

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 801799297	Statement Date: 03/22/2021 AMENDED Document ID: S51Q 43G 3CCB	2020
	Pradeep Sadineni 710 Bear Claw Way Apt 202 Madison, WI 53717 RECIPIENT'S TIN: XXX-XX-9449		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	51.51		2- Royalties	0.00	
1b- Qualified dividends	20.45		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	68.74		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	31.06		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,950,057.92	2,094,171.18	0.00	152,658.68	8,545.42 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.79	3.47	0.00	0.00	-0.68
	Total Short-term	1,950,060.71	2,094,174.65	0.00	152,658.68	8,544.74
Long	D (basis reported to the IRS)	0.21	2.75	0.00	0.00	-2.54
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.21	2.75	0.00	0.00	-2.54
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,950,060.92	2,094,177.40	0.00	152,658.68	8,542.20

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.27
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	82.36
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 11/27/2020 CALL \$14.50 / CUSIP: / Symbol:							
11/27/20	2.000	0.00	11/09/20	266.00	...	-266.00	Option expiration- long call
ADBE 10/30/2020 CALL \$620.00 / CUSIP: / Symbol:							
10/30/20	6.000	0.00	10/21/20	90.00	...	-90.00	Option expiration- long call
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
5 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	35.000	1,847.95	05/27/20	1,764.00	...	83.95	1 of 5 - Sale ²⁵
	65.000	3,431.91	05/27/20	3,276.00	...	155.91	2 of 5 - Sale ²⁵
	100.000	5,279.87	05/27/20	5,040.00	...	239.87	3 of 5 - Sale ²⁵
	100.000	5,279.87	05/27/20	5,040.00	...	239.87	4 of 5 - Sale ²⁵
	200.000	10,559.74	05/27/20	10,080.00	...	479.74	5 of 5 - Sale ²⁵
05/27/20	500.000	26,399.34	Various	25,200.00	...	1,199.34	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AERPIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00810B105 / Symbol:							
<i>16 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	2,435.000	2,726.85	05/28/20	2,775.90	...	-49.05	1 of 16 - Sale ²⁵
	269.000	301.24	05/28/20	312.08	...	-10.84	2 of 16 - Sale ²⁵
	30.000	33.60	05/28/20	34.20	...	-0.60	3 of 16 - Sale ²⁵
	2.000	2.42	05/28/20	2.40	...	0.02	4 of 16 - Sale ²⁵
	25.000	30.25	05/28/20	29.76	...	0.49	5 of 16 - Sale ²⁵
	100.000	120.98	05/28/20	120.01	...	0.97	6 of 16 - Sale ²⁵
	182.000	220.20	05/28/20	218.43	...	1.77	7 of 16 - Sale ²⁵
	198.000	239.55	05/28/20	237.63	...	1.92	8 of 16 - Sale ²⁵
	118.000	142.76	05/28/20	140.48	...	2.28	9 of 16 - Sale ²⁵
	100.000	120.99	05/28/20	117.00	...	3.99	10 of 16 - Sale ²⁵
	209.000	252.86	05/28/20	248.80	...	4.06	11 of 16 - Sale ²⁵
	257.000	310.93	05/28/20	305.94	...	4.99	12 of 16 - Sale ²⁵
	700.000	846.90	05/28/20	840.10	...	6.80	13 of 16 - Sale ²⁵
	392.000	474.26	05/28/20	458.64	...	15.62	14 of 16 - Sale ²⁵
	809.000	978.77	05/28/20	946.53	...	32.24	15 of 16 - Sale ²⁵
	1,300.000	1,572.81	05/28/20	1,521.00	...	51.81	16 of 16 - Sale ²⁵
05/28/20	7,126.000	8,375.37	Various	8,308.90	...	66.47	Total of 16 transactions
<i>8 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	807.000	847.23	05/28/20	871.56	24.33 W	0.00	1 of 8 - Sale ²⁵
	984.000	1,033.06	05/28/20	1,062.72	29.66 W	0.00	2 of 8 - Sale ²⁵
	400.000	419.94	05/28/20	444.06	24.12 W	0.00	3 of 8 - Sale ²⁵
	209.000	219.42	05/28/20	232.02	12.60 W	0.00	4 of 8 - Sale ²⁵
	118.000	142.76	05/28/20	145.18	2.42 W	0.00	5 of 8 - Sale ²⁵
	209.000	252.86	05/28/20	257.13	4.27 W	0.00	6 of 8 - Sale ²⁵
	282.000	341.18	05/28/20	346.94	5.76 W	0.00	7 of 8 - Sale ²⁵
	269.000	301.24	05/28/20	306.66	5.42 W	0.00	8 of 8 - Sale ²⁵
05/28/20	3,278.000	3,557.69	Various	3,666.27	108.58 W	0.00	Total of 8 transactions
	Security total:	11,933.06		11,975.17	108.58 W	66.47	

ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:

9 transactions for 05/26/20. Total proceeds and cost reported to the IRS.

	1.000	205.27	05/22/20	201.50	...	3.77	1 of 9 - Sale ²⁵
	1.000	205.27	05/22/20	201.50	...	3.77	2 of 9 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
	2.000	410.54	05/22/20	403.00	...	7.54	3 of 9 - Sale ²⁵
	2.000	410.54	05/22/20	403.00	...	7.54	4 of 9 - Sale ²⁵
	5.000	1,026.33	05/22/20	1,007.50	...	18.83	5 of 9 - Sale ²⁵
	17.000	3,489.51	05/22/20	3,425.50	...	64.01	6 of 9 - Sale ²⁵
	18.000	3,694.77	05/22/20	3,627.00	...	67.77	7 of 9 - Sale ²⁵
	24.000	4,926.37	05/22/20	4,836.00	...	90.37	8 of 9 - Sale ²⁵
	35.000	7,184.29	05/22/20	7,052.50	...	131.79	9 of 9 - Sale ²⁵
05/26/20	105.000	21,552.89	Various	21,157.50	...	395.39	Total of 9 transactions
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	20.000	103.60	03/31/20	80.40	...	23.20	1 of 2 - Sale ²⁵
	980.000	5,076.16	03/31/20	3,939.60	...	1,136.56	2 of 2 - Sale ²⁵
04/02/20	1,000.000	5,179.76	Various	4,020.00	...	1,159.76	Total of 2 transactions
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	78.000	374.39	04/02/20	407.16	32.77 W	0.00	1 of 2 - Sale ²⁵
	122.000	585.57	04/02/20	636.84	51.27 W	0.00	2 of 2 - Sale ²⁵
04/06/20	200.000	959.96	Various	1,044.00	84.04 W	0.00	Total of 2 transactions
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	138.000	729.99	04/02/20	720.36	...	9.63	1 of 2 - Sale ²⁵
	1,459.000	7,717.91	04/02/20	7,615.98	...	101.93	2 of 2 - Sale ²⁵
04/07/20	1,597.000	8,447.90	Various	8,336.34	...	111.56	Total of 2 transactions
5 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	75.000	396.73	04/02/20	423.01	26.28 W	0.00	1 of 5 - Sale ²⁵
	114.000	603.03	04/02/20	642.99	39.96 W	0.00	2 of 5 - Sale ²⁵
	3.000	15.87	04/02/20	16.92	1.05 W	0.00	3 of 5 - Sale ²⁵
	3.000	15.87	04/02/20	16.71	0.84 W	0.00	4 of 5 - Sale ²⁵
	8.000	42.32	04/02/20	45.12	2.80 W	0.00	5 of 5 - Sale ²⁵
04/07/20	203.000	1,073.82	Various	1,144.75	70.93 W	0.00	Total of 5 transactions
10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	3.000	21.90	04/09/20	18.99	...	2.91	1 of 10 - Sale ²⁵
	8.000	58.40	04/09/20	51.20	...	7.20	2 of 10 - Sale ²⁵
	16.000	116.80	04/09/20	102.41	...	14.39	3 of 10 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol: (cont'd)							
	16.000	116.80	04/09/20	96.80	...	20.00	4 of 10 - Sale ²⁵
	25.000	182.49	04/09/20	160.01	...	22.48	5 of 10 - Sale ²⁵
	73.000	532.88	04/09/20	467.24	...	65.64	6 of 10 - Sale ²⁵
	75.000	547.48	04/09/20	480.03	...	67.45	7 of 10 - Sale ²⁵
	64.000	467.18	04/09/20	387.20	...	79.98	8 of 10 - Sale ²⁵
	200.000	1,459.94	04/09/20	1,210.00	...	249.94	9 of 10 - Sale ²⁵
	310.000	2,262.91	04/09/20	1,922.00	...	340.91	10 of 10 - Sale ²⁵
05/21/20	790.000	5,766.78	Various	4,895.88	...	870.90	Total of 10 transactions
	Security total:	21,428.22		19,440.97	154.97 W	2,142.22	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	0.000	0.13	11/19/20	0.13	...	0.00	1 of 2 - Sale ²⁵
	1.612	5,148.09	11/19/20	5,020.87	...	127.22	2 of 2 - Sale ²⁵
11/27/20	1.612	5,148.22	Various	5,021.00	...	127.22	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	50.000	739.98	03/25/20	842.00	...	-102.02	1 of 2 - Sale ²⁵
	50.000	739.98	03/25/20	842.00	...	-102.02	2 of 2 - Sale ²⁵
03/27/20	100.000	1,479.96	Various	1,684.00	...	-204.04	Total of 2 transactions
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	255.000	5,507.85	06/05/20	5,495.25	...	12.60	1 of 2 - Sale ²⁵
	750.000	16,199.55	06/05/20	16,162.50	...	37.05	2 of 2 - Sale ²⁵
06/05/20	1,005.000	21,707.40	Various	21,657.75	...	49.65	Total of 2 transactions
	Security total:	23,187.36		23,341.75	...	-154.39	
APACHE CORPORATION COMMON STOC K / CUSIP: 037411105 / Symbol:							
7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	7.000	85.05	04/08/20	56.84	...	28.21	1 of 7 - Sale ²⁵
	10.000	121.50	04/08/20	81.20	...	40.30	2 of 7 - Sale ²⁵
	90.000	1,093.46	04/08/20	730.80	...	362.66	3 of 7 - Sale ²⁵
	93.000	1,129.91	04/08/20	755.16	...	374.75	4 of 7 - Sale ²⁵
	100.000	1,214.96	04/08/20	812.00	...	402.96	5 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APACHE CORPORATION COMMON STOC K / CUSIP: 037411105 / Symbol: (cont'd)							
	100.000	1,214.96	04/08/20	812.00	...	402.96	6 of 7 - Sale ²⁵
	100.000	1,214.96	04/08/20	812.00	...	402.96	7 of 7 - Sale ²⁵
05/21/20	500.000	6,074.80	Various	4,060.00	...	2,014.80	Total of 7 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	338.000	2,372.66	11/10/20	2,838.83	...	-466.17	1 of 2 - Sale ²⁵
	19.000	133.38	11/10/20	159.58	...	-26.20	2 of 2 - Sale ²⁵
11/18/20	357.000	2,506.04	Various	2,998.41	...	-492.37	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
06/08/20	50.000	11,365.76	06/08/20	11,789.31	...	-423.55	Sale ²⁵
06/08/20	100.000	22,731.29	06/08/20	23,154.90	211.81 W	-211.80	Sale ²⁵
	Security total:	34,097.05		34,944.21	211.81 W	-635.35	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
03/26/20	100.000	1,769.95	03/25/20	1,534.00	...	235.95	Sale ²⁵
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
183 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	161.000	515.17	06/04/20	720.06	...	-204.89	1 of 183 - Sale ²⁵
	89.000	284.78	06/04/20	461.20	...	-176.42	2 of 183 - Sale ²⁵
	89.000	284.78	06/04/20	461.20	...	-176.42	3 of 183 - Sale ²⁵
	106.000	339.18	06/04/20	476.17	...	-136.99	4 of 183 - Sale ²⁵
	67.000	214.39	06/04/20	347.20	...	-132.81	5 of 183 - Sale ²⁵
	72.000	230.38	06/04/20	363.03	...	-132.65	6 of 183 - Sale ²⁵
	92.000	294.38	06/04/20	423.41	...	-129.03	7 of 183 - Sale ²⁵
	89.000	284.78	06/04/20	409.61	...	-124.83	8 of 183 - Sale ²⁵
	92.000	294.37	06/04/20	416.97	...	-122.60	9 of 183 - Sale ²⁵
	92.000	294.38	06/04/20	410.52	...	-116.14	10 of 183 - Sale ²⁵
	89.000	284.78	06/04/20	400.68	...	-115.90	11 of 183 - Sale ²⁵
	96.000	307.18	06/04/20	414.96	...	-107.78	12 of 183 - Sale ²⁵
	80.000	255.98	06/04/20	362.61	...	-106.63	13 of 183 - Sale ²⁵
	93.000	297.58	06/04/20	402.00	...	-104.42	14 of 183 - Sale ²⁵
	89.000	284.78	06/04/20	384.68	...	-99.90	15 of 183 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	73.000	233.58	06/04/20	327.22	...	-93.64	16 of 183 - Sale ²⁵
	50.000	159.98	06/04/20	252.60	...	-92.62	17 of 183 - Sale ²⁵
	53.000	169.59	06/04/20	243.93	...	-74.34	18 of 183 - Sale ²⁵
	53.000	169.59	06/04/20	243.91	...	-74.32	19 of 183 - Sale ²⁵
	64.000	204.78	06/04/20	276.59	...	-71.81	20 of 183 - Sale ²⁵
	50.000	159.99	06/04/20	231.61	...	-71.62	21 of 183 - Sale ²⁵
	62.000	198.39	06/04/20	267.97	...	-69.58	22 of 183 - Sale ²⁵
	60.000	191.99	06/04/20	259.34	...	-67.35	23 of 183 - Sale ²⁵
	51.000	163.19	06/04/20	228.09	...	-64.90	24 of 183 - Sale ²⁵
	50.000	159.99	06/04/20	224.61	...	-64.62	25 of 183 - Sale ²⁵
	33.000	105.59	06/04/20	166.75	...	-61.16	26 of 183 - Sale ²⁵
	45.000	143.99	06/04/20	203.95	...	-59.96	27 of 183 - Sale ²⁵
	39.000	124.79	06/04/20	182.23	...	-57.44	28 of 183 - Sale ²⁵
	39.000	124.79	06/04/20	179.50	...	-54.71	29 of 183 - Sale ²⁵
	39.000	124.79	06/04/20	179.50	...	-54.71	30 of 183 - Sale ²⁵
	41.000	131.19	06/04/20	185.82	...	-54.63	31 of 183 - Sale ²⁵
	35.000	111.99	06/04/20	163.18	...	-51.19	32 of 183 - Sale ²⁵
	40.000	127.99	06/04/20	178.50	...	-50.51	33 of 183 - Sale ²⁵
	37.000	118.39	06/04/20	165.47	...	-47.08	34 of 183 - Sale ²⁵
	35.000	111.99	06/04/20	158.63	...	-46.64	35 of 183 - Sale ²⁵
	29.000	92.79	06/04/20	131.46	...	-38.67	36 of 183 - Sale ²⁵
	17.000	54.39	06/04/20	85.69	...	-31.30	37 of 183 - Sale ²⁵
	21.000	67.20	06/04/20	97.27	...	-30.07	38 of 183 - Sale ²⁵
	20.000	64.00	06/04/20	92.85	...	-28.85	39 of 183 - Sale ²⁵
	25.000	79.99	06/04/20	108.08	...	-28.09	40 of 183 - Sale ²⁵
	21.000	67.20	06/04/20	94.33	...	-27.13	41 of 183 - Sale ²⁵
	21.000	67.20	06/04/20	94.12	...	-26.92	42 of 183 - Sale ²⁵
	21.000	67.20	06/04/20	93.91	...	-26.71	43 of 183 - Sale ²⁵
	21.000	67.20	06/04/20	93.90	...	-26.70	44 of 183 - Sale ²⁵
	20.000	64.00	06/04/20	89.22	...	-25.22	45 of 183 - Sale ²⁵
	11.000	35.20	06/04/20	57.00	...	-21.80	46 of 183 - Sale ²⁵
	11.000	35.20	06/04/20	56.99	...	-21.79	47 of 183 - Sale ²⁵
	11.000	35.20	06/04/20	56.99	...	-21.79	48 of 183 - Sale ²⁵
	11.000	35.20	06/04/20	55.58	...	-20.38	49 of 183 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/22/2021 AMENDED

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
15.000		48.00	06/04/20	67.09	...	-19.09	50 of 183 - Sale ²⁵
17.000		54.40	06/04/20	73.46	...	-19.06	51 of 183 - Sale ²⁵
13.000		41.60	06/04/20	60.34	...	-18.74	52 of 183 - Sale ²⁵
14.000		44.80	06/04/20	63.44	...	-18.64	53 of 183 - Sale ²⁵
13.000		41.60	06/04/20	58.01	...	-16.41	54 of 183 - Sale ²⁵
11.000		35.20	06/04/20	51.39	...	-16.19	55 of 183 - Sale ²⁵
12.000		38.40	06/04/20	54.38	...	-15.98	56 of 183 - Sale ²⁵
12.000		38.40	06/04/20	51.86	...	-13.46	57 of 183 - Sale ²⁵
10.000		32.00	06/04/20	45.32	...	-13.32	58 of 183 - Sale ²⁵
7.000		22.39	06/04/20	35.37	...	-12.98	59 of 183 - Sale ²⁵
10.000		32.00	06/04/20	44.61	...	-12.61	60 of 183 - Sale ²⁵
11.000		35.20	06/04/20	47.54	...	-12.34	61 of 183 - Sale ²⁵
11.000		35.20	06/04/20	47.54	...	-12.34	62 of 183 - Sale ²⁵
11.000		35.20	06/04/20	47.54	...	-12.34	63 of 183 - Sale ²⁵
9.000		28.80	06/04/20	40.78	...	-11.98	64 of 183 - Sale ²⁵
10.000		32.00	06/04/20	43.21	...	-11.21	65 of 183 - Sale ²⁵
8.000		25.60	06/04/20	36.81	...	-11.21	66 of 183 - Sale ²⁵
8.000		25.60	06/04/20	36.81	...	-11.21	67 of 183 - Sale ²⁵
8.000		25.60	06/04/20	36.81	...	-11.21	68 of 183 - Sale ²⁵
8.000		25.60	06/04/20	35.86	...	-10.26	69 of 183 - Sale ²⁵
8.000		25.60	06/04/20	35.77	...	-10.17	70 of 183 - Sale ²⁵
5.000		16.00	06/04/20	25.91	...	-9.91	71 of 183 - Sale ²⁵
5.000		16.00	06/04/20	25.25	...	-9.25	72 of 183 - Sale ²⁵
8.000		25.60	06/04/20	34.57	...	-8.97	73 of 183 - Sale ²⁵
8.000		25.60	06/04/20	34.57	...	-8.97	74 of 183 - Sale ²⁵
6.000		19.20	06/04/20	27.85	...	-8.65	75 of 183 - Sale ²⁵
6.000		19.20	06/04/20	27.78	...	-8.58	76 of 183 - Sale ²⁵
4.000		12.80	06/04/20	21.01	...	-8.21	77 of 183 - Sale ²⁵
6.000		19.20	06/04/20	27.19	...	-7.99	78 of 183 - Sale ²⁵
7.000		22.40	06/04/20	30.25	...	-7.85	79 of 183 - Sale ²⁵
4.000		12.80	06/04/20	20.21	...	-7.41	80 of 183 - Sale ²⁵
4.000		12.80	06/04/20	20.20	...	-7.40	81 of 183 - Sale ²⁵
5.000		16.00	06/04/20	23.00	...	-7.00	82 of 183 - Sale ²⁵
6.000		19.20	06/04/20	25.93	...	-6.73	83 of 183 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	5.000	16.00	06/04/20	22.66	...	-6.66	84 of 183 - Sale ²⁵
	5.000	16.00	06/04/20	22.51	...	-6.51	85 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	15.54	...	-5.94	86 of 183 - Sale ²⁵
	4.000	12.80	06/04/20	18.53	...	-5.73	87 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	15.12	...	-5.52	88 of 183 - Sale ²⁵
	4.000	12.80	06/04/20	17.85	...	-5.05	89 of 183 - Sale ²⁵
	4.000	12.80	06/04/20	17.85	...	-5.05	90 of 183 - Sale ²⁵
	4.000	12.80	06/04/20	17.29	...	-4.49	91 of 183 - Sale ²⁵
	4.000	12.80	06/04/20	17.29	...	-4.49	92 of 183 - Sale ²⁵
	4.000	12.80	06/04/20	17.29	...	-4.49	93 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.92	...	-4.32	94 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.86	...	-4.26	95 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.60	...	-4.00	96 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.59	...	-3.99	97 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.59	...	-3.99	98 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.59	...	-3.99	99 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.50	...	-3.90	100 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.44	...	-3.84	101 of 183 - Sale ²⁵
	3.000	9.60	06/04/20	13.42	...	-3.82	102 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	10.10	...	-3.70	103 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	10.08	...	-3.68	104 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.26	...	-2.86	105 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.24	...	-2.84	106 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.20	...	-2.80	107 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.06	...	-2.66	108 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.06	...	-2.66	109 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.06	...	-2.66	110 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	9.00	...	-2.60	111 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	8.92	...	-2.52	112 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	8.92	...	-2.52	113 of 183 - Sale ²⁵
	1.000	3.20	06/04/20	5.04	...	-1.84	114 of 183 - Sale ²⁵
	2.000	6.40	06/04/20	7.94	...	-1.54	115 of 183 - Sale ²⁵
	1.000	3.20	06/04/20	4.64	...	-1.44	116 of 183 - Sale ²⁵
	1.000	3.20	06/04/20	4.62	...	-1.42	117 of 183 - Sale ²⁵

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(continued)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	1.000	3.20	06/04/20	4.60	...	-1.40	118 of 183 - Sale ²⁵
	1.000	3.20	06/04/20	4.53	...	-1.33	119 of 183 - Sale ²⁵
	1.000	3.20	06/04/20	4.46	...	-1.26	120 of 183 - Sale ²⁵
	1.000	3.20	06/04/20	4.46	...	-1.26	121 of 183 - Sale ²⁵
	13.000	64.35	06/04/20	64.35	...	0.00	122 of 183 - Sale ²⁵
	1.000	4.24	06/04/20	3.57	...	0.67	123 of 183 - Sale ²⁵
	3.000	10.86	06/04/20	10.17	...	0.69	124 of 183 - Sale ²⁵
	8.000	28.96	06/04/20	27.12	...	1.84	125 of 183 - Sale ²⁵
	8.000	28.96	06/04/20	27.12	...	1.84	126 of 183 - Sale ²⁵
	13.000	66.04	06/04/20	62.40	...	3.64	127 of 183 - Sale ²⁵
	21.000	106.67	06/04/20	100.81	...	5.86	128 of 183 - Sale ²⁵
	21.000	107.30	06/04/20	100.80	...	6.50	129 of 183 - Sale ²⁵
	15.000	73.35	06/04/20	61.35	...	12.00	130 of 183 - Sale ²⁵
	20.000	84.80	06/04/20	71.40	...	13.40	131 of 183 - Sale ²⁵
	20.000	86.00	06/04/20	71.40	...	14.60	132 of 183 - Sale ²⁵
	50.000	254.99	06/04/20	240.01	...	14.98	133 of 183 - Sale ²⁵
	120.000	413.98	06/04/20	394.82	...	19.16	134 of 183 - Sale ²⁵
	71.000	360.66	06/04/20	340.82	...	19.84	135 of 183 - Sale ²⁵
	30.000	127.20	06/04/20	107.10	...	20.10	136 of 183 - Sale ²⁵
	92.000	333.02	06/04/20	311.91	...	21.11	137 of 183 - Sale ²⁵
	92.000	333.02	06/04/20	311.90	...	21.12	138 of 183 - Sale ²⁵
	94.000	340.26	06/04/20	318.68	...	21.58	139 of 183 - Sale ²⁵
	100.000	361.98	06/04/20	339.02	...	22.96	140 of 183 - Sale ²⁵
	79.000	403.67	06/04/20	379.22	...	24.45	141 of 183 - Sale ²⁵
	90.000	457.17	06/04/20	432.02	...	25.15	142 of 183 - Sale ²⁵
	87.000	443.68	06/04/20	417.62	...	26.06	143 of 183 - Sale ²⁵
	100.000	507.98	06/04/20	480.02	...	27.96	144 of 183 - Sale ²⁵
	100.000	507.98	06/04/20	480.02	...	27.96	145 of 183 - Sale ²⁵
	186.000	641.66	06/04/20	611.98	...	29.68	146 of 183 - Sale ²⁵
	100.000	509.98	06/04/20	480.02	...	29.96	147 of 183 - Sale ²⁵
	100.000	509.98	06/04/20	480.02	...	29.96	148 of 183 - Sale ²⁵
	100.000	509.98	06/04/20	480.02	...	29.96	149 of 183 - Sale ²⁵
	100.000	509.98	06/04/20	480.02	...	29.96	150 of 183 - Sale ²⁵
	129.000	655.29	06/04/20	619.23	...	36.06	151 of 183 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	129.000	657.87	06/04/20	619.23	...	38.64	152 of 183 - Sale ²⁵
	80.000	339.19	06/04/20	285.60	...	53.59	153 of 183 - Sale ²⁵
	200.000	1,019.95	06/04/20	960.05	...	59.90	154 of 183 - Sale ²⁵
	200.000	1,019.95	06/04/20	960.05	...	59.90	155 of 183 - Sale ²⁵
	200.000	1,019.95	06/04/20	960.05	...	59.90	156 of 183 - Sale ²⁵
	200.000	1,019.95	06/04/20	960.05	...	59.90	157 of 183 - Sale ²⁵
	85.000	416.48	06/04/20	347.63	...	68.85	158 of 183 - Sale ²⁵
	100.000	425.99	06/04/20	357.00	...	68.99	159 of 183 - Sale ²⁵
	100.000	426.99	06/04/20	357.00	...	69.99	160 of 183 - Sale ²⁵
	234.000	1,193.34	06/04/20	1,123.25	...	70.09	161 of 183 - Sale ²⁵
	279.000	1,417.25	06/04/20	1,339.27	...	77.98	162 of 183 - Sale ²⁵
	500.000	1,724.91	06/04/20	1,645.10	...	79.81	163 of 183 - Sale ²⁵
	120.000	512.37	06/04/20	428.40	...	83.97	164 of 183 - Sale ²⁵
	997.000	3,549.06	06/04/20	3,439.65	...	109.41	165 of 183 - Sale ²⁵
	400.000	2,031.91	06/04/20	1,920.09	...	111.82	166 of 183 - Sale ²⁵
	154.000	754.56	06/04/20	629.86	...	124.70	167 of 183 - Sale ²⁵
	180.000	768.56	06/04/20	642.60	...	125.96	168 of 183 - Sale ²⁵
	200.000	851.96	06/04/20	714.00	...	137.96	169 of 183 - Sale ²⁵
	200.000	979.95	06/04/20	817.96	...	161.99	170 of 183 - Sale ²⁵
	248.000	1,051.46	06/04/20	885.36	...	166.10	171 of 183 - Sale ²⁵
	1,192.000	4,112.17	06/04/20	3,921.93	...	190.24	172 of 183 - Sale ²⁵
	300.000	1,268.94	06/04/20	1,071.00	...	197.94	173 of 183 - Sale ²⁵
	300.000	1,277.93	06/04/20	1,071.00	...	206.93	174 of 183 - Sale ²⁵
	300.000	1,277.93	06/04/20	1,071.00	...	206.93	175 of 183 - Sale ²⁵
	300.000	1,280.93	06/04/20	1,071.00	...	209.93	176 of 183 - Sale ²⁵
	296.000	1,450.32	06/04/20	1,210.64	...	239.68	177 of 183 - Sale ²⁵
	501.000	2,119.12	06/04/20	1,788.57	...	330.55	178 of 183 - Sale ²⁵
	603.000	3,316.35	06/04/20	2,984.85	...	331.50	179 of 183 - Sale ²⁵
	800.000	4,399.80	06/04/20	3,960.00	...	439.80	180 of 183 - Sale ²⁵
	800.000	4,399.81	06/04/20	3,960.00	...	439.81	181 of 183 - Sale ²⁵
	800.000	4,399.81	06/04/20	3,960.00	...	439.81	182 of 183 - Sale ²⁵
	2,201.000	9,309.75	06/04/20	7,879.58	...	1,430.17	183 of 183 - Sale ²⁵
06/04/20	18,165.000	78,247.52	Various	75,432.09	...	2,815.43	Total of 183 transactions

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
698 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
200.000		989.95	06/04/20	990.00	0.05 W	0.00	1 of 698 - Sale ²⁵
200.000		989.95	06/04/20	990.00	0.05 W	0.00	2 of 698 - Sale ²⁵
100.000		494.98	06/04/20	495.00	0.02 W	0.00	3 of 698 - Sale ²⁵
200.000		989.95	06/04/20	990.00	0.05 W	0.00	4 of 698 - Sale ²⁵
200.000		989.95	06/04/20	990.00	0.05 W	0.00	5 of 698 - Sale ²⁵
50.000		247.49	06/04/20	247.50	0.01 W	0.00	6 of 698 - Sale ²⁵
100.000		494.98	06/04/20	495.00	0.02 W	0.00	7 of 698 - Sale ²⁵
129.000		638.52	06/04/20	638.55	0.03 W	0.00	8 of 698 - Sale ²⁵
100.000		494.98	06/04/20	495.00	0.02 W	0.00	9 of 698 - Sale ²⁵
100.000		494.98	06/04/20	495.00	0.02 W	0.00	10 of 698 - Sale ²⁵
400.000		1,979.91	06/04/20	1,980.00	0.09 W	0.00	11 of 698 - Sale ²⁵
300.000		1,484.93	06/04/20	1,485.00	0.07 W	0.00	12 of 698 - Sale ²⁵
400.000		1,979.91	06/04/20	1,980.00	0.09 W	0.00	13 of 698 - Sale ²⁵
200.000		989.95	06/04/20	990.00	0.05 W	0.00	14 of 698 - Sale ²⁵
100.000		494.98	06/04/20	495.00	0.02 W	0.00	15 of 698 - Sale ²⁵
100.000		494.98	06/04/20	495.00	0.02 W	0.00	16 of 698 - Sale ²⁵
111.000		549.42	06/04/20	549.45	0.03 W	0.00	17 of 698 - Sale ²⁵
100.000		350.99	06/04/20	490.00	139.01 W	0.00	18 of 698 - Sale ²⁵
60.000		210.59	06/04/20	294.00	83.41 W	0.00	19 of 698 - Sale ²⁵
2,144.000		7,525.01	06/04/20	10,505.60	2,980.59 W	0.00	20 of 698 - Sale ²⁵
100.000		350.99	06/04/20	490.00	139.01 W	0.00	21 of 698 - Sale ²⁵
100.000		350.99	06/04/20	490.00	139.01 W	0.00	22 of 698 - Sale ²⁵
300.000		1,052.93	06/04/20	1,470.00	417.07 W	0.00	23 of 698 - Sale ²⁵
199.000		698.45	06/04/20	975.10	276.65 W	0.00	24 of 698 - Sale ²⁵
1.000		3.51	06/04/20	5.97	2.46 W	0.00	25 of 698 - Sale ²⁵
60.000		210.59	06/04/20	358.20	147.61 W	0.00	26 of 698 - Sale ²⁵
99.000		347.47	06/04/20	591.03	243.56 W	0.00	27 of 698 - Sale ²⁵
341.000		1,196.84	06/04/20	2,035.81	838.97 W	0.00	28 of 698 - Sale ²⁵
167.000		586.14	06/04/20	883.46	297.32 W	0.00	29 of 698 - Sale ²⁵
433.000		1,519.74	06/04/20	2,290.66	770.92 W	0.00	30 of 698 - Sale ²⁵
600.000		2,105.88	06/04/20	3,174.12	1,068.24 W	0.00	31 of 698 - Sale ²⁵
456.000		1,600.47	06/04/20	2,412.33	811.86 W	0.00	32 of 698 - Sale ²⁵
53.000		185.49	06/04/20	280.38	94.89 W	0.00	33 of 698 - Sale ²⁵

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Robinhood Securities LLC

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	147.000	514.47	06/04/20	777.66	263.19 W	0.00	34 of 698 - Sale ²⁵
	44.000	153.99	06/04/20	232.76	78.77 W	0.00	35 of 698 - Sale ²⁵
	3.000	10.50	06/04/20	15.87	5.37 W	0.00	36 of 698 - Sale ²⁵
	100.000	349.98	06/04/20	529.01	179.03 W	0.00	37 of 698 - Sale ²⁵
	53.000	185.49	06/04/20	280.38	94.89 W	0.00	38 of 698 - Sale ²⁵
	100.000	349.99	06/04/20	529.02	179.03 W	0.00	39 of 698 - Sale ²⁵
	144.000	503.96	06/04/20	761.80	257.84 W	0.00	40 of 698 - Sale ²⁵
	97.000	339.49	06/04/20	513.15	173.66 W	0.00	41 of 698 - Sale ²⁵
	3.000	10.50	06/04/20	15.87	5.37 W	0.00	42 of 698 - Sale ²⁵
	100.000	349.99	06/04/20	529.02	179.03 W	0.00	43 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	6.01	2.46 W	0.00	44 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	9.88	2.78 W	0.00	45 of 698 - Sale ²⁵
	60.000	212.99	06/04/20	360.61	147.62 W	0.00	46 of 698 - Sale ²⁵
	99.000	351.43	06/04/20	595.01	243.58 W	0.00	47 of 698 - Sale ²⁵
	122.000	433.08	06/04/20	733.26	300.18 W	0.00	48 of 698 - Sale ²⁵
	167.000	592.82	06/04/20	890.17	297.35 W	0.00	49 of 698 - Sale ²⁵
	215.000	763.21	06/04/20	1,146.04	382.83 W	0.00	50 of 698 - Sale ²⁵
	219.000	777.41	06/04/20	1,316.26	538.85 W	0.00	51 of 698 - Sale ²⁵
	218.000	773.86	06/04/20	1,162.03	388.17 W	0.00	52 of 698 - Sale ²⁵
	282.000	1,001.04	06/04/20	1,503.17	502.13 W	0.00	53 of 698 - Sale ²⁵
	298.000	1,057.84	06/04/20	1,588.46	530.62 W	0.00	54 of 698 - Sale ²⁵
	318.000	1,128.83	06/04/20	1,695.07	566.24 W	0.00	55 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	16.02	5.37 W	0.00	56 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	16.02	5.37 W	0.00	57 of 698 - Sale ²⁵
	44.000	156.19	06/04/20	234.97	78.78 W	0.00	58 of 698 - Sale ²⁵
	53.000	188.14	06/04/20	283.04	94.90 W	0.00	59 of 698 - Sale ²⁵
	53.000	188.14	06/04/20	283.04	94.90 W	0.00	60 of 698 - Sale ²⁵
	97.000	344.33	06/04/20	518.01	173.68 W	0.00	61 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	534.03	179.05 W	0.00	62 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	534.03	179.05 W	0.00	63 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	534.03	179.05 W	0.00	64 of 698 - Sale ²⁵
	144.000	511.17	06/04/20	769.04	257.87 W	0.00	65 of 698 - Sale ²⁵
	147.000	521.82	06/04/20	785.04	263.22 W	0.00	66 of 698 - Sale ²⁵
	158.000	560.87	06/04/20	842.20	281.33 W	0.00	67 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	1,998.000	7,092.49	06/04/20	7,092.90	0.41 W	0.00	68 of 698 - Sale ²⁵
	1.000	3.45	06/04/20	5.75	2.30 W	0.00	69 of 698 - Sale ²⁵
	2.000	6.90	06/04/20	9.36	2.46 W	0.00	70 of 698 - Sale ²⁵
	60.000	206.99	06/04/20	345.02	138.03 W	0.00	71 of 698 - Sale ²⁵
	99.000	341.53	06/04/20	569.29	227.76 W	0.00	72 of 698 - Sale ²⁵
	122.000	420.87	06/04/20	701.56	280.69 W	0.00	73 of 698 - Sale ²⁵
	216.000	745.16	06/04/20	1,242.11	496.95 W	0.00	74 of 698 - Sale ²⁵
	97.000	334.64	06/04/20	491.84	157.20 W	0.00	75 of 698 - Sale ²⁵
	3.000	10.35	06/04/20	17.25	6.90 W	0.00	76 of 698 - Sale ²⁵
	134.000	462.27	06/04/20	679.46	217.19 W	0.00	77 of 698 - Sale ²⁵
	70.000	241.49	06/04/20	354.94	113.45 W	0.00	78 of 698 - Sale ²⁵
	215.000	741.71	06/04/20	1,090.18	348.47 W	0.00	79 of 698 - Sale ²⁵
	218.000	752.06	06/04/20	1,105.39	353.33 W	0.00	80 of 698 - Sale ²⁵
	282.000	972.84	06/04/20	1,429.91	457.07 W	0.00	81 of 698 - Sale ²⁵
	3.000	10.35	06/04/20	15.24	4.89 W	0.00	82 of 698 - Sale ²⁵
	3.000	10.35	06/04/20	15.24	4.89 W	0.00	83 of 698 - Sale ²⁵
	44.000	151.79	06/04/20	223.54	71.75 W	0.00	84 of 698 - Sale ²⁵
	53.000	182.84	06/04/20	269.27	86.43 W	0.00	85 of 698 - Sale ²⁵
	53.000	182.84	06/04/20	269.27	86.43 W	0.00	86 of 698 - Sale ²⁵
	86.000	296.68	06/04/20	436.92	140.24 W	0.00	87 of 698 - Sale ²⁵
	97.000	334.63	06/04/20	492.81	158.18 W	0.00	88 of 698 - Sale ²⁵
	100.000	344.98	06/04/20	508.05	163.07 W	0.00	89 of 698 - Sale ²⁵
	100.000	344.98	06/04/20	508.05	163.07 W	0.00	90 of 698 - Sale ²⁵
	144.000	496.77	06/04/20	731.63	234.86 W	0.00	91 of 698 - Sale ²⁵
	147.000	507.12	06/04/20	746.85	239.73 W	0.00	92 of 698 - Sale ²⁵
	158.000	545.07	06/04/20	801.15	256.08 W	0.00	93 of 698 - Sale ²⁵
	184.000	634.76	06/04/20	933.00	298.24 W	0.00	94 of 698 - Sale ²⁵
	298.000	1,028.04	06/04/20	1,511.04	483.00 W	0.00	95 of 698 - Sale ²⁵
	14.000	48.30	06/04/20	71.13	22.83 W	0.00	96 of 698 - Sale ²⁵
	1.000	3.63	06/04/20	5.77	2.14 W	0.00	97 of 698 - Sale ²⁵
	2.000	7.26	06/04/20	9.40	2.14 W	0.00	98 of 698 - Sale ²⁵
	20.000	72.60	06/04/20	115.41	42.81 W	0.00	99 of 698 - Sale ²⁵
	74.000	268.61	06/04/20	427.03	158.42 W	0.00	100 of 698 - Sale ²⁵
	44.000	159.71	06/04/20	223.99	64.28 W	0.00	101 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
100.000		362.98	06/04/20	509.08	146.10 W	0.00	102 of 698 - Sale ²⁵
50.000		181.49	06/04/20	254.54	73.05 W	0.00	103 of 698 - Sale ²⁵
6.000		21.78	06/04/20	30.54	8.76 W	0.00	104 of 698 - Sale ²⁵
3.000		10.86	06/04/20	15.30	4.44 W	0.00	105 of 698 - Sale ²⁵
44.000		159.27	06/04/20	224.43	65.16 W	0.00	106 of 698 - Sale ²⁵
53.000		191.85	06/04/20	270.34	78.49 W	0.00	107 of 698 - Sale ²⁵
53.000		191.85	06/04/20	270.34	78.49 W	0.00	108 of 698 - Sale ²⁵
58.000		209.95	06/04/20	295.27	85.32 W	0.00	109 of 698 - Sale ²⁵
100.000		361.98	06/04/20	510.07	148.09 W	0.00	110 of 698 - Sale ²⁵
59.000		213.56	06/04/20	300.94	87.38 W	0.00	111 of 698 - Sale ²⁵
147.000		532.11	06/04/20	749.82	217.71 W	0.00	112 of 698 - Sale ²⁵
29.000		104.98	06/04/20	147.93	42.95 W	0.00	113 of 698 - Sale ²⁵
41.000		148.42	06/04/20	209.13	60.71 W	0.00	114 of 698 - Sale ²⁵
30.000		108.59	06/04/20	153.03	44.44 W	0.00	115 of 698 - Sale ²⁵
1.000		3.62	06/04/20	5.61	1.99 W	0.00	116 of 698 - Sale ²⁵
2.000		7.24	06/04/20	9.08	1.84 W	0.00	117 of 698 - Sale ²⁵
3.000		10.86	06/04/20	15.30	4.44 W	0.00	118 of 698 - Sale ²⁵
3.000		10.86	06/04/20	16.86	6.00 W	0.00	119 of 698 - Sale ²⁵
5.000		18.10	06/04/20	28.10	10.00 W	0.00	120 of 698 - Sale ²⁵
8.000		28.96	06/04/20	39.53	10.57 W	0.00	121 of 698 - Sale ²⁵
14.000		50.68	06/04/20	71.41	20.73 W	0.00	122 of 698 - Sale ²⁵
20.000		72.40	06/04/20	112.21	39.81 W	0.00	123 of 698 - Sale ²⁵
53.000		191.85	06/04/20	261.87	70.02 W	0.00	124 of 698 - Sale ²⁵
60.000		217.19	06/04/20	337.24	120.05 W	0.00	125 of 698 - Sale ²⁵
62.000		224.43	06/04/20	306.34	81.91 W	0.00	126 of 698 - Sale ²⁵
70.000		253.39	06/04/20	345.86	92.47 W	0.00	127 of 698 - Sale ²⁵
74.000		267.87	06/04/20	415.20	147.33 W	0.00	128 of 698 - Sale ²⁵
86.000		311.30	06/04/20	438.66	127.36 W	0.00	129 of 698 - Sale ²⁵
97.000		351.12	06/04/20	494.77	143.65 W	0.00	130 of 698 - Sale ²⁵
97.000		351.12	06/04/20	479.26	128.14 W	0.00	131 of 698 - Sale ²⁵
100.000		361.98	06/04/20	494.10	132.12 W	0.00	132 of 698 - Sale ²⁵
85.000		307.68	06/04/20	433.58	125.90 W	0.00	133 of 698 - Sale ²⁵
122.000		441.61	06/04/20	685.75	244.14 W	0.00	134 of 698 - Sale ²⁵
216.000		781.88	06/04/20	1,214.11	432.23 W	0.00	135 of 698 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
218.000		789.11	06/04/20	1,077.14	288.03 W	0.00	136 of 698 - Sale ²⁵
2.000		7.24	06/04/20	9.88	2.64 W	0.00	137 of 698 - Sale ²⁵
20.000		72.40	06/04/20	98.82	26.42 W	0.00	138 of 698 - Sale ²⁵
40.000		144.79	06/04/20	197.64	52.85 W	0.00	139 of 698 - Sale ²⁵
42.000		152.03	06/04/20	207.52	55.49 W	0.00	140 of 698 - Sale ²⁵
97.000		351.12	06/04/20	478.31	127.19 W	0.00	141 of 698 - Sale ²⁵
134.000		485.05	06/04/20	662.10	177.05 W	0.00	142 of 698 - Sale ²⁵
156.000		564.69	06/04/20	770.80	206.11 W	0.00	143 of 698 - Sale ²⁵
184.000		666.04	06/04/20	909.16	243.12 W	0.00	144 of 698 - Sale ²⁵
212.000		767.39	06/04/20	1,047.50	280.11 W	0.00	145 of 698 - Sale ²⁵
20.000		72.40	06/04/20	101.82	29.42 W	0.00	146 of 698 - Sale ²⁵
3.000		10.86	06/04/20	17.31	6.45 W	0.00	147 of 698 - Sale ²⁵
53.000		191.85	06/04/20	269.81	77.96 W	0.00	148 of 698 - Sale ²⁵
60.000		217.19	06/04/20	346.23	129.04 W	0.00	149 of 698 - Sale ²⁵
70.000		253.39	06/04/20	356.35	102.96 W	0.00	150 of 698 - Sale ²⁵
5.000		18.10	06/04/20	28.85	10.75 W	0.00	151 of 698 - Sale ²⁵
97.000		351.12	06/04/20	493.79	142.67 W	0.00	152 of 698 - Sale ²⁵
122.000		441.62	06/04/20	704.03	262.41 W	0.00	153 of 698 - Sale ²⁵
216.000		781.88	06/04/20	1,246.47	464.59 W	0.00	154 of 698 - Sale ²⁵
100.000		361.98	06/04/20	509.08	147.10 W	0.00	155 of 698 - Sale ²⁵
62.000		224.43	06/04/20	315.63	91.20 W	0.00	156 of 698 - Sale ²⁵
218.000		789.11	06/04/20	1,109.79	320.68 W	0.00	157 of 698 - Sale ²⁵
220.000		796.35	06/04/20	1,119.98	323.63 W	0.00	158 of 698 - Sale ²⁵
2.000		7.24	06/04/20	10.18	2.94 W	0.00	159 of 698 - Sale ²⁵
40.000		144.79	06/04/20	203.63	58.84 W	0.00	160 of 698 - Sale ²⁵
42.000		152.03	06/04/20	213.81	61.78 W	0.00	161 of 698 - Sale ²⁵
134.000		485.05	06/04/20	682.17	197.12 W	0.00	162 of 698 - Sale ²⁵
184.000		666.04	06/04/20	936.72	270.68 W	0.00	163 of 698 - Sale ²⁵
156.000		564.69	06/04/20	794.17	229.48 W	0.00	164 of 698 - Sale ²⁵
3.000		10.71	06/04/20	15.51	4.80 W	0.00	165 of 698 - Sale ²⁵
2.000		7.14	06/04/20	10.34	3.20 W	0.00	166 of 698 - Sale ²⁵
1.000		3.57	06/04/20	5.17	1.60 W	0.00	167 of 698 - Sale ²⁵
6.000		21.30	06/04/20	31.02	9.72 W	0.00	168 of 698 - Sale ²⁵
41.000		145.55	06/04/20	212.01	66.46 W	0.00	169 of 698 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	50.000	177.49	06/04/20	258.55	81.06 W	0.00	170 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.54	4.89 W	0.00	171 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	202.45	64.01 W	0.00	172 of 698 - Sale ²⁵
	55.000	195.24	06/04/20	284.96	89.72 W	0.00	173 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	202.45	64.01 W	0.00	174 of 698 - Sale ²⁵
	53.000	188.14	06/04/20	275.12	86.98 W	0.00	175 of 698 - Sale ²⁵
	108.000	383.37	06/04/20	560.63	177.26 W	0.00	176 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	5.19	1.64 W	0.00	177 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	25.95	8.20 W	0.00	178 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.38	3.28 W	0.00	179 of 698 - Sale ²⁵
	92.000	326.58	06/04/20	477.56	150.98 W	0.00	180 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	41.53	13.13 W	0.00	181 of 698 - Sale ²⁵
	29.000	102.94	06/04/20	150.54	47.60 W	0.00	182 of 698 - Sale ²⁵
	16.000	56.80	06/04/20	83.06	26.26 W	0.00	183 of 698 - Sale ²⁵
	41.000	145.54	06/04/20	212.82	67.28 W	0.00	184 of 698 - Sale ²⁵
	53.000	188.14	06/04/20	275.12	86.98 W	0.00	185 of 698 - Sale ²⁵
	59.000	209.43	06/04/20	306.27	96.84 W	0.00	186 of 698 - Sale ²⁵
	14.000	49.70	06/04/20	72.68	22.98 W	0.00	187 of 698 - Sale ²⁵
	80.000	283.98	06/04/20	415.29	131.31 W	0.00	188 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.57	4.92 W	0.00	189 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	25.96	8.21 W	0.00	190 of 698 - Sale ²⁵
	9.000	31.95	06/04/20	46.72	14.77 W	0.00	191 of 698 - Sale ²⁵
	86.000	305.28	06/04/20	446.42	141.14 W	0.00	192 of 698 - Sale ²⁵
	97.000	344.33	06/04/20	503.52	159.19 W	0.00	193 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	5.70	2.15 W	0.00	194 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	9.26	2.16 W	0.00	195 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	25.95	8.20 W	0.00	196 of 698 - Sale ²⁵
	20.000	71.00	06/04/20	114.01	43.01 W	0.00	197 of 698 - Sale ²⁵
	72.000	255.59	06/04/20	410.47	154.88 W	0.00	198 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	11.40	4.30 W	0.00	199 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	28.55	10.80 W	0.00	200 of 698 - Sale ²⁵
	33.000	117.14	06/04/20	188.47	71.33 W	0.00	201 of 698 - Sale ²⁵
	60.000	212.99	06/04/20	342.65	129.66 W	0.00	202 of 698 - Sale ²⁵
	11.000	39.05	06/04/20	62.82	23.77 W	0.00	203 of 698 - Sale ²⁵

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Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	89.000	315.93	06/04/20	508.29	192.36 W	0.00	204 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	571.11	216.13 W	0.00	205 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	571.10	216.12 W	0.00	206 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	17.13	6.48 W	0.00	207 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	28.56	10.81 W	0.00	208 of 698 - Sale ²⁵
	92.000	326.58	06/04/20	462.85	136.27 W	0.00	209 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	25.16	7.41 W	0.00	210 of 698 - Sale ²⁵
	25.000	88.74	06/04/20	125.78	37.04 W	0.00	211 of 698 - Sale ²⁵
	70.000	248.49	06/04/20	352.17	103.68 W	0.00	212 of 698 - Sale ²⁵
	28.000	99.39	06/04/20	140.87	41.48 W	0.00	213 of 698 - Sale ²⁵
	72.000	255.59	06/04/20	362.25	106.66 W	0.00	214 of 698 - Sale ²⁵
	10.000	35.50	06/04/20	50.31	14.81 W	0.00	215 of 698 - Sale ²⁵
	28.000	99.39	06/04/20	140.87	41.48 W	0.00	216 of 698 - Sale ²⁵
	62.000	220.09	06/04/20	311.93	91.84 W	0.00	217 of 698 - Sale ²⁵
	200.000	709.96	06/04/20	1,006.25	296.29 W	0.00	218 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.06	2.96 W	0.00	219 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	40.25	11.85 W	0.00	220 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	40.25	11.85 W	0.00	221 of 698 - Sale ²⁵
	10.000	35.50	06/04/20	50.31	14.81 W	0.00	222 of 698 - Sale ²⁵
	20.000	71.00	06/04/20	100.62	29.62 W	0.00	223 of 698 - Sale ²⁵
	40.000	141.99	06/04/20	201.25	59.26 W	0.00	224 of 698 - Sale ²⁵
	212.000	752.56	06/04/20	1,066.63	314.07 W	0.00	225 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.09	4.44 W	0.00	226 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	503.13	148.15 W	0.00	227 of 698 - Sale ²⁵
	21.000	74.55	06/04/20	105.66	31.11 W	0.00	228 of 698 - Sale ²⁵
	176.000	624.76	06/04/20	885.51	260.75 W	0.00	229 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	40.25	11.85 W	0.00	230 of 698 - Sale ²⁵
	42.000	149.09	06/04/20	211.31	62.22 W	0.00	231 of 698 - Sale ²⁵
	50.000	177.49	06/04/20	251.56	74.07 W	0.00	232 of 698 - Sale ²⁵
	94.000	333.68	06/04/20	472.00	138.32 W	0.00	233 of 698 - Sale ²⁵
	106.000	376.28	06/04/20	533.31	157.03 W	0.00	234 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.06	4.41 W	0.00	235 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	5.16	1.61 W	0.00	236 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.32	3.22 W	0.00	237 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	3.000	10.65	06/04/20	15.48	4.83 W	0.00	238 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.57	4.92 W	0.00	239 of 698 - Sale ²⁵
	6.000	21.30	06/04/20	31.08	9.78 W	0.00	240 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	202.85	64.41 W	0.00	241 of 698 - Sale ²⁵
	41.000	145.54	06/04/20	212.42	66.88 W	0.00	242 of 698 - Sale ²⁵
	50.000	177.49	06/04/20	259.06	81.57 W	0.00	243 of 698 - Sale ²⁵
	55.000	195.24	06/04/20	285.52	90.28 W	0.00	244 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	520.13	165.15 W	0.00	245 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	41.61	13.21 W	0.00	246 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	202.85	64.41 W	0.00	247 of 698 - Sale ²⁵
	53.000	188.14	06/04/20	275.66	87.52 W	0.00	248 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	5.20	1.65 W	0.00	249 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.40	3.30 W	0.00	250 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	26.00	8.25 W	0.00	251 of 698 - Sale ²⁵
	92.000	326.58	06/04/20	478.50	151.92 W	0.00	252 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	41.61	13.21 W	0.00	253 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	202.85	64.41 W	0.00	254 of 698 - Sale ²⁵
	53.000	188.14	06/04/20	275.66	87.52 W	0.00	255 of 698 - Sale ²⁵
	10.000	35.50	06/04/20	52.01	16.51 W	0.00	256 of 698 - Sale ²⁵
	20.000	71.00	06/04/20	104.03	33.03 W	0.00	257 of 698 - Sale ²⁵
	29.000	102.94	06/04/20	150.84	47.90 W	0.00	258 of 698 - Sale ²⁵
	41.000	145.54	06/04/20	213.24	67.70 W	0.00	259 of 698 - Sale ²⁵
	6.000	21.30	06/04/20	31.21	9.91 W	0.00	260 of 698 - Sale ²⁵
	94.000	333.68	06/04/20	334.64	0.96 W	0.00	261 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	356.00	1.02 W	0.00	262 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	356.00	1.02 W	0.00	263 of 698 - Sale ²⁵
	100.000	354.97	06/04/20	356.00	1.03 W	0.00	264 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	10.68	0.03 W	0.00	265 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.61	4.96 W	0.00	266 of 698 - Sale ²⁵
	14.000	49.70	06/04/20	72.82	23.12 W	0.00	267 of 698 - Sale ²⁵
	80.000	283.98	06/04/20	416.11	132.13 W	0.00	268 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.40	3.30 W	0.00	269 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.60	4.95 W	0.00	270 of 698 - Sale ²⁵
	95.000	337.23	06/04/20	494.11	156.88 W	0.00	271 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	2.000	7.10	06/04/20	10.40	3.30 W	0.00	272 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.60	4.95 W	0.00	273 of 698 - Sale ²⁵
	9.000	31.95	06/04/20	46.81	14.86 W	0.00	274 of 698 - Sale ²⁵
	86.000	305.28	06/04/20	447.30	142.02 W	0.00	275 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	5.71	2.16 W	0.00	276 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.40	3.30 W	0.00	277 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	9.28	2.18 W	0.00	278 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	11.42	4.32 W	0.00	279 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.08	2.98 W	0.00	280 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	17.16	6.51 W	0.00	281 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	28.60	10.85 W	0.00	282 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	28.61	10.86 W	0.00	283 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	25.21	7.46 W	0.00	284 of 698 - Sale ²⁵
	11.000	39.05	06/04/20	62.93	23.88 W	0.00	285 of 698 - Sale ²⁵
	20.000	71.00	06/04/20	114.21	43.21 W	0.00	286 of 698 - Sale ²⁵
	33.000	117.14	06/04/20	188.81	71.67 W	0.00	287 of 698 - Sale ²⁵
	60.000	212.99	06/04/20	343.25	130.26 W	0.00	288 of 698 - Sale ²⁵
	72.000	255.59	06/04/20	411.19	155.60 W	0.00	289 of 698 - Sale ²⁵
	89.000	315.93	06/04/20	509.19	193.26 W	0.00	290 of 698 - Sale ²⁵
	92.000	326.58	06/04/20	463.78	137.20 W	0.00	291 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	572.12	217.14 W	0.00	292 of 698 - Sale ²⁵
	100.000	354.98	06/04/20	572.11	217.13 W	0.00	293 of 698 - Sale ²⁵
	7.000	24.85	06/04/20	34.03	9.18 W	0.00	294 of 698 - Sale ²⁵
	25.000	88.74	06/04/20	121.54	32.80 W	0.00	295 of 698 - Sale ²⁵
	28.000	99.39	06/04/20	136.12	36.73 W	0.00	296 of 698 - Sale ²⁵
	68.000	241.39	06/04/20	330.56	89.17 W	0.00	297 of 698 - Sale ²⁵
	72.000	255.59	06/04/20	350.02	94.43 W	0.00	298 of 698 - Sale ²⁵
	10.000	35.50	06/04/20	48.61	13.11 W	0.00	299 of 698 - Sale ²⁵
	21.000	74.55	06/04/20	102.09	27.54 W	0.00	300 of 698 - Sale ²⁵
	62.000	220.09	06/04/20	301.40	81.31 W	0.00	301 of 698 - Sale ²⁵
	107.000	379.83	06/04/20	520.18	140.35 W	0.00	302 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	9.72	2.62 W	0.00	303 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	9.72	2.62 W	0.00	304 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	38.89	10.49 W	0.00	305 of 698 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	8.000	28.40	06/04/20	38.89	10.49 W	0.00	306 of 698 - Sale ²⁵
	10.000	35.50	06/04/20	48.61	13.11 W	0.00	307 of 698 - Sale ²⁵
	20.000	71.00	06/04/20	97.22	26.22 W	0.00	308 of 698 - Sale ²⁵
	40.000	141.99	06/04/20	194.46	52.47 W	0.00	309 of 698 - Sale ²⁵
	93.000	330.13	06/04/20	452.11	121.98 W	0.00	310 of 698 - Sale ²⁵
	212.000	752.55	06/04/20	1,030.63	278.08 W	0.00	311 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	4.86	1.31 W	0.00	312 of 698 - Sale ²⁵
	4.000	14.20	06/04/20	19.45	5.25 W	0.00	313 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	4.99	1.44 W	0.00	314 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	9.98	2.88 W	0.00	315 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	14.55	3.90 W	0.00	316 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	14.97	4.32 W	0.00	317 of 698 - Sale ²⁵
	7.000	24.85	06/04/20	35.08	10.23 W	0.00	318 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	38.89	10.49 W	0.00	319 of 698 - Sale ²⁵
	21.000	74.55	06/04/20	102.09	27.54 W	0.00	320 of 698 - Sale ²⁵
	42.000	149.09	06/04/20	204.18	55.09 W	0.00	321 of 698 - Sale ²⁵
	50.000	177.49	06/04/20	243.07	65.58 W	0.00	322 of 698 - Sale ²⁵
	94.000	333.68	06/04/20	456.04	122.36 W	0.00	323 of 698 - Sale ²⁵
	96.000	340.78	06/04/20	466.70	125.92 W	0.00	324 of 698 - Sale ²⁵
	106.000	376.28	06/04/20	515.31	139.03 W	0.00	325 of 698 - Sale ²⁵
	176.000	624.76	06/04/20	855.63	230.87 W	0.00	326 of 698 - Sale ²⁵
	1.000	3.55	06/04/20	5.03	1.48 W	0.00	327 of 698 - Sale ²⁵
	2.000	7.10	06/04/20	10.06	2.96 W	0.00	328 of 698 - Sale ²⁵
	3.000	10.65	06/04/20	15.06	4.41 W	0.00	329 of 698 - Sale ²⁵
	5.000	17.75	06/04/20	25.15	7.40 W	0.00	330 of 698 - Sale ²⁵
	6.000	21.30	06/04/20	30.06	8.76 W	0.00	331 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	40.25	11.85 W	0.00	332 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	40.25	11.85 W	0.00	333 of 698 - Sale ²⁵
	8.000	28.40	06/04/20	40.25	11.85 W	0.00	334 of 698 - Sale ²⁵
	34.000	120.69	06/04/20	170.38	49.69 W	0.00	335 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	196.23	57.79 W	0.00	336 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	196.23	57.79 W	0.00	337 of 698 - Sale ²⁵
	39.000	138.44	06/04/20	196.23	57.79 W	0.00	338 of 698 - Sale ²⁵
	50.000	177.49	06/04/20	250.57	73.08 W	0.00	339 of 698 - Sale ²⁵

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Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
53.000		188.14	06/04/20	266.66	78.52 W	0.00	340 of 698 - Sale ²⁵
53.000		188.13	06/04/20	266.66	78.53 W	0.00	341 of 698 - Sale ²⁵
55.000		195.24	06/04/20	276.18	80.94 W	0.00	342 of 698 - Sale ²⁵
92.000		326.57	06/04/20	462.88	136.31 W	0.00	343 of 698 - Sale ²⁵
100.000		354.98	06/04/20	503.15	148.17 W	0.00	344 of 698 - Sale ²⁵
6.000		21.72	06/04/20	30.19	8.47 W	0.00	345 of 698 - Sale ²⁵
10.000		36.20	06/04/20	50.31	14.11 W	0.00	346 of 698 - Sale ²⁵
12.000		43.44	06/04/20	60.38	16.94 W	0.00	347 of 698 - Sale ²⁵
29.000		104.97	06/04/20	145.92	40.95 W	0.00	348 of 698 - Sale ²⁵
41.000		148.41	06/04/20	206.28	57.87 W	0.00	349 of 698 - Sale ²⁵
2.000		7.24	06/04/20	10.06	2.82 W	0.00	350 of 698 - Sale ²⁵
3.000		10.86	06/04/20	15.10	4.24 W	0.00	351 of 698 - Sale ²⁵
3.000		10.86	06/04/20	15.09	4.23 W	0.00	352 of 698 - Sale ²⁵
3.000		10.86	06/04/20	15.09	4.23 W	0.00	353 of 698 - Sale ²⁵
14.000		50.68	06/04/20	70.44	19.76 W	0.00	354 of 698 - Sale ²⁵
80.000		289.58	06/04/20	402.53	112.95 W	0.00	355 of 698 - Sale ²⁵
2.000		7.24	06/04/20	10.06	2.82 W	0.00	356 of 698 - Sale ²⁵
6.000		21.72	06/04/20	30.19	8.47 W	0.00	357 of 698 - Sale ²⁵
92.000		333.02	06/04/20	462.89	129.87 W	0.00	358 of 698 - Sale ²⁵
1.000		3.62	06/04/20	5.54	1.92 W	0.00	359 of 698 - Sale ²⁵
2.000		7.24	06/04/20	10.06	2.82 W	0.00	360 of 698 - Sale ²⁵
2.000		7.24	06/04/20	8.94	1.70 W	0.00	361 of 698 - Sale ²⁵
3.000		10.86	06/04/20	15.09	4.23 W	0.00	362 of 698 - Sale ²⁵
3.000		10.86	06/04/20	16.62	5.76 W	0.00	363 of 698 - Sale ²⁵
9.000		32.58	06/04/20	45.28	12.70 W	0.00	364 of 698 - Sale ²⁵
80.000		289.58	06/04/20	402.51	112.93 W	0.00	365 of 698 - Sale ²⁵
2.000		7.24	06/04/20	11.08	3.84 W	0.00	366 of 698 - Sale ²⁵
4.000		14.48	06/04/20	22.20	7.72 W	0.00	367 of 698 - Sale ²⁵
5.000		18.10	06/04/20	27.75	9.65 W	0.00	368 of 698 - Sale ²⁵
17.000		61.54	06/04/20	94.19	32.65 W	0.00	369 of 698 - Sale ²⁵
72.000		260.62	06/04/20	398.96	138.34 W	0.00	370 of 698 - Sale ²⁵
11.000		39.82	06/04/20	61.07	21.25 W	0.00	371 of 698 - Sale ²⁵
33.000		119.45	06/04/20	183.21	63.76 W	0.00	372 of 698 - Sale ²⁵
56.000		202.70	06/04/20	310.86	108.16 W	0.00	373 of 698 - Sale ²⁵

