

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 490653748</b>	Statement Date: 01/14/2021 Document ID: VV44 X46 S14Y	2020
	HARISHASAI KODALI 21 FOUNTAINHEAD DR APT 104 WESTMONT, IL 60559-2536		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-1857		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      -7,009.67</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      -7,009.67</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,166,433.68	2,212,039.04	0.00	55,783.97	10,178.61
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>2,166,433.68</b>	<b>2,212,039.04</b>	<b>0.00</b>	<b>55,783.97</b>	<b>10,178.61</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>2,166,433.68</b>	<b>2,212,039.04</b>	<b>0.00</b>	<b>55,783.97</b>	<b>10,178.61</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 490653748

**Summary Information**

2020

(continued)

01/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	1.22
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

01/14/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC AAL Oct 16 2020	14.0	Call / CUSIP: / Symbol: AAL 10/16/20 C 14.000					
08/27/20	3.000	486.99	08/24/20	467.00	...	19.99	Option sale
APPLE INC AAPL May 01 2020 220.0	220.0	Call / CUSIP: / Symbol: AAPL 05/01/20 C 220.000					
03/24/20	1.000	2,809.27	03/23/20	2,020.67	...	788.60	Option sale
APPLE INC AAPL May 01 2020 242.5	242.5	Call / CUSIP: / Symbol: AAPL 05/01/20 C 242.500					
03/20/20	1.000	2,959.26	03/18/20	2,800.67	...	158.59	Option sale
APPLE INC AAPL May 15 2020 260.0	260.0	Call / CUSIP: / Symbol: AAPL 05/15/20 C 260.000					
04/02/20	1.000	1,004.31	04/01/20	1,000.67	...	3.64	Option sale
APPLE INC AAPL Jul 17 2020 275.0	275.0	Call / CUSIP: / Symbol: AAPL 07/17/20 C 275.000					
03/11/20	1.000	2,959.26	03/09/20	2,695.67	...	263.59	Option sale
APPLE INC AAPL Aug 14 2020 460.0	460.0	Call / CUSIP: / Symbol: AAPL 08/14/20 C 460.000					
08/06/20	3.000	1,482.97	08/06/20	1,367.00	...	115.97	Option sale
APPLE INC AAPL Aug 14 2020 465.0	465.0	Call / CUSIP: / Symbol: AAPL 08/14/20 C 465.000					
08/13/20	10.000	1,863.28	Various	2,658.36	...	-795.08	Total of 3 transactions
08/13/20	5.000	696.64	08/13/20	1,218.33	521.69 W	0.00	Option sale
<b>Security total:</b>		<b>2,559.92</b>		<b>3,876.69</b>	<b>521.69 W</b>	<b>-795.08</b>	

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Aug 21 2020 470.0 Call / CUSIP: / Symbol: AAPL 08/21/20 C 470.000							
08/10/20	3.000	1,854.95	08/07/20	1,545.01	...	309.94	Option sale
APPLE INC AAPL Aug 21 2020 455.0 Call / CUSIP: / Symbol: AAPL 08/21/20 C 455.000							
08/04/20	1.000	979.31	08/03/20	880.67	...	98.64	Option sale
08/05/20	1.000	599.32	08/03/20	748.67	...	-149.35	Option sale
<b>Security total:</b>		<b>1,578.63</b>		<b>1,629.34</b>	...	<b>-50.71</b>	
APPLE INC AAPL Aug 28 2020 500.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 500.000							
08/21/20	3.000	3,092.93	Various	2,032.01	...	1,060.92	Total of 2 transactions
APPLE INC AAPL Aug 28 2020 510.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 510.000							
08/21/20	1.000	709.31	08/21/20	555.67	...	153.64	Option sale
APPLE INC AAPL Aug 28 2020 515.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 515.000							
08/24/20	1.000	759.31	08/24/20	940.67	...	-181.36	Option sale
APPLE INC AAPL Aug 28 2020 465.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 465.000							
08/19/20	1.000	1,093.31	08/14/20	995.67	...	97.64	Option sale
APPLE INC AAPL Sep 04 2020 520.0 Call / CUSIP: / Symbol: AAPL 09/04/20 C 520.000							
08/25/20	2.000	1,810.63	08/24/20	2,859.34	...	-1,048.71	Option sale
APPLE INC AAPL Sep 18 2020 120.0 Call / CUSIP: / Symbol: AAPL 09/18/20 C 120.000							
09/10/20	2.000	514.66	09/08/20	821.33	...	-306.67	Option sale
APPLE INC AAPL Sep 18 2020 142.5 Call / CUSIP: / Symbol: AAPL 09/18/20 C 142.500							
09/04/20	8.000	125.29	09/03/20	0.00	...	125.29	Short sale closed- option
APPLE INC AAPL Sep 25 2020 110.0 Call / CUSIP: / Symbol: AAPL 09/25/20 C 110.000							
09/21/20	3.000	567.98	09/21/20	494.00	...	73.98	Option sale
APPLE INC AAPL Sep 25 2020 112.5 Call / CUSIP: / Symbol: AAPL 09/25/20 C 112.500							
09/22/20	5.000	706.64	09/22/20	948.33	...	-241.69	Option sale
APPLE INC AAPL Oct 02 2020 115.0 Call / CUSIP: / Symbol: AAPL 10/02/20 C 115.000							
10/02/20	5.000	357.66	Various	343.32	...	14.34	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC AAPL Oct 09 2020 115.0 Call / CUSIP: / Symbol: AAPL 10/09/20 C 115.000							
09/29/20	3.000	1,047.98	09/28/20	901.99	...	145.99	Option sale
APPLE INC AAPL Jun 19 2020 250.0 Put / CUSIP: / Symbol: AAPL 06/19/20 P 250.000							
03/18/20	1.000	3,629.25	03/17/20	3,270.67	...	358.58	Option sale
APPLE INC AAPL Aug 14 2020 425.0 Put / CUSIP: / Symbol: AAPL 08/14/20 P 425.000							
08/13/20	2.000	383.33	08/12/20	0.00	...	383.33	Short sale closed- option
APPLE INC AAPL Aug 14 2020 430.0 Put / CUSIP: / Symbol: AAPL 08/14/20 P 430.000							
08/06/20	5.000	1,476.63	08/06/20	1,678.33	80.68 W	-121.02	Option sale
08/12/20	2.000	214.67	08/11/20	844.01	...	-629.34	Option sale
<b>Security total:</b>		<b>1,691.30</b>		<b>2,522.34</b>	<b>80.68 W</b>	<b>-750.36</b>	
APPLE INC AAPL Aug 14 2020 435.0 Put / CUSIP: / Symbol: AAPL 08/14/20 P 435.000							
08/11/20	2.000	958.64	Various	731.33	...	227.31	Total of 2 transactions
APPLE INC AAPL Sep 04 2020 112.5 Put / CUSIP: / Symbol: AAPL 09/04/20 P 112.500							
09/04/20	10.000	753.29	09/04/20	586.67	...	166.62	Option sale
APPLE INC AAPL Sep 11 2020 115.0 Put / CUSIP: / Symbol: AAPL 09/11/20 P 115.000							
09/10/20	2.000	198.67	09/10/20	213.33	...	-14.66	Option sale
APPLE INC AAPL Sep 18 2020 105.0 Put / CUSIP: / Symbol: AAPL 09/18/20 P 105.000							
09/04/20	5.000	566.65	09/04/20	1,178.33	...	-611.68	Option sale
APPLE INC AAPL Oct 16 2020 120.0 Put / CUSIP: / Symbol: AAPL 10/16/20 P 120.000							
10/12/20	25.000	2,358.31	Various	2,216.60	...	141.71	Total of 3 transactions
APPLE INC AAPL Oct 30 2020 115.0 Put / CUSIP: / Symbol: AAPL 10/30/20 P 115.000							
10/19/20	2.000	608.66	Various	529.33	...	79.33	Total of 2 transactions
APPLE INC AAPL Oct 30 2020 120.0 Put / CUSIP: / Symbol: AAPL 10/30/20 P 120.000							
10/12/20	10.000	3,293.27	10/12/20	3,146.64	...	146.63	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Nov 13 2020 120.0 Put / CUSIP: / Symbol: AAPL 11/13/20 P 120.000							
11/12/20	10.000	1,015.32	Various	979.96	...	35.36	Total of 2 transactions
11/12/20	10.000	963.32	11/12/20	1,116.64	153.32 W	0.00	Option sale
	<b>Security total:</b>	<b>1,978.64</b>		<b>2,096.60</b>	<b>153.32 W</b>	<b>35.36</b>	
APPLE INC AAPL Feb 19 2021 135.0 Call / CUSIP: / Symbol: AAPL 02/19/21 C 135.000							
12/16/20	5.000	2,788.61	Various	2,673.32	...	115.29	Total of 2 transactions
APPLE INC AAPL Jul 16 2021 135.0 Call / CUSIP: / Symbol: AAPL 07/16/21 C 135.000							
12/28/20	3.000	4,377.90	12/22/20	4,240.99	...	136.91	Option sale
APPLE INC AAPL Jan 21 2022 340.0 Call / CUSIP: / Symbol: AAPL 01/21/22 C 340.000							
03/06/20	1.000	2,949.26	03/03/20	2,885.67	...	63.59	Option sale
ADOBE INC ADBE Aug 07 2020 460.0 Call / CUSIP: / Symbol: ADBE 08/07/20 C 460.000							
08/06/20	10.000	2,863.27	Various	2,636.67	...	226.60	Total of 2 transactions
ADOBE INC ADBE Aug 21 2020 450.0 Call / CUSIP: / Symbol: ADBE 08/21/20 C 450.000							
07/31/20	2.000	1,658.63	07/31/20	1,511.33	...	147.30	Option sale
ADOBE INC ADBE Aug 21 2020 455.0 Call / CUSIP: / Symbol: ADBE 08/21/20 C 455.000							
08/04/20	1.000	679.31	08/04/20	720.67	...	-41.36	Option sale
08/05/20	1.000	869.31	08/04/20	720.66	...	148.65	Option sale
	<b>Security total:</b>	<b>1,548.62</b>		<b>1,441.33</b>	...	<b>107.29</b>	
ADOBE INC ADBE Aug 21 2020 465.0 Call / CUSIP: / Symbol: ADBE 08/21/20 C 465.000							
08/07/20	1.000	459.32	08/07/20	700.67	241.35 W	0.00	Option sale
08/10/20	5.000	1,256.63	08/07/20	3,204.69	...	-1,948.06	Option sale
	<b>Security total:</b>	<b>1,715.95</b>		<b>3,905.36</b>	<b>241.35 W</b>	<b>-1,948.06</b>	
ADOBE INC ADBE Dec 18 2020 470.0 Call / CUSIP: / Symbol: ADBE 12/18/20 C 470.000							
11/24/20	2.000	2,778.61	11/24/20	2,481.33	...	297.28	Option sale
AUTODESK INC ADSK Apr 16 2021 240.0 Call / CUSIP: / Symbol: ADSK 04/16/21 C 240.000							
09/29/20	1.000	2,529.28	09/24/20	2,050.66	...	478.62	Option sale
AMC ENTERTAINMENT HOLDINGS INC AMC Dec 18 2020 4.0 Call / CUSIP: / Symbol: AMC 12/18/20 C 4.000							
12/18/20	5.000	0.00	12/03/20	183.32	...	-183.32	Option expiration

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TD Ameritrade Clearing, Inc.

Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES INC AMD Sep 18 2020 85.0 Call / CUSIP: / Symbol: AMD 09/18/20 C 85.000							
08/21/20	3.000	1,209.97	08/18/20	992.00	...	217.97	Option sale
08/25/20	3.000	1,353.97	08/25/20	1,316.00	...	37.97	Option sale
	<b>Security total:</b>	<b>2,563.94</b>		<b>2,308.00</b>	...	<b>255.94</b>	
ADVANCED MICRO DEVICES INC AMD Sep 25 2020 75.0 Call / CUSIP: / Symbol: AMD 09/25/20 C 75.000							
09/17/20	2.000	598.64	Various	491.33	...	107.31	Total of 2 transactions
ADVANCED MICRO DEVICES INC AMD Nov 20 2020 90.0 Call / CUSIP: / Symbol: AMD 11/20/20 C 90.000							
10/26/20	3.000	1,047.98	Various	1,636.99	589.01 W	0.00	Option sale
11/06/20	1.000	128.34	10/15/20	602.00	...	-473.66	Option sale
11/09/20	2.000	238.66	Various	1,017.99	...	-779.33	Option sale
	<b>Security total:</b>	<b>1,414.98</b>		<b>3,256.98</b>	<b>589.01 W</b>	<b>-1,252.99</b>	
AMAZON COM INC AMZN Aug 28 2020 3350.0 Call / CUSIP: / Symbol: AMZN 08/28/20 C 3350.000							
08/24/20	1.000	6,602.18	08/18/20	6,797.67	...	-195.49	Option sale
AMAZON COM INC AMZN Aug 28 2020 3400.0 Call / CUSIP: / Symbol: AMZN 08/28/20 C 3400.000							
08/25/20	1.000	467.55	08/24/20	0.00	...	467.55	Short sale closed- option
AMAZON COM INC AMZN Sep 04 2020 3500.0 Call / CUSIP: / Symbol: AMZN 09/04/20 C 3500.000							
09/03/20	4.000	1,309.29	09/03/20	4,827.68	...	-3,518.39	Option sale
AMAZON COM INC AMZN Sep 11 2020 3600.0 Call / CUSIP: / Symbol: AMZN 09/11/20 C 3600.000							
08/31/20	2.000	8,738.46	Various	10,592.78	1,854.32 W	0.00	Total of 2 transactions
09/02/20	1.000	5,349.21	08/31/20	4,993.55	...	355.66	Option sale
	<b>Security total:</b>	<b>14,087.67</b>		<b>15,586.33</b>	<b>1,854.32 W</b>	<b>355.66</b>	
AMAZON COM INC AMZN Sep 25 2020 3070.0 Call / CUSIP: / Symbol: AMZN 09/25/20 C 3070.000							
09/22/20	2.000	8,598.47	Various	7,971.34	...	627.13	Total of 2 transactions
AMAZON COM INC AMZN Sep 25 2020 3100.0 Call / CUSIP: / Symbol: AMZN 09/25/20 C 3100.000							
09/24/20	1.000	1,514.31	09/24/20	1,945.66	...	-431.35	Option sale
AMAZON COM INC AMZN Sep 25 2020 3200.0 Call / CUSIP: / Symbol: AMZN 09/25/20 C 3200.000							
09/15/20	2.000	11,798.40	Various	11,601.34	...	197.06	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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AMAZON COM INC AMZN Oct 02 2020 3100.0 Call / CUSIP: / Symbol: AMZN 10/02/20 C 3100.000							
09/22/20	2.000	17,478.27	Various	16,451.34	...	1,026.93	Total of 2 transactions
AMAZON COM INC AMZN Oct 02 2020 3150.0 Call / CUSIP: / Symbol: AMZN 10/02/20 C 3150.000							
09/23/20	2.000	6,438.53	09/23/20	12,317.72	...	-5,879.19	Option sale
09/23/20	1.000	3,499.26	09/23/20	6,400.66	2,901.40 W	0.00	Option sale
	<b>Security total:</b>	<b>9,937.79</b>		<b>18,718.38</b>	<b>2,901.40 W</b>	<b>-5,879.19</b>	
AMAZON COM INC AMZN Oct 02 2020 3200.0 Call / CUSIP: / Symbol: AMZN 10/02/20 C 3200.000							
09/22/20	2.000	11,998.40	Various	11,851.34	...	147.06	Total of 2 transactions
AMAZON COM INC AMZN Oct 02 2020 3250.0 Call / CUSIP: / Symbol: AMZN 10/02/20 C 3250.000							
09/24/20	3.000	451.90	09/23/20	0.00	...	451.90	Short sale closed- option
AMAZON COM INC AMZN Oct 16 2020 3250.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3250.000							
09/28/20	1.000	7,799.17	09/28/20	7,060.66	...	738.51	Option sale
09/29/20	4.000	31,633.66	Various	30,570.64	...	1,063.02	Total of 4 transactions
09/29/20	1.000	7,299.18	09/29/20	7,500.66	201.48 W	0.00	Option sale
09/30/20	2.000	15,948.32	Various	15,602.80	...	345.52	Total of 2 transactions
09/30/20	2.000	13,698.37	Various	17,084.81	3,386.44 W	0.00	Total of 2 transactions
10/01/20	1.000	7,899.17	09/30/20	8,224.61	325.44 W	0.00	Option sale
10/05/20	3.000	18,697.61	Various	23,632.66	4,935.05 W	0.00	Total of 3 transactions
10/09/20	1.000	7,869.17	10/09/20	8,638.32	...	-769.15	Option sale
10/09/20	2.000	9,728.47	Various	11,362.36	1,633.89 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>120,573.12</b>		<b>129,677.52</b>	<b>10,482.30 W</b>	<b>1,377.90</b>	
AMAZON COM INC AMZN Oct 16 2020 3350.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3350.000							
09/30/20	1.000	18.16	09/29/20	0.00	...	18.16	Short sale closed- option
09/30/20	1.000	-230.43	09/29/20	0.00	230.43 W	0.00	Short sale closed- option
10/01/20	2.000	10,688.44	Various	10,501.32	...	187.12	Total of 2 transactions
	<b>Security total:</b>	<b>10,476.17</b>		<b>10,501.32</b>	<b>230.43 W</b>	<b>205.28</b>	
AMAZON COM INC AMZN Oct 16 2020 3370.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3370.000							
10/12/20	1.000	6,119.20	10/12/20	6,000.66	...	118.54	Option sale
AMAZON COM INC AMZN Oct 16 2020 3490.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3490.000							
10/13/20	3.000	17,147.64	Various	16,381.98	...	765.66	Total of 3 transactions

