

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 666323431	Statement Date: 02/14/2021 Document ID: Y6QU 3Y0 NY41	2020
	Jinesh Jhonsa 6540 El Colegio Rd 4302 Goleta, CA 93117 RECIPIENT'S TIN: XXX-XX-6785		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	---

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	203,463.22	212,548.64	0.00	289.08	-8,796.34
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	203,463.22	212,548.64	0.00	289.08	-8,796.34
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	203,463.22	212,548.64	0.00	289.08	-8,796.34
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 666323431

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.01
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) &	Additional information
A 03/20/2020 CALL \$87.50 / CUSIP: / Symbol:								
02/19/20	1.000	141.98	02/18/20	176.00	...		-34.02	Option sale to close-call ²⁵
AA 01/31/2020 PUT \$19.50 / CUSIP: / Symbol:								
01/28/20	1.000	429.98	01/13/20	95.00	...		334.98	Option sale to close-put ²⁵
AAL 03/20/2020 PUT \$12.00 / CUSIP: / Symbol:								
03/17/20	5.000	314.98	03/12/20	420.00	...		-105.02	Option sale to close-put ²⁵
AAL 04/17/2020 PUT \$9.00 / CUSIP: / Symbol:								
04/07/20	2.000	103.98	04/01/20	150.00	...		-46.02	Option sale to close-put ²⁵
AAL 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:								
06/19/20	1.000	0.00	06/08/20	99.00	...		-99.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAP 06/19/2020 CALL \$150.00 / CUSIP: / Symbol: 05/21/20	1.000	109.98	05/20/20	205.00	...	-95.02	Option sale to close-call ²⁵
AAPL 02/07/2020 CALL \$310.00 / CUSIP: / Symbol: 01/27/20	1.000	959.97	12/27/19	370.00	...	589.97	Option sale to close-call ²⁵
AAPL 02/21/2020 CALL \$325.00 / CUSIP: / Symbol: 02/03/20	1.000	187.98	01/28/20	610.00	...	-422.02	Option sale to close-call ²⁵
AAPL 03/13/2020 PUT \$255.00 / CUSIP: / Symbol: 02/28/20	1.000	515.97	02/27/20	325.00	...	190.97	Option sale to close-put ²⁵
AAPL 03/27/2020 CALL \$277.50 / CUSIP: / Symbol: 03/18/20	1.000	257.98	03/17/20	400.00	...	-142.02	Option sale to close-call ²⁵
AAPL 04/03/2020 PUT \$200.00 / CUSIP: / Symbol: 03/19/20	1.000	534.97	03/17/20	550.00	...	-15.03	Option sale to close-put ²⁵
AAPL 04/03/2020 PUT \$210.00 / CUSIP: / Symbol: 03/17/20	1.000	979.96	03/13/20	325.00	...	654.96	Option sale to close-put ²⁵
AAPL 04/03/2020 PUT \$215.00 / CUSIP: / Symbol: 03/12/20	1.000	604.97	03/09/20	350.00	...	254.97	Option sale to close-put ²⁵
AAPL 04/09/2020 PUT \$215.00 / CUSIP: / Symbol: 03/26/20	1.000	344.98	03/25/20	485.00	...	-140.02	Option sale to close-put ²⁵
AAPL 04/09/2020 PUT \$220.00 / CUSIP: / Symbol: 03/31/20	1.000	201.98	03/27/20	470.00	...	-268.02	Option sale to close-put ²⁵
AAPL 06/19/2020 PUT \$340.00 / CUSIP: / Symbol: 06/12/20	1.000	534.97	06/11/20	350.00	...	184.97	Option sale to close-put ²⁵
AAPL 06/26/2020 CALL \$365.00 / CUSIP: / Symbol: 06/18/20	1.000	253.98	06/17/20	345.00	...	-91.02	Option sale to close-call ²⁵
AAPL 06/26/2020 PUT \$335.00 / CUSIP: / Symbol: 06/19/20	1.000	187.98	06/18/20	229.00	...	-41.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/02/2020 CALL \$370.00 / CUSIP: / Symbol: 06/22/20	1.000	215.98	06/19/20	184.00	...	31.98	Option sale to close-call ²⁵
AAPL 07/02/2020 CALL \$375.00 / CUSIP: / Symbol: 06/26/20	1.000	104.98	06/23/20	295.00	...	-190.02	Option sale to close-call ²⁵
AAPL 07/17/2020 CALL \$405.00 / CUSIP: / Symbol: 07/14/20	1.000	84.98	07/14/20	171.00	...	-86.02	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$400.00 / CUSIP: / Symbol: 07/21/20	1.000	255.98	07/17/20	229.00	...	26.98	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$405.00 / CUSIP: / Symbol: 07/15/20	1.000	579.97	07/14/20	385.00	...	194.97	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$420.00 / CUSIP: / Symbol: 07/07/20	1.000	211.98	06/30/20	193.00	...	18.98	Option sale to close-call ²⁵
AAPL 08/07/2020 PUT \$350.00 / CUSIP: / Symbol: 07/29/20	1.000	152.98	07/28/20	273.00	...	-120.02	Option sale to close-put ²⁵
AAPL 08/14/2020 PUT \$430.00 / CUSIP: / Symbol: 08/10/20	1.000	186.98	08/07/20	230.00	...	-43.02	Option sale to close-put ²⁵
AAPL 08/28/2020 CALL \$500.00 / CUSIP: / Symbol: 08/17/20	1.000	191.98	08/14/20	276.00	...	-84.02	Option sale to close-call ²⁵
AAPL 09/04/2020 CALL \$135.00 / CUSIP: / Symbol: 09/01/20	1.000	205.98	08/31/20	118.00	...	87.98	Option sale to close-call ²⁵
AAPL 09/18/2020 CALL \$122.50 / CUSIP: / Symbol: 09/15/20	1.000	76.98	09/11/20	56.00	...	20.98	Option sale to close-call ²⁵
AAPL 10/02/2020 PUT \$100.00 / CUSIP: / Symbol: 09/25/20	1.000	37.98	09/24/20	77.00	...	-39.02	Option sale to close-put ²⁵
AAPL 10/09/2020 PUT \$107.50 / CUSIP: / Symbol: 10/02/20	1.000	49.98	09/30/20	49.00	...	0.98	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/13/2020 CALL \$123.00 / CUSIP: / Symbol:							
11/09/20	1.000	83.98	11/04/20	46.00	...	37.98	Option sale to close-call ²⁵
AAPL 11/27/2020 PUT \$112.00 / CUSIP: / Symbol:							
11/24/20	1.000	56.98	11/23/20	57.00	...	-0.02	Option sale to close-put ²⁵
AAPL 12/31/2020 PUT \$126.00 / CUSIP: / Symbol:							
12/24/20	1.000	32.98	12/23/20	50.00	...	-17.02	Option sale to close-put ²⁵
AAXN 11/20/2020 CALL \$130.00 / CUSIP: / Symbol:							
11/09/20	1.000	134.98	11/05/20	100.00	...	34.98	Option sale to close-call ²⁵
ABC 02/21/2020 CALL \$91.00 / CUSIP: / Symbol:							
02/06/20	1.000	274.98	01/29/20	235.00	...	39.98	Option sale to close-call ²⁵
ABT 01/31/2020 CALL \$89.50 / CUSIP: / Symbol:							
01/23/20	1.000	232.98	01/21/20	127.00	...	105.98	Option sale to close-call ²⁵
ACB 05/29/2020 CALL \$16.00 / CUSIP: / Symbol:							
05/18/20	1.000	369.98	05/18/20	575.00	...	-205.02	Option sale to close-call ²⁵
ACN 04/03/2020 PUT \$105.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	03/18/20	660.00	...	-660.00	1 of 2 - Option expiration- long put
	1.000	0.00	03/23/20	90.00	...	-90.00	2 of 2 - Option expiration- long put
04/03/20	3.000	0.00	Various	750.00	...	-750.00	Total of 2 transactions
ADBE 03/20/2020 PUT \$245.00 / CUSIP: / Symbol:							
03/17/20	1.000	231.98	03/12/20	435.00	...	-203.02	Option sale to close-put ²⁵
ADBE 03/27/2020 PUT \$240.00 / CUSIP: / Symbol:							
03/16/20	1.000	524.97	03/12/20	450.00	...	74.97	Option sale to close-put ²⁵
ADC 03/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
03/11/20	1.000	19.98	02/20/20	145.00	...	-125.02	Option sale to close-call ²⁵
ADSK 12/04/2020 PUT \$235.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/24/20	135.00	...	-135.00	Option expiration- long put

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGTC 01/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
01/10/20	1.000	139.98	01/09/20	60.00	...	79.98	Option sale to close-call ²⁵
ALGN 02/21/2020 CALL \$302.50 / CUSIP: / Symbol:							
01/30/20	1.000	29.98	01/29/20	380.00	...	-350.02	Option sale to close-call ²⁵
ALGN 04/03/2020 CALL \$187.50 / CUSIP: / Symbol:							
03/18/20	1.000	459.97	03/17/20	410.00	...	49.97	Option sale to close-call ²⁵
ALLY 02/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
01/23/20	1.000	209.98	01/21/20	114.00	...	95.98	Option sale to close-call ²⁵
ALSN 03/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
02/25/20	1.000	74.98	02/19/20	145.00	...	-70.02	Option sale to close-call ²⁵
AMAT 05/22/2020 CALL \$61.00 / CUSIP: / Symbol:							
05/22/20	2.000	0.00	05/14/20	64.00	...	-64.00	Option expiration- long call
AMD 02/07/2020 CALL \$50.50 / CUSIP: / Symbol:							
01/27/20	1.000	198.98	01/09/20	305.00	...	-106.02	Option sale to close-call ²⁵
AMD 04/03/2020 PUT \$35.00 / CUSIP: / Symbol:							
03/19/20	1.000	236.98	03/18/20	285.00	...	-48.02	Option sale to close-put ²⁵
AMD 05/15/2020 CALL \$56.00 / CUSIP: / Symbol:							
05/07/20	2.000	57.98	05/05/20	194.00	...	-136.02	Option sale to close-call ²⁵
AMD 08/07/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/03/20	1.000	99.98	07/30/20	178.00	...	-78.02	Option sale to close-call ²⁵
AMD 08/28/2020 CALL \$85.00 / CUSIP: / Symbol:							
08/18/20	1.000	127.98	08/17/20	173.00	...	-45.02	Option sale to close-call ²⁵
AMD 11/06/2020 PUT \$70.00 / CUSIP: / Symbol:							
11/03/20	1.000	14.98	11/02/20	53.00	...	-38.02	Option sale to close-put ²⁵
AMOT 04/17/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/18/20	1.000	499.97	03/11/20	270.00	...	229.97	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANET 03/06/2020 PUT \$212.50 / CUSIP: / Symbol:							
02/18/20	1.000	134.98	02/14/20	360.00	...	-225.02	Option sale to close-put ²⁵
APA 01/24/2020 CALL \$33.00 / CUSIP: / Symbol:							
01/13/20	1.000	40.98	01/07/20	92.00	...	-51.02	Option sale to close-call ²⁵
APH 02/21/2020 PUT \$105.00 / CUSIP: / Symbol:							
01/24/20	1.000	124.98	01/22/20	185.00	...	-60.02	Option sale to close-put ²⁵
APHA 01/31/2020 CALL \$5.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/13/20	35.00	...	-35.00	Option expiration- long call
ATHM 03/20/2020 PUT \$75.00 / CUSIP: / Symbol:							
02/19/20	1.000	99.98	02/18/20	300.00	...	-200.02	Option sale to close-put ²⁵
ATVI 02/28/2020 PUT \$59.50 / CUSIP: / Symbol:							
02/26/20	2.000	155.98	02/06/20	386.00	...	-230.02	Option sale to close-put ²⁵
AVAV 01/17/2020 CALL \$65.00 / CUSIP: / Symbol:							
01/07/20	1.000	264.98	12/03/19	410.00	...	-145.02	Option sale to close-call ²⁵
AVGO 02/14/2020 CALL \$340.00 / CUSIP: / Symbol:							
01/27/20	1.000	89.98	01/24/20	440.00	...	-350.02	Option sale to close-call ²⁵
AVGO 03/27/2020 CALL \$210.00 / CUSIP: / Symbol:							
03/18/20	1.000	299.98	03/17/20	265.00	...	34.98	Option sale to close-call ²⁵
AVGO 03/27/2020 PUT \$145.00 / CUSIP: / Symbol:							
03/23/20	1.000	54.98	03/18/20	520.00	...	-465.02	Option sale to close-put ²⁵
AVGO 03/27/2020 PUT \$180.00 / CUSIP: / Symbol:							
03/17/20	1.000	1,259.96	03/12/20	400.00	...	859.96	Option sale to close-put ²⁵
AXP 06/26/2020 PUT \$96.00 / CUSIP: / Symbol:							
06/19/20	1.000	103.98	06/18/20	130.00	...	-26.02	Option sale to close-put ²⁵
AXP 10/30/2020 PUT \$99.00 / CUSIP: / Symbol:							
10/26/20	1.000	245.98	10/23/20	70.00	...	175.98	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYI 04/17/2020 PUT \$65.00 / CUSIP: / Symbol: 04/17/20	1.000	0.00	04/01/20	175.00	...	-175.00	Option expiration- long put
AYX 02/21/2020 CALL \$130.00 / CUSIP: / Symbol: 01/23/20	1.000	879.97	01/09/20	330.00	...	549.97	Option sale to close-call ²⁵
AYX 06/19/2020 CALL \$170.00 / CUSIP: / Symbol: 05/29/20	1.000	119.98	05/20/20	210.00	...	-90.02	Option sale to close-call ²⁵
AZPN 02/21/2020 CALL \$145.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	01/29/20	370.00	...	-370.00	Option expiration- long call
BA 04/03/2020 PUT \$75.00 / CUSIP: / Symbol: 03/23/20	1.000	629.97	03/17/20	375.00	...	254.97	Option sale to close-put ²⁵
BA 04/09/2020 PUT \$98.00 / CUSIP: / Symbol: 03/26/20	1.000	185.98	03/25/20	420.00	...	-234.02	Option sale to close-put ²⁵
BA 04/17/2020 CALL \$180.00 / CUSIP: / Symbol: 04/07/20	1.000	242.98	04/07/20	460.00	...	-217.02	Option sale to close-call ²⁵
BA 04/24/2020 PUT \$91.00 / CUSIP: / Symbol: 04/14/20	1.000	65.98	04/01/20	445.00	...	-379.02	Option sale to close-put ²⁵
BA 04/24/2020 PUT \$92.00 / CUSIP: / Symbol: 04/03/20	1.000	454.97	04/01/20	450.00	...	4.97	Option sale to close-put ²⁵
BA 05/08/2020 PUT \$105.00 / CUSIP: / Symbol: 04/29/20	1.000	62.98	04/28/20	159.00	...	-96.02	Option sale to close-put ²⁵
