

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 732127550	Statement Date: 02/14/2021 Document ID: 1BE3 W4F G0BK	2020
	Subrahmanyam Seerapu 226 Summerset Ln NE Atlanta, GA 30328 RECIPIENT'S TIN: XXX-XX-4412		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	3.67		2- Royalties	0.00	
1b- Qualified dividends	3.67		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	27.50		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	63,681.96	61,408.10	0.00	2,230.91	4,504.77
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.94	7.33	0.00	0.00	-3.39
	Total Short-term	63,685.90	61,415.43	0.00	2,230.91	4,501.38
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	63,685.90	61,415.43	0.00	2,230.91	4,501.38

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

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02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/02/2020 CALL \$110.00 / CUSIP: / Symbol: 09/28/20	1.000	534.97	09/18/20	332.00	...	202.97	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$114.00 / CUSIP: / Symbol: 10/12/20	1.000	629.97	10/02/20	380.00	...	249.97	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$116.00 / CUSIP: / Symbol: 10/05/20	1.000	344.98	10/02/20	235.00	...	109.98	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$126.00 / CUSIP: / Symbol: 10/13/20	1.000	174.98	10/12/20	194.00	...	-19.02	Option sale to close-call ²⁵
AAPL 12/04/2020 CALL \$115.00 / CUSIP: / Symbol: 11/25/20	1.000	299.98	11/23/20	195.00	...	104.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/24/2020 CALL \$120.00 / CUSIP: / Symbol:							
11/30/20	1.000	364.98	11/27/20	250.00	...	114.98	Option sale to close-call ²⁵
AES 11/20/2020 CALL \$18.00 / CUSIP: / Symbol:							
10/19/20	1.000	209.98	10/06/20	125.00	...	84.98	Option sale to close-call ²⁵
BA 11/20/2020 CALL \$230.00 / CUSIP: / Symbol:							
11/18/20	1.000	46.98	11/18/20	192.01	...	-145.03	Option sale to close-call ²⁵
11/18/20	2.000	93.98	11/18/20	278.00	92.01 W	-92.01	Option sale to close-call ²⁵
	Security total:	140.96		470.01	92.01 W	-237.04	
BA 11/27/2020 CALL \$210.00 / CUSIP: / Symbol:							
11/19/20	1.000	549.97	11/18/20	535.00	...	14.97	Option sale to close-call ²⁵
BA 11/27/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/23/20	1.000	139.98	11/23/20	120.04	...	19.94	Option sale to close-call ²⁵
	<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	139.98	11/20/20	155.00	15.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	139.98	11/20/20	145.02	5.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/23/20	2.000	279.96	Various	300.02	20.06 W	0.00	Total of 2 transactions
	Security total:	419.94		420.06	20.06 W	19.94	
BABA 11/27/2020 CALL \$270.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	249.98	11/18/20	780.03	...	-530.05	1 of 2 - Option sale to close-call ²⁵
	1.000	199.99	11/18/20	153.00	...	46.99	2 of 2 - Option sale to close-call ²⁵
11/19/20	2.000	449.97	Various	933.03	...	-483.06	Total of 2 transactions
	<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	199.99	11/12/20	890.00	690.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	224.98	11/18/20	850.01	625.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/19/20	2.000	424.97	Various	1,740.01	1,315.04 W	0.00	Total of 2 transactions
	Security total:	874.94		2,673.04	1,315.04 W	-483.06	
BBY 12/18/2020 CALL \$105.00 / CUSIP: / Symbol:							
12/09/20	1.000	199.98	12/07/20	145.00	...	54.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLNK 12/18/2020 PUT \$25.00 / CUSIP: / Symbol: 11/24/20	1.000	549.97	11/23/20	380.00	...	169.97	Option sale to close-put ²⁵
DAL 09/25/2020 CALL \$33.00 / CUSIP: / Symbol: 09/25/20	1.000	0.00	09/18/20	91.00	...	-91.00	Option expiration- long call
DKNG 09/18/2020 CALL \$50.00 / CUSIP: / Symbol: 09/15/20	1.000	184.98	09/15/20	140.00	...	44.98	Option sale to close-call ²⁵
DKNG 10/09/2020 CALL \$63.00 / CUSIP: / Symbol: 10/09/20	1.000	0.00	10/02/20	330.00	...	-330.00	Option expiration- long call
DKNG 10/16/2020 CALL \$57.50 / CUSIP: / Symbol: 10/16/20	1.000	0.00	10/06/20	290.00	...	-290.00	Option expiration- long call
DKNG 10/16/2020 CALL \$60.00 / CUSIP: / Symbol: 10/16/20	1.000	0.00	10/05/20	387.00	...	-387.00	Option expiration- long call
DKNG 11/06/2020 CALL \$41.00 / CUSIP: / Symbol: 11/05/20	1.000	139.98	10/26/20	235.00	...	-95.02	Option sale to close-call ²⁵
FSLY 11/20/2020 CALL \$76.00 / CUSIP: / Symbol: 11/10/20	1.000	254.98	11/06/20	380.00	...	-125.02	Option sale to close-call ²⁵
FSR 11/20/2020 CALL \$15.00 / CUSIP: / Symbol: 11/09/20	1.000	149.98	10/12/20	220.00	...	-70.02	Option sale to close-call ²⁵
INO 10/23/2020 CALL \$11.50 / CUSIP: / Symbol: 10/20/20	1.000	16.98	10/02/20	170.00	...	-153.02	Option sale to close-call ²⁵
JMIA 10/16/2020 CALL \$7.00 / CUSIP: / Symbol: 09/29/20	1.000	159.98	09/24/20	95.00	...	64.98	Option sale to close-call ²⁵
JMIA 10/16/2020 CALL \$8.00 / CUSIP: / Symbol: 09/22/20	1.000	119.98	09/18/20	90.00	...	29.98	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$11.00 / CUSIP: / Symbol: 10/12/20	1.000	299.98	10/09/20	220.00	...	79.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 11/20/2020 CALL \$13.00 / CUSIP: / Symbol:							
10/16/20	1.000	389.98	10/14/20	225.00	...	164.98	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$14.00 / CUSIP: / Symbol:							
10/23/20	1.000	499.97	10/14/20	200.00	...	299.97	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$18.00 / CUSIP: / Symbol:							
11/05/20	1.000	264.98	10/20/20	190.00	...	74.98	Option sale to close-call ²⁵
JMIA 12/18/2020 PUT \$35.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	164.99	12/10/20	280.00	...	-115.01	1 of 2 - Option sale to close-put ²⁵
	1.000	164.99	12/10/20	180.00	...	-15.01	2 of 2 - Option sale to close-put ²⁵
12/15/20	2.000	329.98	Various	460.00	...	-130.02	Total of 2 transactions
JNJ 12/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
12/01/20	1.000	354.98	11/30/20	190.00	...	164.98	Option sale to close-call ²⁵
LCA 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
11/16/20	1.000	29.98	09/30/20	270.00	...	-240.02	Option sale to close-call ²⁵
11/18/20	1.000	54.98	10/12/20	165.00	...	-110.02	Option sale to close-call ²⁵
	Security total:	84.96		435.00	...	-350.04	
MARA 10/16/2020 CALL \$2.00 / CUSIP: / Symbol:							
10/08/20	2.000	49.98	09/18/20	56.00	...	-6.02	Option sale to close-call ²⁵
MPLN 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
	<i>3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	09/21/20	115.00	...	-115.00	1 of 3 - Option expiration- long call
	2.000	0.00	09/29/20	100.00	...	-100.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/30/20	40.00	...	-40.00	3 of 3 - Option expiration- long call
10/16/20	4.000	0.00	Various	255.00	...	-255.00	Total of 3 transactions
PFE 01/08/2021 CALL \$42.00 / CUSIP: / Symbol:							
12/08/20	1.000	229.98	12/07/20	211.00	...	18.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RCL 10/16/2020 CALL \$65.50 / CUSIP: / Symbol:							
10/06/20	1.000	449.98	09/28/20	425.00	...	24.98	Option sale to close-call ²⁵
RKT 10/16/2020 CALL \$19.50 / CUSIP: / Symbol:							
10/01/20	1.000	279.98	09/24/20	160.00	...	119.98	Option sale to close-call ²⁵
RKT 11/20/2020 CALL \$21.00 / CUSIP: / Symbol:							
11/19/20	1.000	49.98	09/29/20	217.00	...	-167.02	Option sale to close-call ²⁵
RKT 11/20/2020 CALL \$22.00 / CUSIP: / Symbol:							
11/19/20	1.000	7.98	10/21/20	135.00	...	-127.02	Option sale to close-call ²⁵
RKT 11/20/2020 CALL \$23.00 / CUSIP: / Symbol:							
11/16/20	1.000	49.98	10/16/20	180.00	...	-130.02	Option sale to close-call ²⁵
RKT 11/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
11/19/20	1.000	0.98	10/14/20	185.00	...	-184.02	Option sale to close-call ²⁵
SFIX 12/24/2020 PUT \$60.00 / CUSIP: / Symbol:							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	12/15/20	119.00	...	-117.00	1 of 3 - Option sale to close-put ²⁵
	2.000	3.99	12/17/20	40.00	...	-36.01	2 of 3 - Option sale to close-put ²⁵
	2.000	3.99	12/17/20	30.00	...	-26.01	3 of 3 - Option sale to close-put ²⁵
12/22/20	5.000	9.98	Various	189.00	...	-179.02	Total of 3 transactions
SFIX 12/31/2020 PUT \$55.00 / CUSIP: / Symbol:							
6 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	12/15/20	116.00	...	-114.01	1 of 6 - Option sale to close-put ²⁵
	1.000	1.00	12/15/20	64.00	...	-63.00	2 of 6 - Option sale to close-put ²⁵
	1.000	0.99	12/17/20	20.00	...	-19.01	3 of 6 - Option sale to close-put ²⁵
	1.000	1.00	12/17/20	20.00	...	-19.00	4 of 6 - Option sale to close-put ²⁵
	2.000	1.99	12/23/20	14.00	...	-12.01	5 of 6 - Option sale to close-put ²⁵
	1.000	1.00	12/29/20	6.00	...	-5.00	6 of 6 - Option sale to close-put ²⁵
12/31/20	8.000	7.97	Various	240.00	...	-232.03	Total of 6 transactions
SHLL 09/25/2020 CALL \$48.50 / CUSIP: / Symbol:							
09/18/20	1.000	599.97	09/15/20	410.00	...	189.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 732127550

