

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 760881029	Statement Date: 02/14/2021 Document ID: RS91 6E1 8U4P	2020
	Prathamesh Sirsikar 2705 Livingstone Ln 104 Vienna, VA 22180 RECIPIENT'S TIN: XXX-XX-5219		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.46		2- Royalties	0.00	
1b- Qualified dividends	0.46		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	131,087.55	141,242.65	0.00	9,534.41	-620.69
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	131,087.55	141,242.65	0.00	9,534.41	-620.69
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.05	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.05	0.00	0.00	0.00	0.00
	Grand total	131,087.60	141,242.65	0.00	9,534.41	-620.69

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.05
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADITX THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 007025109 / Symbol:							
08/06/20	50.000	387.50	08/06/20	400.25	...	-12.75	Sale ²⁵
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	50.000	59.24	01/08/20	61.75	...	-2.51	1 of 2 - Sale ²⁵
	25.000	29.62	01/08/20	30.88	...	-1.26	2 of 2 - Sale ²⁵
01/09/20	75.000	88.86	Various	92.63	...	-3.77	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	260.79	06/23/20	272.55	...	-11.76	1 of 2 - Sale ²⁵
	1.000	52.16	06/24/20	52.45	...	-0.29	2 of 2 - Sale ²⁵
06/25/20	6.000	312.95	Various	325.00	...	-12.05	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
07/14/20	20.000	48.60	07/13/20	54.40	...	-5.80	Sale ²⁵
AKAMAI TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 00971T101 / Symbol:							
05/20/20	1.000	100.02	04/28/20	103.66	...	-3.64	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/10/20	25.000	418.75	06/09/20	459.50	16.30 W	-24.45	Sale ²⁵
06/12/20	10.000	161.80	06/10/20	190.00	...	-28.20	Sale ²⁵
12/08/20	7.000	122.58	12/01/20	102.17	...	20.41	Sale ²⁵
12/08/20	2.000	35.03	12/07/20	35.68	0.65 W	0.00	Sale ²⁵
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	2.000	35.01	12/08/20	35.73	...	-0.72	1 of 2 - Sale ²⁵
	1.000	17.50	12/08/20	17.54	...	-0.04	2 of 2 - Sale ²⁵
12/11/20	3.000	52.51	Various	53.27	...	-0.76	Total of 2 transactions
12/11/20	1.000	17.50	12/07/20	17.84	0.34 W	0.00	Sale ²⁵
	Security total:	808.17		858.46	17.29 W	-33.00	
AMPLIFY ENERGY CORP. / CUSIP: 03212B103 / Symbol:							
07/23/20	1.000	1.57	07/22/20	1.80	...	-0.23	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
08/24/20	1.000	512.19	08/21/20	498.38	...	13.81	Sale ²⁵
08/25/20	0.400	199.03	08/24/20	201.63	2.60 W	0.00	Sale ²⁵
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	0.100	13.09	08/31/20	13.08	...	0.01	1 of 2 - Sale ²⁵
	1.000	131.11	08/31/20	130.75	...	0.36	2 of 2 - Sale ²⁵
09/02/20	1.100	144.20	Various	143.83	...	0.37	Total of 2 transactions
09/02/20	0.400	52.38	08/31/20	54.90	2.52 W	0.00	Sale ²⁵
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	0.400	47.16	09/04/20	51.52	4.36 W	0.00	1 of 2 - Sale ²⁵
	0.600	70.75	09/04/20	73.49	2.74 W	0.00	2 of 2 - Sale ²⁵
09/15/20	1.000	117.91	Various	125.01	7.10 W	0.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.400	45.91	09/15/20	51.17	5.26 W	0.00	1 of 2 - Sale ²⁵
	0.600	68.87	09/15/20	72.96	4.09 W	0.00	2 of 2 - Sale ²⁵
09/16/20	1.000	114.78	Various	124.13	9.35 W	0.00	Total of 2 transactions
09/18/20	4.000	440.90	09/15/20	468.12	6.81 W	-20.41	Sale ²⁵
09/21/20	4.000	419.38	09/15/20	468.12	...	-48.74	Sale ²⁵
09/23/20	1.000	111.73	09/15/20	117.03	...	-5.30	Sale ²⁵
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	119.46	10/13/20	127.15	...	-7.69	1 of 3 - Sale ²⁵
	0.400	47.76	10/13/20	53.40	...	-5.64	2 of 3 - Sale ²⁵
	0.600	71.63	10/13/20	76.29	...	-4.66	3 of 3 - Sale ²⁵
10/19/20	2.000	238.85	Various	256.84	...	-17.99	Total of 3 transactions
	Security total:	2,351.35		2,457.99	28.38 W	-78.26	
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	50.000	258.99	06/03/20	266.00	...	-7.01	1 of 3 - Sale ²⁵
	18.000	93.24	06/03/20	95.35	...	-2.11	2 of 3 - Sale ²⁵
	20.000	103.60	06/04/20	102.24	...	1.36	3 of 3 - Sale ²⁵
06/04/20	88.000	455.83	Various	463.59	...	-7.76	Total of 3 transactions
10/13/20	100.000	508.34	09/21/20	882.90	374.56 W	0.00	Sale ²⁵
10/16/20	50.000	252.05	09/25/20	568.77	316.72 W	0.00	Sale ²⁵
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	50.000	263.55	09/28/20	436.51	172.96 W	0.00	1 of 2 - Sale ²⁵
	50.000	263.54	10/13/20	570.12	306.58 W	0.00	2 of 2 - Sale ²⁵
10/19/20	100.000	527.09	Various	1,006.63	479.54 W	0.00	Total of 2 transactions
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	50.000	254.99	10/19/20	580.08	325.09 W	0.00	1 of 2 - Sale ²⁵
	30.000	153.00	10/19/20	267.88	114.88 W	0.00	2 of 2 - Sale ²⁵
10/26/20	80.000	407.99	Various	847.96	439.97 W	0.00	Total of 2 transactions
11/09/20	50.000	282.66	10/19/20	273.50	...	9.16	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol: (cont'd)							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	20.000	113.06	10/19/20	178.58	65.52 W	0.00	1 of 2 - Sale ²⁵
	30.000	169.60	10/19/20	359.48	189.88 W	0.00	2 of 2 - Sale ²⁵
11/09/20	50.000	282.66	Various	538.06	255.40 W	0.00	Total of 2 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	20.000	134.00	10/19/20	239.66	105.66 W	0.00	1 of 2 - Sale ²⁵
	5.000	33.50	11/03/20	57.86	24.36 W	0.00	2 of 2 - Sale ²⁵
11/12/20	25.000	167.50	Various	297.52	130.02 W	0.00	Total of 2 transactions
11/16/20	15.000	92.84	11/03/20	173.58	80.74 W	0.00	Sale ²⁵
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	8.000	56.00	11/03/20	92.58	36.58 W	0.00	1 of 2 - Sale ²⁵
	2.000	14.00	11/03/20	17.04	3.04 W	0.00	2 of 2 - Sale ²⁵
11/19/20	10.000	70.00	Various	109.62	39.62 W	0.00	Total of 2 transactions
11/20/20	2.000	13.61	11/12/20	16.14	...	-2.53	Sale ²⁵
7 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	18.000	122.53	11/03/20	153.34	30.81 W	0.00	1 of 7 - Sale ²⁵
	30.000	204.22	11/03/20	272.16	67.94 W	0.00	2 of 7 - Sale ²⁵
	2.000	13.61	11/03/20	23.13	9.52 W	0.00	3 of 7 - Sale ²⁵
	5.000	34.04	11/09/20	52.75	18.71 W	0.00	4 of 7 - Sale ²⁵
	20.000	136.14	11/09/20	219.23	83.09 W	0.00	5 of 7 - Sale ²⁵
	15.000	102.11	11/12/20	178.97	61.49 W	-15.37	6 of 7 - Sale ²⁵
	8.000	54.46	11/12/20	88.96	34.50 W	0.00	7 of 7 - Sale ²⁵
11/20/20	98.000	667.11	Various	988.54	306.06 W	-15.37	Total of 7 transactions
6 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	20.000	136.90	11/20/20	223.94	...	-87.04	1 of 6 - Sale ²⁵
	7.000	47.91	11/20/20	85.16	...	-37.25	2 of 6 - Sale ²⁵
	8.000	54.76	11/20/20	90.84	...	-36.08	3 of 6 - Sale ²⁵
	5.000	34.22	11/20/20	53.92	...	-19.70	4 of 6 - Sale ²⁵
	2.000	13.69	11/20/20	23.60	...	-9.91	5 of 6 - Sale ²⁵
	3.000	20.53	11/20/20	26.26	...	-5.73	6 of 6 - Sale ²⁵
11/23/20	45.000	308.01	Various	503.72	...	-195.71	Total of 6 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol: (cont'd)							
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	30.000	194.70	11/20/20	279.21	...	-84.51	1 of 3 - Sale ²⁵
	15.000	97.35	11/20/20	131.31	...	-33.96	2 of 3 - Sale ²⁵
	5.000	32.45	11/20/20	60.80	...	-28.35	3 of 3 - Sale ²⁵
11/24/20	50.000	324.50	Various	471.32	...	-146.82	Total of 3 transactions
	Security total:	4,360.19		7,141.85	2,422.63 W	-359.03	
ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
07/23/20	100.000	624.97	07/23/20	507.99	...	116.98	Sale ²⁵
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
07/09/20	50.000	370.15	07/08/20	394.00	...	-23.85	Sale ²⁵
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
8 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	5.000	282.05	07/20/20	318.25	36.20 W	0.00	1 of 8 - Sale ²⁵
	2.000	112.82	07/20/20	128.98	16.16 W	0.00	2 of 8 - Sale ²⁵
	3.000	166.16	07/20/20	215.19	49.03 W	0.00	3 of 8 - Sale ²⁵
	2.000	110.78	07/20/20	134.30	23.52 W	0.00	4 of 8 - Sale ²⁵
	2.000	110.78	07/20/20	135.97	25.19 W	0.00	5 of 8 - Sale ²⁵
	1.000	55.39	07/20/20	59.91	4.52 W	0.00	6 of 8 - Sale ²⁵
	1.000	55.39	07/21/20	58.24	2.85 W	0.00	7 of 8 - Sale ²⁵
	1.000	55.38	07/22/20	56.84	1.46 W	0.00	8 of 8 - Sale ²⁵
07/23/20	17.000	948.75	Various	1,107.68	158.93 W	0.00	Total of 8 transactions
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	3.000	166.74	08/06/20	217.52	...	-50.78	1 of 6 - Sale ²⁵
	2.000	111.16	08/06/20	137.52	...	-26.36	2 of 6 - Sale ²⁵
	2.000	111.16	08/06/20	135.85	...	-24.69	3 of 6 - Sale ²⁵
	1.000	55.58	08/06/20	60.69	...	-5.11	4 of 6 - Sale ²⁵
	1.000	55.58	08/06/20	59.02	...	-3.44	5 of 6 - Sale ²⁵
	1.000	55.58	08/06/20	57.62	...	-2.04	6 of 6 - Sale ²⁵
08/11/20	10.000	555.80	Various	668.22	...	-112.42	Total of 6 transactions
	Security total:	1,504.55		1,775.90	158.93 W	-112.42	

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Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	0.600	13.55	04/23/20	13.34	...	0.21	1 of 4 - Sale ²⁵
	4.000	90.30	04/23/20	88.95	...	1.35	2 of 4 - Sale ²⁵
	4.400	99.33	05/11/20	99.95	...	-0.62	3 of 4 - Sale ²⁵
	0.600	13.60	05/11/20	13.63	...	-0.03	4 of 4 - Sale ²⁵
05/18/20	9.600	216.78	Various	215.87	...	0.91	Total of 4 transactions
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
07/07/20	70.000	251.99	07/06/20	245.00	...	6.99	Sale ²⁵
BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol:							
2 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	4.000	90.32	01/15/20	91.94	1.62 W	0.00	1 of 2 - Sale ²⁵
	21.000	474.16	01/15/20	483.33	9.17 W	0.00	2 of 2 - Sale ²⁵
01/23/20	25.000	564.48	Various	575.27	10.79 W	0.00	Total of 2 transactions
2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	4.000	84.76	01/30/20	88.17	3.41 W	0.00	1 of 2 - Sale ²⁵
	16.000	339.04	01/30/20	353.17	14.13 W	0.00	2 of 2 - Sale ²⁵
01/30/20	20.000	423.80	Various	441.34	17.54 W	0.00	Total of 2 transactions
3 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	1.000	25.30	02/06/20	27.02	1.72 W	0.00	1 of 3 - Sale ²⁵
	4.000	101.22	02/06/20	107.95	6.73 W	0.00	2 of 3 - Sale ²⁵
	5.000	126.53	02/06/20	132.86	6.33 W	0.00	3 of 3 - Sale ²⁵
02/07/20	10.000	253.05	Various	267.83	14.78 W	0.00	Total of 3 transactions
3 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	15.000	391.78	02/10/20	389.90	...	1.88	1 of 3 - Sale ²⁵
	2.000	52.24	02/10/20	50.29	...	1.95	2 of 3 - Sale ²⁵
	13.000	339.53	02/10/20	326.43	...	13.10	3 of 3 - Sale ²⁵
02/11/20	30.000	783.55	Various	766.62	...	16.93	Total of 3 transactions
3 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	26.12	02/10/20	26.83	0.71 W	0.00	1 of 3 - Sale ²⁵
	4.000	104.47	02/10/20	107.17	2.70 W	0.00	2 of 3 - Sale ²⁵

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(continued)

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BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol: (cont'd)							
02/11/20	5.000	130.59	02/10/20	131.88	1.29 W	0.00	3 of 3 - Sale ²⁵
	10.000	261.18	Various	265.88	4.70 W	0.00	Total of 3 transactions
	6 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	1.000	24.47	02/24/20	27.22	2.75 W	0.00	1 of 6 - Sale ²⁵
	1.000	24.47	03/10/20	26.03	1.56 W	0.00	2 of 6 - Sale ²⁵
	4.000	97.88	03/10/20	102.31	4.43 W	0.00	3 of 6 - Sale ²⁵
	4.000	97.89	03/10/20	103.99	6.10 W	0.00	4 of 6 - Sale ²⁵
	1.000	24.47	03/10/20	25.32	0.85 W	0.00	5 of 6 - Sale ²⁵
	4.000	97.89	03/10/20	101.32	3.43 W	0.00	6 of 6 - Sale ²⁵
03/13/20	15.000	367.07	Various	386.19	19.12 W	0.00	Total of 6 transactions
	4 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	1.000	24.49	03/13/20	24.04	...	0.45	1 of 4 - Sale ²⁵
	4.000	97.96	03/13/20	97.19	...	0.77	2 of 4 - Sale ²⁵
	4.000	97.96	03/13/20	96.19	...	1.77	3 of 4 - Sale ²⁵
	5.000	122.45	03/13/20	115.96	...	6.49	4 of 4 - Sale ²⁵
04/02/20	14.000	342.86	Various	333.38	...	9.48	Total of 4 transactions
	3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	1.000	24.49	03/13/20	25.94	1.45 W	0.00	1 of 3 - Sale ²⁵
	1.000	24.49	03/13/20	24.75	0.26 W	0.00	2 of 3 - Sale ²⁵
	4.000	97.96	03/13/20	98.87	0.91 W	0.00	3 of 3 - Sale ²⁵
04/02/20	6.000	146.94	Various	149.56	2.62 W	0.00	Total of 3 transactions
	3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	25.53	04/03/20	24.33	...	1.20	1 of 3 - Sale ²⁵
	4.000	102.12	04/03/20	97.18	...	4.94	2 of 3 - Sale ²⁵
	13.000	331.89	04/03/20	312.89	...	19.00	3 of 3 - Sale ²⁵
04/08/20	18.000	459.54	Various	434.40	...	25.14	Total of 3 transactions
04/08/20	1.000	25.53	04/02/20	25.92	0.39 W	0.00	Sale ²⁵
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	29.32	04/03/20	24.46	...	4.86	1 of 2 - Sale ²⁵
	15.000	439.73	04/13/20	391.65	...	48.08	2 of 2 - Sale ²⁵
04/16/20	16.000	469.05	Various	416.11	...	52.94	Total of 2 transactions
04/21/20	1.000	29.60	04/13/20	26.11	...	3.49	Sale ²⁵
04/27/20	5.000	139.45	04/24/20	138.65	...	0.80	Sale ²⁵

