

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 123354540	Statement Date: 02/14/2021 Document ID: UHGX 3XE R9UP	2020
	Jaya Golla 424 SW 200th Ave Beaverton, OR 97006 RECIPIENT'S TIN: XXX-XX-9276		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 102.60</p> <p>1b- Qualified dividends 94.62</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 2.02</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 7.98</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	152,463.90	158,074.95	0.00	9,035.81	3,424.76
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	7.23	4.62	0.00	0.00	2.61
	Total Short-term	152,471.13	158,079.57	0.00	9,035.81	3,427.37
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	152,471.13	158,079.57	0.00	9,035.81	3,427.37
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 123354540

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	7.79
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	38.40
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 06/26/2020 PUT \$24.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	101.98	06/11/20	92.00	...	9.98	1 of 2 - Option sale to close-put ²⁵
	4.000	407.98	06/11/20	368.00	...	39.98	2 of 2 - Option sale to close-put ²⁵
06/11/20	5.000	509.96	Various	460.00	...	49.96	Total of 2 transactions
BAC 06/26/2020 PUT \$25.50 / CUSIP: / Symbol:							
06/11/20	5.000	924.96	06/11/20	875.00	...	49.96	Option sale to close-put ²⁵
BP 06/19/2020 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	127.98	06/08/20	128.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	4.000	527.97	06/08/20	512.00	...	15.97	2 of 2 - Option sale to close-call ²⁵
06/08/20	5.000	655.95	Various	640.00	...	15.95	Total of 2 transactions

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Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COTY 07/24/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/24/20	5.000	0.00	06/29/20	255.00	...	-255.00	Option expiration- long call
DAL 05/15/2020 CALL \$22.50 / CUSIP: / Symbol:							
05/15/20	5.000	0.00	05/11/20	405.00	...	-405.00	Option expiration- long call
GSK 06/19/2020 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	4.000	259.98	06/15/20	236.00	...	23.98	1 of 2 - Option sale to close-call ²⁵
	6.000	389.98	06/15/20	360.00	...	29.98	2 of 2 - Option sale to close-call ²⁵
06/15/20	10.000	649.96	Various	596.00	...	53.96	Total of 2 transactions
INSG 03/19/2021 PUT \$12.50 / CUSIP: / Symbol:							
12/11/20	1.000	214.98	12/11/20	205.00	...	9.98	Option sale to close-put ²⁵
LAD 07/17/2020 PUT \$135.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/18/20	1,180.00	...	-1,180.00	1 of 4 - Option expiration- long put
	1.000	0.00	07/01/20	255.00	...	-255.00	2 of 4 - Option expiration- long put
	1.000	0.00	07/01/20	185.00	...	-185.00	3 of 4 - Option expiration- long put
	1.000	0.00	07/10/20	140.00	...	-140.00	4 of 4 - Option expiration- long put
07/17/20	5.000	0.00	Various	1,760.00	...	-1,760.00	Total of 4 transactions
LYFT 05/08/2020 CALL \$31.00 / CUSIP: / Symbol:							
05/07/20	2.000	289.98	05/01/20	316.00	...	-26.02	Option sale to close-call ²⁵
MRNA 06/12/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	2.000	819.98	06/02/20	780.00	...	39.98	1 of 2 - Option sale to close-call ²⁵
	2.000	819.97	06/02/20	740.00	...	79.97	2 of 2 - Option sale to close-call ²⁵
06/03/20	4.000	1,639.95	Various	1,520.00	...	119.95	Total of 2 transactions
NAT 08/21/2020 CALL \$4.50 / CUSIP: / Symbol:							
07/14/20	5.000	304.98	07/13/20	245.00	...	59.98	Option sale to close-call ²⁵
NCLH 07/02/2020 PUT \$19.00 / CUSIP: / Symbol:							
06/22/20	1.000	274.98	06/19/20	245.00	...	29.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 08/14/2020 CALL \$17.00 / CUSIP: / Symbol:							
3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/13/20	1,675.00	...	-1,675.00	1 of 3 - Option expiration- long call
	2.000	0.00	07/27/20	44.00	...	-44.00	2 of 3 - Option expiration- long call
	2.000	0.00	07/27/20	44.00	...	-44.00	3 of 3 - Option expiration- long call
08/14/20	9.000	0.00	Various	1,763.00	...	-1,763.00	Total of 3 transactions
NVAX 07/17/2020 PUT \$95.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	574.98	07/07/20	950.00	...	-375.02	1 of 2 - Option sale to close-put ²⁵
	2.000	1,149.97	07/10/20	1,100.00	...	49.97	2 of 2 - Option sale to close-put ²⁵
07/10/20	3.000	1,724.95	Various	2,050.00	...	-325.05	Total of 2 transactions
PFE 06/19/2020 CALL \$36.50 / CUSIP: / Symbol:							
06/04/20	10.000	749.96	06/03/20	680.00	...	69.96	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$106.00 / CUSIP: / Symbol:							
06/04/20	1.000	574.97	06/03/20	515.00	...	59.97	Option sale to close-call ²⁵
SAVE 07/17/2020 CALL \$22.50 / CUSIP: / Symbol:							
10 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	5.98	06/16/20	270.00	264.02 W	0.00	1 of 10 - Option sale to close-call ²⁵
	1.000	4.98	06/16/20	270.00	265.02 W	0.00	2 of 10 - Option sale to close-call ²⁵
	1.000	5.00	06/17/20	464.02	459.02 W	0.00	3 of 10 - Option sale to close-call ²⁵
	1.000	5.00	06/17/20	465.02	460.02 W	0.00	4 of 10 - Option sale to close-call ²⁵
	1.000	4.98	06/24/20	521.02	516.04 W	0.00	5 of 10 - Option sale to close-call ²⁵
	1.000	4.98	06/24/20	525.02	520.04 W	0.00	6 of 10 - Option sale to close-call ²⁵
	1.000	4.98	06/24/20	122.00	117.02 W	0.00	7 of 10 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVE 07/17/2020 CALL \$22.50 / CUSIP: / Symbol: (cont'd)							
	1.000	4.98	06/24/20	122.01	117.03 W	0.00	8 of 10 - Option sale to close-call ₂₅
	2.000	9.99	06/24/20	124.00	114.01 W	0.00	9 of 10 - Option sale to close-call ₂₅
	1.000	4.99	06/24/20	62.00	57.01 W	0.00	10 of 10 - Option sale to close-call ₂₅
07/13/20	11.000	55.86	Various	2,945.09	2,889.23 W	0.00	Total of 10 transactions
	17 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	5.00	06/24/20	122.01	117.01 W	0.00	1 of 17 - Option sale to close-call ₂₅
	1.000	5.00	06/25/20	556.04	551.04 W	0.00	2 of 17 - Option sale to close-call ₂₅
	1.000	5.00	06/25/20	560.04	555.04 W	0.00	3 of 17 - Option sale to close-call ₂₅
	1.000	5.00	06/25/20	157.03	152.03 W	0.00	4 of 17 - Option sale to close-call ₂₅
	1.000	4.99	06/25/20	157.02	152.03 W	0.00	5 of 17 - Option sale to close-call ₂₅
	1.000	4.99	06/25/20	40.00	35.01 W	0.00	6 of 17 - Option sale to close-call ₂₅
	3.000	14.99	06/26/20	120.00	105.01 W	0.00	7 of 17 - Option sale to close-call ₂₅
	1.000	4.98	06/26/20	157.01	152.03 W	0.00	8 of 17 - Option sale to close-call ₂₅
	1.000	6.00	06/26/20	591.04	585.04 W	0.00	9 of 17 - Option sale to close-call ₂₅
	1.000	6.00	06/26/20	595.04	589.04 W	0.00	10 of 17 - Option sale to close-call ₂₅
	1.000	6.00	06/26/20	192.03	186.03 W	0.00	11 of 17 - Option sale to close-call ₂₅
	1.000	6.00	06/26/20	192.03	186.03 W	0.00	12 of 17 - Option sale to close-call ₂₅
	1.000	6.00	06/26/20	75.01	69.01 W	0.00	13 of 17 - Option sale to close-call ₂₅

