

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 874941529	Statement Date: 02/14/2021 Document ID: Y618 1A4 5NEH	2020
	Ramanjaneyulu Kodela 1419 Raspberry Ct Edison, NJ 08817-2730 RECIPIENT'S TIN: XXX-XX-5260		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 43.57</p> <p>1b- Qualified dividends 41.37</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 6.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 3.11</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	78,309.96	71,033.83	0.00	419.18	7,695.31
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	14.38	12.11	0.00	0.00	2.27
	Total Short-term	78,324.34	71,045.94	0.00	419.18	7,697.58
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	78,324.34	71,045.94	0.00	419.18	7,697.58
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	199.26
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 874941529

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADT INC. / CUSIP: 00090Q103 / Symbol:							
05/07/20	1.000	5.65	04/27/20	5.29	...	0.36	Sale ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
07/13/20	100.000	426.99	04/29/20	520.00	...	-93.01	Sale ²⁵
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	16.000	20.48	04/30/20	66.64	...	-46.16	1 of 5 - Sale ²⁵
	50.000	64.00	05/14/20	64.75	...	-0.75	2 of 5 - Sale ²⁵
	1.000	1.28	05/14/20	1.29	...	-0.01	3 of 5 - Sale ²⁵
	40.000	51.19	05/14/20	51.20	...	-0.01	4 of 5 - Sale ²⁵
	13.000	16.64	05/18/20	17.03	...	-0.39	5 of 5 - Sale ²⁵
06/23/20	120.000	153.59	Various	200.91	...	-47.32	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
05/21/20	500.000	100.29	05/12/20	163.50	...	-63.21	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/04/20	50.000	749.98	04/15/20	589.00	...	160.98	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>11 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	129.20	08/28/20	125.00	...	4.20	1 of 11 - Sale ²⁵
	1.000	129.20	08/28/20	124.99	...	4.21	2 of 11 - Sale ²⁵
	1.000	129.20	08/28/20	124.99	...	4.21	3 of 11 - Sale ²⁵
	2.000	258.40	08/28/20	250.00	...	8.40	4 of 11 - Sale ²⁵
	2.000	258.40	08/28/20	250.00	...	8.40	5 of 11 - Sale ²⁵
	2.000	258.40	08/28/20	250.00	...	8.40	6 of 11 - Sale ²⁵
	3.000	387.60	08/28/20	375.00	...	12.60	7 of 11 - Sale ²⁵
	3.000	387.60	08/28/20	374.98	...	12.62	8 of 11 - Sale ²⁵
	4.000	516.79	08/28/20	499.80	...	16.99	9 of 11 - Sale ²⁵
	6.000	775.18	08/28/20	750.00	...	25.18	10 of 11 - Sale ²⁵
	25.000	3,229.93	08/28/20	3,124.81	...	105.12	11 of 11 - Sale ²⁵
08/31/20	50.000	6,459.90	Various	6,249.57	...	210.33	Total of 11 transactions
12/14/20	20.000	2,460.20	08/28/20	2,499.85	...	-39.65	Sale ²⁵
	Security total:	8,920.10		8,749.42	...	170.68	
ARCONIC CORPORATION / CUSIP: 03966V107 / Symbol:							
06/08/20	100.000	1,847.94	05/04/20	875.85	...	972.09	Sale ²⁵
ARCUS BIOSCIENCES, INC. / CUSIP: 03969F109 / Symbol:							
05/13/20	33.000	1,055.97	04/17/20	1,004.85	...	51.12	Sale ²⁵
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
07/07/20	21.000	250.32	05/29/20	162.96	...	87.36	Sale ²⁵
08/27/20	2.000	23.64	08/13/20	24.74	...	-1.10	Sale ²⁵
	Security total:	273.96		187.70	...	86.26	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
03/30/20	7.000	149.75	03/23/20	128.10	...	21.65	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	12.000	8.48	05/11/20	5.03	...	3.45	1 of 5 - Sale ²⁵
	40.000	28.26	05/11/20	16.76	...	11.50	2 of 5 - Sale ²⁵
	98.000	69.22	05/11/20	41.06	...	28.16	3 of 5 - Sale ²⁵
	100.000	70.63	05/11/20	41.90	...	28.73	4 of 5 - Sale ²⁵
	250.000	176.57	05/11/20	104.75	...	71.82	5 of 5 - Sale ²⁵
06/29/20	500.000	353.16	Various	209.50	...	143.66	Total of 5 transactions
CELLECT BIOTECHNOLOGY LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	49.000	143.08	05/11/20	215.60	...	-72.52	1 of 2 - Sale ²⁵
	1.000	2.92	05/11/20	4.40	...	-1.48	2 of 2 - Sale ²⁵
05/28/20	50.000	146.00	Various	220.00	...	-74.00	Total of 2 transactions
CIVEO CORPORATION / CUSIP: 17878Y108 / Symbol:							
8 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	100.000	102.98	04/29/20	56.62	...	46.36	1 of 8 - Sale ²⁵
	100.000	102.98	04/29/20	56.62	...	46.36	2 of 8 - Sale ²⁵