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPAQ 10/16/2020 CALL \$15.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/02/20	115.00	...	-115.00	Option expiration- long call
TAN 10/30/2020 CALL \$74.00 / CUSIP: / Symbol:							
10/29/20	1.000	24.98	10/23/20	200.00	...	-175.02	Option sale to close-call ²⁵
TLRY 01/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
12/04/20	1.000	274.98	11/25/20	150.00	...	124.98	Option sale to close-call ²⁵
TTCF 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
11/23/20	1.000	309.98	11/20/20	245.00	...	64.98	Option sale to close-call ²⁵
TTCF 01/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	189.99	11/25/20	135.00	...	54.99	1 of 2 - Option sale to close-call ²⁵
	1.000	189.99	12/01/20	100.00	...	89.99	2 of 2 - Option sale to close-call ²⁵
12/08/20	2.000	379.98	Various	235.00	...	144.98	Total of 2 transactions
VRM 11/20/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/17/20	1.000	124.98	11/16/20	71.00	...	53.98	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$24.00 / CUSIP: / Symbol:							
10/09/20	1.000	389.98	10/02/20	255.00	...	134.98	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$26.00 / CUSIP: / Symbol:							
10/12/20	1.000	529.97	09/30/20	284.00	...	245.97	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$29.50 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/12/20	180.00	...	-180.00	Option expiration- long call
WKHS 12/04/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/16/20	1.000	219.98	11/16/20	175.00	...	44.98	Option sale to close-call ²⁵
XLE 01/08/2021 CALL \$42.00 / CUSIP: / Symbol:							
12/10/20	2.000	309.98	12/08/20	200.00	...	109.98	Option sale to close-call ²⁵
XLE 12/24/2020 CALL \$40.00 / CUSIP: / Symbol:							
12/08/20	2.000	269.98	12/07/20	214.00	...	55.98	Option sale to close-call ²⁵

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ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol:							
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	9.333	89.33	07/27/20	170.80	...	-81.47	1 of 2 - Sale ²⁵
	1.667	15.95	07/27/20	29.81	...	-13.86	2 of 2 - Sale ²⁵
08/20/20	11.000	105.28	Various	200.61	...	-95.33	Total of 2 transactions
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
07/24/20	29.000	85.56	07/14/20	66.41	...	19.15	Sale ²⁵
AKERS BIOSCIENCES INC. COMMON STOCK / CUSIP: 00973E409 / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	30.000	68.25	09/08/20	78.00	...	-9.75	1 of 2 - Sale ²⁵
	100.000	227.48	09/08/20	236.00	...	-8.52	2 of 2 - Sale ²⁵
09/09/20	130.000	295.73	Various	314.00	...	-18.27	Total of 2 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
11/13/20	1.000	258.07	11/11/20	268.00	9.93 W	0.00	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10/13/20	5.000	619.98	09/08/20	575.00	...	44.98	Sale ²⁵
10/20/20	5.000	592.58	09/08/20	575.00	...	17.58	Sale ²⁵
	Security total:	1,212.56		1,150.00	...	62.56	
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
12/22/20	34.000	97.63	12/22/20	100.64	...	-3.01	Sale ²⁵
AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	11.000	167.77	11/09/20	151.47	...	16.30	1 of 2 - Sale ²⁵
	9.000	137.26	11/12/20	129.65	...	7.61	2 of 2 - Sale ²⁵
12/30/20	20.000	305.03	Various	281.12	...	23.91	Total of 2 transactions