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAVE 07/17/2020 CALL \$22.50 / CUSIP: / Symbol: (cont'd)							
	1.000	5.99	06/26/20	75.00	69.01 W	0.00	14 of 17 - Option sale to close-call ₂₅
	1.000	5.99	07/01/20	192.03	186.04 W	0.00	15 of 17 - Option sale to close-call ₂₅
	2.000	11.99	07/01/20	150.01	138.02 W	0.00	16 of 17 - Option sale to close-call ₂₅
	1.000	6.00	07/01/20	40.00	34.00 W	0.00	17 of 17 - Option sale to close-call ₂₅
07/15/20	20.000	109.92	Various	3,971.34	3,861.42 W	0.00	Total of 17 transactions
	18 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	07/01/20	625.04	...	-625.04	1 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	607.04	...	-607.04	2 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	204.04	...	-204.04	3 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	204.03	...	-204.03	4 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	204.03	...	-204.03	5 of 18 - Option expiration- long call
	2.000	0.00	07/06/20	174.02	...	-174.02	6 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	87.01	...	-87.01	7 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	87.01	...	-87.01	8 of 18 - Option expiration- long call
	4.000	0.00	07/06/20	80.00	...	-80.00	9 of 18 - Option expiration- long call
	1.000	0.00	07/06/20	52.00	...	-52.00	10 of 18 - Option expiration- long call
	2.000	0.00	07/06/20	40.00	...	-40.00	11 of 18 - Option expiration- long call
	2.000	0.00	07/06/20	40.00	...	-40.00	12 of 18 - Option expiration- long call
	2.000	0.00	07/06/20	40.00	...	-40.00	13 of 18 - Option expiration- long call

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Robinhood Securities LLC

Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SAVE 07/17/2020 CALL \$22.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	07/06/20	18.00	...	-18.00	14 of 18 - Option expiration- long call
	10.000	0.00	07/07/20	150.00	...	-150.00	15 of 18 - Option expiration- long call
	9.000	0.00	07/07/20	135.00	...	-135.00	16 of 18 - Option expiration- long call
	5.000	0.00	07/07/20	75.00	...	-75.00	17 of 18 - Option expiration- long call
	1.000	0.00	07/07/20	15.00	...	-15.00	18 of 18 - Option expiration- long call
07/17/20	46.000	0.00	Various	2,837.22	...	-2,837.22	Total of 18 transactions
	Security total:	165.78		9,753.65	6,750.65 W	-2,837.22	
SAVE 07/17/2020 PUT \$17.50 / CUSIP: / Symbol:							
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	189.98	06/17/20	195.00	...	-5.02	1 of 2 - Option sale to close-put ²⁵
	1.000	194.98	06/17/20	195.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
07/08/20	2.000	384.96	Various	390.00	...	-5.04	Total of 2 transactions
SDC 05/01/2020 CALL \$6.00 / CUSIP: / Symbol:							
	2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	20.000	899.94	04/22/20	800.00	...	99.94	1 of 2 - Option sale to close-call ²⁵
	20.000	899.94	04/22/20	800.00	...	99.94	2 of 2 - Option sale to close-call ²⁵
04/23/20	40.000	1,799.88	Various	1,600.00	...	199.88	Total of 2 transactions
SHOP 06/19/2020 CALL \$720.00 / CUSIP: / Symbol:							
06/08/20	1.000	3,189.91	06/08/20	2,860.00	...	329.91	Option sale to close-call ²⁵
SHOP 06/19/2020 CALL \$750.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,679.95	06/04/20	3,280.00	...	-1,600.05	Option sale to close-call ²⁵
SNAP 05/22/2020 PUT \$15.50 / CUSIP: / Symbol:							
	4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	9.000	0.00	05/14/20	207.00	...	-207.00	1 of 4 - Option expiration- long put
	8.000	0.00	05/14/20	184.00	...	-184.00	2 of 4 - Option expiration- long put

