

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 129673141</b>	Statement Date: 02/14/2021 Document ID: RNQ1 6Y8 EB1G	2020
	Venkat varun Satyavolu 2614 William Short Cir 203 Herndon, VA 20171  RECIPIENT'S TIN: XXX-XX-3869		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      45.11</p> <p>1b- Qualified dividends      45.11</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      10.63</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,127,014.37	1,202,326.77	0.00	79,340.57	4,028.17
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	3.18	13.05	0.00	0.00	-9.87
	<b>Total Short-term</b>	<b>1,127,017.55</b>	<b>1,202,339.82</b>	<b>0.00</b>	<b>79,340.57</b>	<b>4,018.30</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,127,017.55</b>	<b>1,202,339.82</b>	<b>0.00</b>	<b>79,340.57</b>	<b>4,018.30</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	82.29
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADIAL PHARMACEUTICALS, INC COM MON STOCK / CUSIP: 00688A106 / Symbol:							
5 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	500.000	1,484.96	09/29/20	1,580.00	...	-95.04	1 of 5 - Sale <sup>25</sup>
	200.000	593.98	09/29/20	630.74	...	-36.76	2 of 5 - Sale <sup>25</sup>
	100.000	296.99	09/29/20	315.98	...	-18.99	3 of 5 - Sale <sup>25</sup>
	100.000	296.99	09/29/20	315.90	...	-18.91	4 of 5 - Sale <sup>25</sup>
	100.000	296.99	09/29/20	315.90	...	-18.91	5 of 5 - Sale <sup>25</sup>
09/29/20	1,000.000	2,969.91	Various	3,158.52	...	-188.61	Total of 5 transactions
ARTELO BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 04301G201 / Symbol:							
10/01/20	1,000.000	1,159.85	10/01/20	1,350.00	...	-190.15	Sale <sup>25</sup>
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
09/30/20	100.000	2,776.93	09/30/20	2,773.00	...	3.93	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL -3X IN VERSE LEVERAGED ETN / CUSIP: 063679724 / Symbol:							
06/12/20	90.000	1,524.55	06/12/20	1,532.70	...	-8.15	Sale <sup>25</sup>
	5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	1,627.970	9,962.91	12/22/20	10,712.04	...	-749.13	1 of 5 - Sale <sup>25</sup>
	199.854	1,223.07	12/22/20	1,405.01	...	-181.94	2 of 5 - Sale <sup>25</sup>
	100.000	611.98	12/22/20	657.00	...	-45.02	3 of 5 - Sale <sup>25</sup>
	0.116	0.71	12/22/20	0.86	...	-0.15	4 of 5 - Sale <sup>25</sup>
	0.030	0.19	12/22/20	0.21	...	-0.02	5 of 5 - Sale <sup>25</sup>
12/28/20	1,927.970	11,798.86	Various	12,775.12	...	-976.26	Total of 5 transactions
	4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	199.884	1,223.26	12/22/20	1,313.24	89.97 W	-0.01	1 of 4 - Sale <sup>25</sup>
	0.116	0.71	12/22/20	0.76	0.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.030	0.19	12/22/20	0.20	0.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.116	0.71	12/22/20	0.81	0.10 W	0.00	4 of 4 - Sale <sup>25</sup>
12/28/20	200.146	1,224.87	Various	1,315.01	90.13 W	-0.01	Total of 4 transactions
	<b>Security total:</b>	<b>14,548.28</b>		<b>15,622.83</b>	<b>90.13 W</b>	<b>-984.42</b>	
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 063679815 / Symbol:							
	3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	69.000	251.84	04/28/20	241.50	...	10.34	1 of 3 - Sale <sup>25</sup>
	77.000	281.04	04/28/20	269.50	...	11.54	2 of 3 - Sale <sup>25</sup>
	2,354.000	8,591.87	04/28/20	8,239.00	...	352.87	3 of 3 - Sale <sup>25</sup>
05/01/20	2,500.000	9,124.75	Various	8,750.00	...	374.75	Total of 3 transactions
05/01/20	1,136.000	4,146.29	04/29/20	5,032.48	886.19 W	0.00	Sale <sup>25</sup>
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1,136.000	4,089.37	05/04/20	5,100.64	1,011.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	1,564.000	5,630.09	05/04/20	5,802.28	172.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	2,400.000	8,639.52	05/05/20	10,176.00	1,536.48 W	0.00	3 of 3 - Sale <sup>25</sup>
05/13/20	5,100.000	18,358.98	Various	21,078.92	2,719.94 W	0.00	Total of 3 transactions
	51 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	4.36	05/14/20	4.23	...	0.13	1 of 51 - Sale <sup>25</sup>
	5.000	21.70	05/14/20	21.15	...	0.55	2 of 51 - Sale <sup>25</sup>
	1.000	4.39	05/14/20	3.70	...	0.69	3 of 51 - Sale <sup>25</sup>
	1.000	4.40	05/14/20	3.70	...	0.70	4 of 51 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 063679815 / Symbol: (cont'd)							
	1.000	4.40	05/14/20	3.70	...	0.70	5 of 51 - Sale <sup>25</sup>
	10.000	43.50	05/14/20	42.30	...	1.20	6 of 51 - Sale <sup>25</sup>
	10.000	43.50	05/14/20	42.30	...	1.20	7 of 51 - Sale <sup>25</sup>
	2.000	8.84	05/14/20	7.24	...	1.60	8 of 51 - Sale <sup>25</sup>
	3.000	13.32	05/14/20	10.86	...	2.46	9 of 51 - Sale <sup>25</sup>
	5.000	21.90	05/14/20	18.50	...	3.40	10 of 51 - Sale <sup>25</sup>
	5.000	22.05	05/14/20	18.10	...	3.95	11 of 51 - Sale <sup>25</sup>
	5.000	22.10	05/14/20	18.10	...	4.00	12 of 51 - Sale <sup>25</sup>
	5.000	22.20	05/14/20	18.10	...	4.10	13 of 51 - Sale <sup>25</sup>
	59.000	255.46	05/14/20	249.58	...	5.88	14 of 51 - Sale <sup>25</sup>
	10.000	44.00	05/14/20	37.00	...	7.00	15 of 51 - Sale <sup>25</sup>
	10.000	44.00	05/14/20	37.00	...	7.00	16 of 51 - Sale <sup>25</sup>
	10.000	44.00	05/14/20	37.00	...	7.00	17 of 51 - Sale <sup>25</sup>
	10.000	44.10	05/14/20	36.20	...	7.90	18 of 51 - Sale <sup>25</sup>
	10.000	44.20	05/14/20	36.20	...	8.00	19 of 51 - Sale <sup>25</sup>
	15.000	66.00	05/14/20	54.31	...	11.69	20 of 51 - Sale <sup>25</sup>
	17.000	74.97	05/14/20	61.55	...	13.42	21 of 51 - Sale <sup>25</sup>
	20.000	88.00	05/14/20	74.00	...	14.00	22 of 51 - Sale <sup>25</sup>
	20.000	88.00	05/14/20	74.00	...	14.00	23 of 51 - Sale <sup>25</sup>
	115.000	503.67	05/14/20	486.47	...	17.20	24 of 51 - Sale <sup>25</sup>
	27.000	119.87	05/14/20	99.90	...	19.97	25 of 51 - Sale <sup>25</sup>
	30.000	132.00	05/14/20	111.00	...	21.00	26 of 51 - Sale <sup>25</sup>
	30.000	132.30	05/14/20	108.62	...	23.68	27 of 51 - Sale <sup>25</sup>
	40.000	176.00	05/14/20	144.81	...	31.19	28 of 51 - Sale <sup>25</sup>
	40.000	176.40	05/14/20	144.82	...	31.58	29 of 51 - Sale <sup>25</sup>
	48.000	213.11	05/14/20	173.78	...	39.33	30 of 51 - Sale <sup>25</sup>
	51.000	224.39	05/14/20	184.64	...	39.75	31 of 51 - Sale <sup>25</sup>
	52.000	230.87	05/14/20	188.26	...	42.61	32 of 51 - Sale <sup>25</sup>
	64.000	284.79	05/14/20	236.81	...	47.98	33 of 51 - Sale <sup>25</sup>
	68.000	299.87	05/14/20	246.19	...	53.68	34 of 51 - Sale <sup>25</sup>
	73.000	324.83	05/14/20	270.11	...	54.72	35 of 51 - Sale <sup>25</sup>
	80.000	351.99	05/14/20	296.01	...	55.98	36 of 51 - Sale <sup>25</sup>
	75.000	332.98	05/14/20	271.54	...	61.44	37 of 51 - Sale <sup>25</sup>
	500.000	2,184.89	05/14/20	2,115.10	...	69.79	38 of 51 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 063679815 / Symbol: (cont'd)							
	100.000	439.99	05/14/20	370.01	...	69.98	39 of 51 - Sale <sup>25</sup>
	100.000	439.99	05/14/20	370.01	...	69.98	40 of 51 - Sale <sup>25</sup>
	100.000	432.97	05/14/20	362.04	...	70.93	41 of 51 - Sale <sup>25</sup>
	97.000	430.66	05/14/20	351.18	...	79.48	42 of 51 - Sale <sup>25</sup>
	99.000	439.54	05/14/20	358.42	...	81.12	43 of 51 - Sale <sup>25</sup>
	100.000	443.97	05/14/20	362.05	...	81.92	44 of 51 - Sale <sup>25</sup>
	121.000	532.37	05/14/20	447.71	...	84.66	45 of 51 - Sale <sup>25</sup>
	140.000	615.96	05/14/20	518.01	...	97.95	46 of 51 - Sale <sup>25</sup>
	128.000	563.17	05/14/20	463.41	...	99.76	47 of 51 - Sale <sup>25</sup>
	180.000	790.16	05/14/20	666.02	...	124.14	48 of 51 - Sale <sup>25</sup>
	200.000	879.96	05/14/20	740.02	...	139.94	49 of 51 - Sale <sup>25</sup>
	1,700.000	7,360.63	05/14/20	7,191.35	...	169.28	50 of 51 - Sale <sup>25</sup>
	371.000	1,624.90	05/14/20	1,372.74	...	252.16	51 of 51 - Sale <sup>25</sup>
06/11/20	4,964.000	21,711.62	Various	19,559.85	...	2,151.77	Total of 51 transactions
	14 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	3.000	13.35	05/14/20	13.44	0.09 W	0.00	1 of 14 - Sale <sup>25</sup>
	97.000	431.63	05/14/20	434.58	2.95 W	0.00	2 of 14 - Sale <sup>25</sup>
	100.000	444.98	05/14/20	448.02	3.04 W	0.00	3 of 14 - Sale <sup>25</sup>
	100.000	444.97	05/14/20	448.02	3.05 W	0.00	4 of 14 - Sale <sup>25</sup>
	99.000	440.53	05/14/20	443.54	3.01 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	22.25	05/14/20	22.40	0.15 W	0.00	6 of 14 - Sale <sup>25</sup>
	230.000	1,023.44	05/14/20	1,030.45	7.01 W	0.00	7 of 14 - Sale <sup>25</sup>
	10.000	44.50	05/14/20	44.80	0.30 W	0.00	8 of 14 - Sale <sup>25</sup>
	5.000	22.25	05/14/20	22.40	0.15 W	0.00	9 of 14 - Sale <sup>25</sup>
	200.000	889.96	05/14/20	896.04	6.08 W	0.00	10 of 14 - Sale <sup>25</sup>
	194.000	863.26	05/14/20	869.16	5.90 W	0.00	11 of 14 - Sale <sup>25</sup>
	10.000	44.50	05/14/20	44.80	0.30 W	0.00	12 of 14 - Sale <sup>25</sup>
	20.000	89.00	05/14/20	89.60	0.60 W	0.00	13 of 14 - Sale <sup>25</sup>
	63.000	280.34	05/14/20	282.26	1.92 W	0.00	14 of 14 - Sale <sup>25</sup>
06/11/20	1,136.000	5,054.96	Various	5,089.51	34.55 W	0.00	Total of 14 transactions
	10 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	10.000	45.11	06/12/20	49.20	4.09 W	0.00	1 of 10 - Sale <sup>25</sup>
	20.000	90.22	06/12/20	98.40	8.18 W	0.00	2 of 10 - Sale <sup>25</sup>
	27.000	121.79	06/12/20	132.85	11.06 W	0.00	3 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 063679815 / Symbol: (cont'd)							
	43.000	193.96	06/12/20	211.58	17.62 W	0.00	4 of 10 - Sale <sup>25</sup>
	36.000	162.39	06/12/20	177.14	14.75 W	0.00	5 of 10 - Sale <sup>25</sup>
	334.000	1,506.60	06/12/20	1,633.26	126.66 W	0.00	6 of 10 - Sale <sup>25</sup>
	2,000.000	9,021.56	06/12/20	9,780.00	758.44 W	0.00	7 of 10 - Sale <sup>25</sup>
	500.000	2,255.39	06/12/20	2,445.00	189.61 W	0.00	8 of 10 - Sale <sup>25</sup>
	2,000.000	9,021.56	06/12/20	9,780.00	758.44 W	0.00	9 of 10 - Sale <sup>25</sup>
	1,330.000	5,999.34	06/12/20	6,503.70	504.36 W	0.00	10 of 10 - Sale <sup>25</sup>
06/12/20	6,300.000	28,417.92	Various	30,811.13	2,393.21 W	0.00	Total of 10 transactions
	20 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	10.000	37.70	06/12/20	48.68	10.98 W	0.00	1 of 20 - Sale <sup>25</sup>
	20.000	75.40	06/12/20	97.36	21.96 W	0.00	2 of 20 - Sale <sup>25</sup>
	27.000	101.78	06/12/20	131.45	29.67 W	0.00	3 of 20 - Sale <sup>25</sup>
	36.000	135.71	06/12/20	175.27	39.56 W	0.00	4 of 20 - Sale <sup>25</sup>
	43.000	162.10	06/12/20	209.36	47.26 W	0.00	5 of 20 - Sale <sup>25</sup>
	64.000	241.27	06/12/20	309.65	68.38 W	0.00	6 of 20 - Sale <sup>25</sup>
	156.000	586.53	06/12/20	754.76	168.23 W	0.00	7 of 20 - Sale <sup>25</sup>
	114.000	428.62	06/12/20	551.67	123.05 W	0.00	8 of 20 - Sale <sup>25</sup>
	1,686.000	6,339.02	06/12/20	8,158.92	1,819.90 W	0.00	9 of 20 - Sale <sup>25</sup>
	100.000	375.98	06/12/20	483.92	107.94 W	0.00	10 of 20 - Sale <sup>25</sup>
	100.000	375.98	06/12/20	483.93	107.95 W	0.00	11 of 20 - Sale <sup>25</sup>
	114.000	428.62	06/12/20	551.67	123.05 W	0.00	12 of 20 - Sale <sup>25</sup>
	386.000	1,451.28	06/12/20	1,867.94	416.66 W	0.00	13 of 20 - Sale <sup>25</sup>
	114.000	428.62	06/12/20	551.67	123.05 W	0.00	14 of 20 - Sale <sup>25</sup>
	1,030.000	3,872.59	06/12/20	4,984.40	1,111.81 W	0.00	15 of 20 - Sale <sup>25</sup>
	100.000	375.98	06/12/20	483.92	107.94 W	0.00	16 of 20 - Sale <sup>25</sup>
	300.000	1,127.94	06/12/20	1,451.77	323.83 W	0.00	17 of 20 - Sale <sup>25</sup>
	570.000	2,143.08	06/12/20	2,758.35	615.27 W	0.00	18 of 20 - Sale <sup>25</sup>
	830.000	3,120.62	06/12/20	4,016.55	895.93 W	0.00	19 of 20 - Sale <sup>25</sup>
	300.000	1,127.94	06/12/20	1,451.77	323.83 W	0.00	20 of 20 - Sale <sup>25</sup>
06/15/20	6,100.000	22,936.76	Various	29,523.01	6,586.25 W	0.00	Total of 20 transactions
	27 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	1,686.000	4,467.77	06/16/20	10,384.78	...	-5,917.01	1 of 27 - Sale <sup>25</sup>
	930.000	2,464.43	06/16/20	5,728.27	...	-3,263.84	2 of 27 - Sale <sup>25</sup>
	386.000	1,022.87	06/16/20	2,377.54	...	-1,354.67	3 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 063679815 / Symbol: (cont'd)							
	200.000	529.98	06/16/20	1,091.84	...	-561.86	4 of 27 - Sale <sup>25</sup>
	114.000	302.09	06/16/20	702.17	...	-400.08	5 of 27 - Sale <sup>25</sup>
	114.000	302.09	06/16/20	702.17	...	-400.08	6 of 27 - Sale <sup>25</sup>
	114.000	302.09	06/16/20	702.17	...	-400.08	7 of 27 - Sale <sup>25</sup>
	100.000	264.99	06/16/20	615.95	...	-350.96	8 of 27 - Sale <sup>25</sup>
	100.000	264.99	06/16/20	615.94	...	-350.95	9 of 27 - Sale <sup>25</sup>
	100.000	264.98	06/16/20	615.84	...	-350.86	10 of 27 - Sale <sup>25</sup>
	64.000	169.59	06/16/20	393.50	...	-223.91	11 of 27 - Sale <sup>25</sup>
	56.000	148.40	06/16/20	344.87	...	-196.47	12 of 27 - Sale <sup>25</sup>
	36.000	95.39	06/16/20	222.44	...	-127.05	13 of 27 - Sale <sup>25</sup>
	27.000	71.54	06/16/20	166.83	...	-95.29	14 of 27 - Sale <sup>25</sup>
	20.000	53.00	06/16/20	123.56	...	-70.56	15 of 27 - Sale <sup>25</sup>
	10.000	26.50	06/16/20	61.78	...	-35.28	16 of 27 - Sale <sup>25</sup>
	830.000	2,199.44	06/26/20	3,895.13	...	-1,695.69	17 of 27 - Sale <sup>25</sup>
	500.000	1,324.96	06/26/20	2,439.71	...	-1,114.75	18 of 27 - Sale <sup>25</sup>
	800.000	2,119.94	06/26/20	2,890.80	...	-770.86	19 of 27 - Sale <sup>25</sup>
	300.000	794.98	06/26/20	1,407.88	...	-612.90	20 of 27 - Sale <sup>25</sup>
	214.000	567.08	06/26/20	1,042.06	...	-474.98	21 of 27 - Sale <sup>25</sup>
	100.000	264.99	06/26/20	486.94	...	-221.95	22 of 27 - Sale <sup>25</sup>
	86.000	227.89	06/26/20	419.63	...	-191.74	23 of 27 - Sale <sup>25</sup>
	66.000	174.89	06/26/20	321.38	...	-146.49	24 of 27 - Sale <sup>25</sup>
	70.000	185.50	06/26/20	328.51	...	-143.01	25 of 27 - Sale <sup>25</sup>
	24.000	63.60	06/26/20	116.87	...	-53.27	26 of 27 - Sale <sup>25</sup>
	10.000	26.50	06/26/20	48.69	...	-22.19	27 of 27 - Sale <sup>25</sup>
09/01/20	7,057.000	18,700.47	Various	38,247.25	...	-19,546.78	Total of 27 transactions
09/01/20	43.000	113.94	06/16/20	265.70	7.06 W	-144.70	Sale <sup>25</sup>
	3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	0.377	0.55	10/02/20	0.54	...	0.01	1 of 3 - Sale <sup>25</sup>
	597.873	869.10	10/02/20	866.86	...	2.24	2 of 3 - Sale <sup>25</sup>
	8,986.623	13,057.99	10/02/20	13,029.70	...	28.29	3 of 3 - Sale <sup>25</sup>
10/02/20	9,584.873	13,927.64	Various	13,897.10	...	30.54	Total of 3 transactions