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	31.000	263.50	08/21/20	217.00	...	46.50	1 of 2 - Sale ²⁵
	8.000	68.00	08/24/20	52.56	...	15.44	2 of 2 - Sale ²⁵
08/25/20	39.000	331.50	Various	269.56	...	61.94	Total of 2 transactions
09/08/20	7.000	49.00	09/04/20	44.52	...	4.48	Sale ²⁵
09/17/20	2.000	14.60	09/11/20	12.10	...	2.50	Sale ²⁵
11/12/20	25.000	262.50	10/28/20	185.00	...	77.50	Sale ²⁵
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	14.28	11/17/20	11.98	...	2.30	1 of 2 - Sale ²⁵
	24.000	342.72	11/17/20	287.52	...	55.20	2 of 2 - Sale ²⁵
11/17/20	25.000	357.00	Various	299.50	...	57.50	Total of 2 transactions
12/08/20	5.000	142.50	11/25/20	126.25	...	16.25	Sale ²⁵
	Security total:	1,157.10		936.93	...	220.17	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	223.99	10/28/20	149.85	...	74.14	1 of 2 - Sale ²⁵
	2.000	447.99	10/28/20	300.00	...	147.99	2 of 2 - Sale ²⁵
12/02/20	3.000	671.98	Various	449.85	...	222.13	Total of 2 transactions
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
08/12/20	20.000	49.60	07/16/20	73.90	...	-24.30	Sale ²⁵
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	76.000	171.20	08/25/20	211.28	...	-40.08	1 of 2 - Sale ²⁵
	53.000	119.39	08/25/20	147.34	...	-27.95	2 of 2 - Sale ²⁵
09/09/20	129.000	290.59	Various	358.62	...	-68.03	Total of 2 transactions
COTY INC / CUSIP: 222070203 / Symbol:							
07/21/20	1.000	4.18	06/08/20	5.11	...	-0.93	Sale ²⁵

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DELMAR PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 247078306 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	94.000	108.31	07/21/20	138.18	...	-29.87	1 of 2 - Sale ²⁵
	148.000	170.52	07/21/20	187.96	...	-17.44	2 of 2 - Sale ²⁵
08/10/20	242.000	278.83	Various	326.14	...	-47.31	Total of 2 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	4.000	148.80	07/06/20	113.52	...	35.28	1 of 2 - Sale ²⁵
	6.000	223.20	07/20/20	157.74	...	65.46	2 of 2 - Sale ²⁵
11/09/20	10.000	372.00	Various	271.26	...	100.74	Total of 2 transactions
DESKTOP METAL, INC. / CUSIP: 25058X105 / Symbol:							
12/23/20	5.000	105.00	12/11/20	83.75	...	21.25	Sale ²⁵
DIREXION DAILY AEROSPACE & DEF ENSE BULL 3X SHARES / CUSIP: 25460E661 / Symbol:							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	5.000	86.75	11/20/20	75.78	...	10.97	1 of 3 - Sale ²⁵
	5.000	86.75	11/20/20	75.75	...	11.00	2 of 3 - Sale ²⁵
	15.000	260.25	11/20/20	235.65	...	24.60	3 of 3 - Sale ²⁵
12/03/20	25.000	433.75	Various	387.18	...	46.57	Total of 3 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
11/03/20	5.000	104.91	10/01/20	100.30	...	4.61	Sale ²⁵
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	2.000	41.96	09/24/20	46.20	4.24 W	0.00	1 of 2 - Sale ²⁵
	8.000	167.85	09/25/20	172.00	3.63 W	-0.52	2 of 2 - Sale ²⁵
11/03/20	10.000	209.81	Various	218.20	7.87 W	-0.52	Total of 2 transactions
	Security total:	314.72		318.50	7.87 W	4.09	
DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
10/07/20	28.000	72.24	10/07/20	66.64	...	5.60	Sale ²⁵

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	49.16	10/16/20	44.22	...	4.94	1 of 3 - Sale ²⁵
	2.000	98.32	10/16/20	88.44	...	9.88	2 of 3 - Sale ²⁵
	3.000	147.48	10/16/20	132.66	...	14.82	3 of 3 - Sale ²⁵
11/19/20	6.000	294.96	Various	265.32	...	29.64	Total of 3 transactions
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	8.000	393.27	10/07/20	421.44	28.17 W	0.00	1 of 2 - Sale ²⁵
	4.000	196.63	10/14/20	200.00	3.37 W	0.00	2 of 2 - Sale ²⁵
11/19/20	12.000	589.90	Various	621.44	31.54 W	0.00	Total of 2 transactions
	Security total:	884.86		886.76	31.54 W	29.64	
DEFIANCE NEXT GEN CONNECTIVITY ETF / CUSIP: 26922A289 / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	27.92	06/26/20	26.93	...	0.99	1 of 3 - Sale ²⁵
	1.000	27.92	06/26/20	26.93	...	0.99	2 of 3 - Sale ²⁵
	8.000	223.36	06/26/20	215.44	...	7.92	3 of 3 - Sale ²⁵
07/24/20	10.000	279.20	Various	269.30	...	9.90	Total of 3 transactions
ELYS GAME TECHNOLOGY, CORP. COMMON STOCK / CUSIP: 290734102 / Symbol:							
11/30/20	100.000	251.99	11/25/20	220.00	...	31.99	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	2.000	144.00	10/16/20	170.48	26.48 W	0.00	1 of 2 - Sale ²⁵
	1.000	72.00	10/23/20	76.50	4.50 W	0.00	2 of 2 - Sale ²⁵
11/10/20	3.000	216.00	Various	246.98	30.98 W	0.00	Total of 2 transactions
4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	80.51	10/28/20	75.85	...	4.66	1 of 4 - Sale ²⁵
	1.000	80.51	10/28/20	71.35	...	9.16	2 of 4 - Sale ²⁵
	3.000	241.52	10/30/20	189.00	...	52.52	3 of 4 - Sale ²⁵
	1.000	80.51	11/09/20	66.00	...	14.51	4 of 4 - Sale ²⁵
11/18/20	6.000	483.05	Various	402.20	...	80.85	Total of 4 transactions
11/18/20	2.000	161.01	10/23/20	179.48	18.47 W	0.00	Sale ²⁵

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
12/01/20	2.000	162.54	11/09/20	150.47	...	12.07	Sale ²⁵
	Security total:	1,022.60		979.13	49.45 W	92.92	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
11/11/20	2.000	28.25	09/10/20	29.30	1.05 W	0.00	Sale ²⁵
11/12/20	20.000	302.00	09/10/20	293.00	...	9.00	Sale ²⁵
	3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	6.000	100.50	09/22/20	95.40	...	5.10	1 of 3 - Sale ²⁵
	10.000	167.50	09/24/20	130.00	...	37.50	2 of 3 - Sale ²⁵
	2.000	33.50	10/19/20	26.85	...	6.65	3 of 3 - Sale ²⁵
11/13/20	18.000	301.50	Various	252.25	...	49.25	Total of 3 transactions
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	9.000	158.40	10/20/20	106.20	...	52.20	1 of 3 - Sale ²⁵
	1.000	17.60	10/23/20	10.69	...	6.91	2 of 3 - Sale ²⁵
	10.000	185.00	10/23/20	106.85	...	78.15	3 of 3 - Sale ²⁵
11/25/20	20.000	361.00	Various	223.74	...	137.26	Total of 3 transactions
	Security total:	992.75		798.29	1.05 W	195.51	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	100.000	103.99	08/27/20	104.00	...	-0.01	1 of 2 - Sale ²⁵
	58.000	60.31	08/27/20	60.32	...	-0.01	2 of 2 - Sale ²⁵
08/28/20	158.000	164.30	Various	164.32	...	-0.02	Total of 2 transactions
FORUM MERGER II CORPORATION CL ASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	10.000	252.00	09/24/20	183.50	...	68.50	1 of 2 - Sale ²⁵
	5.000	126.00	09/30/20	119.50	...	6.50	2 of 2 - Sale ²⁵
10/09/20	15.000	378.00	Various	303.00	...	75.00	Total of 2 transactions
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
12/15/20	15.000	309.60	11/25/20	323.70	...	-14.10	Sale ²⁵

