

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 759988710</b>	Statement Date: 02/14/2021 Document ID: DHSR 11N 460K	2020
	Rakesh reddy Marella 2412 S Voss Rd apt f112 Houston, TX 77057  RECIPIENT'S TIN: XXX-XX-5106		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	131.33		2- Royalties	0.00	
1b- Qualified dividends	131.33		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,401,951.80	2,305,588.10	0.00	20,598.77	116,962.47
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>2,401,951.80</b>	<b>2,305,588.10</b>	<b>0.00</b>	<b>20,598.77</b>	<b>116,962.47</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>2,401,951.80</b>	<b>2,305,588.10</b>	<b>0.00</b>	<b>20,598.77</b>	<b>116,962.47</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 759988710

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	291.84
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 CALL \$132.00 / CUSIP: / Symbol:							
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	5.000	1,949.95	12/22/20	2,050.00	...	-100.05	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	391.98	12/22/20	415.02	...	-23.04	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	391.98	12/22/20	415.01	...	-23.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	391.98	12/22/20	415.01	...	-23.03	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	391.98	12/22/20	415.01	...	-23.03	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	391.98	12/22/20	415.01	...	-23.03	6 of 6 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	3,909.85	Various	4,125.06	...	-215.21	Total of 6 transactions
12/22/20	10.000	3,899.89	12/22/20	3,950.00	25.06 W	-25.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,809.74</b>		<b>8,075.06</b>	<b>25.06 W</b>	<b>-240.26</b>	

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 CALL \$133.00 / CUSIP: / Symbol:							
9 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	609.97	12/24/20	355.00	...	254.97	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	12/24/20	710.00	...	509.96	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	12/24/20	710.00	...	509.96	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	12/24/20	710.00	...	509.96	4 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	12/24/20	710.00	...	509.96	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	12/24/20	710.00	...	509.96	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,219.96	12/24/20	710.00	...	509.96	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,221.97	12/24/20	710.00	...	511.97	8 of 9 - Option sale to close-call <sup>25</sup>
	3.000	1,832.95	12/24/20	1,065.00	...	767.95	9 of 9 - Option sale to close-call <sup>25</sup>
12/29/20	18.000	10,984.65	Various	6,390.00	...	4,594.65	Total of 9 transactions
AAPL 01/08/2021 CALL \$140.00 / CUSIP: / Symbol:							
6 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	3.000	752.97	12/29/20	780.00	...	-27.03	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	501.97	12/29/20	520.00	...	-18.03	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	501.97	12/29/20	520.00	...	-18.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	250.98	12/29/20	260.00	...	-9.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	250.98	12/29/20	260.00	...	-9.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	250.98	12/29/20	260.00	...	-9.02	6 of 6 - Option sale to close-call <sup>25</sup>
12/29/20	10.000	2,509.85	Various	2,600.00	...	-90.15	Total of 6 transactions
AAPL 07/16/2021 CALL \$135.00 / CUSIP: / Symbol:							
12/22/20	6.000	8,429.80	12/22/20	8,430.00	0.17 W	-0.03	Option sale to close-call <sup>25</sup>
12/23/20	5.000	6,899.83	12/22/20	7,225.17	...	-325.34	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>15,329.63</b>		<b>15,655.17</b>	<b>0.17 W</b>	<b>-325.37</b>	
AAPL 08/14/2020 CALL \$460.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	08/10/20	650.00	...	-345.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	304.98	08/10/20	650.00	...	-345.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/20	2.000	609.96	Various	1,300.00	...	-690.04	Total of 2 transactions

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$113.75 / CUSIP: / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	447.98	09/16/20	596.00	...	-148.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	223.98	09/16/20	298.00	...	-74.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/17/20	3.000	671.96	Various	894.00	...	-222.04	Total of 2 transactions
AAPL 10/16/2020 CALL \$122.00 / CUSIP: / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	282.98	10/12/20	222.00	...	60.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	848.97	10/12/20	666.00	...	182.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/13/20	4.000	1,131.95	Various	888.00	...	243.95	Total of 2 transactions
AAPL 10/16/2020 CALL \$123.00 / CUSIP: / Symbol:							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	292.99	10/13/20	305.00	...	-12.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	292.99	10/13/20	305.00	...	-12.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	292.99	10/13/20	305.00	...	-12.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/13/20	3.000	878.97	Various	915.00	...	-36.03	Total of 3 transactions
AMD 06/26/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/11/20	10.000	929.95	06/10/20	1,690.00	...	-760.05	Option sale to close-call <sup>25</sup>
AMD 08/14/2020 CALL \$75.00 / CUSIP: / Symbol:							
07/29/20	3.000	1,274.96	07/28/20	597.00	...	677.96	Option sale to close-call <sup>25</sup>
AMD 10/30/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	3.000	833.97	10/13/20	945.00	...	-111.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	277.98	10/13/20	315.00	...	-37.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	4.000	1,111.95	Various	1,260.00	...	-148.05	Total of 2 transactions
AMZN 01/08/2021 CALL \$3,200.00 / CUSIP: / Symbol:							
12/22/20	1.000	8,089.81	12/22/20	8,375.00	...	-285.19	Option sale to close-call <sup>25</sup>
AMZN 08/21/2020 CALL \$3,380.00 / CUSIP: / Symbol:							
08/18/20	1.000	2,404.93	08/18/20	2,405.00	...	-0.07	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APT 06/19/2020 CALL \$15.50 / CUSIP: / Symbol:							
06/18/20	1.000	59.98	06/18/20	90.00	...	-30.02	Option sale to close-call <sup>25</sup>
APT 06/26/2020 CALL \$14.50 / CUSIP: / Symbol:							
06/17/20	2.000	239.98	06/17/20	220.00	...	19.98	Option sale to close-call <sup>25</sup>
APT 06/26/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	109.98	06/19/20	263.01	...	-153.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	233.99	06/19/20	380.00	...	-146.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	3.000	343.97	Various	643.01	...	-299.04	Total of 2 transactions
06/19/20	1.000	116.99	06/19/20	190.00	73.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>460.96</b>		<b>833.01</b>	<b>73.01 W</b>	<b>-299.04</b>	
APT 07/02/2020 CALL \$18.50 / CUSIP: / Symbol:							
06/23/20	3.000	419.98	06/23/20	570.00	...	-150.02	Option sale to close-call <sup>25</sup>
APT 07/02/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	309.98	06/24/20	410.00	...	-100.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	132.98	06/24/20	205.00	...	-72.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/25/20	3.000	442.96	Various	615.00	...	-172.04	Total of 2 transactions
AYX 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
08/12/20	3.000	1,664.95	08/11/20	1,830.00	...	-165.05	Option sale to close-call <sup>25</sup>
BA 06/12/2020 CALL \$185.00 / CUSIP: / Symbol:							
06/05/20	5.000	9,699.77	06/04/20	3,025.00	...	6,674.77	Option sale to close-call <sup>25</sup>
BA 06/12/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/08/20	2.000	3,279.91	06/05/20	1,570.00	...	1,709.91	Option sale to close-call <sup>25</sup>
BA 06/19/2020 CALL \$185.00 / CUSIP: / Symbol:							
06/05/20	10.000	22,449.48	06/04/20	9,250.00	...	13,199.48	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	889.97	06/15/20	675.00	...	214.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	889.98	06/15/20	675.00	...	214.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	2.000	1,779.95	Various	1,350.00	...	429.95	Total of 2 transactions
BA 07/02/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	969.97	06/29/20	595.00	...	374.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	1,939.95	06/29/20	1,190.00	...	749.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/20	3.000	2,909.92	Various	1,785.00	...	1,124.92	Total of 2 transactions
BA 07/02/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	1,279.96	06/12/20	1,906.00	...	-626.04	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,279.96	06/12/20	1,906.00	...	-626.04	2 of 2 - Option sale to close-call <sup>25</sup>
06/15/20	2.000	2,559.92	Various	3,812.00	...	-1,252.08	Total of 2 transactions
BA 08/14/2020 CALL \$180.00 / CUSIP: / Symbol:							
4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	854.98	08/10/20	425.00	...	429.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	854.98	08/10/20	424.00	...	430.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	854.97	08/10/20	359.00	...	495.97	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	854.98	08/10/20	359.00	...	495.98	4 of 4 - Option sale to close-call <sup>25</sup>
08/11/20	4.000	3,419.91	Various	1,567.00	...	1,852.91	Total of 4 transactions
BABA 12/31/2020 PUT \$220.00 / CUSIP: / Symbol:							
12/24/20	5.000	4,099.89	12/24/20	3,350.00	...	749.89	Option sale to close-put <sup>25</sup>
BRKB 08/14/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/10/20	5.000	1,089.96	08/07/20	1,305.00	...	-215.04	Option sale to close-call <sup>25</sup>
CCL 05/08/2020 CALL \$17.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/30/20	60.00	...	-60.00	Option expiration- long call

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 05/22/2020 CALL \$15.50 / CUSIP: / Symbol:							
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	05/18/20	49.00	...	-34.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.99	05/18/20	49.00	...	-34.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.00	05/18/20	49.00	...	-34.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/19/20	3.000	44.98	Various	147.00	...	-102.02	Total of 3 transactions
CGC 06/26/2020 CALL \$18.00 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	6.000	419.97	06/18/20	492.00	...	-72.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	69.99	06/18/20	93.02	...	-23.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	70.00	06/18/20	93.02	...	-23.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/18/20	8.000	559.96	Various	678.04	...	-118.08	Total of 3 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	70.98	06/18/20	82.00	11.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	70.98	06/18/20	82.00	11.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/18/20	2.000	141.96	Various	164.00	22.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>701.92</b>		<b>842.04</b>	<b>22.04 W</b>	<b>-118.08</b>	
CHGG 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	224.98	06/22/20	275.00	...	-50.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	224.98	06/22/20	275.00	...	-50.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/20	2.000	449.96	Various	550.00	...	-100.04	Total of 2 transactions
CHGG 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/10/20	2.000	1,939.94	06/29/20	1,300.00	...	639.94	Option sale to close-call <sup>25</sup>
CHWY 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	3.000	344.99	06/19/20	387.00	...	-42.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	114.99	06/19/20	146.03	...	-31.04	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.00	06/19/20	142.02	...	-31.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	115.00	06/19/20	145.01	...	-30.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	114.99	06/19/20	140.01	...	-25.02	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CHWY 07/17/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	110.99	06/19/20	129.00	...	-18.01	6 of 6 - Option sale to close-call <sup>25</sup>
06/19/20	8.000	911.96	Various	1,089.07	...	-177.11	Total of 6 transactions
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	3.000	317.98	06/19/20	369.00	51.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	109.98	06/19/20	123.00	13.02 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	109.98	06/19/20	146.01	36.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	221.99	06/19/20	292.01	70.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/19/20	7.000	759.93	Various	930.02	170.09 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,671.89</b>		<b>2,019.09</b>	<b>170.09 W</b>	<b>-177.11</b>	
CMG 09/25/2020 CALL \$1,425.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/23/20	175.00	...	-175.00	Option expiration- long call
COST 09/25/2020 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	2.000	13.98	09/23/20	1,130.00	...	-1,116.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.98	09/23/20	565.00	...	-558.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/25/20	3.000	20.96	Various	1,695.00	...	-1,674.04	Total of 2 transactions
CRWD 06/05/2020 CALL \$100.00 / CUSIP: / Symbol:							
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	375.99	06/01/20	320.00	...	55.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	751.98	06/01/20	640.00	...	111.98	2 of 4 - Option sale to close-call <sup>25</sup>
	7.000	2,631.93	06/01/20	2,240.00	...	391.93	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	3,759.89	06/01/20	3,200.00	...	559.89	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/20	20.000	7,519.79	Various	6,400.00	...	1,119.79	Total of 4 transactions
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	3.000	989.97	06/01/20	1,260.00	...	-270.03	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	989.97	06/01/20	1,260.00	...	-270.03	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	659.98	06/01/20	840.00	...	-180.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	329.99	06/01/20	420.00	...	-90.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	329.99	06/01/20	420.00	...	-90.01	5 of 5 - Option sale to close-call <sup>25</sup>
06/03/20	10.000	3,299.90	Various	4,200.00	...	-900.10	Total of 5 transactions
	<b>Security total:</b>	<b>10,819.69</b>		<b>10,600.00</b>	...	<b>219.69</b>	

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CRWD 06/12/2020 CALL \$105.00 / CUSIP: / Symbol:							
<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	439.98	06/03/20	1,320.00	...	-880.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	439.98	06/03/20	1,320.00	...	-880.02	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	329.99	06/03/20	720.00	...	-390.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/04/20	11.000	1,209.95	Various	3,360.00	...	-2,150.05	Total of 3 transactions
CRWD 09/04/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/01/20	1.000	759.97	09/01/20	865.00	...	-105.03	Option sale to close-call <sup>25</sup>
DDOG 06/26/2020 CALL \$92.00 / CUSIP: / Symbol:							
06/25/20	4.000	459.97	06/25/20	500.00	...	-40.03	Option sale to close-call <sup>25</sup>
DDOG 08/07/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/10/20	2.000	1,139.96	07/07/20	960.00	...	179.96	Option sale to close-call <sup>25</sup>
DDOG 08/07/2020 CALL \$85.50 / CUSIP: / Symbol:							
07/01/20	3.000	2,849.92	06/30/20	2,280.00	...	569.92	Option sale to close-call <sup>25</sup>
DDOG 08/07/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/28/20	1.000	349.98	07/28/20	340.00	...	9.98	Option sale to close-call <sup>25</sup>
DKNG 06/12/2020 CALL \$40.00 / CUSIP: / Symbol:							
<i>6 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	12,399.64	05/28/20	13,200.00	...	-800.36	1 of 6 - Option sale to close-call <sup>25</sup>
	25.000	7,749.77	05/28/20	8,250.00	...	-500.23	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.98	05/28/20	260.00	...	49.98	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	619.98	05/28/20	520.00	...	99.98	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	929.97	05/28/20	780.00	...	149.97	5 of 6 - Option sale to close-call <sup>25</sup>
	24.000	7,439.79	05/28/20	6,240.00	...	1,199.79	6 of 6 - Option sale to close-call <sup>25</sup>
05/28/20	95.000	29,449.13	Various	29,250.00	...	199.13	Total of 6 transactions
DKNG 06/26/2020 CALL \$41.00 / CUSIP: / Symbol:							
06/04/20	25.000	8,249.76	06/03/20	9,750.00	...	-1,500.24	Option sale to close-call <sup>25</sup>
DKNG 09/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/15/20	1.000	84.98	09/14/20	80.00	...	4.98	Option sale to close-call <sup>25</sup>

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DKNG 09/18/2020 CALL \$52.00 / CUSIP: / Symbol:							
09/17/20	1.000	185.98	09/16/20	130.00	...	55.98	Option sale to close-call <sup>25</sup>
DKNG 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/16/20	1.000	92.98	09/16/20	100.00	...	-7.02	Option sale to close-call <sup>25</sup>
DKNG 11/13/2020 CALL \$55.00 / CUSIP: / Symbol:							
10/12/20	2.000	999.96	10/09/20	760.00	...	239.96	Option sale to close-call <sup>25</sup>
DOCU 08/07/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/27/20	2.000	1,249.96	07/27/20	1,170.00	...	79.96	Option sale to close-call <sup>25</sup>
DOCU 10/16/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	2.000	337.98	10/14/20	572.00	...	-234.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	168.98	10/14/20	347.02	...	-178.04	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	3.000	506.96	Various	919.02	...	-412.06	Total of 2 transactions
10/14/20	1.000	168.98	10/14/20	286.00	117.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>675.94</b>		<b>1,205.02</b>	<b>117.02 W</b>	<b>-412.06</b>	
DOCU 10/23/2020 CALL \$242.50 / CUSIP: / Symbol:							
10/15/20	1.000	579.97	10/14/20	665.00	...	-85.03	Option sale to close-call <sup>25</sup>
ENPH 06/19/2020 PUT \$30.00 / CUSIP: / Symbol:							
06/17/20	2.000	139.98	06/17/20	170.00	...	-30.02	Option sale to close-put <sup>25</sup>
ENPH 06/19/2020 PUT \$35.00 / CUSIP: / Symbol:							
06/17/20	1.000	149.98	06/17/20	155.00	...	-5.02	Option sale to close-put <sup>25</sup>
ENPH 06/19/2020 PUT \$40.00 / CUSIP: / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	274.99	06/17/20	155.00	...	119.99	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	274.99	06/17/20	155.00	...	119.99	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	549.98	06/17/20	330.00	...	219.98	3 of 3 - Option sale to close-put <sup>25</sup>
06/17/20	4.000	1,099.96	Various	640.00	...	459.96	Total of 3 transactions

