

FIDELITY BROKERAGE SERVICES LLC P.O. Box 28019 Albuquerque, NM 87125-8019

2020 TAX REPORTING STATEMENT

RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

eDelivered

RAJESH KUMAR GOLLA 715 RICE RD 4A RIDGELAND MS 39157-3007 Payer's Name and Address: NATIONAL FINANCIAL SERVICES LLC 499 WASHINGTON BLVD JERSEY CITY, NJ 07310

Form 1099-DIV *	2020 Dividends and	d Distributions	Copy B for Recipien (OMB No. 1545-0110
1a Total Ordinary Dividends		7 Foreign Tax Paid	
4 Federal Income Tax Withheld	0.00	14 State Identification No	

Form 1099-INT *	2020 Interes	t Income	Copy B for Recipient (OMB No. 1545-0112)
1 Interest Income	0.00	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld	0.00	13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest		17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

Form 1099-MISC *	2020 Miscellane	Copy B for Recipient (OMB No. 1545-0115)
2 Royalties	0.00	
3 Other Income	0.00	15 State Tax Withheld
4 Federal Income Tax Withheld	0.00	16 State/Payer's State No.
8 Substitute Payments in Lieu of Dividends or Interest	0.00	17 State Income

Summary of 2020 Proce	eeds From Broker a	and Barter Exchan	ge Transactions	S		
1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is reported to the IRS	2,931,848.48	3,091,894.57	0.00	194,257.43	-160,046.09	0.00
Short-term transactions for which basis is not reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is not reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	2,931,848.48	3,091,894.57	0.00	194,257.43	-160,046.09	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2020 Original Issue Discount									
Total Original Issue Discount	Total Original Issue Discount - REMIC								
Total Original Issue Discount on Tax-Exempt Obligations	Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.								





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as gross proceeds unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity ,	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
ABBOTT LABOR	RATORIES, ABT, (002824100)							
Sale	20.000	08/27/20	0 09/03/20	2,151.75	2,248.20			-96.45		
ALIBABA GROU	IP HOLDING LTD	SPON AD	SEACH R, BABA, 016	609W102						
Sale	15.000	11/10/20	0 11/11/20	4,037.84	4,007.55			30.29		
Sale	5.000	11/10/20	11/11/20	1,349.11	1,335.85			13.26		
Sale	5.000	11/10/20	11/20/20	1,330.85	1,351.50		20.65	-20.65		
Sale	5.000	11/10/20	11/20/20	1,331.80	1,351.50		19.70	-19.70		
Sale	5.000	11/10/20	11/20/20	1,334.47	1,354.12		19.65	-19.65		
Sale	5.000	11/10/20	11/20/20	1,338.92	1,353.17		14.25	-14.25		
Sale	5.000	11/10/20	11/20/20	1,345.62	1,317.39			28.23		
Sale	5.000	11/10/20	11/20/20	1,345.62	1,311.99			33.63		
Subtotals				13,414.23	13,383.07		74.25			
ALPHABET INC	CAP STKCL A, G	OOGL, 02	079K305							
Sale	1.000	09/04/20	0 09/11/20	1,502.63	1,586.46			-83.83		
AMAZON.COM	INC, AMZN, 0231	35106								
Sale	1.000	09/11/20	09/11/20	3,106.79	3,213.46		106.67	-106.67		
Sale	1.000	09/11/20	09/11/20	3,107.49	3,301.67		194.18	-194.18		
Sale	1.000	09/11/20	0 09/23/20	2,997.21	3,291.91		294.70	-294.70		
Sale	1.000	09/14/20	0 09/23/20	2,997.21	3,161.19		163.98	-163.98		
Sale	1.000	09/17/20	0 09/23/20	2,997.21	2,987.95			9.26		
Sale	1.000	09/23/20	09/23/20	2,997.19	3,057.19		60.00	-60.00		
Sale	1.000	09/23/20	0 09/24/20	2,972.19	3,008.76			-36.57		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMAZON.COM	1 INC, AMZN, 02313	35106								
Sale	3.000	09/23/20	09/24/20	8,916.54	9,544.96		531.84	-628.42		
Sale	2.000	10/12/20	10/22/20	6,337.87	6,855.61		324.23	-517.74		
Sale	1.000	10/28/20	11/11/20	3,092.43	3,364.49		272.06	-272.06		
Sale	1.000	11/13/20	11/27/20	3,211.46	3,479.68		268.22	-268.22		
Sale	1.000	11/27/20	11/27/20	3,211.46	3,207.61			3.85		
Sale	1.000	11/18/20	12/03/20	3,220.51	3,472.22		251.71	-251.71		
Sale	1.000	11/30/20	12/16/20	3,219.92	3,388.95		169.03	-169.03		
Sale	1.000	12/15/20	12/17/20	3,239.86	3,137.24			102.62		
Sale	1.000	12/02/20	12/21/20	3,188.03	3,361.15		173.12	-173.12		
Subtotals				58,813.37	61,834.04		2,809.74			
AMC ENTERTA	AINMENT HOLDING	GS INC, AN	1C, 00165C104							
Sale	500.000	11/10/20	11/18/20	1,577.46	1,918.15		340.69	-340.69		
Sale	150.000	11/10/20	11/18/20	482.75	569.58		86.83	-86.83		
Sale	19.000	11/10/20	11/18/20	61.15	71.28		10.13	-10.13		
Sale	31.000	11/10/20	11/18/20	99.77	116.14		16.37	-16.37		
Sale	100.000	11/10/20	11/18/20	321.82	367.30		45.48	-45.48		
Sale	200.000	11/10/20	11/18/20	642.98	734.59		91.61	-91.61		
Sale	300.000	11/10/20	11/18/20	959.08	1,114.31		155.23	-155.23		
Sale	200.000	11/10/20	11/18/20	639.38	728.61		89.23	-89.23		
Sale	250.000	11/10/20	11/18/20	819.73	922.06		102.33	-102.33		
Sale	150.000	11/11/20	11/20/20	489.48	546.29		56.81	-56.81		
Sale	100.000	11/11/20	11/23/20	359.99	362.78		2.79	-2.79		





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FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMC ENTERTA	INMENT HOLDING	GS INC, AN	ИС, 00165C104							
Sale	100.000	11/11/20	11/23/20	357.41	356.29			1.12		
Sale	150.000	11/14/20	11/23/20	536.12	587.06		50.94	-50.94		
Sale	250.000	11/15/20	11/23/20	893.53	986.08		92.55	-92.55		
Sale	200.000	11/25/20	12/08/20	794.97	872.14		77.17	-77.17		
Sale	50.000	11/25/20	12/08/20	198.75	204.46		5.71	-5.71		
Sale	100.000	11/25/20	12/08/20	396.78	406.46		9.68	-9.68		
Sale	50.000	11/25/20	12/08/20	198.39	200.68		2.29	-2.29		
Sale	179.000	11/25/20	12/08/20	710.24	735.72		25.48	-25.48		
Sale	21.000	11/25/20	12/08/20	83.32	87.24		3.92	-3.92		
Sale	50.000	11/25/20	12/30/20	108.75	191.71		82.96	-82.96		
Sale	350.000	11/25/20	12/30/20	761.22	1,343.37		582.15	-582.15		
Sale	100.000	12/03/20	12/30/20	217.50	372.00		154.50	-154.50		
Sale	250.000	12/09/20	12/30/20	543.74	1,028.75		485.01	-485.01		
Sale	250.000	12/11/20	12/30/20	543.74	1,003.95		460.21	-460.21		
Sale	500.000	12/14/20	12/30/20	1,087.48	1,657.95		570.47	-570.47		
Sale	500.000	12/15/20	12/30/20	1,087.47	1,435.00		347.53	-347.53		
Subtotals				14,973.00	18,919.95		3,948.07			
AMERICAN AIR	LINES GROUP IN	с сом us	SD0.01, AAL, 02376R	102						
Sale	50.000	07/08/20	08/05/20	633.23	601.75			31.48		
Sale	50.000	07/08/20	08/05/20	635.69	601.75			33.94		
Sale	50.000	07/15/20	08/05/20	635.68	619.25			16.43		
Sale	50.000	07/15/20	08/05/20	623.62	619.25			4.37		

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RAJESH KUMAR GOLLA

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FORM 1099-B*

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1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity <i>1</i>	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN AIF	RLINES GROUP IN	с сом us	SD0.01, AAL, 02376R	102						
Sale	50.000	07/24/20	08/05/20	623.62	585.25			38.37		
Sale	50.000	07/24/20	08/06/20	638.24	585.25			52.99		
Sale	50.000	07/29/20	08/06/20	638.24	571.00			67.24		
Sale	1.000	08/03/20	08/06/20	12.76	11.10			1.66		
Sale	1.000	08/05/20	08/06/20	12.76	13.36		0.60	-0.60		
Sale	3.000	08/05/20	08/06/20	38.29	40.08		1.79	-1.79		
Sale	2.000	08/05/20	08/06/20	25.53	26.78		1.25	-1.25		
Sale	50.000	08/06/20	08/06/20	638.24	651.50		13.26	-13.26		
Sale	193.000	08/06/20	08/06/20	2,463.59	2,525.41		61.82	-61.82		
Sale	7.000	08/06/20	08/10/20	96.97	95.50			1.47		
Sale	150.000	08/07/20	08/10/20	2,078.20	1,993.09			85.11		
Sale	351.000	08/10/20	08/10/20	4,863.01	4,738.50			124.51		
Sale	92.000	08/10/20	08/10/20	1,274.63	1,271.47			3.16		
Sale	50.000	08/10/20	08/10/20	711.48	675.00			36.48		
Sale	7.000	08/10/20	08/24/20	92.75	94.50		1.75	-1.75		
Sale	100.000	08/11/20	08/24/20	1,324.97	1,510.00		185.03	-185.03		
Sale	50.000	08/11/20	08/24/20	662.49	736.25		73.76	-73.76		
Sale	43.000	08/11/20	08/24/20	569.73	607.38		37.65	-37.65		
Sale	7.000	08/10/20	08/25/20	93.03	100.62		7.59	-7.59		
Sale	50.000	08/11/20	08/25/20	664.49	815.27		150.78	-150.78		
Sale	50.000	08/11/20	08/25/20	664.49	753.29		88.80	-88.80		
Sale	43.000	08/11/20	08/25/20	571.46	561.18			10.28		
Sale	50.000	08/11/20	08/25/20	664.49	682.51		18.02	-18.02		





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Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN AI	RLINES GROUP IN	с сом из	SD0.01, AAL, 02376R	102						
Sale	7.000	08/21/20	08/25/20	93.03	85.22			7.81		
Sale	193.000	08/25/20	08/25/20	2,564.89	2,593.92		29.03	-29.03		
Sale	7.000	08/10/20	09/03/20	96.74	101.67		4.93	-4.93		
Sale	100.000	08/13/20	09/03/20	1,381.96	1,626.58		244.62	-244.62		
Sale	43.000	08/19/20	09/03/20	594.25	582.13			12.12		
Sale	50.000	09/02/20	09/03/20	701.23	667.87			33.36		
Sale	100.000	09/02/20	09/03/20	1,339.38	1,332.79			6.59		
Sale	107.000	08/14/20	09/09/20	1,382.87	1,698.95		316.08	-316.08		
Sale	7.000	09/02/20	09/09/20	90.47	93.29		2.82	-2.82		
Sale	343.000	09/04/20	09/09/20	4,432.88	4,646.21		213.33	-213.33		
Sale	43.000	09/04/20	09/09/20	555.73	588.94		33.21	-33.21		
Sale	7.000	09/02/20	09/16/20	95.63	97.64		2.01	-2.01		
Sale	193.000	09/11/20	09/16/20	2,636.66	3,001.97		365.31	-365.31		
Sale	7.000	09/11/20	09/24/20	80.18	99.60		19.42	-19.42		
Sale	143.000	09/13/20	09/24/20	1,638.03	1,973.18		335.15	-335.15		
Sale	57.000	09/02/20	09/30/20	733.29	920.11		186.82	-186.82		
Sale	7.000	09/13/20	09/30/20	90.05	96.59		6.54	-6.54		
Sale	36.000	09/23/20	09/30/20	463.13	483.19		20.06	-20.06		
Sale	100.000	09/23/20	09/30/20	1,236.97	1,342.20		105.23	-105.23		
Sale	7.000	09/23/20	10/09/20	93.10	93.95		0.85	-0.85		
Sale	150.000	09/28/20	10/09/20	1,995.01	2,368.02		373.01	-373.01		
Sale	100.000	10/02/20	10/09/20	1,330.01	1,555.72		225.71	-225.71		
Sale	100.000	10/02/20	10/09/20	1,330.01	1,447.53		117.52	-117.52		

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FORM 1099-B*

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Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN AI	IRLINES GROUP IN	с сом из	SD0.01, AAL, 02376R	102						
Sale	143.000	10/04/20	10/09/20	1,901.92	2,096.30		194.38	-194.38		
Sale	7.000	09/23/20	10/14/20	86.94	94.81		7.87	-7.87		
Sale	493.000	10/08/20	10/14/20	6,122.92	7,050.94		928.02	-928.02		
Sale	50.000	09/16/20	10/16/20	616.73	765.19		148.46	-148.46		
Sale	7.000	09/23/20	10/16/20	86.34	95.05		8.71	-8.71		
Sale	7.000	09/23/20	10/16/20	86.55	93.16		6.61	-6.61		
Sale	7.000	09/30/20	10/16/20	86.55	86.48			0.07		
Sale	186.000	10/03/20	10/16/20	2,299.84	2,684.53		384.69	-384.69		
Sale	343.000	10/07/20	10/16/20	4,230.53	4,937.88		707.35	-707.35		
Sale	57.000	10/07/20	10/16/20	713.05	783.99		70.94	-70.94		
Sale	143.000	10/09/20	10/16/20	1,788.89	1,848.66		59.77	-59.77		
Sale	7.000	09/19/20	10/22/20	91.89	89.92			1.97		
Sale	50.000	09/22/20	10/22/20	655.73	770.96		115.23	-115.23		
Sale	7.000	09/22/20	10/22/20	91.80	110.04		18.24	-18.24		
Sale	7.000	10/09/20	10/22/20	91.80	89.53			2.27		
Sale	100.000	10/09/20	10/22/20	1,311.47	1,449.45		137.98	-137.98		
Sale	86.000	10/09/20	10/22/20	1,127.86	1,257.12		129.26	-129.26		
Sale	250.000	10/09/20	10/22/20	3,281.69	3,602.54		320.85	-320.85		
Sale	43.000	10/13/20	10/22/20	563.93	575.85		11.92	-11.92		
Sale	85.000	10/13/20	10/22/20	1,114.75	1,160.97		46.22	-46.22		
Sale	114.000	10/13/20	10/22/20	1,496.45	1,468.67			27.78		
Sale	115.000	10/15/20	10/22/20	1,508.19	1,484.06			24.13		
Sale	7.000	10/22/20	10/22/20	91.82	88.00			3.82		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN AI	RLINES GROUP IN	с сом из	SD0.01, AAL, 02376R	102						
Sale	129.000	10/22/20	10/22/20	1,693.32	1,635.28			58.04		
Sale	7.000	09/23/20	10/23/20	87.88	104.02		16.14	-16.14		
Sale	200.000	10/10/20	10/23/20	2,534.93	2,802.30		267.37	-267.37		
Sale	50.000	10/10/20	10/23/20	633.73	681.80		48.07	-48.07		
Sale	7.000	10/22/20	10/23/20	88.72	88.74		0.02	-0.02		
Sale	50.000	10/23/20	10/23/20	633.74	633.25			0.49		
Sale	193.000	10/23/20	10/23/20	2,446.23	2,451.10		4.87	-4.87		
Sale	50.000	10/23/20	10/23/20	627.75	635.75		8.00	-8.00		
Sale	443.000	10/23/20	10/23/20	5,561.73	5,937.96		376.23	-376.23		
Sale	7.000	09/23/20	10/28/20	76.75	105.14		28.39	-28.39		
Sale	7.000	10/23/20	10/28/20	76.75	88.36		11.61	-11.61		
Sale	493.000	10/23/20	10/28/20	5,405.61	6,607.37		1,201.76	-1,201.76		
Sale	93.000	10/26/20	10/28/20	1,019.74	1,104.45		84.71	-84.71		
Sale	7.000	09/23/20	10/30/20	79.33	111.52		32.19	-32.19		
Sale	7.000	09/23/20	10/30/20	78.92	108.91		29.99	-29.99		
Sale	493.000	10/23/20	10/30/20	5,587.24	6,605.04		1,017.80	-1,017.80		
Sale	7.000	10/23/20	10/30/20	78.92	88.33		9.41	-9.41		
Sale	286.000	10/23/20	10/30/20	3,224.58	3,891.16		666.58	-666.58		
Sale	7.000	09/23/20	11/03/20	79.24	107.74		28.50	-28.50		
Sale	200.000	10/13/20	11/03/20	2,263.95	2,725.25		461.30	-461.30		
Sale	207.000	10/13/20	11/03/20	2,346.14	2,792.67		446.53	-446.53		
Sale	43.000	10/13/20	11/03/20	487.34	575.13		87.79	-87.79		
Sale	207.000	10/23/20	11/03/20	2,343.19	2,602.19		259.00	-259.00		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN AI	RLINES GROUP IN	с сом из	SD0.01, AAL, 02376R	102						
Sale	50.000	10/25/20	11/03/20	565.99	660.71		94.72	-94.72		
Sale	36.000	10/26/20	11/03/20	407.50	427.35		19.85	-19.85		
Sale	157.000	10/13/20	11/05/20	1,802.32	2,136.99		334.67	-334.67		
Sale	157.000	10/13/20	11/05/20	1,814.08	2,081.29		267.21	-267.21		
Sale	93.000	10/14/20	11/05/20	1,067.61	1,213.76		146.15	-146.15		
Sale	200.000	10/23/20	11/05/20	2,310.94	2,475.38		164.44	-164.44		
Sale	57.000	10/26/20	11/05/20	658.62	676.64		18.02	-18.02		
Sale	43.000	10/26/20	11/05/20	496.85	534.66		37.81	-37.81		
Sale	43.000	10/26/20	11/05/20	496.88	520.21		23.33	-23.33		
Sale	157.000	10/14/20	11/06/20	1,776.30	2,052.45		276.15	-276.15		
Sale	93.000	10/15/20	11/06/20	1,052.18	1,203.66		151.48	-151.48		
Sale	7.000	10/26/20	11/09/20	98.42	83.30			15.12		
Sale	243.000	10/27/20	11/09/20	3,416.50	2,962.25			454.25		
Sale	7.000	10/27/20	11/17/20	88.84	82.16			6.68		
Sale	25.000	10/27/20	11/17/20	317.30	297.76			19.54		
Sale	33.000	11/07/20	11/17/20	418.83	440.76		21.93	-21.93		
Sale	67.000	10/12/20	11/19/20	855.23	1,014.82		159.59	-159.59		
Sale	150.000	10/28/20	11/19/20	1,914.69	2,143.45		228.76	-228.76		
Sale	2.000	11/07/20	11/19/20	25.53	26.70		1.17	-1.17		
Sale	31.000	11/09/20	11/19/20	395.72	411.92		16.20	-16.20		
Sale	1.000	11/09/20	11/19/20	12.78	13.22		0.44	-0.44		
Sale	33.000	10/29/20	11/23/20	424.20	464.27		40.07	-40.07		
Sale	1.000	11/09/20	11/23/20	12.85	13.22		0.37	-0.37		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Syr	nbol, CUSIP							
Action	Quantity 7		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN AIRL	LINES GROUP IN	C COM US	D0.01, AAL, 02376R	102						
Sale	16.000	11/10/20	11/23/20	205.68	211.32		5.64	-5.64		
Sale	1.000	11/10/20	12/04/20	16.35	13.20			3.15		
Sale	84.000	11/01/20	12/09/20	1,491.31	1,712.07		220.76	-220.76		
Sale	16.000	11/29/20	12/09/20	284.06	301.76		17.70	-17.70		
Sale	50.000	12/01/20	12/28/20	811.51	904.57		93.06	-93.06		
Sale	166.000	12/04/20	12/28/20	2,694.25	3,072.62		378.37	-378.37		
Sale	1.000	12/04/20	12/28/20	16.23	17.61		1.38	-1.38		
Sale	100.000	12/04/20	12/28/20	1,623.04	1,955.45		332.41	-332.41		
Sale	183.000	12/14/20	12/28/20	2,970.14	3,142.05		171.91	-171.91		
Subtotals				144,353.28	158,018.70		14,850.10			
ANAPTYSBIO IN	IC COM, ANAB, 0	32724106								
Sale	49.000	07/24/20	08/06/20	877.33	949.14			-71.81		
Sale	1.000	07/24/20	08/28/20	17.01	19.37			-2.36		
Subtotals				894.34	968.51					
APEX GLOBAL E	BRANDS INC CO	M, APEX, 0	3755M300							
Sale	100.000	12/04/20	12/04/20	364.99	365.00			-0.01		
APEX TECHNOL	OGY ACQUISITI	ON CO COI	M CL A, APXT, 0376	8F102						
Sale	102.000	11/27/20	11/30/20	1,584.02	1,560.60			23.42		
Sale	398.000	11/27/20	11/30/20	6,180.80	6,069.50			111.30		
Sale	98.000	11/27/20	11/30/20	1,521.91	1,460.20			61.71		
Sale	402.000	11/27/20	11/30/20	6,242.92	6,021.96			220.96		

