security total:	339.03		313.11	...	25.92	
ALTA MESA RESOURCES, INC. CLASS A COMMON STOCK / CUSIP: 02133L109 / Symbol:							
05/05/20	350.000	1.19	06/18/19	53.55	...	-52.36	Sale ²⁵
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/28/20	5.000	52.95	04/24/20	50.09	...	2.86	Sale ²⁵
04/28/20	5.000	52.96	03/19/20	53.55	0.59 W	0.00	Sale ²⁵
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	5.000	80.56	05/05/20	48.97	...	31.59	1 of 2 - Sale ²⁵
	10.000	161.12	05/05/20	96.75	...	64.37	2 of 2 - Sale ²⁵
06/04/20	15.000	241.68	Various	145.72	...	95.96	Total of 2 transactions
08/10/20	7.000	98.84	05/06/20	64.75	...	34.09	Sale ²⁵
08/27/20	2.000	27.77	05/06/20	18.50	...	9.27	Sale ²⁵
10/06/20	5.000	66.26	05/06/20	46.25	...	20.01	Sale ²⁵
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	14.13	05/06/20	9.25	...	4.88	1 of 3 - Sale ²⁵
	10.000	141.25	06/22/20	149.58	...	-8.33	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	28.25	06/24/20	26.48	...	1.77	3 of 3 - Sale ²⁵
11/09/20	13.000	183.63	Various	185.31	...	-1.68	Total of 3 transactions
	Security total:	724.09		564.17	0.59 W	160.51	
APACHE CORPORATION COMMON STOC K / CUSIP: 037411105 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	30.000	385.04	03/13/20	213.55	...	171.49	1 of 2 - Sale ²⁵
	30.000	385.03	03/17/20	143.90	...	241.13	2 of 2 - Sale ²⁵
04/30/20	60.000	770.07	Various	357.45	...	412.62	Total of 2 transactions
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	30.000	362.14	03/27/20	144.90	...	217.24	1 of 2 - Sale ²⁵
	30.000	362.13	03/30/20	121.46	...	240.67	2 of 2 - Sale ²⁵
05/21/20	60.000	724.27	Various	266.36	...	457.91	Total of 2 transactions
10/08/20	10.000	99.91	10/01/20	91.00	...	8.91	Sale ²⁵
	Security total:	1,594.25		714.81	...	879.44	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	118.80	09/03/20	123.46	...	-4.66	1 of 2 - Sale ²⁵
	1.000	118.81	09/17/20	109.69	...	9.12	2 of 2 - Sale ²⁵
11/05/20	2.000	237.61	Various	233.15	...	4.46	Total of 2 transactions
11/05/20	2.000	237.61	08/31/20	261.34	23.73 W	0.00	Sale ²⁵
11/09/20	2.000	238.20	11/02/20	240.75	...	-2.55	Sale ²⁵
	Security total:	713.42		735.24	23.73 W	1.91	
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
06/03/20	13.000	140.14	05/29/20	103.82	...	36.32	Sale ²⁵
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	3.000	35.70	06/09/20	47.88	...	-12.18	1 of 2 - Sale ²⁵
	2.000	23.79	06/09/20	31.92	...	-8.13	2 of 2 - Sale ²⁵
08/10/20	5.000	59.49	Various	79.80	...	-20.31	Total of 2 transactions
08/24/20	2.000	24.04	06/09/20	31.92	...	-7.88	Sale ²⁵
08/27/20	2.000	23.99	06/09/20	31.92	...	-7.93	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AZUL S.A. AMERICAN DEPOSITORY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol: (cont'd)							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	12.66	06/09/20	15.96	...	-3.30	1 of 2 - Sale ²⁵
	1.000	12.66	06/09/20	15.83	...	-3.17	2 of 2 - Sale ²⁵
08/28/20	2.000	25.32	Various	31.79	...	-6.47	Total of 2 transactions
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	12.97	06/24/20	11.90	...	1.07	1 of 2 - Sale ²⁵
	2.000	25.94	06/26/20	21.98	...	3.96	2 of 2 - Sale ²⁵
09/02/20	3.000	38.91	Various	33.88	...	5.03	Total of 2 transactions
09/03/20	8.000	109.08	06/26/20	87.91	...	21.17	Sale ²⁵
	Security total:	420.97		401.04	...	19.93	
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
05/05/20	1.000	177.33	05/05/20	178.00	...	-0.67	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	131.00	07/10/20	134.69	...	-3.69	1 of 2 - Sale ²⁵
	1.000	131.00	07/23/20	126.42	...	4.58	2 of 2 - Sale ²⁵
08/03/20	2.000	262.00	Various	261.11	...	0.89	Total of 2 transactions
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
05/05/20	100.000	39.59	03/17/20	24.46	...	15.13	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	2.000	266.98	05/05/20	256.75	...	10.23	1 of 2 - Sale ²⁵
	1.000	133.50	05/06/20	123.32	...	10.18	2 of 2 - Sale ²⁵
05/18/20	3.000	400.48	Various	380.07	...	20.41	Total of 2 transactions
05/18/20	2.000	266.99	04/22/20	271.72	4.73 W	0.00	Sale ²⁵
06/16/20	1.000	206.05	06/09/20	221.68	15.63 W	0.00	Sale ²⁵
08/11/20	1.000	188.09	06/10/20	207.84	...	-19.75	Sale ²⁵
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	175.08	07/07/20	179.34	...	-4.26	1 of 3 - Sale ²⁵
	1.000	175.09	09/24/20	147.28	...	27.81	2 of 3 - Sale ²⁵

