

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 155078678	Statement Date: 02/14/2021 Document ID: 1CYX 34N 60KC	2020
	Firdous Shaikh 50063 Monroe Street 93 Canton, MI 48188 RECIPIENT'S TIN: XXX-XX-9417		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	64,664.44	73,228.60	0.00	1,220.60	-7,343.56
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	64,664.44	73,228.60	0.00	1,220.60	-7,343.56
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	64,664.44	73,228.60	0.00	1,220.60	-7,343.56
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 155078678

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/02/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	64.98	06/23/20	102.00	...	-37.02	1 of 2 - Option sale to close-call ²⁵
	1.000	65.98	06/23/20	102.00	...	-36.02	2 of 2 - Option sale to close-call ²⁵
06/24/20	2.000	130.96	Various	204.00	...	-73.04	Total of 2 transactions
AAPL 03/27/2020 CALL \$240.00 / CUSIP: / Symbol:							
03/24/20	1.000	589.97	03/23/20	261.00	...	328.97	Option sale to close-call ²⁵
AAPL 04/17/2020 CALL \$272.50 / CUSIP: / Symbol:							
04/14/20	1.000	969.96	04/13/20	290.00	...	679.96	Option sale to close-call ²⁵
AAPL 04/17/2020 CALL \$282.50 / CUSIP: / Symbol:							
04/16/20	1.000	689.97	04/15/20	375.00	...	314.97	Option sale to close-call ²⁵

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Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/17/2020 CALL \$285.00 / CUSIP: / Symbol:							
04/16/20	1.000	499.97	04/15/20	325.00	...	174.97	Option sale to close-call ²⁵
AAPL 04/24/2020 CALL \$282.50 / CUSIP: / Symbol:							
04/17/20	1.000	459.97	04/17/20	695.00	...	-235.03	Option sale to close-call ²⁵
AAPL 04/24/2020 PUT \$270.00 / CUSIP: / Symbol:							
04/22/20	1.000	256.98	04/21/20	485.00	...	-228.02	Option sale to close-put ²⁵
AAPL 05/22/2020 CALL \$315.00 / CUSIP: / Symbol:							
05/18/20	1.000	484.97	05/18/20	358.00	...	126.97	Option sale to close-call ²⁵
ALLY 03/20/2020 PUT \$24.00 / CUSIP: / Symbol:							
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	02/28/20	105.00	...	-45.02	1 of 2 - Option sale to close-put ²⁵
	1.000	64.98	02/28/20	105.00	...	-40.02	2 of 2 - Option sale to close-put ²⁵
03/03/20	2.000	124.96	Various	210.00	...	-85.04	Total of 2 transactions
AMC 05/01/2020 PUT \$2.50 / CUSIP: / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	79.98	04/09/20	54.00	...	25.98	1 of 2 - Option sale to close-put ²⁵
	1.000	84.98	04/09/20	54.00	...	30.98	2 of 2 - Option sale to close-put ²⁵
04/13/20	2.000	164.96	Various	108.00	...	56.96	Total of 2 transactions
AMD 04/17/2020 CALL \$51.00 / CUSIP: / Symbol:							
04/09/20	1.000	99.98	04/09/20	126.00	...	-26.02	Option sale to close-call ²⁵
AMD 04/17/2020 CALL \$57.50 / CUSIP: / Symbol:							
04/17/20	2.000	87.98	04/16/20	124.00	...	-36.02	Option sale to close-call ²⁵
AMD 04/17/2020 PUT \$47.00 / CUSIP: / Symbol:							
04/09/20	1.000	132.98	04/08/20	164.00	...	-31.02	Option sale to close-put ²⁵

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Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/24/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	237.98	04/22/20	140.00	...	97.98	1 of 2 - Option sale to close-call ²⁵
	1.000	238.98	04/22/20	140.00	...	98.98	2 of 2 - Option sale to close-call ²⁵
04/23/20	2.000	476.96	Various	280.00	...	196.96	Total of 2 transactions
AMD 04/24/2020 CALL \$58.00 / CUSIP: / Symbol:							
04/17/20	1.000	163.98	04/16/20	208.00	...	-44.02	Option sale to close-call ²⁵
AMD 05/01/2020 CALL \$54.00 / CUSIP: / Symbol:							
04/30/20	1.000	58.98	04/29/20	100.00	...	-41.02	Option sale to close-call ²⁵
AMD 05/22/2020 PUT \$55.00 / CUSIP: / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	65.99	05/21/20	42.00	...	23.99	1 of 3 - Option sale to close-put ²⁵
	1.000	65.99	05/21/20	42.00	...	23.99	2 of 3 - Option sale to close-put ²⁵
	2.000	121.98	05/21/20	84.00	...	37.98	3 of 3 - Option sale to close-put ²⁵
05/22/20	4.000	253.96	Various	168.00	...	85.96	Total of 3 transactions
AMD 06/12/2020 CALL \$53.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	150.98	06/08/20	106.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	1.000	205.98	06/08/20	107.00	...	98.98	2 of 2 - Option sale to close-call ²⁵
06/09/20	2.000	356.96	Various	213.00	...	143.96	Total of 2 transactions
AMD 06/12/2020 CALL \$58.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/10/20	139.00	...	-139.00	Option expiration- long call
AMD 06/26/2020 CALL \$54.00 / CUSIP: / Symbol:							
06/22/20	3.000	533.97	06/22/20	390.00	...	143.97	Option sale to close-call ²⁵

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Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/26/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	48.98	06/23/20	86.00	...	-37.02	1 of 3 - Option sale to close-call ²⁵
	1.000	49.98	06/23/20	86.00	...	-36.02	2 of 3 - Option sale to close-call ²⁵
	1.000	54.98	06/23/20	86.00	...	-31.02	3 of 3 - Option sale to close-call ²⁵
06/24/20	3.000	153.94	Various	258.00	...	-104.06	Total of 3 transactions
AMD 07/02/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	195.98	06/29/20	84.00	...	111.98	1 of 2 - Option sale to close-call ²⁵
	1.000	195.98	06/29/20	84.00	...	111.98	2 of 2 - Option sale to close-call ²⁵
06/30/20	2.000	391.96	Various	168.00	...	223.96	Total of 2 transactions
AMD 07/02/2020 PUT \$51.00 / CUSIP: / Symbol:							
06/26/20	1.000	117.98	06/25/20	168.00	...	-50.02	Option sale to close-put ²⁵
BABA 05/01/2020 CALL \$207.50 / CUSIP: / Symbol:							
04/28/20	1.000	68.98	04/27/20	281.00	...	-212.02	Option sale to close-call ²⁵
BABA 05/01/2020 CALL \$210.00 / CUSIP: / Symbol:							
04/28/20	1.000	38.98	04/27/20	170.00	...	-131.02	Option sale to close-call ²⁵
BABA 05/01/2020 CALL \$212.50 / CUSIP: / Symbol:							
04/29/20	1.000	29.98	04/27/20	83.00	...	-53.02	Option sale to close-call ²⁵
BABA 06/19/2020 PUT \$225.00 / CUSIP: / Symbol:							
06/17/20	1.000	304.98	06/16/20	330.00	...	-25.02	Option sale to close-put ²⁵
BABA 06/26/2020 CALL \$222.50 / CUSIP: / Symbol:							
06/23/20	1.000	429.98	06/22/20	295.00	...	134.98	Option sale to close-call ²⁵
BABA 06/26/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/22/20	1.000	193.98	06/19/20	375.00	...	-181.02	Option sale to close-call ²⁵
BABA 06/26/2020 PUT \$225.00 / CUSIP: / Symbol:							
06/24/20	1.000	108.98	06/23/20	267.00	...	-158.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 02/28/2020 CALL \$34.50 / CUSIP: / Symbol:							
02/28/20	2.000	0.00	02/21/20	64.00	...	-64.00	Option expiration- long call
BAC 02/28/2020 PUT \$29.00 / CUSIP: / Symbol:							
02/27/20	3.000	77.98	02/27/20	111.00	...	-33.02	Option sale to close-put ²⁵
BAC 03/06/2020 CALL \$28.50 / CUSIP: / Symbol:							
02/28/20	2.000	223.98	02/28/20	232.00	...	-8.02	Option sale to close-call ²⁵
BAC 03/13/2020 PUT \$22.00 / CUSIP: / Symbol:							
03/11/20	1.000	57.98	03/10/20	108.00	...	-50.02	Option sale to close-put ²⁵
BAC 03/13/2020 PUT \$25.50 / CUSIP: / Symbol:							
03/06/20	2.000	201.98	03/06/20	188.00	...	13.98	Option sale to close-put ²⁵
BAC 03/20/2020 PUT \$22.00 / CUSIP: / Symbol:							
03/16/20	1.000	215.98	03/13/20	179.00	...	36.98	Option sale to close-put ²⁵
BAC 03/27/2020 PUT \$20.00 / CUSIP: / Symbol:							
03/27/20	3.000	0.00	03/24/20	255.00	...	-255.00	Option expiration- long put
BAC 04/17/2020 CALL \$24.50 / CUSIP: / Symbol:							
04/14/20	2.000	165.98	04/13/20	202.00	36.02 W	0.00	Option sale to close-call ²⁵
	<i>2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.99	04/14/20	235.05	...	-234.06	1 of 2 - Option sale to close-call ²⁵
	1.000	0.00	04/14/20	163.02	...	-163.02	2 of 2 - Option expiration- long call
04/17/20	2.000	0.99	Various	398.07	...	-397.08	Total of 2 transactions
	<i>3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.98	04/14/20	163.03	162.05 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	0.99	04/14/20	91.01	90.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	04/14/20	91.01	90.03 W	0.00	3 of 3 - Option sale to close-call ²⁵
04/17/20	3.000	2.95	Various	345.05	342.10 W	0.00	Total of 3 transactions
	Security total:	169.92		945.12	378.12 W	-397.08	

