

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 142896257</b>	Statement Date: 03/22/2021 AMENDED Document ID: 8NZ2 0B3 F1G0	2020
	Sasikanth Potu 4200 N Meridian Ave 407 Oklahoma City, OK 73112  RECIPIENT'S TIN: XXX-XX-0407		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	4.87		2- Royalties	0.00	
1b- Qualified dividends	4.87		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

**\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	181,210.24	180,486.58	0.00	5,632.69	6,356.35 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>181,210.24</b>	<b>180,486.58</b>	<b>0.00</b>	<b>5,632.69</b>	<b>6,356.35</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>181,210.24</b>	<b>180,486.58</b>	<b>0.00</b>	<b>5,632.69</b>	<b>6,356.35</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 142896257

**Summary Information**

2020

(continued)

03/22/2021 AMENDED

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	68.90
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 11/20/2020 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	15.98	11/20/20	0.00	...	15.98	1 of 2 - Short sale closed- call expired
	1.000	15.98	11/20/20	0.00	...	15.98	Option written: 11/13/20
11/20/20	2.000	31.96	Various	0.00	...	31.96	2 of 2 - Short sale closed- call expired
							Option written: 11/13/20
							Total of 2 transactions
AAL 12/11/2020 CALL \$15.00 / CUSIP: / Symbol:							
12/03/20	1.000	123.98	12/01/20	60.00	...	63.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	740.97	12/08/20	411.00	...	329.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	629.97	12/09/20	360.00	...	269.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/20	2.000	1,370.94	Various	771.00	...	599.94	Total of 2 transactions
AAPL 07/10/2020 PUT \$357.50 / CUSIP: / Symbol:							
07/01/20	1.000	349.98	07/01/20	370.00	...	-20.02	Option sale to close-put <sup>25</sup>
AAPL 10/16/2020 CALL \$117.00 / CUSIP: / Symbol:							
10/12/20	1.000	789.97	10/09/20	238.00	...	551.97	Option sale to close-call <sup>25</sup>
AAPL 10/30/2020 CALL \$114.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/26/20	297.00	...	-297.00	Option expiration- long call
AAPL 10/30/2020 CALL \$116.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/26/20	288.00	...	-288.00	Option expiration- long call
AIMT 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	1,919.94	08/19/20	537.00	...	1,382.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,919.94	08/27/20	430.00	...	1,489.94	2 of 2 - Option sale to close-call <sup>25</sup>
08/31/20	2.000	3,839.88	Various	967.00	...	2,872.88	Total of 2 transactions
APPS 09/18/2020 PUT \$22.50 / CUSIP: / Symbol:							
09/04/20	1.000	229.98	09/04/20	245.00	...	-15.02	Option sale to close-put <sup>25</sup>
BAC 03/19/2021 CALL \$24.00 / CUSIP: / Symbol:							
10/07/20	1.000	283.98	09/29/20	228.00	...	55.98	Option sale to close-call <sup>25</sup>
BAC 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	2.000	223.99	06/29/20	272.00	...	-48.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	111.99	07/01/20	123.00	...	-11.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	3.000	335.98	Various	395.00	...	-59.02	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
10/30/20	1.000	399.98	08/19/20	585.00	...	-185.02	Option sale to close-call <sup>25</sup>
CCL 10/23/2020 CALL \$15.50 / CUSIP: / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	25.99	10/12/20	58.00	...	-32.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	25.99	10/12/20	58.00	...	-32.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/20	2.000	51.98	Various	116.00	...	-64.02	Total of 2 transactions
CCL 12/11/2020 PUT \$22.00 / CUSIP: / Symbol:							
12/10/20	1.000	13.98	12/09/20	20.00	...	-6.02	Option sale to close-put <sup>25</sup>
CNK 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
12/03/20	1.000	489.97	11/09/20	480.00	...	9.97	Option sale to close-call <sup>25</sup>
12/22/20	1.000	639.97	12/09/20	620.00	...	19.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,129.94</b>		<b>1,100.00</b>	...	<b>29.94</b>	
DKNG 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	479.97	12/04/20	310.00	...	169.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	479.97	12/04/20	257.00	...	222.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/17/20	2.000	959.94	Various	567.00	...	392.94	Total of 2 transactions
12/22/20	1.000	359.98	12/07/20	198.00	...	161.98	Option sale to close-call <sup>25</sup>
12/29/20	1.000	95.98	12/08/20	160.00	...	-64.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,415.90</b>		<b>925.00</b>	...	<b>490.90</b>	
EXPR 04/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
11/12/20	3.000	89.98	11/09/20	93.00	...	-3.02	Option sale to close-call <sup>25</sup>
NIO 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/31/20	1.000	624.97	12/09/20	700.00	75.03 W	0.00	Option sale to close-call <sup>25</sup>
NIO 08/21/2020 CALL \$16.00 / CUSIP: / Symbol:							
08/11/20	2.000	67.98	08/10/20	188.00	...	-120.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/28/2020 CALL \$16.00 / CUSIP: / Symbol:							
08/26/20	2.000	103.98	08/25/20	0.00	...	103.98	Short sale closed- call <sup>25</sup> Option written: 08/03/20
NIO 09/04/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/03/20	1.000	-32.02	09/02/20	0.00	...	-32.02	Short sale closed- call <sup>25</sup> Option written: 08/25/20
NIO 09/11/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/04/20	1.000	47.98	08/31/20	129.00	...	-81.02	Option sale to close-call <sup>25</sup>
NIO 11/20/2020 CALL \$43.00 / CUSIP: / Symbol:							
11/18/20	1.000	309.98	11/16/20	420.00	...	-110.02	Option sale to close-call <sup>25</sup>
NIO 11/20/2020 PUT \$40.00 / CUSIP: / Symbol:							
11/18/20	1.000	55.98	11/17/20	148.00	...	-92.02	Option sale to close-put <sup>25</sup>
NIO 11/27/2020 CALL \$55.00 / CUSIP: / Symbol:							
11/20/20	1.000	105.98	11/18/20	83.00	...	22.98	Option sale to close-call <sup>25</sup>
NIO 12/31/2020 CALL \$47.50 / CUSIP: / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	33.99	12/28/20	45.00	...	-11.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	67.99	12/29/20	32.00	...	35.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/20	3.000	101.98	Various	77.00	...	24.98	Total of 2 transactions
NKE 07/02/2020 CALL \$112.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	06/25/20	43.00	...	-43.00	Option expiration- long call
NKE 07/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	28.99	06/24/20	208.00	...	-179.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	28.99	06/24/20	189.00	...	-160.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	57.98	Various	397.00	...	-339.02	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKE 07/17/2020 CALL \$110.00 / CUSIP: / Symbol:							
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	5.00	06/24/20	97.00	...	-92.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/24/20	95.00	...	-90.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	06/24/20	88.00	...	-83.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/29/20	10.00	...	-5.01	4 of 4 - Option sale to close-call <sup>25</sup>
07/07/20	4.000	19.98	Various	290.00	...	-270.02	Total of 4 transactions
NKLA 12/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
12/11/20	3.000	122.98	12/07/20	270.00	...	-147.02	Option sale to close-call <sup>25</sup>
ORCL 10/02/2020 CALL \$61.00 / CUSIP: / Symbol:							
09/21/20	1.000	139.98	09/17/20	127.00	...	12.98	Option sale to close-call <sup>25</sup>
PFE1 01/21/2022 CALL \$42.00 / CUSIP: / Symbol:							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	288.99	11/09/20	395.00	...	-106.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	288.99	11/09/20	335.00	...	-46.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	288.99	11/11/20	275.00	...	13.99	3 of 3 - Option sale to close-call <sup>25</sup>
11/23/20	3.000	866.97	Various	1,005.00	...	-138.03	Total of 3 transactions
PLTR 12/11/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/07/20	1.000	252.98	12/04/20	227.04	...	25.94	Option sale to close-call <sup>25</sup>
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	161.98	12/01/20	285.00	123.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	161.98	12/02/20	271.02	109.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/07/20	2.000	323.96	Various	556.02	232.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>576.94</b>		<b>783.06</b>	<b>232.06 W</b>	<b>25.94</b>	
PLTR 12/11/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	57.99	12/08/20	150.00	...	-92.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	57.99	12/09/20	90.00	...	-32.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/09/20	2.000	115.98	Various	240.00	...	-124.02	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 12/11/2020 PUT \$24.00 / CUSIP: / Symbol: 12/09/20	1.000	32.98	12/09/20	28.00	...	4.98	Option sale to close-put <sup>25</sup>
PLTR 12/24/2020 CALL \$28.50 / CUSIP: / Symbol: 12/16/20	1.000	67.98	12/14/20	234.00	...	-166.02	Option sale to close-call <sup>25</sup>
PLUG 12/04/2020 CALL \$24.00 / CUSIP: / Symbol: 12/03/20	1.000	43.98	12/02/20	122.02	...	-78.04	Option sale to close-call <sup>25</sup>
12/03/20	1.000	43.98	12/02/20	83.00	39.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>87.96</b>		<b>205.02</b>	<b>39.02 W</b>	<b>-78.04</b>	
PLUG 12/24/2020 CALL \$37.00 / CUSIP: / Symbol: 12/24/20	1.000	0.00	12/23/20	42.00	...	-42.00	Option expiration- long call
SNAP 08/28/2020 CALL \$24.50 / CUSIP: / Symbol: 07/21/20	1.000	258.98	07/20/20	244.00	...	14.98	Option sale to close-call <sup>25</sup>
SPCE 12/04/2020 CALL \$28.00 / CUSIP: / Symbol: 12/03/20	1.000	71.98	12/01/20	94.00	...	-22.02	Option sale to close-call <sup>25</sup>
SQ 08/21/2020 CALL \$145.00 / CUSIP: / Symbol: 07/01/20	1.000	214.98	07/01/20	232.00	...	-17.02	Option sale to close-call <sup>25</sup>
TLRY 09/18/2020 CALL \$11.00 / CUSIP: / Symbol: 07/06/20	4.000	163.98	06/30/20	136.00	...	27.98	Option sale to close-call <sup>25</sup>
TSLA 11/20/2020 CALL \$510.00 / CUSIP: / Symbol: 11/19/20	1.000	619.97	11/19/20	1,010.00	...	-390.03	Option sale to close-call <sup>25</sup>
TSLA 12/04/2020 CALL \$480.00 / CUSIP: / Symbol: 11/19/20	1.000	4,224.89	11/18/20	2,234.00	...	1,990.89	Option sale to close-call <sup>25</sup>
TSLA 12/04/2020 CALL \$650.00 / CUSIP: / Symbol: 12/03/20	1.000	35.98	12/02/20	67.00	...	-31.02	Option sale to close-call <sup>25</sup>
TSLA 12/31/2020 CALL \$700.00 / CUSIP: / Symbol: 12/30/20	1.000	151.98	12/30/20	83.00	...	68.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/31/2020 CALL \$750.00 / CUSIP: / Symbol:							
12/31/20	2.000	0.00	12/30/20	32.00	...	-32.00	Option expiration- long call
VBIV 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/20/20	1.000	74.98	07/17/20	55.00	...	19.98	Option sale to close-call <sup>25</sup>
VRM 07/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/16/20	1.000	909.96	11/24/20	980.00	70.04 W	0.00	Option sale to close-call <sup>25</sup>
VSLR 08/21/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	139.98	07/20/20	165.00	...	-25.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.98	07/20/20	165.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	289.96	Various	330.00	...	-40.04	Total of 2 transactions
WFC 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
12/11/20	1.000	669.97	11/09/20	385.00	...	284.97	Option sale to close-call <sup>25</sup>
WFC 12/18/2020 CALL \$29.50 / CUSIP: / Symbol:							