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EOG 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/20/20	3.000	1,799.95	05/07/20	1,440.00	...	359.95	Option sale to close-call <sup>25</sup>
EOG 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/14/20	2.000	97.98	09/11/20	128.00	...	-30.02	Option sale to close-call <sup>25</sup>
ETSY 06/26/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/19/20	2.000	359.98	06/19/20	380.00	...	-20.02	Option sale to close-call <sup>25</sup>
ETSY 08/07/2020 CALL \$110.00 / CUSIP: / Symbol:							
08/03/20	3.000	5,249.87	07/29/20	1,665.00	...	3,584.87	Option sale to close-call <sup>25</sup>
ETSY 08/07/2020 CALL \$145.00 / CUSIP: / Symbol:							
08/06/20	1.000	84.98	08/05/20	380.00	...	-295.02	Option sale to close-call <sup>25</sup>
FB 06/19/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/12/20	1.000	834.97	06/11/20	570.00	...	264.97	Option sale to close-call <sup>25</sup>
FB 09/25/2020 CALL \$265.00 / CUSIP: / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	2.000	2,129.94	08/12/20	2,240.00	...	-110.06	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	2,159.94	08/12/20	2,240.00	...	-80.06	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	4.000	4,289.88	Various	4,480.00	...	-190.12	Total of 2 transactions
FFIV 07/31/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	07/27/20	125.00	...	-120.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	07/27/20	120.00	...	-115.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	9.98	Various	245.00	...	-235.02	Total of 2 transactions
FSLY 06/26/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/22/20	1.000	829.97	06/22/20	270.00	...	559.97	Option sale to close-call <sup>25</sup>
06/23/20	3.000	4,469.89	06/22/20	810.00	...	3,659.89	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,299.86</b>		<b>1,080.00</b>	...	<b>4,219.86</b>	

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 07/02/2020 CALL \$81.00 / CUSIP: / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	739.97	06/29/20	960.00	...	-220.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	739.98	06/29/20	900.00	...	-160.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/20	4.000	1,479.95	Various	1,860.00	...	-380.05	Total of 2 transactions
FSLY 07/02/2020 CALL \$84.00 / CUSIP: / Symbol:							
06/25/20	2.000	859.97	06/25/20	960.00	...	-100.03	Option sale to close-call <sup>25</sup>
FSLY 07/02/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	439.98	06/26/20	1,120.00	...	-680.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	219.98	06/26/20	560.00	...	-340.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/20	3.000	659.96	Various	1,680.00	...	-1,020.04	Total of 2 transactions
FSLY 07/02/2020 PUT \$78.00 / CUSIP: / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	879.97	06/29/20	940.00	...	-60.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	439.99	06/29/20	470.00	...	-30.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/29/20	3.000	1,319.96	Various	1,410.00	...	-90.04	Total of 2 transactions
FSLY 07/31/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/01/20	3.000	3,929.90	06/29/20	2,910.00	...	1,019.90	Option sale to close-call <sup>25</sup>
FSLY 08/07/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/20/20	2.000	1,199.96	07/15/20	1,140.00	...	59.96	Option sale to close-call <sup>25</sup>
FSLY 08/07/2020 CALL \$90.00 / CUSIP: / Symbol:							
4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	1,939.94	07/28/20	470.00	...	1,469.94	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,939.95	07/28/20	470.00	...	1,469.95	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,939.94	07/29/20	420.00	...	1,519.94	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,939.95	07/29/20	420.00	...	1,519.95	4 of 4 - Option sale to close-call <sup>25</sup>
08/03/20	4.000	7,759.78	Various	1,780.00	...	5,979.78	Total of 4 transactions

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 08/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/01/20	3.000	3,959.90	06/29/20	3,690.00	...	269.90	Option sale to close-call <sup>25</sup>
FUBO 01/15/2021 PUT \$50.00 / CUSIP: / Symbol:							
12/22/20	3.000	2,459.93	12/22/20	2,475.00	...	-15.07	Option sale to close-put <sup>25</sup>
GILD 05/01/2020 CALL \$86.50 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/30/20	95.00	...	-95.00	Option expiration- long call
GOGO 07/17/2020 CALL \$3.00 / CUSIP: / Symbol:							
6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	2.000	69.99	06/19/20	150.02	...	-80.03	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	69.99	06/19/20	150.02	...	-80.03	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	69.98	06/19/20	130.01	...	-60.03	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/19/20	78.02	...	-43.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/19/20	65.00	...	-30.02	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	70.00	06/19/20	100.00	...	-30.00	6 of 6 - Option sale to close-call <sup>25</sup>
06/22/20	10.000	349.94	Various	673.07	...	-323.13	Total of 6 transactions
7 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/19/20	43.00	3.02 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/19/20	48.02	13.02 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	4.000	139.98	06/19/20	180.00	40.02 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	35.00	06/19/20	63.02	28.02 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	69.99	06/19/20	120.01	50.02 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	69.99	06/19/20	120.01	50.02 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	3.000	104.99	06/19/20	150.00	45.01 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
06/22/20	14.000	494.93	Various	724.06	229.13 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>844.87</b>		<b>1,397.13</b>	<b>229.13 W</b>	<b>-323.13</b>	
GOGO 09/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	4.000	359.98	09/02/20	400.00	...	-40.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	179.99	09/02/20	202.01	...	-22.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	89.98	09/02/20	111.01	...	-21.03	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/20	7.000	629.95	Various	713.02	...	-83.07	Total of 3 transactions

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOGO 09/18/2020 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	3.000	269.99	09/02/20	303.02	11.01 W	-22.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	278.98	09/02/20	285.00	6.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	185.99	09/02/20	190.00	4.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/20	8.000	734.96	Various	778.02	21.04 W	-22.02	Total of 3 transactions
	<b>Security total:</b>	<b>1,364.91</b>		<b>1,491.04</b>	<b>21.04 W</b>	<b>-105.09</b>	
GOGO 11/20/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/30/20	10.000	449.97	06/30/20	550.00	...	-100.03	Option sale to close-call <sup>25</sup>
GOOGL 07/31/2020 CALL \$1,600.0 / CUSIP: / Symbol:							
07/27/20	1.000	1,329.96	07/27/20	1,640.00	...	-310.04	Option sale to close-call <sup>25</sup>
GPS 07/02/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	125.98	06/26/20	121.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	125.98	06/26/20	121.00	...	4.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/26/20	2.000	251.96	Various	242.00	...	9.96	Total of 2 transactions
INO 06/26/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	449.98	06/25/20	450.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	449.99	06/25/20	450.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/25/20	4.000	899.97	Various	900.00	...	-0.03	Total of 2 transactions
INTC 07/31/2020 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	4.000	39.98	07/28/20	48.00	...	-8.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.98	07/28/20	24.00	...	-4.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	6.000	59.96	Various	72.00	...	-12.04	Total of 2 transactions
JMIA 01/15/2021 CALL \$46.00 / CUSIP: / Symbol:							
12/22/20	1.000	549.97	12/22/20	550.00	...	-0.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JMIA 09/18/2020 CALL \$13.00 / CUSIP: / Symbol:							
08/12/20	4.000	1,059.96	08/12/20	1,100.00	...	-40.04	Option sale to close-call <sup>25</sup>
LVGO 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
05/29/20	33.000	24,419.39	05/29/20	27,720.00	...	-3,300.61	Option sale to close-call <sup>25</sup>
LVGO 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/29/20	17.000	6,969.81	05/28/20	7,480.00	...	-510.19	Option sale to close-call <sup>25</sup>
LVGO 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	5.000	449.97	06/16/20	575.00	...	-125.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	89.98	06/16/20	165.02	...	-75.04	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	189.98	06/16/20	230.00	...	-40.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/20	8.000	729.93	Various	970.02	...	-240.09	Total of 3 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	89.98	06/16/20	115.00	25.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	90.00	06/16/20	140.02	50.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	2.000	179.98	Various	255.02	75.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>909.91</b>		<b>1,225.04</b>	<b>75.04 W</b>	<b>-240.09</b>	
LVGO 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	25.000	14,749.62	05/28/20	15,500.00	...	-750.38	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	5,899.84	05/28/20	6,200.00	...	-300.16	2 of 2 - Option sale to close-call <sup>25</sup>
05/29/20	35.000	20,649.46	Various	21,700.00	...	-1,050.54	Total of 2 transactions
LVGO 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/18/20	2.000	1,039.96	06/16/20	860.00	...	179.96	Option sale to close-call <sup>25</sup>
LVGO 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
07/01/20	3.000	1,109.96	06/29/20	1,140.00	...	-30.04	Option sale to close-call <sup>25</sup>
LVGO 07/31/2020 CALL \$116.00 / CUSIP: / Symbol:							
07/23/20	2.000	1,399.95	07/23/20	1,200.00	...	199.95	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LVGO 08/07/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/27/20	2.000	1,859.94	07/24/20	1,240.00	...	619.94	Option sale to close-call <sup>25</sup>
LVGO 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
07/01/20	3.000	1,769.95	06/29/20	1,650.00	...	119.95	Option sale to close-call <sup>25</sup>
LVGO 11/20/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/20/20	14.000	12,599.69	05/20/20	13,020.00	...	-420.31	Option sale to close-call <sup>25</sup>
MRNA 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	410.98	06/11/20	390.00	...	20.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	410.99	06/11/20	390.00	...	20.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	410.99	06/11/20	390.00	...	20.99	3 of 4 - Option sale to close-call <sup>25</sup>
	7.000	2,876.92	06/11/20	2,730.00	...	146.92	4 of 4 - Option sale to close-call <sup>25</sup>
06/11/20	10.000	4,109.88	Various	3,900.00	...	209.88	Total of 4 transactions
MRNA 08/07/2020 CALL \$82.00 / CUSIP: / Symbol:							
07/29/20	1.000	494.97	07/28/20	620.00	...	-125.03	Option sale to close-call <sup>25</sup>
MRNA 08/14/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/28/20	2.000	909.96	07/27/20	950.00	...	-40.04	Option sale to close-call <sup>25</sup>
MRNA 12/24/2020 CALL \$127.00 / CUSIP: / Symbol:							
12/22/20	2.000	709.97	12/22/20	710.00	...	-0.03	Option sale to close-call <sup>25</sup>
MSFT 06/12/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/11/20	3.000	125.98	06/10/20	399.00	...	-273.02	Option sale to close-call <sup>25</sup>
MSFT 06/19/2020 CALL \$187.50 / CUSIP: / Symbol:							
06/12/20	1.000	539.97	06/11/20	445.00	...	94.97	Option sale to close-call <sup>25</sup>
MSFT 07/24/2020 CALL \$215.00 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	65.00	07/20/20	473.02	...	-408.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	64.99	07/20/20	269.00	...	-204.01	2 of 3 - Option sale to close-call <sup>25</sup>

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/24/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	2.000	129.99	07/22/20	552.00	...	-422.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	4.000	259.98	Various	1,294.02	...	-1,034.04	Total of 3 transactions
07/23/20	1.000	64.98	07/20/20	269.00	204.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>324.96</b>		<b>1,563.02</b>	<b>204.02 W</b>	<b>-1,034.04</b>	
MSFT 09/04/2020 CALL \$232.50 / CUSIP: / Symbol:							
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	5.000	679.97	08/27/20	3,000.00	...	-2,320.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	135.99	08/27/20	525.00	...	-389.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	136.00	08/27/20	510.00	...	-374.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/01/20	7.000	951.96	Various	4,035.00	...	-3,083.04	Total of 3 transactions
MTCH 06/26/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/18/20	3.000	830.97	06/18/20	780.00	...	50.97	Option sale to close-call <sup>25</sup>
NCLH 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/08/20	10.000	2,599.92	06/05/20	1,800.00	...	799.92	Option sale to close-call <sup>25</sup>
NCLH 06/26/2020 PUT \$18.00 / CUSIP: / Symbol:							
06/19/20	2.000	289.98	06/19/20	304.00	...	-14.02	Option sale to close-put <sup>25</sup>
NCLH 07/02/2020 CALL \$17.00 / CUSIP: / Symbol:							
05/28/20	30.000	6,449.79	05/27/20	5,850.00	...	599.79	Option sale to close-call <sup>25</sup>
NCLH 07/02/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	8.000	2,199.93	06/12/20	2,240.00	...	-40.07	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	551.97	06/12/20	560.00	...	-8.03	2 of 2 - Option sale to close-call <sup>25</sup>
06/12/20	10.000	2,751.90	Various	2,800.00	...	-48.10	Total of 2 transactions
NET 11/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/13/20	2.000	1,043.96	10/13/20	1,080.00	...	-36.04	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 08/28/2020 CALL \$550.00 / CUSIP: / Symbol:							
08/26/20	2.000	1,789.95	08/26/20	1,900.00	...	-110.05	Option sale to close-call <sup>25</sup>
08/26/20	2.000	1,799.95	08/26/20	1,900.00	50.03 W	-50.02	Option sale to close-call <sup>25</sup>
08/27/20	1.000	564.97	08/26/20	965.03	...	-400.06	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,154.87</b>		<b>4,765.03</b>	<b>50.03 W</b>	<b>-560.13</b>	
NIO 01/08/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	12/21/20	365.00	...	4.98	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	2,589.93	12/21/20	2,555.00	...	34.93	2 of 2 - Option sale to close-call <sup>25</sup>
12/21/20	8.000	2,959.91	Various	2,920.00	...	39.91	Total of 2 transactions
NIO 02/05/2021 CALL \$50.00 / CUSIP: / Symbol:							
10 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	669.98	12/31/20	695.00	...	-25.02	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.98	12/31/20	695.00	...	-25.02	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.98	12/31/20	695.00	...	-25.02	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.98	12/31/20	695.00	...	-25.02	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.98	12/31/20	695.00	...	-25.02	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.98	12/31/20	695.00	...	-25.02	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.98	12/31/20	695.00	...	-25.02	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.99	12/31/20	695.00	...	-25.01	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.99	12/31/20	695.00	...	-25.01	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	669.99	12/31/20	695.00	...	-25.01	10 of 10 - Option sale to close-call <sup>25</sup>
12/31/20	10.000	6,699.83	Various	6,950.00	...	-250.17	Total of 10 transactions

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 10/30/2020 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	2.000	277.98	10/14/20	282.00	...	-4.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	138.98	10/14/20	141.00	...	-2.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	138.98	10/14/20	141.00	...	-2.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/14/20	4.000	555.94	Various	564.00	...	-8.06	Total of 3 transactions
NKLA 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/16/20	3.000	1,091.96	06/15/20	1,290.00	...	-198.04	Option sale to close-call <sup>25</sup>
NKLA 06/26/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	389.98	06/18/20	420.00	...	-30.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	394.98	06/18/20	420.00	...	-25.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/18/20	2.000	784.96	Various	840.00	...	-55.04	Total of 2 transactions
NKLA 07/02/2020 CALL \$71.00 / CUSIP: / Symbol:							
06/29/20	3.000	749.97	06/29/20	1,020.00	...	-270.03	Option sale to close-call <sup>25</sup>
NKLA 09/18/2020 CALL \$36.50 / CUSIP: / Symbol:							
09/16/20	1.000	54.98	09/16/20	95.00	...	-40.02	Option sale to close-call <sup>25</sup>
NVTA 03/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/30/20	10.000	7,099.82	12/29/20	5,800.00	...	1,299.82	Option sale to close-call <sup>25</sup>
NVTA 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	115.98	06/22/20	125.00	9.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	115.98	06/22/20	125.00	9.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	139.98	06/22/20	164.02	24.04 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/22/20	3.000	371.94	Various	414.02	42.08 W	0.00	Total of 3 transactions
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	2.000	339.99	06/23/20	450.00	...	-110.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	169.99	06/23/20	249.04	...	-79.05	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	169.99	06/23/20	234.02	...	-64.03	3 of 3 - Option sale to close-call <sup>25</sup>
06/24/20	4.000	679.97	Various	933.06	...	-253.09	Total of 3 transactions

