

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 145752226	Statement Date: 03/22/2021 AMENDED Document ID: 4220 EK1 1C09	2020
	Obulareddy Thippareddy 27 E Central Ave Paoli, PA 19301 RECIPIENT'S TIN: XXX-XX-6435		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 100.58</p> <p>1b- Qualified dividends 100.58</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 17.89</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.51</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,244,356.71	1,389,065.53	0.00	131,659.85	-13,048.97 C
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.20	1.50	0.00	0.00	-0.30
	Total Short-term	1,244,357.91	1,389,067.03	0.00	131,659.85	-13,049.27
Long	D (basis reported to the IRS)	8,579.23	5,838.40	0.00	0.00	2,740.83
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	8,579.23	5,838.40	0.00	0.00	2,740.83
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	1,252,937.14	1,394,905.43	0.00	131,659.85	-10,308.44
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	68.83
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/20/2020 CALL \$131.25 / CUSIP: / Symbol:							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	258.98	09/01/20	1,255.00	...	-996.02	1 of 2 - Option sale to close-call ²⁵
	1.000	258.99	09/01/20	1,255.00	...	-996.01	2 of 2 - Option sale to close-call ²⁵
10/15/20	2.000	517.97	Various	2,510.00	...	-1,992.03	Total of 2 transactions
11/20/20	3.000	0.00	09/01/20	3,765.00	...	-3,765.00	Option expiration- long call
	Security total:	517.97		6,275.00	...	-5,757.03	
HEXO 01/15/2021 CALL \$1.50 / CUSIP: / Symbol:							
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	6.000	29.98	08/28/20	30.00	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	5.000	24.99	08/28/20	25.00	...	-0.01	2 of 3 - Option sale to close-call ²⁵
	1.000	5.00	08/28/20	5.00	...	0.00	3 of 3 - Option sale to close-call ²⁵
09/08/20	12.000	59.97	Various	60.00	...	-0.03	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HUGE 01/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
6 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	27.99	08/24/20	55.00	...	-27.01	1 of 6 - Option sale to close-call ²⁵
	13.000	350.96	08/25/20	845.00	...	-494.04	2 of 6 - Option sale to close-call ²⁵
	2.000	49.98	08/25/20	130.00	...	-80.02	3 of 6 - Option sale to close-call ²⁵
	2.000	49.98	08/25/20	130.00	...	-80.02	4 of 6 - Option sale to close-call ²⁵
	2.000	55.99	08/25/20	130.00	...	-74.01	5 of 6 - Option sale to close-call ²⁵
	1.000	24.98	08/25/20	65.00	...	-40.02	6 of 6 - Option sale to close-call ²⁵
11/30/20	21.000	559.88	Various	1,355.00	...	-795.12	Total of 6 transactions
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	306.000	982.19	06/26/20	979.17	...	3.02	1 of 5 - Sale ²⁵
	486.000	1,559.96	06/26/20	1,555.15	...	4.81	2 of 5 - Sale ²⁵
	838.000	2,689.82	06/26/20	2,681.52	...	8.30	3 of 5 - Sale ²⁵
	1,325.000	4,252.99	06/26/20	4,239.87	...	13.12	4 of 5 - Sale ²⁵
	1,504.000	4,827.55	06/26/20	4,812.65	...	14.90	5 of 5 - Sale ²⁵
06/26/20	4,459.000	14,312.51	Various	14,268.36	...	44.15	Total of 5 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	3.21	06/26/20	3.28	0.07 W	0.00	1 of 2 - Sale ²⁵
	1.000	3.21	06/26/20	3.27	0.06 W	0.00	2 of 2 - Sale ²⁵
06/26/20	2.000	6.42	Various	6.55	0.13 W	0.00	Total of 2 transactions
06/29/20	1,039.000	3,355.77	06/26/20	3,324.69	...	31.08	Sale ²⁵
12 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	3.45	06/26/20	3.20	...	0.25	1 of 12 - Sale ²⁵
	1.000	3.45	06/30/20	3.26	...	0.19	2 of 12 - Sale ²⁵
	1.000	3.45	06/30/20	3.20	...	0.25	3 of 12 - Sale ²⁵
	16.000	55.20	06/30/20	51.20	...	4.00	4 of 12 - Sale ²⁵
	16.000	55.20	06/30/20	51.20	...	4.00	5 of 12 - Sale ²⁵
	19.000	65.55	06/30/20	60.80	...	4.75	6 of 12 - Sale ²⁵
	83.000	286.34	06/30/20	265.60	...	20.74	7 of 12 - Sale ²⁵
	115.000	396.74	06/30/20	368.00	...	28.74	8 of 12 - Sale ²⁵
	184.000	634.76	06/30/20	588.80	...	45.96	9 of 12 - Sale ²⁵
	184.000	634.76	06/30/20	588.80	...	45.96	10 of 12 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol: (cont'd)							
	200.000	689.96	06/30/20	640.00	...	49.96	11 of 12 - Sale ²⁵
	231.000	796.90	06/30/20	739.20	...	57.70	12 of 12 - Sale ²⁵
07/01/20	1,051.000	3,625.76	Various	3,363.26	...	262.50	Total of 12 transactions
07/20/20	1.000	3.22	06/30/20	3.20	...	0.02	Sale ²⁵
9 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	15.000	48.30	07/16/20	51.75	3.45 W	0.00	1 of 9 - Sale ²⁵
	16.000	51.52	07/16/20	55.20	3.68 W	0.00	2 of 9 - Sale ²⁵
	26.000	83.72	07/16/20	89.70	5.98 W	0.00	3 of 9 - Sale ²⁵
	143.000	460.43	07/16/20	493.35	32.92 W	0.00	4 of 9 - Sale ²⁵
	15.000	48.30	07/16/20	55.20	6.90 W	0.00	5 of 9 - Sale ²⁵
	16.000	51.52	07/16/20	58.88	7.36 W	0.00	6 of 9 - Sale ²⁵
	26.000	83.71	07/16/20	95.68	11.97 W	0.00	7 of 9 - Sale ²⁵
	43.000	138.45	07/16/20	148.35	9.90 W	0.00	8 of 9 - Sale ²⁵
	199.000	640.74	07/16/20	686.55	45.81 W	0.00	9 of 9 - Sale ²⁵
07/20/20	499.000	1,606.69	Various	1,734.66	127.97 W	0.00	Total of 9 transactions
8 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1,558.000	4,798.49	07/16/20	5,390.68	...	-592.19	1 of 8 - Sale ²⁵
	199.000	612.90	07/16/20	734.35	...	-121.45	2 of 8 - Sale ²⁵
	43.000	132.44	07/16/20	158.68	...	-26.24	3 of 8 - Sale ²⁵
	26.000	80.08	07/16/20	101.93	...	-21.85	4 of 8 - Sale ²⁵
	32.000	98.56	07/16/20	118.09	...	-19.53	5 of 8 - Sale ²⁵
	16.000	49.28	07/16/20	62.72	...	-13.44	6 of 8 - Sale ²⁵
	15.000	46.20	07/16/20	58.80	...	-12.60	7 of 8 - Sale ²⁵
	10.000	30.80	07/16/20	36.80	...	-6.00	8 of 8 - Sale ²⁵
07/27/20	1,899.000	5,848.75	Various	6,662.05	...	-813.30	Total of 8 transactions
07/27/20	101.000	311.07	07/16/20	371.70	0.60 W	-60.03	Sale ²⁵
	Security total:	29,070.19		29,734.47	128.70 W	-535.58	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
02/20/20	59.000	3,353.47	02/20/20	3,362.99	9.52 W	0.00	Sale ²⁵
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	59.000	2,883.86	02/26/20	2,892.84	...	-8.98	1 of 2 - Sale ²⁵
	41.000	2,004.04	02/26/20	2,003.67	...	0.37	2 of 2 - Sale ²⁵
02/26/20	100.000	4,887.90	Various	4,896.51	...	-8.61	Total of 2 transactions

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Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
Security total:		8,241.37		8,259.50		9.52 W	-8.61
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
07/14/20	5.000	15,334.66	07/14/20	15,060.00	...	274.66	Sale ²⁵
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
<i>5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	92.927	5,010.52	05/21/20	5,140.61	...	-130.09	1 of 5 - Sale ²⁵
	4.689	252.83	05/21/20	259.39	...	-6.56	2 of 5 - Sale ²⁵
	1.000	53.92	05/22/20	55.12	...	-1.20	3 of 5 - Sale ²⁵
	0.900	48.52	05/22/20	49.63	...	-1.11	4 of 5 - Sale ²⁵
	0.300	16.18	05/22/20	16.53	...	-0.35	5 of 5 - Sale ²⁵
06/05/20	99.816	5,381.97	Various	5,521.28	...	-139.31	Total of 5 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
<i>48 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	16,600.000	11,285.77	03/19/20	11,361.06	...	-75.29	1 of 48 - Sale ²⁵
	2,202.000	1,497.03	03/19/20	1,507.05	...	-10.02	2 of 48 - Sale ²⁵
	998.000	678.50	03/19/20	683.03	...	-4.53	3 of 48 - Sale ²⁵
	100.000	67.99	03/19/20	69.82	...	-1.83	4 of 48 - Sale ²⁵
	97.000	65.95	03/19/20	67.74	...	-1.79	5 of 48 - Sale ²⁵
	86.000	58.47	03/19/20	60.18	...	-1.71	6 of 48 - Sale ²⁵
	64.000	43.51	03/19/20	44.76	...	-1.25	7 of 48 - Sale ²⁵
	62.000	42.15	03/19/20	43.26	...	-1.11	8 of 48 - Sale ²⁵
	200.000	135.98	03/19/20	136.88	...	-0.90	9 of 48 - Sale ²⁵
	66.000	44.87	03/19/20	45.76	...	-0.89	10 of 48 - Sale ²⁵
	66.000	44.87	03/19/20	45.70	...	-0.83	11 of 48 - Sale ²⁵
	52.000	35.35	03/19/20	36.12	...	-0.77	12 of 48 - Sale ²⁵
	150.000	101.98	03/19/20	102.66	...	-0.68	13 of 48 - Sale ²⁵
	64.000	43.50	03/19/20	44.17	...	-0.67	14 of 48 - Sale ²⁵
	148.000	100.62	03/19/20	101.29	...	-0.67	15 of 48 - Sale ²⁵
	34.000	23.12	03/19/20	23.74	...	-0.62	16 of 48 - Sale ²⁵
	30.000	20.40	03/19/20	20.95	...	-0.55	17 of 48 - Sale ²⁵
	34.000	23.12	03/19/20	23.60	...	-0.48	18 of 48 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	22.000	14.96	03/19/20	15.41	...	-0.45	19 of 48 - Sale ²⁵
	100.000	67.99	03/19/20	68.44	...	-0.45	20 of 48 - Sale ²⁵
	100.000	67.99	03/19/20	68.44	...	-0.45	21 of 48 - Sale ²⁵
	34.000	23.12	03/19/20	23.56	...	-0.44	22 of 48 - Sale ²⁵
	22.000	14.96	03/19/20	15.37	...	-0.41	23 of 48 - Sale ²⁵
	33.000	22.44	03/19/20	22.85	...	-0.41	24 of 48 - Sale ²⁵
	20.000	13.60	03/19/20	13.96	...	-0.36	25 of 48 - Sale ²⁵
	18.000	12.24	03/19/20	12.56	...	-0.32	26 of 48 - Sale ²⁵
	36.000	24.47	03/19/20	24.76	...	-0.29	27 of 48 - Sale ²⁵
	14.000	9.52	03/19/20	9.81	...	-0.29	28 of 48 - Sale ²⁵
	12.000	8.16	03/19/20	8.39	...	-0.23	29 of 48 - Sale ²⁵
	11.000	7.48	03/19/20	7.70	...	-0.22	30 of 48 - Sale ²⁵
	50.000	34.00	03/19/20	34.22	...	-0.22	31 of 48 - Sale ²⁵
	13.000	8.84	03/19/20	9.03	...	-0.19	32 of 48 - Sale ²⁵
	30.000	20.40	03/19/20	20.53	...	-0.13	33 of 48 - Sale ²⁵
	30.000	20.40	03/19/20	20.53	...	-0.13	34 of 48 - Sale ²⁵
	30.000	20.40	03/19/20	20.53	...	-0.13	35 of 48 - Sale ²⁵
	20.000	13.60	03/19/20	13.69	...	-0.09	36 of 48 - Sale ²⁵
	3.000	2.04	03/19/20	2.10	...	-0.06	37 of 48 - Sale ²⁵
	3.000	2.04	03/19/20	2.10	...	-0.06	38 of 48 - Sale ²⁵
	14.000	9.52	03/19/20	9.58	...	-0.06	39 of 48 - Sale ²⁵
	13.000	8.84	03/19/20	8.90	...	-0.06	40 of 48 - Sale ²⁵
	2.000	1.36	03/19/20	1.41	...	-0.05	41 of 48 - Sale ²⁵
	10.000	6.80	03/19/20	6.84	...	-0.04	42 of 48 - Sale ²⁵
	1.000	0.68	03/19/20	0.69	...	-0.01	43 of 48 - Sale ²⁵
	1.000	0.68	03/19/20	0.69	...	-0.01	44 of 48 - Sale ²⁵
	3.000	2.04	03/19/20	2.05	...	-0.01	45 of 48 - Sale ²⁵
	1.000	0.68	03/19/20	0.68	...	0.00	46 of 48 - Sale ²⁵
	1.000	0.68	03/19/20	0.68	...	0.00	47 of 48 - Sale ²⁵
	100.000	68.49	03/19/20	68.25	...	0.24	48 of 48 - Sale ²⁵
03/19/20	21,800.000	14,821.60	Various	14,931.52	...	-109.92	Total of 48 transactions
	128 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	100.000	68.14	03/19/20	68.25	0.11 W	0.00	1 of 128 - Sale ²⁵
	200.000	136.28	03/19/20	136.52	0.24 W	0.00	2 of 128 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
200.000		136.27	03/19/20	136.50	0.23 W	0.00	3 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.15	0.01 W	0.00	4 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.26	0.12 W	0.00	5 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.05	0.01 W	0.00	6 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.37	0.23 W	0.00	7 of 128 - Sale ²⁵
97.000		66.09	03/19/20	66.32	0.23 W	0.00	8 of 128 - Sale ²⁵
200.000		136.28	03/19/20	136.75	0.47 W	0.00	9 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.26	0.12 W	0.00	10 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.37	0.23 W	0.00	11 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.05	0.01 W	0.00	12 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.38	0.24 W	0.00	13 of 128 - Sale ²⁵
97.000		66.10	03/19/20	66.34	0.24 W	0.00	14 of 128 - Sale ²⁵
2.000		1.36	03/19/20	1.37	0.01 W	0.00	15 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.37	0.23 W	0.00	16 of 128 - Sale ²⁵
198.000		134.91	03/19/20	135.60	0.69 W	0.00	17 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.49	0.35 W	0.00	18 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.06	0.02 W	0.00	19 of 128 - Sale ²⁵
97.000		66.10	03/19/20	66.44	0.34 W	0.00	20 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.49	0.35 W	0.00	21 of 128 - Sale ²⁵
2.000		1.36	03/19/20	1.37	0.01 W	0.00	22 of 128 - Sale ²⁵
198.000		134.91	03/19/20	135.63	0.72 W	0.00	23 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.48	0.34 W	0.00	24 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.60	0.46 W	0.00	25 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.06	0.02 W	0.00	26 of 128 - Sale ²⁵
97.000		66.10	03/19/20	66.45	0.35 W	0.00	27 of 128 - Sale ²⁵
100.000		68.14	03/19/20	68.50	0.36 W	0.00	28 of 128 - Sale ²⁵
2.000		1.36	03/19/20	1.38	0.02 W	0.00	29 of 128 - Sale ²⁵
100.000		68.09	03/19/20	68.59	0.50 W	0.00	30 of 128 - Sale ²⁵
198.000		134.82	03/19/20	135.85	1.03 W	0.00	31 of 128 - Sale ²⁵
100.000		68.09	03/19/20	68.61	0.52 W	0.00	32 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.07	0.03 W	0.00	33 of 128 - Sale ²⁵
97.000		66.04	03/19/20	66.55	0.51 W	0.00	34 of 128 - Sale ²⁵
100.000		68.09	03/19/20	68.61	0.52 W	0.00	35 of 128 - Sale ²⁵
2.000		1.36	03/19/20	1.38	0.02 W	0.00	36 of 128 - Sale ²⁵

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Account 145752226

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(continued)

03/22/2021 AMENDED

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
198.000		134.81	03/19/20	135.97	1.16 W	0.00	37 of 128 - Sale ²⁵
100.000		68.09	03/19/20	68.75	0.66 W	0.00	38 of 128 - Sale ²⁵
100.000		68.08	03/19/20	68.77	0.69 W	0.00	39 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.08	0.04 W	0.00	40 of 128 - Sale ²⁵
97.000		66.04	03/19/20	66.71	0.67 W	0.00	41 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.06	0.02 W	0.00	42 of 128 - Sale ²⁵
97.000		66.05	03/19/20	66.62	0.57 W	0.00	43 of 128 - Sale ²⁵
200.000		136.17	03/19/20	136.50	0.33 W	0.00	44 of 128 - Sale ²⁵
100.000		68.09	03/19/20	68.15	0.06 W	0.00	45 of 128 - Sale ²⁵
2.000		1.36	03/19/20	1.38	0.02 W	0.00	46 of 128 - Sale ²⁵
12.000		8.17	03/19/20	8.25	0.08 W	0.00	47 of 128 - Sale ²⁵
86.000		58.50	03/19/20	59.11	0.61 W	0.00	48 of 128 - Sale ²⁵
100.000		68.03	03/19/20	68.84	0.81 W	0.00	49 of 128 - Sale ²⁵
100.000		68.03	03/19/20	68.91	0.88 W	0.00	50 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.08	0.04 W	0.00	51 of 128 - Sale ²⁵
97.000		65.99	03/19/20	66.78	0.79 W	0.00	52 of 128 - Sale ²⁵
100.000		68.03	03/19/20	68.84	0.81 W	0.00	53 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.07	0.03 W	0.00	54 of 128 - Sale ²⁵
11.000		7.48	03/19/20	7.57	0.09 W	0.00	55 of 128 - Sale ²⁵
22.000		14.97	03/19/20	15.14	0.17 W	0.00	56 of 128 - Sale ²⁵
64.000		43.53	03/19/20	44.06	0.53 W	0.00	57 of 128 - Sale ²⁵
100.000		68.01	03/19/20	68.42	0.41 W	0.00	58 of 128 - Sale ²⁵
100.000		68.01	03/19/20	68.31	0.30 W	0.00	59 of 128 - Sale ²⁵
100.000		68.00	03/19/20	68.21	0.21 W	0.00	60 of 128 - Sale ²⁵
2.000		1.36	03/19/20	1.39	0.03 W	0.00	61 of 128 - Sale ²⁵
12.000		8.16	03/19/20	8.27	0.11 W	0.00	62 of 128 - Sale ²⁵
86.000		58.49	03/19/20	59.30	0.81 W	0.00	63 of 128 - Sale ²⁵
36.000		24.48	03/19/20	24.86	0.38 W	0.00	64 of 128 - Sale ²⁵
64.000		43.52	03/19/20	44.20	0.68 W	0.00	65 of 128 - Sale ²⁵
100.000		68.00	03/19/20	69.03	1.03 W	0.00	66 of 128 - Sale ²⁵
100.000		68.00	03/19/20	69.07	1.07 W	0.00	67 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.09	0.05 W	0.00	68 of 128 - Sale ²⁵
3.000		2.04	03/19/20	2.08	0.04 W	0.00	69 of 128 - Sale ²⁵
11.000		7.48	03/19/20	7.60	0.12 W	0.00	70 of 128 - Sale ²⁵