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
APEX TECHNO	LOGY ACQUISITI	ON CO CC	M CL A, APXT, 0376	68F102						
Sale	50.000	11/30/20	12/21/20	733.48	777.50		44.02	-44.02		
Sale	145.000	11/30/20	12/23/20	2,280.80	2,254.75			26.05		
Sale	5.000	11/30/20	12/23/20	78.65	77.70			0.95		
Sale	50.000	11/30/20	12/23/20	786.48	821.02		34.54	-34.54		
Sale	100.000	12/04/20	12/23/20	1,572.96	1,422.00			150.96		
Sale	50.000	12/05/20	12/29/20	751.23	878.29		127.06	-127.06		
Sale	150.000	12/28/20	12/29/20	2,253.70	2,531.25		277.55	-277.55		
Subtotals				23,986.95	23,874.77		483.17			
APHRIA INC CO	OM NPV ISIN #CAG	03765K104	9 SE, APHA, 03765I	K104						
Sale	250.000	11/02/20	11/02/20	1,204.72	1,185.75			18.97		
Sale	250.000	11/02/20	11/02/20	1,213.50	1,185.75			27.75		
Sale	150.000	11/10/20	11/11/20	836.23	834.00			2.23		
Sale	200.000	12/18/20	12/18/20	1,529.96	1,530.00			-0.04		
Subtotals				4,784.41	4,735.50					
APPLE INC CO	M USD0.00001, AA	APL, 03783	3100							
Sale	25.000	10/02/20	10/05/20	2,874.06	2,856.25			17.81		
Sale	25.000	10/05/20	10/05/20	2,874.06	2,876.98		2.92	-2.92		
Sale	25.000	10/29/20	11/03/20	2,769.44	2,776.67		7.23	-7.23		
Sale	25.000	11/03/20	11/03/20	2,769.43	2,727.75			41.68		
Sale	25.000	10/29/20	11/04/20	2,833.32	2,761.98			71.34		
Sale	25.000	11/03/20	11/04/20	2,833.32	2,754.75			78.57		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
APPLE INC COM	M USD0.00001, AA	APL, 03783	3100							
Sale	50.000	11/10/20	11/11/20	5,897.61	5,828.75			68.86		
Sale	10.000	11/17/20	11/18/20	1,195.18	1,202.16		6.98	-6.98		
Sale	10.000	11/17/20	11/18/20	1,195.18	1,198.60		3.42	-3.42		
Sale	20.000	12/08/20	12/10/20	2,463.34	2,523.80			-60.46		
Sale	5.000	12/09/20	12/10/20	615.84	628.35			-12.51		
Subtotals				28,320.78	28,136.04		20.55			
AURORA CANN	ABIS INC COM N	PV POST F	REV SPL, ACB, 0515	6X884						
Sale	151.000	09/23/20	11/17/20	1,092.44	1,928.20		835.76	-835.76		
Sale	250.000	10/27/20	11/17/20	1,809.23	2,925.22		1,115.99	-1,115.99		
Sale	151.000	10/27/20	11/17/20	1,092.77	1,219.93		127.16	-127.16		
Sale	401.000	10/27/20	11/17/20	2,879.10	4,063.58		1,184.48	-1,184.48		
Sale	1.000	10/30/20	11/17/20	7.18	7.95		0.77	-0.77		
Sale	99.000	11/01/20	11/17/20	716.42	815.13		98.71	-98.71		
Sale	98.000	11/01/20	11/17/20	703.64	789.16		85.52	-85.52		
Sale	303.000	11/01/20	11/17/20	2,192.15	2,347.51		155.36	-155.36		
Sale	46.000	11/01/20	11/17/20	332.83	324.14			8.69		
Sale	151.000	09/24/20	11/18/20	1,097.83	1,929.75		831.92	-831.92		
Sale	250.000	10/28/20	11/18/20	1,817.60	2,195.19		377.59	-377.59		
Sale	1.000	10/31/20	11/18/20	7.27	8.02		0.75	-0.75		
Sale	53.000	11/01/20	11/18/20	385.33	373.46			11.87		
Sale	45.000	11/01/20	11/18/20	327.18	371.42		44.24	-44.24		
Sale	100.000	08/23/20	11/20/20	738.88	1,265.43		526.55	-526.55		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AURORA CAN	NABIS INC COM N	PV POST F	REV SPL, ACB, 0515	6X884						
Sale	147.000	09/10/20	11/20/20	1,078.80	1,855.73		776.93	-776.93		
Sale	200.000	09/24/20	11/20/20	1,464.64	2,403.55		938.91	-938.91		
Sale	100.000	09/24/20	11/20/20	738.88	1,097.38		358.50	-358.50		
Sale	200.000	09/24/20	11/20/20	1,478.56	2,311.66		833.10	-833.10		
Sale	103.000	10/28/20	11/20/20	755.90	990.13		234.23	-234.23		
Sale	50.000	10/28/20	11/20/20	369.44	395.94		26.50	-26.50		
Sale	97.000	10/28/20	11/20/20	717.10	763.59		46.49	-46.49		
Sale	50.000	10/28/20	11/20/20	369.64	390.85		21.21	-21.21		
Sale	50.000	10/28/20	11/20/20	369.64	381.95		12.31	-12.31		
Sale	3.000	10/30/20	11/20/20	22.19	23.92		1.73	-1.73		
Sale	1.000	10/30/20	11/20/20	7.40	7.97		0.57	-0.57		
Sale	53.000	11/01/20	11/20/20	392.36	428.18		35.82	-35.82		
Sale	46.000	11/02/20	11/20/20	340.55	401.38		60.83	-60.83		
Sale	1.000	08/25/20	11/23/20	8.49	8.90		0.41	-0.41		
Sale	100.000	08/26/20	11/23/20	849.98	1,085.55		235.57	-235.57		
Sale	50.000	08/26/20	11/23/20	427.99	477.18		49.19	-49.19		
Sale	49.000	08/26/20	11/23/20	416.00	402.61			13.39		
Sale	50.000	08/26/20	11/23/20	424.49	476.49		52.00	-52.00		
Sale	200.000	09/27/20	11/23/20	1,697.96	2,251.69		553.73	-553.73		
Sale	50.000	10/31/20	11/23/20	424.49	378.61			45.88		
Sale	1.000	08/25/20	11/24/20	8.99	7.59			1.40		
Sale	50.000	08/27/20	11/24/20	449.53	507.00		57.47	-57.47		
Sale	50.000	09/13/20	11/24/20	449.53	474.44		24.91	-24.91		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AURORA CAN	INABIS INC COM N	PV POST I	REV SPL, ACB, 0515	6X884						
Sale	50.000	09/27/20	11/24/20	449.53	443.07			6.46		
Sale	147.000	10/31/20	11/24/20	1,321.62	1,098.72			222.90		
Sale	53.000	11/02/20	11/24/20	476.50	462.25			14.25		
Sale	98.000	11/02/20	11/24/20	881.08	795.53			85.55		
Sale	51.000	11/02/20	11/24/20	458.52	397.04			61.48		
Sale	100.000	09/13/20	11/25/20	884.98	800.48			84.50		
Sale	199.000	11/02/20	11/25/20	1,761.11	1,544.76			216.35		
Sale	51.000	11/02/20	11/25/20	451.34	386.66			64.68		
Sale	2.000	11/02/20	11/27/20	20.19	15.16			5.03		
Sale	3.000	11/02/20	11/27/20	30.28	23.01			7.27		
Sale	46.000	11/03/20	11/27/20	464.34	373.11			91.23		
Sale	100.000	11/05/20	11/27/20	1,009.44	806.53			202.91		
Sale	49.000	11/23/20	11/27/20	494.63	351.87			142.76		
Sale	50.000	11/24/20	11/27/20	504.74	455.00			49.74		
Sale	100.000	11/24/20	11/27/20	1,033.48	910.00			123.48		
Sale	150.000	11/25/20	11/27/20	1,550.21	1,288.50			261.71		
Sale	250.000	11/25/20	11/27/20	2,583.69	2,160.25			423.44		
Sale	71.000	11/27/20	11/30/20	783.75	763.96			19.79		
Sale	179.000	11/27/20	11/30/20	1,975.93	1,927.83			48.10		
Sale	50.000	12/01/20	12/01/20	503.48	510.00		6.52	-6.52		
Sale	125.000	12/01/20	12/02/20	1,329.97	1,275.00			54.97		
Sale	25.000	12/01/20	12/02/20	263.99	255.00			8.99		
Sale	200.000	12/02/20	12/02/20	2,145.75	2,018.20			127.55		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Syl	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AURORA CAN	INABIS INC COM N	PV POST F	REV SPL, ACB, 051	56X884						
Sale	50.000	12/02/20	12/02/20	536.44	511.07			25.37		
Sale	250.000	12/02/20	12/02/20	2,817.43	2,667.25			150.18		
Sale	250.000	12/02/20	12/02/20	2,729.68	2,740.00		10.32	-10.32		
Sale	250.000	12/02/20	12/03/20	2,752.18	2,733.07			19.11		
Sale	100.000	12/03/20	12/04/20	1,168.97	1,134.50			34.47		
Sale	100.000	12/04/20	12/09/20	987.46	1,097.50		110.04	-110.04		
Sale	150.000	12/04/20	12/15/20	1,528.01	1,646.25		118.24	-118.24		
Sale	100.000	12/04/20	12/15/20	1,018.68	1,098.34		79.66	-79.66		
Sale	250.000	12/09/20	12/15/20	2,546.69	2,470.75			75.94		
Sale	150.000	12/04/20	12/23/20	1,424.28	1,600.69		176.41	-176.41		
Sale	100.000	12/04/20	12/23/20	949.52	1,045.96		96.44	-96.44		
Sale	400.000	12/15/20	12/23/20	3,798.08	3,865.20		67.12	-67.12		
Sale	500.000	12/15/20	12/23/20	4,747.59	5,285.00		537.41	-537.41		
Sale	500.000	12/21/20	12/23/20	4,747.59	4,587.50			160.09		
Sale	850.000	12/23/20	12/23/20	8,070.91	8,046.61			24.30		
Sale	650.000	12/15/20	12/29/20	5,505.77	6,493.26		987.49	-987.49		
Sale	500.000	12/15/20	12/29/20	4,235.21	5,330.71		1,095.50	-1,095.50		
Sale	450.000	12/23/20	12/29/20	3,811.68	4,280.85		469.17	-469.17		
Sale	50.000	12/04/20	12/30/20	433.79	584.26		150.47	-150.47		
Sale	950.000	12/15/20	12/30/20	8,242.01	9,623.88		1,381.87	-1,381.87		
Sale	100.000	08/04/20	10/08/20	504.69	1,073.39		568.70	-568.70		
Sale	50.000	08/21/20	10/08/20	252.34	472.88		220.54	-220.54		
Sale	50.000	09/04/20	10/08/20	252.34	424.78		172.44	-172.44		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AURORA CAN	NABIS INC COM N	PV POST F	REV SPL, ACB, 0515	6X884						
Sale	200.000	09/04/20	10/08/20	1,026.97	1,923.68		896.71	-896.71		
Sale	200.000	10/05/20	10/08/20	1,009.38	936.00			73.38		
Sale	100.000	10/08/20	10/08/20	504.69	475.00			29.69		
Sale	100.000	10/08/20	10/08/20	513.49	488.16			25.33		
Sale	200.000	09/04/20	10/13/20	951.12	1,873.03		921.91	-921.91		
Sale	500.000	10/08/20	10/13/20	2,377.80	2,446.50		68.70	-68.70		
Sale	300.000	10/09/20	10/13/20	1,426.68	1,629.48		202.80	-202.80		
Sale	500.000	10/12/20	10/13/20	2,377.79	2,476.50		98.71	-98.71		
Sale	1.000	08/05/20	10/19/20	4.60	10.16		5.56	-5.56		
Sale	500.000	10/09/20	10/19/20	2,242.00	3,256.03		1,014.03	-1,014.03		
Sale	200.000	10/14/20	10/19/20	919.38	870.64			48.74		
Sale	299.000	10/15/20	10/19/20	1,374.46	1,462.65		88.19	-88.19		
Sale	1.000	08/07/20	10/21/20	4.74	10.46		5.72	-5.72		
Sale	499.000	10/11/20	10/21/20	2,362.90	3,451.04		1,088.14	-1,088.14		
Sale	1.000	10/15/20	10/21/20	4.74	4.89		0.15	-0.15		
Sale	250.000	10/17/20	10/21/20	1,183.82	1,252.49		68.67	-68.67		
Sale	49.000	10/17/20	10/21/20	232.03	249.16		17.13	-17.13		
Sale	200.000	10/18/20	10/21/20	947.06	952.64		5.58	-5.58		
Sale	101.000	08/24/20	10/23/20	471.26	1,063.20		591.94	-591.94		
Sale	300.000	10/12/20	10/23/20	1,399.76	1,894.44		494.68	-494.68		
Sale	300.000	10/18/20	10/23/20	1,399.77	1,428.96		29.19	-29.19		
Sale	200.000	10/18/20	10/23/20	933.18	1,022.93		89.75	-89.75		
Sale	99.000	10/18/20	10/23/20	461.92	512.50		50.58	-50.58		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AURORA CAN	INABIS INC COM N	PV POST I	REV SPL, ACB, 0515	6X884						
Sale	801.000	10/18/20	10/27/20	3,336.65	4,895.42		1,558.77	-1,558.77		
Sale	100.000	10/18/20	10/27/20	416.56	505.29		88.73	-88.73		
Sale	99.000	10/18/20	10/27/20	412.39	512.91		100.52	-100.52		
Sale	101.000	10/19/20	10/27/20	420.73	518.86		98.13	-98.13		
Sale	199.000	10/20/20	10/27/20	828.96	931.90		102.94	-102.94		
Sale	300.000	10/23/20	10/27/20	1,249.68	1,401.00		151.32	-151.32		
Sale	1.000	08/07/20	10/29/20	4.03	11.03		7.00	-7.00		
Sale	999.000	10/19/20	10/29/20	4,030.88	5,760.71		1,729.83	-1,729.83		
Sale	500.000	10/24/20	10/29/20	2,027.45	2,255.36		227.91	-227.91		
Sale	401.000	10/14/20	10/30/20	1,593.93	2,849.91		1,255.98	-1,255.98		
Sale	1.000	10/24/20	10/30/20	3.97	4.49		0.52	-0.52		
Sale	98.000	10/26/20	10/30/20	389.55	436.05		46.50	-46.50		
Sale	250.000	10/23/20	11/02/20	1,127.22	1,324.43		197.21	-197.21		
Sale	151.000	09/14/20	11/03/20	743.64	1,601.67		858.03	-858.03		
Sale	250.000	10/18/20	11/03/20	1,231.22	1,603.08		371.86	-371.86		
Sale	99.000	10/18/20	11/03/20	487.58	561.45		73.87	-73.87		
Sale	101.000	08/30/20	11/04/20	449.93	1,094.39		644.46	-644.46		
Sale	401.000	10/18/20	11/04/20	1,884.65	3,198.80		1,314.15	-1,314.15		
Sale	300.000	10/18/20	11/04/20	1,336.47	2,022.45		685.98	-685.98		
Sale	99.000	10/19/20	11/04/20	465.29	519.06		53.77	-53.77		
Sale	349.000	10/24/20	11/04/20	1,554.76	1,860.73		305.97	-305.97		
Sale	100.000	10/24/20	11/04/20	445.49	517.11		71.62	-71.62		
Sale	52.000	10/24/20	11/04/20	231.65	299.60		67.95	-67.95		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AURORA CANN	ABIS INC COM N	PV POST R	REV SPL, ACB, 0515	6X884						
Sale	98.000	10/24/20	11/04/20	436.60	557.97		121.37	-121.37		
Sale	250.000	10/19/20	11/05/20	1,342.47	2,396.44		1,053.97	-1,053.97		
Sale	151.000	10/19/20	11/05/20	826.44	916.14		89.70	-89.70		
Sale	99.000	10/20/20	11/05/20	541.85	543.13		1.28	-1.28		
Sale	1.000	10/24/20	11/05/20	6.10	5.69			0.41		
Sale	1.000	10/26/20	11/05/20	6.10	4.45			1.65		
Sale	26.000	10/26/20	11/05/20	158.60	132.85			25.75		
Sale	25.000	10/26/20	11/05/20	152.50	127.57			24.93		
Sale	349.000	10/29/20	11/05/20	2,128.85	1,820.34			308.51		
Sale	98.000	10/30/20	11/05/20	597.78	527.73			70.05		
Sale	1.000	10/30/20	11/09/20	13.20	5.38			7.82		
Subtotals				167,915.00	197,375.94		32,971.03			
AZUL SA SPON	ADR EACH REP	3 PFD SHS	, AZUL, 05501U106							
Sale	100.000	09/04/20	09/09/20	1,479.96	1,400.00			79.96		
Sale	50.000	10/30/20	10/30/20	591.49	592.28		0.79	-0.79		
Sale	100.000	10/30/20	10/30/20	1,183.47	1,184.55		1.08	-1.08		
Sale	100.000	10/30/20	11/04/20	1,241.02	1,184.55			56.47		
Sale	50.000	10/30/20	11/04/20	624.24	592.79			31.45		
Sale	50.000	10/30/20	11/04/20	624.23	592.54			31.69		
Sale	200.000	11/09/20	11/09/20	3,341.92	3,340.00			1.92		
Sale	50.000	11/09/20	11/09/20	835.48	835.54		0.06	-0.06		
Sale	250.000	11/09/20	11/09/20	4,177.41	4,170.00			7.41		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AZUL SA SPON	ADR EACH REP	3 PFD SH	S, AZUL, 05501U106							
Sale	40.000	11/13/20	11/16/20	684.98	632.68			52.30		
Sale	150.000	11/13/20	11/16/20	2,568.69	2,372.25			196.44		
Sale	10.000	11/13/20	11/16/20	171.25	158.16			13.09		
Subtotals				17,524.14	17,055.34		1.93			
BELLUS HEALTH	H INC COM NPV	(POST RE	V SPLI, BLU, 07987C	204						
Sale	200.000	11/20/20	12/10/20	629.98	642.56			-12.58		
BEST BUY CO IN	NC COM USD0.10	0, BBY, 08	6516101							
Sale	50.000	11/25/20	12/10/20	5,112.38	5,699.50			-587.12		
BEYOND MEAT	INC COM, BYND	, 08862E10	09							
Sale	5.000	11/09/20	11/10/20	589.98	546.95			43.03		
Sale	5.000	11/10/20	11/12/20	645.24	582.34			62.90		
Subtotals				1,235.22	1,129.29					
BLACKBERRY L	TD COM ISIN #C	A09228F1	036 SE, BB, 09228F1	03						
Sale	100.000	12/17/20	12/18/20	697.98	812.69		114.71	-114.71		
Sale	100.000	12/17/20	12/28/20	686.82	812.69			-125.87		
Sale	100.000	12/17/20	12/28/20	686.82	816.21			-129.39		
Sale	100.000	12/18/20	12/28/20	686.83	701.00			-14.17		
Subtotals				2,758.45	3,142.59		114.71			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
BLINK CHARGIN	NG CO COM, BLN	IK, 09354A1	100							
Sale	50.000	12/02/20	12/07/20	1,347.72	1,075.01			272.71		
Sale	50.000	12/02/20	12/10/20	1,386.96	1,075.00			311.96		
Subtotals				2,734.68	2,150.01					
BOEING CO CO	M USD5.00, BA, 0	097023105								
Sale	1.000	11/09/20	11/10/20	191.25	175.79			15.46		
BOYD GAMING	CORP, BYD, 103	304101								
Sale	1.000	11/27/20	12/01/20	38.52	39.60			-1.08		
CAESARS ENTE	ERTAINMENT INC	NEW CON	Л, CZR, 12769G100							
Sale	10.000	08/25/20	09/03/20	481.71	441.75			39.96		
CANOPY GROW	TH CORPORATI	ON COM N	PV ISIN #, CGC, 138	035100						
Sale	200.000	09/23/20	09/24/20	2,805.93	2,981.72			-175.79		
Sale	100.000	11/03/20	11/04/20	1,848.95	2,064.50		215.55	-215.55		
Sale	100.000	11/03/20	11/05/20	2,029.96	2,091.05		61.09	-61.09		
Sale	100.000	11/03/20	11/05/20	2,080.95	2,068.94			12.01		
Sale	100.000	11/05/20	11/05/20	2,029.96	2,007.50			22.46		
Sale	200.000	11/05/20	11/05/20	4,059.90	4,015.70			44.20		
Sale	50.000	11/05/20	11/05/20	1,040.48	1,003.93			36.55		
Sale	150.000	11/05/20	11/05/20	3,194.92	3,011.77			183.15		
Sale	100.000	11/05/20	11/06/20	2,228.95	2,120.00			108.95		
Sale	100.000	11/06/20	11/06/20	2,228.95	2,139.00			89.95		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