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 04/17/2020 PUT \$22.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	67.98	04/16/20	39.00	...	28.98	1 of 2 - Option sale to close-put ²⁵
	5.000	339.98	04/16/20	195.00	...	144.98	2 of 2 - Option sale to close-put ²⁵
04/16/20	6.000	407.96	Various	234.00	...	173.96	Total of 2 transactions
BAC 04/17/2020 PUT \$23.50 / CUSIP: / Symbol:							
4 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	1.000	132.99	04/14/20	87.00	...	45.99	1 of 4 - Option sale to close-put ²⁵
	1.000	132.99	04/14/20	87.00	...	45.99	2 of 4 - Option sale to close-put ²⁵
	1.000	136.99	04/14/20	87.00	...	49.99	3 of 4 - Option sale to close-put ²⁵
	2.000	273.99	04/14/20	134.00	...	139.99	4 of 4 - Option sale to close-put ²⁵
04/15/20	5.000	676.96	Various	395.00	...	281.96	Total of 4 transactions
BAC 04/24/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	40.99	04/22/20	40.00	...	0.99	1 of 2 - Option sale to close-call ²⁵
	1.000	40.99	04/22/20	40.00	...	0.99	2 of 2 - Option sale to close-call ²⁵
04/23/20	2.000	81.98	Various	80.00	...	1.98	Total of 2 transactions
04/24/20	7.000	125.98	04/23/20	203.00	...	-77.02	Option sale to close-call ²⁵
	Security total:	207.96		283.00	...	-75.04	
BAC 04/24/2020 CALL \$22.50 / CUSIP: / Symbol:							
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	3.000	89.98	04/21/20	144.00	...	-54.02	1 of 3 - Option sale to close-call ²⁵
	1.000	28.99	04/21/20	60.02	...	-31.03	2 of 3 - Option sale to close-call ²⁵
	1.000	28.99	04/21/20	60.02	...	-31.03	3 of 3 - Option sale to close-call ²⁵
04/22/20	5.000	147.96	Various	264.04	...	-116.08	Total of 3 transactions
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	04/21/20	48.00	18.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	29.98	04/21/20	48.00	18.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/22/20	2.000	59.96	Various	96.00	36.04 W	0.00	Total of 2 transactions
	Security total:	207.92		360.04	36.04 W	-116.08	

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BAC 04/24/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	5.000	239.98	04/17/20	365.00	...	-125.02	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	04/17/20	73.00	...	-23.02	2 of 2 - Option sale to close-call ²⁵
04/20/20	6.000	289.96	Various	438.00	...	-148.04	Total of 2 transactions
BAC 05/01/2020 CALL \$24.50 / CUSIP: / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	5.000	274.98	04/28/20	145.00	...	129.98	1 of 2 - Option sale to close-call ²⁵
	5.000	284.98	04/28/20	145.00	...	139.98	2 of 2 - Option sale to close-call ²⁵
04/29/20	10.000	559.96	Various	290.00	...	269.96	Total of 2 transactions
05/01/20	10.000	0.00	04/30/20	180.00	...	-180.00	Option expiration- long call
	Security total:	559.96		470.00	...	89.96	
BAC 05/08/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	05/01/20	71.00	...	-41.02	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	05/01/20	71.00	...	-31.02	2 of 2 - Option sale to close-call ²⁵
05/07/20	2.000	69.96	Various	142.00	...	-72.04	Total of 2 transactions
BAC 05/15/2020 CALL \$20.50 / CUSIP: / Symbol:							
4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	82.98	05/14/20	38.00	...	44.98	1 of 4 - Option sale to close-call ²⁵
	1.000	87.98	05/14/20	38.00	...	49.98	2 of 4 - Option sale to close-call ²⁵
	1.000	90.99	05/14/20	38.00	...	52.99	3 of 4 - Option sale to close-call ²⁵
	1.000	90.99	05/14/20	38.00	...	52.99	4 of 4 - Option sale to close-call ²⁵
05/15/20	4.000	352.94	Various	152.00	...	200.94	Total of 4 transactions
BAC 05/15/2020 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	05/12/20	165.00	...	-165.00	1 of 2 - Option expiration- long call
	5.000	0.00	05/12/20	145.00	...	-145.00	2 of 2 - Option expiration- long call
05/15/20	10.000	0.00	Various	310.00	...	-310.00	Total of 2 transactions

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 05/15/2020 CALL \$23.50 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/08/20	48.00	...	-48.00	Option expiration- long call
BAC 05/15/2020 PUT \$23.00 / CUSIP: / Symbol:							
3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	60.98	05/11/20	59.00	...	1.98	1 of 3 - Option sale to close-put ²⁵
	1.000	60.98	05/11/20	59.00	...	1.98	2 of 3 - Option sale to close-put ²⁵
	1.000	60.98	05/11/20	59.00	...	1.98	3 of 3 - Option sale to close-put ²⁵
05/11/20	3.000	182.94	Various	177.00	...	5.94	Total of 3 transactions
BAC 05/22/2020 CALL \$21.00 / CUSIP: / Symbol:							
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	133.98	05/13/20	76.00	...	57.98	1 of 6 - Option sale to close-call ²⁵
	1.000	169.99	05/13/20	76.00	...	93.99	2 of 6 - Option sale to close-call ²⁵
	1.000	169.99	05/13/20	76.00	...	93.99	3 of 6 - Option sale to close-call ²⁵
	1.000	169.99	05/13/20	76.00	...	93.99	4 of 6 - Option sale to close-call ²⁵
	1.000	170.00	05/13/20	76.00	...	94.00	5 of 6 - Option sale to close-call ²⁵
	1.000	170.00	05/13/20	76.00	...	94.00	6 of 6 - Option sale to close-call ²⁵
05/18/20	6.000	983.95	Various	456.00	...	527.95	Total of 6 transactions
BAC 05/22/2020 CALL \$21.50 / CUSIP: / Symbol:							
05/18/20	2.000	253.98	05/15/20	114.00	...	139.98	Option sale to close-call ²⁵
BAC 05/22/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/18/20	1.000	84.98	05/15/20	51.00	...	33.98	Option sale to close-call ²⁵
BAC 05/22/2020 CALL \$23.00 / CUSIP: / Symbol:							
05/20/20	6.000	209.98	05/19/20	204.00	...	5.98	Option sale to close-call ²⁵
BAC 05/22/2020 PUT \$22.50 / CUSIP: / Symbol:							
05/21/20	1.000	14.98	05/20/20	23.00	8.02 W	0.00	Option sale to close-put ²⁵
4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	3.000	26.99	05/20/20	114.02	...	-87.03	1 of 4 - Option sale to close-put ²⁵
	1.000	9.00	05/20/20	46.02	...	-37.02	2 of 4 - Option sale to close-put ²⁵
	4.000	63.98	05/20/20	92.00	...	-28.02	3 of 4 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 05/22/2020 PUT \$22.50 / CUSIP: / Symbol: (cont'd)							
	1.000	8.99	05/20/20	30.02	...	-21.03	4 of 4 - Option sale to close-put ²⁵
05/22/20	9.000	108.96	Various	282.06	...	-173.10	Total of 4 transactions
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	8.00	05/20/20	31.02	23.02 W	0.00	1 of 3 - Option sale to close-put ²⁵
	3.000	23.98	05/20/20	69.00	45.02 W	0.00	2 of 3 - Option sale to close-put ²⁵
	1.000	15.98	05/20/20	23.00	7.02 W	0.00	3 of 3 - Option sale to close-put ²⁵
05/22/20	5.000	47.96	Various	123.02	75.06 W	0.00	Total of 3 transactions
	Security total:	171.90		428.08	83.08 W	-173.10	
BAC 05/29/2020 CALL \$23.00 / CUSIP: / Symbol:							
05/20/20	2.000	121.98	05/19/20	110.00	...	11.98	Option sale to close-call ²⁵
BAC 05/29/2020 PUT \$23.00 / CUSIP: / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	3.000	245.98	05/20/20	201.00	...	44.98	1 of 3 - Option sale to close-put ²⁵
	2.000	145.98	05/21/20	120.00	...	25.98	2 of 3 - Option sale to close-put ²⁵
	2.000	151.98	05/21/20	120.00	...	31.98	3 of 3 - Option sale to close-put ²⁵
05/22/20	7.000	543.94	Various	441.00	...	102.94	Total of 3 transactions
05/29/20	1.000	0.00	05/21/20	60.00	...	-60.00	Option expiration- long put
	Security total:	543.94		501.00	...	42.94	
BAC 05/29/2020 PUT \$23.50 / CUSIP: / Symbol:							
05/29/20	7.000	0.00	05/26/20	217.00	...	-217.00	Option expiration- long put
BAC 05/29/2020 PUT \$25.50 / CUSIP: / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	52.00	05/27/20	32.00	...	20.00	1 of 2 - Option sale to close-put ²⁵
	5.000	259.98	05/27/20	160.00	...	99.98	2 of 2 - Option sale to close-put ²⁵
05/27/20	6.000	311.98	Various	192.00	...	119.98	Total of 2 transactions
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	4.000	219.98	05/27/20	148.00	...	71.98	1 of 2 - Option sale to close-put ²⁵
	5.000	274.98	05/27/20	185.00	...	89.98	2 of 2 - Option sale to close-put ²⁵
05/28/20	9.000	494.96	Various	333.00	...	161.96	Total of 2 transactions
	Security total:	806.94		525.00	...	281.94	

