

Robinhood Securities LLC

Account 103527271

Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.81
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	290.34
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 09/04/2020 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	5.00	08/27/20	18.02	...	-13.02	1 of 5 - Option sale to close-call ²⁵
	1.000	4.99	08/27/20	14.01	...	-9.02	2 of 5 - Option sale to close-call ²⁵
	1.000	4.99	08/27/20	14.00	...	-9.01	3 of 5 - Option sale to close-call ²⁵
	1.000	5.98	08/27/20	14.01	...	-8.03	4 of 5 - Option sale to close-call ²⁵
	1.000	5.00	08/27/20	10.00	...	-5.00	5 of 5 - Option sale to close-call ²⁵
08/28/20	5.000	25.96	Various	70.04	...	-44.08	Total of 5 transactions
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	6.00	08/27/20	10.00	4.00 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	5.99	08/27/20	10.00	4.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	2.000	11.99	08/27/20	20.00	8.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	5.98	08/27/20	14.00	8.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
08/28/20	5.000	29.96	Various	54.00	24.04 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 09/04/2020 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
Security total:		55.92		124.04		24.04 W	-44.08
AAL 11/06/2020 CALL \$18.50 / CUSIP: / Symbol:							
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	3.000	14.98	10/21/20	15.00	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	6.000	29.98	10/21/20	30.00	...	-0.02	2 of 3 - Option sale to close-call ²⁵
	1.000	5.00	10/21/20	5.00	...	0.00	3 of 3 - Option sale to close-call ²⁵
10/23/20	10.000	49.96	Various	50.00	...	-0.04	Total of 3 transactions
AAL 11/06/2020 PUT \$9.00 / CUSIP: / Symbol:							
10/22/20	10.000	49.97	10/21/20	70.00	...	-20.03	Option sale to close-put ²⁵
AAPL 01/31/2020 CALL \$360.00 / CUSIP: / Symbol:							
01/14/20	1.000	72.98	01/14/20	96.00	...	-23.02	Option sale to close-call ²⁵
AUY 08/21/2020 CALL \$7.00 / CUSIP: / Symbol:							
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	3.000	35.99	07/21/20	45.00	...	-9.01	1 of 4 - Option sale to close-call ²⁵
	1.000	12.00	07/21/20	15.00	...	-3.00	2 of 4 - Option sale to close-call ²⁵
	1.000	12.00	07/21/20	15.00	...	-3.00	3 of 4 - Option sale to close-call ²⁵
	5.000	59.98	07/23/20	45.00	...	14.98	4 of 4 - Option sale to close-call ²⁵
08/04/20	10.000	119.97	Various	120.00	...	-0.03	Total of 4 transactions
BNTX 12/24/2020 CALL \$135.00 / CUSIP: / Symbol:							
11/20/20	1.000	259.98	11/20/20	250.00	...	9.98	Option sale to close-call ²⁵
CDLX 11/20/2020 PUT \$70.00 / CUSIP: / Symbol:							
10/15/20	1.000	439.98	10/14/20	380.00	...	59.98	Option sale to close-put ²⁵
CNST 08/21/2020 PUT \$20.00 / CUSIP: / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	08/04/20	27.00	...	2.98	1 of 3 - Option sale to close-put ²⁵
	1.000	29.98	08/04/20	25.00	...	4.98	2 of 3 - Option sale to close-put ²⁵
	1.000	29.98	08/04/20	25.00	...	4.98	3 of 3 - Option sale to close-put ²⁵
08/05/20	3.000	89.94	Various	77.00	...	12.94	Total of 3 transactions

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CODX 05/15/2020 PUT \$10.00 / CUSIP: / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	04/22/20	105.00	60.01 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	44.99	04/23/20	95.00	50.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
04/27/20	2.000	89.98	Various	200.00	110.02 W	0.00	Total of 2 transactions
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	35.99	04/28/20	135.01	...	-99.02	1 of 2 - Option sale to close-put ²⁵
	1.000	35.99	04/28/20	125.01	...	-89.02	2 of 2 - Option sale to close-put ²⁵
05/04/20	2.000	71.98	Various	260.02	...	-188.04	Total of 2 transactions
	Security total:	161.96		460.02	110.02 W	-188.04	
CODX 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
05/15/20	1.000	199.98	05/14/20	520.00	...	-320.02	Option sale to close-call ²⁵
CODX 06/19/2020 PUT \$17.00 / CUSIP: / Symbol:							
05/18/20	1.000	369.98	05/14/20	530.00	...	-160.02	Option sale to close-put ²⁵
06/22/20	1.000	272.98	06/19/20	0.00	...	272.98	Short sale closed- put ²⁵ Option written: 05/18/20
	Security total:	642.96		530.00	...	112.96	
CODX 06/19/2020 PUT \$18.00 / CUSIP: / Symbol:							
05/14/20	1.000	559.97	05/14/20	520.00	...	39.97	Option sale to close-put ²⁵
06/15/20	1.000	179.98	05/18/20	490.00	...	-310.02	Option sale to close-put ²⁵
	Security total:	739.95		1,010.00	...	-270.05	
CODX 07/31/2020 PUT \$17.50 / CUSIP: / Symbol:							
06/22/20	1.000	459.97	06/19/20	430.00	...	29.97	Option sale to close-put ²⁵
06/24/20	1.000	329.98	06/23/20	380.00	50.02 W	0.00	Option sale to close-put ²⁵
07/15/20	1.000	199.98	06/25/20	420.02	...	-220.04	Option sale to close-put ²⁵
	Security total:	989.93		1,230.02	50.02 W	-190.07	
CODX 08/28/2020 PUT \$19.50 / CUSIP: / Symbol:							
07/23/20	1.000	349.98	07/21/20	450.00	...	-100.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAL 07/17/2020 PUT \$22.00 / CUSIP: / Symbol:							
5 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	12.00	07/13/20	14.01	...	-2.01	1 of 5 - Option sale to close-put ²⁵
	1.000	12.00	07/13/20	14.00	...	-2.00	2 of 5 - Option sale to close-put ²⁵
	1.000	12.00	07/13/20	14.00	...	-2.00	3 of 5 - Option sale to close-put ²⁵
	2.000	23.99	07/13/20	22.01	...	1.98	4 of 5 - Option sale to close-put ²⁵
	10.000	119.97	07/13/20	90.00	...	29.97	5 of 5 - Option sale to close-put ²⁵
07/14/20	15.000	179.96	Various	154.02	...	25.94	Total of 5 transactions
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	3.000	35.99	07/13/20	42.00	6.01 W	0.00	1 of 2 - Option sale to close-put ²⁵
	2.000	23.99	07/13/20	28.00	4.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
07/14/20	5.000	59.98	Various	70.00	10.02 W	0.00	Total of 2 transactions
	Security total:	239.94		224.02	10.02 W	25.94	
DIS 03/13/2020 CALL \$165.00 / CUSIP: / Symbol:							
02/12/20	1.000	9.98	02/04/20	115.00	...	-105.02	Option sale to close-call ²⁵
DRIP 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/14/20	1.000	99.98	12/04/20	260.00	...	-160.02	Option sale to close-call ²⁵
FCEL 10/16/2020 PUT \$1.00 / CUSIP: / Symbol:							
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	25.000	0.00	10/05/20	50.00	...	-50.00	1 of 3 - Option expiration- long put
	25.000	0.00	10/05/20	50.00	...	-50.00	2 of 3 - Option expiration- long put
	10.000	0.00	10/05/20	20.00	...	-20.00	3 of 3 - Option expiration- long put
10/16/20	60.000	0.00	Various	120.00	...	-120.00	Total of 3 transactions
FCEL 10/16/2020 PUT \$1.50 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/05/20	12.00	...	-12.00	Option expiration- long put
FCEL 12/18/2020 PUT \$8.00 / CUSIP: / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	158.98	11/25/20	170.00	11.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	158.98	11/25/20	170.00	11.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
11/25/20	2.000	317.96	Various	340.00	22.04 W	0.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 12/18/2020 PUT \$8.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	11/25/20	157.02	...	-57.03	1 of 2 - Option sale to close-put ²⁵
	1.000	99.99	11/25/20	151.02	...	-51.03	2 of 2 - Option sale to close-put ²⁵
11/27/20	2.000	199.98	Various	308.04	...	-108.06	Total of 2 transactions
	Security total:	517.94		648.04	22.04 W	-108.06	
GHIV 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/28/20	1.000	249.98	12/23/20	175.00	...	74.98	Option sale to close-call ²⁵
GNUS 07/24/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	-15.02	07/24/20	0.00	...	-15.02	1 of 2 - Short sale closed- call ²⁵
	1.000	-15.02	07/24/20	0.00	...	-15.02	Option written: 07/23/20
07/27/20	2.000	-30.04	Various	0.00	...	-30.04	2 of 2 - Short sale closed- call ²⁵
							Option written: 07/23/20
							Total of 2 transactions
GNUS 07/31/2020 PUT \$1.50 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	8.000	79.98	06/22/20	200.00	...	-120.02	1 of 3 - Option sale to close-put ²⁵
	1.000	9.99	06/22/20	20.00	...	-10.01	2 of 3 - Option sale to close-put ²⁵
	1.000	10.00	06/22/20	20.00	...	-10.00	3 of 3 - Option sale to close-put ²⁵
07/02/20	10.000	99.97	Various	240.00	...	-140.03	Total of 3 transactions
GRUB 05/22/2020 CALL \$65.00 / CUSIP: / Symbol:							
05/12/20	1.000	369.98	05/12/20	520.00	...	-150.02	Option sale to close-call ²⁵
I 02/07/2020 CALL \$5.50 / CUSIP: / Symbol:							
02/07/20	10.000	0.00	02/06/20	100.00	...	-100.00	Option expiration- long call
I 02/14/2020 PUT \$2.50 / CUSIP: / Symbol:							
02/06/20	10.000	119.97	02/06/20	150.00	...	-30.03	Option sale to close-put ²⁵
IMMU 04/24/2020 PUT \$12.50 / CUSIP: / Symbol:							
04/24/20	2.000	0.00	04/06/20	90.00	...	-90.00	Option expiration- long put

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(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 05/22/2020 PUT \$9.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	05/11/20	50.00	...	-20.01	1 of 2 - Option sale to close-put ²⁵
	1.000	29.99	05/11/20	50.00	...	-20.01	2 of 2 - Option sale to close-put ²⁵
05/12/20	2.000	59.98	Various	100.00	...	-40.02	Total of 2 transactions
INO 07/31/2020 PUT \$20.00 / CUSIP: / Symbol:							
07/02/20	1.000	374.98	06/29/20	350.00	...	24.98	Option sale to close-put ²⁵
IQ 06/19/2020 PUT \$12.00 / CUSIP: / Symbol:							
05/29/20	4.000	55.98	05/28/20	72.00	...	-16.02	Option sale to close-put ²⁵
JMIA 09/18/2020 PUT \$15.00 / CUSIP: / Symbol:							
08/05/20	1.000	322.98	08/03/20	230.00	...	92.98	Option sale to close-put ²⁵
LK 02/14/2020 PUT \$24.00 / CUSIP: / Symbol:							
01/31/20	1.000	99.98	01/31/20	245.00	...	-145.02	Option sale to close-put ²⁵
MRK 02/21/2020 CALL \$110.00 / CUSIP: / Symbol:							
02/21/20	10.000	0.00	12/20/19	30.00	...	-30.00	Option expiration- long call
MRNA 07/17/2020 PUT \$30.00 / CUSIP: / Symbol:							
05/28/20	3.000	344.98	05/26/20	345.00	...	-0.02	Option sale to close-put ²⁵
MRNA 08/07/2020 CALL \$103.00 / CUSIP: / Symbol:							
08/05/20	5.000	4.98	08/04/20	105.00	...	-100.02	Option sale to close-call ²⁵
NDAQ 07/17/2020 PUT \$90.00 / CUSIP: / Symbol:							
05/29/20	2.000	89.98	05/20/20	200.00	...	-110.02	Option sale to close-put ²⁵
NKLA 08/14/2020 PUT \$25.00 / CUSIP: / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	20.98	08/04/20	79.02	...	-58.04	1 of 2 - Option sale to close-put ²⁵
	1.000	20.98	08/04/20	50.00	...	-29.02	2 of 2 - Option sale to close-put ²⁵
08/05/20	2.000	41.96	Various	129.02	...	-87.06	Total of 2 transactions
08/05/20	1.000	20.98	08/04/20	50.00	29.02 W	0.00	Option sale to close-put ²⁵
Security total:		62.94		179.02	29.02 W	-87.06	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NKLA 08/21/2020 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	59.99	07/30/20	61.00	...	-1.01	1 of 2 - Option sale to close-put ²⁵
	1.000	59.99	07/30/20	61.00	...	-1.01	2 of 2 - Option sale to close-put ²⁵
07/30/20	2.000	119.98	Various	122.00	...	-2.02	Total of 2 transactions
NKLA 08/28/2020 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	99.98	07/23/20	80.00	...	19.98	1 of 2 - Option sale to close-put ²⁵
	1.000	104.98	07/23/20	78.00	...	26.98	2 of 2 - Option sale to close-put ²⁵
07/27/20	2.000	204.96	Various	158.00	...	46.96	Total of 2 transactions
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	07/29/20	80.00	...	-5.02	1 of 2 - Option sale to close-put ²⁵
	1.000	74.98	07/29/20	80.00	...	-5.02	2 of 2 - Option sale to close-put ²⁵
07/29/20	2.000	149.96	Various	160.00	...	-10.04	Total of 2 transactions
	Security total:	354.92		318.00	...	36.92	
NVAX 07/31/2020 PUT \$57.00 / CUSIP: / Symbol:							
06/26/20	1.000	619.97	06/25/20	480.00	...	139.97	Option sale to close-put ²⁵
PFE 05/08/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/27/20	33.00	...	-33.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/27/20	33.00	...	-33.00	2 of 2 - Option expiration- long call
05/08/20	2.000	0.00	Various	66.00	...	-66.00	Total of 2 transactions
ROKU 03/20/2020 PUT \$114.00 / CUSIP: / Symbol:							
02/25/20	1.000	764.97	02/25/20	824.00	...	-59.03	Option sale to close-put ²⁵
ROKU 04/24/2020 PUT \$70.00 / CUSIP: / Symbol:							
03/13/20	1.000	569.97	03/12/20	632.00	...	-62.03	Option sale to close-put ²⁵

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ROKU 04/24/2020 PUT \$75.00 / CUSIP: / Symbol:							
03/12/20	1.000	609.97	03/11/20	440.00	...	169.97	Option sale to close-put ²⁵
03/13/20	1.000	749.97	03/11/20	440.00	...	309.97	Option sale to close-put ²⁵
	Security total:	1,359.94		880.00	...	479.94	
ROKU 05/01/2020 PUT \$60.00 / CUSIP: / Symbol:							
03/13/20	1.000	469.97	03/13/20	450.00	...	19.97	Option sale to close-put ²⁵
ROKU 05/01/2020 PUT \$65.00 / CUSIP: / Symbol:							
03/13/20	1.000	629.97	03/13/20	620.00	...	9.97	Option sale to close-put ²⁵
ROKU 05/15/2020 CALL \$170.00 / CUSIP: / Symbol:							
	<i>4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	80.99	05/07/20	600.00	...	-519.01	1 of 4 - Option sale to close-call ²⁵
	2.000	45.99	05/07/20	400.00	...	-354.01	2 of 4 - Option sale to close-call ²⁵
	1.000	22.99	05/07/20	373.01	...	-350.02	3 of 4 - Option sale to close-call ²⁵
	1.000	23.00	05/07/20	371.00	...	-348.00	4 of 4 - Option sale to close-call ²⁵
05/08/20	7.000	172.97	Various	1,744.01	...	-1,571.04	Total of 4 transactions
	<i>2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	27.00	05/07/20	198.00	171.00 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	26.99	05/07/20	200.00	173.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/08/20	2.000	53.99	Various	398.00	344.01 W	0.00	Total of 2 transactions
05/12/20	1.000	15.98	05/07/20	200.00	...	-184.02	Option sale to close-call ²⁵
	Security total:	242.94		2,342.01	344.01 W	-1,755.06	
ROKU 07/10/2020 PUT \$105.00 / CUSIP: / Symbol:							
06/17/20	1.000	281.98	06/16/20	350.00	...	-68.02	Option sale to close-put ²⁵
ROKU 07/10/2020 PUT \$112.00 / CUSIP: / Symbol:							
06/24/20	1.000	276.98	06/23/20	171.02	...	105.96	Option sale to close-put ²⁵
06/24/20	1.000	298.98	06/18/20	315.00	16.02 W	0.00	Option sale to close-put ²⁵
	Security total:	575.96		486.02	16.02 W	105.96	

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Proceeds from Broker and Barter Exchange Transactions

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03/22/2021 AMENDED

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SDC 02/28/2020 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/25/20	55.00	...	-55.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/25/20	55.00	...	-55.00	2 of 2 - Option expiration- long call
02/28/20	2.000	0.00	Various	110.00	...	-110.00	Total of 2 transactions
SDC 05/15/2020 PUT \$3.50 / CUSIP: / Symbol:							
05/15/20	5.000	0.00	04/27/20	50.00	...	-50.00	Option expiration- long put
SPCE 03/06/2020 CALL \$49.00 / CUSIP: / Symbol:							
02/26/20	1.000	40.98	02/25/20	175.00	...	-134.02	Option sale to close-call ²⁵
SPCE 05/08/2020 PUT \$13.50 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/04/20	25.00	...	-25.00	Option expiration- long put
SPCE 05/15/2020 PUT \$11.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	05/04/20	36.00	...	-36.00	1 of 3 - Option expiration- long put
	1.000	0.00	05/04/20	19.00	...	-19.00	2 of 3 - Option expiration- long put
	1.000	0.00	05/04/20	17.00	...	-17.00	3 of 3 - Option expiration- long put
05/15/20	4.000	0.00	Various	72.00	...	-72.00	Total of 3 transactions
SPY 01/25/2021 CALL \$400.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	24.99	12/21/20	31.00	...	-6.01	1 of 2 - Option sale to close-call ²⁵
	1.000	24.99	12/21/20	31.00	...	-6.01	2 of 2 - Option sale to close-call ²⁵
12/22/20	2.000	49.98	Various	62.00	...	-12.02	Total of 2 transactions
SPY 03/06/2020 PUT \$247.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/28/20	170.00	...	-170.00	Option expiration- long put
SPY 03/06/2020 PUT \$260.00 / CUSIP: / Symbol:							
02/28/20	1.000	198.98	02/28/20	325.00	...	-126.02	Option sale to close-put ²⁵

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(continued)

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SPY 03/06/2020 PUT \$265.00 / CUSIP: / Symbol:							
03/03/20	1.000	30.98	03/03/20	20.00	...	10.98	Option sale to close-put ²⁵
	7 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	2.000	7.98	03/03/20	58.01	...	-50.03	1 of 7 - Option sale to close-put ²⁵
	2.000	7.99	03/03/20	58.01	...	-50.02	2 of 7 - Option sale to close-put ²⁵
	1.000	3.99	03/03/20	41.04	...	-37.05	3 of 7 - Option sale to close-put ²⁵
	1.000	3.98	03/03/20	32.01	...	-28.03	4 of 7 - Option sale to close-put ²⁵
	1.000	3.98	03/03/20	32.00	...	-28.02	5 of 7 - Option sale to close-put ²⁵
	1.000	4.00	03/03/20	32.00	...	-28.00	6 of 7 - Option sale to close-put ²⁵
	1.000	4.00	03/03/20	32.00	...	-28.00	7 of 7 - Option sale to close-put ²⁵
03/05/20	9.000	35.92	Various	285.07	...	-249.15	Total of 7 transactions
	3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	1.000	2.98	03/03/20	19.00	16.02 W	0.00	1 of 3 - Option sale to close-put ²⁵
	8.000	23.97	03/03/20	152.00	128.03 W	0.00	2 of 3 - Option sale to close-put ²⁵
	1.000	3.98	03/03/20	32.02	28.04 W	0.00	3 of 3 - Option sale to close-put ²⁵
03/05/20	10.000	30.93	Various	203.02	172.09 W	0.00	Total of 3 transactions
	Security total:	97.83		508.09	172.09 W	-238.17	
SPY 03/16/2020 CALL \$310.00 / CUSIP: / Symbol:							
03/06/20	1.000	149.98	03/06/20	180.00	...	-30.02	Option sale to close-call ²⁵
SPY 03/16/2020 CALL \$340.00 / CUSIP: / Symbol:							
	3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	1.000	3.99	02/28/20	6.01	...	-2.02	1 of 3 - Option sale to close-call ²⁵
	2.000	7.99	02/28/20	10.00	...	-2.01	2 of 3 - Option sale to close-call ²⁵
	1.000	3.99	02/28/20	6.00	...	-2.01	3 of 3 - Option sale to close-call ²⁵
03/04/20	4.000	15.97	Various	22.01	...	-6.04	Total of 3 transactions
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	1.000	4.00	02/27/20	5.00	1.00 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	3.99	02/27/20	5.00	1.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/04/20	2.000	7.99	Various	10.00	2.01 W	0.00	Total of 2 transactions
	Security total:	23.96		32.01	2.01 W	-6.04	

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SPY 03/20/2020 PUT \$289.00 / CUSIP: / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	458.97	03/04/20	285.00	...	173.97	1 of 2 - Option sale to close-put ²⁵
	1.000	602.97	03/04/20	285.00	...	317.97	2 of 2 - Option sale to close-put ²⁵
03/05/20	2.000	1,061.94	Various	570.00	...	491.94	Total of 2 transactions
SPY 03/25/2020 CALL \$325.00 / CUSIP: / Symbol:							
03/10/20	3.000	20.98	03/06/20	111.00	...	-90.02	Option sale to close-call ²⁵
SPY 03/27/2020 CALL \$346.00 / CUSIP: / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	10.000	59.98	02/28/20	50.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	10.000	59.97	03/04/20	70.00	...	-10.03	2 of 2 - Option sale to close-call ²⁵
03/04/20	20.000	119.95	Various	120.00	...	-0.05	Total of 2 transactions
SPY 05/15/2020 PUT \$200.00 / CUSIP: / Symbol:							
03/16/20	1.000	853.97	03/13/20	913.00	59.03 W	0.00	Option sale to close-put ²⁵
03/17/20	1.000	1,043.96	03/16/20	959.03	...	84.93	Option sale to close-put ²⁵
04/02/20	1.000	390.98	03/30/20	400.00	9.02 W	0.00	Option sale to close-put ²⁵
04/06/20	1.000	179.98	04/02/20	425.02	...	-245.04	Option sale to close-put ²⁵
	Security total:	2,468.89		2,697.05	68.05 W	-160.11	
SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol:							
13 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	349.98	05/28/20	100.00	...	249.98	1 of 13 - Option sale to close-call ²⁵
	2.000	139.99	05/29/20	32.00	...	107.99	2 of 13 - Option sale to close-call ²⁵
	3.000	209.99	06/03/20	87.00	...	122.99	3 of 13 - Option sale to close-call ²⁵
	1.000	70.00	06/04/20	22.00	...	48.00	4 of 13 - Option sale to close-call ²⁵
	1.000	70.00	06/26/20	35.00	...	35.00	5 of 13 - Option sale to close-call ²⁵
	1.000	70.00	06/26/20	35.00	...	35.00	6 of 13 - Option sale to close-call ²⁵

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SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	2.000	139.99	06/26/20	70.00	...	69.99	7 of 13 - Option sale to close-call ₂₅
	1.000	69.99	10/14/20	59.00	...	10.99	8 of 13 - Option sale to close-call ₂₅
	1.000	70.00	10/19/20	49.00	...	21.00	9 of 13 - Option sale to close-call ₂₅
	3.000	209.98	10/21/20	135.00	...	74.98	10 of 13 - Option sale to close-call ₂₅
	5.000	329.98	10/27/20	145.00	...	184.98	11 of 13 - Option sale to close-call ₂₅
	5.000	294.98	10/28/20	130.00	...	164.98	12 of 13 - Option sale to close-call ₂₅
	5.000	329.98	10/28/20	130.00	...	199.98	13 of 13 - Option sale to close-call ₂₅
11/09/20	35.000	2,354.86	Various	1,029.00	...	1,325.86	Total of 13 transactions
	11 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	27.98	10/28/20	24.00	...	3.98	1 of 11 - Option sale to close-call ₂₅
	1.000	25.99	10/28/20	20.00	...	5.99	2 of 11 - Option sale to close-call ₂₅
	1.000	25.99	10/28/20	20.00	...	5.99	3 of 11 - Option sale to close-call ₂₅
	1.000	28.00	10/28/20	20.00	...	8.00	4 of 11 - Option sale to close-call ₂₅
	1.000	28.98	10/28/20	20.00	...	8.98	5 of 11 - Option sale to close-call ₂₅
	2.000	51.98	10/28/20	40.00	...	11.98	6 of 11 - Option sale to close-call ₂₅
	4.000	111.98	10/28/20	96.00	...	15.98	7 of 11 - Option sale to close-call ₂₅
	4.000	111.98	10/28/20	80.00	...	31.98	8 of 11 - Option sale to close-call ₂₅
	1.000	28.99	10/29/20	19.00	...	9.99	9 of 11 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	1.000	28.99	10/29/20	19.00	...	9.99	10 of 11 - Option sale to close-call ²⁵
	2.000	57.98	10/30/20	28.00	...	29.98	11 of 11 - Option sale to close-call ²⁵
11/10/20	19.000	528.84	Various	386.00	...	142.84	Total of 11 transactions
11/12/20	5.000	99.98	10/30/20	70.00	...	29.98	Option sale to close-call ²⁵
	9 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	19.00	10/30/20	14.00	...	5.00	1 of 9 - Option sale to close-call ²⁵
	5.000	94.98	11/18/20	85.00	...	9.98	2 of 9 - Option sale to close-call ²⁵
	5.000	94.99	11/18/20	80.00	...	14.99	3 of 9 - Option sale to close-call ²⁵
	1.000	18.00	11/23/20	12.00	...	6.00	4 of 9 - Option sale to close-call ²⁵
	1.000	18.00	11/23/20	12.00	...	6.00	5 of 9 - Option sale to close-call ²⁵
	3.000	53.98	11/23/20	33.01	...	20.97	6 of 9 - Option sale to close-call ²⁵
	4.000	71.99	11/23/20	48.01	...	23.98	7 of 9 - Option sale to close-call ²⁵
	5.000	89.98	11/23/20	55.01	...	34.97	8 of 9 - Option sale to close-call ²⁵
	10.000	189.97	11/23/20	90.00	...	99.97	9 of 9 - Option sale to close-call ²⁵
11/24/20	35.000	650.89	Various	429.03	...	221.86	Total of 9 transactions
	6 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	19.00	11/17/20	22.00	3.00 W	0.00	1 of 6 - Option sale to close-call ²⁵
	3.000	56.99	11/17/20	63.00	6.01 W	0.00	2 of 6 - Option sale to close-call ²⁵
	4.000	75.99	11/17/20	88.00	12.01 W	0.00	3 of 6 - Option sale to close-call ²⁵
	1.000	19.00	11/17/20	22.00	3.00 W	0.00	4 of 6 - Option sale to close-call ²⁵
	5.000	94.99	11/18/20	105.00	10.01 W	0.00	5 of 6 - Option sale to close-call ²⁵
	5.000	94.99	11/18/20	105.00	10.01 W	0.00	6 of 6 - Option sale to close-call ²⁵
11/24/20	19.000	360.96	Various	405.00	44.04 W	0.00	Total of 6 transactions
	5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	18.98	11/23/20	11.00	...	7.98	1 of 5 - Option sale to close-call ²⁵
	1.000	17.00	11/23/20	9.00	...	8.00	2 of 5 - Option sale to close-call ²⁵
	1.000	19.00	11/23/20	9.00	...	10.00	3 of 5 - Option sale to close-call ²⁵
	4.000	75.98	11/23/20	44.01	...	31.97	4 of 5 - Option sale to close-call ²⁵
	9.000	152.97	11/23/20	81.00	...	71.97	5 of 5 - Option sale to close-call ²⁵
11/25/20	16.000	283.93	Various	154.01	...	129.92	Total of 5 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	10.000	149.97	11/23/20	90.00	...	59.97	1 of 2 - Option sale to close-call ²⁵
	10.000	149.98	11/23/20	90.00	...	59.98	2 of 2 - Option sale to close-call ²⁵
11/27/20	20.000	299.95	Various	180.00	...	119.95	Total of 2 transactions
30 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	3.000	20.98	11/23/20	27.00	6.02 W	0.00	1 of 30 - Option sale to close-call ²⁵
	7.000	41.98	11/23/20	63.00	21.02 W	0.00	2 of 30 - Option sale to close-call ²⁵
	3.000	17.99	11/30/20	36.02	18.03 W	0.00	3 of 30 - Option sale to close-call ²⁵
	7.000	41.98	11/30/20	91.02	49.04 W	0.00	4 of 30 - Option sale to close-call ²⁵
	3.000	17.99	11/30/20	48.03	30.04 W	0.00	5 of 30 - Option sale to close-call ²⁵
	7.000	41.98	11/30/20	119.04	77.06 W	0.00	6 of 30 - Option sale to close-call ²⁵
	3.000	17.98	11/30/20	30.00	12.02 W	0.00	7 of 30 - Option sale to close-call ²⁵
	7.000	41.98	11/30/20	70.00	28.02 W	0.00	8 of 30 - Option sale to close-call ²⁵
	3.000	17.99	11/30/20	60.04	42.05 W	0.00	9 of 30 - Option sale to close-call ²⁵
	7.000	41.98	11/30/20	147.06	105.08 W	0.00	10 of 30 - Option sale to close-call ²⁵
	1.000	6.00	11/30/20	14.01	8.01 W	0.00	11 of 30 - Option sale to close-call ²⁵
	2.000	12.00	11/30/20	28.01	16.01 W	0.00	12 of 30 - Option sale to close-call ²⁵
	7.000	41.98	11/30/20	98.02	56.04 W	0.00	13 of 30 - Option sale to close-call ²⁵
	3.000	17.99	12/07/20	72.05	54.06 W	0.00	14 of 30 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
	5.000	29.98	12/07/20	125.06	95.08 W	0.00	15 of 30 - Option sale to close-call 25
	2.000	11.99	12/07/20	50.02	38.03 W	0.00	16 of 30 - Option sale to close-call 25
	1.000	6.00	12/07/20	17.01	11.01 W	0.00	17 of 30 - Option sale to close-call 25
	2.000	11.99	12/07/20	34.01	22.02 W	0.00	18 of 30 - Option sale to close-call 25
	3.000	17.99	12/07/20	51.02	33.03 W	0.00	19 of 30 - Option sale to close-call 25
	3.000	17.98	12/07/20	51.02	33.04 W	0.00	20 of 30 - Option sale to close-call 25
	1.000	5.98	12/07/20	17.00	11.02 W	0.00	21 of 30 - Option sale to close-call 25
	2.000	13.99	12/07/20	58.03	44.04 W	0.00	22 of 30 - Option sale to close-call 25
	3.000	20.99	12/07/20	84.06	63.07 W	0.00	23 of 30 - Option sale to close-call 25
	5.000	34.99	12/07/20	145.08	110.09 W	0.00	24 of 30 - Option sale to close-call 25
	1.000	7.00	12/07/20	21.01	14.01 W	0.00	25 of 30 - Option sale to close-call 25
	1.000	7.00	12/07/20	21.01	14.01 W	0.00	26 of 30 - Option sale to close-call 25
	2.000	13.99	12/07/20	42.02	28.03 W	0.00	27 of 30 - Option sale to close-call 25
	1.000	7.00	12/07/20	21.02	14.02 W	0.00	28 of 30 - Option sale to close-call 25
	2.000	13.99	12/07/20	42.02	28.03 W	0.00	29 of 30 - Option sale to close-call 25
	3.000	20.99	12/07/20	63.04	42.05 W	0.00	30 of 30 - Option sale to close-call 25
12/09/20	100.000	622.65	Various	1,745.73	1,123.08 W	0.00	Total of 30 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
11 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	5.000	19.99	12/10/20	155.10	...	-135.11	1 of 11 - Option sale to close-call ²⁵
	3.000	11.99	12/10/20	90.08	...	-78.09	2 of 11 - Option sale to close-call ²⁵
	2.000	8.00	12/10/20	62.05	...	-54.05	3 of 11 - Option sale to close-call ²⁵
	2.000	7.99	12/10/20	42.04	...	-34.05	4 of 11 - Option sale to close-call ²⁵
	2.000	7.99	12/10/20	42.04	...	-34.05	5 of 11 - Option sale to close-call ²⁵
	2.000	8.00	12/10/20	42.03	...	-34.03	6 of 11 - Option sale to close-call ²⁵
	1.000	4.00	12/10/20	21.01	...	-17.01	7 of 11 - Option sale to close-call ²⁵
	1.000	4.00	12/10/20	21.01	...	-17.01	8 of 11 - Option sale to close-call ²⁵
	1.000	4.00	12/10/20	20.02	...	-16.02	9 of 11 - Option sale to close-call ²⁵
	1.000	4.00	12/10/20	20.02	...	-16.02	10 of 11 - Option sale to close-call ²⁵
	2.000	7.99	12/10/20	10.00	...	-2.01	11 of 11 - Option sale to close-call ²⁵
12/14/20	22.000	87.95	Various	525.40	...	-437.45	Total of 11 transactions
8 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	2.000	7.99	12/07/20	60.04	52.05 W	0.00	1 of 8 - Option sale to close-call ²⁵
	3.000	11.99	12/07/20	87.07	75.08 W	0.00	2 of 8 - Option sale to close-call ²⁵
	5.000	19.99	12/07/20	150.09	130.10 W	0.00	3 of 8 - Option sale to close-call ²⁵
	1.000	4.00	12/10/20	20.01	16.01 W	0.00	4 of 8 - Option sale to close-call ²⁵
	1.000	4.00	12/10/20	20.01	16.01 W	0.00	5 of 8 - Option sale to close-call ²⁵
	2.000	7.99	12/10/20	40.03	32.04 W	0.00	6 of 8 - Option sale to close-call ²⁵
	2.000	7.99	12/10/20	40.03	32.04 W	0.00	7 of 8 - Option sale to close-call ²⁵
	2.000	8.00	12/10/20	40.03	32.03 W	0.00	8 of 8 - Option sale to close-call ²⁵
12/14/20	18.000	71.95	Various	457.31	385.36 W	0.00	Total of 8 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SPY 12/31/2020 CALL \$400.00 / CUSIP: / Symbol: (cont'd)							
12/16/20	10.000	39.97	12/10/20	50.00	...	-10.03	Option sale to close-call ²⁵
	Security total:	5,401.93		5,431.48	1,552.48 W	1,522.93	
SPYG 04/17/2020 CALL \$42.00 / CUSIP: / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	03/06/20	120.02	...	-75.03	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	03/06/20	115.02	...	-70.03	2 of 2 - Option sale to close-call ²⁵
03/10/20	2.000	89.98	Various	235.04	...	-145.06	Total of 2 transactions
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	1.000	39.98	03/06/20	80.00	40.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	03/06/20	80.00	35.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/10/20	2.000	84.96	Various	160.00	75.04 W	0.00	Total of 2 transactions
	Security total:	174.94		395.04	75.04 W	-145.06	
SPYG 04/17/2020 PUT \$39.00 / CUSIP: / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	03/03/20	100.00	...	34.98	1 of 2 - Option sale to close-put ²⁵
	1.000	134.98	03/03/20	100.00	...	34.98	2 of 2 - Option sale to close-put ²⁵
03/05/20	2.000	269.96	Various	200.00	...	69.96	Total of 2 transactions
5 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	03/03/20	100.00	...	99.98	1 of 5 - Option sale to close-put ²⁵
	1.000	184.98	03/04/20	80.00	...	104.98	2 of 5 - Option sale to close-put ²⁵
	1.000	199.98	03/04/20	85.00	...	114.98	3 of 5 - Option sale to close-put ²⁵
	1.000	204.98	03/04/20	85.00	...	119.98	4 of 5 - Option sale to close-put ²⁵
	1.000	199.98	03/04/20	80.00	...	119.98	5 of 5 - Option sale to close-put ²⁵
03/06/20	5.000	989.90	Various	430.00	...	559.90	Total of 5 transactions
	Security total:	1,259.86		630.00	...	629.86	
UCO 05/15/2020 CALL \$1.00 / CUSIP: / Symbol:							
04/20/20	1.000	57.98	04/20/20	61.00	...	-3.02	Option sale to close-call ²⁵
UCO1 05/15/2020 CALL \$2.50 / CUSIP: / Symbol:							
05/15/20	4.000	0.00	04/20/20	60.00	...	-60.00	Option expiration- long call

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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UCO1 05/15/2020 PUT \$1.00 / CUSIP: / Symbol:							
05/04/20	2.000	73.98	04/20/20	52.00	...	21.98	Option sale to close-put ²⁵
05/05/20	2.000	69.98	04/20/20	52.00	...	17.98	Option sale to close-put ²⁵
	Security total:	143.96		104.00	...	39.96	
VUZI 06/19/2020 PUT \$2.50 / CUSIP: / Symbol:							
05/11/20	1.000	41.98	05/08/20	78.02	...	-36.04	Option sale to close-put ²⁵
05/11/20	1.000	41.98	05/08/20	65.00	23.02 W	0.00	Option sale to close-put ²⁵
	Security total:	83.96		143.02	23.02 W	-36.04	
WEN 01/15/2021 CALL \$23.00 / CUSIP: / Symbol:							
12/21/20	2.000	99.98	12/18/20	156.00	...	-56.02	Option sale to close-call ²⁵
WORK 06/26/2020 CALL \$47.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/04/20	100.00	...	-100.00	Option expiration- long call
WORK 06/26/2020 CALL \$55.00 / CUSIP: / Symbol:							
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	34.99	06/03/20	50.00	...	-15.01	1 of 2 - Option sale to close-call ²⁵
	1.000	34.99	06/03/20	50.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	69.98	Various	100.00	...	-30.02	Total of 2 transactions
ANGI INC. CLASS A COMMON STOCK / CUSIP: 00183L102 / Symbol:							
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	12.05	10/01/20	12.36	0.31 W	0.00	1 of 2 - Sale ²⁵
	8.000	96.36	10/01/20	98.88	2.52 W	0.00	2 of 2 - Sale ²⁵
10/02/20	9.000	108.41	Various	111.24	2.83 W	0.00	Total of 2 transactions
	6 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	4.000	43.40	10/08/20	52.34	...	-8.94	1 of 6 - Sale ²⁵
	4.000	43.40	10/08/20	51.36	...	-7.96	2 of 6 - Sale ²⁵
	1.000	10.85	10/08/20	13.60	...	-2.75	3 of 6 - Sale ²⁵
	1.000	10.85	10/08/20	12.53	...	-1.68	4 of 6 - Sale ²⁵
	5.000	54.25	10/13/20	59.99	...	-5.74	5 of 6 - Sale ²⁵
	20.000	217.00	10/13/20	221.34	...	-4.34	6 of 6 - Sale ²⁵
10/14/20	35.000	379.75	Various	411.16	...	-31.41	Total of 6 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ANGI INC. CLASS A COMMON STOCK / CUSIP: 00183L102 / Symbol: (cont'd)							
4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	10.89	10/01/20	12.67	1.78 W	0.00	1 of 4 - Sale ²⁵
	8.000	87.12	10/08/20	97.24	10.12 W	0.00	2 of 4 - Sale ²⁵
	1.000	10.89	10/08/20	11.84	0.95 W	0.00	3 of 4 - Sale ²⁵
	5.000	54.45	10/08/20	59.10	4.65 W	0.00	4 of 4 - Sale ²⁵
10/14/20	15.000	163.35	Various	180.85	17.50 W	0.00	Total of 4 transactions
	Security total:	651.51		703.25	20.33 W	-31.41	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
02/12/20	1,000.000	228.98	11/27/19	214.90	...	14.08	Sale ²⁵
AERPIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00810B105 / Symbol:							
06/01/20	1.000	1.14	05/28/20	1.05	...	0.09	Sale ²⁵
AILERON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 00887A105 / Symbol:							
2 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	1.000	0.65	11/20/19	0.41	...	0.24	1 of 2 - Sale ²⁵
	1.000	0.66	12/04/19	0.47	...	0.19	2 of 2 - Sale ²⁵
01/07/20	2.000	1.31	Various	0.88	...	0.43	Total of 2 transactions
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	5.000	729.08	12/10/20	745.00	...	-15.92	1 of 2 - Sale ²⁵
	4.000	581.50	12/10/20	596.00	...	-14.50	2 of 2 - Sale ²⁵
12/10/20	9.000	1,310.58	Various	1,341.00	...	-30.42	Total of 2 transactions
12/11/20	1.000	149.83	12/10/20	149.00	...	0.83	Sale ²⁵
	Security total:	1,460.41		1,490.00	...	-29.59	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
20 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	5.000	96.40	01/07/20	156.15	...	-59.75	1 of 20 - Sale ²⁵
	3.000	57.84	01/07/20	93.69	...	-35.85	2 of 20 - Sale ²⁵
	3.000	57.84	01/07/20	91.57	...	-33.73	3 of 20 - Sale ²⁵
	5.000	96.39	01/07/20	129.73	...	-33.34	4 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol: (cont'd)							
	3.000	57.84	01/07/20	88.32	...	-30.48	5 of 20 - Sale ²⁵
	3.000	57.84	01/07/20	83.17	...	-25.33	6 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	62.43	...	-23.87	7 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	61.71	...	-23.15	8 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	61.05	...	-22.49	9 of 20 - Sale ²⁵
	5.000	96.39	01/07/20	117.02	...	-20.63	10 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	58.89	...	-20.33	11 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	55.47	...	-16.91	12 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	55.44	...	-16.88	13 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	55.43	...	-16.87	14 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	55.21	...	-16.65	15 of 20 - Sale ²⁵
	2.000	38.56	01/07/20	51.87	...	-13.31	16 of 20 - Sale ²⁵
	1.000	19.28	01/07/20	27.71	...	-8.43	17 of 20 - Sale ²⁵
	2.000	38.55	01/07/20	46.81	...	-8.26	18 of 20 - Sale ²⁵
	1.000	19.28	01/07/20	25.95	...	-6.67	19 of 20 - Sale ²⁵
	1.000	19.28	01/07/20	23.41	...	-4.13	20 of 20 - Sale ²⁵
01/08/20	50.000	963.97	Various	1,401.03	...	-437.06	Total of 20 transactions
	7 transactions for 01/08/20. Total proceeds and cost reported to the IRS.						
	1.000	19.28	12/20/19	24.05	4.77 W	0.00	1 of 7 - Sale ²⁵
	9.000	173.51	01/07/20	210.41	36.90 W	0.00	2 of 7 - Sale ²⁵
	10.000	192.79	01/07/20	233.80	41.01 W	0.00	3 of 7 - Sale ²⁵
	2.000	38.56	01/07/20	65.28	26.72 W	0.00	4 of 7 - Sale ²⁵
	3.000	57.84	01/07/20	97.51	39.67 W	0.00	5 of 7 - Sale ²⁵
	3.000	57.84	01/07/20	97.91	40.07 W	0.00	6 of 7 - Sale ²⁵
	2.000	38.56	01/07/20	46.59	8.03 W	0.00	7 of 7 - Sale ²⁵
01/08/20	30.000	578.38	Various	775.55	197.17 W	0.00	Total of 7 transactions
	13 transactions for 01/09/20. Total proceeds and cost reported to the IRS.						
	8.000	161.06	01/07/20	179.73	...	-18.67	1 of 13 - Sale ²⁵
	5.000	100.66	01/07/20	116.97	...	-16.31	2 of 13 - Sale ²⁵
	3.000	60.40	01/07/20	70.15	...	-9.75	3 of 13 - Sale ²⁵
	2.000	40.26	01/07/20	46.69	...	-6.43	4 of 13 - Sale ²⁵
	1.000	20.13	01/07/20	23.39	...	-3.26	5 of 13 - Sale ²⁵
	1.000	20.13	01/07/20	22.33	...	-2.20	6 of 13 - Sale ²⁵
	3.000	60.39	01/08/20	96.98	...	-36.59	7 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol: (cont'd)							
	3.000	60.40	01/08/20	96.58	...	-36.18	8 of 13 - Sale ²⁵
	10.000	201.32	01/08/20	230.71	...	-29.39	9 of 13 - Sale ²⁵
	9.000	181.19	01/08/20	207.63	...	-26.44	10 of 13 - Sale ²⁵
	2.000	40.26	01/08/20	64.66	...	-24.40	11 of 13 - Sale ²⁵
	2.000	40.27	01/08/20	45.97	...	-5.70	12 of 13 - Sale ²⁵
	1.000	20.13	01/08/20	23.74	...	-3.61	13 of 13 - Sale ²⁵
01/09/20	50.000	1,006.60	Various	1,225.53	...	-218.93	Total of 13 transactions
	Security total:	2,548.95		3,402.11	197.17 W	-655.99	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
01/08/20	2.000	3,800.47	01/07/20	3,823.59	...	-23.12	Sale ²⁵
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.900	2,807.23	11/24/20	2,799.21	...	8.02	1 of 2 - Sale ²⁵
	1.000	3,134.10	11/24/20	3,110.37	...	23.73	2 of 2 - Sale ²⁵
12/09/20	1.900	5,941.33	Various	5,909.58	...	31.75	Total of 2 transactions
12/10/20	0.100	310.04	11/24/20	311.02	...	-0.98	Sale ²⁵
	Security total:	10,051.84		10,044.19	...	7.65	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	10.000	147.10	05/20/20	100.68	...	46.42	1 of 3 - Sale ²⁵
	40.000	588.38	05/20/20	401.92	...	186.46	2 of 3 - Sale ²⁵
	49.000	713.91	05/20/20	492.36	...	221.55	3 of 3 - Sale ²⁵
06/11/20	99.000	1,449.39	Various	994.96	...	454.43	Total of 3 transactions
07/20/20	1.000	11.58	05/20/20	10.05	...	1.53	Sale ²⁵
07/20/20	8.000	92.66	07/17/20	96.86	4.20 W	0.00	Sale ²⁵
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	11.75	07/17/20	12.11	0.36 W	0.00	1 of 2 - Sale ²⁵
	3.000	35.26	07/20/20	35.73	0.47 W	0.00	2 of 2 - Sale ²⁵
07/23/20	4.000	47.01	Various	47.84	0.83 W	0.00	Total of 2 transactions
	10 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	0.500	6.40	07/20/20	5.96	...	0.44	1 of 10 - Sale ²⁵
	0.500	6.40	07/20/20	5.95	...	0.45	2 of 10 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.80	08/03/20	11.25	...	1.55	3 of 10 - Sale ²⁵
	1.000	12.80	08/03/20	10.89	...	1.91	4 of 10 - Sale ²⁵
	3.000	38.40	08/03/20	33.13	...	5.27	5 of 10 - Sale ²⁵
	4.000	51.20	08/03/20	45.65	...	5.55	6 of 10 - Sale ²⁵
	9.500	121.60	08/03/20	103.15	...	18.45	7 of 10 - Sale ²⁵
	10.000	127.99	08/03/20	108.85	...	19.14	8 of 10 - Sale ²⁵
	10.000	128.00	08/03/20	108.65	...	19.35	9 of 10 - Sale ²⁵
	10.000	127.99	08/03/20	108.56	...	19.43	10 of 10 - Sale ²⁵
08/06/20	49.500	633.58	Various	542.04	...	91.54	Total of 10 transactions
	5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	0.500	6.71	08/03/20	5.43	...	1.28	1 of 5 - Sale ²⁵
	0.500	6.71	08/12/20	6.62	...	0.09	2 of 5 - Sale ²⁵
	9.000	119.71	08/12/20	119.59	...	0.12	3 of 5 - Sale ²⁵
	4.000	53.69	08/12/20	53.03	...	0.66	4 of 5 - Sale ²⁵
	5.000	67.11	08/12/20	66.25	...	0.86	5 of 5 - Sale ²⁵
08/13/20	19.000	253.93	Various	250.92	...	3.01	Total of 5 transactions
08/31/20	8.000	105.04	08/18/20	100.48	...	4.56	Sale ²⁵
08/31/20	1.000	13.13	08/12/20	13.29	0.16 W	0.00	Sale ²⁵
	3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	16.60	08/18/20	12.72	...	3.88	1 of 3 - Sale ²⁵
	4.000	66.42	11/20/20	50.47	...	15.95	2 of 3 - Sale ²⁵
	14.000	232.46	11/20/20	176.64	...	55.82	3 of 3 - Sale ²⁵
12/15/20	19.000	315.48	Various	239.83	...	75.65	Total of 3 transactions
	Security total:	2,921.80		2,296.27	5.19 W	630.72	
AMERICAN WELL CORPORATION / CUSIP: 03044L105 / Symbol:							
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	22.90	11/16/20	24.00	...	-1.10	1 of 2 - Sale ²⁵
	1.000	22.90	11/16/20	22.60	...	0.30	2 of 2 - Sale ²⁵
11/18/20	2.000	45.80	Various	46.60	...	-0.80	Total of 2 transactions

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ANTERO MIDSTREAM CORPORATION C OMMON STOCK / CUSIP: 03676B102 / Symbol:							
01/03/20	1.000	7.12	12/19/19	6.70	...	0.42	Sale ²⁵
	2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.						
	49.000	340.06	01/09/20	334.43	...	5.63	1 of 2 - Sale ²⁵
	50.000	347.00	01/09/20	341.25	...	5.75	2 of 2 - Sale ²⁵
01/10/20	99.000	687.06	Various	675.68	...	11.38	Total of 2 transactions
01/10/20	100.000	693.99	01/08/20	701.00	7.01 W	0.00	Sale ²⁵
01/16/20	1.000	7.64	01/09/20	6.89	...	0.75	Sale ²⁵
	3 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	50.000	283.59	01/21/20	337.25	...	-53.66	1 of 3 - Sale ²⁵
	49.000	277.92	01/21/20	330.75	...	-52.83	2 of 3 - Sale ²⁵
	1.000	5.67	01/21/20	6.86	...	-1.19	3 of 3 - Sale ²⁵
01/29/20	100.000	567.18	Various	674.86	...	-107.68	Total of 3 transactions
01/29/20	99.000	561.50	01/21/20	686.08	1.26 W	-123.32	Sale ²⁵
01/30/20	1.000	5.16	01/21/20	8.01	...	-2.85	Sale ²⁵
	Security total:	2,529.65		2,759.22	8.27 W	-221.30	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
	3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	0.100	11.80	08/31/20	12.92	1.12 W	0.00	1 of 3 - Sale ²⁵
	0.900	106.24	09/03/20	111.63	5.39 W	0.00	2 of 3 - Sale ²⁵
	2.000	236.08	09/03/20	248.40	12.32 W	0.00	3 of 3 - Sale ²⁵
09/09/20	3.000	354.12	Various	372.95	18.83 W	0.00	Total of 3 transactions
ASSERTIO THERAPEUTICS, INC. CO MMON STOCK (DE) / CUSIP: 04545L107 / Symbol:							
	4 transactions for 02/07/20. Total proceeds and cost reported to the IRS.						
	100.000	152.00	02/06/20	160.00	...	-8.00	1 of 4 - Sale ²⁵
	92.000	139.84	02/06/20	147.20	...	-7.36	2 of 4 - Sale ²⁵
	6.000	9.12	02/06/20	9.60	...	-0.48	3 of 4 - Sale ²⁵
	1.000	1.52	02/06/20	1.68	...	-0.16	4 of 4 - Sale ²⁵
02/07/20	199.000	302.48	Various	318.48	...	-16.00	Total of 4 transactions
02/07/20	1.000	1.52	02/06/20	1.60	0.08 W	0.00	Sale ²⁵
	Security total:	304.00		320.08	0.08 W	-16.00	