BA 05/15/2020 PUT \$100.00 / CUSIP: / Symbol: 05/05/20	1.000	38.98	05/04/20	91.00	...	-52.02	Option sale to close-put ²⁵
BA 05/29/2020 CALL \$142.00 / CUSIP: / Symbol: 05/19/20	1.000	384.98	05/18/20	201.00	...	183.98	Option sale to close-call ²⁵
BA 06/05/2020 CALL \$160.00 / CUSIP: / Symbol: 05/29/20	1.000	79.98	05/26/20	149.00	...	-69.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/05/2020 CALL \$165.00 / CUSIP: / Symbol:							
05/22/20	1.000	71.98	05/21/20	173.00	...	-101.02	Option sale to close-call ²⁵
BA 07/24/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/21/20	1.000	66.98	07/21/20	119.00	...	-52.02	Option sale to close-call ²⁵
BA 07/31/2020 PUT \$155.00 / CUSIP: / Symbol:							
07/30/20	1.000	19.98	07/29/20	83.00	...	-63.02	Option sale to close-put ²⁵
BA 12/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
12/15/20	1.000	41.98	12/11/20	175.00	...	-133.02	Option sale to close-call ²⁵
BABA 02/21/2020 CALL \$230.00 / CUSIP: / Symbol:							
02/10/20	1.000	177.98	02/04/20	390.00	...	-212.02	Option sale to close-call ²⁵
BABA 03/13/2020 PUT \$190.00 / CUSIP: / Symbol:							
02/28/20	1.000	374.98	02/27/20	273.00	...	101.98	Option sale to close-put ²⁵
BABA 03/27/2020 CALL \$195.00 / CUSIP: / Symbol:							
03/18/20	1.000	158.98	03/17/20	365.00	...	-206.02	Option sale to close-call ²⁵
BABA 04/09/2020 CALL \$205.00 / CUSIP: / Symbol:							
04/09/20	1.000	0.00	03/31/20	120.00	...	-120.00	Option expiration- long call
BAC 01/24/2020 CALL \$35.00 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/13/20	51.00	...	-51.00	Option expiration- long call
BAX 04/03/2020 PUT \$70.00 / CUSIP: / Symbol:							
03/23/20	1.000	262.98	03/16/20	400.00	...	-137.02	Option sale to close-put ²⁵
BBY 08/28/2020 CALL \$125.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/24/20	161.00	...	-161.00	Option expiration- long call
BCRX 02/21/2020 CALL \$4.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/27/20	29.00	...	-29.00	Option expiration- long call
BIDU 05/29/2020 CALL \$120.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/20/20	105.00	...	-105.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIG 01/17/2020 PUT \$17.50 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/05/19	95.00	...	-95.00	Option expiration- long put
BILI 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/28/20	1.000	14.98	05/21/20	65.00	...	-50.02	Option sale to close-call ²⁵
BILI 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/26/20	109.00	...	-109.00	Option expiration- long call
BOX 09/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/26/20	65.00	...	-65.00	Option expiration- long call
BRKS 03/20/2020 PUT \$37.50 / CUSIP: / Symbol:							
02/11/20	1.000	44.98	02/06/20	150.00	...	-105.02	Option sale to close-put ²⁵
BURL 08/28/2020 PUT \$180.00 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/26/20	200.00	...	-200.00	Option expiration- long put
BYND 02/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
01/22/20	1.000	1,309.96	01/21/20	830.00	...	479.96	Option sale to close-call ²⁵
BYND 02/21/2020 CALL \$155.00 / CUSIP: / Symbol:							
01/16/20	1.000	255.98	01/14/20	495.00	...	-239.02	Option sale to close-call ²⁵
BYND 02/21/2020 CALL \$160.00 / CUSIP: / Symbol:							
01/22/20	1.000	664.97	01/22/20	895.00	...	-230.03	Option sale to close-call ²⁵
BYND 04/03/2020 CALL \$69.00 / CUSIP: / Symbol:							
03/18/20	1.000	132.98	03/17/20	350.00	...	-217.02	Option sale to close-call ²⁵
BYND 05/15/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/08/20	1.000	149.98	05/06/20	125.00	...	24.98	Option sale to close-call ²⁵
BYND 05/29/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/13/20	151.00	...	-151.00	Option expiration- long call
C 01/24/2020 CALL \$79.50 / CUSIP: / Symbol:							
01/14/20	1.000	292.98	01/13/20	131.00	...	161.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAT 02/21/2020 PUT \$130.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/30/20	245.00	...	-245.00	Option expiration- long put
CBU 02/21/2020 PUT \$70.00 / CUSIP: / Symbol:							
01/28/20	1.000	214.98	01/21/20	100.00	...	114.98	Option sale to close-put ²⁵
CCL 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/08/20	75.00	...	-75.00	Option expiration- long call
CHGG 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/06/20	1.000	284.98	05/05/20	65.00	...	219.98	Option sale to close-call ²⁵
CHGG 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/25/20	1.000	94.98	06/24/20	100.00	...	-5.02	Option sale to close-call ²⁵
CHGG 07/17/2020 PUT \$60.00 / CUSIP: / Symbol:							
06/24/20	1.000	174.98	06/23/20	135.00	...	39.98	Option sale to close-put ²⁵
CIEN 01/03/2020 PUT \$35.00 / CUSIP: / Symbol:							
01/03/20	1.000	0.00	12/11/19	175.00	...	-175.00	Option expiration- long put
CLDR 03/20/2020 PUT \$7.00 / CUSIP: / Symbol:							
03/17/20	1.000	59.98	03/10/20	50.00	...	9.98	Option sale to close-put ²⁵
CLX 02/28/2020 CALL \$162.50 / CUSIP: / Symbol:							
02/18/20	1.000	319.98	02/04/20	310.00	...	9.98	Option sale to close-call ²⁵
CLX 03/27/2020 CALL \$195.00 / CUSIP: / Symbol:							
03/18/20	1.000	1,799.95	03/10/20	140.00	...	1,659.95	Option sale to close-call ²⁵
COO 01/17/2020 CALL \$340.00 / CUSIP: / Symbol:							
01/13/20	1.000	104.98	12/05/19	275.00	...	-170.02	Option sale to close-call ²⁵
COP 06/05/2020 CALL \$46.00 / CUSIP: / Symbol:							
06/03/20	1.000	21.98	05/26/20	81.00	...	-59.02	Option sale to close-call ²⁵
COUP 02/14/2020 CALL \$180.00 / CUSIP: / Symbol:							
01/16/20	1.000	279.98	01/07/20	400.00	...	-120.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CPB 01/03/2020 PUT \$46.50 / CUSIP: / Symbol:							
01/03/20	1.000	0.00	12/03/19	135.00	...	-135.00	Option expiration- long put
CPB 03/27/2020 PUT \$47.00 / CUSIP: / Symbol:							
03/04/20	1.000	39.98	03/03/20	155.00	...	-115.02	Option sale to close-put ²⁵
CPRT 03/20/2020 CALL \$105.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/19/20	421.00	...	-421.00	Option expiration- long call
CPS 03/20/2020 PUT \$17.50 / CUSIP: / Symbol:							
03/17/20	1.000	429.98	02/24/20	110.00	...	319.98	Option sale to close-put ²⁵
CRM 03/13/2020 CALL \$190.00 / CUSIP: / Symbol:							
02/27/20	1.000	95.98	02/25/20	375.00	...	-279.02	Option sale to close-call ²⁵
CRM 08/28/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/24/20	1.000	174.98	08/21/20	209.00	...	-34.02	Option sale to close-call ²⁵
CRM 08/28/2020 CALL \$230.00 / CUSIP: / Symbol:							
08/26/20	1.000	2,169.94	08/25/20	163.00	...	2,006.94	Option sale to close-call ²⁵
CRM 08/28/2020 CALL \$275.00 / CUSIP: / Symbol:							
08/27/20	1.000	172.98	08/26/20	243.00	...	-70.02	Option sale to close-call ²⁵
CRM 12/04/2020 PUT \$225.00 / CUSIP: / Symbol:							
12/02/20	1.000	944.96	12/01/20	180.00	...	764.96	Option sale to close-put ²⁵
CRWD 09/04/2020 PUT \$103.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/02/20	25.00	...	-25.00	Option expiration- long put
CRWD 12/04/2020 PUT \$125.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	12/02/20	64.00	...	-64.00	Option expiration- long put
CSCO 06/12/2020 CALL \$46.00 / CUSIP: / Symbol:							
05/18/20	1.000	87.98	05/15/20	88.00	...	-0.02	Option sale to close-call ²⁵
CSX 01/31/2020 PUT \$76.00 / CUSIP: / Symbol:							
01/23/20	1.000	182.98	01/16/20	168.00	...	14.98	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CTXS 02/14/2020 CALL \$121.00 / CUSIP: / Symbol:							
01/24/20	1.000	709.97	01/22/20	280.00	...	429.97	Option sale to close-call ²⁵
CVX 03/27/2020 PUT \$65.00 / CUSIP: / Symbol:							
03/17/20	1.000	394.98	03/09/20	180.00	...	214.98	Option sale to close-put ²⁵
CVX 04/03/2020 PUT \$55.00 / CUSIP: / Symbol:							
03/17/20	1.000	225.98	03/13/20	184.00	...	41.98	Option sale to close-put ²⁵
CYBR 02/21/2020 CALL \$135.00 / CUSIP: / Symbol:							
01/21/20	1.000	959.97	01/07/20	490.00	...	469.97	Option sale to close-call ²⁵
CYBR 07/17/2020 CALL \$109.00 / CUSIP: / Symbol:							
07/07/20	1.000	234.98	07/06/20	205.00	...	29.98	Option sale to close-call ²⁵
CYTK 07/17/2020 CALL \$27.00 / CUSIP: / Symbol:							
07/14/20	1.000	109.98	07/10/20	70.00	...	39.98	Option sale to close-call ²⁵
DAL 01/24/2020 CALL \$59.50 / CUSIP: / Symbol:							
01/21/20	1.000	162.98	01/13/20	122.00	...	40.98	Option sale to close-call ²⁵
DAL 03/20/2020 PUT \$45.00 / CUSIP: / Symbol:							
03/06/20	1.000	256.98	02/27/20	223.00	...	33.98	Option sale to close-put ²⁵
DAL 03/27/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/16/20	1.000	194.98	03/12/20	244.00	49.02 W	0.00	Option sale to close-put ²⁵
		<i>2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	305.99	03/12/20	327.02	...	-21.03	1 of 2 - Option sale to close-put ²⁵
	2.000	611.97	03/12/20	556.00	...	55.97	2 of 2 - Option sale to close-put ²⁵
03/17/20	3.000	917.96	Various	883.02	...	34.94	Total of 2 transactions
	Security total:	1,112.94		1,127.02	49.02 W	34.94	
DAL 04/09/2020 PUT \$28.00 / CUSIP: / Symbol:							
03/26/20	1.000	285.98	03/25/20	355.00	...	-69.02	Option sale to close-put ²⁵
DAL 05/15/2020 PUT \$18.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/04/20	59.00	...	-59.00	Option expiration- long put

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAL 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/08/20	137.00	...	-137.00	Option expiration- long call
DAL 07/17/2020 PUT \$26.00 / CUSIP: / Symbol:							
07/15/20	1.000	29.98	07/13/20	64.00	...	-34.02	Option sale to close-put ²⁵
DDS 03/20/2020 PUT \$50.00 / CUSIP: / Symbol:							
02/25/20	1.000	94.98	02/24/20	205.00	...	-110.02	Option sale to close-put ²⁵
DFS 02/14/2020 CALL \$86.50 / CUSIP: / Symbol:							
02/14/20	1.000	0.00	01/23/20	180.00	...	-180.00	Option expiration- long call
DG 09/04/2020 PUT \$190.00 / CUSIP: / Symbol:							
09/02/20	1.000	9.98	08/27/20	75.00	...	-65.02	Option sale to close-put ²⁵
DGX 02/21/2020 CALL \$115.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/29/20	100.00	...	-100.00	Option expiration- long call
DGX 05/15/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/08/20	1.000	9.98	04/27/20	165.00	...	-155.02	Option sale to close-call ²⁵
DGX 11/20/2020 CALL \$140.00 / CUSIP: / Symbol:							
10/23/20	1.000	69.98	10/21/20	70.00	...	-0.02	Option sale to close-call ²⁵
DIS 02/21/2020 CALL \$145.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/04/20	500.00	...	-500.00	Option expiration- long call
DIS 03/13/2020 PUT \$114.00 / CUSIP: / Symbol:							
03/03/20	1.000	237.98	02/27/20	253.00	...	-15.02	Option sale to close-put ²⁵
DIS 03/27/2020 PUT \$95.00 / CUSIP: / Symbol:							
03/17/20	1.000	729.97	03/10/20	249.00	...	480.97	Option sale to close-put ²⁵
DIS 04/03/2020 PUT \$85.00 / CUSIP: / Symbol:							
03/17/20	1.000	499.97	03/16/20	570.00	...	-70.03	Option sale to close-put ²⁵
DIS 04/24/2020 PUT \$86.00 / CUSIP: / Symbol:							
04/07/20	1.000	77.98	04/03/20	297.00	...	-219.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 09/04/2020 PUT \$126.00 / CUSIP: / Symbol:							
08/28/20	1.000	13.98	08/25/20	79.00	...	-65.02	Option sale to close-put ²⁵
DIS 11/13/2020 CALL \$150.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/10/20	70.00	...	-70.00	Option expiration- long call
DKS 03/20/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/12/20	1.000	349.98	03/09/20	95.00	...	254.98	Option sale to close-put ²⁵