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Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	1.000	175.08	09/24/20	146.68	...	28.40	3 of 3 - Sale ²⁵
10/06/20	3.000	525.25	Various	473.30	...	51.95	Total of 3 transactions
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	175.08	06/11/20	196.64	21.56 W	0.00	1 of 2 - Sale ²⁵
	1.000	175.08	06/11/20	181.01	5.93 W	0.00	2 of 2 - Sale ²⁵
10/06/20	2.000	350.16	Various	377.65	27.49 W	0.00	Total of 2 transactions
11/05/20	1.000	157.30	10/29/20	170.11	...	-12.81	Sale ²⁵
11/09/20	1.000	178.10	10/30/20	148.78	...	29.32	Sale ²⁵
	Security total:	2,272.42		2,251.15	47.85 W	69.12	
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
07/23/20	2.000	6.15	07/21/20	5.42	...	0.73	Sale ²⁵
CBL & ASSOC PPTYS INC COMMON S TOCK / CUSIP: 124830100 / Symbol:							
06/12/20	18.000	6.01	05/29/20	5.31	...	0.70	Sale ²⁵
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	135.37	10/29/20	112.40	...	22.97	1 of 2 - Sale ²⁵
	3.000	202.50	10/29/20	168.60	...	33.90	2 of 2 - Sale ²⁵
11/09/20	5.000	337.87	Various	281.00	...	56.87	Total of 2 transactions
CANNTRUST HLDGS INC COM (CANAD A) / CUSIP: 137800207 / Symbol:							
04/28/20	100.000	47.70	03/17/20	45.75	...	1.95	Sale ²⁵
CAPITALA FINANCE CORP. COMMON STOCK / CUSIP: 14054R106 / Symbol:							
3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	6.000	21.36	03/06/20	41.56	...	-20.20	1 of 3 - Sale ²⁵
	25.000	88.99	03/13/20	81.46	...	7.53	2 of 3 - Sale ²⁵
	20.000	71.20	04/01/20	54.76	...	16.44	3 of 3 - Sale ²⁵
04/23/20	51.000	181.55	Various	177.78	...	3.77	Total of 3 transactions

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
07/01/20	5.000	83.12	04/21/20	58.45	...	24.67	Sale ²⁵
	2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	16.27	04/21/20	11.69	...	4.58	1 of 2 - Sale ²⁵
	1.000	16.44	04/21/20	11.69	...	4.75	2 of 2 - Sale ²⁵
08/27/20	2.000	32.71	Various	23.38	...	9.33	Total of 2 transactions
08/28/20	3.000	51.96	04/21/20	35.06	...	16.90	Sale ²⁵
09/03/20	2.000	36.92	06/10/20	41.30	4.38 W	0.00	Sale ²⁵
09/08/20	5.000	93.16	06/10/20	103.24	6.05 W	-4.03	Sale ²⁵
10/06/20	3.000	47.07	06/10/20	61.95	14.88 W	0.00	Sale ²⁵
10/09/20	5.000	78.87	06/25/20	77.64	...	1.23	Sale ²⁵
10/22/20	5.000	75.56	07/07/20	73.49	...	2.07	Sale ²⁵
10/23/20	5.000	76.72	08/03/20	65.39	...	11.33	Sale ²⁵
	5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	2.000	37.22	09/24/20	32.36	...	4.86	1 of 5 - Sale ²⁵
	3.000	55.83	09/24/20	48.02	...	7.81	2 of 5 - Sale ²⁵
	3.000	55.83	10/13/20	57.02	...	-1.19	3 of 5 - Sale ²⁵
	2.000	37.21	10/13/20	28.10	...	9.11	4 of 5 - Sale ²⁵
	1.000	18.61	10/29/20	13.07	...	5.54	5 of 5 - Sale ²⁵
11/09/20	11.000	204.70	Various	178.57	...	26.13	Total of 5 transactions
	Security total:	780.79		718.47	25.31 W	87.63	
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
	4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	0.615	21.58	03/17/20	23.11	...	-1.53	1 of 4 - Sale ²⁵
	0.090	3.16	03/27/20	3.13	...	0.03	2 of 4 - Sale ²⁵
	0.850	29.83	03/30/20	27.37	...	2.46	3 of 4 - Sale ²⁵
	1.445	50.71	04/01/20	43.67	...	7.04	4 of 4 - Sale ²⁵
04/24/20	3.000	105.28	Various	97.28	...	8.00	Total of 4 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/24/20	1.000	150.72	06/12/20	206.36	55.64 W	0.00	Sale ²⁵
06/26/20	1.000	152.48	06/12/20	251.36	98.88 W	0.00	Sale ²⁵
	6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	108.25	06/12/20	269.51	...	-161.26	1 of 6 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	1.000	108.25	06/16/20	144.11	...	-35.86	2 of 6 - Sale ²⁵
	1.000	108.25	06/23/20	130.02	...	-21.77	3 of 6 - Sale ²⁵
	1.000	108.24	06/30/20	126.79	...	-18.55	4 of 6 - Sale ²⁵
	1.000	108.25	07/01/20	121.99	...	-13.74	5 of 6 - Sale ²⁵
	1.000	108.24	07/02/20	105.80	...	2.44	6 of 6 - Sale ²⁵
07/06/20	6.000	649.48	Various	898.22	...	-248.74	Total of 6 transactions
	Security total:	952.68		1,355.94	154.52 W	-248.74	
CYTOKINETICS, INCORPORATED COM MON STOCK / CUSIP: 23282W605 / Symbol:							
10/22/20	5.000	82.20	10/09/20	77.55	...	4.65	Sale ²⁵
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	4.000	54.33	06/25/20	56.50	...	-2.17	1 of 3 - Sale ²⁵
	1.000	13.58	06/25/20	14.17	...	-0.59	2 of 3 - Sale ²⁵
	2.000	27.16	07/10/20	23.74	...	3.42	3 of 3 - Sale ²⁵
07/15/20	7.000	95.07	Various	94.41	...	0.66	Total of 3 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
08/10/20	3.000	87.12	03/17/20	100.47	...	-13.35	Sale ²⁵
08/24/20	1.000	29.57	03/18/20	25.45	...	4.12	Sale ²⁵
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	31.98	03/18/20	25.45	...	6.53	1 of 2 - Sale ²⁵
	2.000	63.82	03/18/20	50.90	...	12.92	2 of 2 - Sale ²⁵
08/28/20	3.000	95.80	Various	76.35	...	19.45	Total of 2 transactions
09/16/20	5.000	173.60	03/20/20	106.95	...	66.65	Sale ²⁵
10/08/20	1.000	32.40	04/07/20	22.26	...	10.14	Sale ²⁵
10/23/20	2.000	67.88	04/07/20	44.52	...	23.36	Sale ²⁵
6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	7.000	268.93	04/07/20	155.82	...	113.11	1 of 6 - Sale ²⁵
	10.000	384.19	05/06/20	211.20	...	172.99	2 of 6 - Sale ²⁵
	2.000	76.84	05/13/20	38.74	...	38.10	3 of 6 - Sale ²⁵
	1.000	38.42	06/24/20	27.46	...	10.96	4 of 6 - Sale ²⁵
	3.000	115.26	06/25/20	81.89	...	33.37	5 of 6 - Sale ²⁵

