

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 498102154</b>	Statement Date: 01/14/2021 Document ID: 6383 2K8 41K4	2020
	VIJAY THIPPARTHI FOX RUN APT 3825 FOX RUN DR BLUE ASH, OH 45236-1136		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-9701		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	26,418.19	28,920.52	0.00	27.33	-2,475.00
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>		<b>26,418.19</b>	<b>28,920.52</b>	<b>0.00</b>	<b>27.33</b>	<b>-2,475.00</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand total</b>		<b>26,418.19</b>	<b>28,920.52</b>	<b>0.00</b>	<b>27.33</b>	<b>-2,475.00</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 498102154

**Summary Information**

2020

(continued)

01/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.75
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 498102154

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

01/14/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
06/26/20	10.000	1,706.96	04/03/20	1,245.00	...	461.96	Sale
EASTMAN KODAK CO COM / CUSIP: 277461406 / Symbol: KODK							
09/11/20	40.000	249.19	08/18/20	370.00	...	-120.81	Sale
NETFLIX INC COM / CUSIP: 64110L106 / Symbol: NFLX							
05/07/20	1.000	434.66	04/22/20	423.40	...	11.26	Sale
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
07/16/20	50.000	1,053.72	07/08/20	839.00	...	214.72	Sale
WELBILT INC COM / CUSIP: 949090104 / Symbol: WBT							
04/17/20	100.000	427.48	04/13/20	515.00	...	-87.52	Sale
WIDEPOINT CORP 1:10 R/S 11/9/20 967590209 / CUSIP: 967590100 / Symbol:							
09/01/20	500.000	253.08	08/14/20	420.00	...	-166.92	Sale
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
07/10/20	50.000	745.98	Various	800.00	...	-54.02	Total of 2 transactions
AMERICAN AIRLINES GROUP INC AAL Sep 18 2020 14.0 Call / CUSIP: / Symbol: AAL 09/18/20 C 14.000							
09/15/20	4.000	33.32	09/09/20	182.67	...	-149.35	Option sale

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Account 498102154

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
APPLE INC AAPL Jul 17 2020 380.0 Call / CUSIP: / Symbol: AAPL 07/17/20 C 380.000							
07/02/20	1.000	216.33	07/02/20	306.67	...	-90.34	Option sale
APPLE INC AAPL Jul 24 2020 380.0 Call / CUSIP: / Symbol: AAPL 07/24/20 C 380.000							
07/06/20	1.000	689.31	07/02/20	359.67	...	329.64	Option sale
APPLE INC AAPL Aug 21 2020 470.0 Call / CUSIP: / Symbol: AAPL 08/21/20 C 470.000							
08/19/20	1.000	370.32	08/13/20	535.67	...	-165.35	Option sale
APPLE INC AAPL Aug 28 2020 550.0 Call / CUSIP: / Symbol: AAPL 08/28/20 C 550.000							
08/28/20	2.000	0.00	08/25/20	183.33	...	-183.33	Option expiration
APPLE INC AAPL Sep 11 2020 113.75 Call / CUSIP: / Symbol: AAPL 09/11/20 C 113.750							
09/11/20	7.000	0.00	09/11/20	46.67	...	-46.67	Option expiration
APPLE INC AAPL Oct 09 2020 117.5 Call / CUSIP: / Symbol: AAPL 10/09/20 C 117.500							
10/09/20	1.000	15.34	10/05/20	108.66	...	-93.32	Option sale
APPLE INC AAPL Nov 06 2020 118.0 Call / CUSIP: / Symbol: AAPL 11/06/20 C 118.000							
10/29/20	1.000	338.33	10/29/20	365.66	27.33 W	0.00	Option sale
11/06/20	4.000	357.33	11/06/20	217.99	...	139.34	Option sale
<b>Security total:</b>		<b>695.66</b>		<b>583.65</b>	<b>27.33 W</b>	<b>139.34</b>	
ADOBE INC ADBE Nov 13 2020 475.0 Call / CUSIP: / Symbol: ADBE 11/13/20 C 475.000							
11/11/20	1.000	287.33	11/10/20	211.66	...	75.67	Option sale
AES CORP AES Dec 18 2020 22.0 Call / CUSIP: / Symbol: AES 12/18/20 C 22.000							
12/15/20	6.000	170.01	12/03/20	165.98	...	4.03	Option sale
ADVANCED MICRO DEVICES INC AMD Nov 13 2020 82.5 Call / CUSIP: / Symbol: AMD 11/13/20 C 82.500							
11/13/20	4.000	21.33	11/12/20	330.66	...	-309.33	Option sale
BOEING CO BA May 08 2020 275.0 Call / CUSIP: / Symbol: BA 05/08/20 C 275.000							
05/08/20	4.000	0.00	Various	505.67	...	-505.67	Option expiration
BOEING CO BA May 15 2020 200.0 Call / CUSIP: / Symbol: BA 05/15/20 C 200.000							
05/15/20	6.000	0.00	04/16/20	892.00	...	-892.00	Option expiration

