

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 128101805	Statement Date: 02/14/2021 Document ID: 8NTQ HBQ NY37	2020
	Niranjan sai Chamakuru 3523 Federal Dr 110 Eagan, MN 55122 RECIPIENT'S TIN: XXX-XX-3315		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	968,212.24	980,097.77	0.00	14,436.27	2,550.74
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.09	4.34	0.00	0.00	-0.25
	Total Short-term	968,216.33	980,102.11	0.00	14,436.27	2,550.49
Long	D (basis reported to the IRS)	7.83	14.37	0.00	0.00	-6.54
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	7.83	14.37	0.00	0.00	-6.54
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	968,224.16	980,116.48	0.00	14,436.27	2,543.95
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	1.58
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	143.85
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$225.00 / CUSIP: / Symbol:							
11/19/20	2.000	9.98	08/31/20	356.00	...	-346.02	Option sale to close-call ²⁵
AAPL 12/18/2020 CALL \$160.00 / CUSIP: / Symbol:							
11/23/20	1.000	2.98	08/31/20	459.00	...	-456.02	Option sale to close-call ²⁵
BA 01/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
09/03/20	1.000	384.98	08/12/20	435.00	...	-50.02	Option sale to close-call ²⁵
CCL 05/08/2020 CALL \$13.50 / CUSIP: / Symbol:							
05/08/20	1.000	69.98	05/07/20	35.00	...	34.98	Option sale to close-call ²⁵
CSCO 10/16/2020 CALL \$42.50 / CUSIP: / Symbol:							
10/16/20	10.000	0.00	08/13/20	2,080.00	...	-2,080.00	Option expiration- long call

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Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCU 09/04/2020 CALL \$320.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	385.00	...	-385.00	Option expiration- long call
INTC 09/11/2020 CALL \$52.50 / CUSIP: / Symbol:							
09/11/20	3.000	0.00	09/02/20	372.00	...	-372.00	Option expiration- long call
KFRC 09/18/2020 PUT \$35.00 / CUSIP: / Symbol:							
08/14/20	1.000	124.98	08/11/20	115.00	...	9.98	Option sale to close-put ²⁵
MDB 09/11/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/01/20	530.00	...	-530.00	Option expiration- long call
MGM 09/18/2020 CALL \$24.00 / CUSIP: / Symbol:							
09/14/20	1.000	19.98	09/08/20	107.00	...	-87.02	Option sale to close-call ²⁵
NIO 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
09/01/20	1.000	409.98	08/26/20	365.00	...	44.98	Option sale to close-call ²⁵
NIO 11/20/2020 CALL \$18.00 / CUSIP: / Symbol:							
<i>5 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	399.99	09/08/20	385.00	...	14.99	1 of 5 - Option sale to close-call ²⁵
	1.000	399.99	09/08/20	385.00	...	14.99	2 of 5 - Option sale to close-call ²⁵
	1.000	399.99	09/08/20	385.00	...	14.99	3 of 5 - Option sale to close-call ²⁵
	2.000	799.98	09/08/20	770.00	...	29.98	4 of 5 - Option sale to close-call ²⁵
	4.000	1,599.95	09/08/20	1,540.00	...	59.95	5 of 5 - Option sale to close-call ²⁵
09/10/20	9.000	3,599.90	Various	3,465.00	...	134.90	Total of 5 transactions
NKLA 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/08/20	1.000	639.97	09/08/20	481.00	...	158.97	Option sale to close-call ²⁵
RCL 05/01/2020 CALL \$46.00 / CUSIP: / Symbol:							
05/01/20	5.000	0.00	04/30/20	680.00	...	-680.00	Option expiration- long call
RKT 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
<i>4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	559.97	09/08/20	557.00	...	2.97	1 of 4 - Option sale to close-call ²⁵
	1.000	559.97	09/08/20	557.00	...	2.97	2 of 4 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 12/18/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	559.97	09/08/20	557.00	...	2.97	3 of 4 - Option sale to close-call ²⁵
	3.000	1,679.95	09/08/20	1,671.00	...	8.95	4 of 4 - Option sale to close-call ²⁵
09/08/20	6.000	3,359.86	Various	3,342.00	...	17.86	Total of 4 transactions
SPY 09/18/2020 PUT \$300.00 / CUSIP: / Symbol:							
08/11/20	1.000	217.98	08/10/20	207.00	...	10.98	Option sale to close-put ²⁵
TSLA 02/19/2021 CALL \$620.00 / CUSIP: / Symbol:							
09/23/20	1.000	3,999.90	09/10/20	3,600.00	...	399.90	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/31/20	1.000	5,949.85	08/31/20	4,705.00	...	1,244.85	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$520.00 / CUSIP: / Symbol:							
09/23/20	1.000	19.98	09/01/20	4,865.00	...	-4,845.02	Option sale to close-call ²⁵
TSLA 09/25/2020 PUT \$440.00 / CUSIP: / Symbol:							
09/16/20	1.000	4,069.90	09/16/20	4,195.00	...	-125.10	Option sale to close-put ²⁵
TSLA 10/09/2020 CALL \$460.00 / CUSIP: / Symbol:							
09/15/20	1.000	4,999.87	08/31/20	4,965.00	...	34.87	Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$535.00 / CUSIP: / Symbol:							
09/23/20	1.000	2,599.93	09/18/20	5,000.00	...	-2,400.07	Option sale to close-call ²⁵
TSLA 12/18/2020 CALL \$480.00 / CUSIP: / Symbol:							
09/23/20	1.000	4,699.88	09/04/20	6,500.00	...	-1,800.12	Option sale to close-call ²⁵
VXRT 10/02/2020 CALL \$12.50 / CUSIP: / Symbol:							
09/15/20	1.000	24.98	09/14/20	55.00	...	-30.02	Option sale to close-call ²⁵
WORK 09/11/2020 CALL \$34.50 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/01/20	325.00	...	-325.00	Option expiration- long call

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMTD INTERNATIONAL INC. / CUSIP: 00180G106 / Symbol:							
<i>10 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	16.000	96.00	08/21/20	94.40	...	1.60	1 of 10 - Sale ²⁵
	17.000	102.00	08/21/20	96.90	...	5.10	2 of 10 - Sale ²⁵
	16.000	96.00	08/21/20	88.00	...	8.00	3 of 10 - Sale ²⁵
	33.000	197.99	08/21/20	188.11	...	9.88	4 of 10 - Sale ²⁵
	67.000	401.98	08/21/20	381.92	...	20.06	5 of 10 - Sale ²⁵
	100.000	599.97	08/21/20	570.03	...	29.94	6 of 10 - Sale ²⁵
	100.000	599.97	08/21/20	570.03	...	29.94	7 of 10 - Sale ²⁵
	500.000	2,999.87	08/21/20	2,850.13	...	149.74	8 of 10 - Sale ²⁵
	851.000	5,105.78	08/21/20	4,850.92	...	254.86	9 of 10 - Sale ²⁵
	1,300.000	7,799.67	08/21/20	7,410.34	...	389.33	10 of 10 - Sale ²⁵
08/21/20	3,000.000	17,999.23	Various	17,100.78	...	898.45	Total of 10 transactions
<i>3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	2,900.000	18,269.24	08/20/20	18,850.00	580.76 W	0.00	1 of 3 - Sale ²⁵
	84.000	529.18	08/20/20	546.00	16.82 W	0.00	2 of 3 - Sale ²⁵
	16.000	100.80	08/20/20	107.20	6.40 W	0.00	3 of 3 - Sale ²⁵
08/21/20	3,000.000	18,899.22	Various	19,503.20	603.98 W	0.00	Total of 3 transactions
	Security total:	36,898.45		36,603.98	603.98 W	898.45	

ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:

13 transactions for 08/10/20. Total proceeds and cost reported to the IRS.

	4,296.000	1,659.86	08/07/20	1,709.81	...	-49.95	1 of 13 - Sale ²⁵
	1,900.000	734.10	08/07/20	776.97	...	-42.87	2 of 13 - Sale ²⁵
	800.000	309.66	08/07/20	327.14	...	-17.48	3 of 13 - Sale ²⁵
	440.000	170.23	08/07/20	179.93	...	-9.70	4 of 13 - Sale ²⁵
	816.000	315.85	08/07/20	324.77	...	-8.92	5 of 13 - Sale ²⁵
	344.000	132.91	08/07/20	140.67	...	-7.76	6 of 13 - Sale ²⁵
	200.000	77.28	08/07/20	81.79	...	-4.51	7 of 13 - Sale ²⁵
	200.000	77.42	08/07/20	81.79	...	-4.37	8 of 13 - Sale ²⁵
	100.000	38.69	08/07/20	40.89	...	-2.20	9 of 13 - Sale ²⁵
	100.000	38.69	08/07/20	40.89	...	-2.20	10 of 13 - Sale ²⁵
	100.000	38.71	08/07/20	40.89	...	-2.18	11 of 13 - Sale ²⁵
	10.000	4.06	08/07/20	3.98	...	0.08	12 of 13 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol: (cont'd)							
	10.000	4.06	08/07/20	3.98	...	0.08	13 of 13 - Sale ²⁵
08/10/20	9,316.000	3,601.52	Various	3,753.50	...	-151.98	Total of 13 transactions
08/10/20	4,184.000	1,619.50	08/07/20	1,665.23	45.73 W	0.00	Sale ²⁵
	Security total:	5,221.02		5,418.73	45.73 W	-151.98	
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
18 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	4,300.000	4,158.33	07/16/20	4,278.07	...	-119.74	1 of 18 - Sale ²⁵
	1,100.000	1,063.76	07/16/20	1,094.28	...	-30.52	2 of 18 - Sale ²⁵
	500.000	483.53	07/16/20	497.40	...	-13.87	3 of 18 - Sale ²⁵
	500.000	483.53	07/16/20	497.40	...	-13.87	4 of 18 - Sale ²⁵
	449.000	434.21	07/16/20	446.67	...	-12.46	5 of 18 - Sale ²⁵
	290.000	280.45	07/16/20	288.40	...	-7.95	6 of 18 - Sale ²⁵
	200.000	193.41	07/16/20	198.96	...	-5.55	7 of 18 - Sale ²⁵
	200.000	193.41	07/16/20	198.96	...	-5.55	8 of 18 - Sale ²⁵
	190.000	183.74	07/16/20	188.95	...	-5.21	9 of 18 - Sale ²⁵
	151.000	146.03	07/16/20	150.21	...	-4.18	10 of 18 - Sale ²⁵
	100.000	96.71	07/16/20	99.48	...	-2.77	11 of 18 - Sale ²⁵
	100.000	96.71	07/16/20	99.48	...	-2.77	12 of 18 - Sale ²⁵
	100.000	96.71	07/16/20	99.48	...	-2.77	13 of 18 - Sale ²⁵
	100.000	96.71	07/16/20	99.48	...	-2.77	14 of 18 - Sale ²⁵
	100.000	96.71	07/16/20	99.48	...	-2.77	15 of 18 - Sale ²⁵
	100.000	96.71	07/16/20	99.48	...	-2.77	16 of 18 - Sale ²⁵
	10.000	9.67	07/16/20	10.31	...	-0.64	17 of 18 - Sale ²⁵
	105.000	101.54	07/16/20	98.61	...	2.93	18 of 18 - Sale ²⁵
07/17/20	8,595.000	8,311.87	Various	8,545.10	...	-233.23	Total of 18 transactions
07/17/20	10.000	9.59	07/16/20	9.95	0.36 W	0.00	Sale ²⁵
	Security total:	8,321.46		8,555.05	0.36 W	-233.23	
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	90.000	17.33	05/12/20	31.14	...	-13.81	1 of 2 - Sale ²⁵
	10.000	1.93	05/12/20	4.99	...	-3.06	2 of 2 - Sale ²⁵
05/21/20	100.000	19.26	Various	36.13	...	-16.87	Total of 2 transactions