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ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
05/26/20	1.000	54.02	05/21/20	56.23	...	-2.21	Sale ²⁵
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
2 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	50.000	1,723.71	01/14/20	1,772.75	...	-49.04	1 of 2 - Sale ²⁵
	50.000	1,725.71	01/14/20	1,772.75	...	-47.04	2 of 2 - Sale ²⁵
01/15/20	100.000	3,449.42	Various	3,545.50	...	-96.08	Total of 2 transactions
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	22.61	04/27/20	23.23	...	-0.62	1 of 2 - Sale ²⁵
	49.000	1,107.86	04/28/20	1,183.21	...	-75.35	2 of 2 - Sale ²⁵
05/04/20	50.000	1,130.47	Various	1,206.44	...	-75.97	Total of 2 transactions
	Security total:	4,579.89		4,751.94	...	-172.05	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	5.000	611.88	05/05/20	485.00	...	126.88	1 of 3 - Sale ²⁵
	5.000	615.25	05/05/20	485.00	...	130.25	2 of 3 - Sale ²⁵
	10.000	1,229.67	05/05/20	970.00	...	259.67	3 of 3 - Sale ²⁵
05/27/20	20.000	2,456.80	Various	1,940.00	...	516.80	Total of 3 transactions
06/03/20	0.900	120.60	06/02/20	120.24	...	0.36	Sale ²⁵
07/17/20	1.900	241.76	06/29/20	240.05	...	1.71	Sale ²⁵
07/17/20	0.100	12.72	06/02/20	13.36	0.64 W	0.00	Sale ²⁵
8 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	0.100	13.50	06/29/20	13.27	...	0.23	1 of 8 - Sale ²⁵
	0.900	118.13	07/31/20	111.47	...	6.66	2 of 8 - Sale ²⁵
	1.000	131.25	07/31/20	122.93	...	8.32	3 of 8 - Sale ²⁵
	1.000	131.26	07/31/20	122.69	...	8.57	4 of 8 - Sale ²⁵
	0.900	121.51	07/31/20	111.47	...	10.04	5 of 8 - Sale ²⁵
	2.000	262.52	07/31/20	247.55	...	14.97	6 of 8 - Sale ²⁵
	2.000	270.02	07/31/20	247.43	...	22.59	7 of 8 - Sale ²⁵
	4.100	538.15	07/31/20	507.82	...	30.33	8 of 8 - Sale ²⁵
08/04/20	12.000	1,586.34	Various	1,484.63	...	101.71	Total of 8 transactions
08/06/20	0.900	119.76	07/31/20	110.64	...	9.12	Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	0.100	12.66	07/31/20	12.29	...	0.37	1 of 3 - Sale ²⁵
	1.800	227.87	08/12/20	222.80	...	5.07	2 of 3 - Sale ²⁵
	3.000	379.79	08/12/20	371.37	...	8.42	3 of 3 - Sale ²⁵
08/13/20	4.900	620.32	Various	606.46	...	13.86	Total of 3 transactions
10/28/20	0.100	15.65	08/12/20	12.38	...	3.27	Sale ²⁵
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	135.04	11/09/20	106.96	...	28.08	1 of 2 - Sale ²⁵
	3.000	405.10	11/09/20	321.00	...	84.10	2 of 2 - Sale ²⁵
12/02/20	4.000	540.14	Various	427.96	...	112.18	Total of 2 transactions
12/07/20	0.900	123.17	11/09/20	96.30	...	26.87	Sale ²⁵
Security total:		5,837.26		5,052.02	0.64 W	785.88	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
2 transactions for 03/02/20. Total proceeds and cost reported to the IRS.							
	1.000	3.09	02/28/20	2.86	...	0.23	1 of 2 - Sale ²⁵
	19.000	58.75	02/28/20	57.69	...	1.06	2 of 2 - Sale ²⁵
03/02/20	20.000	61.84	Various	60.55	...	1.29	Total of 2 transactions
08/25/20	9.000	35.33	08/19/20	40.59	...	-5.26	Sale ²⁵
09/01/20	1.000	3.88	08/19/20	4.51	...	-0.63	Sale ²⁵
Security total:		101.05		105.65	...	-4.60	
BIOSIG TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 09073N201 / Symbol:							
06/18/20	50.000	547.82	06/17/20	552.00	4.18 W	0.00	Sale ²⁵
08/04/20	50.000	446.50	06/17/20	552.00	105.50 W	0.00	Sale ²⁵
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	20.000	161.26	06/24/20	154.04	...	7.22	1 of 3 - Sale ²⁵
	30.000	241.90	06/24/20	231.11	...	10.79	2 of 3 - Sale ²⁵
	10.000	80.00	08/07/20	78.74	...	1.26	3 of 3 - Sale ²⁵
09/02/20	60.000	483.16	Various	463.89	...	19.27	Total of 3 transactions
5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	30.000	241.90	07/09/20	273.22	31.32 W	0.00	1 of 5 - Sale ²⁵
	20.000	160.00	07/09/20	182.15	22.15 W	0.00	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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BIOISIG TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 09073N201 / Symbol: (cont'd)							
	20.000	160.00	08/06/20	169.88	9.88 W	0.00	3 of 5 - Sale ²⁵
	10.000	80.01	08/06/20	85.14	5.13 W	0.00	4 of 5 - Sale ²⁵
	20.000	160.00	08/07/20	165.25	5.25 W	0.00	5 of 5 - Sale ²⁵
09/02/20	100.000	801.91	Various	875.64	73.73 W	0.00	Total of 5 transactions
	3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	10.000	71.13	08/07/20	78.74	7.61 W	0.00	1 of 3 - Sale ²⁵
	10.000	71.13	08/12/20	75.48	4.35 W	0.00	2 of 3 - Sale ²⁵
	10.000	71.13	09/09/20	74.16	3.03 W	0.00	3 of 3 - Sale ²⁵
09/10/20	30.000	213.39	Various	228.38	14.99 W	0.00	Total of 3 transactions
	4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	5.000	34.57	09/09/20	37.07	2.50 W	0.00	1 of 4 - Sale ²⁵
	5.000	34.57	09/09/20	36.56	1.99 W	0.00	2 of 4 - Sale ²⁵
	5.000	34.57	09/09/20	38.90	4.33 W	0.00	3 of 4 - Sale ²⁵
	4.000	27.65	09/09/20	31.24	3.59 W	0.00	4 of 4 - Sale ²⁵
09/11/20	19.000	131.36	Various	143.77	12.41 W	0.00	Total of 4 transactions
	10 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	4.70	09/09/20	7.81	3.11 W	0.00	1 of 10 - Sale ²⁵
	9.000	42.26	09/15/20	69.72	27.46 W	0.00	2 of 10 - Sale ²⁵
	1.000	4.70	09/15/20	7.74	3.04 W	0.00	3 of 10 - Sale ²⁵
	9.000	42.26	09/15/20	68.53	26.27 W	0.00	4 of 10 - Sale ²⁵
	4.000	18.78	09/23/20	22.59	3.81 W	0.00	5 of 10 - Sale ²⁵
	5.000	23.48	09/23/20	28.08	4.60 W	0.00	6 of 10 - Sale ²⁵
	5.000	23.48	09/23/20	26.25	2.77 W	0.00	7 of 10 - Sale ²⁵
	5.000	23.48	09/23/20	25.74	2.26 W	0.00	8 of 10 - Sale ²⁵
	1.000	4.70	09/23/20	5.05	0.35 W	0.00	9 of 10 - Sale ²⁵
	10.000	46.96	09/25/20	52.50	5.54 W	0.00	10 of 10 - Sale ²⁵
12/15/20	50.000	234.80	Various	314.01	79.21 W	0.00	Total of 10 transactions
	Security total:	2,858.94		3,129.69	290.02 W	19.27	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	50.000	26.24	12/08/20	25.96	...	0.28	1 of 3 - Sale ²⁵
	400.000	209.95	12/09/20	209.04	...	0.91	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	400.000	209.96	12/09/20	207.98	...	1.98	3 of 3 - Sale ²⁵
12/18/20	850.000	446.15	Various	442.98	...	3.17	Total of 3 transactions
12/18/20	50.000	26.24	12/08/20	26.75	0.51 W	0.00	Sale ²⁵
	Security total:	472.39		469.73	0.51 W	3.17	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
12/11/20	10.000	1,275.60	12/10/20	1,306.50	30.90 W	0.00	Sale ²⁵
12/28/20	10.000	872.42	12/22/20	1,018.20	...	-145.78	Sale ²⁵
	Security total:	2,148.02		2,324.70	30.90 W	-145.78	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
12/11/20	50.000	404.11	12/03/20	367.75	...	36.36	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	0.004	0.63	05/20/20	0.48	...	0.15	1 of 2 - Sale ²⁵
	0.072	12.58	05/20/20	9.52	...	3.06	2 of 2 - Sale ²⁵
07/09/20	0.076	13.21	Various	10.00	...	3.21	Total of 2 transactions
BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	0.96	06/29/20	1.06	0.10 W	0.00	1 of 2 - Sale ²⁵
	99.000	94.98	06/29/20	104.94	9.96 W	0.00	2 of 2 - Sale ²⁵
07/07/20	100.000	95.94	Various	106.00	10.06 W	0.00	Total of 2 transactions
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	99.000	94.53	07/09/20	101.95	...	-7.42	1 of 4 - Sale ²⁵
	100.000	95.49	07/09/20	92.92	...	2.57	2 of 4 - Sale ²⁵
	100.000	95.49	07/10/20	91.89	...	3.60	3 of 4 - Sale ²⁵
	99.000	94.53	07/14/20	80.18	...	14.35	4 of 4 - Sale ²⁵
07/21/20	398.000	380.04	Various	366.94	...	13.10	Total of 4 transactions
07/21/20	1.000	0.95	07/09/20	1.03	0.08 W	0.00	Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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BRICKELL BIOTECH, INC. COMMON STOCK / CUSIP: 10802T105 / Symbol: (cont'd)							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	200.000	99.97	10/23/20	113.34	...	-13.37	1 of 2 - Sale ²⁵
	100.000	49.99	10/23/20	56.26	...	-6.27	2 of 2 - Sale ²⁵
10/28/20	300.000	149.96	Various	169.60	...	-19.64	Total of 2 transactions
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.50	07/14/20	0.89	0.39 W	0.00	1 of 3 - Sale ²⁵
	99.000	49.49	10/23/20	56.21	6.72 W	0.00	2 of 3 - Sale ²⁵
	100.000	49.99	10/23/20	56.67	6.68 W	0.00	3 of 3 - Sale ²⁵
10/28/20	200.000	99.98	Various	113.77	13.79 W	0.00	Total of 3 transactions
3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	100.000	52.09	10/23/20	62.63	...	-10.54	1 of 3 - Sale ²⁵
	99.000	51.57	10/23/20	61.66	...	-10.09	2 of 3 - Sale ²⁵
	1.000	0.52	10/23/20	0.94	...	-0.42	3 of 3 - Sale ²⁵
11/16/20	200.000	104.18	Various	125.23	...	-21.05	Total of 3 transactions
	Security total:	831.05		882.57	23.93 W	-27.59	
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
01/22/20	100.000	424.99	01/21/20	421.99	...	3.00	Sale ²⁵
CANCER GENETICS, INC. COMMON S TOCK / CUSIP: 13739U203 / Symbol:							
8 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	20.000	92.27	01/03/20	106.80	...	-14.53	1 of 8 - Sale ²⁵
	20.000	92.27	01/03/20	106.41	...	-14.14	2 of 8 - Sale ²⁵
	10.000	46.14	01/03/20	53.50	...	-7.36	3 of 8 - Sale ²⁵
	10.000	46.14	01/03/20	53.41	...	-7.27	4 of 8 - Sale ²⁵
	5.000	23.07	01/07/20	26.75	...	-3.68	5 of 8 - Sale ²⁵
	5.000	23.07	01/07/20	26.75	...	-3.68	6 of 8 - Sale ²⁵
	30.000	138.40	01/14/20	141.43	...	-3.03	7 of 8 - Sale ²⁵
	50.000	230.67	01/21/20	226.92	...	3.75	8 of 8 - Sale ²⁵
01/22/20	150.000	692.03	Various	741.97	...	-49.94	Total of 8 transactions
06/01/20	1.000	3.03	05/21/20	2.96	...	0.07	Sale ²⁵
	Security total:	695.06		744.93	...	-49.87	

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CARDLYTICS, INC. COMMON STOCK / CUSIP: 14161W105 / Symbol:							
01/09/20	1.000	67.60	12/17/19	55.54	...	12.06	Sale ²⁵
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	25.000	1,300.74	05/08/20	1,229.36	...	71.38	1 of 2 - Sale ²⁵
	20.000	1,090.21	05/08/20	983.49	...	106.72	2 of 2 - Sale ²⁵
05/13/20	45.000	2,390.95	Various	2,212.85	...	178.10	Total of 2 transactions
	5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	5.000	344.92	05/08/20	245.87	...	99.05	1 of 5 - Sale ²⁵
	20.000	1,379.67	05/11/20	971.00	...	408.67	2 of 5 - Sale ²⁵
	5.000	344.92	05/14/20	240.85	...	104.07	3 of 5 - Sale ²⁵
	10.000	689.84	05/14/20	482.42	...	207.42	4 of 5 - Sale ²⁵
	10.000	689.83	05/14/20	481.32	...	208.51	5 of 5 - Sale ²⁵
06/11/20	50.000	3,449.18	Various	2,421.46	...	1,027.72	Total of 5 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	0.100	6.66	06/11/20	6.93	0.27 W	0.00	1 of 2 - Sale ²⁵
	1.900	126.62	06/12/20	126.84	0.22 W	0.00	2 of 2 - Sale ²⁵
06/15/20	2.000	133.28	Various	133.77	0.49 W	0.00	Total of 2 transactions
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	0.100	7.05	06/12/20	6.95	...	0.10	1 of 2 - Sale ²⁵
	2.000	141.07	06/12/20	133.51	...	7.56	2 of 2 - Sale ²⁵
07/08/20	2.100	148.12	Various	140.46	...	7.66	Total of 2 transactions
	3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.900	134.02	06/26/20	136.56	2.54 W	0.00	1 of 3 - Sale ²⁵
	2.000	141.08	06/26/20	143.51	2.43 W	0.00	2 of 3 - Sale ²⁵
	3.000	211.72	06/26/20	215.27	3.55 W	0.00	3 of 3 - Sale ²⁵
07/08/20	6.900	486.82	Various	495.34	8.52 W	0.00	Total of 3 transactions
07/23/20	1.100	80.10	06/26/20	78.93	...	1.17	Sale ²⁵
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.900	138.36	07/14/20	141.12	2.76 W	0.00	1 of 2 - Sale ²⁵
	2.000	145.64	07/14/20	148.30	2.66 W	0.00	2 of 2 - Sale ²⁵
07/23/20	3.900	284.00	Various	289.42	5.42 W	0.00	Total of 2 transactions

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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CARDLYTICS, INC. COMMON STOCK / CUSIP: 14161W105 / Symbol: (cont'd)							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	0.100	6.66	07/14/20	7.41	0.75 W	0.00	1 of 4 - Sale ²⁵
	1.900	126.46	07/24/20	136.28	9.82 W	0.00	2 of 4 - Sale ²⁵
	0.200	13.31	07/24/20	14.33	1.02 W	0.00	3 of 4 - Sale ²⁵
	2.800	186.37	07/24/20	200.07	13.70 W	0.00	4 of 4 - Sale ²⁵
07/31/20	5.000	332.80	Various	358.09	25.29 W	0.00	Total of 4 transactions
7 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	0.100	7.78	07/24/20	7.15	...	0.63	1 of 7 - Sale ²⁵
	0.100	7.78	07/29/20	7.39	...	0.39	2 of 7 - Sale ²⁵
	0.200	15.55	07/29/20	14.31	...	1.24	3 of 7 - Sale ²⁵
	1.000	77.77	07/29/20	71.34	...	6.43	4 of 7 - Sale ²⁵
	1.900	147.77	07/29/20	136.07	...	11.70	5 of 7 - Sale ²⁵
	1.800	139.99	07/29/20	121.99	...	18.00	6 of 7 - Sale ²⁵
	0.900	70.00	08/05/20	59.39	...	10.61	7 of 7 - Sale ²⁵
08/25/20	6.000	466.64	Various	417.64	...	49.00	Total of 7 transactions
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.900	66.20	08/05/20	59.38	...	6.82	1 of 3 - Sale ²⁵
	0.900	66.19	08/05/20	54.77	...	11.42	2 of 3 - Sale ²⁵
	3.200	235.37	08/05/20	195.49	...	39.88	3 of 3 - Sale ²⁵
08/31/20	5.000	367.76	Various	309.64	...	58.12	Total of 3 transactions
09/08/20	1.000	69.26	09/04/20	71.36	2.10 W	0.00	Sale ²⁵
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	71.56	09/21/20	68.10	...	3.46	1 of 2 - Sale ²⁵
	3.900	279.11	09/23/20	252.51	...	26.60	2 of 2 - Sale ²⁵
10/01/20	4.900	350.67	Various	320.61	...	30.06	Total of 2 transactions
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.100	11.83	09/23/20	6.47	...	5.36	1 of 2 - Sale ²⁵
	0.800	94.66	10/30/20	57.04	...	37.62	2 of 2 - Sale ²⁵
12/07/20	0.900	106.49	Various	63.51	...	42.98	Total of 2 transactions
	Security total:	8,733.67		7,368.62	41.82 W	1,406.87	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
07/21/20	1.000	15.18	07/17/20	15.59	...	-0.41	Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	50.000	245.30	02/13/20	388.00	...	-142.70	1 of 3 - Sale ²⁵
	50.000	245.30	02/13/20	387.00	...	-141.70	2 of 3 - Sale ²⁵
	50.000	245.29	02/14/20	372.26	...	-126.97	3 of 3 - Sale ²⁵
03/10/20	150.000	735.89	Various	1,147.26	...	-411.37	Total of 3 transactions
CASTLIGHT HEALTH, INC. / CUSIP: 14862Q100 / Symbol:							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1.12	08/14/20	1.16	...	-0.04	1 of 3 - Sale ²⁵
	9.000	10.11	08/17/20	9.72	...	0.39	2 of 3 - Sale ²⁵
	90.000	101.11	08/17/20	97.19	...	3.92	3 of 3 - Sale ²⁵
09/08/20	100.000	112.34	Various	108.07	...	4.27	Total of 3 transactions
CERECOR INC. COMMON STOCK / CUSIP: 15671L109 / Symbol:							
6 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	33.300	131.83	12/06/19	135.59	...	-3.76	1 of 6 - Sale ²⁵
	3.340	13.22	12/30/19	15.89	...	-2.67	2 of 6 - Sale ²⁵
	3.340	13.22	12/30/19	15.89	...	-2.67	3 of 6 - Sale ²⁵
	3.340	13.23	12/30/19	15.90	...	-2.67	4 of 6 - Sale ²⁵
	3.340	13.22	12/30/19	15.89	...	-2.67	5 of 6 - Sale ²⁵
	3.340	13.23	12/30/19	15.90	...	-2.67	6 of 6 - Sale ²⁵
02/10/20	50.000	197.95	Various	215.06	...	-17.11	Total of 6 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	50.000	131.99	06/09/20	135.75	...	-3.76	1 of 2 - Sale ²⁵
	50.000	132.00	06/09/20	135.75	...	-3.75	2 of 2 - Sale ²⁵
07/07/20	100.000	263.99	Various	271.50	...	-7.51	Total of 2 transactions
	Security total:	461.94		486.56	...	-24.62	
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
5 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	4.000	172.20	01/17/20	171.66	...	0.54	1 of 5 - Sale ²⁵
	6.000	258.29	01/17/20	257.49	...	0.80	2 of 5 - Sale ²⁵
	10.000	430.49	01/17/20	429.38	...	1.11	3 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CHEGG, INC. / CUSIP: 163092109 / Symbol: (cont'd)							
	10.000	430.49	01/17/20	429.15	...	1.34	4 of 5 - Sale ²⁵
	20.000	860.98	01/17/20	858.70	...	2.28	5 of 5 - Sale ²⁵
01/22/20	50.000	2,152.45	Various	2,146.38	...	6.07	Total of 5 transactions
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
24 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	10.000	2.70	12/11/19	9.64	...	-6.94	1 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.52	...	-6.82	2 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.49	...	-6.79	3 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.49	...	-6.79	4 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.49	...	-6.79	5 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.35	...	-6.65	6 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.34	...	-6.64	7 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.34	...	-6.64	8 of 24 - Sale ²⁵
	10.000	2.70	12/11/19	9.33	...	-6.63	9 of 24 - Sale ²⁵
	9.000	2.43	12/11/19	8.67	...	-6.24	10 of 24 - Sale ²⁵
	100.000	27.01	01/08/20	86.75	...	-59.74	11 of 24 - Sale ²⁵
	100.000	27.01	01/08/20	83.36	...	-56.35	12 of 24 - Sale ²⁵
	200.000	54.02	01/09/20	153.74	...	-99.72	13 of 24 - Sale ²⁵
	200.000	54.01	01/09/20	153.72	...	-99.71	14 of 24 - Sale ²⁵
	100.000	27.01	01/09/20	76.87	...	-49.86	15 of 24 - Sale ²⁵
	100.000	27.01	01/09/20	76.86	...	-49.85	16 of 24 - Sale ²⁵
	100.000	27.01	01/09/20	76.86	...	-49.85	17 of 24 - Sale ²⁵
	500.000	135.04	01/15/20	342.25	...	-207.21	18 of 24 - Sale ²⁵
	500.000	135.04	01/23/20	288.05	...	-153.01	19 of 24 - Sale ²⁵
	500.000	135.04	02/12/20	256.10	...	-121.06	20 of 24 - Sale ²⁵
	500.000	135.04	02/14/20	235.80	...	-100.76	21 of 24 - Sale ²⁵
	1,000.000	270.07	02/26/20	345.10	...	-75.03	22 of 24 - Sale ²⁵
	999.000	269.80	02/26/20	299.80	...	-30.00	23 of 24 - Sale ²⁵
	1.000	0.26	02/26/20	1.02	...	-0.76	24 of 24 - Sale ²⁵
02/27/20	4,999.000	1,350.10	Various	2,569.94	...	-1,219.84	Total of 24 transactions
02/27/20	1.000	0.27	12/11/19	0.99	0.72 W	0.00	Sale ²⁵
	Security total:	1,350.37		2,570.93	0.72 W	-1,219.84	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	42.67	08/13/20	42.62	...	0.05	1 of 2 - Sale ²⁵
	2.000	85.34	08/13/20	85.23	...	0.11	2 of 2 - Sale ²⁵
08/14/20	3.000	128.01	Various	127.85	...	0.16	Total of 2 transactions
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	40.000	57.99	07/06/20	50.79	...	7.20	1 of 4 - Sale ²⁵
	99.000	137.60	07/06/20	125.71	...	11.89	2 of 4 - Sale ²⁵
	160.000	232.00	07/06/20	203.17	...	28.83	3 of 4 - Sale ²⁵
	200.000	289.98	07/06/20	251.96	...	38.02	4 of 4 - Sale ²⁵
07/07/20	499.000	717.57	Various	631.63	...	85.94	Total of 4 transactions
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	1.40	07/06/20	1.27	...	0.13	1 of 2 - Sale ²⁵
	99.000	138.59	07/09/20	127.70	...	10.89	2 of 2 - Sale ²⁵
07/17/20	100.000	139.99	Various	128.97	...	11.02	Total of 2 transactions
07/21/20	99.000	157.40	07/13/20	121.28	...	36.12	Sale ²⁵
7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	72.000	73.43	08/06/20	92.17	...	-18.74	1 of 7 - Sale ²⁵
	9.000	9.18	08/06/20	12.87	...	-3.69	2 of 7 - Sale ²⁵
	9.000	9.18	08/06/20	10.76	...	-1.58	3 of 7 - Sale ²⁵
	8.000	8.16	08/06/20	9.52	...	-1.36	4 of 7 - Sale ²⁵
	1.000	1.02	08/06/20	1.39	...	-0.37	5 of 7 - Sale ²⁵
	1.000	1.02	08/06/20	1.20	...	-0.18	6 of 7 - Sale ²⁵
	1.000	1.02	08/06/20	1.19	...	-0.17	7 of 7 - Sale ²⁵
08/12/20	101.000	103.01	Various	129.10	...	-26.09	Total of 7 transactions
7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1.02	07/13/20	1.22	0.20 W	0.00	1 of 7 - Sale ²⁵
	9.000	9.18	07/29/20	11.34	2.16 W	0.00	2 of 7 - Sale ²⁵
	80.000	81.59	08/06/20	95.60	12.61 W	-1.40	3 of 7 - Sale ²⁵
	90.000	91.79	08/06/20	99.90	8.11 W	0.00	4 of 7 - Sale ²⁵
	9.000	9.18	08/06/20	9.95	0.77 W	0.00	5 of 7 - Sale ²⁵
	1.000	1.02	08/06/20	1.30	0.28 W	0.00	6 of 7 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol: (cont'd)							
	9.000	9.18	08/06/20	12.11	2.93 W	0.00	7 of 7 - Sale ²⁵
08/12/20	199.000	202.96	Various	231.42	27.06 W	-1.40	Total of 7 transactions
10/08/20	100.000	109.00	10/07/20	108.65	...	0.35	Sale ²⁵
	Security total:	1,429.93		1,351.05	27.06 W	105.94	

VELOCITYSHARES3X LONG CRUDE OI L ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER / CUSIP: 17325E291 / Symbol:

14 transactions for 04/03/20. Total proceeds and cost reported to the IRS.

	200.000	32.94	03/11/20	249.72	...	-216.78	1 of 14 - Final principal payment ²⁵
	100.000	16.47	03/11/20	125.00	...	-108.53	2 of 14 - Final principal payment ²⁵
	100.000	16.47	03/11/20	125.00	...	-108.53	3 of 14 - Final principal payment ²⁵
	100.000	16.47	03/11/20	123.84	...	-107.37	4 of 14 - Final principal payment ²⁵
	200.000	32.94	03/12/20	208.00	...	-175.06	5 of 14 - Final principal payment ²⁵
	100.000	16.47	03/12/20	103.84	...	-87.37	6 of 14 - Final principal payment ²⁵
	200.000	32.94	03/18/20	90.93	...	-57.99	7 of 14 - Final principal payment ²⁵
	400.000	65.88	03/18/20	100.04	...	-34.16	8 of 14 - Final principal payment ²⁵
	100.000	16.47	03/18/20	25.62	...	-9.15	9 of 14 - Final principal payment ²⁵
	100.000	16.47	03/18/20	25.36	...	-8.89	10 of 14 - Final principal payment ²⁵
	100.000	16.47	03/18/20	25.01	...	-8.54	11 of 14 - Final principal payment ²⁵
	300.000	49.41	03/23/20	65.85	...	-16.44	12 of 14 - Final principal payment ²⁵
	1,000.000	164.70	03/24/20	224.30	...	-59.60	13 of 14 - Final principal payment ²⁵
	1,000.000	164.70	03/27/20	180.05	...	-15.35	14 of 14 - Final principal payment ²⁵
04/03/20	4,000.000	658.80	Various	1,672.56	...	-1,013.76	Total of 14 transactions

CLEAR CHANNEL OUTDOOR HOLDINGS , INC. COMMON STOCK / CUSIP: 18453H106 / Symbol:

2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.

	100.000	285.00	01/03/20	294.00	...	-9.00	1 of 2 - Sale ²⁵
	50.000	142.50	01/08/20	136.25	...	6.25	2 of 2 - Sale ²⁵
01/10/20	150.000	427.50	Various	430.25	...	-2.75	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
06/11/20	20.000	220.00	06/04/20	216.70	...	3.30	Sale ²⁵
07/13/20	5.000	59.26	06/04/20	54.18	...	5.08	Sale ²⁵
	Security total:	279.26		270.88	...	8.38	
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
08/28/20	0.900	36.32	08/19/20	35.87	...	0.45	Sale ²⁵
09/08/20	3.900	130.73	09/03/20	140.17	...	-9.44	Sale ²⁵
09/08/20	0.100	3.35	08/19/20	3.98	0.63 W	0.00	Sale ²⁵
	2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	0.900	30.61	09/03/20	32.35	...	-1.74	1 of 2 - Sale ²⁵
	0.100	3.40	09/03/20	4.22	...	-0.82	2 of 2 - Sale ²⁵
09/09/20	1.000	34.01	Various	36.57	...	-2.56	Total of 2 transactions
	Security total:	204.41		216.59	0.63 W	-11.55	
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
	3 transactions for 01/28/20. Total proceeds and cost reported to the IRS.						
	100.000	272.49	01/24/20	185.96	...	86.53	1 of 3 - Sale ²⁵
	100.000	264.98	01/24/20	163.99	...	100.99	2 of 3 - Sale ²⁵
	150.000	397.47	01/24/20	278.94	...	118.53	3 of 3 - Sale ²⁵
01/28/20	350.000	934.94	Various	628.89	...	306.05	Total of 3 transactions
	2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	50.000	111.50	01/24/20	92.98	...	18.52	1 of 2 - Sale ²⁵
	100.000	223.99	01/24/20	185.96	...	38.03	2 of 2 - Sale ²⁵
01/29/20	150.000	335.49	Various	278.94	...	56.55	Total of 2 transactions
01/31/20	50.000	135.55	01/30/20	135.00	...	0.55	Sale ²⁵
	7 transactions for 02/04/20. Total proceeds and cost reported to the IRS.						
	99.000	269.28	01/31/20	317.78	48.50 W	0.00	1 of 7 - Sale ²⁵
	1.000	2.72	01/31/20	2.75	0.03 W	0.00	2 of 7 - Sale ²⁵
	1.000	2.61	01/31/20	3.24	0.63 W	0.00	3 of 7 - Sale ²⁵
	5.000	13.03	01/31/20	18.12	5.09 W	0.00	4 of 7 - Sale ²⁵
	5.000	13.04	01/31/20	18.15	5.11 W	0.00	5 of 7 - Sale ²⁵
	9.000	23.46	01/31/20	32.84	9.38 W	0.00	6 of 7 - Sale ²⁵

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(continued)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
	5.000	13.03	01/31/20	18.19		0.00	7 of 7 - Sale ²⁵
02/04/20	125.000	337.17	Various	411.07	5.16 W 73.90 W	0.00	Total of 7 transactions
	9 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	5.000	78.10	02/19/20	17.55	...	60.55	1 of 9 - Sale ²⁵
	45.000	721.78	02/19/20	157.94	...	563.84	2 of 9 - Sale ²⁵
	1.000	15.62	02/20/20	3.36	...	12.26	3 of 9 - Sale ²⁵
	5.000	60.83	02/20/20	16.10	...	44.73	4 of 9 - Sale ²⁵
	5.000	78.10	02/20/20	18.81	...	59.29	5 of 9 - Sale ²⁵
	5.000	78.10	02/20/20	18.76	...	59.34	6 of 9 - Sale ²⁵
	5.000	78.10	02/20/20	18.74	...	59.36	7 of 9 - Sale ²⁵
	9.000	140.58	02/20/20	33.95	...	106.63	8 of 9 - Sale ²⁵
	20.000	242.56	02/20/20	64.40	...	178.16	9 of 9 - Sale ²⁵
02/27/20	100.000	1,493.77	Various	349.61	...	1,144.16	Total of 9 transactions
03/03/20	25.000	383.82	02/20/20	67.96	...	315.86	Sale ²⁵
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	1.000	9.51	03/10/20	6.56	...	2.95	1 of 2 - Sale ²⁵
	1.000	9.51	03/16/20	8.77	...	0.74	2 of 2 - Sale ²⁵
04/07/20	2.000	19.02	Various	15.33	...	3.69	Total of 2 transactions
	23 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	5.000	47.55	03/12/20	61.75	14.20 W	0.00	1 of 23 - Sale ²⁵
	9.000	85.59	03/12/20	111.46	25.87 W	0.00	2 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	61.74	14.19 W	0.00	3 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	60.99	13.44 W	0.00	4 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	61.88	14.33 W	0.00	5 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	61.95	14.40 W	0.00	6 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	60.89	13.34 W	0.00	7 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	60.13	12.58 W	0.00	8 of 23 - Sale ²⁵
	5.000	47.55	03/12/20	61.33	13.78 W	0.00	9 of 23 - Sale ²⁵
	25.000	237.74	03/13/20	257.63	19.89 W	0.00	10 of 23 - Sale ²⁵
	25.000	237.74	03/13/20	262.50	24.76 W	0.00	11 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	68.45	20.90 W	0.00	12 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	68.44	20.89 W	0.00	13 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	68.58	21.03 W	0.00	14 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	5.000	47.55	03/13/20	68.65	21.10 W	0.00	15 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	67.69	20.14 W	0.00	16 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	67.59	20.04 W	0.00	17 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	66.83	19.28 W	0.00	18 of 23 - Sale ²⁵
	5.000	47.55	03/13/20	68.03	20.48 W	0.00	19 of 23 - Sale ²⁵
	9.000	85.59	03/13/20	123.52	37.93 W	0.00	20 of 23 - Sale ²⁵
	1.000	9.51	03/13/20	11.65	2.14 W	0.00	21 of 23 - Sale ²⁵
	24.000	228.23	03/16/20	229.53	1.30 W	0.00	22 of 23 - Sale ²⁵
	25.000	237.74	03/16/20	243.97	6.23 W	0.00	23 of 23 - Sale ²⁵
04/07/20	198.000	1,882.94	Various	2,275.18	392.24 W	0.00	Total of 23 transactions
	5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	12.54	03/18/20	11.85	...	0.69	1 of 5 - Sale ²⁵
	1.000	12.54	03/19/20	10.82	...	1.72	2 of 5 - Sale ²⁵
	1.000	12.54	03/23/20	9.13	...	3.41	3 of 5 - Sale ²⁵
	23.000	288.41	03/23/20	211.20	...	77.21	4 of 5 - Sale ²⁵
	25.000	313.48	03/23/20	234.44	...	79.04	5 of 5 - Sale ²⁵
04/17/20	51.000	639.51	Various	477.44	...	162.07	Total of 5 transactions
	10 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	12.54	03/18/20	13.91	1.37 W	0.00	1 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	69.34	6.64 W	0.00	2 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	69.33	6.63 W	0.00	3 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	69.47	6.77 W	0.00	4 of 10 - Sale ²⁵
	9.000	112.86	03/18/20	125.12	12.26 W	0.00	5 of 10 - Sale ²⁵
	4.000	50.16	03/18/20	55.74	5.58 W	0.00	6 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	68.72	6.02 W	0.00	7 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	68.62	5.92 W	0.00	8 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	67.85	5.15 W	0.00	9 of 10 - Sale ²⁵
	5.000	62.70	03/18/20	69.06	6.36 W	0.00	10 of 10 - Sale ²⁵
04/17/20	49.000	614.46	Various	677.16	62.70 W	0.00	Total of 10 transactions
	14 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	15.66	03/23/20	10.49	...	5.17	1 of 14 - Sale ²⁵
	4.000	62.64	03/23/20	42.04	...	20.60	2 of 14 - Sale ²⁵
	1.000	15.66	03/24/20	9.75	...	5.91	3 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
	1.000	15.66	03/24/20	8.38	...	7.28	4 of 14 - Sale ²⁵
	4.000	62.64	03/24/20	39.10	...	23.54	5 of 14 - Sale ²⁵
	4.000	62.64	03/24/20	38.97	...	23.67	6 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	48.67	...	29.63	7 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	48.54	...	29.76	8 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	48.52	...	29.78	9 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	48.25	...	30.05	10 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	47.91	...	30.39	11 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	47.81	...	30.49	12 of 14 - Sale ²⁵
	5.000	78.30	03/24/20	47.05	...	31.25	13 of 14 - Sale ²⁵
	50.000	782.95	03/30/20	411.50	...	371.45	14 of 14 - Sale ²⁵
04/27/20	100.000	1,565.95	Various	896.98	...	668.97	Total of 14 transactions
04/29/20	100.000	1,223.97	04/01/20	799.00	...	424.97	Sale ²⁵
	4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	50.000	1,025.97	04/07/20	459.25	...	566.72	1 of 4 - Sale ²⁵
	5.000	104.75	04/08/20	42.90	...	61.85	2 of 4 - Sale ²⁵
	20.000	460.00	04/08/20	171.60	...	288.40	3 of 4 - Sale ²⁵
	25.000	522.98	04/08/20	214.50	...	308.48	4 of 4 - Sale ²⁵
05/14/20	100.000	2,113.70	Various	888.25	...	1,225.45	Total of 4 transactions
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	20.000	418.39	05/14/20	437.00	18.61 W	0.00	1 of 3 - Sale ²⁵
	20.000	393.39	05/14/20	458.01	64.62 W	0.00	2 of 3 - Sale ²⁵
	20.000	393.39	05/14/20	439.40	46.01 W	0.00	3 of 3 - Sale ²⁵
05/14/20	60.000	1,205.17	Various	1,334.41	129.24 W	0.00	Total of 3 transactions
	3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	10.000	170.34	05/14/20	219.70	49.36 W	0.00	1 of 3 - Sale ²⁵
	15.000	255.52	05/15/20	314.35	58.83 W	0.00	2 of 3 - Sale ²⁵
	5.000	85.17	05/15/20	97.40	12.23 W	0.00	3 of 3 - Sale ²⁵
06/19/20	30.000	511.03	Various	631.45	120.42 W	0.00	Total of 3 transactions
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	5.000	91.12	06/03/20	95.90	...	-4.78	1 of 4 - Sale ²⁵
	10.000	182.25	06/04/20	185.41	...	-3.16	2 of 4 - Sale ²⁵
	10.000	182.25	06/08/20	195.76	...	-13.51	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	15.000	273.37	06/11/20	292.68	...	-19.31	4 of 4 - Sale ²⁵
06/24/20	40.000	728.99	Various	769.75	...	-40.76	Total of 4 transactions
06/24/20	5.000	91.12	05/15/20	92.75	1.63 W	0.00	Sale ²⁵
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	5.000	107.75	06/11/20	90.18	...	17.57	1 of 2 - Sale ²⁵
	4.000	86.20	07/09/20	73.46	...	12.74	2 of 2 - Sale ²⁵
07/21/20	9.000	193.95	Various	163.64	...	30.31	Total of 2 transactions
	7 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	8.000	133.92	08/12/20	169.60	...	-35.68	1 of 7 - Sale ²⁵
	5.000	83.69	08/12/20	98.98	...	-15.29	2 of 7 - Sale ²⁵
	5.000	83.69	08/12/20	98.25	...	-14.56	3 of 7 - Sale ²⁵
	5.000	83.70	08/12/20	98.10	...	-14.40	4 of 7 - Sale ²⁵
	3.000	50.22	08/12/20	58.65	...	-8.43	5 of 7 - Sale ²⁵
	2.000	33.48	08/12/20	39.18	...	-5.70	6 of 7 - Sale ²⁵
	1.000	16.74	08/12/20	21.24	...	-4.50	7 of 7 - Sale ²⁵
08/14/20	29.000	485.44	Various	584.00	...	-98.56	Total of 7 transactions
08/14/20	1.000	16.74	07/09/20	18.37	1.63 W	0.00	Sale ²⁵
08/19/20	1.000	14.94	08/13/20	20.09	...	-5.15	Sale ²⁵
	Security total:	14,927.67		11,515.27	781.76 W	4,194.16	
CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol:							
01/07/20	1.000	1.54	12/04/19	1.84	0.30 W	0.00	Sale ²⁵
	6 transactions for 02/12/20. Total proceeds and cost reported to the IRS.						
	99.000	100.10	02/04/20	96.96	...	3.14	1 of 6 - Sale ²⁵
	100.000	101.11	02/04/20	97.91	...	3.20	2 of 6 - Sale ²⁵
	1.000	1.01	02/05/20	1.00	...	0.01	3 of 6 - Sale ²⁵
	40.000	40.44	02/05/20	40.00	...	0.44	4 of 6 - Sale ²⁵
	59.000	59.65	02/05/20	59.00	...	0.65	5 of 6 - Sale ²⁵
	100.000	101.11	02/05/20	100.00	...	1.11	6 of 6 - Sale ²⁵
02/12/20	399.000	403.42	Various	394.87	...	8.55	Total of 6 transactions
02/12/20	1.000	1.01	02/04/20	1.28	0.27 W	0.00	Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol: (cont'd)							
3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	99.000	97.03	02/05/20	99.00	...	-1.97	1 of 3 - Sale ²⁵
	1.000	0.98	02/05/20	1.27	...	-0.29	2 of 3 - Sale ²⁵
	400.000	392.04	02/14/20	391.97	...	0.07	3 of 3 - Sale ²⁵
02/25/20	500.000	490.05	Various	492.24	...	-2.19	Total of 3 transactions
	Security total:	896.02		890.23	0.57 W	6.36	

CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol:

31 transactions for 01/16/20. Total proceeds and cost reported to the IRS.

1.000	39.55	01/09/20	38.51	...	1.04	1 of 31 - Sale ²⁵
16.000	632.78	01/09/20	619.36	...	13.42	2 of 31 - Sale ²⁵
25.000	988.72	01/09/20	964.50	...	24.22	3 of 31 - Sale ²⁵
1.000	39.55	01/10/20	38.90	...	0.65	4 of 31 - Sale ²⁵
23.000	909.62	01/10/20	866.95	...	42.67	5 of 31 - Sale ²⁵
5.000	195.04	01/15/20	194.53	...	0.51	6 of 31 - Sale ²⁵
1.000	39.01	01/15/20	38.35	...	0.66	7 of 31 - Sale ²⁵
4.000	156.04	01/15/20	155.25	...	0.79	8 of 31 - Sale ²⁵
1.000	39.01	01/15/20	37.80	...	1.21	9 of 31 - Sale ²⁵
1.000	39.01	01/15/20	37.06	...	1.95	10 of 31 - Sale ²⁵
1.000	39.01	01/15/20	36.54	...	2.47	11 of 31 - Sale ²⁵
5.000	195.04	01/15/20	192.44	...	2.60	12 of 31 - Sale ²⁵
1.000	39.04	01/15/20	36.38	...	2.66	13 of 31 - Sale ²⁵
2.000	78.08	01/15/20	75.31	...	2.77	14 of 31 - Sale ²⁵
1.000	39.04	01/15/20	36.21	...	2.83	15 of 31 - Sale ²⁵
9.000	351.08	01/15/20	348.00	...	3.08	16 of 31 - Sale ²⁵
1.000	39.55	01/15/20	36.23	...	3.32	17 of 31 - Sale ²⁵
1.000	39.26	01/15/20	35.85	...	3.41	18 of 31 - Sale ²⁵
1.000	38.59	01/15/20	35.09	...	3.50	19 of 31 - Sale ²⁵
2.000	78.08	01/15/20	72.82	...	5.26	20 of 31 - Sale ²⁵
4.000	156.04	01/15/20	146.62	...	9.42	21 of 31 - Sale ²⁵
4.000	156.03	01/15/20	145.39	...	10.64	22 of 31 - Sale ²⁵
5.000	195.04	01/15/20	184.10	...	10.94	23 of 31 - Sale ²⁵
5.000	195.05	01/15/20	182.24	...	12.81	24 of 31 - Sale ²⁵
9.000	347.30	01/15/20	322.65	...	24.65	25 of 31 - Sale ²⁵

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(continued)

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	19.000	718.36	01/15/20	666.77	...	51.59	26 of 31 - Sale ²⁵
	19.000	745.92	01/15/20	688.04	...	57.88	27 of 31 - Sale ²⁵
	20.000	771.77	01/15/20	700.51	...	71.26	28 of 31 - Sale ²⁵
	26.000	983.02	01/15/20	910.00	...	73.02	29 of 31 - Sale ²⁵
	25.000	988.72	01/15/20	910.86	...	77.86	30 of 31 - Sale ²⁵
	44.000	1,717.67	01/15/20	1,587.52	...	130.15	31 of 31 - Sale ²⁵
01/16/20	282.000	10,990.02	Various	10,340.78	...	649.24	Total of 31 transactions
	46 transactions for 01/16/20. Total proceeds and cost reported to the IRS.						
	5.000	192.49	12/19/19	206.30	13.81 W	0.00	1 of 46 - Sale ²⁵
	5.000	192.49	01/06/20	211.20	18.71 W	0.00	2 of 46 - Sale ²⁵
	5.000	192.50	01/06/20	209.15	16.65 W	0.00	3 of 46 - Sale ²⁵
	5.000	192.49	01/06/20	207.05	14.56 W	0.00	4 of 46 - Sale ²⁵
	10.000	384.99	01/06/20	415.90	30.91 W	0.00	5 of 46 - Sale ²⁵
	5.000	192.50	01/07/20	204.25	11.75 W	0.00	6 of 46 - Sale ²⁵
	5.000	192.49	01/07/20	205.05	12.56 W	0.00	7 of 46 - Sale ²⁵
	5.000	192.50	01/07/20	203.20	10.70 W	0.00	8 of 46 - Sale ²⁵
	5.000	192.49	01/08/20	202.72	10.23 W	0.00	9 of 46 - Sale ²⁵
	1.000	38.50	01/08/20	41.66	3.16 W	0.00	10 of 46 - Sale ²⁵
	4.000	158.20	01/08/20	177.68	19.48 W	0.00	11 of 46 - Sale ²⁵
	1.000	39.55	01/08/20	43.96	4.41 W	0.00	12 of 46 - Sale ²⁵
	2.000	79.10	01/08/20	89.89	10.79 W	0.00	13 of 46 - Sale ²⁵
	2.000	79.10	01/08/20	89.88	10.78 W	0.00	14 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	43.67	4.12 W	0.00	15 of 46 - Sale ²⁵
	5.000	197.75	01/09/20	216.30	18.55 W	0.00	16 of 46 - Sale ²⁵
	5.000	197.74	01/09/20	214.21	16.47 W	0.00	17 of 46 - Sale ²⁵
	9.000	355.94	01/09/20	387.19	31.25 W	0.00	18 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	42.16	2.61 W	0.00	19 of 46 - Sale ²⁵
	5.000	197.75	01/09/20	207.10	9.35 W	0.00	20 of 46 - Sale ²⁵
	5.000	197.74	01/09/20	207.91	10.17 W	0.00	21 of 46 - Sale ²⁵
	5.000	197.74	01/09/20	206.05	8.31 W	0.00	22 of 46 - Sale ²⁵
	4.000	158.20	01/09/20	164.46	6.26 W	0.00	23 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	43.12	3.57 W	0.00	24 of 46 - Sale ²⁵
	4.000	158.20	01/09/20	174.32	16.12 W	0.00	25 of 46 - Sale ²⁵
	2.000	79.10	01/09/20	88.21	9.11 W	0.00	26 of 46 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	1.000	39.55	01/09/20	40.76	1.21 W	0.00	27 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	41.87	2.32 W	0.00	28 of 46 - Sale ²⁵
	2.000	79.10	01/09/20	87.80	8.70 W	0.00	29 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	42.63	3.08 W	0.00	30 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	41.12	1.57 W	0.00	31 of 46 - Sale ²⁵
	5.000	197.74	01/09/20	211.10	13.36 W	0.00	32 of 46 - Sale ²⁵
	5.000	197.75	01/09/20	209.02	11.27 W	0.00	33 of 46 - Sale ²⁵
	9.000	355.94	01/09/20	377.84	21.90 W	0.00	34 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	40.38	0.83 W	0.00	35 of 46 - Sale ²⁵
	1.000	39.55	01/09/20	41.67	2.12 W	0.00	36 of 46 - Sale ²⁵
	4.000	158.20	01/09/20	168.52	10.32 W	0.00	37 of 46 - Sale ²⁵
	2.000	79.10	01/09/20	85.31	6.21 W	0.00	38 of 46 - Sale ²⁵
	4.000	158.20	01/09/20	159.88	1.68 W	0.00	39 of 46 - Sale ²⁵
	5.000	197.74	01/09/20	200.67	2.93 W	0.00	40 of 46 - Sale ²⁵
	5.000	197.74	01/09/20	198.81	1.07 W	0.00	41 of 46 - Sale ²⁵
	4.000	158.20	01/09/20	158.66	0.46 W	0.00	42 of 46 - Sale ²⁵
	1.000	39.55	01/10/20	40.01	0.46 W	0.00	43 of 46 - Sale ²⁵
	2.000	78.02	01/15/20	78.68	0.66 W	0.00	44 of 46 - Sale ²⁵
	2.000	78.02	01/15/20	81.17	3.15 W	0.00	45 of 46 - Sale ²⁵
	1.000	39.01	01/15/20	39.31	0.30 W	0.00	46 of 46 - Sale ²⁵
01/16/20	164.000	6,429.81	Various	6,847.80	417.99 W	0.00	Total of 46 transactions
	13 transactions for 01/17/20. Total proceeds and cost reported to the IRS.						
	1.000	41.19	01/15/20	38.48	...	2.71	1 of 13 - Sale ²⁵
	4.000	164.75	01/15/20	140.00	...	24.75	2 of 13 - Sale ²⁵
	1.000	41.21	01/16/20	37.67	...	3.54	3 of 13 - Sale ²⁵
	1.000	41.12	01/16/20	37.41	...	3.71	4 of 13 - Sale ²⁵
	4.000	164.84	01/16/20	150.60	...	14.24	5 of 13 - Sale ²⁵
	5.000	205.79	01/16/20	188.25	...	17.54	6 of 13 - Sale ²⁵
	5.000	205.59	01/16/20	187.50	...	18.09	7 of 13 - Sale ²⁵
	5.000	205.59	01/16/20	187.31	...	18.28	8 of 13 - Sale ²⁵
	5.000	205.65	01/16/20	187.31	...	18.34	9 of 13 - Sale ²⁵
	5.000	205.78	01/16/20	187.31	...	18.47	10 of 13 - Sale ²⁵
	15.000	616.78	01/16/20	562.50	...	54.28	11 of 13 - Sale ²⁵
	20.000	822.38	01/16/20	749.23	...	73.15	12 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
01/17/20	24.000	986.85	01/16/20	897.84	...	89.01	13 of 13 - Sale ²⁵
	95.000	3,907.52	Various	3,551.41	...	356.11	Total of 13 transactions
	6 transactions for 01/27/20. Total proceeds and cost reported to the IRS.						
	20.000	749.78	01/22/20	748.55	...	1.23	1 of 6 - Sale ²⁵
	50.000	1,874.46	01/22/20	1,871.50	...	2.96	2 of 6 - Sale ²⁵
	30.000	1,124.68	01/23/20	1,099.90	...	24.78	3 of 6 - Sale ²⁵
	4.000	150.15	01/24/20	148.92	...	1.23	4 of 6 - Sale ²⁵
	5.000	187.69	01/24/20	180.45	...	7.24	5 of 6 - Sale ²⁵
	40.000	1,501.55	01/24/20	1,489.24	...	12.31	6 of 6 - Sale ²⁵
01/27/20	149.000	5,588.31	Various	5,538.56	...	49.75	Total of 6 transactions
	2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.						
	5.000	187.45	01/16/20	187.50	0.05 W	0.00	1 of 2 - Sale ²⁵
	45.000	1,687.01	01/22/20	1,738.80	51.79 W	0.00	2 of 2 - Sale ²⁵
01/27/20	50.000	1,874.46	Various	1,926.30	51.84 W	0.00	Total of 2 transactions
02/12/20	1.000	34.88	01/24/20	37.23	2.35 W	0.00	Sale ²⁵
	33 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	1.000	37.02	01/28/20	36.95	...	0.07	1 of 33 - Sale ²⁵
	1.000	37.00	01/28/20	36.93	...	0.07	2 of 33 - Sale ²⁵
	2.000	74.03	01/28/20	73.92	...	0.11	3 of 33 - Sale ²⁵
	1.000	37.50	01/28/20	37.13	...	0.37	4 of 33 - Sale ²⁵
	3.000	112.50	01/28/20	112.09	...	0.41	5 of 33 - Sale ²⁵
	2.000	75.00	01/28/20	74.43	...	0.57	6 of 33 - Sale ²⁵
	10.000	374.99	01/28/20	374.32	...	0.67	7 of 33 - Sale ²⁵
	2.000	74.00	01/28/20	73.11	...	0.89	8 of 33 - Sale ²⁵
	3.000	112.50	01/28/20	111.55	...	0.95	9 of 33 - Sale ²⁵
	2.000	74.03	01/28/20	72.68	...	1.35	10 of 33 - Sale ²⁵
	1.000	37.00	01/29/20	36.76	...	0.24	11 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	36.76	...	0.27	12 of 33 - Sale ²⁵
	1.000	37.00	01/29/20	36.69	...	0.31	13 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	36.65	...	0.38	14 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	36.65	...	0.38	15 of 33 - Sale ²⁵
	2.000	74.00	01/29/20	73.51	...	0.49	16 of 33 - Sale ²⁵
	2.000	74.00	01/29/20	73.47	...	0.53	17 of 33 - Sale ²⁵

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Account 103527271

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(continued)

03/22/2021 AMENDED

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	2.000	74.06	01/29/20	73.48	...	0.58	18 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	36.24	...	0.79	19 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	36.23	...	0.80	20 of 33 - Sale ²⁵
	3.000	111.08	01/29/20	110.26	...	0.82	21 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	36.14	...	0.89	22 of 33 - Sale ²⁵
	2.000	74.05	01/29/20	72.33	...	1.72	23 of 33 - Sale ²⁵
	1.000	37.03	01/29/20	35.14	...	1.89	24 of 33 - Sale ²⁵
	3.000	111.08	01/29/20	108.77	...	2.31	25 of 33 - Sale ²⁵
	1.000	37.50	01/29/20	35.16	...	2.34	26 of 33 - Sale ²⁵
	3.000	111.09	01/29/20	108.67	...	2.42	27 of 33 - Sale ²⁵
	2.000	74.06	01/29/20	71.52	...	2.54	28 of 33 - Sale ²⁵
	3.000	111.08	01/29/20	108.12	...	2.96	29 of 33 - Sale ²⁵
	1.000	37.50	01/30/20	35.38	...	2.12	30 of 33 - Sale ²⁵
	6.000	224.99	01/30/20	200.04	...	24.95	31 of 33 - Sale ²⁵
	20.000	749.98	01/30/20	666.82	...	83.16	32 of 33 - Sale ²⁵
	22.000	824.98	01/30/20	719.13	...	105.85	33 of 33 - Sale ²⁵
02/13/20	108.000	4,031.23	Various	3,787.03	...	244.20	Total of 33 transactions
	71 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	1.000	35.10	01/27/20	39.64	4.54 W	0.00	1 of 71 - Sale ²⁵
	3.000	105.30	01/27/20	111.87	6.57 W	0.00	2 of 71 - Sale ²⁵
	5.000	175.50	01/27/20	186.50	11.00 W	0.00	3 of 71 - Sale ²⁵
	31.000	1,088.07	01/27/20	1,153.20	65.13 W	0.00	4 of 71 - Sale ²⁵
	1.000	35.10	01/27/20	41.74	6.64 W	0.00	5 of 71 - Sale ²⁵
	3.000	105.30	01/27/20	118.17	12.87 W	0.00	6 of 71 - Sale ²⁵
	5.000	175.49	01/27/20	197.00	21.51 W	0.00	7 of 71 - Sale ²⁵
	9.000	315.89	01/27/20	334.80	18.91 W	0.00	8 of 71 - Sale ²⁵
	31.000	1,088.07	01/27/20	1,218.33	130.26 W	0.00	9 of 71 - Sale ²⁵
	10.000	350.99	01/28/20	366.00	15.01 W	0.00	10 of 71 - Sale ²⁵
	1.000	35.10	01/28/20	36.30	1.20 W	0.00	11 of 71 - Sale ²⁵
	7.000	245.70	01/28/20	280.78	35.08 W	0.00	12 of 71 - Sale ²⁵
	1.000	35.10	01/28/20	42.94	7.84 W	0.00	13 of 71 - Sale ²⁵
	3.000	105.30	01/28/20	121.77	16.47 W	0.00	14 of 71 - Sale ²⁵
	5.000	175.49	01/28/20	203.01	27.52 W	0.00	15 of 71 - Sale ²⁵
	6.000	210.59	01/28/20	243.01	32.42 W	0.00	16 of 71 - Sale ²⁵

