

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 130330186	Statement Date: 02/14/2021 Document ID: 9H14 S61 3ZZ3	2020
	Vijay Thipparthi 7567 Watercrest Ln Maineville, OH 45039 RECIPIENT'S TIN: XXX-XX-9701		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	34.85		2- Royalties	0.00	
1b- Qualified dividends	19.33		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	5.50		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	15.52		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	644,480.66	677,067.84	0.00	14,929.52	-17,657.66
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	644,480.66	677,067.84	0.00	14,929.52	-17,657.66
Long	D (basis reported to the IRS)	13,668.21	14,720.81	0.00	0.00	-1,052.60
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	13,668.21	14,720.81	0.00	0.00	-1,052.60
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	658,148.87	691,788.65	0.00	14,929.52	-18,710.26

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 130330186

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AA 01/17/2020 PUT \$19.00 / CUSIP: / Symbol:							
2 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	01/15/20	17.00	...	32.98	1 of 2 - Option sale to close-put ²⁵
	1.000	49.98	01/15/20	17.00	...	32.98	2 of 2 - Option sale to close-put ²⁵
01/16/20	2.000	99.96	Various	34.00	...	65.96	Total of 2 transactions
AA 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	53.98	10/07/20	46.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	1.000	53.98	10/07/20	46.00	...	7.98	2 of 2 - Option sale to close-call ²⁵
10/08/20	2.000	107.96	Various	92.00	...	15.96	Total of 2 transactions
AA 10/23/2020 CALL \$14.00 / CUSIP: / Symbol:							
10/16/20	2.000	5.98	10/14/20	56.00	...	-50.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$15.00 / CUSIP: / Symbol: 12/03/20	4.000	987.96	11/09/20	452.00	...	535.96	Option sale to close-call ²⁵
AAL 04/09/2020 CALL \$12.00 / CUSIP: / Symbol: 04/07/20	4.000	283.98	04/07/20	236.00	...	47.98	Option sale to close-call ²⁵
AAL 08/21/2020 CALL \$15.00 / CUSIP: / Symbol: 08/10/20	10.000	569.96	08/06/20	360.00	...	209.96	Option sale to close-call ²⁵
AAL 09/18/2020 CALL \$14.00 / CUSIP: / Symbol: 08/31/20	4.000	327.98	08/28/20	352.00	...	-24.02	Option sale to close-call ²⁵
AAL 10/16/2020 CALL \$13.50 / CUSIP: / Symbol: 10/09/20	4.000	199.98	10/08/20	180.00	...	19.98	Option sale to close-call ²⁵
AAL 11/20/2020 CALL \$15.00 / CUSIP: / Symbol: 11/20/20	7.000	0.00	10/09/20	707.00	...	-707.00	Option expiration- long call
AAL 11/20/2020 CALL \$18.00 / CUSIP: / Symbol: 10/08/20	5.000	154.98	09/16/20	415.00	...	-260.02	Option sale to close-call ²⁵
AAL 12/04/2020 CALL \$13.00 / CUSIP: / Symbol: 11/23/20	4.000	235.98	11/17/20	224.00	...	11.98	Option sale to close-call ²⁵
AAP 03/19/2021 CALL \$155.00 / CUSIP: / Symbol: 12/09/20	1.000	1,079.96	12/02/20	770.00	...	309.96	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$130.00 / CUSIP: / Symbol: 12/24/20	1.000	599.97	12/18/20	374.00	...	225.97	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$140.00 / CUSIP: / Symbol: 12/22/20	1.000	209.98	10/08/20	320.00	...	-110.02	Option sale to close-call ²⁵
AAPL 02/21/2020 CALL \$325.00 / CUSIP: / Symbol: 02/14/20	5.000	1,549.95	02/14/20	1,600.00	...	-50.05	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	214.99	03/19/20	165.00	...	49.99	1 of 2 - Option sale to close-call ²⁵
	1.000	214.99	03/19/20	165.00	...	49.99	2 of 2 - Option sale to close-call ²⁵
03/19/20	2.000	429.98	Various	330.00	...	99.98	Total of 2 transactions
AAPL 03/20/2020 CALL \$265.00 / CUSIP: / Symbol:							
03/19/20	5.000	559.97	03/19/20	480.00	...	79.97	Option sale to close-call ²⁵
AAPL 03/27/2020 CALL \$260.00 / CUSIP: / Symbol:							
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	2.000	321.99	03/24/20	436.00	57.01 W	-57.00	1 of 3 - Option sale to close-call ²⁵
	1.000	160.99	03/24/20	218.00	57.01 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	160.99	03/24/20	218.00	57.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
03/24/20	4.000	643.97	Various	872.00	171.03 W	-57.00	Total of 3 transactions
3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	229.99	03/26/20	220.01	...	9.98	1 of 3 - Option sale to close-call ²⁵
	1.000	229.99	03/26/20	220.01	...	9.98	2 of 3 - Option sale to close-call ²⁵
	1.000	229.99	03/26/20	220.01	...	9.98	3 of 3 - Option sale to close-call ²⁵
03/26/20	3.000	689.97	Various	660.03	...	29.94	Total of 3 transactions
	Security total:	1,333.94		1,532.03	171.03 W	-27.06	
AAPL 03/27/2020 PUT \$240.00 / CUSIP: / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	71.98	03/26/20	160.00	...	-88.02	1 of 2 - Option sale to close-put ²⁵
	1.000	71.98	03/26/20	160.00	...	-88.02	2 of 2 - Option sale to close-put ²⁵
03/27/20	2.000	143.96	Various	320.00	...	-176.04	Total of 2 transactions
AAPL 04/03/2020 PUT \$237.50 / CUSIP: / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	289.98	03/30/20	325.00	...	-35.02	1 of 2 - Option sale to close-put ²⁵
	1.000	289.98	03/30/20	325.00	...	-35.02	2 of 2 - Option sale to close-put ²⁵
03/30/20	2.000	579.96	Various	650.00	...	-70.04	Total of 2 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/03/2020 PUT \$240.00 / CUSIP: / Symbol:							
04/01/20	1.000	459.97	04/01/20	375.00	...	84.97	Option sale to close-put ²⁵
AAPL 04/09/2020 CALL \$250.00 / CUSIP: / Symbol:							
04/03/20	2.000	699.97	04/03/20	940.00	...	-240.03	Option sale to close-call ²⁵
AAPL 04/09/2020 CALL \$270.00 / CUSIP: / Symbol:							
04/06/20	1.000	41.98	04/06/20	44.00	...	-2.02	Option sale to close-call ²⁵
	2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	2.000	83.98	04/06/20	88.00	4.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	83.98	04/06/20	88.00	4.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/06/20	4.000	167.96	Various	176.00	8.04 W	0.00	Total of 2 transactions
	4 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	1.000	229.99	04/07/20	162.01	...	67.98	1 of 4 - Option sale to close-call ²⁵
	1.000	229.99	04/07/20	162.01	...	67.98	2 of 4 - Option sale to close-call ²⁵
	1.000	229.99	04/07/20	162.01	...	67.98	3 of 4 - Option sale to close-call ²⁵
	1.000	229.99	04/07/20	162.01	...	67.98	4 of 4 - Option sale to close-call ²⁵
04/07/20	4.000	919.96	Various	648.04	...	271.92	Total of 4 transactions
	Security total:	1,129.90		868.04	8.04 W	269.90	
AAPL 04/09/2020 CALL \$275.00 / CUSIP: / Symbol:							
03/31/20	2.000	399.98	03/31/20	600.00	...	-200.02	Option sale to close-call ²⁵
AAPL 04/09/2020 PUT \$215.00 / CUSIP: / Symbol:							
03/31/20	2.000	319.98	03/31/20	280.00	...	39.98	Option sale to close-put ²⁵
AAPL 04/17/2020 CALL \$280.00 / CUSIP: / Symbol:							
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	1.000	789.97	03/18/20	770.00	...	19.97	1 of 2 - Option sale to close-call ²⁵
	1.000	789.98	03/18/20	770.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
03/18/20	2.000	1,579.95	Various	1,540.00	...	39.95	Total of 2 transactions
04/09/20	4.000	579.97	04/09/20	576.00	...	3.97	Option sale to close-call ²⁵
	Security total:	2,159.92		2,116.00	...	43.92	
AAPL 04/17/2020 PUT \$220.00 / CUSIP: / Symbol:							
03/26/20	2.000	1,199.96	03/26/20	1,160.00	...	39.96	Option sale to close-put ²⁵

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Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/01/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	2.000	281.99	04/23/20	376.00	...	-94.01	1 of 2 - Option sale to close-call ²⁵
	1.000	140.99	04/23/20	154.00	...	-13.01	2 of 2 - Option sale to close-call ²⁵
04/24/20	3.000	422.98	Various	530.00	...	-107.02	Total of 2 transactions
AAPL 05/15/2020 CALL \$315.00 / CUSIP: / Symbol:							
05/14/20	2.000	47.98	05/14/20	54.00	...	-6.02	Option sale to close-call ²⁵
AAPL 05/22/2020 PUT \$302.50 / CUSIP: / Symbol:							
05/15/20	1.000	364.98	05/15/20	400.00	...	-35.02	Option sale to close-put ²⁵
AAPL 06/19/2020 CALL \$355.00 / CUSIP: / Symbol:							
06/05/20	1.000	46.98	06/03/20	14.00	...	32.98	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$357.50 / CUSIP: / Symbol:							
06/17/20	1.000	196.98	06/17/20	224.00	...	-27.02	Option sale to close-call ²⁵
AAPL 06/19/2020 PUT \$180.00 / CUSIP: / Symbol:							
04/23/20	2.000	277.98	04/21/20	440.00	...	-162.02	Option sale to close-put ²⁵
AAPL 07/31/2020 CALL \$420.00 / CUSIP: / Symbol:							
07/31/20	1.000	34.98	07/30/20	20.00	...	14.98	Option sale to close-call ²⁵
AAPL 07/31/2020 PUT \$355.00 / CUSIP: / Symbol:							
07/31/20	3.000	0.00	07/30/20	96.00	...	-96.00	Option expiration- long put
AAPL 08/07/2020 CALL \$430.00 / CUSIP: / Symbol:							
07/31/20	1.000	173.98	07/30/20	74.00	...	99.98	Option sale to close-call ²⁵
AAPL 10/02/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/08/20	410.00	...	-410.00	Option expiration- long call
AAPL 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/12/20	1.000	183.98	09/10/20	395.00	...	-211.02	Option sale to close-call ²⁵
AAPL 10/16/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/07/20	2.000	11.98	09/03/20	580.00	...	-568.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/23/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/13/20	1.000	539.97	10/09/20	235.00	...	304.97	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$123.00 / CUSIP: / Symbol:							
10/30/20	2.000	0.00	10/29/20	140.00	...	-140.00	Option expiration- long call
AAPL 10/30/2020 PUT \$108.00 / CUSIP: / Symbol:							
10/30/20	2.000	101.98	10/29/20	102.00	...	-0.02	Option sale to close-put ²⁵
AAPL 11/06/2020 CALL \$120.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	6.98	11/05/20	68.00	...	-61.02	1 of 2 - Option sale to close-call ²⁵
	1.000	6.98	11/05/20	68.00	...	-61.02	2 of 2 - Option sale to close-call ²⁵
11/06/20	2.000	13.96	Various	136.00	...	-122.04	Total of 2 transactions
AAPL 11/06/2020 PUT \$106.00 / CUSIP: / Symbol:							
10/30/20	1.000	177.98	10/29/20	95.00	...	82.98	Option sale to close-put ²⁵
AAPL 11/20/2020 CALL \$110.00 / CUSIP: / Symbol:							
11/04/20	1.000	689.97	11/02/20	340.00	...	349.97	Option sale to close-call ²⁵
AAPL 11/20/2020 CALL \$121.00 / CUSIP: / Symbol:							
10/30/20	1.000	100.98	10/23/20	320.00	...	-219.02	Option sale to close-call ²⁵
ABBV 08/21/2020 CALL \$95.00 / CUSIP: / Symbol:							
05/06/20	2.000	537.97	05/05/20	446.00	...	91.97	Option sale to close-call ²⁵
ABBV 12/31/2020 CALL \$100.00 / CUSIP: / Symbol:							
11/20/20	1.000	419.98	11/19/20	320.00	...	99.98	Option sale to close-call ²⁵
ACM 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/30/20	1.000	104.98	12/03/20	340.00	...	-235.02	Option sale to close-call ²⁵
ADBE 11/13/2020 CALL \$505.00 / CUSIP: / Symbol:							
11/09/20	1.000	184.98	11/06/20	405.00	...	-220.02	Option sale to close-call ²⁵

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ADBE 11/13/2020 CALL \$507.50 / CUSIP: / Symbol:							
11/10/20	1.000	166.98	11/09/20	0.00	...	166.98	Short sale closed- call ²⁵ Option written: 11/06/20
ALGN 02/21/2020 CALL \$277.50 / CUSIP: / Symbol:							
02/19/20	2.000	509.97	02/14/20	880.00	...	-370.03	Option sale to close-call ²⁵
AMAT 05/22/2020 CALL \$52.00 / CUSIP: / Symbol:							
05/14/20	4.000	1,199.96	05/14/20	620.00	...	579.96	Option sale to close-call ²⁵
AMAT 05/22/2020 CALL \$56.00 / CUSIP: / Symbol:							
05/18/20	1.000	35.98	05/14/20	145.00	...	-109.02	Option sale to close-call ²⁵
AMD 01/15/2021 CALL \$105.00 / CUSIP: / Symbol:							
12/01/20	2.000	639.97	11/09/20	358.00	...	281.97	Option sale to close-call ²⁵
AMD 01/15/2021 CALL \$90.00 / CUSIP: / Symbol:							
	2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	1.000	459.98	11/18/20	374.00	...	85.98	1 of 2 - Option sale to close-call ²⁵
	1.000	459.98	11/18/20	374.00	...	85.98	2 of 2 - Option sale to close-call ²⁵
11/23/20	2.000	919.96	Various	748.00	...	171.96	Total of 2 transactions
AMD 01/15/2021 CALL \$95.00 / CUSIP: / Symbol:							
12/07/20	1.000	589.97	12/03/20	595.00	...	-5.03	Option sale to close-call ²⁵
AMD 01/31/2020 CALL \$53.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/28/20	115.00	...	-115.00	Option expiration- long call
AMD 03/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
03/18/20	4.000	163.98	03/18/20	216.00	...	-52.02	Option sale to close-call ²⁵
AMD 03/20/2020 CALL \$55.00 / CUSIP: / Symbol:							
02/19/20	2.000	1,119.96	02/11/20	564.00	...	555.96	Option sale to close-call ²⁵
AMD 04/03/2020 CALL \$45.00 / CUSIP: / Symbol:							
04/02/20	10.000	849.96	04/02/20	710.00	...	139.96	Option sale to close-call ²⁵

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AMD 04/03/2020 PUT \$42.00 / CUSIP: / Symbol:							
04/02/20	2.000	39.98	04/02/20	56.00	...	-16.02	Option sale to close-put ²⁵
AMD 04/03/2020 PUT \$45.00 / CUSIP: / Symbol:							
2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	2.000	183.98	03/31/20	150.00	...	33.98	1 of 2 - Option sale to close-put ²⁵
	2.000	183.98	03/31/20	150.00	...	33.98	2 of 2 - Option sale to close-put ²⁵
03/31/20	4.000	367.96	Various	300.00	...	67.96	Total of 2 transactions
AMD 04/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	3.000	404.98	04/07/20	450.00	...	-45.02	1 of 3 - Option sale to close-call ²⁵
	1.000	134.98	04/07/20	150.00	...	-15.02	2 of 3 - Option sale to close-call ²⁵
	1.000	134.98	04/07/20	150.00	...	-15.02	3 of 3 - Option sale to close-call ²⁵
04/07/20	5.000	674.94	Various	750.00	...	-75.06	Total of 3 transactions
AMD 04/17/2020 CALL \$51.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	3.000	236.98	04/09/20	393.00	...	-156.02	1 of 2 - Option sale to close-call ²⁵
	1.000	78.98	04/09/20	131.00	...	-52.02	2 of 2 - Option sale to close-call ²⁵
04/09/20	4.000	315.96	Various	524.00	...	-208.04	Total of 2 transactions
AMD 04/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	3.000	233.99	04/14/20	249.00	...	-15.01	1 of 2 - Option sale to close-call ²⁵
	2.000	155.99	04/14/20	166.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
04/14/20	5.000	389.98	Various	415.00	...	-25.02	Total of 2 transactions
AMD 04/24/2020 CALL \$50.00 / CUSIP: / Symbol:							
04/06/20	5.000	454.97	04/06/20	375.00	...	79.97	Option sale to close-call ²⁵
AMD 04/24/2020 CALL \$56.00 / CUSIP: / Symbol:							
04/22/20	10.000	949.95	04/22/20	830.00	...	119.95	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 04/24/2020 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	6.000	47.98	04/23/20	246.00	...	-198.02	1 of 4 - Option sale to close-call ²⁵
	2.000	15.99	04/23/20	82.00	...	-66.01	2 of 4 - Option sale to close-call ²⁵
	1.000	8.00	04/23/20	41.00	...	-33.00	3 of 4 - Option sale to close-call ²⁵
	1.000	8.00	04/23/20	41.00	...	-33.00	4 of 4 - Option sale to close-call ²⁵
04/23/20	10.000	79.97	Various	410.00	...	-330.03	Total of 4 transactions
AMD 04/24/2020 PUT \$55.00 / CUSIP: / Symbol:							
04/17/20	1.000	113.98	04/16/20	152.00	...	-38.02	Option sale to close-put ²⁵
AMD 05/08/2020 CALL \$61.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/28/20	109.00	...	-109.00	Option expiration- long call
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/14/20	5.000	799.97	05/14/20	650.00	...	149.97	Option sale to close-call ²⁵
05/14/20	5.000	599.97	05/14/20	650.00	40.03 W	-10.00	Option sale to close-call ²⁵
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	353.99	05/19/20	368.01	...	-14.02	1 of 3 - Option sale to close-call ²⁵
	1.000	176.99	05/19/20	184.01	...	-7.02	2 of 3 - Option sale to close-call ²⁵
	1.000	176.99	05/19/20	184.01	...	-7.02	3 of 3 - Option sale to close-call ²⁵
05/20/20	4.000	707.97	Various	736.03	...	-28.06	Total of 3 transactions
	Security total:	2,107.91		2,036.03	40.03 W	111.91	
AMD 06/26/2020 CALL \$56.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	2.000	119.98	06/23/20	148.00	...	-28.02	1 of 2 - Option sale to close-call ²⁵
	2.000	119.98	06/23/20	148.00	...	-28.02	2 of 2 - Option sale to close-call ²⁵
06/23/20	4.000	239.96	Various	296.00	...	-56.04	Total of 2 transactions
AMD 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/05/20	5.000	599.97	06/05/20	465.00	...	134.97	Option sale to close-call ²⁵
AMD 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	06/05/20	54.00	...	-54.00	Option expiration- long call

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AMD 11/06/2020 CALL \$81.50 / CUSIP: / Symbol:							
11/04/20	1.000	62.98	10/23/20	440.00	...	-377.02	Option sale to close-call ²⁵
AMGN 04/24/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	799.97	04/09/20	720.00	...	79.97	1 of 2 - Option sale to close-call ²⁵
	2.000	799.98	04/09/20	720.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
04/14/20	4.000	1,599.95	Various	1,440.00	...	159.95	Total of 2 transactions
AMT 02/28/2020 CALL \$250.00 / CUSIP: / Symbol:							
02/24/20	1.000	184.98	02/24/20	220.00	...	-35.02	Option sale to close-call ²⁵
AMZN 02/07/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
01/22/20	1.000	5,164.88	01/21/20	4,627.00	...	537.88	Option sale to close-call ²⁵
01/31/20	1.000	14,129.69	01/24/20	3,170.00	...	10,959.69	Option sale to close-call ²⁵
	Security total:	19,294.57		7,797.00	...	11,497.57	
AMZN 02/07/2020 CALL \$1,920.00 / CUSIP: / Symbol:							
01/23/20	1.000	-493.09	01/22/20	0.00	493.09 W	0.00	Short sale closed- call ²⁵ Option written: 01/21/20
02/03/20	1.000	-10,283.16	01/31/20	0.00	...	-10,283.16	Short sale closed- call ²⁵ Option written: 01/24/20
	Security total:	-10,776.25		0.00	493.09 W	-10,283.16	
AMZN 02/28/2020 CALL \$2,005.00 / CUSIP: / Symbol:							
02/24/20	1.000	4,439.89	02/24/20	3,165.00	...	1,274.89	Option sale to close-call ²⁵
AMZN 02/28/2020 CALL \$2,010.00 / CUSIP: / Symbol:							
02/25/20	1.000	-1,215.08	02/24/20	0.00	...	-1,215.08	Short sale closed- call ²⁵ Option written: 02/24/20
AMZN 03/20/2020 CALL \$2,095.00 / CUSIP: / Symbol:							
03/19/20	2.000	147.98	03/19/20	210.00	...	-62.02	Option sale to close-call ²⁵
AMZN 03/20/2020 PUT \$1,550.00 / CUSIP: / Symbol:							
03/17/20	1.000	649.97	03/17/20	385.00	...	264.97	Option sale to close-put ²⁵

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AMZN 05/15/2020 CALL \$2,450.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/12/20	900.00	...	-900.00	Option expiration- long call
AMZN 05/15/2020 CALL \$2,500.00 / CUSIP: / Symbol:							
05/08/20	1.000	339.98	05/08/20	370.00	...	-30.02	Option sale to close-call ²⁵
AMZN 06/05/2020 CALL \$2,550.00 / CUSIP: / Symbol:							
06/03/20	1.000	299.98	06/03/20	262.00	...	37.98	Option sale to close-call ²⁵
AMZN 06/12/2020 CALL \$2,550.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,999.94	06/08/20	2,250.00	...	-250.06	Option sale to close-call ²⁵
AMZN 10/16/2020 CALL \$4,000.00 / CUSIP: / Symbol:							
09/28/20	1.000	319.98	09/24/20	440.00	...	-120.02	Option sale to close-call ²⁵
ANTM 01/03/2020 CALL \$310.00 / CUSIP: / Symbol:							
01/03/20	2.000	0.00	12/27/19	304.00	...	-304.00	Option expiration- long call
APA 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
11/18/20	2.000	489.97	10/08/20	322.00	...	167.97	Option sale to close-call ²⁵
APT 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	124.98	06/19/20	115.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	3.000	374.98	06/19/20	345.00	...	29.98	2 of 2 - Option sale to close-call ²⁵
06/24/20	4.000	499.96	Various	460.00	...	39.96	Total of 2 transactions
ARQL 01/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/11/19	60.00	...	-60.00	Option expiration- long call
ATVI 01/15/2021 CALL \$82.50 / CUSIP: / Symbol:							
12/02/20	4.000	915.96	11/25/20	540.00	...	375.96	Option sale to close-call ²⁵
ATVI 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/08/20	1.000	160.98	06/08/20	170.00	...	-9.02	Option sale to close-call ²⁵
AVGO 04/03/2020 PUT \$212.50 / CUSIP: / Symbol:							
03/31/20	2.000	229.98	03/31/20	280.00	...	-50.02	Option sale to close-put ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVGO 05/01/2020 CALL \$285.00 / CUSIP: / Symbol:							
04/28/20	1.000	39.98	04/28/20	40.00	...	-0.02	Option sale to close-call ²⁵
AWK 06/19/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/26/20	4.000	299.98	05/21/20	300.00	...	-0.02	Option sale to close-call ²⁵
AXTA 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/23/20	4.000	239.98	12/21/20	244.00	...	-4.02	Option sale to close-call ²⁵
AYX 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
08/26/20	1.000	869.97	08/25/20	545.00	...	324.97	Option sale to close-call ²⁵
AYX 11/20/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/06/20	1.000	2,489.93	10/02/20	760.00	...	1,729.93	Option sale to close-call ²⁵
AZN 01/15/2021 CALL \$49.00 / CUSIP: / Symbol:							
12/24/20	1.000	135.98	12/23/20	143.00	...	-7.02	Option sale to close-call ²⁵
AZN 12/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
12/02/20	4.000	319.98	12/01/20	276.00	...	43.98	Option sale to close-call ²⁵
BA 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
		<i>2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	489.98	05/18/20	425.00	...	64.98	1 of 2 - Option sale to close-call ²⁵
	1.000	489.98	05/18/20	425.00	...	64.98	2 of 2 - Option sale to close-call ²⁵
05/21/20	2.000	979.96	Various	850.00	...	129.96	Total of 2 transactions
BA 01/15/2021 CALL \$320.00 / CUSIP: / Symbol:							
		<i>2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	167.99	06/19/20	800.00	...	-632.01	1 of 2 - Option sale to close-call ²⁵
	1.000	167.99	06/25/20	450.00	...	-282.01	2 of 2 - Option sale to close-call ²⁵
11/17/20	2.000	335.98	Various	1,250.00	...	-914.02	Total of 2 transactions
BA 03/20/2020 CALL \$165.00 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	03/17/20	224.00	...	-224.00	Option expiration- long call
BA 03/27/2020 PUT \$115.00 / CUSIP: / Symbol:							
03/25/20	1.000	112.98	03/25/20	147.00	...	-34.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BA 04/03/2020 CALL \$150.00 / CUSIP: / Symbol:							
03/30/20	1.000	1,109.96	03/30/20	1,000.00	...	109.96	Option sale to close-call ²⁵
BA 04/09/2020 CALL \$133.00 / CUSIP: / Symbol:							
04/03/20	2.000	1,049.96	04/03/20	1,590.00	...	-540.04	Option sale to close-call ²⁵
BA 04/09/2020 CALL \$155.00 / CUSIP: / Symbol:							
04/07/20	4.000	759.97	04/07/20	816.00	...	-56.03	Option sale to close-call ²⁵
BA 04/09/2020 CALL \$160.00 / CUSIP: / Symbol:							
04/07/20	2.000	275.98	04/07/20	318.00	...	-42.02	Option sale to close-call ²⁵
BA 04/09/2020 CALL \$175.00 / CUSIP: / Symbol:							
03/30/20	1.000	434.98	03/30/20	565.02	...	-130.04	Option sale to close-call ²⁵
03/30/20	1.000	434.98	03/30/20	500.00	65.02 W	0.00	Option sale to close-call ²⁵
Security total:		869.96		1,065.02	65.02 W	-130.04	
BA 04/09/2020 PUT \$147.00 / CUSIP: / Symbol:							
04/08/20	1.000	389.98	04/08/20	475.00	...	-85.02	Option sale to close-put ²⁵
BA 04/09/2020 PUT \$150.00 / CUSIP: / Symbol:							
04/07/20	1.000	339.98	04/07/20	444.00	...	-104.02	Option sale to close-put ²⁵
BA 04/17/2020 CALL \$145.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	108.98	04/16/20	123.00	...	-14.02	1 of 2 - Option sale to close-call ²⁵
	1.000	108.98	04/16/20	123.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
04/16/20	2.000	217.96	Various	246.00	...	-28.04	Total of 2 transactions
BA 04/17/2020 CALL \$147.00 / CUSIP: / Symbol:							
04/06/20	2.000	1,839.94	04/06/20	1,490.00	...	349.94	Option sale to close-call ²⁵
BA 04/17/2020 CALL \$175.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	217.98	04/07/20	555.00	...	-337.02	1 of 2 - Option sale to close-call ²⁵
	1.000	217.98	04/07/20	555.00	...	-337.02	2 of 2 - Option sale to close-call ²⁵
04/07/20	2.000	435.96	Various	1,110.00	...	-674.04	Total of 2 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 04/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
04/07/20	2.000	379.98	04/07/20	600.00	...	-220.02	Option sale to close-call ²⁵
BA 04/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	54.99	04/07/20	95.02	...	-40.03	1 of 2 - Option sale to close-call ²⁵
	2.000	109.99	04/07/20	150.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
04/07/20	3.000	164.98	Various	245.02	...	-80.04	Total of 2 transactions
04/07/20	1.000	54.98	04/07/20	75.00	20.02 W	0.00	Option sale to close-call ²⁵
	Security total:	219.96		320.02	20.02 W	-80.04	
BA 04/17/2020 PUT \$120.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	87.98	04/14/20	81.00	...	6.98	1 of 2 - Option sale to close-put ²⁵
	1.000	87.98	04/14/20	81.00	...	6.98	2 of 2 - Option sale to close-put ²⁵
04/14/20	2.000	175.96	Various	162.00	...	13.96	Total of 2 transactions
BA 04/24/2020 CALL \$140.00 / CUSIP: / Symbol:							
04/22/20	2.000	465.97	04/22/20	586.00	...	-120.03	Option sale to close-call ²⁵
BA 04/24/2020 CALL \$141.00 / CUSIP: / Symbol:							
04/22/20	2.000	699.97	04/22/20	640.00	...	59.97	Option sale to close-call ²⁵
BA 04/24/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	2.000	135.99	04/21/20	236.00	...	-100.01	1 of 2 - Option sale to close-call ²⁵
	1.000	67.99	04/21/20	118.00	...	-50.01	2 of 2 - Option sale to close-call ²⁵
04/21/20	3.000	203.98	Various	354.00	...	-150.02	Total of 2 transactions
BA 04/24/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/17/20	2.000	463.97	04/16/20	146.00	...	317.97	Option sale to close-call ²⁵
BA 05/08/2020 CALL \$180.00 / CUSIP: / Symbol:							
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	34.99	04/29/20	145.02	...	-110.03	1 of 3 - Option sale to close-call ²⁵
	1.000	34.99	04/29/20	90.00	...	-55.01	2 of 3 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 05/08/2020 CALL \$180.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.00	04/29/20	90.00	...	-55.00	3 of 3 - Option sale to close-call ²⁵
04/30/20	3.000	104.98	Various	325.02	...	-220.04	Total of 3 transactions
04/30/20	1.000	34.98	04/29/20	90.00	55.02 W	0.00	Option sale to close-call ²⁵
	Security total:	139.96		415.02	55.02 W	-220.04	
BA 05/08/2020 CALL \$275.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	03/27/20	250.00	...	-250.00	Option expiration- long call
BA 05/15/2020 CALL \$131.00 / CUSIP: / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	339.98	05/11/20	360.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	1.000	339.99	05/11/20	360.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
05/11/20	2.000	679.97	Various	720.00	...	-40.03	Total of 2 transactions
BA 05/15/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/11/20	3.000	449.98	05/11/20	537.00	...	-87.02	Option sale to close-call ²⁵
BA 05/15/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/29/20	2.000	819.97	04/29/20	860.00	...	-40.03	Option sale to close-call ²⁵
BA 05/15/2020 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	329.98	04/16/20	143.00	...	186.98	1 of 3 - Option sale to close-call ²⁵
	1.000	329.98	04/16/20	143.00	...	186.98	2 of 3 - Option sale to close-call ²⁵
	5.000	1,649.95	04/16/20	715.00	...	934.95	3 of 3 - Option sale to close-call ²⁵
04/17/20	7.000	2,309.91	Various	1,001.00	...	1,308.91	Total of 3 transactions
BA 05/15/2020 PUT \$105.00 / CUSIP: / Symbol:							
05/14/20	2.000	107.98	05/14/20	80.00	...	27.98	Option sale to close-put ²⁵
BA 05/22/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	35.98	05/14/20	20.00	...	15.98	1 of 2 - Option sale to close-call ²⁵
	4.000	143.98	05/14/20	80.00	...	63.98	2 of 2 - Option sale to close-call ²⁵
05/18/20	5.000	179.96	Various	100.00	...	79.96	Total of 2 transactions

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(continued)

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BA 05/29/2020 CALL \$149.00 / CUSIP: / Symbol:							
05/26/20	2.000	379.98	05/22/20	194.00	...	185.98	Option sale to close-call ²⁵
BA 05/29/2020 CALL \$165.00 / CUSIP: / Symbol:							
04/29/20	2.000	1,049.96	04/29/20	800.00	...	249.96	Option sale to close-call ²⁵
BA 06/05/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/27/20	2.000	829.97	05/27/20	800.00	...	29.97	Option sale to close-call ²⁵
BA 06/05/2020 CALL \$185.00 / CUSIP: / Symbol:							
06/03/20	1.000	139.98	06/03/20	45.00	...	94.98	Option sale to close-call ²⁵
BA 06/05/2020 CALL \$190.00 / CUSIP: / Symbol:							
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	267.98	06/04/20	219.00	...	48.98	1 of 2 - Option sale to close-call ²⁵
	1.000	267.98	06/04/20	219.00	...	48.98	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	535.96	Various	438.00	...	97.96	Total of 2 transactions
BA 06/12/2020 CALL \$180.00 / CUSIP: / Symbol:							
	2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	2.000	169.99	05/28/20	188.00	...	-18.01	1 of 2 - Option sale to close-call ²⁵
	2.000	169.99	05/28/20	188.00	...	-18.01	2 of 2 - Option sale to close-call ²⁵
05/28/20	4.000	339.98	Various	376.00	...	-36.02	Total of 2 transactions
BA 06/12/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/10/20	294.00	...	-294.00	Option expiration- long call
BA 06/12/2020 PUT \$145.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/11/20	126.00	...	-126.00	Option expiration- long put
BA 06/19/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/18/20	1.000	319.98	05/18/20	355.00	...	-35.02	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$195.00 / CUSIP: / Symbol:							
06/15/20	1.000	739.97	06/15/20	790.00	...	-50.03	Option sale to close-call ²⁵

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BA 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	564.97	06/04/20	700.00	...	-135.03	1 of 2 - Option sale to close-call ²⁵
	1.000	564.97	06/04/20	700.00	...	-135.03	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	1,129.94	Various	1,400.00	...	-270.06	Total of 2 transactions
BA 06/19/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/08/20	1.000	2,049.94	06/05/20	1,250.00	...	799.94	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$235.00 / CUSIP: / Symbol:							
06/08/20	1.000	1,389.95	06/08/20	1,340.00	...	49.95	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$305.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/09/20	177.00	...	-177.00	Option expiration- long call
BA 06/19/2020 PUT \$150.00 / CUSIP: / Symbol:							
06/12/20	1.000	204.98	06/12/20	272.00	...	-67.02	Option sale to close-put ²⁵
BA 07/02/2020 CALL \$185.00 / CUSIP: / Symbol:							
06/29/20	2.000	1,659.95	06/26/20	386.00	...	1,273.95	Option sale to close-call ²⁵
BA 07/02/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/30/20	2.000	-974.02	06/29/20	0.00	...	-974.02	Short sale closed- call ²⁵ Option written: 06/26/20
BA 07/02/2020 PUT \$170.00 / CUSIP: / Symbol:							
06/30/20	1.000	159.98	06/30/20	143.00	...	16.98	Option sale to close-put ²⁵
BA 07/10/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/09/20	2.000	195.98	07/08/20	500.00	...	-304.02	Option sale to close-call ²⁵
BA 07/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/07/20	1.000	104.98	07/07/20	126.00	...	-21.02	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 07/10/2020 PUT \$165.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	42.99	07/08/20	57.00	...	-14.01	1 of 2 - Option sale to close-put ²⁵
	1.000	42.99	07/08/20	57.00	...	-14.01	2 of 2 - Option sale to close-put ²⁵
07/08/20	2.000	85.98	Various	114.00	...	-28.02	Total of 2 transactions
BA 07/17/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/16/20	1.000	139.98	07/16/20	360.02	...	-220.04	Option sale to close-call ²⁵
07/16/20	1.000	139.98	07/16/20	250.00	110.02 W	0.00	Option sale to close-call ²⁵
	Security total:	279.96		610.02	110.02 W	-220.04	
BA 07/17/2020 CALL \$190.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	367.98	07/13/20	810.00	...	-442.02	1 of 3 - Option sale to close-call ²⁵
	1.000	183.98	07/13/20	405.00	...	-221.02	2 of 3 - Option sale to close-call ²⁵
	1.000	183.98	07/13/20	405.00	...	-221.02	3 of 3 - Option sale to close-call ²⁵
07/13/20	4.000	735.94	Various	1,620.00	...	-884.06	Total of 3 transactions
BA 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/13/20	2.000	307.98	07/13/20	286.00	...	21.98	Option sale to close-call ²⁵
BA 07/17/2020 CALL \$210.00 / CUSIP: / Symbol:							
06/24/20	1.000	409.98	06/23/20	629.00	...	-219.02	Option sale to close-call ²⁵
BA 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/09/20	850.00	...	-850.00	1 of 5 - Option expiration- long call
	1.000	0.00	06/11/20	450.00	...	-450.00	2 of 5 - Option expiration- long call
	1.000	0.00	06/22/20	235.00	...	-235.00	3 of 5 - Option expiration- long call
	1.000	0.00	06/24/20	190.00	...	-190.00	4 of 5 - Option expiration- long call
	1.000	0.00	06/25/20	137.00	...	-137.00	5 of 5 - Option expiration- long call
08/21/20	5.000	0.00	Various	1,862.00	...	-1,862.00	Total of 5 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/28/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	389.98	08/21/20	240.00	...	149.98	1 of 2 - Option sale to close-call ²⁵
	1.000	389.98	08/21/20	240.00	...	149.98	2 of 2 - Option sale to close-call ²⁵
08/24/20	2.000	779.96	Various	480.00	...	299.96	Total of 2 transactions
BA 09/04/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	679.97	08/26/20	429.00	...	250.97	1 of 2 - Option sale to close-call ²⁵
	3.000	2,039.94	08/26/20	1,287.00	...	752.94	2 of 2 - Option sale to close-call ²⁵
08/27/20	4.000	2,719.91	Various	1,716.00	...	1,003.91	Total of 2 transactions
BA 09/04/2020 CALL \$180.00 / CUSIP: / Symbol:							
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	08/27/20	360.00	...	9.98	1 of 4 - Option sale to close-call ²⁵
	1.000	369.98	08/27/20	360.00	...	9.98	2 of 4 - Option sale to close-call ²⁵
	1.000	461.97	08/27/20	360.00	...	101.97	3 of 4 - Option sale to close-call ²⁵
	1.000	461.97	08/27/20	360.00	...	101.97	4 of 4 - Option sale to close-call ²⁵
08/28/20	4.000	1,663.90	Various	1,440.00	...	223.90	Total of 4 transactions
BA 09/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/06/20	1.000	1,949.94	04/03/20	1,300.00	...	649.94	Option sale to close-call ²⁵
BA 10/02/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	157.99	09/24/20	108.00	...	49.99	1 of 2 - Option sale to close-call ²⁵
	1.000	157.99	09/24/20	108.00	...	49.99	2 of 2 - Option sale to close-call ²⁵
09/25/20	2.000	315.98	Various	216.00	...	99.98	Total of 2 transactions
BA 10/02/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	323.98	09/30/20	118.00	...	205.98	1 of 2 - Option sale to close-call ²⁵
	1.000	404.98	09/30/20	118.00	...	286.98	2 of 2 - Option sale to close-call ²⁵
10/01/20	2.000	728.96	Various	236.00	...	492.96	Total of 2 transactions