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Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BAC 06/05/2020 PUT \$24.00 / CUSIP: / Symbol:							
06/01/20	2.000	65.98	05/29/20	112.00	...	-46.02	Option sale to close-put ²⁵
BAC 06/05/2020 PUT \$25.00 / CUSIP: / Symbol:							
06/02/20	5.000	229.98	06/02/20	330.00	...	-100.02	Option sale to close-put ²⁵
BAC 06/05/2020 PUT \$26.00 / CUSIP: / Symbol:							
5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/04/20	95.00	...	-95.00	1 of 5 - Option expiration- long put
	2.000	0.00	06/04/20	38.00	...	-38.00	2 of 5 - Option expiration- long put
	1.000	0.00	06/04/20	19.00	...	-19.00	3 of 5 - Option expiration- long put
	1.000	0.00	06/04/20	19.00	...	-19.00	4 of 5 - Option expiration- long put
	1.000	0.00	06/04/20	19.00	...	-19.00	5 of 5 - Option expiration- long put
06/05/20	10.000	0.00	Various	190.00	...	-190.00	Total of 5 transactions
BAC 06/12/2020 CALL \$25.50 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	6.99	06/11/20	61.00	...	-54.01	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	06/11/20	39.00	...	-32.01	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	13.98	Various	100.00	...	-86.02	Total of 2 transactions
06/12/20	5.000	94.98	06/11/20	195.00	20.00 W	-80.02	Option sale to close-call ²⁵
	Security total:	108.96		295.00	20.00 W	-166.04	
BAC 06/12/2020 CALL \$28.50 / CUSIP: / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	06/05/20	76.00	...	8.98	1 of 3 - Option sale to close-call ²⁵
	1.000	85.98	06/05/20	76.00	...	9.98	2 of 3 - Option sale to close-call ²⁵
	1.000	85.98	06/05/20	76.00	...	9.98	3 of 3 - Option sale to close-call ²⁵
06/08/20	3.000	256.94	Various	228.00	...	28.94	Total of 3 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/09/20	64.00	...	-29.02	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	06/09/20	64.00	...	-29.02	2 of 2 - Option sale to close-call ²⁵
06/10/20	2.000	69.96	Various	128.00	...	-58.04	Total of 2 transactions
	Security total:	326.90		356.00	...	-29.10	

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Account 155078678

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BAC 06/12/2020 PUT \$23.50 / CUSIP: / Symbol:							
06/12/20	6.000	47.98	06/11/20	186.00	...	-138.02	Option sale to close-put ²⁵
BAC 06/12/2020 PUT \$27.50 / CUSIP: / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	60.99	06/09/20	44.00	...	16.99	1 of 3 - Option sale to close-put ²⁵
	1.000	73.98	06/09/20	44.00	...	29.98	2 of 3 - Option sale to close-put ²⁵
	2.000	121.99	06/09/20	88.00	...	33.99	3 of 3 - Option sale to close-put ²⁵
06/10/20	4.000	256.96	Various	176.00	...	80.96	Total of 3 transactions
BAC 06/19/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	122.99	06/15/20	95.00	...	27.99	1 of 2 - Option sale to close-call ²⁵
	1.000	122.99	06/15/20	95.00	...	27.99	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	245.98	Various	190.00	...	55.98	Total of 2 transactions
BAC 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/15/20	1.000	65.98	06/15/20	54.00	...	11.98	Option sale to close-call ²⁵
BAC 06/19/2020 CALL \$25.50 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	3.000	101.98	06/17/20	129.00	...	-27.02	1 of 2 - Option sale to close-call ²⁵
	2.000	67.98	06/17/20	86.00	...	-18.02	2 of 2 - Option sale to close-call ²⁵
06/18/20	5.000	169.96	Various	215.00	...	-45.04	Total of 2 transactions
BAC 06/26/2020 CALL \$24.50 / CUSIP: / Symbol:							
06/16/20	1.000	205.98	06/15/20	120.00	...	85.98	Option sale to close-call ²⁵
BAC 06/26/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/22/20	3.000	179.98	06/19/20	255.00	75.02 W	0.00	Option sale to close-call ²⁵
6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	76.99	06/22/20	68.03	...	8.96	1 of 6 - Option sale to close-call ²⁵
	1.000	76.99	06/22/20	68.03	...	8.96	2 of 6 - Option sale to close-call ²⁵
	1.000	77.00	06/22/20	68.01	...	8.99	3 of 6 - Option sale to close-call ²⁵
	1.000	76.99	06/22/20	54.00	...	22.99	4 of 6 - Option sale to close-call ²⁵
	3.000	200.99	06/22/20	177.00	...	23.99	5 of 6 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 06/26/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	133.99	06/22/20	108.00	...	25.99	6 of 6 - Option sale to close-call ²⁵
06/23/20	9.000	642.95	Various	543.07	...	99.88	Total of 6 transactions
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	76.98	06/22/20	91.01	14.03 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	76.98	06/22/20	91.01	14.03 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	76.99	06/22/20	91.00	14.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/23/20	3.000	230.95	Various	273.02	42.07 W	0.00	Total of 3 transactions
Security total:		1,053.88		1,071.09	117.09 W	99.88	
BAC 06/26/2020 CALL \$25.50 / CUSIP: / Symbol:							
06/23/20	1.000	40.98	06/22/20	32.00	...	8.98	Option sale to close-call ²⁵
BAC 06/26/2020 PUT \$24.00 / CUSIP: / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	309.98	06/24/20	180.00	...	129.98	1 of 2 - Option sale to close-put ²⁵
	5.000	314.98	06/24/20	180.00	...	134.98	2 of 2 - Option sale to close-put ²⁵
06/25/20	10.000	624.96	Various	360.00	...	264.96	Total of 2 transactions
BAC 06/26/2020 PUT \$24.50 / CUSIP: / Symbol:							
06/22/20	5.000	274.98	06/19/20	300.00	...	-25.02	Option sale to close-put ²⁵
BAC 07/02/2020 CALL \$23.50 / CUSIP: / Symbol:							
07/01/20	4.000	251.98	06/30/20	204.00	...	47.98	Option sale to close-call ²⁵
BAC 07/02/2020 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	06/22/20	86.00	...	11.98	1 of 3 - Option sale to close-call ²⁵
	1.000	97.99	06/22/20	86.00	...	11.99	2 of 3 - Option sale to close-call ²⁵
	2.000	195.99	06/22/20	166.00	...	29.99	3 of 3 - Option sale to close-call ²⁵
06/23/20	4.000	391.96	Various	338.00	...	53.96	Total of 3 transactions
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	3.000	161.98	06/23/20	243.00	...	-81.02	1 of 2 - Option sale to close-call ²⁵
	1.000	54.00	06/23/20	81.00	...	-27.00	2 of 2 - Option sale to close-call ²⁵
06/24/20	4.000	215.98	Various	324.00	...	-108.02	Total of 2 transactions

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BAC 07/02/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
Security total:		607.94		662.00	...	-54.06	
BBY 06/12/2020 PUT \$80.50 / CUSIP: / Symbol:							
06/10/20	1.000	118.98	06/09/20	149.00	...	-30.02	Option sale to close-put ²⁵
BILI 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	224.98	06/22/20	200.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	1.000	224.98	06/22/20	200.00	...	24.98	2 of 2 - Option sale to close-call ²⁵
06/23/20	2.000	449.96	Various	400.00	...	49.96	Total of 2 transactions
BOX 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	149.98	05/27/20	140.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	155.98	05/27/20	140.00	...	15.98	2 of 2 - Option sale to close-call ²⁵
05/28/20	2.000	305.96	Various	280.00	...	25.96	Total of 2 transactions
BSX 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	105.98	06/29/20	99.00	...	6.98	1 of 2 - Option sale to close-call ²⁵
	1.000	108.98	06/29/20	99.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
06/30/20	2.000	214.96	Various	198.00	...	16.96	Total of 2 transactions
BSX 08/21/2020 CALL \$36.00 / CUSIP: / Symbol:							
07/01/20	1.000	154.98	06/30/20	159.00	...	-4.02	Option sale to close-call ²⁵
BYND 06/19/2020 CALL \$165.00 / CUSIP: / Symbol:							
06/19/20	1.000	110.98	06/18/20	214.00	...	-103.02	Option sale to close-call ²⁵
BYND 07/02/2020 CALL \$148.00 / CUSIP: / Symbol:							
07/01/20	1.000	299.98	07/01/20	455.00	...	-155.02	Option sale to close-call ²⁵
BYND 07/02/2020 CALL \$152.50 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	07/01/20	465.00	...	-465.00	Option expiration- long call