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(continued)

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	9.000	315.89	01/28/20	345.61	29.72 W	0.00	17 of 71 - Sale ²⁵
	18.000	630.17	01/28/20	722.02	91.85 W	0.00	18 of 71 - Sale ²⁵
	4.000	140.04	01/28/20	164.51	24.47 W	0.00	19 of 71 - Sale ²⁵
	1.000	35.01	01/28/20	39.02	4.01 W	0.00	20 of 71 - Sale ²⁵
	3.000	105.02	01/28/20	117.10	12.08 W	0.00	21 of 71 - Sale ²⁵
	2.000	70.02	01/28/20	78.06	8.04 W	0.00	22 of 71 - Sale ²⁵
	1.000	35.01	01/28/20	43.46	8.45 W	0.00	23 of 71 - Sale ²⁵
	2.000	70.02	01/28/20	82.05	12.03 W	0.00	24 of 71 - Sale ²⁵
	3.000	105.03	01/28/20	123.33	18.30 W	0.00	25 of 71 - Sale ²⁵
	5.000	175.04	01/28/20	205.62	30.58 W	0.00	26 of 71 - Sale ²⁵
	1.000	35.01	01/28/20	36.82	1.81 W	0.00	27 of 71 - Sale ²⁵
	10.000	350.09	01/28/20	371.21	21.12 W	0.00	28 of 71 - Sale ²⁵
	1.000	37.01	01/28/20	37.48	0.47 W	0.00	29 of 71 - Sale ²⁵
	1.000	37.01	01/28/20	37.51	0.50 W	0.00	30 of 71 - Sale ²⁵
	3.000	111.05	01/28/20	115.72	4.67 W	0.00	31 of 71 - Sale ²⁵
	3.000	111.02	01/28/20	115.79	4.77 W	0.00	32 of 71 - Sale ²⁵
	2.000	74.01	01/28/20	77.15	3.14 W	0.00	33 of 71 - Sale ²⁵
	3.000	110.97	01/28/20	117.10	6.13 W	0.00	34 of 71 - Sale ²⁵
	2.000	73.98	01/28/20	81.48	7.50 W	0.00	35 of 71 - Sale ²⁵
	3.000	111.14	01/28/20	122.32	11.18 W	0.00	36 of 71 - Sale ²⁵
	1.000	37.04	01/28/20	43.77	6.73 W	0.00	37 of 71 - Sale ²⁵
	1.000	37.04	01/28/20	41.42	4.38 W	0.00	38 of 71 - Sale ²⁵
	3.000	111.14	01/28/20	122.23	11.09 W	0.00	39 of 71 - Sale ²⁵
	2.000	74.08	01/28/20	81.32	7.24 W	0.00	40 of 71 - Sale ²⁵
	3.000	110.76	01/28/20	122.26	11.50 W	0.00	41 of 71 - Sale ²⁵
	2.000	73.84	01/28/20	81.55	7.71 W	0.00	42 of 71 - Sale ²⁵
	2.000	73.99	01/28/20	82.67	8.68 W	0.00	43 of 71 - Sale ²⁵
	1.000	36.99	01/28/20	41.44	4.45 W	0.00	44 of 71 - Sale ²⁵
	2.000	73.99	01/28/20	82.87	8.88 W	0.00	45 of 71 - Sale ²⁵
	2.000	73.98	01/28/20	82.84	8.86 W	0.00	46 of 71 - Sale ²⁵
	3.000	110.96	01/28/20	124.31	13.35 W	0.00	47 of 71 - Sale ²⁵
	3.000	110.90	01/28/20	117.05	6.15 W	0.00	48 of 71 - Sale ²⁵
	10.000	369.67	01/28/20	404.23	34.56 W	0.00	49 of 71 - Sale ²⁵
	1.000	36.97	01/28/20	39.33	2.36 W	0.00	50 of 71 - Sale ²⁵

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	1.000	36.96	01/28/20	39.35	2.39 W	0.00	51 of 71 - Sale ²⁵
	2.000	73.97	01/28/20	78.14	4.17 W	0.00	52 of 71 - Sale ²⁵
	3.000	110.96	01/28/20	124.31	13.35 W	0.00	53 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	78.68	3.68 W	0.00	54 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	78.69	3.69 W	0.00	55 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	77.50	2.50 W	0.00	56 of 71 - Sale ²⁵
	3.000	112.49	01/28/20	116.89	4.40 W	0.00	57 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	78.00	3.00 W	0.00	58 of 71 - Sale ²⁵
	3.000	112.49	01/28/20	116.61	4.12 W	0.00	59 of 71 - Sale ²⁵
	1.000	37.50	01/28/20	41.87	4.37 W	0.00	60 of 71 - Sale ²⁵
	1.000	37.50	01/28/20	39.52	2.02 W	0.00	61 of 71 - Sale ²⁵
	1.000	37.50	01/28/20	39.59	2.09 W	0.00	62 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	79.14	4.14 W	0.00	63 of 71 - Sale ²⁵
	1.000	37.50	01/28/20	39.48	1.98 W	0.00	64 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	79.18	4.18 W	0.00	65 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	79.15	4.15 W	0.00	66 of 71 - Sale ²⁵
	1.000	37.50	01/28/20	39.48	1.98 W	0.00	67 of 71 - Sale ²⁵
	1.000	37.50	01/28/20	39.59	2.09 W	0.00	68 of 71 - Sale ²⁵
	3.000	112.50	01/28/20	118.76	6.26 W	0.00	69 of 71 - Sale ²⁵
	2.000	75.00	01/28/20	77.19	2.19 W	0.00	70 of 71 - Sale ²⁵
	1.000	37.00	01/29/20	39.04	2.04 W	0.00	71 of 71 - Sale ²⁵
02/13/20	273.000	9,767.35	Various	10,695.87	928.52 W	0.00	Total of 71 transactions
	7 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	18.000	644.93	01/30/20	588.37	...	56.56	1 of 7 - Sale ²⁵
	5.000	179.15	01/31/20	165.34	...	13.81	2 of 7 - Sale ²⁵
	18.000	644.44	02/05/20	589.50	...	54.94	3 of 7 - Sale ²⁵
	27.000	967.39	02/05/20	884.25	...	83.14	4 of 7 - Sale ²⁵
	2.000	71.60	02/10/20	65.44	...	6.16	5 of 7 - Sale ²⁵
	5.000	179.01	02/10/20	163.61	...	15.40	6 of 7 - Sale ²⁵
	20.000	716.04	02/10/20	659.08	...	56.96	7 of 7 - Sale ²⁵
02/14/20	95.000	3,402.56	Various	3,115.59	...	286.97	Total of 7 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	3.000	110.74	02/10/20	98.16	...	12.58	1 of 2 - Sale ²⁵
	1.000	36.91	02/11/20	34.09	...	2.82	2 of 2 - Sale ²⁵
02/20/20	4.000	147.65	Various	132.25	...	15.40	Total of 2 transactions
02/25/20	1.000	37.08	02/12/20	34.76	...	2.32	Sale ²⁵
06/11/20	1.000	33.66	04/17/20	32.76	...	0.90	Sale ²⁵
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	9.000	302.92	05/18/20	396.54	93.62 W	0.00	1 of 6 - Sale ²⁵
	10.000	336.58	05/18/20	387.18	50.60 W	0.00	2 of 6 - Sale ²⁵
	5.000	168.29	05/19/20	190.00	21.71 W	0.00	3 of 6 - Sale ²⁵
	15.000	504.86	05/26/20	532.20	27.34 W	0.00	4 of 6 - Sale ²⁵
	9.000	303.28	05/27/20	390.44	87.16 W	0.00	5 of 6 - Sale ²⁵
	10.000	336.97	05/27/20	380.41	43.44 W	0.00	6 of 6 - Sale ²⁵
06/11/20	58.000	1,952.90	Various	2,276.77	323.87 W	0.00	Total of 6 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	34.26	05/27/20	37.32	3.06 W	0.00	1 of 3 - Sale ²⁵
	4.000	137.05	06/11/20	154.98	17.93 W	0.00	2 of 3 - Sale ²⁵
	5.000	171.31	06/11/20	181.13	9.82 W	0.00	3 of 3 - Sale ²⁵
06/15/20	10.000	342.62	Various	373.43	30.81 W	0.00	Total of 3 transactions
11 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	10.000	321.13	06/12/20	388.23	67.10 W	0.00	1 of 11 - Sale ²⁵
	5.000	160.57	06/12/20	227.42	66.85 W	0.00	2 of 11 - Sale ²⁵
	1.000	32.11	06/12/20	37.27	5.16 W	0.00	3 of 11 - Sale ²⁵
	4.000	128.45	06/12/20	170.45	42.00 W	0.00	4 of 11 - Sale ²⁵
	1.000	32.11	06/12/20	36.53	4.42 W	0.00	5 of 11 - Sale ²⁵
	9.000	289.02	06/12/20	340.33	51.31 W	0.00	6 of 11 - Sale ²⁵
	4.000	128.46	06/17/20	150.35	21.89 W	0.00	7 of 11 - Sale ²⁵
	1.000	32.11	06/17/20	35.07	2.96 W	0.00	8 of 11 - Sale ²⁵
	4.000	128.46	06/17/20	140.25	11.79 W	0.00	9 of 11 - Sale ²⁵
	1.000	32.11	06/17/20	33.10	0.99 W	0.00	10 of 11 - Sale ²⁵
	10.000	321.14	06/17/20	328.18	7.04 W	0.00	11 of 11 - Sale ²⁵
06/22/20	50.000	1,605.67	Various	1,887.18	281.51 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
15 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	25.88	06/17/20	36.83	10.95 W	0.00	1 of 15 - Sale ²⁵
	4.000	103.52	06/17/20	168.69	65.17 W	0.00	2 of 15 - Sale ²⁵
	5.000	129.40	06/17/20	225.22	95.82 W	0.00	3 of 15 - Sale ²⁵
	10.000	258.79	06/17/20	383.83	125.04 W	0.00	4 of 15 - Sale ²⁵
	1.000	25.88	06/17/20	35.91	10.03 W	0.00	5 of 15 - Sale ²⁵
	9.000	232.91	06/17/20	334.73	101.82 W	0.00	6 of 15 - Sale ²⁵
	4.000	103.52	06/18/20	148.73	45.21 W	0.00	7 of 15 - Sale ²⁵
	1.000	25.88	06/18/20	34.67	8.79 W	0.00	8 of 15 - Sale ²⁵
	4.000	103.51	06/18/20	138.62	35.11 W	0.00	9 of 15 - Sale ²⁵
	1.000	25.88	06/18/20	32.70	6.82 W	0.00	10 of 15 - Sale ²⁵
	2.000	51.76	06/19/20	63.41	11.65 W	0.00	11 of 15 - Sale ²⁵
	8.000	205.55	06/19/20	253.63	48.08 W	0.00	12 of 15 - Sale ²⁵
	10.000	256.95	06/19/20	310.25	53.30 W	0.00	13 of 15 - Sale ²⁵
	10.000	256.94	06/19/20	299.00	42.06 W	0.00	14 of 15 - Sale ²⁵
	2.000	51.39	06/19/20	59.80	8.41 W	0.00	15 of 15 - Sale ²⁵
07/28/20	72.000	1,857.76	Various	2,526.02	668.26 W	0.00	Total of 15 transactions
9 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	20.000	493.00	06/19/20	597.99	104.99 W	0.00	1 of 9 - Sale ²⁵
	8.000	197.48	06/19/20	239.20	41.72 W	0.00	2 of 9 - Sale ²⁵
	10.000	246.84	06/23/20	318.70	71.86 W	0.00	3 of 9 - Sale ²⁵
	5.000	123.42	06/23/20	155.45	32.03 W	0.00	4 of 9 - Sale ²⁵
	5.000	123.42	06/23/20	153.40	29.98 W	0.00	5 of 9 - Sale ²⁵
	5.000	123.42	06/23/20	152.40	28.98 W	0.00	6 of 9 - Sale ²⁵
	10.000	246.85	06/24/20	299.80	52.95 W	0.00	7 of 9 - Sale ²⁵
	5.000	123.42	06/25/20	149.90	26.48 W	0.00	8 of 9 - Sale ²⁵
	2.000	49.37	06/26/20	59.80	10.43 W	0.00	9 of 9 - Sale ²⁵
07/29/20	70.000	1,727.22	Various	2,126.64	399.42 W	0.00	Total of 9 transactions
22 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	3.000	74.55	06/26/20	89.71	15.16 W	0.00	1 of 22 - Sale ²⁵
	5.000	124.25	06/26/20	150.25	26.00 W	0.00	2 of 22 - Sale ²⁵
	1.000	24.85	07/15/20	39.15	14.30 W	0.00	3 of 22 - Sale ²⁵
	4.000	99.40	07/15/20	177.97	78.57 W	0.00	4 of 22 - Sale ²⁵

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(continued)

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	5.000	124.25	07/15/20	236.82	112.57 W	0.00	5 of 22 - Sale ²⁵
	10.000	248.49	07/15/20	407.04	158.55 W	0.00	6 of 22 - Sale ²⁵
	1.000	24.85	07/15/20	37.46	12.61 W	0.00	7 of 22 - Sale ²⁵
	9.000	223.64	07/15/20	348.69	125.05 W	0.00	8 of 22 - Sale ²⁵
	2.000	49.69	07/15/20	77.47	27.78 W	0.00	9 of 22 - Sale ²⁵
	2.000	49.72	07/15/20	77.47	27.75 W	0.00	10 of 22 - Sale ²⁵
	1.000	24.86	07/15/20	36.22	11.36 W	0.00	11 of 22 - Sale ²⁵
	4.000	99.44	07/15/20	144.85	45.41 W	0.00	12 of 22 - Sale ²⁵
	1.000	24.86	07/15/20	34.25	9.39 W	0.00	13 of 22 - Sale ²⁵
	2.000	49.72	07/15/20	66.52	16.80 W	0.00	14 of 22 - Sale ²⁵
	8.000	198.88	07/15/20	267.55	68.67 W	0.00	15 of 22 - Sale ²⁵
	6.000	149.16	07/15/20	194.54	45.38 W	0.00	16 of 22 - Sale ²⁵
	4.000	99.44	07/22/20	132.46	33.02 W	0.00	17 of 22 - Sale ²⁵
	2.000	49.72	07/22/20	64.14	14.42 W	0.00	18 of 22 - Sale ²⁵
	4.000	99.44	07/22/20	132.78	33.34 W	0.00	19 of 22 - Sale ²⁵
	10.000	248.59	07/22/20	320.71	72.12 W	0.00	20 of 22 - Sale ²⁵
	4.000	99.43	07/22/20	133.54	34.11 W	0.00	21 of 22 - Sale ²⁵
	2.000	49.72	07/22/20	66.65	16.93 W	0.00	22 of 22 - Sale ²⁵
08/17/20	90.000	2,236.95	Various	3,236.24	999.29 W	0.00	Total of 22 transactions
	7 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.000	21.83	07/22/20	33.32	11.49 W	0.00	1 of 7 - Sale ²⁵
	9.000	196.47	07/23/20	298.83	102.36 W	0.00	2 of 7 - Sale ²⁵
	8.000	174.64	07/30/20	249.98	75.34 W	0.00	3 of 7 - Sale ²⁵
	2.000	43.65	07/30/20	63.66	20.01 W	0.00	4 of 7 - Sale ²⁵
	5.000	109.15	07/30/20	162.19	53.04 W	0.00	5 of 7 - Sale ²⁵
	5.000	109.15	07/30/20	160.14	50.99 W	0.00	6 of 7 - Sale ²⁵
	10.000	218.29	07/30/20	332.19	113.90 W	0.00	7 of 7 - Sale ²⁵
09/10/20	40.000	873.18	Various	1,300.31	427.13 W	0.00	Total of 7 transactions
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	3.000	65.51	08/05/20	92.48	26.97 W	0.00	1 of 2 - Sale ²⁵
	7.000	152.85	08/05/20	212.27	59.42 W	0.00	2 of 2 - Sale ²⁵
09/11/20	10.000	218.36	Various	304.75	86.39 W	0.00	Total of 2 transactions

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
23 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	47.65	08/05/20	60.65	13.00 W	0.00	1 of 23 - Sale ²⁵
	1.000	23.74	08/05/20	30.33	6.59 W	0.00	2 of 23 - Sale ²⁵
	5.000	118.70	08/05/20	151.63	32.93 W	0.00	3 of 23 - Sale ²⁵
	2.000	47.48	08/05/20	60.49	13.01 W	0.00	4 of 23 - Sale ²⁵
	1.000	23.74	08/06/20	30.29	6.55 W	0.00	5 of 23 - Sale ²⁵
	2.000	47.48	08/11/20	93.14	45.66 W	0.00	6 of 23 - Sale ²⁵
	2.000	47.48	08/11/20	58.22	10.74 W	0.00	7 of 23 - Sale ²⁵
	5.000	118.70	08/11/20	146.28	27.58 W	0.00	8 of 23 - Sale ²⁵
	1.000	23.74	08/11/20	46.58	22.84 W	0.00	9 of 23 - Sale ²⁵
	1.000	23.74	08/11/20	46.27	22.53 W	0.00	10 of 23 - Sale ²⁵
	1.000	23.74	08/11/20	46.26	22.52 W	0.00	11 of 23 - Sale ²⁵
	2.000	47.48	08/11/20	86.78	39.30 W	0.00	12 of 23 - Sale ²⁵
	1.000	23.74	08/11/20	38.05	14.31 W	0.00	13 of 23 - Sale ²⁵
	2.000	47.48	08/11/20	86.79	39.31 W	0.00	14 of 23 - Sale ²⁵
	2.000	47.48	08/11/20	79.21	31.73 W	0.00	15 of 23 - Sale ²⁵
	1.000	23.74	08/14/20	36.00	12.26 W	0.00	16 of 23 - Sale ²⁵
	1.000	23.74	08/14/20	37.28	13.54 W	0.00	17 of 23 - Sale ²⁵
	8.000	189.92	08/14/20	313.96	124.04 W	0.00	18 of 23 - Sale ²⁵
	2.000	47.48	08/14/20	74.23	26.75 W	0.00	19 of 23 - Sale ²⁵
	3.000	71.16	08/14/20	111.35	40.19 W	0.00	20 of 23 - Sale ²⁵
	3.000	71.16	08/14/20	111.64	40.48 W	0.00	21 of 23 - Sale ²⁵
	2.000	47.44	08/14/20	74.42	26.98 W	0.00	22 of 23 - Sale ²⁵
	2.000	47.44	08/25/20	70.93	23.49 W	0.00	23 of 23 - Sale ²⁵
09/14/20	52.000	1,234.45	Various	1,890.78	656.33 W	0.00	Total of 23 transactions
9 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	20.22	08/25/20	32.95	12.73 W	0.00	1 of 9 - Sale ²⁵
	4.000	80.86	08/25/20	131.78	50.92 W	0.00	2 of 9 - Sale ²⁵
	1.000	20.23	08/25/20	30.98	10.75 W	0.00	3 of 9 - Sale ²⁵
	2.000	40.47	08/25/20	59.98	19.51 W	0.00	4 of 9 - Sale ²⁵
	8.000	161.87	08/25/20	241.41	79.54 W	0.00	5 of 9 - Sale ²⁵
	2.000	40.47	08/25/20	58.31	17.84 W	0.00	6 of 9 - Sale ²⁵
	3.000	60.70	08/26/20	83.58	22.88 W	0.00	7 of 9 - Sale ²⁵
	1.000	20.23	08/26/20	27.66	7.43 W	0.00	8 of 9 - Sale ²⁵

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09/29/20	3.000	60.70	08/26/20	85.07	24.37 W	0.00	9 of 9 - Sale ²⁵
	25.000	505.75	Various	751.72	245.97 W	0.00	Total of 9 transactions
	41 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	7.000	152.38	09/21/20	200.01	...	-47.63	1 of 41 - Sale ²⁵
	5.000	108.85	09/21/20	153.46	...	-44.61	2 of 41 - Sale ²⁵
	5.000	108.85	09/21/20	151.42	...	-42.57	3 of 41 - Sale ²⁵
	3.000	65.31	09/21/20	87.23	...	-21.92	4 of 41 - Sale ²⁵
	2.000	43.54	09/21/20	60.18	...	-16.64	5 of 41 - Sale ²⁵
	1.000	21.77	09/21/20	31.47	...	-9.70	6 of 41 - Sale ²⁵
	2.000	43.54	09/21/20	53.17	...	-9.63	7 of 41 - Sale ²⁵
	8.000	175.20	09/23/20	225.70	...	-50.50	8 of 41 - Sale ²⁵
	2.000	43.54	09/23/20	83.65	...	-40.11	9 of 41 - Sale ²⁵
	3.000	65.43	09/23/20	103.17	...	-37.74	10 of 41 - Sale ²⁵
	3.000	65.55	09/23/20	103.17	...	-37.62	11 of 41 - Sale ²⁵
	4.000	87.40	09/23/20	124.00	...	-36.60	12 of 41 - Sale ²⁵
	2.000	43.54	09/23/20	77.30	...	-33.76	13 of 41 - Sale ²⁵
	2.000	43.54	09/23/20	77.29	...	-33.75	14 of 41 - Sale ²⁵
	3.000	65.55	09/23/20	95.30	...	-29.75	15 of 41 - Sale ²⁵
	3.000	65.55	09/23/20	95.01	...	-29.46	16 of 41 - Sale ²⁵
	2.000	43.62	09/23/20	69.50	...	-25.88	17 of 41 - Sale ²⁵
	2.000	43.62	09/23/20	68.78	...	-25.16	18 of 41 - Sale ²⁵
	2.000	43.54	09/23/20	64.52	...	-20.98	19 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	41.84	...	-20.07	20 of 41 - Sale ²⁵
	2.000	43.70	09/23/20	63.53	...	-19.83	21 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	41.53	...	-19.76	22 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	41.52	...	-19.75	23 of 41 - Sale ²⁵
	5.000	108.85	09/23/20	127.92	...	-19.07	24 of 41 - Sale ²⁵
	2.000	43.70	09/23/20	60.03	...	-16.33	25 of 41 - Sale ²⁵
	5.000	108.84	09/23/20	122.57	...	-13.73	26 of 41 - Sale ²⁵
	3.000	65.70	09/23/20	79.19	...	-13.49	27 of 41 - Sale ²⁵
	3.000	65.70	09/23/20	77.69	...	-11.99	28 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	33.19	...	-11.42	29 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	32.43	...	-10.66	30 of 41 - Sale ²⁵
	2.000	43.80	09/23/20	54.38	...	-10.58	31 of 41 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
	1.000	21.77	09/23/20	31.14	...	-9.37	32 of 41 - Sale ²⁵
	1.000	21.85	09/23/20	31.00	...	-9.15	33 of 41 - Sale ²⁵
	2.000	43.54	09/23/20	51.00	...	-7.46	34 of 41 - Sale ²⁵
	1.000	21.85	09/23/20	29.02	...	-7.17	35 of 41 - Sale ²⁵
	1.000	21.85	09/23/20	28.03	...	-6.18	36 of 41 - Sale ²⁵
	1.000	21.90	09/23/20	28.02	...	-6.12	37 of 41 - Sale ²⁵
	2.000	43.54	09/23/20	48.74	...	-5.20	38 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	25.59	...	-3.82	39 of 41 - Sale ²⁵
	1.000	21.90	09/23/20	25.70	...	-3.80	40 of 41 - Sale ²⁵
	1.000	21.77	09/23/20	25.55	...	-3.78	41 of 41 - Sale ²⁵
10/02/20	100.000	2,181.20	Various	3,023.94	...	-842.74	Total of 41 transactions
	15 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	21.93	08/26/20	28.35	6.42 W	0.00	1 of 15 - Sale ²⁵
	4.000	87.72	08/26/20	113.74	26.02 W	0.00	2 of 15 - Sale ²⁵
	5.000	109.65	08/26/20	136.56	26.91 W	0.00	3 of 15 - Sale ²⁵
	3.000	65.88	08/26/20	81.94	16.06 W	0.00	4 of 15 - Sale ²⁵
	2.000	43.92	08/26/20	57.08	13.16 W	0.00	5 of 15 - Sale ²⁵
	4.000	87.84	08/26/20	114.42	26.58 W	0.00	6 of 15 - Sale ²⁵
	1.000	21.96	08/26/20	27.29	5.33 W	0.00	7 of 15 - Sale ²⁵
	1.000	21.96	08/26/20	27.28	5.32 W	0.00	8 of 15 - Sale ²⁵
	2.000	43.92	08/26/20	54.57	10.65 W	0.00	9 of 15 - Sale ²⁵
	1.000	21.96	09/01/20	32.17	10.21 W	0.00	10 of 15 - Sale ²⁵
	1.000	21.96	09/01/20	32.05	10.09 W	0.00	11 of 15 - Sale ²⁵
	5.000	109.80	09/21/20	157.29	47.49 W	0.00	12 of 15 - Sale ²⁵
	3.000	65.67	09/21/20	94.38	28.71 W	0.00	13 of 15 - Sale ²⁵
	8.000	175.12	09/21/20	236.02	60.90 W	0.00	14 of 15 - Sale ²⁵
	9.000	197.01	09/21/20	283.28	86.27 W	0.00	15 of 15 - Sale ²⁵
10/02/20	50.000	1,096.30	Various	1,476.42	380.12 W	0.00	Total of 15 transactions
	4 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	3.000	65.36	09/23/20	69.80	...	-4.44	1 of 4 - Sale ²⁵
	2.000	43.57	09/23/20	46.48	...	-2.91	2 of 4 - Sale ²⁵
	1.000	21.79	09/23/20	23.24	...	-1.45	3 of 4 - Sale ²⁵
	1.000	21.78	09/23/20	23.23	...	-1.45	4 of 4 - Sale ²⁵
10/06/20	7.000	152.50	Various	162.75	...	-10.25	Total of 4 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CONSTELLATION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 210373106 / Symbol: (cont'd)							
<i>7 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	108.93	09/23/20	116.47	3.02 W	-4.52	1 of 7 - Sale ²⁵
	1.000	21.79	09/23/20	24.72	2.93 W	0.00	2 of 7 - Sale ²⁵
	4.000	87.15	09/23/20	99.22	12.07 W	0.00	3 of 7 - Sale ²⁵
	1.000	21.79	09/23/20	28.13	6.34 W	0.00	4 of 7 - Sale ²⁵
	1.000	21.79	09/23/20	28.00	6.21 W	0.00	5 of 7 - Sale ²⁵
	2.000	43.57	09/23/20	48.98	5.41 W	0.00	6 of 7 - Sale ²⁵
	4.000	87.15	09/23/20	98.23	11.08 W	0.00	7 of 7 - Sale ²⁵
10/06/20	18.000	392.17	Various	443.75	47.06 W	-4.52	Total of 7 transactions
<i>3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	109.85	09/23/20	135.53	...	-25.68	1 of 3 - Sale ²⁵
	3.000	65.91	09/23/20	81.53	...	-15.62	2 of 3 - Sale ²⁵
	2.000	43.94	09/23/20	50.44	...	-6.50	3 of 3 - Sale ²⁵
10/28/20	10.000	219.70	Various	267.50	...	-47.80	Total of 3 transactions
<i>2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	115.50	09/23/20	151.31	...	-35.81	1 of 2 - Sale ²⁵
	4.000	77.00	09/23/20	108.77	...	-31.77	2 of 2 - Sale ²⁵
10/29/20	10.000	192.50	Various	260.08	...	-67.58	Total of 2 transactions
<i>9 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	147.22	09/23/20	135.97	...	11.25	1 of 9 - Sale ²⁵
	1.000	29.44	11/05/20	26.15	...	3.29	2 of 9 - Sale ²⁵
	1.000	29.44	11/05/20	26.02	...	3.42	3 of 9 - Sale ²⁵
	1.000	29.44	11/05/20	22.74	...	6.70	4 of 9 - Sale ²⁵
	1.000	29.45	11/05/20	22.59	...	6.86	5 of 9 - Sale ²⁵
	1.000	29.44	11/05/20	21.33	...	8.11	6 of 9 - Sale ²⁵
	2.000	58.89	11/05/20	45.04	...	13.85	7 of 9 - Sale ²⁵
	3.000	88.33	11/05/20	67.75	...	20.58	8 of 9 - Sale ²⁵
	4.000	117.78	11/05/20	91.30	...	26.48	9 of 9 - Sale ²⁵
12/09/20	19.000	559.43	Various	458.89	...	100.54	Total of 9 transactions
	Security total:	63,593.19		68,807.51	5,946.86 W	732.54	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.010	3.74	12/10/20	3.75	...	-0.01	1 of 4 - Sale ²⁵
	0.090	33.70	12/10/20	33.67	...	0.03	2 of 4 - Sale ²⁵
	0.990	370.73	12/10/20	370.32	...	0.41	3 of 4 - Sale ²⁵
	1.900	711.54	12/10/20	710.72	...	0.82	4 of 4 - Sale ²⁵
12/10/20	2.990	1,119.71	Various	1,118.46	...	1.25	Total of 4 transactions
12/10/20	0.010	3.74	12/07/20	3.75	0.01 W	0.00	Sale ²⁵
12/11/20	2.000	748.72	12/10/20	748.13	...	0.59	Sale ²⁵
	Security total:	1,872.17		1,870.34	0.01 W	1.84	

CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:

24 transactions for 03/19/20. Total proceeds and cost reported to the IRS.

0.000	0.07	03/18/20	0.05	...	0.02	1 of 24 - Sale ²⁵
0.000	0.27	03/18/20	0.21	...	0.06	2 of 24 - Sale ²⁵
0.000	0.34	03/18/20	0.26	...	0.08	3 of 24 - Sale ²⁵
0.000	0.32	03/18/20	0.23	...	0.09	4 of 24 - Sale ²⁵
0.000	0.30	03/18/20	0.21	...	0.09	5 of 24 - Sale ²⁵
0.000	0.40	03/18/20	0.31	...	0.09	6 of 24 - Sale ²⁵
0.000	0.40	03/18/20	0.31	...	0.09	7 of 24 - Sale ²⁵
0.000	0.42	03/18/20	0.32	...	0.10	8 of 24 - Sale ²⁵
0.000	0.39	03/18/20	0.28	...	0.11	9 of 24 - Sale ²⁵
0.001	0.77	03/18/20	0.60	...	0.17	10 of 24 - Sale ²⁵
0.014	13.55	03/18/20	9.94	...	3.61	11 of 24 - Sale ²⁵
0.027	25.86	03/18/20	21.75	...	4.11	12 of 24 - Sale ²⁵
0.045	41.94	03/18/20	29.79	...	12.15	13 of 24 - Sale ²⁵
0.066	62.27	03/18/20	49.78	...	12.49	14 of 24 - Sale ²⁵
0.068	64.07	03/18/20	49.69	...	14.38	15 of 24 - Sale ²⁵
0.058	54.95	03/18/20	39.77	...	15.18	16 of 24 - Sale ²⁵
0.069	65.19	03/18/20	49.74	...	15.45	17 of 24 - Sale ²⁵
0.069	65.22	03/18/20	49.69	...	15.53	18 of 24 - Sale ²⁵
0.069	65.27	03/18/20	49.68	...	15.59	19 of 24 - Sale ²⁵
0.073	68.34	03/18/20	49.71	...	18.63	20 of 24 - Sale ²⁵
0.137	128.50	03/18/20	99.40	...	29.10	21 of 24 - Sale ²⁵
0.270	243.44	03/18/20	213.72	...	29.72	22 of 24 - Sale ²⁵

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(continued)

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	0.300	282.38	03/18/20	227.72	...	54.66	23 of 24 - Sale ²⁵
	0.500	469.96	03/18/20	395.78	...	74.18	24 of 24 - Sale ²⁵
03/19/20	1.770	1,654.62	Various	1,338.94	...	315.68	Total of 24 transactions
	15 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	0.020	13.12	03/18/20	15.83	2.71 W	0.00	1 of 15 - Sale ²⁵
	0.183	117.56	03/18/20	144.48	26.92 W	0.00	2 of 15 - Sale ²⁵
	0.020	12.88	03/19/20	19.83	6.95 W	0.00	3 of 15 - Sale ²⁵
	0.080	51.53	03/19/20	68.46	16.93 W	0.00	4 of 15 - Sale ²⁵
	0.100	64.40	03/19/20	78.54	14.14 W	0.00	5 of 15 - Sale ²⁵
	0.100	64.41	03/19/20	81.12	16.71 W	0.00	6 of 15 - Sale ²⁵
	0.017	11.26	03/19/20	13.92	2.66 W	0.00	7 of 15 - Sale ²⁵
	0.133	73.68	03/19/20	125.09	51.41 W	0.00	8 of 15 - Sale ²⁵
	0.020	11.12	03/19/20	21.90	10.78 W	0.00	9 of 15 - Sale ²⁵
	0.047	26.40	03/19/20	42.47	16.07 W	0.00	10 of 15 - Sale ²⁵
	0.003	1.42	03/19/20	2.26	0.84 W	0.00	11 of 15 - Sale ²⁵
	0.030	16.83	03/19/20	28.77	11.94 W	0.00	12 of 15 - Sale ²⁵
	0.050	28.06	03/19/20	43.81	15.75 W	0.00	13 of 15 - Sale ²⁵
	0.050	28.06	03/19/20	40.13	12.07 W	0.00	14 of 15 - Sale ²⁵
	0.017	9.81	03/19/20	13.25	3.44 W	0.00	15 of 15 - Sale ²⁵
03/20/20	0.870	530.54	Various	739.86	209.32 W	0.00	Total of 15 transactions
	6 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	0.200	95.84	03/20/20	118.64	...	-22.80	1 of 6 - Sale ²⁵
	0.083	39.55	03/20/20	51.04	...	-11.49	2 of 6 - Sale ²⁵
	0.100	47.92	03/20/20	57.62	...	-9.70	3 of 6 - Sale ²⁵
	0.100	47.92	03/20/20	55.18	...	-7.26	4 of 6 - Sale ²⁵
	0.007	3.58	03/20/20	4.33	...	-0.75	5 of 6 - Sale ²⁵
	0.010	4.79	03/20/20	5.52	...	-0.73	6 of 6 - Sale ²⁵
03/23/20	0.500	239.60	Various	292.33	...	-52.73	Total of 6 transactions
	12 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	0.033	15.59	03/19/20	24.65	9.06 W	0.00	1 of 12 - Sale ²⁵
	0.050	23.96	03/19/20	36.43	12.47 W	0.00	2 of 12 - Sale ²⁵
	0.017	8.38	03/20/20	13.47	2.91 W	-2.18	3 of 12 - Sale ²⁵
	0.020	9.58	03/20/20	23.15	13.57 W	0.00	4 of 12 - Sale ²⁵

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	0.003	1.21	03/20/20	2.40	1.19 W	0.00	5 of 12 - Sale ²⁵
	0.047	22.75	03/20/20	45.43	22.68 W	0.00	6 of 12 - Sale ²⁵
	0.133	63.51	03/20/20	133.38	69.87 W	0.00	7 of 12 - Sale ²⁵
	0.017	8.38	03/20/20	14.25	5.87 W	0.00	8 of 12 - Sale ²⁵
	0.030	14.38	03/20/20	30.50	16.12 W	0.00	9 of 12 - Sale ²⁵
	0.050	23.96	03/20/20	46.67	22.71 W	0.00	10 of 12 - Sale ²⁵
	0.050	23.96	03/20/20	43.00	19.04 W	0.00	11 of 12 - Sale ²⁵
	0.050	23.96	03/20/20	39.28	15.32 W	0.00	12 of 12 - Sale ²⁵
03/23/20	0.500	239.62	Various	452.61	210.81 W	-2.18	Total of 12 transactions
	12 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	0.010	3.34	03/20/20	12.57	9.23 W	0.00	1 of 12 - Sale ²⁵
	0.033	10.87	03/20/20	27.90	17.03 W	0.00	2 of 12 - Sale ²⁵
	0.050	16.72	03/20/20	41.45	24.73 W	0.00	3 of 12 - Sale ²⁵
	0.010	3.34	03/23/20	10.53	7.19 W	0.00	4 of 12 - Sale ²⁵
	0.090	30.09	03/23/20	81.11	51.02 W	0.00	5 of 12 - Sale ²⁵
	0.003	0.84	03/24/20	2.03	1.19 W	0.00	6 of 12 - Sale ²⁵
	0.043	14.22	03/24/20	36.57	22.35 W	0.00	7 of 12 - Sale ²⁵
	0.047	15.88	03/24/20	38.48	22.60 W	0.00	8 of 12 - Sale ²⁵
	0.027	9.19	03/24/20	19.60	10.41 W	0.00	9 of 12 - Sale ²⁵
	0.030	10.03	03/24/20	26.10	16.07 W	0.00	10 of 12 - Sale ²⁵
	0.050	16.72	03/24/20	39.35	22.63 W	0.00	11 of 12 - Sale ²⁵
	0.007	2.50	03/30/20	5.64	3.14 W	0.00	12 of 12 - Sale ²⁵
04/02/20	0.400	133.74	Various	341.33	207.59 W	0.00	Total of 12 transactions
	20 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	0.050	8.66	03/30/20	34.02	...	-25.36	1 of 20 - Sale ²⁵
	0.017	3.03	03/30/20	12.41	...	-9.38	2 of 20 - Sale ²⁵
	0.015	2.60	03/30/20	11.36	...	-8.76	3 of 20 - Sale ²⁵
	0.010	1.73	03/30/20	6.65	...	-4.92	4 of 20 - Sale ²⁵
	0.090	15.58	04/29/20	66.91	...	-51.33	5 of 20 - Sale ²⁵
	0.050	8.65	04/29/20	33.56	...	-24.91	6 of 20 - Sale ²⁵
	0.050	8.66	04/29/20	31.44	...	-22.78	7 of 20 - Sale ²⁵
	0.047	8.22	04/29/20	30.98	...	-22.76	8 of 20 - Sale ²⁵
	0.043	7.36	04/29/20	29.85	...	-22.49	9 of 20 - Sale ²⁵
	0.033	5.63	04/29/20	22.77	...	-17.14	10 of 20 - Sale ²⁵

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	0.030	5.19	04/29/20	21.35	...	-16.16	11 of 20 - Sale ²⁵
	0.027	4.76	04/29/20	15.25	...	-10.49	12 of 20 - Sale ²⁵
	0.009	1.52	04/29/20	9.63	...	-8.11	13 of 20 - Sale ²⁵
	0.010	1.73	04/29/20	8.96	...	-7.23	14 of 20 - Sale ²⁵
	0.007	1.29	04/29/20	4.46	...	-3.17	15 of 20 - Sale ²⁵
	0.001	0.22	04/29/20	1.37	...	-1.15	16 of 20 - Sale ²⁵
	0.002	0.32	04/29/20	1.21	...	-0.89	17 of 20 - Sale ²⁵
	0.001	0.12	04/29/20	0.43	...	-0.31	18 of 20 - Sale ²⁵
	0.053	9.11	04/29/20	9.26	...	-0.15	19 of 20 - Sale ²⁵
	0.001	0.15	04/29/20	0.16	...	-0.01	20 of 20 - Sale ²⁵
05/08/20	0.546	94.53	Various	352.03	...	-257.50	Total of 20 transactions
	5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	0.010	1.39	06/15/20	2.01	0.62 W	0.00	1 of 5 - Sale ²⁵
	0.100	13.93	06/15/20	16.64	2.71 W	0.00	2 of 5 - Sale ²⁵
	0.100	13.93	06/15/20	16.12	2.19 W	0.00	3 of 5 - Sale ²⁵
	0.400	55.70	06/15/20	63.00	7.30 W	0.00	4 of 5 - Sale ²⁵
	0.390	54.31	06/15/20	61.40	7.09 W	0.00	5 of 5 - Sale ²⁵
06/16/20	1.000	139.26	Various	159.17	19.91 W	0.00	Total of 5 transactions
	5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	0.180	29.02	06/16/20	28.99	...	0.03	1 of 5 - Sale ²⁵
	1.000	161.19	06/16/20	157.80	...	3.39	2 of 5 - Sale ²⁵
	0.180	28.95	06/17/20	28.28	...	0.67	3 of 5 - Sale ²⁵
	0.210	33.78	06/17/20	33.02	...	0.76	4 of 5 - Sale ²⁵
	0.400	64.33	06/17/20	62.92	...	1.41	5 of 5 - Sale ²⁵
06/18/20	1.970	317.27	Various	311.01	...	6.26	Total of 5 transactions
	10 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	0.010	1.61	06/16/20	2.02	0.41 W	0.00	1 of 10 - Sale ²⁵
	0.010	1.61	06/16/20	1.67	0.06 W	0.00	2 of 10 - Sale ²⁵
	0.090	14.51	06/16/20	15.32	0.81 W	0.00	3 of 10 - Sale ²⁵
	0.100	16.12	06/16/20	16.51	0.39 W	0.00	4 of 10 - Sale ²⁵
	0.210	33.85	06/16/20	33.88	0.03 W	0.00	5 of 10 - Sale ²⁵
	0.400	64.48	06/16/20	64.56	0.08 W	0.00	6 of 10 - Sale ²⁵
	0.010	1.61	06/17/20	1.98	0.37 W	0.00	7 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	0.010	1.61	06/17/20	1.63	0.02 W	0.00	8 of 10 - Sale ²⁵
	0.090	14.48	06/17/20	14.95	0.47 W	0.00	9 of 10 - Sale ²⁵
	0.100	16.08	06/17/20	16.10	0.02 W	0.00	10 of 10 - Sale ²⁵
06/18/20	1.030	165.96	Various	168.62	2.66 W	0.00	Total of 10 transactions
	5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	0.010	1.46	06/23/20	1.29	...	0.17	1 of 5 - Sale ²⁵
	0.090	13.10	06/23/20	11.91	...	1.19	2 of 5 - Sale ²⁵
	0.100	14.56	06/23/20	12.73	...	1.83	3 of 5 - Sale ²⁵
	0.790	115.03	06/23/20	100.43	...	14.60	4 of 5 - Sale ²⁵
	0.900	131.04	06/23/20	114.39	...	16.65	5 of 5 - Sale ²⁵
06/25/20	1.890	275.19	Various	240.75	...	34.44	Total of 5 transactions
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	2.000	291.21	06/17/20	314.21	23.00 W	0.00	1 of 2 - Sale ²⁵
	0.010	1.46	06/23/20	1.64	0.18 W	0.00	2 of 2 - Sale ²⁵
06/25/20	2.010	292.67	Various	315.85	23.18 W	0.00	Total of 2 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	0.100	14.74	06/23/20	13.86	...	0.88	1 of 2 - Sale ²⁵
	2.790	411.16	06/26/20	388.31	...	22.85	2 of 2 - Sale ²⁵
06/29/20	2.890	425.90	Various	402.17	...	23.73	Total of 2 transactions
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	0.900	132.63	06/25/20	132.74	0.11 W	0.00	1 of 3 - Sale ²⁵
	1.000	147.36	06/26/20	150.68	3.32 W	0.00	2 of 3 - Sale ²⁵
	0.010	1.47	06/26/20	1.57	0.10 W	0.00	3 of 3 - Sale ²⁵
06/29/20	1.910	281.46	Various	284.99	3.53 W	0.00	Total of 3 transactions
	7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	0.200	21.27	06/26/20	27.86	...	-6.59	1 of 7 - Sale ²⁵
	0.700	74.45	06/29/20	95.55	...	-21.10	2 of 7 - Sale ²⁵
	0.100	10.64	06/29/20	13.97	...	-3.33	3 of 7 - Sale ²⁵
	3.090	328.64	06/30/20	437.24	...	-108.60	4 of 7 - Sale ²⁵
	0.900	95.72	06/30/20	130.34	...	-34.62	5 of 7 - Sale ²⁵
	0.010	1.06	06/30/20	1.51	...	-0.45	6 of 7 - Sale ²⁵
	1.000	106.36	07/02/20	105.98	...	0.38	7 of 7 - Sale ²⁵
07/06/20	6.000	638.14	Various	812.45	...	-174.31	Total of 7 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
Security total:		5,428.50		6,212.11		677.00 W	-106.61
CRESTWOOD EQUITY PARTNERS LP / CUSIP: 226344208 / Symbol:							
05/26/20	1.000	13.04	05/22/20	12.25	...	0.79	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	130.80	06/03/20	141.74	...	-10.94	1 of 2 - Sale ²⁵
	4.000	104.80	06/03/20	113.39	...	-8.59	2 of 2 - Sale ²⁵
06/11/20	9.000	235.60	Various	255.13	...	-19.53	Total of 2 transactions
08/28/20	1.000	31.04	06/03/20	28.35	...	2.69	Sale ²⁵
Security total:		266.64		283.48		...	-16.84
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
<i>3 transactions for 02/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	22.46	02/20/20	20.33	...	2.13	1 of 3 - Sale ²⁵
	9.000	198.35	02/20/20	182.97	...	15.38	2 of 3 - Sale ²⁵
	10.000	220.40	02/20/20	203.60	...	16.80	3 of 3 - Sale ²⁵
02/21/20	20.000	441.21	Various	406.90	...	34.31	Total of 3 transactions
DURECT CORPORATION COMMON STOC K / CUSIP: 266605104 / Symbol:							
01/13/20	40.000	100.39	01/07/20	105.00	...	-4.61	Sale ²⁵
01/13/20	100.000	251.00	01/02/20	262.79	1.18 W	-10.61	Sale ²⁵
01/24/20	10.000	20.45	01/07/20	27.43	...	-6.98	Sale ²⁵
Security total:		371.84		395.22	1.18 W	-22.20	
DEFIANCE NEXT GEN SPAC DERIVED ETF / CUSIP: 26922B204 / Symbol:							
10/05/20	1.000	26.23	10/01/20	26.07	...	0.16	Sale ²⁵
EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol:							
01/09/20	1.000	96.49	12/18/19	91.12	...	5.37	Sale ²⁵
01/09/20	3.000	289.48	01/07/20	290.13	0.65 W	0.00	Sale ²⁵
<i>5 transactions for 01/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	92.63	01/10/20	90.40	...	2.23	1 of 5 - Sale ²⁵
	1.000	92.65	01/10/20	89.60	...	3.05	2 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol: (cont'd)							
	3.000	277.95	01/10/20	269.45	...	8.50	3 of 5 - Sale ²⁵
	3.000	277.90	01/10/20	268.13	...	9.77	4 of 5 - Sale ²⁵
	4.000	370.56	01/10/20	358.40	...	12.16	5 of 5 - Sale ²⁵
01/10/20	12.000	1,111.69	Various	1,075.98	...	35.71	Total of 5 transactions
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	92.65	01/07/20	96.71	4.06 W	0.00	1 of 2 - Sale ²⁵
	1.000	92.64	01/10/20	93.66	1.02 W	0.00	2 of 2 - Sale ²⁵
01/10/20	2.000	185.29	Various	190.37	5.08 W	0.00	Total of 2 transactions
6 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	1.000	89.33	01/14/20	84.44	...	4.89	1 of 6 - Sale ²⁵
	4.000	357.33	01/14/20	342.74	...	14.59	2 of 6 - Sale ²⁵
	4.000	357.31	01/14/20	337.61	...	19.70	3 of 6 - Sale ²⁵
	4.000	357.31	01/14/20	336.20	...	21.11	4 of 6 - Sale ²⁵
	5.000	446.67	01/14/20	421.90	...	24.77	5 of 6 - Sale ²⁵
	5.000	446.67	01/14/20	421.85	...	24.82	6 of 6 - Sale ²⁵
01/15/20	23.000	2,054.62	Various	1,944.74	...	109.88	Total of 6 transactions
01/15/20	1.000	89.33	01/10/20	89.37	0.04 W	0.00	Sale ²⁵
01/23/20	1.000	97.56	01/14/20	84.05	...	13.51	Sale ²⁵
3 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	5.000	666.65	02/19/20	663.33	...	3.32	1 of 3 - Sale ²⁵
	10.000	1,333.31	02/19/20	1,325.78	...	7.53	2 of 3 - Sale ²⁵
	4.000	533.32	02/20/20	511.02	...	22.30	3 of 3 - Sale ²⁵
02/21/20	19.000	2,533.28	Various	2,500.13	...	33.15	Total of 3 transactions
02/25/20	1.000	112.98	02/20/20	127.76	14.78 W	0.00	Sale ²⁵
03/23/20	1.000	96.61	03/19/20	116.10	...	-19.49	Sale ²⁵
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	127.86	04/27/20	106.38	...	21.48	1 of 2 - Sale ²⁵
	2.000	255.73	05/14/20	225.19	...	30.54	2 of 2 - Sale ²⁵
05/21/20	3.000	383.59	Various	331.57	...	52.02	Total of 2 transactions
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	130.29	05/14/20	112.60	...	17.69	1 of 2 - Sale ²⁵
	3.000	390.85	05/26/20	378.65	...	12.20	2 of 2 - Sale ²⁵
05/28/20	4.000	521.14	Various	491.25	...	29.89	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol: (cont'd)							
05/29/20	1.000	129.18	05/26/20	126.21	...	2.97	Sale ²⁵
	5 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	5.000	554.52	06/16/20	550.17	...	4.35	1 of 5 - Sale ²⁵
	5.000	554.51	06/16/20	546.10	...	8.41	2 of 5 - Sale ²⁵
	2.000	221.81	06/25/20	198.39	...	23.42	3 of 5 - Sale ²⁵
	3.000	332.71	06/25/20	298.26	...	34.45	4 of 5 - Sale ²⁵
	3.000	332.71	06/25/20	297.59	...	35.12	5 of 5 - Sale ²⁵
07/14/20	18.000	1,996.26	Various	1,890.51	...	105.75	Total of 5 transactions
	4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	2.000	232.46	06/25/20	198.84	...	33.62	1 of 4 - Sale ²⁵
	4.000	462.51	06/26/20	373.94	...	88.57	2 of 4 - Sale ²⁵
	5.000	578.13	06/26/20	467.18	...	110.95	3 of 4 - Sale ²⁵
	5.000	581.17	06/26/20	467.95	...	113.22	4 of 4 - Sale ²⁵
07/22/20	16.000	1,854.27	Various	1,507.91	...	346.36	Total of 4 transactions
07/23/20	0.900	102.21	06/26/20	84.13	...	18.08	Sale ²⁵
	10 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	5.000	319.23	07/29/20	354.25	...	-35.02	1 of 10 - Sale ²⁵
	5.000	319.23	07/29/20	353.35	...	-34.12	2 of 10 - Sale ²⁵
	5.000	333.27	07/29/20	352.48	...	-19.21	3 of 10 - Sale ²⁵
	4.000	266.62	07/29/20	281.98	...	-15.36	4 of 10 - Sale ²⁵
	1.000	66.66	07/29/20	70.67	...	-4.01	5 of 10 - Sale ²⁵
	5.000	319.23	07/31/20	343.94	...	-24.71	6 of 10 - Sale ²⁵
	2.000	127.69	07/31/20	137.47	...	-9.78	7 of 10 - Sale ²⁵
	1.900	121.31	08/19/20	156.78	...	-35.47	8 of 10 - Sale ²⁵
	0.100	6.38	08/19/20	9.88	...	-3.50	9 of 10 - Sale ²⁵
	1.000	63.85	08/24/20	76.41	...	-12.56	10 of 10 - Sale ²⁵
09/03/20	30.000	1,943.47	Various	2,137.21	...	-193.74	Total of 10 transactions
	7 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	0.100	6.67	06/26/20	9.35	2.68 W	0.00	1 of 7 - Sale ²⁵
	5.000	333.28	07/24/20	401.58	40.98 W	-27.32	2 of 7 - Sale ²⁵
	5.000	333.27	07/24/20	386.05	52.78 W	0.00	3 of 7 - Sale ²⁵
	0.900	59.99	07/24/20	69.21	9.22 W	0.00	4 of 7 - Sale ²⁵
	1.000	66.65	07/24/20	75.80	9.15 W	0.00	5 of 7 - Sale ²⁵