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Robinhood Securities LLC

Account 130330186

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BA 10/16/2020 CALL \$180.00 / CUSIP: / Symbol:							
09/28/20	1.000	389.98	09/14/20	440.00	...	-50.02	Option sale to close-call ²⁵
BA 10/23/2020 CALL \$175.00 / CUSIP: / Symbol:							
10/09/20	1.000	344.98	10/08/20	380.00	...	-35.02	Option sale to close-call ²⁵
BA 12/11/2020 CALL \$235.00 / CUSIP: / Symbol:							
12/09/20	2.000	531.97	12/09/20	750.00	...	-218.03	Option sale to close-call ²⁵
BA 12/18/2020 CALL \$200.00 / CUSIP: / Symbol:							
11/09/20	2.000	1,039.96	11/04/20	248.00	...	791.96	Option sale to close-call ²⁵
BA 12/18/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/17/20	1.000	1,124.96	11/10/20	615.00	...	509.96	Option sale to close-call ²⁵
BABA 01/15/2021 CALL \$262.50 / CUSIP: / Symbol:							
12/23/20	1.000	474.97	12/22/20	625.00	...	-150.03	Option sale to close-call ²⁵
BABA 01/15/2021 CALL \$315.00 / CUSIP: / Symbol:							
12/14/20	2.000	121.98	11/24/20	790.00	...	-668.02	Option sale to close-call ²⁵
BABA 04/17/2020 PUT \$200.00 / CUSIP: / Symbol:							
04/14/20	2.000	247.98	04/14/20	200.00	...	47.98	Option sale to close-put ²⁵
BABA 04/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
04/20/20	2.000	403.98	04/20/20	352.00	...	51.98	Option sale to close-call ²⁵
BABA 05/22/2020 CALL \$230.00 / CUSIP: / Symbol:							
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	3.000	275.98	05/18/20	300.00	...	-24.02	1 of 2 - Option sale to close-call ²⁵
	1.000	92.00	05/18/20	100.00	...	-8.00	2 of 2 - Option sale to close-call ²⁵
05/18/20	4.000	367.98	Various	400.00	...	-32.02	Total of 2 transactions
BABA 06/05/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/04/20	3.000	323.98	06/04/20	309.00	...	14.98	Option sale to close-call ²⁵
BABA 06/12/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/09/20	4.000	1,279.96	06/09/20	1,120.00	...	159.96	Option sale to close-call ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 11/27/2020 CALL \$265.00 / CUSIP: / Symbol:							
11/19/20	1.000	399.98	11/18/20	330.00	...	69.98	Option sale to close-call ²⁵
BABA 12/11/2020 CALL \$275.00 / CUSIP: / Symbol:							
12/10/20	1.000	9.98	12/09/20	47.00	...	-37.02	Option sale to close-call ²⁵
BABA 12/18/2020 CALL \$265.00 / CUSIP: / Symbol:							
12/16/20	1.000	83.98	12/15/20	54.00	...	29.98	Option sale to close-call ²⁵
BABA 12/31/2020 CALL \$235.00 / CUSIP: / Symbol:							
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	334.98	12/24/20	242.00	...	92.98	1 of 2 - Option sale to close-call ²⁵
	1.000	414.98	12/24/20	242.00	...	172.98	2 of 2 - Option sale to close-call ²⁵
12/29/20	2.000	749.96	Various	484.00	...	265.96	Total of 2 transactions
BABA 12/31/2020 CALL \$245.00 / CUSIP: / Symbol:							
12/30/20	1.000	64.98	12/29/20	84.00	...	-19.02	Option sale to close-call ²⁵
BAC 01/15/2021 CALL \$28.00 / CUSIP: / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	4.000	639.97	04/17/20	620.00	...	19.97	1 of 2 - Option sale to close-call ²⁵
	2.000	309.98	05/13/20	172.00	...	137.98	2 of 2 - Option sale to close-call ²⁵
05/26/20	6.000	949.95	Various	792.00	...	157.95	Total of 2 transactions
BAC 01/15/2021 CALL \$29.00 / CUSIP: / Symbol:							
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	2.000	327.98	06/19/20	320.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	2.000	355.98	06/19/20	320.00	...	35.98	2 of 2 - Option sale to close-call ²⁵
12/23/20	4.000	683.96	Various	640.00	...	43.96	Total of 2 transactions
BAC 04/24/2020 CALL \$23.00 / CUSIP: / Symbol:							
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	88.00	04/17/20	78.00	...	10.00	1 of 2 - Option sale to close-call ²⁵
	9.000	791.96	04/17/20	702.00	...	89.96	2 of 2 - Option sale to close-call ²⁵
04/17/20	10.000	879.96	Various	780.00	...	99.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 07/17/2020 CALL \$25.50 / CUSIP: / Symbol:							
07/15/20	3.000	86.98	07/07/20	48.00	...	38.98	Option sale to close-call ²⁵
07/17/20	2.000	0.00	07/07/20	32.00	...	-32.00	Option expiration- long call
	Security total:	86.98		80.00	...	6.98	
BAC 08/21/2020 CALL \$25.50 / CUSIP: / Symbol:							
<i>2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	0.00	08/20/20	90.00	...	-90.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/20/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
08/21/20	10.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
BAC 10/16/2020 CALL \$27.00 / CUSIP: / Symbol:							
<i>5 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	10/07/20	10.00	...	-10.00	1 of 5 - Option expiration- long call
	1.000	0.00	10/07/20	10.00	...	-10.00	2 of 5 - Option expiration- long call
	1.000	0.00	10/07/20	10.00	...	-10.00	3 of 5 - Option expiration- long call
	1.000	0.00	10/07/20	10.00	...	-10.00	4 of 5 - Option expiration- long call
	1.000	0.00	10/07/20	10.00	...	-10.00	5 of 5 - Option expiration- long call
10/16/20	5.000	0.00	Various	50.00	...	-50.00	Total of 5 transactions
BAC 10/30/2020 CALL \$24.00 / CUSIP: / Symbol:							
<i>3 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	6.00	10/28/20	22.02	...	-16.02	1 of 3 - Option sale to close-call ²⁵
	1.000	5.99	10/28/20	14.00	...	-8.01	2 of 3 - Option sale to close-call ²⁵
	1.000	5.99	10/28/20	14.00	...	-8.01	3 of 3 - Option sale to close-call ²⁵
10/29/20	3.000	17.98	Various	50.02	...	-32.04	Total of 3 transactions
10/29/20	1.000	5.98	10/28/20	14.00	8.02 W	0.00	Option sale to close-call ²⁵
	Security total:	23.96		64.02	8.02 W	-32.04	
BCRX 02/21/2020 CALL \$4.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	01/21/20	61.00	...	-61.00	Option expiration- long call
BCRX 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/20/20	4.000	319.98	05/19/20	236.00	...	83.98	Option sale to close-call ²⁵

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BCRX 07/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/19/20	2.000	187.98	05/19/20	160.00	...	27.98	Option sale to close-call ²⁵
BIDU 04/24/2020 CALL \$106.00 / CUSIP: / Symbol:							
04/16/20	4.000	471.97	04/16/20	540.00	...	-68.03	Option sale to close-call ²⁵
BJ 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	05/22/20	170.02	...	-165.04	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	05/22/20	115.01	...	-110.02	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	9.97	Various	285.03	...	-275.06	Total of 2 transactions
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	05/22/20	60.00	55.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	4.99	05/22/20	60.00	55.01 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	4.99	05/22/20	115.01	110.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/04/20	3.000	14.97	Various	235.01	220.04 W	0.00	Total of 3 transactions
	Security total:	24.94		520.04	220.04 W	-275.06	
BMRN 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
12/11/20	1.000	479.97	12/10/20	450.00	...	29.97	Option sale to close-call ²⁵
BOX 09/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
08/27/20	4.000	299.98	08/26/20	280.00	...	19.98	Option sale to close-call ²⁵
BUD 03/13/2020 CALL \$70.00 / CUSIP: / Symbol:							
03/13/20	2.000	0.00	02/25/20	266.00	...	-266.00	Option expiration- long call
BYND 02/21/2020 CALL \$125.00 / CUSIP: / Symbol:							
02/19/20	1.000	272.98	01/24/20	810.00	...	-537.02	Option sale to close-call ²⁵
BYND 02/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
02/20/20	1.000	546.97	02/19/20	0.00	...	546.97	Short sale closed- call ²⁵ Option written: 01/24/20
BYND 02/28/2020 CALL \$120.00 / CUSIP: / Symbol:							
02/18/20	2.000	2,277.93	02/14/20	1,570.00	...	707.93	Option sale to close-call ²⁵

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BYND 02/28/2020 CALL \$135.00 / CUSIP: / Symbol:							
02/19/20	2.000	-424.03	02/18/20	0.00	...	-424.03	Short sale closed- call ²⁵ Option written: 02/14/20
BYND 04/24/2020 CALL \$85.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	454.97	04/21/20	405.00	...	49.97	1 of 2 - Option sale to close-call ²⁵
	1.000	454.97	04/21/20	405.00	...	49.97	2 of 2 - Option sale to close-call ²⁵
04/21/20	2.000	909.94	Various	810.00	...	99.94	Total of 2 transactions
BYND 05/15/2020 CALL \$120.00 / CUSIP: / Symbol:							
04/28/20	1.000	419.98	04/28/20	460.00	...	-40.02	Option sale to close-call ²⁵
C 10/16/2020 CALL \$47.50 / CUSIP: / Symbol:							
<i>2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	38.98	09/15/20	170.00	...	-131.02	1 of 2 - Option sale to close-call ²⁵
	1.000	38.98	09/15/20	170.00	...	-131.02	2 of 2 - Option sale to close-call ²⁵
09/28/20	2.000	77.96	Various	340.00	...	-262.04	Total of 2 transactions
CAT 02/14/2020 CALL \$136.00 / CUSIP: / Symbol:							
02/05/20	4.000	899.97	01/31/20	600.00	...	299.97	Option sale to close-call ²⁵
CAT 02/14/2020 CALL \$137.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	219.98	02/05/20	150.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	3.000	659.97	02/05/20	450.00	...	209.97	2 of 2 - Option sale to close-call ²⁵
02/05/20	4.000	879.95	Various	600.00	...	279.95	Total of 2 transactions
CAT 02/28/2020 CALL \$140.00 / CUSIP: / Symbol:							
02/13/20	1.000	255.98	02/12/20	242.00	...	13.98	Option sale to close-call ²⁵
CAT 05/15/2020 PUT \$110.00 / CUSIP: / Symbol:							
04/29/20	2.000	237.98	04/28/20	430.00	...	-192.02	Option sale to close-put ²⁵

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CAT 05/22/2020 CALL \$110.00 / CUSIP: / Symbol:							
4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	118.98	05/12/20	168.01	...	-49.03	1 of 4 - Option sale to close-call ²⁵
	1.000	118.98	05/12/20	168.01	...	-49.03	2 of 4 - Option sale to close-call ²⁵
	1.000	118.98	05/12/20	143.00	...	-24.02	3 of 4 - Option sale to close-call ²⁵
	1.000	118.98	05/12/20	143.00	...	-24.02	4 of 4 - Option sale to close-call ²⁵
05/12/20	4.000	475.92	Various	622.02	...	-146.10	Total of 4 transactions
05/12/20	2.000	369.98	05/12/20	420.00	50.02 W	0.00	Option sale to close-call ²⁵
	Security total:	845.90		1,042.02	50.02 W	-146.10	
CB 03/20/2020 CALL \$165.00 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	02/12/20	660.00	...	-660.00	Option expiration- long call
CCJ 05/01/2020 CALL \$10.00 / CUSIP: / Symbol:							
04/23/20	10.000	509.96	04/23/20	350.00	...	159.96	Option sale to close-call ²⁵
CCJ 09/18/2020 CALL \$11.00 / CUSIP: / Symbol:							
07/31/20	6.000	317.98	07/30/20	324.00	...	-6.02	Option sale to close-call ²⁵
08/03/20	4.000	223.98	07/30/20	216.00	...	7.98	Option sale to close-call ²⁵
	Security total:	541.96		540.00	...	1.96	
CCL 01/15/2021 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	2.000	179.99	12/28/20	206.00	...	-26.01	1 of 2 - Option sale to close-call ²⁵
	1.000	89.99	12/28/20	103.00	...	-13.01	2 of 2 - Option sale to close-call ²⁵
12/30/20	3.000	269.98	Various	309.00	...	-39.02	Total of 2 transactions
CCL 07/02/2020 PUT \$17.50 / CUSIP: / Symbol:							
06/19/20	1.000	150.98	06/19/20	165.00	...	-14.02	Option sale to close-put ²⁵
CCL 09/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	194.98	08/06/20	113.00	...	81.98	1 of 3 - Option sale to close-call ²⁵
	2.000	409.98	08/06/20	226.00	...	183.98	2 of 3 - Option sale to close-call ²⁵
	2.000	409.99	08/06/20	226.00	...	183.99	3 of 3 - Option sale to close-call ²⁵
08/10/20	5.000	1,014.95	Various	565.00	...	449.95	Total of 3 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 10/30/2020 CALL \$16.00 / CUSIP: / Symbol:							
10/05/20	4.000	343.98	09/30/20	572.00	...	-228.02	Option sale to close-call ²⁵
CCL 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	142.98	10/16/20	99.00	...	43.98	1 of 2 - Option sale to close-call ²⁵
	2.000	281.98	10/16/20	198.00	...	83.98	2 of 2 - Option sale to close-call ²⁵
10/22/20	3.000	424.96	Various	297.00	...	127.96	Total of 2 transactions
CEMI 08/21/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/15/20	4.000	479.97	07/13/20	420.00	...	59.97	Option sale to close-call ²⁵
CEO 02/21/2020 CALL \$165.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/12/20	170.00	...	-170.00	Option expiration- long call
CGC 10/16/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	2.000	29.98	09/03/20	248.00	...	-218.02	1 of 2 - Option sale to close-call ²⁵
	2.000	29.98	09/03/20	248.00	...	-218.02	2 of 2 - Option sale to close-call ²⁵
09/25/20	4.000	59.96	Various	496.00	...	-436.04	Total of 2 transactions
CGC 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
10/08/20	1.000	275.98	09/28/20	150.00	...	125.98	Option sale to close-call ²⁵
10/09/20	1.000	339.98	09/28/20	150.00	...	189.98	Option sale to close-call ²⁵
	Security total:	615.96		300.00	...	315.96	
CHWY 12/11/2020 CALL \$100.00 / CUSIP: / Symbol:							
12/10/20	1.000	2.98	12/08/20	49.00	...	-46.02	Option sale to close-call ²⁵
CIEN 05/29/2020 CALL \$52.00 / CUSIP: / Symbol:							
05/18/20	2.000	213.98	05/18/20	216.00	...	-2.02	Option sale to close-call ²⁵
CMI 02/28/2020 CALL \$170.00 / CUSIP: / Symbol:							
02/13/20	1.000	229.98	02/12/20	160.00	...	69.98	Option sale to close-call ²⁵

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CNDT 03/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	12/20/19	118.00	...	-118.00	1 of 3 - Option expiration- long call
	1.000	0.00	12/20/19	59.00	...	-59.00	2 of 3 - Option expiration- long call
	1.000	0.00	12/20/19	59.00	...	-59.00	3 of 3 - Option expiration- long call
03/20/20	4.000	0.00	Various	236.00	...	-236.00	Total of 3 transactions
COST 01/15/2021 CALL \$385.00 / CUSIP: / Symbol:							
12/22/20	1.000	138.98	11/25/20	950.00	...	-811.02	Option sale to close-call ²⁵
COST 02/28/2020 CALL \$312.50 / CUSIP: / Symbol:							
02/07/20	1.000	494.97	02/06/20	415.00	...	79.97	Option sale to close-call ²⁵
COST 03/27/2020 CALL \$290.00 / CUSIP: / Symbol:							
03/26/20	2.000	619.97	03/26/20	500.00	...	119.97	Option sale to close-call ²⁵
COST 05/15/2020 CALL \$305.00 / CUSIP: / Symbol:							
05/14/20	2.000	55.98	05/14/20	82.00	...	-26.02	Option sale to close-call ²⁵
COST 12/11/2020 PUT \$362.50 / CUSIP: / Symbol:							
12/11/20	1.000	10.98	12/10/20	126.00	...	-115.02	Option sale to close-put ²⁵
COST 12/18/2020 CALL \$400.00 / CUSIP: / Symbol:							
12/11/20	1.000	32.98	12/10/20	94.00	...	-61.02	Option sale to close-call ²⁵
COTY 06/19/2020 CALL \$7.00 / CUSIP: / Symbol:							
06/08/20	2.000	99.98	06/08/20	84.00	...	15.98	Option sale to close-call ²⁵
CRM 02/21/2020 CALL \$195.00 / CUSIP: / Symbol:							
01/14/20	4.000	439.98	01/13/20	520.00	...	-80.02	Option sale to close-call ²⁵
CRM 05/15/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	1.000	27.99	05/12/20	55.00	...	-27.01	1 of 2 - Option sale to close-call ²⁵
	1.000	27.99	05/12/20	55.00	...	-27.01	2 of 2 - Option sale to close-call ²⁵
05/12/20	2.000	55.98	Various	110.00	...	-54.02	Total of 2 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CRM 05/29/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	2.000	769.97	05/11/20	444.00	...	325.97	1 of 2 - Option sale to close-call ²⁵
	2.000	779.97	05/11/20	444.00	...	335.97	2 of 2 - Option sale to close-call ²⁵
05/11/20	4.000	1,549.94	Various	888.00	...	661.94	Total of 2 transactions
CRM 12/04/2020 PUT \$227.50 / CUSIP: / Symbol:							
12/02/20	1.000	1,039.96	12/01/20	238.00	...	801.96	Option sale to close-put ²⁵
CRON 01/31/2020 CALL \$9.50 / CUSIP: / Symbol:							
01/31/20	2.000	0.00	01/24/20	18.00	...	-18.00	Option expiration- long call
CRON 04/03/2020 CALL \$6.50 / CUSIP: / Symbol:							
03/31/20	1.000	8.98	03/30/20	73.02	...	-64.04	Option sale to close-call ²⁵
03/31/20	1.000	8.98	03/30/20	41.00	32.02 W	0.00	Option sale to close-call ²⁵
	Security total:	17.96		114.02	32.02 W	-64.04	
CRUS 09/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
08/26/20	2.000	159.98	08/14/20	280.00	...	-120.02	Option sale to close-call ²⁵
CRWD 01/17/2020 CALL \$65.00 / CUSIP: / Symbol:							
01/10/20	1.000	24.98	12/18/19	245.04	...	-220.06	Option sale to close-call ²⁵
2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	24.98	12/03/19	250.00	225.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	24.98	12/12/19	250.02	225.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/10/20	2.000	49.96	Various	500.02	450.06 W	0.00	Total of 2 transactions
	Security total:	74.94		745.06	450.06 W	-220.06	
CRWD 02/14/2020 CALL \$62.00 / CUSIP: / Symbol:							
01/30/20	2.000	433.98	01/24/20	310.00	...	123.98	Option sale to close-call ²⁵
CRWD 02/14/2020 CALL \$65.00 / CUSIP: / Symbol:							
01/31/20	2.000	-54.02	01/30/20	0.00	...	-54.02	Short sale closed- call ²⁵ Option written: 01/24/20
CRWD 03/20/2020 CALL \$65.00 / CUSIP: / Symbol:							
01/13/20	2.000	719.97	12/30/19	250.00	...	469.97	Option sale to close-call ²⁵

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 03/27/2020 CALL \$50.00 / CUSIP: / Symbol:							
03/20/20	4.000	1,239.96	03/19/20	480.00	...	759.96	Option sale to close-call ²⁵
CSCO 02/14/2020 PUT \$48.00 / CUSIP: / Symbol:							
02/13/20	1.000	109.98	02/12/20	58.00	...	51.98	Option sale to close-put ²⁵
CSCO 02/28/2020 CALL \$46.00 / CUSIP: / Symbol:							
02/20/20	20.000	1,799.92	02/19/20	1,160.00	...	639.92	Option sale to close-call ²⁵
CSCO 02/28/2020 CALL \$46.50 / CUSIP: / Symbol:							
<i>2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	0.00	02/21/20	500.00	...	-500.00	1 of 2 - Option expiration- long call
	5.000	0.00	02/24/20	55.00	...	-55.00	2 of 2 - Option expiration- long call
02/28/20	15.000	0.00	Various	555.00	...	-555.00	Total of 2 transactions
CSCO 03/06/2020 CALL \$42.00 / CUSIP: / Symbol:							
02/27/20	3.000	197.98	02/26/20	339.00	...	-141.02	Option sale to close-call ²⁵
CSCO 05/22/2020 CALL \$42.50 / CUSIP: / Symbol:							
05/14/20	2.000	403.98	05/13/20	244.00	...	159.98	Option sale to close-call ²⁵
CSCO 11/20/2020 CALL \$42.00 / CUSIP: / Symbol:							
09/30/20	2.000	169.98	09/01/20	456.00	...	-286.02	Option sale to close-call ²⁵
CSX 01/24/2020 CALL \$80.00 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/16/20	60.00	...	-60.00	Option expiration- long call
CSX 01/24/2020 CALL \$82.00 / CUSIP: / Symbol:							
01/24/20	1.000	29.98	01/24/20	0.00	...	29.98	Short sale closed- call expired Option written: 01/16/20
CYTK 01/15/2021 CALL \$22.00 / CUSIP: / Symbol:							
12/09/20	2.000	209.98	10/12/20	300.00	...	-90.02	Option sale to close-call ²⁵

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D 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	134.98	07/06/20	125.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	134.98	07/06/20	125.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
07/08/20	2.000	269.96	Various	250.00	...	19.96	Total of 2 transactions
DAL 04/17/2020 CALL \$23.00 / CUSIP: / Symbol:							
04/16/20	4.000	235.98	04/16/20	220.00	...	15.98	Option sale to close-call ²⁵
DAL 05/15/2020 PUT \$18.00 / CUSIP: / Symbol:							
05/14/20	2.000	63.98	05/13/20	36.00	...	27.98	Option sale to close-put ²⁵
DAL 08/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/06/20	5.000	459.97	08/05/20	350.00	...	109.97	Option sale to close-call ²⁵
08/10/20	5.000	569.97	08/05/20	350.00	...	219.97	Option sale to close-call ²⁵
	Security total:	1,029.94		700.00	...	329.94	
DAL 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	06/08/20	1,024.00	...	-1,024.00	1 of 5 - Option expiration- long call
	1.000	0.00	06/11/20	85.00	...	-85.00	2 of 5 - Option expiration- long call
	1.000	0.00	06/11/20	85.00	...	-85.00	3 of 5 - Option expiration- long call
	2.000	0.00	06/18/20	200.00	...	-200.00	4 of 5 - Option expiration- long call
	2.000	0.00	06/26/20	60.00	...	-60.00	5 of 5 - Option expiration- long call
08/21/20	10.000	0.00	Various	1,454.00	...	-1,454.00	Total of 5 transactions
DAL 12/04/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	3.000	26.98	11/25/20	72.00	...	-45.02	1 of 2 - Option sale to close-call ²⁵
	1.000	8.98	11/25/20	24.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
12/01/20	4.000	35.96	Various	96.00	...	-60.04	Total of 2 transactions
DBX 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/16/20	4.000	599.97	06/09/20	500.00	...	99.97	Option sale to close-call ²⁵

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DDOG 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/15/20	4.000	639.97	06/08/20	280.00	...	359.97	Option sale to close-call ²⁵
DDOG 08/07/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/06/20	1.000	174.98	07/31/20	350.00	...	-175.02	Option sale to close-call ²⁵
08/07/20	1.000	0.00	07/31/20	350.00	...	-350.00	Option expiration- long call
	Security total:	174.98		700.00	...	-525.02	
DDOG 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
08/13/20	1.000	589.97	08/10/20	270.00	...	319.97	Option sale to close-call ²⁵
08/20/20	1.000	539.97	08/10/20	270.00	...	269.97	Option sale to close-call ²⁵
	Security total:	1,129.94		540.00	...	589.94	
DDOG 10/30/2020 CALL \$110.00 / CUSIP: / Symbol:							
10/01/20	1.000	439.98	09/30/20	430.00	...	9.98	Option sale to close-call ²⁵
DDOG 11/13/2020 CALL \$107.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/10/20	125.00	...	-125.00	Option expiration- long call
DDOG 11/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,439.95	09/04/20	980.00	...	459.95	Option sale to close-call ²⁵
DDOG 12/11/2020 CALL \$99.00 / CUSIP: / Symbol:							
12/08/20	1.000	184.98	12/07/20	285.00	...	-100.02	Option sale to close-call ²⁵
DG 12/04/2020 CALL \$237.50 / CUSIP: / Symbol:							
	<i>2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	49.98	11/25/20	40.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	11/25/20	40.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
11/27/20	2.000	99.96	Various	80.00	...	19.96	Total of 2 transactions
DGX 05/15/2020 CALL \$115.00 / CUSIP: / Symbol:							
04/28/20	1.000	351.98	04/28/20	420.00	...	-68.02	Option sale to close-call ²⁵
DGX 07/17/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/17/20	4.000	0.00	07/15/20	60.00	...	-60.00	Option expiration- long call

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DHR 01/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
01/07/20	2.000	119.98	12/13/19	170.00	...	-50.02	Option sale to close-call ²⁵
DIA 08/14/2020 CALL \$279.00 / CUSIP: / Symbol:							
08/11/20	1.000	364.98	08/10/20	178.00	...	186.98	Option sale to close-call ²⁵
DIS 01/10/2020 CALL \$148.00 / CUSIP: / Symbol:							
01/09/20	1.000	29.98	12/27/19	130.00	...	-100.02	Option sale to close-call ²⁵
DIS 02/07/2020 CALL \$145.00 / CUSIP: / Symbol:							
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	429.98	02/03/20	287.00	...	142.98	1 of 2 - Option sale to close-call ²⁵
	1.000	432.98	02/03/20	287.00	...	145.98	2 of 2 - Option sale to close-call ²⁵
02/04/20	2.000	862.96	Various	574.00	...	288.96	Total of 2 transactions
DIS 02/07/2020 CALL \$148.00 / CUSIP: / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	-114.01	02/04/20	0.00	...	-114.01	1 of 2 - Short sale closed- call ²⁵ Option written: 02/03/20
	1.000	-111.01	02/04/20	0.00	...	-111.01	2 of 2 - Short sale closed- call ²⁵ Option written: 02/03/20
02/05/20	2.000	-225.02	Various	0.00	...	-225.02	Total of 2 transactions
DIS 03/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
03/19/20	2.000	203.98	03/19/20	270.00	...	-66.02	Option sale to close-call ²⁵
DIS 03/27/2020 PUT \$85.00 / CUSIP: / Symbol:							
03/25/20	3.000	185.98	03/24/20	276.00	...	-90.02	Option sale to close-put ²⁵
DIS 04/03/2020 PUT \$85.00 / CUSIP: / Symbol:							
03/18/20	2.000	1,179.96	03/18/20	1,370.00	...	-190.04	Option sale to close-put ²⁵
DIS 04/03/2020 PUT \$90.00 / CUSIP: / Symbol:							
03/30/20	2.000	303.98	03/30/20	370.00	...	-66.02	Option sale to close-put ²⁵
DIS 04/03/2020 PUT \$97.00 / CUSIP: / Symbol:							
03/31/20	1.000	189.98	03/30/20	330.00	...	-140.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIS 04/09/2020 PUT \$95.00 / CUSIP: / Symbol:							
03/30/20	2.000	899.97	03/30/20	920.00	...	-20.03	Option sale to close-put ²⁵
DIS 04/17/2020 CALL \$115.00 / CUSIP: / Symbol:							
03/23/20	3.000	89.98	03/20/20	255.00	165.02 W	0.00	Option sale to close-call ²⁵
04/07/20	3.000	125.98	04/07/20	324.02	...	-198.04	Option sale to close-call ²⁵
	Security total:	215.96		579.02	165.02 W	-198.04	
DIS 04/17/2020 PUT \$75.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	299.98	03/18/20	635.00	...	-335.02	1 of 2 - Option sale to close-put ²⁵
	1.000	299.98	03/18/20	635.00	...	-335.02	2 of 2 - Option sale to close-put ²⁵
03/20/20	2.000	599.96	Various	1,270.00	...	-670.04	Total of 2 transactions
DIS 04/17/2020 PUT \$90.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	364.98	03/30/20	390.00	...	-25.02	1 of 2 - Option sale to close-put ²⁵
	1.000	364.98	03/30/20	390.00	...	-25.02	2 of 2 - Option sale to close-put ²⁵
03/30/20	2.000	729.96	Various	780.00	...	-50.04	Total of 2 transactions
DIS 04/24/2020 CALL \$101.00 / CUSIP: / Symbol:							
04/22/20	2.000	525.97	04/21/20	450.00	...	75.97	Option sale to close-call ²⁵
DIS 06/19/2020 CALL \$115.00 / CUSIP: / Symbol:							
06/15/20	2.000	525.97	06/15/20	438.00	...	87.97	Option sale to close-call ²⁵
DIS 06/19/2020 CALL \$117.00 / CUSIP: / Symbol:							
06/12/20	1.000	196.98	06/12/20	233.00	...	-36.02	Option sale to close-call ²⁵
DIS 07/24/2020 CALL \$120.00 / CUSIP: / Symbol:							
07/22/20	3.000	206.98	07/16/20	690.00	...	-483.02	Option sale to close-call ²⁵
07/24/20	2.000	0.00	07/16/20	460.00	...	-460.00	Option expiration- long call
	Security total:	206.98		1,150.00	...	-943.02	

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DIS 08/07/2020 CALL \$118.00 / CUSIP: / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	225.98	07/31/20	168.00	...	57.98	1 of 2 - Option sale to close-call ²⁵
	1.000	225.98	07/31/20	168.00	...	57.98	2 of 2 - Option sale to close-call ²⁵
08/03/20	2.000	451.96	Various	336.00	...	115.96	Total of 2 transactions
08/04/20	1.000	301.98	07/31/20	168.00	...	133.98	Option sale to close-call ²⁵
	Security total:	753.94		504.00	...	249.94	
DIS 10/02/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/08/20	1.000	554.97	08/28/20	490.00	...	64.97	Option sale to close-call ²⁵
DIS 10/16/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	196.99	09/17/20	250.00	...	-53.01	1 of 2 - Option sale to close-call ²⁵
	1.000	196.99	09/17/20	250.00	...	-53.01	2 of 2 - Option sale to close-call ²⁵
09/18/20	2.000	393.98	Various	500.00	...	-106.02	Total of 2 transactions
DIS 10/23/2020 CALL \$128.00 / CUSIP: / Symbol:							
10/16/20	2.000	347.98	10/15/20	316.00	...	31.98	Option sale to close-call ²⁵
DKNG 12/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
11/24/20	1.000	99.98	10/15/20	410.00	...	-310.02	Option sale to close-call ²⁵
DLTR 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/02/20	9.000	2,474.92	06/02/20	2,070.00	...	404.92	Option sale to close-call ²⁵
DLTR 07/24/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/16/20	4.000	327.98	07/16/20	592.00	...	-264.02	Option sale to close-call ²⁵
DOW 09/18/2020 CALL \$47.50 / CUSIP: / Symbol:							
08/14/20	6.000	419.98	08/12/20	414.00	...	5.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DPZ 04/17/2020 CALL \$380.00 / CUSIP: / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	70.00	04/13/20	45.00	...	25.00	1 of 2 - Option sale to close-call ²⁵
	3.000	209.98	04/13/20	135.00	...	74.98	2 of 2 - Option sale to close-call ²⁵
04/13/20	4.000	279.98	Various	180.00	...	99.98	Total of 2 transactions
DPZ 07/17/2020 CALL \$415.00 / CUSIP: / Symbol:							
07/16/20	2.000	209.98	07/16/20	370.00	...	-160.02	Option sale to close-call ²⁵
DPZ 10/30/2020 CALL \$430.00 / CUSIP: / Symbol:							
10/13/20	1.000	159.98	10/08/20	330.00	...	-170.02	Option sale to close-call ²⁵
DVA 02/28/2020 CALL \$87.00 / CUSIP: / Symbol:							
02/11/20	1.000	259.98	02/10/20	130.00	...	129.98	Option sale to close-call ²⁵
EBAY 07/17/2020 CALL \$52.50 / CUSIP: / Symbol:							
06/23/20	2.000	197.98	06/23/20	202.00	...	-4.02	Option sale to close-call ²⁵
ECL 05/15/2020 CALL \$195.00 / CUSIP: / Symbol:							
04/17/20	2.000	499.97	04/17/20	480.00	...	19.97	Option sale to close-call ²⁵
EDU 01/17/2020 CALL \$135.00 / CUSIP: / Symbol:							
3 transactions for 01/07/20. Total proceeds and cost reported to the IRS.							
	2.000	469.98	01/07/20	300.00	...	169.98	1 of 3 - Option sale to close-call ²⁵
	3.000	704.97	01/07/20	450.00	...	254.97	2 of 3 - Option sale to close-call ²⁵
	3.000	704.97	01/07/20	450.00	...	254.97	3 of 3 - Option sale to close-call ²⁵
01/07/20	8.000	1,879.92	Various	1,200.00	...	679.92	Total of 3 transactions
01/08/20	2.000	219.98	01/07/20	300.00	...	-80.02	Option sale to close-call ²⁵
	Security total:	2,099.90		1,500.00	...	599.90	
EDU 02/21/2020 CALL \$145.00 / CUSIP: / Symbol:							
01/14/20	2.000	489.97	01/13/20	580.00	...	-90.03	Option sale to close-call ²⁵
EEM 07/31/2020 CALL \$44.00 / CUSIP: / Symbol:							
07/15/20	4.000	243.98	07/14/20	236.00	...	7.98	Option sale to close-call ²⁵

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ERIC 09/18/2020 CALL \$12.00 / CUSIP: / Symbol:							
07/27/20	5.000	274.98	07/21/20	350.00	...	-75.02	Option sale to close-call ²⁵
ESTC 02/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
02/04/20	1.000	239.98	01/24/20	175.00	...	64.98	Option sale to close-call ²⁵
ESTC 03/20/2020 CALL \$70.00 / CUSIP: / Symbol:							
01/10/20	1.000	599.97	12/06/19	409.00	...	190.97	Option sale to close-call ²⁵
ESTC 03/20/2020 PUT \$60.00 / CUSIP: / Symbol:							
02/28/20	1.000	144.98	02/27/20	90.00	...	54.98	Option sale to close-put ²⁵
ESTC 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
04/06/20	2.000	349.98	04/06/20	320.00	...	29.98	Option sale to close-call ²⁵
ETSY 09/18/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/10/20	1.000	524.97	09/09/20	395.00	...	129.97	Option sale to close-call ²⁵
EXPE 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
04/17/20	2.000	819.97	04/14/20	600.00	...	219.97	Option sale to close-call ²⁵
04/22/20	4.000	1,439.95	04/21/20	1,040.00	...	399.95	Option sale to close-call ²⁵
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	2.000	699.98	05/06/20	560.00	...	139.98	1 of 3 - Option sale to close-call ²⁵
	1.000	349.99	05/13/20	250.00	...	99.99	2 of 3 - Option sale to close-call ²⁵
	1.000	349.98	05/13/20	220.00	...	129.98	3 of 3 - Option sale to close-call ²⁵
05/15/20	4.000	1,399.95	Various	1,030.00	...	369.95	Total of 3 transactions
	Security total:	3,659.87		2,670.00	...	989.87	
EXPE 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	454.98	06/26/20	225.00	...	229.98	1 of 2 - Option sale to close-call ²⁵
	1.000	454.98	07/08/20	210.00	...	244.98	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	909.96	Various	435.00	...	474.96	Total of 2 transactions
08/24/20	2.000	529.97	08/03/20	250.00	...	279.97	Option sale to close-call ²⁵
08/25/20	1.000	339.98	08/03/20	125.00	...	214.98	Option sale to close-call ²⁵

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EXPE 01/15/2021 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
08/27/20	1.000	374.98	08/03/20	125.00	...	249.98	Option sale to close-call ²⁵
10/23/20	2.000	609.97	09/28/20	364.00	...	245.97	Option sale to close-call ²⁵
	Security total:	2,764.86		1,299.00	...	1,465.86	
EXPE 05/22/2020 PUT \$69.00 / CUSIP: / Symbol:							
05/22/20	1.000	24.98	05/21/20	0.00	...	24.98	Short sale closed- put ²⁵ Option written: 05/20/20
EXPE 05/22/2020 PUT \$72.50 / CUSIP: / Symbol:							
05/21/20	1.000	44.98	05/20/20	85.00	...	-40.02	Option sale to close-put ²⁵
F 07/31/2020 CALL \$7.50 / CUSIP: / Symbol:							
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	5.000	0.00	07/30/20	15.00	...	-15.00	1 of 2 - Option expiration- long call
	5.000	0.00	07/30/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
07/31/20	10.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
FB 04/17/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/09/20	2.000	859.97	04/09/20	810.00	...	49.97	Option sale to close-call ²⁵
FB 04/17/2020 CALL \$225.00 / CUSIP: / Symbol:							
04/17/20	3.000	0.00	02/14/20	1,167.00	...	-1,167.00	Option expiration- long call
FB 04/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
04/17/20	3.000	785.97	04/17/20	0.00	...	785.97	Short sale closed- call expired Option written: 02/14/20
FB 04/24/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/21/20	2.000	399.98	04/21/20	414.00	...	-14.02	Option sale to close-call ²⁵
FB 05/01/2020 CALL \$205.00 / CUSIP: / Symbol:							
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	1.000	309.99	04/27/20	260.00	...	49.99	1 of 2 - Option sale to close-call ²⁵
	1.000	309.98	04/27/20	136.00	...	173.98	2 of 2 - Option sale to close-call ²⁵
04/29/20	2.000	619.97	Various	396.00	...	223.97	Total of 2 transactions