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol: (cont'd)							
05/21/20	110.000	21.19	05/12/20	38.06	1.53 W	-15.34	Sale ²⁵
	Security total:	40.45		74.19	1.53 W	-32.21	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
04/09/20	360.000	2,210.71	04/09/20	2,221.20	...	-10.49	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	11.57	04/01/20	10.51	...	1.06	1 of 3 - Sale ²⁵
	4.000	46.28	04/01/20	43.11	...	3.17	2 of 3 - Sale ²⁵
	88.000	1,018.12	04/01/20	947.64	...	70.48	3 of 3 - Sale ²⁵
04/08/20	93.000	1,075.97	Various	1,001.26	...	74.71	Total of 3 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
06/04/20	123.000	1,741.36	05/12/20	799.50	...	941.86	Sale ²⁵
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	228.000	2,533.02	06/10/20	3,192.00	...	-658.98	1 of 8 - Sale ²⁵
	144.000	1,599.80	06/10/20	2,136.24	...	-536.44	2 of 8 - Sale ²⁵
	100.000	1,110.97	06/10/20	1,483.50	...	-372.53	3 of 8 - Sale ²⁵
	77.000	855.45	06/10/20	1,078.00	...	-222.55	4 of 8 - Sale ²⁵
	7.000	77.77	06/16/20	91.98	...	-14.21	5 of 8 - Sale ²⁵
	24.000	266.63	06/19/20	295.20	...	-28.57	6 of 8 - Sale ²⁵
	7.000	77.77	06/23/20	83.01	...	-5.24	7 of 8 - Sale ²⁵
	8.000	88.88	06/26/20	87.92	...	0.96	8 of 8 - Sale ²⁵
06/30/20	595.000	6,610.29	Various	8,447.85	...	-1,837.56	Total of 8 transactions
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
11/12/20	80.000	10,221.33	11/09/20	9,196.00	...	1,025.33	Sale ²⁵
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	20.000	2,570.81	11/09/20	2,299.00	...	271.81	1 of 3 - Sale ²⁵
	126.000	16,196.12	11/09/20	14,488.74	...	1,707.38	2 of 3 - Sale ²⁵
	85.000	10,925.95	11/10/20	10,625.00	...	300.95	3 of 3 - Sale ²⁵
11/13/20	231.000	29,692.88	Various	27,412.74	...	2,280.14	Total of 3 transactions

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
Security total:		39,914.21		36,608.74	...	3,305.47	
BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	209.000	4,503.83	08/05/20	6,144.60	...	-1,640.77	1 of 3 - Sale ²⁵
	100.000	2,155.94	08/05/20	2,940.00	...	-784.06	2 of 3 - Sale ²⁵
	91.000	1,979.19	08/05/20	2,675.40	...	-696.21	3 of 3 - Sale ²⁵
08/05/20	400.000	8,638.96	Various	11,760.00	...	-3,121.04	Total of 3 transactions
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
15 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	7.50	08/21/20	6.80	...	0.70	1 of 15 - Sale ²⁵
	2.000	15.00	08/21/20	13.60	...	1.40	2 of 15 - Sale ²⁵
	5.000	37.50	08/21/20	34.00	...	3.50	3 of 15 - Sale ²⁵
	6.000	45.00	08/21/20	40.80	...	4.20	4 of 15 - Sale ²⁵
	7.000	52.50	08/21/20	47.60	...	4.90	5 of 15 - Sale ²⁵
	9.000	67.50	08/21/20	61.20	...	6.30	6 of 15 - Sale ²⁵
	10.000	75.00	08/21/20	68.00	...	7.00	7 of 15 - Sale ²⁵
	23.000	172.50	08/21/20	156.40	...	16.10	8 of 15 - Sale ²⁵
	27.000	202.49	08/21/20	183.60	...	18.89	9 of 15 - Sale ²⁵
	30.000	225.00	08/21/20	204.00	...	21.00	10 of 15 - Sale ²⁵
	50.000	375.00	08/21/20	340.00	...	35.00	11 of 15 - Sale ²⁵
	83.000	622.48	08/21/20	564.40	...	58.08	12 of 15 - Sale ²⁵
	100.000	749.97	08/21/20	680.00	...	69.97	13 of 15 - Sale ²⁵
	380.000	2,849.93	08/21/20	2,584.00	...	265.93	14 of 15 - Sale ²⁵
	417.000	3,127.37	08/21/20	2,835.60	...	291.77	15 of 15 - Sale ²⁵
08/24/20	1,150.000	8,624.74	Various	7,820.00	...	804.74	Total of 15 transactions
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	448.000	3,001.53	08/24/20	3,606.40	...	-604.87	1 of 5 - Sale ²⁵
	434.000	2,907.72	08/24/20	3,493.70	...	-585.98	2 of 5 - Sale ²⁵
	97.000	649.88	08/24/20	779.88	...	-130.00	3 of 5 - Sale ²⁵
	21.000	140.70	08/24/20	169.05	...	-28.35	4 of 5 - Sale ²⁵
	3.000	20.10	09/03/20	20.43	...	-0.33	5 of 5 - Sale ²⁵
09/04/20	1,003.000	6,719.93	Various	8,069.46	...	-1,349.53	Total of 5 transactions

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
Security total:		15,344.67		15,889.46	...	-544.79	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
04/23/20	10.000	1,371.22	04/17/20	1,490.00	...	-118.78	Sale ²⁵
BRAEMAR HOTELS & RESORTS INC. COMMON STOCK / CUSIP: 10482B101 / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	104.000	398.31	05/01/20	291.20	...	107.11	1 of 3 - Sale ²⁵
	176.000	674.06	05/01/20	492.80	...	181.26	2 of 3 - Sale ²⁵
	220.000	842.57	05/01/20	616.00	...	226.57	3 of 3 - Sale ²⁵
06/03/20	500.000	1,914.94	Various	1,400.00	...	514.94	Total of 3 transactions
CALIFORNIA RES CORP COMMON STO CK / CUSIP: 13057Q206 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	10.000	17.20	04/01/20	9.08	...	8.12	1 of 2 - Sale ²⁵
	61.000	104.91	04/09/20	108.58	...	-3.67	2 of 2 - Sale ²⁵
04/09/20	71.000	122.11	Various	117.66	...	4.45	Total of 2 transactions
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
08/07/20	13.000	138.32	08/06/20	138.32	...	0.00	Sale ²⁵
12 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	50.000	531.98	08/06/20	532.00	0.02 W	0.00	1 of 12 - Sale ²⁵
	100.000	1,063.97	08/06/20	1,064.00	0.03 W	0.00	2 of 12 - Sale ²⁵
	50.000	531.98	08/06/20	532.00	0.02 W	0.00	3 of 12 - Sale ²⁵
	50.000	531.98	08/06/20	532.02	0.04 W	0.00	4 of 12 - Sale ²⁵
	36.000	383.02	08/06/20	383.05	0.03 W	0.00	5 of 12 - Sale ²⁵
	51.000	542.61	08/06/20	542.66	0.05 W	0.00	6 of 12 - Sale ²⁵
	28.000	297.91	08/06/20	297.92	0.01 W	0.00	7 of 12 - Sale ²⁵
	50.000	531.98	08/06/20	532.04	0.06 W	0.00	8 of 12 - Sale ²⁵
	50.000	531.98	08/06/20	532.02	0.04 W	0.00	9 of 12 - Sale ²⁵
	36.000	383.03	08/06/20	383.07	0.04 W	0.00	10 of 12 - Sale ²⁵
	51.000	542.62	08/06/20	542.69	0.07 W	0.00	11 of 12 - Sale ²⁵
	84.000	893.72	08/06/20	893.76	0.04 W	0.00	12 of 12 - Sale ²⁵
08/07/20	636.000	6,766.78	Various	6,767.23	0.45 W	0.00	Total of 12 transactions

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Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
17 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	8.000	97.61	08/10/20	88.41	...	9.20	1 of 17 - Sale ²⁵
	9.000	109.81	08/10/20	99.46	...	10.35	2 of 17 - Sale ²⁵
	12.000	146.42	08/10/20	132.61	...	13.81	3 of 17 - Sale ²⁵
	12.000	146.42	08/10/20	132.61	...	13.81	4 of 17 - Sale ²⁵
	20.000	244.03	08/10/20	221.00	...	23.03	5 of 17 - Sale ²⁵
	21.000	256.23	08/10/20	232.06	...	24.17	6 of 17 - Sale ²⁵
	21.000	256.23	08/10/20	232.05	...	24.18	7 of 17 - Sale ²⁵
	36.000	439.25	08/10/20	397.84	...	41.41	8 of 17 - Sale ²⁵
	50.000	610.07	08/10/20	552.56	...	57.51	9 of 17 - Sale ²⁵
	51.000	622.27	08/10/20	563.62	...	58.65	10 of 17 - Sale ²⁵
	67.000	817.49	08/10/20	740.35	...	77.14	11 of 17 - Sale ²⁵
	71.000	866.30	08/10/20	784.55	...	81.75	12 of 17 - Sale ²⁵
	84.000	1,024.92	08/10/20	928.24	...	96.68	13 of 17 - Sale ²⁵
	88.000	1,073.72	08/10/20	972.40	...	101.32	14 of 17 - Sale ²⁵
	99.000	1,207.94	08/10/20	1,093.95	...	113.99	15 of 17 - Sale ²⁵
	142.000	1,732.60	08/10/20	1,569.10	...	163.50	16 of 17 - Sale ²⁵
	409.000	4,990.38	08/10/20	4,519.45	...	470.93	17 of 17 - Sale ²⁵
08/11/20	1,200.000	14,641.69	Various	13,260.26	...	1,381.43	Total of 17 transactions
7 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	9.000	112.52	08/11/20	109.62	...	2.90	1 of 7 - Sale ²⁵
	22.000	275.05	08/11/20	267.96	...	7.09	2 of 7 - Sale ²⁵
	60.000	750.15	08/11/20	730.80	...	19.35	3 of 7 - Sale ²⁵
	72.000	900.18	08/11/20	876.96	...	23.22	4 of 7 - Sale ²⁵
	100.000	1,250.25	08/11/20	1,218.00	...	32.25	5 of 7 - Sale ²⁵
	334.000	4,175.84	08/11/20	4,068.12	...	107.72	6 of 7 - Sale ²⁵
	603.000	7,539.01	08/11/20	7,344.54	...	194.47	7 of 7 - Sale ²⁵
08/14/20	1,200.000	15,003.00	Various	14,616.00	...	387.00	Total of 7 transactions
10 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	6.000	72.66	08/19/20	66.41	...	6.25	1 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	2 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	3 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	4 of 10 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	5 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	6 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	7 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	8 of 10 - Sale ²⁵
	100.000	1,210.96	08/19/20	1,106.87	...	104.09	9 of 10 - Sale ²⁵
	1,144.000	13,841.95	08/19/20	12,662.60	...	1,179.35	10 of 10 - Sale ²⁵
08/20/20	1,950.000	23,602.29	Various	21,583.97	...	2,018.32	Total of 10 transactions
10/06/20	300.000	2,627.90	09/23/20	3,204.00	576.10 W	0.00	Sale ²⁵
10/13/20	250.000	1,979.92	09/23/20	2,670.00	223.59 W	-466.49	Sale ²⁵
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	131.000	1,692.47	09/23/20	1,650.64	...	41.83	1 of 4 - Sale ²⁵
	1,219.000	15,748.98	09/23/20	13,018.92	...	2,730.06	2 of 4 - Sale ²⁵
	81.000	1,046.49	10/12/20	887.79	...	158.70	3 of 4 - Sale ²⁵
	169.000	2,183.41	10/12/20	1,710.34	...	473.07	4 of 4 - Sale ²⁵
11/09/20	1,600.000	20,671.35	Various	17,267.69	...	3,403.66	Total of 4 transactions
	Security total:	85,431.25		79,507.47	800.14 W	6,723.92	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
08/17/20	1,000.000	16,099.72	08/17/20	17,549.90	...	-1,450.18	Sale ²⁵
CREDIT SUISSE AG NASSAU BRIDGE LOCITYSHARES DAILY 2X VIX SHORT TERM ETN / CUSIP: 22542D258 / Symbol:							
06/24/20	15.000	2,268.09	06/22/20	2,104.80	...	163.29	Sale ²⁵
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
12 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	9.000	4.86	06/08/20	4.59	...	0.27	1 of 12 - Sale ²⁵
	91.000	49.13	06/08/20	46.25	...	2.88	2 of 12 - Sale ²⁵
	100.000	53.99	06/08/20	50.99	...	3.00	3 of 12 - Sale ²⁵
	100.000	53.99	06/08/20	50.82	...	3.17	4 of 12 - Sale ²⁵
	100.000	53.99	06/08/20	50.82	...	3.17	5 of 12 - Sale ²⁵
	100.000	53.99	06/08/20	50.82	...	3.17	6 of 12 - Sale ²⁵
	100.000	53.99	06/08/20	50.82	...	3.17	7 of 12 - Sale ²⁵
	100.000	53.99	06/08/20	50.82	...	3.17	8 of 12 - Sale ²⁵
	400.000	215.95	06/08/20	203.28	...	12.67	9 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
	1,163.000	627.86	06/08/20	591.04	...	36.82	10 of 12 - Sale ²⁵
	1,337.000	721.80	06/08/20	679.46	...	42.34	11 of 12 - Sale ²⁵
	1,400.000	755.81	06/08/20	711.48	...	44.33	12 of 12 - Sale ²⁵
06/08/20	5,000.000	2,699.35	Various	2,541.19	...	158.16	Total of 12 transactions
	5 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	3,300.000	808.09	07/15/20	830.61	...	-22.52	1 of 5 - Sale ²⁵
	860.000	210.59	07/15/20	216.46	...	-5.87	2 of 5 - Sale ²⁵
	600.000	146.92	07/15/20	151.02	...	-4.10	3 of 5 - Sale ²⁵
	100.000	24.49	07/15/20	25.17	...	-0.68	4 of 5 - Sale ²⁵
	100.000	24.49	07/15/20	25.17	...	-0.68	5 of 5 - Sale ²⁵
07/16/20	4,960.000	1,214.58	Various	1,248.43	...	-33.85	Total of 5 transactions
	Security total:	3,913.93		3,789.62	...	124.31	
DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
	7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1,598.000	19,894.47	08/03/20	20,374.50	...	-480.03	1 of 7 - Sale ²⁵
	81.000	1,008.42	08/03/20	1,081.01	...	-72.59	2 of 7 - Sale ²⁵
	84.000	1,045.77	08/03/20	1,071.00	...	-25.23	3 of 7 - Sale ²⁵
	16.000	199.19	08/03/20	208.81	...	-9.62	4 of 7 - Sale ²⁵
	16.000	199.19	08/03/20	208.72	...	-9.53	5 of 7 - Sale ²⁵
	2.000	24.90	08/03/20	27.28	...	-2.38	6 of 7 - Sale ²⁵
	1.000	12.45	08/03/20	13.35	...	-0.90	7 of 7 - Sale ²⁵
08/05/20	1,798.000	22,384.39	Various	22,984.67	...	-600.28	Total of 7 transactions
	8 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	2.000	24.91	08/03/20	25.50	0.59 W	0.00	1 of 8 - Sale ²⁵
	81.000	1,008.82	08/03/20	1,032.75	23.93 W	0.00	2 of 8 - Sale ²⁵
	2.000	24.91	08/03/20	26.09	1.18 W	0.00	3 of 8 - Sale ²⁵
	17.000	211.73	08/03/20	216.75	5.02 W	0.00	4 of 8 - Sale ²⁵
	81.000	1,008.42	08/03/20	1,056.68	48.26 W	0.00	5 of 8 - Sale ²⁵
	16.000	199.19	08/03/20	204.00	4.81 W	0.00	6 of 8 - Sale ²⁵
	2.000	24.90	08/03/20	26.68	1.78 W	0.00	7 of 8 - Sale ²⁵
	1.000	12.45	08/03/20	13.05	0.60 W	0.00	8 of 8 - Sale ²⁵
08/05/20	202.000	2,515.33	Various	2,601.50	86.17 W	0.00	Total of 8 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol: (cont'd)							
Security total:		24,899.72		25,586.17	86.17 W	-600.28	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	5.000	210.20	07/29/20	187.50	...	22.70	1 of 3 - Sale ²⁵
	182.000	7,651.10	07/29/20	6,825.00	...	826.10	2 of 3 - Sale ²⁵
	313.000	13,158.22	07/29/20	11,737.50	...	1,420.72	3 of 3 - Sale ²⁵
07/29/20	500.000	21,019.52	Various	18,750.00	...	2,269.52	Total of 3 transactions
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	35.000	573.98	08/06/20	570.50	...	3.48	1 of 4 - Sale ²⁵
	40.000	656.38	08/06/20	652.00	...	4.38	2 of 4 - Sale ²⁵
	60.000	984.57	08/06/20	978.00	...	6.57	3 of 4 - Sale ²⁵
	200.000	3,280.10	08/06/20	3,260.00	...	20.10	4 of 4 - Sale ²⁵
08/06/20	335.000	5,495.03	Various	5,460.50	...	34.53	Total of 4 transactions
09/16/20	520.000	4,622.63	09/16/20	4,971.20	...	-348.57	Sale ²⁵
Security total:		31,137.18		29,181.70	...	1,955.48	
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
07/02/20	2,558.000	8,341.15	07/02/20	7,801.90	...	539.25	Sale ²⁵
9 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	152.000	425.62	08/11/20	425.66	...	-0.04	1 of 9 - Sale ²⁵
	200.000	560.02	08/11/20	560.04	...	-0.02	2 of 9 - Sale ²⁵
	48.000	134.41	08/11/20	134.42	...	-0.01	3 of 9 - Sale ²⁵
	51.000	142.81	08/11/20	142.82	...	-0.01	4 of 9 - Sale ²⁵
	49.000	137.20	08/11/20	137.20	...	0.00	5 of 9 - Sale ²⁵
	15.000	42.00	08/11/20	42.00	...	0.00	6 of 9 - Sale ²⁵
	48.000	134.41	08/11/20	134.41	...	0.00	7 of 9 - Sale ²⁵
	579.000	1,621.26	08/11/20	1,621.20	...	0.06	8 of 9 - Sale ²⁵
	608.000	1,702.47	08/11/20	1,702.40	...	0.07	9 of 9 - Sale ²⁵
08/12/20	1,750.000	4,900.20	Various	4,900.15	...	0.05	Total of 9 transactions
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	251.000	702.75	08/11/20	702.80	0.05 W	0.00	1 of 4 - Sale ²⁵
	248.000	694.35	08/11/20	694.40	0.05 W	0.00	2 of 4 - Sale ²⁵