	100.000	102.98	04/29/20	56.62	...	46.36	3 of 8 - Sale ²⁵
	100.000	102.98	04/29/20	56.62	...	46.36	4 of 8 - Sale ²⁵
	100.000	102.99	04/29/20	56.62	...	46.37	5 of 8 - Sale ²⁵
	100.000	102.99	04/29/20	56.62	...	46.37	6 of 8 - Sale ²⁵
	100.000	102.99	04/29/20	56.62	...	46.37	7 of 8 - Sale ²⁵
	300.000	308.96	04/29/20	171.00	...	137.96	8 of 8 - Sale ²⁵
08/07/20	1,000.000	1,029.85	Various	567.34	...	462.51	Total of 8 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
06/26/20	100.000	250.99	05/21/20	234.50	...	16.49	Sale ²⁵
07/01/20	98.000	231.27	06/29/20	299.88	...	-68.61	Sale ²⁵
07/01/20	2.000	4.72	06/29/20	6.12	1.40 W	0.00	Sale ²⁵
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	6.44	06/29/20	7.52	...	-1.08	1 of 2 - Sale ²⁵
	98.000	315.55	06/29/20	299.88	...	15.67	2 of 2 - Sale ²⁵
07/08/20	100.000	321.99	Various	307.40	...	14.59	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
Security total:		808.97		847.90		1.40 W	-37.53
DANAHER CORPORATION / CUSIP: 235851102 / Symbol:							
04/08/20	2.000	284.44	03/23/20	256.21	...	28.23	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
<i>10 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	33.80	03/18/20	31.20	...	2.60	1 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	2 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	3 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	4 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	5 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	6 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	7 of 10 - Sale ²⁵
	2.500	42.25	03/18/20	39.00	...	3.25	8 of 10 - Sale ²⁵
	5.000	84.50	03/18/20	77.99	...	6.51	9 of 10 - Sale ²⁵
	37.500	633.71	03/20/20	595.05	...	38.66	10 of 10 - Sale ²⁵
03/24/20	62.000	1,047.76	Various	977.24	...	70.52	Total of 10 transactions
<i>9 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	1,203.57	05/13/20	915.00	...	288.57	1 of 9 - Sale ²⁵
	30.000	1,203.57	06/26/20	940.70	...	262.87	2 of 9 - Sale ²⁵
	8.000	320.95	07/07/20	253.02	...	67.93	3 of 9 - Sale ²⁵
	3.000	120.36	07/09/20	86.70	...	33.66	4 of 9 - Sale ²⁵
	7.000	280.83	09/02/20	215.46	...	65.37	5 of 9 - Sale ²⁵
	13.000	521.55	09/02/20	400.14	...	121.41	6 of 9 - Sale ²⁵
	6.000	240.71	09/10/20	151.68	...	89.03	7 of 9 - Sale ²⁵
	99.000	3,971.77	09/10/20	2,529.45	...	1,442.32	8 of 9 - Sale ²⁵
	55.000	2,206.55	09/23/20	1,218.74	...	987.81	9 of 9 - Sale ²⁵
12/09/20	251.000	10,069.86	Various	6,710.89	...	3,358.97	Total of 9 transactions
Security total:		11,117.62		7,688.13	...	3,429.49	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
06/18/20	4.000	90.93	04/09/20	170.00	...	-79.07	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
05/07/20	5.000	442.45	03/26/20	326.50	...	115.95	Sale ²⁵
DISCOVERY, INC. SERIES B COMMO N STOCK / CUSIP: 25470F203 / Symbol:							
05/08/20	1.000	42.15	05/01/20	55.10	...	-12.95	Sale ²⁵
EKSO BIONICS HOLDINGS, INC. CO MMON STOCK / CUSIP: 282644301 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	819.97	06/09/20	411.00	...	408.97	1 of 2 - Sale ²⁵
	100.000	819.97	06/09/20	411.00	...	408.97	2 of 2 - Sale ²⁵
06/25/20	200.000	1,639.94	Various	822.00	...	817.94	Total of 2 transactions
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	8.32	06/25/20	7.32	...	1.00	1 of 3 - Sale ²⁵
	5.000	41.60	06/25/20	36.60	...	5.00	2 of 3 - Sale ²⁵
	94.000	782.05	06/25/20	688.08	...	93.97	3 of 3 - Sale ²⁵
06/26/20	100.000	831.97	Various	732.00	...	99.97	Total of 3 transactions
	Security total:	2,471.91		1,554.00	...	917.91	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	100.000	346.98	06/04/20	125.50	...	221.48	1 of 4 - Sale ²⁵
	100.000	346.98	06/04/20	125.50	...	221.48	2 of 4 - Sale ²⁵
	110.000	381.67	06/04/20	138.48	...	243.19	3 of 4 - Sale ²⁵
	190.000	659.26	06/04/20	239.19	...	420.07	4 of 4 - Sale ²⁵
07/02/20	500.000	1,734.89	Various	628.67	...	1,106.22	Total of 4 transactions
EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	40.000	28.00	05/14/20	27.92	...	0.08	1 of 2 - Sale ²⁵
	160.000	111.98	05/14/20	111.70	...	0.28	2 of 2 - Sale ²⁵
06/05/20	200.000	139.98	Various	139.62	...	0.36	Total of 2 transactions