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(continued)

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SNAP 05/22/2020 PUT \$15.50 / CUSIP: / Symbol: (cont'd)							
	7.000	0.00	05/14/20	161.00	...	-161.00	3 of 4 - Option expiration- long put
	1.000	0.00	05/14/20	23.00	...	-23.00	4 of 4 - Option expiration- long put
05/22/20	25.000	0.00	Various	575.00	...	-575.00	Total of 4 transactions
SPCE 05/29/2020 CALL \$17.00 / CUSIP: / Symbol:							
05/27/20	5.000	269.98	05/27/20	380.00	...	-110.02	Option sale to close-call ²⁵
TSLA 09/16/2022 CALL \$480.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	26,760.39	10/22/20	14,476.00	...	12,284.39	1 of 2 - Option sale to close-call ²⁵
	1.000	26,760.39	10/22/20	14,476.00	...	12,284.39	2 of 2 - Option sale to close-call ²⁵
12/07/20	2.000	53,520.78	Various	28,952.00	...	24,568.78	Total of 2 transactions
TSLA 09/16/2022 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	-12,114.32	12/07/20	0.00	...	-12,114.32	1 of 2 - Short sale closed- call ²⁵
	1.000	-12,114.31	12/07/20	0.00	...	-12,114.31	Option written: 10/22/20 2 of 2 - Short sale closed- call ²⁵
12/08/20	2.000	-24,228.63	Various	0.00	...	-24,228.63	Option written: 10/22/20 Total of 2 transactions
UAL 06/26/2020 PUT \$38.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	654.97	06/10/20	287.00	...	367.97	1 of 2 - Option sale to close-put ²⁵
	1.000	654.97	06/10/20	287.00	...	367.97	2 of 2 - Option sale to close-put ²⁵
06/11/20	2.000	1,309.94	Various	574.00	...	735.94	Total of 2 transactions
UAL 07/02/2020 CALL \$38.00 / CUSIP: / Symbol:							
8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	67.00	06/26/20	250.01	...	-183.01	1 of 8 - Option sale to close-call ²⁵
	1.000	67.00	06/26/20	230.03	...	-163.03	2 of 8 - Option sale to close-call ²⁵
	1.000	66.99	06/26/20	75.01	...	-8.02	3 of 8 - Option sale to close-call ²⁵
	1.000	67.00	06/26/20	75.00	...	-8.00	4 of 8 - Option sale to close-call ²⁵
	1.000	63.99	06/26/20	53.00	...	10.99	5 of 8 - Option sale to close-call ²⁵
	1.000	63.99	06/26/20	39.01	...	24.98	6 of 8 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UAL 07/02/2020 CALL \$38.00 / CUSIP: / Symbol: (cont'd)							
	1.000	66.99	06/26/20	36.00	...	30.99	7 of 8 - Option sale to close-call ²⁵
	2.000	133.99	06/26/20	72.00	...	61.99	8 of 8 - Option sale to close-call ²⁵
06/29/20	9.000	596.95	Various	830.06	...	-233.11	Total of 8 transactions
9 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	87.99	06/19/20	510.00	422.01 W	0.00	1 of 9 - Option sale to close-call ²⁵
	1.000	44.00	06/24/20	80.00	36.00 W	0.00	2 of 9 - Option sale to close-call ²⁵
	1.000	44.00	06/24/20	80.00	36.00 W	0.00	3 of 9 - Option sale to close-call ²⁵
	1.000	44.00	06/24/20	61.00	17.00 W	0.00	4 of 9 - Option sale to close-call ²⁵
	1.000	43.99	06/25/20	47.00	3.01 W	0.00	5 of 9 - Option sale to close-call ²⁵
	2.000	87.99	06/25/20	516.01	428.02 W	0.00	6 of 9 - Option sale to close-call ²⁵
	1.000	44.00	06/25/20	83.00	39.00 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	43.99	06/25/20	83.00	39.01 W	0.00	8 of 9 - Option sale to close-call ²⁵
	1.000	55.98	06/26/20	250.01	194.03 W	0.00	9 of 9 - Option sale to close-call ²⁵
06/29/20	11.000	495.94	Various	1,710.02	1,214.08 W	0.00	Total of 9 transactions
	Security total:	1,092.89		2,540.08	1,214.08 W	-233.11	
UNH 06/26/2020 CALL \$292.50 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	839.97	06/11/20	735.00	...	104.97	1 of 3 - Option sale to close-call ²⁵
	1.000	839.97	06/11/20	610.00	...	229.97	2 of 3 - Option sale to close-call ²⁵
	1.000	839.98	06/11/20	610.00	...	229.98	3 of 3 - Option sale to close-call ²⁵
06/12/20	3.000	2,519.92	Various	1,955.00	...	564.92	Total of 3 transactions
USO 07/17/2020 CALL \$28.00 / CUSIP: / Symbol:							
07/08/20	1.000	155.98	06/24/20	126.00	...	29.98	Option sale to close-call ²⁵
UUUU 05/15/2020 CALL \$2.50 / CUSIP: / Symbol:							
7 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	15.000	374.96	04/23/20	450.00	...	-75.04	1 of 7 - Option sale to close-call ²⁵
	8.000	199.97	04/23/20	240.00	...	-40.03	2 of 7 - Option sale to close-call ²⁵
	8.000	199.97	04/23/20	240.00	...	-40.03	3 of 7 - Option sale to close-call ²⁵
	5.000	124.98	04/23/20	150.00	...	-25.02	4 of 7 - Option sale to close-call ²⁵
	2.000	49.98	04/23/20	60.00	...	-10.02	5 of 7 - Option sale to close-call ²⁵
	1.000	24.98	04/23/20	30.00	...	-5.02	6 of 7 - Option sale to close-call ²⁵