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(continued)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
11.000		39.82	06/04/20	61.06	21.24 W	0.00	374 of 698 - Sale ²⁵
11.000		39.82	06/04/20	61.07	21.25 W	0.00	375 of 698 - Sale ²⁵
78.000		282.34	06/04/20	433.01	150.67 W	0.00	376 of 698 - Sale ²⁵
11.000		39.82	06/04/20	61.06	21.24 W	0.00	377 of 698 - Sale ²⁵
89.000		322.16	06/04/20	494.07	171.91 W	0.00	378 of 698 - Sale ²⁵
3.000		10.86	06/04/20	16.65	5.79 W	0.00	379 of 698 - Sale ²⁵
3.000		10.86	06/04/20	14.61	3.75 W	0.00	380 of 698 - Sale ²⁵
5.000		18.10	06/04/20	27.76	9.66 W	0.00	381 of 698 - Sale ²⁵
89.000		322.16	06/04/20	494.07	171.91 W	0.00	382 of 698 - Sale ²⁵
2.000		7.24	06/04/20	9.74	2.50 W	0.00	383 of 698 - Sale ²⁵
4.000		14.48	06/04/20	18.77	4.29 W	0.00	384 of 698 - Sale ²⁵
5.000		18.10	06/04/20	24.36	6.26 W	0.00	385 of 698 - Sale ²⁵
89.000		322.16	06/04/20	433.55	111.39 W	0.00	386 of 698 - Sale ²⁵
11.000		39.82	06/04/20	51.61	11.79 W	0.00	387 of 698 - Sale ²⁵
25.000		90.49	06/04/20	117.30	26.81 W	0.00	388 of 698 - Sale ²⁵
64.000		231.67	06/04/20	300.24	68.57 W	0.00	389 of 698 - Sale ²⁵
4.000		14.48	06/04/20	18.77	4.29 W	0.00	390 of 698 - Sale ²⁵
7.000		25.34	06/04/20	32.84	7.50 W	0.00	391 of 698 - Sale ²⁵
17.000		61.54	06/04/20	79.76	18.22 W	0.00	392 of 698 - Sale ²⁵
72.000		260.62	06/04/20	337.79	77.17 W	0.00	393 of 698 - Sale ²⁵
10.000		36.20	06/04/20	46.91	10.71 W	0.00	394 of 698 - Sale ²⁵
11.000		39.82	06/04/20	51.61	11.79 W	0.00	395 of 698 - Sale ²⁵
17.000		61.54	06/04/20	79.75	18.21 W	0.00	396 of 698 - Sale ²⁵
62.000		224.43	06/04/20	290.87	66.44 W	0.00	397 of 698 - Sale ²⁵
4.000		14.48	06/04/20	18.77	4.29 W	0.00	398 of 698 - Sale ²⁵
96.000		347.49	06/04/20	450.40	102.91 W	0.00	399 of 698 - Sale ²⁵
8.000		28.96	06/04/20	37.53	8.57 W	0.00	400 of 698 - Sale ²⁵
8.000		28.96	06/04/20	37.53	8.57 W	0.00	401 of 698 - Sale ²⁵
89.000		322.16	06/04/20	417.55	95.39 W	0.00	402 of 698 - Sale ²⁵
95.000		343.87	06/04/20	445.71	101.84 W	0.00	403 of 698 - Sale ²⁵
1.000		3.62	06/04/20	4.69	1.07 W	0.00	404 of 698 - Sale ²⁵
2.000		7.24	06/04/20	9.38	2.14 W	0.00	405 of 698 - Sale ²⁵
2.000		7.24	06/04/20	9.38	2.14 W	0.00	406 of 698 - Sale ²⁵
4.000		14.48	06/04/20	18.77	4.29 W	0.00	407 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	4.000	14.48	06/04/20	18.77	4.29 W	0.00	408 of 698 - Sale ²⁵
	10.000	36.20	06/04/20	46.91	10.71 W	0.00	409 of 698 - Sale ²⁵
	20.000	72.40	06/04/20	93.82	21.42 W	0.00	410 of 698 - Sale ²⁵
	40.000	144.79	06/04/20	187.67	42.88 W	0.00	411 of 698 - Sale ²⁵
	117.000	423.52	06/04/20	548.93	125.41 W	0.00	412 of 698 - Sale ²⁵
	1.000	3.62	06/04/20	4.69	1.07 W	0.00	413 of 698 - Sale ²⁵
	1.000	3.62	06/04/20	4.83	1.21 W	0.00	414 of 698 - Sale ²⁵
	1.000	3.62	06/04/20	4.87	1.25 W	0.00	415 of 698 - Sale ²⁵
	1.000	3.62	06/04/20	5.31	1.69 W	0.00	416 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	9.66	2.42 W	0.00	417 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	9.74	2.50 W	0.00	418 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	9.60	2.36 W	0.00	419 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	9.60	2.36 W	0.00	420 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	9.60	2.36 W	0.00	421 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	8.48	1.24 W	0.00	422 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	10.62	3.38 W	0.00	423 of 698 - Sale ²⁵
	2.000	7.24	06/04/20	9.28	2.04 W	0.00	424 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.07	3.21 W	0.00	425 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.49	3.63 W	0.00	426 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.58	3.72 W	0.00	427 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.41	3.55 W	0.00	428 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.40	3.54 W	0.00	429 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.40	3.54 W	0.00	430 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	14.40	3.54 W	0.00	431 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	15.93	5.07 W	0.00	432 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	15.96	5.10 W	0.00	433 of 698 - Sale ²⁵
	3.000	10.86	06/04/20	13.92	3.06 W	0.00	434 of 698 - Sale ²⁵
	4.000	14.48	06/04/20	21.28	6.80 W	0.00	435 of 698 - Sale ²⁵
	4.000	14.48	06/04/20	17.85	3.37 W	0.00	436 of 698 - Sale ²⁵
	4.000	14.48	06/04/20	17.85	3.37 W	0.00	437 of 698 - Sale ²⁵
	4.000	14.48	06/04/20	17.85	3.37 W	0.00	438 of 698 - Sale ²⁵
	5.000	18.10	06/04/20	24.35	6.25 W	0.00	439 of 698 - Sale ²⁵
	5.000	18.10	06/04/20	26.60	8.50 W	0.00	440 of 698 - Sale ²⁵
	5.000	18.10	06/04/20	26.61	8.51 W	0.00	441 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	5.000	18.10	06/04/20	23.21	5.11 W	0.00	442 of 698 - Sale ²⁵
	6.000	21.72	06/04/20	29.10	7.38 W	0.00	443 of 698 - Sale ²⁵
	6.000	21.72	06/04/20	28.81	7.09 W	0.00	444 of 698 - Sale ²⁵
	6.000	21.72	06/04/20	28.81	7.09 W	0.00	445 of 698 - Sale ²⁵
	7.000	25.34	06/04/20	33.96	8.62 W	0.00	446 of 698 - Sale ²⁵
	7.000	25.34	06/04/20	31.23	5.89 W	0.00	447 of 698 - Sale ²⁵
	8.000	28.96	06/04/20	37.61	8.65 W	0.00	448 of 698 - Sale ²⁵
	8.000	28.96	06/04/20	38.97	10.01 W	0.00	449 of 698 - Sale ²⁵
	8.000	28.96	06/04/20	38.97	10.01 W	0.00	450 of 698 - Sale ²⁵
	8.000	28.96	06/04/20	38.97	10.01 W	0.00	451 of 698 - Sale ²⁵
	8.000	28.96	06/04/20	35.69	6.73 W	0.00	452 of 698 - Sale ²⁵
	8.000	28.96	06/04/20	35.69	6.73 W	0.00	453 of 698 - Sale ²⁵
	9.000	32.58	06/04/20	43.21	10.63 W	0.00	454 of 698 - Sale ²⁵
	10.000	36.20	06/04/20	48.01	11.81 W	0.00	455 of 698 - Sale ²⁵
	10.000	36.20	06/04/20	44.61	8.41 W	0.00	456 of 698 - Sale ²⁵
	11.000	39.82	06/04/20	58.54	18.72 W	0.00	457 of 698 - Sale ²⁵
	11.000	39.82	06/04/20	58.53	18.71 W	0.00	458 of 698 - Sale ²⁵
	11.000	39.82	06/04/20	58.54	18.72 W	0.00	459 of 698 - Sale ²⁵
	11.000	39.82	06/04/20	58.53	18.71 W	0.00	460 of 698 - Sale ²⁵
	11.000	39.82	06/04/20	49.08	9.26 W	0.00	461 of 698 - Sale ²⁵
	11.000	39.82	06/04/20	49.08	9.26 W	0.00	462 of 698 - Sale ²⁵
	12.000	43.44	06/04/20	57.62	14.18 W	0.00	463 of 698 - Sale ²⁵
	14.000	50.68	06/04/20	67.22	16.54 W	0.00	464 of 698 - Sale ²⁵
	17.000	61.54	06/04/20	90.28	28.74 W	0.00	465 of 698 - Sale ²⁵
	17.000	61.54	06/04/20	75.85	14.31 W	0.00	466 of 698 - Sale ²⁵
	17.000	61.54	06/04/20	75.84	14.30 W	0.00	467 of 698 - Sale ²⁵
	21.000	76.02	06/04/20	98.73	22.71 W	0.00	468 of 698 - Sale ²⁵
	25.000	90.49	06/04/20	111.56	21.07 W	0.00	469 of 698 - Sale ²⁵
	29.000	104.97	06/04/20	139.26	34.29 W	0.00	470 of 698 - Sale ²⁵
	33.000	119.45	06/04/20	175.63	56.18 W	0.00	471 of 698 - Sale ²⁵
	34.000	123.07	06/04/20	164.95	41.88 W	0.00	472 of 698 - Sale ²⁵
	39.000	141.17	06/04/20	190.00	48.83 W	0.00	473 of 698 - Sale ²⁵
	39.000	141.17	06/04/20	190.00	48.83 W	0.00	474 of 698 - Sale ²⁵
	39.000	141.17	06/04/20	190.00	48.83 W	0.00	475 of 698 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
41.000		148.41	06/04/20	196.86	48.45 W	0.00	476 of 698 - Sale ²⁵
42.000		152.03	06/04/20	197.47	45.44 W	0.00	477 of 698 - Sale ²⁵
50.000		180.99	06/04/20	235.08	54.09 W	0.00	478 of 698 - Sale ²⁵
50.000		180.99	06/04/20	242.58	61.59 W	0.00	479 of 698 - Sale ²⁵
53.000		191.85	06/04/20	258.19	66.34 W	0.00	480 of 698 - Sale ²⁵
53.000		191.85	06/04/20	258.20	66.35 W	0.00	481 of 698 - Sale ²⁵
55.000		199.09	06/04/20	267.39	68.30 W	0.00	482 of 698 - Sale ²⁵
56.000		202.71	06/04/20	298.00	95.29 W	0.00	483 of 698 - Sale ²⁵
62.000		224.42	06/04/20	276.62	52.20 W	0.00	484 of 698 - Sale ²⁵
64.000		231.67	06/04/20	285.53	53.86 W	0.00	485 of 698 - Sale ²⁵
72.000		260.62	06/04/20	382.42	121.80 W	0.00	486 of 698 - Sale ²⁵
72.000		260.62	06/04/20	321.25	60.63 W	0.00	487 of 698 - Sale ²⁵
78.000		282.34	06/04/20	415.09	132.75 W	0.00	488 of 698 - Sale ²⁵
80.000		289.58	06/04/20	384.15	94.57 W	0.00	489 of 698 - Sale ²⁵
80.000		289.58	06/04/20	384.13	94.55 W	0.00	490 of 698 - Sale ²⁵
89.000		322.16	06/04/20	473.62	151.46 W	0.00	491 of 698 - Sale ²⁵
89.000		322.16	06/04/20	473.62	151.46 W	0.00	492 of 698 - Sale ²⁵
89.000		322.16	06/04/20	413.10	90.94 W	0.00	493 of 698 - Sale ²⁵
89.000		322.16	06/04/20	397.10	74.94 W	0.00	494 of 698 - Sale ²⁵
91.000		329.40	06/04/20	427.85	98.45 W	0.00	495 of 698 - Sale ²⁵
92.000		333.02	06/04/20	448.19	115.17 W	0.00	496 of 698 - Sale ²⁵
92.000		333.02	06/04/20	441.75	108.73 W	0.00	497 of 698 - Sale ²⁵
93.000		336.63	06/04/20	414.97	78.34 W	0.00	498 of 698 - Sale ²⁵
94.000		340.26	06/04/20	441.02	100.76 W	0.00	499 of 698 - Sale ²⁵
96.000		347.49	06/04/20	428.35	80.86 W	0.00	500 of 698 - Sale ²⁵
100.000		361.98	06/04/20	487.17	125.19 W	0.00	501 of 698 - Sale ²⁵
106.000		383.70	06/04/20	498.37	114.67 W	0.00	502 of 698 - Sale ²⁵
176.000		637.08	06/04/20	827.51	190.43 W	0.00	503 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.71	1.15 W	0.00	504 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.71	1.15 W	0.00	505 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.42	2.30 W	0.00	506 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.42	2.30 W	0.00	507 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.42	2.30 W	0.00	508 of 698 - Sale ²⁵
4.000		14.24	06/04/20	18.85	4.61 W	0.00	509 of 698 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	4.000	14.24	06/04/20	18.85	4.61 W	0.00	510 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	37.77	9.29 W	0.00	511 of 698 - Sale ²⁵
	10.000	35.60	06/04/20	47.11	11.51 W	0.00	512 of 698 - Sale ²⁵
	20.000	71.20	06/04/20	94.22	23.02 W	0.00	513 of 698 - Sale ²⁵
	21.000	74.76	06/04/20	99.15	24.39 W	0.00	514 of 698 - Sale ²⁵
	21.000	74.76	06/04/20	99.16	24.40 W	0.00	515 of 698 - Sale ²⁵
	40.000	142.39	06/04/20	188.48	46.09 W	0.00	516 of 698 - Sale ²⁵
	91.000	323.94	06/04/20	429.69	105.75 W	0.00	517 of 698 - Sale ²⁵
	117.000	416.50	06/04/20	551.29	134.79 W	0.00	518 of 698 - Sale ²⁵
	176.000	626.52	06/04/20	831.07	204.55 W	0.00	519 of 698 - Sale ²⁵
	21.000	74.76	06/04/20	99.58	24.82 W	0.00	520 of 698 - Sale ²⁵
	50.000	177.99	06/04/20	237.09	59.10 W	0.00	521 of 698 - Sale ²⁵
	73.000	259.86	06/04/20	345.43	85.57 W	0.00	522 of 698 - Sale ²⁵
	106.000	377.34	06/04/20	502.63	125.29 W	0.00	523 of 698 - Sale ²⁵
	1.000	3.56	06/04/20	4.87	1.31 W	0.00	524 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.74	2.62 W	0.00	525 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.19	3.51 W	0.00	526 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.61	3.93 W	0.00	527 of 698 - Sale ²⁵
	7.000	24.92	06/04/20	34.24	9.32 W	0.00	528 of 698 - Sale ²⁵
	13.000	46.28	06/04/20	63.59	17.31 W	0.00	529 of 698 - Sale ²⁵
	21.000	74.76	06/04/20	99.37	24.61 W	0.00	530 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.67	3.99 W	0.00	531 of 698 - Sale ²⁵
	6.000	21.36	06/04/20	29.28	7.92 W	0.00	532 of 698 - Sale ²⁵
	20.000	71.19	06/04/20	97.84	26.65 W	0.00	533 of 698 - Sale ²⁵
	21.000	74.76	06/04/20	102.52	27.76 W	0.00	534 of 698 - Sale ²⁵
	50.000	177.99	06/04/20	244.09	66.10 W	0.00	535 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	54.14	14.98 W	0.00	536 of 698 - Sale ²⁵
	35.000	124.59	06/04/20	171.91	47.32 W	0.00	537 of 698 - Sale ²⁵
	39.000	138.83	06/04/20	191.96	53.13 W	0.00	538 of 698 - Sale ²⁵
	1.000	3.56	06/04/20	4.85	1.29 W	0.00	539 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.70	2.58 W	0.00	540 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	24.25	6.45 W	0.00	541 of 698 - Sale ²⁵
	6.000	21.36	06/04/20	28.69	7.33 W	0.00	542 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	38.81	10.33 W	0.00	543 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	8.000	28.48	06/04/20	38.81	10.33 W	0.00	544 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	38.81	10.33 W	0.00	545 of 698 - Sale ²⁵
	10.000	35.59	06/04/20	47.81	12.22 W	0.00	546 of 698 - Sale ²⁵
	12.000	42.72	06/04/20	57.38	14.66 W	0.00	547 of 698 - Sale ²⁵
	29.000	103.23	06/04/20	138.69	35.46 W	0.00	548 of 698 - Sale ²⁵
	39.000	138.83	06/04/20	189.23	50.40 W	0.00	549 of 698 - Sale ²⁵
	39.000	138.83	06/04/20	189.23	50.40 W	0.00	550 of 698 - Sale ²⁵
	41.000	145.95	06/04/20	196.05	50.10 W	0.00	551 of 698 - Sale ²⁵
	53.000	188.67	06/04/20	257.14	68.47 W	0.00	552 of 698 - Sale ²⁵
	53.000	188.66	06/04/20	257.15	68.49 W	0.00	553 of 698 - Sale ²⁵
	89.000	316.82	06/04/20	431.82	115.00 W	0.00	554 of 698 - Sale ²⁵
	92.000	327.50	06/04/20	446.37	118.87 W	0.00	555 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.56	2.44 W	0.00	556 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.56	2.44 W	0.00	557 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.35	3.67 W	0.00	558 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.34	3.66 W	0.00	559 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.34	3.66 W	0.00	560 of 698 - Sale ²⁵
	1.000	3.56	06/04/20	4.78	1.22 W	0.00	561 of 698 - Sale ²⁵
	14.000	49.84	06/04/20	66.94	17.10 W	0.00	562 of 698 - Sale ²⁵
	80.000	284.78	06/04/20	382.57	97.79 W	0.00	563 of 698 - Sale ²⁵
	92.000	327.50	06/04/20	439.93	112.43 W	0.00	564 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	23.91	6.11 W	0.00	565 of 698 - Sale ²⁵
	35.000	124.60	06/04/20	167.37	42.77 W	0.00	566 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.50	2.38 W	0.00	567 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	17.77	3.53 W	0.00	568 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	17.77	3.53 W	0.00	569 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	19.01	4.77 W	0.00	570 of 698 - Sale ²⁵
	7.000	24.92	06/04/20	31.09	6.17 W	0.00	571 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	35.53	7.05 W	0.00	572 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	35.53	7.05 W	0.00	573 of 698 - Sale ²⁵
	10.000	35.60	06/04/20	44.41	8.81 W	0.00	574 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	48.86	9.70 W	0.00	575 of 698 - Sale ²⁵
	12.000	42.72	06/04/20	53.30	10.58 W	0.00	576 of 698 - Sale ²⁵
	17.000	60.52	06/04/20	75.50	14.98 W	0.00	577 of 698 - Sale ²⁵

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	62.000	220.71	06/04/20	275.40	54.69 W	0.00	578 of 698 - Sale ²⁵
	89.000	316.82	06/04/20	395.34	78.52 W	0.00	579 of 698 - Sale ²⁵
	93.000	331.06	06/04/20	413.14	82.08 W	0.00	580 of 698 - Sale ²⁵
	96.000	341.74	06/04/20	426.46	84.72 W	0.00	581 of 698 - Sale ²⁵
	1.000	3.56	06/04/20	5.29	1.73 W	0.00	582 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.56	2.44 W	0.00	583 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	8.44	1.32 W	0.00	584 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	10.58	3.46 W	0.00	585 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.24	2.12 W	0.00	586 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.34	3.66 W	0.00	587 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	15.87	5.19 W	0.00	588 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	15.90	5.22 W	0.00	589 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	13.86	3.18 W	0.00	590 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	21.20	6.96 W	0.00	591 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	17.77	3.53 W	0.00	592 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	26.50	8.70 W	0.00	593 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	26.51	8.71 W	0.00	594 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	23.11	5.31 W	0.00	595 of 698 - Sale ²⁵
	9.000	32.04	06/04/20	43.03	10.99 W	0.00	596 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	58.32	19.16 W	0.00	597 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	58.31	19.15 W	0.00	598 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	58.32	19.16 W	0.00	599 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	58.31	19.15 W	0.00	600 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	48.86	9.70 W	0.00	601 of 698 - Sale ²⁵
	17.000	60.52	06/04/20	89.94	29.42 W	0.00	602 of 698 - Sale ²⁵
	17.000	60.52	06/04/20	75.51	14.99 W	0.00	603 of 698 - Sale ²⁵
	25.000	88.99	06/04/20	111.07	22.08 W	0.00	604 of 698 - Sale ²⁵
	33.000	117.47	06/04/20	174.98	57.51 W	0.00	605 of 698 - Sale ²⁵
	56.000	199.35	06/04/20	296.89	97.54 W	0.00	606 of 698 - Sale ²⁵
	60.000	213.59	06/04/20	266.53	52.94 W	0.00	607 of 698 - Sale ²⁵
	64.000	227.83	06/04/20	284.26	56.43 W	0.00	608 of 698 - Sale ²⁵
	72.000	256.31	06/04/20	381.00	124.69 W	0.00	609 of 698 - Sale ²⁵
	78.000	277.66	06/04/20	413.55	135.89 W	0.00	610 of 698 - Sale ²⁵
	45.000	160.19	06/04/20	215.18	54.99 W	0.00	611 of 698 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
89.000		316.82	06/04/20	471.86	155.04 W	0.00	612 of 698 - Sale ²⁵
89.000		316.82	06/04/20	471.86	155.04 W	0.00	613 of 698 - Sale ²⁵
89.000		316.82	06/04/20	411.34	94.52 W	0.00	614 of 698 - Sale ²⁵
100.000		355.98	06/04/20	460.20	104.22 W	0.00	615 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.60	1.04 W	0.00	616 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.60	1.04 W	0.00	617 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.20	2.08 W	0.00	618 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.20	2.08 W	0.00	619 of 698 - Sale ²⁵
3.000		10.68	06/04/20	13.84	3.16 W	0.00	620 of 698 - Sale ²⁵
4.000		14.24	06/04/20	18.41	4.17 W	0.00	621 of 698 - Sale ²⁵
4.000		14.24	06/04/20	18.41	4.17 W	0.00	622 of 698 - Sale ²⁵
10.000		35.60	06/04/20	46.01	10.41 W	0.00	623 of 698 - Sale ²⁵
13.000		46.28	06/04/20	59.83	13.55 W	0.00	624 of 698 - Sale ²⁵
20.000		71.20	06/04/20	92.02	20.82 W	0.00	625 of 698 - Sale ²⁵
40.000		142.39	06/04/20	184.09	41.70 W	0.00	626 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.76	1.20 W	0.00	627 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.52	2.40 W	0.00	628 of 698 - Sale ²⁵
3.000		10.68	06/04/20	13.86	3.18 W	0.00	629 of 698 - Sale ²⁵
3.000		10.68	06/04/20	14.28	3.60 W	0.00	630 of 698 - Sale ²⁵
6.000		21.36	06/04/20	28.69	7.33 W	0.00	631 of 698 - Sale ²⁵
8.000		28.48	06/04/20	36.89	8.41 W	0.00	632 of 698 - Sale ²⁵
21.000		74.76	06/04/20	96.84	22.08 W	0.00	633 of 698 - Sale ²⁵
21.000		74.76	06/04/20	96.85	22.09 W	0.00	634 of 698 - Sale ²⁵
21.000		74.76	06/04/20	97.27	22.51 W	0.00	635 of 698 - Sale ²⁵
21.000		74.76	06/04/20	97.06	22.30 W	0.00	636 of 698 - Sale ²⁵
50.000		177.99	06/04/20	231.60	53.61 W	0.00	637 of 698 - Sale ²⁵
73.000		259.86	06/04/20	337.42	77.56 W	0.00	638 of 698 - Sale ²⁵
88.000		313.26	06/04/20	405.86	92.60 W	0.00	639 of 698 - Sale ²⁵
106.000		377.34	06/04/20	490.99	113.65 W	0.00	640 of 698 - Sale ²⁵
176.000		626.52	06/04/20	811.75	185.23 W	0.00	641 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.78	1.22 W	0.00	642 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.74	1.18 W	0.00	643 of 698 - Sale ²⁵
1.000		3.56	06/04/20	4.67	1.11 W	0.00	644 of 698 - Sale ²⁵
2.000		7.12	06/04/20	9.48	2.36 W	0.00	645 of 698 - Sale ²⁵

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Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	2.000	7.12	06/04/20	9.34	2.22 W	0.00	646 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.34	2.22 W	0.00	647 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.34	3.66 W	0.00	648 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.02	3.34 W	0.00	649 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.01	3.33 W	0.00	650 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.01	3.33 W	0.00	651 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	23.70	5.90 W	0.00	652 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	23.36	5.56 W	0.00	653 of 698 - Sale ²⁵
	6.000	21.36	06/04/20	28.62	7.26 W	0.00	654 of 698 - Sale ²⁵
	6.000	21.36	06/04/20	28.03	6.67 W	0.00	655 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	37.93	9.45 W	0.00	656 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	37.93	9.45 W	0.00	657 of 698 - Sale ²⁵
	8.000	28.48	06/04/20	37.93	9.45 W	0.00	658 of 698 - Sale ²⁵
	10.000	35.60	06/04/20	46.72	11.12 W	0.00	659 of 698 - Sale ²⁵
	11.000	39.16	06/04/20	52.93	13.77 W	0.00	660 of 698 - Sale ²⁵
	12.000	42.72	06/04/20	56.06	13.34 W	0.00	661 of 698 - Sale ²⁵
	13.000	46.28	06/04/20	62.16	15.88 W	0.00	662 of 698 - Sale ²⁵
	14.000	49.84	06/04/20	65.40	15.56 W	0.00	663 of 698 - Sale ²⁵
	20.000	71.20	06/04/20	95.65	24.45 W	0.00	664 of 698 - Sale ²⁵
	21.000	74.76	06/04/20	100.21	25.45 W	0.00	665 of 698 - Sale ²⁵
	29.000	103.23	06/04/20	135.51	32.28 W	0.00	666 of 698 - Sale ²⁵
	35.000	124.59	06/04/20	168.07	43.48 W	0.00	667 of 698 - Sale ²⁵
	35.000	124.59	06/04/20	163.52	38.93 W	0.00	668 of 698 - Sale ²⁵
	39.000	138.83	06/04/20	187.68	48.85 W	0.00	669 of 698 - Sale ²⁵
	39.000	138.83	06/04/20	184.95	46.12 W	0.00	670 of 698 - Sale ²⁵
	39.000	138.83	06/04/20	184.95	46.12 W	0.00	671 of 698 - Sale ²⁵
	41.000	145.95	06/04/20	191.55	45.60 W	0.00	672 of 698 - Sale ²⁵
	50.000	177.99	06/04/20	238.60	60.61 W	0.00	673 of 698 - Sale ²⁵
	53.000	188.67	06/04/20	251.32	62.65 W	0.00	674 of 698 - Sale ²⁵
	53.000	188.67	06/04/20	251.34	62.67 W	0.00	675 of 698 - Sale ²⁵
	80.000	284.78	06/04/20	373.79	89.01 W	0.00	676 of 698 - Sale ²⁵
	89.000	316.82	06/04/20	422.05	105.23 W	0.00	677 of 698 - Sale ²⁵
	92.000	327.50	06/04/20	436.27	108.77 W	0.00	678 of 698 - Sale ²⁵
	92.000	327.50	06/04/20	429.83	102.33 W	0.00	679 of 698 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	1.000	3.56	06/04/20	5.18	1.62 W	0.00	680 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	9.34	2.22 W	0.00	681 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	8.22	1.10 W	0.00	682 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	10.36	3.24 W	0.00	683 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	14.01	3.33 W	0.00	684 of 698 - Sale ²⁵
	3.000	10.68	06/04/20	15.54	4.86 W	0.00	685 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	20.76	6.52 W	0.00	686 of 698 - Sale ²⁵
	4.000	14.24	06/04/20	20.77	6.53 W	0.00	687 of 698 - Sale ²⁵
	5.000	17.80	06/04/20	25.95	8.15 W	0.00	688 of 698 - Sale ²⁵
	9.000	32.04	06/04/20	42.04	10.00 W	0.00	689 of 698 - Sale ²⁵
	17.000	60.52	06/04/20	88.07	27.55 W	0.00	690 of 698 - Sale ²⁵
	45.000	160.19	06/04/20	210.24	50.05 W	0.00	691 of 698 - Sale ²⁵
	72.000	256.30	06/04/20	373.09	116.79 W	0.00	692 of 698 - Sale ²⁵
	50.000	177.99	06/04/20	259.59	81.60 W	0.00	693 of 698 - Sale ²⁵
	2.000	7.12	06/04/20	10.38	3.26 W	0.00	694 of 698 - Sale ²⁵
	7.000	24.92	06/04/20	36.35	11.43 W	0.00	695 of 698 - Sale ²⁵
	11.000	39.15	06/04/20	57.11	17.96 W	0.00	696 of 698 - Sale ²⁵
	33.000	117.47	06/04/20	171.36	53.89 W	0.00	697 of 698 - Sale ²⁵
	4.000	13.40	06/04/20	20.73	7.33 W	0.00	698 of 698 - Sale ²⁵
06/04/20	38,633.000	141,501.32	Various	192,508.11	51,006.79 W	0.00	Total of 698 transactions
	Security total:	219,748.84		267,940.20	51,006.79 W	2,815.43	

CLEANS PARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:

25 transactions for 05/21/20. Total proceeds and cost reported to the IRS.

66.000	158.39	05/21/20	173.63	...	-15.24	1 of 25 - Sale ²⁵
72.000	172.79	05/21/20	187.27	...	-14.48	2 of 25 - Sale ²⁵
228.000	547.16	05/21/20	561.02	...	-13.86	3 of 25 - Sale ²⁵
100.000	239.98	05/21/20	251.10	...	-11.12	4 of 25 - Sale ²⁵
100.000	239.98	05/21/20	251.10	...	-11.12	5 of 25 - Sale ²⁵
49.000	117.59	05/21/20	127.93	...	-10.34	6 of 25 - Sale ²⁵
80.000	191.99	05/21/20	200.87	...	-8.88	7 of 25 - Sale ²⁵
800.000	1,919.85	05/21/20	1,928.14	...	-8.29	8 of 25 - Sale ²⁵
40.000	95.99	05/21/20	103.25	...	-7.26	9 of 25 - Sale ²⁵
78.000	187.19	05/21/20	194.26	...	-7.07	10 of 25 - Sale ²⁵

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(continued)

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CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
	54.000	129.59	05/21/20	135.59	...	-6.00	11 of 25 - Sale ²⁵
	28.000	67.19	05/21/20	72.83	...	-5.64	12 of 25 - Sale ²⁵
	21.000	50.40	05/21/20	55.23	...	-4.83	13 of 25 - Sale ²⁵
	20.000	48.00	05/21/20	52.62	...	-4.62	14 of 25 - Sale ²⁵
	10.000	24.00	05/21/20	26.31	...	-2.31	15 of 25 - Sale ²⁵
	7.000	16.80	05/21/20	18.90	...	-2.10	16 of 25 - Sale ²⁵
	19.000	45.60	05/21/20	47.69	...	-2.09	17 of 25 - Sale ²⁵
	19.000	45.60	05/21/20	46.75	...	-1.15	18 of 25 - Sale ²⁵
	6.000	14.40	05/21/20	15.48	...	-1.08	19 of 25 - Sale ²⁵
	1.000	2.40	05/21/20	2.70	...	-0.30	20 of 25 - Sale ²⁵
	1.000	2.40	05/21/20	2.64	...	-0.24	21 of 25 - Sale ²⁵
	1.000	2.40	05/21/20	2.51	...	-0.11	22 of 25 - Sale ²⁵
	5.000	12.00	05/21/20	11.95	...	0.05	23 of 25 - Sale ²⁵
	403.000	967.13	05/21/20	963.17	...	3.96	24 of 25 - Sale ²⁵
	604.000	1,431.37	05/21/20	1,419.40	...	11.97	25 of 25 - Sale ²⁵
05/21/20	2,812.000	6,730.19	Various	6,852.34	...	-122.15	Total of 25 transactions
	73 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	2.85	05/21/20	2.92	0.07 W	0.00	1 of 73 - Sale ²⁵
	7.000	19.95	05/21/20	20.44	0.49 W	0.00	2 of 73 - Sale ²⁵
	142.000	404.67	05/21/20	414.64	9.97 W	0.00	3 of 73 - Sale ²⁵
	1.000	2.85	05/21/20	2.99	0.14 W	0.00	4 of 73 - Sale ²⁵
	7.000	19.95	05/21/20	20.93	0.98 W	0.00	5 of 73 - Sale ²⁵
	171.000	487.32	05/21/20	499.32	12.00 W	0.00	6 of 73 - Sale ²⁵
	354.000	1,008.83	05/21/20	1,033.68	24.85 W	0.00	7 of 73 - Sale ²⁵
	40.000	114.00	05/21/20	119.61	5.61 W	0.00	8 of 73 - Sale ²⁵
	1.000	2.85	05/21/20	3.06	0.21 W	0.00	9 of 73 - Sale ²⁵
	7.000	19.95	05/21/20	21.42	1.47 W	0.00	10 of 73 - Sale ²⁵
	21.000	59.85	05/21/20	62.79	2.94 W	0.00	11 of 73 - Sale ²⁵
	102.000	290.68	05/21/20	305.00	14.32 W	0.00	12 of 73 - Sale ²⁵
	149.000	424.61	05/21/20	445.54	20.93 W	0.00	13 of 73 - Sale ²⁵
	19.000	54.15	05/21/20	56.81	2.66 W	0.00	14 of 73 - Sale ²⁵
	1.000	2.85	05/21/20	3.00	0.15 W	0.00	15 of 73 - Sale ²⁵
	1.000	2.85	05/21/20	3.13	0.28 W	0.00	16 of 73 - Sale ²⁵
	1.000	2.85	05/21/20	3.07	0.22 W	0.00	17 of 73 - Sale ²⁵

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CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
	7.000	19.95	05/21/20	21.91	1.96 W	0.00	18 of 73 - Sale ²⁵
	21.000	59.85	05/21/20	64.26	4.41 W	0.00	19 of 73 - Sale ²⁵
	40.000	113.99	05/21/20	122.41	8.42 W	0.00	20 of 73 - Sale ²⁵
	102.000	290.68	05/21/20	312.16	21.48 W	0.00	21 of 73 - Sale ²⁵
	335.000	954.69	05/21/20	1,001.72	47.03 W	0.00	22 of 73 - Sale ²⁵
	325.000	926.18	05/21/20	949.00	22.82 W	0.00	23 of 73 - Sale ²⁵
	100.000	284.98	05/21/20	306.05	21.07 W	0.00	24 of 73 - Sale ²⁵
	49.000	139.64	05/21/20	150.44	10.80 W	0.00	25 of 73 - Sale ²⁵
	19.000	45.03	05/21/20	47.31	2.28 W	0.00	26 of 73 - Sale ²⁵
	1.000	2.37	05/21/20	2.49	0.12 W	0.00	27 of 73 - Sale ²⁵
	80.000	189.58	05/21/20	199.23	9.65 W	0.00	28 of 73 - Sale ²⁵
	100.000	236.98	05/21/20	249.04	12.06 W	0.00	29 of 73 - Sale ²⁵
	100.000	236.98	05/21/20	249.04	12.06 W	0.00	30 of 73 - Sale ²⁵
	40.000	94.79	05/21/20	102.42	7.63 W	0.00	31 of 73 - Sale ²⁵
	54.000	127.97	05/21/20	134.48	6.51 W	0.00	32 of 73 - Sale ²⁵
	26.000	61.62	05/21/20	66.58	4.96 W	0.00	33 of 73 - Sale ²⁵
	10.000	23.70	05/21/20	25.61	1.91 W	0.00	34 of 73 - Sale ²⁵
	1.000	2.37	05/21/20	2.63	0.26 W	0.00	35 of 73 - Sale ²⁵
	1.000	2.37	05/21/20	2.57	0.20 W	0.00	36 of 73 - Sale ²⁵
	7.000	16.59	05/21/20	18.41	1.82 W	0.00	37 of 73 - Sale ²⁵
	21.000	49.77	05/21/20	53.76	3.99 W	0.00	38 of 73 - Sale ²⁵
	66.000	156.41	05/21/20	168.99	12.58 W	0.00	39 of 73 - Sale ²⁵
	97.000	229.88	05/21/20	234.76	4.88 W	0.00	40 of 73 - Sale ²⁵
	72.000	170.63	05/21/20	184.37	13.74 W	0.00	41 of 73 - Sale ²⁵
	228.000	540.31	05/21/20	551.81	11.50 W	0.00	42 of 73 - Sale ²⁵
	1.000	2.37	05/21/20	2.47	0.10 W	0.00	43 of 73 - Sale ²⁵
	19.000	45.03	05/21/20	46.93	1.90 W	0.00	44 of 73 - Sale ²⁵
	49.000	116.12	05/21/20	125.95	9.83 W	0.00	45 of 73 - Sale ²⁵
	80.000	189.59	05/21/20	197.65	8.06 W	0.00	46 of 73 - Sale ²⁵
	28.000	66.36	05/21/20	71.70	5.34 W	0.00	47 of 73 - Sale ²⁵
	100.000	236.98	05/21/20	247.06	10.08 W	0.00	48 of 73 - Sale ²⁵
	100.000	236.98	05/21/20	247.06	10.08 W	0.00	49 of 73 - Sale ²⁵
	6.000	14.22	05/21/20	15.24	1.02 W	0.00	50 of 73 - Sale ²⁵
	40.000	94.79	05/21/20	101.63	6.84 W	0.00	51 of 73 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
	54.000	127.97	05/21/20	133.41	5.44 W	0.00	52 of 73 - Sale ²⁵
	1.000	2.40	05/21/20	2.68	0.28 W	0.00	53 of 73 - Sale ²⁵
	1.000	2.40	05/21/20	2.62	0.22 W	0.00	54 of 73 - Sale ²⁵
	1.000	2.40	05/21/20	2.52	0.12 W	0.00	55 of 73 - Sale ²⁵
	6.000	14.40	05/21/20	15.54	1.14 W	0.00	56 of 73 - Sale ²⁵
	7.000	16.80	05/21/20	18.76	1.96 W	0.00	57 of 73 - Sale ²⁵
	10.000	24.00	05/21/20	26.11	2.11 W	0.00	58 of 73 - Sale ²⁵
	19.000	45.60	05/21/20	47.88	2.28 W	0.00	59 of 73 - Sale ²⁵
	20.000	48.00	05/21/20	52.22	4.22 W	0.00	60 of 73 - Sale ²⁵
	21.000	50.40	05/21/20	54.81	4.41 W	0.00	61 of 73 - Sale ²⁵
	28.000	67.19	05/21/20	73.10	5.91 W	0.00	62 of 73 - Sale ²⁵
	40.000	95.99	05/21/20	103.64	7.65 W	0.00	63 of 73 - Sale ²⁵
	49.000	117.59	05/21/20	128.41	10.82 W	0.00	64 of 73 - Sale ²⁵
	54.000	129.59	05/21/20	136.12	6.53 W	0.00	65 of 73 - Sale ²⁵
	66.000	158.39	05/21/20	172.30	13.91 W	0.00	66 of 73 - Sale ²⁵
	72.000	172.79	05/21/20	187.98	15.19 W	0.00	67 of 73 - Sale ²⁵
	80.000	191.99	05/21/20	201.66	9.67 W	0.00	68 of 73 - Sale ²⁵
	97.000	232.78	05/21/20	239.62	6.84 W	0.00	69 of 73 - Sale ²⁵
	100.000	239.98	05/21/20	252.08	12.10 W	0.00	70 of 73 - Sale ²⁵
	100.000	239.98	05/21/20	252.08	12.10 W	0.00	71 of 73 - Sale ²⁵
	228.000	547.16	05/21/20	563.26	16.10 W	0.00	72 of 73 - Sale ²⁵
	800.000	1,919.86	05/21/20	1,936.00	16.14 W	0.00	73 of 73 - Sale ²⁵
05/21/20	5,204.000	13,348.47	Various	13,898.29	549.82 W	0.00	Total of 73 transactions
	Security total:	20,078.66		20,750.63	549.82 W	-122.15	
CONTINENTAL RESOURCES INC. / CUSIP: 212015101 / Symbol:							
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	100.000	781.97	03/30/20	735.84	...	46.13	1 of 3 - Sale ²⁵
	100.000	781.97	03/30/20	735.84	...	46.13	2 of 3 - Sale ²⁵
	100.000	781.97	03/30/20	735.84	...	46.13	3 of 3 - Sale ²⁵
03/30/20	300.000	2,345.91	Various	2,207.52	...	138.39	Total of 3 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
<i>35 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
156.000		327.57	06/10/20	702.11	...	-374.54	1 of 35 - Sale ²⁵
171.000		359.07	06/10/20	632.78	...	-273.71	2 of 35 - Sale ²⁵
78.000		163.79	06/10/20	351.05	...	-187.26	3 of 35 - Sale ²⁵
71.000		149.09	06/10/20	319.55	...	-170.46	4 of 35 - Sale ²⁵
100.000		209.98	06/10/20	370.05	...	-160.07	5 of 35 - Sale ²⁵
56.000		117.59	06/10/20	252.04	...	-134.45	6 of 35 - Sale ²⁵
122.000		256.18	06/10/20	353.83	...	-97.65	7 of 35 - Sale ²⁵
58.000		121.79	06/10/20	214.63	...	-92.84	8 of 35 - Sale ²⁵
112.000		235.18	06/10/20	324.83	...	-89.65	9 of 35 - Sale ²⁵
100.000		209.98	06/10/20	290.03	...	-80.05	10 of 35 - Sale ²⁵
100.000		209.98	06/10/20	290.03	...	-80.05	11 of 35 - Sale ²⁵
49.000		102.89	06/10/20	181.33	...	-78.44	12 of 35 - Sale ²⁵
88.000		184.79	06/10/20	255.23	...	-70.44	13 of 35 - Sale ²⁵
88.000		184.78	06/10/20	255.22	...	-70.44	14 of 35 - Sale ²⁵
88.000		184.79	06/10/20	255.22	...	-70.43	15 of 35 - Sale ²⁵
88.000		184.79	06/10/20	255.22	...	-70.43	16 of 35 - Sale ²⁵
29.000		60.90	06/10/20	130.51	...	-69.61	17 of 35 - Sale ²⁵
50.000		104.99	06/10/20	145.01	...	-40.02	18 of 35 - Sale ²⁵
19.000		39.90	06/10/20	70.30	...	-30.40	19 of 35 - Sale ²⁵
12.000		25.20	06/10/20	54.00	...	-28.80	20 of 35 - Sale ²⁵
10.000		21.00	06/10/20	45.00	...	-24.00	21 of 35 - Sale ²⁵
10.000		21.00	06/10/20	45.00	...	-24.00	22 of 35 - Sale ²⁵
10.000		21.00	06/10/20	45.00	...	-24.00	23 of 35 - Sale ²⁵
9.000		18.90	06/10/20	40.50	...	-21.60	24 of 35 - Sale ²⁵
12.000		25.20	06/10/20	34.80	...	-9.60	25 of 35 - Sale ²⁵
12.000		25.20	06/10/20	34.80	...	-9.60	26 of 35 - Sale ²⁵
12.000		25.20	06/10/20	34.80	...	-9.60	27 of 35 - Sale ²⁵
12.000		25.20	06/10/20	34.80	...	-9.60	28 of 35 - Sale ²⁵
1.000		2.10	06/10/20	3.70	...	-1.60	29 of 35 - Sale ²⁵
798.000		1,675.66	06/10/20	1,675.80	...	-0.14	30 of 35 - Sale ²⁵
770.000		1,616.87	06/10/20	1,617.00	...	-0.13	31 of 35 - Sale ²⁵
500.000		1,049.91	06/10/20	1,050.00	...	-0.09	32 of 35 - Sale ²⁵
477.000		1,001.62	06/10/20	1,001.70	...	-0.08	33 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol: (cont'd)							
	7.000	14.70	06/10/20	14.70	...	0.00	34 of 35 - Sale ²⁵
	2.000	4.20	06/10/20	4.20	...	0.00	35 of 35 - Sale ²⁵
06/10/20	4,277.000	8,980.99	Various	11,384.77	...	-2,403.78	Total of 35 transactions
	56 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	41.000	96.35	06/10/20	129.15	32.80 W	0.00	1 of 56 - Sale ²⁵
	300.000	704.94	06/10/20	945.00	240.06 W	0.00	2 of 56 - Sale ²⁵
	100.000	234.99	06/10/20	315.00	80.01 W	0.00	3 of 56 - Sale ²⁵
	59.000	138.64	06/10/20	185.85	47.21 W	0.00	4 of 56 - Sale ²⁵
	41.000	96.34	06/10/20	161.95	65.61 W	0.00	5 of 56 - Sale ²⁵
	56.000	131.59	06/10/20	221.21	89.62 W	0.00	6 of 56 - Sale ²⁵
	100.000	234.98	06/10/20	395.01	160.03 W	0.00	7 of 56 - Sale ²⁵
	244.000	573.36	06/10/20	963.85	390.49 W	0.00	8 of 56 - Sale ²⁵
	351.000	824.79	06/10/20	1,105.65	280.86 W	0.00	9 of 56 - Sale ²⁵
	1.000	2.35	06/10/20	3.95	1.60 W	0.00	10 of 56 - Sale ²⁵
	41.000	96.35	06/10/20	194.76	98.41 W	0.00	11 of 56 - Sale ²⁵
	56.000	131.59	06/10/20	266.02	134.43 W	0.00	12 of 56 - Sale ²⁵
	58.000	136.29	06/10/20	229.11	92.82 W	0.00	13 of 56 - Sale ²⁵
	50.000	117.50	06/10/20	157.50	40.00 W	0.00	14 of 56 - Sale ²⁵
	300.000	704.94	06/10/20	945.00	240.06 W	0.00	15 of 56 - Sale ²⁵
	100.000	234.99	06/10/20	315.00	80.01 W	0.00	16 of 56 - Sale ²⁵
	100.000	234.99	06/10/20	315.00	80.01 W	0.00	17 of 56 - Sale ²⁵
	100.000	234.99	06/10/20	315.00	80.01 W	0.00	18 of 56 - Sale ²⁵
	100.000	234.99	06/10/20	315.00	80.01 W	0.00	19 of 56 - Sale ²⁵
	122.000	286.69	06/10/20	384.30	97.61 W	0.00	20 of 56 - Sale ²⁵
	78.000	183.29	06/10/20	370.53	187.24 W	0.00	21 of 56 - Sale ²⁵
	9.000	21.15	06/10/20	42.75	21.60 W	0.00	22 of 56 - Sale ²⁵
	166.000	390.08	06/10/20	788.56	398.48 W	0.00	23 of 56 - Sale ²⁵
	10.000	23.50	06/10/20	47.50	24.00 W	0.00	24 of 56 - Sale ²⁵
	81.000	190.34	06/10/20	384.78	194.44 W	0.00	25 of 56 - Sale ²⁵
	19.000	44.65	06/10/20	75.05	30.40 W	0.00	26 of 56 - Sale ²⁵
	320.000	751.94	06/10/20	1,264.06	512.12 W	0.00	27 of 56 - Sale ²⁵
	1.000	2.10	06/10/20	3.70	1.60 W	0.00	28 of 56 - Sale ²⁵
	12.000	25.20	06/10/20	34.80	9.60 W	0.00	29 of 56 - Sale ²⁵
	29.000	60.90	06/10/20	130.51	69.61 W	0.00	30 of 56 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

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CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol: (cont'd)							
	58.000	121.79	06/10/20	214.62	92.83 W	0.00	31 of 56 - Sale ²⁵
	12.000	25.20	06/10/20	54.00	28.80 W	0.00	32 of 56 - Sale ²⁵
	50.000	104.99	06/10/20	145.00	40.01 W	0.00	33 of 56 - Sale ²⁵
	56.000	117.59	06/10/20	252.03	134.44 W	0.00	34 of 56 - Sale ²⁵
	112.000	235.19	06/10/20	324.82	89.63 W	0.00	35 of 56 - Sale ²⁵
	100.000	209.99	06/10/20	290.02	80.03 W	0.00	36 of 56 - Sale ²⁵
	12.000	25.20	06/10/20	34.80	9.60 W	0.00	37 of 56 - Sale ²⁵
	88.000	184.79	06/10/20	255.22	70.43 W	0.00	38 of 56 - Sale ²⁵
	88.000	184.79	06/10/20	255.21	70.42 W	0.00	39 of 56 - Sale ²⁵
	12.000	25.20	06/10/20	34.80	9.60 W	0.00	40 of 56 - Sale ²⁵
	88.000	184.79	06/10/20	255.21	70.42 W	0.00	41 of 56 - Sale ²⁵
	12.000	25.20	06/10/20	34.80	9.60 W	0.00	42 of 56 - Sale ²⁵
	78.000	163.79	06/10/20	351.04	187.25 W	0.00	43 of 56 - Sale ²⁵
	88.000	184.79	06/10/20	255.21	70.42 W	0.00	44 of 56 - Sale ²⁵
	100.000	209.98	06/10/20	290.01	80.03 W	0.00	45 of 56 - Sale ²⁵
	122.000	256.18	06/10/20	353.81	97.63 W	0.00	46 of 56 - Sale ²⁵
	156.000	327.57	06/10/20	702.08	374.51 W	0.00	47 of 56 - Sale ²⁵
	9.000	18.90	06/10/20	40.50	21.60 W	0.00	48 of 56 - Sale ²⁵
	10.000	21.00	06/10/20	45.00	24.00 W	0.00	49 of 56 - Sale ²⁵
	71.000	149.09	06/10/20	319.54	170.45 W	0.00	50 of 56 - Sale ²⁵
	10.000	21.00	06/10/20	45.00	24.00 W	0.00	51 of 56 - Sale ²⁵
	19.000	39.90	06/10/20	70.30	30.40 W	0.00	52 of 56 - Sale ²⁵
	10.000	21.00	06/10/20	45.00	24.00 W	0.00	53 of 56 - Sale ²⁵
	171.000	359.08	06/10/20	632.76	273.68 W	0.00	54 of 56 - Sale ²⁵
	100.000	209.99	06/10/20	370.04	160.05 W	0.00	55 of 56 - Sale ²⁵
	49.000	102.89	06/10/20	181.32	78.43 W	0.00	56 of 56 - Sale ²⁵
06/10/20	4,726.000	10,674.68	Various	16,857.69	6,183.01 W	0.00	Total of 56 transactions
	Security total:	19,655.67		28,242.46	6,183.01 W	-2,403.78	

DIFFUSION PHARMACEUTICALS INC. COMMON STOCK / CUSIP: 253748305 / Symbol:

7 transactions for 05/26/20. Total proceeds and cost reported to the IRS.

350.000	489.95	05/26/20	507.55	...	-17.60	1 of 7 - Sale ²⁵
300.000	419.95	05/26/20	435.05	...	-15.10	2 of 7 - Sale ²⁵
253.000	354.16	05/26/20	361.87	...	-7.71	3 of 7 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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DIFFUSION PHARMACEUTICALS INC. COMMON STOCK / CUSIP: 253748305 / Symbol: (cont'd)							
	253.000	354.16	05/26/20	361.87	...	-7.71	4 of 7 - Sale ²⁵
	250.000	349.96	05/26/20	357.58	...	-7.62	5 of 7 - Sale ²⁵
	150.000	209.97	05/26/20	214.54	...	-4.57	6 of 7 - Sale ²⁵
	147.000	205.78	05/26/20	210.25	...	-4.47	7 of 7 - Sale ²⁵
05/26/20	1,703.000	2,383.93	Various	2,448.71	...	-64.78	Total of 7 transactions
	6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	500.000	699.92	05/26/20	725.08	12.73 W	-12.43	1 of 6 - Sale ²⁵
	1,300.000	1,819.80	05/26/20	1,911.00	91.20 W	0.00	2 of 6 - Sale ²⁵
	650.000	909.90	05/26/20	955.50	45.60 W	0.00	3 of 6 - Sale ²⁵
	400.000	559.94	05/26/20	580.06	20.12 W	0.00	4 of 6 - Sale ²⁵
	250.000	349.96	05/26/20	362.54	12.58 W	0.00	5 of 6 - Sale ²⁵
	150.000	209.98	05/26/20	217.52	7.54 W	0.00	6 of 6 - Sale ²⁵
05/26/20	3,250.000	4,549.50	Various	4,751.70	189.77 W	-12.43	Total of 6 transactions
	Security total:	6,933.43		7,200.41	189.77 W	-77.21	
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
	11 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1,096.000	3,868.66	06/08/20	3,923.68	...	-55.02	1 of 11 - Sale ²⁵
	75.000	264.73	06/08/20	272.27	...	-7.54	2 of 11 - Sale ²⁵
	150.000	529.47	06/08/20	537.00	...	-7.53	3 of 11 - Sale ²⁵
	74.000	261.21	06/08/20	268.63	...	-7.42	4 of 11 - Sale ²⁵
	131.000	462.40	06/08/20	468.98	...	-6.58	5 of 11 - Sale ²⁵
	100.000	352.98	06/08/20	358.00	...	-5.02	6 of 11 - Sale ²⁵
	100.000	352.98	06/08/20	358.00	...	-5.02	7 of 11 - Sale ²⁵
	69.000	243.56	06/08/20	247.02	...	-3.46	8 of 11 - Sale ²⁵
	25.000	88.24	06/08/20	90.76	...	-2.52	9 of 11 - Sale ²⁵
	9.000	31.77	06/08/20	32.22	...	-0.45	10 of 11 - Sale ²⁵
	1.000	3.53	06/08/20	3.58	...	-0.05	11 of 11 - Sale ²⁵
06/08/20	1,830.000	6,459.53	Various	6,560.14	...	-100.61	Total of 11 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	149.000	525.94	06/08/20	533.42	7.48 W	0.00	1 of 2 - Sale ²⁵
	25.000	88.24	06/08/20	89.50	1.26 W	0.00	2 of 2 - Sale ²⁵
06/08/20	174.000	614.18	Various	622.92	8.74 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
Security total:		7,073.71		7,183.06	8.74 W	-100.61	
DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
<i>58 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
764.000		3,437.83	06/10/20	4,431.20	...	-993.37	1 of 58 - Sale ²⁵ C
617.000		2,776.36	06/10/20	3,640.30	...	-863.94	2 of 58 - Sale ²⁵ C
99.000		445.48	06/10/20	1,123.73	...	-678.25	3 of 58 - Sale ²⁵ C
99.000		445.48	06/10/20	1,123.71	...	-678.23	4 of 58 - Sale ²⁵ C
500.000		2,249.88	06/10/20	2,900.00	...	-650.12	5 of 58 - Sale ²⁵ C
236.000		1,061.95	06/10/20	1,696.90	...	-634.95	6 of 58 - Sale ²⁵ C
101.000		454.48	06/10/20	1,006.03	...	-551.55	7 of 58 - Sale ²⁵ C
99.000		445.48	06/10/20	986.10	...	-540.62	8 of 58 - Sale ²⁵ C
44.000		197.99	06/10/20	499.00	...	-301.01	9 of 58 - Sale ²⁵ C
24.000		107.99	06/10/20	272.07	...	-164.08	10 of 58 - Sale ²⁵ C
20.000		90.00	06/10/20	226.70	...	-136.70	11 of 58 - Sale ²⁵ C
55.000		248.86	06/10/20	324.50	...	-75.64	12 of 58 - Sale ²⁵ C
6.000		27.00	06/10/20	68.60	...	-41.60	13 of 58 - Sale ²⁵ C
5.000		22.50	06/10/20	56.68	...	-34.18	14 of 58 - Sale ²⁵ C
1.000		4.50	06/10/20	11.35	...	-6.85	15 of 58 - Sale ²⁵ C
1.000		4.50	06/10/20	11.35	...	-6.85	16 of 58 - Sale ²⁵ C
1.000		4.50	06/10/20	9.96	...	-5.46	17 of 58 - Sale ²⁵ C
4.000		18.00	06/10/20	23.20	...	-5.20	18 of 58 - Sale ²⁵ C
1.000		5.66	06/10/20	4.90	...	0.76	19 of 58 - Sale ²⁵ C
10.000		55.70	06/10/20	49.00	...	6.70	20 of 58 - Sale ²⁵ C
11.000		61.38	06/10/20	53.90	...	7.48	21 of 58 - Sale ²⁵ C
47.000		276.35	06/10/20	267.90	...	8.45	22 of 58 - Sale ²⁵ C
53.000		311.10	06/10/20	302.10	...	9.00	23 of 58 - Sale ²⁵ C
53.000		311.62	06/10/20	302.10	...	9.52	24 of 58 - Sale ²⁵ C
20.000		111.59	06/10/20	98.00	...	13.59	25 of 58 - Sale ²⁵ C
25.000		139.49	06/10/20	122.25	...	17.24	26 of 58 - Sale ²⁵ C
100.000		587.97	06/10/20	570.00	...	17.97	27 of 58 - Sale ²⁵ C
33.000		184.13	06/10/20	161.37	...	22.76	28 of 58 - Sale ²⁵ C
38.000		211.65	06/10/20	186.20	...	25.45	29 of 58 - Sale ²⁵ C
40.000		226.44	06/10/20	196.00	...	30.44	30 of 58 - Sale ²⁵ C

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 801799297
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol: (cont'd)								
	50.000	278.49	06/10/20	245.00	...	33.49	31 of 58 - Sale ²⁵	
	200.000	1,175.95	06/10/20	1,140.00	...	35.95	32 of 58 - Sale ²⁵	
	200.000	1,175.95	06/10/20	1,140.00	...	35.95	33 of 58 - Sale ²⁵	
	247.000	1,449.83	06/10/20	1,407.90	...	41.93	34 of 58 - Sale ²⁵	
	250.000	1,469.93	06/10/20	1,425.00	...	44.93	35 of 58 - Sale ²⁵	
	49.000	273.41	06/10/20	222.95	...	50.46	36 of 58 - Sale ²⁵	
	77.000	429.64	06/10/20	377.30	...	52.34	37 of 58 - Sale ²⁵	
	51.000	288.70	06/10/20	232.05	...	56.65	38 of 58 - Sale ²⁵	
	92.000	513.34	06/10/20	450.80	...	62.54	39 of 58 - Sale ²⁵	
	100.000	556.97	06/10/20	490.00	...	66.97	40 of 58 - Sale ²⁵	
	400.000	2,347.90	06/10/20	2,280.00	...	67.90	41 of 58 - Sale ²⁵	
	100.000	557.98	06/10/20	489.00	...	68.98	42 of 58 - Sale ²⁵	
	100.000	566.08	06/10/20	490.00	...	76.08	43 of 58 - Sale ²⁵	
	500.000	2,939.87	06/10/20	2,850.00	...	89.87	44 of 58 - Sale ²⁵	
	100.000	558.97	06/10/20	455.00	...	103.97	45 of 58 - Sale ²⁵	
	100.000	558.97	06/10/20	455.00	...	103.97	46 of 58 - Sale ²⁵	
	100.000	558.97	06/10/20	455.00	...	103.97	47 of 58 - Sale ²⁵	
	100.000	558.97	06/10/20	455.00	...	103.97	48 of 58 - Sale ²⁵	
	100.000	566.08	06/10/20	455.00	...	111.08	49 of 58 - Sale ²⁵	
	158.000	894.41	06/10/20	774.20	...	120.21	50 of 58 - Sale ²⁵	
	189.000	1,054.57	06/10/20	926.10	...	128.47	51 of 58 - Sale ²⁵	
	126.000	703.05	06/10/20	573.30	...	129.75	52 of 58 - Sale ²⁵	
	128.000	715.48	06/10/20	582.40	...	133.08	53 of 58 - Sale ²⁵	
	200.000	1,115.95	06/10/20	980.00	...	135.95	54 of 58 - Sale ²⁵	
	953.000	5,593.87	06/10/20	5,432.10	...	161.77	55 of 58 - Sale ²⁵	
	150.000	849.13	06/10/20	682.50	...	166.63	56 of 58 - Sale ²⁵	
	300.000	1,673.92	06/10/20	1,470.00	...	203.92	57 of 58 - Sale ²⁵	
	460.000	2,562.08	06/10/20	2,254.00	...	308.08	58 of 58 - Sale ²⁵	
06/10/20	8,687.000	46,514.32	Various	49,914.70	...	-3,400.38	Total of 58 transactions	
		38 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	437.000	1,970.76	06/10/20	2,578.30	328.10 W	-279.44	1 of 38 - Sale ²⁵	C
	94.000	425.33	06/10/20	554.60	6.88 W	-122.39	2 of 38 - Sale ²⁵	C
	5.000	22.60	06/10/20	36.38	13.78 W	0.00	3 of 38 - Sale ²⁵	C
	44.000	198.87	06/10/20	320.33	121.46 W	0.00	4 of 38 - Sale ²⁵	C

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol: (cont'd)								
	1.000	4.51	06/10/20	7.28	2.77 W	0.00	5 of 38 - Sale ²⁵	C
	100.000	450.99	06/10/20	728.02	277.03 W	0.00	6 of 38 - Sale ²⁵	C
	101.000	455.49	06/10/20	735.31	279.82 W	0.00	7 of 38 - Sale ²⁵	C
	99.000	446.47	06/10/20	720.74	274.27 W	0.00	8 of 38 - Sale ²⁵	C
	1.000	4.51	06/10/20	7.28	2.77 W	0.00	9 of 38 - Sale ²⁵	C
	5.000	22.55	06/10/20	50.23	27.68 W	0.00	10 of 38 - Sale ²⁵	C
	6.000	34.81	06/10/20	35.40	0.59 W	0.00	11 of 38 - Sale ²⁵	
	44.000	199.09	06/10/20	259.60	60.51 W	0.00	12 of 38 - Sale ²⁵	
	6.000	27.15	06/10/20	35.99	8.84 W	0.00	13 of 38 - Sale ²⁵	
	445.000	2,011.30	06/10/20	2,625.50	614.20 W	0.00	14 of 38 - Sale ²⁵	C
	24.000	108.47	06/10/20	174.61	66.14 W	0.00	15 of 38 - Sale ²⁵	
	6.000	27.12	06/10/20	44.24	17.12 W	0.00	16 of 38 - Sale ²⁵	C
	20.000	90.40	06/10/20	145.50	55.10 W	0.00	17 of 38 - Sale ²⁵	C
	99.000	446.48	06/10/20	720.74	274.26 W	0.00	18 of 38 - Sale ²⁵	C
	1.000	4.51	06/10/20	8.67	4.16 W	0.00	19 of 38 - Sale ²⁵	C
	5.000	22.55	06/10/20	43.28	20.73 W	0.00	20 of 38 - Sale ²⁵	C
	6.000	27.06	06/10/20	52.52	25.46 W	0.00	21 of 38 - Sale ²⁵	C
	20.000	90.20	06/10/20	173.10	82.90 W	0.00	22 of 38 - Sale ²⁵	C
	24.000	108.24	06/10/20	207.74	99.50 W	0.00	23 of 38 - Sale ²⁵	C
	44.000	198.43	06/10/20	381.06	182.63 W	0.00	24 of 38 - Sale ²⁵	C
	99.000	446.46	06/10/20	858.36	411.90 W	0.00	25 of 38 - Sale ²⁵	C
	1.000	4.51	06/10/20	8.67	4.16 W	0.00	26 of 38 - Sale ²⁵	
	99.000	446.48	06/10/20	858.36	411.88 W	0.00	27 of 38 - Sale ²⁵	
	1.000	4.51	06/10/20	8.67	4.16 W	0.00	28 of 38 - Sale ²⁵	
	99.000	446.47	06/10/20	858.37	411.90 W	0.00	29 of 38 - Sale ²⁵	
	101.000	455.49	06/10/20	875.72	420.23 W	0.00	30 of 38 - Sale ²⁵	
	1.000	4.51	06/10/20	10.06	5.55 W	0.00	31 of 38 - Sale ²⁵	C
	1.000	4.51	06/10/20	10.06	5.55 W	0.00	32 of 38 - Sale ²⁵	
	6.000	27.06	06/10/20	60.86	33.80 W	0.00	33 of 38 - Sale ²⁵	C
	20.000	90.20	06/10/20	200.90	110.70 W	0.00	34 of 38 - Sale ²⁵	C
	24.000	108.23	06/10/20	241.10	132.87 W	0.00	35 of 38 - Sale ²⁵	C
	44.000	198.43	06/10/20	442.23	243.80 W	0.00	36 of 38 - Sale ²⁵	C
	99.000	446.47	06/10/20	996.00	549.53 W	0.00	37 of 38 - Sale ²⁵	C

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol: (cont'd)							
	99.000	446.47	06/10/20	995.98	549.51 W	0.00	38 of 38 - Sale ²⁵
06/10/20	2,331.000	10,527.69	Various	17,071.76	6,142.24 W	-401.83	Total of 38 transactions
	Security total:	57,042.01		66,986.46	6,142.24 W	-3,802.21	
EDWARDS LIFESCIENCES CORP / CUSIP: 28176E108 / Symbol:							
06/01/20	78.000	5,765.39	06/01/20	5,959.20	...	-193.81	Sale ²⁵
FANGDD NETWORK GROUP LTD. AMER ICAN DEPOSITARY SHARES / CUSIP: 30712L109 / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	101.000	1,615.95	06/10/20	2,170.14	...	-554.19	1 of 5 - Sale ²⁵
	100.000	1,599.96	06/10/20	2,137.00	...	-537.04	2 of 5 - Sale ²⁵
	100.000	1,599.95	06/10/20	2,129.00	...	-529.05	3 of 5 - Sale ²⁵
	100.000	1,599.95	06/10/20	2,127.00	...	-527.05	4 of 5 - Sale ²⁵
	100.000	1,599.95	06/10/20	2,126.60	...	-526.65	5 of 5 - Sale ²⁵
06/10/20	501.000	8,015.76	Various	10,689.74	...	-2,673.98	Total of 5 transactions
FIREEYE, INC. COMMON STOCK / CUSIP: 31816Q101 / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.027	0.59	12/18/20	0.51	...	0.08	1 of 2 - Sale ²⁵
	18.785	411.29	12/18/20	356.49	...	54.80	2 of 2 - Sale ²⁵
12/22/20	18.811	411.88	Various	357.00	...	54.88	Total of 2 transactions
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
12/14/20	501.000	866.65	12/11/20	1,215.93	...	-349.28	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	21.000	167.43	03/25/20	156.45	...	10.98	1 of 2 - Sale ²⁵
	99.000	789.27	03/25/20	737.55	...	51.72	2 of 2 - Sale ²⁵
03/26/20	120.000	956.70	Various	894.00	...	62.70	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
38 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	13.000	75.40	06/03/20	75.14	...	0.26	1 of 38 - Sale ²⁵
	13.000	87.75	06/03/20	86.84	...	0.91	2 of 38 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	100.000	579.98	06/03/20	579.00	...	0.98	3 of 38 - Sale ²⁵
	4.000	28.20	06/03/20	26.81	...	1.39	4 of 38 - Sale ²⁵
	200.000	1,159.95	06/03/20	1,158.00	...	1.95	5 of 38 - Sale ²⁵
	11.000	77.55	06/03/20	74.05	...	3.50	6 of 38 - Sale ²⁵
	11.000	77.54	06/03/20	73.83	...	3.71	7 of 38 - Sale ²⁵
	22.000	155.09	06/03/20	150.31	...	4.78	8 of 38 - Sale ²⁵
	16.000	112.79	06/03/20	107.72	...	5.07	9 of 38 - Sale ²⁵
	89.000	600.72	06/03/20	594.52	...	6.20	10 of 38 - Sale ²⁵
	35.000	246.74	06/03/20	239.82	...	6.92	11 of 38 - Sale ²⁵
	100.000	674.97	06/03/20	668.00	...	6.97	12 of 38 - Sale ²⁵
	100.000	674.97	06/03/20	668.00	...	6.97	13 of 38 - Sale ²⁵
	100.000	674.97	06/03/20	668.00	...	6.97	14 of 38 - Sale ²⁵
	100.000	674.97	06/03/20	668.00	...	6.97	15 of 38 - Sale ²⁵
	21.000	148.04	06/03/20	140.97	...	7.07	16 of 38 - Sale ²⁵
	38.000	267.89	06/03/20	255.82	...	12.07	17 of 38 - Sale ²⁵
	36.000	253.79	06/03/20	241.26	...	12.53	18 of 38 - Sale ²⁵
	60.000	422.98	06/03/20	409.92	...	13.06	19 of 38 - Sale ²⁵
	200.000	1,349.94	06/03/20	1,336.00	...	13.94	20 of 38 - Sale ²⁵
	57.000	401.83	06/03/20	382.58	...	19.25	21 of 38 - Sale ²⁵
	2,100.000	14,300.45	06/03/20	14,280.00	...	20.45	22 of 38 - Sale ²⁵
	166.000	1,142.03	06/03/20	1,120.50	...	21.53	23 of 38 - Sale ²⁵
	68.000	479.38	06/03/20	456.40	...	22.98	24 of 38 - Sale ²⁵
	100.000	704.97	06/03/20	670.00	...	34.97	25 of 38 - Sale ²⁵
	100.000	704.97	06/03/20	670.00	...	34.97	26 of 38 - Sale ²⁵
	100.000	704.97	06/03/20	670.00	...	34.97	27 of 38 - Sale ²⁵
	100.000	704.97	06/03/20	670.00	...	34.97	28 of 38 - Sale ²⁵
	114.000	803.67	06/03/20	763.80	...	39.87	29 of 38 - Sale ²⁵
	988.000	6,668.71	06/03/20	6,599.84	...	68.87	30 of 38 - Sale ²⁵
	197.000	1,388.79	06/03/20	1,319.90	...	68.89	31 of 38 - Sale ²⁵
	200.000	1,409.94	06/03/20	1,340.00	...	69.94	32 of 38 - Sale ²⁵
	200.000	1,409.94	06/03/20	1,340.00	...	69.94	33 of 38 - Sale ²⁵
	200.000	1,409.94	06/03/20	1,340.00	...	69.94	34 of 38 - Sale ²⁵
	1,043.000	7,175.57	06/03/20	7,040.25	...	135.32	35 of 38 - Sale ²⁵
	500.000	3,519.86	06/03/20	3,350.00	...	169.86	36 of 38 - Sale ²⁵