A .:										
Action	,		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CANOPY GROW	TH CORPORATION	ON COM NE	PV ISIN #, CGC, 138	3035100						
Sale	50.000	11/06/20	11/06/20	1,130.47	1,070.00			60.47		
Sale	1.000	11/06/20	11/09/20	25.49	22.61			2.88		
Sale	200.000	12/15/20	12/15/20	5,449.87	5,460.00			-10.13		
Subtotals				30,154.78	30,056.72		276.64			
CARNIVAL COR	P PAIREDCTF, C	CL, 143658	300							
Sale	50.000	07/28/20	08/10/20	768.60	715.88			52.72		
Sale	50.000	07/28/20	08/10/20	756.98	715.88			41.10		
Sale	1.000	07/31/20	08/10/20	15.43	13.88			1.55		
Sale	49.000	08/07/20	08/10/20	756.30	668.77			87.53		
Sale	1.000	08/07/20	08/11/20	16.04	13.65			2.39		
Sale	49.000	08/07/20	08/11/20	786.14	689.43			96.71		
Sale	1.000	08/07/20	08/24/20	15.71	14.07			1.64		
Sale	50.000	08/11/20	08/24/20	785.48	789.93		4.45	-4.45		
Sale	50.000	08/12/20	08/24/20	785.48	755.92			29.56		
Sale	99.000	08/12/20	08/24/20	1,555.26	1,477.90			77.36		
Sale	1.000	08/11/20	08/25/20	15.58	15.02			0.56		
Sale	1.000	08/11/20	08/25/20	15.58	15.84		0.26	-0.26		
Sale	48.000	08/11/20	08/25/20	748.06	774.67		26.61	-26.61		
Sale	52.000	08/24/20	08/25/20	810.40	834.60		24.20	-24.20		
Sale	98.000	08/25/20	08/25/20	1,527.31	1,602.30		74.99	-74.99		
Sale	1.000	08/11/20	08/31/20	16.75	16.61			0.14		
Sale	1.000	08/11/20	08/31/20	16.75	16.90		0.15	-0.15		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	,	•							
Action	Quantity <i>f</i>	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CARNIVAL CO	RP PAIREDCTF, C	CL, 143658	3300							
Sale	4.000	08/11/20	08/31/20	67.02	65.06			1.96		
Sale	1.000	08/11/20	09/03/20	17.46	16.26			1.20		
Sale	1.000	08/13/20	09/03/20	17.46	16.83			0.63		
Sale	148.000	09/02/20	09/03/20	2,584.02	2,556.71			27.31		
Sale	6.000	09/02/20	09/03/20	107.64	100.05			7.59		
Sale	44.000	09/02/20	09/03/20	789.34	767.37			21.97		
Sale	50.000	09/02/20	09/03/20	904.48	833.75			70.73		
Sale	50.000	09/02/20	09/03/20	914.06	833.75			80.31		
Sale	1.000	09/02/20	09/09/20	17.39	16.67			0.72		
Sale	299.000	09/04/20	09/09/20	5,203.98	5,427.33		223.35	-223.35		
Sale	1.000	09/04/20	09/16/20	16.55	18.15		1.60	-1.60		
Sale	149.000	09/10/20	09/16/20	2,465.89	2,499.77		33.88	-33.88		
Sale	1.000	09/05/20	09/18/20	15.34	18.93		3.59	-3.59		
Sale	1.000	09/10/20	09/18/20	15.31	16.78		1.47	-1.47		
Sale	1.000	09/10/20	09/18/20	15.34	16.66		1.32	-1.32		
Sale	49.000	09/11/20	09/18/20	750.26	819.30		69.04	-69.04		
Sale	2.000	09/11/20	09/18/20	30.68	33.38		2.70	-2.70		
Sale	96.000	09/11/20	09/18/20	1,472.78	1,575.59		102.81	-102.81		
Sale	3.000	09/11/20	09/24/20	41.12	49.24		8.12	-8.12		
Sale	48.000	09/11/20	09/24/20	657.99	795.17		137.18	-137.18		
Sale	51.000	09/11/20	09/24/20	699.12	832.12		133.00	-133.00		
Sale	49.000	09/11/20	09/24/20	671.70	799.00		127.30	-127.30		
Sale	149.000	09/12/20	09/24/20	2,042.49	2,564.59		522.10	-522.10		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CARNIVAL CO	ORP PAIREDCTF, C	CL, 143658	3300							
Sale	1.000	09/05/20	09/25/20	14.59	20.29		5.70	-5.70		
Sale	1.000	09/05/20	09/25/20	14.91	20.26		5.35	-5.35		
Sale	1.000	09/05/20	09/25/20	14.95	20.17		5.22	-5.22		
Sale	100.000	09/11/20	09/25/20	1,495.14	1,669.06		173.92	-173.92		
Sale	299.000	09/12/20	09/25/20	4,363.35	5,051.17		687.82	-687.82		
Sale	299.000	09/12/20	09/25/20	4,457.09	5,120.50		663.41	-663.41		
Sale	1.000	09/24/20	09/25/20	14.59	13.81			0.78		
Sale	199.000	09/25/20	09/25/20	2,904.05	2,897.44			6.61		
Sale	200.000	09/25/20	09/25/20	2,981.33	2,965.00			16.33		
Sale	99.000	09/25/20	09/25/20	1,480.20	1,467.68			12.52		
Sale	1.000	09/08/20	09/28/20	15.29	20.37		5.08	-5.08		
Sale	100.000	09/11/20	09/28/20	1,529.14	1,656.42		127.28	-127.28		
Sale	100.000	09/11/20	09/28/20	1,525.61	1,664.28		138.67	-138.67		
Sale	50.000	09/14/20	09/28/20	762.81	821.84		59.03	-59.03		
Sale	149.000	09/15/20	09/28/20	2,278.43	2,602.54		324.11	-324.11		
Sale	51.000	09/11/20	09/29/20	766.21	833.20		66.99	-66.99		
Sale	50.000	09/12/20	09/29/20	751.18	823.43		72.25	-72.25		
Sale	99.000	09/15/20	09/29/20	1,487.36	1,713.78		226.42	-226.42		
Sale	52.000	09/14/20	09/30/20	812.99	855.87		42.88	-42.88		
Sale	1.000	09/15/20	09/30/20	15.63	17.32		1.69	-1.69		
Sale	47.000	09/15/20	09/30/20	734.84	835.99		101.15	-101.15		
Sale	3.000	09/15/20	09/30/20	46.90	53.36		6.46	-6.46		
Sale	48.000	09/15/20	09/30/20	750.38	780.25		29.87	-29.87		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

'	of property, Stock of	,	•							
Action	Quantity <i>f</i>	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CARNIVAL CO	RP PAIREDCTF, C	CL, 143658	3300							
Sale	49.000	09/15/20	09/30/20	766.02	854.64		88.62	-88.62		
Sale	1.000	09/11/20	10/06/20	15.86	15.77			0.09		
Sale	1.000	09/11/20	10/06/20	15.86	18.51		2.65	-2.65		
Sale	50.000	09/13/20	10/06/20	793.23	773.46			19.77		
Sale	49.000	09/14/20	10/06/20	777.37	803.92		26.55	-26.55		
Sale	48.000	09/14/20	10/06/20	761.26	747.96			13.30		
Sale	1.000	09/15/20	10/06/20	15.86	16.25		0.39	-0.39		
Sale	1.000	09/16/20	10/06/20	15.86	15.51			0.35		
Sale	50.000	09/17/20	10/06/20	792.98	861.91		68.93	-68.93		
Sale	48.000	09/17/20	10/06/20	761.26	805.80		44.54	-44.54		
Sale	48.000	09/17/20	10/06/20	761.26	732.83			28.43		
Sale	52.000	09/17/20	10/06/20	824.70	856.62		31.92	-31.92		
Sale	152.000	10/02/20	10/06/20	2,410.67	2,296.72			113.95		
Sale	50.000	09/19/20	10/09/20	789.48	869.68		80.20	-80.20		
Sale	50.000	09/19/20	10/09/20	789.48	834.30		44.82	-44.82		
Sale	52.000	09/19/20	10/09/20	821.06	844.21		23.15	-23.15		
Sale	48.000	10/08/20	10/09/20	757.90	750.24			7.66		
Sale	50.000	10/08/20	10/09/20	789.48	780.75			8.73		
Sale	250.000	10/09/20	10/09/20	3,947.42	3,978.75		31.33	-31.33		
Sale	300.000	10/09/20	10/12/20	4,579.38	4,913.20		333.82	-333.82		
Sale	98.000	10/09/20	10/12/20	1,495.95	1,547.91		51.96	-51.96		
Sale	102.000	10/09/20	10/12/20	1,557.00	1,623.87		66.87	-66.87		
Sale	1.000	09/13/20	10/13/20	14.78	18.73		3.95	-3.95		

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02/07/2021 9006000000 Pages 25 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CARNIVAL CO	ORP PAIREDCTF, C	CL, 14365	8300							
Sale	499.000	10/09/20	10/13/20	7,375.05	8,091.11		716.06	-716.06		
Sale	1.000	09/13/20	10/16/20	14.06	19.26		5.20	-5.20		
Sale	499.000	10/10/20	10/16/20	7,014.28	7,741.98		727.70	-727.70		
Sale	1.000	09/13/20	10/20/20	14.43	19.28		4.85	-4.85		
Sale	499.000	10/10/20	10/20/20	7,202.89	7,645.34		442.45	-442.45		
Sale	201.000	10/10/20	10/20/20	2,945.57	3,120.19		174.62	-174.62		
Sale	149.000	10/10/20	10/20/20	2,183.56	2,265.21		81.65	-81.65		
Sale	150.000	10/10/20	10/20/20	2,189.20	2,265.46		76.26	-76.26		
Sale	200.000	10/10/20	10/20/20	2,918.93	3,083.00		164.07	-164.07		
Sale	1.000	10/16/20	10/20/20	14.43	13.86			0.57		
Sale	99.000	10/16/20	10/20/20	1,429.05	1,406.30			22.75		
Sale	1.000	10/10/20	10/22/20	14.91	14.76			0.15		
Sale	100.000	10/12/20	10/22/20	1,491.46	1,526.26		34.80	-34.80		
Sale	49.000	10/12/20	10/22/20	730.82	746.52		15.70	-15.70		
Sale	250.000	10/12/20	10/22/20	3,728.67	3,884.38		155.71	-155.71		
Sale	1.000	09/16/20	10/29/20	12.57	19.44		6.87	-6.87		
Sale	1.000	09/16/20	10/29/20	12.70	22.36		9.66	-9.66		
Sale	2.000	09/20/20	10/29/20	25.12	38.17		13.05	-13.05		
Sale	99.000	09/23/20	10/29/20	1,244.40	1,620.81		376.41	-376.41		
Sale	1.000	09/23/20	10/29/20	12.56	15.91		3.35	-3.35		
Sale	98.000	09/23/20	10/29/20	1,230.86	1,749.54		518.68	-518.68		
Sale	1.000	10/12/20	10/29/20	12.56	15.17		2.61	-2.61		
Sale	99.000	10/13/20	10/29/20	1,243.42	1,546.43		303.01	-303.01		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CARNIVAL COR	P PAIREDCTF, C	CL, 143658	3300							
Sale	200.000	10/16/20	10/29/20	2,511.95	2,945.21		433.26	-433.26		
Sale	98.000	10/16/20	10/29/20	1,230.86	1,401.54		170.68	-170.68		
Sale	400.000	10/16/20	10/29/20	5,081.23	6,231.64		1,150.41	-1,150.41		
Sale	1.000	10/26/20	10/29/20	12.53	14.05		1.52	-1.52		
Sale	99.000	10/26/20	10/29/20	1,257.60	1,401.37		143.77	-143.77		
Sale	100.000	10/29/20	10/29/20	1,270.34	1,241.57			28.77		
Sale	1.000	09/16/20	11/03/20	13.79	22.08		8.29	-8.29		
Sale	249.000	10/17/20	11/03/20	3,434.48	4,238.69		804.21	-804.21		
Sale	1.000	10/17/20	11/05/20	13.99	16.18		2.19	-2.19		
Sale	49.000	10/22/20	11/05/20	685.71	771.82			-86.11		
Sale	1.000	09/19/20	11/09/20	19.29	21.99			-2.70		
Sale	1.000	10/22/20	11/09/20	19.29	15.74			3.55		
Sale	173.000	10/24/20	11/09/20	3,337.27	2,666.16			671.11		
Sale	25.000	10/24/20	11/09/20	482.36	377.95			104.41		
Sale	50.000	10/05/20	11/13/20	788.73	1,092.31			-303.58		
Sale	50.000	10/05/20	11/13/20	805.60	1,056.46			-250.86		
Sale	1.000	10/24/20	11/13/20	16.05	17.63			-1.58		
Sale	100.000	10/26/20	11/13/20	1,605.45	1,755.12			-149.67		
Sale	49.000	10/26/20	11/13/20	786.69	874.27			-87.58		
Sale	1.000	11/03/20	12/04/20	23.07	14.94			8.13		
Sale	200.000	12/16/20	12/16/20	4,313.90	4,329.52			-15.62		
Subtotals				153,152.04	164,066.26		11,688.16			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sym	bol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CIIG MERGER (CORP COMCL A,	CIIC, 125590	C103							
Sale	25.000	11/25/20	12/03/20	532.74	627.50			-94.76		
Sale	25.000	11/27/20	12/03/20	532.74	589.38			-56.64		
Sale	50.000	12/01/20	12/03/20	1,065.47	1,006.50			58.97		
Subtotals				2,130.95	2,223.38					
CINEMARK HLD	GS INC COM, CN	NK, 17243V1	02							
Sale	100.000	10/09/20	10/13/20	780.14	850.90		70.76	-70.76		
Sale	199.000	10/12/20	10/13/20	1,552.48	1,667.62		115.14	-115.14		
Sale	1.000	10/09/20	10/14/20	8.23	9.09		0.86	-0.86		
Sale	298.000	10/13/20	10/14/20	2,452.48	2,713.72		261.24	-261.24		
Sale	201.000	10/14/20	10/14/20	1,654.19	1,705.49		51.30	-51.30		
Sale	1.000	10/09/20	10/19/20	8.74	9.34		0.60	-0.60		
Sale	1.000	10/09/20	10/19/20	8.69	8.50			0.19		
Sale	99.000	10/11/20	10/19/20	865.24	877.92		12.68	-12.68		
Sale	99.000	10/11/20	10/19/20	860.29	887.84		27.55	-27.55		
Sale	300.000	10/15/20	10/19/20	2,621.94	2,563.20			58.74		
Sale	50.000	10/15/20	10/19/20	434.49	408.01			26.48		
Sale	50.000	10/15/20	10/19/20	428.09	408.01			20.08		
Sale	95.000	10/19/20	10/19/20	813.36	839.80		26.44	-26.44		
Sale	99.000	10/13/20	10/22/20	886.53	873.01			13.52		
Sale	6.000	10/19/20	10/22/20	53.73	53.04			0.69		
Sale	6.000	10/21/20	10/22/20	53.73	51.24			2.49		
Sale	95.000	10/21/20	10/22/20	850.71	837.74			12.97		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK H	LDGS INC COM, CN	NK, 17243V	102							
Sale	93.000	10/22/20	10/22/20	832.79	820.26			12.53		
Sale	7.000	10/22/20	10/28/20	57.77	61.74		3.97	-3.97		
Sale	7.000	10/22/20	10/28/20	57.65	63.64		5.99	-5.99		
Sale	200.000	10/23/20	10/28/20	1,650.62	1,819.00		168.38	-168.38		
Sale	200.000	10/23/20	10/28/20	1,650.62	1,829.00		178.38	-178.38		
Sale	100.000	10/23/20	10/28/20	825.31	915.00		89.69	-89.69		
Sale	200.000	10/26/20	10/28/20	1,650.62	1,751.00		100.38	-100.38		
Sale	200.000	10/26/20	10/28/20	1,650.62	1,704.00		53.38	-53.38		
Sale	93.000	10/26/20	10/28/20	767.55	792.83		25.28	-25.28		
Sale	993.000	10/26/20	10/28/20	8,178.16	8,797.81		619.65	-619.65		
Sale	7.000	10/22/20	10/29/20	57.79	63.67		5.88	-5.88		
Sale	500.000	10/24/20	10/29/20	4,127.90	4,461.85		333.95	-333.95		
Sale	100.000	10/27/20	10/29/20	825.58	861.11		35.53	-35.53		
Sale	393.000	10/27/20	10/29/20	3,244.54	3,317.72		73.18	-73.18		
Sale	7.000	10/22/20	10/30/20	57.10	62.65		5.55	-5.55		
Sale	7.000	10/22/20	10/30/20	57.17	63.19		6.02	-6.02		
Sale	493.000	10/24/20	10/30/20	4,021.30	4,388.85		367.55	-367.55		
Sale	7.000	10/24/20	10/30/20	57.17	62.10		4.93	-4.93		
Sale	486.000	10/24/20	10/30/20	3,969.36	4,320.53		351.17	-351.17		
Sale	7.000	10/24/20	11/02/20	58.64	62.01		3.37	-3.37		
Sale	14.000	10/24/20	11/02/20	117.28	124.97		7.69	-7.69		
Sale	279.000	10/27/20	11/02/20	2,337.12	2,332.68			4.44		
Sale	200.000	10/27/20	11/02/20	1,675.36	1,718.36		43.00	-43.00		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Syl	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK H	LDGS INC COM, CN	NK, 17243V	102							
Sale	14.000	10/24/20	11/03/20	118.16	124.42		6.26	-6.26		
Sale	7.000	10/24/20	11/03/20	60.20	59.63			0.57		
Sale	7.000	10/25/20	11/03/20	59.08	62.80		3.72	-3.72		
Sale	14.000	10/25/20	11/03/20	121.44	119.98			1.46		
Sale	14.000	10/27/20	11/03/20	118.16	117.03			1.13		
Sale	200.000	10/27/20	11/03/20	1,687.96	1,671.80			16.16		
Sale	265.000	10/27/20	11/03/20	2,236.54	2,405.03		168.49	-168.49		
Sale	279.000	10/27/20	11/03/20	2,399.35	2,448.02		48.67	-48.67		
Sale	214.000	10/27/20	11/03/20	1,840.35	1,932.81		92.46	-92.46		
Sale	7.000	10/27/20	11/03/20	60.72	60.33			0.39		
Sale	7.000	10/27/20	11/03/20	60.72	63.17		2.45	-2.45		
Sale	222.000	10/27/20	11/03/20	1,925.82	1,927.03		1.21	-1.21		
Sale	7.000	10/27/20	11/04/20	59.63	60.75		1.12	-1.12		
Sale	29.000	10/28/20	11/04/20	247.04	245.83			1.21		
Sale	207.000	10/28/20	11/04/20	1,763.40	1,807.02		43.62	-43.62		
Sale	7.000	10/28/20	11/04/20	59.63	60.54		0.91	-0.91		
Sale	7.000	10/28/20	11/04/20	59.53	59.34			0.19		
Sale	215.000	10/28/20	11/04/20	1,828.54	1,816.00			12.54		
Sale	28.000	10/28/20	11/04/20	238.13	242.80		4.67	-4.67		
Sale	7.000	10/28/20	11/05/20	62.58	59.11			3.47		
Sale	50.000	10/28/20	11/05/20	446.99	435.96			11.03		
Sale	143.000	10/28/20	11/05/20	1,278.39	1,253.45			24.94		
Sale	28.000	10/28/20	11/05/20	250.32	244.49			5.83		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK H	LDGS INC COM, CN	NK, 17243\	/102							
Sale	22.000	11/04/20	11/05/20	196.67	188.43			8.24		
Sale	257.000	11/04/20	11/05/20	2,278.77	2,201.20			77.57		
Sale	100.000	11/05/20	11/05/20	886.68	816.50			70.18		
Sale	100.000	11/05/20	11/05/20	886.68	821.00			65.68		
Sale	43.000	11/05/20	11/05/20	381.27	359.09			22.18		
Sale	200.000	11/05/20	11/05/20	1,812.67	1,670.20			142.47		
Sale	7.000	11/05/20	11/09/20	89.25	58.46			30.79		
Sale	200.000	11/05/20	11/09/20	2,549.94	1,760.00			789.94		
Sale	43.000	11/06/20	11/09/20	548.23	382.06			166.17		
Sale	257.000	11/06/20	11/09/20	3,504.48	2,283.44			1,221.04		
Sale	250.000	11/06/20	11/09/20	3,409.02	2,251.25			1,157.77		
Sale	243.000	11/09/20	11/09/20	3,313.57	2,624.40			689.17		
Sale	7.000	11/09/20	11/09/20	85.40	75.60			9.80		
Sale	93.000	11/09/20	11/09/20	1,134.57	1,227.14		92.57	-92.57		
Sale	7.000	11/09/20	11/10/20	95.69	92.36			3.33		
Sale	50.000	11/10/20	11/10/20	683.48	659.50			23.98		
Sale	93.000	11/10/20	11/10/20	1,271.28	1,319.24		47.96	-47.96		
Sale	7.000	11/10/20	11/10/20	96.07	92.33			3.74		
Sale	43.000	11/10/20	11/10/20	590.16	578.12			12.04		
Sale	7.000	11/10/20	11/10/20	95.56	94.11			1.45		
Sale	43.000	11/10/20	11/10/20	586.98	592.15		5.17	-5.17		
Sale	57.000	11/10/20	11/11/20	742.69	755.53		12.84	-12.84		
Sale	7.000	11/11/20	11/11/20	91.21	91.49		0.28	-0.28		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