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Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	200.000	559.96	08/11/20	560.04	0.08 W	0.00	3 of 4 - Sale ²⁵
	51.000	142.79	08/11/20	142.81	0.02 W	0.00	4 of 4 - Sale ²⁵
08/12/20	750.000	2,099.85	Various	2,100.05	0.20 W	0.00	Total of 4 transactions
	Security total:	15,341.20		14,802.10	0.20 W	539.30	
EMAGIN CORPORATION / CUSIP: 29076N206 / Symbol:							
05/22/20	3.000	1.67	05/15/20	1.97	...	-0.30	Sale ²⁵
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
04/29/20	12.000	25.95	04/27/20	28.30	...	-2.35	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	483.000	1,091.50	07/02/20	1,521.45	429.95 W	0.00	1 of 2 - Sale ²⁵
	37.000	83.61	07/06/20	112.11	27.73 W	-0.77	2 of 2 - Sale ²⁵
07/13/20	520.000	1,175.11	Various	1,633.56	457.68 W	-0.77	Total of 2 transactions
5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	219.000	509.14	07/13/20	689.88	...	-180.74	1 of 5 - Sale ²⁵
	100.000	232.48	07/13/20	315.02	...	-82.54	2 of 5 - Sale ²⁵
	100.000	232.48	07/13/20	315.02	...	-82.54	3 of 5 - Sale ²⁵
	64.000	148.79	07/13/20	201.61	...	-52.82	4 of 5 - Sale ²⁵
	36.000	83.70	07/13/20	109.09	...	-25.39	5 of 5 - Sale ²⁵
07/15/20	519.000	1,206.59	Various	1,630.62	...	-424.03	Total of 5 transactions
	Security total:	2,381.70		3,264.18	457.68 W	-424.80	
GROWGENERATION CORP. COMMON ST OCK / CUSIP: 39986L109 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	100.000	1,131.96	08/13/20	1,123.40	...	8.56	1 of 2 - Sale ²⁵
	250.000	2,827.40	08/13/20	2,808.50	...	18.90	2 of 2 - Sale ²⁵
08/13/20	350.000	3,959.36	Various	3,931.90	...	27.46	Total of 2 transactions
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	300.000	5,459.83	08/17/20	4,749.00	...	710.83	1 of 2 - Sale ²⁵
	700.000	12,739.63	08/17/20	11,081.00	...	1,658.63	2 of 2 - Sale ²⁵
08/18/20	1,000.000	18,199.46	Various	15,830.00	...	2,369.46	Total of 2 transactions

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Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol: (cont'd)							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	21.000	399.20	08/19/20	390.40	...	8.80	1 of 3 - Sale ²⁵
	80.000	1,520.75	08/19/20	1,487.23	...	33.52	2 of 3 - Sale ²⁵
	899.000	17,089.50	08/19/20	16,730.88	...	358.62	3 of 3 - Sale ²⁵
08/19/20	1,000.000	19,009.45	Various	18,608.51	...	400.94	Total of 3 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	101.000	1,911.89	08/18/20	1,951.32	39.43 W	0.00	1 of 2 - Sale ²⁵
	899.000	16,999.60	08/18/20	17,368.68	369.08 W	0.00	2 of 2 - Sale ²⁵
08/19/20	1,000.000	18,911.49	Various	19,320.00	408.51 W	0.00	Total of 2 transactions
	Security total:	60,079.76		57,690.41	408.51 W	2,797.86	
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
11 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	45.000	99.44	07/29/20	99.00	...	0.44	1 of 11 - Sale ²⁵
	45.000	99.45	07/29/20	99.00	...	0.45	2 of 11 - Sale ²⁵
	54.000	119.33	07/29/20	118.80	...	0.53	3 of 11 - Sale ²⁵
	100.000	220.99	07/29/20	220.00	...	0.99	4 of 11 - Sale ²⁵
	100.000	220.99	07/29/20	220.00	...	0.99	5 of 11 - Sale ²⁵
	246.000	543.61	07/29/20	541.20	...	2.41	6 of 11 - Sale ²⁵
	255.000	563.51	07/29/20	561.00	...	2.51	7 of 11 - Sale ²⁵
	300.000	662.94	07/29/20	660.00	...	2.94	8 of 11 - Sale ²⁵
	445.000	983.37	07/29/20	979.00	...	4.37	9 of 11 - Sale ²⁵
	955.000	2,110.39	07/29/20	2,101.00	...	9.39	10 of 11 - Sale ²⁵
	2,455.000	5,425.14	07/29/20	5,401.00	...	24.14	11 of 11 - Sale ²⁵
07/31/20	5,000.000	11,049.16	Various	11,000.00	...	49.16	Total of 11 transactions
8 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	153.000	325.86	07/31/20	332.01	6.15 W	0.00	1 of 8 - Sale ²⁵
	6.000	12.78	07/31/20	13.02	0.24 W	0.00	2 of 8 - Sale ²⁵
	541.000	1,152.24	07/31/20	1,173.97	21.73 W	0.00	3 of 8 - Sale ²⁵
	100.000	212.99	07/31/20	221.02	8.03 W	0.00	4 of 8 - Sale ²⁵
	6.000	12.78	07/31/20	13.26	0.48 W	0.00	5 of 8 - Sale ²⁵
	53.000	112.88	07/31/20	117.14	4.26 W	0.00	6 of 8 - Sale ²⁵
	41.000	87.33	07/31/20	88.97	1.64 W	0.00	7 of 8 - Sale ²⁵

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(continued)

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	323.000	687.96	07/31/20	700.91	12.95 W	0.00	8 of 8 - Sale ²⁵
08/04/20	1,223.000	2,604.82	Various	2,660.30	55.48 W	0.00	Total of 8 transactions
	7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	6.000	13.27	08/04/20	13.14	...	0.13	1 of 7 - Sale ²⁵
	53.000	117.20	08/04/20	116.09	...	1.11	2 of 7 - Sale ²⁵
	100.000	221.13	08/04/20	219.03	...	2.10	3 of 7 - Sale ²⁵
	41.000	90.66	08/04/20	88.15	...	2.51	4 of 7 - Sale ²⁵
	323.000	714.26	08/04/20	694.48	...	19.78	5 of 7 - Sale ²⁵
	541.000	1,196.33	08/04/20	1,163.24	...	33.09	6 of 7 - Sale ²⁵
	3,236.000	7,155.87	08/04/20	6,827.96	...	327.91	7 of 7 - Sale ²⁵
08/05/20	4,300.000	9,508.72	Various	9,122.09	...	386.63	Total of 7 transactions
	Security total:	23,162.70		22,782.39	55.48 W	435.79	
INDEPENDENCE CONTRACT DRILLING, INC. / CUSIP: 453415606 / Symbol:							
	4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	6.000	66.12	04/09/20	61.73	...	4.39	1 of 4 - Sale ²⁵
	10.000	110.20	04/09/20	102.88	...	7.32	2 of 4 - Sale ²⁵
	49.000	539.96	04/09/20	504.13	...	35.83	3 of 4 - Sale ²⁵
	150.000	1,652.94	04/09/20	1,543.24	...	109.70	4 of 4 - Sale ²⁵
04/13/20	215.000	2,369.22	Various	2,211.98	...	157.24	Total of 4 transactions
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	14.000	46.62	04/15/20	121.31	...	-74.69	1 of 3 - Sale ²⁵
	14.000	46.62	04/17/20	108.07	...	-61.45	2 of 3 - Sale ²⁵
	210.000	699.27	04/21/20	1,614.90	...	-915.63	3 of 3 - Sale ²⁵
07/06/20	238.000	792.51	Various	1,844.28	...	-1,051.77	Total of 3 transactions
	Security total:	3,161.73		4,056.26	...	-894.53	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
05/27/20	100.000	830.97	05/26/20	3,789.00	2,958.03 W	0.00	Sale ²⁵
	12 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	99.000	1,385.95	06/19/20	4,651.05	...	-3,265.10	1 of 12 - Sale ²⁵
	45.000	636.73	06/19/20	783.00	...	-146.27	2 of 12 - Sale ²⁵
	15.000	212.25	06/19/20	261.00	...	-48.75	3 of 12 - Sale ²⁵