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	5.000	192.09	09/24/20	145.22	...	46.87	6 of 6 - Sale ²⁵
11/09/20	28.000	1,075.73	Various	660.33	...	415.40	Total of 6 transactions
	Security total:	1,562.10		1,036.33	...	525.77	
DIREXION DAILY SMALL CAP BEAR 3X SHARES / CUSIP: 25460E125 / Symbol:							
06/24/20	3.000	69.99	06/12/20	73.38	...	-3.39	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	7.000	77.63	08/10/20	74.83	...	2.80	1 of 3 - Sale ²⁵
	6.000	66.54	08/10/20	63.05	...	3.49	2 of 3 - Sale ²⁵
	2.000	22.18	08/14/20	17.84	...	4.34	3 of 3 - Sale ²⁵
09/16/20	15.000	166.35	Various	155.72	...	10.63	Total of 3 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	5.000	55.45	07/31/20	111.75	56.30 W	0.00	1 of 2 - Sale ²⁵
	5.000	55.45	08/03/20	75.70	20.25 W	0.00	2 of 2 - Sale ²⁵
09/16/20	10.000	110.90	Various	187.45	76.55 W	0.00	Total of 2 transactions
4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	8.000	90.98	08/14/20	71.35	...	19.63	1 of 4 - Sale ²⁵
	5.000	56.86	08/21/20	89.14	...	-32.28	2 of 4 - Sale ²⁵
	5.000	56.86	08/21/20	53.09	...	3.77	3 of 4 - Sale ²⁵
	2.000	22.74	08/21/20	13.13	...	9.61	4 of 4 - Sale ²⁵
09/18/20	20.000	227.44	Various	226.71	...	0.73	Total of 4 transactions
	Security total:	504.69		569.88	76.55 W	11.36	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
04/28/20	63.000	131.66	04/24/20	100.72	...	30.94	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	20.000	63.12	06/19/20	56.18	...	6.94	1 of 4 - Sale ²⁵
	2.000	6.31	06/22/20	5.28	...	1.03	2 of 4 - Sale ²⁵
	20.000	63.11	06/22/20	53.70	...	9.41	3 of 4 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
07/02/20	8.000	25.25	06/26/20	19.20	...	6.05	4 of 4 - Sale ²⁵
	50.000	157.79	Various	134.36	...	23.43	Total of 4 transactions
	2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	20.000	63.12	06/09/20	81.00	17.88 W	0.00	1 of 2 - Sale ²⁵
	20.000	63.11	06/11/20	64.50	1.39 W	0.00	2 of 2 - Sale ²⁵
07/02/20	40.000	126.23	Various	145.50	19.27 W	0.00	Total of 2 transactions
	3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	9.000	9.49	06/26/20	29.65	20.16 W	0.00	1 of 3 - Sale ²⁵
	11.000	11.61	07/21/20	31.45	19.84 W	0.00	2 of 3 - Sale ²⁵
	8.000	8.44	07/24/20	15.40	6.96 W	0.00	3 of 3 - Sale ²⁵
09/01/20	28.000	29.54	Various	76.50	46.96 W	0.00	Total of 3 transactions
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	17.000	23.71	08/21/20	20.23	...	3.48	1 of 2 - Sale ²⁵
	14.000	19.53	08/24/20	15.61	...	3.92	2 of 2 - Sale ²⁵
09/25/20	31.000	43.24	Various	35.84	...	7.40	Total of 2 transactions
	7 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	1.39	07/24/20	1.93	0.54 W	0.00	1 of 7 - Sale ²⁵
	11.000	15.34	07/27/20	19.62	4.28 W	0.00	2 of 7 - Sale ²⁵
	9.000	12.56	07/27/20	15.43	2.87 W	0.00	3 of 7 - Sale ²⁵
	20.000	27.90	07/31/20	29.70	1.80 W	0.00	4 of 7 - Sale ²⁵
	9.000	12.55	08/20/20	31.55	19.00 W	0.00	5 of 7 - Sale ²⁵
	11.000	15.35	08/20/20	33.75	18.40 W	0.00	6 of 7 - Sale ²⁵
	8.000	11.16	08/21/20	16.48	2.66 W	-2.66	7 of 7 - Sale ²⁵
09/25/20	69.000	96.25	Various	148.46	49.55 W	-2.66	Total of 7 transactions
10/09/20	4.000	5.60	08/24/20	4.46	...	1.14	Sale ²⁵
	5 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	9.000	12.60	09/01/20	28.49	15.89 W	0.00	1 of 5 - Sale ²⁵
	11.000	15.40	09/01/20	30.01	14.61 W	0.00	2 of 5 - Sale ²⁵
	4.000	5.60	09/01/20	6.88	1.28 W	0.00	3 of 5 - Sale ²⁵
	1.000	1.40	09/01/20	1.60	0.20 W	0.00	4 of 5 - Sale ²⁵
	11.000	15.40	09/01/20	15.88	0.48 W	0.00	5 of 5 - Sale ²⁵
10/09/20	36.000	50.40	Various	82.86	32.46 W	0.00	Total of 5 transactions