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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AMAZON COM INC AMZN Oct 16 2020 3590.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3590.000							
10/13/20	2.000	5,088.56	10/13/20	5,935.33	...	-846.77	Option sale
AMAZON COM INC AMZN Oct 16 2020 3640.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3640.000							
10/14/20	4.000	1,250.49	10/13/20	0.00	...	1,250.49	Short sale closed- option
AMAZON COM INC AMZN Oct 16 2020 3740.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3740.000							
10/13/20	2.000	1,488.64	10/13/20	1,807.33	...	-318.69	Option sale
AMAZON COM INC AMZN Oct 23 2020 3250.0 Call / CUSIP: / Symbol: AMZN 10/23/20 C 3250.000							
10/08/20	3.000	25,217.46	Various	24,951.98	...	265.48	Total of 3 transactions
AMAZON COM INC AMZN Oct 23 2020 3320.0 Call / CUSIP: / Symbol: AMZN 10/23/20 C 3320.000							
10/05/20	1.000	7,629.17	10/05/20	7,600.66	...	28.51	Option sale
10/07/20	1.000	6,549.20	10/06/20	7,422.10	...	-872.90	Option sale
10/07/20	1.000	5,649.22	10/05/20	7,600.66	1,951.44 W	0.00	Option sale
	<b>Security total:</b>	<b>19,827.59</b>		<b>22,623.42</b>	<b>1,951.44 W</b>	<b>-844.39</b>	
AMAZON COM INC AMZN Oct 23 2020 3500.0 Call / CUSIP: / Symbol: AMZN 10/23/20 C 3500.000							
10/12/20	2.000	11,628.43	Various	10,831.32	...	797.11	Total of 2 transactions
10/12/20	1.000	7,849.17	10/12/20	8,850.66	1,001.49 W	0.00	Option sale
10/13/20	2.000	16,248.33	Various	15,652.81	...	595.52	Total of 2 transactions
	<b>Security total:</b>	<b>35,725.93</b>		<b>35,334.79</b>	<b>1,001.49 W</b>	<b>1,392.63</b>	
AMAZON COM INC AMZN Oct 23 2020 3505.0 Call / CUSIP: / Symbol: AMZN 10/23/20 C 3505.000							
10/14/20	3.000	18,697.59	Various	21,751.98	...	-3,054.39	Total of 2 transactions
AMAZON COM INC AMZN Oct 30 2020 3340.0 Call / CUSIP: / Symbol: AMZN 10/30/20 C 3340.000							
10/19/20	3.000	27,437.42	Various	27,201.98	...	235.44	Total of 3 transactions
10/20/20	1.000	9,699.13	10/19/20	9,150.66	...	548.47	Option sale
	<b>Security total:</b>	<b>37,136.55</b>		<b>36,352.64</b>	...	<b>783.91</b>	
AMAZON COM INC AMZN Oct 16 2020 3280.0 Put / CUSIP: / Symbol: AMZN 10/16/20 P 3280.000							
10/09/20	1.000	6,249.20	10/09/20	6,040.66	...	208.54	Option sale

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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AMAZON COM INC AMZN Oct 16 2020 3400.0 Put / CUSIP: / Symbol: AMZN 10/16/20 P 3400.000							
10/12/20	1.000	4,349.24	10/12/20	4,782.11	...	-432.87	Option sale
10/12/20	1.000	6,069.21	10/12/20	6,100.66	31.45 W	0.00	Option sale
	<b>Security total:</b>	<b>10,418.45</b>		<b>10,882.77</b>	<b>31.45 W</b>	<b>-432.87</b>	
AMAZON COM INC AMZN Oct 16 2020 3460.0 Put / CUSIP: / Symbol: AMZN 10/16/20 P 3460.000							
10/12/20	1.000	6,529.20	10/12/20	6,425.66	...	103.54	Option sale
AMAZON COM INC AMZN Oct 16 2020 3470.0 Put / CUSIP: / Symbol: AMZN 10/16/20 P 3470.000							
10/13/20	1.000	5,709.21	10/13/20	6,082.12	...	-372.91	Option sale
10/13/20	1.000	6,199.20	10/13/20	6,300.66	101.46 W	0.00	Option sale
	<b>Security total:</b>	<b>11,908.41</b>		<b>12,382.78</b>	<b>101.46 W</b>	<b>-372.91</b>	
AMAZON COM INC AMZN Oct 23 2020 3220.0 Put / CUSIP: / Symbol: AMZN 10/23/20 P 3220.000							
10/20/20	1.000	4,099.25	10/20/20	4,800.66	...	-701.41	Option sale
AMAZON COM INC AMZN Oct 23 2020 3330.0 Put / CUSIP: / Symbol: AMZN 10/23/20 P 3330.000							
10/16/20	2.000	14,148.36	Various	14,591.32	...	-442.96	Total of 2 transactions
AMAZON COM INC AMZN Nov 13 2020 3110.0 Put / CUSIP: / Symbol: AMZN 11/13/20 P 3110.000							
11/12/20	2.000	4,948.57	Various	4,852.40	...	96.17	Total of 2 transactions
11/12/20	3.000	5,640.90	Various	6,470.06	829.16 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>10,589.47</b>		<b>11,322.46</b>	<b>829.16 W</b>	<b>96.17</b>	
AMAZON COM INC AMZN Nov 13 2020 3215.0 Put / CUSIP: / Symbol: AMZN 11/13/20 P 3215.000							
11/04/20	2.000	15,448.34	Various	15,001.32	...	447.02	Total of 2 transactions
AMAZON COM INC AMZN Nov 13 2020 3230.0 Put / CUSIP: / Symbol: AMZN 11/13/20 P 3230.000							
11/04/20	1.000	8,299.16	11/04/20	8,020.66	...	278.50	Option sale
AMAZON COM INC AMZN Nov 20 2020 3130.0 Put / CUSIP: / Symbol: AMZN 11/20/20 P 3130.000							
11/13/20	2.000	12,548.40	Various	12,101.32	...	447.08	Total of 2 transactions
AMAZON COM INC AMZN Jan 15 2021 3300.0 Call / CUSIP: / Symbol: AMZN 01/15/21 C 3300.000							
12/30/20	1.000	8,199.16	12/30/20	8,065.66	...	133.50	Option sale
AMAZON COM INC AMZN Jan 15 2021 3350.0 Call / CUSIP: / Symbol: AMZN 01/15/21 C 3350.000							
12/31/20	2.000	590.11	Various	0.00	...	590.11	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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AMAZON COM INC AMZN Feb 19 2021 3300.0 Call / CUSIP: / Symbol: AMZN 02/19/21 C 3300.000							
12/29/20	2.000	39,347.81	Various	39,221.32	...	126.49	Total of 2 transactions
AMAZON COM INC AMZN Mar 19 2021 3250.0 Call / CUSIP: / Symbol: AMZN 03/19/21 C 3250.000							
12/17/20	3.000	68,927.49	Various	64,531.99	...	4,395.50	Option sale
AMAZON COM INC AMZN Mar 19 2021 3300.0 Call / CUSIP: / Symbol: AMZN 03/19/21 C 3300.000							
12/18/20	3.000	-3,937.28	12/17/20	0.00	...	-3,937.28	Short sale closed- option
AMAZON COM INC AMZN Jun 18 2021 3500.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 3500.000							
12/14/20	1.000	20,498.89	12/09/20	19,700.66	...	798.23	Option sale
12/15/20	1.000	19,908.90	12/15/20	19,260.66	...	648.24	Option sale
	<b>Security total:</b>	<b>40,407.79</b>		<b>38,961.32</b>	...	<b>1,446.47</b>	
AMAZON COM INC AMZN Jun 18 2021 3550.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 3550.000							
12/18/20	1.000	20,263.89	12/18/20	20,130.66	...	133.23	Option sale
12/21/20	1.000	19,748.90	12/21/20	19,635.66	...	113.24	Option sale
12/22/20	1.000	20,033.90	12/22/20	19,935.66	...	98.24	Option sale
	<b>Security total:</b>	<b>60,046.69</b>		<b>59,701.98</b>	...	<b>344.71</b>	
AMAZON COM INC AMZN Jun 18 2021 3600.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 3600.000							
12/28/20	1.000	18,498.93	12/23/20	18,245.66	...	253.27	Option sale
AMAZON COM INC AMZN Jun 18 2021 3700.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 3700.000							
12/03/20	1.000	16,548.97	12/03/20	16,465.66	...	83.31	Option sale
12/08/20	1.000	14,849.01	12/04/20	14,800.66	...	48.35	Option sale
	<b>Security total:</b>	<b>31,397.98</b>		<b>31,266.32</b>	...	<b>131.66</b>	
AMAZON COM INC AMZN Jun 18 2021 3800.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 3800.000							
12/31/20	2.000	466.65	Various	0.00	...	466.65	Total of 2 transactions
AMAZON COM INC AMZN Jun 18 2021 4000.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 4000.000							
11/04/20	1.000	14,699.02	10/30/20	15,000.66	301.64 W	0.00	Option sale
11/09/20	4.000	52,403.20	Various	51,604.28	...	798.92	Total of 4 transactions
11/16/20	1.000	10,149.12	11/09/20	12,250.66	2,101.54 W	0.00	Option sale
12/01/20	1.000	11,599.08	11/16/20	11,402.20	...	196.88	Option sale
	<b>Security total:</b>	<b>88,850.42</b>		<b>90,257.80</b>	<b>2,403.18 W</b>	<b>995.80</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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AMAZON COM INC AMZN Jun 18 2021 4150.0 Call / CUSIP: / Symbol: AMZN 06/18/21 C 4150.000							
10/26/20	1.000	17,598.95	10/21/20	17,150.66	...	448.29	Option sale
AMAZON COM INC AMZN Jan 21 2022 2400.0 Call / CUSIP: / Symbol: AMZN 01/21/22 C 2400.000							
05/11/20	1.000	43,161.38	04/28/20	39,421.67	...	3,739.71	Option sale
AMAZON COM INC AMZN Jan 21 2022 2600.0 Call / CUSIP: / Symbol: AMZN 01/21/22 C 2600.000							
05/12/20	1.000	-3,031.04	05/11/20	0.00	...	-3,031.04	Short sale closed- option
APPIAN CORPORATION APPN Jan 15 2021 160.0 Call / CUSIP: / Symbol: APPN 01/15/21 C 160.000							
12/17/20	6.000	5,815.88	Various	5,373.98	...	441.90	Option sale
BROADCOM INC AVGO Aug 14 2020 330.0 Call / CUSIP: / Symbol: AVGO 08/14/20 C 330.000							
08/10/20	5.000	1,201.64	Various	1,353.33	...	-151.69	Total of 2 transactions
AMERICAN EXPRESS CO AXP Sep 18 2020 100.0 Call / CUSIP: / Symbol: AXP 09/18/20 C 100.000							
08/25/20	2.000	672.66	08/24/20	501.33	...	171.33	Option sale
08/27/20	3.000	1,009.98	Various	812.00	...	197.98	Total of 2 transactions
	<b>Security total:</b>	<b>1,682.64</b>		<b>1,313.33</b>	...	<b>369.31</b>	
BOEING CO BA Aug 14 2020 160.0 Call / CUSIP: / Symbol: BA 08/14/20 C 160.000							
08/03/20	3.000	1,527.96	08/03/20	1,382.00	...	145.96	Option sale
BOEING CO BA Aug 14 2020 170.0 Call / CUSIP: / Symbol: BA 08/14/20 C 170.000							
08/04/20	3.000	1,373.97	Various	1,187.00	...	186.97	Total of 2 transactions
BOEING CO BA Aug 21 2020 182.5 Call / CUSIP: / Symbol: BA 08/21/20 C 182.500							
08/14/20	3.000	1,137.96	08/14/20	1,007.00	...	130.96	Option sale
08/17/20	3.000	426.98	08/14/20	962.00	...	-535.02	Option sale
	<b>Security total:</b>	<b>1,564.94</b>		<b>1,969.00</b>	...	<b>-404.06</b>	
BOEING CO BA Aug 28 2020 175.0 Call / CUSIP: / Symbol: BA 08/28/20 C 175.000							
08/20/20	2.000	558.66	08/19/20	851.33	292.67 W	0.00	Option sale
08/24/20	5.000	1,850.62	Various	2,235.00	...	-384.38	Total of 2 transactions
	<b>Security total:</b>	<b>2,409.28</b>		<b>3,086.33</b>	<b>292.67 W</b>	<b>-384.38</b>	
BOEING CO BA Sep 04 2020 177.5 Call / CUSIP: / Symbol: BA 09/04/20 C 177.500							
08/25/20	1.000	484.32	08/25/20	450.67	...	33.65	Option sale

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Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING CO BA Sep 18 2020 165.0 Call / CUSIP: / Symbol: BA 09/18/20 C 165.000							
09/18/20	2.000	148.67	09/18/20	241.33	...	-92.66	Option sale
BOEING CO BA Sep 18 2020 180.0 Call / CUSIP: / Symbol: BA 09/18/20 C 180.000							
09/01/20	1.000	679.31	09/01/20	605.67	...	73.64	Option sale
BOEING CO BA Sep 25 2020 170.0 Call / CUSIP: / Symbol: BA 09/25/20 C 170.000							
09/15/20	6.000	2,140.95	Various	1,969.00	...	171.95	Total of 2 transactions
09/16/20	4.000	1,827.30	Various	1,616.66	...	210.64	Total of 2 transactions
	<b>Security total:</b>	<b>3,968.25</b>		<b>3,585.66</b>	...	<b>382.59</b>	
BOEING CO BA Oct 02 2020 160.0 Call / CUSIP: / Symbol: BA 10/02/20 C 160.000							
09/23/20	1.000	232.33	09/23/20	439.66	...	-207.33	Option sale
BOEING CO BA Oct 02 2020 172.5 Call / CUSIP: / Symbol: BA 10/02/20 C 172.500							
10/01/20	1.000	87.34	10/01/20	128.66	...	-41.32	Option sale
10/02/20	2.000	18.67	10/01/20	257.33	...	-238.66	Option sale
	<b>Security total:</b>	<b>106.01</b>		<b>385.99</b>	...	<b>-279.98</b>	
BOEING CO BA Oct 16 2020 165.0 Call / CUSIP: / Symbol: BA 10/16/20 C 165.000							
08/24/20	1.000	2,024.29	08/21/20	1,554.67	...	469.62	Option sale
BOEING CO BA Oct 16 2020 180.0 Call / CUSIP: / Symbol: BA 10/16/20 C 180.000							
09/21/20	4.000	1,045.30	Various	2,507.68	...	-1,462.38	Option sale
BOEING CO BA Oct 23 2020 175.0 Call / CUSIP: / Symbol: BA 10/23/20 C 175.000							
10/01/20	1.000	799.32	09/30/20	642.01	...	157.31	Option sale
10/01/20	2.000	1,578.64	09/30/20	1,601.33	11.35 W	-11.34	Option sale
	<b>Security total:</b>	<b>2,377.96</b>		<b>2,243.34</b>	<b>11.35 W</b>	<b>145.97</b>	
BOEING CO BA Dec 04 2020 230.0 Call / CUSIP: / Symbol: BA 12/04/20 C 230.000							
12/02/20	1.000	184.34	12/02/20	200.66	...	-16.32	Option sale
BOEING CO BA Dec 18 2020 190.0 Call / CUSIP: / Symbol: BA 12/18/20 C 190.000							
11/12/20	2.000	1,858.64	Various	1,761.33	...	97.31	Total of 2 transactions
BOEING CO BA Dec 18 2020 195.0 Call / CUSIP: / Symbol: BA 12/18/20 C 195.000							
09/30/20	2.000	1,898.63	09/28/20	1,779.32	...	119.31	Option sale