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INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol: (cont'd)							
	1.000	14.00	06/19/20	46.98	...	-32.98	4 of 12 - Sale ²⁵
	10.000	141.50	06/19/20	174.00	...	-32.50	5 of 12 - Sale ²⁵
	10.000	141.50	06/19/20	174.00	...	-32.50	6 of 12 - Sale ²⁵
	10.000	141.50	06/19/20	174.00	...	-32.50	7 of 12 - Sale ²⁵
	3.000	42.45	06/19/20	52.20	...	-9.75	8 of 12 - Sale ²⁵
	2.000	28.30	06/19/20	34.80	...	-6.50	9 of 12 - Sale ²⁵
	2.000	28.30	06/19/20	34.80	...	-6.50	10 of 12 - Sale ²⁵
	1.000	14.00	06/19/20	17.40	...	-3.40	11 of 12 - Sale ²⁵
	1.000	14.15	06/19/20	17.40	...	-3.25	12 of 12 - Sale ²⁵
06/19/20	199.000	2,800.63	Various	6,420.63	...	-3,620.00	Total of 12 transactions
	Security total:	3,631.60		10,209.63	2,958.03 W	-3,620.00	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/09/20	674.000	4,335.19	06/08/20	4,381.00	38.13 W	-7.68	Sale ²⁵
06/16/20	19.000	95.23	06/15/20	94.77	...	0.46	Sale ²⁵
	4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	200.000	818.96	06/19/20	877.60	...	-58.64	1 of 4 - Sale ²⁵
	200.000	818.96	06/19/20	877.59	...	-58.63	2 of 4 - Sale ²⁵
	100.000	409.49	06/19/20	438.80	...	-29.31	3 of 4 - Sale ²⁵
	42.000	171.88	06/19/20	184.29	...	-12.41	4 of 4 - Sale ²⁵
06/22/20	542.000	2,219.29	Various	2,378.28	...	-158.99	Total of 4 transactions
	7 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	295.000	988.18	11/20/20	973.50	...	14.68	1 of 7 - Sale ²⁵
	300.000	1,004.94	11/20/20	990.00	...	14.94	2 of 7 - Sale ²⁵
	400.000	1,339.92	11/20/20	1,320.00	...	19.92	3 of 7 - Sale ²⁵
	405.000	1,356.67	11/20/20	1,336.50	...	20.17	4 of 7 - Sale ²⁵
	700.000	2,344.86	11/20/20	2,310.00	...	34.86	5 of 7 - Sale ²⁵
	700.000	2,344.86	11/20/20	2,310.00	...	34.86	6 of 7 - Sale ²⁵
	700.000	2,344.86	11/20/20	2,310.00	...	34.86	7 of 7 - Sale ²⁵
11/23/20	3,500.000	11,724.29	Various	11,550.00	...	174.29	Total of 7 transactions
	Security total:	18,374.00		18,404.05	38.13 W	8.08	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
06/23/20	104.000	86.31	06/23/20	117.52	...	-31.21	Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
<i>5 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	126.74	04/21/20	76.49	...	50.25	1 of 5 - Sale ²⁵
	100.000	168.98	04/23/20	133.00	...	35.98	2 of 5 - Sale ²⁵
	100.000	168.98	04/23/20	133.00	...	35.98	3 of 5 - Sale ²⁵
	100.000	168.98	04/23/20	133.00	...	35.98	4 of 5 - Sale ²⁵
	200.000	337.97	04/23/20	266.00	...	71.97	5 of 5 - Sale ²⁵
04/30/20	575.000	971.65	Various	741.49	...	230.16	Total of 5 transactions
05/19/20	650.000	1,104.89	05/01/20	1,092.00	...	12.89	Sale ²⁵
	Security total:	2,076.54		1,833.49	...	243.05	
LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
<i>2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	101.000	186.84	06/02/20	151.50	...	35.34	1 of 2 - Sale ²⁵
	899.000	1,663.00	06/02/20	1,348.50	...	314.50	2 of 2 - Sale ²⁵
06/02/20	1,000.000	1,849.84	Various	1,500.00	...	349.84	Total of 2 transactions
<i>7 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.10	06/03/20	1.99	...	0.11	1 of 7 - Sale ²⁵
	10.000	21.00	06/03/20	19.90	...	1.10	2 of 7 - Sale ²⁵
	200.000	419.97	06/03/20	398.00	...	21.97	3 of 7 - Sale ²⁵
	360.000	755.94	06/03/20	716.40	...	39.54	4 of 7 - Sale ²⁵
	2,432.000	5,106.79	06/03/20	4,839.68	...	267.11	5 of 7 - Sale ²⁵
	108.000	226.78	06/04/20	205.20	...	21.58	6 of 7 - Sale ²⁵
	257.000	539.66	06/04/20	488.30	...	51.36	7 of 7 - Sale ²⁵
06/10/20	3,368.000	7,072.24	Various	6,669.47	...	402.77	Total of 7 transactions
	Security total:	8,922.08		8,169.47	...	752.61	
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol:							
<i>15 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.11	05/05/20	1.97	...	0.14	1 of 15 - Sale ²⁵
	4.000	8.44	05/05/20	7.88	...	0.56	2 of 15 - Sale ²⁵
	6.000	12.60	05/05/20	11.82	...	0.78	3 of 15 - Sale ²⁵
	10.000	21.10	05/05/20	19.70	...	1.40	4 of 15 - Sale ²⁵
	28.000	59.08	05/05/20	55.16	...	3.92	5 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES A COMMON STOCK / CUSIP: 531465102 / Symbol: (cont'd)							
	33.000	69.30	05/05/20	65.01	...	4.29	6 of 15 - Sale ²⁵
	55.000	116.04	05/05/20	108.35	...	7.69	7 of 15 - Sale ²⁵
	94.000	197.39	05/05/20	185.18	...	12.21	8 of 15 - Sale ²⁵
	94.000	198.33	05/05/20	185.18	...	13.15	9 of 15 - Sale ²⁵
	96.000	202.55	05/05/20	189.12	...	13.43	10 of 15 - Sale ²⁵
	100.000	210.99	05/05/20	197.00	...	13.99	11 of 15 - Sale ²⁵
	100.000	210.99	05/05/20	197.00	...	13.99	12 of 15 - Sale ²⁵
	100.000	210.99	05/05/20	197.00	...	13.99	13 of 15 - Sale ²⁵
	106.000	223.65	05/05/20	208.82	...	14.83	14 of 15 - Sale ²⁵
	161.000	338.08	05/05/20	317.17	...	20.91	15 of 15 - Sale ²⁵
05/20/20	988.000	2,081.64	Various	1,946.36	...	135.28	Total of 15 transactions
05/22/20	18.000	42.39	05/21/20	41.40	...	0.99	Sale ²⁵
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	100.000	207.98	06/24/20	214.02	...	-6.04	1 of 5 - Sale ²⁵
	100.000	207.98	06/24/20	214.02	...	-6.04	2 of 5 - Sale ²⁵
	85.000	176.79	06/24/20	181.90	...	-5.11	3 of 5 - Sale ²⁵
	77.000	160.15	06/24/20	164.79	...	-4.64	4 of 5 - Sale ²⁵
	422.000	903.01	06/24/20	903.08	...	-0.07	5 of 5 - Sale ²⁵
06/26/20	784.000	1,655.91	Various	1,677.81	...	-21.90	Total of 5 transactions
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	100.000	213.98	06/24/20	214.00	0.02 W	0.00	1 of 3 - Sale ²⁵
	100.000	213.98	06/24/20	214.00	0.02 W	0.00	2 of 3 - Sale ²⁵
	77.000	164.77	06/24/20	164.78	0.01 W	0.00	3 of 3 - Sale ²⁵
06/26/20	277.000	592.73	Various	592.78	0.05 W	0.00	Total of 3 transactions
	Security total:	4,372.67		4,258.35	0.05 W	114.37	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
08/17/20	150.000	10,376.72	08/12/20	10,454.84	...	-78.12	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
07/02/20	897.000	8,790.29	07/02/20	8,333.13	...	457.16	Sale ²⁵
	4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	252.000	3,241.88	07/02/20	2,441.88	...	800.00	1 of 4 - Sale ²⁵
	300.000	3,859.38	07/02/20	2,907.00	...	952.38	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	355.000	4,566.93	07/02/20	3,439.95	...	1,126.98	3 of 4 - Sale ²⁵
	53.000	681.82	07/06/20	609.50	...	72.32	4 of 4 - Sale ²⁵
07/16/20	960.000	12,350.01	Various	9,398.33	...	2,951.68	Total of 4 transactions
	4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	46.000	591.77	07/08/20	622.15	30.38 W	0.00	1 of 4 - Sale ²⁵
	14.000	180.03	07/08/20	189.35	9.32 W	0.00	2 of 4 - Sale ²⁵
	46.000	591.54	07/13/20	669.78	78.24 W	0.00	3 of 4 - Sale ²⁵
	40.000	514.39	07/13/20	556.00	41.61 W	0.00	4 of 4 - Sale ²⁵
07/16/20	146.000	1,877.73	Various	2,037.28	159.55 W	0.00	Total of 4 transactions
07/17/20	1,162.000	12,784.47	07/17/20	12,782.00	...	2.47	Sale ²⁵
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	46.000	506.10	07/17/20	584.24	78.14 W	0.00	1 of 3 - Sale ²⁵
	14.000	154.03	07/17/20	163.32	9.29 W	0.00	2 of 3 - Sale ²⁵
	40.000	440.09	07/17/20	481.61	41.52 W	0.00	3 of 3 - Sale ²⁵
07/17/20	100.000	1,100.22	Various	1,229.17	128.95 W	0.00	Total of 3 transactions
	15 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	45.000	611.53	08/03/20	688.44	76.91 W	0.00	1 of 15 - Sale ²⁵
	1.000	13.59	08/03/20	15.30	1.71 W	0.00	2 of 15 - Sale ²⁵
	14.000	190.25	08/03/20	199.69	9.44 W	0.00	3 of 15 - Sale ²⁵
	40.000	543.58	08/03/20	585.52	41.94 W	0.00	4 of 15 - Sale ²⁵
	873.000	11,863.70	08/03/20	11,872.80	9.10 W	0.00	5 of 15 - Sale ²⁵
	45.000	611.53	08/03/20	688.91	77.38 W	0.00	6 of 15 - Sale ²⁵
	27.000	366.92	08/03/20	367.20	0.28 W	0.00	7 of 15 - Sale ²⁵
	1.000	13.59	08/03/20	15.31	1.72 W	0.00	8 of 15 - Sale ²⁵
	14.000	190.25	08/03/20	199.84	9.59 W	0.00	9 of 15 - Sale ²⁵
	40.000	543.58	08/03/20	585.94	42.36 W	0.00	10 of 15 - Sale ²⁵
	33.000	448.46	08/03/20	449.14	0.68 W	0.00	11 of 15 - Sale ²⁵
	300.000	4,076.87	08/03/20	4,083.13	6.26 W	0.00	12 of 15 - Sale ²⁵
	477.000	6,482.23	08/03/20	6,492.17	9.94 W	0.00	13 of 15 - Sale ²⁵
	50.000	679.48	08/03/20	680.52	1.04 W	0.00	14 of 15 - Sale ²⁵
	12.000	163.08	08/03/20	163.33	0.25 W	0.00	15 of 15 - Sale ²⁵
08/03/20	1,972.000	26,798.64	Various	27,087.24	288.60 W	0.00	Total of 15 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	13.42	08/12/20	13.01	...	0.41	1 of 4 - Sale ²⁵
	27.000	362.40	08/12/20	351.28	...	11.12	2 of 4 - Sale ²⁵
	33.000	442.93	08/12/20	429.68	...	13.25	3 of 4 - Sale ²⁵
	209.000	2,805.24	08/12/20	2,721.36	...	83.88	4 of 4 - Sale ²⁵
08/13/20	270.000	3,623.99	Various	3,515.33	...	108.66	Total of 4 transactions
4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	13.42	08/12/20	14.72	1.30 W	0.00	1 of 4 - Sale ²⁵
	45.000	604.00	08/12/20	662.38	58.38 W	0.00	2 of 4 - Sale ²⁵
	14.000	187.91	08/12/20	191.59	3.68 W	0.00	3 of 4 - Sale ²⁵
	40.000	536.89	08/12/20	562.36	25.47 W	0.00	4 of 4 - Sale ²⁵
08/13/20	100.000	1,342.22	Various	1,431.05	88.83 W	0.00	Total of 4 transactions
14 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	45.000	908.98	08/26/20	913.38	...	-4.40	1 of 14 - Sale ²⁵
	1.000	20.20	08/26/20	20.30	...	-0.10	2 of 14 - Sale ²⁵
	11.000	222.19	08/26/20	209.23	...	12.96	3 of 14 - Sale ²⁵
	14.000	282.79	08/26/20	269.68	...	13.11	4 of 14 - Sale ²⁵
	12.000	242.39	08/26/20	228.25	...	14.14	5 of 14 - Sale ²⁵
	40.000	807.98	08/26/20	785.47	...	22.51	6 of 14 - Sale ²⁵
	20.000	403.99	08/26/20	380.42	...	23.57	7 of 14 - Sale ²⁵
	42.000	848.38	08/26/20	798.88	...	49.50	8 of 14 - Sale ²⁵
	50.000	1,009.97	08/26/20	951.04	...	58.93	9 of 14 - Sale ²⁵
	70.000	1,413.96	08/26/20	1,330.00	...	83.96	10 of 14 - Sale ²⁵
	85.000	1,716.95	08/26/20	1,616.77	...	100.18	11 of 14 - Sale ²⁵
	91.000	1,838.15	08/26/20	1,730.90	...	107.25	12 of 14 - Sale ²⁵
	119.000	2,403.73	08/26/20	2,263.48	...	140.25	13 of 14 - Sale ²⁵
	200.000	4,039.88	08/26/20	3,804.16	...	235.72	14 of 14 - Sale ²⁵
08/27/20	800.000	16,159.54	Various	15,301.96	...	857.58	Total of 14 transactions
	Security total:	84,827.11		81,115.49	665.93 W	4,377.55	