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(continued)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	22.000	14.96	03/19/20	15.18	0.22 W	0.00	71 of 128 - Sale ²⁵
	64.000	43.52	03/19/20	44.21	0.69 W	0.00	72 of 128 - Sale ²⁵
	97.000	65.95	03/19/20	66.99	1.04 W	0.00	73 of 128 - Sale ²⁵
	100.000	67.99	03/19/20	68.56	0.57 W	0.00	74 of 128 - Sale ²⁵
	2.000	1.36	03/19/20	1.39	0.03 W	0.00	75 of 128 - Sale ²⁵
	12.000	8.16	03/19/20	8.29	0.13 W	0.00	76 of 128 - Sale ²⁵
	86.000	58.48	03/19/20	59.42	0.94 W	0.00	77 of 128 - Sale ²⁵
	100.000	68.00	03/19/20	68.45	0.45 W	0.00	78 of 128 - Sale ²⁵
	100.000	67.99	03/19/20	68.36	0.37 W	0.00	79 of 128 - Sale ²⁵
	36.000	24.48	03/19/20	24.91	0.43 W	0.00	80 of 128 - Sale ²⁵
	100.000	67.99	03/19/20	68.15	0.16 W	0.00	81 of 128 - Sale ²⁵
	30.000	20.40	03/19/20	20.77	0.37 W	0.00	82 of 128 - Sale ²⁵
	34.000	23.12	03/19/20	23.53	0.41 W	0.00	83 of 128 - Sale ²⁵
	100.000	68.00	03/19/20	69.18	1.18 W	0.00	84 of 128 - Sale ²⁵
	3.000	2.04	03/19/20	2.09	0.05 W	0.00	85 of 128 - Sale ²⁵
	3.000	2.04	03/19/20	2.08	0.04 W	0.00	86 of 128 - Sale ²⁵
	11.000	7.48	03/19/20	7.62	0.14 W	0.00	87 of 128 - Sale ²⁵
	22.000	14.96	03/19/20	15.21	0.25 W	0.00	88 of 128 - Sale ²⁵
	64.000	43.52	03/19/20	44.31	0.79 W	0.00	89 of 128 - Sale ²⁵
	97.000	65.96	03/19/20	67.15	1.19 W	0.00	90 of 128 - Sale ²⁵
	100.000	68.00	03/19/20	69.22	1.22 W	0.00	91 of 128 - Sale ²⁵
	66.000	44.87	03/19/20	45.36	0.49 W	0.00	92 of 128 - Sale ²⁵
	34.000	23.12	03/19/20	23.36	0.24 W	0.00	93 of 128 - Sale ²⁵
	66.000	44.87	03/19/20	45.28	0.41 W	0.00	94 of 128 - Sale ²⁵
	34.000	23.12	03/19/20	23.32	0.20 W	0.00	95 of 128 - Sale ²⁵
	66.000	44.87	03/19/20	45.22	0.35 W	0.00	96 of 128 - Sale ²⁵
	1.000	0.68	03/19/20	0.69	0.01 W	0.00	97 of 128 - Sale ²⁵
	33.000	22.44	03/19/20	22.61	0.17 W	0.00	98 of 128 - Sale ²⁵
	2.000	1.36	03/19/20	1.39	0.03 W	0.00	99 of 128 - Sale ²⁵
	12.000	8.16	03/19/20	8.31	0.15 W	0.00	100 of 128 - Sale ²⁵
	14.000	9.52	03/19/20	9.71	0.19 W	0.00	101 of 128 - Sale ²⁵
	86.000	58.46	03/19/20	59.55	1.09 W	0.00	102 of 128 - Sale ²⁵
	30.000	20.40	03/19/20	20.82	0.42 W	0.00	103 of 128 - Sale ²⁵
	34.000	23.11	03/19/20	23.58	0.47 W	0.00	104 of 128 - Sale ²⁵

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	22.000	14.96	03/19/20	15.25	0.29 W	0.00	105 of 128 - Sale ²⁵
	100.000	67.99	03/19/20	68.31	0.32 W	0.00	106 of 128 - Sale ²⁵
	20.000	13.60	03/19/20	13.87	0.27 W	0.00	107 of 128 - Sale ²⁵
	3.000	2.04	03/19/20	2.09	0.05 W	0.00	108 of 128 - Sale ²⁵
	97.000	65.95	03/19/20	67.30	1.35 W	0.00	109 of 128 - Sale ²⁵
	80.000	54.39	03/19/20	55.46	1.07 W	0.00	110 of 128 - Sale ²⁵
	100.000	67.99	03/19/20	69.37	1.38 W	0.00	111 of 128 - Sale ²⁵
	3.000	2.04	03/19/20	2.09	0.05 W	0.00	112 of 128 - Sale ²⁵
	11.000	7.48	03/19/20	7.65	0.17 W	0.00	113 of 128 - Sale ²⁵
	22.000	14.96	03/19/20	15.27	0.31 W	0.00	114 of 128 - Sale ²⁵
	34.000	23.12	03/19/20	23.45	0.33 W	0.00	115 of 128 - Sale ²⁵
	34.000	23.12	03/19/20	23.41	0.29 W	0.00	116 of 128 - Sale ²⁵
	64.000	43.51	03/19/20	44.47	0.96 W	0.00	117 of 128 - Sale ²⁵
	66.000	44.87	03/19/20	45.54	0.67 W	0.00	118 of 128 - Sale ²⁵
	66.000	44.87	03/19/20	45.46	0.59 W	0.00	119 of 128 - Sale ²⁵
	1.000	0.68	03/19/20	0.69	0.01 W	0.00	120 of 128 - Sale ²⁵
	33.000	22.44	03/19/20	22.70	0.26 W	0.00	121 of 128 - Sale ²⁵
	66.000	44.87	03/19/20	45.40	0.53 W	0.00	122 of 128 - Sale ²⁵
	2.000	1.36	03/19/20	1.40	0.04 W	0.00	123 of 128 - Sale ²⁵
	12.000	8.16	03/19/20	8.34	0.18 W	0.00	124 of 128 - Sale ²⁵
	14.000	9.52	03/19/20	9.75	0.23 W	0.00	125 of 128 - Sale ²⁵
	22.000	14.96	03/19/20	15.31	0.35 W	0.00	126 of 128 - Sale ²⁵
	64.000	43.51	03/19/20	43.88	0.37 W	0.00	127 of 128 - Sale ²⁵
	86.000	58.47	03/19/20	59.79	1.32 W	0.00	128 of 128 - Sale ²⁵
03/19/20	8,200.000	5,581.04	Various	5,631.51	50.47 W	0.00	Total of 128 transactions
	Security total:	20,402.64		20,563.03	50.47 W	-109.92	
BIOMERICA, INC. COMMON STOCK / CUSIP: 09061H307 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	70.000	507.47	03/24/20	495.59	...	11.88	1 of 2 - Sale ²⁵
	200.000	1,449.94	03/24/20	1,415.98	...	33.96	2 of 2 - Sale ²⁵
03/25/20	270.000	1,957.41	Various	1,911.57	...	45.84	Total of 2 transactions

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
<i>7 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	137.00	03/19/20	135.60	...	1.40	1 of 7 - Sale ²⁵
	180.000	1,232.95	03/19/20	1,220.38	...	12.57	2 of 7 - Sale ²⁵
	500.000	3,424.86	03/19/20	3,389.95	...	34.91	3 of 7 - Sale ²⁵
	600.000	4,109.83	03/19/20	4,067.94	...	41.89	4 of 7 - Sale ²⁵
	700.000	4,794.81	03/19/20	4,745.93	...	48.88	5 of 7 - Sale ²⁵
	800.000	5,479.77	03/19/20	5,423.92	...	55.85	6 of 7 - Sale ²⁵
	1,200.000	8,219.67	03/19/20	8,135.88	...	83.79	7 of 7 - Sale ²⁵
03/19/20	4,000.000	27,398.89	Various	27,119.60	...	279.29	Total of 7 transactions
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
<i>10 transactions for 03/13/20. Total proceeds and cost reported to the IRS.</i>							
	4,000.000	20,039.07	03/13/20	20,399.60	...	-360.53	1 of 10 - Sale ²⁵
	200.000	1,001.95	03/13/20	1,019.98	...	-18.03	2 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	3 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	4 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	5 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	6 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	7 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	8 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	9 of 10 - Sale ²⁵
	100.000	500.97	03/13/20	509.99	...	-9.02	10 of 10 - Sale ²⁵
03/13/20	5,000.000	25,048.78	Various	25,499.50	...	-450.72	Total of 10 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
06/10/20	72.000	3,551.79	06/08/20	4,394.87	...	-843.08	Sale ²⁵
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
07/06/20	10.000	165.50	06/08/20	217.30	...	-51.80	Sale ²⁵
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
<i>4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	679.96	07/02/20	609.98	...	69.98	1 of 4 - Sale ²⁵
	1,000.000	3,399.80	07/02/20	3,049.90	...	349.90	2 of 4 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	1,800.000	6,119.65	07/02/20	5,489.82	...	629.83	3 of 4 - Sale ²⁵
	2,000.000	6,799.60	07/02/20	6,099.80	...	699.80	4 of 4 - Sale ²⁵
07/02/20	5,000.000	16,999.01	Various	15,249.50	...	1,749.51	Total of 4 transactions
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	265.49	07/06/20	215.00	...	50.49	1 of 3 - Sale ²⁵
	118.000	626.55	07/06/20	507.40	...	119.15	2 of 3 - Sale ²⁵
	1,831.000	9,722.17	07/06/20	7,873.30	...	1,848.87	3 of 3 - Sale ²⁵
07/06/20	1,999.000	10,614.21	Various	8,595.70	...	2,018.51	Total of 3 transactions
	18 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	26.000	111.01	07/07/20	176.33	...	-65.32	1 of 18 - Sale ²⁵
	6.000	25.62	07/07/20	40.68	...	-15.06	2 of 18 - Sale ²⁵
	11.000	46.97	07/07/20	59.84	...	-12.87	3 of 18 - Sale ²⁵
	2.000	8.54	07/07/20	13.56	...	-5.02	4 of 18 - Sale ²⁵
	409.000	1,746.34	07/07/20	1,750.61	...	-4.27	5 of 18 - Sale ²⁵
	121.000	516.64	07/07/20	517.77	...	-1.13	6 of 18 - Sale ²⁵
	100.000	426.98	07/07/20	428.02	...	-1.04	7 of 18 - Sale ²⁵
	1.000	4.27	07/07/20	5.24	...	-0.97	8 of 18 - Sale ²⁵
	91.000	388.55	07/07/20	389.50	...	-0.95	9 of 18 - Sale ²⁵
	500.000	2,134.89	07/07/20	2,135.12	...	-0.23	10 of 18 - Sale ²⁵
	91.000	388.54	07/07/20	388.59	...	-0.05	11 of 18 - Sale ²⁵
	44.000	187.87	07/07/20	187.89	...	-0.02	12 of 18 - Sale ²⁵
	45.000	192.14	07/07/20	192.16	...	-0.02	13 of 18 - Sale ²⁵
	9.000	38.43	07/07/20	38.43	...	0.00	14 of 18 - Sale ²⁵
	7.000	28.42	07/07/20	27.86	...	0.56	15 of 18 - Sale ²⁵
	181.000	772.83	07/07/20	771.06	...	1.77	16 of 18 - Sale ²⁵
	47.000	190.81	07/07/20	187.06	...	3.75	17 of 18 - Sale ²⁵
	100.000	405.97	07/07/20	398.00	...	7.97	18 of 18 - Sale ²⁵
07/07/20	1,791.000	7,614.82	Various	7,707.72	...	-92.90	Total of 18 transactions
	144 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	1.000	3.96	07/06/20	4.30	0.34 W	0.00	1 of 144 - Sale ²⁵
	68.000	269.27	07/06/20	360.40	91.13 W	0.00	2 of 144 - Sale ²⁵
	200.000	791.96	07/06/20	1,060.00	268.04 W	0.00	3 of 144 - Sale ²⁵
	100.000	395.98	07/06/20	530.00	134.02 W	0.00	4 of 144 - Sale ²⁵

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(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
341.000		1,350.29	07/06/20	1,807.30	457.01 W	0.00	5 of 144 - Sale ²⁵
44.000		174.23	07/06/20	233.20	58.97 W	0.00	6 of 144 - Sale ²⁵
34.000		134.63	07/06/20	180.20	45.57 W	0.00	7 of 144 - Sale ²⁵
1.000		3.96	07/06/20	5.64	1.68 W	0.00	8 of 144 - Sale ²⁵
68.000		269.26	07/06/20	451.53	182.27 W	0.00	9 of 144 - Sale ²⁵
143.000		566.25	07/06/20	949.55	383.30 W	0.00	10 of 144 - Sale ²⁵
14.000		55.44	07/06/20	92.96	37.52 W	0.00	11 of 144 - Sale ²⁵
28.000		110.87	07/06/20	185.93	75.06 W	0.00	12 of 144 - Sale ²⁵
43.000		170.27	07/06/20	285.53	115.26 W	0.00	13 of 144 - Sale ²⁵
44.000		174.23	07/06/20	292.17	117.94 W	0.00	14 of 144 - Sale ²⁵
100.000		395.98	07/06/20	664.02	268.04 W	0.00	15 of 144 - Sale ²⁵
341.000		1,350.28	07/06/20	2,264.31	914.03 W	0.00	16 of 144 - Sale ²⁵
1.000		3.96	07/06/20	6.98	3.02 W	0.00	17 of 144 - Sale ²⁵
32.000		126.71	07/06/20	255.37	128.66 W	0.00	18 of 144 - Sale ²⁵
6.000		23.76	07/06/20	39.84	16.08 W	0.00	19 of 144 - Sale ²⁵
14.000		55.44	07/06/20	111.73	56.29 W	0.00	20 of 144 - Sale ²⁵
36.000		142.55	07/06/20	287.30	144.75 W	0.00	21 of 144 - Sale ²⁵
129.000		510.81	07/06/20	1,029.47	518.66 W	0.00	22 of 144 - Sale ²⁵
12.000		47.52	07/06/20	63.60	16.08 W	0.00	23 of 144 - Sale ²⁵
14.000		55.30	07/06/20	111.72	56.42 W	0.00	24 of 144 - Sale ²⁵
43.000		169.84	07/06/20	343.16	173.32 W	0.00	25 of 144 - Sale ²⁵
43.000		169.84	07/06/20	343.16	173.32 W	0.00	26 of 144 - Sale ²⁵
100.000		394.98	07/06/20	798.04	403.06 W	0.00	27 of 144 - Sale ²⁵
1.000		4.07	07/06/20	7.98	3.91 W	0.00	28 of 144 - Sale ²⁵
44.000		187.87	07/07/20	298.37	80.36 W	-30.14	29 of 144 - Sale ²⁵
1.000		4.07	07/07/20	6.99	2.92 W	0.00	30 of 144 - Sale ²⁵
2.000		8.14	07/07/20	15.98	7.84 W	0.00	31 of 144 - Sale ²⁵
30.000		122.10	07/07/20	239.72	117.62 W	0.00	32 of 144 - Sale ²⁵
20.000		81.40	07/07/20	159.82	78.42 W	0.00	33 of 144 - Sale ²⁵
14.000		56.84	07/07/20	111.87	55.03 W	0.00	34 of 144 - Sale ²⁵
16.000		64.96	07/07/20	127.85	62.89 W	0.00	35 of 144 - Sale ²⁵
70.000		284.19	07/07/20	559.34	275.15 W	0.00	36 of 144 - Sale ²⁵
1.000		4.06	07/07/20	7.88	3.82 W	0.00	37 of 144 - Sale ²⁵
14.000		56.84	07/07/20	112.00	55.16 W	0.00	38 of 144 - Sale ²⁵

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	43.000	174.57	07/07/20	344.03	169.46 W	0.00	39 of 144 - Sale ²⁵
	43.000	174.57	07/07/20	344.03	169.46 W	0.00	40 of 144 - Sale ²⁵
	100.000	405.98	07/07/20	800.06	394.08 W	0.00	41 of 144 - Sale ²⁵
	59.000	239.53	07/07/20	471.45	231.92 W	0.00	42 of 144 - Sale ²⁵
	6.000	24.36	07/07/20	39.90	15.54 W	0.00	43 of 144 - Sale ²⁵
	28.000	113.67	07/07/20	186.22	72.55 W	0.00	44 of 144 - Sale ²⁵
	44.000	178.63	07/07/20	292.62	113.99 W	0.00	45 of 144 - Sale ²⁵
	297.000	1,205.76	07/07/20	1,975.18	769.42 W	0.00	46 of 144 - Sale ²⁵
	12.000	48.72	07/07/20	63.72	15.00 W	0.00	47 of 144 - Sale ²⁵
	1.000	4.06	07/07/20	6.90	2.84 W	0.00	48 of 144 - Sale ²⁵
	2.000	8.12	07/07/20	15.80	7.68 W	0.00	49 of 144 - Sale ²⁵
	14.000	56.84	07/07/20	110.75	53.91 W	0.00	50 of 144 - Sale ²⁵
	16.000	64.96	07/07/20	126.57	61.61 W	0.00	51 of 144 - Sale ²⁵
	17.000	69.02	07/07/20	134.48	65.46 W	0.00	52 of 144 - Sale ²⁵
	20.000	81.20	07/07/20	158.02	76.82 W	0.00	53 of 144 - Sale ²⁵
	30.000	121.79	07/07/20	237.02	115.23 W	0.00	54 of 144 - Sale ²⁵
	53.000	215.16	07/07/20	419.27	204.11 W	0.00	55 of 144 - Sale ²⁵
	14.000	56.84	07/07/20	110.88	54.04 W	0.00	56 of 144 - Sale ²⁵
	20.000	81.19	07/07/20	158.42	77.23 W	0.00	57 of 144 - Sale ²⁵
	59.000	239.53	07/07/20	466.74	227.21 W	0.00	58 of 144 - Sale ²⁵
	1.000	4.06	07/07/20	7.81	3.75 W	0.00	59 of 144 - Sale ²⁵
	1.000	4.06	07/07/20	6.83	2.77 W	0.00	60 of 144 - Sale ²⁵
	2.000	8.12	07/07/20	15.66	7.54 W	0.00	61 of 144 - Sale ²⁵
	7.000	28.42	07/07/20	54.82	26.40 W	0.00	62 of 144 - Sale ²⁵
	23.000	93.37	07/07/20	182.41	89.04 W	0.00	63 of 144 - Sale ²⁵
	30.000	121.79	07/07/20	234.93	113.14 W	0.00	64 of 144 - Sale ²⁵
	43.000	174.57	07/07/20	341.03	166.46 W	0.00	65 of 144 - Sale ²⁵
	100.000	405.98	07/07/20	793.08	387.10 W	0.00	66 of 144 - Sale ²⁵
	14.000	56.84	07/07/20	109.77	52.93 W	0.00	67 of 144 - Sale ²⁵
	16.000	64.96	07/07/20	125.45	60.49 W	0.00	68 of 144 - Sale ²⁵
	17.000	69.02	07/07/20	133.29	64.27 W	0.00	69 of 144 - Sale ²⁵
	13.000	52.78	07/07/20	101.80	49.02 W	0.00	70 of 144 - Sale ²⁵
	40.000	162.39	07/07/20	313.65	151.26 W	0.00	71 of 144 - Sale ²⁵
	14.000	56.84	07/07/20	109.90	53.06 W	0.00	72 of 144 - Sale ²⁵