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
<i>9 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	10.84	09/01/20	12.37	...	-1.53	1 of 9 - Sale ²⁵
	20.000	24.10	09/01/20	22.90	...	1.20	2 of 9 - Sale ²⁵
	9.000	10.84	10/30/20	25.21	...	-14.37	3 of 9 - Sale ²⁵
	11.000	13.26	10/30/20	26.00	...	-12.74	4 of 9 - Sale ²⁵
	3.000	3.61	10/30/20	4.06	...	-0.45	5 of 9 - Sale ²⁵
	1.000	1.20	11/02/20	1.35	...	-0.15	6 of 9 - Sale ²⁵
	1.000	1.21	11/02/20	1.24	...	-0.03	7 of 9 - Sale ²⁵
	11.000	13.26	11/02/20	11.87	...	1.39	8 of 9 - Sale ²⁵
	9.000	10.84	11/02/20	9.31	...	1.53	9 of 9 - Sale ²⁵
11/11/20	74.000	89.16	Various	114.31	...	-25.15	Total of 9 transactions
	Security total:	598.21		742.29	148.24 W	4.16	
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
04/29/20	25.000	42.75	04/21/20	39.63	...	3.12	Sale ²⁵
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
05/26/20	93.000	118.34	04/02/20	73.29	...	45.05	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
07/21/20	20.000	29.26	07/15/20	29.00	...	0.26	Sale ²⁵
HUDSON TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 444144109 / Symbol:							
<i>2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	63.10	06/18/19	79.50	...	-16.40	1 of 2 - Sale ²⁵
	75.000	63.11	04/01/20	48.74	...	14.37	2 of 2 - Sale ²⁵
04/23/20	150.000	126.21	Various	128.24	...	-2.03	Total of 2 transactions
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
<i>2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	114.80	11/02/20	93.95	...	20.85	1 of 2 - Sale ²⁵
	5.000	114.80	11/02/20	93.69	...	21.11	2 of 2 - Sale ²⁵
11/09/20	10.000	229.60	Various	187.64	...	41.96	Total of 2 transactions

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Account 111132544

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	5.000	106.81	07/01/20	101.74	...	5.07	1 of 2 - Sale ²⁵
	5.000	108.24	07/01/20	101.05	...	7.19	2 of 2 - Sale ²⁵
07/02/20	10.000	215.05	Various	202.79	...	12.26	Total of 2 transactions
07/17/20	1.000	27.34	07/15/20	24.16	...	3.18	Sale ²⁵
07/21/20	3.000	81.67	07/15/20	72.47	...	9.20	Sale ²⁵
	Security total:	324.06		299.42	...	24.64	
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
10/22/20	20.000	55.60	10/09/20	55.20	...	0.40	Sale ²⁵
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
09/22/20	10.000	27.92	07/15/20	35.57	...	-7.65	Sale ²⁵
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	8.000	71.38	05/05/20	67.98	...	3.40	1 of 3 - Sale ²⁵
	2.000	17.70	05/13/20	15.85	...	1.85	2 of 3 - Sale ²⁵
	9.000	80.29	05/13/20	71.35	...	8.94	3 of 3 - Sale ²⁵
05/18/20	19.000	169.37	Various	155.18	...	14.19	Total of 3 transactions
KEMPHARM, INC. COMMON STOCK / CUSIP: 488445107 / Symbol:							
05/26/20	100.000	17.49	03/17/20	19.71	...	-2.22	Sale ²⁵
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
08/10/20	5.000	83.45	07/30/20	82.15	...	1.30	Sale ²⁵
LAS VEGAS SANDS CORP. / CUSIP: 517834107 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	2.000	100.31	05/22/20	93.50	...	6.81	1 of 2 - Sale ²⁵
	2.000	100.30	05/22/20	93.40	...	6.90	2 of 2 - Sale ²⁵
05/26/20	4.000	200.61	Various	186.90	...	13.71	Total of 2 transactions

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LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	13.000	37.70	05/07/20	40.93	...	-3.23	1 of 4 - Sale ²⁵
	2.000	5.80	05/13/20	5.68	...	0.12	2 of 4 - Sale ²⁵
	15.000	43.50	05/13/20	42.56	...	0.94	3 of 4 - Sale ²⁵
	13.000	37.70	05/13/20	33.65	...	4.05	4 of 4 - Sale ²⁵
05/18/20	43.000	124.70	Various	122.82	...	1.88	Total of 4 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	2.000	5.80	05/07/20	6.30	0.50 W	0.00	1 of 2 - Sale ²⁵
	15.000	43.50	05/07/20	47.23	3.73 W	0.00	2 of 2 - Sale ²⁵
05/18/20	17.000	49.30	Various	53.53	4.23 W	0.00	Total of 2 transactions
	Security total:	174.00		176.35	4.23 W	1.88	
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
05/04/20	2.000	69.68	04/20/20	79.96	...	-10.28	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
07/13/20	1.000	16.92	07/01/20	16.91	...	0.01	Sale ²⁵
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
06/30/20	20.000	137.84	04/01/20	89.60	...	48.24	Sale ²⁵
5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	19.000	124.69	05/29/20	122.74	...	1.95	1 of 5 - Sale ²⁵
	8.000	52.33	06/22/20	55.34	...	-3.01	2 of 5 - Sale ²⁵
	7.000	45.80	06/24/20	49.37	...	-3.57	3 of 5 - Sale ²⁵
	3.000	19.62	06/24/20	20.08	...	-0.46	4 of 5 - Sale ²⁵
	2.000	13.09	06/25/20	13.04	...	0.05	5 of 5 - Sale ²⁵
07/24/20	39.000	255.53	Various	260.57	...	-5.04	Total of 5 transactions
07/24/20	7.000	45.93	06/22/20	48.43	2.50 W	0.00	Sale ²⁵
	Security total:	439.30		398.60	2.50 W	43.20	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
07/15/20	20.000	111.45	07/07/20	111.55	...	-0.10	Sale ²⁵
MESA AIR GROUP, INC. COMMON STOCK / CUSIP: 590479135 / Symbol:							
04/30/20	35.000	153.65	04/24/20	100.80	...	52.85	Sale ²⁵