DLB 02/21/2020 PUT \$65.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/29/20	110.00	...	-110.00	Option expiration- long put
DLTR 08/28/2020 CALL \$110.00 / CUSIP: / Symbol:							
08/27/20	1.000	12.98	08/26/20	184.00	...	-171.02	Option sale to close-call ²⁵
DOCU 01/17/2020 PUT \$65.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/05/19	255.00	...	-255.00	Option expiration- long put
DOCU 03/20/2020 PUT \$62.50 / CUSIP: / Symbol:							
03/17/20	1.000	54.98	03/12/20	360.00	...	-305.02	Option sale to close-put ²⁵
DOCU 07/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/09/20	330.00	...	-330.00	Option expiration- long call
DOCU 08/07/2020 PUT \$195.00 / CUSIP: / Symbol:							
07/30/20	1.000	96.98	07/29/20	210.00	...	-113.02	Option sale to close-put ²⁵
DOCU 08/21/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/17/20	1.000	133.98	08/14/20	139.00	...	-5.02	Option sale to close-call ²⁵
DOCU 09/04/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/27/20	1.000	255.98	08/26/20	248.00	...	7.98	Option sale to close-call ²⁵
DORM 03/20/2020 PUT \$75.00 / CUSIP: / Symbol:							
02/28/20	1.000	1,369.95	02/21/20	290.00	...	1,079.95	Option sale to close-put ²⁵
DOW 05/29/2020 CALL \$39.00 / CUSIP: / Symbol:							
05/29/20	1.000	7.98	05/18/20	65.00	...	-57.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DVAX 06/19/2020 CALL \$10.00 / CUSIP: / Symbol: 05/29/20	1.000	10.98	05/19/20	40.00	...	-29.02	Option sale to close-call ²⁵
DXCM 03/20/2020 CALL \$310.00 / CUSIP: / Symbol: 02/19/20	1.000	599.97	02/13/20	180.00	...	419.97	Option sale to close-call ²⁵
EDU 02/21/2020 CALL \$145.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	01/17/20	290.00	...	-290.00	Option expiration- long call
EGBN 02/21/2020 PUT \$45.00 / CUSIP: / Symbol: 01/16/20	1.000	54.98	01/15/20	135.00	...	-80.02	Option sale to close-put ²⁵
EHTH 03/20/2020 CALL \$145.00 / CUSIP: / Symbol: 02/24/20	1.000	179.98	02/20/20	480.00	...	-300.02	Option sale to close-call ²⁵
EPAM 03/20/2020 CALL \$240.00 / CUSIP: / Symbol: 03/05/20	1.000	449.98	02/26/20	160.00	...	289.98	Option sale to close-call ²⁵
EPAM 03/20/2020 CALL \$250.00 / CUSIP: / Symbol: 02/24/20	1.000	64.98	02/19/20	450.00	...	-385.02	Option sale to close-call ²⁵
ESS 06/19/2020 CALL \$270.00 / CUSIP: / Symbol: 06/01/20	1.000	59.98	05/26/20	230.00	...	-170.02	Option sale to close-call ²⁵
ETSY 07/24/2020 PUT \$105.00 / CUSIP: / Symbol: 07/09/20	1.000	264.98	07/02/20	310.00	...	-45.02	Option sale to close-put ²⁵
ETSY 11/06/2020 PUT \$115.00 / CUSIP: / Symbol: 11/06/20	1.000	0.00	11/02/20	120.00	...	-120.00	Option expiration- long put
ETSY 11/27/2020 PUT \$110.00 / CUSIP: / Symbol: 11/27/20	1.000	0.00	11/13/20	93.00	...	-93.00	Option expiration- long put
EV 01/17/2020 CALL \$50.00 / CUSIP: / Symbol: 01/17/20	1.000	0.00	11/25/19	105.00	...	-105.00	Option expiration- long call
EVBG 03/20/2020 CALL \$100.00 / CUSIP: / Symbol: 02/24/20	1.000	631.97	02/18/20	265.00	...	366.97	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EWC 03/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	03/13/20	60.00	...	-0.02	1 of 3 - Option sale to close-put ²⁵
	1.000	69.98	03/13/20	60.00	...	9.98	2 of 3 - Option sale to close-put ²⁵
	1.000	94.98	03/13/20	60.00	...	34.98	3 of 3 - Option sale to close-put ²⁵
03/17/20	3.000	224.94	Various	180.00	...	44.94	Total of 3 transactions
EXPO 02/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
02/19/20	1.000	649.97	02/06/20	135.00	...	514.97	Option sale to close-call ²⁵
EXPO 03/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
02/18/20	1.000	569.97	02/06/20	210.00	...	359.97	Option sale to close-call ²⁵
FANG 03/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
02/24/20	1.000	89.98	02/18/20	165.00	...	-75.02	Option sale to close-call ²⁵
FANG 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/29/20	1.000	14.98	05/21/20	60.00	...	-45.02	Option sale to close-call ²⁵
FAST 02/21/2020 CALL \$37.50 / CUSIP: / Symbol:							
02/06/20	1.000	79.98	01/16/20	125.00	...	-45.02	Option sale to close-call ²⁵
FB 02/21/2020 CALL \$230.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/29/20	435.00	...	-435.00	Option expiration- long call
FB 05/29/2020 CALL \$235.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/20/20	168.00	...	-168.00	Option expiration- long call
FB 06/05/2020 CALL \$240.00 / CUSIP: / Symbol:							
06/03/20	1.000	23.98	05/27/20	160.00	...	-136.02	Option sale to close-call ²⁵
FB 08/14/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/12/20	1.000	32.98	08/07/20	187.00	...	-154.02	Option sale to close-call ²⁵
FB 09/04/2020 PUT \$255.00 / CUSIP: / Symbol:							
08/25/20	1.000	87.98	08/24/20	235.00	...	-147.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 09/04/2020 PUT \$270.00 / CUSIP: / Symbol: 08/31/20	1.000	32.98	08/27/20	122.00	...	-89.02	Option sale to close-put ²⁵
FB 09/25/2020 CALL \$270.00 / CUSIP: / Symbol: 09/23/20	1.000	34.98	09/22/20	28.00	...	6.98	Option sale to close-call ²⁵
FDX 04/03/2020 PUT \$75.00 / CUSIP: / Symbol: 04/03/20	1.000	0.00	03/17/20	475.00	...	-475.00	Option expiration- long put
FDX 12/24/2020 CALL \$340.00 / CUSIP: / Symbol: 12/24/20	1.000	0.00	12/17/20	155.00	...	-155.00	Option expiration- long call
FIVE 03/27/2020 PUT \$40.00 / CUSIP: / Symbol: 03/19/20	3.000	449.98	03/18/20	795.00	...	-345.02	Option sale to close-put ²⁵
FNKO 06/19/2020 CALL \$7.50 / CUSIP: / Symbol: 05/29/20	1.000	9.98	05/27/20	13.00	...	-3.02	Option sale to close-call ²⁵
FRC 02/21/2020 CALL \$115.00 / CUSIP: / Symbol: 01/17/20	1.000	459.98	01/13/20	295.00	...	164.98	Option sale to close-call ²⁵
FSLR 08/14/2020 CALL \$80.00 / CUSIP: / Symbol: 08/14/20	1.000	0.00	08/07/20	142.00	...	-142.00	Option expiration- long call
FSLR 10/30/2020 CALL \$90.00 / CUSIP: / Symbol: 10/30/20	1.000	0.00	10/26/20	100.00	...	-100.00	Option expiration- long call
FSLY 10/02/2020 PUT \$80.00 / CUSIP: / Symbol: 09/25/20	1.000	59.98	09/23/20	140.00	...	-80.02	Option sale to close-put ²⁵
FTNT 02/21/2020 CALL \$125.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	02/06/20	331.00	...	-331.00	Option expiration- long call
FTNT 07/17/2020 PUT \$130.00 / CUSIP: / Symbol: 07/08/20	1.000	44.98	07/07/20	150.00	...	-105.02	Option sale to close-put ²⁵
FTV 02/21/2020 PUT \$75.00 / CUSIP: / Symbol: 02/21/20	4.000	0.00	02/06/20	352.00	...	-352.00	Option expiration- long put

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
G 03/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
03/20/20	3.000	0.00	02/06/20	120.00	...	-120.00	Option expiration- long call
GBX 01/17/2020 PUT \$30.00 / CUSIP: / Symbol:							
01/14/20	1.000	269.98	01/08/20	90.00	...	179.98	Option sale to close-put ²⁵
GDDY 03/20/2020 CALL \$72.50 / CUSIP: / Symbol:							
02/18/20	1.000	529.97	02/13/20	290.00	...	239.97	Option sale to close-call ²⁵
GDDY 05/15/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/07/20	1.000	139.98	05/06/20	135.00	...	4.98	Option sale to close-call ²⁵
GDS 11/20/2020 PUT \$85.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/16/20	50.00	...	-50.00	Option expiration- long put
GIII 01/17/2020 PUT \$25.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/03/19	120.00	...	-120.00	Option expiration- long put
GRMN 03/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
02/24/20	1.000	72.98	02/18/20	249.00	...	-176.02	Option sale to close-call ²⁵
GRUB 06/26/2020 PUT \$55.00 / CUSIP: / Symbol:							
06/15/20	1.000	59.98	06/12/20	85.00	...	-25.02	Option sale to close-put ²⁵
GS 01/24/2020 CALL \$247.50 / CUSIP: / Symbol:							
01/21/20	1.000	159.98	01/13/20	298.00	...	-138.02	Option sale to close-call ²⁵
GS 04/24/2020 PUT \$157.50 / CUSIP: / Symbol:							
04/20/20	1.000	32.98	04/15/20	185.00	...	-152.02	Option sale to close-put ²⁵
HAS 02/14/2020 PUT \$99.00 / CUSIP: / Symbol:							
02/14/20	1.000	119.98	02/10/20	370.00	...	-250.02	Option sale to close-put ²⁵
HD 05/29/2020 CALL \$247.50 / CUSIP: / Symbol:							
05/21/20	1.000	87.98	05/20/20	203.00	...	-115.02	Option sale to close-call ²⁵
HD 11/20/2020 CALL \$290.00 / CUSIP: / Symbol:							
11/16/20	1.000	114.98	11/13/20	115.00	...	-0.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HDS 04/17/2020 PUT \$20.00 / CUSIP: / Symbol: 04/17/20	1.000	0.00	03/16/20	65.00	...	-65.00	Option expiration- long put
HEI 03/20/2020 PUT \$90.00 / CUSIP: / Symbol: 02/28/20	1.000	69.98	02/27/20	85.00	...	-15.02	Option sale to close-put ²⁵
HELE 01/17/2020 CALL \$190.00 / CUSIP: / Symbol: 01/13/20	1.000	64.98	01/09/20	340.00	...	-275.02	Option sale to close-call ²⁵
HLT 04/17/2020 PUT \$30.00 / CUSIP: / Symbol: 03/23/20	1.000	219.98	03/19/20	570.00	...	-350.02	Option sale to close-put ²⁵
HLT 04/17/2020 PUT \$60.00 / CUSIP: / Symbol: 04/17/20	1.000	0.00	04/07/20	165.00	...	-165.00	Option expiration- long put
HOG 02/28/2020 PUT \$33.50 / CUSIP: / Symbol: 02/28/20	1.000	315.98	01/28/20	147.00	...	168.98	Option sale to close-put ²⁵
HPE 03/27/2020 PUT \$8.00 / CUSIP: / Symbol: 03/23/20	6.000	359.98	03/18/20	570.00	...	-210.02	Option sale to close-put ²⁵
HQY 03/20/2020 CALL \$80.00 / CUSIP: / Symbol: 02/24/20	1.000	559.97	02/18/20	320.00	...	239.97	Option sale to close-call ²⁵
HSII 03/20/2020 PUT \$25.00 / CUSIP: / Symbol: 02/28/20	1.000	339.98	02/24/20	165.00	...	174.98	Option sale to close-put ²⁵
HUBS 03/20/2020 CALL \$120.00 / CUSIP: / Symbol: 03/19/20	1.000	39.98	03/17/20	220.00	...	-180.02	Option sale to close-call ²⁵
HUBS 04/17/2020 CALL \$155.00 / CUSIP: / Symbol: 04/01/20	1.000	84.98	03/31/20	320.00	...	-235.02	Option sale to close-call ²⁵
HUBS 06/19/2020 CALL \$240.00 / CUSIP: / Symbol: 05/18/20	1.000	54.98	05/15/20	55.00	...	-0.02	Option sale to close-call ²⁵
HWC 02/21/2020 CALL \$45.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	01/13/20	85.00	...	-85.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HZNP 03/20/2020 PUT \$33.00 / CUSIP: / Symbol:							
03/09/20	1.000	214.98	02/25/20	165.00	...	49.98	Option sale to close-put ²⁵
IART 03/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
02/19/20	1.000	219.98	02/18/20	220.00	...	-0.02	Option sale to close-call ²⁵
IBM 02/07/2020 PUT \$136.00 / CUSIP: / Symbol:							
01/28/20	1.000	105.98	01/21/20	296.00	...	-190.02	Option sale to close-put ²⁵
IBM 07/24/2020 CALL \$132.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/22/20	42.00	...	-42.00	Option expiration- long call
ICLR 03/20/2020 CALL \$185.00 / CUSIP: / Symbol:							
03/11/20	1.000	19.98	02/19/20	330.00	...	-310.02	Option sale to close-call ²⁵
IFF 08/21/2020 PUT \$120.00 / CUSIP: / Symbol:							
08/21/20	1.000	14.98	08/10/20	85.00	...	-70.02	Option sale to close-put ²⁵
INO 02/21/2020 CALL \$4.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/27/20	190.00	...	-190.00	Option expiration- long call
INO 03/20/2020 CALL \$13.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/11/20	110.00	...	-110.00	Option expiration- long call
INO 07/10/2020 PUT \$18.00 / CUSIP: / Symbol:							
06/29/20	1.000	94.98	06/26/20	190.00	...	-95.02	Option sale to close-put ²⁵
INTC 07/02/2020 PUT \$58.50 / CUSIP: / Symbol:							
06/24/20	1.000	109.98	06/23/20	71.00	...	38.98	Option sale to close-put ²⁵
JBHT 02/21/2020 CALL \$125.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/16/20	150.00	...	-150.00	Option expiration- long call
JBLU 02/21/2020 CALL \$20.00 / CUSIP: / Symbol:							
	2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.						