				,			•			
1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK HL	DGS INC COM, CN	NK, 17243V	/102							
Sale	43.000	11/11/20	11/11/20	560.28	567.18		6.90	-6.90		
Sale	43.000	11/11/20	11/11/20	560.27	560.29		0.02	-0.02		
Sale	57.000	11/10/20	11/12/20	748.68	757.28		8.60	-8.60		
Sale	50.000	11/10/20	11/12/20	653.74	609.79			43.95		
Sale	7.000	11/10/20	11/12/20	91.52	86.36			5.16		
Sale	43.000	11/11/20	11/12/20	561.56	560.29			1.27		
Sale	107.000	11/11/20	11/12/20	1,397.39	1,414.23		16.84	-16.84		
Sale	7.000	11/11/20	11/12/20	91.94	91.21			0.73		
Sale	36.000	11/11/20	11/12/20	472.85	471.26			1.59		
Sale	7.000	11/11/20	11/12/20	91.52	91.63		0.11	-0.11		
Sale	36.000	11/11/20	11/12/20	470.69	438.33			32.36		
Sale	14.000	11/11/20	11/13/20	177.16	169.61			7.55		
Sale	7.000	11/11/20	11/13/20	88.58	85.40			3.18		
Sale	129.000	11/12/20	11/13/20	1,632.46	1,571.87			60.59		
Sale	7.000	11/12/20	11/16/20	99.48	85.29			14.19		
Sale	193.000	11/16/20	11/16/20	2,742.67	2,723.23			19.44		
Sale	6.000	11/16/20	11/17/20	89.28	84.66			4.62		
Sale	1.000	11/16/20	11/20/20	14.55	14.11			0.44		
Sale	49.000	11/19/20	11/20/20	712.99	706.58			6.41		
Sale	50.000	11/19/20	11/20/20	734.54	721.00			13.54		
Sale	50.000	11/19/20	11/20/20	731.48	721.00			10.48		
Sale	1.000	11/19/20	11/25/20	16.53	14.42			2.11		
Sale	249.000	11/25/20	11/25/20	4,115.62	4,043.49			72.13		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK HL	DGS INC COM, CN	NK, 17243V	102							
Sale	1.000	11/25/20	11/27/20	16.79	16.24			0.55		
Sale	149.000	11/25/20	11/27/20	2,501.65	2,432.43			69.22		
Sale	100.000	11/25/20	11/27/20	1,689.46	1,632.50			56.96		
Sale	1.000	11/25/20	12/01/20	15.98	16.32		0.34	-0.34		
Sale	199.000	11/30/20	12/01/20	3,179.94	3,088.48			91.46		
Sale	1.000	11/25/20	12/03/20	16.42	15.86			0.56		
Sale	199.000	12/03/20	12/03/20	3,267.50	3,208.88			58.62		
Sale	1.000	12/03/20	12/04/20	14.20	16.12		1.92	-1.92		
Sale	149.000	12/03/20	12/04/20	2,115.78	2,312.48		196.70	-196.70		
Sale	1.000	12/03/20	12/04/20	14.20	17.44		3.24	-3.24		
Sale	1.000	12/03/20	12/04/20	14.20	15.05		0.85	-0.85		
Sale	149.000	12/03/20	12/04/20	2,116.50	2,439.15		322.65	-322.65		
Sale	300.000	12/03/20	12/04/20	4,261.40	4,215.00			46.40		
Sale	149.000	12/03/20	12/04/20	2,116.51	1,975.00			141.51		
Sale	1.000	12/03/20	12/04/20	14.23	16.49		2.26	-2.26		
Sale	150.000	12/03/20	12/04/20	2,134.01	2,461.60		327.59	-327.59		
Sale	1.000	12/03/20	12/04/20	14.29	16.51		2.22	-2.22		
Sale	150.000	12/03/20	12/04/20	2,144.02	2,471.54		327.52	-327.52		
Sale	150.000	12/03/20	12/04/20	2,161.96	2,465.62		303.66	-303.66		
Sale	1.000	12/03/20	12/04/20	14.41	16.51		2.10	-2.10		
Sale	349.000	12/04/20	12/04/20	4,965.10	4,974.65		9.55	-9.55		
Sale	349.000	12/04/20	12/04/20	4,988.43	4,974.65			13.78		
Sale	1.000	12/04/20	12/04/20	14.41	14.25			0.16		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK HI	LDGS INC COM, CN	IK, 17243V	102							
Sale	349.000	12/04/20	12/04/20	5,030.16	4,997.81			32.35		
Sale	249.000	12/04/20	12/04/20	3,588.87	3,553.48			35.39		
Sale	1.000	12/03/20	12/08/20	14.99	16.30		1.31	-1.31		
Sale	150.000	12/03/20	12/08/20	2,247.80	2,472.73		224.93	-224.93		
Sale	1.000	12/03/20	12/08/20	14.84	15.77		0.93	-0.93		
Sale	99.000	12/03/20	12/08/20	1,469.62	1,565.54		95.92	-95.92		
Sale	1.000	12/03/20	12/08/20	14.86	14.49			0.37		
Sale	50.000	12/03/20	12/08/20	743.23	790.65		47.42	-47.42		
Sale	1.000	12/03/20	12/08/20	14.86	15.24		0.38	-0.38		
Sale	99.000	12/04/20	12/08/20	1,483.52	1,431.54			51.98		
Sale	98.000	12/08/20	12/08/20	1,456.75	1,401.89			54.86		
Sale	99.000	12/04/20	12/09/20	1,499.31	1,582.51		83.20	-83.20		
Sale	51.000	12/04/20	12/09/20	772.37	813.62		41.25	-41.25		
Sale	1.000	12/04/20	12/09/20	15.10	14.57			0.53		
Sale	149.000	12/04/20	12/09/20	2,250.25	2,255.63		5.38	-5.38		
Sale	100.000	12/08/20	12/09/20	1,514.48	1,430.50			83.98		
Sale	1.000	12/08/20	12/09/20	15.10	14.30			0.80		
Sale	99.000	12/09/20	12/09/20	1,495.14	1,486.57			8.57		
Sale	1.000	12/04/20	12/11/20	16.26	15.14			1.12		
Sale	148.000	12/05/20	12/11/20	2,407.04	2,269.66			137.38		
Sale	101.000	12/10/20	12/11/20	1,642.64	1,545.30			97.34		
Sale	1.000	12/10/20	12/11/20	16.04	15.30			0.74		
Sale	499.000	12/11/20	12/11/20	8,006.28	7,602.27			404.01		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CINEMARK HLI	DGS INC COM, CN	NK, 17243V	102							
Sale	1.000	12/11/20	12/11/20	16.30	15.23			1.07		
Sale	249.000	12/11/20	12/11/20	4,059.85	4,033.80			26.05		
Sale	1.000	12/11/20	12/17/20	16.47	16.20			0.27		
Sale	99.000	12/16/20	12/17/20	1,630.49	1,591.43			39.06		
Sale	1.000	12/16/20	12/21/20	15.92	16.07		0.15	-0.15		
Sale	1.000	12/16/20	12/21/20	15.95	16.29		0.34	-0.34		
Sale	99.000	12/18/20	12/21/20	1,576.54	1,598.36		21.82	-21.82		
Sale	100.000	12/18/20	12/21/20	1,594.96	1,614.50			-19.54		
Sale	99.000	12/18/20	12/21/20	1,579.01	1,558.70			20.31		
Subtotals				195,456.25	194,612.05		5,637.99			
CLEANSPARK	INC COM NEW, C	LSK, 18452	2B209							
Sale	25.000	12/14/20	12/15/20	411.99	409.00			2.99		
CONDUENT IN	C COM, CNDT, 20	6787103								
Sale	100.000	08/11/20	09/03/20	372.49	408.00			-35.51		
COVETRUS IN	C COM, CVET, 223	304C100								
Sale	100.000	10/22/20	10/27/20	2,592.01	2,742.50			-150.49		
CRONOS GRO	UP INC COMNPV	ISIN #CA22	2717L10, CRON, 227	'17L101						
Sale	0.005	05/15/20	07/31/20	0.03	0.03			0.00		
Sale	200.000	07/09/20	07/31/20	1,357.97	1,199.00			158.97		
Sale	99.995	07/24/20	07/31/20	678.95	626.47			52.48		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GR	OUP INC COMNPV	ISIN #CA22	2717L10, CRON, 22	717L101						
Sale	0.005	07/24/20	08/06/20	0.03	0.03			0.00		
Sale	1.000	07/28/20	08/06/20	5.93	6.55		0.62	-0.62		
Sale	200.000	07/31/20	08/06/20	1,185.85	1,319.00		133.15	-133.15		
Sale	201.000	07/31/20	08/06/20	1,187.88	1,508.29		320.41	-320.41		
Sale	97.995	08/04/20	08/06/20	581.04	670.13		89.09	-89.09		
Sale	1.005	08/04/20	08/06/20	5.94	6.87		0.93	-0.93		
Sale	97.995	08/04/20	08/06/20	579.14	692.64		113.50	-113.50		
Sale	2.005	07/31/20	09/30/20	10.03	15.50		5.47	-5.47		
Sale	200.000	08/08/20	09/30/20	1,000.82	1,483.19		482.37	-482.37		
Sale	50.000	08/08/20	09/30/20	250.20	349.20		99.00	-99.00		
Sale	47.995	08/10/20	09/30/20	240.17	330.52		90.35	-90.35		
Sale	52.005	08/12/20	09/30/20	260.24	297.91		37.67	-37.67		
Sale	50.000	08/17/20	09/30/20	250.20	279.92		29.72	-29.72		
Sale	97.995	08/21/20	09/30/20	490.38	519.86		29.48	-29.48		
Sale	2.005	08/21/20	10/05/20	10.45	10.64		0.19	-0.19		
Sale	500.000	08/21/20	10/05/20	2,604.94	3,316.56		711.62	-711.62		
Sale	497.995	10/01/20	10/05/20	2,594.49	2,494.95			99.54		
Sale	2.005	07/31/20	10/07/20	10.34	15.27		4.93	-4.93		
Sale	30.000	08/04/20	10/07/20	154.62	221.37		66.75	-66.75		
Sale	170.000	08/04/20	10/07/20	899.47	1,252.91		353.44	-353.44		
Sale	297.995	08/10/20	10/07/20	1,537.14	2,182.58		645.44	-645.44		
Sale	100.000	08/10/20	10/07/20	531.48	688.99		157.51	-157.51		
Sale	150.000	08/21/20	10/07/20	797.24	842.36		45.12	-45.12		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GRO	OUP INC COMNPV	ISIN #CA2	2717L10, CRON, 22	717L101						
Sale	2.005	08/01/20	10/08/20	11.13	15.67		4.54	-4.54		
Sale	30.000	08/05/20	10/08/20	161.70	237.90		76.20	-76.20		
Sale	70.000	08/05/20	10/08/20	377.29	544.88		167.59	-167.59		
Sale	50.000	08/05/20	10/08/20	269.49	389.21		119.72	-119.72		
Sale	30.000	08/05/20	10/08/20	166.62	227.49		60.87	-60.87		
Sale	20.000	08/05/20	10/08/20	111.10	148.74		37.64	-37.64		
Sale	46.995	08/05/20	10/08/20	261.06	365.81		104.75	-104.75		
Sale	3.005	08/05/20	10/13/20	17.30	23.39		6.09	-6.09		
Sale	100.000	08/05/20	10/13/20	575.68	805.53		229.85	-229.85		
Sale	27.995	08/05/20	10/13/20	161.16	215.03		53.87	-53.87		
Sale	66.995	08/05/20	10/13/20	385.68	521.65		135.97	-135.97		
Sale	50.000	08/09/20	10/13/20	287.85	366.70		78.85	-78.85		
Sale	50.000	08/11/20	10/13/20	287.85	359.11		71.26	-71.26		
Sale	52.005	08/21/20	10/13/20	299.38	279.15			20.23		
Sale	150.000	08/21/20	10/13/20	863.53	801.57			61.96		
Sale	3.005	08/05/20	10/19/20	17.17	23.10		5.93	-5.93		
Sale	2.005	08/09/20	10/19/20	11.45	15.49		4.04	-4.04		
Sale	294.990	08/14/20	10/19/20	1,685.82	2,180.44		494.62	-494.62		
Sale	203.005	10/16/20	10/19/20	1,160.15	1,108.41			51.74		
Sale	500.000	10/19/20	10/19/20	2,857.44	2,831.50			25.94		
Sale	496.995	10/19/20	10/19/20	2,840.28	2,864.18		23.90	-23.90		
Sale	0.005	08/05/20	10/21/20	0.03	0.04		0.01	-0.01		
Sale	3.000	08/05/20	10/21/20	17.66	23.21		5.55	-5.55		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GRO	OUP INC COMNPV	ISIN #CA2	2717L10, CRON, 227	717L101						
Sale	250.000	08/16/20	10/21/20	1,471.73	1,908.35		436.62	-436.62		
Sale	247.000	10/21/20	10/21/20	1,454.04	1,515.78		61.74	-61.74		
Sale	0.005	08/06/20	10/23/20	0.03	0.04		0.01	-0.01		
Sale	206.005	08/15/20	10/23/20	1,210.66	1,613.29		402.63	-402.63		
Sale	206.005	08/15/20	10/23/20	1,207.16	1,626.92		419.76	-419.76		
Sale	93.990	08/18/20	10/23/20	552.37	672.98		120.61	-120.61		
Sale	3.000	10/21/20	10/23/20	17.63	17.77		0.14	-0.14		
Sale	197.000	10/22/20	10/23/20	1,157.74	1,186.71		28.97	-28.97		
Sale	96.990	10/22/20	10/23/20	568.35	584.26		15.91	-15.91		
Sale	197.005	10/22/20	10/23/20	1,154.42	1,286.96		132.54	-132.54		
Sale	64.005	08/11/20	10/27/20	355.53	514.26		158.73	-158.73		
Sale	142.000	08/15/20	10/27/20	788.78	1,109.71		320.93	-320.93		
Sale	96.990	10/22/20	10/27/20	538.77	581.17		42.40	-42.40		
Sale	197.005	10/23/20	10/27/20	1,094.35	1,177.95		83.60	-83.60		
Sale	206.005	08/18/20	11/02/20	1,182.44	1,591.05		408.61	-408.61		
Sale	43.995	08/23/20	11/02/20	252.52	296.15		43.63	-43.63		
Sale	50.000	08/25/20	11/02/20	286.45	330.17		43.72	-43.72		
Sale	3.000	10/23/20	11/02/20	17.19	17.94		0.75	-0.75		
Sale	3.000	10/23/20	11/02/20	17.50	16.94			0.56		
Sale	197.000	10/26/20	11/02/20	1,128.57	1,147.66		19.09	-19.09		
Sale	96.995	10/26/20	11/02/20	565.95	564.45			1.50		
Sale	150.005	10/29/20	11/02/20	875.26	831.90			43.36		
Sale	49.995	10/29/20	11/02/20	290.63	276.33			14.30		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GRO	OUP INC COMNPV	ISIN #CA2	2717L10, CRON, 22	717L101						
Sale	200.005	10/30/20	11/02/20	1,162.66	1,079.02			83.64		
Sale	3.000	08/11/20	11/05/20	17.80	20.87		3.07	-3.07		
Sale	2.990	08/11/20	11/05/20	18.93	17.24			1.69		
Sale	0.010	08/11/20	11/05/20	0.06	0.08		0.02	-0.02		
Sale	0.010	08/11/20	11/05/20	0.06	0.08		0.02	-0.02		
Sale	3.000	08/16/20	11/05/20	17.80	21.88		4.08	-4.08		
Sale	193.995	08/16/20	11/05/20	1,151.33	1,410.80		259.47	-259.47		
Sale	6.000	08/16/20	11/05/20	37.18	41.35		4.17	-4.17		
Sale	193.995	08/16/20	11/05/20	1,202.16	1,369.89		167.73	-167.73		
Sale	193.995	08/16/20	11/05/20	1,199.19	1,273.50		74.31	-74.31		
Sale	47.000	08/16/20	11/05/20	297.50	294.03			3.47		
Sale	146.995	08/16/20	11/05/20	930.61	890.70			39.91		
Sale	0.005	08/17/20	11/05/20	0.04	0.04			0.00		
Sale	12.010	08/24/20	11/05/20	74.23	76.58		2.35	-2.35		
Sale	250.000	08/25/20	11/05/20	1,483.49	1,829.99		346.50	-346.50		
Sale	43.995	08/25/20	11/05/20	271.97	255.01			16.96		
Sale	50.000	08/27/20	11/05/20	296.70	323.27		26.57	-26.57		
Sale	2.005	08/27/20	11/05/20	12.43	12.29			0.14		
Sale	97.995	08/27/20	11/05/20	607.27	615.95		8.68	-8.68		
Sale	47.990	08/27/20	11/05/20	303.82	276.52			27.30		
Sale	197.000	10/28/20	11/05/20	1,220.78	1,120.52			100.26		
Sale	3.005	11/04/20	11/05/20	18.61	17.13			1.48		
Sale	5.005	11/05/20	11/05/20	31.68	28.65			3.03		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GRO	OUP INC COMNPV	ISIN #CA2	2717L10, CRON, 22	717L101						
Sale	250.000	11/05/20	11/05/20	1,608.71	1,431.01			177.70		
Sale	250.000	11/05/20	11/05/20	1,606.21	1,431.01			175.20		
Sale	0.010	08/12/20	11/06/20	0.06	0.10		0.04	-0.04		
Sale	3.000	08/17/20	11/06/20	21.59	22.44		0.85	-0.85		
Sale	2.985	08/17/20	11/06/20	22.08	21.74			0.34		
Sale	9.010	08/25/20	11/06/20	62.91	65.41		2.50	-2.50		
Sale	1.005	08/25/20	11/06/20	7.23	7.66		0.43	-0.43		
Sale	4.005	08/25/20	11/06/20	29.24	29.66		0.42	-0.42		
Sale	4.005	08/25/20	11/06/20	28.93	29.94		1.01	-1.01		
Sale	1.020	08/25/20	11/06/20	7.56	7.65		0.09	-0.09		
Sale	3.000	11/05/20	11/06/20	20.95	17.17			3.78		
Sale	790.980	11/06/20	11/06/20	5,524.95	5,555.60		30.65	-30.65		
Sale	197.000	11/06/20	11/06/20	1,376.07	1,382.94		6.87	-6.87		
Sale	198.995	11/06/20	11/06/20	1,431.74	1,370.02			61.72		
Sale	100.000	11/06/20	11/06/20	719.48	688.37			31.11		
Sale	197.000	11/06/20	11/06/20	1,417.38	1,356.93			60.45		
Sale	3.000	11/06/20	11/06/20	21.89	20.66			1.23		
Sale	492.995	11/06/20	11/06/20	3,597.28	3,511.21			86.07		
Sale	494.990	11/06/20	11/06/20	3,572.24	3,507.00			65.24		
Sale	1.005	11/06/20	11/06/20	7.25	7.16			0.09		
Sale	1,003.000	11/06/20	11/06/20	7,417.52	7,106.25			311.27		
Sale	992.995	11/06/20	11/06/20	7,343.51	7,314.18			29.33		
Sale	1.020	08/25/20	11/17/20	7.46	7.61		0.15	-0.15		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity '	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GR	OUP INC COMNPV	ISIN #CA2	2717L10, CRON, 22	2717L101						
Sale	1.980	11/06/20	11/17/20	14.45	14.58		0.13	-0.13		
Sale	497.000	11/13/20	11/17/20	3,626.50	3,455.64			170.86		
Sale	0.010	08/12/20	11/20/20	0.08	0.10		0.02	-0.02		
Sale	2.990	11/06/20	11/20/20	21.92	21.04			0.88		
Sale	197.000	11/18/20	11/20/20	1,443.96	1,430.42			13.54		
Sale	0.010	08/12/20	11/24/20	0.08	0.10		0.02	-0.02		
Sale	52.990	11/18/20	11/24/20	421.63	384.75			36.88		
Sale	250.000	11/19/20	11/24/20	1,989.21	1,806.45			182.76		
Sale	197.000	11/20/20	11/24/20	1,567.49	1,419.21			148.28		
Sale	0.010	08/12/20	11/25/20	0.08	0.10		0.02	-0.02		
Sale	2.990	11/20/20	11/25/20	23.47	21.53			1.94		
Sale	497.000	11/24/20	11/25/20	3,901.36	3,849.27			52.09		
Sale	0.010	08/12/20	12/02/20	0.08	0.10		0.02	-0.02		
Sale	0.010	08/12/20	12/02/20	0.08	0.10		0.02	-0.02		
Sale	0.010	08/12/20	12/02/20	0.08	0.10		0.02	-0.02		
Sale	0.010	08/12/20	12/02/20	0.08	0.10		0.02	-0.02		
Sale	0.010	08/12/20	12/02/20	0.08	0.10		0.02	-0.02		
Sale	2.990	11/24/20	12/02/20	25.88	23.15			2.73		
Sale	440.000	11/25/20	12/02/20	3,808.99	3,509.00			299.99		
Sale	57.000	11/25/20	12/02/20	493.45	454.62			38.83		
Sale	2.990	11/25/20	12/02/20	26.32	23.85			2.47		
Sale	497.000	11/27/20	12/02/20	4,375.55	4,151.44			224.11		
Sale	2.990	11/27/20	12/02/20	26.33	24.98			1.35		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GRO	OUP INC COMNPV	ISIN #CA2	2717L10, CRON, 22	2717L101						
Sale	100.000	11/30/20	12/02/20	880.48	862.58			17.90		
Sale	397.000	11/30/20	12/02/20	3,495.51	3,424.13			71.38		
Sale	2.990	11/30/20	12/02/20	26.45	25.79			0.66		
Sale	497.000	12/02/20	12/02/20	4,395.87	4,325.89			69.98		
Sale	499.990	12/02/20	12/02/20	4,379.82	4,351.92			27.90		
Sale	0.010	08/12/20	12/03/20	0.08	0.10		0.02	-0.02		
Sale	0.010	08/12/20	12/03/20	0.08	0.10		0.02	-0.02		
Sale	0.010	08/12/20	12/03/20	0.08	0.10		0.02	-0.02		
Sale	3.000	12/02/20	12/03/20	25.98	26.11		0.13	-0.13		
Sale	246.990	12/02/20	12/03/20	2,139.09	2,139.68		0.59	-0.59		
Sale	250.000	12/02/20	12/03/20	2,178.28	2,165.75			12.53		
Sale	3.000	12/02/20	12/03/20	26.14	26.12			0.02		
Sale	3.000	12/02/20	12/03/20	26.14	25.79			0.35		
Sale	246.990	12/02/20	12/03/20	2,152.05	2,123.57			28.48		
Sale	247.000	12/02/20	12/03/20	2,152.14	2,115.56			36.58		
Sale	129.990	12/02/20	12/03/20	1,134.79	1,113.37			21.42		
Sale	120.000	12/02/20	12/03/20	1,048.06	1,027.80			20.26		
Sale	0.010	08/13/20	12/09/20	0.08	0.12		0.04	-0.04		
Sale	3.000	12/02/20	12/09/20	25.14	25.70		0.56	-0.56		
Sale	496.990	12/04/20	12/09/20	4,164.68	4,482.65		317.97	-317.97		
Sale	0.010	08/20/20	12/16/20	0.08	0.12		0.04	-0.04		
Sale	3.000	12/02/20	12/16/20	24.51	27.62		3.11	-3.11		
Sale	496.990	12/04/20	12/16/20	4,060.81	4,482.64		421.83	-421.83		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CRONOS GRO	UP INC COMNPV	ISIN #CA2	2717L10, CRON, 22	717L101						
Sale	0.010	08/20/20	12/23/20	0.08	0.14		0.06	-0.06		
Sale	3.000	12/02/20	12/23/20	23.34	27.28		3.94	-3.94		
Sale	3.000	12/04/20	12/23/20	23.34	27.05		3.71	-3.71		
Sale	496.990	12/11/20	12/23/20	3,866.74	4,321.97		455.23	-455.23		
Sale	496.990	12/11/20	12/23/20	3,866.74	4,304.81		438.07	-438.07		
Sale	0.010	12/23/20	12/23/20	0.08	0.08			0.00		
Subtotals				154,332.21	161,460.06		10,424.01			
CYTOKINETICS	S INC, CYTK, 2328	2W605								
Sale	50.000	09/25/20	09/25/20	1,152.47	1,126.50			25.97		
Sale	150.000	09/25/20	09/25/20	3,453.67	3,379.50			74.17		
Sale	99.000	09/25/20	09/25/20	2,277.10	2,230.47			46.63		
Sale	1.000	09/25/20	09/30/20	22.09	22.53		0.44	-0.44		
Sale	100.000	09/28/20	09/30/20	2,208.59	2,300.00		91.41	-91.41		
Sale	98.000	09/29/20	09/30/20	2,164.41	2,232.79		68.38	-68.38		
Sale	2.000	09/28/20	10/13/20	32.90	46.92		14.02	-14.02		
Sale	2.000	09/28/20	10/13/20	33.54	45.62		12.08	-12.08		
Sale	10.000	10/06/20	10/13/20	164.50	166.04		1.54	-1.54		
Sale	8.000	10/06/20	10/13/20	131.60	130.99			0.61		
Sale	30.000	10/07/20	10/13/20	493.49	493.17			0.32		
Sale	51.000	10/10/20	10/13/20	838.93	839.17		0.24	-0.24		
Sale	47.000	10/10/20	10/13/20	788.23	744.32			43.91		
Sale	49.000	10/11/20	10/13/20	806.03	795.65			10.38		