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EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol: (cont'd)							
	1.000	66.65	07/24/20	77.00	10.35 W	0.00	6 of 7 - Sale ²⁵
	2.000	133.31	07/24/20	161.93	28.62 W	0.00	7 of 7 - Sale ²⁵
09/03/20	15.000	999.82	Various	1,180.92	153.78 W	-27.32	Total of 7 transactions
	8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	62.84	08/24/20	76.45	...	-13.61	1 of 8 - Sale ²⁵
	1.000	62.84	08/24/20	76.41	...	-13.57	2 of 8 - Sale ²⁵
	1.000	62.84	08/25/20	75.44	...	-12.60	3 of 8 - Sale ²⁵
	1.000	62.84	08/25/20	74.24	...	-11.40	4 of 8 - Sale ²⁵
	0.900	56.55	08/25/20	67.80	...	-11.25	5 of 8 - Sale ²⁵
	0.100	6.28	08/25/20	7.57	...	-1.29	6 of 8 - Sale ²⁵
	2.000	125.68	09/03/20	159.18	...	-33.50	7 of 8 - Sale ²⁵
	2.000	125.67	09/03/20	157.88	...	-32.21	8 of 8 - Sale ²⁵
09/04/20	9.000	565.54	Various	694.97	...	-129.43	Total of 8 transactions
09/10/20	0.900	64.08	09/03/20	71.05	...	-6.97	Sale ²⁵
	2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	0.900	69.04	11/16/20	64.46	...	4.58	1 of 2 - Sale ²⁵
	3.900	299.17	11/16/20	279.32	...	19.85	2 of 2 - Sale ²⁵
12/02/20	4.800	368.21	Various	343.78	...	24.43	Total of 2 transactions
12/02/20	0.100	7.67	09/03/20	7.89	0.22 W	0.00	Sale ²⁵
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	5.000	358.04	12/11/20	352.41	...	5.63	1 of 2 - Sale ²⁵
	10.000	716.08	12/11/20	705.68	...	10.40	2 of 2 - Sale ²⁵
12/22/20	15.000	1,074.12	Various	1,058.09	...	16.03	Total of 2 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.100	7.16	11/16/20	7.38	0.22 W	0.00	1 of 3 - Sale ²⁵
	0.900	64.45	12/09/20	65.79	1.34 W	0.00	2 of 3 - Sale ²⁵
	9.000	644.48	12/09/20	657.45	12.97 W	0.00	3 of 3 - Sale ²⁵
12/22/20	10.000	716.09	Various	730.62	14.53 W	0.00	Total of 3 transactions
	5 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.900	65.34	12/11/20	64.77	...	0.57	1 of 5 - Sale ²⁵
	4.000	290.39	12/11/20	287.68	...	2.71	2 of 5 - Sale ²⁵
	5.000	363.00	12/11/20	359.64	...	3.36	3 of 5 - Sale ²⁵
	5.000	362.99	12/11/20	352.43	...	10.56	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol: (cont'd)							
	5.000	363.00	12/11/20	352.38	...	10.62	5 of 5 - Sale ²⁵
12/24/20	19.900	1,444.72	Various	1,416.90	...	27.82	Total of 5 transactions
12/24/20	0.100	7.26	12/11/20	7.27	0.01 W	0.00	Sale ²⁵
	Security total:	18,844.96		18,590.03	189.09 W	444.02	
EKSO BIONICS HOLDINGS, INC. CO MMON STOCK / CUSIP: 282644202 / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	500.000	184.99	01/03/20	190.00	...	-5.01	1 of 2 - Sale ²⁵
	500.000	185.00	01/03/20	190.00	...	-5.00	2 of 2 - Sale ²⁵
02/11/20	1,000.000	369.99	Various	380.00	...	-10.01	Total of 2 transactions
EMBRAER S.A. / CUSIP: 29082A107 / Symbol:							
09/23/20	1.000	4.47	09/11/20	5.17	...	-0.70	Sale ²⁵
ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol:							
01/09/20	1.000	31.02	11/29/19	21.48	...	9.54	Sale ²⁵
07/10/20	1.000	54.73	06/18/20	45.49	...	9.24	Sale ²⁵
	Security total:	85.75		66.97	...	18.78	
EVERQUOTE, INC. CLASS A COMMON STOCK / CUSIP: 30041R108 / Symbol:							
4 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	10.000	306.89	01/08/20	297.10	...	9.79	1 of 4 - Sale ²⁵
	10.000	306.88	01/08/20	296.60	...	10.28	2 of 4 - Sale ²⁵
	10.000	306.88	01/08/20	296.40	...	10.48	3 of 4 - Sale ²⁵
	20.000	613.77	01/08/20	592.80	...	20.97	4 of 4 - Sale ²⁵
01/09/20	50.000	1,534.42	Various	1,482.90	...	51.52	Total of 4 transactions
01/10/20	20.000	603.38	01/08/20	592.40	...	10.98	Sale ²⁵
	Security total:	2,137.80		2,075.30	...	62.50	
EVOLENT HEALTH, INC CLASS A CO MMON STOCK / CUSIP: 30050B101 / Symbol:							
01/09/20	5.000	49.43	12/17/19	35.93	...	13.50	Sale ²⁵
06/26/20	50.000	314.08	06/16/20	308.31	...	5.77	Sale ²⁵
	Security total:	363.51		344.24	...	19.27	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V102 / Symbol:							
5 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	100.000	29.98	02/14/20	32.63	...	-2.65	1 of 5 - Sale ²⁵
	100.000	29.96	02/14/20	30.89	...	-0.93	2 of 5 - Sale ²⁵
	96.000	28.76	02/14/20	29.65	...	-0.89	3 of 5 - Sale ²⁵
	100.000	29.95	02/14/20	30.60	...	-0.65	4 of 5 - Sale ²⁵
	4.000	1.20	02/14/20	1.24	...	-0.04	5 of 5 - Sale ²⁵
02/20/20	400.000	119.85	Various	125.01	...	-5.16	Total of 5 transactions
02/20/20	100.000	29.96	02/12/20	31.70	1.74 W	0.00	Sale ²⁵
	Security total:	149.81		156.71	1.74 W	-5.16	
EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol:							
3 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	88.000	533.47	01/17/20	640.64	107.17 W	0.00	1 of 3 - Sale ²⁵
	12.000	72.75	01/17/20	87.36	14.61 W	0.00	2 of 3 - Sale ²⁵
	40.000	242.49	01/17/20	275.25	32.76 W	0.00	3 of 3 - Sale ²⁵
01/29/20	140.000	848.71	Various	1,003.25	154.54 W	0.00	Total of 3 transactions
40 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	5.75	01/17/20	8.10	2.35 W	0.00	1 of 40 - Sale ²⁵
	4.000	23.03	01/17/20	32.40	9.37 W	0.00	2 of 40 - Sale ²⁵
	7.000	40.30	01/17/20	56.68	16.38 W	0.00	3 of 40 - Sale ²⁵
	48.000	276.35	01/17/20	388.70	112.35 W	0.00	4 of 40 - Sale ²⁵
	40.000	230.29	01/21/20	309.51	79.22 W	0.00	5 of 40 - Sale ²⁵
	10.000	57.60	01/21/20	73.39	15.79 W	0.00	6 of 40 - Sale ²⁵
	1.000	5.76	01/22/20	8.36	2.60 W	0.00	7 of 40 - Sale ²⁵
	4.000	23.04	01/22/20	33.41	10.37 W	0.00	8 of 40 - Sale ²⁵
	7.000	40.32	01/22/20	58.45	18.13 W	0.00	9 of 40 - Sale ²⁵
	48.000	276.46	01/22/20	400.83	124.37 W	0.00	10 of 40 - Sale ²⁵
	10.000	57.60	01/22/20	79.91	22.31 W	0.00	11 of 40 - Sale ²⁵
	20.000	115.19	01/22/20	136.58	21.39 W	0.00	12 of 40 - Sale ²⁵
	10.000	57.31	01/22/20	68.29	10.98 W	0.00	13 of 40 - Sale ²⁵
	10.000	57.31	01/22/20	79.90	22.59 W	0.00	14 of 40 - Sale ²⁵
	1.000	5.73	01/22/20	8.61	2.88 W	0.00	15 of 40 - Sale ²⁵
	4.000	22.92	01/22/20	34.41	11.49 W	0.00	16 of 40 - Sale ²⁵
	7.000	40.12	01/22/20	60.20	20.08 W	0.00	17 of 40 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol: (cont'd)							
	48.000	275.10	01/22/20	412.85	137.75 W	0.00	18 of 40 - Sale ²⁵
	10.000	57.31	01/22/20	82.41	25.10 W	0.00	19 of 40 - Sale ²⁵
	10.000	57.31	01/22/20	79.91	22.60 W	0.00	20 of 40 - Sale ²⁵
	10.000	57.57	01/22/20	75.89	18.32 W	0.00	21 of 40 - Sale ²⁵
	10.000	57.57	01/22/20	79.90	22.33 W	0.00	22 of 40 - Sale ²⁵
	10.000	57.56	01/22/20	82.69	25.13 W	0.00	23 of 40 - Sale ²⁵
	10.000	57.57	01/22/20	71.08	13.51 W	0.00	24 of 40 - Sale ²⁵
	20.000	115.13	01/22/20	141.59	26.46 W	0.00	25 of 40 - Sale ²⁵
	1.000	5.76	01/22/20	8.78	3.02 W	0.00	26 of 40 - Sale ²⁵
	4.000	23.03	01/22/20	35.09	12.06 W	0.00	27 of 40 - Sale ²⁵
	7.000	40.29	01/22/20	61.38	21.09 W	0.00	28 of 40 - Sale ²⁵
	28.000	161.18	01/22/20	245.55	84.37 W	0.00	29 of 40 - Sale ²⁵
	5.000	28.75	01/22/20	43.85	15.10 W	0.00	30 of 40 - Sale ²⁵
	2.000	11.50	01/22/20	17.54	6.04 W	0.00	31 of 40 - Sale ²⁵
	13.000	74.49	01/22/20	114.01	39.52 W	0.00	32 of 40 - Sale ²⁵
	6.000	34.38	01/22/20	50.46	16.08 W	0.00	33 of 40 - Sale ²⁵
	4.000	22.92	01/22/20	33.64	10.72 W	0.00	34 of 40 - Sale ²⁵
	10.000	57.30	01/22/20	81.60	24.30 W	0.00	35 of 40 - Sale ²⁵
	10.000	57.29	01/22/20	81.33	24.04 W	0.00	36 of 40 - Sale ²⁵
	10.000	57.30	01/22/20	77.32	20.02 W	0.00	37 of 40 - Sale ²⁵
	10.000	57.29	01/23/20	82.58	25.29 W	0.00	38 of 40 - Sale ²⁵
	9.000	51.57	01/23/20	63.86	12.29 W	0.00	39 of 40 - Sale ²⁵
	20.000	114.59	01/23/20	141.36	26.77 W	0.00	40 of 40 - Sale ²⁵
01/31/20	499.000	2,867.84	Various	4,002.40	1,134.56 W	0.00	Total of 40 transactions
9 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	6.01	01/23/20	7.10	1.09 W	0.00	1 of 9 - Sale ²⁵
	1.000	6.01	02/05/20	9.37	3.36 W	0.00	2 of 9 - Sale ²⁵
	1.000	6.01	02/05/20	9.37	3.36 W	0.00	3 of 9 - Sale ²⁵
	2.000	12.02	02/07/20	18.04	6.02 W	0.00	4 of 9 - Sale ²⁵
	2.000	12.03	02/07/20	18.08	6.05 W	0.00	5 of 9 - Sale ²⁵
	3.000	18.03	02/07/20	27.04	9.01 W	0.00	6 of 9 - Sale ²⁵
	5.000	30.05	02/07/20	45.10	15.05 W	0.00	7 of 9 - Sale ²⁵
	7.000	42.07	02/07/20	63.09	21.02 W	0.00	8 of 9 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol: (cont'd)							
	28.000	168.28	02/07/20	252.37	84.09 W	0.00	9 of 9 - Sale ²⁵
02/10/20	50.000	300.51	Various	449.56	149.05 W	0.00	Total of 9 transactions
	4 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	59.000	306.19	02/27/20	323.87	...	-17.68	1 of 4 - Sale ²⁵
	5.000	25.95	02/27/20	42.50	...	-16.55	2 of 4 - Sale ²⁵
	2.000	10.38	02/27/20	17.03	...	-6.65	3 of 4 - Sale ²⁵
	2.000	10.38	02/27/20	17.00	...	-6.62	4 of 4 - Sale ²⁵
02/28/20	68.000	352.90	Various	400.40	...	-47.50	Total of 4 transactions
	18 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	11.000	57.09	02/11/20	102.74	45.65 W	0.00	1 of 18 - Sale ²⁵
	3.000	15.57	02/11/20	26.94	11.37 W	0.00	2 of 18 - Sale ²⁵
	6.000	31.14	02/11/20	53.88	22.74 W	0.00	3 of 18 - Sale ²⁵
	1.000	5.19	02/11/20	8.96	3.77 W	0.00	4 of 18 - Sale ²⁵
	9.000	46.71	02/11/20	74.58	27.87 W	0.00	5 of 18 - Sale ²⁵
	10.000	51.90	02/11/20	87.14	35.24 W	0.00	6 of 18 - Sale ²⁵
	10.000	51.90	02/11/20	86.88	34.98 W	0.00	7 of 18 - Sale ²⁵
	1.000	5.19	02/21/20	10.41	5.22 W	0.00	8 of 18 - Sale ²⁵
	1.000	5.19	02/21/20	10.41	5.22 W	0.00	9 of 18 - Sale ²⁵
	3.000	15.57	02/21/20	30.16	14.59 W	0.00	10 of 18 - Sale ²⁵
	4.000	20.76	02/21/20	40.21	19.45 W	0.00	11 of 18 - Sale ²⁵
	1.000	5.19	02/21/20	8.14	2.95 W	0.00	12 of 18 - Sale ²⁵
	10.000	51.90	02/21/20	95.79	43.89 W	0.00	13 of 18 - Sale ²⁵
	1.000	5.19	02/21/20	9.05	3.86 W	0.00	14 of 18 - Sale ²⁵
	9.000	46.71	02/21/20	75.74	29.03 W	0.00	15 of 18 - Sale ²⁵
	20.000	103.80	02/21/20	167.77	63.97 W	0.00	16 of 18 - Sale ²⁵
	28.000	145.31	02/27/20	237.79	23.12 W	-69.36	17 of 18 - Sale ²⁵
	3.000	15.57	02/27/20	25.48	9.91 W	0.00	18 of 18 - Sale ²⁵
02/28/20	131.000	679.88	Various	1,152.07	402.83 W	-69.36	Total of 18 transactions
	7 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	20.000	97.00	03/06/20	177.76	...	-80.76	1 of 7 - Sale ²⁵
	10.000	48.50	03/06/20	100.79	...	-52.29	2 of 7 - Sale ²⁵
	10.000	48.51	03/06/20	91.88	...	-43.37	3 of 7 - Sale ²⁵
	9.000	43.66	03/06/20	80.24	...	-36.58	4 of 7 - Sale ²⁵

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(continued)

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EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol: (cont'd)							
	9.000	43.65	03/06/20	79.08	...	-35.43	5 of 7 - Sale ²⁵
	1.000	4.85	03/06/20	5.55	...	-4.70	6 of 7 - Sale ²⁵
	1.000	4.85	03/06/20	8.64	...	-3.79	7 of 7 - Sale ²⁵
03/11/20	60.000	291.02	Various	547.94	...	-256.92	Total of 7 transactions
	10 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	1.000	4.85	02/27/20	9.64	4.79 W	0.00	1 of 10 - Sale ²⁵
	10.000	48.50	03/06/20	98.40	49.90 W	0.00	2 of 10 - Sale ²⁵
	1.000	4.85	03/06/20	9.46	4.61 W	0.00	3 of 10 - Sale ²⁵
	3.000	14.55	03/06/20	28.44	13.89 W	0.00	4 of 10 - Sale ²⁵
	6.000	29.10	03/06/20	56.88	27.78 W	0.00	5 of 10 - Sale ²⁵
	1.000	4.85	03/06/20	10.91	6.06 W	0.00	6 of 10 - Sale ²⁵
	1.000	4.85	03/06/20	10.91	6.06 W	0.00	7 of 10 - Sale ²⁵
	3.000	14.55	03/06/20	31.66	17.11 W	0.00	8 of 10 - Sale ²⁵
	4.000	19.40	03/06/20	42.21	22.81 W	0.00	9 of 10 - Sale ²⁵
	10.000	48.50	03/06/20	92.14	43.64 W	0.00	10 of 10 - Sale ²⁵
03/11/20	40.000	194.00	Various	390.65	196.65 W	0.00	Total of 10 transactions
	2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	25.000	128.00	05/29/20	127.40	...	0.60	1 of 2 - Sale ²⁵
	24.000	122.88	06/01/20	123.22	...	-0.34	2 of 2 - Sale ²⁵
06/02/20	49.000	250.88	Various	250.62	...	0.26	Total of 2 transactions
	13 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	3.000	15.36	03/24/20	21.07	5.71 W	0.00	1 of 13 - Sale ²⁵
	7.000	35.84	03/24/20	49.16	13.32 W	0.00	2 of 13 - Sale ²⁵
	1.000	5.12	03/31/20	10.49	5.37 W	0.00	3 of 13 - Sale ²⁵
	10.000	51.20	03/31/20	106.90	55.70 W	0.00	4 of 13 - Sale ²⁵
	1.000	5.12	03/31/20	10.31	5.19 W	0.00	5 of 13 - Sale ²⁵
	3.000	15.36	03/31/20	30.99	15.63 W	0.00	6 of 13 - Sale ²⁵
	6.000	30.72	03/31/20	61.98	31.26 W	0.00	7 of 13 - Sale ²⁵
	1.000	5.12	03/31/20	11.76	6.64 W	0.00	8 of 13 - Sale ²⁵
	1.000	5.12	03/31/20	11.76	6.64 W	0.00	9 of 13 - Sale ²⁵
	3.000	15.36	03/31/20	34.21	18.85 W	0.00	10 of 13 - Sale ²⁵
	4.000	20.48	03/31/20	45.61	25.13 W	0.00	11 of 13 - Sale ²⁵
	10.000	51.20	03/31/20	100.64	49.44 W	0.00	12 of 13 - Sale ²⁵

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EYEGATE PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 30233M503 / Symbol: (cont'd)							
	50.000	255.99	04/28/20	274.01	18.02 W	0.00	13 of 13 - Sale ²⁵
06/02/20	100.000	511.99	Various	768.89	256.90 W	0.00	Total of 13 transactions
	14 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	4.97	06/01/20	7.03	...	-2.06	1 of 14 - Sale ²⁵
	10.000	49.70	06/04/20	105.20	...	-55.50	2 of 14 - Sale ²⁵
	10.000	49.70	06/04/20	98.94	...	-49.24	3 of 14 - Sale ²⁵
	6.000	29.82	06/04/20	60.96	...	-31.14	4 of 14 - Sale ²⁵
	4.000	19.88	06/04/20	44.93	...	-25.05	5 of 14 - Sale ²⁵
	3.000	14.91	06/04/20	33.70	...	-18.79	6 of 14 - Sale ²⁵
	49.000	243.53	06/04/20	260.21	...	-16.68	7 of 14 - Sale ²⁵
	3.000	14.91	06/04/20	30.48	...	-15.57	8 of 14 - Sale ²⁵
	7.000	34.79	06/04/20	47.97	...	-13.18	9 of 14 - Sale ²⁵
	1.000	4.97	06/04/20	11.59	...	-6.62	10 of 14 - Sale ²⁵
	1.000	4.97	06/04/20	11.59	...	-6.62	11 of 14 - Sale ²⁵
	1.000	4.97	06/04/20	10.32	...	-5.35	12 of 14 - Sale ²⁵
	1.000	4.97	06/04/20	10.14	...	-5.17	13 of 14 - Sale ²⁵
	2.000	9.94	06/04/20	13.71	...	-3.77	14 of 14 - Sale ²⁵
06/23/20	99.000	492.03	Various	746.77	...	-254.74	Total of 14 transactions
	4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	5.18	08/06/20	3.78	...	1.40	1 of 4 - Sale ²⁵
	1.000	5.18	08/06/20	3.62	...	1.56	2 of 4 - Sale ²⁵
	1.000	5.18	08/06/20	3.58	...	1.60	3 of 4 - Sale ²⁵
	5.000	25.93	08/06/20	18.75	...	7.18	4 of 4 - Sale ²⁵
08/12/20	8.000	41.47	Various	29.73	...	11.74	Total of 4 transactions
08/12/20	1.000	5.18	06/04/20	5.31	0.13 W	0.00	Sale ²⁵
11/16/20	1.000	3.49	08/06/20	3.88	...	-0.39	Sale ²⁵
	Security total:	6,839.90		9,751.47	2,294.66 W	-616.91	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
08/13/20	2.000	157.56	08/10/20	157.20	...	0.36	Sale ²⁵
	3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	2.000	169.84	10/14/20	176.32	6.48 W	0.00	1 of 3 - Sale ²⁵
	1.000	84.92	10/14/20	88.45	3.53 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
10/16/20	2.000	169.84	10/14/20	176.80	6.96 W	0.00	3 of 3 - Sale ²⁵
	5.000	424.60	Various	441.57	16.97 W	0.00	Total of 3 transactions
	3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	2.000	165.73	10/14/20	184.48	...	-18.75	1 of 3 - Sale ²⁵
	1.900	157.44	10/14/20	175.71	...	-18.27	2 of 3 - Sale ²⁵
	1.000	82.87	10/14/20	92.53	...	-9.66	3 of 3 - Sale ²⁵
10/20/20	4.900	406.04	Various	452.72	...	-46.68	Total of 3 transactions
10/30/20	0.100	6.39	10/14/20	9.25	...	-2.86	Sale ²⁵
	Security total:	994.59		1,060.74	16.97 W	-49.18	
FRONTIER COMMUNICATIONS CORP C OMMON STOCK / CUSIP: 35906A306 / Symbol:							
01/16/20	1,000.000	679.96	01/15/20	670.92	...	9.04	Sale ²⁵
	3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.						
	100.000	60.06	02/12/20	59.90	...	0.16	1 of 3 - Sale ²⁵
	100.000	60.06	02/12/20	59.90	...	0.16	2 of 3 - Sale ²⁵
	300.000	180.18	02/12/20	179.70	...	0.48	3 of 3 - Sale ²⁵
02/20/20	500.000	300.30	Various	299.50	...	0.80	Total of 3 transactions
	Security total:	980.26		970.42	...	9.84	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
01/08/20	100.000	204.49	01/03/20	205.88	...	-1.39	Sale ²⁵
	4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	50.000	91.73	10/02/20	129.90	...	-38.17	1 of 4 - Sale ²⁵
	40.000	73.39	10/02/20	104.31	...	-30.92	2 of 4 - Sale ²⁵
	100.000	183.08	10/02/20	201.81	...	-18.73	3 of 4 - Sale ²⁵
	10.000	18.35	10/02/20	23.78	...	-5.43	4 of 4 - Sale ²⁵
10/05/20	200.000	366.55	Various	459.80	...	-93.25	Total of 4 transactions
	3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	10.000	18.31	09/10/20	21.89	3.58 W	0.00	1 of 3 - Sale ²⁵
	90.000	164.77	09/28/20	217.70	52.93 W	0.00	2 of 3 - Sale ²⁵
	100.000	183.08	10/02/20	201.90	18.82 W	0.00	3 of 3 - Sale ²⁵
10/05/20	200.000	366.16	Various	441.49	75.33 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
5 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	7.29	10/07/20	2.36	...	4.93	1 of 5 - Sale ²⁵
	9.000	65.61	10/12/20	23.90	...	41.71	2 of 5 - Sale ²⁵
	30.000	218.69	10/22/20	70.08	...	148.61	3 of 5 - Sale ²⁵
	40.000	291.59	10/22/20	93.45	...	198.14	4 of 5 - Sale ²⁵
	20.000	145.79	10/30/20	43.91	...	101.88	5 of 5 - Sale ²⁵
11/24/20	100.000	728.97	Various	233.70	...	495.27	Total of 5 transactions
	Security total:	1,666.17		1,340.87	75.33 W	400.63	
GSX TECHEDU INC. / CUSIP: 36257Y109 / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.200	11.50	12/08/20	12.91	...	-1.41	1 of 2 - Sale ²⁵
	0.800	46.01	12/18/20	48.00	...	-1.99	2 of 2 - Sale ²⁵
12/21/20	1.000	57.51	Various	60.91	...	-3.40	Total of 2 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	50.000	159.48	06/17/20	155.25	...	4.23	1 of 7 - Sale ²⁵
	5.000	15.95	06/18/20	14.15	...	1.80	2 of 7 - Sale ²⁵
	20.000	63.80	06/18/20	57.55	...	6.25	3 of 7 - Sale ²⁵
	25.000	79.74	06/18/20	69.75	...	9.99	4 of 7 - Sale ²⁵
	74.000	222.27	06/23/20	205.71	...	16.56	5 of 7 - Sale ²⁵
	100.000	300.37	06/23/20	276.99	...	23.38	6 of 7 - Sale ²⁵
	100.000	300.37	06/25/20	260.00	...	40.37	7 of 7 - Sale ²⁵
07/06/20	374.000	1,141.98	Various	1,039.40	...	102.58	Total of 7 transactions
87 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	3.21	06/08/20	6.26	3.05 W	0.00	1 of 87 - Sale ²⁵
	10.000	32.10	06/08/20	56.90	24.80 W	0.00	2 of 87 - Sale ²⁵
	5.000	16.05	06/08/20	27.40	11.35 W	0.00	3 of 87 - Sale ²⁵
	5.000	16.05	06/08/20	27.45	11.40 W	0.00	4 of 87 - Sale ²⁵
	4.000	12.84	06/08/20	21.60	8.76 W	0.00	5 of 87 - Sale ²⁵
	5.000	16.05	06/08/20	27.33	11.28 W	0.00	6 of 87 - Sale ²⁵
	14.000	44.94	06/08/20	72.66	27.72 W	0.00	7 of 87 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	6.000	19.26	06/08/20	31.14	11.88 W	0.00	8 of 87 - Sale ²⁵
	5.000	16.05	06/08/20	25.00	8.95 W	0.00	9 of 87 - Sale ²⁵
	15.000	48.15	06/08/20	71.85	23.70 W	0.00	10 of 87 - Sale ²⁵
	30.000	96.29	06/08/20	156.60	60.31 W	0.00	11 of 87 - Sale ²⁵
	1.000	3.20	06/08/20	8.14	4.94 W	0.00	12 of 87 - Sale ²⁵
	4.000	12.80	06/08/20	29.48	16.68 W	0.00	13 of 87 - Sale ²⁵
	5.000	16.00	06/08/20	36.80	20.80 W	0.00	14 of 87 - Sale ²⁵
	10.000	32.00	06/08/20	75.70	43.70 W	0.00	15 of 87 - Sale ²⁵
	1.000	3.20	06/09/20	6.58	3.38 W	0.00	16 of 87 - Sale ²⁵
	1.000	3.20	06/09/20	6.56	3.36 W	0.00	17 of 87 - Sale ²⁵
	4.000	12.80	06/09/20	25.96	13.16 W	0.00	18 of 87 - Sale ²⁵
	2.000	6.40	06/09/20	12.56	6.16 W	0.00	19 of 87 - Sale ²⁵
	4.000	12.80	06/09/20	26.22	13.42 W	0.00	20 of 87 - Sale ²⁵
	6.000	19.20	06/09/20	37.68	18.48 W	0.00	21 of 87 - Sale ²⁵
	12.000	38.40	06/09/20	75.36	36.96 W	0.00	22 of 87 - Sale ²⁵
	5.000	16.00	06/09/20	28.35	12.35 W	0.00	23 of 87 - Sale ²⁵
	5.000	16.00	06/09/20	29.45	13.45 W	0.00	24 of 87 - Sale ²⁵
	15.000	48.00	06/09/20	81.90	33.90 W	0.00	25 of 87 - Sale ²⁵
	5.000	16.00	06/11/20	26.15	10.15 W	0.00	26 of 87 - Sale ²⁵
	20.000	63.99	06/11/20	105.81	41.82 W	0.00	27 of 87 - Sale ²⁵
	1.000	3.19	06/17/20	8.23	5.04 W	0.00	28 of 87 - Sale ²⁵
	4.000	12.76	06/17/20	29.82	17.06 W	0.00	29 of 87 - Sale ²⁵
	5.000	15.95	06/17/20	37.22	21.27 W	0.00	30 of 87 - Sale ²⁵
	10.000	31.90	06/17/20	76.55	44.65 W	0.00	31 of 87 - Sale ²⁵
	1.000	3.19	06/17/20	6.67	3.48 W	0.00	32 of 87 - Sale ²⁵
	1.000	3.19	06/17/20	6.64	3.45 W	0.00	33 of 87 - Sale ²⁵
	2.000	6.38	06/17/20	12.73	6.35 W	0.00	34 of 87 - Sale ²⁵
	4.000	12.76	06/17/20	26.30	13.54 W	0.00	35 of 87 - Sale ²⁵
	4.000	12.76	06/17/20	26.56	13.80 W	0.00	36 of 87 - Sale ²⁵
	6.000	19.14	06/17/20	38.19	19.05 W	0.00	37 of 87 - Sale ²⁵
	12.000	38.28	06/17/20	76.38	38.10 W	0.00	38 of 87 - Sale ²⁵
	5.000	15.95	06/17/20	27.83	11.88 W	0.00	39 of 87 - Sale ²⁵
	15.000	47.85	06/17/20	80.32	32.47 W	0.00	40 of 87 - Sale ²⁵
	5.000	15.95	06/17/20	29.03	13.08 W	0.00	41 of 87 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	4.000	12.76	06/17/20	20.58	7.82 W	0.00	42 of 87 - Sale ²⁵
	1.000	3.19	06/17/20	5.15	1.96 W	0.00	43 of 87 - Sale ²⁵
	20.000	63.79	06/17/20	104.12	40.33 W	0.00	44 of 87 - Sale ²⁵
	1.000	3.17	06/18/20	7.74	4.57 W	0.00	45 of 87 - Sale ²⁵
	4.000	12.69	06/18/20	27.86	15.17 W	0.00	46 of 87 - Sale ²⁵
	5.000	15.87	06/18/20	34.77	18.90 W	0.00	47 of 87 - Sale ²⁵
	10.000	31.74	06/18/20	71.65	39.91 W	0.00	48 of 87 - Sale ²⁵
	1.000	3.17	06/18/20	6.18	3.01 W	0.00	49 of 87 - Sale ²⁵
	1.000	3.17	06/18/20	6.15	2.98 W	0.00	50 of 87 - Sale ²⁵
	2.000	6.35	06/18/20	11.75	5.40 W	0.00	51 of 87 - Sale ²⁵
	4.000	12.69	06/18/20	24.34	11.65 W	0.00	52 of 87 - Sale ²⁵
	4.000	12.70	06/18/20	24.60	11.90 W	0.00	53 of 87 - Sale ²⁵
	6.000	19.04	06/18/20	35.25	16.21 W	0.00	54 of 87 - Sale ²⁵
	12.000	38.08	06/18/20	70.50	32.42 W	0.00	55 of 87 - Sale ²⁵
	5.000	15.87	06/19/20	25.98	10.11 W	0.00	56 of 87 - Sale ²⁵
	5.000	15.87	06/19/20	24.92	9.05 W	0.00	57 of 87 - Sale ²⁵
	5.000	15.87	06/19/20	27.18	11.31 W	0.00	58 of 87 - Sale ²⁵
	10.000	31.74	06/19/20	49.85	18.11 W	0.00	59 of 87 - Sale ²⁵
	1.000	3.17	06/19/20	4.78	1.61 W	0.00	60 of 87 - Sale ²⁵
	4.000	12.69	06/19/20	19.10	6.41 W	0.00	61 of 87 - Sale ²⁵
	20.000	63.48	06/19/20	96.73	33.25 W	0.00	62 of 87 - Sale ²⁵
	1.000	3.00	06/22/20	7.22	4.22 W	0.00	63 of 87 - Sale ²⁵
	4.000	12.00	06/22/20	25.77	13.77 W	0.00	64 of 87 - Sale ²⁵
	5.000	15.00	06/22/20	32.15	17.15 W	0.00	65 of 87 - Sale ²⁵
	10.000	30.00	06/22/20	66.41	36.41 W	0.00	66 of 87 - Sale ²⁵
	1.000	3.00	06/22/20	5.66	2.66 W	0.00	67 of 87 - Sale ²⁵
	1.000	3.00	06/22/20	5.63	2.63 W	0.00	68 of 87 - Sale ²⁵
	4.000	12.00	06/22/20	22.25	10.25 W	0.00	69 of 87 - Sale ²⁵
	2.000	6.01	06/22/20	10.70	4.69 W	0.00	70 of 87 - Sale ²⁵
	4.000	12.01	06/22/20	22.50	10.49 W	0.00	71 of 87 - Sale ²⁵
	6.000	18.02	06/22/20	32.11	14.09 W	0.00	72 of 87 - Sale ²⁵
	12.000	36.04	06/22/20	64.22	28.18 W	0.00	73 of 87 - Sale ²⁵
	5.000	15.02	06/22/20	23.36	8.34 W	0.00	74 of 87 - Sale ²⁵
	5.000	15.02	06/22/20	22.30	7.28 W	0.00	75 of 87 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	5.000	15.02	06/22/20	24.56	9.54 W	0.00	76 of 87 - Sale ²⁵
	10.000	30.04	06/22/20	44.61	14.57 W	0.00	77 of 87 - Sale ²⁵
	1.000	3.00	06/22/20	4.26	1.26 W	0.00	78 of 87 - Sale ²⁵
	4.000	12.01	06/22/20	17.01	5.00 W	0.00	79 of 87 - Sale ²⁵
	20.000	60.07	06/22/20	86.25	26.18 W	0.00	80 of 87 - Sale ²⁵
	1.000	3.00	06/23/20	7.00	4.00 W	0.00	81 of 87 - Sale ²⁵
	4.000	12.01	06/23/20	24.89	12.88 W	0.00	82 of 87 - Sale ²⁵
	5.000	15.02	06/23/20	31.05	16.03 W	0.00	83 of 87 - Sale ²⁵
	10.000	30.04	06/23/20	64.21	34.17 W	0.00	84 of 87 - Sale ²⁵
	1.000	3.00	06/23/20	5.44	2.44 W	0.00	85 of 87 - Sale ²⁵
	1.000	3.00	06/23/20	5.41	2.41 W	0.00	86 of 87 - Sale ²⁵
	4.000	12.01	06/23/20	21.37	9.36 W	0.00	87 of 87 - Sale ²⁵
07/06/20	526.000	1,655.67	Various	2,994.88	1,339.21 W	0.00	Total of 87 transactions
	27 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	1.000	1.55	06/26/20	6.35	4.80 W	0.00	1 of 27 - Sale ²⁵
	4.000	6.20	06/26/20	22.30	16.10 W	0.00	2 of 27 - Sale ²⁵
	5.000	7.75	06/26/20	27.80	20.05 W	0.00	3 of 27 - Sale ²⁵
	10.000	15.50	06/26/20	57.71	42.21 W	0.00	4 of 27 - Sale ²⁵
	1.000	1.55	06/26/20	4.79	3.24 W	0.00	5 of 27 - Sale ²⁵
	1.000	1.55	06/26/20	4.77	3.22 W	0.00	6 of 27 - Sale ²⁵
	4.000	6.20	06/26/20	18.78	12.58 W	0.00	7 of 27 - Sale ²⁵
	2.000	3.10	06/26/20	9.40	6.30 W	0.00	8 of 27 - Sale ²⁵
	4.000	6.20	06/26/20	19.91	13.71 W	0.00	9 of 27 - Sale ²⁵
	6.000	9.30	06/26/20	28.21	18.91 W	0.00	10 of 27 - Sale ²⁵
	12.000	18.60	06/26/20	56.43	37.83 W	0.00	11 of 27 - Sale ²⁵
	5.000	7.75	06/26/20	20.11	12.36 W	0.00	12 of 27 - Sale ²⁵
	5.000	7.75	06/26/20	19.05	11.30 W	0.00	13 of 27 - Sale ²⁵
	5.000	7.75	06/26/20	21.31	13.56 W	0.00	14 of 27 - Sale ²⁵
	10.000	15.50	06/26/20	38.11	22.61 W	0.00	15 of 27 - Sale ²⁵
	1.000	1.55	06/26/20	3.61	2.06 W	0.00	16 of 27 - Sale ²⁵
	4.000	6.20	06/26/20	14.42	8.22 W	0.00	17 of 27 - Sale ²⁵
	20.000	31.00	06/26/20	73.26	42.26 W	0.00	18 of 27 - Sale ²⁵
	100.000	154.98	07/07/20	232.50	77.52 W	0.00	19 of 27 - Sale ²⁵
	95.000	147.23	07/07/20	215.65	68.42 W	0.00	20 of 27 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 103527271
2020 1099-B* OMB No. 1545-0715	(continued)	03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	5.000	7.75	07/07/20	11.35	3.60 W	0.00	21 of 27 - Sale ²⁵
	50.000	77.49	07/07/20	113.50	36.01 W	0.00	22 of 27 - Sale ²⁵
	20.000	31.00	07/15/20	45.50	14.50 W	0.00	23 of 27 - Sale ²⁵
	30.000	46.49	07/15/20	68.25	21.76 W	0.00	24 of 27 - Sale ²⁵
	40.000	61.99	07/21/20	77.59	15.60 W	0.00	25 of 27 - Sale ²⁵
	30.000	46.49	07/23/20	54.45	7.96 W	0.00	26 of 27 - Sale ²⁵
	30.000	46.50	07/23/20	54.45	7.95 W	0.00	27 of 27 - Sale ²⁵
07/31/20	500.000	774.92	Various	1,319.56	544.64 W	0.00	Total of 27 transactions
	29 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	95.000	109.33	08/12/20	216.62	...	-107.29	1 of 29 - Sale ²⁵
	5.000	5.75	08/12/20	11.40	...	-5.65	2 of 29 - Sale ²⁵
	50.000	57.55	08/18/20	110.76	...	-53.21	3 of 29 - Sale ²⁵
	20.000	23.90	08/19/20	77.56	...	-53.66	4 of 29 - Sale ²⁵
	12.000	14.34	08/19/20	59.01	...	-44.67	5 of 29 - Sale ²⁵
	8.000	9.56	08/19/20	47.89	...	-38.33	6 of 29 - Sale ²⁵
	30.000	34.53	08/19/20	64.36	...	-29.83	7 of 29 - Sale ²⁵
	10.000	11.95	08/19/20	40.26	...	-28.31	8 of 29 - Sale ²⁵
	40.000	46.03	08/19/20	72.20	...	-26.17	9 of 29 - Sale ²⁵
	5.000	5.97	08/19/20	28.88	...	-22.91	10 of 29 - Sale ²⁵
	6.000	7.17	08/19/20	29.50	...	-22.33	11 of 29 - Sale ²⁵
	20.000	23.02	08/19/20	42.90	...	-19.88	12 of 29 - Sale ²⁵
	4.000	4.78	08/19/20	23.16	...	-18.38	13 of 29 - Sale ²⁵
	5.000	5.98	08/19/20	22.37	...	-16.39	14 of 29 - Sale ²⁵
	4.000	4.78	08/19/20	20.77	...	-15.99	15 of 29 - Sale ²⁵
	30.000	34.52	08/19/20	50.41	...	-15.89	16 of 29 - Sale ²⁵
	30.000	34.53	08/19/20	50.40	...	-15.87	17 of 29 - Sale ²⁵
	5.000	5.98	08/19/20	21.17	...	-15.19	18 of 29 - Sale ²⁵
	4.000	4.78	08/19/20	19.64	...	-14.86	19 of 29 - Sale ²⁵
	5.000	5.97	08/19/20	20.14	...	-14.17	20 of 29 - Sale ²⁵
	4.000	4.78	08/19/20	15.28	...	-10.50	21 of 29 - Sale ²⁵
	2.000	2.39	08/19/20	12.33	...	-9.94	22 of 29 - Sale ²⁵
	2.000	2.39	08/19/20	9.83	...	-7.44	23 of 29 - Sale ²⁵
	1.000	1.19	08/19/20	6.76	...	-5.57	24 of 29 - Sale ²⁵
	1.000	1.19	08/19/20	5.01	...	-3.82	25 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.19	08/19/20	4.99	...	-3.80	26 of 29 - Sale ²⁵
	1.000	1.19	08/19/20	3.84	...	-2.65	27 of 29 - Sale ²⁵
	50.000	59.75	08/20/20	119.01	...	-59.26	28 of 29 - Sale ²⁵
	50.000	59.75	08/20/20	119.01	...	-59.26	29 of 29 - Sale ²⁵
09/02/20	500.000	584.24	Various	1,325.46	...	-741.22	Total of 29 transactions
	21 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1.20	07/23/20	6.62	5.42 W	0.00	1 of 21 - Sale ²⁵
	2.000	2.41	07/23/20	12.07	9.66 W	0.00	2 of 21 - Sale ²⁵
	4.000	4.82	08/05/20	22.64	17.82 W	0.00	3 of 21 - Sale ²⁵
	5.000	6.02	08/05/20	28.23	22.21 W	0.00	4 of 21 - Sale ²⁵
	8.000	9.64	08/05/20	46.85	37.21 W	0.00	5 of 21 - Sale ²⁵
	1.000	1.20	08/05/20	4.87	3.67 W	0.00	6 of 21 - Sale ²⁵
	1.000	1.20	08/05/20	4.86	3.66 W	0.00	7 of 21 - Sale ²⁵
	4.000	4.82	08/05/20	19.12	14.30 W	0.00	8 of 21 - Sale ²⁵
	2.000	2.41	08/05/20	9.57	7.16 W	0.00	9 of 21 - Sale ²⁵
	4.000	4.82	08/05/20	20.25	15.43 W	0.00	10 of 21 - Sale ²⁵
	6.000	7.23	08/05/20	28.72	21.49 W	0.00	11 of 21 - Sale ²⁵
	12.000	14.46	08/05/20	57.45	42.99 W	0.00	12 of 21 - Sale ²⁵
	5.000	6.03	08/05/20	20.53	14.50 W	0.00	13 of 21 - Sale ²⁵
	5.000	6.02	08/05/20	19.48	13.46 W	0.00	14 of 21 - Sale ²⁵
	5.000	6.03	08/05/20	21.73	15.70 W	0.00	15 of 21 - Sale ²⁵
	10.000	12.05	08/05/20	38.96	26.91 W	0.00	16 of 21 - Sale ²⁵
	1.000	1.20	08/05/20	3.70	2.50 W	0.00	17 of 21 - Sale ²⁵
	4.000	4.82	08/05/20	14.76	9.94 W	0.00	18 of 21 - Sale ²⁵
	20.000	24.10	08/05/20	74.96	50.86 W	0.00	19 of 21 - Sale ²⁵
	50.000	60.25	08/12/20	115.51	55.26 W	0.00	20 of 21 - Sale ²⁵
	50.000	60.25	08/12/20	115.51	55.26 W	0.00	21 of 21 - Sale ²⁵
09/02/20	200.000	240.98	Various	686.39	445.41 W	0.00	Total of 21 transactions
	Security total:	4,397.79		7,365.69	2,329.26 W	-638.64	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	33.000	392.70	10/12/20	408.87	...	-16.17	1 of 2 - Sale ²⁵
	17.000	202.30	10/12/20	210.63	...	-8.33	2 of 2 - Sale ²⁵
10/14/20	50.000	595.00	Various	619.50	...	-24.50	Total of 2 transactions
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
02/19/20	50.000	3,348.47	02/13/20	3,330.34	...	18.13	Sale ²⁵
02/20/20	45.000	3,011.88	02/13/20	2,995.55	...	16.33	Sale ²⁵
02/20/20	5.000	331.86	02/13/20	332.84	0.98 W	0.00	Sale ²⁵
3 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	5.000	360.37	02/27/20	363.16	2.79 W	0.00	1 of 3 - Sale ²⁵
	15.000	1,081.10	02/27/20	1,086.52	5.42 W	0.00	2 of 3 - Sale ²⁵
	20.000	1,441.48	02/27/20	1,462.31	20.83 W	0.00	3 of 3 - Sale ²⁵
03/03/20	40.000	2,882.95	Various	2,911.99	29.04 W	0.00	Total of 3 transactions
03/16/20	7.000	480.57	02/28/20	477.31	...	3.26	Sale ²⁵
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	5.000	340.10	02/28/20	341.92	1.82 W	0.00	1 of 2 - Sale ²⁵
	5.000	340.09	02/28/20	340.93	0.84 W	0.00	2 of 2 - Sale ²⁵
03/16/20	10.000	680.19	Various	682.85	2.66 W	0.00	Total of 2 transactions
5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	3.000	220.49	02/28/20	204.56	...	15.93	1 of 5 - Sale ²⁵
	10.000	734.98	03/10/20	725.02	...	9.96	2 of 5 - Sale ²⁵
	5.000	367.49	03/10/20	357.16	...	10.33	3 of 5 - Sale ²⁵
	10.000	734.98	03/10/20	724.41	...	10.57	4 of 5 - Sale ²⁵
	5.000	367.50	03/10/20	356.18	...	11.32	5 of 5 - Sale ²⁵
03/20/20	33.000	2,425.44	Various	2,367.33	...	58.11	Total of 5 transactions
03/23/20	10.000	695.99	03/13/20	680.60	...	15.39	Sale ²⁵
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	10.000	695.98	03/10/20	710.69	14.71 W	0.00	1 of 2 - Sale ²⁵
	30.000	2,087.95	03/20/20	2,261.70	173.75 W	0.00	2 of 2 - Sale ²⁵
03/23/20	40.000	2,783.93	Various	2,972.39	188.46 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol: (cont'd)							
5 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	3.000	223.22	03/20/20	230.82	...	-7.60	1 of 5 - Sale ²⁵
	15.000	1,115.72	03/23/20	1,147.43	...	-31.71	2 of 5 - Sale ²⁵
	15.000	1,116.12	03/23/20	1,147.43	...	-31.31	3 of 5 - Sale ²⁵
	7.000	520.86	03/23/20	505.23	...	15.63	4 of 5 - Sale ²⁵
	5.000	371.91	03/23/20	353.10	...	18.81	5 of 5 - Sale ²⁵
05/18/20	45.000	3,347.83	Various	3,384.01	...	-36.18	Total of 5 transactions
06/02/20	4.000	292.64	03/23/20	282.48	...	10.16	Sale ²⁵
07/09/20	1.000	74.62	03/23/20	70.62	...	4.00	Sale ²⁵
4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	5.000	309.16	10/22/20	315.00	...	-5.84	1 of 4 - Sale ²⁵
	5.000	309.16	10/22/20	314.60	...	-5.44	2 of 4 - Sale ²⁵
	2.000	126.41	10/22/20	126.00	...	0.41	3 of 4 - Sale ²⁵
	3.000	189.61	10/22/20	189.00	...	0.61	4 of 4 - Sale ²⁵
10/23/20	15.000	934.34	Various	944.60	...	-10.26	Total of 4 transactions
	Security total:	21,290.71		21,432.91	221.14 W	78.94	
GRUBHUB INC. / CUSIP: 400110102 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	0.001	0.06	03/23/20	0.04	...	0.02	1 of 2 - Sale ²⁵
	0.029	1.40	03/23/20	0.96	...	0.44	2 of 2 - Sale ²⁵
04/29/20	0.031	1.46	Various	1.00	...	0.46	Total of 2 transactions
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	100.000	211.49	07/29/20	289.00	...	-77.51	1 of 2 - Sale ²⁵
	50.000	105.74	08/03/20	102.45	...	3.29	2 of 2 - Sale ²⁵
08/04/20	150.000	317.23	Various	391.45	...	-74.22	Total of 2 transactions
IMMURON LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45254U101 / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	123.65	06/09/20	242.50	...	-118.85	1 of 4 - Sale ²⁵
	7.000	86.52	06/09/20	169.75	...	-83.23	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IMMURON LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45254U101 / Symbol: (cont'd)							
	2.000	26.40	06/09/20	48.50	...	-22.10	3 of 4 - Sale ²⁵
	1.000	15.00	06/09/20	24.25	...	-9.25	4 of 4 - Sale ²⁵
06/10/20	20.000	251.57	Various	485.00	...	-233.43	Total of 4 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/13/20	49.000	343.06	03/10/20	358.96	15.90 W	0.00	Sale ²⁵
	4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	4.000	28.13	03/16/20	22.49	...	5.64	1 of 4 - Sale ²⁵
	20.000	140.63	03/16/20	113.20	...	27.43	2 of 4 - Sale ²⁵
	29.000	203.91	03/16/20	169.65	...	34.26	3 of 4 - Sale ²⁵
	45.000	316.42	03/16/20	248.60	...	67.82	4 of 4 - Sale ²⁵
03/18/20	98.000	689.09	Various	553.94	...	135.15	Total of 4 transactions
03/18/20	1.000	7.03	03/10/20	7.33	0.30 W	0.00	Sale ²⁵
	5 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	1.000	11.03	03/16/20	6.15	...	4.88	1 of 5 - Sale ²⁵
	1.000	10.90	04/07/20	8.95	...	1.95	2 of 5 - Sale ²⁵
	20.000	220.60	04/07/20	179.00	...	41.60	3 of 5 - Sale ²⁵
	29.000	319.87	04/07/20	259.55	...	60.32	4 of 5 - Sale ²⁵
	49.000	534.08	04/07/20	403.76	...	130.32	5 of 5 - Sale ²⁵
05/11/20	100.000	1,096.48	Various	857.41	...	239.07	Total of 5 transactions
06/08/20	50.000	567.58	05/08/20	526.50	...	41.08	Sale ²⁵
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	5.000	141.80	05/08/20	52.65	...	89.15	1 of 4 - Sale ²⁵
	5.000	143.44	05/08/20	52.65	...	90.79	2 of 4 - Sale ²⁵
	15.000	429.06	05/08/20	157.95	...	271.11	3 of 4 - Sale ²⁵
	20.000	570.02	05/08/20	210.60	...	359.42	4 of 4 - Sale ²⁵
06/26/20	45.000	1,284.32	Various	473.85	...	810.47	Total of 4 transactions
	6 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	5.000	60.00	05/08/20	52.65	...	7.35	1 of 6 - Sale ²⁵
	5.000	60.65	09/03/20	65.35	...	-4.70	2 of 6 - Sale ²⁵
	5.000	60.66	09/03/20	63.30	...	-2.64	3 of 6 - Sale ²⁵
	5.000	60.65	09/03/20	49.85	...	10.80	4 of 6 - Sale ²⁵
	20.000	239.98	09/03/20	200.20	...	39.78	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
09/15/20	19.000	229.94	09/03/20	189.43	...	40.51	6 of 6 - Sale ²⁵
09/15/20	59.000	711.88	Various	620.78	...	91.10	Total of 6 transactions
	8 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	5.000	60.00	07/27/20	102.24	42.24 W	0.00	1 of 8 - Sale ²⁵
	5.000	60.00	07/29/20	98.10	38.10 W	0.00	2 of 8 - Sale ²⁵
	5.000	60.00	08/11/20	74.83	14.83 W	0.00	3 of 8 - Sale ²⁵
	5.000	60.00	08/11/20	75.50	15.50 W	0.00	4 of 8 - Sale ²⁵
	5.000	60.00	08/11/20	73.45	13.45 W	0.00	5 of 8 - Sale ²⁵
	5.000	60.66	09/03/20	64.68	3.22 W	-0.80	6 of 8 - Sale ²⁵
	5.000	60.65	09/03/20	92.09	31.44 W	0.00	7 of 8 - Sale ²⁵
	5.000	60.65	09/03/20	87.95	27.30 W	0.00	8 of 8 - Sale ²⁵
09/15/20	40.000	481.96	Various	668.84	186.08 W	-0.80	Total of 8 transactions
	3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	11.90	09/03/20	9.97	...	1.93	1 of 3 - Sale ²⁵
	5.000	59.51	10/01/20	89.88	...	-30.37	2 of 3 - Sale ²⁵
	4.000	47.61	10/01/20	68.60	...	-20.99	3 of 3 - Sale ²⁵
10/02/20	10.000	119.02	Various	168.45	...	-49.43	Total of 3 transactions
	2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	11.64	10/01/20	17.21	...	-5.57	1 of 2 - Sale ²⁵
	3.900	45.40	10/01/20	48.96	...	-3.56	2 of 2 - Sale ²⁵
10/05/20	4.900	57.04	Various	66.17	...	-9.13	Total of 2 transactions
	Security total:	5,357.46		4,302.23	202.28 W	1,257.51	
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	11.86	06/11/20	9.45	...	2.41	1 of 2 - Sale ²⁵
	48.000	569.32	07/07/20	531.23	...	38.09	2 of 2 - Sale ²⁵
07/13/20	49.000	581.18	Various	540.68	...	40.50	Total of 2 transactions
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	11.05	07/07/20	11.07	0.02 W	0.00	1 of 2 - Sale ²⁵
	48.000	530.44	07/15/20	533.63	3.19 W	0.00	2 of 2 - Sale ²⁵
07/22/20	49.000	541.49	Various	544.70	3.21 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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INSEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol: (cont'd)							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	13.33	07/15/20	11.14	...	2.19	1 of 3 - Sale ²⁵
	4.000	53.20	07/22/20	44.30	...	8.90	2 of 3 - Sale ²⁵
	4.000	53.20	07/24/20	42.86	...	10.34	3 of 3 - Sale ²⁵
07/30/20	9.000	119.73	Various	98.30	...	21.43	Total of 3 transactions
	Security total:	1,242.40		1,183.68	3.21 W	61.93	
INTRA-CELLULAR THERAPIES INC. COMMON STOCK / CUSIP: 46116X101 / Symbol:							
01/03/20	1.000	31.06	12/23/19	36.47	...	-5.41	Sale ²⁵
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
8 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	50.000	162.00	02/12/20	282.00	...	-120.00	1 of 8 - Sale ²⁵
	50.000	161.99	02/13/20	274.50	...	-112.51	2 of 8 - Sale ²⁵
	50.000	161.99	02/13/20	268.43	...	-106.44	3 of 8 - Sale ²⁵
	50.000	162.00	02/13/20	268.36	...	-106.36	4 of 8 - Sale ²⁵
	50.000	162.00	02/25/20	245.39	...	-83.39	5 of 8 - Sale ²⁵
	100.000	323.99	02/25/20	400.41	...	-76.42	6 of 8 - Sale ²⁵
	50.000	161.99	02/25/20	227.94	...	-65.95	7 of 8 - Sale ²⁵
	100.000	323.99	03/06/20	384.88	...	-60.89	8 of 8 - Sale ²⁵
03/10/20	500.000	1,619.95	Various	2,351.91	...	-731.96	Total of 8 transactions
5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	5.000	67.70	08/11/20	82.10	...	-14.40	1 of 5 - Sale ²⁵
	5.000	67.70	08/11/20	82.00	...	-14.30	2 of 5 - Sale ²⁵
	5.000	67.70	08/11/20	82.00	...	-14.30	3 of 5 - Sale ²⁵
	5.000	67.70	08/12/20	60.43	...	7.27	4 of 5 - Sale ²⁵
	10.000	135.40	08/12/20	121.80	...	13.60	5 of 5 - Sale ²⁵
08/13/20	30.000	406.20	Various	428.33	...	-22.13	Total of 5 transactions
10/07/20	20.000	161.12	10/05/20	157.46	...	3.66	Sale ²⁵
	Security total:	2,187.27		2,937.70	...	-750.43	
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
05/29/20	1.000	31.80	05/20/20	32.06	...	-0.26	Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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LAKELAND INDUSTRIES, INC. COMM ON STOCK / CUSIP: 511795106 / Symbol:							
06/01/20	5.000	72.75	05/19/20	68.70	...	4.05	Sale ²⁵
LINX S.A. / CUSIP: 53619W101 / Symbol:							
09/09/20	1.000	6.71	08/31/20	6.56	...	0.15	Sale ²⁵
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
8 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	10.000	251.45	12/23/19	276.10	24.65 W	0.00	1 of 8 - Sale ²⁵
	9.000	226.30	12/23/19	248.35	22.05 W	0.00	2 of 8 - Sale ²⁵
	1.000	25.14	12/23/19	27.59	2.45 W	0.00	3 of 8 - Sale ²⁵
	10.000	251.45	12/23/19	277.45	26.00 W	0.00	4 of 8 - Sale ²⁵
	1.000	25.15	12/23/19	27.25	2.10 W	0.00	5 of 8 - Sale ²⁵
	9.000	226.30	12/23/19	247.10	20.80 W	0.00	6 of 8 - Sale ²⁵
	10.000	251.45	12/23/19	273.87	22.42 W	0.00	7 of 8 - Sale ²⁵
	10.000	251.45	12/23/19	272.55	21.10 W	0.00	8 of 8 - Sale ²⁵
01/02/20	60.000	1,508.69	Various	1,650.26	141.57 W	0.00	Total of 8 transactions
47 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	2.000	49.20	12/26/19	57.98	8.78 W	0.00	1 of 47 - Sale ²⁵
	4.000	98.40	12/26/19	109.12	10.72 W	0.00	2 of 47 - Sale ²⁵
	9.000	221.39	12/26/19	243.06	21.67 W	0.00	3 of 47 - Sale ²⁵
	5.000	123.00	12/26/19	137.55	14.55 W	0.00	4 of 47 - Sale ²⁵
	6.000	147.60	12/26/19	164.07	16.47 W	0.00	5 of 47 - Sale ²⁵
	9.000	221.39	12/26/19	255.31	33.92 W	0.00	6 of 47 - Sale ²⁵
	10.000	245.99	12/26/19	283.72	37.73 W	0.00	7 of 47 - Sale ²⁵
	4.000	98.40	12/26/19	109.38	10.98 W	0.00	8 of 47 - Sale ²⁵
	9.000	221.39	12/26/19	245.97	24.58 W	0.00	9 of 47 - Sale ²⁵
	1.000	24.60	12/26/19	27.33	2.73 W	0.00	10 of 47 - Sale ²⁵
	1.000	24.60	12/26/19	27.19	2.59 W	0.00	11 of 47 - Sale ²⁵
	10.000	245.99	12/26/19	274.80	28.81 W	0.00	12 of 47 - Sale ²⁵
	1.000	24.60	12/26/19	26.98	2.38 W	0.00	13 of 47 - Sale ²⁵
	8.000	196.79	12/26/19	217.53	20.74 W	0.00	14 of 47 - Sale ²⁵
	10.000	246.00	12/26/19	271.22	25.22 W	0.00	15 of 47 - Sale ²⁵
	10.000	245.99	12/26/19	269.90	23.91 W	0.00	16 of 47 - Sale ²⁵
	1.000	24.60	12/26/19	24.88	0.28 W	0.00	17 of 47 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	2.000	49.52	12/26/19	58.54	9.02 W	0.00	18 of 47 - Sale ²⁵
	4.000	99.04	12/26/19	110.24	11.20 W	0.00	19 of 47 - Sale ²⁵
	5.000	123.80	12/26/19	138.95	15.15 W	0.00	20 of 47 - Sale ²⁵
	9.000	222.83	12/26/19	245.59	22.76 W	0.00	21 of 47 - Sale ²⁵
	5.000	123.80	12/26/19	137.83	14.03 W	0.00	22 of 47 - Sale ²⁵
	1.000	24.76	12/26/19	27.56	2.80 W	0.00	23 of 47 - Sale ²⁵
	5.000	123.80	12/26/19	142.94	19.14 W	0.00	24 of 47 - Sale ²⁵
	4.000	99.04	12/26/19	110.26	11.22 W	0.00	25 of 47 - Sale ²⁵
	9.000	222.83	12/26/19	247.96	25.13 W	0.00	26 of 47 - Sale ²⁵
	4.000	99.04	12/26/19	114.36	15.32 W	0.00	27 of 47 - Sale ²⁵
	10.000	247.59	12/26/19	285.93	38.34 W	0.00	28 of 47 - Sale ²⁵
	1.000	24.76	12/26/19	27.55	2.79 W	0.00	29 of 47 - Sale ²⁵
	1.000	24.76	12/26/19	27.41	2.65 W	0.00	30 of 47 - Sale ²⁵
	10.000	247.59	12/26/19	277.01	29.42 W	0.00	31 of 47 - Sale ²⁵
	1.000	24.76	12/27/19	27.21	2.45 W	0.00	32 of 47 - Sale ²⁵
	8.000	198.07	12/27/19	219.38	21.31 W	0.00	33 of 47 - Sale ²⁵
	10.000	247.59	12/27/19	273.52	25.93 W	0.00	34 of 47 - Sale ²⁵
	10.000	247.59	12/27/19	272.21	24.62 W	0.00	35 of 47 - Sale ²⁵
	1.000	24.76	12/27/19	25.11	0.35 W	0.00	36 of 47 - Sale ²⁵
	2.000	50.25	12/27/19	58.68	8.43 W	0.00	37 of 47 - Sale ²⁵
	4.000	100.50	12/27/19	110.52	10.02 W	0.00	38 of 47 - Sale ²⁵
	5.000	125.63	12/27/19	139.30	13.67 W	0.00	39 of 47 - Sale ²⁵
	9.000	226.13	12/27/19	246.23	20.10 W	0.00	40 of 47 - Sale ²⁵
	1.000	25.13	12/27/19	27.43	2.30 W	0.00	41 of 47 - Sale ²⁵
	4.000	100.50	12/27/19	109.74	9.24 W	0.00	42 of 47 - Sale ²⁵
	5.000	125.63	12/27/19	137.18	11.55 W	0.00	43 of 47 - Sale ²⁵
	9.000	226.14	12/27/19	246.80	20.66 W	0.00	44 of 47 - Sale ²⁵
	4.000	100.50	12/27/19	113.84	13.34 W	0.00	45 of 47 - Sale ²⁵
	5.000	125.63	12/27/19	142.29	16.66 W	0.00	46 of 47 - Sale ²⁵
	2.000	50.26	12/27/19	56.93	6.67 W	0.00	47 of 47 - Sale ²⁵
01/03/20	250.000	6,192.16	Various	6,904.49	712.33 W	0.00	Total of 47 transactions
	9 transactions for 01/06/20. Total proceeds and cost reported to the IRS.						
	8.000	198.34	12/27/19	227.71	29.37 W	0.00	1 of 9 - Sale ²⁵
	1.000	24.79	12/27/19	27.42	2.63 W	0.00	2 of 9 - Sale ²⁵