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BILIBILI INC. AMERICAN DEPOSIT ARY SHARES / CUSIP: 090040106 / Symbol: (cont'd)							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	10.000	278.89	04/23/20	280.00	0.78 W	-0.33	1 of 3 - Sale ²⁵
	1.000	27.89	04/23/20	28.02	0.13 W	0.00	2 of 3 - Sale ²⁵
	9.000	251.00	04/23/20	252.90	1.90 W	0.00	3 of 3 - Sale ²⁵
04/27/20	20.000	557.78	Various	560.92	2.81 W	-0.33	Total of 3 transactions
04/29/20	1.000	27.88	04/24/20	27.86	...	0.02	Sale ²⁵
04/29/20	4.000	111.52	04/24/20	111.76	0.24 W	0.00	Sale ²⁵
05/05/20	4.000	111.28	04/28/20	108.19	...	3.09	Sale ²⁵
05/05/20	5.000	139.10	04/24/20	139.71	0.61 W	0.00	Sale ²⁵
05/15/20	1.000	30.82	04/28/20	27.05	...	3.77	Sale ²⁵
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	31.13	05/18/20	32.63	1.50 W	0.00	1 of 2 - Sale ²⁵
	1.000	31.13	05/27/20	32.08	0.95 W	0.00	2 of 2 - Sale ²⁵
05/29/20	2.000	62.26	Various	64.71	2.45 W	0.00	Total of 2 transactions
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	33.81	05/28/20	32.71	...	1.10	1 of 5 - Sale ²⁵
	1.000	33.81	05/28/20	32.16	...	1.65	2 of 5 - Sale ²⁵
	4.000	135.24	05/28/20	125.08	...	10.16	3 of 5 - Sale ²⁵
	5.000	169.05	05/28/20	156.66	...	12.39	4 of 5 - Sale ²⁵
	14.000	473.32	05/28/20	436.94	...	36.38	5 of 5 - Sale ²⁵
06/03/20	25.000	845.23	Various	783.55	...	61.68	Total of 5 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	34.04	05/28/20	30.95	...	3.09	1 of 2 - Sale ²⁵
	14.000	476.54	06/03/20	476.00	...	0.54	2 of 2 - Sale ²⁵
06/04/20	15.000	510.58	Various	506.95	...	3.63	Total of 2 transactions
06/04/20	1.000	33.88	06/03/20	34.00	0.12 W	0.00	Sale ²⁵
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	34.42	06/04/20	33.51	...	0.91	1 of 2 - Sale ²⁵
	8.000	275.34	06/04/20	267.11	...	8.23	2 of 2 - Sale ²⁵
06/05/20	9.000	309.76	Various	300.62	...	9.14	Total of 2 transactions
	Security total:	7,006.19		6,892.58	76.17 W	189.78	

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
06/24/20	50.000	36.13	06/18/20	45.00	...	-8.87	Sale ²⁵
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
12/31/20	15.000	42.08	12/30/20	41.78	...	0.30	Sale ²⁵
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
07/14/20	1.000	83.49	07/13/20	81.63	...	1.86	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	5.000	175.00	11/19/20	91.65	...	83.35	1 of 4 - Sale ²⁵
	10.000	349.99	11/19/20	183.30	...	166.69	2 of 4 - Sale ²⁵
	5.000	174.99	11/20/20	113.00	...	61.99	3 of 4 - Sale ²⁵
	10.000	349.99	11/23/20	283.90	...	66.09	4 of 4 - Sale ²⁵
11/23/20	30.000	1,049.97	Various	671.85	...	378.12	Total of 4 transactions
12/01/20	5.000	113.90	11/24/20	157.50	43.60 W	0.00	Sale ²⁵
12/02/20	4.000	80.00	11/25/20	136.12	...	-56.12	Sale ²⁵
	Security total:	1,243.87		965.47	43.60 W	322.00	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
06/08/20	1.000	229.96	06/08/20	229.92	...	0.04	Sale ²⁵
06/25/20	1.000	170.49	06/18/20	193.67	23.18 W	0.00	Sale ²⁵
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	175.50	07/17/20	199.23	...	-23.73	1 of 3 - Sale ²⁵
	4.000	701.98	07/17/20	704.20	...	-2.22	2 of 3 - Sale ²⁵
	1.000	175.49	07/17/20	176.08	...	-0.59	3 of 3 - Sale ²⁵
07/20/20	6.000	1,052.97	Various	1,079.51	...	-26.54	Total of 3 transactions
	Security total:	1,453.42		1,503.10	23.18 W	-26.50	
BOXLIGHT CORPORATION CLASS A COMMON STOCK / CUSIP: 103197109 / Symbol:							
07/17/20	10.000	36.75	07/16/20	40.00	...	-3.25	Sale ²⁵

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CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	1.86	04/06/20	1.60	...	0.26	1 of 3 - Sale ²⁵
	14.000	25.99	04/06/20	22.54	...	3.45	2 of 3 - Sale ²⁵
	11.000	20.42	04/14/20	19.89	...	0.53	3 of 3 - Sale ²⁵
04/16/20	26.000	48.27	Various	44.03	...	4.24	Total of 3 transactions
04/27/20	1.000	1.83	04/14/20	1.81	...	0.02	Sale ²⁵
	Security total:	50.10		45.84	...	4.26	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	1.000	11.65	02/27/20	16.45	...	-4.80	1 of 2 - Sale ²⁵
	1.000	11.66	03/03/20	16.74	...	-5.08	2 of 2 - Sale ²⁵
03/04/20	2.000	23.31	Various	33.19	...	-9.88	Total of 2 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/12/20	1.000	166.57	06/12/20	206.39	39.82 W	0.00	Sale ²⁵
06/15/20	1.000	200.50	06/15/20	250.42	...	-49.92	Sale ²⁵
	Security total:	367.07		456.81	39.82 W	-49.92	
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
05/27/20	1.000	17.29	03/13/20	13.96	...	3.33	Sale ²⁵
06/02/20	0.014	0.23	04/15/20	0.21	...	0.02	Sale ²⁵
	Security total:	17.52		14.17	...	3.35	
DARIOHEALTH CORP. COMMON STOCK / CUSIP: 23725P209 / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	8.000	141.12	08/06/20	144.84	...	-3.72	1 of 3 - Sale ²⁵
	1.000	18.00	08/06/20	18.10	...	-0.10	2 of 3 - Sale ²⁵
	1.000	18.06	08/06/20	18.11	...	-0.05	3 of 3 - Sale ²⁵
08/07/20	10.000	177.18	Various	181.05	...	-3.87	Total of 3 transactions

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
06/10/20	10.000	310.21	06/09/20	338.48	28.27 W	0.00	Sale ²⁵
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	9.000	268.29	06/10/20	333.75	58.19 W	-7.27	1 of 2 - Sale ²⁵
	10.000	295.24	06/10/20	348.27	53.03 W	0.00	2 of 2 - Sale ²⁵
06/12/20	19.000	563.53	Various	682.02	111.22 W	-7.27	Total of 2 transactions
06/17/20	1.000	30.44	06/10/20	37.08	...	-6.64	Sale ²⁵
	6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	2.000	58.97	06/19/20	73.90	...	-14.93	1 of 6 - Sale ²⁵
	2.000	58.97	06/19/20	73.86	...	-14.89	2 of 6 - Sale ²⁵
	1.000	29.48	06/19/20	38.50	...	-9.02	3 of 6 - Sale ²⁵
	1.000	29.48	06/19/20	37.97	...	-8.49	4 of 6 - Sale ²⁵
	1.000	29.48	06/19/20	36.97	...	-7.49	5 of 6 - Sale ²⁵
	1.000	29.49	06/19/20	36.97	...	-7.48	6 of 6 - Sale ²⁵
06/22/20	8.000	235.87	Various	298.17	...	-62.30	Total of 6 transactions
	Security total:	1,140.05		1,355.75	139.49 W	-76.21	
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
06/08/20	25.000	114.00	06/08/20	98.00	...	16.00	Sale ²⁵
06/15/20	5.000	22.08	06/09/20	30.20	...	-8.12	Sale ²⁵
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	50.000	184.99	07/16/20	181.50	...	3.49	1 of 2 - Sale ²⁵
	15.000	55.50	07/16/20	49.88	...	5.62	2 of 2 - Sale ²⁵
07/16/20	65.000	240.49	Various	231.38	...	9.11	Total of 2 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	40.000	137.60	07/16/20	143.60	...	-6.00	1 of 2 - Sale ²⁵
	10.000	34.40	07/16/20	35.90	...	-1.50	2 of 2 - Sale ²⁵
07/17/20	50.000	172.00	Various	179.50	...	-7.50	Total of 2 transactions
	Security total:	548.57		539.08	...	9.49	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
06/12/20	1.000	113.71	06/10/20	122.96	...	-9.25	Sale ²⁵
DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
12/10/20	1.000	175.35	12/09/20	180.30	...	-4.95	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
07/29/20	10.000	400.00	07/29/20	328.90	...	71.10	Sale ²⁵
	<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	399.99	07/29/20	414.68	...	-14.69	1 of 2 - Sale ²⁵
	5.000	199.99	07/29/20	196.00	...	3.99	2 of 2 - Sale ²⁵
07/30/20	15.000	599.98	Various	610.68	...	-10.70	Total of 2 transactions
	Security total:	999.98		939.58	...	60.40	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
07/08/20	50.000	246.50	07/07/20	218.25	...	28.25	Sale ²⁵
07/09/20	50.000	238.25	07/08/20	261.00	22.75 W	0.00	Sale ²⁵
07/10/20	50.000	229.00	07/09/20	264.25	...	-35.25	Sale ²⁵
	Security total:	713.75		743.50	22.75 W	-7.00	
ENZO BIOCHEM, INC. / CUSIP: 294100102 / Symbol:							
07/13/20	20.000	53.00	07/10/20	57.10	...	-4.10	Sale ²⁵
EPIZYME, INC. COMMON STOCK / CUSIP: 29428V104 / Symbol:							
01/07/20	3.000	73.80	01/06/20	67.65	...	6.15	Sale ²⁵
06/23/20	20.000	377.24	06/19/20	377.00	...	0.24	Sale ²⁵
	Security total:	451.04		444.65	...	6.39	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
	<i>3 transactions for 01/29/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	12.72	01/28/20	11.52	...	1.20	1 of 3 - Sale ²⁵
	13.000	165.42	01/28/20	149.76	...	15.66	2 of 3 - Sale ²⁵
	36.000	458.09	01/28/20	414.72	...	43.37	3 of 3 - Sale ²⁵
01/29/20	50.000	636.23	Various	576.00	...	60.23	Total of 3 transactions
01/30/20	50.000	640.10	01/29/20	633.25	...	6.85	Sale ²⁵
06/17/20	100.000	730.23	06/16/20	744.69	...	-14.46	Sale ²⁵
	Security total:	2,006.56		1,953.94	...	52.62	

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/05/20	24.000	141.83	06/04/20	119.28	...	22.55	Sale ²⁵
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	25.000	147.74	06/04/20	277.38	129.64 W	0.00	1 of 3 - Sale ²⁵
	1.000	5.91	06/04/20	7.71	1.80 W	0.00	2 of 3 - Sale ²⁵
	49.000	289.58	06/04/20	323.89	34.31 W	0.00	3 of 3 - Sale ²⁵
06/05/20	75.000	443.23	Various	608.98	165.75 W	0.00	Total of 3 transactions
	3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	10.000	49.50	06/09/20	38.90	...	10.60	1 of 3 - Sale ²⁵
	49.000	242.55	06/09/20	230.31	...	12.24	2 of 3 - Sale ²⁵
	26.000	128.69	06/09/20	104.00	...	24.69	3 of 3 - Sale ²⁵
06/10/20	85.000	420.74	Various	373.21	...	47.53	Total of 3 transactions
	3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	4.95	06/04/20	11.80	6.85 W	0.00	1 of 3 - Sale ²⁵
	1.000	4.95	06/09/20	5.80	0.85 W	0.00	2 of 3 - Sale ²⁵
	24.000	118.80	06/09/20	220.45	101.65 W	0.00	3 of 3 - Sale ²⁵
06/10/20	26.000	128.70	Various	238.05	109.35 W	0.00	Total of 3 transactions
	6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	3.80	06/16/20	10.83	7.03 W	0.00	1 of 6 - Sale ²⁵
	5.000	19.00	06/16/20	40.58	21.58 W	0.00	2 of 6 - Sale ²⁵
	4.000	15.20	06/16/20	32.06	16.86 W	0.00	3 of 6 - Sale ²⁵
	1.000	3.80	06/16/20	4.78	0.98 W	0.00	4 of 6 - Sale ²⁵
	15.000	57.00	06/16/20	122.48	65.48 W	0.00	5 of 6 - Sale ²⁵
	24.000	91.20	06/16/20	94.32	3.12 W	0.00	6 of 6 - Sale ²⁵
06/16/20	50.000	190.00	Various	305.05	115.05 W	0.00	Total of 6 transactions
	8 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	15.000	34.80	07/06/20	110.63	...	-75.83	1 of 8 - Sale ²⁵
	5.000	11.60	07/06/20	36.63	...	-25.03	2 of 8 - Sale ²⁵
	4.000	9.28	07/06/20	28.90	...	-19.62	3 of 8 - Sale ²⁵
	19.000	44.08	07/06/20	59.66	...	-15.58	4 of 8 - Sale ²⁵
	1.000	2.32	07/06/20	10.04	...	-7.72	5 of 8 - Sale ²⁵
	1.000	2.32	07/06/20	3.99	...	-1.67	6 of 8 - Sale ²⁵
	5.000	11.60	07/07/20	12.05	...	-0.45	7 of 8 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	20.000	46.40	07/07/20	45.60	...	0.80	8 of 8 - Sale ²⁵
07/08/20	70.000	162.40	Various	307.50	...	-145.10	Total of 8 transactions
	Security total:	1,486.90		1,952.07	390.15 W	-75.02	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
07/08/20	100.000	35.10	07/06/20	45.91	...	-10.81	Sale ²⁵
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	20.000	181.99	09/02/20	180.20	...	1.79	1 of 4 - Sale ²⁵
	9.000	81.90	09/02/20	77.20	...	4.70	2 of 4 - Sale ²⁵
	50.000	454.98	09/02/20	439.00	...	15.98	3 of 4 - Sale ²⁵
	41.000	373.08	09/02/20	356.21	...	16.87	4 of 4 - Sale ²⁵
09/02/20	120.000	1,091.95	Various	1,052.61	...	39.34	Total of 4 transactions
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	80.000	727.98	09/02/20	742.00	14.02 W	0.00	1 of 4 - Sale ²⁵
	80.000	712.78	09/02/20	760.42	47.64 W	0.00	2 of 4 - Sale ²⁵
	20.000	178.19	09/02/20	186.60	8.41 W	0.00	3 of 4 - Sale ²⁵
	100.000	890.97	09/02/20	952.50	61.53 W	0.00	4 of 4 - Sale ²⁵
09/02/20	280.000	2,509.92	Various	2,641.52	131.60 W	0.00	Total of 4 transactions
09/03/20	50.000	490.00	09/02/20	505.78	...	-15.78	Sale ²⁵
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	30.000	300.00	09/02/20	303.46	...	-3.46	1 of 3 - Sale ²⁵
	20.000	200.00	09/02/20	198.81	...	1.19	2 of 3 - Sale ²⁵
	50.000	529.98	09/02/20	506.77	...	23.21	3 of 3 - Sale ²⁵
09/04/20	100.000	1,029.98	Various	1,009.04	...	20.94	Total of 3 transactions
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	2.000	20.40	09/02/20	19.98	...	0.42	1 of 3 - Sale ²⁵
	8.000	81.60	09/02/20	81.08	...	0.52	2 of 3 - Sale ²⁵
	40.000	407.98	09/02/20	400.10	...	7.88	3 of 3 - Sale ²⁵
09/10/20	50.000	509.98	Various	501.16	...	8.82	Total of 3 transactions
	Security total:	5,631.83		5,710.11	131.60 W	53.32	

