

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 773001367	Statement Date: 02/14/2021 Document ID: X427 8SG TS4H	2020
	Rama Pulipati 4502 Waverly Crossing Ln Chantilly, VA 20151 RECIPIENT'S TIN: XXX-XX-2418		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	266,596.87	283,087.50	0.00	7,525.82	-8,964.81
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	266,596.87	283,087.50	0.00	7,525.82	-8,964.81
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	266,596.87	283,087.50	0.00	7,525.82	-8,964.81

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 773001367

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	234.56
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.60
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/16/20	1.000	18.98	07/15/20	27.00	...	-8.02	Option sale to close-call ²⁵
AAL 11/13/2020 CALL \$13.50 / CUSIP: / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	11/09/20	64.00	...	-63.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	11/10/20	16.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
11/12/20	2.000	1.98	Various	80.00	...	-78.02	Total of 2 transactions

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Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	82.98	11/30/20	79.00	...	3.98	1 of 3 - Option sale to close-call ²⁵
	1.000	89.98	12/04/20	87.00	...	2.98	2 of 3 - Option sale to close-call ²⁵
	1.000	77.98	12/08/20	88.00	...	-10.02	3 of 3 - Option sale to close-call ²⁵
12/09/20	3.000	250.94	Various	254.00	...	-3.06	Total of 3 transactions
AAPL 01/15/2021 CALL \$135.00 / CUSIP: / Symbol:							
12/15/20	1.000	217.98	11/30/20	138.00	...	79.98	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$140.00 / CUSIP: / Symbol:							
12/21/20	1.000	104.98	12/15/20	114.00	...	-9.02	Option sale to close-call ²⁵
AAPL 01/31/2020 CALL \$320.00 / CUSIP: / Symbol:							
01/28/20	1.000	669.97	01/28/20	610.00	...	59.97	Option sale to close-call ²⁵
AAPL 01/31/2020 CALL \$330.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/29/20	205.00	...	-205.00	Option expiration- long call
AAPL 02/28/2020 PUT \$257.50 / CUSIP: / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	389.98	02/27/20	132.00	...	257.98	1 of 2 - Option sale to close-put ²⁵
	1.000	389.99	02/27/20	62.00	...	327.99	2 of 2 - Option sale to close-put ²⁵
02/28/20	2.000	779.97	Various	194.00	...	585.97	Total of 2 transactions
AAPL 02/28/2020 PUT \$265.00 / CUSIP: / Symbol:							
02/27/20	1.000	82.98	02/26/20	33.00	...	49.98	Option sale to close-put ²⁵
AAPL 02/28/2020 PUT \$267.50 / CUSIP: / Symbol:							
02/27/20	1.000	82.98	02/25/20	82.00	...	0.98	Option sale to close-put ²⁵
AAPL 03/06/2020 CALL \$305.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	03/05/20	46.00	...	-46.00	Option expiration- long call
AAPL 03/06/2020 CALL \$310.00 / CUSIP: / Symbol:							
03/04/20	1.000	103.98	03/04/20	45.00	...	58.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/06/2020 CALL \$312.50 / CUSIP: / Symbol:							
03/05/20	1.000	10.98	03/04/20	36.00	...	-25.02	Option sale to close-call ²⁵
03/06/20	1.000	0.00	03/04/20	36.00	...	-36.00	Option expiration- long call
	Security total:	10.98		72.00	...	-61.02	
AAPL 03/06/2020 CALL \$315.00 / CUSIP: / Symbol:							
03/04/20	1.000	36.98	03/03/20	45.00	...	-8.02	Option sale to close-call ²⁵
AAPL 03/06/2020 PUT \$230.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/28/20	256.00	...	-256.00	Option expiration- long put
AAPL 03/06/2020 PUT \$275.00 / CUSIP: / Symbol:							
03/04/20	1.000	50.98	03/03/20	216.00	...	-165.02	Option sale to close-put ²⁵
AAPL 03/06/2020 PUT \$285.00 / CUSIP: / Symbol:							
03/05/20	1.000	158.98	03/04/20	80.00	...	78.98	Option sale to close-put ²⁵
AAPL 03/06/2020 PUT \$290.00 / CUSIP: / Symbol:							
03/06/20	1.000	629.97	03/05/20	217.00	...	412.97	Option sale to close-put ²⁵
AAPL 03/13/2020 CALL \$267.50 / CUSIP: / Symbol:							
03/13/20	1.000	267.98	03/12/20	88.00	...	179.98	Option sale to close-call ²⁵
AAPL 03/13/2020 CALL \$302.50 / CUSIP: / Symbol:							
03/11/20	1.000	20.98	03/06/20	202.00	...	-181.02	Option sale to close-call ²⁵
AAPL 03/20/2020 CALL \$280.00 / CUSIP: / Symbol:							
03/13/20	1.000	845.97	03/13/20	249.00	...	596.97	Option sale to close-call ²⁵
03/17/20	1.000	92.98	03/16/20	105.00	...	-12.02	Option sale to close-call ²⁵
	Security total:	938.95		354.00	...	584.95	
AAPL 03/20/2020 PUT \$190.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	03/17/20	113.00	...	-113.00	1 of 2 - Option expiration- long put
	1.000	0.00	03/18/20	60.00	...	-60.00	2 of 2 - Option expiration- long put
03/20/20	2.000	0.00	Various	173.00	...	-173.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/20/2020 PUT \$225.00 / CUSIP: / Symbol:							
03/16/20	1.000	564.97	03/13/20	345.00	...	219.97	Option sale to close-put ²⁵
03/19/20	1.000	199.98	03/18/20	170.00	...	29.98	Option sale to close-put ²⁵
	Security total:	764.95		515.00	...	249.95	
AAPL 03/27/2020 CALL \$250.00 / CUSIP: / Symbol:							
03/24/20	1.000	510.97	03/23/20	421.02	...	89.95	Option sale to close-call ²⁵
03/24/20	1.000	180.98	03/20/20	395.00	214.02 W	0.00	Option sale to close-call ²⁵
	Security total:	691.95		816.02	214.02 W	89.95	
AAPL 03/27/2020 CALL \$257.50 / CUSIP: / Symbol:							
03/26/20	1.000	469.97	03/26/20	350.00	...	119.97	Option sale to close-call ²⁵
AAPL 03/27/2020 CALL \$262.50 / CUSIP: / Symbol:							
03/25/20	1.000	474.97	03/24/20	198.00	...	276.97	Option sale to close-call ²⁵
AAPL 03/27/2020 PUT \$195.00 / CUSIP: / Symbol:							
03/24/20	1.000	42.98	03/23/20	310.00	...	-267.02	Option sale to close-put ²⁵
AAPL 04/09/2020 CALL \$245.00 / CUSIP: / Symbol:							
04/03/20	1.000	484.97	04/03/20	495.00	...	-10.03	Option sale to close-call ²⁵
AAPL 04/17/2020 CALL \$290.00 / CUSIP: / Symbol:							
04/17/20	1.000	62.98	04/16/20	117.00	...	-54.02	Option sale to close-call ²⁵
AAPL 04/24/2020 CALL \$300.00 / CUSIP: / Symbol:							
04/17/20	1.000	134.98	04/14/20	273.00	...	-138.02	Option sale to close-call ²⁵
AAPL 05/01/2020 PUT \$260.00 / CUSIP: / Symbol:							
04/20/20	1.000	334.98	04/17/20	301.00	...	33.98	Option sale to close-put ²⁵
AAPL 05/08/2020 PUT \$277.50 / CUSIP: / Symbol:							
05/05/20	1.000	41.98	05/01/20	569.02	...	-527.04	Option sale to close-put ²⁵
05/05/20	1.000	41.98	04/30/20	345.00	303.02 W	0.00	Option sale to close-put ²⁵
	Security total:	83.96		914.02	303.02 W	-527.04	
AAPL 05/15/2020 CALL \$300.00 / CUSIP: / Symbol:							
05/01/20	1.000	669.97	05/01/20	708.00	...	-38.03	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/22/2020 CALL \$310.00 / CUSIP: / Symbol:							
05/18/20	1.000	534.97	05/15/20	380.00	...	154.97	Option sale to close-call ²⁵
AAPL 05/29/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/19/20	1.000	414.98	05/18/20	302.00	...	112.98	Option sale to close-call ²⁵
AAPL 06/12/2020 CALL \$340.00 / CUSIP: / Symbol:							
05/19/20	1.000	127.98	05/12/20	250.00	122.02 W	0.00	Option sale to close-call ²⁵
06/09/20	1.000	704.97	05/13/20	241.02	...	463.95	Option sale to close-call ²⁵
	Security total:	832.95		491.02	122.02 W	463.95	
AAPL 06/26/2020 CALL \$365.00 / CUSIP: / Symbol:							
06/23/20	1.000	687.97	06/19/20	190.00	...	497.97	Option sale to close-call ²⁵
AAPL 06/26/2020 CALL \$372.50 / CUSIP: / Symbol:							
06/23/20	1.000	158.98	06/22/20	61.00	...	97.98	Option sale to close-call ²⁵
AAPL 06/26/2020 PUT \$330.00 / CUSIP: / Symbol:							
06/19/20	1.000	88.98	06/16/20	262.00	...	-173.02	Option sale to close-put ²⁵
AAPL 07/02/2020 CALL \$380.00 / CUSIP: / Symbol:							
06/22/20	1.000	129.98	06/17/20	175.00	45.02 W	0.00	Option sale to close-call ²⁵
06/24/20	1.000	239.98	06/19/20	188.02	...	51.96	Option sale to close-call ²⁵
	Security total:	369.96		363.02	45.02 W	51.96	
AAPL 07/17/2020 CALL \$397.50 / CUSIP: / Symbol:							
	<i>2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	07/16/20	102.00	...	-102.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/16/20	87.00	...	-87.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	189.00	...	-189.00	Total of 2 transactions
AAPL 07/24/2020 CALL \$385.00 / CUSIP: / Symbol:							
07/23/20	1.000	190.98	07/23/20	235.00	...	-44.02	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$430.00 / CUSIP: / Symbol:							
07/31/20	1.000	639.97	07/31/20	325.00	...	314.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AAPL 08/21/2020 CALL \$465.00 / CUSIP: / Symbol: 08/19/20	1.000	409.98	08/18/20	375.00	...	34.98	Option sale to close-call ²⁵
AAPL 08/21/2020 CALL \$475.00 / CUSIP: / Symbol: 08/19/20	1.000	236.98	08/19/20	246.00	...	-9.02	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$490.00 / CUSIP: / Symbol: 08/27/20	1.000	1,284.96	08/21/20	618.00	...	666.96	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$500.00 / CUSIP: / Symbol: 08/28/20	1.000	-234.02	08/27/20	0.00	...	-234.02	Short sale closed- call ²⁵ Option written: 08/21/20
AAPL 09/18/2020 CALL \$112.50 / CUSIP: / Symbol: 09/14/20	1.000	326.98	09/11/20	323.00	...	3.98	Option sale to close-call ²⁵
AAPL 09/18/2020 CALL \$116.25 / CUSIP: / Symbol: 09/15/20	1.000	11.98	09/14/20	0.00	...	11.98	Short sale closed- call ²⁵ Option written: 09/11/20
AAPL 09/18/2020 PUT \$112.50 / CUSIP: / Symbol: 09/17/20	1.000	-44.02	09/16/20	0.00	...	-44.02	Short sale closed- put ²⁵ Option written: 09/15/20
AAPL 09/18/2020 PUT \$115.00 / CUSIP: / Symbol: 09/16/20	1.000	226.98	09/15/20	151.00	...	75.98	Option sale to close-put ²⁵
AAPL 09/25/2020 CALL \$110.00 / CUSIP: / Symbol: 09/23/20	1.000	139.98	09/23/20	179.00	...	-39.02	Option sale to close-call ²⁵
AAPL 09/25/2020 CALL \$123.75 / CUSIP: / Symbol: 09/16/20	1.000	44.98	09/15/20	164.00	119.02 W	0.00	Option sale to close-call ²⁵
09/18/20	1.000	10.98	09/15/20	380.04	...	-369.06	Option sale to close-call ²⁵
09/18/20	1.000	10.98	09/15/20	300.02	289.04 W	0.00	Option sale to close-call ²⁵
Security total:		66.94		844.06	408.06 W	-369.06	

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(continued)

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AAPL 09/25/2020 CALL \$127.50 / CUSIP: / Symbol:							
09/17/20	1.000	59.98	09/16/20	0.00	...	59.98	Short sale closed- call ²⁵ Option written: 09/15/20
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	36.98	09/18/20	0.00	...	36.98	1 of 2 - Short sale closed- call ²⁵ Option written: 09/15/20
	1.000	91.98	09/18/20	0.00	...	91.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/15/20
09/21/20	2.000	128.96	Various	0.00	...	128.96	Total of 2 transactions
	Security total:	188.94		0.00	...	188.94	
AAPL 09/25/2020 CALL \$620.00 / CUSIP: / Symbol:							
08/26/20	1.000	589.97	08/26/20	420.00	...	169.97	Option sale to close-call ²⁵
AAPL 10/09/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	103.99	10/06/20	165.00	...	-61.01	1 of 2 - Option sale to close-call ²⁵
	1.000	103.99	10/06/20	137.00	...	-33.01	2 of 2 - Option sale to close-call ²⁵
10/06/20	2.000	207.98	Various	302.00	...	-94.02	Total of 2 transactions
AAPL 10/09/2020 CALL \$116.25 / CUSIP: / Symbol:							
10/08/20	1.000	81.98	10/07/20	93.00	...	-11.02	Option sale to close-call ²⁵
AAPL 10/09/2020 CALL \$117.50 / CUSIP: / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	13.98	10/06/20	0.00	...	13.98	1 of 2 - Short sale closed- call ²⁵ Option written: 10/06/20
	1.000	28.98	10/06/20	0.00	...	28.98	2 of 2 - Short sale closed- call ²⁵ Option written: 10/06/20
10/07/20	2.000	42.96	Various	0.00	...	42.96	Total of 2 transactions
AAPL 10/16/2020 CALL \$121.00 / CUSIP: / Symbol:							
10/13/20	1.000	327.98	10/12/20	208.00	...	119.98	Option sale to close-call ²⁵

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(continued)

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AAPL 10/16/2020 CALL \$124.00 / CUSIP: / Symbol:							
10/14/20	1.000	-88.02	10/13/20	0.00	...	-88.02	Short sale closed- call ²⁵ Option written: 10/12/20
AAPL 10/30/2020 CALL \$127.00 / CUSIP: / Symbol:							
10/21/20	1.000	103.98	10/20/20	99.00	...	4.98	Option sale to close-call ²⁵
AAXN 06/19/2020 CALL \$110.00 / CUSIP: / Symbol:							
06/09/20	1.000	224.98	06/02/20	205.00	...	19.98	Option sale to close-call ²⁵
ABBV 06/12/2020 CALL \$93.00 / CUSIP: / Symbol:							
05/29/20	1.000	111.98	05/28/20	110.00	...	1.98	Option sale to close-call ²⁵
		<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	111.98	06/02/20	88.00	...	23.98	1 of 2 - Option sale to close-call ²⁵
	1.000	124.98	06/02/20	100.00	...	24.98	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	236.96	Various	188.00	...	48.96	Total of 2 transactions
	Security total:	348.94		298.00	...	50.94	
ABBV 08/07/2020 CALL \$102.00 / CUSIP: / Symbol:							
08/04/20	1.000	0.98	07/30/20	37.00	...	-36.02	Option sale to close-call ²⁵
ABT 04/03/2020 CALL \$85.50 / CUSIP: / Symbol:							
04/01/20	1.000	23.98	03/30/20	300.00	...	-276.02	Option sale to close-call ²⁵
ABT 04/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
		<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	20.98	04/15/20	54.00	...	-33.02	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	04/15/20	54.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
04/16/20	2.000	60.96	Various	108.00	...	-47.04	Total of 2 transactions
ABT 06/26/2020 CALL \$92.00 / CUSIP: / Symbol:							
06/24/20	1.000	9.98	06/19/20	134.00	...	-124.02	Option sale to close-call ²⁵
ABT 09/18/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/10/20	2.000	17.98	09/02/20	168.00	...	-150.02	Option sale to close-call ²⁵

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ABT 10/16/2020 CALL \$110.00 / CUSIP: / Symbol:							
10/12/20	1.000	124.98	10/01/20	218.00	...	-93.02	Option sale to close-call ²⁵
ABT 10/16/2020 PUT \$106.00 / CUSIP: / Symbol:							
10/12/20	1.000	14.98	10/09/20	44.00	...	-29.02	Option sale to close-put ²⁵
ACB 05/22/2020 CALL \$6.50 / CUSIP: / Symbol:							
05/15/20	1.000	484.97	05/14/20	92.00	...	392.97	Option sale to close-call ²⁵
ACB 11/06/2020 CALL \$6.00 / CUSIP: / Symbol:							
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	10.99	11/05/20	9.00	...	1.99	1 of 4 - Option sale to close-call ²⁵
	1.000	11.00	11/05/20	9.00	...	2.00	2 of 4 - Option sale to close-call ²⁵
	1.000	11.00	11/05/20	8.00	...	3.00	3 of 4 - Option sale to close-call ²⁵
	8.000	87.98	11/05/20	72.00	...	15.98	4 of 4 - Option sale to close-call ²⁵
11/05/20	11.000	120.97	Various	98.00	...	22.97	Total of 4 transactions
ACB 12/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	30.98	11/24/20	22.00	...	8.98	1 of 4 - Option sale to close-call ²⁵
	1.000	34.98	11/24/20	20.00	...	14.98	2 of 4 - Option sale to close-call ²⁵
	1.000	39.98	11/24/20	18.00	...	21.98	3 of 4 - Option sale to close-call ²⁵
	1.000	44.98	11/24/20	18.00	...	26.98	4 of 4 - Option sale to close-call ²⁵
11/27/20	4.000	150.92	Various	78.00	...	72.92	Total of 4 transactions
11/30/20	1.000	170.98	11/24/20	16.00	...	154.98	Option sale to close-call ²⁵
12/04/20	1.000	91.98	11/24/20	17.00	...	74.98	Option sale to close-call ²⁵
	Security total:	413.88		111.00	...	302.88	
ACB 12/18/2020 CALL \$19.00 / CUSIP: / Symbol:							
6 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	12/10/20	106.00	...	-105.00	1 of 6 - Option sale to close-call ²⁵
	5.000	4.98	12/10/20	25.00	...	-20.02	2 of 6 - Option sale to close-call ²⁵
	1.000	1.00	12/10/20	15.01	...	-14.01	3 of 6 - Option sale to close-call ²⁵
	1.000	0.99	12/10/20	15.00	...	-14.01	4 of 6 - Option sale to close-call ²⁵
	1.000	1.00	12/10/20	14.00	...	-13.00	5 of 6 - Option sale to close-call ²⁵

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ACB 12/18/2020 CALL \$19.00 / CUSIP: / Symbol: (cont'd)							
12/15/20	1.000	0.99	12/10/20	12.00	...	-11.01	6 of 6 - Option sale to close-call ²⁵
	10.000	9.96	Various	187.01	...	-177.05	Total of 6 transactions
	12 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	1.00	11/30/20	102.00	101.00 W	0.00	1 of 12 - Option sale to close-call ²⁵
	2.000	1.99	12/08/20	22.00	20.01 W	0.00	2 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/08/20	10.00	9.00 W	0.00	3 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/08/20	8.00	7.00 W	0.00	4 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/08/20	9.00	8.00 W	0.00	5 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/09/20	9.00	8.00 W	0.00	6 of 12 - Option sale to close-call ²⁵
	2.000	1.99	12/09/20	18.00	16.01 W	0.00	7 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/09/20	10.00	9.00 W	0.00	8 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/09/20	10.00	9.00 W	0.00	9 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/09/20	10.00	9.00 W	0.00	10 of 12 - Option sale to close-call ²⁵
	1.000	1.00	12/09/20	9.00	8.00 W	0.00	11 of 12 - Option sale to close-call ²⁵
	2.000	1.99	12/09/20	16.00	14.01 W	0.00	12 of 12 - Option sale to close-call ²⁵
12/15/20	15.000	14.97	Various	233.00	218.03 W	0.00	Total of 12 transactions
	10 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	12/10/20	12.01	...	-12.01	1 of 10 - Option expiration- long call
	1.000	0.00	12/10/20	12.00	...	-12.00	2 of 10 - Option expiration- long call
	1.000	0.00	12/10/20	12.00	...	-12.00	3 of 10 - Option expiration- long call

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ACB 12/18/2020 CALL \$19.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/11/20	12.00	...	-12.00	4 of 10 - Option expiration- long call
	1.000	0.00	12/11/20	12.00	...	-12.00	5 of 10 - Option expiration- long call
	1.000	0.00	12/11/20	12.00	...	-12.00	6 of 10 - Option expiration- long call
	1.000	0.00	12/11/20	11.00	...	-11.00	7 of 10 - Option expiration- long call
	1.000	0.00	12/11/20	11.00	...	-11.00	8 of 10 - Option expiration- long call
	1.000	0.00	12/11/20	10.00	...	-10.00	9 of 10 - Option expiration- long call
	1.000	0.00	12/11/20	9.01	...	-9.01	10 of 10 - Option expiration- long call
12/18/20	10.000	0.00	Various	113.02	...	-113.02	Total of 10 transactions
	Security total:	24.93		533.03	218.03 W	-290.07	
ACB 12/18/2020 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	31.98	11/02/20	24.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	1.000	35.98	11/02/20	24.00	...	11.98	2 of 2 - Option sale to close-call ²⁵
11/03/20	2.000	67.96	Various	48.00	...	19.96	Total of 2 transactions
11/05/20	1.000	118.98	11/02/20	24.00	...	94.98	Option sale to close-call ²⁵
	Security total:	186.94		72.00	...	114.94	
ACB 12/18/2020 CALL \$8.00 / CUSIP: / Symbol:							
11/06/20	1.000	200.98	11/05/20	50.00	...	150.98	Option sale to close-call ²⁵
ACB 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
11/06/20	1.000	219.98	11/05/20	69.00	...	150.98	Option sale to close-call ²⁵
ACRE 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/17/20	1.000	149.98	06/15/20	155.00	...	-5.02	Option sale to close-call ²⁵

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(continued)

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AINV 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	05/18/20	20.00	...	29.98	1 of 3 - Option sale to close-call ²⁵
	2.000	99.98	05/18/20	40.00	...	59.98	2 of 3 - Option sale to close-call ²⁵
	2.000	109.98	05/18/20	40.00	...	69.98	3 of 3 - Option sale to close-call ²⁵
05/27/20	5.000	259.94	Various	100.00	...	159.94	Total of 3 transactions
AJG 07/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
06/23/20	1.000	59.98	06/22/20	55.00	...	4.98	Option sale to close-call ²⁵
AJG 07/17/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/17/20	3.000	0.00	06/23/20	90.00	...	-90.00	Option expiration- long call
ALGT 05/15/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/12/20	1.000	89.98	05/11/20	120.00	...	-30.02	Option sale to close-call ²⁵
AMAT 05/15/2020 CALL \$54.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/14/20	127.00	...	-127.00	Option expiration- long call
AMD 03/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
02/28/20	1.000	18.98	02/21/20	114.00	...	-95.02	Option sale to close-call ²⁵
AMD 04/17/2020 CALL \$49.00 / CUSIP: / Symbol:							
04/07/20	1.000	124.98	04/06/20	72.00	...	52.98	Option sale to close-call ²⁵
AMD 04/17/2020 CALL \$52.00 / CUSIP: / Symbol:							
04/09/20	1.000	55.98	04/07/20	51.00	...	4.98	Option sale to close-call ²⁵
AMD 05/15/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	5.99	05/11/20	133.00	...	-127.01	1 of 3 - Option sale to close-call ²⁵
	1.000	5.99	05/14/20	30.00	...	-24.01	2 of 3 - Option sale to close-call ²⁵
	1.000	6.00	05/14/20	28.00	...	-22.00	3 of 3 - Option sale to close-call ²⁵
05/15/20	3.000	17.98	Various	191.00	...	-173.02	Total of 3 transactions
AMD 06/05/2020 CALL \$53.00 / CUSIP: / Symbol:							
06/05/20	1.000	23.98	05/29/20	117.00	...	-93.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMD 06/12/2020 CALL \$54.00 / CUSIP: / Symbol:							
06/09/20	1.000	158.98	06/08/20	70.00	...	88.98	Option sale to close-call ²⁵
AMD 08/21/2020 CALL \$91.00 / CUSIP: / Symbol:							
08/17/20	1.000	9.98	08/10/20	70.00	...	-60.02	Option sale to close-call ²⁵
AMD 08/28/2020 CALL \$95.00 / CUSIP: / Symbol:							
08/24/20	1.000	7.98	08/07/20	115.00	...	-107.02	Option sale to close-call ²⁵
AMD 09/25/2020 CALL \$84.50 / CUSIP: / Symbol:							
09/23/20	1.000	5.98	09/15/20	130.00	...	-124.02	Option sale to close-call ²⁵
AMD 09/25/2020 CALL \$87.50 / CUSIP: / Symbol:							
09/24/20	1.000	66.98	09/23/20	0.00	...	66.98	Short sale closed- call ²⁵ Option written: 09/15/20
AMD 10/02/2020 CALL \$81.50 / CUSIP: / Symbol:							
09/29/20	1.000	124.98	09/28/20	87.00	...	37.98	Option sale to close-call ²⁵
AMD 10/02/2020 CALL \$83.50 / CUSIP: / Symbol:							
09/30/20	1.000	-17.02	09/29/20	0.00	...	-17.02	Short sale closed- call ²⁵ Option written: 09/28/20
AMD 10/09/2020 CALL \$83.00 / CUSIP: / Symbol:							
09/30/20	1.000	254.98	09/29/20	249.00	...	5.98	Option sale to close-call ²⁵
AMD 10/09/2020 CALL \$85.00 / CUSIP: / Symbol:							
10/01/20	1.000	-6.02	09/30/20	0.00	...	-6.02	Short sale closed- call ²⁵ Option written: 09/29/20
AMD 10/16/2020 CALL \$78.00 / CUSIP: / Symbol:							
09/28/20	1.000	399.98	09/24/20	330.00	...	69.98	Option sale to close-call ²⁵
AMD 10/16/2020 PUT \$60.00 / CUSIP: / Symbol:							
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	34.98	09/15/20	36.00	...	-1.02	1 of 2 - Option sale to close-put ²⁵
	1.000	35.98	09/15/20	36.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
09/16/20	2.000	70.96	Various	72.00	...	-1.04	Total of 2 transactions

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(continued)

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AMD 10/30/2020 CALL \$83.00 / CUSIP: / Symbol:							
10/27/20	1.000	137.98	10/26/20	337.00	...	-199.02	Option sale to close-call ²⁵
AMD 10/30/2020 CALL \$86.00 / CUSIP: / Symbol:							
10/14/20	1.000	433.98	10/13/20	444.00	...	-10.02	Option sale to close-call ²⁵
10/28/20	1.000	160.98	10/27/20	0.00	...	160.98	Short sale closed- call ²⁵ Option written: 10/26/20
	Security total:	594.96		444.00	...	150.96	
AMD 10/30/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/15/20	1.000	4.98	10/14/20	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 10/13/20
AMZN 03/20/2020 CALL \$2,030.00 / CUSIP: / Symbol:							
03/18/20	1.000	142.98	03/17/20	91.00	...	51.98	Option sale to close-call ²⁵
AMZN 03/20/2020 PUT \$1,415.00 / CUSIP: / Symbol:							
03/18/20	1.000	199.98	03/17/20	107.00	...	92.98	Option sale to close-put ²⁵
AMZN 04/03/2020 CALL \$2,270.00 / CUSIP: / Symbol:							
	<i>3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	03/24/20	315.00	...	-315.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/31/20	21.00	...	-21.00	2 of 3 - Option expiration- long call
	2.000	0.00	04/02/20	24.00	...	-24.00	3 of 3 - Option expiration- long call
04/03/20	4.000	0.00	Various	360.00	...	-360.00	Total of 3 transactions
AMZN 04/17/2020 CALL \$2,260.00 / CUSIP: / Symbol:							
04/13/20	1.000	949.96	04/13/20	705.00	...	244.96	Option sale to close-call ²⁵
AMZN 04/17/2020 CALL \$2,380.00 / CUSIP: / Symbol:							
04/14/20	1.000	1,044.96	04/14/20	575.00	...	469.96	Option sale to close-call ²⁵
AMZN 04/17/2020 CALL \$2,440.00 / CUSIP: / Symbol:							
04/15/20	1.000	454.97	04/14/20	910.00	...	-455.03	Option sale to close-call ²⁵
AMZN 04/24/2020 CALL \$2,620.00 / CUSIP: / Symbol:							
04/15/20	1.000	844.97	04/14/20	800.00	...	44.97	Option sale to close-call ²⁵

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(continued)

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AMZN 04/24/2020 CALL \$2,650.00 / CUSIP: / Symbol:							
04/16/20	1.000	3,124.92	04/15/20	950.00	...	2,174.92	Option sale to close-call ²⁵
AMZN 05/22/2020 CALL \$2,650.00 / CUSIP: / Symbol:							
05/19/20	1.000	162.98	05/14/20	105.00	...	57.98	Option sale to close-call ²⁵
AMZN 05/22/2020 CALL \$2,680.00 / CUSIP: / Symbol:							
05/18/20	1.000	93.98	05/13/20	110.00	...	-16.02	Option sale to close-call ²⁵
AMZN 05/22/2020 CALL \$2,700.00 / CUSIP: / Symbol:							
05/15/20	1.000	43.98	05/13/20	110.00	...	-66.02	Option sale to close-call ²⁵
AMZN 06/12/2020 CALL \$2,760.00 / CUSIP: / Symbol:							
06/10/20	1.000	275.98	06/10/20	505.00	229.02 W	0.00	Option sale to close-call ²⁵
06/11/20	1.000	176.98	06/10/20	669.02	...	-492.04	Option sale to close-call ²⁵
Security total:		452.96		1,174.02	229.02 W	-492.04	
AMZN 07/02/2020 CALL \$2,920.00 / CUSIP: / Symbol:							
06/30/20	1.000	87.98	06/26/20	685.00	...	-597.02	Option sale to close-call ²⁵
AMZN 07/02/2020 CALL \$2,970.00 / CUSIP: / Symbol:							
06/30/20	1.000	68.98	06/26/20	310.00	...	-241.02	Option sale to close-call ²⁵
AMZN 08/07/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
08/06/20	1.000	101.98	08/04/20	375.00	...	-273.02	Option sale to close-call ²⁵
AMZN 08/21/2020 CALL \$3,550.00 / CUSIP: / Symbol:							
08/18/20	1.000	509.97	08/18/20	247.00	...	262.97	Option sale to close-call ²⁵
AMZN 09/18/2020 CALL \$3,730.00 / CUSIP: / Symbol:							
09/14/20	1.000	29.98	09/10/20	415.00	...	-385.02	Option sale to close-call ²⁵
AMZN 09/18/2020 CALL \$3,740.00 / CUSIP: / Symbol:							
09/15/20	1.000	214.98	09/14/20	0.00	...	214.98	Short sale closed- call ²⁵ Option written: 09/10/20
ANTM 05/15/2020 CALL \$285.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/14/20	50.00	...	-50.00	Option expiration- long call

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APPS 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	95.98	06/01/20	40.00	...	55.98	1 of 5 - Option sale to close-call ²⁵
	1.000	104.98	06/02/20	45.00	...	59.98	2 of 5 - Option sale to close-call ²⁵
	1.000	99.98	06/02/20	40.00	...	59.98	3 of 5 - Option sale to close-call ²⁵
	1.000	95.98	06/02/20	34.00	...	61.98	4 of 5 - Option sale to close-call ²⁵
	1.000	119.98	06/02/20	45.00	...	74.98	5 of 5 - Option sale to close-call ²⁵
06/03/20	5.000	516.90	Various	204.00	...	312.90	Total of 5 transactions
AQUA 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/15/20	1.000	79.98	06/12/20	95.00	...	-15.02	Option sale to close-call ²⁵
ASHR 05/29/2020 PUT \$26.50 / CUSIP: / Symbol:							
05/26/20	1.000	7.98	05/22/20	26.00	...	-18.02	Option sale to close-put ²⁵
05/27/20	1.000	15.98	05/22/20	26.00	...	-10.02	Option sale to close-put ²⁵
	Security total:	23.96		52.00	...	-28.04	
AU 08/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/09/20	1.000	134.98	07/08/20	135.00	...	-0.02	Option sale to close-call ²⁵
AUY 07/31/2020 CALL \$6.50 / CUSIP: / Symbol:							
07/22/20	5.000	54.98	07/21/20	55.00	...	-0.02	Option sale to close-call ²⁵
AVGO 09/04/2020 CALL \$360.00 / CUSIP: / Symbol:							
09/04/20	1.000	693.97	09/03/20	654.00	...	39.97	Option sale to close-call ²⁵
AVGO 09/04/2020 CALL \$370.00 / CUSIP: / Symbol:							
09/08/20	1.000	137.98	09/04/20	0.00	...	137.98	Short sale closed- call ²⁵ Option written: 09/03/20
AVGO 09/11/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/03/20	1.000	279.98	09/02/20	600.00	...	-320.02	Option sale to close-call ²⁵
AZN 06/26/2020 CALL \$53.00 / CUSIP: / Symbol:							
06/16/20	1.000	164.98	06/15/20	130.00	...	34.98	Option sale to close-call ²⁵
AZN 07/02/2020 CALL \$54.00 / CUSIP: / Symbol:							
06/29/20	1.000	44.98	06/26/20	97.00	...	-52.02	Option sale to close-call ²⁵