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Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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UUUU 05/15/2020 CALL \$2.50 / CUSIP: / Symbol: (cont'd)							
	1.000	24.98	04/23/20	30.00	...	-5.02	7 of 7 - Option sale to close-call ²⁵
04/24/20	40.000	999.82	Various	1,200.00	...	-200.18	Total of 7 transactions
WORK 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	90.00	07/02/20	134.01	...	-44.01	1 of 5 - Option sale to close-call ²⁵
	1.000	90.00	07/02/20	134.01	...	-44.01	2 of 5 - Option sale to close-call ²⁵
	1.000	89.99	07/02/20	134.00	...	-44.01	3 of 5 - Option sale to close-call ²⁵
	1.000	89.99	07/02/20	24.00	...	65.99	4 of 5 - Option sale to close-call ²⁵
	1.000	90.00	07/02/20	24.00	...	66.00	5 of 5 - Option sale to close-call ²⁵
07/08/20	5.000	449.98	Various	450.02	...	-0.04	Total of 5 transactions
07/08/20	3.000	269.98	06/23/20	600.00	330.02 W	0.00	Option sale to close-call ²⁵
	Security total:	719.96		1,050.02	330.02 W	-0.04	
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
05/26/20	25.000	423.75	04/01/20	366.25	...	57.50	Sale ²⁵
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	25.000	106.75	03/31/20	99.63	...	7.12	1 of 2 - Sale ²⁵
	75.000	320.25	03/31/20	299.25	...	21.00	2 of 2 - Sale ²⁵
04/01/20	100.000	427.00	Various	398.88	...	28.12	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/08/20	25.000	267.75	04/03/20	236.25	...	31.50	Sale ²⁵
11/09/20	50.000	706.13	10/14/20	618.49	...	87.64	Sale ²⁵
	Security total:	973.88		854.74	...	119.14	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
7 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	0.836	13.38	01/07/20	18.45	...	-5.07	1 of 7 - Sale ²⁵
	0.836	13.38	01/10/20	17.25	...	-3.87	2 of 7 - Sale ²⁵
	25.082	401.29	02/07/20	507.00	...	-105.71	3 of 7 - Sale ²⁵
	8.361	133.76	02/10/20	162.87	...	-29.11	4 of 7 - Sale ²⁵

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(continued)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
	41.803	668.83	03/06/20	585.00	...	83.83	5 of 7 - Sale ²⁵
	4.541	72.65	03/24/20	38.94	...	33.71	6 of 7 - Sale ²⁵
	8.000	133.60	03/24/20	68.60	...	65.00	7 of 7 - Sale ²⁵
05/18/20	89.458	1,436.89	Various	1,398.11	...	38.78	Total of 7 transactions
	6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	4.180	66.88	12/17/19	118.50	51.62 W	0.00	1 of 6 - Sale ²⁵
	4.180	66.88	12/17/19	118.00	51.12 W	0.00	2 of 6 - Sale ²⁵
	4.180	66.88	12/24/19	95.75	28.87 W	0.00	3 of 6 - Sale ²⁵
	4.598	73.57	12/24/19	104.78	31.21 W	0.00	4 of 6 - Sale ²⁵
	20.901	334.41	01/06/20	476.25	49.94 W	-91.90	5 of 6 - Sale ²⁵
	0.502	8.03	01/06/20	11.43	3.40 W	0.00	6 of 6 - Sale ²⁵
05/18/20	38.542	616.65	Various	924.71	216.16 W	-91.90	Total of 6 transactions
	6 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	4.180	61.58	05/29/20	110.30	...	-48.72	1 of 6 - Sale ²⁵
	4.180	61.58	05/29/20	109.80	...	-48.22	2 of 6 - Sale ²⁵
	7.359	108.40	05/29/20	153.26	...	-44.86	3 of 6 - Sale ²⁵
	4.598	67.73	05/29/20	95.76	...	-28.03	4 of 6 - Sale ²⁵
	4.180	61.57	05/29/20	87.55	...	-25.98	5 of 6 - Sale ²⁵
	0.502	7.39	05/29/20	10.44	...	-3.05	6 of 6 - Sale ²⁵
06/02/20	25.000	368.25	Various	567.11	...	-198.86	Total of 6 transactions
	Security total:	2,421.79		2,889.93	216.16 W	-251.98	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
05/26/20	100.000	2,355.93	05/22/20	2,260.73	...	95.20	Sale ²⁵
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
07/13/20	10.000	802.98	07/01/20	684.25	...	118.73	Sale ²⁵
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
05/26/20	5.000	726.71	03/25/20	724.77	...	1.94	Sale ²⁵
12/03/20	10.000	2,390.24	06/11/20	1,899.04	...	491.20	Sale ²⁵
	Security total:	3,116.95		2,623.81	...	493.14	

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(continued)