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Symb	ool, CUSIP							
Action	Quantity 1		c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CYTOKINETICS	INC, CYTK, 2328	2W605								
Sale	49.000	10/11/20	10/13/20	806.03	808.39		2.36	-2.36		
Sale	1.000	10/12/20	10/13/20	16.44	15.80			0.64		
Sale	2.000	09/30/20	10/16/20	33.54	44.53		10.99	-10.99		
Sale	1.000	10/10/20	10/16/20	16.77	15.80			0.97		
Sale	62.000	10/13/20	10/16/20	1,039.71	1,008.37			31.34		
Sale	35.000	10/15/20	10/16/20	586.94	567.88			19.06		
Sale	2.000	10/06/20	10/23/20	32.60	42.93		10.33	-10.33		
Sale	1.000	10/15/20	10/23/20	16.30	16.22			0.08		
Sale	147.000	10/22/20	10/23/20	2,396.79	2,348.25			48.54		
Sale	1.000	10/22/20	10/26/20	15.46	15.97		0.51	-0.51		
Sale	2.000	10/13/20	11/02/20	31.20	40.45			-9.25		
Sale	1.000	10/26/20	11/02/20	15.60	15.57			0.03		
Sale	97.000	10/30/20	11/02/20	1,513.66	1,460.99			52.67		
Subtotals				21,088.59	20,954.82		212.30			
DELTA AIR LINE	S INC, DAL, 2473	361702								
Sale	50.000	11/25/20	11/30/20	2,043.95	2,070.00			-26.05		
DIAMONDBACK	ENERGY INC CO	OM USD0.01,	FANG, 25278X109	9						
Sale	1.000	07/09/20	08/03/20	39.79	37.56			2.23		
Sale	1.000	07/09/20	08/03/20	39.69	37.56			2.13		
Sale	28.000	07/09/20	08/04/20	1,103.45	1,051.67			51.78		
Sale	22.000	07/10/20	08/04/20	867.00	848.98			18.02		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity ,	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	CK ENERGY INC CO	OM USD0.0	01, FANG, 25278X109							
Sale	28.000	07/10/20	08/07/20	1,169.39	1,080.52			88.87		
Sale	50.000	07/10/20	08/07/20	2,088.20	1,936.12			152.08		
Sale	20.000	07/24/20	08/07/20	835.28	821.50			13.78		
Sale	2.000	08/04/20	08/07/20	83.53	82.04			1.49		
Sale	98.000	08/04/20	08/10/20	4,219.29	4,019.96			199.33		
Sale	2.000	08/05/20	08/10/20	86.11	86.34		0.23	-0.23		
Sale	50.000	08/05/20	08/10/20	2,186.61	2,158.52			28.09		
Sale	45.000	08/05/20	08/10/20	1,988.05	1,942.67			45.38		
Sale	3.000	08/05/20	08/25/20	122.52	129.51		6.99	-6.99		
Sale	2.000	08/07/20	08/25/20	81.68	89.78		8.10	-8.10		
Sale	23.000	08/12/20	08/25/20	939.30	1,029.78		90.48	-90.48		
Sale	25.000	08/12/20	08/25/20	1,020.98	1,121.75		100.77	-100.77		
Sale	47.000	08/21/20	08/25/20	1,919.42	1,884.70			34.72		
Sale	3.000	08/05/20	09/02/20	113.65	127.29		13.64	-13.64		
Sale	47.000	08/15/20	09/02/20	1,780.55	2,071.78		291.23	-291.23		
Sale	2.000	08/15/20	09/03/20	72.99	88.05		15.06	-15.06		
Sale	1.000	08/15/20	09/16/20	32.54	44.02		11.48	-11.48		
Sale	1.000	08/15/20	09/16/20	32.55	42.76		10.21	-10.21		
Sale	2.000	08/26/20	09/16/20	65.08	77.62		12.54	-12.54		
Sale	50.000	08/27/20	09/16/20	1,627.05	1,868.87		241.82	-241.82		
Sale	52.000	08/27/20	09/16/20	1,692.65	1,940.98		248.33	-248.33		
Sale	47.000	09/14/20	09/16/20	1,529.43	1,470.16			59.27		
Sale	47.000	09/16/20	09/16/20	1,529.90	1,524.45			5.45		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity <i>f</i>	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	CK ENERGY INC CO	OM USD0.0	01, FANG, 25278X109							
Sale	1.000	08/15/20	09/17/20	33.52	42.64		9.12	-9.12		
Sale	1.000	08/15/20	09/17/20	33.43	42.57		9.14	-9.14		
Sale	52.000	08/28/20	09/17/20	1,742.98	1,987.48		244.50	-244.50		
Sale	47.000	09/17/20	09/17/20	1,575.36	1,571.92			3.44		
Sale	49.000	09/17/20	09/17/20	1,638.28	1,638.81		0.53	-0.53		
Sale	50.000	08/29/20	09/24/20	1,461.45	1,905.62		444.17	-444.17		
Sale	2.000	08/29/20	09/24/20	58.46	77.07		18.61	-18.61		
Sale	51.000	09/17/20	09/24/20	1,490.67	1,705.69		215.02	-215.02		
Sale	48.000	09/18/20	09/24/20	1,402.98	1,624.56		221.58	-221.58		
Sale	50.000	09/18/20	09/24/20	1,461.44	1,701.92		240.48	-240.48		
Sale	99.000	09/23/20	09/24/20	2,893.65	2,968.52		74.87	-74.87		
Sale	1.000	08/16/20	09/25/20	28.87	43.74		14.87	-14.87		
Sale	1.000	08/16/20	09/25/20	28.87	44.79		15.92	-15.92		
Sale	50.000	08/29/20	09/25/20	1,486.21	1,998.73		512.52	-512.52		
Sale	199.000	09/18/20	09/25/20	5,745.88	7,097.09		1,351.21	-1,351.21		
Sale	299.000	09/23/20	09/25/20	8,632.47	10,146.82		1,514.35	-1,514.35		
Sale	100.000	09/18/20	09/28/20	3,113.43	3,557.57		444.14	-444.14		
Sale	49.000	09/18/20	09/28/20	1,555.31	1,748.82		193.51	-193.51		
Sale	1.000	09/18/20	09/28/20	31.74	34.64		2.90	-2.90		
Sale	50.000	09/23/20	09/28/20	1,587.05	1,539.21			47.84		
Sale	1.000	08/20/20	09/29/20	31.19	46.92		15.73	-15.73		
Sale	50.000	09/02/20	09/29/20	1,559.47	2,062.52		503.05	-503.05		
Sale	1.000	09/18/20	09/29/20	31.19	32.82		1.63	-1.63		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	CK ENERGY INC CO	OM USD0.0	01, FANG, 25278X109							
Sale	48.000	09/18/20	09/29/20	1,497.08	1,673.01		175.93	-175.93		
Sale	10.000	09/19/20	09/29/20	312.49	348.65		36.16	-36.16		
Sale	42.000	09/19/20	09/29/20	1,310.37	1,472.98		162.61	-162.61		
Sale	48.000	09/27/20	09/29/20	1,497.56	1,574.61		77.05	-77.05		
Sale	51.000	09/03/20	10/01/20	1,475.65	2,050.32		574.67	-574.67		
Sale	49.000	09/19/20	10/01/20	1,417.78	1,659.46		241.68	-241.68		
Sale	49.000	09/20/20	10/01/20	1,417.78	1,668.66		250.88	-250.88		
Sale	10.000	09/20/20	10/01/20	289.35	337.81		48.46	-48.46		
Sale	41.000	09/20/20	10/01/20	1,186.31	1,395.50		209.19	-209.19		
Sale	125.000	09/20/20	10/02/20	3,832.16	4,558.21		726.05	-726.05		
Sale	1.000	08/24/20	10/05/20	30.88	43.41		12.53	-12.53		
Sale	53.000	09/06/20	10/05/20	1,650.92	2,120.05		469.13	-469.13		
Sale	67.000	09/20/20	10/05/20	2,086.99	2,280.66		193.67	-193.67		
Sale	8.000	09/20/20	10/05/20	247.07	272.01		24.94	-24.94		
Sale	75.000	09/20/20	10/05/20	2,316.33	2,819.73		503.40	-503.40		
Sale	1.000	09/21/20	10/05/20	30.88	32.80		1.92	-1.92		
Sale	15.000	09/22/20	10/05/20	463.27	501.52		38.25	-38.25		
Sale	6.000	09/02/20	10/06/20	191.70	236.19		44.49	-44.49		
Sale	44.000	09/21/20	10/06/20	1,405.77	1,487.00		81.23	-81.23		
Sale	50.000	09/21/20	10/06/20	1,597.45	1,943.95		346.50	-346.50		
Sale	6.000	09/03/20	10/08/20	178.92	218.91		39.99	-39.99		
Sale	44.000	09/08/20	10/08/20	1,312.04	1,611.65		299.61	-299.61		
Sale	6.000	09/04/20	10/09/20	188.70	224.56		35.86	-35.86		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBA	CK ENERGY INC CO	OM USD0.0	01, FANG, 25278X109							
Sale	3.000	09/08/20	10/09/20	94.35	109.72		15.37	-15.37		
Sale	9.000	09/08/20	10/09/20	279.72	330.32		50.60	-50.60		
Sale	41.000	09/09/20	10/09/20	1,289.41	1,550.75		261.34	-261.34		
Sale	3.000	09/09/20	10/09/20	93.24	113.30		20.06	-20.06		
Sale	41.000	09/09/20	10/09/20	1,274.25	1,527.83		253.58	-253.58		
Sale	32.000	09/22/20	10/09/20	994.54	1,069.85		75.31	-75.31		
Sale	3.000	09/22/20	10/09/20	93.24	93.68		0.44	-0.44		
Sale	25.000	09/23/20	10/09/20	776.99	846.76		69.77	-69.77		
Sale	1.000	09/23/20	10/09/20	31.08	30.99			0.09		
Sale	43.000	09/24/20	10/09/20	1,336.41	1,364.95		28.54	-28.54		
Sale	44.000	09/24/20	10/09/20	1,367.49	1,434.61		67.12	-67.12		
Sale	1.000	09/27/20	10/09/20	31.08	32.80		1.72	-1.72		
Sale	48.000	09/29/20	10/09/20	1,491.78	1,465.69			26.09		
Sale	47.000	09/22/20	10/12/20	1,478.33	1,725.18		246.85	-246.85		
Sale	53.000	09/22/20	10/12/20	1,667.02	1,788.33		121.31	-121.31		
Sale	5.000	09/04/20	10/13/20	155.45	180.19		24.74	-24.74		
Sale	6.000	09/22/20	10/13/20	186.54	189.25		2.71	-2.71		
Sale	3.000	09/22/20	10/13/20	93.27	92.65			0.62		
Sale	86.000	09/24/20	10/13/20	2,673.67	2,782.70		109.03	-109.03		
Sale	5.000	09/05/20	10/14/20	159.26	184.04		24.78	-24.78		
Sale	5.000	09/24/20	10/14/20	159.27	156.38			2.89		
Sale	90.000	09/24/20	10/14/20	2,866.89	3,208.59		341.70	-341.70		
Sale	8.000	09/09/20	10/15/20	241.92	273.01		31.09	-31.09		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

'	of property, Stock of	,	•	4.15	4-0-1	46.0	4 \\/ - 0	0-:-/1/	45-1-1	44.00
Action	Quantity <i>f</i>	1 b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	K ENERGY INC CO	OM USD0.0)1, FANG, 25278X109							
Sale	45.000	09/12/20	10/15/20	1,361.22	1,589.07		227.85	-227.85		
Sale	45.000	09/12/20	10/15/20	1,366.17	1,556.95		190.78	-190.78		
Sale	5.000	09/24/20	10/15/20	151.20	159.75		8.55	-8.55		
Sale	18.000	09/24/20	10/15/20	544.31	535.30			9.01		
Sale	20.000	09/25/20	10/15/20	604.79	639.86		35.07	-35.07		
Sale	49.000	09/25/20	10/15/20	1,481.71	1,714.86		233.15	-233.15		
Sale	31.000	09/25/20	10/15/20	937.73	972.05		34.32	-34.32		
Sale	24.000	09/25/20	10/15/20	725.98	767.81		41.83	-41.83		
Sale	6.000	09/25/20	10/15/20	182.16	180.02			2.14		
Sale	1.000	09/25/20	10/15/20	30.36	31.28		0.92	-0.92		
Sale	9.000	09/25/20	10/15/20	273.23	281.59		8.36	-8.36		
Sale	4.000	09/25/20	10/15/20	121.44	125.84		4.40	-4.40		
Sale	35.000	09/25/20	10/15/20	1,062.57	1,113.33		50.76	-50.76		
Sale	35.000	09/25/20	10/16/20	1,048.74	1,085.05		36.31	-36.31		
Sale	16.000	09/25/20	10/16/20	479.43	500.90		21.47	-21.47		
Sale	10.000	09/26/20	10/16/20	299.64	295.77			3.87		
Sale	44.000	09/26/20	10/16/20	1,318.42	1,344.69		26.27	-26.27		
Sale	4.000	09/26/20	10/16/20	119.86	123.80		3.94	-3.94		
Sale	59.000	09/26/20	10/16/20	1,767.89	1,968.61		200.72	-200.72		
Sale	63.000	09/26/20	10/16/20	1,884.96	2,126.48		241.52	-241.52		
Sale	1.000	09/27/20	10/16/20	29.96	32.46		2.50	-2.50		
Sale	96.000	09/27/20	10/16/20	2,872.32	2,957.91		85.59	-85.59		
Sale	31.000	10/16/20	10/16/20	928.92	927.21			1.71		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity <i>f</i>	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	CK ENERGY INC CO	OM USD0.0	01, FANG, 25278X109							
Sale	10.000	10/16/20	10/16/20	299.20	299.10			0.10		
Sale	131.000	10/16/20	10/16/20	3,919.53	3,996.15		76.62	-76.62		
Sale	6.000	09/07/20	10/20/20	173.17	200.67		27.50	-27.50		
Sale	16.000	09/13/20	10/20/20	457.17	537.07		79.90	-79.90		
Sale	50.000	09/25/20	10/20/20	1,428.71	1,655.96		227.25	-227.25		
Sale	100.000	09/26/20	10/20/20	2,886.43	3,223.23		336.80	-336.80		
Sale	34.000	09/26/20	10/20/20	971.55	1,023.43		51.88	-51.88		
Sale	100.000	10/16/20	10/20/20	2,886.43	3,005.23		118.80	-118.80		
Sale	44.000	10/19/20	10/20/20	1,270.06	1,292.44		22.38	-22.38		
Sale	16.000	09/13/20	10/21/20	448.37	535.98		87.61	-87.61		
Sale	58.000	09/26/20	10/21/20	1,625.40	1,727.54		102.14	-102.14		
Sale	76.000	09/26/20	10/21/20	2,129.88	2,396.40		266.52	-266.52		
Sale	16.000	09/13/20	10/22/20	463.36	535.99		72.63	-72.63		
Sale	5.000	09/25/20	10/22/20	144.75	143.27			1.48		
Sale	100.000	09/26/20	10/22/20	2,896.02	3,091.07		195.05	-195.05		
Sale	116.000	09/26/20	10/22/20	3,343.60	3,623.93		280.33	-280.33		
Sale	3.000	09/26/20	10/22/20	86.47	86.44			0.03		
Sale	95.000	09/26/20	10/22/20	2,750.18	2,983.40		233.22	-233.22		
Sale	4.000	09/26/20	10/22/20	115.76	115.70			0.06		
Sale	12.000	09/26/20	10/22/20	347.27	347.44		0.17	-0.17		
Sale	1.000	09/27/20	10/22/20	28.96	31.43		2.47	-2.47		
Sale	8.000	09/27/20	10/22/20	231.68	236.74		5.06	-5.06		
Sale	25.000	09/27/20	10/22/20	724.03	768.33		44.30	-44.30		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	CK ENERGY INC CO	OM USD0.0	1, FANG, 25278X109							
Sale	9.000	09/27/20	10/22/20	259.41	271.50		12.09	-12.09		
Sale	31.000	09/27/20	10/22/20	893.54	935.42		41.88	-41.88		
Sale	41.000	10/16/20	10/22/20	1,181.85	1,209.93		28.08	-28.08		
Sale	40.000	10/19/20	10/22/20	1,157.57	1,174.95		17.38	-17.38		
Sale	44.000	10/19/20	10/22/20	1,273.33	1,279.58		6.25	-6.25		
Sale	6.000	09/08/20	10/28/20	148.08	199.17		51.09	-51.09		
Sale	69.000	09/27/20	10/28/20	1,753.74	2,225.81		472.07	-472.07		
Sale	26.000	09/30/20	10/28/20	660.83	715.58		54.75	-54.75		
Sale	50.000	10/17/20	10/28/20	1,270.83	1,503.82		232.99	-232.99		
Sale	31.000	10/17/20	10/28/20	787.91	917.75		129.84	-129.84		
Sale	6.000	10/19/20	10/28/20	152.50	174.08		21.58	-21.58		
Sale	24.000	10/23/20	10/28/20	610.00	664.07		54.07	-54.07		
Sale	50.000	10/23/20	10/28/20	1,270.82	1,393.11		122.29	-122.29		
Sale	244.000	10/23/20	10/28/20	6,021.53	7,124.27		1,102.74	-1,102.74		
Sale	22.000	10/25/20	10/28/20	559.16	566.07		6.91	-6.91		
Sale	222.000	10/28/20	10/28/20	5,642.42	5,695.63		53.21	-53.21		
Sale	6.000	09/08/20	10/30/20	152.71	198.99		46.28	-46.28		
Sale	6.000	10/23/20	10/30/20	152.73	163.09		10.36	-10.36		
Sale	238.000	10/28/20	10/30/20	6,058.16	5,925.38			132.78		
Sale	5.000	10/28/20	10/30/20	129.14	124.45			4.69		
Sale	6.000	09/13/20	11/04/20	174.00	216.23		42.23	-42.23		
Sale	44.000	09/21/20	11/04/20	1,275.96	1,584.92		308.96	-308.96		
Sale	50.000	09/21/20	11/04/20	1,428.48	1,770.44		341.96	-341.96		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBAC	CK ENERGY INC CO	OM USD0.0	01, FANG, 25278X109							
Sale	3.000	09/21/20	11/04/20	85.60	107.04		21.44	-21.44		
Sale	47.000	09/21/20	11/04/20	1,341.11	1,617.70		276.59	-276.59		
Sale	3.000	09/21/20	11/04/20	82.16	102.76		20.60	-20.60		
Sale	50.000	09/21/20	11/04/20	1,369.40	1,659.28		289.88	-289.88		
Sale	6.000	10/03/20	11/04/20	171.42	206.03		34.61	-34.61		
Sale	50.000	10/05/20	11/04/20	1,428.47	1,647.34		218.87	-218.87		
Sale	41.000	10/05/20	11/04/20	1,122.92	1,345.48		222.56	-222.56		
Sale	53.000	10/07/20	11/04/20	1,451.55	1,575.13		123.58	-123.58		
Sale	44.000	10/24/20	11/04/20	1,257.03	1,351.27		94.24	-94.24		
Sale	3.000	10/24/20	11/04/20	82.12	97.62		15.50	-15.50		
Sale	3.000	09/21/20	11/09/20	83.25	102.28		19.03	-19.03		
Sale	47.000	09/23/20	11/09/20	1,304.21	1,463.48		140.01	-159.27		
Sale	3.000	09/23/20	11/09/20	91.68	92.65			-0.97		
Sale	41.000	10/05/20	11/09/20	1,252.94	1,338.78			-85.84		
Sale	50.000	10/09/20	11/09/20	1,527.98	1,364.13			163.85		
Sale	3.000	10/24/20	11/09/20	91.68	97.62			-5.94		
Sale	3.000	10/24/20	11/09/20	91.68	93.61			-1.93		
Sale	1.000	10/28/20	11/09/20	30.56	24.89			5.67		
Sale	6.000	10/28/20	11/09/20	183.36	180.67			2.69		
Sale	93.000	10/30/20	11/09/20	2,841.98	2,954.16			-112.18		
Sale	1.000	10/30/20	11/10/20	32.97	30.83			2.14		
Sale	10.000	09/24/20	11/13/20	332.99	359.38			-26.39		
Sale	34.000	09/26/20	11/13/20	1,130.82	1,228.78			-97.96		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DIAMONDBACK	ENERGY INC CO	OM USD0.0	01, FANG, 25278X109	9						
Sale	5.000	11/01/20	11/13/20	166.29	177.59			-11.30		
Sale	1.000	11/01/20	12/01/20	39.96	37.65			2.31		
Subtotals				209,493.05	230,496.55		21,757.85			
DIGITAL ALLY II	NC COMNEW, DO	SLY, 25382	2P208							
Sale	100.000	11/20/20	12/07/20	241.99	229.00			12.99		
Sale	100.000	11/20/20	12/09/20	271.99	229.00			42.99		
Subtotals				513.98	458.00					
DIREXION SHS	ETF TR SP BIOT	CH BL NE\	W, LABU, 25490K323							
Sale	1.000	08/03/20	08/05/20	61.04	58.21			2.83		
Sale	48.000	08/04/20	08/05/20	2,929.98	2,814.37			115.61		
Sale	2.000	08/04/20	09/14/20	108.65	117.27			-8.62		
Sale	3.000	08/28/20	09/14/20	162.97	156.32			6.65		
Sale	5.000	09/04/20	09/14/20	271.61	223.58			48.03		
Subtotals				3,534.25	3,369.75					
DMY TECHNOL	OGY GROUPINC	II COM CL	A, DMYD, 23327710	2						
Sale	100.000	12/08/20	12/09/20	1,278.97	1,150.96			128.01		
DRAFTKINGS IN	NC COM CL A, DK	NG, 26142	2R104							
Sale	50.000	10/22/20	10/22/20	2,138.70	2,046.69			92.01		
Sale	50.000	10/22/20	10/22/20	2,138.70	2,054.50			84.20		
Sale	50.000	10/26/20	10/27/20	1,993.53	2,019.00		25.47	-25.47		