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Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	500.000	3,524.86	06/03/20	3,350.00	...	174.86	37 of 38 - Sale ²⁵
	1,914.000	12,249.10	06/03/20	12,058.20	...	190.90	38 of 38 - Sale ²⁵
06/03/20	9,916.000	67,048.18	Various	65,643.48	...	1,404.70	Total of 38 transactions
	464 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	199.000	1,154.15	06/03/20	1,154.20	0.05 W	0.00	1 of 464 - Sale ²⁵
	100.000	579.97	06/03/20	580.00	0.03 W	0.00	2 of 464 - Sale ²⁵
	200.000	1,159.95	06/03/20	1,160.00	0.05 W	0.00	3 of 464 - Sale ²⁵
	200.000	1,159.95	06/03/20	1,160.00	0.05 W	0.00	4 of 464 - Sale ²⁵
	200.000	1,159.95	06/03/20	1,160.00	0.05 W	0.00	5 of 464 - Sale ²⁵
	200.000	1,159.95	06/03/20	1,160.00	0.05 W	0.00	6 of 464 - Sale ²⁵
	200.000	1,159.95	06/03/20	1,160.00	0.05 W	0.00	7 of 464 - Sale ²⁵
	392.000	2,273.50	06/03/20	2,273.60	0.10 W	0.00	8 of 464 - Sale ²⁵
	95.000	626.97	06/03/20	712.53	85.56 W	0.00	9 of 464 - Sale ²⁵
	199.000	1,313.35	06/03/20	1,492.55	179.20 W	0.00	10 of 464 - Sale ²⁵
	5.000	32.90	06/03/20	37.50	4.60 W	0.00	11 of 464 - Sale ²⁵
	195.000	1,283.05	06/03/20	1,462.55	179.50 W	0.00	12 of 464 - Sale ²⁵
	5.000	32.90	06/03/20	37.50	4.60 W	0.00	13 of 464 - Sale ²⁵
	95.000	625.07	06/03/20	712.52	87.45 W	0.00	14 of 464 - Sale ²⁵
	100.000	657.97	06/03/20	750.03	92.06 W	0.00	15 of 464 - Sale ²⁵
	5.000	32.90	06/03/20	37.50	4.60 W	0.00	16 of 464 - Sale ²⁵
	95.000	625.07	06/03/20	712.52	87.45 W	0.00	17 of 464 - Sale ²⁵
	100.000	656.97	06/03/20	750.03	93.06 W	0.00	18 of 464 - Sale ²⁵
	95.000	624.12	06/03/20	712.53	88.41 W	0.00	19 of 464 - Sale ²⁵
	5.000	32.85	06/03/20	37.50	4.65 W	0.00	20 of 464 - Sale ²⁵
	54.000	354.22	06/03/20	405.01	50.79 W	0.00	21 of 464 - Sale ²⁵
	46.000	301.75	06/03/20	345.01	43.26 W	0.00	22 of 464 - Sale ²⁵
	5.000	32.80	06/03/20	37.50	4.70 W	0.00	23 of 464 - Sale ²⁵
	95.000	623.17	06/03/20	712.52	89.35 W	0.00	24 of 464 - Sale ²⁵
	100.000	657.97	06/03/20	750.03	92.06 W	0.00	25 of 464 - Sale ²⁵
	5.000	32.90	06/03/20	37.50	4.60 W	0.00	26 of 464 - Sale ²⁵
	95.000	625.07	06/03/20	712.52	87.45 W	0.00	27 of 464 - Sale ²⁵
	100.000	655.97	06/03/20	750.03	94.06 W	0.00	28 of 464 - Sale ²⁵
	45.000	296.54	06/03/20	378.02	81.48 W	0.00	29 of 464 - Sale ²⁵
	197.000	1,298.18	06/03/20	1,477.55	179.37 W	0.00	30 of 464 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
46.000		303.13	06/03/20	386.43	83.30 W	0.00	31 of 464 - Sale ²⁵
154.000		1,014.82	06/03/20	1,293.68	278.86 W	0.00	32 of 464 - Sale ²⁵
5.000		32.95	06/03/20	42.10	9.15 W	0.00	33 of 464 - Sale ²⁵
5.000		32.95	06/03/20	42.10	9.15 W	0.00	34 of 464 - Sale ²⁵
5.000		32.95	06/03/20	42.10	9.15 W	0.00	35 of 464 - Sale ²⁵
49.000		322.90	06/03/20	411.63	88.73 W	0.00	36 of 464 - Sale ²⁵
95.000		626.02	06/03/20	799.95	173.93 W	0.00	37 of 464 - Sale ²⁵
95.000		626.02	06/03/20	799.95	173.93 W	0.00	38 of 464 - Sale ²⁵
100.000		658.97	06/03/20	842.06	183.09 W	0.00	39 of 464 - Sale ²⁵
6.000		39.54	06/03/20	50.58	11.04 W	0.00	40 of 464 - Sale ²⁵
195.000		1,284.99	06/03/20	1,642.00	357.01 W	0.00	41 of 464 - Sale ²⁵
5.000		32.80	06/03/20	42.15	9.35 W	0.00	42 of 464 - Sale ²⁵
1.000		6.56	06/03/20	8.43	1.87 W	0.00	43 of 464 - Sale ²⁵
94.000		616.61	06/03/20	792.48	175.87 W	0.00	44 of 464 - Sale ²⁵
6.000		39.42	06/03/20	50.64	11.22 W	0.00	45 of 464 - Sale ²⁵
94.000		617.55	06/03/20	792.48	174.93 W	0.00	46 of 464 - Sale ²⁵
5.000		32.95	06/03/20	42.20	9.25 W	0.00	47 of 464 - Sale ²⁵
4.000		26.36	06/03/20	33.68	7.32 W	0.00	48 of 464 - Sale ²⁵
46.000		303.13	06/03/20	388.26	85.13 W	0.00	49 of 464 - Sale ²⁵
48.000		316.31	06/03/20	405.15	88.84 W	0.00	50 of 464 - Sale ²⁵
95.000		626.02	06/03/20	801.85	175.83 W	0.00	51 of 464 - Sale ²⁵
100.000		658.96	06/03/20	842.06	183.10 W	0.00	52 of 464 - Sale ²⁵
1.000		6.59	06/03/20	8.42	1.83 W	0.00	53 of 464 - Sale ²⁵
13.000		85.67	06/03/20	109.47	23.80 W	0.00	54 of 464 - Sale ²⁵
22.000		144.98	06/03/20	153.35	8.37 W	0.00	55 of 464 - Sale ²⁵
60.000		395.39	06/03/20	418.23	22.84 W	0.00	56 of 464 - Sale ²⁵
100.000		658.97	06/03/20	699.06	40.09 W	0.00	57 of 464 - Sale ²⁵
40.000		263.59	06/03/20	278.42	14.83 W	0.00	58 of 464 - Sale ²⁵
100.000		657.97	06/03/20	696.05	38.08 W	0.00	59 of 464 - Sale ²⁵
45.000		296.09	06/03/20	353.73	57.64 W	0.00	60 of 464 - Sale ²⁵
98.000		644.81	06/03/20	770.36	125.55 W	0.00	61 of 464 - Sale ²⁵
57.000		375.05	06/03/20	396.75	21.70 W	0.00	62 of 464 - Sale ²⁵
44.000		289.51	06/03/20	345.88	56.37 W	0.00	63 of 464 - Sale ²⁵
56.000		368.46	06/03/20	440.20	71.74 W	0.00	64 of 464 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	5.000	32.85	06/03/20	39.40	6.55 W	0.00	65 of 464 - Sale ²⁵
	2.000	13.14	06/03/20	15.72	2.58 W	0.00	66 of 464 - Sale ²⁵
	49.000	321.91	06/03/20	385.18	63.27 W	0.00	67 of 464 - Sale ²⁵
	22.000	144.53	06/03/20	173.38	28.85 W	0.00	68 of 464 - Sale ²⁵
	5.000	32.90	06/03/20	39.40	6.50 W	0.00	69 of 464 - Sale ²⁵
	5.000	32.90	06/03/20	39.40	6.50 W	0.00	70 of 464 - Sale ²⁵
	6.000	39.48	06/03/20	47.34	7.86 W	0.00	71 of 464 - Sale ²⁵
	21.000	138.17	06/03/20	166.34	28.17 W	0.00	72 of 464 - Sale ²⁵
	95.000	625.07	06/03/20	748.68	123.61 W	0.00	73 of 464 - Sale ²⁵
	95.000	625.08	06/03/20	748.68	123.60 W	0.00	74 of 464 - Sale ²⁵
	100.000	657.97	06/03/20	788.09	130.12 W	0.00	75 of 464 - Sale ²⁵
	173.000	1,138.29	06/03/20	1,363.38	225.09 W	0.00	76 of 464 - Sale ²⁵
	1.000	6.40	06/03/20	8.17	1.77 W	0.00	77 of 464 - Sale ²⁵
	5.000	32.00	06/03/20	40.85	8.85 W	0.00	78 of 464 - Sale ²⁵
	6.000	38.40	06/03/20	49.02	10.62 W	0.00	79 of 464 - Sale ²⁵
	13.000	83.20	06/03/20	105.96	22.76 W	0.00	80 of 464 - Sale ²⁵
	73.000	467.18	06/03/20	596.48	129.30 W	0.00	81 of 464 - Sale ²⁵
	94.000	601.58	06/03/20	767.13	165.55 W	0.00	82 of 464 - Sale ²⁵
	1.000	6.40	06/03/20	8.13	1.73 W	0.00	83 of 464 - Sale ²⁵
	4.000	25.60	06/03/20	32.52	6.92 W	0.00	84 of 464 - Sale ²⁵
	5.000	32.00	06/03/20	40.75	8.75 W	0.00	85 of 464 - Sale ²⁵
	13.000	83.20	06/03/20	105.70	22.50 W	0.00	86 of 464 - Sale ²⁵
	22.000	140.79	06/03/20	146.97	6.18 W	0.00	87 of 464 - Sale ²⁵
	35.000	223.99	06/03/20	285.28	61.29 W	0.00	88 of 464 - Sale ²⁵
	40.000	255.99	06/03/20	266.83	10.84 W	0.00	89 of 464 - Sale ²⁵
	46.000	294.39	06/03/20	374.93	80.54 W	0.00	90 of 464 - Sale ²⁵
	60.000	383.98	06/03/20	400.84	16.86 W	0.00	91 of 464 - Sale ²⁵
	79.000	505.58	06/03/20	527.78	22.20 W	0.00	92 of 464 - Sale ²⁵
	95.000	607.97	06/03/20	774.33	166.36 W	0.00	93 of 464 - Sale ²⁵
	100.000	639.97	06/03/20	813.10	173.13 W	0.00	94 of 464 - Sale ²⁵
	100.000	639.97	06/03/20	670.09	30.12 W	0.00	95 of 464 - Sale ²⁵
	1.000	6.40	06/03/20	7.60	1.20 W	0.00	96 of 464 - Sale ²⁵
	2.000	12.80	06/03/20	15.18	2.38 W	0.00	97 of 464 - Sale ²⁵
	5.000	32.00	06/03/20	38.05	6.05 W	0.00	98 of 464 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
21.000		134.39	06/03/20	140.30	5.91 W	0.00	99 of 464 - Sale ²⁵
22.000		140.79	06/03/20	167.45	26.66 W	0.00	100 of 464 - Sale ²⁵
44.000		281.59	06/03/20	333.57	51.98 W	0.00	101 of 464 - Sale ²⁵
45.000		287.99	06/03/20	341.14	53.15 W	0.00	102 of 464 - Sale ²⁵
49.000		313.59	06/03/20	371.97	58.38 W	0.00	103 of 464 - Sale ²⁵
56.000		358.39	06/03/20	424.54	66.15 W	0.00	104 of 464 - Sale ²⁵
57.000		364.79	06/03/20	380.80	16.01 W	0.00	105 of 464 - Sale ²⁵
98.000		627.17	06/03/20	742.95	115.78 W	0.00	106 of 464 - Sale ²⁵
100.000		639.97	06/03/20	760.11	120.14 W	0.00	107 of 464 - Sale ²⁵
5.000		32.00	06/03/20	38.00	6.00 W	0.00	108 of 464 - Sale ²⁵
5.000		32.00	06/03/20	38.00	6.00 W	0.00	109 of 464 - Sale ²⁵
6.000		38.40	06/03/20	45.66	7.26 W	0.00	110 of 464 - Sale ²⁵
21.000		134.39	06/03/20	160.47	26.08 W	0.00	111 of 464 - Sale ²⁵
72.000		460.78	06/03/20	547.28	86.50 W	0.00	112 of 464 - Sale ²⁵
95.000		607.98	06/03/20	722.11	114.13 W	0.00	113 of 464 - Sale ²⁵
95.000		607.98	06/03/20	722.10	114.12 W	0.00	114 of 464 - Sale ²⁵
100.000		639.97	06/03/20	760.12	120.15 W	0.00	115 of 464 - Sale ²⁵
1.000		6.40	06/03/20	8.07	1.67 W	0.00	116 of 464 - Sale ²⁵
5.000		32.00	06/03/20	40.35	8.35 W	0.00	117 of 464 - Sale ²⁵
5.000		32.00	06/03/20	40.25	8.25 W	0.00	118 of 464 - Sale ²⁵
6.000		38.40	06/03/20	48.42	10.02 W	0.00	119 of 464 - Sale ²⁵
13.000		83.20	06/03/20	104.66	21.46 W	0.00	120 of 464 - Sale ²⁵
24.000		153.59	06/03/20	192.75	39.16 W	0.00	121 of 464 - Sale ²⁵
35.000		223.99	06/03/20	281.79	57.80 W	0.00	122 of 464 - Sale ²⁵
46.000		294.39	06/03/20	370.34	75.95 W	0.00	123 of 464 - Sale ²⁵
73.000		467.18	06/03/20	589.20	122.02 W	0.00	124 of 464 - Sale ²⁵
94.000		601.57	06/03/20	757.75	156.18 W	0.00	125 of 464 - Sale ²⁵
95.000		607.97	06/03/20	764.86	156.89 W	0.00	126 of 464 - Sale ²⁵
10.000		68.10	06/03/20	85.31	17.21 W	0.00	127 of 464 - Sale ²⁵
1.000		6.81	06/03/20	8.53	1.72 W	0.00	128 of 464 - Sale ²⁵
1.000		6.81	06/03/20	8.53	1.72 W	0.00	129 of 464 - Sale ²⁵
4.000		27.24	06/03/20	34.12	6.88 W	0.00	130 of 464 - Sale ²⁵
13.000		88.53	06/03/20	110.90	22.37 W	0.00	131 of 464 - Sale ²⁵
22.000		149.81	06/03/20	155.78	5.97 W	0.00	132 of 464 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
60.000		408.58	06/03/20	424.86	16.28 W	0.00	133 of 464 - Sale ²⁵
65.000		442.63	06/03/20	554.54	111.91 W	0.00	134 of 464 - Sale ²⁵
35.000		238.34	06/03/20	248.54	10.20 W	0.00	135 of 464 - Sale ²⁵
21.000		143.00	06/03/20	148.71	5.71 W	0.00	136 of 464 - Sale ²⁵
27.000		183.86	06/03/20	215.49	31.63 W	0.00	137 of 464 - Sale ²⁵
40.000		272.39	06/03/20	282.84	10.45 W	0.00	138 of 464 - Sale ²⁵
57.000		388.15	06/03/20	403.61	15.46 W	0.00	139 of 464 - Sale ²⁵
79.000		537.97	06/03/20	559.40	21.43 W	0.00	140 of 464 - Sale ²⁵
65.000		442.63	06/03/20	461.58	18.95 W	0.00	141 of 464 - Sale ²⁵
18.000		122.58	06/03/20	143.66	21.08 W	0.00	142 of 464 - Sale ²⁵
72.000		490.30	06/03/20	574.66	84.36 W	0.00	143 of 464 - Sale ²⁵
25.000		170.24	06/03/20	199.54	29.30 W	0.00	144 of 464 - Sale ²⁵
1.000		6.81	06/03/20	7.98	1.17 W	0.00	145 of 464 - Sale ²⁵
1.000		6.81	06/03/20	8.00	1.19 W	0.00	146 of 464 - Sale ²⁵
1.000		6.81	06/03/20	8.47	1.66 W	0.00	147 of 464 - Sale ²⁵
1.000		6.81	06/03/20	8.52	1.71 W	0.00	148 of 464 - Sale ²⁵
1.000		6.81	06/03/20	8.52	1.71 W	0.00	149 of 464 - Sale ²⁵
2.000		13.62	06/03/20	15.98	2.36 W	0.00	150 of 464 - Sale ²⁵
4.000		27.24	06/03/20	34.08	6.84 W	0.00	151 of 464 - Sale ²⁵
5.000		34.05	06/03/20	40.05	6.00 W	0.00	152 of 464 - Sale ²⁵
5.000		34.05	06/03/20	40.00	5.95 W	0.00	153 of 464 - Sale ²⁵
5.000		34.05	06/03/20	40.00	5.95 W	0.00	154 of 464 - Sale ²⁵
5.000		34.05	06/03/20	42.35	8.30 W	0.00	155 of 464 - Sale ²⁵
5.000		34.05	06/03/20	42.25	8.20 W	0.00	156 of 464 - Sale ²⁵
6.000		40.86	06/03/20	48.06	7.20 W	0.00	157 of 464 - Sale ²⁵
6.000		40.86	06/03/20	50.82	9.96 W	0.00	158 of 464 - Sale ²⁵
10.000		68.10	06/03/20	85.21	17.11 W	0.00	159 of 464 - Sale ²⁵
13.000		88.53	06/03/20	109.86	21.33 W	0.00	160 of 464 - Sale ²⁵
13.000		88.53	06/03/20	110.77	22.24 W	0.00	161 of 464 - Sale ²⁵
21.000		143.00	06/03/20	168.88	25.88 W	0.00	162 of 464 - Sale ²⁵
22.000		149.81	06/03/20	176.26	26.45 W	0.00	163 of 464 - Sale ²⁵
22.000		149.81	06/03/20	155.57	5.76 W	0.00	164 of 464 - Sale ²⁵
24.000		163.43	06/03/20	202.36	38.93 W	0.00	165 of 464 - Sale ²⁵
35.000		238.34	06/03/20	295.80	57.46 W	0.00	166 of 464 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	35.000	238.34	06/03/20	248.20	9.86 W	0.00	167 of 464 - Sale ²⁵
	44.000	299.63	06/03/20	351.18	51.55 W	0.00	168 of 464 - Sale ²⁵
	46.000	313.25	06/03/20	388.75	75.50 W	0.00	169 of 464 - Sale ²⁵
	49.000	333.68	06/03/20	391.58	57.90 W	0.00	170 of 464 - Sale ²⁵
	56.000	381.34	06/03/20	446.95	65.61 W	0.00	171 of 464 - Sale ²⁵
	60.000	408.58	06/03/20	424.28	15.70 W	0.00	172 of 464 - Sale ²⁵
	65.000	442.63	06/03/20	553.91	111.28 W	0.00	173 of 464 - Sale ²⁵
	72.000	490.30	06/03/20	576.10	85.80 W	0.00	174 of 464 - Sale ²⁵
	73.000	497.11	06/03/20	618.42	121.31 W	0.00	175 of 464 - Sale ²⁵
	94.000	640.12	06/03/20	795.38	155.26 W	0.00	176 of 464 - Sale ²⁵
	95.000	646.92	06/03/20	760.13	113.21 W	0.00	177 of 464 - Sale ²⁵
	95.000	646.92	06/03/20	760.12	113.20 W	0.00	178 of 464 - Sale ²⁵
	95.000	646.92	06/03/20	802.89	155.97 W	0.00	179 of 464 - Sale ²⁵
	100.000	680.97	06/03/20	800.14	119.17 W	0.00	180 of 464 - Sale ²⁵
	100.000	680.97	06/03/20	800.15	119.18 W	0.00	181 of 464 - Sale ²⁵
	36.000	247.67	06/03/20	252.40	4.73 W	0.00	182 of 464 - Sale ²⁵
	38.000	261.43	06/03/20	267.58	6.15 W	0.00	183 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	7.92	1.04 W	0.00	184 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	7.94	1.06 W	0.00	185 of 464 - Sale ²⁵
	2.000	13.76	06/03/20	15.86	2.10 W	0.00	186 of 464 - Sale ²⁵
	4.000	27.52	06/03/20	28.05	0.53 W	0.00	187 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	39.75	5.35 W	0.00	188 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	39.70	5.30 W	0.00	189 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	39.70	5.30 W	0.00	190 of 464 - Sale ²⁵
	6.000	41.28	06/03/20	47.70	6.42 W	0.00	191 of 464 - Sale ²⁵
	18.000	123.84	06/03/20	142.58	18.74 W	0.00	192 of 464 - Sale ²⁵
	18.000	123.84	06/03/20	151.41	27.57 W	0.00	193 of 464 - Sale ²⁵
	21.000	144.47	06/03/20	147.46	2.99 W	0.00	194 of 464 - Sale ²⁵
	21.000	144.47	06/03/20	167.63	23.16 W	0.00	195 of 464 - Sale ²⁵
	22.000	151.35	06/03/20	174.95	23.60 W	0.00	196 of 464 - Sale ²⁵
	25.000	171.99	06/03/20	198.05	26.06 W	0.00	197 of 464 - Sale ²⁵
	27.000	185.75	06/03/20	213.88	28.13 W	0.00	198 of 464 - Sale ²⁵
	44.000	302.71	06/03/20	348.55	45.84 W	0.00	199 of 464 - Sale ²⁵
	49.000	337.11	06/03/20	388.65	51.54 W	0.00	200 of 464 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	56.000	385.26	06/03/20	443.61	58.35 W	0.00	201 of 464 - Sale ²⁵
	57.000	392.14	06/03/20	400.21	8.07 W	0.00	202 of 464 - Sale ²⁵
	72.000	495.34	06/03/20	570.36	75.02 W	0.00	203 of 464 - Sale ²⁵
	72.000	495.34	06/03/20	571.80	76.46 W	0.00	204 of 464 - Sale ²⁵
	79.000	543.50	06/03/20	554.68	11.18 W	0.00	205 of 464 - Sale ²⁵
	95.000	653.57	06/03/20	754.46	100.89 W	0.00	206 of 464 - Sale ²⁵
	95.000	653.57	06/03/20	754.45	100.88 W	0.00	207 of 464 - Sale ²⁵
	100.000	687.97	06/03/20	794.17	106.20 W	0.00	208 of 464 - Sale ²⁵
	100.000	687.97	06/03/20	794.18	106.21 W	0.00	209 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	8.41	1.53 W	0.00	210 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	8.41	1.53 W	0.00	211 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	8.46	1.58 W	0.00	212 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	8.46	1.58 W	0.00	213 of 464 - Sale ²⁵
	4.000	27.52	06/03/20	33.84	6.32 W	0.00	214 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	42.05	7.65 W	0.00	215 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	41.95	7.55 W	0.00	216 of 464 - Sale ²⁵
	6.000	41.28	06/03/20	50.46	9.18 W	0.00	217 of 464 - Sale ²⁵
	10.000	68.80	06/03/20	84.61	15.81 W	0.00	218 of 464 - Sale ²⁵
	13.000	89.44	06/03/20	109.08	19.64 W	0.00	219 of 464 - Sale ²⁵
	13.000	89.44	06/03/20	109.99	20.55 W	0.00	220 of 464 - Sale ²⁵
	22.000	151.35	06/03/20	154.26	2.91 W	0.00	221 of 464 - Sale ²⁵
	24.000	165.11	06/03/20	200.93	35.82 W	0.00	222 of 464 - Sale ²⁵
	35.000	240.79	06/03/20	293.71	52.92 W	0.00	223 of 464 - Sale ²⁵
	35.000	240.79	06/03/20	246.11	5.32 W	0.00	224 of 464 - Sale ²⁵
	46.000	316.47	06/03/20	386.00	69.53 W	0.00	225 of 464 - Sale ²⁵
	54.000	371.51	06/03/20	454.24	82.73 W	0.00	226 of 464 - Sale ²⁵
	60.000	412.78	06/03/20	420.70	7.92 W	0.00	227 of 464 - Sale ²⁵
	65.000	447.18	06/03/20	550.03	102.85 W	0.00	228 of 464 - Sale ²⁵
	94.000	646.69	06/03/20	789.76	143.07 W	0.00	229 of 464 - Sale ²⁵
	95.000	653.57	06/03/20	797.22	143.65 W	0.00	230 of 464 - Sale ²⁵
	27.000	185.75	06/03/20	190.12	4.37 W	0.00	231 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	7.79	0.91 W	0.00	232 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	7.81	0.93 W	0.00	233 of 464 - Sale ²⁵
	1.000	6.88	06/03/20	8.28	1.40 W	0.00	234 of 464 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	2.000	13.76	06/03/20	15.60	1.84 W	0.00	235 of 464 - Sale ²⁵
	4.000	27.52	06/03/20	27.53	0.01 W	0.00	236 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	39.10	4.70 W	0.00	237 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	39.05	4.65 W	0.00	238 of 464 - Sale ²⁵
	5.000	34.40	06/03/20	39.05	4.65 W	0.00	239 of 464 - Sale ²⁵
	6.000	41.28	06/03/20	46.92	5.64 W	0.00	240 of 464 - Sale ²⁵
	18.000	123.84	06/03/20	140.24	16.40 W	0.00	241 of 464 - Sale ²⁵
	18.000	123.84	06/03/20	149.07	25.23 W	0.00	242 of 464 - Sale ²⁵
	21.000	144.47	06/03/20	144.74	0.27 W	0.00	243 of 464 - Sale ²⁵
	21.000	144.47	06/03/20	164.91	20.44 W	0.00	244 of 464 - Sale ²⁵
	22.000	151.35	06/03/20	172.10	20.75 W	0.00	245 of 464 - Sale ²⁵
	25.000	171.99	06/03/20	194.81	22.82 W	0.00	246 of 464 - Sale ²⁵
	27.000	185.75	06/03/20	186.62	0.87 W	0.00	247 of 464 - Sale ²⁵
	27.000	185.75	06/03/20	210.38	24.63 W	0.00	248 of 464 - Sale ²⁵
	36.000	247.67	06/03/20	247.73	0.06 W	0.00	249 of 464 - Sale ²⁵
	38.000	261.43	06/03/20	262.65	1.22 W	0.00	250 of 464 - Sale ²⁵
	44.000	302.71	06/03/20	342.84	40.13 W	0.00	251 of 464 - Sale ²⁵
	49.000	337.11	06/03/20	382.29	45.18 W	0.00	252 of 464 - Sale ²⁵
	56.000	385.27	06/03/20	436.35	51.08 W	0.00	253 of 464 - Sale ²⁵
	57.000	392.14	06/03/20	392.82	0.68 W	0.00	254 of 464 - Sale ²⁵
	72.000	495.34	06/03/20	561.02	65.68 W	0.00	255 of 464 - Sale ²⁵
	72.000	495.34	06/03/20	562.46	67.12 W	0.00	256 of 464 - Sale ²⁵
	79.000	543.50	06/03/20	544.43	0.93 W	0.00	257 of 464 - Sale ²⁵
	95.000	653.57	06/03/20	742.14	88.57 W	0.00	258 of 464 - Sale ²⁵
	95.000	653.58	06/03/20	742.13	88.55 W	0.00	259 of 464 - Sale ²⁵
	100.000	687.97	06/03/20	781.20	93.23 W	0.00	260 of 464 - Sale ²⁵
	100.000	687.97	06/03/20	781.21	93.24 W	0.00	261 of 464 - Sale ²⁵
	1.000	7.05	06/03/20	7.63	0.58 W	0.00	262 of 464 - Sale ²⁵
	2.000	14.10	06/03/20	15.24	1.14 W	0.00	263 of 464 - Sale ²⁵
	5.000	35.25	06/03/20	38.20	2.95 W	0.00	264 of 464 - Sale ²⁵
	5.000	35.25	06/03/20	38.15	2.90 W	0.00	265 of 464 - Sale ²⁵
	22.000	155.09	06/03/20	168.15	13.06 W	0.00	266 of 464 - Sale ²⁵
	44.000	310.19	06/03/20	334.93	24.74 W	0.00	267 of 464 - Sale ²⁵
	49.000	345.43	06/03/20	373.48	28.05 W	0.00	268 of 464 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	45.000	317.24	06/03/20	342.55	25.31 W	0.00	269 of 464 - Sale ²⁵
	72.000	507.58	06/03/20	549.52	41.94 W	0.00	270 of 464 - Sale ²⁵
	55.000	387.73	06/03/20	419.78	32.05 W	0.00	271 of 464 - Sale ²⁵
	100.000	704.97	06/03/20	763.23	58.26 W	0.00	272 of 464 - Sale ²⁵
	40.000	281.99	06/03/20	305.29	23.30 W	0.00	273 of 464 - Sale ²⁵
	60.000	422.98	06/03/20	457.94	34.96 W	0.00	274 of 464 - Sale ²⁵
	1.000	7.05	06/03/20	8.10	1.05 W	0.00	275 of 464 - Sale ²⁵
	5.000	35.25	06/03/20	38.15	2.90 W	0.00	276 of 464 - Sale ²⁵
	6.000	42.30	06/03/20	45.84	3.54 W	0.00	277 of 464 - Sale ²⁵
	18.000	126.89	06/03/20	145.83	18.94 W	0.00	278 of 464 - Sale ²⁵
	21.000	148.04	06/03/20	161.14	13.10 W	0.00	279 of 464 - Sale ²⁵
	95.000	669.72	06/03/20	725.05	55.33 W	0.00	280 of 464 - Sale ²⁵
	40.000	281.99	06/03/20	305.30	23.31 W	0.00	281 of 464 - Sale ²⁵
	1.000	7.05	06/03/20	8.23	1.18 W	0.00	282 of 464 - Sale ²⁵
	5.000	35.25	06/03/20	41.15	5.90 W	0.00	283 of 464 - Sale ²⁵
	54.000	380.68	06/03/20	444.53	63.85 W	0.00	284 of 464 - Sale ²⁵
	45.000	317.24	06/03/20	369.99	52.75 W	0.00	285 of 464 - Sale ²⁵
	6.000	42.30	06/03/20	49.38	7.08 W	0.00	286 of 464 - Sale ²⁵
	13.000	91.64	06/03/20	106.74	15.10 W	0.00	287 of 464 - Sale ²⁵
	32.000	225.59	06/03/20	262.78	37.19 W	0.00	288 of 464 - Sale ²⁵
	49.000	345.44	06/03/20	402.88	57.44 W	0.00	289 of 464 - Sale ²⁵
	5.000	35.25	06/03/20	41.05	5.80 W	0.00	290 of 464 - Sale ²⁵
	3.000	21.15	06/03/20	24.64	3.49 W	0.00	291 of 464 - Sale ²⁵
	46.000	324.29	06/03/20	377.73	53.44 W	0.00	292 of 464 - Sale ²⁵
	46.000	324.28	06/03/20	377.76	53.48 W	0.00	293 of 464 - Sale ²⁵
	1.000	7.05	06/03/20	8.28	1.23 W	0.00	294 of 464 - Sale ²⁵
	10.000	70.50	06/03/20	82.81	12.31 W	0.00	295 of 464 - Sale ²⁵
	24.000	169.19	06/03/20	196.62	27.43 W	0.00	296 of 464 - Sale ²⁵
	16.000	112.79	06/03/20	132.52	19.73 W	0.00	297 of 464 - Sale ²⁵
	49.000	345.44	06/03/20	402.39	56.95 W	0.00	298 of 464 - Sale ²⁵
	1.000	7.05	06/03/20	8.28	1.23 W	0.00	299 of 464 - Sale ²⁵
	4.000	28.20	06/03/20	33.12	4.92 W	0.00	300 of 464 - Sale ²⁵
	13.000	91.65	06/03/20	107.65	16.00 W	0.00	301 of 464 - Sale ²⁵
	49.000	345.44	06/03/20	405.83	60.39 W	0.00	302 of 464 - Sale ²⁵

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1.000		7.05	06/03/20	7.61	0.56 W	0.00	303 of 464 - Sale ²⁵
18.000		126.90	06/03/20	137.00	10.10 W	0.00	304 of 464 - Sale ²⁵
25.000		176.24	06/03/20	190.32	14.08 W	0.00	305 of 464 - Sale ²⁵
27.000		190.34	06/03/20	205.53	15.19 W	0.00	306 of 464 - Sale ²⁵
11.000		77.55	06/03/20	83.73	6.18 W	0.00	307 of 464 - Sale ²⁵
72.000		507.58	06/03/20	548.08	40.50 W	0.00	308 of 464 - Sale ²⁵
1.000		6.75	06/03/20	7.86	1.11 W	0.00	309 of 464 - Sale ²⁵
5.000		33.75	06/03/20	39.30	5.55 W	0.00	310 of 464 - Sale ²⁵
40.000		269.99	06/03/20	314.09	44.10 W	0.00	311 of 464 - Sale ²⁵
54.000		364.49	06/03/20	424.57	60.08 W	0.00	312 of 464 - Sale ²⁵
1.000		6.75	06/03/20	7.91	1.16 W	0.00	313 of 464 - Sale ²⁵
1.000		6.75	06/03/20	7.91	1.16 W	0.00	314 of 464 - Sale ²⁵
3.000		20.25	06/03/20	23.53	3.28 W	0.00	315 of 464 - Sale ²⁵
4.000		27.00	06/03/20	31.64	4.64 W	0.00	316 of 464 - Sale ²⁵
5.000		33.75	06/03/20	39.26	5.51 W	0.00	317 of 464 - Sale ²⁵
5.000		33.75	06/03/20	39.20	5.45 W	0.00	318 of 464 - Sale ²⁵
6.000		40.50	06/03/20	47.16	6.66 W	0.00	319 of 464 - Sale ²⁵
10.000		67.50	06/03/20	79.11	11.61 W	0.00	320 of 464 - Sale ²⁵
11.000		74.25	06/03/20	79.68	5.43 W	0.00	321 of 464 - Sale ²⁵
13.000		87.75	06/03/20	101.94	14.19 W	0.00	322 of 464 - Sale ²⁵
13.000		87.75	06/03/20	102.84	15.09 W	0.00	323 of 464 - Sale ²⁵
16.000		108.00	06/03/20	126.61	18.61 W	0.00	324 of 464 - Sale ²⁵
18.000		121.49	06/03/20	130.34	8.85 W	0.00	325 of 464 - Sale ²⁵
24.000		161.99	06/03/20	187.75	25.76 W	0.00	326 of 464 - Sale ²⁵
27.000		182.24	06/03/20	195.55	13.31 W	0.00	327 of 464 - Sale ²⁵
32.000		215.99	06/03/20	250.95	34.96 W	0.00	328 of 464 - Sale ²⁵
46.000		310.49	06/03/20	360.72	50.23 W	0.00	329 of 464 - Sale ²⁵
46.000		310.49	06/03/20	360.76	50.27 W	0.00	330 of 464 - Sale ²⁵
49.000		330.74	06/03/20	384.76	54.02 W	0.00	331 of 464 - Sale ²⁵
49.000		330.74	06/03/20	384.27	53.53 W	0.00	332 of 464 - Sale ²⁵
49.000		330.73	06/03/20	387.71	56.98 W	0.00	333 of 464 - Sale ²⁵
72.000		485.98	06/03/20	521.46	35.48 W	0.00	334 of 464 - Sale ²⁵
1.000		6.75	06/03/20	7.24	0.49 W	0.00	335 of 464 - Sale ²⁵
1.000		6.75	06/03/20	7.26	0.51 W	0.00	336 of 464 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	2.000	13.50	06/03/20	14.50	1.00 W	0.00	337 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	36.35	2.60 W	0.00	338 of 464 - Sale ²⁵
	6.000	40.50	06/03/20	43.58	3.08 W	0.00	339 of 464 - Sale ²⁵
	11.000	74.25	06/03/20	79.66	5.41 W	0.00	340 of 464 - Sale ²⁵
	14.000	94.50	06/03/20	101.40	6.90 W	0.00	341 of 464 - Sale ²⁵
	22.000	148.49	06/03/20	160.02	11.53 W	0.00	342 of 464 - Sale ²⁵
	44.000	296.99	06/03/20	318.66	21.67 W	0.00	343 of 464 - Sale ²⁵
	45.000	303.73	06/03/20	325.91	22.18 W	0.00	344 of 464 - Sale ²⁵
	49.000	330.73	06/03/20	355.37	24.64 W	0.00	345 of 464 - Sale ²⁵
	6.000	40.50	06/03/20	43.57	3.07 W	0.00	346 of 464 - Sale ²⁵
	94.000	634.47	06/03/20	682.68	48.21 W	0.00	347 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	36.30	2.55 W	0.00	348 of 464 - Sale ²⁵
	29.000	195.74	06/03/20	210.62	14.88 W	0.00	349 of 464 - Sale ²⁵
	66.000	445.47	06/03/20	479.33	33.86 W	0.00	350 of 464 - Sale ²⁵
	34.000	229.49	06/03/20	246.93	17.44 W	0.00	351 of 464 - Sale ²⁵
	40.000	269.99	06/03/20	290.50	20.51 W	0.00	352 of 464 - Sale ²⁵
	26.000	175.49	06/03/20	188.83	13.34 W	0.00	353 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	36.30	2.55 W	0.00	354 of 464 - Sale ²⁵
	26.000	175.49	06/03/20	188.83	13.34 W	0.00	355 of 464 - Sale ²⁵
	29.000	195.74	06/03/20	210.61	14.87 W	0.00	356 of 464 - Sale ²⁵
	40.000	269.99	06/03/20	290.51	20.52 W	0.00	357 of 464 - Sale ²⁵
	6.000	40.50	06/03/20	43.62	3.12 W	0.00	358 of 464 - Sale ²⁵
	7.000	47.25	06/03/20	54.13	6.88 W	0.00	359 of 464 - Sale ²⁵
	21.000	141.74	06/03/20	153.38	11.64 W	0.00	360 of 464 - Sale ²⁵
	66.000	445.48	06/03/20	479.32	33.84 W	0.00	361 of 464 - Sale ²⁵
	1.000	6.75	06/03/20	7.73	0.98 W	0.00	362 of 464 - Sale ²⁵
	1.000	6.75	06/03/20	7.79	1.04 W	0.00	363 of 464 - Sale ²⁵
	1.000	6.75	06/03/20	7.84	1.09 W	0.00	364 of 464 - Sale ²⁵
	1.000	6.75	06/03/20	7.84	1.09 W	0.00	365 of 464 - Sale ²⁵
	1.000	6.75	06/03/20	7.17	0.42 W	0.00	366 of 464 - Sale ²⁵
	1.000	6.75	06/03/20	7.19	0.44 W	0.00	367 of 464 - Sale ²⁵
	2.000	13.50	06/03/20	14.36	0.86 W	0.00	368 of 464 - Sale ²⁵
	3.000	20.25	06/03/20	23.32	3.07 W	0.00	369 of 464 - Sale ²⁵
	4.000	27.00	06/03/20	31.36	4.36 W	0.00	370 of 464 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	5.000	33.75	06/03/20	38.95	5.20 W	0.00	371 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	38.91	5.16 W	0.00	372 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	38.85	5.10 W	0.00	373 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	36.00	2.25 W	0.00	374 of 464 - Sale ²⁵
	5.000	33.75	06/03/20	35.95	2.20 W	0.00	375 of 464 - Sale ²⁵
	6.000	40.50	06/03/20	46.74	6.24 W	0.00	376 of 464 - Sale ²⁵
	6.000	40.50	06/03/20	43.16	2.66 W	0.00	377 of 464 - Sale ²⁵
	6.000	40.50	06/03/20	43.15	2.65 W	0.00	378 of 464 - Sale ²⁵
	10.000	67.50	06/03/20	78.41	10.91 W	0.00	379 of 464 - Sale ²⁵
	11.000	74.25	06/03/20	85.05	10.80 W	0.00	380 of 464 - Sale ²⁵
	11.000	74.25	06/03/20	78.91	4.66 W	0.00	381 of 464 - Sale ²⁵
	11.000	74.25	06/03/20	78.89	4.64 W	0.00	382 of 464 - Sale ²⁵
	13.000	87.75	06/03/20	101.03	13.28 W	0.00	383 of 464 - Sale ²⁵
	13.000	87.75	06/03/20	101.93	14.18 W	0.00	384 of 464 - Sale ²⁵
	14.000	94.50	06/03/20	100.42	5.92 W	0.00	385 of 464 - Sale ²⁵
	16.000	108.00	06/03/20	125.49	17.49 W	0.00	386 of 464 - Sale ²⁵
	17.000	114.75	06/03/20	122.28	7.53 W	0.00	387 of 464 - Sale ²⁵
	18.000	121.49	06/03/20	129.09	7.60 W	0.00	388 of 464 - Sale ²⁵
	22.000	148.49	06/03/20	158.49	10.00 W	0.00	389 of 464 - Sale ²⁵
	24.000	161.99	06/03/20	186.08	24.09 W	0.00	390 of 464 - Sale ²⁵
	27.000	182.24	06/03/20	193.67	11.43 W	0.00	391 of 464 - Sale ²⁵
	32.000	215.99	06/03/20	248.72	32.73 W	0.00	392 of 464 - Sale ²⁵
	40.000	269.99	06/03/20	311.30	41.31 W	0.00	393 of 464 - Sale ²⁵
	44.000	296.99	06/03/20	315.59	18.60 W	0.00	394 of 464 - Sale ²⁵
	45.000	303.74	06/03/20	322.78	19.04 W	0.00	395 of 464 - Sale ²⁵
	46.000	310.49	06/03/20	357.51	47.02 W	0.00	396 of 464 - Sale ²⁵
	46.000	310.49	06/03/20	357.55	47.06 W	0.00	397 of 464 - Sale ²⁵
	49.000	330.74	06/03/20	381.34	50.60 W	0.00	398 of 464 - Sale ²⁵
	49.000	330.74	06/03/20	380.85	50.11 W	0.00	399 of 464 - Sale ²⁵
	49.000	330.74	06/03/20	384.30	53.56 W	0.00	400 of 464 - Sale ²⁵
	49.000	330.74	06/03/20	351.96	21.22 W	0.00	401 of 464 - Sale ²⁵
	54.000	364.49	06/03/20	420.80	56.31 W	0.00	402 of 464 - Sale ²⁵
	66.000	445.48	06/03/20	474.74	29.26 W	0.00	403 of 464 - Sale ²⁵
	72.000	485.98	06/03/20	516.44	30.46 W	0.00	404 of 464 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
94.000		634.47	06/03/20	676.13	41.66 W	0.00	405 of 464 - Sale ²⁵
12.000		81.00	06/03/20	86.32	5.32 W	0.00	406 of 464 - Sale ²⁵
1.000		7.75	06/03/20	8.73	0.98 W	0.00	407 of 464 - Sale ²⁵
1.000		7.75	06/03/20	8.79	1.04 W	0.00	408 of 464 - Sale ²⁵
1.000		7.75	06/03/20	8.84	1.09 W	0.00	409 of 464 - Sale ²⁵
3.000		23.25	06/03/20	26.32	3.07 W	0.00	410 of 464 - Sale ²⁵
5.000		38.75	06/03/20	41.30	2.55 W	0.00	411 of 464 - Sale ²⁵
5.000		38.75	06/03/20	43.95	5.20 W	0.00	412 of 464 - Sale ²⁵
5.000		38.75	06/03/20	43.91	5.16 W	0.00	413 of 464 - Sale ²⁵
5.000		38.75	06/03/20	43.85	5.10 W	0.00	414 of 464 - Sale ²⁵
6.000		46.50	06/03/20	49.62	3.12 W	0.00	415 of 464 - Sale ²⁵
6.000		46.50	06/03/20	52.74	6.24 W	0.00	416 of 464 - Sale ²⁵
7.000		54.25	06/03/20	61.13	6.88 W	0.00	417 of 464 - Sale ²⁵
10.000		77.50	06/03/20	88.41	10.91 W	0.00	418 of 464 - Sale ²⁵
11.000		85.25	06/03/20	96.05	10.80 W	0.00	419 of 464 - Sale ²⁵
13.000		100.75	06/03/20	114.03	13.28 W	0.00	420 of 464 - Sale ²⁵
16.000		124.00	06/03/20	141.49	17.49 W	0.00	421 of 464 - Sale ²⁵
21.000		162.74	06/03/20	174.39	11.65 W	0.00	422 of 464 - Sale ²⁵
24.000		185.99	06/03/20	210.09	24.10 W	0.00	423 of 464 - Sale ²⁵
26.000		201.49	06/03/20	214.84	13.35 W	0.00	424 of 464 - Sale ²⁵
26.000		201.49	06/03/20	214.84	13.35 W	0.00	425 of 464 - Sale ²⁵
29.000		224.74	06/03/20	239.62	14.88 W	0.00	426 of 464 - Sale ²⁵
31.000		240.24	06/03/20	274.13	33.89 W	0.00	427 of 464 - Sale ²⁵
32.000		247.99	06/03/20	280.73	32.74 W	0.00	428 of 464 - Sale ²⁵
34.000		263.49	06/03/20	280.94	17.45 W	0.00	429 of 464 - Sale ²⁵
40.000		309.99	06/03/20	330.51	20.52 W	0.00	430 of 464 - Sale ²⁵
40.000		309.99	06/03/20	330.52	20.53 W	0.00	431 of 464 - Sale ²⁵
40.000		309.99	06/03/20	351.31	41.32 W	0.00	432 of 464 - Sale ²⁵
46.000		356.49	06/03/20	403.52	47.03 W	0.00	433 of 464 - Sale ²⁵
46.000		356.49	06/03/20	403.56	47.07 W	0.00	434 of 464 - Sale ²⁵
49.000		379.74	06/03/20	430.35	50.61 W	0.00	435 of 464 - Sale ²⁵
49.000		379.74	06/03/20	429.86	50.12 W	0.00	436 of 464 - Sale ²⁵
54.000		418.48	06/03/20	474.81	56.33 W	0.00	437 of 464 - Sale ²⁵
66.000		511.48	06/03/20	545.34	33.86 W	0.00	438 of 464 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	7.75	06/03/20	8.84	1.09 W	0.00	439 of 464 - Sale ²⁵
	1.000	7.75	06/03/20	8.17	0.42 W	0.00	440 of 464 - Sale ²⁵
	1.000	7.75	06/03/20	8.19	0.44 W	0.00	441 of 464 - Sale ²⁵
	2.000	15.50	06/03/20	16.36	0.86 W	0.00	442 of 464 - Sale ²⁵
	4.000	31.00	06/03/20	35.36	4.36 W	0.00	443 of 464 - Sale ²⁵
	5.000	38.75	06/03/20	41.00	2.25 W	0.00	444 of 464 - Sale ²⁵
	5.000	38.75	06/03/20	40.95	2.20 W	0.00	445 of 464 - Sale ²⁵
	6.000	46.50	06/03/20	49.16	2.66 W	0.00	446 of 464 - Sale ²⁵
	6.000	46.50	06/03/20	49.15	2.65 W	0.00	447 of 464 - Sale ²⁵
	11.000	85.25	06/03/20	89.91	4.66 W	0.00	448 of 464 - Sale ²⁵
	11.000	85.25	06/03/20	89.89	4.64 W	0.00	449 of 464 - Sale ²⁵
	12.000	93.00	06/03/20	98.32	5.32 W	0.00	450 of 464 - Sale ²⁵
	13.000	100.75	06/03/20	114.93	14.18 W	0.00	451 of 464 - Sale ²⁵
	14.000	108.50	06/03/20	114.42	5.92 W	0.00	452 of 464 - Sale ²⁵
	17.000	131.74	06/03/20	139.28	7.54 W	0.00	453 of 464 - Sale ²⁵
	18.000	139.49	06/03/20	159.18	19.69 W	0.00	454 of 464 - Sale ²⁵
	18.000	139.49	06/03/20	147.10	7.61 W	0.00	455 of 464 - Sale ²⁵
	22.000	170.49	06/03/20	180.50	10.01 W	0.00	456 of 464 - Sale ²⁵
	27.000	209.24	06/03/20	220.68	11.44 W	0.00	457 of 464 - Sale ²⁵
	44.000	340.99	06/03/20	359.60	18.61 W	0.00	458 of 464 - Sale ²⁵
	45.000	348.74	06/03/20	367.79	19.05 W	0.00	459 of 464 - Sale ²⁵
	49.000	379.73	06/03/20	400.97	21.24 W	0.00	460 of 464 - Sale ²⁵
	66.000	511.48	06/03/20	540.76	29.28 W	0.00	461 of 464 - Sale ²⁵
	72.000	557.98	06/03/20	588.46	30.48 W	0.00	462 of 464 - Sale ²⁵
	94.000	728.47	06/03/20	770.16	41.69 W	0.00	463 of 464 - Sale ²⁵
	1,691.000	13,104.72	06/03/20	13,105.25	0.53 W	0.00	464 of 464 - Sale ²⁵
06/03/20	19,304.000	131,128.14	Various	147,082.34	15,954.20 W	0.00	Total of 464 transactions
	44 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	9.95	06/04/20	9.67	...	0.28	1 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.77	...	0.45	2 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.76	...	0.46	3 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.76	...	0.46	4 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.76	...	0.46	5 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.76	...	0.46	6 of 44 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	10.22	06/04/20	9.76	...	0.46	7 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.76	...	0.46	8 of 44 - Sale ²⁵
	1.000	10.22	06/04/20	9.76	...	0.46	9 of 44 - Sale ²⁵
	5.000	34.50	06/04/20	34.01	...	0.49	10 of 44 - Sale ²⁵
	7.000	48.30	06/04/20	47.62	...	0.68	11 of 44 - Sale ²⁵
	5.000	49.55	06/04/20	48.80	...	0.75	12 of 44 - Sale ²⁵
	6.000	59.46	06/04/20	58.62	...	0.84	13 of 44 - Sale ²⁵
	2.000	20.44	06/04/20	19.53	...	0.91	14 of 44 - Sale ²⁵
	2.000	20.44	06/04/20	19.53	...	0.91	15 of 44 - Sale ²⁵
	2.000	20.44	06/04/20	19.53	...	0.91	16 of 44 - Sale ²⁵
	8.000	79.28	06/04/20	78.11	...	1.17	17 of 44 - Sale ²⁵
	4.000	40.00	06/04/20	38.69	...	1.31	18 of 44 - Sale ²⁵
	8.000	79.44	06/04/20	78.10	...	1.34	19 of 44 - Sale ²⁵
	3.000	30.66	06/04/20	29.29	...	1.37	20 of 44 - Sale ²⁵
	13.000	128.83	06/04/20	126.92	...	1.91	21 of 44 - Sale ²⁵
	7.000	69.65	06/04/20	67.72	...	1.93	22 of 44 - Sale ²⁵
	21.000	208.10	06/04/20	205.90	...	2.20	23 of 44 - Sale ²⁵
	5.000	51.10	06/04/20	48.82	...	2.28	24 of 44 - Sale ²⁵
	16.000	158.55	06/04/20	156.21	...	2.34	25 of 44 - Sale ²⁵
	11.000	109.45	06/04/20	106.39	...	3.06	26 of 44 - Sale ²⁵
	7.000	71.54	06/04/20	68.35	...	3.19	27 of 44 - Sale ²⁵
	14.000	139.29	06/04/20	135.42	...	3.87	28 of 44 - Sale ²⁵
	9.000	91.98	06/04/20	87.87	...	4.11	29 of 44 - Sale ²⁵
	26.000	258.17	06/04/20	253.85	...	4.32	30 of 44 - Sale ²⁵
	10.000	102.20	06/04/20	97.63	...	4.57	31 of 44 - Sale ²⁵
	10.000	102.20	06/04/20	97.63	...	4.57	32 of 44 - Sale ²⁵
	32.000	317.74	06/04/20	312.42	...	5.32	33 of 44 - Sale ²⁵
	34.000	337.61	06/04/20	331.95	...	5.66	34 of 44 - Sale ²⁵
	18.000	179.99	06/04/20	174.11	...	5.88	35 of 44 - Sale ²⁵
	22.000	218.89	06/04/20	212.81	...	6.08	36 of 44 - Sale ²⁵
	22.000	219.99	06/04/20	212.81	...	7.18	37 of 44 - Sale ²⁵
	27.000	269.99	06/04/20	261.19	...	8.80	38 of 44 - Sale ²⁵
	66.000	654.03	06/04/20	644.36	...	9.67	39 of 44 - Sale ²⁵
	45.000	447.73	06/04/20	435.30	...	12.43	40 of 44 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	34.000	234.59	06/04/20	220.33	...	14.26	41 of 44 - Sale ²⁵
	50.000	499.98	06/04/20	483.67	...	16.31	42 of 44 - Sale ²⁵
	44.000	303.58	06/04/20	285.13	...	18.45	43 of 44 - Sale ²⁵
	915.000	6,313.24	06/04/20	5,929.49	...	383.75	44 of 44 - Sale ²⁵
06/04/20	1,519.000	12,062.64	Various	11,515.87	...	546.77	Total of 44 transactions
	50 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	7.000	69.37	06/04/20	71.63	2.26 W	0.00	1 of 50 - Sale ²⁵
	1.000	9.91	06/04/20	10.23	0.32 W	0.00	2 of 50 - Sale ²⁵
	11.000	109.01	06/04/20	112.55	3.54 W	0.00	3 of 50 - Sale ²⁵
	43.000	426.11	06/04/20	442.61	16.50 W	0.00	4 of 50 - Sale ²⁵
	1.000	9.91	06/04/20	10.29	0.38 W	0.00	5 of 50 - Sale ²⁵
	5.000	49.55	06/04/20	51.45	1.90 W	0.00	6 of 50 - Sale ²⁵
	5.000	49.55	06/04/20	51.41	1.86 W	0.00	7 of 50 - Sale ²⁵
	11.000	109.01	06/04/20	113.22	4.21 W	0.00	8 of 50 - Sale ²⁵
	40.000	396.38	06/04/20	411.32	14.94 W	0.00	9 of 50 - Sale ²⁵
	41.000	406.29	06/04/20	421.60	15.31 W	0.00	10 of 50 - Sale ²⁵
	3.000	29.94	06/04/20	30.82	0.88 W	0.00	11 of 50 - Sale ²⁵
	6.000	59.88	06/04/20	61.74	1.86 W	0.00	12 of 50 - Sale ²⁵
	13.000	129.73	06/04/20	133.53	3.80 W	0.00	13 of 50 - Sale ²⁵
	32.000	319.35	06/04/20	328.74	9.39 W	0.00	14 of 50 - Sale ²⁵
	17.000	169.65	06/04/20	174.63	4.98 W	0.00	15 of 50 - Sale ²⁵
	8.000	79.84	06/04/20	82.26	2.42 W	0.00	16 of 50 - Sale ²⁵
	10.000	100.00	06/04/20	102.72	2.72 W	0.00	17 of 50 - Sale ²⁵
	16.000	160.00	06/04/20	164.36	4.36 W	0.00	18 of 50 - Sale ²⁵
	5.000	49.90	06/04/20	51.35	1.45 W	0.00	19 of 50 - Sale ²⁵
	3.000	29.94	06/04/20	30.82	0.88 W	0.00	20 of 50 - Sale ²⁵
	46.000	459.06	06/04/20	472.57	13.51 W	0.00	21 of 50 - Sale ²⁵
	35.000	349.29	06/04/20	359.55	10.26 W	0.00	22 of 50 - Sale ²⁵
	1.000	10.00	06/04/20	10.34	0.34 W	0.00	23 of 50 - Sale ²⁵
	1.000	10.00	06/04/20	10.34	0.34 W	0.00	24 of 50 - Sale ²⁵
	4.000	40.00	06/04/20	41.36	1.36 W	0.00	25 of 50 - Sale ²⁵
	10.000	100.00	06/04/20	103.41	3.41 W	0.00	26 of 50 - Sale ²⁵
	13.000	130.00	06/04/20	134.43	4.43 W	0.00	27 of 50 - Sale ²⁵
	16.000	159.99	06/04/20	165.49	5.50 W	0.00	28 of 50 - Sale ²⁵

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Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	18.000	179.99	06/04/20	186.19	6.20 W	0.00	29 of 50 - Sale ²⁵
	24.000	239.99	06/04/20	246.10	6.11 W	0.00	30 of 50 - Sale ²⁵
	31.000	309.99	06/04/20	320.64	10.65 W	0.00	31 of 50 - Sale ²⁵
	14.000	140.00	06/04/20	143.82	3.82 W	0.00	32 of 50 - Sale ²⁵
	2.000	9.82	06/04/20	21.26	11.44 W	0.00	33 of 50 - Sale ²⁵
	5.000	24.55	06/04/20	53.25	28.70 W	0.00	34 of 50 - Sale ²⁵
	22.000	108.02	06/04/20	233.70	125.68 W	0.00	35 of 50 - Sale ²⁵
	22.000	108.02	06/04/20	234.41	126.39 W	0.00	36 of 50 - Sale ²⁵
	49.000	240.58	06/04/20	521.04	280.46 W	0.00	37 of 50 - Sale ²⁵
	1.000	4.89	06/04/20	10.64	5.75 W	0.00	38 of 50 - Sale ²⁵
	5.000	24.45	06/04/20	53.20	28.75 W	0.00	39 of 50 - Sale ²⁵
	6.000	29.34	06/04/20	63.86	34.52 W	0.00	40 of 50 - Sale ²⁵
	6.000	29.34	06/04/20	63.85	34.51 W	0.00	41 of 50 - Sale ²⁵
	12.000	58.68	06/04/20	127.72	69.04 W	0.00	42 of 50 - Sale ²⁵
	17.000	83.13	06/04/20	180.94	97.81 W	0.00	43 of 50 - Sale ²⁵
	66.000	322.72	06/04/20	702.48	379.76 W	0.00	44 of 50 - Sale ²⁵
	94.000	459.63	06/04/20	1,000.49	540.86 W	0.00	45 of 50 - Sale ²⁵
	93.000	454.74	06/04/20	948.63	493.89 W	0.00	46 of 50 - Sale ²⁵
	5.000	24.45	06/04/20	51.00	26.55 W	0.00	47 of 50 - Sale ²⁵
	100.000	489.99	06/04/20	1,020.03	530.04 W	0.00	48 of 50 - Sale ²⁵
	200.000	979.95	06/04/20	2,040.06	1,060.11 W	0.00	49 of 50 - Sale ²⁵
	300.000	1,466.92	06/04/20	3,060.10	1,593.18 W	0.00	50 of 50 - Sale ²⁵
06/04/20	1,496.000	9,810.85	Various	15,438.18	5,627.33 W	0.00	Total of 50 transactions
	65 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	284.000	1,033.70	06/11/20	2,556.13	...	-1,522.43	1 of 65 - Sale ²⁵
	200.000	727.58	06/11/20	1,798.09	...	-1,070.51	2 of 65 - Sale ²⁵
	94.000	341.96	06/11/20	887.71	...	-545.75	3 of 65 - Sale ²⁵
	100.000	363.79	06/11/20	899.03	...	-535.24	4 of 65 - Sale ²⁵
	93.000	338.32	06/11/20	837.05	...	-498.73	5 of 65 - Sale ²⁵
	66.000	240.10	06/11/20	623.29	...	-383.19	6 of 65 - Sale ²⁵
	49.000	178.26	06/11/20	461.26	...	-283.00	7 of 65 - Sale ²⁵
	22.000	80.03	06/11/20	207.57	...	-127.54	8 of 65 - Sale ²⁵
	21.000	76.22	06/11/20	197.46	...	-121.24	9 of 65 - Sale ²⁵
	17.000	61.84	06/11/20	160.54	...	-98.70	10 of 65 - Sale ²⁵

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Account 801799297

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1,103.000	3,981.61	06/11/20	4,069.95	...	-88.34	11 of 65 - Sale ²⁵
	16.000	58.21	06/11/20	144.01	...	-85.80	12 of 65 - Sale ²⁵
	12.000	43.65	06/11/20	113.32	...	-69.67	13 of 65 - Sale ²⁵
	6.000	21.83	06/11/20	56.66	...	-34.83	14 of 65 - Sale ²⁵
	6.000	21.83	06/11/20	56.65	...	-34.82	15 of 65 - Sale ²⁵
	5.000	18.19	06/11/20	47.20	...	-29.01	16 of 65 - Sale ²⁵
	5.000	18.19	06/11/20	47.15	...	-28.96	17 of 65 - Sale ²⁵
	5.000	18.19	06/11/20	45.00	...	-26.81	18 of 65 - Sale ²⁵
	300.000	1,084.43	06/11/20	1,106.96	...	-22.53	19 of 65 - Sale ²⁵
	400.000	1,455.31	06/11/20	1,475.95	...	-20.64	20 of 65 - Sale ²⁵
	250.000	903.70	06/11/20	922.47	...	-18.77	21 of 65 - Sale ²⁵
	41.000	148.82	06/11/20	166.60	...	-17.78	22 of 65 - Sale ²⁵
	46.000	166.97	06/11/20	183.25	...	-16.28	23 of 65 - Sale ²⁵
	35.000	126.69	06/11/20	142.58	...	-15.89	24 of 65 - Sale ²⁵
	31.000	112.52	06/11/20	125.04	...	-12.52	25 of 65 - Sale ²⁵
	35.000	127.04	06/11/20	139.41	...	-12.37	26 of 65 - Sale ²⁵
	2.000	7.28	06/11/20	18.82	...	-11.54	27 of 65 - Sale ²⁵
	32.000	116.15	06/11/20	127.47	...	-11.32	28 of 65 - Sale ²⁵
	25.000	90.50	06/11/20	101.59	...	-11.09	29 of 65 - Sale ²⁵
	200.000	727.96	06/11/20	737.98	...	-10.02	30 of 65 - Sale ²⁵
	24.000	87.11	06/11/20	94.67	...	-7.56	31 of 65 - Sale ²⁵
	18.000	65.33	06/11/20	72.62	...	-7.29	32 of 65 - Sale ²⁵
	16.000	58.07	06/11/20	64.54	...	-6.47	33 of 65 - Sale ²⁵
	82.000	296.42	06/11/20	302.57	...	-6.15	34 of 65 - Sale ²⁵
	17.000	61.71	06/11/20	67.71	...	-6.00	35 of 65 - Sale ²⁵
	116.000	422.21	06/11/20	428.03	...	-5.82	36 of 65 - Sale ²⁵
	1.000	3.64	06/11/20	9.44	...	-5.80	37 of 65 - Sale ²⁵
	1.000	3.64	06/11/20	9.40	...	-5.76	38 of 65 - Sale ²⁵
	16.000	58.08	06/11/20	63.40	...	-5.32	39 of 65 - Sale ²⁵
	13.000	47.19	06/11/20	52.40	...	-5.21	40 of 65 - Sale ²⁵
	11.000	39.82	06/11/20	44.80	...	-4.98	41 of 65 - Sale ²⁵
	14.000	50.82	06/11/20	55.48	...	-4.66	42 of 65 - Sale ²⁵
	13.000	47.19	06/11/20	51.77	...	-4.58	43 of 65 - Sale ²⁵
	10.000	36.30	06/11/20	40.31	...	-4.01	44 of 65 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	50.000	180.75	06/11/20	184.49	...	-3.74	45 of 65 - Sale ²⁵
	8.000	28.92	06/11/20	32.59	...	-3.67	46 of 65 - Sale ²⁵
	10.000	36.30	06/11/20	39.62	...	-3.32	47 of 65 - Sale ²⁵
	7.000	25.41	06/11/20	28.44	...	-3.03	48 of 65 - Sale ²⁵
	8.000	29.04	06/11/20	31.94	...	-2.90	49 of 65 - Sale ²⁵
	5.000	18.10	06/11/20	20.35	...	-2.25	50 of 65 - Sale ²⁵
	5.000	18.10	06/11/20	20.32	...	-2.22	51 of 65 - Sale ²⁵
	6.000	21.78	06/11/20	24.00	...	-2.22	52 of 65 - Sale ²⁵
	5.000	18.15	06/11/20	20.31	...	-2.16	53 of 65 - Sale ²⁵
	5.000	18.08	06/11/20	20.06	...	-1.98	54 of 65 - Sale ²⁵
	5.000	18.15	06/11/20	19.90	...	-1.75	55 of 65 - Sale ²⁵
	4.000	14.52	06/11/20	16.12	...	-1.60	56 of 65 - Sale ²⁵
	3.000	10.86	06/11/20	12.19	...	-1.33	57 of 65 - Sale ²⁵
	17.000	61.46	06/11/20	62.73	...	-1.27	58 of 65 - Sale ²⁵
	3.000	10.89	06/11/20	11.95	...	-1.06	59 of 65 - Sale ²⁵
	3.000	10.89	06/11/20	11.95	...	-1.06	60 of 65 - Sale ²⁵
	1.000	3.62	06/11/20	4.07	...	-0.45	61 of 65 - Sale ²⁵
	1.000	3.61	06/11/20	4.01	...	-0.40	62 of 65 - Sale ²⁵
	1.000	3.63	06/11/20	4.03	...	-0.40	63 of 65 - Sale ²⁵
	1.000	3.63	06/11/20	4.03	...	-0.40	64 of 65 - Sale ²⁵
	1.000	3.62	06/11/20	4.01	...	-0.39	65 of 65 - Sale ²⁵
06/11/20	4,002.000	14,507.91	Various	20,390.44	...	-5,882.53	Total of 65 transactions
	Security total:	234,557.72		260,070.31	21,581.53 W	-3,931.06	
HANCOCK JAFFE LABORATORIES, IN C. COMMON STOCK / CUSIP: 41015N304 / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	196.000	2,305.18	12/14/20	2,665.60	...	-360.42	1 of 2 - Sale ²⁵
	4.000	47.04	12/14/20	54.49	...	-7.45	2 of 2 - Sale ²⁵
12/14/20	200.000	2,352.22	Various	2,720.09	...	-367.87	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
35 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	19.000	17.12	05/27/20	16.91	...	0.21	1 of 35 - Sale ²⁵
	10.000	12.60	05/27/20	12.20	...	0.40	2 of 35 - Sale ²⁵

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	10.000	12.60	05/27/20	12.20	...	0.40	3 of 35 - Sale ²⁵
	10.000	12.60	05/27/20	12.20	...	0.40	4 of 35 - Sale ²⁵
	10.000	12.60	05/27/20	12.20	...	0.40	5 of 35 - Sale ²⁵
	23.000	28.98	05/27/20	28.06	...	0.92	6 of 35 - Sale ²⁵
	100.000	90.06	05/27/20	89.00	...	1.06	7 of 35 - Sale ²⁵
	37.000	37.36	05/27/20	34.04	...	3.32	8 of 35 - Sale ²⁵
	300.000	270.35	05/27/20	267.00	...	3.35	9 of 35 - Sale ²⁵
	90.000	113.39	05/27/20	109.80	...	3.59	10 of 35 - Sale ²⁵
	90.000	113.39	05/27/20	109.80	...	3.59	11 of 35 - Sale ²⁵
	100.000	125.98	05/27/20	122.00	...	3.98	12 of 35 - Sale ²⁵
	100.000	125.98	05/27/20	122.00	...	3.98	13 of 35 - Sale ²⁵
	100.000	125.99	05/27/20	122.00	...	3.99	14 of 35 - Sale ²⁵
	100.000	125.99	05/27/20	122.00	...	3.99	15 of 35 - Sale ²⁵
	400.000	360.27	05/27/20	356.00	...	4.27	16 of 35 - Sale ²⁵
	435.000	392.01	05/27/20	387.15	...	4.86	17 of 35 - Sale ²⁵
	600.000	540.33	05/27/20	534.00	...	6.33	18 of 35 - Sale ²⁵
	500.000	452.44	05/27/20	445.00	...	7.44	19 of 35 - Sale ²⁵
	200.000	251.97	05/27/20	244.00	...	7.97	20 of 35 - Sale ²⁵
	730.000	657.84	05/27/20	649.70	...	8.14	21 of 35 - Sale ²⁵
	916.000	824.91	05/27/20	815.24	...	9.67	22 of 35 - Sale ²⁵
	1,000.000	900.56	05/27/20	890.00	...	10.56	23 of 35 - Sale ²⁵
	1,000.000	900.66	05/27/20	890.00	...	10.66	24 of 35 - Sale ²⁵
	300.000	377.95	05/27/20	366.00	...	11.95	25 of 35 - Sale ²⁵
	357.000	449.77	05/27/20	435.54	...	14.23	26 of 35 - Sale ²⁵
	200.000	201.97	05/27/20	185.00	...	16.97	27 of 35 - Sale ²⁵
	475.000	479.68	05/27/20	439.38	...	40.30	28 of 35 - Sale ²⁵
	1,000.000	1,009.86	05/27/20	925.00	...	84.86	29 of 35 - Sale ²⁵
	1,000.000	1,009.86	05/27/20	925.00	...	84.86	30 of 35 - Sale ²⁵
	1,000.000	1,009.86	05/27/20	920.10	...	89.76	31 of 35 - Sale ²⁵
	1,000.000	1,009.86	05/27/20	880.00	...	129.86	32 of 35 - Sale ²⁵
	2,288.000	2,310.56	05/27/20	2,116.40	...	194.16	33 of 35 - Sale ²⁵
	2,205.000	2,226.74	05/27/20	1,940.40	...	286.34	34 of 35 - Sale ²⁵
	2,795.000	2,822.55	05/27/20	2,457.64	...	364.91	35 of 35 - Sale ²⁵
05/27/20	19,500.000	19,414.64	Various	17,992.96	...	1,421.68	Total of 35 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
63 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
1,000.000		1,199.85	05/27/20	1,329.20	129.35 W	0.00	1 of 63 - Sale ²⁵
1,600.000		1,919.76	05/27/20	2,126.72	206.96 W	0.00	2 of 63 - Sale ²⁵
3,400.000		4,079.50	05/27/20	4,519.28	439.78 W	0.00	3 of 63 - Sale ²⁵
600.000		719.91	05/27/20	845.61	125.70 W	0.00	4 of 63 - Sale ²⁵
1,000.000		1,199.86	05/27/20	1,409.35	209.49 W	0.00	5 of 63 - Sale ²⁵
75.000		89.99	05/27/20	105.70	15.71 W	0.00	6 of 63 - Sale ²⁵
200.000		239.98	05/27/20	281.87	41.89 W	0.00	7 of 63 - Sale ²⁵
300.000		359.96	05/27/20	422.81	62.85 W	0.00	8 of 63 - Sale ²⁵
100.000		119.99	05/27/20	140.93	20.94 W	0.00	9 of 63 - Sale ²⁵
1.000		1.20	05/27/20	1.41	0.21 W	0.00	10 of 63 - Sale ²⁵
100.000		118.99	05/27/20	140.94	21.95 W	0.00	11 of 63 - Sale ²⁵
224.000		264.29	05/27/20	315.69	51.40 W	0.00	12 of 63 - Sale ²⁵
1,900.000		2,241.72	05/27/20	2,677.76	436.04 W	0.00	13 of 63 - Sale ²⁵
272.000		304.60	05/27/20	356.14	51.54 W	0.00	14 of 63 - Sale ²⁵
1.000		1.12	05/27/20	1.39	0.27 W	0.00	15 of 63 - Sale ²⁵
75.000		83.99	05/27/20	104.21	20.22 W	0.00	16 of 63 - Sale ²⁵
100.000		111.99	05/27/20	138.94	26.95 W	0.00	17 of 63 - Sale ²⁵
100.000		111.99	05/27/20	139.95	27.96 W	0.00	18 of 63 - Sale ²⁵
200.000		223.97	05/27/20	277.89	53.92 W	0.00	19 of 63 - Sale ²⁵
224.000		250.85	05/27/20	315.72	64.87 W	0.00	20 of 63 - Sale ²⁵
300.000		335.96	05/27/20	416.85	80.89 W	0.00	21 of 63 - Sale ²⁵
600.000		671.91	05/27/20	833.70	161.79 W	0.00	22 of 63 - Sale ²⁵
872.000		976.50	05/27/20	1,229.08	252.58 W	0.00	23 of 63 - Sale ²⁵
1,000.000		1,119.85	05/27/20	1,389.49	269.64 W	0.00	24 of 63 - Sale ²⁵
1,228.000		1,375.18	05/27/20	1,607.88	232.70 W	0.00	25 of 63 - Sale ²⁵
29.000		32.48	05/27/20	40.88	8.40 W	0.00	26 of 63 - Sale ²⁵
601.000		697.07	05/27/20	835.08	138.01 W	0.00	27 of 63 - Sale ²⁵
1.000		1.16	05/27/20	1.35	0.19 W	0.00	28 of 63 - Sale ²⁵
398.000		461.62	05/27/20	553.02	91.40 W	0.00	29 of 63 - Sale ²⁵
271.000		314.32	05/27/20	365.71	51.39 W	0.00	30 of 63 - Sale ²⁵
530.000		614.72	05/27/20	715.23	100.51 W	0.00	31 of 63 - Sale ²⁵
199.000		230.81	05/27/20	268.55	37.74 W	0.00	32 of 63 - Sale ²⁵
499.000		578.77	05/27/20	673.40	94.63 W	0.00	33 of 63 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	501.000	581.08	05/27/20	716.25	135.17 W	0.00	34 of 63 - Sale ²⁵
	401.000	465.10	05/27/20	573.29	108.19 W	0.00	35 of 63 - Sale ²⁵
	98.000	113.67	05/27/20	140.10	26.43 W	0.00	36 of 63 - Sale ²⁵
	501.000	581.08	05/27/20	716.25	135.17 W	0.00	37 of 63 - Sale ²⁵
	72.000	83.51	05/27/20	102.93	19.42 W	0.00	38 of 63 - Sale ²⁵
	99.000	114.83	05/27/20	141.54	26.71 W	0.00	39 of 63 - Sale ²⁵
	3.000	3.48	05/27/20	4.29	0.81 W	0.00	40 of 63 - Sale ²⁵
	97.000	112.50	05/27/20	138.67	26.17 W	0.00	41 of 63 - Sale ²⁵
	1.000	1.16	05/27/20	1.43	0.27 W	0.00	42 of 63 - Sale ²⁵
	100.000	115.98	05/27/20	142.95	26.97 W	0.00	43 of 63 - Sale ²⁵
	100.000	115.99	05/27/20	143.96	27.97 W	0.00	44 of 63 - Sale ²⁵
	103.000	119.46	05/27/20	147.25	27.79 W	0.00	45 of 63 - Sale ²⁵
	126.000	146.14	05/27/20	182.65	36.51 W	0.00	46 of 63 - Sale ²⁵
	300.000	347.96	05/27/20	428.89	80.93 W	0.00	47 of 63 - Sale ²⁵
	98.000	134.73	05/27/20	146.96	12.23 W	0.00	48 of 63 - Sale ²⁵
	167.000	229.60	05/27/20	250.44	20.84 W	0.00	49 of 63 - Sale ²⁵
	135.000	185.60	05/27/20	202.45	16.85 W	0.00	50 of 63 - Sale ²⁵
	200.000	273.98	05/27/20	299.93	25.95 W	0.00	51 of 63 - Sale ²⁵
	300.000	410.95	05/27/20	449.90	38.95 W	0.00	52 of 63 - Sale ²⁵
	29.000	39.73	05/27/20	43.49	3.76 W	0.00	53 of 63 - Sale ²⁵
	70.000	95.89	05/27/20	104.98	9.09 W	0.00	54 of 63 - Sale ²⁵
	601.000	823.27	05/27/20	865.22	41.95 W	0.00	55 of 63 - Sale ²⁵
	100.000	136.98	05/27/20	143.96	6.98 W	0.00	56 of 63 - Sale ²⁵
	1.000	1.37	05/27/20	1.40	0.03 W	0.00	57 of 63 - Sale ²⁵
	271.000	371.23	05/27/20	379.30	8.07 W	0.00	58 of 63 - Sale ²⁵
	298.000	408.21	05/27/20	429.02	20.81 W	0.00	59 of 63 - Sale ²⁵
	330.000	452.05	05/27/20	461.88	9.83 W	0.00	60 of 63 - Sale ²⁵
	4.000	5.48	05/27/20	5.60	0.12 W	0.00	61 of 63 - Sale ²⁵
	199.000	272.59	05/27/20	278.53	5.94 W	0.00	62 of 63 - Sale ²⁵
	200.000	273.97	05/27/20	279.93	5.96 W	0.00	63 of 63 - Sale ²⁵
05/27/20	23,505.000	28,071.43	Various	32,505.17	4,433.74 W	0.00	Total of 63 transactions
	5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	1.17	05/28/20	1.10	...	0.07	1 of 5 - Sale ²⁵
	5.000	5.85	05/28/20	5.50	...	0.35	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	100.000	116.99	05/28/20	113.98	...	3.01	3 of 5 - Sale ²⁵
	298.000	348.61	05/28/20	339.67	...	8.94	4 of 5 - Sale ²⁵
	601.000	703.07	05/28/20	685.02	...	18.05	5 of 5 - Sale ²⁵
05/28/20	1,005.000	1,175.69	Various	1,145.27	...	30.42	Total of 5 transactions
	62 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	1.17	05/28/20	1.34	0.17 W	0.00	1 of 62 - Sale ²⁵
	3.000	3.51	05/28/20	4.02	0.51 W	0.00	2 of 62 - Sale ²⁵
	72.000	84.23	05/28/20	96.46	12.23 W	0.00	3 of 62 - Sale ²⁵
	97.000	113.48	05/28/20	129.96	16.48 W	0.00	4 of 62 - Sale ²⁵
	98.000	114.65	05/28/20	131.29	16.64 W	0.00	5 of 62 - Sale ²⁵
	99.000	115.82	05/28/20	132.64	16.82 W	0.00	6 of 62 - Sale ²⁵
	100.000	116.98	05/28/20	133.97	16.99 W	0.00	7 of 62 - Sale ²⁵
	100.000	116.99	05/28/20	134.97	17.98 W	0.00	8 of 62 - Sale ²⁵
	103.000	120.49	05/28/20	138.00	17.51 W	0.00	9 of 62 - Sale ²⁵
	119.000	139.21	05/28/20	161.81	22.60 W	0.00	10 of 62 - Sale ²⁵
	300.000	350.95	05/28/20	401.93	50.98 W	0.00	11 of 62 - Sale ²⁵
	401.000	469.11	05/28/20	537.26	68.15 W	0.00	12 of 62 - Sale ²⁵
	495.000	579.08	05/28/20	623.52	44.44 W	0.00	13 of 62 - Sale ²⁵
	501.000	586.10	05/28/20	671.24	85.14 W	0.00	14 of 62 - Sale ²⁵
	501.000	586.10	05/28/20	671.24	85.14 W	0.00	15 of 62 - Sale ²⁵
	7.000	8.19	05/28/20	9.52	1.33 W	0.00	16 of 62 - Sale ²⁵
	98.000	114.65	05/28/20	117.09	2.44 W	0.00	17 of 62 - Sale ²⁵
	128.000	149.74	05/28/20	152.94	3.20 W	0.00	18 of 62 - Sale ²⁵
	167.000	195.36	05/28/20	199.53	4.17 W	0.00	19 of 62 - Sale ²⁵
	7.000	8.19	05/28/20	8.36	0.17 W	0.00	20 of 62 - Sale ²⁵
	29.000	33.93	05/28/20	34.79	0.86 W	0.00	21 of 62 - Sale ²⁵
	70.000	81.89	05/28/20	83.99	2.10 W	0.00	22 of 62 - Sale ²⁵
	200.000	233.97	05/28/20	239.95	5.98 W	0.00	23 of 62 - Sale ²⁵
	300.000	350.96	05/28/20	359.95	8.99 W	0.00	24 of 62 - Sale ²⁵
	50.000	52.49	05/28/20	59.49	7.00 W	0.00	25 of 62 - Sale ²⁵
	100.000	104.99	05/28/20	118.98	13.99 W	0.00	26 of 62 - Sale ²⁵
	100.000	104.99	05/28/20	118.97	13.98 W	0.00	27 of 62 - Sale ²⁵
	1.000	1.05	05/28/20	1.33	0.28 W	0.00	28 of 62 - Sale ²⁵
	3.000	3.15	05/28/20	3.99	0.84 W	0.00	29 of 62 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	4.000	4.20	05/28/20	4.76	0.56 W	0.00	30 of 62 - Sale ²⁵
	7.000	7.35	05/28/20	9.45	2.10 W	0.00	31 of 62 - Sale ²⁵
	16.000	16.80	05/28/20	19.04	2.24 W	0.00	32 of 62 - Sale ²⁵
	54.000	56.69	05/28/20	63.98	7.29 W	0.00	33 of 62 - Sale ²⁵
	72.000	75.59	05/28/20	95.75	20.16 W	0.00	34 of 62 - Sale ²⁵
	97.000	101.84	05/28/20	129.00	27.16 W	0.00	35 of 62 - Sale ²⁵
	98.000	102.89	05/28/20	130.32	27.43 W	0.00	36 of 62 - Sale ²⁵
	99.000	103.94	05/28/20	131.66	27.72 W	0.00	37 of 62 - Sale ²⁵
	100.000	104.99	05/28/20	132.99	28.00 W	0.00	38 of 62 - Sale ²⁵
	100.000	104.98	05/28/20	133.98	29.00 W	0.00	39 of 62 - Sale ²⁵
	103.000	108.13	05/28/20	136.99	28.86 W	0.00	40 of 62 - Sale ²⁵
	119.000	124.93	05/28/20	160.64	35.71 W	0.00	41 of 62 - Sale ²⁵
	199.000	208.92	05/28/20	236.78	27.86 W	0.00	42 of 62 - Sale ²⁵
	200.000	209.97	05/28/20	237.96	27.99 W	0.00	43 of 62 - Sale ²⁵
	300.000	314.95	05/28/20	398.98	84.03 W	0.00	44 of 62 - Sale ²⁵
	330.000	346.45	05/28/20	392.63	46.18 W	0.00	45 of 62 - Sale ²⁵
	401.000	420.99	05/28/20	533.31	112.32 W	0.00	46 of 62 - Sale ²⁵
	495.000	519.68	05/28/20	618.64	98.96 W	0.00	47 of 62 - Sale ²⁵
	501.000	525.98	05/28/20	666.30	140.32 W	0.00	48 of 62 - Sale ²⁵
	501.000	525.98	05/28/20	666.30	140.32 W	0.00	49 of 62 - Sale ²⁵
	7.000	7.35	05/28/20	8.29	0.94 W	0.00	50 of 62 - Sale ²⁵
	44.000	46.19	05/28/20	52.14	5.95 W	0.00	51 of 62 - Sale ²⁵
	128.000	134.38	05/28/20	151.68	17.30 W	0.00	52 of 62 - Sale ²⁵
	167.000	175.33	05/28/20	197.89	22.56 W	0.00	53 of 62 - Sale ²⁵
	94.000	98.69	05/28/20	111.85	13.16 W	0.00	54 of 62 - Sale ²⁵
	2.000	2.10	05/28/20	2.38	0.28 W	0.00	55 of 62 - Sale ²⁵
	89.000	93.44	05/28/20	105.90	12.46 W	0.00	56 of 62 - Sale ²⁵
	2.000	2.10	05/28/20	2.38	0.28 W	0.00	57 of 62 - Sale ²⁵
	6.000	6.30	05/28/20	7.80	1.50 W	0.00	58 of 62 - Sale ²⁵
	29.000	30.45	05/28/20	34.50	4.05 W	0.00	59 of 62 - Sale ²⁵
	70.000	73.49	05/28/20	83.30	9.81 W	0.00	60 of 62 - Sale ²⁵
	13.000	13.65	05/28/20	15.47	1.82 W	0.00	61 of 62 - Sale ²⁵
	300.000	314.96	05/28/20	356.99	42.03 W	0.00	62 of 62 - Sale ²⁵
05/28/20	8,997.000	9,925.10	Various	11,508.56	1,583.46 W	0.00	Total of 62 transactions