	1.000	124.99	01/22/20	67.00	...	57.99	1 of 2 - Option sale to close-call ²⁵
	2.000	249.99	01/22/20	134.00	...	115.99	2 of 2 - Option sale to close-call ²⁵
01/24/20	3.000	374.98	Various	201.00	...	173.98	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JBT 03/20/2020 PUT \$105.00 / CUSIP: / Symbol:							
02/20/20	1.000	134.98	02/19/20	330.00	...	-195.02	Option sale to close-put ²⁵
JOBS 04/17/2020 PUT \$45.00 / CUSIP: / Symbol:							
03/23/20	1.000	89.98	03/16/20	225.00	...	-135.02	Option sale to close-put ²⁵
JOBS 08/21/2020 PUT \$60.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/10/20	60.00	...	-60.00	Option expiration- long put
JPM 01/31/2020 CALL \$137.00 / CUSIP: / Symbol:							
01/23/20	1.000	69.98	01/13/20	213.00	...	-143.02	Option sale to close-call ²⁵
JPM 07/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
07/14/20	1.000	27.98	07/13/20	113.00	...	-85.02	Option sale to close-call ²⁵
JWN 03/13/2020 CALL \$34.00 / CUSIP: / Symbol:							
03/04/20	1.000	38.98	03/03/20	191.00	...	-152.02	Option sale to close-call ²⁵
JWN 03/27/2020 PUT \$32.00 / CUSIP: / Symbol:							
03/16/20	1.000	1,389.95	03/03/20	196.00	...	1,193.95	Option sale to close-put ²⁵
JWN 04/03/2020 PUT \$14.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	140.99	03/16/20	156.00	...	-15.01	1 of 2 - Option sale to close-put ²⁵
	1.000	140.99	03/16/20	99.00	...	41.99	2 of 2 - Option sale to close-put ²⁵
03/17/20	2.000	281.98	Various	255.00	...	26.98	Total of 2 transactions
KAR 03/20/2020 PUT \$22.50 / CUSIP: / Symbol:							
02/19/20	1.000	49.98	02/18/20	150.00	...	-100.02	Option sale to close-put ²⁵
KBH 01/17/2020 CALL \$37.00 / CUSIP: / Symbol:							
01/10/20	1.000	15.98	01/09/20	117.00	...	-101.02	Option sale to close-call ²⁵
KEYS 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/20/20	60.00	...	-60.00	Option expiration- long call
KEYS 11/20/2020 CALL \$125.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/18/20	75.00	...	-75.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KFY 04/17/2020 PUT \$25.00 / CUSIP: / Symbol:							
03/23/20	1.000	369.98	03/10/20	105.00	...	264.98	Option sale to close-put ²⁵
KMB 10/23/2020 PUT \$144.00 / CUSIP: / Symbol:							
10/22/20	1.000	549.97	10/21/20	45.00	...	504.97	Option sale to close-put ²⁵
KMT 02/21/2020 PUT \$30.00 / CUSIP: / Symbol:							
02/05/20	1.000	89.98	02/03/20	65.00	...	24.98	Option sale to close-put ²⁵
KNSL 03/20/2020 CALL \$135.00 / CUSIP: / Symbol:							
03/11/20	1.000	46.98	02/20/20	165.00	...	-118.02	Option sale to close-call ²⁵
KSU 01/24/2020 CALL \$162.50 / CUSIP: / Symbol:							
01/21/20	1.000	349.98	01/16/20	295.00	...	54.98	Option sale to close-call ²⁵
KSU 05/01/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/17/20	245.00	...	-245.00	Option expiration- long call
LB 08/21/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/20/20	1.000	54.98	08/19/20	44.00	...	10.98	Option sale to close-call ²⁵
LEN 01/31/2020 CALL \$57.00 / CUSIP: / Symbol:							
01/16/20	1.000	559.97	01/07/20	168.00	...	391.97	Option sale to close-call ²⁵
LEN 07/02/2020 CALL \$67.00 / CUSIP: / Symbol:							
06/16/20	1.000	133.98	06/15/20	201.00	...	-67.02	Option sale to close-call ²⁵
LEN 09/04/2020 PUT \$73.50 / CUSIP: / Symbol:							
08/31/20	1.000	69.98	08/27/20	70.00	...	-0.02	Option sale to close-put ²⁵
LEVI 03/20/2020 PUT \$12.00 / CUSIP: / Symbol:							
03/20/20	5.000	24.98	03/18/20	200.00	...	-175.02	Option sale to close-put ²⁵
LH 05/15/2020 CALL \$175.00 / CUSIP: / Symbol:							
05/07/20	1.000	49.98	05/05/20	170.00	...	-120.02	Option sale to close-call ²⁵
LHX 02/21/2020 CALL \$230.00 / CUSIP: / Symbol:							
02/19/20	1.000	74.98	02/04/20	285.00	...	-210.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LK 02/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
01/21/20	1.000	579.97	01/14/20	440.00	...	139.97	Option sale to close-call ²⁵
LK 02/28/2020 CALL \$41.00 / CUSIP: / Symbol:							
01/30/20	1.000	214.98	01/28/20	580.00	...	-365.02	Option sale to close-call ²⁵
LOW 11/20/2020 PUT \$149.00 / CUSIP: / Symbol:							
11/18/20	1.000	74.98	11/17/20	50.00	...	24.98	Option sale to close-put ²⁵
LUV 06/05/2020 CALL \$34.00 / CUSIP: / Symbol:							
05/29/20	1.000	54.98	05/26/20	70.00	...	-15.02	Option sale to close-call ²⁵
LYFT 11/13/2020 PUT \$33.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/10/20	50.00	...	-50.00	Option expiration- long put
LYFT 12/04/2020 CALL \$44.00 / CUSIP: / Symbol:							
12/02/20	1.000	9.98	11/24/20	26.00	...	-16.02	Option sale to close-call ²⁵
LYFT 12/11/2020 CALL \$46.00 / CUSIP: / Symbol:							
12/04/20	1.000	115.98	12/03/20	60.00	...	55.98	Option sale to close-call ²⁵
LYFT 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
12/15/20	1.000	29.98	12/08/20	70.00	...	-40.02	Option sale to close-call ²⁵
LYFT 12/24/2020 CALL \$53.50 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/16/20	63.00	...	-63.00	Option expiration- long call
MA 03/27/2020 PUT \$190.00 / CUSIP: / Symbol:							
03/17/20	1.000	549.97	03/12/20	395.00	...	154.97	Option sale to close-put ²⁵
MA 04/03/2020 PUT \$180.00 / CUSIP: / Symbol:							
03/23/20	1.000	524.97	03/19/20	780.00	...	-255.03	Option sale to close-put ²⁵
MANT 03/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
02/24/20	1.000	379.98	02/19/20	205.00	...	174.98	Option sale to close-call ²⁵
MAR 04/03/2020 PUT \$48.00 / CUSIP: / Symbol:							
03/23/20	1.000	259.98	03/19/20	520.00	...	-260.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MAXR 02/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
01/13/20	1.000	274.98	01/07/20	205.00	...	69.98	Option sale to close-call ²⁵
MIC 03/20/2020 PUT \$42.50 / CUSIP: / Symbol:							
03/16/20	1.000	1,839.94	02/24/20	95.00	...	1,744.94	Option sale to close-put ²⁵
MIC 04/17/2020 PUT \$15.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	134.98	03/18/20	165.00	...	-30.02	1 of 2 - Option sale to close-put ²⁵
	1.000	134.98	03/18/20	165.00	...	-30.02	2 of 2 - Option sale to close-put ²⁵
03/19/20	2.000	269.96	Various	330.00	...	-60.04	Total of 2 transactions
MIC 04/17/2020 PUT \$17.50 / CUSIP: / Symbol:							
03/23/20	1.000	249.98	03/16/20	125.00	...	124.98	Option sale to close-put ²⁵
MRNA 01/08/2021 PUT \$100.00 / CUSIP: / Symbol:							
12/31/20	1.000	149.98	12/30/20	150.00	...	-0.02	Option sale to close-put ²⁵
MRNA 02/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/27/20	104.00	...	-104.00	Option expiration- long call
MRNA 04/03/2020 PUT \$20.00 / CUSIP: / Symbol:							
03/19/20	1.000	74.98	03/09/20	140.00	...	-65.02	Option sale to close-put ²⁵
MRNA 05/01/2020 CALL \$50.00 / CUSIP: / Symbol:							
04/20/20	1.000	719.97	04/17/20	340.00	...	379.97	Option sale to close-call ²⁵
MRNA 05/08/2020 CALL \$50.00 / CUSIP: / Symbol:							
04/20/20	1.000	839.97	04/17/20	370.00	...	469.97	Option sale to close-call ²⁵
MRNA 05/15/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/07/20	1.000	224.98	05/06/20	145.00	...	79.98	Option sale to close-call ²⁵
MRNA 05/22/2020 CALL \$72.00 / CUSIP: / Symbol:							
05/14/20	1.000	209.98	05/13/20	275.00	...	-65.02	Option sale to close-call ²⁵
MRNA 05/29/2020 CALL \$100.00 / CUSIP: / Symbol:							
05/19/20	1.000	264.98	05/18/20	470.00	...	-205.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 08/21/2020 PUT \$62.00 / CUSIP: / Symbol: 08/14/20	1.000	67.98	08/13/20	99.00	...	-31.02	Option sale to close-put ²⁵
MRNA 08/21/2020 PUT \$64.00 / CUSIP: / Symbol: 08/21/20	1.000	0.00	08/18/20	51.00	...	-51.00	Option expiration- long put
MRNA 09/04/2020 PUT \$58.00 / CUSIP: / Symbol: 08/26/20	1.000	76.98	08/25/20	107.00	...	-30.02	Option sale to close-put ²⁵
MRNA 12/31/2020 PUT \$115.00 / CUSIP: / Symbol: 12/28/20	1.000	249.98	12/24/20	100.00	...	149.98	Option sale to close-put ²⁵
MS 01/31/2020 CALL \$52.50 / CUSIP: / Symbol: 01/21/20	1.000	324.98	01/13/20	97.00	...	227.98	Option sale to close-call ²⁵
MSCI 02/21/2020 CALL \$300.00 / CUSIP: / Symbol: 02/06/20	1.000	419.98	01/29/20	200.00	...	219.98	Option sale to close-call ²⁵
MSFT 01/17/2020 CALL \$160.00 / CUSIP: / Symbol: 01/07/20	1.000	130.98	12/20/19	117.00	...	13.98	Option sale to close-call ²⁵
MSFT 02/21/2020 CALL \$172.50 / CUSIP: / Symbol: 02/04/20	1.000	804.97	01/29/20	209.00	...	595.97	Option sale to close-call ²⁵
MSFT 03/13/2020 PUT \$157.50 / CUSIP: / Symbol: 02/28/20	1.000	519.97	02/27/20	300.00	...	219.97	Option sale to close-put ²⁵
MSFT 03/27/2020 PUT \$136.00 / CUSIP: / Symbol: 03/17/20	1.000	979.96	03/13/20	330.00	...	649.96	Option sale to close-put ²⁵
MSFT 04/03/2020 PUT \$130.00 / CUSIP: / Symbol: 03/12/20	1.000	484.97	03/09/20	335.00	...	149.97	Option sale to close-put ²⁵
MSFT 04/03/2020 PUT \$137.00 / CUSIP: / Symbol: 03/26/20	1.000	156.98	03/25/20	380.00	...	-223.02	Option sale to close-put ²⁵
MSM 01/17/2020 CALL \$80.00 / CUSIP: / Symbol: 01/17/20	1.000	0.00	01/08/20	45.00	...	-45.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MTCH 05/15/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/05/20	125.00	...	-125.00	Option expiration- long call
MTN 03/20/2020 PUT \$130.00 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	03/12/20	530.00	...	-375.01	1 of 2 - Option sale to close-put ²⁵
	1.000	154.99	03/12/20	530.00	...	-375.01	2 of 2 - Option sale to close-put ²⁵
03/17/20	2.000	309.98	Various	1,060.00	...	-750.02	Total of 2 transactions
MTN 03/20/2020 PUT \$160.00 / CUSIP: / Symbol:							
03/12/20	1.000	1,749.95	03/09/20	420.00	...	1,329.95	Option sale to close-put ²⁵
MU 04/17/2020 CALL \$48.50 / CUSIP: / Symbol:							
04/06/20	3.000	209.98	03/31/20	321.00	...	-111.02	Option sale to close-call ²⁵
NAV 03/27/2020 PUT \$35.50 / CUSIP: / Symbol:							
03/06/20	1.000	284.98	03/03/20	250.00	...	34.98	Option sale to close-put ²⁵
NCLH 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/08/20	140.00	...	-140.00	Option expiration- long call
NFLX 02/14/2020 CALL \$380.00 / CUSIP: / Symbol:							
01/07/20	1.000	384.98	01/06/20	425.00	...	-40.02	Option sale to close-call ²⁵
NFLX 03/13/2020 CALL \$402.50 / CUSIP: / Symbol:							
03/05/20	1.000	299.98	02/26/20	515.00	...	-215.02	Option sale to close-call ²⁵
NFLX 03/27/2020 PUT \$240.00 / CUSIP: / Symbol:							
03/19/20	1.000	144.98	03/18/20	465.00	...	-320.02	Option sale to close-put ²⁵