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
C 02/28/2020 PUT \$65.50 / CUSIP: / Symbol:							
02/27/20	1.000	60.98	02/27/20	102.00	...	-41.02	Option sale to close-put ²⁵
C 04/17/2020 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	207.98	04/16/20	81.00	...	126.98	1 of 2 - Option sale to close-call ²⁵
	1.000	273.98	04/16/20	81.00	...	192.98	2 of 2 - Option sale to close-call ²⁵
04/17/20	2.000	481.96	Various	162.00	...	319.96	Total of 2 transactions
C 04/17/2020 PUT \$46.00 / CUSIP: / Symbol:							
04/15/20	1.000	263.98	04/14/20	161.00	...	102.98	Option sale to close-put ²⁵
C 04/24/2020 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	81.98	04/21/20	128.00	...	-46.02	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	04/21/20	128.00	...	-28.02	2 of 2 - Option sale to close-call ²⁵
04/22/20	2.000	181.96	Various	256.00	...	-74.04	Total of 2 transactions
C 04/24/2020 PUT \$42.00 / CUSIP: / Symbol:							
04/24/20	5.000	129.98	04/23/20	230.00	...	-100.02	Option sale to close-put ²⁵
C 05/15/2020 CALL \$44.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	3.99	05/11/20	113.00	...	-109.01	1 of 3 - Option sale to close-call ²⁵
	1.000	4.00	05/11/20	112.00	...	-108.00	2 of 3 - Option sale to close-call ²⁵
	1.000	3.99	05/12/20	77.00	...	-73.01	3 of 3 - Option sale to close-call ²⁵
05/15/20	3.000	11.98	Various	302.00	...	-290.02	Total of 3 transactions
C 05/22/2020 CALL \$41.50 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	05/15/20	145.00	...	154.98	1 of 2 - Option sale to close-call ²⁵
	1.000	329.98	05/15/20	145.00	...	184.98	2 of 2 - Option sale to close-call ²⁵
05/18/20	2.000	629.96	Various	290.00	...	339.96	Total of 2 transactions
C 05/22/2020 PUT \$45.00 / CUSIP: / Symbol:							
05/21/20	2.000	101.98	05/20/20	116.00	...	-14.02	Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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C 05/29/2020 CALL \$51.00 / CUSIP: / Symbol:							
05/27/20	2.000	315.98	05/27/20	200.00	...	115.98	Option sale to close-call ²⁵
C 06/05/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/02/20	1.000	166.98	06/01/20	94.00	...	72.98	Option sale to close-call ²⁵
C 06/19/2020 CALL \$51.50 / CUSIP: / Symbol:							
06/15/20	1.000	238.98	06/15/20	180.00	...	58.98	Option sale to close-call ²⁵
C 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/18/20	1.000	31.98	06/16/20	140.00	...	-108.02	Option sale to close-call ²⁵
CAKE 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/29/20	1.000	60.98	06/23/20	135.00	...	-74.02	Option sale to close-call ²⁵
CFG 07/17/2020 CALL \$32.50 / CUSIP: / Symbol:							
06/16/20	1.000	69.98	06/08/20	155.00	...	-85.02	Option sale to close-call ²⁵
CGC 06/05/2020 CALL \$16.50 / CUSIP: / Symbol:							
	3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	3.000	104.98	06/02/20	159.00	...	-54.02	1 of 3 - Option sale to close-call ²⁵
	1.000	34.98	06/02/20	53.00	...	-18.02	2 of 3 - Option sale to close-call ²⁵
	1.000	34.98	06/02/20	53.00	...	-18.02	3 of 3 - Option sale to close-call ²⁵
06/04/20	5.000	174.94	Various	265.00	...	-90.06	Total of 3 transactions
COST 04/24/2020 CALL \$307.50 / CUSIP: / Symbol:							
04/22/20	1.000	219.98	04/21/20	450.00	...	-230.02	Option sale to close-call ²⁵
COST 04/24/2020 CALL \$317.50 / CUSIP: / Symbol:							
04/22/20	1.000	46.98	04/17/20	450.00	...	-403.02	Option sale to close-call ²⁵
COST 05/22/2020 CALL \$302.50 / CUSIP: / Symbol:							
05/22/20	1.000	70.98	05/21/20	128.00	...	-57.02	Option sale to close-call ²⁵

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CRON 06/05/2020 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	39.00	06/02/20	20.00	...	19.00	1 of 2 - Option sale to close-call ²⁵
	3.000	116.98	06/02/20	69.00	...	47.98	2 of 2 - Option sale to close-call ²⁵
06/04/20	4.000	155.98	Various	89.00	...	66.98	Total of 2 transactions
CRON 06/05/2020 CALL \$7.00 / CUSIP: / Symbol:							
06/05/20	6.000	0.00	06/01/20	66.00	...	-66.00	Option expiration- long call
CRON 06/05/2020 CALL \$7.50 / CUSIP: / Symbol:							
06/05/20	5.000	0.00	05/28/20	130.00	...	-130.00	Option expiration- long call
DAL 05/01/2020 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	48.98	04/29/20	43.00	...	5.98	1 of 3 - Option sale to close-call ²⁵
	1.000	48.98	04/29/20	43.00	...	5.98	2 of 3 - Option sale to close-call ²⁵
	4.000	195.98	04/29/20	172.00	...	23.98	3 of 3 - Option sale to close-call ²⁵
04/29/20	6.000	293.94	Various	258.00	...	35.94	Total of 3 transactions
DAL 06/12/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	41.98	06/11/20	70.00	...	-28.02	1 of 2 - Option sale to close-call ²⁵
	1.000	46.98	06/11/20	70.00	...	-23.02	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	88.96	Various	140.00	...	-51.04	Total of 2 transactions
DAL 06/19/2020 PUT \$31.00 / CUSIP: / Symbol:							
06/17/20	1.000	115.98	06/16/20	108.00	...	7.98	Option sale to close-put ²⁵
DBX 04/24/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	60.00	04/20/20	40.00	...	20.00	1 of 2 - Option sale to close-call ²⁵
	4.000	239.98	04/20/20	160.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
04/20/20	5.000	299.98	Various	200.00	...	99.98	Total of 2 transactions
DIS 04/24/2020 PUT \$102.00 / CUSIP: / Symbol:							
04/21/20	1.000	339.98	04/20/20	247.00	...	92.98	Option sale to close-put ²⁵

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DKS 04/17/2020 PUT \$19.00 / CUSIP: / Symbol:							
04/17/20	3.000	0.00	04/08/20	45.00	...	-45.00	Option expiration- long put
DKS 04/17/2020 PUT \$20.00 / CUSIP: / Symbol:							
04/17/20	5.000	0.00	04/08/20	75.00	...	-75.00	Option expiration- long put
DKS 04/17/2020 PUT \$22.00 / CUSIP: / Symbol:							
04/17/20	2.000	0.00	04/08/20	90.00	...	-90.00	Option expiration- long put
EWZ 06/19/2020 PUT \$32.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	263.99	06/10/20	121.00	...	142.99	1 of 2 - Option sale to close-put ²⁵
	1.000	263.98	06/10/20	120.00	...	143.98	2 of 2 - Option sale to close-put ²⁵
06/11/20	2.000	527.97	Various	241.00	...	286.97	Total of 2 transactions
EXPE 06/05/2020 CALL \$81.00 / CUSIP: / Symbol:							
06/01/20	1.000	294.98	05/28/20	235.00	...	59.98	Option sale to close-call ²⁵
F 03/27/2020 PUT \$4.50 / CUSIP: / Symbol:							
03/27/20	1.000	0.00	03/24/20	17.00	...	-17.00	Option expiration- long put
F 04/17/2020 CALL \$5.50 / CUSIP: / Symbol:							
04/16/20	10.000	19.97	04/14/20	160.00	...	-140.03	Option sale to close-call ²⁵
F 04/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
04/13/20	1.000	19.98	04/09/20	18.00	...	1.98	Option sale to close-put ²⁵
5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	16.99	04/15/20	17.00	...	-0.01	1 of 5 - Option sale to close-put ²⁵
	1.000	16.99	04/15/20	17.00	...	-0.01	2 of 5 - Option sale to close-put ²⁵
	1.000	17.00	04/15/20	17.00	...	0.00	3 of 5 - Option sale to close-put ²⁵
	1.000	17.00	04/15/20	17.00	...	0.00	4 of 5 - Option sale to close-put ²⁵
	1.000	17.00	04/15/20	17.00	...	0.00	5 of 5 - Option sale to close-put ²⁵
04/16/20	5.000	84.98	Various	85.00	...	-0.02	Total of 5 transactions
	Security total:	104.96		103.00	...	1.96	

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F 05/08/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	05/01/20	17.00	...	-9.01	1 of 2 - Option sale to close-call ²⁵
	1.000	7.99	05/01/20	17.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
05/06/20	2.000	15.98	Various	34.00	...	-18.02	Total of 2 transactions
F 05/15/2020 PUT \$5.00 / CUSIP: / Symbol:							
4 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	22.98	05/05/20	21.00	...	1.98	1 of 4 - Option sale to close-put ²⁵
	1.000	22.98	05/05/20	21.00	...	1.98	2 of 4 - Option sale to close-put ²⁵
	2.000	45.98	05/05/20	42.00	...	3.98	3 of 4 - Option sale to close-put ²⁵
	6.000	137.98	05/05/20	126.00	...	11.98	4 of 4 - Option sale to close-put ²⁵
05/07/20	10.000	229.92	Various	210.00	...	19.92	Total of 4 transactions
F 06/12/2020 PUT \$6.00 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	06/11/20	30.00	...	-30.00	1 of 3 - Option expiration- long put
	1.000	0.00	06/11/20	10.00	...	-10.00	2 of 3 - Option expiration- long put
	1.000	0.00	06/11/20	10.00	...	-10.00	3 of 3 - Option expiration- long put
06/12/20	5.000	0.00	Various	50.00	...	-50.00	Total of 3 transactions
F 06/19/2020 CALL \$6.50 / CUSIP: / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	29.99	06/17/20	34.00	...	-4.01	1 of 4 - Option sale to close-call ²⁵
	1.000	14.99	06/17/20	17.00	...	-2.01	2 of 4 - Option sale to close-call ²⁵
	1.000	15.00	06/17/20	17.00	...	-2.00	3 of 4 - Option sale to close-call ²⁵
	1.000	15.00	06/17/20	17.00	...	-2.00	4 of 4 - Option sale to close-call ²⁵
06/19/20	5.000	74.98	Various	85.00	...	-10.02	Total of 4 transactions
F 06/19/2020 PUT \$6.00 / CUSIP: / Symbol:							
06/15/20	5.000	64.98	06/11/20	135.00	...	-70.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
F 06/19/2020 PUT \$6.50 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	2.000	83.98	06/12/20	74.00	...	9.98	1 of 2 - Option sale to close-put ²⁵
	3.000	125.98	06/12/20	111.00	...	14.98	2 of 2 - Option sale to close-put ²⁵
06/15/20	5.000	209.96	Various	185.00	...	24.96	Total of 2 transactions
F 06/26/2020 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	32.98	06/17/20	22.00	...	10.98	1 of 2 - Option sale to close-call ²⁵
	5.000	164.98	06/17/20	110.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
06/19/20	6.000	197.96	Various	132.00	...	65.96	Total of 2 transactions
F 06/26/2020 CALL \$7.50 / CUSIP: / Symbol:							
4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	51.98	06/05/20	40.00	...	11.98	1 of 4 - Option sale to close-call ²⁵
	1.000	52.98	06/05/20	40.00	...	12.98	2 of 4 - Option sale to close-call ²⁵
	1.000	52.98	06/05/20	40.00	...	12.98	3 of 4 - Option sale to close-call ²⁵
	2.000	101.98	06/05/20	80.00	...	21.98	4 of 4 - Option sale to close-call ²⁵
06/08/20	5.000	259.92	Various	200.00	...	59.92	Total of 4 transactions
F 07/02/2020 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	5.000	74.98	06/26/20	120.02	...	-45.04	1 of 3 - Option sale to close-call ²⁵
	4.000	59.99	06/26/20	88.00	...	-28.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.00	06/26/20	22.00	...	-7.00	3 of 3 - Option sale to close-call ²⁵
06/29/20	10.000	149.97	Various	230.02	...	-80.05	Total of 3 transactions
06/29/20	5.000	99.98	06/26/20	110.00	10.02 W	0.00	Option sale to close-call ²⁵
	Security total:	249.95		340.02	10.02 W	-80.05	
F 07/02/2020 CALL \$6.50 / CUSIP: / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	6.000	17.98	06/23/20	72.00	...	-54.02	1 of 3 - Option sale to close-call ²⁵
	1.000	2.98	06/23/20	12.00	...	-9.02	2 of 3 - Option sale to close-call ²⁵
	1.000	2.98	06/23/20	12.00	...	-9.02	3 of 3 - Option sale to close-call ²⁵
06/29/20	8.000	23.94	Various	96.00	...	-72.06	Total of 3 transactions