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
14.000		56.84	07/07/20	109.92	53.08 W	0.00	73 of 144 - Sale ²⁵
13.000		52.78	07/07/20	101.93	49.15 W	0.00	74 of 144 - Sale ²⁵
59.000		239.53	07/07/20	462.62	223.09 W	0.00	75 of 144 - Sale ²⁵
6.000		24.36	07/07/20	47.11	22.75 W	0.00	76 of 144 - Sale ²⁵
6.000		24.36	07/07/20	39.48	15.12 W	0.00	77 of 144 - Sale ²⁵
28.000		113.67	07/07/20	184.27	70.60 W	0.00	78 of 144 - Sale ²⁵
44.000		178.63	07/07/20	289.55	110.92 W	0.00	79 of 144 - Sale ²⁵
297.000		1,205.75	07/07/20	1,954.45	748.70 W	0.00	80 of 144 - Sale ²⁵
11.000		44.66	07/07/20	57.64	12.98 W	0.00	81 of 144 - Sale ²⁵
1.000		4.27	07/07/20	5.24	0.97 W	0.00	82 of 144 - Sale ²⁵
16.000		68.32	07/07/20	126.58	58.26 W	0.00	83 of 144 - Sale ²⁵
4.000		17.08	07/07/20	31.65	14.57 W	0.00	84 of 144 - Sale ²⁵
3.000		12.81	07/07/20	23.73	10.92 W	0.00	85 of 144 - Sale ²⁵
97.000		414.17	07/07/20	767.37	353.20 W	0.00	86 of 144 - Sale ²⁵
3.000		12.81	07/07/20	23.73	10.92 W	0.00	87 of 144 - Sale ²⁵
17.000		72.59	07/07/20	134.49	61.90 W	0.00	88 of 144 - Sale ²⁵
1.000		4.27	07/07/20	8.01	3.74 W	0.00	89 of 144 - Sale ²⁵
1.000		4.27	07/07/20	7.02	2.75 W	0.00	90 of 144 - Sale ²⁵
2.000		8.54	07/07/20	16.05	7.51 W	0.00	91 of 144 - Sale ²⁵
4.000		17.08	07/07/20	32.14	15.06 W	0.00	92 of 144 - Sale ²⁵
7.000		29.89	07/07/20	56.19	26.30 W	0.00	93 of 144 - Sale ²⁵
13.000		55.51	07/07/20	104.33	48.82 W	0.00	94 of 144 - Sale ²⁵
16.000		68.32	07/07/20	128.57	60.25 W	0.00	95 of 144 - Sale ²⁵
26.000		111.01	07/07/20	211.28	100.27 W	0.00	96 of 144 - Sale ²⁵
30.000		128.09	07/07/20	240.79	112.70 W	0.00	97 of 144 - Sale ²⁵
6.000		25.62	07/07/20	48.28	22.66 W	0.00	98 of 144 - Sale ²⁵
10.000		42.70	07/07/20	80.36	37.66 W	0.00	99 of 144 - Sale ²⁵
13.000		55.51	07/07/20	104.46	48.95 W	0.00	100 of 144 - Sale ²⁵
14.000		59.78	07/07/20	112.63	52.85 W	0.00	101 of 144 - Sale ²⁵
14.000		59.78	07/07/20	112.65	52.87 W	0.00	102 of 144 - Sale ²⁵
17.000		72.59	07/07/20	136.61	64.02 W	0.00	103 of 144 - Sale ²⁵
40.000		170.79	07/07/20	321.46	150.67 W	0.00	104 of 144 - Sale ²⁵
59.000		251.92	07/07/20	474.14	222.22 W	0.00	105 of 144 - Sale ²⁵
167.000		713.05	07/07/20	1,131.57	418.52 W	0.00	106 of 144 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	100.000	426.98	07/07/20	678.08	251.10 W	0.00	107 of 144 - Sale ²⁵
	26.000	111.01	07/07/20	176.32	65.31 W	0.00	108 of 144 - Sale ²⁵
	30.000	128.09	07/07/20	203.43	75.34 W	0.00	109 of 144 - Sale ²⁵
	44.000	187.87	07/07/20	298.36	110.49 W	0.00	110 of 144 - Sale ²⁵
	2.000	8.54	07/07/20	13.56	5.02 W	0.00	111 of 144 - Sale ²⁵
	6.000	25.62	07/07/20	40.68	15.06 W	0.00	112 of 144 - Sale ²⁵
	11.000	46.97	07/07/20	59.84	12.87 W	0.00	113 of 144 - Sale ²⁵
	45.000	192.14	07/07/20	192.15	0.01 W	0.00	114 of 144 - Sale ²⁵
	953.000	4,069.09	07/07/20	4,069.31	0.22 W	0.00	115 of 144 - Sale ²⁵
	200.000	853.96	07/07/20	854.00	0.04 W	0.00	116 of 144 - Sale ²⁵
	500.000	2,134.89	07/07/20	2,135.00	0.11 W	0.00	117 of 144 - Sale ²⁵
	111.000	473.94	07/07/20	473.97	0.03 W	0.00	118 of 144 - Sale ²⁵
	1.000	4.27	07/07/20	8.01	3.74 W	0.00	119 of 144 - Sale ²⁵
	1.000	4.27	07/07/20	7.02	2.75 W	0.00	120 of 144 - Sale ²⁵
	2.000	8.54	07/07/20	16.05	7.51 W	0.00	121 of 144 - Sale ²⁵
	3.000	12.81	07/07/20	23.73	10.92 W	0.00	122 of 144 - Sale ²⁵
	3.000	12.81	07/07/20	23.73	10.92 W	0.00	123 of 144 - Sale ²⁵
	4.000	17.08	07/07/20	31.65	14.57 W	0.00	124 of 144 - Sale ²⁵
	4.000	17.08	07/07/20	32.14	15.06 W	0.00	125 of 144 - Sale ²⁵
	6.000	25.62	07/07/20	48.28	22.66 W	0.00	126 of 144 - Sale ²⁵
	7.000	29.89	07/07/20	56.19	26.30 W	0.00	127 of 144 - Sale ²⁵
	10.000	42.70	07/07/20	80.36	37.66 W	0.00	128 of 144 - Sale ²⁵
	13.000	55.51	07/07/20	104.33	48.82 W	0.00	129 of 144 - Sale ²⁵
	13.000	55.51	07/07/20	104.46	48.95 W	0.00	130 of 144 - Sale ²⁵
	14.000	59.78	07/07/20	112.63	52.85 W	0.00	131 of 144 - Sale ²⁵
	14.000	59.78	07/07/20	112.65	52.87 W	0.00	132 of 144 - Sale ²⁵
	16.000	68.32	07/07/20	126.58	58.26 W	0.00	133 of 144 - Sale ²⁵
	16.000	68.32	07/07/20	128.57	60.25 W	0.00	134 of 144 - Sale ²⁵
	17.000	72.59	07/07/20	134.49	61.90 W	0.00	135 of 144 - Sale ²⁵
	17.000	72.59	07/07/20	136.61	64.02 W	0.00	136 of 144 - Sale ²⁵
	26.000	111.01	07/07/20	211.29	100.28 W	0.00	137 of 144 - Sale ²⁵
	30.000	128.09	07/07/20	240.80	112.71 W	0.00	138 of 144 - Sale ²⁵
	40.000	170.79	07/07/20	321.47	150.68 W	0.00	139 of 144 - Sale ²⁵
	59.000	251.92	07/07/20	474.15	222.23 W	0.00	140 of 144 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	97.000	414.17	07/07/20	767.39	353.22 W	0.00	141 of 144 - Sale ²⁵
	30.000	128.09	07/07/20	203.44	75.35 W	0.00	142 of 144 - Sale ²⁵
	100.000	426.98	07/07/20	678.10	251.12 W	0.00	143 of 144 - Sale ²⁵
	167.000	713.05	07/07/20	1,131.61	418.56 W	0.00	144 of 144 - Sale ²⁵
07/07/20	7,209.000	29,771.83	Various	45,594.43	15,792.46 W	-30.14	Total of 144 transactions
	29 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	141.000	551.55	07/07/20	603.36	...	-51.81	1 of 29 - Sale ²⁵
	167.000	653.25	07/08/20	1,251.89	...	-598.64	2 of 29 - Sale ²⁵
	97.000	379.43	07/08/20	837.25	...	-457.82	3 of 29 - Sale ²⁵
	100.000	391.17	07/08/20	750.12	...	-358.95	4 of 29 - Sale ²⁵
	59.000	230.79	07/08/20	516.64	...	-285.85	5 of 29 - Sale ²⁵
	40.000	156.47	07/08/20	350.28	...	-193.81	6 of 29 - Sale ²⁵
	30.000	117.35	07/08/20	262.41	...	-145.06	7 of 29 - Sale ²⁵
	26.000	101.70	07/08/20	230.02	...	-128.32	8 of 29 - Sale ²⁵
	117.000	457.67	07/08/20	583.85	...	-126.18	9 of 29 - Sale ²⁵
	32.000	125.17	07/08/20	240.04	...	-114.87	10 of 29 - Sale ²⁵
	30.000	117.35	07/08/20	225.05	...	-107.70	11 of 29 - Sale ²⁵
	17.000	66.50	07/08/20	148.85	...	-82.35	12 of 29 - Sale ²⁵
	17.000	66.50	07/08/20	146.73	...	-80.23	13 of 29 - Sale ²⁵
	16.000	62.59	07/08/20	140.09	...	-77.50	14 of 29 - Sale ²⁵
	16.000	62.59	07/08/20	138.10	...	-75.51	15 of 29 - Sale ²⁵
	14.000	54.76	07/08/20	122.73	...	-67.97	16 of 29 - Sale ²⁵
	14.000	54.76	07/08/20	122.71	...	-67.95	17 of 29 - Sale ²⁵
	13.000	50.85	07/08/20	113.82	...	-62.97	18 of 29 - Sale ²⁵
	13.000	50.85	07/08/20	113.69	...	-62.84	19 of 29 - Sale ²⁵
	10.000	39.12	07/08/20	87.56	...	-48.44	20 of 29 - Sale ²⁵
	7.000	27.38	07/08/20	61.23	...	-33.85	21 of 29 - Sale ²⁵
	6.000	23.47	07/08/20	52.60	...	-29.13	22 of 29 - Sale ²⁵
	4.000	15.65	07/08/20	35.02	...	-19.37	23 of 29 - Sale ²⁵
	4.000	15.65	07/08/20	34.53	...	-18.88	24 of 29 - Sale ²⁵
	3.000	11.74	07/08/20	25.89	...	-14.15	25 of 29 - Sale ²⁵
	3.000	11.74	07/08/20	25.89	...	-14.15	26 of 29 - Sale ²⁵
	2.000	7.82	07/08/20	17.49	...	-9.67	27 of 29 - Sale ²⁵
	1.000	3.91	07/08/20	8.73	...	-4.82	28 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	1.000	3.91	07/08/20	7.74	...	-3.83	29 of 29 - Sale ²⁵
07/13/20	1,000.000	3,911.69	Various	7,254.31	...	-3,342.62	Total of 29 transactions
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	111.000	362.95	07/08/20	553.92	...	-190.97	1 of 2 - Sale ²⁵
	30.000	98.10	07/08/20	149.71	...	-51.61	2 of 2 - Sale ²⁵
07/20/20	141.000	461.05	Various	703.63	...	-242.58	Total of 2 transactions
	Security total:	69,372.61		85,105.29	15,792.46 W	59.78	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
	9 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	14.000	2,929.49	06/18/19	2,693.17	...	236.32	1 of 9 - Sale ²⁵
	9.000	1,883.25	06/26/19	1,687.41	...	195.84	2 of 9 - Sale ²⁵
	2.000	418.50	07/15/19	405.70	...	12.80	3 of 9 - Sale ²⁵
	5.000	1,046.25	07/15/19	1,014.85	...	31.40	4 of 9 - Sale ²⁵
	1.000	209.25	01/24/20	220.80	...	-11.55	5 of 9 - Sale ²⁵
	4.000	836.99	01/29/20	878.49	...	-41.50	6 of 9 - Sale ²⁵
	2.000	418.50	01/29/20	439.65	...	-21.15	7 of 9 - Sale ²⁵
	1.000	209.25	01/29/20	219.83	...	-10.58	8 of 9 - Sale ²⁵
	1.000	209.25	01/29/20	219.55	...	-10.30	9 of 9 - Sale ²⁵
02/11/20	39.000	8,160.73	Various	7,779.45	...	381.28	Total of 9 transactions
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
	4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1,342.000	2,616.68	07/13/20	3,039.63	...	-422.95	1 of 4 - Sale ²⁵
	83.000	161.84	07/13/20	188.00	...	-26.16	2 of 4 - Sale ²⁵
	69.000	134.54	07/13/20	156.28	...	-21.74	3 of 4 - Sale ²⁵
	3.000	5.85	07/13/20	7.91	...	-2.06	4 of 4 - Sale ²⁵
07/21/20	1,497.000	2,918.91	Various	3,391.82	...	-472.91	Total of 4 transactions
07/21/20	3.000	5.85	07/07/20	6.96	1.11 W	0.00	Sale ²⁵
	Security total:	2,924.76		3,398.78	1.11 W	-472.91	

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(continued)

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	500.000	774.92	07/29/20	2,175.16	...	-1,400.24	1 of 3 - Sale ²⁵
	399.000	618.39	07/29/20	1,332.73	...	-714.34	2 of 3 - Sale ²⁵
	100.000	154.98	07/29/20	435.02	...	-280.04	3 of 3 - Sale ²⁵
08/12/20	999.000	1,548.29	Various	3,942.91	...	-2,394.62	Total of 3 transactions
7 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	100.000	164.00	07/29/20	265.00	101.00 W	0.00	1 of 7 - Sale ²⁵
	919.000	1,507.01	07/29/20	2,435.35	928.34 W	0.00	2 of 7 - Sale ²⁵
	10.000	16.40	07/29/20	26.50	10.10 W	0.00	3 of 7 - Sale ²⁵
	371.000	608.38	07/29/20	983.15	374.77 W	0.00	4 of 7 - Sale ²⁵
	100.000	163.98	07/29/20	366.00	202.02 W	0.00	5 of 7 - Sale ²⁵
	500.000	819.92	07/29/20	1,830.08	1,010.16 W	0.00	6 of 7 - Sale ²⁵
	1.000	1.55	07/29/20	3.57	2.02 W	0.00	7 of 7 - Sale ²⁵
08/12/20	2,001.000	3,281.24	Various	5,909.65	2,628.41 W	0.00	Total of 7 transactions
09/03/20	1.000	1.18	07/29/20	4.35	...	-3.17	Sale ²⁵
4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	371.000	378.92	07/29/20	1,239.20	...	-860.28	1 of 4 - Sale ²⁵
	600.000	612.81	07/29/20	1,398.00	...	-785.19	2 of 4 - Sale ²⁵
	19.000	19.41	07/29/20	63.46	...	-44.05	3 of 4 - Sale ²⁵
	10.000	10.21	07/29/20	33.40	...	-23.19	4 of 4 - Sale ²⁵
11/18/20	1,000.000	1,021.35	Various	2,734.06	...	-1,712.71	Total of 4 transactions
	Security total:	5,852.06		12,590.97	2,628.41 W	-4,110.50	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	400.000	471.95	07/29/20	600.00	...	-128.05	1 of 5 - Sale ²⁵
	325.000	383.45	07/29/20	487.50	...	-104.05	2 of 5 - Sale ²⁵
	175.000	206.47	07/29/20	262.50	...	-56.03	3 of 5 - Sale ²⁵
	90.000	106.19	07/29/20	135.00	...	-28.81	4 of 5 - Sale ²⁵
	10.000	11.80	07/29/20	15.00	...	-3.20	5 of 5 - Sale ²⁵
08/31/20	1,000.000	1,179.86	Various	1,500.00	...	-320.14	Total of 5 transactions
08/31/20	500.000	589.92	07/29/20	750.00	0.32 W	-159.76	Sale ²⁵