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVTA 07/17/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,051.91</b>		<b>1,347.08</b>		<b>42.08 W</b>	<b>-253.09</b>
OXY 05/15/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	63.99	05/06/20	49.00	...	14.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	63.99	05/06/20	49.00	...	14.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/08/20	2.000	127.98	Various	98.00	...	29.98	Total of 2 transactions
PFE 07/31/2020 CALL \$39.50 / CUSIP: / Symbol:							
07/23/20	10.000	899.96	07/23/20	890.00	...	9.96	Option sale to close-call <sup>25</sup>
PINS 08/07/2020 CALL \$33.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	359.98	07/31/20	155.00	...	204.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	359.99	07/31/20	155.00	...	204.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	2.000	719.97	Various	310.00	...	409.97	Total of 2 transactions
PINS 09/04/2020 CALL \$37.00 / CUSIP: / Symbol:							
08/18/20	10.000	1,419.94	08/18/20	1,500.00	...	-80.06	Option sale to close-call <sup>25</sup>
PINS 09/18/2020 CALL \$36.00 / CUSIP: / Symbol:							
09/14/20	1.000	76.98	09/14/20	90.00	...	-13.02	Option sale to close-call <sup>25</sup>
PLTR 01/08/2021 CALL \$28.00 / CUSIP: / Symbol:							
4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	275.99	12/21/20	247.00	...	28.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	551.98	12/21/20	494.00	...	57.98	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	827.98	12/21/20	741.00	...	86.98	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	2,483.92	12/21/20	2,223.00	...	260.92	4 of 4 - Option sale to close-call <sup>25</sup>
12/22/20	15.000	4,139.87	Various	3,705.00	...	434.87	Total of 4 transactions

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLTR 12/31/2020 CALL \$29.00 / CUSIP: / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	172.98	12/23/20	160.00	...	12.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	172.99	12/23/20	160.00	...	12.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	518.98	12/23/20	480.00	...	38.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/23/20	5.000	864.95	Various	800.00	...	64.95	Total of 3 transactions
PTON 01/08/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/23/20	1.000	719.97	12/23/20	715.00	...	4.97	Option sale to close-call <sup>25</sup>
PTON 01/22/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/22/20	3.000	3,914.90	12/22/20	4,110.00	...	-195.10	Option sale to close-call <sup>25</sup>
PTON 10/16/2020 CALL \$110.00 / CUSIP: / Symbol:							
10/07/20	1.000	844.97	10/02/20	565.00	...	279.97	Option sale to close-call <sup>25</sup>
QQQ 09/11/2020 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	2.000	41.99	09/08/20	290.02	...	-248.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.99	09/08/20	47.00	...	-26.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	3.000	62.98	Various	337.02	...	-274.04	Total of 2 transactions
09/09/20	2.000	41.98	09/04/20	238.00	196.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>104.96</b>		<b>575.02</b>	<b>196.02 W</b>	<b>-274.04</b>	
RKT 09/18/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	309.98	08/21/20	300.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	2,969.91	08/21/20	2,700.00	...	269.91	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	10.000	3,279.89	Various	3,000.00	...	279.89	Total of 2 transactions
ROKU 06/19/2020 CALL \$120.00 / CUSIP: / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	369.99	06/16/20	288.00	...	81.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	739.97	06/16/20	576.00	...	163.97	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	739.98	06/16/20	576.00	...	163.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/17/20	5.000	1,849.94	Various	1,440.00	...	409.94	Total of 3 transactions

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 06/26/2020 CALL \$129.00 / CUSIP: / Symbol:							
06/19/20	2.000	1,019.96	06/18/20	1,340.00	...	-320.04	Option sale to close-call <sup>25</sup>
ROKU 07/10/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/07/20	2.000	989.96	07/02/20	930.00	...	59.96	Option sale to close-call <sup>25</sup>
ROKU 08/14/2020 CALL \$157.50 / CUSIP: / Symbol:							
07/24/20	1.000	894.97	07/23/20	1,380.00	...	-485.03	Option sale to close-call <sup>25</sup>
SE 05/22/2020 CALL \$80.00 / CUSIP: / Symbol:							
05/22/20	5.000	0.00	05/21/20	350.00	...	-350.00	Option expiration- long call
SE 06/12/2020 CALL \$76.00 / CUSIP: / Symbol:							
<i>9 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	739.97	05/21/20	380.00	...	359.97	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,479.95	05/21/20	760.00	...	719.95	2 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,479.96	05/21/20	760.00	...	719.96	3 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,479.96	05/21/20	680.00	...	799.96	4 of 9 - Option sale to close-call <sup>25</sup>
	4.000	2,959.92	05/21/20	1,520.00	...	1,439.92	5 of 9 - Option sale to close-call <sup>25</sup>
	4.000	2,959.92	05/21/20	1,520.00	...	1,439.92	6 of 9 - Option sale to close-call <sup>25</sup>
	4.000	2,959.92	05/21/20	1,520.00	...	1,439.92	7 of 9 - Option sale to close-call <sup>25</sup>
	10.000	7,399.81	05/21/20	3,800.00	...	3,599.81	8 of 9 - Option sale to close-call <sup>25</sup>
	10.000	7,399.81	05/21/20	3,800.00	...	3,599.81	9 of 9 - Option sale to close-call <sup>25</sup>
05/27/20	39.000	28,859.22	Various	14,740.00	...	14,119.22	Total of 9 transactions
SE 08/07/2020 CALL \$110.00 / CUSIP: / Symbol:							
07/01/20	3.000	3,599.91	06/29/20	2,280.00	...	1,319.91	Option sale to close-call <sup>25</sup>
SLV 08/14/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/27/20	2.000	123.98	07/27/20	118.00	...	5.98	Option sale to close-call <sup>25</sup>
07/28/20	1.000	47.98	07/27/20	59.00	...	-11.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>171.96</b>		<b>177.00</b>	...	<b>-5.04</b>	
SNAP 06/05/2020 CALL \$20.00 / CUSIP: / Symbol:							
<i>4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.98	05/29/20	19.00	...	0.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	05/29/20	19.00	...	0.99	2 of 4 - Option sale to close-call <sup>25</sup>

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SNAP 06/05/2020 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	1.000	20.00	05/29/20	19.00	...	1.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	39.99	05/29/20	38.00	...	1.99	4 of 4 - Option sale to close-call <sup>25</sup>
06/01/20	5.000	99.96	Various	95.00	...	4.96	Total of 4 transactions
SPCE 07/31/2020 CALL \$25.50 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	125.98	07/28/20	135.00	...	-9.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	136.98	07/28/20	135.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	262.96	Various	270.00	...	-7.04	Total of 2 transactions
SPXS 06/19/2020 CALL \$9.00 / CUSIP: / Symbol:							
06/12/20	20.000	599.94	06/12/20	1,080.00	...	-480.06	Option sale to close-call <sup>25</sup>
SPXU 09/18/2020 CALL \$8.50 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	15.98	09/16/20	18.00	...	-2.02	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	63.98	09/16/20	60.02	...	3.96	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	5.000	79.96	Various	78.02	...	1.94	Total of 2 transactions
09/16/20	4.000	63.98	09/16/20	72.00	8.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>143.94</b>		<b>150.02</b>	<b>8.02 W</b>	<b>1.94</b>	
SQ 08/07/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	271.98	08/05/20	850.00	...	-578.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	135.98	08/05/20	425.00	...	-289.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/05/20	3.000	407.96	Various	1,275.00	...	-867.04	Total of 2 transactions
SQ 09/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/01/20	2.000	1,019.96	09/01/20	760.00	...	259.96	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQQQ 06/26/2020 CALL \$9.50 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	8.000	399.97	06/12/20	520.00	...	-120.03	1 of 3 - Option sale to close-call <sup>25</sup>
	6.000	299.98	06/12/20	390.00	...	-90.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	50.00	06/12/20	65.00	...	-15.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/12/20	15.000	749.95	Various	975.00	...	-225.05	Total of 3 transactions
SQQQ 07/02/2020 CALL \$8.00 / CUSIP: / Symbol:							
6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	06/24/20	30.00	...	14.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	44.99	06/24/20	30.00	...	14.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/24/20	30.00	...	15.00	3 of 6 - Option sale to close-call <sup>25</sup>
	3.000	134.99	06/24/20	90.00	...	44.99	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	179.99	06/24/20	120.00	...	59.99	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	224.98	06/24/20	150.00	...	74.98	6 of 6 - Option sale to close-call <sup>25</sup>
06/24/20	15.000	674.94	Various	450.00	...	224.94	Total of 6 transactions
SQQQ 07/02/2020 CALL \$8.50 / CUSIP: / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	299.97	06/24/20	300.00	...	-0.03	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	59.98	06/24/20	60.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	149.98	06/24/20	150.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/24/20	17.000	509.93	Various	510.00	...	-0.07	Total of 3 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	49.98	06/24/20	60.00	...	-10.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	24.98	06/24/20	30.00	...	-5.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/25/20	3.000	74.96	Various	90.00	...	-15.04	Total of 2 transactions
	<b>Security total:</b>	<b>584.89</b>		<b>600.00</b>	...	<b>-15.11</b>	

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SRNE 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	234.99	05/15/20	60.00	...	174.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	234.99	05/15/20	60.00	...	174.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	234.99	05/15/20	40.00	...	194.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/18/20	3.000	704.97	Various	160.00	...	544.97	Total of 3 transactions
SRNE 06/19/2020 PUT \$2.00 / CUSIP: / Symbol:							
05/18/20	2.000	17.98	05/18/20	30.00	...	-12.02	Option sale to close-put <sup>25</sup>
TLRY 06/26/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	2.000	9.98	06/25/20	36.00	...	-26.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.98	06/25/20	18.00	...	-13.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/26/20	3.000	14.96	Various	54.00	...	-39.04	Total of 2 transactions
TLRY 07/02/2020 CALL \$9.00 / CUSIP: / Symbol:							
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	5.000	189.98	06/25/20	265.00	...	-75.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	113.99	06/25/20	159.00	...	-45.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	38.00	06/25/20	53.00	...	-15.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	38.00	06/25/20	52.00	...	-14.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/26/20	10.000	379.97	Various	529.00	...	-149.03	Total of 4 transactions
TQQQ 07/24/2020 PUT \$104.00 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	744.97	07/14/20	765.00	...	-20.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	744.97	07/14/20	765.00	...	-20.03	2 of 2 - Option sale to close-put <sup>25</sup>
07/14/20	2.000	1,489.94	Various	1,530.00	...	-40.06	Total of 2 transactions
TSLA 03/19/2021 CALL \$700.00 / CUSIP: / Symbol:							
12/31/20	1.000	8,109.81	12/30/20	7,325.00	...	784.81	Option sale to close-call <sup>25</sup>
TSLA 05/01/2020 CALL \$850.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/30/20	1,140.00	...	-1,140.00	Option expiration- long call

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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TSLA 06/19/2020 CALL \$935.00 / CUSIP: / Symbol:							
06/15/20	2.000	11,739.73	06/15/20	6,080.00	...	5,659.73	Option sale to close-call <sup>25</sup>
TSLA 06/19/2020 CALL \$940.00 / CUSIP: / Symbol:							
06/15/20	1.000	2,184.94	06/12/20	3,250.00	...	-1,065.06	Option sale to close-call <sup>25</sup>
TSLA 07/17/2020 CALL \$1,730.00 / CUSIP: / Symbol:							
07/13/20	1.000	10,399.76	07/10/20	2,175.00	...	8,224.76	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,400.00 / CUSIP: / Symbol:							
08/12/20	1.000	6,399.84	08/11/20	2,005.00	...	4,394.84	Option sale to close-call <sup>25</sup>
TSLA 08/14/2020 CALL \$1,600.00 / CUSIP: / Symbol:							
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	5,259.87	08/12/20	2,535.00	...	2,724.87	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5,259.87	08/12/20	1,645.00	...	3,614.87	2 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	2.000	10,519.74	Various	4,180.00	...	6,339.74	Total of 2 transactions
TSLA 08/21/2020 CALL \$1,890.00 / CUSIP: / Symbol:							
08/18/20	1.000	5,449.86	08/17/20	1,940.00	...	3,509.86	Option sale to close-call <sup>25</sup>
TSLA 09/25/2020 CALL \$570.00 / CUSIP: / Symbol:							
09/23/20	1.000	8.98	09/17/20	895.00	...	-886.02	Option sale to close-call <sup>25</sup>
TTD 01/22/2021 CALL \$815.00 / CUSIP: / Symbol:							
	2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	1.000	4,049.90	12/30/20	4,300.00	...	-250.10	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4,049.91	12/30/20	4,300.00	...	-250.09	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/20	2.000	8,099.81	Various	8,600.00	...	-500.19	Total of 2 transactions
TWLO 07/10/2020 CALL \$212.50 / CUSIP: / Symbol:							
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	709.97	06/29/20	815.00	...	-105.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	709.97	06/29/20	815.00	...	-105.03	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/20	2.000	1,419.94	Various	1,630.00	...	-210.06	Total of 2 transactions

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VLDR 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	459.97	12/21/20	345.00	...	114.97	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,839.95	12/21/20	1,380.00	...	459.95	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	2,299.93	12/21/20	1,700.00	...	599.93	3 of 3 - Option sale to close-call <sup>25</sup>
12/22/20	10.000	4,599.85	Various	3,425.00	...	1,174.85	Total of 3 transactions
W 08/14/2020 PUT \$305.00 / CUSIP: / Symbol:							
08/12/20	1.000	769.97	08/11/20	780.00	...	-10.03	Option sale to close-put <sup>25</sup>
WMT 09/25/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	1,009.97	09/01/20	1,070.00	...	-60.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	504.98	09/01/20	485.00	...	19.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	3.000	1,514.95	Various	1,555.00	...	-40.05	Total of 2 transactions
ZM 01/08/2021 CALL \$410.00 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	5.000	3,999.90	12/23/20	6,000.00	...	-2,000.10	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	799.98	12/23/20	1,030.00	...	-230.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/24/20	6.000	4,799.88	Various	7,030.00	...	-2,230.12	Total of 2 transactions
ZM 06/05/2020 CALL \$195.00 / CUSIP: / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	1,479.95	06/01/20	970.00	...	509.95	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,479.96	06/01/20	970.00	...	509.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,479.96	06/01/20	970.00	...	509.96	3 of 3 - Option sale to close-call <sup>25</sup>
06/03/20	3.000	4,439.87	Various	2,910.00	...	1,529.87	Total of 3 transactions
ZM 08/07/2020 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	607.97	07/27/20	600.00	...	7.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	649.97	07/27/20	600.00	...	49.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/28/20	2.000	1,257.94	Various	1,200.00	...	57.94	Total of 2 transactions