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FB 04/24/2020 CALL \$185.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	298.98	04/22/20	173.00	...	125.98	1 of 2 - Option sale to close-call ²⁵
	1.000	327.98	04/22/20	173.00	...	154.98	2 of 2 - Option sale to close-call ²⁵
04/23/20	2.000	626.96	Various	346.00	...	280.96	Total of 2 transactions
FB 05/01/2020 CALL \$195.00 / CUSIP: / Symbol:							
04/29/20	1.000	509.97	04/29/20	475.00	...	34.97	Option sale to close-call ²⁵
FB 05/22/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/19/20	1.000	283.98	05/18/20	221.00	...	62.98	Option sale to close-call ²⁵
FB 05/22/2020 PUT \$217.50 / CUSIP: / Symbol:							
05/20/20	1.000	84.98	05/19/20	231.00	...	-146.02	Option sale to close-put ²⁵
FB 06/26/2020 CALL \$235.00 / CUSIP: / Symbol:							
06/19/20	1.000	564.97	06/18/20	499.00	...	65.97	Option sale to close-call ²⁵
FB 06/26/2020 CALL \$237.50 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/25/20	174.00	...	-174.00	Option expiration- long call
FB 06/26/2020 CALL \$242.50 / CUSIP: / Symbol:							
06/24/20	1.000	191.98	06/23/20	380.00	...	-188.02	Option sale to close-call ²⁵
FB 07/02/2020 CALL \$242.50 / CUSIP: / Symbol:							
06/23/20	1.000	469.97	06/22/20	465.00	...	4.97	Option sale to close-call ²⁵
FSLY 03/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
02/21/20	1.000	64.98	02/20/20	230.00	...	-165.02	Option sale to close-call ²⁵
FSLY 05/29/2020 CALL \$41.50 / CUSIP: / Symbol:							
05/28/20	2.000	89.98	05/26/20	160.00	...	-70.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FTCH 03/20/2020 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	2.000	69.98	02/27/20	80.00	...	-10.02	1 of 3 - Option sale to close-call ²⁵
	1.000	34.98	02/27/20	40.00	...	-5.02	2 of 3 - Option sale to close-call ²⁵
	1.000	34.98	02/27/20	40.00	...	-5.02	3 of 3 - Option sale to close-call ²⁵
02/28/20	4.000	139.94	Various	160.00	...	-20.06	Total of 3 transactions
GE 03/27/2020 PUT \$7.00 / CUSIP: / Symbol:							
03/27/20	3.000	0.00	03/24/20	114.00	...	-114.00	Option expiration- long put
GE 04/03/2020 PUT \$6.00 / CUSIP: / Symbol:							
03/24/20	3.000	113.98	03/23/20	174.00	...	-60.02	Option sale to close-put ²⁵
GLD 06/05/2020 CALL \$163.50 / CUSIP: / Symbol:							
06/02/20	1.000	124.98	06/01/20	117.00	...	7.98	Option sale to close-call ²⁵
INO 03/20/2020 CALL \$8.00 / CUSIP: / Symbol:							
03/04/20	1.000	294.98	03/03/20	205.00	...	89.98	Option sale to close-call ²⁵
INTC 04/24/2020 CALL \$59.50 / CUSIP: / Symbol:							
04/24/20	2.000	11.98	04/23/20	302.00	...	-290.02	Option sale to close-call ²⁵
INTC 07/02/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/30/20	95.02	...	-60.04	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	06/30/20	95.02	...	-60.04	2 of 2 - Option sale to close-call ²⁵
07/01/20	2.000	69.96	Various	190.04	...	-120.08	Total of 2 transactions
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/30/20	64.00	29.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	06/30/20	64.00	29.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/01/20	2.000	69.96	Various	128.00	58.04 W	0.00	Total of 2 transactions
	Security total:	139.92		318.04	58.04 W	-120.08	
JPM 04/17/2020 PUT \$99.00 / CUSIP: / Symbol:							
04/15/20	1.000	739.97	04/14/20	204.00	...	535.97	Option sale to close-put ²⁵

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(continued)

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JPM 04/24/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	235.98	04/21/20	254.00	...	-18.02	1 of 2 - Option sale to close-call ²⁵
	1.000	243.98	04/21/20	254.00	...	-10.02	2 of 2 - Option sale to close-call ²⁵
04/22/20	2.000	479.96	Various	508.00	...	-28.04	Total of 2 transactions
JPM 04/24/2020 CALL \$91.00 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	85.98	04/23/20	176.02	...	-90.04	1 of 2 - Option sale to close-call ²⁵
	1.000	85.98	04/23/20	131.00	...	-45.02	2 of 2 - Option sale to close-call ²⁵
04/23/20	2.000	171.96	Various	307.02	...	-135.06	Total of 2 transactions
04/23/20	1.000	85.98	04/23/20	131.00	45.02 W	0.00	Option sale to close-call ²⁵
	Security total:	257.94		438.02	45.02 W	-135.06	
JPM 04/24/2020 CALL \$93.50 / CUSIP: / Symbol:							
04/20/20	1.000	234.98	04/17/20	305.00	...	-70.02	Option sale to close-call ²⁵
JPM 05/01/2020 PUT \$98.50 / CUSIP: / Symbol:							
04/30/20	1.000	364.98	04/29/20	144.00	...	220.98	Option sale to close-put ²⁵
JPM 05/15/2020 CALL \$84.00 / CUSIP: / Symbol:							
05/14/20	1.000	409.98	05/14/20	122.00	...	287.98	Option sale to close-call ²⁵
JPM 05/15/2020 CALL \$90.50 / CUSIP: / Symbol:							
05/14/20	1.000	43.98	05/11/20	166.00	...	-122.02	Option sale to close-call ²⁵
05/15/20	1.000	3.98	05/11/20	166.00	...	-162.02	Option sale to close-call ²⁵
	Security total:	47.96		332.00	...	-284.04	
JPM 05/22/2020 PUT \$91.00 / CUSIP: / Symbol:							
05/21/20	1.000	101.98	05/20/20	104.00	...	-2.02	Option sale to close-put ²⁵
JPM 07/02/2020 CALL \$96.00 / CUSIP: / Symbol:							
07/02/20	1.000	23.98	07/01/20	55.00	...	-31.02	Option sale to close-call ²⁵
JPM 07/02/2020 PUT \$95.00 / CUSIP: / Symbol:							
06/26/20	1.000	329.98	06/25/20	325.00	...	4.98	Option sale to close-put ²⁵

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KR 06/19/2020 CALL \$31.00 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	81.99	06/18/20	53.00	...	28.99	1 of 3 - Option sale to close-call ²⁵
	1.000	81.99	06/18/20	53.00	...	28.99	2 of 3 - Option sale to close-call ²⁵
	4.000	351.98	06/18/20	212.00	...	139.98	3 of 3 - Option sale to close-call ²⁵
06/19/20	6.000	515.96	Various	318.00	...	197.96	Total of 3 transactions
KR 06/19/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/19/20	1.000	21.98	06/18/20	71.00	...	-49.02	Option sale to close-call ²⁵
KR 06/19/2020 CALL \$32.50 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	21.99	06/18/20	170.00	...	-148.01	1 of 2 - Option sale to close-call ²⁵
	1.000	10.99	06/18/20	70.00	...	-59.01	2 of 2 - Option sale to close-call ²⁵
06/19/20	3.000	32.98	Various	240.00	...	-207.02	Total of 2 transactions
LMT 04/24/2020 CALL \$382.50 / CUSIP: / Symbol:							
04/23/20	1.000	419.98	04/22/20	400.00	...	19.98	Option sale to close-call ²⁵
LULU 04/24/2020 CALL \$212.50 / CUSIP: / Symbol:							
04/17/20	1.000	684.97	04/16/20	533.00	...	151.97	Option sale to close-call ²⁵
LUV 06/19/2020 CALL \$36.50 / CUSIP: / Symbol:							
06/18/20	1.000	60.98	06/17/20	80.00	...	-19.02	Option sale to close-call ²⁵
LYFT 03/06/2020 PUT \$37.00 / CUSIP: / Symbol:							
03/03/20	1.000	112.98	02/28/20	202.00	...	-89.02	Option sale to close-put ²⁵
MCD 07/02/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/01/20	1.000	114.98	06/30/20	107.00	...	7.98	Option sale to close-call ²⁵
MRNA 03/06/2020 CALL \$28.00 / CUSIP: / Symbol:							
03/05/20	1.000	94.98	03/04/20	90.00	...	4.98	Option sale to close-call ²⁵
MRNA 03/13/2020 CALL \$29.50 / CUSIP: / Symbol:							
03/11/20	1.000	14.98	03/06/20	255.00	...	-240.02	Option sale to close-call ²⁵