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02/07/2021 9006000000 Pages 53 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DRAFTKINGS II	NC COM CL A, DK	(NG, 26142	R104							
Sale	50.000	11/01/20	11/04/20	1,941.96	1,820.75			121.21		
Sale	1.000	11/02/20	11/04/20	38.84	35.91			2.93		
Sale	49.000	11/03/20	11/04/20	1,903.11	1,794.38			108.73		
Sale	1.000	11/03/20	11/05/20	41.40	36.62			4.78		
Sale	48.000	11/04/20	11/05/20	1,987.15	1,904.16			82.99		
Sale	2.000	11/04/20	11/11/20	82.94	79.34			3.60		
Sale	50.000	11/09/20	11/11/20	2,073.54	2,110.50		36.96	-36.96		
Sale	25.000	11/10/20	11/11/20	1,036.77	1,007.88			28.89		
Sale	23.000	11/11/20	11/11/20	953.83	925.75			28.08		
Sale	2.000	11/09/20	11/18/20	95.41	81.98			13.43		
Sale	25.000	11/23/20	11/30/20	1,331.47	1,267.18			64.29		
Sale	23.000	11/28/20	12/15/20	1,165.15	1,213.00		47.85	-47.85		
Sale	27.000	11/30/20	12/15/20	1,367.79	1,404.00		36.21	-36.21		
Sale	25.000	11/30/20	12/15/20	1,265.75	1,257.91			7.84		
Sale	25.000	11/30/20	12/16/20	1,274.22	1,240.90			33.32		
Sale	50.000	12/08/20	12/16/20	2,593.50	2,540.11			53.39		
Subtotals				25,423.76	24,840.56		146.49			
ELECTRAMECO	CANICA VEHS CO	RP COM N	IEW ISIN, SOLO, 284	1849205						
Sale	50.000	11/25/20	12/07/20	374.06	429.01		54.95	-54.95		
Sale	50.000	11/25/20	12/21/20	357.99	429.00		71.01	-71.01		
Sale	50.000	11/25/20	12/21/20	375.49	433.96		58.47	-58.47		
Sale	50.000	11/25/20	12/21/20	375.49	450.01		74.52	-74.52		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Ta Withheld
ELECTRAMECC	ANICA VEHS CO	RP COM N	NEW ISIN, SOLO, 284	1849205						
Sale	50.000	12/17/20	12/21/20	375.49	344.75			30.74		
Sale	100.000	11/25/20	12/22/20	716.98	885.49			-168.51		
Sale	50.000	12/21/20	12/22/20	358.49	376.25			-17.76		
Subtotals				2,933.99	3,348.47		258.95			
EXXON MOBIL C	ORP, XOM, 3023	31G102								
Sale	10.000	11/20/20	11/23/20	382.49	370.18			12.31		
FACEDRIVE INC	COM NPV ISIN	#CA30311	W1005, FDVRF, 3031	1W100						
Sale	100.000	12/17/20	12/17/20	1,196.07	1,291.00			-94.93		
FASTLY INC CL	A, FSLY, 31188V	100								
Sale	25.000	10/15/20	10/21/20	1,965.83	2,245.50		279.67	-279.67		
Sale	25.000	10/16/20	10/23/20	1,920.21	2,266.17			-345.96		
Sale	15.000	10/22/20	10/23/20	1,152.12	1,206.24			-54.12		
Subtotals				5,038.16	5,717.91		279.67			
FATE THERAPE	UTICS INC COM,	FATE, 31	189P102							
Sale	100.000	09/02/20	09/03/20	3,376.48	3,479.79			-103.31		
FIRST SOLAR IN	IC, FSLR, 336433	3107								
Sale	10.000	11/04/20	11/05/20	820.48	813.38			7.10		

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
FISKER INC CL	A COM STK, FSR	t, 33813J10	06							
Sale	100.000	11/05/20	11/09/20	1,124.47	1,094.00			30.47		
Sale	50.000	11/17/20	11/18/20	850.73	823.00			27.73		
Sale	100.000	11/23/20	11/25/20	1,683.96	1,569.00			114.96		
Sale	150.000	11/23/20	11/25/20	2,525.94	2,367.00			158.94		
Sale	150.000	11/23/20	11/25/20	2,698.70	2,405.63			293.07		
Sale	50.000	11/30/20	12/17/20	844.23	972.50		128.27	-128.27		
Sale	100.000	11/30/20	12/17/20	1,688.46	1,944.50		256.04	-256.04		
Sale	50.000	12/01/20	12/17/20	844.23	915.95		71.72	-71.72		
Sale	150.000	12/02/20	12/17/20	2,532.69	2,583.50		50.81	-50.81		
Sale	150.000	12/09/20	12/17/20	2,532.70	2,630.63		97.93	-97.93		
Sale	50.000	11/30/20	12/21/20	780.02	957.27		177.25	-177.25		
Sale	50.000	11/30/20	12/23/20	862.74	957.02		94.28	-94.28		
Sale	100.000	12/01/20	12/23/20	1,725.48	1,849.24		123.76	-123.76		
Sale	50.000	12/02/20	12/23/20	862.74	841.69			21.05		
Sale	250.000	12/10/20	12/23/20	4,313.70	4,264.55			49.15		
Sale	150.000	12/02/20	12/28/20	2,447.94	2,719.29		271.35	-271.35		
Sale	50.000	12/03/20	12/28/20	815.98	1,011.00		195.02	-195.02		
Sale	50.000	12/24/20	12/28/20	815.98	833.75		17.77	-17.77		
Subtotals				29,950.69	30,739.52		1,484.20			
FOLEY TRASIMI	ENE ACQUISITIO	N COM CL	A, BFT, 344328109							
Sale	100.000	12/14/20	12/21/20	1,400.97	1,468.00		67.03	-67.03		
Sale	25.000	12/16/20	12/21/20	350.24	343.50			6.74		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
FOLEY TRASIN	IENE ACQUISITIO	N COM CL	A, BFT, 344328109							
Sale	100.000	12/16/20	12/24/20	1,540.96	1,536.03			4.93		
Subtotals				3,292.17	3,347.53		67.03			
GENIUS BRANI	DS INTL INC COM	, GNUS, 37	7229T301							
Sale	50.000	10/08/20	10/12/20	75.74	66.68			9.06		
Sale	50.000	10/08/20	10/12/20	75.71	66.67			9.04		
Sale	250.000	10/09/20	10/12/20	378.53	346.25			32.28		
Sale	399.000	10/09/20	10/19/20	560.22	552.62			7.60		
Sale	1.000	10/09/20	11/11/20	1.19	1.38		0.19	-0.19		
Sale	1.000	10/09/20	11/11/20	1.23	1.34		0.11	-0.11		
Sale	1.000	10/09/20	11/11/20	1.18	1.15			0.03		
Sale	499.000	10/29/20	11/11/20	592.29	575.50			16.79		
Sale	100.000	10/29/20	11/11/20	123.00	115.33			7.67		
Sale	399.000	10/30/20	11/11/20	490.75	416.96			73.79		
Sale	250.000	11/02/20	11/11/20	294.24	260.00			34.24		
Sale	249.000	11/03/20	11/11/20	293.06	262.92			30.14		
Sale	1.000	11/03/20	11/18/20	1.16	1.06			0.10		
Sale	500.000	11/09/20	11/18/20	577.99	532.50			45.49		
Sale	499.000	11/12/20	11/18/20	576.82	563.87			12.95		
Sale	1.000	11/12/20	11/18/20	1.19	1.13			0.06		
Sale	499.000	11/12/20	11/18/20	593.79	556.39			37.40		
Sale	1.000	11/12/20	11/20/20	1.21	1.11			0.10		
Sale	249.000	11/13/20	11/20/20	300.71	289.59			11.12		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sym	ibol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
GENIUS BRAN	DS INTL INC COM	, GNUS, 372	229T301							
Sale	250.000	11/13/20	12/03/20	351.24	290.75			60.49		
Sale	1.000	11/13/20	12/04/20	1.38	1.16			0.22		
Subtotals				5,292.63	4,904.36		0.30			
GIGCAPITAL2	INC COM, GIX, 375	5036100								
Sale	125.000	12/10/20	12/14/20	1,294.97	1,358.75		25.51	-63.78		
Sale	25.000	12/10/20	12/14/20	258.74	271.75			-13.01		
Sale	50.000	12/10/20	12/14/20	517.49	568.01			-50.52		
Subtotals				2,071.20	2,198.51		25.51			
GODADDY INC	CL A, GDDY, 380	237107								
Sale	49.000	08/26/20	08/31/20	4,104.39	4,071.90			32.49		
Sale	1.000	08/26/20	09/15/20	75.18	83.10			-7.92		
Subtotals				4,179.57	4,155.00					
GRAYSCALE E	THEREUM TR ETI	H SHS, ETH	E, 389638107							
Sale	1.000	08/03/20	08/05/20	116.74	117.50			-0.76		
HEXO CORP C	OM NPV ISIN #CA	4283041099	SED, 428304109							
Sale	500.000	11/02/20	11/05/20	334.64	326.00			8.64		
Sale	1,000.000	11/24/20	12/02/20	1,169.97	808.50			361.47		
Sale	500.000	11/24/20	12/02/20	582.74	404.25			178.49		
Sale	500.000	11/24/20	12/02/20	582.74	404.40			178.34		
Sale	1,000.000	12/02/20	12/02/20	1,165.46	1,100.00			65.46		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
HEXO CORP C	OM NPV ISIN #CA	428304109	99 SED, 428304109							
Sale	500.000	12/02/20	12/02/20	582.99	563.30			19.69		
Sale	500.000	12/02/20	12/02/20	582.98	561.65			21.33		
Sale	1,000.000	12/02/20	12/02/20	1,199.97	1,115.00			84.97		
Sale	1,000.000	12/02/20	12/15/20	1,129.97	1,150.00		10.02	-20.03		
Sale	500.000	12/02/20	12/18/20	509.99	575.00			-65.01		
Sale	500.000	12/02/20	12/18/20	509.98	582.52			-72.54		
Subtotals				8,351.43	7,590.62		10.02			
HSBC HLDGS	PLC SPON ADR N	EW, HSBC	, 404280406							
Sale	100.000	11/25/20	11/30/20	2,629.94	2,664.00			-34.06		
HUYA INC ADS	S EACH REP 1 ORI	D SHS CL	A, HUYA, 44852D108							
Sale	100.000	08/25/20	09/03/20	2,690.44	2,700.00		9.56	-9.56		
Sale	100.000	08/26/20	09/15/20	2,652.94	2,705.06		52.12	-52.12		
Sale	100.000	08/26/20	09/15/20	2,721.93	2,633.62			88.31		
Sale	100.000	09/09/20	09/15/20	2,652.94	2,715.17		62.23	-62.23		
Sale	100.000	09/10/20	09/17/20	2,744.76	2,783.23		38.47	-38.47		
Sale	1.000	09/10/20	09/17/20	27.37	27.88		0.51	-0.51		
Sale	99.000	09/16/20	09/17/20	2,709.56	2,693.79			15.77		
Sale	1.000	09/10/20	09/24/20	24.79	27.72		2.93	-2.93		
Sale	99.000	09/11/20	09/24/20	2,454.15	2,725.94		271.79	-271.79		
Sale	1.000	09/10/20	09/30/20	23.75	30.08		6.33	-6.33		
Sale	99.000	09/12/20	09/30/20	2,351.60	2,746.79		395.19	-395.19		

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02/07/2021 9006000000 Pages 59 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
HUYA INC AD	S EACH REP 1 ORI	D SHS CL	A, HUYA, 44852D108							
Sale	1.000	09/25/20	09/30/20	23.75	25.00		1.25	-1.25		
Sale	94.000	09/25/20	09/30/20	2,232.84	2,354.70		121.86	-121.86		
Sale	6.000	09/12/20	10/01/20	150.86	176.59		25.73	-25.73		
Sale	189.000	09/26/20	10/01/20	4,752.28	5,096.71		344.43	-344.43		
Sale	5.000	10/01/20	10/01/20	125.74	121.65			4.09		
Sale	6.000	09/12/20	10/02/20	154.62	171.71		17.09	-17.09		
Sale	94.000	09/14/20	10/02/20	2,422.32	2,652.44		230.12	-230.12		
Sale	11.000	09/19/20	10/08/20	270.16	298.25		28.09	-28.09		
Sale	89.000	09/19/20	10/08/20	2,185.78	2,413.51		227.73	-227.73		
Sale	11.000	09/19/20	10/08/20	271.70	299.46		27.76	-27.76		
Sale	6.000	09/27/20	10/08/20	148.20	153.13		4.93	-4.93		
Sale	83.000	10/02/20	10/08/20	2,050.04	2,069.18		19.14	-19.14		
Sale	6.000	10/02/20	10/09/20	154.97	149.54			5.43		
Sale	89.000	09/25/20	10/19/20	1,966.86	2,222.22		255.36	-255.36		
Sale	11.000	09/25/20	10/19/20	243.09	274.27		31.18	-31.18		
Sale	11.000	09/27/20	10/23/20	237.49	273.95		36.46	-36.46		
Sale	50.000	09/28/20	10/23/20	1,079.47	1,206.71		127.24	-127.24		
Sale	39.000	09/29/20	10/23/20	841.99	958.33		116.34	-116.34		
Sale	50.000	10/15/20	10/23/20	1,079.47	1,112.83		33.36	-33.36		
Sale	39.000	10/15/20	10/26/20	837.51	865.24		27.73	-27.73		
Sale	111.000	10/15/20	10/26/20	2,383.67	2,609.73		226.06	-226.06		
Sale	50.000	10/23/20	10/26/20	1,073.72	1,086.79		13.07	-13.07		
Sale	39.000	10/12/20	11/05/20	850.19	971.61		121.42	-121.42		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

			`							
1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
HUYA INC AD	S EACH REP 1 ORI	D SHS CL	A, HUYA, 44852D108							
Sale	160.000	11/02/20	11/05/20	3,487.97	3,765.21		277.24	-277.24		
Sale	11.000	10/12/20	11/11/20	233.20	264.12		30.92	-30.92		
Sale	50.000	10/13/20	11/11/20	1,059.98	1,146.81		86.83	-86.83		
Sale	1.000	11/02/20	11/11/20	21.20	22.19		0.99	-0.99		
Sale	38.000	11/07/20	11/11/20	805.57	781.47			24.10		
Sale	12.000	10/27/20	11/30/20	256.80	289.46		32.66	-32.66		
Sale	49.000	10/29/20	11/30/20	1,048.58	1,126.10		77.52	-77.52		
Sale	39.000	11/01/20	11/30/20	834.57	956.02		121.45	-121.45		
Sale	50.000	10/30/20	12/01/20	1,002.14	1,096.28		94.14	-94.14		
Sale	50.000	11/02/20	12/01/20	1,002.47	1,142.35		139.88	-139.88		
Sale	1.000	11/07/20	12/01/20	20.05	20.57		0.52	-0.52		
Sale	1.000	11/18/20	12/01/20	20.05	22.23		2.18	-2.18		
Sale	98.000	11/22/20	12/01/20	1,964.86	2,186.46		221.60	-221.60		
Sale	50.000	10/31/20	12/09/20	1,015.40	1,071.14		55.74	-55.74		
Sale	50.000	10/31/20	12/09/20	991.72	1,013.54		21.82	-21.82		
Sale	50.000	11/03/20	12/09/20	1,015.40	1,116.88		101.48	-101.48		
Sale	50.000	11/03/20	12/09/20	986.72	1,059.26		72.54	-72.54		
Sale	1.000	11/09/20	12/09/20	20.31	19.82			0.49		
Sale	49.000	11/16/20	12/09/20	995.07	1,063.56		68.49	-68.49		
Sale	1.000	11/22/20	12/09/20	19.73	21.79		2.06	-2.06		
Sale	49.000	11/24/20	12/09/20	967.00	1,049.38		82.38	-82.38		
Sale	12.000	10/31/20	12/11/20	242.28	255.96			-13.68		
Sale	38.000	11/02/20) 12/11/20	767.20	767.11			0.09		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
HUYA INC ADS	EACH REP 1 ORI	D SHS CL	A, HUYA, 44852D108	3						
Sale	50.000	11/05/20	12/11/20	1,009.47	1,073.79			-64.32		
Sale	49.000	11/18/20	12/11/20	990.02	1,049.72			-59.70		
Sale	1.000	11/24/20	12/11/20	20.20	21.04		0.84	-0.84		
Sale	50.000	11/26/20	12/11/20	1,010.23	1,085.69			-75.46		
Sale	1.000	11/24/20	12/21/20	20.66	20.86			-0.20		
Subtotals				68,419.80	72,861.64		4,366.76			
HYLIION HOLDI	NGS CORP COM	MON STO	CK, HYLN, 44910910	7						
Sale	99.000	11/09/20	11/10/20	2,352.18	2,294.82			57.36		
Sale	1.000	11/09/20	11/16/20	22.91	23.18		0.27	-0.27		
Sale	49.000	11/10/20	11/16/20	1,122.81	1,114.02			8.79		
Sale	1.000	11/09/20	11/17/20	25.29	23.00			2.29		
Sale	100.000	11/10/20	11/17/20	2,529.19	2,273.50			255.69		
Sale	100.000	11/13/20	11/17/20	2,529.19	2,347.00			182.19		
Sale	199.000	11/17/20	11/17/20	5,033.10	5,079.48		46.38	-46.38		
Sale	1.000	11/17/20	11/20/20	25.32	25.52		0.20	-0.20		
Sale	49.000	11/20/20	11/20/20	1,240.65	1,207.51			33.14		
Sale	1.000	11/20/20	11/25/20	24.29	24.64		0.35	-0.35		
Sale	1.000	11/22/20	11/25/20	24.29	24.76		0.47	-0.47		
Sale	98.000	11/24/20	11/25/20	2,380.36	2,522.82		142.46	-142.46		
Sale	1.000	11/22/20	12/07/20	19.33	25.25		5.92	-5.92		
Sale	2.000	11/24/20	12/07/20	38.66	51.49		12.83	-12.83		
Sale	47.000	11/24/20	12/07/20	908.48	1,221.54		313.06	-313.06		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1	l b Date	1c Date Sold	1d Proceeds	1e Cost or	1f Accrued	1g Wash Sale	Gain/Loss (-)	4 Federal	14 State
		Acquired	or Disposed		Other Basis (b)	Market Discount	Loss Disallowed		Income Tax Withheld	16 State Tax Withheld
HYLIION HOLD	INGS CORP COM	MON STO	CK, HYLN, 449109107							
Sale	3.000	11/24/20	12/17/20	55.41	78.04		22.63	-22.63		
Sale	49.000	11/24/20	12/17/20	905.01	1,285.67		380.66	-380.66		
Sale	50.000	11/24/20	12/17/20	923.48	1,246.56		323.08	-323.08		
Sale	49.000	11/25/20	12/17/20	905.01	1,214.86		309.85	-309.85		
Sale	49.000	12/02/20	12/17/20	905.00	896.46			8.54		
Sale	1.000	11/24/20	12/23/20	18.82	25.83		7.01	-7.01		
Sale	149.000	11/25/20	12/23/20	2,804.11	3,543.20		739.09	-739.09		
Sale	1.000	11/24/20	12/28/20	16.68	23.93		7.25	-7.25		
Sale	1.000	11/25/20	12/28/20	16.68	23.24		6.56	-6.56		
Sale	48.000	12/16/20	12/28/20	800.62	812.08		11.46	-11.46		
Subtotals				25,626.87	27,408.40		2,329.53			
INNOCAP INC,	INNO, 45773A107									
Sale	2,000.000	11/24/20	11/30/20	107.99	100.00			7.99		
INOVIO PHARM	ACEUTICALS INC	COM NEV	N, INO, 45773H201							
Sale	50.000	10/07/20	10/16/20	600.42	623.89			-23.47		
Sale	100.000	11/20/20	11/27/20	1,121.97	1,057.00			64.97		
Sale	50.000	11/23/20	11/27/20	560.99	508.50			52.49		
Sale	150.000	11/24/20	11/27/20	1,797.22	1,554.00			243.22		
Sale	250.000	12/17/20	12/22/20	2,474.69	2,621.25			-146.56		
Subtotals				6,555.29	6,364.64					