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
7 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	81.000	249.47	05/20/20	291.60	...	-42.13	1 of 7 - Sale ²⁵
	7.000	21.56	05/20/20	24.29	...	-2.73	2 of 7 - Sale ²⁵
	26.000	80.07	05/20/20	66.30	...	13.77	3 of 7 - Sale ²⁵
	28.000	86.52	05/20/20	71.40	...	15.12	4 of 7 - Sale ²⁵
	62.000	190.95	05/20/20	158.10	...	32.85	5 of 7 - Sale ²⁵
	117.000	360.35	05/20/20	298.35	...	62.00	6 of 7 - Sale ²⁵
	200.000	615.96	05/20/20	510.00	...	105.96	7 of 7 - Sale ²⁵
06/02/20	521.000	1,604.88	Various	1,420.04	...	184.84	Total of 7 transactions
06/02/20	197.000	606.72	05/20/20	709.20	3.64 W	-98.84	Sale ²⁵
	Security total:	2,211.60		2,129.24	3.64 W	86.00	
NEW RELIC, INC. / CUSIP: 64829B100 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	7.000	374.50	08/05/20	388.36	13.86 W	0.00	1 of 2 - Sale ²⁵
	60.000	3,209.91	08/05/20	3,328.80	118.89 W	0.00	2 of 2 - Sale ²⁵
08/07/20	67.000	3,584.41	Various	3,717.16	132.75 W	0.00	Total of 2 transactions
9 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	100.000	5,251.87	08/05/20	5,575.00	...	-323.13	1 of 9 - Sale ²⁵
	60.000	3,151.12	08/05/20	3,447.63	...	-296.51	2 of 9 - Sale ²⁵
	100.000	5,251.87	08/05/20	5,547.90	...	-296.03	3 of 9 - Sale ²⁵
	83.000	4,359.06	08/05/20	4,627.25	...	-268.19	4 of 9 - Sale ²⁵
	86.000	4,516.61	08/05/20	4,771.28	...	-254.67	5 of 9 - Sale ²⁵
	40.000	2,100.75	08/05/20	2,230.00	...	-129.25	6 of 9 - Sale ²⁵
	33.000	1,733.12	08/05/20	1,830.84	...	-97.72	7 of 9 - Sale ²⁵
	33.000	1,733.12	08/05/20	1,830.81	...	-97.69	8 of 9 - Sale ²⁵
	7.000	367.63	08/05/20	402.21	...	-34.58	9 of 9 - Sale ²⁵
08/10/20	542.000	28,465.15	Various	30,262.92	...	-1,797.77	Total of 9 transactions
	Security total:	32,049.56		33,980.08	132.75 W	-1,797.77	

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	108.000	4,190.34	07/17/20	4,320.00	...	-129.66	1 of 2 - Sale ²⁵
	100.000	3,879.94	07/17/20	4,000.00	...	-120.06	2 of 2 - Sale ²⁵
07/20/20	208.000	8,070.28	Various	8,320.00	...	-249.72	Total of 2 transactions
9F INC. AMERICAN DEPOSITARY SH ARES / CUSIP: 65442R109 / Symbol:							
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	900.000	1,840.35	07/29/20	2,025.00	...	-184.65	1 of 4 - Sale ²⁵
	520.000	1,063.31	07/29/20	1,170.00	...	-106.69	2 of 4 - Sale ²⁵
	100.000	204.48	07/29/20	225.00	...	-20.52	3 of 4 - Sale ²⁵
	40.000	81.79	07/29/20	90.00	...	-8.21	4 of 4 - Sale ²⁵
08/04/20	1,560.000	3,189.93	Various	3,510.00	...	-320.07	Total of 4 transactions
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
34 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	100.000	119.98	07/20/20	70.92	...	49.06	1 of 34 - Sale ²⁵
	100.000	119.98	07/20/20	70.92	...	49.06	2 of 34 - Sale ²⁵
	100.000	119.98	07/20/20	70.92	...	49.06	3 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	4 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	5 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	6 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	7 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	8 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	9 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	10 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	11 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	70.92	...	49.07	12 of 34 - Sale ²⁵
	100.000	119.99	07/20/20	67.10	...	52.89	13 of 34 - Sale ²⁵
	200.000	239.97	07/20/20	141.84	...	98.13	14 of 34 - Sale ²⁵
	200.000	239.97	07/20/20	141.84	...	98.13	15 of 34 - Sale ²⁵
	200.000	239.97	07/20/20	141.84	...	98.13	16 of 34 - Sale ²⁵
	200.000	239.98	07/20/20	141.84	...	98.14	17 of 34 - Sale ²⁵
	200.000	239.98	07/20/20	141.84	...	98.14	18 of 34 - Sale ²⁵
	200.000	239.98	07/20/20	141.84	...	98.14	19 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
	200.000	239.98	07/20/20	141.84	...	98.14	20 of 34 - Sale ²⁵
	300.000	359.96	07/20/20	212.76	...	147.20	21 of 34 - Sale ²⁵
	300.000	359.96	07/20/20	212.76	...	147.20	22 of 34 - Sale ²⁵
	300.000	359.96	07/20/20	212.76	...	147.20	23 of 34 - Sale ²⁵
	400.000	479.94	07/20/20	283.72	...	196.22	24 of 34 - Sale ²⁵
	400.000	479.94	07/20/20	283.68	...	196.26	25 of 34 - Sale ²⁵
	400.000	479.95	07/20/20	283.68	...	196.27	26 of 34 - Sale ²⁵
	500.000	599.93	07/20/20	354.65	...	245.28	27 of 34 - Sale ²⁵
	600.000	719.91	07/20/20	425.52	...	294.39	28 of 34 - Sale ²⁵
	700.000	839.90	07/20/20	496.44	...	343.46	29 of 34 - Sale ²⁵
	800.000	959.88	07/20/20	567.44	...	392.44	30 of 34 - Sale ²⁵
	800.000	959.87	07/20/20	567.36	...	392.51	31 of 34 - Sale ²⁵
	1,300.000	1,559.81	07/20/20	921.96	...	637.85	32 of 34 - Sale ²⁵
	2,800.000	3,359.59	07/20/20	1,985.76	...	1,373.83	33 of 34 - Sale ²⁵
	6,800.000	8,159.00	07/20/20	4,824.60	...	3,334.40	34 of 34 - Sale ²⁵
07/21/20	19,100.000	22,917.27	Various	13,544.11	...	9,373.16	Total of 34 transactions
	7 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	200.000	171.12	07/21/20	240.00	68.88 W	0.00	1 of 7 - Sale ²⁵
	100.000	85.56	07/21/20	154.44	68.88 W	0.00	2 of 7 - Sale ²⁵
	100.000	85.56	07/21/20	154.44	68.88 W	0.00	3 of 7 - Sale ²⁵
	1,600.000	1,368.89	07/21/20	1,920.00	551.11 W	0.00	4 of 7 - Sale ²⁵
	100.000	85.56	07/21/20	188.88	103.32 W	0.00	5 of 7 - Sale ²⁵
	1,200.000	1,026.67	07/21/20	1,440.00	413.33 W	0.00	6 of 7 - Sale ²⁵
	200.000	171.12	07/21/20	240.00	68.88 W	0.00	7 of 7 - Sale ²⁵
07/22/20	3,500.000	2,994.48	Various	4,337.76	1,343.28 W	0.00	Total of 7 transactions
	8 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1,100.000	1,110.84	07/22/20	1,335.89	...	-225.05	1 of 8 - Sale ²⁵
	1,000.000	1,009.86	07/22/20	1,214.44	...	-204.58	2 of 8 - Sale ²⁵
	600.000	605.91	07/22/20	728.67	...	-122.76	3 of 8 - Sale ²⁵
	100.000	100.99	07/22/20	190.32	...	-89.33	4 of 8 - Sale ²⁵
	100.000	100.99	07/22/20	155.88	...	-54.89	5 of 8 - Sale ²⁵
	200.000	201.97	07/22/20	242.88	...	-40.91	6 of 8 - Sale ²⁵
	100.000	100.99	07/22/20	121.44	...	-20.45	7 of 8 - Sale ²⁵

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Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
	300.000	302.95	07/22/20	261.00	...	41.95	8 of 8 - Sale ²⁵
07/27/20	3,500.000	3,534.50	Various	4,250.52	...	-716.02	Total of 8 transactions
	Security total:	29,446.25		22,132.39	1,343.28 W	8,657.14	
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
<i>2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	8,000.000	4,401.35	05/18/20	4,474.40	...	-73.05	1 of 2 - Sale ²⁵
	982.000	540.26	05/18/20	520.46	...	19.80	2 of 2 - Sale ²⁵
05/19/20	8,982.000	4,941.61	Various	4,994.86	...	-53.25	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>6 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	292.44	07/27/20	254.85	...	37.59	1 of 6 - Sale ²⁵
	700.000	409.42	07/27/20	356.79	...	52.63	2 of 6 - Sale ²⁵
	900.000	526.37	07/27/20	458.73	...	67.64	3 of 6 - Sale ²⁵
	1,073.000	627.55	07/27/20	546.91	...	80.64	4 of 6 - Sale ²⁵
	1,900.000	1,111.24	07/27/20	968.43	...	142.81	5 of 6 - Sale ²⁵
	2,100.000	1,228.22	07/27/20	1,070.37	...	157.85	6 of 6 - Sale ²⁵
07/27/20	7,173.000	4,195.24	Various	3,656.08	...	539.16	Total of 6 transactions
<i>9 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	184.98	07/27/20	130.00	...	54.98	1 of 9 - Sale ²⁵
	400.000	369.95	07/27/20	260.00	...	109.95	2 of 9 - Sale ²⁵
	500.000	462.44	07/27/20	325.00	...	137.44	3 of 9 - Sale ²⁵
	500.000	462.44	07/27/20	325.00	...	137.44	4 of 9 - Sale ²⁵
	535.000	494.82	07/27/20	347.75	...	147.07	5 of 9 - Sale ²⁵
	700.000	647.40	07/27/20	455.00	...	192.40	6 of 9 - Sale ²⁵
	700.000	647.40	07/27/20	455.00	...	192.40	7 of 9 - Sale ²⁵
	700.000	647.40	07/27/20	455.00	...	192.40	8 of 9 - Sale ²⁵
	1,400.000	1,294.80	07/27/20	910.00	...	384.80	9 of 9 - Sale ²⁵
07/28/20	5,635.000	5,211.63	Various	3,662.75	...	1,548.88	Total of 9 transactions
<i>16 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	153.56	07/28/20	228.00	74.44 W	0.00	1 of 16 - Sale ²⁵
	39.000	19.97	07/28/20	29.64	9.67 W	0.00	2 of 16 - Sale ²⁵