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(continued)

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	1.000	24.79	12/27/19	27.28	2.49 W	0.00	3 of 9 - Sale ²⁵
	10.000	247.92	12/27/19	275.72	27.80 W	0.00	4 of 9 - Sale ²⁵
	2.000	49.58	12/27/19	57.59	8.01 W	0.00	5 of 9 - Sale ²⁵
	1.000	24.79	12/27/19	27.03	2.24 W	0.00	6 of 9 - Sale ²⁵
	8.000	198.34	12/27/19	217.95	19.61 W	0.00	7 of 9 - Sale ²⁵
	10.000	247.92	12/27/19	271.73	23.81 W	0.00	8 of 9 - Sale ²⁵
	9.000	223.14	12/27/19	243.38	20.24 W	0.00	9 of 9 - Sale ²⁵
01/06/20	50.000	1,239.61	Various	1,375.81	136.20 W	0.00	Total of 9 transactions
	15 transactions for 01/07/20. Total proceeds and cost reported to the IRS.						
	1.000	25.36	12/27/19	25.17	...	0.19	1 of 15 - Sale ²⁵
	1.000	25.62	12/27/19	24.90	...	0.72	2 of 15 - Sale ²⁵
	4.000	101.45	12/27/19	100.69	...	0.76	3 of 15 - Sale ²⁵
	1.000	25.79	12/27/19	24.93	...	0.86	4 of 15 - Sale ²⁵
	1.000	25.36	12/27/19	24.19	...	1.17	5 of 15 - Sale ²⁵
	4.000	101.45	12/27/19	99.78	...	1.67	6 of 15 - Sale ²⁵
	1.000	25.62	12/27/19	23.89	...	1.73	7 of 15 - Sale ²⁵
	4.000	101.45	12/27/19	98.97	...	2.48	8 of 15 - Sale ²⁵
	5.000	126.82	12/27/19	123.37	...	3.45	9 of 15 - Sale ²⁵
	4.000	101.45	12/27/19	96.82	...	4.63	10 of 15 - Sale ²⁵
	4.000	101.45	12/27/19	96.76	...	4.69	11 of 15 - Sale ²⁵
	4.000	101.45	12/27/19	96.73	...	4.72	12 of 15 - Sale ²⁵
	5.000	126.82	12/27/19	120.98	...	5.84	13 of 15 - Sale ²⁵
	1.000	25.36	12/30/19	24.96	...	0.40	14 of 15 - Sale ²⁵
	3.000	76.09	12/30/19	74.46	...	1.63	15 of 15 - Sale ²⁵
01/07/20	43.000	1,091.54	Various	1,056.60	...	34.94	Total of 15 transactions
	31 transactions for 01/07/20. Total proceeds and cost reported to the IRS.						
	1.000	25.86	12/27/19	27.04	1.18 W	0.00	1 of 31 - Sale ²⁵
	4.000	103.45	12/27/19	109.26	5.81 W	0.00	2 of 31 - Sale ²⁵
	4.000	103.44	12/27/19	108.34	4.90 W	0.00	3 of 31 - Sale ²⁵
	1.000	25.86	12/27/19	27.31	1.45 W	0.00	4 of 31 - Sale ²⁵
	5.000	129.30	12/27/19	134.07	4.77 W	0.00	5 of 31 - Sale ²⁵
	4.000	103.16	12/27/19	107.25	4.09 W	0.00	6 of 31 - Sale ²⁵
	5.000	128.90	12/27/19	129.75	0.85 W	0.00	7 of 31 - Sale ²⁵
	1.000	25.76	12/27/19	25.94	0.18 W	0.00	8 of 31 - Sale ²⁵

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(continued)

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	4.000	103.03	12/27/19	103.80	0.77 W	0.00	9 of 31 - Sale ²⁵
	5.000	128.75	12/27/19	129.68	0.93 W	0.00	10 of 31 - Sale ²⁵
	1.000	25.75	12/27/19	27.31	1.56 W	0.00	11 of 31 - Sale ²⁵
	4.000	103.03	12/27/19	103.74	0.71 W	0.00	12 of 31 - Sale ²⁵
	5.000	128.75	12/27/19	136.56	7.81 W	0.00	13 of 31 - Sale ²⁵
	2.000	51.52	12/27/19	54.62	3.10 W	0.00	14 of 31 - Sale ²⁵
	3.000	77.29	12/27/19	79.26	1.97 W	0.00	15 of 31 - Sale ²⁵
	1.000	25.62	12/27/19	26.27	0.65 W	0.00	16 of 31 - Sale ²⁵
	1.000	25.62	12/27/19	26.13	0.51 W	0.00	17 of 31 - Sale ²⁵
	7.000	179.34	12/27/19	184.94	5.60 W	0.00	18 of 31 - Sale ²⁵
	2.000	51.24	12/27/19	53.95	2.71 W	0.00	19 of 31 - Sale ²⁵
	4.000	102.48	12/27/19	107.90	5.42 W	0.00	20 of 31 - Sale ²⁵
	5.000	128.10	12/27/19	134.86	6.76 W	0.00	21 of 31 - Sale ²⁵
	2.000	51.24	12/27/19	55.45	4.21 W	0.00	22 of 31 - Sale ²⁵
	1.000	25.62	12/27/19	25.96	0.34 W	0.00	23 of 31 - Sale ²⁵
	8.000	204.95	12/27/19	209.37	4.42 W	0.00	24 of 31 - Sale ²⁵
	7.000	179.33	12/27/19	181.78	2.45 W	0.00	25 of 31 - Sale ²⁵
	10.000	256.19	12/27/19	261.01	4.82 W	0.00	26 of 31 - Sale ²⁵
	2.000	50.73	12/27/19	51.94	1.21 W	0.00	27 of 31 - Sale ²⁵
	1.000	25.36	12/27/19	25.57	0.21 W	0.00	28 of 31 - Sale ²⁵
	1.000	25.36	12/27/19	25.57	0.21 W	0.00	29 of 31 - Sale ²⁵
	2.000	50.73	12/30/19	51.43	0.70 W	0.00	30 of 31 - Sale ²⁵
	4.000	101.46	12/30/19	102.90	1.44 W	0.00	31 of 31 - Sale ²⁵
01/07/20	107.000	2,747.22	Various	2,828.96	81.74 W	0.00	Total of 31 transactions
22 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	2.000	51.14	12/30/19	51.03	...	0.11	1 of 22 - Sale ²⁵
	1.000	25.57	12/30/19	25.35	...	0.22	2 of 22 - Sale ²⁵
	2.000	51.14	12/30/19	50.71	...	0.43	3 of 22 - Sale ²⁵
	2.000	51.14	12/30/19	50.71	...	0.43	4 of 22 - Sale ²⁵
	1.000	25.57	12/30/19	24.81	...	0.76	5 of 22 - Sale ²⁵
	4.000	102.27	12/30/19	101.42	...	0.85	6 of 22 - Sale ²⁵
	1.000	25.57	12/30/19	24.67	...	0.90	7 of 22 - Sale ²⁵
	1.000	25.57	12/30/19	24.48	...	1.09	8 of 22 - Sale ²⁵
	6.000	153.41	12/30/19	149.78	...	3.63	9 of 22 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	8.000	204.54	12/30/19	196.42	...	8.12	10 of 22 - Sale ²⁵
	1.000	25.57	12/31/19	24.26	...	1.31	11 of 22 - Sale ²⁵
	1.000	25.57	12/31/19	24.14	...	1.43	12 of 22 - Sale ²⁵
	2.000	51.13	12/31/19	49.07	...	2.06	13 of 22 - Sale ²⁵
	2.000	51.14	12/31/19	48.56	...	2.58	14 of 22 - Sale ²⁵
	4.000	102.27	12/31/19	97.61	...	4.66	15 of 22 - Sale ²⁵
	5.000	127.84	12/31/19	121.95	...	5.89	16 of 22 - Sale ²⁵
	5.000	127.84	12/31/19	121.34	...	6.50	17 of 22 - Sale ²⁵
	1.000	25.61	01/07/20	24.87	...	0.74	18 of 22 - Sale ²⁵
	2.000	51.22	01/07/20	50.39	...	0.83	19 of 22 - Sale ²⁵
	2.000	51.22	01/07/20	50.02	...	1.20	20 of 22 - Sale ²⁵
	4.000	102.43	01/07/20	100.08	...	2.35	21 of 22 - Sale ²⁵
	21.000	537.78	01/07/20	517.86	...	19.92	22 of 22 - Sale ²⁵
01/08/20	78.000	1,995.54	Various	1,929.53	...	66.01	Total of 22 transactions
01/08/20	2.000	51.14	12/30/19	52.21	1.07 W	0.00	Sale ²⁵
01/09/20	19.000	502.06	01/07/20	468.54	...	33.52	Sale ²⁵
	5 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	1.000	24.10	01/07/20	24.66	0.56 W	0.00	1 of 5 - Sale ²⁵
	4.000	96.40	01/28/20	99.28	2.88 W	0.00	2 of 5 - Sale ²⁵
	5.000	120.50	01/28/20	123.80	3.30 W	0.00	3 of 5 - Sale ²⁵
	20.000	481.99	01/28/20	495.00	13.01 W	0.00	4 of 5 - Sale ²⁵
	20.000	481.99	01/29/20	496.40	14.41 W	0.00	5 of 5 - Sale ²⁵
01/30/20	50.000	1,204.98	Various	1,239.14	34.16 W	0.00	Total of 5 transactions
	7 transactions for 02/05/20. Total proceeds and cost reported to the IRS.						
	1.000	24.87	02/03/20	24.52	...	0.35	1 of 7 - Sale ²⁵
	4.000	99.48	02/03/20	98.72	...	0.76	2 of 7 - Sale ²⁵
	5.000	124.35	02/03/20	123.10	...	1.25	3 of 7 - Sale ²⁵
	10.000	248.69	02/03/20	246.11	...	2.58	4 of 7 - Sale ²⁵
	20.000	497.39	02/04/20	504.41	...	-7.02	5 of 7 - Sale ²⁵
	10.000	248.70	02/04/20	251.50	...	-2.80	6 of 7 - Sale ²⁵
	50.000	1,243.47	02/04/20	1,225.00	...	18.47	7 of 7 - Sale ²⁵
02/05/20	100.000	2,486.95	Various	2,473.36	...	13.59	Total of 7 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	5.000	225.00	04/23/20	193.50	...	31.50	1 of 2 - Sale ²⁵
05/06/20	20.000	899.98	04/23/20	774.00	...	125.98	2 of 2 - Sale ²⁵
	25.000	1,124.98	Various	967.50	...	157.48	Total of 2 transactions
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	4.000	213.36	04/23/20	154.80	...	58.56	1 of 2 - Sale ²⁵
05/07/20	20.000	1,067.07	04/23/20	774.00	...	293.07	2 of 2 - Sale ²⁵
	24.000	1,280.43	Various	928.80	...	351.63	Total of 2 transactions
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	61.00	04/23/20	38.70	...	22.30	1 of 6 - Sale ²⁵
	4.000	243.99	05/08/20	218.40	...	25.59	2 of 6 - Sale ²⁵
	5.000	304.99	05/21/20	290.44	...	14.55	3 of 6 - Sale ²⁵
	10.000	609.99	05/26/20	542.08	...	67.91	4 of 6 - Sale ²⁵
	10.000	609.99	05/26/20	536.88	...	73.11	5 of 6 - Sale ²⁵
06/11/20	19.000	1,158.97	05/27/20	940.45	...	218.52	6 of 6 - Sale ²⁵
	49.000	2,988.93	Various	2,566.95	...	421.98	Total of 6 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	113.73	05/27/20	49.50	...	64.23	1 of 2 - Sale ²⁵
08/10/20	4.000	454.92	06/18/20	276.32	...	178.60	2 of 2 - Sale ²⁵
	5.000	568.65	Various	325.82	...	242.83	Total of 2 transactions
	Security total:	24,982.88		24,767.97	1,107.07 W	1,321.98	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
01/24/20	50.000	2,019.64	01/22/20	2,263.49	...	-243.85	Sale ²⁵
8 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.55	03/27/20	26.82	...	-24.27	1 of 8 - Sale ²⁵
	10.000	25.50	04/02/20	49.20	...	-23.70	2 of 8 - Sale ²⁵
	10.000	25.50	04/02/20	46.50	...	-21.00	3 of 8 - Sale ²⁵
	10.000	25.50	04/02/20	45.90	...	-20.40	4 of 8 - Sale ²⁵
	10.000	25.50	04/02/20	45.90	...	-20.40	5 of 8 - Sale ²⁵
	9.000	22.95	04/02/20	36.00	...	-13.05	6 of 8 - Sale ²⁵
	25.000	63.75	04/03/20	150.00	...	-86.25	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	25.000	63.74	04/06/20	120.22	...	-56.48	8 of 8 - Sale ²⁵
05/20/20	100.000	254.99	Various	520.54	...	-265.55	Total of 8 transactions
	Security total:	2,274.63		2,784.03	...	-509.40	
MCDERMOTT INTL INC COMMON STOC K / CUSIP: 580037703 / Symbol:							
01/27/20	500.000	37.04	01/08/20	378.25	...	-341.21	Sale ²⁵
MECHEL PAO AMERICAN DEPOSITORY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
10 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1.18	01/06/20	1.08	...	0.10	1 of 10 - Sale ²⁵
	1.000	1.19	01/06/20	1.05	...	0.14	2 of 10 - Sale ²⁵
	4.000	4.77	01/06/20	4.33	...	0.44	3 of 10 - Sale ²⁵
	6.000	7.16	01/06/20	6.49	...	0.67	4 of 10 - Sale ²⁵
	9.000	10.73	01/06/20	9.72	...	1.01	5 of 10 - Sale ²⁵
	9.000	10.73	01/06/20	9.54	...	1.19	6 of 10 - Sale ²⁵
	9.000	10.73	01/06/20	9.53	...	1.20	7 of 10 - Sale ²⁵
	9.000	10.73	01/06/20	9.47	...	1.26	8 of 10 - Sale ²⁵
	12.000	14.31	01/06/20	12.62	...	1.69	9 of 10 - Sale ²⁵
	25.000	29.81	01/06/20	27.00	...	2.81	10 of 10 - Sale ²⁵
01/07/20	85.000	101.34	Various	90.83	...	10.51	Total of 10 transactions
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
06/04/20	100.000	211.49	05/27/20	238.65	27.16 W	0.00	Sale ²⁵
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	100.000	171.48	07/06/20	163.50	...	7.98	1 of 2 - Sale ²⁵
	100.000	171.48	07/06/20	163.50	...	7.98	2 of 2 - Sale ²⁵
07/13/20	200.000	342.96	Various	327.00	...	15.96	Total of 2 transactions
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	100.000	171.48	06/12/20	224.66	53.18 W	0.00	1 of 2 - Sale ²⁵
	49.000	84.04	07/06/20	106.17	22.13 W	0.00	2 of 2 - Sale ²⁵
07/13/20	149.000	255.52	Various	330.83	75.31 W	0.00	Total of 2 transactions
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	50.000	87.49	07/14/20	106.09	...	-18.60	1 of 3 - Sale ²⁵
	49.000	85.75	07/14/20	100.04	...	-14.29	2 of 3 - Sale ²⁵

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(continued)

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MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol: (cont'd)							
	99.000	173.24	07/14/20	157.41	...	15.83	3 of 3 - Sale ²⁵
07/22/20	198.000	346.48	Various	363.54	...	-17.06	Total of 3 transactions
07/22/20	1.000	1.75	07/06/20	2.17	0.42 W	0.00	Sale ²⁵
08/21/20	1.000	1.57	07/14/20	2.01	...	-0.44	Sale ²⁵
	Security total:	1,159.77		1,264.20	102.89 W	-1.54	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	10.000	200.01	02/28/20	235.00	34.99 W	0.00	1 of 4 - Sale ²⁵
	10.000	200.01	02/28/20	235.00	34.99 W	0.00	2 of 4 - Sale ²⁵
	30.000	600.02	02/28/20	677.70	77.68 W	0.00	3 of 4 - Sale ²⁵
	50.000	1,000.02	02/28/20	1,154.00	153.98 W	0.00	4 of 4 - Sale ²⁵
03/13/20	100.000	2,000.06	Various	2,301.70	301.64 W	0.00	Total of 4 transactions
4 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	10.000	261.50	03/10/20	259.47	...	2.03	1 of 4 - Sale ²⁵
	10.000	261.50	03/10/20	259.46	...	2.04	2 of 4 - Sale ²⁵
	10.000	261.51	03/10/20	252.69	...	8.82	3 of 4 - Sale ²⁵
	20.000	523.01	03/10/20	503.88	...	19.13	4 of 4 - Sale ²⁵
03/19/20	50.000	1,307.52	Various	1,275.50	...	32.02	Total of 4 transactions
4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	2.000	124.10	03/10/20	51.29	...	72.81	1 of 4 - Sale ²⁵
	3.000	186.15	03/13/20	76.10	...	110.05	2 of 4 - Sale ²⁵
	5.000	310.24	03/13/20	118.86	...	191.38	3 of 4 - Sale ²⁵
	20.000	1,240.98	03/13/20	505.53	...	735.45	4 of 4 - Sale ²⁵
05/26/20	30.000	1,861.47	Various	751.78	...	1,109.69	Total of 4 transactions
05/27/20	20.000	953.37	03/13/20	475.46	...	477.91	Sale ²⁵
06/25/20	25.000	1,496.43	03/19/20	692.68	...	803.75	Sale ²⁵
5 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	5.000	522.43	03/19/20	143.45	...	378.98	1 of 5 - Sale ²⁵
	5.000	522.44	05/27/20	247.40	...	275.04	2 of 5 - Sale ²⁵
	5.000	523.59	05/27/20	247.40	...	276.19	3 of 5 - Sale ²⁵
	10.000	1,045.17	05/27/20	494.80	...	550.37	4 of 5 - Sale ²⁵

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	5.000	523.58	08/12/20	348.02	...	175.56	5 of 5 - Sale ²⁵
12/31/20	30.000	3,137.21	Various	1,481.07	...	1,656.14	Total of 5 transactions
	Security total:	10,756.06		6,978.19	301.64 W	4,079.51	
MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
01/02/20	1.000	2.11	12/24/19	2.23	...	-0.12	Sale ²⁵
06/01/20	1.000	2.58	05/21/20	1.84	...	0.74	Sale ²⁵
	Security total:	4.69		4.07	...	0.62	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
11/18/20	2.000	89.12	11/17/20	90.80	...	-1.68	Sale ²⁵
11/18/20	5.000	222.80	11/17/20	228.00	1.04 W	-4.16	Sale ²⁵
12/23/20	1.000	47.37	11/17/20	46.44	...	0.93	Sale ²⁵
	Security total:	359.29		365.24	1.04 W	-4.91	
NANOVIRICIDES INC. / CUSIP: 630087302 / Symbol:							
01/21/20	30.000	266.98	01/21/20	252.00	...	14.98	Sale ²⁵
	7 transactions for 01/21/20. Total proceeds and cost reported to the IRS.						
	5.000	44.50	01/21/20	63.15	18.65 W	0.00	1 of 7 - Sale ²⁵
	5.000	44.50	01/21/20	58.15	13.65 W	0.00	2 of 7 - Sale ²⁵
	10.000	89.00	01/21/20	99.30	10.30 W	0.00	3 of 7 - Sale ²⁵
	10.000	89.00	01/21/20	98.92	9.92 W	0.00	4 of 7 - Sale ²⁵
	20.000	177.99	01/21/20	197.00	19.01 W	0.00	5 of 7 - Sale ²⁵
	10.000	89.00	01/21/20	98.33	9.33 W	0.00	6 of 7 - Sale ²⁵
	10.000	89.00	01/21/20	96.30	7.30 W	0.00	7 of 7 - Sale ²⁵
01/21/20	70.000	622.99	Various	711.15	88.16 W	0.00	Total of 7 transactions
	4 transactions for 01/24/20. Total proceeds and cost reported to the IRS.						
	5.000	43.60	01/21/20	60.15	16.55 W	0.00	1 of 4 - Sale ²⁵
	5.000	43.60	01/21/20	55.15	11.55 W	0.00	2 of 4 - Sale ²⁵
	10.000	87.20	01/21/20	93.30	6.10 W	0.00	3 of 4 - Sale ²⁵
	5.000	43.60	01/21/20	46.46	2.86 W	0.00	4 of 4 - Sale ²⁵
01/24/20	25.000	218.00	Various	255.06	37.06 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANOVICRIDES INC. / CUSIP: 630087302 / Symbol: (cont'd)							
12 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	5.000	73.80	01/21/20	58.05	...	15.75	1 of 12 - Sale ²⁵
	5.000	73.80	01/21/20	53.05	...	20.75	2 of 12 - Sale ²⁵
	5.000	62.50	01/21/20	41.50	...	21.00	3 of 12 - Sale ²⁵
	4.000	59.04	01/21/20	37.00	...	22.04	4 of 12 - Sale ²⁵
	5.000	73.80	01/21/20	46.46	...	27.34	5 of 12 - Sale ²⁵
	5.000	73.79	01/21/20	44.36	...	29.43	6 of 12 - Sale ²⁵
	10.000	125.00	01/21/20	92.33	...	32.67	7 of 12 - Sale ²⁵
	10.000	125.00	01/21/20	90.30	...	34.70	8 of 12 - Sale ²⁵
	10.000	147.59	01/21/20	89.10	...	58.49	9 of 12 - Sale ²⁵
	16.000	236.15	01/21/20	148.01	...	88.14	10 of 12 - Sale ²⁵
	25.000	312.49	01/22/20	95.25	...	217.24	11 of 12 - Sale ²⁵
	50.000	583.99	01/22/20	190.50	...	393.49	12 of 12 - Sale ²⁵
01/27/20	150.000	1,946.95	Various	985.91	...	961.04	Total of 12 transactions
4 transactions for 01/28/20. Total proceeds and cost reported to the IRS.							
	25.000	273.74	01/22/20	95.25	...	178.49	1 of 4 - Sale ²⁵
	5.000	54.75	01/23/20	24.95	...	29.80	2 of 4 - Sale ²⁵
	10.000	109.49	01/23/20	49.90	...	59.59	3 of 4 - Sale ²⁵
	10.000	109.50	01/23/20	49.75	...	59.75	4 of 4 - Sale ²⁵
01/28/20	50.000	547.48	Various	219.85	...	327.63	Total of 4 transactions
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	8.70	01/28/20	8.00	...	0.70	1 of 2 - Sale ²⁵
	4.000	34.80	01/28/20	33.20	...	1.60	2 of 2 - Sale ²⁵
02/04/20	5.000	43.50	Various	41.20	...	2.30	Total of 2 transactions
36 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	5.000	43.50	01/31/20	84.75	41.25 W	0.00	1 of 36 - Sale ²⁵
	10.000	87.00	01/31/20	169.40	82.40 W	0.00	2 of 36 - Sale ²⁵
	10.000	87.00	01/31/20	167.00	80.00 W	0.00	3 of 36 - Sale ²⁵
	10.000	87.00	01/31/20	164.20	77.20 W	0.00	4 of 36 - Sale ²⁵
	10.000	86.99	01/31/20	165.60	78.61 W	0.00	5 of 36 - Sale ²⁵
	10.000	87.00	01/31/20	169.70	82.70 W	0.00	6 of 36 - Sale ²⁵
	20.000	173.99	01/31/20	335.40	161.41 W	0.00	7 of 36 - Sale ²⁵
	10.000	87.00	01/31/20	167.00	80.00 W	0.00	8 of 36 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NANOVICRIDES INC. / CUSIP: 630087302 / Symbol: (cont'd)							
	10.000	86.99	01/31/20	167.00	80.01 W	0.00	9 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	124.30	81.00 W	0.00	10 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	124.40	81.10 W	0.00	11 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	125.15	81.85 W	0.00	12 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	124.15	80.85 W	0.00	13 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	121.35	78.05 W	0.00	14 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	121.36	78.06 W	0.00	15 of 36 - Sale ²⁵
	2.000	17.32	01/31/20	47.84	30.52 W	0.00	16 of 36 - Sale ²⁵
	3.000	25.98	01/31/20	71.97	45.99 W	0.00	17 of 36 - Sale ²⁵
	7.000	60.62	01/31/20	167.44	106.82 W	0.00	18 of 36 - Sale ²⁵
	10.000	86.60	01/31/20	241.60	155.00 W	0.00	19 of 36 - Sale ²⁵
	3.000	25.98	01/31/20	71.13	45.15 W	0.00	20 of 36 - Sale ²⁵
	5.000	43.30	01/31/20	118.55	75.25 W	0.00	21 of 36 - Sale ²⁵
	10.000	86.59	01/31/20	234.30	147.71 W	0.00	22 of 36 - Sale ²⁵
	10.000	86.59	01/31/20	235.71	149.12 W	0.00	23 of 36 - Sale ²⁵
	5.000	43.30	02/03/20	97.00	53.70 W	0.00	24 of 36 - Sale ²⁵
	10.000	86.59	02/03/20	194.01	107.42 W	0.00	25 of 36 - Sale ²⁵
	5.000	43.30	02/03/20	57.00	13.70 W	0.00	26 of 36 - Sale ²⁵
	2.000	17.60	02/03/20	52.16	34.56 W	0.00	27 of 36 - Sale ²⁵
	3.000	26.40	02/03/20	78.45	52.05 W	0.00	28 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	135.10	91.10 W	0.00	29 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	135.20	91.20 W	0.00	30 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	135.95	91.95 W	0.00	31 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	134.95	90.95 W	0.00	32 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	132.15	88.15 W	0.00	33 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	132.16	88.16 W	0.00	34 of 36 - Sale ²⁵
	5.000	44.00	02/03/20	130.40	86.40 W	0.00	35 of 36 - Sale ²⁵
	10.000	88.00	02/03/20	263.20	175.20 W	0.00	36 of 36 - Sale ²⁵
02/04/20	245.000	2,132.44	Various	5,197.03	3,064.59 W	0.00	Total of 36 transactions
02/06/20	1.000	8.67	02/04/20	24.81	16.14 W	0.00	Sale ²⁵
02/21/20	1.000	6.62	02/19/20	21.53	14.91 W	0.00	Sale ²⁵
	7 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	1.000	10.94	02/28/20	26.85	15.91 W	0.00	1 of 7 - Sale ²⁵
	1.000	10.94	03/03/20	24.42	13.48 W	0.00	2 of 7 - Sale ²⁵

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(continued)

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NANOVIRICIDES INC. / CUSIP: 630087302 / Symbol: (cont'd)							
	1.000	10.94	03/03/20	23.19	12.25 W	0.00	3 of 7 - Sale ²⁵
	5.000	54.70	03/03/20	132.50	77.80 W	0.00	4 of 7 - Sale ²⁵
	5.000	54.70	03/03/20	132.60	77.90 W	0.00	5 of 7 - Sale ²⁵
	5.000	54.70	03/03/20	133.35	78.65 W	0.00	6 of 7 - Sale ²⁵
	2.000	21.88	03/03/20	52.94	31.06 W	0.00	7 of 7 - Sale ²⁵
03/06/20	20.000	218.80	Various	525.85	307.05 W	0.00	Total of 7 transactions
21 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	10.000	71.70	03/03/20	257.99	...	-186.29	1 of 21 - Sale ²⁵
	10.000	71.70	03/03/20	231.92	...	-160.22	2 of 21 - Sale ²⁵
	10.000	71.70	03/03/20	230.51	...	-158.81	3 of 21 - Sale ²⁵
	10.000	71.70	03/03/20	190.22	...	-118.52	4 of 21 - Sale ²⁵
	5.000	35.85	03/03/20	129.56	...	-93.71	5 of 21 - Sale ²⁵
	5.000	35.85	03/03/20	129.55	...	-93.70	6 of 21 - Sale ²⁵
	5.000	35.85	03/03/20	127.80	...	-91.95	7 of 21 - Sale ²⁵
	5.000	35.85	03/03/20	116.65	...	-80.80	8 of 21 - Sale ²⁵
	5.000	35.85	03/03/20	95.10	...	-59.25	9 of 21 - Sale ²⁵
	3.000	21.51	03/03/20	79.41	...	-57.90	10 of 21 - Sale ²⁵
	3.000	21.51	03/03/20	76.89	...	-55.38	11 of 21 - Sale ²⁵
	2.000	14.34	03/03/20	51.12	...	-36.78	12 of 21 - Sale ²⁵
	2.000	14.34	03/03/20	46.66	...	-32.32	13 of 21 - Sale ²⁵
	5.000	35.85	03/03/20	55.10	...	-19.25	14 of 21 - Sale ²⁵
	5.000	35.80	03/13/20	112.85	...	-77.05	15 of 21 - Sale ²⁵
	5.000	35.80	03/13/20	112.10	...	-76.30	16 of 21 - Sale ²⁵
	5.000	35.80	03/13/20	112.00	...	-76.20	17 of 21 - Sale ²⁵
	1.000	7.16	03/13/20	22.75	...	-15.59	18 of 21 - Sale ²⁵
	1.000	7.16	03/13/20	22.37	...	-15.21	19 of 21 - Sale ²⁵
	1.000	7.16	03/13/20	20.32	...	-13.16	20 of 21 - Sale ²⁵
	1.000	7.16	03/13/20	19.09	...	-11.93	21 of 21 - Sale ²⁵
03/16/20	99.000	709.64	Various	2,239.96	...	-1,530.32	Total of 21 transactions
03/23/20	1.000	6.10	03/13/20	22.37	...	-16.27	Sale ²⁵
	Security total:	6,728.17		10,496.72	3,527.91 W	-240.64	
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
07/13/20	100.000	233.05	07/06/20	240.99	...	-7.94	Sale ²⁵

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NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	486.96	07/16/20	472.92	...	14.04	1 of 5 - Sale ²⁵
	1.000	490.23	07/16/20	473.93	...	16.30	2 of 5 - Sale ²⁵
	1.000	490.11	07/16/20	473.07	...	17.04	3 of 5 - Sale ²⁵
	1.000	486.96	07/16/20	468.53	...	18.43	4 of 5 - Sale ²⁵
	1.000	490.23	07/16/20	470.70	...	19.53	5 of 5 - Sale ²⁵
07/17/20	5.000	2,444.49	Various	2,359.15	...	85.34	Total of 5 transactions
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	251.04	07/07/20	225.35	...	25.69	1 of 2 - Sale ²⁵
	5.000	251.04	07/07/20	225.35	...	25.69	2 of 2 - Sale ²⁵
07/08/20	10.000	502.08	Various	450.70	...	51.38	Total of 2 transactions
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	252.59	07/06/20	261.65	9.06 W	0.00	1 of 4 - Sale ²⁵
	5.000	252.59	07/06/20	261.60	9.01 W	0.00	2 of 4 - Sale ²⁵
	5.000	252.60	07/06/20	261.60	9.00 W	0.00	3 of 4 - Sale ²⁵
	5.000	250.44	07/06/20	270.66	20.22 W	0.00	4 of 4 - Sale ²⁵
07/08/20	20.000	1,008.22	Various	1,055.51	47.29 W	0.00	Total of 4 transactions
07/13/20	5.000	271.20	07/07/20	237.05	...	34.15	Sale ²⁵
07/13/20	4.000	216.96	07/10/20	219.44	2.48 W	0.00	Sale ²⁵
16 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	29.93	07/10/20	54.86	24.93 W	0.00	1 of 16 - Sale ²⁵
	4.000	119.74	07/17/20	204.22	84.48 W	0.00	2 of 16 - Sale ²⁵
	5.000	149.67	07/17/20	252.18	102.51 W	0.00	3 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	43.94	14.01 W	0.00	4 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	44.00	14.07 W	0.00	5 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	42.51	12.58 W	0.00	6 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	41.97	12.04 W	0.00	7 of 16 - Sale ²⁵
	1.000	29.94	07/17/20	41.85	11.91 W	0.00	8 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	41.75	11.82 W	0.00	9 of 16 - Sale ²⁵
	1.000	29.94	07/17/20	41.00	11.06 W	0.00	10 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	39.92	9.99 W	0.00	11 of 16 - Sale ²⁵

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	29.94	07/17/20	39.90	9.96 W	0.00	12 of 16 - Sale ²⁵
	1.000	29.93	07/17/20	39.82	9.89 W	0.00	13 of 16 - Sale ²⁵
	6.000	179.61	07/17/20	238.62	59.01 W	0.00	14 of 16 - Sale ²⁵
	4.000	119.74	07/17/20	159.04	39.30 W	0.00	15 of 16 - Sale ²⁵
	1.000	29.94	07/17/20	39.75	9.81 W	0.00	16 of 16 - Sale ²⁵
07/24/20	31.000	927.96	Various	1,365.33	437.37 W	0.00	Total of 16 transactions
	11 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	33.82	08/04/20	61.53	...	-27.71	1 of 11 - Sale ²⁵
	2.000	67.63	08/04/20	93.30	...	-25.67	2 of 11 - Sale ²⁵
	2.000	67.64	08/04/20	92.50	...	-24.86	3 of 11 - Sale ²⁵
	2.000	67.63	08/04/20	92.41	...	-24.78	4 of 11 - Sale ²⁵
	2.000	67.64	08/04/20	92.24	...	-24.60	5 of 11 - Sale ²⁵
	1.000	33.82	08/04/20	47.32	...	-13.50	6 of 11 - Sale ²⁵
	1.000	33.82	08/04/20	46.64	...	-12.82	7 of 11 - Sale ²⁵
	1.000	33.82	08/04/20	46.25	...	-12.43	8 of 11 - Sale ²⁵
	1.000	33.82	08/04/20	46.22	...	-12.40	9 of 11 - Sale ²⁵
	1.000	33.82	08/04/20	46.21	...	-12.39	10 of 11 - Sale ²⁵
	1.000	33.82	08/04/20	46.17	...	-12.35	11 of 11 - Sale ²⁵
08/04/20	15.000	507.28	Various	710.79	...	-203.51	Total of 11 transactions
	35 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	36.80	07/17/20	64.69	27.89 W	0.00	1 of 35 - Sale ²⁵
	1.000	36.80	07/17/20	60.87	24.07 W	0.00	2 of 35 - Sale ²⁵
	2.000	73.60	07/17/20	121.96	48.36 W	0.00	3 of 35 - Sale ²⁵
	1.000	36.80	07/20/20	59.72	22.92 W	0.00	4 of 35 - Sale ²⁵
	4.000	147.20	07/20/20	236.41	89.21 W	0.00	5 of 35 - Sale ²⁵
	1.000	36.80	07/20/20	59.10	22.30 W	0.00	6 of 35 - Sale ²⁵
	1.000	36.80	07/20/20	52.61	15.81 W	0.00	7 of 35 - Sale ²⁵
	1.000	36.80	07/20/20	52.67	15.87 W	0.00	8 of 35 - Sale ²⁵
	1.000	36.80	07/20/20	51.18	14.38 W	0.00	9 of 35 - Sale ²⁵
	1.000	36.80	07/22/20	49.36	12.56 W	0.00	10 of 35 - Sale ²⁵
	1.000	36.80	07/22/20	49.23	12.43 W	0.00	11 of 35 - Sale ²⁵
	1.000	36.80	07/22/20	49.14	12.34 W	0.00	12 of 35 - Sale ²⁵
	1.000	36.80	07/22/20	48.38	11.58 W	0.00	13 of 35 - Sale ²⁵
	1.000	36.80	07/22/20	47.31	10.51 W	0.00	14 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	36.80	07/22/20	47.28	10.48 W	0.00	15 of 35 - Sale ²⁵
	1.000	36.80	07/22/20	47.23	10.43 W	0.00	16 of 35 - Sale ²⁵
	2.000	73.59	07/22/20	94.35	20.76 W	0.00	17 of 35 - Sale ²⁵
	2.000	73.60	07/22/20	93.44	19.84 W	0.00	18 of 35 - Sale ²⁵
	3.000	109.20	07/22/20	140.14	30.94 W	0.00	19 of 35 - Sale ²⁵
	2.000	72.80	07/22/20	93.44	20.64 W	0.00	20 of 35 - Sale ²⁵
	1.000	36.40	07/22/20	46.72	10.32 W	0.00	21 of 35 - Sale ²⁵
	1.000	36.40	07/22/20	46.70	10.30 W	0.00	22 of 35 - Sale ²⁵
	1.000	36.40	07/23/20	62.04	25.64 W	0.00	23 of 35 - Sale ²⁵
	1.000	36.40	07/23/20	58.22	21.82 W	0.00	24 of 35 - Sale ²⁵
	2.000	72.80	07/23/20	116.66	43.86 W	0.00	25 of 35 - Sale ²⁵
	1.000	36.40	07/23/20	57.07	20.67 W	0.00	26 of 35 - Sale ²⁵
	3.000	109.19	07/23/20	169.36	60.17 W	0.00	27 of 35 - Sale ²⁵
	1.000	36.40	07/23/20	56.45	20.05 W	0.00	28 of 35 - Sale ²⁵
	1.000	36.40	07/27/20	54.65	18.25 W	0.00	29 of 35 - Sale ²⁵
	1.000	36.40	07/27/20	48.17	11.77 W	0.00	30 of 35 - Sale ²⁵
	1.000	36.39	07/27/20	48.22	11.83 W	0.00	31 of 35 - Sale ²⁵
	1.000	33.85	07/27/20	46.74	12.89 W	0.00	32 of 35 - Sale ²⁵
	1.000	33.85	08/04/20	48.30	14.45 W	0.00	33 of 35 - Sale ²⁵
	1.000	33.82	08/04/20	48.17	14.35 W	0.00	34 of 35 - Sale ²⁵
	1.000	33.82	08/04/20	48.08	14.26 W	0.00	35 of 35 - Sale ²⁵
08/04/20	47.000	1,710.11	Various	2,474.06	763.95 W	0.00	Total of 35 transactions
	12 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	35.65	08/04/20	46.19	10.54 W	0.00	1 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	56.63	20.98 W	0.00	2 of 12 - Sale ²⁵
	2.000	71.30	08/05/20	113.48	42.18 W	0.00	3 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	55.48	19.83 W	0.00	4 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	54.86	19.21 W	0.00	5 of 12 - Sale ²⁵
	3.000	106.95	08/05/20	164.60	57.65 W	0.00	6 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	53.06	17.41 W	0.00	7 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	47.52	11.87 W	0.00	8 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	47.58	11.93 W	0.00	9 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	48.64	12.99 W	0.00	10 of 12 - Sale ²⁵
	1.000	35.65	08/05/20	50.20	14.55 W	0.00	11 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
09/04/20	1.000	35.65	08/05/20	50.10	14.45 W	0.00	12 of 12 - Sale ²⁵
	15.000	534.75	Various	788.34	253.59 W	0.00	Total of 12 transactions
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	37.61	08/10/20	55.60	17.99 W	0.00	1 of 2 - Sale ²⁵
	1.000	37.60	09/10/20	58.61	21.01 W	0.00	2 of 2 - Sale ²⁵
09/10/20	2.000	75.21	Various	114.21	39.00 W	0.00	Total of 2 transactions
19 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	32.77	09/10/20	48.17	15.40 W	0.00	1 of 19 - Sale ²⁵
	2.000	65.54	09/11/20	106.04	40.50 W	0.00	2 of 19 - Sale ²⁵
	1.000	32.77	09/11/20	51.76	18.99 W	0.00	3 of 19 - Sale ²⁵
	1.000	32.77	09/11/20	51.05	18.28 W	0.00	4 of 19 - Sale ²⁵
	1.000	32.77	09/11/20	51.40	18.63 W	0.00	5 of 19 - Sale ²⁵
	1.000	32.77	09/11/20	51.46	18.69 W	0.00	6 of 19 - Sale ²⁵
	1.000	32.78	09/11/20	51.46	18.68 W	0.00	7 of 19 - Sale ²⁵
	1.000	32.77	09/11/20	49.66	16.89 W	0.00	8 of 19 - Sale ²⁵
	1.000	32.78	09/11/20	44.12	11.34 W	0.00	9 of 19 - Sale ²⁵
	1.000	32.77	09/11/20	43.80	11.03 W	0.00	10 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	44.86	12.31 W	0.00	11 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	49.69	17.14 W	0.00	12 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	46.25	13.70 W	0.00	13 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	46.15	13.60 W	0.00	14 of 19 - Sale ²⁵
	2.000	65.10	09/11/20	104.04	38.94 W	0.00	15 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	50.76	18.21 W	0.00	16 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	52.78	20.23 W	0.00	17 of 19 - Sale ²⁵
	1.000	32.56	09/11/20	47.17	14.61 W	0.00	18 of 19 - Sale ²⁵
	1.000	32.55	09/11/20	50.07	17.52 W	0.00	19 of 19 - Sale ²⁵
09/15/20	21.000	686.00	Various	1,040.69	354.69 W	0.00	Total of 19 transactions
32 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	21.51	09/11/20	50.42	28.91 W	0.00	1 of 32 - Sale ²⁵
	1.000	21.51	09/11/20	50.48	28.97 W	0.00	2 of 32 - Sale ²⁵
	1.000	21.51	09/11/20	50.47	28.96 W	0.00	3 of 32 - Sale ²⁵
	1.000	21.51	09/11/20	48.68	27.17 W	0.00	4 of 32 - Sale ²⁵
	1.000	21.51	09/11/20	43.08	21.57 W	0.00	5 of 32 - Sale ²⁵

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Account 103527271

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	21.51	09/11/20	42.77	21.26 W	0.00	6 of 32 - Sale ²⁵
	1.000	21.50	09/11/20	44.05	22.55 W	0.00	7 of 32 - Sale ²⁵
	1.000	21.51	09/11/20	48.88	27.37 W	0.00	8 of 32 - Sale ²⁵
	1.000	21.50	09/11/20	45.44	23.94 W	0.00	9 of 32 - Sale ²⁵
	1.000	21.51	09/11/20	45.42	23.91 W	0.00	10 of 32 - Sale ²⁵
	2.000	43.01	09/16/20	100.20	57.19 W	0.00	11 of 32 - Sale ²⁵
	1.000	21.50	09/16/20	48.84	27.34 W	0.00	12 of 32 - Sale ²⁵
	1.000	21.51	09/16/20	50.86	29.35 W	0.00	13 of 32 - Sale ²⁵
	1.000	21.50	09/16/20	48.15	26.65 W	0.00	14 of 32 - Sale ²⁵
	1.000	21.51	09/16/20	45.24	23.73 W	0.00	15 of 32 - Sale ²⁵
	4.000	86.02	09/16/20	122.52	36.50 W	0.00	16 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	55.66	34.15 W	0.00	17 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	55.72	34.21 W	0.00	18 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	55.71	34.20 W	0.00	19 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	53.92	32.41 W	0.00	20 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	48.31	26.80 W	0.00	21 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	49.23	27.72 W	0.00	22 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	50.52	29.01 W	0.00	23 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	55.34	33.83 W	0.00	24 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	51.91	30.40 W	0.00	25 of 32 - Sale ²⁵
	1.000	21.51	09/21/20	51.88	30.37 W	0.00	26 of 32 - Sale ²⁵
	2.000	43.03	09/23/20	102.99	59.96 W	0.00	27 of 32 - Sale ²⁵
	1.000	21.51	09/23/20	50.24	28.73 W	0.00	28 of 32 - Sale ²⁵
	1.000	21.52	09/23/20	52.25	30.73 W	0.00	29 of 32 - Sale ²⁵
	1.000	21.51	09/23/20	49.55	28.04 W	0.00	30 of 32 - Sale ²⁵
	1.000	21.52	09/23/20	46.08	24.56 W	0.00	31 of 32 - Sale ²⁵
	3.000	64.54	09/23/20	94.43	29.89 W	0.00	32 of 32 - Sale ²⁵
09/23/20	39.000	838.86	Various	1,809.24	970.38 W	0.00	Total of 32 transactions
	5 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	20.23	09/23/20	31.47	11.24 W	0.00	1 of 5 - Sale ²⁵
	1.000	20.22	09/29/20	53.60	33.38 W	0.00	2 of 5 - Sale ²⁵
	1.000	20.23	09/29/20	53.66	33.43 W	0.00	3 of 5 - Sale ²⁵
	1.000	20.22	09/29/20	53.65	33.43 W	0.00	4 of 5 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	20.23	09/29/20	51.86	31.63 W	0.00	5 of 5 - Sale ²⁵
09/30/20	5.000	101.13	Various	244.24	143.11 W	0.00	Total of 5 transactions
10/22/20	1.000	22.65	09/29/20	29.54	...	-6.89	Sale ²⁵
16 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	22.65	09/29/20	46.25	23.60 W	0.00	1 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	47.17	24.52 W	0.00	2 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	48.46	25.81 W	0.00	3 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	53.28	30.63 W	0.00	4 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	49.85	27.20 W	0.00	5 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	49.82	27.17 W	0.00	6 of 16 - Sale ²⁵
	2.000	45.30	09/29/20	97.36	52.06 W	0.00	7 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	47.43	24.78 W	0.00	8 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	49.43	26.78 W	0.00	9 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	46.79	24.14 W	0.00	10 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	43.31	20.66 W	0.00	11 of 16 - Sale ²⁵
	3.000	67.95	09/29/20	86.14	18.19 W	0.00	12 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	51.68	29.03 W	0.00	13 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	51.73	29.08 W	0.00	14 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	51.73	29.08 W	0.00	15 of 16 - Sale ²⁵
	1.000	22.65	09/29/20	49.93	27.28 W	0.00	16 of 16 - Sale ²⁵
10/22/20	19.000	430.35	Various	870.36	440.01 W	0.00	Total of 16 transactions
4 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	19.59	10/16/20	50.31	...	-30.72	1 of 4 - Sale ²⁵
	1.000	19.60	10/16/20	45.49	...	-25.89	2 of 4 - Sale ²⁵
	1.000	19.59	10/16/20	44.20	...	-24.61	3 of 4 - Sale ²⁵
	1.000	19.59	10/16/20	43.28	...	-23.69	4 of 4 - Sale ²⁵
10/28/20	4.000	78.37	Various	183.28	...	-104.91	Total of 4 transactions
8 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	18.36	10/16/20	46.88	28.52 W	0.00	1 of 8 - Sale ²⁵
	2.000	36.72	11/09/20	90.25	53.53 W	0.00	2 of 8 - Sale ²⁵
	1.000	18.36	11/09/20	43.87	25.51 W	0.00	3 of 8 - Sale ²⁵
	1.000	18.36	11/09/20	46.26	27.90 W	0.00	4 of 8 - Sale ²⁵
	1.000	18.36	11/09/20	45.87	27.51 W	0.00	5 of 8 - Sale ²⁵