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
INSMED INC, I	INSM, 457669307									
Sale	100.000	10/16/20	10/19/20	3,684.95	3,639.00			45.95		
INTEL CORP (COM USD0.001, IN	ΓC, 458140	100							
Sale	25.000	07/28/20	08/05/20	1,227.60	1,250.73			-23.13		
Sale	5.000	10/22/20	10/28/20	225.60	246.70		21.10	-21.10		
Sale	100.000	10/23/20	10/28/20	4,511.91	4,829.00		317.09	-317.09		
Sale	45.000	10/26/20	10/28/20	2,030.36	2,105.03		74.67	-74.67		
Sale	3.000	10/22/20	10/30/20	132.30	152.99		20.69	-20.69		
Sale	2.000	10/22/20	11/04/20	91.22	102.00		10.78	-10.78		
Sale	2.000	10/22/20	11/04/20	91.92	101.53		9.61	-9.61		
Sale	2.000	10/22/20	11/04/20	91.79	101.02		9.23	-9.23		
Sale	3.000	10/27/20	11/04/20	136.83	156.81		19.98	-19.98		
Sale	45.000	10/29/20	11/04/20	2,052.39	2,174.90		122.51	-122.51		
Sale	5.000	10/29/20	11/04/20	229.79	241.65		11.86	-11.86		
Sale	43.000	10/29/20	11/04/20	1,976.23	2,094.10		117.87	-117.87		
Sale	5.000	10/29/20	11/04/20	229.47	242.13		12.66	-12.66		
Sale	42.000	10/30/20	11/04/20	1,927.55	2,038.92		111.37	-111.37		
Sale	8.000	10/30/20	11/05/20	368.67	388.37		19.70	-19.70		
Sale	35.000	10/30/20	11/05/20	1,612.93	1,695.54		82.61	-82.61		
Sale	7.000	10/30/20	11/05/20	322.59	341.37		18.78	-18.78		
Sale	13.000	10/30/20	11/09/20	596.83	624.91		28.08	-28.08		
Sale	3.000	10/30/20	11/09/20	137.73	144.31		6.58	-6.58		
Sale	42.000	10/31/20	11/09/20	1,928.22	2,028.25		100.03	-100.03		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sym	nbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
INTEL CORP C	OM USD0.001, INT	ГС, 4581401	00							
Sale	42.000	11/02/20	11/09/20	1,928.21	1,975.45		47.24	-47.24		
Sale	53.000	11/01/20	11/11/20	2,436.88	2,560.62		123.74	-123.74		
Sale	3.000	11/02/20	11/11/20	137.94	141.10		3.16	-3.16		
Sale	44.000	11/04/20	11/11/20	2,023.07	2,131.49		108.42	-108.42		
Sale	3.000	11/01/20	11/13/20	135.88	147.69		11.81	-11.81		
Sale	47.000	11/02/20	11/13/20	2,128.81	2,229.22		100.41	-100.41		
Sale	3.000	11/01/20	11/16/20	139.42	146.12		6.70	-6.70		
Sale	3.000	11/02/20	11/16/20	139.42	142.50		3.08	-3.08		
Sale	3.000	11/03/20	11/16/20	139.42	137.46			1.96		
Sale	3.000	11/04/20	11/16/20	139.42	144.06		4.64	-4.64		
Sale	47.000	11/05/20	11/16/20	2,184.27	2,206.97		19.91	-22.70		
Sale	41.000	11/05/20	11/16/20	1,905.44	1,934.23		14.21	-28.79		
Sale	2.000	11/05/20	11/16/20	91.91	93.62			-1.71		
Sale	1.000	11/05/20	11/19/20	45.59	46.81		1.22	-1.22		
Sale	47.000	11/06/20	11/19/20	2,142.91	2,238.68		95.77	-95.77		
Sale	52.000	11/06/20	11/19/20	2,370.89	2,413.93		43.04	-43.04		
Sale	1.000	11/06/20	12/01/20	49.79	45.84			3.95		
Sale	100.000	12/05/20	12/21/20	4,553.39	4,897.53			-344.14		
Subtotals				42,614.59	44,693.58		1,698.55			
INTERNATION	AL BUS MACH CO	RP COM US	SD0.20, IBM, 459200	0101						
Sale	25.000	11/10/20	11/16/20	2,947.68	2,937.13			10.55		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity ,	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
JINKOSOLAR H	OLDING CO LTD	SPON AD	S EACHR, JKS, 477	59T100						
Sale	15.000	11/18/20	11/20/20	933.20	896.10			37.10		
Sale	10.000	11/18/20	11/20/20	622.14	597.10			25.04		
Sale	25.000	12/03/20	12/04/20	1,615.84	1,555.25			60.59		
Subtotals				3,171.18	3,048.45					
KANDI TECHNO	LOGIES GROUP	INC, KND	I, 483709101							
Sale	50.000	12/02/20	12/07/20	471.48	378.25			93.23		
Sale	50.000	12/02/20	12/08/20	461.98	378.25			83.73		
Sale	50.000	12/02/20	12/08/20	473.98	378.25			95.73		
Sale	50.000	12/02/20	12/08/20	452.10	378.25			73.85		
Sale	50.000	12/02/20	12/28/20	349.99	378.25		28.26	-28.26		
Sale	50.000	12/08/20	12/28/20	349.99	433.41		83.42	-83.42		
Sale	50.000	12/08/20	12/28/20	349.99	436.00			-86.01		
Subtotals				2,909.51	2,760.66		111.68			
LANDCADIA HL	DGS II INC CL A,	51476X10	5							
Sale	100.000	12/01/20	12/02/20	2,055.95	1,941.50			114.45		
LI AUTO INC SP	N ADS ECH REP	2 ORD SH	IS CL, LI, 50202M10	2						
Sale	99.000	11/11/20	11/12/20	2,694.72	2,470.05			224.67		
Sale	1.000	11/11/20	11/16/20	32.64	24.95			7.69		
Sale	50.000	11/13/20	11/16/20	1,631.96	1,552.00			79.96		
Sale	49.000	11/13/20	11/16/20	1,599.32	1,519.00			80.32		
Sale	1.000	11/13/20	11/30/20	39.01	31.00			8.01		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sym	bol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
LI AUTO INC SI	PN ADS ECH REP	2 ORD SHS	CL, LI, 50202M102							
Sale	49.000	11/25/20	11/30/20	1,911.44	1,999.20		87.76	-87.76		
Sale	1.000	11/25/20	12/08/20	34.08	40.80		6.72	-6.72		
Sale	49.000	11/25/20	12/08/20	1,670.03	1,854.95		184.92	-184.92		
Sale	1.000	11/25/20	12/18/20	31.87	42.78		10.91	-10.91		
Sale	49.000	11/25/20	12/18/20	1,561.84	1,897.01		335.17	-335.17		
Sale	1.000	12/02/20	12/18/20	31.87	34.94		3.07	-3.07		
Sale	50.000	12/03/20	12/18/20	1,593.71	1,668.39		74.68	-74.68		
Sale	49.000	12/14/20	12/18/20	1,561.84	1,536.15			25.69		
Sale	1.000	11/25/20	12/21/20	32.69	42.26		9.57	-9.57		
Sale	100.000	12/03/20	12/21/20	3,268.92	3,618.42		349.50	-349.50		
Sale	49.000	12/18/20	12/21/20	1,601.78	1,570.70			31.08		
Sale	1.000	11/25/20	12/22/20	31.28	41.62		10.34	-10.34		
Sale	99.000	12/03/20	12/22/20	3,096.65	3,586.44		489.79	-489.79		
Sale	1.000	11/25/20	12/23/20	30.55	43.05		12.50	-12.50		
Sale	1.000	12/03/20	12/23/20	30.55	33.56		3.01	-3.01		
Sale	99.000	12/04/20	12/23/20	3,024.11	3,532.56			-508.45		
Sale	49.000	12/21/20	12/23/20	1,496.78	1,602.54		105.76	-105.76		
Sale	300.000	12/23/20	12/23/20	9,163.99	9,220.50			-56.51		
Sale	51.000	12/21/20	12/28/20	1,490.15	1,688.75			-198.60		
Sale	99.000	12/23/20	12/28/20	2,892.63	3,042.77			-150.14		
Subtotals				40,554.41	42,694.39		1,683.70			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
LIVERAMP HI	_DGS INC COM, RA	MP, 53815F	P108							
Sale	100.000	09/28/20	09/28/20	5,266.25	5,358.00		91.75	-91.75		
Sale	100.000	09/28/20	09/29/20	5,191.88	5,379.25		187.37	-187.37		
Sale	100.000	09/28/20	09/30/20	5,185.59	5,374.37		188.78	-188.78		
Sale	100.000	09/28/20	10/02/20	5,320.88	5,365.78			-44.90		
Sale	50.000	11/11/20	11/13/20	3,025.76	3,062.50		36.74	-36.74		
Sale	3.000	11/11/20	11/13/20	180.30	183.75		3.45	-3.45		
Sale	47.000	11/11/20	11/13/20	2,824.63	2,939.14		114.51	-114.51		
Sale	3.000	11/11/20	11/13/20	180.67	187.60		6.93	-6.93		
Sale	47.000	11/11/20	11/13/20	2,830.51	2,943.91		113.40	-113.40		
Sale	3.000	11/11/20	11/16/20	184.50	184.05			0.45		
Sale	50.000	11/11/20	11/16/20	3,074.93	3,157.83		82.90	-82.90		
Sale	47.000	11/13/20	11/16/20	2,890.43	2,855.25			35.18		
Sale	25.000	11/12/20	11/23/20	1,415.22	1,589.77		174.55	-174.55		
Sale	23.000	11/12/20	11/23/20	1,302.00	1,465.21		163.21	-163.21		
Sale	3.000	11/13/20	11/23/20	169.83	182.25		12.42	-12.42		
Sale	2.000	11/13/20	11/23/20	113.22	127.32		14.10	-14.10		
Sale	48.000	11/18/20	11/23/20	2,717.22	2,980.80		263.58	-263.58		
Sale	50.000	11/18/20	11/23/20	2,830.44	3,035.00		204.56	-204.56		
Sale	49.000	11/18/20	11/23/20	2,773.81	2,946.11		172.30	-172.30		
Sale	1.000	11/12/20	11/24/20	55.65	67.10		11.45	-11.45		
Sale	1.000	11/12/20	11/24/20	55.66	67.64			-11.98		
Sale	99.000	11/18/20	11/24/20	5,509.22	6,178.20			-668.98		
Sale	99.000	11/18/20	11/24/20	5,508.33	5,941.64			-433.31		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
LIVERAMP HLD	GS INC COM, RA	MP, 53815	5P108							
Sale	1.000	11/18/20	0 12/01/20	57.33	59.71			-2.38		
Subtotals				58,664.26	61,632.18		1,842.00			
LORDSTOWN M	OTORS CORP C	OM CL A,	RIDE, 54405Q100							
Sale	100.000	11/09/20	0 11/11/20	1,705.96	1,856.50		150.54	-150.54		
Sale	100.000	11/09/20	0 11/11/20	1,705.97	1,856.50		150.53	-150.53		
Sale	50.000	11/09/20	0 11/11/20	852.99	908.77		55.78	-55.78		
Sale	50.000	11/09/20	0 11/13/20	897.73	920.52			-22.79		
Sale	150.000	11/09/20	0 11/13/20	2,693.19	2,742.06			-48.87		
Sale	50.000	12/18/20	0 12/22/20	1,045.97	939.35			106.62		
Subtotals				8,901.81	9,223.70		356.85			
LYFT INC CL A	COM, LYFT, 5508	37P104								
Sale	1.000	10/22/20	0 11/02/20	24.09	25.62		1.53	-1.53		
Sale	98.000	10/30/20	0 11/02/20	2,361.26	2,237.23			124.03		
Sale	1.000	10/22/20	0 11/10/20	36.93	24.36			12.57		
Sale	1.000	10/30/20	0 11/10/20	36.92	22.83			14.09		
Subtotals				2,459.20	2,310.04		1.53			
MARATHON PA	TENT GROUP IN	С СОМ, М	ARA, 56585W401							
Sale	100.000	12/03/20	0 12/07/20	582.48	598.58		16.10	-16.10		
Sale	50.000	12/03/20	0 12/14/20	265.99	299.29		33.30	-33.30		
Sale	15.000	12/03/20	0 12/14/20	80.54	89.79		9.25	-9.25		
Sale	35.000	12/03/20	0 12/14/20	187.59	209.50		21.91	-21.91		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sym	bol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
MARATHON PA	TENT GROUP IN	C COM, MAF	RA, 56585W401							
Sale	50.000	12/03/20	12/14/20	267.99	272.81		4.82	-4.82		
Sale	15.000	12/03/20	12/14/20	80.40	81.10		0.47	-0.70		
Sale	35.000	12/03/20	12/14/20	200.98	189.56			11.42		
Sale	35.000	12/04/20	12/14/20	187.60	187.81			-0.21		
Sale	65.000	12/04/20	12/14/20	373.24	348.79			24.45		
Subtotals				2,226.81	2,277.23		85.85			
MARVELL TECH	GROUP COM U	SD0.002, MF	RVL, G5876H105							
Sale	200.000	08/28/20	08/31/20	7,757.82	7,347.92			409.90		
Sale	200.000	09/04/20	09/09/20	7,830.82	7,419.00			411.82		
Sale	1.000	09/09/20	09/15/20	39.17	39.22		0.05	-0.05		
Sale	149.000	09/11/20	09/15/20	5,836.31	5,743.21			93.10		
Sale	1.000	09/09/20	09/16/20	38.70	38.59			0.11		
Sale	50.000	09/11/20	09/16/20	1,934.81	1,927.25			7.56		
Sale	99.000	09/11/20	09/16/20	3,830.93	3,813.98			16.95		
Sale	1.000	09/11/20	09/17/20	38.80	38.52			0.28		
Sale	50.000	09/17/20	09/17/20	1,940.03	1,904.99			35.04		
Sale	149.000	09/17/20	09/17/20	5,781.27	5,703.23			78.04		
Sale	50.000	09/17/20	09/17/20	1,935.17	1,913.83			21.34		
Sale	1.000	09/17/20	09/23/20	38.40	38.28			0.12		
Sale	99.000	09/18/20	09/23/20	3,801.61	3,806.06		2.25	-4.45		
Sale	1.000	09/18/20	10/23/20	40.99	38.44			2.55		
Sale	49.000	10/17/20	10/23/20	2,008.46	1,993.50			14.96		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
MARVELL TECH	GROUP COM U	SD0.002, M	RVL, G5876H105							
Sale	1.000	10/17/20	10/26/20	40.87	40.68			0.19		
Sale	50.000	11/10/20	11/11/20	2,087.71	2,037.25			50.46		
Sale	49.000	11/10/20	11/11/20	2,045.96	1,986.71			59.25		
Sale	1.000	11/10/20	12/01/20	46.56	40.54			6.02		
Subtotals				47,074.39	45,871.20		2.30			
MICROSOFT CO	ORP, MSFT, 5949	18104								
Sale	10.000	11/12/20	12/01/20	2,161.35	2,186.00			-24.65		
MOLECULAR TE	EMPLATES INC C	OM, MTEN	l, 608550109							
Sale	50.000	11/20/20	12/10/20	414.12	455.00			-40.88		
NANO DIMENSIO	ON LTD SPON AI	OS EA REP	R 1 OR, NNDM, 6300	08G203						
Sale	150.000	11/13/20	11/16/20	602.98	555.00			47.98		
Sale	49.000	11/13/20	11/16/20	202.41	181.30			21.11		
Sale	1.000	11/13/20	12/03/20	7.51	3.70			3.81		
Subtotals				812.90	740.00					
NANO-X IMAGIN	IG LTD COM ILSO	0.01, NNOX	, M70700105							
Sale	50.000	11/20/20	11/23/20	1,891.88	1,860.25			31.63		
Sale	10.000	11/25/20	11/27/20	497.50	464.00			33.50		
Sale	40.000	11/25/20	11/27/20	1,989.99	1,855.80			134.19		
Subtotals				4,379.37	4,180.05					

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sym	bol, CUSIP							
Action	Quantity 1		c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
NANOSTRING T	ECHNOLOGIES	INC, NSTG, 6	63009R109							
Sale	1.000	08/03/20	09/15/20	43.24	37.44			5.80		
NETFLIX INC, N	FLX, 64110L106									
Sale	5.000	11/03/20	11/04/20	2,507.34	2,426.18			81.16		
Sale	5.000	11/04/20	11/04/20	2,500.52	2,504.85			-4.33		
Subtotals				5,007.86	4,931.03					
NIKOLA CORP (COM, NKLA, 6541	10105								
Sale	1.000	08/10/20	09/03/20	36.21	42.95		6.74	-6.74		
Sale	10.000	08/11/20	09/03/20	362.10	430.50		68.40	-68.40		
Sale	20.000	08/21/20	09/03/20	724.21	803.20		78.99	-78.99		
Sale	49.000	08/28/20	09/03/20	1,774.30	2,026.64		252.34	-252.34		
Sale	1.000	08/10/20	09/14/20	35.78	48.10		12.32	-12.32		
Sale	1.000	08/10/20	09/14/20	33.14	44.83		11.69	-11.69		
Sale	49.000	09/08/20	09/14/20	1,753.18	1,838.47		85.29	-85.29		
Sale	30.000	09/08/20	09/14/20	994.18	1,129.95		135.77	-135.77		
Sale	49.000	09/08/20	09/14/20	1,623.81	1,837.04		213.23	-213.23		
Sale	20.000	09/14/20	09/14/20	662.79	650.30			12.49		
Sale	1.000	08/10/20	09/15/20	30.60	47.44		16.84	-16.84		
Sale	79.000	09/08/20	09/15/20	2,417.35	2,978.12		560.77	-560.77		
Sale	21.000	09/14/20	09/15/20	642.59	698.88		56.29	-56.29		
Sale	99.000	09/15/20	09/15/20	3,029.32	3,299.18		269.86	-269.86		
Sale	1.000	08/10/20	09/16/20	34.12	50.16		16.04	-16.04		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity '	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
NIKOLA CORP	COM, NKLA, 6541	10105								
Sale	1.000	08/10/20	09/16/20	33.81	47.60		13.79	-13.79		
Sale	49.000	09/09/20	09/16/20	1,671.84	1,891.76		219.92	-219.92		
Sale	99.000	09/16/20	09/16/20	3,346.74	3,527.55		180.81	-180.81		
Sale	49.000	09/16/20	09/16/20	1,665.47	1,546.62			118.85		
Sale	51.000	09/16/20	09/16/20	1,733.45	1,748.75		15.30	-15.30		
Sale	1.000	08/11/20	09/18/20	34.22	45.44		11.22	-11.22		
Sale	30.000	09/03/20	09/18/20	1,010.97	1,145.36		134.39	-134.39		
Sale	20.000	09/10/20	09/18/20	673.99	783.75		109.76	-109.76		
Sale	19.000	09/10/20	09/18/20	650.09	730.44		80.35	-80.35		
Sale	30.000	09/16/20	09/18/20	1,026.45	1,076.41		49.96	-49.96		
Sale	1.000	08/14/20	09/23/20	25.30	37.15		11.85	-11.85		
Sale	25.000	09/06/20	09/23/20	632.48	741.31		108.83	-108.83		
Sale	24.000	09/13/20	09/23/20	607.18	735.91		128.73	-128.73		
Sale	1.000	09/16/20	09/23/20	25.30	34.23		8.93	-8.93		
Sale	49.000	09/18/20	09/23/20	1,239.66	1,644.61		404.95	-404.95		
Sale	1.000	09/18/20	09/23/20	25.30	33.38		8.08	-8.08		
Sale	50.000	09/18/20	09/23/20	1,264.96	1,700.45		435.49	-435.49		
Sale	49.000	09/19/20	09/23/20	1,239.64	1,440.82		201.18	-201.18		
Sale	1.000	08/14/20	10/16/20	21.20	38.59		17.39	-17.39		
Sale	99.000	10/10/20	10/16/20	2,099.18	2,866.23		767.05	-767.05		
Sale	1.000	08/14/20	10/19/20	20.46	37.41		16.95	-16.95		
Sale	1.000	10/10/20	10/19/20	20.46	31.39		10.93	-10.93		
Sale	99.000	10/12/20	10/19/20	2,025.18	2,693.08		667.90	-667.90		

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02/07/2021 9006000000 Pages 73 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
NIKOLA CORF	COM, NKLA, 6541	10105								
Sale	99.000	10/13/20	10/19/20	2,025.17	2,756.95		731.78	-731.78		
Sale	1.000	10/19/20	10/19/20	20.46	20.10			0.36		
Sale	199.000	10/19/20	10/19/20	4,070.80	4,316.15		245.35	-245.35		
Sale	1.000	08/14/20	10/26/20	21.13	38.64		17.51	-17.51		
Sale	1.000	08/24/20	11/05/20	20.22	37.11		16.89	-16.89		
Sale	51.000	10/24/20	11/05/20	989.89	1,247.12		257.23	-257.23		
Sale	50.000	10/24/20	11/05/20	995.72	1,227.53		231.81	-231.81		
Sale	100.000	10/27/20	11/05/20	2,021.95	2,567.46		545.51	-545.51		
Sale	199.000	11/05/20	11/05/20	4,023.69	4,744.22		720.53	-720.53		
Sale	1.000	11/05/20	11/05/20	19.41	20.94		1.53	-1.53		
Sale	98.000	11/05/20	11/05/20	1,902.14	2,041.63		139.49	-139.49		
Sale	200.000	11/05/20	11/05/20	3,881.90	4,904.63		1,022.73	-1,022.73		
Sale	1.000	10/24/20	11/09/20	20.63	25.32		4.69	-4.69		
Sale	98.000	11/01/20	11/09/20	2,021.88	2,578.52		556.64	-556.64		
Sale	2.000	11/01/20	11/11/20	38.05	53.33		15.28	-15.28		
Sale	49.000	11/03/20	11/11/20	932.20	1,212.30		280.10	-280.10		
Sale	49.000	11/05/20	11/11/20	932.20	1,026.44		94.24	-94.24		
Sale	49.000	11/05/20	11/11/20	931.40	991.78		60.38	-60.38		
Sale	1.000	11/05/20	11/11/20	19.01	20.95		1.94	-1.94		
Sale	50.000	11/09/20	11/11/20	951.53	1,029.57		78.04	-78.04		
Sale	1.000	10/14/20	11/13/20	20.67	25.27		4.60	-4.60		
Sale	49.000	10/31/20	11/13/20	1,013.05	1,193.76		180.71	-180.71		
Sale	2.000	11/02/20	11/13/20	41.35	54.78		13.43	-13.43		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

	of property, Stock of	•								
Action	Quantity <i>f</i>	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
NIKOLA CORF	P COM, NKLA, 6541	10105								
Sale	99.000	11/04/20	11/13/20	2,046.77	2,516.58		469.81	-469.81		
Sale	49.000	11/05/20	11/13/20	1,013.06	1,317.92		304.86	-304.86		
Sale	1.000	11/07/20	11/16/20	22.37	23.21		0.84	-0.84		
Sale	1.000	11/09/20	11/16/20	22.37	20.01			2.36		
Sale	48.000	11/12/20	11/16/20	1,073.73	974.36			99.37		
Sale	48.000	11/11/20	11/17/20	1,052.86	1,159.01		106.15	-106.15		
Sale	50.000	11/11/20	11/17/20	1,096.72	1,173.57		76.85	-76.85		
Sale	1.000	11/12/20	11/17/20	21.93	20.30			1.63		
Sale	1.000	11/15/20	11/17/20	21.94	23.80		1.86	-1.86		
Sale	52.000	11/12/20	11/25/20	1,588.92	1,751.29		162.37	-162.37		
Sale	1.000	11/15/20	11/25/20	30.56	23.80			6.76		
Sale	97.000	11/16/20	11/25/20	2,963.92	3,393.23		429.31	-429.31		
Sale	3.000	11/16/20	11/25/20	92.16	105.21		13.05	-13.05		
Sale	47.000	11/23/20	11/25/20	1,443.81	1,472.63		28.82	-28.82		
Sale	52.000	11/17/20	12/02/20	980.03	1,763.97		783.94	-783.94		
Sale	1.000	11/18/20	12/02/20	18.85	28.97		10.12	-10.12		
Sale	48.000	11/19/20	12/02/20	904.63	1,617.44		712.81	-712.81		
Sale	51.000	11/21/20	12/02/20	961.17	1,546.07		584.90	-584.90		
Sale	97.000	11/21/20	12/02/20	1,828.11	3,112.31		1,284.20	-1,284.20		
Sale	3.000	11/21/20	12/02/20	56.54	96.03		39.49	-39.49		
Sale	1.000	11/23/20	12/02/20	18.85	30.74		11.89	-11.89		
Sale	47.000	11/24/20	12/02/20	885.76	1,519.16		633.40	-633.40		
Sale	150.000	11/20/20	12/03/20	2,805.95	4,678.06		1,872.11	-1,872.11		