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZM 08/21/2020 CALL \$252.50 / CUSIP: / Symbol:							
4 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	339.99	08/14/20	700.00	...	-360.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.99	08/14/20	450.00	...	-110.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.99	08/14/20	390.00	...	-50.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	339.98	08/14/20	379.00	...	-39.02	4 of 4 - Option sale to close-call <sup>25</sup>
08/14/20	4.000	1,359.95	Various	1,919.00	...	-559.05	Total of 4 transactions
ZM 09/04/2020 CALL \$285.00 / CUSIP: / Symbol:							
08/26/20	2.000	4,879.88	08/24/20	3,440.00	...	1,439.88	Option sale to close-call <sup>25</sup>
ZM 09/04/2020 CALL \$425.00 / CUSIP: / Symbol:							
09/03/20	1.000	164.98	09/02/20	1,150.00	...	-985.02	Option sale to close-call <sup>25</sup>
ZM 09/18/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/10/20	1.000	1,089.96	08/05/20	1,920.00	...	-830.04	Option sale to close-call <sup>25</sup>
ZM 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	1,749.95	08/19/20	1,320.00	...	429.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,749.95	08/19/20	1,320.00	...	429.95	2 of 2 - Option sale to close-call <sup>25</sup>
08/21/20	2.000	3,499.90	Various	2,640.00	...	859.90	Total of 2 transactions
ZM 10/09/2020 CALL \$535.00 / CUSIP: / Symbol:							
10/02/20	1.000	909.96	10/02/20	950.00	...	-40.04	Option sale to close-call <sup>25</sup>
ZM 10/16/2020 CALL \$550.00 / CUSIP: / Symbol:							
10/15/20	1.000	379.98	10/15/20	400.00	...	-20.02	Option sale to close-call <sup>25</sup>
ZS 06/05/2020 CALL \$95.00 / CUSIP: / Symbol:							
05/29/20	4.000	1,719.95	05/29/20	1,560.00	...	159.95	Option sale to close-call <sup>25</sup>
ZS 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
06/01/20	40.000	25,999.34	05/29/20	22,800.00	...	3,199.34	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	7.000	540.31	03/30/20	581.00	...	-40.69	1 of 2 - Sale <sup>25</sup>
	1.000	76.57	03/30/20	83.00	...	-6.43	2 of 2 - Sale <sup>25</sup>
04/02/20	8.000	616.88	Various	664.00	...	-47.12	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
06/10/20	500.000	29,129.34	06/10/20	28,689.95	...	439.39	Sale <sup>25</sup>
7 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	69.13	07/21/20	56.95	...	12.18	1 of 7 - Sale <sup>25</sup>
	5.000	345.64	07/21/20	284.89	...	60.75	2 of 7 - Sale <sup>25</sup>
	49.000	3,387.29	07/21/20	2,790.31	...	596.98	3 of 7 - Sale <sup>25</sup>
	45.000	3,110.77	07/22/20	2,599.13	...	511.64	4 of 7 - Sale <sup>25</sup>
	50.000	3,456.42	07/22/20	2,886.25	...	570.17	5 of 7 - Sale <sup>25</sup>
	10.000	691.28	07/24/20	670.58	...	20.70	6 of 7 - Sale <sup>25</sup>
	30.000	2,073.85	07/24/20	2,010.75	...	63.10	7 of 7 - Sale <sup>25</sup>
07/27/20	190.000	13,134.38	Various	11,298.86	...	1,835.52	Total of 7 transactions
	<b>Security total:</b>	<b>42,263.72</b>		<b>39,988.81</b>	...	<b>2,274.91</b>	
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	4.000	56.63	02/27/20	120.00	...	-63.37	1 of 3 - Sale <sup>25</sup>
	2.000	28.32	03/09/20	22.00	...	6.32	2 of 3 - Sale <sup>25</sup>
	50.000	707.90	03/11/20	663.38	...	44.52	3 of 3 - Sale <sup>25</sup>
03/12/20	56.000	792.85	Various	805.38	...	-12.53	Total of 3 transactions
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	7.000	105.28	06/18/20	109.55	4.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	751.97	06/18/20	801.00	49.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	30.08	06/18/20	31.62	1.54 W	0.00	3 of 3 - Sale <sup>25</sup>
06/19/20	59.000	887.33	Various	942.17	54.84 W	0.00	Total of 3 transactions
6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	50.000	952.98	06/24/20	1,067.79	...	-114.81	1 of 6 - Sale <sup>25</sup>
	100.000	1,905.95	06/24/20	1,978.00	...	-72.05	2 of 6 - Sale <sup>25</sup>
	100.000	1,932.94	06/24/20	1,996.86	...	-63.92	3 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
	41.000	781.44	06/24/20	835.38	...	-53.94	4 of 6 - Sale <sup>25</sup>
	7.000	133.42	06/24/20	146.89	...	-13.47	5 of 6 - Sale <sup>25</sup>
	2.000	38.12	06/24/20	42.29	...	-4.17	6 of 6 - Sale <sup>25</sup>
06/25/20	300.000	5,744.85	Various	6,067.21	...	-322.36	Total of 6 transactions
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	38.66	06/24/20	41.01	2.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	7.000	135.31	06/24/20	142.42	7.11 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	966.47	06/24/20	1,035.83	69.36 W	0.00	3 of 4 - Sale <sup>25</sup>
	141.000	2,725.45	06/24/20	2,782.78	57.33 W	0.00	4 of 4 - Sale <sup>25</sup>
06/25/20	200.000	3,865.89	Various	4,002.04	136.15 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>11,290.92</b>		<b>11,816.80</b>	<b>190.99 W</b>	<b>-334.89</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.320	999.85	08/13/20	1,019.76	19.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.09	08/13/20	0.12	0.03 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	0.320	999.94	Various	1,019.88	19.94 W	0.00	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/28/20	12.000	122.82	04/22/20	124.98	...	-2.16	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
06/24/20	150.000	54,317.48	06/24/20	54,491.99	...	-174.51	Sale <sup>25</sup>
08/17/20	20.000	9,159.19	07/30/20	8,121.20	...	1,037.99	Sale <sup>25</sup>
11/24/20	80.000	9,015.21	07/30/20	8,121.20	...	894.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>72,491.88</b>		<b>70,734.39</b>	...	<b>1,757.49</b>	
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
07/17/20	150.000	8,912.98	07/15/20	8,626.35	...	286.63	Sale <sup>25</sup>
07/20/20	100.000	5,941.87	07/15/20	5,750.90	...	190.97	Sale <sup>25</sup>
07/20/20	100.000	5,941.86	07/20/20	5,963.51	19.49 W	-2.16	Sale <sup>25</sup>
07/21/20	90.000	5,228.42	07/20/20	5,533.79	...	-305.37	Sale <sup>25</sup>
	<b>Security total:</b>	<b>26,025.13</b>		<b>25,874.55</b>	<b>19.49 W</b>	<b>170.07</b>	

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BJ'S RESTAURANTS, INC. COMMON STOCK / CUSIP: 09180C106 / Symbol:							
04/08/20	53.000	854.57	04/07/20	851.53	...	3.04	Sale <sup>25</sup>
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
04/22/20	6.000	832.80	04/21/20	831.56	...	1.24	Sale <sup>25</sup>
04/27/20	20.000	2,589.72	04/27/20	2,544.52	...	45.20	Sale <sup>25</sup>
04/27/20	5.000	647.43	04/22/20	690.28	42.85 W	0.00	Sale <sup>25</sup>
12 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	5.000	775.61	05/27/20	823.45	47.84 W	0.00	1 of 12 - Sale <sup>25</sup>
	14.000	2,171.70	05/27/20	2,185.68	13.98 W	0.00	2 of 12 - Sale <sup>25</sup>
	29.000	4,498.52	05/27/20	4,527.77	29.25 W	0.00	3 of 12 - Sale <sup>25</sup>
	5.000	770.48	05/27/20	828.49	58.01 W	0.00	4 of 12 - Sale <sup>25</sup>
	14.000	2,157.35	05/27/20	2,199.80	42.45 W	0.00	5 of 12 - Sale <sup>25</sup>
	25.000	3,852.41	05/27/20	3,903.25	50.84 W	0.00	6 of 12 - Sale <sup>25</sup>
	29.000	4,468.80	05/27/20	4,557.02	88.22 W	0.00	7 of 12 - Sale <sup>25</sup>
	19.000	2,927.83	05/27/20	2,966.47	38.64 W	0.00	8 of 12 - Sale <sup>25</sup>
	8.000	1,232.77	05/27/20	1,250.00	17.23 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	770.60	05/28/20	825.59	54.99 W	0.00	10 of 12 - Sale <sup>25</sup>
	14.000	2,157.69	05/28/20	2,191.66	33.97 W	0.00	11 of 12 - Sale <sup>25</sup>
	21.000	3,236.55	05/28/20	3,266.52	29.97 W	0.00	12 of 12 - Sale <sup>25</sup>
05/28/20	188.000	29,020.31	Various	29,525.70	505.39 W	0.00	Total of 12 transactions
11 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	58.000	11,997.02	06/05/20	11,997.01	...	0.01	1 of 11 - Sale <sup>25</sup>
	29.000	6,089.86	06/05/20	6,086.75	...	3.11	2 of 11 - Sale <sup>25</sup>
	1.000	210.00	06/05/20	206.85	...	3.15	3 of 11 - Sale <sup>25</sup>
	4.000	839.98	06/05/20	835.51	...	4.47	4 of 11 - Sale <sup>25</sup>
	2.000	420.00	06/05/20	413.69	...	6.31	5 of 11 - Sale <sup>25</sup>
	8.000	1,679.96	06/05/20	1,672.00	...	7.96	6 of 11 - Sale <sup>25</sup>
	14.000	2,939.93	06/05/20	2,929.81	...	10.12	7 of 11 - Sale <sup>25</sup>
	19.000	3,989.91	06/05/20	3,968.71	...	21.20	8 of 11 - Sale <sup>25</sup>
	8.000	1,679.96	06/05/20	1,654.77	...	25.19	9 of 11 - Sale <sup>25</sup>
	21.000	4,409.90	06/05/20	4,373.73	...	36.17	10 of 11 - Sale <sup>25</sup>
	25.000	5,249.88	06/05/20	5,171.14	...	78.74	11 of 11 - Sale <sup>25</sup>
06/05/20	189.000	39,506.40	Various	39,309.97	...	196.43	Total of 11 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	1,049.98	06/05/20	1,089.22	39.24 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	1,034.23	06/05/20	1,073.69	39.46 W	0.00	2 of 4 - Sale <sup>25</sup>
	75.000	15,513.39	06/05/20	15,516.75	3.36 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	620.54	06/05/20	621.41	0.87 W	0.00	4 of 4 - Sale <sup>25</sup>
06/05/20	88.000	18,218.14	Various	18,301.07	82.93 W	0.00	Total of 4 transactions
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	622.98	06/05/20	619.30	...	3.68	1 of 5 - Sale <sup>25</sup>
	1.000	207.66	06/05/20	202.93	...	4.73	2 of 5 - Sale <sup>25</sup>
	10.000	2,076.61	06/05/20	2,050.15	...	26.46	3 of 5 - Sale <sup>25</sup>
	47.000	9,760.06	06/05/20	9,688.80	...	71.26	4 of 5 - Sale <sup>25</sup>
	75.000	15,574.56	06/05/20	15,464.21	...	110.35	5 of 5 - Sale <sup>25</sup>
06/10/20	136.000	28,241.87	Various	28,025.39	...	216.48	Total of 5 transactions
06/10/20	5.000	1,038.30	06/05/20	1,070.18	31.88 W	0.00	Sale <sup>25</sup>
06/11/20	5.000	894.58	06/10/20	1,022.86	...	-128.28	Sale <sup>25</sup>
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	140.000	25,048.22	06/10/20	28,663.56	3,357.10 W	-258.24	1 of 2 - Sale <sup>25</sup>
	5.000	894.58	06/10/20	1,055.58	161.00 W	0.00	2 of 2 - Sale <sup>25</sup>
06/11/20	145.000	25,942.80	Various	29,719.14	3,518.10 W	-258.24	Total of 2 transactions
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	125.000	22,988.23	06/12/20	26,636.72	...	-3,648.49	1 of 3 - Sale <sup>25</sup>
	5.000	919.53	06/12/20	1,097.35	...	-177.82	2 of 3 - Sale <sup>25</sup>
	5.000	919.53	06/12/20	1,066.08	...	-146.55	3 of 3 - Sale <sup>25</sup>
06/12/20	135.000	24,827.29	Various	28,800.15	...	-3,972.86	Total of 3 transactions
	<b>Security total:</b>	<b>171,759.64</b>		<b>179,840.82</b>	<b>4,181.15 W</b>	<b>-3,900.03</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	2.000	27.92	04/21/20	23.94	...	3.98	1 of 3 - Sale <sup>25</sup>
	10.000	139.59	04/28/20	138.55	...	1.04	2 of 3 - Sale <sup>25</sup>
	69.000	963.21	04/30/20	1,090.89	...	-127.68	3 of 3 - Sale <sup>25</sup>
05/01/20	81.000	1,130.72	Various	1,253.38	...	-122.66	Total of 3 transactions

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(continued)

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CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
03/26/20	40.000	497.20	03/25/20	468.00	...	29.20	Sale <sup>25</sup>
		<i>2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>					
	800.000	9,011.14	03/27/20	9,711.92	...	-700.78	1 of 2 - Sale <sup>25</sup>
	99.000	1,115.13	03/31/20	1,001.73	...	113.40	2 of 2 - Sale <sup>25</sup>
04/08/20	899.000	10,126.27	Various	10,713.65	...	-587.38	Total of 2 transactions
	<b>Security total:</b>	<b>10,623.47</b>		<b>11,181.65</b>	...	<b>-558.18</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
		<i>2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>					
	52.000	5,081.65	06/01/20	4,934.80	...	146.85	1 of 2 - Sale <sup>25</sup>
	66.000	6,449.80	06/01/20	6,264.06	...	185.74	2 of 2 - Sale <sup>25</sup>
06/03/20	118.000	11,531.45	Various	11,198.86	...	332.59	Total of 2 transactions
		<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>					
	27.000	2,515.02	06/01/20	2,562.57	...	-47.55	1 of 3 - Sale <sup>25</sup>
	6.000	558.89	06/01/20	569.16	...	-10.27	2 of 3 - Sale <sup>25</sup>
	118.000	10,991.57	06/03/20	11,941.62	...	-950.05	3 of 3 - Sale <sup>25</sup>
06/04/20	151.000	14,065.48	Various	15,073.35	...	-1,007.87	Total of 3 transactions
	<b>Security total:</b>	<b>25,596.93</b>		<b>26,272.21</b>	...	<b>-675.28</b>	
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
06/12/20	200.000	15,655.29	06/09/20	15,589.26	...	66.03	Sale <sup>25</sup>
		<i>6 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>					
	25.000	2,067.45	06/15/20	2,010.00	...	57.45	1 of 6 - Sale <sup>25</sup>
	75.000	6,202.36	06/15/20	6,030.75	...	171.61	2 of 6 - Sale <sup>25</sup>
	75.000	6,202.36	06/15/20	6,029.25	...	173.11	3 of 6 - Sale <sup>25</sup>
	100.000	8,269.81	06/15/20	8,040.00	...	229.81	4 of 6 - Sale <sup>25</sup>
	100.000	8,269.82	06/15/20	8,040.00	...	229.82	5 of 6 - Sale <sup>25</sup>
	125.000	10,337.27	06/15/20	10,051.25	...	286.02	6 of 6 - Sale <sup>25</sup>
06/15/20	500.000	41,349.07	Various	40,201.25	...	1,147.82	Total of 6 transactions
		<i>2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>					
	20.000	1,664.78	06/15/20	1,647.80	...	16.98	1 of 2 - Sale <sup>25</sup>
	290.000	24,010.73	06/15/20	23,893.07	...	117.66	2 of 2 - Sale <sup>25</sup>
06/16/20	310.000	25,675.51	Various	25,540.87	...	134.64	Total of 2 transactions