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BOEING CO BA Jun 26 2020 175.0 Call / CUSIP: / Symbol: BA 06/26/20 C 175.000							
06/26/20	6.000	0.00	06/26/20	46.00	...	-46.00	Option expiration
BOEING CO BA Jul 31 2020 205.0 Call / CUSIP: / Symbol: BA 07/31/20 C 205.000							
07/30/20	2.000	0.67	07/21/20	469.33	...	-468.66	Option sale
BOEING CO BA Aug 07 2020 160.0 Call / CUSIP: / Symbol: BA 08/07/20 C 160.000							
08/03/20	2.000	888.65	08/03/20	465.33	...	423.32	Option sale
BOEING CO BA Aug 21 2020 175.0 Call / CUSIP: / Symbol: BA 08/21/20 C 175.000							
08/18/20	2.000	284.66	08/17/20	601.33	...	-316.67	Option sale
BOEING CO BA Oct 02 2020 162.5 Call / CUSIP: / Symbol: BA 10/02/20 C 162.500							
09/25/20	1.000	113.34	09/23/20	315.66	...	-202.32	Option sale
BOEING CO BA Oct 23 2020 167.5 Call / CUSIP: / Symbol: BA 10/23/20 C 167.500							
10/15/20	1.000	247.33	10/15/20	296.66	...	-49.33	Option sale
BOEING CO BA Oct 30 2020 170.0 Call / CUSIP: / Symbol: BA 10/30/20 C 170.000							
10/22/20	1.000	423.33	10/22/20	365.66	...	57.67	Option sale
ALIBABA GROUP HOLDING LTD BABA Jul 31 2020 280.0 Call / CUSIP: / Symbol: BABA 07/31/20 C 280.000							
07/21/20	3.000	483.98	07/21/20	707.00	...	-223.02	Option sale
BANK OF AMERICA CORPORATION BAC Oct 02 2020 24.5 Call / CUSIP: / Symbol: BAC 10/02/20 C 24.500							
10/01/20	5.000	71.68	09/30/20	48.32	...	23.36	Option sale
BANK OF AMERICA CORPORATION BAC Nov 06 2020 24.5 Call / CUSIP: / Symbol: BAC 11/06/20 C 24.500							
10/29/20	4.000	153.33	10/27/20	190.66	...	-37.33	Option sale
BEYOND MEAT INC BYND Apr 17 2020 100.0 Call / CUSIP: / Symbol: BYND 04/17/20 C 100.000							
03/24/20	12.000	531.97	03/20/20	200.00	...	331.97	Option sale
BEYOND MEAT INC BYND Jul 10 2020 140.0 Call / CUSIP: / Symbol: BYND 07/10/20 C 140.000							
07/10/20	2.000	0.00	07/10/20	81.33	...	-81.33	Option expiration
BEYOND MEAT INC BYND Jul 24 2020 140.0 Call / CUSIP: / Symbol: BYND 07/24/20 C 140.000							
07/21/20	2.000	404.66	07/15/20	685.33	...	-280.67	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT INC BYND Aug 07 2020 145.0 Call / CUSIP: / Symbol: BYND 08/07/20 C 145.000							
08/05/20	1.000	69.33	08/04/20	795.67	...	-726.34	Option sale
BEYOND MEAT INC BYND Aug 07 2020 155.0 Call / CUSIP: / Symbol: BYND 08/07/20 C 155.000							
08/06/20	2.000	849.32	08/05/20	0.00	...	849.32	Short sale closed- option
BEYOND MEAT INC BYND Aug 07 2020 165.0 Call / CUSIP: / Symbol: BYND 08/07/20 C 165.000							
08/05/20	1.000	10.33	08/04/20	265.67	...	-255.34	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Sep 04 2020 105.0 Call / CUSIP: / Symbol: CRWD 09/04/20 C 105.000							
08/17/20	1.000	604.32	08/11/20	325.67	...	278.65	Option sale
DROPBOX INC DBX Sep 25 2020 20.0 Call / CUSIP: / Symbol: DBX 09/25/20 C 20.000							
09/23/20	6.000	62.01	09/18/20	100.00	...	-37.99	Option sale
WALT DISNEY COMPANY (THE) DIS Aug 07 2020 127.0 Call / CUSIP: / Symbol: DIS 08/07/20 C 127.000							
08/05/20	3.000	1,119.97	08/04/20	141.00	...	978.97	Option sale
DRAFTKINGS INC DKNK Sep 11 2020 44.0 Call / CUSIP: / Symbol: DKNK 09/11/20 C 44.000							