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	2.000	366.71	03/06/20	315.80	...	50.91	1 of 2 - Sale ²⁵
	2.000	366.71	03/20/20	274.88	...	91.83	2 of 2 - Sale ²⁵
05/06/20	4.000	733.42	Various	590.68	...	142.74	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	115.17	05/21/20	133.58	...	-18.41	1 of 2 - Sale ²⁵
	4.000	230.33	05/27/20	204.28	...	26.05	2 of 2 - Sale ²⁵
05/28/20	6.000	345.50	Various	337.86	...	7.64	Total of 2 transactions
09/14/20	1.000	63.58	07/24/20	72.59	9.01 W	0.00	Sale ²⁵
09/18/20	1.000	69.92	07/27/20	77.74	7.82 W	0.00	Sale ²⁵
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	69.40	07/27/20	77.74	...	-8.34	1 of 2 - Sale ²⁵
	1.000	69.40	08/10/20	72.42	...	-3.02	2 of 2 - Sale ²⁵
09/25/20	2.000	138.80	Various	150.16	...	-11.36	Total of 2 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	70.48	08/11/20	70.47	...	0.01	1 of 3 - Sale ²⁵
	1.000	70.49	09/01/20	72.71	...	-2.22	2 of 3 - Sale ²⁵
	1.000	70.48	09/08/20	65.80	...	4.68	3 of 3 - Sale ²⁵
09/29/20	3.000	211.45	Various	208.98	...	2.47	Total of 3 transactions
	Security total:	829.25		847.33	16.83 W	-1.25	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	13.89	07/15/20	13.50	...	0.39	1 of 2 - Sale ²⁵
	1.000	13.90	07/15/20	13.48	...	0.42	2 of 2 - Sale ²⁵
08/10/20	2.000	27.79	Various	26.98	...	0.81	Total of 2 transactions
11/12/20	2.000	89.52	11/09/20	87.90	...	1.62	Sale ²⁵
	Security total:	117.31		114.88	...	2.43	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
08/26/20	1.000	120.52	08/25/20	110.10	...	10.42	Sale ²⁵

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OCEAN POWER TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 674870506 / Symbol:							
10/09/20	10.000	26.02	10/08/20	21.47	...	4.55	Sale ²⁵
10/09/20	30.000	78.06	10/07/20	89.10	7.36 W	-3.68	Sale ²⁵
10/16/20	20.000	52.86	10/08/20	50.31	...	2.55	Sale ²⁵
	Security total:	156.94		160.88	7.36 W	3.42	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
05/21/20	31.000	9.76	05/13/20	9.57	...	0.19	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
04/23/20	100.000	30.09	03/17/20	30.97	...	-0.88	Sale ²⁵
OPORTUN FINANCIAL CORPORATION COMMON STOCK / CUSIP: 68376D104 / Symbol:							
06/25/20	5.000	71.13	05/05/20	35.65	...	35.48	Sale ²⁵
07/02/20	2.000	26.64	05/05/20	14.26	...	12.38	Sale ²⁵
07/15/20	5.000	66.50	05/05/20	35.64	...	30.86	Sale ²⁵
07/21/20	3.000	43.29	05/05/20	21.39	...	21.90	Sale ²⁵
07/23/20	1.000	13.85	05/05/20	6.90	...	6.95	Sale ²⁵
	2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	3.000	45.23	05/05/20	20.71	...	24.52	1 of 2 - Sale ²⁵
	6.000	90.45	05/05/20	39.20	...	51.25	2 of 2 - Sale ²⁵
07/30/20	9.000	135.68	Various	59.91	...	75.77	Total of 2 transactions
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	13.28	05/05/20	6.51	...	6.77	1 of 2 - Sale ²⁵
	9.000	119.57	05/05/20	58.80	...	60.77	2 of 2 - Sale ²⁵
08/28/20	10.000	132.85	Various	65.31	...	67.54	Total of 2 transactions
	Security total:	489.94		239.06	...	250.88	
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
09/03/20	10.000	93.02	07/31/20	91.58	...	1.44	Sale ²⁵
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
11/11/20	2.000	210.26	11/09/20	204.17	...	6.09	Sale ²⁵