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 063679815 / Symbol: (cont'd)							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	2.000	2.91	09/03/20	12.49	9.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	2.91	10/02/20	12.48	9.57 W	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	4.000	5.82	Various	24.97	19.15 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>142,499.15</b>		<b>172,279.92</b>	<b>12,646.35 W</b>	<b>-17,134.42</b>	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
14 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	0.253	4.27	10/08/20	4.23	...	0.04	1 of 14 - Sale <sup>25</sup>
	0.175	2.96	10/08/20	2.88	...	0.08	2 of 14 - Sale <sup>25</sup>
	3.000	50.58	10/08/20	50.22	...	0.36	3 of 14 - Sale <sup>25</sup>
	6.000	101.16	10/08/20	100.44	...	0.72	4 of 14 - Sale <sup>25</sup>
	37.253	628.06	10/08/20	622.12	...	5.94	5 of 14 - Sale <sup>25</sup>
	37.253	628.06	10/08/20	621.75	...	6.31	6 of 14 - Sale <sup>25</sup>
	62.747	1,057.89	10/08/20	1,047.88	...	10.01	7 of 14 - Sale <sup>25</sup>
	62.747	1,057.89	10/08/20	1,047.25	...	10.64	8 of 14 - Sale <sup>25</sup>
	90.747	1,532.67	10/08/20	1,519.11	...	13.56	9 of 14 - Sale <sup>25</sup>
	100.000	1,684.95	10/08/20	1,670.00	...	14.95	10 of 14 - Sale <sup>25</sup>
	100.000	1,685.95	10/08/20	1,670.00	...	15.95	11 of 14 - Sale <sup>25</sup>
	121.253	2,047.90	10/08/20	2,024.92	...	22.98	12 of 14 - Sale <sup>25</sup>
	276.000	4,650.46	10/08/20	4,609.20	...	41.26	13 of 14 - Sale <sup>25</sup>
	300.000	5,054.84	10/08/20	5,010.00	...	44.84	14 of 14 - Sale <sup>25</sup>
10/09/20	1,197.428	20,187.64	Various	20,000.00	...	187.64	Total of 14 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
03/10/20	100.000	20,905.53	03/06/20	18,962.99	...	1,942.54	Sale <sup>25</sup>
03/10/20	80.000	18,849.18	03/10/20	20,515.99	958.42 W	-708.39	Sale <sup>25</sup>
5 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	19.000	6,535.85	03/12/20	7,833.99	...	-1,298.14	1 of 5 - Sale <sup>25</sup>
	11.000	3,766.86	03/12/20	4,535.47	...	-768.61	2 of 5 - Sale <sup>25</sup>
	11.000	3,766.86	03/12/20	4,535.46	...	-768.60	3 of 5 - Sale <sup>25</sup>
	4.000	1,371.96	03/12/20	1,649.26	...	-277.30	4 of 5 - Sale <sup>25</sup>
	1.000	343.99	03/12/20	412.32	...	-68.33	5 of 5 - Sale <sup>25</sup>
03/13/20	46.000	15,785.52	Various	18,966.50	...	-3,180.98	Total of 5 transactions