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	10.000	32.60	06/05/20	30.10	...	2.50	1 of 3 - Sale ²⁵
	20.000	65.20	06/05/20	60.20	...	5.00	2 of 3 - Sale ²⁵
	70.000	228.19	06/05/20	210.70	...	17.49	3 of 3 - Sale ²⁵
06/08/20	100.000	325.99	Various	301.00	...	24.99	Total of 3 transactions
06/10/20	10.000	26.22	06/09/20	48.95	...	-22.73	Sale ²⁵
10/19/20	100.000	269.23	10/16/20	257.77	...	11.46	Sale ²⁵
	Security total:	621.44		607.72	...	13.72	
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
06/12/20	1.000	243.27	06/11/20	250.01	...	-6.74	Sale ²⁵
HUYA INC. / CUSIP: 44852D108 / Symbol:							
3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	1.000	19.38	12/27/19	18.03	...	1.35	1 of 3 - Sale ²⁵
	4.000	77.50	12/27/19	72.06	...	5.44	2 of 3 - Sale ²⁵
	23.000	445.61	12/27/19	414.40	...	31.21	3 of 3 - Sale ²⁵
01/03/20	28.000	542.49	Various	504.49	...	38.00	Total of 3 transactions
11 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	2.000	38.75	12/27/19	49.74	10.99 W	0.00	1 of 11 - Sale ²⁵
	2.000	38.75	12/27/19	49.68	10.93 W	0.00	2 of 11 - Sale ²⁵
	6.000	116.25	12/27/19	149.05	32.80 W	0.00	3 of 11 - Sale ²⁵
	2.000	38.75	12/27/19	48.94	10.19 W	0.00	4 of 11 - Sale ²⁵
	2.000	38.75	12/27/19	51.04	12.29 W	0.00	5 of 11 - Sale ²⁵
	6.000	116.24	12/27/19	150.44	34.20 W	0.00	6 of 11 - Sale ²⁵
	1.000	19.37	12/27/19	24.47	5.10 W	0.00	7 of 11 - Sale ²⁵
	2.000	38.75	12/27/19	49.62	10.87 W	0.00	8 of 11 - Sale ²⁵
	1.000	19.37	12/27/19	25.45	6.08 W	0.00	9 of 11 - Sale ²⁵
	1.000	19.37	12/27/19	22.63	3.26 W	0.00	10 of 11 - Sale ²⁵
	2.000	38.75	12/27/19	41.49	2.74 W	0.00	11 of 11 - Sale ²⁵
01/03/20	27.000	523.10	Various	662.55	139.45 W	0.00	Total of 11 transactions
01/10/20	23.000	471.77	01/03/20	450.57	...	21.20	Sale ²⁵

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
11 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	2.000	41.02	01/03/20	50.17	9.15 W	0.00	1 of 11 - Sale ²⁵
	2.000	41.02	01/03/20	50.11	9.09 W	0.00	2 of 11 - Sale ²⁵
	6.000	123.07	01/03/20	150.34	27.27 W	0.00	3 of 11 - Sale ²⁵
	2.000	41.02	01/03/20	49.37	8.35 W	0.00	4 of 11 - Sale ²⁵
	2.000	41.02	01/03/20	51.47	10.45 W	0.00	5 of 11 - Sale ²⁵
	6.000	123.07	01/03/20	151.74	28.67 W	0.00	6 of 11 - Sale ²⁵
	1.000	20.51	01/03/20	24.69	4.18 W	0.00	7 of 11 - Sale ²⁵
	2.000	41.02	01/03/20	50.05	9.03 W	0.00	8 of 11 - Sale ²⁵
	1.000	20.51	01/03/20	25.67	5.16 W	0.00	9 of 11 - Sale ²⁵
	1.000	20.51	01/03/20	22.85	2.34 W	0.00	10 of 11 - Sale ²⁵
	2.000	41.02	01/03/20	41.92	0.90 W	0.00	11 of 11 - Sale ²⁵
01/10/20	27.000	553.79	Various	668.38	114.59 W	0.00	Total of 11 transactions
10 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	2.000	41.38	01/10/20	49.92	8.54 W	0.00	1 of 10 - Sale ²⁵
	2.000	41.38	01/10/20	49.86	8.48 W	0.00	2 of 10 - Sale ²⁵
	6.000	124.13	01/10/20	149.59	25.46 W	0.00	3 of 10 - Sale ²⁵
	2.000	41.38	01/10/20	49.12	7.74 W	0.00	4 of 10 - Sale ²⁵
	2.000	41.38	01/10/20	51.22	9.84 W	0.00	5 of 10 - Sale ²⁵
	6.000	124.13	01/10/20	151.00	26.87 W	0.00	6 of 10 - Sale ²⁵
	1.000	20.69	01/10/20	24.57	3.88 W	0.00	7 of 10 - Sale ²⁵
	2.000	41.38	01/10/20	49.80	8.42 W	0.00	8 of 10 - Sale ²⁵
	1.000	20.69	01/10/20	25.55	4.86 W	0.00	9 of 10 - Sale ²⁵
	1.000	20.69	01/10/20	22.73	2.04 W	0.00	10 of 10 - Sale ²⁵
01/15/20	25.000	517.23	Various	623.36	106.13 W	0.00	Total of 10 transactions
2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	2.000	37.92	01/10/20	41.67	3.75 W	0.00	1 of 2 - Sale ²⁵
	23.000	436.13	01/10/20	468.91	32.78 W	0.00	2 of 2 - Sale ²⁵
01/22/20	25.000	474.05	Various	510.58	36.53 W	0.00	Total of 2 transactions
15 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	1.000	18.25	01/15/20	24.93	6.68 W	0.00	1 of 15 - Sale ²⁵
	1.000	18.25	01/22/20	22.95	4.70 W	0.00	2 of 15 - Sale ²⁵
	2.000	36.50	01/22/20	45.83	9.33 W	0.00	3 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	6.000	109.50	01/22/20	137.52	28.02 W	0.00	4 of 15 - Sale ²⁵
	2.000	36.50	01/22/20	45.09	8.59 W	0.00	5 of 15 - Sale ²⁵
	2.000	36.50	01/22/20	47.19	10.69 W	0.00	6 of 15 - Sale ²⁵
	6.000	109.49	01/22/20	138.92	29.43 W	0.00	7 of 15 - Sale ²⁵
	1.000	18.25	01/22/20	22.56	4.31 W	0.00	8 of 15 - Sale ²⁵
	2.000	36.50	01/22/20	45.77	9.27 W	0.00	9 of 15 - Sale ²⁵
	1.000	18.25	01/22/20	23.54	5.29 W	0.00	10 of 15 - Sale ²⁵
	1.000	18.25	01/22/20	20.71	2.46 W	0.00	11 of 15 - Sale ²⁵
	1.000	18.25	01/22/20	20.56	2.31 W	0.00	12 of 15 - Sale ²⁵
	1.000	18.25	01/22/20	20.54	2.29 W	0.00	13 of 15 - Sale ²⁵
	3.000	54.74	01/23/20	61.07	6.33 W	0.00	14 of 15 - Sale ²⁵
	1.000	18.25	01/23/20	20.36	2.11 W	0.00	15 of 15 - Sale ²⁵
01/24/20	31.000	565.73	Various	697.54	131.81 W	0.00	Total of 15 transactions
	5 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	1.000	18.22	01/28/20	24.77	6.55 W	0.00	1 of 5 - Sale ²⁵
	1.000	18.22	01/28/20	22.79	4.57 W	0.00	2 of 5 - Sale ²⁵
	2.000	36.45	01/28/20	45.52	9.07 W	0.00	3 of 5 - Sale ²⁵
	19.000	346.27	01/28/20	370.78	24.51 W	0.00	4 of 5 - Sale ²⁵
	1.000	18.23	01/28/20	22.76	4.53 W	0.00	5 of 5 - Sale ²⁵
01/29/20	24.000	437.39	Various	486.62	49.23 W	0.00	Total of 5 transactions
	12 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	2.000	36.23	01/29/20	45.35	9.12 W	0.00	1 of 12 - Sale ²⁵
	3.000	54.34	01/29/20	68.04	13.70 W	0.00	2 of 12 - Sale ²⁵
	2.000	36.23	01/29/20	44.61	8.38 W	0.00	3 of 12 - Sale ²⁵
	2.000	36.23	01/29/20	46.71	10.48 W	0.00	4 of 12 - Sale ²⁵
	6.000	108.69	01/29/20	137.49	28.80 W	0.00	5 of 12 - Sale ²⁵
	1.000	18.12	01/29/20	22.32	4.20 W	0.00	6 of 12 - Sale ²⁵
	2.000	36.23	01/29/20	45.29	9.06 W	0.00	7 of 12 - Sale ²⁵
	1.000	18.11	01/29/20	23.30	5.19 W	0.00	8 of 12 - Sale ²⁵
	1.000	18.12	01/29/20	20.47	2.35 W	0.00	9 of 12 - Sale ²⁵
	1.000	18.11	01/29/20	20.32	2.21 W	0.00	10 of 12 - Sale ²⁵
	1.000	18.12	01/29/20	20.30	2.18 W	0.00	11 of 12 - Sale ²⁵
	1.000	18.11	01/29/20	20.12	2.01 W	0.00	12 of 12 - Sale ²⁵
01/30/20	23.000	416.64	Various	514.32	97.68 W	0.00	Total of 12 transactions

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
11 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	17.89	01/30/20	24.47	6.58 W	0.00	1 of 11 - Sale ²⁵
	1.000	17.90	01/30/20	22.49	4.59 W	0.00	2 of 11 - Sale ²⁵
	1.000	17.89	01/30/20	22.45	4.56 W	0.00	3 of 11 - Sale ²⁵
	2.000	35.79	01/30/20	44.91	9.12 W	0.00	4 of 11 - Sale ²⁵
	2.000	35.79	01/30/20	44.96	9.17 W	0.00	5 of 11 - Sale ²⁵
	3.000	53.69	01/30/20	67.46	13.77 W	0.00	6 of 11 - Sale ²⁵
	2.000	35.79	01/30/20	44.22	8.43 W	0.00	7 of 11 - Sale ²⁵
	2.000	35.79	01/30/20	46.31	10.52 W	0.00	8 of 11 - Sale ²⁵
	1.000	17.89	01/30/20	20.03	2.14 W	0.00	9 of 11 - Sale ²⁵
	2.000	35.79	01/30/20	40.06	4.27 W	0.00	10 of 11 - Sale ²⁵
	19.000	340.01	01/30/20	364.96	24.95 W	0.00	11 of 11 - Sale ²⁵
01/31/20	36.000	644.22	Various	742.32	98.10 W	0.00	Total of 11 transactions
19 transactions for 02/03/20. Total proceeds and cost reported to the IRS.							
	1.000	18.36	01/31/20	24.37	6.01 W	0.00	1 of 19 - Sale ²⁵
	1.000	18.36	01/31/20	22.38	4.02 W	0.00	2 of 19 - Sale ²⁵
	1.000	18.36	01/31/20	22.35	3.99 W	0.00	3 of 19 - Sale ²⁵
	2.000	36.73	01/31/20	44.69	7.96 W	0.00	4 of 19 - Sale ²⁵
	2.000	36.73	01/31/20	44.74	8.01 W	0.00	5 of 19 - Sale ²⁵
	3.000	55.10	01/31/20	67.13	12.03 W	0.00	6 of 19 - Sale ²⁵
	2.000	36.73	01/31/20	44.00	7.27 W	0.00	7 of 19 - Sale ²⁵
	2.000	36.73	01/31/20	46.10	9.37 W	0.00	8 of 19 - Sale ²⁵
	6.000	110.19	01/31/20	135.52	25.33 W	0.00	9 of 19 - Sale ²⁵
	1.000	18.36	01/31/20	21.99	3.63 W	0.00	10 of 19 - Sale ²⁵
	2.000	36.73	01/31/20	44.63	7.90 W	0.00	11 of 19 - Sale ²⁵
	1.000	18.37	01/31/20	22.98	4.61 W	0.00	12 of 19 - Sale ²⁵
	1.000	18.36	01/31/20	20.14	1.78 W	0.00	13 of 19 - Sale ²⁵
	1.000	18.37	01/31/20	20.00	1.63 W	0.00	14 of 19 - Sale ²⁵
	1.000	18.36	01/31/20	19.97	1.61 W	0.00	15 of 19 - Sale ²⁵
	1.000	18.37	01/31/20	19.80	1.43 W	0.00	16 of 19 - Sale ²⁵
	1.000	18.36	01/31/20	19.93	1.57 W	0.00	17 of 19 - Sale ²⁵
	2.000	36.73	01/31/20	39.84	3.11 W	0.00	18 of 19 - Sale ²⁵
	4.000	73.46	01/31/20	76.40	2.94 W	0.00	19 of 19 - Sale ²⁵
02/03/20	35.000	642.76	Various	756.96	114.20 W	0.00	Total of 19 transactions