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Robinhood Securities LLC

Account 874941529

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(continued)

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EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	100.000	709.97	04/29/20	497.99	...	211.98	1 of 4 - Sale ²⁵
	50.000	354.99	04/30/20	240.75	...	114.24	2 of 4 - Sale ²⁵
	100.000	709.97	07/13/20	636.00	...	73.97	3 of 4 - Sale ²⁵
	100.000	709.97	07/23/20	575.00	...	134.97	4 of 4 - Sale ²⁵
09/08/20	350.000	2,484.90	Various	1,949.74	...	535.16	Total of 4 transactions
FTS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 30283W203 / Symbol:							
4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	50.000	271.97	04/27/20	269.90	...	2.07	1 of 4 - Sale ²⁵
	15.000	81.59	04/30/20	109.35	...	-27.76	2 of 4 - Sale ²⁵
	5.000	27.20	04/30/20	36.45	...	-9.25	3 of 4 - Sale ²⁵
	5.000	27.20	04/30/20	36.45	...	-9.25	4 of 4 - Sale ²⁵
05/12/20	75.000	407.96	Various	452.15	...	-44.19	Total of 4 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
04/09/20	1.000	5.64	04/07/20	4.85	...	0.79	Sale ²⁵
FORUM ENERGY TECHNOLOGIES, INC. / CUSIP: 34984V100 / Symbol:							
7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	0.40	04/24/20	0.31	...	0.09	1 of 7 - Sale ²⁵
	1.000	0.40	04/24/20	0.31	...	0.09	2 of 7 - Sale ²⁵
	13.000	5.18	04/24/20	4.03	...	1.15	3 of 7 - Sale ²⁵
	25.000	9.97	04/24/20	7.75	...	2.22	4 of 7 - Sale ²⁵
	60.000	23.91	04/24/20	18.60	...	5.31	5 of 7 - Sale ²⁵
	250.000	99.62	04/24/20	77.50	...	22.12	6 of 7 - Sale ²⁵
	650.000	259.01	04/24/20	201.50	...	57.51	7 of 7 - Sale ²⁵
07/06/20	1,000.000	398.49	Various	310.00	...	88.49	Total of 7 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
04/30/20	1.000	6.62	04/07/20	7.21	...	-0.59	Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
05/08/20	500.000	329.94	05/07/20	394.40	25.78 W	-38.68	Sale ²⁵
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	100.000	76.99	05/08/20	67.89	...	9.10	1 of 2 - Sale ²⁵
	100.000	76.99	05/08/20	67.89	...	9.10	2 of 2 - Sale ²⁵
05/11/20	200.000	153.98	Various	135.78	...	18.20	Total of 2 transactions
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	5.000	20.25	06/11/20	17.50	...	2.75	1 of 2 - Sale ²⁵
	95.000	384.74	06/11/20	332.50	...	52.24	2 of 2 - Sale ²⁵
06/12/20	100.000	404.99	Various	350.00	...	54.99	Total of 2 transactions
	Security total:	888.91		880.18	25.78 W	34.51	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
10/29/20	4.000	231.40	10/22/20	255.44	...	-24.04	Sale ²⁵
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	1,000.000	349.87	04/20/20	245.30	...	104.57	1 of 3 - Sale ²⁵
	1,075.000	376.12	07/15/20	376.25	...	-0.13	2 of 3 - Sale ²⁵
	125.000	43.73	07/15/20	43.75	...	-0.02	3 of 3 - Sale ²⁵
12/07/20	2,200.000	769.72	Various	665.30	...	104.42	Total of 3 transactions
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
06/29/20	300.000	260.69	06/29/20	270.00	...	-9.31	Sale ²⁵
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
06/10/20	100.000	454.99	05/22/20	255.83	...	199.16	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/22/20	100.000	344.99	06/19/20	218.00	...	126.99	Sale ²⁵
IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol:							
04/14/20	1.000	3.57	03/16/20	2.80	...	0.77	Sale ²⁵

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(continued)

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	100.000	285.99	06/08/20	687.53	...	-401.54	1 of 4 - Sale ²⁵
	50.000	143.00	06/10/20	225.00	...	-82.00	2 of 4 - Sale ²⁵
	100.000	285.99	06/26/20	363.00	...	-77.01	3 of 4 - Sale ²⁵
	50.000	142.99	06/26/20	181.50	...	-38.51	4 of 4 - Sale ²⁵
10/02/20	300.000	857.97	Various	1,457.03	...	-599.06	Total of 4 transactions
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	79.000	197.49	05/06/20	51.35	...	146.14	1 of 2 - Sale ²⁵
	271.000	677.45	05/06/20	176.15	...	501.30	2 of 2 - Sale ²⁵
06/09/20	350.000	874.94	Various	227.50	...	647.44	Total of 2 transactions
KITOV PHARMA LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 49803V107 / Symbol:							
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	134.99	05/15/20	51.97	...	83.02	1 of 3 - Sale ²⁵
	100.000	134.99	05/15/20	51.97	...	83.02	2 of 3 - Sale ²⁵
	100.000	134.99	05/15/20	51.97	...	83.02	3 of 3 - Sale ²⁵
06/25/20	300.000	404.97	Various	155.91	...	249.06	Total of 3 transactions
06/26/20	200.000	223.98	06/02/20	92.00	...	131.98	Sale ²⁵
07/08/20	20.000	17.22	07/08/20	18.42	...	-1.20	Sale ²⁵
	Security total:	646.17		266.33	...	379.84	
KOHL'S CORPORATION / CUSIP: 500255104 / Symbol:							
5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	20.000	440.61	05/13/20	326.52	...	114.09	1 of 5 - Sale ²⁵
	15.000	330.46	05/22/20	262.18	...	68.28	2 of 5 - Sale ²⁵
	2.000	44.06	05/28/20	40.42	...	3.64	3 of 5 - Sale ²⁵
	8.000	176.25	05/28/20	160.00	...	16.25	4 of 5 - Sale ²⁵
	47.000	1,035.44	05/28/20	949.87	...	85.57	5 of 5 - Sale ²⁵
07/23/20	92.000	2,026.82	Various	1,738.99	...	287.83	Total of 5 transactions
08/31/20	87.000	1,837.38	07/24/20	1,827.00	...	10.38	Sale ²⁵
	Security total:	3,864.20		3,565.99	...	298.21	