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AZN 07/10/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/29/20	1.000	64.98	06/26/20	100.00	...	-35.02	Option sale to close-call ²⁵
06/30/20	1.000	54.98	06/26/20	100.00	...	-45.02	Option sale to close-call ²⁵
	Security total:	119.96		200.00	...	-80.04	
AZN 08/28/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/20/20	1.000	239.98	07/17/20	240.00	...	-0.02	Option sale to close-call ²⁵
BA 04/09/2020 PUT \$100.00 / CUSIP: / Symbol:							
04/06/20	1.000	88.98	04/02/20	260.00	...	-171.02	Option sale to close-put ²⁵
BA 04/24/2020 CALL \$165.00 / CUSIP: / Symbol:							
04/20/20	1.000	121.98	04/17/20	395.02	...	-273.04	Option sale to close-call ²⁵
04/20/20	1.000	334.98	04/17/20	395.00	60.02 W	0.00	Option sale to close-call ²⁵
	Security total:	456.96		790.02	60.02 W	-273.04	
BA 05/01/2020 CALL \$205.00 / CUSIP: / Symbol:							
04/14/20	1.000	73.98	04/07/20	250.00	...	-176.02	Option sale to close-call ²⁵
BA 05/08/2020 CALL \$157.50 / CUSIP: / Symbol:							
04/30/20	1.000	147.98	04/29/20	385.00	...	-237.02	Option sale to close-call ²⁵
BA 05/08/2020 CALL \$185.00 / CUSIP: / Symbol:							
04/21/20	1.000	184.98	04/17/20	365.00	...	-180.02	Option sale to close-call ²⁵
BA 05/15/2020 CALL \$185.00 / CUSIP: / Symbol:							
04/16/20	1.000	256.98	04/15/20	380.00	...	-123.02	Option sale to close-call ²⁵
BA 05/22/2020 PUT \$127.00 / CUSIP: / Symbol:							
05/20/20	1.000	97.98	05/19/20	222.00	...	-124.02	Option sale to close-put ²⁵
BA 05/29/2020 CALL \$145.00 / CUSIP: / Symbol:							
05/26/20	1.000	334.98	05/21/20	410.00	...	-75.02	Option sale to close-call ²⁵
BA 05/29/2020 CALL \$157.50 / CUSIP: / Symbol:							
05/29/20	1.000	0.98	05/28/20	169.00	...	-168.02	Option sale to close-call ²⁵

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BA 06/05/2020 CALL \$157.50 / CUSIP: / Symbol:							
06/03/20	1.000	273.98	06/01/20	218.00	...	55.98	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/16/20	1.000	569.97	06/12/20	460.00	...	109.97	Option sale to close-call ²⁵
BA 06/26/2020 CALL \$225.00 / CUSIP: / Symbol:							
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	5.99	06/17/20	335.00	...	-329.01	1 of 2 - Option sale to close-call ²⁵
	1.000	5.99	06/23/20	33.00	...	-27.01	2 of 2 - Option sale to close-call ²⁵
06/24/20	2.000	11.98	Various	368.00	...	-356.02	Total of 2 transactions
BA 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/30/20	1.000	93.98	06/29/20	204.00	...	-110.02	Option sale to close-call ²⁵
BA 07/10/2020 CALL \$197.50 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/01/20	515.00	...	-515.00	Option expiration- long call
BA 07/10/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/07/20	1.000	52.98	07/01/20	345.00	...	-292.02	Option sale to close-call ²⁵
BA 07/10/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/10/20	1.000	310.98	07/10/20	0.00	...	310.98	Short sale closed- call expired Option written: 07/01/20
BA 07/10/2020 PUT \$167.50 / CUSIP: / Symbol:							
07/06/20	1.000	49.98	07/02/20	186.00	...	-136.02	Option sale to close-put ²⁵
BA 09/18/2020 CALL \$182.50 / CUSIP: / Symbol:							
09/15/20	1.000	60.98	09/14/20	43.00	...	17.98	Option sale to close-call ²⁵
BA 10/09/2020 PUT \$149.00 / CUSIP: / Symbol:							
10/06/20	1.000	16.98	10/05/20	0.00	...	16.98	Short sale closed- put ²⁵ Option written: 10/02/20
BA 10/09/2020 PUT \$150.00 / CUSIP: / Symbol:							
10/05/20	1.000	36.98	10/02/20	84.00	...	-47.02	Option sale to close-put ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 10/16/2020 CALL \$185.00 / CUSIP: / Symbol:							
09/25/20	1.000	139.98	09/18/20	320.00	180.02 W	0.00	Option sale to close-call ²⁵
09/28/20	1.000	274.98	09/24/20	275.02	0.04 W	0.00	Option sale to close-call ²⁵
10/12/20	1.000	28.98	10/09/20	60.04	...	-31.06	Option sale to close-call ²⁵
Security total:		443.94		655.06	180.06 W	-31.06	
BA 10/16/2020 CALL \$187.50 / CUSIP: / Symbol:							
10/13/20	1.000	14.98	10/12/20	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 10/09/20
BABA 02/14/2020 CALL \$222.50 / CUSIP: / Symbol:							
02/13/20	1.000	309.98	02/11/20	375.00	...	-65.02	Option sale to close-call ²⁵
BABA 02/21/2020 CALL \$235.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/13/20	98.00	...	-98.00	Option expiration- long call
BABA 05/01/2020 CALL \$225.00 / CUSIP: / Symbol:							
04/21/20	1.000	85.98	04/20/20	222.00	...	-136.02	Option sale to close-call ²⁵
BABA 05/29/2020 CALL \$225.00 / CUSIP: / Symbol:							
05/19/20	1.000	469.97	05/18/20	256.00	...	213.97	Option sale to close-call ²⁵
05/20/20	1.000	314.98	05/20/20	400.02	...	-85.04	Option sale to close-call ²⁵
05/20/20	1.000	314.98	05/20/20	345.00	30.02 W	0.00	Option sale to close-call ²⁵
Security total:		1,099.93		1,001.02	30.02 W	128.93	
BABA 06/26/2020 CALL \$235.00 / CUSIP: / Symbol:							
06/23/20	1.000	150.98	06/19/20	113.00	...	37.98	Option sale to close-call ²⁵
BABA 07/17/2020 CALL \$255.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.98	07/15/20	235.00	...	-234.02	Option sale to close-call ²⁵
BABA 07/24/2020 PUT \$242.50 / CUSIP: / Symbol:							
07/23/20	1.000	16.98	07/22/20	98.00	...	-81.02	Option sale to close-put ²⁵
BABA 07/31/2020 CALL \$265.00 / CUSIP: / Symbol:							
07/23/20	1.000	217.98	07/22/20	274.00	...	-56.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 08/07/2020 CALL \$275.00 / CUSIP: / Symbol: 07/21/20	1.000	424.98	07/21/20	425.00	...	-0.02	Option sale to close-call ²⁵
BABA 08/14/2020 CALL \$252.50 / CUSIP: / Symbol: 08/11/20	1.000	346.98	08/07/20	634.00	...	-287.02	Option sale to close-call ²⁵
BABA 08/14/2020 CALL \$257.50 / CUSIP: / Symbol: 08/12/20	1.000	237.98	08/11/20	0.00	...	237.98	Short sale closed- call ²⁵ Option written: 08/07/20
BABA 08/28/2020 CALL \$275.00 / CUSIP: / Symbol: 08/26/20	1.000	1,598.95	08/21/20	312.00	...	1,286.95	Option sale to close-call ²⁵
BABA 08/28/2020 CALL \$282.50 / CUSIP: / Symbol: 08/27/20	1.000	-786.02	08/26/20	0.00	...	-786.02	Short sale closed- call ²⁵ Option written: 08/21/20
BABA 09/11/2020 CALL \$325.00 / CUSIP: / Symbol: 09/10/20	1.000	2.98	08/26/20	275.00	...	-272.02	Option sale to close-call ²⁵
BAC 03/27/2020 CALL \$22.00 / CUSIP: / Symbol: 03/19/20	1.000	96.98	03/18/20	102.00	...	-5.02	Option sale to close-call ²⁵
BAC 07/17/2020 CALL \$25.00 / CUSIP: / Symbol: 07/16/20	1.000	0.98	07/10/20	51.00	...	-50.02	Option sale to close-call ²⁵
BBBY 08/21/2020 CALL \$10.00 / CUSIP: / Symbol: 06/11/20	1.000	97.98	06/04/20	103.00	...	-5.02	Option sale to close-call ²⁵
BE 07/17/2020 CALL \$11.00 / CUSIP: / Symbol: 06/29/20	2.000	19.98	06/22/20	70.00	50.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	34.98	06/22/20	50.01	...	-15.03	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	06/22/20	50.01	...	-10.03	2 of 2 - Option sale to close-call ²⁵
06/30/20	2.000	74.96	Various	100.02	...	-25.06	Total of 2 transactions
	Security total:	94.94		170.02	50.02 W	-25.06	

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIDU 02/28/2020 CALL \$133.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/27/20	89.00	...	-89.00	Option expiration- long call
BIDU 04/24/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	11.98	04/16/20	70.00	...	-58.02	1 of 2 - Option sale to close-call ²⁵
	1.000	11.98	04/16/20	70.00	...	-58.02	2 of 2 - Option sale to close-call ²⁵
04/21/20	2.000	23.96	Various	140.00	...	-116.04	Total of 2 transactions
BIDU 05/22/2020 PUT \$98.50 / CUSIP: / Symbol:							
05/20/20	1.000	67.98	05/18/20	118.00	...	-50.02	Option sale to close-put ²⁵
BIDU 05/29/2020 CALL \$109.00 / CUSIP: / Symbol:							
05/20/20	1.000	234.98	05/18/20	450.00	...	-215.02	Option sale to close-call ²⁵
BIDU 07/31/2020 CALL \$124.00 / CUSIP: / Symbol:							
07/23/20	1.000	214.98	07/22/20	224.00	...	-9.02	Option sale to close-call ²⁵
BIGC 09/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/09/20	1.000	169.98	09/02/20	620.00	...	-450.02	Option sale to close-call ²⁵
BITA 06/19/2020 PUT \$12.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/11/20	25.00	...	-25.00	Option expiration- long put
BJ 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/21/20	1.000	549.97	05/15/20	155.00	...	394.97	Option sale to close-call ²⁵
BJ 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	5.000	99.98	05/07/20	50.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	3.000	59.99	05/08/20	30.00	...	29.99	2 of 2 - Option sale to close-call ²⁵
05/12/20	8.000	159.97	Various	80.00	...	79.97	Total of 2 transactions
05/15/20	3.000	104.98	05/13/20	75.00	...	29.98	Option sale to close-call ²⁵
05/27/20	1.000	254.98	05/21/20	180.00	...	74.98	Option sale to close-call ²⁵
Security total:		519.93		335.00	...	184.93	

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(continued)

02/14/2021

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BJ 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/01/20	1.000	54.98	05/22/20	97.00	...	-42.02	Option sale to close-call ²⁵
BLNK 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/08/20	1.000	119.98	07/07/20	80.00	...	39.98	Option sale to close-call ²⁵
BMO 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
05/27/20	1.000	74.98	05/26/20	49.00	...	25.98	Option sale to close-call ²⁵
BMO 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/11/20	1.000	24.98	05/27/20	30.00	5.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	2.000	13.99	06/15/20	40.00	...	-26.01	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	06/15/20	25.02	...	-18.03	2 of 2 - Option sale to close-call ²⁵
06/17/20	3.000	20.98	Various	65.02	...	-44.04	Total of 2 transactions
	Security total:	45.96		95.02	5.02 W	-44.04	
BOX 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	5.99	06/02/20	80.00	...	-74.01	1 of 2 - Option sale to close-call ²⁵
	1.000	5.99	06/02/20	75.00	...	-69.01	2 of 2 - Option sale to close-call ²⁵
06/17/20	2.000	11.98	Various	155.00	...	-143.02	Total of 2 transactions
BOX 06/19/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/27/20	1.000	99.98	05/26/20	100.00	...	-0.02	Option sale to close-call ²⁵
BRC 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/22/20	50.00	...	-50.00	Option expiration- long call
BYND 05/22/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/20/20	487.02	...	-487.02	Option expiration- long call
05/22/20	1.000	1.98	05/14/20	400.00	398.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1.98		887.02	398.02 W	-487.02	
BYND 06/26/2020 CALL \$170.00 / CUSIP: / Symbol:							
06/22/20	1.000	535.97	06/17/20	671.00	...	-135.03	Option sale to close-call ²⁵

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(continued)

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BYND 07/02/2020 PUT \$127.00 / CUSIP: / Symbol:							
06/29/20	1.000	489.97	06/29/20	273.00	...	216.97	Option sale to close-put ²⁵
BYND 07/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/27/20	1.000	689.97	04/24/20	515.00	...	174.97	Option sale to close-call ²⁵
BYND 07/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
07/08/20	1.000	157.98	07/07/20	132.00	...	25.98	Option sale to close-call ²⁵
BYND 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	30.99	06/11/20	460.00	...	-429.01	1 of 2 - Option sale to close-call ²⁵
	1.000	30.99	06/25/20	99.00	...	-68.01	2 of 2 - Option sale to close-call ²⁵
06/29/20	2.000	61.98	Various	559.00	...	-497.02	Total of 2 transactions
BYND 07/24/2020 CALL \$132.00 / CUSIP: / Symbol:							
07/21/20	1.000	409.98	07/20/20	205.00	...	204.98	Option sale to close-call ²⁵
BYND 10/02/2020 CALL \$162.50 / CUSIP: / Symbol:							
09/29/20	1.000	709.97	09/28/20	103.00	...	606.97	Option sale to close-call ²⁵
BYND 10/16/2020 CALL \$202.50 / CUSIP: / Symbol:							
10/13/20	1.000	79.98	10/12/20	197.00	...	-117.02	Option sale to close-call ²⁵
BZUN 06/19/2020 CALL \$29.00 / CUSIP: / Symbol:							
06/02/20	1.000	419.98	06/01/20	154.00	...	265.98	Option sale to close-call ²⁵
BZUN 07/17/2020 CALL \$44.00 / CUSIP: / Symbol:							
06/24/20	1.000	114.98	06/23/20	115.00	...	-0.02	Option sale to close-call ²⁵
C 10/09/2020 PUT \$44.00 / CUSIP: / Symbol:							
10/09/20	1.000	3.98	10/08/20	13.00	...	-9.02	Option sale to close-put ²⁵
C 10/16/2020 CALL \$45.50 / CUSIP: / Symbol:							
10/12/20	1.000	93.98	10/07/20	101.00	...	-7.02	Option sale to close-call ²⁵

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CAKE 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/17/20	1.000	29.98	06/08/20	120.00	90.02 W	0.00	Option sale to close-call ²⁵
06/24/20	1.000	9.98	06/09/20	180.02	...	-170.04	Option sale to close-call ²⁵
	Security total:	39.96		300.02	90.02 W	-170.04	
CALX 08/21/2020 CALL \$18.00 / CUSIP: / Symbol:							
07/22/20	1.000	249.98	07/21/20	105.00	...	144.98	Option sale to close-call ²⁵
CBRL 06/19/2020 PUT \$95.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/02/20	235.00	...	-235.00	Option expiration- long put
CCL 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
11/24/20	1.000	87.98	11/16/20	46.00	...	41.98	Option sale to close-call ²⁵
CCL 04/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
04/07/20	1.000	234.98	04/06/20	95.00	...	139.98	Option sale to close-call ²⁵
CCL 04/24/2020 CALL \$15.00 / CUSIP: / Symbol:							
04/17/20	2.000	39.98	04/07/20	180.00	...	-140.02	Option sale to close-call ²⁵
CCL 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/11/20	1.000	174.98	06/03/20	120.00	...	54.98	Option sale to close-call ²⁵
CCL 07/02/2020 PUT \$16.50 / CUSIP: / Symbol:							
06/23/20	1.000	79.98	06/19/20	120.00	...	-40.02	Option sale to close-put ²⁵
CCL 07/24/2020 CALL \$17.00 / CUSIP: / Symbol:							
07/16/20	1.000	83.98	07/15/20	79.00	...	4.98	Option sale to close-call ²⁵
CCL 08/14/2020 CALL \$16.00 / CUSIP: / Symbol:							
07/22/20	1.000	105.98	07/21/20	110.00	...	-4.02	Option sale to close-call ²⁵
CCL 10/16/2020 CALL \$37.50 / CUSIP: / Symbol:							
06/18/20	1.000	69.98	06/09/20	190.00	...	-120.02	Option sale to close-call ²⁵
CCL 12/31/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/28/20	5.00	...	-5.00	Option expiration- long call

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CDNS 07/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
06/25/20	1.000	44.98	06/23/20	80.00	...	-35.02	Option sale to close-call ²⁵
CGC 01/15/2021 CALL \$22.50 / CUSIP: / Symbol:							
09/03/20	1.000	122.98	08/31/20	124.00	...	-1.02	Option sale to close-call ²⁵
CGC 03/20/2020 PUT \$10.00 / CUSIP: / Symbol:							
4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	32.98	03/09/20	21.00	...	11.98	1 of 4 - Option sale to close-put ²⁵
	1.000	32.98	03/09/20	21.00	...	11.98	2 of 4 - Option sale to close-put ²⁵
	1.000	32.98	03/09/20	21.00	...	11.98	3 of 4 - Option sale to close-put ²⁵
	2.000	65.98	03/09/20	42.00	...	23.98	4 of 4 - Option sale to close-put ²⁵
03/12/20	5.000	164.92	Various	105.00	...	59.92	Total of 4 transactions
CGC 04/03/2020 PUT \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	5.000	164.98	03/06/20	135.00	...	29.98	1 of 2 - Option sale to close-put ²⁵
	5.000	164.99	03/06/20	95.00	...	69.99	2 of 2 - Option sale to close-put ²⁵
03/11/20	10.000	329.97	Various	230.00	...	99.97	Total of 2 transactions
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	5.000	269.98	03/11/20	175.00	...	94.98	1 of 2 - Option sale to close-put ²⁵
	8.000	583.96	03/11/20	280.00	...	303.96	2 of 2 - Option sale to close-put ²⁵
03/12/20	13.000	853.94	Various	455.00	...	398.94	Total of 2 transactions
	Security total:	1,183.91		685.00	...	498.91	
CGC 04/03/2020 PUT \$8.50 / CUSIP: / Symbol:							
03/16/20	1.000	109.98	03/13/20	80.00	...	29.98	Option sale to close-put ²⁵
03/17/20	1.000	82.98	03/13/20	80.00	...	2.98	Option sale to close-put ²⁵
	Security total:	192.96		160.00	...	32.96	
CGC 04/09/2020 PUT \$10.00 / CUSIP: / Symbol:							
03/16/20	1.000	189.98	03/13/20	132.00	...	57.98	Option sale to close-put ²⁵
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	3.000	5.98	03/27/20	87.00	...	-81.02	1 of 2 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGC 04/09/2020 PUT \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.98	03/27/20	29.00	...	-27.02	2 of 2 - Option sale to close-put ²⁵
04/07/20	4.000	7.96	Various	116.00	...	-108.04	Total of 2 transactions
	Security total:	197.94		248.00	...	-50.06	
CGC 06/05/2020 CALL \$21.50 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	05/28/20	155.00	...	-155.00	Option expiration- long call
CGC 07/02/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	31.00	06/22/20	24.00	...	7.00	1 of 3 - Option sale to close-call ²⁵
	1.000	31.00	06/22/20	23.00	...	8.00	2 of 3 - Option sale to close-call ²⁵
	4.000	123.98	06/22/20	92.00	...	31.98	3 of 3 - Option sale to close-call ²⁵
06/23/20	6.000	185.98	Various	139.00	...	46.98	Total of 3 transactions
CGC 07/24/2020 PUT \$18.00 / CUSIP: / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	55.99	07/21/20	54.00	...	1.99	1 of 2 - Option sale to close-put ²⁵
	1.000	55.99	07/21/20	54.00	...	1.99	2 of 2 - Option sale to close-put ²⁵
07/22/20	2.000	111.98	Various	108.00	...	3.98	Total of 2 transactions
CGC 08/21/2020 PUT \$15.00 / CUSIP: / Symbol:							
07/09/20	1.000	138.98	07/08/20	132.00	...	6.98	Option sale to close-put ²⁵
CHEF 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/17/20	1.000	129.98	06/05/20	160.00	...	-30.02	Option sale to close-call ²⁵
CHGG 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/10/20	1.000	184.98	06/04/20	180.00	...	4.98	Option sale to close-call ²⁵
CHGG 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/02/20	1.000	224.98	05/28/20	200.00	...	24.98	Option sale to close-call ²⁵
CHGG 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/29/20	2.000	109.98	05/28/20	100.00	...	9.98	Option sale to close-call ²⁵

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CHTR 08/21/2020 CALL \$630.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	274.98	08/07/20	300.00	...	-25.02	1 of 2 - Option sale to close-call ²⁵
	1.000	274.99	08/07/20	260.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
08/07/20	2.000	549.97	Various	560.00	...	-10.03	Total of 2 transactions
CHTR 11/20/2020 CALL \$685.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	10/29/20	110.00	...	-110.00	Option expiration- long call
CHWY 06/05/2020 CALL \$41.00 / CUSIP: / Symbol:							
05/29/20	1.000	294.98	05/28/20	195.00	...	99.98	Option sale to close-call ²⁵
CHWY 06/19/2020 PUT \$50.50 / CUSIP: / Symbol:							
06/10/20	1.000	309.98	06/09/20	310.00	...	-0.02	Option sale to close-put ²⁵
CHWY 07/31/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	12.99	07/23/20	147.00	...	-134.01	1 of 2 - Option sale to close-call ²⁵
	1.000	12.99	07/24/20	36.00	...	-23.01	2 of 2 - Option sale to close-call ²⁵
07/28/20	2.000	25.98	Various	183.00	...	-157.02	Total of 2 transactions
CHWY 09/18/2020 CALL \$54.00 / CUSIP: / Symbol:							
09/16/20	1.000	179.98	09/15/20	103.00	...	76.98	Option sale to close-call ²⁵
CHWY 09/18/2020 CALL \$54.50 / CUSIP: / Symbol:							
09/17/20	1.000	-79.02	09/16/20	0.00	...	-79.02	Short sale closed- call ²⁵ Option written: 09/15/20
CHWY 10/16/2020 CALL \$57.50 / CUSIP: / Symbol:							
10/01/20	1.000	214.98	09/30/20	214.00	...	0.98	Option sale to close-call ²⁵
CIEN 06/12/2020 CALL \$57.50 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/03/20	218.00	...	-218.00	Option expiration- long call
CLDR 06/05/2020 CALL \$11.50 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	06/02/20	75.00	...	-75.00	Option expiration- long call

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CMCSA 11/20/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/04/20	1.000	86.98	11/03/20	68.00	...	18.98	Option sale to close-call ²⁵
CMTL 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/03/20	40.00	...	-40.00	Option expiration- long call
CNK 05/15/2020 CALL \$17.50 / CUSIP: / Symbol:							
04/09/20	2.000	179.98	04/08/20	180.00	...	-0.02	Option sale to close-call ²⁵
COST 03/06/2020 CALL \$325.00 / CUSIP: / Symbol:							
03/04/20	1.000	193.98	03/03/20	132.00	...	61.98	Option sale to close-call ²⁵
COST 03/06/2020 CALL \$330.00 / CUSIP: / Symbol:							
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	1.000	202.99	03/04/20	202.00	...	0.99	1 of 2 - Option sale to close-call ²⁵
	1.000	202.99	03/04/20	109.00	...	93.99	2 of 2 - Option sale to close-call ²⁵
03/04/20	2.000	405.98	Various	311.00	...	94.98	Total of 2 transactions
03/06/20	1.000	34.98	03/05/20	229.00	...	-194.02	Option sale to close-call ²⁵
	Security total:	440.96		540.00	...	-99.04	
COST 03/06/2020 CALL \$332.50 / CUSIP: / Symbol:							
03/05/20	1.000	110.98	03/04/20	186.00	...	-75.02	Option sale to close-call ²⁵
COST 04/17/2020 CALL \$320.00 / CUSIP: / Symbol:							
04/14/20	1.000	129.98	04/07/20	234.00	...	-104.02	Option sale to close-call ²⁵
COST 07/02/2020 CALL \$305.00 / CUSIP: / Symbol:							
07/01/20	1.000	28.98	06/30/20	93.00	...	-64.02	Option sale to close-call ²⁵
COST 07/17/2020 CALL \$335.00 / CUSIP: / Symbol:							
07/16/20	1.000	14.98	07/13/20	130.00	...	-115.02	Option sale to close-call ²⁵

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(continued)

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CPB 06/12/2020 CALL \$52.50 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/02/20	139.00	...	-139.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/03/20	45.00	...	-45.00	2 of 3 - Option expiration- long call
	2.000	0.00	06/03/20	40.00	...	-40.00	3 of 3 - Option expiration- long call
06/12/20	4.000	0.00	Various	224.00	...	-224.00	Total of 3 transactions
CRC 07/17/2020 PUT \$1.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	2.000	49.98	06/16/20	50.01	...	-0.03	1 of 2 - Option sale to close-put ²⁵
	1.000	24.98	06/16/20	25.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
06/17/20	3.000	74.96	Various	75.01	...	-0.05	Total of 2 transactions
06/17/20	3.000	74.98	06/16/20	75.00	0.01 W	-0.01	Option sale to close-put ²⁵
	Security total:	149.94		150.01	0.01 W	-0.06	
CRM 02/28/2020 CALL \$205.00 / CUSIP: / Symbol:							
02/27/20	1.000	0.98	02/25/20	130.00	...	-129.02	Option sale to close-call ²⁵
CRM 05/29/2020 CALL \$182.50 / CUSIP: / Symbol:							
05/28/20	1.000	393.98	05/21/20	275.00	...	118.98	Option sale to close-call ²⁵
CRM 06/05/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/19/20	1.000	349.98	05/07/20	225.00	...	124.98	Option sale to close-call ²⁵
CRM 06/05/2020 CALL \$187.50 / CUSIP: / Symbol:							
05/21/20	1.000	244.98	05/08/20	300.00	...	-55.02	Option sale to close-call ²⁵
CRM 06/05/2020 PUT \$170.00 / CUSIP: / Symbol:							
05/29/20	1.000	235.98	05/28/20	116.00	...	119.98	Option sale to close-put ²⁵
CRM 07/31/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/15/20	1.000	296.98	07/14/20	210.00	...	86.98	Option sale to close-call ²⁵
CRM 07/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/15/20	1.000	99.98	07/14/20	78.00	...	21.98	Option sale to close-call ²⁵

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CRM 09/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
09/02/20	1.000	539.97	09/01/20	455.00	...	84.97	Option sale to close-call ²⁵
CRM 10/16/2020 CALL \$262.50 / CUSIP: / Symbol:							
09/23/20	1.000	399.98	09/22/20	425.00	...	-25.02	Option sale to close-call ²⁵
CRWD 02/28/2020 PUT \$55.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/27/20	80.00	...	-80.00	Option expiration- long put
CRWD 07/31/2020 CALL \$106.00 / CUSIP: / Symbol:							
07/27/20	1.000	124.98	07/24/20	105.00	...	19.98	Option sale to close-call ²⁵
CRWD 08/14/2020 CALL \$107.00 / CUSIP: / Symbol:							
08/13/20	1.000	2.98	08/07/20	122.00	...	-119.02	Option sale to close-call ²⁵
CRWD 08/14/2020 CALL \$110.00 / CUSIP: / Symbol:							
08/14/20	1.000	64.98	08/13/20	0.00	...	64.98	Short sale closed- call ²⁵ Option written: 08/07/20
CSCO 02/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/12/20	116.00	...	-116.00	Option expiration- long call
CVX 04/09/2020 CALL \$88.00 / CUSIP: / Symbol:							
04/09/20	1.000	0.00	04/08/20	100.00	...	-100.00	Option expiration- long call
CVX 04/17/2020 CALL \$92.50 / CUSIP: / Symbol:							
04/09/20	1.000	132.98	04/08/20	111.00	...	21.98	Option sale to close-call ²⁵
CZR 07/24/2020 CALL \$46.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/15/20	133.00	...	-133.00	Option expiration- long call
DAL 04/09/2020 PUT \$21.00 / CUSIP: / Symbol:							
04/03/20	1.000	125.98	04/02/20	97.00	...	28.98	Option sale to close-put ²⁵
DAL 05/08/2020 PUT \$19.00 / CUSIP: / Symbol:							
05/06/20	1.000	9.98	05/04/20	56.00	...	-46.02	Option sale to close-put ²⁵

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DAL 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	2.000	7.99	07/15/20	26.00	...	-18.01	1 of 2 - Option sale to close-call ²⁵
	2.000	7.99	07/15/20	20.00	...	-12.01	2 of 2 - Option sale to close-call ²⁵
07/16/20	4.000	15.98	Various	46.00	...	-30.02	Total of 2 transactions
DAL 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/24/20	1.000	8.98	06/05/20	200.00	...	-191.02	Option sale to close-call ²⁵
DAL 07/24/2020 CALL \$29.00 / CUSIP: / Symbol:							
07/16/20	1.000	70.98	07/15/20	79.00	...	-8.02	Option sale to close-call ²⁵
DBX 02/21/2020 PUT \$16.50 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/20/20	45.00	...	-45.00	Option expiration- long put
DBX 02/28/2020 CALL \$18.50 / CUSIP: / Symbol:							
02/21/20	1.000	378.98	02/20/20	105.00	...	273.98	Option sale to close-call ²⁵
DBX 02/28/2020 CALL \$22.50 / CUSIP: / Symbol:							
02/21/20	1.000	119.98	02/21/20	80.00	...	39.98	Option sale to close-call ²⁵
DBX 05/29/2020 CALL \$21.50 / CUSIP: / Symbol:							
05/15/20	1.000	114.98	05/14/20	70.00	...	44.98	Option sale to close-call ²⁵
DENN 08/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/18/20	1.000	29.98	06/09/20	105.00	...	-75.02	Option sale to close-call ²⁵
DGLY 10/16/2020 CALL \$2.50 / CUSIP: / Symbol:							
09/22/20	1.000	5.98	09/21/20	10.00	...	-4.02	Option sale to close-call ²⁵
DIS 01/15/2021 CALL \$140.00 / CUSIP: / Symbol:							
05/12/20	1.000	314.98	05/11/20	300.00	...	14.98	Option sale to close-call ²⁵
DIS 01/15/2021 CALL \$155.00 / CUSIP: / Symbol:							
06/08/20	1.000	299.98	05/21/20	305.00	...	-5.02	Option sale to close-call ²⁵

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DIS 01/15/2021 CALL \$165.00 / CUSIP: / Symbol:							
06/04/20	1.000	186.98	05/29/20	163.02	...	23.96	Option sale to close-call ²⁵
06/04/20	1.000	184.98	05/27/20	208.00	23.02 W	0.00	Option sale to close-call ²⁵
	Security total:	371.96		371.02	23.02 W	23.96	
DIS 07/02/2020 PUT \$102.00 / CUSIP: / Symbol:							
06/29/20	1.000	46.98	06/25/20	104.00	...	-57.02	Option sale to close-put ²⁵
DIS 07/31/2020 CALL \$119.00 / CUSIP: / Symbol:							
07/10/20	1.000	414.98	07/08/20	221.00	...	193.98	Option sale to close-call ²⁵
DIS 09/11/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/04/20	1.000	92.98	09/03/20	87.00	...	5.98	Option sale to close-call ²⁵
DIS 09/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/09/20	1.000	256.98	09/08/20	300.00	...	-43.02	Option sale to close-call ²⁵
DIS 09/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/10/20	1.000	23.98	09/09/20	0.00	...	23.98	Short sale closed- call ²⁵ Option written: 09/08/20
DIS 09/25/2020 CALL \$136.00 / CUSIP: / Symbol:							
09/16/20	1.000	91.98	09/15/20	100.00	...	-8.02	Option sale to close-call ²⁵
DIS 09/25/2020 CALL \$139.00 / CUSIP: / Symbol:							
09/17/20	1.000	3.98	09/16/20	0.00	...	3.98	Short sale closed- call ²⁵ Option written: 09/15/20
DIS 10/16/2020 CALL \$145.00 / CUSIP: / Symbol:							
06/16/20	1.000	213.98	06/15/20	211.00	...	2.98	Option sale to close-call ²⁵
DIS 12/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
10/16/20	1.000	139.98	10/15/20	117.00	...	22.98	Option sale to close-call ²⁵
DKNG 06/26/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/22/20	1.000	47.98	06/19/20	110.00	...	-62.02	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DKNG 07/17/2020 CALL \$37.50 / CUSIP: / Symbol:							
07/13/20	1.000	14.98	07/08/20	50.00	...	-35.02	Option sale to close-call ²⁵
DKNG 07/17/2020 CALL \$38.50 / CUSIP: / Symbol:							
07/02/20	1.000	109.98	07/01/20	105.00	...	4.98	Option sale to close-call ²⁵
07/13/20	1.000	5.98	07/01/20	105.00	...	-99.02	Option sale to close-call ²⁵
	Security total:	115.96		210.00	...	-94.04	
DKNG 08/14/2020 CALL \$38.00 / CUSIP: / Symbol:							
08/12/20	1.000	45.98	08/10/20	85.00	...	-39.02	Option sale to close-call ²⁵
08/13/20	1.000	64.98	08/10/20	85.00	...	-20.02	Option sale to close-call ²⁵
	Security total:	110.96		170.00	...	-59.04	
DKNG 10/30/2020 PUT \$37.50 / CUSIP: / Symbol:							
10/27/20	1.000	9.98	10/26/20	0.00	...	9.98	Short sale closed- put ²⁵ Option written: 10/23/20
DKNG 10/30/2020 PUT \$38.00 / CUSIP: / Symbol:							
10/26/20	1.000	49.98	10/23/20	60.00	...	-10.02	Option sale to close-put ²⁵
DKNG 11/13/2020 CALL \$48.50 / CUSIP: / Symbol:							
10/23/20	1.000	229.98	10/20/20	360.00	...	-130.02	Option sale to close-call ²⁵
DLTR 05/29/2020 CALL \$100.00 / CUSIP: / Symbol:							
05/28/20	1.000	94.98	05/27/20	25.00	...	69.98	Option sale to close-call ²⁵
DLTR 06/05/2020 CALL \$88.00 / CUSIP: / Symbol:							
05/27/20	1.000	299.98	05/26/20	235.00	...	64.98	Option sale to close-call ²⁵
DOCU 09/04/2020 CALL \$222.50 / CUSIP: / Symbol:							
09/04/20	1.000	16.98	08/28/20	1,103.00	...	-1,086.02	Option sale to close-call ²⁵
DOCU 09/04/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/08/20	1.000	827.97	09/04/20	0.00	...	827.97	Short sale closed- call ²⁵ Option written: 08/28/20
DOCU 09/04/2020 CALL \$235.00 / CUSIP: / Symbol:							
08/26/20	1.000	469.97	08/26/20	320.00	...	149.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