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GIGCAPITAL2, INC. COMMON STOCK / CUSIP: 375036100 / Symbol:							
12/23/20	5.000	54.50	12/03/20	50.95	...	3.55	Sale ²⁵
12/31/20	1.000	10.97	12/03/20	10.19	...	0.78	Sale ²⁵
	Security total:	65.47		61.14	...	4.33	
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
07/17/20	99.000	224.72	07/14/20	122.76	...	101.96	Sale ²⁵
HENNESSY CAPITAL ACQUISITION CORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	15.000	199.80	09/18/20	181.35	...	18.45	1 of 3 - Sale ²⁵
	10.000	133.20	10/05/20	107.80	...	25.40	2 of 3 - Sale ²⁵
	10.000	133.20	11/13/20	103.50	...	29.70	3 of 3 - Sale ²⁵
12/04/20	35.000	466.20	Various	392.65	...	73.55	Total of 3 transactions
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol:							
08/10/20	100.000	342.99	08/03/20	273.50	...	69.49	Sale ²⁵
09/11/20	3.000	6.60	08/20/20	7.59	0.99 W	0.00	Sale ²⁵
09/21/20	1.000	2.32	08/20/20	2.53	0.21 W	0.00	Sale ²⁵
12/21/20	61.000	101.24	08/20/20	154.29	...	-53.05	Sale ²⁵
12/23/20	10.000	16.40	08/20/20	25.29	...	-8.89	Sale ²⁵
7 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	3.000	9.36	08/20/20	8.58	...	0.78	1 of 7 - Sale ²⁵
	25.000	78.00	08/20/20	63.23	...	14.77	2 of 7 - Sale ²⁵
	97.000	302.62	08/20/20	245.41	...	57.21	3 of 7 - Sale ²⁵
	1.000	3.12	09/04/20	2.43	...	0.69	4 of 7 - Sale ²⁵
	24.000	74.88	09/04/20	53.16	...	21.72	5 of 7 - Sale ²⁵
	50.000	149.99	09/04/20	110.75	...	39.24	6 of 7 - Sale ²⁵
	100.000	299.99	10/16/20	177.88	...	122.11	7 of 7 - Sale ²⁵
12/31/20	300.000	917.96	Various	661.44	...	256.52	Total of 7 transactions
	Security total:	1,387.51		1,124.64	1.20 W	264.07	

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Robinhood Securities LLC

Account 732127550

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
8 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	78.000	191.08	08/05/20	267.93	...	-76.85	1 of 8 - Sale ²⁵
	85.000	208.23	08/07/20	242.25	...	-34.02	2 of 8 - Sale ²⁵
	15.000	36.75	08/07/20	42.75	...	-6.00	3 of 8 - Sale ²⁵
	1.000	2.45	08/20/20	2.42	...	0.03	4 of 8 - Sale ²⁵
	53.000	129.84	08/20/20	124.29	...	5.55	5 of 8 - Sale ²⁵
	99.000	245.51	08/20/20	239.08	...	6.43	6 of 8 - Sale ²⁵
	1.000	2.48	08/24/20	2.23	...	0.25	7 of 8 - Sale ²⁵
	100.000	247.99	08/24/20	222.50	...	25.49	8 of 8 - Sale ²⁵
10/02/20	432.000	1,064.33	Various	1,143.45	...	-79.12	Total of 8 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
10/22/20	88.000	96.79	08/11/20	126.72	...	-29.93	Sale ²⁵
10/22/20	188.000	206.78	08/10/20	333.70	94.51 W	-32.41	Sale ²⁵
5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	12.000	11.52	08/11/20	17.28	...	-5.76	1 of 5 - Sale ²⁵
	88.000	84.47	08/20/20	104.28	...	-19.81	2 of 5 - Sale ²⁵
	82.000	78.71	09/04/20	93.07	...	-14.36	3 of 5 - Sale ²⁵
	18.000	17.28	09/04/20	20.43	...	-3.15	4 of 5 - Sale ²⁵
	100.000	95.99	10/28/20	151.51	...	-55.52	5 of 5 - Sale ²⁵
11/12/20	300.000	287.97	Various	386.57	...	-98.60	Total of 5 transactions
11/18/20	40.000	51.60	11/16/20	68.20	...	-16.60	Sale ²⁵
12/31/20	1.000	2.02	12/30/20	2.02	...	0.00	Sale ²⁵
	Security total:	645.16		917.21	94.51 W	-177.54	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
08/20/20	4.000	59.02	08/12/20	52.66	...	6.36	Sale ²⁵
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	11.75	11/20/20	10.35	...	1.40	1 of 2 - Sale ²⁵
	14.000	164.50	11/20/20	144.90	...	19.60	2 of 2 - Sale ²⁵
11/30/20	15.000	176.25	Various	155.25	...	21.00	Total of 2 transactions
	Security total:	235.27		207.91	...	27.36	

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2020 1099-B* OMB No. 1545-0715

(continued)

