

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 770691434</b>	Statement Date: 02/14/2021 Document ID: 2B3D C2P 7B5S	2020
	Anuradha Sreepada 915 Blackmore Dr Delaware, OH 43015  RECIPIENT'S TIN: XXX-XX-1534		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      3.26</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.49</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p style="text-align: center;"><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p style="text-align: center;"><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
---	---

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	512,086.79	549,719.66	0.00	13,577.11	-24,055.76
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	5.42	11.52	0.00	0.00	-6.10
	<b>Total Short-term</b>	<b>512,092.21</b>	<b>549,731.18</b>	<b>0.00</b>	<b>13,577.11</b>	<b>-24,061.86</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>512,092.21</b>	<b>549,731.18</b>	<b>0.00</b>	<b>13,577.11</b>	<b>-24,061.86</b>

  

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 770691434

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME****2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	30.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/02/20	1.000	79.98	09/01/20	80.00	...	-0.02	Option sale to close-call <sup>25</sup>
AAPL 11/20/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/23/20	1.000	719.97	09/22/20	680.00	...	39.97	Option sale to close-call <sup>25</sup>
AAPL 11/20/2020 CALL \$117.50 / CUSIP: / Symbol:							
09/22/20	1.000	575.97	09/18/20	550.00	...	25.97	Option sale to close-call <sup>25</sup>
AAPL 11/20/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/08/20	1.000	299.98	09/04/20	275.00	...	24.98	Option sale to close-call <sup>25</sup>
	6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	75.00	09/08/20	270.00	...	-195.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	09/10/20	150.00	...	-75.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	09/14/20	125.00	...	-50.00	3 of 6 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/20/2020 CALL \$150.00 / CUSIP: / Symbol: (cont'd)							
	1.000	74.99	09/15/20	138.00	...	-63.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	75.00	09/16/20	115.00	...	-40.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	74.99	09/16/20	113.00	...	-38.01	6 of 6 - Option sale to close-call <sup>25</sup>
09/29/20	6.000	449.98	Various	911.00	...	-461.02	Total of 6 transactions
	<b>Security total:</b>	<b>749.96</b>		<b>1,186.00</b>	...	<b>-436.04</b>	
AMD 07/17/2020 CALL \$52.50 / CUSIP: / Symbol:							
06/18/20	1.000	389.98	06/18/20	385.00	...	4.98	Option sale to close-call <sup>25</sup>
BA 08/21/2020 CALL \$180.00 / CUSIP: / Symbol:							
07/10/20	3.000	4,874.88	07/09/20	4,650.00	...	224.88	Option sale to close-call <sup>25</sup>
07/13/20	2.000	3,229.91	07/10/20	3,260.00	30.09 W	0.00	Option sale to close-call <sup>25</sup>
	6 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	2.000	2,819.93	07/16/20	3,780.09	...	-960.16	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,409.97	07/20/20	1,215.00	...	194.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,409.96	07/20/20	1,160.00	...	249.96	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,409.97	07/20/20	1,160.00	...	249.97	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,409.97	07/20/20	1,160.00	...	249.97	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	2,819.93	07/20/20	2,460.00	...	359.93	6 of 6 - Option sale to close-call <sup>25</sup>
07/21/20	8.000	11,279.73	Various	10,935.09	...	344.64	Total of 6 transactions
	<b>Security total:</b>	<b>19,384.52</b>		<b>18,845.09</b>	<b>30.09 W</b>	<b>569.52</b>	
BA 09/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	2,029.95	07/21/20	1,850.00	...	179.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,029.95	07/21/20	1,850.00	...	179.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	4,059.90	Various	3,700.00	...	359.90	Total of 2 transactions
BA 09/18/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/05/20	1.000	954.96	07/29/20	955.00	0.04 W	0.00	Option sale to close-call <sup>25</sup>
08/10/20	1.000	959.96	08/06/20	835.04	...	124.92	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,914.92</b>		<b>1,790.04</b>	<b>0.04 W</b>	<b>124.92</b>	
BA 09/18/2020 PUT \$145.00 / CUSIP: / Symbol:							
08/12/20	1.000	163.98	08/11/20	127.00	...	36.98	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 10/16/2020 CALL \$170.00 / CUSIP: / Symbol:							
08/24/20	1.000	1,834.94	08/18/20	1,520.00	...	314.94	Option sale to close-call <sup>25</sup>
BABA 08/21/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/23/20	1.000	1,254.96	06/19/20	1,155.00	...	99.96	Option sale to close-call <sup>25</sup>
BABA 08/21/2020 CALL \$245.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	1,834.95	07/14/20	1,530.00	...	304.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,834.95	07/14/20	1,530.00	...	304.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	3,669.90	Various	3,060.00	...	609.90	Total of 2 transactions
BABA 08/21/2020 PUT \$220.00 / CUSIP: / Symbol:							
06/24/20	1.000	969.97	06/23/20	950.00	...	19.97	Option sale to close-put <sup>25</sup>
06/24/20	1.000	969.97	06/23/20	980.00	10.03 W	0.00	Option sale to close-put <sup>25</sup>
13 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	464.99	07/06/20	460.00	...	4.99	1 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/08/20	300.00	...	164.99	2 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/09/20	254.00	...	210.99	3 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.98	07/09/20	230.00	...	234.98	4 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.98	07/09/20	230.00	...	234.98	5 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/09/20	230.00	...	234.99	6 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/10/20	715.04	...	-250.05	7 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.98	07/10/20	703.01	...	-238.03	8 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/10/20	643.01	...	-178.02	9 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/10/20	256.00	...	208.99	10 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.98	07/10/20	250.00	...	214.98	11 of 13 - Option sale to close-put <sup>25</sup>
	2.000	929.97	07/10/20	506.00	...	423.97	12 of 13 - Option sale to close-put <sup>25</sup>
	1.000	464.98	07/13/20	224.01	...	240.97	13 of 13 - Option sale to close-put <sup>25</sup>
07/14/20	14.000	6,509.80	Various	5,001.07	...	1,508.73	Total of 13 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 08/21/2020 PUT \$220.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	464.99	07/02/20	930.03	465.04 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/02/20	920.00	455.01 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/02/20	870.00	405.01 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	464.99	07/06/20	480.00	15.01 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
07/14/20	4.000	1,859.96	Various	3,200.03	1,340.07 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>10,309.70</b>		<b>10,131.10</b>	<b>1,350.10 W</b>	<b>1,528.70</b>	
BABA 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/23/20	2.000	3,349.91	07/22/20	3,080.00	...	269.91	Option sale to close-call <sup>25</sup>
BABA 09/18/2020 CALL \$260.00 / CUSIP: / Symbol:							
6 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	1,209.97	07/21/20	2,080.04	...	-870.07	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,209.97	07/22/20	1,150.00	...	59.97	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,209.97	07/28/20	980.00	...	229.97	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,209.97	07/28/20	980.00	...	229.97	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,209.97	07/28/20	980.00	...	229.97	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,209.97	07/28/20	935.00	...	274.97	6 of 6 - Option sale to close-call <sup>25</sup>
08/03/20	6.000	7,259.82	Various	7,105.04	...	154.78	Total of 6 transactions
08/03/20	1.000	1,199.96	07/21/20	1,640.00	440.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>8,459.78</b>		<b>8,745.04</b>	<b>440.04 W</b>	<b>154.78</b>	
BABA 09/18/2020 PUT \$260.00 / CUSIP: / Symbol:							
08/04/20	1.000	1,409.95	08/03/20	1,370.00	...	39.95	Option sale to close-put <sup>25</sup>
08/06/20	1.000	1,399.95	08/04/20	1,370.00	...	29.95	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,809.90</b>		<b>2,740.00</b>	...	<b>69.90</b>	
BBY 10/16/2020 CALL \$115.00 / CUSIP: / Symbol:							
08/26/20	1.000	519.97	08/25/20	370.00	...	149.97	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 07/17/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	3.000	779.97	06/19/20	840.00	...	-60.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.99	06/19/20	280.00	...	-20.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	4.000	1,039.96	Various	1,120.00	...	-80.04	Total of 2 transactions
CRM 10/16/2020 PUT \$250.00 / CUSIP: / Symbol:							
08/28/20	1.000	899.97	08/27/20	865.00	...	34.97	Option sale to close-put <sup>25</sup>
DIS 08/21/2020 CALL \$115.00 / CUSIP: / Symbol:							
14 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	2,974.93	06/23/20	4,175.00	...	-1,200.07	1 of 14 - Option sale to close-call <sup>25</sup>
	3.000	1,784.95	06/24/20	1,845.00	...	-60.05	2 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.98	06/25/20	510.00	...	84.98	3 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.99	06/25/20	500.00	...	94.99	4 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.99	07/02/20	500.00	...	94.99	5 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.98	07/02/20	495.00	...	99.98	6 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.98	07/02/20	490.00	...	104.98	7 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.99	07/02/20	490.00	...	104.99	8 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.99	07/02/20	490.00	...	104.99	9 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.98	07/02/20	485.00	...	109.98	10 of 14 - Option sale to close-call <sup>25</sup>
	2.000	1,189.97	07/02/20	1,000.00	...	189.97	11 of 14 - Option sale to close-call <sup>25</sup>
	2.000	1,189.97	07/02/20	960.00	...	229.97	12 of 14 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 08/21/2020 CALL \$115.00 / CUSIP: / Symbol: (cont'd)							
	1.000	594.98	07/07/20	500.00	...	94.98	13 of 14 - Option sale to close-call <sup>25</sup>
	1.000	594.99	07/07/20	500.00	...	94.99	14 of 14 - Option sale to close-call <sup>25</sup>
07/08/20	22.000	13,089.67	Various	12,940.00	...	149.67	Total of 14 transactions
DIS 08/21/2020 PUT \$115.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	3.000	1,439.96	07/10/20	1,440.00	...	-0.04	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	479.98	07/10/20	465.00	...	14.98	2 of 2 - Option sale to close-put <sup>25</sup>
07/13/20	4.000	1,919.94	Various	1,905.00	...	14.94	Total of 2 transactions
DIS 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	609.97	07/08/20	610.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	609.97	07/08/20	610.00	...	-0.03	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	2.000	1,219.94	Various	1,220.00	...	-0.06	Total of 2 transactions
DIS 09/18/2020 PUT \$120.00 / CUSIP: / Symbol:							
07/22/20	2.000	1,479.95	07/21/20	1,330.00	...	149.95	Option sale to close-put <sup>25</sup>
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	684.97	07/22/20	670.00	...	14.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	684.97	07/22/20	670.00	...	14.97	2 of 2 - Option sale to close-put <sup>25</sup>
07/23/20	2.000	1,369.94	Various	1,340.00	...	29.94	Total of 2 transactions
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	111.99	08/04/20	650.00	538.01 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	112.00	08/05/20	210.00	98.00 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	111.99	08/05/20	206.00	94.01 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
08/19/20	3.000	335.98	Various	1,066.00	730.02 W	0.00	Total of 3 transactions
6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	08/07/20	341.00	...	-236.01	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	105.00	08/07/20	337.02	...	-232.02	2 of 6 - Option sale to close-put <sup>25</sup>
	3.000	314.98	08/07/20	474.00	...	-159.02	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	105.00	08/07/20	160.00	...	-55.00	4 of 6 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 09/18/2020 PUT \$120.00 / CUSIP: / Symbol: (cont'd)							