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LIBERTY OILFIELD SERVICES INC. CLASS A COMMON STOCK / CUSIP: 53115L104 / Symbol:							
05/20/20	35.000	187.43	04/29/20	153.62	...	33.81	Sale ²⁵
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
04/16/20	10.000	932.57	04/15/20	346.90	...	585.67	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	3.000	8.82	03/25/20	2.31	...	6.51	1 of 4 - Sale ²⁵
	22.000	64.68	03/25/20	16.94	...	47.74	2 of 4 - Sale ²⁵
	100.000	293.99	03/26/20	226.67	...	67.32	3 of 4 - Sale ²⁵
	100.000	293.99	03/26/20	179.67	...	114.32	4 of 4 - Sale ²⁵
08/10/20	225.000	661.48	Various	425.59	...	235.89	Total of 4 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
07/10/20	100.000	676.97	04/28/20	599.75	...	77.22	Sale ²⁵
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	6.70	04/23/20	4.47	...	2.23	1 of 3 - Sale ²⁵
	1.000	6.70	04/23/20	4.47	...	2.23	2 of 3 - Sale ²⁵
	8.000	53.60	04/30/20	47.60	...	6.00	3 of 3 - Sale ²⁵
06/17/20	10.000	67.00	Various	56.54	...	10.46	Total of 3 transactions
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
5 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	200.000	87.48	04/27/20	105.56	...	-18.08	1 of 5 - Sale ²⁵
	100.000	43.73	04/27/20	52.78	...	-9.05	2 of 5 - Sale ²⁵
	78.000	34.11	04/27/20	41.17	...	-7.06	3 of 5 - Sale ²⁵
	11.000	4.81	04/27/20	5.81	...	-1.00	4 of 5 - Sale ²⁵
	1.000	0.44	04/27/20	0.53	...	-0.09	5 of 5 - Sale ²⁵
04/30/20	390.000	170.57	Various	205.85	...	-35.28	Total of 5 transactions

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
09/01/20	18.000	4,069.17	08/07/20	3,807.00	...	262.17	Sale ²⁵
09/30/20	1.000	211.70	08/07/20	211.50	...	0.20	Sale ²⁵
	Security total:	4,280.87		4,018.50	...	262.37	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
	2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	400.000	356.44	05/05/20	652.00	295.56 W	0.00	1 of 2 - Sale ²⁵
	50.000	44.55	05/05/20	81.50	36.95 W	0.00	2 of 2 - Sale ²⁵
05/07/20	450.000	400.99	Various	733.50	332.51 W	0.00	Total of 2 transactions
	12 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	2.000	5.20	05/05/20	3.08	...	2.12	1 of 12 - Sale ²⁵
	10.000	26.00	05/05/20	22.89	...	3.11	2 of 12 - Sale ²⁵
	12.000	31.20	05/05/20	27.47	...	3.73	3 of 12 - Sale ²⁵
	28.000	72.79	05/05/20	64.09	...	8.70	4 of 12 - Sale ²⁵
	64.000	166.39	05/05/20	151.61	...	14.78	5 of 12 - Sale ²⁵
	15.000	39.00	05/05/20	23.25	...	15.75	6 of 12 - Sale ²⁵
	100.000	259.99	05/05/20	236.89	...	23.10	7 of 12 - Sale ²⁵
	236.000	613.55	05/05/20	559.06	...	54.49	8 of 12 - Sale ²⁵
	100.000	259.98	05/05/20	151.00	...	108.98	9 of 12 - Sale ²⁵
	100.000	259.98	05/05/20	147.00	...	112.98	10 of 12 - Sale ²⁵
	159.000	413.37	05/05/20	233.73	...	179.64	11 of 12 - Sale ²⁵
	216.000	561.56	05/05/20	352.08	...	209.48	12 of 12 - Sale ²⁵
08/05/20	1,042.000	2,709.01	Various	1,972.15	...	736.86	Total of 12 transactions
	Security total:	3,110.00		2,705.65	332.51 W	736.86	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
	2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	50.000	189.99	04/30/20	150.71	...	39.28	1 of 2 - Sale ²⁵
	50.000	190.00	04/30/20	149.50	...	40.50	2 of 2 - Sale ²⁵
06/02/20	100.000	379.99	Various	300.21	...	79.78	Total of 2 transactions
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	100.000	371.99	06/03/20	343.00	...	28.99	1 of 2 - Sale ²⁵