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(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
124 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
1.000		4.85	06/08/20	4.83	...	0.02	1 of 124 - Sale ²⁵
4.000		19.40	06/08/20	19.28	...	0.12	2 of 124 - Sale ²⁵
1.000		4.90	06/08/20	4.77	...	0.13	3 of 124 - Sale ²⁵
1.000		5.40	06/08/20	5.26	...	0.14	4 of 124 - Sale ²⁵
1.000		3.67	06/08/20	3.40	...	0.27	5 of 124 - Sale ²⁵
15.000		88.80	06/08/20	88.50	...	0.30	6 of 124 - Sale ²⁵
1.000		5.96	06/08/20	5.60	...	0.36	7 of 124 - Sale ²⁵
3.000		16.20	06/08/20	15.81	...	0.39	8 of 124 - Sale ²⁵
6.000		26.40	06/08/20	25.92	...	0.48	9 of 124 - Sale ²⁵
5.000		24.25	06/08/20	23.75	...	0.50	10 of 124 - Sale ²⁵
2.000		8.80	06/08/20	8.28	...	0.52	11 of 124 - Sale ²⁵
30.000		145.49	06/08/20	144.93	...	0.56	12 of 124 - Sale ²⁵
8.000		47.36	06/08/20	46.80	...	0.56	13 of 124 - Sale ²⁵
5.000		27.00	06/08/20	26.35	...	0.65	14 of 124 - Sale ²⁵
5.000		27.00	06/08/20	26.35	...	0.65	15 of 124 - Sale ²⁵
7.000		33.95	06/08/20	33.25	...	0.70	16 of 124 - Sale ²⁵
5.000		27.00	06/08/20	26.30	...	0.70	17 of 124 - Sale ²⁵
3.000		11.01	06/08/20	10.20	...	0.81	18 of 124 - Sale ²⁵
2.000		7.34	06/08/20	6.52	...	0.82	19 of 124 - Sale ²⁵
2.000		7.34	06/08/20	6.52	...	0.82	20 of 124 - Sale ²⁵
7.000		37.80	06/08/20	36.90	...	0.90	21 of 124 - Sale ²⁵
10.000		54.00	06/08/20	52.71	...	1.29	22 of 124 - Sale ²⁵
17.000		82.44	06/08/20	81.10	...	1.34	23 of 124 - Sale ²⁵
3.000		17.76	06/08/20	16.23	...	1.53	24 of 124 - Sale ²⁵
56.000		271.59	06/08/20	269.94	...	1.65	25 of 124 - Sale ²⁵
13.000		70.20	06/08/20	68.52	...	1.68	26 of 124 - Sale ²⁵
7.000		25.69	06/08/20	23.93	...	1.76	27 of 124 - Sale ²⁵
6.000		22.02	06/08/20	20.22	...	1.80	28 of 124 - Sale ²⁵
14.000		75.60	06/08/20	73.78	...	1.82	29 of 124 - Sale ²⁵
13.000		70.20	06/08/20	68.38	...	1.82	30 of 124 - Sale ²⁵
16.000		78.40	06/08/20	76.48	...	1.92	31 of 124 - Sale ²⁵
15.000		81.00	06/08/20	79.05	...	1.95	32 of 124 - Sale ²⁵
16.000		86.40	06/08/20	84.32	...	2.08	33 of 124 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
18.000		88.20	06/08/20	86.05	...	2.15	34 of 124 - Sale ²⁵
8.000		29.36	06/08/20	27.19	...	2.17	35 of 124 - Sale ²⁵
17.000		91.80	06/08/20	89.43	...	2.37	36 of 124 - Sale ²⁵
6.000		22.02	06/08/20	19.55	...	2.47	37 of 124 - Sale ²⁵
10.000		36.70	06/08/20	33.99	...	2.71	38 of 124 - Sale ²⁵
20.000		108.00	06/08/20	105.21	...	2.79	39 of 124 - Sale ²⁵
7.000		25.69	06/08/20	22.77	...	2.92	40 of 124 - Sale ²⁵
100.000		484.99	06/08/20	482.04	...	2.95	41 of 124 - Sale ²⁵
25.000		122.50	06/08/20	119.51	...	2.99	42 of 124 - Sale ²⁵
22.000		118.80	06/08/20	115.73	...	3.07	43 of 124 - Sale ²⁵
24.000		129.59	06/08/20	126.49	...	3.10	44 of 124 - Sale ²⁵
33.000		160.04	06/08/20	156.77	...	3.27	45 of 124 - Sale ²⁵
26.000		127.39	06/08/20	124.04	...	3.35	46 of 124 - Sale ²⁵
27.000		145.79	06/08/20	142.31	...	3.48	47 of 124 - Sale ²⁵
200.000		1,183.95	06/08/20	1,180.00	...	3.95	48 of 124 - Sale ²⁵
29.000		156.59	06/08/20	152.56	...	4.03	49 of 124 - Sale ²⁵
29.000		156.59	06/08/20	152.56	...	4.03	50 of 124 - Sale ²⁵
10.000		36.70	06/08/20	32.59	...	4.11	51 of 124 - Sale ²⁵
35.000		171.49	06/08/20	167.32	...	4.17	52 of 124 - Sale ²⁵
12.000		71.40	06/08/20	67.20	...	4.20	53 of 124 - Sale ²⁵
13.000		47.71	06/08/20	42.37	...	5.34	54 of 124 - Sale ²⁵
55.000		266.74	06/08/20	261.28	...	5.46	55 of 124 - Sale ²⁵
43.000		232.19	06/08/20	226.64	...	5.55	56 of 124 - Sale ²⁵
49.000		240.09	06/08/20	234.24	...	5.85	57 of 124 - Sale ²⁵
17.000		101.15	06/08/20	95.20	...	5.95	58 of 124 - Sale ²⁵
17.000		101.15	06/08/20	95.20	...	5.95	59 of 124 - Sale ²⁵
83.000		402.53	06/08/20	395.97	...	6.56	60 of 124 - Sale ²⁵
52.000		280.78	06/08/20	274.06	...	6.72	61 of 124 - Sale ²⁵
400.000		2,367.90	06/08/20	2,360.00	...	7.90	62 of 124 - Sale ²⁵
58.000		313.19	06/08/20	305.12	...	8.07	63 of 124 - Sale ²⁵
63.000		340.18	06/08/20	332.05	...	8.13	64 of 124 - Sale ²⁵
64.000		313.59	06/08/20	305.29	...	8.30	65 of 124 - Sale ²⁵
50.000		270.00	06/08/20	261.50	...	8.50	66 of 124 - Sale ²⁵
93.000		451.03	06/08/20	441.81	...	9.22	67 of 124 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
95.000		460.73	06/08/20	451.32	...	9.41	68 of 124 - Sale ²⁵
485.000		2,871.08	06/08/20	2,861.50	...	9.58	69 of 124 - Sale ²⁵
71.000		383.38	06/08/20	373.51	...	9.87	70 of 124 - Sale ²⁵
100.000		484.97	06/08/20	475.04	...	9.93	71 of 124 - Sale ²⁵
81.000		437.38	06/08/20	426.94	...	10.44	72 of 124 - Sale ²⁵
107.000		518.92	06/08/20	508.31	...	10.61	73 of 124 - Sale ²⁵
83.000		448.18	06/08/20	437.47	...	10.71	74 of 124 - Sale ²⁵
93.000		455.68	06/08/20	444.57	...	11.11	75 of 124 - Sale ²⁵
80.000		431.98	06/08/20	420.86	...	11.12	76 of 124 - Sale ²⁵
29.000		106.42	06/08/20	94.51	...	11.91	77 of 124 - Sale ²⁵
100.000		489.98	06/08/20	478.02	...	11.96	78 of 124 - Sale ²⁵
107.000		524.27	06/08/20	511.50	...	12.77	79 of 124 - Sale ²⁵
700.000		4,143.81	06/08/20	4,130.00	...	13.81	80 of 124 - Sale ²⁵
700.000		4,143.82	06/08/20	4,130.00	...	13.82	81 of 124 - Sale ²⁵
100.000		539.97	06/08/20	526.06	...	13.91	82 of 124 - Sale ²⁵
100.000		539.97	06/08/20	526.06	...	13.91	83 of 124 - Sale ²⁵
60.000		220.19	06/08/20	203.97	...	16.22	84 of 124 - Sale ²⁵
207.000		1,003.90	06/08/20	987.54	...	16.36	85 of 124 - Sale ²⁵
44.000		161.47	06/08/20	143.20	...	18.27	86 of 124 - Sale ²⁵
72.000		264.23	06/08/20	244.75	...	19.48	87 of 124 - Sale ²⁵
79.000		289.91	06/08/20	268.55	...	21.36	88 of 124 - Sale ²⁵
54.000		198.17	06/08/20	175.73	...	22.44	89 of 124 - Sale ²⁵
171.000		923.36	06/08/20	899.60	...	23.76	90 of 124 - Sale ²⁵
150.000		809.96	06/08/20	784.50	...	25.46	91 of 124 - Sale ²⁵
100.000		366.98	06/08/20	340.93	...	26.05	92 of 124 - Sale ²⁵
97.000		355.97	06/08/20	329.73	...	26.24	93 of 124 - Sale ²⁵
100.000		366.98	06/08/20	339.93	...	27.05	94 of 124 - Sale ²⁵
103.000		377.99	06/08/20	350.15	...	27.84	95 of 124 - Sale ²⁵
81.000		481.94	06/08/20	453.60	...	28.34	96 of 124 - Sale ²⁵
70.000		256.89	06/08/20	228.16	...	28.73	97 of 124 - Sale ²⁵
119.000		436.70	06/08/20	406.90	...	29.80	98 of 124 - Sale ²⁵
79.000		289.91	06/08/20	257.48	...	32.43	99 of 124 - Sale ²⁵
281.000		1,376.83	06/08/20	1,343.26	...	33.57	100 of 124 - Sale ²⁵
100.000		594.97	06/08/20	560.00	...	34.97	101 of 124 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/22/2021 AMENDED

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	100.000	594.97	06/08/20	560.00	...	34.97	102 of 124 - Sale ²⁵
	100.000	594.97	06/08/20	560.00	...	34.97	103 of 124 - Sale ²⁵
	100.000	594.97	06/08/20	560.00	...	34.97	104 of 124 - Sale ²⁵
	100.000	594.97	06/08/20	560.00	...	34.97	105 of 124 - Sale ²⁵
	100.000	595.07	06/08/20	560.00	...	35.07	106 of 124 - Sale ²⁵
	94.000	344.96	06/08/20	306.38	...	38.58	107 of 124 - Sale ²⁵
	119.000	708.02	06/08/20	666.40	...	41.62	108 of 124 - Sale ²⁵
	128.000	469.73	06/08/20	416.58	...	53.15	109 of 124 - Sale ²⁵
	154.000	916.25	06/08/20	862.40	...	53.85	110 of 124 - Sale ²⁵
	240.000	880.75	06/08/20	815.85	...	64.90	111 of 124 - Sale ²⁵
	167.000	612.86	06/08/20	543.49	...	69.37	112 of 124 - Sale ²⁵
	200.000	1,189.95	06/08/20	1,120.00	...	69.95	113 of 124 - Sale ²⁵
	200.000	1,189.95	06/08/20	1,120.00	...	69.95	114 of 124 - Sale ²⁵
	200.000	1,189.95	06/08/20	1,120.00	...	69.95	115 of 124 - Sale ²⁵
	200.000	1,189.95	06/08/20	1,120.00	...	69.95	116 of 124 - Sale ²⁵
	623.000	3,364.04	06/08/20	3,258.29	...	105.75	117 of 124 - Sale ²⁵
	294.000	1,078.91	06/08/20	958.26	...	120.65	118 of 124 - Sale ²⁵
	204.000	1,207.63	06/08/20	1,046.89	...	160.74	119 of 124 - Sale ²⁵
	1,003.000	5,415.96	06/08/20	5,245.69	...	170.27	120 of 124 - Sale ²⁵
	1,513.000	6,656.85	06/08/20	6,445.38	...	211.47	121 of 124 - Sale ²⁵
	400.000	2,367.90	06/08/20	2,055.92	...	311.98	122 of 124 - Sale ²⁵
	1,202.000	7,151.84	06/08/20	6,731.20	...	420.64	123 of 124 - Sale ²⁵
	1,400.000	8,287.65	06/08/20	7,195.72	...	1,091.93	124 of 124 - Sale ²⁵
06/08/20	15,854.000	83,666.03	Various	79,460.32	...	4,205.71	Total of 124 transactions
149 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	4.000	13.20	06/05/20	14.32	1.12 W	0.00	1 of 149 - Sale ²⁵
	16.000	52.80	06/05/20	57.28	4.48 W	0.00	2 of 149 - Sale ²⁵
	44.000	145.19	06/05/20	157.51	12.32 W	0.00	3 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	357.97	27.99 W	0.00	4 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	357.96	27.98 W	0.00	5 of 149 - Sale ²⁵
	199.000	656.66	06/05/20	712.38	55.72 W	0.00	6 of 149 - Sale ²⁵
	200.000	659.96	06/05/20	715.95	55.99 W	0.00	7 of 149 - Sale ²⁵
	330.000	1,088.94	06/05/20	1,181.31	92.37 W	0.00	8 of 149 - Sale ²⁵
	15.000	49.50	06/05/20	55.80	6.30 W	0.00	9 of 149 - Sale ²⁵

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
495.000		1,633.40	06/05/20	1,801.66	168.26 W	0.00	10 of 149 - Sale ²⁵
501.000		1,653.20	06/05/20	1,863.66	210.46 W	0.00	11 of 149 - Sale ²⁵
100.000		330.99	06/05/20	371.99	41.00 W	0.00	12 of 149 - Sale ²⁵
100.000		330.99	06/05/20	371.99	41.00 W	0.00	13 of 149 - Sale ²⁵
14.000		46.34	06/05/20	52.08	5.74 W	0.00	14 of 149 - Sale ²⁵
186.000		615.62	06/05/20	691.90	76.28 W	0.00	15 of 149 - Sale ²⁵
84.000		278.03	06/05/20	312.45	34.42 W	0.00	16 of 149 - Sale ²⁵
16.000		52.96	06/05/20	59.52	6.56 W	0.00	17 of 149 - Sale ²⁵
13.000		43.03	06/05/20	48.36	5.33 W	0.00	18 of 149 - Sale ²⁵
485.000		1,605.26	06/05/20	1,804.14	198.88 W	0.00	19 of 149 - Sale ²⁵
1.000		3.31	06/05/20	3.72	0.41 W	0.00	20 of 149 - Sale ²⁵
3.000		9.93	06/05/20	10.44	0.51 W	0.00	21 of 149 - Sale ²⁵
72.000		238.31	06/05/20	250.56	12.25 W	0.00	22 of 149 - Sale ²⁵
85.000		281.33	06/05/20	295.80	14.47 W	0.00	23 of 149 - Sale ²⁵
97.000		321.05	06/05/20	337.56	16.51 W	0.00	24 of 149 - Sale ²⁵
103.000		340.91	06/05/20	358.46	17.55 W	0.00	25 of 149 - Sale ²⁵
240.000		794.35	06/05/20	835.22	40.87 W	0.00	26 of 149 - Sale ²⁵
8.000		26.48	06/05/20	27.84	1.36 W	0.00	27 of 149 - Sale ²⁵
1.000		3.31	06/05/20	3.48	0.17 W	0.00	28 of 149 - Sale ²⁵
2.000		6.62	06/05/20	6.68	0.06 W	0.00	29 of 149 - Sale ²⁵
7.000		23.17	06/05/20	24.50	1.33 W	0.00	30 of 149 - Sale ²⁵
7.000		23.17	06/05/20	23.34	0.17 W	0.00	31 of 149 - Sale ²⁵
44.000		145.63	06/05/20	146.75	1.12 W	0.00	32 of 149 - Sale ²⁵
54.000		178.73	06/05/20	180.09	1.36 W	0.00	33 of 149 - Sale ²⁵
60.000		198.59	06/05/20	208.81	10.22 W	0.00	34 of 149 - Sale ²⁵
10.000		33.10	06/05/20	33.40	0.30 W	0.00	35 of 149 - Sale ²⁵
94.000		311.12	06/05/20	313.96	2.84 W	0.00	36 of 149 - Sale ²⁵
100.000		330.98	06/05/20	348.00	17.02 W	0.00	37 of 149 - Sale ²⁵
100.000		330.98	06/05/20	349.00	18.02 W	0.00	38 of 149 - Sale ²⁵
119.000		393.87	06/05/20	416.51	22.64 W	0.00	39 of 149 - Sale ²⁵
128.000		423.65	06/05/20	426.90	3.25 W	0.00	40 of 149 - Sale ²⁵
167.000		552.73	06/05/20	556.96	4.23 W	0.00	41 of 149 - Sale ²⁵
2.000		6.62	06/05/20	6.68	0.06 W	0.00	42 of 149 - Sale ²⁵
13.000		43.03	06/05/20	43.42	0.39 W	0.00	43 of 149 - Sale ²⁵

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	79.000	261.48	06/05/20	263.86	2.38 W	0.00	44 of 149 - Sale ²⁵
	6.000	19.86	06/05/20	20.04	0.18 W	0.00	45 of 149 - Sale ²⁵
	6.000	19.86	06/05/20	20.70	0.84 W	0.00	46 of 149 - Sale ²⁵
	29.000	95.98	06/05/20	96.85	0.87 W	0.00	47 of 149 - Sale ²⁵
	70.000	231.69	06/05/20	233.81	2.12 W	0.00	48 of 149 - Sale ²⁵
	294.000	973.08	06/05/20	981.99	8.91 W	0.00	49 of 149 - Sale ²⁵
	36.000	119.15	06/05/20	125.28	6.13 W	0.00	50 of 149 - Sale ²⁵
	64.000	211.83	06/05/20	222.71	10.88 W	0.00	51 of 149 - Sale ²⁵
	1.000	3.31	06/05/20	3.48	0.17 W	0.00	52 of 149 - Sale ²⁵
	100.000	330.99	06/05/20	361.01	30.02 W	0.00	53 of 149 - Sale ²⁵
	100.000	330.98	06/05/20	361.00	30.02 W	0.00	54 of 149 - Sale ²⁵
	200.000	661.95	06/05/20	722.01	60.06 W	0.00	55 of 149 - Sale ²⁵
	1.000	3.31	06/05/20	3.61	0.30 W	0.00	56 of 149 - Sale ²⁵
	6.000	19.86	06/05/20	20.22	0.36 W	0.00	57 of 149 - Sale ²⁵
	13.000	43.03	06/05/20	46.93	3.90 W	0.00	58 of 149 - Sale ²⁵
	80.000	264.79	06/05/20	288.81	24.02 W	0.00	59 of 149 - Sale ²⁵
	16.000	52.80	06/05/20	55.68	2.88 W	0.00	60 of 149 - Sale ²⁵
	35.000	115.49	06/05/20	121.80	6.31 W	0.00	61 of 149 - Sale ²⁵
	49.000	161.69	06/05/20	170.52	8.83 W	0.00	62 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	347.98	18.00 W	0.00	63 of 149 - Sale ²⁵
	4.000	13.20	06/05/20	13.92	0.72 W	0.00	64 of 149 - Sale ²⁵
	128.000	422.37	06/05/20	453.11	30.74 W	0.00	65 of 149 - Sale ²⁵
	199.000	656.66	06/05/20	692.52	35.86 W	0.00	66 of 149 - Sale ²⁵
	200.000	659.96	06/05/20	695.99	36.03 W	0.00	67 of 149 - Sale ²⁵
	281.000	927.25	06/05/20	977.85	50.60 W	0.00	68 of 149 - Sale ²⁵
	321.000	1,059.24	06/05/20	1,162.05	102.81 W	0.00	69 of 149 - Sale ²⁵
	367.000	1,211.03	06/05/20	1,299.15	88.12 W	0.00	70 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	362.01	32.03 W	0.00	71 of 149 - Sale ²⁵
	5.000	16.50	06/05/20	18.05	1.55 W	0.00	72 of 149 - Sale ²⁵
	15.000	49.50	06/05/20	54.30	4.80 W	0.00	73 of 149 - Sale ²⁵
	80.000	263.98	06/05/20	289.60	25.62 W	0.00	74 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	361.00	31.02 W	0.00	75 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	361.00	31.02 W	0.00	76 of 149 - Sale ²⁵
	100.000	329.98	06/05/20	361.01	31.03 W	0.00	77 of 149 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	5.000	16.50	06/05/20	18.05	1.55 W	0.00	78 of 149 - Sale ²⁵
	14.000	46.20	06/05/20	50.54	4.34 W	0.00	79 of 149 - Sale ²⁵
	81.000	267.28	06/05/20	292.42	25.14 W	0.00	80 of 149 - Sale ²⁵
	5.000	16.50	06/05/20	18.05	1.55 W	0.00	81 of 149 - Sale ²⁵
	16.000	52.80	06/05/20	57.76	4.96 W	0.00	82 of 149 - Sale ²⁵
	79.000	260.68	06/05/20	285.17	24.49 W	0.00	83 of 149 - Sale ²⁵
	1.000	4.40	06/08/20	4.49	0.09 W	0.00	84 of 149 - Sale ²⁵
	4.000	17.60	06/08/20	18.00	0.40 W	0.00	85 of 149 - Sale ²⁵
	16.000	70.40	06/08/20	72.00	1.60 W	0.00	86 of 149 - Sale ²⁵
	26.000	114.39	06/08/20	116.75	2.36 W	0.00	87 of 149 - Sale ²⁵
	30.000	131.99	06/08/20	139.21	7.22 W	0.00	88 of 149 - Sale ²⁵
	35.000	153.99	06/08/20	157.51	3.52 W	0.00	89 of 149 - Sale ²⁵
	49.000	215.59	06/08/20	220.51	4.92 W	0.00	90 of 149 - Sale ²⁵
	64.000	281.59	06/08/20	287.36	5.77 W	0.00	91 of 149 - Sale ²⁵
	100.000	439.98	06/08/20	450.00	10.02 W	0.00	92 of 149 - Sale ²⁵
	128.000	563.17	06/08/20	583.70	20.53 W	0.00	93 of 149 - Sale ²⁵
	199.000	875.56	06/08/20	895.54	19.98 W	0.00	94 of 149 - Sale ²⁵
	200.000	879.96	06/08/20	900.03	20.07 W	0.00	95 of 149 - Sale ²⁵
	281.000	1,236.34	06/08/20	1,264.52	28.18 W	0.00	96 of 149 - Sale ²⁵
	367.000	1,614.72	06/08/20	1,673.56	58.84 W	0.00	97 of 149 - Sale ²⁵
	1.000	4.40	06/08/20	4.64	0.24 W	0.00	98 of 149 - Sale ²⁵
	1.000	4.40	06/08/20	4.56	0.16 W	0.00	99 of 149 - Sale ²⁵
	5.000	22.00	06/08/20	22.85	0.85 W	0.00	100 of 149 - Sale ²⁵
	5.000	22.00	06/08/20	22.85	0.85 W	0.00	101 of 149 - Sale ²⁵
	5.000	22.00	06/08/20	22.85	0.85 W	0.00	102 of 149 - Sale ²⁵
	13.000	57.20	06/08/20	59.28	2.08 W	0.00	103 of 149 - Sale ²⁵
	14.000	61.60	06/08/20	63.98	2.38 W	0.00	104 of 149 - Sale ²⁵
	15.000	66.00	06/08/20	68.70	2.70 W	0.00	105 of 149 - Sale ²⁵
	16.000	70.40	06/08/20	73.12	2.72 W	0.00	106 of 149 - Sale ²⁵
	79.000	347.58	06/08/20	361.03	13.45 W	0.00	107 of 149 - Sale ²⁵
	80.000	351.98	06/08/20	366.42	14.44 W	0.00	108 of 149 - Sale ²⁵
	80.000	351.98	06/08/20	364.82	12.84 W	0.00	109 of 149 - Sale ²⁵
	81.000	356.38	06/08/20	370.20	13.82 W	0.00	110 of 149 - Sale ²⁵
	100.000	439.98	06/08/20	458.03	18.05 W	0.00	111 of 149 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
100.000		439.98	06/08/20	457.02	17.04 W	0.00	112 of 149 - Sale ²⁵
100.000		439.98	06/08/20	457.02	17.04 W	0.00	113 of 149 - Sale ²⁵
100.000		439.98	06/08/20	457.03	17.05 W	0.00	114 of 149 - Sale ²⁵
100.000		439.98	06/08/20	456.02	16.04 W	0.00	115 of 149 - Sale ²⁵
100.000		439.98	06/08/20	456.02	16.04 W	0.00	116 of 149 - Sale ²⁵
200.000		879.95	06/08/20	912.06	32.11 W	0.00	117 of 149 - Sale ²⁵
290.000		1,275.94	06/08/20	1,328.28	52.34 W	0.00	118 of 149 - Sale ²⁵
128.000		620.76	06/08/20	624.69	3.93 W	0.00	119 of 149 - Sale ²⁵
12.000		58.20	06/08/20	58.56	0.36 W	0.00	120 of 149 - Sale ²⁵
200.000		969.95	06/08/20	976.07	6.12 W	0.00	121 of 149 - Sale ²⁵
100.000		484.99	06/08/20	488.03	3.04 W	0.00	122 of 149 - Sale ²⁵
1.000		4.85	06/08/20	4.96	0.11 W	0.00	123 of 149 - Sale ²⁵
30.000		145.49	06/08/20	148.82	3.33 W	0.00	124 of 149 - Sale ²⁵
55.000		266.74	06/08/20	268.42	1.68 W	0.00	125 of 149 - Sale ²⁵
24.000		116.39	06/08/20	117.61	1.22 W	0.00	126 of 149 - Sale ²⁵
290.000		1,406.43	06/08/20	1,421.14	14.71 W	0.00	127 of 149 - Sale ²⁵
24.000		116.40	06/08/20	117.61	1.21 W	0.00	128 of 149 - Sale ²⁵
76.000		368.59	06/08/20	372.44	3.85 W	0.00	129 of 149 - Sale ²⁵
3.000		14.55	06/08/20	14.70	0.15 W	0.00	130 of 149 - Sale ²⁵
5.000		24.25	06/08/20	24.45	0.20 W	0.00	131 of 149 - Sale ²⁵
5.000		24.25	06/08/20	24.45	0.20 W	0.00	132 of 149 - Sale ²⁵
14.000		67.90	06/08/20	68.46	0.56 W	0.00	133 of 149 - Sale ²⁵
15.000		72.75	06/08/20	73.50	0.75 W	0.00	134 of 149 - Sale ²⁵
27.000		130.94	06/08/20	132.04	1.10 W	0.00	135 of 149 - Sale ²⁵
53.000		257.04	06/08/20	259.73	2.69 W	0.00	136 of 149 - Sale ²⁵
81.000		392.83	06/08/20	396.14	3.31 W	0.00	137 of 149 - Sale ²⁵
100.000		484.98	06/08/20	489.04	4.06 W	0.00	138 of 149 - Sale ²⁵
100.000		484.98	06/08/20	489.04	4.06 W	0.00	139 of 149 - Sale ²⁵
100.000		484.98	06/08/20	489.05	4.07 W	0.00	140 of 149 - Sale ²⁵
1.000		4.85	06/08/20	4.88	0.03 W	0.00	141 of 149 - Sale ²⁵
5.000		24.25	06/08/20	24.45	0.20 W	0.00	142 of 149 - Sale ²⁵
13.000		63.05	06/08/20	63.44	0.39 W	0.00	143 of 149 - Sale ²⁵
16.000		77.60	06/08/20	78.24	0.64 W	0.00	144 of 149 - Sale ²⁵
52.000		252.19	06/08/20	254.29	2.10 W	0.00	145 of 149 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	80.000	387.98	06/08/20	390.44	2.46 W	0.00	146 of 149 - Sale ²⁵
	100.000	484.98	06/08/20	488.04	3.06 W	0.00	147 of 149 - Sale ²⁵
	100.000	484.97	06/08/20	488.04	3.07 W	0.00	148 of 149 - Sale ²⁵
	200.000	969.95	06/08/20	976.11	6.16 W	0.00	149 of 149 - Sale ²⁵
06/08/20	12,999.000	49,329.11	Various	51,884.99	2,555.88 W	0.00	Total of 149 transactions
	25 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1,493.000	6,613.67	06/09/20	7,539.65	...	-925.98	1 of 25 - Sale ²⁵
	405.000	1,794.06	06/09/20	2,413.62	...	-619.56	2 of 25 - Sale ²⁵
	259.000	1,147.31	06/09/20	1,367.42	...	-220.11	3 of 25 - Sale ²⁵
	100.000	442.98	06/09/20	595.95	...	-152.97	4 of 25 - Sale ²⁵
	155.000	686.61	06/09/20	827.60	...	-140.99	5 of 25 - Sale ²⁵
	79.000	349.95	06/09/20	421.81	...	-71.86	6 of 25 - Sale ²⁵
	3.000	13.29	06/09/20	16.17	...	-2.88	7 of 25 - Sale ²⁵
	2.000	8.86	06/09/20	11.24	...	-2.38	8 of 25 - Sale ²⁵
	1.000	4.43	06/09/20	5.62	...	-1.19	9 of 25 - Sale ²⁵
	1.000	4.43	06/09/20	5.39	...	-0.96	10 of 25 - Sale ²⁵
	1.000	5.20	06/09/20	4.98	...	0.22	11 of 25 - Sale ²⁵
	1.000	5.20	06/09/20	4.55	...	0.65	12 of 25 - Sale ²⁵
	4.000	20.80	06/09/20	19.92	...	0.88	13 of 25 - Sale ²⁵
	7.000	36.40	06/09/20	34.86	...	1.54	14 of 25 - Sale ²⁵
	27.000	140.39	06/09/20	122.85	...	17.54	15 of 25 - Sale ²⁵
	98.000	509.58	06/09/20	488.04	...	21.54	16 of 25 - Sale ²⁵
	100.000	519.98	06/09/20	498.00	...	21.98	17 of 25 - Sale ²⁵
	100.000	519.98	06/09/20	498.00	...	21.98	18 of 25 - Sale ²⁵
	196.000	1,019.15	06/09/20	976.08	...	43.07	19 of 25 - Sale ²⁵
	245.000	1,273.94	06/09/20	1,220.10	...	53.84	20 of 25 - Sale ²⁵
	395.000	2,053.91	06/09/20	1,967.10	...	86.81	21 of 25 - Sale ²⁵
	1,738.000	8,967.67	06/09/20	8,863.80	...	103.87	22 of 25 - Sale ²⁵
	1,843.000	9,583.16	06/09/20	9,178.14	...	405.02	23 of 25 - Sale ²⁵
	975.000	5,069.77	06/09/20	4,436.25	...	633.52	24 of 25 - Sale ²⁵
	1,497.000	7,784.05	06/09/20	6,811.35	...	972.70	25 of 25 - Sale ²⁵
06/09/20	9,725.000	48,574.77	Various	48,328.49	...	246.28	Total of 25 transactions

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
<i>20 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	13.95	06/09/20	14.94	0.99 W	0.00	1 of 20 - Sale ²⁵
	1.000	4.65	06/09/20	4.98	0.33 W	0.00	2 of 20 - Sale ²⁵
	2.000	8.84	06/09/20	9.96	1.12 W	0.00	3 of 20 - Sale ²⁵
	1.000	4.42	06/09/20	4.98	0.56 W	0.00	4 of 20 - Sale ²⁵
	1.000	5.20	06/09/20	5.31	0.11 W	0.00	5 of 20 - Sale ²⁵
	1.000	5.20	06/09/20	5.54	0.34 W	0.00	6 of 20 - Sale ²⁵
	2.000	10.40	06/09/20	11.08	0.68 W	0.00	7 of 20 - Sale ²⁵
	3.000	15.60	06/09/20	15.93	0.33 W	0.00	8 of 20 - Sale ²⁵
	1.000	5.16	06/09/20	5.56	0.40 W	0.00	9 of 20 - Sale ²⁵
	1.000	5.16	06/09/20	5.79	0.63 W	0.00	10 of 20 - Sale ²⁵
	2.000	10.32	06/09/20	11.58	1.26 W	0.00	11 of 20 - Sale ²⁵
	3.000	15.48	06/09/20	16.68	1.20 W	0.00	12 of 20 - Sale ²⁵
	998.000	5,149.44	06/09/20	5,438.20	288.76 W	0.00	13 of 20 - Sale ²⁵
	1.000	5.16	06/09/20	5.50	0.34 W	0.00	14 of 20 - Sale ²⁵
	1.000	5.16	06/09/20	5.73	0.57 W	0.00	15 of 20 - Sale ²⁵
	2.000	10.32	06/09/20	11.46	1.14 W	0.00	16 of 20 - Sale ²⁵
	3.000	15.48	06/09/20	16.50	1.02 W	0.00	17 of 20 - Sale ²⁵
	259.000	1,336.37	06/09/20	1,395.84	59.47 W	0.00	18 of 20 - Sale ²⁵
	405.000	1,794.06	06/09/20	2,162.43	368.37 W	0.00	19 of 20 - Sale ²⁵
	100.000	442.98	06/09/20	533.93	90.95 W	0.00	20 of 20 - Sale ²⁵
06/09/20	1,790.000	8,863.35	Various	9,681.92	818.57 W	0.00	Total of 20 transactions
	Security total:	249,020.12		252,507.68	9,391.65 W	5,904.09	

HEXINDAI INC. ADR / CUSIP: 428295109 / Symbol:

73 transactions for 05/28/20. Total proceeds and cost reported to the IRS.

15.000	21.90	05/28/20	21.75	...	0.15	1 of 73 - Sale ²⁵
9.000	12.42	05/28/20	12.15	...	0.27	2 of 73 - Sale ²⁵
28.000	40.88	05/28/20	40.60	...	0.28	3 of 73 - Sale ²⁵
49.000	71.53	05/28/20	71.06	...	0.47	4 of 73 - Sale ²⁵
18.000	24.84	05/28/20	24.30	...	0.54	5 of 73 - Sale ²⁵
26.000	35.88	05/28/20	35.10	...	0.78	6 of 73 - Sale ²⁵
99.000	144.52	05/28/20	143.57	...	0.95	7 of 73 - Sale ²⁵
100.000	145.98	05/28/20	145.02	...	0.96	8 of 73 - Sale ²⁵

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(continued)

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HEXINDAI INC. ADR / CUSIP: 428295109 / Symbol: (cont'd)							
100.000		145.98	05/28/20	145.02	...	0.96	9 of 73 - Sale ²⁵
100.000		145.98	05/28/20	145.01	...	0.97	10 of 73 - Sale ²⁵
100.000		145.99	05/28/20	145.01	...	0.98	11 of 73 - Sale ²⁵
135.000		197.08	05/28/20	195.77	...	1.31	12 of 73 - Sale ²⁵
15.000		22.50	05/28/20	21.16	...	1.34	13 of 73 - Sale ²⁵
149.000		217.52	05/28/20	216.07	...	1.45	14 of 73 - Sale ²⁵
17.000		25.50	05/28/20	23.98	...	1.52	15 of 73 - Sale ²⁵
165.000		240.88	05/28/20	239.28	...	1.60	16 of 73 - Sale ²⁵
191.000		278.83	05/28/20	276.98	...	1.85	17 of 73 - Sale ²⁵
200.000		291.97	05/28/20	290.03	...	1.94	18 of 73 - Sale ²⁵
200.000		291.97	05/28/20	290.03	...	1.94	19 of 73 - Sale ²⁵
201.000		293.43	05/28/20	291.48	...	1.95	20 of 73 - Sale ²⁵
222.000		324.08	05/28/20	321.93	...	2.15	21 of 73 - Sale ²⁵
285.000		416.06	05/28/20	413.30	...	2.76	22 of 73 - Sale ²⁵
100.000		137.98	05/28/20	135.00	...	2.98	23 of 73 - Sale ²⁵
50.000		65.50	05/28/20	62.50	...	3.00	24 of 73 - Sale ²⁵
172.000		251.09	05/28/20	247.71	...	3.38	25 of 73 - Sale ²⁵
125.000		172.49	05/28/20	168.75	...	3.74	26 of 73 - Sale ²⁵
43.000		64.49	05/28/20	60.65	...	3.84	27 of 73 - Sale ²⁵
43.000		64.49	05/28/20	60.65	...	3.84	28 of 73 - Sale ²⁵
409.000		597.08	05/28/20	593.11	...	3.97	29 of 73 - Sale ²⁵
47.000		70.49	05/28/20	66.29	...	4.20	30 of 73 - Sale ²⁵
450.000		656.93	05/28/20	652.57	...	4.36	31 of 73 - Sale ²⁵
150.000		206.98	05/28/20	202.50	...	4.48	32 of 73 - Sale ²⁵
81.000		106.10	05/28/20	101.25	...	4.85	33 of 73 - Sale ²⁵
165.000		227.67	05/28/20	222.75	...	4.92	34 of 73 - Sale ²⁵
57.000		85.49	05/28/20	80.40	...	5.09	35 of 73 - Sale ²⁵
40.000		59.99	05/28/20	54.80	...	5.19	36 of 73 - Sale ²⁵
175.000		241.48	05/28/20	236.25	...	5.23	37 of 73 - Sale ²⁵
200.000		275.98	05/28/20	270.00	...	5.98	38 of 73 - Sale ²⁵
200.000		275.98	05/28/20	270.00	...	5.98	39 of 73 - Sale ²⁵
100.000		130.98	05/28/20	125.00	...	5.98	40 of 73 - Sale ²⁵
100.000		130.98	05/28/20	125.00	...	5.98	41 of 73 - Sale ²⁵
100.000		130.98	05/28/20	125.00	...	5.98	42 of 73 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HEXINDAI INC. ADR / CUSIP: 428295109 / Symbol: (cont'd)							
	100.000	130.98	05/28/20	125.00	...	5.98	43 of 73 - Sale ²⁵
	100.000	130.98	05/28/20	125.00	...	5.98	44 of 73 - Sale ²⁵
	100.000	130.99	05/28/20	125.00	...	5.99	45 of 73 - Sale ²⁵
	100.000	130.99	05/28/20	125.00	...	5.99	46 of 73 - Sale ²⁵
	100.000	130.99	05/28/20	125.00	...	5.99	47 of 73 - Sale ²⁵
	100.000	130.99	05/28/20	125.00	...	5.99	48 of 73 - Sale ²⁵
	100.000	130.99	05/28/20	125.00	...	5.99	49 of 73 - Sale ²⁵
	100.000	130.99	05/28/20	125.00	...	5.99	50 of 73 - Sale ²⁵
	329.000	480.29	05/28/20	473.81	...	6.48	51 of 73 - Sale ²⁵
	100.000	149.98	05/28/20	141.05	...	8.93	52 of 73 - Sale ²⁵
	153.000	223.36	05/28/20	214.20	...	9.16	53 of 73 - Sale ²⁵
	200.000	261.97	05/28/20	250.00	...	11.97	54 of 73 - Sale ²⁵
	200.000	261.97	05/28/20	250.00	...	11.97	55 of 73 - Sale ²⁵
	200.000	261.98	05/28/20	250.00	...	11.98	56 of 73 - Sale ²⁵
	200.000	261.98	05/28/20	250.00	...	11.98	57 of 73 - Sale ²⁵
	142.000	212.98	05/28/20	200.27	...	12.71	58 of 73 - Sale ²⁵
	250.000	327.46	05/28/20	312.50	...	14.96	59 of 73 - Sale ²⁵
	270.000	353.66	05/28/20	337.50	...	16.16	60 of 73 - Sale ²⁵
	187.000	280.47	05/28/20	263.75	...	16.72	61 of 73 - Sale ²⁵
	300.000	392.95	05/28/20	375.00	...	17.95	62 of 73 - Sale ²⁵
	266.000	367.04	05/28/20	348.46	...	18.58	63 of 73 - Sale ²⁵
	400.000	523.94	05/28/20	500.00	...	23.94	64 of 73 - Sale ²⁵
	812.000	1,120.44	05/28/20	1,096.20	...	24.24	65 of 73 - Sale ²⁵
	500.000	654.92	05/28/20	625.00	...	29.92	66 of 73 - Sale ²⁵
	1,023.000	1,411.58	05/28/20	1,381.05	...	30.53	67 of 73 - Sale ²⁵
	600.000	785.91	05/28/20	750.00	...	35.91	68 of 73 - Sale ²⁵
	280.000	419.96	05/28/20	383.60	...	36.36	69 of 73 - Sale ²⁵
	534.000	736.84	05/28/20	699.54	...	37.30	70 of 73 - Sale ²⁵
	650.000	851.40	05/28/20	812.50	...	38.90	71 of 73 - Sale ²⁵
	380.000	569.94	05/28/20	520.60	...	49.34	72 of 73 - Sale ²⁵
	3,003.000	4,504.05	05/28/20	4,144.14	...	359.91	73 of 73 - Sale ²⁵
05/28/20	17,010.000	23,951.84	Various	22,947.95	...	1,003.89	Total of 73 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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HEXINDAI INC. ADR / CUSIP: 428295109 / Symbol: (cont'd)							
46 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
980.000		1,430.65	05/28/20	1,479.80	49.15 W	0.00	1 of 46 - Sale ²⁵
200.000		291.97	05/28/20	302.00	10.03 W	0.00	2 of 46 - Sale ²⁵
100.000		145.98	05/28/20	151.00	5.02 W	0.00	3 of 46 - Sale ²⁵
100.000		145.98	05/28/20	151.00	5.02 W	0.00	4 of 46 - Sale ²⁵
300.000		437.95	05/28/20	453.00	15.05 W	0.00	5 of 46 - Sale ²⁵
200.000		291.97	05/28/20	302.00	10.03 W	0.00	6 of 46 - Sale ²⁵
350.000		510.95	05/28/20	528.50	17.55 W	0.00	7 of 46 - Sale ²⁵
300.000		437.95	05/28/20	453.00	15.05 W	0.00	8 of 46 - Sale ²⁵
450.000		656.93	05/28/20	679.50	22.57 W	0.00	9 of 46 - Sale ²⁵
730.000		1,065.69	05/28/20	1,102.30	36.61 W	0.00	10 of 46 - Sale ²⁵
100.000		145.99	05/28/20	151.00	5.01 W	0.00	11 of 46 - Sale ²⁵
100.000		145.99	05/28/20	151.00	5.01 W	0.00	12 of 46 - Sale ²⁵
200.000		291.97	05/28/20	302.00	10.03 W	0.00	13 of 46 - Sale ²⁵
240.000		350.36	05/28/20	362.40	12.04 W	0.00	14 of 46 - Sale ²⁵
60.000		87.59	05/28/20	93.61	6.02 W	0.00	15 of 46 - Sale ²⁵
142.000		207.31	05/28/20	221.54	14.23 W	0.00	16 of 46 - Sale ²⁵
58.000		84.67	05/28/20	90.49	5.82 W	0.00	17 of 46 - Sale ²⁵
100.000		145.98	05/28/20	156.02	10.04 W	0.00	18 of 46 - Sale ²⁵
291.000		424.82	05/28/20	454.00	29.18 W	0.00	19 of 46 - Sale ²⁵
17.000		24.82	05/28/20	25.51	0.69 W	0.00	20 of 46 - Sale ²⁵
15.000		21.90	05/28/20	22.51	0.61 W	0.00	21 of 46 - Sale ²⁵
43.000		62.77	05/28/20	64.51	1.74 W	0.00	22 of 46 - Sale ²⁵
142.000		207.30	05/28/20	213.03	5.73 W	0.00	23 of 46 - Sale ²⁵
43.000		62.77	05/28/20	64.51	1.74 W	0.00	24 of 46 - Sale ²⁵
57.000		83.21	05/28/20	85.52	2.31 W	0.00	25 of 46 - Sale ²⁵
100.000		145.99	05/28/20	150.04	4.05 W	0.00	26 of 46 - Sale ²⁵
187.000		272.99	05/28/20	280.55	7.56 W	0.00	27 of 46 - Sale ²⁵
47.000		68.61	05/28/20	70.51	1.90 W	0.00	28 of 46 - Sale ²⁵
200.000		263.97	05/28/20	268.00	4.03 W	0.00	29 of 46 - Sale ²⁵
449.000		592.61	05/28/20	601.66	9.05 W	0.00	30 of 46 - Sale ²⁵
200.000		263.98	05/28/20	272.03	8.05 W	0.00	31 of 46 - Sale ²⁵
151.000		199.30	05/28/20	202.34	3.04 W	0.00	32 of 46 - Sale ²⁵
99.000		130.67	05/28/20	134.66	3.99 W	0.00	33 of 46 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HEXINDAI INC. ADR / CUSIP: 428295109 / Symbol: (cont'd)							
	200.000	263.98	05/28/20	272.03	8.05 W	0.00	34 of 46 - Sale ²⁵
	51.000	67.31	05/28/20	70.39	3.08 W	0.00	35 of 46 - Sale ²⁵
	150.000	197.99	05/28/20	204.02	6.03 W	0.00	36 of 46 - Sale ²⁵
	151.000	199.30	05/28/20	205.38	6.08 W	0.00	37 of 46 - Sale ²⁵
	149.000	196.65	05/28/20	205.66	9.01 W	0.00	38 of 46 - Sale ²⁵
	100.000	131.98	05/28/20	134.00	2.02 W	0.00	39 of 46 - Sale ²⁵
	199.000	262.65	05/28/20	266.66	4.01 W	0.00	40 of 46 - Sale ²⁵
	1.000	1.32	05/28/20	1.38	0.06 W	0.00	41 of 46 - Sale ²⁵
	1.000	1.32	05/28/20	1.40	0.08 W	0.00	42 of 46 - Sale ²⁵
	51.000	67.31	05/28/20	71.42	4.11 W	0.00	43 of 46 - Sale ²⁵
	98.000	129.34	05/28/20	135.27	5.93 W	0.00	44 of 46 - Sale ²⁵
	150.000	197.98	05/28/20	207.03	9.05 W	0.00	45 of 46 - Sale ²⁵
	200.000	263.97	05/28/20	276.05	12.08 W	0.00	46 of 46 - Sale ²⁵
05/28/20	8,252.000	11,682.69	Various	12,090.23	407.54 W	0.00	Total of 46 transactions
	13 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	2,426.000	2,935.10	05/28/20	3,081.02	...	-145.92	1 of 13 - Sale ²⁵
	1,406.000	1,701.04	05/28/20	1,785.62	...	-84.58	2 of 13 - Sale ²⁵
	200.000	241.97	05/28/20	266.08	...	-24.11	3 of 13 - Sale ²⁵
	150.000	181.48	05/28/20	199.55	...	-18.07	4 of 13 - Sale ²⁵
	148.000	179.06	05/28/20	196.91	...	-17.85	5 of 13 - Sale ²⁵
	199.000	240.76	05/28/20	256.74	...	-15.98	6 of 13 - Sale ²⁵
	151.000	182.69	05/28/20	197.85	...	-15.16	7 of 13 - Sale ²⁵
	98.000	118.57	05/28/20	130.39	...	-11.82	8 of 13 - Sale ²⁵
	100.000	120.99	05/28/20	129.02	...	-8.03	9 of 13 - Sale ²⁵
	51.000	61.70	05/28/20	68.88	...	-7.18	10 of 13 - Sale ²⁵
	70.000	84.69	05/28/20	88.90	...	-4.21	11 of 13 - Sale ²⁵
	1.000	1.21	05/28/20	1.35	...	-0.14	12 of 13 - Sale ²⁵
	1.000	1.21	05/28/20	1.33	...	-0.12	13 of 13 - Sale ²⁵
05/29/20	5,001.000	6,050.47	Various	6,403.64	...	-353.17	Total of 13 transactions
	Security total:	41,685.00		41,441.82	407.54 W	650.72	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
03/27/20	1,500.000	1,740.08	03/26/20	1,905.00	...	-164.92	Sale ²⁵

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
12/24/20	897.000	1,932.88	12/22/20	2,009.28	76.40 W	0.00	Sale ²⁵
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
39 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	2.74	04/20/20	2.58	...	0.16	1 of 39 - Sale ²⁵ C
	1.000	2.74	04/20/20	2.58	...	0.16	2 of 39 - Sale ²⁵ C
	4.000	10.96	04/20/20	10.34	...	0.62	3 of 39 - Sale ²⁵ C
	35.000	95.89	04/20/20	90.45	...	5.44	4 of 39 - Sale ²⁵ C
	42.000	115.08	04/20/20	108.54	...	6.54	5 of 39 - Sale ²⁵ C
	47.000	128.77	04/20/20	121.46	...	7.31	6 of 39 - Sale ²⁵ C
	53.000	145.21	04/20/20	136.96	...	8.25	7 of 39 - Sale ²⁵ C
	65.000	178.09	04/20/20	167.97	...	10.12	8 of 39 - Sale ²⁵ C
	70.000	191.79	04/20/20	180.89	...	10.90	9 of 39 - Sale ²⁵ C
	87.000	238.36	04/20/20	224.82	...	13.54	10 of 39 - Sale ²⁵ C
	95.000	260.28	04/20/20	245.50	...	14.78	11 of 39 - Sale ²⁵ C
	100.000	273.98	04/20/20	258.42	...	15.56	12 of 39 - Sale ²⁵ C
	100.000	273.98	04/20/20	258.42	...	15.56	13 of 39 - Sale ²⁵ C
	100.000	273.98	04/20/20	258.42	...	15.56	14 of 39 - Sale ²⁵ C
	100.000	273.98	04/20/20	258.42	...	15.56	15 of 39 - Sale ²⁵ C
	100.000	273.98	04/20/20	258.42	...	15.56	16 of 39 - Sale ²⁵ C
	200.000	539.96	05/21/20	560.04	...	-20.08	17 of 39 - Sale ²⁵ C
	153.000	413.07	05/21/20	428.44	...	-15.37	18 of 39 - Sale ²⁵ C
	130.000	350.98	05/21/20	364.03	...	-13.05	19 of 39 - Sale ²⁵ C
	76.000	205.19	05/21/20	216.62	...	-11.43	20 of 39 - Sale ²⁵ C
	53.000	143.09	05/21/20	151.08	...	-7.99	21 of 39 - Sale ²⁵ C
	40.000	108.00	05/21/20	112.01	...	-4.01	22 of 39 - Sale ²⁵ C
	24.000	64.80	05/21/20	68.40	...	-3.60	23 of 39 - Sale ²⁵ C
	24.000	64.80	05/21/20	67.20	...	-2.40	24 of 39 - Sale ²⁵ C
	20.000	54.00	05/21/20	56.00	...	-2.00	25 of 39 - Sale ²⁵ C
	19.000	51.30	05/21/20	53.20	...	-1.90	26 of 39 - Sale ²⁵ C
	10.000	27.00	05/21/20	28.50	...	-1.50	27 of 39 - Sale ²⁵ C
	6.000	16.20	05/21/20	17.10	...	-0.90	28 of 39 - Sale ²⁵ C
	6.000	16.20	05/21/20	17.10	...	-0.90	29 of 39 - Sale ²⁵ C
	6.000	16.20	05/21/20	17.10	...	-0.90	30 of 39 - Sale ²⁵ C