	1.000	104.99	08/12/20	845.03	...	-740.04	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	104.98	08/12/20	194.01	...	-89.03	6 of 6 - Option sale to close-put <sup>25</sup>
08/24/20	8.000	839.94	Various	2,351.06	...	-1,511.12	Total of 6 transactions
5 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	104.98	08/05/20	740.01	635.03 W	0.00	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	105.00	08/06/20	288.00	183.00 W	0.00	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	104.99	08/06/20	284.01	179.02 W	0.00	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	105.00	08/06/20	824.03	719.03 W	0.00	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	104.99	08/06/20	175.00	70.01 W	0.00	5 of 5 - Option sale to close-put <sup>25</sup>
08/24/20	5.000	524.96	Various	2,311.05	1,786.09 W	0.00	Total of 5 transactions
<b>Security total:</b>		<b>4,550.77</b>		<b>8,398.11</b>	<b>2,516.11 W</b>	<b>-1,331.23</b>	
DIS 10/16/2020 PUT \$130.00 / CUSIP: / Symbol:							
08/27/20	1.000	404.98	08/26/20	500.00	...	-95.02	Option sale to close-put <sup>25</sup>
F 08/21/2020 PUT \$6.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.000	93.99	07/06/20	124.02	...	-30.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	46.99	07/06/20	65.02	...	-18.03	2 of 2 - Option sale to close-put <sup>25</sup>
07/07/20	3.000	140.98	Various	189.04	...	-48.06	Total of 2 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	46.98	06/29/20	65.00	18.02 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	93.98	06/29/20	130.00	36.02 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
07/07/20	3.000	140.96	Various	195.00	54.04 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>281.94</b>		<b>384.04</b>	<b>54.04 W</b>	<b>-48.06</b>	
GLD 10/16/2020 CALL \$210.00 / CUSIP: / Symbol:							
5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	23.00	08/28/20	90.00	...	-67.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	23.00	09/02/20	51.00	...	-28.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	45.99	09/03/20	76.00	...	-30.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	22.99	09/03/20	42.00	...	-19.01	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	23.00	09/03/20	38.00	...	-15.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/14/20	6.000	137.98	Various	297.00	...	-159.02	Total of 5 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KPTI 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	3.000	173.98	06/03/20	375.00	...	-201.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	115.98	06/03/20	250.00	...	-134.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/12/20	5.000	289.96	Various	625.00	...	-335.04	Total of 2 transactions
KPTI 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/03/20	6.000	167.98	06/01/20	120.00	...	47.98	Option sale to close-call <sup>25</sup>
06/12/20	1.000	4.98	06/03/20	17.00	12.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	06/09/20	34.01	...	-24.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/09/20	17.02	...	-12.03	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	3.000	14.98	Various	51.03	...	-36.05	Total of 2 transactions
06/16/20	5.000	24.98	06/03/20	85.00	24.01 W	-36.01	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>212.92</b>		<b>273.03</b>	<b>36.03 W</b>	<b>-24.08</b>	
KPTI 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/16/20	1.000	309.98	06/12/20	250.00	...	59.98	Option sale to close-call <sup>25</sup>
KPTI 07/17/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/18/20	1.000	249.98	06/16/20	230.00	...	19.98	Option sale to close-call <sup>25</sup>
KPTI 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/18/20	1.000	149.98	06/16/20	140.00	...	9.98	Option sale to close-call <sup>25</sup>
NFLX 09/18/2020 CALL \$495.00 / CUSIP: / Symbol:							
07/28/20	1.000	2,939.92	07/27/20	2,940.00	...	-0.08	Option sale to close-call <sup>25</sup>
NFLX 09/18/2020 CALL \$560.00 / CUSIP: / Symbol:							
08/03/20	1.000	829.97	07/28/20	825.00	...	4.97	Option sale to close-call <sup>25</sup>
NFLX 09/18/2020 PUT \$420.00 / CUSIP: / Symbol:							
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	334.99	08/03/20	455.00	...	-120.01	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	334.99	08/05/20	325.00	...	9.99	2 of 4 - Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 09/18/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	1.000	334.99	08/06/20	288.00	...	46.99	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	334.99	08/06/20	270.00	...	64.99	4 of 4 - Option sale to close-put <sup>25</sup>
08/07/20	4.000	1,339.96	Various	1,338.00	...	1.96	Total of 4 transactions
NFLX 10/16/2020 CALL \$490.00 / CUSIP: / Symbol:							
08/26/20	1.000	4,229.89	08/24/20	3,100.00	...	1,129.89	Option sale to close-call <sup>25</sup>
NFLX 10/16/2020 PUT \$475.00 / CUSIP: / Symbol:							
09/03/20	1.000	2,149.94	08/31/20	1,980.00	...	169.94	Option sale to close-put <sup>25</sup>
NFLX 10/16/2020 PUT \$525.00 / CUSIP: / Symbol:							
08/28/20	1.000	4,224.89	08/27/20	3,700.00	...	524.89	Option sale to close-put <sup>25</sup>
NFLX 10/16/2020 PUT \$530.00 / CUSIP: / Symbol:							
08/27/20	1.000	3,724.90	08/26/20	3,700.00	...	24.90	Option sale to close-put <sup>25</sup>
OKTA 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/18/20	3.000	3,359.91	06/18/20	3,210.00	...	149.91	Option sale to close-call <sup>25</sup>
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1,049.97	06/18/20	899.00	...	150.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	1,049.98	06/18/20	899.00	...	150.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	3,149.92	06/18/20	2,880.00	...	269.92	3 of 3 - Option sale to close-call <sup>25</sup>
06/22/20	5.000	5,249.87	Various	4,678.00	...	571.87	Total of 3 transactions
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	969.98	06/22/20	870.00	...	99.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	4,849.88	06/22/20	4,450.00	...	399.88	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/20	6.000	5,819.86	Various	5,320.00	...	499.86	Total of 2 transactions
06/24/20	1.000	879.97	06/23/20	860.00	...	19.97	Option sale to close-call <sup>25</sup>
06/25/20	1.000	809.97	06/24/20	720.00	...	89.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>16,119.58</b>		<b>14,788.00</b>	...	<b>1,331.58</b>	
OKTA 07/17/2020 PUT \$200.00 / CUSIP: / Symbol:							
06/18/20	2.000	2,519.93	06/18/20	2,340.00	...	179.93	Option sale to close-put <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 08/21/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/15/20	2.000	3,219.91	07/14/20	2,690.00	...	529.91	Option sale to close-call <sup>25</sup>
07/17/20	1.000	1,499.95	07/16/20	1,400.00	...	99.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,719.86</b>		<b>4,090.00</b>	...	<b>629.86</b>	
OKTA 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/07/20	3.000	4,124.89	07/06/20	3,780.00	...	344.89	Option sale to close-call <sup>25</sup>
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	2,084.94	07/10/20	1,970.00	...	114.94	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	2,084.95	07/10/20	1,970.00	...	114.95	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	2,084.95	07/10/20	1,905.00	...	179.95	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	2,084.95	07/10/20	1,885.00	...	199.95	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	2,084.95	07/10/20	1,880.00	...	204.95	5 of 5 - Option sale to close-call <sup>25</sup>
07/13/20	5.000	10,424.74	Various	9,610.00	...	814.74	Total of 5 transactions
07/15/20	2.000	2,239.94	07/14/20	1,880.00	...	359.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>16,789.57</b>		<b>15,270.00</b>	...	<b>1,519.57</b>	
OKTA 08/21/2020 CALL \$220.00 / CUSIP: / Symbol:							
	3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	1.000	859.98	07/14/20	935.04	...	-75.06	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	859.98	07/14/20	700.00	...	159.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	859.97	07/16/20	850.09	...	9.88	3 of 3 - Option sale to close-call <sup>25</sup>
07/20/20	3.000	2,579.93	Various	2,485.13	...	94.80	Total of 3 transactions
	5 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	1.000	859.98	07/13/20	1,285.00	425.02 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	859.97	07/13/20	1,405.03	545.06 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	859.97	07/13/20	1,285.00	425.03 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	859.98	07/14/20	1,095.02	235.04 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	859.97	07/14/20	1,160.06	300.09 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
07/20/20	5.000	4,299.87	Various	6,230.11	1,930.24 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>6,879.80</b>		<b>8,715.24</b>	<b>1,930.24 W</b>	<b>94.80</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 08/21/2020 PUT \$210.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	1,419.96	07/02/20	1,370.00	...	49.96	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,419.96	07/02/20	1,370.00	...	49.96	2 of 2 - Option sale to close-put <sup>25</sup>
07/06/20	2.000	2,839.92	Various	2,740.00	...	99.92	Total of 2 transactions
OKTA 09/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
08/18/20	1.000	1,534.95	08/10/20	1,510.00	...	24.95	Option sale to close-call <sup>25</sup>
OKTA 09/18/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1,599.95	07/23/20	1,600.00	...	-0.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,599.96	07/23/20	1,600.00	...	-0.04	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	2.000	3,199.91	Various	3,200.00	...	-0.09	Total of 2 transactions
OKTA 09/18/2020 PUT \$160.00 / CUSIP: / Symbol:							
07/31/20	1.000	169.98	07/30/20	160.00	...	9.98	Option sale to close-put <sup>25</sup>
PINS 06/19/2020 PUT \$22.00 / CUSIP: / Symbol:							
06/11/20	1.000	149.98	06/10/20	90.00	...	59.98	Option sale to close-put <sup>25</sup>
SBUX 10/16/2020 PUT \$82.50 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	282.99	08/28/20	290.00	...	-7.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	282.98	08/28/20	262.00	...	20.98	2 of 2 - Option sale to close-put <sup>25</sup>
08/31/20	2.000	565.97	Various	552.00	...	13.97	Total of 2 transactions
SLV 10/16/2020 CALL \$31.00 / CUSIP: / Symbol:							
08/31/20	1.000	65.98	08/28/20	65.00	...	0.98	Option sale to close-call <sup>25</sup>
TSLA 08/21/2020 CALL \$1,500.00 / CUSIP: / Symbol:							
07/09/20	1.000	11,299.74	07/08/20	11,050.00	...	249.74	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 08/21/2020 CALL \$2,600.00 / CUSIP: / Symbol:							
6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	354.99	07/13/20	9,850.00	...	-9,495.01	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	07/20/20	2,400.00	...	-2,045.01	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	07/20/20	2,070.00	...	-1,715.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	07/21/20	2,810.00	...	-2,455.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	07/23/20	780.00	...	-425.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	354.99	07/23/20	770.00	...	-415.01	6 of 6 - Option sale to close-call <sup>25</sup>
07/24/20	6.000	2,129.94	Various	18,680.00	...	-16,550.06	Total of 6 transactions
TSLA 09/18/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	2.000	1,019.97	07/24/20	1,020.00	...	-0.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	509.98	07/24/20	510.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	509.99	07/24/20	510.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/27/20	4.000	2,039.94	Various	2,040.00	...	-0.06	Total of 3 transactions
TSLA 10/16/2020 PUT \$600.00 / CUSIP: / Symbol:							
08/19/20	1.000	344.98	08/17/20	330.00	...	14.98	Option sale to close-put <sup>25</sup>
TSLA 11/20/2020 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	2,499.94	09/03/20	2,010.00	...	489.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,499.93	09/09/20	710.00	...	1,789.93	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	4,999.87	Various	2,720.00	...	2,279.87	Total of 2 transactions
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	159.99	09/16/20	2,050.00	...	-1,890.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	09/23/20	645.00	...	-485.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	09/29/20	470.00	...	-310.01	3 of 3 - Option sale to close-call <sup>25</sup>
10/12/20	3.000	479.97	Various	3,165.00	...	-2,685.03	Total of 3 transactions