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	25.000	628.74	03/25/20	694.00	...	-65.26	1 of 2 - Sale ²⁵
	25.000	628.73	04/03/20	550.00	...	78.73	2 of 2 - Sale ²⁵
04/09/20	50.000	1,257.47	Various	1,244.00	...	13.47	Total of 2 transactions
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T200 / Symbol:							
02/24/20	250.000	52.25	02/21/20	41.75	...	10.50	Sale ²⁵
DRIVE SHACK INC. / CUSIP: 262077100 / Symbol:							
07/14/20	250.000	430.60	07/13/20	421.90	...	8.70	Sale ²⁵
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	18.35	11/05/19	20.88	...	-2.53	1 of 2 - Sale ²⁵
	12.000	220.20	12/12/19	201.79	...	18.41	2 of 2 - Sale ²⁵
03/24/20	13.000	238.55	Various	222.67	...	15.88	Total of 2 transactions
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
11/17/20	10.000	386.50	03/26/20	384.70	...	1.80	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
12/04/20	25.000	2,324.94	11/02/20	1,586.42	...	738.52	Sale ²⁵
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
06/02/20	100.000	698.28	05/29/20	661.00	...	37.28	Sale ²⁵
GLAXOSMITHKLINE PLC / CUSIP: 37733W105 / Symbol:							
07/15/20	50.000	2,091.55	06/15/20	1,995.90	...	95.65	Sale ²⁵
GLOBUS MEDICAL INC / CUSIP: 379577208 / Symbol:							
05/26/20	10.000	579.98	05/21/20	544.63	...	35.35	Sale ²⁵

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Robinhood Securities LLC

Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	12.000	29.40	03/16/20	28.20	...	1.20	1 of 3 - Sale ²⁵
	18.000	44.10	03/16/20	42.30	...	1.80	2 of 3 - Sale ²⁵
	20.000	49.00	03/16/20	47.00	...	2.00	3 of 3 - Sale ²⁵
03/19/20	50.000	122.50	Various	117.50	...	5.00	Total of 3 transactions
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
06/03/20	100.000	82.99	05/29/20	105.00	...	-22.01	Sale ²⁵
ICICI BANK LIMITED / CUSIP: 45104G104 / Symbol:							
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	100.000	935.46	04/15/20	847.00	...	88.46	1 of 4 - Sale ²⁵
	100.000	935.47	04/15/20	847.00	...	88.47	2 of 4 - Sale ²⁵
	100.000	935.46	05/18/20	816.99	...	118.47	3 of 4 - Sale ²⁵
	100.000	935.47	05/22/20	769.77	...	165.70	4 of 4 - Sale ²⁵
06/02/20	400.000	3,741.86	Various	3,280.76	...	461.10	Total of 4 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	50.000	449.98	02/19/20	190.50	...	259.48	1 of 2 - Sale ²⁵
	200.000	1,799.94	02/19/20	762.00	...	1,037.94	2 of 2 - Sale ²⁵
03/04/20	250.000	2,249.92	Various	952.50	...	1,297.42	Total of 2 transactions
03/06/20	20.000	263.62	03/06/20	226.95	...	36.67	Sale ²⁵
05/15/20	10.000	133.30	03/12/20	89.60	...	43.70	Sale ²⁵
	Security total:	2,646.84		1,269.05	...	1,377.79	
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	52.000	733.18	08/04/20	756.08	...	-22.90	1 of 3 - Sale ²⁵
	48.000	676.78	08/04/20	697.92	...	-21.14	2 of 3 - Sale ²⁵
	20.000	281.99	08/24/20	216.57	...	65.42	3 of 3 - Sale ²⁵
12/11/20	120.000	1,691.95	Various	1,670.57	...	21.38	Total of 3 transactions

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(continued)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	6.000	10.26	05/22/20	8.57	...	1.69	1 of 2 - Sale ²⁵
	94.000	159.79	05/22/20	134.33	...	25.46	2 of 2 - Sale ²⁵
05/26/20	100.000	170.05	Various	142.90	...	27.15	Total of 2 transactions
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	10.000	309.99	03/11/20	274.57	...	35.42	1 of 3 - Sale ²⁵
	25.000	774.98	03/18/20	417.50	...	357.48	2 of 3 - Sale ²⁵
	50.000	1,549.96	08/20/20	1,325.00	...	224.96	3 of 3 - Sale ²⁵
09/11/20	85.000	2,634.93	Various	2,017.07	...	617.86	Total of 3 transactions
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	100.000	2,934.94	09/22/20	2,827.00	...	107.94	1 of 2 - Sale ²⁵
	20.000	586.98	10/27/20	459.00	...	127.98	2 of 2 - Sale ²⁵
11/04/20	120.000	3,521.92	Various	3,286.00	...	235.92	Total of 2 transactions
	Security total:	6,156.85		5,303.07	...	853.78	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
05/26/20	25.000	426.75	03/25/20	301.00	...	125.75	Sale ²⁵
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
05/27/20	38.000	267.99	03/26/20	263.34	...	4.65	Sale ²⁵
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	50.000	47.49	05/11/20	37.50	...	9.99	1 of 4 - Sale ²⁵
	100.000	94.99	05/11/20	75.00	...	19.99	2 of 4 - Sale ²⁵
	100.000	94.99	05/11/20	75.00	...	19.99	3 of 4 - Sale ²⁵
	100.000	94.99	05/11/20	75.00	...	19.99	4 of 4 - Sale ²⁵
05/18/20	350.000	332.46	Various	262.50	...	69.96	Total of 4 transactions