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Robinhood Securities LLC

Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	20.000	4,347.90	05/13/20	3,641.80	...	706.10	1 of 2 - Sale <sup>25</sup>
	80.000	17,391.61	05/13/20	14,568.00	...	2,823.61	2 of 2 - Sale <sup>25</sup>
05/14/20	100.000	21,739.51	Various	18,209.80	...	3,529.71	Total of 2 transactions
14 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	174.15	06/11/20	155.00	...	19.15	1 of 14 - Sale <sup>25</sup>
	1.000	174.15	06/11/20	155.00	...	19.15	2 of 14 - Sale <sup>25</sup>
	1.000	174.15	06/11/20	155.00	...	19.15	3 of 14 - Sale <sup>25</sup>
	2.000	348.30	06/11/20	310.00	...	38.30	4 of 14 - Sale <sup>25</sup>
	10.000	1,741.46	06/11/20	1,550.00	...	191.46	5 of 14 - Sale <sup>25</sup>
	10.000	1,741.46	06/11/20	1,550.00	...	191.46	6 of 14 - Sale <sup>25</sup>
	10.000	1,741.46	06/11/20	1,550.00	...	191.46	7 of 14 - Sale <sup>25</sup>
	11.000	1,915.60	06/11/20	1,705.00	...	210.60	8 of 14 - Sale <sup>25</sup>
	11.000	1,915.60	06/11/20	1,705.00	...	210.60	9 of 14 - Sale <sup>25</sup>
	11.000	1,915.60	06/11/20	1,705.00	...	210.60	10 of 14 - Sale <sup>25</sup>
	11.000	1,915.60	06/11/20	1,705.00	...	210.60	11 of 14 - Sale <sup>25</sup>
	12.000	2,089.75	06/11/20	1,860.00	...	229.75	12 of 14 - Sale <sup>25</sup>
	12.000	2,089.75	06/11/20	1,860.00	...	229.75	13 of 14 - Sale <sup>25</sup>
	70.000	12,189.52	06/11/20	10,849.98	...	1,339.54	14 of 14 - Sale <sup>25</sup>
06/12/20	173.000	30,126.55	Various	26,814.98	...	3,311.57	Total of 14 transactions
06/12/20	143.000	25,220.33	06/12/20	26,828.22	1,124.40 W	-483.49	Sale <sup>25</sup>
06/15/20	100.000	19,455.57	06/15/20	22,121.39	...	-2,665.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>152,082.19</b>		<b>152,419.87</b>	<b>2,082.82 W</b>	<b>1,745.14</b>	
DAQO NEW ENERGY CORP. AMERICAN DEPOSITARY SHARES (EACH REPRE SENTING 5 ORDINARY SHARES) / CUSIP: 23703Q203 / Symbol:							
10/02/20	0.081	12.13	10/02/20	12.22	...	-0.09	Sale <sup>25</sup>
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	0.182	27.11	10/02/20	27.39	0.13 W	-0.15	1 of 3 - Sale <sup>25</sup>
	32.919	4,893.26	10/02/20	4,948.17	54.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.081	12.08	10/02/20	12.35	0.27 W	0.00	3 of 3 - Sale <sup>25</sup>
10/02/20	33.182	4,932.45	Various	4,987.91	55.31 W	-0.15	Total of 3 transactions

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Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAQO NEW ENERGY CORP. AMERICAN DEPOSITARY SHARES (EACH REPRE SENTING 5 ORDINARY SHARES) / CUSIP: 23703Q203 / Symbol: (cont'd)							
7 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	0.500	91.83	10/08/20	93.06	...	-1.23	1 of 7 - Sale <sup>25</sup>
	24.987	4,588.82	10/09/20	4,998.68	...	-409.86	2 of 7 - Sale <sup>25</sup>
	0.217	39.83	10/09/20	43.36	...	-3.53	3 of 7 - Sale <sup>25</sup>
	17.445	3,203.69	10/12/20	3,525.54	...	-321.85	4 of 7 - Sale <sup>25</sup>
	6.450	1,184.62	10/12/20	1,314.39	...	-129.77	5 of 7 - Sale <sup>25</sup>
	0.765	140.44	10/12/20	155.68	...	-15.24	6 of 7 - Sale <sup>25</sup>
	0.081	14.93	10/12/20	16.70	...	-1.77	7 of 7 - Sale <sup>25</sup>
10/16/20	50.445	9,264.16	Various	10,147.41	...	-883.25	Total of 7 transactions
	<b>Security total:</b>	<b>14,208.74</b>		<b>15,147.54</b>	<b>55.31 W</b>	<b>-883.49</b>	

**DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:**

26 transactions for 03/18/20. Total proceeds and cost reported to the IRS.

2.000	16.40	03/13/20	12.00	...	4.40	1 of 26 - Sale <sup>25</sup>
2.000	16.40	03/13/20	12.00	...	4.40	2 of 26 - Sale <sup>25</sup>
5.000	41.00	03/13/20	30.00	...	11.00	3 of 26 - Sale <sup>25</sup>
9.000	73.80	03/13/20	54.00	...	19.80	4 of 26 - Sale <sup>25</sup>
10.000	82.00	03/13/20	60.00	...	22.00	5 of 26 - Sale <sup>25</sup>
14.000	114.66	03/13/20	86.10	...	28.56	6 of 26 - Sale <sup>25</sup>
13.000	106.60	03/13/20	78.00	...	28.60	7 of 26 - Sale <sup>25</sup>
20.000	163.79	03/13/20	123.00	...	40.79	8 of 26 - Sale <sup>25</sup>
20.000	164.00	03/13/20	120.00	...	44.00	9 of 26 - Sale <sup>25</sup>
50.000	410.00	03/13/20	300.00	...	110.00	10 of 26 - Sale <sup>25</sup>
50.000	410.00	03/13/20	300.00	...	110.00	11 of 26 - Sale <sup>25</sup>
50.000	410.00	03/13/20	300.00	...	110.00	12 of 26 - Sale <sup>25</sup>
70.000	573.97	03/13/20	420.00	...	153.97	13 of 26 - Sale <sup>25</sup>
86.000	704.35	03/13/20	528.90	...	175.45	14 of 26 - Sale <sup>25</sup>
100.000	819.97	03/13/20	600.00	...	219.97	15 of 26 - Sale <sup>25</sup>
155.000	1,270.95	03/13/20	930.00	...	340.95	16 of 26 - Sale <sup>25</sup>
160.000	1,311.95	03/13/20	960.00	...	351.95	17 of 26 - Sale <sup>25</sup>
150.000	1,229.95	03/13/20	862.50	...	367.45	18 of 26 - Sale <sup>25</sup>
214.000	1,752.67	03/13/20	1,284.00	...	468.67	19 of 26 - Sale <sup>25</sup>
200.000	1,640.02	03/13/20	1,150.00	...	490.02	20 of 26 - Sale <sup>25</sup>
250.000	2,049.92	03/13/20	1,500.00	...	549.92	21 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol: (cont'd)							
	250.000	2,049.92	03/13/20	1,500.00	...	549.92	22 of 26 - Sale <sup>25</sup>
	336.000	2,755.09	03/13/20	2,016.00	...	739.09	23 of 26 - Sale <sup>25</sup>
	340.000	2,787.89	03/13/20	2,040.00	...	747.89	24 of 26 - Sale <sup>25</sup>
	414.000	3,394.67	03/13/20	2,484.00	...	910.67	25 of 26 - Sale <sup>25</sup>
	55.000	450.43	03/16/20	239.25	...	211.18	26 of 26 - Sale <sup>25</sup>
03/18/20	3,025.000	24,800.40	Various	17,989.75	...	6,810.65	Total of 26 transactions
03/24/20	175.000	1,006.21	03/18/20	712.25	...	293.96	Sale <sup>25</sup>
	21 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	200.000	1,149.95	03/18/20	1,644.00	494.05 W	0.00	1 of 21 - Sale <sup>25</sup>
	200.000	1,149.95	03/18/20	1,644.00	494.05 W	0.00	2 of 21 - Sale <sup>25</sup>
	200.000	1,149.95	03/18/20	1,644.00	494.05 W	0.00	3 of 21 - Sale <sup>25</sup>
	185.000	1,063.71	03/18/20	1,520.70	456.99 W	0.00	4 of 21 - Sale <sup>25</sup>
	15.000	86.25	03/18/20	160.35	74.10 W	0.00	5 of 21 - Sale <sup>25</sup>
	185.000	1,063.70	03/18/20	1,981.40	917.70 W	0.00	6 of 21 - Sale <sup>25</sup>
	100.000	574.97	03/18/20	1,071.03	496.06 W	0.00	7 of 21 - Sale <sup>25</sup>
	15.000	86.25	03/18/20	197.70	111.45 W	0.00	8 of 21 - Sale <sup>25</sup>
	185.000	1,063.70	03/18/20	1,981.39	917.69 W	0.00	9 of 21 - Sale <sup>25</sup>
	100.000	574.97	03/18/20	1,071.02	496.05 W	0.00	10 of 21 - Sale <sup>25</sup>
	200.000	1,149.95	03/18/20	2,142.05	992.10 W	0.00	11 of 21 - Sale <sup>25</sup>
	1,440.000	8,279.64	03/18/20	11,865.60	3,585.96 W	0.00	12 of 21 - Sale <sup>25</sup>
	100.000	574.97	03/18/20	903.06	328.09 W	0.00	13 of 21 - Sale <sup>25</sup>
	185.000	1,063.71	03/18/20	1,670.65	606.94 W	0.00	14 of 21 - Sale <sup>25</sup>
	15.000	86.25	03/18/20	172.50	86.25 W	0.00	15 of 21 - Sale <sup>25</sup>
	25.000	143.74	03/18/20	225.76	82.02 W	0.00	16 of 21 - Sale <sup>25</sup>
	100.000	574.97	03/18/20	903.05	328.08 W	0.00	17 of 21 - Sale <sup>25</sup>
	175.000	1,006.21	03/18/20	1,580.34	574.13 W	0.00	18 of 21 - Sale <sup>25</sup>
	185.000	1,063.70	03/18/20	1,670.64	606.94 W	0.00	19 of 21 - Sale <sup>25</sup>
	419.000	2,409.15	03/18/20	2,748.75	339.60 W	0.00	20 of 21 - Sale <sup>25</sup>
	121.000	695.72	03/18/20	793.79	98.07 W	0.00	21 of 21 - Sale <sup>25</sup>
03/24/20	4,350.000	25,011.41	Various	37,591.78	12,580.37 W	0.00	Total of 21 transactions
	<b>Security total:</b>	<b>50,818.02</b>		<b>56,293.78</b>	<b>12,580.37 W</b>	<b>7,104.61</b>	