12/16/20	1.000	48.98	12/11/20	44.00	...	4.98	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
8 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	30.000	105.33	11/09/20	112.16	...	-6.83	1 of 8 - Sale <sup>25</sup>
	30.000	105.33	11/09/20	112.08	...	-6.75	2 of 8 - Sale <sup>25</sup>
	20.000	70.22	11/09/20	75.60	...	-5.38	3 of 8 - Sale <sup>25</sup>
	20.000	70.22	11/10/20	70.80	...	-0.58	4 of 8 - Sale <sup>25</sup>
	50.000	175.54	11/11/20	153.44	...	22.10	5 of 8 - Sale <sup>25</sup>
	20.000	70.22	11/12/20	59.77	...	10.45	6 of 8 - Sale <sup>25</sup>
	30.000	105.33	11/13/20	86.66	...	18.67	7 of 8 - Sale <sup>25</sup>
	20.000	69.65	11/17/20	71.14	...	-1.49	8 of 8 - Sale <sup>25</sup>
11/23/20	220.000	771.84	Various	741.65	...	30.19	Total of 8 transactions
11/23/20	100.000	351.10	11/09/20	410.00	29.45 W	-29.45	Sale <sup>25</sup>
12/02/20	29.000	118.08	11/17/20	103.15	...	14.93	Sale <sup>25</sup>
12/04/20	1.000	3.44	11/17/20	3.56	...	-0.12	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,244.46</b>		<b>1,258.36</b>	<b>29.45 W</b>	<b>15.55</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTERYX, INC. / CUSIP: 02156B103 / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	113.00	08/10/20	110.62	...	2.38	1 of 2 - Sale <sup>25</sup>
	8.000	903.98	08/10/20	884.94	...	19.04	2 of 2 - Sale <sup>25</sup>
08/11/20	9.000	1,016.98	Various	995.56	...	21.42	Total of 2 transactions
5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	112.26	08/10/20	110.61	...	1.65	1 of 5 - Sale <sup>25</sup>
	2.000	224.52	08/12/20	220.39	...	4.13	2 of 5 - Sale <sup>25</sup>
	1.000	112.26	08/12/20	107.96	...	4.30	3 of 5 - Sale <sup>25</sup>
	2.000	224.52	08/12/20	214.65	...	9.87	4 of 5 - Sale <sup>25</sup>
	1.000	112.26	08/14/20	109.05	...	3.21	5 of 5 - Sale <sup>25</sup>
08/17/20	7.000	785.82	Various	762.66	...	23.16	Total of 5 transactions
08/20/20	1.000	111.80	08/14/20	109.05	...	2.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,914.60</b>		<b>1,867.27</b>	...	<b>47.33</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	5.000	61.62	09/22/20	61.43	...	0.19	1 of 4 - Sale <sup>25</sup>
	5.000	61.63	09/23/20	61.09	...	0.54	2 of 4 - Sale <sup>25</sup>
	10.000	123.25	09/23/20	120.17	...	3.08	3 of 4 - Sale <sup>25</sup>
	9.000	110.92	09/24/20	104.30	...	6.62	4 of 4 - Sale <sup>25</sup>
09/29/20	29.000	357.42	Various	346.99	...	10.43	Total of 4 transactions
09/29/20	50.000	616.25	09/21/20	618.50	0.05 W	-2.20	Sale <sup>25</sup>
11/18/20	1.000	13.10	09/24/20	11.64	...	1.46	Sale <sup>25</sup>
11/18/20	49.000	641.93	11/09/20	686.47	44.54 W	0.00	Sale <sup>25</sup>
7 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	13.03	11/09/20	12.95	...	0.08	1 of 7 - Sale <sup>25</sup>
	30.000	390.92	11/10/20	381.21	...	9.71	2 of 7 - Sale <sup>25</sup>
	20.000	260.62	11/11/20	241.77	...	18.85	3 of 7 - Sale <sup>25</sup>
	30.000	390.92	11/11/20	362.95	...	27.97	4 of 7 - Sale <sup>25</sup>
	4.000	52.12	11/12/20	46.68	...	5.44	5 of 7 - Sale <sup>25</sup>
	20.000	260.62	11/13/20	239.40	...	21.22	6 of 7 - Sale <sup>25</sup>
	24.000	312.74	11/17/20	297.03	...	15.71	7 of 7 - Sale <sup>25</sup>
11/23/20	129.000	1,680.97	Various	1,581.99	...	98.98	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	13.03	11/09/20	14.01	0.98 W	0.00	1 of 4 - Sale <sup>25</sup>
	30.000	390.92	11/09/20	426.19	35.27 W	0.00	2 of 4 - Sale <sup>25</sup>
	19.000	247.58	11/09/20	263.28	15.70 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	260.61	11/09/20	264.96	4.35 W	0.00	4 of 4 - Sale <sup>25</sup>
11/23/20	70.000	912.14	Various	968.44	56.30 W	0.00	Total of 4 transactions
12/04/20	1.000	16.30	11/17/20	13.36	...	2.94	Sale <sup>25</sup>
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	10.000	158.52	12/22/20	169.42	10.90 W	0.00	1 of 2 - Sale <sup>25</sup> C
	10.000	158.43	12/22/20	169.43	11.00 W	0.00	2 of 2 - Sale <sup>25</sup> C
12/29/20	20.000	316.95	Various	338.85	21.90 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,555.06</b>		<b>4,566.24</b>	<b>122.79 W</b>	<b>111.61</b>	
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	5.000	70.76	11/30/20	78.95	8.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	70.80	11/30/20	78.95	8.15 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	10.000	141.56	Various	157.90	16.34 W	0.00	Total of 2 transactions
8 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	30.000	448.55	11/30/20	473.70	...	-25.15	1 of 8 - Sale <sup>25</sup>
	26.000	388.72	11/30/20	410.54	...	-21.82	2 of 8 - Sale <sup>25</sup>
	5.000	74.76	12/01/20	82.44	...	-7.68	3 of 8 - Sale <sup>25</sup>
	5.000	74.75	12/01/20	82.24	...	-7.49	4 of 8 - Sale <sup>25</sup>
	10.000	149.51	12/01/20	154.68	...	-5.17	5 of 8 - Sale <sup>25</sup>
	4.000	59.80	12/02/20	56.89	...	2.91	6 of 8 - Sale <sup>25</sup>
	6.000	89.71	12/03/20	87.08	...	2.63	7 of 8 - Sale <sup>25</sup>
	14.000	209.32	12/03/20	204.73	...	4.59	8 of 8 - Sale <sup>25</sup>
12/09/20	100.000	1,495.12	Various	1,552.30	...	-57.18	Total of 8 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	20.000	302.80	11/30/20	315.80	13.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	210.42	11/30/20	221.06	10.64 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/20	34.000	513.22	Various	536.86	23.64 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,149.90</b>		<b>2,247.06</b>	<b>39.98 W</b>	<b>-57.18</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/16/20	2.000	226.16	08/31/20	258.71	32.55 W	0.00	Sale <sup>25</sup>
	26 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	124.08	09/03/20	122.94	...	1.14	1 of 26 - Sale <sup>25</sup>
	2.000	248.16	09/04/20	235.10	...	13.06	2 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/08/20	114.60	...	9.48	3 of 26 - Sale <sup>25</sup>
	2.000	248.16	09/08/20	234.07	...	14.09	4 of 26 - Sale <sup>25</sup>
	2.000	248.17	09/08/20	232.64	...	15.53	5 of 26 - Sale <sup>25</sup>
	2.000	248.16	09/08/20	230.61	...	17.55	6 of 26 - Sale <sup>25</sup>
	2.000	248.16	09/08/20	227.68	...	20.48	7 of 26 - Sale <sup>25</sup>
	4.000	496.33	09/08/20	467.68	...	28.65	8 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/10/20	116.39	...	7.69	9 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/10/20	114.07	...	10.01	10 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/10/20	113.58	...	10.50	11 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/11/20	112.01	...	12.07	12 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/11/20	111.82	...	12.26	13 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/11/20	110.14	...	13.94	14 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/15/20	115.48	...	8.60	15 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/17/20	110.38	...	13.70	16 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/17/20	109.79	...	14.29	17 of 26 - Sale <sup>25</sup>
	2.000	248.17	09/17/20	218.30	...	29.87	18 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/18/20	108.19	...	15.89	19 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/18/20	107.34	...	16.74	20 of 26 - Sale <sup>25</sup>
	1.000	124.09	09/18/20	106.76	...	17.33	21 of 26 - Sale <sup>25</sup>
	1.000	124.08	09/22/20	110.93	...	13.15	22 of 26 - Sale <sup>25</sup>
	1.000	124.09	09/23/20	108.18	...	15.91	23 of 26 - Sale <sup>25</sup>
	2.000	248.17	09/23/20	219.81	...	28.36	24 of 26 - Sale <sup>25</sup>
	2.000	248.17	09/23/20	216.00	...	32.17	25 of 26 - Sale <sup>25</sup>
	2.000	248.17	09/23/20	215.62	...	32.55	26 of 26 - Sale <sup>25</sup>
10/12/20	38.000	4,715.12	Various	4,290.11	...	425.01	Total of 26 transactions
	5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	124.08	08/31/20	129.36	5.28 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	248.16	09/01/20	299.98	51.82 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	620.41	09/02/20	647.75	27.34 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	248.16	09/03/20	256.20	8.04 W	0.00	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	2.000	248.16	09/03/20	249.58	1.42 W	0.00	5 of 5 - Sale <sup>25</sup>
10/12/20	12.000	1,488.97	Various	1,582.87	93.90 W	0.00	Total of 5 transactions
	12 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	124.44	09/28/20	118.24	...	6.20	1 of 12 - Sale <sup>25</sup>
	1.000	124.44	10/02/20	119.26	...	5.18	2 of 12 - Sale <sup>25</sup>
	2.000	248.87	10/02/20	236.76	...	12.11	3 of 12 - Sale <sup>25</sup>
	2.000	248.87	10/02/20	236.49	...	12.38	4 of 12 - Sale <sup>25</sup>
	2.000	248.87	10/02/20	233.86	...	15.01	5 of 12 - Sale <sup>25</sup>
	2.000	248.87	10/06/20	228.92	...	19.95	6 of 12 - Sale <sup>25</sup>
	2.000	248.87	10/06/20	227.49	...	21.38	7 of 12 - Sale <sup>25</sup>
	2.000	248.88	10/06/20	226.72	...	22.16	8 of 12 - Sale <sup>25</sup>
	2.000	248.87	10/06/20	225.01	...	23.86	9 of 12 - Sale <sup>25</sup>
	4.000	497.75	10/06/20	455.58	...	42.17	10 of 12 - Sale <sup>25</sup>
	1.000	124.44	10/09/20	116.42	...	8.02	11 of 12 - Sale <sup>25</sup>
	4.000	497.74	10/09/20	465.68	...	32.06	12 of 12 - Sale <sup>25</sup>
10/13/20	25.000	3,110.91	Various	2,890.43	...	220.48	Total of 12 transactions
	4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	124.44	09/28/20	138.86	14.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	124.44	10/02/20	139.61	15.17 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	124.44	10/09/20	130.84	6.40 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	124.44	10/09/20	131.59	7.15 W	0.00	4 of 4 - Sale <sup>25</sup>
10/13/20	4.000	497.76	Various	540.90	43.14 W	0.00	Total of 4 transactions
	3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	110.47	11/02/20	115.24	...	-4.77	1 of 3 - Sale <sup>25</sup>
	1.000	110.47	11/02/20	114.49	...	-4.02	2 of 3 - Sale <sup>25</sup>
	47.000	5,192.21	11/02/20	5,080.00	...	112.21	3 of 3 - Sale <sup>25</sup>
11/03/20	49.000	5,413.15	Various	5,309.73	...	103.42	Total of 3 transactions
11/03/20	1.000	110.47	10/09/20	116.42	5.95 W	0.00	Sale <sup>25</sup>
11/10/20	1.000	116.71	11/02/20	114.04	...	2.67	Sale <sup>25</sup>
	<b>Security total:</b>	<b>15,679.25</b>		<b>15,103.21</b>	<b>175.54 W</b>	<b>751.58</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
<i>5 transactions for 01/14/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	628.76	12/13/19	1,204.14	...	-575.38	1 of 5 - Sale <sup>25</sup>
	100.000	419.18	12/13/19	887.56	...	-468.38	2 of 5 - Sale <sup>25</sup>
	93.000	389.84	12/13/19	825.84	...	-436.00	3 of 5 - Sale <sup>25</sup>
	50.000	209.59	12/13/19	395.78	...	-186.19	4 of 5 - Sale <sup>25</sup>
	7.000	29.34	12/13/19	62.16	...	-32.82	5 of 5 - Sale <sup>25</sup>
01/14/20	400.000	1,676.71	Various	3,375.48	...	-1,698.77	Total of 5 transactions
<i>4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	29.000	124.12	07/10/20	135.06	...	-10.94	1 of 4 - Sale <sup>25</sup>
	30.000	128.84	07/10/20	139.72	...	-10.88	2 of 4 - Sale <sup>25</sup>
	18.000	77.04	07/10/20	83.83	...	-6.79	3 of 4 - Sale <sup>25</sup>
	2.000	8.58	07/10/20	9.31	...	-0.73	4 of 4 - Sale <sup>25</sup>
07/15/20	79.000	338.58	Various	367.92	...	-29.34	Total of 4 transactions
<i>3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	85.90	07/09/20	94.95	4.53 W	-4.52	1 of 3 - Sale <sup>25</sup>
	150.000	646.48	07/09/20	686.57	40.09 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	214.75	07/09/20	228.86	14.11 W	0.00	3 of 3 - Sale <sup>25</sup>
07/15/20	220.000	947.13	Various	1,010.38	58.73 W	-4.52	Total of 3 transactions
07/22/20	1.000	5.07	07/10/20	4.66	...	0.41	Sale <sup>25</sup>