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(continued)

02/14/2021

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DRNA 12/18/2020 CALL \$22.50 / CUSIP: / Symbol:							
12/08/20	1.000	169.98	10/16/20	140.00	...	29.98	Option sale to close-call ²⁵
DVAX 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/03/20	1.000	149.98	05/29/20	82.00	...	67.98	Option sale to close-call ²⁵
DVAX 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
06/01/20	1.000	104.98	05/29/20	45.00	...	59.98	Option sale to close-call ²⁵
DXC 06/19/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/05/20	1.000	129.98	05/28/20	105.00	...	24.98	Option sale to close-call ²⁵
DXC 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/08/20	1.000	59.98	06/05/20	50.00	...	9.98	Option sale to close-call ²⁵
DXCM 06/19/2020 CALL \$420.00 / CUSIP: / Symbol:							
06/08/20	1.000	194.98	06/05/20	110.00	...	84.98	Option sale to close-call ²⁵
06/09/20	1.000	409.98	06/05/20	130.00	...	279.98	Option sale to close-call ²⁵
		<i>2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	109.99	06/12/20	110.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	109.99	06/12/20	95.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	219.98	Various	205.00	...	14.98	Total of 2 transactions
	Security total:	824.94		445.00	...	379.94	
DXCM 06/19/2020 CALL \$430.00 / CUSIP: / Symbol:							
06/11/20	1.000	134.98	06/10/20	150.00	...	-15.02	Option sale to close-call ²⁵
DXCM 07/17/2020 PUT \$370.00 / CUSIP: / Symbol:							
07/08/20	1.000	139.98	07/06/20	135.00	...	4.98	Option sale to close-put ²⁵
EA 08/07/2020 CALL \$144.00 / CUSIP: / Symbol:							
08/04/20	1.000	214.98	07/30/20	206.00	...	8.98	Option sale to close-call ²⁵
EEM 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
09/01/20	1.000	97.98	08/31/20	57.00	...	40.98	Option sale to close-call ²⁵
EGHT 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/05/20	1.000	4.98	05/12/20	310.00	...	-305.02	Option sale to close-call ²⁵

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(continued)

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ESRT 09/18/2020 CALL \$7.50 / CUSIP: / Symbol:							
06/24/20	1.000	49.98	06/15/20	90.00	...	-40.02	Option sale to close-call ²⁵
ETSY 06/26/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/17/20	3.000	164.98	06/16/20	150.00	...	14.98	Option sale to close-call ²⁵
ETSY 07/24/2020 CALL \$105.00 / CUSIP: / Symbol:							
07/20/20	1.000	324.98	07/17/20	234.00	...	90.98	Option sale to close-call ²⁵
EVRG 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	06/08/20	50.00	...	-42.01	1 of 2 - Option sale to close-call ²⁵
	1.000	7.99	06/08/20	35.00	...	-27.01	2 of 2 - Option sale to close-call ²⁵
06/17/20	2.000	15.98	Various	85.00	...	-69.02	Total of 2 transactions
FANG 03/20/2020 CALL \$90.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/19/20	50.00	...	-50.00	Option expiration- long call
FB 02/28/2020 PUT \$175.00 / CUSIP: / Symbol:							
02/28/20	2.000	117.98	02/27/20	20.00	...	97.98	Option sale to close-put ²⁵
FB 02/28/2020 PUT \$180.00 / CUSIP: / Symbol:							
02/27/20	5.000	229.98	02/26/20	75.00	...	154.98	Option sale to close-put ²⁵
FB 03/06/2020 CALL \$197.50 / CUSIP: / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	7.98	03/04/20	25.00	...	-17.02	1 of 2 - Option sale to close-call ²⁵
	1.000	7.98	03/04/20	25.00	...	-17.02	2 of 2 - Option sale to close-call ²⁵
03/05/20	2.000	15.96	Various	50.00	...	-34.04	Total of 2 transactions
FB 03/06/2020 PUT \$165.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/28/20	100.00	...	-100.00	Option expiration- long put
FB 03/13/2020 PUT \$165.00 / CUSIP: / Symbol:							
02/28/20	1.000	148.98	02/28/20	177.00	...	-28.02	Option sale to close-put ²⁵
FB 05/08/2020 CALL \$205.00 / CUSIP: / Symbol:							
04/30/20	1.000	409.98	04/29/20	267.00	...	142.98	Option sale to close-call ²⁵

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FB 05/29/2020 PUT \$222.50 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	63.99	05/28/20	113.00	...	-49.01	1 of 2 - Option sale to close-put ²⁵
	1.000	63.99	05/28/20	103.00	...	-39.01	2 of 2 - Option sale to close-put ²⁵
05/29/20	2.000	127.98	Various	216.00	...	-88.02	Total of 2 transactions
FB 06/05/2020 CALL \$227.50 / CUSIP: / Symbol:							
05/20/20	1.000	699.97	05/20/20	420.00	...	279.97	Option sale to close-call ²⁵
FB 06/05/2020 CALL \$235.00 / CUSIP: / Symbol:							
05/22/20	1.000	559.97	05/20/20	500.00	...	59.97	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$227.50 / CUSIP: / Symbol:							
06/15/20	1.000	562.97	06/11/20	455.00	...	107.97	Option sale to close-call ²⁵
FB 07/17/2020 CALL \$257.50 / CUSIP: / Symbol:							
07/10/20	1.000	102.98	07/08/20	95.00	...	7.98	Option sale to close-call ²⁵
FB 07/17/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/07/20	1.000	164.98	06/24/20	162.00	...	2.98	Option sale to close-call ²⁵
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.99	07/08/20	119.00	...	-118.01	1 of 3 - Option sale to close-call ²⁵
	1.000	1.00	07/13/20	37.00	...	-36.00	2 of 3 - Option sale to close-call ²⁵
	1.000	0.99	07/14/20	20.00	...	-19.01	3 of 3 - Option sale to close-call ²⁵
07/16/20	3.000	2.98	Various	176.00	...	-173.02	Total of 3 transactions
	Security total:	167.96		338.00	...	-170.04	
FB 09/11/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	09/02/20	535.00	...	-533.01	1 of 2 - Option sale to close-call ²⁵
	1.000	1.99	09/04/20	67.00	...	-65.01	2 of 2 - Option sale to close-call ²⁵
09/10/20	2.000	3.98	Various	602.00	...	-598.02	Total of 2 transactions
FB 09/11/2020 CALL \$330.00 / CUSIP: / Symbol:							
08/26/20	1.000	514.97	08/26/20	284.00	...	230.97	Option sale to close-call ²⁵

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FB 09/18/2020 CALL \$317.50 / CUSIP: / Symbol:							
09/02/20	1.000	520.97	08/31/20	455.00	...	65.97	Option sale to close-call ²⁵
FB 09/18/2020 CALL \$322.50 / CUSIP: / Symbol:							
09/02/20	1.000	399.98	09/01/20	322.00	...	77.98	Option sale to close-call ²⁵
FB 09/18/2020 CALL \$330.00 / CUSIP: / Symbol:							
4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/04/20	133.00	...	-133.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/04/20	76.00	...	-76.00	2 of 4 - Option expiration- long call
	5.000	0.00	09/08/20	120.00	...	-120.00	3 of 4 - Option expiration- long call
	2.000	0.00	09/08/20	36.00	...	-36.00	4 of 4 - Option expiration- long call
09/18/20	9.000	0.00	Various	365.00	...	-365.00	Total of 4 transactions
FB 09/25/2020 CALL \$255.00 / CUSIP: / Symbol:							
09/22/20	1.000	305.98	09/21/20	269.00	...	36.98	Option sale to close-call ²⁵
FB 09/25/2020 CALL \$260.00 / CUSIP: / Symbol:							
09/23/20	1.000	-7.02	09/22/20	0.00	...	-7.02	Short sale closed- call ²⁵ Option written: 09/21/20
FB 09/25/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/16/20	1.000	35.99	09/15/20	40.00	...	-4.01	Option sale to close-call ²⁵
09/16/20	1.000	35.99	09/15/20	52.00	16.01 W	0.00	Option sale to close-call ²⁵
09/18/20	1.000	5.98	09/15/20	56.01	...	-50.03	Option sale to close-call ²⁵
	Security total:	77.96		148.01	16.01 W	-54.04	
FB 10/02/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	196.98	09/28/20	195.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	1.000	185.98	09/28/20	139.00	...	46.98	2 of 2 - Option sale to close-call ²⁵
09/29/20	2.000	382.96	Various	334.00	...	48.96	Total of 2 transactions

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FB 10/02/2020 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	-24.02	09/29/20	0.00	...	-24.02	1 of 2 - Short sale closed- call ²⁵ Option written: 09/28/20
	1.000	3.98	09/29/20	0.00	...	3.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/28/20
09/30/20	2.000	-20.04	Various	0.00	...	-20.04	Total of 2 transactions
FB 10/09/2020 CALL \$270.00 / CUSIP: / Symbol:							
10/06/20	1.000	58.98	10/02/20	330.00	...	-271.02	Option sale to close-call ²⁵
FB 10/09/2020 CALL \$272.50 / CUSIP: / Symbol:							
10/01/20	1.000	332.98	09/30/20	258.00	...	74.98	Option sale to close-call ²⁵
10/07/20	1.000	217.98	10/06/20	0.00	...	217.98	Short sale closed- call ²⁵ Option written: 10/02/20
	Security total:	550.96		258.00	...	292.96	
FB 10/09/2020 CALL \$280.00 / CUSIP: / Symbol:							
10/02/20	1.000	-25.02	10/01/20	0.00	...	-25.02	Short sale closed- call ²⁵ Option written: 09/30/20
FB 10/16/2020 PUT \$265.00 / CUSIP: / Symbol:							
10/13/20	1.000	83.98	10/12/20	73.00	...	10.98	Option sale to close-put ²⁵
FB 10/23/2020 CALL \$270.00 / CUSIP: / Symbol:							
10/19/20	1.000	249.98	10/16/20	399.00	...	-149.02	Option sale to close-call ²⁵
FB 10/23/2020 CALL \$275.00 / CUSIP: / Symbol:							
10/12/20	1.000	939.96	10/07/20	223.00	...	716.96	Option sale to close-call ²⁵
FB 10/23/2020 CALL \$277.50 / CUSIP: / Symbol:							
10/20/20	1.000	92.98	10/19/20	0.00	...	92.98	Short sale closed- call ²⁵ Option written: 10/16/20
FB 12/11/2020 CALL \$285.00 / CUSIP: / Symbol:							
12/11/20	1.000	2.98	12/10/20	24.00	...	-21.02	Option sale to close-call ²⁵

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FDX 03/20/2020 PUT \$70.00 / CUSIP: / Symbol:							
03/18/20	1.000	17.98	03/17/20	199.00	...	-181.02	Option sale to close-put ²⁵
FDX 03/20/2020 PUT \$80.00 / CUSIP: / Symbol:							
03/17/20	1.000	414.98	03/16/20	305.00	...	109.98	Option sale to close-put ²⁵
FDX 07/10/2020 CALL \$148.00 / CUSIP: / Symbol:							
07/01/20	1.000	1,531.95	06/30/20	253.00	...	1,278.95	Option sale to close-call ²⁵
FDX 07/10/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/02/20	1.000	-855.02	07/01/20	0.00	...	-855.02	Short sale closed- call ²⁵ Option written: 06/30/20
FDX 07/10/2020 PUT \$150.00 / CUSIP: / Symbol:							
07/06/20	1.000	84.98	07/02/20	81.00	...	3.98	Option sale to close-put ²⁵
FDX 09/18/2020 CALL \$255.00 / CUSIP: / Symbol:							
09/15/20	1.000	479.97	09/14/20	575.00	...	-95.03	Option sale to close-call ²⁵
FDX 09/18/2020 CALL \$265.00 / CUSIP: / Symbol:							
09/16/20	1.000	34.98	09/15/20	0.00	...	34.98	Short sale closed- call ²⁵ Option written: 09/14/20
FDX 10/30/2020 CALL \$267.50 / CUSIP: / Symbol:							
10/30/20	1.000	97.98	10/29/20	147.00	...	-49.02	Option sale to close-call ²⁵
FDX 10/30/2020 CALL \$270.00 / CUSIP: / Symbol:							
11/02/20	1.000	30.98	10/30/20	0.00	...	30.98	Short sale closed- call ²⁵ Option written: 10/29/20
FE 08/21/2020 CALL \$37.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	07/22/20	105.00	...	-105.00	Option expiration- long call
FIT 01/15/2021 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	35.99	04/30/20	39.02	...	-3.03	1 of 3 - Option sale to close-call ²⁵
	1.000	35.99	04/30/20	38.00	...	-2.01	2 of 3 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FIT 01/15/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	04/30/20	38.00	...	-2.00	3 of 3 - Option sale to close-call ²⁵
05/01/20	3.000	107.98	Various	115.02	...	-7.04	Total of 3 transactions
05/01/20	1.000	35.98	04/30/20	37.00	1.02 W	0.00	Option sale to close-call ²⁵
	Security total:	143.96		152.02	1.02 W	-7.04	
FIT 02/21/2020 PUT \$5.00 / CUSIP: / Symbol:							
02/21/20	10.000	0.00	02/20/20	20.00	...	-20.00	Option expiration- long put
FIT 02/28/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.98	02/19/20	2.00	...	0.98	1 of 3 - Option sale to close-call ²⁵
	4.000	11.98	02/19/20	8.00	...	3.98	2 of 3 - Option sale to close-call ²⁵
	5.000	14.98	02/19/20	10.00	...	4.98	3 of 3 - Option sale to close-call ²⁵
02/20/20	10.000	29.94	Various	20.00	...	9.94	Total of 3 transactions
02/28/20	10.000	0.00	02/20/20	40.00	...	-40.00	Option expiration- long call
	Security total:	29.94		60.00	...	-30.06	
FLDM 05/15/2020 CALL \$5.00 / CUSIP: / Symbol:							
05/12/20	1.000	79.98	05/11/20	51.00	...	28.98	Option sale to close-call ²⁵
FSLY 07/17/2020 CALL \$110.00 / CUSIP: / Symbol:							
06/30/20	1.000	204.98	06/29/20	195.00	...	9.98	Option sale to close-call ²⁵
FXI 06/05/2020 PUT \$37.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	05/22/20	74.00	...	-74.00	Option expiration- long put
GBT 06/19/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	05/18/20	70.00	...	-70.00	Option expiration- long call
GDDY 08/21/2020 CALL \$82.50 / CUSIP: / Symbol:							
08/11/20	1.000	74.98	08/05/20	105.00	...	-30.02	Option sale to close-call ²⁵
GDY 05/22/2020 CALL \$37.00 / CUSIP: / Symbol:							
05/20/20	1.000	70.98	05/15/20	82.00	...	-11.02	Option sale to close-call ²⁵

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GH 05/15/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/08/20	1.000	299.98	05/07/20	300.00	...	-0.02	Option sale to close-call ²⁵
GILD 02/14/2020 CALL \$65.50 / CUSIP: / Symbol:							
02/05/20	1.000	145.98	02/05/20	104.00	...	41.98	Option sale to close-call ²⁵
GILD 02/14/2020 CALL \$69.50 / CUSIP: / Symbol:							
02/14/20	1.000	0.00	02/07/20	179.00	...	-179.00	Option expiration- long call
GILD 03/20/2020 CALL \$83.00 / CUSIP: / Symbol:							
03/13/20	1.000	44.98	03/06/20	320.00	...	-275.02	Option sale to close-call ²⁵
GILD 03/27/2020 PUT \$69.50 / CUSIP: / Symbol:							
03/25/20	1.000	95.98	03/24/20	109.00	...	-13.02	Option sale to close-put ²⁵
GILD 04/03/2020 CALL \$75.00 / CUSIP: / Symbol:							
03/25/20	1.000	304.98	03/24/20	315.00	...	-10.02	Option sale to close-call ²⁵
GILD 04/09/2020 CALL \$79.00 / CUSIP: / Symbol:							
04/06/20	1.000	149.98	04/03/20	255.00	...	-105.02	Option sale to close-call ²⁵
GILD 04/09/2020 CALL \$79.50 / CUSIP: / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	1.000	218.98	03/30/20	202.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	1.000	262.98	03/30/20	202.00	...	60.98	2 of 2 - Option sale to close-call ²⁵
04/03/20	2.000	481.96	Various	404.00	...	77.96	Total of 2 transactions
04/06/20	2.000	277.98	03/30/20	404.00	...	-126.02	Option sale to close-call ²⁵
Security total:		759.94		808.00	...	-48.06	
GILD 06/12/2020 CALL \$79.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	05/18/20	175.00	...	-25.01	1 of 2 - Option sale to close-call ²⁵
	1.000	149.99	05/19/20	115.00	...	34.99	2 of 2 - Option sale to close-call ²⁵
05/29/20	2.000	299.98	Various	290.00	...	9.98	Total of 2 transactions
GILD 10/09/2020 CALL \$64.00 / CUSIP: / Symbol:							
10/02/20	1.000	61.98	10/01/20	81.00	...	-19.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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GLD 07/17/2020 CALL \$169.00 / CUSIP: / Symbol:							
06/22/20	1.000	174.98	06/18/20	96.00	...	78.98	Option sale to close-call ²⁵
GLUU 06/19/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/03/20	1.000	14.98	06/01/20	40.00	...	-25.02	Option sale to close-call ²⁵
GM 06/19/2020 CALL \$28.00 / CUSIP: / Symbol:							
06/16/20	1.000	117.98	06/12/20	95.00	...	22.98	Option sale to close-call ²⁵
GME 01/03/2020 CALL \$6.50 / CUSIP: / Symbol:							
01/02/20	1.000	6.98	01/02/20	5.00	...	1.98	Option sale to close-call ²⁵
01/03/20	9.000	0.00	01/02/20	45.00	...	-45.00	Option expiration- long call
	Security total:	6.98		50.00	...	-43.02	
GOOG 10/02/2020 CALL \$1,540.00 / CUSIP: / Symbol:							
09/28/20	1.000	164.98	09/25/20	250.00	...	-85.02	Option sale to close-call ²⁵
GOOGL 05/29/2020 CALL \$1,475.0 / CUSIP: / Symbol:							
05/29/20	1.000	4.98	05/26/20	300.00	...	-295.02	Option sale to close-call ²⁵
GOOGL 05/29/2020 PUT \$1,390.00 / CUSIP: / Symbol:							
05/28/20	1.000	229.98	05/28/20	110.00	...	119.98	Option sale to close-put ²⁵
GOOGL 06/12/2020 CALL \$1,495.0 / CUSIP: / Symbol:							
06/09/20	1.000	499.97	06/05/20	340.00	...	159.97	Option sale to close-call ²⁵
GPK 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/01/20	1.000	49.98	05/28/20	50.00	...	-0.02	Option sale to close-call ²⁵
GPS 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
07/16/20	1.000	25.98	07/15/20	21.00	...	4.98	Option sale to close-call ²⁵
GPS 08/21/2020 CALL \$18.00 / CUSIP: / Symbol:							
	<i>3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	89.98	06/22/20	20.00	...	69.98	1 of 3 - Option sale to close-call ²⁵
	1.000	94.98	06/22/20	20.00	...	74.98	2 of 3 - Option sale to close-call ²⁵
	2.000	115.98	06/22/20	40.00	...	75.98	3 of 3 - Option sale to close-call ²⁵
06/26/20	4.000	300.94	Various	80.00	...	220.94	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GRUB 06/19/2020 CALL \$61.00 / CUSIP: / Symbol:							
06/11/20	1.000	274.98	06/10/20	220.00	...	54.98	Option sale to close-call ²⁵
GRUB 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	13.99	06/11/20	98.00	...	-84.01	1 of 2 - Option sale to close-call ²⁵
	1.000	13.99	06/11/20	74.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
06/17/20	2.000	27.98	Various	172.00	...	-144.02	Total of 2 transactions
GS 07/10/2020 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	07/08/20	100.00	...	-55.01	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	07/08/20	87.00	...	-42.01	2 of 2 - Option sale to close-call ²⁵
07/08/20	2.000	89.98	Various	187.00	...	-97.02	Total of 2 transactions
GS 10/16/2020 CALL \$202.50 / CUSIP: / Symbol:							
10/06/20	1.000	664.97	10/05/20	580.00	...	84.97	Option sale to close-call ²⁵
GS 10/16/2020 CALL \$205.00 / CUSIP: / Symbol:							
10/07/20	1.000	-72.03	10/06/20	0.00	...	-72.03	Short sale closed- call ²⁵ Option written: 10/05/20
GS 10/16/2020 CALL \$220.00 / CUSIP: / Symbol:							
10/02/20	1.000	102.98	10/01/20	109.00	...	-6.02	Option sale to close-call ²⁵
GUSH 07/17/2020 CALL \$34.00 / CUSIP: / Symbol:							
07/16/20	1.000	64.98	07/15/20	70.00	...	-5.02	Option sale to close-call ²⁵
GUSH 10/16/2020 CALL \$23.00 / CUSIP: / Symbol:							
10/02/20	1.000	69.98	10/01/20	83.00	...	-13.02	Option sale to close-call ²⁵
GUSH 10/16/2020 CALL \$24.00 / CUSIP: / Symbol:							
10/02/20	1.000	69.98	10/01/20	75.00	...	-5.02	Option sale to close-call ²⁵
GWPH 05/15/2020 CALL \$120.00 / CUSIP: / Symbol:							
05/12/20	1.000	114.98	05/11/20	100.00	...	14.98	Option sale to close-call ²⁵

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HAL 10/16/2020 CALL \$18.00 / CUSIP: / Symbol:							
08/10/20	1.000	50.98	08/05/20	54.00	...	-3.02	Option sale to close-call ²⁵
HD 05/22/2020 CALL \$252.50 / CUSIP: / Symbol:							
05/18/20	1.000	429.98	05/15/20	229.00	...	200.98	Option sale to close-call ²⁵
HD 05/22/2020 PUT \$225.00 / CUSIP: / Symbol:							
05/19/20	1.000	21.98	05/18/20	69.00	...	-47.02	Option sale to close-put ²⁵
HEXO 01/21/2022 CALL \$0.50 / CUSIP: / Symbol:							
06/16/20	1.000	49.98	06/04/20	39.00	...	10.98	Option sale to close-call ²⁵
HOME 07/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
06/19/20	1.000	19.98	06/18/20	40.00	...	-20.02	Option sale to close-put ²⁵
ICE 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
06/03/20	1.000	114.98	06/01/20	135.00	20.02 W	0.00	Option sale to close-call ²⁵
06/09/20	1.000	94.98	06/02/20	115.02	...	-20.04	Option sale to close-call ²⁵
Security total:		209.96		250.02	20.02 W	-20.04	
ICLK 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/01/20	1.000	64.98	05/21/20	100.00	...	-35.02	Option sale to close-call ²⁵
ICLK 09/18/2020 CALL \$5.00 / CUSIP: / Symbol:							
05/22/20	1.000	99.98	05/21/20	110.00	...	-10.02	Option sale to close-call ²⁵
INO 03/20/2020 PUT \$5.00 / CUSIP: / Symbol:							
03/11/20	1.000	59.98	03/09/20	55.00	...	4.98	Option sale to close-put ²⁵
INO 04/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
04/07/20	1.000	114.98	04/06/20	100.00	...	14.98	Option sale to close-call ²⁵
INO 05/15/2020 CALL \$12.50 / CUSIP: / Symbol:							
05/12/20	1.000	90.98	05/11/20	100.00	...	-9.02	Option sale to close-call ²⁵
INTC 08/21/2020 CALL \$54.50 / CUSIP: / Symbol:							
07/30/20	1.000	14.98	07/24/20	100.00	...	-85.02	Option sale to close-call ²⁵

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INTC 09/04/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	3.000	26.98	08/20/20	27.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	2.000	19.98	08/20/20	18.00	...	1.98	2 of 2 - Option sale to close-call ²⁵
08/31/20	5.000	46.96	Various	45.00	...	1.96	Total of 2 transactions
INTC 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/02/20	1.000	68.98	07/27/20	66.00	...	2.98	Option sale to close-call ²⁵
INTC 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	07/24/20	40.00	...	-25.02	1 of 2 - Option sale to close-call ²⁵
	1.000	15.98	07/24/20	40.00	...	-24.02	2 of 2 - Option sale to close-call ²⁵
08/03/20	2.000	30.96	Various	80.00	...	-49.04	Total of 2 transactions
INTC 10/16/2020 CALL \$52.50 / CUSIP: / Symbol:							
10/13/20	1.000	154.98	09/02/20	265.00	...	-110.02	Option sale to close-call ²⁵
INTC 10/16/2020 PUT \$53.50 / CUSIP: / Symbol:							
10/13/20	1.000	35.98	10/12/20	44.02	...	-8.04	Option sale to close-put ²⁵
10/13/20	1.000	24.98	10/12/20	37.00	12.02 W	0.00	Option sale to close-put ²⁵
	Security total:	60.96		81.02	12.02 W	-8.04	
IPHI 05/15/2020 CALL \$115.00 / CUSIP: / Symbol:							
05/12/20	1.000	214.98	05/08/20	150.00	...	64.98	Option sale to close-call ²⁵
ITGR 05/15/2020 PUT \$60.00 / CUSIP: / Symbol:							
05/08/20	1.000	4.98	05/07/20	40.00	...	-35.02	Option sale to close-put ²⁵
IVR 08/21/2020 CALL \$4.00 / CUSIP: / Symbol:							
07/16/20	2.000	39.98	07/15/20	40.00	...	-0.02	Option sale to close-call ²⁵
IWM 09/18/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/03/20	1.000	257.98	09/02/20	241.00	...	16.98	Option sale to close-call ²⁵

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JCP 02/28/2020 PUT \$0.50 / CUSIP: / Symbol:							
5 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	02/24/20	30.00	...	-30.00	1 of 5 - Option expiration- long put
	10.000	0.00	02/24/20	30.00	...	-30.00	2 of 5 - Option expiration- long put
	5.000	0.00	02/24/20	15.00	...	-15.00	3 of 5 - Option expiration- long put
	4.000	0.00	02/24/20	12.00	...	-12.00	4 of 5 - Option expiration- long put
	1.000	0.00	02/24/20	3.00	...	-3.00	5 of 5 - Option expiration- long put
02/28/20	30.000	0.00	Various	90.00	...	-90.00	Total of 5 transactions
JCP 03/13/2020 PUT \$0.50 / CUSIP: / Symbol:							
02/24/20	1.000	1.98	02/24/20	2.00	...	-0.02	Option sale to close-put ²⁵
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	5.000	14.98	02/24/20	10.00	...	4.98	1 of 2 - Option sale to close-put ²⁵
	15.000	44.96	02/24/20	30.00	...	14.96	2 of 2 - Option sale to close-put ²⁵
02/25/20	20.000	59.94	Various	40.00	...	19.94	Total of 2 transactions
6 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	8.000	15.97	02/24/20	16.00	...	-0.03	1 of 6 - Option sale to close-put ²⁵
	5.000	9.98	02/24/20	10.00	...	-0.02	2 of 6 - Option sale to close-put ²⁵
	5.000	9.98	02/24/20	10.00	...	-0.02	3 of 6 - Option sale to close-put ²⁵
	3.000	5.98	02/24/20	6.00	...	-0.02	4 of 6 - Option sale to close-put ²⁵
	3.000	5.98	02/24/20	6.00	...	-0.02	5 of 6 - Option sale to close-put ²⁵
	5.000	9.98	02/24/20	10.00	...	-0.02	6 of 6 - Option sale to close-put ²⁵
02/26/20	29.000	57.87	Various	58.00	...	-0.13	Total of 6 transactions
	Security total:	119.79		100.00	...	19.79	
JD 02/28/2020 CALL \$42.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/20/20	60.00	...	-60.00	Option expiration- long call
JD 03/13/2020 PUT \$40.50 / CUSIP: / Symbol:							
03/03/20	1.000	56.98	03/02/20	49.00	...	7.98	Option sale to close-put ²⁵
JD 05/22/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/18/20	1.000	261.98	05/14/20	195.00	...	66.98	Option sale to close-call ²⁵
JETS 06/19/2020 CALL \$17.00 / CUSIP: / Symbol:							
06/11/20	1.000	129.98	05/27/20	60.00	...	69.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JETS 07/31/2020 CALL \$17.50 / CUSIP: / Symbol:							
07/16/20	1.000	74.98	07/15/20	75.00	...	-0.02	Option sale to close-call ²⁵
JKS 11/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
11/05/20	1.000	199.98	11/04/20	85.00	...	114.98	Option sale to close-call ²⁵
JKS 11/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/02/20	1.000	119.98	10/29/20	115.00	...	4.98	Option sale to close-call ²⁵
SNJ 04/17/2020 CALL \$139.00 / CUSIP: / Symbol:							
04/06/20	1.000	374.98	03/31/20	315.00	...	59.98	Option sale to close-call ²⁵
JPM 07/02/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/29/20	1.000	11.98	06/25/20	176.00	...	-164.02	Option sale to close-call ²⁵
JPM 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
	4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	106.99	09/03/20	116.00	...	-9.01	1 of 4 - Option sale to close-call ²⁵
	1.000	133.98	09/03/20	109.00	...	24.98	2 of 4 - Option sale to close-call ²⁵
	1.000	133.98	09/03/20	109.00	...	24.98	3 of 4 - Option sale to close-call ²⁵
	1.000	106.99	09/03/20	77.00	...	29.99	4 of 4 - Option sale to close-call ²⁵
09/04/20	4.000	481.94	Various	411.00	...	70.94	Total of 4 transactions
JPM 10/16/2020 CALL \$101.00 / CUSIP: / Symbol:							
10/12/20	1.000	225.98	10/06/20	208.00	...	17.98	Option sale to close-call ²⁵
JPM 10/16/2020 CALL \$104.00 / CUSIP: / Symbol:							
10/13/20	1.000	4.98	10/12/20	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 10/06/20
JPM 12/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/15/20	1.000	46.98	05/08/20	63.00	...	-16.02	Option sale to close-call ²⁵
KNDI 09/18/2020 CALL \$11.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	08/17/20	125.00	...	-125.00	Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

(continued)

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KNDI 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	99.98	11/03/20	35.00	...	64.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	11/03/20	30.00	...	69.98	2 of 2 - Option sale to close-call ²⁵
11/04/20	2.000	199.96	Various	65.00	...	134.96	Total of 2 transactions
KODK 10/16/2020 CALL \$10.00 / CUSIP: / Symbol:							
09/14/20	1.000	49.98	09/11/20	50.00	...	-0.02	Option sale to close-call ²⁵
KR 06/26/2020 CALL \$33.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	36.99	06/17/20	132.00	...	-95.01	1 of 2 - Option sale to close-call ²⁵
	1.000	36.99	06/19/20	37.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
06/24/20	2.000	73.98	Various	169.00	...	-95.02	Total of 2 transactions
KR 07/02/2020 CALL \$34.00 / CUSIP: / Symbol:							
06/30/20	1.000	28.98	06/17/20	116.00	...	-87.02	Option sale to close-call ²⁵
KSS 08/28/2020 CALL \$24.50 / CUSIP: / Symbol:							
08/28/20	1.000	0.00	08/17/20	128.00	...	-128.00	Option expiration- long call
KSU 06/26/2020 CALL \$155.00 / CUSIP: / Symbol:							
06/24/20	1.000	99.98	06/23/20	160.00	...	-60.02	Option sale to close-call ²⁵
KSU 10/16/2020 CALL \$192.50 / CUSIP: / Symbol:							
10/16/20	1.000	11.98	10/15/20	45.00	...	-33.02	Option sale to close-call ²⁵
LLY 06/05/2020 CALL \$157.50 / CUSIP: / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/01/20	94.00	...	-94.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/01/20	64.00	...	-64.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/02/20	38.00	...	-38.00	3 of 3 - Option expiration- long call
06/05/20	3.000	0.00	Various	196.00	...	-196.00	Total of 3 transactions
LLY 07/17/2020 CALL \$177.50 / CUSIP: / Symbol:							
07/08/20	1.000	104.98	07/07/20	71.00	...	33.98	Option sale to close-call ²⁵