	<b>Security total:</b>	<b>5,479.84</b>		<b>5,885.00</b>	...	<b>-405.16</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol:							
36 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
53.000		152.10	01/08/20	156.37	4.27 W	0.00	1 of 36 - Sale <sup>25</sup>
243.000		697.36	01/08/20	716.94	19.58 W	0.00	2 of 36 - Sale <sup>25</sup>
21.000		60.27	01/08/20	61.95	1.68 W	0.00	3 of 36 - Sale <sup>25</sup>
57.000		163.58	01/08/20	168.17	4.59 W	0.00	4 of 36 - Sale <sup>25</sup>
122.000		350.11	01/08/20	359.94	9.83 W	0.00	5 of 36 - Sale <sup>25</sup>
200.000		573.96	01/08/20	590.07	16.11 W	0.00	6 of 36 - Sale <sup>25</sup>
400.000		1,147.92	01/08/20	1,180.14	32.22 W	0.00	7 of 36 - Sale <sup>25</sup>
61.000		175.06	01/08/20	179.97	4.91 W	0.00	8 of 36 - Sale <sup>25</sup>
139.000		398.90	01/08/20	410.10	11.20 W	0.00	9 of 36 - Sale <sup>25</sup>
39.000		111.93	01/08/20	115.06	3.13 W	0.00	10 of 36 - Sale <sup>25</sup>
61.000		175.06	01/08/20	179.97	4.91 W	0.00	11 of 36 - Sale <sup>25</sup>
100.000		286.99	01/08/20	295.03	8.04 W	0.00	12 of 36 - Sale <sup>25</sup>
410.000		1,176.62	01/08/20	1,209.64	33.02 W	0.00	13 of 36 - Sale <sup>25</sup>
7.000		20.09	01/08/20	20.65	0.56 W	0.00	14 of 36 - Sale <sup>25</sup>
116.000		332.90	01/08/20	342.24	9.34 W	0.00	15 of 36 - Sale <sup>25</sup>
116.000		332.90	01/08/20	335.28	2.38 W	0.00	16 of 36 - Sale <sup>25</sup>
174.000		499.35	01/08/20	515.10	15.75 W	0.00	17 of 36 - Sale <sup>25</sup>
488.000		1,400.46	01/08/20	1,444.65	44.19 W	0.00	18 of 36 - Sale <sup>25</sup>
289.000		829.38	01/08/20	852.65	23.27 W	0.00	19 of 36 - Sale <sup>25</sup>
200.000		573.96	01/08/20	592.08	18.12 W	0.00	20 of 36 - Sale <sup>25</sup>
266.000		763.37	01/08/20	787.45	24.08 W	0.00	21 of 36 - Sale <sup>25</sup>
338.000		970.00	01/08/20	1,000.60	30.60 W	0.00	22 of 36 - Sale <sup>25</sup>
50.000		143.49	01/08/20	147.02	3.53 W	0.00	23 of 36 - Sale <sup>25</sup>
84.000		241.06	01/08/20	252.88	11.82 W	0.00	24 of 36 - Sale <sup>25</sup>
348.000		998.70	01/08/20	1,047.67	48.97 W	0.00	25 of 36 - Sale <sup>25</sup>
418.000		1,199.58	01/08/20	1,237.43	37.85 W	0.00	26 of 36 - Sale <sup>25</sup>
48.000		137.75	01/08/20	144.50	6.75 W	0.00	27 of 36 - Sale <sup>25</sup>
152.000		436.21	01/08/20	457.60	21.39 W	0.00	28 of 36 - Sale <sup>25</sup>
27.000		77.49	01/08/20	80.73	3.24 W	0.00	29 of 36 - Sale <sup>25</sup>
33.000		94.70	01/08/20	98.68	3.98 W	0.00	30 of 36 - Sale <sup>25</sup>
68.000		195.15	01/08/20	203.33	8.18 W	0.00	31 of 36 - Sale <sup>25</sup>
100.000		286.98	01/08/20	299.02	12.04 W	0.00	32 of 36 - Sale <sup>25</sup>
149.000		427.60	01/08/20	445.53	17.93 W	0.00	33 of 36 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	239.000	685.89	01/08/20	714.63	28.74 W	0.00	34 of 36 - Sale <sup>25</sup>
	384.000	1,102.01	01/08/20	1,148.22	46.21 W	0.00	35 of 36 - Sale <sup>25</sup>
	400.000	1,147.93	01/08/20	1,191.97	44.04 W	0.00	36 of 36 - Sale <sup>25</sup>
01/08/20	6,400.000	18,366.81	Various	18,983.26	616.45 W	0.00	Total of 36 transactions
	11 transactions for 01/13/20. Total proceeds and cost reported to the IRS.						
	410.000	1,205.33	01/13/20	1,222.02	...	-16.69	1 of 11 - Sale <sup>25</sup>
	258.000	758.47	01/13/20	768.98	...	-10.51	2 of 11 - Sale <sup>25</sup>
	139.000	408.63	01/13/20	414.30	...	-5.67	3 of 11 - Sale <sup>25</sup>
	100.000	293.98	01/13/20	298.04	...	-4.06	4 of 11 - Sale <sup>25</sup>
	87.000	255.76	01/13/20	259.31	...	-3.55	5 of 11 - Sale <sup>25</sup>
	61.000	179.33	01/13/20	181.81	...	-2.48	6 of 11 - Sale <sup>25</sup>
	61.000	179.33	01/13/20	181.81	...	-2.48	7 of 11 - Sale <sup>25</sup>
	60.000	176.39	01/13/20	178.83	...	-2.44	8 of 11 - Sale <sup>25</sup>
	55.000	161.69	01/13/20	163.93	...	-2.24	9 of 11 - Sale <sup>25</sup>
	39.000	114.65	01/13/20	116.23	...	-1.58	10 of 11 - Sale <sup>25</sup>
	4.000	11.76	01/13/20	11.82	...	-0.06	11 of 11 - Sale <sup>25</sup>
01/13/20	1,274.000	3,745.32	Various	3,797.08	...	-51.76	Total of 11 transactions
	26 transactions for 01/13/20. Total proceeds and cost reported to the IRS.						
	133.000	390.99	01/13/20	396.41	2.97 W	-2.45	1 of 26 - Sale <sup>25</sup>
	8.000	23.52	01/13/20	24.48	0.96 W	0.00	2 of 26 - Sale <sup>25</sup>
	100.000	293.98	01/13/20	306.05	12.07 W	0.00	3 of 26 - Sale <sup>25</sup>
	160.000	470.37	01/13/20	489.69	19.32 W	0.00	4 of 26 - Sale <sup>25</sup>
	84.000	246.94	01/13/20	257.08	10.14 W	0.00	5 of 26 - Sale <sup>25</sup>
	100.000	293.98	01/13/20	306.05	12.07 W	0.00	6 of 26 - Sale <sup>25</sup>
	16.000	47.04	01/13/20	48.97	1.93 W	0.00	7 of 26 - Sale <sup>25</sup>
	79.000	232.25	01/13/20	241.78	9.53 W	0.00	8 of 26 - Sale <sup>25</sup>
	5.000	14.70	01/13/20	15.30	0.60 W	0.00	9 of 26 - Sale <sup>25</sup>
	12.000	35.28	01/13/20	36.72	1.44 W	0.00	10 of 26 - Sale <sup>25</sup>
	13.000	38.22	01/13/20	39.79	1.57 W	0.00	11 of 26 - Sale <sup>25</sup>
	20.000	58.80	01/13/20	61.21	2.41 W	0.00	12 of 26 - Sale <sup>25</sup>
	21.000	61.74	01/13/20	62.58	0.84 W	0.00	13 of 26 - Sale <sup>25</sup>
	35.000	102.89	01/13/20	107.11	4.22 W	0.00	14 of 26 - Sale <sup>25</sup>
	41.000	120.53	01/13/20	125.48	4.95 W	0.00	15 of 26 - Sale <sup>25</sup>
	47.000	138.17	01/13/20	143.84	5.67 W	0.00	16 of 26 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	47.000	138.17	01/13/20	143.84	5.67 W	0.00	17 of 26 - Sale <sup>25</sup>
	53.000	155.81	01/13/20	162.21	6.40 W	0.00	18 of 26 - Sale <sup>25</sup>
	53.000	155.81	01/13/20	157.97	2.16 W	0.00	19 of 26 - Sale <sup>25</sup>
	57.000	167.57	01/13/20	169.89	2.32 W	0.00	20 of 26 - Sale <sup>25</sup>
	59.000	173.45	01/13/20	180.57	7.12 W	0.00	21 of 26 - Sale <sup>25</sup>
	55.000	161.69	01/13/20	168.32	6.63 W	0.00	22 of 26 - Sale <sup>25</sup>
	100.000	293.98	01/13/20	306.05	12.07 W	0.00	23 of 26 - Sale <sup>25</sup>
	122.000	358.65	01/13/20	363.63	4.98 W	0.00	24 of 26 - Sale <sup>25</sup>
	67.000	196.97	01/13/20	199.70	2.73 W	0.00	25 of 26 - Sale <sup>25</sup>
	243.000	714.38	01/13/20	724.28	9.90 W	0.00	26 of 26 - Sale <sup>25</sup>
01/13/20	1,730.000	5,085.88	Various	5,239.00	150.67 W	-2.45	Total of 26 transactions
	22 transactions for 01/16/20. Total proceeds and cost reported to the IRS.						
	100.000	288.98	01/16/20	296.07	...	-7.09	1 of 22 - Sale <sup>25</sup>
	82.000	236.96	01/16/20	242.78	...	-5.82	2 of 22 - Sale <sup>25</sup>
	79.000	228.30	01/16/20	233.89	...	-5.59	3 of 22 - Sale <sup>25</sup>
	59.000	170.50	01/16/20	174.68	...	-4.18	4 of 22 - Sale <sup>25</sup>
	55.000	158.94	01/16/20	162.83	...	-3.89	5 of 22 - Sale <sup>25</sup>
	53.000	153.16	01/16/20	156.92	...	-3.76	6 of 22 - Sale <sup>25</sup>
	47.000	135.82	01/16/20	139.15	...	-3.33	7 of 22 - Sale <sup>25</sup>
	47.000	135.82	01/16/20	139.15	...	-3.33	8 of 22 - Sale <sup>25</sup>
	41.000	118.48	01/16/20	121.39	...	-2.91	9 of 22 - Sale <sup>25</sup>
	35.000	101.14	01/16/20	103.62	...	-2.48	10 of 22 - Sale <sup>25</sup>
	20.000	57.80	01/16/20	59.21	...	-1.41	11 of 22 - Sale <sup>25</sup>
	16.000	46.24	01/16/20	47.37	...	-1.13	12 of 22 - Sale <sup>25</sup>
	13.000	37.57	01/16/20	38.49	...	-0.92	13 of 22 - Sale <sup>25</sup>
	12.000	34.68	01/16/20	35.52	...	-0.84	14 of 22 - Sale <sup>25</sup>
	5.000	14.45	01/16/20	14.80	...	-0.35	15 of 22 - Sale <sup>25</sup>
	21.000	60.69	01/16/20	60.48	...	0.21	16 of 22 - Sale <sup>25</sup>
	53.000	153.16	01/16/20	152.68	...	0.48	17 of 22 - Sale <sup>25</sup>
	57.000	164.72	01/16/20	164.20	...	0.52	18 of 22 - Sale <sup>25</sup>
	67.000	193.62	01/16/20	193.01	...	0.61	19 of 22 - Sale <sup>25</sup>
	73.000	210.95	01/16/20	210.29	...	0.66	20 of 22 - Sale <sup>25</sup>
	122.000	352.56	01/16/20	351.46	...	1.10	21 of 22 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
01/16/20	243.000	702.22	01/16/20	700.02	...	2.20	22 of 22 - Sale <sup>25</sup>
	1,300.000	3,756.76	Various	3,798.01	...	-41.25	Total of 22 transactions
	37 transactions for 01/16/20. Total proceeds and cost reported to the IRS.						
	150.000	433.47	01/15/20	448.58	15.11 W	0.00	1 of 37 - Sale <sup>25</sup>
	7.000	20.23	01/15/20	20.93	0.70 W	0.00	2 of 37 - Sale <sup>25</sup>
	75.000	216.74	01/15/20	224.29	7.55 W	0.00	3 of 37 - Sale <sup>25</sup>
	116.000	335.22	01/15/20	346.90	11.68 W	0.00	4 of 37 - Sale <sup>25</sup>
	116.000	335.22	01/15/20	339.94	4.72 W	0.00	5 of 37 - Sale <sup>25</sup>
	124.000	358.34	01/15/20	372.07	13.73 W	0.00	6 of 37 - Sale <sup>25</sup>
	174.000	502.83	01/15/20	522.09	19.26 W	0.00	7 of 37 - Sale <sup>25</sup>
	488.000	1,410.23	01/15/20	1,464.27	54.04 W	0.00	8 of 37 - Sale <sup>25</sup>
	170.000	491.27	01/15/20	510.09	18.82 W	0.00	9 of 37 - Sale <sup>25</sup>
	200.000	577.96	01/15/20	600.12	22.16 W	0.00	10 of 37 - Sale <sup>25</sup>
	214.000	618.42	01/15/20	642.11	23.69 W	0.00	11 of 37 - Sale <sup>25</sup>
	266.000	768.69	01/15/20	798.14	29.45 W	0.00	12 of 37 - Sale <sup>25</sup>
	2.000	5.78	01/15/20	6.06	0.28 W	0.00	13 of 37 - Sale <sup>25</sup>
	48.000	138.71	01/15/20	146.43	7.72 W	0.00	14 of 37 - Sale <sup>25</sup>
	50.000	144.49	01/15/20	149.03	4.54 W	0.00	15 of 37 - Sale <sup>25</sup>
	68.000	196.51	01/15/20	206.06	9.55 W	0.00	16 of 37 - Sale <sup>25</sup>
	84.000	242.74	01/15/20	256.26	13.52 W	0.00	17 of 37 - Sale <sup>25</sup>
	152.000	439.25	01/15/20	463.71	24.46 W	0.00	18 of 37 - Sale <sup>25</sup>
	248.000	716.68	01/15/20	744.14	27.46 W	0.00	19 of 37 - Sale <sup>25</sup>
	348.000	1,005.66	01/15/20	1,061.65	55.99 W	0.00	20 of 37 - Sale <sup>25</sup>
	27.000	78.03	01/15/20	81.81	3.78 W	0.00	21 of 37 - Sale <sup>25</sup>
	33.000	95.36	01/15/20	100.01	4.65 W	0.00	22 of 37 - Sale <sup>25</sup>
	70.000	202.29	01/15/20	211.41	9.12 W	0.00	23 of 37 - Sale <sup>25</sup>
	100.000	288.98	01/15/20	303.04	14.06 W	0.00	24 of 37 - Sale <sup>25</sup>
	149.000	430.58	01/15/20	451.52	20.94 W	0.00	25 of 37 - Sale <sup>25</sup>
	239.000	690.67	01/15/20	724.23	33.56 W	0.00	26 of 37 - Sale <sup>25</sup>
	382.000	1,103.91	01/15/20	1,157.59	53.68 W	0.00	27 of 37 - Sale <sup>25</sup>
	300.000	866.95	01/16/20	885.03	18.08 W	0.00	28 of 37 - Sale <sup>25</sup>
	8.000	23.12	01/16/20	23.68	0.56 W	0.00	29 of 37 - Sale <sup>25</sup>
	30.000	86.69	01/16/20	88.50	1.81 W	0.00	30 of 37 - Sale <sup>25</sup>
	62.000	179.17	01/16/20	183.57	4.40 W	0.00	31 of 37 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	2.000	5.78	01/16/20	5.92	0.14 W	0.00	32 of 37 - Sale <sup>25</sup>
	98.000	283.20	01/16/20	290.15	6.95 W	0.00	33 of 37 - Sale <sup>25</sup>
	2.000	5.78	01/16/20	5.92	0.14 W	0.00	34 of 37 - Sale <sup>25</sup>
	98.000	283.20	01/16/20	290.15	6.95 W	0.00	35 of 37 - Sale <sup>25</sup>
	18.000	52.02	01/16/20	53.29	1.27 W	0.00	36 of 37 - Sale <sup>25</sup>
	82.000	236.97	01/16/20	242.78	5.81 W	0.00	37 of 37 - Sale <sup>25</sup>
01/16/20	4,800.000	13,871.14	Various	14,421.47	550.33 W	0.00	Total of 37 transactions
	37 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	382.000	1,058.07	02/13/20	1,528.20	...	-470.13	1 of 37 - Sale <sup>25</sup>
	300.000	830.94	02/13/20	1,176.08	...	-345.14	2 of 37 - Sale <sup>25</sup>
	239.000	661.99	02/13/20	956.10	...	-294.11	3 of 37 - Sale <sup>25</sup>
	230.000	637.06	02/13/20	912.11	...	-275.05	4 of 37 - Sale <sup>25</sup>
	214.000	592.74	02/13/20	848.66	...	-255.92	5 of 37 - Sale <sup>25</sup>
	200.000	553.96	02/13/20	804.18	...	-250.22	6 of 37 - Sale <sup>25</sup>
	200.000	553.96	02/13/20	793.16	...	-239.20	7 of 37 - Sale <sup>25</sup>
	200.000	553.96	02/13/20	793.15	...	-239.19	8 of 37 - Sale <sup>25</sup>
	170.000	470.87	02/13/20	674.17	...	-203.30	9 of 37 - Sale <sup>25</sup>
	149.000	412.70	02/13/20	596.08	...	-183.38	10 of 37 - Sale <sup>25</sup>
	130.000	360.08	02/13/20	522.71	...	-162.63	11 of 37 - Sale <sup>25</sup>
	124.000	343.46	02/13/20	491.75	...	-148.29	12 of 37 - Sale <sup>25</sup>
	100.000	276.98	02/13/20	400.06	...	-123.08	13 of 37 - Sale <sup>25</sup>
	98.000	271.44	02/13/20	385.23	...	-113.79	14 of 37 - Sale <sup>25</sup>
	98.000	271.45	02/13/20	385.23	...	-113.78	15 of 37 - Sale <sup>25</sup>
	82.000	227.12	02/13/20	329.72	...	-102.60	16 of 37 - Sale <sup>25</sup>
	82.000	227.12	02/13/20	329.72	...	-102.60	17 of 37 - Sale <sup>25</sup>
	82.000	227.12	02/13/20	322.33	...	-95.21	18 of 37 - Sale <sup>25</sup>
	70.000	193.89	02/13/20	281.46	...	-87.57	19 of 37 - Sale <sup>25</sup>
	70.000	193.89	02/13/20	279.32	...	-85.43	20 of 37 - Sale <sup>25</sup>
	68.000	188.35	02/13/20	272.03	...	-83.68	21 of 37 - Sale <sup>25</sup>
	62.000	171.73	02/13/20	243.72	...	-71.99	22 of 37 - Sale <sup>25</sup>
	48.000	132.95	02/13/20	193.00	...	-60.05	23 of 37 - Sale <sup>25</sup>