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	18.36	11/09/20	43.23	24.87 W	0.00	6 of 8 - Sale ²⁵
	1.000	18.36	11/09/20	39.75	21.39 W	0.00	7 of 8 - Sale ²⁵
	2.000	36.72	11/09/20	50.31	13.59 W	0.00	8 of 8 - Sale ²⁵
11/10/20	10.000	183.60	Various	406.42	222.82 W	0.00	Total of 8 transactions
	18 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	1.000	14.91	11/09/20	47.69	...	-32.78	1 of 18 - Sale ²⁵
	1.000	14.91	11/09/20	47.69	...	-32.78	2 of 18 - Sale ²⁵
	1.000	14.91	11/09/20	47.64	...	-32.73	3 of 18 - Sale ²⁵
	1.000	14.91	11/09/20	45.88	...	-30.97	4 of 18 - Sale ²⁵
	1.000	14.91	11/09/20	24.67	...	-9.76	5 of 18 - Sale ²⁵
	20.000	298.19	11/30/20	421.70	...	-123.51	6 of 18 - Sale ²⁵
	15.000	223.64	11/30/20	316.73	...	-93.09	7 of 18 - Sale ²⁵
	2.000	29.82	11/30/20	95.76	...	-65.94	8 of 18 - Sale ²⁵
	1.000	14.91	11/30/20	49.64	...	-34.73	9 of 18 - Sale ²⁵
	1.000	14.91	11/30/20	49.01	...	-34.10	10 of 18 - Sale ²⁵
	1.000	14.91	11/30/20	48.63	...	-33.72	11 of 18 - Sale ²⁵
	1.000	14.91	11/30/20	46.63	...	-31.72	12 of 18 - Sale ²⁵
	1.000	14.91	11/30/20	45.98	...	-31.07	13 of 18 - Sale ²⁵
	1.000	14.91	11/30/20	42.50	...	-27.59	14 of 18 - Sale ²⁵
	2.000	29.82	11/30/20	55.82	...	-26.00	15 of 18 - Sale ²⁵
	10.000	149.09	12/11/20	176.38	...	-27.29	16 of 18 - Sale ²⁵
	10.000	149.09	12/11/20	176.27	...	-27.18	17 of 18 - Sale ²⁵
	10.000	149.10	12/23/20	152.17	...	-3.07	18 of 18 - Sale ²⁵
12/24/20	80.000	1,192.76	Various	1,890.79	...	-698.03	Total of 18 transactions
	Security total:	9,287.49		13,889.99	3,674.69 W	-927.81	
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
12/07/20	500.000	183.79	11/27/20	194.91	...	-11.12	Sale ²⁵
	5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	1,000.000	370.48	11/27/20	385.20	14.72 W	0.00	1 of 5 - Sale ²⁵
	100.000	36.76	11/27/20	38.63	1.87 W	0.00	2 of 5 - Sale ²⁵
	100.000	36.76	11/27/20	38.63	1.87 W	0.00	3 of 5 - Sale ²⁵
	100.000	36.76	11/27/20	38.63	1.87 W	0.00	4 of 5 - Sale ²⁵

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NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol: (cont'd)							
	200.000	73.51	11/27/20	77.27	3.76 W	0.00	5 of 5 - Sale ²⁵
12/07/20	1,500.000	554.27	Various	578.36	24.09 W	0.00	Total of 5 transactions
	Security total:	738.06		773.27	24.09 W	-11.12	
NORTONLIFELOCK INC. COMMON STO CK / CUSIP: 668771108 / Symbol:							
4 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	1.000	28.17	01/13/20	26.50	...	1.67	1 of 4 - Sale ²⁵
	9.000	253.53	01/14/20	242.73	...	10.80	2 of 4 - Sale ²⁵
	10.000	281.69	01/15/20	270.20	...	11.49	3 of 4 - Sale ²⁵
	10.000	281.70	01/15/20	270.00	...	11.70	4 of 4 - Sale ²⁵
01/22/20	30.000	845.09	Various	809.43	...	35.66	Total of 4 transactions
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
7 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	20.000	142.81	01/21/20	196.75	...	-53.94	1 of 7 - Sale ²⁵
	20.000	142.80	01/21/20	196.40	...	-53.60	2 of 7 - Sale ²⁵
	10.000	71.40	01/21/20	98.62	...	-27.22	3 of 7 - Sale ²⁵
	10.000	71.40	01/21/20	97.90	...	-26.50	4 of 7 - Sale ²⁵
	10.000	71.40	01/21/20	97.80	...	-26.40	5 of 7 - Sale ²⁵
	10.000	71.40	01/21/20	96.90	...	-25.50	6 of 7 - Sale ²⁵
	20.000	142.81	01/22/20	158.15	...	-15.34	7 of 7 - Sale ²⁵
01/22/20	100.000	714.02	Various	942.52	...	-228.50	Total of 7 transactions
06/03/20	20.000	881.40	05/28/20	896.20	14.80 W	0.00	Sale ²⁵
06/04/20	4.000	176.72	06/03/20	172.11	...	4.61	Sale ²⁵
06/04/20	5.000	220.90	05/28/20	224.05	3.15 W	0.00	Sale ²⁵
8 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	50.52	06/03/20	43.03	...	7.49	1 of 8 - Sale ²⁵
	1.000	50.34	06/11/20	46.77	...	3.57	2 of 8 - Sale ²⁵
	4.000	202.07	06/11/20	185.32	...	16.75	3 of 8 - Sale ²⁵
	5.000	252.59	06/11/20	232.20	...	20.39	4 of 8 - Sale ²⁵
	9.000	446.31	06/11/20	415.26	...	31.05	5 of 8 - Sale ²⁵
	10.000	497.93	06/11/20	461.40	...	36.53	6 of 8 - Sale ²⁵
	9.000	453.04	06/11/20	415.26	...	37.78	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	10.000	503.39	06/11/20	464.40	...	38.99	8 of 8 - Sale ²⁵
06/15/20	49.000	2,456.19	Various	2,263.64	...	192.55	Total of 8 transactions
07/23/20	0.900	126.45	06/11/20	41.53	...	84.92	Sale ²⁵
08/03/20	0.100	15.18	06/11/20	4.61	...	10.57	Sale ²⁵
	Security total:	4,590.86		4,544.66	17.95 W	64.15	
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
05/22/20	2.000	710.39	05/21/20	714.84	4.45 W	0.00	Sale ²⁵
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	3.000	1,061.77	05/21/20	1,072.27	...	-10.50	1 of 2 - Sale ²⁵
	2.000	707.85	05/21/20	705.05	...	2.80	2 of 2 - Sale ²⁵
05/26/20	5.000	1,769.62	Various	1,777.32	...	-7.70	Total of 2 transactions
	Security total:	2,480.01		2,492.16	4.45 W	-7.70	
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
02/11/20	500.000	242.59	11/27/19	72.80	...	169.79	Sale ²⁵
	3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	200.000	62.98	11/27/19	29.12	...	33.86	1 of 3 - Sale ²⁵
	300.000	94.46	11/27/19	43.68	...	50.78	2 of 3 - Sale ²⁵
	500.000	157.44	02/14/20	261.00	...	-103.56	3 of 3 - Sale ²⁵
03/12/20	1,000.000	314.88	Various	333.80	...	-18.92	Total of 3 transactions
	Security total:	557.47		406.60	...	150.87	
1LIFE HEALTHCARE, INC. COMMON STOCK / CUSIP: 68269G107 / Symbol:							
02/03/20	30.000	678.58	01/31/20	599.40	...	79.18	Sale ²⁵
	2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	49.000	1,051.98	02/13/20	1,052.52	...	-0.54	1 of 2 - Sale ²⁵
	1.000	21.74	02/13/20	21.48	...	0.26	2 of 2 - Sale ²⁵
02/14/20	50.000	1,073.72	Various	1,074.00	...	-0.28	Total of 2 transactions
10/22/20	1.000	31.95	10/09/20	29.93	...	2.02	Sale ²⁵
	Security total:	1,784.25		1,703.33	...	80.92	

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OPTINOSE, INC. COMMON STOCK / CUSIP: 68404V100 / Symbol:							
14 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	4.000	35.26	01/17/20	34.00	...	1.26	1 of 14 - Sale ²⁵
	5.000	44.07	01/17/20	42.70	...	1.37	2 of 14 - Sale ²⁵
	5.000	44.07	01/17/20	42.65	...	1.42	3 of 14 - Sale ²⁵
	5.000	44.08	01/17/20	42.60	...	1.48	4 of 14 - Sale ²⁵
	5.000	44.07	01/17/20	42.55	...	1.52	5 of 14 - Sale ²⁵
	5.000	44.07	01/17/20	42.55	...	1.52	6 of 14 - Sale ²⁵
	5.000	44.08	01/17/20	42.52	...	1.56	7 of 14 - Sale ²⁵
	5.000	44.07	01/17/20	42.40	...	1.67	8 of 14 - Sale ²⁵
	10.000	88.14	01/17/20	85.40	...	2.74	9 of 14 - Sale ²⁵
	10.000	88.15	01/17/20	85.40	...	2.75	10 of 14 - Sale ²⁵
	10.000	88.15	01/17/20	85.37	...	2.78	11 of 14 - Sale ²⁵
	10.000	88.15	01/17/20	85.36	...	2.79	12 of 14 - Sale ²⁵
	10.000	88.15	01/17/20	85.35	...	2.80	13 of 14 - Sale ²⁵
	10.000	88.15	01/17/20	85.30	...	2.85	14 of 14 - Sale ²⁵
01/23/20	99.000	872.66	Various	844.15	...	28.51	Total of 14 transactions
01/30/20	1.000	8.13	01/17/20	8.50	0.37 W	0.00	Sale ²⁵
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	9.000	70.57	02/19/20	68.40	...	2.17	1 of 2 - Sale ²⁵
	39.000	305.80	02/19/20	295.62	...	10.18	2 of 2 - Sale ²⁵
02/21/20	48.000	376.37	Various	364.02	...	12.35	Total of 2 transactions
02/21/20	1.000	7.84	02/19/20	7.97	0.13 W	0.00	Sale ²⁵
03/10/20	1.000	5.37	02/19/20	7.71	...	-2.34	Sale ²⁵
	Security total:	1,270.37		1,232.35	0.50 W	38.52	
OVINTIV INC. / CUSIP: 69047Q102 / Symbol:							
08/26/20	9.000	102.02	08/21/20	98.37	...	3.65	Sale ²⁵
09/08/20	1.000	9.48	08/21/20	10.93	...	-1.45	Sale ²⁵
	Security total:	111.50		109.30	...	2.20	

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PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	49.000	103.88	08/24/20	133.28	...	-29.40	1 of 2 - Sale ²⁵
	1.000	2.12	08/24/20	2.92	...	-0.80	2 of 2 - Sale ²⁵
09/08/20	50.000	106.00	Various	136.20	...	-30.20	Total of 2 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
05/11/20	25.000	1,065.02	05/08/20	1,063.94	...	1.08	Sale ²⁵
11/18/20	0.100	10.14	09/29/20	10.12	...	0.02	Sale ²⁵
	Security total:	1,075.16		1,074.06	...	1.10	
PETROLEO BRASILEIRO S.A.-PETRO BRAS ADS (REP 1 COMMON SHARE) / CUSIP: 71654V408 / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.900	9.12	12/01/20	9.08	...	0.04	1 of 2 - Sale ²⁵
	4.000	40.54	12/01/20	40.36	...	0.18	2 of 2 - Sale ²⁵
12/02/20	4.900	49.66	Various	49.44	...	0.22	Total of 2 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	38.11	04/23/20	36.70	...	1.41	1 of 2 - Sale ²⁵
	19.000	724.17	04/23/20	697.41	...	26.76	2 of 2 - Sale ²⁵
04/27/20	20.000	762.28	Various	734.11	...	28.17	Total of 2 transactions
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	30.000	1,235.82	12/10/20	1,261.20	25.38 W	0.00	1 of 2 - Sale ²⁵
	10.000	411.94	12/10/20	420.50	8.56 W	0.00	2 of 2 - Sale ²⁵
12/11/20	40.000	1,647.76	Various	1,681.70	33.94 W	0.00	Total of 2 transactions
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	30.000	1,125.87	12/22/20	1,129.64	...	-3.77	1 of 4 - Sale ²⁵
	10.000	375.29	12/22/20	376.65	...	-1.36	2 of 4 - Sale ²⁵
	1.000	37.53	12/22/20	36.81	...	0.72	3 of 4 - Sale ²⁵
	9.000	337.76	12/22/20	331.27	...	6.49	4 of 4 - Sale ²⁵
12/23/20	50.000	1,876.45	Various	1,874.37	...	2.08	Total of 4 transactions
	Security total:	4,286.49		4,290.18	33.94 W	30.25	

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PINDUODUO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 722304102 / Symbol:							
05/21/20	1.000	58.18	03/27/20	36.20	...	21.98	Sale ²⁵
PROSHARES ULTRA BLOOMBERG CRUDE OIL / CUSIP: 74347W247 / Symbol:							
03/12/20	50.000	225.02	03/11/20	264.98	...	-39.96	Sale ²⁵
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	499.000	169.59	08/11/20	164.67	...	4.92	1 of 3 - Sale ²⁵
	300.000	101.96	08/11/20	93.00	...	8.96	2 of 3 - Sale ²⁵
	400.000	135.95	08/11/20	123.96	...	11.99	3 of 3 - Sale ²⁵
08/26/20	1,199.000	407.50	Various	381.63	...	25.87	Total of 3 transactions
5 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	0.34	08/11/20	0.39	0.05 W	0.00	1 of 5 - Sale ²⁵
	99.000	33.65	08/11/20	37.44	3.79 W	0.00	2 of 5 - Sale ²⁵
	100.000	33.99	08/11/20	37.99	4.00 W	0.00	3 of 5 - Sale ²⁵
	300.000	101.96	08/11/20	113.91	11.95 W	0.00	4 of 5 - Sale ²⁵
	300.000	101.96	08/11/20	112.23	10.27 W	0.00	5 of 5 - Sale ²⁵
08/26/20	800.000	271.90	Various	301.96	30.06 W	0.00	Total of 5 transactions
	Security total:	679.40		683.59	30.06 W	25.87	
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol:							
10 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.040	0.22	08/11/20	0.38	0.16 W	0.00	1 of 10 - Sale ²⁵
	3.960	21.47	09/03/20	31.71	10.24 W	0.00	2 of 10 - Sale ²⁵
	4.000	21.69	09/03/20	32.20	10.51 W	0.00	3 of 10 - Sale ²⁵
	12.000	65.06	09/03/20	96.55	31.49 W	0.00	4 of 10 - Sale ²⁵
	12.000	65.06	09/03/20	94.87	29.81 W	0.00	5 of 10 - Sale ²⁵
	8.000	43.37	09/03/20	56.40	13.03 W	0.00	6 of 10 - Sale ²⁵
	40.000	216.87	09/03/20	281.90	65.03 W	0.00	7 of 10 - Sale ²⁵
	20.000	108.43	09/10/20	123.43	15.00 W	0.00	8 of 10 - Sale ²⁵
	8.000	43.38	09/10/20	49.32	5.94 W	0.00	9 of 10 - Sale ²⁵
	12.000	65.06	09/17/20	77.76	12.70 W	0.00	10 of 10 - Sale ²⁵
11/18/20	120.000	650.61	Various	844.52	193.91 W	0.00	Total of 10 transactions

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REATA PHARMACEUTICALS, INC. CL ASS A COMMON STOCK / CUSIP: 75615P103 / Symbol:							
01/09/20	9.000	1,872.75	01/08/20	1,883.86	...	-11.11	Sale ²⁵
	2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.						
	10.000	2,081.05	01/08/20	2,094.02	12.97 W	0.00	1 of 2 - Sale ²⁵
	10.000	2,082.04	01/08/20	2,107.62	25.58 W	0.00	2 of 2 - Sale ²⁵
01/09/20	20.000	4,163.09	Various	4,201.64	38.55 W	0.00	Total of 2 transactions
01/13/20	1.000	199.67	01/08/20	209.32	...	-9.65	Sale ²⁵
	Security total:	6,235.51		6,294.82	38.55 W	-20.76	
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
01/03/20	1.000	14.40	12/24/19	16.20	...	-1.80	Sale ²⁵
ROCHE HOLDINGS AG BASEL AMERIC AN DEPOSITARY SHARES / CUSIP: 771195104 / Symbol:							
04/02/20	1.000	41.20	03/23/20	36.20	...	5.00	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
	2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	1.000	23.62	08/06/20	18.68	...	4.94	1 of 2 - Sale ²⁵
	3.900	92.09	08/06/20	74.98	...	17.11	2 of 2 - Sale ²⁵
08/07/20	4.900	115.71	Various	93.66	...	22.05	Total of 2 transactions
	2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.100	2.17	08/06/20	1.92	...	0.25	1 of 2 - Sale ²⁵
	6.000	130.32	09/17/20	137.76	...	-7.44	2 of 2 - Sale ²⁵
09/23/20	6.100	132.49	Various	139.68	...	-7.19	Total of 2 transactions
09/23/20	2.900	62.99	09/17/20	66.58	1.24 W	-2.35	Sale ²⁵
	2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	10.000	207.60	09/17/20	229.60	...	-22.00	1 of 2 - Sale ²⁵
	0.100	2.08	09/17/20	2.30	...	-0.22	2 of 2 - Sale ²⁵
09/28/20	10.100	209.68	Various	231.90	...	-22.22	Total of 2 transactions
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	9.000	181.48	11/11/20	187.55	...	-6.07	1 of 2 - Sale ²⁵
	9.000	181.49	11/11/20	187.55	...	-6.06	2 of 2 - Sale ²⁵
12/03/20	18.000	362.97	Various	375.10	...	-12.13	Total of 2 transactions
12/03/20	1.000	20.17	10/20/20	22.14	1.97 W	0.00	Sale ²⁵

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
Security total:		904.01		929.06	3.21 W	-21.84	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
4 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	5.000	681.26	01/07/20	692.56	11.30 W	0.00	1 of 4 - Sale ²⁵
	5.000	681.26	01/07/20	692.34	11.08 W	0.00	2 of 4 - Sale ²⁵
	5.000	678.52	01/07/20	703.37	24.85 W	0.00	3 of 4 - Sale ²⁵
	5.000	678.52	01/07/20	703.14	24.62 W	0.00	4 of 4 - Sale ²⁵
01/08/20	20.000	2,719.56	Various	2,791.41	71.85 W	0.00	Total of 4 transactions
7 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	5.000	676.67	01/09/20	663.56	...	13.11	1 of 7 - Sale ²⁵
	5.000	676.67	01/09/20	663.41	...	13.26	2 of 7 - Sale ²⁵
	4.000	533.09	01/10/20	524.68	...	8.41	3 of 7 - Sale ²⁵
	5.000	673.00	01/10/20	651.00	...	22.00	4 of 7 - Sale ²⁵
	5.000	672.68	01/10/20	650.66	...	22.02	5 of 7 - Sale ²⁵
	5.000	673.97	01/10/20	651.60	...	22.37	6 of 7 - Sale ²⁵
	5.000	674.65	01/10/20	643.14	...	31.51	7 of 7 - Sale ²⁵
01/14/20	34.000	4,580.73	Various	4,448.05	...	132.68	Total of 7 transactions
11 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	5.000	670.07	01/07/20	717.37	47.30 W	0.00	1 of 11 - Sale ²⁵
	5.000	670.07	01/08/20	696.02	25.95 W	0.00	2 of 11 - Sale ²⁵
	5.000	670.07	01/08/20	671.42	1.35 W	0.00	3 of 11 - Sale ²⁵
	5.000	670.08	01/08/20	671.42	1.34 W	0.00	4 of 11 - Sale ²⁵
	5.000	670.08	01/08/20	671.64	1.56 W	0.00	5 of 11 - Sale ²⁵
	5.000	670.07	01/08/20	671.20	1.13 W	0.00	6 of 11 - Sale ²⁵
	5.000	670.08	01/08/20	671.45	1.37 W	0.00	7 of 11 - Sale ²⁵
	5.000	670.07	01/08/20	671.33	1.26 W	0.00	8 of 11 - Sale ²⁵
	5.000	676.67	01/08/20	717.53	40.86 W	0.00	9 of 11 - Sale ²⁵
	5.000	676.67	01/09/20	689.90	13.23 W	0.00	10 of 11 - Sale ²⁵
	5.000	665.98	01/10/20	683.49	17.51 W	0.00	11 of 11 - Sale ²⁵
01/14/20	55.000	7,379.91	Various	7,532.77	152.86 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
<i>20 transactions for 01/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	129.38	01/10/20	131.17	1.79 W	0.00	1 of 20 - Sale ²⁵
	4.000	517.51	01/16/20	540.61	23.10 W	0.00	2 of 20 - Sale ²⁵
	1.000	129.38	01/16/20	134.92	5.54 W	0.00	3 of 20 - Sale ²⁵
	1.000	129.38	01/16/20	131.42	2.04 W	0.00	4 of 20 - Sale ²⁵
	3.000	388.12	01/16/20	394.26	6.14 W	0.00	5 of 20 - Sale ²⁵
	1.000	129.44	01/16/20	132.60	3.16 W	0.00	6 of 20 - Sale ²⁵
	4.000	517.75	01/16/20	546.34	28.59 W	0.00	7 of 20 - Sale ²⁵
	1.000	129.44	01/16/20	134.96	5.52 W	0.00	8 of 20 - Sale ²⁵
	1.000	129.43	01/16/20	131.46	2.03 W	0.00	9 of 20 - Sale ²⁵
	3.000	388.31	01/16/20	394.40	6.09 W	0.00	10 of 20 - Sale ²⁵
	1.000	129.39	01/16/20	132.28	2.89 W	0.00	11 of 20 - Sale ²⁵
	4.000	517.54	01/16/20	545.07	27.53 W	0.00	12 of 20 - Sale ²⁵
	1.000	129.39	01/16/20	134.52	5.13 W	0.00	13 of 20 - Sale ²⁵
	1.000	129.39	01/16/20	131.03	1.64 W	0.00	14 of 20 - Sale ²⁵
	3.000	388.15	01/16/20	393.09	4.94 W	0.00	15 of 20 - Sale ²⁵
	1.000	129.55	01/16/20	131.87	2.32 W	0.00	16 of 20 - Sale ²⁵
	4.000	518.18	01/16/20	543.45	25.27 W	0.00	17 of 20 - Sale ²⁵
	1.000	129.50	01/16/20	133.75	4.25 W	0.00	18 of 20 - Sale ²⁵
	1.000	129.49	01/16/20	130.26	0.77 W	0.00	19 of 20 - Sale ²⁵
	2.000	258.99	01/16/20	260.53	1.54 W	0.00	20 of 20 - Sale ²⁵
01/17/20	39.000	5,047.71	Various	5,207.99	160.28 W	0.00	Total of 20 transactions
01/23/20	1.000	133.30	01/16/20	130.27	...	3.03	Sale ²⁵
<i>12 transactions for 02/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	143.76	02/13/20	160.62	16.86 W	0.00	1 of 12 - Sale ²⁵
	4.000	575.03	02/13/20	636.84	61.81 W	0.00	2 of 12 - Sale ²⁵
	1.000	143.76	02/13/20	165.15	21.39 W	0.00	3 of 12 - Sale ²⁵
	1.000	143.76	02/13/20	157.95	14.19 W	0.00	4 of 12 - Sale ²⁵
	1.000	143.76	02/13/20	154.47	10.71 W	0.00	5 of 12 - Sale ²⁵
	1.000	143.76	02/13/20	154.48	10.72 W	0.00	6 of 12 - Sale ²⁵
	1.000	144.27	02/13/20	151.59	7.32 W	0.00	7 of 12 - Sale ²⁵
	4.000	577.07	02/13/20	622.35	45.28 W	0.00	8 of 12 - Sale ²⁵
	1.000	144.09	02/13/20	156.82	12.73 W	0.00	9 of 12 - Sale ²⁵
	1.000	144.09	02/13/20	153.75	9.66 W	0.00	10 of 12 - Sale ²⁵

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Account 103527271

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	144.09	02/13/20	150.27	6.18 W	0.00	11 of 12 - Sale ²⁵
	2.000	288.17	02/13/20	300.54	12.37 W	0.00	12 of 12 - Sale ²⁵
02/14/20	19.000	2,735.61	Various	2,964.83	229.22 W	0.00	Total of 12 transactions
	15 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	1.000	116.95	02/13/20	154.47	37.52 W	0.00	1 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	156.65	39.70 W	0.00	2 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	156.80	39.85 W	0.00	3 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	157.00	40.05 W	0.00	4 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	157.15	40.20 W	0.00	5 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	163.09	46.14 W	0.00	6 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	149.80	32.85 W	0.00	7 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	146.32	29.37 W	0.00	8 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	146.34	29.39 W	0.00	9 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	135.61	18.66 W	0.00	10 of 15 - Sale ²⁵
	5.000	584.74	02/14/20	677.13	92.39 W	0.00	11 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	134.69	17.74 W	0.00	12 of 15 - Sale ²⁵
	1.000	116.95	02/14/20	134.60	17.65 W	0.00	13 of 15 - Sale ²⁵
	3.000	350.84	02/14/20	404.88	54.04 W	0.00	14 of 15 - Sale ²⁵
	5.000	584.73	02/14/20	674.69	89.96 W	0.00	15 of 15 - Sale ²⁵
02/25/20	25.000	2,923.71	Various	3,549.22	625.51 W	0.00	Total of 15 transactions
	23 transactions for 02/26/20. Total proceeds and cost reported to the IRS.						
	1.000	112.78	02/14/20	174.64	61.86 W	0.00	1 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	174.78	62.00 W	0.00	2 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	174.99	62.21 W	0.00	3 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	175.13	62.35 W	0.00	4 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	172.45	59.67 W	0.00	5 of 23 - Sale ²⁵
	10.000	1,127.84	02/14/20	1,296.65	168.81 W	0.00	6 of 23 - Sale ²⁵
	2.000	225.57	02/14/20	259.60	34.03 W	0.00	7 of 23 - Sale ²⁵
	3.000	338.35	02/14/20	389.36	51.01 W	0.00	8 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	177.78	65.00 W	0.00	9 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	164.49	51.71 W	0.00	10 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	161.01	48.23 W	0.00	11 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	161.03	48.25 W	0.00	12 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	150.30	37.52 W	0.00	13 of 23 - Sale ²⁵

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(continued)

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	5.000	563.92	02/14/20	751.19	187.27 W	0.00	14 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	149.23	36.45 W	0.00	15 of 23 - Sale ²⁵
	1.000	112.78	02/14/20	149.32	36.54 W	0.00	16 of 23 - Sale ²⁵
	3.000	338.35	02/14/20	448.78	110.43 W	0.00	17 of 23 - Sale ²⁵
	5.000	563.92	02/14/20	747.85	183.93 W	0.00	18 of 23 - Sale ²⁵
	5.000	563.92	02/18/20	647.04	83.12 W	0.00	19 of 23 - Sale ²⁵
	5.000	563.92	02/18/20	627.97	64.05 W	0.00	20 of 23 - Sale ²⁵
	10.000	1,127.84	02/18/20	1,270.67	142.83 W	0.00	21 of 23 - Sale ²⁵
	5.000	563.92	02/19/20	633.05	69.13 W	0.00	22 of 23 - Sale ²⁵
	5.000	563.93	02/19/20	632.63	68.70 W	0.00	23 of 23 - Sale ²⁵
02/26/20	70.000	7,894.84	Various	9,689.94	1,795.10 W	0.00	Total of 23 transactions
29 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	1.000	109.32	02/19/20	188.36	79.04 W	0.00	1 of 29 - Sale ²⁵
	1.000	109.32	02/19/20	188.51	79.19 W	0.00	2 of 29 - Sale ²⁵
	1.000	109.32	02/19/20	188.71	79.39 W	0.00	3 of 29 - Sale ²⁵
	1.000	109.32	02/19/20	188.86	79.54 W	0.00	4 of 29 - Sale ²⁵
	1.000	109.32	02/19/20	186.17	76.85 W	0.00	5 of 29 - Sale ²⁵
	1.000	109.32	02/20/20	177.34	68.02 W	0.00	6 of 29 - Sale ²⁵
	1.000	109.32	02/20/20	173.85	64.53 W	0.00	7 of 29 - Sale ²⁵
	1.000	109.32	02/20/20	173.88	64.56 W	0.00	8 of 29 - Sale ²⁵
	1.000	109.32	02/20/20	163.14	53.82 W	0.00	9 of 29 - Sale ²⁵
	1.000	109.32	02/20/20	191.21	81.89 W	0.00	10 of 29 - Sale ²⁵
	5.000	546.58	02/20/20	798.15	251.57 W	0.00	11 of 29 - Sale ²⁵
	1.000	109.31	02/20/20	158.36	49.05 W	0.00	12 of 29 - Sale ²⁵
	1.000	109.32	02/20/20	158.45	49.13 W	0.00	13 of 29 - Sale ²⁵
	3.000	327.94	02/20/20	476.17	148.23 W	0.00	14 of 29 - Sale ²⁵
	5.000	546.58	02/20/20	793.49	246.91 W	0.00	15 of 29 - Sale ²⁵
	10.000	1,097.24	02/20/20	1,384.08	286.84 W	0.00	16 of 29 - Sale ²⁵
	2.000	219.45	02/20/20	279.01	59.56 W	0.00	17 of 29 - Sale ²⁵
	3.000	329.17	02/20/20	418.48	89.31 W	0.00	18 of 29 - Sale ²⁵
	5.000	548.62	02/20/20	695.57	146.95 W	0.00	19 of 29 - Sale ²⁵
	5.000	548.62	02/21/20	661.90	113.28 W	0.00	20 of 29 - Sale ²⁵
	5.000	548.62	02/21/20	669.26	120.64 W	0.00	21 of 29 - Sale ²⁵
	5.000	548.62	02/21/20	668.14	119.52 W	0.00	22 of 29 - Sale ²⁵

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	5.000	548.62	02/21/20	665.85	117.23 W	0.00	23 of 29 - Sale ²⁵
	1.000	109.72	02/24/20	194.26	84.54 W	0.00	24 of 29 - Sale ²⁵
	1.000	109.72	02/24/20	191.42	81.70 W	0.00	25 of 29 - Sale ²⁵
	1.000	109.73	02/24/20	191.57	81.84 W	0.00	26 of 29 - Sale ²⁵
	1.000	109.72	02/24/20	191.77	82.05 W	0.00	27 of 29 - Sale ²⁵
	1.000	109.73	02/24/20	191.92	82.19 W	0.00	28 of 29 - Sale ²⁵
	5.000	548.61	02/24/20	631.33	82.72 W	0.00	29 of 29 - Sale ²⁵
02/28/20	75.000	8,219.12	Various	11,239.21	3,020.09 W	0.00	Total of 29 transactions
	19 transactions for 03/09/20. Total proceeds and cost reported to the IRS.						
	1.000	96.57	02/24/20	180.40	83.83 W	0.00	1 of 19 - Sale ²⁵
	1.000	96.57	02/24/20	189.23	92.66 W	0.00	2 of 19 - Sale ²⁵
	1.000	96.57	02/24/20	176.90	80.33 W	0.00	3 of 19 - Sale ²⁵
	1.000	96.57	02/24/20	176.94	80.37 W	0.00	4 of 19 - Sale ²⁵
	1.000	96.57	02/24/20	166.19	69.62 W	0.00	5 of 19 - Sale ²⁵
	3.000	289.70	02/25/20	498.39	208.69 W	0.00	6 of 19 - Sale ²⁵
	2.000	193.13	02/25/20	332.19	139.06 W	0.00	7 of 19 - Sale ²⁵
	1.000	96.57	02/25/20	163.94	67.37 W	0.00	8 of 19 - Sale ²⁵
	1.000	96.57	02/25/20	164.03	67.46 W	0.00	9 of 19 - Sale ²⁵
	3.000	289.70	02/25/20	492.91	203.21 W	0.00	10 of 19 - Sale ²⁵
	5.000	482.84	02/25/20	816.13	333.29 W	0.00	11 of 19 - Sale ²⁵
	5.000	482.84	02/25/20	738.42	255.58 W	0.00	12 of 19 - Sale ²⁵
	2.000	193.13	02/26/20	286.12	92.99 W	0.00	13 of 19 - Sale ²⁵
	3.000	289.70	02/26/20	429.14	139.44 W	0.00	14 of 19 - Sale ²⁵
	5.000	482.83	02/26/20	709.81	226.98 W	0.00	15 of 19 - Sale ²⁵
	5.000	482.84	02/26/20	713.34	230.50 W	0.00	16 of 19 - Sale ²⁵
	5.000	482.84	02/26/20	679.67	196.83 W	0.00	17 of 19 - Sale ²⁵
	5.000	482.83	02/26/20	687.04	204.21 W	0.00	18 of 19 - Sale ²⁵
	5.000	482.84	02/26/20	685.91	203.07 W	0.00	19 of 19 - Sale ²⁵
03/09/20	55.000	5,311.21	Various	8,286.70	2,975.49 W	0.00	Total of 19 transactions
	19 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	5.000	411.37	02/26/20	681.73	270.36 W	0.00	1 of 19 - Sale ²⁵
	5.000	411.37	02/26/20	647.22	235.85 W	0.00	2 of 19 - Sale ²⁵
	1.000	82.27	02/26/20	196.63	114.36 W	0.00	3 of 19 - Sale ²⁵
	1.000	82.27	02/26/20	197.34	115.07 W	0.00	4 of 19 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	82.28	02/26/20	194.50	112.22 W	0.00	5 of 19 - Sale ²⁵
	1.000	82.27	02/26/20	194.64	112.37 W	0.00	6 of 19 - Sale ²⁵
	1.000	82.28	02/26/20	194.85	112.57 W	0.00	7 of 19 - Sale ²⁵
	1.000	82.27	02/26/20	194.99	112.72 W	0.00	8 of 19 - Sale ²⁵
	1.000	82.28	02/26/20	205.46	123.18 W	0.00	9 of 19 - Sale ²⁵
	1.000	82.27	02/26/20	193.13	110.86 W	0.00	10 of 19 - Sale ²⁵
	1.000	82.28	02/26/20	193.17	110.89 W	0.00	11 of 19 - Sale ²⁵
	1.000	82.27	02/26/20	182.42	100.15 W	0.00	12 of 19 - Sale ²⁵
	1.000	81.21	02/26/20	180.44	99.23 W	0.00	13 of 19 - Sale ²⁵
	1.000	81.21	02/26/20	180.54	99.33 W	0.00	14 of 19 - Sale ²⁵
	2.000	162.42	02/26/20	365.21	202.79 W	0.00	15 of 19 - Sale ²⁵
	3.000	243.62	02/26/20	547.92	304.30 W	0.00	16 of 19 - Sale ²⁵
	3.000	243.62	02/26/20	542.43	298.81 W	0.00	17 of 19 - Sale ²⁵
	5.000	406.04	02/26/20	898.65	492.61 W	0.00	18 of 19 - Sale ²⁵
	5.000	406.04	02/26/20	820.94	414.90 W	0.00	19 of 19 - Sale ²⁵
03/12/20	40.000	3,269.64	Various	6,812.21	3,542.57 W	0.00	Total of 19 transactions
	5 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	5.000	400.11	02/27/20	761.68	361.57 W	0.00	1 of 5 - Sale ²⁵
	2.000	160.04	02/28/20	309.85	149.81 W	0.00	2 of 5 - Sale ²⁵
	3.000	240.07	02/28/20	464.73	224.66 W	0.00	3 of 5 - Sale ²⁵
	5.000	400.11	02/28/20	772.65	372.54 W	0.00	4 of 5 - Sale ²⁵
	5.000	400.11	02/28/20	738.98	338.87 W	0.00	5 of 5 - Sale ²⁵
03/13/20	20.000	1,600.44	Various	3,047.89	1,447.45 W	0.00	Total of 5 transactions
	21 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	5.000	365.77	02/28/20	746.36	380.59 W	0.00	1 of 21 - Sale ²⁵
	5.000	365.77	02/28/20	745.22	379.45 W	0.00	2 of 21 - Sale ²⁵
	5.000	365.77	02/28/20	809.91	444.14 W	0.00	3 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	223.42	150.27 W	0.00	4 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	224.13	150.98 W	0.00	5 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	221.28	148.13 W	0.00	6 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	221.43	148.28 W	0.00	7 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	221.63	148.48 W	0.00	8 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	221.78	148.63 W	0.00	9 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	232.24	159.09 W	0.00	10 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	73.15	02/28/20	219.92	146.77 W	0.00	11 of 21 - Sale ²⁵
	1.000	73.15	02/28/20	219.95	146.80 W	0.00	12 of 21 - Sale ²⁵
	1.000	73.16	02/28/20	209.21	136.05 W	0.00	13 of 21 - Sale ²⁵
	2.000	146.31	02/28/20	420.92	274.61 W	0.00	14 of 21 - Sale ²⁵
	3.000	219.46	02/28/20	631.49	412.03 W	0.00	15 of 21 - Sale ²⁵
	5.000	365.78	02/28/20	781.16	415.38 W	0.00	16 of 21 - Sale ²⁵
	1.000	73.15	03/06/20	200.30	127.15 W	0.00	17 of 21 - Sale ²⁵
	1.000	73.16	03/06/20	200.40	127.24 W	0.00	18 of 21 - Sale ²⁵
	3.000	219.46	03/06/20	602.01	382.55 W	0.00	19 of 21 - Sale ²⁵
	5.000	365.78	03/10/20	991.41	625.63 W	0.00	20 of 21 - Sale ²⁵
	5.000	365.77	03/10/20	913.70	547.93 W	0.00	21 of 21 - Sale ²⁵
03/16/20	50.000	3,657.69	Various	9,257.87	5,600.18 W	0.00	Total of 21 transactions
	15 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	5.000	308.74	03/10/20	860.37	551.63 W	0.00	1 of 15 - Sale ²⁵
	2.000	123.50	03/10/20	349.33	225.83 W	0.00	2 of 15 - Sale ²⁵
	3.000	185.24	03/10/20	523.94	338.70 W	0.00	3 of 15 - Sale ²⁵
	5.000	308.74	03/10/20	871.34	562.60 W	0.00	4 of 15 - Sale ²⁵
	5.000	308.75	03/10/20	871.09	562.34 W	0.00	5 of 15 - Sale ²⁵
	5.000	303.85	03/10/20	869.95	566.10 W	0.00	6 of 15 - Sale ²⁵
	5.000	303.86	03/10/20	829.37	525.51 W	0.00	7 of 15 - Sale ²⁵
	3.000	182.44	03/10/20	558.47	376.03 W	0.00	8 of 15 - Sale ²⁵
	1.000	60.81	03/10/20	247.82	187.01 W	0.00	9 of 15 - Sale ²⁵
	1.000	60.81	03/10/20	248.53	187.72 W	0.00	10 of 15 - Sale ²⁵
	1.000	60.82	03/10/20	245.68	184.86 W	0.00	11 of 15 - Sale ²⁵
	1.000	60.81	03/10/20	245.84	185.03 W	0.00	12 of 15 - Sale ²⁵
	1.000	60.82	03/10/20	246.03	185.21 W	0.00	13 of 15 - Sale ²⁵
	1.000	60.81	03/10/20	246.19	185.38 W	0.00	14 of 15 - Sale ²⁵
	1.000	60.82	03/10/20	256.64	195.82 W	0.00	15 of 15 - Sale ²⁵
03/17/20	40.000	2,450.82	Various	7,470.59	5,019.77 W	0.00	Total of 15 transactions
	15 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	2.000	146.40	03/10/20	463.05	316.65 W	0.00	1 of 15 - Sale ²⁵
	3.000	219.60	03/10/20	694.69	475.09 W	0.00	2 of 15 - Sale ²⁵
	2.000	146.40	03/10/20	372.77	226.37 W	0.00	3 of 15 - Sale ²⁵
	1.000	73.20	03/10/20	240.99	167.79 W	0.00	4 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	73.20	03/10/20	241.02	167.82 W	0.00	5 of 15 - Sale ²⁵
	1.000	73.20	03/10/20	230.27	157.07 W	0.00	6 of 15 - Sale ²⁵
	1.000	72.96	03/10/20	177.30	104.34 W	0.00	7 of 15 - Sale ²⁵
	1.000	72.96	03/13/20	205.88	132.92 W	0.00	8 of 15 - Sale ²⁵
	4.000	291.83	03/13/20	647.24	355.41 W	0.00	9 of 15 - Sale ²⁵
	1.000	72.96	03/13/20	205.94	132.98 W	0.00	10 of 15 - Sale ²⁵
	3.000	218.87	03/13/20	618.65	399.78 W	0.00	11 of 15 - Sale ²⁵
	1.000	72.96	03/13/20	203.83	130.87 W	0.00	12 of 15 - Sale ²⁵
	4.000	291.83	03/13/20	816.05	524.22 W	0.00	13 of 15 - Sale ²⁵
	1.000	72.96	03/13/20	188.48	115.52 W	0.00	14 of 15 - Sale ²⁵
	4.000	291.83	03/13/20	748.15	456.32 W	0.00	15 of 15 - Sale ²⁵
03/19/20	30.000	2,191.16	Various	6,054.31	3,863.15 W	0.00	Total of 15 transactions
	7 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	5.000	379.21	03/13/20	937.67	558.46 W	0.00	1 of 7 - Sale ²⁵
	2.000	151.68	03/13/20	380.25	228.57 W	0.00	2 of 7 - Sale ²⁵
	3.000	227.52	03/13/20	570.32	342.80 W	0.00	3 of 7 - Sale ²⁵
	5.000	379.21	03/16/20	930.20	550.99 W	0.00	4 of 7 - Sale ²⁵
	5.000	379.20	03/16/20	933.96	554.76 W	0.00	5 of 7 - Sale ²⁵
	5.000	379.20	03/16/20	930.46	551.26 W	0.00	6 of 7 - Sale ²⁵
	5.000	379.21	03/16/20	893.36	514.15 W	0.00	7 of 7 - Sale ²⁵
03/23/20	30.000	2,275.23	Various	5,576.22	3,300.99 W	0.00	Total of 7 transactions
	19 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	1.000	84.19	03/18/20	261.32	177.13 W	0.00	1 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	262.02	177.83 W	0.00	2 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	259.17	174.98 W	0.00	3 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	259.33	175.14 W	0.00	4 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	259.52	175.33 W	0.00	5 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	259.68	175.49 W	0.00	6 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	270.13	185.94 W	0.00	7 of 19 - Sale ²⁵
	3.000	252.56	03/18/20	598.94	346.38 W	0.00	8 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	241.74	157.55 W	0.00	9 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	241.76	157.57 W	0.00	10 of 19 - Sale ²⁵
	1.000	84.19	03/18/20	231.02	146.83 W	0.00	11 of 19 - Sale ²⁵
	2.000	168.38	03/18/20	464.54	296.16 W	0.00	12 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	3.000	252.56	03/18/20	696.92	444.36 W	0.00	13 of 19 - Sale ²⁵
	2.000	168.38	03/18/20	374.26	205.88 W	0.00	14 of 19 - Sale ²⁵
	1.000	84.19	03/20/20	207.92	123.73 W	0.00	15 of 19 - Sale ²⁵
	1.000	84.19	03/20/20	207.98	123.79 W	0.00	16 of 19 - Sale ²⁵
	3.000	252.56	03/20/20	624.78	372.22 W	0.00	17 of 19 - Sale ²⁵
	1.000	84.19	03/20/20	179.34	95.15 W	0.00	18 of 19 - Sale ²⁵
	4.000	336.74	03/20/20	655.41	318.67 W	0.00	19 of 19 - Sale ²⁵
04/01/20	30.000	2,525.65	Various	6,555.78	4,030.13 W	0.00	Total of 19 transactions
	23 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	5.000	618.73	03/23/20	969.62	...	-350.89	1 of 23 - Sale ²⁵
	5.000	618.73	03/23/20	966.12	...	-347.39	2 of 23 - Sale ²⁵
	5.000	618.73	03/23/20	965.85	...	-347.12	3 of 23 - Sale ²⁵
	5.000	618.73	03/23/20	929.01	...	-310.28	4 of 23 - Sale ²⁵
	3.000	371.24	03/23/20	595.31	...	-224.07	5 of 23 - Sale ²⁵
	1.000	123.75	03/23/20	268.91	...	-145.16	6 of 23 - Sale ²⁵
	1.000	123.75	03/23/20	260.80	...	-137.05	7 of 23 - Sale ²⁵
	1.000	123.75	03/23/20	258.47	...	-134.72	8 of 23 - Sale ²⁵
	1.000	123.75	03/23/20	258.30	...	-134.55	9 of 23 - Sale ²⁵
	1.000	123.75	03/23/20	258.11	...	-134.36	10 of 23 - Sale ²⁵
	1.000	123.75	03/23/20	257.95	...	-134.20	11 of 23 - Sale ²⁵
	2.000	247.49	04/02/20	464.26	...	-216.77	12 of 23 - Sale ²⁵
	1.000	123.75	04/02/20	241.58	...	-117.83	13 of 23 - Sale ²⁵
	1.000	123.75	04/02/20	241.56	...	-117.81	14 of 23 - Sale ²⁵
	1.000	123.75	04/02/20	230.84	...	-107.09	15 of 23 - Sale ²⁵
	2.000	247.49	04/06/20	464.76	...	-217.27	16 of 23 - Sale ²⁵
	2.000	247.49	04/06/20	374.48	...	-126.99	17 of 23 - Sale ²⁵
	1.000	123.75	04/06/20	232.42	...	-108.67	18 of 23 - Sale ²⁵
	3.000	371.24	04/09/20	648.44	...	-277.20	19 of 23 - Sale ²⁵
	4.000	494.98	04/09/20	686.59	...	-191.61	20 of 23 - Sale ²⁵
	1.000	123.75	04/09/20	215.86	...	-92.11	21 of 23 - Sale ²⁵
	1.000	123.75	04/09/20	215.80	...	-92.05	22 of 23 - Sale ²⁵
	1.000	123.75	04/09/20	187.13	...	-63.38	23 of 23 - Sale ²⁵
05/07/20	49.000	6,063.60	Various	10,192.17	...	-4,128.57	Total of 23 transactions

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Account 103527271

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2020 1099-B* OMB No. 1545-0715

(continued)

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
8 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	126.84	03/20/20	206.50	79.66 W	0.00	1 of 8 - Sale ²⁵
	4.000	507.34	03/20/20	826.72	319.38 W	0.00	2 of 8 - Sale ²⁵
	1.000	126.84	03/20/20	191.15	64.31 W	0.00	3 of 8 - Sale ²⁵
	4.000	507.35	03/20/20	758.82	251.47 W	0.00	4 of 8 - Sale ²⁵
	5.000	634.18	03/20/20	935.59	301.41 W	0.00	5 of 8 - Sale ²⁵
	2.000	253.67	03/20/20	379.42	125.75 W	0.00	6 of 8 - Sale ²⁵
	3.000	380.51	03/20/20	569.08	188.57 W	0.00	7 of 8 - Sale ²⁵
	1.000	123.75	03/23/20	260.10	136.35 W	0.00	8 of 8 - Sale ²⁵
05/07/20	21.000	2,660.48	Various	4,127.38	1,466.90 W	0.00	Total of 8 transactions
5 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	4.000	431.66	05/20/20	778.25	...	-346.59	1 of 5 - Sale ²⁵
	1.000	109.11	05/20/20	179.03	...	-69.92	2 of 5 - Sale ²⁵
	4.000	436.44	05/21/20	707.99	...	-271.55	3 of 5 - Sale ²⁵
	2.000	218.22	05/22/20	340.43	...	-122.21	4 of 5 - Sale ²⁵
	2.000	218.22	05/22/20	337.15	...	-118.93	5 of 5 - Sale ²⁵
05/26/20	13.000	1,413.65	Various	2,342.85	...	-929.20	Total of 5 transactions
05/26/20	1.000	107.92	05/07/20	203.99	9.61 W	-86.46	Sale ²⁵
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	109.43	05/22/20	168.57	...	-59.14	1 of 5 - Sale ²⁵
	2.000	218.85	06/02/20	346.51	...	-127.66	2 of 5 - Sale ²⁵
	1.000	109.37	06/02/20	173.23	...	-63.86	3 of 5 - Sale ²⁵
	1.000	109.43	06/02/20	173.23	...	-63.80	4 of 5 - Sale ²⁵
	1.000	109.38	06/03/20	243.83	...	-134.45	5 of 5 - Sale ²⁵
06/08/20	6.000	656.46	Various	1,105.37	...	-448.91	Total of 5 transactions
06/11/20	1.000	109.33	06/03/20	170.35	...	-61.02	Sale ²⁵
07/24/20	0.100	15.14	06/11/20	20.50	5.36 W	0.00	Sale ²⁵
08/13/20	0.100	14.91	08/06/20	20.63	...	-5.72	Sale ²⁵
	Security total:	75,957.82		118,798.50	37,316.51 W	-5,524.17	

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(continued)