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DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol: (cont'd)							
06/17/20	100.000	8,361.43	06/15/20	8,238.99	...	122.44	Sale <sup>25</sup>
	3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	10.000	864.69	06/15/20	821.56	...	43.13	1 of 3 - Sale <sup>25</sup>
	90.000	7,782.25	06/15/20	7,415.09	...	367.16	2 of 3 - Sale <sup>25</sup>
	20.000	1,729.39	06/18/20	1,729.15	...	0.24	3 of 3 - Sale <sup>25</sup>
06/18/20	120.000	10,376.33	Various	9,965.80	...	410.53	Total of 3 transactions
06/24/20	100.000	8,809.66	06/18/20	8,645.74	...	163.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>110,227.29</b>		<b>108,181.91</b>	...	<b>2,045.38</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
03/26/20	18.000	598.30	03/25/20	591.80	...	6.50	Sale <sup>25</sup>
	6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	12.000	430.49	06/05/20	410.04	...	20.45	1 of 6 - Sale <sup>25</sup>
	25.000	896.79	06/05/20	853.50	...	43.29	2 of 6 - Sale <sup>25</sup>
	88.000	3,156.92	06/05/20	3,006.96	...	149.96	3 of 6 - Sale <sup>25</sup>
	100.000	3,587.41	06/05/20	3,417.00	...	170.41	4 of 6 - Sale <sup>25</sup>
	100.000	3,587.41	06/05/20	3,417.00	...	170.41	5 of 6 - Sale <sup>25</sup>
	100.000	3,587.41	06/05/20	3,417.00	...	170.41	6 of 6 - Sale <sup>25</sup>
06/08/20	425.000	15,246.43	Various	14,521.50	...	724.93	Total of 6 transactions
	<b>Security total:</b>	<b>15,844.73</b>		<b>15,113.30</b>	...	<b>731.43</b>	
DIREXION DAILY S&P 500 BULL 3 X SHARES / CUSIP: 25459W862 / Symbol:							
	6 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	140.000	3,179.33	03/19/20	3,128.99	...	50.34	1 of 6 - Sale <sup>25</sup>
	140.000	3,179.33	03/19/20	3,127.59	...	51.74	2 of 6 - Sale <sup>25</sup>
	9.000	204.39	03/23/20	160.74	...	43.65	3 of 6 - Sale <sup>25</sup>
	12.000	272.51	03/23/20	214.29	...	58.22	4 of 6 - Sale <sup>25</sup>
	73.000	1,657.79	03/23/20	1,244.65	...	413.14	5 of 6 - Sale <sup>25</sup>
	3.000	68.13	03/24/20	62.94	...	5.19	6 of 6 - Sale <sup>25</sup>
03/25/20	377.000	8,561.48	Various	7,939.20	...	622.28	Total of 6 transactions
03/26/20	300.000	7,889.78	03/25/20	7,526.97	...	362.81	Sale <sup>25</sup>
04/06/20	17.000	457.81	04/02/20	390.83	...	66.98	Sale <sup>25</sup>
04/09/20	30.000	974.67	04/08/20	853.50	...	121.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17,883.74</b>		<b>16,710.50</b>	...	<b>1,173.24</b>	

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol:							
06/16/20	120.000	940.95	06/16/20	953.88	...	-12.93	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
<i>3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	11,525.71	05/28/20	11,724.00	...	-198.29	1 of 3 - Sale <sup>25</sup>
	100.000	3,841.90	05/28/20	3,969.10	...	-127.20	2 of 3 - Sale <sup>25</sup>
	60.000	2,305.14	05/28/20	2,339.39	...	-34.25	3 of 3 - Sale <sup>25</sup>
05/28/20	460.000	17,672.75	Various	18,032.49	...	-359.74	Total of 3 transactions
05/28/20	100.000	3,845.90	05/28/20	3,907.00	61.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>21,518.65</b>		<b>21,939.49</b>	<b>61.10 W</b>	<b>-359.74</b>	
EOG RESOURCES, INC. / CUSIP: 26875P101 / Symbol:							
<i>4 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	159.02	03/18/20	138.22	...	20.80	1 of 4 - Sale <sup>25</sup>
	10.000	318.03	03/18/20	276.45	...	41.58	2 of 4 - Sale <sup>25</sup>
	90.000	2,862.28	03/18/20	2,504.70	...	357.58	3 of 4 - Sale <sup>25</sup>
	100.000	3,180.71	03/18/20	2,783.00	...	397.71	4 of 4 - Sale <sup>25</sup>
03/19/20	205.000	6,520.04	Various	5,702.37	...	817.67	Total of 4 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
<i>6 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.55	07/29/20	17.34	...	1.21	1 of 6 - Sale <sup>25</sup>
	2.000	37.02	07/29/20	34.54	...	2.48	2 of 6 - Sale <sup>25</sup>
	8.000	148.07	07/29/20	138.24	...	9.83	3 of 6 - Sale <sup>25</sup>
	23.000	426.77	07/29/20	398.82	...	27.95	4 of 6 - Sale <sup>25</sup>
	26.000	481.25	07/29/20	450.84	...	30.41	5 of 6 - Sale <sup>25</sup>
	19.000	352.55	07/29/20	320.91	...	31.64	6 of 6 - Sale <sup>25</sup>
07/29/20	79.000	1,464.21	Various	1,360.69	...	103.52	Total of 6 transactions
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
06/24/20	29.000	2,855.90	06/24/20	2,984.00	128.10 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	7,230.83	07/01/20	7,749.00	...	-518.17	1 of 3 - Sale <sup>25</sup>
	29.000	2,995.63	07/01/20	3,333.97	...	-338.34	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol: (cont'd)							
	1.000	103.30	07/01/20	110.55	...	-7.25	3 of 3 - Sale <sup>25</sup>
07/14/20	100.000	10,329.76	Various	11,193.52	...	-863.76	Total of 3 transactions
	<b>Security total:</b>	<b>13,185.66</b>		<b>14,177.52</b>	<b>128.10 W</b>	<b>-863.76</b>	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/30/20	3.000	611.20	04/28/20	554.91	...	56.29	Sale <sup>25</sup>
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
05/21/20	4.000	166.56	05/07/20	132.80	...	33.76	Sale <sup>25</sup>
05/21/20	12.000	499.68	05/18/20	501.67	1.99 W	0.00	Sale <sup>25</sup>
	6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	731.88	06/16/20	539.90	...	191.98	1 of 6 - Sale <sup>25</sup>
	12.000	878.38	06/16/20	649.87	...	228.51	2 of 6 - Sale <sup>25</sup>
	88.000	6,441.44	06/16/20	4,751.12	...	1,690.32	3 of 6 - Sale <sup>25</sup>
	90.000	6,586.94	06/16/20	4,859.10	...	1,727.84	4 of 6 - Sale <sup>25</sup>
	40.000	2,927.13	06/17/20	2,345.20	...	581.93	5 of 6 - Sale <sup>25</sup>
	180.000	13,172.08	06/17/20	10,644.30	...	2,527.78	6 of 6 - Sale <sup>25</sup>
06/24/20	420.000	30,737.85	Various	23,789.49	...	6,948.36	Total of 6 transactions
	5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	5.000	381.99	06/24/20	382.50	0.51 W	0.00	1 of 5 - Sale <sup>25</sup>
	34.000	2,597.54	06/24/20	2,608.48	10.94 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	381.99	06/24/20	384.11	2.12 W	0.00	3 of 5 - Sale <sup>25</sup>
	448.000	34,226.38	06/24/20	34,370.56	144.18 W	0.00	4 of 5 - Sale <sup>25</sup>
	13.000	993.18	06/24/20	1,001.54	8.36 W	0.00	5 of 5 - Sale <sup>25</sup>
06/24/20	505.000	38,581.08	Various	38,747.19	166.11 W	0.00	Total of 5 transactions
	16 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	13.000	1,022.48	06/25/20	1,021.71	...	0.77	1 of 16 - Sale <sup>25</sup>
	2.000	157.30	06/25/20	155.52	...	1.78	2 of 16 - Sale <sup>25</sup>
	3.000	235.96	06/25/20	234.07	...	1.89	3 of 16 - Sale <sup>25</sup>
	5.000	393.26	06/25/20	389.32	...	3.94	4 of 16 - Sale <sup>25</sup>
	6.000	471.91	06/25/20	467.83	...	4.08	5 of 16 - Sale <sup>25</sup>
	13.000	1,022.48	06/25/20	1,017.53	...	4.95	6 of 16 - Sale <sup>25</sup>
	20.000	1,573.04	06/25/20	1,565.44	...	7.60	7 of 16 - Sale <sup>25</sup>
	21.000	1,651.69	06/25/20	1,633.00	...	18.69	8 of 16 - Sale <sup>25</sup>

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	50.000	3,932.60	06/25/20	3,912.09	...	20.51	9 of 16 - Sale <sup>25</sup>
	55.000	4,325.86	06/25/20	4,303.85	...	22.01	10 of 16 - Sale <sup>25</sup>
	59.000	4,640.47	06/25/20	4,618.04	...	22.43	11 of 16 - Sale <sup>25</sup>
	23.000	1,808.99	06/25/20	1,784.80	...	24.19	12 of 16 - Sale <sup>25</sup>
	25.000	1,966.30	06/25/20	1,935.00	...	31.30	13 of 16 - Sale <sup>25</sup>
	115.000	9,044.98	06/25/20	9,001.26	...	43.72	14 of 16 - Sale <sup>25</sup>
	125.000	9,831.50	06/25/20	9,783.98	...	47.52	15 of 16 - Sale <sup>25</sup>
	65.000	5,112.38	06/25/20	5,011.50	...	100.88	16 of 16 - Sale <sup>25</sup>
06/29/20	600.000	47,191.20	Various	46,834.94	...	356.26	Total of 16 transactions
	4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	50.000	4,087.90	07/01/20	4,297.00	209.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	35.000	2,861.53	07/01/20	3,007.90	146.37 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	1,226.37	07/01/20	1,350.89	124.52 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	817.58	07/01/20	899.87	82.29 W	0.00	4 of 4 - Sale <sup>25</sup>
07/14/20	110.000	8,993.38	Various	9,555.66	562.28 W	0.00	Total of 4 transactions
	7 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	35.000	2,680.94	07/21/20	3,141.40	...	-460.46	1 of 7 - Sale <sup>25</sup>
	23.000	1,761.76	07/21/20	2,064.35	...	-302.59	2 of 7 - Sale <sup>25</sup>
	10.000	766.07	07/21/20	1,023.25	...	-257.18	3 of 7 - Sale <sup>25</sup>
	10.000	765.98	07/21/20	938.01	...	-172.03	4 of 7 - Sale <sup>25</sup>
	3.000	229.79	07/21/20	256.72	...	-26.93	5 of 7 - Sale <sup>25</sup>
	2.000	153.20	07/21/20	179.51	...	-26.31	6 of 7 - Sale <sup>25</sup>
	2.000	153.22	07/21/20	171.14	...	-17.92	7 of 7 - Sale <sup>25</sup>
07/24/20	85.000	6,510.96	Various	7,774.38	...	-1,263.42	Total of 7 transactions
07/24/20	15.000	1,148.97	07/21/20	1,408.10	172.75 W	-86.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>133,829.68</b>		<b>128,744.23</b>	<b>903.13 W</b>	<b>5,988.58</b>	
FLYING EAGLE ACQUISITION CORP. / CUSIP: 34407Y103 / Symbol:							
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	3.000	40.59	10/09/20	40.92	...	-0.33	1 of 2 - Sale <sup>25</sup>
	60.000	811.77	10/09/20	799.79	...	11.98	2 of 2 - Sale <sup>25</sup>
10/09/20	63.000	852.36	Various	840.71	...	11.65	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GSX TECHEDU INC. / CUSIP: 36257Y109 / Symbol:							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	650.000	34,663.72	06/15/20	36,029.44	...	-1,365.72	1 of 3 - Sale <sup>25</sup>
	50.000	2,666.44	06/15/20	2,771.50	...	-105.06	2 of 3 - Sale <sup>25</sup>
	70.000	3,733.02	06/15/20	3,803.09	...	-70.07	3 of 3 - Sale <sup>25</sup>
06/15/20	770.000	41,063.18	Various	42,604.03	...	-1,540.85	Total of 3 transactions
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	300.16	06/30/20	306.80	...	-6.64	1 of 5 - Sale <sup>25</sup>
	40.000	120.07	06/30/20	122.72	...	-2.65	2 of 5 - Sale <sup>25</sup>
	5.000	15.01	06/30/20	14.94	...	0.07	3 of 5 - Sale <sup>25</sup>
	6.000	18.01	06/30/20	17.81	...	0.20	4 of 5 - Sale <sup>25</sup>
	70.000	210.12	06/30/20	205.10	...	5.02	5 of 5 - Sale <sup>25</sup>
06/30/20	221.000	663.37	Various	667.37	...	-4.00	Total of 5 transactions
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	100.000	907.96	09/02/20	928.98	...	-21.02	1 of 2 - Sale <sup>25</sup>
	50.000	454.00	09/02/20	464.49	...	-10.49	2 of 2 - Sale <sup>25</sup>
09/02/20	150.000	1,361.96	Various	1,393.47	...	-31.51	Total of 2 transactions
	<b>Security total:</b>	<b>2,025.33</b>		<b>2,060.84</b>	...	<b>-35.51</b>	
GREENWICH LIFESCIENCES, INC. COMMON STOCK / CUSIP: 396879108 / Symbol:							
12/09/20	4.000	288.00	12/09/20	311.00	19.38 W	-3.62	Sale <sup>25</sup>
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	2.107	176.19	12/10/20	212.23	...	-36.04	1 of 4 - Sale <sup>25</sup>
	0.630	52.65	12/10/20	63.33	...	-10.68	2 of 4 - Sale <sup>25</sup>
	0.370	30.97	12/10/20	37.26	...	-6.29	3 of 4 - Sale <sup>25</sup>
	0.264	22.04	12/10/20	26.56	...	-4.52	4 of 4 - Sale <sup>25</sup>
12/10/20	3.370	281.85	Various	339.38	...	-57.53	Total of 4 transactions
	<b>Security total:</b>	<b>569.85</b>		<b>650.38</b>	<b>19.38 W</b>	<b>-61.15</b>	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
03/25/20	10.000	10.55	02/28/20	29.80	...	-19.25	Sale <sup>25</sup>