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
<i>18 transactions for 02/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.14	02/03/20	24.52	5.38 W	0.00	1 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	22.53	3.39 W	0.00	2 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	22.50	3.36 W	0.00	3 of 18 - Sale ²⁵
	2.000	38.28	02/03/20	44.97	6.69 W	0.00	4 of 18 - Sale ²⁵
	2.000	38.28	02/03/20	45.02	6.74 W	0.00	5 of 18 - Sale ²⁵
	3.000	57.42	02/03/20	67.55	10.13 W	0.00	6 of 18 - Sale ²⁵
	2.000	38.28	02/03/20	44.28	6.00 W	0.00	7 of 18 - Sale ²⁵
	2.000	38.28	02/03/20	46.38	8.10 W	0.00	8 of 18 - Sale ²⁵
	6.000	114.84	02/03/20	136.36	21.52 W	0.00	9 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	22.14	3.00 W	0.00	10 of 18 - Sale ²⁵
	2.000	38.28	02/03/20	44.91	6.63 W	0.00	11 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	23.11	3.97 W	0.00	12 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	20.29	1.15 W	0.00	13 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	20.13	0.99 W	0.00	14 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	20.12	0.98 W	0.00	15 of 18 - Sale ²⁵
	1.000	19.14	02/03/20	19.93	0.79 W	0.00	16 of 18 - Sale ²⁵
	2.000	38.28	02/03/20	40.12	1.84 W	0.00	17 of 18 - Sale ²⁵
	15.000	287.08	02/03/20	297.28	10.20 W	0.00	18 of 18 - Sale ²⁵
02/04/20	45.000	861.28	Various	962.14	100.86 W	0.00	Total of 18 transactions
<i>22 transactions for 02/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.17	02/04/20	24.38	5.21 W	0.00	1 of 22 - Sale ²⁵
	1.000	19.17	02/04/20	22.39	3.22 W	0.00	2 of 22 - Sale ²⁵
	1.000	19.17	02/04/20	22.36	3.19 W	0.00	3 of 22 - Sale ²⁵
	1.000	19.17	02/04/20	22.38	3.21 W	0.00	4 of 22 - Sale ²⁵
	2.000	38.34	02/04/20	44.68	6.34 W	0.00	5 of 22 - Sale ²⁵
	1.000	19.17	02/04/20	20.57	1.40 W	0.00	6 of 22 - Sale ²⁵
	4.000	76.68	02/04/20	78.94	2.26 W	0.00	7 of 22 - Sale ²⁵
	1.000	19.17	02/04/20	22.34	3.17 W	0.00	8 of 22 - Sale ²⁵
	15.000	287.56	02/05/20	290.62	2.04 W	-1.02	9 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	22.06	2.89 W	0.00	10 of 22 - Sale ²⁵
	2.000	38.34	02/05/20	44.13	5.79 W	0.00	11 of 22 - Sale ²⁵
	2.000	38.34	02/05/20	43.39	5.05 W	0.00	12 of 22 - Sale ²⁵
	2.000	38.34	02/05/20	45.49	7.15 W	0.00	13 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	6.000	115.02	02/05/20	133.69	18.67 W	0.00	14 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	21.69	2.52 W	0.00	15 of 22 - Sale ²⁵
	2.000	38.34	02/05/20	44.02	5.68 W	0.00	16 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	22.66	3.49 W	0.00	17 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	19.84	0.67 W	0.00	18 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	19.68	0.51 W	0.00	19 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	19.67	0.50 W	0.00	20 of 22 - Sale ²⁵
	1.000	19.17	02/05/20	19.49	0.32 W	0.00	21 of 22 - Sale ²⁵
	2.000	38.34	02/05/20	39.23	0.89 W	0.00	22 of 22 - Sale ²⁵
02/06/20	50.000	958.51	Various	1,043.70	84.17 W	-1.02	Total of 22 transactions
	22 transactions for 02/24/20. Total proceeds and cost reported to the IRS.						
	1.000	19.22	02/14/20	26.06	6.84 W	0.00	1 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	24.07	4.85 W	0.00	2 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	24.04	4.82 W	0.00	3 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	24.06	4.84 W	0.00	4 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	24.01	4.79 W	0.00	5 of 22 - Sale ²⁵
	2.000	38.44	02/14/20	48.03	9.59 W	0.00	6 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	23.73	4.51 W	0.00	7 of 22 - Sale ²⁵
	2.000	38.44	02/14/20	47.48	9.04 W	0.00	8 of 22 - Sale ²⁵
	2.000	38.44	02/14/20	46.74	8.30 W	0.00	9 of 22 - Sale ²⁵
	2.000	38.44	02/14/20	48.84	10.40 W	0.00	10 of 22 - Sale ²⁵
	6.000	115.33	02/14/20	143.74	28.41 W	0.00	11 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	23.37	4.15 W	0.00	12 of 22 - Sale ²⁵
	2.000	38.44	02/14/20	47.37	8.93 W	0.00	13 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	24.33	5.11 W	0.00	14 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	21.52	2.30 W	0.00	15 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	21.35	2.13 W	0.00	16 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	21.35	2.13 W	0.00	17 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	22.25	3.03 W	0.00	18 of 22 - Sale ²⁵
	1.000	19.22	02/14/20	21.16	1.94 W	0.00	19 of 22 - Sale ²⁵
	2.000	38.44	02/14/20	42.58	4.14 W	0.00	20 of 22 - Sale ²⁵
	4.000	76.89	02/14/20	85.64	8.75 W	0.00	21 of 22 - Sale ²⁵
	9.000	172.99	02/14/20	189.45	16.46 W	0.00	22 of 22 - Sale ²⁵
02/24/20	44.000	845.71	Various	1,001.17	155.46 W	0.00	Total of 22 transactions

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
22 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	1.000	18.60	02/24/20	19.45	0.85 W	0.00	1 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	25.50	6.90 W	0.00	2 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	23.50	4.91 W	0.00	3 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	23.19	4.59 W	0.00	4 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	23.21	4.62 W	0.00	5 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	23.16	4.56 W	0.00	6 of 22 - Sale ²⁵
	2.000	37.19	03/10/20	46.33	9.14 W	0.00	7 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	22.88	4.29 W	0.00	8 of 22 - Sale ²⁵
	2.000	37.19	03/10/20	45.78	8.59 W	0.00	9 of 22 - Sale ²⁵
	2.000	37.19	03/10/20	45.04	7.85 W	0.00	10 of 22 - Sale ²⁵
	2.000	37.19	03/10/20	47.14	9.95 W	0.00	11 of 22 - Sale ²⁵
	6.000	111.57	03/10/20	138.63	27.06 W	0.00	12 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	22.52	3.92 W	0.00	13 of 22 - Sale ²⁵
	2.000	37.19	03/10/20	45.67	8.48 W	0.00	14 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	23.48	4.89 W	0.00	15 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	20.67	2.07 W	0.00	16 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	20.50	1.91 W	0.00	17 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	20.50	1.90 W	0.00	18 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	21.40	2.81 W	0.00	19 of 22 - Sale ²⁵
	1.000	18.60	03/10/20	20.31	1.71 W	0.00	20 of 22 - Sale ²⁵
	1.000	18.59	03/10/20	20.44	1.85 W	0.00	21 of 22 - Sale ²⁵
	4.000	74.38	03/10/20	82.23	7.85 W	0.00	22 of 22 - Sale ²⁵
03/10/20	35.000	650.83	Various	781.53	130.70 W	0.00	Total of 22 transactions
9 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	2.000	31.86	03/10/20	36.74	4.88 W	0.00	1 of 9 - Sale ²⁵
	1.000	15.94	03/10/20	25.27	9.33 W	0.00	2 of 9 - Sale ²⁵
	1.000	15.94	03/10/20	23.28	7.34 W	0.00	3 of 9 - Sale ²⁵
	1.000	15.94	03/10/20	19.22	3.28 W	0.00	4 of 9 - Sale ²⁵
	1.000	15.94	03/10/20	20.44	4.50 W	0.00	5 of 9 - Sale ²⁵
	9.000	143.49	03/10/20	181.80	38.31 W	0.00	6 of 9 - Sale ²⁵
	3.000	47.83	03/10/20	55.11	7.28 W	0.00	7 of 9 - Sale ²⁵
	1.000	15.93	03/11/20	22.38	6.45 W	0.00	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	1.000	15.93	03/12/20	19.77	3.84 W	0.00	9 of 9 - Sale ²⁵
03/13/20	20.000	318.80	Various	404.01	85.21 W	0.00	Total of 9 transactions
	6 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	1.000	17.08	03/13/20	16.91	...	0.17	1 of 6 - Sale ²⁵
	1.000	17.08	03/13/20	16.75	...	0.33	2 of 6 - Sale ²⁵
	1.000	17.08	03/13/20	16.74	...	0.34	3 of 6 - Sale ²⁵
	1.000	17.08	03/13/20	16.69	...	0.39	4 of 6 - Sale ²⁵
	1.000	17.08	03/13/20	16.55	...	0.53	5 of 6 - Sale ²⁵
	4.000	68.33	03/13/20	67.20	...	1.13	6 of 6 - Sale ²⁵
04/02/20	9.000	153.73	Various	150.84	...	2.89	Total of 6 transactions
	21 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	1.000	17.08	03/13/20	24.17	7.09 W	0.00	1 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	22.18	5.10 W	0.00	2 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	21.29	4.21 W	0.00	3 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	18.67	1.59 W	0.00	4 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	19.43	2.35 W	0.00	5 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	19.46	2.38 W	0.00	6 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	19.40	2.32 W	0.00	7 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	19.13	2.05 W	0.00	8 of 21 - Sale ²⁵
	2.000	34.16	03/13/20	38.27	4.11 W	0.00	9 of 21 - Sale ²⁵
	2.000	34.17	03/13/20	37.53	3.36 W	0.00	10 of 21 - Sale ²⁵
	2.000	34.16	03/13/20	39.63	5.47 W	0.00	11 of 21 - Sale ²⁵
	6.000	102.50	03/13/20	116.09	13.59 W	0.00	12 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	18.76	1.68 W	0.00	13 of 21 - Sale ²⁵
	2.000	34.16	03/13/20	38.16	4.00 W	0.00	14 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	19.73	2.65 W	0.00	15 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	18.12	1.04 W	0.00	16 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	19.34	2.26 W	0.00	17 of 21 - Sale ²⁵
	1.000	17.08	03/13/20	17.65	0.57 W	0.00	18 of 21 - Sale ²⁵
	9.000	153.76	03/13/20	171.84	18.08 W	0.00	19 of 21 - Sale ²⁵
	1.000	17.09	03/13/20	17.27	0.18 W	0.00	20 of 21 - Sale ²⁵
	3.000	51.25	03/13/20	51.79	0.54 W	0.00	21 of 21 - Sale ²⁵
04/02/20	40.000	683.29	Various	767.91	84.62 W	0.00	Total of 21 transactions

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
23 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	15.15	03/13/20	17.27	2.12 W	0.00	1 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	24.02	8.87 W	0.00	2 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	22.03	6.88 W	0.00	3 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	21.14	5.99 W	0.00	4 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	18.52	3.37 W	0.00	5 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	19.28	4.13 W	0.00	6 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	19.31	4.16 W	0.00	7 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	19.25	4.10 W	0.00	8 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	18.98	3.83 W	0.00	9 of 23 - Sale ²⁵
	2.000	30.30	04/03/20	37.97	7.67 W	0.00	10 of 23 - Sale ²⁵
	2.000	30.30	04/03/20	37.22	6.92 W	0.00	11 of 23 - Sale ²⁵
	2.000	30.30	04/03/20	39.33	9.03 W	0.00	12 of 23 - Sale ²⁵
	6.000	90.91	04/03/20	115.17	24.26 W	0.00	13 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	18.61	3.46 W	0.00	14 of 23 - Sale ²⁵
	2.000	30.30	04/03/20	37.86	7.56 W	0.00	15 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	19.58	4.43 W	0.00	16 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	17.97	2.82 W	0.00	17 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	19.19	4.04 W	0.00	18 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	17.50	2.35 W	0.00	19 of 23 - Sale ²⁵
	9.000	136.37	04/03/20	170.45	34.08 W	0.00	20 of 23 - Sale ²⁵
	1.000	15.15	04/03/20	17.11	1.96 W	0.00	21 of 23 - Sale ²⁵
	3.000	45.45	04/03/20	51.33	5.88 W	0.00	22 of 23 - Sale ²⁵
	8.000	121.22	04/03/20	135.44	14.22 W	0.00	23 of 23 - Sale ²⁵
04/08/20	49.000	742.40	Various	914.53	172.13 W	0.00	Total of 23 transactions
04/14/20	1.000	16.51	04/03/20	19.03	2.52 W	0.00	Sale ²⁵
9 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	16.28	04/09/20	24.52	8.24 W	0.00	1 of 9 - Sale ²⁵
	1.000	16.28	04/09/20	22.53	6.25 W	0.00	2 of 9 - Sale ²⁵
	1.000	16.28	04/09/20	21.64	5.36 W	0.00	3 of 9 - Sale ²⁵
	1.000	16.28	04/09/20	19.02	2.74 W	0.00	4 of 9 - Sale ²⁵
	1.000	16.28	04/09/20	19.78	3.50 W	0.00	5 of 9 - Sale ²⁵
	1.000	16.28	04/09/20	19.81	3.53 W	0.00	6 of 9 - Sale ²⁵
	1.000	16.28	04/09/20	19.75	3.47 W	0.00	7 of 9 - Sale ²⁵