<i>4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	231.86	08/04/20	174.03	...	57.83	1 of 4 - Sale <sup>25</sup>
	50.000	386.43	08/04/20	289.30	...	97.13	2 of 4 - Sale <sup>25</sup>
	10.000	77.10	08/11/20	71.33	...	5.77	3 of 4 - Sale <sup>25</sup>
	20.000	154.57	08/11/20	140.84	...	13.73	4 of 4 - Sale <sup>25</sup>
08/24/20	110.000	849.96	Various	675.50	...	174.46	Total of 4 transactions
	<b>Security total:</b>	<b>3,817.45</b>		<b>5,433.94</b>	<b>58.73 W</b>	<b>-1,557.76</b>	
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
07/14/20	20.000	159.40	07/10/20	167.60	...	-8.20	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
07/09/20	10.000	55.74	07/08/20	53.37	...	2.37	Sale <sup>25</sup>
07/09/20	20.000	111.46	07/08/20	111.90	0.44 W	0.00	Sale <sup>25</sup>
5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	80.000	352.21	07/21/20	398.98	...	-46.77	1 of 5 - Sale <sup>25</sup>
	50.000	220.13	07/21/20	243.87	...	-23.74	2 of 5 - Sale <sup>25</sup>
	20.000	88.05	07/21/20	100.19	...	-12.14	3 of 5 - Sale <sup>25</sup>
	10.000	44.03	07/22/20	47.57	...	-3.54	4 of 5 - Sale <sup>25</sup>
	40.000	176.10	07/23/20	181.49	...	-5.39	5 of 5 - Sale <sup>25</sup>
07/24/20	200.000	880.52	Various	972.10	...	-91.58	Total of 5 transactions
	<b>Security total:</b>	<b>1,047.72</b>		<b>1,137.37</b>	<b>0.44 W</b>	<b>-89.21</b>	
BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol:							
07/23/20	2.000	559.82	07/22/20	570.48	...	-10.66	Sale <sup>25</sup>
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol:							
18 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	99.000	45.83	07/14/20	44.99	...	0.84	1 of 18 - Sale <sup>25</sup>
	100.000	46.29	07/14/20	45.44	...	0.85	2 of 18 - Sale <sup>25</sup>
	201.000	93.04	07/14/20	91.33	...	1.71	3 of 18 - Sale <sup>25</sup>
	300.000	137.96	07/15/20	155.13	...	-17.17	4 of 18 - Sale <sup>25</sup>
	100.000	45.99	07/15/20	56.21	...	-10.22	5 of 18 - Sale <sup>25</sup>
	100.000	45.99	07/15/20	55.29	...	-9.30	6 of 18 - Sale <sup>25</sup>
	72.000	33.11	07/15/20	40.48	...	-7.37	7 of 18 - Sale <sup>25</sup>
	72.000	33.11	07/15/20	40.40	...	-7.29	8 of 18 - Sale <sup>25</sup>
	100.000	45.98	07/15/20	51.95	...	-5.97	9 of 18 - Sale <sup>25</sup>
	100.000	45.98	07/15/20	51.95	...	-5.97	10 of 18 - Sale <sup>25</sup>
	100.000	45.99	07/15/20	51.95	...	-5.96	11 of 18 - Sale <sup>25</sup>
	100.000	45.99	07/15/20	51.95	...	-5.96	12 of 18 - Sale <sup>25</sup>
	100.000	45.99	07/15/20	51.95	...	-5.96	13 of 18 - Sale <sup>25</sup>
	28.000	12.88	07/15/20	15.74	...	-2.86	14 of 18 - Sale <sup>25</sup>
	28.000	12.88	07/15/20	15.09	...	-2.21	15 of 18 - Sale <sup>25</sup>
	500.000	229.93	07/16/20	236.55	...	-6.62	16 of 18 - Sale <sup>25</sup>
	100.000	45.98	07/16/20	48.96	...	-2.98	17 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOLASE, INC. COMMON STOCK / CUSIP: 090911207 / Symbol: (cont'd)							
	200.000	91.97	07/16/20	94.68	...	-2.71	18 of 18 - Sale <sup>25</sup>
07/17/20	2,400.000	1,104.89	Various	1,200.04	...	-95.15	Total of 18 transactions
	5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	28.000	12.96	07/15/20	13.83	0.87 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	46.29	07/15/20	50.79	4.50 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	46.29	07/15/20	50.79	4.50 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	46.29	07/15/20	50.79	4.50 W	0.00	4 of 5 - Sale <sup>25</sup>
	72.000	33.32	07/15/20	36.57	3.25 W	0.00	5 of 5 - Sale <sup>25</sup>
07/17/20	400.000	185.15	Various	202.77	17.62 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>1,290.04</b>		<b>1,402.81</b>	<b>17.62 W</b>	<b>-95.15</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/27/20	2.000	322.26	03/26/20	358.32	...	-36.06	Sale <sup>25</sup>
11/11/20	5.000	939.08	11/10/20	968.64	...	-29.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,261.34</b>		<b>1,326.96</b>	...	<b>-65.62</b>	
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
	2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	100.000	77.90	07/28/20	82.00	...	-4.10	1 of 2 - Sale <sup>25</sup>
	99.000	77.13	07/28/20	77.82	...	-0.69	2 of 2 - Sale <sup>25</sup>
07/30/20	199.000	155.03	Various	159.82	...	-4.79	Total of 2 transactions
07/30/20	100.000	77.91	07/27/20	95.88	0.18 W	-17.79	Sale <sup>25</sup>
08/03/20	1.000	0.97	07/28/20	0.97	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>233.91</b>		<b>256.67</b>	<b>0.18 W</b>	<b>-22.58</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
	8 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	50.000	783.14	08/19/20	735.50	...	47.64	1 of 8 - Sale <sup>25</sup>
	10.000	156.63	08/20/20	142.45	...	14.18	2 of 8 - Sale <sup>25</sup>
	1.000	15.66	09/21/20	14.58	...	1.08	3 of 8 - Sale <sup>25</sup>
	2.000	31.33	09/21/20	28.35	...	2.98	4 of 8 - Sale <sup>25</sup>
	4.000	62.65	09/21/20	57.16	...	5.49	5 of 8 - Sale <sup>25</sup>
	3.000	46.99	09/22/20	42.80	...	4.19	6 of 8 - Sale <sup>25</sup>
	10.000	156.62	09/23/20	141.30	...	15.32	7 of 8 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	9.000	140.96	09/24/20	121.46	...	19.50	8 of 8 - Sale <sup>25</sup>
10/08/20	89.000	1,393.98	Various	1,283.60	...	110.38	Total of 8 transactions
10/14/20	1.000	14.06	09/24/20	13.50	...	0.56	Sale <sup>25</sup>
	8 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	100.000	1,406.46	10/12/20	1,542.82	136.36 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	140.64	10/12/20	152.98	12.34 W	0.00	2 of 8 - Sale <sup>25</sup>
	9.000	126.58	10/13/20	126.61	0.02 W	-0.01	3 of 8 - Sale <sup>25</sup>
	10.000	140.65	10/13/20	145.56	4.91 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	140.64	10/13/20	142.70	2.06 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	140.65	10/13/20	141.30	0.65 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	140.64	10/13/20	141.15	0.51 W	0.00	7 of 8 - Sale <sup>25</sup>
	10.000	140.65	10/13/20	140.68	0.03 W	0.00	8 of 8 - Sale <sup>25</sup>
10/14/20	169.000	2,376.91	Various	2,533.80	156.88 W	-0.01	Total of 8 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	17.98	10/13/20	15.43	...	2.55	1 of 2 - Sale <sup>25</sup>
	14.000	251.74	11/09/20	278.09	...	-26.35	2 of 2 - Sale <sup>25</sup>
11/18/20	15.000	269.72	Various	293.52	...	-23.80	Total of 2 transactions
11/23/20	50.000	894.10	11/09/20	993.18	...	-99.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,948.77</b>		<b>5,117.60</b>	<b>156.88 W</b>	<b>-11.95</b>	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
11/16/20	100.000	1,433.08	11/09/20	1,269.20	...	163.88	Sale <sup>25</sup>
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	4.000	62.21	11/12/20	48.64	...	13.57	1 of 2 - Sale <sup>25</sup>
	7.000	108.86	11/12/20	83.83	...	25.03	2 of 2 - Sale <sup>25</sup>
11/18/20	11.000	171.07	Various	132.47	...	38.60	Total of 2 transactions
12/01/20	1.000	16.22	11/12/20	12.16	...	4.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,620.37</b>		<b>1,413.83</b>	...	<b>206.54</b>	
CONCERT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 206022105 / Symbol:							
01/08/20	1.000	10.05	07/29/19	10.25	...	-0.20	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DARIOHEALTH CORP. COMMON STOCK / CUSIP: 23725P209 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	20.000	311.00	08/28/20	318.30	...	-7.30	1 of 2 - Sale <sup>25</sup>
	5.000	77.75	08/28/20	78.60	...	-0.85	2 of 2 - Sale <sup>25</sup>
08/31/20	25.000	388.75	Various	396.90	...	-8.15	Total of 2 transactions
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
01/15/20	1.000	41.91	12/11/19	38.37	...	3.54	Sale <sup>25</sup>
DIAMONDBACK ENERGY, INC. COMMON ON STOCK / CUSIP: 25278X109 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	10.000	446.11	08/05/20	414.38	...	31.73	1 of 2 - Sale <sup>25</sup>
	1.000	44.61	08/06/20	40.53	...	4.08	2 of 2 - Sale <sup>25</sup>
08/13/20	11.000	490.72	Various	454.91	...	35.81	Total of 2 transactions
08/24/20	1.000	40.50	08/06/20	40.52	0.02 W	0.00	Sale <sup>25</sup>
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	9.000	322.01	09/01/20	345.78	...	-23.77	1 of 5 - Sale <sup>25</sup>
	1.000	35.78	09/01/20	38.44	...	-2.66	2 of 5 - Sale <sup>25</sup>
	5.000	178.90	09/02/20	188.75	...	-9.85	3 of 5 - Sale <sup>25</sup>
	5.000	178.90	09/02/20	186.35	...	-7.45	4 of 5 - Sale <sup>25</sup>
	5.000	178.89	09/02/20	183.45	...	-4.56	5 of 5 - Sale <sup>25</sup>
09/04/20	25.000	894.48	Various	942.77	...	-48.29	Total of 5 transactions
	<b>Security total:</b>	<b>1,425.70</b>		<b>1,438.20</b>	<b>0.02 W</b>	<b>-12.48</b>	
DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
10/08/20	50.000	1,086.47	10/06/20	1,095.00	8.53 W	0.00	Sale <sup>25</sup>
6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	10.000	219.49	10/07/20	214.70	...	4.79	1 of 6 - Sale <sup>25</sup>
	10.000	219.49	10/07/20	214.41	...	5.08	2 of 6 - Sale <sup>25</sup>
	5.000	109.75	10/07/20	103.40	...	6.35	3 of 6 - Sale <sup>25</sup>
	10.000	219.49	10/07/20	212.46	...	7.03	4 of 6 - Sale <sup>25</sup>
	10.000	219.50	10/07/20	212.00	...	7.50	5 of 6 - Sale <sup>25</sup>
	10.000	219.49	10/07/20	209.36	...	10.13	6 of 6 - Sale <sup>25</sup>
10/09/20	55.000	1,207.21	Various	1,166.33	...	40.88	Total of 6 transactions
10/12/20	4.000	102.04	10/07/20	82.72	...	19.32	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol: (cont'd)							
10/14/20	1.000	24.83	10/07/20	20.68	...	4.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,420.55</b>		<b>2,364.73</b>	<b>8.53 W</b>	<b>64.35</b>	
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
09/01/20	49.000	1,300.92	08/31/20	1,167.18	...	133.74	Sale <sup>25</sup>
09/08/20	1.000	23.94	08/31/20	23.82	...	0.12	Sale <sup>25</sup>
	3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	19.000	454.86	09/02/20	471.17	16.31 W	0.00	1 of 3 - Sale <sup>25</sup>
	30.000	724.78	09/02/20	743.95	19.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	482.43	09/02/20	495.96	13.53 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	69.000	1,662.07	Various	1,711.08	49.01 W	0.00	Total of 3 transactions
	10 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	31.000	801.64	09/02/20	768.75	...	32.89	1 of 10 - Sale <sup>25</sup>
	1.000	25.84	09/03/20	24.26	...	1.58	2 of 10 - Sale <sup>25</sup>
	3.000	77.52	09/03/20	73.44	...	4.08	3 of 10 - Sale <sup>25</sup>
	6.000	155.16	09/03/20	146.87	...	8.29	4 of 10 - Sale <sup>25</sup>
	10.000	258.59	09/03/20	249.88	...	8.71	5 of 10 - Sale <sup>25</sup>
	10.000	258.40	09/03/20	238.59	...	19.81	6 of 10 - Sale <sup>25</sup>
	1.000	25.84	09/04/20	22.40	...	3.44	7 of 10 - Sale <sup>25</sup>
	9.000	232.56	09/04/20	207.86	...	24.70	8 of 10 - Sale <sup>25</sup>
	10.000	258.40	09/04/20	232.46	...	25.94	9 of 10 - Sale <sup>25</sup>
	19.000	490.96	09/04/20	424.92	...	66.04	10 of 10 - Sale <sup>25</sup>
09/10/20	100.000	2,584.91	Various	2,389.43	...	195.48	Total of 10 transactions
	4 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	24.71	09/04/20	22.42	...	2.29	1 of 4 - Sale <sup>25</sup>
	3.000	74.12	09/11/20	70.50	...	3.62	2 of 4 - Sale <sup>25</sup>
	5.000	123.55	09/11/20	117.60	...	5.95	3 of 4 - Sale <sup>25</sup>
	30.000	741.28	09/11/20	714.60	...	26.68	4 of 4 - Sale <sup>25</sup>
09/14/20	39.000	963.66	Various	925.12	...	38.54	Total of 4 transactions
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	32.08	09/11/20	23.50	...	8.58	1 of 2 - Sale <sup>25</sup>
	19.000	609.60	09/17/20	570.64	...	38.96	2 of 2 - Sale <sup>25</sup>
09/18/20	20.000	641.68	Various	594.14	...	47.54	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	33.17	09/17/20	30.03	...	3.14	1 of 2 - Sale <sup>25</sup>