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Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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BOEING CO BA Dec 24 2020 225.0 Call / CUSIP: / Symbol: BA 12/24/20 C 225.000							
11/16/20	2.000	1,238.64	11/16/20	1,171.33	...	67.31	Option sale
BOEING CO BA Aug 14 2020 175.0 Put / CUSIP: / Symbol: BA 08/14/20 P 175.000							
08/12/20	4.000	789.32	Various	802.67	...	-13.35	Total of 2 transactions
BOEING CO BA Aug 28 2020 165.0 Put / CUSIP: / Symbol: BA 08/28/20 P 165.000							
08/28/20	1.000	65.66	08/27/20	0.00	...	65.66	Short sale closed- option
BOEING CO BA Aug 28 2020 170.0 Put / CUSIP: / Symbol: BA 08/28/20 P 170.000							
08/24/20	4.000	769.30	08/24/20	750.67	...	18.63	Option sale
BOEING CO BA Sep 04 2020 165.0 Put / CUSIP: / Symbol: BA 09/04/20 P 165.000							
09/02/20	3.000	208.98	09/01/20	0.00	...	208.98	Short sale closed- option
BOEING CO BA Nov 20 2020 172.5 Put / CUSIP: / Symbol: BA 11/20/20 P 172.500							
11/12/20	2.000	93.33	11/11/20	0.00	...	93.33	Short sale closed- option
11/16/20	1.000	125.67	11/13/20	0.00	...	125.67	Short sale closed- option
	<b>Security total:</b>	<b>219.00</b>		<b>0.00</b>	...	<b>219.00</b>	
BOEING CO BA Dec 04 2020 222.5 Put / CUSIP: / Symbol: BA 12/04/20 P 222.500							
12/02/20	1.000	379.33	12/02/20	390.66	...	-11.33	Option sale
BOEING CO BA Jan 15 2021 225.0 Call / CUSIP: / Symbol: BA 01/15/21 C 225.000							
11/23/20	5.000	4,946.56	Various	4,808.31	...	138.25	Option sale
BOEING CO BA Feb 19 2021 260.0 Call / CUSIP: / Symbol: BA 02/19/21 C 260.000							
12/17/20	5.000	3,246.60	Various	5,355.31	...	-2,108.71	Option sale
BOEING CO BA Jan 21 2022 270.0 Call / CUSIP: / Symbol: BA 01/21/22 C 270.000							
07/15/20	2.000	5,598.55	07/01/20	5,081.33	...	517.22	Option sale
BOEING CO BA Jun 17 2022 270.0 Call / CUSIP: / Symbol: BA 06/17/22 C 270.000							
06/10/20	1.000	3,899.24	06/05/20	3,780.67	...	118.57	Option sale
BOEING CO BA Jan 20 2023 260.0 Call / CUSIP: / Symbol: BA 01/20/23 C 260.000							
11/09/20	1.000	2,729.28	10/16/20	2,650.66	...	78.62	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LTD BABA Sep 04 2020		300.0	Call / CUSIP: / Symbol: BABA 09/04/20	C 300.000			
09/01/20	1.000	484.32	09/01/20	410.67	...	73.65	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 25 2020		300.0	Call / CUSIP: / Symbol: BABA 09/25/20	C 300.000			
09/01/20	2.000	2,528.61	08/31/20	1,771.33	...	757.28	Option sale
ALIBABA GROUP HOLDING LTD BABA Jan 15 2021		340.0	Call / CUSIP: / Symbol: BABA 01/15/21	C 340.000			
10/12/20	2.000	2,138.62	10/09/20	2,101.33	...	37.29	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 17 2021		300.0	Call / CUSIP: / Symbol: BABA 09/17/21	C 300.000			
11/19/20	1.000	2,359.29	11/17/20	2,347.67	...	11.62	Option sale
11/20/20	1.000	2,499.28	11/17/20	2,347.66	...	151.62	Option sale
<b>Security total:</b>		<b>4,858.57</b>		<b>4,695.33</b>	...	<b>163.24</b>	
ALIBABA GROUP HOLDING LTD BABA Oct 15 2021		360.0	Call / CUSIP: / Symbol: BABA 10/15/21	C 360.000			
10/16/20	2.000	5,498.55	10/14/20	5,201.33	...	297.22	Option sale
ALIBABA GROUP HOLDING LTD BABA Jan 21 2022		290.0	Call / CUSIP: / Symbol: BABA 01/21/22	C 290.000			
06/24/20	3.000	3,897.91	05/26/20	2,822.00	...	1,075.91	Option sale
BANK OF AMERICA CORPORATION BAC Aug 07 2020		25.5	Call / CUSIP: / Symbol: BAC 08/07/20	C 25.500			
08/06/20	20.000	366.61	08/06/20	603.34	...	-236.73	Option sale
BAIDU INC BIDU Jan 15 2021		130.0	Call / CUSIP: / Symbol: BIDU 01/15/21	C 130.000			
10/12/20	2.000	1,938.63	10/08/20	1,801.33	...	137.30	Option sale
BIGCOMMERCE HLDGS INC BIGC Sep 18 2020		70.0	Put / CUSIP: / Symbol: BIGC 09/18/20	P 70.000			
08/27/20	2.000	487.31	08/26/20	0.00	...	487.31	Short sale closed- option
BIGCOMMERCE HLDGS INC BIGC Apr 16 2021		150.0	Call / CUSIP: / Symbol: BIGC 04/16/21	C 150.000			
12/11/20	4.000	1,293.30	Various	7,335.67	...	-6,042.37	Option sale
BOOKING HOLDINGS INC BKNG Oct 16 2020		1790.0	Call / CUSIP: / Symbol: BKNG 10/16/20	C 1790.000			
10/13/20	1.000	2,449.29	10/13/20	2,420.66	...	28.63	Option sale
BOOKING HOLDINGS INC BKNG Nov 13 2020		2100.0	Put / CUSIP: / Symbol: BKNG 11/13/20	P 2100.000			
11/09/20	2.000	14,048.37	Various	11,901.32	...	2,147.05	Total of 2 transactions

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Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOOKING HOLDINGS INC BKNG Dec 24 2020 2000.0 Put / CUSIP: / Symbol: BKNG 12/24/20 P 2000.000							
11/17/20	1.000	5,999.21	11/16/20	5,830.66	...	168.55	Option sale
11/18/20	1.000	6,049.21	11/18/20	5,200.66	...	848.55	Option sale
	<b>Security total:</b>	<b>12,048.42</b>		<b>11,031.32</b>	...	<b>1,017.10</b>	
BOOKING HOLDINGS INC BKNG Jan 15 2021 2100.0 Call / CUSIP: / Symbol: BKNG 01/15/21 C 2100.000							
12/14/20	1.000	7,599.17	12/14/20	7,430.66	...	168.51	Option sale
12/15/20	1.000	7,989.16	12/14/20	7,420.66	...	568.50	Option sale
	<b>Security total:</b>	<b>15,588.33</b>		<b>14,851.32</b>	...	<b>737.01</b>	
BOOKING HOLDINGS INC BKNG Jan 15 2021 2120.0 Call / CUSIP: / Symbol: BKNG 01/15/21 C 2120.000							
12/17/20	2.000	13,318.38	12/17/20	12,681.33	...	637.05	Option sale
BOOKING HOLDINGS INC BKNG Jan 15 2021 2040.0 Put / CUSIP: / Symbol: BKNG 01/15/21 P 2040.000							
12/02/20	1.000	8,299.16	12/02/20	7,930.66	...	368.50	Option sale
BOOKING HOLDINGS INC BKNG Jan 15 2021 2100.0 Put / CUSIP: / Symbol: BKNG 01/15/21 P 2100.000							
12/04/20	1.000	8,399.15	12/04/20	8,580.66	...	-181.51	Option sale
CITIGROUP INC C Sep 04 2020 52.5 Call / CUSIP: / Symbol: C 09/04/20 C 52.500							
08/25/20	9.000	848.96	08/24/20	546.00	...	302.96	Option sale
08/27/20	10.000	863.30	Various	836.67	...	26.63	Total of 2 transactions
	<b>Security total:</b>	<b>1,712.26</b>		<b>1,382.67</b>	...	<b>329.59</b>	
CARNIVAL CORP CCL Sep 18 2020 19.0 Call / CUSIP: / Symbol: CCL 09/18/20 C 19.000							
09/11/20	20.000	486.61	Various	1,743.34	...	-1,256.73	Option sale
CARNIVAL CORP CCL Sep 18 2020 20.0 Call / CUSIP: / Symbol: CCL 09/18/20 C 20.000							
08/11/20	4.000	245.32	08/11/20	326.67	...	-81.35	Option sale
CARNIVAL CORP CCL Jan 21 2022 30.0 Call / CUSIP: / Symbol: CCL 01/21/22 C 30.000							
06/10/20	2.000	1,012.65	06/03/20	601.33	...	411.32	Option sale
CHEWY INC CHWY Aug 28 2020 53.0 Call / CUSIP: / Symbol: CHWY 08/28/20 C 53.000							
08/13/20	1.000	349.32	08/12/20	320.67	...	28.65	Option sale
CHEWY INC CHWY Sep 11 2020 63.0 Call / CUSIP: / Symbol: CHWY 09/11/20 C 63.000							
09/11/20	1.000	0.00	09/10/20	230.67	...	-230.67	Option expiration

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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CHEWY INC CHWY Dec 31 2020 80.0 Call / CUSIP: / Symbol: CHWY 12/31/20 C 80.000							
12/03/20	10.000	2,893.28	12/02/20	2,676.64	...	216.64	Option sale
CHEWY INC CHWY Sep 04 2020 65.0 Put / CUSIP: / Symbol: CHWY 09/04/20 P 65.000							
09/02/20	1.000	57.66	09/01/20	0.00	...	57.66	Short sale closed- option
CHEWY INC CHWY Jan 15 2021 65.0 Call / CUSIP: / Symbol: CHWY 01/15/21 C 65.000							
09/23/20	4.000	1,917.29	09/15/20	1,737.67	...	179.62	Option sale
CHEWY INC CHWY Jan 15 2021 80.0 Call / CUSIP: / Symbol: CHWY 01/15/21 C 80.000							
09/02/20	2.000	2,258.62	09/01/20	1,501.33	...	757.29	Option sale
SALESFORCE.COM INC CRM Oct 16 2020 310.0 Call / CUSIP: / Symbol: CRM 10/16/20 C 310.000							
09/02/20	2.000	1,458.64	09/02/20	1,281.33	...	177.31	Option sale
09/10/20	3.000	417.98	09/03/20	1,562.00	...	-1,144.02	Option sale
	<b>Security total:</b>	<b>1,876.62</b>		<b>2,843.33</b>	...	<b>-966.71</b>	
SALESFORCE.COM INC CRM Dec 18 2020 260.0 Call / CUSIP: / Symbol: CRM 12/18/20 C 260.000							
10/08/20	1.000	2,169.29	10/07/20	1,940.66	...	228.63	Option sale
SALESFORCE.COM INC CRM Dec 31 2020 252.5 Call / CUSIP: / Symbol: CRM 12/31/20 C 252.500							
12/01/20	2.000	1,838.63	12/01/20	1,681.33	...	157.30	Option sale
SALESFORCE.COM INC CRM Jan 21 2022 270.0 Call / CUSIP: / Symbol: CRM 01/21/22 C 270.000							
06/18/20	5.000	3,796.58	Various	2,623.33	...	1,173.25	Option sale
06/30/20	5.000	363.25	06/29/20	0.00	...	363.25	Short sale closed- option
	<b>Security total:</b>	<b>4,159.83</b>		<b>2,623.33</b>	...	<b>1,536.50</b>	
SALESFORCE.COM INC CRM Jan 21 2022 290.0 Call / CUSIP: / Symbol: CRM 01/21/22 C 290.000							
07/31/20	10.000	5,593.19	07/13/20	5,506.67	...	86.52	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Nov 27 2020 136.0 Call / CUSIP: / Symbol: CRWD 11/27/20 C 136.000							
11/17/20	2.000	818.65	11/13/20	701.33	...	117.32	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Dec 11 2020 175.0 Put / CUSIP: / Symbol: CRWD 12/11/20 P 175.000							
12/14/20	3.000	44.01	12/11/20	0.00	...	44.01	Short sale closed- option

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(continued)

01/14/2021

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DATADOG INC DDOG Sep 18 2020 90.0 Call / CUSIP: / Symbol: DDOG 09/18/20 C 90.000							
09/01/20	3.000	927.98	08/28/20	728.00	...	199.98	Option sale
DATADOG INC DDOG Sep 04 2020 83.0 Put / CUSIP: / Symbol: DDOG 09/04/20 P 83.000							
09/01/20	2.000	188.67	09/01/20	211.33	...	-22.66	Option sale
09/04/20	2.000	-752.66	09/03/20	0.00	...	-752.66	Short sale closed- option
	<b>Security total:</b>	<b>-563.99</b>		<b>211.33</b>	...	<b>-775.32</b>	
DATADOG INC DDOG Sep 18 2020 80.0 Put / CUSIP: / Symbol: DDOG 09/18/20 P 80.000							
09/15/20	2.000	755.32	09/14/20	0.00	...	755.32	Short sale closed- option
DISCOVER FINANCIAL SERVICES DFS Aug 21 2020 50.0 Call / CUSIP: / Symbol: DFS 08/21/20 C 50.000							
08/06/20	5.000	921.64	08/06/20	843.33	...	78.31	Option sale
DRAFTKINGS INC DKNG Sep 18 2020 55.0 Call / CUSIP: / Symbol: DKNG 09/18/20 C 55.000							
09/16/20	2.000	99.66	Various	231.33	...	-131.67	Total of 2 transactions
DRAFTKINGS INC DKNG Oct 16 2020 50.0 Call / CUSIP: / Symbol: DKNG 10/16/20 C 50.000							
09/14/20	2.000	730.65	09/14/20	701.33	...	29.32	Option sale
DRAFTKINGS INC DKNG Dec 18 2020 55.0 Put / CUSIP: / Symbol: DKNG 12/18/20 P 55.000							
12/21/20	2.000	22.35	12/18/20	0.00	...	22.35	Short sale closed- option
DRAFTKINGS INC DKNG Jan 15 2021 50.0 Put / CUSIP: / Symbol: DKNG 01/15/21 P 50.000							
12/24/20	2.000	161.33	12/23/20	0.00	...	161.33	Short sale closed- option
DOCUSIGN INC DOCU Mar 19 2021 400.0 Call / CUSIP: / Symbol: DOCU 03/19/21 C 400.000							
11/09/20	4.000	517.32	Various	4,992.67	...	-4,475.35	Option sale
FACEBOOK INC FB Aug 21 2020 285.0 Call / CUSIP: / Symbol: FB 08/21/20 C 285.000							
08/07/20	2.000	938.65	08/07/20	815.33	...	123.32	Option sale
FACEBOOK INC FB Sep 18 2020 320.0 Call / CUSIP: / Symbol: FB 09/18/20 C 320.000							
09/10/20	6.000	13.99	Various	2,374.00	...	-2,360.01	Option sale
FACEBOOK INC FB Oct 09 2020 267.5 Call / CUSIP: / Symbol: FB 10/09/20 C 267.500							
09/30/20	1.000	474.33	09/29/20	460.66	...	13.67	Option sale

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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FACEBOOK INC FB Oct 16 2020 262.5 Call / CUSIP: / Symbol: FB 10/16/20 C 262.500							
10/08/20	1.000	579.33	10/08/20	535.66	...	43.67	Option sale
FACEBOOK INC FB Oct 16 2020 295.0 Call / CUSIP: / Symbol: FB 10/16/20 C 295.000							
08/24/20	2.000	1,458.64	08/20/20	1,001.33	...	457.31	Option sale
FACEBOOK INC FB Nov 20 2020 300.0 Call / CUSIP: / Symbol: FB 11/20/20 C 300.000							
09/23/20	4.000	1,777.29	Various	4,182.68	...	-2,405.39	Option sale
FACEBOOK INC FB Nov 27 2020 280.0 Call / CUSIP: / Symbol: FB 11/27/20 C 280.000							
11/24/20	2.000	321.68	Various	251.33	...	70.35	Total of 2 transactions
11/25/20	1.000	43.34	11/24/20	125.66	...	-82.32	Option sale
<b>Security total:</b>		<b>365.02</b>		<b>376.99</b>	...	<b>-11.97</b>	
FACEBOOK INC FB Nov 27 2020 285.0 Call / CUSIP: / Symbol: FB 11/27/20 C 285.000							
11/25/20	2.000	-27.65	Various	0.00	...	-27.65	Total of 2 transactions
11/27/20	1.000	33.68	11/25/20	0.00	...	33.68	Short sale closed- option
<b>Security total:</b>		<b>6.03</b>		<b>0.00</b>	...	<b>6.03</b>	
FACEBOOK INC FB Dec 18 2020 300.0 Call / CUSIP: / Symbol: FB 12/18/20 C 300.000							
09/30/20	2.000	1,718.63	09/29/20	1,651.33	...	67.30	Option sale
FACEBOOK INC FB Aug 28 2020 290.0 Put / CUSIP: / Symbol: FB 08/28/20 P 290.000							
08/26/20	9.000	938.96	08/26/20	2,212.34	...	-1,273.38	Option sale
08/26/20	1.000	194.33	08/26/20	300.67	106.34 W	0.00	Option sale
<b>Security total:</b>		<b>1,133.29</b>		<b>2,513.01</b>	<b>106.34 W</b>	<b>-1,273.38</b>	
FACEBOOK INC FB Sep 11 2020 260.0 Put / CUSIP: / Symbol: FB 09/11/20 P 260.000							
09/11/20	1.000	17.33	09/10/20	100.67	...	-83.34	Option sale
FACEBOOK INC FB Jan 15 2021 300.0 Call / CUSIP: / Symbol: FB 01/15/21 C 300.000							
08/25/20	1.000	1,859.29	08/11/20	1,550.67	...	308.62	Option sale
FEDEX CORP FDX Oct 16 2020 250.0 Call / CUSIP: / Symbol: FDX 10/16/20 C 250.000							
09/15/20	1.000	1,116.31	09/14/20	1,140.67	...	-24.36	Option sale
FEDEX CORP FDX Oct 16 2020 270.0 Call / CUSIP: / Symbol: FDX 10/16/20 C 270.000							
09/16/20	1.000	30.65	09/15/20	0.00	...	30.65	Short sale closed- option