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(continued)

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LMNR 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/09/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/09/20	55.00	...	-55.00	2 of 2 - Option expiration- long call
06/19/20	2.000	0.00	Various	130.00	...	-130.00	Total of 2 transactions
LOW 05/29/2020 CALL \$121.00 / CUSIP: / Symbol:							
05/21/20	1.000	224.98	05/20/20	172.00	...	52.98	Option sale to close-call ²⁵
LRCX 05/29/2020 CALL \$280.00 / CUSIP: / Symbol:							
05/27/20	1.000	177.98	05/19/20	420.00	...	-242.02	Option sale to close-call ²⁵
LRCX 06/26/2020 PUT \$280.00 / CUSIP: / Symbol:							
06/18/20	1.000	135.98	06/16/20	350.00	...	-214.02	Option sale to close-put ²⁵
LULU 06/05/2020 CALL \$330.00 / CUSIP: / Symbol:							
06/02/20	1.000	104.98	06/01/20	67.00	...	37.98	Option sale to close-call ²⁵
LULU 06/26/2020 PUT \$290.00 / CUSIP: / Symbol:							
06/19/20	1.000	344.98	06/18/20	315.00	...	29.98	Option sale to close-put ²⁵
LUV 10/16/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	10/07/20	54.00	...	0.98	1 of 2 - Option sale to close-call ²⁵
	1.000	66.98	10/07/20	50.00	...	16.98	2 of 2 - Option sale to close-call ²⁵
10/08/20	2.000	121.96	Various	104.00	...	17.96	Total of 2 transactions
LUV 10/16/2020 CALL \$41.00 / CUSIP: / Symbol:							
10/14/20	1.000	15.98	10/12/20	25.00	...	-9.02	Option sale to close-call ²⁵
LUV 12/24/2020 CALL \$48.50 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/16/20	37.00	...	-37.00	Option expiration- long call
LVGO 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/15/20	1.000	299.98	06/04/20	235.00	...	64.98	Option sale to close-call ²⁵

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(continued)

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LVGO 07/17/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	119.98	06/22/20	105.00	...	14.98	1 of 2 - Option sale to close-call ²⁵
	1.000	119.98	06/22/20	105.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
06/23/20	2.000	239.96	Various	210.00	...	29.96	Total of 2 transactions
M 04/09/2020 PUT \$4.50 / CUSIP: / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	03/30/20	25.00	...	14.98	1 of 2 - Option sale to close-put ²⁵
	3.000	122.98	03/30/20	75.00	...	47.98	2 of 2 - Option sale to close-put ²⁵
04/02/20	4.000	162.96	Various	100.00	...	62.96	Total of 2 transactions
M 05/01/2020 CALL \$7.00 / CUSIP: / Symbol:							
04/09/20	2.000	127.98	04/07/20	120.00	...	7.98	Option sale to close-call ²⁵
M 07/31/2020 CALL \$9.50 / CUSIP: / Symbol:							
07/16/20	3.000	14.98	07/07/20	60.00	...	-45.02	Option sale to close-call ²⁵
M 08/21/2020 CALL \$12.00 / CUSIP: / Symbol:							
06/17/20	1.000	30.98	06/09/20	97.00	...	-66.02	Option sale to close-call ²⁵
MCD 07/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/13/20	1.000	107.98	07/09/20	90.00	...	17.98	Option sale to close-call ²⁵
MDB 07/17/2020 CALL \$225.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/14/20	105.00	...	-105.00	Option expiration- long call
MDB 07/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/14/20	115.00	...	-115.00	Option expiration- long call
MFA 01/15/2021 CALL \$1.00 / CUSIP: / Symbol:							
06/11/20	1.000	169.98	06/04/20	155.00	...	14.98	Option sale to close-call ²⁵
MFA 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/16/20	1.000	20.98	06/15/20	35.00	...	-14.02	Option sale to close-call ²⁵
MGM 09/18/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/11/20	1.000	91.98	05/08/20	102.00	...	-10.02	Option sale to close-call ²⁵

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(continued)

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MNST 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
07/21/20	1.000	310.98	07/20/20	285.00	...	25.98	Option sale to close-call ²⁵
MPWR 07/17/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/22/20	30.00	...	-30.00	Option expiration- long call
MRK 06/19/2020 CALL \$83.00 / CUSIP: / Symbol:							
06/05/20	1.000	122.98	06/03/20	107.00	...	15.98	Option sale to close-call ²⁵
06/09/20	1.000	108.98	06/04/20	73.00	...	35.98	Option sale to close-call ²⁵
	Security total:	231.96		180.00	...	51.96	
MRK 07/17/2020 CALL \$82.50 / CUSIP: / Symbol:							
05/29/20	1.000	129.98	05/26/20	102.00	...	27.98	Option sale to close-call ²⁵
MRNA 03/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
03/18/20	1.000	223.98	03/17/20	265.00	41.02 W	0.00	Option sale to close-call ²⁵
03/20/20	1.000	29.98	03/19/20	206.02	...	-176.04	Option sale to close-call ²⁵
	Security total:	253.96		471.02	41.02 W	-176.04	
MRNA 03/27/2020 CALL \$26.00 / CUSIP: / Symbol:							
03/17/20	1.000	529.97	03/16/20	255.00	...	274.97	Option sale to close-call ²⁵
MRNA 03/27/2020 PUT \$19.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	54.99	03/16/20	70.00	...	-15.01	1 of 2 - Option sale to close-put ²⁵
	1.000	54.99	03/18/20	35.00	...	19.99	2 of 2 - Option sale to close-put ²⁵
03/19/20	2.000	109.98	Various	105.00	...	4.98	Total of 2 transactions
MRNA 03/27/2020 PUT \$22.00 / CUSIP: / Symbol:							
03/23/20	1.000	24.98	03/19/20	105.00	...	-80.02	Option sale to close-put ²⁵
MRNA 07/17/2020 CALL \$68.00 / CUSIP: / Symbol:							
07/13/20	1.000	589.97	07/09/20	335.00	...	254.97	Option sale to close-call ²⁵
MRNA 07/24/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/17/20	1.000	339.98	07/14/20	305.00	...	34.98	Option sale to close-call ²⁵

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(continued)

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MRNA 09/25/2020 CALL \$76.00 / CUSIP: / Symbol:							
09/22/20	1.000	18.98	09/17/20	198.00	...	-179.02	Option sale to close-call ²⁵
MRNA 09/25/2020 CALL \$80.50 / CUSIP: / Symbol:							
09/23/20	1.000	99.98	09/22/20	0.00	...	99.98	Short sale closed- call ²⁵ Option written: 09/17/20
MSFT 04/09/2020 CALL \$170.00 / CUSIP: / Symbol:							
04/07/20	1.000	149.98	04/06/20	37.00	...	112.98	Option sale to close-call ²⁵
MSFT 04/17/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/17/20	1.000	44.98	04/16/20	58.00	...	-13.02	Option sale to close-call ²⁵
MSFT 05/08/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/30/20	1.000	304.98	04/29/20	277.00	...	27.98	Option sale to close-call ²⁵
MSFT 06/26/2020 CALL \$205.00 / CUSIP: / Symbol:							
	3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	89.00	06/12/20	100.00	...	-11.00	1 of 3 - Option sale to close-call ²⁵
	1.000	88.99	06/12/20	81.00	...	7.99	2 of 3 - Option sale to close-call ²⁵
	1.000	89.98	06/16/20	92.01	...	-2.03	3 of 3 - Option sale to close-call ²⁵
06/17/20	3.000	267.97	Various	273.01	...	-5.04	Total of 3 transactions
06/17/20	1.000	88.99	06/12/20	92.00	3.01 W	0.00	Option sale to close-call ²⁵
	Security total:	356.96		365.01	3.01 W	-5.04	
MSFT 07/10/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/11/20	1.000	221.98	06/05/20	55.00	...	166.98	Option sale to close-call ²⁵
MSFT 07/24/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/23/20	1.000	23.98	07/22/20	92.00	...	-68.02	Option sale to close-put ²⁵
MSFT 07/31/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/28/20	1.000	144.98	07/24/20	235.00	...	-90.02	Option sale to close-call ²⁵
MSFT 07/31/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/20/20	1.000	536.97	07/17/20	270.00	...	266.97	Option sale to close-call ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/31/2020 CALL \$222.50 / CUSIP: / Symbol: 07/28/20	1.000	5.98	07/22/20	216.00	...	-210.02	Option sale to close-call ²⁵
MSFT 09/04/2020 CALL \$240.00 / CUSIP: / Symbol: 09/03/20	1.000	13.98	08/27/20	444.00	...	-430.02	Option sale to close-call ²⁵
MSFT 09/04/2020 CALL \$245.00 / CUSIP: / Symbol: 09/03/20	1.000	7.98	08/31/20	65.00	...	-57.02	Option sale to close-call ²⁵
MSFT 09/04/2020 CALL \$250.00 / CUSIP: / Symbol: 09/04/20	1.000	271.98	09/03/20	0.00	...	271.98	Short sale closed- call ²⁵ Option written: 08/27/20
MSFT 09/11/2020 CALL \$227.50 / CUSIP: / Symbol: 09/08/20	1.000	19.98	09/04/20	266.00	...	-246.02	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$232.50 / CUSIP: / Symbol: 09/09/20	1.000	156.98	09/08/20	0.00	...	156.98	Short sale closed- call ²⁵ Option written: 09/04/20
MSFT 10/02/2020 CALL \$212.50 / CUSIP: / Symbol: 10/01/20	1.000	169.98	09/30/20	90.00	...	79.98	Option sale to close-call ²⁵
MSFT 10/02/2020 CALL \$215.00 / CUSIP: / Symbol: 10/01/20	1.000	75.98	09/30/20	77.00	...	-1.02	Option sale to close-call ²⁵
MSFT 10/16/2020 PUT \$217.50 / CUSIP: / Symbol: 10/14/20	1.000	89.98	10/13/20	68.00	...	21.98	Option sale to close-put ²⁵
MSFT 10/23/2020 CALL \$227.50 / CUSIP: / Symbol: 10/21/20	1.000	5.98	10/13/20	212.00	...	-206.02	Option sale to close-call ²⁵
MSFT 10/23/2020 CALL \$232.50 / CUSIP: / Symbol: 10/19/20	1.000	7.98	10/14/20	98.00	...	-90.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MU 06/26/2020 CALL \$52.50 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	8.98	06/23/20	75.01	...	-66.03	1 of 2 - Option sale to close-call ²⁵
	1.000	8.98	06/23/20	75.01	...	-66.03	2 of 2 - Option sale to close-call ²⁵
06/24/20	2.000	17.96	Various	150.02	...	-132.06	Total of 2 transactions
06/24/20	2.000	17.98	06/22/20	128.00	110.02 W	0.00	Option sale to close-call ²⁵
	Security total:	35.94		278.02	110.02 W	-132.06	
MU 07/02/2020 CALL \$49.00 / CUSIP: / Symbol:							
06/29/20	1.000	148.98	06/25/20	185.00	...	-36.02	Option sale to close-call ²⁵
MU 10/02/2020 PUT \$48.50 / CUSIP: / Symbol:							
09/30/20	1.000	104.98	09/29/20	86.00	...	18.98	Option sale to close-put ²⁵
MU 10/16/2020 CALL \$51.50 / CUSIP: / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	33.99	09/29/20	226.00	...	-192.01	1 of 2 - Option sale to close-call ²⁵
	1.000	33.99	09/30/20	52.00	...	-18.01	2 of 2 - Option sale to close-call ²⁵
10/12/20	2.000	67.98	Various	278.00	...	-210.02	Total of 2 transactions
NAV 06/05/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/04/20	1.000	164.98	06/01/20	165.00	...	-0.02	Option sale to close-call ²⁵
NCLH 07/02/2020 PUT \$16.50 / CUSIP: / Symbol:							
06/22/20	1.000	109.98	06/19/20	110.00	...	-0.02	Option sale to close-put ²⁵
NCLH 08/21/2020 CALL \$16.00 / CUSIP: / Symbol:							
07/22/20	1.000	143.98	07/21/20	145.00	...	-1.02	Option sale to close-call ²⁵
NEE 10/16/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/17/20	1.000	224.98	09/16/20	300.00	...	-75.02	Option sale to close-call ²⁵
NET 07/17/2020 CALL \$33.00 / CUSIP: / Symbol:							
05/29/20	1.000	119.98	05/28/20	125.00	...	-5.02	Option sale to close-call ²⁵
NET 07/17/2020 CALL \$43.00 / CUSIP: / Symbol:							
07/14/20	1.000	4.98	07/10/20	130.00	...	-125.02	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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NET 08/21/2020 CALL \$43.00 / CUSIP: / Symbol:							
08/05/20	1.000	292.98	08/03/20	320.00	...	-27.02	Option sale to close-call ²⁵
NET 08/21/2020 CALL \$44.00 / CUSIP: / Symbol:							
08/06/20	1.000	18.98	08/05/20	0.00	...	18.98	Short sale closed- call ²⁵ Option written: 08/03/20
NET 09/18/2020 CALL \$37.00 / CUSIP: / Symbol:							
09/10/20	1.000	60.98	09/09/20	60.00	...	0.98	Option sale to close-call ²⁵
NET 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/28/20	105.00	...	-105.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/02/20	64.00	...	-64.00	2 of 3 - Option expiration- long call
	3.000	0.00	09/09/20	30.00	...	-30.00	3 of 3 - Option expiration- long call
09/18/20	5.000	0.00	Various	199.00	...	-199.00	Total of 3 transactions
NET 11/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
11/06/20	1.000	264.98	11/06/20	90.00	...	174.98	Option sale to close-call ²⁵
NFLX 03/06/2020 PUT \$292.50 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/28/20	162.00	...	-162.00	Option expiration- long put
NFLX 03/13/2020 CALL \$335.00 / CUSIP: / Symbol:							
03/13/20	1.000	212.98	03/12/20	845.00	...	-632.02	Option sale to close-call ²⁵
NFLX 03/13/2020 CALL \$395.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/06/20	283.00	...	-283.00	Option expiration- long call
NFLX 03/13/2020 PUT \$312.50 / CUSIP: / Symbol:							
03/12/20	2.000	1,299.96	03/12/20	1,590.00	...	-290.04	Option sale to close-put ²⁵
NFLX 03/13/2020 PUT \$320.00 / CUSIP: / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	992.97	03/12/20	775.00	...	217.97	1 of 2 - Option sale to close-put ²⁵
	1.000	992.97	03/12/20	615.00	...	377.97	2 of 2 - Option sale to close-put ²⁵
03/12/20	2.000	1,985.94	Various	1,390.00	...	595.94	Total of 2 transactions

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Account 773001367

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(continued)

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NFLX 03/27/2020 CALL \$390.00 / CUSIP: / Symbol:							
03/26/20	1.000	11.98	03/24/20	215.00	...	-203.02	Option sale to close-call ²⁵
NFLX 04/03/2020 CALL \$392.50 / CUSIP: / Symbol:							
03/31/20	1.000	213.98	03/30/20	265.00	...	-51.02	Option sale to close-call ²⁵
NFLX 04/24/2020 CALL \$460.00 / CUSIP: / Symbol:							
04/21/20	1.000	2,014.94	04/17/20	1,595.00	...	419.94	Option sale to close-call ²⁵
NFLX 04/24/2020 CALL \$500.00 / CUSIP: / Symbol:							
04/16/20	1.000	929.96	04/15/20	680.00	...	249.96	Option sale to close-call ²⁵
NFLX 04/24/2020 CALL \$550.00 / CUSIP: / Symbol:							
04/17/20	1.000	213.98	04/16/20	445.00	...	-231.02	Option sale to close-call ²⁵
NFLX 05/22/2020 CALL \$495.00 / CUSIP: / Symbol:							
05/18/20	1.000	99.98	05/14/20	157.00	...	-57.02	Option sale to close-call ²⁵
NFLX 05/29/2020 CALL \$455.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	05/21/20	320.00	...	-320.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/22/20	123.00	...	-123.00	2 of 2 - Option expiration- long call
05/29/20	2.000	0.00	Various	443.00	...	-443.00	Total of 2 transactions
NFLX 05/29/2020 PUT \$380.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/27/20	118.00	...	-118.00	Option expiration- long put
NFLX 06/05/2020 CALL \$440.00 / CUSIP: / Symbol:							
06/05/20	1.000	2.98	06/01/20	194.00	...	-191.02	Option sale to close-call ²⁵
NFLX 07/10/2020 CALL \$520.00 / CUSIP: / Symbol:							
07/07/20	1.000	170.98	07/06/20	200.00	...	-29.02	Option sale to close-call ²⁵
NFLX 07/17/2020 CALL \$680.00 / CUSIP: / Symbol:							
07/16/20	1.000	87.98	07/13/20	420.00	...	-332.02	Option sale to close-call ²⁵
NFLX 07/31/2020 CALL \$530.00 / CUSIP: / Symbol:							
07/29/20	1.000	21.98	07/28/20	100.00	...	-78.02	Option sale to close-call ²⁵

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(continued)

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NFLX 09/11/2020 CALL \$580.00 / CUSIP: / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	09/03/20	640.00	...	-638.01	1 of 2 - Option sale to close-call ²⁵
	1.000	1.99	09/04/20	130.00	...	-128.01	2 of 2 - Option sale to close-call ²⁵
09/10/20	2.000	3.98	Various	770.00	...	-766.02	Total of 2 transactions
NFLX 09/18/2020 CALL \$505.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	556.97	09/14/20	383.00	...	173.97	1 of 2 - Option sale to close-call ²⁵
	1.000	444.98	09/14/20	184.00	...	260.98	2 of 2 - Option sale to close-call ²⁵
09/15/20	2.000	1,001.95	Various	567.00	...	434.95	Total of 2 transactions
NFLX 09/18/2020 CALL \$515.00 / CUSIP: / Symbol:							
09/16/20	1.000	-303.04	09/15/20	0.00	...	-303.04	Short sale closed- call ²⁵
09/16/20	1.000	-141.02	09/15/20	0.00	141.02 W	0.00	Option written: 09/14/20 Short sale closed- call ²⁵ Option written: 09/14/20
	Security total:	-444.06		0.00	141.02 W	-303.04	
NFLX 09/25/2020 CALL \$485.00 / CUSIP: / Symbol:							
09/21/20	1.000	631.97	09/18/20	431.00	...	200.97	Option sale to close-call ²⁵
NFLX 09/25/2020 CALL \$495.00 / CUSIP: / Symbol:							
09/22/20	1.000	-134.02	09/21/20	0.00	...	-134.02	Short sale closed- call ²⁵ Option written: 09/18/20
NFLX 10/02/2020 PUT \$475.00 / CUSIP: / Symbol:							
10/01/20	1.000	91.98	09/30/20	0.00	...	91.98	Short sale closed- put ²⁵ Option written: 09/29/20
NFLX 10/02/2020 PUT \$480.00 / CUSIP: / Symbol:							
09/30/20	1.000	180.98	09/29/20	303.00	...	-122.02	Option sale to close-put ²⁵
NFLX 10/09/2020 CALL \$515.00 / CUSIP: / Symbol:							
09/30/20	1.000	559.97	09/29/20	571.00	...	-11.03	Option sale to close-call ²⁵

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NFLX 10/09/2020 CALL \$522.50 / CUSIP: / Symbol: 10/07/20	1.000	1,464.95	10/06/20	475.00	...	989.95	Option sale to close-call ²⁵
NFLX 10/09/2020 CALL \$525.00 / CUSIP: / Symbol: 10/08/20	1.000	-883.02	10/07/20	0.00	...	-883.02	Short sale closed- call ²⁵ Option written: 10/06/20
NFLX 10/09/2020 CALL \$530.00 / CUSIP: / Symbol: 10/01/20	1.000	20.98	09/30/20	0.00	...	20.98	Short sale closed- call ²⁵ Option written: 09/29/20
NFLX 10/09/2020 CALL \$575.00 / CUSIP: / Symbol: 10/01/20	1.000	67.98	09/30/20	73.00	...	-5.02	Option sale to close-call ²⁵
NFLX 10/23/2020 CALL \$560.00 / CUSIP: / Symbol: 10/19/20	1.000	1,182.96	10/16/20	2,252.00	...	-1,069.04	Option sale to close-call ²⁵
NFLX 10/23/2020 CALL \$565.00 / CUSIP: / Symbol: 10/20/20	1.000	1,000.94	10/19/20	0.00	...	1,000.94	Short sale closed- call ²⁵ Option written: 10/16/20
NFLX 12/24/2020 CALL \$640.00 / CUSIP: / Symbol: 12/24/20	1.000	0.00	11/06/20	258.00	...	-258.00	Option expiration- long call
NH 07/17/2020 PUT \$2.50 / CUSIP: / Symbol: 06/16/20	2.000	61.98	06/15/20	70.00	...	-8.02	Option sale to close-put ²⁵
NICE 05/15/2020 CALL \$185.00 / CUSIP: / Symbol: 05/14/20	1.000	9.98	05/13/20	46.00	...	-36.02	Option sale to close-call ²⁵
NICE 05/15/2020 PUT \$155.00 / CUSIP: / Symbol: 05/15/20	1.000	0.00	05/13/20	50.00	...	-50.00	Option expiration- long put
NIO 02/28/2020 PUT \$4.00 / CUSIP: / Symbol: 1.000		31.99	02/19/20	24.00	...	7.99	1 of 5 - Option sale to close-put ²⁵
1.000		31.99	02/19/20	24.00	...	7.99	2 of 5 - Option sale to close-put ²⁵
1.000		32.00	02/19/20	24.00	...	8.00	3 of 5 - Option sale to close-put ²⁵

5 transactions for 02/24/20. Total proceeds and cost reported to the IRS.

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NIO 02/28/2020 PUT \$4.00 / CUSIP: / Symbol: (cont'd)							
	1.000	32.00	02/19/20	24.00	...	8.00	4 of 5 - Option sale to close-put ²⁵
	1.000	32.00	02/19/20	24.00	...	8.00	5 of 5 - Option sale to close-put ²⁵
02/24/20	5.000	159.98	Various	120.00	...	39.98	Total of 5 transactions
NIO 03/06/2020 PUT \$4.00 / CUSIP: / Symbol:							
02/21/20	2.000	65.98	02/20/20	50.00	...	15.98	Option sale to close-put ²⁵
NIO 03/27/2020 PUT \$1.50 / CUSIP: / Symbol:							
03/19/20	7.000	34.98	03/18/20	35.00	...	-0.02	Option sale to close-put ²⁵
03/19/20	2.000	9.99	03/18/20	10.00	0.01 W	0.00	Option sale to close-put ²⁵
03/27/20	1.000	0.00	03/18/20	5.01	...	-5.01	Option expiration- long put
	Security total:	44.97		50.01	0.01 W	-5.03	
NIO 07/02/2020 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	06/03/20	58.00	...	39.98	1 of 2 - Option sale to close-call ²⁵
	1.000	97.98	06/05/20	81.00	...	16.98	2 of 2 - Option sale to close-call ²⁵
06/11/20	2.000	195.96	Various	139.00	...	56.96	Total of 2 transactions
NIO 07/10/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	237.98	07/02/20	66.00	...	171.98	1 of 2 - Option sale to close-call ²⁵
	1.000	283.98	07/02/20	60.00	...	223.98	2 of 2 - Option sale to close-call ²⁵
07/06/20	2.000	521.96	Various	126.00	...	395.96	Total of 2 transactions
NIO 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/16/20	1.000	2.98	07/10/20	171.00	...	-168.02	Option sale to close-call ²⁵
NIO 08/07/2020 CALL \$14.50 / CUSIP: / Symbol:							
08/04/20	1.000	37.98	08/03/20	32.00	...	5.98	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/14/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	129.98	08/03/20	75.00	...	54.98	1 of 2 - Option sale to close-call ²⁵
	1.000	132.98	08/03/20	75.00	...	57.98	2 of 2 - Option sale to close-call ²⁵
08/04/20	2.000	262.96	Various	150.00	...	112.96	Total of 2 transactions
NIO 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
02/12/20	3.000	287.98	01/30/20	285.00	...	2.98	Option sale to close-call ²⁵
NIO 09/11/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/01/20	148.00	...	-148.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/02/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
09/11/20	3.000	0.00	Various	198.00	...	-198.00	Total of 2 transactions
NIO 10/02/2020 CALL \$23.00 / CUSIP: / Symbol:							
09/30/20	1.000	58.98	09/29/20	25.00	...	33.98	Option sale to close-call ²⁵
NIO 10/09/2020 CALL \$23.00 / CUSIP: / Symbol:							
10/01/20	1.000	97.98	09/30/20	147.00	...	-49.02	Option sale to close-call ²⁵
NIO 10/16/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	179.98	10/12/20	65.00	...	114.98	1 of 2 - Option sale to close-call ²⁵
	1.000	344.98	10/13/20	42.00	...	302.98	2 of 2 - Option sale to close-call ²⁵
10/14/20	2.000	524.96	Various	107.00	...	417.96	Total of 2 transactions
NIO 10/16/2020 PUT \$27.00 / CUSIP: / Symbol:							
10/16/20	1.000	8.98	10/15/20	36.00	...	-27.02	Option sale to close-put ²⁵
NIO 10/23/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	18.98	10/09/20	25.00	...	-6.02	1 of 2 - Option sale to close-call ²⁵
	1.000	27.98	10/09/20	25.00	...	2.98	2 of 2 - Option sale to close-call ²⁵
10/12/20	2.000	46.96	Various	50.00	...	-3.04	Total of 2 transactions

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 10/23/2020 CALL \$28.00 / CUSIP: / Symbol:							
10/16/20	1.000	215.98	10/15/20	152.00	...	63.98	Option sale to close-call ²⁵
NIO 10/30/2020 CALL \$27.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	242.98	10/14/20	91.00	...	151.98	1 of 2 - Option sale to close-call ²⁵
	1.000	240.98	10/14/20	83.00	...	157.98	2 of 2 - Option sale to close-call ²⁵
10/15/20	2.000	483.96	Various	174.00	...	309.96	Total of 2 transactions
NIO 10/30/2020 CALL \$29.00 / CUSIP: / Symbol:							
10/16/20	1.000	-52.02	10/15/20	0.00	...	-52.02	Short sale closed- call ²⁵ Option written: 10/14/20
NKLA 07/02/2020 CALL \$67.00 / CUSIP: / Symbol:							
06/30/20	1.000	129.98	06/29/20	340.00	...	-210.02	Option sale to close-call ²⁵
NKLA 07/10/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/24/20	1.000	199.98	06/23/20	265.00	...	-65.02	Option sale to close-call ²⁵
NKLA 07/31/2020 CALL \$58.00 / CUSIP: / Symbol:							
07/06/20	1.000	389.98	07/02/20	380.00	...	9.98	Option sale to close-call ²⁵
NKLA 08/07/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/04/20	1.000	716.97	08/03/20	189.00	...	527.97	Option sale to close-call ²⁵
NKLA 08/07/2020 CALL \$34.00 / CUSIP: / Symbol:							
08/05/20	1.000	-460.02	08/04/20	0.00	...	-460.02	Short sale closed- call ²⁵ Option written: 08/03/20
NKLA 08/07/2020 CALL \$41.00 / CUSIP: / Symbol:							
08/05/20	1.000	27.98	08/04/20	278.00	...	-250.02	Option sale to close-call ²⁵
NKLA 08/07/2020 CALL \$48.50 / CUSIP: / Symbol:							
08/06/20	1.000	99.98	08/05/20	0.00	...	99.98	Short sale closed- call ²⁵ Option written: 08/04/20
NKLA 09/25/2020 PUT \$16.50 / CUSIP: / Symbol:							
09/22/20	1.000	19.98	09/21/20	64.00	...	-44.02	Option sale to close-put ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOW 07/02/2020 CALL \$440.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	06/23/20	210.00	...	-210.00	Option expiration- long call
NOW 07/31/2020 CALL \$510.00 / CUSIP: / Symbol:							
07/23/20	1.000	209.98	07/21/20	200.00	...	9.98	Option sale to close-call ²⁵
NTAP 06/05/2020 CALL \$48.00 / CUSIP: / Symbol:							
06/05/20	1.000	20.98	05/26/20	120.00	...	-99.02	Option sale to close-call ²⁵
NTNX 05/29/2020 CALL \$24.00 / CUSIP: / Symbol:							
05/21/20	1.000	204.98	05/19/20	94.00	...	110.98	Option sale to close-call ²⁵
NTNX 05/29/2020 CALL \$25.00 / CUSIP: / Symbol:							
05/27/20	1.000	169.98	05/22/20	185.00	...	-15.02	Option sale to close-call ²⁵
NTNX 06/05/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/04/20	1.000	9.98	05/21/20	195.00	...	-185.02	Option sale to close-call ²⁵
NVDA 02/28/2020 CALL \$327.50 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/21/20	51.00	...	-51.00	Option expiration- long call
NVDA 05/22/2020 CALL \$350.00 / CUSIP: / Symbol:							
05/13/20	1.000	449.98	05/12/20	405.00	...	44.98	Option sale to close-call ²⁵
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	1.000	449.98	05/12/20	291.00	...	158.98	1 of 2 - Option sale to close-call ²⁵
	1.000	519.97	05/14/20	320.00	...	199.97	2 of 2 - Option sale to close-call ²⁵
05/15/20	2.000	969.95	Various	611.00	...	358.95	Total of 2 transactions
	Security total:	1,419.93		1,016.00	...	403.93	
NVDA 05/22/2020 CALL \$390.00 / CUSIP: / Symbol:							
05/20/20	1.000	339.98	05/18/20	320.00	...	19.98	Option sale to close-call ²⁵
NVDA 05/29/2020 CALL \$400.00 / CUSIP: / Symbol:							
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	05/21/20	465.00	...	-465.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/21/20	380.00	...	-380.00	2 of 2 - Option expiration- long call
05/29/20	2.000	0.00	Various	845.00	...	-845.00	Total of 2 transactions

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(continued)

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NVDA 06/19/2020 CALL \$375.00 / CUSIP: / Symbol: 06/09/20	1.000	429.98	06/04/20	410.00	...	19.98	Option sale to close-call ²⁵
NVDA 06/19/2020 CALL \$390.00 / CUSIP: / Symbol: 06/16/20	1.000	68.98	06/15/20	105.00	...	-36.02	Option sale to close-call ²⁵
NVDA 06/19/2020 PUT \$325.00 / CUSIP: / Symbol: 06/16/20	1.000	21.98	06/15/20	132.00	...	-110.02	Option sale to close-put ²⁵
NVDA 06/19/2020 PUT \$342.50 / CUSIP: / Symbol: 06/17/20	1.000	36.98	06/16/20	235.00	...	-198.02	Option sale to close-put ²⁵
NVDA 06/26/2020 PUT \$357.50 / CUSIP: / Symbol: 06/25/20	1.000	74.98	06/24/20	107.00	...	-32.02	Option sale to close-put ²⁵
NVDA 07/02/2020 CALL \$385.00 / CUSIP: / Symbol: 06/30/20	1.000	129.98	06/29/20	115.00	...	14.98	Option sale to close-call ²⁵
NVDA 07/02/2020 CALL \$402.50 / CUSIP: / Symbol: 06/25/20	1.000	121.98	06/24/20	143.00	...	-21.02	Option sale to close-call ²⁵
NVDA 09/04/2020 CALL \$550.00 / CUSIP: / Symbol: 09/03/20	1.000	199.98	09/03/20	265.00	...	-65.02	Option sale to close-call ²⁵
NVDA 09/18/2020 CALL \$520.00 / CUSIP: / Symbol: 09/09/20	1.000	1,318.96	09/04/20	1,830.00	...	-511.04	Option sale to close-call ²⁵
NVDA 09/18/2020 CALL \$530.00 / CUSIP: / Symbol: 09/10/20	1.000	497.95	09/09/20	0.00	...	497.95	Short sale closed- call ²⁵ Option written: 09/04/20
NVDA 09/18/2020 PUT \$490.00 / CUSIP: / Symbol: 09/16/20	1.000	281.98	09/15/20	210.00	...	71.98	Option sale to close-put ²⁵
NVDA 09/25/2020 CALL \$592.50 / CUSIP: / Symbol: 09/16/20	1.000	157.98	09/15/20	222.00	...	-64.02	Option sale to close-call ²⁵
NVTA 03/20/2020 CALL \$30.00 / CUSIP: / Symbol: 03/17/20	1.000	4.98	02/19/20	140.00	...	-135.02	Option sale to close-call ²⁵

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Account 773001367

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(continued)

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NVTA 11/20/2020 CALL \$55.00 / CUSIP: / Symbol:							
11/09/20	1.000	14.98	11/05/20	70.00	...	-55.02	Option sale to close-call ²⁵
OGI 09/18/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/09/20	3.000	134.98	06/08/20	102.00	...	32.98	Option sale to close-call ²⁵
06/10/20	2.000	103.98	06/08/20	68.00	...	35.98	Option sale to close-call ²⁵
	Security total:	238.96		170.00	...	68.96	
OOMA 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
05/27/20	1.000	34.98	05/26/20	35.00	...	-0.02	Option sale to close-call ²⁵
OPK 06/12/2020 CALL \$2.50 / CUSIP: / Symbol:							
05/15/20	1.000	39.98	05/14/20	55.02	...	-15.04	Option sale to close-call ²⁵
05/15/20	1.000	39.98	05/14/20	45.00	5.02 W	0.00	Option sale to close-call ²⁵
	Security total:	79.96		100.02	5.02 W	-15.04	
OPK 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
05/13/20	2.000	49.98	05/11/20	50.00	...	-0.02	Option sale to close-call ²⁵
OPK 09/18/2020 CALL \$6.00 / CUSIP: / Symbol:							
07/28/20	1.000	101.98	07/27/20	102.00	...	-0.02	Option sale to close-call ²⁵
ORCL 09/18/2020 CALL \$63.00 / CUSIP: / Symbol:							
09/15/20	1.000	64.98	09/14/20	139.02	...	-74.04	Option sale to close-call ²⁵
09/15/20	1.000	68.98	09/14/20	167.00	98.02 W	0.00	Option sale to close-call ²⁵
	Security total:	133.96		306.02	98.02 W	-74.04	
ORCL 09/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	-17.02	09/15/20	0.00	...	-17.02	1 of 2 - Short sale closed- call ²⁵ Option written: 09/14/20
	1.000	69.98	09/15/20	0.00	...	69.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/14/20
09/16/20	2.000	52.96	Various	0.00	...	52.96	Total of 2 transactions
OSTK 07/24/2020 CALL \$47.00 / CUSIP: / Symbol:							
07/17/20	1.000	329.98	07/14/20	275.00	...	54.98	Option sale to close-call ²⁵