	19.000	630.28	09/24/20	577.44	...	52.84	2 of 2 - Sale <sup>25</sup>
09/29/20	20.000	663.45	Various	607.47	...	55.98	Total of 2 transactions
10/20/20	1.000	37.23	09/24/20	30.39	...	6.84	Sale <sup>25</sup>
17 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	20.000	744.58	10/14/20	803.60	59.02 W	0.00	1 of 17 - Sale <sup>25</sup>
	5.000	186.14	10/15/20	195.97	9.83 W	0.00	2 of 17 - Sale <sup>25</sup>
	5.000	186.15	10/15/20	192.33	6.18 W	0.00	3 of 17 - Sale <sup>25</sup>
	5.000	186.14	10/15/20	191.03	4.89 W	0.00	4 of 17 - Sale <sup>25</sup>
	4.000	148.92	10/15/20	153.08	4.16 W	0.00	5 of 17 - Sale <sup>25</sup>
	10.000	372.29	10/15/20	382.20	9.91 W	0.00	6 of 17 - Sale <sup>25</sup>
	20.000	733.02	10/19/20	820.95	87.93 W	0.00	7 of 17 - Sale <sup>25</sup>
	5.000	183.26	10/19/20	200.31	17.05 W	0.00	8 of 17 - Sale <sup>25</sup>
	5.000	183.26	10/19/20	196.66	13.40 W	0.00	9 of 17 - Sale <sup>25</sup>
	4.000	146.60	10/19/20	156.43	9.83 W	0.00	10 of 17 - Sale <sup>25</sup>
	5.000	183.26	10/19/20	195.24	11.98 W	0.00	11 of 17 - Sale <sup>25</sup>
	10.000	366.51	10/19/20	390.60	24.09 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	36.65	10/19/20	38.07	1.42 W	0.00	13 of 17 - Sale <sup>25</sup>
	10.000	366.51	10/19/20	378.40	11.89 W	0.00	14 of 17 - Sale <sup>25</sup>
	10.000	366.51	10/19/20	373.88	7.37 W	0.00	15 of 17 - Sale <sup>25</sup>
	10.000	366.51	10/19/20	373.08	6.57 W	0.00	16 of 17 - Sale <sup>25</sup>
	19.000	696.38	10/19/20	697.98	1.60 W	0.00	17 of 17 - Sale <sup>25</sup>
10/20/20	148.000	5,452.69	Various	5,739.81	287.12 W	0.00	Total of 17 transactions
13 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	10.000	351.59	10/21/20	425.93	...	-74.34	1 of 13 - Sale <sup>25</sup>
	9.000	316.43	10/21/20	382.67	...	-66.24	2 of 13 - Sale <sup>25</sup>
	5.000	175.80	10/21/20	207.66	...	-31.86	3 of 13 - Sale <sup>25</sup>
	9.000	316.43	10/21/20	346.22	...	-29.79	4 of 13 - Sale <sup>25</sup>
	5.000	175.79	10/21/20	204.01	...	-28.22	5 of 13 - Sale <sup>25</sup>
	9.000	316.43	10/21/20	340.98	...	-24.55	6 of 13 - Sale <sup>25</sup>
	9.000	316.43	10/21/20	334.36	...	-17.93	7 of 13 - Sale <sup>25</sup>
	18.000	632.86	10/21/20	649.52	...	-16.66	8 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol: (cont'd)							
	1.000	35.16	10/21/20	41.98	...	-6.82	9 of 13 - Sale <sup>25</sup>
	1.000	35.16	10/21/20	38.02	...	-2.86	10 of 13 - Sale <sup>25</sup>
	1.000	35.16	10/21/20	37.81	...	-2.65	11 of 13 - Sale <sup>25</sup>
	1.000	35.16	10/21/20	36.57	...	-1.41	12 of 13 - Sale <sup>25</sup>
	1.000	35.16	10/21/20	36.00	...	-0.84	13 of 13 - Sale <sup>25</sup>
10/21/20	79.000	2,777.56	Various	3,081.73	...	-304.17	Total of 13 transactions
	9 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	35.16	10/19/20	41.14	5.98 W	0.00	1 of 9 - Sale <sup>25</sup>
	19.000	668.02	10/21/20	799.94	131.92 W	0.00	2 of 9 - Sale <sup>25</sup>
	4.000	140.64	10/21/20	160.65	20.01 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	175.80	10/21/20	205.58	29.78 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	175.80	10/21/20	201.93	26.13 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	175.80	10/21/20	200.51	24.71 W	0.00	6 of 9 - Sale <sup>25</sup>
	10.000	351.59	10/21/20	401.16	49.57 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	35.16	10/21/20	39.12	3.96 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	35.16	10/21/20	38.90	3.74 W	0.00	9 of 9 - Sale <sup>25</sup>
10/21/20	51.000	1,793.13	Various	2,088.93	295.80 W	0.00	Total of 9 transactions
	6 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	32.61	10/21/20	40.52	...	-7.91	1 of 6 - Sale <sup>25</sup>
	8.000	260.87	11/03/20	291.77	...	-30.90	2 of 6 - Sale <sup>25</sup>
	4.000	130.43	11/03/20	148.06	...	-17.63	3 of 6 - Sale <sup>25</sup>
	4.000	130.44	11/03/20	147.81	...	-17.37	4 of 6 - Sale <sup>25</sup>
	2.000	65.22	11/03/20	73.93	...	-8.71	5 of 6 - Sale <sup>25</sup>
	1.000	32.61	11/03/20	35.47	...	-2.86	6 of 6 - Sale <sup>25</sup>
11/03/20	20.000	652.18	Various	737.56	...	-85.38	Total of 6 transactions
11/09/20	1.000	37.31	11/03/20	35.26	...	2.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18,590.73</b>		<b>19,131.92</b>	<b>631.93 W</b>	<b>90.74</b>	
DIREXION DAILY SEMICONDUCTOR B ULL 3X SHARES / CUSIP: 25459W458 / Symbol:							
03/20/20	1.000	65.79	03/18/20	58.16	...	7.63	Sale <sup>25</sup>
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
07/28/20	50.000	414.50	07/28/20	456.90	...	-42.40	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
11/11/20	499.000	445.93	11/09/20	464.02	7.25 W	-10.84	Sale <sup>25</sup>
	2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1.53	11/09/20	0.93	...	0.60	1 of 2 - Sale <sup>25</sup>
	199.000	305.45	11/30/20	300.74	...	4.71	2 of 2 - Sale <sup>25</sup>
12/02/20	200.000	306.98	Various	301.67	...	5.31	Total of 2 transactions
12/04/20	1.000	1.18	11/30/20	1.51	...	-0.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>754.09</b>		<b>767.20</b>	<b>7.25 W</b>	<b>-5.86</b>	
FANGDD NETWORK GROUP LTD. AMER ICAN DEPOSITARY SHARES / CUSIP: 30712L109 / Symbol:							
06/10/20	2.000	40.30	06/09/20	240.00	...	-199.70	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
	5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	9.000	720.79	08/07/20	703.38	...	17.41	1 of 5 - Sale <sup>25</sup>
	1.000	80.32	08/18/20	78.83	...	1.49	2 of 5 - Sale <sup>25</sup>
	1.000	80.32	08/18/20	77.13	...	3.19	3 of 5 - Sale <sup>25</sup>
	2.000	160.63	08/18/20	153.45	...	7.18	4 of 5 - Sale <sup>25</sup>
	1.000	80.32	08/19/20	76.53	...	3.79	5 of 5 - Sale <sup>25</sup>
08/19/20	14.000	1,122.38	Various	1,089.32	...	33.06	Total of 5 transactions
	7 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	10.000	800.88	08/06/20	898.92	98.04 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	80.09	08/07/20	81.78	1.69 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	160.64	08/10/20	174.58	13.94 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	160.64	08/11/20	172.69	12.05 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	160.63	08/11/20	168.39	7.76 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	160.64	08/14/20	172.87	12.23 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	160.63	08/14/20	171.32	10.69 W	0.00	7 of 7 - Sale <sup>25</sup>
08/19/20	21.000	1,684.15	Various	1,840.55	156.40 W	0.00	Total of 7 transactions
08/21/20	1.000	86.63	08/19/20	83.50	...	3.13	Sale <sup>25</sup>
	4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	86.63	08/20/20	91.29	4.66 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	173.26	08/20/20	180.69	7.43 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	86.63	08/20/20	88.20	1.57 W	0.00	3 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	1.000	85.37	08/20/20	88.20		0.00	4 of 4 - Sale <sup>25</sup>
08/21/20	5.000	431.89	Various	448.38	2.83 W 16.49 W	0.00	Total of 4 transactions
7 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	2.000	155.23	08/31/20	193.45	...	-38.22	1 of 7 - Sale <sup>25</sup>
	2.000	155.23	08/31/20	191.91	...	-36.68	2 of 7 - Sale <sup>25</sup>
	2.000	155.23	08/31/20	188.65	...	-33.42	3 of 7 - Sale <sup>25</sup>
	1.000	77.61	08/31/20	95.27	...	-17.66	4 of 7 - Sale <sup>25</sup>
	1.000	77.61	08/31/20	93.44	...	-15.83	5 of 7 - Sale <sup>25</sup>
	1.000	77.61	08/31/20	92.18	...	-14.57	6 of 7 - Sale <sup>25</sup>
	1.000	77.61	08/31/20	90.61	...	-13.00	7 of 7 - Sale <sup>25</sup>
09/04/20	10.000	776.13	Various	945.51	...	-169.38	Total of 7 transactions
<b>Security total:</b>		<b>4,101.18</b>		<b>4,407.26</b>	<b>172.89 W</b>	<b>-133.19</b>	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
12/29/20	10.000	108.30	12/22/20	106.35	...	1.95	Sale <sup>25</sup>
GRUPO SUPERVIELLE S.A. / CUSIP: 40054A108 / Symbol:							
04/02/20	1.000	1.62	11/12/19	2.57	...	-0.95	Sale <sup>25</sup>
HERON THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 427746102 / Symbol:							
5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	30.000	410.08	09/01/20	420.15	...	-10.07	1 of 5 - Sale <sup>25</sup>
	10.000	136.70	09/01/20	138.75	...	-2.05	2 of 5 - Sale <sup>25</sup>
	10.000	136.69	09/01/20	136.70	...	-0.01	3 of 5 - Sale <sup>25</sup>
	10.000	136.69	09/01/20	136.20	...	0.49	4 of 5 - Sale <sup>25</sup>
	10.000	136.70	09/01/20	135.90	...	0.80	5 of 5 - Sale <sup>25</sup>
09/02/20	70.000	956.86	Various	967.70	...	-10.84	Total of 5 transactions
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
12/11/20	7.000	27.30	12/10/20	38.05	...	-10.75	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
08/05/20	10.000	202.10	08/04/20	203.66	...	-1.56	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1.04	09/10/19	3.23	...	-2.19	1 of 2 - Sale <sup>25</sup>
	10.000	10.42	09/26/19	14.64	...	-4.22	2 of 2 - Sale <sup>25</sup>
01/08/20	11.000	11.46	Various	17.87	...	-6.41	Total of 2 transactions
INSEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	50.000	630.98	08/06/20	606.44	...	24.54	1 of 4 - Sale <sup>25</sup>
	10.000	126.20	08/07/20	116.87	...	9.33	2 of 4 - Sale <sup>25</sup>
	10.000	126.19	08/07/20	115.39	...	10.80	3 of 4 - Sale <sup>25</sup>
	9.000	113.58	08/10/20	105.30	...	8.28	4 of 4 - Sale <sup>25</sup>
08/10/20	79.000	996.95	Various	944.00	...	52.95	Total of 4 transactions
16 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	20.000	231.59	08/19/20	236.00	...	-4.41	1 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/20/20	117.50	...	-1.70	2 of 16 - Sale <sup>25</sup>
	18.000	208.43	08/20/20	209.16	...	-0.73	3 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/20/20	115.87	...	-0.07	4 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/21/20	113.89	...	1.91	5 of 16 - Sale <sup>25</sup>
	20.000	231.59	08/21/20	229.60	...	1.99	6 of 16 - Sale <sup>25</sup>
	11.000	127.38	08/21/20	125.07	...	2.31	7 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/21/20	112.50	...	3.30	8 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/24/20	110.30	...	5.50	9 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/24/20	108.80	...	7.00	10 of 16 - Sale <sup>25</sup>
	10.000	115.80	08/24/20	108.79	...	7.01	11 of 16 - Sale <sup>25</sup>
	20.000	231.59	08/24/20	223.77	...	7.82	12 of 16 - Sale <sup>25</sup>
	20.000	231.59	08/24/20	217.60	...	13.99	13 of 16 - Sale <sup>25</sup>
	20.000	231.59	08/25/20	218.97	...	12.62	14 of 16 - Sale <sup>25</sup>
	50.000	578.98	08/26/20	543.00	...	35.98	15 of 16 - Sale <sup>25</sup>
	49.000	567.41	08/27/20	519.40	...	48.01	16 of 16 - Sale <sup>25</sup>
08/31/20	298.000	3,450.75	Various	3,310.22	...	140.53	Total of 16 transactions
6 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	11.58	08/10/20	11.70	0.12 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	578.98	08/12/20	590.50	11.52 W	0.00	2 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INSEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol: (cont'd)							
	10.000	115.80	08/18/20	117.60	1.80 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	115.80	08/18/20	118.20	2.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	115.80	08/18/20	117.20	1.40 W	0.00	5 of 6 - Sale <sup>25</sup>
	20.000	231.59	08/18/20	233.20	1.61 W	0.00	6 of 6 - Sale <sup>25</sup>
08/31/20	101.000	1,169.55	Various	1,188.40	18.85 W	0.00	Total of 6 transactions
	7 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	10.35	08/27/20	10.72	...	-0.37	1 of 7 - Sale <sup>25</sup>
	50.000	517.68	09/03/20	559.82	...	-42.14	2 of 7 - Sale <sup>25</sup>