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SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol:							
24 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	10.000	11.21	01/17/20	16.60	5.39 W	0.00	1 of 24 - Sale ²⁵
	20.000	22.41	01/17/20	33.40	10.99 W	0.00	2 of 24 - Sale ²⁵
	20.000	22.41	01/17/20	32.80	10.39 W	0.00	3 of 24 - Sale ²⁵
	50.000	56.03	01/17/20	82.50	26.47 W	0.00	4 of 24 - Sale ²⁵
	50.000	56.03	01/17/20	81.50	25.47 W	0.00	5 of 24 - Sale ²⁵
	100.000	112.06	01/17/20	369.00	256.94 W	0.00	6 of 24 - Sale ²⁵
	50.000	56.03	01/17/20	83.00	26.97 W	0.00	7 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.78	2.18 W	0.00	8 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.78	2.18 W	0.00	9 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.78	2.18 W	0.00	10 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.80	2.20 W	0.00	11 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.79	2.19 W	0.00	12 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.78	2.18 W	0.00	13 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.80	2.20 W	0.00	14 of 24 - Sale ²⁵
	5.000	5.60	01/17/20	7.80	2.20 W	0.00	15 of 24 - Sale ²⁵
	10.000	11.21	01/17/20	15.65	4.44 W	0.00	16 of 24 - Sale ²⁵
	20.000	22.41	01/17/20	31.19	8.78 W	0.00	17 of 24 - Sale ²⁵
	30.000	33.62	01/17/20	46.80	13.18 W	0.00	18 of 24 - Sale ²⁵
	100.000	112.07	01/17/20	156.00	43.93 W	0.00	19 of 24 - Sale ²⁵
	100.000	112.07	01/17/20	155.80	43.73 W	0.00	20 of 24 - Sale ²⁵
	80.000	89.66	01/17/20	124.80	35.14 W	0.00	21 of 24 - Sale ²⁵
	20.000	22.42	01/17/20	31.20	8.78 W	0.00	22 of 24 - Sale ²⁵
	100.000	112.07	01/17/20	159.00	46.93 W	0.00	23 of 24 - Sale ²⁵
	100.000	112.07	01/17/20	158.99	46.92 W	0.00	24 of 24 - Sale ²⁵
01/24/20	900.000	1,008.58	Various	1,640.54	631.96 W	0.00	Total of 24 transactions
27 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	100.000	96.09	01/17/20	408.94	...	-312.85	1 of 27 - Sale ²⁵
	100.000	96.09	01/21/20	185.42	...	-89.33	2 of 27 - Sale ²⁵
	100.000	96.09	01/21/20	184.93	...	-88.84	3 of 27 - Sale ²⁵
	50.000	48.04	01/21/20	96.47	...	-48.43	4 of 27 - Sale ²⁵
	50.000	48.04	01/21/20	95.97	...	-47.93	5 of 27 - Sale ²⁵
	50.000	48.04	01/21/20	94.47	...	-46.43	6 of 27 - Sale ²⁵
	30.000	28.83	01/21/20	54.73	...	-25.90	7 of 27 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SENECA BIOPHARMA, INC. COMMON STOCK / CUSIP: 81689B103 / Symbol: (cont'd)							
	20.000	19.22	01/21/20	38.39	...	-19.17	8 of 27 - Sale ²⁵
	20.000	19.22	01/21/20	36.48	...	-17.26	9 of 27 - Sale ²⁵
	10.000	9.61	01/21/20	19.49	...	-9.88	10 of 27 - Sale ²⁵
	10.000	9.61	01/21/20	19.39	...	-9.78	11 of 27 - Sale ²⁵
	10.000	9.61	01/21/20	18.29	...	-8.68	12 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.75	...	-4.95	13 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.75	...	-4.95	14 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.13	...	-4.33	15 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.12	...	-4.32	16 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.12	...	-4.32	17 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.12	...	-4.32	18 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.11	...	-4.31	19 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.11	...	-4.31	20 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.10	...	-4.30	21 of 27 - Sale ²⁵
	5.000	4.80	01/21/20	9.10	...	-4.30	22 of 27 - Sale ²⁵
	54.000	51.89	01/22/20	92.73	...	-40.84	23 of 27 - Sale ²⁵
	46.000	44.20	01/22/20	79.00	...	-34.80	24 of 27 - Sale ²⁵
	100.000	96.09	01/23/20	163.81	...	-67.72	25 of 27 - Sale ²⁵
	80.000	76.88	01/23/20	130.18	...	-53.30	26 of 27 - Sale ²⁵
	20.000	19.22	01/23/20	32.54	...	-13.32	27 of 27 - Sale ²⁵
01/27/20	900.000	864.77	Various	1,843.64	...	-978.87	Total of 27 transactions
	Security total:	1,873.35		3,484.18	631.96 W	-978.87	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	0.250	182.98	06/04/20	187.40	...	-4.42	1 of 2 - Sale ²⁵
	0.250	182.97	06/04/20	183.19	...	-0.22	2 of 2 - Sale ²⁵
06/11/20	0.500	365.95	Various	370.59	...	-4.64	Total of 2 transactions
4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	0.010	11.04	07/21/20	10.00	...	1.04	1 of 4 - Sale ²⁵
	0.100	105.33	07/23/20	92.46	...	12.87	2 of 4 - Sale ²⁵
	0.010	11.18	07/28/20	10.31	...	0.87	3 of 4 - Sale ²⁵
	0.890	936.95	07/28/20	875.04	...	61.91	4 of 4 - Sale ²⁵
07/30/20	1.010	1,064.50	Various	987.81	...	76.69	Total of 4 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
11/02/20	1.000	932.77	10/30/20	934.05	...	-1.28	Sale ²⁵
	Security total:	2,363.22		2,292.45	...	70.77	
SIERRA ONCOLOGY, INC. COMMON S TOCK / CUSIP: 82640U404 / Symbol:							
<i>7 transactions for 01/23/20. Total proceeds and cost reported to the IRS.</i>							
	2.500	32.61	11/29/19	29.50	...	3.11	1 of 7 - Sale ²⁵
	2.500	32.61	11/29/19	29.44	...	3.17	2 of 7 - Sale ²⁵
	1.775	23.16	12/16/19	22.67	...	0.49	3 of 7 - Sale ²⁵
	2.500	32.61	12/16/19	31.94	...	0.67	4 of 7 - Sale ²⁵
	3.225	42.07	12/16/19	41.23	...	0.84	5 of 7 - Sale ²⁵
	5.000	65.23	12/23/19	65.64	...	-0.41	6 of 7 - Sale ²⁵
	2.500	32.61	12/23/19	32.82	...	-0.21	7 of 7 - Sale ²⁵
01/23/20	20.000	260.90	Various	253.24	...	7.66	Total of 7 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
02/11/20	1.000	24.61	02/10/20	25.28	...	-0.67	Sale ²⁵
<i>10 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	157.45	06/04/20	167.80	...	-10.35	1 of 10 - Sale ²⁵
	5.000	157.45	06/04/20	165.00	...	-7.55	2 of 10 - Sale ²⁵
	5.000	157.45	06/04/20	163.75	...	-6.30	3 of 10 - Sale ²⁵
	4.000	125.96	06/04/20	131.60	...	-5.64	4 of 10 - Sale ²⁵
	5.000	157.45	06/04/20	161.10	...	-3.65	5 of 10 - Sale ²⁵
	5.000	157.46	06/04/20	160.00	...	-2.54	6 of 10 - Sale ²⁵
	2.000	62.98	06/04/20	64.80	...	-1.82	7 of 10 - Sale ²⁵
	4.000	125.96	06/04/20	127.00	...	-1.04	8 of 10 - Sale ²⁵
	2.000	62.98	06/04/20	64.00	...	-1.02	9 of 10 - Sale ²⁵
	1.000	31.49	06/04/20	32.40	...	-0.91	10 of 10 - Sale ²⁵
06/11/20	38.000	1,196.63	Various	1,237.45	...	-40.82	Total of 10 transactions
06/11/20	1.000	31.49	06/02/20	38.18	6.69 W	0.00	Sale ²⁵
07/06/20	1.000	31.32	06/04/20	38.44	...	-7.12	Sale ²⁵
	Security total:	1,284.05		1,339.35	6.69 W	-48.61	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	50.000	455.48	02/25/20	567.76	...	-112.28	1 of 2 - Sale ²⁵
	50.000	455.48	02/25/20	564.25	...	-108.77	2 of 2 - Sale ²⁵
02/25/20	100.000	910.96	Various	1,132.01	...	-221.05	Total of 2 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	149.83	05/14/20	133.92	...	15.91	1 of 2 - Sale ²⁵
	20.000	149.83	05/14/20	133.90	...	15.93	2 of 2 - Sale ²⁵
06/11/20	40.000	299.66	Various	267.82	...	31.84	Total of 2 transactions
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	7.49	05/13/20	7.59	0.10 W	0.00	1 of 5 - Sale ²⁵
	9.000	67.42	05/13/20	68.04	0.62 W	0.00	2 of 5 - Sale ²⁵
	10.000	74.92	05/13/20	75.60	0.68 W	0.00	3 of 5 - Sale ²⁵
	5.000	37.46	05/13/20	37.85	0.39 W	0.00	4 of 5 - Sale ²⁵
	5.000	37.46	05/13/20	37.63	0.17 W	0.00	5 of 5 - Sale ²⁵
06/11/20	30.000	224.75	Various	226.71	1.96 W	0.00	Total of 5 transactions
8 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	8.85	05/14/20	6.80	...	2.05	1 of 8 - Sale ²⁵
	4.000	35.40	05/14/20	27.06	...	8.34	2 of 8 - Sale ²⁵
	5.000	44.25	06/24/20	36.08	...	8.17	3 of 8 - Sale ²⁵
	5.000	44.25	06/24/20	36.03	...	8.22	4 of 8 - Sale ²⁵
	5.000	44.25	06/24/20	35.86	...	8.39	5 of 8 - Sale ²⁵
	10.000	88.50	06/24/20	72.06	...	16.44	6 of 8 - Sale ²⁵
	20.000	176.99	06/24/20	142.76	...	34.23	7 of 8 - Sale ²⁵
	20.000	176.98	06/25/20	141.80	...	35.18	8 of 8 - Sale ²⁵
07/13/20	70.000	619.47	Various	498.45	...	121.02	Total of 8 transactions
08/12/20	5.000	46.90	06/25/20	35.45	...	11.45	Sale ²⁵
	Security total:	2,101.74		2,160.44	1.96 W	-56.74	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	216.44	09/16/20	403.93	...	-187.49	1 of 2 - Sale ²⁵
	1.000	216.44	09/16/20	263.63	...	-47.19	2 of 2 - Sale ²⁵
09/23/20	2.000	432.88	Various	667.56	...	-234.68	Total of 2 transactions

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: (cont'd)							
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.000	486.90	09/16/20	607.98	121.08 W	0.00	1 of 4 - Sale ²⁵
	2.000	472.00	09/16/20	715.86	243.86 W	0.00	2 of 4 - Sale ²⁵
	1.000	216.44	09/16/20	297.39	80.95 W	0.00	3 of 4 - Sale ²⁵
	1.000	216.44	09/16/20	400.82	184.38 W	0.00	4 of 4 - Sale ²⁵
09/23/20	6.000	1,391.78	Various	2,022.05	630.27 W	0.00	Total of 4 transactions
3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	0.100	24.83	09/29/20	33.44	...	-8.61	1 of 3 - Sale ²⁵
	0.900	223.47	10/02/20	289.17	...	-65.70	2 of 3 - Sale ²⁵
	0.900	223.46	10/05/20	378.12	...	-154.66	3 of 3 - Sale ²⁵
10/20/20	1.900	471.76	Various	700.73	...	-228.97	Total of 3 transactions
12/23/20	0.100	33.56	10/05/20	42.01	...	-8.45	Sale ²⁵
	Security total:	2,329.98		3,432.35	630.27 W	-472.10	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	10.000	47.71	05/20/20	46.80	...	0.91	1 of 3 - Sale ²⁵
	20.000	95.43	05/20/20	93.77	...	1.66	2 of 3 - Sale ²⁵
	25.000	117.50	06/03/20	111.75	...	5.75	3 of 3 - Sale ²⁵
06/11/20	55.000	260.64	Various	252.32	...	8.32	Total of 3 transactions
17 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	4.77	05/15/20	7.12	2.35 W	0.00	1 of 17 - Sale ²⁵
	10.000	47.71	05/18/20	66.80	19.09 W	0.00	2 of 17 - Sale ²⁵
	9.000	42.94	05/19/20	47.14	4.20 W	0.00	3 of 17 - Sale ²⁵
	50.000	238.56	05/21/20	261.44	22.88 W	0.00	4 of 17 - Sale ²⁵
	1.000	4.70	05/28/20	7.33	2.63 W	0.00	5 of 17 - Sale ²⁵
	4.000	18.80	05/28/20	27.55	8.75 W	0.00	6 of 17 - Sale ²⁵
	6.000	28.20	05/28/20	41.33	13.13 W	0.00	7 of 17 - Sale ²⁵
	9.000	42.30	05/28/20	49.01	6.71 W	0.00	8 of 17 - Sale ²⁵
	30.000	141.00	05/28/20	163.09	22.09 W	0.00	9 of 17 - Sale ²⁵
	1.000	4.70	06/03/20	7.10	2.40 W	0.00	10 of 17 - Sale ²⁵
	4.000	18.80	06/03/20	26.63	7.83 W	0.00	11 of 17 - Sale ²⁵
	20.000	94.00	06/03/20	98.55	4.55 W	0.00	12 of 17 - Sale ²⁵

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	4.70	06/03/20	6.48	1.78 W	0.00	13 of 17 - Sale ²⁵
	4.000	18.80	06/03/20	24.15	5.35 W	0.00	14 of 17 - Sale ²⁵
	6.000	28.20	06/03/20	37.61	9.41 W	0.00	15 of 17 - Sale ²⁵
	9.000	42.30	06/03/20	43.43	1.13 W	0.00	16 of 17 - Sale ²⁵
	30.000	141.00	06/03/20	144.49	3.49 W	0.00	17 of 17 - Sale ²⁵
06/11/20	195.000	921.48	Various	1,059.25	137.77 W	0.00	Total of 17 transactions
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	20.000	94.80	06/03/20	86.15	...	8.65	1 of 2 - Sale ²⁵
	30.000	142.20	06/03/20	122.40	...	19.80	2 of 2 - Sale ²⁵
06/17/20	50.000	237.00	Various	208.55	...	28.45	Total of 2 transactions
	5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	4.74	06/11/20	6.55	1.81 W	0.00	1 of 5 - Sale ²⁵
	4.000	18.96	06/11/20	24.43	5.47 W	0.00	2 of 5 - Sale ²⁵
	6.000	28.44	06/11/20	38.03	9.59 W	0.00	3 of 5 - Sale ²⁵
	9.000	42.66	06/11/20	44.06	1.40 W	0.00	4 of 5 - Sale ²⁵
	30.000	142.19	06/11/20	146.59	4.40 W	0.00	5 of 5 - Sale ²⁵
06/17/20	50.000	236.99	Various	259.66	22.67 W	0.00	Total of 5 transactions
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	9.000	52.47	06/11/20	44.51	...	7.96	1 of 3 - Sale ²⁵
	30.000	174.90	06/11/20	148.10	...	26.80	2 of 3 - Sale ²⁵
	49.000	285.66	06/11/20	234.71	...	50.95	3 of 3 - Sale ²⁵
06/29/20	88.000	513.03	Various	427.32	...	85.71	Total of 3 transactions
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	5.83	06/11/20	6.60	0.77 W	0.00	1 of 3 - Sale ²⁵
	4.000	23.32	06/11/20	24.63	1.31 W	0.00	2 of 3 - Sale ²⁵
	6.000	34.98	06/11/20	38.33	3.35 W	0.00	3 of 3 - Sale ²⁵
06/29/20	11.000	64.13	Various	69.56	5.43 W	0.00	Total of 3 transactions
07/17/20	1.000	6.95	06/11/20	4.79	...	2.16	Sale ²⁵
	4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	6.94	07/07/20	8.15	1.21 W	0.00	1 of 4 - Sale ²⁵
	4.000	27.78	07/07/20	30.83	3.05 W	0.00	2 of 4 - Sale ²⁵
	6.000	41.67	07/07/20	47.63	5.96 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
07/17/20	38.000	263.91	07/07/20	280.44	16.53 W	0.00	4 of 4 - Sale ²⁵
	49.000	340.30	Various	367.05	26.75 W	0.00	Total of 4 transactions
	6 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	11.21	07/15/20	7.95	...	3.26	1 of 6 - Sale ²⁵
	4.000	44.84	07/15/20	30.01	...	14.83	2 of 6 - Sale ²⁵
	6.000	67.26	07/15/20	46.40	...	20.86	3 of 6 - Sale ²⁵
	19.000	212.98	07/15/20	136.33	...	76.65	4 of 6 - Sale ²⁵
	1.000	11.21	07/16/20	6.25	...	4.96	5 of 6 - Sale ²⁵
	19.000	212.98	07/16/20	127.11	...	85.87	6 of 6 - Sale ²⁵
08/12/20	50.000	560.48	Various	354.05	...	206.43	Total of 6 transactions
	4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	6.23	08/12/20	11.21	4.98 W	0.00	1 of 4 - Sale ²⁵
	49.000	305.39	08/19/20	579.18	273.79 W	0.00	2 of 4 - Sale ²⁵
	30.000	186.97	08/19/20	326.70	139.73 W	0.00	3 of 4 - Sale ²⁵
	20.000	124.65	08/21/20	210.20	85.55 W	0.00	4 of 4 - Sale ²⁵
09/11/20	100.000	623.24	Various	1,127.29	504.05 W	0.00	Total of 4 transactions
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	100.000	737.98	09/04/20	635.87	...	102.11	1 of 2 - Sale ²⁵
	100.000	737.99	09/04/20	591.56	...	146.43	2 of 2 - Sale ²⁵
09/15/20	200.000	1,475.97	Various	1,227.43	...	248.54	Total of 2 transactions
	11 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	7.38	09/03/20	12.24	4.86 W	0.00	1 of 11 - Sale ²⁵
	49.000	361.62	09/03/20	629.53	267.91 W	0.00	2 of 11 - Sale ²⁵
	30.000	221.40	09/03/20	357.53	136.13 W	0.00	3 of 11 - Sale ²⁵
	20.000	147.60	09/03/20	230.75	83.15 W	0.00	4 of 11 - Sale ²⁵
	25.000	179.74	09/04/20	225.04	5.44 W	-39.86	5 of 11 - Sale ²⁵
	1.000	7.36	09/04/20	10.78	3.42 W	0.00	6 of 11 - Sale ²⁵
	30.000	220.81	09/04/20	313.60	92.79 W	0.00	7 of 11 - Sale ²⁵
	49.000	360.65	09/04/20	557.77	197.12 W	0.00	8 of 11 - Sale ²⁵
	20.000	147.20	09/04/20	201.46	54.26 W	0.00	9 of 11 - Sale ²⁵
	1.000	7.19	09/04/20	9.33	2.14 W	0.00	10 of 11 - Sale ²⁵
	49.000	352.30	09/04/20	486.65	134.35 W	0.00	11 of 11 - Sale ²⁵
09/15/20	275.000	2,013.25	Various	3,034.68	981.57 W	-39.86	Total of 11 transactions

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	5.000	47.90	09/04/20	45.01	...	2.89	1 of 3 - Sale ²⁵
	20.000	191.61	09/04/20	172.43	...	19.18	2 of 3 - Sale ²⁵
	1.000	9.58	09/15/20	9.16	...	0.42	3 of 3 - Sale ²⁵
09/23/20	26.000	249.09	Various	226.60	...	22.49	Total of 3 transactions
09/23/20	3.000	28.74	09/15/20	29.28	0.54 W	0.00	Sale ²⁵
10/02/20	1.000	10.36	09/15/20	9.76	...	0.60	Sale ²⁵
10/02/20	8.000	82.88	10/01/20	108.66	25.78 W	0.00	Sale ²⁵
30 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	6.63	10/01/20	13.58	6.95 W	0.00	1 of 30 - Sale ²⁵
	24.000	159.20	10/13/20	310.60	151.40 W	0.00	2 of 30 - Sale ²⁵
	10.000	66.34	10/13/20	128.22	61.88 W	0.00	3 of 30 - Sale ²⁵
	2.000	13.27	10/13/20	25.68	12.41 W	0.00	4 of 30 - Sale ²⁵
	3.000	19.90	10/13/20	35.74	15.84 W	0.00	5 of 30 - Sale ²⁵
	3.000	19.90	10/16/20	29.58	9.68 W	0.00	6 of 30 - Sale ²⁵
	7.000	46.43	10/16/20	90.32	43.89 W	0.00	7 of 30 - Sale ²⁵
	1.000	6.63	10/20/20	11.66	5.03 W	0.00	8 of 30 - Sale ²⁵
	49.000	325.05	10/20/20	413.43	88.38 W	0.00	9 of 30 - Sale ²⁵
	1.000	6.63	10/20/20	15.40	8.77 W	0.00	10 of 30 - Sale ²⁵
	5.000	33.16	10/20/20	73.18	40.02 W	0.00	11 of 30 - Sale ²⁵
	24.000	159.14	10/20/20	354.14	195.00 W	0.00	12 of 30 - Sale ²⁵
	3.000	19.89	10/20/20	35.02	15.13 W	0.00	13 of 30 - Sale ²⁵
	2.000	13.26	10/20/20	29.31	16.05 W	0.00	14 of 30 - Sale ²⁵
	3.000	19.89	10/20/20	41.19	21.30 W	0.00	15 of 30 - Sale ²⁵
	5.000	33.16	10/20/20	73.18	40.02 W	0.00	16 of 30 - Sale ²⁵
	7.000	46.42	10/20/20	103.03	56.61 W	0.00	17 of 30 - Sale ²⁵
	1.000	6.63	10/21/20	13.15	6.52 W	0.00	18 of 30 - Sale ²⁵
	49.000	324.92	10/21/20	486.01	161.09 W	0.00	19 of 30 - Sale ²⁵
	1.000	6.63	10/22/20	16.78	10.15 W	0.00	20 of 30 - Sale ²⁵
	19.000	125.99	10/22/20	306.55	180.56 W	0.00	21 of 30 - Sale ²⁵
	3.000	19.89	10/30/20	35.70	15.81 W	0.00	22 of 30 - Sale ²⁵
	2.000	13.26	10/30/20	29.76	16.50 W	0.00	23 of 30 - Sale ²⁵
	3.000	19.89	10/30/20	41.86	21.97 W	0.00	24 of 30 - Sale ²⁵
	5.000	33.16	10/30/20	74.90	41.74 W	0.00	25 of 30 - Sale ²⁵

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	33.15	10/30/20	74.29	41.14 W	0.00	26 of 30 - Sale ²⁵
	5.000	33.16	10/30/20	74.29	41.13 W	0.00	27 of 30 - Sale ²⁵
	7.000	46.42	10/30/20	104.60	58.18 W	0.00	28 of 30 - Sale ²⁵
	1.000	6.63	11/09/20	12.55	5.92 W	0.00	29 of 30 - Sale ²⁵
	49.000	324.92	11/09/20	456.56	131.64 W	0.00	30 of 30 - Sale ²⁵
11/11/20	300.000	1,989.55	Various	3,510.26	1,520.71 W	0.00	Total of 30 transactions
	22 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	6.92	11/09/20	15.98	9.06 W	0.00	1 of 22 - Sale ²⁵
	19.000	131.52	11/09/20	291.29	159.77 W	0.00	2 of 22 - Sale ²⁵
	3.000	20.77	11/09/20	33.29	12.52 W	0.00	3 of 22 - Sale ²⁵
	2.000	13.84	11/09/20	28.16	14.32 W	0.00	4 of 22 - Sale ²⁵
	3.000	20.77	11/09/20	39.45	18.68 W	0.00	5 of 22 - Sale ²⁵
	5.000	34.61	11/09/20	70.88	36.27 W	0.00	6 of 22 - Sale ²⁵
	5.000	34.61	11/09/20	70.28	35.67 W	0.00	7 of 22 - Sale ²⁵
	5.000	34.61	11/09/20	70.27	35.66 W	0.00	8 of 22 - Sale ²⁵
	7.000	48.46	11/09/20	98.98	50.52 W	0.00	9 of 22 - Sale ²⁵
	1.000	6.92	11/09/20	11.75	4.83 W	0.00	10 of 22 - Sale ²⁵
	49.000	339.19	11/09/20	417.22	78.03 W	0.00	11 of 22 - Sale ²⁵
	1.000	6.91	11/09/20	14.97	8.06 W	0.00	12 of 22 - Sale ²⁵
	19.000	131.34	11/09/20	272.06	140.72 W	0.00	13 of 22 - Sale ²⁵
	3.000	20.74	11/09/20	30.25	9.51 W	0.00	14 of 22 - Sale ²⁵
	2.000	13.83	11/09/20	26.14	12.31 W	0.00	15 of 22 - Sale ²⁵
	3.000	20.74	11/09/20	36.41	15.67 W	0.00	16 of 22 - Sale ²⁵
	5.000	34.56	11/09/20	65.82	31.26 W	0.00	17 of 22 - Sale ²⁵
	5.000	34.56	11/09/20	65.22	30.66 W	0.00	18 of 22 - Sale ²⁵
	5.000	34.56	11/09/20	65.21	30.65 W	0.00	19 of 22 - Sale ²⁵
	7.000	48.39	11/09/20	91.89	43.50 W	0.00	20 of 22 - Sale ²⁵
	1.000	6.91	11/09/20	10.74	3.83 W	0.00	21 of 22 - Sale ²⁵
	49.000	338.74	11/09/20	367.62	28.88 W	0.00	22 of 22 - Sale ²⁵
11/24/20	200.000	1,383.50	Various	2,193.88	810.38 W	0.00	Total of 22 transactions
	9 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	7.09	11/10/20	13.31	6.22 W	0.00	1 of 9 - Sale ²⁵
	19.000	134.61	11/10/20	240.47	105.86 W	0.00	2 of 9 - Sale ²⁵
	3.000	21.26	11/10/20	25.26	4.00 W	0.00	3 of 9 - Sale ²⁵

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	14.17	11/10/20	22.81	8.64 W	0.00	4 of 9 - Sale ²⁵
	3.000	21.26	11/10/20	31.42	10.16 W	0.00	5 of 9 - Sale ²⁵
	5.000	35.42	11/10/20	57.51	22.09 W	0.00	6 of 9 - Sale ²⁵
	5.000	35.42	11/10/20	56.91	21.49 W	0.00	7 of 9 - Sale ²⁵
	5.000	35.43	11/10/20	56.90	21.47 W	0.00	8 of 9 - Sale ²⁵
	7.000	49.59	11/10/20	80.25	30.66 W	0.00	9 of 9 - Sale ²⁵
12/15/20	50.000	354.25	Various	584.84	230.59 W	0.00	Total of 9 transactions
	Security total:	11,351.83		15,055.23	4,266.24 W	562.84	
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
08/13/20	1.000	3.71	08/11/20	4.04	...	-0.33	Sale ²⁵
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
02/18/20	9.000	1,552.44	02/13/20	1,526.30	...	26.14	Sale ²⁵
	3 transactions for 02/24/20. Total proceeds and cost reported to the IRS.						
	4.000	638.74	02/21/20	662.02	...	-23.28	1 of 3 - Sale ²⁵
	4.000	640.43	02/21/20	662.03	...	-21.60	2 of 3 - Sale ²⁵
	1.000	159.69	02/21/20	174.99	...	-15.30	3 of 3 - Sale ²⁵
02/24/20	9.000	1,438.86	Various	1,499.04	...	-60.18	Total of 3 transactions
02/24/20	1.000	160.11	02/13/20	169.59	9.48 W	0.00	Sale ²⁵
	Security total:	3,151.41		3,194.93	9.48 W	-34.04	
STORE CAPITAL CORPORATION / CUSIP: 862121100 / Symbol:							
06/01/20	1.000	20.32	05/20/20	18.47	...	1.85	Sale ²⁵
TAKEDA PHARMACEUTICAL COMPANY LIMITED AMERICAN DEPOSITARY SH ARES (EACH REPRESENTING 1/2 OF / CUSIP: 874060205 / Symbol:							
03/06/20	10.000	178.22	03/05/20	179.70	...	-1.48	Sale ²⁵
	2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	39.000	700.12	03/05/20	700.83	...	-0.71	1 of 2 - Sale ²⁵
	1.000	17.95	03/05/20	17.97	...	-0.02	2 of 2 - Sale ²⁵
03/10/20	40.000	718.07	Various	718.80	...	-0.73	Total of 2 transactions
	Security total:	896.29		898.50	...	-2.21	

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	0.004	0.68	03/23/20	0.65	...	0.03	1 of 4 - Sale ²⁵
	0.064	9.73	03/23/20	9.35	...	0.38	2 of 4 - Sale ²⁵
	1.000	152.80	03/23/20	149.29	...	3.51	3 of 4 - Sale ²⁵
	0.932	142.39	03/25/20	126.90	...	15.49	4 of 4 - Sale ²⁵
04/14/20	2.000	305.60	Various	286.19	...	19.41	Total of 4 transactions
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	0.068	11.55	03/25/20	9.28	...	2.27	1 of 5 - Sale ²⁵
	0.004	0.62	04/30/20	0.60	...	0.02	2 of 5 - Sale ²⁵
	0.396	67.19	04/30/20	65.68	...	1.51	3 of 5 - Sale ²⁵
	1.000	169.51	05/26/20	165.99	...	3.52	4 of 5 - Sale ²⁵
	0.992	168.13	05/27/20	151.53	...	16.60	5 of 5 - Sale ²⁵
05/28/20	2.460	417.00	Various	393.08	...	23.92	Total of 5 transactions
05/28/20	0.540	91.53	05/18/20	93.21	1.68 W	0.00	Sale ²⁵
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.008	1.81	05/27/20	1.27	...	0.54	1 of 4 - Sale ²⁵
	0.532	118.48	06/04/20	85.24	...	33.24	2 of 4 - Sale ²⁵
	1.468	327.07	06/04/20	230.73	...	96.34	3 of 4 - Sale ²⁵
	1.992	443.74	06/04/20	312.78	...	130.96	4 of 4 - Sale ²⁵
07/22/20	4.000	891.10	Various	630.02	...	261.08	Total of 4 transactions
09/08/20	0.008	1.58	06/04/20	1.28	...	0.30	Sale ²⁵
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	193.48	08/05/20	214.27	20.79 W	0.00	1 of 2 - Sale ²⁵
	1.992	385.39	08/06/20	418.83	33.44 W	0.00	2 of 2 - Sale ²⁵
09/08/20	2.992	578.87	Various	633.10	54.23 W	0.00	Total of 2 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	202.05	08/07/20	194.27	...	7.78	1 of 2 - Sale ²⁵
	1.000	202.06	08/07/20	192.93	...	9.13	2 of 2 - Sale ²⁵
09/18/20	2.000	404.11	Various	387.20	...	16.91	Total of 2 transactions
8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.008	405.75	08/06/20	422.25	16.50 W	0.00	1 of 8 - Sale ²⁵
	0.400	80.82	08/13/20	85.95	5.13 W	0.00	2 of 8 - Sale ²⁵

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: (cont'd)							
	0.500	101.03	08/13/20	107.68	6.65 W	0.00	3 of 8 - Sale ²⁵
	0.020	4.04	08/13/20	4.31	0.27 W	0.00	4 of 8 - Sale ²⁵
	0.020	4.04	08/13/20	4.26	0.22 W	0.00	5 of 8 - Sale ²⁵
	0.020	4.04	08/13/20	4.28	0.24 W	0.00	6 of 8 - Sale ²⁵
	0.001	0.20	08/13/20	0.21	0.01 W	0.00	7 of 8 - Sale ²⁵
	0.031	6.24	08/13/20	6.58	0.34 W	0.00	8 of 8 - Sale ²⁵
09/18/20	3.000	606.16	Various	635.52	29.36 W	0.00	Total of 8 transactions
	6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.992	414.99	09/03/20	438.26	...	-23.27	1 of 6 - Sale ²⁵
	0.008	1.70	09/03/20	1.83	...	-0.13	2 of 6 - Sale ²⁵
	1.000	208.35	09/04/20	202.81	...	5.54	3 of 6 - Sale ²⁵
	0.500	104.17	09/14/20	103.24	...	0.93	4 of 6 - Sale ²⁵
	0.400	83.34	09/14/20	82.40	...	0.94	5 of 6 - Sale ²⁵
	1.000	208.34	09/14/20	201.32	...	7.02	6 of 6 - Sale ²⁵
09/23/20	4.900	1,020.89	Various	1,029.86	...	-8.97	Total of 6 transactions
	6 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	0.001	0.22	09/14/20	0.20	...	0.02	1 of 6 - Sale ²⁵
	0.008	1.82	09/14/20	1.64	...	0.18	2 of 6 - Sale ²⁵
	0.020	4.47	09/14/20	4.13	...	0.34	3 of 6 - Sale ²⁵
	0.020	4.47	09/14/20	4.10	...	0.37	4 of 6 - Sale ²⁵
	0.020	4.47	09/14/20	4.09	...	0.38	5 of 6 - Sale ²⁵
	0.031	6.90	09/14/20	6.31	...	0.59	6 of 6 - Sale ²⁵
10/28/20	0.100	22.35	Various	20.47	...	1.88	Total of 6 transactions
11/30/20	9.000	1,731.90	11/24/20	1,674.70	...	57.20	Sale ²⁵
12/04/20	0.900	181.62	11/24/20	167.47	...	14.15	Sale ²⁵
	2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.100	19.53	11/24/20	18.61	...	0.92	1 of 2 - Sale ²⁵
	1.900	371.11	12/09/20	366.48	...	4.63	2 of 2 - Sale ²⁵
12/15/20	2.000	390.64	Various	385.09	...	5.55	Total of 2 transactions
12/16/20	3.000	557.50	12/09/20	578.66	6.35 W	-14.81	Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: (cont'd)							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	0.100	21.20	12/09/20	19.29	...	1.91	1 of 2 - Sale ²⁵
	0.800	169.64	12/09/20	159.56	...	10.08	2 of 2 - Sale ²⁵
12/23/20	0.900	190.84	Various	178.85	...	11.99	Total of 2 transactions
	Security total:	7,391.69		7,094.70	91.62 W	388.61	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/30/20	3.000	1,921.69	01/29/20	1,740.14	...	181.55	Sale ²⁵
2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	635.96	01/29/20	581.00	...	54.96	1 of 2 - Sale ²⁵
	2.000	1,273.97	01/29/20	1,162.00	...	111.97	2 of 2 - Sale ²⁵
01/31/20	3.000	1,909.93	Various	1,743.00	...	166.93	Total of 2 transactions
4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	0.000	0.12	03/19/20	0.07	...	0.05	1 of 4 - Sale ²⁵
	0.023	18.65	03/19/20	9.93	...	8.72	2 of 4 - Sale ²⁵
	0.500	404.87	04/28/20	388.23	...	16.64	3 of 4 - Sale ²⁵
	0.400	323.89	05/08/20	321.07	...	2.82	4 of 4 - Sale ²⁵
05/21/20	0.923	747.53	Various	719.30	...	28.23	Total of 4 transactions
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.800	1,454.25	05/26/20	1,475.27	11.68 W	-9.34	1 of 2 - Sale ²⁵
	1.000	815.57	05/26/20	819.59	4.02 W	0.00	2 of 2 - Sale ²⁵
05/27/20	2.800	2,269.82	Various	2,294.86	15.70 W	-9.34	Total of 2 transactions
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	0.200	163.58	05/26/20	163.92	...	-0.34	1 of 3 - Sale ²⁵
	0.800	654.33	05/27/20	665.80	...	-11.47	2 of 3 - Sale ²⁵
	1.000	817.92	05/27/20	824.60	...	-6.68	3 of 3 - Sale ²⁵
05/28/20	2.000	1,635.83	Various	1,654.32	...	-18.49	Total of 3 transactions
06/01/20	0.200	175.76	05/27/20	166.45	...	9.31	Sale ²⁵
07/13/20	1.000	1,566.41	07/09/20	1,381.56	...	184.85	Sale ²⁵
4 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	0.250	406.74	07/22/20	397.60	...	9.14	1 of 4 - Sale ²⁵
	0.200	325.39	07/22/20	315.15	...	10.24	2 of 4 - Sale ²⁵
	0.250	406.74	07/22/20	395.93	...	10.81	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.300	488.08	07/22/20	472.98	...	15.10	4 of 4 - Sale ²⁵
07/23/20	1.000	1,626.95	Various	1,581.66	...	45.29	Total of 4 transactions
08/06/20	0.100	148.87	08/05/20	148.36	...	0.51	Sale ²⁵
	5 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	0.500	174.21	09/02/20	210.77	36.56 W	0.00	1 of 5 - Sale ²⁵
	0.300	104.52	09/02/20	127.72	23.20 W	0.00	2 of 5 - Sale ²⁵
	0.117	40.69	09/03/20	47.79	7.10 W	0.00	3 of 5 - Sale ²⁵
	0.001	0.18	09/03/20	0.21	0.03 W	0.00	4 of 5 - Sale ²⁵
	0.083	28.81	09/03/20	33.84	5.03 W	0.00	5 of 5 - Sale ²⁵
09/09/20	1.000	348.41	Various	420.33	71.92 W	0.00	Total of 5 transactions
	3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	0.300	110.31	09/08/20	126.96	16.65 W	0.00	1 of 3 - Sale ²⁵
	0.500	183.86	09/08/20	209.51	25.65 W	0.00	2 of 3 - Sale ²⁵
	0.100	36.77	09/08/20	40.67	3.90 W	0.00	3 of 3 - Sale ²⁵
09/10/20	0.900	330.94	Various	377.14	46.20 W	0.00	Total of 3 transactions
	9 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	0.001	0.22	09/08/20	0.21	...	0.01	1 of 9 - Sale ²⁵
	0.017	7.03	09/08/20	6.83	...	0.20	2 of 9 - Sale ²⁵
	0.083	34.63	09/08/20	33.63	...	1.00	3 of 9 - Sale ²⁵
	0.400	167.52	09/17/20	188.01	...	-20.49	4 of 9 - Sale ²⁵
	0.100	41.82	09/23/20	46.68	...	-4.86	5 of 9 - Sale ²⁵
	0.100	41.83	09/23/20	46.26	...	-4.43	6 of 9 - Sale ²⁵
	0.100	41.82	09/23/20	45.37	...	-3.55	7 of 9 - Sale ²⁵
	0.100	41.83	09/23/20	45.27	...	-3.44	8 of 9 - Sale ²⁵
	0.100	41.83	09/23/20	42.44	...	-0.61	9 of 9 - Sale ²⁵
09/29/20	1.000	418.53	Various	454.70	...	-36.17	Total of 9 transactions
	Security total:	13,100.67		12,681.82	133.82 W	552.67	
TITAN PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 888314507 / Symbol:							
10/30/20	1,000.000	140.58	10/19/20	137.20	...	3.38	Sale ²⁵
	4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	100.000	14.06	09/28/20	17.40	3.34 W	0.00	1 of 4 - Sale ²⁵
	400.000	56.23	10/02/20	63.68	7.45 W	0.00	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	1,500.000	210.86	10/13/20	231.15		0.00	3 of 4 - Sale ²⁵
	2,000.000	281.15	10/13/20	309.00	20.29 W	0.00	4 of 4 - Sale ²⁵
10/30/20	4,000.000	562.30	Various	621.23	27.85 W	0.00	Total of 4 transactions
	11 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	100.000	27.39	10/20/20	15.65	58.93 W	11.74	1 of 11 - Sale ²⁵
	300.000	61.10	10/20/20	36.57	...	24.53	2 of 11 - Sale ²⁵
	300.000	61.10	10/20/20	36.57	...	24.53	3 of 11 - Sale ²⁵
	400.000	81.47	10/20/20	48.76	...	32.71	4 of 11 - Sale ²⁵
	400.000	109.55	10/20/20	56.69	...	52.86	5 of 11 - Sale ²⁵
	500.000	136.94	10/20/20	68.31	...	68.63	6 of 11 - Sale ²⁵
	1,000.000	273.87	10/20/20	136.53	...	137.34	7 of 11 - Sale ²⁵
	1,000.000	273.87	10/20/20	136.33	...	137.54	8 of 11 - Sale ²⁵
	1,000.000	273.87	10/20/20	136.22	...	137.65	9 of 11 - Sale ²⁵
	1,900.000	386.61	11/02/20	191.90	...	194.71	10 of 11 - Sale ²⁵
	2,100.000	427.31	11/02/20	212.10	...	215.21	11 of 11 - Sale ²⁵
11/20/20	9,000.000	2,113.08	Various	1,075.63	...	1,037.45	Total of 11 transactions
	4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	200.000	36.02	11/02/20	20.38	...	15.64	1 of 4 - Sale ²⁵
	800.000	144.06	11/02/20	80.80	...	63.26	2 of 4 - Sale ²⁵
	1,000.000	180.07	11/13/20	111.60	...	68.47	3 of 4 - Sale ²⁵
	2,000.000	360.15	11/13/20	223.40	...	136.75	4 of 4 - Sale ²⁵
11/23/20	4,000.000	720.30	Various	436.18	...	284.12	Total of 4 transactions
11/24/20	900.000	152.26	11/13/20	100.44	...	51.82	Sale ²⁵
	Security total:	3,688.52		2,370.68	58.93 W	1,376.77	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol:							
12/01/20	3.000	11.40	11/13/20	8.37	...	3.03	Sale ²⁵
12/23/20	1.000	3.18	11/13/20	2.79	...	0.39	Sale ²⁵
	Security total:	14.58		11.16	...	3.42	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
	3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	100.000	64.69	03/20/20	94.00	29.31 W	0.00	1 of 3 - Sale ²⁵
	400.000	258.75	03/20/20	403.20	144.45 W	0.00	2 of 3 - Sale ²⁵

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
06/04/20	500.000	323.43	05/20/20	348.95	25.52 W	0.00	3 of 3 - Sale ²⁵
	1,000.000	646.87	Various	846.15	199.28 W	0.00	Total of 3 transactions
	8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	400.000	408.06	06/02/20	406.45	...	1.61	1 of 8 - Sale ²⁵
	100.000	102.01	06/02/20	94.81	...	7.20	2 of 8 - Sale ²⁵
	91.000	92.83	06/02/20	64.25	...	28.58	3 of 8 - Sale ²⁵
	409.000	417.25	06/02/20	288.78	...	128.47	4 of 8 - Sale ²⁵
	40.000	41.66	07/09/20	24.96	...	16.70	5 of 8 - Sale ²⁵
	300.000	312.43	07/09/20	187.20	...	125.23	6 of 8 - Sale ²⁵
	60.000	62.49	07/13/20	34.38	...	28.11	7 of 8 - Sale ²⁵
	100.000	104.14	07/13/20	57.74	...	46.40	8 of 8 - Sale ²⁵
08/11/20	1,500.000	1,540.87	Various	1,158.57	...	382.30	Total of 8 transactions
	3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	100.000	89.00	07/13/20	53.40	...	35.60	1 of 3 - Sale ²⁵
	200.000	177.99	07/13/20	114.60	...	63.39	2 of 3 - Sale ²⁵
	199.000	175.58	07/13/20	107.42	...	68.16	3 of 3 - Sale ²⁵
08/12/20	499.000	442.57	Various	275.42	...	167.15	Total of 3 transactions
09/29/20	1.000	0.85	07/13/20	0.54	...	0.31	Sale ²⁵
	3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	100.000	84.99	08/13/20	114.00	0.29 W	-28.72	1 of 3 - Sale ²⁵
	11.000	9.35	08/13/20	12.54	3.19 W	0.00	2 of 3 - Sale ²⁵
	88.000	74.35	08/13/20	100.32	25.97 W	0.00	3 of 3 - Sale ²⁵
09/29/20	199.000	168.69	Various	226.86	29.45 W	-28.72	Total of 3 transactions
	8 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	51.000	40.94	08/13/20	58.14	...	-17.20	1 of 8 - Sale ²⁵
	49.000	39.34	08/13/20	55.86	...	-16.52	2 of 8 - Sale ²⁵
	100.000	80.28	08/17/20	102.80	...	-22.52	3 of 8 - Sale ²⁵
	100.000	80.28	08/18/20	99.40	...	-19.12	4 of 8 - Sale ²⁵
	100.000	80.28	08/26/20	93.50	...	-13.22	5 of 8 - Sale ²⁵
	88.000	70.64	09/11/20	93.23	...	-22.59	6 of 8 - Sale ²⁵
	11.000	8.83	09/11/20	11.60	...	-2.77	7 of 8 - Sale ²⁵
	1.000	0.80	09/11/20	1.05	...	-0.25	8 of 8 - Sale ²⁵
10/01/20	500.000	401.39	Various	515.58	...	-114.19	Total of 8 transactions

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
<i>9 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	68.48	12/15/20	57.84	...	10.64	1 of 9 - Sale ²⁵
	100.000	68.49	12/15/20	57.84	...	10.65	2 of 9 - Sale ²⁵
	100.000	68.49	12/15/20	57.83	...	10.66	3 of 9 - Sale ²⁵
	100.000	68.48	12/15/20	57.81	...	10.67	4 of 9 - Sale ²⁵
	100.000	68.48	12/15/20	57.80	...	10.68	5 of 9 - Sale ²⁵
	100.000	68.49	12/15/20	57.81	...	10.68	6 of 9 - Sale ²⁵
	100.000	68.49	12/15/20	57.81	...	10.68	7 of 9 - Sale ²⁵
	100.000	68.48	12/15/20	57.79	...	10.69	8 of 9 - Sale ²⁵
	100.000	68.49	12/15/20	57.79	...	10.70	9 of 9 - Sale ²⁵
12/24/20	900.000	616.37	Various	520.32	...	96.05	Total of 9 transactions
12/24/20	100.000	68.49	12/08/20	70.00	1.51 W	0.00	Sale ²⁵
<i>2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	65.03	12/21/20	57.76	...	7.27	1 of 2 - Sale ²⁵
	400.000	260.11	12/21/20	225.00	...	35.11	2 of 2 - Sale ²⁵
12/30/20	500.000	325.14	Various	282.76	...	42.38	Total of 2 transactions
	Security total:	4,211.24		3,896.20	230.24 W	545.28	
TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G207 / Symbol:							
<i>3 transactions for 02/12/20. Total proceeds and cost reported to the IRS.</i>							
	90.000	13.51	02/07/20	13.72	...	-0.21	1 of 3 - Sale ²⁵
	9.000	1.35	02/07/20	1.37	...	-0.02	2 of 3 - Sale ²⁵
	1.000	0.15	02/07/20	0.15	...	0.00	3 of 3 - Sale ²⁵
02/12/20	100.000	15.01	Various	15.24	...	-0.23	Total of 3 transactions
TRANSDIGM GROUP INCORPORATED / CUSIP: 893641100 / Symbol:							
<i>2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1,170.13	12/26/19	1,206.96	...	-36.83	1 of 2 - Sale ²⁵
	2.000	1,170.28	12/26/19	1,206.97	...	-36.69	2 of 2 - Sale ²⁵
01/08/20	4.000	2,340.41	Various	2,413.93	...	-73.52	Total of 2 transactions
01/08/20	5.000	2,925.23	12/26/19	2,971.25	46.02 W	0.00	Sale ²⁵
01/09/20	1.000	587.47	12/26/19	603.48	...	-16.01	Sale ²⁵
	Security total:	5,853.11		5,988.66	46.02 W	-89.53	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	80.000	36.16	07/27/20	31.08	...	5.08	1 of 2 - Sale ²⁵
08/03/20	620.000	280.27	07/28/20	277.50	...	2.77	2 of 2 - Sale ²⁵
	700.000	316.43	Various	308.58	...	7.85	Total of 2 transactions
7 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	100.000	39.00	07/28/20	44.76	5.76 W	0.00	1 of 7 - Sale ²⁵
	100.000	39.00	08/04/20	50.29	11.29 W	0.00	2 of 7 - Sale ²⁵
	200.000	77.99	08/05/20	97.48	19.49 W	0.00	3 of 7 - Sale ²⁵
	100.000	39.00	08/05/20	43.80	4.80 W	0.00	4 of 7 - Sale ²⁵
	300.000	116.99	08/06/20	135.00	18.01 W	0.00	5 of 7 - Sale ²⁵
	200.000	77.99	08/06/20	90.00	12.01 W	0.00	6 of 7 - Sale ²⁵
08/31/20	500.000	194.98	08/07/20	216.55	21.57 W	0.00	7 of 7 - Sale ²⁵
	1,500.000	584.95	Various	677.88	92.93 W	0.00	Total of 7 transactions
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	100.000	33.99	09/03/20	55.96	...	-21.97	1 of 3 - Sale ²⁵
	100.000	33.99	09/03/20	50.32	...	-16.33	2 of 3 - Sale ²⁵
09/11/20	50.000	16.99	09/03/20	27.21	...	-10.22	3 of 3 - Sale ²⁵
	250.000	84.97	Various	133.49	...	-48.52	Total of 3 transactions
9 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	100.000	34.42	08/07/20	48.99	14.57 W	0.00	1 of 9 - Sale ²⁵
	100.000	34.38	08/07/20	54.60	20.22 W	0.00	2 of 9 - Sale ²⁵
	100.000	34.38	08/07/20	53.05	18.67 W	0.00	3 of 9 - Sale ²⁵
	100.000	34.38	08/07/20	53.05	18.67 W	0.00	4 of 9 - Sale ²⁵
	100.000	34.38	08/07/20	48.10	13.72 W	0.00	5 of 9 - Sale ²⁵
	500.000	169.94	09/03/20	200.27	9.10 W	-21.23	6 of 9 - Sale ²⁵
	100.000	34.38	09/03/20	41.75	7.37 W	0.00	7 of 9 - Sale ²⁵
	200.000	67.97	09/03/20	83.51	15.54 W	0.00	8 of 9 - Sale ²⁵
09/11/20	200.000	67.98	09/03/20	83.51	15.53 W	0.00	9 of 9 - Sale ²⁵
	1,500.000	512.21	Various	666.83	133.39 W	-21.23	Total of 9 transactions
8 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	50.000	17.68	09/03/20	27.21	...	-9.53	1 of 8 - Sale ²⁵
	50.000	17.68	09/21/20	27.13	...	-9.45	2 of 8 - Sale ²⁵
	50.000	17.68	09/21/20	27.08	...	-9.40	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol: (cont'd)							
	50.000	17.68	09/21/20	24.62	...	-6.94	4 of 8 - Sale ²⁵
	50.000	17.68	09/21/20	24.60	...	-6.92	5 of 8 - Sale ²⁵
	50.000	17.68	09/21/20	21.67	...	-3.99	6 of 8 - Sale ²⁵
	50.000	17.67	09/21/20	21.47	...	-3.80	7 of 8 - Sale ²⁵
	50.000	17.68	09/21/20	21.44	...	-3.76	8 of 8 - Sale ²⁵
09/23/20	400.000	141.43	Various	195.22	...	-53.79	Total of 8 transactions
<i>6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	41.69	09/21/20	43.35	...	-1.66	1 of 6 - Sale ²⁵
	100.000	41.69	10/07/20	49.21	...	-7.52	2 of 6 - Sale ²⁵
	50.000	20.84	10/07/20	24.63	...	-3.79	3 of 6 - Sale ²⁵
	50.000	20.84	10/07/20	24.63	...	-3.79	4 of 6 - Sale ²⁵
	50.000	20.85	10/07/20	24.63	...	-3.78	5 of 6 - Sale ²⁵
	50.000	20.84	10/07/20	23.77	...	-2.93	6 of 6 - Sale ²⁵
10/23/20	400.000	166.75	Various	190.22	...	-23.47	Total of 6 transactions
11/16/20	100.000	40.16	10/07/20	47.56	...	-7.40	Sale ²⁵
	Security total:	1,846.90		2,219.78	226.32 W	-146.56	
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
<i>3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	181.73	02/13/20	189.99	...	-8.26	1 of 3 - Sale ²⁵
	50.000	185.55	02/13/20	183.48	...	2.07	2 of 3 - Sale ²⁵
	50.000	186.12	02/13/20	183.48	...	2.64	3 of 3 - Sale ²⁵
02/20/20	150.000	553.40	Various	556.95	...	-3.55	Total of 3 transactions
<i>2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	186.12	02/12/20	194.93	8.81 W	0.00	1 of 2 - Sale ²⁵
	50.000	185.55	02/13/20	192.29	6.74 W	0.00	2 of 2 - Sale ²⁵
02/20/20	100.000	371.67	Various	387.22	15.55 W	0.00	Total of 2 transactions
02/25/20	49.000	179.34	02/13/20	179.59	...	-0.25	Sale ²⁵
02/27/20	1.000	3.11	02/13/20	3.66	...	-0.55	Sale ²⁵
	Security total:	1,107.52		1,127.42	15.55 W	-4.35	

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
05/21/20	4.000	127.17	05/19/20	118.56	...	8.61	Sale ²⁵
05/22/20	1.000	32.71	05/19/20	29.64	...	3.07	Sale ²⁵
	Security total:	159.88		148.20	...	11.68	
TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
05/04/20	10.000	552.78	04/28/20	630.98	...	-78.20	Sale ²⁵
U.S. GOLD CORP. COMMON STOCK / CUSIP: 90291C201 / Symbol:							
<i>2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	77.40	05/26/20	67.50	...	9.90	1 of 2 - Sale ²⁵
	40.000	309.60	05/26/20	272.00	...	37.60	2 of 2 - Sale ²⁵
06/01/20	50.000	387.00	Various	339.50	...	47.50	Total of 2 transactions
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	458.98	06/15/20	461.25	...	-2.27	1 of 2 - Sale ²⁵
	25.000	152.99	06/15/20	153.63	...	-0.64	2 of 2 - Sale ²⁵
06/18/20	100.000	611.97	Various	614.88	...	-2.91	Total of 2 transactions
09/10/20	1.000	13.27	09/09/20	12.07	...	1.20	Sale ²⁵
09/29/20	1.000	9.37	09/23/20	9.57	...	-0.20	Sale ²⁵
	Security total:	1,021.61		976.02	...	45.59	
U.S. SILICA HOLDINGS, INC. / CUSIP: 90346E103 / Symbol:							
01/07/20	1.000	6.13	12/24/19	6.05	...	0.08	Sale ²⁵
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
<i>2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.002	0.06	03/23/20	0.05	...	0.01	1 of 2 - Sale ²⁵
	0.038	0.98	03/23/20	0.79	...	0.19	2 of 2 - Sale ²⁵
04/01/20	0.040	1.04	Various	0.84	...	0.20	Total of 2 transactions
04/29/20	0.008	0.25	03/23/20	0.16	...	0.09	Sale ²⁵
	Security total:	1.29		1.00	...	0.29	
UNITED MICROELECTRONIC CORP. / CUSIP: 910873405 / Symbol:							
08/12/20	10.000	41.21	08/10/20	42.25	...	-1.04	Sale ²⁵

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	100.000	162.00	01/09/20	173.00	...	-11.00	1 of 2 - Sale ²⁵
	99.000	160.38	01/09/20	170.28	...	-9.90	2 of 2 - Sale ²⁵
02/12/20	199.000	322.38	Various	343.28	...	-20.90	Total of 2 transactions
02/13/20	1.000	1.62	01/09/20	1.72	...	-0.10	Sale ²⁵
06/01/20	10.000	20.91	05/13/20	18.19	...	2.72	Sale ²⁵
	Security total:	344.91		363.19	...	-18.28	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	32.000	25.68	01/28/20	27.04	1.36 W	0.00	1 of 2 - Sale ²⁵
	67.000	53.76	01/28/20	56.62	2.86 W	0.00	2 of 2 - Sale ²⁵
01/29/20	99.000	79.44	Various	83.66	4.22 W	0.00	Total of 2 transactions
01/30/20	1.000	0.71	01/28/20	0.84	0.13 W	0.00	Sale ²⁵
7 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	1.32	01/31/20	1.32	...	0.00	1 of 7 - Sale ²⁵
	1.000	1.39	01/31/20	1.13	...	0.26	2 of 7 - Sale ²⁵
	1.000	1.39	01/31/20	1.01	...	0.38	3 of 7 - Sale ²⁵
	18.000	25.02	01/31/20	18.32	...	6.70	4 of 7 - Sale ²⁵
	99.000	137.60	01/31/20	130.68	...	6.92	5 of 7 - Sale ²⁵
	31.000	43.09	01/31/20	31.55	...	11.54	6 of 7 - Sale ²⁵
	49.000	68.10	01/31/20	51.09	...	17.01	7 of 7 - Sale ²⁵
02/04/20	200.000	277.91	Various	235.10	...	42.81	Total of 7 transactions
	Security total:	358.06		319.60	4.35 W	42.81	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
02/12/20	4.000	92.56	02/12/20	91.02	...	1.54	Sale ²⁵
2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	1.000	30.83	02/12/20	22.76	...	8.07	1 of 2 - Sale ²⁵
	19.000	585.75	02/14/20	514.34	...	71.41	2 of 2 - Sale ²⁵
02/18/20	20.000	616.58	Various	537.10	...	79.48	Total of 2 transactions

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	5.000	196.53	02/14/20	135.35	...	61.18	1 of 2 - Sale ²⁵
	20.000	723.96	02/14/20	541.41	...	182.55	2 of 2 - Sale ²⁵
02/20/20	25.000	920.49	Various	676.76	...	243.73	Total of 2 transactions
02/21/20	4.000	135.86	02/14/20	108.28	...	27.58	Sale ²⁵
02/26/20	1.000	29.16	02/14/20	27.07	...	2.09	Sale ²⁵
02/28/20	20.000	391.00	02/27/20	462.00	...	-71.00	Sale ²⁵
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	16.87	05/19/20	15.90	...	0.97	1 of 3 - Sale ²⁵
	9.000	151.83	06/02/20	142.38	...	9.45	2 of 3 - Sale ²⁵
	39.000	657.91	06/02/20	616.79	...	41.12	3 of 3 - Sale ²⁵
06/08/20	49.000	826.61	Various	775.07	...	51.54	Total of 3 transactions
07/13/20	1.000	19.50	06/02/20	15.81	...	3.69	Sale ²⁵
	Security total:	3,031.76		2,693.11	...	338.65	
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	99.000	271.24	05/08/20	256.41	...	14.83	1 of 2 - Sale ²⁵
	100.000	273.98	05/08/20	257.53	...	16.45	2 of 2 - Sale ²⁵
05/26/20	199.000	545.22	Various	513.94	...	31.28	Total of 2 transactions
06/26/20	1.000	2.36	05/08/20	2.59	...	-0.23	Sale ²⁵
	Security total:	547.58		516.53	...	31.05	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
08/28/20	1.000	24.47	08/27/20	24.57	...	-0.10	Sale ²⁵
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
19 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	3.000	21.72	09/01/20	20.22	...	1.50	1 of 19 - Sale ²⁵
	5.000	36.20	09/01/20	33.70	...	2.50	2 of 19 - Sale ²⁵
	5.000	36.21	09/01/20	33.70	...	2.51	3 of 19 - Sale ²⁵
	7.000	50.69	09/03/20	46.55	...	4.14	4 of 19 - Sale ²⁵
	2.000	14.00	09/23/20	13.56	...	0.44	5 of 19 - Sale ²⁵
	4.000	28.00	09/23/20	27.12	...	0.88	6 of 19 - Sale ²⁵