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Account 759988710

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	51.854	222.35	12/10/20	359.61	...	-137.26	1 of 2 - Sale <sup>25</sup>
	0.058	0.25	12/10/20	0.39	...	-0.14	2 of 2 - Sale <sup>25</sup>
12/11/20	51.913	222.60	Various	360.00	...	-137.40	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
05/15/20	12.000	158.16	05/11/20	135.36	...	22.80	Sale <sup>25</sup>
6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	30.000	1,017.27	06/25/20	1,019.72	...	-2.45	1 of 6 - Sale <sup>25</sup>
	17.000	576.46	06/25/20	577.84	...	-1.38	2 of 6 - Sale <sup>25</sup>
	3.000	101.73	06/25/20	101.97	...	-0.24	3 of 6 - Sale <sup>25</sup>
	17.000	576.45	06/25/20	572.57	...	3.88	4 of 6 - Sale <sup>25</sup>
	83.000	2,814.46	06/25/20	2,798.81	...	15.65	5 of 6 - Sale <sup>25</sup>
	100.000	3,390.91	06/25/20	3,368.07	...	22.84	6 of 6 - Sale <sup>25</sup>
06/25/20	250.000	8,477.28	Various	8,438.98	...	38.30	Total of 6 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	2,840.93	06/25/20	2,870.00	14.53 W	-14.54	1 of 2 - Sale <sup>25</sup>
	200.000	5,681.87	06/25/20	5,686.00	4.13 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	300.000	8,522.80	Various	8,556.00	18.66 W	-14.54	Total of 2 transactions
	<b>Security total:</b>	<b>17,158.24</b>		<b>17,130.34</b>	<b>18.66 W</b>	<b>46.56</b>	
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	20.000	562.18	06/23/20	585.05	22.87 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	2,248.74	06/23/20	2,347.80	99.06 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	100.000	2,810.92	Various	2,932.85	121.93 W	0.00	Total of 2 transactions
5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	2.000	63.56	06/30/20	61.57	...	1.99	1 of 5 - Sale <sup>25</sup>
	20.000	635.61	06/30/20	614.17	...	21.44	2 of 5 - Sale <sup>25</sup>
	50.000	1,589.03	07/01/20	1,620.41	...	-31.38	3 of 5 - Sale <sup>25</sup>
	8.000	254.25	07/01/20	259.51	...	-5.26	4 of 5 - Sale <sup>25</sup>
	20.000	635.61	07/01/20	634.92	...	0.69	5 of 5 - Sale <sup>25</sup>
07/21/20	100.000	3,178.06	Various	3,190.58	...	-12.52	Total of 5 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,988.98</b>		<b>6,123.43</b>		<b>121.93 W</b>	<b>-12.52</b>
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
04/29/20	4.000	168.59	04/21/20	161.72	...	6.87	Sale <sup>25</sup>
04/29/20	7.000	295.04	04/27/20	300.62	5.58 W	0.00	Sale <sup>25</sup>
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	7.000	426.41	05/06/20	336.87	...	89.54	1 of 2 - Sale <sup>25</sup>
	243.000	14,802.45	05/06/20	11,500.49	...	3,301.96	2 of 2 - Sale <sup>25</sup>
05/19/20	250.000	15,228.86	Various	11,837.36	...	3,391.50	Total of 2 transactions
8 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	61.01	05/19/20	60.80	...	0.21	1 of 8 - Sale <sup>25</sup>
	2.000	122.02	05/19/20	121.80	...	0.22	2 of 8 - Sale <sup>25</sup>
	5.000	305.04	05/19/20	304.55	...	0.49	3 of 8 - Sale <sup>25</sup>
	15.000	915.12	05/19/20	913.65	...	1.47	4 of 8 - Sale <sup>25</sup>
	24.000	1,464.19	05/19/20	1,461.84	...	2.35	5 of 8 - Sale <sup>25</sup>
	50.000	3,050.39	05/19/20	3,045.50	...	4.89	6 of 8 - Sale <sup>25</sup>
	50.000	3,050.40	05/19/20	3,044.50	...	5.90	7 of 8 - Sale <sup>25</sup>
	70.000	4,270.56	05/19/20	4,263.70	...	6.86	8 of 8 - Sale <sup>25</sup>
05/20/20	217.000	13,238.73	Various	13,216.34	...	22.39	Total of 8 transactions
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	62.51	06/03/20	61.68	...	0.83	1 of 3 - Sale <sup>25</sup>
	10.000	625.12	06/03/20	617.22	...	7.90	2 of 3 - Sale <sup>25</sup>
	175.000	10,939.56	06/03/20	10,830.73	...	108.83	3 of 3 - Sale <sup>25</sup>
06/03/20	186.000	11,627.19	Various	11,509.63	...	117.56	Total of 3 transactions
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	100.000	6,020.87	06/09/20	6,056.99	36.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	6,020.86	06/09/20	6,056.99	36.13 W	0.00	2 of 2 - Sale <sup>25</sup>
06/12/20	200.000	12,041.73	Various	12,113.98	72.25 W	0.00	Total of 2 transactions
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	728.98	06/16/20	642.11	...	86.87	1 of 4 - Sale <sup>25</sup>
	40.000	2,915.53	06/16/20	2,568.44	...	347.09	2 of 4 - Sale <sup>25</sup>
	50.000	3,644.91	06/16/20	3,210.56	...	434.35	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
06/24/20	100.000	7,288.82	06/16/20	6,421.12	...	867.70	4 of 4 - Sale <sup>25</sup>
	200.000	14,578.24	Various	12,842.23	...	1,736.01	Total of 4 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	12.000	865.06	06/25/20	837.48	...	27.58	1 of 2 - Sale <sup>25</sup>
	150.000	10,813.24	06/25/20	10,529.99	...	283.25	2 of 2 - Sale <sup>25</sup>
06/29/20	162.000	11,678.30	Various	11,367.47	...	310.83	Total of 2 transactions
06/29/20	30.000	2,163.27	06/29/20	2,170.91	7.64 W	0.00	Sale <sup>25</sup>
	4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	30.000	2,233.15	06/29/20	2,177.85	...	55.30	1 of 4 - Sale <sup>25</sup>
	70.000	5,210.68	06/29/20	5,065.45	...	145.23	2 of 4 - Sale <sup>25</sup>
	100.000	7,447.68	06/29/20	7,236.36	...	211.32	3 of 4 - Sale <sup>25</sup>
	100.000	7,448.83	06/29/20	7,236.36	...	212.47	4 of 4 - Sale <sup>25</sup>
07/01/20	300.000	22,340.34	Various	21,716.02	...	624.32	Total of 4 transactions
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	5.000	512.06	06/29/20	353.65	...	158.41	1 of 2 - Sale <sup>25</sup>
	170.000	17,410.13	06/29/20	12,297.85	...	5,112.28	2 of 2 - Sale <sup>25</sup>
07/24/20	175.000	17,922.19	Various	12,651.50	...	5,270.69	Total of 2 transactions
	7 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	15.000	1,536.19	07/15/20	1,602.01	65.82 W	0.00	1 of 7 - Sale <sup>25</sup>
	20.000	2,048.25	07/15/20	2,138.20	89.95 W	0.00	2 of 7 - Sale <sup>25</sup>
	15.000	1,550.25	07/24/20	1,629.34	79.09 W	0.00	3 of 7 - Sale <sup>25</sup>
	20.000	2,067.00	07/24/20	2,174.64	107.64 W	0.00	4 of 7 - Sale <sup>25</sup>
	265.000	27,387.80	07/24/20	27,622.14	234.34 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	10,335.02	07/24/20	10,444.00	108.98 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	10,335.02	07/24/20	10,444.00	108.98 W	0.00	7 of 7 - Sale <sup>25</sup>
07/24/20	535.000	55,259.53	Various	56,054.33	794.80 W	0.00	Total of 7 transactions
	3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	105.000	11,290.53	07/24/20	11,352.01	...	-61.48	1 of 3 - Sale <sup>25</sup>
	94.000	10,107.71	07/24/20	10,150.52	...	-42.81	2 of 3 - Sale <sup>25</sup>
	1.000	107.53	07/24/20	108.03	...	-0.50	3 of 3 - Sale <sup>25</sup>
07/27/20	200.000	21,505.77	Various	21,610.56	...	-104.79	Total of 3 transactions

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	65.000	6,989.38	07/24/20	7,018.33	2.23 W	-26.72	1 of 3 - Sale <sup>25</sup>
	15.000	1,612.93	07/24/20	1,685.44	72.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	2,150.58	07/24/20	2,249.44	98.86 W	0.00	3 of 3 - Sale <sup>25</sup>
07/27/20	100.000	10,752.89	Various	10,953.21	173.60 W	-26.72	Total of 3 transactions
07/30/20	40.000	4,979.88	07/27/20	4,381.90	...	597.98	Sale <sup>25</sup>
4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	10.000	1,350.20	07/27/20	1,095.47	...	254.73	1 of 4 - Sale <sup>25</sup>
	40.000	5,385.48	07/27/20	4,381.90	...	1,003.58	2 of 4 - Sale <sup>25</sup>
	50.000	6,742.73	07/27/20	5,477.37	...	1,265.36	3 of 4 - Sale <sup>25</sup>
	60.000	8,078.22	07/27/20	6,572.84	...	1,505.38	4 of 4 - Sale <sup>25</sup>
08/03/20	160.000	21,556.63	Various	17,527.58	...	4,029.05	Total of 4 transactions
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	148.00	07/27/20	112.94	...	35.06	1 of 4 - Sale <sup>25</sup>
	5.000	739.98	07/27/20	542.74	...	197.24	2 of 4 - Sale <sup>25</sup>
	14.000	2,071.95	07/27/20	1,581.09	...	490.86	3 of 4 - Sale <sup>25</sup>
	20.000	2,959.94	07/27/20	2,260.89	...	699.05	4 of 4 - Sale <sup>25</sup>
08/05/20	40.000	5,919.87	Various	4,497.66	...	1,422.21	Total of 4 transactions
	<b>Security total:</b>	<b>241,257.05</b>		<b>224,913.02</b>	<b>1,053.87 W</b>	<b>17,397.90</b>	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	13.000	102.44	04/02/20	76.70	...	25.74	1 of 3 - Sale <sup>25</sup>
	10.000	78.80	04/02/20	50.30	...	28.50	2 of 3 - Sale <sup>25</sup>
	90.000	709.17	04/02/20	531.00	...	178.17	3 of 3 - Sale <sup>25</sup>
04/02/20	113.000	890.41	Various	658.00	...	232.41	Total of 3 transactions
04/03/20	4.000	22.73	04/02/20	28.39	...	-5.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>913.14</b>		<b>686.39</b>	<b>...</b>	<b>226.75</b>	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
04/30/20	5.000	85.71	04/28/20	78.95	...	6.76	Sale <sup>25</sup>

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
03/04/20	5.000	852.13	02/26/20	848.05	...	4.08	Sale <sup>25</sup>
07/17/20	32.000	6,481.85	07/16/20	6,493.44	...	-11.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,333.98</b>		<b>7,341.49</b>	...	<b>-7.51</b>	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	184.000	12,519.98	05/19/20	14,450.81	1,930.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	680.43	05/19/20	785.70	105.27 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/20	194.000	13,200.41	Various	15,236.51	2,036.10 W	0.00	Total of 2 transactions
	8 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	100.000	6,628.85	05/20/20	8,263.36	...	-1,634.51	1 of 8 - Sale <sup>25</sup>
	70.000	4,640.19	05/20/20	5,784.36	...	-1,144.17	2 of 8 - Sale <sup>25</sup>
	10.000	662.89	05/20/20	832.66	...	-169.77	3 of 8 - Sale <sup>25</sup>
	8.000	530.31	05/20/20	660.83	...	-130.52	4 of 8 - Sale <sup>25</sup>
	6.000	397.73	05/20/20	499.40	...	-101.67	5 of 8 - Sale <sup>25</sup>
	8.000	530.31	05/20/20	581.92	...	-51.61	6 of 8 - Sale <sup>25</sup>
	1.000	66.29	05/20/20	72.08	...	-5.79	7 of 8 - Sale <sup>25</sup>
	14.000	928.03	05/21/20	978.32	...	-50.29	8 of 8 - Sale <sup>25</sup>
05/21/20	217.000	14,384.60	Various	17,672.93	...	-3,288.33	Total of 8 transactions
	9 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	2.000	159.60	07/14/20	150.00	...	9.60	1 of 9 - Sale <sup>25</sup>
	2.000	159.60	07/14/20	148.52	...	11.08	2 of 9 - Sale <sup>25</sup>
	4.000	319.19	07/14/20	297.04	...	22.15	3 of 9 - Sale <sup>25</sup>
	4.000	319.19	07/14/20	297.04	...	22.15	4 of 9 - Sale <sup>25</sup>
	13.000	1,037.37	07/14/20	975.00	...	62.37	5 of 9 - Sale <sup>25</sup>
	17.000	1,356.57	07/14/20	1,275.00	...	81.57	6 of 9 - Sale <sup>25</sup>
	44.000	3,511.12	07/14/20	3,300.00	...	211.12	7 of 9 - Sale <sup>25</sup>
	46.000	3,670.71	07/14/20	3,446.78	...	223.93	8 of 9 - Sale <sup>25</sup>
	68.000	5,426.26	07/14/20	5,100.00	...	326.26	9 of 9 - Sale <sup>25</sup>
07/15/20	200.000	15,959.61	Various	14,989.38	...	970.23	Total of 9 transactions

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	100.000	8,262.97	07/17/20	8,862.00	599.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	65.000	5,558.66	07/20/20	5,909.82	351.16 W	0.00	2 of 2 - Sale <sup>25</sup>
07/20/20	165.000	13,821.63	Various	14,771.82	950.19 W	0.00	Total of 2 transactions
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	50.000	4,002.41	07/20/20	4,516.62	...	-514.21	1 of 3 - Sale <sup>25</sup>
	35.000	2,801.68	07/20/20	3,182.21	...	-380.53	2 of 3 - Sale <sup>25</sup>
	15.000	1,200.72	07/20/20	1,367.26	...	-166.54	3 of 3 - Sale <sup>25</sup>
07/21/20	100.000	8,004.81	Various	9,066.09	...	-1,061.28	Total of 3 transactions
	<b>Security total:</b>	<b>65,371.06</b>		<b>71,736.73</b>	<b>2,986.29 W</b>	<b>-3,379.38</b>	
NCINO, INC. COMMON STOCK / CUSIP: 63947U107 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	10.000	782.98	07/14/20	794.20	11.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	781.08	07/14/20	794.20	13.12 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	20.000	1,564.06	Various	1,588.40	24.34 W	0.00	Total of 2 transactions
6 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	10.000	741.03	07/17/20	743.12	...	-2.09	1 of 6 - Sale <sup>25</sup>
	9.000	666.93	07/17/20	667.10	...	-0.17	2 of 6 - Sale <sup>25</sup>
	1.000	74.10	07/17/20	74.12	...	-0.02	3 of 6 - Sale <sup>25</sup>
	4.000	296.41	07/17/20	294.00	...	2.41	4 of 6 - Sale <sup>25</sup>
	31.000	2,297.20	07/17/20	2,263.00	...	34.20	5 of 6 - Sale <sup>25</sup>
	39.000	2,890.03	07/17/20	2,849.34	...	40.69	6 of 6 - Sale <sup>25</sup>
07/22/20	94.000	6,965.70	Various	6,890.68	...	75.02	Total of 6 transactions
	<b>Security total:</b>	<b>8,529.76</b>		<b>8,479.08</b>	<b>24.34 W</b>	<b>75.02</b>	
NEOLEUKIN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 64049K104 / Symbol:							
6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	150.000	2,417.93	12/24/20	2,554.50	...	-136.57	1 of 6 - Sale <sup>25</sup>
	100.000	1,611.95	12/24/20	1,704.00	...	-92.05	2 of 6 - Sale <sup>25</sup>
	100.000	1,611.95	12/24/20	1,703.00	...	-91.05	3 of 6 - Sale <sup>25</sup>
	95.000	1,531.35	12/24/20	1,619.75	...	-88.40	4 of 6 - Sale <sup>25</sup>
	20.000	322.39	12/24/20	338.75	...	-16.36	5 of 6 - Sale <sup>25</sup>