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FB 05/01/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/29/20	39.00	...	-39.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/29/20	39.00	...	-39.00	2 of 2 - Option expiration- long call
05/01/20	2.000	0.00	Various	78.00	...	-78.00	Total of 2 transactions
FB 05/08/2020 CALL \$210.00 / CUSIP: / Symbol:							
05/04/20	2.000	329.98	05/04/20	208.00	...	121.98	Option sale to close-call ²⁵
FB 05/15/2020 CALL \$225.00 / CUSIP: / Symbol:							
05/12/20	1.000	23.98	05/11/20	30.02	...	-6.04	Option sale to close-call ²⁵
05/12/20	1.000	23.98	05/11/20	27.00	3.02 W	0.00	Option sale to close-call ²⁵
	Security total:	47.96		57.02	3.02 W	-6.04	
FB 05/29/2020 CALL \$217.50 / CUSIP: / Symbol:							
05/19/20	2.000	749.97	05/19/20	564.00	...	185.97	Option sale to close-call ²⁵
FB 06/05/2020 CALL \$225.00 / CUSIP: / Symbol:							
05/05/20	4.000	839.97	04/30/20	624.00	...	215.97	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$205.00 / CUSIP: / Symbol:							
04/22/20	3.000	1,109.96	04/20/20	885.00	...	224.96	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/15/20	1.000	429.98	05/15/20	470.00	...	-40.02	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/15/20	2.000	789.97	06/15/20	740.00	...	49.97	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/22/20	1.000	429.98	05/22/20	480.00	...	-50.02	Option sale to close-call ²⁵
FB 06/19/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/17/20	2.000	129.98	06/16/20	106.00	...	23.98	Option sale to close-call ²⁵
FB 06/26/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/23/20	2.000	609.97	06/23/20	588.00	...	21.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 06/26/2020 CALL \$250.00 / CUSIP: / Symbol:							
06/23/20	2.000	283.98	06/23/20	282.00	...	1.98	Option sale to close-call ²⁵
FB 07/02/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/22/20	1.000	364.98	06/19/20	325.00	...	39.98	Option sale to close-call ²⁵
FB 07/02/2020 CALL \$250.00 / CUSIP: / Symbol:							
		<i>2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>					
	3.000	530.97	06/22/20	615.00	...	-84.03	1 of 2 - Option sale to close-call ²⁵
	1.000	176.98	06/22/20	205.00	...	-28.02	2 of 2 - Option sale to close-call ²⁵
06/22/20	4.000	707.95	Various	820.00	...	-112.05	Total of 2 transactions
FB 07/17/2020 CALL \$255.00 / CUSIP: / Symbol:							
06/16/20	1.000	279.98	06/16/20	310.00	...	-30.02	Option sale to close-call ²⁵
FB 07/31/2020 CALL \$260.00 / CUSIP: / Symbol:							
07/31/20	1.000	26.98	07/30/20	51.02	...	-24.04	Option sale to close-call ²⁵
07/31/20	1.000	26.98	07/30/20	39.00	12.02 W	0.00	Option sale to close-call ²⁵
	Security total:	53.96		90.02	12.02 W	-24.04	
FB 10/02/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/30/20	1.000	88.98	09/29/20	120.00	...	-31.02	Option sale to close-call ²⁵
FB 10/30/2020 CALL \$270.00 / CUSIP: / Symbol:							
10/29/20	1.000	1,384.95	10/28/20	850.00	...	534.95	Option sale to close-call ²⁵
FB 11/20/2020 CALL \$275.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/19/20	96.00	...	-96.00	Option expiration- long call
FB 12/18/2020 CALL \$275.00 / CUSIP: / Symbol:							
11/24/20	1.000	924.96	11/20/20	780.00	...	144.96	Option sale to close-call ²⁵
FB 12/18/2020 CALL \$305.00 / CUSIP: / Symbol:							
10/21/20	1.000	1,054.96	09/28/20	580.00	...	474.96	Option sale to close-call ²⁵
FCEL 01/17/2020 CALL \$3.00 / CUSIP: / Symbol:							
01/08/20	3.000	29.98	12/31/19	90.00	...	-60.02	Option sale to close-call ²⁵

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FCEL 05/15/2020 CALL \$2.50 / CUSIP: / Symbol:							
04/28/20	2.000	43.98	04/27/20	50.00	...	-6.02	Option sale to close-call ²⁵
FCN 02/21/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	12/31/19	344.00	...	95.98	1 of 2 - Option sale to close-call ²⁵
	1.000	439.99	12/31/19	344.00	...	95.99	2 of 2 - Option sale to close-call ²⁵
01/13/20	2.000	879.97	Various	688.00	...	191.97	Total of 2 transactions
FCN 02/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	1.000	-7.02	01/13/20	0.00	...	-7.02	1 of 2 - Short sale closed- call ²⁵ Option written: 12/31/19
	1.000	-7.02	01/13/20	0.00	...	-7.02	2 of 2 - Short sale closed- call ²⁵ Option written: 12/31/19
01/14/20	2.000	-14.04	Various	0.00	...	-14.04	Total of 2 transactions
FCN 07/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/30/20	2.000	329.98	06/10/20	500.00	...	-170.02	Option sale to close-call ²⁵
FDX 01/24/2020 CALL \$167.50 / CUSIP: / Symbol:							
01/24/20	5.000	0.00	01/14/20	535.00	...	-535.00	Option expiration- long call
FDX 01/31/2020 CALL \$162.50 / CUSIP: / Symbol:							
01/16/20	1.000	176.98	01/14/20	380.00	...	-203.02	Option sale to close-call ²⁵
FDX 07/02/2020 PUT \$118.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	30.00	06/30/20	20.00	...	10.00	1 of 2 - Option sale to close-put ²⁵
	3.000	89.98	06/30/20	60.00	...	29.98	2 of 2 - Option sale to close-put ²⁵
06/30/20	4.000	119.98	Various	80.00	...	39.98	Total of 2 transactions

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(continued)

02/14/2021

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FDX 07/02/2020 PUT \$120.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	3.000	-30.02	06/30/20	0.00	...	-30.02	1 of 2 - Short sale closed- put ²⁵ Option written: 06/30/20
	1.000	-10.02	06/30/20	0.00	...	-10.02	2 of 2 - Short sale closed- put ²⁵ Option written: 06/30/20
07/01/20	4.000	-40.04	Various	0.00	...	-40.04	Total of 2 transactions
FEYE 07/31/2020 PUT \$12.00 / CUSIP: / Symbol:							
07/31/20	2.000	0.00	07/28/20	20.00	...	-20.00	Option expiration- long put
FEYE 08/07/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	116.99	07/28/20	50.00	...	66.99	1 of 2 - Option sale to close-call ²⁵
	3.000	350.98	07/28/20	150.00	...	200.98	2 of 2 - Option sale to close-call ²⁵
07/29/20	4.000	467.97	Various	200.00	...	267.97	Total of 2 transactions
FISV 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/11/20	540.00	...	-540.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/25/20	320.00	...	-320.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/10/20	170.00	...	-170.00	3 of 3 - Option expiration- long call
09/18/20	3.000	0.00	Various	1,030.00	...	-1,030.00	Total of 3 transactions
FISV 11/20/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/17/20	1.000	379.98	08/27/20	280.00	...	99.98	Option sale to close-call ²⁵
FITB 02/19/2021 CALL \$29.00 / CUSIP: / Symbol:							
12/28/20	3.000	329.98	12/21/20	270.00	...	59.98	Option sale to close-call ²⁵
FIVE 06/12/2020 PUT \$100.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/09/20	264.00	...	-264.00	Option expiration- long put
FLEX 02/19/2021 CALL \$18.00 / CUSIP: / Symbol:							
12/28/20	2.000	309.98	12/18/20	310.00	...	-0.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FRO 05/15/2020 CALL \$9.00 / CUSIP: / Symbol:							
05/08/20	5.000	54.98	05/08/20	100.00	...	-45.02	Option sale to close-call ²⁵
FSLR 01/15/2021 CALL \$95.00 / CUSIP: / Symbol:							
11/25/20	2.000	1,089.96	11/19/20	650.00	...	439.96	Option sale to close-call ²⁵
FSLY 02/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/20/20	60.00	...	-60.00	Option expiration- long call
FSLY 07/02/2020 CALL \$70.00 / CUSIP: / Symbol:							
06/19/20	3.000	569.97	06/18/20	510.00	...	59.97	Option sale to close-call ²⁵
FSLY 10/30/2020 CALL \$84.00 / CUSIP: / Symbol:							
10/28/20	2.000	209.98	10/23/20	382.00	...	-172.02	Option sale to close-call ²⁵
FSLY 11/20/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	194.99	11/06/20	230.00	...	-35.01	1 of 2 - Option sale to close-call ²⁵
	1.000	194.99	11/06/20	230.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
11/12/20	2.000	389.98	Various	460.00	...	-70.02	Total of 2 transactions
FSLY 12/18/2020 CALL \$104.00 / CUSIP: / Symbol:							
12/16/20	1.000	64.98	12/11/20	470.00	...	-405.02	Option sale to close-call ²⁵
FSLY 12/31/2020 CALL \$105.00 / CUSIP: / Symbol:							
12/10/20	1.000	649.97	12/10/20	550.00	...	99.97	Option sale to close-call ²⁵
GD 05/15/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/15/20	2.000	0.00	04/28/20	20.00	...	-20.00	Option expiration- long call
GDX 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/23/20	2.000	375.98	12/22/20	312.00	...	63.98	Option sale to close-call ²⁵
GDX 02/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	3.000	50.98	12/31/19	288.00	...	-237.02	1 of 2 - Option sale to close-call ²⁵
	2.000	33.98	12/31/19	192.00	...	-158.02	2 of 2 - Option sale to close-call ²⁵
02/20/20	5.000	84.96	Various	480.00	...	-395.04	Total of 2 transactions

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GDx 05/29/2020 CALL \$33.00 / CUSIP: / Symbol:							
04/22/20	5.000	954.96	04/20/20	850.00	...	104.96	Option sale to close-call ²⁵
GDx 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
04/27/20	2.000	515.97	04/23/20	600.00	...	-84.03	Option sale to close-call ²⁵
GDx 09/18/2020 CALL \$42.00 / CUSIP: / Symbol:							
04/16/20	2.000	277.98	04/16/20	270.00	...	7.98	Option sale to close-call ²⁵
GE 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	18.00	05/08/20	14.00	...	4.00	1 of 3 - Option sale to close-call ²⁵
	3.000	53.99	05/08/20	42.00	...	11.99	2 of 3 - Option sale to close-call ²⁵
	3.000	53.99	05/08/20	42.00	...	11.99	3 of 3 - Option sale to close-call ²⁵
11/18/20	7.000	125.98	Various	98.00	...	27.98	Total of 3 transactions
GE 01/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
4 transactions for 01/17/20. Total proceeds and cost reported to the IRS.							
	40.000	0.00	01/07/20	160.00	...	-160.00	1 of 4 - Option expiration- long call
	24.000	0.00	01/07/20	96.00	...	-96.00	2 of 4 - Option expiration- long call
	20.000	0.00	01/07/20	80.00	...	-80.00	3 of 4 - Option expiration- long call
	16.000	0.00	01/07/20	64.00	...	-64.00	4 of 4 - Option expiration- long call
01/17/20	100.000	0.00	Various	400.00	...	-400.00	Total of 4 transactions
GE 05/01/2020 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	25.98	04/27/20	10.00	...	15.98	1 of 3 - Option sale to close-call ²⁵
	1.000	25.99	04/27/20	10.00	...	15.99	2 of 3 - Option sale to close-call ²⁵
	2.000	51.99	04/27/20	20.00	...	31.99	3 of 3 - Option sale to close-call ²⁵
04/28/20	4.000	103.96	Various	40.00	...	63.96	Total of 3 transactions
04/29/20	6.000	35.98	04/27/20	60.00	...	-24.02	Option sale to close-call ²⁵
	Security total:	139.94		100.00	...	39.94	
GE 05/15/2020 CALL \$8.00 / CUSIP: / Symbol:							
05/15/20	10.000	0.00	04/28/20	60.00	...	-60.00	Option expiration- long call

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GILD 03/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	03/19/20	35.00	...	14.98	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	03/19/20	35.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
03/20/20	2.000	99.96	Various	70.00	...	29.96	Total of 2 transactions
GILD 03/27/2020 PUT \$67.00 / CUSIP: / Symbol:							
03/23/20	1.000	82.98	03/23/20	105.00	...	-22.02	Option sale to close-put ²⁵
GILD 04/03/2020 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	71.98	03/30/20	130.00	...	-58.02	1 of 4 - Option sale to close-call ²⁵
	1.000	71.98	03/30/20	130.00	...	-58.02	2 of 4 - Option sale to close-call ²⁵
	1.000	71.98	03/30/20	130.00	...	-58.02	3 of 4 - Option sale to close-call ²⁵
	1.000	71.98	03/30/20	130.00	...	-58.02	4 of 4 - Option sale to close-call ²⁵
03/30/20	4.000	287.92	Various	520.00	...	-232.08	Total of 4 transactions
GILD 04/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
03/23/20	2.000	749.97	03/23/20	880.00	...	-130.03	Option sale to close-call ²⁵
GILD 04/17/2020 CALL \$85.00 / CUSIP: / Symbol:							
04/14/20	2.000	51.98	04/13/20	50.00	...	1.98	Option sale to close-call ²⁵
GILD 04/24/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	148.98	04/13/20	114.00	...	34.98	1 of 2 - Option sale to close-call ²⁵
	2.000	297.98	04/13/20	228.00	...	69.98	2 of 2 - Option sale to close-call ²⁵
04/14/20	3.000	446.96	Various	342.00	...	104.96	Total of 2 transactions
04/23/20	2.000	259.98	04/23/20	240.00	...	19.98	Option sale to close-call ²⁵
	Security total:	706.94		582.00	...	124.94	
GILD 05/01/2020 CALL \$85.00 / CUSIP: / Symbol:							
04/23/20	2.000	769.97	04/22/20	670.00	...	99.97	Option sale to close-call ²⁵

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GILD 05/15/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/29/20	112.00	...	-112.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/29/20	112.00	...	-112.00	2 of 2 - Option expiration- long call
05/15/20	2.000	0.00	Various	224.00	...	-224.00	Total of 2 transactions
GILD 06/05/2020 CALL \$75.00 / CUSIP: / Symbol:							
05/20/20	1.000	229.98	05/20/20	210.00	...	19.98	Option sale to close-call ²⁵
GILD 07/31/2020 PUT \$69.00 / CUSIP: / Symbol:							
07/31/20	1.000	7.98	07/30/20	27.00	...	-19.02	Option sale to close-put ²⁵
GILD 08/07/2020 CALL \$76.00 / CUSIP: / Symbol:							
08/03/20	2.000	15.98	07/30/20	128.00	...	-112.02	Option sale to close-call ²⁵
GILD 08/21/2020 CALL \$110.00 / CUSIP: / Symbol:							
04/16/20	2.000	325.98	04/16/20	262.00	...	63.98	Option sale to close-call ²⁵
GILD 08/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
04/16/20	5.000	519.97	04/13/20	400.00	...	119.97	Option sale to close-call ²⁵
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	217.99	04/17/20	190.00	...	27.99	1 of 2 - Option sale to close-call ²⁵
	1.000	217.99	04/17/20	190.00	...	27.99	2 of 2 - Option sale to close-call ²⁵
04/22/20	2.000	435.98	Various	380.00	...	55.98	Total of 2 transactions
	Security total:	955.95		780.00	...	175.95	
GILD 10/30/2020 CALL \$67.00 / CUSIP: / Symbol:							
10/30/20	3.000	0.00	10/15/20	141.00	...	-141.00	Option expiration- long call
GIS 11/20/2020 CALL \$65.00 / CUSIP: / Symbol:							
10/22/20	2.000	55.98	10/15/20	174.00	...	-118.02	Option sale to close-call ²⁵
GLD 02/19/2021 CALL \$169.00 / CUSIP: / Symbol:							
12/07/20	1.000	915.96	11/24/20	613.00	...	302.96	Option sale to close-call ²⁵
GLD 06/19/2020 CALL \$165.00 / CUSIP: / Symbol:							
05/13/20	1.000	259.98	05/12/20	244.00	...	15.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLUU 07/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/04/20	9.000	629.96	06/04/20	585.00	...	44.96	Option sale to close-call ²⁵
GLUU 08/21/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/13/20	75.00	...	-75.00	1 of 2 - Option expiration- long call
	3.000	0.00	08/13/20	75.00	...	-75.00	2 of 2 - Option expiration- long call
08/21/20	6.000	0.00	Various	150.00	...	-150.00	Total of 2 transactions
GM 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/05/20	2.000	433.98	06/04/20	256.00	...	177.98	Option sale to close-call ²⁵
GME 04/03/2020 PUT \$4.00 / CUSIP: / Symbol:							
03/27/20	1.000	31.98	03/26/20	60.00	...	-28.02	Option sale to close-put ²⁵
GOOG 05/29/2020 CALL \$1,500.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	05/20/20	140.00	...	14.99	1 of 2 - Option sale to close-call ²⁵
	3.000	464.98	05/20/20	420.00	...	44.98	2 of 2 - Option sale to close-call ²⁵
05/20/20	4.000	619.97	Various	560.00	...	59.97	Total of 2 transactions
GOOGL 03/20/2020 CALL \$1,200.0 / CUSIP: / Symbol:							
03/19/20	2.000	289.98	03/19/20	300.00	...	-10.02	Option sale to close-call ²⁵
GOOGL 05/29/2020 CALL \$1,420.0 / CUSIP: / Symbol:							
05/22/20	1.000	1,369.95	05/22/20	1,220.00	...	149.95	Option sale to close-call ²⁵
GOOGL 07/31/2020 CALL \$1,600.0 / CUSIP: / Symbol:							
07/20/20	1.000	3,439.91	07/14/20	2,720.00	...	719.91	Option sale to close-call ²⁵
07/30/20	1.000	956.96	07/24/20	1,133.00	...	-176.04	Option sale to close-call ²⁵
	Security total:	4,396.87		3,853.00	...	543.87	

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOOGL 07/31/2020 CALL \$1,700.0 / CUSIP: / Symbol:							
07/21/20	1.000	59.96	07/20/20	0.00	...	59.96	Short sale closed- call ²⁵
07/31/20	1.000	145.98	07/30/20	0.00	...	145.98	Option written: 07/14/20 Short sale closed- call ²⁵ Option written: 07/24/20
Security total:		205.94		0.00	...	205.94	
GPC 11/20/2020 CALL \$105.00 / CUSIP: / Symbol:							
10/20/20	1.000	319.98	10/14/20	290.00	...	29.98	Option sale to close-call ²⁵
GPS 07/02/2020 CALL \$11.50 / CUSIP: / Symbol:							
06/22/20	2.000	151.98	06/16/20	190.00	...	-38.02	Option sale to close-call ²⁵
GRPN 02/21/2020 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/18/20	21.00	...	-21.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/18/20	21.00	...	-21.00	2 of 2 - Option expiration- long call
02/21/20	2.000	0.00	Various	42.00	...	-42.00	Total of 2 transactions
GRPN 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
06/08/20	4.000	95.98	06/08/20	92.00	...	3.98	Option sale to close-call ²⁵
GRWG 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
11/13/20	2.000	499.97	11/06/20	390.00	...	109.97	Option sale to close-call ²⁵
GS 01/03/2020 CALL \$240.00 / CUSIP: / Symbol:							
01/03/20	2.000	0.00	12/26/19	30.00	...	-30.00	Option expiration- long call
GS 01/15/2021 CALL \$200.00 / CUSIP: / Symbol:							
11/09/20	1.000	1,909.94	11/05/20	1,325.00	...	584.94	Option sale to close-call ²⁵
GS 04/09/2020 CALL \$172.50 / CUSIP: / Symbol:							
04/08/20	2.000	429.98	04/08/20	376.00	...	53.98	Option sale to close-call ²⁵
GS 07/31/2020 CALL \$235.00 / CUSIP: / Symbol:							
07/31/20	4.000	0.00	07/16/20	976.00	...	-976.00	Option expiration- long call

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GS 09/04/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/27/20	2.000	571.97	08/24/20	476.00	...	95.97	Option sale to close-call ²⁵
HAE 06/19/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/21/20	2.000	519.97	05/20/20	530.00	...	-10.03	Option sale to close-call ²⁵
HAL 05/15/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	81.98	04/28/20	80.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	3.000	245.98	04/28/20	240.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
04/28/20	4.000	327.96	Various	320.00	...	7.96	Total of 2 transactions
HAL 05/29/2020 CALL \$11.50 / CUSIP: / Symbol:							
05/19/20	4.000	191.98	05/19/20	172.00	...	19.98	Option sale to close-call ²⁵
HD 02/19/2021 CALL \$265.00 / CUSIP: / Symbol:							
12/15/20	1.000	1,269.96	12/07/20	1,060.00	...	209.96	Option sale to close-call ²⁵
HD 08/21/2020 CALL \$315.00 / CUSIP: / Symbol:							
08/18/20	1.000	17.98	08/17/20	70.00	...	-52.02	Option sale to close-call ²⁵
HLT 02/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
02/11/20	1.000	14.98	02/10/20	35.00	...	-20.02	Option sale to close-call ²⁵
HLT 02/21/2020 PUT \$105.00 / CUSIP: / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	02/10/20	118.00	...	-73.01	1 of 2 - Option sale to close-put ²⁵
	1.000	44.99	02/10/20	118.00	...	-73.01	2 of 2 - Option sale to close-put ²⁵
02/11/20	2.000	89.98	Various	236.00	...	-146.02	Total of 2 transactions
HLT 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/23/20	1.000	194.98	06/16/20	420.00	...	-225.02	Option sale to close-call ²⁵

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Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HPQ 07/31/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	42.98	07/09/20	36.00	...	6.98	1 of 2 - Option sale to close-call ²⁵
	2.000	85.98	07/09/20	72.00	...	13.98	2 of 2 - Option sale to close-call ²⁵
07/10/20	3.000	128.96	Various	108.00	...	20.96	Total of 2 transactions
HRL 05/15/2020 PUT \$41.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	04/27/20	25.00	...	-15.01	1 of 2 - Option sale to close-put ²⁵
	1.000	9.99	04/27/20	25.00	...	-15.01	2 of 2 - Option sale to close-put ²⁵
04/28/20	2.000	19.98	Various	50.00	...	-30.02	Total of 2 transactions
HYG 05/15/2020 PUT \$75.00 / CUSIP: / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	1.000	116.98	04/07/20	264.00	...	-147.02	1 of 2 - Option sale to close-put ²⁵
	1.000	117.98	04/07/20	264.00	...	-146.02	2 of 2 - Option sale to close-put ²⁵
04/15/20	2.000	234.96	Various	528.00	...	-293.04	Total of 2 transactions
HZNP 05/21/2021 CALL \$70.00 / CUSIP: / Symbol:							
12/28/20	1.000	1,219.96	12/17/20	1,270.00	...	-50.04	Option sale to close-call ²⁵
IBM 01/24/2020 CALL \$144.00 / CUSIP: / Symbol:							
01/22/20	1.000	89.98	01/21/20	139.00	...	-49.02	Option sale to close-call ²⁵
IBM 01/24/2020 CALL \$146.00 / CUSIP: / Symbol:							
01/23/20	1.000	58.98	01/22/20	0.00	...	58.98	Short sale closed- call ²⁵ Option written: 01/21/20
IBM 10/23/2020 CALL \$128.00 / CUSIP: / Symbol:							
10/23/20	2.000	0.00	10/16/20	394.00	...	-394.00	Option expiration- long call
IBM 10/23/2020 PUT \$122.00 / CUSIP: / Symbol:							
10/20/20	1.000	369.98	10/19/20	124.00	...	245.98	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INTC 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	3.000	14.99	10/07/20	372.00	...	-357.01	1 of 3 - Option sale to close-call ²⁵
	1.000	5.00	10/07/20	124.00	...	-119.00	2 of 3 - Option sale to close-call ²⁵
	4.000	19.98	10/29/20	44.00	...	-24.02	3 of 3 - Option sale to close-call ²⁵
12/31/20	8.000	39.97	Various	540.00	...	-500.03	Total of 3 transactions
INTC 01/24/2020 PUT \$60.00 / CUSIP: / Symbol:							
01/24/20	1.000	0.00	01/23/20	40.00	...	-40.00	Option expiration- long put
INTC 01/31/2020 CALL \$65.00 / CUSIP: / Symbol:							
01/24/20	1.000	339.98	01/23/20	114.00	...	225.98	Option sale to close-call ²⁵
INTC 02/28/2020 CALL \$63.00 / CUSIP: / Symbol:							
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	1.000	56.98	02/24/20	117.01	...	-60.03	1 of 2 - Option sale to close-call ²⁵
	1.000	56.98	02/24/20	87.00	...	-30.02	2 of 2 - Option sale to close-call ²⁵
02/24/20	2.000	113.96	Various	204.01	...	-90.05	Total of 2 transactions
02/24/20	2.000	113.98	02/24/20	174.00	30.01 W	-30.01	Option sale to close-call ²⁵
	Security total:	227.94		378.01	30.01 W	-120.06	
INTC 03/27/2020 PUT \$54.00 / CUSIP: / Symbol:							
03/27/20	3.000	359.98	03/26/20	285.00	...	74.98	Option sale to close-put ²⁵
INTC 04/03/2020 PUT \$54.00 / CUSIP: / Symbol:							
03/30/20	2.000	279.98	03/30/20	292.00	...	-12.02	Option sale to close-put ²⁵
INTC 04/09/2020 PUT \$60.50 / CUSIP: / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	04/07/20	110.00	...	19.99	1 of 2 - Option sale to close-put ²⁵
	1.000	129.99	04/07/20	110.00	...	19.99	2 of 2 - Option sale to close-put ²⁵
04/07/20	2.000	259.98	Various	220.00	...	39.98	Total of 2 transactions
INTC 05/08/2020 CALL \$65.00 / CUSIP: / Symbol:							
04/29/20	4.000	39.98	04/28/20	48.00	...	-8.02	Option sale to close-call ²⁵

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INTC 06/05/2020 CALL \$65.00 / CUSIP: / Symbol:							
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	6.000	161.98	05/29/20	210.00	...	-48.02	1 of 4 - Option sale to close-call ²⁵
	2.000	53.98	05/29/20	70.00	...	-16.02	2 of 4 - Option sale to close-call ²⁵
	1.000	26.98	05/29/20	35.00	...	-8.02	3 of 4 - Option sale to close-call ²⁵
	1.000	26.98	05/29/20	35.00	...	-8.02	4 of 4 - Option sale to close-call ²⁵
05/29/20	10.000	269.92	Various	350.00	...	-80.08	Total of 4 transactions
INTC 06/12/2020 CALL \$62.50 / CUSIP: / Symbol:							
06/04/20	4.000	567.97	06/04/20	500.00	...	67.97	Option sale to close-call ²⁵
INTC 06/12/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/09/20	5.000	129.98	06/09/20	165.00	...	-35.02	Option sale to close-call ²⁵
INTC 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	109.98	06/05/20	90.00	...	19.98	1 of 2 - Option sale to close-call ²⁵
	5.000	109.98	06/05/20	90.00	...	19.98	2 of 2 - Option sale to close-call ²⁵
06/05/20	10.000	219.96	Various	180.00	...	39.96	Total of 2 transactions
INTC 07/31/2020 CALL \$62.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/23/20	101.00	...	-101.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/23/20	101.00	...	-101.00	2 of 2 - Option expiration- long call
07/31/20	2.000	0.00	Various	202.00	...	-202.00	Total of 2 transactions
INTC 07/31/2020 PUT \$56.00 / CUSIP: / Symbol:							
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	564.98	07/23/20	33.00	...	531.98	1 of 2 - Option sale to close-put ²⁵
	1.000	564.98	07/23/20	33.00	...	531.98	2 of 2 - Option sale to close-put ²⁵
07/24/20	2.000	1,129.96	Various	66.00	...	1,063.96	Total of 2 transactions
INTC 08/21/2020 CALL \$52.00 / CUSIP: / Symbol:							
08/21/20	4.000	0.00	07/24/20	620.00	...	-620.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 09/18/2020 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	08/31/20	325.00	...	-325.00	1 of 2 - Option expiration- long call
	5.000	0.00	08/31/20	325.00	...	-325.00	2 of 2 - Option expiration- long call
09/18/20	10.000	0.00	Various	650.00	...	-650.00	Total of 2 transactions
INTC 09/18/2020 CALL \$57.50 / CUSIP: / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	34.00	08/10/20	23.00	...	11.00	1 of 4 - Option sale to close-call ²⁵
	2.000	67.99	08/10/20	46.00	...	21.99	2 of 4 - Option sale to close-call ²⁵
	3.000	101.99	08/10/20	69.00	...	32.99	3 of 4 - Option sale to close-call ²⁵
	4.000	135.99	08/10/20	92.00	...	43.99	4 of 4 - Option sale to close-call ²⁵
09/02/20	10.000	339.97	Various	230.00	...	109.97	Total of 4 transactions
INTC 10/23/2020 CALL \$58.00 / CUSIP: / Symbol:							
10/23/20	3.000	0.00	10/22/20	99.00	...	-99.00	Option expiration- long call
INTC 10/30/2020 PUT \$49.00 / CUSIP: / Symbol:							
10/23/20	4.000	575.97	10/22/20	100.00	...	475.97	Option sale to close-put ²⁵
INTC 11/20/2020 CALL \$56.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	10/19/20	203.00	...	-203.00	Option expiration- long call
IPOC 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/16/20	90.00	...	-90.00	1 of 2 - Option expiration- long call
	1.000	4.98	10/16/20	90.00	...	-85.02	2 of 2 - Option sale to close-call ²⁵
11/20/20	2.000	4.98	Various	180.00	...	-175.02	Total of 2 transactions
IQ 01/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
01/03/20	1.000	26.98	12/06/19	20.00	...	6.98	Option sale to close-call ²⁵
IQ 05/22/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/19/20	1.000	8.98	05/18/20	68.00	...	-59.02	Option sale to close-call ²⁵
IQ 08/14/2020 CALL \$23.00 / CUSIP: / Symbol:							
08/14/20	2.000	1.98	08/13/20	98.00	...	-96.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IQ 08/21/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	6.000	419.98	08/12/20	588.00	...	-168.02	1 of 2 - Option sale to close-call ²⁵
	1.000	69.98	08/12/20	98.00	...	-28.02	2 of 2 - Option sale to close-call ²⁵
08/13/20	7.000	489.96	Various	686.00	...	-196.04	Total of 2 transactions
08/14/20	3.000	11.98	08/12/20	294.00	...	-282.02	Option sale to close-call ²⁵
	Security total:	501.94		980.00	...	-478.06	
IQ 08/21/2020 PUT \$20.50 / CUSIP: / Symbol:							
08/14/20	2.000	347.98	08/13/20	136.00	...	211.98	Option sale to close-put ²⁵
IRBT 02/07/2020 CALL \$53.00 / CUSIP: / Symbol:							
02/06/20	1.000	441.98	02/05/20	260.00	...	181.98	Option sale to close-call ²⁵
IRBT 02/07/2020 CALL \$55.00 / CUSIP: / Symbol:							
02/07/20	1.000	-77.02	02/06/20	0.00	...	-77.02	Short sale closed- call ²⁵ Option written: 02/05/20
IRBT 03/20/2020 CALL \$49.50 / CUSIP: / Symbol:							
03/13/20	2.000	59.98	03/04/20	450.00	...	-390.02	Option sale to close-call ²⁵
IWM 06/19/2020 CALL \$142.00 / CUSIP: / Symbol:							
06/12/20	1.000	234.98	06/12/20	255.00	...	-20.02	Option sale to close-call ²⁵
IWM 06/30/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	499.97	06/15/20	465.00	...	34.97	1 of 2 - Option sale to close-call ²⁵
	1.000	499.97	06/15/20	465.00	...	34.97	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	999.94	Various	930.00	...	69.94	Total of 2 transactions
IWM 09/18/2020 CALL \$161.00 / CUSIP: / Symbol:							
08/31/20	2.000	279.98	08/28/20	324.00	...	-44.02	Option sale to close-call ²⁵
JAZZ 03/20/2020 CALL \$155.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/06/20	300.00	...	-300.00	Option expiration- long call

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JBLU 02/21/2020 PUT \$19.00 / CUSIP: / Symbol:							
2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	01/22/20	42.00	...	-27.01	1 of 2 - Option sale to close-put ²⁵
	1.000	14.99	01/22/20	42.00	...	-27.01	2 of 2 - Option sale to close-put ²⁵
01/24/20	2.000	29.98	Various	84.00	...	-54.02	Total of 2 transactions
JD 05/01/2020 CALL \$47.00 / CUSIP: / Symbol:							
05/01/20	3.000	0.00	04/27/20	105.00	...	-105.00	Option expiration- long call
JD 05/22/2020 PUT \$50.00 / CUSIP: / Symbol:							
05/22/20	1.000	15.98	05/20/20	104.00	...	-88.02	Option sale to close-put ²⁵
JETS 10/16/2020 CALL \$21.00 / CUSIP: / Symbol:							
09/28/20	4.000	27.98	09/16/20	216.00	...	-188.02	Option sale to close-call ²⁵
JNJ 06/05/2020 CALL \$146.00 / CUSIP: / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	5.000	1,154.96	05/28/20	1,150.00	...	4.96	1 of 2 - Option sale to close-call ²⁵
	5.000	1,324.96	05/28/20	1,150.00	...	174.96	2 of 2 - Option sale to close-call ²⁵
05/28/20	10.000	2,479.92	Various	2,300.00	...	179.92	Total of 2 transactions
JNPR 09/11/2020 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	38.99	09/04/20	48.00	...	-9.01	1 of 2 - Option sale to close-call ²⁵
	1.000	38.99	09/04/20	48.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
09/08/20	2.000	77.98	Various	96.00	...	-18.02	Total of 2 transactions
JPM 04/03/2020 CALL \$92.00 / CUSIP: / Symbol:							
03/30/20	2.000	659.97	03/30/20	550.00	...	109.97	Option sale to close-call ²⁵
JPM 04/03/2020 PUT \$92.00 / CUSIP: / Symbol:							
03/30/20	2.000	619.97	03/30/20	650.00	...	-30.03	Option sale to close-put ²⁵
JPM 04/24/2020 CALL \$95.00 / CUSIP: / Symbol:							
04/17/20	2.000	461.97	04/17/20	516.00	...	-54.03	Option sale to close-call ²⁵

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JPM 05/29/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	3.000	200.98	05/19/20	270.00	...	-69.02	1 of 2 - Option sale to close-call ²⁵
	2.000	133.98	05/19/20	180.00	...	-46.02	2 of 2 - Option sale to close-call ²⁵
05/19/20	5.000	334.96	Various	450.00	...	-115.04	Total of 2 transactions
JPM 06/12/2020 CALL \$110.00 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	5.000	424.98	06/04/20	515.00	...	-90.02	1 of 3 - Option sale to close-call ²⁵
	5.000	439.98	06/04/20	515.00	...	-75.02	2 of 3 - Option sale to close-call ²⁵
	1.000	101.98	06/04/20	103.00	...	-1.02	3 of 3 - Option sale to close-call ²⁵
06/04/20	11.000	966.94	Various	1,133.00	...	-166.06	Total of 3 transactions
JPM 06/19/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	3.000	233.98	04/28/20	579.00	...	-345.02	1 of 2 - Option sale to close-call ²⁵
	1.000	78.00	04/28/20	193.00	...	-115.00	2 of 2 - Option sale to close-call ²⁵
05/27/20	4.000	311.98	Various	772.00	...	-460.02	Total of 2 transactions
JPM 07/02/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/19/20	2.000	589.97	06/19/20	620.00	...	-30.03	Option sale to close-call ²⁵
JPM 07/17/2020 CALL \$99.50 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	186.98	07/13/20	236.00	...	-49.02	1 of 2 - Option sale to close-call ²⁵
	1.000	186.98	07/13/20	236.00	...	-49.02	2 of 2 - Option sale to close-call ²⁵
07/13/20	2.000	373.96	Various	472.00	...	-98.04	Total of 2 transactions
JPM 08/14/2020 CALL \$104.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	27.98	08/12/20	67.00	...	-39.02	1 of 2 - Option sale to close-call ²⁵
	1.000	51.98	08/12/20	67.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
08/13/20	2.000	79.96	Various	134.00	...	-54.04	Total of 2 transactions

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(continued)