NFLX 03/27/2020 PUT \$245.00 / CUSIP: / Symbol:							
03/19/20	1.000	218.98	03/18/20	390.00	...	-171.02	Option sale to close-put ²⁵
NFLX 03/27/2020 PUT \$255.00 / CUSIP: / Symbol:							
03/17/20	1.000	699.97	03/12/20	475.00	...	224.97	Option sale to close-put ²⁵
NFLX 05/01/2020 CALL \$510.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/20/20	935.00	...	-935.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 05/01/2020 CALL \$580.00 / CUSIP: / Symbol: 04/17/20	1.000	155.98	04/16/20	465.00	...	-309.02	Option sale to close-call ²⁵
NFLX 09/04/2020 CALL \$650.00 / CUSIP: / Symbol: 08/27/20	1.000	100.98	08/26/20	228.00	...	-127.02	Option sale to close-call ²⁵
NFLX 09/25/2020 CALL \$520.00 / CUSIP: / Symbol: 09/23/20	1.000	43.98	09/22/20	113.00	...	-69.02	Option sale to close-call ²⁵
NIO 01/24/2020 CALL \$6.00 / CUSIP: / Symbol: <i>2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>	5.000 3.000 8.000	0.00 0.00 0.00	01/22/20 01/22/20 Various	80.00 48.00 128.00	-80.00 -48.00 -128.00	1 of 2 - Option expiration- long call 2 of 2 - Option expiration- long call Total of 2 transactions
NIO 02/14/2020 CALL \$5.00 / CUSIP: / Symbol: 01/23/20	1.000	36.98	01/21/20	44.00	...	-7.02	Option sale to close-call ²⁵
NIO 02/21/2020 CALL \$6.00 / CUSIP: / Symbol: 01/23/20	3.000	71.98	01/22/20	186.00	...	-114.02	Option sale to close-call ²⁵
NKE 02/28/2020 CALL \$103.00 / CUSIP: / Symbol: 02/19/20	1.000	124.98	02/04/20	198.00	...	-73.02	Option sale to close-call ²⁵
NKE 07/10/2020 CALL \$110.00 / CUSIP: / Symbol: 06/26/20	1.000	8.98	06/25/20	108.00	...	-99.02	Option sale to close-call ²⁵
NOW 01/31/2020 CALL \$325.00 / CUSIP: / Symbol: 01/29/20	1.000	459.98	01/29/20	460.00	...	-0.02	Option sale to close-call ²⁵
NOW 02/21/2020 CALL \$335.00 / CUSIP: / Symbol: 02/06/20	1.000	809.97	01/29/20	420.00	...	389.97	Option sale to close-call ²⁵
NOW 05/22/2020 CALL \$405.00 / CUSIP: / Symbol: 05/06/20	1.000	369.98	05/05/20	285.00	...	84.98	Option sale to close-call ²⁵
NOW 07/02/2020 CALL \$430.00 / CUSIP: / Symbol: 06/23/20	1.000	290.98	06/22/20	245.00	...	45.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NTNX 12/04/2020 CALL \$33.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/24/20	29.00	...	-29.00	Option expiration- long call
NTRS 02/21/2020 CALL \$110.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/21/20	255.00	...	-255.00	Option expiration- long call
NUVA 03/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
02/25/20	1.000	49.98	02/20/20	310.00	...	-260.02	Option sale to close-call ²⁵
NVAX 02/21/2020 CALL \$9.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/27/20	155.00	...	-155.00	Option expiration- long call
NVAX 05/22/2020 CALL \$46.00 / CUSIP: / Symbol:							
05/19/20	1.000	759.97	05/15/20	170.00	...	589.97	Option sale to close-call ²⁵
NVAX 05/22/2020 CALL \$67.00 / CUSIP: / Symbol:							
05/19/20	1.000	70.98	05/18/20	319.00	...	-248.02	Option sale to close-call ²⁵
NVAX 06/05/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	05/26/20	155.00	...	-155.00	Option expiration- long call
NVAX 07/10/2020 CALL \$105.00 / CUSIP: / Symbol:							
06/30/20	1.000	224.98	06/29/20	280.00	...	-55.02	Option sale to close-call ²⁵
NVAX 08/21/2020 PUT \$130.00 / CUSIP: / Symbol:							
08/20/20	1.000	38.98	08/18/20	68.00	...	-29.02	Option sale to close-put ²⁵
NVAX 09/04/2020 PUT \$90.00 / CUSIP: / Symbol:							
08/26/20	1.000	174.98	08/25/20	222.00	47.02 W	0.00	Option sale to close-put ²⁵
08/31/20	1.000	79.98	08/27/20	267.02	...	-187.04	Option sale to close-put ²⁵
Security total:		254.96		489.02	47.02 W	-187.04	
NVAX 09/25/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/17/20	1.000	230.98	09/16/20	211.00	...	19.98	Option sale to close-call ²⁵
NVDA 01/24/2020 CALL \$245.00 / CUSIP: / Symbol:							
01/17/20	1.000	504.97	12/19/19	425.00	...	79.97	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 02/21/2020 CALL \$255.00 / CUSIP: / Symbol: 01/27/20	1.000	534.97	01/23/20	890.00	...	-355.03	Option sale to close-call ²⁵
NVDA 03/13/2020 CALL \$335.00 / CUSIP: / Symbol: 02/25/20	1.000	20.98	02/19/20	365.00	...	-344.02	Option sale to close-call ²⁵
NVDA 03/13/2020 PUT \$215.00 / CUSIP: / Symbol: 02/28/20	1.000	289.98	02/27/20	340.00	...	-50.02	Option sale to close-put ²⁵
NVDA 03/27/2020 CALL \$217.50 / CUSIP: / Symbol: 03/19/20	1.000	574.97	03/18/20	460.00	...	114.97	Option sale to close-call ²⁵
NVDA 03/27/2020 PUT \$155.00 / CUSIP: / Symbol: 03/19/20	1.000	214.98	03/18/20	465.00	...	-250.02	Option sale to close-put ²⁵
NVDA 03/27/2020 PUT \$180.00 / CUSIP: / Symbol: 03/17/20	1.000	999.96	03/13/20	267.00	...	732.96	Option sale to close-put ²⁵
NVDA 04/03/2020 PUT \$175.00 / CUSIP: / Symbol: 03/18/20	1.000	759.97	03/17/20	710.00	...	49.97	Option sale to close-put ²⁵
NVDA 04/03/2020 PUT \$185.00 / CUSIP: / Symbol: 03/12/20	1.000	544.97	03/09/20	350.00	...	194.97	Option sale to close-put ²⁵
NVDA 04/17/2020 CALL \$300.00 / CUSIP: / Symbol: 04/01/20	1.000	152.98	03/31/20	420.00	...	-267.02	Option sale to close-call ²⁵
NVDA 04/24/2020 PUT \$230.00 / CUSIP: / Symbol: 04/14/20	1.000	85.98	04/07/20	465.00	...	-379.02	Option sale to close-put ²⁵
NVDA 05/01/2020 CALL \$310.00 / CUSIP: / Symbol: 04/15/20	1.000	233.98	04/14/20	310.00	...	-76.02	Option sale to close-call ²⁵
NVDA 05/22/2020 CALL \$330.00 / CUSIP: / Symbol: 05/06/20	1.000	344.98	05/05/20	380.00	...	-35.02	Option sale to close-call ²⁵
NVDA 05/22/2020 CALL \$350.00 / CUSIP: / Symbol: 05/15/20	1.000	994.96	05/13/20	305.00	...	689.96	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 06/05/2020 CALL \$425.00 / CUSIP: / Symbol: 06/05/20	1.000	0.00	05/19/20	310.00	...	-310.00	Option expiration- long call
NVDA 07/02/2020 CALL \$400.00 / CUSIP: / Symbol: 06/23/20	1.000	287.98	06/23/20	335.00	...	-47.02	Option sale to close-call ²⁵
NVDA 07/17/2020 CALL \$450.00 / CUSIP: / Symbol: 07/13/20	1.000	273.98	07/10/20	250.00	...	23.98	Option sale to close-call ²⁵
NVDA 07/24/2020 CALL \$430.00 / CUSIP: / Symbol: 07/20/20	1.000	279.98	07/17/20	249.00	...	30.98	Option sale to close-call ²⁵
NVDA 07/24/2020 CALL \$435.00 / CUSIP: / Symbol: 07/24/20	1.000	0.00	07/22/20	270.00	...	-270.00	Option expiration- long call
NVDA 08/07/2020 CALL \$440.00 / CUSIP: / Symbol: 08/04/20	1.000	727.97	07/31/20	305.00	...	422.97	Option sale to close-call ²⁵
NVDA 09/04/2020 PUT \$470.00 / CUSIP: / Symbol: 08/31/20	1.000	31.98	08/27/20	221.00	...	-189.02	Option sale to close-put ²⁵
NVDA 09/25/2020 CALL \$535.00 / CUSIP: / Symbol: 09/23/20	1.000	52.98	09/18/20	227.00	...	-174.02	Option sale to close-call ²⁵
NVDA 09/25/2020 CALL \$585.00 / CUSIP: / Symbol: 09/15/20	1.000	349.98	09/14/20	250.00	...	99.98	Option sale to close-call ²⁵
NVDA 12/11/2020 CALL \$585.00 / CUSIP: / Symbol: 12/04/20	1.000	116.98	12/02/20	280.00	...	-163.02	Option sale to close-call ²⁵
OLED 08/07/2020 CALL \$215.00 / CUSIP: / Symbol: 08/06/20	1.000	89.98	08/05/20	140.00	...	-50.02	Option sale to close-call ²⁵
OMCL 03/20/2020 CALL \$90.00 / CUSIP: / Symbol: 02/18/20	1.000	199.98	02/06/20	330.00	...	-130.02	Option sale to close-call ²⁵
ON 11/20/2020 CALL \$27.00 / CUSIP: / Symbol: 11/02/20	1.000	38.98	10/30/20	65.00	...	-26.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OPRA 06/19/2020 CALL \$10.00 / CUSIP: / Symbol: 06/19/20	1.000	0.00	05/19/20	50.00	...	-50.00	Option expiration- long call
ORCL 03/27/2020 PUT \$37.00 / CUSIP: / Symbol: 03/17/20	1.000	98.98	03/12/20	214.00	...	-115.02	Option sale to close-put ²⁵
ORCL 08/21/2020 PUT \$54.00 / CUSIP: / Symbol: 08/21/20	1.000	0.00	08/18/20	18.00	...	-18.00	Option expiration- long put
ORCL 08/28/2020 PUT \$54.50 / CUSIP: / Symbol: 08/28/20	1.000	0.00	08/21/20	30.00	...	-30.00	Option expiration- long put
OXM 01/17/2020 CALL \$75.00 / CUSIP: / Symbol: 01/07/20	1.000	214.98	12/11/19	350.00	...	-135.02	Option sale to close-call ²⁵
OZK 01/17/2020 CALL \$30.00 / CUSIP: / Symbol: 01/17/20	1.000	0.00	01/16/20	115.00	...	-115.00	Option expiration- long call
PAM 03/20/2020 PUT \$10.00 / CUSIP: / Symbol: 03/20/20	1.000	44.98	03/10/20	45.00	...	-0.02	Option sale to close-put ²⁵
PAYX 06/19/2020 CALL \$70.00 / CUSIP: / Symbol: 05/21/20	1.000	114.98	05/20/20	100.00	...	14.98	Option sale to close-call ²⁵
PAYX 07/17/2020 PUT \$72.50 / CUSIP: / Symbol: 07/09/20	1.000	154.98	07/07/20	59.00	...	95.98	Option sale to close-put ²⁵
PEN 03/20/2020 CALL \$200.00 / CUSIP: / Symbol: 03/09/20	1.000	99.98	02/25/20	250.00	...	-150.02	Option sale to close-call ²⁵
PETQ 04/17/2020 PUT \$20.00 / CUSIP: / Symbol: 03/26/20	1.000	129.98	03/10/20	110.00	...	19.98	Option sale to close-put ²⁵
PFE 02/28/2020 PUT \$39.50 / CUSIP: / Symbol: 02/19/20	1.000	309.98	01/28/20	122.00	...	187.98	Option sale to close-put ²⁵
PG 01/31/2020 CALL \$128.00 / CUSIP: / Symbol: 01/31/20	1.000	0.00	01/22/20	121.00	...	-121.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PII 11/20/2020 CALL \$105.00 / CUSIP: / Symbol:							
10/26/20	1.000	79.98	10/26/20	80.00	...	-0.02	Option sale to close-call ²⁵
PII 11/20/2020 PUT \$75.00 / CUSIP: / Symbol:							
10/28/20	1.000	64.98	10/26/20	95.00	...	-30.02	Option sale to close-put ²⁵
PNC 03/27/2020 CALL \$103.00 / CUSIP: / Symbol:							
03/19/20	1.000	283.98	03/17/20	320.00	...	-36.02	Option sale to close-call ²⁵
PODD 03/20/2020 CALL \$220.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/25/20	500.00	...	-500.00	Option expiration- long call
POST 03/20/2020 PUT \$100.00 / CUSIP: / Symbol:							
02/10/20	2.000	209.98	02/06/20	390.00	...	-180.02	Option sale to close-put ²⁵
PPG 01/31/2020 PUT \$127.00 / CUSIP: / Symbol:							
01/16/20	1.000	194.98	01/13/20	215.00	...	-20.02	Option sale to close-put ²⁵
PPL 08/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/11/20	1.000	74.98	08/07/20	25.00	...	49.98	Option sale to close-call ²⁵
PRGS 02/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
01/21/20	1.000	359.98	01/13/20	215.00	...	144.98	Option sale to close-call ²⁵
PSX 02/28/2020 PUT \$93.00 / CUSIP: / Symbol:							
02/19/20	1.000	509.97	01/30/20	225.00	...	284.97	Option sale to close-put ²⁵
PYPL 03/13/2020 PUT \$106.00 / CUSIP: / Symbol:							
02/28/20	1.000	419.98	02/27/20	248.00	...	171.98	Option sale to close-put ²⁵