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Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NEOLEUKIN THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 64049K104 / Symbol: (cont'd)							
12/24/20	5.000	80.60	12/24/20	85.29	...	-4.69	6 of 6 - Sale <sup>25</sup>
	470.000	7,576.17	Various	8,005.29	...	-429.12	Total of 6 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
07/15/20	5.000	2,606.57	07/16/20	2,499.95	...	106.62	Sale <sup>25</sup>
	3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	11.000	5,163.83	07/16/20	5,701.87	...	-538.04	1 of 3 - Sale <sup>25</sup>
	2.000	938.85	07/16/20	1,029.44	...	-90.59	2 of 3 - Sale <sup>25</sup>
	1.000	469.44	07/16/20	518.36	...	-48.92	3 of 3 - Sale <sup>25</sup>
07/16/20	14.000	6,572.12	Various	7,249.67	...	-677.55	Total of 3 transactions
	4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	11.000	5,041.51	07/14/20	5,499.89	458.38 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	1,833.27	07/14/20	1,999.96	166.69 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	937.22	07/16/20	1,027.83	45.31 W	-45.30	3 of 4 - Sale <sup>25</sup>
	11.000	5,154.70	07/16/20	5,653.02	498.32 W	0.00	4 of 4 - Sale <sup>25</sup>
07/16/20	28.000	12,966.70	Various	14,180.70	1,168.70 W	-45.30	Total of 4 transactions
	<b>Security total:</b>	<b>22,145.39</b>		<b>23,930.32</b>	<b>1,168.70 W</b>	<b>-616.23</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
	5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	8.000	683.02	06/08/20	413.70	...	269.32	1 of 5 - Sale <sup>25</sup>
	8.000	687.18	06/08/20	413.84	...	273.34	2 of 5 - Sale <sup>25</sup>
	42.000	3,585.88	06/08/20	2,172.66	...	1,413.22	3 of 5 - Sale <sup>25</sup>
	50.000	4,269.40	06/08/20	2,586.50	...	1,682.90	4 of 5 - Sale <sup>25</sup>
	192.000	16,367.61	06/08/20	9,928.80	...	6,438.81	5 of 5 - Sale <sup>25</sup>
06/09/20	300.000	25,593.09	Various	15,515.50	...	10,077.59	Total of 5 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	9.000	635.38	06/23/20	653.22	17.84 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	70.55	06/23/20	72.58	2.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	6.000	423.30	06/23/20	440.74	17.44 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	16.000	1,129.23	Various	1,166.54	37.31 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
<i>4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	290.000	20,187.28	06/29/20	20,556.19	...	-368.91	1 of 4 - Sale <sup>25</sup>
	6.000	417.67	06/29/20	442.74	...	-25.07	2 of 4 - Sale <sup>25</sup>
	3.000	208.83	06/29/20	218.60	...	-9.77	3 of 4 - Sale <sup>25</sup>
	1.000	69.61	06/29/20	72.91	...	-3.30	4 of 4 - Sale <sup>25</sup>
06/29/20	300.000	20,883.39	Various	21,290.44	...	-407.05	Total of 4 transactions
	<b>Security total:</b>	<b>47,605.71</b>		<b>37,972.48</b>	<b>37.31 W</b>	<b>9,670.54</b>	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
08/20/20	2.000	979.36	08/19/20	975.60	...	3.76	Sale <sup>25</sup>
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
<i>2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	1,199.96	04/06/20	948.75	...	251.21	1 of 2 - Sale <sup>25</sup>
	650.000	10,399.69	04/08/20	9,568.00	...	831.69	2 of 2 - Sale <sup>25</sup>
04/09/20	725.000	11,599.65	Various	10,516.75	...	1,082.90	Total of 2 transactions
<i>8 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	3,233.92	04/09/20	3,300.00	66.08 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	1,616.95	04/09/20	1,650.00	33.05 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	1,616.95	04/09/20	1,683.04	66.09 W	0.00	3 of 8 - Sale <sup>25</sup>
	85.000	1,374.40	04/09/20	1,430.58	56.18 W	0.00	4 of 8 - Sale <sup>25</sup>
	85.000	1,374.41	04/09/20	1,430.59	56.18 W	0.00	5 of 8 - Sale <sup>25</sup>
	15.000	242.54	04/09/20	252.46	9.92 W	0.00	6 of 8 - Sale <sup>25</sup>
	15.000	242.39	04/09/20	252.46	10.07 W	0.00	7 of 8 - Sale <sup>25</sup>
	50.000	807.97	04/09/20	825.00	17.03 W	0.00	8 of 8 - Sale <sup>25</sup>
04/09/20	650.000	10,509.53	Various	10,824.13	314.60 W	0.00	Total of 8 transactions
<i>13 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	4,230.38	04/09/20	4,986.00	...	-755.62	1 of 13 - Sale <sup>25</sup>
	85.000	1,198.61	04/09/20	1,468.88	...	-270.27	2 of 13 - Sale <sup>25</sup>
	85.000	1,198.61	04/09/20	1,468.88	...	-270.27	3 of 13 - Sale <sup>25</sup>
	120.000	1,692.15	04/09/20	1,921.19	...	-229.04	4 of 13 - Sale <sup>25</sup>
	50.000	705.06	04/09/20	864.04	...	-158.98	5 of 13 - Sale <sup>25</sup>
	50.000	705.06	04/09/20	848.03	...	-142.97	6 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: (cont'd)							
	15.000	211.52	04/09/20	259.37	...	-47.85	7 of 13 - Sale <sup>25</sup>
	15.000	211.52	04/09/20	259.22	...	-47.70	8 of 13 - Sale <sup>25</sup>
	15.000	211.52	04/09/20	227.25	...	-15.73	9 of 13 - Sale <sup>25</sup>
	30.000	423.04	04/27/20	390.26	...	32.78	10 of 13 - Sale <sup>25</sup>
	69.000	972.98	05/01/20	1,068.12	...	-95.14	11 of 13 - Sale <sup>25</sup>
	3.000	42.31	05/04/20	46.17	...	-3.86	12 of 13 - Sale <sup>25</sup>
	1.000	14.10	05/06/20	14.64	...	-0.54	13 of 13 - Sale <sup>25</sup>
05/06/20	838.000	11,816.86	Various	13,822.05	...	-2,005.19	Total of 13 transactions
05/06/20	50.000	705.06	04/09/20	863.55	95.09 W	-63.40	Sale <sup>25</sup>
06/05/20	30.000	609.58	06/05/20	710.34	...	-100.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>35,240.68</b>		<b>36,736.82</b>	<b>409.69 W</b>	<b>-1,086.45</b>	
PAGERDUTY, INC. / CUSIP: 69553P100 / Symbol:							
06/29/20	10.000	278.34	06/26/20	301.25	...	-22.91	Sale <sup>25</sup>
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
05/27/20	2.000	80.41	05/07/20	89.17	...	-8.76	Sale <sup>25</sup>
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
03/25/20	1.000	4.06	02/26/20	5.47	...	-1.41	Sale <sup>25</sup>
PROSHARES ULTRAPRO SHORT S&P 5 00 / CUSIP: 74347B268 / Symbol:							
7 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	7.000	251.64	03/12/20	251.23	...	0.41	1 of 7 - Sale <sup>25</sup>
	1.000	35.95	03/12/20	34.75	...	1.20	2 of 7 - Sale <sup>25</sup>
	1.000	35.95	03/12/20	34.25	...	1.70	3 of 7 - Sale <sup>25</sup>
	9.000	323.54	03/12/20	312.70	...	10.84	4 of 7 - Sale <sup>25</sup>
	85.000	3,055.67	03/12/20	3,044.64	...	11.03	5 of 7 - Sale <sup>25</sup>
	21.000	754.93	03/12/20	729.96	...	24.97	6 of 7 - Sale <sup>25</sup>
	35.000	1,258.22	03/17/20	1,015.00	...	243.22	7 of 7 - Sale <sup>25</sup>
03/18/20	159.000	5,715.90	Various	5,422.53	...	293.37	Total of 7 transactions
04/07/20	44.000	835.54	04/06/20	976.36	...	-140.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,551.44</b>		<b>6,398.89</b>	...	<b>152.55</b>	

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES ULTRAPRO SHORT DOW 3 0 / CUSIP: 74347G309 / Symbol:							
03/27/20	170.000	8,423.29	03/26/20	8,428.58	...	-5.29	Sale <sup>25</sup>
PROSHARES ULTRAPRO DOW 30 / CUSIP: 74347X823 / Symbol:							
04/09/20	11.000	579.13	04/09/20	575.96	...	3.17	Sale <sup>25</sup>
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
06/16/20	195.000	23,321.46	06/16/20	22,921.14	...	400.32	Sale <sup>25</sup>
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
07/24/20	200.000	35,771.29	07/24/20	35,741.00	...	30.29	3- Proceeds from collectibles [X] Sale <sup>25</sup>
07/24/20	70.000	12,519.95	07/24/20	12,526.50	6.55 W	0.00	3- Proceeds from collectibles [X] Sale <sup>25</sup>
<i>7 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	9,108.35	07/27/20	9,109.56	...	-1.21	1 of 7 - Sale <sup>25</sup>
	20.000	3,643.34	07/27/20	3,643.85	...	-0.51	2 of 7 - Sale <sup>25</sup>
	50.000	9,108.35	07/27/20	9,107.47	...	0.88	3 of 7 - Sale <sup>25</sup>
	30.000	5,465.72	07/27/20	5,464.48	...	1.24	4 of 7 - Sale <sup>25</sup>
	50.000	9,109.29	07/27/20	9,107.48	...	1.81	5 of 7 - Sale <sup>25</sup>
	80.000	14,573.36	07/27/20	14,571.20	...	2.16	6 of 7 - Sale <sup>25</sup>
	100.000	18,216.71	07/27/20	18,214.00	...	2.71	7 of 7 - Sale <sup>25</sup>
07/27/20	380.000	69,225.12	Various	69,218.04	...	7.08	3- Proceeds from collectibles [X] Total of 7 transactions
07/27/20	70.000	12,753.36	07/27/20	12,757.02	3.66 W	0.00	3- Proceeds from collectibles [X] Sale <sup>25</sup>
<b>Security total:</b>		<b>130,269.72</b>		<b>130,242.56</b>	<b>10.21 W</b>	<b>37.37</b>	
SASOL LIMITED / CUSIP: 803866300 / Symbol:							
04/30/20	5.000	23.40	04/29/20	25.78	...	-2.38	Sale <sup>25</sup>
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
05/27/20	4.000	324.23	05/06/20	244.68	...	79.55	Sale <sup>25</sup>
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	47.000	4,869.64	06/16/20	4,737.60	...	132.04	1 of 2 - Sale <sup>25</sup>

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Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: (cont'd)							
06/18/20	53.000	5,491.30	06/16/20	5,342.15	...	149.15	2 of 2 - Sale <sup>25</sup>
	100.000	10,360.94	Various	10,079.75	...	281.19	Total of 2 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	5.000	522.91	06/18/20	522.83	...	0.08	1 of 3 - Sale <sup>25</sup>
	5.000	522.92	06/18/20	522.83	...	0.09	2 of 3 - Sale <sup>25</sup>
	90.000	9,412.42	06/18/20	9,411.40	...	1.02	3 of 3 - Sale <sup>25</sup>
06/24/20	100.000	10,458.25	Various	10,457.06	...	1.19	Total of 3 transactions
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	2.000	209.00	06/25/20	208.75	...	0.25	1 of 3 - Sale <sup>25</sup>
	30.000	3,131.93	06/25/20	3,131.28	...	0.65	2 of 3 - Sale <sup>25</sup>
	45.000	4,704.64	06/25/20	4,696.93	...	7.71	3 of 3 - Sale <sup>25</sup>
06/25/20	77.000	8,045.57	Various	8,036.96	...	8.61	Total of 3 transactions
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	3.000	313.04	06/25/20	313.13	0.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	1,043.48	06/25/20	1,045.45	1.97 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	13.000	1,356.52	Various	1,358.58	2.06 W	0.00	Total of 2 transactions
	6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	3.000	307.72	06/25/20	313.05	5.33 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	1,025.75	06/25/20	1,045.17	19.42 W	0.00	2 of 6 - Sale <sup>25</sup>
	32.000	3,282.39	06/25/20	3,338.24	55.85 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	102.57	06/25/20	104.33	1.76 W	0.00	4 of 6 - Sale <sup>25</sup>
	12.000	1,230.90	06/25/20	1,251.96	21.06 W	0.00	5 of 6 - Sale <sup>25</sup>
	20.000	2,051.50	06/25/20	2,086.60	35.10 W	0.00	6 of 6 - Sale <sup>25</sup>
06/29/20	78.000	8,000.83	Various	8,139.35	138.52 W	0.00	Total of 6 transactions
	14 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.000	102.38	06/29/20	110.55	8.17 W	0.00	1 of 14 - Sale <sup>25</sup>
	3.000	307.13	06/29/20	331.71	24.58 W	0.00	2 of 14 - Sale <sup>25</sup>
	10.000	1,023.77	06/29/20	1,107.37	83.60 W	0.00	3 of 14 - Sale <sup>25</sup>
	12.000	1,228.52	06/29/20	1,326.60	98.08 W	0.00	4 of 14 - Sale <sup>25</sup>
	20.000	2,047.53	06/29/20	2,210.99	163.46 W	0.00	5 of 14 - Sale <sup>25</sup>
	32.000	3,276.05	06/29/20	3,537.28	261.23 W	0.00	6 of 14 - Sale <sup>25</sup>
	72.000	7,371.13	06/29/20	7,833.21	462.08 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	103.12	07/24/20	112.62	9.50 W	0.00	8 of 14 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: (cont'd)							
	3.000	309.35	07/24/20	337.93	28.58 W	0.00	9 of 14 - Sale <sup>25</sup>
	10.000	1,031.18	07/24/20	1,128.10	96.92 W	0.00	10 of 14 - Sale <sup>25</sup>
	12.000	1,237.42	07/24/20	1,351.48	114.06 W	0.00	11 of 14 - Sale <sup>25</sup>
	20.000	2,062.36	07/24/20	2,252.46	190.10 W	0.00	12 of 14 - Sale <sup>25</sup>
	32.000	3,299.78	07/24/20	3,603.63	303.85 W	0.00	13 of 14 - Sale <sup>25</sup>
	72.000	7,424.51	07/24/20	7,982.48	557.97 W	0.00	14 of 14 - Sale <sup>25</sup>
07/24/20	300.000	30,824.23	Various	33,226.41	2,402.18 W	0.00	Total of 14 transactions
	4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	50.000	5,700.32	07/27/20	6,098.84	...	-398.52	1 of 4 - Sale <sup>25</sup>
	20.000	2,280.13	07/27/20	2,417.74	...	-137.61	2 of 4 - Sale <sup>25</sup>
	22.000	2,508.14	07/27/20	2,620.89	...	-112.75	3 of 4 - Sale <sup>25</sup>
	50.000	5,700.32	07/27/20	5,711.36	...	-11.04	4 of 4 - Sale <sup>25</sup>
07/27/20	142.000	16,188.91	Various	16,848.83	...	-659.92	Total of 4 transactions
	21 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	110.06	07/24/20	116.71	6.65 W	0.00	1 of 21 - Sale <sup>25</sup>
	3.000	330.18	07/24/20	350.22	20.04 W	0.00	2 of 21 - Sale <sup>25</sup>
	10.000	1,100.59	07/24/20	1,169.06	68.47 W	0.00	3 of 21 - Sale <sup>25</sup>
	12.000	1,320.71	07/24/20	1,400.63	79.92 W	0.00	4 of 21 - Sale <sup>25</sup>
	20.000	2,201.19	07/24/20	2,334.38	133.19 W	0.00	5 of 21 - Sale <sup>25</sup>
	32.000	3,521.90	07/24/20	3,734.70	212.80 W	0.00	6 of 21 - Sale <sup>25</sup>
	22.000	2,421.30	07/24/20	2,529.20	107.90 W	0.00	7 of 21 - Sale <sup>25</sup>
	12.000	1,368.08	07/27/20	1,450.65	27.52 W	-55.05	8 of 21 - Sale <sup>25</sup>
	1.000	114.17	07/27/20	120.85	6.68 W	0.00	9 of 21 - Sale <sup>25</sup>
	3.000	342.52	07/27/20	362.64	20.12 W	0.00	10 of 21 - Sale <sup>25</sup>
	10.000	1,141.73	07/27/20	1,210.47	68.74 W	0.00	11 of 21 - Sale <sup>25</sup>
	12.000	1,370.07	07/27/20	1,450.32	80.25 W	0.00	12 of 21 - Sale <sup>25</sup>
	20.000	2,283.45	07/27/20	2,417.19	133.74 W	0.00	13 of 21 - Sale <sup>25</sup>
	32.000	3,653.52	07/27/20	3,867.20	213.68 W	0.00	14 of 21 - Sale <sup>25</sup>
	22.000	2,511.80	07/27/20	2,620.29	108.49 W	0.00	15 of 21 - Sale <sup>25</sup>
	50.000	5,708.63	07/27/20	6,097.48	388.85 W	0.00	16 of 21 - Sale <sup>25</sup>
	50.000	5,708.62	07/27/20	5,709.99	1.37 W	0.00	17 of 21 - Sale <sup>25</sup>
	1.000	114.01	07/27/20	120.88	6.87 W	0.00	18 of 21 - Sale <sup>25</sup>
	3.000	342.02	07/27/20	362.72	20.70 W	0.00	19 of 21 - Sale <sup>25</sup>
	10.000	1,140.06	07/27/20	1,210.74	70.68 W	0.00	20 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: (cont'd)							
	32.000	3,648.21	07/27/20	3,868.08	219.87 W	0.00	21 of 21 - Sale <sup>25</sup>
07/27/20	358.000	40,452.82	Various	42,504.40	1,996.53 W	-55.05	Total of 21 transactions
07/31/20	50.000	6,183.36	07/27/20	5,710.00	...	473.36	Sale <sup>25</sup>
	2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	50.000	6,566.73	07/27/20	5,709.99	...	856.74	1 of 2 - Sale <sup>25</sup>
	100.000	13,226.19	07/27/20	11,419.99	...	1,806.20	2 of 2 - Sale <sup>25</sup>
08/03/20	150.000	19,792.92	Various	17,129.98	...	2,662.94	Total of 2 transactions
	5 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	1.000	130.17	07/27/20	120.96	...	9.21	1 of 5 - Sale <sup>25</sup>
	3.000	390.50	07/27/20	362.97	...	27.53	2 of 5 - Sale <sup>25</sup>
	4.000	520.67	07/27/20	483.88	...	36.79	3 of 5 - Sale <sup>25</sup>
	10.000	1,301.67	07/27/20	1,211.58	...	90.09	4 of 5 - Sale <sup>25</sup>
	32.000	4,165.34	07/27/20	3,870.75	...	294.59	5 of 5 - Sale <sup>25</sup>
08/07/20	50.000	6,508.35	Various	6,050.14	...	458.21	Total of 5 transactions
	<b>Security total:</b>	<b>158,496.93</b>		<b>159,786.14</b>	<b>4,539.29 W</b>	<b>3,250.08</b>	
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
05/27/20	29.000	21,648.31	05/27/20	21,750.20	...	-101.89	Sale <sup>25</sup>
SNAP INC. / CUSIP: 83304A106 / Symbol:							
04/22/20	45.000	715.93	04/22/20	730.80	14.87 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	135.000	2,194.36	04/27/20	2,235.43	...	-41.07	1 of 3 - Sale <sup>25</sup>
	43.000	698.94	04/27/20	726.24	...	-27.30	2 of 3 - Sale <sup>25</sup>
	2.000	32.50	04/27/20	33.78	...	-1.28	3 of 3 - Sale <sup>25</sup>
04/28/20	180.000	2,925.80	Various	2,995.45	...	-69.65	Total of 3 transactions
	<b>Security total:</b>	<b>3,641.73</b>		<b>3,726.25</b>	<b>14.87 W</b>	<b>-69.65</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	70.000	8,191.91	07/01/20	7,959.70	...	232.21	1 of 2 - Sale <sup>25</sup>
	65.000	7,606.77	07/01/20	7,374.55	...	232.22	2 of 2 - Sale <sup>25</sup>
07/24/20	135.000	15,798.68	Various	15,334.25	...	464.43	Total of 2 transactions