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Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P 500 BEAR 3X SHARES / CUSIP: 25460E885 / Symbol:							
<i>8 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	999.617	5,852.51	09/04/20	5,892.74	...	-40.23	1 of 8 - Sale <sup>25</sup>
	800.000	4,683.80	09/04/20	4,716.00	...	-32.20	2 of 8 - Sale <sup>25</sup>
	600.000	3,512.85	09/04/20	3,537.00	...	-24.15	3 of 8 - Sale <sup>25</sup>
	200.000	1,170.95	09/04/20	1,179.00	...	-8.05	4 of 8 - Sale <sup>25</sup>
	169.000	989.45	09/04/20	996.26	...	-6.81	5 of 8 - Sale <sup>25</sup>
	99.383	581.86	09/04/20	585.86	...	-4.00	6 of 8 - Sale <sup>25</sup>
	99.383	581.86	09/04/20	585.86	...	-4.00	7 of 8 - Sale <sup>25</sup>
	0.617	3.62	09/04/20	3.66	...	-0.04	8 of 8 - Sale <sup>25</sup>
09/08/20	2,968.000	17,376.90	Various	17,496.38	...	-119.48	Total of 8 transactions
09/08/20	0.617	3.62	09/04/20	3.64	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17,380.52</b>		<b>17,500.02</b>	<b>0.02 W</b>	<b>-119.48</b>	

DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:

<i>11 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	37.01	03/25/20	20.31	...	16.70	1 of 11 - Sale <sup>25</sup>
	8.000	295.99	03/25/20	162.40	...	133.59	2 of 11 - Sale <sup>25</sup>
	10.000	369.80	03/25/20	203.10	...	166.70	3 of 11 - Sale <sup>25</sup>
	10.000	370.00	03/25/20	203.00	...	167.00	4 of 11 - Sale <sup>25</sup>
	10.000	370.00	03/25/20	203.00	...	167.00	5 of 11 - Sale <sup>25</sup>
	30.000	1,109.97	03/25/20	609.30	...	500.67	6 of 11 - Sale <sup>25</sup>
	50.000	1,849.95	03/25/20	1,015.50	...	834.45	7 of 11 - Sale <sup>25</sup>
	50.000	1,849.95	03/25/20	1,015.50	...	834.45	8 of 11 - Sale <sup>25</sup>
	72.000	2,663.93	03/25/20	1,461.60	...	1,202.33	9 of 11 - Sale <sup>25</sup>
	167.000	6,160.39	03/25/20	3,391.77	...	2,768.62	10 of 11 - Sale <sup>25</sup>
	592.000	21,903.44	03/25/20	12,023.52	...	9,879.92	11 of 11 - Sale <sup>25</sup>
05/01/20	1,000.000	36,980.43	Various	20,309.00	...	16,671.43	Total of 11 transactions
<i>3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	0.500	19.72	10/08/20	12.40	...	7.32	1 of 3 - Sale <sup>25</sup>
	98.839	3,898.59	12/04/20	3,969.36	...	-70.77	2 of 3 - Sale <sup>25</sup>
	0.661	26.09	12/04/20	26.56	...	-0.47	3 of 3 - Sale <sup>25</sup>
12/07/20	100.000	3,944.40	Various	4,008.32	...	-63.92	Total of 3 transactions