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MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol: (cont'd)							
	100.000	371.99	06/03/20	342.00	...	29.99	2 of 2 - Sale ²⁵
06/05/20	200.000	743.98	Various	685.00	...	58.98	Total of 2 transactions
	Security total:	1,123.97		985.21	...	138.76	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
06/01/20	300.000	68.96	05/12/20	100.20	...	-31.24	Sale ²⁵
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	33.000	426.06	03/20/20	305.25	...	120.81	1 of 2 - Sale ²⁵
	33.000	426.07	03/20/20	304.56	...	121.51	2 of 2 - Sale ²⁵
04/08/20	66.000	852.13	Various	609.81	...	242.32	Total of 2 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	25.000	992.85	11/09/20	980.00	...	12.85	1 of 3 - Sale ²⁵
	25.000	992.85	11/19/20	903.25	...	89.60	2 of 3 - Sale ²⁵
	50.000	1,985.70	11/23/20	1,814.00	...	171.70	3 of 3 - Sale ²⁵
12/03/20	100.000	3,971.40	Various	3,697.25	...	274.15	Total of 3 transactions
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
08/25/20	50.000	400.00	08/25/20	396.50	...	3.50	Sale ²⁵
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	50.000	81.99	05/20/20	80.50	...	1.49	1 of 3 - Sale ²⁵
	15.000	24.60	05/21/20	20.84	...	3.76	2 of 3 - Sale ²⁵
	30.000	49.20	06/17/20	41.10	...	8.10	3 of 3 - Sale ²⁵
08/07/20	95.000	155.79	Various	142.44	...	13.35	Total of 3 transactions
08/07/20	35.000	57.39	05/20/20	78.75	21.36 W	0.00	Sale ²⁵
	Security total:	213.18		221.19	21.36 W	13.35	
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
06/17/20	91.000	43.85	06/09/20	67.34	...	-23.49	Sale ²⁵

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Robinhood Securities LLC

Account 874941529

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	15.000	337.65	08/06/20	329.25	...	8.40	1 of 2 - Sale ²⁵
	35.000	787.83	08/06/20	768.25	...	19.58	2 of 2 - Sale ²⁵
08/10/20	50.000	1,125.48	Various	1,097.50	...	27.98	Total of 2 transactions
SAFE-T GROUP LTD. AMERICAN DEP OSITARY SHARE / CUSIP: 78643B401 / Symbol:							
07/09/20	100.000	187.99	07/02/20	186.00	...	1.99	Sale ²⁵
SIENTRA, INC. COMMON STOCK / CUSIP: 82621J105 / Symbol:							
06/02/20	100.000	374.99	04/29/20	249.99	...	125.00	Sale ²⁵
THE SIMPLY GOOD FOODS COMPANY COMMON STOCK / CUSIP: 82900L102 / Symbol:							
06/11/20	30.000	525.88	05/22/20	487.50	...	38.38	Sale ²⁵
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1,000.000	130.08	04/20/20	358.90	...	-228.82	1 of 2 - Sale ²⁵
	500.000	65.04	04/30/20	93.75	...	-28.71	2 of 2 - Sale ²⁵
05/05/20	1,500.000	195.12	Various	452.65	...	-257.53	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
11/16/20	8.000	3,659.91	08/31/20	3,512.00	...	147.91	Sale ²⁵
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	100.000	204.99	04/30/20	188.00	...	16.99	1 of 2 - Sale ²⁵
	17.000	34.85	05/28/20	19.89	...	14.96	2 of 2 - Sale ²⁵
07/22/20	117.000	239.84	Various	207.89	...	31.95	Total of 2 transactions
TITAN MEDICAL INC. ORDINARY SH ARES / CUSIP: 88830X819 / Symbol:							
05/27/20	1,000.000	274.88	05/13/20	286.60	...	-11.72	Sale ²⁵