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(continued)

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OSTK 07/31/2020 CALL \$47.00 / CUSIP: / Symbol:							
07/13/20	1.000	829.97	07/08/20	470.00	...	359.97	Option sale to close-call ²⁵
OSTK 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/14/20	1.000	194.98	09/11/20	186.02	...	8.96	Option sale to close-call ²⁵
09/14/20	1.000	192.98	09/11/20	280.00	87.02 W	0.00	Option sale to close-call ²⁵
	Security total:	387.96		466.02	87.02 W	8.96	
OSTK 09/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	-39.02	09/14/20	0.00	...	-39.02	1 of 2 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	46.98	09/14/20	0.00	...	46.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/11/20
09/15/20	2.000	7.96	Various	0.00	...	7.96	Total of 2 transactions
OSTK 09/18/2020 PUT \$65.00 / CUSIP: / Symbol:							
09/17/20	1.000	108.98	09/16/20	0.00	...	108.98	Short sale closed- put ²⁵ Option written: 09/15/20
OSTK 09/18/2020 PUT \$67.00 / CUSIP: / Symbol:							
09/16/20	1.000	50.98	09/15/20	213.00	...	-162.02	Option sale to close-put ²⁵
OSTK 09/25/2020 CALL \$75.00 / CUSIP: / Symbol:							
09/16/20	1.000	594.97	09/15/20	599.00	...	-4.03	Option sale to close-call ²⁵
OSTK 09/25/2020 CALL \$81.00 / CUSIP: / Symbol:							
09/17/20	1.000	-21.02	09/16/20	0.00	...	-21.02	Short sale closed- call ²⁵ Option written: 09/15/20
OSTK 10/09/2020 PUT \$80.00 / CUSIP: / Symbol:							
10/12/20	1.000	53.98	10/09/20	0.00	...	53.98	Short sale closed- put ²⁵ Option written: 10/08/20
OSTK 10/09/2020 PUT \$82.00 / CUSIP: / Symbol:							
10/09/20	1.000	77.98	10/08/20	169.00	...	-91.02	Option sale to close-put ²⁵

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(continued)

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OSTK 10/16/2020 CALL \$90.00 / CUSIP: / Symbol:							
10/13/20	1.000	94.98	10/12/20	105.00	...	-10.02	Option sale to close-call ²⁵
OSTK 10/16/2020 CALL \$95.00 / CUSIP: / Symbol:							
10/14/20	1.000	9.98	10/07/20	265.00	...	-255.02	Option sale to close-call ²⁵
OSUR 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/07/20	1.000	54.98	05/05/20	70.00	...	-15.02	Option sale to close-call ²⁵
OSW 09/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/17/20	1.000	29.98	06/08/20	75.00	...	-45.02	Option sale to close-call ²⁵
OXY 04/09/2020 CALL \$17.00 / CUSIP: / Symbol:							
	3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	2.000	21.98	04/02/20	52.00	...	-30.02	1 of 3 - Option sale to close-call ²⁵
	1.000	10.98	04/02/20	26.00	...	-15.02	2 of 3 - Option sale to close-call ²⁵
	1.000	10.98	04/02/20	26.00	...	-15.02	3 of 3 - Option sale to close-call ²⁵
04/07/20	4.000	43.94	Various	104.00	...	-60.06	Total of 3 transactions
OXY 05/01/2020 CALL \$18.50 / CUSIP: / Symbol:							
04/28/20	2.000	5.98	04/09/20	360.00	...	-354.02	Option sale to close-call ²⁵
OXY 07/17/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/17/20	1.000	56.98	06/08/20	203.00	...	-146.02	Option sale to close-call ²⁵
PAYX 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/07/20	1.000	58.98	07/06/20	165.00	...	-106.02	Option sale to close-call ²⁵
PAYX 07/17/2020 PUT \$72.50 / CUSIP: / Symbol:							
07/07/20	1.000	59.98	07/06/20	70.00	...	-10.02	Option sale to close-put ²⁵
PCG 05/29/2020 PUT \$11.00 / CUSIP: / Symbol:							
05/27/20	2.000	51.98	05/26/20	58.00	...	-6.02	Option sale to close-put ²⁵
PD 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/03/20	65.00	...	-65.00	Option expiration- long call

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PD 06/19/2020 PUT \$22.50 / CUSIP: / Symbol:							
06/05/20	1.000	39.98	06/04/20	65.00	...	-25.02	Option sale to close-put ²⁵
PEAK 10/16/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/17/20	1.000	129.98	06/09/20	170.00	...	-40.02	Option sale to close-call ²⁵
PFE 07/17/2020 CALL \$36.00 / CUSIP: / Symbol:							
06/04/20	1.000	136.98	06/03/20	126.00	...	10.98	Option sale to close-call ²⁵
PFE 07/31/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	70.98	07/22/20	50.00	...	20.98	1 of 2 - Option sale to close-call ²⁵
	1.000	70.98	07/22/20	50.00	...	20.98	2 of 2 - Option sale to close-call ²⁵
07/23/20	2.000	141.96	Various	100.00	...	41.96	Total of 2 transactions
PFE 09/25/2020 CALL \$37.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	42.99	09/17/20	44.00	...	-1.01	1 of 2 - Option sale to close-call ²⁵
	1.000	47.98	09/17/20	45.01	...	2.97	2 of 2 - Option sale to close-call ²⁵
09/18/20	2.000	90.97	Various	89.01	...	1.96	Total of 2 transactions
09/18/20	1.000	42.99	09/17/20	44.00	1.01 W	0.00	Option sale to close-call ²⁵
	Security total:	133.96		133.01	1.01 W	1.96	
PFE 11/13/2020 CALL \$43.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/09/20	36.00	...	-36.00	Option expiration- long call
PINS 06/05/2020 CALL \$19.50 / CUSIP: / Symbol:							
05/20/20	1.000	79.98	05/19/20	113.00	...	-33.02	Option sale to close-call ²⁵
PINS 09/18/2020 CALL \$39.00 / CUSIP: / Symbol:							
09/02/20	1.000	237.98	08/19/20	206.00	...	31.98	Option sale to close-call ²⁵
PINS 10/16/2020 CALL \$45.00 / CUSIP: / Symbol:							
10/16/20	1.000	33.98	10/14/20	49.00	...	-15.02	Option sale to close-call ²⁵
PINS 11/06/2020 CALL \$50.00 / CUSIP: / Symbol:							
10/15/20	1.000	186.98	10/14/20	204.00	...	-17.02	Option sale to close-call ²⁵

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(continued)

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PINS 12/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
10/29/20	1.000	619.97	10/28/20	133.00	...	486.97	Option sale to close-call ²⁵
PLAN 03/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
02/26/20	2.000	59.98	02/24/20	60.00	...	-0.02	Option sale to close-call ²⁵
PLAY 06/05/2020 CALL \$14.50 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	289.99	05/26/20	110.00	...	179.99	1 of 2 - Option sale to close-call ²⁵
	1.000	144.99	05/27/20	97.00	...	47.99	2 of 2 - Option sale to close-call ²⁵
05/28/20	3.000	434.98	Various	207.00	...	227.98	Total of 2 transactions
06/02/20	1.000	19.98	05/27/20	97.00	...	-77.02	Option sale to close-call ²⁵
	Security total:	454.96		304.00	...	150.96	
PLAY 06/12/2020 CALL \$14.50 / CUSIP: / Symbol:							
06/11/20	1.000	151.98	05/27/20	165.00	...	-13.02	Option sale to close-call ²⁵
POST 07/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/22/20	60.00	...	-60.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/26/20	5.00	...	-5.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/29/20	5.00	...	-5.00	3 of 3 - Option expiration- long call
07/17/20	4.000	0.00	Various	70.00	...	-70.00	Total of 3 transactions
PPC 06/19/2020 CALL \$21.00 / CUSIP: / Symbol:							
06/09/20	1.000	39.98	06/04/20	40.00	...	-0.02	Option sale to close-call ²⁵
PS 06/19/2020 CALL \$22.50 / CUSIP: / Symbol:							
06/01/20	1.000	69.98	05/28/20	70.00	...	-0.02	Option sale to close-call ²⁵
PSTI 04/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
04/14/20	1.000	19.98	04/13/20	110.00	...	-90.02	Option sale to close-call ²⁵
04/15/20	1.000	94.98	04/13/20	110.00	...	-15.02	Option sale to close-call ²⁵
	Security total:	114.96		220.00	...	-105.04	
PSTI 04/17/2020 PUT \$5.00 / CUSIP: / Symbol:							
04/17/20	2.000	0.00	04/09/20	40.00	...	-40.00	Option expiration- long put

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(continued)

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PTON 06/19/2020 CALL \$51.00 / CUSIP: / Symbol:							
06/17/20	1.000	121.98	06/16/20	80.00	...	41.98	Option sale to close-call ²⁵
PTON 07/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/18/20	1.000	260.98	06/16/20	266.00	...	-5.02	Option sale to close-call ²⁵
PTON 09/11/2020 CALL \$89.00 / CUSIP: / Symbol:							
09/10/20	1.000	757.97	09/09/20	756.00	...	1.97	Option sale to close-call ²⁵
PTON 09/11/2020 CALL \$93.50 / CUSIP: / Symbol:							
09/11/20	1.000	6.97	09/10/20	0.00	...	6.97	Short sale closed- call ²⁵ Option written: 09/09/20
PTON 09/18/2020 CALL \$100.00 / CUSIP: / Symbol:							
09/03/20	1.000	744.97	09/02/20	700.00	...	44.97	Option sale to close-call ²⁵
PTON 09/18/2020 CALL \$105.00 / CUSIP: / Symbol:							
09/15/20	1.000	15.98	09/11/20	242.02	...	-226.04	Option sale to close-call ²⁵
09/15/20	1.000	13.98	09/11/20	178.00	164.02 W	0.00	Option sale to close-call ²⁵
	Security total:	29.96		420.02	164.02 W	-226.04	
PTON 09/18/2020 CALL \$115.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	25.98	09/15/20	0.00	...	25.98	1 of 2 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	60.98	09/15/20	0.00	...	60.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/11/20
09/16/20	2.000	86.96	Various	0.00	...	86.96	Total of 2 transactions
PTON 09/25/2020 PUT \$74.50 / CUSIP: / Symbol:							
09/21/20	1.000	64.98	09/18/20	0.00	...	64.98	Short sale closed- put ²⁵ Option written: 09/17/20
PTON 09/25/2020 PUT \$78.00 / CUSIP: / Symbol:							
09/18/20	1.000	103.98	09/17/20	229.00	...	-125.02	Option sale to close-put ²⁵
PTON 10/16/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/18/20	1.000	629.97	09/17/20	505.00	...	124.97	Option sale to close-call ²⁵

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PTON 10/16/2020 CALL \$95.00 / CUSIP: / Symbol:							
09/21/20	1.000	-0.02	09/18/20	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 09/17/20
PZZA 06/19/2020 CALL \$85.00 / CUSIP: / Symbol:							
04/30/20	1.000	164.98	04/24/20	300.00	...	-135.02	Option sale to close-call ²⁵
QCOM 08/07/2020 CALL \$94.00 / CUSIP: / Symbol:							
07/29/20	1.000	240.98	07/28/20	172.00	...	68.98	Option sale to close-call ²⁵
QCOM 11/13/2020 CALL \$155.00 / CUSIP: / Symbol:							
11/06/20	1.000	43.98	11/05/20	79.00	...	-35.02	Option sale to close-call ²⁵
QCOM 11/13/2020 CALL \$160.00 / CUSIP: / Symbol:							
11/09/20	1.000	44.98	11/06/20	0.00	...	44.98	Short sale closed- call ²⁵ Option written: 11/05/20
QQQ 05/01/2020 CALL \$216.00 / CUSIP: / Symbol:							
04/28/20	1.000	266.98	04/27/20	313.00	...	-46.02	Option sale to close-call ²⁵
QQQ 05/29/2020 PUT \$221.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/27/20	116.00	...	-116.00	Option expiration- long put
QQQ 06/30/2020 PUT \$238.00 / CUSIP: / Symbol:							
06/25/20	1.000	210.98	06/24/20	220.00	...	-9.02	Option sale to close-put ²⁵
QQQ 07/31/2020 CALL \$265.00 / CUSIP: / Symbol:							
07/28/20	1.000	97.98	07/27/20	125.00	...	-27.02	Option sale to close-call ²⁵
QQQ 08/21/2020 PUT \$276.00 / CUSIP: / Symbol:							
08/19/20	1.000	154.98	08/18/20	149.00	...	5.98	Option sale to close-put ²⁵
QQQ 08/28/2020 PUT \$285.00 / CUSIP: / Symbol:							
08/27/20	1.000	30.98	08/26/20	137.00	106.02 W	0.00	Option sale to close-put ²⁵
08/28/20	1.000	7.98	08/26/20	186.02	...	-178.04	Option sale to close-put ²⁵
	Security total:	38.96		323.02	106.02 W	-178.04	

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QQQ 09/18/2020 CALL \$287.00 / CUSIP: / Symbol: 09/10/20	1.000	304.98	09/08/20	332.00	...	-27.02	Option sale to close-call ²⁵
QQQ 09/18/2020 CALL \$294.00 / CUSIP: / Symbol: 09/11/20	1.000	38.98	09/10/20	0.00	...	38.98	Short sale closed- call ²⁵ Option written: 09/08/20
QQQ 09/18/2020 PUT \$268.00 / CUSIP: / Symbol: 09/18/20	1.000	-51.02	09/17/20	0.00	...	-51.02	Short sale closed- put ²⁵ Option written: 09/16/20
QQQ 09/18/2020 PUT \$274.00 / CUSIP: / Symbol: 09/17/20	1.000	383.98	09/16/20	234.00	...	149.98	Option sale to close-put ²⁵
QQQ 09/25/2020 CALL \$269.00 / CUSIP: / Symbol: 09/23/20	1.000	249.98	09/23/20	319.00	...	-69.02	Option sale to close-call ²⁵
QQQ 09/25/2020 CALL \$272.50 / CUSIP: / Symbol: 09/18/20	1.000	417.98	09/17/20	474.00	...	-56.02	Option sale to close-call ²⁵
QQQ 09/25/2020 CALL \$273.00 / CUSIP: / Symbol: 09/24/20	1.000	38.98	09/23/20	0.00	...	38.98	Short sale closed- call ²⁵ Option written: 09/23/20
QQQ 09/25/2020 CALL \$276.00 / CUSIP: / Symbol: 09/21/20	1.000	49.98	09/18/20	0.00	...	49.98	Short sale closed- call ²⁵ Option written: 09/17/20
QQQ 09/25/2020 CALL \$279.00 / CUSIP: / Symbol: 09/18/20	1.000	162.98	09/17/20	222.00	...	-59.02	Option sale to close-call ²⁵
QQQ 09/25/2020 CALL \$284.00 / CUSIP: / Symbol: 09/21/20	1.000	33.98	09/18/20	0.00	...	33.98	Short sale closed- call ²⁵ Option written: 09/17/20
QQQ 09/25/2020 PUT \$266.00 / CUSIP: / Symbol: 09/21/20	1.000	-2.02	09/18/20	0.00	...	-2.02	Short sale closed- put ²⁵ Option written: 09/17/20

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QQQ 09/25/2020 PUT \$269.00 / CUSIP: / Symbol: 09/18/20	1.000	517.97	09/17/20	509.00	...	8.97	Option sale to close-put ²⁵
QQQ 09/30/2020 CALL \$279.00 / CUSIP: / Symbol: 09/30/20	1.000	50.98	09/29/20	135.00	...	-84.02	Option sale to close-call ²⁵
QQQ 09/30/2020 PUT \$262.00 / CUSIP: / Symbol: 09/22/20	1.000	-125.03	09/21/20	0.00	...	-125.03	Short sale closed- put ²⁵ Option written: 09/18/20
QQQ 09/30/2020 PUT \$266.00 / CUSIP: / Symbol: 09/21/20	1.000	774.97	09/18/20	631.00	...	143.97	Option sale to close-put ²⁵
QQQ 09/30/2020 PUT \$270.00 / CUSIP: / Symbol: 09/30/20	1.000	14.98	09/29/20	0.00	...	14.98	Short sale closed- put ²⁵ Option written: 09/28/20
QQQ 09/30/2020 PUT \$273.00 / CUSIP: / Symbol: 09/30/20	1.000	41.98	09/29/20	84.00	...	-42.02	Option sale to close-put ²⁵
QQQ 09/30/2020 PUT \$276.00 / CUSIP: / Symbol: 09/29/20	1.000	181.98	09/28/20	222.00	...	-40.02	Option sale to close-put ²⁵
QQQ 10/02/2020 CALL \$282.00 / CUSIP: / Symbol: 10/02/20	1.000	0.00	10/01/20	167.00	...	-167.00	Option expiration- long call
QQQ 10/02/2020 PUT \$267.00 / CUSIP: / Symbol: 10/01/20	1.000	59.98	09/30/20	0.00	...	59.98	Short sale closed- put ²⁵ Option written: 09/29/20
QQQ 10/02/2020 PUT \$273.00 / CUSIP: / Symbol: 09/30/20	1.000	111.98	09/29/20	247.00	135.02 W	0.00	Option sale to close-put ²⁵
10/01/20	1.000	26.98	09/30/20	219.02	...	-192.04	Option sale to close-put ²⁵
Security total:		138.96		466.02	135.02 W	-192.04	
QQQ 10/02/2020 PUT \$277.00 / CUSIP: / Symbol: 10/05/20	1.000	-61.02	10/02/20	0.00	...	-61.02	Short sale closed- put ²⁵ Option written: 10/01/20

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(continued)

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QQQ 10/02/2020 PUT \$281.00 / CUSIP: / Symbol:							
10/02/20	1.000	452.97	10/01/20	218.00	...	234.97	Option sale to close-put ²⁵
QQQ 10/09/2020 CALL \$280.00 / CUSIP: / Symbol:							
10/05/20	1.000	328.98	10/02/20	382.00	...	-53.02	Option sale to close-call ²⁵
QQQ 10/09/2020 CALL \$282.00 / CUSIP: / Symbol:							
10/07/20	1.000	86.98	10/06/20	181.00	94.02 W	0.00	Option sale to close-call ²⁵
10/09/20	1.000	251.98	10/06/20	217.02	...	34.96	Option sale to close-call ²⁵
Security total:		338.96		398.02	94.02 W	34.96	
QQQ 10/09/2020 CALL \$282.50 / CUSIP: / Symbol:							
10/06/20	1.000	66.98	10/05/20	0.00	...	66.98	Short sale closed- call ²⁵ Option written: 10/02/20
QQQ 10/09/2020 CALL \$285.00 / CUSIP: / Symbol:							
10/08/20	1.000	53.98	10/07/20	0.00	...	53.98	Short sale closed- call ²⁵ Option written: 10/06/20
10/12/20	1.000	-0.02	10/09/20	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 10/06/20
Security total:		53.96		0.00	...	53.96	
QQQ 10/09/2020 PUT \$272.00 / CUSIP: / Symbol:							
10/08/20	1.000	21.98	10/07/20	0.00	...	21.98	Short sale closed- put ²⁵ Option written: 10/06/20
QQQ 10/09/2020 PUT \$277.00 / CUSIP: / Symbol:							
10/07/20	1.000	200.98	10/06/20	237.00	...	-36.02	Option sale to close-put ²⁵
QQQ 10/09/2020 PUT \$278.00 / CUSIP: / Symbol:							
10/09/20	1.000	2.98	10/08/20	72.00	...	-69.02	Option sale to close-put ²⁵
QQQ 10/16/2020 CALL \$297.00 / CUSIP: / Symbol:							
10/14/20	1.000	241.98	10/13/20	239.00	...	2.98	Option sale to close-call ²⁵
QQQ 10/16/2020 CALL \$299.00 / CUSIP: / Symbol:							
10/15/20	1.000	-1.02	10/14/20	0.00	...	-1.02	Short sale closed- call ²⁵ Option written: 10/13/20

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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QQQ 10/16/2020 CALL \$302.00 / CUSIP: / Symbol:							
10/14/20	1.000	76.98	10/13/20	86.00	...	-9.02	Option sale to close-call ²⁵
QQQ 10/16/2020 PUT \$281.00 / CUSIP: / Symbol:							
10/13/20	1.000	196.98	10/12/20	0.00	...	196.98	Short sale closed- put ²⁵ Option written: 10/09/20
QQQ 10/16/2020 PUT \$283.00 / CUSIP: / Symbol:							
10/12/20	1.000	80.98	10/09/20	336.00	...	-255.02	Option sale to close-put ²⁵
QQQ 10/16/2020 PUT \$288.00 / CUSIP: / Symbol:							
10/15/20	1.000	88.98	10/14/20	0.00	...	88.98	Short sale closed- put ²⁵ Option written: 10/13/20
QQQ 10/16/2020 PUT \$293.00 / CUSIP: / Symbol:							
10/14/20	1.000	185.98	10/13/20	324.00	...	-138.02	Option sale to close-put ²⁵
QQQ 10/23/2020 CALL \$286.00 / CUSIP: / Symbol:							
10/20/20	1.000	338.98	10/19/20	296.00	...	42.98	Option sale to close-call ²⁵
QQQ 10/23/2020 CALL \$288.00 / CUSIP: / Symbol:							
10/21/20	1.000	-33.02	10/20/20	0.00	...	-33.02	Short sale closed- call ²⁵ Option written: 10/19/20
QQQ 10/23/2020 CALL \$291.00 / CUSIP: / Symbol:							
10/20/20	1.000	116.98	10/19/20	314.02	...	-197.04	Option sale to close-call ²⁵
10/20/20	1.000	103.98	10/19/20	290.00	186.02 W	0.00	Option sale to close-call ²⁵
	Security total:	220.96		604.02	186.02 W	-197.04	
QQQ 10/23/2020 CALL \$294.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	8.98	10/20/20	0.00	...	8.98	1 of 2 - Short sale closed- call ²⁵ Option written: 10/19/20
	1.000	121.98	10/20/20	0.00	...	121.98	2 of 2 - Short sale closed- call ²⁵ Option written: 10/19/20
10/21/20	2.000	130.96	Various	0.00	...	130.96	Total of 2 transactions

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(continued)

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QQQ 10/23/2020 PUT \$282.00 / CUSIP: / Symbol: 10/21/20	1.000	-44.02	10/20/20	0.00	...	-44.02	Short sale closed- put ²⁵ Option written: 10/19/20
QQQ 10/23/2020 PUT \$282.50 / CUSIP: / Symbol: 10/19/20	1.000	176.98	10/16/20	206.00	...	-29.02	Option sale to close-put ²⁵
QQQ 10/23/2020 PUT \$286.00 / CUSIP: / Symbol: 10/20/20	1.000	402.98	10/19/20	329.00	...	73.98	Option sale to close-put ²⁵
QQQ 10/30/2020 CALL \$281.00 / CUSIP: / Symbol: 10/29/20	1.000	138.98	10/29/20	61.00	...	77.98	Option sale to close-call ²⁵
QQQ 10/30/2020 CALL \$284.00 / CUSIP: / Symbol: 10/28/20	1.000	98.98	10/27/20	287.00	...	-188.02	Option sale to close-call ²⁵
QQQ 10/30/2020 CALL \$288.00 / CUSIP: / Symbol: 10/29/20	1.000	102.98	10/28/20	0.00	...	102.98	Short sale closed- call ²⁵ Option written: 10/27/20
QQQ 10/30/2020 PUT \$264.00 / CUSIP: / Symbol: 10/30/20	1.000	26.98	10/29/20	28.00	...	-1.02	Option sale to close-put ²⁵
QQQ 11/06/2020 PUT \$286.00 / CUSIP: / Symbol: 11/06/20	1.000	211.98	11/05/20	0.00	...	211.98	Short sale closed- put ²⁵ Option written: 11/04/20
QQQ 11/06/2020 PUT \$288.00 / CUSIP: / Symbol: 11/05/20	1.000	58.98	11/04/20	331.00	...	-272.02	Option sale to close-put ²⁵
QQQ 11/13/2020 PUT \$289.00 / CUSIP: / Symbol: 11/10/20	1.000	107.98	11/09/20	0.00	...	107.98	Short sale closed- put ²⁵ Option written: 11/06/20
QQQ 11/13/2020 PUT \$293.00 / CUSIP: / Symbol: 11/09/20	1.000	218.98	11/06/20	358.00	...	-139.02	Option sale to close-put ²⁵
QRVO 05/15/2020 CALL \$110.00 / CUSIP: / Symbol: 05/12/20	1.000	14.98	05/08/20	115.00	...	-100.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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QURE 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/20/20	1.000	149.98	05/19/20	150.00	...	-0.02	Option sale to close-call ²⁵
RCL 04/03/2020 PUT \$24.00 / CUSIP: / Symbol:							
04/03/20	1.000	100.98	03/27/20	204.00	...	-103.02	Option sale to close-put ²⁵
RCL 04/24/2020 CALL \$33.00 / CUSIP: / Symbol:							
04/07/20	1.000	714.97	04/06/20	260.00	...	454.97	Option sale to close-call ²⁵
RCL 05/01/2020 CALL \$65.00 / CUSIP: / Symbol:							
04/21/20	2.000	9.98	04/09/20	274.00	...	-264.02	Option sale to close-call ²⁵
REGN 06/05/2020 CALL \$600.00 / CUSIP: / Symbol:							
05/28/20	1.000	529.97	05/28/20	330.00	...	199.97	Option sale to close-call ²⁵
REGN 06/19/2020 CALL \$625.00 / CUSIP: / Symbol:							
06/17/20	1.000	199.98	06/12/20	550.00	...	-350.02	Option sale to close-call ²⁵
RGLD 06/19/2020 CALL \$135.00 / CUSIP: / Symbol:							
06/16/20	1.000	4.98	06/12/20	265.02	...	-260.04	Option sale to close-call ²⁵
06/16/20	1.000	4.98	06/11/20	170.00	165.02 W	0.00	Option sale to close-call ²⁵
	Security total:	9.96		435.02	165.02 W	-260.04	
RKT 10/16/2020 PUT \$20.00 / CUSIP: / Symbol:							
09/11/20	1.000	79.98	09/10/20	74.00	...	5.98	Option sale to close-put ²⁵
RKT 11/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/28/20	1.000	46.98	10/27/20	61.00	...	-14.02	Option sale to close-call ²⁵
ROKU 02/07/2020 CALL \$126.00 / CUSIP: / Symbol:							
02/04/20	1.000	669.97	02/03/20	375.00	...	294.97	Option sale to close-call ²⁵
ROKU 02/07/2020 CALL \$134.00 / CUSIP: / Symbol:							
02/07/20	1.000	0.00	02/05/20	252.00	...	-252.00	Option expiration- long call
ROKU 02/21/2020 PUT \$111.00 / CUSIP: / Symbol:							
02/14/20	1.000	72.98	02/14/20	105.00	...	-32.02	Option sale to close-put ²⁵

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ROKU 02/21/2020 PUT \$129.00 / CUSIP: / Symbol:							
02/14/20	1.000	99.98	02/14/20	91.00	...	8.98	Option sale to close-put ²⁵
ROKU 04/03/2020 CALL \$106.00 / CUSIP: / Symbol:							
04/01/20	1.000	2.98	03/24/20	222.00	...	-219.02	Option sale to close-call ²⁵
ROKU 04/24/2020 CALL \$109.00 / CUSIP: / Symbol:							
04/16/20	1.000	2,109.94	04/14/20	370.00	...	1,739.94	Option sale to close-call ²⁵
ROKU 04/24/2020 CALL \$140.00 / CUSIP: / Symbol:							
04/20/20	1.000	429.98	04/16/20	385.00	...	44.98	Option sale to close-call ²⁵
ROKU 05/15/2020 CALL \$121.00 / CUSIP: / Symbol:							
04/29/20	1.000	1,064.96	04/28/20	1,075.00	...	-10.04	Option sale to close-call ²⁵
ROKU 05/15/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/07/20	1.000	1,044.96	05/06/20	690.00	...	354.96	Option sale to close-call ²⁵
ROKU 05/15/2020 PUT \$118.00 / CUSIP: / Symbol:							
05/12/20	1.000	34.98	05/08/20	230.00	...	-195.02	Option sale to close-put ²⁵
ROKU 05/22/2020 CALL \$123.00 / CUSIP: / Symbol:							
05/18/20	1.000	175.98	05/15/20	149.00	...	26.98	Option sale to close-call ²⁵
ROKU 06/05/2020 CALL \$112.00 / CUSIP: / Symbol:							
06/01/20	1.000	404.98	05/22/20	405.00	...	-0.02	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$119.00 / CUSIP: / Symbol:							
06/16/20	1.000	520.97	06/16/20	125.00	...	395.97	Option sale to close-call ²⁵
ROKU 06/26/2020 CALL \$138.00 / CUSIP: / Symbol:							
06/25/20	1.000	14.98	06/22/20	189.00	...	-174.02	Option sale to close-call ²⁵
ROKU 07/02/2020 CALL \$140.00 / CUSIP: / Symbol:							
		<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	7.99	06/23/20	189.00	...	-181.01	1 of 2 - Option sale to close-call ²⁵
	1.000	7.99	06/24/20	120.00	...	-112.01	2 of 2 - Option sale to close-call ²⁵
06/30/20	2.000	15.98	Various	309.00	...	-293.02	Total of 2 transactions

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ROKU 10/02/2020 CALL \$192.50 / CUSIP: / Symbol:							
09/29/20	1.000	248.98	09/28/20	244.00	...	4.98	Option sale to close-call ²⁵
ROKU 10/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
09/30/20	1.000	0.98	09/29/20	0.00	...	0.98	Short sale closed- call ²⁵ Option written: 09/28/20
ROKU 10/02/2020 PUT \$170.00 / CUSIP: / Symbol:							
10/01/20	1.000	7.98	09/30/20	35.00	...	-27.02	Option sale to close-put ²⁵
RPD 04/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.98	04/08/20	12.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	2.000	49.98	04/08/20	24.00	...	25.98	2 of 2 - Option sale to close-call ²⁵
04/09/20	3.000	69.96	Various	36.00	...	33.96	Total of 2 transactions
RRGB 06/19/2020 CALL \$22.50 / CUSIP: / Symbol:							
<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	06/08/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/08/20	70.00	...	-70.00	2 of 2 - Option expiration- long call
06/19/20	2.000	0.00	Various	145.00	...	-145.00	Total of 2 transactions
SABR 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/02/20	1.000	9.98	05/27/20	39.00	...	-29.02	Option sale to close-call ²⁵
SAIC 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/05/20	1.000	14.98	06/04/20	150.00	...	-135.02	Option sale to close-call ²⁵
SAVE 05/15/2020 PUT \$10.00 / CUSIP: / Symbol:							
05/05/20	1.000	34.98	05/04/20	67.00	...	-32.02	Option sale to close-put ²⁵
SBUX 05/08/2020 PUT \$73.00 / CUSIP: / Symbol:							
05/06/20	1.000	67.98	05/05/20	113.00	...	-45.02	Option sale to close-put ²⁵
SBUX 05/29/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/15/20	1.000	179.98	05/14/20	126.00	...	53.98	Option sale to close-call ²⁵

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SBUX 06/05/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/08/20	1.000	374.98	05/05/20	315.00	...	59.98	Option sale to close-call ²⁵
SE 05/22/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/13/20	1.000	164.98	05/12/20	165.00	...	-0.02	Option sale to close-call ²⁵
SFIX 06/05/2020 CALL \$24.00 / CUSIP: / Symbol:							
06/02/20	1.000	99.98	06/01/20	110.00	...	-10.02	Option sale to close-call ²⁵
SHOP 05/22/2020 CALL \$820.00 / CUSIP: / Symbol:							
05/19/20	1.000	249.98	05/18/20	285.00	...	-35.02	Option sale to close-call ²⁵
SHOP 06/26/2020 PUT \$820.00 / CUSIP: / Symbol:							
06/25/20	1.000	144.98	06/24/20	90.00	...	54.98	Option sale to close-put ²⁵
SHOP 07/02/2020 CALL \$1,070.00 / CUSIP: / Symbol:							
06/29/20	1.000	134.98	06/24/20	220.00	...	-85.02	Option sale to close-call ²⁵
SHOP 07/17/2020 PUT \$700.00 / CUSIP: / Symbol:							
07/13/20	1.000	29.98	06/29/20	300.00	...	-270.02	Option sale to close-put ²⁵
SHOP 07/17/2020 PUT \$835.00 / CUSIP: / Symbol:							
07/07/20	1.000	349.98	07/02/20	290.00	...	59.98	Option sale to close-put ²⁵
SHOP 09/25/2020 CALL \$920.00 / CUSIP: / Symbol:							
09/21/20	1.000	2,340.93	09/18/20	1,635.00	...	705.93	Option sale to close-call ²⁵
SHOP 09/25/2020 CALL \$930.00 / CUSIP: / Symbol:							
09/22/20	1.000	-590.04	09/21/20	0.00	...	-590.04	Short sale closed- call ²⁵ Option written: 09/18/20
SHOP 10/02/2020 CALL \$1,045.00 / CUSIP: / Symbol:							
10/01/20	1.000	1,640.95	09/30/20	1,298.00	...	342.95	Option sale to close-call ²⁵
SHOP 10/02/2020 CALL \$1,050.00 / CUSIP: / Symbol:							
10/02/20	1.000	-303.04	10/01/20	0.00	...	-303.04	Short sale closed- call ²⁵ Option written: 09/30/20