	50.000	138.49	02/13/20	197.29	...	-58.80	24 of 37 - Sale <sup>25</sup>
	48.000	132.95	02/13/20	190.35	...	-57.40	25 of 37 - Sale <sup>25</sup>
	36.000	99.71	02/13/20	142.77	...	-43.06	26 of 37 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	33.000	91.40	02/13/20	132.03	...	-40.63	27 of 37 - Sale <sup>25</sup>
	30.000	83.09	02/13/20	117.61	...	-34.52	28 of 37 - Sale <sup>25</sup>
	27.000	74.79	02/13/20	108.00	...	-33.21	29 of 37 - Sale <sup>25</sup>
	26.000	72.02	02/13/20	103.11	...	-31.09	30 of 37 - Sale <sup>25</sup>
	18.000	49.86	02/13/20	72.38	...	-22.52	31 of 37 - Sale <sup>25</sup>
	18.000	49.86	02/13/20	70.75	...	-20.89	32 of 37 - Sale <sup>25</sup>
	8.000	22.16	02/13/20	31.44	...	-9.28	33 of 37 - Sale <sup>25</sup>
	2.000	5.54	02/13/20	8.03	...	-2.49	34 of 37 - Sale <sup>25</sup>
	2.000	5.54	02/13/20	8.00	...	-2.46	35 of 37 - Sale <sup>25</sup>
	2.000	5.54	02/13/20	7.86	...	-2.32	36 of 37 - Sale <sup>25</sup>
	2.000	5.54	02/13/20	7.86	...	-2.32	37 of 37 - Sale <sup>25</sup>
07/02/20	3,700.000	10,248.32	Various	14,715.65	...	-4,467.33	Total of 37 transactions
	13 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	300.000	830.94	02/13/20	1,189.72	239.19 W	-119.59	1 of 13 - Sale <sup>25</sup>
	50.000	139.99	02/13/20	198.54	58.55 W	0.00	2 of 13 - Sale <sup>25</sup>
	50.000	139.99	02/13/20	198.53	58.54 W	0.00	3 of 13 - Sale <sup>25</sup>
	100.000	279.98	02/13/20	397.07	117.09 W	0.00	4 of 13 - Sale <sup>25</sup>
	7.000	19.39	02/13/20	27.79	8.40 W	0.00	5 of 13 - Sale <sup>25</sup>
	25.000	69.25	02/13/20	99.27	30.02 W	0.00	6 of 13 - Sale <sup>25</sup>
	52.000	144.03	02/13/20	203.36	59.33 W	0.00	7 of 13 - Sale <sup>25</sup>
	116.000	321.30	02/13/20	460.60	139.30 W	0.00	8 of 13 - Sale <sup>25</sup>
	10.000	27.70	02/13/20	39.11	11.41 W	0.00	9 of 13 - Sale <sup>25</sup>
	50.000	138.49	02/13/20	195.53	57.04 W	0.00	10 of 13 - Sale <sup>25</sup>
	4.000	11.08	02/13/20	15.64	4.56 W	0.00	11 of 13 - Sale <sup>25</sup>
	162.000	448.71	02/13/20	644.88	196.17 W	0.00	12 of 13 - Sale <sup>25</sup>
	174.000	481.95	02/13/20	692.64	210.69 W	0.00	13 of 13 - Sale <sup>25</sup>
07/02/20	1,100.000	3,052.80	Various	4,362.68	1,190.29 W	-119.59	Total of 13 transactions
	16 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	162.000	411.46	07/09/20	620.61	...	-209.15	1 of 16 - Sale <sup>25</sup>
	136.000	345.43	07/09/20	521.00	...	-175.57	2 of 16 - Sale <sup>25</sup>
	100.000	253.99	07/09/20	381.60	...	-127.61	3 of 16 - Sale <sup>25</sup>
	100.000	253.99	07/09/20	381.59	...	-127.60	4 of 16 - Sale <sup>25</sup>
	100.000	253.99	07/09/20	379.09	...	-125.10	5 of 16 - Sale <sup>25</sup>
	68.000	172.71	07/09/20	259.82	...	-87.11	6 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	52.000	132.08	07/09/20	195.57	...	-63.49	7 of 16 - Sale <sup>25</sup>
	50.000	127.00	07/09/20	189.55	...	-62.55	8 of 16 - Sale <sup>25</sup>
	50.000	127.00	07/09/20	189.54	...	-62.54	9 of 16 - Sale <sup>25</sup>
	48.000	121.92	07/09/20	183.40	...	-61.48	10 of 16 - Sale <sup>25</sup>
	50.000	126.99	07/09/20	188.04	...	-61.05	11 of 16 - Sale <sup>25</sup>
	38.000	96.52	07/09/20	145.57	...	-49.05	12 of 16 - Sale <sup>25</sup>
	25.000	63.50	07/09/20	95.52	...	-32.02	13 of 16 - Sale <sup>25</sup>
	10.000	25.40	07/09/20	37.61	...	-12.21	14 of 16 - Sale <sup>25</sup>
	7.000	17.78	07/09/20	26.74	...	-8.96	15 of 16 - Sale <sup>25</sup>
	4.000	10.16	07/09/20	15.04	...	-4.88	16 of 16 - Sale <sup>25</sup>
07/10/20	1,000.000	2,539.92	Various	3,810.29	...	-1,270.37	Total of 16 transactions
	<b>Security total:</b>	<b>60,666.95</b>		<b>69,127.44</b>	<b>2,507.74 W</b>	<b>-5,952.75</b>	
ANTERO RESOURCES CORPORATION / CUSIP: 03674X106 / Symbol:							
6 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	100.000	265.98	01/02/20	260.00	...	5.98	1 of 6 - Sale <sup>25</sup>
	100.000	265.98	01/02/20	260.00	...	5.98	2 of 6 - Sale <sup>25</sup>
	100.000	265.98	01/02/20	260.00	...	5.98	3 of 6 - Sale <sup>25</sup>
	200.000	531.96	01/02/20	520.00	...	11.96	4 of 6 - Sale <sup>25</sup>
	300.000	797.95	01/02/20	780.00	...	17.95	5 of 6 - Sale <sup>25</sup>
	300.000	797.95	01/02/20	780.00	...	17.95	6 of 6 - Sale <sup>25</sup>
01/03/20	1,100.000	2,925.80	Various	2,860.00	...	65.80	Total of 6 transactions
11 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	90.000	214.19	01/13/20	215.09	...	-0.90	1 of 11 - Sale <sup>25</sup>
	90.000	214.18	01/13/20	215.08	...	-0.90	2 of 11 - Sale <sup>25</sup>
	90.000	214.18	01/13/20	215.07	...	-0.89	3 of 11 - Sale <sup>25</sup>
	10.000	23.80	01/13/20	23.90	...	-0.10	4 of 11 - Sale <sup>25</sup>
	10.000	23.80	01/13/20	23.89	...	-0.09	5 of 11 - Sale <sup>25</sup>
	24.000	57.12	01/13/20	56.39	...	0.73	6 of 11 - Sale <sup>25</sup>
	76.000	180.87	01/13/20	178.58	...	2.29	7 of 11 - Sale <sup>25</sup>
	110.000	261.78	01/13/20	258.46	...	3.32	8 of 11 - Sale <sup>25</sup>
	100.000	237.98	01/13/20	232.98	...	5.00	9 of 11 - Sale <sup>25</sup>
	190.000	452.17	01/13/20	446.43	...	5.74	10 of 11 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANTERO RESOURCES CORPORATION / CUSIP: 03674X106 / Symbol: (cont'd)							
	210.000	499.77	01/13/20	493.42	...	6.35	11 of 11 - Sale <sup>25</sup>
01/14/20	1,000.000	2,379.84	Various	2,359.29	...	20.55	Total of 11 transactions
	15 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	400.000	951.93	01/09/20	1,012.00	60.07 W	0.00	1 of 15 - Sale <sup>25</sup>
	500.000	1,189.91	01/09/20	1,265.00	75.09 W	0.00	2 of 15 - Sale <sup>25</sup>
	100.000	237.99	01/09/20	257.01	19.02 W	0.00	3 of 15 - Sale <sup>25</sup>
	100.000	237.98	01/09/20	257.02	19.04 W	0.00	4 of 15 - Sale <sup>25</sup>
	90.000	214.19	01/09/20	231.31	17.12 W	0.00	5 of 15 - Sale <sup>25</sup>
	100.000	237.99	01/09/20	253.00	15.01 W	0.00	6 of 15 - Sale <sup>25</sup>
	100.000	237.99	01/10/20	251.02	13.03 W	0.00	7 of 15 - Sale <sup>25</sup>
	10.000	23.80	01/13/20	24.80	1.00 W	0.00	8 of 15 - Sale <sup>25</sup>
	90.000	214.19	01/13/20	223.22	9.03 W	0.00	9 of 15 - Sale <sup>25</sup>
	90.000	214.18	01/13/20	223.22	9.04 W	0.00	10 of 15 - Sale <sup>25</sup>
	100.000	237.98	01/13/20	248.04	10.06 W	0.00	11 of 15 - Sale <sup>25</sup>
	100.000	237.98	01/13/20	242.03	4.05 W	0.00	12 of 15 - Sale <sup>25</sup>
	110.000	261.78	01/13/20	268.42	6.64 W	0.00	13 of 15 - Sale <sup>25</sup>
	210.000	499.77	01/13/20	512.44	12.67 W	0.00	14 of 15 - Sale <sup>25</sup>
	290.000	690.15	01/13/20	707.65	17.50 W	0.00	15 of 15 - Sale <sup>25</sup>
01/14/20	2,390.000	5,687.81	Various	5,976.18	288.37 W	0.00	Total of 15 transactions
	<b>Security total:</b>	<b>10,993.45</b>		<b>11,195.47</b>	<b>288.37 W</b>	<b>86.35</b>	
ANTERO MIDSTREAM CORPORATION C OMMON STOCK / CUSIP: 03676B102 / Symbol:							
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	7.41	08/17/20	7.36	...	0.05	1 of 2 - Sale <sup>25</sup>
	1.000	7.41	08/19/20	7.21	...	0.20	2 of 2 - Sale <sup>25</sup>
08/24/20	2.000	14.82	Various	14.57	...	0.25	Total of 2 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
	4 transactions for 01/17/20. Total proceeds and cost reported to the IRS.						
	45.000	99.45	01/16/20	95.30	...	4.15	1 of 4 - Sale <sup>25</sup>
	166.000	366.84	01/16/20	351.55	...	15.29	2 of 4 - Sale <sup>25</sup>
	300.000	662.94	01/16/20	635.34	...	27.60	3 of 4 - Sale <sup>25</sup>
	939.000	2,075.03	01/16/20	1,988.62	...	86.41	4 of 4 - Sale <sup>25</sup>
01/17/20	1,450.000	3,204.26	Various	3,070.81	...	133.45	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
31 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	300.000	230.99	02/21/20	498.00	...	-267.01	1 of 31 - Sale <sup>25</sup>
	300.000	230.99	02/21/20	498.00	...	-267.01	2 of 31 - Sale <sup>25</sup>
	200.000	153.97	02/21/20	332.00	...	-178.03	3 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	4 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	5 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	6 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	7 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	8 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	9 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	10 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	11 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	12 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	13 of 31 - Sale <sup>25</sup>
	100.000	76.99	02/21/20	166.00	...	-89.01	14 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	15 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	16 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	17 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	18 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	19 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	20 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	21 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	22 of 31 - Sale <sup>25</sup>
	100.000	77.00	02/21/20	166.00	...	-89.00	23 of 31 - Sale <sup>25</sup>
	91.000	70.07	02/21/20	151.06	...	-80.99	24 of 31 - Sale <sup>25</sup>
	91.000	70.07	02/21/20	151.06	...	-80.99	25 of 31 - Sale <sup>25</sup>
	91.000	70.07	02/21/20	151.06	...	-80.99	26 of 31 - Sale <sup>25</sup>
	89.000	68.52	02/21/20	147.74	...	-79.22	27 of 31 - Sale <sup>25</sup>
	11.000	8.47	02/21/20	18.26	...	-9.79	28 of 31 - Sale <sup>25</sup>
	9.000	6.93	02/21/20	14.94	...	-8.01	29 of 31 - Sale <sup>25</sup>
	9.000	6.93	02/21/20	14.94	...	-8.01	30 of 31 - Sale <sup>25</sup>
	9.000	6.93	02/21/20	14.94	...	-8.01	31 of 31 - Sale <sup>25</sup>
04/13/20	3,200.000	2,463.83	Various	5,312.00	...	-2,848.17	Total of 31 transactions
	<b>Security total:</b>	<b>5,668.09</b>		<b>8,382.81</b>	...	<b>-2,714.72</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
06/03/20	690.000	558.80	05/18/20	552.00	...	6.80	Sale <sup>25</sup>
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
<i>6 transactions for 04/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.23	04/13/20	11.04	...	1.19	1 of 6 - Sale <sup>25</sup>
	3.000	36.69	04/13/20	35.25	...	1.44	2 of 6 - Sale <sup>25</sup>
	12.000	146.77	04/13/20	141.00	...	5.77	3 of 6 - Sale <sup>25</sup>
	25.000	305.77	04/13/20	293.75	...	12.02	4 of 6 - Sale <sup>25</sup>
	70.000	856.15	04/13/20	822.50	...	33.65	5 of 6 - Sale <sup>25</sup>
	100.000	1,223.08	04/13/20	1,175.00	...	48.08	6 of 6 - Sale <sup>25</sup>
04/14/20	211.000	2,580.69	Various	2,478.54	...	102.15	Total of 6 transactions
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
04/02/20	85.000	746.27	03/31/20	638.35	...	107.92	Sale <sup>25</sup>
<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	77.000	808.47	04/06/20	791.56	...	16.91	1 of 2 - Sale <sup>25</sup>
	77.000	808.47	04/14/20	744.57	...	63.90	2 of 2 - Sale <sup>25</sup>
04/16/20	154.000	1,616.94	Various	1,536.13	...	80.81	Total of 2 transactions
<i>2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	209.03	05/15/20	204.00	...	5.03	1 of 2 - Sale <sup>25</sup>
	31.000	540.01	05/15/20	527.00	...	13.01	2 of 2 - Sale <sup>25</sup>
05/18/20	43.000	749.04	Various	731.00	...	18.04	Total of 2 transactions
	<b>Security total:</b>	<b>3,112.25</b>		<b>2,905.48</b>	...	<b>206.77</b>	
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol:							
<i>3 transactions for 01/02/20. Total proceeds and cost reported to the IRS.</i>							
	600.000	248.09	12/31/19	246.00	...	2.09	1 of 3 - Sale <sup>25</sup>
	1,775.000	733.91	12/31/19	727.75	...	6.16	2 of 3 - Sale <sup>25</sup>
	4,425.000	1,829.61	12/31/19	1,814.25	...	15.36	3 of 3 - Sale <sup>25</sup>
01/02/20	6,800.000	2,811.61	Various	2,788.00	...	23.61	Total of 3 transactions
<i>28 transactions for 01/02/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	81.98	12/31/19	82.08	0.10 W	0.00	1 of 28 - Sale <sup>25</sup>
	600.000	245.92	12/31/19	246.24	0.32 W	0.00	2 of 28 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	200.000	81.98	12/31/19	82.18	0.20 W	0.00	3 of 28 - Sale <sup>25</sup>
	600.000	245.92	12/31/19	246.56	0.64 W	0.00	4 of 28 - Sale <sup>25</sup>
	200.000	81.97	12/31/19	82.28	0.31 W	0.00	5 of 28 - Sale <sup>25</sup>
	600.000	245.92	12/31/19	246.88	0.96 W	0.00	6 of 28 - Sale <sup>25</sup>
	600.000	245.92	12/31/19	246.24	0.32 W	0.00	7 of 28 - Sale <sup>25</sup>
	200.000	81.97	12/31/19	82.39	0.42 W	0.00	8 of 28 - Sale <sup>25</sup>
	600.000	245.92	12/31/19	247.20	1.28 W	0.00	9 of 28 - Sale <sup>25</sup>
	200.000	81.97	12/31/19	82.19	0.22 W	0.00	10 of 28 - Sale <sup>25</sup>
	300.000	122.96	12/31/19	123.28	0.32 W	0.00	11 of 28 - Sale <sup>25</sup>
	100.000	40.99	12/31/19	41.09	0.10 W	0.00	12 of 28 - Sale <sup>25</sup>