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Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol: (cont'd)							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	500.000	19,984.49	12/04/20	20,080.00	...	-95.51	1 of 2 - Sale <sup>25</sup>
	100.000	3,967.90	12/04/20	4,016.00	...	-48.10	2 of 2 - Sale <sup>25</sup>
12/09/20	600.000	23,952.39	Various	24,096.00	...	-143.61	Total of 2 transactions
	<b>Security total:</b>	<b>64,877.22</b>		<b>48,413.32</b>	...	<b>16,463.90</b>	
DIREXION DAILY MSCI BRAZIL BUL L 2X SHARES / CUSIP: 25460G708 / Symbol:							
05/04/20	110.000	5,572.57	04/24/20	5,225.00	...	347.57	Sale <sup>25</sup>
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
13 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.500	123.30	03/27/20	153.30	...	-30.00	1 of 13 - Sale <sup>25</sup>
	2.500	205.49	03/27/20	193.77	...	11.72	2 of 13 - Sale <sup>25</sup>
	10.000	821.98	03/27/20	775.09	...	46.89	3 of 13 - Sale <sup>25</sup>
	10.000	821.98	03/27/20	775.08	...	46.90	4 of 13 - Sale <sup>25</sup>
	17.500	1,438.47	03/27/20	1,356.38	...	82.09	5 of 13 - Sale <sup>25</sup>
	18.500	1,520.66	03/27/20	1,433.89	...	86.77	6 of 13 - Sale <sup>25</sup>
	18.500	1,520.66	03/27/20	1,433.89	...	86.77	7 of 13 - Sale <sup>25</sup>
	7.500	616.48	03/27/20	335.25	...	281.23	8 of 13 - Sale <sup>25</sup>
	22.500	1,849.46	03/27/20	1,566.05	...	283.41	9 of 13 - Sale <sup>25</sup>
	12.100	994.60	03/27/20	638.94	...	355.66	10 of 13 - Sale <sup>25</sup>
	20.400	1,676.84	03/27/20	1,077.22	...	599.62	11 of 13 - Sale <sup>25</sup>
	21.500	1,767.26	03/27/20	1,135.31	...	631.95	12 of 13 - Sale <sup>25</sup>
	67.500	5,548.36	03/27/20	4,698.17	...	850.19	13 of 13 - Sale <sup>25</sup>
04/29/20	230.000	18,905.54	Various	15,572.34	...	3,333.20	Total of 13 transactions
DIREXION DAILY REGIONAL BANKS BULL 3X SHARES / CUSIP: 25460G864 / Symbol:							
05/01/20	34.000	2,244.29	04/29/20	2,947.42	...	-703.13	Sale <sup>25</sup>
DIREXION DAILY HOMEBUILDERS & SUPPLIES BULL 3X SHARES / CUSIP: 25490K596 / Symbol:							
05/01/20	250.000	3,850.16	04/29/20	4,920.00	...	-1,069.84	Sale <sup>25</sup>
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
09/29/20	1,000.000	3,062.41	09/29/20	3,189.90	...	-127.49	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FIDUCIARY/CLAYMORE ENERGY INFR ASTRUCTURE FUND / CUSIP: 31647Q106 / Symbol:							
6 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	400.000	759.97	04/29/20	903.67	...	-143.70	1 of 6 - Sale <sup>25</sup>
	630.000	1,196.97	04/29/20	1,310.40	...	-113.43	2 of 6 - Sale <sup>25</sup>
	200.000	379.99	04/29/20	452.03	...	-72.04	3 of 6 - Sale <sup>25</sup>
	100.000	189.99	04/29/20	226.02	...	-36.03	4 of 6 - Sale <sup>25</sup>
	100.000	189.99	04/29/20	226.01	...	-36.02	5 of 6 - Sale <sup>25</sup>
	100.000	189.99	04/29/20	225.52	...	-35.53	6 of 6 - Sale <sup>25</sup>
05/01/20	1,530.000	2,906.90	Various	3,343.65	...	-436.75	Total of 6 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	400.000	759.93	04/29/20	831.60	71.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	500.000	949.92	04/29/20	1,040.00	90.08 W	0.00	2 of 2 - Sale <sup>25</sup>
05/01/20	900.000	1,709.85	Various	1,871.60	161.75 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,616.75</b>		<b>5,215.25</b>	<b>161.75 W</b>	<b>-436.75</b>	
FLYING EAGLE ACQUISITION CORP. / CUSIP: 34407Y103 / Symbol:							
12/04/20	1,000.000	17,158.70	12/03/20	16,751.50	...	407.20	Sale <sup>25</sup>
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
4 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	286.000	4,003.91	12/14/20	4,290.00	...	-286.09	1 of 4 - Sale <sup>25</sup>
	100.000	1,399.95	12/14/20	1,500.00	...	-100.05	2 of 4 - Sale <sup>25</sup>
	7.000	98.00	12/14/20	105.00	...	-7.00	3 of 4 - Sale <sup>25</sup>
	1.000	14.00	12/14/20	15.00	...	-1.00	4 of 4 - Sale <sup>25</sup>
12/15/20	394.000	5,515.86	Various	5,910.00	...	-394.14	Total of 4 transactions
15 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	100.000	1,399.95	12/14/20	1,500.00	19.93 W	-80.12	1 of 15 - Sale <sup>25</sup>
	54.000	755.97	12/14/20	810.00	54.03 W	0.00	2 of 15 - Sale <sup>25</sup>
	40.000	559.98	12/14/20	600.00	40.02 W	0.00	3 of 15 - Sale <sup>25</sup>
	100.000	1,399.95	12/14/20	1,500.00	100.05 W	0.00	4 of 15 - Sale <sup>25</sup>
	6.000	84.00	12/14/20	90.00	6.00 W	0.00	5 of 15 - Sale <sup>25</sup>
	4.000	56.00	12/14/20	64.00	8.00 W	0.00	6 of 15 - Sale <sup>25</sup>
	40.000	559.98	12/14/20	640.02	80.04 W	0.00	7 of 15 - Sale <sup>25</sup>
	50.000	699.98	12/14/20	800.03	100.05 W	0.00	8 of 15 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol: (cont'd)							
	10.000	139.99	12/14/20	160.01	20.02 W	0.00	9 of 15 - Sale <sup>25</sup>
	6.000	83.99	12/14/20	96.00	12.01 W	0.00	10 of 15 - Sale <sup>25</sup>
	90.000	1,259.96	12/14/20	1,440.04	180.08 W	0.00	11 of 15 - Sale <sup>25</sup>
	4.000	56.00	12/14/20	60.00	4.00 W	0.00	12 of 15 - Sale <sup>25</sup>
	150.000	2,099.93	12/14/20	2,250.00	150.07 W	0.00	13 of 15 - Sale <sup>25</sup>
	50.000	699.98	12/14/20	750.00	50.02 W	0.00	14 of 15 - Sale <sup>25</sup>
	2.000	28.00	12/14/20	30.00	2.00 W	0.00	15 of 15 - Sale <sup>25</sup>
12/15/20	706.000	9,883.66	Various	10,790.10	826.32 W	-80.12	Total of 15 transactions
	<b>Security total:</b>	<b>15,399.52</b>		<b>16,700.10</b>	<b>826.32 W</b>	<b>-474.26</b>	
GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol:							
09/23/20	100.000	4,599.89	09/23/20	4,911.00	311.11 W	0.00	Sale <sup>25</sup>
10/02/20	100.000	5,014.57	09/28/20	5,830.11	...	-815.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,614.46</b>		<b>10,741.11</b>	<b>311.11 W</b>	<b>-815.54</b>	
HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U209 / Symbol:							
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	100.000	370.99	09/29/20	369.98	...	1.01	1 of 4 - Sale <sup>25</sup>
	100.000	370.99	09/29/20	369.98	...	1.01	2 of 4 - Sale <sup>25</sup>
	500.000	1,855.09	09/29/20	1,849.90	...	5.19	3 of 4 - Sale <sup>25</sup>
	300.000	1,113.14	09/29/20	1,107.18	...	5.96	4 of 4 - Sale <sup>25</sup>
09/29/20	1,000.000	3,710.21	Various	3,697.04	...	13.17	Total of 4 transactions
IDT CORPORATION CLASS B / CUSIP: 448947507 / Symbol:							
21 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	289.860	2,788.35	10/02/20	2,958.02	...	-169.67	1 of 21 - Sale <sup>25</sup>
	281.885	2,711.64	10/02/20	2,872.41	...	-160.77	2 of 21 - Sale <sup>25</sup>
	100.000	961.96	10/02/20	1,106.04	...	-144.08	3 of 21 - Sale <sup>25</sup>
	71.303	685.91	10/02/20	748.24	...	-62.33	4 of 21 - Sale <sup>25</sup>
	100.000	961.96	10/02/20	1,020.50	...	-58.54	5 of 21 - Sale <sup>25</sup>
	80.000	769.57	10/02/20	815.20	...	-45.63	6 of 21 - Sale <sup>25</sup>
	51.780	498.11	10/02/20	542.84	...	-44.73	7 of 21 - Sale <sup>25</sup>
	28.697	276.06	10/02/20	300.66	...	-24.60	8 of 21 - Sale <sup>25</sup>
	24.006	230.93	10/02/20	251.66	...	-20.73	9 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IDT CORPORATION CLASS B / CUSIP: 448947507 / Symbol: (cont'd)							
	18.303	176.06	10/02/20	191.75	...	-15.69	10 of 21 - Sale <sup>25</sup>
	21.691	208.66	10/02/20	223.27	...	-14.61	11 of 21 - Sale <sup>25</sup>
	19.287	185.53	10/02/20	196.53	...	-11.00	12 of 21 - Sale <sup>25</sup>
	7.961	76.58	10/02/20	86.41	...	-9.83	13 of 21 - Sale <sup>25</sup>
	1.338	12.88	10/02/20	14.52	...	-1.64	14 of 21 - Sale <sup>25</sup>
	0.697	6.71	10/02/20	7.30	...	-0.59	15 of 21 - Sale <sup>25</sup>
	0.243	2.34	10/02/20	2.69	...	-0.35	16 of 21 - Sale <sup>25</sup>
	0.403	3.88	10/02/20	4.16	...	-0.28	17 of 21 - Sale <sup>25</sup>
	0.097	0.93	10/02/20	0.98	...	-0.05	18 of 21 - Sale <sup>25</sup>
	0.009	0.09	10/02/20	0.09	...	0.00	19 of 21 - Sale <sup>25</sup>
	0.084	0.78	10/02/20	0.78	...	0.00	20 of 21 - Sale <sup>25</sup>
	506.045	4,721.39	10/02/20	4,701.11	...	20.28	21 of 21 - Sale <sup>25</sup>
10/02/20	1,603.689	15,280.32	Various	16,045.16	...	-764.84	Total of 21 transactions
	20 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	324.449	3,121.09	10/02/20	3,398.62	85.54 W	-191.99	1 of 20 - Sale <sup>25</sup>
	0.403	3.64	10/02/20	3.65	0.01 W	0.00	2 of 20 - Sale <sup>25</sup>
	406.000	3,614.65	10/02/20	3,677.11	62.46 W	0.00	3 of 20 - Sale <sup>25</sup>
	47.000	419.24	10/02/20	425.67	6.43 W	0.00	4 of 20 - Sale <sup>25</sup>
	97.561	869.61	10/02/20	883.60	13.99 W	0.00	5 of 20 - Sale <sup>25</sup>
	0.403	3.60	10/02/20	3.66	0.06 W	0.00	6 of 20 - Sale <sup>25</sup>
	0.697	6.21	10/02/20	6.43	0.22 W	0.00	7 of 20 - Sale <sup>25</sup>
	1.338	11.93	10/02/20	12.87	0.94 W	0.00	8 of 20 - Sale <sup>25</sup>
	7.961	70.93	10/02/20	76.54	5.61 W	0.00	9 of 20 - Sale <sup>25</sup>
	324.692	3,029.36	10/02/20	3,121.92	92.56 W	0.00	10 of 20 - Sale <sup>25</sup>
	28.697	267.75	10/02/20	275.98	8.23 W	0.00	11 of 20 - Sale <sup>25</sup>
	71.303	665.25	10/02/20	686.92	21.67 W	0.00	12 of 20 - Sale <sup>25</sup>
	18.303	170.76	10/02/20	176.01	5.25 W	0.00	13 of 20 - Sale <sup>25</sup>
	75.786	707.08	10/02/20	729.32	22.24 W	0.00	14 of 20 - Sale <sup>25</sup>
	0.403	3.76	10/02/20	3.81	0.05 W	0.00	15 of 20 - Sale <sup>25</sup>
	0.697	6.51	10/02/20	6.70	0.19 W	0.00	16 of 20 - Sale <sup>25</sup>
	1.338	12.49	10/02/20	13.37	0.88 W	0.00	17 of 20 - Sale <sup>25</sup>
	7.961	74.27	10/02/20	79.56	5.29 W	0.00	18 of 20 - Sale <sup>25</sup>
	21.691	202.38	10/02/20	204.62	2.24 W	0.00	19 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IDT CORPORATION CLASS B / CUSIP: 448947507 / Symbol: (cont'd)							
	0.243	2.34	10/02/20	2.55	0.21 W	0.00	20 of 20 - Sale <sup>25</sup>
10/02/20	1,436.927	13,262.85	Various	13,788.91	334.07 W	-191.99	Total of 20 transactions
	<b>Security total:</b>	<b>28,543.17</b>		<b>29,834.07</b>	<b>334.07 W</b>	<b>-956.83</b>	
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	1,110.000	10,211.64	03/05/20	10,822.50	...	-610.86	1 of 3 - Sale <sup>25</sup>
	880.000	8,095.72	03/05/20	8,580.00	...	-484.28	2 of 3 - Sale <sup>25</sup>
	10.000	92.00	03/05/20	97.50	...	-5.50	3 of 3 - Sale <sup>25</sup>
03/05/20	2,000.000	18,399.36	Various	19,500.00	...	-1,100.64	Total of 3 transactions
LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
11 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	120.000	1,711.15	10/05/20	2,001.60	...	-290.45	1 of 11 - Sale <sup>25</sup>
	100.000	1,426.95	10/05/20	1,668.00	...	-241.05	2 of 11 - Sale <sup>25</sup>
	100.000	1,426.95	10/05/20	1,668.00	...	-241.05	3 of 11 - Sale <sup>25</sup>