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(continued)

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SLV 07/02/2020 CALL \$17.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	26.98	06/19/20	29.00	...	-2.02	1 of 2 - Option sale to close-call ²⁵
	1.000	26.98	06/19/20	29.00	...	-2.02	2 of 2 - Option sale to close-call ²⁵
06/22/20	2.000	53.96	Various	58.00	...	-4.04	Total of 2 transactions
SMAR 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/02/20	110.00	...	-110.00	Option expiration- long call
SNAP 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/15/20	1.000	198.98	10/14/20	184.00	...	14.98	Option sale to close-call ²⁵
SNAP 06/05/2020 CALL \$19.50 / CUSIP: / Symbol:							
06/02/20	1.000	50.98	06/01/20	51.00	...	-0.02	Option sale to close-call ²⁵
SNAP 10/16/2020 CALL \$23.00 / CUSIP: / Symbol:							
09/02/20	1.000	183.98	08/31/20	168.00	...	15.98	Option sale to close-call ²⁵
SNAP 10/16/2020 CALL \$27.00 / CUSIP: / Symbol:							
10/15/20	1.000	29.98	10/14/20	31.00	...	-1.02	Option sale to close-call ²⁵
SNAP 11/13/2020 CALL \$46.00 / CUSIP: / Symbol:							
11/12/20	1.000	3.98	11/06/20	101.00	...	-97.02	Option sale to close-call ²⁵
SNAP 11/20/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/06/20	2.000	449.98	11/06/20	182.00	...	267.98	Option sale to close-call ²⁵
SNAP 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
10/16/20	1.000	181.98	10/15/20	175.00	...	6.98	Option sale to close-call ²⁵
SNAP 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
10/30/20	1.000	97.98	10/29/20	143.00	...	-45.02	Option sale to close-call ²⁵
SNE 01/17/2020 CALL \$72.50 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/10/20	30.00	...	-30.00	Option expiration- long call
SNE 06/12/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/02/20	1.000	189.98	06/01/20	155.00	...	34.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNOW 10/23/2020 CALL \$300.00 / CUSIP: / Symbol:							
10/06/20	1.000	199.98	10/05/20	250.00	...	-50.02	Option sale to close-call ²⁵
SNPS 06/19/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/21/20	1.000	144.98	05/18/20	100.00	...	44.98	Option sale to close-call ²⁵
05/22/20	1.000	199.98	05/19/20	140.00	...	59.98	Option sale to close-call ²⁵
	Security total:	344.96		240.00	...	104.96	
SOLO 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/08/20	1.000	99.98	07/07/20	91.02	...	8.96	Option sale to close-call ²⁵
07/08/20	1.000	94.98	07/06/20	129.00	34.02 W	0.00	Option sale to close-call ²⁵
	Security total:	194.96		220.02	34.02 W	8.96	
SPAQ 10/16/2020 CALL \$17.50 / CUSIP: / Symbol:							
10/02/20	1.000	74.98	10/01/20	85.00	...	-10.02	Option sale to close-call ²⁵
SPG 06/19/2020 CALL \$85.00 / CUSIP: / Symbol:							
04/30/20	1.000	139.98	04/28/20	146.00	...	-6.02	Option sale to close-call ²⁵
06/17/20	1.000	11.98	06/16/20	90.00	...	-78.02	Option sale to close-call ²⁵
	Security total:	151.96		236.00	...	-84.04	
SPG 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/15/20	1.000	38.98	07/13/20	49.00	10.02 W	0.00	Option sale to close-call ²⁵
07/16/20	1.000	7.98	07/14/20	33.02	...	-25.04	Option sale to close-call ²⁵
	Security total:	46.96		82.02	10.02 W	-25.04	
SPG 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/01/20	1.000	117.98	06/30/20	149.00	...	-31.02	Option sale to close-call ²⁵
SPG 07/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
07/02/20	1.000	26.98	07/01/20	0.00	...	26.98	Short sale closed- call ²⁵ Option written: 06/30/20
SPG 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/10/20	1.000	4.98	08/10/20	169.00	...	-164.02	Option sale to close-call ²⁵

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SPOT 07/24/2020 CALL \$290.00 / CUSIP: / Symbol:							
07/23/20	1.000	199.98	07/23/20	380.00	...	-180.02	Option sale to close-call ²⁵
SPTN 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/19/20	1.000	79.98	05/18/20	90.00	...	-10.02	Option sale to close-call ²⁵
SPTN 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/01/20	1.000	24.98	05/27/20	40.00	...	-15.02	Option sale to close-call ²⁵
SPY 03/13/2020 CALL \$310.00 / CUSIP: / Symbol:							
03/06/20	1.000	119.98	03/06/20	157.00	...	-37.02	Option sale to close-call ²⁵
SPY 03/23/2020 CALL \$245.00 / CUSIP: / Symbol:							
03/20/20	1.000	452.97	03/20/20	490.00	...	-37.03	Option sale to close-call ²⁵
SPY 03/27/2020 PUT \$242.00 / CUSIP: / Symbol:							
03/19/20	1.000	1,220.96	03/19/20	1,198.00	...	22.96	Option sale to close-put ²⁵
SPY 03/30/2020 CALL \$257.00 / CUSIP: / Symbol:							
03/27/20	1.000	537.97	03/27/20	546.00	...	-8.03	Option sale to close-call ²⁵
SPY 03/30/2020 PUT \$251.00 / CUSIP: / Symbol:							
03/27/20	1.000	429.98	03/26/20	512.00	82.02 W	0.00	Option sale to close-put ²⁵
03/30/20	1.000	0.00	03/26/20	518.02	...	-518.02	Option expiration- long put
	Security total:	429.98		1,030.02	82.02 W	-518.02	
SPY 04/01/2020 PUT \$252.00 / CUSIP: / Symbol:							
04/01/20	1.000	411.98	03/31/20	170.00	...	241.98	Option sale to close-put ²⁵
SPY 04/03/2020 PUT \$244.00 / CUSIP: / Symbol:							
04/03/20	1.000	5.98	04/01/20	415.00	...	-409.02	Option sale to close-put ²⁵
SPY 04/08/2020 CALL \$269.00 / CUSIP: / Symbol:							
04/06/20	1.000	256.98	03/31/20	484.00	...	-227.02	Option sale to close-call ²⁵
SPY 04/13/2020 CALL \$261.00 / CUSIP: / Symbol:							
04/06/20	1.000	842.97	04/06/20	540.00	...	302.97	Option sale to close-call ²⁵

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SPY 04/13/2020 PUT \$273.00 / CUSIP: / Symbol:							
04/13/20	1.000	196.98	04/08/20	422.00	...	-225.02	Option sale to close-put ²⁵
SPY 04/17/2020 CALL \$281.00 / CUSIP: / Symbol:							
04/17/20	1.000	344.98	04/16/20	164.00	...	180.98	Option sale to close-call ²⁵
SPY 04/24/2020 CALL \$295.00 / CUSIP: / Symbol:							
04/09/20	1.000	190.98	04/07/20	106.00	...	84.98	Option sale to close-call ²⁵
04/21/20	2.000	19.98	04/07/20	212.00	...	-192.02	Option sale to close-call ²⁵
	Security total:	210.96		318.00	...	-107.04	
SPY 04/27/2020 CALL \$284.00 / CUSIP: / Symbol:							
04/23/20	1.000	195.98	04/23/20	328.00	...	-132.02	Option sale to close-call ²⁵
SPY 04/27/2020 PUT \$278.00 / CUSIP: / Symbol:							
04/24/20	1.000	206.98	04/23/20	306.00	...	-99.02	Option sale to close-put ²⁵
SPY 05/04/2020 CALL \$295.00 / CUSIP: / Symbol:							
04/30/20	1.000	130.98	04/29/20	310.00	...	-179.02	Option sale to close-call ²⁵
SPY 05/15/2020 CALL \$287.00 / CUSIP: / Symbol:							
05/15/20	1.000	2.98	05/14/20	80.00	...	-77.02	Option sale to close-call ²⁵
SPY 05/18/2020 CALL \$288.00 / CUSIP: / Symbol:							
05/18/20	1.000	515.97	05/15/20	121.00	...	394.97	Option sale to close-call ²⁵
SPY 05/18/2020 PUT \$280.00 / CUSIP: / Symbol:							
05/14/20	1.000	473.97	05/13/20	301.00	...	172.97	Option sale to close-put ²⁵
SPY 05/20/2020 CALL \$295.00 / CUSIP: / Symbol:							
05/19/20	1.000	158.98	05/18/20	162.00	...	-3.02	Option sale to close-call ²⁵
SPY 05/20/2020 PUT \$294.00 / CUSIP: / Symbol:							
05/20/20	1.000	0.00	05/19/20	236.02	...	-236.02	Option expiration- long put
05/20/20	1.000	29.98	05/19/20	139.00	109.02 W	0.00	Option sale to close-put ²⁵
	Security total:	29.98		375.02	109.02 W	-236.02	

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SPY 06/17/2020 CALL \$306.00 / CUSIP: / Symbol:							
06/15/20	1.000	169.98	06/12/20	498.00	328.02 W	0.00	Option sale to close-call ²⁵
06/16/20	1.000	918.96	06/12/20	818.02	...	100.94	Option sale to close-call ²⁵
	Security total:	1,088.94		1,316.02	328.02 W	100.94	
SPY 06/17/2020 PUT \$301.00 / CUSIP: / Symbol:							
06/16/20	1.000	19.98	06/15/20	188.00	...	-168.02	Option sale to close-put ²⁵
SPY 06/17/2020 PUT \$304.00 / CUSIP: / Symbol:							
	4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/16/20	161.00	...	-161.00	1 of 4 - Option expiration- long put
	1.000	0.00	06/16/20	107.00	...	-107.00	2 of 4 - Option expiration- long put
	1.000	0.00	06/16/20	101.00	...	-101.00	3 of 4 - Option expiration- long put
	1.000	0.00	06/16/20	81.00	...	-81.00	4 of 4 - Option expiration- long put
06/17/20	4.000	0.00	Various	450.00	...	-450.00	Total of 4 transactions
SPY 06/17/2020 PUT \$305.00 / CUSIP: / Symbol:							
06/12/20	1.000	699.97	06/12/20	525.00	...	174.97	Option sale to close-put ²⁵
SPY 06/19/2020 CALL \$314.00 / CUSIP: / Symbol:							
06/17/20	1.000	144.98	06/16/20	322.00	...	-177.02	Option sale to close-call ²⁵
SPY 06/19/2020 CALL \$314.50 / CUSIP: / Symbol:							
06/19/20	1.000	7.98	06/17/20	243.00	...	-235.02	Option sale to close-call ²⁵
SPY 06/22/2020 CALL \$320.00 / CUSIP: / Symbol:							
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/17/20	119.00	...	-119.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/17/20	73.00	...	-73.00	2 of 2 - Option expiration- long call
06/22/20	2.000	0.00	Various	192.00	...	-192.00	Total of 2 transactions
SPY 06/22/2020 PUT \$306.00 / CUSIP: / Symbol:							
06/19/20	1.000	159.98	06/19/20	205.00	...	-45.02	Option sale to close-put ²⁵
SPY 06/24/2020 PUT \$312.00 / CUSIP: / Symbol:							
06/24/20	1.000	231.98	06/23/20	179.00	...	52.98	Option sale to close-put ²⁵

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SPY 06/26/2020 PUT \$307.00 / CUSIP: / Symbol:							
06/24/20	1.000	402.98	06/24/20	252.00	...	150.98	Option sale to close-put ²⁵
SPY 06/30/2020 CALL \$306.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	06/29/20	67.00	...	17.98	1 of 2 - Option sale to close-call ²⁵
	1.000	89.98	06/29/20	67.00	...	22.98	2 of 2 - Option sale to close-call ²⁵
06/30/20	2.000	174.96	Various	134.00	...	40.96	Total of 2 transactions
SPY 07/02/2020 CALL \$313.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	157.98	07/01/20	119.00	...	38.98	1 of 3 - Option sale to close-call ²⁵
	1.000	192.98	07/01/20	97.00	...	95.98	2 of 3 - Option sale to close-call ²⁵
	1.000	258.98	07/01/20	97.00	...	161.98	3 of 3 - Option sale to close-call ²⁵
07/02/20	3.000	609.94	Various	313.00	...	296.94	Total of 3 transactions
SPY 07/06/2020 CALL \$320.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/02/20	27.02	...	-27.02	1 of 2 - Option expiration- long call
	1.000	0.00	07/02/20	27.01	...	-27.01	2 of 2 - Option expiration- long call
07/06/20	2.000	0.00	Various	54.03	...	-54.03	Total of 2 transactions
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	4.000	23.98	07/02/20	60.00	9.01 W	-27.01	1 of 2 - Option sale to close-call ²⁵
	1.000	5.98	07/02/20	15.00	9.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/06/20	5.000	29.96	Various	75.00	18.03 W	-27.01	Total of 2 transactions
	Security total:	29.96		129.03	18.03 W	-81.04	
SPY 07/06/2020 PUT \$311.00 / CUSIP: / Symbol:							
07/06/20	1.000	4.98	07/01/20	262.00	...	-257.02	Option sale to close-put ²⁵
SPY 07/08/2020 CALL \$316.00 / CUSIP: / Symbol:							
07/08/20	1.000	70.98	07/07/20	56.00	...	14.98	Option sale to close-call ²⁵
SPY 07/10/2020 CALL \$315.00 / CUSIP: / Symbol:							
07/10/20	1.000	84.98	07/09/20	91.00	...	-6.02	Option sale to close-call ²⁵

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SPY 07/22/2020 CALL \$330.00 / CUSIP: / Symbol:							
07/22/20	2.000	1.98	07/21/20	46.00	...	-44.02	Option sale to close-call ²⁵
SPY 08/05/2020 PUT \$320.00 / CUSIP: / Symbol:							
07/31/20	1.000	221.98	07/31/20	212.00	...	9.98	Option sale to close-put ²⁵
SPY 08/19/2020 PUT \$331.00 / CUSIP: / Symbol:							
08/18/20	1.000	12.98	08/14/20	100.00	...	-87.02	Option sale to close-put ²⁵
SPY 09/18/2020 CALL \$346.00 / CUSIP: / Symbol:							
09/17/20	1.000	2.98	09/16/20	69.00	...	-66.02	Option sale to close-call ²⁵
SPY 09/23/2020 PUT \$327.00 / CUSIP: / Symbol:							
09/22/20	1.000	-205.02	09/21/20	0.00	...	-205.02	Short sale closed- put ²⁵ Option written: 09/18/20
SPY 09/23/2020 PUT \$331.00 / CUSIP: / Symbol:							
09/21/20	1.000	578.97	09/18/20	254.00	...	324.97	Option sale to close-put ²⁵
SPY 09/25/2020 CALL \$344.00 / CUSIP: / Symbol:							
	2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	5.98	09/18/20	397.02	...	-391.04	1 of 2 - Option sale to close-call ²⁵
	1.000	7.99	09/18/20	122.01	...	-114.02	2 of 2 - Option sale to close-call ²⁵
09/21/20	2.000	13.97	Various	519.03	...	-505.06	Total of 2 transactions
	3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	9.99	09/16/20	308.00	298.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	9.99	09/17/20	80.00	70.01 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	7.99	09/18/20	371.01	363.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/21/20	3.000	27.97	Various	759.01	731.04 W	0.00	Total of 3 transactions
	Security total:	41.94		1,278.04	731.04 W	-505.06	
SPY 09/25/2020 PUT \$329.00 / CUSIP: / Symbol:							
09/21/20	1.000	-5.02	09/18/20	0.00	...	-5.02	Short sale closed- put ²⁵ Option written: 09/17/20
SPY 09/25/2020 PUT \$333.00 / CUSIP: / Symbol:							
09/18/20	1.000	387.98	09/17/20	360.00	...	27.98	Option sale to close-put ²⁵

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SPY 09/30/2020 CALL \$328.00 / CUSIP: / Symbol:							
09/25/20	1.000	134.98	09/24/20	304.00	...	-169.02	Option sale to close-call ²⁵
SPY 09/30/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/28/20	1.000	5.98	09/25/20	0.00	...	5.98	Short sale closed- call ²⁵ Option written: 09/24/20
SPY 10/02/2020 CALL \$333.00 / CUSIP: / Symbol:							
09/28/20	1.000	386.98	09/25/20	120.00	...	266.98	Option sale to close-call ²⁵
SPY 10/02/2020 PUT \$328.00 / CUSIP: / Symbol:							
09/30/20	1.000	13.98	09/29/20	0.00	...	13.98	Short sale closed- put ²⁵ Option written: 09/28/20
SPY 10/02/2020 PUT \$333.00 / CUSIP: / Symbol:							
09/29/20	1.000	298.98	09/28/20	323.00	...	-24.02	Option sale to close-put ²⁵
SPY 10/12/2020 PUT \$345.00 / CUSIP: / Symbol:							
10/09/20	1.000	102.98	10/09/20	98.00	...	4.98	Option sale to close-put ²⁵
SPY 10/16/2020 CALL \$352.00 / CUSIP: / Symbol:							
10/15/20	1.000	10.98	10/14/20	126.02	...	-115.04	Option sale to close-call ²⁵
10/15/20	1.000	17.98	10/14/20	67.00	49.02 W	0.00	Option sale to close-call ²⁵
Security total:		28.96		193.02	49.02 W	-115.04	
SPY 10/16/2020 PUT \$340.00 / CUSIP: / Symbol:							
10/16/20	1.000	1.98	10/15/20	102.00	...	-100.02	Option sale to close-put ²⁵
SPY 10/19/2020 CALL \$353.00 / CUSIP: / Symbol:							
10/19/20	1.000	0.00	10/16/20	57.00	...	-57.00	Option expiration- long call
SPY 10/19/2020 CALL \$354.00 / CUSIP: / Symbol:							
10/19/20	1.000	0.00	10/16/20	38.00	...	-38.00	Option expiration- long call
SPY 10/19/2020 CALL \$355.00 / CUSIP: / Symbol:							
10/16/20	1.000	41.98	10/14/20	62.00	20.02 W	0.00	Option sale to close-call ²⁵
		<i>3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	0.00	10/15/20	37.02	...	-37.02	1 of 3 - Option expiration- long call

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Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPY 10/19/2020 CALL \$355.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	10/15/20	17.00	...	-17.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/16/20	21.00	...	-21.00	3 of 3 - Option expiration- long call
10/19/20	3.000	0.00	Various	75.02	...	-75.02	Total of 3 transactions
	Security total:	41.98		137.02	20.02 W	-75.02	
SPY 10/21/2020 PUT \$337.00 / CUSIP: / Symbol:							
10/21/20	1.000	0.00	10/20/20	70.02	...	-70.02	Option expiration- long put
10/21/20	1.000	1.98	10/20/20	56.00	54.02 W	0.00	Option sale to close-put ²⁵
	Security total:	1.98		126.02	54.02 W	-70.02	
SPY 10/21/2020 PUT \$340.00 / CUSIP: / Symbol:							
10/19/20	1.000	159.98	10/19/20	59.00	...	100.98	Option sale to close-put ²⁵
SPY 10/21/2020 PUT \$341.00 / CUSIP: / Symbol:							
10/16/20	1.000	-134.02	10/15/20	0.00	...	-134.02	Short sale closed- put ²⁵ Option written: 10/14/20
SPY 10/21/2020 PUT \$344.00 / CUSIP: / Symbol:							
10/15/20	1.000	354.98	10/14/20	243.00	...	111.98	Option sale to close-put ²⁵
SPY 10/21/2020 PUT \$346.00 / CUSIP: / Symbol:							
10/19/20	1.000	149.98	10/16/20	214.00	...	-64.02	Option sale to close-put ²⁵
SPY 10/23/2020 CALL \$352.00 / CUSIP: / Symbol:							
10/21/20	1.000	46.98	10/20/20	49.00	2.02 W	0.00	Option sale to close-call ²⁵
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	10/22/20	37.03	...	-37.03	1 of 2 - Option expiration- long call
	1.000	1.99	10/22/20	11.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
10/23/20	2.000	1.99	Various	48.03	...	-46.04	Total of 2 transactions
10/23/20	1.000	1.99	10/21/20	31.02	29.03 W	0.00	Option sale to close-call ²⁵
	Security total:	50.96		128.05	31.05 W	-46.04	
SPY 10/23/2020 CALL \$353.00 / CUSIP: / Symbol:							
10/22/20	1.000	11.98	10/21/20	0.00	...	11.98	Short sale closed- call ²⁵ Option written: 10/20/20

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2020 1099-B* OMB No. 1545-0715

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SPY 10/23/2020 CALL \$354.00 / CUSIP: / Symbol:							
10/21/20	1.000	20.98	10/20/20	40.00	...	-19.02	Option sale to close-call ²⁵
SPY 10/26/2020 PUT \$332.00 / CUSIP: / Symbol:							
10/26/20	1.000	0.00	10/21/20	56.00	...	-56.00	Option expiration- long put
SPY 11/04/2020 PUT \$325.00 / CUSIP: / Symbol:							
10/29/20	1.000	-85.03	10/28/20	0.00	...	-85.03	Short sale closed- put ²⁵ Option written: 10/28/20
SPY 11/04/2020 PUT \$330.00 / CUSIP: / Symbol:							
10/28/20	1.000	748.97	10/28/20	639.00	...	109.97	Option sale to close-put ²⁵
SQ 08/07/2020 CALL \$133.00 / CUSIP: / Symbol:							
08/05/20	1.000	1,771.95	08/03/20	564.00	...	1,207.95	Option sale to close-call ²⁵
SQ 08/07/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/06/20	1.000	-1,098.03	08/05/20	0.00	...	-1,098.03	Short sale closed- call ²⁵ Option written: 08/03/20
SQ 09/18/2020 CALL \$185.00 / CUSIP: / Symbol:							
09/15/20	1.000	25.98	09/01/20	305.00	279.02 W	0.00	Option sale to close-call ²⁵
09/16/20	1.000	1.98	09/08/20	473.06	...	-471.08	Option sale to close-call ²⁵
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	1.98	09/04/20	376.02	374.04 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1.98	09/08/20	442.04	440.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	3.96	Various	818.06	814.10 W	0.00	Total of 2 transactions
	Security total:	31.92		1,596.12	1,093.12 W	-471.08	
SQ 09/25/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/16/20	1.000	325.98	09/15/20	244.00	...	81.98	Option sale to close-call ²⁵
SQ 09/25/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/17/20	1.000	-62.02	09/16/20	0.00	...	-62.02	Short sale closed- call ²⁵ Option written: 09/15/20
SQQQ 04/17/2020 PUT \$14.00 / CUSIP: / Symbol:							
04/14/20	1.000	74.98	04/07/20	50.00	...	24.98	Option sale to close-put ²⁵

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(continued)

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SQQQ 05/29/2020 CALL \$10.00 / CUSIP: / Symbol:							
05/27/20	1.000	39.98	05/19/20	60.00	...	-20.02	Option sale to close-call ²⁵
SQQQ 09/25/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/16/20	1.000	121.98	09/15/20	148.00	...	-26.02	Option sale to close-call ²⁵
SRG 08/21/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/16/20	1.000	59.98	07/15/20	70.00	...	-10.02	Option sale to close-call ²⁵
SRG 10/16/2020 CALL \$19.00 / CUSIP: / Symbol:							
06/16/20	1.000	249.98	06/05/20	255.00	...	-5.02	Option sale to close-call ²⁵
SRNE 06/19/2020 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	9.98	06/15/20	30.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	9.98	06/15/20	30.00	...	-20.02	2 of 2 - Option sale to close-call ²⁵
06/17/20	2.000	19.96	Various	60.00	...	-40.04	Total of 2 transactions
SSRM 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/15/20	1.000	129.98	05/14/20	105.00	...	24.98	Option sale to close-call ²⁵
05/18/20	1.000	215.98	05/14/20	90.00	...	125.98	Option sale to close-call ²⁵
	Security total:	345.96		195.00	...	150.96	
T 05/22/2020 CALL \$29.50 / CUSIP: / Symbol:							
05/15/20	1.000	10.98	05/08/20	80.00	...	-69.02	Option sale to close-call ²⁵
T 07/31/2020 CALL \$31.50 / CUSIP: / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	22.99	07/20/20	20.00	...	2.99	1 of 3 - Option sale to close-call ²⁵
	1.000	22.99	07/20/20	19.00	...	3.99	2 of 3 - Option sale to close-call ²⁵
	2.000	45.98	07/20/20	40.00	...	5.98	3 of 3 - Option sale to close-call ²⁵
07/21/20	4.000	91.96	Various	79.00	...	12.96	Total of 3 transactions
TAL 11/20/2020 CALL \$77.50 / CUSIP: / Symbol:							
10/26/20	1.000	89.98	10/23/20	90.00	...	-0.02	Option sale to close-call ²⁵

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(continued)

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TCO 09/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	06/16/20	55.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	06/16/20	55.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	89.98	Various	110.00	...	-20.02	Total of 2 transactions
TCOM 06/19/2020 CALL \$27.00 / CUSIP: / Symbol:							
06/11/20	1.000	84.98	05/27/20	90.00	...	-5.02	Option sale to close-call ²⁵
TD 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/01/20	1.000	120.98	05/26/20	60.00	...	60.98	Option sale to close-call ²⁵
TD 06/19/2020 CALL \$47.50 / CUSIP: / Symbol:							
05/28/20	1.000	53.98	05/26/20	20.00	...	33.98	Option sale to close-call ²⁵
TGT 10/09/2020 CALL \$165.00 / CUSIP: / Symbol:							
10/06/20	1.000	44.98	10/05/20	49.00	...	-4.02	Option sale to close-call ²⁵
TLRY 08/14/2020 CALL \$8.00 / CUSIP: / Symbol:							
07/27/20	1.000	31.98	07/21/20	55.00	...	-23.02	Option sale to close-call ²⁵
TMUS 05/22/2020 CALL \$105.00 / CUSIP: / Symbol:							
05/15/20	2.000	9.98	05/08/20	66.00	...	-56.02	Option sale to close-call ²⁵
TMUS1 04/03/2020 CALL \$12.00 / CUSIP: / Symbol:							
04/03/20	5.000	0.00	02/21/20	25.00	...	-25.00	Option expiration- long call
TPC 06/19/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/01/20	1.000	42.98	05/29/20	25.00	...	17.98	Option sale to close-call ²⁵
TSLA 02/28/2020 CALL \$1,500.00 / CUSIP: / Symbol:							
02/21/20	1.000	89.98	02/20/20	71.00	...	18.98	Option sale to close-call ²⁵
02/24/20	1.000	28.98	02/24/20	86.02	...	-57.04	Option sale to close-call ²⁵
02/24/20	1.000	22.98	02/21/20	74.00	51.02 W	0.00	Option sale to close-call ²⁵
	Security total:	141.94		231.02	51.02 W	-38.06	
TSLA 03/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
03/20/20	1.000	60.98	03/19/20	208.00	...	-147.02	Option sale to close-call ²⁵

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TSLA 03/20/2020 PUT \$350.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/19/20	214.00	...	-214.00	Option expiration- long put
TSLA 03/27/2020 CALL \$580.00 / CUSIP: / Symbol:							
03/25/20	1.000	724.97	03/24/20	430.00	...	294.97	Option sale to close-call ²⁵
TSLA 03/27/2020 CALL \$620.00 / CUSIP: / Symbol:							
03/26/20	1.000	45.98	03/25/20	342.02	...	-296.04	Option sale to close-call ²⁵
03/26/20	1.000	229.98	03/25/20	298.00	68.02 W	0.00	Option sale to close-call ²⁵
	Security total:	275.96		640.02	68.02 W	-296.04	
TSLA 04/09/2020 CALL \$632.50 / CUSIP: / Symbol:							
04/07/20	1.000	123.98	04/03/20	234.00	...	-110.02	Option sale to close-call ²⁵
TSLA 04/09/2020 PUT \$300.00 / CUSIP: / Symbol:							
04/06/20	1.000	10.98	04/02/20	165.00	...	-154.02	Option sale to close-put ²⁵
TSLA 05/01/2020 CALL \$940.00 / CUSIP: / Symbol:							
04/28/20	1.000	704.97	04/27/20	1,135.00	...	-430.03	Option sale to close-call ²⁵
TSLA 05/08/2020 PUT \$620.00 / CUSIP: / Symbol:							
05/01/20	1.000	1,430.95	05/01/20	2,400.00	...	-969.05	Option sale to close-put ²⁵
TSLA 05/08/2020 PUT \$665.00 / CUSIP: / Symbol:							
05/01/20	1.000	2,449.93	05/01/20	2,142.00	...	307.93	Option sale to close-put ²⁵
TSLA 05/15/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
05/14/20	1.000	4.98	05/08/20	305.00	...	-300.02	Option sale to close-call ²⁵
TSLA 05/15/2020 CALL \$940.00 / CUSIP: / Symbol:							
05/08/20	1.000	464.97	05/06/20	380.00	...	84.97	Option sale to close-call ²⁵
TSLA 05/22/2020 CALL \$940.00 / CUSIP: / Symbol:							
05/20/20	1.000	48.98	05/18/20	226.00	...	-177.02	Option sale to close-call ²⁵
TSLA 06/05/2020 CALL \$930.00 / CUSIP: / Symbol:							
06/01/20	1.000	1,494.95	06/01/20	550.00	...	944.95	Option sale to close-call ²⁵

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TSLA 06/12/2020 CALL \$1,050.00 / CUSIP: / Symbol: 06/09/20	1.000	173.98	06/08/20	600.00	...	-426.02	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,440.00 / CUSIP: / Symbol: 07/02/20	1.000	354.98	07/01/20	215.00	...	139.98	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,620.00 / CUSIP: / Symbol: 07/10/20	1.000	3.98	07/09/20	100.00	...	-96.02	Option sale to close-call ²⁵
TSLA 07/10/2020 PUT \$1,200.00 / CUSIP: / Symbol: 07/09/20	1.000	114.98	07/08/20	358.00	...	-243.02	Option sale to close-put ²⁵
TSLA 07/10/2020 PUT \$990.00 / CUSIP: / Symbol: 07/02/20	1.000	273.98	07/02/20	350.00	...	-76.02	Option sale to close-put ²⁵
TSLA 08/14/2020 CALL \$1,650.00 / CUSIP: / Symbol: 08/07/20	1.000	444.98	08/07/20	435.00	...	9.98	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,600.00 / CUSIP: / Symbol: 08/28/20	1.000	15.98	08/27/20	148.00	...	-132.02	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$2,650.00 / CUSIP: / Symbol: 08/31/20	1.000	122.98	08/28/20	0.00	...	122.98	Short sale closed- call ²⁵ Option written: 08/27/20
TSLA 09/18/2020 CALL \$404.00 / CUSIP: / Symbol: 09/14/20	1.000	1,094.96	09/11/20	942.00	...	152.96	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$427.00 / CUSIP: / Symbol: 09/15/20	1.000	-43.03	09/14/20	0.00	...	-43.03	Short sale closed- call ²⁵ Option written: 09/11/20
TSLA 09/18/2020 CALL \$595.00 / CUSIP: / Symbol: 09/14/20	1.000	7.98	09/04/20	420.00	...	-412.02	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$600.00 / CUSIP: / Symbol: 09/04/20	1.000	534.97	09/03/20	480.00	...	54.97	Option sale to close-call ²⁵

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(continued)

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TSLA 09/25/2020 CALL \$465.00 / CUSIP: / Symbol: 09/22/20	1.000	1,547.95	09/21/20	3,356.00	...	-1,808.05	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$475.00 / CUSIP: / Symbol: 09/23/20	1.000	1,695.92	09/22/20	0.00	...	1,695.92	Short sale closed- call ²⁵ Option written: 09/21/20
TSLA 10/02/2020 CALL \$441.00 / CUSIP: / Symbol: 09/29/20	1.000	454.97	09/28/20	647.00	...	-192.03	Option sale to close-call ²⁵
TSLA 10/02/2020 CALL \$455.00 / CUSIP: / Symbol: 09/30/20	1.000	129.98	09/29/20	0.00	...	129.98	Short sale closed- call ²⁵ Option written: 09/28/20
TSLA 10/02/2020 CALL \$800.00 / CUSIP: / Symbol: 09/16/20	1.000	304.98	09/08/20	305.00	...	-0.02	Option sale to close-call ²⁵
TSLA 10/09/2020 PUT \$410.00 / CUSIP: / Symbol: 10/08/20	1.000	389.97	10/07/20	0.00	...	389.97	Short sale closed- put ²⁵ Option written: 10/06/20
TSLA 10/09/2020 PUT \$412.50 / CUSIP: / Symbol: 10/07/20	1.000	559.97	10/06/20	981.00	...	-421.03	Option sale to close-put ²⁵
TSLA 10/16/2020 CALL \$421.00 / CUSIP: / Symbol: 10/07/20	1.000	1,599.95	10/06/20	1,597.00	...	2.95	Option sale to close-call ²⁵
TSLA 10/16/2020 CALL \$426.00 / CUSIP: / Symbol: 10/08/20	1.000	2.95	10/07/20	0.00	...	2.95	Short sale closed- call ²⁵ Option written: 10/06/20
TSLA 10/23/2020 CALL \$465.00 / CUSIP: / Symbol: 10/19/20	1.000	1,144.96	10/19/20	1,557.00	...	-412.04	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$470.00 / CUSIP: / Symbol: 10/20/20	1.000	384.95	10/19/20	0.00	...	384.95	Short sale closed- call ²⁵ Option written: 10/19/20