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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FEDEX CORP FDX Dec 11 2020 282.5 Put / CUSIP: / Symbol: FDX 12/11/20 P 282.500							
12/04/20	2.000	203.33	12/03/20	0.00	...	203.33	Short sale closed- option
FISERV INC FISV Sep 18 2020 105.0 Call / CUSIP: / Symbol: FISV 09/18/20 C 105.000							
08/07/20	5.000	1,936.61	Various	1,753.33	...	183.28	Total of 2 transactions
FISERV INC FISV Nov 20 2020 105.0 Call / CUSIP: / Symbol: FISV 11/20/20 C 105.000							
08/17/20	2.000	1,278.64	08/10/20	1,201.33	...	77.31	Option sale
FASTLY INC FSLY Aug 21 2020 92.0 Call / CUSIP: / Symbol: FSLY 08/21/20 C 92.000							
08/21/20	1.000	36.33	08/20/20	85.67	...	-49.34	Option sale
FASTLY INC FSLY Aug 28 2020 80.0 Call / CUSIP: / Symbol: FSLY 08/28/20 C 80.000							
08/11/20	4.000	1,729.28	Various	2,532.67	...	-803.39	Option sale
FASTLY INC FSLY Sep 18 2020 85.0 Call / CUSIP: / Symbol: FSLY 09/18/20 C 85.000							
08/10/20	1.000	879.31	08/07/20	790.67	...	88.64	Option sale
08/13/20	4.000	2,869.28	Various	2,274.67	...	594.61	Total of 2 transactions
<b>Security total:</b>		<b>3,748.59</b>		<b>3,065.34</b>	...	<b>683.25</b>	
FASTLY INC FSLY Sep 18 2020 86.0 Call / CUSIP: / Symbol: FSLY 09/18/20 C 86.000							
08/25/20	2.000	1,908.62	Various	1,561.33	...	347.29	Total of 2 transactions
FASTLY INC FSLY Aug 21 2020 77.0 Put / CUSIP: / Symbol: FSLY 08/21/20 P 77.000							
08/21/20	5.000	263.33	08/20/20	0.00	...	263.33	Short sale closed- option
FASTLY INC FSLY Aug 28 2020 78.0 Put / CUSIP: / Symbol: FSLY 08/28/20 P 78.000							
08/26/20	4.000	353.66	08/25/20	0.00	...	353.66	Short sale closed- option
FASTLY INC FSLY Aug 28 2020 88.0 Put / CUSIP: / Symbol: FSLY 08/28/20 P 88.000							
08/28/20	2.000	197.33	08/27/20	0.00	...	197.33	Short sale closed- option
FASTLY INC FSLY Jan 20 2023 150.0 Call / CUSIP: / Symbol: FSLY 01/20/23 C 150.000							
12/04/20	2.000	5,198.56	Various	5,001.32	...	197.24	Option sale
VANECK VECTORS ETF TRUST GDXJ Sep 18 2020 62.0 Call / CUSIP: / Symbol: GDXJ 09/18/20 C 62.000							
08/05/20	1.000	669.32	08/04/20	475.67	...	193.65	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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VANECK VECTORS ETF TRUST GDJX Sep 18 2020 66.0 Call / CUSIP: / Symbol: GDJX 09/18/20 C 66.000							
08/06/20	3.000	1,332.96	08/05/20	1,442.00	...	-109.04	Option sale
ALPHABET INC GOOGL Dec 31 2020 1800.0 Call / CUSIP: / Symbol: GOOGL 12/31/20 C 1800.000							
12/30/20	1.000	34.34	12/29/20	180.66	...	-146.32	Option sale
ALPHABET INC GOOGL Jul 10 2020 1510.0 Put / CUSIP: / Symbol: GOOGL 07/10/20 P 1510.000							
07/10/20	2.000	0.00	07/10/20	726.34	...	-726.34	Option expiration
ALPHABET INC GOOGL Jun 18 2021 1800.0 Call / CUSIP: / Symbol: GOOGL 06/18/21 C 1800.000							
12/18/20	1.000	12,699.06	12/17/20	12,280.66	...	418.40	Option sale
ALPHABET INC GOOGL Jan 21 2022 1900.0 Call / CUSIP: / Symbol: GOOGL 01/21/22 C 1900.000							
08/06/20	1.000	8,534.14	07/13/20	9,100.67	566.53 W	0.00	Option sale
08/11/20	1.000	8,714.14	07/31/20	7,467.20	...	1,246.94	Option sale
	<b>Security total:</b>	<b>17,248.28</b>		<b>16,567.87</b>	<b>566.53 W</b>	<b>1,246.94</b>	
GOLDMAN SACHS GROUP INC GS Aug 21 2020 225.0 Call / CUSIP: / Symbol: GS 08/21/20 C 225.000							
08/12/20	4.000	341.33	Various	642.67	...	-301.34	Total of 2 transactions
INTEL CORP INTC Sep 18 2020 53.0 Put / CUSIP: / Symbol: INTC 09/18/20 P 53.000							
09/03/20	4.000	837.30	09/02/20	794.67	...	42.63	Option sale
JD.COM INC JD Sep 18 2020 85.0 Call / CUSIP: / Symbol: JD 09/18/20 C 85.000							
09/01/20	2.000	384.66	09/01/20	467.33	...	-82.67	Option sale
JOHNSON & JOHNSON JNJ Jan 08 2021 148.0 Call / CUSIP: / Symbol: JNJ 01/08/21 C 148.000							
12/02/20	10.000	4,605.25	Various	4,006.64	...	598.61	Total of 2 transactions
JOHNSON & JOHNSON JNJ Jan 21 2022 185.0 Call / CUSIP: / Symbol: JNJ 01/21/22 C 185.000							
08/07/20	15.000	4,339.87	Various	4,160.00	...	179.87	Option sale
JP MORGAN CHASE & CO JPM Aug 28 2020 98.0 Call / CUSIP: / Symbol: JPM 08/28/20 C 98.000							
08/07/20	7.000	2,417.27	Various	1,902.66	...	514.61	Option sale
JP MORGAN CHASE & CO JPM Sep 04 2020 97.0 Put / CUSIP: / Symbol: JPM 09/04/20 P 97.000							
08/20/20	3.000	672.98	08/20/20	692.00	...	-19.02	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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JP MORGAN CHASE & CO JPM Sep 18 2020 105.0 Call / CUSIP: / Symbol: JPM 09/18/20 C 105.000							
08/11/20	2.000	888.65	08/10/20	579.33	...	309.32	Option sale
JP MORGAN CHASE & CO JPM Oct 16 2020 105.0 Call / CUSIP: / Symbol: JPM 10/16/20 C 105.000							
09/02/20	5.000	1,846.62	09/01/20	1,488.33	...	358.29	Option sale
JP MORGAN CHASE & CO JPM Nov 20 2020 105.0 Call / CUSIP: / Symbol: JPM 11/20/20 C 105.000							
09/23/20	5.000	796.65	Various	1,788.33	...	-991.68	Option sale
LUMINAR TECHNOLOGIES INC LAZR Feb 19 2021 35.0 Call / CUSIP: / Symbol: LAZR 02/19/21 C 35.000							
12/29/20	2.000	998.65	12/28/20	741.33	...	257.32	Option sale
LI AUTO INC LI Jun 18 2021 35.0 Call / CUSIP: / Symbol: LI 06/18/21 C 35.000							
12/21/20	5.000	3,596.59	12/18/20	3,298.32	...	298.27	Option sale
SOUTHWEST AIRLINES CO LUV Jan 21 2022 60.0 Call / CUSIP: / Symbol: LUV 01/21/22 C 60.000							
07/15/20	1.000	299.32	06/03/20	400.67	...	-101.35	Option sale
07/30/20	2.000	298.66	06/03/20	801.33	...	-502.67	Option sale
	<b>Security total:</b>	<b>597.98</b>		<b>1,202.00</b>	...	<b>-604.02</b>	
MEDTRONIC PLC MDT Oct 02 2020 104.0 Put / CUSIP: / Symbol: MDT 10/02/20 P 104.000							
09/29/20	2.000	29.33	09/28/20	0.00	...	29.33	Short sale closed- option
MERCADOLIBRE INC MELI Aug 21 2020 1200.0 Call / CUSIP: / Symbol: MELI 08/21/20 C 1200.000							
08/11/20	1.000	1,199.30	08/10/20	1,850.67	...	-651.37	Option sale
MERCADOLIBRE INC MELI Dec 18 2020 1420.0 Call / CUSIP: / Symbol: MELI 12/18/20 C 1420.000							
08/17/20	1.000	5,999.20	08/14/20	5,500.67	...	498.53	Option sale
08/31/20	1.000	5,119.22	08/31/20	4,720.67	...	398.55	Option sale
	<b>Security total:</b>	<b>11,118.42</b>		<b>10,221.34</b>	...	<b>897.08</b>	
MGM RESORTS INTERNATIONAL MGM Aug 14 2020 21.0 Put / CUSIP: / Symbol: MGM 08/14/20 P 21.000							
08/12/20	10.000	553.30	08/12/20	486.67	...	66.63	Option sale
MARATHON OIL CORPORATION MRO Jan 21 2022 5.0 Call / CUSIP: / Symbol: MRO 01/21/22 C 5.000							
11/18/20	10.000	1,763.30	11/16/20	1,476.64	...	286.66	Option sale

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MICROSOFT CORP MSFT Oct 16 2020 230.0 Call / CUSIP: / Symbol: MSFT 10/16/20 C 230.000							
08/04/20	2.000	1,170.64	Various	1,149.34	...	21.30	Total of 2 transactions
MICROSOFT CORP MSFT Mar 18 2022 270.0 Call / CUSIP: / Symbol: MSFT 03/18/22 C 270.000							
08/03/20	6.000	8,815.80	07/24/20	6,862.00	...	1,953.80	Option sale
MICRON TECHNOLOGY INC MU Sep 25 2020 48.0 Put / CUSIP: / Symbol: MU 09/25/20 P 48.000							
09/22/20	3.000	129.99	09/21/20	200.00	...	-70.01	Option sale
NORWEGIAN CRUISE LINE HLDGS LT NCLH Oct 09 2020 17.5 Put / CUSIP: / Symbol: NCLH 10/09/20 P 17.500							
10/06/20	20.000	686.66	10/06/20	893.28	...	-206.62	Option sale
CLOUDFLARE INC NET Dec 18 2020 65.0 Call / CUSIP: / Symbol: NET 12/18/20 C 65.000							
11/11/20	5.000	2,196.62	11/10/20	1,673.32	...	523.30	Option sale
CLOUDFLARE INC NET Dec 18 2020 70.0 Call / CUSIP: / Symbol: NET 12/18/20 C 70.000							
11/09/20	5.000	1,613.63	Various	1,503.32	...	110.31	Total of 2 transactions
CLOUDFLARE INC NET Nov 13 2020 62.5 Put / CUSIP: / Symbol: NET 11/13/20 P 62.500							
11/12/20	8.000	489.67	Various	549.31	...	-59.64	Total of 2 transactions
11/13/20	2.000	28.67	11/12/20	137.33	...	-108.66	Option sale
	<b>Security total:</b>	<b>518.34</b>		<b>686.64</b>	...	<b>-168.30</b>	
CLOUDFLARE INC NET Jan 15 2021 70.0 Call / CUSIP: / Symbol: NET 01/15/21 C 70.000							
11/24/20	5.000	2,706.61	Various	2,343.32	...	363.29	Total of 2 transactions
NETFLIX INC NFLX Sep 04 2020 575.0 Call / CUSIP: / Symbol: NFLX 09/04/20 C 575.000							
08/26/20	1.000	1,042.31	08/26/20	1,000.67	...	41.64	Option sale
NETFLIX INC NFLX Sep 04 2020 580.0 Call / CUSIP: / Symbol: NFLX 09/04/20 C 580.000							
08/28/20	2.000	718.65	08/26/20	1,735.33	1,016.68 W	0.00	Option sale
08/31/20	2.000	338.66	08/27/20	1,631.02	...	-1,292.36	Option sale
	<b>Security total:</b>	<b>1,057.31</b>		<b>3,366.35</b>	<b>1,016.68 W</b>	<b>-1,292.36</b>	

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NETFLIX INC NFLX Sep 25 2020 510.0 Call / CUSIP: / Symbol: NFLX 09/25/20 C 510.000							
09/15/20	1.000	864.31	09/15/20	780.67	...	83.64	Option sale
09/16/20	1.000	969.31	09/15/20	900.67	...	68.64	Option sale
09/18/20	1.000	149.33	09/16/20	780.67	631.34 W	0.00	Option sale
09/21/20	4.000	637.31	Various	2,028.02	...	-1,390.71	Option sale
<b>Security total:</b>		<b>2,620.26</b>		<b>4,490.03</b>	<b>631.34 W</b>	<b>-1,238.43</b>	
NETFLIX INC NFLX Oct 23 2020 550.0 Call / CUSIP: / Symbol: NFLX 10/23/20 C 550.000							
10/15/20	1.000	2,429.29	10/15/20	2,230.66	...	198.63	Option sale
10/16/20	4.000	9,497.14	Various	9,946.03	...	-448.89	Total of 3 transactions
10/16/20	1.000	2,629.28	10/16/20	2,900.66	271.38 W	0.00	Option sale
<b>Security total:</b>		<b>14,555.71</b>		<b>15,077.35</b>	<b>271.38 W</b>	<b>-250.26</b>	
NETFLIX INC NFLX Dec 18 2020 525.0 Call / CUSIP: / Symbol: NFLX 12/18/20 C 525.000							
12/08/20	4.000	2,997.27	12/08/20	2,520.04	...	477.23	Option sale
12/08/20	4.000	2,897.28	12/08/20	3,014.66	117.38 W	0.00	Option sale
<b>Security total:</b>		<b>5,894.55</b>		<b>5,534.70</b>	<b>117.38 W</b>	<b>477.23</b>	
NETFLIX INC NFLX Feb 19 2021 545.0 Call / CUSIP: / Symbol: NFLX 02/19/21 C 545.000							
12/10/20	2.000	4,158.58	Various	4,426.32	...	-267.74	Option sale
NIO INC NIO Aug 07 2020 14.0 Put / CUSIP: / Symbol: NIO 08/07/20 P 14.000							
08/04/20	2.000	116.67	08/04/20	147.33	...	-30.66	Option sale
NIO INC NIO Nov 13 2020 53.0 Put / CUSIP: / Symbol: NIO 11/13/20 P 53.000							
11/13/20	10.000	1,990.32	Various	1,706.64	...	283.68	Total of 3 transactions
NIO INC NIO May 21 2021 25.0 Call / CUSIP: / Symbol: NIO 05/21/21 C 25.000							
10/14/20	3.000	2,112.96	10/12/20	1,531.99	...	580.97	Option sale
NIKOLA CORPORATION NKLA Sep 18 2020 36.0 Call / CUSIP: / Symbol: NKLA 09/18/20 C 36.000							
09/16/20	2.000	208.67	09/16/20	181.33	...	27.34	Option sale
09/18/20	2.000	0.00	09/16/20	171.33	...	-171.33	Option expiration
<b>Security total:</b>		<b>208.67</b>		<b>352.66</b>	...	<b>-143.99</b>	
NIKOLA CORPORATION NKLA Sep 25 2020 20.0 Put / CUSIP: / Symbol: NKLA 09/25/20 P 20.000							
09/23/20	2.000	121.34	09/22/20	0.00	...	121.34	Short sale closed- option

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TD Ameritrade Clearing, Inc.

Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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NIKOLA CORPORATION NKLA Sep 25 2020 23.5 Put / CUSIP: / Symbol: NKLA 09/25/20 P 23.500							
09/22/20	2.000	96.67	09/21/20	291.33	...	-194.66	Option sale
NIKOLA CORPORATION NKLA Oct 02 2020 18.0 Put / CUSIP: / Symbol: NKLA 10/02/20 P 18.000							
09/30/20	10.000	643.33	09/30/20	1,146.64	...	-503.31	Option sale
NVIDIA CORP NVDA Aug 21 2020 500.0 Call / CUSIP: / Symbol: NVDA 08/21/20 C 500.000							
08/17/20	1.000	1,454.30	08/17/20	1,345.67	...	108.63	Option sale
NVIDIA CORP NVDA Aug 21 2020 510.0 Call / CUSIP: / Symbol: NVDA 08/21/20 C 510.000							
08/21/20	1.000	359.32	08/21/20	200.67	...	158.65	Option sale
NVIDIA CORP NVDA Aug 28 2020 510.0 Call / CUSIP: / Symbol: NVDA 08/28/20 C 510.000							
08/26/20	1.000	899.31	08/24/20	840.67	...	58.64	Option sale
NVIDIA CORP NVDA Aug 28 2020 520.0 Call / CUSIP: / Symbol: NVDA 08/28/20 C 520.000							
08/24/20	2.000	1,948.63	08/21/20	1,401.33	...	547.30	Option sale
NVIDIA CORP NVDA Sep 04 2020 580.0 Call / CUSIP: / Symbol: NVDA 09/04/20 C 580.000							
09/02/20	1.000	749.31	09/02/20	720.67	...	28.64	Option sale
NVIDIA CORP NVDA Sep 18 2020 550.0 Call / CUSIP: / Symbol: NVDA 09/18/20 C 550.000							
08/31/20	1.000	2,109.28	08/28/20	1,435.67	...	673.61	Option sale
NVIDIA CORP NVDA Oct 09 2020 550.0 Call / CUSIP: / Symbol: NVDA 10/09/20 C 550.000							
09/30/20	1.000	1,284.31	09/30/20	1,220.66	...	63.65	Option sale
NVIDIA CORP NVDA Oct 16 2020 530.0 Call / CUSIP: / Symbol: NVDA 10/16/20 C 530.000							
09/16/20	1.000	2,299.28	09/15/20	2,800.67	501.39 W	0.00	Option sale
09/23/20	1.000	1,020.32	09/16/20	2,752.06	...	-1,731.74	Option sale
	<b>Security total:</b>	<b>3,319.60</b>		<b>5,552.73</b>	<b>501.39 W</b>	<b>-1,731.74</b>	
NVIDIA CORP NVDA Nov 20 2020 600.0 Call / CUSIP: / Symbol: NVDA 11/20/20 C 600.000							
09/08/20	1.000	2,899.27	09/08/20	2,300.67	...	598.60	Option sale
09/14/20	1.000	2,789.27	09/08/20	2,450.67	...	338.60	Option sale
	<b>Security total:</b>	<b>5,688.54</b>		<b>4,751.34</b>	...	<b>937.20</b>	

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Account 490653748

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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NVIDIA CORP NVDA Dec 18 2020 600.0 Call / CUSIP: / Symbol: NVDA 12/18/20 C 600.000							
10/12/20	1.000	3,519.26	10/08/20	3,355.66	...	163.60	Option sale
NVIDIA CORP NVDA Jun 18 2021 545.0 Call / CUSIP: / Symbol: NVDA 06/18/21 C 545.000							
12/01/20	2.000	13,598.37	Various	13,281.32	...	317.05	Option sale
OVERSTOCK COM INC DEL OSTK Oct 16 2020 90.0 Call / CUSIP: / Symbol: OSTK 10/16/20 C 90.000							
10/07/20	3.000	553.00	Various	1,208.66	...	-655.66	Option sale
10/07/20	2.000	394.66	10/02/20	781.33	386.67 W	0.00	Option sale
	<b>Security total:</b>	<b>947.66</b>		<b>1,989.99</b>	<b>386.67 W</b>	<b>-655.66</b>	
OVERSTOCK COM INC DEL OSTK Nov 20 2020 90.0 Call / CUSIP: / Symbol: OSTK 11/20/20 C 90.000							
10/07/20	1.000	1,299.31	10/07/20	1,038.67	...	260.64	Option sale
10/13/20	1.000	1,099.32	10/07/20	1,038.66	...	60.66	Option sale
	<b>Security total:</b>	<b>2,398.63</b>		<b>2,077.33</b>	...	<b>321.30</b>	
PELTON INTERACTIVE INC PTON Sep 18 2020 100.0 Call / CUSIP: / Symbol: PTON 09/18/20 C 100.000							
09/11/20	1.000	117.33	09/10/20	437.67	...	-320.34	Option sale
PELTON INTERACTIVE INC PTON Sep 18 2020 115.0 Call / CUSIP: / Symbol: PTON 09/18/20 C 115.000							
09/14/20	1.000	167.66	09/11/20	0.00	...	167.66	Short sale closed- option
PELTON INTERACTIVE INC PTON Jan 29 2021 170.0 Call / CUSIP: / Symbol: PTON 01/29/21 C 170.000							
12/28/20	4.000	2,617.27	Various	3,892.66	...	-1,275.39	Option sale
QUALCOMM INC QCOM Sep 18 2020 115.0 Call / CUSIP: / Symbol: QCOM 09/18/20 C 115.000							
08/12/20	10.000	4,928.22	Various	4,256.66	...	671.56	Total of 3 transactions
QUALCOMM INC QCOM Sep 18 2020 120.0 Call / CUSIP: / Symbol: QCOM 09/18/20 C 120.000							
08/21/20	1.000	137.33	08/13/20	268.67	131.34 W	0.00	Option sale
08/24/20	4.000	657.31	Various	1,136.00	...	-478.69	Option sale
	<b>Security total:</b>	<b>794.64</b>		<b>1,404.67</b>	<b>131.34 W</b>	<b>-478.69</b>	
QUALCOMM INC QCOM Oct 16 2020 115.0 Call / CUSIP: / Symbol: QCOM 10/16/20 C 115.000							
08/05/20	3.000	1,767.95	08/03/20	1,481.00	...	286.95	Option sale
INVESCO QQQ TRUST QQQ Sep 11 2020 275.0 Put / CUSIP: / Symbol: QQQ 09/11/20 P 275.000							
09/10/20	2.000	388.66	09/10/20	369.33	...	19.33	Option sale

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Account 490653748

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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ROYAL CARIBBEAN GROUP RCL Aug 07 2020 49.0 Put / CUSIP: / Symbol: RCL 08/07/20 P 49.000							
08/05/20	10.000	1,283.29	Various	1,556.67	...	-273.38	Total of 2 transactions
ROYAL CARIBBEAN GROUP RCL Aug 14 2020 45.0 Put / CUSIP: / Symbol: RCL 08/14/20 P 45.000							
08/03/20	4.000	737.30	08/03/20	914.67	...	-177.37	Option sale
ROKU INC ROKU Sep 04 2020 165.0 Call / CUSIP: / Symbol: ROKU 09/04/20 C 165.000							
08/26/20	2.000	1,158.64	08/26/20	861.33	...	297.31	Option sale
ROKU INC ROKU Sep 11 2020 175.0 Call / CUSIP: / Symbol: ROKU 09/11/20 C 175.000							
09/03/20	3.000	1,077.97	09/03/20	1,502.00	...	-424.03	Option sale
ROKU INC ROKU Aug 07 2020 165.0 Put / CUSIP: / Symbol: ROKU 08/07/20 P 165.000							
08/05/20	2.000	1,688.62	Various	1,681.33	...	7.29	Total of 2 transactions
ROKU INC ROKU Aug 28 2020 150.0 Put / CUSIP: / Symbol: ROKU 08/28/20 P 150.000							
08/27/20	2.000	117.34	08/26/20	0.00	...	117.34	Short sale closed- option
ROKU INC ROKU Aug 28 2020 155.0 Put / CUSIP: / Symbol: ROKU 08/28/20 P 155.000							
08/28/20	3.000	124.98	08/27/20	0.00	...	124.98	Short sale closed- option
SUNRUN INC RUN Feb 19 2021 65.0 Call / CUSIP: / Symbol: RUN 02/19/21 C 65.000							
12/03/20	2.000	998.65	12/03/20	1,181.33	182.68 W	0.00	Option sale
12/08/20	3.000	1,653.96	12/04/20	1,594.67	...	59.29	Option sale
	<b>Security total:</b>	<b>2,652.61</b>		<b>2,776.00</b>	<b>182.68 W</b>	<b>59.29</b>	
SUNRUN INC RUN Feb 19 2021 70.0 Call / CUSIP: / Symbol: RUN 02/19/21 C 70.000							
12/18/20	5.000	2,496.61	12/16/20	2,403.32	...	93.29	Option sale
SEA LIMITED SE Aug 21 2020 130.0 Call / CUSIP: / Symbol: SE 08/21/20 C 130.000							
08/12/20	3.000	2,322.94	08/11/20	1,697.00	...	625.94	Option sale
SEA LIMITED SE Oct 16 2020 160.0 Call / CUSIP: / Symbol: SE 10/16/20 C 160.000							
09/11/20	2.000	658.66	Various	2,311.34	...	-1,652.68	Option sale
SEA LIMITED SE Nov 20 2020 155.0 Call / CUSIP: / Symbol: SE 11/20/20 C 155.000							
09/22/20	1.000	1,439.30	09/08/20	1,430.67	...	8.63	Option sale

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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SEA LIMITED SE May 21 2021 180.0 Call / CUSIP: / Symbol: SE 05/21/21 C 180.000							
11/11/20	2.000	4,398.57	11/10/20	4,201.33	...	197.24	Option sale
SHOPIFY INC SHOP Oct 02 2020 950.0 Call / CUSIP: / Symbol: SHOP 10/02/20 C 950.000							
09/25/20	1.000	1,939.30	09/25/20	1,900.66	...	38.64	Option sale
SHOPIFY INC SHOP Aug 07 2020 1090.0 Call / CUSIP: / Symbol: SHOP 08/07/20 C 1090.000							
08/05/20	1.000	1,799.29	08/05/20	1,570.67	...	228.62	Option sale
SHOPIFY INC SHOP Oct 09 2020 1050.0 Call / CUSIP: / Symbol: SHOP 10/09/20 C 1050.000							
10/05/20	1.000	1,639.30	09/30/20	2,602.66	...	-963.36	Option sale
SHOPIFY INC SHOP Oct 09 2020 1100.0 Call / CUSIP: / Symbol: SHOP 10/09/20 C 1100.000							
10/06/20	1.000	614.66	10/05/20	0.00	...	614.66	Short sale closed- option
SNAP INC SNAP Sep 18 2020 23.0 Call / CUSIP: / Symbol: SNAP 09/18/20 C 23.000							
08/10/20	15.000	1,459.94	08/07/20	1,995.00	...	-535.06	Option sale
SPOTIFY TECHNOLOGY S.A. SPOT Aug 21 2020 260.0 Call / CUSIP: / Symbol: SPOT 08/21/20 C 260.000							
08/06/20	2.000	1,538.64	08/06/20	1,381.33	...	157.31	Option sale
SPDR S&P500 ETF TRUST SPY Mar 31 2020 281.0 Call / CUSIP: / Symbol: SPY 03/31/20 C 281.000							
03/09/20	1.000	1,399.30	03/09/20	1,340.67	...	58.63	Option sale
SPDR S&P500 ETF TRUST SPY Oct 16 2020 287.0 Call / CUSIP: / Symbol: SPY 10/16/20 C 287.000							
05/18/20	2.000	4,538.57	05/12/20	3,925.33	...	613.24	Option sale
SPDR S&P500 ETF TRUST SPY Mar 20 2020 240.0 Put / CUSIP: / Symbol: SPY 03/20/20 P 240.000							
03/20/20	3.000	1,077.97	03/20/20	785.00	...	292.97	Option sale
SPDR S&P500 ETF TRUST SPY Mar 31 2020 293.0 Put / CUSIP: / Symbol: SPY 03/31/20 P 293.000							
03/02/20	1.000	547.32	02/28/20	1,310.67	...	-763.35	Option sale
SPDR S&P500 ETF TRUST SPY Apr 20 2020 220.0 Put / CUSIP: / Symbol: SPY 04/20/20 P 220.000							
03/23/20	3.000	4,167.90	03/23/20	3,541.00	...	626.90	Option sale
SPDR S&P500 ETF TRUST SPY Apr 24 2020 240.0 Put / CUSIP: / Symbol: SPY 04/24/20 P 240.000							
04/01/20	1.000	1,145.30	04/01/20	970.67	...	174.63	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SPDR S&P500 ETF TRUST SPY Apr 29 2020 220.0 Put / CUSIP: / Symbol: SPY 04/29/20 P 220.000							
03/27/20	3.000	2,367.94	Various	2,162.01	...	205.93	Option sale
SPDR S&P500 ETF TRUST SPY May 01 2020 240.0 Put / CUSIP: / Symbol: SPY 05/01/20 P 240.000							
04/03/20	2.000	2,068.62	04/02/20	1,891.33	...	177.29	Option sale
SPDR S&P500 ETF TRUST SPY May 01 2020 254.0 Put / CUSIP: / Symbol: SPY 05/01/20 P 254.000							
03/31/20	3.000	3,897.90	03/30/20	4,246.01	...	-348.11	Option sale
SPDR S&P500 ETF TRUST SPY May 15 2020 253.0 Put / CUSIP: / Symbol: SPY 05/15/20 P 253.000							
04/15/20	2.000	988.65	Various	1,670.34	472.34 W	-209.35	Option sale
05/04/20	1.000	114.33	04/13/20	1,073.01	...	-958.68	Option sale
	<b>Security total:</b>	<b>1,102.98</b>		<b>2,743.35</b>	<b>472.34 W</b>	<b>-1,168.03</b>	
SPDR S&P500 ETF TRUST SPY Aug 07 2020 333.0 Put / CUSIP: / Symbol: SPY 08/07/20 P 333.000							
08/06/20	4.000	377.31	08/06/20	350.66	...	26.65	Option sale
08/07/20	1.000	67.33	08/06/20	87.67	...	-20.34	Option sale
	<b>Security total:</b>	<b>444.64</b>		<b>438.33</b>	...	<b>6.31</b>	
SPDR S&P500 ETF TRUST SPY Aug 21 2020 325.0 Put / CUSIP: / Symbol: SPY 08/21/20 P 325.000							
07/30/20	3.000	2,517.93	07/29/20	1,769.00	...	748.93	Option sale
SPDR S&P500 ETF TRUST SPY Aug 21 2020 338.0 Put / CUSIP: / Symbol: SPY 08/21/20 P 338.000							
08/20/20	3.000	321.98	08/20/20	278.00	...	43.98	Option sale
SPDR S&P500 ETF TRUST SPY Aug 28 2020 335.0 Put / CUSIP: / Symbol: SPY 08/28/20 P 335.000							
08/18/20	1.000	212.33	08/18/20	211.67	...	0.66	Option sale
08/20/20	1.000	263.32	08/18/20	211.66	...	51.66	Option sale
	<b>Security total:</b>	<b>475.65</b>		<b>423.33</b>	...	<b>52.32</b>	
SPDR S&P500 ETF TRUST SPY Sep 11 2020 331.0 Put / CUSIP: / Symbol: SPY 09/11/20 P 331.000							
09/11/20	2.000	-78.67	Various	0.00	...	-78.67	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Sep 11 2020 335.0 Put / CUSIP: / Symbol: SPY 09/11/20 P 335.000							
09/10/20	2.000	481.65	Various	343.33	...	138.32	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Sep 11 2020 341.0 Put / CUSIP: / Symbol: SPY 09/11/20 P 341.000							
09/03/20	1.000	275.32	08/26/20	265.67	...	9.65	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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SQUARE INC SQ Aug 07 2020 140.0 Call / CUSIP: / Symbol: SQ 08/07/20 C 140.000							
08/04/20	1.000	419.32	08/04/20	515.67	96.35 W	0.00	Option sale
08/05/20	1.000	1,499.30	08/04/20	517.02	...	982.28	Option sale
	<b>Security total:</b>	<b>1,918.62</b>		<b>1,032.69</b>	<b>96.35 W</b>	<b>982.28</b>	
SQUARE INC SQ Sep 04 2020 160.0 Call / CUSIP: / Symbol: SQ 09/04/20 C 160.000							
09/01/20	4.000	2,357.27	Various	1,592.67	...	764.60	Option sale
SQUARE INC SQ Sep 18 2020 157.5 Call / CUSIP: / Symbol: SQ 09/18/20 C 157.500							
09/01/20	2.000	2,968.60	08/27/20	1,761.33	...	1,207.27	Option sale
SQUARE INC SQ Sep 18 2020 170.0 Call / CUSIP: / Symbol: SQ 09/18/20 C 170.000							
09/02/20	2.000	1,247.64	Various	1,181.33	...	66.31	Total of 2 transactions
SQUARE INC SQ Oct 02 2020 160.0 Call / CUSIP: / Symbol: SQ 10/02/20 C 160.000							
09/24/20	1.000	256.33	09/24/20	430.67	...	-174.34	Option sale
09/28/20	1.000	699.32	09/24/20	430.66	...	268.66	Option sale
	<b>Security total:</b>	<b>955.65</b>		<b>861.33</b>	...	<b>94.32</b>	
SQUARE INC SQ Oct 09 2020 182.5 Call / CUSIP: / Symbol: SQ 10/09/20 C 182.500							
10/08/20	10.000	2,343.29	10/08/20	2,306.64	...	36.65	Option sale
SQUARE INC SQ Oct 16 2020 180.0 Call / CUSIP: / Symbol: SQ 10/16/20 C 180.000							
09/03/20	1.000	619.32	09/03/20	581.32	...	38.00	Option sale
09/11/20	1.000	67.33	09/03/20	575.02	...	-507.69	Option sale
	<b>Security total:</b>	<b>686.65</b>		<b>1,156.34</b>	...	<b>-469.69</b>	
SQUARE INC SQ Oct 30 2020 190.0 Call / CUSIP: / Symbol: SQ 10/30/20 C 190.000							
10/20/20	10.000	4,993.23	10/20/20	5,306.64	...	-313.41	Option sale
SQUARE INC SQ Nov 20 2020 160.0 Call / CUSIP: / Symbol: SQ 11/20/20 C 160.000							
09/18/20	1.000	1,139.30	09/18/20	1,135.67	...	3.63	Option sale
SQUARE INC SQ Nov 20 2020 177.5 Call / CUSIP: / Symbol: SQ 11/20/20 C 177.500							
11/16/20	10.000	3,408.26	Various	3,370.01	...	38.25	Total of 2 transactions
11/16/20	10.000	3,193.27	11/16/20	3,506.64	313.37 W	0.00	Option sale
	<b>Security total:</b>	<b>6,601.53</b>		<b>6,876.65</b>	<b>313.37 W</b>	<b>38.25</b>	

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TD Ameritrade Clearing, Inc.

Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE INC SQ Dec 04 2020 202.5 Call / CUSIP: / Symbol: SQ 12/04/20 C 202.500							
11/24/20	34.000	21,851.87	Various	19,989.29	...	1,862.58	Total of 8 transactions
11/24/20	5.000	2,836.61	11/24/20	2,928.32	91.71 W	0.00	Option sale
	<b>Security total:</b>	<b>24,688.48</b>		<b>22,917.61</b>	<b>91.71 W</b>	<b>1,862.58</b>	
SQUARE INC SQ Dec 04 2020 207.5 Call / CUSIP: / Symbol: SQ 12/04/20 C 207.500							
12/03/20	10.000	2,018.30	Various	1,809.99	...	208.31	Total of 2 transactions
12/03/20	10.000	2,043.29	12/03/20	2,106.64	63.35 W	0.00	Option sale
	<b>Security total:</b>	<b>4,061.59</b>		<b>3,916.63</b>	<b>63.35 W</b>	<b>208.31</b>	
SQUARE INC SQ Dec 04 2020 210.0 Call / CUSIP: / Symbol: SQ 12/04/20 C 210.000							
12/01/20	20.000	7,036.52	Various	6,246.66	...	789.86	Total of 3 transactions
12/01/20	10.000	3,423.26	12/01/20	3,606.64	183.38 W	0.00	Option sale
	<b>Security total:</b>	<b>10,459.78</b>		<b>9,853.30</b>	<b>183.38 W</b>	<b>789.86</b>	
SQUARE INC SQ Dec 11 2020 210.0 Call / CUSIP: / Symbol: SQ 12/11/20 C 210.000							
12/07/20	10.000	4,893.23	12/07/20	4,706.64	...	186.59	Option sale
12/09/20	10.000	2,433.29	12/09/20	3,190.00	...	-756.71	Option sale
12/09/20	10.000	2,673.28	12/09/20	3,106.64	433.36 W	0.00	Option sale
	<b>Security total:</b>	<b>9,999.80</b>		<b>11,003.28</b>	<b>433.36 W</b>	<b>-570.12</b>	
SQUARE INC SQ Dec 11 2020 215.0 Call / CUSIP: / Symbol: SQ 12/11/20 C 215.000							
12/09/20	10.000	2,593.28	12/09/20	2,506.64	...	86.64	Option sale
SQUARE INC SQ Dec 18 2020 195.0 Call / CUSIP: / Symbol: SQ 12/18/20 C 195.000							
11/19/20	2.000	1,988.64	Various	1,711.33	...	277.31	Total of 2 transactions
SQUARE INC SQ Dec 18 2020 210.0 Call / CUSIP: / Symbol: SQ 12/18/20 C 210.000							
12/07/20	15.000	12,369.73	Various	11,684.96	...	684.77	Total of 3 transactions
SQUARE INC SQ Dec 18 2020 217.5 Call / CUSIP: / Symbol: SQ 12/18/20 C 217.500							
12/14/20	10.000	4,835.24	Various	4,306.64	...	528.60	Total of 3 transactions
SQUARE INC SQ Dec 18 2020 230.0 Call / CUSIP: / Symbol: SQ 12/18/20 C 230.000							
12/17/20	20.000	4,486.58	12/17/20	5,933.28	...	-1,446.70	Option sale

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQUARE INC SQ Dec 24 2020	220.0	Call / CUSIP: / Symbol: SQ 12/24/20	C 220.000				
11/30/20	10.000	7,023.18	11/30/20	7,006.64	...	16.54	Option sale
SQUARE INC SQ Dec 31 2020	220.0	Call / CUSIP: / Symbol: SQ 12/31/20	C 220.000				
12/15/20	15.000	13,359.72	Various	11,959.96	...	1,399.76	Total of 4 transactions
SQUARE INC SQ Dec 31 2020	245.0	Call / CUSIP: / Symbol: SQ 12/31/20	C 245.000				
12/22/20	10.000	5,423.22	12/22/20	6,006.64	...	-583.42	Option sale
SQUARE INC SQ Oct 09 2020	165.0	Put / CUSIP: / Symbol: SQ 10/09/20	P 165.000				
09/29/20	10.000	6,418.20	Various	6,081.64	...	336.56	Total of 2 transactions
10/01/20	25.000	11,433.09	Various	10,762.30	...	670.79	Total of 5 transactions
10/01/20	5.000	2,346.62	10/01/20	2,478.32	131.70 W	0.00	Option sale
<b>Security total:</b>		<b>20,197.91</b>		<b>19,322.26</b>	<b>131.70 W</b>	<b>1,007.35</b>	
SQUARE INC SQ Oct 09 2020	170.0	Put / CUSIP: / Symbol: SQ 10/09/20	P 170.000				
10/02/20	10.000	5,043.23	10/02/20	4,956.64	...	86.59	Option sale
SQUARE INC SQ Oct 09 2020	182.5	Put / CUSIP: / Symbol: SQ 10/09/20	P 182.500				
10/08/20	10.000	1,878.30	Various	1,706.64	...	171.66	Total of 2 transactions
SQUARE INC SQ Oct 09 2020	185.0	Put / CUSIP: / Symbol: SQ 10/09/20	P 185.000				
10/09/20	30.000	2,679.96	Various	3,029.92	...	-349.96	Total of 2 transactions
SQUARE INC SQ Oct 09 2020	187.5	Put / CUSIP: / Symbol: SQ 10/09/20	P 187.500				
10/09/20	2.000	58.67	10/09/20	80.65	...	-21.98	Option sale
10/09/20	2.000	58.67	10/09/20	75.33	8.33 W	-8.33	Option sale
<b>Security total:</b>		<b>117.34</b>		<b>155.98</b>	<b>8.33 W</b>	<b>-30.31</b>	
SQUARE INC SQ Oct 16 2020	180.0	Put / CUSIP: / Symbol: SQ 10/16/20	P 180.000				
10/08/20	5.000	1,921.63	10/08/20	1,853.32	...	68.31	Option sale
SQUARE INC SQ Oct 16 2020	187.5	Put / CUSIP: / Symbol: SQ 10/16/20	P 187.500				
10/15/20	10.000	1,818.30	Various	2,156.64	...	-338.34	Total of 2 transactions

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TD Ameritrade Clearing, Inc.

Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE INC SQ Oct 23 2020 182.5 Put / CUSIP: / Symbol: SQ 10/23/20 P 182.500							
10/15/20	10.000	4,893.23	10/15/20	4,920.04	...	-26.81	Option sale
10/15/20	10.000	4,543.24	10/15/20	4,756.64	213.40 W	0.00	Option sale
	<b>Security total:</b>	<b>9,436.47</b>		<b>9,676.68</b>	<b>213.40 W</b>	<b>-26.81</b>	
SQUARE INC SQ Oct 23 2020 185.0 Put / CUSIP: / Symbol: SQ 10/23/20 P 185.000							
10/15/20	15.000	8,149.83	Various	8,123.37	...	26.46	Total of 2 transactions
10/15/20	10.000	5,093.23	10/15/20	5,406.64	313.41 W	0.00	Option sale
	<b>Security total:</b>	<b>13,243.06</b>		<b>13,530.01</b>	<b>313.41 W</b>	<b>26.46</b>	
SQUARE INC SQ Oct 23 2020 187.5 Put / CUSIP: / Symbol: SQ 10/23/20 P 187.500							
10/13/20	30.000	16,029.67	Various	15,569.92	...	459.75	Total of 3 transactions
10/16/20	10.000	5,093.23	10/16/20	5,006.64	...	86.59	Option sale
	<b>Security total:</b>	<b>21,122.90</b>		<b>20,576.56</b>	<b>...</b>	<b>546.34</b>	
SQUARE INC SQ Oct 30 2020 185.0 Put / CUSIP: / Symbol: SQ 10/30/20 P 185.000							
10/19/20	5.000	2,671.61	10/19/20	2,710.02	...	-38.41	Option sale
10/19/20	15.000	7,504.84	Various	8,266.67	761.83 W	0.00	Total of 2 transactions
10/20/20	5.000	2,671.61	10/20/20	2,626.74	...	44.87	Option sale
	<b>Security total:</b>	<b>12,848.06</b>		<b>13,603.43</b>	<b>761.83 W</b>	<b>6.46</b>	
SQUARE INC SQ Nov 13 2020 180.0 Put / CUSIP: / Symbol: SQ 11/13/20 P 180.000							
11/11/20	8.000	2,023.62	Various	2,175.99	...	-152.37	Total of 2 transactions
11/11/20	10.000	2,163.29	11/11/20	2,726.64	563.35 W	0.00	Option sale
11/12/20	2.000	743.66	Various	544.00	...	199.66	Total of 2 transactions
	<b>Security total:</b>	<b>4,930.57</b>		<b>5,446.63</b>	<b>563.35 W</b>	<b>47.29</b>	
SQUARE INC SQ Nov 13 2020 195.0 Put / CUSIP: / Symbol: SQ 11/13/20 P 195.000							
11/06/20	15.000	6,314.87	Various	9,516.66	3,201.79 W	0.00	Total of 2 transactions
11/09/20	10.000	10,508.11	Various	6,901.73	...	3,606.38	Total of 2 transactions
	<b>Security total:</b>	<b>16,822.98</b>		<b>16,418.39</b>	<b>3,201.79 W</b>	<b>3,606.38</b>	
SQUARE INC SQ Nov 20 2020 177.5 Put / CUSIP: / Symbol: SQ 11/20/20 P 177.500							
11/16/20	10.000	3,993.25	11/16/20	3,776.64	...	216.61	Option sale

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE INC SQ Nov 20 2020 180.0 Put / CUSIP: / Symbol: SQ 11/20/20 P 180.000							
11/13/20	10.000	6,358.22	Various	5,406.64	...	951.58	Total of 4 transactions
11/17/20	15.000	2,744.96	Various	3,921.60	...	-1,176.64	Total of 3 transactions
11/17/20	20.000	2,211.64	Various	5,356.60	3,144.96 W	0.00	Total of 3 transactions
<b>Security total:</b>		<b>11,314.82</b>		<b>14,684.84</b>	<b>3,144.96 W</b>	<b>-225.06</b>	
SQUARE INC SQ Nov 27 2020 205.0 Put / CUSIP: / Symbol: SQ 11/27/20 P 205.000							
11/23/20	15.000	3,656.93	Various	5,101.64	931.35 W	-513.36	Total of 2 transactions
11/24/20	5.000	2,916.61	Various	1,862.99	...	1,053.62	Total of 2 transactions
<b>Security total:</b>		<b>6,573.54</b>		<b>6,964.63</b>	<b>931.35 W</b>	<b>540.26</b>	
SQUARE INC SQ Nov 27 2020 210.0 Put / CUSIP: / Symbol: SQ 11/27/20 P 210.000							
11/25/20	20.000	2,186.63	11/25/20	6,419.94	...	-4,233.31	Option sale
11/25/20	20.000	2,846.62	11/25/20	4,833.28	1,986.66 W	0.00	Option sale
<b>Security total:</b>		<b>5,033.25</b>		<b>11,253.22</b>	<b>1,986.66 W</b>	<b>-4,233.31</b>	
SQUARE INC SQ Dec 04 2020 202.5 Put / CUSIP: / Symbol: SQ 12/04/20 P 202.500							
11/24/20	10.000	6,293.20	11/24/20	6,506.64	...	-213.44	Option sale
SQUARE INC SQ Dec 04 2020 210.0 Put / CUSIP: / Symbol: SQ 12/04/20 P 210.000							
12/03/20	10.000	5,293.22	12/03/20	4,506.64	...	786.58	Option sale
SQUARE INC SQ Dec 11 2020 200.0 Put / CUSIP: / Symbol: SQ 12/11/20 P 200.000							
12/08/20	4.000	-9.34	12/07/20	0.00	...	-9.34	Short sale closed- option
SQUARE INC SQ Dec 11 2020 210.0 Put / CUSIP: / Symbol: SQ 12/11/20 P 210.000							
12/07/20	10.000	4,293.25	12/07/20	4,256.64	...	36.61	Option sale
SQUARE INC SQ Dec 18 2020 202.5 Put / CUSIP: / Symbol: SQ 12/18/20 P 202.500							
12/09/20	2.000	172.33	12/08/20	0.00	...	172.33	Short sale closed- option
SQUARE INC SQ Dec 18 2020 212.5 Put / CUSIP: / Symbol: SQ 12/18/20 P 212.500							
12/10/20	10.000	5,293.22	12/10/20	6,210.06	...	-916.84	Option sale
12/10/20	10.000	5,253.22	12/10/20	5,906.64	653.42 W	0.00	Option sale
<b>Security total:</b>		<b>10,546.44</b>		<b>12,116.70</b>	<b>653.42 W</b>	<b>-916.84</b>	

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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SQUARE INC SQ Dec 24 2020	235.0	Put / CUSIP: / Symbol: SQ 12/24/20 P 235.000					
12/18/20	10.000	5,243.23	12/18/20	5,386.64	...	-143.41	Option sale
SQUARE INC SQ Jan 08 2021	215.0	Call / CUSIP: / Symbol: SQ 01/08/21 C 215.000					
12/29/20	5.000	2,946.60	12/29/20	3,303.32	...	-356.72	Option sale
SQUARE INC SQ Jan 08 2021	220.0	Call / CUSIP: / Symbol: SQ 01/08/21 C 220.000					
12/03/20	3.000	2,847.94	12/01/20	2,701.99	...	145.95	Option sale
SQUARE INC SQ Jan 08 2021	230.0	Call / CUSIP: / Symbol: SQ 01/08/21 C 230.000					
12/28/20	3.000	1,867.97	Various	1,771.99	...	95.98	Total of 2 transactions
SQUARE INC SQ Jan 15 2021	220.0	Call / CUSIP: / Symbol: SQ 01/15/21 C 220.000					
12/04/20	3.000	3,373.94	Various	3,151.99	...	221.95	Total of 2 transactions
SQUARE INC SQ Mar 19 2021	240.0	Call / CUSIP: / Symbol: SQ 03/19/21 C 240.000					
12/22/20	5.000	13,496.37	12/21/20	11,628.32	...	1,868.05	Option sale
SQUARE INC SQ Jun 18 2021	260.0	Call / CUSIP: / Symbol: SQ 06/18/21 C 260.000					
12/28/20	3.000	7,272.84	12/23/20	7,846.99	...	-574.15	Option sale
SQUARE INC SQ Jan 08 2021	220.0	Put / CUSIP: / Symbol: SQ 01/08/21 P 220.000					
12/29/20	10.000	7,013.19	Various	6,006.64	...	1,006.55	Total of 2 transactions
SQUARE INC SQ Jan 15 2021	217.5	Put / CUSIP: / Symbol: SQ 01/15/21 P 217.500					
12/30/20	10.000	8,373.16	12/30/20	8,606.64	...	-233.48	Option sale
SQUARE INC SQ Jan 20 2023	280.0	Call / CUSIP: / Symbol: SQ 01/20/23 C 280.000					
11/06/20	1.000	3,679.26	10/21/20	2,985.66	...	693.60	Option sale
STMICROELECTRONICS STM Oct 16 2020	30.0	Call / CUSIP: / Symbol: STM 10/16/20 C 30.000					
08/21/20	3.000	369.98	08/19/20	578.00	208.02 W	0.00	Option sale
09/01/20	3.000	657.98	08/27/20	819.02	...	-161.04	Option sale
	<b>Security total:</b>	<b>1,027.96</b>		<b>1,397.02</b>	<b>208.02 W</b>	<b>-161.04</b>	
TELADOC HEALTH INC TDOC Jan 15 2021	240.0	Call / CUSIP: / Symbol: TDOC 01/15/21 C 240.000					
09/01/20	1.000	2,899.27	08/26/20	2,250.67	...	648.60	Option sale

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TELADOC HEALTH INC TDOC Jan 15 2021 270.0 Call / CUSIP: / Symbol: TDOC 01/15/21 C 270.000							
08/18/20	2.000	3,758.59	08/07/20	3,001.33	...	757.26	Option sale
ATLASSIAN CORPORATION PLC TEAM Aug 21 2020 185.0 Call / CUSIP: / Symbol: TEAM 08/21/20 C 185.000							
07/20/20	5.000	4,921.55	07/16/20	3,303.33	...	1,618.22	Option sale
ATLASSIAN CORPORATION PLC TEAM Sep 18 2020 185.0 Call / CUSIP: / Symbol: TEAM 09/18/20 C 185.000							
08/10/20	3.000	957.97	08/07/20	1,397.00	...	-439.03	Option sale
ATLASSIAN CORPORATION PLC TEAM Oct 16 2020 205.0 Call / CUSIP: / Symbol: TEAM 10/16/20 C 205.000							
10/16/20	2.000	158.67	10/16/20	91.33	...	67.34	Option sale
ATLASSIAN CORPORATION PLC TEAM Aug 21 2020 185.0 Put / CUSIP: / Symbol: TEAM 08/21/20 P 185.000							
07/23/20	2.000	1,798.63	07/20/20	2,101.33	...	-302.70	Option sale
07/24/20	3.000	3,927.90	07/20/20	3,152.00	...	775.90	Option sale
	<b>Security total:</b>	<b>5,726.53</b>		<b>5,253.33</b>	...	<b>473.20</b>	
ATLASSIAN CORPORATION PLC TEAM Oct 23 2020 190.0 Put / CUSIP: / Symbol: TEAM 10/23/20 P 190.000							
10/07/20	10.000	5,793.21	10/07/20	5,556.64	...	236.57	Option sale
10/12/20	10.000	3,893.26	10/09/20	3,456.64	...	436.62	Option sale
	<b>Security total:</b>	<b>9,686.47</b>		<b>9,013.28</b>	...	<b>673.19</b>	
TESLA INC TSLA Sep 04 2020 500.0 Call / CUSIP: / Symbol: TSLA 09/04/20 C 500.000							
08/31/20	1.000	1,739.29	08/31/20	1,460.67	...	278.62	Option sale
TESLA INC TSLA Sep 04 2020 400.0 Call / CUSIP: / Symbol: TSLA 09/04/20 C 400.000							
09/04/20	1.000	302.32	09/04/20	278.67	...	23.65	Option sale
TESLA INC TSLA Sep 11 2020 380.0 Call / CUSIP: / Symbol: TSLA 09/11/20 C 380.000							
09/11/20	2.000	68.67	Various	890.34	...	-821.67	Option sale
TESLA INC TSLA Sep 18 2020 370.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 370.000							
09/09/20	2.000	4,678.56	Various	4,091.34	...	587.22	Total of 2 transactions
09/10/20	1.000	3,099.26	09/09/20	2,450.67	...	648.59	Option sale
	<b>Security total:</b>	<b>7,777.82</b>		<b>6,542.01</b>	...	<b>1,235.81</b>	
TESLA INC TSLA Sep 18 2020 380.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 380.000							
09/11/20	1.000	1,359.30	09/11/20	1,610.67	...	-251.37	Option sale

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TD Ameritrade Clearing, Inc.

Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA INC TSLA Sep 18 2020 400.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 400.000 09/14/20	1.000	906.31	09/10/20	1,695.67	...	-789.36	Option sale
TESLA INC TSLA Sep 18 2020 420.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 420.000 09/15/20	1.000	586.64	09/14/20	0.00	...	586.64	Short sale closed- option
TESLA INC TSLA Sep 18 2020 430.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 430.000 09/14/20	2.000	1,258.64	09/14/20	1,731.34	...	-472.70	Option sale
TESLA INC TSLA Sep 18 2020 450.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 450.000 08/31/20	5.000	21,971.17	08/27/20	16,587.67	...	5,383.50	Option sale
TESLA INC TSLA Sep 18 2020 460.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 460.000 09/01/20	5.000	-7,975.91	08/31/20	0.00	...	-7,975.91	Short sale closed- option
TESLA INC TSLA Sep 25 2020 470.0 Call / CUSIP: / Symbol: TSLA 09/25/20 C 470.000 09/25/20	1.000	0.00	09/21/20	2,665.67	...	-2,665.67	Option expiration
TESLA INC TSLA Sep 25 2020 500.0 Call / CUSIP: / Symbol: TSLA 09/25/20 C 500.000 09/25/20	1.000	1,724.29	09/25/20	0.00	...	1,724.29	Option expiration short position
TESLA INC TSLA Oct 02 2020 465.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 465.000 10/01/20	2.000	813.66	Various	731.32	...	82.34	Total of 2 transactions
TESLA INC TSLA Oct 09 2020 426.0 Call / CUSIP: / Symbol: TSLA 10/09/20 C 426.000 10/07/20	1.000	584.33	10/05/20	1,170.66	...	-586.33	Option sale
TESLA INC TSLA Nov 20 2020 480.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 480.000 11/09/20	2.000	938.65	11/09/20	1,091.33	...	-152.68	Option sale
TESLA INC TSLA Nov 27 2020 445.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 445.000 11/10/20	1.000	750.32	11/09/20	1,570.66	...	-820.34	Option sale
TESLA INC TSLA Nov 27 2020 460.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 460.000 11/12/20	1.000	579.66	11/10/20	0.00	...	579.66	Short sale closed- option

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TESLA INC TSLA Nov 27 2020 465.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 465.000							
11/17/20	2.000	2,038.64	Various	1,971.33	...	67.31	Total of 2 transactions
11/18/20	1.000	949.32	11/17/20	832.00	...	117.32	Option sale
11/18/20	2.000	1,858.63	11/17/20	1,886.32	31.34 W	3.65	Option sale
	<b>Security total:</b>	<b>4,846.59</b>		<b>4,689.65</b>	<b>31.34 W</b>	<b>188.28</b>	
TESLA INC TSLA Dec 04 2020 485.0 Call / CUSIP: / Symbol: TSLA 12/04/20 C 485.000							
11/18/20	3.000	8,197.83	Various	7,931.99	...	265.84	Total of 2 transactions
TESLA INC TSLA Dec 04 2020 500.0 Call / CUSIP: / Symbol: TSLA 12/04/20 C 500.000							
11/20/20	1.000	2,199.29	11/19/20	2,830.66	...	-631.37	Option sale
TESLA INC TSLA Dec 11 2020 630.0 Call / CUSIP: / Symbol: TSLA 12/11/20 C 630.000							
12/07/20	1.000	2,249.29	12/07/20	2,100.66	...	148.63	Option sale
TESLA INC TSLA Dec 11 2020 650.0 Call / CUSIP: / Symbol: TSLA 12/11/20 C 650.000							
12/07/20	1.000	1,379.31	12/07/20	1,205.66	...	173.65	Option sale
TESLA INC TSLA Dec 18 2020 600.0 Call / CUSIP: / Symbol: TSLA 12/18/20 C 600.000							
09/15/20	1.000	5,999.20	08/31/20	6,070.67	71.47 W	0.00	Option sale
09/29/20	1.000	2,289.29	09/08/20	2,282.14	...	7.15	Option sale
	<b>Security total:</b>	<b>8,288.49</b>		<b>8,352.81</b>	<b>71.47 W</b>	<b>7.15</b>	
TESLA INC TSLA Dec 18 2020 460.0 Call / CUSIP: / Symbol: TSLA 12/18/20 C 460.000							
10/16/20	1.000	4,999.23	10/16/20	4,860.66	...	138.57	Option sale
11/09/20	1.000	3,089.27	10/16/20	4,920.66	1,831.39 W	0.00	Option sale
11/17/20	1.000	3,099.27	10/27/20	4,592.05	...	-1,492.78	Option sale
	<b>Security total:</b>	<b>11,187.77</b>		<b>14,373.37</b>	<b>1,831.39 W</b>	<b>-1,354.21</b>	
TESLA INC TSLA Dec 24 2020 650.0 Call / CUSIP: / Symbol: TSLA 12/24/20 C 650.000							
12/23/20	3.000	1,547.98	Various	1,336.99	...	210.99	Total of 2 transactions
TESLA INC TSLA Dec 24 2020 700.0 Call / CUSIP: / Symbol: TSLA 12/24/20 C 700.000							
12/18/20	1.000	3,199.27	12/18/20	3,095.66	...	103.61	Option sale

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2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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TESLA INC TSLA Dec 31 2020 600.0 Call / CUSIP: / Symbol: TSLA 12/31/20 C 600.000							
11/25/20	1.000	3,749.26	11/24/20	3,940.67	...	-191.41	Option sale
11/27/20	1.000	5,632.22	11/24/20	3,940.66	...	1,691.56	Option sale
	<b>Security total:</b>	<b>9,381.48</b>		<b>7,881.33</b>	...	<b>1,500.15</b>	
TESLA INC TSLA Sep 11 2020 290.0 Put / CUSIP: / Symbol: TSLA 09/11/20 P 290.000							
09/10/20	1.000	172.65	09/09/20	0.00	...	172.65	Short sale closed- option
TESLA INC TSLA Sep 25 2020 355.0 Put / CUSIP: / Symbol: TSLA 09/25/20 P 355.000							
09/24/20	3.000	417.99	09/24/20	1,531.99	...	-1,114.00	Option sale
TESLA INC TSLA Sep 25 2020 395.0 Put / CUSIP: / Symbol: TSLA 09/25/20 P 395.000							
09/23/20	2.000	4,298.57	09/15/20	3,280.34	...	1,018.23	Option sale
TESLA INC TSLA Sep 25 2020 405.0 Put / CUSIP: / Symbol: TSLA 09/25/20 P 405.000							
09/24/20	2.000	-1,785.76	09/23/20	0.00	...	-1,785.76	Short sale closed- option
TESLA INC TSLA Oct 02 2020 415.0 Put / CUSIP: / Symbol: TSLA 10/02/20 P 415.000							
10/02/20	2.000	152.67	10/02/20	267.33	...	-114.66	Option sale
TESLA INC TSLA Dec 18 2020 595.0 Put / CUSIP: / Symbol: TSLA 12/18/20 P 595.000							
12/03/20	1.000	3,954.25	12/03/20	3,945.66	...	8.59	Option sale
TESLA INC TSLA Jan 15 2021 620.0 Call / CUSIP: / Symbol: TSLA 01/15/21 C 620.000							
12/14/20	1.000	7,491.17	12/11/20	7,145.66	...	345.51	Option sale
TESLA INC TSLA Jan 15 2021 660.0 Call / CUSIP: / Symbol: TSLA 01/15/21 C 660.000							
12/17/20	1.000	4,509.24	12/16/20	4,230.66	...	278.58	Option sale
TESLA INC TSLA Jan 15 2021 680.0 Call / CUSIP: / Symbol: TSLA 01/15/21 C 680.000							
12/28/20	6.000	17,445.62	Various	17,223.99	...	221.63	Total of 3 transactions
12/29/20	1.000	2,869.28	12/28/20	2,845.67	...	23.61	Option sale
12/30/20	3.000	9,117.82	Various	9,031.98	...	85.84	Total of 3 transactions
	<b>Security total:</b>	<b>29,432.72</b>		<b>29,101.64</b>	...	<b>331.08</b>	

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Account 490653748

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA INC TSLA Jan 15 2021 700.0 Call / CUSIP: / Symbol: TSLA 01/15/21 C 700.000							
12/15/20	1.000	11.58	12/14/20	0.00	...	11.58	Short sale closed- option
12/18/20	8.000	34,393.91	Various	39,227.61	4,820.85 W	-12.85	Total of 2 transactions
12/21/20	2.000	6,448.53	12/18/20	10,796.04	...	-4,347.51	Option sale
12/30/20	1.000	2,649.28	12/30/20	3,007.51	...	-358.23	Option sale
<b>Security total:</b>		<b>43,503.30</b>		<b>53,031.16</b>	<b>4,820.85 W</b>	<b>-4,707.01</b>	
TESLA INC TSLA Mar 19 2021 550.0 Call / CUSIP: / Symbol: TSLA 03/19/21 C 550.000							
10/16/20	1.000	5,419.22	10/15/20	5,260.66	...	158.56	Option sale
TESLA INC TSLA Mar 19 2021 650.0 Call / CUSIP: / Symbol: TSLA 03/19/21 C 650.000							
11/30/20	1.000	8,599.15	11/27/20	7,980.66	...	618.49	Option sale
11/30/20	1.000	7,949.16	11/30/20	8,350.66	401.50 W	0.00	Option sale
12/01/20	1.000	8,099.16	12/01/20	7,833.67	...	265.49	Option sale
12/01/20	1.000	8,614.15	12/01/20	8,747.16	133.01 W	0.00	Option sale
<b>Security total:</b>		<b>33,261.62</b>		<b>32,912.15</b>	<b>534.51 W</b>	<b>883.98</b>	
TESLA INC TSLA Aug 07 2020 1540.0 Call / CUSIP: / Symbol: TSLA 08/07/20 C 1540.000							
08/07/20	1.000	276.32	08/06/20	430.67	...	-154.35	Option sale
TESLA INC TSLA Aug 14 2020 1600.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1600.000							
08/12/20	12.000	26,811.40	Various	25,113.01	...	1,698.39	Total of 3 transactions
TESLA INC TSLA Aug 14 2020 1650.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1650.000							
08/12/20	2.000	2,258.61	Various	3,132.71	874.10 W	0.00	Total of 2 transactions
08/13/20	1.000	2,439.28	08/12/20	1,568.40	...	870.88	Option sale
<b>Security total:</b>		<b>4,697.89</b>		<b>4,701.11</b>	<b>874.10 W</b>	<b>870.88</b>	
TESLA INC TSLA Aug 14 2020 1700.0 Call / CUSIP: / Symbol: TSLA 08/14/20 C 1700.000							
08/14/20	1.000	110.33	08/14/20	235.67	...	-125.34	Option sale
TESLA INC TSLA Aug 21 2020 1750.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 1750.000							
08/14/20	2.000	7,098.51	08/13/20	6,131.34	...	967.17	Option sale
TESLA INC TSLA Aug 21 2020 1850.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 1850.000							
08/17/20	4.000	15,096.98	Various	13,972.68	...	1,124.30	Total of 4 transactions

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2020 1099-B\* OMB No. 1545-0715

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01/14/2021

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TESLA INC TSLA Aug 21 2020 1900.0 Call / CUSIP: / Symbol: TSLA 08/21/20 C 1900.000							
08/17/20	2.000	7,438.50	Various	6,951.34	...	487.16	Total of 2 transactions
TESLA INC TSLA Aug 28 2020 2250.0 Call / CUSIP: / Symbol: TSLA 08/28/20 C 2250.000							
08/27/20	2.000	9,098.47	08/27/20	8,601.33	...	497.14	Option sale
08/27/20	3.000	11,697.74	Various	11,788.43	354.26 W	263.57	Total of 2 transactions
08/28/20	1.000	6,299.19	08/27/20	3,313.51	...	2,985.68	Option sale
	<b>Security total:</b>	<b>27,095.40</b>		<b>23,703.27</b>	<b>354.26 W</b>	<b>3,746.39</b>	
TESLA INC TSLA Aug 28 2020 2300.0 Call / CUSIP: / Symbol: TSLA 08/28/20 C 2300.000							
08/28/20	1.000	0.00	08/28/20	2,194.67	...	-2,194.67	Option expiration
TESLA INC TSLA Aug 28 2020 2320.0 Call / CUSIP: / Symbol: TSLA 08/28/20 C 2320.000							
08/28/20	1.000	1,593.29	08/28/20	0.00	...	1,593.29	Option expiration short position
TESLA INC TSLA Aug 28 2020 2350.0 Call / CUSIP: / Symbol: TSLA 08/28/20 C 2350.000							
08/28/20	2.000	918.65	08/28/20	2,352.70	...	-1,434.05	Option sale
08/28/20	1.000	899.31	08/28/20	1,560.67	661.36 W	0.00	Option sale
	<b>Security total:</b>	<b>1,817.96</b>		<b>3,913.37</b>	<b>661.36 W</b>	<b>-1,434.05</b>	
TESLA INC TSLA Sep 04 2020 2400.0 Call / CUSIP: / Symbol: TSLA 09/04/20 C 2400.000							
08/28/20	1.000	5,969.20	08/28/20	7,097.15	...	-1,127.95	Option sale
08/28/20	1.000	6,349.19	08/28/20	7,295.67	946.48 W	0.00	Option sale
	<b>Security total:</b>	<b>12,318.39</b>		<b>14,392.82</b>	<b>946.48 W</b>	<b>-1,127.95</b>	
TESLA INC TSLA Sep 18 2020 2250.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 2250.000							
08/27/20	1.000	16,053.98	08/26/20	12,873.67	...	3,180.31	Option sale
TESLA INC TSLA Sep 18 2020 2300.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 2300.000							
08/28/20	1.000	-2,883.59	08/27/20	0.00	2,883.59 W	0.00	Short sale closed- option
TESLA INC TSLA Aug 21 2020 2065.0 Put / CUSIP: / Symbol: TSLA 08/21/20 P 2065.000							
08/21/20	2.000	3,296.60	08/21/20	759.33	...	2,537.27	Option sale
TESLA INC TSLA Aug 21 2020 2070.0 Put / CUSIP: / Symbol: TSLA 08/21/20 P 2070.000							
08/24/20	2.000	-3,178.68	08/21/20	0.00	...	-3,178.68	Short sale closed- option