09/10/20	2.000	127.66	Various	187.33	...	-59.67	Total of 2 transactions
DRAFTKINGS INC DKNK Nov 20 2020 39.0 Call / CUSIP: / Symbol: DKNK 11/20/20 C 39.000							
11/04/20	1.000	339.33	11/03/20	265.66	...	73.67	Option sale
GILEAD SCIENCES INC GILD Mar 20 2020 80.0 Call / CUSIP: / Symbol: GILD 03/20/20 C 80.000							
03/19/20	2.000	585.64	Various	135.33	...	450.31	Total of 2 transactions
ALPHABET INC GOOGL Jul 17 2020 1600.0 Call / CUSIP: / Symbol: GOOGL 07/17/20 C 1600.000							
07/13/20	1.000	459.32	07/13/20	1,260.67	...	-801.35	Option sale
ALPHABET INC GOOGL Jul 31 2020 1580.0 Call / CUSIP: / Symbol: GOOGL 07/31/20 C 1580.000							
07/31/20	1.000	0.00	07/30/20	1,362.67	...	-1,362.67	Option expiration
ALPHABET INC GOOGL Jul 31 2020 1585.0 Call / CUSIP: / Symbol: GOOGL 07/31/20 C 1585.000							
07/31/20	1.000	1,206.30	07/31/20	0.00	...	1,206.30	Option expiration short position
ALPHABET INC GOOGL Aug 14 2020 1510.0 Call / CUSIP: / Symbol: GOOGL 08/14/20 C 1510.000							
08/11/20	1.000	1,369.30	08/11/20	1,070.67	...	298.63	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOLDMAN SACHS GROUP INC GS Sep 04 2020 205.0 Call / CUSIP: / Symbol: GS 09/04/20 C 205.000							
08/24/20	2.000	698.65	08/21/20	595.33	...	103.32	Option sale
INTEL CORP INTC Oct 23 2020 55.0 Call / CUSIP: / Symbol: INTC 10/23/20 C 55.000							
10/21/20	1.000	97.34	10/20/20	100.66	...	-3.32	Option sale
ISHARES TRUST IWM Jul 02 2020 145.0 Call / CUSIP: / Symbol: IWM 07/02/20 C 145.000							
07/02/20	4.000	349.31	07/01/20	98.67	...	250.64	Option sale
ISHARES TRUST IWM Jul 10 2020 141.5 Call / CUSIP: / Symbol: IWM 07/10/20 C 141.500							
07/10/20	3.000	42.99	07/10/20	74.00	...	-31.01	Option sale
ISHARES TRUST IWM Aug 21 2020 157.0 Call / CUSIP: / Symbol: IWM 08/21/20 C 157.000							
08/21/20	4.000	0.00	08/20/20	89.67	...	-89.67	Option expiration
ISHARES TRUST IWM Aug 28 2020 157.0 Call / CUSIP: / Symbol: IWM 08/28/20 C 157.000							
08/28/20	5.000	46.66	08/28/20	68.33	...	-21.67	Option sale
ISHARES TRUST IWM Oct 02 2020 152.5 Call / CUSIP: / Symbol: IWM 10/02/20 C 152.500							
09/30/20	1.000	93.34	09/30/20	98.66	...	-5.32	Option sale
JUMIA TECHNOLOGIES AG JMIA Aug 21 2020 11.0 Call / CUSIP: / Symbol: JMIA 08/21/20 C 11.000							
07/30/20	4.000	923.32	Various	450.67	...	472.65	Total of 2 transactions
JP MORGAN CHASE & CO JPM Oct 30 2020 98.0 Call / CUSIP: / Symbol: JPM 10/30/20 C 98.000							
10/30/20	5.000	0.00	10/30/20	108.32	...	-108.32	Option expiration
JP MORGAN CHASE & CO JPM Sep 11 2020 104.0 Call / CUSIP: / Symbol: JPM 09/11/20 C 104.000							
09/04/20	2.000	226.66	09/04/20	301.33	...	-74.67	Option sale
KINDER MORGAN INC KMI Aug 21 2020 14.5 Call / CUSIP: / Symbol: KMI 08/21/20 C 14.500							
08/11/20	10.000	413.29	08/04/20	346.67	...	66.62	Option sale
LAM RESEARCH CORP LRCX Aug 21 2020 362.5 Call / CUSIP: / Symbol: LRCX 08/21/20 C 362.500							
08/21/20	2.000	0.00	08/20/20	401.33	...	-401.33	Option expiration
LAM RESEARCH CORP LRCX Aug 28 2020 352.5 Call / CUSIP: / Symbol: LRCX 08/28/20 C 352.500							
08/24/20	1.000	524.32	08/24/20	570.67	...	-46.35	Option sale