	19.000	196.72	09/03/20	209.88	...	-13.16	3 of 7 - Sale <sup>25</sup>
	10.000	103.54	09/03/20	112.06	...	-8.52	4 of 7 - Sale <sup>25</sup>
	10.000	103.54	09/03/20	111.46	...	-7.92	5 of 7 - Sale <sup>25</sup>
	10.000	103.53	09/03/20	111.06	...	-7.53	6 of 7 - Sale <sup>25</sup>
	1.000	10.26	09/03/20	11.05	...	-0.79	7 of 7 - Sale <sup>25</sup>
09/04/20	101.000	1,045.62	Various	1,126.05	...	-80.43	Total of 7 transactions
	<b>Security total:</b>	<b>6,662.87</b>		<b>6,568.67</b>	<b>18.85 W</b>	<b>113.05</b>	
INNOVATE BIOPHARMACEUTICALS, I NC. COMMON STOCK / CUSIP: 45782F105 / Symbol:							
04/02/20	1.000	0.48	08/15/19	0.77	...	-0.29	Sale <sup>25</sup>
INTRA-CELLULAR THERAPIES INC. COMMON STOCK / CUSIP: 46116X101 / Symbol:							
08/05/20	10.000	205.25	08/04/20	204.03	...	1.22	Sale <sup>25</sup>
JAMF HOLDING CORP. COMMON STOC K / CUSIP: 47074L105 / Symbol:							
07/23/20	10.000	423.50	07/22/20	398.50	...	25.00	Sale <sup>25</sup>
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	3.16	06/09/20	4.22	...	-1.06	1 of 2 - Sale <sup>25</sup>
	100.000	316.01	06/19/20	382.99	...	-66.98	2 of 2 - Sale <sup>25</sup>
06/24/20	101.000	319.17	Various	387.21	...	-68.04	Total of 2 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
	4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	10.000	76.60	08/11/20	69.90	...	6.70	1 of 4 - Sale <sup>25</sup>
	20.000	153.20	08/11/20	144.15	...	9.05	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
	20.000	153.20	08/12/20	137.20	...	16.00	3 of 4 - Sale <sup>25</sup>
	9.000	68.94	08/13/20	62.08	...	6.86	4 of 4 - Sale <sup>25</sup>
08/14/20	59.000	451.94	Various	413.33	...	38.61	Total of 4 transactions
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	6.48	08/13/20	6.90	0.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	641.50	08/17/20	684.90	43.40 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	100.000	647.98	Various	691.80	43.82 W	0.00	Total of 2 transactions
	8 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	6.42	08/17/20	7.34	...	-0.92	1 of 8 - Sale <sup>25</sup>
	20.000	128.40	08/18/20	142.97	...	-14.57	2 of 8 - Sale <sup>25</sup>
	10.000	64.20	08/18/20	71.03	...	-6.83	3 of 8 - Sale <sup>25</sup>
	10.000	64.20	08/18/20	69.86	...	-5.66	4 of 8 - Sale <sup>25</sup>
	9.000	57.78	08/18/20	63.42	...	-5.64	5 of 8 - Sale <sup>25</sup>
	10.000	64.19	08/19/20	70.29	...	-6.10	6 of 8 - Sale <sup>25</sup>
	10.000	64.20	08/19/20	69.46	...	-5.26	7 of 8 - Sale <sup>25</sup>
	29.000	186.17	08/20/20	197.44	...	-11.27	8 of 8 - Sale <sup>25</sup>
08/25/20	99.000	635.56	Various	691.81	...	-56.25	Total of 8 transactions
08/31/20	1.000	6.79	08/20/20	6.81	...	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,742.27</b>		<b>1,803.75</b>	<b>43.82 W</b>	<b>-17.66</b>	
MIDATECH PHARMA PLC AMERICAN D EPOSITARY SHS / CUSIP: 59564R302 / Symbol:							
07/21/20	100.000	439.49	07/21/20	528.00	...	-88.51	Sale <sup>25</sup>
MITEK SYSTEMS, INC. COMMON STO CK / CUSIP: 606710200 / Symbol:							
01/10/20	1.000	8.38	12/02/19	10.28	1.90 W	0.00	Sale <sup>25</sup>
	2 transactions for 02/03/20. Total proceeds and cost reported to the IRS.						
	99.000	936.51	01/31/20	982.57	...	-46.06	1 of 2 - Sale <sup>25</sup>
	1.000	9.46	01/31/20	11.83	...	-2.37	2 of 2 - Sale <sup>25</sup>
02/03/20	100.000	945.97	Various	994.40	...	-48.43	Total of 2 transactions
	<b>Security total:</b>	<b>954.35</b>		<b>1,004.68</b>	<b>1.90 W</b>	<b>-48.43</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
08/14/20	10.000	684.43	08/12/20	698.10	...	-13.67	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
07/08/20	10.000	138.00	07/07/20	131.60	...	6.40	Sale <sup>25</sup>
07/13/20	2.000	31.63	07/10/20	29.85	...	1.78	Sale <sup>25</sup>
9 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	10.000	189.05	07/22/20	122.68	...	66.37	1 of 9 - Sale <sup>25</sup>
	10.000	189.05	07/22/20	119.60	...	69.45	2 of 9 - Sale <sup>25</sup>
	100.000	1,890.46	07/22/20	1,267.81	...	622.65	3 of 9 - Sale <sup>25</sup>
	10.000	189.05	07/23/20	120.87	...	68.18	4 of 9 - Sale <sup>25</sup>
	10.000	189.04	07/24/20	118.97	...	70.07	5 of 9 - Sale <sup>25</sup>
	10.000	189.05	07/24/20	113.88	...	75.17	6 of 9 - Sale <sup>25</sup>
	10.000	189.05	07/27/20	117.69	...	71.36	7 of 9 - Sale <sup>25</sup>
	10.000	189.04	07/27/20	116.58	...	72.46	8 of 9 - Sale <sup>25</sup>
	10.000	189.05	07/27/20	115.18	...	73.87	9 of 9 - Sale <sup>25</sup>
08/27/20	180.000	3,402.84	Various	2,213.26	...	1,189.58	Total of 9 transactions
8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	10.000	157.20	07/27/20	114.38	...	42.82	1 of 8 - Sale <sup>25</sup>
	10.000	157.20	07/31/20	121.58	...	35.62	2 of 8 - Sale <sup>25</sup>
	10.000	157.20	07/31/20	120.67	...	36.53	3 of 8 - Sale <sup>25</sup>
	10.000	157.19	07/31/20	119.47	...	37.72	4 of 8 - Sale <sup>25</sup>
	20.000	314.39	07/31/20	235.34	...	79.05	5 of 8 - Sale <sup>25</sup>
	10.000	157.20	08/11/20	134.50	...	22.70	6 of 8 - Sale <sup>25</sup>
	10.000	157.19	08/11/20	133.30	...	23.89	7 of 8 - Sale <sup>25</sup>
	20.000	314.39	08/11/20	270.15	...	44.24	8 of 8 - Sale <sup>25</sup>
09/04/20	100.000	1,571.96	Various	1,249.39	...	322.57	Total of 8 transactions
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	9.000	141.48	08/28/20	166.95	25.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.000	172.91	08/28/20	204.05	31.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	62.88	08/28/20	73.04	10.16 W	0.00	3 of 3 - Sale <sup>25</sup>
09/04/20	24.000	377.27	Various	444.04	66.77 W	0.00	Total of 3 transactions
9 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	22.06	08/28/20	21.09	...	0.97	1 of 9 - Sale <sup>25</sup>
	1.000	21.07	09/04/20	17.55	...	3.52	2 of 9 - Sale <sup>25</sup>
	4.000	88.24	09/04/20	80.35	...	7.89	3 of 9 - Sale <sup>25</sup>
	8.000	176.48	09/04/20	163.01	...	13.47	4 of 9 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	11.000	242.65	09/04/20	224.15	...	18.50	5 of 9 - Sale <sup>25</sup>
	26.000	573.54	09/04/20	456.21	...	117.33	6 of 9 - Sale <sup>25</sup>
	50.000	1,093.51	09/04/20	877.32	...	216.19	7 of 9 - Sale <sup>25</sup>
	2.000	42.14	09/21/20	35.66	...	6.48	8 of 9 - Sale <sup>25</sup>
	7.000	147.49	09/24/20	121.43	...	26.06	9 of 9 - Sale <sup>25</sup>
09/30/20	110.000	2,407.18	Various	1,996.77	...	410.41	Total of 9 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	3.000	64.87	09/24/20	52.04	...	12.83	1 of 2 - Sale <sup>25</sup>
	97.000	2,097.57	10/06/20	2,036.03	...	61.54	2 of 2 - Sale <sup>25</sup>
10/07/20	100.000	2,162.44	Various	2,088.07	...	74.37	Total of 2 transactions
	3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	3.000	83.70	10/06/20	62.97	...	20.73	1 of 3 - Sale <sup>25</sup>
	47.000	1,311.30	10/14/20	1,137.29	...	174.01	2 of 3 - Sale <sup>25</sup>
	50.000	1,395.01	10/14/20	1,190.25	...	204.76	3 of 3 - Sale <sup>25</sup>
10/19/20	100.000	2,790.01	Various	2,390.51	...	399.50	Total of 3 transactions
12/08/20	2.000	94.34	10/14/20	48.39	...	45.95	Sale <sup>25</sup>
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	46.07	10/14/20	24.20	...	21.87	1 of 2 - Sale <sup>25</sup>
	9.000	414.64	11/09/20	401.36	...	13.28	2 of 2 - Sale <sup>25</sup>
12/29/20	10.000	460.71	Various	425.56	...	35.15	Total of 2 transactions
	<b>Security total:</b>	<b>13,436.38</b>		<b>11,017.44</b>	<b>66.77 W</b>	<b>2,485.71</b>	
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
	3 transactions for 02/07/20. Total proceeds and cost reported to the IRS.						
	1.000	1.58	01/30/20	1.51	...	0.07	1 of 3 - Sale <sup>25</sup>
	99.000	156.91	01/30/20	145.28	...	11.63	2 of 3 - Sale <sup>25</sup>
	99.000	156.91	01/30/20	145.28	...	11.63	3 of 3 - Sale <sup>25</sup>
02/07/20	199.000	315.40	Various	292.07	...	23.33	Total of 3 transactions
02/07/20	1.000	1.58	11/25/19	1.62	0.04 W	0.00	Sale <sup>25</sup>
04/02/20	1.000	1.15	01/30/20	1.47	...	-0.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>318.13</b>		<b>295.16</b>	<b>0.04 W</b>	<b>23.01</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OUTFRONT MEDIA INC. / CUSIP: 69007J106 / Symbol:							
08/17/20	20.000	310.63	08/14/20	313.20	...	-2.57	Sale <sup>25</sup>
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
07/08/20	10.000	420.20	07/06/20	389.20	...	31.00	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
<i>13 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	82.12	09/30/20	81.72	...	0.40	1 of 13 - Sale <sup>25</sup>
	2.000	20.53	09/30/20	20.11	...	0.42	2 of 13 - Sale <sup>25</sup>
	10.000	102.65	10/01/20	101.55	...	1.10	3 of 13 - Sale <sup>25</sup>
	10.000	102.65	10/02/20	98.46	...	4.19	4 of 13 - Sale <sup>25</sup>
	10.000	102.64	10/02/20	96.96	...	5.68	5 of 13 - Sale <sup>25</sup>
	5.000	51.16	10/05/20	45.64	...	5.52	6 of 13 - Sale <sup>25</sup>
	5.000	51.16	10/05/20	44.88	...	6.28	7 of 13 - Sale <sup>25</sup>
	10.000	102.65	10/05/20	96.31	...	6.34	8 of 13 - Sale <sup>25</sup>
	10.000	102.32	10/05/20	91.91	...	10.41	9 of 13 - Sale <sup>25</sup>
	10.000	102.64	10/05/20	90.20	...	12.44	10 of 13 - Sale <sup>25</sup>
	20.000	205.29	10/05/20	179.30	...	25.99	11 of 13 - Sale <sup>25</sup>
	60.000	613.89	10/05/20	537.90	...	75.99	12 of 13 - Sale <sup>25</sup>
	19.000	194.40	10/06/20	169.86	...	24.54	13 of 13 - Sale <sup>25</sup>
10/07/20	179.000	1,834.10	Various	1,654.80	...	179.30	Total of 13 transactions
<i>11 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	511.73	09/30/20	559.50	47.77 W	0.00	1 of 11 - Sale <sup>25</sup>
	18.000	184.22	09/30/20	196.20	11.98 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.000	20.47	09/30/20	21.48	1.01 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	103.55	09/30/20	112.65	9.10 W	0.00	4 of 11 - Sale <sup>25</sup>
	10.000	103.55	09/30/20	112.75	9.20 W	0.00	5 of 11 - Sale <sup>25</sup>
	10.000	103.54	09/30/20	111.85	8.31 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	103.54	09/30/20	110.35	6.81 W	0.00	7 of 11 - Sale <sup>25</sup>
	10.000	103.55	09/30/20	109.66	6.11 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.000	102.65	09/30/20	104.91	2.26 W	0.00	9 of 11 - Sale <sup>25</sup>
	5.000	51.32	10/01/20	52.13	0.81 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	51.32	10/01/20	51.38	0.06 W	0.00	11 of 11 - Sale <sup>25</sup>
10/07/20	140.000	1,439.44	Various	1,542.86	103.42 W	0.00	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
11/09/20	1.000	14.80	10/06/20	8.94	...	5.86	Sale <sup>25</sup>
	5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	20.000	560.39	11/30/20	539.18	...	21.21	1 of 5 - Sale <sup>25</sup>
	50.000	1,400.96	11/30/20	1,350.25	...	50.71	2 of 5 - Sale <sup>25</sup>
	10.000	280.19	12/02/20	231.40	...	48.79	3 of 5 - Sale <sup>25</sup>
	5.000	140.10	12/03/20	121.90	...	18.20	4 of 5 - Sale <sup>25</sup>
	10.000	280.19	12/03/20	249.80	...	30.39	5 of 5 - Sale <sup>25</sup>
12/08/20	95.000	2,661.83	Various	2,492.53	...	169.30	Total of 5 transactions
12/09/20	4.000	110.56	12/03/20	95.91	...	14.65	Sale <sup>25</sup>
12/11/20	1.000	26.96	12/03/20	23.98	...	2.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,087.69</b>		<b>5,819.02</b>	<b>103.42 W</b>	<b>372.09</b>	
PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol:							
	3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	100.000	689.44	02/24/20	869.00	...	-179.56	1 of 3 - Sale <sup>25</sup>
	50.000	344.72	02/25/20	415.63	...	-70.91	2 of 3 - Sale <sup>25</sup>
	100.000	689.45	03/09/20	773.27	...	-83.82	3 of 3 - Sale <sup>25</sup>