PYPL 03/27/2020 PUT \$90.00 / CUSIP: / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	519.97	03/12/20	315.00	...	204.97	1 of 2 - Option sale to close-put ²⁵
	1.000	544.97	03/12/20	255.00	...	289.97	2 of 2 - Option sale to close-put ²⁵
03/17/20	2.000	1,064.94	Various	570.00	...	494.94	Total of 2 transactions
PYPL 04/03/2020 PUT \$90.00 / CUSIP: / Symbol:							
03/18/20	1.000	489.97	03/17/20	420.00	...	69.97	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 04/17/2020 CALL \$101.00 / CUSIP: / Symbol:							
04/01/20	1.000	137.98	03/31/20	292.00	...	-154.02	Option sale to close-call ²⁵
PYPL 06/19/2020 PUT \$150.00 / CUSIP: / Symbol:							
06/12/20	1.000	177.98	06/11/20	100.00	...	77.98	Option sale to close-put ²⁵
PYPL 07/10/2020 CALL \$180.00 / CUSIP: / Symbol:							
06/26/20	1.000	229.98	06/23/20	235.00	...	-5.02	Option sale to close-call ²⁵
PYPL 07/10/2020 CALL \$182.50 / CUSIP: / Symbol:							
06/30/20	1.000	97.98	06/26/20	163.00	...	-65.02	Option sale to close-call ²⁵
PYPL 08/14/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/07/20	1.000	126.98	08/05/20	157.00	...	-30.02	Option sale to close-call ²⁵
QLYS 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/10/20	75.00	...	-75.00	Option expiration- long call
QSR 04/17/2020 PUT \$25.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	144.98	03/18/20	320.00	...	-175.02	1 of 2 - Option sale to close-put ²⁵
	1.000	169.98	03/18/20	320.00	...	-150.02	2 of 2 - Option sale to close-put ²⁵
03/23/20	2.000	314.96	Various	640.00	...	-325.04	Total of 2 transactions
QSR 04/17/2020 PUT \$35.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/07/20	90.00	...	-90.00	Option expiration- long put
QSR 04/17/2020 PUT \$42.50 / CUSIP: / Symbol:							
04/03/20	1.000	869.97	03/26/20	400.00	...	469.97	Option sale to close-put ²⁵
RARE 01/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
01/16/20	1.000	409.98	01/10/20	230.00	...	179.98	Option sale to close-call ²⁵
RCI 02/21/2020 PUT \$50.00 / CUSIP: / Symbol:							
02/21/20	1.000	54.98	01/21/20	145.00	...	-90.02	Option sale to close-put ²⁵
RL 04/03/2020 PUT \$60.00 / CUSIP: / Symbol:							
03/18/20	1.000	359.98	03/17/20	320.00	...	39.98	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RMD 02/21/2020 CALL \$170.00 / CUSIP: / Symbol: 02/19/20	1.000	689.97	01/30/20	400.00	...	289.97	Option sale to close-call ²⁵
ROKU 01/03/2020 PUT \$100.00 / CUSIP: / Symbol: 01/03/20	1.000	0.00	12/02/19	130.00	...	-130.00	Option expiration- long put
ROKU 05/01/2020 CALL \$115.00 / CUSIP: / Symbol: 04/15/20	1.000	217.98	04/14/20	285.00	...	-67.02	Option sale to close-call ²⁵
ROKU 05/01/2020 CALL \$130.00 / CUSIP: / Symbol: 04/17/20	1.000	619.97	04/15/20	282.00	...	337.97	Option sale to close-call ²⁵
ROKU 05/01/2020 CALL \$150.00 / CUSIP: / Symbol: 05/01/20	1.000	0.00	04/20/20	335.00	...	-335.00	Option expiration- long call
ROKU 07/24/2020 CALL \$170.00 / CUSIP: / Symbol: 07/21/20	1.000	106.98	07/21/20	124.00	...	-17.02	Option sale to close-call ²⁵
ROKU 08/07/2020 CALL \$185.00 / CUSIP: / Symbol: 08/05/20	1.000	344.98	08/04/20	380.00	...	-35.02	Option sale to close-call ²⁵
ROKU 08/28/2020 CALL \$170.00 / CUSIP: / Symbol: 08/27/20	1.000	121.98	08/26/20	124.00	...	-2.02	Option sale to close-call ²⁵
ROKU 08/28/2020 PUT \$135.00 / CUSIP: / Symbol: 08/20/20	1.000	80.98	08/19/20	106.00	...	-25.02	Option sale to close-put ²⁵
ROKU 09/11/2020 CALL \$210.00 / CUSIP: / Symbol: 09/01/20	1.000	183.98	09/01/20	210.00	...	-26.02	Option sale to close-call ²⁵
ROKU 09/25/2020 CALL \$195.00 / CUSIP: / Symbol: 09/18/20	1.000	29.98	09/16/20	113.00	...	-83.02	Option sale to close-call ²⁵
ROKU 12/24/2020 CALL \$375.00 / CUSIP: / Symbol: 12/21/20	1.000	186.98	12/17/20	300.00	...	-113.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROST 11/20/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/18/20	40.00	...	-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/18/20	35.00	...	-35.00	2 of 2 - Option expiration- long call
11/20/20	2.000	0.00	Various	75.00	...	-75.00	Total of 2 transactions
RTN 02/28/2020 CALL \$232.50 / CUSIP: / Symbol:							
02/19/20	1.000	21.98	01/29/20	310.00	...	-288.02	Option sale to close-call ²⁵
RUN 07/17/2020 CALL \$26.00 / CUSIP: / Symbol:							
07/08/20	1.000	194.98	07/07/20	65.00	...	129.98	Option sale to close-call ²⁵
RUN 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/11/20	1.000	44.98	08/07/20	165.00	120.02 W	0.00	Option sale to close-call ²⁵
08/14/20	1.000	89.98	08/13/20	210.02	...	-120.04	Option sale to close-call ²⁵
	Security total:	134.96		375.02	120.02 W	-120.04	
RUN 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/07/20	1.000	64.98	08/05/20	125.00	...	-60.02	Option sale to close-call ²⁵
SAH 03/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
02/19/20	1.000	59.98	02/18/20	220.00	...	-160.02	Option sale to close-call ²⁵
SAIC 01/17/2020 PUT \$80.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/05/19	240.00	...	-240.00	Option expiration- long put
SAM 03/20/2020 CALL \$480.00 / CUSIP: / Symbol:							
02/20/20	1.000	79.98	02/19/20	490.00	...	-410.02	Option sale to close-call ²⁵
SAVE 04/17/2020 PUT \$15.00 / CUSIP: / Symbol:							
03/26/20	1.000	274.98	03/25/20	310.00	...	-35.02	Option sale to close-put ²⁵
03/31/20	1.000	329.98	03/25/20	310.00	...	19.98	Option sale to close-put ²⁵
	Security total:	604.96		620.00	...	-15.04	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVE 04/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	1.000	124.98	03/18/20	180.00	...	-55.02	1 of 2 - Option sale to close-put ²⁵
	1.000	124.98	03/18/20	180.00	...	-55.02	2 of 2 - Option sale to close-put ²⁵
03/23/20	2.000	249.96	Various	360.00	...	-110.04	Total of 2 transactions
SBUX 01/17/2020 CALL \$90.00 / CUSIP: / Symbol:							
01/02/20	1.000	61.98	12/12/19	150.00	...	-88.02	Option sale to close-call ²⁵
SEDG 11/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
11/09/20	1.000	124.98	11/05/20	125.00	...	-0.02	Option sale to close-call ²⁵
SEDG 11/20/2020 CALL \$330.00 / CUSIP: / Symbol:							
11/02/20	1.000	519.97	10/30/20	330.00	...	189.97	Option sale to close-call ²⁵
SEDG 11/20/2020 CALL \$380.00 / CUSIP: / Symbol:							
11/05/20	1.000	14.98	11/02/20	115.00	...	-100.02	Option sale to close-call ²⁵
SEIC 02/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
02/06/20	1.000	389.98	01/29/20	115.00	...	274.98	Option sale to close-call ²⁵
SFIX 07/02/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/18/20	1.000	109.98	06/17/20	90.00	...	19.98	Option sale to close-call ²⁵
SHOP 04/03/2020 PUT \$245.00 / CUSIP: / Symbol:							
03/18/20	1.000	749.97	03/17/20	700.00	...	49.97	Option sale to close-put ²⁵
SHOP 04/24/2020 CALL \$580.00 / CUSIP: / Symbol:							
04/15/20	1.000	179.98	04/14/20	340.00	...	-160.02	Option sale to close-call ²⁵
SHOP 04/24/2020 PUT \$300.00 / CUSIP: / Symbol:							
04/24/20	1.000	0.00	04/07/20	350.00	...	-350.00	Option expiration- long put
SHOP 05/15/2020 CALL \$960.00 / CUSIP: / Symbol:							
05/06/20	1.000	44.98	05/05/20	190.00	...	-145.02	Option sale to close-call ²⁵
SIVB 02/21/2020 CALL \$270.00 / CUSIP: / Symbol:							
01/27/20	1.000	44.98	01/23/20	490.00	...	-445.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SJM 03/20/2020 PUT \$100.00 / CUSIP: / Symbol:							
03/11/20	1.000	99.98	02/25/20	125.00	...	-25.02	Option sale to close-put ²⁵
SJR 01/17/2020 PUT \$20.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/10/20	29.00	...	-29.00	Option expiration- long put
SLB 01/31/2020 CALL \$39.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/16/20	94.00	...	-94.00	Option expiration- long call
SLB 03/27/2020 CALL \$24.50 / CUSIP: / Symbol:							
03/09/20	1.000	44.98	03/09/20	50.00	...	-5.02	Option sale to close-call ²⁵
SLB 04/03/2020 PUT \$13.00 / CUSIP: / Symbol:							
03/23/20	1.000	107.98	03/13/20	132.00	...	-24.02	Option sale to close-put ²⁵
SLP 01/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/09/20	60.00	...	-60.00	Option expiration- long call
SLP 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/14/20	1.000	24.98	07/10/20	125.00	...	-100.02	Option sale to close-call ²⁵
SMPL 01/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
01/10/20	1.000	44.98	01/09/20	130.00	...	-85.02	Option sale to close-call ²⁵
SMTc 03/20/2020 PUT \$34.00 / CUSIP: / Symbol:							
03/17/20	1.000	479.97	03/11/20	175.00	...	304.97	Option sale to close-put ²⁵
SNAP 05/15/2020 CALL \$18.00 / CUSIP: / Symbol:							
<i>4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	45.98	05/04/20	36.00	...	9.98	1 of 4 - Option sale to close-call ²⁵
	1.000	45.98	05/04/20	36.00	...	9.98	2 of 4 - Option sale to close-call ²⁵
	1.000	45.98	05/04/20	36.00	...	9.98	3 of 4 - Option sale to close-call ²⁵
	1.000	45.98	05/04/20	36.00	...	9.98	4 of 4 - Option sale to close-call ²⁵
05/06/20	4.000	183.92	Various	144.00	...	39.92	Total of 4 transactions
SNAP 07/24/2020 CALL \$27.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/21/20	85.00	...	-85.00	Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLK 04/03/2020 PUT \$95.00 / CUSIP: / Symbol: 03/23/20	2.000	379.98	03/17/20	820.00	...	-440.02	Option sale to close-put ²⁵
SPLK 06/26/2020 PUT \$160.00 / CUSIP: / Symbol: 06/15/20	1.000	69.98	06/12/20	210.00	...	-140.02	Option sale to close-put ²⁵
SPLK 07/02/2020 CALL \$200.00 / CUSIP: / Symbol: 06/26/20	1.000	279.98	06/25/20	210.00	...	69.98	Option sale to close-call ²⁵
SPLK 08/28/2020 CALL \$235.00 / CUSIP: / Symbol: 08/28/20	1.000	16.98	08/26/20	350.00	...	-333.02	Option sale to close-call ²⁵
SPLK 09/04/2020 PUT \$192.50 / CUSIP: / Symbol: 09/04/20	1.000	0.00	08/27/20	143.00	...	-143.00	Option expiration- long put
SPLK 09/04/2020 PUT \$195.00 / CUSIP: / Symbol: 09/03/20	1.000	15.98	08/27/20	184.00	...	-168.02	Option sale to close-put ²⁵
SPLK 10/09/2020 PUT \$170.00 / CUSIP: / Symbol: 10/09/20	1.000	0.00	09/30/20	61.00	...	-61.00	Option expiration- long put
SPOT 07/24/2020 PUT \$235.00 / CUSIP: / Symbol: 07/16/20	1.000	134.98	07/15/20	370.00	...	-235.02	Option sale to close-put ²⁵
SPOT 08/14/2020 PUT \$230.00 / CUSIP: / Symbol: 08/05/20	1.000	129.98	08/05/20	195.00	...	-65.02	Option sale to close-put ²⁵
SPOT 08/14/2020 PUT \$235.00 / CUSIP: / Symbol: 08/10/20	1.000	109.98	08/07/20	120.00	...	-10.02	Option sale to close-put ²⁵