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	675.000	681.65	07/29/20	1,012.50	...	-330.85	1 of 2 - Sale ²⁵
	325.000	328.20	07/29/20	487.50	...	-159.30	2 of 2 - Sale ²⁵
12/31/20	1,000.000	1,009.85	Various	1,500.00	...	-490.15	Total of 2 transactions
	Security total:	2,779.63		3,750.00	0.32 W	-970.05	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	300.000	1,379.93	04/09/20	1,339.23	...	40.70	1 of 3 - Sale ²⁵
	1.000	4.60	06/05/20	4.25	...	0.35	2 of 3 - Sale ²⁵
	500.000	2,299.89	06/05/20	2,123.85	...	176.04	3 of 3 - Sale ²⁵
06/22/20	801.000	3,684.42	Various	3,467.33	...	217.09	Total of 3 transactions
06/22/20	599.000	2,755.26	06/10/20	2,905.15	149.89 W	0.00	Sale ²⁵
13 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	3.89	06/24/20	3.76	...	0.13	1 of 13 - Sale ²⁵
	1.000	3.89	06/24/20	3.76	...	0.13	2 of 13 - Sale ²⁵
	3.000	11.67	06/24/20	11.28	...	0.39	3 of 13 - Sale ²⁵
	10.000	38.90	06/24/20	37.59	...	1.31	4 of 13 - Sale ²⁵
	33.000	128.36	06/24/20	124.05	...	4.31	5 of 13 - Sale ²⁵
	33.000	128.37	06/24/20	124.05	...	4.32	6 of 13 - Sale ²⁵
	50.000	194.50	06/24/20	187.95	...	6.55	7 of 13 - Sale ²⁵
	50.000	194.50	06/24/20	187.95	...	6.55	8 of 13 - Sale ²⁵
	200.000	777.96	06/24/20	751.80	...	26.16	9 of 13 - Sale ²⁵
	518.000	2,014.91	06/24/20	1,947.16	...	67.75	10 of 13 - Sale ²⁵
	1,000.000	3,889.79	06/24/20	3,759.00	...	130.79	11 of 13 - Sale ²⁵
	2,000.000	7,719.58	06/24/20	7,518.00	...	201.58	12 of 13 - Sale ²⁵
	2,950.000	11,386.39	06/24/20	11,089.04	...	297.35	13 of 13 - Sale ²⁵
06/24/20	6,849.000	26,492.71	Various	25,745.39	...	747.32	Total of 13 transactions
48 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	3.83	06/10/20	4.85	1.02 W	0.00	1 of 48 - Sale ²⁵
	49.000	187.42	06/22/20	237.66	50.24 W	0.00	2 of 48 - Sale ²⁵
	1.000	3.82	06/22/20	5.62	1.80 W	0.00	3 of 48 - Sale ²⁵
	99.000	378.67	06/22/20	480.17	101.50 W	0.00	4 of 48 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	100.000	382.49	06/22/20	485.02	102.53 W	0.00	5 of 48 - Sale ²⁵
	100.000	382.49	06/22/20	485.03	102.54 W	0.00	6 of 48 - Sale ²⁵
	251.000	958.77	06/22/20	1,217.41	258.64 W	0.00	7 of 48 - Sale ²⁵
	130.000	496.57	06/22/20	598.00	101.43 W	0.00	8 of 48 - Sale ²⁵
	1.000	3.82	06/22/20	6.40	2.58 W	0.00	9 of 48 - Sale ²⁵
	49.000	187.17	06/22/20	275.64	88.47 W	0.00	10 of 48 - Sale ²⁵
	99.000	378.16	06/22/20	556.90	178.74 W	0.00	11 of 48 - Sale ²⁵
	100.000	381.98	06/22/20	562.53	180.55 W	0.00	12 of 48 - Sale ²⁵
	100.000	381.98	06/22/20	562.54	180.56 W	0.00	13 of 48 - Sale ²⁵
	202.000	771.60	06/22/20	929.20	157.60 W	0.00	14 of 48 - Sale ²⁵
	118.000	450.74	06/22/20	542.80	92.06 W	0.00	15 of 48 - Sale ²⁵
	1.000	3.89	06/24/20	4.90	1.01 W	0.00	16 of 48 - Sale ²⁵
	200.000	777.96	06/24/20	957.09	179.13 W	0.00	17 of 48 - Sale ²⁵
	1.000	3.89	06/24/20	6.34	2.45 W	0.00	18 of 48 - Sale ²⁵
	19.000	73.91	06/24/20	105.64	31.73 W	0.00	19 of 48 - Sale ²⁵
	50.000	194.49	06/24/20	239.27	44.78 W	0.00	20 of 48 - Sale ²⁵
	92.000	357.85	06/24/20	417.24	59.39 W	0.00	21 of 48 - Sale ²⁵
	38.000	147.81	06/24/20	172.34	24.53 W	0.00	22 of 48 - Sale ²⁵
	30.000	116.69	06/24/20	166.82	50.13 W	0.00	23 of 48 - Sale ²⁵
	71.000	276.18	06/24/20	394.80	118.62 W	0.00	24 of 48 - Sale ²⁵
	99.000	385.09	06/24/20	550.49	165.40 W	0.00	25 of 48 - Sale ²⁵
	100.000	388.98	06/24/20	556.05	167.07 W	0.00	26 of 48 - Sale ²⁵
	29.000	112.80	06/24/20	161.26	48.46 W	0.00	27 of 48 - Sale ²⁵
	1.000	3.89	06/24/20	4.76	0.87 W	0.00	28 of 48 - Sale ²⁵
	50.000	194.49	06/24/20	232.53	38.04 W	0.00	29 of 48 - Sale ²⁵
	118.000	459.00	06/24/20	535.15	76.15 W	0.00	30 of 48 - Sale ²⁵
	202.000	785.74	06/24/20	916.11	130.37 W	0.00	31 of 48 - Sale ²⁵
	1.000	3.89	06/24/20	6.21	2.32 W	0.00	32 of 48 - Sale ²⁵
	19.000	73.91	06/24/20	103.15	29.24 W	0.00	33 of 48 - Sale ²⁵
	33.000	128.36	06/24/20	153.60	25.24 W	0.00	34 of 48 - Sale ²⁵
	29.000	112.80	06/24/20	157.47	44.67 W	0.00	35 of 48 - Sale ²⁵
	30.000	116.69	06/24/20	162.90	46.21 W	0.00	36 of 48 - Sale ²⁵
	71.000	276.18	06/24/20	385.51	109.33 W	0.00	37 of 48 - Sale ²⁵
	99.000	385.09	06/24/20	537.54	152.45 W	0.00	38 of 48 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	100.000	388.98	06/24/20	542.97	153.99 W	0.00	39 of 48 - Sale ²⁵
	1.000	3.89	06/24/20	4.63	0.74 W	0.00	40 of 48 - Sale ²⁵
	50.000	194.49	06/24/20	232.73	38.24 W	0.00	41 of 48 - Sale ²⁵
	50.000	194.49	06/24/20	225.99	31.50 W	0.00	42 of 48 - Sale ²⁵
	117.000	455.11	06/24/20	544.60	89.49 W	0.00	43 of 48 - Sale ²⁵
	38.000	147.81	06/24/20	167.37	19.56 W	0.00	44 of 48 - Sale ²⁵
	92.000	357.86	06/24/20	405.22	47.36 W	0.00	45 of 48 - Sale ²⁵
	96.000	373.42	06/24/20	422.82	49.40 W	0.00	46 of 48 - Sale ²⁵
	118.000	458.99	06/24/20	519.71	60.72 W	0.00	47 of 48 - Sale ²⁵
	106.000	412.32	06/24/20	466.87	54.55 W	0.00	48 of 48 - Sale ²⁵
06/24/20	3,551.000	13,716.45	Various	17,409.85	3,693.40 W	0.00	Total of 48 transactions
34 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	3.65	06/24/20	3.76	0.11 W	0.00	1 of 34 - Sale ²⁵
	1.000	3.65	06/26/20	6.00	2.35 W	0.00	2 of 34 - Sale ²⁵
	19.000	69.35	06/26/20	99.16	29.81 W	0.00	3 of 34 - Sale ²⁵
	30.000	109.49	06/26/20	156.61	47.12 W	0.00	4 of 34 - Sale ²⁵
	99.000	361.33	06/26/20	516.76	155.43 W	0.00	5 of 34 - Sale ²⁵
	77.000	281.03	06/26/20	401.92	120.89 W	0.00	6 of 34 - Sale ²⁵
	1.000	3.65	06/26/20	5.22	1.57 W	0.00	7 of 34 - Sale ²⁵
	29.000	105.84	06/26/20	151.39	45.55 W	0.00	8 of 34 - Sale ²⁵
	71.000	259.13	06/26/20	370.60	111.47 W	0.00	9 of 34 - Sale ²⁵
	22.000	80.29	06/26/20	114.84	34.55 W	0.00	10 of 34 - Sale ²⁵
	33.000	120.44	06/26/20	146.68	26.24 W	0.00	11 of 34 - Sale ²⁵
	95.000	346.74	06/26/20	422.25	75.51 W	0.00	12 of 34 - Sale ²⁵
	1.000	3.65	06/26/20	4.42	0.77 W	0.00	13 of 34 - Sale ²⁵
	50.000	182.49	06/26/20	222.23	39.74 W	0.00	14 of 34 - Sale ²⁵
	27.000	98.55	06/26/20	116.36	17.81 W	0.00	15 of 34 - Sale ²⁵
	22.000	80.30	06/26/20	97.79	17.49 W	0.00	16 of 34 - Sale ²⁵
	23.000	83.94	06/26/20	99.13	15.19 W	0.00	17 of 34 - Sale ²⁵
	38.000	138.69	06/26/20	159.40	20.71 W	0.00	18 of 34 - Sale ²⁵
	92.000	335.78	06/26/20	385.91	50.13 W	0.00	19 of 34 - Sale ²⁵
	96.000	350.38	06/26/20	402.67	52.29 W	0.00	20 of 34 - Sale ²⁵
	21.000	76.65	06/26/20	88.09	11.44 W	0.00	21 of 34 - Sale ²⁵
	1.000	3.65	06/26/20	3.79	0.14 W	0.00	22 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	85.000	310.23	06/26/20	356.53	46.30 W	0.00	23 of 34 - Sale ²⁵
	118.000	430.68	06/26/20	494.95	64.27 W	0.00	24 of 34 - Sale ²⁵
	281.000	1,025.59	06/26/20	1,034.05	8.46 W	0.00	25 of 34 - Sale ²⁵
	50.000	182.50	06/26/20	183.99	1.49 W	0.00	26 of 34 - Sale ²⁵
	30.000	109.50	06/26/20	110.40	0.90 W	0.00	27 of 34 - Sale ²⁵
	200.000	729.96	06/26/20	735.98	6.02 W	0.00	28 of 34 - Sale ²⁵
	50.000	182.50	06/26/20	183.99	1.49 W	0.00	29 of 34 - Sale ²⁵
	89.000	324.84	06/26/20	327.51	2.67 W	0.00	30 of 34 - Sale ²⁵
	25.000	91.25	06/26/20	92.00	0.75 W	0.00	31 of 34 - Sale ²⁵
	995.000	3,631.54	06/26/20	3,661.50	29.96 W	0.00	32 of 34 - Sale ²⁵
	2,541.000	9,274.14	06/26/20	9,350.63	76.49 W	0.00	33 of 34 - Sale ²⁵
	1,887.000	6,887.17	06/26/20	6,943.97	56.80 W	0.00	34 of 34 - Sale ²⁵
06/26/20	7,200.000	26,278.57	Various	27,450.48	1,171.91 W	0.00	Total of 34 transactions
	8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	2,179.000	7,756.81	06/29/20	7,931.56	...	-174.75	1 of 8 - Sale ²⁵
	1,887.000	6,717.34	06/29/20	6,868.68	...	-151.34	2 of 8 - Sale ²⁵
	2,799.000	9,963.88	06/29/20	10,104.11	...	-140.23	3 of 8 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	...	-8.01	4 of 8 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	...	-8.01	5 of 8 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	...	-8.01	6 of 8 - Sale ²⁵
	62.000	220.71	06/29/20	225.68	...	-4.97	7 of 8 - Sale ²⁵
	38.000	135.28	06/29/20	138.32	...	-3.04	8 of 8 - Sale ²⁵
06/29/20	7,265.000	25,861.99	Various	26,360.35	...	-498.36	Total of 8 transactions
	50 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	3.56	06/26/20	3.68	0.12 W	0.00	1 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.01	5.29 W	-2.73	2 of 50 - Sale ²⁵
	1.000	3.56	06/29/20	5.96	2.40 W	0.00	3 of 50 - Sale ²⁵
	19.000	67.64	06/29/20	98.40	30.76 W	0.00	4 of 50 - Sale ²⁵
	4.000	14.24	06/29/20	20.72	6.48 W	0.00	5 of 50 - Sale ²⁵
	1.000	3.56	06/29/20	5.18	1.62 W	0.00	6 of 50 - Sale ²⁵
	22.000	78.32	06/29/20	113.97	35.65 W	0.00	7 of 50 - Sale ²⁵
	4.000	14.24	06/29/20	20.72	6.48 W	0.00	8 of 50 - Sale ²⁵
	26.000	92.55	06/29/20	134.70	42.15 W	0.00	9 of 50 - Sale ²⁵
	71.000	252.74	06/29/20	367.77	115.03 W	0.00	10 of 50 - Sale ²⁵

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	77.000	274.10	06/29/20	398.85	124.75 W	0.00	11 of 50 - Sale ²⁵
	99.000	352.42	06/29/20	512.81	160.39 W	0.00	12 of 50 - Sale ²⁵
	25.000	89.00	06/29/20	129.52	40.52 W	0.00	13 of 50 - Sale ²⁵
	33.000	117.48	06/29/20	145.37	27.89 W	0.00	14 of 50 - Sale ²⁵
	42.000	149.51	06/29/20	185.00	35.49 W	0.00	15 of 50 - Sale ²⁵
	1.000	3.56	06/29/20	4.38	0.82 W	0.00	16 of 50 - Sale ²⁵
	22.000	78.32	06/29/20	96.91	18.59 W	0.00	17 of 50 - Sale ²⁵
	23.000	81.88	06/29/20	98.22	16.34 W	0.00	18 of 50 - Sale ²⁵
	27.000	96.11	06/29/20	115.28	19.17 W	0.00	19 of 50 - Sale ²⁵
	50.000	177.99	06/29/20	220.23	42.24 W	0.00	20 of 50 - Sale ²⁵
	53.000	188.67	06/29/20	233.45	44.78 W	0.00	21 of 50 - Sale ²⁵
	1.000	3.56	06/29/20	3.73	0.17 W	0.00	22 of 50 - Sale ²⁵
	23.000	81.87	06/29/20	95.56	13.69 W	0.00	23 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	24 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	25 of 50 - Sale ²⁵
	10.000	35.60	06/29/20	41.55	5.95 W	0.00	26 of 50 - Sale ²⁵
	1.000	3.56	06/29/20	3.75	0.19 W	0.00	27 of 50 - Sale ²⁵
	21.000	74.76	06/29/20	87.25	12.49 W	0.00	28 of 50 - Sale ²⁵
	38.000	135.27	06/29/20	157.89	22.62 W	0.00	29 of 50 - Sale ²⁵
	85.000	302.58	06/29/20	353.14	50.56 W	0.00	30 of 50 - Sale ²⁵
	59.000	210.03	06/29/20	245.13	35.10 W	0.00	31 of 50 - Sale ²⁵
	96.000	341.74	06/29/20	398.84	57.10 W	0.00	32 of 50 - Sale ²⁵
	118.000	420.06	06/29/20	490.24	70.18 W	0.00	33 of 50 - Sale ²⁵
	182.000	647.88	06/29/20	662.48	14.60 W	0.00	34 of 50 - Sale ²⁵
	30.000	106.79	06/29/20	109.20	2.41 W	0.00	35 of 50 - Sale ²⁵
	50.000	177.99	06/29/20	181.98	3.99 W	0.00	36 of 50 - Sale ²⁵
	21.000	74.76	06/29/20	76.43	1.67 W	0.00	37 of 50 - Sale ²⁵
	200.000	711.95	06/29/20	728.00	16.05 W	0.00	38 of 50 - Sale ²⁵
	99.000	352.42	06/29/20	360.36	7.94 W	0.00	39 of 50 - Sale ²⁵
	29.000	103.24	06/29/20	105.55	2.31 W	0.00	40 of 50 - Sale ²⁵
	71.000	252.75	06/29/20	258.43	5.68 W	0.00	41 of 50 - Sale ²⁵
	25.000	89.00	06/29/20	91.00	2.00 W	0.00	42 of 50 - Sale ²⁵
	18.000	64.08	06/29/20	65.52	1.44 W	0.00	43 of 50 - Sale ²⁵
	57.000	202.91	06/29/20	207.48	4.57 W	0.00	44 of 50 - Sale ²⁵

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	45 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	46 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	47 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	48 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	49 of 50 - Sale ²⁵
	100.000	355.99	06/29/20	364.00	8.01 W	0.00	50 of 50 - Sale ²⁵
06/29/20	2,735.000	9,736.16	Various	10,910.64	1,171.75 W	-2.73	Total of 50 transactions
	10 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	1.000	3.30	06/29/20	3.59	...	-0.29	1 of 10 - Sale ²⁵
	29.000	95.70	06/30/20	131.18	...	-35.48	2 of 10 - Sale ²⁵
	91.000	300.29	07/16/20	466.68	...	-166.39	3 of 10 - Sale ²⁵
	41.000	135.29	07/16/20	210.27	...	-74.98	4 of 10 - Sale ²⁵
	26.000	85.80	07/16/20	133.37	...	-47.57	5 of 10 - Sale ²⁵
	17.000	56.10	07/16/20	87.17	...	-31.07	6 of 10 - Sale ²⁵
	8.000	26.40	07/16/20	41.03	...	-14.63	7 of 10 - Sale ²⁵
	4.000	13.20	07/16/20	20.51	...	-7.31	8 of 10 - Sale ²⁵
	2.000	6.60	07/16/20	10.25	...	-3.65	9 of 10 - Sale ²⁵
	1.000	3.30	07/16/20	5.91	...	-2.61	10 of 10 - Sale ²⁵
07/20/20	220.000	725.98	Various	1,109.96	...	-383.98	Total of 10 transactions
	45 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	71.000	229.32	07/16/20	364.12	...	-134.80	1 of 45 - Sale ²⁵
	118.000	381.12	07/16/20	484.16	...	-103.04	2 of 45 - Sale ²⁵
	96.000	310.06	07/16/20	393.90	...	-83.84	3 of 45 - Sale ²⁵
	85.000	274.53	07/16/20	348.77	...	-74.24	4 of 45 - Sale ²⁵
	200.000	645.96	07/16/20	717.71	...	-71.75	5 of 45 - Sale ²⁵
	36.000	116.27	07/16/20	184.62	...	-68.35	6 of 45 - Sale ²⁵
	182.000	587.82	07/16/20	653.11	...	-65.29	7 of 45 - Sale ²⁵
	53.000	171.18	07/16/20	230.72	...	-59.54	8 of 45 - Sale ²⁵
	46.000	148.57	07/16/20	200.25	...	-51.68	9 of 45 - Sale ²⁵
	59.000	190.56	07/16/20	242.09	...	-51.53	10 of 45 - Sale ²⁵
	25.000	80.74	07/16/20	128.23	...	-47.49	11 of 45 - Sale ²⁵
	42.000	135.65	07/16/20	182.84	...	-47.19	12 of 45 - Sale ²⁵
	22.000	71.06	07/16/20	112.83	...	-41.77	13 of 45 - Sale ²⁵
	33.000	106.58	07/16/20	143.66	...	-37.08	14 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	100.000	322.98	07/16/20	358.84	...	-35.86	15 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	16 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	17 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	18 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	19 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	20 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	21 of 45 - Sale ²⁵
	100.000	322.98	07/16/20	358.84	...	-35.86	22 of 45 - Sale ²⁵
	99.000	319.75	07/16/20	355.26	...	-35.51	23 of 45 - Sale ²⁵
	38.000	122.73	07/16/20	155.94	...	-33.21	24 of 45 - Sale ²⁵
	27.000	87.20	07/16/20	113.89	...	-26.69	25 of 45 - Sale ²⁵
	71.000	229.32	07/16/20	254.77	...	-25.45	26 of 45 - Sale ²⁵
	22.000	71.06	07/16/20	95.77	...	-24.71	27 of 45 - Sale ²⁵
	23.000	74.29	07/16/20	97.03	...	-22.74	28 of 45 - Sale ²⁵
	57.000	184.10	07/16/20	204.54	...	-20.44	29 of 45 - Sale ²⁵
	23.000	74.29	07/16/20	94.38	...	-20.09	30 of 45 - Sale ²⁵
	56.000	180.87	07/16/20	200.96	...	-20.09	31 of 45 - Sale ²⁵
	21.000	67.83	07/16/20	86.16	...	-18.33	32 of 45 - Sale ²⁵
	50.000	161.49	07/16/20	179.40	...	-17.91	33 of 45 - Sale ²⁵
	30.000	96.89	07/16/20	107.66	...	-10.77	34 of 45 - Sale ²⁵
	29.000	93.66	07/16/20	104.05	...	-10.39	35 of 45 - Sale ²⁵
	25.000	80.74	07/16/20	89.71	...	-8.97	36 of 45 - Sale ²⁵
	10.000	32.30	07/16/20	41.03	...	-8.73	37 of 45 - Sale ²⁵
	4.000	12.92	07/16/20	20.51	...	-7.59	38 of 45 - Sale ²⁵
	21.000	67.83	07/16/20	75.34	...	-7.51	39 of 45 - Sale ²⁵
	18.000	58.14	07/16/20	64.59	...	-6.45	40 of 45 - Sale ²⁵
	4.000	12.92	07/16/20	17.41	...	-4.49	41 of 45 - Sale ²⁵
	1.000	3.23	07/16/20	5.13	...	-1.90	42 of 45 - Sale ²⁵
	1.000	3.23	07/16/20	4.33	...	-1.10	43 of 45 - Sale ²⁵
	1.000	3.23	07/16/20	3.70	...	-0.47	44 of 45 - Sale ²⁵
	1.000	3.23	07/16/20	3.68	...	-0.45	45 of 45 - Sale ²⁵
07/27/20	2,500.000	8,074.51	Various	9,632.97	...	-1,558.46	Total of 45 transactions
	Security total:	117,326.05		124,992.12	6,186.95 W	-1,479.12	