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(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	300.000	153.63	07/28/20	302.44	148.81 W	0.00	3 of 16 - Sale ²⁵
	361.000	184.86	07/28/20	274.36	89.50 W	0.00	4 of 16 - Sale ²⁵
	39.000	19.96	07/28/20	39.31	19.35 W	0.00	5 of 16 - Sale ²⁵
	61.000	31.23	07/28/20	46.36	15.13 W	0.00	6 of 16 - Sale ²⁵
	700.000	358.67	07/28/20	532.00	173.33 W	0.00	7 of 16 - Sale ²⁵
	100.000	51.19	07/28/20	76.00	24.81 W	0.00	8 of 16 - Sale ²⁵
	1,992.000	1,019.63	07/28/20	1,513.92	494.29 W	0.00	9 of 16 - Sale ²⁵
	300.000	153.56	07/28/20	228.00	74.44 W	0.00	10 of 16 - Sale ²⁵
	920.000	471.11	07/28/20	699.20	228.09 W	0.00	11 of 16 - Sale ²⁵
	180.000	92.14	07/28/20	136.80	44.66 W	0.00	12 of 16 - Sale ²⁵
	1,164.000	596.39	07/28/20	884.64	288.25 W	0.00	13 of 16 - Sale ²⁵
	100.000	51.19	07/28/20	76.00	24.81 W	0.00	14 of 16 - Sale ²⁵
	283.000	144.86	07/28/20	215.08	70.22 W	0.00	15 of 16 - Sale ²⁵
	17.000	8.70	07/28/20	21.35	12.65 W	0.00	16 of 16 - Sale ²⁵
07/29/20	6,856.000	3,510.65	Various	5,303.10	1,792.45 W	0.00	Total of 16 transactions
20 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1,164.000	750.65	08/10/20	1,207.81	...	-457.16	1 of 20 - Sale ²⁵
	920.000	593.30	08/10/20	954.89	...	-361.59	2 of 20 - Sale ²⁵
	900.000	580.40	08/10/20	934.32	...	-353.92	3 of 20 - Sale ²⁵
	700.000	451.42	08/10/20	726.33	...	-274.91	4 of 20 - Sale ²⁵
	502.000	323.74	08/10/20	521.15	...	-197.41	5 of 20 - Sale ²⁵
	283.000	182.50	08/10/20	363.95	...	-181.45	6 of 20 - Sale ²⁵
	361.000	232.81	08/10/20	374.69	...	-141.88	7 of 20 - Sale ²⁵
	917.000	591.37	08/10/20	724.43	...	-133.06	8 of 20 - Sale ²⁵
	300.000	193.47	08/10/20	311.44	...	-117.97	9 of 20 - Sale ²⁵
	300.000	193.47	08/10/20	311.44	...	-117.97	10 of 20 - Sale ²⁵
	283.000	182.50	08/10/20	293.79	...	-111.29	11 of 20 - Sale ²⁵
	583.000	375.97	08/10/20	460.57	...	-84.60	12 of 20 - Sale ²⁵
	180.000	116.08	08/10/20	186.86	...	-70.78	13 of 20 - Sale ²⁵
	150.000	96.73	08/10/20	155.72	...	-58.99	14 of 20 - Sale ²⁵
	140.000	90.28	08/10/20	145.34	...	-55.06	15 of 20 - Sale ²⁵
	100.000	64.49	08/10/20	103.81	...	-39.32	16 of 20 - Sale ²⁵
	100.000	64.49	08/10/20	103.81	...	-39.32	17 of 20 - Sale ²⁵
	39.000	25.15	08/10/20	50.16	...	-25.01	18 of 20 - Sale ²⁵

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	61.000	39.34	08/10/20	63.32	...	-23.98	19 of 20 - Sale ²⁵
	17.000	10.96	08/10/20	26.08	...	-15.12	20 of 20 - Sale ²⁵
08/10/20	8,000.000	5,159.12	Various	8,019.91	...	-2,860.79	Total of 20 transactions
	Security total:	18,076.64		20,641.84	1,792.45 W	-772.75	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
29 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	6,385.000	1,276.84	08/24/20	2,107.05	...	-830.21	1 of 29 - Sale ²⁵
	900.000	179.98	08/24/20	529.41	...	-349.43	2 of 29 - Sale ²⁵
	400.000	79.99	08/24/20	183.65	...	-103.66	3 of 29 - Sale ²⁵
	185.000	37.00	08/24/20	108.83	...	-71.83	4 of 29 - Sale ²⁵
	100.000	20.09	08/24/20	71.72	...	-51.63	5 of 29 - Sale ²⁵
	100.000	20.09	08/24/20	71.71	...	-51.62	6 of 29 - Sale ²⁵
	100.000	20.09	08/24/20	71.71	...	-51.62	7 of 29 - Sale ²⁵
	117.000	23.49	08/24/20	68.83	...	-45.34	8 of 29 - Sale ²⁵
	85.000	17.08	08/24/20	60.95	...	-43.87	9 of 29 - Sale ²⁵
	102.000	20.50	08/24/20	60.00	...	-39.50	10 of 29 - Sale ²⁵
	100.000	20.01	08/24/20	58.83	...	-38.82	11 of 29 - Sale ²⁵
	100.000	20.07	08/24/20	58.83	...	-38.76	12 of 29 - Sale ²⁵
	100.000	20.08	08/24/20	58.83	...	-38.75	13 of 29 - Sale ²⁵
	100.000	20.08	08/24/20	58.82	...	-38.74	14 of 29 - Sale ²⁵
	100.000	20.08	08/24/20	58.82	...	-38.74	15 of 29 - Sale ²⁵
	98.000	19.70	08/24/20	57.65	...	-37.95	16 of 29 - Sale ²⁵
	85.000	17.07	08/24/20	50.00	...	-32.93	17 of 29 - Sale ²⁵
	84.000	16.83	08/24/20	49.41	...	-32.58	18 of 29 - Sale ²⁵
	83.000	16.60	08/24/20	48.83	...	-32.23	19 of 29 - Sale ²⁵
	83.000	16.64	08/24/20	48.82	...	-32.18	20 of 29 - Sale ²⁵
	83.000	16.67	08/24/20	48.82	...	-32.15	21 of 29 - Sale ²⁵
	32.000	6.43	08/24/20	18.83	...	-12.40	22 of 29 - Sale ²⁵
	15.000	3.01	08/24/20	10.76	...	-7.75	23 of 29 - Sale ²⁵
	16.000	3.21	08/24/20	9.41	...	-6.20	24 of 29 - Sale ²⁵
	15.000	3.00	08/24/20	8.82	...	-5.82	25 of 29 - Sale ²⁵
	15.000	3.00	08/24/20	6.89	...	-3.89	26 of 29 - Sale ²⁵
	15.000	3.00	08/24/20	6.88	...	-3.88	27 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	1.000	0.20	08/24/20	0.59	...	-0.39	28 of 29 - Sale ²⁵
	1.000	0.20	08/24/20	0.59	...	-0.39	29 of 29 - Sale ²⁵
09/04/20	9,600.000	1,921.03	Various	3,994.29	...	-2,073.26	Total of 29 transactions
	25 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	200.000	40.22	08/24/20	66.00	25.78 W	0.00	1 of 25 - Sale ²⁵
	200.000	40.22	08/24/20	66.00	25.78 W	0.00	2 of 25 - Sale ²⁵
	600.000	120.53	08/24/20	198.00	77.47 W	0.00	3 of 25 - Sale ²⁵
	100.000	20.09	08/24/20	45.89	25.80 W	0.00	4 of 25 - Sale ²⁵
	100.000	20.09	08/24/20	45.89	25.80 W	0.00	5 of 25 - Sale ²⁵
	200.000	40.17	08/24/20	91.78	51.61 W	0.00	6 of 25 - Sale ²⁵
	700.000	140.61	08/24/20	231.00	90.39 W	0.00	7 of 25 - Sale ²⁵
	1,100.000	220.96	08/24/20	363.00	142.04 W	0.00	8 of 25 - Sale ²⁵
	400.000	80.35	08/24/20	132.00	51.65 W	0.00	9 of 25 - Sale ²⁵
	15.000	3.02	08/24/20	4.95	1.93 W	0.00	10 of 25 - Sale ²⁵
	85.000	17.07	08/24/20	39.02	21.95 W	0.00	11 of 25 - Sale ²⁵
	85.000	17.08	08/24/20	49.98	32.90 W	0.00	12 of 25 - Sale ²⁵
	100.000	20.09	08/24/20	58.80	38.71 W	0.00	13 of 25 - Sale ²⁵
	100.000	20.09	08/24/20	58.80	38.71 W	0.00	14 of 25 - Sale ²⁵
	130.000	26.11	08/24/20	59.69	33.58 W	0.00	15 of 25 - Sale ²⁵
	385.000	77.34	08/24/20	176.76	99.42 W	0.00	16 of 25 - Sale ²⁵
	15.000	3.01	08/24/20	8.82	5.81 W	0.00	17 of 25 - Sale ²⁵
	100.000	20.09	08/24/20	58.81	38.72 W	0.00	18 of 25 - Sale ²⁵
	400.000	80.35	08/24/20	183.65	103.30 W	0.00	19 of 25 - Sale ²⁵
	1.000	0.20	08/24/20	0.46	0.26 W	0.00	20 of 25 - Sale ²⁵
	100.000	20.09	08/24/20	45.91	25.82 W	0.00	21 of 25 - Sale ²⁵
	1.000	0.20	08/24/20	0.46	0.26 W	0.00	22 of 25 - Sale ²⁵
	185.000	37.16	08/24/20	84.94	47.78 W	0.00	23 of 25 - Sale ²⁵
	198.000	39.77	08/24/20	90.91	51.14 W	0.00	24 of 25 - Sale ²⁵
	900.000	180.80	08/24/20	413.21	232.41 W	0.00	25 of 25 - Sale ²⁵
09/04/20	6,400.000	1,285.71	Various	2,574.73	1,289.02 W	0.00	Total of 25 transactions
	Security total:	3,206.74		6,569.02	1,289.02 W	-2,073.26	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
11/20/20	1,110.000	20,534.41	11/18/20	19,980.00	...	554.41	Sale ²⁵

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(continued)

02/14/2021

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PFIZER INC. / CUSIP: 717081103 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	305.000	11,798.05	07/22/20	11,788.25	...	9.80	1 of 2 - Sale ²⁵
	660.000	25,530.20	07/22/20	25,509.00	...	21.20	2 of 2 - Sale ²⁵
07/23/20	965.000	37,328.25	Various	37,297.25	...	31.00	Total of 2 transactions
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	100.000	3,864.40	07/23/20	3,865.60	...	-1.20	1 of 3 - Sale ²⁵
	100.000	3,864.40	07/23/20	3,865.60	...	-1.20	2 of 3 - Sale ²⁵
	100.000	3,864.40	07/23/20	3,865.60	...	-1.20	3 of 3 - Sale ²⁵
07/28/20	300.000	11,593.20	Various	11,596.80	...	-3.60	Total of 3 transactions
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	500.000	19,322.01	07/23/20	19,325.00	0.60 W	-2.39	1 of 3 - Sale ²⁵
	100.000	3,864.40	07/23/20	3,865.00	0.60 W	0.00	2 of 3 - Sale ²⁵
	100.000	3,864.40	07/23/20	3,865.00	0.60 W	0.00	3 of 3 - Sale ²⁵
07/28/20	700.000	27,050.81	Various	27,055.00	1.80 W	-2.39	Total of 3 transactions
	Security total:	75,972.26		75,949.05	1.80 W	25.01	
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	300.000	1,113.14	07/30/20	1,815.00	701.86 W	0.00	1 of 2 - Sale ²⁵
	200.000	742.10	07/30/20	1,210.00	467.90 W	0.00	2 of 2 - Sale ²⁵
08/05/20	500.000	1,855.24	Various	3,025.00	1,169.76 W	0.00	Total of 2 transactions
7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	176.000	549.09	08/11/20	992.56	...	-443.47	1 of 7 - Sale ²⁵
	176.000	549.08	08/11/20	992.55	...	-443.47	2 of 7 - Sale ²⁵
	110.000	343.18	08/11/20	620.35	...	-277.17	3 of 7 - Sale ²⁵
	500.000	1,559.90	08/11/20	1,650.00	...	-90.10	4 of 7 - Sale ²⁵
	24.000	74.88	08/11/20	135.35	...	-60.47	5 of 7 - Sale ²⁵
	14.000	43.68	08/11/20	78.95	...	-35.27	6 of 7 - Sale ²⁵
	600.000	1,871.88	08/13/20	1,860.00	...	11.88	7 of 7 - Sale ²⁵
08/20/20	1,600.000	4,991.69	Various	6,329.76	...	-1,338.07	Total of 7 transactions
	Security total:	6,846.93		9,354.76	1,169.76 W	-1,338.07	

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(continued)