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MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	45.000	157.50	05/29/20	145.35	...	12.15	1 of 2 - Sale ²⁵
	55.000	192.49	05/29/20	177.65	...	14.84	2 of 2 - Sale ²⁵
05/29/20	100.000	349.99	Various	323.00	...	26.99	Total of 2 transactions
06/01/20	100.000	449.99	05/29/20	378.87	...	71.12	Sale ²⁵
	Security total:	799.98		701.87	...	98.11	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	25.000	774.98	02/26/20	681.00	...	93.98	1 of 2 - Sale ²⁵
	4.000	124.00	02/28/20	91.57	...	32.43	2 of 2 - Sale ²⁵
03/17/20	29.000	898.98	Various	772.57	...	126.41	Total of 2 transactions
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	25.000	1,816.24	06/01/20	1,544.76	...	271.48	1 of 2 - Sale ²⁵
	10.000	726.50	06/02/20	572.81	...	153.69	2 of 2 - Sale ²⁵
07/13/20	35.000	2,542.74	Various	2,117.57	...	425.17	Total of 2 transactions
11/11/20	25.000	2,077.45	07/15/20	2,052.50	...	24.95	Sale ²⁵
	Security total:	5,519.17		4,942.64	...	576.53	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
05/11/20	1.000	442.37	04/21/20	437.31	...	5.06	Sale ²⁵
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
07/30/20	200.000	538.48	06/11/20	480.00	...	58.48	Sale ²⁵
NIKE, INC. / CUSIP: 654106103 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	15.000	1,207.47	02/28/20	1,303.27	...	-95.80	1 of 2 - Sale ²⁵
	10.000	804.98	03/12/20	745.01	...	59.97	2 of 2 - Sale ²⁵
03/24/20	25.000	2,012.45	Various	2,048.28	...	-35.83	Total of 2 transactions
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
07/31/20	100.000	491.29	07/15/20	440.00	...	51.29	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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NOBLE ENERGY INC. COMMON STOCK / CUSIP: 655044105 / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	10.000	106.10	07/20/20	102.50	...	3.60	1 of 2 - Sale ²⁵
	40.000	424.60	07/20/20	410.00	...	14.60	2 of 2 - Sale ²⁵
07/28/20	50.000	530.70	Various	512.50	...	18.20	Total of 2 transactions
OKTA, INC. CLASS A COMMON STOCK / CUSIP: 679295105 / Symbol:							
05/29/20	5.000	956.26	05/28/20	928.45	...	27.81	Sale ²⁵
PELTON INTERACTIVE, INC. CLASS A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	4.000	116.00	02/07/20	109.68	...	6.32	1 of 3 - Sale ²⁵
	10.000	289.99	02/07/20	274.68	...	15.31	2 of 3 - Sale ²⁵
	6.000	173.99	03/06/20	155.90	...	18.09	3 of 3 - Sale ²⁵
04/03/20	20.000	579.98	Various	540.26	...	39.72	Total of 3 transactions
04/03/20	30.000	869.98	02/06/20	886.20	2.16 W	-14.06	Sale ²⁵
05/07/20	4.000	181.32	03/06/20	106.09	...	75.23	Sale ²⁵
	Security total:	1,631.28		1,532.55	2.16 W	100.89	
PENNEY J C CORP INC COMMON STOCK / CUSIP: 708160106 / Symbol:							
04/16/20	100.000	24.99	04/15/20	23.00	...	1.99	Sale ²⁵
PLAYAGS, INC. / CUSIP: 72814N104 / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	10.000	52.50	03/12/20	41.50	...	11.00	1 of 3 - Sale ²⁵
	90.000	472.49	03/12/20	373.50	...	98.99	2 of 3 - Sale ²⁵
	100.000	524.97	04/01/20	183.00	...	341.97	3 of 3 - Sale ²⁵
05/26/20	200.000	1,049.96	Various	598.00	...	451.96	Total of 3 transactions
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
07/31/20	500.000	909.91	07/23/20	757.50	...	152.41	Sale ²⁵
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	100.000	151.99	07/31/20	150.00	...	1.99	1 of 4 - Sale ²⁵
	100.000	151.99	07/31/20	150.00	...	1.99	2 of 4 - Sale ²⁵
	100.000	151.99	07/31/20	150.00	...	1.99	3 of 4 - Sale ²⁵

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	200.000	303.98	07/31/20	300.00	...	3.98	4 of 4 - Sale ²⁵
08/04/20	500.000	759.95	Various	750.00	...	9.95	Total of 4 transactions
	Security total:	1,669.86		1,507.50	...	162.36	
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
12/09/20	15.000	295.98	07/06/20	276.85	...	19.13	Sale ²⁵
ROYAL DUTCH SHELL PLC ADS CLAS S B / CUSIP: 780259107 / Symbol:							
06/04/20	10.000	338.30	03/26/20	326.90	...	11.40	Sale ²⁵
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	2.000	362.77	02/21/20	385.16	...	-22.39	1 of 2 - Sale ²⁵
	5.000	906.93	03/06/20	832.05	...	74.88	2 of 2 - Sale ²⁵
05/26/20	7.000	1,269.70	Various	1,217.21	...	52.49	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
6 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	5.000	150.00	07/18/19	160.20	...	-10.20	1 of 6 - Sale ²⁵
	25.000	749.98	08/02/19	774.50	...	-24.52	2 of 6 - Sale ²⁵
	1.000	30.00	08/02/19	30.98	...	-0.98	3 of 6 - Sale ²⁵
	26.000	779.98	09/13/19	645.04	...	134.94	4 of 6 - Sale ²⁵
	2.000	60.00	12/11/19	41.39	...	18.61	5 of 6 - Sale ²⁵
	40.000	1,199.96	12/11/19	827.80	...	372.16	6 of 6 - Sale ²⁵
03/05/20	99.000	2,969.92	Various	2,479.91	...	490.01	Total of 6 transactions
5 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	15.000	449.99	06/20/19	606.75	156.76 W	0.00	1 of 5 - Sale ²⁵
	15.000	449.99	06/24/19	549.60	99.61 W	0.00	2 of 5 - Sale ²⁵
	1.000	30.00	07/08/19	35.16	5.16 W	0.00	3 of 5 - Sale ²⁵
	10.000	299.99	07/08/19	351.60	51.61 W	0.00	4 of 5 - Sale ²⁵
	10.000	299.99	07/18/19	334.70	31.24 W	-3.47	5 of 5 - Sale ²⁵
03/05/20	51.000	1,529.96	Various	1,877.81	344.38 W	-3.47	Total of 5 transactions