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WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol: (cont'd)							
	5.000	35.00	09/23/20	33.15	...	1.85	7 of 19 - Sale ²⁵
	9.000	63.00	09/23/20	61.02	...	1.98	8 of 19 - Sale ²⁵
	10.000	70.00	09/23/20	67.90	...	2.10	9 of 19 - Sale ²⁵
	10.000	70.00	09/23/20	67.61	...	2.39	10 of 19 - Sale ²⁵
	10.000	69.50	09/23/20	64.55	...	4.95	11 of 19 - Sale ²⁵
	10.000	69.50	09/23/20	62.30	...	7.20	12 of 19 - Sale ²⁵
	5.000	34.75	09/29/20	32.40	...	2.35	13 of 19 - Sale ²⁵
	5.000	34.75	09/29/20	31.26	...	3.49	14 of 19 - Sale ²⁵
	20.000	138.99	09/29/20	130.11	...	8.88	15 of 19 - Sale ²⁵
	5.000	34.74	10/01/20	26.68	...	8.06	16 of 19 - Sale ²⁵
	5.000	34.75	10/01/20	26.67	...	8.08	17 of 19 - Sale ²⁵
	30.000	209.08	10/01/20	157.35	...	51.73	18 of 19 - Sale ²⁵
	30.000	209.09	10/02/20	156.60	...	52.49	19 of 19 - Sale ²⁵
10/12/20	180.000	1,259.97	Various	1,092.45	...	167.52	Total of 19 transactions
	38 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	5.000	31.00	07/13/20	125.40	94.40 W	0.00	1 of 38 - Sale ²⁵
	5.000	31.00	07/13/20	111.40	80.40 W	0.00	2 of 38 - Sale ²⁵
	10.000	62.00	07/14/20	172.90	110.90 W	0.00	3 of 38 - Sale ²⁵
	6.000	37.20	07/15/20	102.00	64.80 W	0.00	4 of 38 - Sale ²⁵
	4.000	24.80	07/15/20	66.68	41.88 W	0.00	5 of 38 - Sale ²⁵
	5.000	31.05	07/20/20	68.65	37.60 W	0.00	6 of 38 - Sale ²⁵
	5.000	31.05	07/21/20	59.35	28.30 W	0.00	7 of 38 - Sale ²⁵
	20.000	124.19	07/27/20	145.60	21.41 W	0.00	8 of 38 - Sale ²⁵
	5.000	31.05	07/30/20	35.60	4.55 W	0.00	9 of 38 - Sale ²⁵
	4.000	24.84	07/30/20	29.08	4.24 W	0.00	10 of 38 - Sale ²⁵
	2.000	12.42	07/30/20	14.54	2.12 W	0.00	11 of 38 - Sale ²⁵
	9.000	55.89	07/30/20	65.43	9.54 W	0.00	12 of 38 - Sale ²⁵
	10.000	62.10	07/31/20	67.20	5.10 W	0.00	13 of 38 - Sale ²⁵
	10.000	62.10	08/31/20	69.45	7.35 W	0.00	14 of 38 - Sale ²⁵
	20.000	124.19	08/31/20	139.40	15.21 W	0.00	15 of 38 - Sale ²⁵
	5.000	31.05	08/31/20	34.75	3.70 W	0.00	16 of 38 - Sale ²⁵
	5.000	31.04	09/01/20	33.60	2.56 W	0.00	17 of 38 - Sale ²⁵
	5.000	36.21	09/23/20	122.85	86.64 W	0.00	18 of 38 - Sale ²⁵
	5.000	36.20	09/23/20	108.85	72.65 W	0.00	19 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol: (cont'd)							
	10.000	72.41	09/23/20	167.80	95.39 W	0.00	20 of 38 - Sale ²⁵
	4.000	28.96	09/23/20	64.64	35.68 W	0.00	21 of 38 - Sale ²⁵
	6.000	43.45	09/23/20	98.94	55.49 W	0.00	22 of 38 - Sale ²⁵
	5.000	35.00	09/23/20	66.05	31.05 W	0.00	23 of 38 - Sale ²⁵
	5.000	35.00	09/23/20	56.75	21.75 W	0.00	24 of 38 - Sale ²⁵
	5.000	34.75	10/01/20	113.52	78.77 W	0.00	25 of 38 - Sale ²⁵
	5.000	34.75	10/01/20	99.52	64.77 W	0.00	26 of 38 - Sale ²⁵
	10.000	69.50	10/01/20	148.79	79.29 W	0.00	27 of 38 - Sale ²⁵
	4.000	27.80	10/01/20	57.02	29.22 W	0.00	28 of 38 - Sale ²⁵
	6.000	41.70	10/01/20	87.50	45.80 W	0.00	29 of 38 - Sale ²⁵
	5.000	34.75	10/01/20	57.73	22.98 W	0.00	30 of 38 - Sale ²⁵
	5.000	34.74	10/01/20	48.43	13.69 W	0.00	31 of 38 - Sale ²⁵
	5.000	34.85	10/01/20	105.45	70.60 W	0.00	32 of 38 - Sale ²⁵
	5.000	34.85	10/01/20	91.44	56.59 W	0.00	33 of 38 - Sale ²⁵
	10.000	69.70	10/01/20	132.64	62.94 W	0.00	34 of 38 - Sale ²⁵
	4.000	27.88	10/01/20	50.40	22.52 W	0.00	35 of 38 - Sale ²⁵
	6.000	41.82	10/01/20	77.57	35.75 W	0.00	36 of 38 - Sale ²⁵
	5.000	34.85	10/01/20	49.21	14.36 W	0.00	37 of 38 - Sale ²⁵
	5.000	34.85	10/01/20	39.91	5.06 W	0.00	38 of 38 - Sale ²⁵
10/12/20	250.000	1,650.99	Various	3,186.04	1,535.05 W	0.00	Total of 38 transactions
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	5.000	31.90	10/02/20	31.16	...	0.74	1 of 2 - Sale ²⁵
	10.000	63.80	10/02/20	52.20	...	11.60	2 of 2 - Sale ²⁵
10/13/20	15.000	95.70	Various	83.36	...	12.34	Total of 2 transactions
6 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	5.000	31.90	10/02/20	96.70	64.80 W	0.00	1 of 6 - Sale ²⁵
	5.000	31.90	10/02/20	82.69	50.79 W	0.00	2 of 6 - Sale ²⁵
	10.000	63.80	10/02/20	115.14	51.34 W	0.00	3 of 6 - Sale ²⁵
	4.000	25.52	10/02/20	43.40	17.88 W	0.00	4 of 6 - Sale ²⁵
	6.000	38.28	10/02/20	67.07	28.79 W	0.00	5 of 6 - Sale ²⁵
	5.000	31.90	10/02/20	40.46	8.56 W	0.00	6 of 6 - Sale ²⁵
10/13/20	35.000	223.30	Various	445.46	222.16 W	0.00	Total of 6 transactions
10/20/20	20.000	124.20	10/02/20	104.40	...	19.80	Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol: (cont'd)							
<i>5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	31.05	10/02/20	90.43	59.38 W	0.00	1 of 5 - Sale ²⁵
	5.000	31.05	10/02/20	76.41	45.36 W	0.00	2 of 5 - Sale ²⁵
	10.000	62.10	10/02/20	102.59	40.49 W	0.00	3 of 5 - Sale ²⁵
	4.000	24.84	10/02/20	38.38	13.54 W	0.00	4 of 5 - Sale ²⁵
	6.000	37.26	10/02/20	59.54	22.28 W	0.00	5 of 5 - Sale ²⁵
10/20/20	30.000	186.30	Various	367.35	181.05 W	0.00	Total of 5 transactions
<i>2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	151.04	10/14/20	149.13	...	1.91	1 of 2 - Sale ²⁵
	25.000	151.05	10/15/20	142.13	...	8.92	2 of 2 - Sale ²⁵
12/21/20	50.000	302.09	Various	291.26	...	10.83	Total of 2 transactions
<i>7 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	30.21	10/13/20	90.06	59.85 W	0.00	1 of 7 - Sale ²⁵
	5.000	30.21	10/13/20	76.03	45.82 W	0.00	2 of 7 - Sale ²⁵
	10.000	60.42	10/13/20	101.84	41.42 W	0.00	3 of 7 - Sale ²⁵
	5.000	30.21	10/13/20	39.24	9.03 W	0.00	4 of 7 - Sale ²⁵
	4.000	24.17	10/14/20	38.14	13.97 W	0.00	5 of 7 - Sale ²⁵
	6.000	36.25	10/14/20	59.18	22.93 W	0.00	6 of 7 - Sale ²⁵
	15.000	90.63	10/14/20	92.25	1.62 W	0.00	7 of 7 - Sale ²⁵
12/21/20	50.000	302.10	Various	496.74	194.64 W	0.00	Total of 7 transactions
	Security total:	4,144.65		6,067.06	2,132.90 W	210.49	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
09/09/20	1.000	22.12	09/02/20	20.18	...	1.94	Sale ²⁵
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	104.80	12/02/20	100.50	...	4.30	1 of 2 - Sale ²⁵
	14.000	293.44	12/02/20	277.79	...	15.65	2 of 2 - Sale ²⁵
12/09/20	19.000	398.24	Various	378.29	...	19.95	Total of 2 transactions
	Security total:	420.36		398.47	...	21.89	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	18.41	09/10/20	18.91	...	-0.50	1 of 2 - Sale ²⁵
	1.000	18.41	09/10/20	18.88	...	-0.47	2 of 2 - Sale ²⁵
09/11/20	2.000	36.82	Various	37.79	...	-0.97	Total of 2 transactions
YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol:							
09/29/20	49.000	279.30	09/23/20	261.93	...	17.37	Sale ²⁵
09/29/20	1.000	5.70	09/23/20	5.75	0.05 W	0.00	Sale ²⁵
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	5.80	09/23/20	5.40	...	0.40	1 of 2 - Sale ²⁵
	48.000	278.40	09/23/20	256.57	...	21.83	2 of 2 - Sale ²⁵
10/01/20	49.000	284.20	Various	261.97	...	22.23	Total of 2 transactions
11/16/20	1.000	5.55	09/23/20	5.35	...	0.20	Sale ²⁵
	Security total:	574.75		535.00	0.05 W	39.80	
ZIX CORPORATION COMMON STOCK / CUSIP: 98974P100 / Symbol:							
5 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	10.000	72.25	01/03/20	68.50	...	3.75	1 of 5 - Sale ²⁵
	10.000	72.25	01/03/20	68.50	...	3.75	2 of 5 - Sale ²⁵
	10.000	72.25	01/03/20	68.50	...	3.75	3 of 5 - Sale ²⁵
	10.000	72.25	01/03/20	68.50	...	3.75	4 of 5 - Sale ²⁵
	10.000	72.25	01/03/20	68.50	...	3.75	5 of 5 - Sale ²⁵
01/23/20	50.000	361.25	Various	342.50	...	18.75	Total of 5 transactions
03/10/20	100.000	697.09	03/06/20	761.55	...	-64.46	Sale ²⁵
	Security total:	1,058.34		1,104.05	...	-45.71	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
7 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	14.000	2.98	02/12/20	1.89	...	1.09	1 of 7 - Sale ²⁵
	14.000	2.98	02/12/20	1.89	...	1.09	2 of 7 - Sale ²⁵
	86.000	18.29	02/12/20	11.61	...	6.68	3 of 7 - Sale ²⁵
	86.000	18.29	02/12/20	11.48	...	6.81	4 of 7 - Sale ²⁵
	100.000	21.27	02/12/20	13.50	...	7.77	5 of 7 - Sale ²⁵
	100.000	21.27	02/12/20	13.35	...	7.92	6 of 7 - Sale ²⁵

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
	100.000	21.27	02/12/20	13.35	...	7.92	7 of 7 - Sale ²⁵
02/26/20	500.000	106.35	Various	67.07	...	39.28	Total of 7 transactions
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	100.000	16.63	02/12/20	13.50	...	3.13	1 of 2 - Sale ²⁵
	400.000	66.52	02/12/20	53.40	...	13.12	2 of 2 - Sale ²⁵
03/13/20	500.000	83.15	Various	66.90	...	16.25	Total of 2 transactions
12 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1,000.000	105.48	05/27/20	152.40	46.92 W	0.00	1 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	2 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	3 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	4 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	5 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	6 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	7 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	8 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	9 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	10 of 12 - Sale ²⁵
	100.000	10.55	08/07/20	15.45	4.90 W	0.00	11 of 12 - Sale ²⁵
	2,000.000	210.94	09/10/20	221.00	10.06 W	0.00	12 of 12 - Sale ²⁵
09/25/20	4,000.000	421.92	Various	527.90	105.98 W	0.00	Total of 12 transactions
	Security total:	611.42		661.87	105.98 W	55.53	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	211.19	06/02/20	207.74	...	3.45	1 of 2 - Sale ²⁵
	4.000	844.78	06/02/20	837.89	...	6.89	2 of 2 - Sale ²⁵
06/02/20	5.000	1,055.97	Various	1,045.63	...	10.34	Total of 2 transactions
06/02/20	2.000	418.00	06/02/20	418.95	0.95 W	0.00	Sale ²⁵
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	217.72	06/02/20	209.47	...	8.25	1 of 3 - Sale ²⁵
	2.000	435.43	06/02/20	417.85	...	17.58	2 of 3 - Sale ²⁵
	2.000	435.44	06/02/20	416.00	...	19.44	3 of 3 - Sale ²⁵
06/04/20	5.000	1,088.59	Various	1,043.32	...	45.27	Total of 3 transactions

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
12/04/20	1.000	411.01	11/30/20	451.63	40.62 W	0.00	Sale ²⁵
12/09/20	1.000	399.18	12/01/20	454.52	...	-55.34	Sale ²⁵
	Security total:	3,372.75		3,414.05	41.57 W	0.27	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
14 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	922.000	72.36	09/29/20	102.27	...	-29.91	1 of 14 - Sale ²⁵
	500.000	39.19	09/29/20	61.51	...	-22.32	2 of 14 - Sale ²⁵
	500.000	39.19	09/29/20	61.06	...	-21.87	3 of 14 - Sale ²⁵
	500.000	39.24	09/29/20	55.57	...	-16.33	4 of 14 - Sale ²⁵
	322.000	25.24	09/29/20	38.94	...	-13.70	5 of 14 - Sale ²⁵
	100.000	7.84	09/29/20	12.09	...	-4.25	6 of 14 - Sale ²⁵
	78.000	6.11	09/29/20	9.43	...	-3.32	7 of 14 - Sale ²⁵
	78.000	6.11	09/29/20	8.65	...	-2.54	8 of 14 - Sale ²⁵
	500.000	39.24	10/01/20	53.06	...	-13.82	9 of 14 - Sale ²⁵
	300.000	23.54	10/01/20	32.53	...	-8.99	10 of 14 - Sale ²⁵
	200.000	15.70	10/01/20	21.68	...	-5.98	11 of 14 - Sale ²⁵
	500.000	39.24	10/02/20	51.61	...	-12.37	12 of 14 - Sale ²⁵
	328.000	25.74	10/08/20	53.02	...	-27.28	13 of 14 - Sale ²⁵
	172.000	13.50	10/08/20	27.80	...	-14.30	14 of 14 - Sale ²⁵
10/20/20	5,000.000	392.24	Various	589.22	...	-196.98	Total of 14 transactions
17 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1,000.000	78.48	09/17/20	149.42	70.94 W	0.00	1 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	2 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	3 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	4 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	5 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	6 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	7 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	8 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	9 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	10 of 17 - Sale ²⁵
	100.000	7.85	09/17/20	15.14	7.29 W	0.00	11 of 17 - Sale ²⁵
	2,000.000	156.95	09/18/20	210.86	53.91 W	0.00	12 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	2,000.000	156.95	09/18/20	198.00	41.05 W	0.00	13 of 17 - Sale ²⁵
	1,000.000	78.48	09/22/20	98.10	19.62 W	0.00	14 of 17 - Sale ²⁵
	1,000.000	78.48	09/23/20	88.10	9.62 W	0.00	15 of 17 - Sale ²⁵
	1,000.000	78.47	09/23/20	88.30	9.83 W	0.00	16 of 17 - Sale ²⁵
	1,000.000	78.48	09/23/20	86.00	7.52 W	0.00	17 of 17 - Sale ²⁵
10/20/20	10,000.000	784.79	Various	1,070.18	285.39 W	0.00	Total of 17 transactions
	17 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	1,500.000	108.27	10/15/20	154.54	...	-46.27	1 of 17 - Sale ²⁵
	398.000	28.77	10/15/20	61.06	...	-32.29	2 of 17 - Sale ²⁵
	805.000	58.18	10/15/20	88.11	...	-29.93	3 of 17 - Sale ²⁵
	500.000	36.09	10/15/20	54.72	...	-18.63	4 of 17 - Sale ²⁵
	500.000	36.13	10/15/20	54.73	...	-18.60	5 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	6 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	7 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	8 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	9 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	10 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	11 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	12 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	13 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	14 of 17 - Sale ²⁵
	100.000	7.23	10/15/20	15.54	...	-8.31	15 of 17 - Sale ²⁵
	102.000	7.37	10/15/20	15.66	...	-8.29	16 of 17 - Sale ²⁵
	195.000	14.09	10/15/20	21.35	...	-7.26	17 of 17 - Sale ²⁵
10/28/20	5,000.000	361.20	Various	605.57	...	-244.37	Total of 17 transactions
	Security total:	1,538.23		2,264.97	285.39 W	-441.35	
CHINA XIANGTAI FOOD CO., LTD. ORDINARY SHARES / CUSIP: G21621100 / Symbol:							
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	20.000	42.00	05/12/20	39.20	...	2.80	1 of 3 - Sale ²⁵
	75.000	157.50	05/12/20	147.00	...	10.50	2 of 3 - Sale ²⁵
	100.000	210.00	05/12/20	197.50	...	12.50	3 of 3 - Sale ²⁵
05/14/20	195.000	409.50	Various	383.70	...	25.80	Total of 3 transactions

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CHINA XIANGTAI FOOD CO., LTD. ORDINARY SHARES / CUSIP: G21621100 / Symbol: (cont'd)							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	5.000	7.55	05/12/20	9.80	...	-2.25	1 of 2 - Sale ²⁵
05/29/20	45.000	67.96	05/19/20	84.83	...	-16.87	2 of 2 - Sale ²⁵
	50.000	75.51	Various	94.63	...	-19.12	Total of 2 transactions
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	1.04	08/13/20	1.26	...	-0.22	1 of 2 - Sale ²⁵
08/25/20	99.000	103.45	08/21/20	106.43	...	-2.98	2 of 2 - Sale ²⁵
	100.000	104.49	Various	107.69	...	-3.20	Total of 2 transactions
	Security total:	589.50		586.02	...	3.48	
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	399.000	256.15	08/03/20	266.57	...	-10.42	1 of 2 - Sale ²⁵
08/04/20	99.000	63.56	08/03/20	66.31	...	-2.75	2 of 2 - Sale ²⁵
	498.000	319.71	Various	332.88	...	-13.17	Total of 2 transactions
08/04/20	1.000	0.64	07/31/20	0.69	0.05 W	0.00	Sale ²⁵
08/12/20	1.000	0.59	08/03/20	0.72	...	-0.13	Sale ²⁵
	Security total:	320.94		334.29	0.05 W	-13.30	
MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol:							
5 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	20.000	283.20	01/03/20	306.24	...	-23.04	1 of 5 - Sale ²⁵
	24.000	339.85	01/03/20	362.17	...	-22.32	2 of 5 - Sale ²⁵
	3.000	42.48	01/03/20	45.31	...	-2.83	3 of 5 - Sale ²⁵
	1.000	14.16	01/03/20	15.39	...	-1.23	4 of 5 - Sale ²⁵
	1.000	14.16	01/03/20	15.10	...	-0.94	5 of 5 - Sale ²⁵
01/07/20	49.000	693.85	Various	744.21	...	-50.36	Total of 5 transactions
5 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	1.000	14.17	12/13/19	15.51	1.34 W	0.00	1 of 5 - Sale ²⁵
	7.000	99.22	01/03/20	108.22	6.43 W	-2.57	2 of 5 - Sale ²⁵
	9.000	127.57	01/03/20	138.71	11.14 W	0.00	3 of 5 - Sale ²⁵
	15.000	212.62	01/03/20	231.18	18.56 W	0.00	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol: (cont'd)							
	18.000	255.15	01/03/20	277.50	22.35 W	0.00	5 of 5 - Sale ²⁵
01/07/20	50.000	708.73	Various	771.12	59.82 W	-2.57	Total of 5 transactions
	11 transactions for 01/09/20. Total proceeds and cost reported to the IRS.						
	1.000	13.88	01/03/20	15.09	...	-1.21	1 of 11 - Sale ²⁵
	8.000	111.08	01/07/20	122.21	...	-11.13	2 of 11 - Sale ²⁵
	7.000	97.19	01/07/20	106.90	...	-9.71	3 of 11 - Sale ²⁵
	2.000	27.77	01/07/20	30.56	...	-2.79	4 of 11 - Sale ²⁵
	1.000	13.88	01/07/20	15.38	...	-1.50	5 of 11 - Sale ²⁵
	1.000	13.88	01/07/20	15.02	...	-1.14	6 of 11 - Sale ²⁵
	9.000	124.96	01/08/20	135.47	...	-10.51	7 of 11 - Sale ²⁵
	9.000	124.97	01/08/20	135.46	...	-10.49	8 of 11 - Sale ²⁵
	5.000	69.42	01/08/20	75.48	...	-6.06	9 of 11 - Sale ²⁵
	5.000	69.43	01/08/20	75.24	...	-5.81	10 of 11 - Sale ²⁵
	2.000	27.77	01/08/20	30.09	...	-2.32	11 of 11 - Sale ²⁵
01/09/20	50.000	694.23	Various	756.90	...	-62.67	Total of 11 transactions
06/02/20	25.000	399.50	05/14/20	294.73	...	104.77	Sale ²⁵
	Security total:	2,496.31		2,566.96	59.82 W	-10.83	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	14.12	07/17/20	15.35	1.23 W	0.00	1 of 2 - Sale ²⁵
	4.000	56.48	07/17/20	61.31	4.83 W	0.00	2 of 2 - Sale ²⁵
07/23/20	5.000	70.60	Various	76.66	6.06 W	0.00	Total of 2 transactions
	5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	4.000	53.68	07/17/20	61.31	...	-7.63	1 of 5 - Sale ²⁵
	4.000	53.68	07/23/20	61.31	...	-7.63	2 of 5 - Sale ²⁵
	5.000	67.10	07/23/20	70.50	...	-3.40	3 of 5 - Sale ²⁵
	4.000	53.68	07/23/20	56.24	...	-2.56	4 of 5 - Sale ²⁵
	1.000	13.42	07/23/20	14.12	...	-0.70	5 of 5 - Sale ²⁵
07/27/20	18.000	241.56	Various	263.48	...	-21.92	Total of 5 transactions
07/27/20	1.000	13.42	07/17/20	16.56	3.14 W	0.00	Sale ²⁵
07/30/20	1.000	14.15	07/23/20	17.20	...	-3.05	Sale ²⁵
	Security total:	339.73		373.90	9.20 W	-24.97	

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Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
11 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	30.000	98.14	01/08/20	214.27	...	-116.13	1 of 11 - Sale ²⁵
	20.000	65.42	01/08/20	142.75	...	-77.33	2 of 11 - Sale ²⁵
	50.000	163.56	01/09/20	326.49	...	-162.93	3 of 11 - Sale ²⁵
	50.000	163.56	01/13/20	304.37	...	-140.81	4 of 11 - Sale ²⁵
	15.000	49.07	01/14/20	59.77	...	-10.70	5 of 11 - Sale ²⁵
	5.000	16.36	01/14/20	19.93	...	-3.57	6 of 11 - Sale ²⁵
	5.000	16.36	01/14/20	19.92	...	-3.56	7 of 11 - Sale ²⁵
	5.000	16.35	01/14/20	19.90	...	-3.55	8 of 11 - Sale ²⁵
	5.000	16.35	01/14/20	19.80	...	-3.45	9 of 11 - Sale ²⁵
	5.000	16.36	01/14/20	19.80	...	-3.44	10 of 11 - Sale ²⁵
	10.000	32.71	01/15/20	38.80	...	-6.09	11 of 11 - Sale ²⁵
01/15/20	200.000	654.24	Various	1,185.80	...	-531.56	Total of 11 transactions
3 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	50.000	163.63	01/08/20	263.50	99.87 W	0.00	1 of 3 - Sale ²⁵
	50.000	163.63	01/08/20	262.99	99.36 W	0.00	2 of 3 - Sale ²⁵
	50.000	163.63	01/08/20	258.00	94.37 W	0.00	3 of 3 - Sale ²⁵
01/15/20	150.000	490.89	Various	784.49	293.60 W	0.00	Total of 3 transactions
	Security total:	1,145.13		1,970.29	293.60 W	-531.56	
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	100.000	56.00	06/02/20	53.08	...	2.92	1 of 2 - Sale ²⁵
	200.000	111.99	06/02/20	106.18	...	5.81	2 of 2 - Sale ²⁵
06/08/20	300.000	167.99	Various	159.26	...	8.73	Total of 2 transactions
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	10.000	5.60	05/18/20	5.80	0.20 W	0.00	1 of 2 - Sale ²⁵
	90.000	50.40	05/18/20	52.87	2.47 W	0.00	2 of 2 - Sale ²⁵
06/08/20	100.000	56.00	Various	58.67	2.67 W	0.00	Total of 2 transactions
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	10.000	5.62	06/02/20	5.51	...	0.11	1 of 4 - Sale ²⁵
	90.000	50.58	06/02/20	50.24	...	0.34	2 of 4 - Sale ²⁵
	100.000	56.20	06/15/20	55.99	...	0.21	3 of 4 - Sale ²⁵

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	99.000	55.63	06/26/20	54.44	...	1.19	4 of 4 - Sale ²⁵
07/07/20	299.000	168.03	Various	166.18	...	1.85	Total of 4 transactions
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	0.63	06/26/20	0.55	...	0.08	1 of 2 - Sale ²⁵
	199.000	125.99	07/14/20	109.99	...	16.00	2 of 2 - Sale ²⁵
07/23/20	200.000	126.62	Various	110.54	...	16.08	Total of 2 transactions
	6 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	100.000	61.99	07/14/20	55.27	...	6.72	1 of 6 - Sale ²⁵
	40.000	24.80	07/23/20	23.88	...	0.92	2 of 6 - Sale ²⁵
	60.000	37.19	07/23/20	35.81	...	1.38	3 of 6 - Sale ²⁵
	100.000	61.99	07/23/20	60.50	...	1.49	4 of 6 - Sale ²⁵
	100.000	61.98	07/23/20	60.00	...	1.98	5 of 6 - Sale ²⁵
	99.000	61.37	07/23/20	58.91	...	2.46	6 of 6 - Sale ²⁵
08/10/20	499.000	309.32	Various	294.37	...	14.95	Total of 6 transactions
	18 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	0.35	07/23/20	0.59	0.24 W	0.00	1 of 18 - Sale ²⁵
	99.000	34.72	08/12/20	56.45	21.73 W	0.00	2 of 18 - Sale ²⁵
	100.000	35.07	08/12/20	57.01	21.94 W	0.00	3 of 18 - Sale ²⁵
	100.000	35.07	08/12/20	56.80	21.73 W	0.00	4 of 18 - Sale ²⁵
	100.000	35.07	08/12/20	56.70	21.63 W	0.00	5 of 18 - Sale ²⁵
	100.000	35.07	08/12/20	56.40	21.33 W	0.00	6 of 18 - Sale ²⁵
	200.000	70.13	08/13/20	102.00	31.87 W	0.00	7 of 18 - Sale ²⁵
	100.000	35.07	08/13/20	51.00	15.93 W	0.00	8 of 18 - Sale ²⁵
	200.000	70.13	08/13/20	104.50	34.37 W	0.00	9 of 18 - Sale ²⁵
	100.000	35.07	08/13/20	51.92	16.85 W	0.00	10 of 18 - Sale ²⁵
	100.000	35.07	08/14/20	50.26	15.19 W	0.00	11 of 18 - Sale ²⁵
	100.000	35.06	08/14/20	50.26	15.20 W	0.00	12 of 18 - Sale ²⁵
	100.000	35.07	08/14/20	47.63	12.56 W	0.00	13 of 18 - Sale ²⁵
	100.000	35.06	08/14/20	46.63	11.57 W	0.00	14 of 18 - Sale ²⁵
	100.000	35.07	08/14/20	47.33	12.26 W	0.00	15 of 18 - Sale ²⁵
	100.000	35.06	08/19/20	46.19	11.13 W	0.00	16 of 18 - Sale ²⁵
	100.000	35.07	08/24/20	39.34	4.27 W	0.00	17 of 18 - Sale ²⁵

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(continued)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
09/23/20	200.000	70.13	09/02/20	70.40	0.27 W	0.00	18 of 18 - Sale ²⁵
	2,000.000	701.34	Various	991.41	290.07 W	0.00	Total of 18 transactions
	14 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	100.000	36.10	09/23/20	33.44	...	2.66	1 of 14 - Sale ²⁵
	200.000	72.19	09/23/20	67.27	...	4.92	2 of 14 - Sale ²⁵
	400.000	144.39	09/23/20	133.76	...	10.63	3 of 14 - Sale ²⁵
	5.000	1.80	09/25/20	1.70	...	0.10	4 of 14 - Sale ²⁵
	295.000	106.49	09/25/20	100.30	...	6.19	5 of 14 - Sale ²⁵
	100.000	36.10	10/14/20	35.60	...	0.50	6 of 14 - Sale ²⁵
	200.000	72.19	10/14/20	71.20	...	0.99	7 of 14 - Sale ²⁵
	200.000	72.20	10/14/20	71.20	...	1.00	8 of 14 - Sale ²⁵
	100.000	35.99	11/16/20	48.86	...	-12.87	9 of 14 - Sale ²⁵
	99.000	35.63	11/16/20	48.38	...	-12.75	10 of 14 - Sale ²⁵
	100.000	35.99	11/16/20	48.65	...	-12.66	11 of 14 - Sale ²⁵
	100.000	35.98	11/16/20	48.55	...	-12.57	12 of 14 - Sale ²⁵
	100.000	35.99	11/16/20	48.25	...	-12.26	13 of 14 - Sale ²⁵
	1.000	0.36	11/16/20	0.51	...	-0.15	14 of 14 - Sale ²⁵
11/20/20	2,000.000	721.40	Various	757.67	...	-36.27	Total of 14 transactions
	19 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	1.000	0.36	09/02/20	0.59	0.23 W	0.00	1 of 19 - Sale ²⁵
	99.000	35.93	09/02/20	56.58	20.65 W	0.00	2 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	57.14	20.85 W	0.00	3 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	56.93	20.64 W	0.00	4 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	56.83	20.54 W	0.00	5 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	56.53	20.24 W	0.00	6 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	51.13	14.84 W	0.00	7 of 19 - Sale ²⁵
	200.000	72.57	09/02/20	102.27	29.70 W	0.00	8 of 19 - Sale ²⁵
	200.000	72.58	09/02/20	104.77	32.19 W	0.00	9 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	51.86	15.57 W	0.00	10 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	50.20	13.91 W	0.00	11 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	50.21	13.92 W	0.00	12 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	47.57	11.28 W	0.00	13 of 19 - Sale ²⁵
	100.000	36.29	09/02/20	46.58	10.29 W	0.00	14 of 19 - Sale ²⁵
	100.000	36.28	09/10/20	48.80	12.52 W	0.00	15 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	100.000	36.29	09/10/20	47.67	11.38 W	0.00	16 of 19 - Sale ²⁵
	100.000	36.28	09/10/20	40.81	4.53 W	0.00	17 of 19 - Sale ²⁵
	200.000	72.57	09/10/20	73.35	0.78 W	0.00	18 of 19 - Sale ²⁵
	500.000	180.48	10/14/20	182.40	1.92 W	0.00	19 of 19 - Sale ²⁵
11/20/20	2,500.000	906.24	Various	1,182.22	275.98 W	0.00	Total of 19 transactions
	Security total:	3,156.94		3,720.32	568.72 W	5.34	
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol:							
8 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	26.000	6.90	02/11/20	6.82	...	0.08	1 of 8 - Sale ²⁵
	74.000	19.82	02/11/20	19.43	...	0.39	2 of 8 - Sale ²⁵
	100.000	26.56	02/12/20	28.31	...	-1.75	3 of 8 - Sale ²⁵
	100.000	26.54	02/13/20	28.32	...	-1.78	4 of 8 - Sale ²⁵
	74.000	19.65	02/13/20	21.20	...	-1.55	5 of 8 - Sale ²⁵
	74.000	19.65	02/13/20	20.96	...	-1.31	6 of 8 - Sale ²⁵
	26.000	6.90	02/13/20	7.45	...	-0.55	7 of 8 - Sale ²⁵
	26.000	6.91	02/13/20	7.36	...	-0.45	8 of 8 - Sale ²⁵
02/25/20	500.000	132.93	Various	139.85	...	-6.92	Total of 8 transactions
5 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	100.000	26.56	02/11/20	26.89	0.33 W	0.00	1 of 5 - Sale ²⁵
	174.000	46.21	02/11/20	46.77	0.56 W	0.00	2 of 5 - Sale ²⁵
	100.000	26.56	02/11/20	27.21	0.65 W	0.00	3 of 5 - Sale ²⁵
	100.000	26.56	02/11/20	26.88	0.32 W	0.00	4 of 5 - Sale ²⁵
	26.000	6.91	02/11/20	6.99	0.08 W	0.00	5 of 5 - Sale ²⁵
02/25/20	500.000	132.80	Various	134.74	1.94 W	0.00	Total of 5 transactions
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.40	05/15/20	0.22	...	0.18	1 of 4 - Sale ²⁵
	5.000	2.00	05/15/20	1.09	...	0.91	2 of 4 - Sale ²⁵
	94.000	37.59	05/15/20	20.54	...	17.05	3 of 4 - Sale ²⁵
	399.000	159.55	05/15/20	85.35	...	74.20	4 of 4 - Sale ²⁵
07/31/20	499.000	199.54	Various	107.20	...	92.34	Total of 4 transactions
	Security total:	465.27		381.79	1.94 W	85.42	

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644148 / Symbol:							
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	0.050	0.12	05/15/20	0.21	...	-0.09	1 of 3 - Sale ²⁵
	49.950	117.88	09/03/20	273.33	...	-155.45	2 of 3 - Sale ²⁵
	50.000	117.99	09/10/20	258.10	...	-140.11	3 of 3 - Sale ²⁵
11/02/20	100.000	235.99	Various	531.64	...	-295.65	Total of 3 transactions
CUREVAC N.V. ORDINARY SHARES / CUSIP: N2451R105 / Symbol:							
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	71.10	08/14/20	44.99	...	26.11	1 of 4 - Sale ²⁵
	2.000	142.20	08/14/20	84.70	...	57.50	2 of 4 - Sale ²⁵
	3.000	213.29	08/14/20	136.29	...	77.00	3 of 4 - Sale ²⁵
	5.000	355.49	08/14/20	222.28	...	133.21	4 of 4 - Sale ²⁵
08/17/20	11.000	782.08	Various	488.26	...	293.82	Total of 4 transactions
08/28/20	0.900	51.98	08/14/20	40.49	...	11.49	Sale ²⁵
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.100	5.88	08/14/20	4.50	...	1.38	1 of 3 - Sale ²⁵
	4.000	235.23	09/03/20	227.42	...	7.81	2 of 3 - Sale ²⁵
	4.900	288.16	09/03/20	278.59	...	9.57	3 of 3 - Sale ²⁵
09/04/20	9.000	529.27	Various	510.51	...	18.76	Total of 3 transactions
09/08/20	1.000	57.82	09/03/20	56.86	...	0.96	Sale ²⁵
	Security total:	1,421.15		1,096.12	...	325.03	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol:							
08/12/20	1,000.000	144.48	07/06/20	238.43	93.95 W	0.00	Sale ²⁵
35 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1,000.000	140.88	07/06/20	238.10	97.22 W	0.00	1 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.40	12.31 W	0.00	2 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.39	12.30 W	0.00	3 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.40	12.31 W	0.00	4 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.39	12.30 W	0.00	5 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.39	12.30 W	0.00	6 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.40	12.31 W	0.00	7 of 35 - Sale ²⁵
	100.000	14.08	07/17/20	26.39	12.31 W	0.00	8 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.40	12.31 W	0.00	9 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	100.000	14.08	07/17/20	26.39	12.31 W	0.00	10 of 35 - Sale ²⁵
	100.000	14.09	07/17/20	26.40	12.31 W	0.00	11 of 35 - Sale ²⁵
	500.000	70.24	07/17/20	132.11	61.87 W	0.00	12 of 35 - Sale ²⁵
	100.000	14.05	07/17/20	26.42	12.37 W	0.00	13 of 35 - Sale ²⁵
	304.000	42.71	07/17/20	80.33	37.62 W	0.00	14 of 35 - Sale ²⁵
	4.000	0.56	07/17/20	1.16	0.60 W	0.00	15 of 35 - Sale ²⁵
	96.000	13.49	07/17/20	25.36	11.87 W	0.00	16 of 35 - Sale ²⁵
	36.000	5.06	07/17/20	10.19	5.13 W	0.00	17 of 35 - Sale ²⁵
	60.000	8.43	07/22/20	17.83	9.40 W	0.00	18 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.70	15.65 W	0.00	19 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.71	15.66 W	0.00	20 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.70	15.65 W	0.00	21 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.70	15.65 W	0.00	22 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.71	15.66 W	0.00	23 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.71	15.66 W	0.00	24 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.71	15.66 W	0.00	25 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.71	15.66 W	0.00	26 of 35 - Sale ²⁵
	100.000	14.05	07/22/20	29.71	15.66 W	0.00	27 of 35 - Sale ²⁵
	40.000	5.62	07/22/20	6.96	1.34 W	0.00	28 of 35 - Sale ²⁵
	8.000	1.12	07/22/20	1.36	0.24 W	0.00	29 of 35 - Sale ²⁵
	2.000	0.28	07/23/20	0.33	0.05 W	0.00	30 of 35 - Sale ²⁵
	50.000	7.02	07/23/20	8.15	1.13 W	0.00	31 of 35 - Sale ²⁵
	100.000	14.04	07/23/20	16.20	2.16 W	0.00	32 of 35 - Sale ²⁵
	100.000	14.05	07/23/20	16.26	2.21 W	0.00	33 of 35 - Sale ²⁵
	200.000	28.09	07/23/20	32.60	4.51 W	0.00	34 of 35 - Sale ²⁵
	500.000	70.23	07/23/20	81.80	11.57 W	0.00	35 of 35 - Sale ²⁵
08/14/20	5,000.000	703.20	Various	1,226.47	523.27 W	0.00	Total of 35 transactions
	35 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	500.000	60.54	07/23/20	143.67	...	-83.13	1 of 35 - Sale ²⁵
	304.000	36.81	07/23/20	87.38	...	-50.57	2 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.03	...	-19.92	3 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.03	...	-19.92	4 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.03	...	-19.92	5 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.03	...	-19.92	6 of 35 - Sale ²⁵

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(continued)

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GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	100.000	12.11	07/23/20	32.03	...	-19.92	7 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.03	...	-19.92	8 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.02	...	-19.91	9 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.02	...	-19.91	10 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	32.02	...	-19.91	11 of 35 - Sale ²⁵
	100.000	12.11	07/23/20	28.74	...	-16.63	12 of 35 - Sale ²⁵
	96.000	11.62	07/23/20	27.59	...	-15.97	13 of 35 - Sale ²⁵
	60.000	7.26	07/23/20	19.23	...	-11.97	14 of 35 - Sale ²⁵
	36.000	4.36	07/23/20	11.02	...	-6.66	15 of 35 - Sale ²⁵
	4.000	0.48	07/23/20	1.25	...	-0.77	16 of 35 - Sale ²⁵
	500.000	60.54	07/27/20	85.42	...	-24.88	17 of 35 - Sale ²⁵
	200.000	24.21	07/27/20	34.05	...	-9.84	18 of 35 - Sale ²⁵
	100.000	12.11	07/27/20	16.98	...	-4.87	19 of 35 - Sale ²⁵
	100.000	12.11	07/27/20	16.93	...	-4.82	20 of 35 - Sale ²⁵
	50.000	6.05	07/27/20	8.51	...	-2.46	21 of 35 - Sale ²⁵
	40.000	4.84	07/27/20	7.25	...	-2.41	22 of 35 - Sale ²⁵
	8.000	0.97	07/27/20	1.42	...	-0.45	23 of 35 - Sale ²⁵
	2.000	0.24	07/27/20	0.35	...	-0.11	24 of 35 - Sale ²⁵
	400.000	48.43	07/30/20	60.28	...	-11.85	25 of 35 - Sale ²⁵
	100.000	12.11	07/30/20	15.09	...	-2.98	26 of 35 - Sale ²⁵
	100.000	12.11	07/30/20	15.09	...	-2.98	27 of 35 - Sale ²⁵
	100.000	12.11	07/30/20	15.09	...	-2.98	28 of 35 - Sale ²⁵
	100.000	12.11	07/30/20	15.03	...	-2.92	29 of 35 - Sale ²⁵
	100.000	12.11	07/30/20	14.95	...	-2.84	30 of 35 - Sale ²⁵
	50.000	6.05	07/30/20	7.48	...	-1.43	31 of 35 - Sale ²⁵
	50.000	6.05	07/30/20	7.48	...	-1.43	32 of 35 - Sale ²⁵
	500.000	60.53	08/10/20	73.75	...	-13.22	33 of 35 - Sale ²⁵
	400.000	48.42	08/10/20	59.36	...	-10.94	34 of 35 - Sale ²⁵
	100.000	12.11	08/10/20	14.84	...	-2.73	35 of 35 - Sale ²⁵
09/03/20	5,000.000	605.38	Various	1,076.47	...	-471.09	Total of 35 transactions
	Security total:	1,453.06		2,541.37	617.22 W	-471.09	

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(continued)

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
<i>31 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	9.49	06/08/20	21.95	12.46 W	0.00	1 of 31 - Sale ²⁵
	400.000	37.95	06/08/20	89.16	51.21 W	0.00	2 of 31 - Sale ²⁵
	500.000	47.44	06/08/20	117.00	69.56 W	0.00	3 of 31 - Sale ²⁵
	1,000.000	94.88	06/11/20	145.90	51.02 W	0.00	4 of 31 - Sale ²⁵
	100.000	9.49	06/15/20	14.34	4.85 W	0.00	5 of 31 - Sale ²⁵
	100.000	9.49	06/15/20	14.34	4.85 W	0.00	6 of 31 - Sale ²⁵
	300.000	28.46	06/15/20	43.02	14.56 W	0.00	7 of 31 - Sale ²⁵
	300.000	28.46	06/15/20	43.02	14.56 W	0.00	8 of 31 - Sale ²⁵
	100.000	9.49	06/15/20	14.34	4.85 W	0.00	9 of 31 - Sale ²⁵
	100.000	9.49	06/15/20	14.34	4.85 W	0.00	10 of 31 - Sale ²⁵
	700.000	66.41	06/15/20	100.80	34.39 W	0.00	11 of 31 - Sale ²⁵
	70.000	6.64	06/15/20	10.08	3.44 W	0.00	12 of 31 - Sale ²⁵
	130.000	12.33	06/15/20	18.72	6.39 W	0.00	13 of 31 - Sale ²⁵
	100.000	9.49	06/15/20	14.40	4.91 W	0.00	14 of 31 - Sale ²⁵
	34.000	3.23	06/26/20	4.51	1.28 W	0.00	15 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	16 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	17 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	18 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	19 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	20 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	21 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	22 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	23 of 31 - Sale ²⁵
	100.000	9.49	06/26/20	13.27	3.78 W	0.00	24 of 31 - Sale ²⁵
	66.000	6.26	06/26/20	8.76	2.50 W	0.00	25 of 31 - Sale ²⁵
	100.000	9.49	07/07/20	11.05	1.56 W	0.00	26 of 31 - Sale ²⁵
	900.000	85.39	07/07/20	99.45	14.06 W	0.00	27 of 31 - Sale ²⁵
	1,000.000	94.87	07/07/20	110.00	15.13 W	0.00	28 of 31 - Sale ²⁵
	100.000	9.49	07/07/20	11.00	1.51 W	0.00	29 of 31 - Sale ²⁵
	100.000	9.49	07/07/20	11.00	1.51 W	0.00	30 of 31 - Sale ²⁵
	800.000	75.89	07/07/20	88.00	12.11 W	0.00	31 of 31 - Sale ²⁵
08/03/20	8,000.000	759.03	Various	1,124.61	365.58 W	0.00	Total of 31 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
34 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
500.000		49.69	08/04/20	117.41	...	-67.72	1 of 34 - Sale ²⁵
400.000		39.75	08/04/20	89.49	...	-49.74	2 of 34 - Sale ²⁵
1,000.000		99.38	08/04/20	146.92	...	-47.54	3 of 34 - Sale ²⁵
700.000		69.56	08/04/20	101.52	...	-31.96	4 of 34 - Sale ²⁵
300.000		29.81	08/04/20	43.33	...	-13.52	5 of 34 - Sale ²⁵
300.000		29.81	08/04/20	43.33	...	-13.52	6 of 34 - Sale ²⁵
1,000.000		99.37	08/04/20	110.93	...	-11.56	7 of 34 - Sale ²⁵
900.000		89.44	08/04/20	100.28	...	-10.84	8 of 34 - Sale ²⁵
600.000		59.62	08/04/20	66.56	...	-6.94	9 of 34 - Sale ²⁵
130.000		12.92	08/04/20	18.86	...	-5.94	10 of 34 - Sale ²⁵
100.000		9.94	08/04/20	14.50	...	-4.56	11 of 34 - Sale ²⁵
100.000		9.94	08/04/20	14.44	...	-4.50	12 of 34 - Sale ²⁵
100.000		9.94	08/04/20	14.44	...	-4.50	13 of 34 - Sale ²⁵
100.000		9.94	08/04/20	14.44	...	-4.50	14 of 34 - Sale ²⁵
100.000		9.94	08/04/20	14.44	...	-4.50	15 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	16 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	17 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	18 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	19 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	20 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	21 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	22 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	23 of 34 - Sale ²⁵
100.000		9.94	08/04/20	13.37	...	-3.43	24 of 34 - Sale ²⁵
70.000		6.96	08/04/20	10.15	...	-3.19	25 of 34 - Sale ²⁵
200.000		19.87	08/04/20	22.19	...	-2.32	26 of 34 - Sale ²⁵
66.000		6.56	08/04/20	8.83	...	-2.27	27 of 34 - Sale ²⁵
100.000		9.94	08/04/20	11.14	...	-1.20	28 of 34 - Sale ²⁵
34.000		3.38	08/04/20	4.54	...	-1.16	29 of 34 - Sale ²⁵
100.000		9.94	08/04/20	11.09	...	-1.15	30 of 34 - Sale ²⁵
100.000		9.94	08/04/20	11.09	...	-1.15	31 of 34 - Sale ²⁵
100.000		9.94	08/04/20	9.58	...	0.36	32 of 34 - Sale ²⁵
99.000		9.84	08/04/20	9.48	...	0.36	33 of 34 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 103527271

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	1,800.000	178.87	08/04/20	172.44	...	6.43	34 of 34 - Sale ²⁵
08/07/20	9,899.000	983.75	Various	1,301.75	...	-318.00	Total of 34 transactions
08/07/20	100.000	9.94	08/04/20	22.03	0.12 W	-11.97	Sale ²⁵
	Security total:	1,752.72		2,448.39	365.70 W	-329.97	
Totals :		492,278.01		565,240.70	73,119.04 W	156.35	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 103527271

Proceeds Not Reported to the IRS

2020

03/22/2021 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CERECOR INC. COMMON STOCK / CUSIP: 15671L109 / Symbol: 02/14/20	0.100	0.37	12/06/19	0.41	...	-0.04	Gain or loss
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol: 08/14/20	0.040	0.06	08/04/20	0.22	...	-0.16	Gain or loss
Totals:		0.43		0.63	...	-0.20	

Robinhood Securities LLC

Account 103527271

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	10.25	Qualified dividend	
VELOCITYSHARES3X LONG CRUDE OIL ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER	17325E291		04/03/20	658.80	Cash liquidation	
GILEAD SCIENCES, INC. COMMON STOCK	375558103		03/30/20	40.80	Qualified dividend	
			06/29/20	0.68	Qualified dividend	
				41.48	Total Dividends & distributions	
TRANSDIGM GROUP INCORPORATED	893641100		01/07/20	205.89	Nondividend distribution	03
			01/07/20	119.11	Qualified dividend	03
				325.00	Total Dividends & distributions	
YAMANA GOLD, INC.	98462Y100		10/14/20	1.75	Nonqualified dividend	
			10/14/20	-0.26	Foreign tax withheld-CA	
				1.75	Total Dividends & distributions	
				-0.26	Total Foreign tax withheld	
				1,037.28	Total Dividends & distributions	
				-0.26	Total Foreign tax withheld	

Robinhood Securities LLC

Account 103527271

Detail for Interest Income**2020**

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/31/20	0.79	Interest	
		06/30/20	0.02	Interest	
			0.81	Total Interest	
			0.81	Total Interest	

Robinhood Securities LLC

Account 103527271

Detail for Miscellaneous Income

2020

03/22/2021 AMENDED

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
ANTERO MIDSTREAM CORPORATION C OMMON STOCK	03676B102	02/12/20	0.31	Substitute payment	
			0.31	Total Miscellaneous income	

Robinhood Securities LLC

Account 103527271

Fees and Expenses

2020

03/22/2021 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/10/20	-5.00	Margin interest paid	
		02/10/20	-14.45	Margin interest paid	
		03/09/20	-32.40	Margin interest paid	
		04/08/20	-38.06	Margin interest paid	
		05/08/20	-37.93	Margin interest paid	
		05/28/20	1.28	Adj- Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		06/08/20	-24.39	Margin interest paid	
		07/07/20	-5.00	Margin interest paid	
		07/07/20	-14.11	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		08/06/20	-5.00	Margin interest paid	
		08/06/20	-12.91	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		09/08/20	-16.01	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		10/05/20	-17.39	Margin interest paid	
		11/04/20	-5.00	Margin interest paid	
		11/04/20	-19.56	Margin interest paid	
		12/04/20	-5.00	Margin interest paid	
		12/04/20	-24.42	Margin interest paid	
			-290.34	Total Margin interest paid	
			-290.34	Total Margin interest paid	

Robinhood Securities LLC

Account 103527271

Foreign Income and Taxes Summary

2020

03/22/2021 AMENDED

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	YAMANA GOLD, INC.	98462Y100	1.75	0.00	0.00	1.75	-0.26	
Total CANADA			1.75	0.00	0.00	1.75	-0.26	
Grand Total	Total Foreign Source Income*			1.75				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax						-0.26	

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 103527271

Non-Reportable Actions

2020

03/22/2021 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AEVI GENOMIC MEDICINE, INC. CO MMON STOCK	00835P105	02/05/20	-1,500.00	0.00	Merger	
GNMX CVR	008CVR011	02/05/20	1,500.00	0.00	Merger	
CERECOR INC. COMMON STOCK	15671L109	02/05/20	50.00	0.00	Merger	
VELOCITYSHARES3X LONG CRUDE OI L ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER	17325E291	04/03/20	-4,000.00	0.00	Exchange	
FLYING EAGLE ACQUISITION CORP.	34407Y103	12/16/20	-1.00	0.00	Exchange	
GORES METROPOULOS, INC. CLASS A COMMON STOCK	382872109	12/03/20	-0.10	0.00	Exchange	
LUMINAR TECHNOLOGIES, INC. CLA SS A COMMON STOCK	550424105	12/03/20	0.10	0.00	Exchange	
SKILLZ INC.	83067L109	12/16/20	1.00	0.00	Exchange	
ZOMEDICA PHARMACEUTICALS CORP.	98979F107	10/05/20	-14,500.00	0.00	Exchange	
ZOMEDICA CORP.	98980M109	10/05/20	14,500.00	0.00	Exchange	
UCO 05/15/2020 CALL \$2.50	UCO 05/15/20 C 2.500	04/21/20	-4.00	0.00	Merger	
UCO 05/15/2020 PUT \$1.00	UCO 05/15/20 P 1.000	04/21/20	-4.00	0.00	Merger	
UCO1 05/15/2020 CALL \$2.50	UCO1 05/15/20 C 2.500	04/21/20	4.00	0.00	Merger	
UCO1 05/15/2020 PUT \$1.00	UCO1 05/15/20 P 1.000	04/21/20	4.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.