	100.000	1,426.95	10/05/20	1,668.00	...	-241.05	4 of 11 - Sale <sup>25</sup>
	100.000	1,426.95	10/05/20	1,668.00	...	-241.05	5 of 11 - Sale <sup>25</sup>
	96.000	1,369.87	10/05/20	1,601.28	...	-231.41	6 of 11 - Sale <sup>25</sup>
	96.000	1,369.87	10/05/20	1,601.28	...	-231.41	7 of 11 - Sale <sup>25</sup>
	59.000	841.90	10/05/20	984.12	...	-142.22	8 of 11 - Sale <sup>25</sup>
	4.000	57.08	10/05/20	66.72	...	-9.64	9 of 11 - Sale <sup>25</sup>
	4.000	57.08	10/05/20	66.72	...	-9.64	10 of 11 - Sale <sup>25</sup>
	0.377	5.39	10/05/20	6.28	...	-0.89	11 of 11 - Sale <sup>25</sup>
10/06/20	779.377	11,120.14	Various	13,000.00	...	-1,879.86	Total of 11 transactions
MARINUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 56854Q101 / Symbol:							
13 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3,500.000	13,036.79	09/15/20	13,055.00	...	-18.21	1 of 13 - Sale <sup>25</sup>
	937.000	3,490.13	09/15/20	3,495.01	...	-4.88	2 of 13 - Sale <sup>25</sup>
	92.372	344.06	09/15/20	345.47	...	-1.41	3 of 13 - Sale <sup>25</sup>
	233.000	867.88	09/15/20	869.09	...	-1.21	4 of 13 - Sale <sup>25</sup>
	69.000	257.01	09/15/20	258.06	...	-1.05	5 of 13 - Sale <sup>25</sup>
	100.000	372.48	09/15/20	373.00	...	-0.52	6 of 13 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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MARINUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 56854Q101 / Symbol: (cont'd)							
	100.000	372.48	09/15/20	373.00	...	-0.52	7 of 13 - Sale <sup>25</sup>
	100.000	372.48	09/15/20	373.00	...	-0.52	8 of 13 - Sale <sup>25</sup>
	100.000	372.48	09/15/20	373.00	...	-0.52	9 of 13 - Sale <sup>25</sup>
	99.628	371.10	09/15/20	371.61	...	-0.51	10 of 13 - Sale <sup>25</sup>
	30.000	111.74	09/15/20	111.90	...	-0.16	11 of 13 - Sale <sup>25</sup>
	0.128	0.47	09/15/20	0.47	...	0.00	12 of 13 - Sale <sup>25</sup>
	0.372	1.39	09/15/20	1.39	...	0.00	13 of 13 - Sale <sup>25</sup>
09/15/20	5,361.499	19,970.49	Various	20,000.00	...	-29.51	Total of 13 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
03/05/20	722.000	20,166.65	03/05/20	19,847.78	...	318.87	Sale <sup>25</sup>
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
12/15/20	1,000.000	6,599.83	12/14/20	6,950.00	...	-350.17	Sale <sup>25</sup>
17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol:							
15 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	77.628	1,328.96	12/09/20	1,583.62	181.27 W	-73.39	1 of 15 - Sale <sup>25</sup>
	2.000	34.24	12/09/20	38.58	4.34 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.372	6.36	12/09/20	7.56	1.20 W	0.00	3 of 15 - Sale <sup>25</sup>
	20.000	342.39	12/09/20	407.80	65.41 W	0.00	4 of 15 - Sale <sup>25</sup>
	12.972	222.07	12/09/20	264.62	42.55 W	0.00	5 of 15 - Sale <sup>25</sup>
	41.000	701.49	12/09/20	836.40	134.91 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.372	6.36	12/09/20	8.87	2.51 W	0.00	7 of 15 - Sale <sup>25</sup>
	2.000	34.22	12/09/20	45.62	11.40 W	0.00	8 of 15 - Sale <sup>25</sup>
	12.972	221.94	12/09/20	310.28	88.34 W	0.00	9 of 15 - Sale <sup>25</sup>
	20.000	342.19	12/09/20	478.21	136.02 W	0.00	10 of 15 - Sale <sup>25</sup>
	55.257	945.42	12/09/20	1,321.77	376.35 W	0.00	11 of 15 - Sale <sup>25</sup>
	26.400	451.69	12/09/20	544.90	93.21 W	0.00	12 of 15 - Sale <sup>25</sup>
	653.000	11,172.49	12/09/20	13,477.92	2,305.43 W	0.00	13 of 15 - Sale <sup>25</sup>
	50.000	855.47	12/09/20	1,032.00	176.53 W	0.00	14 of 15 - Sale <sup>25</sup>
	25.000	427.74	12/09/20	521.50	93.76 W	0.00	15 of 15 - Sale <sup>25</sup>
12/10/20	998.972	17,093.03	Various	20,879.65	3,713.23 W	-73.39	Total of 15 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol: (cont'd)							
<i>14 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	4,710.96	12/11/20	6,333.45	...	-1,622.49	1 of 14 - Sale <sup>25</sup>
	242.000	3,800.18	12/11/20	5,108.99	...	-1,308.81	2 of 14 - Sale <sup>25</sup>
	111.000	1,743.06	12/11/20	2,345.47	...	-602.41	3 of 14 - Sale <sup>25</sup>
	36.628	575.18	12/11/20	893.87	...	-318.69	4 of 14 - Sale <sup>25</sup>
	50.000	785.16	12/11/20	1,056.52	...	-271.36	5 of 14 - Sale <sup>25</sup>
	114.000	1,790.17	12/11/20	2,006.38	...	-216.21	6 of 14 - Sale <sup>25</sup>
	41.000	643.83	12/11/20	856.22	...	-212.39	7 of 14 - Sale <sup>25</sup>
	20.000	314.06	12/11/20	487.88	...	-173.82	8 of 14 - Sale <sup>25</sup>
	18.628	292.53	12/11/20	454.39	...	-161.86	9 of 14 - Sale <sup>25</sup>
	26.400	414.57	12/11/20	557.35	...	-142.78	10 of 14 - Sale <sup>25</sup>
	25.000	392.58	12/11/20	533.75	...	-141.17	11 of 14 - Sale <sup>25</sup>
	12.972	203.70	12/11/20	316.39	...	-112.69	12 of 14 - Sale <sup>25</sup>
	2.000	31.41	12/11/20	46.59	...	-15.18	13 of 14 - Sale <sup>25</sup>
	0.372	5.84	12/11/20	9.05	...	-3.21	14 of 14 - Sale <sup>25</sup>
12/14/20	1,000.000	15,703.23	Various	21,006.30	...	-5,303.07	Total of 14 transactions
	<b>Security total:</b>	<b>32,796.26</b>		<b>41,885.95</b>	<b>3,713.23 W</b>	<b>-5,376.46</b>	
SUNNOVA ENERGY INTERNATIONAL I NC. / CUSIP: 86745K104 / Symbol:							
10/02/20	152.172	4,318.53	10/02/20	5,000.00	...	-681.47	Sale <sup>25</sup>
SYPRIS SOLUTIONS, INC. COMMON STOCK / CUSIP: 871655106 / Symbol:							
<i>2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	1,095.07	09/25/20	1,439.55	...	-344.48	1 of 2 - Sale <sup>25</sup>
	100.000	121.99	09/25/20	159.95	...	-37.96	2 of 2 - Sale <sup>25</sup>
09/25/20	1,000.000	1,217.06	Various	1,599.50	...	-382.44	Total of 2 transactions
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
<i>2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	14.40	09/28/20	12.00	...	2.40	1 of 2 - Sale <sup>25</sup>
	998.000	7,185.42	09/28/20	5,988.00	...	1,197.42	2 of 2 - Sale <sup>25</sup>
09/29/20	1,000.000	7,199.82	Various	6,000.00	...	1,199.82	Total of 2 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
<i>4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	701.000	848.11	09/29/20	988.34	...	-140.23	1 of 4 - Sale <sup>25</sup>
	100.000	120.99	09/29/20	140.99	...	-20.00	2 of 4 - Sale <sup>25</sup>
	100.000	120.99	09/29/20	140.99	...	-20.00	3 of 4 - Sale <sup>25</sup>
	99.000	119.78	09/29/20	139.58	...	-19.80	4 of 4 - Sale <sup>25</sup>
09/30/20	1,000.000	1,209.87	Various	1,409.90	...	-200.03	Total of 4 transactions
VACCINEX, INC. COMMON STOCK / CUSIP: 918640103 / Symbol:							
10/12/20	1,111.000	2,191.38	10/09/20	2,382.98	...	-191.60	Sale <sup>25</sup>
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
03/04/20	9,000.000	19,618.49	03/04/20	20,880.00	...	-1,261.51	Sale <sup>25</sup>
<i>11 transactions for 09/14/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	7,419.81	09/14/20	7,530.00	...	-110.19	1 of 11 - Sale <sup>25</sup>
	700.000	5,193.80	09/14/20	5,271.00	...	-77.20	2 of 11 - Sale <sup>25</sup>
	675.000	5,008.30	09/14/20	5,082.75	...	-74.45	3 of 11 - Sale <sup>25</sup>
	300.000	2,225.91	09/14/20	2,259.00	...	-33.09	4 of 11 - Sale <sup>25</sup>
	200.000	1,483.94	09/14/20	1,506.00	...	-22.06	5 of 11 - Sale <sup>25</sup>
	200.000	1,483.94	09/14/20	1,506.00	...	-22.06	6 of 11 - Sale <sup>25</sup>
	100.000	741.97	09/14/20	753.00	...	-11.03	7 of 11 - Sale <sup>25</sup>
	100.000	741.97	09/14/20	753.00	...	-11.03	8 of 11 - Sale <sup>25</sup>
	40.797	302.71	09/14/20	307.20	...	-4.49	9 of 11 - Sale <sup>25</sup>
	4.203	31.19	09/14/20	31.63	...	-0.44	10 of 11 - Sale <sup>25</sup>
	0.056	0.42	09/14/20	0.42	...	0.00	11 of 11 - Sale <sup>25</sup>
09/14/20	3,320.056	24,633.96	Various	25,000.00	...	-366.04	Total of 11 transactions
	<b>Security total:</b>	<b>44,252.45</b>		<b>45,880.00</b>	...	<b>-1,627.55</b>	
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
<i>7 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	121.99	09/30/20	210.02	...	-88.03	1 of 7 - Sale <sup>25</sup>
	60.000	73.19	09/30/20	126.01	...	-52.82	2 of 7 - Sale <sup>25</sup>
	60.000	73.19	09/30/20	108.61	...	-35.42	3 of 7 - Sale <sup>25</sup>
	60.000	73.19	09/30/20	108.61	...	-35.42	4 of 7 - Sale <sup>25</sup>
	40.000	48.80	09/30/20	84.01	...	-35.21	5 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol: (cont'd)							
	40.000	48.80	09/30/20	84.01	...	-35.21	6 of 7 - Sale <sup>25</sup>
	40.000	48.79	09/30/20	60.80	...	-12.01	7 of 7 - Sale <sup>25</sup>
09/30/20	400.000	487.95	Various	782.07	...	-294.12	Total of 7 transactions
6 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	100.000	121.99	09/30/20	151.00	29.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	200.000	243.98	09/30/20	302.00	58.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	60.000	73.19	09/30/20	90.60	17.41 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	121.99	09/30/20	180.01	58.02 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	121.99	09/30/20	180.01	58.02 W	0.00	5 of 6 - Sale <sup>25</sup>
	40.000	48.79	09/30/20	72.00	23.21 W	0.00	6 of 6 - Sale <sup>25</sup>
09/30/20	600.000	731.93	Various	975.62	243.69 W	0.00	Total of 6 transactions
<b>Security total:</b>		<b>1,219.88</b>		<b>1,757.69</b>	<b>243.69 W</b>	<b>-294.12</b>	
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
9 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	170.000	3,959.19	09/23/20	4,590.00	...	-630.81	1 of 9 - Sale <sup>25</sup>
	50.000	1,166.80	09/23/20	1,350.00	...	-183.20	2 of 9 - Sale <sup>25</sup>
	30.000	698.68	09/23/20	810.00	...	-111.32	3 of 9 - Sale <sup>25</sup>
	100.000	2,182.44	09/23/20	1,751.04	...	431.40	4 of 9 - Sale <sup>25</sup>
	100.000	2,204.94	09/23/20	1,750.04	...	454.90	5 of 9 - Sale <sup>25</sup>
	200.000	4,330.28	09/23/20	3,502.07	...	828.21	6 of 9 - Sale <sup>25</sup>
	200.000	4,391.88	09/23/20	3,500.07	...	891.81	7 of 9 - Sale <sup>25</sup>
	200.000	4,393.88	09/23/20	3,502.07	...	891.81	8 of 9 - Sale <sup>25</sup>
	200.000	4,409.87	09/23/20	3,500.07	...	909.80	9 of 9 - Sale <sup>25</sup>
09/23/20	1,250.000	27,737.96	Various	24,255.36	...	3,482.60	Total of 9 transactions
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	100.000	1,047.96	09/23/20	1,315.00	267.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	200.000	2,095.93	09/23/20	2,630.00	534.07 W	0.00	2 of 4 - Sale <sup>25</sup>
	500.000	5,239.82	09/23/20	6,575.00	1,335.18 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	2,095.93	09/23/20	2,630.00	534.07 W	0.00	4 of 4 - Sale <sup>25</sup>
09/23/20	1,000.000	10,479.64	Various	13,150.00	2,670.36 W	0.00	Total of 4 transactions
<b>Security total:</b>		<b>38,217.60</b>		<b>37,405.36</b>	<b>2,670.36 W</b>	<b>3,482.60</b>	