03/11/20	250.000	1,723.61	Various	2,057.90	...	-334.29	Total of 3 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	10.000	114.10	08/11/20	112.77	...	1.33	1 of 2 - Sale <sup>25</sup>
	39.000	444.97	08/12/20	406.19	...	38.78	2 of 2 - Sale <sup>25</sup>
08/13/20	49.000	559.07	Various	518.96	...	40.11	Total of 2 transactions
	4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	20.000	228.19	08/10/20	236.55	8.36 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	114.10	08/11/20	117.40	3.30 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	114.10	08/11/20	115.30	1.20 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	114.09	08/11/20	114.47	0.38 W	0.00	4 of 4 - Sale <sup>25</sup>
08/13/20	50.000	570.48	Various	583.72	13.24 W	0.00	Total of 4 transactions
	4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	12.21	08/12/20	10.83	...	1.38	1 of 4 - Sale <sup>25</sup>
	1.000	12.21	08/14/20	11.99	...	0.22	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	19.000	232.04	08/14/20	229.48	...	2.56	3 of 4 - Sale <sup>25</sup>
	9.000	109.91	08/14/20	105.27	...	4.64	4 of 4 - Sale <sup>25</sup>
08/17/20	30.000	366.37	Various	357.57	...	8.80	Total of 4 transactions
	11 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	1.000	13.10	08/14/20	11.49	...	1.61	1 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/20/20	130.70	...	0.32	2 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/21/20	129.50	...	1.52	3 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/21/20	128.70	...	2.32	4 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/21/20	127.78	...	3.24	5 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/24/20	126.55	...	4.47	6 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/24/20	124.80	...	6.22	7 of 11 - Sale <sup>25</sup>
	20.000	262.03	08/24/20	254.55	...	7.48	8 of 11 - Sale <sup>25</sup>
	10.000	131.01	08/24/20	119.48	...	11.53	9 of 11 - Sale <sup>25</sup>
	10.000	131.02	08/24/20	119.18	...	11.84	10 of 11 - Sale <sup>25</sup>
	8.000	104.81	08/25/20	99.76	...	5.05	11 of 11 - Sale <sup>25</sup>
08/27/20	109.000	1,428.09	Various	1,372.49	...	55.60	Total of 11 transactions
	5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	9.000	117.92	08/19/20	125.10	7.18 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	131.02	08/19/20	138.18	7.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	13.10	08/19/20	13.78	0.68 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	131.02	08/20/20	132.30	1.28 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	131.02	08/21/20	132.20	1.18 W	0.00	5 of 5 - Sale <sup>25</sup>
08/27/20	40.000	524.08	Various	541.56	17.48 W	0.00	Total of 5 transactions
	6 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	1.000	13.48	08/25/20	13.27	...	0.21	1 of 6 - Sale <sup>25</sup>
	1.000	13.48	08/27/20	13.43	...	0.05	2 of 6 - Sale <sup>25</sup>
	10.000	134.83	08/27/20	134.64	...	0.19	3 of 6 - Sale <sup>25</sup>
	10.000	134.83	08/27/20	128.76	...	6.07	4 of 6 - Sale <sup>25</sup>
	10.000	134.83	08/27/20	128.66	...	6.17	5 of 6 - Sale <sup>25</sup>
	60.000	809.00	08/27/20	764.85	...	44.15	6 of 6 - Sale <sup>25</sup>
09/01/20	92.000	1,240.45	Various	1,183.61	...	56.84	Total of 6 transactions
09/01/20	8.000	107.87	08/27/20	108.36	0.49 W	0.00	Sale <sup>25</sup>
09/16/20	1.000	12.91	08/27/20	12.75	...	0.16	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	8.000	103.28	09/02/20	104.81	1.53 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	25.82	09/02/20	26.08	0.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	256.80	09/02/20	260.80	4.00 W	0.00	3 of 3 - Sale <sup>25</sup>
09/16/20	30.000	385.90	Various	391.69	5.79 W	0.00	Total of 3 transactions
7 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	20.000	248.40	09/02/20	260.80	12.40 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	630.08	09/02/20	652.00	21.92 W	0.00	2 of 7 - Sale <sup>25</sup>
	8.000	100.81	09/02/20	104.95	4.14 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	25.20	09/02/20	26.11	0.91 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	126.02	09/02/20	131.27	5.25 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	126.02	09/03/20	129.50	3.48 W	0.00	6 of 7 - Sale <sup>25</sup>
	20.000	252.03	09/03/20	259.60	7.57 W	0.00	7 of 7 - Sale <sup>25</sup>
09/17/20	120.000	1,508.56	Various	1,564.23	55.67 W	0.00	Total of 7 transactions
14 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	2.000	25.86	09/03/20	24.33	...	1.53	1 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/03/20	126.96	...	2.35	2 of 14 - Sale <sup>25</sup>
	8.000	103.45	09/03/20	97.80	...	5.65	3 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/03/20	122.32	...	6.99	4 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/03/20	119.25	...	10.06	5 of 14 - Sale <sup>25</sup>
	40.000	517.24	09/03/20	493.94	...	23.30	6 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/04/20	120.48	...	8.83	7 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/04/20	119.89	...	9.42	8 of 14 - Sale <sup>25</sup>
	50.000	646.54	09/04/20	578.00	...	68.54	9 of 14 - Sale <sup>25</sup>
	5.000	64.65	09/11/20	59.55	...	5.10	10 of 14 - Sale <sup>25</sup>
	5.000	64.65	09/11/20	59.10	...	5.55	11 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/11/20	119.78	...	9.53	12 of 14 - Sale <sup>25</sup>
	10.000	129.31	09/11/20	116.28	...	13.03	13 of 14 - Sale <sup>25</sup>
	19.000	245.69	09/11/20	219.40	...	26.29	14 of 14 - Sale <sup>25</sup>
09/22/20	199.000	2,573.25	Various	2,377.08	...	196.17	Total of 14 transactions
5 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	13.15	09/11/20	11.55	...	1.60	1 of 5 - Sale <sup>25</sup>
	50.000	657.73	09/23/20	609.44	...	48.29	2 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	9.000	118.39	09/24/20	102.77	...	15.62	3 of 5 - Sale <sup>25</sup>
	20.000	263.09	09/24/20	228.40	...	34.69	4 of 5 - Sale <sup>25</sup>
	20.000	263.10	09/24/20	226.55	...	36.55	5 of 5 - Sale <sup>25</sup>
09/29/20	100.000	1,315.46	Various	1,178.71	...	136.75	Total of 5 transactions
09/30/20	9.000	120.53	09/24/20	99.45	...	21.08	Sale <sup>25</sup>
10/20/20	1.000	16.72	09/24/20	11.05	...	5.67	Sale <sup>25</sup>
10/20/20	49.000	819.33	10/12/20	873.67	54.34 W	0.00	Sale <sup>25</sup>
	9 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	30.000	447.41	10/16/20	497.06	...	-49.65	1 of 9 - Sale <sup>25</sup>
	20.000	298.27	10/16/20	324.90	...	-26.63	2 of 9 - Sale <sup>25</sup>
	12.000	178.96	10/16/20	197.62	...	-18.66	3 of 9 - Sale <sup>25</sup>
	10.000	149.14	10/16/20	165.75	...	-16.61	4 of 9 - Sale <sup>25</sup>
	10.000	149.14	10/16/20	164.78	...	-15.64	5 of 9 - Sale <sup>25</sup>
	10.000	149.14	10/16/20	164.58	...	-15.44	6 of 9 - Sale <sup>25</sup>
	50.000	745.68	10/21/20	798.50	...	-52.82	7 of 9 - Sale <sup>25</sup>
	50.000	745.68	10/21/20	794.25	...	-48.57	8 of 9 - Sale <sup>25</sup>
	99.000	1,476.44	10/21/20	1,498.37	...	-21.93	9 of 9 - Sale <sup>25</sup>
10/22/20	291.000	4,339.86	Various	4,605.81	...	-265.95	Total of 9 transactions
	11 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	14.91	10/12/20	17.83	2.92 W	0.00	1 of 11 - Sale <sup>25</sup>
	4.000	59.65	10/12/20	74.96	15.31 W	0.00	2 of 11 - Sale <sup>25</sup>
	10.000	149.14	10/13/20	183.88	34.74 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	149.14	10/13/20	180.29	31.15 W	0.00	4 of 11 - Sale <sup>25</sup>
	10.000	149.14	10/13/20	180.24	31.10 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	74.57	10/13/20	89.79	15.22 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	149.14	10/13/20	182.47	33.33 W	0.00	7 of 11 - Sale <sup>25</sup>
	10.000	149.14	10/14/20	171.55	22.41 W	0.00	8 of 11 - Sale <sup>25</sup>
	18.000	268.45	10/15/20	299.12	27.26 W	-3.41	9 of 11 - Sale <sup>25</sup>
	15.000	223.70	10/15/20	254.36	30.66 W	0.00	10 of 11 - Sale <sup>25</sup>
	15.000	223.70	10/15/20	250.60	26.90 W	0.00	11 of 11 - Sale <sup>25</sup>
10/22/20	108.000	1,610.68	Various	1,885.09	271.00 W	-3.41	Total of 11 transactions
11/10/20	1.000	19.85	10/21/20	18.05	...	1.80	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	4.000	79.40	11/09/20	99.30	19.90 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	99.25	11/09/20	120.56	21.31 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	198.50	11/09/20	244.71	46.21 W	0.00	3 of 3 - Sale <sup>25</sup>
11/10/20	19.000	377.15	Various	464.57	87.42 W	0.00	Total of 3 transactions
11/12/20	1.000	23.71	11/09/20	24.11	0.40 W	0.00	Sale <sup>25</sup>
8 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	4.000	95.28	11/18/20	107.09	11.81 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	119.10	11/18/20	133.51	14.41 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	238.19	11/18/20	267.68	29.49 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	238.19	11/18/20	269.91	31.72 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	238.19	11/18/20	259.00	20.81 W	0.00	5 of 8 - Sale <sup>25</sup>
	15.000	357.29	11/18/20	385.54	28.25 W	0.00	6 of 8 - Sale <sup>25</sup>
	15.000	357.29	11/18/20	381.78	24.49 W	0.00	7 of 8 - Sale <sup>25</sup>
	15.000	357.29	11/18/20	380.43	23.14 W	0.00	8 of 8 - Sale <sup>25</sup>
12/03/20	84.000	2,000.82	Various	2,184.94	184.12 W	0.00	Total of 8 transactions
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	24.66	11/18/20	25.36	0.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	98.65	12/03/20	107.76	9.11 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	123.30	12/03/20	134.69	11.39 W	0.00	3 of 3 - Sale <sup>25</sup>
12/04/20	10.000	246.61	Various	267.81	21.20 W	0.00	Total of 3 transactions
12/09/20	2.000	55.80	12/03/20	53.87	...	1.93	Sale <sup>25</sup>
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	5.000	133.62	12/03/20	134.35	0.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	80.18	12/03/20	80.81	0.63 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	26.72	12/03/20	27.16	0.44 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	134.23	12/03/20	135.80	1.57 W	0.00	4 of 4 - Sale <sup>25</sup>
12/09/20	14.000	374.75	Various	378.12	3.37 W	0.00	Total of 4 transactions
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	4.000	109.42	12/03/20	108.64	...	0.78	1 of 3 - Sale <sup>25</sup>
	10.000	273.54	12/03/20	260.69	...	12.85	2 of 3 - Sale <sup>25</sup>
	11.000	300.90	12/03/20	284.58	...	16.32	3 of 3 - Sale <sup>25</sup>
12/11/20	25.000	683.86	Various	653.91	...	29.95	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	26.72	12/03/20	24.39	...	2.33	1 of 4 - Sale <sup>25</sup>
	4.000	106.88	12/03/20	103.49	...	3.39	2 of 4 - Sale <sup>25</sup>
	11.000	293.91	12/03/20	280.84	...	13.07	3 of 4 - Sale <sup>25</sup>
	15.000	400.79	12/03/20	384.31	...	16.48	4 of 4 - Sale <sup>25</sup>
12/14/20	31.000	828.30	Various	793.03	...	35.27	Total of 4 transactions
3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	4.000	106.88	12/03/20	115.85	8.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	106.87	12/03/20	113.00	6.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	267.20	12/03/20	286.09	18.89 W	0.00	3 of 3 - Sale <sup>25</sup>
12/14/20	18.000	480.95	Various	514.94	33.99 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>22,591.41</b>		<b>23,019.15</b>	<b>748.51 W</b>	<b>320.77</b>	
PURPLE INNOVATION, INC. COMMON STOCK / CUSIP: 74640Y106 / Symbol:							
08/14/20	10.000	226.40	08/13/20	256.18	...	-29.78	Sale <sup>25</sup>
08/14/20	10.000	229.15	08/13/20	243.70	14.55 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>455.55</b>		<b>499.88</b>	<b>14.55 W</b>	<b>-29.78</b>	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