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
<i>7 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	188.04	12/11/19	124.17	...	63.87	1 of 7 - Sale ²⁵
	14.000	438.75	03/05/20	559.31	...	-120.56	2 of 7 - Sale ²⁵
	15.000	470.09	03/05/20	542.11	...	-72.02	3 of 7 - Sale ²⁵
	10.000	313.39	03/05/20	346.61	...	-33.22	4 of 7 - Sale ²⁵
	9.000	282.05	03/05/20	296.74	...	-14.69	5 of 7 - Sale ²⁵
	1.000	31.34	03/05/20	39.95	...	-8.61	6 of 7 - Sale ²⁵
	1.000	31.34	03/05/20	34.66	...	-3.32	7 of 7 - Sale ²⁵
05/12/20	56.000	1,755.00	Various	1,943.55	...	-188.55	Total of 7 transactions
<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	604.89	07/09/20	681.00	...	-76.11	1 of 2 - Sale ²⁵
	20.000	604.88	09/11/20	508.72	...	96.16	2 of 2 - Sale ²⁵
11/23/20	40.000	1,209.77	Various	1,189.72	...	20.05	Total of 2 transactions
	Security total:	7,464.65		7,490.99	344.38 W	318.04	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
<i>2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	68.20	04/21/20	51.20	...	17.00	1 of 2 - Sale ²⁵
	21.000	358.05	04/21/20	268.80	...	89.25	2 of 2 - Sale ²⁵
04/22/20	25.000	426.25	Various	320.00	...	106.25	Total of 2 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
<i>4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	245.26	09/16/20	282.00	...	-36.74	1 of 4 - Sale ²⁵
	3.000	735.80	09/17/20	808.90	...	-73.10	2 of 4 - Sale ²⁵
	1.000	245.26	09/23/20	251.63	...	-6.37	3 of 4 - Sale ²⁵
	4.000	981.06	09/23/20	860.00	...	121.06	4 of 4 - Sale ²⁵
09/28/20	9.000	2,207.38	Various	2,202.53	...	4.85	Total of 4 transactions
09/28/20	4.000	981.45	09/16/20	1,127.98	146.53 W	0.00	Sale ²⁵
	Security total:	3,188.83		3,330.51	146.53 W	4.85	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
06/16/20	100.000	2,227.94	06/11/20	1,600.00	...	627.94	Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
02/11/20	2.000	82.09	02/07/20	81.72	...	0.37	Sale ²⁵
	4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	5.000	168.61	02/27/20	155.30	...	13.31	1 of 4 - Sale ²⁵
	10.000	337.22	03/06/20	310.30	...	26.92	2 of 4 - Sale ²⁵
	10.000	337.22	03/11/20	261.08	...	76.14	3 of 4 - Sale ²⁵
	20.000	674.44	03/12/20	470.40	...	204.04	4 of 4 - Sale ²⁵
05/12/20	45.000	1,517.49	Various	1,197.08	...	320.41	Total of 4 transactions
	3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	5.000	168.61	02/24/20	194.34	25.73 W	0.00	1 of 3 - Sale ²⁵
	1.000	33.72	02/25/20	36.48	2.76 W	0.00	2 of 3 - Sale ²⁵
	2.000	67.44	02/26/20	70.78	3.34 W	0.00	3 of 3 - Sale ²⁵
05/12/20	8.000	269.77	Various	301.60	31.83 W	0.00	Total of 3 transactions
	6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	5.000	167.09	06/11/20	184.97	...	-17.88	1 of 6 - Sale ²⁵
	1.000	33.42	06/11/20	34.61	...	-1.19	2 of 6 - Sale ²⁵
	2.000	66.84	06/11/20	67.04	...	-0.20	3 of 6 - Sale ²⁵
	5.000	167.10	06/11/20	159.24	...	7.86	4 of 6 - Sale ²⁵
	16.000	534.70	06/11/20	509.56	...	25.14	5 of 6 - Sale ²⁵
	21.000	701.80	06/11/20	668.80	...	33.00	6 of 6 - Sale ²⁵
08/06/20	50.000	1,670.95	Various	1,624.22	...	46.73	Total of 6 transactions
	Security total:	3,540.30		3,204.62	31.83 W	367.51	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	10.000	269.99	04/01/20	283.50	...	-13.51	1 of 2 - Sale ²⁵
	20.000	539.99	04/03/20	436.00	...	103.99	2 of 2 - Sale ²⁵
04/08/20	30.000	809.98	Various	719.50	...	90.48	Total of 2 transactions
06/16/20	100.000	4,254.89	06/10/20	4,165.53	...	89.36	Sale ²⁵
08/10/20	25.000	919.47	07/06/20	859.61	...	59.86	Sale ²⁵
	Security total:	5,984.34		5,744.64	...	239.70	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
US FOODS HOLDING CORP. / CUSIP: 912008109 / Symbol:							
<i>3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	19.000	402.86	04/15/20	318.25	...	84.61	1 of 3 - Sale ²⁵
	28.000	593.69	04/15/20	469.00	...	124.69	2 of 3 - Sale ²⁵
	53.000	1,123.78	04/15/20	887.75	...	236.03	3 of 3 - Sale ²⁵
06/03/20	100.000	2,120.33	Various	1,675.00	...	445.33	Total of 3 transactions
<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,124.44	06/11/20	1,895.00	...	229.44	1 of 2 - Sale ²⁵
	100.000	2,124.44	06/24/20	1,927.61	...	196.83	2 of 2 - Sale ²⁵
07/15/20	200.000	4,248.88	Various	3,822.61	...	426.27	Total of 2 transactions
08/06/20	100.000	2,268.93	08/04/20	2,110.67	...	158.26	Sale ²⁵
11/03/20	450.000	10,349.72	10/30/20	9,450.00	...	899.72	Sale ²⁵
11/09/20	50.000	1,359.96	10/30/20	1,050.00	...	309.96	Sale ²⁵
	Security total:	20,347.82		18,108.28	...	2,239.54	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
10/19/20	50.000	1,178.97	10/16/20	1,128.79	...	50.18	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	461.83	03/27/20	245.20	...	216.63	1 of 2 - Sale ²⁵
	20.000	461.83	04/02/20	170.00	...	291.83	2 of 2 - Sale ²⁵
06/05/20	40.000	923.66	Various	415.20	...	508.46	Total of 2 transactions
<i>4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	949.97	06/17/20	982.50	...	-32.53	1 of 4 - Sale ²⁵
	10.000	190.00	06/24/20	160.97	...	29.03	2 of 4 - Sale ²⁵
	10.000	189.99	06/25/20	145.00	...	44.99	3 of 4 - Sale ²⁵
	20.000	379.99	07/02/20	325.40	...	54.59	4 of 4 - Sale ²⁵
09/03/20	90.000	1,709.95	Various	1,613.87	...	96.08	Total of 4 transactions
	Security total:	2,633.61		2,029.07	...	604.54	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 123354540