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INVESCO SOLAR ETF / CUSIP: 46138G706 / Symbol:							
10/26/20	5.000	347.50	10/23/20	351.00	...	-3.50	Sale ²⁵
10/28/20	5.000	345.00	10/23/20	351.00	...	-6.00	Sale ²⁵
	Security total:	692.50		702.00	...	-9.50	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	39.000	566.26	07/31/20	580.32	...	-14.06	1 of 4 - Sale ²⁵
	11.000	170.72	07/31/20	163.68	...	7.04	2 of 4 - Sale ²⁵
	18.000	279.36	08/03/20	337.14	...	-57.78	3 of 4 - Sale ²⁵
	1.000	15.52	08/12/20	12.83	...	2.69	4 of 4 - Sale ²⁵
10/16/20	69.000	1,031.86	Various	1,093.97	...	-62.11	Total of 4 transactions
10/20/20	30.000	493.80	08/12/20	384.86	...	108.94	Sale ²⁵
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	18.00	08/12/20	12.83	...	5.17	1 of 3 - Sale ²⁵
	1.000	18.00	08/14/20	13.40	...	4.60	2 of 3 - Sale ²⁵
	48.000	863.98	09/04/20	395.52	...	468.46	3 of 3 - Sale ²⁵
10/23/20	50.000	899.98	Various	421.75	...	478.23	Total of 3 transactions
	Security total:	2,425.64		1,900.58	...	525.06	
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	241.000	83.65	07/24/20	101.22	...	-17.57	1 of 3 - Sale ²⁵
	101.000	35.06	07/24/20	42.67	...	-7.61	2 of 3 - Sale ²⁵
	100.000	34.71	07/24/20	42.00	...	-7.29	3 of 3 - Sale ²⁵
08/10/20	442.000	153.42	Various	185.89	...	-32.47	Total of 3 transactions
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
7 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	0.004	0.04	07/29/20	0.04	...	0.00	1 of 7 - Sale ²⁵
	0.019	0.18	07/29/20	0.17	...	0.01	2 of 7 - Sale ²⁵
	0.136	1.31	07/29/20	1.23	...	0.08	3 of 7 - Sale ²⁵
	0.284	2.72	07/29/20	2.57	...	0.15	4 of 7 - Sale ²⁵
	0.520	4.99	07/29/20	4.72	...	0.27	5 of 7 - Sale ²⁵
	4.000	38.41	07/29/20	36.16	...	2.25	6 of 7 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
	16.000	153.60	07/29/20	145.11	...	8.49	7 of 7 - Sale ²⁵
07/29/20	20.963	201.25	Various	190.00	...	11.25	Total of 7 transactions
KENSINGTON CAPITAL ACQUISITION CORP. / CUSIP: 490073103 / Symbol:							
09/17/20	1.000	17.96	09/15/20	18.80	...	-0.84	Sale ²⁵
09/18/20	14.000	252.00	09/15/20	263.20	...	-11.20	Sale ²⁵
	Security total:	269.96		282.00	...	-12.04	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V206 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	22.000	101.20	08/05/20	202.02	...	-100.82	1 of 2 - Sale ²⁵
	10.000	46.00	08/19/20	48.00	...	-2.00	2 of 2 - Sale ²⁵
08/26/20	32.000	147.20	Various	250.02	...	-102.82	Total of 2 transactions
LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	5.000	75.71	08/10/20	63.50	...	12.21	1 of 3 - Sale ²⁵
	15.000	227.14	08/10/20	190.50	...	36.64	2 of 3 - Sale ²⁵
	20.000	302.86	08/10/20	254.00	...	48.86	3 of 3 - Sale ²⁵
08/24/20	40.000	605.71	Various	508.00	...	97.71	Total of 3 transactions
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	172.50	08/10/20	127.00	...	45.50	1 of 3 - Sale ²⁵
	12.000	206.99	08/11/20	150.30	...	56.69	2 of 3 - Sale ²⁵
	18.000	310.49	08/20/20	251.64	...	58.85	3 of 3 - Sale ²⁵
09/08/20	40.000	689.98	Various	528.94	...	161.04	Total of 3 transactions
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	10.000	200.00	09/24/20	135.90	...	64.10	1 of 4 - Sale ²⁵
	5.000	100.00	09/28/20	74.40	...	25.60	2 of 4 - Sale ²⁵
	5.000	100.00	10/06/20	68.30	...	31.70	3 of 4 - Sale ²⁵
	5.000	100.00	10/12/20	70.00	...	30.00	4 of 4 - Sale ²⁵
12/02/20	25.000	500.00	Various	348.60	...	151.40	Total of 4 transactions
	Security total:	1,795.69		1,385.54	...	410.15	

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(continued)

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ROUNDHILL SPORTS BETTING & IGA MING ETF / CUSIP: 53656F789 / Symbol:							
07/24/20	8.000	127.04	06/26/20	122.24	...	4.80	Sale ²⁵
LONGVIEW ACQUISITION CORP. / CUSIP: 543195101 / Symbol:							
12/07/20	15.000	242.77	11/24/20	238.50	...	4.27	Sale ²⁵
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	43.80	08/03/20	36.40	...	7.40	1 of 2 - Sale ²⁵
	100.000	437.99	08/03/20	368.00	...	69.99	2 of 2 - Sale ²⁵
08/05/20	110.000	481.79	Various	404.40	...	77.39	Total of 2 transactions
4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	69.000	209.06	08/12/20	249.08	...	-40.02	1 of 4 - Sale ²⁵
	1.000	3.03	08/12/20	3.61	...	-0.58	2 of 4 - Sale ²⁵
	19.000	57.57	08/19/20	59.47	...	-1.90	3 of 4 - Sale ²⁵
	80.000	242.39	08/20/20	256.24	...	-13.85	4 of 4 - Sale ²⁵
10/22/20	169.000	512.05	Various	568.40	...	-56.35	Total of 4 transactions
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	80.000	242.39	08/07/20	352.00	109.61 W	0.00	1 of 3 - Sale ²⁵
	31.000	93.93	08/11/20	125.24	1.01 W	-30.30	2 of 3 - Sale ²⁵
	19.000	57.57	08/11/20	76.76	19.19 W	0.00	3 of 3 - Sale ²⁵
10/22/20	130.000	393.89	Various	554.00	129.81 W	-30.30	Total of 3 transactions
11/17/20	92.000	275.99	08/24/20	218.89	...	57.10	Sale ²⁵
11/17/20	8.000	24.00	08/20/20	25.62	1.62 W	0.00	Sale ²⁵
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	8.000	26.64	08/24/20	19.03	...	7.61	1 of 2 - Sale ²⁵
	92.000	306.35	09/08/20	171.12	...	135.23	2 of 2 - Sale ²⁵
11/18/20	100.000	332.99	Various	190.15	...	142.84	Total of 2 transactions
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	100.000	399.99	09/08/20	186.00	...	213.99	1 of 4 - Sale ²⁵
	1.000	5.00	11/02/20	3.11	...	1.89	2 of 4 - Sale ²⁵
	19.000	95.00	11/02/20	59.09	...	35.91	3 of 4 - Sale ²⁵
	80.000	399.99	11/02/20	277.61	...	122.38	4 of 4 - Sale ²⁵
11/23/20	200.000	899.98	Various	525.81	...	374.17	Total of 4 transactions

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	8.000	46.40	12/09/20	40.02	...	6.38	1 of 2 - Sale ²⁵
	32.000	185.60	12/09/20	153.60	...	32.00	2 of 2 - Sale ²⁵
12/14/20	40.000	232.00	Various	193.62	...	38.38	Total of 2 transactions
	Security total:	3,152.69		2,680.89	131.43 W	603.23	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
07/20/20	5.000	250.00	07/06/20	254.55	...	-4.55	Sale ²⁵
MULTIPLAN CORPORATION / CUSIP: 62548M100 / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	11.000	93.72	09/24/20	117.70	...	-23.98	1 of 3 - Sale ²⁵
	7.000	59.64	09/24/20	75.60	...	-15.96	2 of 3 - Sale ²⁵
	7.000	59.64	10/01/20	70.84	...	-11.20	3 of 3 - Sale ²⁵
10/14/20	25.000	213.00	Various	264.14	...	-51.14	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	12.000	173.04	07/06/20	140.88	...	32.16	1 of 2 - Sale ²⁵
	18.000	259.56	07/13/20	287.28	...	-27.72	2 of 2 - Sale ²⁵
08/10/20	30.000	432.60	Various	428.16	...	4.44	Total of 2 transactions
11/12/20	8.000	351.20	11/11/20	316.00	...	35.20	Sale ²⁵
	Security total:	783.80		744.16	...	39.64	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
11/23/20	10.000	282.50	09/10/20	378.00	95.50 W	0.00	Sale ²⁵
11/24/20	8.000	284.00	09/11/20	249.92	...	34.08	Sale ²⁵
11/24/20	2.000	71.00	09/10/20	75.60	4.60 W	0.00	Sale ²⁵
	Security total:	637.50		703.52	100.10 W	34.08	