09/16/20	1.000	252.99	09/16/20	243.23	...	9.76	Sale <sup>25</sup>
09/16/20	3.000	758.98	09/16/20	870.09	111.11 W	0.00	Sale <sup>25</sup>
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	445.50	09/17/20	548.01	...	-102.51	1 of 2 - Sale <sup>25</sup>
	1.000	222.75	09/17/20	276.88	...	-54.13	2 of 2 - Sale <sup>25</sup>
09/18/20	3.000	668.25	Various	824.89	...	-156.64	Total of 2 transactions
09/18/20	1.000	221.62	09/17/20	274.00	52.38 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,901.84</b>		<b>2,212.21</b>	<b>163.49 W</b>	<b>-146.88</b>	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
01/10/20	1.000	4.70	11/15/19	1.53	...	3.17	Sale <sup>25</sup>
03/05/20	100.000	230.07	02/24/20	239.88	...	-9.81	Sale <sup>25</sup>
07/20/20	149.000	1,183.76	07/17/20	1,032.57	...	151.19	Sale <sup>25</sup>
07/22/20	1.000	8.15	07/17/20	6.93	...	1.22	Sale <sup>25</sup>
08/03/20	10.000	92.25	07/31/20	88.85	...	3.40	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	2.000	29.32	08/04/20	25.42	...	3.90	1 of 2 - Sale <sup>25</sup>
	9.000	131.94	08/05/20	124.92	...	7.02	2 of 2 - Sale <sup>25</sup>
08/07/20	11.000	161.26	Various	150.34	...	10.92	Total of 2 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	17.87	08/05/20	13.88	...	3.99	1 of 2 - Sale <sup>25</sup>
	20.000	357.45	08/10/20	328.40	...	29.05	2 of 2 - Sale <sup>25</sup>
08/10/20	21.000	375.32	Various	342.28	...	33.04	Total of 2 transactions
	<b>Security total:</b>	<b>2,055.51</b>		<b>1,862.38</b>	...	<b>193.13</b>	
TELENAV, INC. COMMON STOCK / CUSIP: 879455103 / Symbol:							
03/05/20	1.000	6.06	09/27/19	5.30	...	0.76	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/05/20	1.000	1,489.14	07/31/20	1,472.00	...	17.14	Sale <sup>25</sup>
08/05/20	1.000	1,489.13	07/23/20	1,601.71	112.58 W	0.00	Sale <sup>25</sup>
6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	392.32	08/31/20	610.58	218.26 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	392.31	09/01/20	491.93	99.62 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	384.62	09/01/20	696.50	311.88 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	384.62	09/01/20	575.42	190.80 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	384.62	09/02/20	471.66	87.04 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	384.62	09/02/20	461.32	76.70 W	0.00	6 of 6 - Sale <sup>25</sup>
09/23/20	6.000	2,323.11	Various	3,307.41	984.30 W	0.00	Total of 6 transactions
11 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	422.83	09/02/20	411.40	...	11.43	1 of 11 - Sale <sup>25</sup>
	1.000	422.83	09/03/20	408.41	...	14.42	2 of 11 - Sale <sup>25</sup>
	1.000	422.83	09/04/20	403.59	...	19.24	3 of 11 - Sale <sup>25</sup>
	1.000	422.83	09/08/20	354.82	...	68.01	4 of 11 - Sale <sup>25</sup>
	1.000	422.82	09/08/20	352.61	...	70.21	5 of 11 - Sale <sup>25</sup>
	1.000	422.83	09/08/20	339.67	...	83.16	6 of 11 - Sale <sup>25</sup>
	1.000	422.82	09/08/20	332.50	...	90.32	7 of 11 - Sale <sup>25</sup>
	2.000	845.65	09/08/20	704.34	...	141.31	8 of 11 - Sale <sup>25</sup>
	1.000	422.83	09/10/20	386.38	...	36.45	9 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	415.96	10/02/20	512.11	...	-96.15	10 of 11 - Sale <sup>25</sup>
	2.000	831.92	10/02/20	853.81	...	-21.89	11 of 11 - Sale <sup>25</sup>
10/02/20	13.000	5,476.15	Various	5,059.64	...	416.51	Total of 11 transactions
	7 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	422.83	09/02/20	755.48	332.65 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	422.83	09/02/20	634.39	211.56 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	422.83	09/02/20	528.26	105.43 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	422.83	09/02/20	508.04	85.21 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	415.96	09/17/20	750.83	334.87 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	415.96	10/02/20	638.46	222.50 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	415.96	10/02/20	532.34	116.38 W	0.00	7 of 7 - Sale <sup>25</sup>
10/02/20	7.000	2,939.20	Various	4,347.80	1,408.60 W	0.00	Total of 7 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	419.86	10/02/20	753.89	...	-334.03	1 of 2 - Sale <sup>25</sup>
	1.000	419.86	10/06/20	636.57	...	-216.71	2 of 2 - Sale <sup>25</sup>
10/07/20	2.000	839.72	Various	1,390.46	...	-550.74	Total of 2 transactions
10/09/20	1.000	432.91	10/06/20	530.44	...	-97.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,989.36</b>		<b>17,709.46</b>	<b>2,505.48 W</b>	<b>-214.62</b>	
TG THERAPEUTICS, INC. COMMON S TOCK / CUSIP: 88322Q108 / Symbol:							
08/05/20	10.000	206.55	08/04/20	198.65	...	7.90	Sale <sup>25</sup>
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
07/20/20	149.000	174.50	07/17/20	114.54	...	59.96	Sale <sup>25</sup>
07/22/20	1.000	1.19	07/17/20	0.77	...	0.42	Sale <sup>25</sup>
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	200.000	172.23	08/31/20	178.88	...	-6.65	1 of 2 - Sale <sup>25</sup>
	100.000	86.12	09/01/20	83.13	...	2.99	2 of 2 - Sale <sup>25</sup>
09/03/20	300.000	258.35	Various	262.01	...	-3.66	Total of 2 transactions
	<b>Security total:</b>	<b>434.04</b>		<b>377.32</b>	<b>...</b>	<b>56.72</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
<i>10 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	91.02	09/23/20	94.18	...	-3.16	1 of 10 - Sale <sup>25</sup>
	2.000	91.02	09/23/20	93.58	...	-2.56	2 of 10 - Sale <sup>25</sup>
	1.000	45.51	09/23/20	46.60	...	-1.09	3 of 10 - Sale <sup>25</sup>
	3.000	136.53	09/23/20	135.77	...	0.76	4 of 10 - Sale <sup>25</sup>
	2.000	91.02	09/23/20	89.92	...	1.10	5 of 10 - Sale <sup>25</sup>
	5.000	227.54	09/23/20	224.10	...	3.44	6 of 10 - Sale <sup>25</sup>
	1.000	44.85	09/24/20	38.56	...	6.29	7 of 10 - Sale <sup>25</sup>
	5.000	227.54	09/24/20	199.00	...	28.54	8 of 10 - Sale <sup>25</sup>
	4.000	182.04	09/24/20	147.44	...	34.60	9 of 10 - Sale <sup>25</sup>
	9.000	403.60	09/28/20	443.66	...	-40.06	10 of 10 - Sale <sup>25</sup>
09/28/20	34.000	1,540.67	Various	1,512.81	...	27.86	Total of 10 transactions
09/28/20	15.000	682.63	09/23/20	708.15	18.71 W	-6.81	Sale <sup>25</sup>
09/30/20	1.000	54.11	09/28/20	49.30	...	4.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,277.41</b>		<b>2,270.26</b>	<b>18.71 W</b>	<b>25.86</b>	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
07/20/20	100.000	175.00	07/17/20	173.00	...	2.00	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
<i>2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	318.54	09/21/20	332.45	...	-13.91	1 of 2 - Sale <sup>25</sup>
	5.000	159.28	09/23/20	165.62	...	-6.34	2 of 2 - Sale <sup>25</sup>
09/24/20	15.000	477.82	Various	498.07	...	-20.25	Total of 2 transactions
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
07/09/20	50.000	199.07	07/08/20	195.00	...	4.07	Sale <sup>25</sup>
07/23/20	10.000	54.80	07/22/20	57.20	...	-2.40	Sale <sup>25</sup>
	<b>Security total:</b>	<b>253.87</b>		<b>252.20</b>	...	<b>1.67</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
09/30/20	10.000	201.02	09/29/20	199.57	...	1.45	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRTUSA CORPORATION COMMON STO CK / CUSIP: 92827P102 / Symbol:							
01/14/20	10.000	421.43	01/06/20	404.65	...	16.78	Sale <sup>25</sup>
04/02/20	10.000	263.71	03/26/20	298.59	...	-34.88	Sale <sup>25</sup>
08/06/20	20.000	787.38	08/05/20	790.10	...	-2.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,472.52</b>		<b>1,493.34</b>	...	<b>-20.82</b>	
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
07/21/20	10.000	216.15	07/20/20	208.07	...	8.08	Sale <sup>25</sup>
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	20.000	295.30	07/22/20	332.50	37.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	147.65	07/23/20	160.75	13.10 W	0.00	2 of 2 - Sale <sup>25</sup>
07/24/20	30.000	442.95	Various	493.25	50.30 W	0.00	Total of 2 transactions
	7 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	20.000	346.99	08/12/20	342.00	...	4.99	1 of 7 - Sale <sup>25</sup>
	10.000	173.49	08/12/20	165.50	...	7.99	2 of 7 - Sale <sup>25</sup>
	5.000	86.75	08/13/20	75.44	...	11.31	3 of 7 - Sale <sup>25</sup>
	5.000	86.75	08/13/20	74.80	...	11.95	4 of 7 - Sale <sup>25</sup>
	10.000	173.49	08/14/20	150.39	...	23.10	5 of 7 - Sale <sup>25</sup>
	2.000	34.70	08/17/20	29.28	...	5.42	6 of 7 - Sale <sup>25</sup>
	28.000	485.79	08/17/20	416.92	...	68.87	7 of 7 - Sale <sup>25</sup>
08/26/20	80.000	1,387.96	Various	1,254.33	...	133.63	Total of 7 transactions
09/01/20	7.000	137.06	08/17/20	102.46	...	34.60	Sale <sup>25</sup>
09/04/20	1.000	17.59	08/17/20	14.64	...	2.95	Sale <sup>25</sup>
	7 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	5.000	126.06	09/23/20	123.90	...	2.16	1 of 7 - Sale <sup>25</sup>
	5.000	126.06	09/23/20	121.09	...	4.97	2 of 7 - Sale <sup>25</sup>
	5.000	126.06	09/23/20	115.94	...	10.12	3 of 7 - Sale <sup>25</sup>
	5.000	126.06	09/23/20	113.49	...	12.57	4 of 7 - Sale <sup>25</sup>
	5.000	126.05	09/23/20	110.44	...	15.61	5 of 7 - Sale <sup>25</sup>
	5.000	126.06	09/24/20	108.46	...	17.60	6 of 7 - Sale <sup>25</sup>
	4.000	100.84	09/24/20	82.83	...	18.01	7 of 7 - Sale <sup>25</sup>
09/30/20	34.000	857.19	Various	776.15	...	81.04	Total of 7 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	5.000	126.06	09/17/20	139.00	12.94 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	126.06	09/22/20	139.55	13.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	126.06	09/22/20	133.90	7.84 W	0.00	3 of 3 - Sale <sup>25</sup>
09/30/20	15.000	378.18	Various	412.45	34.27 W	0.00	Total of 3 transactions
8 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	24.47	09/24/20	23.30	...	1.17	1 of 8 - Sale <sup>25</sup>
	6.000	146.82	10/02/20	143.62	...	3.20	2 of 8 - Sale <sup>25</sup>
	5.000	122.35	10/02/20	118.95	...	3.40	3 of 8 - Sale <sup>25</sup>
	10.000	244.69	10/02/20	238.70	...	5.99	4 of 8 - Sale <sup>25</sup>
	30.000	734.07	10/02/20	720.83	...	13.24	5 of 8 - Sale <sup>25</sup>
	3.000	73.41	10/05/20	70.25	...	3.16	6 of 8 - Sale <sup>25</sup>
	10.000	244.69	10/05/20	236.15	...	8.54	7 of 8 - Sale <sup>25</sup>
	10.000	244.69	10/05/20	234.80	...	9.89	8 of 8 - Sale <sup>25</sup>
10/06/20	75.000	1,835.19	Various	1,786.60	...	48.59	Total of 8 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	4.000	97.88	10/02/20	106.09	8.21 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	122.35	10/02/20	133.17	10.82 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	122.35	10/02/20	127.52	5.17 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	14.000	342.58	Various	366.78	24.20 W	0.00	Total of 3 transactions
9 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	29.84	10/05/20	25.47	...	4.37	1 of 9 - Sale <sup>25</sup>
	1.000	28.33	10/07/20	23.97	...	4.36	2 of 9 - Sale <sup>25</sup>
	3.000	89.53	10/07/20	78.07	...	11.46	3 of 9 - Sale <sup>25</sup>
	5.000	149.21	10/07/20	130.66	...	18.55	4 of 9 - Sale <sup>25</sup>
	5.000	141.66	10/07/20	118.83	...	22.83	5 of 9 - Sale <sup>25</sup>
	5.000	149.21	10/07/20	125.02	...	24.19	6 of 9 - Sale <sup>25</sup>
	36.000	1,074.32	10/07/20	862.88	...	211.44	7 of 9 - Sale <sup>25</sup>
	9.000	254.99	10/08/20	207.59	...	47.40	8 of 9 - Sale <sup>25</sup>
	10.000	283.32	10/08/20	234.05	...	49.27	9 of 9 - Sale <sup>25</sup>
10/12/20	75.000	2,200.41	Various	1,806.54	...	393.87	Total of 9 transactions
11/09/20	1.000	18.82	10/08/20	23.06	...	-4.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,617.93</b>		<b>7,036.26</b>	<b>108.77 W</b>	<b>690.44</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 142896257