	100.000	40.99	12/31/19	41.04	0.05 W	0.00	13 of 28 - Sale <sup>25</sup>
	200.000	81.98	12/31/19	82.50	0.52 W	0.00	14 of 28 - Sale <sup>25</sup>
	300.000	122.96	12/31/19	123.76	0.80 W	0.00	15 of 28 - Sale <sup>25</sup>
	165.000	67.63	12/31/19	68.00	0.37 W	0.00	16 of 28 - Sale <sup>25</sup>
	135.000	55.33	12/31/19	55.64	0.31 W	0.00	17 of 28 - Sale <sup>25</sup>
	200.000	81.98	12/31/19	82.22	0.24 W	0.00	18 of 28 - Sale <sup>25</sup>
	200.000	81.98	12/31/19	82.21	0.23 W	0.00	19 of 28 - Sale <sup>25</sup>
	200.000	81.98	12/31/19	82.52	0.54 W	0.00	20 of 28 - Sale <sup>25</sup>
	200.000	81.97	12/31/19	82.53	0.56 W	0.00	21 of 28 - Sale <sup>25</sup>
	165.000	67.63	12/31/19	68.02	0.39 W	0.00	22 of 28 - Sale <sup>25</sup>
	100.000	40.99	12/31/19	41.27	0.28 W	0.00	23 of 28 - Sale <sup>25</sup>
	100.000	40.99	12/31/19	41.10	0.11 W	0.00	24 of 28 - Sale <sup>25</sup>
	100.000	40.99	12/31/19	41.11	0.12 W	0.00	25 of 28 - Sale <sup>25</sup>
	100.000	40.99	12/31/19	41.05	0.06 W	0.00	26 of 28 - Sale <sup>25</sup>
	335.000	137.30	12/31/19	137.35	0.05 W	0.00	27 of 28 - Sale <sup>25</sup>
	1,200.000	491.86	12/31/19	492.00	0.14 W	0.00	28 of 28 - Sale <sup>25</sup>
01/02/20	8,200.000	3,360.97	Various	3,370.93	9.96 W	0.00	Total of 28 transactions
	20 transactions for 01/08/20. Total proceeds and cost reported to the IRS.						
	35.000	14.00	01/08/20	13.63	...	0.37	1 of 20 - Sale <sup>25</sup>
	35.000	14.00	01/08/20	13.59	...	0.41	2 of 20 - Sale <sup>25</sup>
	65.000	25.99	01/08/20	25.23	...	0.76	3 of 20 - Sale <sup>25</sup>
	65.000	25.99	01/08/20	25.22	...	0.77	4 of 20 - Sale <sup>25</sup>
	100.000	39.99	01/08/20	38.98	...	1.01	5 of 20 - Sale <sup>25</sup>
	100.000	39.99	01/08/20	38.93	...	1.06	6 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	100.000	39.99	01/08/20	38.82	...	1.17	7 of 20 - Sale <sup>25</sup>
	100.000	39.99	01/08/20	38.82	...	1.17	8 of 20 - Sale <sup>25</sup>
	100.000	39.99	01/08/20	38.81	...	1.18	9 of 20 - Sale <sup>25</sup>
	100.000	39.99	01/08/20	38.76	...	1.23	10 of 20 - Sale <sup>25</sup>
	100.000	39.99	01/08/20	38.70	...	1.29	11 of 20 - Sale <sup>25</sup>
	135.000	53.98	01/08/20	52.41	...	1.57	12 of 20 - Sale <sup>25</sup>
	165.000	65.98	01/08/20	64.24	...	1.74	13 of 20 - Sale <sup>25</sup>
	200.000	79.97	01/08/20	77.96	...	2.01	14 of 20 - Sale <sup>25</sup>
	200.000	79.97	01/08/20	77.94	...	2.03	15 of 20 - Sale <sup>25</sup>
	300.000	119.96	01/08/20	116.10	...	3.86	16 of 20 - Sale <sup>25</sup>
	335.000	133.96	01/08/20	129.70	...	4.26	17 of 20 - Sale <sup>25</sup>
	1,200.000	479.84	01/08/20	464.54	...	15.30	18 of 20 - Sale <sup>25</sup>
	1,800.000	719.77	01/08/20	696.60	...	23.17	19 of 20 - Sale <sup>25</sup>
	4,765.000	1,905.38	01/08/20	1,844.05	...	61.33	20 of 20 - Sale <sup>25</sup>
01/08/20	10,000.000	3,998.72	Various	3,873.03	...	125.69	Total of 20 transactions
	<i>21 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>						
	2,600.000	1,005.87	01/15/20	1,013.74	...	-7.87	1 of 21 - Sale <sup>25</sup>
	1,500.000	580.31	01/15/20	584.85	...	-4.54	2 of 21 - Sale <sup>25</sup>
	500.000	193.44	01/15/20	196.57	...	-3.13	3 of 21 - Sale <sup>25</sup>
	700.000	270.81	01/15/20	273.00	...	-2.19	4 of 21 - Sale <sup>25</sup>
	300.000	116.06	01/15/20	117.95	...	-1.89	5 of 21 - Sale <sup>25</sup>
	600.000	232.12	01/15/20	234.00	...	-1.88	6 of 21 - Sale <sup>25</sup>
	200.000	77.37	01/15/20	78.63	...	-1.26	7 of 21 - Sale <sup>25</sup>
	200.000	77.37	01/15/20	78.62	...	-1.25	8 of 21 - Sale <sup>25</sup>
	400.000	154.75	01/15/20	156.00	...	-1.25	9 of 21 - Sale <sup>25</sup>
	300.000	116.06	01/15/20	117.00	...	-0.94	10 of 21 - Sale <sup>25</sup>
	300.000	116.06	01/15/20	116.97	...	-0.91	11 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	39.31	...	-0.62	12 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	39.31	...	-0.62	13 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	39.31	...	-0.62	14 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	38.99	...	-0.30	15 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	38.99	...	-0.30	16 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	38.99	...	-0.30	17 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	38.99	...	-0.30	18 of 21 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	100.000	38.69	01/15/20	38.99	...	-0.30	19 of 21 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	38.99	...	-0.30	20 of 21 - Sale <sup>25</sup>
	10,000.000	3,868.72	01/16/20	3,834.00	...	34.72	21 of 21 - Sale <sup>25</sup>
01/17/20	18,500.000	7,157.15	Various	7,153.20	...	3.95	Total of 21 transactions
	5 transactions for 01/17/20. Total proceeds and cost reported to the IRS.						
	400.000	154.75	01/15/20	156.00	1.25 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	39.00	0.31 W	0.00	2 of 5 - Sale <sup>25</sup>
	400.000	154.74	01/15/20	156.00	1.26 W	0.00	3 of 5 - Sale <sup>25</sup>
	500.000	193.43	01/15/20	195.00	1.57 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	38.69	01/15/20	39.00	0.31 W	0.00	5 of 5 - Sale <sup>25</sup>
01/17/20	1,500.000	580.30	Various	585.00	4.70 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>17,908.75</b>		<b>17,770.16</b>	<b>14.66 W</b>	<b>153.25</b>	
CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol:							
05/13/20	500.000	2,799.87	04/28/20	2,160.00	...	639.87	Sale <sup>25</sup>
ENERPLUS CORPORATION / CUSIP: 292766102 / Symbol:							
	4 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	4.000	6.16	03/18/20	5.96	...	0.20	1 of 4 - Sale <sup>25</sup>
	100.000	149.99	03/18/20	149.00	...	0.99	2 of 4 - Sale <sup>25</sup>
	216.000	323.97	03/18/20	321.84	...	2.13	3 of 4 - Sale <sup>25</sup>
	20.000	30.00	03/18/20	26.00	...	4.00	4 of 4 - Sale <sup>25</sup>
03/19/20	340.000	510.12	Various	502.80	...	7.32	Total of 4 transactions
03/31/20	460.000	643.93	03/27/20	616.35	...	27.58	Sale <sup>25</sup>
	5 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	100.000	214.98	04/14/20	208.00	...	6.98	1 of 5 - Sale <sup>25</sup>
	100.000	214.98	04/14/20	208.00	...	6.98	2 of 5 - Sale <sup>25</sup>
	100.000	214.98	04/14/20	208.00	...	6.98	3 of 5 - Sale <sup>25</sup>
	200.000	429.97	04/14/20	416.00	...	13.97	4 of 5 - Sale <sup>25</sup>
	500.000	1,074.92	04/14/20	1,009.55	...	65.37	5 of 5 - Sale <sup>25</sup>
04/23/20	1,000.000	2,149.83	Various	2,049.55	...	100.28	Total of 5 transactions
07/10/20	1,700.000	4,113.70	07/07/20	4,146.64	...	-32.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,417.58</b>		<b>7,315.34</b>	...	<b>102.24</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
<i>21 transactions for 01/15/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	2,139.84	01/13/20	2,090.00	...	49.84	1 of 21 - Sale <sup>25</sup>
	420.000	898.73	01/13/20	844.20	...	54.53	2 of 21 - Sale <sup>25</sup>
	580.000	1,241.11	01/13/20	1,165.80	...	75.31	3 of 21 - Sale <sup>25</sup>
	1,000.000	2,139.84	01/13/20	2,050.00	...	89.84	4 of 21 - Sale <sup>25</sup>
	1,000.000	2,139.83	01/13/20	1,910.00	...	229.83	5 of 21 - Sale <sup>25</sup>
	20.000	42.80	01/14/20	40.40	...	2.40	6 of 21 - Sale <sup>25</sup>
	500.000	1,099.91	01/15/20	1,220.17	...	-120.26	7 of 21 - Sale <sup>25</sup>
	427.000	939.33	01/15/20	1,050.56	...	-111.23	8 of 21 - Sale <sup>25</sup>
	73.000	160.59	01/15/20	178.14	...	-17.55	9 of 21 - Sale <sup>25</sup>
	390.000	857.94	01/15/20	869.79	...	-11.85	10 of 21 - Sale <sup>25</sup>
	317.000	697.35	01/15/20	707.01	...	-9.66	11 of 21 - Sale <sup>25</sup>
	500.000	1,099.92	01/15/20	1,105.11	...	-5.19	12 of 21 - Sale <sup>25</sup>
	159.000	349.77	01/15/20	354.61	...	-4.84	13 of 21 - Sale <sup>25</sup>
	100.000	219.98	01/15/20	223.03	...	-3.05	14 of 21 - Sale <sup>25</sup>
	100.000	219.98	01/15/20	222.91	...	-2.93	15 of 21 - Sale <sup>25</sup>
	24.000	52.80	01/15/20	53.53	...	-0.73	16 of 21 - Sale <sup>25</sup>
	10.000	22.00	01/15/20	22.60	...	-0.60	17 of 21 - Sale <sup>25</sup>
	10.000	22.00	01/15/20	22.30	...	-0.30	18 of 21 - Sale <sup>25</sup>
	973.000	2,140.44	01/15/20	2,140.60	...	-0.16	19 of 21 - Sale <sup>25</sup>
	100.000	219.98	01/15/20	217.02	...	2.96	20 of 21 - Sale <sup>25</sup>
	817.000	1,797.26	01/15/20	1,781.12	...	16.14	21 of 21 - Sale <sup>25</sup>
01/15/20	8,520.000	18,501.40	Various	18,268.90	...	232.50	Total of 21 transactions
<i>18 transactions for 01/15/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	2,139.84	01/09/20	2,400.00	260.16 W	0.00	1 of 18 - Sale <sup>25</sup>
	10.000	21.40	01/09/20	22.20	0.80 W	0.00	2 of 18 - Sale <sup>25</sup>
	100.000	213.98	01/10/20	218.87	4.89 W	0.00	3 of 18 - Sale <sup>25</sup>
	707.000	1,512.86	01/10/20	1,548.33	35.47 W	0.00	4 of 18 - Sale <sup>25</sup>
	159.000	340.23	01/10/20	348.21	7.98 W	0.00	5 of 18 - Sale <sup>25</sup>
	100.000	213.98	01/10/20	219.00	5.02 W	0.00	6 of 18 - Sale <sup>25</sup>
	34.000	72.75	01/10/20	74.46	1.71 W	0.00	7 of 18 - Sale <sup>25</sup>
	500.000	1,069.92	01/10/20	1,085.00	15.08 W	0.00	8 of 18 - Sale <sup>25</sup>
	1,990.000	4,377.66	01/15/20	4,378.00	0.16 W	-0.18	9 of 18 - Sale <sup>25</sup>
	10.000	22.00	01/15/20	22.80	0.80 W	0.00	10 of 18 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	1,000.000	2,199.83	01/15/20	2,460.16	260.33 W	0.00	11 of 18 - Sale <sup>25</sup>
	24.000	52.80	01/15/20	54.01	1.21 W	0.00	12 of 18 - Sale <sup>25</sup>
	100.000	219.98	01/15/20	224.89	4.91 W	0.00	13 of 18 - Sale <sup>25</sup>
	100.000	219.98	01/15/20	225.02	5.04 W	0.00	14 of 18 - Sale <sup>25</sup>
	159.000	349.77	01/15/20	357.78	8.01 W	0.00	15 of 18 - Sale <sup>25</sup>
	707.000	1,555.29	01/15/20	1,590.87	35.58 W	0.00	16 of 18 - Sale <sup>25</sup>
	10.000	22.00	01/15/20	22.50	0.50 W	0.00	17 of 18 - Sale <sup>25</sup>
	500.000	1,099.92	01/15/20	1,115.08	15.16 W	0.00	18 of 18 - Sale <sup>25</sup>
01/15/20	7,210.000	15,704.19	Various	16,367.18	662.81 W	-0.18	Total of 18 transactions
	<b>Security total:</b>	<b>34,205.59</b>		<b>34,636.08</b>	<b>662.81 W</b>	<b>232.32</b>	
GENPREX, INC. COMMON STOCK / CUSIP: 372446104 / Symbol:							
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	148.000	775.48	02/19/20	732.60	...	42.88	1 of 2 - Sale <sup>25</sup>
	852.000	4,464.28	02/19/20	4,217.40	...	246.88	2 of 2 - Sale <sup>25</sup>
02/21/20	1,000.000	5,239.76	Various	4,950.00	...	289.76	Total of 2 transactions
HEXO CORP. / CUSIP: 428304109 / Symbol:							
7 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	50.000	74.49	01/08/20	74.01	...	0.48	1 of 7 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	148.01	...	0.98	2 of 7 - Sale <sup>25</sup>
	12.000	17.88	01/10/20	17.63	...	0.25	3 of 7 - Sale <sup>25</sup>
	50.000	74.49	01/10/20	73.46	...	1.03	4 of 7 - Sale <sup>25</sup>
	88.000	131.11	01/10/20	129.28	...	1.83	5 of 7 - Sale <sup>25</sup>
	100.000	148.98	01/10/20	146.93	...	2.05	6 of 7 - Sale <sup>25</sup>
	100.000	148.99	01/10/20	146.93	...	2.06	7 of 7 - Sale <sup>25</sup>
01/13/20	500.000	744.93	Various	736.25	...	8.68	Total of 7 transactions
100 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	1,500.000	2,234.77	01/06/20	2,520.23	285.46 W	0.00	1 of 100 - Sale <sup>25</sup>
	12.000	17.88	01/06/20	19.20	1.32 W	0.00	2 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/06/20	160.02	11.04 W	0.00	3 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/06/20	160.02	11.04 W	0.00	4 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/06/20	80.01	5.52 W	0.00	5 of 100 - Sale <sup>25</sup>
	88.000	131.11	01/06/20	140.81	9.70 W	0.00	6 of 100 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
100.000		148.98	01/08/20	154.03	5.05 W	0.00	7 of 100 - Sale <sup>25</sup>
100.000		148.99	01/08/20	154.03	5.04 W	0.00	8 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	9 of 100 - Sale <sup>25</sup>
100.000		148.99	01/08/20	154.03	5.04 W	0.00	10 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	11 of 100 - Sale <sup>25</sup>
100.000		148.99	01/08/20	154.04	5.05 W	0.00	12 of 100 - Sale <sup>25</sup>
100.000		148.99	01/08/20	154.03	5.04 W	0.00	13 of 100 - Sale <sup>25</sup>
21.000		31.29	01/08/20	32.35	1.06 W	0.00	14 of 100 - Sale <sup>25</sup>
79.000		117.70	01/08/20	121.69	3.99 W	0.00	15 of 100 - Sale <sup>25</sup>
20.000		29.80	01/08/20	30.80	1.00 W	0.00	16 of 100 - Sale <sup>25</sup>
21.000		31.29	01/08/20	32.35	1.06 W	0.00	17 of 100 - Sale <sup>25</sup>
59.000		87.90	01/08/20	90.88	2.98 W	0.00	18 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	19 of 100 - Sale <sup>25</sup>
4.000		5.96	01/08/20	6.16	0.20 W	0.00	20 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	21 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	22 of 100 - Sale <sup>25</sup>