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OCEAN POWER TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 674870506 / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	200.000	471.98	10/23/20	442.00	...	29.98	1 of 2 - Sale ²⁵
	100.000	235.99	10/28/20	175.00	...	60.99	2 of 2 - Sale ²⁵
11/20/20	300.000	707.97	Various	617.00	...	90.97	Total of 2 transactions
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
07/29/20	33.946	195.02	07/21/20	194.00	...	1.02	Sale ²⁵
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	49.000	34.29	08/20/20	100.94	...	-66.65	1 of 6 - Sale ²⁵
	49.000	34.29	08/20/20	100.91	...	-66.62	2 of 6 - Sale ²⁵
	1.000	0.70	08/20/20	1.89	...	-1.19	3 of 6 - Sale ²⁵
	99.000	69.29	08/24/20	84.62	...	-15.33	4 of 6 - Sale ²⁵
	1.000	0.70	08/24/20	2.21	...	-1.51	5 of 6 - Sale ²⁵
	217.000	151.88	08/28/20	160.43	...	-8.55	6 of 6 - Sale ²⁵
09/18/20	416.000	291.15	Various	451.00	...	-159.85	Total of 6 transactions
5 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	50.000	35.00	07/20/20	80.00	45.00 W	0.00	1 of 5 - Sale ²⁵
	50.000	34.99	07/20/20	80.00	45.01 W	0.00	2 of 5 - Sale ²⁵
	50.000	35.00	08/19/20	88.48	53.48 W	0.00	3 of 5 - Sale ²⁵
	49.000	34.30	08/19/20	86.73	52.43 W	0.00	4 of 5 - Sale ²⁵
	1.000	0.70	08/20/20	2.06	1.36 W	0.00	5 of 5 - Sale ²⁵
09/18/20	200.000	139.99	Various	337.27	197.28 W	0.00	Total of 5 transactions
	Security total:	431.14		788.27	197.28 W	-159.85	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/28/20	1.000	10.85	10/01/20	9.37	...	1.48	Sale ²⁵
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	9.000	152.09	10/01/20	84.33	...	67.76	1 of 3 - Sale ²⁵
	10.000	169.00	10/16/20	97.50	...	71.50	2 of 3 - Sale ²⁵
	11.000	185.89	11/09/20	165.00	...	20.89	3 of 3 - Sale ²⁵
11/12/20	30.000	506.98	Various	346.83	...	160.15	Total of 3 transactions
	Security total:	517.83		356.20	...	161.63	

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Account 732127550

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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THE PECK COMPANY HOLDINGS, INC. COMMON STOCK / CUSIP: 705163103 / Symbol:							
09/28/20	20.000	160.80	09/28/20	187.40	...	-26.60	Sale ²⁵
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
3 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	2.000	214.00	11/09/20	214.00	...	0.00	1 of 3 - Sale ²⁵
	2.000	213.99	11/09/20	211.60	...	2.39	2 of 3 - Sale ²⁵
	2.000	213.99	11/09/20	197.00	...	16.99	3 of 3 - Sale ²⁵
11/11/20	6.000	641.98	Various	622.60	...	19.38	Total of 3 transactions
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol:							
09/28/20	5.000	190.75	09/28/20	208.00	...	-17.25	Sale ²⁵
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
12/04/20	15.000	225.00	11/13/20	151.50	...	73.50	Sale ²⁵
PREDICTIVE ONCOLOGY INC. COMMO N STOCK / CUSIP: 74039M200 / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	100.000	77.99	08/14/20	137.50	...	-59.51	1 of 4 - Sale ²⁵
	11.000	8.58	08/14/20	15.13	...	-6.55	2 of 4 - Sale ²⁵
	88.000	68.63	08/20/20	99.88	...	-31.25	3 of 4 - Sale ²⁵
	1.000	0.78	09/04/20	0.85	...	-0.07	4 of 4 - Sale ²⁵
12/09/20	200.000	155.98	Various	253.36	...	-97.38	Total of 4 transactions
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	90.000	71.99	09/04/20	76.50	...	-4.51	1 of 2 - Sale ²⁵
	10.000	8.00	10/28/20	7.10	...	0.90	2 of 2 - Sale ²⁵
12/23/20	100.000	79.99	Various	83.60	...	-3.61	Total of 2 transactions
12/30/20	100.000	68.55	10/28/20	71.00	...	-2.45	Sale ²⁵
	Security total:	304.52		407.96	...	-103.44	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	15.000	343.19	10/06/20	295.50	...	47.69	1 of 3 - Sale ²⁵
	6.000	137.28	10/08/20	111.12	...	26.16	2 of 3 - Sale ²⁵