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JPM 08/21/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/13/20	1.000	514.97	07/09/20	272.00	...	242.97	Option sale to close-call ²⁵
JPM 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/14/20	1.000	1.98	06/10/20	150.00	...	-148.02	Option sale to close-call ²⁵
	4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/10/20	150.00	...	-150.00	1 of 4 - Option expiration- long call
	2.000	0.00	06/17/20	124.00	...	-124.00	2 of 4 - Option expiration- long call
	2.000	0.00	06/17/20	120.00	...	-120.00	3 of 4 - Option expiration- long call
	2.000	0.00	06/19/20	84.00	...	-84.00	4 of 4 - Option expiration- long call
08/21/20	7.000	0.00	Various	478.00	...	-478.00	Total of 4 transactions
	Security total:	1.98		628.00	...	-626.02	
JPM 08/28/2020 CALL \$100.00 / CUSIP: / Symbol:							
	4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	209.98	08/18/20	132.00	...	77.98	1 of 4 - Option sale to close-call ²⁵
	1.000	209.98	08/18/20	132.00	...	77.98	2 of 4 - Option sale to close-call ²⁵
	1.000	209.98	08/18/20	132.00	...	77.98	3 of 4 - Option sale to close-call ²⁵
	2.000	357.98	08/18/20	264.00	...	93.98	4 of 4 - Option sale to close-call ²⁵
08/19/20	5.000	987.92	Various	660.00	...	327.92	Total of 4 transactions
JPM 09/04/2020 CALL \$100.00 / CUSIP: / Symbol:							
08/25/20	2.000	499.97	08/24/20	348.00	...	151.97	Option sale to close-call ²⁵
08/26/20	2.000	389.98	08/24/20	348.00	...	41.98	Option sale to close-call ²⁵
	Security total:	889.95		696.00	...	193.95	
JPM 09/11/2020 CALL \$105.00 / CUSIP: / Symbol:							
08/31/20	4.000	491.97	08/27/20	708.00	...	-216.03	Option sale to close-call ²⁵
JPM 09/11/2020 CALL \$108.00 / CUSIP: / Symbol:							
09/01/20	4.000	143.98	08/31/20	0.00	...	143.98	Short sale closed- call ²⁵ Option written: 08/27/20

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JPM 10/16/2020 CALL \$95.00 / CUSIP: / Symbol:							
4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	223.98	09/24/20	222.00	...	1.98	1 of 4 - Option sale to close-call ²⁵
	1.000	223.98	09/24/20	222.00	...	1.98	2 of 4 - Option sale to close-call ²⁵
	1.000	223.98	09/24/20	222.00	...	1.98	3 of 4 - Option sale to close-call ²⁵
	1.000	223.98	09/24/20	222.00	...	1.98	4 of 4 - Option sale to close-call ²⁵
09/25/20	4.000	895.92	Various	888.00	...	7.92	Total of 4 transactions
JWN 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	459.98	08/03/20	275.00	...	184.98	1 of 2 - Option sale to close-call ²⁵
	1.000	459.98	08/03/20	275.00	...	184.98	2 of 2 - Option sale to close-call ²⁵
08/10/20	2.000	919.96	Various	550.00	...	369.96	Total of 2 transactions
JWN 07/17/2020 CALL \$15.50 / CUSIP: / Symbol:							
07/06/20	4.000	463.97	07/01/20	408.00	...	55.97	Option sale to close-call ²⁵
KEY 05/01/2020 CALL \$12.50 / CUSIP: / Symbol:							
5 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	22.00	04/28/20	18.00	...	4.00	1 of 5 - Option sale to close-call ²⁵
	2.000	43.99	04/28/20	36.00	...	7.99	2 of 5 - Option sale to close-call ²⁵
	10.000	219.98	04/28/20	210.00	...	9.98	3 of 5 - Option sale to close-call ²⁵
	1.000	22.00	04/28/20	12.00	...	10.00	4 of 5 - Option sale to close-call ²⁵
	3.000	65.99	04/28/20	33.00	...	32.99	5 of 5 - Option sale to close-call ²⁵
04/29/20	17.000	373.96	Various	309.00	...	64.96	Total of 5 transactions
KEYS 09/18/2020 CALL \$97.50 / CUSIP: / Symbol:							
08/24/20	2.000	739.97	08/21/20	640.00	...	99.97	Option sale to close-call ²⁵
KGC 01/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
12/07/20	4.000	167.98	12/02/20	124.00	...	43.98	Option sale to close-call ²⁵
KHC 02/21/2020 PUT \$27.00 / CUSIP: / Symbol:							
02/14/20	1.000	49.98	02/12/20	29.00	...	20.98	Option sale to close-put ²⁵

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KHC 03/06/2020 CALL \$32.00 / CUSIP: / Symbol:							
03/06/20	2.000	0.00	02/12/20	148.00	...	-148.00	Option expiration- long call
KIRK 10/16/2020 CALL \$10.00 / CUSIP: / Symbol:							
10/06/20	1.000	90.98	10/05/20	75.00	...	15.98	Option sale to close-call ²⁵
KMX 12/31/2020 CALL \$100.00 / CUSIP: / Symbol:							
12/21/20	1.000	379.98	12/17/20	370.00	...	9.98	Option sale to close-call ²⁵
KO 06/05/2020 CALL \$45.00 / CUSIP: / Symbol:							
05/26/20	12.000	2,519.92	05/22/20	1,032.00	...	1,487.92	Option sale to close-call ²⁵
KO 07/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/04/20	5.000	314.98	06/03/20	315.00	...	-0.02	Option sale to close-call ²⁵
KR 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/17/20	110.00	...	-110.00	Option expiration- long call
KR 06/26/2020 PUT \$30.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	55.99	06/17/20	84.00	...	-28.01	1 of 2 - Option sale to close-put ²⁵
	1.000	27.99	06/17/20	42.00	...	-14.01	2 of 2 - Option sale to close-put ²⁵
06/18/20	3.000	83.98	Various	126.00	...	-42.02	Total of 2 transactions
KR 07/02/2020 CALL \$33.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	221.98	06/17/20	450.00	...	-228.02	1 of 2 - Option sale to close-call ²⁵
	1.000	73.98	06/17/20	150.00	...	-76.02	2 of 2 - Option sale to close-call ²⁵
06/18/20	4.000	295.96	Various	600.00	...	-304.04	Total of 2 transactions
KR 10/23/2020 CALL \$34.00 / CUSIP: / Symbol:							
10/15/20	5.000	264.98	10/14/20	165.00	...	99.98	Option sale to close-call ²⁵

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KR 12/18/2020 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	3.000	2.98	12/01/20	195.00	...	-192.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	12/01/20	65.00	...	-64.02	2 of 2 - Option sale to close-call ²⁵
12/16/20	4.000	3.96	Various	260.00	...	-256.04	Total of 2 transactions
KRE 05/15/2020 CALL \$35.50 / CUSIP: / Symbol:							
05/11/20	4.000	319.98	05/11/20	300.00	...	19.98	Option sale to close-call ²⁵
KRE 10/30/2020 CALL \$40.00 / CUSIP: / Symbol:							
10/05/20	2.000	171.98	10/02/20	132.00	...	39.98	Option sale to close-call ²⁵
KRE 10/30/2020 CALL \$43.00 / CUSIP: / Symbol:							
10/06/20	2.000	-12.02	10/05/20	0.00	...	-12.02	Short sale closed- call ²⁵ Option written: 10/02/20
KSS 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/02/20	4.000	339.98	08/18/20	320.00	...	19.98	Option sale to close-call ²⁵
KSS 10/30/2020 CALL \$21.00 / CUSIP: / Symbol:							
10/08/20	5.000	749.97	10/07/20	620.00	...	129.97	Option sale to close-call ²⁵
LCA 09/18/2020 CALL \$17.50 / CUSIP: / Symbol:							
09/08/20	4.000	547.97	09/03/20	500.00	...	47.97	Option sale to close-call ²⁵
LEVI 04/17/2020 CALL \$21.00 / CUSIP: / Symbol:							
03/16/20	10.000	99.97	02/12/20	950.00	...	-850.03	Option sale to close-call ²⁵
LEVI 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	07/07/20	78.00	...	-78.00	Option expiration- long call
LEVI 07/17/2020 PUT \$12.00 / CUSIP: / Symbol:							
07/08/20	1.000	19.98	07/07/20	20.00	...	-0.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LEVI 10/16/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	2.000	49.99	10/06/20	40.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	3.000	74.99	10/06/20	60.00	...	14.99	2 of 2 - Option sale to close-call ²⁵
10/07/20	5.000	124.98	Various	100.00	...	24.98	Total of 2 transactions
LHX 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/03/20	5.000	2,099.94	06/03/20	2,450.00	...	-350.06	Option sale to close-call ²⁵
LHX 06/19/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	06/03/20	19.00	...	25.99	1 of 2 - Option sale to close-call ²⁵
	1.000	44.99	06/03/20	19.00	...	25.99	2 of 2 - Option sale to close-call ²⁵
06/05/20	2.000	89.98	Various	38.00	...	51.98	Total of 2 transactions
LHX 07/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/03/20	3.000	89.98	06/03/20	105.00	...	-15.02	Option sale to close-call ²⁵
LK 03/13/2020 PUT \$29.00 / CUSIP: / Symbol:							
03/13/20	3.000	14.98	03/12/20	72.00	...	-57.02	Option sale to close-put ²⁵
LLY 06/26/2020 CALL \$160.00 / CUSIP: / Symbol:							
06/19/20	4.000	875.97	06/19/20	1,200.00	...	-324.03	Option sale to close-call ²⁵
LOW 06/19/2020 CALL \$132.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	184.98	06/16/20	168.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	1.000	184.98	06/16/20	168.00	...	16.98	2 of 2 - Option sale to close-call ²⁵
06/16/20	2.000	369.96	Various	336.00	...	33.96	Total of 2 transactions
LRCX 06/12/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/09/20	1.000	424.98	06/09/20	455.00	...	-30.02	Option sale to close-call ²⁵
LSI 02/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
02/18/20	1.000	84.98	02/14/20	65.00	...	19.98	Option sale to close-call ²⁵

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LUV 06/19/2020 CALL \$37.50 / CUSIP: / Symbol:							
05/26/20	5.000	249.98	04/29/20	450.00	...	-200.02	Option sale to close-call ²⁵
LUV 07/10/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/07/20	2.000	55.98	07/06/20	118.00	...	-62.02	Option sale to close-call ²⁵
LUV 08/21/2020 CALL \$32.00 / CUSIP: / Symbol:							
07/31/20	2.000	203.98	07/28/20	370.00	...	-166.02	Option sale to close-call ²⁵
LUV 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/09/20	1.000	884.97	09/04/20	540.00	...	344.97	Option sale to close-call ²⁵
LVGO 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/08/20	2.000	349.98	06/08/20	300.00	...	49.98	Option sale to close-call ²⁵
LVS 04/24/2020 CALL \$41.00 / CUSIP: / Symbol:							
04/21/20	2.000	509.97	04/21/20	530.00	...	-20.03	Option sale to close-call ²⁵
LVS 05/15/2020 CALL \$46.00 / CUSIP: / Symbol:							
04/27/20	2.000	545.97	04/24/20	420.00	...	125.97	Option sale to close-call ²⁵
LVS 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/27/20	2.000	879.97	07/30/20	520.00	...	359.97	Option sale to close-call ²⁵
LW 01/17/2020 PUT \$85.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	01/03/20	11.00	...	-11.00	Option expiration- long put
LYFT 03/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/11/20	164.00	...	-164.00	Option expiration- long call
LYFT 11/06/2020 CALL \$25.50 / CUSIP: / Symbol:							
		<i>2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	173.99	10/19/20	192.02	...	-18.03	1 of 2 - Option sale to close-call ²⁵
	1.000	173.99	10/19/20	183.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
10/20/20	2.000	347.98	Various	375.02	...	-27.04	Total of 2 transactions
10/20/20	1.000	173.98	10/19/20	183.00	9.02 W	0.00	Option sale to close-call ²⁵
Security total:		521.96		558.02	9.02 W	-27.04	

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Proceeds from Broker and Barter Exchange Transactions

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M 02/28/2020 CALL \$16.00 / CUSIP: / Symbol:							
02/25/20	1.000	1.98	02/24/20	39.00	...	-37.02	Option sale to close-call ²⁵
M 02/28/2020 PUT \$15.50 / CUSIP: / Symbol:							
02/25/20	1.000	87.98	02/24/20	65.00	...	22.98	Option sale to close-put ²⁵
M 07/02/2020 CALL \$7.50 / CUSIP: / Symbol:							
		3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.					
	2.000	0.00	06/30/20	36.00	...	-36.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/30/20	18.00	...	-18.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/30/20	18.00	...	-18.00	3 of 3 - Option expiration- long call
07/02/20	4.000	0.00	Various	72.00	...	-72.00	Total of 3 transactions
M 07/24/2020 CALL \$7.50 / CUSIP: / Symbol:							
07/15/20	5.000	99.98	07/13/20	85.00	...	14.98	Option sale to close-call ²⁵
M 09/04/2020 PUT \$6.50 / CUSIP: / Symbol:							
09/02/20	2.000	5.98	09/01/20	54.00	...	-48.02	Option sale to close-put ²⁵
M 09/11/2020 CALL \$7.50 / CUSIP: / Symbol:							
09/02/20	5.000	199.98	09/01/20	190.00	...	9.98	Option sale to close-call ²⁵
M 09/11/2020 PUT \$6.50 / CUSIP: / Symbol:							
		2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.					
	2.000	13.99	09/01/20	76.00	...	-62.01	1 of 2 - Option sale to close-put ²⁵
	1.000	6.99	09/01/20	38.00	...	-31.01	2 of 2 - Option sale to close-put ²⁵
09/02/20	3.000	20.98	Various	114.00	...	-93.02	Total of 2 transactions
M 09/18/2020 CALL \$7.00 / CUSIP: / Symbol:							
		2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.					
	1.000	65.98	08/28/20	57.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	3.000	197.98	08/28/20	171.00	...	26.98	2 of 2 - Option sale to close-call ²⁵
09/01/20	4.000	263.96	Various	228.00	...	35.96	Total of 2 transactions
M 10/16/2020 CALL \$8.00 / CUSIP: / Symbol:							
09/30/20	10.000	29.97	09/01/20	540.00	...	-510.03	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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M 11/20/2020 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	20.00	11/16/20	12.00	...	8.00	1 of 3 - Option sale to close-call ²⁵
	1.000	19.98	11/16/20	11.00	...	8.98	2 of 3 - Option sale to close-call ²⁵
	3.000	59.98	11/16/20	33.00	...	26.98	3 of 3 - Option sale to close-call ²⁵
11/17/20	5.000	99.96	Various	56.00	...	43.96	Total of 3 transactions
MA 07/17/2020 CALL \$305.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	2.000	379.98	07/13/20	350.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	3.000	569.98	07/13/20	525.00	...	44.98	2 of 2 - Option sale to close-call ²⁵
07/13/20	5.000	949.96	Various	875.00	...	74.96	Total of 2 transactions
MA 08/07/2020 CALL \$340.00 / CUSIP: / Symbol:							
4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	08/06/20	24.00	...	-22.01	1 of 4 - Option sale to close-call ²⁵
	1.000	1.99	08/06/20	24.00	...	-22.01	2 of 4 - Option sale to close-call ²⁵
	1.000	2.00	08/06/20	24.00	...	-22.00	3 of 4 - Option sale to close-call ²⁵
	1.000	2.00	08/06/20	24.00	...	-22.00	4 of 4 - Option sale to close-call ²⁵
08/07/20	4.000	7.98	Various	96.00	...	-88.02	Total of 4 transactions
MA 08/07/2020 PUT \$320.00 / CUSIP: / Symbol:							
08/07/20	1.000	16.98	08/06/20	17.02	...	-0.04	Option sale to close-put ²⁵
08/07/20	1.000	16.98	08/06/20	17.00	0.02 W	0.00	Option sale to close-put ²⁵
	Security total:	33.96		34.02	0.02 W	-0.04	
MAXN 11/20/2020 CALL \$22.50 / CUSIP: / Symbol:							
11/19/20	3.000	59.98	11/18/20	60.00	...	-0.02	Option sale to close-call ²⁵
MCD 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/17/20	1.000	319.98	06/17/20	310.00	...	9.98	Option sale to close-call ²⁵
07/15/20	2.000	15.98	06/17/20	600.00	...	-584.02	Option sale to close-call ²⁵
	Security total:	335.96		910.00	...	-574.04	
MCD 11/13/2020 CALL \$220.00 / CUSIP: / Symbol:							
11/09/20	1.000	434.98	11/06/20	253.00	...	181.98	Option sale to close-call ²⁵

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MCD 11/13/2020 PUT \$210.00 / CUSIP: / Symbol:							
11/09/20	1.000	52.98	11/06/20	165.00	...	-112.02	Option sale to close-put ²⁵
MCD 12/18/2020 CALL \$210.00 / CUSIP: / Symbol:							
12/03/20	1.000	464.97	12/02/20	350.00	...	114.97	Option sale to close-call ²⁵
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	146.98	12/11/20	188.00	...	-41.02	1 of 2 - Option sale to close-call ²⁵
	1.000	146.98	12/11/20	188.00	...	-41.02	2 of 2 - Option sale to close-call ²⁵
12/11/20	2.000	293.96	Various	376.00	...	-82.04	Total of 2 transactions
	Security total:	758.93		726.00	...	32.93	
MGM 06/12/2020 CALL \$21.50 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	125.98	06/04/20	118.00	...	7.98	1 of 3 - Option sale to close-call ²⁵
	4.000	503.97	06/04/20	472.00	...	31.97	2 of 3 - Option sale to close-call ²⁵
	5.000	714.97	06/04/20	590.00	...	124.97	3 of 3 - Option sale to close-call ²⁵
06/04/20	10.000	1,344.92	Various	1,180.00	...	164.92	Total of 3 transactions
MIK 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
11/18/20	4.000	371.98	11/16/20	260.00	...	111.98	Option sale to close-call ²⁵
MITT 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	4.000	19.98	06/15/20	400.00	...	-380.02	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	06/15/20	100.00	...	-95.02	2 of 2 - Option sale to close-call ²⁵
07/07/20	5.000	24.96	Various	500.00	...	-475.04	Total of 2 transactions
MKC 02/21/2020 CALL \$165.00 / CUSIP: / Symbol:							
02/11/20	8.000	1,319.95	02/07/20	1,160.00	...	159.95	Option sale to close-call ²⁵
MO 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/16/20	10.000	359.97	06/11/20	290.00	...	69.97	Option sale to close-call ²⁵
MO 07/31/2020 CALL \$44.00 / CUSIP: / Symbol:							
07/31/20	4.000	0.00	06/22/20	232.00	...	-232.00	Option expiration- long call

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MOMO 07/17/2020 CALL \$21.76 / CUSIP: / Symbol:							
07/06/20	2.000	113.98	06/17/20	220.00	...	-106.02	Option sale to close-call ²⁵
MPC 01/10/2020 CALL \$61.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	102.99	12/27/19	95.00	...	7.99	1 of 2 - Option sale to close-call ²⁵
	2.000	205.99	12/27/19	190.00	...	15.99	2 of 2 - Option sale to close-call ²⁵
01/02/20	3.000	308.98	Various	285.00	...	23.98	Total of 2 transactions
MRK 03/20/2020 CALL \$85.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.99	02/18/20	72.00	...	-17.01	1 of 2 - Option sale to close-call ²⁵
	1.000	54.99	02/18/20	72.00	...	-17.01	2 of 2 - Option sale to close-call ²⁵
02/27/20	2.000	109.98	Various	144.00	...	-34.02	Total of 2 transactions
MRNA 04/17/2020 CALL \$49.00 / CUSIP: / Symbol:							
04/17/20	1.000	54.98	03/17/20	190.00	...	-135.02	Option sale to close-call ²⁵
MRNA 09/18/2020 CALL \$90.00 / CUSIP: / Symbol:							
09/18/20	3.000	0.00	08/24/20	315.00	...	-315.00	Option expiration- long call
MRNA 10/16/2020 CALL \$100.00 / CUSIP: / Symbol:							
10/05/20	2.000	21.98	09/08/20	218.00	...	-196.02	Option sale to close-call ²⁵
MRVL 05/01/2020 CALL \$29.00 / CUSIP: / Symbol:							
04/27/20	4.000	55.98	04/27/20	72.00	...	-16.02	Option sale to close-call ²⁵
MRVL 08/28/2020 CALL \$36.00 / CUSIP: / Symbol:							
<i>2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	148.99	08/26/20	118.00	...	30.99	1 of 2 - Option sale to close-call ²⁵
	1.000	148.99	08/26/20	118.00	...	30.99	2 of 2 - Option sale to close-call ²⁵
08/27/20	2.000	297.98	Various	236.00	...	61.98	Total of 2 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRVL 09/04/2020 PUT \$33.50 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	10.99	08/27/20	51.00	...	-40.01	1 of 2 - Option sale to close-put ²⁵
	1.000	10.99	08/27/20	51.00	...	-40.01	2 of 2 - Option sale to close-put ²⁵
08/28/20	2.000	21.98	Various	102.00	...	-80.02	Total of 2 transactions
MRVL 09/18/2020 CALL \$37.00 / CUSIP: / Symbol:							
08/28/20	4.000	623.97	08/27/20	588.00	...	35.97	Option sale to close-call ²⁵
MS 07/17/2020 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	2.000	249.98	07/14/20	142.00	...	107.98	1 of 2 - Option sale to close-call ²⁵
	2.000	249.99	07/14/20	142.00	...	107.99	2 of 2 - Option sale to close-call ²⁵
07/15/20	4.000	499.97	Various	284.00	...	215.97	Total of 2 transactions
MSFT 01/15/2021 CALL \$215.00 / CUSIP: / Symbol:							
12/16/20	1.000	964.96	12/15/20	635.00	...	329.96	Option sale to close-call ²⁵
MSFT 01/15/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/15/20	1.000	246.98	11/18/20	450.00	...	-203.02	Option sale to close-call ²⁵
MSFT 02/28/2020 CALL \$185.00 / CUSIP: / Symbol:							
5 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/21/20	260.00	...	-260.00	1 of 5 - Option expiration- long call
	1.000	0.00	02/21/20	81.00	...	-81.00	2 of 5 - Option expiration- long call
	1.000	0.00	02/21/20	80.00	...	-80.00	3 of 5 - Option expiration- long call
	1.000	0.00	02/24/20	25.00	...	-25.00	4 of 5 - Option expiration- long call
	1.000	0.00	02/24/20	25.00	...	-25.00	5 of 5 - Option expiration- long call
02/28/20	6.000	0.00	Various	471.00	...	-471.00	Total of 5 transactions
MSFT 02/28/2020 PUT \$165.00 / CUSIP: / Symbol:							
02/25/20	1.000	72.98	02/24/20	160.00	...	-87.02	Option sale to close-put ²⁵
MSFT 03/27/2020 CALL \$145.00 / CUSIP: / Symbol:							
03/23/20	4.000	819.97	03/23/20	800.00	...	19.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 04/03/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	209.99	03/30/20	222.00	...	-12.01	1 of 2 - Option sale to close-call ²⁵
	1.000	209.99	03/30/20	222.00	...	-12.01	2 of 2 - Option sale to close-call ²⁵
03/30/20	2.000	419.98	Various	444.00	...	-24.02	Total of 2 transactions
MSFT 04/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
04/02/20	2.000	591.97	04/02/20	550.00	...	41.97	Option sale to close-call ²⁵
MSFT 04/17/2020 CALL \$177.50 / CUSIP: / Symbol:							
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	4.000	539.97	04/15/20	304.00	...	235.97	1 of 3 - Option sale to close-call ²⁵
	1.000	65.99	04/16/20	85.00	...	-19.01	2 of 3 - Option sale to close-call ²⁵
	1.000	65.99	04/16/20	85.00	...	-19.01	3 of 3 - Option sale to close-call ²⁵
04/16/20	6.000	671.95	Various	474.00	...	197.95	Total of 3 transactions
MSFT 04/24/2020 CALL \$177.50 / CUSIP: / Symbol:							
04/15/20	1.000	236.98	04/15/20	221.00	...	15.98	Option sale to close-call ²⁵
MSFT 04/24/2020 PUT \$170.00 / CUSIP: / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	04/22/20	150.00	...	-50.01	1 of 2 - Option sale to close-put ²⁵
	1.000	99.99	04/22/20	150.00	...	-50.01	2 of 2 - Option sale to close-put ²⁵
04/22/20	2.000	199.98	Various	300.00	...	-100.02	Total of 2 transactions
MSFT 05/01/2020 CALL \$177.50 / CUSIP: / Symbol:							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	04/27/20	420.02	...	-120.03	1 of 3 - Option sale to close-call ²⁵
	1.000	299.99	04/27/20	420.02	...	-120.03	2 of 3 - Option sale to close-call ²⁵
	1.000	299.99	04/27/20	360.00	...	-60.01	3 of 3 - Option sale to close-call ²⁵
04/27/20	3.000	899.97	Various	1,200.04	...	-300.07	Total of 3 transactions
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	04/27/20	360.00	60.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	04/27/20	360.00	60.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/27/20	2.000	599.96	Various	720.00	120.04 W	0.00	Total of 2 transactions

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Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 05/01/2020 CALL \$177.50 / CUSIP: / Symbol: (cont'd)							
04/29/20	2.000	799.97	04/27/20	720.00	...	79.97	Option sale to close-call ²⁵
Security total:		2,299.90		2,640.04	120.04 W	-220.10	
MSFT 05/01/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	444.98	04/15/20	355.00	...	89.98	1 of 2 - Option sale to close-call ²⁵
	3.000	1,334.96	04/15/20	1,065.00	...	269.96	2 of 2 - Option sale to close-call ²⁵
04/16/20	4.000	1,779.94	Various	1,420.00	...	359.94	Total of 2 transactions
MSFT 05/01/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/29/20	46.00	...	-46.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/29/20	46.00	...	-46.00	2 of 2 - Option expiration- long call
05/01/20	2.000	0.00	Various	92.00	...	-92.00	Total of 2 transactions
MSFT 05/15/2020 CALL \$175.00 / CUSIP: / Symbol:							
04/03/20	5.000	1,249.96	04/03/20	1,300.00	...	-50.04	Option sale to close-call ²⁵
MSFT 06/05/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	4.000	235.98	06/04/20	452.00	...	-216.02	1 of 2 - Option sale to close-call ²⁵
	1.000	58.98	06/04/20	113.00	...	-54.02	2 of 2 - Option sale to close-call ²⁵
06/04/20	5.000	294.96	Various	565.00	...	-270.04	Total of 2 transactions
MSFT 06/05/2020 CALL \$192.50 / CUSIP: / Symbol:							
05/05/20	2.000	425.98	04/30/20	384.00	...	41.98	Option sale to close-call ²⁵
MSFT 06/12/2020 CALL \$182.50 / CUSIP: / Symbol:							
06/04/20	2.000	699.97	06/04/20	650.00	...	49.97	Option sale to close-call ²⁵
MSFT 06/12/2020 CALL \$192.50 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	81.99	06/04/20	100.00	18.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	41.00	06/04/20	50.00	9.00 W	0.00	2 of 3 - Option sale to close-call ²⁵

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(continued)

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MSFT 06/12/2020 CALL \$192.50 / CUSIP: / Symbol: (cont'd)							
	1.000	40.99	06/04/20	50.00	9.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/04/20	4.000	163.98	Various	200.00	36.02 W	0.00	Total of 3 transactions
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	223.99	06/09/20	308.01	...	-84.02	1 of 5 - Option sale to close-call ²⁵
	2.000	223.98	06/09/20	290.00	...	-66.02	2 of 5 - Option sale to close-call ²⁵
	1.000	111.99	06/09/20	154.00	...	-42.01	3 of 5 - Option sale to close-call ²⁵
	1.000	112.00	06/09/20	154.01	...	-42.01	4 of 5 - Option sale to close-call ²⁵
	1.000	111.99	06/09/20	145.00	...	-33.01	5 of 5 - Option sale to close-call ²⁵
06/09/20	7.000	783.95	Various	1,051.02	...	-267.07	Total of 5 transactions
06/10/20	2.000	429.98	06/09/20	290.00	...	139.98	Option sale to close-call ²⁵
Security total:		1,377.91		1,541.02	36.02 W	-127.09	
MSFT 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	13.98	07/02/20	74.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	1.000	13.98	07/02/20	74.00	...	-60.02	2 of 2 - Option sale to close-call ²⁵
07/15/20	2.000	27.96	Various	148.00	...	-120.04	Total of 2 transactions
07/17/20	1.000	0.00	07/02/20	74.00	...	-74.00	Option expiration- long call
Security total:		27.96		222.00	...	-194.04	
MSFT 09/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/11/20	2.000	0.00	09/04/20	596.00	...	-596.00	Option expiration- long call
MSFT 10/16/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/29/20	1.000	43.98	09/08/20	410.00	...	-366.02	Option sale to close-call ²⁵
MSFT 10/30/2020 CALL \$220.00 / CUSIP: / Symbol:							
10/27/20	1.000	224.98	10/26/20	167.00	...	57.98	Option sale to close-call ²⁵
MSFT 10/30/2020 CALL \$230.00 / CUSIP: / Symbol:							
10/28/20	2.000	7.98	10/15/20	388.00	...	-380.02	Option sale to close-call ²⁵

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MSFT 12/18/2020 CALL \$217.50 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	294.98	12/07/20	241.00	...	53.98	1 of 2 - Option sale to close-call ²⁵
	1.000	294.98	12/07/20	241.00	...	53.98	2 of 2 - Option sale to close-call ²⁵
12/08/20	2.000	589.96	Various	482.00	...	107.96	Total of 2 transactions
12/10/20	1.000	133.98	12/09/20	220.00	...	-86.02	Option sale to close-call ²⁵
	Security total:	723.94		702.00	...	21.94	
MU 03/27/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	389.98	03/23/20	100.00	...	289.98	1 of 2 - Option sale to close-call ²⁵
	1.000	389.99	03/23/20	100.00	...	289.99	2 of 2 - Option sale to close-call ²⁵
03/24/20	2.000	779.97	Various	200.00	...	579.97	Total of 2 transactions
MU 03/27/2020 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	205.99	03/25/20	100.00	...	105.99	1 of 3 - Option sale to close-call ²⁵
	1.000	205.99	03/25/20	100.00	...	105.99	2 of 3 - Option sale to close-call ²⁵
	1.000	205.99	03/25/20	90.00	...	115.99	3 of 3 - Option sale to close-call ²⁵
03/25/20	3.000	617.97	Various	290.00	...	327.97	Total of 3 transactions
MU 03/27/2020 CALL \$48.00 / CUSIP: / Symbol:							
3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	13.99	03/25/20	88.00	...	-74.01	1 of 3 - Option sale to close-call ²⁵
	1.000	13.99	03/25/20	65.00	...	-51.01	2 of 3 - Option sale to close-call ²⁵
	1.000	14.00	03/26/20	55.00	...	-41.00	3 of 3 - Option sale to close-call ²⁵
03/26/20	3.000	41.98	Various	208.00	...	-166.02	Total of 3 transactions
MU 03/27/2020 PUT \$37.00 / CUSIP: / Symbol:							
03/27/20	1.000	0.00	03/25/20	75.00	...	-75.00	Option expiration- long put
MU 04/09/2020 CALL \$41.00 / CUSIP: / Symbol:							
04/01/20	2.000	285.98	04/01/20	300.00	...	-14.02	Option sale to close-call ²⁵
MU 04/09/2020 CALL \$42.00 / CUSIP: / Symbol:							
04/01/20	2.000	403.98	04/01/20	320.00	...	83.98	Option sale to close-call ²⁵

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MU 04/17/2020 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	204.99	04/02/20	200.00	...	4.99	1 of 2 - Option sale to close-call ²⁵
	2.000	409.98	04/02/20	400.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
04/02/20	3.000	614.97	Various	600.00	...	14.97	Total of 2 transactions
MU 04/17/2020 CALL \$42.00 / CUSIP: / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	186.98	04/01/20	170.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	2.000	373.98	04/01/20	340.00	...	33.98	2 of 2 - Option sale to close-call ²⁵
04/01/20	3.000	560.96	Various	510.00	...	50.96	Total of 2 transactions
MU 07/02/2020 CALL \$51.00 / CUSIP: / Symbol:							
06/30/20	2.000	335.98	06/22/20	448.00	...	-112.02	Option sale to close-call ²⁵
MU 07/02/2020 PUT \$46.00 / CUSIP: / Symbol:							
07/02/20	1.000	0.00	06/29/20	49.00	...	-49.00	Option expiration- long put
MU 09/25/2020 CALL \$48.00 / CUSIP: / Symbol:							
09/02/20	4.000	699.97	08/31/20	508.00	...	191.97	Option sale to close-call ²⁵
MU 10/02/2020 CALL \$55.00 / CUSIP: / Symbol:							
10/02/20	2.000	0.00	09/29/20	100.00	...	-100.00	Option expiration- long call
MU 10/02/2020 PUT \$46.00 / CUSIP: / Symbol:							
09/30/20	2.000	27.98	09/29/20	66.00	...	-38.02	Option sale to close-put ²⁵
MU 10/16/2020 CALL \$49.00 / CUSIP: / Symbol:							
09/29/20	2.000	699.97	09/25/20	482.00	...	217.97	Option sale to close-call ²⁵
NAT 05/15/2020 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	9.000	134.97	05/08/20	180.00	...	-45.03	1 of 2 - Option sale to close-call ²⁵
	1.000	15.00	05/08/20	20.00	...	-5.00	2 of 2 - Option sale to close-call ²⁵
05/08/20	10.000	149.97	Various	200.00	...	-50.03	Total of 2 transactions

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(continued)

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NAT 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
08/21/20	4.000	0.00	07/10/20	100.00	...	-100.00	Option expiration- long call
NEM 05/01/2020 CALL \$66.00 / CUSIP: / Symbol:							
04/27/20	4.000	115.98	04/27/20	120.00	...	-4.02	Option sale to close-call ²⁵
NFLX 02/28/2020 CALL \$375.00 / CUSIP: / Symbol:							
02/26/20	1.000	669.97	02/24/20	583.00	...	86.97	Option sale to close-call ²⁵
NFLX 02/28/2020 CALL \$380.00 / CUSIP: / Symbol:							
02/27/20	1.000	-35.02	02/26/20	0.00	...	-35.02	Short sale closed- call ²⁵ Option written: 02/24/20
NFLX 03/27/2020 CALL \$375.00 / CUSIP: / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	159.98	03/25/20	120.00	...	39.98	1 of 2 - Option sale to close-call ²⁵
	1.000	159.98	03/25/20	120.00	...	39.98	2 of 2 - Option sale to close-call ²⁵
03/25/20	2.000	319.96	Various	240.00	...	79.96	Total of 2 transactions
NFLX 04/24/2020 PUT \$402.50 / CUSIP: / Symbol:							
04/23/20	1.000	354.96	04/22/20	0.00	...	354.96	Short sale closed- put ²⁵ Option written: 04/21/20
NFLX 04/24/2020 PUT \$410.00 / CUSIP: / Symbol:							
04/22/20	1.000	851.97	04/21/20	1,197.00	...	-345.03	Option sale to close-put ²⁵
NFLX 07/24/2020 CALL \$740.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/16/20	227.00	...	-227.00	Option expiration- long call
NFLX 07/24/2020 CALL \$800.00 / CUSIP: / Symbol:							
07/24/20	1.000	139.98	07/24/20	0.00	...	139.98	Short sale closed- call expired Option written: 07/16/20
NFLX 08/14/2020 CALL \$520.00 / CUSIP: / Symbol:							
08/06/20	1.000	294.98	08/05/20	430.00	...	-135.02	Option sale to close-call ²⁵
NFLX 11/27/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/24/20	75.00	...	-75.00	Option expiration- long call

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

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02/14/2021

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NFLX 12/18/2020 CALL \$490.00 / CUSIP: / Symbol:							
11/24/20	1.000	1,204.96	11/20/20	1,695.00	...	-490.04	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
11/16/20	1.000	534.97	11/10/20	380.00	...	154.97	Option sale to close-call ²⁵
NIO 02/07/2020 CALL \$4.50 / CUSIP: / Symbol:							
01/17/20	4.000	239.98	12/31/19	144.00	...	95.98	Option sale to close-call ²⁵
NIO 05/15/2020 CALL \$5.00 / CUSIP: / Symbol:							
04/30/20	3.000	23.98	04/29/20	36.00	...	-12.02	Option sale to close-call ²⁵
NKE 03/27/2020 PUT \$84.00 / CUSIP: / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	3.000	608.98	03/26/20	525.00	...	83.98	1 of 2 - Option sale to close-put ²⁵
	1.000	202.99	03/26/20	100.00	...	102.99	2 of 2 - Option sale to close-put ²⁵
03/26/20	4.000	811.97	Various	625.00	...	186.97	Total of 2 transactions
NKE 06/26/2020 CALL \$106.00 / CUSIP: / Symbol:							
06/26/20	3.000	0.00	06/25/20	240.00	...	-240.00	Option expiration- long call
NKE 06/26/2020 CALL \$108.00 / CUSIP: / Symbol:							
06/26/20	3.000	134.98	06/26/20	0.00	...	134.98	Short sale closed- call expired Option written: 06/25/20
NKE 07/02/2020 CALL \$100.00 / CUSIP: / Symbol:							
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	304.98	06/19/20	240.00	...	64.98	1 of 4 - Option sale to close-call ²⁵
	1.000	304.98	06/19/20	240.00	...	64.98	2 of 4 - Option sale to close-call ²⁵
	1.000	304.98	06/19/20	240.00	...	64.98	3 of 4 - Option sale to close-call ²⁵
	4.000	1,219.96	06/19/20	960.00	...	259.96	4 of 4 - Option sale to close-call ²⁵
06/22/20	7.000	2,134.90	Various	1,680.00	...	454.90	Total of 4 transactions
06/23/20	3.000	1,154.96	06/19/20	720.00	...	434.96	Option sale to close-call ²⁵
	Security total:	3,289.86		2,400.00	...	889.86	
NKE 07/02/2020 CALL \$102.00 / CUSIP: / Symbol:							
06/25/20	2.000	629.97	06/24/20	408.00	...	221.97	Option sale to close-call ²⁵