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MRNA 03/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
03/16/20	1.000	49.98	03/13/20	145.00	...	-95.02	Option sale to close-put ²⁵
MRNA 05/01/2020 CALL \$51.00 / CUSIP: / Symbol:							
04/29/20	1.000	29.98	04/27/20	265.00	...	-235.02	Option sale to close-call ²⁵
MSFT 04/24/2020 CALL \$177.50 / CUSIP: / Symbol:							
04/20/20	1.000	384.98	04/17/20	345.00	...	39.98	Option sale to close-call ²⁵
MSFT 06/26/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/22/20	1.000	404.98	06/22/20	249.00	...	155.98	Option sale to close-call ²⁵
MSFT 06/26/2020 PUT \$195.00 / CUSIP: / Symbol:							
06/22/20	1.000	265.98	06/19/20	202.00	...	63.98	Option sale to close-put ²⁵
MSFT 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/30/20	1.000	339.98	06/29/20	212.00	...	127.98	Option sale to close-call ²⁵
MSFT 07/02/2020 CALL \$202.50 / CUSIP: / Symbol:							
06/29/20	1.000	53.98	06/24/20	375.00	...	-321.02	Option sale to close-call ²⁵
MU 04/24/2020 CALL \$45.00 / CUSIP: / Symbol:							
	<i>2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	9.99	04/23/20	116.00	...	-106.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	04/23/20	58.00	...	-53.01	2 of 2 - Option sale to close-call ²⁵
04/24/20	3.000	14.98	Various	174.00	...	-159.02	Total of 2 transactions
MU 04/24/2020 CALL \$46.50 / CUSIP: / Symbol:							
04/17/20	1.000	122.98	04/16/20	158.00	...	-35.02	Option sale to close-call ²⁵
MU 05/01/2020 CALL \$48.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/30/20	57.00	...	-57.00	Option expiration- long call
MU 07/02/2020 PUT \$47.50 / CUSIP: / Symbol:							
06/26/20	1.000	145.99	06/25/20	207.00	...	-61.01	Option sale to close-put ²⁵
06/26/20	1.000	145.99	06/25/20	207.00	61.01 W	0.00	Option sale to close-put ²⁵
06/30/20	1.000	8.98	06/29/20	217.01	...	-208.03	Option sale to close-put ²⁵
Security total:		300.96		631.01	61.01 W	-269.04	

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NEE 05/15/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/01/20	1.000	159.98	04/30/20	320.00	...	-160.02	Option sale to close-call ²⁵
NET 07/17/2020 CALL \$36.00 / CUSIP: / Symbol:							
07/01/20	1.000	254.98	06/30/20	290.00	...	-35.02	Option sale to close-call ²⁵
NFLX 06/19/2020 CALL \$455.00 / CUSIP: / Symbol:							
06/19/20	1.000	168.98	06/18/20	415.00	...	-246.02	Option sale to close-call ²⁵
NKLA 06/19/2020 CALL \$62.00 / CUSIP: / Symbol:							
06/17/20	1.000	349.98	06/16/20	310.00	...	39.98	Option sale to close-call ²⁵
NTNX 02/28/2020 CALL \$34.50 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/26/20	190.00	...	-190.00	Option expiration- long call
NVAX 03/13/2020 CALL \$11.50 / CUSIP: / Symbol:							
02/28/20	1.000	369.98	02/27/20	245.00	...	124.98	Option sale to close-call ²⁵
ORCL 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	7.000	132.98	06/17/20	140.00	...	-7.02	1 of 3 - Option sale to close-call ²⁵
	2.000	37.99	06/17/20	40.00	...	-2.01	2 of 3 - Option sale to close-call ²⁵
	1.000	19.00	06/17/20	20.00	...	-1.00	3 of 3 - Option sale to close-call ²⁵
06/22/20	10.000	189.97	Various	200.00	...	-10.03	Total of 3 transactions
ORCL 06/26/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	77.99	06/22/20	70.00	...	7.99	1 of 3 - Option sale to close-call ²⁵
	1.000	77.99	06/22/20	69.00	...	8.99	2 of 3 - Option sale to close-call ²⁵
	1.000	80.98	06/22/20	70.00	...	10.98	3 of 3 - Option sale to close-call ²⁵
06/23/20	3.000	236.96	Various	209.00	...	27.96	Total of 3 transactions
ORCL 07/02/2020 CALL \$56.00 / CUSIP: / Symbol:							
06/29/20	1.000	16.98	06/23/20	102.00	...	-85.02	Option sale to close-call ²⁵
ORCL 07/10/2020 CALL \$56.00 / CUSIP: / Symbol:							
06/29/20	1.000	44.98	06/23/20	132.00	...	-87.02	Option sale to close-call ²⁵

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PFE 08/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/29/20	1.000	59.98	06/22/20	91.00	...	-31.02	Option sale to close-call ²⁵
	3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	113.98	06/22/20	91.00	...	22.98	1 of 3 - Option sale to close-call ²⁵
	1.000	116.98	06/22/20	91.00	...	25.98	2 of 3 - Option sale to close-call ²⁵
	1.000	116.98	06/22/20	91.00	...	25.98	3 of 3 - Option sale to close-call ²⁵
07/01/20	3.000	347.94	Various	273.00	...	74.94	Total of 3 transactions
	Security total:	407.92		364.00	...	43.92	
PTON 06/12/2020 CALL \$49.00 / CUSIP: / Symbol:							
06/10/20	1.000	106.98	06/09/20	58.00	...	48.98	Option sale to close-call ²⁵
QCOM 06/19/2020 CALL \$86.00 / CUSIP: / Symbol:							
06/16/20	1.000	399.98	06/15/20	159.00	...	240.98	Option sale to close-call ²⁵
QCOM 06/19/2020 CALL \$89.00 / CUSIP: / Symbol:							
06/16/20	1.000	239.98	06/15/20	59.00	...	180.98	Option sale to close-call ²⁵
RCL 04/17/2020 PUT \$35.00 / CUSIP: / Symbol:							
04/17/20	1.000	49.98	04/16/20	129.00	...	-79.02	Option sale to close-put ²⁵
RCL 04/24/2020 PUT \$32.00 / CUSIP: / Symbol:							
04/17/20	1.000	171.98	04/16/20	230.00	...	-58.02	Option sale to close-put ²⁵
ROKU 06/19/2020 CALL \$115.00 / CUSIP: / Symbol:							
06/17/20	1.000	689.97	06/16/20	290.00	...	399.97	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$122.00 / CUSIP: / Symbol:							
06/18/20	1.000	266.98	06/17/20	355.00	...	-88.02	Option sale to close-call ²⁵
ROKU 06/26/2020 CALL \$129.00 / CUSIP: / Symbol:							
06/24/20	1.000	184.98	06/23/20	385.00	...	-200.02	Option sale to close-call ²⁵
ROKU 07/02/2020 CALL \$124.00 / CUSIP: / Symbol:							
06/29/20	1.000	339.98	06/26/20	450.00	...	-110.02	Option sale to close-call ²⁵
ROKU 07/02/2020 CALL \$125.00 / CUSIP: / Symbol:							
06/29/20	1.000	287.98	06/24/20	554.00	...	-266.02	Option sale to close-call ²⁵

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SONO 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/23/20	1.000	140.98	06/22/20	160.00	19.02 W	0.00	Option sale to close-call ²⁵
		<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	119.99	06/24/20	129.02	...	-9.03	1 of 2 - Option sale to close-call ²⁵
	1.000	119.99	06/25/20	110.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
06/26/20	2.000	239.98	Various	239.02	...	0.96	Total of 2 transactions
	Security total:	380.96		399.02	19.02 W	0.96	
SPCE 04/17/2020 CALL \$19.50 / CUSIP: / Symbol:							
04/15/20	1.000	145.98	04/14/20	229.00	...	-83.02	Option sale to close-call ²⁵
SPY 03/06/2020 CALL \$307.50 / CUSIP: / Symbol:							
03/04/20	1.000	324.98	03/03/20	290.00	...	34.98	Option sale to close-call ²⁵
SPY 03/06/2020 CALL \$309.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	03/05/20	220.00	...	-220.00	Option expiration- long call
SPY 03/25/2020 PUT \$240.00 / CUSIP: / Symbol:							
03/25/20	1.000	0.00	03/24/20	710.00	...	-710.00	Option expiration- long put
SPY 04/09/2020 PUT \$269.00 / CUSIP: / Symbol:							
04/09/20	1.000	0.00	04/08/20	258.00	...	-258.00	Option expiration- long put
SPY 04/15/2020 CALL \$275.00 / CUSIP: / Symbol:							
04/13/20	1.000	384.98	04/13/20	418.00	...	-33.02	Option sale to close-call ²⁵
SPY 04/27/2020 PUT \$279.00 / CUSIP: / Symbol:							
04/27/20	1.000	0.00	04/24/20	272.00	...	-272.00	Option expiration- long put
SPY 05/22/2020 CALL \$297.50 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	163.00	...	-163.00	Option expiration- long call
SPY 05/22/2020 PUT \$296.00 / CUSIP: / Symbol:							
05/21/20	1.000	132.98	05/20/20	233.00	...	-100.02	Option sale to close-put ²⁵
SPY 05/26/2020 CALL \$294.50 / CUSIP: / Symbol:							
05/26/20	1.000	686.97	05/22/20	228.00	...	458.97	Option sale to close-call ²⁵