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PROSPECT CAPITAL CORPORATION C OMMON STOCK / CUSIP: 74348T102 / Symbol:							
06/05/20	1.000	5.52	06/04/20	5.44	...	0.08	Sale ²⁵
QURATE RETAIL, INC. SERIES B C OMMON STOCK / CUSIP: 74915M209 / Symbol:							
09/16/20	420.000	4,989.43	09/16/20	4,464.60	...	524.83	Sale ²⁵
RUMBLEON, INC. CLASS B COMMON STOCK / CUSIP: 781386305 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	38.000	315.39	05/20/20	523.64	...	-208.25	1 of 2 - Sale ²⁵
	10.000	83.00	05/20/20	192.60	...	-109.60	2 of 2 - Sale ²⁵
05/20/20	48.000	398.39	Various	716.24	...	-317.85	Total of 2 transactions
05/20/20	103.000	854.86	05/20/20	1,419.34	54.80 W	-509.68	Sale ²⁵
	Security total:	1,253.25		2,135.58	54.80 W	-827.53	
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
04/21/20	1,053.000	1,686.53	04/13/20	2,367.99	...	-681.46	Sale ²⁵
SAFE-T GROUP LTD. AMERICAN DEP OSITARY SHARE / CUSIP: 78643B401 / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	400.000	531.98	05/27/20	636.00	...	-104.02	1 of 3 - Sale ²⁵
	100.000	132.99	05/27/20	159.00	...	-26.01	2 of 3 - Sale ²⁵
	22.000	29.26	05/27/20	34.98	...	-5.72	3 of 3 - Sale ²⁵
06/04/20	522.000	694.23	Various	829.98	...	-135.75	Total of 3 transactions
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
10 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	6.000	24.32	08/24/20	24.00	...	0.32	1 of 10 - Sale ²⁵
	10.000	40.53	08/24/20	39.90	...	0.63	2 of 10 - Sale ²⁵
	37.000	149.97	08/24/20	148.00	...	1.97	3 of 10 - Sale ²⁵
	100.000	405.33	08/24/20	400.00	...	5.33	4 of 10 - Sale ²⁵
	100.000	405.33	08/24/20	399.00	...	6.33	5 of 10 - Sale ²⁵
	100.000	405.33	08/24/20	399.00	...	6.33	6 of 10 - Sale ²⁵
	100.000	405.33	08/24/20	399.00	...	6.33	7 of 10 - Sale ²⁵
	147.000	595.83	08/24/20	588.00	...	7.83	8 of 10 - Sale ²⁵
	200.000	810.66	08/24/20	798.00	...	12.66	9 of 10 - Sale ²⁵

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SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol: (cont'd)							
	200.000	810.66	08/24/20	798.00	...	12.66	10 of 10 - Sale ²⁵
08/24/20	1,000.000	4,053.29	Various	3,992.90	...	60.39	Total of 10 transactions
SORRENTO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 83587F202 / Symbol:							
06/16/20	1.000	4.81	06/12/20	4.75	...	0.06	Sale ²⁵
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
05/20/20	100.000	1,001.09	05/06/20	1,015.00	...	-13.91	Sale ²⁵
	8 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	264.000	4,516.91	07/15/20	5,029.20	...	-512.29	1 of 8 - Sale ²⁵
	20.000	342.19	07/15/20	419.61	...	-77.42	2 of 8 - Sale ²⁵
	2.000	34.22	07/15/20	41.96	...	-7.74	3 of 8 - Sale ²⁵
	2.000	34.22	07/15/20	41.44	...	-7.22	4 of 8 - Sale ²⁵
	97.000	1,659.62	07/16/20	1,938.11	...	-278.49	5 of 8 - Sale ²⁵
	43.000	735.70	07/16/20	859.16	...	-123.46	6 of 8 - Sale ²⁵
	5.000	85.55	07/16/20	99.90	...	-14.35	7 of 8 - Sale ²⁵
	1.000	17.11	07/17/20	19.55	...	-2.44	8 of 8 - Sale ²⁵
07/20/20	434.000	7,425.52	Various	8,448.93	...	-1,023.41	Total of 8 transactions
	3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	100.000	1,711.95	07/15/20	1,905.00	84.94 W	-108.11	1 of 3 - Sale ²⁵
	121.000	2,071.46	07/15/20	2,305.05	233.59 W	0.00	2 of 3 - Sale ²⁵
	5.000	85.60	07/15/20	95.25	9.65 W	0.00	3 of 3 - Sale ²⁵
07/20/20	226.000	3,869.01	Various	4,305.30	328.18 W	-108.11	Total of 3 transactions
	Security total:	12,295.62		13,769.23	328.18 W	-1,145.43	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	7.000	10,622.37	07/13/20	10,373.51	...	248.86	1 of 3 - Sale ²⁵
	1.000	1,534.96	07/15/20	1,505.00	...	29.96	2 of 3 - Sale ²⁵
	6.000	9,208.59	07/15/20	9,030.00	...	178.59	3 of 3 - Sale ²⁵
07/15/20	14.000	21,365.92	Various	20,908.51	...	457.41	Total of 3 transactions
UNIT CORPORATION / CUSIP: 909218109 / Symbol:							
04/23/20	1,000.000	250.18	04/23/20	264.90	...	-14.72	Sale ²⁵

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
08/03/20	1,000.000	32,070.17	07/31/20	31,070.00	...	1,000.17	Sale ²⁵
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	38.000	798.38	04/27/20	659.65	...	138.73	Sale ²⁵
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	686.000	2,640.96	06/18/20	2,641.10	...	-0.14	1 of 2 - Sale ²⁵
	314.000	1,208.83	06/18/20	1,208.90	...	-0.07	2 of 2 - Sale ²⁵
06/18/20	1,000.000	3,849.79	Various	3,850.00	...	-0.21	Total of 2 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
06/29/20	157.000	1,413.72	06/26/20	2,158.75	745.03 W	0.00	Sale ²⁵
07/02/20	44.000	331.52	06/29/20	312.40	...	19.12	Sale ²⁵
07/02/20	157.000	1,182.95	06/29/20	1,859.73	431.07 W	-245.71	Sale ²⁵
07/08/20	100.000	802.97	07/06/20	1,113.07	...	-310.10	Sale ²⁵
	Security total:	3,731.16		5,443.95	1,176.10 W	-536.69	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/29/20	98.000	1,426.84	06/29/20	1,411.20	...	15.64	Sale ²⁵
07/01/20	371.000	7,790.78	06/30/20	6,603.80	...	1,186.98	Sale ²⁵
	Security total:	9,217.62		8,015.00	...	1,202.62	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
05/26/20	48.000	45.18	05/22/20	44.63	...	0.55	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
08/28/20	27.000	68.72	08/27/20	71.01	...	-2.29	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	125.000	2,199.95	07/15/20	2,056.25	...	143.70	1 of 2 - Sale ²⁵
	300.000	5,279.87	07/15/20	4,935.00	...	344.87	2 of 2 - Sale ²⁵
07/15/20	425.000	7,479.82	Various	6,991.25	...	488.57	Total of 2 transactions
07/29/20	257.000	3,667.46	07/29/20	3,685.38	...	-17.92	Sale ²⁵