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PEMBINA PIPELINE CORPORATION / CUSIP: 706327103 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	25.50	06/24/20	24.17	...	1.33	1 of 2 - Sale ²⁵
	2.000	51.00	06/24/20	48.16	...	2.84	2 of 2 - Sale ²⁵
08/10/20	3.000	76.50	Various	72.33	...	4.17	Total of 2 transactions
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
17 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	100.000	18.21	03/17/20	47.10	...	-28.89	1 of 17 - Sale ²⁵
	100.000	18.21	03/18/20	41.01	...	-22.80	2 of 17 - Sale ²⁵
	100.000	18.21	03/26/20	39.68	...	-21.47	3 of 17 - Sale ²⁵
	100.000	18.21	03/26/20	39.68	...	-21.47	4 of 17 - Sale ²⁵
	200.000	36.42	04/01/20	64.90	...	-28.48	5 of 17 - Sale ²⁵
	100.000	18.21	04/02/20	31.01	...	-12.80	6 of 17 - Sale ²⁵
	100.000	18.21	04/02/20	31.01	...	-12.80	7 of 17 - Sale ²⁵
	6.000	1.09	04/02/20	1.95	...	-0.86	8 of 17 - Sale ²⁵
	32.000	5.83	04/20/20	8.12	...	-2.29	9 of 17 - Sale ²⁵
	35.000	6.37	04/24/20	8.57	...	-2.20	10 of 17 - Sale ²⁵
	20.000	3.64	04/24/20	4.88	...	-1.24	11 of 17 - Sale ²⁵
	17.000	3.10	05/05/20	3.91	...	-0.81	12 of 17 - Sale ²⁵
	20.000	3.64	05/05/20	4.40	...	-0.76	13 of 17 - Sale ²⁵
	333.000	60.62	05/06/20	62.34	...	-1.72	14 of 17 - Sale ²⁵
	100.000	18.21	05/06/20	19.25	...	-1.04	15 of 17 - Sale ²⁵
	53.000	9.65	05/06/20	10.20	...	-0.55	16 of 17 - Sale ²⁵
	26.000	4.73	05/07/20	4.74	...	-0.01	17 of 17 - Sale ²⁵
05/20/20	1,442.000	262.56	Various	422.75	...	-160.19	Total of 17 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
09/22/20	3.000	108.01	08/11/20	114.21	...	-6.20	Sale ²⁵
PIONEER NATURAL RESOURCE CO. / CUSIP: 723787107 / Symbol:							
05/07/20	1.000	89.36	05/05/20	85.37	...	3.99	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
11/11/20	2.000	44.14	11/09/20	42.22	...	1.92	Sale ²⁵

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PROSHARES ULTRAPRO SHORT DOW 3 0 / CUSIP: 74347G309 / Symbol:							
06/24/20	7.000	173.27	06/12/20	174.97	...	-1.70	Sale ²⁵
PROSHARES ULTRAPRO SHORT QQQ / CUSIP: 74347G408 / Symbol:							
07/06/20	10.000	67.00	06/12/20	88.78	...	-21.78	Sale ²⁵
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
07/06/20	2.000	59.21	06/12/20	93.88	...	-34.67	Sale ²⁵
PRUDENTIAL FINANCIAL, INC. / CUSIP: 744320102 / Symbol:							
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	70.73	03/06/20	68.94	...	1.79	1 of 4 - Sale ²⁵
	1.000	70.73	03/06/20	68.93	...	1.80	2 of 4 - Sale ²⁵
	2.000	141.46	03/17/20	88.64	...	52.82	3 of 4 - Sale ²⁵
	2.000	141.88	03/19/20	88.28	...	53.60	4 of 4 - Sale ²⁵
11/09/20	6.000	424.80	Various	314.79	...	110.01	Total of 4 transactions
SL GREEN REALTY CORP. / CUSIP: 78440X101 / Symbol:							
06/04/20	1.000	51.77	05/29/20	42.67	...	9.10	Sale ²⁵
06/22/20	4.000	208.57	05/29/20	170.67	...	37.90	Sale ²⁵
	Security total:	260.34		213.34	...	47.00	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
06/03/20	5.000	182.17	05/13/20	123.90	...	58.27	Sale ²⁵
06/24/20	1.000	32.85	05/13/20	24.78	...	8.07	Sale ²⁵
07/02/20	1.000	34.49	05/13/20	24.78	...	9.71	Sale ²⁵
07/21/20	2.000	67.32	05/13/20	49.56	...	17.76	Sale ²⁵
08/10/20	1.000	34.72	05/13/20	24.78	...	9.94	Sale ²⁵
	Security total:	351.55		247.80	...	103.75	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	10.000	167.03	03/20/20	86.98	...	80.05	1 of 2 - Sale ²⁵
	15.000	250.53	05/07/20	144.68	...	105.85	2 of 2 - Sale ²⁵
06/03/20	25.000	417.56	Various	231.66	...	185.90	Total of 2 transactions