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
<i>2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	7.82	11/18/19	7.93	...	-0.11	1 of 2 - Sale ²⁵
	1.000	7.81	12/13/19	6.07	...	1.74	2 of 2 - Sale ²⁵
01/10/20	2.000	15.63	Various	14.00	...	1.63	Total of 2 transactions
MAKEMYTRIP LIMITED ORDINARY SH ARES / CUSIP: V5633W109 / Symbol:							
05/26/20	100.000	1,565.45	05/22/20	1,467.99	...	97.46	Sale ²⁵
Totals :		152,463.90		158,074.95	9,035.81 W	3,424.76	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 123354540

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.250	4.09	04/27/20	4.62	...	-0.53	Gain or loss
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.204	3.14	07/01/20	0.00	...	3.14	Gain or loss
Totals:		7.23		4.62	...	2.61	

Robinhood Securities LLC

Account 123354540

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ALBERTSONS COMPANIES, INC.	013091103		11/10/20	5.00	Qualified dividend	
ASTRAZENECA PLC AMERICAN DEPOSITARY SHARES	046353108		09/14/20	15.75	Qualified dividend	
DIREXION DAILY FINANCIAL BULL 3X SHARES	25459Y694		06/30/20	0.36	Qualified dividend	
			09/29/20	0.47	Qualified dividend	
			12/30/20	0.79	Qualified dividend	
				1.62	Total Dividends & distributions	
EXXON MOBIL CORPORATION	30231G102		06/10/20	8.70	Qualified dividend	
			09/10/20	8.70	Qualified dividend	
			12/10/20	8.70	Qualified dividend	
				26.10	Total Dividends & distributions	
INTEL CORPORATION COMMON STOCK	458140100		12/01/20	4.95	Qualified dividend	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK	649604501		07/27/20	7.98	Section 199A dividend	03
			07/27/20	2.02	Nondividend distribution	03
				10.00	Total Dividends & distributions	
PFIZER INC.	717081103		09/01/20	19.00	Qualified dividend	
			12/01/20	19.00	Qualified dividend	
				38.00	Total Dividends & distributions	
ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107		06/22/20	3.20	Qualified dividend	
				104.62	Total Dividends & distributions	

Robinhood Securities LLC

Account 123354540

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/29/20	1.01	Interest	
		06/30/20	0.97	Interest	
		09/30/20	1.03	Interest	
		10/30/20	0.90	Interest	
		11/30/20	2.01	Interest	
		12/31/20	1.87	Interest	
			7.79	Total Interest	
			7.79	Total Interest	

Robinhood Securities LLC

Account 123354540

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/07/20	-3.40	Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		08/05/20	-5.00	Margin interest paid	
		09/04/20	-5.00	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		11/03/20	-5.00	Margin interest paid	
		12/03/20	-5.00	Margin interest paid	
			-38.40	Total Margin interest paid	
			-38.40	Total Margin interest paid	

Robinhood Securities LLC

Account 123354540

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
NL	ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107	0.00	3.20		0.00	3.20	0.00
Total NETHERLANDS			0.00	3.20		0.00	3.20	0.00
UK	ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES	046353108	0.00	15.75		0.00	15.75	0.00
Total UNITED KINGDOM			0.00	15.75		0.00	15.75	0.00
Grand Total	Total Foreign Source Income*						18.95	
	Qualified Foreign Source Income**						18.95	
	Foreign Tax						0.00	

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 123354540

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
VIATRIS INC. COMMON STOCK	92556V106	11/17/20	6.00	0.00	Stock spinoff	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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