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	5.000	13.50	05/21/20	14.25	...	-0.75	31 of 39 - Sale ²⁵
	5.000	13.50	05/21/20	14.25	...	-0.75	32 of 39 - Sale ²⁵
	5.000	13.50	05/21/20	14.25	...	-0.75	33 of 39 - Sale ²⁵
	7.000	18.90	05/21/20	19.60	...	-0.70	34 of 39 - Sale ²⁵
	5.000	13.50	05/21/20	14.00	...	-0.50	35 of 39 - Sale ²⁵
	3.000	8.10	05/21/20	8.55	...	-0.45	36 of 39 - Sale ²⁵
	1.000	2.70	05/21/20	2.85	...	-0.15	37 of 39 - Sale ²⁵
	1.000	2.70	05/21/20	2.85	...	-0.15	38 of 39 - Sale ²⁵
	1.000	2.70	05/21/20	2.75	...	-0.05	39 of 39 - Sale ²⁵
05/21/20	1,800.000	4,899.70	Various	4,834.36	...	65.34	Total of 39 transactions
	9 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	400.000	1,079.93	05/21/20	1,100.00	10.04 W	-10.03	1 of 9 - Sale ²⁵
	173.000	467.08	05/21/20	475.75	8.67 W	0.00	2 of 9 - Sale ²⁵
	3.000	8.10	05/21/20	8.25	0.15 W	0.00	3 of 9 - Sale ²⁵
	25.000	67.50	05/21/20	68.75	1.25 W	0.00	4 of 9 - Sale ²⁵
	199.000	537.26	05/21/20	547.25	9.99 W	0.00	5 of 9 - Sale ²⁵
	3.000	8.10	05/21/20	8.40	0.30 W	0.00	6 of 9 - Sale ²⁵
	25.000	67.50	05/21/20	70.00	2.50 W	0.00	7 of 9 - Sale ²⁵
	173.000	467.07	05/21/20	484.42	17.35 W	0.00	8 of 9 - Sale ²⁵
	199.000	537.26	05/21/20	547.25	9.99 W	0.00	9 of 9 - Sale ²⁵
05/21/20	1,200.000	3,239.80	Various	3,310.07	60.24 W	-10.03	Total of 9 transactions
09/08/20	97.000	274.50	06/30/20	438.78	...	-164.28	Sale ²⁵
	Security total:	8,414.00		8,583.21	60.24 W	-108.97	
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	2,035.000	1,447.82	05/26/20	1,505.90	...	-58.08	1 of 2 - Sale ²⁵
	102.000	72.57	05/26/20	75.48	...	-2.91	2 of 2 - Sale ²⁵
05/26/20	2,137.000	1,520.39	Various	1,581.38	...	-60.99	Total of 2 transactions
05/26/20	1,865.000	1,326.88	05/26/20	1,380.10	17.12 W	-36.10	Sale ²⁵
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	300.000	671.94	06/09/20	770.56	...	-98.62	1 of 3 - Sale ²⁵
	200.000	445.98	06/09/20	513.71	...	-67.73	2 of 3 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 801799297
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	100.000	223.99	06/09/20	256.85	...	-32.86	3 of 3 - Sale ²⁵
06/09/20	600.000	1,341.91	Various	1,541.12	...	-199.21	Total of 3 transactions
	Security total:	4,189.18		4,502.60	17.12 W	-296.30	
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
<i>30 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	260.94	05/29/20	263.65	...	-2.71	1 of 30 - Sale ²⁵
	1.000	0.53	05/29/20	0.53	...	0.00	2 of 30 - Sale ²⁵
	2.000	1.06	05/29/20	1.05	...	0.01	3 of 30 - Sale ²⁵
	8.000	4.24	05/29/20	4.22	...	0.02	4 of 30 - Sale ²⁵
	10.000	5.30	05/29/20	5.27	...	0.03	5 of 30 - Sale ²⁵
	10.000	5.30	05/29/20	5.27	...	0.03	6 of 30 - Sale ²⁵
	10.000	5.30	05/29/20	5.27	...	0.03	7 of 30 - Sale ²⁵
	14.000	7.42	05/29/20	7.38	...	0.04	8 of 30 - Sale ²⁵
	50.000	26.50	05/29/20	26.36	...	0.14	9 of 30 - Sale ²⁵
	85.000	45.04	05/29/20	44.82	...	0.22	10 of 30 - Sale ²⁵
	94.000	49.81	05/29/20	49.57	...	0.24	11 of 30 - Sale ²⁵
	100.000	52.99	05/29/20	52.73	...	0.26	12 of 30 - Sale ²⁵
	100.000	52.99	05/29/20	52.73	...	0.26	13 of 30 - Sale ²⁵
	100.000	52.99	05/29/20	52.73	...	0.26	14 of 30 - Sale ²⁵
	120.000	63.59	05/29/20	63.28	...	0.31	15 of 30 - Sale ²⁵
	200.000	105.78	05/29/20	105.46	...	0.32	16 of 30 - Sale ²⁵
	130.000	68.88	05/29/20	68.55	...	0.33	17 of 30 - Sale ²⁵
	300.000	158.66	05/29/20	158.19	...	0.47	18 of 30 - Sale ²⁵
	200.000	105.98	05/29/20	105.46	...	0.52	19 of 30 - Sale ²⁵
	235.000	124.52	05/29/20	123.92	...	0.60	20 of 30 - Sale ²⁵
	400.000	211.55	05/29/20	210.92	...	0.63	21 of 30 - Sale ²⁵
	300.000	158.96	05/29/20	158.19	...	0.77	22 of 30 - Sale ²⁵
	500.000	264.44	05/29/20	263.65	...	0.79	23 of 30 - Sale ²⁵
	500.000	264.44	05/29/20	263.65	...	0.79	24 of 30 - Sale ²⁵
	1,000.000	529.87	05/29/20	528.94	...	0.93	25 of 30 - Sale ²⁵
	400.000	211.95	05/29/20	210.92	...	1.03	26 of 30 - Sale ²⁵
	500.000	264.94	05/29/20	263.65	...	1.29	27 of 30 - Sale ²⁵
	831.000	439.50	05/29/20	438.19	...	1.31	28 of 30 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol: (cont'd)							
	900.000	475.99	05/29/20	474.57	...	1.42	29 of 30 - Sale ²⁵
	2,400.000	1,269.28	05/29/20	1,265.52	...	3.76	30 of 30 - Sale ²⁵
05/29/20	10,000.000	5,288.74	Various	5,274.64	...	14.10	Total of 30 transactions
05/29/20	1,000.000	521.86	05/29/20	523.50	1.64 W	0.00	Sale ²⁵
	Security total:	5,810.60		5,798.14	1.64 W	14.10	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
11 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	380.000	208.96	05/20/20	361.14	...	-152.18	1 of 11 - Sale ²⁵
	300.000	164.96	05/20/20	225.03	...	-60.07	2 of 11 - Sale ²⁵
	55.000	30.24	05/20/20	52.28	...	-22.04	3 of 11 - Sale ²⁵
	145.000	79.73	05/20/20	94.25	...	-14.52	4 of 11 - Sale ²⁵
	45.000	24.74	05/20/20	38.26	...	-13.52	5 of 11 - Sale ²⁵
	55.000	30.24	05/20/20	41.26	...	-11.02	6 of 11 - Sale ²⁵
	20.000	11.00	05/20/20	21.00	...	-10.00	7 of 11 - Sale ²⁵
	45.000	24.75	05/20/20	33.76	...	-9.01	8 of 11 - Sale ²⁵
	58.000	31.89	05/20/20	37.70	...	-5.81	9 of 11 - Sale ²⁵
	21.000	11.55	05/20/20	13.65	...	-2.10	10 of 11 - Sale ²⁵
	1.000	0.55	05/20/20	0.65	...	-0.10	11 of 11 - Sale ²⁵
05/21/20	1,125.000	618.61	Various	918.98	...	-300.37	Total of 11 transactions
13 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	20.000	11.00	05/20/20	13.00	2.00 W	0.00	1 of 13 - Sale ²⁵
	55.000	30.24	05/20/20	35.75	5.51 W	0.00	2 of 13 - Sale ²⁵
	20.000	11.00	05/20/20	15.00	4.00 W	0.00	3 of 13 - Sale ²⁵
	425.000	233.70	05/20/20	276.25	42.55 W	0.00	4 of 13 - Sale ²⁵
	55.000	30.24	05/20/20	41.26	11.02 W	0.00	5 of 13 - Sale ²⁵
	20.000	11.00	05/20/20	17.00	6.00 W	0.00	6 of 13 - Sale ²⁵
	425.000	233.70	05/20/20	318.80	85.10 W	0.00	7 of 13 - Sale ²⁵
	55.000	30.24	05/20/20	35.75	5.51 W	0.00	8 of 13 - Sale ²⁵
	300.000	164.97	05/20/20	195.00	30.03 W	0.00	9 of 13 - Sale ²⁵
	45.000	24.74	05/20/20	29.25	4.51 W	0.00	10 of 13 - Sale ²⁵
	20.000	11.00	05/20/20	19.00	8.00 W	0.00	11 of 13 - Sale ²⁵
	55.000	30.24	05/20/20	46.77	16.53 W	0.00	12 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol: (cont'd)							
	380.000	208.95	05/20/20	323.09	114.14 W	0.00	13 of 13 - Sale ²⁵
05/21/20	1,875.000	1,031.02	Various	1,365.92	334.90 W	0.00	Total of 13 transactions
	Security total:	1,649.63		2,284.90	334.90 W	-300.37	
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
12/14/20	402.000	1,242.10	12/11/20	1,519.52	...	-277.42	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
20 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	4.000	12.04	05/20/20	10.24	...	1.80	1 of 20 - Sale ²⁵
	4.000	12.04	05/20/20	10.24	...	1.80	2 of 20 - Sale ²⁵
	30.000	90.29	05/20/20	76.80	...	13.49	3 of 20 - Sale ²⁵
	33.000	99.33	05/20/20	85.14	...	14.19	4 of 20 - Sale ²⁵
	42.000	126.42	05/20/20	108.36	...	18.06	5 of 20 - Sale ²⁵
	58.000	174.57	05/20/20	149.64	...	24.93	6 of 20 - Sale ²⁵
	77.000	231.76	05/20/20	198.66	...	33.10	7 of 20 - Sale ²⁵
	75.000	225.74	05/20/20	192.00	...	33.74	8 of 20 - Sale ²⁵
	90.000	270.89	05/20/20	232.20	...	38.69	9 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	258.00	...	42.99	10 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	258.00	...	42.99	11 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	258.00	...	42.99	12 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	258.00	...	42.99	13 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	256.00	...	44.99	14 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	256.00	...	44.99	15 of 20 - Sale ²⁵
	100.000	300.99	05/20/20	256.00	...	44.99	16 of 20 - Sale ²⁵
	125.000	376.23	05/20/20	320.00	...	56.23	17 of 20 - Sale ²⁵
	196.000	589.92	05/20/20	501.76	...	88.16	18 of 20 - Sale ²⁵
	266.000	800.61	05/20/20	680.96	...	119.65	19 of 20 - Sale ²⁵
	300.000	902.94	05/20/20	774.00	...	128.94	20 of 20 - Sale ²⁵
05/20/20	2,000.000	6,019.71	Various	5,140.00	...	879.71	Total of 20 transactions
8 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	100.000	219.98	05/21/20	214.00	...	5.98	1 of 8 - Sale ²⁵
	100.000	219.98	05/21/20	214.00	...	5.98	2 of 8 - Sale ²⁵
	200.000	439.96	05/21/20	428.00	...	11.96	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	200.000	439.97	05/21/20	428.00	...	11.97	4 of 8 - Sale ²⁵
	200.000	439.97	05/21/20	428.00	...	11.97	5 of 8 - Sale ²⁵
	200.000	439.97	05/21/20	428.00	...	11.97	6 of 8 - Sale ²⁵
	200.000	439.97	05/21/20	428.00	...	11.97	7 of 8 - Sale ²⁵
	804.000	1,768.66	05/21/20	1,720.56	...	48.10	8 of 8 - Sale ²⁵
05/21/20	2,004.000	4,408.46	Various	4,288.56	...	119.90	Total of 8 transactions
	15 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	91.000	187.45	05/21/20	195.65	8.20 W	0.00	1 of 15 - Sale ²⁵
	89.000	183.33	05/21/20	191.35	8.02 W	0.00	2 of 15 - Sale ²⁵
	8.000	16.48	05/21/20	17.92	1.44 W	0.00	3 of 15 - Sale ²⁵
	3.000	6.18	05/21/20	6.72	0.54 W	0.00	4 of 15 - Sale ²⁵
	20.000	41.20	05/21/20	44.80	3.60 W	0.00	5 of 15 - Sale ²⁵
	80.000	164.79	05/21/20	179.21	14.42 W	0.00	6 of 15 - Sale ²⁵
	8.000	16.48	05/21/20	18.64	2.16 W	0.00	7 of 15 - Sale ²⁵
	69.000	142.13	05/21/20	154.57	12.44 W	0.00	8 of 15 - Sale ²⁵
	320.000	659.13	05/21/20	688.00	28.87 W	0.00	9 of 15 - Sale ²⁵
	3.000	6.18	05/21/20	6.99	0.81 W	0.00	10 of 15 - Sale ²⁵
	20.000	41.20	05/21/20	46.60	5.40 W	0.00	11 of 15 - Sale ²⁵
	80.000	164.79	05/21/20	186.42	21.63 W	0.00	12 of 15 - Sale ²⁵
	297.000	611.76	05/21/20	638.55	26.79 W	0.00	13 of 15 - Sale ²⁵
	300.000	617.94	05/21/20	645.00	27.06 W	0.00	14 of 15 - Sale ²⁵
	616.000	1,268.86	05/21/20	1,324.40	55.54 W	0.00	15 of 15 - Sale ²⁵
05/21/20	2,004.000	4,127.90	Various	4,344.82	216.92 W	0.00	Total of 15 transactions
	10 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	3.000	4.14	05/22/20	5.13	0.99 W	0.00	1 of 10 - Sale ²⁵
	8.000	11.04	05/22/20	13.67	2.63 W	0.00	2 of 10 - Sale ²⁵
	20.000	27.60	05/22/20	34.18	6.58 W	0.00	3 of 10 - Sale ²⁵
	69.000	95.21	05/22/20	111.73	16.52 W	0.00	4 of 10 - Sale ²⁵
	80.000	110.39	05/22/20	136.75	26.36 W	0.00	5 of 10 - Sale ²⁵
	297.000	409.81	05/22/20	454.17	44.36 W	0.00	6 of 10 - Sale ²⁵
	300.000	413.95	05/22/20	458.76	44.81 W	0.00	7 of 10 - Sale ²⁵
	320.000	441.55	05/22/20	489.35	47.80 W	0.00	8 of 10 - Sale ²⁵
	616.000	849.98	05/22/20	941.97	91.99 W	0.00	9 of 10 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	291.000	401.54	05/22/20	418.75	17.21 W	0.00	10 of 10 - Sale ²⁵
05/22/20	2,004.000	2,765.21	Various	3,064.46	299.25 W	0.00	Total of 10 transactions
	11 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	8.000	16.64	05/26/20	18.91	2.27 W	0.00	1 of 11 - Sale ²⁵
	23.000	47.84	05/26/20	50.24	2.40 W	0.00	2 of 11 - Sale ²⁵
	69.000	143.51	05/26/20	156.94	13.43 W	0.00	3 of 11 - Sale ²⁵
	5.000	10.40	05/26/20	10.93	0.53 W	0.00	4 of 11 - Sale ²⁵
	66.000	137.27	05/26/20	144.17	6.90 W	0.00	5 of 11 - Sale ²⁵
	29.000	60.31	05/26/20	63.35	3.04 W	0.00	6 of 11 - Sale ²⁵
	1.000	2.08	05/26/20	2.18	0.10 W	0.00	7 of 11 - Sale ²⁵
	3.000	6.24	05/26/20	7.10	0.86 W	0.00	8 of 11 - Sale ²⁵
	20.000	41.60	05/26/20	47.28	5.68 W	0.00	9 of 11 - Sale ²⁵
	80.000	166.38	05/26/20	189.16	22.78 W	0.00	10 of 11 - Sale ²⁵
	197.000	409.73	05/26/20	430.32	20.59 W	0.00	11 of 11 - Sale ²⁵
05/26/20	501.000	1,042.00	Various	1,120.58	78.58 W	0.00	Total of 11 transactions
	43 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	204.000	428.37	05/28/20	507.82	79.45 W	0.00	1 of 43 - Sale ²⁵
	296.000	621.55	05/28/20	736.85	115.30 W	0.00	2 of 43 - Sale ²⁵
	300.000	629.95	05/28/20	746.81	116.86 W	0.00	3 of 43 - Sale ²⁵
	8.000	16.80	05/28/20	20.99	4.19 W	0.00	4 of 43 - Sale ²⁵
	20.000	42.00	05/28/20	48.89	6.89 W	0.00	5 of 43 - Sale ²⁵
	69.000	144.89	05/28/20	174.89	30.00 W	0.00	6 of 43 - Sale ²⁵
	291.000	611.05	05/28/20	698.15	87.10 W	0.00	7 of 43 - Sale ²⁵
	412.000	865.13	05/28/20	1,025.61	160.48 W	0.00	8 of 43 - Sale ²⁵
	3.000	6.30	05/28/20	7.33	1.03 W	0.00	9 of 43 - Sale ²⁵
	5.000	10.50	05/28/20	12.23	1.73 W	0.00	10 of 43 - Sale ²⁵
	26.000	54.60	05/28/20	63.57	8.97 W	0.00	11 of 43 - Sale ²⁵
	66.000	138.59	05/28/20	161.34	22.75 W	0.00	12 of 43 - Sale ²⁵
	1.000	2.10	05/28/20	2.44	0.34 W	0.00	13 of 43 - Sale ²⁵
	3.000	6.30	05/28/20	7.33	1.03 W	0.00	14 of 43 - Sale ²⁵
	3.000	6.30	05/28/20	7.88	1.58 W	0.00	15 of 43 - Sale ²⁵
	20.000	42.00	05/28/20	52.48	10.48 W	0.00	16 of 43 - Sale ²⁵
	80.000	167.99	05/28/20	209.98	41.99 W	0.00	17 of 43 - Sale ²⁵
	197.000	413.67	05/28/20	481.57	67.90 W	0.00	18 of 43 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	496.000	1,041.51	05/28/20	1,160.64	119.13 W	0.00	19 of 43 - Sale ²⁵
	256.000	537.55	05/28/20	599.04	61.49 W	0.00	20 of 43 - Sale ²⁵
	247.000	518.66	05/28/20	577.98	59.32 W	0.00	21 of 43 - Sale ²⁵
	1,998.000	4,195.45	05/28/20	4,214.78	19.33 W	0.00	22 of 43 - Sale ²⁵
	1.000	2.10	05/28/20	2.45	0.35 W	0.00	23 of 43 - Sale ²⁵
	3.000	6.30	05/28/20	7.36	1.06 W	0.00	24 of 43 - Sale ²⁵
	3.000	6.30	05/28/20	7.36	1.06 W	0.00	25 of 43 - Sale ²⁵
	3.000	6.30	05/28/20	7.91	1.61 W	0.00	26 of 43 - Sale ²⁵
	5.000	10.50	05/28/20	12.28	1.78 W	0.00	27 of 43 - Sale ²⁵
	8.000	16.80	05/28/20	21.07	4.27 W	0.00	28 of 43 - Sale ²⁵
	20.000	42.00	05/28/20	49.08	7.08 W	0.00	29 of 43 - Sale ²⁵
	20.000	42.00	05/28/20	52.67	10.67 W	0.00	30 of 43 - Sale ²⁵
	26.000	54.60	05/28/20	63.82	9.22 W	0.00	31 of 43 - Sale ²⁵
	66.000	138.59	05/28/20	161.98	23.39 W	0.00	32 of 43 - Sale ²⁵
	69.000	144.89	05/28/20	175.56	30.67 W	0.00	33 of 43 - Sale ²⁵
	80.000	167.99	05/28/20	210.75	42.76 W	0.00	34 of 43 - Sale ²⁵
	197.000	413.67	05/28/20	483.47	69.80 W	0.00	35 of 43 - Sale ²⁵
	204.000	428.37	05/28/20	509.79	81.42 W	0.00	36 of 43 - Sale ²⁵
	247.000	518.65	05/28/20	580.36	61.71 W	0.00	37 of 43 - Sale ²⁵
	256.000	537.55	05/28/20	601.52	63.97 W	0.00	38 of 43 - Sale ²⁵
	291.000	611.05	05/28/20	700.97	89.92 W	0.00	39 of 43 - Sale ²⁵
	296.000	621.55	05/28/20	739.71	118.16 W	0.00	40 of 43 - Sale ²⁵
	300.000	629.95	05/28/20	749.71	119.76 W	0.00	41 of 43 - Sale ²⁵
	412.000	865.13	05/28/20	1,029.59	164.46 W	0.00	42 of 43 - Sale ²⁵
	496.000	1,041.51	05/28/20	1,165.43	123.92 W	0.00	43 of 43 - Sale ²⁵
05/28/20	8,004.000	16,807.06	Various	18,851.44	2,044.38 W	0.00	Total of 43 transactions
	5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	63.000	258.91	06/04/20	257.65	...	1.26	1 of 5 - Sale ²⁵
	145.000	595.92	06/04/20	593.00	...	2.92	2 of 5 - Sale ²⁵
	508.000	2,087.77	06/04/20	2,077.55	...	10.22	3 of 5 - Sale ²⁵
	593.000	2,437.10	06/04/20	2,425.18	...	11.92	4 of 5 - Sale ²⁵
	689.000	2,831.65	06/04/20	2,817.79	...	13.86	5 of 5 - Sale ²⁵
06/04/20	1,998.000	8,211.35	Various	8,171.17	...	40.18	Total of 5 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
27 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	170.000	698.66	06/04/20	761.46	62.80 W	0.00	1 of 27 - Sale ²⁵
	296.000	1,216.50	06/04/20	1,325.84	109.34 W	0.00	2 of 27 - Sale ²⁵
	45.000	184.94	06/04/20	201.57	16.63 W	0.00	3 of 27 - Sale ²⁵
	85.000	344.23	06/04/20	380.73	36.50 W	0.00	4 of 27 - Sale ²⁵
	107.000	433.33	06/04/20	479.27	45.94 W	0.00	5 of 27 - Sale ²⁵
	97.000	392.83	06/04/20	434.47	41.64 W	0.00	6 of 27 - Sale ²⁵
	191.000	773.51	06/04/20	838.30	64.79 W	0.00	7 of 27 - Sale ²⁵
	412.000	1,668.51	06/04/20	1,845.42	176.91 W	0.00	8 of 27 - Sale ²⁵
	3.000	12.15	06/04/20	13.30	1.15 W	0.00	9 of 27 - Sale ²⁵
	3.000	12.15	06/04/20	13.30	1.15 W	0.00	10 of 27 - Sale ²⁵
	5.000	20.25	06/04/20	22.18	1.93 W	0.00	11 of 27 - Sale ²⁵
	8.000	32.40	06/04/20	36.91	4.51 W	0.00	12 of 27 - Sale ²⁵
	20.000	81.00	06/04/20	88.68	7.68 W	0.00	13 of 27 - Sale ²⁵
	26.000	105.29	06/04/20	115.30	10.01 W	0.00	14 of 27 - Sale ²⁵
	66.000	267.28	06/04/20	292.67	25.39 W	0.00	15 of 27 - Sale ²⁵
	69.000	279.43	06/04/20	312.19	32.76 W	0.00	16 of 27 - Sale ²⁵
	100.000	404.98	06/04/20	438.90	33.92 W	0.00	17 of 27 - Sale ²⁵
	56.000	226.79	06/04/20	248.32	21.53 W	0.00	18 of 27 - Sale ²⁵
	1.000	4.05	06/04/20	4.43	0.38 W	0.00	19 of 27 - Sale ²⁵
	3.000	12.15	06/04/20	13.85	1.70 W	0.00	20 of 27 - Sale ²⁵
	20.000	81.00	06/04/20	92.27	11.27 W	0.00	21 of 27 - Sale ²⁵
	80.000	323.98	06/04/20	369.16	45.18 W	0.00	22 of 27 - Sale ²⁵
	141.000	571.02	06/04/20	625.24	54.22 W	0.00	23 of 27 - Sale ²⁵
	299.000	1,210.89	06/04/20	1,294.62	83.73 W	0.00	24 of 27 - Sale ²⁵
	247.000	1,000.30	06/04/20	1,069.47	69.17 W	0.00	25 of 27 - Sale ²⁵
	256.000	1,036.74	06/04/20	1,108.45	71.71 W	0.00	26 of 27 - Sale ²⁵
	197.000	797.81	06/04/20	852.98	55.17 W	0.00	27 of 27 - Sale ²⁵
06/04/20	3,003.000	12,192.17	Various	13,279.28	1,087.11 W	0.00	Total of 27 transactions
40 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	295.000	1,457.23	06/08/20	1,507.41	...	-50.18	1 of 40 - Sale ²⁵
	299.000	1,476.99	06/08/20	1,516.01	...	-39.02	2 of 40 - Sale ²⁵
	80.000	395.98	06/08/20	431.60	...	-35.62	3 of 40 - Sale ²⁵
	158.000	782.06	06/08/20	816.78	...	-34.72	4 of 40 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
252.000		1,244.82	06/08/20	1,277.73	...	-32.91	5 of 40 - Sale ²⁵
122.000		603.87	06/08/20	636.20	...	-32.33	6 of 40 - Sale ²⁵
247.000		1,220.12	06/08/20	1,252.35	...	-32.23	7 of 40 - Sale ²⁵
170.000		839.76	06/08/20	868.68	...	-28.92	8 of 40 - Sale ²⁵
197.000		973.14	06/08/20	998.85	...	-25.71	9 of 40 - Sale ²⁵
69.000		341.53	06/08/20	366.04	...	-24.51	10 of 40 - Sale ²⁵
105.000		518.67	06/08/20	542.83	...	-24.16	11 of 40 - Sale ²⁵
105.000		518.68	06/08/20	542.82	...	-24.14	12 of 40 - Sale ²⁵
100.000		493.98	06/08/20	516.98	...	-23.00	13 of 40 - Sale ²⁵
97.000		479.15	06/08/20	501.46	...	-22.31	14 of 40 - Sale ²⁵
85.000		419.88	06/08/20	439.44	...	-19.56	15 of 40 - Sale ²⁵
66.000		326.68	06/08/20	344.18	...	-17.50	16 of 40 - Sale ²⁵
72.000		356.38	06/08/20	372.20	...	-15.82	17 of 40 - Sale ²⁵
56.000		277.19	06/08/20	292.02	...	-14.83	18 of 40 - Sale ²⁵
26.000		128.69	06/08/20	135.60	...	-6.91	19 of 40 - Sale ²⁵
14.000		69.30	06/08/20	75.51	...	-6.21	20 of 40 - Sale ²⁵
34.000		167.95	06/08/20	173.74	...	-5.79	21 of 40 - Sale ²⁵
25.000		123.74	06/08/20	129.24	...	-5.50	22 of 40 - Sale ²⁵
25.000		123.74	06/08/20	129.23	...	-5.49	23 of 40 - Sale ²⁵
20.000		99.00	06/08/20	104.28	...	-5.28	24 of 40 - Sale ²⁵
19.000		94.05	06/08/20	99.08	...	-5.03	25 of 40 - Sale ²⁵
8.000		39.60	06/08/20	43.15	...	-3.55	26 of 40 - Sale ²⁵
6.000		29.70	06/08/20	32.36	...	-2.66	27 of 40 - Sale ²⁵
10.000		49.40	06/08/20	51.10	...	-1.70	28 of 40 - Sale ²⁵
3.000		14.85	06/08/20	16.19	...	-1.34	29 of 40 - Sale ²⁵
5.000		24.75	06/08/20	26.08	...	-1.33	30 of 40 - Sale ²⁵
5.000		24.75	06/08/20	25.85	...	-1.10	31 of 40 - Sale ²⁵
3.000		14.85	06/08/20	15.64	...	-0.79	32 of 40 - Sale ²⁵
3.000		14.85	06/08/20	15.64	...	-0.79	33 of 40 - Sale ²⁵
3.000		14.85	06/08/20	15.51	...	-0.66	34 of 40 - Sale ²⁵
3.000		14.85	06/08/20	15.51	...	-0.66	35 of 40 - Sale ²⁵
4.000		19.76	06/08/20	20.28	...	-0.52	36 of 40 - Sale ²⁵
2.000		9.88	06/08/20	10.34	...	-0.46	37 of 40 - Sale ²⁵
1.000		4.95	06/08/20	5.21	...	-0.26	38 of 40 - Sale ²⁵

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Account 801799297

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(continued)

03/22/2021 AMENDED

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	1.000	4.94	06/08/20	5.11	...	-0.17	39 of 40 - Sale ²⁵
	1.000	4.94	06/08/20	5.11	...	-0.17	40 of 40 - Sale ²⁵
06/08/20	2,796.000	13,819.50	Various	14,373.34	...	-553.84	Total of 40 transactions
	40 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	312.000	1,544.33	06/08/20	1,641.00	32.53 W	-64.14	1 of 40 - Sale ²⁵
	45.000	209.24	06/08/20	222.73	13.49 W	0.00	2 of 40 - Sale ²⁵
	85.000	395.23	06/08/20	425.80	30.57 W	0.00	3 of 40 - Sale ²⁵
	97.000	451.03	06/08/20	485.90	34.87 W	0.00	4 of 40 - Sale ²⁵
	107.000	497.53	06/08/20	536.00	38.47 W	0.00	5 of 40 - Sale ²⁵
	170.000	790.46	06/08/20	841.40	50.94 W	0.00	6 of 40 - Sale ²⁵
	296.000	1,376.33	06/08/20	1,465.02	88.69 W	0.00	7 of 40 - Sale ²⁵
	158.000	734.66	06/08/20	777.24	42.58 W	0.00	8 of 40 - Sale ²⁵
	412.000	1,915.71	06/08/20	2,063.87	148.16 W	0.00	9 of 40 - Sale ²⁵
	5.000	23.25	06/08/20	24.60	1.35 W	0.00	10 of 40 - Sale ²⁵
	28.000	130.19	06/08/20	137.73	7.54 W	0.00	11 of 40 - Sale ²⁵
	72.000	334.78	06/08/20	354.18	19.40 W	0.00	12 of 40 - Sale ²⁵
	3.000	13.95	06/08/20	14.89	0.94 W	0.00	13 of 40 - Sale ²⁵
	3.000	13.95	06/08/20	14.89	0.94 W	0.00	14 of 40 - Sale ²⁵
	5.000	23.25	06/08/20	24.83	1.58 W	0.00	15 of 40 - Sale ²⁵
	8.000	37.20	06/08/20	41.15	3.95 W	0.00	16 of 40 - Sale ²⁵
	19.000	88.35	06/08/20	94.33	5.98 W	0.00	17 of 40 - Sale ²⁵
	20.000	93.00	06/08/20	99.28	6.28 W	0.00	18 of 40 - Sale ²⁵
	26.000	120.89	06/08/20	129.09	8.20 W	0.00	19 of 40 - Sale ²⁵
	28.000	130.19	06/08/20	137.74	7.55 W	0.00	20 of 40 - Sale ²⁵
	56.000	260.39	06/08/20	278.01	17.62 W	0.00	21 of 40 - Sale ²⁵
	66.000	306.89	06/08/20	327.67	20.78 W	0.00	22 of 40 - Sale ²⁵
	69.000	320.84	06/08/20	348.78	27.94 W	0.00	23 of 40 - Sale ²⁵
	3.000	13.95	06/08/20	15.44	1.49 W	0.00	24 of 40 - Sale ²⁵
	20.000	93.00	06/08/20	102.87	9.87 W	0.00	25 of 40 - Sale ²⁵
	80.000	371.98	06/08/20	411.58	39.60 W	0.00	26 of 40 - Sale ²⁵
	122.000	567.27	06/08/20	605.67	38.40 W	0.00	27 of 40 - Sale ²⁵
	1.000	4.65	06/08/20	4.96	0.31 W	0.00	28 of 40 - Sale ²⁵
	197.000	916.00	06/08/20	957.43	41.43 W	0.00	29 of 40 - Sale ²⁵
	247.000	1,148.50	06/08/20	1,200.43	51.93 W	0.00	30 of 40 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	256.000	1,190.34	06/08/20	1,244.19	53.85 W	0.00	31 of 40 - Sale ²⁵
	299.000	1,390.28	06/08/20	1,453.15	62.87 W	0.00	32 of 40 - Sale ²⁵
	34.000	168.29	06/08/20	176.79	8.50 W	0.00	33 of 40 - Sale ²⁵
	170.000	841.46	06/08/20	883.94	42.48 W	0.00	34 of 40 - Sale ²⁵
	296.000	1,465.13	06/08/20	1,539.09	73.96 W	0.00	35 of 40 - Sale ²⁵
	11.000	54.45	06/08/20	57.20	2.75 W	0.00	36 of 40 - Sale ²⁵
	85.000	420.73	06/08/20	447.07	26.34 W	0.00	37 of 40 - Sale ²⁵
	97.000	480.13	06/08/20	510.17	30.04 W	0.00	38 of 40 - Sale ²⁵
	100.000	494.98	06/08/20	525.96	30.98 W	0.00	39 of 40 - Sale ²⁵
	107.000	529.63	06/08/20	562.77	33.14 W	0.00	40 of 40 - Sale ²⁵
06/08/20	4,215.000	19,962.41	Various	21,184.84	1,158.29 W	-64.14	Total of 40 transactions
	Security total:	89,355.77		93,818.49	4,884.53 W	421.81	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
04/02/20	400.000	1,379.91	03/31/20	1,331.96	...	47.95	Sale ²⁵
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
93 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	3.52	05/29/20	3.40	...	0.12	1 of 93 - Sale ²⁵
	3.000	10.95	05/29/20	10.80	...	0.15	2 of 93 - Sale ²⁵
	1.000	3.26	05/29/20	2.63	...	0.63	3 of 93 - Sale ²⁵
	1.000	3.26	05/29/20	2.63	...	0.63	4 of 93 - Sale ²⁵
	8.000	28.16	05/29/20	27.20	...	0.96	5 of 93 - Sale ²⁵
	13.000	45.76	05/29/20	44.20	...	1.56	6 of 93 - Sale ²⁵
	32.000	122.56	05/29/20	120.00	...	2.56	7 of 93 - Sale ²⁵
	4.000	13.04	05/29/20	10.44	...	2.60	8 of 93 - Sale ²⁵
	57.000	208.04	05/29/20	205.20	...	2.84	9 of 93 - Sale ²⁵
	50.000	191.00	05/29/20	187.50	...	3.50	10 of 93 - Sale ²⁵
	50.000	182.49	05/29/20	177.50	...	4.99	11 of 93 - Sale ²⁵
	80.000	305.59	05/29/20	300.00	...	5.59	12 of 93 - Sale ²⁵
	92.000	351.43	05/29/20	345.00	...	6.43	13 of 93 - Sale ²⁵
	100.000	381.99	05/29/20	375.00	...	6.99	14 of 93 - Sale ²⁵
	157.000	573.02	05/29/20	565.20	...	7.82	15 of 93 - Sale ²⁵
	13.000	42.38	05/29/20	34.26	...	8.12	16 of 93 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
13.000		42.38	05/29/20	34.20	...	8.18	17 of 93 - Sale ²⁵
14.000		45.64	05/29/20	36.89	...	8.75	18 of 93 - Sale ²⁵
14.000		45.64	05/29/20	36.89	...	8.75	19 of 93 - Sale ²⁵
14.000		45.64	05/29/20	36.82	...	8.82	20 of 93 - Sale ²⁵
14.000		45.64	05/29/20	36.82	...	8.82	21 of 93 - Sale ²⁵
152.000		579.08	05/29/20	570.00	...	9.08	22 of 93 - Sale ²⁵
13.000		42.38	05/29/20	33.29	...	9.09	23 of 93 - Sale ²⁵
14.000		45.64	05/29/20	36.54	...	9.10	24 of 93 - Sale ²⁵
138.000		527.12	05/29/20	517.50	...	9.62	25 of 93 - Sale ²⁵
14.000		45.64	05/29/20	35.84	...	9.80	26 of 93 - Sale ²⁵
100.000		364.98	05/29/20	355.00	...	9.98	27 of 93 - Sale ²⁵
151.000		576.79	05/29/20	566.25	...	10.54	28 of 93 - Sale ²⁵
89.000		313.27	05/29/20	302.60	...	10.67	29 of 93 - Sale ²⁵
158.000		603.52	05/29/20	592.50	...	11.02	30 of 93 - Sale ²⁵
18.000		58.68	05/29/20	46.99	...	11.69	31 of 93 - Sale ²⁵
100.000		351.99	05/29/20	340.00	...	11.99	32 of 93 - Sale ²⁵
100.000		351.99	05/29/20	340.00	...	11.99	33 of 93 - Sale ²⁵
22.000		71.72	05/29/20	57.97	...	13.75	34 of 93 - Sale ²⁵
22.000		71.72	05/29/20	57.95	...	13.77	35 of 93 - Sale ²⁵
22.000		71.72	05/29/20	57.86	...	13.86	36 of 93 - Sale ²⁵
28.000		91.27	05/29/20	73.95	...	17.32	37 of 93 - Sale ²⁵
28.000		91.27	05/29/20	73.78	...	17.49	38 of 93 - Sale ²⁵
28.000		91.27	05/29/20	73.67	...	17.60	39 of 93 - Sale ²⁵
28.000		91.27	05/29/20	73.67	...	17.60	40 of 93 - Sale ²⁵
28.000		91.27	05/29/20	73.66	...	17.61	41 of 93 - Sale ²⁵
28.000		91.27	05/29/20	73.09	...	18.18	42 of 93 - Sale ²⁵
26.000		84.76	05/29/20	66.57	...	18.19	43 of 93 - Sale ²⁵
301.000		1,149.75	05/29/20	1,128.75	...	21.00	44 of 93 - Sale ²⁵
36.000		117.35	05/29/20	94.90	...	22.45	45 of 93 - Sale ²⁵
36.000		117.35	05/29/20	94.88	...	22.47	46 of 93 - Sale ²⁵
36.000		117.35	05/29/20	94.86	...	22.49	47 of 93 - Sale ²⁵
31.000		101.05	05/29/20	78.43	...	22.62	48 of 93 - Sale ²⁵
36.000		117.35	05/29/20	94.72	...	22.63	49 of 93 - Sale ²⁵
36.000		117.36	05/29/20	93.99	...	23.37	50 of 93 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	300.000	1,148.93	05/29/20	1,125.00	...	23.93	51 of 93 - Sale ²⁵
	200.000	703.96	05/29/20	680.00	...	23.96	52 of 93 - Sale ²⁵
	349.000	1,333.10	05/29/20	1,308.75	...	24.35	53 of 93 - Sale ²⁵
	603.000	2,200.82	05/29/20	2,170.80	...	30.02	54 of 93 - Sale ²⁵
	50.000	162.99	05/29/20	131.80	...	31.19	55 of 93 - Sale ²⁵
	400.000	1,531.91	05/29/20	1,500.00	...	31.91	56 of 93 - Sale ²⁵
	643.000	2,346.82	05/29/20	2,314.80	...	32.02	57 of 93 - Sale ²⁵
	271.000	953.86	05/29/20	921.40	...	32.46	58 of 93 - Sale ²⁵
	58.000	189.07	05/29/20	152.59	...	36.48	59 of 93 - Sale ²⁵
	58.000	189.07	05/29/20	152.59	...	36.48	60 of 93 - Sale ²⁵
	740.000	2,700.85	05/29/20	2,664.00	...	36.85	61 of 93 - Sale ²⁵
	331.000	1,165.05	05/29/20	1,125.40	...	39.65	62 of 93 - Sale ²⁵
	800.000	2,919.83	05/29/20	2,880.00	...	39.83	63 of 93 - Sale ²⁵
	400.000	1,459.91	05/29/20	1,420.00	...	39.91	64 of 93 - Sale ²⁵
	353.000	1,242.49	05/29/20	1,200.20	...	42.29	65 of 93 - Sale ²⁵
	457.000	1,667.96	05/29/20	1,622.35	...	45.61	66 of 93 - Sale ²⁵
	399.000	1,404.40	05/29/20	1,356.60	...	47.80	67 of 93 - Sale ²⁵
	499.000	1,821.25	05/29/20	1,771.45	...	49.80	68 of 93 - Sale ²⁵
	424.000	1,492.39	05/29/20	1,441.60	...	50.79	69 of 93 - Sale ²⁵
	700.000	2,680.86	05/29/20	2,625.00	...	55.86	70 of 93 - Sale ²⁵
	638.000	2,328.56	05/29/20	2,264.90	...	63.66	71 of 93 - Sale ²⁵
	100.000	325.98	05/29/20	256.04	...	69.94	72 of 93 - Sale ²⁵
	100.000	325.99	05/29/20	253.00	...	72.99	73 of 93 - Sale ²⁵
	100.000	325.99	05/29/20	253.00	...	72.99	74 of 93 - Sale ²⁵
	100.000	325.99	05/29/20	253.00	...	72.99	75 of 93 - Sale ²⁵
	100.000	325.99	05/29/20	253.00	...	72.99	76 of 93 - Sale ²⁵
	100.000	325.98	05/29/20	252.00	...	73.98	77 of 93 - Sale ²⁵
	701.000	2,467.38	05/29/20	2,383.40	...	83.98	78 of 93 - Sale ²⁵
	859.000	3,135.18	05/29/20	3,049.45	...	85.73	79 of 93 - Sale ²⁵
	160.000	521.57	05/29/20	409.65	...	111.92	80 of 93 - Sale ²⁵
	187.000	609.58	05/29/20	478.79	...	130.79	81 of 93 - Sale ²⁵
	200.000	651.96	05/29/20	506.00	...	145.96	82 of 93 - Sale ²⁵
	1,310.000	4,610.93	05/29/20	4,454.00	...	156.93	83 of 93 - Sale ²⁵
	1,700.000	5,983.66	05/29/20	5,780.00	...	203.66	84 of 93 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	300.000	977.94	05/29/20	759.00	...	218.94	85 of 93 - Sale ²⁵
	300.000	977.95	05/29/20	759.00	...	218.95	86 of 93 - Sale ²⁵
	369.000	1,202.87	05/29/20	933.57	...	269.30	87 of 93 - Sale ²⁵
	400.000	1,303.92	05/29/20	1,024.14	...	279.78	88 of 93 - Sale ²⁵
	400.000	1,303.92	05/29/20	1,024.14	...	279.78	89 of 93 - Sale ²⁵
	400.000	1,303.92	05/29/20	1,012.00	...	291.92	90 of 93 - Sale ²⁵
	400.000	1,303.93	05/29/20	1,012.00	...	291.93	91 of 93 - Sale ²⁵
	400.000	1,303.92	05/29/20	1,008.00	...	295.92	92 of 93 - Sale ²⁵
	2,600.000	8,475.50	05/29/20	6,578.00	...	1,897.50	93 of 93 - Sale ²⁵
05/29/20	22,509.000	78,971.70	Various	72,212.20	...	6,759.50	Total of 93 transactions
	110 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	100.000	249.48	05/29/20	251.50	2.02 W	0.00	1 of 110 - Sale ²⁵
	100.000	249.48	05/29/20	251.50	2.02 W	0.00	2 of 110 - Sale ²⁵
	22.000	54.89	05/29/20	55.33	0.44 W	0.00	3 of 110 - Sale ²⁵
	100.000	249.48	05/29/20	251.50	2.02 W	0.00	4 of 110 - Sale ²⁵
	100.000	249.48	05/29/20	251.50	2.02 W	0.00	5 of 110 - Sale ²⁵
	100.000	249.49	05/29/20	251.50	2.01 W	0.00	6 of 110 - Sale ²⁵
	100.000	249.48	05/29/20	251.50	2.02 W	0.00	7 of 110 - Sale ²⁵
	50.000	124.74	05/29/20	125.75	1.01 W	0.00	8 of 110 - Sale ²⁵
	28.000	69.86	05/29/20	70.42	0.56 W	0.00	9 of 110 - Sale ²⁵
	72.000	179.63	05/29/20	182.53	2.90 W	0.00	10 of 110 - Sale ²⁵
	28.000	69.86	05/29/20	70.99	1.13 W	0.00	11 of 110 - Sale ²⁵
	72.000	179.63	05/29/20	182.53	2.90 W	0.00	12 of 110 - Sale ²⁵
	28.000	69.86	05/29/20	70.99	1.13 W	0.00	13 of 110 - Sale ²⁵
	22.000	54.89	05/29/20	55.77	0.88 W	0.00	14 of 110 - Sale ²⁵
	50.000	124.74	05/29/20	126.76	2.02 W	0.00	15 of 110 - Sale ²⁵
	28.000	69.86	05/29/20	71.13	1.27 W	0.00	16 of 110 - Sale ²⁵
	22.000	54.89	05/29/20	55.88	0.99 W	0.00	17 of 110 - Sale ²⁵
	50.000	124.74	05/29/20	127.01	2.27 W	0.00	18 of 110 - Sale ²⁵
	28.000	69.86	05/29/20	71.13	1.27 W	0.00	19 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	55.88	1.10 W	0.00	20 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	91.44	1.81 W	0.00	21 of 110 - Sale ²⁵
	36.000	89.64	05/29/20	91.45	1.81 W	0.00	22 of 110 - Sale ²⁵
	64.000	159.35	05/29/20	162.57	3.22 W	0.00	23 of 110 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	14.000	34.86	05/29/20	35.56	0.70 W	0.00	24 of 110 - Sale ²⁵
	50.000	124.49	05/29/20	127.01	2.52 W	0.00	25 of 110 - Sale ²⁵
	36.000	89.64	05/29/20	91.45	1.81 W	0.00	26 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.56	0.70 W	0.00	27 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	71.12	1.40 W	0.00	28 of 110 - Sale ²⁵
	58.000	144.41	05/29/20	148.50	4.09 W	0.00	29 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	71.69	1.97 W	0.00	30 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.84	0.98 W	0.00	31 of 110 - Sale ²⁵
	58.000	144.41	05/29/20	148.50	4.09 W	0.00	32 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	56.32	1.54 W	0.00	33 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	71.69	1.97 W	0.00	34 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	92.17	2.54 W	0.00	35 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.84	0.98 W	0.00	36 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	71.83	2.11 W	0.00	37 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.85	0.99 W	0.00	38 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	56.43	1.65 W	0.00	39 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	92.35	2.72 W	0.00	40 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.92	1.06 W	0.00	41 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	56.43	1.65 W	0.00	42 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	71.69	1.97 W	0.00	43 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	92.35	2.72 W	0.00	44 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.91	1.05 W	0.00	45 of 110 - Sale ²⁵
	36.000	89.64	05/29/20	92.35	2.71 W	0.00	46 of 110 - Sale ²⁵
	50.000	124.49	05/29/20	128.27	3.78 W	0.00	47 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.91	1.05 W	0.00	48 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.98	1.12 W	0.00	49 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.26	1.40 W	0.00	50 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.26	1.40 W	0.00	51 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.27	1.41 W	0.00	52 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	56.98	2.20 W	0.00	53 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	71.96	2.25 W	0.00	54 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	72.53	2.82 W	0.00	55 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	72.53	2.81 W	0.00	56 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	92.53	2.90 W	0.00	57 of 110 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	36.000	89.63	05/29/20	93.26	3.63 W	0.00	58 of 110 - Sale ²⁵
	50.000	124.49	05/29/20	128.52	4.03 W	0.00	59 of 110 - Sale ²⁵
	58.000	144.41	05/29/20	150.25	5.84 W	0.00	60 of 110 - Sale ²⁵
	58.000	144.41	05/29/20	150.25	5.84 W	0.00	61 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.33	1.47 W	0.00	62 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	57.07	2.29 W	0.00	63 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	72.64	2.93 W	0.00	64 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	93.40	3.77 W	0.00	65 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.33	1.47 W	0.00	66 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	57.09	2.31 W	0.00	67 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	72.53	2.82 W	0.00	68 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	93.44	3.81 W	0.00	69 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	93.43	3.80 W	0.00	70 of 110 - Sale ²⁵
	50.000	124.49	05/29/20	129.78	5.29 W	0.00	71 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.28	0.42 W	0.00	72 of 110 - Sale ²⁵
	400.000	995.93	05/29/20	1,008.00	12.07 W	0.00	73 of 110 - Sale ²⁵
	200.000	497.96	05/29/20	504.00	6.04 W	0.00	74 of 110 - Sale ²⁵
	400.000	995.93	05/29/20	1,008.00	12.07 W	0.00	75 of 110 - Sale ²⁵
	100.000	248.98	05/29/20	252.00	3.02 W	0.00	76 of 110 - Sale ²⁵
	186.000	463.11	05/29/20	468.72	5.61 W	0.00	77 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.33	1.47 W	0.00	78 of 110 - Sale ²⁵
	32.000	79.68	05/29/20	83.22	3.54 W	0.00	79 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.40	1.54 W	0.00	80 of 110 - Sale ²⁵
	18.000	44.82	05/29/20	46.81	1.99 W	0.00	81 of 110 - Sale ²⁵
	28.000	69.72	05/29/20	72.81	3.09 W	0.00	82 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	93.62	3.99 W	0.00	83 of 110 - Sale ²⁵
	58.000	144.41	05/29/20	152.00	7.59 W	0.00	84 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.68	1.82 W	0.00	85 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.68	1.82 W	0.00	86 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.69	1.83 W	0.00	87 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.75	1.89 W	0.00	88 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	57.64	2.86 W	0.00	89 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	57.73	2.95 W	0.00	90 of 110 - Sale ²⁵
	22.000	54.78	05/29/20	57.75	2.97 W	0.00	91 of 110 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	28.000	69.71	05/29/20	73.38	3.67 W	0.00	92 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	73.37	3.66 W	0.00	93 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	73.49	3.78 W	0.00	94 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	73.38	3.67 W	0.00	95 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	94.35	4.72 W	0.00	96 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	94.49	4.86 W	0.00	97 of 110 - Sale ²⁵
	36.000	89.63	05/29/20	94.53	4.90 W	0.00	98 of 110 - Sale ²⁵
	58.000	144.41	05/29/20	152.00	7.59 W	0.00	99 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.75	1.89 W	0.00	100 of 110 - Sale ²⁵
	36.000	89.64	05/29/20	94.52	4.88 W	0.00	101 of 110 - Sale ²⁵
	50.000	124.49	05/29/20	131.29	6.80 W	0.00	102 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	35.70	0.84 W	0.00	103 of 110 - Sale ²⁵
	14.000	34.86	05/29/20	36.75	1.89 W	0.00	104 of 110 - Sale ²⁵
	28.000	69.71	05/29/20	73.66	3.95 W	0.00	105 of 110 - Sale ²⁵
	100.000	248.98	05/29/20	255.02	6.04 W	0.00	106 of 110 - Sale ²⁵
	186.000	463.11	05/29/20	474.33	11.22 W	0.00	107 of 110 - Sale ²⁵
	200.000	497.96	05/29/20	510.04	12.08 W	0.00	108 of 110 - Sale ²⁵
	400.000	995.93	05/29/20	1,020.07	24.14 W	0.00	109 of 110 - Sale ²⁵
	400.000	995.93	05/29/20	1,020.07	24.14 W	0.00	110 of 110 - Sale ²⁵
05/29/20	6,000.000	14,944.54	Various	15,313.02	368.48 W	0.00	Total of 110 transactions
42 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	5.000	23.38	05/29/20	20.18	...	3.20	1 of 42 - Sale ²⁵
	5.000	23.25	05/29/20	18.75	...	4.50	2 of 42 - Sale ²⁵
	9.000	42.08	05/29/20	36.32	...	5.76	3 of 42 - Sale ²⁵
	12.000	56.04	05/29/20	48.42	...	7.62	4 of 42 - Sale ²⁵
	13.000	60.84	05/29/20	52.46	...	8.38	5 of 42 - Sale ²⁵
	20.000	93.30	05/29/20	80.70	...	12.60	6 of 42 - Sale ²⁵
	20.000	93.50	05/29/20	80.70	...	12.80	7 of 42 - Sale ²⁵
	21.000	97.97	05/29/20	84.73	...	13.24	8 of 42 - Sale ²⁵
	21.000	98.28	05/29/20	84.73	...	13.55	9 of 42 - Sale ²⁵
	25.000	117.00	05/29/20	100.87	...	16.13	10 of 42 - Sale ²⁵
	50.000	233.25	05/29/20	201.75	...	31.50	11 of 42 - Sale ²⁵
	75.000	350.23	05/29/20	302.63	...	47.60	12 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	405.50	...	59.48	13 of 42 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	100.000	464.98	05/29/20	405.50	...	59.48	14 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	405.50	...	59.48	15 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	405.50	...	59.48	16 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	405.50	...	59.48	17 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	405.50	...	59.48	18 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	405.50	...	59.48	19 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	403.50	...	61.48	20 of 42 - Sale ²⁵
	100.000	464.98	05/29/20	403.50	...	61.48	21 of 42 - Sale ²⁵
	150.000	699.71	05/29/20	605.25	...	94.46	22 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	23 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	24 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	25 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	26 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	27 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	28 of 42 - Sale ²⁵
	200.000	929.95	05/29/20	811.00	...	118.95	29 of 42 - Sale ²⁵
	200.000	929.96	05/29/20	811.00	...	118.96	30 of 42 - Sale ²⁵
	200.000	929.96	05/29/20	811.00	...	118.96	31 of 42 - Sale ²⁵
	200.000	929.96	05/29/20	811.00	...	118.96	32 of 42 - Sale ²⁵
	200.000	929.96	05/29/20	811.00	...	118.96	33 of 42 - Sale ²⁵
	200.000	929.96	05/29/20	811.00	...	118.96	34 of 42 - Sale ²⁵
	225.000	1,050.69	05/29/20	907.87	...	142.82	35 of 42 - Sale ²⁵
	300.000	1,394.93	05/29/20	1,216.50	...	178.43	36 of 42 - Sale ²⁵
	300.000	1,394.93	05/29/20	1,216.50	...	178.43	37 of 42 - Sale ²⁵
	300.000	1,394.93	05/29/20	1,216.50	...	178.43	38 of 42 - Sale ²⁵
	320.000	1,487.92	05/29/20	1,291.20	...	196.72	39 of 42 - Sale ²⁵
	636.000	2,963.61	05/29/20	2,566.26	...	397.35	40 of 42 - Sale ²⁵
	1,000.000	4,659.77	05/29/20	4,035.00	...	624.77	41 of 42 - Sale ²⁵
	3,198.000	14,869.99	05/29/20	12,903.93	...	1,966.06	42 of 42 - Sale ²⁵
06/01/20	10,005.000	46,549.87	Various	40,448.75	...	6,101.12	Total of 42 transactions
06/01/20	2.000	8.48	06/01/20	9.10	0.62 W	0.00	Sale ²⁵
	30 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	1,200.000	4,535.75	06/01/20	6,432.25	...	-1,896.50	1 of 30 - Sale ²⁵
	650.000	2,456.87	06/01/20	3,458.14	...	-1,001.27	2 of 30 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	550.000	2,078.89	06/01/20	2,948.11	...	-869.22	3 of 30 - Sale ²⁵
	124.000	468.69	06/01/20	1,038.02	...	-569.33	4 of 30 - Sale ²⁵
	150.000	566.97	06/01/20	1,111.64	...	-544.67	5 of 30 - Sale ²⁵
	273.000	1,031.88	06/01/20	1,463.33	...	-431.45	6 of 30 - Sale ²⁵
	111.000	419.56	06/01/20	839.25	...	-419.69	7 of 30 - Sale ²⁵
	105.000	396.88	06/01/20	778.13	...	-381.25	8 of 30 - Sale ²⁵
	95.000	359.08	06/01/20	704.03	...	-344.95	9 of 30 - Sale ²⁵
	64.000	241.91	06/01/20	474.29	...	-232.38	10 of 30 - Sale ²⁵
	380.000	1,436.32	06/01/20	1,664.40	...	-228.08	11 of 30 - Sale ²⁵
	48.000	181.43	06/01/20	402.77	...	-221.34	12 of 30 - Sale ²⁵
	46.000	173.87	06/01/20	377.23	...	-203.36	13 of 30 - Sale ²⁵
	100.000	377.98	06/01/20	532.02	...	-154.04	14 of 30 - Sale ²⁵
	47.000	177.65	06/01/20	309.29	...	-131.64	15 of 30 - Sale ²⁵
	29.000	109.61	06/01/20	219.26	...	-109.65	16 of 30 - Sale ²⁵
	30.000	113.39	06/01/20	222.34	...	-108.95	17 of 30 - Sale ²⁵
	47.000	177.65	06/01/20	280.14	...	-102.49	18 of 30 - Sale ²⁵
	25.000	94.49	06/01/20	189.02	...	-94.53	19 of 30 - Sale ²⁵
	20.000	75.60	06/01/20	167.81	...	-92.21	20 of 30 - Sale ²⁵
	149.000	563.19	06/01/20	652.62	...	-89.43	21 of 30 - Sale ²⁵
	19.000	71.82	06/01/20	159.03	...	-87.21	22 of 30 - Sale ²⁵
	26.000	98.27	06/01/20	176.57	...	-78.30	23 of 30 - Sale ²⁵
	15.000	56.70	06/01/20	125.86	...	-69.16	24 of 30 - Sale ²⁵
	7.000	26.46	06/01/20	51.87	...	-25.41	25 of 30 - Sale ²⁵
	5.000	18.90	06/01/20	37.80	...	-18.90	26 of 30 - Sale ²⁵
	4.000	15.12	06/01/20	32.64	...	-17.52	27 of 30 - Sale ²⁵
	7.000	26.46	06/01/20	37.24	...	-10.78	28 of 30 - Sale ²⁵
	2.000	7.56	06/01/20	17.40	...	-9.84	29 of 30 - Sale ²⁵
	1.000	3.78	06/01/20	8.37	...	-4.59	30 of 30 - Sale ²⁵
06/03/20	4,329.000	16,362.73	Various	24,910.87	...	-8,548.14	Total of 30 transactions
	58 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	194.000	766.26	06/01/20	882.70	116.44 W	0.00	1 of 58 - Sale ²⁵
	2.000	7.90	06/01/20	9.76	1.86 W	0.00	2 of 58 - Sale ²⁵
	751.000	2,966.29	06/01/20	3,432.07	465.78 W	0.00	3 of 58 - Sale ²⁵
	50.000	197.50	06/01/20	258.51	61.01 W	0.00	4 of 58 - Sale ²⁵

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(continued)

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
20.000		74.80	06/01/20	103.40	28.60 W	0.00	5 of 58 - Sale ²⁵
124.000		463.74	06/01/20	641.11	177.37 W	0.00	6 of 58 - Sale ²⁵
26.000		97.23	06/01/20	118.82	21.59 W	0.00	7 of 58 - Sale ²⁵
2.000		7.48	06/01/20	11.00	3.52 W	0.00	8 of 58 - Sale ²⁵
48.000		179.51	06/01/20	249.13	69.62 W	0.00	9 of 58 - Sale ²⁵
450.000		1,682.91	06/01/20	2,335.60	652.69 W	0.00	10 of 58 - Sale ²⁵
169.000		632.02	06/01/20	877.14	245.12 W	0.00	11 of 58 - Sale ²⁵
2.000		7.48	06/01/20	12.66	5.18 W	0.00	12 of 58 - Sale ²⁵
20.000		74.80	06/01/20	120.00	45.20 W	0.00	13 of 58 - Sale ²⁵
48.000		179.51	06/01/20	288.98	109.47 W	0.00	14 of 58 - Sale ²⁵
50.000		186.99	06/01/20	289.51	102.52 W	0.00	15 of 58 - Sale ²⁵
124.000		463.73	06/01/20	744.05	280.32 W	0.00	16 of 58 - Sale ²⁵
37.000		138.37	06/01/20	192.04	53.67 W	0.00	17 of 58 - Sale ²⁵
20.000		74.80	06/01/20	120.41	45.61 W	0.00	18 of 58 - Sale ²⁵
15.000		56.10	06/01/20	90.31	34.21 W	0.00	19 of 58 - Sale ²⁵
111.000		415.12	06/01/20	668.26	253.14 W	0.00	20 of 58 - Sale ²⁵
26.000		97.23	06/01/20	140.41	43.18 W	0.00	21 of 58 - Sale ²⁵
47.000		175.77	06/01/20	243.94	68.17 W	0.00	22 of 58 - Sale ²⁵
209.000		781.62	06/01/20	1,258.27	476.65 W	0.00	23 of 58 - Sale ²⁵
95.000		355.28	06/01/20	571.94	216.66 W	0.00	24 of 58 - Sale ²⁵
105.000		392.68	06/01/20	632.14	239.46 W	0.00	25 of 58 - Sale ²⁵
1.000		3.74	06/01/20	6.83	3.09 W	0.00	26 of 58 - Sale ²⁵
50.000		186.99	06/01/20	331.02	144.03 W	0.00	27 of 58 - Sale ²⁵
64.000		239.35	06/01/20	385.31	145.96 W	0.00	28 of 58 - Sale ²⁵
2.000		7.48	06/01/20	14.32	6.84 W	0.00	29 of 58 - Sale ²⁵
19.000		71.06	06/01/20	129.77	58.71 W	0.00	30 of 58 - Sale ²⁵
48.000		179.51	06/01/20	328.83	149.32 W	0.00	31 of 58 - Sale ²⁵
124.000		463.73	06/01/20	847.00	383.27 W	0.00	32 of 58 - Sale ²⁵
7.000		26.18	06/01/20	42.14	15.96 W	0.00	33 of 58 - Sale ²⁵
15.000		56.10	06/01/20	102.76	46.66 W	0.00	34 of 58 - Sale ²⁵
20.000		74.80	06/01/20	137.01	62.21 W	0.00	35 of 58 - Sale ²⁵
30.000		112.19	06/01/20	180.62	68.43 W	0.00	36 of 58 - Sale ²⁵
2,070.000		7,741.38	06/01/20	9,459.90	1,718.52 W	0.00	37 of 58 - Sale ²⁵
750.000		2,804.84	06/01/20	3,397.50	592.66 W	0.00	38 of 58 - Sale ²⁵

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(continued)

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol: (cont'd)							
	7.000	26.18	06/01/20	31.71	5.53 W	0.00	39 of 58 - Sale ²⁵
	46.000	172.04	06/01/20	340.89	168.85 W	0.00	40 of 58 - Sale ²⁵
	1.000	3.78	06/01/20	7.62	3.84 W	0.00	41 of 58 - Sale ²⁵
	2.000	7.56	06/01/20	15.90	8.34 W	0.00	42 of 58 - Sale ²⁵
	15.000	56.70	06/01/20	114.61	57.91 W	0.00	43 of 58 - Sale ²⁵
	19.000	71.82	06/01/20	144.78	72.96 W	0.00	44 of 58 - Sale ²⁵
	20.000	75.60	06/01/20	152.81	77.21 W	0.00	45 of 58 - Sale ²⁵
	48.000	181.43	06/01/20	366.76	185.33 W	0.00	46 of 58 - Sale ²⁵
	4.000	15.12	06/01/20	29.64	14.52 W	0.00	47 of 58 - Sale ²⁵
	124.000	468.69	06/01/20	944.99	476.30 W	0.00	48 of 58 - Sale ²⁵
	7.000	26.46	06/01/20	47.67	21.21 W	0.00	49 of 58 - Sale ²⁵
	30.000	113.39	06/01/20	204.33	90.94 W	0.00	50 of 58 - Sale ²⁵
	64.000	241.91	06/01/20	435.88	193.97 W	0.00	51 of 58 - Sale ²⁵
	95.000	359.08	06/01/20	647.01	287.93 W	0.00	52 of 58 - Sale ²⁵
	105.000	396.88	06/01/20	715.11	318.23 W	0.00	53 of 58 - Sale ²⁵
	111.000	419.55	06/01/20	755.97	336.42 W	0.00	54 of 58 - Sale ²⁵
	209.000	789.97	06/01/20	1,423.42	633.45 W	0.00	55 of 58 - Sale ²⁵
	26.000	98.27	06/01/20	160.96	62.69 W	0.00	56 of 58 - Sale ²⁵
	47.000	177.65	06/01/20	281.08	103.43 W	0.00	57 of 58 - Sale ²⁵
	47.000	177.65	06/01/20	251.93	74.28 W	0.00	58 of 58 - Sale ²⁵
06/03/20	6,972.000	26,322.20	Various	36,728.24	10,406.04 W	0.00	Total of 58 transactions
	Security total:	183,159.52		189,622.18	10,775.14 W	4,312.48	
MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D101 / Symbol:							
	4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	4.000	6.76	04/08/20	5.64	...	1.12	1 of 4 - Sale ²⁵
	314.000	530.61	04/08/20	455.30	...	75.31	2 of 4 - Sale ²⁵
	686.000	1,159.23	04/08/20	994.70	...	164.53	3 of 4 - Sale ²⁵
	996.000	1,683.08	04/08/20	1,404.36	...	278.72	4 of 4 - Sale ²⁵
04/08/20	2,000.000	3,379.68	Various	2,860.00	...	519.68	Total of 4 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
<i>62 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
4.000		5.20	05/19/20	5.00	...	0.20	1 of 62 - Sale ²⁵
10.000		38.80	05/19/20	38.20	...	0.60	2 of 62 - Sale ²⁵
13.000		16.90	05/19/20	16.25	...	0.65	3 of 62 - Sale ²⁵
7.000		22.40	05/19/20	21.70	...	0.70	4 of 62 - Sale ²⁵
19.000		60.80	05/19/20	59.85	...	0.95	5 of 62 - Sale ²⁵
41.000		53.29	05/19/20	51.25	...	2.04	6 of 62 - Sale ²⁵
44.000		57.19	05/19/20	55.00	...	2.19	7 of 62 - Sale ²⁵
74.000		96.19	05/19/20	92.50	...	3.69	8 of 62 - Sale ²⁵
81.000		259.19	05/19/20	255.15	...	4.04	9 of 62 - Sale ²⁵
100.000		129.98	05/19/20	125.00	...	4.98	10 of 62 - Sale ²⁵
100.000		129.99	05/19/20	125.00	...	4.99	11 of 62 - Sale ²⁵
100.000		129.99	05/19/20	125.00	...	4.99	12 of 62 - Sale ²⁵
100.000		129.99	05/19/20	125.00	...	4.99	13 of 62 - Sale ²⁵
100.000		319.99	05/19/20	315.00	...	4.99	14 of 62 - Sale ²⁵
100.000		319.99	05/19/20	315.00	...	4.99	15 of 62 - Sale ²⁵
100.000		319.99	05/19/20	315.00	...	4.99	16 of 62 - Sale ²⁵
50.000		160.00	05/19/20	155.00	...	5.00	17 of 62 - Sale ²⁵
18.000		55.80	05/19/20	49.50	...	6.30	18 of 62 - Sale ²⁵
168.000		537.57	05/19/20	529.20	...	8.37	19 of 62 - Sale ²⁵
100.000		319.99	05/19/20	310.00	...	9.99	20 of 62 - Sale ²⁵
100.000		319.99	05/19/20	310.00	...	9.99	21 of 62 - Sale ²⁵
300.000		959.94	05/19/20	948.14	...	11.80	22 of 62 - Sale ²⁵
247.000		321.06	05/19/20	308.75	...	12.31	23 of 62 - Sale ²⁵
300.000		959.93	05/19/20	945.00	...	14.93	24 of 62 - Sale ²⁵
300.000		1,163.94	05/19/20	1,146.07	...	17.87	25 of 62 - Sale ²⁵
200.000		639.96	05/19/20	620.00	...	19.96	26 of 62 - Sale ²⁵
200.000		639.96	05/19/20	620.00	...	19.96	27 of 62 - Sale ²⁵
618.000		803.31	05/19/20	772.50	...	30.81	28 of 62 - Sale ²⁵
93.000		288.28	05/19/20	255.75	...	32.53	29 of 62 - Sale ²⁵
350.000		1,119.93	05/19/20	1,085.00	...	34.93	30 of 62 - Sale ²⁵
100.000		309.98	05/19/20	275.00	...	34.98	31 of 62 - Sale ²⁵
781.000		2,499.05	05/19/20	2,460.15	...	38.90	32 of 62 - Sale ²⁵
139.000		430.87	05/19/20	382.25	...	48.62	33 of 62 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	500.000	1,599.90	05/19/20	1,550.00	...	49.90	34 of 62 - Sale ²⁵
	1,100.000	1,429.83	05/19/20	1,375.00	...	54.83	35 of 62 - Sale ²⁵
	1,159.000	1,506.53	05/19/20	1,448.75	...	57.78	36 of 62 - Sale ²⁵
	639.000	2,044.68	05/19/20	1,980.90	...	63.78	37 of 62 - Sale ²⁵
	1,300.000	1,689.81	05/19/20	1,625.00	...	64.81	38 of 62 - Sale ²⁵
	350.000	1,116.47	05/19/20	1,050.00	...	66.47	39 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	550.00	...	69.96	40 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	550.00	...	69.96	41 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	550.00	...	69.96	42 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	550.00	...	69.96	43 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	550.00	...	69.96	44 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	550.00	...	69.96	45 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	540.00	...	79.96	46 of 62 - Sale ²⁵
	200.000	619.96	05/19/20	540.00	...	79.96	47 of 62 - Sale ²⁵
	300.000	929.94	05/19/20	825.00	...	104.94	48 of 62 - Sale ²⁵
	300.000	929.94	05/19/20	825.00	...	104.94	49 of 62 - Sale ²⁵
	300.000	929.95	05/19/20	825.00	...	104.95	50 of 62 - Sale ²⁵
	2,626.000	8,402.70	05/19/20	8,271.90	...	130.80	51 of 62 - Sale ²⁵
	400.000	1,239.92	05/19/20	1,100.00	...	139.92	52 of 62 - Sale ²⁵
	400.000	1,239.93	05/19/20	1,100.00	...	139.93	53 of 62 - Sale ²⁵
	400.000	1,239.93	05/19/20	1,080.00	...	159.93	54 of 62 - Sale ²⁵
	400.000	1,239.93	05/19/20	1,080.00	...	159.93	55 of 62 - Sale ²⁵
	1,899.000	6,076.43	05/19/20	5,886.90	...	189.53	56 of 62 - Sale ²⁵
	1,590.000	6,168.86	05/19/20	5,962.50	...	206.36	57 of 62 - Sale ²⁵
	1,325.000	4,226.63	05/19/20	3,975.00	...	251.63	58 of 62 - Sale ²⁵
	2,500.000	7,974.78	05/19/20	7,500.00	...	474.78	59 of 62 - Sale ²⁵
	4,080.000	13,055.23	05/19/20	12,444.00	...	611.23	60 of 62 - Sale ²⁵
	1,401.000	3,362.16	05/19/20	2,129.38	...	1,232.78	61 of 62 - Sale ²⁵
	3,600.000	8,639.37	05/19/20	5,472.00	...	3,167.37	62 of 62 - Sale ²⁵
05/19/20	33,226.000	93,622.04	Various	85,098.54	...	8,523.50	Total of 62 transactions
	11 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	300.000	929.95	05/19/20	1,050.00	120.05 W	0.00	1 of 11 - Sale ²⁵
	300.000	956.97	05/19/20	1,020.05	63.08 W	0.00	2 of 11 - Sale ²⁵
	300.000	959.94	05/19/20	978.08	18.14 W	0.00	3 of 11 - Sale ²⁵

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Account 801799297

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(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	100.000	387.99	05/19/20	409.00	21.01 W	0.00	4 of 11 - Sale ²⁵
	9.000	34.92	05/19/20	37.44	2.52 W	0.00	5 of 11 - Sale ²⁵
	91.000	353.06	05/19/20	378.57	25.51 W	0.00	6 of 11 - Sale ²⁵
	300.000	1,163.93	05/19/20	1,185.00	21.07 W	0.00	7 of 11 - Sale ²⁵
	10.000	38.80	05/19/20	39.50	0.70 W	0.00	8 of 11 - Sale ²⁵
	590.000	2,289.08	05/19/20	2,330.50	41.42 W	0.00	9 of 11 - Sale ²⁵
	9.000	34.92	05/19/20	36.27	1.35 W	0.00	10 of 11 - Sale ²⁵
	91.000	353.06	05/19/20	366.76	13.70 W	0.00	11 of 11 - Sale ²⁵
05/19/20	2,100.000	7,502.62	Various	7,831.17	328.55 W	0.00	Total of 11 transactions
	20 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	3.02	05/20/20	2.73	...	0.29	1 of 20 - Sale ²⁵
	2.000	6.04	05/20/20	5.10	...	0.94	2 of 20 - Sale ²⁵
	99.000	294.01	05/20/20	292.05	...	1.96	3 of 20 - Sale ²⁵
	9.000	27.18	05/20/20	24.30	...	2.88	4 of 20 - Sale ²⁵
	201.000	596.94	05/20/20	592.99	...	3.95	5 of 20 - Sale ²⁵
	10.000	30.20	05/20/20	25.50	...	4.70	6 of 20 - Sale ²⁵
	500.000	1,514.91	05/20/20	1,510.00	...	4.91	7 of 20 - Sale ²⁵
	399.000	1,184.96	05/20/20	1,177.12	...	7.84	8 of 20 - Sale ²⁵
	91.000	274.80	05/20/20	245.75	...	29.05	9 of 20 - Sale ²⁵
	3,000.000	9,089.43	05/20/20	9,060.00	...	29.43	10 of 20 - Sale ²⁵
	98.000	295.95	05/20/20	249.90	...	46.05	11 of 20 - Sale ²⁵
	498.000	1,503.86	05/20/20	1,414.32	...	89.54	12 of 20 - Sale ²⁵
	502.000	1,515.94	05/20/20	1,425.68	...	90.26	13 of 20 - Sale ²⁵
	200.000	603.96	05/20/20	510.00	...	93.96	14 of 20 - Sale ²⁵
	200.000	603.96	05/20/20	510.00	...	93.96	15 of 20 - Sale ²⁵
	383.000	1,156.59	05/20/20	1,045.59	...	111.00	16 of 20 - Sale ²⁵
	290.000	875.74	05/20/20	759.86	...	115.88	17 of 20 - Sale ²⁵
	300.000	905.93	05/20/20	786.06	...	119.87	18 of 20 - Sale ²⁵
	616.000	1,860.20	05/20/20	1,681.68	...	178.52	19 of 20 - Sale ²⁵
	800.000	2,415.84	05/20/20	2,040.00	...	375.84	20 of 20 - Sale ²⁵
05/20/20	8,199.000	24,759.46	Various	23,358.63	...	1,400.83	Total of 20 transactions
	34 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	398.000	1,201.88	05/20/20	1,313.40	111.52 W	0.00	1 of 34 - Sale ²⁵
	700.000	2,113.87	05/20/20	2,310.00	196.13 W	0.00	2 of 34 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	200.000	603.96	05/20/20	660.00	56.04 W	0.00	3 of 34 - Sale ²⁵
	500.000	1,509.90	05/20/20	1,650.00	140.10 W	0.00	4 of 34 - Sale ²⁵
	200.000	603.96	05/20/20	660.00	56.04 W	0.00	5 of 34 - Sale ²⁵
	2.000	6.04	05/20/20	6.60	0.56 W	0.00	6 of 34 - Sale ²⁵
	9.000	27.27	05/20/20	29.70	2.43 W	0.00	7 of 34 - Sale ²⁵
	2.000	6.06	05/20/20	6.60	0.54 W	0.00	8 of 34 - Sale ²⁵
	200.000	605.96	05/20/20	660.04	54.08 W	0.00	9 of 34 - Sale ²⁵
	200.000	605.96	05/20/20	660.04	54.08 W	0.00	10 of 34 - Sale ²⁵
	389.000	1,178.60	05/20/20	1,283.78	105.18 W	0.00	11 of 34 - Sale ²⁵
	500.000	1,514.90	05/20/20	1,650.10	135.20 W	0.00	12 of 34 - Sale ²⁵
	700.000	2,120.87	05/20/20	2,310.13	189.26 W	0.00	13 of 34 - Sale ²⁵
	9.000	26.73	05/20/20	29.25	2.52 W	0.00	14 of 34 - Sale ²⁵
	90.000	267.28	05/20/20	292.55	25.27 W	0.00	15 of 34 - Sale ²⁵
	304.000	902.82	05/20/20	905.92	3.10 W	0.00	16 of 34 - Sale ²⁵
	201.000	596.93	05/20/20	647.33	50.40 W	0.00	17 of 34 - Sale ²⁵
	299.000	887.97	05/20/20	962.96	74.99 W	0.00	18 of 34 - Sale ²⁵
	1.000	2.97	05/20/20	3.22	0.25 W	0.00	19 of 34 - Sale ²⁵
	200.000	593.96	05/20/20	644.12	50.16 W	0.00	20 of 34 - Sale ²⁵
	499.000	1,481.94	05/20/20	1,607.06	125.12 W	0.00	21 of 34 - Sale ²⁵
	102.000	302.92	05/20/20	328.50	25.58 W	0.00	22 of 34 - Sale ²⁵
	2.000	5.94	05/20/20	6.44	0.50 W	0.00	23 of 34 - Sale ²⁵
	200.000	593.96	05/20/20	644.12	50.16 W	0.00	24 of 34 - Sale ²⁵
	397.000	1,179.02	05/20/20	1,278.57	99.55 W	0.00	25 of 34 - Sale ²⁵
	9.000	26.82	05/20/20	29.25	2.43 W	0.00	26 of 34 - Sale ²⁵
	90.000	268.18	05/20/20	292.53	24.35 W	0.00	27 of 34 - Sale ²⁵
	2.000	5.96	05/20/20	6.50	0.54 W	0.00	28 of 34 - Sale ²⁵
	200.000	595.96	05/20/20	650.08	54.12 W	0.00	29 of 34 - Sale ²⁵
	200.000	595.96	05/20/20	650.08	54.12 W	0.00	30 of 34 - Sale ²⁵
	299.000	890.96	05/20/20	971.87	80.91 W	0.00	31 of 34 - Sale ²⁵
	500.000	1,489.91	05/20/20	1,625.20	135.29 W	0.00	32 of 34 - Sale ²⁵
	700.000	2,085.87	05/20/20	2,275.26	189.39 W	0.00	33 of 34 - Sale ²⁵
	600.000	1,787.89	05/20/20	1,788.00	0.11 W	0.00	34 of 34 - Sale ²⁵
05/20/20	8,904.000	26,689.18	Various	28,839.20	2,150.02 W	0.00	Total of 34 transactions