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Robinhood Securities LLC

Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	91.33	05/07/20	48.22	...	43.11	1 of 2 - Sale ²⁵
	3.000	54.79	05/13/20	26.57	...	28.22	2 of 2 - Sale ²⁵
08/10/20	8.000	146.12	Various	74.79	...	71.33	Total of 2 transactions
08/27/20	1.000	19.00	05/13/20	8.86	...	10.14	Sale ²⁵
08/28/20	2.000	37.07	05/13/20	17.72	...	19.35	Sale ²⁵
09/08/20	2.000	36.93	05/13/20	17.72	...	19.21	Sale ²⁵
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	2.000	43.52	05/13/20	17.71	...	25.81	1 of 3 - Sale ²⁵
	10.000	217.60	05/13/20	82.69	...	134.91	2 of 3 - Sale ²⁵
	3.000	65.28	06/24/20	51.29	...	13.99	3 of 3 - Sale ²⁵
11/09/20	15.000	326.40	Various	151.69	...	174.71	Total of 3 transactions
	Security total:	983.08		502.44	...	480.64	
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	15.000	98.70	04/02/20	60.72	...	37.98	1 of 2 - Sale ²⁵
	5.000	32.90	06/16/20	31.94	...	0.96	2 of 2 - Sale ²⁵
06/30/20	20.000	131.60	Various	92.66	...	38.94	Total of 2 transactions
07/01/20	4.000	26.81	06/16/20	25.55	...	1.26	Sale ²⁵
	Security total:	158.41		118.21	...	40.20	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
09/14/20	1.000	414.41	09/08/20	347.92	...	66.49	Sale ²⁵
6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	0.821	336.10	08/31/20	400.00	63.90 W	0.00	1 of 6 - Sale ²⁵
	0.179	73.30	08/31/20	87.36	14.06 W	0.00	2 of 6 - Sale ²⁵
	0.001	0.40	08/31/20	0.54	0.14 W	0.00	3 of 6 - Sale ²⁵
	0.001	0.40	09/03/20	0.53	0.13 W	0.00	4 of 6 - Sale ²⁵
	0.179	74.20	09/03/20	86.92	12.72 W	0.00	5 of 6 - Sale ²⁵
	0.820	339.82	09/03/20	397.52	57.70 W	0.00	6 of 6 - Sale ²⁵
09/14/20	2.001	824.22	Various	972.87	148.65 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>7 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.41	09/22/20	0.54	0.13 W	0.00	1 of 7 - Sale ²⁵
	0.198	85.14	09/22/20	98.60	13.46 W	0.00	2 of 7 - Sale ²⁵
	0.149	64.19	09/22/20	74.46	10.27 W	0.00	3 of 7 - Sale ²⁵
	0.622	268.03	09/22/20	310.48	42.45 W	0.00	4 of 7 - Sale ²⁵
	0.001	0.41	09/22/20	0.54	0.13 W	0.00	5 of 7 - Sale ²⁵
	0.198	85.12	09/22/20	97.31	12.19 W	0.00	6 of 7 - Sale ²⁵
	0.030	12.92	09/22/20	14.86	1.94 W	0.00	7 of 7 - Sale ²⁵
09/30/20	1.199	516.22	Various	596.79	80.57 W	0.00	Total of 7 transactions
<i>5 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	0.622	269.63	10/30/20	280.69	...	-11.06	1 of 5 - Sale ²⁵
	0.149	64.57	10/30/20	67.32	...	-2.75	2 of 5 - Sale ²⁵
	0.198	85.63	10/30/20	87.85	...	-2.22	3 of 5 - Sale ²⁵
	0.030	12.99	10/30/20	13.42	...	-0.43	4 of 5 - Sale ²⁵
	0.001	0.42	10/30/20	0.50	...	-0.08	5 of 5 - Sale ²⁵
11/05/20	1.000	433.24	Various	449.78	...	-16.54	Total of 5 transactions
	Security total:	2,188.09		2,367.36	229.22 W	49.95	
TRANSLATE BIO, INC. COMMON STO CK / CUSIP: 89374L104 / Symbol:							
07/21/20	10.000	194.02	07/15/20	188.95	...	5.07	Sale ²⁵
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
<i>2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.00	04/24/20	24.99	...	12.01	1 of 2 - Sale ²⁵
	5.000	184.95	04/24/20	124.95	...	60.00	2 of 2 - Sale ²⁵
08/10/20	6.000	221.95	Various	149.94	...	72.01	Total of 2 transactions
08/24/20	1.000	36.06	04/24/20	24.99	...	11.07	Sale ²⁵
08/27/20	1.000	37.59	04/24/20	24.99	...	12.60	Sale ²⁵
<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.30	04/24/20	24.99	...	12.31	1 of 2 - Sale ²⁵
	1.000	37.34	04/24/20	24.99	...	12.35	2 of 2 - Sale ²⁵
08/28/20	2.000	74.64	Various	49.98	...	24.66	Total of 2 transactions
10/08/20	1.000	36.69	05/06/20	22.99	...	13.70	Sale ²⁵
10/23/20	2.000	76.00	05/06/20	45.98	...	30.02	Sale ²⁵

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Robinhood Securities LLC

Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	7.000	306.39	05/06/20	160.92	...	145.47	1 of 5 - Sale ²⁵
	10.000	437.70	06/22/20	358.99	...	78.71	2 of 5 - Sale ²⁵
	1.000	43.77	06/24/20	33.86	...	9.91	3 of 5 - Sale ²⁵
	2.000	87.54	09/24/20	65.85	...	21.69	4 of 5 - Sale ²⁵
	5.000	218.84	09/24/20	164.03	...	54.81	5 of 5 - Sale ²⁵
11/09/20	25.000	1,094.24	Various	783.65	...	310.59	Total of 5 transactions
	Security total:	1,577.17		1,102.52	...	474.65	
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.750	49.93	03/27/20	62.84	...	-12.91	1 of 4 - Sale ²⁵
	2.000	57.06	04/17/20	67.04	...	-9.98	2 of 4 - Sale ²⁵
	2.500	71.32	04/20/20	76.70	...	-5.38	3 of 4 - Sale ²⁵
	3.750	106.99	04/21/20	88.80	...	18.19	4 of 4 - Sale ²⁵
06/05/20	10.000	285.30	Various	295.38	...	-10.08	Total of 4 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.500	71.33	04/21/20	59.20	...	12.13	1 of 2 - Sale ²⁵
	0.500	14.26	04/24/20	10.24	...	4.02	2 of 2 - Sale ²⁵
06/10/20	3.000	85.59	Various	69.44	...	16.15	Total of 2 transactions
	Security total:	370.89		364.82	...	6.07	
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	7.000	7.00	06/09/20	9.99	...	-2.99	1 of 4 - Sale ²⁵
	35.000	35.00	06/10/20	40.95	...	-5.95	2 of 4 - Sale ²⁵
	22.000	22.00	06/11/20	20.91	...	1.09	3 of 4 - Sale ²⁵
	70.000	69.99	06/22/20	68.03	...	1.96	4 of 4 - Sale ²⁵
06/23/20	134.000	133.99	Various	139.88	...	-5.89	Total of 4 transactions