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	100.000	12,258.71	07/24/20	12,234.50	...	24.21	1 of 2 - Sale <sup>25</sup>
	100.000	12,258.72	07/24/20	12,234.50	...	24.22	2 of 2 - Sale <sup>25</sup>
07/27/20	200.000	24,517.43	Various	24,469.00	...	48.43	Total of 2 transactions
7 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	259.10	07/30/20	257.91	...	1.19	1 of 7 - Sale <sup>25</sup>
	3.000	390.00	07/30/20	386.68	...	3.32	2 of 7 - Sale <sup>25</sup>
	6.000	777.28	07/30/20	773.43	...	3.85	3 of 7 - Sale <sup>25</sup>
	9.000	1,168.71	07/30/20	1,160.04	...	8.67	4 of 7 - Sale <sup>25</sup>
	30.000	3,895.71	07/30/20	3,866.81	...	28.90	5 of 7 - Sale <sup>25</sup>
	50.000	6,499.85	07/30/20	6,444.68	...	55.17	6 of 7 - Sale <sup>25</sup>
	98.000	12,695.60	07/30/20	12,631.56	...	64.04	7 of 7 - Sale <sup>25</sup>
07/30/20	198.000	25,686.25	Various	25,521.11	...	165.14	Total of 7 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	1,523.07	08/05/20	1,535.90	12.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	761.54	08/05/20	768.70	7.16 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	15.000	2,284.61	Various	2,304.60	19.99 W	0.00	Total of 2 transactions
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	10.000	1,362.57	08/05/20	1,550.23	187.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	681.28	08/05/20	768.70	87.42 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	15.000	2,043.85	Various	2,318.93	275.08 W	0.00	Total of 2 transactions
8 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	9.671	1,364.96	08/12/20	1,563.49	...	-198.53	1 of 8 - Sale <sup>25</sup>
	5.000	705.73	08/12/20	801.97	...	-96.24	2 of 8 - Sale <sup>25</sup>
	4.995	704.98	08/12/20	720.94	...	-15.96	3 of 8 - Sale <sup>25</sup>
	7.984	1,126.97	08/12/20	1,141.04	...	-14.07	4 of 8 - Sale <sup>25</sup>
	0.329	46.51	08/12/20	53.50	...	-6.99	5 of 8 - Sale <sup>25</sup>
	2.490	351.48	08/12/20	353.26	...	-1.78	6 of 8 - Sale <sup>25</sup>
	0.005	0.75	08/12/20	0.78	...	-0.03	7 of 8 - Sale <sup>25</sup>
	0.525	74.17	08/12/20	73.00	...	1.17	8 of 8 - Sale <sup>25</sup>
08/13/20	31.000	4,375.55	Various	4,707.98	...	-332.43	Total of 8 transactions

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Robinhood Securities LLC

Account 759988710

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	0.005	0.75	08/12/20	0.77	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.329	46.51	08/12/20	53.27	6.76 W	0.00	2 of 2 - Sale <sup>25</sup>
08/13/20	0.335	47.26	Various	54.04	6.78 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>74,753.63</b>		<b>74,709.91</b>	<b>301.85 W</b>	<b>345.57</b>	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	130.000	22,656.53	06/11/20	23,818.03	...	-1,161.50	1 of 2 - Sale <sup>25</sup>
	20.000	3,485.62	06/11/20	3,672.05	...	-186.43	2 of 2 - Sale <sup>25</sup>
06/12/20	150.000	26,142.15	Various	27,490.08	...	-1,347.93	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	550.65	03/05/20	727.31	...	-176.66	1 of 2 - Sale <sup>25</sup>
	1.000	550.65	03/06/20	690.00	...	-139.35	2 of 2 - Sale <sup>25</sup>
03/12/20	2.000	1,101.30	Various	1,417.31	...	-316.01	Total of 2 transactions
03/12/20	4.000	2,202.59	03/05/20	2,914.76	712.17 W	0.00	Sale <sup>25</sup>
03/23/20	3.000	1,285.80	03/20/20	1,926.92	...	-641.12	Sale <sup>25</sup>
04/06/20	1.000	508.23	04/02/20	657.25	149.02 W	0.00	Sale <sup>25</sup>
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	789.15	04/28/20	763.40	...	25.75	1 of 2 - Sale <sup>25</sup>
	1.000	834.16	04/28/20	763.41	...	70.75	2 of 2 - Sale <sup>25</sup>
04/30/20	2.000	1,623.31	Various	1,526.81	...	96.50	Total of 2 transactions
04/30/20	1.000	834.16	04/28/20	912.42	78.26 W	0.00	Sale <sup>25</sup>
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	779.96	04/30/20	870.98	...	-91.02	1 of 2 - Sale <sup>25</sup>
	1.000	779.96	05/06/20	772.80	...	7.16	2 of 2 - Sale <sup>25</sup>
05/07/20	2.000	1,559.92	Various	1,643.78	...	-83.86	Total of 2 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	31.000	28,619.09	06/15/20	29,434.14	...	-815.05	1 of 2 - Sale <sup>25</sup>
	19.000	17,540.74	06/15/20	18,040.46	...	-499.72	2 of 2 - Sale <sup>25</sup>
06/15/20	50.000	46,159.83	Various	47,474.60	...	-1,314.77	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	19.000	17,295.02	06/12/20	17,762.72	467.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	31.000	28,218.18	06/12/20	28,980.97	762.79 W	0.00	2 of 2 - Sale <sup>25</sup>
06/15/20	50.000	45,513.20	Various	46,743.69	1,230.49 W	0.00	Total of 2 transactions
4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.966	1,295.72	08/03/20	579.41	...	716.31	1 of 4 - Sale <sup>25</sup>
	3.448	2,272.24	08/03/20	1,000.00	...	1,272.24	2 of 4 - Sale <sup>25</sup>
	14.996	9,883.28	08/03/20	4,420.59	...	5,462.69	3 of 4 - Sale <sup>25</sup>
	29.590	19,501.02	08/03/20	8,792.13	...	10,708.89	4 of 4 - Sale <sup>25</sup>
12/21/20	50.000	32,952.26	Various	14,792.13	...	18,160.13	Total of 4 transactions
	<b>Security total:</b>	<b>133,740.60</b>		<b>120,009.67</b>	<b>2,169.94 W</b>	<b>15,900.87</b>	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	200.000	6,341.85	06/29/20	6,699.70	...	-357.85	1 of 4 - Sale <sup>25</sup>
	100.000	3,170.93	06/29/20	3,456.26	...	-285.33	2 of 4 - Sale <sup>25</sup>
	100.000	3,170.92	06/29/20	3,336.00	...	-165.08	3 of 4 - Sale <sup>25</sup>
	100.000	3,170.93	06/29/20	3,336.00	...	-165.07	4 of 4 - Sale <sup>25</sup>
06/29/20	500.000	15,854.63	Various	16,827.96	...	-973.33	Total of 4 transactions
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	100.000	3,169.91	06/29/20	3,236.00	66.09 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	3,169.91	06/29/20	3,293.59	123.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	3,165.92	06/29/20	3,287.18	121.26 W	0.00	3 of 3 - Sale <sup>25</sup>
06/29/20	300.000	9,505.74	Various	9,816.77	311.03 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>25,360.37</b>		<b>26,644.73</b>	<b>311.03 W</b>	<b>-973.33</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	249.00	07/21/20	275.38	...	-26.38	1 of 2 - Sale <sup>25</sup>
	1.000	249.00	07/21/20	262.20	...	-13.20	2 of 2 - Sale <sup>25</sup>
07/24/20	2.000	498.00	Various	537.58	...	-39.58	Total of 2 transactions
07/24/20	18.000	4,482.44	07/21/20	4,719.68	13.18 W	-224.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,980.44</b>		<b>5,257.26</b>	<b>13.18 W</b>	<b>-263.64</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
03/23/20	11.000	266.33	03/19/20	260.24	...	6.09	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
7 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	22.000	396.44	07/13/20	428.08	31.64 W	0.00	1 of 7 - Sale <sup>25</sup>
	28.000	504.26	07/13/20	544.83	40.57 W	0.00	2 of 7 - Sale <sup>25</sup>
	15.000	270.14	07/13/20	313.16	43.02 W	0.00	3 of 7 - Sale <sup>25</sup>
	7.000	126.07	07/13/20	147.27	21.20 W	0.00	4 of 7 - Sale <sup>25</sup>
	93.000	1,674.88	07/13/20	1,822.80	147.92 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	1,800.95	07/13/20	1,961.00	160.05 W	0.00	6 of 7 - Sale <sup>25</sup>
	35.000	630.33	07/13/20	687.33	57.00 W	0.00	7 of 7 - Sale <sup>25</sup>
07/14/20	300.000	5,403.07	Various	5,904.47	501.40 W	0.00	Total of 7 transactions
10 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	7.000	165.62	07/13/20	158.54	...	7.08	1 of 10 - Sale <sup>25</sup>
	15.000	354.89	07/13/20	337.32	...	17.57	2 of 10 - Sale <sup>25</sup>
	10.000	236.59	07/13/20	210.87	...	25.72	3 of 10 - Sale <sup>25</sup>
	18.000	425.87	07/13/20	379.24	...	46.63	4 of 10 - Sale <sup>25</sup>
	57.000	1,348.59	07/13/20	1,209.56	...	139.03	5 of 10 - Sale <sup>25</sup>
	93.000	2,200.33	07/13/20	1,972.57	...	227.76	6 of 10 - Sale <sup>25</sup>
	40.000	946.37	07/15/20	844.02	...	102.35	7 of 10 - Sale <sup>25</sup>
	35.000	859.61	07/24/20	919.05	...	-59.44	8 of 10 - Sale <sup>25</sup>
	3.000	73.68	07/24/20	78.69	...	-5.01	9 of 10 - Sale <sup>25</sup>
	62.000	1,522.74	07/24/20	1,527.06	...	-4.32	10 of 10 - Sale <sup>25</sup>
07/24/20	340.000	8,134.29	Various	7,636.92	...	497.37	Total of 10 transactions
	<b>Security total:</b>	<b>13,537.36</b>		<b>13,541.39</b>	<b>501.40 W</b>	<b>497.37</b>	
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	100.000	24,773.53	07/27/20	25,207.88	...	-434.35	1 of 2 - Sale <sup>25</sup>
	3.000	743.20	07/29/20	758.28	...	-15.08	2 of 2 - Sale <sup>25</sup>
07/30/20	103.000	25,516.73	Various	25,966.16	...	-449.43	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 759988710

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
03/25/20	480.000	8,068.61	03/25/20	8,020.80	...	47.81	Sale <sup>25</sup>
	3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	110.000	1,449.75	03/26/20	1,869.99	...	-420.24	1 of 3 - Sale <sup>25</sup>
	40.000	527.18	03/26/20	689.60	...	-162.42	2 of 3 - Sale <sup>25</sup>
	2.000	26.36	03/26/20	31.89	...	-5.53	3 of 3 - Sale <sup>25</sup>
03/27/20	152.000	2,003.29	Various	2,591.48	...	-588.19	Total of 3 transactions
05/06/20	31.000	341.93	04/29/20	452.56	110.63 W	0.00	Sale <sup>25</sup>
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	10.000	218.80	06/04/20	199.70	...	19.10	1 of 3 - Sale <sup>25</sup>
	50.000	1,094.00	06/04/20	997.00	...	97.00	2 of 3 - Sale <sup>25</sup>
	569.000	12,449.78	06/04/20	11,328.22	...	1,121.56	3 of 3 - Sale <sup>25</sup>
06/05/20	629.000	13,762.58	Various	12,524.92	...	1,237.66	Total of 3 transactions
06/05/20	31.000	678.28	06/04/20	727.81	49.53 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	31.000	488.24	06/24/20	539.93	...	-51.69	1 of 2 - Sale <sup>25</sup>
	169.000	2,661.69	06/24/20	2,673.50	...	-11.81	2 of 2 - Sale <sup>25</sup>
06/24/20	200.000	3,149.93	Various	3,213.43	...	-63.50	Total of 2 transactions
	<b>Security total:</b>	<b>28,004.62</b>		<b>27,531.00</b>	<b>160.16 W</b>	<b>633.78</b>	
<b>Totals :</b>		<b>2,401,951.80</b>		<b>2,305,588.10</b>	<b>20,598.77 W</b>	<b>116,962.47</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 759988710

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		08/13/20	73.80	Qualified dividend	
			11/12/20	57.53	Qualified dividend	
				<b>131.33</b>	<b>Total Dividends &amp; distributions</b>	
				<b>131.33</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 759988710

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/23/20	-5.00	Margin interest paid	
		11/23/20	-5.00	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
		12/21/20	-276.84	Margin interest paid	
			-291.84	Total Margin interest paid	
			<b>-291.84</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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