SQ 03/20/2020 PUT \$78.00 / CUSIP: / Symbol: 02/27/20	1.000	283.98	02/27/20	360.00	...	-76.02	Option sale to close-put ²⁵
SQ 03/27/2020 CALL \$72.00 / CUSIP: / Symbol: 03/11/20	1.000	149.98	03/10/20	198.00	...	-48.02	Option sale to close-call ²⁵
SQ 04/17/2020 CALL \$54.00 / CUSIP: / Symbol: 04/07/20	1.000	144.98	04/07/20	269.00	...	-124.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SQ 05/15/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/14/20	1.000	344.98	05/06/20	120.00	...	224.98	Option sale to close-call ²⁵
SQ 05/22/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/18/20	1.000	43.98	05/15/20	124.00	...	-80.02	Option sale to close-call ²⁵
SQ 07/02/2020 PUT \$98.00 / CUSIP: / Symbol:							
06/24/20	1.000	229.98	06/23/20	123.00	...	106.98	Option sale to close-put ²⁵
SQ 07/10/2020 CALL \$115.00 / CUSIP: / Symbol:							
06/30/20	1.000	68.98	06/26/20	147.00	...	-78.02	Option sale to close-call ²⁵
SQ 07/17/2020 PUT \$120.00 / CUSIP: / Symbol:							
07/10/20	1.000	186.98	07/09/20	151.00	...	35.98	Option sale to close-put ²⁵
SQ 07/24/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/22/20	120.00	...	-120.00	Option expiration- long call
SQ 08/07/2020 CALL \$145.00 / CUSIP: / Symbol:							
08/05/20	1.000	1,094.96	08/04/20	315.00	...	779.96	Option sale to close-call ²⁵
SQ 08/14/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/10/20	1.000	85.98	08/07/20	199.00	...	-113.02	Option sale to close-call ²⁵
SQ 08/21/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/18/20	1.000	51.98	08/17/20	203.02	...	-151.04	Option sale to close-call ²⁵
08/18/20	1.000	65.98	08/17/20	139.00	73.02 W	0.00	Option sale to close-call ²⁵
	Security total:	117.96		342.02	73.02 W	-151.04	
SQ 10/02/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/23/20	1.000	104.98	09/18/20	74.00	...	30.98	Option sale to close-call ²⁵
SQ 10/02/2020 PUT \$135.00 / CUSIP: / Symbol:							
09/25/20	1.000	43.98	09/23/20	130.00	...	-86.02	Option sale to close-put ²⁵
SQ 11/13/2020 PUT \$150.00 / CUSIP: / Symbol:							
11/06/20	1.000	8.98	11/05/20	115.00	...	-106.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SQ 11/27/2020 PUT \$155.00 / CUSIP: / Symbol:							
11/18/20	1.000	16.98	11/16/20	62.00	...	-45.02	Option sale to close-put ²⁵
SQ 12/31/2020 CALL \$250.00 / CUSIP: / Symbol:							
12/28/20	1.000	81.98	12/24/20	130.00	...	-48.02	Option sale to close-call ²⁵
SRNE 01/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/10/20	50.00	...	-50.00	Option expiration- long call
STT 02/21/2020 CALL \$82.50 / CUSIP: / Symbol:							
01/27/20	1.000	27.98	01/16/20	226.00	...	-198.02	Option sale to close-call ²⁵
SYK 02/21/2020 CALL \$220.00 / CUSIP: / Symbol:							
02/19/20	1.000	469.97	01/28/20	270.00	...	199.97	Option sale to close-call ²⁵
TBK 02/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
01/23/20	1.000	129.98	01/21/20	50.00	...	79.98	Option sale to close-call ²⁵
TDC 02/21/2020 PUT \$25.00 / CUSIP: / Symbol:							
02/19/20	1.000	244.98	02/06/20	125.00	...	119.98	Option sale to close-put ²⁵
TDY 02/21/2020 CALL \$400.00 / CUSIP: / Symbol:							
02/14/20	1.000	79.98	01/21/20	390.00	...	-310.02	Option sale to close-call ²⁵
TEAM 02/14/2020 CALL \$136.00 / CUSIP: / Symbol:							
01/24/20	1.000	859.97	01/23/20	460.00	...	399.97	Option sale to close-call ²⁵
TMO 02/21/2020 CALL \$350.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/29/20	260.00	...	-260.00	Option expiration- long call
TMUS 11/13/2020 PUT \$105.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/05/20	49.00	...	-49.00	Option expiration- long put
TRIL 01/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/10/20	45.00	...	-45.00	Option expiration- long call
TSLA 02/07/2020 CALL \$435.00 / CUSIP: / Symbol:							
01/06/20	1.000	3,444.91	12/27/19	3,120.00	...	324.91	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 02/14/2020 CALL \$450.00 / CUSIP: / Symbol: 01/09/20	1.000	5,969.86	01/06/20	3,165.00	...	2,804.86	Option sale to close-call ²⁵
TSLA 02/14/2020 CALL \$490.00 / CUSIP: / Symbol: <i>2 transactions for 01/06/20. Total proceeds and cost reported to the IRS.</i>	1.000	1,659.95	01/06/20	1,565.00	...	94.95	1 of 2 - Option sale to close-call ²⁵
	1.000	1,664.95	01/06/20	1,565.00	...	99.95	2 of 2 - Option sale to close-call ²⁵
01/06/20	2.000	3,324.90	Various	3,130.00	...	194.90	Total of 2 transactions
TSLA 02/14/2020 CALL \$585.00 / CUSIP: / Symbol: 01/16/20	2.000	2,679.93	01/14/20	5,560.00	...	-2,880.07	Option sale to close-call ²⁵
TSLA 02/14/2020 CALL \$600.00 / CUSIP: / Symbol: 01/23/20	1.000	2,954.92	01/22/20	3,580.00	...	-625.08	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$1,090.00 / CUSIP: / Symbol: 02/06/20	1.000	494.97	02/04/20	4,395.00	...	-3,900.03	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$515.00 / CUSIP: / Symbol: 01/14/20	1.000	5,864.86	01/13/20	3,140.00	...	2,724.86	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$570.00 / CUSIP: / Symbol: 01/22/20	1.000	4,934.88	01/21/20	2,975.00	...	1,959.88	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$600.00 / CUSIP: / Symbol: 01/27/20	1.000	2,229.94	01/23/20	4,165.00	...	-1,935.06	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$615.00 / CUSIP: / Symbol: 01/29/20	1.000	2,849.93	01/28/20	2,705.00	...	144.93	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$650.00 / CUSIP: / Symbol: 02/03/20	1.000	11,154.75	01/29/20	1,930.00	...	9,224.75	Option sale to close-call ²⁵
TSLA 02/21/2020 CALL \$800.00 / CUSIP: / Symbol: 02/06/20	1.000	2,459.93	02/03/20	4,775.00	...	-2,315.07	Option sale to close-call ²⁵
TSLA 02/28/2020 CALL \$940.00 / CUSIP: / Symbol: 02/10/20	1.000	2,994.92	02/06/20	2,955.00	...	39.92	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 03/20/2020 CALL \$1,080.00 / CUSIP: / Symbol: 02/24/20	1.000	1,829.94	02/20/20	2,540.00	...	-710.06	Option sale to close-call ²⁵
TSLA 03/20/2020 CALL \$1,090.00 / CUSIP: / Symbol: 02/19/20	1.000	2,639.93	02/19/20	3,405.00	...	-765.07	Option sale to close-call ²⁵
TSLA 03/27/2020 CALL \$900.00 / CUSIP: / Symbol: 03/06/20	1.000	929.96	03/03/20	2,385.00	...	-1,455.04	Option sale to close-call ²⁵
TSLA 04/03/2020 PUT \$250.00 / CUSIP: / Symbol: 03/17/20	1.000	884.97	03/16/20	750.00	...	134.97	Option sale to close-put ²⁵
TSLA 04/03/2020 PUT \$300.00 / CUSIP: / Symbol: 03/10/20	1.000	264.98	03/09/20	380.00	...	-115.02	Option sale to close-put ²⁵
TSLA 04/03/2020 PUT \$350.00 / CUSIP: / Symbol: 03/12/20	1.000	814.97	03/11/20	420.00	...	394.97	Option sale to close-put ²⁵
TSLA 04/09/2020 PUT \$200.00 / CUSIP: / Symbol: 03/19/20	1.000	1,024.96	03/17/20	895.00	...	129.96	Option sale to close-put ²⁵
03/23/20	1.000	634.97	03/19/20	950.00	...	-315.03	Option sale to close-put ²⁵
Security total:		1,659.93		1,845.00	...	-185.07	
TSLA 04/09/2020 PUT \$425.00 / CUSIP: / Symbol: 03/31/20	1.000	994.96	03/27/20	2,080.00	...	-1,085.04	Option sale to close-put ²⁵
TSLA 04/09/2020 PUT \$445.00 / CUSIP: / Symbol: 03/26/20	1.000	2,059.94	03/25/20	2,275.00	...	-215.06	Option sale to close-put ²⁵
TSLA 04/24/2020 PUT \$380.00 / CUSIP: / Symbol: 04/07/20	1.000	429.98	04/03/20	1,055.00	...	-625.02	Option sale to close-put ²⁵
TSLA 04/24/2020 PUT \$420.00 / CUSIP: / Symbol: 04/14/20	1.000	108.98	04/07/20	810.00	...	-701.02	Option sale to close-put ²⁵
TSLA 05/08/2020 CALL \$1,050.00 / CUSIP: / Symbol: 04/29/20	1.000	844.97	04/16/20	1,840.00	...	-995.03	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 05/08/2020 CALL \$1,210.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/29/20	400.00	...	-400.00	Option expiration- long call
TSLA 09/25/2020 CALL \$620.00 / CUSIP: / Symbol:							
09/22/20	1.000	99.98	09/18/20	455.00	...	-355.02	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$720.00 / CUSIP: / Symbol:							
09/18/20	1.000	179.98	09/17/20	284.00	...	-104.02	Option sale to close-call ²⁵
TSM 01/31/2020 CALL \$60.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/13/20	145.00	...	-145.00	Option expiration- long call
TSN 07/02/2020 PUT \$60.00 / CUSIP: / Symbol:							
06/24/20	1.000	214.98	06/22/20	125.00	...	89.98	Option sale to close-put ²⁵
TTEK 02/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
02/19/20	1.000	789.97	01/29/20	310.00	...	479.97	Option sale to close-call ²⁵
TTWO 02/21/2020 CALL \$134.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/06/20	260.00	...	-260.00	Option expiration- long call
TTWO 06/05/2020 CALL \$175.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	05/20/20	70.00	...	-70.00	Option expiration- long call
TWLO 06/26/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/16/20	1.000	215.98	06/15/20	164.00	...	51.98	Option sale to close-call ²⁵
TWLO 06/26/2020 PUT \$170.00 / CUSIP: / Symbol:							
06/15/20	1.000	81.98	06/12/20	275.00	...	-193.02	Option sale to close-put ²⁵
TWLO 10/30/2020 CALL \$355.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/26/20	190.00	...	-190.00	Option expiration- long call
TWTR 03/27/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	1.000	45.98	03/17/20	137.00	...	-91.02	1 of 2 - Option sale to close-call ²⁵
	1.000	45.98	03/17/20	137.00	...	-91.02	2 of 2 - Option sale to close-call ²⁵
03/18/20	2.000	91.96	Various	274.00	...	-182.04	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 11/06/2020 CALL \$56.00 / CUSIP: / Symbol:							
10/29/20	1.000	319.98	10/28/20	133.00	...	186.98	Option sale to close-call ²⁵
UAL 03/13/2020 PUT \$55.00 / CUSIP: / Symbol:							
03/06/20	1.000	459.97	02/27/20	211.00	...	248.97	Option sale to close-put ²⁵
UAL 03/27/2020 PUT \$40.00 / CUSIP: / Symbol:							
03/17/20	1.000	1,014.96	03/11/20	310.00	...	704.96	Option sale to close-put ²⁵
UAL 04/03/2020 PUT \$22.00 / CUSIP: / Symbol:							
03/23/20	2.000	769.97	03/17/20	640.00	...	129.97	Option sale to close-put ²⁵
UBER 02/28/2020 CALL \$37.50 / CUSIP: / Symbol:							
02/06/20	1.000	179.98	02/03/20	193.00	...	-13.02	Option sale to close-call ²⁵
UBER 05/15/2020 CALL \$34.00 / CUSIP: / Symbol:							
05/12/20	1.000	75.98	05/07/20	96.00	...	-20.02	Option sale to close-call ²⁵
UBER 07/02/2020 PUT \$32.00 / CUSIP: / Symbol:							
06/19/20	1.000	78.98	06/18/20	115.00	...	-36.02	Option sale to close-put ²⁵
UBER 07/10/2020 CALL \$33.00 / CUSIP: / Symbol:							
07/08/20	1.000	78.98	07/06/20	81.00	...	-2.02	Option sale to close-call ²⁵