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SPY 05/29/2020 PUT \$302.00 / CUSIP: / Symbol:							
05/28/20	1.000	119.98	05/27/20	184.00	...	-64.02	Option sale to close-put ²⁵
SPY 06/17/2020 CALL \$308.00 / CUSIP: / Symbol:							
06/16/20	1.000	793.97	06/15/20	344.00	...	449.97	Option sale to close-call ²⁵
SPY 06/19/2020 CALL \$312.00 / CUSIP: / Symbol:							
06/19/20	1.000	234.98	06/17/20	230.00	...	4.98	Option sale to close-call ²⁵
SPY 06/19/2020 CALL \$313.00 / CUSIP: / Symbol:							
06/19/20	1.000	156.98	06/17/20	275.00	...	-118.02	Option sale to close-call ²⁵
SPY 06/22/2020 PUT \$311.00 / CUSIP: / Symbol:							
06/18/20	1.000	481.97	06/17/20	458.00	...	23.97	Option sale to close-put ²⁵
06/22/20	1.000	323.98	06/19/20	209.00	...	114.98	Option sale to close-put ²⁵
	Security total:	805.95		667.00	...	138.95	
SPY 06/24/2020 CALL \$309.00 / CUSIP: / Symbol:							
06/22/20	2.000	697.97	06/22/20	618.00	...	79.97	Option sale to close-call ²⁵
SPY 06/24/2020 CALL \$314.00 / CUSIP: / Symbol:							
06/24/20	1.000	0.00	06/23/20	165.00	...	-165.00	Option expiration- long call
SPY 06/24/2020 PUT \$312.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	264.99	06/23/20	191.00	...	73.99	1 of 2 - Option sale to close-put ²⁵
	1.000	264.98	06/23/20	187.00	...	77.98	2 of 2 - Option sale to close-put ²⁵
06/24/20	2.000	529.97	Various	378.00	...	151.97	Total of 2 transactions
SPY 06/26/2020 CALL \$315.00 / CUSIP: / Symbol:							
06/24/20	1.000	71.98	06/23/20	283.00	...	-211.02	Option sale to close-call ²⁵
SPY 07/02/2020 PUT \$305.00 / CUSIP: / Symbol:							
07/01/20	1.000	91.98	06/30/20	213.00	...	-121.02	Option sale to close-put ²⁵
SQ 02/28/2020 CALL \$77.00 / CUSIP: / Symbol:							
02/27/20	1.000	524.97	02/26/20	305.00	...	219.97	Option sale to close-call ²⁵

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SQ 04/09/2020 CALL \$54.00 / CUSIP: / Symbol:							
04/08/20	1.000	292.98	04/08/20	139.00	...	153.98	Option sale to close-call ²⁵
SQ 04/17/2020 CALL \$58.00 / CUSIP: / Symbol:							
04/14/20	1.000	419.98	04/13/20	262.00	...	157.98	Option sale to close-call ²⁵
SQ 04/17/2020 CALL \$61.00 / CUSIP: / Symbol:							
04/16/20	1.000	28.98	04/15/20	182.00	153.02 W	0.00	Option sale to close-call ²⁵
04/17/20	1.000	38.98	04/15/20	283.02	...	-244.04	Option sale to close-call ²⁵
	Security total:	67.96		465.02	153.02 W	-244.04	
SQ 04/17/2020 CALL \$62.50 / CUSIP: / Symbol:							
04/13/20	1.000	96.98	04/09/20	223.00	...	-126.02	Option sale to close-call ²⁵
SQ 04/24/2020 CALL \$58.50 / CUSIP: / Symbol:							
04/17/20	1.000	389.98	04/16/20	285.00	...	104.98	Option sale to close-call ²⁵
SQ 04/24/2020 PUT \$63.00 / CUSIP: / Symbol:							
	2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	424.98	04/20/20	257.00	...	167.98	1 of 2 - Option sale to close-put ²⁵
	1.000	424.98	04/20/20	257.00	...	167.98	2 of 2 - Option sale to close-put ²⁵
04/21/20	2.000	849.96	Various	514.00	...	335.96	Total of 2 transactions
SQ 06/19/2020 PUT \$94.00 / CUSIP: / Symbol:							
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	88.99	06/16/20	195.00	...	-106.01	1 of 2 - Option sale to close-put ²⁵
	1.000	88.99	06/16/20	157.00	...	-68.01	2 of 2 - Option sale to close-put ²⁵
06/17/20	2.000	177.98	Various	352.00	...	-174.02	Total of 2 transactions
T 04/17/2020 CALL \$31.00 / CUSIP: / Symbol:							
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	3.000	14.98	04/15/20	150.00	45.01 W	-90.01	1 of 2 - Option sale to close-call ²⁵
	2.000	9.98	04/15/20	100.00	90.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/16/20	5.000	24.96	Various	250.00	135.03 W	-90.01	Total of 2 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	2.000	25.99	04/15/20	190.02	...	-164.03	1 of 2 - Option sale to close-call ²⁵

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T 04/17/2020 CALL \$31.00 / CUSIP: / Symbol: (cont'd)							
	1.000	12.99	04/15/20	95.01	...	-82.02	2 of 2 - Option sale to close-call ²⁵
04/17/20	3.000	38.98	Various	285.03	...	-246.05	Total of 2 transactions
	Security total:	63.94		535.03	135.03 W	-336.06	
T 06/12/2020 PUT \$32.00 / CUSIP: / Symbol:							
06/10/20	3.000	92.98	06/09/20	81.00	...	11.98	Option sale to close-put ²⁵
TEAM 06/19/2020 CALL \$170.00 / CUSIP: / Symbol:							
06/17/20	1.000	509.97	06/16/20	350.00	...	159.97	Option sale to close-call ²⁵
TLRY 07/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
06/18/20	3.000	248.98	06/17/20	201.00	...	47.98	Option sale to close-call ²⁵
TLT 07/02/2020 PUT \$162.00 / CUSIP: / Symbol:							
07/02/20	5.000	0.00	07/01/20	375.00	...	-375.00	Option expiration- long put
TSLA 05/22/2020 CALL \$900.00 / CUSIP: / Symbol:							
05/19/20	1.000	173.98	05/19/20	222.00	...	-48.02	Option sale to close-call ²⁵
TWO 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/16/20	2.000	119.98	06/15/20	70.00	...	49.98	Option sale to close-call ²⁵
TWO 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/09/20	110.00	...	-110.00	Option expiration- long call
TWO 07/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/16/20	1.000	49.98	06/09/20	160.00	...	-110.02	Option sale to close-call ²⁵
TWTR 05/01/2020 CALL \$29.50 / CUSIP: / Symbol:							
	<i>2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	04/30/20	108.00	...	-108.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/30/20	54.00	...	-54.00	2 of 2 - Option expiration- long call
05/01/20	3.000	0.00	Various	162.00	...	-162.00	Total of 2 transactions
TWTR 05/01/2020 CALL \$30.50 / CUSIP: / Symbol:							
05/01/20	3.000	0.00	04/30/20	243.00	...	-243.00	Option expiration- long call

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TWTR 05/15/2020 CALL \$29.50 / CUSIP: / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	97.99	05/08/20	87.00	...	10.99	1 of 2 - Option sale to close-call ²⁵
	1.000	97.99	05/08/20	87.00	...	10.99	2 of 2 - Option sale to close-call ²⁵
05/08/20	2.000	195.98	Various	174.00	...	21.98	Total of 2 transactions
TWTR 05/22/2020 CALL \$29.50 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	271.98	05/19/20	144.00	...	127.98	1 of 2 - Option sale to close-call ²⁵
	3.000	374.98	05/19/20	216.00	...	158.98	2 of 2 - Option sale to close-call ²⁵
05/20/20	5.000	646.96	Various	360.00	...	286.96	Total of 2 transactions
TWTR 05/22/2020 CALL \$30.00 / CUSIP: / Symbol:							
5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	90.99	05/18/20	63.00	...	27.99	1 of 5 - Option sale to close-call ²⁵
	1.000	90.99	05/18/20	63.00	...	27.99	2 of 5 - Option sale to close-call ²⁵
	1.000	91.00	05/18/20	63.00	...	28.00	3 of 5 - Option sale to close-call ²⁵
	1.000	103.98	05/18/20	60.00	...	43.98	4 of 5 - Option sale to close-call ²⁵
	2.000	181.99	05/18/20	120.00	...	61.99	5 of 5 - Option sale to close-call ²⁵
05/20/20	6.000	558.95	Various	369.00	...	189.95	Total of 5 transactions
TWTR 05/29/2020 CALL \$32.50 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/28/20	168.00	...	-168.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/28/20	42.00	...	-42.00	2 of 2 - Option expiration- long call
05/29/20	5.000	0.00	Various	210.00	...	-210.00	Total of 2 transactions
TWTR 05/29/2020 CALL \$34.00 / CUSIP: / Symbol:							
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/26/20	280.00	...	-280.00	1 of 4 - Option expiration- long call
	1.000	0.00	05/26/20	70.00	...	-70.00	2 of 4 - Option expiration- long call
	1.000	0.00	05/26/20	59.00	...	-59.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/26/20	59.00	...	-59.00	4 of 4 - Option expiration- long call
05/29/20	7.000	0.00	Various	468.00	...	-468.00	Total of 4 transactions