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	61.98	05/05/20	60.98	...	1.00	1 of 2 - Sale ²⁵
	300.000	92.96	05/13/20	93.96	...	-1.00	2 of 2 - Sale ²⁵
06/30/20	500.000	154.94	Various	154.94	...	0.00	Total of 2 transactions
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
08/11/20	75.000	32.92	04/13/20	45.12	...	-12.20	Sale ²⁵
08/13/20	925.000	393.99	04/13/20	556.48	...	-162.49	Sale ²⁵
	Security total:	426.91		601.60	...	-174.69	
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/18/20	3.000	48.37	11/09/20	0.00	...	48.37	Sale ²⁵
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
06/04/20	500.000	499.94	05/12/20	148.60	...	351.34	Sale ²⁵
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
<i>9 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	41.667	62.70	06/05/20	250.00	...	-187.30	1 of 9 - Sale ²⁵
	33.333	50.16	06/05/20	204.00	...	-153.84	2 of 9 - Sale ²⁵
	16.667	25.08	06/05/20	101.00	...	-75.92	3 of 9 - Sale ²⁵
	16.667	25.08	06/05/20	101.00	...	-75.92	4 of 9 - Sale ²⁵
	8.333	12.54	06/05/20	51.00	...	-38.46	5 of 9 - Sale ²⁵
	8.333	12.54	06/05/20	50.50	...	-37.96	6 of 9 - Sale ²⁵
	83.000	124.92	08/10/20	200.03	...	-75.11	7 of 9 - Sale ²⁵
	17.000	25.58	08/10/20	40.97	...	-15.39	8 of 9 - Sale ²⁵
	1.000	1.50	08/18/20	1.87	...	-0.37	9 of 9 - Sale ²⁵
09/02/20	226.000	340.10	Various	1,000.37	...	-660.27	Total of 9 transactions
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
<i>12 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	12.367	56.27	06/08/20	0.00	...	56.27	1 of 12 - Sale ²⁵
	2.619	11.92	06/10/20	0.00	...	11.92	2 of 12 - Sale ²⁵
	5.238	23.83	06/10/20	0.00	...	23.83	3 of 12 - Sale ²⁵
	5.238	23.83	06/10/20	0.00	...	23.83	4 of 12 - Sale ²⁵

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(continued)

02/14/2021

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WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol: (cont'd)							
	13.094	59.57	06/10/20	0.00	...	59.57	5 of 12 - Sale ²⁵
	1.938	8.82	06/19/20	0.00	...	8.82	6 of 12 - Sale ²⁵
	5.238	23.83	06/19/20	0.00	...	23.83	7 of 12 - Sale ²⁵
	5.238	23.83	06/19/20	0.00	...	23.83	8 of 12 - Sale ²⁵
	7.856	35.74	06/24/20	0.00	...	35.74	9 of 12 - Sale ²⁵
	5.238	23.83	06/29/20	0.00	...	23.83	10 of 12 - Sale ²⁵
	1.309	5.96	06/30/20	0.00	...	5.96	11 of 12 - Sale ²⁵
	0.629	2.86	07/24/20	0.00	...	2.86	12 of 12 - Sale ²⁵
09/04/20	66.000	300.29	Various	0.00	...	300.29	Total of 12 transactions
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
12 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	6.184	24.73	06/08/20	0.00	...	24.73	1 of 12 - Sale ²⁵
	1.309	5.24	06/10/20	0.00	...	5.24	2 of 12 - Sale ²⁵
	2.619	10.47	06/10/20	0.00	...	10.47	3 of 12 - Sale ²⁵
	2.619	10.48	06/10/20	0.00	...	10.48	4 of 12 - Sale ²⁵
	6.547	26.19	06/10/20	0.00	...	26.19	5 of 12 - Sale ²⁵
	0.969	3.88	06/19/20	0.00	...	3.88	6 of 12 - Sale ²⁵
	2.619	10.47	06/19/20	0.00	...	10.47	7 of 12 - Sale ²⁵
	2.619	10.48	06/19/20	0.00	...	10.48	8 of 12 - Sale ²⁵
	3.928	15.71	06/24/20	0.00	...	15.71	9 of 12 - Sale ²⁵
	2.619	10.47	06/29/20	0.00	...	10.47	10 of 12 - Sale ²⁵
	0.655	2.62	06/30/20	0.00	...	2.62	11 of 12 - Sale ²⁵
	0.314	1.26	07/24/20	0.00	...	1.26	12 of 12 - Sale ²⁵
09/10/20	33.000	132.00	Various	0.00	...	132.00	Total of 12 transactions
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
4 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	100.000	42.77	04/08/20	35.30	...	7.47	1 of 4 - Sale ²⁵
	100.000	42.77	04/08/20	35.30	...	7.47	2 of 4 - Sale ²⁵
	300.000	128.30	04/08/20	105.88	...	22.42	3 of 4 - Sale ²⁵
	500.000	213.84	04/21/20	209.35	...	4.49	4 of 4 - Sale ²⁵
04/21/20	1,000.000	427.68	Various	385.83	...	41.85	Total of 4 transactions

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(continued)