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TD Ameritrade Clearing, Inc.

Account 498102154

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LULULEMON ATHLETICA INC LULU Sep 18 2020	330.0	Call / CUSIP: / Symbol: LULU 09/18/20 C 330.000					
09/15/20	1.000	78.33	09/15/20	124.67	...	-46.34	Option sale
MACY'S INC M Dec 31 2020	11.0	Call / CUSIP: / Symbol: M 12/31/20 C 11.000					
12/18/20	5.000	216.67	12/17/20	178.32	...	38.35	Option sale
MICROSOFT CORP MSFT Dec 31 2020	227.5	Call / CUSIP: / Symbol: MSFT 12/31/20 C 227.500					
12/31/20	1.000	0.00	12/21/20	204.66	...	-204.66	Option expiration
MICRON TECHNOLOGY INC MU Jul 02 2020	51.0	Call / CUSIP: / Symbol: MU 07/02/20 C 51.000					
06/30/20	4.000	677.30	06/29/20	282.67	...	394.63	Option sale
MICRON TECHNOLOGY INC MU Jul 24 2020	49.5	Call / CUSIP: / Symbol: MU 07/24/20 C 49.500					
07/15/20	2.000	388.66	07/14/20	317.33	...	71.33	Option sale
NETFLIX INC NFLX Aug 21 2020	500.0	Call / CUSIP: / Symbol: NFLX 08/21/20 C 500.000					
08/19/20	2.000	304.66	08/19/20	371.33	...	-66.67	Option sale
NUTANIX INC NTNX Aug 21 2020	24.0	Call / CUSIP: / Symbol: NTNX 08/21/20 C 24.000					
08/11/20	6.000	247.97	08/10/20	340.00	...	-92.03	Option sale
PROCTER & GAMBLE CO PG Mar 20 2020	115.0	Call / CUSIP: / Symbol: PG 03/20/20 C 115.000					
03/20/20	3.000	54.99	03/20/20	465.00	...	-410.01	Option sale
PELTON INTERACTIVE INC PTON Nov 27 2020	109.0	Call / CUSIP: / Symbol: PTON 11/27/20 C 109.000					
11/25/20	1.000	142.34	11/24/20	89.66	...	52.68	Option sale
INVESCO QQQ TRUST QQQ Jul 02 2020	245.0	Call / CUSIP: / Symbol: QQQ 07/02/20 C 245.000					
06/29/20	2.000	304.66	06/29/20	283.33	...	21.33	Option sale
INVESCO QQQ TRUST QQQ Apr 17 2020	211.0	Put / CUSIP: / Symbol: QQQ 04/17/20 P 211.000					
04/17/20	1.000	0.00	04/17/20	18.67	...	-18.67	Option expiration
ROCKET COMPANIES INC RKT Dec 04 2020	23.0	Call / CUSIP: / Symbol: RKT 12/04/20 C 23.000					
11/18/20	1.000	78.34	11/16/20	140.66	...	-62.32	Option sale
STARBUCKS CORP SBUX Jul 31 2020	77.0	Call / CUSIP: / Symbol: SBUX 07/31/20 C 77.000					
07/30/20	3.000	46.00	07/30/20	89.00	...	-43.00	Option sale