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NKLA 10/16/2020 CALL \$31.00 / CUSIP: / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	10/06/20	28.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	2.000	89.98	10/06/20	56.00	...	33.98	2 of 2 - Option sale to close-call ²⁵
10/08/20	3.000	134.96	Various	84.00	...	50.96	Total of 2 transactions
NKLA 10/16/2020 CALL \$55.00 / CUSIP: / Symbol:							
10/01/20	1.000	9.98	09/09/20	370.00	...	-360.02	Option sale to close-call ²⁵
NKLA 11/20/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	11/09/20	80.00	...	-5.02	1 of 2 - Option sale to close-call ²⁵
	3.000	254.98	11/09/20	240.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
11/11/20	4.000	329.96	Various	320.00	...	9.96	Total of 2 transactions
NLOK 02/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
01/23/20	3.000	89.98	01/21/20	102.00	...	-12.02	Option sale to close-call ²⁵
NLOK 08/21/2020 CALL \$23.00 / CUSIP: / Symbol:							
08/07/20	2.000	107.98	08/06/20	100.00	...	7.98	Option sale to close-call ²⁵
NLOK 08/21/2020 PUT \$19.00 / CUSIP: / Symbol:							
08/07/20	2.000	9.98	08/06/20	30.00	...	-20.02	Option sale to close-put ²⁵
NOW 02/07/2020 CALL \$345.00 / CUSIP: / Symbol:							
02/07/20	5.000	749.97	02/06/20	1,000.00	...	-250.03	Option sale to close-call ²⁵
NOW 02/14/2020 CALL \$342.50 / CUSIP: / Symbol:							
02/12/20	1.000	609.97	02/06/20	540.00	...	69.97	Option sale to close-call ²⁵
NTNX 06/19/2020 CALL \$21.50 / CUSIP: / Symbol:							
05/19/20	1.000	234.98	05/19/20	215.00	...	19.98	Option sale to close-call ²⁵

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NVDA 01/31/2020 PUT \$245.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	459.98	01/29/20	248.00	...	211.98	1 of 2 - Option sale to close-put ²⁵
	1.000	459.99	01/29/20	248.00	...	211.99	2 of 2 - Option sale to close-put ²⁵
01/30/20	2.000	919.97	Various	496.00	...	423.97	Total of 2 transactions
NVDA 03/27/2020 CALL \$212.50 / CUSIP: / Symbol:							
03/23/20	1.000	1,034.96	03/23/20	1,025.00	...	9.96	Option sale to close-call ²⁵
NVDA 03/27/2020 CALL \$270.00 / CUSIP: / Symbol:							
03/24/20	2.000	559.97	03/24/20	502.00	...	57.97	Option sale to close-call ²⁵
NVDA 04/09/2020 CALL \$272.50 / CUSIP: / Symbol:							
04/06/20	2.000	489.97	04/06/20	434.00	...	55.97	Option sale to close-call ²⁵
NVDA 05/01/2020 CALL \$300.00 / CUSIP: / Symbol:							
04/30/20	2.000	159.98	04/30/20	252.00	...	-92.02	Option sale to close-call ²⁵
NVDA 05/22/2020 CALL \$345.00 / CUSIP: / Symbol:							
05/12/20	1.000	629.97	05/12/20	500.00	...	129.97	Option sale to close-call ²⁵
NVDA 06/26/2020 CALL \$390.00 / CUSIP: / Symbol:							
06/17/20	2.000	649.97	06/17/20	836.00	...	-186.03	Option sale to close-call ²⁵
NVDA 10/16/2020 CALL \$600.00 / CUSIP: / Symbol:							
10/13/20	1.000	102.98	10/12/20	132.00	...	-29.02	Option sale to close-call ²⁵
NVDA 10/16/2020 CALL \$605.00 / CUSIP: / Symbol:							
10/14/20	1.000	41.98	10/13/20	0.00	...	41.98	Short sale closed- call ²⁵ Option written: 10/12/20
NVDA 11/27/2020 CALL \$550.00 / CUSIP: / Symbol:							
11/20/20	1.000	334.98	11/19/20	700.00	...	-365.02	Option sale to close-call ²⁵
NVDA 12/04/2020 CALL \$520.00 / CUSIP: / Symbol:							
11/25/20	1.000	1,614.95	11/24/20	1,260.00	...	354.95	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
06/09/20	1.000	459.97	06/08/20	470.00	...	-10.03	Option sale to close-call ²⁵
ORCL 01/03/2020 CALL \$60.50 / CUSIP: / Symbol:							
01/03/20	1.000	0.00	12/12/19	25.00	...	-25.00	Option expiration- long call
ORCL 03/20/2020 PUT \$46.00 / CUSIP: / Symbol:							
03/16/20	2.000	423.98	03/16/20	310.00	...	113.98	Option sale to close-put ²⁵
ORCL 04/03/2020 PUT \$47.50 / CUSIP: / Symbol:							
04/01/20	4.000	267.98	04/01/20	300.00	...	-32.02	Option sale to close-put ²⁵
ORCL 06/12/2020 CALL \$55.50 / CUSIP: / Symbol:							
06/08/20	2.000	89.98	06/08/20	96.00	...	-6.02	Option sale to close-call ²⁵
ORCL 06/19/2020 CALL \$57.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/16/20	100.00	...	-100.00	Option expiration- long call
ORCL 07/02/2020 CALL \$56.00 / CUSIP: / Symbol:							
06/23/20	4.000	391.98	06/22/20	296.00	...	95.98	Option sale to close-call ²⁵
ORCL 09/18/2020 CALL \$62.50 / CUSIP: / Symbol:							
07/07/20	2.000	157.98	06/23/20	218.00	...	-60.02	Option sale to close-call ²⁵
ORCL 11/20/2020 CALL \$58.00 / CUSIP: / Symbol:							
11/04/20	2.000	223.98	11/03/20	216.00	...	7.98	Option sale to close-call ²⁵
OXY 05/01/2020 CALL \$14.50 / CUSIP: / Symbol:							
	2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	1.000	76.99	04/24/20	55.00	...	21.99	1 of 2 - Option sale to close-call ²⁵
	1.000	76.99	04/24/20	55.00	...	21.99	2 of 2 - Option sale to close-call ²⁵
04/28/20	2.000	153.98	Various	110.00	...	43.98	Total of 2 transactions
OXY 05/01/2020 CALL \$15.00 / CUSIP: / Symbol:							
04/24/20	10.000	349.97	04/23/20	620.00	...	-270.03	Option sale to close-call ²⁵
	2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	4.000	119.98	04/23/20	248.00	...	-128.02	1 of 2 - Option sale to close-call ²⁵

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OXY 05/01/2020 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	1.000	29.98	04/23/20	62.00	...	-32.02	2 of 2 - Option sale to close-call ²⁵
04/27/20	5.000	149.96	Various	310.00	...	-160.04	Total of 2 transactions
	Security total:	499.93		930.00	...	-430.07	
PCG 08/21/2020 CALL \$9.50 / CUSIP: / Symbol:							
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	21.98	07/30/20	31.00	...	-9.02	1 of 5 - Option sale to close-call ²⁵
	1.000	21.98	07/30/20	31.00	...	-9.02	2 of 5 - Option sale to close-call ²⁵
	1.000	21.98	07/30/20	31.00	...	-9.02	3 of 5 - Option sale to close-call ²⁵
	1.000	21.98	07/30/20	31.00	...	-9.02	4 of 5 - Option sale to close-call ²⁵
	1.000	21.98	07/30/20	31.00	...	-9.02	5 of 5 - Option sale to close-call ²⁵
07/31/20	5.000	109.90	Various	155.00	...	-45.10	Total of 5 transactions
PE 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
08/11/20	3.000	299.98	06/10/20	405.00	...	-105.02	Option sale to close-call ²⁵
PEP 04/24/2020 PUT \$136.00 / CUSIP: / Symbol:							
04/20/20	1.000	235.98	04/20/20	216.00	...	19.98	Option sale to close-put ²⁵
PFE 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
07/15/20	2.000	223.98	06/26/20	92.00	...	131.98	Option sale to close-call ²⁵
PFE 07/31/2020 CALL \$37.50 / CUSIP: / Symbol:							
07/22/20	4.000	567.97	07/21/20	208.00	...	359.97	Option sale to close-call ²⁵
07/28/20	3.000	272.98	07/21/20	156.00	...	116.98	Option sale to close-call ²⁵
	Security total:	840.95		364.00	...	476.95	
PFE 09/18/2020 CALL \$38.00 / CUSIP: / Symbol:							
09/18/20	3.000	0.00	09/14/20	36.00	...	-36.00	Option expiration- long call
PFE 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
10 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/29/20	66.00	...	-66.00	1 of 10 - Option expiration- long call
	2.000	0.00	07/29/20	46.00	...	-46.00	2 of 10 - Option expiration- long call

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PFE 09/18/2020 CALL \$45.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	07/29/20	44.00	...	-44.00	3 of 10 - Option expiration- long call
	2.000	0.00	07/29/20	44.00	...	-44.00	4 of 10 - Option expiration- long call
	1.000	0.00	07/29/20	22.00	...	-22.00	5 of 10 - Option expiration- long call
	1.000	0.00	07/29/20	22.00	...	-22.00	6 of 10 - Option expiration- long call
	1.000	0.00	07/29/20	22.00	...	-22.00	7 of 10 - Option expiration- long call
	1.000	0.00	07/29/20	22.00	...	-22.00	8 of 10 - Option expiration- long call
	1.000	0.00	07/29/20	22.00	...	-22.00	9 of 10 - Option expiration- long call
	1.000	0.00	07/29/20	22.00	...	-22.00	10 of 10 - Option expiration- long call
09/18/20	15.000	0.00	Various	332.00	...	-332.00	Total of 10 transactions
PFE 09/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
10 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	8.98	09/18/20	0.00	...	8.98	1 of 10 - Short sale closed- call expired Option written: 07/29/20
	1.000	8.98	09/18/20	0.00	...	8.98	2 of 10 - Short sale closed- call expired Option written: 07/29/20
	1.000	8.98	09/18/20	0.00	...	8.98	3 of 10 - Short sale closed- call expired Option written: 07/29/20
	1.000	8.98	09/18/20	0.00	...	8.98	4 of 10 - Short sale closed- call expired Option written: 07/29/20
	1.000	8.98	09/18/20	0.00	...	8.98	5 of 10 - Short sale closed- call expired Option written: 07/29/20

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PFE 09/18/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	1.000	8.98	09/18/20	0.00	...	8.98	6 of 10 - Short sale closed- call expired
	2.000	17.98	09/18/20	0.00	...	17.98	Option written: 07/29/20 7 of 10 - Short sale closed- call expired
	2.000	17.98	09/18/20	0.00	...	17.98	Option written: 07/29/20 8 of 10 - Short sale closed- call expired
	2.000	19.98	09/18/20	0.00	...	19.98	Option written: 07/29/20 9 of 10 - Short sale closed- call expired
	3.000	26.98	09/18/20	0.00	...	26.98	Option written: 07/29/20 10 of 10 - Short sale closed- call expired
09/18/20	15.000	136.80	Various	0.00	...	136.80	Option written: 07/29/20 Total of 10 transactions
PFE 10/16/2020 CALL \$39.00 / CUSIP: / Symbol:							
09/28/20	5.000	89.98	09/03/20	400.00	...	-310.02	Option sale to close-call ²⁵
10/16/20	5.000	0.00	09/03/20	400.00	...	-400.00	Option expiration- long call
	Security total:	89.98		800.00	...	-710.02	
PFE 10/23/2020 CALL \$38.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	57.99	10/14/20	37.00	...	20.99	1 of 2 - Option sale to close-call ²⁵
	2.000	115.99	10/14/20	74.00	...	41.99	2 of 2 - Option sale to close-call ²⁵
10/16/20	3.000	173.98	Various	111.00	...	62.98	Total of 2 transactions
PFE1 01/15/2021 CALL \$47.00 / CUSIP: / Symbol:							
12/02/20	4.000	515.97	08/13/20	184.00	...	331.97	Option sale to close-call ²⁵
PFPT 11/20/2020 CALL \$110.00 / CUSIP: / Symbol:							
10/09/20	1.000	619.97	10/08/20	550.00	...	69.97	Option sale to close-call ²⁵
PG 02/14/2020 CALL \$126.00 / CUSIP: / Symbol:							
02/14/20	5.000	9.98	02/13/20	80.00	...	-70.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PG 02/21/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	1.000	100.99	02/13/20	96.00	...	4.99	1 of 2 - Option sale to close-call ²⁵
	1.000	100.99	02/13/20	96.00	...	4.99	2 of 2 - Option sale to close-call ²⁵
02/14/20	2.000	201.98	Various	192.00	...	9.98	Total of 2 transactions
PG 02/21/2020 CALL \$126.00 / CUSIP: / Symbol:							
2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	1.000	-2.02	02/14/20	0.00	...	-2.02	1 of 2 - Short sale closed- call ²⁵
	1.000	-2.02	02/14/20	0.00	...	-2.02	2 of 2 - Short sale closed- call ²⁵
02/18/20	2.000	-4.04	Various	0.00	...	-4.04	Total of 2 transactions
PG 02/28/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	184.98	02/20/20	151.00	...	33.98	1 of 2 - Option sale to close-call ²⁵
	2.000	369.98	02/20/20	302.00	...	67.98	2 of 2 - Option sale to close-call ²⁵
02/20/20	3.000	554.96	Various	453.00	...	101.96	Total of 2 transactions
02/24/20	5.000	419.98	02/24/20	700.00	...	-280.02	Option sale to close-call ²⁵
	Security total:	974.94		1,153.00	...	-178.06	
PG 03/06/2020 CALL \$124.00 / CUSIP: / Symbol:							
02/25/20	4.000	379.98	02/24/20	672.00	...	-292.02	Option sale to close-call ²⁵
PG 03/06/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	3.000	740.97	02/18/20	498.00	...	242.97	1 of 2 - Option sale to close-call ²⁵
	7.000	1,728.95	02/18/20	1,162.00	...	566.95	2 of 2 - Option sale to close-call ²⁵
02/19/20	10.000	2,469.92	Various	1,660.00	...	809.92	Total of 2 transactions
PG 03/20/2020 CALL \$115.00 / CUSIP: / Symbol:							
03/19/20	2.000	859.97	03/19/20	620.00	...	239.97	Option sale to close-call ²⁵
PG 03/20/2020 CALL \$122.00 / CUSIP: / Symbol:							
02/27/20	2.000	231.98	02/26/20	494.00	...	-262.02	Option sale to close-call ²⁵

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PG 03/27/2020 CALL \$103.00 / CUSIP: / Symbol:							
03/24/20	3.000	1,094.96	03/24/20	660.00	...	434.96	Option sale to close-call ²⁵
PG 03/27/2020 CALL \$106.00 / CUSIP: / Symbol:							
03/20/20	2.000	1,009.96	03/20/20	890.00	...	119.96	Option sale to close-call ²⁵
PG 03/27/2020 CALL \$114.00 / CUSIP: / Symbol:							
03/23/20	1.000	31.98	03/20/20	133.00	...	-101.02	Option sale to close-call ²⁵
PG 04/17/2020 CALL \$115.00 / CUSIP: / Symbol:							
03/31/20	2.000	669.97	03/31/20	680.00	...	-10.03	Option sale to close-call ²⁵
PG 05/15/2020 CALL \$125.00 / CUSIP: / Symbol:							
04/22/20	2.000	299.98	04/22/20	300.00	...	-0.02	Option sale to close-call ²⁵
PINS 03/20/2020 CALL \$24.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	179.98	02/05/20	130.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	7.000	1,224.96	02/05/20	910.00	...	314.96	2 of 2 - Option sale to close-call ²⁵
02/06/20	8.000	1,404.94	Various	1,040.00	...	364.94	Total of 2 transactions
03/20/20	2.000	0.00	02/05/20	260.00	...	-260.00	Option expiration- long call
Security total:		1,404.94		1,300.00	...	104.94	
PINS 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
<i>3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.98	06/04/20	45.00	...	9.98	1 of 3 - Option sale to close-call ²⁵
	1.000	55.00	06/04/20	45.00	...	10.00	2 of 3 - Option sale to close-call ²⁵
	9.000	494.96	06/04/20	405.00	...	89.96	3 of 3 - Option sale to close-call ²⁵
06/05/20	11.000	604.94	Various	495.00	...	109.94	Total of 3 transactions
PLAY 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	04/30/20	70.00	...	-70.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/30/20	35.00	...	-35.00	2 of 2 - Option expiration- long call
07/17/20	3.000	0.00	Various	105.00	...	-105.00	Total of 2 transactions

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PLTR 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
11/09/20	1.000	420.98	10/07/20	275.00	...	145.98	Option sale to close-call ²⁵
11/16/20	1.000	488.97	10/12/20	154.00	...	334.97	Option sale to close-call ²⁵
	Security total:	909.95		429.00	...	480.95	
PLTR 12/04/2020 PUT \$23.00 / CUSIP: / Symbol:							
11/27/20	1.000	64.98	11/25/20	101.00	...	-36.02	Option sale to close-put ²⁵
PLTR 12/18/2020 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	11/03/20	61.00	...	173.98	1 of 2 - Option sale to close-call ²⁵
	1.000	234.98	11/03/20	61.00	...	173.98	2 of 2 - Option sale to close-call ²⁵
11/06/20	2.000	469.96	Various	122.00	...	347.96	Total of 2 transactions
11/09/20	2.000	679.97	11/03/20	122.00	...	557.97	Option sale to close-call ²⁵
	Security total:	1,149.93		244.00	...	905.93	
PLTR 12/24/2020 PUT \$25.00 / CUSIP: / Symbol:							
12/16/20	1.000	136.98	12/15/20	123.00	...	13.98	Option sale to close-put ²⁵
POST 02/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
3 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	124.99	02/11/20	195.00	...	-70.01	1 of 3 - Option sale to close-call ²⁵
	1.000	124.99	02/11/20	195.00	...	-70.01	2 of 3 - Option sale to close-call ²⁵
	1.000	125.00	02/11/20	195.00	...	-70.00	3 of 3 - Option sale to close-call ²⁵
02/11/20	3.000	374.98	Various	585.00	...	-210.02	Total of 3 transactions
PPC 07/17/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/27/20	2.000	169.98	05/26/20	204.00	...	-34.02	Option sale to close-call ²⁵
PSTG 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/18/20	4.000	0.00	08/25/20	60.00	...	-60.00	Option expiration- long call
PSTG 09/18/2020 PUT \$15.00 / CUSIP: / Symbol:							
08/26/20	1.000	54.98	08/25/20	60.00	...	-5.02	Option sale to close-put ²⁵
PTE 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
06/05/20	1.000	14.98	06/04/20	15.00	...	-0.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PTON 04/09/2020 CALL \$28.00 / CUSIP: / Symbol:							
04/01/20	4.000	539.97	04/01/20	432.00	...	107.97	Option sale to close-call ²⁵
PTON 05/08/2020 CALL \$31.00 / CUSIP: / Symbol:							
04/28/20	1.000	257.98	04/28/20	235.00	...	22.98	Option sale to close-call ²⁵
PYPL 05/15/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/12/20	10.000	269.97	05/12/20	550.00	...	-280.03	Option sale to close-call ²⁵
PYPL 05/29/2020 PUT \$142.00 / CUSIP: / Symbol:							
05/29/20	10.000	0.00	05/27/20	480.00	...	-480.00	Option expiration- long put
QCOM 02/14/2020 CALL \$89.00 / CUSIP: / Symbol:							
02/11/20	5.000	1,149.96	02/07/20	715.00	...	434.96	Option sale to close-call ²⁵
QCOM 05/15/2020 CALL \$80.00 / CUSIP: / Symbol:							
	2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	1.000	226.98	04/14/20	325.00	...	-98.02	1 of 2 - Option sale to close-call ²⁵
	1.000	226.99	04/14/20	325.00	...	-98.01	2 of 2 - Option sale to close-call ²⁵
04/15/20	2.000	453.97	Various	650.00	...	-196.03	Total of 2 transactions
QCOM 06/12/2020 PUT \$88.00 / CUSIP: / Symbol:							
06/08/20	1.000	88.98	06/08/20	120.00	...	-31.02	Option sale to close-put ²⁵
QCOM 07/31/2020 CALL \$94.00 / CUSIP: / Symbol:							
07/30/20	1.000	1,061.96	07/29/20	183.00	...	878.96	Option sale to close-call ²⁵
QCOM 07/31/2020 CALL \$96.00 / CUSIP: / Symbol:							
07/31/20	1.000	-756.02	07/30/20	0.00	...	-756.02	Short sale closed- call ²⁵ Option written: 07/29/20
QQQ 04/17/2020 PUT \$180.00 / CUSIP: / Symbol:							
04/02/20	1.000	-134.02	04/01/20	0.00	...	-134.02	Short sale closed- put ²⁵ Option written: 03/31/20

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QQQ 04/17/2020 PUT \$190.00 / CUSIP: / Symbol:							
04/01/20	1.000	968.96	03/31/20	740.00	...	228.96	Option sale to close-put ²⁵
04/09/20	4.000	559.97	04/09/20	640.00	...	-80.03	Option sale to close-put ²⁵
	Security total:	1,528.93		1,380.00	...	148.93	
QQQ 05/15/2020 CALL \$215.00 / CUSIP: / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	2.000	563.98	03/30/20	554.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	2.000	563.98	03/30/20	554.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
03/30/20	4.000	1,127.96	Various	1,108.00	...	19.96	Total of 2 transactions
QQQ 05/15/2020 PUT \$210.00 / CUSIP: / Symbol:							
05/01/20	2.000	929.96	05/01/20	956.00	...	-26.04	Option sale to close-put ²⁵
QQQ 05/29/2020 PUT \$225.00 / CUSIP: / Symbol:							
05/29/20	2.000	0.00	05/27/20	230.00	...	-230.00	Option expiration- long put
QQQ 07/17/2020 PUT \$259.00 / CUSIP: / Symbol:							
07/17/20	2.000	449.98	07/16/20	400.00	...	49.98	Option sale to close-put ²⁵
QQQ 10/02/2020 CALL \$285.00 / CUSIP: / Symbol:							
10/02/20	2.000	0.00	10/01/20	146.00	...	-146.00	Option expiration- long call
QQQ 10/09/2020 PUT \$269.00 / CUSIP: / Symbol:							
10/09/20	1.000	0.00	10/06/20	109.00	...	-109.00	Option expiration- long put
QQQ 10/16/2020 PUT \$250.00 / CUSIP: / Symbol:							
09/24/20	1.000	388.98	09/23/20	431.00	...	-42.02	Option sale to close-put ²⁵
QQQ 10/30/2020 CALL \$275.00 / CUSIP: / Symbol:							
10/29/20	1.000	218.98	10/28/20	235.00	...	-16.02	Option sale to close-call ²⁵
QQQ 11/13/2020 PUT \$282.00 / CUSIP: / Symbol:							
11/10/20	1.000	187.98	11/09/20	158.00	...	29.98	Option sale to close-put ²⁵
QQQ 12/18/2020 CALL \$305.00 / CUSIP: / Symbol:							
11/13/20	1.000	309.98	11/09/20	524.00	...	-214.02	Option sale to close-call ²⁵

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QQQ 12/18/2020 PUT \$240.00 / CUSIP: / Symbol: 10/29/20	1.000	509.97	10/22/20	294.00	...	215.97	Option sale to close-put ²⁵
QQQ 12/18/2020 PUT \$295.00 / CUSIP: / Symbol: 12/10/20	1.000	244.98	12/09/20	251.00	...	-6.02	Option sale to close-put ²⁵
QQQ 12/31/2020 CALL \$313.00 / CUSIP: / Symbol: 12/22/20	1.000	205.98	12/18/20	353.00	...	-147.02	Option sale to close-call ²⁵
RCL 05/15/2020 PUT \$30.00 / CUSIP: / Symbol: 04/17/20	1.000	-56.02	04/16/20	0.00	...	-56.02	Short sale closed- put ²⁵ Option written: 04/14/20
RCL 05/15/2020 PUT \$35.00 / CUSIP: / Symbol: 04/16/20	1.000	649.97	04/14/20	554.00	...	95.97	Option sale to close-put ²⁵
RCL 12/18/2020 PUT \$70.00 / CUSIP: / Symbol: 11/17/20	1.000	369.98	11/16/20	415.00	...	-45.02	Option sale to close-put ²⁵
RIOT 11/20/2020 CALL \$6.00 / CUSIP: / Symbol: 2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.	1.000	49.99	11/19/20	28.00	...	21.99	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	11/19/20	28.00	...	21.99	2 of 2 - Option sale to close-call ²⁵
11/20/20	2.000	99.98	Various	56.00	...	43.98	Total of 2 transactions
RKT 01/15/2021 CALL \$22.00 / CUSIP: / Symbol: 2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.	1.000	170.98	12/10/20	143.00	...	27.98	1 of 2 - Option sale to close-call ²⁵
	1.000	170.98	12/10/20	143.00	...	27.98	2 of 2 - Option sale to close-call ²⁵
12/16/20	2.000	341.96	Various	286.00	...	55.96	Total of 2 transactions
RKT 09/18/2020 CALL \$19.00 / CUSIP: / Symbol: 08/14/20	2.000	509.97	08/13/20	470.00	...	39.97	Option sale to close-call ²⁵
ROKU 02/28/2020 PUT \$110.00 / CUSIP: / Symbol: 02/24/20	1.000	184.98	02/24/20	264.00	...	-79.02	Option sale to close-put ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 04/03/2020 CALL \$100.00 / CUSIP: / Symbol:							
03/30/20	2.000	185.98	03/30/20	220.00	...	-34.02	Option sale to close-call ²⁵
ROKU 06/05/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/28/20	1.000	344.98	05/28/20	300.00	...	44.98	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$112.00 / CUSIP: / Symbol:							
06/09/20	1.000	499.97	06/09/20	490.00	...	9.97	Option sale to close-call ²⁵
ROKU 06/19/2020 CALL \$114.00 / CUSIP: / Symbol:							
06/16/20	1.000	195.98	06/16/20	248.00	...	-52.02	Option sale to close-call ²⁵
ROKU 07/02/2020 CALL \$135.00 / CUSIP: / Symbol:							
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	1.000	437.98	06/16/20	389.00	...	48.98	1 of 2 - Option sale to close-call ²⁵
	1.000	489.97	06/16/20	389.00	...	100.97	2 of 2 - Option sale to close-call ²⁵
06/18/20	2.000	927.95	Various	778.00	...	149.95	Total of 2 transactions
ROKU 07/02/2020 CALL \$145.00 / CUSIP: / Symbol:							
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	-43.02	06/18/20	0.00	...	-43.02	1 of 2 - Short sale closed- call ²⁵
	1.000	-11.01	06/18/20	0.00	...	-11.01	Option written: 06/16/20
06/19/20	2.000	-54.03	Various	0.00	...	-54.03	2 of 2 - Short sale closed- call ²⁵
							Option written: 06/16/20
							Total of 2 transactions
ROKU 07/10/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/07/20	1.000	175.98	07/07/20	260.00	...	-84.02	Option sale to close-call ²⁵
ROKU 07/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/03/20	2.000	899.97	06/03/20	960.00	...	-60.03	Option sale to close-call ²⁵
ROKU 07/24/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/15/20	1.000	629.97	07/14/20	500.00	...	129.97	Option sale to close-call ²⁵
ROKU 10/23/2020 PUT \$210.00 / CUSIP: / Symbol:							
10/20/20	1.000	145.98	10/19/20	165.00	...	-19.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RRR 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
05/20/20	4.000	579.97	05/20/20	500.00	...	79.97	Option sale to close-call ²⁵
RST 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/09/20	2.000	9.98	08/31/20	60.00	25.01 W	-25.01	Option sale to close-call ²⁵
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	08/31/20	60.00	...	-60.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/31/20	55.01	...	-55.01	2 of 2 - Option expiration- long call
09/18/20	3.000	0.00	Various	115.01	...	-115.01	Total of 2 transactions
	Security total:	9.98		175.01	25.01 W	-140.02	
RTX 06/19/2020 CALL \$62.00 / CUSIP: / Symbol:							
05/21/20	5.000	904.96	05/21/20	865.00	...	39.96	Option sale to close-call ²⁵
RUN 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
11/20/20	1.000	469.97	11/12/20	290.00	...	179.97	Option sale to close-call ²⁵
RUN 07/17/2020 CALL \$27.00 / CUSIP: / Symbol:							
	2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	1.000	159.99	07/07/20	169.00	...	-9.01	1 of 2 - Option sale to close-call ²⁵
	1.000	159.99	07/07/20	169.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
07/07/20	2.000	319.98	Various	338.00	...	-18.02	Total of 2 transactions
SAVA 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/22/20	2.000	59.98	06/04/20	90.00	...	-30.02	Option sale to close-call ²⁵
SAVE 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
	3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	352.98	06/05/20	295.00	...	57.98	1 of 3 - Option sale to close-call ²⁵
	1.000	352.98	06/05/20	295.00	...	57.98	2 of 3 - Option sale to close-call ²⁵
	2.000	705.97	06/05/20	590.00	...	115.97	3 of 3 - Option sale to close-call ²⁵
06/08/20	4.000	1,411.93	Various	1,180.00	...	231.93	Total of 3 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBUX 05/22/2020 CALL \$79.00 / CUSIP: / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	3.000	110.98	05/18/20	132.00	...	-21.02	1 of 3 - Option sale to close-call ²⁵
	3.000	110.98	05/18/20	132.00	...	-21.02	2 of 3 - Option sale to close-call ²⁵
	1.000	36.98	05/18/20	51.02	...	-14.04	3 of 3 - Option sale to close-call ²⁵
05/18/20	7.000	258.94	Various	315.02	...	-56.08	Total of 3 transactions
05/18/20	1.000	36.98	05/18/20	44.00	7.02 W	0.00	Option sale to close-call ²⁵
05/19/20	2.000	85.98	05/18/20	88.00	...	-2.02	Option sale to close-call ²⁵
	Security total:	381.90		447.02	7.02 W	-58.10	
SDC 05/08/2020 PUT \$6.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	2.000	49.98	05/01/20	50.00	...	-0.02	1 of 2 - Option sale to close-put ²⁵
	1.000	24.98	05/01/20	25.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
05/01/20	3.000	74.96	Various	75.00	...	-0.04	Total of 2 transactions
SDC 08/14/2020 PUT \$9.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	85.99	08/12/20	49.00	...	36.99	1 of 2 - Option sale to close-put ²⁵
	1.000	85.99	08/12/20	49.00	...	36.99	2 of 2 - Option sale to close-put ²⁵
08/13/20	2.000	171.98	Various	98.00	...	73.98	Total of 2 transactions
SDC 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/18/20	4.000	7.98	08/12/20	232.00	...	-224.02	Option sale to close-call ²⁵
SEDG 11/20/2020 CALL \$240.00 / CUSIP: / Symbol:							
11/05/20	1.000	759.97	11/04/20	214.00	...	545.97	Option sale to close-call ²⁵
SFIX 10/02/2020 CALL \$40.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/22/20	64.00	...	-64.00	Option expiration- long call
SHOP 04/17/2020 CALL \$400.00 / CUSIP: / Symbol:							
04/03/20	2.000	1,159.96	04/03/20	1,000.00	...	159.96	Option sale to close-call ²⁵

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLV 10/16/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	56.99	09/28/20	49.00	...	7.99	1 of 2 - Option sale to close-call ²⁵
	3.000	170.99	09/28/20	147.00	...	23.99	2 of 2 - Option sale to close-call ²⁵
10/01/20	4.000	227.98	Various	196.00	...	31.98	Total of 2 transactions
SNAP 02/21/2020 CALL \$18.00 / CUSIP: / Symbol:							
01/17/20	5.000	899.97	01/10/20	590.00	...	309.97	Option sale to close-call ²⁵
SNAP 03/20/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	3.000	92.99	02/10/20	354.00	...	-261.01	1 of 2 - Option sale to close-call ²⁵
	2.000	61.99	02/10/20	236.00	...	-174.01	2 of 2 - Option sale to close-call ²⁵
02/27/20	5.000	154.98	Various	590.00	...	-435.02	Total of 2 transactions
SNAP 04/24/2020 PUT \$11.50 / CUSIP: / Symbol:							
04/21/20	2.000	149.98	04/21/20	120.00	...	29.98	Option sale to close-put ²⁵
SNAP 05/01/2020 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	79.99	04/21/20	32.00	...	47.99	1 of 2 - Option sale to close-call ²⁵
	1.000	79.99	04/21/20	29.00	...	50.99	2 of 2 - Option sale to close-call ²⁵
04/22/20	2.000	159.98	Various	61.00	...	98.98	Total of 2 transactions
SNAP 05/15/2020 PUT \$16.00 / CUSIP: / Symbol:							
05/06/20	5.000	79.98	05/05/20	100.00	...	-20.02	Option sale to close-put ²⁵
SNAP 06/26/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/17/20	10.000	359.97	06/17/20	350.00	...	9.97	Option sale to close-call ²⁵
SNAP 09/11/2020 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	51.98	08/28/20	43.00	...	8.98	1 of 2 - Option sale to close-call ²⁵
	1.000	51.98	08/28/20	43.00	...	8.98	2 of 2 - Option sale to close-call ²⁵
08/31/20	2.000	103.96	Various	86.00	...	17.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 10/23/2020 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	183.98	10/14/20	150.00	...	33.98	1 of 2 - Option sale to close-call ²⁵
	2.000	367.98	10/14/20	300.00	...	67.98	2 of 2 - Option sale to close-call ²⁵
10/15/20	3.000	551.96	Various	450.00	...	101.96	Total of 2 transactions
10/19/20	1.000	259.98	10/14/20	150.00	...	109.98	Option sale to close-call ²⁵
	Security total:	811.94		600.00	...	211.94	
SNAP 10/30/2020 PUT \$26.00 / CUSIP: / Symbol:							
10/21/20	1.000	2.98	10/20/20	95.00	...	-92.02	Option sale to close-put ²⁵
SOLO 08/21/2020 CALL \$4.50 / CUSIP: / Symbol:							
08/03/20	4.000	107.98	07/20/20	220.00	...	-112.02	Option sale to close-call ²⁵
SONO 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	134.98	06/22/20	80.00	...	54.98	Option sale to close-call ²⁵
SPCE 05/01/2020 CALL \$18.50 / CUSIP: / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	2.000	109.99	04/27/20	170.00	...	-60.01	1 of 2 - Option sale to close-call ²⁵
	1.000	54.99	04/27/20	85.00	...	-30.01	2 of 2 - Option sale to close-call ²⁵
04/27/20	3.000	164.98	Various	255.00	...	-90.02	Total of 2 transactions
SPCE 05/01/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/01/20	2.000	11.98	04/29/20	58.00	...	-46.02	Option sale to close-call ²⁵
SPCE 07/17/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/22/20	2.000	315.98	06/22/20	266.00	...	49.98	Option sale to close-call ²⁵
SPCE 08/07/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/04/20	1.000	3.98	08/03/20	69.00	...	-65.02	Option sale to close-call ²⁵
SPCE 08/07/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/23/20	2.000	259.98	07/22/20	210.00	...	49.98	Option sale to close-call ²⁵
SPCE 08/07/2020 PUT \$21.50 / CUSIP: / Symbol:							
08/04/20	1.000	70.98	08/03/20	61.00	...	9.98	Option sale to close-put ²⁵

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SPCE 10/02/2020 CALL \$19.50 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	50.98	09/30/20	37.00	...	13.98	1 of 2 - Option sale to close-call ²⁵
	2.000	101.98	09/30/20	74.00	...	27.98	2 of 2 - Option sale to close-call ²⁵
10/01/20	3.000	152.96	Various	111.00	...	41.96	Total of 2 transactions
SPCE 11/27/2020 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	11/16/20	90.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	1.000	97.98	11/16/20	90.00	...	7.98	2 of 2 - Option sale to close-call ²⁵
11/17/20	2.000	195.96	Various	180.00	...	15.96	Total of 2 transactions
SPG 12/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
11/09/20	1.000	1,749.95	11/06/20	335.00	...	1,414.95	Option sale to close-call ²⁵
SPXS 09/18/2020 CALL \$7.00 / CUSIP: / Symbol:							
09/18/20	10.000	0.00	09/10/20	70.00	...	-70.00	Option expiration- long call
SPY 01/24/2020 PUT \$329.00 / CUSIP: / Symbol:							
01/22/20	1.000	25.98	01/21/20	57.00	...	-31.02	Option sale to close-put ²⁵
SPY 02/12/2020 PUT \$332.00 / CUSIP: / Symbol:							
2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/07/20	165.00	...	-165.00	1 of 2 - Option expiration- long put
	1.000	0.00	02/07/20	165.00	...	-165.00	2 of 2 - Option expiration- long put
02/12/20	2.000	0.00	Various	330.00	...	-330.00	Total of 2 transactions
SPY 02/26/2020 PUT \$315.00 / CUSIP: / Symbol:							
02/24/20	1.000	39.98	02/24/20	58.00	...	-18.02	Option sale to close-put ²⁵
SPY 03/04/2020 PUT \$290.00 / CUSIP: / Symbol:							
03/04/20	1.000	0.00	03/03/20	83.00	...	-83.00	Option expiration- long put
SPY 03/20/2020 CALL \$250.00 / CUSIP: / Symbol:							
03/19/20	2.000	439.98	03/19/20	520.00	...	-80.02	Option sale to close-call ²⁵