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Account 129673141

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIVOPOWER INTERNATIONAL PLC OR DINARY SHARES / CUSIP: G9376R100 / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.368	14.26	10/02/20	13.89	...	0.37	1 of 3 - Sale <sup>25</sup>
	93.632	975.60	10/02/20	954.81	...	20.79	2 of 3 - Sale <sup>25</sup>
	395.322	4,111.20	10/02/20	4,031.30	...	79.90	3 of 3 - Sale <sup>25</sup>
10/02/20	490.322	5,101.06	Various	5,000.00	...	101.06	Total of 3 transactions
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	13.12	10/09/20	15.74	...	-2.62	1 of 3 - Sale <sup>25</sup>
	628.376	8,244.03	10/12/20	9,994.95	...	-1,750.92	2 of 3 - Sale <sup>25</sup>
	0.318	4.18	10/12/20	5.05	...	-0.87	3 of 3 - Sale <sup>25</sup>
10/13/20	629.694	8,261.33	Various	10,015.74	...	-1,754.41	Total of 3 transactions
	<b>Security total:</b>	<b>13,362.39</b>		<b>15,015.74</b>	...	<b>-1,653.35</b>	
JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	183.589	14,689.51	09/23/20	14,941.51	...	-252.00	1 of 3 - Sale <sup>25</sup>
	0.308	24.63	09/23/20	25.46	...	-0.83	2 of 3 - Sale <sup>25</sup>
	0.103	8.27	09/23/20	8.40	...	-0.13	3 of 3 - Sale <sup>25</sup>
09/23/20	184.000	14,722.41	Various	14,975.37	...	-252.96	Total of 3 transactions
09/23/20	0.308	24.63	09/23/20	25.04	0.41 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,747.04</b>		<b>15,000.41</b>	<b>0.41 W</b>	<b>-252.96</b>	
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.017	0.55	09/01/20	0.59	0.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.057	1.84	09/01/20	1.99	0.15 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.017	0.54	09/01/20	0.63	0.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	99.983	3,249.38	09/01/20	3,528.41	279.03 W	0.00	4 of 5 - Sale <sup>25</sup>
	408.943	13,290.36	09/01/20	14,468.42	1,178.06 W	0.00	5 of 5 - Sale <sup>25</sup>
09/04/20	509.017	16,542.67	Various	18,000.04	1,457.37 W	0.00	Total of 5 transactions
6 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.017	0.79	09/04/20	0.63	...	0.16	1 of 6 - Sale <sup>25</sup>
	0.057	2.65	09/04/20	1.97	...	0.68	2 of 6 - Sale <sup>25</sup>
	0.211	9.91	09/04/20	7.39	...	2.52	3 of 6 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	0.309	13.96	09/04/20	10.83	...	3.13	4 of 6 - Sale <sup>25</sup>
	99.772	4,680.96	09/04/20	3,491.09	...	1,189.87	5 of 6 - Sale <sup>25</sup>
	347.228	16,290.69	09/04/20	12,180.98	...	4,109.71	6 of 6 - Sale <sup>25</sup>
09/10/20	447.593	20,998.96	Various	15,692.89	...	5,306.07	Total of 6 transactions
	8 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.868	46.34	09/04/20	30.45	...	15.89	1 of 8 - Sale <sup>25</sup>
	60.539	3,232.10	09/04/20	2,123.74	...	1,108.36	2 of 8 - Sale <sup>25</sup>
	100.000	5,338.87	09/09/20	4,412.99	...	925.88	3 of 8 - Sale <sup>25</sup>
	398.583	21,279.84	09/09/20	17,587.01	...	3,692.83	4 of 8 - Sale <sup>25</sup>
	0.706	37.67	09/10/20	33.74	...	3.93	5 of 8 - Sale <sup>25</sup>
	64.172	3,426.06	09/10/20	3,073.84	...	352.22	6 of 8 - Sale <sup>25</sup>
	100.000	5,338.87	09/10/20	4,790.00	...	548.87	7 of 8 - Sale <sup>25</sup>
	378.000	20,180.93	09/10/20	18,102.42	...	2,078.51	8 of 8 - Sale <sup>25</sup>
09/14/20	1,102.868	58,880.68	Various	50,154.19	...	8,726.49	Total of 8 transactions
	6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	100.000	5,455.93	09/14/20	5,600.00	144.07 W	0.00	1 of 6 - Sale <sup>25</sup>
	114.000	6,219.76	09/14/20	6,384.00	164.24 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	163.68	09/14/20	168.00	4.32 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	5,455.92	09/14/20	5,600.00	144.08 W	0.00	4 of 6 - Sale <sup>25</sup>
	11.000	600.15	09/14/20	616.00	15.85 W	0.00	5 of 6 - Sale <sup>25</sup>
	272.000	14,840.12	09/14/20	15,233.77	393.65 W	0.00	6 of 6 - Sale <sup>25</sup>
09/14/20	600.000	32,735.56	Various	33,601.77	866.21 W	0.00	Total of 6 transactions
	11 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	40.000	1,920.55	09/14/20	2,356.03	435.48 W	0.00	1 of 11 - Sale <sup>25</sup>
	40.000	1,920.55	09/14/20	2,356.03	435.48 W	0.00	2 of 11 - Sale <sup>25</sup>
	60.000	2,880.83	09/14/20	3,534.04	653.21 W	0.00	3 of 11 - Sale <sup>25</sup>
	3.000	144.04	09/14/20	176.70	32.66 W	0.00	4 of 11 - Sale <sup>25</sup>
	23.000	1,104.32	09/14/20	1,354.72	250.40 W	0.00	5 of 11 - Sale <sup>25</sup>
	74.000	3,553.02	09/14/20	4,358.65	805.63 W	0.00	6 of 11 - Sale <sup>25</sup>
	60.000	2,880.83	09/14/20	3,534.05	653.22 W	0.00	7 of 11 - Sale <sup>25</sup>
	11.000	528.15	09/14/20	647.91	119.76 W	0.00	8 of 11 - Sale <sup>25</sup>
	17.000	816.23	09/14/20	1,001.31	185.08 W	0.00	9 of 11 - Sale <sup>25</sup>
	72.000	3,457.00	09/14/20	4,241.32	784.32 W	0.00	10 of 11 - Sale <sup>25</sup>

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
09/15/20	100.000	4,801.38	09/14/20	5,890.73	1,089.35 W	0.00	11 of 11 - Sale <sup>25</sup>
	500.000	24,006.90	Various	29,451.49	5,444.59 W	0.00	Total of 11 transactions
	6 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	24.808	595.62	09/16/20	1,263.92	668.30 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	2,400.93	09/16/20	4,150.88	1,749.95 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.192	364.75	09/18/20	694.70	329.95 W	0.00	3 of 6 - Sale <sup>25</sup>
	28.321	679.97	09/18/20	1,295.03	615.06 W	0.00	4 of 6 - Sale <sup>25</sup>
	40.000	960.38	09/18/20	1,829.08	868.70 W	0.00	5 of 6 - Sale <sup>25</sup>
	60.000	1,440.56	09/18/20	2,743.61	1,303.05 W	0.00	6 of 6 - Sale <sup>25</sup>
09/22/20	268.321	6,442.21	Various	11,977.22	5,535.01 W	0.00	Total of 6 transactions
	66 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	3.000	108.72	10/02/20	124.27	15.55 W	0.00	1 of 66 - Sale <sup>25</sup>
	23.000	833.50	10/02/20	952.77	119.27 W	0.00	2 of 66 - Sale <sup>25</sup>
	45.679	1,655.36	10/02/20	1,892.24	236.88 W	0.00	3 of 66 - Sale <sup>25</sup>
	28.321	1,026.32	10/02/20	1,173.20	146.88 W	0.00	4 of 66 - Sale <sup>25</sup>
	4.052	146.60	10/02/20	167.88	21.28 W	0.00	5 of 66 - Sale <sup>25</sup>
	11.000	397.97	10/02/20	455.68	57.71 W	0.00	6 of 66 - Sale <sup>25</sup>
	17.000	615.05	10/02/20	704.22	89.17 W	0.00	7 of 66 - Sale <sup>25</sup>
	31.679	1,146.12	10/02/20	1,312.30	166.18 W	0.00	8 of 66 - Sale <sup>25</sup>
	0.544	19.70	10/02/20	24.33	4.63 W	0.00	9 of 66 - Sale <sup>25</sup>
	24.808	897.53	10/02/20	1,507.05	609.52 W	0.00	10 of 66 - Sale <sup>25</sup>
	7.789	281.78	10/02/20	399.63	117.85 W	0.00	11 of 66 - Sale <sup>25</sup>
	67.404	2,438.61	10/02/20	3,013.17	574.56 W	0.00	12 of 66 - Sale <sup>25</sup>
	100.000	3,617.91	10/02/20	4,470.35	852.44 W	0.00	13 of 66 - Sale <sup>25</sup>
	56.000	2,026.03	10/02/20	2,873.33	847.30 W	0.00	14 of 66 - Sale <sup>25</sup>
	36.211	1,310.10	10/02/20	1,858.71	548.61 W	0.00	15 of 66 - Sale <sup>25</sup>
	15.192	549.64	10/02/20	843.90	294.26 W	0.00	16 of 66 - Sale <sup>25</sup>
	17.847	645.70	10/02/20	991.36	345.66 W	0.00	17 of 66 - Sale <sup>25</sup>
	60.000	2,170.75	10/02/20	3,332.85	1,162.10 W	0.00	18 of 66 - Sale <sup>25</sup>
	3.098	111.69	10/02/20	172.11	60.42 W	0.00	19 of 66 - Sale <sup>25</sup>
	28.321	1,013.02	10/02/20	1,573.16	560.14 W	0.00	20 of 66 - Sale <sup>25</sup>
	19.055	681.56	10/02/20	1,058.43	376.87 W	0.00	21 of 66 - Sale <sup>25</sup>
	3.000	107.31	10/02/20	117.04	9.73 W	0.00	22 of 66 - Sale <sup>25</sup>
	23.000	822.69	10/02/20	897.36	74.67 W	0.00	23 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	24.276	868.33	10/02/20	947.16	78.83 W	0.00	24 of 66 - Sale <sup>25</sup>
	45.679	1,633.90	10/02/20	1,782.20	148.30 W	0.00	25 of 66 - Sale <sup>25</sup>
	24.808	887.35	10/02/20	1,558.41	671.06 W	0.00	26 of 66 - Sale <sup>25</sup>
	7.789	278.59	10/02/20	415.76	137.17 W	0.00	27 of 66 - Sale <sup>25</sup>
	0.544	19.47	10/02/20	25.45	5.98 W	0.00	28 of 66 - Sale <sup>25</sup>
	4.045	144.69	10/02/20	175.70	31.01 W	0.00	29 of 66 - Sale <sup>25</sup>
	4.052	144.94	10/02/20	176.27	31.33 W	0.00	30 of 66 - Sale <sup>25</sup>
	11.000	393.46	10/02/20	478.46	85.00 W	0.00	31 of 66 - Sale <sup>25</sup>
	17.000	608.07	10/02/20	739.42	131.35 W	0.00	32 of 66 - Sale <sup>25</sup>
	27.680	990.07	10/02/20	1,294.68	304.61 W	0.00	33 of 66 - Sale <sup>25</sup>
	31.679	1,133.13	10/02/20	1,377.89	244.76 W	0.00	34 of 66 - Sale <sup>25</sup>
	67.404	2,410.97	10/02/20	3,152.73	741.76 W	0.00	35 of 66 - Sale <sup>25</sup>
	7.680	274.69	10/02/20	409.93	135.24 W	0.00	36 of 66 - Sale <sup>25</sup>
	72.320	2,586.83	10/02/20	3,382.73	795.90 W	0.00	37 of 66 - Sale <sup>25</sup>
	36.211	1,295.25	10/02/20	1,933.69	638.44 W	0.00	38 of 66 - Sale <sup>25</sup>
	48.320	1,728.38	10/02/20	2,579.35	850.97 W	0.00	39 of 66 - Sale <sup>25</sup>
	3.098	110.83	10/02/20	178.93	68.10 W	0.00	40 of 66 - Sale <sup>25</sup>
	15.192	543.41	10/02/20	875.36	331.95 W	0.00	41 of 66 - Sale <sup>25</sup>
	17.847	638.37	10/02/20	1,028.30	389.93 W	0.00	42 of 66 - Sale <sup>25</sup>
	60.000	2,146.14	10/02/20	3,457.08	1,310.94 W	0.00	43 of 66 - Sale <sup>25</sup>
	66.210	2,368.28	10/02/20	2,532.53	164.25 W	0.00	44 of 66 - Sale <sup>25</sup>
	24.808	913.15	10/02/20	1,599.59	686.44 W	0.00	45 of 66 - Sale <sup>25</sup>
	7.680	282.68	10/02/20	422.68	140.00 W	0.00	46 of 66 - Sale <sup>25</sup>
	7.789	286.69	10/02/20	428.69	142.00 W	0.00	47 of 66 - Sale <sup>25</sup>
	11.404	419.76	10/02/20	627.88	208.12 W	0.00	48 of 66 - Sale <sup>25</sup>
	48.320	1,778.62	10/02/20	2,659.56	880.94 W	0.00	49 of 66 - Sale <sup>25</sup>
	20.945	770.77	10/02/20	1,153.25	382.48 W	0.00	50 of 66 - Sale <sup>25</sup>
	19.055	701.19	10/02/20	1,090.06	388.87 W	0.00	51 of 66 - Sale <sup>25</sup>
	25.000	919.97	10/02/20	1,430.18	510.21 W	0.00	52 of 66 - Sale <sup>25</sup>
	3.321	122.42	10/02/20	189.99	67.57 W	0.00	53 of 66 - Sale <sup>25</sup>
	0.544	20.07	10/02/20	26.36	6.29 W	0.00	54 of 66 - Sale <sup>25</sup>
	3.000	110.59	10/02/20	122.02	11.43 W	0.00	55 of 66 - Sale <sup>25</sup>
	4.045	149.11	10/02/20	182.41	33.30 W	0.00	56 of 66 - Sale <sup>25</sup>
	4.052	149.37	10/02/20	182.99	33.62 W	0.00	57 of 66 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	11.000	405.49	10/02/20	496.72	91.23 W	0.00	58 of 66 - Sale <sup>25</sup>
	17.000	626.67	10/02/20	767.64	140.97 W	0.00	59 of 66 - Sale <sup>25</sup>
	23.000	847.85	10/02/20	935.54	87.69 W	0.00	60 of 66 - Sale <sup>25</sup>
	24.276	894.88	10/02/20	987.45	92.57 W	0.00	61 of 66 - Sale <sup>25</sup>
	27.680	1,020.35	10/02/20	1,340.63	320.28 W	0.00	62 of 66 - Sale <sup>25</sup>
	31.679	1,167.78	10/02/20	1,430.47	262.69 W	0.00	63 of 66 - Sale <sup>25</sup>
	45.679	1,683.86	10/02/20	1,858.02	174.16 W	0.00	64 of 66 - Sale <sup>25</sup>
	67.404	2,484.70	10/02/20	3,264.61	779.91 W	0.00	65 of 66 - Sale <sup>25</sup>
	72.320	2,665.95	10/02/20	3,502.78	836.83 W	0.00	66 of 66 - Sale <sup>25</sup>
10/02/20	1,718.835	62,250.73	Various	83,116.89	20,866.16 W	0.00	Total of 66 transactions
	10 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	24.808	905.79	10/05/20	1,715.64	809.85 W	0.00	1 of 10 - Sale <sup>25</sup>
	3.862	141.02	10/05/20	228.34	87.32 W	0.00	2 of 10 - Sale <sup>25</sup>
	7.680	280.40	10/05/20	458.60	178.20 W	0.00	3 of 10 - Sale <sup>25</sup>
	7.789	284.38	10/05/20	465.12	180.74 W	0.00	4 of 10 - Sale <sup>25</sup>
	43.514	1,588.79	10/05/20	2,598.57	1,009.78 W	0.00	5 of 10 - Sale <sup>25</sup>
	3.098	113.13	10/05/20	196.64	83.51 W	0.00	6 of 10 - Sale <sup>25</sup>
	15.192	554.71	10/05/20	962.23	407.52 W	0.00	7 of 10 - Sale <sup>25</sup>
	17.847	651.64	10/05/20	1,130.35	478.71 W	0.00	8 of 10 - Sale <sup>25</sup>
	60.000	2,190.75	10/05/20	3,800.15	1,609.40 W	0.00	9 of 10 - Sale <sup>25</sup>
	66.210	2,417.50	10/05/20	2,911.11	493.61 W	0.00	10 of 10 - Sale <sup>25</sup>
10/06/20	250.000	9,128.11	Various	14,466.75	5,338.64 W	0.00	Total of 10 transactions
	11 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	31.679	1,175.87	10/07/20	1,510.52	...	-334.65	1 of 11 - Sale <sup>25</sup>
	45.679	1,695.53	10/07/20	1,973.46	...	-277.93	2 of 11 - Sale <sup>25</sup>
	17.000	631.01	10/07/20	810.60	...	-179.59	3 of 11 - Sale <sup>25</sup>
	24.276	901.08	10/07/20	1,048.80	...	-147.72	4 of 11 - Sale <sup>25</sup>
	23.000	853.72	10/07/20	993.66	...	-139.94	5 of 11 - Sale <sup>25</sup>
	11.000	408.30	10/07/20	524.52	...	-116.22	6 of 11 - Sale <sup>25</sup>
	5.065	188.01	10/07/20	258.12	...	-70.11	7 of 11 - Sale <sup>25</sup>
	4.052	150.40	10/07/20	193.23	...	-42.83	8 of 11 - Sale <sup>25</sup>
	4.045	150.15	10/07/20	192.64	...	-42.49	9 of 11 - Sale <sup>25</sup>
	3.000	111.36	10/07/20	129.60	...	-18.24	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
10/08/20	0.544	20.21	10/07/20	27.73	...	-7.52	11 of 11 - Sale <sup>25</sup>
	169.340	6,285.64	Various	7,662.88	...	-1,377.24	Total of 11 transactions
	7 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	3.321	123.27	10/07/20	198.38	50.57 W	-24.54	1 of 7 - Sale <sup>25</sup>
	0.130	4.81	10/07/20	7.46	2.65 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.677	173.61	10/07/20	269.50	95.89 W	0.00	3 of 7 - Sale <sup>25</sup>
	11.404	423.28	10/07/20	657.31	234.03 W	0.00	4 of 7 - Sale <sup>25</sup>
	20.945	777.46	10/07/20	1,207.52	430.06 W	0.00	5 of 7 - Sale <sup>25</sup>
	19.055	707.27	10/07/20	1,139.43	432.16 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	927.96	10/07/20	1,494.96	567.00 W	0.00	7 of 7 - Sale <sup>25</sup>
10/08/20	84.531	3,137.66	Various	4,974.56	1,812.36 W	-24.54	Total of 7 transactions
	6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	51.62	10/08/20	48.25	...	3.37	1 of 6 - Sale <sup>25</sup>
	27.680	1,428.74	10/13/20	1,302.64	...	126.10	2 of 6 - Sale <sup>25</sup>
	51.865	2,677.11	10/13/20	2,440.85	...	236.26	3 of 6 - Sale <sup>25</sup>
	61.339	3,166.12	10/13/20	2,886.66	...	279.46	4 of 6 - Sale <sup>25</sup>
	20.456	1,055.85	10/16/20	955.95	...	99.90	5 of 6 - Sale <sup>25</sup>
	66.210	3,417.59	10/16/20	2,821.72	...	595.87	6 of 6 - Sale <sup>25</sup>
12/03/20	228.549	11,797.03	Various	10,456.07	...	1,340.96	Total of 6 transactions
	16 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	24.808	1,280.50	10/16/20	1,682.15	401.65 W	0.00	1 of 16 - Sale <sup>25</sup>
	0.130	6.69	10/16/20	7.21	0.52 W	0.00	2 of 16 - Sale <sup>25</sup>
	3.862	199.36	10/16/20	223.13	23.77 W	0.00	3 of 16 - Sale <sup>25</sup>
	4.677	241.42	10/16/20	260.35	18.93 W	0.00	4 of 16 - Sale <sup>25</sup>
	7.680	396.40	10/16/20	448.23	51.83 W	0.00	5 of 16 - Sale <sup>25</sup>
	7.789	402.02	10/16/20	454.60	52.58 W	0.00	6 of 16 - Sale <sup>25</sup>
	11.404	588.62	10/16/20	635.01	46.39 W	0.00	7 of 16 - Sale <sup>25</sup>
	20.945	1,081.14	10/16/20	1,166.55	85.41 W	0.00	8 of 16 - Sale <sup>25</sup>
	43.514	2,246.05	10/16/20	2,539.82	293.77 W	0.00	9 of 16 - Sale <sup>25</sup>
	2.236	115.41	10/16/20	129.19	13.78 W	0.00	10 of 16 - Sale <sup>25</sup>
	3.098	159.93	10/16/20	192.46	32.53 W	0.00	11 of 16 - Sale <sup>25</sup>
	15.192	784.18	10/16/20	941.71	157.53 W	0.00	12 of 16 - Sale <sup>25</sup>
	17.847	921.21	10/16/20	1,106.25	185.04 W	0.00	13 of 16 - Sale <sup>25</sup>