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Account 498102154

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOLAREEDGE TECHNOLOGIES INC SEDG Nov 20 2020 280.0 Call / CUSIP: / Symbol: SEDG 11/20/20 C 280.000							
11/05/20	1.000	174.34	11/05/20	255.66	...	-81.32	Option sale
SNAP INC SNAP Oct 16 2020 27.0 Call / CUSIP: / Symbol: SNAP 10/16/20 C 27.000							
09/22/20	4.000	317.31	09/15/20	262.67	...	54.64	Option sale
SNAP INC SNAP Oct 23 2020 30.0 Call / CUSIP: / Symbol: SNAP 10/23/20 C 30.000							
10/20/20	2.000	238.66	10/19/20	255.33	...	-16.67	Option sale
SNAP INC SNAP Nov 27 2020 42.0 Call / CUSIP: / Symbol: SNAP 11/27/20 C 42.000							
11/19/20	1.000	142.34	11/19/20	102.66	...	39.68	Option sale
SPDR S&P500 ETF TRUST SPY Jul 08 2020 315.0 Call / CUSIP: / Symbol: SPY 07/08/20 C 315.000							
07/08/20	2.000	168.67	07/08/20	87.33	...	81.34	Option sale
SPDR S&P500 ETF TRUST SPY Aug 14 2020 340.0 Call / CUSIP: / Symbol: SPY 08/14/20 C 340.000							
08/12/20	6.000	409.98	08/12/20	370.00	...	39.98	Option sale
SPDR S&P500 ETF TRUST SPY Sep 18 2020 350.0 Call / CUSIP: / Symbol: SPY 09/18/20 C 350.000							
09/18/20	2.000	0.00	09/04/20	511.33	...	-511.33	Option expiration
SPDR S&P500 ETF TRUST SPY Sep 23 2020 330.0 Call / CUSIP: / Symbol: SPY 09/23/20 C 330.000							
09/23/20	3.000	0.00	09/23/20	49.99	...	-49.99	Option expiration
SPDR S&P500 ETF TRUST SPY Sep 30 2020 337.0 Call / CUSIP: / Symbol: SPY 09/30/20 C 337.000							
09/29/20	1.000	66.34	09/29/20	92.66	...	-26.32	Option sale
SPDR S&P500 ETF TRUST SPY Nov 20 2020 361.0 Call / CUSIP: / Symbol: SPY 11/20/20 C 361.000							
11/20/20	6.000	0.00	11/20/20	45.98	...	-45.98	Option expiration
SPDR S&P500 ETF TRUST SPY Jul 20 2020 317.0 Put / CUSIP: / Symbol: SPY 07/20/20 P 317.000							
07/20/20	2.000	0.00	07/17/20	75.33	...	-75.33	Option expiration
SPDR S&P500 ETF TRUST SPY Nov 13 2020 356.0 Put / CUSIP: / Symbol: SPY 11/13/20 P 356.000							
11/13/20	2.000	48.67	11/13/20	87.33	...	-38.66	Option sale
STONECO LTD STNE Sep 04 2020 52.0 Call / CUSIP: / Symbol: STNE 09/04/20 C 52.000							
09/04/20	1.000	0.00	09/02/20	90.67	...	-90.67	Option expiration

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TD Ameritrade Clearing, Inc.

Account 498102154

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TESLA INC TSLA Oct 09 2020 439.0 Call / CUSIP: / Symbol: TSLA 10/09/20 C 439.000							
10/09/20	1.000	0.00	10/09/20	30.66	...	-30.66	Option expiration
TESLA INC TSLA Jul 24 2020 1545.0 Call / CUSIP: / Symbol: TSLA 07/24/20 C 1545.000							
07/24/20	1.000	0.00	07/24/20	113.67	...	-113.67	Option expiration
ULTA BEAUTY INC ULTA Mar 20 2020 165.0 Call / CUSIP: / Symbol: ULTA 03/20/20 C 165.000							
03/20/20	2.000	438.66	03/20/20	241.33	...	197.33	Option sale
UNITEDHEALTH GROUP INC UNH Aug 28 2020 312.5 Call / CUSIP: / Symbol: UNH 08/28/20 C 312.500							
08/28/20	1.000	134.33	08/28/20	84.67	...	49.66	Option sale
WALGREENS BOOTS ALLIANCE INC WBA Oct 23 2020 38.0 Call / CUSIP: / Symbol: WBA 10/23/20 C 38.000							
10/16/20	4.000	189.33	10/15/20	202.66	...	-13.33	Option sale
WENDYS COMPANY (THE) WEN Jul 17 2020 22.0 Call / CUSIP: / Symbol: WEN 07/17/20 C 22.000							
07/06/20	4.000	357.31	06/30/20	342.67	...	14.64	Option sale
<b>Totals :</b>		<b>26,418.19</b>		<b>28,920.52</b>	<b>27.33 W</b>	<b>-2,475.00</b>	

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TD Ameritrade Clearing, Inc.

Account 498102154

**Fees and Expenses**

2020

01/14/2021

*This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		04/30/20	-1.88	Margin interest paid	
		05/29/20	-2.54	Margin interest paid	
		06/30/20	-1.33	Margin interest paid	
			-5.75	Total Margin interest paid	
			<b>-5.75</b>	<b>Total Margin interest paid</b>	

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).