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
4 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	600.000	8,562.16	07/29/20	8,604.00	10.95 W	-30.89	1 of 4 - Sale ²⁵
	46.000	656.43	07/29/20	659.64	3.21 W	0.00	2 of 4 - Sale ²⁵
	597.000	8,519.35	07/29/20	8,560.98	41.63 W	0.00	3 of 4 - Sale ²⁵
	200.000	2,854.05	07/29/20	2,868.00	13.95 W	0.00	4 of 4 - Sale ²⁵
07/29/20	1,443.000	20,591.99	Various	20,692.62	69.74 W	-30.89	Total of 4 transactions
4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	46.000	737.01	08/10/20	694.02	...	42.99	1 of 4 - Sale ²⁵
	157.000	2,515.44	08/10/20	2,368.73	...	146.71	2 of 4 - Sale ²⁵
	200.000	3,204.38	08/10/20	3,017.49	...	186.89	3 of 4 - Sale ²⁵
	597.000	9,565.09	08/10/20	9,007.20	...	557.89	4 of 4 - Sale ²⁵
08/11/20	1,000.000	16,021.92	Various	15,087.44	...	934.48	Total of 4 transactions
	Security total:	47,761.19		46,456.69	69.74 W	1,374.24	
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
22 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	38.000	22.17	04/30/20	17.10	...	5.07	1 of 22 - Sale ²⁵
	100.000	58.34	04/30/20	45.00	...	13.34	2 of 22 - Sale ²⁵
	200.000	116.67	04/30/20	90.00	...	26.67	3 of 22 - Sale ²⁵
	300.000	174.95	04/30/20	135.00	...	39.95	4 of 22 - Sale ²⁵
	362.000	211.18	04/30/20	162.90	...	48.28	5 of 22 - Sale ²⁵
	1,000.000	583.36	04/30/20	430.00	...	153.36	6 of 22 - Sale ²⁵
	100.000	58.32	05/26/20	36.00	...	22.32	7 of 22 - Sale ²⁵
	100.000	58.34	05/26/20	36.00	...	22.34	8 of 22 - Sale ²⁵
	200.000	116.64	05/26/20	72.00	...	44.64	9 of 22 - Sale ²⁵
	200.000	116.64	05/26/20	72.00	...	44.64	10 of 22 - Sale ²⁵
	200.000	116.67	05/26/20	72.00	...	44.67	11 of 22 - Sale ²⁵
	200.000	116.68	05/26/20	72.00	...	44.68	12 of 22 - Sale ²⁵
	300.000	174.95	05/26/20	108.00	...	66.95	13 of 22 - Sale ²⁵
	313.000	182.59	05/26/20	112.68	...	69.91	14 of 22 - Sale ²⁵
	400.000	233.31	05/26/20	144.00	...	89.31	15 of 22 - Sale ²⁵
	600.000	349.97	05/26/20	216.00	...	133.97	16 of 22 - Sale ²⁵
	687.000	400.77	05/26/20	247.32	...	153.45	17 of 22 - Sale ²⁵
	700.000	408.37	05/26/20	252.00	...	156.37	18 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol: (cont'd)							
	1,000.000	583.37	05/26/20	360.00	...	223.37	19 of 22 - Sale ²⁵
	1,817.000	1,059.79	05/26/20	654.12	...	405.67	20 of 22 - Sale ²⁵
	3.000	1.75	06/02/20	0.98	...	0.77	21 of 22 - Sale ²⁵
	1.000	0.58	06/03/20	0.33	...	0.25	22 of 22 - Sale ²⁵
06/05/20	8,821.000	5,145.41	Various	3,335.43	...	1,809.98	Total of 22 transactions
	3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	2.000	1.84	06/08/20	3.42	...	-1.58	1 of 3 - Sale ²⁵
	2,758.000	2,543.05	06/10/20	3,443.36	...	-900.31	2 of 3 - Sale ²⁵
	4.000	3.69	06/10/20	4.72	...	-1.03	3 of 3 - Sale ²⁵
06/18/20	2,764.000	2,548.58	Various	3,451.50	...	-902.92	Total of 3 transactions
06/18/20	1,466.000	1,351.74	06/08/20	2,624.14	867.94 W	-404.46	Sale ²⁵
	6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	64.000	39.68	06/18/20	114.79	...	-75.11	1 of 6 - Sale ²⁵
	510.000	316.18	06/19/20	891.45	...	-575.27	2 of 6 - Sale ²⁵
	412.000	255.42	06/19/20	744.87	...	-489.45	3 of 6 - Sale ²⁵
	8.000	4.96	06/19/20	13.98	...	-9.02	4 of 6 - Sale ²⁵
	5.000	3.10	06/19/20	8.90	...	-5.80	5 of 6 - Sale ²⁵
	1.000	0.62	06/19/20	1.80	...	-1.18	6 of 6 - Sale ²⁵
07/06/20	1,000.000	619.96	Various	1,775.79	...	-1,155.83	Total of 6 transactions
	Security total:	9,665.69		11,186.86	867.94 W	-653.23	
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	554.000	322.41	05/20/20	310.24	...	12.17	1 of 2 - Sale ²⁵
	1,648.000	959.09	05/20/20	922.72	...	36.37	2 of 2 - Sale ²⁵
05/26/20	2,202.000	1,281.50	Various	1,232.96	...	48.54	Total of 2 transactions
	14 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	100.000	58.20	05/19/20	58.50	0.30 W	0.00	1 of 14 - Sale ²⁵
	200.000	116.40	05/19/20	117.00	0.60 W	0.00	2 of 14 - Sale ²⁵
	500.000	290.99	05/19/20	292.50	1.51 W	0.00	3 of 14 - Sale ²⁵
	100.000	58.20	05/19/20	58.50	0.30 W	0.00	4 of 14 - Sale ²⁵
	1,384.000	805.45	05/19/20	809.64	4.19 W	0.00	5 of 14 - Sale ²⁵
	2,716.000	1,580.62	05/19/20	1,588.86	8.24 W	0.00	6 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	100.000	58.20	05/19/20	58.80	0.60 W	0.00	7 of 14 - Sale ²⁵
	100.000	58.20	05/19/20	58.80	0.60 W	0.00	8 of 14 - Sale ²⁵
	200.000	116.39	05/19/20	117.60	1.21 W	0.00	9 of 14 - Sale ²⁵
	500.000	290.98	05/19/20	294.01	3.03 W	0.00	10 of 14 - Sale ²⁵
	900.000	523.77	05/19/20	529.22	5.45 W	0.00	11 of 14 - Sale ²⁵
	484.000	281.67	05/19/20	284.61	2.94 W	0.00	12 of 14 - Sale ²⁵
	416.000	242.10	05/19/20	243.36	1.26 W	0.00	13 of 14 - Sale ²⁵
	747.000	434.73	05/19/20	437.00	2.27 W	0.00	14 of 14 - Sale ²⁵
05/26/20	8,447.000	4,915.90	Various	4,948.40	32.50 W	0.00	Total of 14 transactions
	4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	200.000	112.18	06/04/20	115.61	3.43 W	0.00	1 of 4 - Sale ²⁵
	100.000	56.09	06/04/20	58.10	2.01 W	0.00	2 of 4 - Sale ²⁵
	187.000	104.88	06/04/20	108.66	3.78 W	0.00	3 of 4 - Sale ²⁵
	2,516.000	1,411.16	06/04/20	1,454.33	43.17 W	0.00	4 of 4 - Sale ²⁵
06/08/20	3,003.000	1,684.31	Various	1,736.70	52.39 W	0.00	Total of 4 transactions
	28 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1,427.000	884.55	06/09/20	923.49	...	-38.94	1 of 28 - Sale ²⁵
	500.000	309.94	06/09/20	323.58	...	-13.64	2 of 28 - Sale ²⁵
	410.000	254.15	06/09/20	265.33	...	-11.18	3 of 28 - Sale ²⁵
	747.000	463.04	06/09/20	472.88	...	-9.84	4 of 28 - Sale ²⁵
	500.000	309.94	06/09/20	318.03	...	-8.09	5 of 28 - Sale ²⁵
	718.000	445.06	06/09/20	452.34	...	-7.28	6 of 28 - Sale ²⁵
	187.000	115.93	06/09/20	121.59	...	-5.66	7 of 28 - Sale ²⁵
	200.000	123.97	06/09/20	129.43	...	-5.46	8 of 28 - Sale ²⁵
	299.000	185.34	06/09/20	190.18	...	-4.84	9 of 28 - Sale ²⁵
	296.000	183.48	06/09/20	188.28	...	-4.80	10 of 28 - Sale ²⁵
	248.000	153.73	06/09/20	157.74	...	-4.01	11 of 28 - Sale ²⁵
	276.000	171.08	06/09/20	174.72	...	-3.64	12 of 28 - Sale ²⁵
	100.000	62.00	06/09/20	65.01	...	-3.01	13 of 28 - Sale ²⁵
	179.000	110.96	06/09/20	113.86	...	-2.90	14 of 28 - Sale ²⁵
	100.000	61.99	06/09/20	64.72	...	-2.73	15 of 28 - Sale ²⁵
	152.000	94.22	06/09/20	96.68	...	-2.46	16 of 28 - Sale ²⁵
	64.000	39.67	06/09/20	41.42	...	-1.75	17 of 28 - Sale ²⁵
	101.000	62.61	06/09/20	64.24	...	-1.63	18 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHECK-CAP LTD. ORDINARY SHARE / CUSIP: M2361E203 / Symbol: (cont'd)							
	100.000	61.99	06/09/20	63.60	...	-1.61	19 of 28 - Sale ²⁵
	100.000	61.99	06/09/20	63.30	...	-1.31	20 of 28 - Sale ²⁵
	50.000	31.00	06/09/20	31.80	...	-0.80	21 of 28 - Sale ²⁵
	36.000	22.32	06/09/20	22.90	...	-0.58	22 of 28 - Sale ²⁵
	40.000	24.80	06/09/20	25.32	...	-0.52	23 of 28 - Sale ²⁵
	22.000	13.64	06/09/20	13.99	...	-0.35	24 of 28 - Sale ²⁵
	10.000	6.20	06/09/20	6.47	...	-0.27	25 of 28 - Sale ²⁵
	13.000	8.06	06/09/20	8.27	...	-0.21	26 of 28 - Sale ²⁵
	5.000	3.10	06/09/20	3.24	...	-0.14	27 of 28 - Sale ²⁵
	1.000	0.62	06/09/20	0.64	...	-0.02	28 of 28 - Sale ²⁵
06/10/20	6,881.000	4,265.38	Various	4,403.05	...	-137.67	Total of 28 transactions
	Security total:	12,147.09		12,321.11	84.89 W	-89.13	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
<i>59 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.24	11/18/20	0.23	...	0.01	1 of 59 - Sale ²⁵
	20.000	2.43	11/18/20	2.30	...	0.13	2 of 59 - Sale ²⁵
	44.000	5.35	11/18/20	5.06	...	0.29	3 of 59 - Sale ²⁵
	67.000	9.04	11/18/20	8.71	...	0.33	4 of 59 - Sale ²⁵
	60.000	7.28	11/18/20	6.90	...	0.38	5 of 59 - Sale ²⁵
	100.000	13.49	11/18/20	13.00	...	0.49	6 of 59 - Sale ²⁵
	100.000	13.49	11/18/20	13.00	...	0.49	7 of 59 - Sale ²⁵
	114.000	15.38	11/18/20	14.82	...	0.56	8 of 59 - Sale ²⁵
	100.000	12.14	11/18/20	11.50	...	0.64	9 of 59 - Sale ²⁵
	220.000	26.70	11/18/20	25.30	...	1.40	10 of 59 - Sale ²⁵
	294.000	39.65	11/18/20	38.22	...	1.43	11 of 59 - Sale ²⁵
	310.000	41.81	11/18/20	40.30	...	1.51	12 of 59 - Sale ²⁵
	300.000	36.41	11/18/20	34.50	...	1.91	13 of 59 - Sale ²⁵
	400.000	53.95	11/18/20	52.00	...	1.95	14 of 59 - Sale ²⁵
	352.000	42.73	11/18/20	40.48	...	2.25	15 of 59 - Sale ²⁵
	500.000	67.44	11/18/20	65.00	...	2.44	16 of 59 - Sale ²⁵
	500.000	67.44	11/18/20	65.00	...	2.44	17 of 59 - Sale ²⁵
	500.000	67.44	11/18/20	65.00	...	2.44	18 of 59 - Sale ²⁵
	500.000	67.44	11/18/20	65.00	...	2.44	19 of 59 - Sale ²⁵

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Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	387.000	46.97	11/18/20	44.51	...	2.46	20 of 59 - Sale ²⁵
	433.000	52.56	11/18/20	49.80	...	2.76	21 of 59 - Sale ²⁵
	500.000	60.69	11/18/20	57.50	...	3.19	22 of 59 - Sale ²⁵
	800.000	107.90	11/18/20	104.00	...	3.90	23 of 59 - Sale ²⁵
	893.000	120.45	11/18/20	116.09	...	4.36	24 of 59 - Sale ²⁵
	1,000.000	134.88	11/18/20	130.00	...	4.88	25 of 59 - Sale ²⁵
	1,000.000	134.88	11/18/20	130.00	...	4.88	26 of 59 - Sale ²⁵
	1,198.000	161.59	11/18/20	155.74	...	5.85	27 of 59 - Sale ²⁵
	1,241.000	167.39	11/18/20	161.33	...	6.06	28 of 59 - Sale ²⁵
	1,000.000	121.38	11/18/20	115.00	...	6.38	29 of 59 - Sale ²⁵
	1,394.000	188.02	11/18/20	181.22	...	6.80	30 of 59 - Sale ²⁵
	1,400.000	188.83	11/18/20	182.00	...	6.83	31 of 59 - Sale ²⁵
	1,660.000	223.90	11/18/20	215.80	...	8.10	32 of 59 - Sale ²⁵
	1,993.000	268.81	11/18/20	259.09	...	9.72	33 of 59 - Sale ²⁵
	2,100.000	283.25	11/18/20	273.00	...	10.25	34 of 59 - Sale ²⁵
	2,400.000	323.71	11/18/20	312.00	...	11.71	35 of 59 - Sale ²⁵
	2,606.000	351.50	11/18/20	338.78	...	12.72	36 of 59 - Sale ²⁵
	2,630.000	354.73	11/18/20	341.90	...	12.83	37 of 59 - Sale ²⁵
	2,759.000	372.14	11/18/20	358.67	...	13.47	38 of 59 - Sale ²⁵
	2,940.000	396.53	11/18/20	382.20	...	14.33	39 of 59 - Sale ²⁵
	3,000.000	404.64	11/18/20	390.00	...	14.64	40 of 59 - Sale ²⁵
	3,200.000	431.62	11/18/20	416.00	...	15.62	41 of 59 - Sale ²⁵
	3,400.000	458.60	11/18/20	442.00	...	16.60	42 of 59 - Sale ²⁵
	3,493.000	471.14	11/18/20	454.09	...	17.05	43 of 59 - Sale ²⁵
	3,700.000	499.06	11/18/20	481.00	...	18.06	44 of 59 - Sale ²⁵
	4,002.000	539.77	11/18/20	520.26	...	19.51	45 of 59 - Sale ²⁵
	4,100.000	552.99	11/18/20	533.00	...	19.99	46 of 59 - Sale ²⁵
	3,591.000	435.87	11/18/20	412.97	...	22.90	47 of 59 - Sale ²⁵
	5,000.000	674.38	11/18/20	650.00	...	24.38	48 of 59 - Sale ²⁵
	5,000.000	674.38	11/18/20	650.00	...	24.38	49 of 59 - Sale ²⁵
	5,306.000	715.66	11/18/20	689.78	...	25.88	50 of 59 - Sale ²⁵
	5,400.000	728.34	11/18/20	702.00	...	26.34	51 of 59 - Sale ²⁵
	4,940.000	599.60	11/18/20	568.10	...	31.50	52 of 59 - Sale ²⁵
	5,000.000	606.88	11/18/20	575.00	...	31.88	53 of 59 - Sale ²⁵

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Robinhood Securities LLC

Account 128101805

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
	7,060.000	856.93	11/18/20	811.90	...	45.03	54 of 59 - Sale ²⁵
	7,384.000	896.25	11/18/20	849.16	...	47.09	55 of 59 - Sale ²⁵
	9,231.000	1,120.44	11/18/20	1,061.57	...	58.87	56 of 59 - Sale ²⁵
	12,000.000	1,456.54	11/18/20	1,380.00	...	76.54	57 of 59 - Sale ²⁵
	12,616.000	1,531.31	11/18/20	1,450.84	...	80.47	58 of 59 - Sale ²⁵
	21,760.000	2,641.20	11/18/20	2,502.40	...	138.80	59 of 59 - Sale ²⁵
11/18/20	164,000.000	20,945.56	Various	20,015.02	...	930.54	Total of 59 transactions
	2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	30,745.000	6,360.41	11/23/20	6,456.45	...	-96.04	1 of 2 - Sale ²⁵
	25,155.000	5,203.98	11/23/20	5,282.55	...	-78.57	2 of 2 - Sale ²⁵
11/23/20	55,900.000	11,564.39	Various	11,739.00	...	-174.61	Total of 2 transactions
	Security total:	32,509.95		31,754.02	...	755.93	
Totals :		968,212.24		980,097.77	14,436.27 W	2,550.74	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
04/01/20	1.000	0.17	09/16/18	5.30	...	-5.13	Sale ²⁵
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
04/01/20	1.000	0.87	02/05/18	5.24	...	-4.37	Sale ²⁵
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
04/01/20	1.000	6.79	10/15/17	3.83	...	2.96	Sale ²⁵
Totals :		7.83		14.37		-6.54	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 128101805

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.250	4.09	04/27/20	4.34	...	-0.25	Gain or loss
Totals:		4.09		4.34	...	-0.25	

Robinhood Securities LLC

Account 128101805

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/31/20	0.39	Interest	
		08/28/20	0.48	Interest	
		08/31/20	0.13	Interest	
		09/25/20	0.33	Interest	
		09/30/20	0.01	Interest	
		11/30/20	0.24	Interest	
			1.58	Total Interest	
			1.58	Total Interest	

Robinhood Securities LLC

Account 128101805

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/18/20	-5.00	Margin interest paid	
		06/15/20	-5.00	Margin interest paid	
		07/15/20	-5.00	Margin interest paid	
		08/14/20	-5.00	Margin interest paid	
		08/14/20	-39.54	Margin interest paid	
		09/14/20	-5.00	Margin interest paid	
		09/14/20	-14.31	Margin interest paid	
		10/13/20	-5.00	Margin interest paid	
		10/13/20	-17.91	Margin interest paid	
		11/12/20	-5.00	Margin interest paid	
		11/12/20	-21.86	Margin interest paid	
		12/14/20	-15.23	Margin interest paid	
			-143.85	Total Margin interest paid	
			-143.85	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium. **Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Instructions for Recipient

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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