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
16 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	2.000	6.40	05/21/20	6.32	...	0.08	1 of 16 - Sale ²⁵
	4.000	11.88	05/21/20	11.76	...	0.12	2 of 16 - Sale ²⁵
	4.000	12.80	05/21/20	12.64	...	0.16	3 of 16 - Sale ²⁵
	4.000	11.88	05/21/20	11.70	...	0.18	4 of 16 - Sale ²⁵
	6.000	17.82	05/21/20	17.55	...	0.27	5 of 16 - Sale ²⁵
	8.000	25.60	05/21/20	24.80	...	0.80	6 of 16 - Sale ²⁵
	45.000	133.64	05/21/20	131.66	...	1.98	7 of 16 - Sale ²⁵
	55.000	163.34	05/21/20	160.90	...	2.44	8 of 16 - Sale ²⁵
	69.000	220.78	05/21/20	218.11	...	2.67	9 of 16 - Sale ²⁵
	98.000	313.58	05/21/20	309.78	...	3.80	10 of 16 - Sale ²⁵
	94.000	279.16	05/21/20	275.01	...	4.15	11 of 16 - Sale ²⁵
	100.000	296.98	05/21/20	292.57	...	4.41	12 of 16 - Sale ²⁵
	127.000	406.38	05/21/20	401.44	...	4.94	13 of 16 - Sale ²⁵
	114.000	362.50	05/21/20	353.40	...	9.10	14 of 16 - Sale ²⁵
	129.000	410.20	05/21/20	399.90	...	10.30	15 of 16 - Sale ²⁵
	1,557.000	4,950.96	05/21/20	4,826.70	...	124.26	16 of 16 - Sale ²⁵
05/21/20	2,416.000	7,623.90	Various	7,454.24	...	169.66	Total of 16 transactions
302 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	9.000	24.30	05/20/20	29.34	5.04 W	0.00	1 of 302 - Sale ²⁵
	90.000	242.98	05/20/20	293.47	50.49 W	0.00	2 of 302 - Sale ²⁵
	69.000	186.29	05/20/20	222.92	36.63 W	0.00	3 of 302 - Sale ²⁵
	299.000	807.24	05/20/20	966.01	158.77 W	0.00	4 of 302 - Sale ²⁵
	304.000	820.75	05/20/20	909.02	88.27 W	0.00	5 of 302 - Sale ²⁵
	2.000	5.40	05/20/20	6.46	1.06 W	0.00	6 of 302 - Sale ²⁵
	74.000	199.79	05/20/20	239.08	39.29 W	0.00	7 of 302 - Sale ²⁵
	10.000	27.00	05/20/20	32.31	5.31 W	0.00	8 of 302 - Sale ²⁵
	46.000	124.20	05/20/20	148.61	24.41 W	0.00	9 of 302 - Sale ²⁵
	54.000	145.79	05/20/20	174.46	28.67 W	0.00	10 of 302 - Sale ²⁵
	167.000	450.88	05/20/20	539.53	88.65 W	0.00	11 of 302 - Sale ²⁵
	50.000	135.00	05/20/20	161.54	26.54 W	0.00	12 of 302 - Sale ²⁵
	100.000	269.99	05/20/20	323.07	53.08 W	0.00	13 of 302 - Sale ²⁵
	62.000	167.39	05/20/20	200.31	32.92 W	0.00	14 of 302 - Sale ²⁵
	128.000	345.57	05/20/20	413.54	67.97 W	0.00	15 of 302 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	1.000	2.70	05/20/20	3.23	0.53 W	0.00	16 of 302 - Sale ²⁵
	46.000	124.19	05/20/20	148.62	24.43 W	0.00	17 of 302 - Sale ²⁵
	138.000	372.59	05/20/20	445.85	73.26 W	0.00	18 of 302 - Sale ²⁵
	56.000	151.19	05/20/20	180.92	29.73 W	0.00	19 of 302 - Sale ²⁵
	44.000	118.80	05/20/20	142.15	23.35 W	0.00	20 of 302 - Sale ²⁵
	1.000	2.70	05/20/20	3.23	0.53 W	0.00	21 of 302 - Sale ²⁵
	61.000	164.69	05/20/20	197.08	32.39 W	0.00	22 of 302 - Sale ²⁵
	189.000	510.26	05/20/20	610.61	100.35 W	0.00	23 of 302 - Sale ²⁵
	2.000	5.34	05/21/20	6.20	0.86 W	0.00	24 of 302 - Sale ²⁵
	9.000	24.03	05/21/20	28.17	4.14 W	0.00	25 of 302 - Sale ²⁵
	10.000	26.70	05/21/20	31.01	4.31 W	0.00	26 of 302 - Sale ²⁵
	46.000	122.81	05/21/20	142.63	19.82 W	0.00	27 of 302 - Sale ²⁵
	50.000	133.49	05/21/20	155.04	21.55 W	0.00	28 of 302 - Sale ²⁵
	54.000	144.17	05/21/20	167.45	23.28 W	0.00	29 of 302 - Sale ²⁵
	62.000	165.52	05/21/20	192.26	26.74 W	0.00	30 of 302 - Sale ²⁵
	69.000	184.22	05/21/20	213.96	29.74 W	0.00	31 of 302 - Sale ²⁵
	74.000	197.57	05/21/20	229.47	31.90 W	0.00	32 of 302 - Sale ²⁵
	90.000	240.28	05/21/20	281.79	41.51 W	0.00	33 of 302 - Sale ²⁵
	100.000	266.98	05/21/20	310.08	43.10 W	0.00	34 of 302 - Sale ²⁵
	128.000	341.74	05/21/20	396.93	55.19 W	0.00	35 of 302 - Sale ²⁵
	17.000	45.39	05/21/20	52.71	7.32 W	0.00	36 of 302 - Sale ²⁵
	167.000	445.86	05/21/20	517.84	71.98 W	0.00	37 of 302 - Sale ²⁵
	299.000	798.27	05/21/20	927.20	128.93 W	0.00	38 of 302 - Sale ²⁵
	304.000	811.63	05/21/20	869.55	57.92 W	0.00	39 of 302 - Sale ²⁵
	19.000	50.73	05/21/20	58.92	8.19 W	0.00	40 of 302 - Sale ²⁵
	1.000	2.67	05/21/20	3.10	0.43 W	0.00	41 of 302 - Sale ²⁵
	46.000	122.81	05/21/20	142.65	19.84 W	0.00	42 of 302 - Sale ²⁵
	51.000	136.16	05/21/20	158.15	21.99 W	0.00	43 of 302 - Sale ²⁵
	102.000	272.32	05/21/20	316.29	43.97 W	0.00	44 of 302 - Sale ²⁵
	2.000	5.34	05/21/20	5.64	0.30 W	0.00	45 of 302 - Sale ²⁵
	102.000	272.32	05/21/20	287.72	15.40 W	0.00	46 of 302 - Sale ²⁵
	200.000	533.97	05/21/20	564.16	30.19 W	0.00	47 of 302 - Sale ²⁵
	1.000	2.86	05/21/20	3.33	0.47 W	0.00	48 of 302 - Sale ²⁵
	5.000	14.30	05/21/20	16.68	2.38 W	0.00	49 of 302 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	9.000	25.74	05/21/20	29.39	3.65 W	0.00	50 of 302 - Sale ²⁵
	44.000	125.83	05/21/20	146.77	20.94 W	0.00	51 of 302 - Sale ²⁵
	61.000	174.45	05/21/20	203.50	29.05 W	0.00	52 of 302 - Sale ²⁵
	6.000	17.16	05/21/20	17.97	0.81 W	0.00	53 of 302 - Sale ²⁵
	90.000	257.38	05/21/20	293.96	36.58 W	0.00	54 of 302 - Sale ²⁵
	189.000	540.50	05/21/20	630.49	89.99 W	0.00	55 of 302 - Sale ²⁵
	59.000	168.73	05/21/20	176.73	8.00 W	0.00	56 of 302 - Sale ²⁵
	3.000	8.58	05/21/20	9.70	1.12 W	0.00	57 of 302 - Sale ²⁵
	239.000	683.50	05/21/20	715.94	32.44 W	0.00	58 of 302 - Sale ²⁵
	299.000	855.08	05/21/20	967.63	112.55 W	0.00	59 of 302 - Sale ²⁵
	2.000	5.86	05/21/20	6.64	0.78 W	0.00	60 of 302 - Sale ²⁵
	10.000	29.30	05/21/20	33.21	3.91 W	0.00	61 of 302 - Sale ²⁵
	46.000	134.77	05/21/20	152.75	17.98 W	0.00	62 of 302 - Sale ²⁵
	50.000	146.49	05/21/20	166.04	19.55 W	0.00	63 of 302 - Sale ²⁵
	54.000	158.21	05/21/20	179.33	21.12 W	0.00	64 of 302 - Sale ²⁵
	66.000	193.37	05/21/20	219.18	25.81 W	0.00	65 of 302 - Sale ²⁵
	74.000	216.80	05/21/20	245.75	28.95 W	0.00	66 of 302 - Sale ²⁵
	31.000	90.82	05/21/20	102.94	12.12 W	0.00	67 of 302 - Sale ²⁵
	167.000	489.28	05/21/20	554.58	65.30 W	0.00	68 of 302 - Sale ²⁵
	69.000	202.16	05/21/20	229.14	26.98 W	0.00	69 of 302 - Sale ²⁵
	31.000	90.83	05/21/20	102.95	12.12 W	0.00	70 of 302 - Sale ²⁵
	1.000	2.93	05/21/20	3.32	0.39 W	0.00	71 of 302 - Sale ²⁵
	1.000	2.93	05/21/20	3.36	0.43 W	0.00	72 of 302 - Sale ²⁵
	5.000	14.65	05/21/20	16.83	2.18 W	0.00	73 of 302 - Sale ²⁵
	17.000	49.81	05/21/20	56.45	6.64 W	0.00	74 of 302 - Sale ²⁵
	19.000	55.67	05/21/20	63.10	7.43 W	0.00	75 of 302 - Sale ²⁵
	44.000	128.91	05/21/20	148.09	19.18 W	0.00	76 of 302 - Sale ²⁵
	46.000	134.77	05/21/20	152.77	18.00 W	0.00	77 of 302 - Sale ²⁵
	51.000	149.42	05/21/20	169.37	19.95 W	0.00	78 of 302 - Sale ²⁵
	55.000	161.13	05/21/20	185.13	24.00 W	0.00	79 of 302 - Sale ²⁵
	62.000	181.65	05/21/20	205.91	24.26 W	0.00	80 of 302 - Sale ²⁵
	102.000	298.84	05/21/20	338.73	39.89 W	0.00	81 of 302 - Sale ²⁵
	97.000	284.19	05/21/20	322.13	37.94 W	0.00	82 of 302 - Sale ²⁵
	6.000	17.58	05/21/20	18.15	0.57 W	0.00	83 of 302 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	9.000	26.37	05/21/20	29.66	3.29 W	0.00	84 of 302 - Sale ²⁵
	59.000	172.86	05/21/20	178.49	5.63 W	0.00	85 of 302 - Sale ²⁵
	6.000	17.58	05/21/20	20.20	2.62 W	0.00	86 of 302 - Sale ²⁵
	90.000	263.68	05/21/20	296.66	32.98 W	0.00	87 of 302 - Sale ²⁵
	189.000	553.73	05/21/20	636.16	82.43 W	0.00	88 of 302 - Sale ²⁵
	45.000	131.84	05/21/20	136.15	4.31 W	0.00	89 of 302 - Sale ²⁵
	2.000	5.86	05/21/20	6.53	0.67 W	0.00	90 of 302 - Sale ²⁵
	194.000	568.38	05/21/20	586.94	18.56 W	0.00	91 of 302 - Sale ²⁵
	2.000	5.86	05/21/20	6.08	0.22 W	0.00	92 of 302 - Sale ²⁵
	102.000	298.84	05/21/20	310.16	11.32 W	0.00	93 of 302 - Sale ²⁵
	200.000	585.96	05/21/20	608.15	22.19 W	0.00	94 of 302 - Sale ²⁵
	2.000	5.94	05/21/20	6.33	0.39 W	0.00	95 of 302 - Sale ²⁵
	100.000	296.98	05/21/20	320.14	23.16 W	0.00	96 of 302 - Sale ²⁵
	100.000	296.98	05/21/20	320.14	23.16 W	0.00	97 of 302 - Sale ²⁵
	2.000	5.94	05/21/20	6.44	0.50 W	0.00	98 of 302 - Sale ²⁵
	3.000	8.91	05/21/20	9.61	0.70 W	0.00	99 of 302 - Sale ²⁵
	10.000	29.70	05/21/20	32.21	2.51 W	0.00	100 of 302 - Sale ²⁵
	46.000	136.61	05/21/20	148.16	11.55 W	0.00	101 of 302 - Sale ²⁵
	54.000	160.37	05/21/20	173.94	13.57 W	0.00	102 of 302 - Sale ²⁵
	66.000	196.01	05/21/20	212.59	16.58 W	0.00	103 of 302 - Sale ²⁵
	74.000	219.77	05/21/20	238.37	18.60 W	0.00	104 of 302 - Sale ²⁵
	97.000	288.07	05/21/20	311.03	22.96 W	0.00	105 of 302 - Sale ²⁵
	152.000	451.41	05/21/20	489.59	38.18 W	0.00	106 of 302 - Sale ²⁵
	1.000	2.97	05/21/20	3.22	0.25 W	0.00	107 of 302 - Sale ²⁵
	1.000	2.97	05/21/20	3.26	0.29 W	0.00	108 of 302 - Sale ²⁵
	5.000	14.85	05/21/20	16.33	1.48 W	0.00	109 of 302 - Sale ²⁵
	6.000	17.82	05/21/20	19.60	1.78 W	0.00	110 of 302 - Sale ²⁵
	15.000	44.55	05/21/20	48.32	3.77 W	0.00	111 of 302 - Sale ²⁵
	17.000	50.49	05/21/20	54.75	4.26 W	0.00	112 of 302 - Sale ²⁵
	19.000	56.43	05/21/20	61.20	4.77 W	0.00	113 of 302 - Sale ²⁵
	31.000	92.06	05/21/20	99.85	7.79 W	0.00	114 of 302 - Sale ²⁵
	31.000	92.06	05/21/20	99.85	7.79 W	0.00	115 of 302 - Sale ²⁵
	44.000	130.67	05/21/20	143.70	13.03 W	0.00	116 of 302 - Sale ²⁵
	46.000	136.61	05/21/20	148.18	11.57 W	0.00	117 of 302 - Sale ²⁵

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	50.000	148.49	05/21/20	161.05	12.56 W	0.00	118 of 302 - Sale ²⁵
	51.000	151.46	05/21/20	164.28	12.82 W	0.00	119 of 302 - Sale ²⁵
	55.000	163.34	05/21/20	179.65	16.31 W	0.00	120 of 302 - Sale ²⁵
	62.000	184.13	05/21/20	199.72	15.59 W	0.00	121 of 302 - Sale ²⁵
	69.000	204.92	05/21/20	222.25	17.33 W	0.00	122 of 302 - Sale ²⁵
	97.000	288.07	05/21/20	312.45	24.38 W	0.00	123 of 302 - Sale ²⁵
	98.000	291.04	05/21/20	320.08	29.04 W	0.00	124 of 302 - Sale ²⁵
	102.000	302.92	05/21/20	328.55	25.63 W	0.00	125 of 302 - Sale ²⁵
	9.000	26.73	05/21/20	28.76	2.03 W	0.00	126 of 302 - Sale ²⁵
	90.000	267.28	05/21/20	287.68	20.40 W	0.00	127 of 302 - Sale ²⁵
	91.000	270.25	05/21/20	297.22	26.97 W	0.00	128 of 302 - Sale ²⁵
	2.000	6.30	05/21/20	6.70	0.40 W	0.00	129 of 302 - Sale ²⁵
	3.000	9.45	05/21/20	10.00	0.55 W	0.00	130 of 302 - Sale ²⁵
	10.000	31.50	05/21/20	33.51	2.01 W	0.00	131 of 302 - Sale ²⁵
	46.000	144.89	05/21/20	154.14	9.25 W	0.00	132 of 302 - Sale ²⁵
	54.000	170.09	05/21/20	180.96	10.87 W	0.00	133 of 302 - Sale ²⁵
	66.000	207.89	05/21/20	221.17	13.28 W	0.00	134 of 302 - Sale ²⁵
	74.000	233.09	05/21/20	247.98	14.89 W	0.00	135 of 302 - Sale ²⁵
	97.000	305.53	05/21/20	323.64	18.11 W	0.00	136 of 302 - Sale ²⁵
	100.000	314.98	05/21/20	333.14	18.16 W	0.00	137 of 302 - Sale ²⁵
	100.000	314.98	05/21/20	333.14	18.16 W	0.00	138 of 302 - Sale ²⁵
	148.000	466.17	05/21/20	495.95	29.78 W	0.00	139 of 302 - Sale ²⁵
	2.000	6.30	05/21/20	6.42	0.12 W	0.00	140 of 302 - Sale ²⁵
	98.000	308.68	05/21/20	314.66	5.98 W	0.00	141 of 302 - Sale ²⁵
	200.000	629.96	05/21/20	642.15	12.19 W	0.00	142 of 302 - Sale ²⁵
	1.000	3.15	05/21/20	3.35	0.20 W	0.00	143 of 302 - Sale ²⁵
	4.000	12.60	05/21/20	13.40	0.80 W	0.00	144 of 302 - Sale ²⁵
	5.000	16.00	05/21/20	16.71	0.71 W	0.00	145 of 302 - Sale ²⁵
	14.000	44.80	05/21/20	46.78	1.98 W	0.00	146 of 302 - Sale ²⁵
	31.000	99.19	05/21/20	103.58	4.39 W	0.00	147 of 302 - Sale ²⁵
	50.000	159.99	05/21/20	167.06	7.07 W	0.00	148 of 302 - Sale ²⁵
	5.000	16.00	05/21/20	16.71	0.71 W	0.00	149 of 302 - Sale ²⁵
	31.000	99.19	05/21/20	103.58	4.39 W	0.00	150 of 302 - Sale ²⁵
	64.000	204.79	05/21/20	213.83	9.04 W	0.00	151 of 302 - Sale ²⁵

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	8.000	25.60	05/21/20	26.73	1.13 W	0.00	152 of 302 - Sale ²⁵
	92.000	294.38	05/21/20	307.40	13.02 W	0.00	153 of 302 - Sale ²⁵
	10.000	32.00	05/21/20	33.41	1.41 W	0.00	154 of 302 - Sale ²⁵
	17.000	54.40	05/21/20	56.79	2.39 W	0.00	155 of 302 - Sale ²⁵
	19.000	60.80	05/21/20	63.48	2.68 W	0.00	156 of 302 - Sale ²⁵
	54.000	172.79	05/21/20	180.44	7.65 W	0.00	157 of 302 - Sale ²⁵
	1.000	3.20	05/21/20	3.35	0.15 W	0.00	158 of 302 - Sale ²⁵
	1.000	3.20	05/21/20	3.39	0.19 W	0.00	159 of 302 - Sale ²⁵
	2.000	6.40	05/21/20	6.59	0.19 W	0.00	160 of 302 - Sale ²⁵
	2.000	6.40	05/21/20	6.60	0.20 W	0.00	161 of 302 - Sale ²⁵
	3.000	9.60	05/21/20	9.85	0.25 W	0.00	162 of 302 - Sale ²⁵
	5.000	16.00	05/21/20	16.98	0.98 W	0.00	163 of 302 - Sale ²⁵
	6.000	19.20	05/21/20	20.38	1.18 W	0.00	164 of 302 - Sale ²⁵
	9.000	28.80	05/21/20	29.93	1.13 W	0.00	165 of 302 - Sale ²⁵
	44.000	140.79	05/21/20	149.43	8.64 W	0.00	166 of 302 - Sale ²⁵
	46.000	147.19	05/21/20	154.17	6.98 W	0.00	167 of 302 - Sale ²⁵
	46.000	147.19	05/21/20	151.86	4.67 W	0.00	168 of 302 - Sale ²⁵
	51.000	163.19	05/21/20	170.92	7.73 W	0.00	169 of 302 - Sale ²⁵
	55.000	175.99	05/21/20	186.81	10.82 W	0.00	170 of 302 - Sale ²⁵
	66.000	211.19	05/21/20	217.88	6.69 W	0.00	171 of 302 - Sale ²⁵
	90.000	287.98	05/21/20	299.40	11.42 W	0.00	172 of 302 - Sale ²⁵
	91.000	291.18	05/21/20	309.07	17.89 W	0.00	173 of 302 - Sale ²⁵
	92.000	294.38	05/21/20	308.32	13.94 W	0.00	174 of 302 - Sale ²⁵
	97.000	310.38	05/21/20	318.81	8.43 W	0.00	175 of 302 - Sale ²⁵
	98.000	313.58	05/21/20	332.84	19.26 W	0.00	176 of 302 - Sale ²⁵
	100.000	319.98	05/21/20	328.16	8.18 W	0.00	177 of 302 - Sale ²⁵
	100.000	319.98	05/21/20	328.16	8.18 W	0.00	178 of 302 - Sale ²⁵
	10.000	32.00	05/21/20	33.01	1.01 W	0.00	179 of 302 - Sale ²⁵
	28.000	89.59	05/21/20	92.43	2.84 W	0.00	180 of 302 - Sale ²⁵
	46.000	147.19	05/21/20	151.85	4.66 W	0.00	181 of 302 - Sale ²⁵
	54.000	172.79	05/21/20	178.27	5.48 W	0.00	182 of 302 - Sale ²⁵
	148.000	473.57	05/21/20	488.58	15.01 W	0.00	183 of 302 - Sale ²⁵
	1.000	3.20	05/21/20	3.30	0.10 W	0.00	184 of 302 - Sale ²⁵
	4.000	12.80	05/21/20	13.20	0.40 W	0.00	185 of 302 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	5.000	15.90	05/21/20	16.86	0.96 W	0.00	186 of 302 - Sale ²⁵
	5.000	15.90	05/21/20	16.86	0.96 W	0.00	187 of 302 - Sale ²⁵
	8.000	25.44	05/21/20	26.97	1.53 W	0.00	188 of 302 - Sale ²⁵
	10.000	31.80	05/21/20	33.71	1.91 W	0.00	189 of 302 - Sale ²⁵
	14.000	44.52	05/21/20	47.20	2.68 W	0.00	190 of 302 - Sale ²⁵
	17.000	54.06	05/21/20	57.30	3.24 W	0.00	191 of 302 - Sale ²⁵
	19.000	60.42	05/21/20	64.05	3.63 W	0.00	192 of 302 - Sale ²⁵
	31.000	98.57	05/21/20	104.52	5.95 W	0.00	193 of 302 - Sale ²⁵
	31.000	98.57	05/21/20	104.52	5.95 W	0.00	194 of 302 - Sale ²⁵
	50.000	158.99	05/21/20	168.57	9.58 W	0.00	195 of 302 - Sale ²⁵
	54.000	171.71	05/21/20	182.07	10.36 W	0.00	196 of 302 - Sale ²⁵
	64.000	203.51	05/21/20	215.76	12.25 W	0.00	197 of 302 - Sale ²⁵
	92.000	292.54	05/21/20	310.18	17.64 W	0.00	198 of 302 - Sale ²⁵
	1.000	3.18	05/21/20	3.38	0.20 W	0.00	199 of 302 - Sale ²⁵
	1.000	3.18	05/21/20	3.42	0.24 W	0.00	200 of 302 - Sale ²⁵
	2.000	6.36	05/21/20	6.85	0.49 W	0.00	201 of 302 - Sale ²⁵
	5.000	15.90	05/21/20	17.13	1.23 W	0.00	202 of 302 - Sale ²⁵
	44.000	139.91	05/21/20	150.76	10.85 W	0.00	203 of 302 - Sale ²⁵
	46.000	146.27	05/21/20	155.56	9.29 W	0.00	204 of 302 - Sale ²⁵
	51.000	162.17	05/21/20	172.46	10.29 W	0.00	205 of 302 - Sale ²⁵
	55.000	174.89	05/21/20	188.47	13.58 W	0.00	206 of 302 - Sale ²⁵
	92.000	292.54	05/21/20	311.10	18.56 W	0.00	207 of 302 - Sale ²⁵
	2.000	6.36	05/21/20	6.65	0.29 W	0.00	208 of 302 - Sale ²⁵
	9.000	28.62	05/21/20	30.20	1.58 W	0.00	209 of 302 - Sale ²⁵
	23.000	73.14	05/21/20	78.81	5.67 W	0.00	210 of 302 - Sale ²⁵
	85.000	270.28	05/21/20	281.94	11.66 W	0.00	211 of 302 - Sale ²⁵
	90.000	286.18	05/21/20	302.12	15.94 W	0.00	212 of 302 - Sale ²⁵
	91.000	289.36	05/21/20	311.82	22.46 W	0.00	213 of 302 - Sale ²⁵
	100.000	317.98	05/21/20	331.18	13.20 W	0.00	214 of 302 - Sale ²⁵
	100.000	317.98	05/21/20	331.18	13.20 W	0.00	215 of 302 - Sale ²⁵
	1.000	3.18	05/21/20	3.33	0.15 W	0.00	216 of 302 - Sale ²⁵
	2.000	6.36	05/21/20	6.66	0.30 W	0.00	217 of 302 - Sale ²⁵
	3.000	9.54	05/21/20	9.94	0.40 W	0.00	218 of 302 - Sale ²⁵
	4.000	12.72	05/21/20	13.32	0.60 W	0.00	219 of 302 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	10.000	31.80	05/21/20	33.31	1.51 W	0.00	220 of 302 - Sale ²⁵
	12.000	38.16	05/21/20	39.80	1.64 W	0.00	221 of 302 - Sale ²⁵
	28.000	89.03	05/21/20	93.28	4.25 W	0.00	222 of 302 - Sale ²⁵
	46.000	146.27	05/21/20	153.25	6.98 W	0.00	223 of 302 - Sale ²⁵
	46.000	146.27	05/21/20	153.24	6.97 W	0.00	224 of 302 - Sale ²⁵
	54.000	171.71	05/21/20	179.90	8.19 W	0.00	225 of 302 - Sale ²⁵
	66.000	209.87	05/21/20	219.87	10.00 W	0.00	226 of 302 - Sale ²⁵
	148.000	470.61	05/21/20	493.05	22.44 W	0.00	227 of 302 - Sale ²⁵
	80.000	254.38	05/21/20	258.40	4.02 W	0.00	228 of 302 - Sale ²⁵
	228.000	725.00	05/21/20	736.44	11.44 W	0.00	229 of 302 - Sale ²⁵
	4.000	12.72	05/21/20	13.71	0.99 W	0.00	230 of 302 - Sale ²⁵
	75.000	238.49	05/21/20	256.99	18.50 W	0.00	231 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.61	1.06 W	0.00	232 of 302 - Sale ²⁵
	8.000	24.88	05/21/20	26.57	1.69 W	0.00	233 of 302 - Sale ²⁵
	31.000	96.40	05/21/20	102.98	6.58 W	0.00	234 of 302 - Sale ²⁵
	64.000	199.03	05/21/20	212.57	13.54 W	0.00	235 of 302 - Sale ²⁵
	92.000	286.10	05/21/20	305.60	19.50 W	0.00	236 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.88	1.33 W	0.00	237 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.88	1.33 W	0.00	238 of 302 - Sale ²⁵
	39.000	121.28	05/21/20	129.95	8.67 W	0.00	239 of 302 - Sale ²⁵
	51.000	158.60	05/21/20	169.92	11.32 W	0.00	240 of 302 - Sale ²⁵
	1.000	3.11	05/21/20	3.37	0.26 W	0.00	241 of 302 - Sale ²⁵
	1.000	3.11	05/21/20	3.38	0.27 W	0.00	242 of 302 - Sale ²⁵
	2.000	6.22	05/21/20	6.75	0.53 W	0.00	243 of 302 - Sale ²⁵
	4.000	12.44	05/21/20	13.51	1.07 W	0.00	244 of 302 - Sale ²⁵
	23.000	71.53	05/21/20	77.66	6.13 W	0.00	245 of 302 - Sale ²⁵
	39.000	121.28	05/21/20	131.69	10.41 W	0.00	246 of 302 - Sale ²⁵
	55.000	171.04	05/21/20	185.73	14.69 W	0.00	247 of 302 - Sale ²⁵
	75.000	233.24	05/21/20	253.25	20.01 W	0.00	248 of 302 - Sale ²⁵
	1.000	3.11	05/21/20	3.31	0.20 W	0.00	249 of 302 - Sale ²⁵
	9.000	27.99	05/21/20	29.75	1.76 W	0.00	250 of 302 - Sale ²⁵
	90.000	279.88	05/21/20	303.91	24.03 W	0.00	251 of 302 - Sale ²⁵
	2.000	6.22	05/21/20	6.55	0.33 W	0.00	252 of 302 - Sale ²⁵
	41.000	127.50	05/21/20	133.74	6.24 W	0.00	253 of 302 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	89.000	276.77	05/21/20	294.33	17.56 W	0.00	254 of 302 - Sale ²⁵
	1.000	3.11	05/21/20	3.28	0.17 W	0.00	255 of 302 - Sale ²⁵
	2.000	6.22	05/21/20	6.56	0.34 W	0.00	256 of 302 - Sale ²⁵
	3.000	9.33	05/21/20	9.79	0.46 W	0.00	257 of 302 - Sale ²⁵
	4.000	12.44	05/21/20	13.12	0.68 W	0.00	258 of 302 - Sale ²⁵
	10.000	31.10	05/21/20	32.81	1.71 W	0.00	259 of 302 - Sale ²⁵
	12.000	37.32	05/21/20	39.20	1.88 W	0.00	260 of 302 - Sale ²⁵
	28.000	87.07	05/21/20	91.89	4.82 W	0.00	261 of 302 - Sale ²⁵
	46.000	143.05	05/21/20	150.96	7.91 W	0.00	262 of 302 - Sale ²⁵
	46.000	143.05	05/21/20	150.95	7.90 W	0.00	263 of 302 - Sale ²⁵
	54.000	167.93	05/21/20	177.21	9.28 W	0.00	264 of 302 - Sale ²⁵
	59.000	183.48	05/21/20	192.46	8.98 W	0.00	265 of 302 - Sale ²⁵
	66.000	205.25	05/21/20	216.58	11.33 W	0.00	266 of 302 - Sale ²⁵
	85.000	264.33	05/21/20	277.71	13.38 W	0.00	267 of 302 - Sale ²⁵
	100.000	310.98	05/21/20	326.20	15.22 W	0.00	268 of 302 - Sale ²⁵
	148.000	460.25	05/21/20	485.68	25.43 W	0.00	269 of 302 - Sale ²⁵
	80.000	248.78	05/21/20	254.42	5.64 W	0.00	270 of 302 - Sale ²⁵
	228.000	709.04	05/21/20	725.08	16.04 W	0.00	271 of 302 - Sale ²⁵
	28.000	87.07	05/21/20	87.64	0.57 W	0.00	272 of 302 - Sale ²⁵
	100.000	310.98	05/21/20	313.00	2.02 W	0.00	273 of 302 - Sale ²⁵
	100.000	310.98	05/21/20	313.00	2.02 W	0.00	274 of 302 - Sale ²⁵
	100.000	310.98	05/21/20	313.00	2.02 W	0.00	275 of 302 - Sale ²⁵
	100.000	310.98	05/21/20	313.00	2.02 W	0.00	276 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.61	1.06 W	0.00	277 of 302 - Sale ²⁵
	14.000	43.54	05/21/20	46.50	2.96 W	0.00	278 of 302 - Sale ²⁵
	31.000	96.40	05/21/20	102.98	6.58 W	0.00	279 of 302 - Sale ²⁵
	50.000	155.49	05/21/20	166.08	10.59 W	0.00	280 of 302 - Sale ²⁵
	1.000	3.11	05/21/20	3.33	0.22 W	0.00	281 of 302 - Sale ²⁵
	7.000	21.77	05/21/20	23.32	1.55 W	0.00	282 of 302 - Sale ²⁵
	10.000	31.10	05/21/20	33.21	2.11 W	0.00	283 of 302 - Sale ²⁵
	17.000	52.87	05/21/20	56.45	3.58 W	0.00	284 of 302 - Sale ²⁵
	19.000	59.09	05/21/20	63.10	4.01 W	0.00	285 of 302 - Sale ²⁵
	54.000	167.93	05/21/20	179.38	11.45 W	0.00	286 of 302 - Sale ²⁵
	92.000	286.10	05/21/20	306.52	20.42 W	0.00	287 of 302 - Sale ²⁵

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	100.000	310.98	05/21/20	313.00	2.02 W	0.00	288 of 302 - Sale ²⁵
	2.000	6.22	05/21/20	6.26	0.04 W	0.00	289 of 302 - Sale ²⁵
	866.000	2,693.10	05/21/20	2,710.58	17.48 W	0.00	290 of 302 - Sale ²⁵
	34.000	105.73	05/21/20	106.42	0.69 W	0.00	291 of 302 - Sale ²⁵
	100.000	310.98	05/21/20	314.00	3.02 W	0.00	292 of 302 - Sale ²⁵
	166.000	516.24	05/21/20	521.24	5.00 W	0.00	293 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.76	1.21 W	0.00	294 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.76	1.21 W	0.00	295 of 302 - Sale ²⁵
	5.000	15.55	05/21/20	16.76	1.21 W	0.00	296 of 302 - Sale ²⁵
	14.000	43.54	05/21/20	46.92	3.38 W	0.00	297 of 302 - Sale ²⁵
	31.000	96.40	05/21/20	103.92	7.52 W	0.00	298 of 302 - Sale ²⁵
	31.000	96.40	05/21/20	103.92	7.52 W	0.00	299 of 302 - Sale ²⁵
	50.000	155.49	05/21/20	167.59	12.10 W	0.00	300 of 302 - Sale ²⁵
	64.000	199.02	05/21/20	214.50	15.48 W	0.00	301 of 302 - Sale ²⁵
	92.000	286.10	05/21/20	308.38	22.28 W	0.00	302 of 302 - Sale ²⁵
05/21/20	17,411.000	51,926.92	Various	56,191.26	4,264.34 W	0.00	Total of 302 transactions
	12 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	2.000	6.48	05/21/20	6.22	...	0.26	1 of 12 - Sale ²⁵
	28.000	90.71	05/21/20	87.09	...	3.62	2 of 12 - Sale ²⁵
	80.000	259.18	05/21/20	252.84	...	6.34	3 of 12 - Sale ²⁵
	82.000	265.67	05/21/20	255.04	...	10.63	4 of 12 - Sale ²⁵
	100.000	323.98	05/21/20	311.02	...	12.96	5 of 12 - Sale ²⁵
	100.000	323.98	05/21/20	311.02	...	12.96	6 of 12 - Sale ²⁵
	100.000	323.98	05/21/20	311.02	...	12.96	7 of 12 - Sale ²⁵
	100.000	323.98	05/21/20	311.02	...	12.96	8 of 12 - Sale ²⁵
	100.000	323.98	05/21/20	311.02	...	12.96	9 of 12 - Sale ²⁵
	228.000	738.68	05/21/20	720.56	...	18.12	10 of 12 - Sale ²⁵
	206.000	667.40	05/21/20	640.70	...	26.70	11 of 12 - Sale ²⁵
	478.000	1,548.62	05/21/20	1,486.66	...	61.96	12 of 12 - Sale ²⁵
05/22/20	1,604.000	5,196.64	Various	5,004.21	...	192.43	Total of 12 transactions
	46 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	3.000	9.72	05/21/20	10.05	0.33 W	0.00	1 of 46 - Sale ²⁵
	10.000	32.40	05/21/20	33.01	0.61 W	0.00	2 of 46 - Sale ²⁵
	17.000	55.08	05/21/20	56.11	1.03 W	0.00	3 of 46 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	19.000	61.56	05/21/20	62.72	1.16 W	0.00	4 of 46 - Sale ²⁵
	54.000	174.95	05/21/20	178.31	3.36 W	0.00	5 of 46 - Sale ²⁵
	47.000	152.27	05/21/20	155.66	3.39 W	0.00	6 of 46 - Sale ²⁵
	1.000	3.24	05/21/20	3.31	0.07 W	0.00	7 of 46 - Sale ²⁵
	5.000	16.20	05/21/20	16.78	0.58 W	0.00	8 of 46 - Sale ²⁵
	5.000	16.20	05/21/20	16.78	0.58 W	0.00	9 of 46 - Sale ²⁵
	7.000	22.68	05/21/20	23.18	0.50 W	0.00	10 of 46 - Sale ²⁵
	16.000	51.84	05/21/20	53.71	1.87 W	0.00	11 of 46 - Sale ²⁵
	39.000	126.35	05/21/20	129.18	2.83 W	0.00	12 of 46 - Sale ²⁵
	51.000	165.23	05/21/20	168.91	3.68 W	0.00	13 of 46 - Sale ²⁵
	45.000	145.79	05/21/20	149.04	3.25 W	0.00	14 of 46 - Sale ²⁵
	1.000	3.24	05/21/20	3.35	0.11 W	0.00	15 of 46 - Sale ²⁵
	23.000	74.51	05/21/20	77.21	2.70 W	0.00	16 of 46 - Sale ²⁵
	7.000	22.68	05/21/20	23.50	0.82 W	0.00	17 of 46 - Sale ²⁵
	1.000	3.24	05/21/20	3.26	0.02 W	0.00	18 of 46 - Sale ²⁵
	2.000	6.48	05/21/20	6.52	0.04 W	0.00	19 of 46 - Sale ²⁵
	3.000	9.72	05/21/20	9.73	0.01 W	0.00	20 of 46 - Sale ²⁵
	4.000	12.96	05/21/20	13.04	0.08 W	0.00	21 of 46 - Sale ²⁵
	10.000	32.40	05/21/20	32.61	0.21 W	0.00	22 of 46 - Sale ²⁵
	12.000	38.88	05/21/20	38.96	0.08 W	0.00	23 of 46 - Sale ²⁵
	28.000	90.71	05/21/20	91.34	0.63 W	0.00	24 of 46 - Sale ²⁵
	46.000	149.03	05/21/20	150.05	1.02 W	0.00	25 of 46 - Sale ²⁵
	46.000	149.03	05/21/20	150.04	1.01 W	0.00	26 of 46 - Sale ²⁵
	54.000	174.95	05/21/20	176.14	1.19 W	0.00	27 of 46 - Sale ²⁵
	66.000	213.83	05/21/20	215.27	1.44 W	0.00	28 of 46 - Sale ²⁵
	85.000	275.38	05/21/20	276.03	0.65 W	0.00	29 of 46 - Sale ²⁵
	66.000	213.83	05/21/20	213.99	0.16 W	0.00	30 of 46 - Sale ²⁵
	148.000	479.49	05/21/20	482.75	3.26 W	0.00	31 of 46 - Sale ²⁵
	21.000	68.04	05/21/20	70.50	2.46 W	0.00	32 of 46 - Sale ²⁵
	1.000	3.24	05/21/20	3.36	0.12 W	0.00	33 of 46 - Sale ²⁵
	1.000	3.24	05/21/20	3.29	0.05 W	0.00	34 of 46 - Sale ²⁵
	2.000	6.48	05/21/20	6.71	0.23 W	0.00	35 of 46 - Sale ²⁵
	2.000	6.48	05/21/20	6.51	0.03 W	0.00	36 of 46 - Sale ²⁵
	4.000	12.96	05/21/20	13.43	0.47 W	0.00	37 of 46 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	9.000	29.16	05/21/20	29.57	0.41 W	0.00	38 of 46 - Sale ²⁵
	23.000	74.52	05/21/20	77.20	2.68 W	0.00	39 of 46 - Sale ²⁵
	41.000	132.83	05/21/20	132.93	0.10 W	0.00	40 of 46 - Sale ²⁵
	27.000	87.47	05/21/20	90.64	3.17 W	0.00	41 of 46 - Sale ²⁵
	59.000	191.15	05/21/20	191.29	0.14 W	0.00	42 of 46 - Sale ²⁵
	75.000	242.99	05/21/20	251.76	8.77 W	0.00	43 of 46 - Sale ²⁵
	89.000	288.34	05/21/20	292.57	4.23 W	0.00	44 of 46 - Sale ²⁵
	90.000	291.58	05/21/20	302.13	10.55 W	0.00	45 of 46 - Sale ²⁵
	34.000	110.15	05/21/20	110.23	0.08 W	0.00	46 of 46 - Sale ²⁵
05/22/20	1,399.000	4,532.50	Various	4,602.66	70.16 W	0.00	Total of 46 transactions
135 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	100.000	261.98	05/27/20	342.12	...	-80.14	1 of 135 - Sale ²⁵
	100.000	261.98	05/27/20	336.12	...	-74.14	2 of 135 - Sale ²⁵
	97.000	254.12	05/27/20	326.01	...	-71.89	3 of 135 - Sale ²⁵
	65.000	170.29	05/27/20	236.80	...	-66.51	4 of 135 - Sale ²⁵
	76.000	199.11	05/27/20	264.41	...	-65.30	5 of 135 - Sale ²⁵
	56.000	146.71	05/27/20	205.70	...	-58.99	6 of 135 - Sale ²⁵
	45.000	117.88	05/27/20	172.55	...	-54.67	7 of 135 - Sale ²⁵
	50.000	130.99	05/27/20	183.68	...	-52.69	8 of 135 - Sale ²⁵
	69.000	180.77	05/27/20	231.91	...	-51.14	9 of 135 - Sale ²⁵
	51.000	133.61	05/27/20	183.80	...	-50.19	10 of 135 - Sale ²⁵
	42.000	110.03	05/27/20	156.43	...	-46.40	11 of 135 - Sale ²⁵
	58.000	151.94	05/27/20	198.00	...	-46.06	12 of 135 - Sale ²⁵
	98.000	256.74	05/27/20	301.13	...	-44.39	13 of 135 - Sale ²⁵
	47.000	123.13	05/27/20	166.78	...	-43.65	14 of 135 - Sale ²⁵
	33.000	86.45	05/27/20	126.54	...	-40.09	15 of 135 - Sale ²⁵
	43.000	112.65	05/27/20	152.60	...	-39.95	16 of 135 - Sale ²⁵
	48.000	125.74	05/27/20	162.42	...	-36.68	17 of 135 - Sale ²⁵
	62.000	162.43	05/27/20	198.56	...	-36.13	18 of 135 - Sale ²⁵
	31.000	81.21	05/27/20	113.92	...	-32.71	19 of 135 - Sale ²⁵
	34.000	89.07	05/27/20	119.64	...	-30.57	20 of 135 - Sale ²⁵
	27.000	70.73	05/27/20	98.57	...	-27.84	21 of 135 - Sale ²⁵
	29.000	75.97	05/27/20	102.06	...	-26.09	22 of 135 - Sale ²⁵
	25.000	65.50	05/27/20	90.10	...	-24.60	23 of 135 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	32.000	83.83	05/27/20	108.31	...	-24.48	24 of 135 - Sale ²⁵
	23.000	60.26	05/27/20	83.93	...	-23.67	25 of 135 - Sale ²⁵
	31.000	81.21	05/27/20	103.91	...	-22.70	26 of 135 - Sale ²⁵
	21.000	55.02	05/27/20	76.59	...	-21.57	27 of 135 - Sale ²⁵
	28.000	73.35	05/27/20	94.75	...	-21.40	28 of 135 - Sale ²⁵
	19.000	49.78	05/27/20	70.70	...	-20.92	29 of 135 - Sale ²⁵
	21.000	55.02	05/27/20	74.48	...	-19.46	30 of 135 - Sale ²⁵
	19.000	49.78	05/27/20	69.22	...	-19.44	31 of 135 - Sale ²⁵
	34.000	89.07	05/27/20	108.42	...	-19.35	32 of 135 - Sale ²⁵
	17.000	44.54	05/27/20	63.25	...	-18.71	33 of 135 - Sale ²⁵
	38.000	99.55	05/27/20	116.77	...	-17.22	34 of 135 - Sale ²⁵
	13.000	34.06	05/27/20	49.83	...	-15.77	35 of 135 - Sale ²⁵
	14.000	36.68	05/27/20	51.40	...	-14.72	36 of 135 - Sale ²⁵
	32.000	83.83	05/27/20	98.33	...	-14.50	37 of 135 - Sale ²⁵
	32.000	83.83	05/27/20	98.32	...	-14.49	38 of 135 - Sale ²⁵
	13.000	34.06	05/27/20	47.75	...	-13.69	39 of 135 - Sale ²⁵
	13.000	34.06	05/27/20	47.41	...	-13.35	40 of 135 - Sale ²⁵
	28.000	73.36	05/27/20	86.06	...	-12.70	41 of 135 - Sale ²⁵
	20.000	52.40	05/27/20	64.06	...	-11.66	42 of 135 - Sale ²⁵
	20.000	52.40	05/27/20	63.77	...	-11.37	43 of 135 - Sale ²⁵
	13.000	34.06	05/27/20	45.23	...	-11.17	44 of 135 - Sale ²⁵
	10.000	26.20	05/27/20	37.21	...	-11.01	45 of 135 - Sale ²⁵
	10.000	26.20	05/27/20	36.73	...	-10.53	46 of 135 - Sale ²⁵
	9.000	23.58	05/27/20	33.50	...	-9.92	47 of 135 - Sale ²⁵
	7.000	18.34	05/27/20	26.82	...	-8.48	48 of 135 - Sale ²⁵
	11.000	28.82	05/27/20	37.23	...	-8.41	49 of 135 - Sale ²⁵
	18.000	47.16	05/27/20	55.31	...	-8.15	50 of 135 - Sale ²⁵
	8.000	20.96	05/27/20	28.82	...	-7.86	51 of 135 - Sale ²⁵
	9.000	23.58	05/27/20	31.28	...	-7.70	52 of 135 - Sale ²⁵
	7.000	18.34	05/27/20	25.71	...	-7.37	53 of 135 - Sale ²⁵
	9.000	23.58	05/27/20	30.89	...	-7.31	54 of 135 - Sale ²⁵
	16.000	41.92	05/27/20	49.16	...	-7.24	55 of 135 - Sale ²⁵
	7.000	18.34	05/27/20	25.53	...	-7.19	56 of 135 - Sale ²⁵
	12.000	31.44	05/27/20	38.24	...	-6.80	57 of 135 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	14.000	36.68	05/27/20	43.02	...	-6.34	58 of 135 - Sale ²⁵
	11.000	28.82	05/27/20	35.08	...	-6.26	59 of 135 - Sale ²⁵
	10.000	26.20	05/27/20	31.88	...	-5.68	60 of 135 - Sale ²⁵
	7.000	18.34	05/27/20	23.96	...	-5.62	61 of 135 - Sale ²⁵
	7.000	18.34	05/27/20	23.95	...	-5.61	62 of 135 - Sale ²⁵
	7.000	18.34	05/27/20	23.89	...	-5.55	63 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	18.36	...	-5.26	64 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	18.36	...	-5.26	65 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	18.36	...	-5.26	66 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	18.23	...	-5.13	67 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	18.23	...	-5.13	68 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	18.21	...	-5.11	69 of 135 - Sale ²⁵
	10.000	26.20	05/27/20	30.71	...	-4.51	70 of 135 - Sale ²⁵
	4.000	10.48	05/27/20	14.69	...	-4.21	71 of 135 - Sale ²⁵
	4.000	10.48	05/27/20	14.59	...	-4.11	72 of 135 - Sale ²⁵
	4.000	10.48	05/27/20	14.41	...	-3.93	73 of 135 - Sale ²⁵
	6.000	15.72	05/27/20	19.22	...	-3.50	74 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	11.31	...	-3.45	75 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	11.17	...	-3.31	76 of 135 - Sale ²⁵
	4.000	10.48	05/27/20	13.69	...	-3.21	77 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	11.02	...	-3.16	78 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	10.94	...	-3.08	79 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	15.94	...	-2.84	80 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	10.55	...	-2.69	81 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	10.26	...	-2.40	82 of 135 - Sale ²⁵
	5.000	13.10	05/27/20	15.36	...	-2.26	83 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	7.29	...	-2.05	84 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	7.29	...	-2.05	85 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	7.09	...	-1.85	86 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	9.56	...	-1.70	87 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	9.55	...	-1.69	88 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.89	...	-1.65	89 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.83	...	-1.59	90 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.77	...	-1.53	91 of 135 - Sale ²⁵

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	2.000	5.24	05/27/20	6.76	...	-1.52	92 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	9.21	...	-1.35	93 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.84	...	-1.22	94 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.83	...	-1.21	95 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.41	...	-1.17	96 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.41	...	-1.17	97 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.40	...	-1.16	98 of 135 - Sale ²⁵
	2.000	5.24	05/27/20	6.37	...	-1.13	99 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.73	...	-1.11	100 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.67	...	-1.05	101 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.65	...	-1.03	102 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.64	...	-1.02	103 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.60	...	-0.98	104 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.55	...	-0.93	105 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.48	...	-0.86	106 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.20	...	-0.58	107 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.18	...	-0.56	108 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.07	...	-0.45	109 of 135 - Sale ²⁵
	1.000	2.62	05/27/20	3.07	...	-0.45	110 of 135 - Sale ²⁵
	4.000	10.48	05/27/20	10.68	...	-0.20	111 of 135 - Sale ²⁵
	3.000	7.86	05/27/20	8.01	...	-0.15	112 of 135 - Sale ²⁵
	200.000	523.96	05/27/20	510.00	...	13.96	113 of 135 - Sale ²⁵
	96.000	259.19	05/27/20	244.80	...	14.39	114 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	115 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	116 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	117 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	118 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	119 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	120 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	121 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	122 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	123 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	124 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	125 of 135 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	100.000	269.99	05/27/20	255.00	...	14.99	126 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	127 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	128 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	129 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	130 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	131 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	132 of 135 - Sale ²⁵
	100.000	269.99	05/27/20	255.00	...	14.99	133 of 135 - Sale ²⁵
	998.000	2,614.56	05/27/20	2,544.90	...	69.66	134 of 135 - Sale ²⁵
	703.000	1,897.97	05/27/20	1,792.65	...	105.32	135 of 135 - Sale ²⁵
05/27/20	5,994.000	15,919.27	Various	17,148.54	...	-1,229.27	Total of 135 transactions
595 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	2.84	05/22/20	3.09	0.25 W	0.00	1 of 595 - Sale ²⁵
	1.000	2.84	05/22/20	3.13	0.29 W	0.00	2 of 595 - Sale ²⁵
	1.000	2.84	05/22/20	3.14	0.30 W	0.00	3 of 595 - Sale ²⁵
	1.000	2.84	05/22/20	3.07	0.23 W	0.00	4 of 595 - Sale ²⁵
	2.000	5.68	05/22/20	6.27	0.59 W	0.00	5 of 595 - Sale ²⁵
	2.000	5.68	05/22/20	6.07	0.39 W	0.00	6 of 595 - Sale ²⁵
	3.000	8.52	05/22/20	9.39	0.87 W	0.00	7 of 595 - Sale ²⁵
	4.000	11.36	05/22/20	12.55	1.19 W	0.00	8 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	15.68	1.48 W	0.00	9 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	15.68	1.48 W	0.00	10 of 595 - Sale ²⁵
	7.000	19.88	05/22/20	21.64	1.76 W	0.00	11 of 595 - Sale ²⁵
	7.000	19.88	05/22/20	21.96	2.08 W	0.00	12 of 595 - Sale ²⁵
	9.000	25.56	05/22/20	27.59	2.03 W	0.00	13 of 595 - Sale ²⁵
	9.000	25.56	05/22/20	27.20	1.64 W	0.00	14 of 595 - Sale ²⁵
	10.000	28.40	05/22/20	30.81	2.41 W	0.00	15 of 595 - Sale ²⁵
	16.000	45.44	05/22/20	50.19	4.75 W	0.00	16 of 595 - Sale ²⁵
	17.000	48.28	05/22/20	52.37	4.09 W	0.00	17 of 595 - Sale ²⁵
	19.000	53.96	05/22/20	58.54	4.58 W	0.00	18 of 595 - Sale ²⁵
	21.000	59.64	05/22/20	65.88	6.24 W	0.00	19 of 595 - Sale ²⁵
	23.000	65.31	05/22/20	72.16	6.85 W	0.00	20 of 595 - Sale ²⁵
	23.000	65.32	05/22/20	72.14	6.82 W	0.00	21 of 595 - Sale ²⁵
	27.000	76.67	05/22/20	84.71	8.04 W	0.00	22 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	39.000	110.75	05/22/20	120.61	9.86 W	0.00	23 of 595 - Sale ²⁵
	45.000	127.79	05/22/20	139.15	11.36 W	0.00	24 of 595 - Sale ²⁵
	47.000	133.47	05/22/20	145.33	11.86 W	0.00	25 of 595 - Sale ²⁵
	51.000	144.83	05/22/20	157.70	12.87 W	0.00	26 of 595 - Sale ²⁵
	54.000	153.35	05/22/20	166.44	13.09 W	0.00	27 of 595 - Sale ²⁵
	75.000	212.98	05/22/20	235.27	22.29 W	0.00	28 of 595 - Sale ²⁵
	89.000	252.74	05/22/20	273.01	20.27 W	0.00	29 of 595 - Sale ²⁵
	90.000	255.58	05/22/20	282.35	26.77 W	0.00	30 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	16.31	2.11 W	0.00	31 of 595 - Sale ²⁵
	14.000	39.76	05/22/20	45.66	5.90 W	0.00	32 of 595 - Sale ²⁵
	31.000	88.03	05/22/20	101.14	13.11 W	0.00	33 of 595 - Sale ²⁵
	50.000	141.98	05/22/20	163.10	21.12 W	0.00	34 of 595 - Sale ²⁵
	8.000	22.72	05/22/20	26.10	3.38 W	0.00	35 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	16.31	2.11 W	0.00	36 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	16.31	2.11 W	0.00	37 of 595 - Sale ²⁵
	31.000	88.03	05/22/20	101.14	13.11 W	0.00	38 of 595 - Sale ²⁵
	56.000	159.03	05/22/20	182.66	23.63 W	0.00	39 of 595 - Sale ²⁵
	92.000	261.26	05/22/20	300.12	38.86 W	0.00	40 of 595 - Sale ²⁵
	34.000	96.55	05/22/20	103.37	6.82 W	0.00	41 of 595 - Sale ²⁵
	100.000	283.98	05/22/20	304.02	20.04 W	0.00	42 of 595 - Sale ²⁵
	100.000	283.98	05/22/20	305.02	21.04 W	0.00	43 of 595 - Sale ²⁵
	166.000	471.41	05/22/20	506.32	34.91 W	0.00	44 of 595 - Sale ²⁵
	12.000	34.08	05/22/20	36.33	2.25 W	0.00	45 of 595 - Sale ²⁵
	32.000	90.87	05/22/20	96.72	5.85 W	0.00	46 of 595 - Sale ²⁵
	34.000	96.56	05/22/20	102.76	6.20 W	0.00	47 of 595 - Sale ²⁵
	59.000	167.55	05/22/20	178.32	10.77 W	0.00	48 of 595 - Sale ²⁵
	66.000	187.43	05/22/20	199.48	12.05 W	0.00	49 of 595 - Sale ²⁵
	3.000	8.52	05/22/20	9.08	0.56 W	0.00	50 of 595 - Sale ²⁵
	3.000	8.52	05/22/20	9.93	1.41 W	0.00	51 of 595 - Sale ²⁵
	42.000	119.27	05/22/20	137.02	17.75 W	0.00	52 of 595 - Sale ²⁵
	1.000	2.84	05/22/20	3.27	0.43 W	0.00	53 of 595 - Sale ²⁵
	7.000	19.88	05/22/20	22.90	3.02 W	0.00	54 of 595 - Sale ²⁵
	10.000	28.40	05/22/20	32.61	4.21 W	0.00	55 of 595 - Sale ²⁵
	17.000	48.28	05/22/20	55.43	7.15 W	0.00	56 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
19.000		53.96	05/22/20	61.96	8.00 W	0.00	57 of 595 - Sale ²⁵
14.000		39.76	05/22/20	45.82	6.06 W	0.00	58 of 595 - Sale ²⁵
45.000		127.79	05/22/20	147.26	19.47 W	0.00	59 of 595 - Sale ²⁵
47.000		133.47	05/22/20	153.80	20.33 W	0.00	60 of 595 - Sale ²⁵
12.000		34.08	05/22/20	39.15	5.07 W	0.00	61 of 595 - Sale ²⁵
5.000		14.20	05/22/20	16.58	2.38 W	0.00	62 of 595 - Sale ²⁵
5.000		14.20	05/22/20	16.58	2.38 W	0.00	63 of 595 - Sale ²⁵
14.000		39.76	05/22/20	46.44	6.68 W	0.00	64 of 595 - Sale ²⁵
25.000		71.00	05/22/20	81.82	10.82 W	0.00	65 of 595 - Sale ²⁵
51.000		144.83	05/22/20	166.89	22.06 W	0.00	66 of 595 - Sale ²⁵
1.000		2.84	05/22/20	3.31	0.47 W	0.00	67 of 595 - Sale ²⁵
2.000		5.68	05/22/20	6.63	0.95 W	0.00	68 of 595 - Sale ²⁵
3.000		8.52	05/22/20	9.95	1.43 W	0.00	69 of 595 - Sale ²⁵
4.000		11.36	05/22/20	13.27	1.91 W	0.00	70 of 595 - Sale ²⁵
7.000		19.88	05/22/20	23.22	3.34 W	0.00	71 of 595 - Sale ²⁵
2.000		5.68	05/22/20	6.63	0.95 W	0.00	72 of 595 - Sale ²⁵
21.000		59.64	05/22/20	69.66	10.02 W	0.00	73 of 595 - Sale ²⁵
23.000		65.32	05/22/20	76.31	10.99 W	0.00	74 of 595 - Sale ²⁵
27.000		76.67	05/22/20	89.58	12.91 W	0.00	75 of 595 - Sale ²⁵
1.000		2.84	05/22/20	3.04	0.20 W	0.00	76 of 595 - Sale ²⁵
2.000		5.68	05/22/20	6.08	0.40 W	0.00	77 of 595 - Sale ²⁵
3.000		8.52	05/22/20	9.07	0.55 W	0.00	78 of 595 - Sale ²⁵
4.000		11.36	05/22/20	12.16	0.80 W	0.00	79 of 595 - Sale ²⁵
10.000		28.40	05/22/20	30.41	2.01 W	0.00	80 of 595 - Sale ²⁵
12.000		34.08	05/22/20	36.32	2.24 W	0.00	81 of 595 - Sale ²⁵
28.000		79.51	05/22/20	85.19	5.68 W	0.00	82 of 595 - Sale ²⁵
46.000		130.63	05/22/20	139.94	9.31 W	0.00	83 of 595 - Sale ²⁵
46.000		130.63	05/22/20	139.93	9.30 W	0.00	84 of 595 - Sale ²⁵
54.000		153.35	05/22/20	164.27	10.92 W	0.00	85 of 595 - Sale ²⁵
66.000		187.43	05/22/20	200.76	13.33 W	0.00	86 of 595 - Sale ²⁵
70.000		198.79	05/22/20	211.94	13.15 W	0.00	87 of 595 - Sale ²⁵
148.000		420.29	05/22/20	450.22	29.93 W	0.00	88 of 595 - Sale ²⁵
1.000		2.84	05/22/20	3.32	0.48 W	0.00	89 of 595 - Sale ²⁵
1.000		2.84	05/22/20	3.25	0.41 W	0.00	90 of 595 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	2.000	5.68	05/22/20	6.43	0.75 W	0.00	91 of 595 - Sale ²⁵
	9.000	25.56	05/22/20	29.21	3.65 W	0.00	92 of 595 - Sale ²⁵
	9.000	25.56	05/22/20	28.82	3.26 W	0.00	93 of 595 - Sale ²⁵
	23.000	65.32	05/22/20	76.28	10.96 W	0.00	94 of 595 - Sale ²⁵
	72.000	204.47	05/22/20	238.84	34.37 W	0.00	95 of 595 - Sale ²⁵
	89.000	252.74	05/22/20	289.05	36.31 W	0.00	96 of 595 - Sale ²⁵
	90.000	255.58	05/22/20	298.57	42.99 W	0.00	97 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	17.21	3.01 W	0.00	98 of 595 - Sale ²⁵
	8.000	22.72	05/22/20	27.54	4.82 W	0.00	99 of 595 - Sale ²⁵
	14.000	39.76	05/22/20	48.18	8.42 W	0.00	100 of 595 - Sale ²⁵
	31.000	88.03	05/22/20	106.73	18.70 W	0.00	101 of 595 - Sale ²⁵
	10.000	28.40	05/22/20	34.43	6.03 W	0.00	102 of 595 - Sale ²⁵
	50.000	141.99	05/22/20	172.12	30.13 W	0.00	103 of 595 - Sale ²⁵
	56.000	159.02	05/22/20	192.75	33.73 W	0.00	104 of 595 - Sale ²⁵
	13.000	36.92	05/22/20	44.76	7.84 W	0.00	105 of 595 - Sale ²⁵
	1.000	2.84	05/22/20	3.44	0.60 W	0.00	106 of 595 - Sale ²⁵
	4.000	11.36	05/22/20	13.77	2.41 W	0.00	107 of 595 - Sale ²⁵
	5.000	14.20	05/22/20	17.21	3.01 W	0.00	108 of 595 - Sale ²⁵
	7.000	19.88	05/22/20	24.10	4.22 W	0.00	109 of 595 - Sale ²⁵
	4.000	11.36	05/26/20	13.57	2.21 W	0.00	110 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.40	0.56 W	0.00	111 of 595 - Sale ²⁵
	2.000	5.68	05/26/20	6.81	1.13 W	0.00	112 of 595 - Sale ²⁵
	3.000	8.52	05/26/20	10.32	1.80 W	0.00	113 of 595 - Sale ²⁵
	7.000	19.88	05/26/20	23.81	3.93 W	0.00	114 of 595 - Sale ²⁵
	10.000	28.40	05/26/20	33.91	5.51 W	0.00	115 of 595 - Sale ²⁵
	12.000	34.08	05/26/20	40.71	6.63 W	0.00	116 of 595 - Sale ²⁵
	17.000	48.28	05/26/20	57.64	9.36 W	0.00	117 of 595 - Sale ²⁵
	19.000	53.96	05/26/20	64.43	10.47 W	0.00	118 of 595 - Sale ²⁵
	42.000	119.27	05/26/20	142.49	23.22 W	0.00	119 of 595 - Sale ²⁵
	45.000	127.79	05/26/20	153.12	25.33 W	0.00	120 of 595 - Sale ²⁵
	47.000	133.47	05/26/20	159.92	26.45 W	0.00	121 of 595 - Sale ²⁵
	3.000	8.52	05/26/20	9.47	0.95 W	0.00	122 of 595 - Sale ²⁵
	12.000	34.08	05/26/20	37.89	3.81 W	0.00	123 of 595 - Sale ²⁵
	32.000	90.87	05/26/20	100.89	10.02 W	0.00	124 of 595 - Sale ²⁵

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	34.000	96.55	05/26/20	107.18	10.63 W	0.00	125 of 595 - Sale ²⁵
	59.000	167.55	05/26/20	186.00	18.45 W	0.00	126 of 595 - Sale ²⁵
	66.000	187.43	05/26/20	208.07	20.64 W	0.00	127 of 595 - Sale ²⁵
	19.000	53.95	05/26/20	64.46	10.51 W	0.00	128 of 595 - Sale ²⁵
	5.000	14.20	05/26/20	16.96	2.76 W	0.00	129 of 595 - Sale ²⁵
	65.000	184.59	05/26/20	220.50	35.91 W	0.00	130 of 595 - Sale ²⁵
	34.000	96.55	05/26/20	107.80	11.25 W	0.00	131 of 595 - Sale ²⁵
	100.000	283.98	05/26/20	317.04	33.06 W	0.00	132 of 595 - Sale ²⁵
	100.000	283.98	05/26/20	318.04	34.06 W	0.00	133 of 595 - Sale ²⁵
	166.000	471.41	05/26/20	527.93	56.52 W	0.00	134 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.44	0.60 W	0.00	135 of 595 - Sale ²⁵
	2.000	5.68	05/26/20	6.89	1.21 W	0.00	136 of 595 - Sale ²⁵
	2.000	5.68	05/26/20	6.89	1.21 W	0.00	137 of 595 - Sale ²⁵
	3.000	8.52	05/26/20	10.34	1.82 W	0.00	138 of 595 - Sale ²⁵
	4.000	11.36	05/26/20	13.79	2.43 W	0.00	139 of 595 - Sale ²⁵
	5.000	14.20	05/26/20	17.23	3.03 W	0.00	140 of 595 - Sale ²⁵
	5.000	14.20	05/26/20	17.23	3.03 W	0.00	141 of 595 - Sale ²⁵
	7.000	19.88	05/26/20	24.13	4.25 W	0.00	142 of 595 - Sale ²⁵
	12.000	34.08	05/26/20	40.83	6.75 W	0.00	143 of 595 - Sale ²⁵
	14.000	39.76	05/26/20	48.26	8.50 W	0.00	144 of 595 - Sale ²⁵
	21.000	59.64	05/26/20	72.39	12.75 W	0.00	145 of 595 - Sale ²⁵
	23.000	65.31	05/26/20	79.30	13.99 W	0.00	146 of 595 - Sale ²⁵
	25.000	71.00	05/26/20	85.07	14.07 W	0.00	147 of 595 - Sale ²⁵
	27.000	76.67	05/26/20	93.10	16.43 W	0.00	148 of 595 - Sale ²⁵
	51.000	144.83	05/26/20	173.53	28.70 W	0.00	149 of 595 - Sale ²⁵
	2.000	5.68	05/26/20	6.34	0.66 W	0.00	150 of 595 - Sale ²⁵
	3.000	8.52	05/26/20	9.46	0.94 W	0.00	151 of 595 - Sale ²⁵
	12.000	34.08	05/26/20	37.88	3.80 W	0.00	152 of 595 - Sale ²⁵
	20.000	56.80	05/26/20	63.45	6.65 W	0.00	153 of 595 - Sale ²⁵
	66.000	187.42	05/26/20	209.35	21.93 W	0.00	154 of 595 - Sale ²⁵
	70.000	198.78	05/26/20	221.05	22.27 W	0.00	155 of 595 - Sale ²⁵
	21.000	59.64	05/26/20	66.62	6.98 W	0.00	156 of 595 - Sale ²⁵
	3.000	8.52	05/26/20	10.71	2.19 W	0.00	157 of 595 - Sale ²⁵
	9.000	25.56	05/26/20	31.70	6.14 W	0.00	158 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	42.000	119.27	05/26/20	147.96	28.69 W	0.00	159 of 595 - Sale ²⁵
	10.000	28.40	05/26/20	35.21	6.81 W	0.00	160 of 595 - Sale ²⁵
	3.000	8.52	05/26/20	10.57	2.05 W	0.00	161 of 595 - Sale ²⁵
	17.000	48.28	05/26/20	59.85	11.57 W	0.00	162 of 595 - Sale ²⁵
	19.000	53.96	05/26/20	66.90	12.94 W	0.00	163 of 595 - Sale ²⁵
	34.000	96.55	05/26/20	120.11	23.56 W	0.00	164 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.45	0.61 W	0.00	165 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.38	0.54 W	0.00	166 of 595 - Sale ²⁵
	2.000	5.68	05/26/20	6.69	1.01 W	0.00	167 of 595 - Sale ²⁵
	9.000	25.56	05/26/20	30.38	4.82 W	0.00	168 of 595 - Sale ²⁵
	9.000	25.56	05/26/20	29.99	4.43 W	0.00	169 of 595 - Sale ²⁵
	23.000	65.32	05/26/20	79.27	13.95 W	0.00	170 of 595 - Sale ²⁵
	40.000	113.59	05/26/20	137.89	24.30 W	0.00	171 of 595 - Sale ²⁵
	89.000	252.74	05/26/20	300.64	47.90 W	0.00	172 of 595 - Sale ²⁵
	90.000	255.58	05/26/20	310.29	54.71 W	0.00	173 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.57	0.73 W	0.00	174 of 595 - Sale ²⁵
	4.000	11.36	05/26/20	14.29	2.93 W	0.00	175 of 595 - Sale ²⁵
	5.000	14.20	05/26/20	17.86	3.66 W	0.00	176 of 595 - Sale ²⁵
	5.000	14.20	05/26/20	17.86	3.66 W	0.00	177 of 595 - Sale ²⁵
	7.000	19.88	05/26/20	25.01	5.13 W	0.00	178 of 595 - Sale ²⁵
	8.000	22.72	05/26/20	28.58	5.86 W	0.00	179 of 595 - Sale ²⁵
	10.000	28.40	05/26/20	35.73	7.33 W	0.00	180 of 595 - Sale ²⁵
	13.000	36.92	05/26/20	46.45	9.53 W	0.00	181 of 595 - Sale ²⁵
	14.000	39.76	05/26/20	50.00	10.24 W	0.00	182 of 595 - Sale ²⁵
	31.000	88.03	05/26/20	110.77	22.74 W	0.00	183 of 595 - Sale ²⁵
	50.000	141.99	05/26/20	178.63	36.64 W	0.00	184 of 595 - Sale ²⁵
	56.000	159.03	05/26/20	200.05	41.02 W	0.00	185 of 595 - Sale ²⁵
	4.000	11.36	05/26/20	13.13	1.77 W	0.00	186 of 595 - Sale ²⁵
	32.000	90.87	05/26/20	105.06	14.19 W	0.00	187 of 595 - Sale ²⁵
	59.000	167.55	05/26/20	193.68	26.13 W	0.00	188 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.17	0.33 W	0.00	189 of 595 - Sale ²⁵
	4.000	11.36	05/26/20	12.68	1.32 W	0.00	190 of 595 - Sale ²⁵
	4.000	11.36	05/26/20	14.09	2.73 W	0.00	191 of 595 - Sale ²⁵
	5.000	14.20	05/26/20	15.86	1.66 W	0.00	192 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
10.000		28.40	05/26/20	31.71	3.31 W	0.00	193 of 595 - Sale ²⁵
28.000		79.51	05/26/20	88.84	9.33 W	0.00	194 of 595 - Sale ²⁵
46.000		130.63	05/26/20	145.92	15.29 W	0.00	195 of 595 - Sale ²⁵
54.000		153.35	05/26/20	171.30	17.95 W	0.00	196 of 595 - Sale ²⁵
148.000		420.29	05/26/20	469.49	49.20 W	0.00	197 of 595 - Sale ²⁵
1.000		2.84	05/26/20	3.66	0.82 W	0.00	198 of 595 - Sale ²⁵
3.000		8.52	05/26/20	11.10	2.58 W	0.00	199 of 595 - Sale ²⁵
3.000		8.52	05/26/20	10.96	2.44 W	0.00	200 of 595 - Sale ²⁵
9.000		25.56	05/26/20	32.87	7.31 W	0.00	201 of 595 - Sale ²⁵
10.000		28.40	05/26/20	36.51	8.11 W	0.00	202 of 595 - Sale ²⁵
17.000		48.28	05/26/20	62.06	13.78 W	0.00	203 of 595 - Sale ²⁵
19.000		53.96	05/26/20	69.37	15.41 W	0.00	204 of 595 - Sale ²⁵
42.000		119.27	05/26/20	153.43	34.16 W	0.00	205 of 595 - Sale ²⁵
1.000		2.84	05/26/20	3.57	0.73 W	0.00	206 of 595 - Sale ²⁵
2.000		5.68	05/26/20	7.15	1.47 W	0.00	207 of 595 - Sale ²⁵
2.000		5.68	05/26/20	7.15	1.47 W	0.00	208 of 595 - Sale ²⁵
3.000		8.52	05/26/20	10.73	2.21 W	0.00	209 of 595 - Sale ²⁵
4.000		11.36	05/26/20	14.31	2.95 W	0.00	210 of 595 - Sale ²⁵
5.000		14.20	05/26/20	17.88	3.68 W	0.00	211 of 595 - Sale ²⁵
5.000		14.20	05/26/20	17.88	3.68 W	0.00	212 of 595 - Sale ²⁵
7.000		19.88	05/26/20	25.04	5.16 W	0.00	213 of 595 - Sale ²⁵
12.000		34.08	05/26/20	42.39	8.31 W	0.00	214 of 595 - Sale ²⁵
14.000		39.76	05/26/20	50.08	10.32 W	0.00	215 of 595 - Sale ²⁵
21.000		59.64	05/26/20	75.12	15.48 W	0.00	216 of 595 - Sale ²⁵
23.000		65.32	05/26/20	82.30	16.98 W	0.00	217 of 595 - Sale ²⁵
25.000		70.99	05/26/20	88.32	17.33 W	0.00	218 of 595 - Sale ²⁵
27.000		76.67	05/26/20	96.62	19.95 W	0.00	219 of 595 - Sale ²⁵
51.000		144.83	05/26/20	180.17	35.34 W	0.00	220 of 595 - Sale ²⁵
2.000		5.68	05/26/20	6.60	0.92 W	0.00	221 of 595 - Sale ²⁵
3.000		8.52	05/26/20	9.86	1.34 W	0.00	222 of 595 - Sale ²⁵
3.000		8.52	05/26/20	9.85	1.33 W	0.00	223 of 595 - Sale ²⁵
12.000		34.08	05/26/20	39.45	5.37 W	0.00	224 of 595 - Sale ²⁵
12.000		34.08	05/26/20	39.44	5.36 W	0.00	225 of 595 - Sale ²⁵
20.000		56.79	05/26/20	66.05	9.26 W	0.00	226 of 595 - Sale ²⁵

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Account 801799297

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	7.000	19.88	05/26/20	23.12	3.24 W	0.00	227 of 595 - Sale ²⁵
	30.000	85.19	05/26/20	98.48	13.29 W	0.00	228 of 595 - Sale ²⁵
	66.000	187.42	05/26/20	216.66	29.24 W	0.00	229 of 595 - Sale ²⁵
	66.000	187.43	05/26/20	217.95	30.52 W	0.00	230 of 595 - Sale ²⁵
	70.000	198.78	05/26/20	230.17	31.39 W	0.00	231 of 595 - Sale ²⁵
	1.000	2.84	05/26/20	3.53	0.69 W	0.00	232 of 595 - Sale ²⁵
	2.000	5.68	05/26/20	7.07	1.39 W	0.00	233 of 595 - Sale ²⁵
	7.000	19.88	05/26/20	24.72	4.84 W	0.00	234 of 595 - Sale ²⁵
	45.000	127.79	05/26/20	158.98	31.19 W	0.00	235 of 595 - Sale ²⁵
	13.000	36.92	05/26/20	45.93	9.01 W	0.00	236 of 595 - Sale ²⁵
	32.000	90.88	05/26/20	110.32	19.44 W	0.00	237 of 595 - Sale ²⁵
	14.000	36.68	05/26/20	46.23	9.55 W	0.00	238 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	17.61	4.51 W	0.00	239 of 595 - Sale ²⁵
	19.000	49.78	05/26/20	66.94	17.16 W	0.00	240 of 595 - Sale ²⁵
	65.000	170.29	05/26/20	228.96	58.67 W	0.00	241 of 595 - Sale ²⁵
	34.000	89.07	05/26/20	112.23	23.16 W	0.00	242 of 595 - Sale ²⁵
	100.000	261.98	05/26/20	330.06	68.08 W	0.00	243 of 595 - Sale ²⁵
	100.000	261.98	05/26/20	331.06	69.08 W	0.00	244 of 595 - Sale ²⁵
	166.000	434.89	05/26/20	549.54	114.65 W	0.00	245 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.54	0.92 W	0.00	246 of 595 - Sale ²⁵
	2.000	5.24	05/26/20	7.09	1.85 W	0.00	247 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	24.79	6.45 W	0.00	248 of 595 - Sale ²⁵
	13.000	34.06	05/26/20	46.06	12.00 W	0.00	249 of 595 - Sale ²⁵
	33.000	86.45	05/26/20	116.92	30.47 W	0.00	250 of 595 - Sale ²⁵
	45.000	117.89	05/26/20	159.44	41.55 W	0.00	251 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.46	0.84 W	0.00	252 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.39	0.77 W	0.00	253 of 595 - Sale ²⁵
	2.000	5.24	05/26/20	6.71	1.47 W	0.00	254 of 595 - Sale ²⁵
	9.000	23.58	05/26/20	30.47	6.89 W	0.00	255 of 595 - Sale ²⁵
	9.000	23.58	05/26/20	30.08	6.50 W	0.00	256 of 595 - Sale ²⁵
	23.000	60.26	05/26/20	79.50	19.24 W	0.00	257 of 595 - Sale ²⁵
	32.000	83.83	05/26/20	110.64	26.81 W	0.00	258 of 595 - Sale ²⁵
	40.000	104.79	05/26/20	138.30	33.51 W	0.00	259 of 595 - Sale ²⁵
	89.000	233.16	05/26/20	301.55	68.39 W	0.00	260 of 595 - Sale ²⁵