96.000		143.03	01/08/20	147.87	4.84 W	0.00	23 of 100 - Sale <sup>25</sup>
200.000		297.97	01/08/20	308.06	10.09 W	0.00	24 of 100 - Sale <sup>25</sup>
31.000		46.19	01/08/20	47.75	1.56 W	0.00	25 of 100 - Sale <sup>25</sup>
69.000		102.80	01/08/20	106.28	3.48 W	0.00	26 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	27 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	28 of 100 - Sale <sup>25</sup>
100.000		148.98	01/08/20	154.03	5.05 W	0.00	29 of 100 - Sale <sup>25</sup>
100.000		148.99	01/08/20	154.03	5.04 W	0.00	30 of 100 - Sale <sup>25</sup>
9.000		13.41	01/08/20	13.86	0.45 W	0.00	31 of 100 - Sale <sup>25</sup>
10.000		14.90	01/08/20	15.40	0.50 W	0.00	32 of 100 - Sale <sup>25</sup>
21.000		31.29	01/08/20	32.35	1.06 W	0.00	33 of 100 - Sale <sup>25</sup>
25.000		37.25	01/08/20	38.50	1.25 W	0.00	34 of 100 - Sale <sup>25</sup>
35.000		52.14	01/08/20	53.91	1.77 W	0.00	35 of 100 - Sale <sup>25</sup>
1.000		1.49	01/08/20	1.54	0.05 W	0.00	36 of 100 - Sale <sup>25</sup>
4.000		5.96	01/08/20	6.16	0.20 W	0.00	37 of 100 - Sale <sup>25</sup>
23.000		34.27	01/08/20	35.42	1.15 W	0.00	38 of 100 - Sale <sup>25</sup>
72.000		107.27	01/08/20	110.91	3.64 W	0.00	39 of 100 - Sale <sup>25</sup>
41.000		61.08	01/08/20	63.16	2.08 W	0.00	40 of 100 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	59.000	87.90	01/08/20	90.88	2.98 W	0.00	41 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	42 of 100 - Sale <sup>25</sup>
	40.000	59.59	01/08/20	61.61	2.02 W	0.00	43 of 100 - Sale <sup>25</sup>
	10.000	14.90	01/08/20	15.40	0.50 W	0.00	44 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/08/20	77.02	2.53 W	0.00	45 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/08/20	77.01	2.52 W	0.00	46 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.02	5.03 W	0.00	47 of 100 - Sale <sup>25</sup>
	241.000	359.05	01/08/20	371.21	12.16 W	0.00	48 of 100 - Sale <sup>25</sup>
	684.000	1,019.06	01/08/20	1,053.57	34.51 W	0.00	49 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/08/20	77.02	2.53 W	0.00	50 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	51 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	52 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	53 of 100 - Sale <sup>25</sup>
	9.000	13.41	01/08/20	13.86	0.45 W	0.00	54 of 100 - Sale <sup>25</sup>
	316.000	470.79	01/08/20	486.74	15.95 W	0.00	55 of 100 - Sale <sup>25</sup>
	11.000	16.39	01/08/20	16.94	0.55 W	0.00	56 of 100 - Sale <sup>25</sup>
	89.000	132.60	01/08/20	137.09	4.49 W	0.00	57 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	58 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	59 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	60 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	61 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	62 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.04	5.06 W	0.00	63 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	64 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	65 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	66 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	67 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	68 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	69 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	70 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	71 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/08/20	77.02	2.53 W	0.00	72 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/08/20	77.02	2.53 W	0.00	73 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	74 of 100 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 770691434
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	75 of 100 - Sale <sup>25</sup>
	100.000	148.99	01/08/20	154.03	5.04 W	0.00	76 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	77 of 100 - Sale <sup>25</sup>
	1.000	1.49	01/08/20	1.54	0.05 W	0.00	78 of 100 - Sale <sup>25</sup>
	10.000	14.90	01/08/20	15.40	0.50 W	0.00	79 of 100 - Sale <sup>25</sup>
	11.000	16.39	01/08/20	16.94	0.55 W	0.00	80 of 100 - Sale <sup>25</sup>
	78.000	116.21	01/08/20	120.14	3.93 W	0.00	81 of 100 - Sale <sup>25</sup>
	4.000	5.96	01/08/20	6.16	0.20 W	0.00	82 of 100 - Sale <sup>25</sup>
	96.000	143.03	01/08/20	147.87	4.84 W	0.00	83 of 100 - Sale <sup>25</sup>
	4.000	5.96	01/08/20	6.16	0.20 W	0.00	84 of 100 - Sale <sup>25</sup>
	20.000	29.80	01/08/20	30.80	1.00 W	0.00	85 of 100 - Sale <sup>25</sup>
	76.000	113.23	01/08/20	117.06	3.83 W	0.00	86 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	87 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	88 of 100 - Sale <sup>25</sup>
	100.000	148.98	01/08/20	154.03	5.05 W	0.00	89 of 100 - Sale <sup>25</sup>
	650.000	968.40	01/10/20	1,006.99	38.59 W	0.00	90 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/10/20	76.51	2.02 W	0.00	91 of 100 - Sale <sup>25</sup>
	76.000	113.23	01/10/20	116.30	3.07 W	0.00	92 of 100 - Sale <sup>25</sup>
	374.000	557.20	01/10/20	572.34	15.14 W	0.00	93 of 100 - Sale <sup>25</sup>
	500.000	744.93	01/10/20	765.16	20.23 W	0.00	94 of 100 - Sale <sup>25</sup>
	50.000	74.49	01/10/20	78.02	3.53 W	0.00	95 of 100 - Sale <sup>25</sup>
	150.000	223.48	01/10/20	229.54	6.06 W	0.00	96 of 100 - Sale <sup>25</sup>
	200.000	297.97	01/10/20	312.06	14.09 W	0.00	97 of 100 - Sale <sup>25</sup>
	200.000	297.97	01/10/20	312.06	14.09 W	0.00	98 of 100 - Sale <sup>25</sup>
	200.000	297.97	01/10/20	312.06	14.09 W	0.00	99 of 100 - Sale <sup>25</sup>
	200.000	297.97	01/10/20	312.06	14.09 W	0.00	100 of 100 - Sale <sup>25</sup>
01/13/20	11,350.000	16,909.77	Various	17,724.46	814.69 W	0.00	Total of 100 transactions
			96 transactions for 01/16/20. Total proceeds and cost reported to the IRS.				
	1.000	1.97	01/15/20	1.96	...	0.01	1 of 96 - Sale <sup>25</sup>
	1.000	1.97	01/15/20	1.94	...	0.03	2 of 96 - Sale <sup>25</sup>
	4.000	7.88	01/15/20	7.84	...	0.04	3 of 96 - Sale <sup>25</sup>
	4.000	7.88	01/15/20	7.84	...	0.04	4 of 96 - Sale <sup>25</sup>
	9.000	17.73	01/15/20	17.64	...	0.09	5 of 96 - Sale <sup>25</sup>
	9.000	17.73	01/15/20	17.64	...	0.09	6 of 96 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	10.000	19.70	01/15/20	19.60	...	0.10	7 of 96 - Sale <sup>25</sup>
	10.000	19.70	01/15/20	19.60	...	0.10	8 of 96 - Sale <sup>25</sup>
	11.000	21.67	01/15/20	21.56	...	0.11	9 of 96 - Sale <sup>25</sup>
	4.000	7.88	01/15/20	7.76	...	0.12	10 of 96 - Sale <sup>25</sup>
	4.000	7.88	01/15/20	7.76	...	0.12	11 of 96 - Sale <sup>25</sup>
	20.000	39.40	01/15/20	39.20	...	0.20	12 of 96 - Sale <sup>25</sup>
	21.000	41.37	01/15/20	41.17	...	0.20	13 of 96 - Sale <sup>25</sup>
	21.000	41.37	01/15/20	41.17	...	0.20	14 of 96 - Sale <sup>25</sup>
	21.000	41.37	01/15/20	41.17	...	0.20	15 of 96 - Sale <sup>25</sup>
	23.000	45.31	01/15/20	45.08	...	0.23	16 of 96 - Sale <sup>25</sup>
	25.000	49.25	01/15/20	49.00	...	0.25	17 of 96 - Sale <sup>25</sup>
	31.000	61.07	01/15/20	60.77	...	0.30	18 of 96 - Sale <sup>25</sup>
	10.000	19.70	01/15/20	19.40	...	0.30	19 of 96 - Sale <sup>25</sup>
	35.000	68.94	01/15/20	68.62	...	0.32	20 of 96 - Sale <sup>25</sup>
	11.000	21.67	01/15/20	21.34	...	0.33	21 of 96 - Sale <sup>25</sup>
	40.000	78.79	01/15/20	78.42	...	0.37	22 of 96 - Sale <sup>25</sup>
	41.000	80.76	01/15/20	80.39	...	0.37	23 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.03	...	0.46	24 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.03	...	0.46	25 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.03	...	0.46	26 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.02	...	0.47	27 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.02	...	0.47	28 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.02	...	0.47	29 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	98.01	...	0.48	30 of 96 - Sale <sup>25</sup>
	59.000	116.22	01/15/20	115.67	...	0.55	31 of 96 - Sale <sup>25</sup>
	59.000	116.22	01/15/20	115.67	...	0.55	32 of 96 - Sale <sup>25</sup>
	20.000	39.40	01/15/20	38.80	...	0.60	33 of 96 - Sale <sup>25</sup>
	69.000	135.92	01/15/20	135.27	...	0.65	34 of 96 - Sale <sup>25</sup>
	72.000	141.83	01/15/20	141.16	...	0.67	35 of 96 - Sale <sup>25</sup>
	79.000	155.62	01/15/20	154.88	...	0.74	36 of 96 - Sale <sup>25</sup>
	89.000	175.32	01/15/20	174.48	...	0.84	37 of 96 - Sale <sup>25</sup>
	96.000	189.11	01/15/20	188.20	...	0.91	38 of 96 - Sale <sup>25</sup>
	100.000	196.97	01/15/20	196.05	...	0.92	39 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	196.05	...	0.93	40 of 96 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
100.000		196.98	01/15/20	196.05	...	0.93	41 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	42 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	43 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	44 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	45 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	46 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	47 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	48 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	49 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	50 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	51 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.05	...	0.93	52 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.04	...	0.94	53 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	196.05	...	0.94	54 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.04	...	0.94	55 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.04	...	0.94	56 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	196.05	...	0.94	57 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	196.04	...	0.94	58 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	196.04	...	0.95	59 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	196.04	...	0.95	60 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	196.04	...	0.95	61 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	196.03	...	0.96	62 of 96 - Sale <sup>25</sup>
109.000		214.71	01/15/20	213.69	...	1.02	63 of 96 - Sale <sup>25</sup>
50.000		98.49	01/15/20	97.02	...	1.47	64 of 96 - Sale <sup>25</sup>
50.000		98.49	01/15/20	97.02	...	1.47	65 of 96 - Sale <sup>25</sup>
50.000		98.50	01/15/20	97.01	...	1.49	66 of 96 - Sale <sup>25</sup>
200.000		393.97	01/15/20	392.09	...	1.88	67 of 96 - Sale <sup>25</sup>
50.000		98.49	01/15/20	96.49	...	2.00	68 of 96 - Sale <sup>25</sup>
76.000		149.71	01/15/20	147.45	...	2.26	69 of 96 - Sale <sup>25</sup>
241.000		474.74	01/15/20	472.47	...	2.27	70 of 96 - Sale <sup>25</sup>
78.000		153.65	01/15/20	151.33	...	2.32	71 of 96 - Sale <sup>25</sup>
96.000		189.11	01/15/20	186.26	...	2.85	72 of 96 - Sale <sup>25</sup>
100.000		196.98	01/15/20	194.03	...	2.95	73 of 96 - Sale <sup>25</sup>
100.000		196.99	01/15/20	194.04	...	2.95	74 of 96 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	100.000	196.98	01/15/20	194.03	...	2.95	75 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.03	...	2.95	76 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.03	...	2.95	77 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.03	...	2.95	78 of 96 - Sale <sup>25</sup>
	316.000	622.47	01/15/20	619.51	...	2.96	79 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.02	...	2.96	80 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.02	...	2.96	81 of 96 - Sale <sup>25</sup>
	100.000	196.99	01/15/20	194.03	...	2.96	82 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.02	...	2.96	83 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.02	...	2.96	84 of 96 - Sale <sup>25</sup>
	100.000	196.99	01/15/20	194.03	...	2.96	85 of 96 - Sale <sup>25</sup>
	100.000	196.98	01/15/20	194.02	...	2.96	86 of 96 - Sale <sup>25</sup>
	100.000	196.99	01/15/20	194.02	...	2.97	87 of 96 - Sale <sup>25</sup>
	50.000	98.49	01/15/20	95.52	...	2.97	88 of 96 - Sale <sup>25</sup>
	76.000	149.71	01/15/20	146.67	...	3.04	89 of 96 - Sale <sup>25</sup>
	100.000	196.99	01/15/20	192.99	...	4.00	90 of 96 - Sale <sup>25</sup>
	575.000	1,132.66	01/15/20	1,127.26	...	5.40	91 of 96 - Sale <sup>25</sup>
	200.000	393.97	01/15/20	388.09	...	5.88	92 of 96 - Sale <sup>25</sup>
	200.000	393.97	01/15/20	388.09	...	5.88	93 of 96 - Sale <sup>25</sup>
	150.000	295.48	01/15/20	289.54	...	5.94	94 of 96 - Sale <sup>25</sup>
	224.000	441.24	01/15/20	432.32	...	8.92	95 of 96 - Sale <sup>25</sup>
	500.000	984.92	01/15/20	964.98	...	19.94	96 of 96 - Sale <sup>25</sup>
01/16/20	8,450.000	16,645.15	Various	16,484.44	...	160.71	Total of 96 transactions