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	600.000	463.12	12/23/20	648.00	184.88 W	0.00	1 of 4 - Sale ²⁵
	1,000.000	771.86	12/23/20	1,080.00	308.14 W	0.00	2 of 4 - Sale ²⁵
	2,000.000	1,543.72	12/23/20	2,160.00	616.28 W	0.00	3 of 4 - Sale ²⁵
	400.000	308.75	12/23/20	432.00	123.25 W	0.00	4 of 4 - Sale ²⁵
12/23/20	4,000.000	3,087.45	Various	4,320.00	1,232.55 W	0.00	Total of 4 transactions
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E403 / Symbol:							
8 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1,000.000	5,599.75	07/28/20	6,355.00	...	-755.25	1 of 8 - Sale ²⁵
	600.000	3,359.85	07/28/20	3,813.00	...	-453.15	2 of 8 - Sale ²⁵
	80.000	447.98	07/28/20	573.22	...	-125.24	3 of 8 - Sale ²⁵
	89.000	498.39	07/28/20	565.59	...	-67.20	4 of 8 - Sale ²⁵
	10.000	56.00	07/28/20	71.65	...	-15.65	5 of 8 - Sale ²⁵
	5.000	28.00	07/28/20	31.78	...	-3.78	6 of 8 - Sale ²⁵
	5.000	28.00	07/28/20	31.77	...	-3.77	7 of 8 - Sale ²⁵
	1.000	5.60	07/28/20	6.36	...	-0.76	8 of 8 - Sale ²⁵
07/29/20	1,790.000	10,023.57	Various	11,448.37	...	-1,424.80	Total of 8 transactions
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	200.000	1,119.95	07/28/20	1,271.00	61.18 W	-89.87	1 of 2 - Sale ²⁵
	10.000	56.00	07/28/20	63.55	7.55 W	0.00	2 of 2 - Sale ²⁵
07/29/20	210.000	1,175.95	Various	1,334.55	68.73 W	-89.87	Total of 2 transactions
07/30/20	1.000	5.67	07/29/20	7.30	...	-1.63	Sale ²⁵
	Security total:	11,205.19		12,790.22	68.73 W	-1,516.30	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
9 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	100.000	3,410.91	02/26/20	3,948.00	537.09 W	0.00	1 of 9 - Sale ²⁵
	710.000	24,210.38	02/26/20	28,030.80	3,820.42 W	0.00	2 of 9 - Sale ²⁵
	100.000	3,816.91	02/27/20	4,209.09	392.18 W	0.00	3 of 9 - Sale ²⁵
	85.000	3,244.37	02/27/20	3,578.57	334.20 W	0.00	4 of 9 - Sale ²⁵
	15.000	572.53	02/27/20	631.66	59.13 W	0.00	5 of 9 - Sale ²⁵
	85.000	3,243.52	02/27/20	3,579.43	335.91 W	0.00	6 of 9 - Sale ²⁵

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(continued)

03/22/2021 AMENDED

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	1.000	38.16	02/27/20	42.11	3.95 W	0.00	7 of 9 - Sale ²⁵
	14.000	534.23	02/27/20	589.69	55.46 W	0.00	8 of 9 - Sale ²⁵
	510.000	19,461.10	02/27/20	21,481.65	2,020.55 W	0.00	9 of 9 - Sale ²⁵
02/27/20	1,620.000	58,532.11	Various	66,091.00	7,558.89 W	0.00	Total of 9 transactions
02/28/20	149.000	5,771.58	02/28/20	5,592.23	...	179.35	Sale ²⁵
	22 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	1.000	38.74	02/27/20	43.10	4.36 W	0.00	1 of 22 - Sale ²⁵
	1.000	39.26	02/28/20	41.89	2.63 W	0.00	2 of 22 - Sale ²⁵
	1.000	39.26	02/28/20	43.48	4.22 W	0.00	3 of 22 - Sale ²⁵
	99.000	3,886.84	02/28/20	4,303.52	416.68 W	0.00	4 of 22 - Sale ²⁵
	1.000	39.26	02/28/20	43.49	4.23 W	0.00	5 of 22 - Sale ²⁵
	1.000	39.26	02/28/20	43.51	4.25 W	0.00	6 of 22 - Sale ²⁵
	14.000	549.65	02/28/20	609.13	59.48 W	0.00	7 of 22 - Sale ²⁵
	15.000	588.92	02/28/20	652.35	63.43 W	0.00	8 of 22 - Sale ²⁵
	84.000	3,297.92	02/28/20	3,652.31	354.39 W	0.00	9 of 22 - Sale ²⁵
	85.000	3,337.19	02/28/20	3,697.50	360.31 W	0.00	10 of 22 - Sale ²⁵
	96.000	3,769.06	02/28/20	4,176.96	407.90 W	0.00	11 of 22 - Sale ²⁵
	413.000	16,214.79	02/28/20	17,973.74	1,758.95 W	0.00	12 of 22 - Sale ²⁵
	1.000	39.26	02/28/20	39.55	0.29 W	0.00	13 of 22 - Sale ²⁵
	90.000	3,533.49	02/28/20	3,540.60	7.11 W	0.00	14 of 22 - Sale ²⁵
	1.000	38.74	02/28/20	42.90	4.16 W	0.00	15 of 22 - Sale ²⁵
	14.000	542.30	02/28/20	600.81	58.51 W	0.00	16 of 22 - Sale ²⁵
	15.000	581.03	02/28/20	643.43	62.40 W	0.00	17 of 22 - Sale ²⁵
	85.000	3,292.52	02/28/20	3,645.26	352.74 W	0.00	18 of 22 - Sale ²⁵
	85.000	3,292.52	02/28/20	3,646.97	354.45 W	0.00	19 of 22 - Sale ²⁵
	99.000	3,834.82	02/28/20	4,244.67	409.85 W	0.00	20 of 22 - Sale ²⁵
	510.000	19,755.11	02/28/20	21,886.89	2,131.78 W	0.00	21 of 22 - Sale ²⁵
	1.000	38.74	02/28/20	38.95	0.21 W	0.00	22 of 22 - Sale ²⁵
02/28/20	1,712.000	66,788.68	Various	73,611.01	6,822.33 W	0.00	Total of 22 transactions
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	1.000	39.99	03/03/20	38.68	...	1.31	1 of 2 - Sale ²⁵
	89.000	3,559.02	03/03/20	3,423.73	...	135.29	2 of 2 - Sale ²⁵
03/04/20	90.000	3,599.01	Various	3,462.41	...	136.60	Total of 2 transactions

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
12 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	03/03/20	41.02	1.03 W	0.00	1 of 12 - Sale ²⁵
	1.000	39.99	03/03/20	42.61	2.62 W	0.00	2 of 12 - Sale ²⁵
	1.000	39.99	03/03/20	42.62	2.63 W	0.00	3 of 12 - Sale ²⁵
	12.000	479.87	03/03/20	511.66	31.79 W	0.00	4 of 12 - Sale ²⁵
	15.000	599.83	03/03/20	639.28	39.45 W	0.00	5 of 12 - Sale ²⁵
	84.000	3,359.07	03/03/20	3,579.14	220.07 W	0.00	6 of 12 - Sale ²⁵
	85.000	3,399.06	03/03/20	3,623.45	224.39 W	0.00	7 of 12 - Sale ²⁵
	99.000	3,958.91	03/03/20	4,217.28	258.37 W	0.00	8 of 12 - Sale ²⁵
	1.000	39.99	03/03/20	42.64	2.65 W	0.00	9 of 12 - Sale ²⁵
	2.000	79.98	03/03/20	85.28	5.30 W	0.00	10 of 12 - Sale ²⁵
	96.000	3,838.94	03/03/20	4,093.33	254.39 W	0.00	11 of 12 - Sale ²⁵
	413.000	16,515.45	03/03/20	17,613.98	1,098.53 W	0.00	12 of 12 - Sale ²⁵
03/04/20	810.000	32,391.07	Various	34,532.29	2,141.22 W	0.00	Total of 12 transactions
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	100.000	3,199.92	03/13/20	3,190.01	...	9.91	1 of 3 - Sale ²⁵
	100.000	3,179.13	03/13/20	3,149.00	...	30.13	2 of 3 - Sale ²⁵
	100.000	3,217.90	03/13/20	3,180.00	...	37.90	3 of 3 - Sale ²⁵
03/13/20	300.000	9,596.95	Various	9,519.01	...	77.94	Total of 3 transactions
85 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	31.53	03/06/20	38.44	6.91 W	0.00	1 of 85 - Sale ²⁵
	99.000	3,121.39	03/06/20	3,961.89	840.50 W	0.00	2 of 85 - Sale ²⁵
	1.000	31.53	03/06/20	40.03	8.50 W	0.00	3 of 85 - Sale ²⁵
	1.000	31.53	03/06/20	40.04	8.51 W	0.00	4 of 85 - Sale ²⁵
	2.000	63.06	03/06/20	80.12	17.06 W	0.00	5 of 85 - Sale ²⁵
	12.000	378.35	03/06/20	480.70	102.35 W	0.00	6 of 85 - Sale ²⁵
	15.000	472.94	03/06/20	600.59	127.65 W	0.00	7 of 85 - Sale ²⁵
	84.000	2,648.45	03/06/20	3,362.45	714.00 W	0.00	8 of 85 - Sale ²⁵
	85.000	2,679.98	03/06/20	3,404.18	724.20 W	0.00	9 of 85 - Sale ²⁵
	1.000	31.51	03/06/20	40.06	8.55 W	0.00	10 of 85 - Sale ²⁵
	96.000	3,024.88	03/06/20	3,845.68	820.80 W	0.00	11 of 85 - Sale ²⁵
	413.000	13,013.29	03/06/20	16,548.57	3,535.28 W	0.00	12 of 85 - Sale ²⁵
	1.000	31.51	03/06/20	37.49	5.98 W	0.00	13 of 85 - Sale ²⁵