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Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.403	5.08	07/15/20	0.00	...	5.08	1 of 2 - Sale ²⁵
	1.597	5.78	07/31/20	0.00	...	5.78	2 of 2 - Sale ²⁵
09/22/20	3.000	10.86	Various	0.00	...	10.86	Total of 2 transactions
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	0.201	0.73	07/15/20	0.00	...	0.73	1 of 2 - Sale ²⁵
	0.799	2.92	07/31/20	0.00	...	2.92	2 of 2 - Sale ²⁵
09/22/20	1.000	3.65	Various	0.00	...	3.65	Total of 2 transactions
ZION OIL & GAS INC COMMON STOC K / CUSIP: 989696109 / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	37.000	8.07	12/20/19	5.96	...	2.11	1 of 2 - Sale ²⁵
	142.000	30.97	03/18/20	20.77	...	10.20	2 of 2 - Sale ²⁵
04/23/20	179.000	39.04	Various	26.73	...	12.31	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	2.000	814.21	11/09/20	843.30	...	-29.09	1 of 2 - Sale ²⁵
	1.000	407.10	11/10/20	367.38	...	39.72	2 of 2 - Sale ²⁵
11/11/20	3.000	1,221.31	Various	1,210.68	...	10.63	Total of 2 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
08/10/20	1.000	15.11	04/20/20	12.03	...	3.08	Sale ²⁵
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	16.86	04/20/20	12.03	...	4.83	1 of 2 - Sale ²⁵
	1.000	17.20	04/20/20	12.03	...	5.17	2 of 2 - Sale ²⁵
08/27/20	2.000	34.06	Various	24.06	...	10.00	Total of 2 transactions
08/28/20	2.000	35.42	04/20/20	24.06	...	11.36	Sale ²⁵
09/03/20	2.000	37.38	04/20/20	24.06	...	13.32	Sale ²⁵

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Account 111132544

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	3.000	53.65	04/20/20	36.08	...	17.57	1 of 2 - Sale ²⁵
	2.000	35.76	06/22/20	34.01	...	1.75	2 of 2 - Sale ²⁵
10/06/20	5.000	89.41	Various	70.09	...	19.32	Total of 2 transactions
10/23/20	1.000	18.34	06/22/20	17.01	...	1.33	Sale ²⁵
11/05/20	2.000	34.42	06/22/20	34.01	...	0.41	Sale ²⁵
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	111.15	06/22/20	85.03	...	26.12	1 of 3 - Sale ²⁵
	5.000	111.15	08/03/20	64.95	...	46.20	2 of 3 - Sale ²⁵
	2.000	44.46	09/24/20	29.47	...	14.99	3 of 3 - Sale ²⁵
11/09/20	12.000	266.76	Various	179.45	...	87.31	Total of 3 transactions
	Security total:	530.90		384.77	...	146.13	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
06/03/20	5.000	290.66	05/04/20	199.77	...	90.89	Sale ²⁵
08/10/20	5.000	286.05	05/05/20	186.08	...	99.97	Sale ²⁵
08/24/20	1.000	63.71	06/22/20	51.07	...	12.64	Sale ²⁵
08/27/20	1.000	66.81	06/22/20	51.07	...	15.74	Sale ²⁵
08/28/20	1.000	70.42	06/22/20	51.07	...	19.35	Sale ²⁵
09/03/20	2.000	148.26	06/22/20	102.15	...	46.11	Sale ²⁵
10/06/20	1.000	68.53	06/24/20	49.22	...	19.31	Sale ²⁵
10/09/20	1.000	70.23	06/25/20	46.67	...	23.56	Sale ²⁵
11/09/20	4.000	300.00	06/25/20	186.66	...	113.34	Sale ²⁵
	Security total:	1,364.67		923.76	...	440.91	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
07/15/20	53.000	5.59	07/10/20	5.89	...	-0.30	Sale ²⁵
07/21/20	40.000	4.69	07/10/20	4.44	...	0.25	Sale ²⁵
	Security total:	10.28		10.33	...	-0.05	
Totals :		29,893.82		26,760.66	801.67 W	3,934.83	

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Account 111132544

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
04/27/20	0.385	6.26	03/17/20	14.47	...	-8.21	Gain or loss
INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol:							
03/18/20	0.900	2.31	03/06/20	5.13	...	-2.82	Gain or loss
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
09/14/20	0.169	0.77	07/15/20	0.00	...	0.77	Gain or loss
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
09/14/20	0.584	2.64	07/15/20	0.00	...	2.64	Gain or loss
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
	2 transactions for 09/14/20						
	0.401	11.21	07/15/20	32.67	...	-21.46	Gain or loss
	0.407	11.40	07/31/20	23.76	...	-12.36	Gain or loss
09/14/20	0.808	22.61	Various	56.43	...	-33.82	Total of 2 transactions
Totals:		34.59		76.03	...	-41.44	

Robinhood Securities LLC

Account 111132544

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APACHE CORPORATION COMMON STOCK	037411105		05/22/20	3.00	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		11/12/20	0.41	Qualified dividend	
CAPITALA FINANCE CORP. COMMON STOCK	14054R106		03/30/20	2.14	Nondividend distribution	03
			03/30/20	0.42	Nonqualified dividend	03
			03/30/20	0.02	Qualified dividend	03
			2.58	Total Dividends & distributions		
PEMBINA PIPELINE CORPORATION	706327103		08/14/20	0.48	Qualified dividend	
			08/14/20	-0.07	Foreign tax withheld-CA	
			0.48	Total Dividends & distributions		
			-0.07	Total Foreign tax withheld		
PRUDENTIAL FINANCIAL, INC.	744320102		06/18/20	6.60	Qualified dividend	
			09/17/20	6.60	Qualified dividend	
			13.20	Total Dividends & distributions		
			19.67	Total Dividends & distributions		
			-0.07	Total Foreign tax withheld		

Robinhood Securities LLC

Account 111132544

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	PEMBINA PIPELINE CORPORATION	706327103	0.00	0.48		0.00	0.48	-0.07
Total CANADA			0.00	0.48		0.00	0.48	-0.07
Grand Total	Total Foreign Source Income*			0.48				
	Qualified Foreign Source Income**			0.48				
	Foreign Tax							-0.07

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 111132544

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024	966387110	09/02/20	3.00	0.00	Merger	
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024	966387128	09/02/20	1.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387409	09/02/20	-60.50	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.