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WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
<i>12 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	3.324	69.80	06/08/20	938.19	...	-868.39	1 of 12 - Sale ²⁵
	3.339	70.12	06/10/20	425.00	...	-354.88	2 of 12 - Sale ²⁵
	1.336	28.05	06/10/20	172.00	...	-143.95	3 of 12 - Sale ²⁵
	1.336	28.05	06/10/20	172.00	...	-143.95	4 of 12 - Sale ²⁵
	0.668	14.02	06/10/20	86.00	...	-71.98	5 of 12 - Sale ²⁵
	1.336	28.05	06/19/20	161.00	...	-132.95	6 of 12 - Sale ²⁵
	1.336	28.04	06/19/20	157.98	...	-129.94	7 of 12 - Sale ²⁵
	0.494	10.38	06/19/20	55.50	...	-45.12	8 of 12 - Sale ²⁵
	2.003	42.07	06/24/20	166.50	...	-124.43	9 of 12 - Sale ²⁵
	1.336	28.04	06/29/20	121.80	...	-93.76	10 of 12 - Sale ²⁵
	0.334	7.01	06/30/20	28.00	...	-20.99	11 of 12 - Sale ²⁵
	0.160	3.37	07/24/20	12.12	...	-8.75	12 of 12 - Sale ²⁵
09/08/20	17.000	357.00	Various	2,496.09	...	-2,139.09	Total of 12 transactions
WPX ENERGY, INC. / CUSIP: 98212B103 / Symbol:							
<i>3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	6.66	04/27/20	4.90	...	1.76	1 of 3 - Sale ²⁵
	1.000	6.66	04/30/20	6.01	...	0.65	2 of 3 - Sale ²⁵
	8.000	53.28	04/30/20	47.18	...	6.10	3 of 3 - Sale ²⁵
06/11/20	10.000	66.60	Various	58.09	...	8.51	Total of 3 transactions
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
06/04/20	300.000	554.94	05/27/20	255.00	...	299.94	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
<i>10 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	154.69	06/05/20	548.10	...	-393.41	1 of 10 - Sale ²⁵
	30.000	66.29	06/05/20	234.90	...	-168.61	2 of 10 - Sale ²⁵
	33.333	73.66	06/09/20	201.00	...	-127.34	3 of 10 - Sale ²⁵
	0.667	1.47	06/10/20	3.66	...	-2.19	4 of 10 - Sale ²⁵
	99.000	218.77	06/17/20	455.40	...	-236.63	5 of 10 - Sale ²⁵
	15.000	33.15	06/17/20	68.55	...	-35.40	6 of 10 - Sale ²⁵
	100.000	220.98	06/26/20	403.00	...	-182.02	7 of 10 - Sale ²⁵

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	50.000	110.49	06/26/20	201.00	...	-90.51	8 of 10 - Sale ²⁵
	100.000	220.99	07/06/20	398.98	...	-177.99	9 of 10 - Sale ²⁵
	2.000	4.42	08/26/20	5.14	...	-0.72	10 of 10 - Sale ²⁵
10/14/20	500.000	1,104.91	Various	2,519.73	...	-1,414.82	Total of 10 transactions
	5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	102.000	190.01	08/26/20	263.16	...	-73.15	1 of 5 - Sale ²⁵
	66.000	122.95	08/26/20	170.28	...	-47.33	2 of 5 - Sale ²⁵
	25.000	46.57	08/26/20	64.50	...	-17.93	3 of 5 - Sale ²⁵
	5.000	9.31	08/26/20	12.85	...	-3.54	4 of 5 - Sale ²⁵
	11.000	20.49	08/27/20	28.27	...	-7.78	5 of 5 - Sale ²⁵
11/13/20	209.000	389.33	Various	539.06	...	-149.73	Total of 5 transactions
	Security total:	1,494.24		3,058.79	...	-1,564.55	
ARCOS DORADOS HOLDINGS INC. / CUSIP: G0457F107 / Symbol:							
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	25.000	114.00	08/25/20	113.75	...	0.25	1 of 2 - Sale ²⁵
	87.000	397.58	08/25/20	395.84	...	1.74	2 of 2 - Sale ²⁵
08/28/20	112.000	511.58	Various	509.59	...	1.99	Total of 2 transactions
	5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	48.000	216.00	08/25/20	218.39	...	-2.39	1 of 5 - Sale ²⁵
	10.000	45.60	08/25/20	45.50	...	0.10	2 of 5 - Sale ²⁵
	10.000	45.60	08/25/20	45.50	...	0.10	3 of 5 - Sale ²⁵
	10.000	45.60	08/25/20	45.50	...	0.10	4 of 5 - Sale ²⁵
	10.000	45.60	08/25/20	45.50	...	0.10	5 of 5 - Sale ²⁵
08/31/20	88.000	398.40	Various	400.39	...	-1.99	Total of 5 transactions
	Security total:	909.98		909.98	...	0.00	
HUITAO TECHNOLOGY CO., LTD. OR DINARY SHARES / CUSIP: G4645B101 / Symbol:							
05/20/20	200.000	78.04	05/11/20	119.98	...	-41.94	Sale ²⁵
TORM PLC CLASS A COMMON STOCK / CUSIP: G89479102 / Symbol:							
05/29/20	22.000	171.82	04/21/20	318.21	...	-146.39	Sale ²⁵