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	89.000	2,804.32	03/06/20	3,329.43	525.11 W	0.00	14 of 85 - Sale ²⁵
	1.000	31.51	03/06/20	43.96	12.45 W	0.00	15 of 85 - Sale ²⁵
	1.000	31.51	03/06/20	45.55	14.04 W	0.00	16 of 85 - Sale ²⁵
	10.000	315.09	03/06/20	455.45	140.36 W	0.00	17 of 85 - Sale ²⁵
	99.000	3,119.41	03/06/20	4,508.03	1,388.62 W	0.00	18 of 85 - Sale ²⁵
	11.000	349.70	03/13/20	439.89	90.19 W	0.00	19 of 85 - Sale ²⁵
	15.000	476.87	03/13/20	599.70	122.83 W	0.00	20 of 85 - Sale ²⁵
	74.000	2,352.55	03/13/20	2,957.78	605.23 W	0.00	21 of 85 - Sale ²⁵
	1.000	31.79	03/13/20	39.98	8.19 W	0.00	22 of 85 - Sale ²⁵
	1.000	31.79	03/13/20	40.02	8.23 W	0.00	23 of 85 - Sale ²⁵
	2.000	63.58	03/13/20	80.00	16.42 W	0.00	24 of 85 - Sale ²⁵
	10.000	317.91	03/13/20	400.20	82.29 W	0.00	25 of 85 - Sale ²⁵
	12.000	381.50	03/13/20	479.99	98.49 W	0.00	26 of 85 - Sale ²⁵
	74.000	2,352.55	03/13/20	2,959.26	606.71 W	0.00	27 of 85 - Sale ²⁵
	14.000	445.08	03/13/20	560.42	115.34 W	0.00	28 of 85 - Sale ²⁵
	86.000	2,734.05	03/13/20	3,441.72	707.67 W	0.00	29 of 85 - Sale ²⁵
	100.000	3,179.13	03/13/20	4,003.00	823.87 W	0.00	30 of 85 - Sale ²⁵
	100.000	3,179.13	03/13/20	4,004.00	824.87 W	0.00	31 of 85 - Sale ²⁵
	100.000	3,179.13	03/13/20	4,004.00	824.87 W	0.00	32 of 85 - Sale ²⁵
	1.000	31.79	03/13/20	43.94	12.15 W	0.00	33 of 85 - Sale ²⁵
	1.000	31.79	03/13/20	45.53	13.74 W	0.00	34 of 85 - Sale ²⁵
	10.000	317.91	03/13/20	455.26	137.35 W	0.00	35 of 85 - Sale ²⁵
	99.000	3,147.34	03/13/20	4,506.13	1,358.79 W	0.00	36 of 85 - Sale ²⁵
	99.000	3,147.34	03/13/20	3,964.95	817.61 W	0.00	37 of 85 - Sale ²⁵
	1.000	31.79	03/13/20	37.47	5.68 W	0.00	38 of 85 - Sale ²⁵
	89.000	2,829.42	03/13/20	3,327.72	498.30 W	0.00	39 of 85 - Sale ²⁵
	1.000	32.00	03/13/20	44.05	12.05 W	0.00	40 of 85 - Sale ²⁵
	1.000	32.00	03/13/20	45.64	13.64 W	0.00	41 of 85 - Sale ²⁵
	10.000	319.99	03/13/20	456.35	136.36 W	0.00	42 of 85 - Sale ²⁵
	99.000	3,167.92	03/13/20	4,516.90	1,348.98 W	0.00	43 of 85 - Sale ²⁵
	1.000	32.00	03/13/20	40.09	8.09 W	0.00	44 of 85 - Sale ²⁵
	1.000	32.00	03/13/20	40.13	8.13 W	0.00	45 of 85 - Sale ²⁵
	2.000	64.00	03/13/20	80.22	16.22 W	0.00	46 of 85 - Sale ²⁵
	10.000	319.99	03/13/20	401.29	81.30 W	0.00	47 of 85 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
11.000		351.99	03/13/20	441.09	89.10 W	0.00	48 of 85 - Sale ²⁵
12.000		383.99	03/13/20	481.29	97.30 W	0.00	49 of 85 - Sale ²⁵
14.000		447.99	03/13/20	561.94	113.95 W	0.00	50 of 85 - Sale ²⁵
15.000		479.99	03/13/20	601.33	121.34 W	0.00	51 of 85 - Sale ²⁵
74.000		2,367.94	03/13/20	2,965.84	597.90 W	0.00	52 of 85 - Sale ²⁵
74.000		2,367.94	03/13/20	2,967.32	599.38 W	0.00	53 of 85 - Sale ²⁵
86.000		2,751.93	03/13/20	3,451.08	699.15 W	0.00	54 of 85 - Sale ²⁵
99.000		3,167.91	03/13/20	3,975.72	807.81 W	0.00	55 of 85 - Sale ²⁵
100.000		3,199.92	03/13/20	4,013.88	813.96 W	0.00	56 of 85 - Sale ²⁵
100.000		3,199.91	03/13/20	4,014.88	814.97 W	0.00	57 of 85 - Sale ²⁵
100.000		3,199.92	03/13/20	4,014.88	814.96 W	0.00	58 of 85 - Sale ²⁵
1.000		32.00	03/13/20	37.58	5.58 W	0.00	59 of 85 - Sale ²⁵
89.000		2,847.92	03/13/20	3,337.41	489.49 W	0.00	60 of 85 - Sale ²⁵
1.000		32.18	03/13/20	43.92	11.74 W	0.00	61 of 85 - Sale ²⁵
1.000		32.18	03/13/20	45.38	13.20 W	0.00	62 of 85 - Sale ²⁵
99.000		3,185.73	03/13/20	4,491.74	1,306.01 W	0.00	63 of 85 - Sale ²⁵
10.000		321.79	03/13/20	454.06	132.27 W	0.00	64 of 85 - Sale ²⁵
1.000		32.18	03/13/20	39.87	7.69 W	0.00	65 of 85 - Sale ²⁵
15.000		482.69	03/13/20	597.89	115.20 W	0.00	66 of 85 - Sale ²⁵
74.000		2,381.25	03/13/20	2,948.88	567.63 W	0.00	67 of 85 - Sale ²⁵
1.000		32.18	03/13/20	39.87	7.69 W	0.00	68 of 85 - Sale ²⁵
1.000		32.18	03/13/20	39.91	7.73 W	0.00	69 of 85 - Sale ²⁵
2.000		64.36	03/13/20	79.78	15.42 W	0.00	70 of 85 - Sale ²⁵
10.000		321.79	03/13/20	398.80	77.01 W	0.00	71 of 85 - Sale ²⁵
12.000		386.15	03/13/20	478.66	92.51 W	0.00	72 of 85 - Sale ²⁵
74.000		2,381.25	03/13/20	2,951.10	569.85 W	0.00	73 of 85 - Sale ²⁵
4.000		128.72	03/13/20	159.68	30.96 W	0.00	74 of 85 - Sale ²⁵
10.000		321.79	03/13/20	399.10	77.31 W	0.00	75 of 85 - Sale ²⁵
86.000		2,767.40	03/13/20	3,432.23	664.83 W	0.00	76 of 85 - Sale ²⁵
10.000		321.79	03/13/20	399.19	77.40 W	0.00	77 of 85 - Sale ²⁵
90.000		2,896.11	03/13/20	3,592.76	696.65 W	0.00	78 of 85 - Sale ²⁵
4.000		128.72	03/13/20	159.72	31.00 W	0.00	79 of 85 - Sale ²⁵
6.000		193.07	03/13/20	239.64	46.57 W	0.00	80 of 85 - Sale ²⁵
99.000		3,185.73	03/13/20	3,956.01	770.28 W	0.00	81 of 85 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	100.000	3,217.90	03/13/20	3,994.97	777.07 W	0.00	82 of 85 - Sale ²⁵
	100.000	3,217.90	03/13/20	3,994.96	777.06 W	0.00	83 of 85 - Sale ²⁵
	1.000	32.18	03/13/20	37.38	5.20 W	0.00	84 of 85 - Sale ²⁵
	89.000	2,863.94	03/13/20	3,319.69	455.75 W	0.00	85 of 85 - Sale ²⁵
03/13/20	3,711.000	118,234.34	Various	150,037.72	31,803.38 W	0.00	Total of 85 transactions
03/16/20	100.000	3,049.92	03/16/20	3,045.00	...	4.92	Sale ²⁵
63 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	1.000	30.05	03/13/20	43.54	13.49 W	0.00	1 of 63 - Sale ²⁵
	99.000	2,974.87	03/16/20	4,271.06	1,296.19 W	0.00	2 of 63 - Sale ²⁵
	1.000	30.05	03/16/20	43.15	13.10 W	0.00	3 of 63 - Sale ²⁵
	10.000	300.49	03/16/20	431.77	131.28 W	0.00	4 of 63 - Sale ²⁵
	1.000	30.05	03/16/20	37.64	7.59 W	0.00	5 of 63 - Sale ²⁵
	1.000	30.05	03/16/20	37.64	7.59 W	0.00	6 of 63 - Sale ²⁵
	1.000	30.05	03/16/20	37.68	7.63 W	0.00	7 of 63 - Sale ²⁵
	2.000	60.10	03/16/20	75.32	15.22 W	0.00	8 of 63 - Sale ²⁵
	10.000	300.49	03/16/20	376.51	76.02 W	0.00	9 of 63 - Sale ²⁵
	10.000	300.49	03/16/20	376.81	76.32 W	0.00	10 of 63 - Sale ²⁵
	12.000	360.59	03/16/20	451.91	91.32 W	0.00	11 of 63 - Sale ²⁵
	15.000	450.74	03/16/20	564.45	113.71 W	0.00	12 of 63 - Sale ²⁵
	55.000	1,652.71	03/16/20	2,072.43	419.72 W	0.00	13 of 63 - Sale ²⁵
	74.000	2,223.65	03/16/20	2,783.93	560.28 W	0.00	14 of 63 - Sale ²⁵
	74.000	2,223.65	03/16/20	2,786.15	562.50 W	0.00	15 of 63 - Sale ²⁵
	4.000	120.20	03/16/20	150.76	30.56 W	0.00	16 of 63 - Sale ²⁵
	4.000	120.20	03/16/20	150.80	30.60 W	0.00	17 of 63 - Sale ²⁵
	6.000	180.30	03/16/20	226.27	45.97 W	0.00	18 of 63 - Sale ²⁵
	10.000	300.49	03/16/20	376.90	76.41 W	0.00	19 of 63 - Sale ²⁵
	31.000	931.53	03/16/20	1,168.10	236.57 W	0.00	20 of 63 - Sale ²⁵
	55.000	1,652.71	03/16/20	2,074.64	421.93 W	0.00	21 of 63 - Sale ²⁵
	90.000	2,704.44	03/16/20	3,392.15	687.71 W	0.00	22 of 63 - Sale ²⁵
	35.000	1,051.73	03/16/20	1,320.22	268.49 W	0.00	23 of 63 - Sale ²⁵
	45.000	1,352.22	03/16/20	1,697.43	345.21 W	0.00	24 of 63 - Sale ²⁵
	35.000	1,051.73	03/16/20	1,320.57	268.84 W	0.00	25 of 63 - Sale ²⁵
	65.000	1,953.20	03/16/20	2,451.84	498.64 W	0.00	26 of 63 - Sale ²⁵
	1.000	30.05	03/16/20	43.44	13.39 W	0.00	27 of 63 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
64.000		1,923.15	03/16/20	2,414.76	491.61 W	0.00	28 of 63 - Sale ²⁵
1.000		30.05	03/16/20	35.15	5.10 W	0.00	29 of 63 - Sale ²⁵
89.000		2,674.39	03/16/20	3,121.30	446.91 W	0.00	30 of 63 - Sale ²⁵
98.000		2,944.83	03/16/20	4,218.20	1,273.37 W	0.00	31 of 63 - Sale ²⁵
1.000		30.05	03/16/20	43.04	12.99 W	0.00	32 of 63 - Sale ²⁵
1.000		30.50	03/16/20	43.05	12.55 W	0.00	33 of 63 - Sale ²⁵
10.000		304.99	03/16/20	435.48	130.49 W	0.00	34 of 63 - Sale ²⁵
1.000		30.50	03/16/20	38.01	7.51 W	0.00	35 of 63 - Sale ²⁵
15.000		457.49	03/16/20	570.01	112.52 W	0.00	36 of 63 - Sale ²⁵
74.000		2,256.94	03/16/20	2,811.36	554.42 W	0.00	37 of 63 - Sale ²⁵
1.000		30.50	03/16/20	38.04	7.54 W	0.00	38 of 63 - Sale ²⁵
1.000		30.50	03/16/20	38.08	7.58 W	0.00	39 of 63 - Sale ²⁵
2.000		61.00	03/16/20	76.12	15.12 W	0.00	40 of 63 - Sale ²⁵
4.000		122.00	03/16/20	152.36	30.36 W	0.00	41 of 63 - Sale ²⁵
4.000		122.00	03/16/20	152.40	30.40 W	0.00	42 of 63 - Sale ²⁵
6.000		183.00	03/16/20	228.67	45.67 W	0.00	43 of 63 - Sale ²⁵
10.000		304.99	03/16/20	380.52	75.53 W	0.00	44 of 63 - Sale ²⁵
10.000		304.99	03/16/20	380.82	75.83 W	0.00	45 of 63 - Sale ²⁵
10.000		304.99	03/16/20	380.91	75.92 W	0.00	46 of 63 - Sale ²⁵
12.000		365.99	03/16/20	456.72	90.73 W	0.00	47 of 63 - Sale ²⁵
31.000		945.48	03/16/20	1,180.52	235.04 W	0.00	48 of 63 - Sale ²⁵
35.000		1,067.47	03/16/20	1,334.24	266.77 W	0.00	49 of 63 - Sale ²⁵
45.000		1,372.46	03/16/20	1,715.46	343.00 W	0.00	50 of 63 - Sale ²⁵
55.000		1,677.46	03/16/20	2,094.47	417.01 W	0.00	51 of 63 - Sale ²⁵
55.000		1,677.45	03/16/20	2,096.68	419.23 W	0.00	52 of 63 - Sale ²⁵
55.000		1,677.46	03/16/20	2,096.68	419.22 W	0.00	53 of 63 - Sale ²⁵
74.000		2,256.94	03/16/20	2,815.80	558.86 W	0.00	54 of 63 - Sale ²⁵
90.000		2,744.93	03/16/20	3,428.21	683.28 W	0.00	55 of 63 - Sale ²⁵
1.000		30.50	03/16/20	43.84	13.34 W	0.00	56 of 63 - Sale ²⁵
1.000		30.50	03/16/20	43.44	12.94 W	0.00	57 of 63 - Sale ²⁵
98.000		2,988.92	03/16/20	4,257.47	1,268.55 W	0.00	58 of 63 - Sale ²⁵
10.000		304.99	03/16/20	381.21	76.22 W	0.00	59 of 63 - Sale ²⁵
35.000		1,067.47	03/16/20	1,334.59	267.12 W	0.00	60 of 63 - Sale ²⁵
64.000		1,951.95	03/16/20	2,440.41	488.46 W	0.00	61 of 63 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	1.000	30.50	03/16/20	35.55	5.05 W	0.00	62 of 63 - Sale ²⁵
	89.000	2,714.42	03/16/20	3,156.96	442.54 W	0.00	63 of 63 - Sale ²⁵
03/16/20	1,900.000	57,498.58	Various	73,233.64	15,735.06 W	0.00	Total of 63 transactions
03/17/20	99.000	3,078.82	03/17/20	2,976.70	...	102.12	Sale ²⁵
	36 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	31.10	03/16/20	43.00	11.90 W	0.00	1 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	43.41	12.31 W	0.00	2 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	43.01	11.91 W	0.00	3 of 36 - Sale ²⁵
	10.000	310.99	03/17/20	431.17	120.18 W	0.00	4 of 36 - Sale ²⁵
	98.000	3,047.72	03/17/20	4,215.19	1,167.47 W	0.00	5 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	37.58	6.48 W	0.00	6 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	37.61	6.51 W	0.00	7 of 36 - Sale ²⁵
	2.000	62.20	03/17/20	75.26	13.06 W	0.00	8 of 36 - Sale ²⁵
	10.000	310.99	03/17/20	376.21	65.22 W	0.00	9 of 36 - Sale ²⁵
	12.000	373.19	03/17/20	451.54	78.35 W	0.00	10 of 36 - Sale ²⁵
	15.000	466.49	03/17/20	563.54	97.05 W	0.00	11 of 36 - Sale ²⁵
	74.000	2,301.34	03/17/20	2,779.44	478.10 W	0.00	12 of 36 - Sale ²⁵
	74.000	2,301.33	03/17/20	2,783.88	482.55 W	0.00	13 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	41.97	10.87 W	0.00	14 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	37.65	6.55 W	0.00	15 of 36 - Sale ²⁵
	2.000	62.20	03/17/20	75.32	13.12 W	0.00	16 of 36 - Sale ²⁵
	10.000	310.99	03/17/20	376.51	65.52 W	0.00	17 of 36 - Sale ²⁵
	31.000	964.07	03/17/20	1,167.14	203.07 W	0.00	18 of 36 - Sale ²⁵
	55.000	1,710.46	03/17/20	2,070.74	360.28 W	0.00	19 of 36 - Sale ²⁵
	2.000	62.20	03/17/20	75.31	13.11 W	0.00	20 of 36 - Sale ²⁵
	10.000	310.99	03/17/20	376.60	65.61 W	0.00	21 of 36 - Sale ²⁵
	63.000	1,959.24	03/17/20	2,372.57	413.33 W	0.00	22 of 36 - Sale ²⁵
	4.000	124.40	03/17/20	150.67	26.27 W	0.00	23 of 36 - Sale ²⁵
	6.000	186.59	03/17/20	226.08	39.49 W	0.00	24 of 36 - Sale ²⁵
	33.000	1,026.27	03/17/20	1,243.77	217.50 W	0.00	25 of 36 - Sale ²⁵
	55.000	1,710.46	03/17/20	2,072.96	362.50 W	0.00	26 of 36 - Sale ²⁵
	27.000	839.68	03/17/20	1,016.81	177.13 W	0.00	27 of 36 - Sale ²⁵
	10.000	310.99	03/17/20	376.90	65.91 W	0.00	28 of 36 - Sale ²⁵
	35.000	1,088.47	03/17/20	1,319.14	230.67 W	0.00	29 of 36 - Sale ²⁵

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Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	35.000	1,088.47	03/17/20	1,319.49	231.02 W	0.00	30 of 36 - Sale ²⁵
	12.000	373.19	03/17/20	452.28	79.09 W	0.00	31 of 36 - Sale ²⁵
	55.000	1,710.45	03/17/20	2,072.95	362.50 W	0.00	32 of 36 - Sale ²⁵
	64.000	1,990.35	03/17/20	2,412.79	422.44 W	0.00	33 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	35.12	4.02 W	0.00	34 of 36 - Sale ²⁵
	88.000	2,736.73	03/17/20	3,083.53	346.80 W	0.00	35 of 36 - Sale ²⁵
	1.000	31.10	03/17/20	35.04	3.94 W	0.00	36 of 36 - Sale ²⁵
03/17/20	901.000	28,020.35	Various	34,292.18	6,271.83 W	0.00	Total of 36 transactions
03/18/20	1.000	27.54	03/17/20	30.07	2.53 W	0.00	Sale ²⁵
	38 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	1.000	26.20	03/18/20	42.13	15.93 W	0.00	1 of 38 - Sale ²⁵
	1.000	26.20	03/18/20	40.69	14.49 W	0.00	2 of 38 - Sale ²⁵
	10.000	261.99	03/18/20	418.36	156.37 W	0.00	3 of 38 - Sale ²⁵
	88.000	2,305.54	03/18/20	3,672.33	1,366.79 W	0.00	4 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	41.73	16.39 W	0.00	5 of 38 - Sale ²⁵
	10.000	253.39	03/18/20	417.31	163.92 W	0.00	6 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	36.30	10.96 W	0.00	7 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	36.33	10.99 W	0.00	8 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	36.37	11.03 W	0.00	9 of 38 - Sale ²⁵
	2.000	50.68	03/18/20	72.70	22.02 W	0.00	10 of 38 - Sale ²⁵
	2.000	50.68	03/18/20	72.76	22.08 W	0.00	11 of 38 - Sale ²⁵
	2.000	50.68	03/18/20	72.75	22.07 W	0.00	12 of 38 - Sale ²⁵
	4.000	101.36	03/18/20	145.54	44.18 W	0.00	13 of 38 - Sale ²⁵
	6.000	152.04	03/18/20	218.40	66.36 W	0.00	14 of 38 - Sale ²⁵
	10.000	253.39	03/18/20	363.40	110.01 W	0.00	15 of 38 - Sale ²⁵
	10.000	253.39	03/18/20	363.70	110.31 W	0.00	16 of 38 - Sale ²⁵
	10.000	253.39	03/18/20	363.79	110.40 W	0.00	17 of 38 - Sale ²⁵
	10.000	253.39	03/18/20	364.09	110.70 W	0.00	18 of 38 - Sale ²⁵
	12.000	304.07	03/18/20	436.17	132.10 W	0.00	19 of 38 - Sale ²⁵
	12.000	304.07	03/18/20	436.91	132.84 W	0.00	20 of 38 - Sale ²⁵
	15.000	380.09	03/18/20	544.32	164.23 W	0.00	21 of 38 - Sale ²⁵
	27.000	684.16	03/18/20	982.22	298.06 W	0.00	22 of 38 - Sale ²⁵
	31.000	785.52	03/18/20	1,127.43	341.91 W	0.00	23 of 38 - Sale ²⁵
	33.000	836.20	03/18/20	1,201.50	365.30 W	0.00	24 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	35.000	886.88	03/18/20	1,274.30	387.42 W	0.00	25 of 38 - Sale ²⁵
	35.000	886.88	03/18/20	1,274.65	387.77 W	0.00	26 of 38 - Sale ²⁵
	55.000	1,393.66	03/18/20	2,000.27	606.61 W	0.00	27 of 38 - Sale ²⁵
	55.000	1,393.66	03/18/20	2,002.49	608.83 W	0.00	28 of 38 - Sale ²⁵
	55.000	1,393.66	03/18/20	2,002.49	608.83 W	0.00	29 of 38 - Sale ²⁵
	63.000	1,596.38	03/18/20	2,291.87	695.49 W	0.00	30 of 38 - Sale ²⁵
	64.000	1,621.71	03/18/20	2,330.80	709.09 W	0.00	31 of 38 - Sale ²⁵
	74.000	1,875.11	03/18/20	2,684.64	809.53 W	0.00	32 of 38 - Sale ²⁵
	74.000	1,875.11	03/18/20	2,689.09	813.98 W	0.00	33 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	33.84	8.50 W	0.00	34 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	33.76	8.42 W	0.00	35 of 38 - Sale ²⁵
	88.000	2,229.86	03/18/20	2,970.79	740.93 W	0.00	36 of 38 - Sale ²⁵
	1.000	25.34	03/18/20	32.35	7.01 W	0.00	37 of 38 - Sale ²⁵
	99.000	2,508.59	03/18/20	2,951.99	443.40 W	0.00	38 of 38 - Sale ²⁵
03/19/20	1,000.000	25,425.31	Various	36,080.56	10,655.25 W	0.00	Total of 38 transactions
45 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.61	03/18/20	45.62	...	-43.01	1 of 45 - Sale ²⁵
	72.000	187.91	04/03/20	1,514.28	...	-1,326.37	2 of 45 - Sale ²⁵
	73.000	190.52	04/03/20	1,204.48	...	-1,013.96	3 of 45 - Sale ²⁵
	88.000	229.66	04/03/20	1,224.93	...	-995.27	4 of 45 - Sale ²⁵
	65.000	169.64	04/03/20	1,068.57	...	-898.93	5 of 45 - Sale ²⁵
	64.000	167.02	04/03/20	1,061.09	...	-894.07	6 of 45 - Sale ²⁵
	63.000	164.42	04/03/20	1,041.99	...	-877.57	7 of 45 - Sale ²⁵
	55.000	143.54	04/03/20	911.33	...	-767.79	8 of 45 - Sale ²⁵
	55.000	143.54	04/03/20	911.33	...	-767.79	9 of 45 - Sale ²⁵
	55.000	143.54	04/03/20	909.11	...	-765.57	10 of 45 - Sale ²⁵
	99.000	258.37	04/03/20	987.90	...	-729.53	11 of 45 - Sale ²⁵
	35.000	91.34	04/03/20	580.27	...	-488.93	12 of 45 - Sale ²⁵
	35.000	91.34	04/03/20	579.92	...	-488.58	13 of 45 - Sale ²⁵
	33.000	86.12	04/03/20	546.80	...	-460.68	14 of 45 - Sale ²⁵
	31.000	80.90	04/03/20	512.41	...	-431.51	15 of 45 - Sale ²⁵
	16.000	41.76	04/03/20	336.51	...	-294.75	16 of 45 - Sale ²⁵
	21.000	54.81	04/03/20	347.32	...	-292.51	17 of 45 - Sale ²⁵
	15.000	39.15	04/03/20	246.73	...	-207.58	18 of 45 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	10.000	26.10	04/03/20	218.92	...	-192.82	19 of 45 - Sale ²⁵
	10.000	26.10	04/03/20	211.37	...	-185.27	20 of 45 - Sale ²⁵
	12.000	31.32	04/03/20	198.10	...	-166.78	21 of 45 - Sale ²⁵
	10.000	26.10	04/03/20	165.70	...	-139.60	22 of 45 - Sale ²⁵
	10.000	26.10	04/03/20	165.70	...	-139.60	23 of 45 - Sale ²⁵
	10.000	26.10	04/03/20	165.40	...	-139.30	24 of 45 - Sale ²⁵
	10.000	26.10	04/03/20	165.31	...	-139.21	25 of 45 - Sale ²⁵
	10.000	26.10	04/03/20	165.01	...	-138.91	26 of 45 - Sale ²⁵
	8.000	20.88	04/03/20	131.52	...	-110.64	27 of 45 - Sale ²⁵
	6.000	15.66	04/03/20	99.36	...	-83.70	28 of 45 - Sale ²⁵
	6.000	15.66	04/03/20	99.24	...	-83.58	29 of 45 - Sale ²⁵
	4.000	10.44	04/03/20	66.18	...	-55.74	30 of 45 - Sale ²⁵
	2.000	5.22	04/03/20	33.14	...	-27.92	31 of 45 - Sale ²⁵
	2.000	5.22	04/03/20	33.08	...	-27.86	32 of 45 - Sale ²⁵
	2.000	5.22	04/03/20	33.07	...	-27.85	33 of 45 - Sale ²⁵
	2.000	5.22	04/03/20	33.02	...	-27.80	34 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	21.89	...	-19.28	35 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	19.99	...	-17.38	36 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	16.53	...	-13.92	37 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	16.50	...	-13.89	38 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	16.49	...	-13.88	39 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	16.46	...	-13.85	40 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	16.44	...	-13.83	41 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	14.00	...	-11.39	42 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	13.92	...	-11.31	43 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	12.51	...	-9.90	44 of 45 - Sale ²⁵
	1.000	2.61	04/03/20	5.50	...	-2.89	45 of 45 - Sale ²⁵
05/20/20	1,001.000	2,612.44	Various	16,184.94	...	-13,572.50	Total of 45 transactions
	Security total:	414,626.70		508,688.76	80,990.49 W	-13,071.57	

MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:

23 transactions for 06/24/20. Total proceeds and cost reported to the IRS.