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Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	1.000	16.28	04/09/20	19.48	3.20 W	0.00	8 of 9 - Sale ²⁵
	2.000	32.56	04/09/20	38.97	6.41 W	0.00	9 of 9 - Sale ²⁵
04/17/20	10.000	162.80	Various	205.50	42.70 W	0.00	Total of 9 transactions
	15 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	2.000	30.74	04/09/20	38.22	7.48 W	0.00	1 of 15 - Sale ²⁵
	2.000	30.74	04/09/20	40.33	9.59 W	0.00	2 of 15 - Sale ²⁵
	6.000	92.22	04/09/20	118.16	25.94 W	0.00	3 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	19.11	3.74 W	0.00	4 of 15 - Sale ²⁵
	2.000	30.74	04/09/20	38.86	8.12 W	0.00	5 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	20.08	4.71 W	0.00	6 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	18.47	3.10 W	0.00	7 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	19.69	4.32 W	0.00	8 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	18.00	2.63 W	0.00	9 of 15 - Sale ²⁵
	9.000	138.32	04/09/20	174.93	36.61 W	0.00	10 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	18.17	2.80 W	0.00	11 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	17.61	2.24 W	0.00	12 of 15 - Sale ²⁵
	3.000	46.11	04/09/20	52.83	6.72 W	0.00	13 of 15 - Sale ²⁵
	8.000	122.95	04/09/20	139.42	16.47 W	0.00	14 of 15 - Sale ²⁵
	1.000	15.37	04/09/20	15.65	0.28 W	0.00	15 of 15 - Sale ²⁵
04/23/20	40.000	614.78	Various	749.53	134.75 W	0.00	Total of 15 transactions
	19 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	1.000	16.01	04/17/20	24.54	8.53 W	0.00	1 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	22.55	6.54 W	0.00	2 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	21.66	5.65 W	0.00	3 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	19.04	3.03 W	0.00	4 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	19.80	3.79 W	0.00	5 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	19.83	3.82 W	0.00	6 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	19.78	3.77 W	0.00	7 of 19 - Sale ²⁵
	2.000	32.02	04/17/20	40.09	8.07 W	0.00	8 of 19 - Sale ²⁵
	2.000	32.02	04/17/20	42.20	10.18 W	0.00	9 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	19.50	3.49 W	0.00	10 of 19 - Sale ²⁵
	2.000	32.02	04/17/20	39.02	7.00 W	0.00	11 of 19 - Sale ²⁵
	6.000	96.06	04/17/20	123.77	27.71 W	0.00	12 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	20.05	4.04 W	0.00	13 of 19 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	2.000	32.02	04/17/20	40.73	8.71 W	0.00	14 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	21.02	5.01 W	0.00	15 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	19.40	3.39 W	0.00	16 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	20.63	4.62 W	0.00	17 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	18.93	2.92 W	0.00	18 of 19 - Sale ²⁵
	1.000	16.01	04/17/20	20.38	4.37 W	0.00	19 of 19 - Sale ²⁵
04/24/20	28.000	448.28	Various	572.92	124.64 W	0.00	Total of 19 transactions
	19 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	1.000	15.86	04/17/20	20.37	4.51 W	0.00	1 of 19 - Sale ²⁵
	7.000	111.03	04/27/20	143.34	32.31 W	0.00	2 of 19 - Sale ²⁵
	1.000	15.86	04/27/20	19.21	3.35 W	0.00	3 of 19 - Sale ²⁵
	1.000	15.86	04/27/20	18.65	2.79 W	0.00	4 of 19 - Sale ²⁵
	1.000	15.86	04/28/20	18.03	2.17 W	0.00	5 of 19 - Sale ²⁵
	2.000	31.72	04/28/20	36.06	4.34 W	0.00	6 of 19 - Sale ²⁵
	2.000	31.72	04/28/20	35.69	3.97 W	0.00	7 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	24.18	8.32 W	0.00	8 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	22.19	6.33 W	0.00	9 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	21.30	5.44 W	0.00	10 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	18.68	2.82 W	0.00	11 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	19.44	3.58 W	0.00	12 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	19.47	3.61 W	0.00	13 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	19.42	3.56 W	0.00	14 of 19 - Sale ²⁵
	2.000	31.73	05/05/20	39.37	7.64 W	0.00	15 of 19 - Sale ²⁵
	2.000	31.73	05/05/20	41.48	9.75 W	0.00	16 of 19 - Sale ²⁵
	1.000	15.86	05/05/20	19.14	3.28 W	0.00	17 of 19 - Sale ²⁵
	1.000	15.87	05/05/20	19.15	3.28 W	0.00	18 of 19 - Sale ²⁵
	2.000	31.73	05/05/20	35.42	3.69 W	0.00	19 of 19 - Sale ²⁵
05/08/20	30.000	475.85	Various	590.59	114.74 W	0.00	Total of 19 transactions
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	4.000	71.56	05/05/20	70.83	...	0.73	1 of 2 - Sale ²⁵
	1.000	17.89	05/05/20	15.93	...	1.96	2 of 2 - Sale ²⁵
05/19/20	5.000	89.45	Various	86.76	...	2.69	Total of 2 transactions

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(continued)

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
57 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
1.000		17.89	05/05/20	18.55	0.66 W	0.00	1 of 57 - Sale ²⁵
6.000		107.34	05/05/20	117.99	10.65 W	0.00	2 of 57 - Sale ²⁵
1.000		17.89	05/05/20	19.08	1.19 W	0.00	3 of 57 - Sale ²⁵
1.000		17.89	05/05/20	19.41	1.52 W	0.00	4 of 57 - Sale ²⁵
1.000		17.89	05/05/20	19.24	1.35 W	0.00	5 of 57 - Sale ²⁵
1.000		17.89	05/12/20	21.17	3.28 W	0.00	6 of 57 - Sale ²⁵
1.000		17.89	05/12/20	20.66	2.77 W	0.00	7 of 57 - Sale ²⁵
1.000		17.89	05/12/20	19.54	1.65 W	0.00	8 of 57 - Sale ²⁵
7.000		125.22	05/12/20	145.40	20.18 W	0.00	9 of 57 - Sale ²⁵
1.000		17.89	05/12/20	20.78	2.89 W	0.00	10 of 57 - Sale ²⁵
1.000		17.89	05/12/20	19.07	1.18 W	0.00	11 of 57 - Sale ²⁵
1.000		17.89	05/12/20	20.53	2.64 W	0.00	12 of 57 - Sale ²⁵
1.000		17.89	05/12/20	19.50	1.61 W	0.00	13 of 57 - Sale ²⁵
1.000		17.89	05/12/20	18.94	1.05 W	0.00	14 of 57 - Sale ²⁵
1.000		17.89	05/12/20	18.33	0.44 W	0.00	15 of 57 - Sale ²⁵
2.000		35.78	05/12/20	36.65	0.87 W	0.00	16 of 57 - Sale ²⁵
2.000		35.78	05/12/20	36.28	0.50 W	0.00	17 of 57 - Sale ²⁵
1.000		17.89	05/18/20	26.28	8.39 W	0.00	18 of 57 - Sale ²⁵
1.000		17.89	05/18/20	24.29	6.40 W	0.00	19 of 57 - Sale ²⁵
1.000		17.89	05/18/20	23.40	5.51 W	0.00	20 of 57 - Sale ²⁵
1.000		17.89	05/18/20	20.78	2.89 W	0.00	21 of 57 - Sale ²⁵
1.000		17.89	05/18/20	21.54	3.65 W	0.00	22 of 57 - Sale ²⁵
1.000		17.89	05/18/20	21.57	3.68 W	0.00	23 of 57 - Sale ²⁵
1.000		17.89	05/18/20	21.52	3.63 W	0.00	24 of 57 - Sale ²⁵
2.000		35.77	05/18/20	43.56	7.79 W	0.00	25 of 57 - Sale ²⁵
1.000		17.89	05/18/20	22.84	4.95 W	0.00	26 of 57 - Sale ²⁵
1.000		18.34	05/18/20	22.83	4.49 W	0.00	27 of 57 - Sale ²⁵
1.000		18.34	05/18/20	21.24	2.90 W	0.00	28 of 57 - Sale ²⁵
1.000		18.34	05/18/20	21.24	2.90 W	0.00	29 of 57 - Sale ²⁵
2.000		36.68	05/18/20	39.61	2.93 W	0.00	30 of 57 - Sale ²⁵
1.000		18.34	05/19/20	18.77	0.43 W	0.00	31 of 57 - Sale ²⁵
4.000		73.36	05/19/20	79.54	6.18 W	0.00	32 of 57 - Sale ²⁵
1.000		18.34	05/19/20	26.53	8.19 W	0.00	33 of 57 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	2.000	36.68	05/19/20	39.83	3.15 W	0.00	34 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.33	0.99 W	0.00	35 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.66	1.32 W	0.00	36 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.49	1.15 W	0.00	37 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	24.54	6.20 W	0.00	38 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	23.65	5.31 W	0.00	39 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	21.03	2.69 W	0.00	40 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	21.79	3.45 W	0.00	41 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	21.82	3.48 W	0.00	42 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	21.77	3.43 W	0.00	43 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	23.09	4.75 W	0.00	44 of 57 - Sale ²⁵
	2.000	36.68	05/19/20	44.06	7.38 W	0.00	45 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	21.42	3.08 W	0.00	46 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	20.91	2.57 W	0.00	47 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.79	1.45 W	0.00	48 of 57 - Sale ²⁵
	7.000	128.37	05/19/20	147.14	18.77 W	0.00	49 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	21.03	2.69 W	0.00	50 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.32	0.98 W	0.00	51 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	20.78	2.44 W	0.00	52 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.75	1.41 W	0.00	53 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	19.19	0.85 W	0.00	54 of 57 - Sale ²⁵
	1.000	18.34	05/19/20	18.58	0.24 W	0.00	55 of 57 - Sale ²⁵
	2.000	36.68	05/19/20	37.14	0.46 W	0.00	56 of 57 - Sale ²⁵
	2.000	36.67	05/19/20	36.77	0.10 W	0.00	57 of 57 - Sale ²⁵
05/19/20	85.000	1,540.86	Various	1,748.54	207.68 W	0.00	Total of 57 transactions
	33 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	15.94	05/19/20	22.62	6.68 W	0.00	1 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.04	5.10 W	0.00	2 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.03	5.09 W	0.00	3 of 33 - Sale ²⁵
	2.000	31.88	05/19/20	39.20	7.32 W	0.00	4 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	26.56	10.62 W	0.00	5 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	18.80	2.86 W	0.00	6 of 33 - Sale ²⁵
	2.000	31.88	05/19/20	39.89	8.01 W	0.00	7 of 33 - Sale ²⁵
	4.000	63.76	05/19/20	79.66	15.90 W	0.00	8 of 33 - Sale ²⁵

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Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	1.000	15.94	05/19/20	19.36	3.42 W	0.00	9 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	19.69	3.75 W	0.00	10 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	19.52	3.58 W	0.00	11 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	24.57	8.63 W	0.00	12 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	23.68	7.74 W	0.00	13 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.06	5.12 W	0.00	14 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.82	5.88 W	0.00	15 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.85	5.91 W	0.00	16 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.80	5.86 W	0.00	17 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	23.12	7.18 W	0.00	18 of 33 - Sale ²⁵
	2.000	31.88	05/19/20	44.12	12.24 W	0.00	19 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.45	5.51 W	0.00	20 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	20.94	5.00 W	0.00	21 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	19.82	3.88 W	0.00	22 of 33 - Sale ²⁵
	7.000	111.57	05/19/20	147.36	35.79 W	0.00	23 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	21.06	5.12 W	0.00	24 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	19.35	3.41 W	0.00	25 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	20.81	4.87 W	0.00	26 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	19.78	3.84 W	0.00	27 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	19.22	3.28 W	0.00	28 of 33 - Sale ²⁵
	1.000	15.94	05/19/20	18.61	2.67 W	0.00	29 of 33 - Sale ²⁵
	2.000	31.88	05/19/20	37.20	5.32 W	0.00	30 of 33 - Sale ²⁵
	2.000	31.88	05/19/20	36.84	4.96 W	0.00	31 of 33 - Sale ²⁵
	5.000	79.69	05/19/20	91.85	12.16 W	0.00	32 of 33 - Sale ²⁵
	24.000	382.54	05/27/20	390.60	0.68 W	-7.38	33 of 33 - Sale ²⁵
05/28/20	74.000	1,179.52	Various	1,414.28	227.38 W	-7.38	Total of 33 transactions
	20 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	16.97	05/27/20	22.96	...	-5.99	1 of 20 - Sale ²⁵
	4.000	67.88	05/28/20	79.50	...	-11.62	2 of 20 - Sale ²⁵
	2.000	33.94	05/28/20	43.83	...	-9.89	3 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	26.52	...	-9.55	4 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	24.43	...	-7.46	5 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	23.54	...	-6.57	6 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	22.97	...	-6.00	7 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HUYA INC. / CUSIP: 44852D108 / Symbol: (cont'd)							
	2.000	33.94	05/28/20	39.60	...	-5.66	8 of 20 - Sale ²⁵
	2.000	33.94	05/28/20	39.12	...	-5.18	9 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	21.70	...	-4.73	10 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	21.68	...	-4.71	11 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	21.66	...	-4.69	12 of 20 - Sale ²⁵
	1.000	17.04	05/28/20	21.31	...	-4.27	13 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	21.00	...	-4.03	14 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	20.99	...	-4.02	15 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	20.92	...	-3.95	16 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	19.55	...	-2.58	17 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	19.38	...	-2.41	18 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	19.22	...	-2.25	19 of 20 - Sale ²⁵
	1.000	16.97	05/28/20	18.76	...	-1.79	20 of 20 - Sale ²⁵
06/04/20	26.000	441.29	Various	548.64	...	-107.35	Total of 20 transactions
	13 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	7.000	116.09	06/04/20	154.30	...	-38.21	1 of 13 - Sale ²⁵
	5.000	82.93	06/04/20	96.81	...	-13.88	2 of 13 - Sale ²⁵
	2.000	33.17	06/04/20	39.18	...	-6.01	3 of 13 - Sale ²⁵
	2.000	33.17	06/04/20	38.82	...	-5.65	4 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	22.05	...	-5.47	5 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	21.93	...	-5.35	6 of 13 - Sale ²⁵
	1.000	16.59	06/04/20	21.80	...	-5.21	7 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	20.81	...	-4.23	8 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	20.77	...	-4.19	9 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	20.34	...	-3.76	10 of 13 - Sale ²⁵
	1.000	16.59	06/04/20	20.21	...	-3.62	11 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	19.60	...	-3.02	12 of 13 - Sale ²⁵
	1.000	16.58	06/04/20	17.27	...	-0.69	13 of 13 - Sale ²⁵
06/08/20	25.000	414.60	Various	513.89	...	-99.29	Total of 13 transactions
06/25/20	1.000	18.37	06/23/20	19.17	...	-0.80	Sale ²⁵
	Security total:	16,406.03		19,112.37	2,555.28 W	-151.06	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
07/21/20	50.000	330.75	07/20/20	253.50	...	77.25	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/22/20	20.000	64.20	06/18/20	47.80	...	16.40	Sale ²⁵
	<i>5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>						
	20.000	59.90	06/22/20	59.90	...	-11.60	1 of 5 - Sale ²⁵
	20.000	59.90	06/23/20	59.20	...	0.70	2 of 5 - Sale ²⁵
	20.000	59.90	06/23/20	59.20	...	0.70	3 of 5 - Sale ²⁵
	20.000	59.90	06/23/20	59.20	...	0.70	4 of 5 - Sale ²⁵
	20.000	59.89	06/23/20	59.10	...	0.79	5 of 5 - Sale ²⁵
06/24/20	100.000	299.49	Various	308.20	...	-8.71	Total of 5 transactions
	Security total:	363.69		356.00	...	7.69	
INFINERA CORPORATION COMMON STOCK / CUSIP: 45667G103 / Symbol:							
01/13/20	10.000	78.32	01/10/20	78.68	...	-0.36	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
	<i>5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	150.09	06/25/20	138.94	...	11.15	1 of 5 - Sale ²⁵
	10.000	300.17	06/25/20	279.00	...	21.17	2 of 5 - Sale ²⁵
	20.000	575.98	06/25/20	548.33	...	27.65	3 of 5 - Sale ²⁵
	50.000	1,500.86	06/25/20	1,467.00	...	33.86	4 of 5 - Sale ²⁵
	38.000	1,094.37	06/25/20	1,044.62	...	49.75	5 of 5 - Sale ²⁵
06/25/20	123.000	3,621.47	Various	3,477.89	...	143.58	Total of 5 transactions
	<i>2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	275.31	06/25/20	313.90	38.59 W	0.00	1 of 2 - Sale ²⁵
	10.000	275.31	06/25/20	312.00	36.69 W	0.00	2 of 2 - Sale ²⁵
06/26/20	20.000	550.62	Various	625.90	75.28 W	0.00	Total of 2 transactions
	<i>3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	226.21	06/30/20	318.79	...	-92.58	1 of 3 - Sale ²⁵
	10.000	226.21	06/30/20	315.19	...	-88.98	2 of 3 - Sale ²⁵
	20.000	452.43	06/30/20	520.00	...	-67.57	3 of 3 - Sale ²⁵
07/01/20	40.000	904.85	Various	1,153.98	...	-249.13	Total of 3 transactions
	Security total:	5,076.94		5,257.77	75.28 W	-105.55	