	38 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	2,950.000	3,775.57	01/16/20	5,279.32	...	-1,503.75	1 of 38 - Sale <sup>25</sup>
	2,400.000	3,071.65	01/16/20	4,320.00	...	-1,248.35	2 of 38 - Sale <sup>25</sup>
	532.000	680.88	01/16/20	1,723.50	...	-1,042.62	3 of 38 - Sale <sup>25</sup>
	1,585.000	2,028.57	01/16/20	3,043.20	...	-1,014.63	4 of 38 - Sale <sup>25</sup>
	427.000	546.50	01/16/20	1,037.68	...	-491.18	5 of 38 - Sale <sup>25</sup>
	149.000	190.70	01/16/20	484.36	...	-293.66	6 of 38 - Sale <sup>25</sup>
	132.000	168.94	01/16/20	429.10	...	-260.16	7 of 38 - Sale <sup>25</sup>
	180.000	230.37	01/16/20	444.26	...	-213.89	8 of 38 - Sale <sup>25</sup>
	68.000	87.03	01/16/20	220.30	...	-133.27	9 of 38 - Sale <sup>25</sup>
	50.000	63.99	01/16/20	162.53	...	-98.54	10 of 38 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	50.000	63.99	01/16/20	157.89	...	-93.90	11 of 38 - Sale <sup>25</sup>
	50.000	63.99	01/16/20	154.92	...	-90.93	12 of 38 - Sale <sup>25</sup>
	43.000	55.03	01/16/20	139.79	...	-84.76	13 of 38 - Sale <sup>25</sup>
	52.000	66.55	01/16/20	126.37	...	-59.82	14 of 38 - Sale <sup>25</sup>
	19.000	24.32	01/16/20	61.76	...	-37.44	15 of 38 - Sale <sup>25</sup>
	65.000	83.19	01/16/20	117.00	...	-33.81	16 of 38 - Sale <sup>25</sup>
	25.000	32.00	01/16/20	61.00	...	-29.00	17 of 38 - Sale <sup>25</sup>
	25.000	32.00	01/16/20	61.00	...	-29.00	18 of 38 - Sale <sup>25</sup>
	6.000	7.68	01/16/20	19.50	...	-11.82	19 of 38 - Sale <sup>25</sup>
	6.000	7.68	01/16/20	14.58	...	-6.90	20 of 38 - Sale <sup>25</sup>
	1.000	1.28	01/16/20	3.25	...	-1.97	21 of 38 - Sale <sup>25</sup>
	2,000.000	2,559.70	01/17/20	3,400.00	...	-840.30	22 of 38 - Sale <sup>25</sup>
	900.000	1,151.87	01/17/20	1,557.00	...	-405.13	23 of 38 - Sale <sup>25</sup>
	564.000	721.84	01/17/20	975.72	...	-253.88	24 of 38 - Sale <sup>25</sup>
	482.000	616.89	01/17/20	833.86	...	-216.97	25 of 38 - Sale <sup>25</sup>
	550.000	703.92	01/17/20	902.00	...	-198.08	26 of 38 - Sale <sup>25</sup>
	435.000	556.74	01/17/20	717.75	...	-161.01	27 of 38 - Sale <sup>25</sup>
	300.000	383.96	01/17/20	498.00	...	-114.04	28 of 38 - Sale <sup>25</sup>
	305.000	390.35	01/17/20	503.25	...	-112.90	29 of 38 - Sale <sup>25</sup>
	200.000	255.97	01/17/20	332.00	...	-76.03	30 of 38 - Sale <sup>25</sup>
	200.000	255.97	01/17/20	332.00	...	-76.03	31 of 38 - Sale <sup>25</sup>
	136.000	174.06	01/17/20	235.28	...	-61.22	32 of 38 - Sale <sup>25</sup>
	103.000	131.83	01/17/20	178.19	...	-46.36	33 of 38 - Sale <sup>25</sup>
	100.000	127.98	01/17/20	166.00	...	-38.02	34 of 38 - Sale <sup>25</sup>
	100.000	127.99	01/17/20	166.00	...	-38.01	35 of 38 - Sale <sup>25</sup>
	100.000	127.99	01/17/20	166.00	...	-38.01	36 of 38 - Sale <sup>25</sup>
	15.000	19.20	01/17/20	25.95	...	-6.75	37 of 38 - Sale <sup>25</sup>
	2.000	2.56	02/10/20	2.56	...	0.00	38 of 38 - Sale <sup>25</sup>
02/13/20	15,307.000	19,590.73	Various	29,052.87	...	-9,462.14	Total of 38 transactions
	24 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1.29	01/16/20	1.99	0.70 W	0.00	1 of 24 - Sale <sup>25</sup>
	25.000	32.00	01/16/20	48.00	16.00 W	0.00	2 of 24 - Sale <sup>25</sup>
	25.000	32.00	01/16/20	48.00	16.00 W	0.00	3 of 24 - Sale <sup>25</sup>
	50.000	64.50	01/16/20	99.52	35.02 W	0.00	4 of 24 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	149.000	192.19	01/16/20	296.58	104.39 W	0.00	5 of 24 - Sale <sup>25</sup>
	151.000	194.77	01/16/20	300.56	105.79 W	0.00	6 of 24 - Sale <sup>25</sup>
	6.000	7.74	01/16/20	11.94	4.20 W	0.00	7 of 24 - Sale <sup>25</sup>
	43.000	55.46	01/16/20	85.59	30.13 W	0.00	8 of 24 - Sale <sup>25</sup>
	532.000	686.20	01/16/20	1,053.02	366.82 W	0.00	9 of 24 - Sale <sup>25</sup>
	118.000	152.20	01/16/20	233.57	81.37 W	0.00	10 of 24 - Sale <sup>25</sup>
	50.000	64.49	01/16/20	96.00	31.51 W	0.00	11 of 24 - Sale <sup>25</sup>
	1.000	1.29	01/16/20	2.62	1.33 W	0.00	12 of 24 - Sale <sup>25</sup>
	50.000	64.49	01/16/20	131.02	66.53 W	0.00	13 of 24 - Sale <sup>25</sup>
	149.000	192.19	01/16/20	390.47	198.28 W	0.00	14 of 24 - Sale <sup>25</sup>
	132.000	170.26	01/16/20	345.92	175.66 W	0.00	15 of 24 - Sale <sup>25</sup>
	6.000	7.74	01/16/20	15.72	7.98 W	0.00	16 of 24 - Sale <sup>25</sup>
	43.000	55.46	01/16/20	112.69	57.23 W	0.00	17 of 24 - Sale <sup>25</sup>
	118.000	152.20	01/16/20	307.93	155.73 W	0.00	18 of 24 - Sale <sup>25</sup>
	19.000	24.51	01/16/20	49.79	25.28 W	0.00	19 of 24 - Sale <sup>25</sup>
	532.000	686.20	01/16/20	1,388.26	702.06 W	0.00	20 of 24 - Sale <sup>25</sup>
	50.000	64.49	01/16/20	127.51	63.02 W	0.00	21 of 24 - Sale <sup>25</sup>
	232.000	299.25	01/16/20	445.44	146.19 W	0.00	22 of 24 - Sale <sup>25</sup>
	6.000	7.74	01/16/20	11.52	3.78 W	0.00	23 of 24 - Sale <sup>25</sup>
	427.000	550.76	01/16/20	819.84	269.08 W	0.00	24 of 24 - Sale <sup>25</sup>
02/13/20	2,915.000	3,759.42	Various	6,423.50	2,664.08 W	0.00	Total of 24 transactions
	<b>Security total:</b>	<b>57,650.00</b>		<b>70,421.52</b>	<b>3,478.77 W</b>	<b>-9,292.75</b>	
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/20/20	76.000	509.17	03/19/20	507.68	...	1.49	Sale <sup>25</sup>
03/27/20	77.000	615.97	03/20/20	512.82	...	103.15	Sale <sup>25</sup>
04/28/20	170.000	2,498.92	04/27/20	2,210.00	...	288.92	Sale <sup>25</sup>
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	10.000	139.30	04/29/20	139.00	...	0.30	1 of 3 - Sale <sup>25</sup>
	100.000	1,392.95	04/29/20	1,390.00	...	2.95	2 of 3 - Sale <sup>25</sup>
	100.000	1,392.95	04/29/20	1,390.00	...	2.95	3 of 3 - Sale <sup>25</sup>
05/18/20	210.000	2,925.20	Various	2,919.00	...	6.20	Total of 3 transactions
	<b>Security total:</b>	<b>6,549.26</b>		<b>6,149.50</b>	...	<b>399.76</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KARYOPHARM THERAPEUTICS INC. C OMMON STOCK / CUSIP: 48576U106 / Symbol:							
11 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	200.000	3,751.90	01/02/20	3,790.00	...	-38.10	1 of 11 - Sale <sup>25</sup>
	99.000	1,857.19	01/02/20	1,876.05	...	-18.86	2 of 11 - Sale <sup>25</sup>
	91.000	1,707.11	01/02/20	1,724.45	...	-17.34	3 of 11 - Sale <sup>25</sup>
	9.000	168.83	01/02/20	167.77	...	1.06	4 of 11 - Sale <sup>25</sup>
	29.000	544.03	01/02/20	540.59	...	3.44	5 of 11 - Sale <sup>25</sup>
	59.000	1,106.81	01/02/20	1,099.82	...	6.99	6 of 11 - Sale <sup>25</sup>
	62.000	1,163.09	01/02/20	1,155.74	...	7.35	7 of 11 - Sale <sup>25</sup>
	41.000	769.14	01/02/20	756.47	...	12.67	8 of 11 - Sale <sup>25</sup>
	100.000	1,875.94	01/02/20	1,845.06	...	30.88	9 of 11 - Sale <sup>25</sup>
	100.000	1,875.95	01/02/20	1,845.06	...	30.89	10 of 11 - Sale <sup>25</sup>
	9.000	168.84	01/03/20	165.51	...	3.33	11 of 11 - Sale <sup>25</sup>
01/08/20	799.000	14,988.83	Various	14,966.52	...	22.31	Total of 11 transactions
6 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	141.000	2,645.08	01/02/20	2,671.95	20.77 W	-6.10	1 of 6 - Sale <sup>25</sup>
	97.000	1,819.67	01/02/20	1,838.15	18.48 W	0.00	2 of 6 - Sale <sup>25</sup>
	62.000	1,163.09	01/02/20	1,174.90	11.81 W	0.00	3 of 6 - Sale <sup>25</sup>
	141.000	2,645.08	01/02/20	2,671.95	26.87 W	0.00	4 of 6 - Sale <sup>25</sup>
	62.000	1,163.09	01/02/20	1,186.71	23.62 W	0.00	5 of 6 - Sale <sup>25</sup>
	97.000	1,819.67	01/02/20	1,856.63	36.96 W	0.00	6 of 6 - Sale <sup>25</sup>
01/08/20	600.000	11,255.68	Various	11,400.29	138.51 W	-6.10	Total of 6 transactions
04/06/20	41.000	778.98	04/02/20	735.95	...	43.03	Sale <sup>25</sup>
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	13.000	239.19	05/19/20	235.56	...	3.63	1 of 4 - Sale <sup>25</sup>
	86.000	1,582.36	05/19/20	1,558.32	...	24.04	2 of 4 - Sale <sup>25</sup>
	87.000	1,600.75	05/19/20	1,576.44	...	24.31	3 of 4 - Sale <sup>25</sup>
	12.000	220.79	06/11/20	204.00	...	16.79	4 of 4 - Sale <sup>25</sup>
06/17/20	198.000	3,643.09	Various	3,574.32	...	68.77	Total of 4 transactions
06/19/20	71.000	1,344.70	06/18/20	1,313.50	...	31.20	Sale <sup>25</sup>
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	100.000	1,869.94	07/09/20	1,865.00	...	4.94	1 of 2 - Sale <sup>25</sup>
	100.000	1,869.94	07/09/20	1,865.00	...	4.94	2 of 2 - Sale <sup>25</sup>
07/10/20	200.000	3,739.88	Various	3,730.00	...	9.88	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KARYOPHARM THERAPEUTICS INC. C OMMON STOCK / CUSIP: 48576U106 / Symbol: (cont'd)							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	45.000	656.98	08/19/20	673.20	...	-16.22	1 of 3 - Sale <sup>25</sup>
	40.000	583.98	08/26/20	574.00	...	9.98	2 of 3 - Sale <sup>25</sup>
	15.000	218.99	08/27/20	208.20	...	10.79	3 of 3 - Sale <sup>25</sup>
08/31/20	100.000	1,459.95	Various	1,455.40	...	4.55	Total of 3 transactions
	<b>Security total:</b>	<b>37,211.11</b>		<b>37,175.98</b>	<b>138.51 W</b>	<b>173.64</b>	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
9 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	2.000	6.34	04/23/20	6.16	...	0.18	1 of 9 - Sale <sup>25</sup>
	2.000	6.34	04/23/20	6.16	...	0.18	2 of 9 - Sale <sup>25</sup>
	98.000	310.64	04/23/20	301.84	...	8.80	3 of 9 - Sale <sup>25</sup>
	98.000	310.65	04/23/20	301.84	...	8.81	4 of 9 - Sale <sup>25</sup>
	98.000	310.65	04/23/20	301.84	...	8.81	5 of 9 - Sale <sup>25</sup>
	100.000	316.97	04/23/20	308.00	...	8.97	6 of 9 - Sale <sup>25</sup>
	100.000	316.98	04/23/20	308.00	...	8.98	7 of 9 - Sale <sup>25</sup>
	100.000	316.99	04/23/20	308.00	...	8.99	8 of 9 - Sale <sup>25</sup>
	100.000	316.99	04/23/20	308.00	...	8.99	9 of 9 - Sale <sup>25</sup>
04/27/20	698.000	2,212.55	Various	2,149.84	...	62.71	Total of 9 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
6 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	29.000	11.66	01/02/20	11.61	...	0.05	1 of 6 - Sale <sup>25</sup>
	108.000	43.40	01/02/20	43.25	...	0.15	2 of 6 - Sale <sup>25</sup>
	295.000	118.55	01/02/20	118.15	...	0.40	3 of 6 - Sale <sup>25</sup>
	1,617.000	649.83	01/02/20	647.61	...	2.22	4 of 6 - Sale <sup>25</sup>
	1,980.000	795.70	01/02/20	792.99	...	2.71	5 of 6 - Sale <sup>25</sup>
	5,971.000	2,399.58	01/02/20	2,391.39	...	8.19	6 of 6 - Sale <sup>25</sup>
01/02/20	10,000.000	4,018.72	Various	4,005.00	...	13.72	Total of 6 transactions
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
05/19/20	1,000.000	209.88	05/18/20	190.00	...	19.88	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
04/14/20	60.000	1,037.96	04/08/20	984.48	...	53.48	Sale <sup>25</sup>
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	66.000	1,253.96	05/13/20	1,115.40	...	138.56	1 of 2 - Sale <sup>25</sup>
	100.000	1,899.95	05/13/20	1,690.00	...	209.95	2 of 2 - Sale <sup>25</sup>
05/19/20	166.000	3,153.91	Various	2,805.40	...	348.51	Total of 2 transactions
	<b>Security total:</b>	<b>4,191.87</b>		<b>3,789.88</b>	...	<b>401.99</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
	39 transactions for 01/08/20. Total proceeds and cost reported to the IRS.						
	1.000	4.14	01/08/20	4.08	...	0.06	1 of 39 - Sale <sup>25</sup>
	1.000	4.14	01/08/20	4.08	...	0.06	2 of 39 - Sale <sup>25</sup>
	1.000	4.14	01/08/20	4.08	...	0.06	3 of 39 - Sale <sup>25</sup>
	1.000	4.14	01/08/20	4.08	...	0.06	4 of 39 - Sale <sup>25</sup>
	1.000	4.14	01/08/20	4.08	...	0.06	5 of 39 - Sale <sup>25</sup>
	1.000	4.14	01/08/20	4.08	...	0.06	6 of 39 - Sale <sup>25</sup>
	2.000	8.28	01/08/20	8.16	...	0.12	7 of 39 - Sale <sup>25</sup>
	2.000	8.28	01/08/20	8.16	...	0.12	8 of 39 - Sale <sup>25</sup>
	3.000	12.42	01/08/20	12.24	...	0.18	9 of 39 - Sale <sup>25</sup>
	3.000	12.42	01/08/20	12.24	...	0.18	10 of 39 - Sale <sup>25</sup>
	3.000	12.42	01/08/20	12.24	...	0.18	11 of 39 - Sale <sup>25</sup>
	3.000	12.42	01/08/20	12.24	...	0.18	12 of 39 - Sale <sup>25</sup>
	4.000	16.56	01/08/20	16.32	...	0.24	13 of 39 - Sale <sup>25</sup>
	4.000	16.56	01/08/20	16.32	...	0.24	14 of 39 - Sale <sup>25</sup>
	4.000	16.56	01/08/20	16.32	...	0.24	15 of 39 - Sale <sup>25</sup>
	5.000	20.70	01/08/20	20.40	...	0.30	16 of 39 - Sale <sup>25</sup>
	5.000	20.70	01/08/20	20.40	...	0.30	17 of 39 - Sale <sup>25</sup>
	5.000	20.70	01/08/20	20.40	...	0.30	18 of 39 - Sale <sup>25</sup>
	5.000	20.70	01/08/20	20.40	...	0.30	19 of 39 - Sale <sup>25</sup>
	5.000	20.70	01/08/20	20.40	...	0.30	20 of 39 - Sale <sup>25</sup>
	6.000	24.84	01/08/20	24.48	...	0.36	21 of 39 - Sale <sup>25</sup>
	7.000	28.98	01/08/20	28.56	...	0.42	22 of 39 - Sale <sup>25</sup>
	7.000	28.98	01/08/20	28.56	...	0.42	23 of 39 - Sale <sup>25</sup>
	9.000	37.26	01/08/20	36.72	...	0.54	24 of 39 - Sale <sup>25</sup>
	10.000	41.40	01/08/20	40.80	...	0.60	25 of 39 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 770691434