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
90.000		235.78	05/26/20	311.21	75.43 W	0.00	261 of 595 - Sale ²⁵
3.000		7.86	05/26/20	10.75	2.89 W	0.00	262 of 595 - Sale ²⁵
5.000		13.10	05/26/20	17.91	4.81 W	0.00	263 of 595 - Sale ²⁵
14.000		36.68	05/26/20	50.14	13.46 W	0.00	264 of 595 - Sale ²⁵
31.000		81.21	05/26/20	111.09	29.88 W	0.00	265 of 595 - Sale ²⁵
50.000		130.99	05/26/20	179.14	48.15 W	0.00	266 of 595 - Sale ²⁵
1.000		2.62	05/26/20	3.67	1.05 W	0.00	267 of 595 - Sale ²⁵
3.000		7.86	05/26/20	11.13	3.27 W	0.00	268 of 595 - Sale ²⁵
3.000		7.86	05/26/20	10.99	3.13 W	0.00	269 of 595 - Sale ²⁵
9.000		23.58	05/26/20	32.96	9.38 W	0.00	270 of 595 - Sale ²⁵
10.000		26.20	05/26/20	36.61	10.41 W	0.00	271 of 595 - Sale ²⁵
17.000		44.54	05/26/20	62.23	17.69 W	0.00	272 of 595 - Sale ²⁵
19.000		49.78	05/26/20	69.56	19.78 W	0.00	273 of 595 - Sale ²⁵
42.000		110.03	05/26/20	153.86	43.83 W	0.00	274 of 595 - Sale ²⁵
1.000		2.62	05/26/20	3.58	0.96 W	0.00	275 of 595 - Sale ²⁵
2.000		5.24	05/26/20	7.17	1.93 W	0.00	276 of 595 - Sale ²⁵
2.000		5.24	05/26/20	7.17	1.93 W	0.00	277 of 595 - Sale ²⁵
3.000		7.86	05/26/20	10.76	2.90 W	0.00	278 of 595 - Sale ²⁵
4.000		10.48	05/26/20	14.35	3.87 W	0.00	279 of 595 - Sale ²⁵
5.000		13.10	05/26/20	17.93	4.83 W	0.00	280 of 595 - Sale ²⁵
5.000		13.10	05/26/20	17.93	4.83 W	0.00	281 of 595 - Sale ²⁵
7.000		18.34	05/26/20	25.11	6.77 W	0.00	282 of 595 - Sale ²⁵
12.000		31.44	05/26/20	42.51	11.07 W	0.00	283 of 595 - Sale ²⁵
14.000		36.68	05/26/20	50.22	13.54 W	0.00	284 of 595 - Sale ²⁵
21.000		55.02	05/26/20	75.33	20.31 W	0.00	285 of 595 - Sale ²⁵
23.000		60.26	05/26/20	82.53	22.27 W	0.00	286 of 595 - Sale ²⁵
25.000		65.50	05/26/20	88.58	23.08 W	0.00	287 of 595 - Sale ²⁵
27.000		70.73	05/26/20	96.90	26.17 W	0.00	288 of 595 - Sale ²⁵
51.000		133.61	05/26/20	180.69	47.08 W	0.00	289 of 595 - Sale ²⁵
1.000		2.62	05/26/20	3.58	0.96 W	0.00	290 of 595 - Sale ²⁵
4.000		10.48	05/26/20	14.33	3.85 W	0.00	291 of 595 - Sale ²⁵
5.000		13.10	05/26/20	17.91	4.81 W	0.00	292 of 595 - Sale ²⁵
5.000		13.10	05/26/20	17.91	4.81 W	0.00	293 of 595 - Sale ²⁵
7.000		18.34	05/26/20	25.08	6.74 W	0.00	294 of 595 - Sale ²⁵

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	10.000	26.20	05/26/20	35.83	9.63 W	0.00	295 of 595 - Sale ²⁵
	13.000	34.06	05/26/20	46.58	12.52 W	0.00	296 of 595 - Sale ²⁵
	56.000	146.71	05/26/20	200.62	53.91 W	0.00	297 of 595 - Sale ²⁵
	4.000	10.48	05/26/20	13.17	2.69 W	0.00	298 of 595 - Sale ²⁵
	30.000	78.59	05/26/20	98.79	20.20 W	0.00	299 of 595 - Sale ²⁵
	32.000	83.83	05/26/20	105.39	21.56 W	0.00	300 of 595 - Sale ²⁵
	59.000	154.56	05/26/20	194.28	39.72 W	0.00	301 of 595 - Sale ²⁵
	65.000	170.28	05/26/20	214.05	43.77 W	0.00	302 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.77	1.15 W	0.00	303 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.78	1.16 W	0.00	304 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	26.40	8.06 W	0.00	305 of 595 - Sale ²⁵
	13.000	34.06	05/26/20	49.05	14.99 W	0.00	306 of 595 - Sale ²⁵
	33.000	86.46	05/26/20	124.52	38.06 W	0.00	307 of 595 - Sale ²⁵
	45.000	117.89	05/26/20	169.80	51.91 W	0.00	308 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.77	1.15 W	0.00	309 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	11.06	3.20 W	0.00	310 of 595 - Sale ²⁵
	2.000	5.24	05/26/20	6.62	1.38 W	0.00	311 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	9.89	2.03 W	0.00	312 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	9.88	2.02 W	0.00	313 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	23.19	4.85 W	0.00	314 of 595 - Sale ²⁵
	12.000	31.44	05/26/20	39.57	8.13 W	0.00	315 of 595 - Sale ²⁵
	12.000	31.44	05/26/20	39.56	8.12 W	0.00	316 of 595 - Sale ²⁵
	14.000	36.68	05/26/20	49.45	12.77 W	0.00	317 of 595 - Sale ²⁵
	20.000	52.40	05/26/20	66.26	13.86 W	0.00	318 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.29	0.67 W	0.00	319 of 595 - Sale ²⁵
	66.000	172.91	05/26/20	218.62	45.71 W	0.00	320 of 595 - Sale ²⁵
	70.000	183.39	05/26/20	230.89	47.50 W	0.00	321 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.18	0.56 W	0.00	322 of 595 - Sale ²⁵
	4.000	10.48	05/26/20	12.72	2.24 W	0.00	323 of 595 - Sale ²⁵
	4.000	10.48	05/26/20	14.13	3.65 W	0.00	324 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	15.91	2.81 W	0.00	325 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	18.76	5.66 W	0.00	326 of 595 - Sale ²⁵
	10.000	26.20	05/26/20	31.81	5.61 W	0.00	327 of 595 - Sale ²⁵
	19.000	49.78	05/26/20	71.31	21.53 W	0.00	328 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	28.000	73.35	05/26/20	89.13	15.78 W	0.00	329 of 595 - Sale ²⁵
	46.000	120.51	05/26/20	146.39	25.88 W	0.00	330 of 595 - Sale ²⁵
	54.000	141.47	05/26/20	171.85	30.38 W	0.00	331 of 595 - Sale ²⁵
	65.000	170.29	05/26/20	243.92	73.63 W	0.00	332 of 595 - Sale ²⁵
	148.000	387.73	05/26/20	471.00	83.27 W	0.00	333 of 595 - Sale ²⁵
	34.000	89.07	05/26/20	120.06	30.99 W	0.00	334 of 595 - Sale ²⁵
	100.000	261.98	05/26/20	353.08	91.10 W	0.00	335 of 595 - Sale ²⁵
	100.000	261.98	05/26/20	354.08	92.10 W	0.00	336 of 595 - Sale ²⁵
	166.000	434.89	05/26/20	587.75	152.86 W	0.00	337 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.90	1.28 W	0.00	338 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	4.00	1.38 W	0.00	339 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	4.01	1.39 W	0.00	340 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	11.82	3.96 W	0.00	341 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	11.68	3.82 W	0.00	342 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	28.01	9.67 W	0.00	343 of 595 - Sale ²⁵
	9.000	23.58	05/26/20	35.03	11.45 W	0.00	344 of 595 - Sale ²⁵
	10.000	26.20	05/26/20	38.91	12.71 W	0.00	345 of 595 - Sale ²⁵
	13.000	34.06	05/26/20	52.04	17.98 W	0.00	346 of 595 - Sale ²⁵
	17.000	44.54	05/26/20	66.14	21.60 W	0.00	347 of 595 - Sale ²⁵
	19.000	49.78	05/26/20	73.93	24.15 W	0.00	348 of 595 - Sale ²⁵
	33.000	86.45	05/26/20	132.11	45.66 W	0.00	349 of 595 - Sale ²⁵
	42.000	110.03	05/26/20	163.53	53.50 W	0.00	350 of 595 - Sale ²⁵
	45.000	117.89	05/26/20	180.16	62.27 W	0.00	351 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.81	1.19 W	0.00	352 of 595 - Sale ²⁵
	2.000	5.24	05/26/20	7.63	2.39 W	0.00	353 of 595 - Sale ²⁵
	2.000	5.24	05/26/20	7.63	2.39 W	0.00	354 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	11.45	3.59 W	0.00	355 of 595 - Sale ²⁵
	4.000	10.48	05/26/20	15.27	4.79 W	0.00	356 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	19.08	5.98 W	0.00	357 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	19.08	5.98 W	0.00	358 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	26.72	8.38 W	0.00	359 of 595 - Sale ²⁵
	12.000	31.44	05/26/20	45.27	13.83 W	0.00	360 of 595 - Sale ²⁵
	14.000	36.68	05/26/20	53.44	16.76 W	0.00	361 of 595 - Sale ²⁵
	21.000	55.02	05/26/20	80.16	25.14 W	0.00	362 of 595 - Sale ²⁵

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Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	23.000	60.26	05/26/20	87.82	27.56 W	0.00	363 of 595 - Sale ²⁵
	25.000	65.50	05/26/20	94.33	28.83 W	0.00	364 of 595 - Sale ²⁵
	27.000	70.73	05/26/20	103.12	32.39 W	0.00	365 of 595 - Sale ²⁵
	51.000	133.61	05/26/20	192.43	58.82 W	0.00	366 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.69	1.07 W	0.00	367 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.62	1.00 W	0.00	368 of 595 - Sale ²⁵
	2.000	5.24	05/26/20	7.17	1.93 W	0.00	369 of 595 - Sale ²⁵
	9.000	23.58	05/26/20	32.54	8.96 W	0.00	370 of 595 - Sale ²⁵
	9.000	23.58	05/26/20	32.15	8.57 W	0.00	371 of 595 - Sale ²⁵
	23.000	60.26	05/26/20	84.79	24.53 W	0.00	372 of 595 - Sale ²⁵
	29.000	75.97	05/26/20	106.95	30.98 W	0.00	373 of 595 - Sale ²⁵
	40.000	104.79	05/26/20	147.51	42.72 W	0.00	374 of 595 - Sale ²⁵
	89.000	233.16	05/26/20	322.04	88.88 W	0.00	375 of 595 - Sale ²⁵
	90.000	235.78	05/26/20	331.93	96.15 W	0.00	376 of 595 - Sale ²⁵
	1.000	2.62	05/26/20	3.81	1.19 W	0.00	377 of 595 - Sale ²⁵
	3.000	7.86	05/26/20	11.44	3.58 W	0.00	378 of 595 - Sale ²⁵
	4.000	10.48	05/26/20	15.25	4.77 W	0.00	379 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	19.06	5.96 W	0.00	380 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	19.06	5.96 W	0.00	381 of 595 - Sale ²⁵
	5.000	13.10	05/26/20	19.06	5.96 W	0.00	382 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	26.69	8.35 W	0.00	383 of 595 - Sale ²⁵
	10.000	26.20	05/26/20	38.13	11.93 W	0.00	384 of 595 - Sale ²⁵
	13.000	34.06	05/26/20	49.57	15.51 W	0.00	385 of 595 - Sale ²⁵
	14.000	36.68	05/26/20	53.36	16.68 W	0.00	386 of 595 - Sale ²⁵
	31.000	81.21	05/26/20	118.23	37.02 W	0.00	387 of 595 - Sale ²⁵
	50.000	130.99	05/26/20	190.65	59.66 W	0.00	388 of 595 - Sale ²⁵
	56.000	146.71	05/26/20	213.51	66.80 W	0.00	389 of 595 - Sale ²⁵
	4.000	10.48	05/26/20	14.09	3.61 W	0.00	390 of 595 - Sale ²⁵
	30.000	78.59	05/26/20	105.70	27.11 W	0.00	391 of 595 - Sale ²⁵
	32.000	83.83	05/26/20	112.76	28.93 W	0.00	392 of 595 - Sale ²⁵
	59.000	154.57	05/26/20	207.87	53.30 W	0.00	393 of 595 - Sale ²⁵
	65.000	170.29	05/26/20	229.02	58.73 W	0.00	394 of 595 - Sale ²⁵
	7.000	18.34	05/26/20	19.95	1.61 W	0.00	395 of 595 - Sale ²⁵
	40.000	104.79	05/27/20	141.94	31.58 W	-5.57	396 of 595 - Sale ²⁵

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Account 801799297

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	1.000	2.63	05/27/20	3.83	1.20 W	0.00	397 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.93	1.30 W	0.00	398 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.94	1.31 W	0.00	399 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	11.61	3.72 W	0.00	400 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	11.47	3.58 W	0.00	401 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	27.52	9.11 W	0.00	402 of 595 - Sale ²⁵
	9.000	23.67	05/27/20	34.40	10.73 W	0.00	403 of 595 - Sale ²⁵
	10.000	26.30	05/27/20	38.21	11.91 W	0.00	404 of 595 - Sale ²⁵
	13.000	34.19	05/27/20	51.13	16.94 W	0.00	405 of 595 - Sale ²⁵
	17.000	44.71	05/27/20	64.95	20.24 W	0.00	406 of 595 - Sale ²⁵
	19.000	49.97	05/27/20	72.60	22.63 W	0.00	407 of 595 - Sale ²⁵
	33.000	86.78	05/27/20	129.81	43.03 W	0.00	408 of 595 - Sale ²⁵
	42.000	110.45	05/27/20	160.60	50.15 W	0.00	409 of 595 - Sale ²⁵
	45.000	118.34	05/27/20	177.02	58.68 W	0.00	410 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.70	1.07 W	0.00	411 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.74	1.11 W	0.00	412 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	7.49	2.23 W	0.00	413 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	7.49	2.23 W	0.00	414 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	11.24	3.35 W	0.00	415 of 595 - Sale ²⁵
	4.000	10.52	05/27/20	14.99	4.47 W	0.00	416 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	18.73	5.58 W	0.00	417 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	18.73	5.58 W	0.00	418 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	26.23	7.82 W	0.00	419 of 595 - Sale ²⁵
	12.000	31.56	05/27/20	44.43	12.87 W	0.00	420 of 595 - Sale ²⁵
	14.000	36.82	05/27/20	52.46	15.64 W	0.00	421 of 595 - Sale ²⁵
	21.000	55.23	05/27/20	78.69	23.46 W	0.00	422 of 595 - Sale ²⁵
	23.000	60.48	05/27/20	86.21	25.73 W	0.00	423 of 595 - Sale ²⁵
	25.000	65.74	05/27/20	92.58	26.84 W	0.00	424 of 595 - Sale ²⁵
	27.000	71.00	05/27/20	101.24	30.24 W	0.00	425 of 595 - Sale ²⁵
	51.000	134.12	05/27/20	188.87	54.75 W	0.00	426 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	10.85	2.96 W	0.00	427 of 595 - Sale ²⁵
	21.000	55.23	05/27/20	75.95	20.72 W	0.00	428 of 595 - Sale ²⁵
	29.000	76.26	05/27/20	104.93	28.67 W	0.00	429 of 595 - Sale ²⁵
	40.000	105.19	05/27/20	144.72	39.53 W	0.00	430 of 595 - Sale ²⁵

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	1.000	2.63	05/27/20	3.62	0.99 W	0.00	431 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	7.23	1.97 W	0.00	432 of 595 - Sale ²⁵
	47.000	123.61	05/27/20	170.06	46.45 W	0.00	433 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.55	0.92 W	0.00	434 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	7.03	1.77 W	0.00	435 of 595 - Sale ²⁵
	9.000	23.67	05/27/20	31.91	8.24 W	0.00	436 of 595 - Sale ²⁵
	9.000	23.67	05/27/20	31.52	7.85 W	0.00	437 of 595 - Sale ²⁵
	89.000	234.05	05/27/20	315.83	81.78 W	0.00	438 of 595 - Sale ²⁵
	43.000	113.08	05/27/20	155.59	42.51 W	0.00	439 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.74	1.11 W	0.00	440 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	11.23	3.34 W	0.00	441 of 595 - Sale ²⁵
	4.000	10.52	05/27/20	14.97	4.45 W	0.00	442 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	18.71	5.56 W	0.00	443 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	18.71	5.56 W	0.00	444 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	18.71	5.56 W	0.00	445 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	26.20	7.79 W	0.00	446 of 595 - Sale ²⁵
	10.000	26.30	05/27/20	37.43	11.13 W	0.00	447 of 595 - Sale ²⁵
	13.000	34.19	05/27/20	48.66	14.47 W	0.00	448 of 595 - Sale ²⁵
	14.000	36.82	05/27/20	52.38	15.56 W	0.00	449 of 595 - Sale ²⁵
	31.000	81.52	05/27/20	116.07	34.55 W	0.00	450 of 595 - Sale ²⁵
	50.000	131.49	05/27/20	187.16	55.67 W	0.00	451 of 595 - Sale ²⁵
	56.000	147.27	05/27/20	209.60	62.33 W	0.00	452 of 595 - Sale ²⁵
	32.000	84.15	05/27/20	110.53	26.38 W	0.00	453 of 595 - Sale ²⁵
	11.000	28.92	05/27/20	37.99	9.07 W	0.00	454 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	6.91	1.65 W	0.00	455 of 595 - Sale ²⁵
	48.000	126.24	05/27/20	165.76	39.52 W	0.00	456 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	24.23	5.82 W	0.00	457 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	15.56	2.41 W	0.00	458 of 595 - Sale ²⁵
	10.000	26.30	05/27/20	31.11	4.81 W	0.00	459 of 595 - Sale ²⁵
	28.000	73.64	05/27/20	87.18	13.54 W	0.00	460 of 595 - Sale ²⁵
	32.000	84.15	05/27/20	99.60	15.45 W	0.00	461 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	6.90	1.64 W	0.00	462 of 595 - Sale ²⁵
	30.000	78.90	05/27/20	103.61	24.71 W	0.00	463 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	24.17	5.76 W	0.00	464 of 595 - Sale ²⁵

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	58.000	152.53	05/27/20	200.31	47.78 W	0.00	465 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.22	0.59 W	0.00	466 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	6.45	1.19 W	0.00	467 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	9.68	1.79 W	0.00	468 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	9.67	1.78 W	0.00	469 of 595 - Sale ²⁵
	10.000	26.30	05/27/20	32.28	5.98 W	0.00	470 of 595 - Sale ²⁵
	12.000	31.56	05/27/20	38.72	7.16 W	0.00	471 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	6.49	1.23 W	0.00	472 of 595 - Sale ²⁵
	70.000	184.09	05/27/20	226.00	41.91 W	0.00	473 of 595 - Sale ²⁵
	2.000	5.26	05/27/20	6.48	1.22 W	0.00	474 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	22.70	4.29 W	0.00	475 of 595 - Sale ²⁵
	7.000	18.41	05/27/20	24.24	5.83 W	0.00	476 of 595 - Sale ²⁵
	20.000	52.60	05/27/20	64.86	12.26 W	0.00	477 of 595 - Sale ²⁵
	64.000	168.31	05/27/20	207.52	39.21 W	0.00	478 of 595 - Sale ²⁵
	14.000	36.82	05/27/20	43.58	6.76 W	0.00	479 of 595 - Sale ²⁵
	54.000	142.01	05/27/20	168.08	26.07 W	0.00	480 of 595 - Sale ²⁵
	32.000	84.16	05/27/20	99.60	15.44 W	0.00	481 of 595 - Sale ²⁵
	18.000	47.34	05/27/20	56.03	8.69 W	0.00	482 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.11	0.48 W	0.00	483 of 595 - Sale ²⁵
	98.000	257.73	05/27/20	305.04	47.31 W	0.00	484 of 595 - Sale ²⁵
	1.000	2.63	05/27/20	3.11	0.48 W	0.00	485 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	9.33	1.44 W	0.00	486 of 595 - Sale ²⁵
	4.000	10.52	05/27/20	13.85	3.33 W	0.00	487 of 595 - Sale ²⁵
	5.000	13.15	05/27/20	18.41	5.26 W	0.00	488 of 595 - Sale ²⁵
	19.000	49.97	05/27/20	69.98	20.01 W	0.00	489 of 595 - Sale ²⁵
	65.000	170.93	05/27/20	239.38	68.45 W	0.00	490 of 595 - Sale ²⁵
	3.000	7.89	05/27/20	10.38	2.49 W	0.00	491 of 595 - Sale ²⁵
	100.000	262.98	05/27/20	346.10	83.12 W	0.00	492 of 595 - Sale ²⁵
	31.000	83.69	05/27/20	107.31	23.62 W	0.00	493 of 595 - Sale ²⁵
	100.000	269.98	05/27/20	347.10	77.12 W	0.00	494 of 595 - Sale ²⁵
	69.000	186.29	05/27/20	239.49	53.20 W	0.00	495 of 595 - Sale ²⁵
	97.000	261.89	05/27/20	336.67	74.78 W	0.00	496 of 595 - Sale ²⁵
	3.000	8.10	05/27/20	8.34	0.24 W	0.00	497 of 595 - Sale ²⁵
	4.000	10.80	05/27/20	11.12	0.32 W	0.00	498 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	1.000	2.62	05/27/20	3.79	1.17 W	0.00	499 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.89	1.27 W	0.00	500 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.90	1.28 W	0.00	501 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	11.49	3.63 W	0.00	502 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	11.35	3.49 W	0.00	503 of 595 - Sale ²⁵
	7.000	18.34	05/27/20	27.24	8.90 W	0.00	504 of 595 - Sale ²⁵
	9.000	23.58	05/27/20	34.04	10.46 W	0.00	505 of 595 - Sale ²⁵
	10.000	26.20	05/27/20	37.81	11.61 W	0.00	506 of 595 - Sale ²⁵
	13.000	34.06	05/27/20	50.61	16.55 W	0.00	507 of 595 - Sale ²⁵
	17.000	44.54	05/27/20	64.27	19.73 W	0.00	508 of 595 - Sale ²⁵
	19.000	49.78	05/27/20	71.84	22.06 W	0.00	509 of 595 - Sale ²⁵
	33.000	86.45	05/27/20	128.50	42.05 W	0.00	510 of 595 - Sale ²⁵
	42.000	110.03	05/27/20	158.93	48.90 W	0.00	511 of 595 - Sale ²⁵
	45.000	117.89	05/27/20	175.23	57.34 W	0.00	512 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.66	1.04 W	0.00	513 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.53	5.43 W	0.00	514 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.53	5.43 W	0.00	515 of 595 - Sale ²⁵
	12.000	31.44	05/27/20	43.95	12.51 W	0.00	516 of 595 - Sale ²⁵
	13.000	34.06	05/27/20	48.19	14.13 W	0.00	517 of 595 - Sale ²⁵
	25.000	65.50	05/27/20	91.59	26.09 W	0.00	518 of 595 - Sale ²⁵
	51.000	133.61	05/27/20	186.84	53.23 W	0.00	519 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.71	1.09 W	0.00	520 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.70	1.08 W	0.00	521 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	7.41	2.17 W	0.00	522 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	7.41	2.17 W	0.00	523 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	11.12	3.26 W	0.00	524 of 595 - Sale ²⁵
	4.000	10.48	05/27/20	14.83	4.35 W	0.00	525 of 595 - Sale ²⁵
	7.000	18.34	05/27/20	25.95	7.61 W	0.00	526 of 595 - Sale ²⁵
	21.000	55.02	05/27/20	77.85	22.83 W	0.00	527 of 595 - Sale ²⁵
	23.000	60.26	05/27/20	85.30	25.04 W	0.00	528 of 595 - Sale ²⁵
	27.000	70.73	05/27/20	100.17	29.44 W	0.00	529 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.58	0.96 W	0.00	530 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.51	0.89 W	0.00	531 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	7.15	1.91 W	0.00	532 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	3.000	7.86	05/27/20	10.73	2.87 W	0.00	533 of 595 - Sale ²⁵
	9.000	23.58	05/27/20	31.55	7.97 W	0.00	534 of 595 - Sale ²⁵
	13.000	34.06	05/27/20	45.62	11.56 W	0.00	535 of 595 - Sale ²⁵
	21.000	55.02	05/27/20	75.11	20.09 W	0.00	536 of 595 - Sale ²⁵
	29.000	75.97	05/27/20	103.78	27.81 W	0.00	537 of 595 - Sale ²⁵
	40.000	104.79	05/27/20	143.13	38.34 W	0.00	538 of 595 - Sale ²⁵
	43.000	112.65	05/27/20	153.88	41.23 W	0.00	539 of 595 - Sale ²⁵
	47.000	123.13	05/27/20	168.18	45.05 W	0.00	540 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	6.95	1.71 W	0.00	541 of 595 - Sale ²⁵
	9.000	23.58	05/27/20	31.16	7.58 W	0.00	542 of 595 - Sale ²⁵
	76.000	199.10	05/27/20	266.67	67.57 W	0.00	543 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.70	1.08 W	0.00	544 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	11.11	3.25 W	0.00	545 of 595 - Sale ²⁵
	4.000	10.48	05/27/20	14.81	4.33 W	0.00	546 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.51	5.41 W	0.00	547 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.51	5.41 W	0.00	548 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.51	5.41 W	0.00	549 of 595 - Sale ²⁵
	7.000	18.34	05/27/20	25.92	7.58 W	0.00	550 of 595 - Sale ²⁵
	10.000	26.20	05/27/20	37.03	10.83 W	0.00	551 of 595 - Sale ²⁵
	13.000	34.06	05/27/20	48.14	14.08 W	0.00	552 of 595 - Sale ²⁵
	14.000	36.68	05/27/20	51.82	15.14 W	0.00	553 of 595 - Sale ²⁵
	31.000	81.21	05/27/20	114.84	33.63 W	0.00	554 of 595 - Sale ²⁵
	50.000	130.99	05/27/20	185.17	54.18 W	0.00	555 of 595 - Sale ²⁵
	56.000	146.71	05/27/20	207.37	60.66 W	0.00	556 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	6.83	1.59 W	0.00	557 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	6.82	1.58 W	0.00	558 of 595 - Sale ²⁵
	11.000	28.82	05/27/20	37.56	8.74 W	0.00	559 of 595 - Sale ²⁵
	28.000	73.35	05/27/20	95.58	22.23 W	0.00	560 of 595 - Sale ²⁵
	32.000	83.83	05/27/20	109.26	25.43 W	0.00	561 of 595 - Sale ²⁵
	48.000	125.74	05/27/20	163.84	38.10 W	0.00	562 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.76	1.14 W	0.00	563 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.86	1.24 W	0.00	564 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.87	1.25 W	0.00	565 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	11.40	3.54 W	0.00	566 of 595 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
	3.000	7.86	05/27/20	11.26	3.40 W	0.00	567 of 595 - Sale ²⁵
	7.000	18.34	05/27/20	27.03	8.69 W	0.00	568 of 595 - Sale ²⁵
	9.000	23.58	05/27/20	33.77	10.19 W	0.00	569 of 595 - Sale ²⁵
	10.000	26.20	05/27/20	37.51	11.31 W	0.00	570 of 595 - Sale ²⁵
	13.000	34.06	05/27/20	50.22	16.16 W	0.00	571 of 595 - Sale ²⁵
	17.000	44.54	05/27/20	63.76	19.22 W	0.00	572 of 595 - Sale ²⁵
	19.000	49.78	05/27/20	71.27	21.49 W	0.00	573 of 595 - Sale ²⁵
	33.000	86.45	05/27/20	127.52	41.07 W	0.00	574 of 595 - Sale ²⁵
	42.000	110.03	05/27/20	157.68	47.65 W	0.00	575 of 595 - Sale ²⁵
	45.000	117.89	05/27/20	173.89	56.00 W	0.00	576 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.63	1.01 W	0.00	577 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.68	1.06 W	0.00	578 of 595 - Sale ²⁵
	1.000	2.62	05/27/20	3.67	1.05 W	0.00	579 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	7.35	2.11 W	0.00	580 of 595 - Sale ²⁵
	2.000	5.24	05/27/20	7.35	2.11 W	0.00	581 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	11.03	3.17 W	0.00	582 of 595 - Sale ²⁵
	4.000	10.48	05/27/20	14.71	4.23 W	0.00	583 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.38	5.28 W	0.00	584 of 595 - Sale ²⁵
	5.000	13.10	05/27/20	18.38	5.28 W	0.00	585 of 595 - Sale ²⁵
	7.000	18.34	05/27/20	25.74	7.40 W	0.00	586 of 595 - Sale ²⁵
	12.000	31.44	05/27/20	43.59	12.15 W	0.00	587 of 595 - Sale ²⁵
	13.000	34.06	05/27/20	47.80	13.74 W	0.00	588 of 595 - Sale ²⁵
	21.000	55.02	05/27/20	77.22	22.20 W	0.00	589 of 595 - Sale ²⁵
	23.000	60.25	05/27/20	84.61	24.36 W	0.00	590 of 595 - Sale ²⁵
	25.000	65.49	05/27/20	90.84	25.35 W	0.00	591 of 595 - Sale ²⁵
	27.000	70.73	05/27/20	99.37	28.64 W	0.00	592 of 595 - Sale ²⁵
	51.000	133.61	05/27/20	185.32	51.71 W	0.00	593 of 595 - Sale ²⁵
	3.000	7.86	05/27/20	10.64	2.78 W	0.00	594 of 595 - Sale ²⁵
	29.000	75.97	05/27/20	102.92	26.95 W	0.00	595 of 595 - Sale ²⁵
05/27/20	13,415.000	36,507.29	Various	45,855.22	9,342.36 W	-5.57	Total of 595 transactions
	Security total:	274,279.82		281,383.67	16,155.43 W	9,051.58	

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(continued)

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NAVIDEA BIOPHARMACEUTICALS INC . / CUSIP: 63937X202 / Symbol:							
<i>18 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	535.96	05/22/20	594.94	...	-58.98	1 of 18 - Sale ²⁵
	132.000	353.74	05/22/20	392.66	...	-38.92	2 of 18 - Sale ²⁵
	170.000	455.57	05/22/20	490.40	...	-34.83	3 of 18 - Sale ²⁵
	118.000	316.22	05/22/20	351.02	...	-34.80	4 of 18 - Sale ²⁵
	232.000	736.76	05/22/20	761.04	...	-24.28	5 of 18 - Sale ²⁵
	46.000	123.27	05/22/20	136.83	...	-13.56	6 of 18 - Sale ²⁵
	55.000	147.39	05/22/20	160.32	...	-12.93	7 of 18 - Sale ²⁵
	118.000	374.73	05/22/20	387.08	...	-12.35	8 of 18 - Sale ²⁵
	50.000	133.99	05/22/20	145.74	...	-11.75	9 of 18 - Sale ²⁵
	50.000	133.99	05/22/20	145.74	...	-11.75	10 of 18 - Sale ²⁵
	45.000	120.59	05/22/20	131.17	...	-10.58	11 of 18 - Sale ²⁵
	50.000	133.99	05/22/20	144.23	...	-10.24	12 of 18 - Sale ²⁵
	32.000	85.75	05/22/20	95.19	...	-9.44	13 of 18 - Sale ²⁵
	30.000	80.40	05/22/20	86.54	...	-6.14	14 of 18 - Sale ²⁵
	12.000	32.16	05/22/20	35.69	...	-3.53	15 of 18 - Sale ²⁵
	7.000	18.76	05/22/20	20.82	...	-2.06	16 of 18 - Sale ²⁵
	3.000	8.04	05/22/20	8.92	...	-0.88	17 of 18 - Sale ²⁵
	250.000	793.93	05/22/20	792.50	...	1.43	18 of 18 - Sale ²⁵
05/22/20	1,600.000	4,585.24	Various	4,880.83	...	-295.59	Total of 18 transactions
<i>35 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	182.000	577.98	05/22/20	620.70	30.98 W	-11.74	1 of 35 - Sale ²⁵
	3.000	9.53	05/22/20	10.23	0.70 W	0.00	2 of 35 - Sale ²⁵
	7.000	22.23	05/22/20	23.87	1.64 W	0.00	3 of 35 - Sale ²⁵
	12.000	38.11	05/22/20	40.92	2.81 W	0.00	4 of 35 - Sale ²⁵
	32.000	101.62	05/22/20	109.13	7.51 W	0.00	5 of 35 - Sale ²⁵
	46.000	146.08	05/22/20	156.87	10.79 W	0.00	6 of 35 - Sale ²⁵
	50.000	158.79	05/22/20	167.53	8.74 W	0.00	7 of 35 - Sale ²⁵
	50.000	158.79	05/22/20	167.53	8.74 W	0.00	8 of 35 - Sale ²⁵
	50.000	158.79	05/22/20	166.02	7.23 W	0.00	9 of 35 - Sale ²⁵
	100.000	317.57	05/22/20	335.06	17.49 W	0.00	10 of 35 - Sale ²⁵
	118.000	374.73	05/22/20	402.43	27.70 W	0.00	11 of 35 - Sale ²⁵
	200.000	635.14	05/22/20	664.08	28.94 W	0.00	12 of 35 - Sale ²⁵
	200.000	635.14	05/22/20	682.08	46.94 W	0.00	13 of 35 - Sale ²⁵

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NAVIDEA BIOPHARMACEUTICALS INC . / CUSIP: 63937X202 / Symbol: (cont'd)							
	100.000	317.98	05/22/20	321.00	3.02 W	0.00	14 of 35 - Sale ²⁵
	100.000	317.98	05/22/20	321.00	3.02 W	0.00	15 of 35 - Sale ²⁵
	50.000	158.99	05/22/20	162.01	3.02 W	0.00	16 of 35 - Sale ²⁵
	50.000	158.99	05/22/20	162.01	3.02 W	0.00	17 of 35 - Sale ²⁵
	100.000	317.98	05/22/20	324.02	6.04 W	0.00	18 of 35 - Sale ²⁵
	250.000	794.95	05/22/20	802.50	7.55 W	0.00	19 of 35 - Sale ²⁵
	200.000	635.96	05/22/20	660.00	24.04 W	0.00	20 of 35 - Sale ²⁵
	150.000	476.97	05/22/20	495.00	18.03 W	0.00	21 of 35 - Sale ²⁵
	600.000	1,907.89	05/22/20	1,980.00	72.11 W	0.00	22 of 35 - Sale ²⁵
	50.000	158.99	05/22/20	168.02	9.03 W	0.00	23 of 35 - Sale ²⁵
	50.000	158.99	05/22/20	168.02	9.03 W	0.00	24 of 35 - Sale ²⁵
	100.000	317.98	05/22/20	336.04	18.06 W	0.00	25 of 35 - Sale ²⁵
	200.000	635.96	05/22/20	666.04	30.08 W	0.00	26 of 35 - Sale ²⁵
	50.000	158.99	05/22/20	166.51	7.52 W	0.00	27 of 35 - Sale ²⁵
	150.000	476.97	05/22/20	513.03	36.06 W	0.00	28 of 35 - Sale ²⁵
	200.000	635.96	05/22/20	684.04	48.08 W	0.00	29 of 35 - Sale ²⁵
	46.000	146.28	05/22/20	157.33	11.05 W	0.00	30 of 35 - Sale ²⁵
	7.000	22.26	05/22/20	23.94	1.68 W	0.00	31 of 35 - Sale ²⁵
	3.000	9.54	05/22/20	10.26	0.72 W	0.00	32 of 35 - Sale ²⁵
	194.000	616.88	05/22/20	663.52	46.64 W	0.00	33 of 35 - Sale ²⁵
	118.000	375.22	05/22/20	388.24	13.02 W	0.00	34 of 35 - Sale ²⁵
	232.000	737.72	05/22/20	763.32	25.60 W	0.00	35 of 35 - Sale ²⁵
05/22/20	4,050.000	12,873.93	Various	13,482.30	596.63 W	-11.74	Total of 35 transactions
	Security total:	17,459.17		18,363.13	596.63 W	-307.33	

NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:

7 transactions for 04/02/20. Total proceeds and cost reported to the IRS.

3.000	12.78	03/31/20	16.47	3.69 W	0.00	1 of 7 - Sale ²⁵
5.000	21.30	03/31/20	27.45	6.15 W	0.00	2 of 7 - Sale ²⁵
10.000	42.60	03/31/20	54.90	12.30 W	0.00	3 of 7 - Sale ²⁵
250.000	1,062.44	03/31/20	1,372.50	310.06 W	0.00	4 of 7 - Sale ²⁵
200.000	849.96	03/31/20	1,098.00	248.04 W	0.00	5 of 7 - Sale ²⁵
500.000	2,124.89	03/31/20	2,745.00	620.11 W	0.00	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
04/02/20	32.000	136.00	03/31/20	175.68	39.68 W	0.00	7 of 7 - Sale ²⁵
	1,000.000	4,249.97	Various	5,490.00	1,240.03 W	0.00	Total of 7 transactions
	89 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	2.000	8.62	03/31/20	13.16	4.54 W	0.00	1 of 89 - Sale ²⁵
	3.000	12.93	03/31/20	19.74	6.81 W	0.00	2 of 89 - Sale ²⁵
	5.000	21.55	03/31/20	32.90	11.35 W	0.00	3 of 89 - Sale ²⁵
	8.000	34.48	03/31/20	52.64	18.16 W	0.00	4 of 89 - Sale ²⁵
	202.000	870.57	03/31/20	1,331.23	460.66 W	0.00	5 of 89 - Sale ²⁵
	48.000	206.87	03/31/20	316.33	109.46 W	0.00	6 of 89 - Sale ²⁵
	52.000	224.11	03/31/20	342.69	118.58 W	0.00	7 of 89 - Sale ²⁵
	100.000	430.98	03/31/20	659.02	228.04 W	0.00	8 of 89 - Sale ²⁵
	48.000	206.87	03/31/20	316.33	109.46 W	0.00	9 of 89 - Sale ²⁵
	252.000	1,086.06	03/31/20	1,660.74	574.68 W	0.00	10 of 89 - Sale ²⁵
	55.000	237.04	03/31/20	362.46	125.42 W	0.00	11 of 89 - Sale ²⁵
	45.000	195.75	03/31/20	296.56	100.81 W	0.00	12 of 89 - Sale ²⁵
	55.000	239.24	03/31/20	362.46	123.22 W	0.00	13 of 89 - Sale ²⁵
	45.000	195.74	03/31/20	296.56	100.82 W	0.00	14 of 89 - Sale ²⁵
	13.000	56.55	03/31/20	85.67	29.12 W	0.00	15 of 89 - Sale ²⁵
	30.000	129.00	03/31/20	197.70	68.70 W	0.00	16 of 89 - Sale ²⁵
	35.000	150.49	03/31/20	230.66	80.17 W	0.00	17 of 89 - Sale ²⁵
	2.000	8.60	03/31/20	13.18	4.58 W	0.00	18 of 89 - Sale ²⁵
	2.000	8.60	03/31/20	14.78	6.18 W	0.00	19 of 89 - Sale ²⁵
	3.000	12.90	03/31/20	22.17	9.27 W	0.00	20 of 89 - Sale ²⁵
	5.000	21.50	03/31/20	36.95	15.45 W	0.00	21 of 89 - Sale ²⁵
	8.000	34.40	03/31/20	59.12	24.72 W	0.00	22 of 89 - Sale ²⁵
	30.000	129.00	03/31/20	222.01	93.01 W	0.00	23 of 89 - Sale ²⁵
	2.000	8.60	03/31/20	14.82	6.22 W	0.00	24 of 89 - Sale ²⁵
	13.000	55.90	03/31/20	95.68	39.78 W	0.00	25 of 89 - Sale ²⁵
	30.000	128.99	03/31/20	222.30	93.31 W	0.00	26 of 89 - Sale ²⁵
	35.000	150.49	03/31/20	259.37	108.88 W	0.00	27 of 89 - Sale ²⁵
	45.000	193.49	03/31/20	331.21	137.72 W	0.00	28 of 89 - Sale ²⁵
	45.000	193.49	03/31/20	331.22	137.73 W	0.00	29 of 89 - Sale ²⁵
	48.000	206.39	03/31/20	355.22	148.83 W	0.00	30 of 89 - Sale ²⁵
	48.000	206.39	03/31/20	355.22	148.83 W	0.00	31 of 89 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	52.000	223.59	03/31/20	384.82	161.23 W	0.00	32 of 89 - Sale ²⁵
	55.000	236.49	03/31/20	407.02	170.53 W	0.00	33 of 89 - Sale ²⁵
	55.000	236.49	03/31/20	404.82	168.33 W	0.00	34 of 89 - Sale ²⁵
	100.000	429.98	03/31/20	740.04	310.06 W	0.00	35 of 89 - Sale ²⁵
	172.000	739.56	03/31/20	1,272.89	533.33 W	0.00	36 of 89 - Sale ²⁵
	252.000	1,083.54	03/31/20	1,864.92	781.38 W	0.00	37 of 89 - Sale ²⁵
	3.000	15.24	04/08/20	24.87	9.63 W	0.00	38 of 89 - Sale ²⁵
	1.000	5.08	04/08/20	8.29	3.21 W	0.00	39 of 89 - Sale ²⁵
	2.000	10.16	04/08/20	16.58	6.42 W	0.00	40 of 89 - Sale ²⁵
	4.000	20.32	04/08/20	33.16	12.84 W	0.00	41 of 89 - Sale ²⁵
	8.000	40.64	04/08/20	66.32	25.68 W	0.00	42 of 89 - Sale ²⁵
	21.000	106.67	04/08/20	174.31	67.64 W	0.00	43 of 89 - Sale ²⁵
	2.000	10.16	04/08/20	16.60	6.44 W	0.00	44 of 89 - Sale ²⁵
	7.000	35.56	04/08/20	58.10	22.54 W	0.00	45 of 89 - Sale ²⁵
	2.000	10.16	04/08/20	16.60	6.44 W	0.00	46 of 89 - Sale ²⁵
	172.000	873.71	04/08/20	1,427.73	554.02 W	0.00	47 of 89 - Sale ²⁵
	2.000	10.16	04/08/20	16.62	6.46 W	0.00	48 of 89 - Sale ²⁵
	13.000	66.04	04/08/20	107.38	41.34 W	0.00	49 of 89 - Sale ²⁵
	30.000	152.40	04/08/20	249.31	96.91 W	0.00	50 of 89 - Sale ²⁵
	35.000	177.79	04/08/20	290.88	113.09 W	0.00	51 of 89 - Sale ²⁵
	45.000	228.60	04/08/20	371.72	143.12 W	0.00	52 of 89 - Sale ²⁵
	45.000	228.60	04/08/20	371.73	143.13 W	0.00	53 of 89 - Sale ²⁵
	46.000	233.67	04/08/20	381.83	148.16 W	0.00	54 of 89 - Sale ²⁵
	48.000	243.83	04/08/20	398.43	154.60 W	0.00	55 of 89 - Sale ²⁵
	52.000	264.15	04/08/20	431.63	167.48 W	0.00	56 of 89 - Sale ²⁵
	55.000	279.39	04/08/20	456.53	177.14 W	0.00	57 of 89 - Sale ²⁵
	55.000	279.39	04/08/20	454.33	174.94 W	0.00	58 of 89 - Sale ²⁵
	100.000	507.99	04/08/20	830.06	322.07 W	0.00	59 of 89 - Sale ²⁵
	252.000	1,280.13	04/08/20	2,091.78	811.65 W	0.00	60 of 89 - Sale ²⁵
	1.000	5.09	04/08/20	8.20	3.11 W	0.00	61 of 89 - Sale ²⁵
	2.000	10.18	04/08/20	16.41	6.23 W	0.00	62 of 89 - Sale ²⁵
	2.000	10.18	04/08/20	16.43	6.25 W	0.00	63 of 89 - Sale ²⁵
	3.000	15.27	04/08/20	24.62	9.35 W	0.00	64 of 89 - Sale ²⁵
	4.000	20.36	04/08/20	32.82	12.46 W	0.00	65 of 89 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	7.000	35.63	04/08/20	57.50	21.87 W	0.00	66 of 89 - Sale ²⁵
	8.000	40.72	04/08/20	65.64	24.92 W	0.00	67 of 89 - Sale ²⁵
	21.000	106.88	04/08/20	172.54	65.66 W	0.00	68 of 89 - Sale ²⁵
	52.000	264.67	04/08/20	427.23	162.56 W	0.00	69 of 89 - Sale ²⁵
	100.000	508.97	04/08/20	821.61	312.64 W	0.00	70 of 89 - Sale ²⁵
	2.000	10.18	04/08/20	16.43	6.25 W	0.00	71 of 89 - Sale ²⁵
	46.000	234.13	04/08/20	377.93	143.80 W	0.00	72 of 89 - Sale ²⁵
	52.000	264.66	04/08/20	427.22	162.56 W	0.00	73 of 89 - Sale ²⁵
	80.000	407.18	04/08/20	657.26	250.08 W	0.00	74 of 89 - Sale ²⁵
	20.000	101.80	04/08/20	164.32	62.52 W	0.00	75 of 89 - Sale ²⁵
	2.000	10.18	04/08/20	16.43	6.25 W	0.00	76 of 89 - Sale ²⁵
	48.000	244.31	04/08/20	394.36	150.05 W	0.00	77 of 89 - Sale ²⁵
	18.000	91.62	04/08/20	147.88	56.26 W	0.00	78 of 89 - Sale ²⁵
	234.000	1,190.99	04/08/20	1,922.51	731.52 W	0.00	79 of 89 - Sale ²⁵
	45.000	229.04	04/08/20	367.89	138.85 W	0.00	80 of 89 - Sale ²⁵
	26.000	132.33	04/08/20	212.56	80.23 W	0.00	81 of 89 - Sale ²⁵
	55.000	279.93	04/08/20	451.87	171.94 W	0.00	82 of 89 - Sale ²⁵
	55.000	279.94	04/08/20	449.67	169.73 W	0.00	83 of 89 - Sale ²⁵
	18.000	91.62	04/08/20	147.89	56.27 W	0.00	84 of 89 - Sale ²⁵
	2.000	10.18	04/08/20	16.45	6.27 W	0.00	85 of 89 - Sale ²⁵
	13.000	66.17	04/08/20	106.28	40.11 W	0.00	86 of 89 - Sale ²⁵
	30.000	152.69	04/08/20	246.76	94.07 W	0.00	87 of 89 - Sale ²⁵
	35.000	178.14	04/08/20	287.91	109.77 W	0.00	88 of 89 - Sale ²⁵
	19.000	96.70	04/08/20	155.34	58.64 W	0.00	89 of 89 - Sale ²⁵
04/08/20	4,000.000	18,784.82	Various	30,489.36	11,704.54 W	0.00	Total of 89 transactions
	29 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	234.000	1,444.29	04/09/20	2,156.35	...	-712.06	1 of 29 - Sale ²⁵ C
	100.000	617.21	04/09/20	921.54	...	-304.33	2 of 29 - Sale ²⁵ C
	80.000	493.77	04/09/20	737.20	...	-243.43	3 of 29 - Sale ²⁵ C
	55.000	339.47	04/09/20	506.84	...	-167.37	4 of 29 - Sale ²⁵ C
	55.000	339.47	04/09/20	504.63	...	-165.16	5 of 29 - Sale ²⁵ C
	52.000	320.95	04/09/20	479.19	...	-158.24	6 of 29 - Sale ²⁵ C
	52.000	320.95	04/09/20	479.19	...	-158.24	7 of 29 - Sale ²⁵ C
	48.000	296.26	04/09/20	442.32	...	-146.06	8 of 29 - Sale ²⁵ C

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	46.000	283.92	04/09/20	423.89	...	-139.97	9 of 29 - Sale ²⁵ C
	45.000	277.75	04/09/20	412.86	...	-135.11	10 of 29 - Sale ²⁵ C
	35.000	216.02	04/09/20	322.89	...	-106.87	11 of 29 - Sale ²⁵ C
	30.000	185.17	04/09/20	276.74	...	-91.57	12 of 29 - Sale ²⁵ C
	26.000	160.48	04/09/20	238.54	...	-78.06	13 of 29 - Sale ²⁵ C
	21.000	129.61	04/09/20	193.53	...	-63.92	14 of 29 - Sale ²⁵ C
	20.000	123.44	04/09/20	184.30	...	-60.86	15 of 29 - Sale ²⁵ C
	19.000	117.27	04/09/20	174.33	...	-57.06	16 of 29 - Sale ²⁵ C
	18.000	111.10	04/09/20	165.87	...	-54.77	17 of 29 - Sale ²⁵ C
	18.000	111.10	04/09/20	165.86	...	-54.76	18 of 29 - Sale ²⁵ C
	13.000	80.24	04/09/20	119.27	...	-39.03	19 of 29 - Sale ²⁵ C
	8.000	49.38	04/09/20	73.63	...	-24.25	20 of 29 - Sale ²⁵ C
	7.000	43.21	04/09/20	64.49	...	-21.28	21 of 29 - Sale ²⁵ C
	4.000	24.69	04/09/20	36.82	...	-12.13	22 of 29 - Sale ²⁵ C
	3.000	18.52	04/09/20	27.60	...	-9.08	23 of 29 - Sale ²⁵ C
	2.000	12.34	04/09/20	18.45	...	-6.11	24 of 29 - Sale ²⁵ C
	2.000	12.34	04/09/20	18.43	...	-6.09	25 of 29 - Sale ²⁵ C
	2.000	12.34	04/09/20	18.43	...	-6.09	26 of 29 - Sale ²⁵ C
	2.000	12.34	04/09/20	18.43	...	-6.09	27 of 29 - Sale ²⁵ C
	2.000	12.34	04/09/20	18.41	...	-6.07	28 of 29 - Sale ²⁵ C
	1.000	6.17	04/09/20	9.20	...	-3.03	29 of 29 - Sale ²⁵ C
05/19/20	1,000.000	6,172.14	Various	9,209.23	...	-3,037.09	Total of 29 transactions
	Security total:	29,206.93		45,188.59	12,944.57 W	-3,037.09	
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
04/20/20	1,000.000	1,799.84	04/20/20	1,550.00	...	249.84	Sale ²⁵
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	50.000	17,581.11	05/21/20	17,600.00	...	-18.89	1 of 2 - Sale ²⁵
	40.000	14,119.68	05/21/20	14,080.00	...	39.68	2 of 2 - Sale ²⁵
05/22/20	90.000	31,700.79	Various	31,680.00	...	20.79	Total of 2 transactions

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Account 801799297

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(continued)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	9.000	246.43	11/25/20	260.10	...	-13.67	1 of 3 - Sale ²⁵
	6.000	164.28	11/27/20	189.18	...	-24.90	2 of 3 - Sale ²⁵
	4.000	109.53	11/27/20	126.12	...	-16.59	3 of 3 - Sale ²⁵
11/30/20	19.000	520.24	Various	575.40	...	-55.16	Total of 3 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
24 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1,705.000	2,216.24	05/29/20	3,137.47	...	-921.23	1 of 24 - Sale ²⁵
	398.000	517.42	05/29/20	855.89	...	-338.47	2 of 24 - Sale ²⁵
	300.000	390.02	05/29/20	645.13	...	-255.11	3 of 24 - Sale ²⁵
	2,761.000	3,588.89	05/29/20	3,810.18	...	-221.29	4 of 24 - Sale ²⁵
	275.000	357.46	05/29/20	569.32	...	-211.86	5 of 24 - Sale ²⁵
	667.000	867.00	05/29/20	1,073.87	...	-206.87	6 of 24 - Sale ²⁵
	250.000	324.96	05/29/20	517.57	...	-192.61	7 of 24 - Sale ²⁵
	1,270.000	1,650.81	05/29/20	1,752.60	...	-101.79	8 of 24 - Sale ²⁵
	90.000	116.99	05/29/20	186.32	...	-69.33	9 of 24 - Sale ²⁵
	69.000	89.70	05/29/20	148.38	...	-58.68	10 of 24 - Sale ²⁵
	50.000	64.99	05/29/20	115.03	...	-50.04	11 of 24 - Sale ²⁵
	50.000	65.00	05/29/20	107.53	...	-42.53	12 of 24 - Sale ²⁵
	40.000	52.00	05/29/20	86.02	...	-34.02	13 of 24 - Sale ²⁵
	30.000	39.00	05/29/20	64.50	...	-25.50	14 of 24 - Sale ²⁵
	12.000	15.60	05/29/20	28.44	...	-12.84	15 of 24 - Sale ²⁵
	14.000	18.20	05/29/20	30.10	...	-11.90	16 of 24 - Sale ²⁵
	10.000	13.00	05/29/20	23.00	...	-10.00	17 of 24 - Sale ²⁵
	8.000	10.40	05/29/20	17.20	...	-6.80	18 of 24 - Sale ²⁵
	5.000	6.50	05/29/20	10.75	...	-4.25	19 of 24 - Sale ²⁵
	50.000	64.99	05/29/20	69.00	...	-4.01	20 of 24 - Sale ²⁵
	5.000	6.50	05/29/20	10.35	...	-3.85	21 of 24 - Sale ²⁵
	3.000	3.90	05/29/20	6.45	...	-2.55	22 of 24 - Sale ²⁵
	2.000	2.60	05/29/20	4.30	...	-1.70	23 of 24 - Sale ²⁵
	1.000	1.30	05/29/20	2.15	...	-0.85	24 of 24 - Sale ²⁵
05/29/20	8,065.000	10,483.47	Various	13,271.55	...	-2,788.08	Total of 24 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
46 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
20.000		26.00	05/29/20	41.40	10.78 W	-4.62	1 of 46 - Sale ²⁵
470.000		676.72	05/29/20	784.90	108.18 W	0.00	2 of 46 - Sale ²⁵
100.000		143.99	05/29/20	167.00	23.01 W	0.00	3 of 46 - Sale ²⁵
69.000		99.35	05/29/20	115.23	15.88 W	0.00	4 of 46 - Sale ²⁵
300.000		431.96	05/29/20	501.00	69.04 W	0.00	5 of 46 - Sale ²⁵
5.000		7.20	05/29/20	8.35	1.15 W	0.00	6 of 46 - Sale ²⁵
1.000		1.44	05/29/20	1.67	0.23 W	0.00	7 of 46 - Sale ²⁵
3.000		4.32	05/29/20	5.01	0.69 W	0.00	8 of 46 - Sale ²⁵
30.000		43.20	05/29/20	50.10	6.90 W	0.00	9 of 46 - Sale ²⁵
20.000		28.80	05/29/20	33.40	4.60 W	0.00	10 of 46 - Sale ²⁵
250.000		359.97	05/29/20	417.50	57.53 W	0.00	11 of 46 - Sale ²⁵
5.000		7.20	05/29/20	8.35	1.15 W	0.00	12 of 46 - Sale ²⁵
275.000		395.97	05/29/20	459.25	63.28 W	0.00	13 of 46 - Sale ²⁵
50.000		71.99	05/29/20	95.01	23.02 W	0.00	14 of 46 - Sale ²⁵
40.000		57.59	05/29/20	76.00	18.41 W	0.00	15 of 46 - Sale ²⁵
410.000		590.34	05/29/20	779.07	188.73 W	0.00	16 of 46 - Sale ²⁵
90.000		129.59	05/29/20	150.30	20.71 W	0.00	17 of 46 - Sale ²⁵
10.000		14.40	05/29/20	19.00	4.60 W	0.00	18 of 46 - Sale ²⁵
8.000		11.52	05/29/20	15.20	3.68 W	0.00	19 of 46 - Sale ²⁵
1.000		1.44	05/29/20	1.90	0.46 W	0.00	20 of 46 - Sale ²⁵
3.000		4.32	05/29/20	5.70	1.38 W	0.00	21 of 46 - Sale ²⁵
5.000		7.20	05/29/20	9.50	2.30 W	0.00	22 of 46 - Sale ²⁵
5.000		7.20	05/29/20	9.50	2.30 W	0.00	23 of 46 - Sale ²⁵
10.000		14.40	05/29/20	21.30	6.90 W	0.00	24 of 46 - Sale ²⁵
20.000		28.80	05/29/20	38.00	9.20 W	0.00	25 of 46 - Sale ²⁵
30.000		43.20	05/29/20	57.00	13.80 W	0.00	26 of 46 - Sale ²⁵
50.000		71.99	05/29/20	106.52	34.53 W	0.00	27 of 46 - Sale ²⁵
69.000		99.35	05/29/20	131.11	31.76 W	0.00	28 of 46 - Sale ²⁵
90.000		129.59	05/29/20	171.01	41.42 W	0.00	29 of 46 - Sale ²⁵
52.000		74.87	05/29/20	98.81	23.94 W	0.00	30 of 46 - Sale ²⁵
250.000		359.96	05/29/20	475.03	115.07 W	0.00	31 of 46 - Sale ²⁵
275.000		395.96	05/29/20	522.53	126.57 W	0.00	32 of 46 - Sale ²⁵
300.000		431.95	05/29/20	570.04	138.09 W	0.00	33 of 46 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	1,705.000	2,454.93	05/29/20	2,847.35	392.42 W	0.00	34 of 46 - Sale ²⁵
	12.000	15.72	05/29/20	24.84	9.12 W	0.00	35 of 46 - Sale ²⁵
	2.000	2.60	05/29/20	4.14	1.54 W	0.00	36 of 46 - Sale ²⁵
	8.000	10.40	05/29/20	16.56	6.16 W	0.00	37 of 46 - Sale ²⁵
	40.000	51.99	05/29/20	82.81	30.82 W	0.00	38 of 46 - Sale ²⁵
	398.000	517.34	05/29/20	823.99	306.65 W	0.00	39 of 46 - Sale ²⁵
	1.000	1.30	05/29/20	2.07	0.77 W	0.00	40 of 46 - Sale ²⁵
	3.000	3.90	05/29/20	6.21	2.31 W	0.00	41 of 46 - Sale ²⁵
	5.000	6.50	05/29/20	10.35	3.85 W	0.00	42 of 46 - Sale ²⁵
	30.000	39.00	05/29/20	62.10	23.10 W	0.00	43 of 46 - Sale ²⁵
	50.000	64.99	05/29/20	103.52	38.53 W	0.00	44 of 46 - Sale ²⁵
	69.000	89.69	05/29/20	142.85	53.16 W	0.00	45 of 46 - Sale ²⁵
	300.000	389.96	05/29/20	621.09	231.13 W	0.00	46 of 46 - Sale ²⁵
05/29/20	5,939.000	8,420.10	Various	10,693.57	2,268.85 W	-4.62	Total of 46 transactions
	Security total:	18,903.57		23,965.12	2,268.85 W	-2,792.70	
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
05/21/20	600.000	1,007.90	05/20/20	1,191.18	...	-183.28	Sale ²⁵
ROCKWELL MEDICAL, INC. (DE) CO MMON STOCK / CUSIP: 774374102 / Symbol:							
7 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	46.000	53.35	11/27/20	48.99	...	4.36	1 of 7 - Sale ²⁵
	259.000	300.40	11/27/20	274.54	...	25.86	2 of 7 - Sale ²⁵
	296.000	343.32	11/27/20	313.76	...	29.56	3 of 7 - Sale ²⁵
	454.000	526.57	11/27/20	483.51	...	43.06	4 of 7 - Sale ²⁵
	600.000	695.91	11/27/20	639.00	...	56.91	5 of 7 - Sale ²⁵
	800.000	927.89	11/27/20	854.56	...	73.33	6 of 7 - Sale ²⁵
	148.000	171.66	11/30/20	153.65	...	18.01	7 of 7 - Sale ²⁵
12/10/20	2,603.000	3,019.10	Various	2,768.01	...	251.09	Total of 7 transactions
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
7 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	475.000	1,914.24	04/08/20	1,928.50	...	-14.26	1 of 7 - Sale ²⁵
	48.000	193.44	04/08/20	195.84	...	-2.40	2 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SASOL LIMITED / CUSIP: 803866300 / Symbol: (cont'd)							
	11.000	44.33	04/08/20	44.66	...	-0.33	3 of 7 - Sale ²⁵
	8.000	32.24	04/08/20	32.48	...	-0.24	4 of 7 - Sale ²⁵
	2.000	8.06	04/08/20	8.16	...	-0.10	5 of 7 - Sale ²⁵
	2.000	8.06	04/08/20	8.16	...	-0.10	6 of 7 - Sale ²⁵
	1.000	4.03	04/08/20	4.08	...	-0.05	7 of 7 - Sale ²⁵
04/09/20	547.000	2,204.40	Various	2,221.88	...	-17.48	Total of 7 transactions
	2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	3.000	12.12	04/08/20	12.18	0.06 W	0.00	1 of 2 - Sale ²⁵
	50.000	202.00	04/08/20	203.00	1.00 W	0.00	2 of 2 - Sale ²⁵
04/09/20	53.000	214.12	Various	215.18	1.06 W	0.00	Total of 2 transactions
	Security total:	2,418.52		2,437.06	1.06 W	-17.48	
SOLENO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 834203200 / Symbol:							
	42 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	6.000	13.14	06/09/20	13.02	...	0.12	1 of 42 - Sale ²⁵
	6.000	13.08	06/09/20	12.90	...	0.18	2 of 42 - Sale ²⁵
	1.000	2.16	06/09/20	1.92	...	0.24	3 of 42 - Sale ²⁵
	1.000	2.20	06/09/20	1.93	...	0.27	4 of 42 - Sale ²⁵
	1.000	2.20	06/09/20	1.93	...	0.27	5 of 42 - Sale ²⁵
	50.000	108.99	06/09/20	107.50	...	1.49	6 of 42 - Sale ²⁵
	50.000	108.99	06/09/20	107.50	...	1.49	7 of 42 - Sale ²⁵
	6.000	13.20	06/09/20	11.55	...	1.65	8 of 42 - Sale ²⁵
	71.000	154.77	06/09/20	152.65	...	2.12	9 of 42 - Sale ²⁵
	65.000	142.34	06/09/20	139.75	...	2.59	10 of 42 - Sale ²⁵
	100.000	217.98	06/09/20	215.00	...	2.98	11 of 42 - Sale ²⁵
	100.000	217.98	06/09/20	215.00	...	2.98	12 of 42 - Sale ²⁵
	100.000	217.98	06/09/20	215.00	...	2.98	13 of 42 - Sale ²⁵
	250.000	544.96	06/09/20	537.50	...	7.46	14 of 42 - Sale ²⁵
	281.000	612.54	06/09/20	604.15	...	8.39	15 of 42 - Sale ²⁵
	500.000	1,089.91	06/09/20	1,075.00	...	14.91	16 of 42 - Sale ²⁵
	57.000	125.39	06/09/20	109.73	...	15.66	17 of 42 - Sale ²⁵
	62.000	136.39	06/09/20	119.35	...	17.04	18 of 42 - Sale ²⁵
	429.000	939.43	06/09/20	922.35	...	17.08	19 of 42 - Sale ²⁵
	87.000	189.64	06/09/20	167.47	...	22.17	20 of 42 - Sale ²⁵

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(continued)

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SOLENO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 834203200 / Symbol: (cont'd)							
	94.000	206.79	06/09/20	180.95	...	25.84	21 of 42 - Sale ²⁵
	99.000	217.88	06/09/20	190.57	...	27.31	22 of 42 - Sale ²⁵
	100.000	219.99	06/09/20	192.50	...	27.49	23 of 42 - Sale ²⁵
	99.000	218.29	06/09/20	190.57	...	27.72	24 of 42 - Sale ²⁵
	100.000	220.49	06/09/20	192.50	...	27.99	25 of 42 - Sale ²⁵
	100.000	220.49	06/09/20	192.50	...	27.99	26 of 42 - Sale ²⁵
	100.000	220.49	06/09/20	192.50	...	27.99	27 of 42 - Sale ²⁵
	100.000	220.49	06/09/20	192.50	...	27.99	28 of 42 - Sale ²⁵
	101.000	222.69	06/09/20	194.43	...	28.26	29 of 42 - Sale ²⁵
	101.000	222.68	06/09/20	194.32	...	28.36	30 of 42 - Sale ²⁵
	194.000	426.96	06/09/20	373.45	...	53.51	31 of 42 - Sale ²⁵
	199.000	438.76	06/09/20	383.07	...	55.69	32 of 42 - Sale ²⁵
	200.000	440.98	06/09/20	385.00	...	55.98	33 of 42 - Sale ²⁵
	200.000	440.98	06/09/20	385.00	...	55.98	34 of 42 - Sale ²⁵
	299.000	659.24	06/09/20	575.28	...	83.96	35 of 42 - Sale ²⁵
	400.000	879.93	06/09/20	770.00	...	109.93	36 of 42 - Sale ²⁵
	400.000	881.93	06/09/20	770.00	...	111.93	37 of 42 - Sale ²⁵
	399.000	879.72	06/09/20	767.68	...	112.04	38 of 42 - Sale ²⁵
	500.000	1,099.91	06/09/20	962.50	...	137.41	39 of 42 - Sale ²⁵
	600.000	1,322.90	06/09/20	1,155.00	...	167.90	40 of 42 - Sale ²⁵
	600.000	1,322.90	06/09/20	1,155.00	...	167.90	41 of 42 - Sale ²⁵
	800.000	1,763.86	06/09/20	1,540.00	...	223.86	42 of 42 - Sale ²⁵
06/09/20	8,008.000	17,601.62	Various	15,866.52	...	1,735.10	Total of 42 transactions
	53 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	800.000	1,511.87	06/09/20	1,992.12	...	-480.25	1 of 53 - Sale ²⁵
	677.000	1,279.41	06/09/20	1,679.07	...	-399.66	2 of 53 - Sale ²⁵
	545.000	1,029.96	06/09/20	1,357.14	...	-327.18	3 of 53 - Sale ²⁵
	490.000	926.02	06/09/20	1,220.18	...	-294.16	4 of 53 - Sale ²⁵
	370.000	699.24	06/09/20	921.36	...	-222.12	5 of 53 - Sale ²⁵
	323.000	610.42	06/09/20	801.09	...	-190.67	6 of 53 - Sale ²⁵
	275.000	519.70	06/09/20	684.80	...	-165.10	7 of 53 - Sale ²⁵
	358.000	676.56	06/09/20	812.66	...	-136.10	8 of 53 - Sale ²⁵
	200.000	377.96	06/09/20	494.03	...	-116.07	9 of 53 - Sale ²⁵
	200.000	377.97	06/09/20	494.03	...	-116.06	10 of 53 - Sale ²⁵

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(continued)