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PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol: (cont'd)							
10/28/20	14.000	320.31	10/12/20	230.58	...	89.73	3 of 3 - Sale ²⁵
	35.000	800.78	Various	637.20	...	163.58	Total of 3 transactions
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	19.000	229.90	11/04/20	323.00	93.10 W	0.00	1 of 2 - Sale ²⁵
	1.000	12.10	11/09/20	12.92	0.82 W	0.00	2 of 2 - Sale ²⁵
12/11/20	20.000	242.00	Various	335.92	93.92 W	0.00	Total of 2 transactions
12/21/20	12.000	153.60	11/09/20	153.00	...	0.60	Sale ²⁵
12/21/20	13.000	166.40	11/09/20	167.96	1.56 W	0.00	Sale ²⁵
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	7.000	80.50	11/13/20	124.25	...	-43.75	1 of 3 - Sale ²⁵
	5.000	57.50	11/13/20	64.25	...	-6.75	2 of 3 - Sale ²⁵
	1.000	11.50	11/13/20	13.67	...	-2.17	3 of 3 - Sale ²⁵
12/29/20	13.000	149.50	Various	202.17	...	-52.67	Total of 3 transactions
12/29/20	12.000	138.00	11/13/20	216.00	78.00 W	0.00	Sale ²⁵
	Security total:	1,650.28		1,712.25	173.48 W	111.51	
QUALIGEN THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 74754R103 / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	0.166	0.94	07/20/20	0.77	...	0.17	1 of 3 - Sale ²⁵
	0.444	2.53	07/20/20	2.07	...	0.46	2 of 3 - Sale ²⁵
	48.000	273.60	07/20/20	224.16	...	49.44	3 of 3 - Sale ²⁵
07/24/20	48.610	277.07	Various	227.00	...	50.07	Total of 3 transactions
RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
12/09/20	10.000	196.25	12/08/20	205.00	...	-8.75	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
08/19/20	9.000	191.25	08/14/20	170.01	...	21.24	Sale ²⁵
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	4.000	147.32	12/11/20	153.00	...	-5.68	1 of 2 - Sale ²⁵
	1.000	36.83	12/11/20	38.25	...	-1.42	2 of 2 - Sale ²⁵
12/14/20	5.000	184.15	Various	191.25	...	-7.10	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	6.000	126.00	09/25/20	96.00	...	30.00	1 of 3 - Sale ²⁵
	9.000	189.00	09/25/20	144.00	...	45.00	2 of 3 - Sale ²⁵
	5.000	105.00	10/28/20	77.83	...	27.17	3 of 3 - Sale ²⁵
11/09/20	20.000	420.00	Various	317.83	...	102.17	Total of 3 transactions
STMICROELECTRONICS N.V. / CUSIP: 861012102 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	31.00	07/06/20	27.98	...	3.02	1 of 2 - Sale ²⁵
	6.000	186.00	07/06/20	168.00	...	18.00	2 of 2 - Sale ²⁵
07/20/20	7.000	217.00	Various	195.98	...	21.02	Total of 2 transactions
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	8.000	475.10	10/21/20	464.00	...	11.10	1 of 2 - Sale ²⁵
	2.000	118.78	10/30/20	103.32	...	15.46	2 of 2 - Sale ²⁵
11/19/20	10.000	593.88	Various	567.32	...	26.56	Total of 2 transactions
12/01/20	2.000	125.45	10/30/20	103.32	...	22.13	Sale ²⁵
	Security total:	719.33		670.64	...	48.69	
SURO CAPITAL CORP. COMMON STOCK / CUSIP: 86887Q109 / Symbol:							
12/31/20	10.000	132.10	09/29/20	128.20	...	3.90	Sale ²⁵
TASEKO MINES LIMITED / CUSIP: 876511106 / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	285.000	242.21	07/24/20	201.69	...	40.52	1 of 2 - Sale ²⁵
	470.000	399.43	07/27/20	394.57	...	4.86	2 of 2 - Sale ²⁵
08/06/20	755.000	641.64	Various	596.26	...	45.38	Total of 2 transactions
TATTOOED CHEF, INC CLASS A COMMON STOCK / CUSIP: 87663X102 / Symbol:							
4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	8.000	184.88	11/09/20	150.40	...	34.48	1 of 4 - Sale ²⁵
	3.000	69.33	11/10/20	52.08	...	17.25	2 of 4 - Sale ²⁵
	4.000	92.44	11/10/20	70.40	...	22.04	3 of 4 - Sale ²⁵

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TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
	5.000	115.55	11/13/20	86.50	...	29.05	4 of 4 - Sale ²⁵
12/21/20	20.000	462.20	Various	359.38	...	102.82	Total of 4 transactions
	4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	5.000	121.57	11/16/20	82.70	...	38.87	1 of 4 - Sale ²⁵
	10.000	243.14	11/19/20	157.50	...	85.64	2 of 4 - Sale ²⁵
	10.000	243.15	11/19/20	155.00	...	88.15	3 of 4 - Sale ²⁵
	5.000	121.57	11/24/20	78.30	...	43.27	4 of 4 - Sale ²⁵
12/30/20	30.000	729.43	Various	473.50	...	255.93	Total of 4 transactions
	Security total:	1,191.63		832.88	...	358.75	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/20/20	1.000	1,894.95	07/13/20	1,658.00	...	236.95	Sale ²⁵
09/11/20	1.000	371.50	09/08/20	341.83	...	29.67	Sale ²⁵
09/14/20	2.000	849.98	09/08/20	683.66	...	166.32	Sale ²⁵
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	365.00	09/08/20	341.83	...	23.17	1 of 2 - Sale ²⁵
	2.000	749.98	09/08/20	683.66	...	66.32	2 of 2 - Sale ²⁵
09/24/20	3.000	1,114.98	Various	1,025.49	...	89.49	Total of 2 transactions
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	404.00	09/08/20	341.83	...	62.17	1 of 2 - Sale ²⁵
	1.000	407.52	09/08/20	341.83	...	65.69	2 of 2 - Sale ²⁵
09/25/20	2.000	811.52	Various	683.66	...	127.86	Total of 2 transactions
09/29/20	2.000	853.02	09/08/20	683.67	...	169.35	Sale ²⁵
10/01/20	3.000	1,337.97	09/08/20	1,026.00	...	311.97	Sale ²⁵
	3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	1.180	536.88	10/19/20	519.15	...	17.73	1 of 3 - Sale ²⁵
	0.820	373.09	10/26/20	338.42	...	34.67	2 of 3 - Sale ²⁵
	1.000	454.99	11/13/20	410.00	...	44.99	3 of 3 - Sale ²⁵
11/16/20	3.000	1,364.96	Various	1,267.57	...	97.39	Total of 3 transactions
	Security total:	8,598.88		7,369.88	...	1,229.00	

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TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	25.000	233.25	11/13/20	189.88	...	43.37	1 of 2 - Sale ²⁵
	15.000	139.95	11/16/20	107.25	...	32.70	2 of 2 - Sale ²⁵
12/04/20	40.000	373.20	Various	297.13	...	76.07	Total of 2 transactions
3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	10.000	99.40	11/19/20	69.00	...	30.40	1 of 3 - Sale ²⁵
	10.000	99.40	11/19/20	67.60	...	31.80	2 of 3 - Sale ²⁵
	20.000	198.80	11/23/20	134.00	...	64.80	3 of 3 - Sale ²⁵
12/16/20	40.000	397.60	Various	270.60	...	127.00	Total of 3 transactions
12/18/20	10.000	91.05	11/30/20	84.00	...	7.05	Sale ²⁵
	Security total:	861.85		651.73	...	210.12	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
07/20/20	148.000	177.77	07/17/20	100.34	...	77.43	Sale ²⁵
08/20/20	72.862	69.60	07/21/20	150.00	...	-80.40	Sale ²⁵
	Security total:	247.37		250.34	...	-2.97	
TRINE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 89628U108 / Symbol:							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	10.000	108.90	09/22/20	113.75	...	-4.85	1 of 2 - Sale ²⁵
	5.000	54.45	09/28/20	55.70	...	-1.25	2 of 2 - Sale ²⁵
09/30/20	15.000	163.35	Various	169.45	...	-6.10	Total of 2 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	15.000	232.50	11/20/20	165.27	...	67.23	1 of 2 - Sale ²⁵
	5.000	77.50	11/30/20	64.50	...	13.00	2 of 2 - Sale ²⁵
12/04/20	20.000	310.00	Various	229.77	...	80.23	Total of 2 transactions
	Security total:	473.35		399.22	...	74.13	
TUSCAN HOLDINGS CORP. COMMON S TOCK / CUSIP: 90069K104 / Symbol:							
12/09/20	15.000	240.00	11/24/20	169.50	...	70.50	Sale ²⁵
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
11/11/20	10.000	295.20	11/09/20	264.00	...	31.20	Sale ²⁵