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	10.000	41.40	01/08/20	40.80	...	0.60	26 of 39 - Sale <sup>25</sup>
	10.000	41.40	01/08/20	40.80	...	0.60	27 of 39 - Sale <sup>25</sup>
	10.000	41.40	01/08/20	40.80	...	0.60	28 of 39 - Sale <sup>25</sup>
	13.000	53.82	01/08/20	53.04	...	0.78	29 of 39 - Sale <sup>25</sup>
	17.000	70.38	01/08/20	69.36	...	1.02	30 of 39 - Sale <sup>25</sup>
	20.000	82.80	01/08/20	81.60	...	1.20	31 of 39 - Sale <sup>25</sup>
	24.000	99.36	01/08/20	97.92	...	1.44	32 of 39 - Sale <sup>25</sup>
	25.000	103.50	01/08/20	102.00	...	1.50	33 of 39 - Sale <sup>25</sup>
	26.000	107.64	01/08/20	106.08	...	1.56	34 of 39 - Sale <sup>25</sup>
	32.000	132.48	01/08/20	130.56	...	1.92	35 of 39 - Sale <sup>25</sup>
	51.000	211.13	01/08/20	208.08	...	3.05	36 of 39 - Sale <sup>25</sup>
	100.000	413.98	01/08/20	408.00	...	5.98	37 of 39 - Sale <sup>25</sup>
	153.000	633.39	01/08/20	624.24	...	9.15	38 of 39 - Sale <sup>25</sup>
	300.000	1,241.93	01/08/20	1,224.00	...	17.93	39 of 39 - Sale <sup>25</sup>
01/08/20	889.000	3,680.33	Various	3,627.12	...	53.21	Total of 39 transactions
	6 transactions for 01/10/20. Total proceeds and cost reported to the IRS.						
	111.000	460.63	01/08/20	452.88	...	7.75	1 of 6 - Sale <sup>25</sup>
	200.000	829.96	01/08/20	808.00	...	21.96	2 of 6 - Sale <sup>25</sup>
	300.000	1,244.94	01/08/20	1,212.00	...	32.94	3 of 6 - Sale <sup>25</sup>
	700.000	2,904.85	01/08/20	2,828.00	...	76.85	4 of 6 - Sale <sup>25</sup>
	800.000	3,319.83	01/08/20	3,232.00	...	87.83	5 of 6 - Sale <sup>25</sup>
	800.000	3,319.83	01/09/20	3,192.00	...	127.83	6 of 6 - Sale <sup>25</sup>
01/10/20	2,911.000	12,080.04	Various	11,724.88	...	355.16	Total of 6 transactions
	20 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	190.000	786.56	01/13/20	779.00	...	7.56	1 of 20 - Sale <sup>25</sup>
	500.000	2,069.90	01/13/20	2,055.00	...	14.90	2 of 20 - Sale <sup>25</sup>
	500.000	2,069.90	01/13/20	2,050.00	...	19.90	3 of 20 - Sale <sup>25</sup>
	50.000	206.99	01/14/20	200.01	...	6.98	4 of 20 - Sale <sup>25</sup>
	71.000	293.92	01/14/20	286.16	...	7.76	5 of 20 - Sale <sup>25</sup>
	230.000	952.15	01/14/20	940.75	...	11.40	6 of 20 - Sale <sup>25</sup>
	249.000	1,030.81	01/14/20	1,018.46	...	12.35	7 of 20 - Sale <sup>25</sup>
	400.000	1,655.92	01/14/20	1,636.08	...	19.84	8 of 20 - Sale <sup>25</sup>
	879.000	3,638.88	01/14/20	3,586.23	...	52.65	9 of 20 - Sale <sup>25</sup>
	50.000	208.99	01/15/20	207.03	...	1.96	10 of 20 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	50.000	208.99	01/15/20	206.50	...	2.49	11 of 20 - Sale <sup>25</sup>
	96.000	401.26	01/15/20	396.48	...	4.78	12 of 20 - Sale <sup>25</sup>
	104.000	434.69	01/15/20	429.52	...	5.17	13 of 20 - Sale <sup>25</sup>
	304.000	1,270.65	01/15/20	1,255.52	...	15.13	14 of 20 - Sale <sup>25</sup>
	346.000	1,446.21	01/15/20	1,428.98	...	17.23	15 of 20 - Sale <sup>25</sup>
	450.000	1,880.91	01/15/20	1,858.50	...	22.41	16 of 20 - Sale <sup>25</sup>
	500.000	2,089.89	01/15/20	2,065.00	...	24.89	17 of 20 - Sale <sup>25</sup>
	500.000	2,089.89	01/15/20	2,065.00	...	24.89	18 of 20 - Sale <sup>25</sup>
	550.000	2,298.89	01/15/20	2,271.50	...	27.39	19 of 20 - Sale <sup>25</sup>
	1,000.000	4,179.79	01/15/20	4,130.00	...	49.79	20 of 20 - Sale <sup>25</sup>
01/15/20	7,019.000	29,215.19	Various	28,865.72	...	349.47	Total of 20 transactions
	4 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	1,000.000	4,139.79	01/13/20	4,260.00	120.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	206.99	01/13/20	208.50	1.51 W	0.00	2 of 4 - Sale <sup>25</sup>
	121.000	500.91	01/14/20	508.22	7.31 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	208.99	01/15/20	209.52	0.53 W	0.00	4 of 4 - Sale <sup>25</sup>
01/15/20	1,221.000	5,056.68	Various	5,186.24	129.56 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>50,032.24</b>		<b>49,403.96</b>	<b>129.56 W</b>	<b>757.84</b>	
QUALIGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74754R103 / Symbol:							
05/27/20	18.000	133.38	05/18/20	288.00	...	-154.62	Sale <sup>25</sup>
SORRENTO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 83587F202 / Symbol:							
05/15/20	105.000	734.97	05/15/20	703.50	...	31.47	Sale <sup>25</sup>
	3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	190.000	911.95	05/18/20	1,672.00	...	-760.05	1 of 3 - Sale <sup>25</sup>
	100.000	479.98	05/18/20	880.00	...	-400.02	2 of 3 - Sale <sup>25</sup>
	43.000	206.39	05/18/20	378.40	...	-172.01	3 of 3 - Sale <sup>25</sup>
06/18/20	333.000	1,598.32	Various	2,930.40	...	-1,332.08	Total of 3 transactions
	<b>Security total:</b>	<b>2,333.29</b>		<b>3,633.90</b>	...	<b>-1,300.61</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/18/20	5.000	4,284.90	02/13/20	4,075.00	...	209.90	Sale <sup>25</sup>
10/12/20	10.000	4,449.90	09/02/20	4,400.00	...	49.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,734.80</b>		<b>8,475.00</b>	...	<b>259.80</b>	
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
01/09/20	2,000.000	3,759.68	01/09/20	3,720.00	...	39.68	Sale <sup>25</sup>
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
<i>2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	157.94	04/16/20	149.50	...	8.44	1 of 2 - Sale <sup>25</sup>
	200.000	2,429.92	04/16/20	2,300.00	...	129.92	2 of 2 - Sale <sup>25</sup>
04/28/20	213.000	2,587.86	Various	2,449.50	...	138.36	Total of 2 transactions
<b>Totals :</b>		<b>512,086.79</b>		<b>549,719.66</b>	<b>13,577.11 W</b>	<b>-24,055.76</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 770691434

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
06/02/20	0.720	5.42	05/18/20	11.52	...	-6.10	Gain or loss
<b>Totals:</b>		<b>5.42</b>		<b>11.52</b>	...	<b>-6.10</b>	

Robinhood Securities LLC

Account 770691434

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ENERPLUS CORPORATION	292766102		04/15/20	3.26	Nonqualified dividend	
			04/15/20	-0.49	Foreign tax withheld-CA	
				<b>3.26</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.49</b>	<b>Total Foreign tax withheld</b>	
				<b>3.26</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.49</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 770691434

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/14/20	-5.00	Margin interest paid	
		06/15/20	-5.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
			<b>-30.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 770691434

**Foreign Income and Taxes Summary**

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	ENERPLUS CORPORATION	292766102	3.26	0.00		0.00	3.26	-0.49
<b>Total CANADA</b>			<b>3.26</b>	<b>0.00</b>		<b>0.00</b>	<b>3.26</b>	<b>-0.49</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>3.26</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>							<b>-0.49</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

---



**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

This page intentionally left blank.