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 10/23/2020 CALL \$560.00 / CUSIP: / Symbol:							
10/13/20	1.000	209.98	10/12/20	270.00	...	-60.02	Option sale to close-call ²⁵
TSN 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/16/20	1.000	119.98	06/05/20	210.00	...	-90.02	Option sale to close-call ²⁵
TWLO 02/28/2020 PUT \$100.00 / CUSIP: / Symbol:							
02/27/20	1.000	46.98	02/25/20	30.00	...	16.98	Option sale to close-put ²⁵
TWLO 05/22/2020 CALL \$205.00 / CUSIP: / Symbol:							
05/21/20	1.000	99.98	05/15/20	272.00	...	-172.02	Option sale to close-call ²⁵
TWLO 05/29/2020 CALL \$205.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/26/20	285.00	...	-285.00	Option expiration- long call
TWTR 04/17/2020 CALL \$27.00 / CUSIP: / Symbol:							
04/09/20	1.000	228.98	04/08/20	65.00	...	163.98	Option sale to close-call ²⁵
TWTR 04/24/2020 CALL \$29.00 / CUSIP: / Symbol:							
04/21/20	1.000	6.98	04/09/20	99.00	...	-92.02	Option sale to close-call ²⁵
TWTR 05/29/2020 PUT \$32.00 / CUSIP: / Symbol:							
05/29/20	1.000	94.98	05/28/20	50.00	...	44.98	Option sale to close-put ²⁵
TWTR 07/24/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/21/20	1.000	102.98	07/20/20	101.00	...	1.98	Option sale to close-call ²⁵
TWTR 08/14/2020 CALL \$41.00 / CUSIP: / Symbol:							
08/10/20	5.000	184.98	08/10/20	100.00	...	84.98	Option sale to close-call ²⁵
TWTR 09/04/2020 CALL \$41.00 / CUSIP: / Symbol:							
09/01/20	1.000	81.98	08/31/20	76.00	...	5.98	Option sale to close-call ²⁵
TWTR 12/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/26/20	1.000	165.98	10/23/20	160.00	...	5.98	Option sale to close-call ²⁵
10/27/20	1.000	154.98	10/26/20	158.00	...	-3.02	Option sale to close-call ²⁵
Security total:		320.96		318.00	...	2.96	

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TWTR 12/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	8.99	10/29/20	141.00	...	-132.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	10/30/20	21.00	...	-12.01	2 of 2 - Option sale to close-call ²⁵
11/06/20	2.000	17.98	Various	162.00	...	-144.02	Total of 2 transactions
TWTR 12/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
11/04/20	1.000	4.98	10/29/20	137.00	...	-132.02	Option sale to close-call ²⁵
UBER 04/09/2020 PUT \$22.50 / CUSIP: / Symbol:							
04/03/20	1.000	116.98	04/02/20	110.00	...	6.98	Option sale to close-put ²⁵
UBER 08/07/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/04/20	1.000	192.98	08/03/20	98.00	...	94.98	Option sale to close-call ²⁵
UBER 08/14/2020 CALL \$33.00 / CUSIP: / Symbol:							
08/06/20	1.000	277.98	08/05/20	151.00	...	126.98	Option sale to close-call ²⁵
UCO 04/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/08/20	195.00	...	-195.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/08/20	34.00	...	-34.00	2 of 2 - Option expiration- long call
04/17/20	6.000	0.00	Various	229.00	...	-229.00	Total of 2 transactions
UCO1 05/01/2020 CALL \$3.00 / CUSIP: / Symbol:							
04/21/20	4.000	19.98	04/09/20	160.00	...	-140.02	Option sale to close-call ²⁵
UNH 07/17/2020 CALL \$300.00 / CUSIP: / Symbol:							
07/13/20	1.000	784.97	07/10/20	375.00	...	409.97	Option sale to close-call ²⁵
UNH 07/17/2020 CALL \$312.50 / CUSIP: / Symbol:							
07/14/20	1.000	474.97	07/14/20	210.00	...	264.97	Option sale to close-call ²⁵
UNH 11/06/2020 PUT \$332.50 / CUSIP: / Symbol:							
11/05/20	1.000	14.98	11/04/20	50.00	...	-35.02	Option sale to close-put ²⁵
UPS 09/25/2020 CALL \$167.50 / CUSIP: / Symbol:							
08/31/20	1.000	309.98	08/27/20	190.00	...	119.98	Option sale to close-call ²⁵

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(continued)

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UPS 09/25/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/02/20	1.000	224.98	09/01/20	217.00	...	7.98	Option sale to close-call ²⁵
UPS 10/23/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/25/20	1.000	261.98	09/17/20	237.00	...	24.98	Option sale to close-call ²⁵
10/06/20	1.000	299.98	09/29/20	300.00	...	-0.02	Option sale to close-call ²⁵
	Security total:	561.96		537.00	...	24.96	
UPS 10/23/2020 CALL \$177.50 / CUSIP: / Symbol:							
10/01/20	1.000	-5.02	09/30/20	0.00	...	-5.02	Short sale closed- call ²⁵ Option written: 09/29/20
UPS 10/23/2020 CALL \$180.00 / CUSIP: / Symbol:							
09/11/20	1.000	119.98	09/09/20	210.00	90.02 W	0.00	Option sale to close-call ²⁵
09/16/20	1.000	204.98	09/14/20	267.02	62.04 W	0.00	Option sale to close-call ²⁵
09/28/20	1.000	-1.02	09/25/20	0.00	1.02 W	0.00	Short sale closed- call ²⁵ Option written: 09/17/20
10/14/20	1.000	135.98	10/13/20	237.04	101.06 W	0.00	Option sale to close-call ²⁵
	2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.000	105.98	10/13/20	144.02	...	-38.04	1 of 2 - Option sale to close-call ²⁵
	1.000	102.98	10/15/20	169.06	...	-66.08	2 of 2 - Option sale to close-call ²⁵
10/16/20	2.000	208.96	Various	313.08	...	-104.12	Total of 2 transactions
	Security total:	668.88		1,027.14	254.14 W	-104.12	
UPS 10/23/2020 PUT \$167.50 / CUSIP: / Symbol:							
10/21/20	1.000	31.98	10/20/20	0.00	...	31.98	Short sale closed- put ²⁵ Option written: 10/19/20
UPS 10/23/2020 PUT \$170.00 / CUSIP: / Symbol:							
10/19/20	1.000	135.98	10/19/20	56.00	...	79.98	Option sale to close-put ²⁵
10/20/20	1.000	66.98	10/19/20	135.00	68.02 W	0.00	Option sale to close-put ²⁵
10/21/20	1.000	23.98	10/20/20	129.02	105.04 W	0.00	Option sale to close-put ²⁵
10/22/20	1.000	44.98	10/20/20	138.04	93.06 W	0.00	Option sale to close-put ²⁵
10/23/20	1.000	3.98	10/22/20	130.06	...	-126.08	Option sale to close-put ²⁵
	Security total:	275.90		588.12	266.12 W	-46.10	

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(continued)

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UPS 10/30/2020 CALL \$185.00 / CUSIP: / Symbol:							
10/28/20	1.000	3.98	10/15/20	298.00	...	-294.02	Option sale to close-call ²⁵
UPS 12/18/2020 CALL \$177.50 / CUSIP: / Symbol:							
12/16/20	1.000	50.98	12/15/20	35.00	...	15.98	Option sale to close-call ²⁵
UPWK 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
05/13/20	1.000	131.98	05/12/20	105.02	...	26.96	Option sale to close-call ²⁵
05/13/20	1.000	129.98	05/12/20	130.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	261.96		235.02	0.02 W	26.96	
URI 05/22/2020 CALL \$120.00 / CUSIP: / Symbol:							
05/18/20	1.000	1,149.96	05/15/20	280.00	...	869.96	Option sale to close-call ²⁵
URI 05/29/2020 CALL \$133.00 / CUSIP: / Symbol:							
05/26/20	1.000	599.97	05/21/20	275.00	...	324.97	Option sale to close-call ²⁵
URI 05/29/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/26/20	1.000	189.98	05/18/20	180.00	...	9.98	Option sale to close-call ²⁵
URI 05/29/2020 CALL \$145.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	24.98	05/22/20	15.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	05/22/20	15.00	...	29.98	2 of 2 - Option sale to close-call ²⁵
05/26/20	2.000	69.96	Various	30.00	...	39.96	Total of 2 transactions
URI 06/26/2020 PUT \$148.00 / CUSIP: / Symbol:							
06/17/20	1.000	309.98	06/16/20	310.00	...	-0.02	Option sale to close-put ²⁵
URI 07/10/2020 PUT \$143.00 / CUSIP: / Symbol:							
07/08/20	1.000	111.98	07/02/20	239.00	...	-127.02	Option sale to close-put ²⁵
URI 07/10/2020 PUT \$147.00 / CUSIP: / Symbol:							
07/09/20	1.000	140.98	07/08/20	0.00	...	140.98	Short sale closed- put ²⁵ Option written: 07/02/20
USFD 10/16/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/11/20	1.000	149.98	06/05/20	150.00	...	-0.02	Option sale to close-call ²⁵

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UVXY 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	118.98	06/17/20	220.00	...	-101.02	1 of 2 - Option sale to close-call ²⁵
	1.000	204.98	06/17/20	200.00	...	4.98	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	323.96	Various	420.00	...	-96.04	Total of 2 transactions
UVXY 07/10/2020 CALL \$34.50 / CUSIP: / Symbol:							
07/09/20	1.000	38.98	07/08/20	94.00	...	-55.02	Option sale to close-call ²⁵
UVXY 09/11/2020 PUT \$22.00 / CUSIP: / Symbol:							
09/08/20	1.000	42.98	09/04/20	59.04	...	-16.06	Option sale to close-put ²⁵
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	45.98	09/03/20	80.00	34.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	40.98	09/04/20	65.02	24.04 W	0.00	2 of 2 - Option sale to close-put ²⁵
09/08/20	2.000	86.96	Various	145.02	58.06 W	0.00	Total of 2 transactions
	Security total:	129.94		204.06	58.06 W	-16.06	
UVXY 09/11/2020 PUT \$24.00 / CUSIP: / Symbol:							
09/09/20	1.000	204.98	09/04/20	100.00	...	104.98	Option sale to close-put ²⁵
UVXY 09/25/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/09/20	1.000	57.98	09/04/20	320.00	...	-262.02	Option sale to close-call ²⁵
UVXY 10/16/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/01/20	1.000	251.98	08/31/20	224.00	...	27.98	Option sale to close-call ²⁵
09/03/20	1.000	584.97	09/03/20	285.00	...	299.97	Option sale to close-call ²⁵
	Security total:	836.95		509.00	...	327.95	
UVXY 10/23/2020 PUT \$19.00 / CUSIP: / Symbol:							
10/05/20	1.000	114.98	10/02/20	121.00	...	-6.02	Option sale to close-put ²⁵

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(continued)

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UVXY 11/06/2020 CALL \$21.50 / CUSIP: / Symbol:							
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/30/20	263.00	...	-263.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/04/20	44.00	...	-44.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/04/20	32.00	...	-32.00	3 of 3 - Option expiration- long call
11/06/20	3.000	0.00	Various	339.00	...	-339.00	Total of 3 transactions
UVXY 11/06/2020 CALL \$26.50 / CUSIP: / Symbol:							
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	16.98	11/06/20	0.00	...	16.98	1 of 3 - Short sale closed- call expired
	1.000	28.98	11/06/20	0.00	...	28.98	Option written: 11/04/20 2 of 3 - Short sale closed- call expired
	1.000	153.98	11/06/20	0.00	...	153.98	Option written: 11/04/20 3 of 3 - Short sale closed- call expired
11/06/20	3.000	199.94	Various	0.00	...	199.94	Option written: 10/30/20 Total of 3 transactions
UVXY 11/20/2020 CALL \$22.00 / CUSIP: / Symbol:							
10/05/20	1.000	417.98	10/02/20	455.00	...	-37.02	Option sale to close-call ²⁵
UVXY 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
10/06/20	1.000	19.98	10/05/20	0.00	...	19.98	Short sale closed- call ²⁵ Option written: 10/02/20
UVXY 12/11/2020 CALL \$11.50 / CUSIP: / Symbol:							
12/10/20	1.000	16.98	12/09/20	22.00	...	-5.02	Option sale to close-call ²⁵
V 07/10/2020 CALL \$205.00 / CUSIP: / Symbol:							
07/10/20	2.000	0.00	07/09/20	12.00	...	-12.00	Option expiration- long call
V 09/25/2020 CALL \$202.50 / CUSIP: / Symbol:							
09/25/20	1.000	2.98	09/24/20	25.00	...	-22.02	Option sale to close-call ²⁵

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(continued)

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VEEV 06/19/2020 CALL \$250.00 / CUSIP: / Symbol:							
06/01/20	1.000	49.98	05/29/20	50.00	...	-0.02	Option sale to close-call ²⁵
VMW 07/10/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/24/20	150.00	...	-150.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/24/20	65.00	...	-65.00	2 of 2 - Option expiration- long call
07/10/20	2.000	0.00	Various	215.00	...	-215.00	Total of 2 transactions
VRM 11/20/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/23/20	1.000	399.98	09/22/20	410.00	...	-10.02	Option sale to close-call ²⁵
VRTX 06/12/2020 CALL \$275.00 / CUSIP: / Symbol:							
06/09/20	1.000	299.98	06/08/20	100.00	...	199.98	Option sale to close-call ²⁵
VRTX 06/19/2020 CALL \$275.00 / CUSIP: / Symbol:							
06/16/20	1.000	274.98	06/15/20	210.00	...	64.98	Option sale to close-call ²⁵
VRTX 07/17/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	07/13/20	320.00	...	-310.01	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	07/13/20	70.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
07/16/20	2.000	19.98	Various	390.00	...	-370.02	Total of 2 transactions
VRTX 07/17/2020 CALL \$305.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/14/20	40.00	...	-40.00	Option expiration- long call
VRTX 11/27/2020 CALL \$257.50 / CUSIP: / Symbol:							
10/16/20	1.000	124.98	10/15/20	240.00	...	-115.02	Option sale to close-call ²⁵
VSAT 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
05/27/20	1.000	249.98	05/21/20	200.00	...	49.98	Option sale to close-call ²⁵
VXRT 09/25/2020 CALL \$15.00 / CUSIP: / Symbol:							
09/18/20	1.000	4.98	09/14/20	25.00	...	-20.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VZ 10/23/2020 CALL \$61.00 / CUSIP: / Symbol:							
10/14/20	1.000	23.98	10/13/20	39.00	15.02 W	0.00	Option sale to close-call ²⁵
10/19/20	1.000	6.98	10/13/20	58.02	...	-51.04	Option sale to close-call ²⁵
	Security total:	30.96		97.02	15.02 W	-51.04	
VZ 10/23/2020 PUT \$59.00 / CUSIP: / Symbol:							
10/14/20	1.000	72.98	10/13/20	68.00	...	4.98	Option sale to close-put ²⁵
W 04/03/2020 CALL \$65.00 / CUSIP: / Symbol:							
03/31/20	3.000	224.98	03/30/20	90.00	...	134.98	Option sale to close-call ²⁵
04/01/20	1.000	9.98	03/31/20	100.00	...	-90.02	Option sale to close-call ²⁵
	Security total:	234.96		190.00	...	44.96	
W 04/09/2020 CALL \$64.50 / CUSIP: / Symbol:							
03/31/20	1.000	139.98	03/30/20	100.00	...	39.98	Option sale to close-call ²⁵
WBA 07/10/2020 CALL \$43.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	07/08/20	113.00	...	-113.00	Option expiration- long call
WBA 07/10/2020 CALL \$46.00 / CUSIP: / Symbol:							
07/10/20	2.000	0.00	07/06/20	86.00	...	-86.00	Option expiration- long call
WBA 07/17/2020 CALL \$43.50 / CUSIP: / Symbol:							
07/16/20	1.000	1.98	07/08/20	104.00	...	-102.02	Option sale to close-call ²⁵
WDAY 06/12/2020 CALL \$187.50 / CUSIP: / Symbol:							
06/03/20	1.000	164.98	06/02/20	170.00	...	-5.02	Option sale to close-call ²⁵
WDAY 07/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/08/20	1.000	194.98	06/19/20	370.00	...	-175.02	Option sale to close-call ²⁵
WDC 08/07/2020 CALL \$44.50 / CUSIP: / Symbol:							
08/05/20	1.000	190.98	08/03/20	105.00	...	85.98	Option sale to close-call ²⁵
WDC 08/07/2020 CALL \$46.50 / CUSIP: / Symbol:							
08/04/20	1.000	82.98	08/03/20	59.00	...	23.98	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WDC 08/21/2020 CALL \$45.50 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/05/20	213.00	...	-213.00	Option expiration- long call
WIX 05/15/2020 CALL \$175.00 / CUSIP: / Symbol:							
05/14/20	1.000	899.97	05/11/20	330.00	...	569.97	Option sale to close-call ²⁵
WKHS 08/21/2020 PUT \$9.00 / CUSIP: / Symbol:							
07/07/20	1.000	104.98	07/06/20	93.00	...	11.98	Option sale to close-put ²⁵
WKHS 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/02/20	1.000	189.98	09/01/20	190.00	...	-0.02	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
07/01/20	1.000	579.97	06/25/20	203.00	...	376.97	Option sale to close-call ²⁵
WKHS 10/30/2020 CALL \$21.00 / CUSIP: / Symbol:							
10/22/20	1.000	104.98	10/21/20	94.00	...	10.98	Option sale to close-call ²⁵
WKHS 10/30/2020 CALL \$22.00 / CUSIP: / Symbol:							
10/26/20	1.000	14.98	10/22/20	85.00	...	-70.02	Option sale to close-call ²⁵
WKHS 11/06/2020 CALL \$17.50 / CUSIP: / Symbol:							
<i>2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.98	11/02/20	60.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	11/02/20	45.00	...	4.98	2 of 2 - Option sale to close-call ²⁵
11/03/20	2.000	114.96	Various	105.00	...	9.96	Total of 2 transactions
WKHS 11/06/2020 CALL \$18.00 / CUSIP: / Symbol:							
11/04/20	1.000	-20.02	11/03/20	0.00	...	-20.02	Short sale closed- call ²⁵ Option written: 11/02/20
WKHS 11/13/2020 CALL \$19.00 / CUSIP: / Symbol:							
11/10/20	1.000	89.98	11/05/20	99.00	...	-9.02	Option sale to close-call ²⁵
WMT 02/28/2020 CALL \$120.00 / CUSIP: / Symbol:							
02/19/20	1.000	39.98	02/18/20	144.00	...	-104.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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WMT 02/28/2020 PUT \$116.00 / CUSIP: / Symbol:							
02/20/20	1.000	65.98	02/19/20	37.00	...	28.98	Option sale to close-put ²⁵
WMT 05/22/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/19/20	1.000	42.98	05/18/20	186.00	...	-143.02	Option sale to close-call ²⁵
WMT 05/29/2020 CALL \$137.00 / CUSIP: / Symbol:							
04/23/20	1.000	229.98	04/16/20	350.00	...	-120.02	Option sale to close-call ²⁵
WMT 07/10/2020 CALL \$126.00 / CUSIP: / Symbol:							
06/26/20	1.000	37.98	06/25/20	39.00	...	-1.02	Option sale to close-call ²⁵
WMT 07/10/2020 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	18.99	06/22/20	34.00	...	-15.01	1 of 3 - Option sale to close-call ²⁵
	1.000	19.00	06/22/20	25.00	...	-6.00	2 of 3 - Option sale to close-call ²⁵
	2.000	37.99	06/23/20	38.00	...	-0.01	3 of 3 - Option sale to close-call ²⁵
06/24/20	4.000	75.98	Various	97.00	...	-21.02	Total of 3 transactions
WMT 07/31/2020 CALL \$125.00 / CUSIP: / Symbol:							
06/25/20	1.000	130.98	06/24/20	155.00	...	-24.02	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$137.00 / CUSIP: / Symbol:							
08/14/20	1.000	153.98	08/11/20	151.00	...	2.98	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/10/20	1.000	171.98	07/08/20	135.00	...	36.98	Option sale to close-call ²⁵
WMT 09/04/2020 CALL \$142.00 / CUSIP: / Symbol:							
09/03/20	1.000	513.97	08/28/20	228.00	...	285.97	Option sale to close-call ²⁵
WMT 09/04/2020 CALL \$148.00 / CUSIP: / Symbol:							
09/04/20	1.000	-11.02	09/03/20	0.00	...	-11.02	Short sale closed- call ²⁵ Option written: 08/28/20
WMT 09/11/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/28/20	1.000	554.97	08/27/20	251.00	...	303.97	Option sale to close-call ²⁵

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WMT 09/11/2020 CALL \$136.00 / CUSIP: / Symbol:							
08/31/20	1.000	-150.02	08/28/20	0.00	...	-150.02	Short sale closed- call ²⁵ Option written: 08/27/20
WMT 09/11/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/03/20	1.000	200.98	09/02/20	284.00	...	-83.02	Option sale to close-call ²⁵
WORK 06/05/2020 CALL \$39.00 / CUSIP: / Symbol:							
06/04/20	1.000	174.98	06/02/20	215.00	...	-40.02	Option sale to close-call ²⁵
WORK 06/12/2020 CALL \$35.00 / CUSIP: / Symbol:							
05/28/20	1.000	170.98	05/15/20	170.00	...	0.98	Option sale to close-call ²⁵
WORK 06/12/2020 PUT \$38.00 / CUSIP: / Symbol:							
06/05/20	1.000	709.97	06/04/20	285.00	...	424.97	Option sale to close-put ²⁵
WORK 07/17/2020 CALL \$32.00 / CUSIP: / Symbol:							
07/08/20	1.000	169.98	07/07/20	93.00	...	76.98	Option sale to close-call ²⁵
WORK 07/17/2020 CALL \$35.50 / CUSIP: / Symbol:							
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	0.99	07/13/20	93.00	...	-92.01	1 of 2 - Option sale to close-call ²⁵
	1.000	0.99	07/13/20	90.00	...	-89.01	2 of 2 - Option sale to close-call ²⁵
07/16/20	2.000	1.98	Various	183.00	...	-181.02	Total of 2 transactions
WORK 08/21/2020 CALL \$29.50 / CUSIP: / Symbol:							
08/13/20	1.000	50.98	08/07/20	120.00	69.02 W	0.00	Option sale to close-call ²⁵
08/19/20	1.000	12.98	08/12/20	122.02	...	-109.04	Option sale to close-call ²⁵
	Security total:	63.96		242.02	69.02 W	-109.04	
WORK 09/11/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/08/20	1.000	244.98	09/04/20	219.00	...	25.98	Option sale to close-call ²⁵
WORK 09/11/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/09/20	1.000	-10.02	09/08/20	0.00	...	-10.02	Short sale closed- call ²⁵ Option written: 09/04/20

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WORK 11/27/2020 CALL \$26.00 / CUSIP: / Symbol:							
11/16/20	1.000	63.98	11/10/20	77.00	...	-13.02	Option sale to close-call ²⁵
WRTC 11/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
10/15/20	1.000	54.98	10/14/20	55.00	...	-0.02	Option sale to close-call ²⁵
WSM 06/19/2020 PUT \$62.50 / CUSIP: / Symbol:							
05/29/20	1.000	19.98	05/28/20	115.00	...	-95.02	Option sale to close-put ²⁵
WWE 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/31/20	1.000	309.98	07/30/20	300.00	...	9.98	Option sale to close-call ²⁵
WWE 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
	2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	9.98	07/30/20	45.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.98	07/30/20	45.00	...	-30.02	2 of 2 - Option sale to close-call ²⁵
08/03/20	2.000	24.96	Various	90.00	...	-65.04	Total of 2 transactions
X 01/15/2021 CALL \$14.00 / CUSIP: / Symbol:							
10/26/20	1.000	24.98	10/23/20	34.00	...	-9.02	Option sale to close-call ²⁵
XLE 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
11/06/20	1.000	79.98	11/05/20	95.00	...	-15.02	Option sale to close-call ²⁵
XLF 09/11/2020 CALL \$26.00 / CUSIP: / Symbol:							
09/08/20	2.000	5.98	09/03/20	76.00	...	-70.02	Option sale to close-call ²⁵
XLF 09/30/2020 CALL \$28.00 / CUSIP: / Symbol:							
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	2.000	25.98	09/02/20	20.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	3.000	41.98	09/02/20	30.00	...	11.98	2 of 2 - Option sale to close-call ²⁵
09/03/20	5.000	67.96	Various	50.00	...	17.96	Total of 2 transactions
XPEV 10/16/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/30/20	1.000	124.98	09/29/20	90.00	...	34.98	Option sale to close-call ²⁵

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YEXT 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/17/20	1.000	4.98	06/04/20	195.02	...	-190.04	Option sale to close-call ²⁵
06/17/20	1.000	4.98	06/01/20	85.00	80.02 W	0.00	Option sale to close-call ²⁵
	Security total:	9.96		280.02	80.02 W	-190.04	
YEXT 06/19/2020 PUT \$15.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/04/20	65.00	...	-65.00	Option expiration- long put
Z 08/14/2020 CALL \$72.00 / CUSIP: / Symbol:							
08/07/20	1.000	984.96	08/05/20	408.00	...	576.96	Option sale to close-call ²⁵
Z 08/14/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/10/20	1.000	-278.02	08/07/20	0.00	...	-278.02	Short sale closed- call ²⁵ Option written: 08/05/20
ZM 03/27/2020 CALL \$155.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	274.98	03/26/20	150.00	...	124.98	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	03/26/20	150.00	...	149.98	2 of 2 - Option sale to close-call ²⁵
03/27/20	2.000	574.96	Various	300.00	...	274.96	Total of 2 transactions
ZM 04/03/2020 PUT \$112.00 / CUSIP: / Symbol:							
04/03/20	1.000	4.98	04/02/20	300.00	...	-295.02	Option sale to close-put ²⁵
ZM 04/03/2020 PUT \$122.00 / CUSIP: / Symbol:							
04/02/20	1.000	749.97	04/02/20	370.00	...	379.97	Option sale to close-put ²⁵
ZM 04/09/2020 PUT \$100.00 / CUSIP: / Symbol:							
04/03/20	1.000	104.98	04/02/20	125.00	20.02 W	0.00	Option sale to close-put ²⁵
04/06/20	1.000	242.98	04/03/20	120.02	...	122.96	Option sale to close-put ²⁵
	Security total:	347.96		245.02	20.02 W	122.96	
ZM 04/17/2020 PUT \$70.00 / CUSIP: / Symbol:							
	<i>3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	19.98	04/07/20	25.00	5.02 W	0.00	1 of 3 - Option sale to close-put ²⁵
	1.000	19.98	04/07/20	25.00	5.02 W	0.00	2 of 3 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 04/17/2020 PUT \$70.00 / CUSIP: / Symbol: (cont'd)							
	1.000	19.98	04/07/20	30.02	10.04 W	0.00	3 of 3 - Option sale to close-put ²⁵
04/08/20	3.000	59.94	Various	80.02	20.08 W	0.00	Total of 3 transactions
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/07/20	30.02	...	-30.02	1 of 2 - Option expiration- long put
	1.000	0.00	04/08/20	95.04	...	-95.04	2 of 2 - Option expiration- long put
04/17/20	2.000	0.00	Various	125.06	...	-125.06	Total of 2 transactions
Security total:		59.94		205.08	20.08 W	-125.06	
ZM 05/01/2020 CALL \$195.00 / CUSIP: / Symbol:							
04/24/20	1.000	281.98	04/24/20	530.00	...	-248.02	Option sale to close-call ²⁵
ZM 05/15/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/13/20	1.000	154.98	05/11/20	185.00	30.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	05/14/20	55.02	...	-15.04	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	05/14/20	25.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
05/15/20	2.000	79.96	Various	80.02	...	-0.06	Total of 2 transactions
Security total:		234.94		265.02	30.02 W	-0.06	
ZM 05/29/2020 PUT \$152.50 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/28/20	29.00	...	-29.00	Option expiration- long put
ZM 07/31/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/27/20	1.000	219.98	07/24/20	200.00	...	19.98	Option sale to close-call ²⁵
ZM 08/14/2020 CALL \$265.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/07/20	354.00	...	-354.00	Option expiration- long call
ZM 08/14/2020 CALL \$275.00 / CUSIP: / Symbol:							
08/14/20	1.000	136.98	08/14/20	0.00	...	136.98	Short sale closed- call expired Option written: 08/07/20
ZM 08/21/2020 CALL \$260.00 / CUSIP: / Symbol:							
08/17/20	1.000	489.97	08/14/20	165.00	...	324.97	Option sale to close-call ²⁵

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ZM 09/18/2020 CALL \$495.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	94.98	09/08/20	70.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	1.000	79.98	09/09/20	50.00	...	29.98	2 of 2 - Option sale to close-call ²⁵
09/10/20	2.000	174.96	Various	120.00	...	54.96	Total of 2 transactions
ZM 09/18/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/09/20	1.000	84.98	09/04/20	90.00	...	-5.02	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$482.50 / CUSIP: / Symbol:							
09/30/20	1.000	1,032.96	09/29/20	1,360.00	...	-327.04	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$487.50 / CUSIP: / Symbol:							
10/01/20	1.000	288.96	09/30/20	0.00	...	288.96	Short sale closed- call ²⁵ Option written: 09/29/20
ZM 10/02/2020 PUT \$455.00 / CUSIP: / Symbol:							
10/01/20	1.000	28.97	09/30/20	0.00	...	28.97	Short sale closed- put ²⁵ Option written: 09/29/20
ZM 10/02/2020 PUT \$460.00 / CUSIP: / Symbol:							
09/30/20	1.000	843.97	09/29/20	869.00	...	-25.03	Option sale to close-put ²⁵
ZM 10/09/2020 CALL \$490.00 / CUSIP: / Symbol:							
09/30/20	1.000	1,381.95	09/29/20	1,340.00	...	41.95	Option sale to close-call ²⁵
ZM 10/09/2020 CALL \$495.00 / CUSIP: / Symbol:							
10/01/20	1.000	-27.04	09/30/20	0.00	...	-27.04	Short sale closed- call ²⁵ Option written: 09/29/20
ZM 10/16/2020 CALL \$420.00 / CUSIP: / Symbol:							
09/01/20	1.000	4,099.89	08/31/20	520.00	...	3,579.89	Option sale to close-call ²⁵
ZM 10/16/2020 PUT \$440.00 / CUSIP: / Symbol:							
10/15/20	1.000	19.98	10/14/20	0.00	...	19.98	Short sale closed- put ²⁵ Option written: 10/13/20

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ZM 10/16/2020 PUT \$445.00 / CUSIP: / Symbol:							
10/14/20	1.000	69.98	10/13/20	100.00	...	-30.02	Option sale to close-put ²⁵
ZM 11/20/2020 CALL \$420.00 / CUSIP: / Symbol:							
08/31/20	1.000	949.96	08/28/20	770.00	...	179.96	Option sale to close-call ²⁵
ZM 11/20/2020 CALL \$570.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/06/20	570.00	...	-570.00	Option expiration- long call
ZM 12/24/2020 CALL \$425.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/21/20	1,105.00	...	-1,105.00	Option expiration- long call
ZM 12/24/2020 CALL \$427.50 / CUSIP: / Symbol:							
12/24/20	1.000	989.96	12/24/20	0.00	...	989.96	Short sale closed- call expired Option written: 12/21/20
ZNGA 07/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
06/01/20	1.000	105.98	05/29/20	86.00	...	19.98	Option sale to close-call ²⁵
ZNGA 08/14/2020 CALL \$10.50 / CUSIP: / Symbol:							
08/06/20	1.000	7.98	08/05/20	35.00	...	-27.02	Option sale to close-call ²⁵
ZNGA 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/06/20	1.000	32.98	08/05/20	57.00	...	-24.02	Option sale to close-call ²⁵
ZUO 06/19/2020 CALL \$12.00 / CUSIP: / Symbol:							
06/10/20	1.000	129.98	06/01/20	100.00	...	29.98	Option sale to close-call ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
		2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.					
	6.000	33.12	05/11/20	33.48	...	-0.36	1 of 2 - Sale ²⁵
	2.000	11.04	05/11/20	11.16	...	-0.12	2 of 2 - Sale ²⁵
05/12/20	8.000	44.16	Various	44.64	...	-0.48	Total of 2 transactions
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
04/17/20	2.000	190.17	04/16/20	192.40	...	-2.23	Sale ²⁵
		2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.					
	1.000	114.05	08/27/20	112.80	...	1.25	1 of 2 - Sale ²⁵