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SPY 03/20/2020 CALL \$265.00 / CUSIP: / Symbol:							
03/17/20	2.000	539.97	03/17/20	346.00	...	193.97	Option sale to close-call ²⁵
03/18/20	1.000	71.98	03/18/20	100.00	...	-28.02	Option sale to close-call ²⁵
	Security total:	611.95		446.00	...	165.95	
SPY 03/20/2020 PUT \$204.00 / CUSIP: / Symbol:							
03/20/20	4.000	0.00	03/19/20	88.00	...	-88.00	Option expiration- long put
SPY 03/23/2020 CALL \$267.00 / CUSIP: / Symbol:							
03/17/20	2.000	429.98	03/17/20	386.00	...	43.98	Option sale to close-call ²⁵
SPY 03/27/2020 CALL \$260.00 / CUSIP: / Symbol:							
03/26/20	3.000	1,139.96	03/26/20	846.00	...	293.96	Option sale to close-call ²⁵
SPY 03/27/2020 CALL \$270.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	345.98	03/19/20	290.00	...	55.98	1 of 2 - Option sale to close-call ²⁵
	3.000	518.97	03/19/20	435.00	...	83.97	2 of 2 - Option sale to close-call ²⁵
03/19/20	5.000	864.95	Various	725.00	...	139.95	Total of 2 transactions
SPY 04/08/2020 CALL \$262.00 / CUSIP: / Symbol:							
03/25/20	1.000	969.96	03/25/20	853.00	...	116.96	Option sale to close-call ²⁵
SPY 04/09/2020 PUT \$175.00 / CUSIP: / Symbol:							
04/09/20	2.000	0.00	03/24/20	134.00	...	-134.00	Option expiration- long put
SPY 04/09/2020 PUT \$265.00 / CUSIP: / Symbol:							
04/08/20	2.000	291.98	04/08/20	242.00	...	49.98	Option sale to close-put ²⁵
SPY 04/16/2021 PUT \$340.00 / CUSIP: / Symbol:							
12/21/20	1.000	987.96	11/27/20	1,138.00	...	-150.04	Option sale to close-put ²⁵
SPY 04/22/2020 CALL \$287.00 / CUSIP: / Symbol:							
04/20/20	2.000	293.98	04/20/20	368.00	...	-74.02	Option sale to close-call ²⁵
SPY 04/22/2020 CALL \$288.00 / CUSIP: / Symbol:							
04/20/20	3.000	494.97	04/20/20	585.00	...	-90.03	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPY 04/22/2020 PUT \$265.00 / CUSIP: / Symbol:							
04/21/20	2.000	127.98	04/21/20	192.00	...	-64.02	Option sale to close-put ²⁵
SPY 04/24/2020 CALL \$283.00 / CUSIP: / Symbol:							
04/23/20	4.000	1,039.96	04/23/20	880.00	...	159.96	Option sale to close-call ²⁵
SPY 04/24/2020 PUT \$250.00 / CUSIP: / Symbol:							
04/24/20	5.000	0.00	04/21/20	110.00	...	-110.00	Option expiration- long put
SPY 04/27/2020 PUT \$160.00 / CUSIP: / Symbol:							
04/27/20	2.000	0.00	03/26/20	130.00	...	-130.00	Option expiration- long put
SPY 05/01/2020 PUT \$260.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/24/20	35.00	...	-35.00	Option expiration- long put
SPY 05/01/2020 PUT \$265.00 / CUSIP: / Symbol:							
05/01/20	2.000	129.98	05/01/20	0.00	...	129.98	Short sale closed- put expired Option written: 04/24/20
SPY 05/01/2020 PUT \$270.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/23/20	289.00	...	-289.00	Option expiration- long put
SPY 05/08/2020 PUT \$272.00 / CUSIP: / Symbol:							
05/05/20	1.000	57.98	05/04/20	0.00	...	57.98	Short sale closed- put ²⁵ Option written: 05/01/20
SPY 05/08/2020 PUT \$282.00 / CUSIP: / Symbol:							
05/04/20	1.000	448.98	05/01/20	494.00	...	-45.02	Option sale to close-put ²⁵
SPY 05/11/2020 PUT \$286.00 / CUSIP: / Symbol:							
05/11/20	3.000	0.00	05/08/20	111.00	...	-111.00	Option expiration- long put
SPY 05/15/2020 PUT \$250.00 / CUSIP: / Symbol:							
04/20/20	2.000	619.97	04/20/20	556.00	...	63.97	Option sale to close-put ²⁵
SPY 05/29/2020 PUT \$280.00 / CUSIP: / Symbol:							
05/21/20	2.000	119.98	05/20/20	152.00	...	-32.02	Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/05/2020 PUT \$297.00 / CUSIP: / Symbol:							
06/05/20	3.000	0.00	06/02/20	147.00	...	-147.00	Option expiration- long put
SPY 06/15/2020 CALL \$304.00 / CUSIP: / Symbol:							
06/12/20	1.000	283.98	06/12/20	398.00	...	-114.02	Option sale to close-call ²⁵
SPY 06/15/2020 PUT \$292.00 / CUSIP: / Symbol:							
06/15/20	1.000	0.00	06/12/20	49.00	...	-49.00	Option expiration- long put
SPY 06/24/2020 CALL \$313.00 / CUSIP: / Symbol:							
06/23/20	1.000	230.98	06/22/20	145.00	...	85.98	Option sale to close-call ²⁵
SPY 06/24/2020 PUT \$309.00 / CUSIP: / Symbol:							
06/24/20	1.000	77.98	06/23/20	68.00	...	9.98	Option sale to close-put ²⁵
SPY 06/26/2020 CALL \$312.00 / CUSIP: / Symbol:							
06/26/20	4.000	0.00	06/25/20	140.00	...	-140.00	Option expiration- long call
SPY 06/26/2020 PUT \$306.00 / CUSIP: / Symbol:							
06/24/20	1.000	504.97	06/23/20	124.00	...	380.97	Option sale to close-put ²⁵
SPY 07/10/2020 CALL \$313.00 / CUSIP: / Symbol:							
07/09/20	4.000	859.97	07/09/20	656.00	...	203.97	Option sale to close-call ²⁵
SPY 07/15/2020 PUT \$313.00 / CUSIP: / Symbol:							
07/15/20	3.000	14.98	07/14/20	114.00	...	-99.02	Option sale to close-put ²⁵
SPY 07/31/2020 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	2.000	39.98	07/24/20	68.00	...	-28.02	1 of 2 - Option sale to close-call ²⁵
	1.000	19.98	07/24/20	34.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
07/29/20	3.000	59.96	Various	102.00	...	-42.04	Total of 2 transactions
SPY 08/21/2020 PUT \$321.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/06/20	198.00	...	-198.00	Option expiration- long put
SPY 08/24/2020 PUT \$333.00 / CUSIP: / Symbol:							
08/24/20	2.000	0.00	08/19/20	180.00	...	-180.00	Option expiration- long put

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Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/04/2020 CALL \$348.00 / CUSIP: / Symbol:							
09/03/20	3.000	419.98	09/03/20	525.00	...	-105.02	Option sale to close-call ²⁵
09/04/20	1.000	3.98	09/03/20	175.00	...	-171.02	Option sale to close-call ²⁵
	Security total:	423.96		700.00	...	-276.04	
SPY 09/04/2020 CALL \$349.00 / CUSIP: / Symbol:							
09/03/20	1.000	224.98	09/03/20	260.00	...	-35.02	Option sale to close-call ²⁵
SPY 09/04/2020 PUT \$331.00 / CUSIP: / Symbol:							
09/04/20	3.000	0.00	09/03/20	90.00	...	-90.00	Option expiration- long put
SPY 09/04/2020 PUT \$346.00 / CUSIP: / Symbol:							
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	229.98	09/03/20	279.00	...	-49.02	1 of 2 - Option sale to close-put ²⁵
	1.000	294.98	09/03/20	279.00	...	15.98	2 of 2 - Option sale to close-put ²⁵
09/03/20	2.000	524.96	Various	558.00	...	-33.04	Total of 2 transactions
SPY 09/08/2020 PUT \$319.00 / CUSIP: / Symbol:							
09/08/20	2.000	0.00	09/04/20	108.00	...	-108.00	Option expiration- long put
SPY 09/08/2020 PUT \$329.00 / CUSIP: / Symbol:							
	2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	2.000	105.98	09/04/20	74.00	...	31.98	1 of 2 - Option sale to close-put ²⁵
	1.000	73.98	09/04/20	37.00	...	36.98	2 of 2 - Option sale to close-put ²⁵
09/08/20	3.000	179.96	Various	111.00	...	68.96	Total of 2 transactions
SPY 09/11/2020 PUT \$326.00 / CUSIP: / Symbol:							
09/11/20	2.000	11.98	09/10/20	82.00	...	-70.02	Option sale to close-put ²⁵
SPY 09/23/2020 PUT \$313.00 / CUSIP: / Symbol:							
09/23/20	1.000	0.00	09/21/20	67.00	...	-67.00	Option expiration- long put
SPY 09/25/2020 PUT \$318.00 / CUSIP: / Symbol:							
09/25/20	2.000	0.00	09/23/20	286.00	...	-286.00	Option expiration- long put
SPY 09/30/2020 PUT \$326.00 / CUSIP: / Symbol:							
09/30/20	2.000	0.00	09/29/20	62.00	...	-62.00	Option expiration- long put

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Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 10/02/2020 PUT \$325.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/30/20	41.00	...	-41.00	Option expiration- long put
SPY 10/05/2020 PUT \$333.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	169.98	10/01/20	142.00	...	27.98	1 of 2 - Option sale to close-put ²⁵
	1.000	261.98	10/01/20	142.00	...	119.98	2 of 2 - Option sale to close-put ²⁵
10/02/20	2.000	431.96	Various	284.00	...	147.96	Total of 2 transactions
SPY 10/09/2020 PUT \$322.00 / CUSIP: / Symbol:							
10/06/20	1.000	34.98	10/02/20	92.00	...	-57.02	Option sale to close-put ²⁵
SPY 10/12/2020 PUT \$330.00 / CUSIP: / Symbol:							
10/12/20	2.000	0.00	10/05/20	310.00	...	-310.00	Option expiration- long put
SPY 10/12/2020 PUT \$339.00 / CUSIP: / Symbol:							
10/12/20	3.000	0.00	10/08/20	213.00	...	-213.00	Option expiration- long put
SPY 10/14/2020 PUT \$347.00 / CUSIP: / Symbol:							
10/14/20	3.000	206.98	10/12/20	153.00	...	53.98	Option sale to close-put ²⁵
SPY 10/16/2020 PUT \$344.00 / CUSIP: / Symbol:							
10/15/20	1.000	183.98	10/14/20	97.00	...	86.98	Option sale to close-put ²⁵
SPY 10/19/2020 PUT \$343.00 / CUSIP: / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	1.000	91.98	10/15/20	101.00	...	-9.02	1 of 2 - Option sale to close-put ²⁵
	1.000	91.98	10/15/20	101.00	...	-9.02	2 of 2 - Option sale to close-put ²⁵
10/19/20	2.000	183.96	Various	202.00	...	-18.04	Total of 2 transactions
SPY 10/21/2020 CALL \$350.00 / CUSIP: / Symbol:							
10/21/20	4.000	0.00	10/20/20	108.00	...	-108.00	Option expiration- long call
SPY 10/23/2020 CALL \$350.00 / CUSIP: / Symbol:							
10/20/20	2.000	185.98	10/19/20	160.00	...	25.98	Option sale to close-call ²⁵
SPY 10/23/2020 PUT \$337.00 / CUSIP: / Symbol:							
10/23/20	1.000	2.98	10/19/20	194.00	...	-191.02	Option sale to close-put ²⁵

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(continued)

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SPY 10/28/2020 CALL \$344.00 / CUSIP: / Symbol:							
10/28/20	2.000	0.00	10/26/20	124.00	...	-124.00	Option expiration- long call
SPY 10/30/2020 PUT \$327.00 / CUSIP: / Symbol:							
10/29/20	1.000	281.98	10/28/20	234.00	...	47.98	Option sale to close-put ²⁵
SPY 11/06/2020 PUT \$329.00 / CUSIP: / Symbol:							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	-71.03	11/02/20	0.00	...	-71.03	1 of 2 - Short sale closed- put ²⁵
	1.000	-58.03	11/02/20	0.00	...	-58.03	Option written: 10/29/20
11/03/20	2.000	-129.06	Various	0.00	...	-129.06	2 of 2 - Short sale closed- put ²⁵
							Option written: 10/29/20
							Total of 2 transactions
SPY 11/06/2020 PUT \$331.00 / CUSIP: / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	1.000	818.98	10/29/20	747.00	...	71.98	1 of 2 - Option sale to close-put ²⁵
	1.000	818.97	10/29/20	734.00	...	84.97	2 of 2 - Option sale to close-put ²⁵
11/02/20	2.000	1,637.95	Various	1,481.00	...	156.95	Total of 2 transactions
SPY 11/09/2020 PUT \$321.00 / CUSIP: / Symbol:							
11/09/20	1.000	0.00	11/02/20	400.00	...	-400.00	Option expiration- long put
SPY 11/11/2020 PUT \$346.00 / CUSIP: / Symbol:							
11/10/20	1.000	43.98	11/06/20	206.00	...	-162.02	Option sale to close-put ²⁵
SPY 11/13/2020 PUT \$347.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/10/20	111.00	...	-111.00	Option expiration- long put
SPY 11/13/2020 PUT \$355.00 / CUSIP: / Symbol:							
11/10/20	1.000	395.98	11/09/20	242.00	...	153.98	Option sale to close-put ²⁵
SPY 11/16/2020 CALL \$362.00 / CUSIP: / Symbol:							
11/16/20	2.000	115.98	11/13/20	90.00	...	25.98	Option sale to close-call ²⁵
SPY 11/20/2020 PUT \$355.00 / CUSIP: / Symbol:							
11/17/20	1.000	96.98	11/13/20	253.00	...	-156.02	Option sale to close-put ²⁵

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SPY 11/30/2020 PUT \$352.00 / CUSIP: / Symbol:							
11/25/20	1.000	29.98	11/19/20	213.00	...	-183.02	Option sale to close-put ²⁵
SPY 11/30/2020 PUT \$356.00 / CUSIP: / Symbol:							
11/19/20	1.000	426.98	11/18/20	239.00	...	187.98	Option sale to close-put ²⁵
SPY 12/04/2020 PUT \$358.00 / CUSIP: / Symbol:							
12/01/20	1.000	45.98	11/24/20	242.00	...	-196.02	Option sale to close-put ²⁵
SPY 12/14/2020 PUT \$362.00 / CUSIP: / Symbol:							
12/14/20	1.000	0.00	12/11/20	111.00	...	-111.00	Option expiration- long put
SPY 12/21/2020 CALL \$373.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	12/18/20	80.00	...	-80.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/18/20	40.00	...	-40.00	2 of 2 - Option expiration- long call
12/21/20	3.000	0.00	Various	120.00	...	-120.00	Total of 2 transactions
SPY 12/21/2020 PUT \$355.00 / CUSIP: / Symbol:							
12/11/20	2.000	385.98	12/09/20	224.00	...	161.98	Option sale to close-put ²⁵
SPY 12/23/2020 CALL \$370.00 / CUSIP: / Symbol:							
12/23/20	3.000	50.98	12/22/20	72.00	...	-21.02	Option sale to close-call ²⁵
SPY 12/23/2020 PUT \$365.00 / CUSIP: / Symbol:							
12/23/20	1.000	14.98	12/22/20	61.00	...	-46.02	Option sale to close-put ²⁵
SPY 12/28/2020 PUT \$366.00 / CUSIP: / Symbol:							
12/28/20	1.000	0.00	12/23/20	98.00	...	-98.00	Option expiration- long put
SQ 02/21/2020 CALL \$72.00 / CUSIP: / Symbol:							
01/28/20	1.000	389.98	01/27/20	234.00	...	155.98	Option sale to close-call ²⁵
SQ 02/28/2020 CALL \$81.00 / CUSIP: / Symbol:							
02/27/20	1.000	209.98	02/26/20	188.00	...	21.98	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 04/24/2020 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	209.98	04/20/20	160.00	...	49.98	1 of 3 - Option sale to close-call ²⁵
	1.000	209.98	04/20/20	160.00	...	49.98	2 of 3 - Option sale to close-call ²⁵
	3.000	629.97	04/20/20	480.00	...	149.97	3 of 3 - Option sale to close-call ²⁵
04/20/20	5.000	1,049.93	Various	800.00	...	249.93	Total of 3 transactions
SQ 05/01/2020 CALL \$65.00 / CUSIP: / Symbol:							
04/20/20	2.000	461.97	04/20/20	558.00	...	-96.03	Option sale to close-call ²⁵
SQ 09/11/2020 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	3.000	899.97	09/03/20	1,020.00	...	-120.03	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	09/03/20	340.00	...	-40.02	2 of 2 - Option sale to close-call ²⁵
09/03/20	4.000	1,199.95	Various	1,360.00	...	-160.05	Total of 2 transactions
SQQQ 03/20/2020 PUT \$19.00 / CUSIP: / Symbol:							
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	1.000	154.99	02/24/20	150.00	...	4.99	1 of 2 - Option sale to close-put ²⁵
	1.000	154.99	02/24/20	150.00	...	4.99	2 of 2 - Option sale to close-put ²⁵
02/25/20	2.000	309.98	Various	300.00	...	9.98	Total of 2 transactions
SRNE 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
05/18/20	1.000	254.98	05/15/20	280.00	...	-25.02	Option sale to close-call ²⁵
STM 08/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/06/20	4.000	379.98	07/02/20	320.00	...	59.98	Option sale to close-call ²⁵
STM 08/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/15/20	5.000	349.98	07/14/20	225.00	...	124.98	Option sale to close-call ²⁵
08/21/20	5.000	0.00	07/14/20	225.00	...	-225.00	Option expiration- long call
	Security total:	349.98		450.00	...	-100.02	
STMP 11/27/2020 CALL \$200.00 / CUSIP: / Symbol:							
11/23/20	2.000	69.98	11/20/20	220.00	...	-150.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

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STX 07/31/2020 CALL \$52.50 / CUSIP: / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2.98	07/28/20	43.00	...	-40.02	1 of 2 - Option sale to close-call ²⁵
	1.000	2.98	07/28/20	43.00	...	-40.02	2 of 2 - Option sale to close-call ²⁵
07/29/20	2.000	5.96	Various	86.00	...	-80.04	Total of 2 transactions
STX 07/31/2020 PUT \$43.00 / CUSIP: / Symbol:							
07/29/20	2.000	69.98	07/28/20	32.00	...	37.98	Option sale to close-put ²⁵
STZ 01/10/2020 CALL \$197.50 / CUSIP: / Symbol:							
01/10/20	1.000	0.00	01/09/20	12.00	...	-12.00	Option expiration- long call
SWN 02/19/2021 CALL \$3.50 / CUSIP: / Symbol:							
12/23/20	4.000	271.98	12/22/20	192.00	...	79.98	Option sale to close-call ²⁵
T 01/31/2020 CALL \$39.00 / CUSIP: / Symbol:							
01/31/20	1.000	0.00	01/28/20	62.00	...	-62.00	Option expiration- long call
T 04/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
03/24/20	2.000	145.98	03/20/20	304.00	...	-158.02	Option sale to close-call ²⁵
TCOM 10/16/2020 CALL \$31.00 / CUSIP: / Symbol:							
4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	1.000	53.98	09/23/20	46.00	...	7.98	1 of 4 - Option sale to close-call ²⁵
	1.000	53.98	09/23/20	46.00	...	7.98	2 of 4 - Option sale to close-call ²⁵
	1.000	53.99	09/23/20	46.00	...	7.99	3 of 4 - Option sale to close-call ²⁵
	1.000	53.99	09/23/20	46.00	...	7.99	4 of 4 - Option sale to close-call ²⁵
09/24/20	4.000	215.94	Various	184.00	...	31.94	Total of 4 transactions
TCOM 11/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
10/23/20	2.000	419.98	10/16/20	328.00	...	91.98	Option sale to close-call ²⁵
TECK 06/19/2020 CALL \$11.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	05/19/20	42.00	...	-7.02	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	05/19/20	42.00	...	-7.02	2 of 2 - Option sale to close-call ²⁵
05/19/20	2.000	69.96	Various	84.00	...	-14.04	Total of 2 transactions

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TEVA 01/08/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/31/20	4.000	27.98	12/28/20	124.00	...	-96.02	Option sale to close-call ²⁵
TGT 01/31/2020 CALL \$117.00 / CUSIP: / Symbol:							
3 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	84.99	01/23/20	62.00	...	22.99	1 of 3 - Option sale to close-call ²⁵
	1.000	84.99	01/23/20	62.00	...	22.99	2 of 3 - Option sale to close-call ²⁵
	1.000	85.00	01/23/20	62.00	...	23.00	3 of 3 - Option sale to close-call ²⁵
01/27/20	3.000	254.98	Various	186.00	...	68.98	Total of 3 transactions
TGT 05/15/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	05/11/20	18.00	...	16.98	1 of 2 - Option sale to close-call ²⁵
	2.000	69.98	05/11/20	36.00	...	33.98	2 of 2 - Option sale to close-call ²⁵
05/11/20	3.000	104.96	Various	54.00	...	50.96	Total of 2 transactions
TGT 07/24/2020 CALL \$126.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	100.98	06/24/20	200.00	...	-99.02	1 of 2 - Option sale to close-call ²⁵
	1.000	100.98	06/24/20	200.00	...	-99.02	2 of 2 - Option sale to close-call ²⁵
07/13/20	2.000	201.96	Various	400.00	...	-198.04	Total of 2 transactions
TGT 08/28/2020 CALL \$125.00 / CUSIP: / Symbol:							
08/03/20	1.000	519.97	07/13/20	460.00	...	59.97	Option sale to close-call ²⁵
TIF 06/19/2020 CALL \$135.00 / CUSIP: / Symbol:							
06/03/20	10.000	19.97	06/02/20	40.00	...	-20.03	Option sale to close-call ²⁵
TJX 06/19/2020 CALL \$57.50 / CUSIP: / Symbol:							
05/04/20	2.000	109.98	05/04/20	120.00	...	-10.02	Option sale to close-call ²⁵
TJX 10/16/2020 CALL \$57.50 / CUSIP: / Symbol:							
09/02/20	4.000	839.97	08/31/20	840.00	...	-0.03	Option sale to close-call ²⁵
TLRY 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/16/20	3.000	590.97	12/15/20	381.00	...	209.97	Option sale to close-call ²⁵

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TLRY 03/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
10/19/20	3.000	239.98	09/21/20	126.00	...	113.98	Option sale to close-call ²⁵
TLRY 04/09/2020 CALL \$6.00 / CUSIP: / Symbol:							
03/25/20	4.000	155.98	03/20/20	280.00	...	-124.02	Option sale to close-call ²⁵
TLRY 05/15/2020 CALL \$8.00 / CUSIP: / Symbol:							
<i>4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	264.98	05/08/20	300.00	...	-35.02	1 of 4 - Option sale to close-call ²⁵
	2.000	105.98	05/08/20	120.00	...	-14.02	2 of 4 - Option sale to close-call ²⁵
	2.000	105.98	05/08/20	120.00	...	-14.02	3 of 4 - Option sale to close-call ²⁵
	1.000	52.98	05/08/20	60.00	...	-7.02	4 of 4 - Option sale to close-call ²⁵
05/08/20	10.000	529.92	Various	600.00	...	-70.08	Total of 4 transactions
TLRY 06/12/2020 CALL \$11.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	134.98	06/03/20	180.00	...	-45.02	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	06/03/20	60.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
06/03/20	4.000	179.96	Various	240.00	...	-60.04	Total of 2 transactions
TLRY 06/19/2020 PUT \$7.00 / CUSIP: / Symbol:							
06/19/20	4.000	0.00	06/12/20	76.00	...	-76.00	Option expiration- long put
TLRY 07/17/2020 CALL \$9.00 / CUSIP: / Symbol:							
06/22/20	2.000	119.98	06/17/20	140.00	...	-20.02	Option sale to close-call ²⁵
TLRY 08/14/2020 CALL \$9.00 / CUSIP: / Symbol:							
08/14/20	10.000	0.00	08/10/20	280.00	...	-280.00	Option expiration- long call
TLRY 08/14/2020 PUT \$7.00 / CUSIP: / Symbol:							
<i>3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	26.99	08/10/20	21.00	...	5.99	1 of 3 - Option sale to close-put ²⁵
	1.000	27.00	08/10/20	21.00	...	6.00	2 of 3 - Option sale to close-put ²⁵
	2.000	53.99	08/10/20	42.00	...	11.99	3 of 3 - Option sale to close-put ²⁵
08/11/20	4.000	107.98	Various	84.00	...	23.98	Total of 3 transactions

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TLT 06/26/2020 PUT \$160.00 / CUSIP: / Symbol:							
06/12/20	5.000	509.97	06/11/20	430.00	...	79.97	Option sale to close-put ²⁵
TPR 09/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	149.98	08/06/20	105.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	5.000	749.97	08/06/20	525.00	...	224.97	2 of 2 - Option sale to close-call ²⁵
08/10/20	6.000	899.95	Various	630.00	...	269.95	Total of 2 transactions
TQQQ 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/01/20	1.000	549.97	06/30/20	515.00	...	34.97	Option sale to close-call ²⁵
TRIP 06/19/2020 CALL \$23.00 / CUSIP: / Symbol:							
06/03/20	1.000	94.98	06/03/20	100.00	...	-5.02	Option sale to close-call ²⁵
TRVN 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/22/20	10.000	149.97	06/18/20	250.00	...	-100.03	Option sale to close-call ²⁵
TSLA 03/20/2020 PUT \$300.00 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	03/19/20	594.00	...	-594.00	Option expiration- long put
TSLA 03/27/2020 CALL \$570.00 / CUSIP: / Symbol:							
03/26/20	1.000	195.98	03/26/20	600.00	...	-404.02	Option sale to close-call ²⁵
TSLA 04/03/2020 CALL \$630.00 / CUSIP: / Symbol:							
03/30/20	2.000	385.98	03/27/20	720.00	...	-334.02	Option sale to close-call ²⁵
TSLA 04/09/2020 CALL \$600.00 / CUSIP: / Symbol:							
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	52.99	04/08/20	89.01	...	-36.02	1 of 3 - Option sale to close-call ²⁵
	1.000	52.99	04/08/20	89.01	...	-36.02	2 of 3 - Option sale to close-call ²⁵
	1.000	53.00	04/08/20	71.00	...	-18.00	3 of 3 - Option sale to close-call ²⁵
04/08/20	3.000	158.98	Various	249.02	...	-90.04	Total of 3 transactions
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	52.99	04/08/20	71.00	18.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	52.99	04/08/20	71.00	18.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/08/20	2.000	105.98	Various	142.00	36.02 W	0.00	Total of 2 transactions

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TSLA 04/09/2020 CALL \$600.00 / CUSIP: / Symbol: (cont'd)							
Security total:		264.96		391.02		36.02 W	-90.04
TSLA 04/17/2020 CALL \$680.00 / CUSIP: / Symbol:							
04/07/20	2.000	507.97	04/07/20	550.00	...	-42.03	Option sale to close-call ²⁵
TSLA 04/24/2020 CALL \$950.00 / CUSIP: / Symbol:							
04/24/20	1.000	0.00	04/21/20	51.00	...	-51.00	Option expiration- long call
TSLA 05/01/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
04/24/20	1.000	277.98	04/22/20	550.00	...	-272.02	Option sale to close-call ²⁵
TSLA 05/01/2020 CALL \$900.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/30/20	200.00	...	-200.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/30/20	70.00	...	-70.00	2 of 2 - Option expiration- long call
05/01/20	3.000	0.00	Various	270.00	...	-270.00	Total of 2 transactions
TSLA 05/15/2020 CALL \$800.00 / CUSIP: / Symbol:							
05/08/20	1.000	4,179.89	05/07/20	2,830.00	...	1,349.89	Option sale to close-call ²⁵
TSLA 05/15/2020 CALL \$830.00 / CUSIP: / Symbol:							
05/11/20	1.000	-910.06	05/08/20	0.00	...	-910.06	Short sale closed- call ²⁵ Option written: 05/07/20
TSLA 05/15/2020 CALL \$890.00 / CUSIP: / Symbol:							
05/12/20	1.000	775.97	05/11/20	753.00	...	22.97	Option sale to close-call ²⁵
TSLA 05/15/2020 CALL \$900.00 / CUSIP: / Symbol:							
05/13/20	1.000	4.97	05/12/20	0.00	...	4.97	Short sale closed- call ²⁵ Option written: 05/11/20
TSLA 05/29/2020 PUT \$730.00 / CUSIP: / Symbol:							
05/26/20	1.000	31.98	05/22/20	0.00	...	31.98	Short sale closed- put ²⁵ Option written: 05/21/20
TSLA 05/29/2020 PUT \$740.00 / CUSIP: / Symbol:							
05/22/20	1.000	387.98	05/21/20	415.00	...	-27.02	Option sale to close-put ²⁵

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TSLA 06/05/2020 CALL \$880.00 / CUSIP: / Symbol: 06/01/20	1.000	1,934.94	05/29/20	765.00	...	1,169.94	Option sale to close-call ²⁵
TSLA 06/05/2020 CALL \$890.00 / CUSIP: / Symbol: 06/02/20	1.000	-978.03	06/01/20	0.00	...	-978.03	Short sale closed- call ²⁵ Option written: 05/29/20
TSLA 06/26/2020 PUT \$800.00 / CUSIP: / Symbol: 06/19/20	1.000	94.98	06/18/20	118.00	...	-23.02	Option sale to close-put ²⁵
TSLA 07/17/2020 CALL \$1,690.00 / CUSIP: / Symbol: 07/17/20	1.000	0.00	07/13/20	5,000.00	...	-5,000.00	Option expiration- long call
TSLA 07/17/2020 CALL \$1,700.00 / CUSIP: / Symbol: 07/17/20	1.000	4,799.88	07/17/20	0.00	...	4,799.88	Short sale closed- call expired Option written: 07/13/20
TSLA 07/17/2020 CALL \$1,760.00 / CUSIP: / Symbol: 07/17/20	1.000	0.00	07/16/20	78.00	...	-78.00	Option expiration- long call
TSLA 07/31/2020 CALL \$3,000.00 / CUSIP: / Symbol: 07/22/20	1.000	464.97	07/21/20	465.00	...	-0.03	Option sale to close-call ²⁵
TSLA 08/21/2020 CALL \$2,250.00 / CUSIP: / Symbol: 08/21/20	1.000	0.00	08/19/20	193.00	...	-193.00	Option expiration- long call
TSLA 08/21/2020 CALL \$2,300.00 / CUSIP: / Symbol: 08/21/20	1.000	144.98	08/21/20	0.00	...	144.98	Short sale closed- call expired Option written: 08/19/20
TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol: 09/18/20	2.000	0.00	09/17/20	44.00	...	-44.00	Option expiration- long call
TSLA 09/25/2020 CALL \$429.00 / CUSIP: / Symbol: 09/25/20	2.000	0.00	09/24/20	92.00	...	-92.00	Option expiration- long call

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TSLA 10/16/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	115.98	10/13/20	66.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	1.000	117.98	10/13/20	66.00	...	51.98	2 of 2 - Option sale to close-call ²⁵
10/14/20	2.000	233.96	Various	132.00	...	101.96	Total of 2 transactions
TSLA 10/23/2020 CALL \$420.00 / CUSIP: / Symbol:							
10/08/20	1.000	3,309.91	10/06/20	2,867.00	...	442.91	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$450.00 / CUSIP: / Symbol:							
10/09/20	1.000	-233.05	10/08/20	0.00	...	-233.05	Short sale closed- call ²⁵ Option written: 10/06/20
TSLA 10/23/2020 CALL \$480.00 / CUSIP: / Symbol:							
10/22/20	1.000	42.98	10/21/20	244.00	...	-201.02	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$500.00 / CUSIP: / Symbol:							
10/21/20	1.000	115.98	10/08/20	610.00	...	-494.02	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$530.00 / CUSIP: / Symbol:							
10/21/20	1.000	73.98	10/20/20	0.00	...	73.98	Short sale closed- call ²⁵ Option written: 10/19/20
TSLA 10/30/2020 CALL \$480.00 / CUSIP: / Symbol:							
10/21/20	1.000	649.97	10/20/20	750.00	...	-100.03	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$410.00 / CUSIP: / Symbol:							
11/13/20	1.000	8.98	11/12/20	565.00	...	-556.02	Option sale to close-call ²⁵
TSLA 11/27/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/18/20	1.000	1,099.96	11/17/20	330.00	...	769.96	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$670.00 / CUSIP: / Symbol:							
12/08/20	1.000	1,284.96	12/08/20	1,250.00	...	34.96	Option sale to close-call ²⁵
12/09/20	1.000	644.97	12/09/20	940.00	...	-295.03	Option sale to close-call ²⁵
	Security total:	1,929.93		2,190.00	...	-260.07	

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSM 10/16/2020 CALL \$79.00 / CUSIP: / Symbol:							
09/25/20	1.000	270.98	09/24/20	320.00	...	-49.02	Option sale to close-call ²⁵
TSM 10/16/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	8.98	10/14/20	59.00	...	-50.02	1 of 2 - Option sale to close-call ²⁵
	1.000	8.98	10/14/20	59.00	...	-50.02	2 of 2 - Option sale to close-call ²⁵
10/15/20	2.000	17.96	Various	118.00	...	-100.04	Total of 2 transactions
TSM 10/16/2020 PUT \$85.00 / CUSIP: / Symbol:							
10/15/20	1.000	9.98	10/14/20	62.00	...	-52.02	Option sale to close-put ²⁵
TSM 11/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
10/15/20	1.000	109.98	10/14/20	165.00	...	-55.02	Option sale to close-call ²⁵
TWTR 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/20/20	2.000	587.97	11/19/20	562.00	...	25.97	Option sale to close-call ²⁵
TWTR 03/20/2020 CALL \$26.00 / CUSIP: / Symbol:							
03/16/20	3.000	239.98	03/16/20	402.00	...	-162.02	Option sale to close-call ²⁵
TWTR 05/29/2020 CALL \$32.50 / CUSIP: / Symbol:							
05/08/20	4.000	227.98	05/08/20	248.00	...	-20.02	Option sale to close-call ²⁵
TWTR 07/17/2020 CALL \$36.50 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	65.98	07/10/20	61.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	3.000	197.98	07/10/20	183.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
07/13/20	4.000	263.96	Various	244.00	...	19.96	Total of 2 transactions
TWTR 09/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/10/20	2.000	129.98	09/09/20	98.00	...	31.98	Option sale to close-call ²⁵
UA 02/14/2020 PUT \$17.00 / CUSIP: / Symbol:							
02/11/20	1.000	159.98	02/10/20	60.00	...	99.98	Option sale to close-put ²⁵
UA 05/15/2020 CALL \$9.50 / CUSIP: / Symbol:							
05/15/20	5.000	0.00	05/08/20	200.00	...	-200.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/03/20	1.000	699.97	12/02/20	478.00	...	221.97	Option sale to close-call ²⁵
UAL 03/20/2020 CALL \$33.00 / CUSIP: / Symbol:							
03/17/20	1.000	329.98	03/17/20	375.00	...	-45.02	Option sale to close-call ²⁵
UAL 04/03/2020 PUT \$30.00 / CUSIP: / Symbol:							
03/31/20	2.000	251.98	03/31/20	234.00	...	17.98	Option sale to close-put ²⁵
UAL 05/01/2020 CALL \$32.00 / CUSIP: / Symbol:							
04/29/20	1.000	99.98	04/29/20	130.00	...	-30.02	Option sale to close-call ²⁵
UAL 06/05/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/03/20	2.000	153.98	06/03/20	172.00	...	-18.02	Option sale to close-call ²⁵
UBER 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
	<i>5 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	584.98	02/20/20	990.00	...	-405.02	1 of 5 - Option sale to close-call ²⁵
	1.000	194.99	03/11/20	82.00	...	112.99	2 of 5 - Option sale to close-call ²⁵
	1.000	194.99	03/11/20	82.00	...	112.99	3 of 5 - Option sale to close-call ²⁵
	2.000	389.99	03/13/20	118.00	...	271.99	4 of 5 - Option sale to close-call ²⁵
	2.000	389.99	03/17/20	92.00	...	297.99	5 of 5 - Option sale to close-call ²⁵
05/18/20	9.000	1,754.94	Various	1,364.00	...	390.94	Total of 5 transactions
UBER 02/14/2020 CALL \$38.00 / CUSIP: / Symbol:							
02/07/20	2.000	423.98	02/06/20	264.00	...	159.98	Option sale to close-call ²⁵
UBER 02/14/2020 CALL \$40.50 / CUSIP: / Symbol:							
02/10/20	2.000	-40.02	02/07/20	0.00	...	-40.02	Short sale closed- call ²⁵ Option written: 02/06/20
UBER 08/21/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/18/20	4.000	31.98	08/13/20	272.00	...	-240.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 08/21/2020 CALL \$33.00 / CUSIP: / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	3.000	344.98	07/22/20	579.00	...	-234.02	1 of 2 - Option sale to close-call ²⁵
	1.000	114.99	07/22/20	193.00	...	-78.01	2 of 2 - Option sale to close-call ²⁵
07/27/20	4.000	459.97	Various	772.00	...	-312.03	Total of 2 transactions
UBER 11/13/2020 PUT \$38.00 / CUSIP: / Symbol:							
11/06/20	1.000	13.98	11/05/20	51.00	...	-37.02	Option sale to close-put ²⁵
UBER 11/27/2020 CALL \$46.00 / CUSIP: / Symbol:							
11/06/20	2.000	125.98	11/05/20	152.00	...	-26.02	Option sale to close-call ²⁵
ULTA 03/27/2020 PUT \$190.00 / CUSIP: / Symbol:							
03/26/20	1.000	679.97	03/26/20	590.00	...	89.97	Option sale to close-put ²⁵
ULTA 06/05/2020 CALL \$250.00 / CUSIP: / Symbol:							
06/03/20	1.000	369.98	06/03/20	310.00	...	59.98	Option sale to close-call ²⁵
ULTA 07/17/2020 CALL \$225.00 / CUSIP: / Symbol:							
06/30/20	1.000	224.98	06/23/20	490.00	...	-265.02	Option sale to close-call ²⁵
UPS 01/17/2020 CALL \$120.00 / CUSIP: / Symbol:							
01/08/20	1.000	40.98	12/19/19	196.00	...	-155.02	Option sale to close-call ²⁵
UPS 05/15/2020 CALL \$95.00 / CUSIP: / Symbol:							
05/07/20	2.000	239.98	05/06/20	240.00	...	-0.02	Option sale to close-call ²⁵
URI 10/16/2020 CALL \$180.00 / CUSIP: / Symbol:							
09/28/20	1.000	589.97	09/18/20	700.00	...	-110.03	Option sale to close-call ²⁵
UUUU 05/15/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	04/23/20	40.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	04/23/20	40.00	...	9.99	2 of 2 - Option sale to close-call ²⁵
04/23/20	2.000	99.98	Various	80.00	...	19.98	Total of 2 transactions
UVXY 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
12/14/20	1.000	369.98	12/10/20	335.00	...	34.98	Option sale to close-call ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 11/13/2020 CALL \$18.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/04/20	151.00	...	-151.00	Option expiration- long call
V 04/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
04/06/20	2.000	509.97	04/06/20	374.00	...	135.97	Option sale to close-call ²⁵
V 08/28/2020 CALL \$205.00 / CUSIP: / Symbol:							
08/20/20	2.000	427.98	08/19/20	452.00	...	-24.02	Option sale to close-call ²⁵
V 10/23/2020 CALL \$202.50 / CUSIP: / Symbol:							
10/09/20	1.000	544.97	10/06/20	560.00	...	-15.03	Option sale to close-call ²⁵
V 10/23/2020 CALL \$205.00 / CUSIP: / Symbol:							
10/20/20	2.000	61.98	10/15/20	168.00	...	-106.02	Option sale to close-call ²⁵
VALE 12/31/2020 CALL \$18.00 / CUSIP: / Symbol:							
12/18/20	1.000	30.98	12/18/20	36.00	...	-5.02	Option sale to close-call ²⁵
12/21/20	9.000	179.97	12/18/20	324.00	...	-144.03	Option sale to close-call ²⁵
	Security total:	210.95		360.00	...	-149.05	
VIPS 07/17/2020 CALL \$22.00 / CUSIP: / Symbol:							
06/18/20	2.000	229.98	06/18/20	220.00	...	9.98	Option sale to close-call ²⁵
VKTX 01/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
01/17/20	2.000	0.00	11/18/19	50.00	...	-50.00	Option expiration- long call
VLO 03/20/2020 CALL \$38.00 / CUSIP: / Symbol:							
03/19/20	2.000	155.98	03/19/20	190.00	...	-34.02	Option sale to close-call ²⁵
VLO 04/09/2020 CALL \$50.00 / CUSIP: / Symbol:							
03/31/20	1.000	171.98	03/31/20	185.00	...	-13.02	Option sale to close-call ²⁵
VMW 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	74.98	06/10/20	170.00	...	-95.02	1 of 2 - Option sale to close-call ²⁵
	1.000	74.98	06/10/20	170.00	...	-95.02	2 of 2 - Option sale to close-call ²⁵
06/16/20	2.000	149.96	Various	340.00	...	-190.04	Total of 2 transactions