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JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol:							
03/03/20	1.000	42.97	02/27/20	38.89	...	4.08	Sale ²⁵
04/16/20	1.000	46.72	03/13/20	38.84	...	7.88	Sale ²⁵
4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	46.82	04/17/20	46.09	...	0.73	1 of 4 - Sale ²⁵
	4.000	187.29	04/17/20	184.48	...	2.81	2 of 4 - Sale ²⁵
	1.000	46.82	04/28/20	43.89	...	2.93	3 of 4 - Sale ²⁵
	2.000	93.64	04/29/20	87.62	...	6.02	4 of 4 - Sale ²⁵
05/08/20	8.000	374.57	Various	362.08	...	12.49	Total of 4 transactions
05/15/20	1.000	50.81	04/29/20	43.81	...	7.00	Sale ²⁵
06/02/20	1.000	55.49	05/19/20	54.90	...	0.59	Sale ²⁵
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	59.68	06/18/20	59.69	...	-0.01	1 of 2 - Sale ²⁵
	1.000	59.68	06/19/20	59.21	...	0.47	2 of 2 - Sale ²⁵
06/23/20	2.000	119.36	Various	118.90	...	0.46	Total of 2 transactions
	Security total:	689.92		657.42	...	32.50	
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	30.000	40.05	08/31/20	44.09	...	-4.04	1 of 2 - Sale ²⁵
	5.000	6.68	08/31/20	7.08	...	-0.40	2 of 2 - Sale ²⁵
09/02/20	35.000	46.73	Various	51.17	...	-4.44	Total of 2 transactions
LONGVIEW ACQUISITION CORP. / CUSIP: 543195101 / Symbol:							
12/07/20	1.000	16.44	12/04/20	15.99	...	0.45	Sale ²⁵
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
3 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	60.000	666.73	02/12/20	712.08	45.35 W	0.00	1 of 3 - Sale ²⁵
	5.000	55.56	02/12/20	59.40	3.84 W	0.00	2 of 3 - Sale ²⁵
	24.000	266.69	02/12/20	277.15	10.46 W	0.00	3 of 3 - Sale ²⁵
02/13/20	89.000	988.98	Various	1,048.63	59.65 W	0.00	Total of 3 transactions

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MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
7 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	42.000	454.93	02/13/20	501.63	...	-46.70	1 of 7 - Sale ²⁵
	21.000	227.47	02/13/20	244.10	...	-16.63	2 of 7 - Sale ²⁵
	5.000	54.16	02/13/20	59.78	...	-5.62	3 of 7 - Sale ²⁵
	5.000	54.16	02/13/20	59.53	...	-5.37	4 of 7 - Sale ²⁵
	3.000	32.50	02/13/20	34.66	...	-2.16	5 of 7 - Sale ²⁵
	2.000	21.66	02/13/20	23.81	...	-2.15	6 of 7 - Sale ²⁵
	1.000	10.83	02/13/20	11.12	...	-0.29	7 of 7 - Sale ²⁵
02/14/20	79.000	855.71	Various	934.63	...	-78.92	Total of 7 transactions
2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	1.000	10.83	02/12/20	12.31	1.48 W	0.00	1 of 2 - Sale ²⁵
	10.000	108.32	02/13/20	119.06	5.37 W	-5.37	2 of 2 - Sale ²⁵
02/14/20	11.000	119.15	Various	131.37	6.85 W	-5.37	Total of 2 transactions
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	4.000	37.69	02/14/20	47.78	...	-10.09	1 of 2 - Sale ²⁵
	1.000	9.42	02/14/20	12.35	...	-2.93	2 of 2 - Sale ²⁵
02/24/20	5.000	47.11	Various	60.13	...	-13.02	Total of 2 transactions
05/18/20	1.000	6.09	02/24/20	10.51	...	-4.42	Sale ²⁵
	Security total:	2,017.04		2,185.27	66.50 W	-101.73	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
12/04/20	10.000	55.90	12/03/20	61.60	...	-5.70	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
09/21/20	2.000	394.12	09/18/20	396.14	...	-2.02	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
06/02/20	1.000	59.07	05/29/20	58.26	...	0.81	Sale ²⁵
06/24/20	5.000	330.00	06/23/20	316.06	...	13.94	Sale ²⁵
07/02/20	10.000	617.48	07/01/20	606.04	...	11.44	Sale ²⁵
07/06/20	10.000	591.13	07/01/20	606.03	14.90 W	0.00	Sale ²⁵
07/31/20	10.000	749.98	07/27/20	821.67	71.69 W	0.00	Sale ²⁵
08/05/20	10.000	745.83	08/03/20	847.26	101.43 W	0.00	Sale ²⁵
08/11/20	10.000	739.98	08/06/20	840.43	20.09 W	-80.36	Sale ²⁵

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Account 760881029

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	68.75	08/12/20	85.79	...	-17.04	1 of 2 - Sale ²⁵
	1.000	68.75	08/12/20	79.08	...	-10.33	2 of 2 - Sale ²⁵
08/17/20	2.000	137.50	Various	164.87	...	-27.37	Total of 2 transactions
11/17/20	1.000	93.89	11/16/20	96.37	2.48 W	0.00	Sale ²⁵
12/09/20	1.000	162.95	12/02/20	144.82	...	18.13	Sale ²⁵
12/11/20	0.900	141.80	12/07/20	146.67	4.87 W	0.00	Sale ²⁵
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.100	15.52	12/07/20	16.30	0.78 W	0.00	1 of 2 - Sale ²⁵
	0.500	77.60	12/11/20	81.13	3.53 W	0.00	2 of 2 - Sale ²⁵
12/14/20	0.600	93.12	Various	97.43	4.31 W	0.00	Total of 2 transactions
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.500	58.88	12/15/20	77.46	...	-18.58	1 of 3 - Sale ²⁵
	0.400	47.11	12/15/20	61.31	...	-14.20	2 of 3 - Sale ²⁵
	0.100	11.78	12/15/20	15.57	...	-3.79	3 of 3 - Sale ²⁵
12/28/20	1.000	117.77	Various	154.34	...	-36.57	Total of 3 transactions
	Security total:	4,580.50		4,900.25	219.77 W	-99.98	
MOGU INC. / CUSIP: 608012100 / Symbol:							
08/18/20	80.000	251.99	08/18/20	283.99	...	-32.00	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	99.000	469.75	01/23/20	485.87	16.12 W	0.00	1 of 2 - Sale ²⁵
	1.000	4.74	01/23/20	4.76	0.02 W	0.00	2 of 2 - Sale ²⁵
01/24/20	100.000	474.49	Various	490.63	16.14 W	0.00	Total of 2 transactions
2 transactions for 01/28/20. Total proceeds and cost reported to the IRS.							
	1.000	4.19	01/24/20	4.64	0.45 W	0.00	1 of 2 - Sale ²⁵
	99.000	414.81	01/24/20	473.00	58.19 W	0.00	2 of 2 - Sale ²⁵
01/28/20	100.000	419.00	Various	477.64	58.64 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	49.000	207.27	02/03/20	224.80	6.80 W	-10.73	1 of 2 - Sale ²⁵
	1.000	4.23	02/03/20	4.45	0.22 W	0.00	2 of 2 - Sale ²⁵
02/04/20	50.000	211.50	Various	229.25	7.02 W	-10.73	Total of 2 transactions
4 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	50.000	204.49	02/11/20	230.39	...	-25.90	1 of 4 - Sale ²⁵
	18.000	73.62	02/11/20	77.54	...	-3.92	2 of 4 - Sale ²⁵
	1.000	4.09	02/11/20	4.31	...	-0.22	3 of 4 - Sale ²⁵
	1.000	4.09	02/11/20	4.17	...	-0.08	4 of 4 - Sale ²⁵
02/12/20	70.000	286.29	Various	316.41	...	-30.12	Total of 4 transactions
05/14/20	5.000	17.20	04/14/20	15.05	...	2.15	Sale ²⁵
4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	20.000	75.66	05/20/20	70.80	...	4.86	1 of 4 - Sale ²⁵
	19.000	71.68	05/20/20	66.45	...	5.23	2 of 4 - Sale ²⁵
	30.000	113.18	05/20/20	106.20	...	6.98	3 of 4 - Sale ²⁵
	50.000	188.63	05/20/20	176.99	...	11.64	4 of 4 - Sale ²⁵
05/26/20	119.000	449.15	Various	420.44	...	28.71	Total of 4 transactions
06/02/20	1.000	4.65	05/20/20	3.50	...	1.15	Sale ²⁵
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	6.93	06/16/20	6.78	...	0.15	1 of 2 - Sale ²⁵
	9.000	62.32	06/16/20	60.75	...	1.57	2 of 2 - Sale ²⁵
06/17/20	10.000	69.25	Various	67.53	...	1.72	Total of 2 transactions
07/09/20	50.000	685.13	07/08/20	655.00	...	30.13	Sale ²⁵
07/10/20	10.000	153.40	07/09/20	145.28	...	8.12	Sale ²⁵
07/13/20	20.000	296.20	07/10/20	284.00	...	12.20	Sale ²⁵
07/17/20	50.000	529.98	07/17/20	665.26	...	-135.28	Sale ²⁵
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	100.000	1,159.96	07/14/20	1,377.75	217.79 W	0.00	1 of 2 - Sale ²⁵
	50.000	529.98	07/17/20	694.90	32.98 W	-131.94	2 of 2 - Sale ²⁵
07/17/20	150.000	1,689.94	Various	2,072.65	250.77 W	-131.94	Total of 2 transactions
08/17/20	10.000	136.11	08/10/20	173.16	37.05 W	0.00	Sale ²⁵
08/18/20	10.000	145.35	08/17/20	139.50	...	5.85	Sale ²⁵
08/18/20	10.000	145.35	08/17/20	176.55	31.20 W	0.00	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	719.98	08/25/20	689.20	...	30.78	1 of 2 - Sale ²⁵
	50.000	899.97	08/25/20	860.85	...	39.12	2 of 2 - Sale ²⁵
08/25/20	90.000	1,619.95	Various	1,550.05	...	69.90	Total of 2 transactions
08/25/20	10.000	180.00	08/25/20	203.50	23.50 W	0.00	Sale ²⁵
<i>2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	203.99	08/25/20	203.50	...	0.49	1 of 2 - Sale ²⁵
	90.000	1,835.95	08/25/20	1,620.00	...	215.95	2 of 2 - Sale ²⁵
08/26/20	100.000	2,039.94	Various	1,823.50	...	216.44	Total of 2 transactions
08/26/20	50.000	1,009.97	08/26/20	1,020.00	10.03 W	0.00	Sale ²⁵
<i>2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	95.35	08/26/20	103.30	6.36 W	-1.59	1 of 2 - Sale ²⁵
	45.000	858.12	08/26/20	929.28	71.16 W	0.00	2 of 2 - Sale ²⁵
08/27/20	50.000	953.47	Various	1,032.58	77.52 W	-1.59	Total of 2 transactions
<i>2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	857.34	08/27/20	976.56	...	-119.22	1 of 2 - Sale ²⁵
	4.000	76.21	08/27/20	85.04	...	-8.83	2 of 2 - Sale ²⁵
08/31/20	49.000	933.55	Various	1,061.60	...	-128.05	Total of 2 transactions
11/12/20	10.000	445.30	11/09/20	425.23	...	20.07	Sale ²⁵
11/18/20	4.000	185.24	11/12/20	187.67	2.43 W	0.00	Sale ²⁵
11/19/20	1.000	48.50	11/12/20	46.92	...	1.58	Sale ²⁵
11/19/20	4.000	193.98	11/12/20	195.78	1.13 W	-0.67	Sale ²⁵
<i>2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	92.30	12/02/20	92.90	...	-0.60	1 of 2 - Sale ²⁵
	0.500	23.08	12/02/20	23.26	...	-0.18	2 of 2 - Sale ²⁵
12/03/20	2.500	115.38	Various	116.16	...	-0.78	Total of 2 transactions
	Security total:	13,438.27		13,994.84	515.43 W	-41.14	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
07/02/20	5.000	285.55	07/02/20	295.15	...	-9.60	Sale ²⁵
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
06/15/20	1.000	1.19	06/10/20	1.31	...	-0.12	Sale ²⁵

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ORACLE CORP / CUSIP: 68389X105 / Symbol:							
09/15/20	1.000	59.83	09/14/20	60.78	...	-0.95	Sale ²⁵
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
07/13/20	100.000	93.99	07/10/20	129.00	...	-35.01	Sale ²⁵
PFIZER INC. / CUSIP: 717081103 / Symbol:							
07/14/20	1.000	35.02	07/13/20	35.52	...	-0.50	Sale ²⁵
12/14/20	3.000	118.21	12/09/20	128.42	...	-10.21	Sale ²⁵
12/14/20	1.000	39.40	12/02/20	40.68	1.28 W	0.00	Sale ²⁵
	Security total:	192.63		204.62	1.28 W	-10.71	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
<i>2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.55	04/27/20	21.00	...	0.55	1 of 2 - Sale ²⁵
	9.000	193.95	04/27/20	190.21	...	3.74	2 of 2 - Sale ²⁵
05/05/20	10.000	215.50	Various	211.21	...	4.29	Total of 2 transactions
<i>4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.55	04/29/20	20.80	...	-2.25	1 of 4 - Sale ²⁵
	9.000	166.95	05/11/20	169.99	...	-3.04	2 of 4 - Sale ²⁵
	3.000	55.65	05/12/20	54.30	...	1.35	3 of 4 - Sale ²⁵
	10.000	185.50	05/12/20	181.00	...	4.50	4 of 4 - Sale ²⁵
05/18/20	23.000	426.65	Various	426.09	...	0.56	Total of 4 transactions
	Security total:	642.15		637.30	...	4.85	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
11/30/20	5.000	130.61	11/24/20	142.25	9.31 W	-2.33	Sale ²⁵
12/02/20	4.000	95.08	11/25/20	113.59	...	-18.51	Sale ²⁵
	Security total:	225.69		255.84	9.31 W	-20.84	
POLYMET MINING CORP. / CUSIP: 731916409 / Symbol:							
09/04/20	100.000	635.97	09/04/20	536.31	...	99.66	Sale ²⁵