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ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol: (cont'd)							
	1.000	114.09	08/27/20	112.80	...	1.29	2 of 2 - Sale ²⁵
08/28/20	2.000	228.14	Various	225.60	...	2.54	Total of 2 transactions
	Security total:	418.31		418.00	...	0.31	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
04/23/20	10.000	567.58	04/22/20	559.28	...	8.30	Sale ²⁵
ALASKA AIR GROUP, INC. / CUSIP: 011659109 / Symbol:							
05/28/20	5.000	182.30	05/27/20	181.50	...	0.80	Sale ²⁵
ALBERTSONS COMPANIES, INC. / CUSIP: 013091103 / Symbol:							
06/29/20	1.000	15.93	06/26/20	15.89	...	0.04	Sale ²⁵
THE ALLSTATE CORPORATION / CUSIP: 020002101 / Symbol:							
05/06/20	3.000	309.66	05/05/20	306.89	...	2.77	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
05/01/20	1.000	2,328.06	04/30/20	2,441.13	...	-113.07	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/14/20	5.000	60.56	04/09/20	62.65	2.09 W	0.00	Sale ²⁵
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	5.000	58.35	04/16/20	60.64	2.29 W	0.00	1 of 2 - Sale ²⁵
	15.000	175.05	04/16/20	175.65	0.60 W	0.00	2 of 2 - Sale ²⁵
04/17/20	20.000	233.40	Various	236.29	2.89 W	0.00	Total of 2 transactions
	3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	5.000	51.85	04/24/20	53.84	...	-1.99	1 of 3 - Sale ²⁵
	15.000	155.57	04/24/20	155.25	...	0.32	2 of 3 - Sale ²⁵
	30.000	311.13	04/24/20	309.30	...	1.83	3 of 3 - Sale ²⁵
04/27/20	50.000	518.55	Various	518.39	...	0.16	Total of 3 transactions
	Security total:	812.51		817.33	4.98 W	0.16	

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APOLLO INVESTMENT CORPORATION COMMON STOCK / CUSIP: 03761U502 / Symbol:							
05/20/20	25.000	228.50	05/18/20	220.41	...	8.09	Sale ²⁵
05/21/20	25.000	247.50	05/18/20	220.40	...	27.10	Sale ²⁵
	Security total:	476.00		440.81	...	35.19	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
05/19/20	10.000	152.40	05/15/20	115.95	...	36.45	Sale ²⁵
05/20/20	5.000	64.22	05/15/20	57.98	...	6.24	Sale ²⁵
	Security total:	216.62		173.93	...	42.69	
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	185.000	292.28	05/14/20	329.73	...	-37.45	1 of 2 - Sale ²⁵
	15.000	23.70	05/14/20	26.73	...	-3.03	2 of 2 - Sale ²⁵
05/15/20	200.000	315.98	Various	356.46	...	-40.48	Total of 2 transactions
BJS WHOLESALE CLUB HOLDINGS, I NC. COMMON STOCK / CUSIP: 05550J101 / Symbol:							
05/08/20	25.000	682.79	05/07/20	678.50	...	4.29	Sale ²⁵
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
05/18/20	2.000	235.40	05/15/20	199.80	...	35.60	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
04/23/20	5.000	451.61	04/22/20	443.91	...	7.70	Sale ²⁵
	3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	1.000	127.52	05/05/20	107.00	...	20.52	1 of 3 - Sale ²⁵
	2.000	247.36	05/06/20	256.76	...	-9.40	2 of 3 - Sale ²⁵
	1.000	123.68	05/06/20	127.95	...	-4.27	3 of 3 - Sale ²⁵
05/07/20	4.000	498.56	Various	491.71	...	6.85	Total of 3 transactions
05/07/20	2.000	255.04	05/06/20	255.90	0.86 W	0.00	Sale ²⁵
	Security total:	1,205.21		1,191.52	0.86 W	14.55	
BIO-PATH HOLDINGS, INC. COMMON STOCK / CUSIP: 09057N300 / Symbol:							
05/15/20	10.000	50.30	05/14/20	50.26	...	0.04	Sale ²⁵

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BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
03/19/20	10.000	209.50	03/18/20	169.80	...	39.70	Sale ²⁵
03/19/20	10.000	209.50	03/19/20	285.00	75.50 W	0.00	Sale ²⁵
04/01/20	5.000	67.40	03/19/20	109.10	...	-41.70	Sale ²⁵
04/02/20	5.000	72.25	03/19/20	109.10	...	-36.85	Sale ²⁵
	Security total:	558.65		673.00	75.50 W	-38.85	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/26/20	3.000	536.59	03/25/20	485.40	...	51.19	Sale ²⁵
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	3.000	485.10	04/06/20	450.60	...	34.50	1 of 2 - Sale ²⁵
	5.000	786.18	04/06/20	751.00	...	35.18	2 of 2 - Sale ²⁵
04/07/20	8.000	1,271.28	Various	1,201.60	...	69.68	Total of 2 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	2.000	290.28	04/16/20	285.00	...	5.28	1 of 2 - Sale ²⁵
	3.000	435.42	04/16/20	408.90	...	26.52	2 of 2 - Sale ²⁵
04/17/20	5.000	725.70	Various	693.90	...	31.80	Total of 2 transactions
04/23/20	5.000	682.15	04/22/20	680.89	...	1.26	Sale ²⁵
04/27/20	3.000	377.25	04/24/20	389.88	12.63 W	0.00	Sale ²⁵
	3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	2.000	259.92	05/08/20	273.07	...	-13.15	1 of 3 - Sale ²⁵
	1.000	128.66	05/08/20	136.54	...	-7.88	2 of 3 - Sale ²⁵
	1.000	129.96	05/08/20	132.32	...	-2.36	3 of 3 - Sale ²⁵
05/11/20	4.000	518.54	Various	541.93	...	-23.39	Total of 3 transactions
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	2.000	353.49	07/13/20	356.18	...	-2.69	1 of 2 - Sale ²⁵
	1.000	176.74	07/13/20	177.48	...	-0.74	2 of 2 - Sale ²⁵
07/14/20	3.000	530.23	Various	533.66	...	-3.43	Total of 2 transactions
	Security total:	4,641.74		4,527.26	12.63 W	127.11	
CALIFORNIA RES CORP COMMON STO CK / CUSIP: 13057Q206 / Symbol:							
04/27/20	30.000	62.55	04/24/20	83.48	...	-20.93	Sale ²⁵
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	10.000	26.30	04/24/20	27.83	...	-1.53	1 of 2 - Sale ²⁵

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CALIFORNIA RES CORP COMMON STO CK / CUSIP: 13057Q206 / Symbol: (cont'd)							
	10.000	27.60	04/24/20	27.82	...	-0.22	2 of 2 - Sale ²⁵
04/29/20	20.000	53.90	Various	55.65	...	-1.75	Total of 2 transactions
	Security total:	116.45		139.13	...	-22.68	
CANADIAN SOLAR INC. COMMON SHA RES (BC) / CUSIP: 136635109 / Symbol:							
05/28/20	10.000	190.00	05/27/20	196.50	...	-6.50	Sale ²⁵
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	10.000	180.31	03/25/20	159.00	...	21.31	1 of 2 - Sale ²⁵
	10.000	180.31	03/25/20	155.40	...	24.91	2 of 2 - Sale ²⁵
03/26/20	20.000	360.62	Various	314.40	...	46.22	Total of 2 transactions
05/06/20	4.000	53.97	05/05/20	52.72	...	1.25	Sale ²⁵
	Security total:	414.59		367.12	...	47.47	
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
06/01/20	10.000	70.00	05/15/20	100.40	...	-30.40	Sale ²⁵
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	5.000	105.50	05/13/20	103.05	...	2.45	1 of 3 - Sale ²⁵
	5.000	112.80	05/13/20	98.90	...	13.90	2 of 3 - Sale ²⁵
	5.000	130.05	05/13/20	98.90	...	31.15	3 of 3 - Sale ²⁵
05/14/20	15.000	348.35	Various	300.85	...	47.50	Total of 3 transactions
CORNERSTONE BUILDING BRANDS, I NC. / CUSIP: 21925D109 / Symbol:							
05/13/20	20.000	87.15	05/12/20	106.20	...	-19.05	Sale ²⁵
05/13/20	20.000	87.60	05/11/20	99.40	11.80 W	0.00	Sale ²⁵
	Security total:	174.75		205.60	11.80 W	-19.05	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
04/27/20	25.000	566.77	04/24/20	557.00	...	9.77	Sale ²⁵
05/28/20	5.000	128.41	05/27/20	132.75	...	-4.34	Sale ²⁵
	Security total:	695.18		689.75	...	5.43	

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
05/19/20	500.000	135.75	05/18/20	144.90	...	-9.15	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
04/23/20	5.000	147.66	04/22/20	142.34	...	5.32	Sale ²⁵
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
05/11/20	3.000	320.91	05/08/20	328.38	...	-7.47	Sale ²⁵
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
09/02/20	5.000	1,439.96	09/01/20	1,370.50	...	69.46	Sale ²⁵
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	201.96	09/01/20	274.10	...	-72.14	1 of 2 - Sale ²⁵
	1.000	203.40	09/01/20	274.10	...	-70.70	2 of 2 - Sale ²⁵
09/14/20	2.000	405.36	Various	548.20	...	-142.84	Total of 2 transactions
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	3.000	617.98	09/01/20	822.30	...	-204.32	1 of 2 - Sale ²⁵
	1.000	205.99	09/01/20	274.10	...	-68.11	2 of 2 - Sale ²⁵
09/15/20	4.000	823.97	Various	1,096.40	...	-272.43	Total of 2 transactions
	Security total:	2,669.29		3,015.10	...	-345.81	
DOLLAR TREE INC. COMMON STOCK / CUSIP: 256746108 / Symbol:							
05/28/20	2.000	194.70	05/27/20	176.96	...	17.74	Sale ²⁵
DOMINO'S PIZZA INC. / CUSIP: 25754A201 / Symbol:							
04/23/20	4.000	1,475.24	04/22/20	1,542.00	...	-66.76	Sale ²⁵
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/27/20	3.000	577.66	04/24/20	570.81	...	6.85	Sale ²⁵
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	1.000	190.49	04/28/20	189.15	...	1.34	1 of 2 - Sale ²⁵
	1.000	190.50	04/28/20	189.15	...	1.35	2 of 2 - Sale ²⁵
04/29/20	2.000	380.99	Various	378.30	...	2.69	Total of 2 transactions
05/11/20	2.000	423.12	05/08/20	424.06	...	-0.94	Sale ²⁵
	Security total:	1,381.77		1,373.17	...	8.60	

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GW PHARMACEUTICALS PLC AMERICA N DEPOSITARY SHARES / CUSIP: 36197T103 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	2.000	227.69	05/11/20	213.63	...	14.06	1 of 2 - Sale ²⁵
	3.000	342.83	05/11/20	320.44	...	22.39	2 of 2 - Sale ²⁵
05/12/20	5.000	570.52	Various	534.07	...	36.45	Total of 2 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
01/03/20	1.000	5.85	12/27/19	5.94	...	-0.09	Sale ²⁵
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
05/01/20	3.000	239.32	04/30/20	247.34	...	-8.02	Sale ²⁵
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	79.45	04/30/20	82.45	...	-3.00	1 of 2 - Sale ²⁵
	1.000	80.14	04/30/20	82.45	...	-2.31	2 of 2 - Sale ²⁵
05/04/20	2.000	159.59	Various	164.90	...	-5.31	Total of 2 transactions
	Security total:	398.91		412.24	...	-13.33	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
02/19/20	100.000	209.99	02/18/20	302.75	...	-92.76	Sale ²⁵
HEXO CORP. / CUSIP: 428304109 / Symbol:							
06/08/20	100.000	106.00	06/03/20	68.75	...	37.25	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
04/23/20	20.000	254.60	04/22/20	244.20	...	10.40	Sale ²⁵
04/28/20	15.000	225.75	04/24/20	208.95	...	16.80	Sale ²⁵
04/29/20	5.000	69.70	04/24/20	69.65	...	0.05	Sale ²⁵
04/29/20	10.000	139.40	04/27/20	148.80	9.40 W	0.00	Sale ²⁵
3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	10.000	129.10	05/11/20	121.10	...	8.00	1 of 3 - Sale ²⁵
	5.000	64.55	05/11/20	55.85	...	8.70	2 of 3 - Sale ²⁵
	10.000	127.42	05/11/20	111.70	...	15.72	3 of 3 - Sale ²⁵
05/12/20	25.000	321.07	Various	288.65	...	32.42	Total of 3 transactions
	Security total:	1,010.52		960.25	9.40 W	59.67	

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INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
<i>2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	37.96	05/11/20	60.50	...	-22.54	1 of 2 - Sale ²⁵
	19.000	14.43	05/11/20	22.80	...	-8.37	2 of 2 - Sale ²⁵
05/15/20	69.000	52.39	Various	83.30	...	-30.91	Total of 2 transactions
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
05/07/20	2.000	184.12	05/06/20	182.04	...	2.08	Sale ²⁵
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
08/21/20	5.000	51.68	08/11/20	82.00	...	-30.32	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
06/09/20	2.000	8.54	06/04/20	7.72	...	0.82	Sale ²⁵
<i>3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	23.000	100.97	06/04/20	88.76	...	12.21	1 of 3 - Sale ²⁵
	5.000	21.95	06/05/20	29.40	...	-7.45	2 of 3 - Sale ²⁵
	2.000	8.78	06/05/20	15.20	...	-6.42	3 of 3 - Sale ²⁵
06/16/20	30.000	131.70	Various	133.36	...	-1.66	Total of 3 transactions
<i>2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	8.78	06/05/20	12.22	3.44 W	0.00	1 of 2 - Sale ²⁵
	8.000	35.12	06/05/20	48.88	13.76 W	0.00	2 of 2 - Sale ²⁵
06/16/20	10.000	43.90	Various	61.10	17.20 W	0.00	Total of 2 transactions
<i>2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	7.68	06/05/20	22.80	...	-15.12	1 of 2 - Sale ²⁵
	5.000	12.80	06/08/20	32.18	...	-19.38	2 of 2 - Sale ²⁵
06/23/20	8.000	20.48	Various	54.98	...	-34.50	Total of 2 transactions
	Security total:	204.62		257.16	17.20 W	-35.34	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
06/16/20	30.000	84.30	06/15/20	84.60	...	-0.30	Sale ²⁵

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MACY'S INC. / CUSIP: 55616P104 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	40.000	405.60	06/08/20	436.80	...	-31.20	1 of 2 - Sale ²⁵
	4.000	40.56	06/08/20	41.80	...	-1.24	2 of 2 - Sale ²⁵
06/09/20	44.000	446.16	Various	478.60	...	-32.44	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
04/06/20	5.000	174.35	04/03/20	174.75	0.40 W	0.00	Sale ²⁵
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	5.000	234.50	04/27/20	262.20	27.70 W	0.00	1 of 2 - Sale ²⁵
	1.000	46.90	04/27/20	52.36	5.46 W	0.00	2 of 2 - Sale ²⁵
04/30/20	6.000	281.40	Various	314.56	33.16 W	0.00	Total of 2 transactions
6 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	4.000	208.75	05/05/20	193.56	...	15.19	1 of 6 - Sale ²⁵
	1.000	53.82	05/06/20	51.13	...	2.69	2 of 6 - Sale ²⁵
	5.000	260.94	05/06/20	256.90	...	4.04	3 of 6 - Sale ²⁵
	1.000	53.68	05/06/20	49.47	...	4.21	4 of 6 - Sale ²⁵
	1.000	53.82	05/06/20	49.47	...	4.35	5 of 6 - Sale ²⁵
	2.000	105.70	05/06/20	98.94	...	6.76	6 of 6 - Sale ²⁵
05/07/20	14.000	736.71	Various	699.47	...	37.24	Total of 6 transactions
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	5.000	260.10	05/05/20	269.65	9.55 W	0.00	1 of 2 - Sale ²⁵
	1.000	52.19	05/05/20	53.85	1.66 W	0.00	2 of 2 - Sale ²⁵
05/07/20	6.000	312.29	Various	323.50	11.21 W	0.00	Total of 2 transactions
05/19/20	3.000	215.88	05/18/20	250.80	34.92 W	0.00	Sale ²⁵
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	3.000	174.75	06/05/20	209.40	...	-34.65	1 of 3 - Sale ²⁵
	1.000	58.25	06/05/20	58.18	...	0.07	2 of 3 - Sale ²⁵
	1.000	58.25	06/05/20	58.16	...	0.09	3 of 3 - Sale ²⁵
06/08/20	5.000	291.25	Various	325.74	...	-34.49	Total of 3 transactions
	Security total:	2,011.88		2,088.82	79.69 W	2.75	

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	10.000	133.63	07/07/20	132.00	...	1.63	1 of 2 - Sale ²⁵
	25.000	334.06	07/07/20	286.25	...	47.81	2 of 2 - Sale ²⁵
07/09/20	35.000	467.69	Various	418.25	...	49.44	Total of 2 transactions
08/26/20	1.000	20.41	08/25/20	18.07	...	2.34	Sale ²⁵
	Security total:	488.10		436.32	...	51.78	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
03/25/20	4.000	318.04	03/24/20	313.08	...	4.96	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	79.40	07/17/20	79.60	...	-0.20	1 of 2 - Sale ²⁵
	5.000	201.80	07/17/20	199.00	...	2.80	2 of 2 - Sale ²⁵
07/20/20	7.000	281.20	Various	278.60	...	2.60	Total of 2 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	5.000	202.04	05/12/20	204.80	...	-2.76	1 of 2 - Sale ²⁵
	5.000	205.00	05/12/20	204.79	...	0.21	2 of 2 - Sale ²⁵
05/13/20	10.000	407.04	Various	409.59	...	-2.55	Total of 2 transactions
OOMA, INC. COMMON STOCK / CUSIP: 683416101 / Symbol:							
05/27/20	10.000	141.45	05/26/20	129.80	...	11.65	Sale ²⁵
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
06/02/20	50.000	121.14	06/01/20	120.50	...	0.64	Sale ²⁵
ORASURE TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 68554V108 / Symbol:							
05/06/20	8.000	130.00	05/05/20	125.84	...	4.16	Sale ²⁵
05/07/20	2.000	31.62	05/05/20	31.46	...	0.16	Sale ²⁵
	Security total:	161.62		157.30	...	4.32	
OVERSTOCK.COM, INC. COMMON STOCK / CUSIP: 690370101 / Symbol:							
05/18/20	1.000	17.52	05/14/20	19.15	...	-1.63	Sale ²⁵

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PGT, INC. / CUSIP: 69336V101 / Symbol:							
05/15/20	15.000	173.70	05/13/20	182.55	...	-8.85	Sale ²⁵
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
<i>3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	185.00	05/05/20	178.40	...	6.60	1 of 3 - Sale ²⁵
	5.000	187.49	05/05/20	178.40	...	9.09	2 of 3 - Sale ²⁵
	10.000	374.99	05/05/20	355.00	...	19.99	3 of 3 - Sale ²⁵
05/06/20	20.000	747.48	Various	711.80	...	35.68	Total of 3 transactions
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
03/31/20	10.000	130.48	03/26/20	141.30	...	-10.82	Sale ²⁵
PETROLEO BRASILEIRO S.A.-PETRO BRAS ADS (REP 1 COMMON SHARE) / CUSIP: 71654V408 / Symbol:							
05/18/20	10.000	65.10	05/15/20	61.00	...	4.10	Sale ²⁵
PILGRIM'S PRIDE CORPORATION CO MMON STOCK / CUSIP: 72147K108 / Symbol:							
06/04/20	5.000	92.10	06/03/20	92.65	...	-0.55	Sale ²⁵
PLANTRONICS, INC. / CUSIP: 727493108 / Symbol:							
05/28/20	10.000	131.32	05/27/20	135.50	...	-4.18	Sale ²⁵
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol:							
04/30/20	15.000	144.00	04/29/20	137.55	...	6.45	Sale ²⁵
05/07/20	3.000	26.40	05/06/20	27.36	0.96 W	0.00	Sale ²⁵
<i>2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	22.000	212.08	05/08/20	219.34	2.31 W	-4.95	1 of 2 - Sale ²⁵
	3.000	28.92	05/08/20	30.87	1.95 W	0.00	2 of 2 - Sale ²⁵
05/11/20	25.000	241.00	Various	250.21	4.26 W	-4.95	Total of 2 transactions
05/12/20	25.000	237.50	05/08/20	249.25	...	-11.75	Sale ²⁵
<i>2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	56.42	05/21/20	61.25	...	-4.83	1 of 2 - Sale ²⁵
	3.000	24.18	05/21/20	27.21	...	-3.03	2 of 2 - Sale ²⁵
05/26/20	10.000	80.60	Various	88.46	...	-7.86	Total of 2 transactions
	Security total:	729.50		752.83	5.22 W	-18.11	

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRAPRO 3X CRUDE OIL ETF / CUSIP: 74347W221 / Symbol:							
03/25/20	600.000	195.35	03/23/20	174.06	...	21.29	Sale ²⁵
03/25/20	400.000	130.23	03/19/20	156.00	25.77 W	0.00	Sale ²⁵
5 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	400.000	85.29	03/26/20	149.69	...	-64.40	1 of 5 - Final principal payment ²⁵
	100.000	21.32	03/26/20	30.98	...	-9.66	2 of 5 - Final principal payment ²⁵
	400.000	85.30	03/27/20	87.98	...	-2.68	3 of 5 - Final principal payment ²⁵
	100.000	21.32	03/27/20	22.00	...	-0.68	4 of 5 - Final principal payment ²⁵
	100.000	21.33	03/27/20	22.00	...	-0.67	5 of 5 - Final principal payment ²⁵
04/03/20	1,100.000	234.56	Various	312.65	...	-78.09	Total of 5 transactions
	Security total:	560.14		642.71	25.77 W	-56.80	
PROSHARES ULTRA BLOOMBERG CRUDE OIL / CUSIP: 74347W247 / Symbol:							
4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	75.000	152.99	04/03/20	226.50	...	-73.51	1 of 4 - Sale ²⁵
	25.000	50.99	04/03/20	75.75	...	-24.76	2 of 4 - Sale ²⁵
	100.000	203.98	04/08/20	235.00	...	-31.02	3 of 4 - Sale ²⁵
	50.000	101.99	04/09/20	108.00	...	-6.01	4 of 4 - Sale ²⁵
04/13/20	250.000	509.95	Various	645.25	...	-135.30	Total of 4 transactions
REATA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 75615P103 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	167.37	05/11/20	164.07	...	3.30	1 of 2 - Sale ²⁵
	3.000	502.10	05/11/20	488.20	...	13.90	2 of 2 - Sale ²⁵
05/12/20	4.000	669.47	Various	652.27	...	17.20	Total of 2 transactions
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	5.000	140.00	09/02/20	185.37	...	-45.37	1 of 2 - Sale ²⁵
	5.000	140.00	09/02/20	165.22	...	-25.22	2 of 2 - Sale ²⁵
09/03/20	10.000	280.00	Various	350.59	...	-70.59	Total of 2 transactions
09/03/20	10.000	278.00	09/02/20	330.45	26.23 W	-26.22	Sale ²⁵
	Security total:	558.00		681.04	26.23 W	-96.81	

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Robinhood Securities LLC

Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	3.000	377.60	05/05/20	369.31	...	8.29	1 of 3 - Sale ²⁵
	5.000	630.88	05/05/20	622.42	...	8.46	2 of 3 - Sale ²⁵
	2.000	251.73	05/07/20	266.96	...	-15.23	3 of 3 - Sale ²⁵
05/08/20	10.000	1,260.21	Various	1,258.69	...	1.52	Total of 3 transactions
TECHNOLOGY SELECT SECTOR SPDR FUND / CUSIP: 81369Y803 / Symbol:							
04/23/20	5.000	440.10	04/22/20	437.59	...	2.51	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
05/20/20	15.000	73.65	05/15/20	74.53	...	-0.88	Sale ²⁵
05/20/20	25.000	123.25	05/15/20	124.22	0.78 W	-0.19	Sale ²⁵
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	10.000	53.71	05/15/20	49.69	...	4.02	1 of 2 - Sale ²⁵
	20.000	107.43	05/20/20	115.55	...	-8.12	2 of 2 - Sale ²⁵
05/26/20	30.000	161.14	Various	165.24	...	-4.10	Total of 2 transactions
	Security total:	358.04		363.99	0.78 W	-5.17	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
04/27/20	25.000	309.00	04/24/20	297.94	...	11.06	Sale ²⁵
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
05/07/20	1.000	71.64	05/06/20	69.26	...	2.38	Sale ²⁵
STAMPS.COM INC. COMMON STOCK (\$0.001 PAR VALUE) / CUSIP: 852857200 / Symbol:							
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	2.000	384.93	05/07/20	396.82	...	-11.89	1 of 3 - Sale ²⁵
	1.000	199.42	05/07/20	198.41	...	1.01	2 of 3 - Sale ²⁵
	1.000	199.63	05/07/20	198.41	...	1.22	3 of 3 - Sale ²⁵
05/08/20	4.000	783.98	Various	793.64	...	-9.66	Total of 3 transactions
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
05/21/20	2.000	281.14	05/20/20	299.80	...	-18.66	Sale ²⁵

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Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
09/22/20	1.000	429.35	09/21/20	422.75	...	6.60	Sale ²⁵
TOWNSQUARE MEDIA, INC. / CUSIP: 892231101 / Symbol:							
05/27/20	10.000	46.78	05/26/20	45.60	...	1.18	Sale ²⁵
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	146.50	05/05/20	115.42	...	31.08	1 of 3 - Sale ²⁵
	1.000	146.50	05/05/20	115.42	...	31.08	2 of 3 - Sale ²⁵
	2.000	288.94	05/05/20	230.85	...	58.09	3 of 3 - Sale ²⁵
05/06/20	4.000	581.94	Various	461.69	...	120.25	Total of 3 transactions
05/08/20	3.000	499.35	05/05/20	346.27	...	153.08	Sale ²⁵
	Security total:	1,081.29		807.96	...	273.33	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	5.000	157.75	05/08/20	163.85	...	-6.10	1 of 2 - Sale ²⁵
	5.000	159.50	05/08/20	163.85	...	-4.35	2 of 2 - Sale ²⁵
05/11/20	10.000	317.25	Various	327.70	...	-10.45	Total of 2 transactions
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol:							
07/01/20	5.000	591.63	06/30/20	581.15	...	10.48	Sale ²⁵
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	20.000	135.15	07/08/20	131.77	...	3.38	1 of 2 - Sale ²⁵
	8.000	54.07	07/09/20	57.91	...	-3.84	2 of 2 - Sale ²⁵
07/10/20	28.000	189.22	Various	189.68	...	-0.46	Total of 2 transactions
VAREX IMAGING CORPORATION COMM ON STOCK / CUSIP: 92214X106 / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	10.000	190.00	05/12/20	262.30	...	-72.30	1 of 4 - Sale ²⁵
	4.000	87.16	05/12/20	104.92	...	-17.76	2 of 4 - Sale ²⁵
	1.000	21.79	05/12/20	26.23	...	-4.44	3 of 4 - Sale ²⁵

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Account 773001367

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAREX IMAGING CORPORATION COMM ON STOCK / CUSIP: 92214X106 / Symbol: (cont'd)							
	1.000	21.79	05/12/20	26.23	...	-4.44	4 of 4 - Sale ²⁵
05/13/20	16.000	320.74	Various	419.68	...	-98.94	Total of 4 transactions
05/14/20	9.000	153.73	05/12/20	236.07	...	-82.34	Sale ²⁵
	Security total:	474.47		655.75	...	-181.28	
VECTIOQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
05/18/20	5.000	106.25	05/13/20	160.90	...	-54.65	Sale ²⁵
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
02/20/20	1.000	2.79	02/03/20	3.65	...	-0.86	Sale ²⁵
03/03/20	1.000	2.56	02/27/20	2.34	...	0.22	Sale ²⁵
	Security total:	5.35		5.99	...	-0.64	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	171.99	05/04/20	135.00	...	36.99	1 of 5 - Sale ²⁵
	1.000	172.33	05/04/20	132.71	...	39.62	2 of 5 - Sale ²⁵
	4.000	687.94	05/04/20	536.24	...	151.70	3 of 5 - Sale ²⁵
	4.000	689.30	05/04/20	536.24	...	153.06	4 of 5 - Sale ²⁵
	6.000	1,055.97	05/04/20	796.25	...	259.72	5 of 5 - Sale ²⁵
05/05/20	16.000	2,777.53	Various	2,136.44	...	641.09	Total of 5 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	25.000	531.73	07/01/20	401.50	...	130.23	1 of 2 - Sale ²⁵
	25.000	533.79	07/01/20	401.50	...	132.29	2 of 2 - Sale ²⁵
07/02/20	50.000	1,065.52	Various	803.00	...	262.52	Total of 2 transactions
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	16.66	07/07/20	16.27	...	0.39	1 of 5 - Sale ²⁵
	5.000	82.55	07/07/20	81.30	...	1.25	2 of 5 - Sale ²⁵
	9.000	149.94	07/07/20	146.34	...	3.60	3 of 5 - Sale ²⁵
	10.000	166.20	07/07/20	162.60	...	3.60	4 of 5 - Sale ²⁵
	10.000	165.10	07/07/20	160.10	...	5.00	5 of 5 - Sale ²⁵
07/08/20	35.000	580.45	Various	566.61	...	13.84	Total of 5 transactions

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
Security total:		1,645.97		1,369.61	...	276.36	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
06/03/20	1.000	223.16	06/02/20	210.00	...	13.16	Sale ²⁵
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	217.54	06/02/20	210.00	...	7.54	1 of 2 - Sale ²⁵
	1.000	217.55	06/02/20	209.71	...	7.84	2 of 2 - Sale ²⁵
06/04/20	2.000	435.09	Various	419.71	...	15.38	Total of 2 transactions
06/05/20	1.000	200.20	06/02/20	209.71	...	-9.51	Sale ²⁵
Security total:		858.45		839.42	...	19.03	
AMBARELLA, INC. ORDINARY SHARE S / CUSIP: G037AX101 / Symbol:							
06/03/20	1.000	58.72	06/02/20	59.00	...	-0.28	Sale ²⁵
AXOVANT GENE THERAPIES LTD. CO MMON SHARES / CUSIP: G0750W203 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	20.000	68.01	05/18/20	71.99	...	-3.98	1 of 2 - Sale ²⁵
	5.000	17.00	05/18/20	17.80	...	-0.80	2 of 2 - Sale ²⁵
05/20/20	25.000	85.01	Various	89.79	...	-4.78	Total of 2 transactions
05/20/20	25.000	83.92	05/18/20	89.00	4.06 W	-1.02	Sale ²⁵
Security total:		168.93		178.79	4.06 W	-5.80	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
06/29/20	3.000	12.93	06/26/20	14.93	...	-2.00	Sale ²⁵
POLYPID LTD. ORDINARY SHARES / CUSIP: M8001Q118 / Symbol:							
06/29/20	1.000	19.20	06/26/20	17.29	...	1.91	Sale ²⁵
WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol:							
05/13/20	1.000	165.00	05/11/20	160.96	...	4.04	Sale ²⁵
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	2.000	356.09	05/11/20	321.92	...	34.17	1 of 4 - Sale ²⁵
	2.000	356.09	05/12/20	327.19	...	28.90	2 of 4 - Sale ²⁵
	1.000	179.35	05/13/20	164.41	...	14.94	3 of 4 - Sale ²⁵

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WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol: (cont'd)							
	1.000	180.46	05/13/20	164.40	...	16.06	4 of 4 - Sale ²⁵
05/14/20	6.000	1,071.99	Various	977.92	...	94.07	Total of 4 transactions
	Security total:	1,236.99		1,138.88	...	98.11	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
03/26/20	5.000	233.05	03/25/20	207.38	...	25.67	Sale ²⁵
04/17/20	5.000	181.31	04/16/20	178.75	...	2.56	Sale ²⁵
04/23/20	10.000	344.70	04/22/20	343.78	...	0.92	Sale ²⁵
	Security total:	759.06		729.91	...	29.15	
Totals :		266,596.87		283,087.50	7,525.82 W	-8,964.81	

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Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
PROSHARES ULTRAPRO 3X CRUDE OIL ETF	74347W221	04/03/20	234.56	Partnership distribution	
			234.56	Total Partnership distribution	

Robinhood Securities LLC

Account 773001367

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

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Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/03/20	-5.00	Margin interest paid	
		05/04/20	-0.51	Margin interest paid	
		06/01/20	-0.08	Margin interest paid	
		07/01/20	-5.00	Margin interest paid	
		07/31/20	-5.00	Margin interest paid	
		08/31/20	-5.00	Margin interest paid	
		09/29/20	-0.01	Margin interest paid	
		09/29/20	-5.00	Margin interest paid	
		10/29/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/28/20	-5.00	Margin interest paid	
			-40.60	Total Margin interest paid	
			-40.60	Total Margin interest paid	

Robinhood Securities LLC

Account 773001367

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CZR 07/24/2020 CALL \$46.00	CZR 07/24/20 C 46.000	07/21/20	1.00	0.00	Merger	
ERI 07/24/2020 CALL \$46.00	ERI 07/24/20 C 46.000	07/21/20	-1.00	0.00	Merger	
S 04/03/2020 CALL \$12.00	S 04/03/20 C 12.000	04/02/20	-5.00	0.00	Merger	
TMUS1 04/03/2020 CALL \$12.00	TMUS1 04/03/20 C 12.000	04/02/20	5.00	0.00	Merger	
UCO 05/01/2020 CALL \$3.00	UCO 05/01/20 C 3.000	04/21/20	-4.00	0.00	Merger	
UCO1 05/01/2020 CALL \$3.00	UCO1 05/01/20 C 3.000	04/21/20	4.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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