UBER 08/07/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/06/20	1.000	124.98	08/05/20	59.00	...	65.98	Option sale to close-call ²⁵
UBER 11/06/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/06/20	1.000	60.98	11/05/20	39.00	...	21.98	Option sale to close-call ²⁵
UBER 12/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
12/18/20	1.000	0.00	12/09/20	34.00	...	-34.00	Option expiration- long call
UEIC 03/20/2020 CALL \$50.00 / CUSIP: / Symbol:							
02/24/20	1.000	189.98	02/20/20	220.00	...	-30.02	Option sale to close-call ²⁵
ULTA 03/27/2020 PUT \$105.00 / CUSIP: / Symbol:							
03/23/20	2.000	229.98	03/18/20	1,380.00	...	-1,150.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNF 01/17/2020 CALL \$220.00 / CUSIP: / Symbol: 01/17/20	1.000	0.00	01/08/20	255.00	...	-255.00	Option expiration- long call
UNH 01/24/2020 CALL \$297.50 / CUSIP: / Symbol: 01/21/20	1.000	354.98	01/13/20	320.00	...	34.98	Option sale to close-call ²⁵
UNP 01/31/2020 PUT \$177.50 / CUSIP: / Symbol: 01/31/20	1.000	0.00	01/22/20	197.00	...	-197.00	Option expiration- long put
URBN 03/20/2020 PUT \$22.50 / CUSIP: / Symbol: 03/09/20	1.000	479.97	03/03/20	140.00	...	339.97	Option sale to close-put ²⁵
V 03/13/2020 PUT \$170.00 / CUSIP: / Symbol: 02/28/20	1.000	554.97	02/27/20	274.00	...	280.97	Option sale to close-put ²⁵
V 03/27/2020 CALL \$165.00 / CUSIP: / Symbol: 03/18/20	1.000	226.98	03/17/20	410.00	...	-183.02	Option sale to close-call ²⁵
V 03/27/2020 PUT \$140.00 / CUSIP: / Symbol: 03/17/20	1.000	539.97	03/12/20	365.00	...	174.97	Option sale to close-put ²⁵
V 04/09/2020 PUT \$134.00 / CUSIP: / Symbol: 04/01/20	1.000	150.98	03/25/20	430.00	...	-279.02	Option sale to close-put ²⁵
V 08/14/2020 PUT \$190.00 / CUSIP: / Symbol: 08/12/20	1.000	23.98	08/07/20	65.00	...	-41.02	Option sale to close-put ²⁵
V 09/04/2020 PUT \$202.50 / CUSIP: / Symbol: 08/28/20	1.000	26.98	08/27/20	68.00	...	-41.02	Option sale to close-put ²⁵
VAR 02/21/2020 CALL \$150.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	01/29/20	430.00	...	-430.00	Option expiration- long call
VFC 02/14/2020 PUT \$92.50 / CUSIP: / Symbol: 01/28/20	1.000	939.97	01/22/20	218.00	...	721.97	Option sale to close-put ²⁵
VMW 03/27/2020 CALL \$106.00 / CUSIP: / Symbol: 03/19/20	1.000	179.98	03/17/20	340.00	...	-160.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VMW 04/03/2020 PUT \$91.00 / CUSIP: / Symbol: 03/23/20	1.000	219.98	03/19/20	390.00	...	-170.02	Option sale to close-put ²⁵
VMW 04/09/2020 PUT \$80.00 / CUSIP: / Symbol: 03/18/20	1.000	379.98	03/17/20	320.00	...	59.98	Option sale to close-put ²⁵
VMW 04/24/2020 PUT \$109.00 / CUSIP: / Symbol: 04/07/20	1.000	134.98	04/03/20	350.00	...	-215.02	Option sale to close-put ²⁵
VPG 03/20/2020 PUT \$30.00 / CUSIP: / Symbol: 03/09/20	2.000	1,019.96	02/18/20	150.00	...	869.96	Option sale to close-put ²⁵
WAT 02/21/2020 PUT \$210.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	02/03/20	245.00	...	-245.00	Option expiration- long put
WB 01/31/2020 PUT \$50.00 / CUSIP: / Symbol: 01/22/20	1.000	539.97	01/14/20	160.00	...	379.97	Option sale to close-put ²⁵
WB 03/13/2020 PUT \$39.00 / CUSIP: / Symbol: 03/12/20	1.000	239.98	02/27/20	130.00	...	109.98	Option sale to close-put ²⁵
WBA 01/17/2020 PUT \$59.00 / CUSIP: / Symbol: 01/14/20	1.000	444.98	01/07/20	137.00	...	307.98	Option sale to close-put ²⁵
WDC 02/21/2020 PUT \$65.50 / CUSIP: / Symbol: 02/04/20	1.000	104.98	01/30/20	330.00	...	-225.02	Option sale to close-put ²⁵
WDFC 01/17/2020 CALL \$200.00 / CUSIP: / Symbol: 01/17/20	1.000	0.00	01/09/20	207.00	...	-207.00	Option expiration- long call
WFC 01/31/2020 PUT \$52.00 / CUSIP: / Symbol: 01/16/20	1.000	339.98	01/13/20	97.00	...	242.98	Option sale to close-put ²⁵
WHR 10/23/2020 PUT \$180.00 / CUSIP: / Symbol: 10/23/20	1.000	0.00	10/21/20	65.00	...	-65.00	Option expiration- long put
WING 03/20/2020 CALL \$110.00 / CUSIP: / Symbol: 02/25/20	1.000	49.98	02/18/20	225.00	...	-175.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WIX 06/19/2020 CALL \$250.00 / CUSIP: / Symbol: 05/18/20	1.000	129.98	05/15/20	200.00	...	-70.02	Option sale to close-call ²⁵
WLDN 03/20/2020 PUT \$30.00 / CUSIP: / Symbol: 03/16/20	1.000	779.97	03/05/20	220.00	...	559.97	Option sale to close-put ²⁵
WM 11/20/2020 CALL \$115.00 / CUSIP: / Symbol: 11/02/20	1.000	124.98	10/30/20	65.00	...	59.98	Option sale to close-call ²⁵
WNS 02/21/2020 CALL \$70.00 / CUSIP: / Symbol: 01/23/20	1.000	194.98	01/13/20	95.00	...	99.98	Option sale to close-call ²⁵
WORK 03/20/2020 PUT \$22.50 / CUSIP: / Symbol: 03/17/20	1.000	489.97	03/12/20	255.00	...	234.97	Option sale to close-put ²⁵
WORK 09/11/2020 CALL \$40.00 / CUSIP: / Symbol: 09/08/20	1.000	49.98	09/02/20	207.00	...	-157.02	Option sale to close-call ²⁵
WORK 12/11/2020 CALL \$50.00 / CUSIP: / Symbol: 12/11/20	1.000	0.00	12/01/20	55.00	...	-55.00	Option expiration- long call
WSM 04/17/2020 PUT \$25.00 / CUSIP: / Symbol: 03/19/20	1.000	199.98	03/18/20	330.00	...	-130.02	Option sale to close-put ²⁵
WTFC 02/21/2020 PUT \$65.00 / CUSIP: / Symbol: 01/28/20	1.000	229.98	01/21/20	115.00	...	114.98	Option sale to close-put ²⁵
WUBA 03/20/2020 PUT \$50.00 / CUSIP: / Symbol: 03/17/20	1.000	469.97	03/11/20	105.00	...	364.97	Option sale to close-put ²⁵
WWD 02/21/2020 PUT \$115.00 / CUSIP: / Symbol: 02/21/20	1.000	0.00	02/03/20	340.00	...	-340.00	Option expiration- long put
XLNX 04/03/2020 PUT \$70.00 / CUSIP: / Symbol: 03/18/20	1.000	329.98	03/17/20	299.00	...	30.98	Option sale to close-put ²⁵
03/23/20	1.000	349.98	03/17/20	299.00	...	50.98	Option sale to close-put ²⁵
Security total:		679.96		598.00	...	81.96	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPO 02/21/2020 PUT \$90.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/10/20	175.00	...	-175.00	Option expiration- long put
Z 09/25/2020 CALL \$105.00 / CUSIP: / Symbol:							
09/17/20	1.000	74.98	09/16/20	70.00	...	4.98	Option sale to close-call ²⁵
ZEN 03/20/2020 CALL \$92.50 / CUSIP: / Symbol:							
02/24/20	1.000	79.98	02/06/20	275.00	...	-195.02	Option sale to close-call ²⁵
ZG 03/20/2020 CALL \$55.00 / CUSIP: / Symbol:							
02/24/20	1.000	709.97	02/19/20	320.00	...	389.97	Option sale to close-call ²⁵
ZG 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/12/20	1.000	29.98	06/08/20	235.00	...	-205.02	Option sale to close-call ²⁵
ZG 08/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
08/10/20	1.000	74.98	08/07/20	140.00	...	-65.02	Option sale to close-call ²⁵
ZG 08/21/2020 PUT \$70.00 / CUSIP: / Symbol:							
08/14/20	1.000	54.98	08/13/20	55.00	...	-0.02	Option sale to close-put ²⁵
ZG 09/18/2020 PUT \$75.00 / CUSIP: / Symbol:							
09/03/20	1.000	109.98	08/27/20	110.00	...	-0.02	Option sale to close-put ²⁵
ZION 02/21/2020 CALL \$52.50 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/21/20	52.00	...	-52.00	Option expiration- long call
ZM 02/28/2020 CALL \$95.00 / CUSIP: / Symbol:							
02/19/20	1.000	979.96	02/04/20	360.00	...	619.96	Option sale to close-call ²⁵
02/20/20	1.000	999.96	02/04/20	360.00	...	639.96	Option sale to close-call ²⁵
	Security total:	1,979.92		720.00	...	1,259.92	
ZM 03/20/2020 CALL \$125.00 / CUSIP: / Symbol:							
02/28/20	1.000	599.97	02/24/20	510.00	...	89.97	Option sale to close-call ²⁵
ZM 03/27/2020 CALL \$145.00 / CUSIP: / Symbol:							
03/09/20	1.000	89.98	03/03/20	310.00	...	-220.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 04/24/2020 CALL \$157.50 / CUSIP: / Symbol:							
04/17/20	1.000	369.98	04/14/20	320.00	...	49.98	Option sale to close-call ²⁵
ZM 09/11/2020 CALL \$480.00 / CUSIP: / Symbol:							
09/04/20	1.000	64.98	09/03/20	125.00	...	-60.02	Option sale to close-call ²⁵
ZM 09/18/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/15/20	1.000	134.98	09/11/20	85.00	...	49.98	Option sale to close-call ²⁵
ZM 09/18/2020 CALL \$455.00 / CUSIP: / Symbol:							
09/10/20	1.000	119.98	09/10/20	155.00	...	-35.02	Option sale to close-call ²⁵
ZM 09/18/2020 CALL \$480.00 / CUSIP: / Symbol:							
09/10/20	1.000	104.98	09/09/20	115.00	...	-10.02	Option sale to close-call ²⁵
ZM 09/25/2020 CALL \$455.00 / CUSIP: / Symbol:							
09/18/20	1.000	254.98	09/17/20	165.00	...	89.98	Option sale to close-call ²⁵
ZM 10/23/2020 CALL \$640.00 / CUSIP: / Symbol:							
10/19/20	1.000	154.98	10/16/20	145.00	...	9.98	Option sale to close-call ²⁵
10/20/20	1.000	39.98	10/19/20	150.00	...	-110.02	Option sale to close-call ²⁵
Security total:		194.96		295.00	...	-100.04	
ZS 01/03/2020 CALL \$58.00 / CUSIP: / Symbol:							
01/03/20	1.000	0.00	12/03/19	215.00	...	-215.00	Option expiration- long call
ZS 04/03/2020 PUT \$43.00 / CUSIP: / Symbol:							
03/23/20	3.000	284.98	03/17/20	990.00	...	-705.02	Option sale to close-put ²⁵
ZS 09/11/2020 CALL \$195.00 / CUSIP: / Symbol:							
09/08/20	1.000	134.98	09/04/20	143.00	...	-8.02	Option sale to close-call ²⁵
ZS 09/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/04/20	1.000	67.98	09/02/20	258.00	...	-190.02	Option sale to close-call ²⁵
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
05/18/20	3.000	169.94	05/11/20	86.70	...	83.24	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
10/30/20	59.000	13,299.69	10/30/20	11,660.76	...	1,638.93	Sale ²⁵
ZILLOW GROUP, INC. CLASS A COM MON STOCK / CUSIP: 98954M101 / Symbol:							
03/17/20	1.000	25.11	02/24/20	60.90	...	-35.79	Sale ²⁵
SOL-GEL TECHNOLOGIES LTD. ORDINARY SHARES / CUSIP: M8694L103 / Symbol:							
01/02/20	1.000	15.96	12/30/19	21.20	...	-5.24	Sale ²⁵
Totals :		203,463.22		212,548.64	289.08 W	-8,796.34	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 666323431

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/18/20	-5.00	Margin interest paid	
		04/16/20	-0.01	Margin interest paid	
		06/15/20	-5.00	Margin interest paid	
		07/15/20	-5.00	Margin interest paid	
		08/14/20	-5.00	Margin interest paid	
		09/14/20	-5.00	Margin interest paid	
			-25.01	Total Margin interest paid	
			-25.01	Total Margin interest paid	

Robinhood Securities LLC

Account 666323431

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
LIVONGO HEALTH, INC. COMMON ST OCK	539183103	10/30/20	100.00	0.00	Merger	
		11/03/20	-100.00	0.00	Merger	
TELADOC HEALTH, INC.	87918A105	10/30/20	-59.00	0.00	Merger	
		11/03/20	59.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.