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
<i>4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	30.00	11/30/20	24.65	...	5.35	1 of 4 - Sale ²⁵
	4.000	40.00	11/30/20	32.86	...	7.14	2 of 4 - Sale ²⁵
	1.000	10.00	12/01/20	8.42	...	1.58	3 of 4 - Sale ²⁵
	7.000	70.00	12/01/20	58.96	...	11.04	4 of 4 - Sale ²⁵
12/03/20	15.000	150.00	Various	124.89	...	25.11	Total of 4 transactions
<i>4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.00	12/04/20	10.34	...	0.66	1 of 4 - Sale ²⁵
	2.000	22.00	12/04/20	21.16	...	0.84	2 of 4 - Sale ²⁵
	13.000	143.00	12/04/20	137.48	...	5.52	3 of 4 - Sale ²⁵
	9.000	99.00	12/04/20	93.05	...	5.95	4 of 4 - Sale ²⁵
12/07/20	25.000	275.00	Various	262.03	...	12.97	Total of 4 transactions
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	94.15	12/08/20	97.50	3.35 W	0.00	1 of 2 - Sale ²⁵
	15.000	141.23	12/08/20	148.05	6.82 W	0.00	2 of 2 - Sale ²⁵
12/09/20	25.000	235.38	Various	245.55	10.17 W	0.00	Total of 2 transactions
<i>6 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	30.41	12/16/20	23.62	...	6.79	1 of 6 - Sale ²⁵
	1.000	16.50	12/17/20	11.69	...	4.81	2 of 6 - Sale ²⁵
	8.000	121.65	12/17/20	92.58	...	29.07	3 of 6 - Sale ²⁵
	11.000	167.26	12/17/20	128.61	...	38.65	4 of 6 - Sale ²⁵
	3.000	49.50	12/28/20	48.76	...	0.74	5 of 6 - Sale ²⁵
	46.000	758.98	12/28/20	726.80	...	32.18	6 of 6 - Sale ²⁵
12/28/20	71.000	1,144.30	Various	1,032.06	...	112.24	Total of 6 transactions
<i>7 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	86.00	12/28/20	82.94	...	3.06	1 of 7 - Sale ²⁵
	5.000	85.00	12/28/20	81.55	...	3.45	2 of 7 - Sale ²⁵
	2.000	35.20	12/28/20	31.39	...	3.81	3 of 7 - Sale ²⁵
	3.000	52.80	12/28/20	48.83	...	3.97	4 of 7 - Sale ²⁵
	5.000	88.00	12/28/20	82.01	...	5.99	5 of 7 - Sale ²⁵
	12.000	206.40	12/28/20	195.32	...	11.08	6 of 7 - Sale ²⁵
	32.000	563.18	12/29/20	473.38	...	89.80	7 of 7 - Sale ²⁵
12/30/20	64.000	1,116.58	Various	995.42	...	121.16	Total of 7 transactions

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RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol: (cont'd)							
12/30/20	25.000	424.98	12/28/20	431.91	6.93 W	0.00	Sale ²⁵
	Security total:	3,346.24		3,091.86	17.10 W	271.48	
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
<i>2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	106.21	09/21/20	133.79	...	-27.58	1 of 2 - Sale ²⁵
	40.000	424.84	09/23/20	558.59	...	-133.75	2 of 2 - Sale ²⁵
09/25/20	50.000	531.05	Various	692.38	...	-161.33	Total of 2 transactions
12/28/20	24.000	425.87	12/17/20	494.64	...	-68.77	Sale ²⁵
	Security total:	956.92		1,187.02	...	-230.10	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
06/05/20	3.000	309.00	06/04/20	314.32	...	-5.32	Sale ²⁵
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
06/04/20	1.000	311.71	06/01/20	304.99	...	6.72	Sale ²⁵
06/09/20	1.000	319.93	06/08/20	320.81	...	-0.88	Sale ²⁵
	Security total:	631.64		625.80	...	5.84	
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
06/04/20	1.000	161.18	06/03/20	159.36	...	1.82	3- Proceeds from collectibles [X] Sale ²⁵
06/08/20	2.000	317.54	06/05/20	316.02	...	1.52	3- Proceeds from collectibles [X] Sale ²⁵
06/09/20	1.000	161.08	06/08/20	159.16	...	1.92	3- Proceeds from collectibles [X] Sale ²⁵
06/22/20	1.000	165.09	06/18/20	161.73	...	3.36	3- Proceeds from collectibles [X] Sale ²⁵
06/25/20	1.000	165.74	06/24/20	165.92	0.18 W	0.00	3- Proceeds from collectibles [X] Sale ²⁵
<i>3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	0.500	85.26	07/17/20	85.09	...	0.17	1 of 3 - Sale ²⁵
	0.500	85.25	07/17/20	85.08	...	0.17	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL (cont'd)							
	0.500	85.25	07/17/20	84.99	...	0.26	3 of 3 - Sale ²⁵
07/20/20	1.500	255.76	Various	255.16	...	0.60	3- Proceeds from collectibles [X] Total of 3 transactions
	Security total:	1,226.39		1,217.35	0.18 W	9.22	
SPDR DOW JONES INDUSTRIAL AVER AGE ETF TRUST / CUSIP: 78467X109 / Symbol:							
06/09/20	1.000	272.94	06/08/20	274.08	...	-1.14	Sale ²⁵
SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	20.000	59.23	06/18/20	54.20	...	5.03	1 of 2 - Sale ²⁵
	30.000	88.86	06/18/20	81.48	...	7.38	2 of 2 - Sale ²⁵
06/19/20	50.000	148.09	Various	135.68	...	12.41	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	31.70	06/08/20	31.17	...	0.53	1 of 3 - Sale ²⁵
	9.000	285.29	06/08/20	280.53	...	4.76	2 of 3 - Sale ²⁵
	10.000	316.99	06/08/20	308.20	...	8.79	3 of 3 - Sale ²⁵
06/09/20	20.000	633.98	Various	619.90	...	14.08	Total of 3 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	5.000	161.15	06/09/20	156.15	...	5.00	1 of 2 - Sale ²⁵
	20.000	644.58	06/09/20	624.60	...	19.98	2 of 2 - Sale ²⁵
06/10/20	25.000	805.73	Various	780.75	...	24.98	Total of 2 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	320.43	06/15/20	311.90	...	8.53	1 of 2 - Sale ²⁵
	10.000	320.43	06/15/20	308.60	...	11.83	2 of 2 - Sale ²⁵
06/16/20	20.000	640.86	Various	620.50	...	20.36	Total of 2 transactions
06/16/20	10.000	321.50	06/10/20	324.80	3.30 W	0.00	Sale ²⁵
06/22/20	1.000	33.35	06/17/20	32.46	...	0.89	Sale ²⁵
06/25/20	15.000	482.85	06/24/20	477.45	...	5.40	Sale ²⁵
07/02/20	10.000	305.02	07/01/20	308.80	...	-3.78	Sale ²⁵
07/06/20	10.000	310.00	07/01/20	308.80	...	1.20	Sale ²⁵
	Security total:	3,533.29		3,473.46	3.30 W	63.13	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SNAP INC. / CUSIP: 83304A106 / Symbol:							
07/23/20	10.000	228.72	07/22/20	228.58	...	0.14	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
06/03/20	1.000	4.10	05/18/20	9.99	...	-5.89	Sale ²⁵
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
12/08/20	10.000	256.10	12/07/20	256.97	0.52 W	-0.35	Sale ²⁵
12/09/20	1.000	27.10	12/08/20	25.68	...	1.42	Sale ²⁵
12/14/20	4.000	105.77	12/11/20	109.78	...	-4.01	Sale ²⁵
	Security total:	388.97		392.43	0.52 W	-2.94	
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
	<i>2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	80.44	07/22/20	80.64	...	-0.20	1 of 2 - Sale ²⁵
	8.000	321.76	07/23/20	338.48	...	-16.72	2 of 2 - Sale ²⁵
07/24/20	10.000	402.20	Various	419.12	...	-16.92	Total of 2 transactions
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
	<i>2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	40.36	12/14/20	39.80	...	0.56	1 of 2 - Sale ²⁵
	5.000	100.90	12/14/20	96.38	...	4.52	2 of 2 - Sale ²⁵
12/15/20	7.000	141.26	Various	136.18	...	5.08	Total of 2 transactions
	<i>3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>						
	7.000	134.05	12/14/20	143.84	...	-9.79	1 of 3 - Sale ²⁵
	13.000	248.95	12/14/20	258.67	...	-9.72	2 of 3 - Sale ²⁵
	5.000	95.75	12/14/20	102.32	...	-6.57	3 of 3 - Sale ²⁵
12/16/20	25.000	478.75	Various	504.83	...	-26.08	Total of 3 transactions
	Security total:	620.01		641.01	...	-21.00	
TENCENT HOLDINGS LIMITED UNSPO NSORED ADR REPRESENTING B SHAR ES (CAYMAN ISLANDS) / CUSIP: 88032Q109 / Symbol:							
06/02/20	1.000	56.22	05/19/20	55.84	...	0.38	Sale ²⁵

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/28/20	1.000	569.03	01/27/20	554.19	...	14.84	Sale ²⁵
01/30/20	1.000	645.76	01/30/20	633.70	...	12.06	Sale ²⁵
02/04/20	1.000	906.37	02/04/20	916.58	10.21 W	0.00	Sale ²⁵
02/05/20	1.000	759.98	02/04/20	910.21	150.23 W	0.00	Sale ²⁵
02/12/20	1.000	780.01	02/11/20	914.75	134.74 W	0.00	Sale ²⁵
02/13/20	1.000	803.42	02/13/20	939.55	136.13 W	0.00	Sale ²⁵
02/26/20	1.000	779.77	02/24/20	970.25	190.48 W	0.00	Sale ²⁵
02/27/20	1.000	694.15	02/27/20	884.16	190.01 W	0.00	Sale ²⁵
<i>2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	761.99	02/27/20	888.67	126.68 W	0.00	1 of 2 - Sale ²⁵
	1.000	729.98	03/03/20	887.89	157.91 W	0.00	2 of 2 - Sale ²⁵
03/03/20	2.000	1,491.97	Various	1,776.56	284.59 W	0.00	Total of 2 transactions
03/10/20	1.000	635.20	03/09/20	610.01	...	25.19	Sale ²⁵
03/10/20	1.000	635.19	03/04/20	906.91	271.72 W	0.00	Sale ²⁵
03/13/20	1.000	580.32	03/10/20	916.44	...	-336.12	Sale ²⁵
<i>5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	0.050	102.18	08/12/20	78.08	...	24.10	1 of 5 - Sale ²⁵
	0.200	417.36	08/12/20	312.33	...	105.03	2 of 5 - Sale ²⁵
	0.500	1,043.39	08/12/20	778.38	...	265.01	3 of 5 - Sale ²⁵
	0.010	20.44	08/18/20	19.03	...	1.41	4 of 5 - Sale ²⁵
	0.140	286.11	08/18/20	266.33	...	19.78	5 of 5 - Sale ²⁵
08/21/20	0.900	1,869.48	Various	1,454.15	...	415.33	Total of 5 transactions
08/25/20	0.800	1,604.70	08/24/20	1,655.26	36.66 W	-13.90	Sale ²⁵
08/31/20	0.500	243.11	08/26/20	220.90	...	22.21	Sale ²⁵
<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	445.35	08/26/20	441.80	...	3.55	1 of 2 - Sale ²⁵
	1.000	445.55	08/26/20	441.81	...	3.74	2 of 2 - Sale ²⁵
09/02/20	2.000	890.90	Various	883.61	...	7.29	Total of 2 transactions
09/23/20	1.000	406.56	09/14/20	393.25	...	13.31	Sale ²⁵
09/23/20	1.000	408.75	09/04/20	426.84	14.47 W	-3.62	Sale ²⁵
10/19/20	0.800	350.10	10/12/20	368.93	18.83 W	0.00	Sale ²⁵

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Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	0.020	8.43	10/26/20	8.38	...	0.05	1 of 2 - Sale ²⁵
	0.180	75.82	10/26/20	75.39	...	0.43	2 of 2 - Sale ²⁵
11/03/20	0.200	84.25	Various	83.77	...	0.48	Total of 2 transactions
11/03/20	0.800	336.98	10/26/20	353.92	16.94 W	0.00	Sale ²⁵
11/20/20	0.230	113.64	11/17/20	108.96	...	4.68	Sale ²⁵
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.020	10.89	11/17/20	9.48	...	1.41	1 of 3 - Sale ²⁵
	0.380	206.95	11/18/20	193.77	...	13.18	2 of 3 - Sale ²⁵
	0.100	54.46	11/19/20	51.99	...	2.47	3 of 3 - Sale ²⁵
11/24/20	0.500	272.30	Various	255.24	...	17.06	Total of 3 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.070	39.64	11/24/20	39.51	...	0.13	1 of 2 - Sale ²⁵
	0.130	73.62	11/24/20	70.62	...	3.00	2 of 2 - Sale ²⁵
12/02/20	0.200	113.26	Various	110.13	...	3.13	Total of 2 transactions
12/04/20	0.300	178.20	11/24/20	162.96	...	15.24	Sale ²⁵
12/07/20	1.500	939.81	11/24/20	814.82	...	124.99	Sale ²⁵
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	615.32	12/07/20	627.77	12.45 W	0.00	1 of 3 - Sale ²⁵
	0.500	307.66	12/08/20	316.44	8.78 W	0.00	2 of 3 - Sale ²⁵
	0.200	123.06	12/09/20	127.90	4.84 W	0.00	3 of 3 - Sale ²⁵
12/11/20	1.700	1,046.04	Various	1,072.11	26.07 W	0.00	Total of 3 transactions
12/14/20	0.500	318.08	12/11/20	311.60	...	6.48	Sale ²⁵
12/16/20	0.500	311.14	12/11/20	311.60	0.46 W	0.00	Sale ²⁵
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.022	15.06	12/11/20	14.21	...	0.85	1 of 5 - Sale ²⁵
	0.022	15.06	12/11/20	14.06	...	1.00	2 of 5 - Sale ²⁵
	0.178	119.53	12/11/20	112.78	...	6.75	3 of 5 - Sale ²⁵
	0.200	134.59	12/11/20	122.15	...	12.44	4 of 5 - Sale ²⁵
	0.478	321.42	12/11/20	300.10	...	21.32	5 of 5 - Sale ²⁵
12/28/20	0.900	605.66	Various	563.30	...	42.36	Total of 5 transactions
12/29/20	0.100	66.15	12/11/20	61.07	...	5.08	Sale ²⁵