100.000	240.98	03/27/20	192.00	...	48.98	1 of 23 - Sale ²⁵
100.000	240.99	03/27/20	192.00	...	48.99	2 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	240.99	03/27/20	192.00	...	48.99	3 of 23 - Sale ²⁵
	100.000	240.98	03/27/20	188.50	...	52.48	4 of 23 - Sale ²⁵
	100.000	240.99	03/27/20	188.50	...	52.49	5 of 23 - Sale ²⁵
	124.000	298.83	03/27/20	238.08	...	60.75	6 of 23 - Sale ²⁵
	200.000	481.98	03/27/20	384.00	...	97.98	7 of 23 - Sale ²⁵
	200.000	481.98	03/27/20	384.00	...	97.98	8 of 23 - Sale ²⁵
	300.000	722.94	03/27/20	576.00	...	146.94	9 of 23 - Sale ²⁵
	300.000	722.94	03/27/20	576.00	...	146.94	10 of 23 - Sale ²⁵
	300.000	722.94	03/27/20	567.00	...	155.94	11 of 23 - Sale ²⁵
	500.000	1,204.91	03/27/20	960.00	...	244.91	12 of 23 - Sale ²⁵
	500.000	1,204.91	03/27/20	960.00	...	244.91	13 of 23 - Sale ²⁵
	500.000	1,204.91	03/27/20	945.00	...	259.91	14 of 23 - Sale ²⁵
	800.000	1,927.85	03/27/20	1,536.00	...	391.85	15 of 23 - Sale ²⁵
	2,600.000	6,265.55	03/27/20	4,992.00	...	1,273.55	16 of 23 - Sale ²⁵
	4,176.000	10,063.44	03/27/20	8,017.92	...	2,045.52	17 of 23 - Sale ²⁵
	2,450.000	5,904.07	05/13/20	3,568.92	...	2,335.15	18 of 23 - Sale ²⁵
	1.000	2.41	05/14/20	1.52	...	0.89	19 of 23 - Sale ²⁵
	1.000	2.41	05/29/20	1.72	...	0.69	20 of 23 - Sale ²⁵
	12.000	28.92	05/29/20	20.58	...	8.34	21 of 23 - Sale ²⁵
	85.000	204.84	05/29/20	144.93	...	59.91	22 of 23 - Sale ²⁵
	100.000	240.98	05/29/20	170.50	...	70.48	23 of 23 - Sale ²⁵
06/24/20	13,649.000	32,891.74	Various	24,997.17	...	7,894.57	Total of 23 transactions
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
8 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	20.000	3,391.92	02/26/19	2,241.52	...	1,150.40	1 of 8 - Sale ²⁵
	4.000	678.38	03/04/19	446.36	...	232.02	2 of 8 - Sale ²⁵
	50.000	8,479.81	03/04/19	5,577.47	...	2,902.34	3 of 8 - Sale ²⁵
	1.000	169.60	06/26/19	133.92	...	35.68	4 of 8 - Sale ²⁵
	25.000	4,239.90	01/10/20	4,071.25	...	168.65	5 of 8 - Sale ²⁵
	49.000	8,307.77	02/11/20	9,284.52	...	-976.75	6 of 8 - Sale ²⁵
	23.000	3,899.57	02/11/20	4,357.98	...	-458.41	7 of 8 - Sale ²⁵
	15.000	2,543.19	02/11/20	2,835.83	...	-292.64	8 of 8 - Sale ²⁵
02/24/20	187.000	31,710.14	Various	28,948.85	...	2,761.29	Total of 8 transactions

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(continued)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
02/24/20	11.000	1,865.01	01/31/20	1,878.58	2.46 W	-11.11	Sale ²⁵
03/13/20	1.000	158.42	03/13/20	147.63	...	10.79	Sale ²⁵
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	149.71	03/16/20	148.37	...	1.34	1 of 2 - Sale ²⁵
	1.000	149.98	03/27/20	149.84	...	0.14	2 of 2 - Sale ²⁵
03/27/20	2.000	299.69	Various	298.21	...	1.48	Total of 2 transactions
06/26/20	100.000	19,739.55	06/24/20	19,739.44	...	0.11	Sale ²⁵
	Security total:	53,772.81		51,012.71	2.46 W	2,762.56	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	61.000	1,829.33	03/20/20	1,682.99	...	146.34	1 of 3 - Sale ²⁵
	200.000	5,997.84	03/20/20	5,518.00	...	479.84	2 of 3 - Sale ²⁵
	800.000	23,991.37	03/23/20	22,167.92	...	1,823.45	3 of 3 - Sale ²⁵
03/27/20	1,061.000	31,818.54	Various	29,368.91	...	2,449.63	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	15.20	07/08/20	14.51	...	0.69	1 of 3 - Sale ²⁵
	28.000	425.60	07/08/20	347.20	...	78.40	2 of 3 - Sale ²⁵
	172.000	2,614.32	07/08/20	2,132.78	...	481.54	3 of 3 - Sale ²⁵
07/13/20	201.000	3,055.12	Various	2,494.49	...	560.63	Total of 3 transactions
5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	301.000	4,575.06	07/10/20	4,689.58	114.52 W	0.00	1 of 5 - Sale ²⁵
	100.000	1,519.95	07/10/20	1,558.00	38.05 W	0.00	2 of 5 - Sale ²⁵
	1,000.000	15,199.55	07/10/20	15,580.00	380.45 W	0.00	3 of 5 - Sale ²⁵
	100.000	1,519.95	07/10/20	1,558.00	38.05 W	0.00	4 of 5 - Sale ²⁵
	298.000	4,529.47	07/10/20	4,642.84	113.37 W	0.00	5 of 5 - Sale ²⁵
07/13/20	1,799.000	27,343.98	Various	28,028.42	684.44 W	0.00	Total of 5 transactions
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	401.000	5,393.28	07/13/20	6,404.15	...	-1,010.87	1 of 4 - Sale ²⁵
	298.000	4,007.97	07/13/20	4,759.19	...	-751.22	2 of 4 - Sale ²⁵
	100.000	1,344.96	07/13/20	1,597.05	...	-252.09	3 of 4 - Sale ²⁵

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Account 145752226

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	100.000	1,344.96	07/13/20	1,559.00	...	-214.04	4 of 4 - Sale ²⁵
07/15/20	899.000	12,091.17	Various	14,319.39	...	-2,228.22	Total of 4 transactions
	12 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	13.44	07/10/20	15.96	2.52 W	0.00	1 of 12 - Sale ²⁵
	100.000	1,343.98	07/10/20	1,490.80	146.82 W	0.00	2 of 12 - Sale ²⁵
	100.000	1,343.98	07/13/20	1,597.05	253.07 W	0.00	3 of 12 - Sale ²⁵
	200.000	2,687.96	07/13/20	3,194.09	506.13 W	0.00	4 of 12 - Sale ²⁵
	599.000	8,050.42	07/13/20	9,566.30	1,515.88 W	0.00	5 of 12 - Sale ²⁵
	1.000	13.46	07/13/20	18.11	4.65 W	0.00	6 of 12 - Sale ²⁵
	8.000	107.68	07/13/20	136.47	28.79 W	0.00	7 of 12 - Sale ²⁵
	1.000	13.46	07/13/20	17.06	3.60 W	0.00	8 of 12 - Sale ²⁵
	1.000	13.46	07/13/20	17.06	3.60 W	0.00	9 of 12 - Sale ²⁵
	65.000	874.22	07/13/20	1,108.78	234.56 W	0.00	10 of 12 - Sale ²⁵
	1.000	13.45	07/13/20	17.06	3.61 W	0.00	11 of 12 - Sale ²⁵
	24.000	322.79	07/13/20	409.39	86.60 W	0.00	12 of 12 - Sale ²⁵
07/15/20	1,101.000	14,798.30	Various	17,588.13	2,789.83 W	0.00	Total of 12 transactions
	19 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	100.000	1,282.46	07/13/20	1,559.00	...	-276.54	1 of 19 - Sale ²⁵
	1.000	12.83	07/13/20	15.59	...	-2.76	2 of 19 - Sale ²⁵
	122.000	1,563.99	07/15/20	1,943.25	...	-379.26	3 of 19 - Sale ²⁵
	119.000	1,525.53	07/15/20	1,895.46	...	-369.93	4 of 19 - Sale ²⁵
	100.000	1,281.96	07/15/20	1,592.83	...	-310.87	5 of 19 - Sale ²⁵
	100.000	1,281.96	07/15/20	1,592.83	...	-310.87	6 of 19 - Sale ²⁵
	100.000	1,281.96	07/15/20	1,592.83	...	-310.87	7 of 19 - Sale ²⁵
	100.000	1,281.96	07/15/20	1,592.83	...	-310.87	8 of 19 - Sale ²⁵
	95.000	1,217.86	07/15/20	1,513.18	...	-295.32	9 of 19 - Sale ²⁵
	65.000	833.61	07/15/20	1,105.40	...	-271.79	10 of 19 - Sale ²⁵
	81.000	1,038.38	07/15/20	1,290.19	...	-251.81	11 of 19 - Sale ²⁵
	81.000	1,038.38	07/15/20	1,290.19	...	-251.81	12 of 19 - Sale ²⁵
	23.000	294.97	07/15/20	391.13	...	-96.16	13 of 19 - Sale ²⁵
	8.000	102.60	07/15/20	135.97	...	-33.37	14 of 19 - Sale ²⁵
	1.000	12.82	07/15/20	18.05	...	-5.23	15 of 19 - Sale ²⁵
	1.000	12.82	07/15/20	17.01	...	-4.19	16 of 19 - Sale ²⁵
	1.000	12.82	07/15/20	17.01	...	-4.19	17 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	12.82	07/15/20	17.00	...	-4.18	18 of 19 - Sale ²⁵
	1.000	12.82	07/15/20	17.00	...	-4.18	19 of 19 - Sale ²⁵
07/16/20	1,100.000	14,102.55	Various	17,596.75	...	-3,494.20	Total of 19 transactions
07/20/20	1.000	11.38	07/15/20	15.93	...	-4.55	Sale ²⁵
	Security total:	71,402.50		80,043.11	3,474.27 W	-5,166.34	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
18 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	0.83	07/27/20	0.73	...	0.10	1 of 18 - Sale ²⁵
	10.000	8.30	07/27/20	7.30	...	1.00	2 of 18 - Sale ²⁵
	43.000	35.69	07/27/20	31.39	...	4.30	3 of 18 - Sale ²⁵
	45.000	37.35	07/27/20	32.85	...	4.50	4 of 18 - Sale ²⁵
	59.000	48.96	07/27/20	43.07	...	5.89	5 of 18 - Sale ²⁵
	86.000	71.37	07/27/20	62.78	...	8.59	6 of 18 - Sale ²⁵
	100.000	82.99	07/27/20	73.00	...	9.99	7 of 18 - Sale ²⁵
	100.000	82.99	07/27/20	73.00	...	9.99	8 of 18 - Sale ²⁵
	150.000	124.48	07/27/20	109.50	...	14.98	9 of 18 - Sale ²⁵
	150.000	124.48	07/27/20	109.50	...	14.98	10 of 18 - Sale ²⁵
	200.000	165.98	07/27/20	146.00	...	19.98	11 of 18 - Sale ²⁵
	200.000	165.98	07/27/20	146.00	...	19.98	12 of 18 - Sale ²⁵
	300.000	248.96	07/27/20	219.00	...	29.96	13 of 18 - Sale ²⁵
	300.000	248.96	07/27/20	219.00	...	29.96	14 of 18 - Sale ²⁵
	550.000	456.43	07/27/20	401.50	...	54.93	15 of 18 - Sale ²⁵
	800.000	663.88	07/27/20	584.00	...	79.88	16 of 18 - Sale ²⁵
	2,000.000	1,659.72	07/27/20	1,460.00	...	199.72	17 of 18 - Sale ²⁵
	4,906.000	4,056.10	07/27/20	3,581.38	...	474.72	18 of 18 - Sale ²⁵
07/28/20	10,000.000	8,283.45	Various	7,300.00	...	983.45	Total of 18 transactions
63 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	2.21	11/17/20	0.30	...	1.91	1 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.30	...	1.91	2 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.30	...	1.91	3 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.30	...	1.91	4 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	5 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	6 of 63 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	1.000	2.21	11/17/20	0.29	...	1.92	7 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	8 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	9 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	10 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	11 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	12 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	13 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	14 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	15 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	16 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	17 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	18 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	19 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	20 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	21 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	22 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	23 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	24 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	25 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	26 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	27 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	28 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	29 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	30 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	31 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	32 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	33 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	34 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	35 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	36 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	37 of 63 - Sale ²⁵
	1.000	2.21	11/17/20	0.29	...	1.92	38 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	39 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	40 of 63 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	2.000	4.42	11/17/20	0.59	...	3.83	41 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	42 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	43 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	44 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	45 of 63 - Sale ²⁵
	2.000	4.42	11/17/20	0.59	...	3.83	46 of 63 - Sale ²⁵
	6.000	13.26	11/17/20	1.76	...	11.50	47 of 63 - Sale ²⁵
	12.000	26.52	11/17/20	3.52	...	23.00	48 of 63 - Sale ²⁵
	15.000	33.15	11/17/20	4.39	...	28.76	49 of 63 - Sale ²⁵
	32.000	70.71	11/17/20	9.38	...	61.33	50 of 63 - Sale ²⁵
	100.000	220.99	11/17/20	29.42	...	191.57	51 of 63 - Sale ²⁵
	100.000	220.98	11/17/20	29.30	...	191.68	52 of 63 - Sale ²⁵
	100.000	220.98	11/17/20	29.30	...	191.68	53 of 63 - Sale ²⁵
	100.000	220.98	11/17/20	29.30	...	191.68	54 of 63 - Sale ²⁵
	100.000	220.98	11/17/20	29.30	...	191.68	55 of 63 - Sale ²⁵
	100.000	220.98	11/17/20	29.30	...	191.68	56 of 63 - Sale ²⁵
	181.000	399.98	11/17/20	53.07	...	346.91	57 of 63 - Sale ²⁵
	200.000	441.97	11/17/20	58.60	...	383.37	58 of 63 - Sale ²⁵
	300.000	662.95	11/17/20	88.23	...	574.72	59 of 63 - Sale ²⁵
	900.000	1,988.85	11/17/20	263.70	...	1,725.15	60 of 63 - Sale ²⁵
	1,200.000	2,651.80	11/17/20	352.92	...	2,298.88	61 of 63 - Sale ²⁵
	1,500.000	3,314.74	11/17/20	441.30	...	2,873.44	62 of 63 - Sale ²⁵
	4,000.000	9,799.30	11/17/20	1,176.80	...	8,622.50	63 of 63 - Sale ²⁵
12/23/20	9,000.000	20,848.46	Various	2,645.37	...	18,203.09	Total of 63 transactions
	6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	1,000.000	2,199.83	11/17/20	294.20	...	1,905.63	1 of 6 - Sale ²⁵
	1,275.000	2,804.78	12/23/20	3,072.75	...	-267.97	2 of 6 - Sale ²⁵
	1,000.000	2,199.83	12/23/20	2,410.00	...	-210.17	3 of 6 - Sale ²⁵
	555.000	1,220.90	12/23/20	1,337.55	...	-116.65	4 of 6 - Sale ²⁵
	150.000	329.98	12/23/20	361.50	...	-31.52	5 of 6 - Sale ²⁵
	20.000	44.00	12/23/20	48.20	...	-4.20	6 of 6 - Sale ²⁵
12/24/20	4,000.000	8,799.32	Various	7,524.20	...	1,275.12	Total of 6 transactions
	Security total:	37,931.23		17,469.57	...	20,461.66	

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(continued)