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SOLENO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 834203200 / Symbol: (cont'd)							
200.000		377.98	06/09/20	494.04	...	-116.06	11 of 53 - Sale ²⁵
192.000		362.86	06/09/20	474.27	...	-111.41	12 of 53 - Sale ²⁵
123.000		232.45	06/09/20	306.29	...	-73.84	13 of 53 - Sale ²⁵
127.000		240.01	06/09/20	313.71	...	-73.70	14 of 53 - Sale ²⁵
177.000		334.51	06/09/20	401.79	...	-67.28	15 of 53 - Sale ²⁵
107.000		202.21	06/09/20	264.31	...	-62.10	16 of 53 - Sale ²⁵
100.000		188.98	06/09/20	249.02	...	-60.04	17 of 53 - Sale ²⁵
100.000		188.98	06/09/20	249.02	...	-60.04	18 of 53 - Sale ²⁵
100.000		188.98	06/09/20	248.02	...	-59.04	19 of 53 - Sale ²⁵
100.000		188.98	06/09/20	248.02	...	-59.04	20 of 53 - Sale ²⁵
100.000		188.98	06/09/20	247.02	...	-58.04	21 of 53 - Sale ²⁵
100.000		188.98	06/09/20	247.02	...	-58.04	22 of 53 - Sale ²⁵
100.000		188.98	06/09/20	247.02	...	-58.04	23 of 53 - Sale ²⁵
100.000		188.98	06/09/20	247.02	...	-58.04	24 of 53 - Sale ²⁵
92.000		173.86	06/09/20	227.26	...	-53.40	25 of 53 - Sale ²⁵
85.000		160.64	06/09/20	211.67	...	-51.03	26 of 53 - Sale ²⁵
80.000		151.19	06/09/20	198.41	...	-47.22	27 of 53 - Sale ²⁵
73.000		137.96	06/09/20	180.32	...	-42.36	28 of 53 - Sale ²⁵
70.000		132.29	06/09/20	172.91	...	-40.62	29 of 53 - Sale ²⁵
100.000		188.99	06/09/20	227.00	...	-38.01	30 of 53 - Sale ²⁵
53.000		100.16	06/09/20	131.98	...	-31.82	31 of 53 - Sale ²⁵
50.000		94.50	06/09/20	113.50	...	-19.00	32 of 53 - Sale ²⁵
50.000		94.50	06/09/20	113.50	...	-19.00	33 of 53 - Sale ²⁵
50.000		95.50	06/09/20	113.50	...	-18.00	34 of 53 - Sale ²⁵
20.000		37.80	06/09/20	49.40	...	-11.60	35 of 53 - Sale ²⁵
30.000		57.30	06/09/20	68.10	...	-10.80	36 of 53 - Sale ²⁵
15.000		28.35	06/09/20	37.35	...	-9.00	37 of 53 - Sale ²⁵
15.000		28.35	06/09/20	37.35	...	-9.00	38 of 53 - Sale ²⁵
15.000		28.35	06/09/20	37.35	...	-9.00	39 of 53 - Sale ²⁵
10.000		18.90	06/09/20	24.90	...	-6.00	40 of 53 - Sale ²⁵
10.000		18.90	06/09/20	24.90	...	-6.00	41 of 53 - Sale ²⁵
8.000		15.12	06/09/20	19.76	...	-4.64	42 of 53 - Sale ²⁵
10.000		19.10	06/09/20	22.70	...	-3.60	43 of 53 - Sale ²⁵
10.000		19.10	06/09/20	22.70	...	-3.60	44 of 53 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SOLENO THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 834203200 / Symbol: (cont'd)							
	6.000	11.34	06/09/20	14.82	...	-3.48	45 of 53 - Sale ²⁵
	5.000	9.45	06/09/20	12.40	...	-2.95	46 of 53 - Sale ²⁵
	5.000	9.45	06/09/20	12.40	...	-2.95	47 of 53 - Sale ²⁵
	5.000	9.45	06/09/20	12.35	...	-2.90	48 of 53 - Sale ²⁵
	7.000	13.37	06/09/20	15.89	...	-2.52	49 of 53 - Sale ²⁵
	4.000	7.56	06/09/20	9.96	...	-2.40	50 of 53 - Sale ²⁵
	6.000	11.46	06/09/20	13.62	...	-2.16	51 of 53 - Sale ²⁵
	3.000	5.67	06/09/20	7.26	...	-1.59	52 of 53 - Sale ²⁵
	1.000	1.91	06/09/20	2.27	...	-0.36	53 of 53 - Sale ²⁵
06/10/20	6,852.000	12,951.49	Various	16,828.58	...	-3,877.09	Total of 53 transactions
	6 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	269.000	513.74	06/09/20	610.63	40.70 W	-56.19	1 of 6 - Sale ²⁵
	980.000	1,881.43	06/09/20	2,224.60	343.17 W	0.00	2 of 6 - Sale ²⁵
	2,000.000	3,839.67	06/09/20	4,540.00	700.33 W	0.00	3 of 6 - Sale ²⁵
	5.000	9.60	06/09/20	11.35	1.75 W	0.00	4 of 6 - Sale ²⁵
	5.000	9.60	06/09/20	11.35	1.75 W	0.00	5 of 6 - Sale ²⁵
	2,900.000	5,538.52	06/09/20	6,583.00	1,044.48 W	0.00	6 of 6 - Sale ²⁵
06/10/20	6,159.000	11,792.56	Various	13,980.93	2,132.18 W	-56.19	Total of 6 transactions
	Security total:	42,345.67		46,676.03	2,132.18 W	-2,198.18	
TAUBMAN CENTERS INC. / CUSIP: 876664103 / Symbol:							
	3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	600.000	21,815.50	06/10/20	21,863.52	...	-48.02	1 of 3 - Sale ²⁵
	107.000	3,896.84	06/10/20	3,898.99	...	-2.15	2 of 3 - Sale ²⁵
	100.000	3,641.90	06/10/20	3,643.92	...	-2.02	3 of 3 - Sale ²⁵
06/10/20	807.000	29,354.24	Various	29,406.43	...	-52.19	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	0.014	8.07	11/20/20	6.88	...	1.19	1 of 3 - Sale ²⁵
	0.197	115.56	11/20/20	98.52	...	17.04	2 of 3 - Sale ²⁵
	2.000	1,171.05	11/20/20	998.60	...	172.45	3 of 3 - Sale ²⁵
11/27/20	2.211	1,294.68	Various	1,104.00	...	190.68	Total of 3 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
6 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.248	2.38	12/14/20	1.86	...	0.52	1 of 6 - Sale ²⁵
	0.471	4.50	12/14/20	3.52	...	0.98	2 of 6 - Sale ²⁵
	35.000	334.25	12/14/20	262.15	...	72.10	3 of 6 - Sale ²⁵
	99.529	950.46	12/14/20	745.47	...	204.99	4 of 6 - Sale ²⁵
	100.000	954.96	12/14/20	749.00	...	205.96	5 of 6 - Sale ²⁵
	100.000	954.96	12/14/20	749.00	...	205.96	6 of 6 - Sale ²⁵
12/16/20	335.248	3,201.51	Various	2,511.00	...	690.51	Total of 6 transactions
USA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90328S500 / Symbol:							
12/21/20	86.000	932.20	12/18/20	958.04	...	-25.84	Sale ²⁵
12/22/20	118.000	1,321.56	12/18/20	1,314.52	...	7.04	Sale ²⁵
	Security total:	2,253.76		2,272.56	...	-18.80	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	310.000	319.25	04/09/20	468.14	...	-148.89	1 of 5 - Sale ²⁵
	138.000	142.12	04/09/20	208.40	...	-66.28	2 of 5 - Sale ²⁵
	235.000	242.02	04/09/20	298.45	...	-56.43	3 of 5 - Sale ²⁵
	50.000	51.49	04/09/20	75.50	...	-24.01	4 of 5 - Sale ²⁵
	2.000	2.06	04/09/20	3.02	...	-0.96	5 of 5 - Sale ²⁵
04/09/20	735.000	756.94	Various	1,053.51	...	-296.57	Total of 5 transactions
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	405.000	417.09	04/09/20	514.35	33.62 W	-63.64	1 of 3 - Sale ²⁵
	310.000	319.26	04/09/20	393.70	74.44 W	0.00	2 of 3 - Sale ²⁵
	50.000	51.50	04/09/20	63.50	12.00 W	0.00	3 of 3 - Sale ²⁵
04/09/20	765.000	787.85	Various	971.55	120.06 W	-63.64	Total of 3 transactions
	Security total:	1,544.79		2,025.06	120.06 W	-360.21	
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	130.000	1,299.95	12/16/20	1,377.77	77.82 W	0.00	1 of 2 - Sale ²⁵
	272.000	2,719.90	12/16/20	2,882.71	162.81 W	0.00	2 of 2 - Sale ²⁵
12/16/20	402.000	4,019.85	Various	4,260.48	240.63 W	0.00	Total of 2 transactions

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
11 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	200.000	74.02	04/01/20	79.98	...	-5.96	1 of 11 - Sale ²⁵
	200.000	74.18	04/01/20	79.98	...	-5.80	2 of 11 - Sale ²⁵
	100.000	37.08	04/01/20	39.99	...	-2.91	3 of 11 - Sale ²⁵
	100.000	37.08	04/01/20	39.99	...	-2.91	4 of 11 - Sale ²⁵
	100.000	37.08	04/01/20	39.99	...	-2.91	5 of 11 - Sale ²⁵
	100.000	37.08	04/01/20	39.99	...	-2.91	6 of 11 - Sale ²⁵
	100.000	37.08	04/01/20	39.99	...	-2.91	7 of 11 - Sale ²⁵
	100.000	37.09	04/01/20	39.99	...	-2.90	8 of 11 - Sale ²⁵
	100.000	37.09	04/01/20	39.99	...	-2.90	9 of 11 - Sale ²⁵
	100.000	37.09	04/01/20	39.99	...	-2.90	10 of 11 - Sale ²⁵
	60.000	22.20	04/01/20	23.99	...	-1.79	11 of 11 - Sale ²⁵
04/01/20	1,260.000	467.07	Various	503.87	...	-36.80	Total of 11 transactions
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	94.000	17,140.50	05/27/20	17,140.90	...	-0.40	1 of 4 - Sale ²⁵
	17.000	3,099.87	05/27/20	3,100.02	...	-0.15	2 of 4 - Sale ²⁵
	13.000	2,370.50	05/27/20	2,370.61	...	-0.11	3 of 4 - Sale ²⁵
	3.000	547.04	05/27/20	547.06	...	-0.02	4 of 4 - Sale ²⁵
05/28/20	127.000	23,157.91	Various	23,158.59	...	-0.68	Total of 4 transactions
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	30.000	5,470.37	05/27/20	5,470.50	0.13 W	0.00	1 of 2 - Sale ²⁵
	3.000	547.04	05/27/20	547.05	0.01 W	0.00	2 of 2 - Sale ²⁵
05/28/20	33.000	6,017.41	Various	6,017.55	0.14 W	0.00	Total of 2 transactions
	Security total:	29,175.32		29,176.14	0.14 W	-0.68	
XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol:							
16 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	198.000	522.68	05/21/20	663.30	...	-140.62	1 of 16 - Sale ²⁵
	60.000	158.39	05/21/20	243.61	...	-85.22	2 of 16 - Sale ²⁵
	100.000	263.98	05/21/20	335.00	...	-71.02	3 of 16 - Sale ²⁵
	43.000	113.52	05/21/20	174.59	...	-61.07	4 of 16 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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XTANT MEDICAL HOLDINGS, INC. / CUSIP: 98420P308 / Symbol: (cont'd)							
	80.000	211.19	05/21/20	268.00	...	-56.81	5 of 16 - Sale ²⁵
	30.000	79.20	05/21/20	121.81	...	-42.61	6 of 16 - Sale ²⁵
	30.000	79.20	05/21/20	121.81	...	-42.61	7 of 16 - Sale ²⁵
	55.000	145.19	05/21/20	184.25	...	-39.06	8 of 16 - Sale ²⁵
	20.000	52.80	05/21/20	81.20	...	-28.40	9 of 16 - Sale ²⁵
	10.000	26.40	05/21/20	40.60	...	-14.20	10 of 16 - Sale ²⁵
	7.000	18.48	05/21/20	28.42	...	-9.94	11 of 16 - Sale ²⁵
	13.000	34.32	05/21/20	43.55	...	-9.23	12 of 16 - Sale ²⁵
	100.000	287.99	05/21/20	225.00	...	62.99	13 of 16 - Sale ²⁵
	397.000	1,147.26	05/21/20	893.25	...	254.01	14 of 16 - Sale ²⁵
	567.000	1,632.85	05/21/20	1,275.75	...	357.10	15 of 16 - Sale ²⁵
	936.000	2,704.86	05/21/20	2,106.00	...	598.86	16 of 16 - Sale ²⁵
05/21/20	2,646.000	7,478.31	Various	6,806.14	...	672.17	Total of 16 transactions
05/21/20	354.000	934.49	05/21/20	1,185.90	142.04 W	-109.37	Sale ²⁵
	Security total:	8,412.80		7,992.04	142.04 W	562.80	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	14.000	12.04	05/22/20	12.04	...	0.00	1 of 3 - Sale ²⁵
	1.000	0.86	05/22/20	0.86	...	0.00	2 of 3 - Sale ²⁵
	100.000	85.98	05/22/20	85.02	...	0.96	3 of 3 - Sale ²⁵
05/22/20	115.000	98.88	Various	97.92	...	0.96	Total of 3 transactions
172 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	622.000	565.93	05/22/20	578.46	12.53 W	0.00	1 of 172 - Sale ²⁵
	678.000	616.88	05/22/20	630.54	13.66 W	0.00	2 of 172 - Sale ²⁵
	101.000	91.90	05/22/20	95.96	4.06 W	0.00	3 of 172 - Sale ²⁵
	79.000	71.88	05/22/20	76.65	4.77 W	0.00	4 of 172 - Sale ²⁵
	521.000	474.03	05/22/20	505.52	31.49 W	0.00	5 of 172 - Sale ²⁵
	521.000	474.04	05/22/20	495.03	20.99 W	0.00	6 of 172 - Sale ²⁵
	678.000	616.88	05/22/20	644.20	27.32 W	0.00	7 of 172 - Sale ²⁵
	101.000	91.90	05/22/20	97.99	6.09 W	0.00	8 of 172 - Sale ²⁵
	1,199.000	1,090.91	05/22/20	1,115.07	24.16 W	0.00	9 of 172 - Sale ²⁵
	501.000	455.84	05/22/20	465.93	10.09 W	0.00	10 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	11 of 172 - Sale ²⁵

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	12 of 172 - Sale ²⁵
	300.000	260.95	05/22/20	279.09	18.14 W	0.00	13 of 172 - Sale ²⁵
	99.000	86.12	05/22/20	92.10	5.98 W	0.00	14 of 172 - Sale ²⁵
	101.000	87.85	05/22/20	95.98	8.13 W	0.00	15 of 172 - Sale ²⁵
	205.000	178.32	05/22/20	186.58	8.26 W	0.00	16 of 172 - Sale ²⁵
	50.000	43.50	05/22/20	45.51	2.01 W	0.00	17 of 172 - Sale ²⁵
	845.000	735.03	05/22/20	769.08	34.05 W	0.00	18 of 172 - Sale ²⁵
	1.000	0.87	05/22/20	0.91	0.04 W	0.00	19 of 172 - Sale ²⁵
	99.000	86.12	05/22/20	90.10	3.98 W	0.00	20 of 172 - Sale ²⁵
	55.000	47.84	05/22/20	50.06	2.22 W	0.00	21 of 172 - Sale ²⁵
	445.000	387.09	05/22/20	405.01	17.92 W	0.00	22 of 172 - Sale ²⁵
	455.000	395.78	05/22/20	432.45	36.67 W	0.00	23 of 172 - Sale ²⁵
	35.000	30.45	05/22/20	33.27	2.82 W	0.00	24 of 172 - Sale ²⁵
	1.000	0.87	05/22/20	0.95	0.08 W	0.00	25 of 172 - Sale ²⁵
	79.000	68.72	05/22/20	75.08	6.36 W	0.00	26 of 172 - Sale ²⁵
	99.000	86.11	05/22/20	94.09	7.98 W	0.00	27 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	95.04	8.05 W	0.00	28 of 172 - Sale ²⁵
	100.000	86.98	05/22/20	95.04	8.06 W	0.00	29 of 172 - Sale ²⁵
	101.000	87.86	05/22/20	98.02	10.16 W	0.00	30 of 172 - Sale ²⁵
	191.000	166.14	05/22/20	177.69	11.55 W	0.00	31 of 172 - Sale ²⁵
	300.000	260.96	05/22/20	285.14	24.18 W	0.00	32 of 172 - Sale ²⁵
	30.000	26.10	05/22/20	28.51	2.41 W	0.00	33 of 172 - Sale ²⁵
	50.000	43.49	05/22/20	46.51	3.02 W	0.00	34 of 172 - Sale ²⁵
	14.000	12.18	05/22/20	13.02	0.84 W	0.00	35 of 172 - Sale ²⁵
	166.000	144.40	05/22/20	154.43	10.03 W	0.00	36 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	37 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	38 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	39 of 172 - Sale ²⁵
	50.000	43.50	05/22/20	46.51	3.01 W	0.00	40 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	41 of 172 - Sale ²⁵
	100.000	86.99	05/22/20	93.03	6.04 W	0.00	42 of 172 - Sale ²⁵
	1.000	0.87	05/22/20	0.93	0.06 W	0.00	43 of 172 - Sale ²⁵
	99.000	86.12	05/22/20	92.09	5.97 W	0.00	44 of 172 - Sale ²⁵
	129.000	112.21	05/22/20	120.01	7.80 W	0.00	45 of 172 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	100.000	86.98	05/22/20	89.00	2.02 W	0.00	46 of 172 - Sale ²⁵
	55.000	47.84	05/22/20	51.17	3.33 W	0.00	47 of 172 - Sale ²⁵
	345.000	300.10	05/22/20	320.94	20.84 W	0.00	48 of 172 - Sale ²⁵
	215.000	187.02	05/22/20	208.68	21.66 W	0.00	49 of 172 - Sale ²⁵
	100.000	86.98	05/22/20	93.03	6.05 W	0.00	50 of 172 - Sale ²⁵
	1.000	0.87	05/22/20	0.97	0.10 W	0.00	51 of 172 - Sale ²⁵
	9.000	7.83	05/22/20	8.73	0.90 W	0.00	52 of 172 - Sale ²⁵
	35.000	30.44	05/22/20	33.97	3.53 W	0.00	53 of 172 - Sale ²⁵
	240.000	208.77	05/22/20	232.94	24.17 W	0.00	54 of 172 - Sale ²⁵
	14.000	12.04	05/22/20	12.46	0.42 W	0.00	55 of 172 - Sale ²⁵
	21.000	18.06	05/22/20	19.12	1.06 W	0.00	56 of 172 - Sale ²⁵
	50.000	42.99	05/22/20	44.52	1.53 W	0.00	57 of 172 - Sale ²⁵
	79.000	67.93	05/22/20	71.93	4.00 W	0.00	58 of 172 - Sale ²⁵
	79.000	67.93	05/22/20	70.34	2.41 W	0.00	59 of 172 - Sale ²⁵
	99.000	85.13	05/22/20	90.15	5.02 W	0.00	60 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	91.05	5.06 W	0.00	61 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	91.06	5.07 W	0.00	62 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	89.04	3.06 W	0.00	63 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	89.04	3.05 W	0.00	64 of 172 - Sale ²⁵
	101.000	86.84	05/22/20	93.99	7.15 W	0.00	65 of 172 - Sale ²⁵
	166.000	142.74	05/22/20	147.81	5.07 W	0.00	66 of 172 - Sale ²⁵
	191.000	164.23	05/22/20	170.08	5.85 W	0.00	67 of 172 - Sale ²⁵
	300.000	257.96	05/22/20	273.18	15.22 W	0.00	68 of 172 - Sale ²⁵
	1.000	0.86	05/22/20	0.89	0.03 W	0.00	69 of 172 - Sale ²⁵
	21.000	18.06	05/22/20	18.70	0.64 W	0.00	70 of 172 - Sale ²⁵
	50.000	42.99	05/22/20	44.51	1.52 W	0.00	71 of 172 - Sale ²⁵
	55.000	47.29	05/22/20	48.98	1.69 W	0.00	72 of 172 - Sale ²⁵
	99.000	85.13	05/22/20	88.14	3.01 W	0.00	73 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	89.04	3.06 W	0.00	74 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	89.04	3.06 W	0.00	75 of 172 - Sale ²⁵
	129.000	110.92	05/22/20	114.87	3.95 W	0.00	76 of 172 - Sale ²⁵
	245.000	210.67	05/22/20	218.15	7.48 W	0.00	77 of 172 - Sale ²⁵
	1.000	0.86	05/22/20	0.93	0.07 W	0.00	78 of 172 - Sale ²⁵
	1.000	0.86	05/22/20	0.90	0.04 W	0.00	79 of 172 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	9.000	7.74	05/22/20	8.37	0.63 W	0.00	80 of 172 - Sale ²⁵
	21.000	18.06	05/22/20	18.49	0.43 W	0.00	81 of 172 - Sale ²⁵
	35.000	30.09	05/22/20	32.58	2.49 W	0.00	82 of 172 - Sale ²⁵
	79.000	67.93	05/22/20	69.57	1.64 W	0.00	83 of 172 - Sale ²⁵
	99.000	85.13	05/22/20	87.19	2.06 W	0.00	84 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	89.05	3.06 W	0.00	85 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	88.06	2.07 W	0.00	86 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	88.07	2.08 W	0.00	87 of 172 - Sale ²⁵
	215.000	184.87	05/22/20	200.11	15.24 W	0.00	88 of 172 - Sale ²⁵
	240.000	206.37	05/22/20	223.37	17.00 W	0.00	89 of 172 - Sale ²⁵
	300.000	257.95	05/22/20	264.22	6.27 W	0.00	90 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	89.04	3.05 W	0.00	91 of 172 - Sale ²⁵
	50.000	42.99	05/22/20	43.03	0.04 W	0.00	92 of 172 - Sale ²⁵
	79.000	67.93	05/22/20	67.99	0.06 W	0.00	93 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	90.08	4.10 W	0.00	94 of 172 - Sale ²⁵
	166.000	142.74	05/22/20	142.85	0.11 W	0.00	95 of 172 - Sale ²⁵
	191.000	164.23	05/22/20	164.38	0.15 W	0.00	96 of 172 - Sale ²⁵
	21.000	18.06	05/22/20	18.07	0.01 W	0.00	97 of 172 - Sale ²⁵
	21.000	18.06	05/22/20	18.07	0.01 W	0.00	98 of 172 - Sale ²⁵
	50.000	42.99	05/22/20	43.02	0.03 W	0.00	99 of 172 - Sale ²⁵
	79.000	67.93	05/22/20	67.98	0.05 W	0.00	100 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	86.05	0.07 W	0.00	101 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	86.06	0.08 W	0.00	102 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	86.06	0.08 W	0.00	103 of 172 - Sale ²⁵
	29.000	24.94	05/22/20	24.96	0.02 W	0.00	104 of 172 - Sale ²⁵
	55.000	47.29	05/22/20	47.34	0.05 W	0.00	105 of 172 - Sale ²⁵
	99.000	85.13	05/22/20	85.18	0.05 W	0.00	106 of 172 - Sale ²⁵
	100.000	85.98	05/22/20	86.05	0.07 W	0.00	107 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	86.06	0.07 W	0.00	108 of 172 - Sale ²⁵
	100.000	85.99	05/22/20	86.06	0.07 W	0.00	109 of 172 - Sale ²⁵
	245.000	210.66	05/22/20	210.83	0.17 W	0.00	110 of 172 - Sale ²⁵
	185.000	159.08	05/22/20	166.65	7.57 W	0.00	111 of 172 - Sale ²⁵
	215.000	184.87	05/22/20	193.69	8.82 W	0.00	112 of 172 - Sale ²⁵
	50.000	48.72	05/22/20	52.10	3.38 W	0.00	113 of 172 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	1.000	0.97	05/22/20	1.04	0.07 W	0.00	114 of 172 - Sale ²⁵
	5.000	4.87	05/22/20	5.21	0.34 W	0.00	115 of 172 - Sale ²⁵
	9.000	8.77	05/22/20	9.38	0.61 W	0.00	116 of 172 - Sale ²⁵
	35.000	34.11	05/22/20	36.51	2.40 W	0.00	117 of 172 - Sale ²⁵
	1.000	0.97	05/22/20	1.01	0.04 W	0.00	118 of 172 - Sale ²⁵
	21.000	20.37	05/22/20	20.84	0.47 W	0.00	119 of 172 - Sale ²⁵
	50.000	48.49	05/22/20	48.64	0.15 W	0.00	120 of 172 - Sale ²⁵
	58.000	56.25	05/22/20	56.42	0.17 W	0.00	121 of 172 - Sale ²⁵
	79.000	76.62	05/22/20	78.43	1.81 W	0.00	122 of 172 - Sale ²⁵
	99.000	96.02	05/22/20	98.29	2.27 W	0.00	123 of 172 - Sale ²⁵
	100.000	96.98	05/22/20	99.27	2.29 W	0.00	124 of 172 - Sale ²⁵
	100.000	96.99	05/22/20	99.28	2.29 W	0.00	125 of 172 - Sale ²⁵
	100.000	96.98	05/22/20	101.30	4.32 W	0.00	126 of 172 - Sale ²⁵
	191.000	185.24	05/22/20	185.80	0.56 W	0.00	127 of 172 - Sale ²⁵
	300.000	290.95	05/22/20	297.87	6.92 W	0.00	128 of 172 - Sale ²⁵
	21.000	20.37	05/22/20	20.42	0.05 W	0.00	129 of 172 - Sale ²⁵
	79.000	76.62	05/22/20	76.85	0.23 W	0.00	130 of 172 - Sale ²⁵
	9.000	8.73	05/22/20	8.75	0.02 W	0.00	131 of 172 - Sale ²⁵
	100.000	96.98	05/22/20	97.27	0.29 W	0.00	132 of 172 - Sale ²⁵
	108.000	104.75	05/22/20	105.05	0.30 W	0.00	133 of 172 - Sale ²⁵
	21.000	20.37	05/22/20	20.42	0.05 W	0.00	134 of 172 - Sale ²⁵
	50.000	48.50	05/22/20	48.63	0.13 W	0.00	135 of 172 - Sale ²⁵
	70.000	67.89	05/22/20	68.09	0.20 W	0.00	136 of 172 - Sale ²⁵
	59.000	57.22	05/22/20	57.40	0.18 W	0.00	137 of 172 - Sale ²⁵
	29.000	28.13	05/22/20	28.21	0.08 W	0.00	138 of 172 - Sale ²⁵
	30.000	29.10	05/22/20	29.18	0.08 W	0.00	139 of 172 - Sale ²⁵
	41.000	39.76	05/22/20	39.88	0.12 W	0.00	140 of 172 - Sale ²⁵
	100.000	96.99	05/22/20	97.28	0.29 W	0.00	141 of 172 - Sale ²⁵
	100.000	96.98	05/22/20	97.27	0.29 W	0.00	142 of 172 - Sale ²⁵
	35.000	33.95	05/22/20	34.04	0.09 W	0.00	143 of 172 - Sale ²⁵
	55.000	53.34	05/22/20	53.51	0.17 W	0.00	144 of 172 - Sale ²⁵
	34.000	32.98	05/22/20	33.06	0.08 W	0.00	145 of 172 - Sale ²⁵
	210.000	203.67	05/22/20	204.27	0.60 W	0.00	146 of 172 - Sale ²⁵
	100.000	96.98	05/22/20	97.27	0.29 W	0.00	147 of 172 - Sale ²⁵

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	16.000	15.52	05/22/20	15.56	0.04 W	0.00	148 of 172 - Sale ²⁵
	35.000	33.95	05/22/20	34.04	0.09 W	0.00	149 of 172 - Sale ²⁵
	16.000	15.52	05/22/20	16.63	1.11 W	0.00	150 of 172 - Sale ²⁵
	84.000	81.47	05/22/20	81.71	0.24 W	0.00	151 of 172 - Sale ²⁵
	185.000	179.43	05/22/20	187.39	7.96 W	0.00	152 of 172 - Sale ²⁵
	215.000	208.52	05/22/20	217.80	9.28 W	0.00	153 of 172 - Sale ²⁵
	1.000	0.97	05/22/20	1.04	0.07 W	0.00	154 of 172 - Sale ²⁵
	5.000	4.85	05/22/20	5.20	0.35 W	0.00	155 of 172 - Sale ²⁵
	9.000	8.73	05/22/20	9.36	0.63 W	0.00	156 of 172 - Sale ²⁵
	21.000	20.37	05/22/20	20.88	0.51 W	0.00	157 of 172 - Sale ²⁵
	35.000	33.94	05/22/20	36.42	2.48 W	0.00	158 of 172 - Sale ²⁵
	34.000	32.98	05/22/20	35.35	2.37 W	0.00	159 of 172 - Sale ²⁵
	79.000	76.62	05/22/20	78.60	1.98 W	0.00	160 of 172 - Sale ²⁵
	16.000	15.52	05/22/20	15.92	0.40 W	0.00	161 of 172 - Sale ²⁵
	100.000	96.98	05/22/20	99.49	2.51 W	0.00	162 of 172 - Sale ²⁵
	100.000	96.99	05/22/20	99.49	2.50 W	0.00	163 of 172 - Sale ²⁵
	300.000	290.95	05/22/20	298.52	7.57 W	0.00	164 of 172 - Sale ²⁵
	1.000	0.97	05/22/20	1.01	0.04 W	0.00	165 of 172 - Sale ²⁵
	83.000	80.50	05/22/20	82.58	2.08 W	0.00	166 of 172 - Sale ²⁵
	100.000	96.99	05/22/20	101.52	4.53 W	0.00	167 of 172 - Sale ²⁵
	16.000	15.52	05/22/20	15.60	0.08 W	0.00	168 of 172 - Sale ²⁵
	50.000	48.49	05/22/20	48.75	0.26 W	0.00	169 of 172 - Sale ²⁵
	58.000	56.25	05/22/20	56.55	0.30 W	0.00	170 of 172 - Sale ²⁵
	175.000	169.72	05/22/20	170.61	0.89 W	0.00	171 of 172 - Sale ²⁵
	817.000	792.38	05/22/20	794.11	1.73 W	0.00	172 of 172 - Sale ²⁵
05/22/20	21,887.000	19,680.39	Various	20,458.06	777.67 W	0.00	Total of 172 transactions
	29 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	9.000	8.91	05/26/20	8.75	...	0.16	1 of 29 - Sale ²⁵
	10.000	9.90	05/26/20	9.72	...	0.18	2 of 29 - Sale ²⁵
	13.000	12.87	05/26/20	12.64	...	0.23	3 of 29 - Sale ²⁵
	16.000	15.84	05/26/20	15.60	...	0.24	4 of 29 - Sale ²⁵
	16.000	15.84	05/26/20	15.56	...	0.28	5 of 29 - Sale ²⁵
	8.000	7.92	05/26/20	7.62	...	0.30	6 of 29 - Sale ²⁵
	8.000	7.92	05/26/20	7.62	...	0.30	7 of 29 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 801799297
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	21.000	20.79	05/26/20	20.42	...	0.37	8 of 29 - Sale ²⁵
	29.000	28.71	05/26/20	28.21	...	0.50	9 of 29 - Sale ²⁵
	30.000	29.70	05/26/20	29.18	...	0.52	10 of 29 - Sale ²⁵
	34.000	33.65	05/26/20	33.06	...	0.59	11 of 29 - Sale ²⁵
	35.000	34.64	05/26/20	34.04	...	0.60	12 of 29 - Sale ²⁵
	35.000	34.64	05/26/20	34.04	...	0.60	13 of 29 - Sale ²⁵
	41.000	40.58	05/26/20	39.89	...	0.69	14 of 29 - Sale ²⁵
	50.000	49.49	05/26/20	48.76	...	0.73	15 of 29 - Sale ²⁵
	58.000	57.41	05/26/20	56.56	...	0.85	16 of 29 - Sale ²⁵
	50.000	49.49	05/26/20	48.63	...	0.86	17 of 29 - Sale ²⁵
	55.000	54.44	05/26/20	53.52	...	0.92	18 of 29 - Sale ²⁵
	59.000	58.40	05/26/20	57.41	...	0.99	19 of 29 - Sale ²⁵
	70.000	69.29	05/26/20	68.10	...	1.19	20 of 29 - Sale ²⁵
	84.000	83.15	05/26/20	81.72	...	1.43	21 of 29 - Sale ²⁵
	100.000	98.98	05/26/20	97.29	...	1.69	22 of 29 - Sale ²⁵
	100.000	98.99	05/26/20	97.29	...	1.70	23 of 29 - Sale ²⁵
	100.000	98.99	05/26/20	97.29	...	1.70	24 of 29 - Sale ²⁵
	100.000	98.99	05/26/20	97.29	...	1.70	25 of 29 - Sale ²⁵
	175.000	173.22	05/26/20	170.64	...	2.58	26 of 29 - Sale ²⁵
	79.000	78.20	05/26/20	75.28	...	2.92	27 of 29 - Sale ²⁵
	210.000	207.87	05/26/20	204.30	...	3.57	28 of 29 - Sale ²⁵
	100.000	98.99	05/26/20	95.28	...	3.71	29 of 29 - Sale ²⁵
05/26/20	1,695.000	1,677.81	Various	1,645.71	...	32.10	Total of 29 transactions
	19 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	5.000	4.95	05/26/20	5.07	0.12 W	0.00	1 of 19 - Sale ²⁵
	215.000	212.82	05/26/20	217.83	5.01 W	0.00	2 of 19 - Sale ²⁵
	30.000	29.70	05/26/20	30.39	0.69 W	0.00	3 of 19 - Sale ²⁵
	1.000	0.99	05/26/20	1.04	0.05 W	0.00	4 of 19 - Sale ²⁵
	1.000	0.99	05/26/20	1.01	0.02 W	0.00	5 of 19 - Sale ²⁵
	5.000	4.95	05/26/20	5.20	0.25 W	0.00	6 of 19 - Sale ²⁵
	9.000	8.91	05/26/20	9.36	0.45 W	0.00	7 of 19 - Sale ²⁵
	16.000	15.84	05/26/20	16.63	0.79 W	0.00	8 of 19 - Sale ²⁵
	16.000	15.84	05/26/20	15.92	0.08 W	0.00	9 of 19 - Sale ²⁵
	21.000	20.79	05/26/20	20.88	0.09 W	0.00	10 of 19 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 801799297
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	34.000	33.65	05/26/20	35.35	1.70 W	0.00	11 of 19 - Sale ²⁵
	35.000	34.64	05/26/20	36.43	1.79 W	0.00	12 of 19 - Sale ²⁵
	79.000	78.20	05/26/20	78.61	0.41 W	0.00	13 of 19 - Sale ²⁵
	83.000	82.16	05/26/20	82.59	0.43 W	0.00	14 of 19 - Sale ²⁵
	100.000	98.98	05/26/20	99.51	0.53 W	0.00	15 of 19 - Sale ²⁵
	100.000	98.98	05/26/20	99.50	0.52 W	0.00	16 of 19 - Sale ²⁵
	100.000	98.98	05/26/20	101.53	2.55 W	0.00	17 of 19 - Sale ²⁵
	150.000	148.48	05/26/20	151.95	3.47 W	0.00	18 of 19 - Sale ²⁵
	300.000	296.96	05/26/20	298.57	1.61 W	0.00	19 of 19 - Sale ²⁵
05/26/20	1,300.000	1,286.81	Various	1,307.37	20.56 W	0.00	Total of 19 transactions
<i>38 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	179.98	06/10/20	181.57	...	-1.59	1 of 38 - Sale ²⁵
	35.000	63.00	06/10/20	64.11	...	-1.11	2 of 38 - Sale ²⁵
	34.000	61.20	06/10/20	62.24	...	-1.04	3 of 38 - Sale ²⁵
	148.000	266.37	06/10/20	266.93	...	-0.56	4 of 38 - Sale ²⁵
	149.000	268.18	06/10/20	268.72	...	-0.54	5 of 38 - Sale ²⁵
	16.000	28.80	06/10/20	29.27	...	-0.47	6 of 38 - Sale ²⁵
	9.000	16.20	06/10/20	16.47	...	-0.27	7 of 38 - Sale ²⁵
	52.000	93.59	06/10/20	93.79	...	-0.20	8 of 38 - Sale ²⁵
	5.000	9.00	06/10/20	9.15	...	-0.15	9 of 38 - Sale ²⁵
	30.000	54.00	06/10/20	54.10	...	-0.10	10 of 38 - Sale ²⁵
	15.000	27.00	06/10/20	27.05	...	-0.05	11 of 38 - Sale ²⁵
	1.000	1.80	06/10/20	1.83	...	-0.03	12 of 38 - Sale ²⁵
	5.000	9.00	06/10/20	9.02	...	-0.02	13 of 38 - Sale ²⁵
	1.000	1.80	06/10/20	1.81	...	-0.01	14 of 38 - Sale ²⁵
	1.000	1.80	06/10/20	1.80	...	0.00	15 of 38 - Sale ²⁵
	2.000	3.60	06/10/20	3.59	...	0.01	16 of 38 - Sale ²⁵
	3.000	5.40	06/10/20	5.38	...	0.02	17 of 38 - Sale ²⁵
	4.000	7.20	06/10/20	7.17	...	0.03	18 of 38 - Sale ²⁵
	9.000	16.20	06/10/20	16.13	...	0.07	19 of 38 - Sale ²⁵
	16.000	28.80	06/10/20	28.72	...	0.08	20 of 38 - Sale ²⁵
	22.000	39.60	06/10/20	39.50	...	0.10	21 of 38 - Sale ²⁵
	21.000	37.80	06/10/20	37.68	...	0.12	22 of 38 - Sale ²⁵
	34.000	61.19	06/10/20	61.05	...	0.14	23 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	22.000	39.60	06/10/20	39.42	...	0.18	24 of 38 - Sale ²⁵
	48.000	86.39	06/10/20	86.18	...	0.21	25 of 38 - Sale ²⁵
	52.000	93.59	06/10/20	93.37	...	0.22	26 of 38 - Sale ²⁵
	37.000	66.60	06/10/20	66.31	...	0.29	27 of 38 - Sale ²⁵
	79.000	142.19	06/10/20	141.83	...	0.36	28 of 38 - Sale ²⁵
	83.000	149.39	06/10/20	149.01	...	0.38	29 of 38 - Sale ²⁵
	50.000	90.00	06/10/20	89.61	...	0.39	30 of 38 - Sale ²⁵
	100.000	179.99	06/10/20	179.54	...	0.45	31 of 38 - Sale ²⁵
	100.000	179.99	06/10/20	179.22	...	0.77	32 of 38 - Sale ²⁵
	242.000	435.56	06/10/20	434.52	...	1.04	33 of 38 - Sale ²⁵
	144.000	259.18	06/10/20	258.09	...	1.09	34 of 38 - Sale ²⁵
	438.000	788.33	06/10/20	785.02	...	3.31	35 of 38 - Sale ²⁵
	499.000	898.16	06/10/20	893.21	...	4.95	36 of 38 - Sale ²⁵
	558.000	1,004.31	06/10/20	998.91	...	5.40	37 of 38 - Sale ²⁵
	1,337.000	2,406.39	06/10/20	2,393.45	...	12.94	38 of 38 - Sale ²⁵
06/10/20	4,501.000	8,101.18	Various	8,074.77	...	26.41	Total of 38 transactions
	35 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	438.000	722.63	06/10/20	723.63	1.00 W	0.00	1 of 35 - Sale ²⁵
	200.000	329.98	06/10/20	330.42	0.44 W	0.00	2 of 35 - Sale ²⁵
	25.000	41.25	06/10/20	41.30	0.05 W	0.00	3 of 35 - Sale ²⁵
	1.000	1.65	06/10/20	1.70	0.05 W	0.00	4 of 35 - Sale ²⁵
	1.000	1.65	06/10/20	1.67	0.02 W	0.00	5 of 35 - Sale ²⁵
	5.000	8.25	06/10/20	8.37	0.12 W	0.00	6 of 35 - Sale ²⁵
	5.000	8.25	06/10/20	8.50	0.25 W	0.00	7 of 35 - Sale ²⁵
	9.000	14.85	06/10/20	15.30	0.45 W	0.00	8 of 35 - Sale ²⁵
	16.000	26.40	06/10/20	27.19	0.79 W	0.00	9 of 35 - Sale ²⁵
	16.000	26.40	06/10/20	26.48	0.08 W	0.00	10 of 35 - Sale ²⁵
	21.000	34.65	06/10/20	34.74	0.09 W	0.00	11 of 35 - Sale ²⁵
	30.000	49.50	06/10/20	50.19	0.69 W	0.00	12 of 35 - Sale ²⁵
	34.000	56.09	06/10/20	57.80	1.71 W	0.00	13 of 35 - Sale ²⁵
	35.000	57.74	06/10/20	59.54	1.80 W	0.00	14 of 35 - Sale ²⁵
	79.000	130.34	06/10/20	130.76	0.42 W	0.00	15 of 35 - Sale ²⁵
	83.000	136.94	06/10/20	137.38	0.44 W	0.00	16 of 35 - Sale ²⁵
	100.000	164.98	06/10/20	165.53	0.55 W	0.00	17 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	100.000	164.98	06/10/20	165.52	0.54 W	0.00	18 of 35 - Sale ²⁵
	100.000	164.98	06/10/20	167.55	2.57 W	0.00	19 of 35 - Sale ²⁵
	150.000	247.48	06/10/20	250.97	3.49 W	0.00	20 of 35 - Sale ²⁵
	215.000	354.72	06/10/20	359.76	5.04 W	0.00	21 of 35 - Sale ²⁵
	300.000	494.95	06/10/20	496.61	1.66 W	0.00	22 of 35 - Sale ²⁵
	144.000	237.58	06/10/20	237.91	0.33 W	0.00	23 of 35 - Sale ²⁵
	1,895.000	3,126.44	06/10/20	3,126.75	0.31 W	0.00	24 of 35 - Sale ²⁵
	148.000	266.38	06/10/20	268.39	2.01 W	0.00	25 of 35 - Sale ²⁵
	1.000	1.80	06/10/20	1.84	0.04 W	0.00	26 of 35 - Sale ²⁵
	5.000	9.00	06/10/20	9.07	0.07 W	0.00	27 of 35 - Sale ²⁵
	5.000	9.00	06/10/20	9.20	0.20 W	0.00	28 of 35 - Sale ²⁵
	9.000	16.20	06/10/20	16.56	0.36 W	0.00	29 of 35 - Sale ²⁵
	16.000	28.80	06/10/20	29.43	0.63 W	0.00	30 of 35 - Sale ²⁵
	30.000	53.99	06/10/20	54.39	0.40 W	0.00	31 of 35 - Sale ²⁵
	34.000	61.19	06/10/20	62.57	1.38 W	0.00	32 of 35 - Sale ²⁵
	35.000	62.99	06/10/20	64.45	1.46 W	0.00	33 of 35 - Sale ²⁵
	67.000	120.59	06/10/20	121.50	0.91 W	0.00	34 of 35 - Sale ²⁵
	150.000	269.97	06/10/20	271.99	2.02 W	0.00	35 of 35 - Sale ²⁵
06/10/20	4,502.000	7,502.59	Various	7,534.96	32.37 W	0.00	Total of 35 transactions
	Security total:	38,347.66		39,118.79	830.60 W	59.47	
YUNHONG CTI LTD. COMMON STOCK / CUSIP: 98873Q100 / Symbol:							
6 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	787.000	1,613.22	12/10/20	1,692.05	...	-78.83	1 of 6 - Sale ²⁵
	200.000	407.98	12/10/20	430.00	...	-22.02	2 of 6 - Sale ²⁵
	148.000	300.42	12/10/20	318.20	...	-17.78	3 of 6 - Sale ²⁵
	100.000	203.99	12/10/20	215.00	...	-11.01	4 of 6 - Sale ²⁵
	100.000	203.99	12/10/20	215.00	...	-11.01	5 of 6 - Sale ²⁵
	6.000	12.24	12/10/20	12.90	...	-0.66	6 of 6 - Sale ²⁵
12/11/20	1,341.000	2,741.84	Various	2,883.15	...	-141.31	Total of 6 transactions

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Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	21.10	04/08/20	11.38	...	9.72	1 of 3 - Sale ²⁵
	4,900.000	1,033.78	04/08/20	557.62	...	476.16	2 of 3 - Sale ²⁵
	15,000.000	3,164.64	04/08/20	1,650.00	...	1,514.64	3 of 3 - Sale ²⁵
05/26/20	20,000.000	4,219.52	Various	2,219.00	...	2,000.52	Total of 3 transactions
17 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	20.000	4.22	05/21/20	4.52	0.30 W	0.00	1 of 17 - Sale ²⁵
	7,039.000	1,485.06	05/21/20	1,590.11	105.05 W	0.00	2 of 17 - Sale ²⁵
	20.000	4.22	05/21/20	4.82	0.60 W	0.00	3 of 17 - Sale ²⁵
	580.000	122.31	05/21/20	131.02	8.71 W	0.00	4 of 17 - Sale ²⁵
	600.000	126.53	05/21/20	135.54	9.01 W	0.00	5 of 17 - Sale ²⁵
	600.000	126.53	05/21/20	135.54	9.01 W	0.00	6 of 17 - Sale ²⁵
	2,100.000	442.85	05/21/20	474.39	31.54 W	0.00	7 of 17 - Sale ²⁵
	1,400.000	295.23	05/21/20	316.26	21.03 W	0.00	8 of 17 - Sale ²⁵
	600.000	126.53	05/21/20	135.54	9.01 W	0.00	9 of 17 - Sale ²⁵
	700.000	147.62	05/21/20	158.13	10.51 W	0.00	10 of 17 - Sale ²⁵
	1,300.000	274.15	05/21/20	293.67	19.52 W	0.00	11 of 17 - Sale ²⁵
	1,200.000	253.06	05/21/20	271.08	18.02 W	0.00	12 of 17 - Sale ²⁵
	1,841.000	388.23	05/21/20	415.88	27.65 W	0.00	13 of 17 - Sale ²⁵
	700.000	147.62	05/21/20	158.13	10.51 W	0.00	14 of 17 - Sale ²⁵
	600.000	126.53	05/21/20	135.54	9.01 W	0.00	15 of 17 - Sale ²⁵
	600.000	126.53	05/21/20	135.54	9.01 W	0.00	16 of 17 - Sale ²⁵
	102.000	21.51	05/21/20	23.04	1.53 W	0.00	17 of 17 - Sale ²⁵
05/26/20	20,002.000	4,218.73	Various	4,518.75	300.02 W	0.00	Total of 17 transactions
05/27/20	19,918.000	2,945.41	05/27/20	2,848.27	...	97.14	Sale ²⁵
21 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	20.000	2.96	05/27/20	3.46	0.50 W	0.00	1 of 21 - Sale ²⁵
	80.000	11.83	05/27/20	12.63	0.80 W	0.00	2 of 21 - Sale ²⁵
	580.000	85.77	05/27/20	91.65	5.88 W	0.00	3 of 21 - Sale ²⁵
	600.000	88.73	05/27/20	94.81	6.08 W	0.00	4 of 21 - Sale ²⁵
	600.000	88.73	05/27/20	94.81	6.08 W	0.00	5 of 21 - Sale ²⁵
	1,947.000	287.92	05/27/20	307.66	19.74 W	0.00	6 of 21 - Sale ²⁵
	6,959.000	1,029.08	05/27/20	1,099.00	69.92 W	0.00	7 of 21 - Sale ²⁵

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(continued)

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
	153.000	22.63	05/27/20	24.18	1.55 W	0.00	8 of 21 - Sale ²⁵
	547.000	80.89	05/27/20	86.44	5.55 W	0.00	9 of 21 - Sale ²⁵
	20.000	2.96	05/27/20	3.36	0.40 W	0.00	10 of 21 - Sale ²⁵
	80.000	11.83	05/27/20	12.24	0.41 W	0.00	11 of 21 - Sale ²⁵
	102.000	15.08	05/27/20	16.12	1.04 W	0.00	12 of 21 - Sale ²⁵
	600.000	88.73	05/27/20	94.81	6.08 W	0.00	13 of 21 - Sale ²⁵
	600.000	88.73	05/27/20	94.81	6.08 W	0.00	14 of 21 - Sale ²⁵
	600.000	88.73	05/27/20	94.81	6.08 W	0.00	15 of 21 - Sale ²⁵
	700.000	103.51	05/27/20	110.61	7.10 W	0.00	16 of 21 - Sale ²⁵
	700.000	103.51	05/27/20	110.61	7.10 W	0.00	17 of 21 - Sale ²⁵
	853.000	126.14	05/27/20	134.79	8.65 W	0.00	18 of 21 - Sale ²⁵
	1,200.000	177.45	05/27/20	189.62	12.17 W	0.00	19 of 21 - Sale ²⁵
	1,300.000	192.24	05/27/20	205.42	13.18 W	0.00	20 of 21 - Sale ²⁵
	1,841.000	272.24	05/27/20	290.91	18.67 W	0.00	21 of 21 - Sale ²⁵
05/27/20	20,082.000	2,969.69	Various	3,172.75	203.06 W	0.00	Total of 21 transactions
<i>85 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	1,947.000	324.32	05/27/20	337.10	...	-12.78	1 of 85 - Sale ²⁵
	3,479.000	579.52	05/27/20	589.60	...	-10.08	2 of 85 - Sale ²⁵
	1,818.000	302.84	05/27/20	308.08	...	-5.24	3 of 85 - Sale ²⁵
	700.000	116.60	05/27/20	121.20	...	-4.60	4 of 85 - Sale ²⁵
	637.000	106.11	05/27/20	110.29	...	-4.18	5 of 85 - Sale ²⁵
	600.000	99.95	05/27/20	103.88	...	-3.93	6 of 85 - Sale ²⁵
	600.000	99.95	05/27/20	103.88	...	-3.93	7 of 85 - Sale ²⁵
	547.000	91.12	05/27/20	94.71	...	-3.59	8 of 85 - Sale ²⁵
	800.000	133.26	05/27/20	135.58	...	-2.32	9 of 85 - Sale ²⁵
	580.000	96.61	05/27/20	98.35	...	-1.74	10 of 85 - Sale ²⁵
	500.000	83.29	05/27/20	84.73	...	-1.44	11 of 85 - Sale ²⁵
	461.000	76.79	05/27/20	78.17	...	-1.38	12 of 85 - Sale ²⁵
	200.000	33.31	05/27/20	34.63	...	-1.32	13 of 85 - Sale ²⁵
	200.000	33.31	05/27/20	34.63	...	-1.32	14 of 85 - Sale ²⁵
	191.000	31.81	05/27/20	33.07	...	-1.26	15 of 85 - Sale ²⁵
	384.000	63.97	05/27/20	65.11	...	-1.14	16 of 85 - Sale ²⁵
	153.000	25.49	05/27/20	26.49	...	-1.00	17 of 85 - Sale ²⁵
	102.000	16.99	05/27/20	17.67	...	-0.68	18 of 85 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
100.000		16.65	05/27/20	17.32	...	-0.67	19 of 85 - Sale ²⁵
100.000		16.65	05/27/20	17.32	...	-0.67	20 of 85 - Sale ²⁵
100.000		16.65	05/27/20	17.32	...	-0.67	21 of 85 - Sale ²⁵
100.000		16.65	05/27/20	17.32	...	-0.67	22 of 85 - Sale ²⁵
100.000		16.65	05/27/20	17.32	...	-0.67	23 of 85 - Sale ²⁵
100.000		16.65	05/27/20	17.32	...	-0.67	24 of 85 - Sale ²⁵
100.000		16.65	05/27/20	17.32	...	-0.67	25 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	26 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	27 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	28 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	29 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	30 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	31 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	32 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	33 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	34 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	35 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	36 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	37 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.32	...	-0.66	38 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	39 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	40 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	41 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	42 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	43 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	44 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	45 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	46 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	47 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	48 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	49 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	50 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	51 of 85 - Sale ²⁵
100.000		16.66	05/27/20	17.31	...	-0.65	52 of 85 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
	100.000	16.66	05/27/20	17.31	...	-0.65	53 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	54 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	55 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	56 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	57 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	58 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	59 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	60 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	61 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	62 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	63 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	64 of 85 - Sale ²⁵
	100.000	16.66	05/27/20	17.31	...	-0.65	65 of 85 - Sale ²⁵
	89.000	14.82	05/27/20	15.41	...	-0.59	66 of 85 - Sale ²⁵
	89.000	14.82	05/27/20	15.41	...	-0.59	67 of 85 - Sale ²⁵
	89.000	14.83	05/27/20	15.41	...	-0.58	68 of 85 - Sale ²⁵
	89.000	14.83	05/27/20	15.41	...	-0.58	69 of 85 - Sale ²⁵
	83.000	13.82	05/27/20	14.37	...	-0.55	70 of 85 - Sale ²⁵
	183.000	30.48	05/27/20	31.01	...	-0.53	71 of 85 - Sale ²⁵
	162.000	26.98	05/27/20	27.45	...	-0.47	72 of 85 - Sale ²⁵
	139.000	23.15	05/27/20	23.57	...	-0.42	73 of 85 - Sale ²⁵
	20.000	3.33	05/27/20	3.59	...	-0.26	74 of 85 - Sale ²⁵
	35.000	5.83	05/27/20	6.07	...	-0.24	75 of 85 - Sale ²⁵
	20.000	3.33	05/27/20	3.46	...	-0.13	76 of 85 - Sale ²⁵
	80.000	13.33	05/27/20	13.45	...	-0.12	77 of 85 - Sale ²⁵
	17.000	2.83	05/27/20	2.94	...	-0.11	78 of 85 - Sale ²⁵
	11.000	1.83	05/27/20	1.90	...	-0.07	79 of 85 - Sale ²⁵
	11.000	1.83	05/27/20	1.90	...	-0.07	80 of 85 - Sale ²⁵
	11.000	1.83	05/27/20	1.90	...	-0.07	81 of 85 - Sale ²⁵
	9.000	1.50	05/27/20	1.56	...	-0.06	82 of 85 - Sale ²⁵
	17.000	2.83	05/27/20	2.88	...	-0.05	83 of 85 - Sale ²⁵
	8.000	1.33	05/27/20	1.38	...	-0.05	84 of 85 - Sale ²⁵
	5.000	0.83	05/27/20	0.87	...	-0.04	85 of 85 - Sale ²⁵
05/28/20	19,766.000	3,292.55	Various	3,390.88	...	-98.33	Total of 85 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
<i>9 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	600.000	99.95	05/27/20	103.88	2.52 W	-1.41	1 of 9 - Sale ²⁵
	20.000	3.33	05/27/20	3.66	0.33 W	0.00	2 of 9 - Sale ²⁵
	1,980.000	329.83	05/27/20	342.63	12.80 W	0.00	3 of 9 - Sale ²⁵
	200.000	33.32	05/27/20	34.61	1.29 W	0.00	4 of 9 - Sale ²⁵
	500.000	83.29	05/27/20	86.52	3.23 W	0.00	5 of 9 - Sale ²⁵
	800.000	133.26	05/27/20	138.44	5.18 W	0.00	6 of 9 - Sale ²⁵
	580.000	96.61	05/27/20	100.42	3.81 W	0.00	7 of 9 - Sale ²⁵
	600.000	99.95	05/27/20	103.88	3.93 W	0.00	8 of 9 - Sale ²⁵
	3,479.000	579.52	05/27/20	602.04	22.52 W	0.00	9 of 9 - Sale ²⁵
05/28/20	8,759.000	1,459.06	Various	1,516.08	55.61 W	-1.41	Total of 9 transactions
	Security total:	19,104.96		17,665.73	558.69 W	1,997.92	
CHINA CERAMICS CO., LTD COMMON STOCK / CUSIP: G2113X134 / Symbol:							
<i>2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	194.000	240.54	05/20/20	223.10	...	17.44	1 of 2 - Sale ²⁵
	1,406.000	1,743.23	05/20/20	1,616.90	...	126.33	2 of 2 - Sale ²⁵
05/20/20	1,600.000	1,983.77	Various	1,840.00	...	143.77	Total of 2 transactions
OAKTREE ACQUISITION CORP. / CUSIP: G67145105 / Symbol:							
<i>3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	767.97	12/24/20	769.50	...	-1.53	1 of 3 - Sale ²⁵
	50.000	767.98	12/24/20	769.50	...	-1.52	2 of 3 - Sale ²⁵
	25.000	383.99	12/24/20	385.00	...	-1.01	3 of 3 - Sale ²⁵
12/28/20	125.000	1,919.94	Various	1,924.00	...	-4.06	Total of 3 transactions
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
<i>3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	57.99	04/20/20	47.10	...	10.89	1 of 3 - Sale ²⁵
	100.000	57.99	04/20/20	47.10	...	10.89	2 of 3 - Sale ²⁵
	800.000	463.88	04/20/20	376.80	...	87.08	3 of 3 - Sale ²⁵
05/21/20	1,000.000	579.86	Various	471.00	...	108.86	Total of 3 transactions

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
50 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
190.000		267.87	06/09/20	936.84	...	-668.97	1 of 50 - Sale ²⁵
113.000		159.31	06/09/20	471.30	...	-311.99	2 of 50 - Sale ²⁵
600.000		845.90	06/09/20	1,140.00	...	-294.10	3 of 50 - Sale ²⁵
500.000		704.92	06/09/20	950.00	...	-245.08	4 of 50 - Sale ²⁵
86.000		121.25	06/09/20	358.68	...	-237.43	5 of 50 - Sale ²⁵
110.000		155.08	06/09/20	375.15	...	-220.07	6 of 50 - Sale ²⁵
82.000		115.61	06/09/20	279.66	...	-164.05	7 of 50 - Sale ²⁵
90.000		126.89	06/09/20	238.53	...	-111.64	8 of 50 - Sale ²⁵
73.000		102.92	06/09/20	193.48	...	-90.56	9 of 50 - Sale ²⁵
91.000		128.29	06/09/20	172.90	...	-44.61	10 of 50 - Sale ²⁵
13.000		18.33	06/09/20	40.82	...	-22.49	11 of 50 - Sale ²⁵
6.000		8.46	06/09/20	29.58	...	-21.12	12 of 50 - Sale ²⁵
14.000		19.74	06/09/20	37.10	...	-17.36	13 of 50 - Sale ²⁵
4.000		5.64	06/09/20	19.72	...	-14.08	14 of 50 - Sale ²⁵
5.000		7.05	06/09/20	20.85	...	-13.80	15 of 50 - Sale ²⁵
10.000		14.10	06/09/20	26.50	...	-12.40	16 of 50 - Sale ²⁵
4.000		5.64	06/09/20	13.64	...	-8.00	17 of 50 - Sale ²⁵
4.000		9.84	06/09/20	9.16	...	0.68	18 of 50 - Sale ²⁵
86.000		146.18	06/09/20	145.34	...	0.84	19 of 50 - Sale ²⁵
100.000		169.98	06/09/20	169.00	...	0.98	20 of 50 - Sale ²⁵
100.000		169.98	06/09/20	169.00	...	0.98	21 of 50 - Sale ²⁵
100.000		169.98	06/09/20	169.00	...	0.98	22 of 50 - Sale ²⁵
200.000		339.97	06/09/20	338.00	...	1.97	23 of 50 - Sale ²⁵
66.000		110.87	06/09/20	108.24	...	2.63	24 of 50 - Sale ²⁵
618.000		1,050.50	06/09/20	1,044.42	...	6.08	25 of 50 - Sale ²⁵
100.000		214.98	06/09/20	207.00	...	7.98	26 of 50 - Sale ²⁵
100.000		214.98	06/09/20	207.00	...	7.98	27 of 50 - Sale ²⁵
100.000		214.98	06/09/20	207.00	...	7.98	28 of 50 - Sale ²⁵
100.000		214.98	06/09/20	207.00	...	7.98	29 of 50 - Sale ²⁵
100.000		214.99	06/09/20	207.00	...	7.99	30 of 50 - Sale ²⁵
100.000		214.99	06/09/20	207.00	...	7.99	31 of 50 - Sale ²⁵
200.000		429.96	06/09/20	414.00	...	15.96	32 of 50 - Sale ²⁵
200.000		429.96	06/09/20	414.00	...	15.96	33 of 50 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 801799297
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	200.000	429.97	06/09/20	414.00	...	15.97	34 of 50 - Sale ²⁵
	200.000	429.97	06/09/20	414.00	...	15.97	35 of 50 - Sale ²⁵
	200.000	429.97	06/09/20	414.00	...	15.97	36 of 50 - Sale ²⁵
	200.000	429.97	06/09/20	414.00	...	15.97	37 of 50 - Sale ²⁵
	200.000	429.97	06/09/20	414.00	...	15.97	38 of 50 - Sale ²⁵
	300.000	644.95	06/09/20	621.00	...	23.95	39 of 50 - Sale ²⁵
	300.000	644.95	06/09/20	621.00	...	23.95	40 of 50 - Sale ²⁵
	300.000	644.95	06/09/20	621.00	...	23.95	41 of 50 - Sale ²⁵
	700.000	1,175.89	06/09/20	1,148.00	...	27.89	42 of 50 - Sale ²⁵
	400.000	859.93	06/09/20	828.00	...	31.93	43 of 50 - Sale ²⁵
	500.000	1,074.91	06/09/20	1,035.00	...	39.91	44 of 50 - Sale ²⁵
	600.000	1,289.90	06/09/20	1,242.00	...	47.90	45 of 50 - Sale ²⁵
	1,238.000	2,079.64	06/09/20	2,030.32	...	49.32	46 of 50 - Sale ²⁵
	600.000	1,475.89	06/09/20	1,374.00	...	101.89	47 of 50 - Sale ²⁵
	700.000	1,721.88	06/09/20	1,603.00	...	118.88	48 of 50 - Sale ²⁵
	700.000	1,721.88	06/09/20	1,603.00	...	118.88	49 of 50 - Sale ²⁵
	1,600.000	3,439.72	06/09/20	3,312.00	...	127.72	50 of 50 - Sale ²⁵
06/09/20	13,203.000	26,048.46	Various	27,635.23	...	-1,586.77	Total of 50 transactions
	31 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	399.000	694.19	06/09/20	997.50	303.31 W	0.00	1 of 31 - Sale ²⁵
	5.000	8.70	06/09/20	12.50	3.80 W	0.00	2 of 31 - Sale ²⁵
	196.000	341.01	06/09/20	638.99	297.98 W	0.00	3 of 31 - Sale ²⁵
	5.000	8.70	06/09/20	16.30	7.60 W	0.00	4 of 31 - Sale ²⁵
	199.000	346.22	06/09/20	648.78	302.56 W	0.00	5 of 31 - Sale ²⁵
	192.000	334.05	06/09/20	480.00	145.95 W	0.00	6 of 31 - Sale ²⁵
	4.000	6.96	06/09/20	13.04	6.08 W	0.00	7 of 31 - Sale ²⁵
	4.000	6.96	06/09/20	16.08	9.12 W	0.00	8 of 31 - Sale ²⁵
	196.000	341.01	06/09/20	787.98	446.97 W	0.00	9 of 31 - Sale ²⁵
	104.000	180.94	06/09/20	260.00	79.06 W	0.00	10 of 31 - Sale ²⁵
	5.000	8.70	06/09/20	20.10	11.40 W	0.00	11 of 31 - Sale ²⁵
	192.000	334.05	06/09/20	625.95	291.90 W	0.00	12 of 31 - Sale ²⁵
	199.000	346.22	06/09/20	800.06	453.84 W	0.00	13 of 31 - Sale ²⁵
	100.000	173.98	06/09/20	250.00	76.02 W	0.00	14 of 31 - Sale ²⁵
	4.000	6.96	06/09/20	19.12	12.16 W	0.00	15 of 31 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	4.000	6.96	06/09/20	13.04	6.08 W	0.00	16 of 31 - Sale ²⁵
	196.000	341.02	06/09/20	936.97	595.95 W	0.00	17 of 31 - Sale ²⁵
	10.000	17.00	06/09/20	24.50	7.50 W	0.00	18 of 31 - Sale ²⁵
	190.000	322.97	06/09/20	898.81	575.84 W	0.00	19 of 31 - Sale ²⁵
	86.000	146.19	06/09/20	210.72	64.53 W	0.00	20 of 31 - Sale ²⁵
	14.000	23.80	06/09/20	34.30	10.50 W	0.00	21 of 31 - Sale ²⁵
	86.000	146.19	06/09/20	341.47	195.28 W	0.00	22 of 31 - Sale ²⁵
	5.000	8.50	06/09/20	19.85	11.35 W	0.00	23 of 31 - Sale ²⁵
	82.000	139.39	06/09/20	263.25	123.86 W	0.00	24 of 31 - Sale ²⁵
	113.000	192.08	06/09/20	448.68	256.60 W	0.00	25 of 31 - Sale ²⁵
	90.000	152.99	06/09/20	220.52	67.53 W	0.00	26 of 31 - Sale ²⁵
	110.000	186.98	06/09/20	353.13	166.15 W	0.00	27 of 31 - Sale ²⁵
	4.000	6.80	06/09/20	18.92	12.12 W	0.00	28 of 31 - Sale ²⁵
	4.000	6.80	06/09/20	12.84	6.04 W	0.00	29 of 31 - Sale ²⁵
	6.000	10.20	06/09/20	28.38	18.18 W	0.00	30 of 31 - Sale ²⁵
	13.000	18.33	06/09/20	34.45	16.12 W	0.00	31 of 31 - Sale ²⁵
06/09/20	2,817.000	4,864.85	Various	9,446.23	4,581.38 W	0.00	Total of 31 transactions
	Security total:	30,913.31		37,081.46	4,581.38 W	-1,586.77	
SEANERGY MARITIME HOLDINGS CORP COMMON STOCK / CUSIP: Y73760301 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5,800.000	751.55	05/26/20	689.04	...	62.51	1 of 2 - Sale ²⁵
	14,200.000	1,840.01	05/26/20	1,686.96	...	153.05	2 of 2 - Sale ²⁵
05/26/20	20,000.000	2,591.56	Various	2,376.00	...	215.56	Total of 2 transactions
53 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.19	06/08/20	0.18	...	0.01	1 of 53 - Sale ²⁵
	3.000	0.57	06/08/20	0.55	...	0.02	2 of 53 - Sale ²⁵
	3.000	0.57	06/08/20	0.55	...	0.02	3 of 53 - Sale ²⁵
	3.000	0.57	06/08/20	0.55	...	0.02	4 of 53 - Sale ²⁵
	3.000	0.57	06/08/20	0.55	...	0.02	5 of 53 - Sale ²⁵
	17.000	3.23	06/08/20	3.11	...	0.12	6 of 53 - Sale ²⁵
	56.000	10.63	06/08/20	10.25	...	0.38	7 of 53 - Sale ²⁵
	83.000	15.76	06/08/20	15.19	...	0.57	8 of 53 - Sale ²⁵
	86.000	16.33	06/08/20	15.74	...	0.59	9 of 53 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
90.000		17.09	06/08/20	16.47	...	0.62	10 of 53 - Sale ²⁵
97.000		18.42	06/08/20	17.75	...	0.67	11 of 53 - Sale ²⁵
97.000		18.42	06/08/20	17.75	...	0.67	12 of 53 - Sale ²⁵
97.000		18.42	06/08/20	17.75	...	0.67	13 of 53 - Sale ²⁵
100.000		18.99	06/08/20	18.30	...	0.69	14 of 53 - Sale ²⁵
100.000		18.99	06/08/20	18.30	...	0.69	15 of 53 - Sale ²⁵
100.000		18.99	06/08/20	18.30	...	0.69	16 of 53 - Sale ²⁵
100.000		18.99	06/08/20	18.30	...	0.69	17 of 53 - Sale ²⁵
200.000		37.98	06/08/20	36.60	...	1.38	18 of 53 - Sale ²⁵
303.000		57.53	06/08/20	55.45	...	2.08	19 of 53 - Sale ²⁵
400.000		75.95	06/08/20	73.20	...	2.75	20 of 53 - Sale ²⁵
446.000		84.68	06/08/20	81.62	...	3.06	21 of 53 - Sale ²⁵
481.000		91.33	06/08/20	88.02	...	3.31	22 of 53 - Sale ²⁵
500.000		94.94	06/08/20	91.50	...	3.44	23 of 53 - Sale ²⁵
500.000		94.94	06/08/20	91.50	...	3.44	24 of 53 - Sale ²⁵
633.000		120.19	06/08/20	115.84	...	4.35	25 of 53 - Sale ²⁵
700.000		132.91	06/08/20	128.10	...	4.81	26 of 53 - Sale ²⁵
842.000		159.88	06/08/20	154.09	...	5.79	27 of 53 - Sale ²⁵
847.000		160.83	06/08/20	155.00	...	5.83	28 of 53 - Sale ²⁵
853.000		161.97	06/08/20	156.10	...	5.87	29 of 53 - Sale ²⁵
854.000		162.16	06/08/20	156.28	...	5.88	30 of 53 - Sale ²⁵
900.000		170.89	06/08/20	164.70	...	6.19	31 of 53 - Sale ²⁵
912.000		173.17	06/08/20	166.90	...	6.27	32 of 53 - Sale ²⁵
944.000		179.25	06/08/20	172.75	...	6.50	33 of 53 - Sale ²⁵
957.000		181.71	06/08/20	175.13	...	6.58	34 of 53 - Sale ²⁵
1,000.000		189.88	06/08/20	183.00	...	6.88	35 of 53 - Sale ²⁵
1,000.000		189.88	06/08/20	183.00	...	6.88	36 of 53 - Sale ²⁵
1,000.000		189.88	06/08/20	183.00	...	6.88	37 of 53 - Sale ²⁵
1,022.000		194.06	06/08/20	187.02	...	7.04	38 of 53 - Sale ²⁵
1,043.000		198.04	06/08/20	190.87	...	7.17	39 of 53 - Sale ²⁵
1,519.000		288.43	06/08/20	277.98	...	10.45	40 of 53 - Sale ²⁵
1,588.000		301.53	06/08/20	290.60	...	10.93	41 of 53 - Sale ²⁵
1,646.000		312.53	06/08/20	301.22	...	11.31	42 of 53 - Sale ²⁵
1,800.000		341.77	06/08/20	329.40	...	12.37	43 of 53 - Sale ²⁵

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	1,867.000	354.49	06/08/20	341.66	...	12.83	44 of 53 - Sale ²⁵
	2,400.000	455.71	06/08/20	439.20	...	16.51	45 of 53 - Sale ²⁵
	2,497.000	474.12	06/08/20	456.95	...	17.17	46 of 53 - Sale ²⁵
	2,497.000	474.12	06/08/20	456.95	...	17.17	47 of 53 - Sale ²⁵
	2,500.000	474.69	06/08/20	457.50	...	17.19	48 of 53 - Sale ²⁵
	2,500.000	474.69	06/08/20	457.50	...	17.19	49 of 53 - Sale ²⁵
	2,600.000	493.69	06/08/20	475.80	...	17.89	50 of 53 - Sale ²⁵
	2,900.000	550.64	06/08/20	530.70	...	19.94	51 of 53 - Sale ²⁵
	3,014.000	572.28	06/08/20	551.56	...	20.72	52 of 53 - Sale ²⁵
	3,300.000	626.59	06/08/20	603.90	...	22.69	53 of 53 - Sale ²⁵
06/08/20	50,001.000	9,494.06	Various	9,150.18	...	343.88	Total of 53 transactions
	Security total:	12,085.62		11,526.18	...	559.44	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
<i>14 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	68.000	12.13	06/08/20	10.20	...	1.93	1 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	2 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	3 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	4 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	5 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	6 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	7 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	8 of 14 - Sale ²⁵
	100.000	17.84	06/08/20	15.00	...	2.84	9 of 14 - Sale ²⁵
	300.000	53.51	06/08/20	45.00	...	8.51	10 of 14 - Sale ²⁵
	500.000	89.19	06/08/20	75.00	...	14.19	11 of 14 - Sale ²⁵
	2,400.000	428.10	06/08/20	360.00	...	68.10	12 of 14 - Sale ²⁵
	4,200.000	749.18	06/08/20	630.00	...	119.18	13 of 14 - Sale ²⁵
	31,734.000	5,660.61	06/08/20	4,760.10	...	900.51	14 of 14 - Sale ²⁵
06/08/20	40,002.000	7,135.44	Various	6,000.30	...	1,135.14	Total of 14 transactions
Totals :		1,950,057.92		2,094,171.18	152,658.68 W	8,545.42	

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Robinhood Securities LLC

Account 801799297

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
04/02/20	1.000	0.21	11/19/18	2.75	...	-2.54	Sale ²⁵
Totals :		0.21		2.75		-2.54	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 801799297

Proceeds Not Reported to the IRS

2020

03/22/2021 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: 07/08/20	0.765	2.79	06/30/20	3.47	...	-0.68	Gain or loss
Totals:		2.79		3.47	...	-0.68	

Robinhood Securities LLC

Account 801799297

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APACHE CORPORATION COMMON STOC K	037411105		05/22/20	12.50	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		06/30/20	55.81	Nondividend distribution	03
			07/28/20	1.94	Nondividend distribution	03
				57.75	Total Dividends & distributions	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		05/15/20	31.06	Section 199A dividend	03
			05/15/20	10.99	Nondividend distribution	03
			05/15/20	7.95	Qualified dividend	03
				50.00	Total Dividends & distributions	
				120.25	Total Dividends & distributions	

Robinhood Securities LLC

Account 801799297

Detail for Interest Income**2020**

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/30/20	0.04	Interest	
		09/30/20	0.05	Interest	
		10/30/20	0.08	Interest	
		11/30/20	0.10	Interest	
			0.27	Total Interest	
			0.27	Total Interest	

Robinhood Securities LLC

Account 801799297

Fees and Expenses

2020

03/22/2021 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/24/20	-5.00	Margin interest paid	
		04/24/20	-14.44	Margin interest paid	
		05/26/20	-5.00	Margin interest paid	
		05/26/20	-11.76	Margin interest paid	
		06/23/20	-5.00	Margin interest paid	
		06/23/20	-8.23	Margin interest paid	
		07/23/20	-5.00	Margin interest paid	
		08/24/20	-5.00	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		10/21/20	-5.00	Margin interest paid	
		11/20/20	-5.00	Margin interest paid	
		12/21/20	-2.93	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
			-82.36	Total Margin interest paid	
			-82.36	Total Margin interest paid	

Robinhood Securities LLC

Account 801799297

Non-Reportable Actions

2020

03/22/2021 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	97.00	0.00	Stock dividend	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.