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Account 129673141

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	19.055	983.54	10/16/20	1,102.16	118.62 W	0.00	14 of 16 - Sale <sup>25</sup>
	25.000	1,290.43	10/16/20	1,446.06	155.63 W	0.00	15 of 16 - Sale <sup>25</sup>
	60.000	3,097.02	10/16/20	3,719.14	622.12 W	0.00	16 of 16 - Sale <sup>25</sup>
12/03/20	267.236	13,793.92	Various	16,054.02	2,260.10 W	0.00	Total of 16 transactions
	17 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	232.764	12,959.99	12/03/20	14,943.45	...	-1,983.46	1 of 17 - Sale <sup>25</sup>
	60.000	3,340.72	12/03/20	4,474.12	...	-1,133.40	2 of 17 - Sale <sup>25</sup>
	43.514	2,422.78	12/03/20	3,087.35	...	-664.57	3 of 17 - Sale <sup>25</sup>
	21.808	1,214.23	12/03/20	1,753.14	...	-538.91	4 of 17 - Sale <sup>25</sup>
	25.000	1,391.97	12/03/20	1,760.63	...	-368.66	5 of 17 - Sale <sup>25</sup>
	17.847	993.70	12/03/20	1,330.82	...	-337.12	6 of 17 - Sale <sup>25</sup>
	15.192	845.88	12/03/20	1,132.87	...	-286.99	7 of 17 - Sale <sup>25</sup>
	19.055	1,060.93	12/03/20	1,341.92	...	-280.99	8 of 17 - Sale <sup>25</sup>
	20.945	1,166.21	12/03/20	1,430.11	...	-263.90	9 of 17 - Sale <sup>25</sup>
	11.404	634.94	12/03/20	778.50	...	-143.56	10 of 17 - Sale <sup>25</sup>
	7.789	433.66	12/03/20	552.61	...	-118.95	11 of 17 - Sale <sup>25</sup>
	7.680	427.59	12/03/20	544.86	...	-117.27	12 of 17 - Sale <sup>25</sup>
	3.098	172.51	12/03/20	231.45	...	-58.94	13 of 17 - Sale <sup>25</sup>
	4.677	260.41	12/03/20	319.20	...	-58.79	14 of 17 - Sale <sup>25</sup>
	3.862	215.05	12/03/20	271.73	...	-56.68	15 of 17 - Sale <sup>25</sup>
	2.236	124.49	12/03/20	157.33	...	-32.84	16 of 17 - Sale <sup>25</sup>
	0.130	7.22	12/03/20	8.84	...	-1.62	17 of 17 - Sale <sup>25</sup>
12/04/20	497.000	27,672.28	Various	34,118.93	...	-6,446.65	Total of 17 transactions
12/04/20	3.000	167.04	12/03/20	211.23	44.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>293,839.39</b>		<b>329,938.93</b>	<b>43,624.63 W</b>	<b>7,525.09</b>	
<b>Totals :</b>		<b>1,127,014.37</b>		<b>1,202,326.77</b>	<b>79,340.57 W</b>	<b>4,028.17</b>	

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Robinhood Securities LLC

Account 129673141

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 06367V105 / Symbol: 10/22/20	0.100	3.18	10/08/20	13.05	...	-9.87	Gain or loss
<b>Totals:</b>		<b>3.18</b>		<b>13.05</b>	...	<b>-9.87</b>	

Robinhood Securities LLC

Account 129673141

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES	25460E166		03/31/20	44.44	Qualified dividend	03
			03/31/20	10.63	Nondividend distribution	03
				<b>55.07</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		12/30/20	0.67	Qualified dividend	
				<b>55.74</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 129673141

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/14/20	-5.00	Margin interest paid	
		05/14/20	-5.00	Margin interest paid	
		05/14/20	-8.37	Margin interest paid	
		06/15/20	-5.00	Margin interest paid	
		07/13/20	-5.00	Margin interest paid	
		07/13/20	-7.35	Margin interest paid	
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-2.16	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		10/12/20	-15.42	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		12/10/20	-3.99	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
			-82.29	Total Margin interest paid	
			<b>-82.29</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 129673141

**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FLYING EAGLE ACQUISITION CORP.	34407Y103	12/17/20	-2.00	0.00	Exchange	
SKILLZ INC.	83067L109	12/17/20	2.00	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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