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VSLR 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/04/20	1.000	109.98	07/31/20	45.00	...	64.98	Option sale to close-call ²⁵
VXX 04/24/2020 CALL \$44.00 / CUSIP: / Symbol:							
04/20/20	4.000	519.97	04/20/20	460.00	...	59.97	Option sale to close-call ²⁵
VXX 09/18/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/27/20	1.000	260.98	08/26/20	102.00	...	158.98	Option sale to close-call ²⁵
08/31/20	1.000	214.98	08/26/20	102.00	...	112.98	Option sale to close-call ²⁵
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	18.98	09/11/20	97.00	...	-78.02	1 of 2 - Option sale to close-call ²⁵
	1.000	18.98	09/11/20	97.00	...	-78.02	2 of 2 - Option sale to close-call ²⁵
09/16/20	2.000	37.96	Various	194.00	...	-156.04	Total of 2 transactions
	Security total:	513.92		398.00	...	115.92	
VXX 10/30/2020 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	72.98	10/14/20	69.00	...	3.98	1 of 3 - Option sale to close-call ²⁵
	1.000	72.98	10/14/20	69.00	...	3.98	2 of 3 - Option sale to close-call ²⁵
	2.000	145.98	10/14/20	138.00	...	7.98	3 of 3 - Option sale to close-call ²⁵
10/26/20	4.000	291.94	Various	276.00	...	15.94	Total of 3 transactions
VZ 07/31/2020 CALL \$59.00 / CUSIP: / Symbol:							
5 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	3.98	07/23/20	25.03	...	-21.05	1 of 5 - Option sale to close-call ²⁵
	3.000	11.98	07/23/20	33.00	...	-21.02	2 of 5 - Option sale to close-call ²⁵
	1.000	3.99	07/23/20	18.02	...	-14.03	3 of 5 - Option sale to close-call ²⁵
	1.000	3.98	07/23/20	11.00	...	-7.02	4 of 5 - Option sale to close-call ²⁵
	1.000	3.98	07/23/20	11.00	...	-7.02	5 of 5 - Option sale to close-call ²⁵
07/28/20	7.000	27.91	Various	98.05	...	-70.14	Total of 5 transactions
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	3.98	07/23/20	11.00	7.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	3.98	07/23/20	11.00	7.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	3.99	07/23/20	18.02	14.03 W	0.00	3 of 3 - Option sale to close-call ²⁵
07/28/20	3.000	11.95	Various	40.02	28.07 W	0.00	Total of 3 transactions

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VZ 07/31/2020 CALL \$59.00 / CUSIP: / Symbol: (cont'd)							
Security total:		39.86		138.07		28.07 W	-70.14
VZ 10/23/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	30.98	10/13/20	83.00	...	-52.02	1 of 2 - Option sale to close-call ²⁵
	1.000	30.98	10/13/20	83.00	...	-52.02	2 of 2 - Option sale to close-call ²⁵
10/14/20	2.000	61.96	Various	166.00	...	-104.04	Total of 2 transactions
VZ 10/30/2020 CALL \$58.00 / CUSIP: / Symbol:							
10/22/20	2.000	59.98	10/20/20	130.00	...	-70.02	Option sale to close-call ²⁵
WB 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/16/20	1.000	219.98	12/14/20	165.00	...	54.98	Option sale to close-call ²⁵
WBA 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
12/03/20	2.000	769.97	12/02/20	390.00	...	379.97	Option sale to close-call ²⁵
WBA 10/16/2020 CALL \$37.00 / CUSIP: / Symbol:							
10/15/20	1.000	69.98	10/06/20	122.00	...	-52.02	Option sale to close-call ²⁵
WBA 10/16/2020 CALL \$38.00 / CUSIP: / Symbol:							
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	5.98	10/15/20	18.02	...	-12.04	1 of 3 - Option sale to close-call ²⁵
	1.000	5.98	10/15/20	12.00	...	-6.02	2 of 3 - Option sale to close-call ²⁵
	1.000	5.98	10/15/20	12.00	...	-6.02	3 of 3 - Option sale to close-call ²⁵
10/16/20	3.000	17.94	Various	42.02	...	-24.08	Total of 3 transactions
10/16/20	1.000	5.98	10/15/20	12.00	6.02 W	0.00	Option sale to close-call ²⁵
Security total:		23.92		54.02	6.02 W	-24.08	
WDC 10/16/2020 CALL \$37.50 / CUSIP: / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	279.98	08/14/20	192.00	...	87.98	1 of 3 - Option sale to close-call ²⁵
	1.000	279.98	08/14/20	192.00	...	87.98	2 of 3 - Option sale to close-call ²⁵
	1.000	279.98	08/14/20	192.00	...	87.98	3 of 3 - Option sale to close-call ²⁵
08/28/20	3.000	839.94	Various	576.00	...	263.94	Total of 3 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WDC 10/16/2020 CALL \$37.50 / CUSIP: / Symbol: (cont'd)							
08/31/20	1.000	295.98	08/14/20	192.00	...	103.98	Option sale to close-call ²⁵
Security total:		1,135.92		768.00	...	367.92	
WFC 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/31/20	3.000	335.98	12/28/20	366.00	...	-30.02	Option sale to close-call ²⁵
WHR 10/30/2020 PUT \$180.00 / CUSIP: / Symbol:							
10/22/20	1.000	79.98	10/21/20	150.00	...	-70.02	Option sale to close-put ²⁵
WKHS 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
07/01/20	1.000	204.98	06/30/20	295.00	90.02 W	0.00	Option sale to close-call ²⁵
07/08/20	1.000	100.98	07/08/20	210.02	...	-109.04	Option sale to close-call ²⁵
Security total:		305.96		505.02	90.02 W	-109.04	
WKHS 11/13/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	11/05/20	160.00	...	-75.02	1 of 2 - Option sale to close-call ²⁵
	1.000	84.98	11/05/20	160.00	...	-75.02	2 of 2 - Option sale to close-call ²⁵
11/09/20	2.000	169.96	Various	320.00	...	-150.04	Total of 2 transactions
WLL1 09/18/2020 CALL \$3.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/08/20	930.00	...	-930.00	1 of 3 - Option expiration- long call
	4.000	0.00	06/17/20	100.00	...	-100.00	2 of 3 - Option expiration- long call
	3.000	0.00	06/22/20	39.00	...	-39.00	3 of 3 - Option expiration- long call
09/18/20	17.000	0.00	Various	1,069.00	...	-1,069.00	Total of 3 transactions
WMT 02/28/2020 CALL \$118.00 / CUSIP: / Symbol:							
02/21/20	5.000	664.97	02/21/20	600.00	...	64.97	Option sale to close-call ²⁵
WMT 06/19/2020 CALL \$121.00 / CUSIP: / Symbol:							
06/11/20	2.000	399.98	06/10/20	380.00	...	19.98	Option sale to close-call ²⁵
WMT 06/19/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/15/20	2.000	245.98	05/15/20	292.00	...	-46.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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WMT 06/19/2020 CALL \$150.00 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/23/20	495.00	...	-495.00	1 of 3 - Option expiration- long call
	4.000	0.00	04/29/20	116.00	...	-116.00	2 of 3 - Option expiration- long call
	2.000	0.00	05/05/20	50.00	...	-50.00	3 of 3 - Option expiration- long call
06/19/20	11.000	0.00	Various	661.00	...	-661.00	Total of 3 transactions
WMT 07/17/2020 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	2.000	181.98	07/08/20	308.00	...	-126.02	1 of 3 - Option sale to close-call ²⁵
	1.000	90.98	07/08/20	154.00	...	-63.02	2 of 3 - Option sale to close-call ²⁵
	1.000	90.98	07/08/20	154.00	...	-63.02	3 of 3 - Option sale to close-call ²⁵
07/08/20	4.000	363.94	Various	616.00	...	-252.06	Total of 3 transactions
WMT 07/24/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/13/20	4.000	595.97	07/13/20	1,000.00	...	-404.03	Option sale to close-call ²⁵
WMT 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/07/20	1.000	354.98	06/22/20	167.00	...	187.98	Option sale to close-call ²⁵
07/09/20	1.000	389.98	06/22/20	167.00	...	222.98	Option sale to close-call ²⁵
	Security total:	744.96		334.00	...	410.96	
WMT 08/21/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/14/20	2.000	445.98	08/13/20	370.00	...	75.98	Option sale to close-call ²⁵
WMT 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/19/20	1.000	103.98	08/17/20	278.00	...	-174.02	Option sale to close-call ²⁵
WMT 10/30/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/12/20	2.000	521.97	10/09/20	428.00	...	93.97	Option sale to close-call ²⁵
WORK 04/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
04/20/20	4.000	599.97	04/20/20	660.00	...	-60.03	Option sale to close-call ²⁵
WORK 05/01/2020 CALL \$30.00 / CUSIP: / Symbol:							
04/21/20	4.000	379.98	04/21/20	360.00	...	19.98	Option sale to close-call ²⁵

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WORK 06/05/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/02/20	2.000	499.97	06/02/20	490.00	...	9.97	Option sale to close-call ²⁵
WORK 08/21/2020 CALL \$32.00 / CUSIP: / Symbol:							
07/20/20	2.000	559.97	07/17/20	460.00	...	99.97	Option sale to close-call ²⁵
WORK 09/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/11/20	2.000	0.00	09/08/20	94.00	...	-94.00	Option expiration- long call
WORK 09/11/2020 PUT \$26.00 / CUSIP: / Symbol:							
09/09/20	1.000	200.98	09/08/20	93.00	...	107.98	Option sale to close-put ²⁵
WORK 09/18/2020 CALL \$29.00 / CUSIP: / Symbol:							
09/08/20	1.000	304.98	09/04/20	292.00	...	12.98	Option sale to close-call ²⁵
09/18/20	1.000	0.00	09/04/20	292.00	...	-292.00	Option expiration- long call
Security total:		304.98		584.00	...	-279.02	
WU 02/21/2020 CALL \$30.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/11/20	20.00	...	-20.00	Option expiration- long call
WU 02/21/2020 PUT \$28.00 / CUSIP: / Symbol:							
02/12/20	2.000	349.98	02/11/20	148.00	...	201.98	Option sale to close-put ²⁵
WYNN 01/08/2021 CALL \$111.00 / CUSIP: / Symbol:							
12/09/20	1.000	819.97	12/08/20	760.00	...	59.97	Option sale to close-call ²⁵
WYNN 10/16/2020 CALL \$72.50 / CUSIP: / Symbol:							
09/22/20	1.000	524.97	09/21/20	515.00	...	9.97	Option sale to close-call ²⁵
WYNN 11/20/2020 CALL \$82.50 / CUSIP: / Symbol:							
10/08/20	1.000	399.98	09/28/20	450.00	...	-50.02	Option sale to close-call ²⁵
X 05/15/2020 CALL \$8.50 / CUSIP: / Symbol:							
05/05/20	4.000	123.98	05/05/20	216.00	...	-92.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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X 08/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	9.000	0.00	06/05/20	189.00	...	-189.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/05/20	21.00	...	-21.00	2 of 3 - Option expiration- long call
	10.000	0.00	06/18/20	120.00	...	-120.00	3 of 3 - Option expiration- long call
08/21/20	20.000	0.00	Various	330.00	...	-330.00	Total of 3 transactions
XLE 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/21/20	4.000	963.96	05/18/20	1,032.00	...	-68.04	Option sale to close-call ²⁵
XLU 02/14/2020 PUT \$68.00 / CUSIP: / Symbol:							
02/04/20	1.000	20.98	01/27/20	64.00	...	-43.02	Option sale to close-put ²⁵
XOM 03/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
02/27/20	2.000	31.98	02/24/20	100.00	...	-68.02	Option sale to close-call ²⁵
XOM 04/17/2020 CALL \$43.00 / CUSIP: / Symbol:							
04/14/20	4.000	287.98	04/14/20	308.00	...	-20.02	Option sale to close-call ²⁵
04/15/20	1.000	11.98	04/14/20	77.00	...	-65.02	Option sale to close-call ²⁵
	Security total:	299.96		385.00	...	-85.04	
XP 04/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
04/15/20	1.000	24.98	04/15/20	50.00	...	-25.02	Option sale to close-call ²⁵
ZM 03/27/2020 CALL \$175.00 / CUSIP: / Symbol:							
03/25/20	1.000	59.98	03/25/20	180.00	...	-120.02	Option sale to close-call ²⁵
ZM 04/03/2020 CALL \$155.00 / CUSIP: / Symbol:							
03/27/20	1.000	944.96	03/25/20	945.00	...	-0.04	Option sale to close-call ²⁵
ZM 04/03/2020 CALL \$170.00 / CUSIP: / Symbol:							
03/30/20	1.000	124.97	03/27/20	0.00	...	124.97	Short sale closed- call ²⁵ Option written: 03/25/20
ZM 04/09/2020 PUT \$101.00 / CUSIP: / Symbol:							
04/08/20	1.000	64.98	04/08/20	130.00	...	-65.02	Option sale to close-put ²⁵

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ZM 04/09/2020 PUT \$115.00 / CUSIP: / Symbol:							
04/09/20	1.000	0.00	04/08/20	440.00	...	-440.00	Option expiration- long put
ZNGA 05/15/2020 CALL \$8.00 / CUSIP: / Symbol:							
04/16/20	4.000	135.98	04/15/20	116.00	...	19.98	Option sale to close-call ²⁵
ZNGA 11/20/2020 CALL \$9.00 / CUSIP: / Symbol:							
09/10/20	7.000	461.97	09/09/20	441.00	...	20.97	Option sale to close-call ²⁵
ZS 01/17/2020 CALL \$65.00 / CUSIP: / Symbol:							
01/17/20	1.000	0.00	12/03/19	110.00	...	-110.00	Option expiration- long call
ZS 04/03/2020 PUT \$64.50 / CUSIP: / Symbol:							
04/01/20	2.000	459.97	04/01/20	300.00	...	159.97	Option sale to close-put ²⁵
ZS 05/15/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	05/11/20	50.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	59.98	05/11/20	50.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
05/11/20	2.000	114.96	Various	100.00	...	14.96	Total of 2 transactions
AAON, INC. COMMON STOCK / CUSIP: 000360206 / Symbol:							
06/11/20	20.000	1,079.57	06/05/20	1,164.00	...	-84.43	Sale ²⁵
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	100.000	327.99	06/17/20	492.00	...	-164.01	1 of 6 - Sale ²⁵
	40.000	131.19	06/17/20	269.61	...	-138.42	2 of 6 - Sale ²⁵
	70.000	229.59	06/17/20	344.40	...	-114.81	3 of 6 - Sale ²⁵
	30.000	98.40	06/17/20	202.20	...	-103.80	4 of 6 - Sale ²⁵
	30.000	98.40	06/17/20	202.20	...	-103.80	5 of 6 - Sale ²⁵
	30.000	98.40	06/17/20	147.60	...	-49.20	6 of 6 - Sale ²⁵
07/06/20	300.000	983.97	Various	1,658.01	...	-674.04	Total of 6 transactions
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	30.000	98.40	06/15/20	153.00	54.60 W	0.00	1 of 3 - Sale ²⁵
	30.000	98.40	06/15/20	153.00	54.60 W	0.00	2 of 3 - Sale ²⁵

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AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol: (cont'd)							
	40.000	131.19	06/15/20	204.00	72.81 W	0.00	3 of 3 - Sale ²⁵
07/06/20	100.000	327.99	Various	510.00	182.01 W	0.00	Total of 3 transactions
	Security total:	1,311.96		2,168.01	182.01 W	-674.04	
ACCOLADE, INC. COMMON STOCK / CUSIP: 00437E102 / Symbol:							
08/06/20	30.000	1,186.77	08/05/20	1,139.10	...	47.67	Sale ²⁵
ADAPTIMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	595.82	05/22/20	571.00	...	24.82	1 of 3 - Sale ²⁵
	100.000	595.82	05/22/20	571.00	...	24.82	2 of 3 - Sale ²⁵
	100.000	595.83	05/22/20	571.00	...	24.83	3 of 3 - Sale ²⁵
05/26/20	300.000	1,787.47	Various	1,713.00	...	74.47	Total of 3 transactions
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	88.000	849.16	07/01/20	872.96	...	-23.80	1 of 2 - Sale ²⁵
	12.000	115.80	07/01/20	119.04	...	-3.24	2 of 2 - Sale ²⁵
07/06/20	100.000	964.96	Various	992.00	...	-27.04	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/20/20	14.000	154.55	04/16/20	155.54	...	-0.99	Sale ²⁵
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	36.000	397.44	04/14/20	428.40	30.96 W	0.00	1 of 3 - Sale ²⁵
	64.000	706.54	04/14/20	761.60	55.06 W	0.00	2 of 3 - Sale ²⁵
	36.000	397.43	04/16/20	430.92	33.49 W	0.00	3 of 3 - Sale ²⁵
04/20/20	136.000	1,501.41	Various	1,620.92	119.51 W	0.00	Total of 3 transactions
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	54.000	534.68	04/20/20	638.22	...	-103.54	1 of 3 - Sale ²⁵
	36.000	356.45	04/20/20	428.00	...	-71.55	2 of 3 - Sale ²⁵
	10.000	99.02	04/20/20	119.10	...	-20.08	3 of 3 - Sale ²⁵
05/21/20	100.000	990.15	Various	1,185.32	...	-195.17	Total of 3 transactions
12/07/20	15.000	258.01	11/20/20	188.85	...	69.16	Sale ²⁵
	Security total:	2,904.12		3,150.63	119.51 W	-127.00	

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AMERICAN EAGLE OUTFITTERS / CUSIP: 02553E106 / Symbol:							
06/03/20	50.000	589.48	06/02/20	515.00	...	74.48	Sale ²⁵
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
07/29/20	25.000	746.73	07/28/20	689.22	...	57.51	Sale ²⁵
BAOZUN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 06684L103 / Symbol:							
2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.							
	5.000	176.25	11/21/19	176.00	...	0.25	1 of 2 - Sale ²⁵
	95.000	3,348.66	11/21/19	3,344.00	...	4.66	2 of 2 - Sale ²⁵
01/02/20	100.000	3,524.91	Various	3,520.00	...	4.91	Total of 2 transactions
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol:							
09/01/20	10.000	270.70	08/31/20	269.90	...	0.80	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	9.000	897.88	02/27/20	958.50	...	-60.62	1 of 2 - Sale ²⁵
	1.000	99.77	02/27/20	106.50	...	-6.73	2 of 2 - Sale ²⁵
03/04/20	10.000	997.65	Various	1,065.00	...	-67.35	Total of 2 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	1.000	229.25	03/09/20	228.00	...	1.25	1 of 2 - Sale ²⁵
	9.000	2,063.30	03/09/20	2,052.00	...	11.30	2 of 2 - Sale ²⁵
03/10/20	10.000	2,292.55	Various	2,280.00	...	12.55	Total of 2 transactions
3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	1.000	143.50	03/12/20	163.00	19.50 W	0.00	1 of 3 - Sale ²⁵
	1.000	143.50	03/12/20	182.50	39.00 W	0.00	2 of 3 - Sale ²⁵
	18.000	2,582.93	03/12/20	2,934.00	351.07 W	0.00	3 of 3 - Sale ²⁵
03/16/20	20.000	2,869.93	Various	3,279.50	409.57 W	0.00	Total of 3 transactions
3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	1.000	105.60	03/18/20	93.50	...	12.10	1 of 3 - Sale ²⁵
	41.000	4,329.59	03/18/20	4,104.73	...	224.86	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	19.000	2,006.39	03/18/20	1,776.50	...	229.89	3 of 3 - Sale ²⁵
03/23/20	61.000	6,441.58	Various	5,974.73	...	466.85	Total of 3 transactions
	4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	1.000	105.60	03/17/20	150.00	44.40 W	0.00	1 of 4 - Sale ²⁵
	8.000	844.80	03/17/20	1,044.03	199.23 W	0.00	2 of 4 - Sale ²⁵
	1.000	105.60	03/17/20	130.50	24.90 W	0.00	3 of 4 - Sale ²⁵
	9.000	950.40	03/18/20	1,076.58	126.18 W	0.00	4 of 4 - Sale ²⁵
03/23/20	19.000	2,006.40	Various	2,401.11	394.71 W	0.00	Total of 4 transactions
03/26/20	3.000	539.98	03/25/20	514.21	...	25.77	Sale ²⁵
03/26/20	1.000	180.00	03/25/20	190.90	10.90 W	0.00	Sale ²⁵
	4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	147.81	04/01/20	132.00	...	15.81	1 of 4 - Sale ²⁵
	5.000	739.04	04/01/20	700.00	...	39.04	2 of 4 - Sale ²⁵
	4.000	591.22	04/01/20	528.00	...	63.22	3 of 4 - Sale ²⁵
	5.000	739.04	04/03/20	640.00	...	99.04	4 of 4 - Sale ²⁵
04/08/20	15.000	2,217.11	Various	2,000.00	...	217.11	Total of 4 transactions
	7 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	147.81	03/27/20	174.90	27.09 W	0.00	1 of 7 - Sale ²⁵
	1.000	147.81	03/27/20	188.90	41.09 W	0.00	2 of 7 - Sale ²⁵
	5.000	739.04	03/27/20	944.52	205.48 W	0.00	3 of 7 - Sale ²⁵
	9.000	1,330.26	03/27/20	1,602.18	271.92 W	0.00	4 of 7 - Sale ²⁵
	4.000	591.23	03/27/20	656.00	64.77 W	0.00	5 of 7 - Sale ²⁵
	1.000	147.81	03/30/20	148.00	0.19 W	0.00	6 of 7 - Sale ²⁵
	4.000	591.23	03/30/20	592.00	0.77 W	0.00	7 of 7 - Sale ²⁵
04/08/20	25.000	3,695.19	Various	4,306.50	611.31 W	0.00	Total of 7 transactions
	12 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	127.60	04/08/20	173.59	45.99 W	0.00	1 of 12 - Sale ²⁵
	1.000	127.60	04/08/20	187.59	59.99 W	0.00	2 of 12 - Sale ²⁵
	5.000	637.99	04/08/20	937.98	299.99 W	0.00	3 of 12 - Sale ²⁵
	9.000	1,148.37	04/08/20	1,590.42	442.05 W	0.00	4 of 12 - Sale ²⁵
	4.000	510.39	04/08/20	650.77	140.38 W	0.00	5 of 12 - Sale ²⁵
	4.000	510.39	04/13/20	592.77	82.38 W	0.00	6 of 12 - Sale ²⁵
	15.000	1,913.95	04/13/20	2,220.00	306.05 W	0.00	7 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	1.000	127.60	04/13/20	148.19	20.59 W	0.00	8 of 12 - Sale ²⁵
	1.000	127.60	04/13/20	147.00	19.40 W	0.00	9 of 12 - Sale ²⁵
	5.000	637.98	04/13/20	735.00	97.02 W	0.00	10 of 12 - Sale ²⁵
	3.000	382.80	04/13/20	620.99	238.19 W	0.00	11 of 12 - Sale ²⁵
	1.000	127.60	04/13/20	192.99	65.39 W	0.00	12 of 12 - Sale ²⁵
04/27/20	50.000	6,379.87	Various	8,197.29	1,817.42 W	0.00	Total of 12 transactions
	7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	5.000	695.80	04/24/20	752.02	...	-56.22	1 of 7 - Sale ²⁵
	4.000	556.64	04/24/20	597.62	...	-40.98	2 of 7 - Sale ²⁵
	1.000	139.16	04/24/20	149.40	...	-10.24	3 of 7 - Sale ²⁵
	3.000	417.49	05/15/20	595.19	...	-177.70	4 of 7 - Sale ²⁵
	1.000	139.16	05/15/20	184.39	...	-45.23	5 of 7 - Sale ²⁵
	1.000	139.16	05/15/20	138.40	...	0.76	6 of 7 - Sale ²⁵
	4.000	556.65	05/15/20	476.00	...	80.65	7 of 7 - Sale ²⁵
05/21/20	19.000	2,644.06	Various	2,893.02	...	-248.96	Total of 7 transactions
	12 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	139.16	04/14/20	203.50	64.34 W	0.00	1 of 12 - Sale ²⁵
	1.000	139.16	04/14/20	203.50	64.34 W	0.00	2 of 12 - Sale ²⁵
	1.000	139.16	04/14/20	203.49	64.33 W	0.00	3 of 12 - Sale ²⁵
	7.000	974.12	04/14/20	1,348.32	374.20 W	0.00	4 of 12 - Sale ²⁵
	1.000	139.16	04/15/20	184.12	44.96 W	0.00	5 of 12 - Sale ²⁵
	1.000	139.16	04/15/20	184.09	44.93 W	0.00	6 of 12 - Sale ²⁵
	4.000	556.64	04/15/20	680.30	123.66 W	0.00	7 of 12 - Sale ²⁵
	1.000	139.16	04/15/20	155.57	16.41 W	0.00	8 of 12 - Sale ²⁵
	3.000	417.48	04/15/20	466.73	49.25 W	0.00	9 of 12 - Sale ²⁵
	1.000	139.16	04/16/20	154.59	15.43 W	0.00	10 of 12 - Sale ²⁵
	9.000	1,252.45	04/23/20	1,412.13	141.94 W	-17.74	11 of 12 - Sale ²⁵
	1.000	139.16	04/23/20	156.90	17.74 W	0.00	12 of 12 - Sale ²⁵
05/21/20	31.000	4,313.97	Various	5,353.24	1,021.53 W	-17.74	Total of 12 transactions
	3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	3.000	465.89	05/29/20	490.20	...	-24.31	1 of 3 - Sale ²⁵
	1.000	155.29	05/29/20	163.40	...	-8.11	2 of 3 - Sale ²⁵

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Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	1.000	155.30	05/29/20	161.09	...	-5.79	3 of 3 - Sale ²⁵
06/02/20	5.000	776.48	Various	814.69	...	-38.21	Total of 3 transactions
	9 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	155.30	05/29/20	210.00	54.70 W	0.00	1 of 9 - Sale ²⁵
	1.000	155.30	05/29/20	210.00	54.70 W	0.00	2 of 9 - Sale ²⁵
	1.000	155.30	05/29/20	209.99	54.69 W	0.00	3 of 9 - Sale ²⁵
	7.000	1,087.07	05/29/20	1,393.81	306.74 W	0.00	4 of 9 - Sale ²⁵
	1.000	155.30	05/29/20	190.62	35.32 W	0.00	5 of 9 - Sale ²⁵
	1.000	155.30	05/29/20	190.59	35.29 W	0.00	6 of 9 - Sale ²⁵
	4.000	621.18	05/29/20	706.30	85.12 W	0.00	7 of 9 - Sale ²⁵
	1.000	155.30	05/29/20	162.07	6.77 W	0.00	8 of 9 - Sale ²⁵
	3.000	465.88	05/29/20	486.23	20.35 W	0.00	9 of 9 - Sale ²⁵
06/02/20	20.000	3,105.93	Various	3,759.61	653.68 W	0.00	Total of 9 transactions
07/14/20	5.000	884.98	06/11/20	991.21	106.23 W	0.00	Sale ²⁵
	5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	159.63	06/25/20	230.86	71.23 W	0.00	1 of 5 - Sale ²⁵
	1.000	159.63	06/25/20	230.86	71.23 W	0.00	2 of 5 - Sale ²⁵
	1.000	159.63	06/25/20	230.85	71.22 W	0.00	3 of 5 - Sale ²⁵
	2.000	319.25	06/25/20	439.96	120.71 W	0.00	4 of 5 - Sale ²⁵
	5.000	798.14	06/30/20	1,131.10	332.96 W	0.00	5 of 5 - Sale ²⁵
09/11/20	10.000	1,596.28	Various	2,263.63	667.35 W	0.00	Total of 5 transactions
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	160.47	06/30/20	217.72	57.25 W	0.00	1 of 3 - Sale ²⁵
	1.000	160.47	06/30/20	217.69	57.22 W	0.00	2 of 3 - Sale ²⁵
	3.000	481.39	06/30/20	611.04	129.65 W	0.00	3 of 3 - Sale ²⁵
09/14/20	5.000	802.33	Various	1,046.45	244.12 W	0.00	Total of 3 transactions
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	146.67	07/01/20	201.25	54.58 W	0.00	1 of 3 - Sale ²⁵
	1.000	146.67	07/01/20	186.74	40.07 W	0.00	2 of 3 - Sale ²⁵
	3.000	440.00	07/01/20	560.26	120.26 W	0.00	3 of 3 - Sale ²⁵
09/24/20	5.000	733.34	Various	948.25	214.91 W	0.00	Total of 3 transactions

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(continued)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	4.000	671.10	08/12/20	782.30	...	-111.20	1 of 2 - Sale ²⁵
	1.000	167.77	08/12/20	195.58	...	-27.81	2 of 2 - Sale ²⁵
10/16/20	5.000	838.87	Various	977.88	...	-139.01	Total of 2 transactions
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	167.78	08/12/20	245.56	77.78 W	0.00	1 of 4 - Sale ²⁵
	1.000	167.78	08/12/20	245.56	77.78 W	0.00	2 of 4 - Sale ²⁵
	1.000	167.78	08/12/20	245.55	77.77 W	0.00	3 of 4 - Sale ²⁵
	2.000	335.55	08/12/20	469.37	133.82 W	0.00	4 of 4 - Sale ²⁵
10/16/20	5.000	838.89	Various	1,206.04	367.15 W	0.00	Total of 4 transactions
	Security total:	43,157.74		49,398.26	6,518.88 W	278.36	
CANADA GOOSE HOLDINGS INC. / CUSIP: 135086106 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	6.000	145.20	06/02/20	129.60	...	15.60	1 of 2 - Sale ²⁵
	44.000	1,064.77	06/02/20	950.40	...	114.37	2 of 2 - Sale ²⁵
06/03/20	50.000	1,209.97	Various	1,080.00	...	129.97	Total of 2 transactions
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
05/08/20	90.000	1,370.93	05/07/20	1,390.50	...	-19.57	Sale ²⁵
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
03/05/20	50.000	732.48	02/28/20	686.00	...	46.48	Sale ²⁵
CONSOL ENERGY INC. / CUSIP: 20854L108 / Symbol:							
06/09/20	100.000	961.96	06/09/20	959.99	...	1.97	Sale ²⁵
CORMEDIX INC. COMMON STOCK / CUSIP: 21900C308 / Symbol:							
04/24/20	48.000	202.55	01/10/20	316.80	...	-114.25	Sale ²⁵
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	93.000	555.18	01/10/20	613.80	...	-58.62	1 of 2 - Sale ²⁵
	7.000	41.79	01/10/20	46.20	...	-4.41	2 of 2 - Sale ²⁵
07/22/20	100.000	596.97	Various	660.00	...	-63.03	Total of 2 transactions
09/30/20	100.000	589.97	03/18/20	262.51	...	327.46	Sale ²⁵

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CORMEDIX INC. COMMON STOCK / CUSIP: 21900C308 / Symbol: (cont'd)							
Security total:		1,389.49		1,239.31	...	150.18	
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
04/01/20	3.000	980.94	03/31/20	942.75	...	38.19	Sale ²⁵
	2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	1.000	255.69	04/02/20	333.00	77.31 W	0.00	1 of 2 - Sale ²⁵
	2.000	511.39	04/02/20	666.00	154.61 W	0.00	2 of 2 - Sale ²⁵
04/06/20	3.000	767.08	Various	999.00	231.92 W	0.00	Total of 2 transactions
	3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	257.57	04/08/20	340.81	83.24 W	0.00	1 of 3 - Sale ²⁵
	2.000	515.15	04/08/20	681.61	166.46 W	0.00	2 of 3 - Sale ²⁵
	17.000	4,378.76	04/08/20	4,479.50	100.74 W	0.00	3 of 3 - Sale ²⁵
04/08/20	20.000	5,151.48	Various	5,501.92	350.44 W	0.00	Total of 3 transactions
	7 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	11.000	2,805.04	04/08/20	2,802.18	...	2.86	1 of 7 - Sale ²⁵
	1.000	257.99	04/09/20	255.73	...	2.26	2 of 7 - Sale ²⁵
	1.000	257.99	04/09/20	254.00	...	3.99	3 of 7 - Sale ²⁵
	7.000	1,805.96	04/09/20	1,790.09	...	15.87	4 of 7 - Sale ²⁵
	9.000	2,321.96	04/09/20	2,294.74	...	27.22	5 of 7 - Sale ²⁵
	10.000	2,566.95	04/09/20	2,520.00	...	46.95	6 of 7 - Sale ²⁵
	10.000	2,566.94	04/09/20	2,495.00	...	71.94	7 of 7 - Sale ²⁵
04/09/20	49.000	12,582.83	Various	12,411.74	...	171.09	Total of 7 transactions
	11 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	1.000	255.00	04/08/20	340.42	85.42 W	0.00	1 of 11 - Sale ²⁵
	2.000	510.01	04/08/20	677.46	167.45 W	0.00	2 of 11 - Sale ²⁵
	8.000	2,040.04	04/08/20	2,091.41	51.37 W	0.00	3 of 11 - Sale ²⁵
	9.000	2,295.04	04/08/20	2,346.03	50.99 W	0.00	4 of 11 - Sale ²⁵
	1.000	256.69	04/09/20	337.42	80.73 W	0.00	5 of 11 - Sale ²⁵
	2.000	513.39	04/09/20	671.45	158.06 W	0.00	6 of 11 - Sale ²⁵
	1.000	256.69	04/09/20	258.42	1.73 W	0.00	7 of 11 - Sale ²⁵
	7.000	1,796.86	04/09/20	1,808.95	12.09 W	0.00	8 of 11 - Sale ²⁵
	9.000	2,310.25	04/09/20	2,318.99	8.74 W	0.00	9 of 11 - Sale ²⁵
	1.000	257.99	04/09/20	335.73	77.74 W	0.00	10 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
04/09/20	2.000	515.99	04/09/20	666.06	150.07 W	0.00	11 of 11 - Sale ²⁵
04/09/20	43.000	11,007.95	Various	11,852.34	844.39 W	0.00	Total of 11 transactions
	4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	7.000	1,757.38	04/09/20	1,757.00	...	0.38	1 of 4 - Sale ²⁵
	1.000	251.05	04/09/20	248.00	...	3.05	2 of 4 - Sale ²⁵
	3.000	753.16	04/09/20	743.61	...	9.55	3 of 4 - Sale ²⁵
	6.000	1,506.33	04/09/20	1,488.00	...	18.33	4 of 4 - Sale ²⁵
04/13/20	17.000	4,267.92	Various	4,236.61	...	31.31	Total of 4 transactions
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	251.05	04/09/20	328.74	77.69 W	0.00	1 of 2 - Sale ²⁵
	2.000	502.11	04/09/20	652.07	149.96 W	0.00	2 of 2 - Sale ²⁵
04/13/20	3.000	753.16	Various	980.81	227.65 W	0.00	Total of 2 transactions
	3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	133.66	05/11/20	221.69	88.03 W	0.00	1 of 3 - Sale ²⁵
	2.000	267.31	05/11/20	437.96	170.65 W	0.00	2 of 3 - Sale ²⁵
	1.000	133.65	05/11/20	144.00	10.35 W	0.00	3 of 3 - Sale ²⁵
05/12/20	4.000	534.62	Various	803.65	269.03 W	0.00	Total of 3 transactions
	5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	118.41	05/26/20	234.03	115.62 W	0.00	1 of 5 - Sale ²⁵
	2.000	236.82	05/26/20	462.65	225.83 W	0.00	2 of 5 - Sale ²⁵
	1.000	118.41	05/26/20	156.35	37.94 W	0.00	3 of 5 - Sale ²⁵
	1.000	118.41	05/26/20	146.00	27.59 W	0.00	4 of 5 - Sale ²⁵
	5.000	592.03	06/03/20	629.25	37.22 W	0.00	5 of 5 - Sale ²⁵
06/04/20	10.000	1,184.08	Various	1,628.28	444.20 W	0.00	Total of 5 transactions
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	2.000	213.39	06/04/20	463.17	...	-249.78	1 of 5 - Sale ²⁵
	1.000	106.70	06/04/20	234.29	...	-127.59	2 of 5 - Sale ²⁵
	3.000	320.09	06/04/20	378.34	...	-58.25	3 of 5 - Sale ²⁵
	1.000	106.70	06/04/20	156.61	...	-49.91	4 of 5 - Sale ²⁵
	1.000	106.70	06/04/20	146.26	...	-39.56	5 of 5 - Sale ²⁵
06/08/20	8.000	853.58	Various	1,378.67	...	-525.09	Total of 5 transactions
06/19/20	2.000	327.64	06/04/20	252.23	...	75.41	Sale ²⁵
	Security total:	38,411.28		40,988.00	2,367.63 W	-209.09	