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol:							
<i>2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.11	11/19/19	17.31	...	-4.20	1 of 2 - Sale <sup>25</sup>
	9.000	118.02	01/07/20	126.36	...	-8.34	2 of 2 - Sale <sup>25</sup>
02/07/20	10.000	131.13	Various	143.67	...	-12.54	Total of 2 transactions
03/05/20	1.000	10.68	01/07/20	14.04	...	-3.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>141.81</b>		<b>157.71</b>	...	<b>-15.90</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
08/13/20	4.000	232.96	08/12/20	223.64	...	9.32	Sale <sup>25</sup>
08/17/20	1.000	60.16	08/12/20	55.91	...	4.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>293.12</b>		<b>279.55</b>	...	<b>13.57</b>	
<b>Totals :</b>		<b>181,210.24</b>		<b>180,486.58</b>	<b>5,632.69 W</b>	<b>6,356.35</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 142896257

**Detail for Dividends and Distributions**

2020

03/22/2021 AMENDED

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		11/12/20	0.21	Qualified dividend	
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK	238337109		02/10/20	0.16	Qualified dividend	
DIAMONDBACK ENERGY, INC. COMMON STOCK	25278X109		08/20/20	4.50	Qualified dividend	
				<b>4.87</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 142896257

**Fees and Expenses**

2020

03/22/2021 AMENDED

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/18/20	-3.18	Margin interest paid	
		08/18/20	-5.00	Margin interest paid	
		09/17/20	-5.00	Margin interest paid	
		09/17/20	-15.80	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		10/19/20	-16.16	Margin interest paid	
		11/16/20	-0.24	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		12/16/20	-5.00	Margin interest paid	
		12/16/20	-8.52	Margin interest paid	
			-68.90	Total Margin interest paid	
			<b>-68.90</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 142896257

**Non-Reportable Actions**

2020

03/22/2021 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
PFE 01/21/2022 CALL \$42.00	PFE 01/21/22 C 42.000	11/17/20	-3.00	0.00	Merger	
PFE1 01/21/2022 CALL \$42.00	PFE1 01/21/22 C 42.000	11/17/20	3.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).