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TRANSATLANTIC PETROLEUM LTD. / CUSIP: G89982113 / Symbol:							
<i>5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	69.39	05/12/20	84.00	...	-14.61	1 of 5 - Sale ²⁵
	100.000	23.12	05/12/20	28.00	...	-4.88	2 of 5 - Sale ²⁵
	100.000	23.13	05/12/20	28.00	...	-4.87	3 of 5 - Sale ²⁵
	100.000	23.13	05/12/20	28.00	...	-4.87	4 of 5 - Sale ²⁵
	100.000	23.13	05/12/20	28.00	...	-4.87	5 of 5 - Sale ²⁵
05/20/20	700.000	161.90	Various	196.00	...	-34.10	Total of 5 transactions
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
<i>4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	69.17	04/13/20	67.61	...	1.56	1 of 4 - Sale ²⁵
	100.000	69.17	04/13/20	67.61	...	1.56	2 of 4 - Sale ²⁵
	800.000	553.34	04/13/20	540.88	...	12.46	3 of 4 - Sale ²⁵
	1,000.000	691.67	07/06/20	625.00	...	66.67	4 of 4 - Sale ²⁵
07/23/20	2,000.000	1,383.35	Various	1,301.10	...	82.25	Total of 4 transactions
07/23/20	1,000.000	691.67	04/13/20	729.80	38.13 W	0.00	Sale ²⁵
<i>10 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	800.000	418.30	07/24/20	528.00	...	-109.70	1 of 10 - Sale ²⁵
	400.000	209.15	07/24/20	279.25	...	-70.10	2 of 10 - Sale ²⁵
	400.000	209.15	07/24/20	264.00	...	-54.85	3 of 10 - Sale ²⁵
	400.000	209.15	07/24/20	264.00	...	-54.85	4 of 10 - Sale ²⁵
	325.000	169.93	07/24/20	214.50	...	-44.57	5 of 10 - Sale ²⁵
	200.000	104.58	07/24/20	139.63	...	-35.05	6 of 10 - Sale ²⁵
	175.000	91.50	07/24/20	122.17	...	-30.67	7 of 10 - Sale ²⁵
	125.000	65.36	07/24/20	87.27	...	-21.91	8 of 10 - Sale ²⁵
	100.000	52.29	07/24/20	69.81	...	-17.52	9 of 10 - Sale ²⁵
	75.000	39.22	07/24/20	49.50	...	-10.28	10 of 10 - Sale ²⁵
07/30/20	3,000.000	1,568.63	Various	2,018.13	...	-449.50	Total of 10 transactions
	Security total:	3,643.65		4,049.03	38.13 W	-367.25	
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
05/14/20	50.000	22.50	05/13/20	39.05	...	-16.55	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 874941529

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
<i>4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	163.43	05/04/20	145.50	...	17.93	1 of 4 - Sale ²⁵
	190.000	31.05	05/11/20	28.65	...	2.40	2 of 4 - Sale ²⁵
	300.000	49.03	05/11/20	45.24	...	3.79	3 of 4 - Sale ²⁵
	510.000	83.35	05/11/20	76.91	...	6.44	4 of 4 - Sale ²⁵
06/11/20	2,000.000	326.86	Various	296.30	...	30.56	Total of 4 transactions
Totals :		78,309.96		71,033.83	419.18 W	7,695.31	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 874941529

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
03/26/20	0.500	7.44	03/18/20	7.80	...	-0.36	Gain or loss
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.102	1.57	11/09/20	0.00	...	1.57	Gain or loss
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
09/14/20	0.727	3.30	06/08/20	0.00	...	3.30	Gain or loss
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
09/14/20	0.363	1.64	06/08/20	0.00	...	1.64	Gain or loss
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
09/14/20	0.015	0.43	06/08/20	4.31	...	-3.88	Gain or loss
Totals:		14.38		12.11	...	2.27	

Robinhood Securities LLC

Account 874941529

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	14.35	Qualified dividend	
DANAHER CORPORATION	235851102		04/24/20	0.36	Qualified dividend	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.27	Qualified dividend	
			09/29/20	0.09	Qualified dividend	
			12/30/20	16.61	Qualified dividend	
			16.97	Total Dividends & distributions		
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	6.00	Nondividend distribution	03
MICROSOFT CORPORATION COMMON STOCK	594918104		09/10/20	9.69	Qualified dividend	
TORM PLC CLASS A COMMON STOCK	G89479102		05/15/20	2.20	Nonqualified dividend	
				49.57	Total Dividends & distributions	

Robinhood Securities LLC

Account 874941529

Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500	09/29/20	0.02	Substitute payment	
		12/30/20	3.09	Substitute payment	
			3.11	Total Miscellaneous income	
			3.11	Total Miscellaneous income	

Robinhood Securities LLC

Account 874941529

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/29/20	-5.00	Margin interest paid	
		06/29/20	-5.00	Margin interest paid	
		07/28/20	-5.00	Margin interest paid	
		08/27/20	-5.00	Margin interest paid	
		09/28/20	-5.00	Margin interest paid	
		09/28/20	-29.88	Margin interest paid	
		10/26/20	-5.00	Margin interest paid	
		10/26/20	-45.44	Margin interest paid	
		11/25/20	-5.00	Margin interest paid	
		11/25/20	-44.43	Margin interest paid	
		12/28/20	-5.00	Margin interest paid	
		12/28/20	-39.51	Margin interest paid	
			-199.26	Total Margin interest paid	
			-199.26	Total Margin interest paid	

Robinhood Securities LLC

Account 874941529

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
UK	TORM PLC CLASS A COMMON STOCK	G89479102	2.20	0.00		0.00	2.20	0.00
Total UNITED KINGDOM			2.20	0.00		0.00	2.20	0.00
Grand Total	Total Foreign Source Income*			2.20				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 874941529

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
VIATRIS INC. COMMON STOCK	92556V106	11/17/20	3.00	0.00	Stock spinoff	
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024	966387110	09/02/20	66.00	0.00	Merger	
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024	966387128	09/02/20	33.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387409	09/02/20	-1,274.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387508	09/02/20	17.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Instructions for Recipient

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction

directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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