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol:							
06/11/20	25.000	368.50	06/08/20	422.00	...	-53.50	Sale ²⁵
DELMAR PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 247078306 / Symbol:							
03/04/20	300.000	156.80	03/21/19	1,509.90	...	-1,353.10	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
05/21/20	50.000	1,162.47	05/15/20	966.31	...	196.16	Sale ²⁵
DIREXION DAILY FINANCIAL BULL 3X SHARES / CUSIP: 25459Y694 / Symbol:							
05/07/20	50.000	1,393.51	05/06/20	1,302.50	...	91.01	Sale ²⁵
05/14/20	30.000	713.38	05/14/20	704.10	...	9.28	Sale ²⁵
	Security total:	2,106.89		2,006.60	...	100.29	
DIREXION DAILY AEROSPACE & DEF ENSE BULL 3X SHARES / CUSIP: 25460E661 / Symbol:							
05/08/20	50.000	523.23	05/07/20	497.50	...	25.73	Sale ²⁵
07/22/20	100.000	1,260.46	07/20/20	1,151.00	...	109.46	Sale ²⁵
	Security total:	1,783.69		1,648.50	...	135.19	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460E877 / Symbol:							
<i>3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	274.54	03/17/20	227.50	...	47.04	1 of 3 - Sale ²⁵
	50.000	274.55	03/17/20	225.50	...	49.05	2 of 3 - Sale ²⁵
	100.000	549.09	03/18/20	411.88	...	137.21	3 of 3 - Sale ²⁵
03/18/20	200.000	1,098.18	Various	864.88	...	233.30	Total of 3 transactions
<i>2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	274.55	03/17/20	387.82	113.27 W	0.00	1 of 2 - Sale ²⁵
	50.000	274.55	03/17/20	318.21	43.66 W	0.00	2 of 2 - Sale ²⁵
03/18/20	100.000	549.10	Various	706.03	156.93 W	0.00	Total of 2 transactions
<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	250.36	03/19/20	337.40	87.04 W	0.00	1 of 2 - Sale ²⁵
	50.000	250.37	03/19/20	267.78	17.41 W	0.00	2 of 2 - Sale ²⁵
03/20/20	100.000	500.73	Various	605.18	104.45 W	0.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460E877 / Symbol: (cont'd)							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	200.000	460.00	03/24/20	440.00	...	20.00	1 of 2 - Sale ²⁵
	100.000	230.00	03/25/20	200.00	...	30.00	2 of 2 - Sale ²⁵
03/27/20	300.000	690.00	Various	640.00	...	50.00	Total of 2 transactions
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	50.000	115.00	03/24/20	214.54	99.54 W	0.00	1 of 3 - Sale ²⁵
	50.000	115.00	03/24/20	144.91	29.91 W	0.00	2 of 3 - Sale ²⁵
	400.000	920.01	03/24/20	1,020.00	99.99 W	0.00	3 of 3 - Sale ²⁵
03/27/20	500.000	1,150.01	Various	1,379.45	229.44 W	0.00	Total of 3 transactions
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	50.000	131.49	03/25/20	129.91	...	1.58	1 of 2 - Sale ²⁵
	200.000	525.96	03/25/20	414.00	...	111.96	2 of 2 - Sale ²⁵
04/01/20	250.000	657.45	Various	543.91	...	113.54	Total of 2 transactions
04/01/20	50.000	131.49	03/25/20	199.54	68.05 W	0.00	Sale ²⁵
04/16/20	100.000	133.98	04/07/20	192.00	...	-58.02	Sale ²⁵
5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	10.000	13.40	04/02/20	25.10	11.70 W	0.00	1 of 5 - Sale ²⁵
	50.000	66.99	04/02/20	176.55	109.56 W	0.00	2 of 5 - Sale ²⁵
	190.000	254.57	04/02/20	459.79	205.22 W	0.00	3 of 5 - Sale ²⁵
	190.000	254.57	04/02/20	412.30	157.73 W	0.00	4 of 5 - Sale ²⁵
	200.000	267.97	04/06/20	408.00	112.02 W	-28.01	5 of 5 - Sale ²⁵
04/16/20	640.000	857.50	Various	1,481.74	596.23 W	-28.01	Total of 5 transactions
9 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	50.000	69.99	04/07/20	205.56	...	-135.57	1 of 9 - Sale ²⁵
	40.000	55.99	04/07/20	120.00	...	-64.01	2 of 9 - Sale ²⁵
	10.000	14.00	04/07/20	30.90	...	-16.90	3 of 9 - Sale ²⁵
	100.000	139.98	04/08/20	301.01	...	-161.03	4 of 9 - Sale ²⁵
	150.000	209.98	04/09/20	373.52	...	-163.54	5 of 9 - Sale ²⁵
	50.000	69.99	04/09/20	137.01	...	-67.02	6 of 9 - Sale ²⁵
	50.000	69.99	04/13/20	109.51	...	-39.52	7 of 9 - Sale ²⁵
	40.000	56.00	04/13/20	92.81	...	-36.81	8 of 9 - Sale ²⁵
	10.000	14.00	04/13/20	21.90	...	-7.90	9 of 9 - Sale ²⁵
04/21/20	500.000	699.92	Various	1,392.22	...	-692.30	Total of 9 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460E877 / Symbol: (cont'd)							
Security total:		6,468.36		8,004.95	1,155.10 W	-381.49	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 3X SHARES / CUSIP: 25460G104 / Symbol:							
3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	200.000	143.98	03/10/20	160.00	16.02 W	0.00	1 of 3 - Sale ²⁵
	100.000	71.99	03/10/20	80.00	8.01 W	0.00	2 of 3 - Sale ²⁵
	200.000	143.97	03/10/20	160.00	16.03 W	0.00	3 of 3 - Sale ²⁵
03/11/20	500.000	359.94	Various	400.00	40.06 W	0.00	Total of 3 transactions
15 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	500.000	169.94	03/17/20	279.81	...	-109.87	1 of 15 - Sale ²⁵
	200.000	67.97	03/17/20	127.96	...	-59.99	2 of 15 - Sale ²⁵
	200.000	67.97	03/17/20	127.95	...	-59.98	3 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	63.97	...	-29.98	4 of 15 - Sale ²⁵
	100.000	33.98	03/17/20	52.01	...	-18.03	5 of 15 - Sale ²⁵
	100.000	33.98	03/17/20	52.01	...	-18.03	6 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	7 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	8 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	9 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	10 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	11 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	12 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	13 of 15 - Sale ²⁵
	100.000	33.99	03/17/20	52.01	...	-18.02	14 of 15 - Sale ²⁵
	500.000	169.93	03/18/20	219.80	...	-49.87	15 of 15 - Sale ²⁵
03/18/20	2,500.000	849.68	Various	1,339.59	...	-489.91	Total of 15 transactions
Security total:		1,209.62		1,739.59	40.06 W	-489.91	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
04/06/20	10.000	189.41	04/03/20	178.30	...	11.11	Sale ²⁵
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
05/06/20	4.000	107.96	04/13/20	219.01	...	-111.05	Sale ²⁵

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(continued)

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
7 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	3.000	17.94	05/29/20	16.98	...	0.96	1 of 7 - Sale ²⁵
	17.000	101.66	05/29/20	96.22	...	5.44	2 of 7 - Sale ²⁵
	20.000	119.60	05/29/20	113.20	...	6.40	3 of 7 - Sale ²⁵
	74.000	442.51	05/29/20	418.84	...	23.67	4 of 7 - Sale ²⁵
	86.000	514.25	05/29/20	486.76	...	27.49	5 of 7 - Sale ²⁵
	100.000	597.97	05/29/20	566.00	...	31.97	6 of 7 - Sale ²⁵
	200.000	1,195.95	05/29/20	1,132.00	...	63.95	7 of 7 - Sale ²⁵
05/29/20	500.000	2,989.88	Various	2,830.00	...	159.88	Total of 7 transactions
8X8, INC. COMMON STOCK / CUSIP: 282914100 / Symbol:							
12/10/20	50.000	1,262.22	12/07/20	1,074.90	...	187.32	Sale ²⁵
EVERQUOTE, INC. CLASS A COMMON STOCK / CUSIP: 30041R108 / Symbol:							
3 transactions for 01/17/20. Total proceeds and cost reported to the IRS.							
	36.000	1,151.97	12/27/19	1,312.56	...	-160.59	1 of 3 - Sale ²⁵
	14.000	447.99	12/27/19	510.44	...	-62.45	2 of 3 - Sale ²⁵
	30.000	959.97	01/02/20	987.00	...	-27.03	3 of 3 - Sale ²⁵
01/17/20	80.000	2,559.93	Various	2,810.00	...	-250.07	Total of 3 transactions
FIREEYE, INC. COMMON STOCK / CUSIP: 31816Q101 / Symbol:							
06/02/20	100.000	1,279.96	05/29/20	1,239.00	...	40.96	Sale ²⁵
07/13/20	50.000	632.48	07/10/20	642.50	...	-10.02	Sale ²⁵
	Security total:	1,912.44		1,881.50	...	30.94	
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	12.24	04/27/20	11.70	...	0.54	1 of 2 - Sale ²⁵
	39.000	477.44	04/27/20	456.30	...	21.14	2 of 2 - Sale ²⁵
04/29/20	40.000	489.68	Various	468.00	...	21.68	Total of 2 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	49.000	889.33	08/04/20	932.96	...	-43.63	1 of 2 - Sale ²⁵
	1.000	18.15	08/04/20	19.04	...	-0.89	2 of 2 - Sale ²⁵
08/05/20	50.000	907.48	Various	952.00	...	-44.52	Total of 2 transactions

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(continued)

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GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol: (cont'd)							
Security total:		1,397.16		1,420.00	...		-22.84
GROWGENERATION CORP. COMMON ST OCK / CUSIP: 39986L109 / Symbol:							
08/24/20	50.000	752.48	08/21/20	780.00	...		-27.52 Sale ²⁵
HELIUS MEDICAL TECHNOLOGIES, I NC. CLASS A COMMON STOCK (DE) / CUSIP: 42328V504 / Symbol:							
<i>5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	249.000	121.98	06/08/20	141.90	...		-19.92 1 of 5 - Sale ²⁵
	109.000	53.40	06/08/20	62.12	...		-8.72 2 of 5 - Sale ²⁵
	100.000	48.99	06/08/20	56.99	...		-8.00 3 of 5 - Sale ²⁵
	28.000	13.72	06/08/20	15.96	...		-2.24 4 of 5 - Sale ²⁵
	14.000	6.86	06/08/20	7.98	...		-1.12 5 of 5 - Sale ²⁵
06/17/20	500.000	244.95	Various	284.95	...		-40.00 Total of 5 transactions
06/30/20	500.000	214.94	06/08/20	284.95	...		-70.01 Sale ²⁵
Security total:		459.89		569.90	...		-110.01
HIGHPOINT RESOURCES CORPORATIO N COMMON STOCK / CUSIP: 43114K108 / Symbol:							
<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	1,400.000	518.24	08/14/20	562.10	...		-43.86 1 of 2 - Sale ²⁵
	600.000	222.10	08/14/20	240.90	...		-18.80 2 of 2 - Sale ²⁵
08/20/20	2,000.000	740.34	Various	803.00	...		-62.66 Total of 2 transactions
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
10/28/20	50.000	1,008.47	10/27/20	1,047.50	...		-39.03 Sale ²⁵
IMMUNIC, INC. COMMON STOCK / CUSIP: 4525EP101 / Symbol:							
<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	1,066.06	09/01/20	1,067.50	...		-1.44 1 of 2 - Sale ²⁵
	30.000	456.90	09/01/20	457.50	...		-0.60 2 of 2 - Sale ²⁵
09/02/20	100.000	1,522.96	Various	1,525.00	...		-2.04 Total of 2 transactions
09/08/20	50.000	753.48	09/01/20	762.50	...		-9.02 Sale ²⁵
09/09/20	50.000	779.03	09/01/20	762.50	...		16.53 Sale ²⁵
Security total:		3,055.47		3,050.00	...		5.47

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/05/20	150.000	1,330.45	03/03/20	1,103.90	...	226.55	Sale ²⁵
03/20/20	500.000	3,619.85	03/10/20	3,235.00	...	384.85	Sale ²⁵
	Security total:	4,950.30		4,338.90	...	611.40	
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
07/31/20	500.000	734.92	06/18/20	847.50	...	-112.58	Sale ²⁵
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
	<i>2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>						
	16.000	768.46	07/20/20	980.80	...	-212.34	1 of 2 - Sale ²⁵
	10.000	480.29	07/29/20	613.91	...	-133.62	2 of 2 - Sale ²⁵
08/07/20	26.000	1,248.75	Various	1,594.71	...	-345.96	Total of 2 transactions
08/07/20	14.000	672.40	07/20/20	858.20	132.71 W	-53.09	Sale ²⁵
	Security total:	1,921.15		2,452.91	132.71 W	-399.05	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
09/21/20	100.000	787.23	09/02/20	940.00	152.77 W	0.00	Sale ²⁵
09/30/20	50.000	429.50	09/02/20	546.39	...	-116.89	Sale ²⁵
10/07/20	50.000	407.19	09/02/20	546.38	...	-139.19	Sale ²⁵
	Security total:	1,623.92		2,032.77	152.77 W	-256.08	
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
07/02/20	50.000	714.98	07/01/20	731.50	16.52 W	0.00	Sale ²⁵
	<i>2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	669.98	07/07/20	669.02	...	0.96	1 of 2 - Sale ²⁵
	50.000	669.98	07/07/20	652.50	...	17.48	2 of 2 - Sale ²⁵
07/09/20	100.000	1,339.96	Various	1,321.52	...	18.44	Total of 2 transactions
07/14/20	50.000	629.98	07/07/20	652.50	...	-22.52	Sale ²⁵
	<i>3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	70.80	07/07/20	65.25	...	5.55	1 of 3 - Sale ²⁵
	5.000	70.85	07/07/20	65.25	...	5.60	2 of 3 - Sale ²⁵
	40.000	565.98	07/07/20	522.00	...	43.98	3 of 3 - Sale ²⁵
07/17/20	50.000	707.63	Various	652.50	...	55.13	Total of 3 transactions
	Security total:	3,392.55		3,358.02	16.52 W	51.05	

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(continued)

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LEAP THERAPEUTICS, INC. COMMON STOCK / CUSIP: 52187K101 / Symbol:							
01/03/20	390.000	655.13	03/21/19	771.42	...	-116.29	Sale ²⁵
LIMINAL BIOSCIENCES INC. COMMON SHARES / CUSIP: 53272L103 / Symbol:							
07/13/20	50.000	625.49	07/08/20	681.50	...	-56.01	Sale ²⁵
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
05/07/20	40.000	2,079.95	05/06/20	1,876.00	...	203.95	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	20.000	302.39	05/07/20	295.00	...	7.39	1 of 2 - Sale ²⁵
	30.000	453.60	05/07/20	442.50	...	11.10	2 of 2 - Sale ²⁵
05/08/20	50.000	755.99	Various	737.50	...	18.49	Total of 2 transactions
MANHATTAN ASSOCIATES, INC. COMMON STOCK / CUSIP: 562750109 / Symbol:							
03/03/20	20.000	1,319.29	02/04/20	1,779.76	460.47 W	0.00	Sale ²⁵
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	10.000	679.78	02/04/20	889.88	...	-210.10	1 of 3 - Sale ²⁵
	20.000	1,359.55	02/05/20	2,028.47	...	-668.92	2 of 3 - Sale ²⁵
	30.000	2,039.34	02/05/20	2,352.00	...	-312.66	3 of 3 - Sale ²⁵
03/04/20	60.000	4,078.67	Various	5,270.35	...	-1,191.68	Total of 3 transactions
	Security total:	5,397.96		7,050.11	460.47 W	-1,191.68	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	24.000	1,437.28	05/22/20	1,648.80	...	-211.52	1 of 2 - Sale ²⁵
	1.000	59.89	05/22/20	68.70	...	-8.81	2 of 2 - Sale ²⁵
07/02/20	25.000	1,497.17	Various	1,717.50	...	-220.33	Total of 2 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
08/12/20	100.000	928.02	08/05/20	1,587.00	...	-658.98	Sale ²⁵

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	10.000	69.62	03/18/20	59.60	...	10.02	1 of 3 - Sale ²⁵
	50.000	348.09	03/18/20	281.50	...	66.59	2 of 3 - Sale ²⁵
	40.000	278.47	03/18/20	197.00	...	81.47	3 of 3 - Sale ²⁵
03/19/20	100.000	696.18	Various	538.10	...	158.08	Total of 3 transactions
7 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	6.99	03/31/20	4.85	...	2.14	1 of 7 - Sale ²⁵
	4.000	27.96	03/31/20	19.40	...	8.56	2 of 7 - Sale ²⁵
	95.000	664.02	03/31/20	460.75	...	203.27	3 of 7 - Sale ²⁵
	100.000	698.97	03/31/20	485.00	...	213.97	4 of 7 - Sale ²⁵
	100.000	698.97	04/01/20	443.00	...	255.97	5 of 7 - Sale ²⁵
	100.000	698.97	04/02/20	437.00	...	261.97	6 of 7 - Sale ²⁵
	100.000	698.97	04/03/20	343.00	...	355.97	7 of 7 - Sale ²⁵
05/21/20	500.000	3,494.85	Various	2,193.00	...	1,301.85	Total of 7 transactions
	Security total:	4,191.03		2,731.10	...	1,459.93	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
06/08/20	25.000	1,090.72	06/04/20	825.00	...	265.72	Sale ²⁵
07/01/20	10.000	683.18	06/11/20	615.00	...	68.18	Sale ²⁵
08/04/20	13.000	506.99	07/20/20	502.06	...	4.93	Sale ²⁵
08/04/20	17.000	662.98	07/07/20	731.00	68.02 W	0.00	Sale ²⁵
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	7.000	295.74	07/29/20	244.66	...	51.08	1 of 2 - Sale ²⁵
	23.000	971.73	07/29/20	711.85	...	259.88	2 of 2 - Sale ²⁵
08/31/20	30.000	1,267.47	Various	956.51	...	310.96	Total of 2 transactions
08/31/20	10.000	422.49	07/20/20	426.21	3.72 W	0.00	Sale ²⁵
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	15.000	457.49	09/11/20	475.50	...	-18.01	1 of 2 - Sale ²⁵
	10.000	304.99	09/11/20	320.72	...	-15.73	2 of 2 - Sale ²⁵
09/16/20	25.000	762.48	Various	796.22	...	-33.74	Total of 2 transactions
	Security total:	5,396.31		4,852.00	71.74 W	616.05	

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(continued)

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NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	12.000	285.00	05/27/20	284.64	...	0.36	1 of 3 - Sale ²⁵
	13.000	308.74	05/27/20	308.36	...	0.38	2 of 3 - Sale ²⁵
	25.000	593.74	05/27/20	593.00	...	0.74	3 of 3 - Sale ²⁵
05/28/20	50.000	1,187.48	Various	1,186.00	...	1.48	Total of 3 transactions
OPES ACQUISITION CORP. COMMON STOCK / CUSIP: 68373P100 / Symbol:							
07/01/20	50.000	767.98	06/30/20	803.00	...	-35.02	Sale ²⁵
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
11 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	3.000	2.13	03/21/19	1.86	...	0.27	1 of 11 - Sale ²⁵
	3.000	2.13	03/21/19	1.86	...	0.27	2 of 11 - Sale ²⁵
	5.000	3.55	03/21/19	3.10	...	0.45	3 of 11 - Sale ²⁵
	6.000	4.26	03/21/19	3.71	...	0.55	4 of 11 - Sale ²⁵
	16.000	11.36	03/21/19	9.90	...	1.46	5 of 11 - Sale ²⁵
	26.000	18.46	03/21/19	16.09	...	2.37	6 of 11 - Sale ²⁵
	50.000	35.50	03/21/19	30.95	...	4.55	7 of 11 - Sale ²⁵
	76.000	53.95	03/21/19	47.04	...	6.91	8 of 11 - Sale ²⁵
	100.000	70.99	03/21/19	61.90	...	9.09	9 of 11 - Sale ²⁵
	280.000	198.77	03/21/19	173.32	...	25.45	10 of 11 - Sale ²⁵
	435.000	308.80	03/21/19	269.27	...	39.53	11 of 11 - Sale ²⁵
03/04/20	1,000.000	709.90	Various	619.00	...	90.90	Total of 11 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
07/09/20	25.000	844.77	07/06/20	862.50	...	-17.73	Sale ²⁵
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	49.000	904.03	05/05/20	1,058.40	...	-154.37	1 of 2 - Sale ²⁵
	1.000	18.45	05/05/20	21.60	...	-3.15	2 of 2 - Sale ²⁵
05/18/20	50.000	922.48	Various	1,080.00	...	-157.52	Total of 2 transactions

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PREVAIL THERAPEUTICS INC. COMM ON STOCK / CUSIP: 74140Y101 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	97.000	1,728.49	05/22/20	1,754.73	...	-26.24	1 of 2 - Sale ²⁵
	3.000	53.55	05/22/20	54.27	...	-0.72	2 of 2 - Sale ²⁵
05/26/20	100.000	1,782.04	Various	1,809.00	...	-26.96	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	18.000	1,948.45	02/19/20	2,349.00	...	-400.55	1 of 3 - Sale ²⁵
	1.000	108.25	02/19/20	152.75	...	-44.50	2 of 3 - Sale ²⁵
	10.000	1,082.48	02/21/20	1,192.00	...	-109.52	3 of 3 - Sale ²⁵
03/05/20	29.000	3,139.18	Various	3,693.75	...	-554.57	Total of 3 transactions
03/05/20	1.000	108.25	02/19/20	130.50	22.25 W	0.00	Sale ²⁵
	Security total:	3,247.43		3,824.25	22.25 W	-554.57	
SAFEGUARD SCIENTIFICS, INC. / CUSIP: 786449207 / Symbol:							
05/18/20	100.000	631.98	04/30/20	710.00	...	-78.02	Sale ²⁵
SCIPLAY CORPORATION CLASS A CO MMON STOCK / CUSIP: 809087109 / Symbol:							
09/24/20	50.000	756.23	09/22/20	827.50	...	-71.27	Sale ²⁵
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/17/20	100.000	3,320.11	06/04/20	3,196.00	...	124.11	Sale ²⁵
06/18/20	100.000	3,427.91	06/05/20	3,189.80	...	238.11	Sale ²⁵
	Security total:	6,748.02		6,385.80	...	362.22	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	60.000	471.59	06/18/20	482.40	...	-10.81	1 of 2 - Sale ²⁵
	40.000	314.39	06/18/20	321.60	...	-7.21	2 of 2 - Sale ²⁵
06/19/20	100.000	785.98	Various	804.00	...	-18.02	Total of 2 transactions

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	730.000	1,240.88	02/13/20	2,065.39	...	-824.51	1 of 3 - Sale ²⁵
	270.000	458.96	03/11/20	796.21	...	-337.25	2 of 3 - Sale ²⁵
	230.000	390.96	03/11/20	418.48	...	-27.52	3 of 3 - Sale ²⁵
03/13/20	1,230.000	2,090.80	Various	3,280.08	...	-1,189.28	Total of 3 transactions
03/13/20	270.000	458.97	02/13/20	763.91	304.94 W	0.00	Sale ²⁵
	Security total:	2,549.77		4,043.99	304.94 W	-1,189.28	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
06/30/20	50.000	896.98	06/22/20	927.50	...	-30.52	Sale ²⁵
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	5.000	156.06	09/21/20	145.25	...	10.81	1 of 2 - Sale ²⁵
	11.000	343.32	09/21/20	320.65	...	22.67	2 of 2 - Sale ²⁵
09/22/20	16.000	499.38	Various	465.90	...	33.48	Total of 2 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	19.000	3,222.04	04/29/20	3,325.00	...	-102.96	1 of 2 - Sale ²⁵
	1.000	169.58	04/29/20	175.00	...	-5.42	2 of 2 - Sale ²⁵
05/01/20	20.000	3,391.62	Various	3,500.00	...	-108.38	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/19/20	3.000	1,275.74	03/19/20	1,344.12	...	-68.38	Sale ²⁵
07/28/20	1.000	1,497.51	07/22/20	1,589.99	...	-92.48	Sale ²⁵
09/15/20	4.000	1,791.96	09/11/20	1,464.00	...	327.96	Sale ²⁵
09/21/20	5.000	2,159.95	09/16/20	2,217.50	34.53 W	-23.02	Sale ²⁵
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	448.00	09/30/20	441.11	...	6.89	1 of 2 - Sale ²⁵
	2.000	895.98	09/30/20	882.22	...	13.76	2 of 2 - Sale ²⁵
10/15/20	3.000	1,343.98	Various	1,323.33	...	20.65	Total of 2 transactions
11/27/20	4.000	2,362.70	11/09/20	1,690.00	...	672.70	Sale ²⁵
	Security total:	10,431.84		9,628.94	34.53 W	837.43	

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	153.000	665.64	03/12/20	933.30	...	-267.66	1 of 2 - Sale ²⁵
	47.000	204.48	03/12/20	286.70	...	-82.22	2 of 2 - Sale ²⁵
03/13/20	200.000	870.12	Various	1,220.00	...	-349.88	Total of 2 transactions
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	200.000	1,972.47	05/22/20	2,000.00	27.53 W	0.00	1 of 2 - Sale ²⁵
	300.000	2,958.71	05/22/20	3,000.00	41.29 W	0.00	2 of 2 - Sale ²⁵
05/26/20	500.000	4,931.18	Various	5,000.00	68.82 W	0.00	Total of 2 transactions
05/29/20	100.000	989.96	05/27/20	1,056.77	66.81 W	0.00	Sale ²⁵
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	10.000	100.30	06/05/20	98.38	...	1.92	1 of 5 - Sale ²⁵
	90.000	902.66	06/05/20	885.39	...	17.27	2 of 5 - Sale ²⁵
	90.000	902.66	06/05/20	885.38	...	17.28	3 of 5 - Sale ²⁵
	100.000	1,002.96	06/05/20	983.76	...	19.20	4 of 5 - Sale ²⁵
	100.000	1,002.96	06/05/20	983.76	...	19.20	5 of 5 - Sale ²⁵
06/08/20	390.000	3,911.54	Various	3,836.67	...	74.87	Total of 5 transactions
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	90.000	902.67	06/05/20	933.13	30.46 W	0.00	1 of 2 - Sale ²⁵
	10.000	100.30	06/05/20	103.68	3.38 W	0.00	2 of 2 - Sale ²⁵
06/08/20	100.000	1,002.97	Various	1,036.81	33.84 W	0.00	Total of 2 transactions
6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	10.000	80.60	06/05/20	98.38	17.78 W	0.00	1 of 6 - Sale ²⁵
	100.000	805.96	06/10/20	1,015.00	104.52 W	-104.52	2 of 6 - Sale ²⁵
	10.000	80.60	06/10/20	119.28	38.68 W	0.00	3 of 6 - Sale ²⁵
	10.000	80.60	06/10/20	104.88	24.28 W	0.00	4 of 6 - Sale ²⁵
	90.000	725.37	06/10/20	943.96	218.59 W	0.00	5 of 6 - Sale ²⁵
	40.000	322.39	06/10/20	406.00	83.61 W	0.00	6 of 6 - Sale ²⁵
06/22/20	260.000	2,095.52	Various	2,687.50	487.46 W	-104.52	Total of 6 transactions
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	90.000	734.37	06/10/20	1,099.69	...	-365.32	1 of 2 - Sale ²⁵
	10.000	81.60	06/10/20	136.58	...	-54.98	2 of 2 - Sale ²⁵
07/28/20	100.000	815.97	Various	1,236.27	...	-420.30	Total of 2 transactions

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	50.000	411.48	06/10/20	594.02	...	-182.54	1 of 3 - Sale ²⁵
	40.000	329.19	06/10/20	475.21	...	-146.02	2 of 3 - Sale ²⁵
	10.000	82.30	06/10/20	122.18	...	-39.88	3 of 3 - Sale ²⁵
08/04/20	100.000	822.97	Various	1,191.41	...	-368.44	Total of 3 transactions
	Security total:	15,440.23		17,265.43	656.93 W	-1,168.27	
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	217.99	06/09/20	319.00	...	-101.01	1 of 2 - Sale ²⁵
	100.000	217.99	06/09/20	319.00	...	-101.01	2 of 2 - Sale ²⁵
06/30/20	200.000	435.98	Various	638.00	...	-202.02	Total of 2 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
05/18/20	50.000	1,114.02	05/14/20	1,012.75	...	101.27	Sale ²⁵
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	12.500	335.24	04/07/20	499.00	...	-163.76	1 of 4 - Sale ²⁵
	12.500	335.24	04/17/20	422.99	...	-87.75	2 of 4 - Sale ²⁵
	12.500	335.25	04/21/20	308.00	...	27.25	3 of 4 - Sale ²⁵
	12.500	335.24	04/21/20	262.00	...	73.24	4 of 4 - Sale ²⁵
05/21/20	50.000	1,340.97	Various	1,491.99	...	-151.02	Total of 4 transactions
USIO, INC. COMMON STOCK / CUSIP: 917313108 / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	100.000	299.99	08/10/20	346.00	...	-46.01	1 of 3 - Sale ²⁵
	75.000	224.99	08/10/20	259.50	...	-34.51	2 of 3 - Sale ²⁵
	25.000	75.00	08/10/20	86.50	...	-11.50	3 of 3 - Sale ²⁵
08/13/20	200.000	599.98	Various	692.00	...	-92.02	Total of 3 transactions
08/14/20	100.000	256.99	08/10/20	346.00	...	-89.01	Sale ²⁵
	Security total:	856.97		1,038.00	...	-181.03	

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Robinhood Securities LLC

Account 130330186

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
04/27/20	100.000	1,791.95	04/20/20	1,856.00	64.05 W	0.00	Sale ²⁵
	2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	20.000	347.20	04/22/20	356.61	...	-9.41	1 of 2 - Sale ²⁵
	20.000	347.20	04/22/20	356.61	...	-9.41	2 of 2 - Sale ²⁵
04/30/20	40.000	694.40	Various	713.22	...	-18.82	Total of 2 transactions
	3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	17.000	306.34	04/22/20	303.12	...	3.22	1 of 3 - Sale ²⁵
	20.000	360.40	04/22/20	356.61	...	3.79	2 of 3 - Sale ²⁵
	23.000	414.46	04/22/20	410.10	...	4.36	3 of 3 - Sale ²⁵
05/06/20	60.000	1,081.20	Various	1,069.83	...	11.37	Total of 3 transactions
	Security total:	3,567.55		3,639.05	64.05 W	-7.45	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
11/19/20	40.000	910.91	10/15/20	883.20	...	27.71	Sale ²⁵
ZUORA, INC. / CUSIP: 98983V106 / Symbol:							
06/04/20	50.000	737.48	06/03/20	629.00	...	108.48	Sale ²⁵
NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol:							
05/14/20	40.000	199.19	04/29/20	256.00	...	-56.81	Sale ²⁵
05/14/20	200.000	995.95	04/27/20	1,398.00	321.64 W	-80.41	Sale ²⁵
05/18/20	160.000	774.57	04/29/20	1,345.64	...	-571.07	Sale ²⁵
	Security total:	1,969.71		2,999.64	321.64 W	-708.29	
QUOTIENT LIMITED ORDINARY SHAR ES / CUSIP: G73268107 / Symbol:							
	3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	10.000	81.45	05/04/20	81.00	...	0.45	1 of 3 - Sale ²⁵
	90.000	732.57	05/04/20	729.00	...	3.57	2 of 3 - Sale ²⁵
	100.000	814.47	05/04/20	810.00	...	4.47	3 of 3 - Sale ²⁵
05/06/20	200.000	1,628.49	Various	1,620.00	...	8.49	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
04/14/20	20.000	738.18	04/13/20	690.00	...	48.18	Sale ²⁵
04/14/20	20.000	738.18	04/07/20	756.20	18.02 W	0.00	Sale ²⁵
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	44.04	05/01/20	42.54	...	1.50	1 of 3 - Sale ²⁵
	19.000	836.72	05/01/20	808.28	...	28.44	2 of 3 - Sale ²⁵
	30.000	1,321.13	05/01/20	1,249.20	...	71.93	3 of 3 - Sale ²⁵
05/21/20	50.000	2,201.89	Various	2,100.02	...	101.87	Total of 3 transactions
	Security total:	3,678.25		3,546.22	18.02 W	150.05	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	42.950	12.66	04/01/19	692.70	...	-680.04	1 of 2 - Sale ²⁵
	7.050	2.08	04/01/19	113.70	...	-111.62	2 of 2 - Sale ²⁵
03/04/20	50.000	14.74	Various	806.40	...	-791.66	Total of 2 transactions
Totals :		644,480.66		677,067.84	14,929.52 W	-17,657.66	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CORMEDIX INC. COMMON STOCK / CUSIP: 21900C308 / Symbol:							
6 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	100.057	422.22	10/19/18	625.00	...	-202.78	1 of 6 - Sale ²⁵
	36.020	152.00	10/24/18	207.00	...	-55.00	2 of 6 - Sale ²⁵
	32.923	138.92	10/24/18	189.20	...	-50.28	3 of 6 - Sale ²⁵
	31.114	131.29	10/24/18	178.80	...	-47.51	4 of 6 - Sale ²⁵
	51.829	218.71	10/29/18	259.00	...	-40.29	5 of 6 - Sale ²⁵
	100.057	422.21	11/15/18	475.00	...	-52.79	6 of 6 - Sale ²⁵
04/24/20	352.000	1,485.35	Various	1,934.00	...	-448.65	Total of 6 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
IQIYI, INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 46267X108 / Symbol:							
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	15.000	357.59	06/27/18	465.75	...	-108.16	1 of 3 - Sale ²⁵
	10.000	238.40	08/14/18	268.80	...	-30.40	2 of 3 - Sale ²⁵
	25.000	595.99	09/05/18	685.91	...	-89.92	3 of 3 - Sale ²⁵
03/04/20	50.000	1,191.98	Various	1,420.46	...	-228.48	Total of 3 transactions
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
3 transactions for 01/03/20. Total proceeds and cost reported to the IRS.							
	12.000	455.99	08/14/18	406.20	...	49.79	1 of 3 - Sale ²⁵
	8.000	303.99	09/05/18	218.80	...	85.19	2 of 3 - Sale ²⁵
	30.000	1,139.98	09/05/18	799.20	...	340.78	3 of 3 - Sale ²⁵
01/03/20	50.000	1,899.96	Various	1,424.20	...	475.76	Total of 3 transactions
2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	30.000	1,169.97	09/05/18	820.50	...	349.47	1 of 2 - Sale ²⁵
	20.000	779.98	09/24/18	503.00	...	276.98	2 of 2 - Sale ²⁵
01/09/20	50.000	1,949.95	Various	1,323.50	...	626.45	Total of 2 transactions
	Security total:	3,849.91		2,747.70	...	1,102.21	
NETLIST, INC. COMMON STOCK / CUSIP: 64118P109 / Symbol:							
07/17/20	2,000.000	1,679.72	06/07/18	433.96	...	1,245.76	Sale ²⁵
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
3 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	81.50	10/10/18	79.00	...	2.50	1 of 3 - Sale ²⁵
	29.000	2,363.45	10/10/18	2,291.00	...	72.45	2 of 3 - Sale ²⁵
	6.000	488.99	11/19/18	378.00	...	110.99	3 of 3 - Sale ²⁵
02/04/20	36.000	2,933.94	Various	2,748.00	...	185.94	Total of 3 transactions
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
6 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	177.000	1,115.07	10/04/18	2,743.50	...	-1,628.43	1 of 6 - Sale ²⁵
	21.000	132.30	10/04/18	325.50	...	-193.20	2 of 6 - Sale ²⁵
	2.000	12.60	10/04/18	31.00	...	-18.40	3 of 6 - Sale ²⁵
	134.000	844.17	12/28/18	971.50	...	-127.33	4 of 6 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol: (cont'd)							
	16.000	100.80	12/28/18	116.00	...	-15.20	5 of 6 - Sale ²⁵
	50.000	314.99	01/11/19	408.93	...	-93.94	6 of 6 - Sale ²⁵
03/04/20	400.000	2,519.93	Various	4,596.43	...	-2,076.50	Total of 6 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	18.500	5.46	11/05/18	736.26	...	-730.80	1 of 2 - Sale ²⁵
	6.500	1.92	12/21/18	104.00	...	-102.08	2 of 2 - Sale ²⁵
03/04/20	25.000	7.38	Various	840.26	...	-832.88	Total of 2 transactions
Totals :		13,668.21		14,720.81		-1,052.60	

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Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	2.05	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		01/27/20	0.10	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		09/01/20	13.20	Qualified dividend	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		05/15/20	15.52	Section 199A dividend	03
			05/15/20	5.50	Nondividend distribution	03
			05/15/20	3.98	Qualified dividend	03
				25.00	Total Dividends & distributions	
				40.35	Total Dividends & distributions	

Robinhood Securities LLC

Account 130330186

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
COST 01/15/2021 CALL \$385.00	COST 01/15/21 C 385.000	12/01/20	1.00	0.00	Merger	
COST 01/15/2021 CALL \$395.00	COST 01/15/21 C 395.000	12/01/20	-1.00	0.00	Merger	
PFE 01/15/2021 CALL \$47.00	PFE 01/15/21 C 47.000	11/17/20	-4.00	0.00	Merger	
PFE1 01/15/2021 CALL \$47.00	PFE1 01/15/21 C 47.000	11/17/20	4.00	0.00	Merger	
		12/02/20	4.00	0.00	Merger	
		12/02/20	-4.00	0.00	Merger	
WLL 09/18/2020 CALL \$3.00	WLL 09/18/20 C 3.000	09/02/20	-17.00	0.00	Merger	
WLL1 09/18/2020 CALL \$3.00	WLL1 09/18/20 C 3.000	09/02/20	17.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.