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
THE TRADE DESK INC TTD Dec 24 2020 920.0 Call / CUSIP: / Symbol: TTD 12/24/20 C 920.000							
12/16/20	3.000	11,087.77	Various	9,381.99	...	1,705.78	Total of 2 transactions
12/17/20	1.000	4,529.24	12/16/20	3,600.66	...	928.58	Option sale
	<b>Security total:</b>	<b>15,617.01</b>		<b>12,982.65</b>	...	<b>2,634.36</b>	
THE TRADE DESK INC TTD Jan 15 2021 950.0 Call / CUSIP: / Symbol: TTD 01/15/21 C 950.000							
12/14/20	2.000	8,608.49	Various	8,421.32	...	187.17	Total of 2 transactions
TWILIO INC TWLO Aug 28 2020 270.0 Call / CUSIP: / Symbol: TWLO 08/28/20 C 270.000							
08/26/20	1.000	349.32	08/26/20	322.67	...	26.65	Option sale
TWILIO INC TWLO Oct 16 2020 270.0 Call / CUSIP: / Symbol: TWLO 10/16/20 C 270.000							
08/18/20	1.000	1,349.30	08/07/20	1,925.67	576.37 W	0.00	Option sale
08/26/20	1.000	1,400.30	08/12/20	2,188.41	...	-788.11	Option sale
08/26/20	1.000	1,469.30	08/07/20	2,307.04	837.74 W	0.00	Option sale
	<b>Security total:</b>	<b>4,218.90</b>		<b>6,421.12</b>	<b>1,414.11 W</b>	<b>-788.11</b>	
TWITTER INC TWTR Dec 18 2020 49.0 Call / CUSIP: / Symbol: TWTR 12/18/20 C 49.000							
12/04/20	10.000	1,233.31	12/03/20	1,196.64	...	36.67	Option sale
TWITTER INC TWTR Jan 21 2022 45.0 Call / CUSIP: / Symbol: TWTR 01/21/22 C 45.000							
06/05/20	2.000	1,078.65	05/27/20	901.33	...	177.32	Option sale
VISA INC V Sep 18 2020 205.0 Call / CUSIP: / Symbol: V 09/18/20 C 205.000							
08/19/20	1.000	444.32	08/19/20	350.67	...	93.65	Option sale
08/20/20	3.000	1,429.97	Various	1,152.00	...	277.97	Total of 2 transactions
08/24/20	1.000	544.32	08/20/20	450.66	...	93.66	Option sale
	<b>Security total:</b>	<b>2,418.61</b>		<b>1,953.33</b>	...	<b>465.28</b>	
WORKHORSE GROUP INC WKHS Oct 16 2020 23.0 Put / CUSIP: / Symbol: WKHS 10/16/20 P 23.000							
10/19/20	2.000	87.35	10/16/20	0.00	...	87.35	Short sale closed- option
EXXON MOBIL CORPORATION XOM Oct 09 2020 34.0 Put / CUSIP: / Symbol: XOM 10/09/20 P 34.000							
10/06/20	20.000	646.68	10/06/20	873.28	...	-226.60	Option sale
EXXON MOBIL CORPORATION XOM Nov 27 2020 38.0 Put / CUSIP: / Symbol: XOM 11/27/20 P 38.000							
11/16/20	10.000	923.32	Various	1,196.64	...	-273.32	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490653748

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS INC ZM Aug 07 2020		270.0	Call / CUSIP: / Symbol: ZM 08/07/20 C	270.000			
08/03/20	3.000	1,957.96	Various	1,232.00	...	725.96	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM Aug 28 2020		300.0	Call / CUSIP: / Symbol: ZM 08/28/20 C	300.000			
08/26/20	2.000	1,002.64	Various	741.33	...	261.31	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM Aug 28 2020		305.0	Call / CUSIP: / Symbol: ZM 08/28/20 C	305.000			
08/28/20	1.000	129.33	08/26/20	370.67	...	-241.34	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020		375.0	Call / CUSIP: / Symbol: ZM 09/04/20 C	375.000			
09/04/20	3.000	0.00	09/04/20	236.00	...	-236.00	Option expiration
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 09 2020		480.0	Call / CUSIP: / Symbol: ZM 10/09/20 C	480.000			
10/01/20	1.000	1,999.30	10/01/20	1,850.66	...	148.64	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 09 2020		490.0	Call / CUSIP: / Symbol: ZM 10/09/20 C	490.000			
10/05/20	2.000	2,408.63	Various	2,389.32	...	19.31	Total of 2 transactions
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 16 2020		490.0	Call / CUSIP: / Symbol: ZM 10/16/20 C	490.000			
10/09/20	2.000	3,964.58	10/08/20	3,195.33	...	769.25	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 16 2020		500.0	Call / CUSIP: / Symbol: ZM 10/16/20 C	500.000			
10/13/20	2.000	-654.71	10/09/20	0.00	...	-654.71	Short sale closed- option
ZOOM VIDEO COMMUNICATIONS INC ZM Dec 18 2020		460.0	Call / CUSIP: / Symbol: ZM 12/18/20 C	460.000			
11/25/20	1.000	3,299.27	11/20/20	3,280.66	...	18.61	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020		370.0	Put / CUSIP: / Symbol: ZM 09/04/20 P	370.000			
09/04/20	2.000	2,470.62	09/03/20	631.33	...	1,839.29	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 04 2020		375.0	Put / CUSIP: / Symbol: ZM 09/04/20 P	375.000			
09/08/20	2.000	-2,484.68	09/04/20	0.00	...	-2,484.68	Short sale closed- option
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 16 2020		480.0	Put / CUSIP: / Symbol: ZM 10/16/20 P	480.000			
10/12/20	1.000	1,009.32	10/12/20	1,132.66	...	-123.34	Option sale
<b>Totals :</b>		<b>2,166,433.68</b>		<b>2,212,039.04</b>	<b>55,783.97 W</b>	<b>10,178.61</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 490653748

**Detail for Interest Income**

2020

01/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
Interest Credited		02/28/20	0.01	Credit interest	
		03/31/20	0.03	Credit interest	
		04/30/20	0.06	Credit interest	
		05/29/20	0.03	Credit interest	
		06/30/20	0.12	Credit interest	
		07/31/20	0.11	Credit interest	
		08/31/20	0.25	Credit interest	
		09/30/20	0.21	Credit interest	
		10/30/20	0.15	Credit interest	
		11/30/20	0.09	Credit interest	
		12/31/20	0.16	Credit interest	
			1.22	Total Interest	
			<b>1.22</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 490653748

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2020

01/14/2021

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
SPDR GOLD TRUST GLD Nov 20 2020 190.0 Call	GLD 11/20/20 C 190.000	08/31/20	2.00	227.30	Realized profit/loss	
		09/11/20	5.00	-131.72	Realized profit/loss	
				<b>95.58</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPX May 15 2020 2815.0 Put	SPX 05/15/20 P 2815.000	05/15/20	2.00	-1,462.66	Realized profit/loss	
S&P 500 SPXW Mar 23 2020 2300.0 Call	SPXW 03/23/20 C 2300.000	03/23/20	-1.00	398.75	Realized profit/loss	
S&P 500 SPXW Mar 23 2020 2310.0 Call	SPXW 03/23/20 C 2310.000	03/23/20	1.00	-286.25	Realized profit/loss	
S&P 500 SPXW Mar 25 2020 2620.0 Call	SPXW 03/25/20 C 2620.000	03/25/20	-5.00	1,598.77	Realized profit/loss	
S&P 500 SPXW Mar 25 2020 2625.0 Call	SPXW 03/25/20 C 2625.000	03/25/20	5.00	-1,286.23	Realized profit/loss	
S&P 500 SPXW Mar 27 2020 2615.0 Call	SPXW 03/27/20 C 2615.000	03/27/20	-5.00	2,143.77	Realized profit/loss	
S&P 500 SPXW Mar 27 2020 2620.0 Call	SPXW 03/27/20 C 2620.000	03/27/20	5.00	-1,856.23	Realized profit/loss	
S&P 500 SPXW Mar 30 2020 2655.0 Call	SPXW 03/30/20 C 2655.000	03/30/20	-5.00	1,608.77	Realized profit/loss	
S&P 500 SPXW Mar 30 2020 2660.0 Call	SPXW 03/30/20 C 2660.000	03/30/20	5.00	-1,296.23	Realized profit/loss	
S&P 500 SPXW Apr 01 2020 2515.0 Call	SPXW 04/01/20 C 2515.000	04/01/20	-5.00	1,753.77	Realized profit/loss	
S&P 500 SPXW Apr 01 2020 2520.0 Call	SPXW 04/01/20 C 2520.000	04/01/20	5.00	-1,416.23	Realized profit/loss	
S&P 500 SPXW Apr 03 2020 2520.0 Call	SPXW 04/03/20 C 2520.000	04/03/20	-1.00	200.75	Realized profit/loss	
S&P 500 SPXW Apr 03 2020 2525.0 Call	SPXW 04/03/20 C 2525.000	04/03/20	1.00	-153.25	Realized profit/loss	

TD Ameritrade Clearing, Inc.

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## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

01/14/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Apr 06 2020 2655.0 Call	SPXW 04/06/20 C 2655.000	04/07/20	-3.00	-1,767.74	Realized profit/loss	
S&P 500 SPXW Apr 06 2020 2660.0 Call	SPXW 04/06/20 C 2660.000	04/07/20	3.00	470.26	Realized profit/loss	
S&P 500 SPXW Sep 04 2020 3500.0 Call	SPXW 09/04/20 C 3500.000	09/04/20	1.00	-389.50	Realized profit/loss	
S&P 500 SPXW Sep 04 2020 3530.0 Call	SPXW 09/04/20 C 3530.000	09/04/20	1.00	-145.50	Realized profit/loss	
S&P 500 SPXW Nov 13 2020 3525.0 Call	SPXW 11/13/20 C 3525.000	11/13/20	1.00	17.52	Realized profit/loss	
S&P 500 SPXW Nov 13 2020 3555.0 Call	SPXW 11/13/20 C 3555.000	11/13/20	1.00	37.52	Realized profit/loss	
S&P 500 SPXW Nov 30 2020 3620.0 Call	SPXW 11/30/20 C 3620.000	12/01/20	2.00	135.02	Realized profit/loss	
		12/01/20	1.00	127.52	Realized profit/loss	
				<b>262.54</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 07 2020 3665.0 Call	SPXW 12/07/20 C 3665.000	12/03/20	2.00	35.02	Realized profit/loss	
S&P 500 SPXW Dec 09 2020 3670.0 Call	SPXW 12/09/20 C 3670.000	12/10/20	1.00	97.52	Realized profit/loss	
S&P 500 SPXW Dec 09 2020 3690.0 Call	SPXW 12/09/20 C 3690.000	12/08/20	1.00	-112.48	Realized profit/loss	
		12/08/20	1.00	-132.49	Realized profit/loss	
				<b>-244.97</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 11 2020 3700.0 Call	SPXW 12/11/20 C 3700.000	12/10/20	2.00	95.02	Realized profit/loss	
S&P 500 SPXW Mar 20 2020 2300.0 Put	SPXW 03/20/20 P 2300.000	03/20/20	2.00	-818.49	Realized profit/loss	
S&P 500 SPXW Mar 20 2020 2305.0 Put	SPXW 03/20/20 P 2305.000	03/23/20	-2.00	957.51	Realized profit/loss	
S&P 500 SPXW Apr 08 2020 2715.0 Put	SPXW 04/08/20 P 2715.000	04/08/20	1.00	-401.25	Realized profit/loss	
		04/09/20	1.00	42.50	Realized profit/loss	
				<b>-358.75</b>	<b>Total Realized profit/loss</b>	

TD Ameritrade Clearing, Inc.

Account 490653748

## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

01/14/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW May 20 2020 2925.0 Put	SPXW 05/20/20 P 2925.000	05/20/20	1.00	-92.50	Realized profit/loss	
		05/20/20	1.00	-182.50	Realized profit/loss	
				<b>-275.00</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Jun 05 2020 3200.0 Put	SPXW 06/05/20 P 3200.000	06/08/20	1.00	217.50	Realized profit/loss	
S&P 500 SPXW Sep 11 2020 3300.0 Put	SPXW 09/11/20 P 3300.000	09/11/20	1.00	197.50	Realized profit/loss	
S&P 500 SPXW Sep 18 2020 3300.0 Put	SPXW 09/18/20 P 3300.000	09/21/20	1.00	37.50	Realized profit/loss	
S&P 500 SPXW Sep 18 2020 3325.0 Put	SPXW 09/18/20 P 3325.000	09/21/20	1.00	127.50	Realized profit/loss	
S&P 500 SPXW Nov 13 2020 3545.0 Put	SPXW 11/13/20 P 3545.000	11/13/20	1.00	267.52	Realized profit/loss	
		11/13/20	1.00	117.51	Realized profit/loss	
				<b>385.03</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Nov 16 2020 3560.0 Put	SPXW 11/16/20 P 3560.000	11/16/20	1.00	87.52	Realized profit/loss	
		11/16/20	1.00	57.51	Realized profit/loss	
		11/16/20	1.00	37.52	Realized profit/loss	
		11/16/20	1.00	-132.48	Realized profit/loss	
		11/16/20	3.00	-447.47	Realized profit/loss	
		<b>-397.40</b>	<b>Total Realized profit/loss</b>			
S&P 500 SPXW Nov 27 2020 3535.0 Put	SPXW 11/27/20 P 3535.000	11/20/20	1.00	37.51	Realized profit/loss	
		11/20/20	1.00	-262.48	Realized profit/loss	
		11/20/20	1.00	-782.48	Realized profit/loss	
		11/23/20	1.00	367.52	Realized profit/loss	
		<b>-639.93</b>	<b>Total Realized profit/loss</b>			
S&P 500 SPXW Nov 27 2020 3625.0 Put	SPXW 11/27/20 P 3625.000	11/27/20	1.00	377.52	Realized profit/loss	
		11/27/20	2.00	195.02	Realized profit/loss	
		11/27/20	1.00	47.51	Realized profit/loss	
		<b>620.05</b>	<b>Total Realized profit/loss</b>			

TD Ameritrade Clearing, Inc.

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## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

01/14/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Nov 27 2020 3630.0 Put	SPXW 11/27/20 P 3630.000	11/25/20	2.00	175.02	Realized profit/loss	
		11/25/20	1.00	147.52	Realized profit/loss	
		11/25/20	1.00	147.51	Realized profit/loss	
		11/25/20	1.00	87.52	Realized profit/loss	
				<b>557.57</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 02 2020 3605.0 Put	SPXW 12/02/20 P 3605.000	12/01/20	3.00	-407.46	Realized profit/loss	
		12/02/20	5.00	262.56	Realized profit/loss	
		12/02/20	3.00	232.54	Realized profit/loss	
		12/02/20	2.00	-4.98	Realized profit/loss	
		12/02/20	12.00	-2,699.86	Realized profit/loss	
				<b>-2,617.20</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 04 2020 3650.0 Put	SPXW 12/04/20 P 3650.000	12/03/20	1.00	307.51	Realized profit/loss	
		12/03/20	1.00	207.52	Realized profit/loss	
		12/03/20	1.00	77.52	Realized profit/loss	
		12/03/20	3.00	-147.47	Realized profit/loss	
				<b>445.08</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 04 2020 3655.0 Put	SPXW 12/04/20 P 3655.000	12/03/20	5.00	287.56	Realized profit/loss	
		12/03/20	1.00	57.52	Realized profit/loss	
		12/03/20	1.00	57.51	Realized profit/loss	
		12/03/20	2.00	-64.98	Realized profit/loss	
		12/03/20	5.00	-302.44	Realized profit/loss	
				<b>35.17</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 04 2020 3675.0 Put	SPXW 12/04/20 P 3675.000	12/02/20	1.00	-32.48	Realized profit/loss	
S&P 500 SPXW Dec 04 2020 3680.0 Put	SPXW 12/04/20 P 3680.000	12/07/20	5.00	-482.44	Realized profit/loss	
		12/07/20	10.00	-774.88	Realized profit/loss	
		12/07/20	10.00	-1,464.88	Realized profit/loss	
		12/07/20	10.00	-2,454.88	Realized profit/loss	
				<b>-5,177.08</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 09 2020 3670.0 Put	SPXW 12/09/20 P 3670.000	12/03/20	2.00	35.02	Realized profit/loss	
S&P 500 SPXW Dec 09 2020 3675.0 Put	SPXW 12/09/20 P 3675.000	12/04/20	3.00	622.54	Realized profit/loss	
		12/04/20	5.00	177.56	Realized profit/loss	
				<b>800.10</b>	<b>Total Realized profit/loss</b>	



TD Ameritrade Clearing, Inc.

Account 490653748

## Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

(continued)

01/14/2021

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
S&P 500 SPXW Dec 09 2020 3690.0 Put	SPXW 12/09/20 P 3690.000	12/07/20	3.00	202.54	Realized profit/loss	
		12/07/20	2.00	35.02	Realized profit/loss	
		12/07/20	2.00	-14.98	Realized profit/loss	
		12/07/20	1.00	-22.48	Realized profit/loss	
		12/07/20	1.00	-212.48	Realized profit/loss	
		12/07/20	2.00	-444.98	Realized profit/loss	
				<b>-457.36</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 09 2020 3695.0 Put	SPXW 12/09/20 P 3695.000	12/08/20	2.00	55.02	Realized profit/loss	
S&P 500 SPXW Dec 11 2020 3695.0 Put	SPXW 12/11/20 P 3695.000	12/09/20	1.00	37.52	Realized profit/loss	
		12/09/20	1.00	-102.48	Realized profit/loss	
				<b>-64.96</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 24 2020 3645.0 Put	SPXW 12/24/20 P 3645.000	12/22/20	1.00	247.52	Realized profit/loss	
		12/22/20	1.00	227.51	Realized profit/loss	
		12/22/20	1.00	167.52	Realized profit/loss	
		12/22/20	1.00	107.51	Realized profit/loss	
		12/22/20	2.00	-144.98	Realized profit/loss	
				<b>605.08</b>	<b>Total Realized profit/loss</b>	
S&P 500 SPXW Dec 28 2020 3695.0 Put	SPXW 12/28/20 P 3695.000	12/23/20	3.00	52.54	Realized profit/loss	
S&P 500 SPXW Jan 04 2021 3730.0 Call	SPXW 01/04/21 C 3730.000	12/30/20	4.00	190.04	Realized profit/loss	
				<b>-7,009.67</b>	<b>Total Realized profit/loss</b>	
				<b>-7,009.67</b>	<b>Total Aggregate profit or (loss) on contracts</b>	

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Instructions for Recipient**

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Instructions for Recipient**

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)**

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2019.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2020 in 2021.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

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