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PFIZER INC. / CUSIP: 717081103 / Symbol:							
07/13/20	810.000	28,527.54	07/13/20	28,220.24	...	307.30	Sale ²⁵
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
11/18/20	400.000	268.25	11/17/20	268.00	...	0.25	Sale ²⁵
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
<i>2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.</i>							
	18.000	389.58	01/14/20	390.24	...	-0.66	1 of 2 - Sale ²⁵
	72.000	1,558.32	01/14/20	1,537.92	...	20.40	2 of 2 - Sale ²⁵
01/31/20	90.000	1,947.90	Various	1,928.16	...	19.74	Total of 2 transactions
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
<i>9 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	242.98	09/04/20	152.00	...	90.98	1 of 9 - Sale ²⁵
	100.000	242.99	09/04/20	152.00	...	90.99	2 of 9 - Sale ²⁵
	100.000	243.01	09/04/20	152.00	...	91.01	3 of 9 - Sale ²⁵
	100.000	242.98	09/04/20	151.00	...	91.98	4 of 9 - Sale ²⁵
	100.000	243.01	09/04/20	151.00	...	92.01	5 of 9 - Sale ²⁵
	200.000	485.96	09/04/20	302.00	...	183.96	6 of 9 - Sale ²⁵
	200.000	485.96	09/04/20	302.00	...	183.96	7 of 9 - Sale ²⁵
	400.000	971.97	09/04/20	608.00	...	363.97	8 of 9 - Sale ²⁵
	500.000	1,214.96	09/04/20	765.00	...	449.96	9 of 9 - Sale ²⁵
10/27/20	1,800.000	4,373.82	Various	2,735.00	...	1,638.82	Total of 9 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
03/23/20	1,000.000	23,499.46	03/20/20	23,143.70	...	355.76	Sale ²⁵
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
<i>2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	17,134.56	07/16/20	17,524.95	...	-390.39	1 of 2 - Sale ²⁵
	500.000	17,159.61	07/16/20	17,524.95	...	-365.34	2 of 2 - Sale ²⁵
07/16/20	1,000.000	34,294.17	Various	35,049.90	...	-755.73	Total of 2 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
07/15/20	100.000	13,267.73	07/14/20	13,243.00	...	24.73	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	95.000	1,456.32	07/01/20	1,445.90	...	10.42	1 of 4 - Sale ²⁵
	305.000	4,675.54	07/01/20	4,642.10	...	33.44	2 of 4 - Sale ²⁵
	600.000	9,197.78	07/01/20	9,132.00	...	65.78	3 of 4 - Sale ²⁵
	1,000.000	15,099.75	07/07/20	14,600.00	...	499.75	4 of 4 - Sale ²⁵
07/07/20	2,000.000	30,429.39	Various	29,820.00	...	609.39	Total of 4 transactions
34 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	100.000	1,532.96	07/02/20	2,095.50	562.54 W	0.00	1 of 34 - Sale ²⁵
	100.000	1,532.96	07/02/20	2,095.50	562.54 W	0.00	2 of 34 - Sale ²⁵
	100.000	1,532.96	07/02/20	2,095.50	562.54 W	0.00	3 of 34 - Sale ²⁵
	100.000	1,532.97	07/02/20	2,095.50	562.53 W	0.00	4 of 34 - Sale ²⁵
	200.000	3,065.93	07/02/20	4,191.00	1,125.07 W	0.00	5 of 34 - Sale ²⁵
	100.000	1,532.96	07/02/20	2,095.50	562.54 W	0.00	6 of 34 - Sale ²⁵
	200.000	3,065.93	07/02/20	4,191.00	1,125.07 W	0.00	7 of 34 - Sale ²⁵
	100.000	1,532.97	07/02/20	2,095.50	562.53 W	0.00	8 of 34 - Sale ²⁵
	99.000	1,419.61	07/02/20	2,580.47	1,160.86 W	0.00	9 of 34 - Sale ²⁵
	1.000	15.10	07/02/20	26.07	10.97 W	0.00	10 of 34 - Sale ²⁵
	1.000	15.55	07/07/20	25.57	10.02 W	0.00	11 of 34 - Sale ²⁵
	99.000	1,539.40	07/07/20	2,725.07	1,185.67 W	0.00	12 of 34 - Sale ²⁵
	60.000	932.97	07/07/20	1,285.52	352.55 W	0.00	13 of 34 - Sale ²⁵
	60.000	932.97	07/07/20	1,285.53	352.56 W	0.00	14 of 34 - Sale ²⁵
	65.000	1,010.72	07/07/20	1,392.66	381.94 W	0.00	15 of 34 - Sale ²⁵
	75.000	1,166.22	07/07/20	1,606.91	440.69 W	0.00	16 of 34 - Sale ²⁵
	100.000	1,554.95	07/07/20	2,141.56	586.61 W	0.00	17 of 34 - Sale ²⁵
	100.000	1,554.95	07/07/20	2,142.56	587.61 W	0.00	18 of 34 - Sale ²⁵
	100.000	1,554.95	07/07/20	2,142.55	587.60 W	0.00	19 of 34 - Sale ²⁵
	100.000	1,554.95	07/07/20	2,142.55	587.60 W	0.00	20 of 34 - Sale ²⁵
	100.000	1,554.95	07/07/20	2,142.54	587.59 W	0.00	21 of 34 - Sale ²⁵
	140.000	2,176.94	07/07/20	2,999.58	822.64 W	0.00	22 of 34 - Sale ²⁵
	1,000.000	15,549.55	07/07/20	16,299.91	750.36 W	0.00	23 of 34 - Sale ²⁵
	100.000	1,509.97	07/07/20	2,021.54	511.57 W	0.00	24 of 34 - Sale ²⁵
	60.000	905.99	07/07/20	1,213.52	307.53 W	0.00	25 of 34 - Sale ²⁵
	100.000	1,509.97	07/07/20	2,022.54	512.57 W	0.00	26 of 34 - Sale ²⁵
	100.000	1,509.97	07/07/20	2,022.53	512.56 W	0.00	27 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	60.000	905.98	07/07/20	1,213.52	307.54 W	0.00	28 of 34 - Sale ²⁵
	100.000	1,509.98	07/07/20	2,022.54	512.56 W	0.00	29 of 34 - Sale ²⁵
	140.000	2,113.96	07/07/20	2,831.55	717.59 W	0.00	30 of 34 - Sale ²⁵
	75.000	1,132.48	07/07/20	1,516.90	384.42 W	0.00	31 of 34 - Sale ²⁵
	99.000	1,494.88	07/07/20	2,606.26	1,111.38 W	0.00	32 of 34 - Sale ²⁵
	65.000	981.48	07/07/20	1,314.65	333.17 W	0.00	33 of 34 - Sale ²⁵
	100.000	1,509.98	07/07/20	2,022.53	512.55 W	0.00	34 of 34 - Sale ²⁵
07/07/20	4,099.000	62,948.06	Various	82,702.13	19,754.07 W	0.00	Total of 34 transactions
	24 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	99.000	1,477.04	07/07/20	2,840.95	...	-1,363.91	1 of 24 - Sale ²⁵
	498.000	7,425.05	07/07/20	8,715.18	...	-1,290.13	2 of 24 - Sale ²⁵
	368.000	5,486.79	07/07/20	6,429.10	...	-942.31	3 of 24 - Sale ²⁵
	100.000	1,491.95	07/07/20	2,259.61	...	-767.66	4 of 24 - Sale ²⁵
	100.000	1,491.95	07/07/20	2,259.60	...	-767.65	5 of 24 - Sale ²⁵
	100.000	1,491.96	07/07/20	2,259.60	...	-767.64	6 of 24 - Sale ²⁵
	99.000	1,477.03	07/07/20	2,236.02	...	-758.99	7 of 24 - Sale ²⁵
	92.000	1,372.59	07/07/20	2,078.82	...	-706.23	8 of 24 - Sale ²⁵
	75.000	1,118.97	07/07/20	1,694.70	...	-575.73	9 of 24 - Sale ²⁵
	62.000	925.01	07/07/20	1,400.95	...	-475.94	10 of 24 - Sale ²⁵
	60.000	895.17	07/07/20	1,355.76	...	-460.59	11 of 24 - Sale ²⁵
	60.000	895.17	07/07/20	1,355.75	...	-460.58	12 of 24 - Sale ²⁵
	57.000	850.42	07/07/20	1,287.96	...	-437.54	13 of 24 - Sale ²⁵
	41.000	611.70	07/07/20	926.44	...	-314.74	14 of 24 - Sale ²⁵
	85.000	1,267.33	07/07/20	1,487.53	...	-220.20	15 of 24 - Sale ²⁵
	24.000	358.07	07/07/20	542.30	...	-184.23	16 of 24 - Sale ²⁵
	18.000	268.55	07/07/20	406.73	...	-138.18	17 of 24 - Sale ²⁵
	40.000	596.39	07/07/20	699.61	...	-103.22	18 of 24 - Sale ²⁵
	6.000	89.52	07/07/20	135.58	...	-46.06	19 of 24 - Sale ²⁵
	3.000	44.76	07/07/20	67.79	...	-23.03	20 of 24 - Sale ²⁵
	8.000	119.36	07/07/20	139.76	...	-20.40	21 of 24 - Sale ²⁵
	2.000	29.84	07/07/20	45.19	...	-15.35	22 of 24 - Sale ²⁵
	1.000	14.91	07/07/20	28.15	...	-13.24	23 of 24 - Sale ²⁵
	1.000	14.92	07/07/20	22.59	...	-7.67	24 of 24 - Sale ²⁵
07/10/20	1,999.000	29,814.45	Various	40,675.67	...	-10,861.22	Total of 24 transactions

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
07/10/20	1.000	14.92	07/07/20	26.32	11.40 W	0.00	Sale ²⁵
07/20/20	1.000	14.06	07/07/20	17.50	...	-3.44	Sale ²⁵
	Security total:	123,220.88		153,241.62	19,765.47 W	-10,255.27	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
<i>8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	4,227.000	469.10	07/20/20	689.00	9.05 W	-210.85	1 of 8 - Sale ²⁵
	5,000.000	554.89	07/20/20	815.00	260.11 W	0.00	2 of 8 - Sale ²⁵
	444.000	49.27	07/20/20	72.37	23.10 W	0.00	3 of 8 - Sale ²⁵
	264.000	29.30	07/20/20	43.03	13.73 W	0.00	4 of 8 - Sale ²⁵
	1,000.000	110.98	07/20/20	163.00	52.02 W	0.00	5 of 8 - Sale ²⁵
	4,600.000	510.50	07/20/20	749.80	239.30 W	0.00	6 of 8 - Sale ²⁵
	4,265.000	473.32	07/20/20	695.20	221.88 W	0.00	7 of 8 - Sale ²⁵
	5,200.000	577.09	07/20/20	847.60	270.51 W	0.00	8 of 8 - Sale ²⁵
08/31/20	25,000.000	2,774.45	Various	4,075.00	1,089.70 W	-210.85	Total of 8 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>9 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	947.000	78.96	08/03/20	196.99	...	-118.03	1 of 9 - Sale ²⁵
	4,600.000	383.54	08/28/20	789.00	...	-405.46	2 of 9 - Sale ²⁵
	4,427.000	369.12	08/28/20	759.32	...	-390.20	3 of 9 - Sale ²⁵
	4,053.000	337.93	08/28/20	695.18	...	-357.25	4 of 9 - Sale ²⁵
	3,692.000	307.84	08/28/20	633.27	...	-325.43	5 of 9 - Sale ²⁵
	1,000.000	83.38	08/28/20	171.52	...	-88.14	6 of 9 - Sale ²⁵
	573.000	47.78	08/28/20	98.28	...	-50.50	7 of 9 - Sale ²⁵
	444.000	37.02	08/28/20	76.16	...	-39.14	8 of 9 - Sale ²⁵
	264.000	22.01	08/28/20	45.28	...	-23.27	9 of 9 - Sale ²⁵
10/13/20	20,000.000	1,667.58	Various	3,465.00	...	-1,797.42	Total of 9 transactions

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	700.000	839.90	06/09/20	1,260.00	...	-420.10	1 of 3 - Sale ²⁵
	162.000	194.38	06/09/20	291.60	...	-97.22	2 of 3 - Sale ²⁵
	138.000	165.58	06/09/20	248.40	...	-82.82	3 of 3 - Sale ²⁵
06/24/20	1,000.000	1,199.86	Various	1,800.00	...	-600.14	Total of 3 transactions
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
7 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	75.000	58.64	06/09/20	197.26	...	-138.62	1 of 7 - Sale ²⁵
	200.000	156.36	06/09/20	280.00	...	-123.64	2 of 7 - Sale ²⁵
	200.000	156.37	06/09/20	280.00	...	-123.63	3 of 7 - Sale ²⁵
	75.000	58.64	06/09/20	151.13	...	-92.49	4 of 7 - Sale ²⁵
	125.000	97.73	06/09/20	175.00	...	-77.27	5 of 7 - Sale ²⁵
	25.000	19.55	06/09/20	50.38	...	-30.83	6 of 7 - Sale ²⁵
	25.000	19.55	06/09/20	50.38	...	-30.83	7 of 7 - Sale ²⁵
06/22/20	725.000	566.84	Various	1,184.15	...	-617.31	Total of 7 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	100.000	78.49	06/09/20	140.00	61.51 W	0.00	1 of 4 - Sale ²⁵
	75.000	58.87	06/09/20	105.00	46.13 W	0.00	2 of 4 - Sale ²⁵
	25.000	19.62	06/09/20	35.00	15.38 W	0.00	3 of 4 - Sale ²⁵
	75.000	58.87	06/09/20	151.13	92.26 W	0.00	4 of 4 - Sale ²⁵
06/22/20	275.000	215.85	Various	431.13	215.28 W	0.00	Total of 4 transactions
	Security total:	782.69		1,615.28	215.28 W	-617.31	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
07/01/20	100.000	51.11	06/12/20	51.17	...	-0.06	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	4,900.000	1,251.83	09/01/20	1,372.00	...	-120.17	1 of 3 - Sale ²⁵
	3,500.000	900.81	09/01/20	980.00	...	-79.19	2 of 3 - Sale ²⁵
	300.000	76.64	09/01/20	90.79	...	-14.15	3 of 3 - Sale ²⁵
09/02/20	8,700.000	2,229.28	Various	2,442.79	...	-213.51	Total of 3 transactions
09/02/20	300.000	77.21	09/01/20	84.00	6.79 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol: (cont'd)							
Security total:		2,306.49		2,526.79	6.79 W	-213.51	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	251.000	27.58	07/15/20	27.13	...	0.45	1 of 3 - Sale ²⁵
	8,282.000	910.01	07/15/20	896.11	...	13.90	2 of 3 - Sale ²⁵
	16,467.000	1,809.36	07/15/20	1,780.08	...	29.28	3 of 3 - Sale ²⁵
07/20/20	25,000.000	2,746.95	Various	2,703.32	...	43.63	Total of 3 transactions
15 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	16,945.000	1,751.75	07/15/20	1,833.45	...	-81.70	1 of 15 - Sale ²⁵
	970.000	100.27	07/15/20	109.54	...	-9.27	2 of 15 - Sale ²⁵
	1,203.000	124.36	07/15/20	130.16	...	-5.80	3 of 15 - Sale ²⁵
	500.000	51.69	07/15/20	56.46	...	-4.77	4 of 15 - Sale ²⁵
	450.000	46.52	07/15/20	50.81	...	-4.29	5 of 15 - Sale ²⁵
	400.000	41.35	07/15/20	45.17	...	-3.82	6 of 15 - Sale ²⁵
	364.000	37.63	07/15/20	41.10	...	-3.47	7 of 15 - Sale ²⁵
	136.000	14.06	07/15/20	15.36	...	-1.30	8 of 15 - Sale ²⁵
	100.000	10.34	07/15/20	11.29	...	-0.95	9 of 15 - Sale ²⁵
	100.000	10.34	07/15/20	11.29	...	-0.95	10 of 15 - Sale ²⁵
	100.000	10.34	07/15/20	11.29	...	-0.95	11 of 15 - Sale ²⁵
	100.000	10.34	07/15/20	11.29	...	-0.95	12 of 15 - Sale ²⁵
	80.000	8.27	07/15/20	9.04	...	-0.77	13 of 15 - Sale ²⁵
	76.000	7.86	07/15/20	8.58	...	-0.72	14 of 15 - Sale ²⁵
	50.000	5.17	07/15/20	5.65	...	-0.48	15 of 15 - Sale ²⁵
07/27/20	21,574.000	2,230.29	Various	2,350.48	...	-120.19	Total of 15 transactions
10 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	500.000	51.74	07/15/20	54.10	2.36 W	0.00	1 of 10 - Sale ²⁵
	500.000	51.74	07/15/20	54.10	2.36 W	0.00	2 of 10 - Sale ²⁵
	76.000	7.86	07/15/20	8.22	0.36 W	0.00	3 of 10 - Sale ²⁵
	100.000	10.35	07/15/20	10.82	0.47 W	0.00	4 of 10 - Sale ²⁵
	100.000	10.35	07/15/20	10.82	0.47 W	0.00	5 of 10 - Sale ²⁵
	970.000	100.37	07/15/20	104.95	4.58 W	0.00	6 of 10 - Sale ²⁵
	80.000	8.28	07/15/20	8.66	0.38 W	0.00	7 of 10 - Sale ²⁵
	500.000	51.74	07/15/20	54.10	2.36 W	0.00	8 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 145752226

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	100.000	10.35	07/15/20	10.82	0.47 W	0.00	9 of 10 - Sale ²⁵
	500.000	51.74	07/15/20	54.10	2.36 W	0.00	10 of 10 - Sale ²⁵
07/27/20	3,426.000	354.52	Various	370.69	16.17 W	0.00	Total of 10 transactions
	Security total:	5,331.76		5,424.49	16.17 W	-76.56	
Totals :		1,244,356.71		1,389,065.53	131,659.85 W	-13,048.97	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
02/11/20	41.000	8,579.23	01/08/19	5,838.40	...	2,740.83	Sale ²⁵
Totals :		8,579.23		5,838.40		2,740.83	

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Robinhood Securities LLC

Account 145752226

Proceeds Not Reported to the IRS

2020

03/22/2021 AMENDED

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: 07/08/20	0.329	1.20	06/30/20	1.50	...	-0.30	Gain or loss
Totals:		1.20		1.50	...	-0.30	

Robinhood Securities LLC

Account 145752226

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AG MORTGAGE INVESTMENT TRUST, INC.	001228105		01/29/21	0.03	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		06/30/20	16.74	Nondividend distribution	03
			07/28/20	0.60	Nondividend distribution	03
			10/27/20	0.50	Nondividend distribution	03
				17.84	Total Dividends & distributions	
MFA FINANCIAL, INC	55272X102		10/30/20	0.05	Nondividend distribution	03
			01/29/21	0.08	Qualified dividend	
				0.13	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	100.47	Qualified dividend	
				118.47	Total Dividends & distributions	

Robinhood Securities LLC

Account 145752226

Detail for Miscellaneous Income

2020

03/22/2021 AMENDED

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
MICROSOFT CORPORATION COMMON S TOCK	594918104	03/12/20	0.51	Substitute payment	
			0.51	Total Miscellaneous income	

Robinhood Securities LLC

Account 145752226

Fees and Expenses

2020

03/22/2021 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/10/20	-25.00	Margin interest paid	
		04/20/20	-3.25	Margin interest paid	
		06/19/20	-0.10	Margin interest paid	
		06/19/20	-3.55	Margin interest paid	
		07/20/20	-5.00	Margin interest paid	
		07/20/20	-6.76	Margin interest paid	
		08/18/20	-0.17	Margin interest paid	
		08/18/20	-5.00	Margin interest paid	
		09/17/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		12/16/20	-5.00	Margin interest paid	
			-68.83	Total Margin interest paid	
			-68.83	Total Margin interest paid	

Robinhood Securities LLC

Account 145752226

Non-Reportable Actions

2020

03/22/2021 AMENDED

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	29.00	0.00	Stock dividend	
ZOMEDICA PHARMACEUTICALS CORP.	98979F107	10/05/20	-20,947.00	0.00	Exchange	
ZOMEDICA CORP.	98980M109	10/05/20	20,947.00	0.00	Exchange	
OAS 01/15/2021 CALL \$1.50	OAS 01/15/21 C 1.500	10/12/20	-5.00	0.00	Merger	
OASPQ 01/15/2021 CALL \$1.50	OASPQ 01/15/21 C 1.500	10/12/20	5.00	0.00	Merger	
		11/20/20	-5.00	0.00	Merger	
OASQ1 01/15/2021 CALL \$1.50	OASQ1 01/15/21 C 1.500	11/20/20	5.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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