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.400	264.61	12/28/20	266.58	1.97 W	0.00	1 of 3 - Sale ²⁵
	0.100	66.15	12/28/20	67.06	0.91 W	0.00	2 of 3 - Sale ²⁵
	0.300	198.47	12/28/20	200.92	2.45 W	0.00	3 of 3 - Sale ²⁵
12/29/20	0.800	529.23	Various	534.56	5.33 W	0.00	Total of 3 transactions
	Security total:	19,969.51		21,080.29	1,486.87 W	376.09	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
06/15/20	100.000	62.99	06/10/20	69.97	...	-6.98	Sale ²⁵
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
01/21/20	10.000	14.15	01/15/20	15.95	...	-1.80	Sale ²⁵
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
06/24/20	20.000	465.66	06/24/20	585.83	...	-120.17	Sale ²⁵
06/24/20	30.000	635.80	06/23/20	816.60	120.53 W	-60.27	Sale ²⁵
	Security total:	1,101.46		1,402.43	120.53 W	-180.44	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	137.50	06/25/20	63.35	...	74.15	1 of 2 - Sale ²⁵
	40.000	549.98	06/25/20	253.80	...	296.18	2 of 2 - Sale ²⁵
06/26/20	50.000	687.48	Various	317.15	...	370.33	Total of 2 transactions
10 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	20.000	128.40	06/26/20	207.80	79.40 W	0.00	1 of 10 - Sale ²⁵
	20.000	128.40	06/26/20	200.00	71.60 W	0.00	2 of 10 - Sale ²⁵
	10.000	64.20	06/26/20	91.35	27.15 W	0.00	3 of 10 - Sale ²⁵
	10.000	64.20	06/26/20	84.35	20.15 W	0.00	4 of 10 - Sale ²⁵
	7.000	44.94	06/26/20	55.68	10.74 W	0.00	5 of 10 - Sale ²⁵
	3.000	19.26	06/26/20	35.78	16.52 W	0.00	6 of 10 - Sale ²⁵
	10.000	64.20	06/29/20	120.95	56.75 W	0.00	7 of 10 - Sale ²⁵
	3.000	19.26	06/29/20	34.02	14.76 W	0.00	8 of 10 - Sale ²⁵
	7.000	44.94	06/29/20	82.11	37.17 W	0.00	9 of 10 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
06/30/20	10.000	64.20	06/29/20	110.80	46.60 W	0.00	10 of 10 - Sale ²⁵
	100.000	642.00	Various	1,022.84	380.84 W	0.00	Total of 10 transactions
7 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	10.000	84.55	06/30/20	130.20	...	-45.65	1 of 7 - Sale ²⁵
	10.000	84.55	06/30/20	110.75	...	-26.20	2 of 7 - Sale ²⁵
	7.000	59.18	06/30/20	83.58	...	-24.40	3 of 7 - Sale ²⁵
	10.000	84.55	06/30/20	103.75	...	-19.20	4 of 7 - Sale ²⁵
	3.000	25.36	06/30/20	39.84	...	-14.48	5 of 7 - Sale ²⁵
	7.000	59.18	06/30/20	69.26	...	-10.08	6 of 7 - Sale ²⁵
	33.000	279.01	06/30/20	275.88	...	3.13	7 of 7 - Sale ²⁵
07/01/20	80.000	676.38	Various	813.26	...	-136.88	Total of 7 transactions
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	7.000	59.18	06/30/20	95.69	15.65 W	-20.86	1 of 3 - Sale ²⁵
	3.000	25.36	06/30/20	41.60	16.24 W	0.00	2 of 3 - Sale ²⁵
	10.000	84.55	06/30/20	140.35	55.80 W	0.00	3 of 3 - Sale ²⁵
07/01/20	20.000	169.09	Various	277.64	87.69 W	-20.86	Total of 3 transactions
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	7.000	101.92	07/14/20	156.07	...	-54.15	1 of 4 - Sale ²⁵
	3.000	43.68	07/14/20	66.88	...	-23.20	2 of 4 - Sale ²⁵
	3.000	43.68	07/14/20	66.39	...	-22.71	3 of 4 - Sale ²⁵
	2.000	29.12	07/14/20	43.86	...	-14.74	4 of 4 - Sale ²⁵
07/15/20	15.000	218.40	Various	333.20	...	-114.80	Total of 4 transactions
07/16/20	1.000	16.33	07/15/20	20.37	...	-4.04	Sale ²⁵
5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	25.000	199.99	09/14/20	170.13	...	29.86	1 of 5 - Sale ²⁵
	50.000	399.99	09/14/20	368.48	...	31.51	2 of 5 - Sale ²⁵
	25.000	199.99	09/14/20	165.38	...	34.61	3 of 5 - Sale ²⁵
	25.000	199.99	09/14/20	162.48	...	37.51	4 of 5 - Sale ²⁵
	25.000	199.99	09/14/20	162.13	...	37.86	5 of 5 - Sale ²⁵
09/14/20	150.000	1,199.95	Various	1,028.60	...	171.35	Total of 5 transactions
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	50.000	333.04	09/14/20	397.50	...	-64.46	1 of 4 - Sale ²⁵
	22.000	146.54	09/14/20	203.04	...	-56.50	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	14.000	93.25	09/14/20	129.20	...	-35.95	3 of 4 - Sale ²⁵
	14.000	93.25	09/14/20	111.58	...	-18.33	4 of 4 - Sale ²⁵
09/15/20	100.000	666.08	Various	841.32	...	-175.24	Total of 4 transactions
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	36.000	240.88	09/14/20	286.20	27.70 W	-17.62	1 of 2 - Sale ²⁵
	14.000	93.68	09/14/20	111.30	17.62 W	0.00	2 of 2 - Sale ²⁵
09/15/20	50.000	334.56	Various	397.50	45.32 W	-17.62	Total of 2 transactions
	Security total:	4,610.27		5,051.88	513.85 W	72.24	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	1.000	31.16	12/04/20	28.80	...	2.36	1 of 2 - Sale ²⁵
	4.000	124.62	12/04/20	115.20	...	9.42	2 of 2 - Sale ²⁵
12/07/20	5.000	155.78	Various	144.00	...	11.78	Total of 2 transactions
12/09/20	1.000	34.58	12/08/20	32.44	...	2.14	Sale ²⁵
	Security total:	190.36		176.44	...	13.92	
VISA INC. / CUSIP: 92826C839 / Symbol:							
02/03/20	2.000	402.45	01/30/20	407.99	...	-5.54	Sale ²⁵
VIVINT SMART HOME, INC. CLASS A COMMON STOCK / CUSIP: 928542109 / Symbol:							
06/22/20	10.000	178.60	06/19/20	175.30	...	3.30	Sale ²⁵
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
07/24/20	10.000	220.70	07/23/20	233.80	...	-13.10	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
	3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	18.11	06/29/20	12.94	...	5.17	1 of 3 - Sale ²⁵
	4.000	72.44	06/29/20	56.00	...	16.44	2 of 3 - Sale ²⁵
	5.000	90.55	06/29/20	64.94	...	25.61	3 of 3 - Sale ²⁵
06/30/20	10.000	181.10	Various	133.88	...	47.22	Total of 3 transactions
07/01/20	7.000	104.51	06/30/20	120.96	16.45 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	7.000	110.39	07/02/20	158.83	48.44 W	0.00	1 of 3 - Sale ²⁵
	3.000	47.31	07/02/20	61.02	13.71 W	0.00	2 of 3 - Sale ²⁵
	20.000	315.40	07/02/20	403.00	87.60 W	0.00	3 of 3 - Sale ²⁵
07/07/20	30.000	473.10	Various	622.85	149.75 W	0.00	Total of 3 transactions
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	7.000	119.83	07/07/20	158.69	38.86 W	0.00	1 of 3 - Sale ²⁵
	3.000	51.36	07/07/20	60.96	9.60 W	0.00	2 of 3 - Sale ²⁵
	20.000	342.38	07/07/20	402.60	60.22 W	0.00	3 of 3 - Sale ²⁵
07/08/20	30.000	513.57	Various	622.25	108.68 W	0.00	Total of 3 transactions
07/10/20	10.000	154.20	07/09/20	191.89	...	-37.69	Sale ²⁵
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	7.000	107.94	07/09/20	152.11	44.17 W	0.00	1 of 2 - Sale ²⁵
	3.000	46.26	07/09/20	58.14	11.88 W	0.00	2 of 2 - Sale ²⁵
07/10/20	10.000	154.20	Various	210.25	56.05 W	0.00	Total of 2 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	7.000	111.09	07/10/20	155.75	...	-44.66	1 of 3 - Sale ²⁵
	10.000	158.70	07/10/20	189.51	...	-30.81	2 of 3 - Sale ²⁵
	3.000	47.61	07/10/20	59.70	...	-12.09	3 of 3 - Sale ²⁵
07/13/20	20.000	317.40	Various	404.96	...	-87.56	Total of 3 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	4.000	120.80	09/15/20	102.62	...	18.18	1 of 2 - Sale ²⁵
	4.000	120.80	09/17/20	107.36	...	13.44	2 of 2 - Sale ²⁵
09/18/20	8.000	241.60	Various	209.98	...	31.62	Total of 2 transactions
09/23/20	4.000	99.60	09/21/20	118.44	...	-18.84	Sale ²⁵
	Security total:	2,239.28		2,635.46	330.93 W	-65.25	
YALLA GROUP LIMITED AMERICAN D EPOSITARY SHARES, EACH REPRES NTING ONE CLASS A ORDINARY SHA / CUSIP: 98459U103 / Symbol:							
12/01/20	5.000	70.37	11/30/20	76.86	...	-6.49	Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
YUNJI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 98873N107 / Symbol:							
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	118.000	521.84	11/24/20	627.29	...	-105.45	1 of 4 - Sale ²⁵
	32.000	141.52	11/24/20	170.24	...	-28.72	2 of 4 - Sale ²⁵
	50.000	221.12	11/24/20	225.50	...	-4.38	3 of 4 - Sale ²⁵
	50.000	275.50	11/24/20	225.50	...	50.00	4 of 4 - Sale ²⁵
11/24/20	250.000	1,159.98	Various	1,248.53	...	-88.55	Total of 4 transactions
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
07/06/20	1.000	264.03	07/06/20	266.00	...	-1.97	Sale ²⁵
07/07/20	1.000	262.21	07/06/20	266.00	...	-3.79	Sale ²⁵
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	262.29	07/06/20	266.00	...	-3.71	1 of 2 - Sale ²⁵
	1.000	263.98	07/06/20	266.00	...	-2.02	2 of 2 - Sale ²⁵
07/08/20	2.000	526.27	Various	532.00	...	-5.73	Total of 2 transactions
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	0.990	421.25	09/01/20	462.22	...	-40.97	1 of 3 - Sale ²⁵
	1.000	425.50	09/01/20	454.44	...	-28.94	2 of 3 - Sale ²⁵
	0.010	4.26	09/01/20	4.64	...	-0.38	3 of 3 - Sale ²⁵
09/02/20	2.000	851.01	Various	921.30	...	-70.29	Total of 3 transactions
	Security total:	1,903.52		1,985.30	...	-81.78	
KAIXIN AUTO HOLDINGS ORDINARY SHARE / CUSIP: G5223X100 / Symbol:							
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	30.000	235.81	10/19/20	483.93	...	-248.12	1 of 3 - Sale ²⁵
	50.000	599.98	10/19/20	546.50	...	53.48	2 of 3 - Sale ²⁵
	50.000	599.98	10/19/20	421.01	...	178.97	3 of 3 - Sale ²⁵
10/19/20	130.000	1,435.77	Various	1,451.44	...	-15.67	Total of 3 transactions
10/19/20	100.000	785.99	10/19/20	1,193.57	122.27 W	-285.31	Sale ²⁵
	Security total:	2,221.76		2,645.01	122.27 W	-300.98	
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
06/09/20	500.000	393.04	06/08/20	394.20	...	-1.16	Sale ²⁵

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OSMOTICA PHARMACEUTICALS PLC O RDINARY SHARES / CUSIP: G6S41R101 / Symbol:							
07/13/20	100.000	821.97	07/10/20	846.85	...	-24.88	Sale ²⁵
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
<i>3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	70.00	07/30/20	64.73	...	5.27	1 of 3 - Sale ²⁵
	50.000	699.97	07/30/20	674.00	...	25.97	2 of 3 - Sale ²⁵
	50.000	549.98	07/30/20	425.25	...	124.73	3 of 3 - Sale ²⁵
07/30/20	105.000	1,319.95	Various	1,163.98	...	155.97	Total of 3 transactions
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
<i>2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.53	02/28/20	3.74	1.21 W	0.00	1 of 2 - Sale ²⁵
	1.000	2.54	03/05/20	3.35	0.81 W	0.00	2 of 2 - Sale ²⁵
03/10/20	2.000	5.07	Various	7.09	2.02 W	0.00	Total of 2 transactions
<i>4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	5.35	03/05/20	33.30	...	-27.95	1 of 4 - Sale ²⁵
	3.000	1.60	03/05/20	10.05	...	-8.45	2 of 4 - Sale ²⁵
	1.000	0.54	03/05/20	4.56	...	-4.02	3 of 4 - Sale ²⁵
	1.000	0.54	03/05/20	4.14	...	-3.60	4 of 4 - Sale ²⁵
05/14/20	15.000	8.03	Various	52.05	...	-44.02	Total of 4 transactions
05/18/20	1.000	0.41	03/05/20	3.33	...	-2.92	Sale ²⁵
	Security total:	13.51		62.47	2.02 W	-46.94	
Totals :		131,087.55		141,242.65	9,534.41 W	-620.69	

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Robinhood Securities LLC

Account 760881029

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

UNDETERMINED TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked or Part II with Box E checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
06/10/20	0.000	0.05	N/A	Principal payment ¹⁶ Cost Basis Factor: 0.000328278
Totals :		0.05		

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Robinhood Securities LLC

Account 760881029

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DXC TECHNOLOGY COMPANY	23355L106		04/14/20	0.21	Qualified dividend	
MARATHON OIL CORPORATION	565849106		03/10/20	0.25	Qualified dividend	
				0.46	Total Dividends & distributions	

Robinhood Securities LLC

Account 760881029

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/09/20	-5.00	Margin interest paid	
		05/11/20	-5.00	Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
			-15.00	Total Margin interest paid	
SPDR GOLD TRUST, SPDR GOLD SHA RES	78463V107 Note: CL	06/10/20	-0.05	Gross proceeds investment expense	15
			-15.00	Total Margin interest paid	
			-0.05	Total Gross proceeds investmnt exp	

- 15 Income or expense adjustment. This security is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s).
- 16 This transaction represents the sale of assets from a Widely Held Fixed Investment Trust (WHFIT). The cost basis allocation factor is the value of the assets sold divided by the total net asset value of the trust. If you know your cost of the assets sold, use that to determine your gain/loss. Otherwise, determine your cost basis by multiplying your adjusted cost basis by the cost basis allocation factor. For example, if your adjusted basis is \$1,000 and the cost basis allocation factor is 0.005 your cost basis allocated to that sale is $\$1,000 * 0.005$ or \$5. If there are subsequent sales of trust assets, your adjusted cost basis for the next sale is \$995. Sales are reported based on when and for how much the trust sold the asset. This may differ both in timing and amount from what is distributed. There are cases where the proceeds are used to pay expenses and there is no corresponding distribution. For more information refer to regulations section 1.671-5.
- 25 Tax lot closed on a first in first out basis.
- CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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