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 05/29/2020 PUT \$32.00 / CUSIP: / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	68.98	05/21/20	93.00	...	-24.02	1 of 2 - Option sale to close-put ²⁵
	1.000	73.98	05/21/20	93.00	...	-19.02	2 of 2 - Option sale to close-put ²⁵
05/22/20	2.000	142.96	Various	186.00	...	-43.04	Total of 2 transactions
TWTR 05/29/2020 PUT \$32.50 / CUSIP: / Symbol:							
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	05/22/20	80.00	...	-25.02	1 of 4 - Option sale to close-put ²⁵
	1.000	54.98	05/22/20	80.00	...	-25.02	2 of 4 - Option sale to close-put ²⁵
	1.000	54.98	05/22/20	80.00	...	-25.02	3 of 4 - Option sale to close-put ²⁵
	1.000	56.98	05/22/20	80.00	...	-23.02	4 of 4 - Option sale to close-put ²⁵
05/27/20	4.000	221.92	Various	320.00	...	-98.08	Total of 4 transactions
TWTR 06/12/2020 PUT \$36.50 / CUSIP: / Symbol:							
06/09/20	1.000	129.98	06/08/20	74.00	...	55.98	Option sale to close-put ²⁵
UBER 05/01/2020 PUT \$27.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	185.98	04/15/20	214.00	...	-28.02	1 of 2 - Option sale to close-put ²⁵
	1.000	207.98	04/15/20	214.00	...	-6.02	2 of 2 - Option sale to close-put ²⁵
04/16/20	2.000	393.96	Various	428.00	...	-34.04	Total of 2 transactions
UBER 05/22/2020 PUT \$32.00 / CUSIP: / Symbol:							
05/18/20	2.000	65.98	05/15/20	226.00	...	-160.02	Option sale to close-put ²⁵
UBER 06/12/2020 CALL \$37.00 / CUSIP: / Symbol:							
06/09/20	1.000	107.98	06/08/20	88.00	...	19.98	Option sale to close-call ²⁵
UBER 07/02/2020 CALL \$31.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	3.000	128.98	07/01/20	165.00	...	-36.02	1 of 3 - Option sale to close-call ²⁵
	2.000	85.98	07/01/20	110.00	...	-24.02	2 of 3 - Option sale to close-call ²⁵
	1.000	44.98	07/01/20	55.00	...	-10.02	3 of 3 - Option sale to close-call ²⁵
07/02/20	6.000	259.94	Various	330.00	...	-70.06	Total of 3 transactions

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VZ 05/01/2020 CALL \$58.00 / CUSIP: / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	69.98	04/24/20	62.01	...	7.97	1 of 2 - Option sale to close-call ²⁵
	1.000	76.99	04/24/20	40.00	...	36.99	2 of 2 - Option sale to close-call ²⁵
04/27/20	2.000	146.97	Various	102.01	...	44.96	Total of 2 transactions
04/27/20	2.000	153.99	04/23/20	198.00	22.01 W	-22.00	Option sale to close-call ²⁵
	Security total:	300.96		300.01	22.01 W	22.96	
WDAY 03/06/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	02/27/20	145.00	...	-105.01	1 of 2 - Option sale to close-call ²⁵
	1.000	39.99	02/27/20	140.00	...	-100.01	2 of 2 - Option sale to close-call ²⁵
02/28/20	2.000	79.98	Various	285.00	...	-205.02	Total of 2 transactions
WFC 05/15/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/14/20	188.00	...	-188.00	1 of 2 - Option expiration- long call
	3.000	0.00	05/14/20	141.00	...	-141.00	2 of 2 - Option expiration- long call
05/15/20	7.000	0.00	Various	329.00	...	-329.00	Total of 2 transactions
WFC 05/22/2020 CALL \$25.00 / CUSIP: / Symbol:							
05/20/20	5.000	164.98	05/19/20	235.00	70.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	4.000	75.99	05/21/20	176.02	...	-100.03	1 of 3 - Option sale to close-call ²⁵
	5.000	94.98	05/21/20	150.00	...	-55.02	2 of 3 - Option sale to close-call ²⁵
	1.000	19.00	05/21/20	44.00	...	-25.00	3 of 3 - Option sale to close-call ²⁵
05/21/20	10.000	189.97	Various	370.02	...	-180.05	Total of 3 transactions
	Security total:	354.95		605.02	70.02 W	-180.05	
WFC 05/22/2020 PUT \$24.50 / CUSIP: / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	3.000	110.98	05/20/20	135.00	...	-24.02	1 of 2 - Option sale to close-put ²⁵
	1.000	37.00	05/20/20	53.02	...	-16.02	2 of 2 - Option sale to close-put ²⁵
05/21/20	4.000	147.98	Various	188.02	...	-40.04	Total of 2 transactions

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WFC 05/22/2020 PUT \$24.50 / CUSIP: / Symbol: (cont'd)							
05/21/20	1.000	36.98	05/20/20	45.00	8.02 W	0.00	Option sale to close-put ²⁵
Security total:		184.96		233.02	8.02 W	-40.04	
WFC 06/05/2020 CALL \$27.50 / CUSIP: / Symbol:							
06/02/20	1.000	69.98	06/01/20	56.00	...	13.98	Option sale to close-call ²⁵
WFC 06/05/2020 PUT \$29.50 / CUSIP: / Symbol:							
06/05/20	5.000	0.00	06/04/20	135.00	...	-135.00	Option expiration- long put
WFC 06/12/2020 CALL \$31.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/10/20	63.00	...	-63.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/10/20	63.00	...	-63.00	2 of 2 - Option expiration- long call
06/12/20	2.000	0.00	Various	126.00	...	-126.00	Total of 2 transactions
WFC 06/26/2020 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	113.98	06/22/20	79.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	2.000	207.98	06/22/20	158.00	...	49.98	2 of 2 - Option sale to close-call ²⁵
06/23/20	3.000	321.96	Various	237.00	...	84.96	Total of 2 transactions
WFC 06/26/2020 PUT \$26.50 / CUSIP: / Symbol:							
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	90.98	06/24/20	59.00	...	31.98	1 of 3 - Option sale to close-put ²⁵
	1.000	90.98	06/24/20	59.00	...	31.98	2 of 3 - Option sale to close-put ²⁵
	1.000	90.98	06/24/20	59.00	...	31.98	3 of 3 - Option sale to close-put ²⁵
06/25/20	3.000	272.94	Various	177.00	...	95.94	Total of 3 transactions
WFC 06/26/2020 PUT \$27.50 / CUSIP: / Symbol:							
06/22/20	1.000	107.98	06/19/20	91.00	...	16.98	Option sale to close-put ²⁵
WMT 04/17/2020 PUT \$122.00 / CUSIP: / Symbol:							
04/14/20	1.000	26.98	04/13/20	160.00	...	-133.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 04/17/2020 PUT \$128.00 / CUSIP: / Symbol:							
04/15/20	1.000	125.98	04/14/20	155.00	...	-29.02	Option sale to close-put ²⁵
WMT 04/24/2020 CALL \$131.00 / CUSIP: / Symbol:							
04/17/20	1.000	225.98	04/16/20	216.00	...	9.98	Option sale to close-call ²⁵
WMT 05/01/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	137.99	04/23/20	256.00	...	-118.01	1 of 2 - Option sale to close-call ²⁵
	1.000	137.99	04/23/20	256.00	...	-118.01	2 of 2 - Option sale to close-call ²⁵
04/28/20	2.000	275.98	Various	512.00	...	-236.02	Total of 2 transactions
WMT 05/22/2020 CALL \$128.00 / CUSIP: / Symbol:							
05/19/20	1.000	379.98	05/18/20	295.00	...	84.98	Option sale to close-call ²⁵
WMT 05/22/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/19/20	170.00	...	-170.00	Option expiration- long call
WMT 05/29/2020 CALL \$126.00 / CUSIP: / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	191.98	05/20/20	208.00	...	-16.02	1 of 2 - Option sale to close-call ²⁵
	1.000	191.98	05/20/20	208.00	...	-16.02	2 of 2 - Option sale to close-call ²⁵
05/21/20	2.000	383.96	Various	416.00	...	-32.04	Total of 2 transactions
WORK 05/08/2020 CALL \$30.50 / CUSIP: / Symbol:							
04/21/20	1.000	244.98	04/20/20	245.00	...	-0.02	Option sale to close-call ²⁵
WORK 07/10/2020 CALL \$31.50 / CUSIP: / Symbol:							
06/30/20	1.000	129.98	06/29/20	140.02	...	-10.04	Option sale to close-call ²⁵
06/30/20	1.000	129.98	06/29/20	135.00	5.02 W	0.00	Option sale to close-call ²⁵
	Security total:	259.96		275.02	5.02 W	-10.04	
XLV 03/13/2020 PUT \$94.50 / CUSIP: / Symbol:							
03/04/20	1.000	92.98	03/03/20	237.00	...	-144.02	Option sale to close-put ²⁵
YETI 05/29/2020 CALL \$33.00 / CUSIP: / Symbol:							
05/29/20	5.000	0.00	05/28/20	200.00	...	-200.00	Option expiration- long call

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Robinhood Securities LLC

Account 155078678

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YETI 06/12/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/02/20	2.000	59.98	05/28/20	120.00	...	-60.02	Option sale to close-call ²⁵
ZM 03/06/2020 PUT \$112.00 / CUSIP: / Symbol:							
03/06/20	1.000	164.98	03/04/20	590.00	...	-425.02	Option sale to close-put ²⁵
ZM 04/24/2020 CALL \$152.50 / CUSIP: / Symbol:							
04/21/20	1.000	609.97	04/17/20	730.00	...	-120.03	Option sale to close-call ²⁵
ZM 06/12/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/08/20	1.000	675.97	06/08/20	520.00	...	155.97	Option sale to close-call ²⁵
Totals :		64,664.44		73,228.60	1,220.60 W	-7,343.56	

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Robinhood Securities LLC

Account 155078678

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/13/20	-5.00	Margin interest paid	
			-5.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.