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VERIZON COMMUNICATIONS / CUSIP: 92343V104 / Symbol:							
07/21/20	5.000	281.05	07/06/20	276.45	...	4.60	Sale ²⁵
VERRICA PHARMACEUTICALS INC. C OMMON STOCK / CUSIP: 92511W108 / Symbol:							
07/21/20	25.000	205.75	07/14/20	204.00	...	1.75	Sale ²⁵
VISTA OUTDOOR INC. / CUSIP: 928377100 / Symbol:							
11/11/20	10.000	221.20	11/09/20	195.80	...	25.40	Sale ²⁵
11/12/20	10.000	225.20	11/09/20	195.80	...	29.40	Sale ²⁵
	Security total:	446.40		391.60	...	54.80	
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W303 / Symbol:							
	<i>2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>						
	140.000	174.99	08/25/20	154.00	...	20.99	1 of 2 - Sale ²⁵
	140.000	174.98	08/25/20	153.30	...	21.68	2 of 2 - Sale ²⁵
08/25/20	280.000	349.97	Various	307.30	...	42.67	Total of 2 transactions
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
12/07/20	100.000	389.99	11/20/20	359.96	...	30.03	Sale ²⁵
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
	<i>2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>						
	20.000	124.00	11/13/20	83.00	...	41.00	1 of 2 - Sale ²⁵
	20.000	124.00	11/17/20	105.00	...	19.00	2 of 2 - Sale ²⁵
11/19/20	40.000	248.00	Various	188.00	...	60.00	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
11/23/20	10.000	280.90	10/12/20	276.50	...	4.40	Sale ²⁵
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
12/22/20	5.000	93.30	11/18/20	54.00	...	39.30	Sale ²⁵
	<i>2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	135.00	11/18/20	54.00	...	81.00	1 of 2 - Sale ²⁵
	5.000	135.00	11/30/20	70.00	...	65.00	2 of 2 - Sale ²⁵
12/23/20	10.000	270.00	Various	124.00	...	146.00	Total of 2 transactions
	Security total:	363.30		178.00	...	185.30	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 732127550

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
08/10/20	280.000	173.65	07/30/20	195.89	...	-22.24	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
09/04/20	40.000	720.38	09/03/20	704.00	...	16.38	Sale ²⁵
	4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	1.000	22.10	09/14/20	17.50	...	4.60	1 of 4 - Sale ²⁵
	12.000	265.19	09/15/20	206.40	...	58.79	2 of 4 - Sale ²⁵
	6.000	132.60	09/18/20	97.44	...	35.16	3 of 4 - Sale ²⁵
	9.000	198.89	09/24/20	129.60	...	69.29	4 of 4 - Sale ²⁵
11/09/20	28.000	618.78	Various	450.94	...	167.84	Total of 4 transactions
	Security total:	1,339.16		1,154.94	...	184.22	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. III / CUSIP: G8251K107 / Symbol:							
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	5.000	57.60	12/08/20	55.70	...	1.90	1 of 2 - Sale ²⁵
	15.000	172.80	12/08/20	169.50	...	3.30	2 of 2 - Sale ²⁵
12/14/20	20.000	230.40	Various	225.20	...	5.20	Total of 2 transactions
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	6.000	460.91	10/27/20	348.00	...	112.91	1 of 2 - Sale ²⁵
	3.000	230.45	10/28/20	163.50	...	66.95	2 of 2 - Sale ²⁵
11/09/20	9.000	691.36	Various	511.50	...	179.86	Total of 2 transactions
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	100.000	19.18	07/17/20	31.86	...	-12.68	1 of 3 - Sale ²⁵
	99.000	19.00	07/17/20	25.64	...	-6.64	2 of 3 - Sale ²⁵
	100.000	19.19	07/17/20	25.15	...	-5.96	3 of 3 - Sale ²⁵
08/10/20	299.000	57.37	Various	82.65	...	-25.28	Total of 3 transactions
08/10/20	100.000	19.19	07/17/20	25.15	5.96 W	0.00	Sale ²⁵
	Security total:	76.56		107.80	5.96 W	-25.28	
Totals :		63,681.96		61,408.10	2,230.91 W	4,504.77	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 732127550

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W206 / Symbol:							
08/20/20	0.300	3.00	07/27/20	5.49	...	-2.49	Gain or loss
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V206 / Symbol:							
08/27/20	0.200	0.94	08/05/20	1.84	...	-0.90	Gain or loss
Totals:		3.94		7.33	...	-3.39	

Robinhood Securities LLC

Account 732127550

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		12/30/20	0.59	Qualified dividend	
SURO CAPITAL CORP. COMMON STOC K	86887Q109		10/20/20	6.00	Long-term capital gain	03
			11/30/20	10.50	Long-term capital gain	03
			01/15/21	11.00	Long-term capital gain	03
				27.50	Total Dividends & distributions	
VERIZON COMMUNICATIONS	92343V104		08/03/20	3.08	Qualified dividend	
				31.17	Total Dividends & distributions	

Robinhood Securities LLC

Account 732127550

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-25.00	Total Margin interest paid	

Robinhood Securities LLC

Account 732127550

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BURGERFI INTERNATIONAL, INC. C OMMON STOCK	12122L101	12/17/20	32.00	0.00	Exchange	
CHURCHILL CAPITAL CORP III CLA SS A COMMON STOCK	17144C104	10/09/20	-25.00	0.00	Exchange	
FISKER INC.	33813J106	10/30/20	60.00	0.00	Exchange	
GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK	38113L107	12/30/20	25.00	0.00	Exchange	
HYLIION HOLDINGS CORP.	449109107	10/02/20	20.00	0.00	Merger	
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK	51476X105	12/30/20	-25.00	0.00	Exchange	
MULTIPLAN CORPORATION	62548M100	10/09/20	25.00	0.00	Exchange	
OPES ACQUISITION CORP. COMMON STOCK	68373P100	12/17/20	-32.00	0.00	Exchange	
PIVOTAL INVESTMENT CORPORATION II	72582K100	12/22/20	-30.00	0.00	Exchange	
SPARTAN ENERGY ACQUISITION COR P.	846784106	10/30/20	-60.00	0.00	Exchange	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK	89154L100	10/02/20	-20.00	0.00	Merger	
XL FLEET CORP.	9837FR100	12/22/20	30.00	0.00	Exchange	
CCXX 10/16/2020 CALL \$12.50	CCXX 10/16/20 C 12.500	10/09/20	-4.00	0.00	Merger	
FSR 11/20/2020 CALL \$15.00	FSR 11/20/20 C 15.000	10/30/20	1.00	0.00	Merger	
MPLN 10/16/2020 CALL \$12.50	MPLN 10/16/20 C 12.500	10/09/20	4.00	0.00	Merger	
SPAQ 11/20/2020 CALL \$15.00	SPAQ 11/20/20 C 15.000	10/30/20	-1.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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