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Syr	nbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
NIKOLA CORP	COM, NKLA, 6541	10105								
Sale	103.000	11/18/20	12/08/20	1,979.63	3,089.02		1,109.39	-1,109.39		
Sale	103.000	11/23/20	12/08/20	1,979.62	3,022.86		1,043.24	-1,043.24		
Sale	47.000	11/24/20	12/08/20	903.32	1,489.98		586.66	-586.66		
Sale	47.000	11/25/20	12/08/20	903.30	1,540.57		637.27	-637.27		
Sale	100.000	11/18/20	12/17/20	1,714.46	2,959.11		1,244.65	-1,244.65		
Sale	100.000	11/18/20	12/24/20	1,458.73	2,955.65			-1,496.92		
Sale	53.000	11/21/20	12/24/20	773.12	1,572.64		11.90	-799.52		
Sale	100.000	11/24/20	12/24/20	1,458.72	2,921.04			-1,462.32		
Sale	1.000	11/28/20	12/24/20	14.59	32.66			-18.07		
Sale	47.000	11/29/20	12/24/20	685.60	822.73			-137.13		
Sale	47.000	12/04/20	12/24/20	685.60	1,475.63			-790.03		
Sale	52.000	12/17/20	12/24/20	758.51	889.72			-131.21		
Subtotals				96,427.67	123,777.78		22,768.63			
NIO INC SPON	ADS EACH REP 1	ORD SHS	CLAS, NIO, 62914V	1 106						
Sale	1.000	08/05/20	08/28/20	18.98	13.87			5.11		
Sale	20.000	11/17/20	11/18/20	920.41	900.40			20.01		
Sale	50.000	12/04/20	12/16/20	2,218.45	2,128.00			90.45		
Sale	25.000	12/04/20	12/16/20	1,109.23	1,076.45			32.78		
Sale	25.000	12/16/20	12/16/20	1,109.22	1,112.15		2.93	-2.93		
Sale	25.000	12/16/20	12/18/20	1,182.97	1,112.15			70.82		
Sale	25.000	12/16/20	12/18/20	1,182.97	1,109.50			73.47		
Sale	25.000	12/16/20	12/18/20	1,182.97	1,112.43			70.54		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
NIO INC SPON	ADS EACH REP 1	ORD SHS	S CLAS, NIO, 62914V106	6						
Sale	25.000	12/16/20	12/18/20	1,182.98	1,103.00			79.98		
Sale	100.000	12/18/20	12/21/20	4,910.37	4,696.00			214.37		
Sale	50.000	12/21/20	12/21/20	2,472.94	2,452.50			20.44		
Sale	100.000	12/21/20	12/21/20	4,921.89	4,905.00			16.89		
Sale	50.000	12/22/20	12/22/20	2,380.16	2,482.00		101.84	-101.84		
Sale	50.000	12/22/20	12/23/20	2,373.94	2,482.00		108.06	-108.06		
Sale	50.000	12/22/20	12/28/20	2,318.20	2,486.06		167.86	-167.86		
Sale	50.000	12/23/20	12/28/20	2,318.19	2,479.84		161.65	-161.65		
Sale	100.000	12/24/20	12/29/20	4,459.40	4,777.01		317.61	-317.61		
Sale	100.000	12/29/20	12/29/20	4,459.40	4,447.50			11.90		
Subtotals				40,722.67	40,875.86		859.95			
NORWEGIAN CI	RUISE LINE HLD	G LTD SH	S, NCLH, G66721104							
Sale	100.000	10/14/20	10/16/20	1,614.38	1,665.16		50.78	-50.78		
Sale	200.000	10/14/20	10/20/20	3,333.60	3,330.32			3.28		
Sale	100.000	10/14/20	10/20/20	1,666.80	1,654.78			12.02		
Subtotals				6,614.78	6,650.26		50.78			
NVIDIA CORPO	RATION COM, N\	/DA, 67066	6G104							
Sale	5.000	09/18/20	09/24/20	2,397.44	2,503.28			-105.84		
OCCIDENTAL P	ETE CORPCOM,	OXY, 6745	599105							
Sale	50.000	10/07/20	10/08/20	542.51	508.30			34.21		
Sale	49.000	10/08/20	10/08/20	531.66	517.73			13.93		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Symb	ool, CUSIP							
Action	Quantity 1		c Date Sold r Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
OCCIDENTAL P	ETE CORPCOM,	OXY, 674599	105							
Sale	1.000	10/08/20	10/26/20	9.43	10.57			-1.14		
Subtotals				1,083.60	1,036.60					
OPENDOOR TE	CHNOLOGIES IN	C COM, OPE	N, 683712103							
Sale	157.000	12/22/20	12/24/20	4,612.30	4,710.00		97.70	-97.70		
Sale	43.000	12/22/20	12/24/20	1,263.24	1,288.71		25.47	-25.47		
Sale	100.000	12/23/20	12/24/20	2,937.78	2,725.58			212.20		
Sale	200.000	12/22/20	12/28/20	4,944.89	5,893.17		948.28	-948.28		
Sale	200.000	12/22/20	12/28/20	5,026.89	6,060.28		1,033.39	-1,033.39		
Sale	100.000	12/28/20	12/28/20	2,513.44	2,556.00			-42.56		
Subtotals				21,298.54	23,233.74		2,104.84			
OPKO HEALTH	INC, OPK, 68375	N103								
Sale	100.000	11/11/20	11/18/20	392.36	372.00			20.36		
PALANTIR TEC	HNOLOGIES INC	CL A, PLTR,	69608A108							
Sale	100.000	11/27/20	11/30/20	2,865.93	2,805.00			60.93		
Sale	50.000	12/02/20	12/03/20	1,223.72	1,136.75			86.97		
Sale	50.000	12/02/20	12/03/20	1,223.72	1,124.00			99.72		
Sale	50.000	12/03/20	12/07/20	1,385.46	1,201.01			184.45		
Sale	50.000	12/03/20	12/07/20	1,403.96	1,201.00			202.96		
Sale	50.000	12/04/20	12/07/20	1,396.96	1,206.50			190.46		
Sale	50.000	12/04/20	12/07/20	1,422.97	1,206.50			216.47		
Sale	50.000	12/18/20	12/18/20	1,300.74	1,329.98		29.24	-29.24		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
PALANTIR TECH	HNOLOGIES INC	CL A, PLTF	R, 69608A108							
Sale	100.000	12/18/20	12/18/20	2,601.48	2,607.50		6.02	-6.02		
Sale	1.000	12/18/20	12/18/20	25.96	26.59		0.63	-0.63		
Sale	49.000	12/18/20	12/18/20	1,272.01	1,308.05		36.04	-36.04		
Sale	51.000	12/18/20	12/21/20	1,349.68	1,334.68			15.00		
Sale	48.000	12/18/20	12/21/20	1,270.30	1,250.41			19.89		
Sale	1.000	12/18/20	12/21/20	26.46	26.62		0.16	-0.16		
Sale	1.000	12/18/20	12/21/20	26.49	26.05			0.44		
Sale	1.000	12/18/20	12/21/20	26.49	26.56		0.07	-0.07		
Sale	49.000	12/21/20	12/21/20	1,297.98	1,293.49			4.49		
Sale	49.000	12/21/20	12/21/20	1,297.98	1,329.53		31.55	-31.55		
Sale	50.000	12/21/20	12/21/20	1,392.49	1,319.89			72.60		
Sale	1.000	12/21/20	12/23/20	28.56	26.40			2.16		
Sale	49.000	12/22/20	12/23/20	1,399.40	1,464.72		65.32	-65.32		
Sale	1.000	12/22/20	12/23/20	28.94	29.90		0.96	-0.96		
Sale	49.000	12/22/20	12/23/20	1,418.27	1,479.22		60.95	-60.95		
Sale	200.000	12/23/20	12/23/20	5,788.88	5,771.00			17.88		
Sale	1.000	12/22/20	12/30/20	25.76	29.81		4.05	-4.05		
Sale	1.000	12/26/20	12/30/20	25.76	25.74			0.02		
Sale	48.000	12/29/20	12/30/20	1,236.45	1,262.66		26.21	-26.21		
Sale	150.000	12/30/20	12/30/20	3,863.91	3,757.50			106.41		
Subtotals				36,626.71	35,607.06		261.20			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of pro	operty, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
PAYPAL HLDGS IN	C COM, PYPL	, 70450Y10)3							
Sale	5.000	11/20/20	11/23/20	974.80	960.15			14.65		
PEGASYSTEMS INC	C COM USD0.	01, PEGA,	705573103							
Sale	10.000	10/23/20	10/23/20	1,341.82	1,336.55			5.27		
PFIZER INC, PFE, 7	'17081103									
Sale	50.000	11/17/20	11/18/20	1,870.70	1,811.00			59.70		
PIEDMONT LITHIUN	M LTDSPON A	DS EACH	REP 10, PLL, 720162	2106						
Sale	49.000	11/18/20	11/19/20	1,343.31	1,293.60			49.71		
Sale	1.000	11/18/20	12/01/20	26.36	26.40		0.04	-0.04		
Sale	1.000	11/18/20	12/01/20	26.31	29.70			-3.39		
Sale	2.000	11/25/20	12/01/20	52.73	59.31			-6.58		
Sale	46.000	11/25/20	12/01/20	1,210.23	1,364.20			-153.97		
Sale	1.000	11/25/20	12/04/20	26.09	29.66			-3.57		
Subtotals				2,685.03	2,802.87		0.04			
PROSHARES ULTR	APRO SHOR	T QQQ, SC	QQ, 74347G861							
Sale	10.000	08/10/20	08/18/20	261.74	281.92			-20.18		
PTC INC, PTC, 6937	70C100									
Sale	10.000	08/28/20	09/03/20	936.07	900.14			35.93		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
QUTOUTIAO I	INC SPON ADS EAG	CH REP 0.:	25 ORD, QTT, 74915	J107						
Sale	1.000	07/06/20	09/23/20	2.51	3.70		1.19	-1.19		
Sale	150.000	07/07/20	09/23/20	377.24	583.50		206.26	-206.26		
Sale	50.000	07/15/20	09/23/20	125.75	170.00		44.25	-44.25		
Sale	50.000	07/16/20	09/23/20	125.75	153.41		27.66	-27.66		
Sale	30.000	07/23/20	09/23/20	75.45	83.25		7.80	-7.80		
Sale	20.000	07/24/20	09/23/20	50.30	55.90		5.60	-5.60		
Sale	50.000	08/12/20	09/23/20	125.75	142.00		16.25	-16.25		
Sale	50.000	08/25/20	09/23/20	125.75	121.84			3.91		
Sale	99.000	09/17/20	09/23/20	248.97	237.60			11.37		
Sale	1.000	07/06/20	10/06/20	2.45	3.59		1.14	-1.14		
Sale	100.000	07/13/20	10/06/20	242.49	341.41		98.92	-98.92		
Sale	50.000	07/13/20	10/06/20	121.25	172.63		51.38	-51.38		
Sale	249.000	07/22/20	10/06/20	611.28	856.54		245.26	-245.26		
Sale	1.000	07/22/20	10/06/20	2.42	2.88		0.46	-0.46		
Sale	99.000	08/19/20	10/06/20	240.07	254.06		13.99	-13.99		
Sale	150.000	07/14/20	10/12/20	385.49	485.21		99.72	-99.72		
Sale	50.000	07/22/20	10/12/20	128.49	156.03		27.54	-27.54		
Sale	100.000	07/23/20	10/12/20	257.40	298.23		40.83	-40.83		
Sale	151.000	07/23/20	10/12/20	388.67	510.90		122.23	-122.23		
Sale	49.000	08/01/20	10/12/20	126.14	122.39			3.75		
Sale	99.000	07/14/20	10/15/20	246.51	318.96		72.45	-72.45		
Sale	101.000	07/22/20	10/15/20	251.49	320.99		69.50	-69.50		
Sale	51.000	07/23/20	10/15/20	126.99	142.37		15.38	-15.38		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity /	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
QUTOUTIAO	INC SPON ADS EAG	CH REP 0.2	25 ORD, QTT, 74915.	J107						
Sale	1.000	08/19/20	10/15/20	2.49	2.59		0.10	-0.10		
Sale	50.000	08/20/20	10/15/20	124.50	127.71		3.21	-3.21		
Sale	199.000	10/09/20	10/15/20	495.50	512.74		17.24	-17.24		
Sale	499.000	10/12/20	10/15/20	1,242.46	1,294.91		52.45	-52.45		
Sale	1.000	07/07/20	10/19/20	2.51	3.21		0.70	-0.70		
Sale	250.000	07/23/20	10/19/20	628.71	754.95		126.24	-126.24		
Sale	249.000	10/09/20	10/19/20	626.25	616.33			9.92		
Sale	1.000	07/08/20	10/21/20	2.49	3.11		0.62	-0.62		
Sale	1.000	10/09/20	10/21/20	2.49	2.48			0.01		
Sale	498.000	10/17/20	10/21/20	1,241.64	1,255.02		13.38	-13.38		
Sale	1.000	07/09/20	10/26/20	2.43	3.00		0.57	-0.57		
Sale	250.000	07/26/20	10/26/20	607.50	721.64		114.14	-114.14		
Sale	251.000	07/26/20	10/26/20	611.14	717.51		106.37	-106.37		
Sale	1.000	10/17/20	10/26/20	2.43	2.52		0.09	-0.09		
Sale	49.000	10/18/20	10/26/20	119.07	118.02			1.05		
Sale	199.000	10/18/20	10/26/20	483.54	480.96			2.58		
Sale	201.000	10/18/20	10/26/20	489.42	485.79			3.63		
Sale	48.000	10/18/20	10/26/20	116.91	116.56			0.35		
Sale	201.000	07/29/20	11/16/20	490.98	556.64		65.66	-65.66		
Sale	50.000	07/30/20	11/16/20	122.13	117.73			4.40		
Sale	1.000	10/18/20	11/16/20	2.44	2.43			0.01		
Sale	1.000	10/21/20	11/16/20	2.44	2.35			0.09		
Sale	247.000	10/30/20	11/16/20	603.38	558.98			44.40		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
QUTOUTIAO IN	IC SPON ADS EAG	CH REP 0.2	25 ORD, QTT, 74915.	J107						
Sale	201.000	07/29/20	11/19/20	478.38	502.84		24.46	-24.46		
Sale	1.000	10/30/20	11/19/20	2.38	2.26			0.12		
Sale	298.000	11/03/20	11/19/20	709.21	648.14			61.07		
Sale	151.000	07/21/20	11/20/20	361.76	377.08		15.32	-15.32		
Sale	50.000	07/29/20	11/20/20	119.79	119.73			0.06		
Sale	1.000	11/03/20	11/20/20	2.40	2.18			0.22		
Sale	48.000	11/09/20	11/20/20	114.96	112.80			2.16		
Sale	200.000	11/09/20	11/23/20	479.98	470.00			9.98		
Sale	51.000	11/09/20	11/23/20	122.60	119.85			2.75		
Sale	151.000	07/31/20	12/10/20	417.26	438.12		20.86	-20.86		
Sale	99.000	11/30/20	12/10/20	273.57	277.20		3.63	-3.63		
Subtotals				15,493.75	17,064.77		1,732.85			
RIOT BLOCKCH	HAIN INC COM, RI	OT, 76729	2105							
Sale	500.000	10/30/20	11/03/20	1,737.46	1,607.50			129.96		
Sale	500.000	11/02/20	11/03/20	1,749.96	1,647.50			102.46		
Sale	250.000	11/04/20	11/04/20	916.22	865.75			50.47		
Sale	247.000	11/04/20	11/05/20	948.45	855.36			93.09		
Sale	3.000	11/04/20	11/05/20	11.54	10.39			1.15		
Sale	250.000	11/05/20	11/11/20	897.48	920.25		22.77	-22.77		
Sale	100.000	11/05/20	11/11/20	369.41	378.61		9.20	-9.20		
Sale	150.000	11/05/20	11/11/20	554.10	567.91		13.81	-13.81		
Sale	50.000	11/05/20	11/11/20	184.74	180.44			4.30		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 7		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
RIOT BLOCKCH	AIN INC COM, RI	OT, 767292	2105							
Sale	150.000	11/05/20	11/11/20	554.24	541.32			12.92		
Sale	50.000	11/05/20	11/12/20	184.92	180.43			4.49		
Sale	240.000	11/10/20	11/12/20	887.62	805.39			82.23		
Sale	10.000	11/10/20	11/17/20	56.02	33.56			22.46		
Sale	100.000	11/18/20	11/19/20	578.98	620.00		41.02	-41.02		
Sale	100.000	11/26/20	11/30/20	692.98	650.02			42.96		
Sale	100.000	11/27/20	11/30/20	692.98	609.00			83.98		
Sale	50.000	11/27/20	11/30/20	345.99	304.50			41.49		
Sale	249.000	11/27/20	11/30/20	1,723.04	1,568.95			154.09		
Sale	1.000	11/27/20	12/04/20	9.56	6.30			3.26		
Subtotals				13,095.69	12,353.18		86.80			
RMG ACQUISITI	ON CORPCL A, 7	749641106								
Sale	100.000	11/27/20	11/30/20	1,731.96	1,620.00			111.96		
Sale	400.000	11/27/20	11/30/20	6,931.84	6,480.00			451.84		
Sale	250.000	11/30/20	12/04/20	4,538.34	4,338.75			199.59		
Sale	50.000	12/04/20	12/04/20	887.48	889.50		2.02	-2.02		
Sale	150.000	12/04/20	12/04/20	2,662.44	2,590.25			72.19		
Sale	29.000	12/04/20	12/04/20	514.74	505.76			8.98		
Sale	21.000	12/04/20	12/04/20	372.74	366.45			6.29		
Sale	50.000	12/04/20	12/08/20	856.48	894.52		38.04	-38.04		
Sale	50.000	12/04/20	12/09/20	1,013.47	943.04			70.43		
Sale	50.000	12/04/20	12/09/20	1,028.47	905.00			123.47		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
RMG ACQUISI	TION CORPCL A, 7	749641106								
Sale	100.000	12/07/20	12/10/20	2,020.96	1,710.50			310.46		
Sale	1.000	12/10/20	12/10/20	23.15	19.62			3.53		
Sale	49.000	12/10/20	12/10/20	1,134.32	971.18			163.14		
Sale	50.000	12/10/20	12/10/20	1,159.97	991.00			168.97		
Sale	1.000	12/10/20	12/11/20	22.70	19.82			2.88		
Sale	99.000	12/10/20	12/11/20	2,247.24	1,965.65			281.59		
Sale	1.000	12/10/20	12/15/20	19.08	19.85		0.77	-0.77		
Sale	100.000	12/11/20	12/15/20	1,908.46	2,214.00		305.54	-305.54		
Sale	99.000	12/11/20	12/15/20	1,889.37	2,122.07		232.70	-232.70		
Sale	1.000	12/10/20	12/18/20	21.40	22.20		0.80	-0.80		
Sale	100.000	12/13/20	12/18/20	2,139.95	2,231.04		91.09	-91.09		
Sale	100.000	12/13/20	12/18/20	2,149.95	2,135.47			14.48		
Sale	99.000	12/14/20	12/18/20	2,118.55	2,256.76		138.21	-138.21		
Sale	1.000	12/13/20	12/21/20	23.90	21.36			2.54		
Sale	99.000	12/24/20	12/28/20	3,509.97	3,714.09			-204.12		
Sale	1.000	12/28/20	12/28/20	35.45	36.12			-0.67		
Sale	100.000	12/28/20	12/28/20	3,545.42	3,610.00			-64.58		
Subtotals				44,507.80	43,594.00		809.17			
ROKU INC CO	M CL A, ROKU, 775	543R102								
Sale	9.000	08/03/20	08/06/20	1,354.16	1,425.51		71.35	-71.35		
Sale	1.000	08/03/20	08/10/20	148.11	158.39		10.28	-10.28		
Sale	9.000	08/04/20	08/10/20	1,332.96	1,506.49		173.53	-173.53		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity ,	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
ROKU INC COM	CL A, ROKU, 77	543R102								
Sale	11.000	08/07/20	08/10/20	1,629.17	1,754.06		68.12	-124.89		
Sale	4.000	08/07/20	08/10/20	592.42	625.93			-33.51		
Sale	1.000	08/03/20	08/26/20	159.90	166.76		6.86	-6.86		
Sale	10.000	08/09/20	08/26/20	1,598.96	1,692.27		93.31	-93.31		
Sale	4.000	08/09/20	08/26/20	639.58	642.14		2.56	-2.56		
Sale	1.000	08/09/20	08/28/20	176.50	160.53			15.97		
Sale	10.000	08/25/20	09/15/20	1,673.96	1,717.98			-44.02		
Sale	5.000	08/28/20	09/15/20	836.98	814.75			22.23		
Sale	4.000	09/14/20	09/15/20	666.21	648.00			18.21		
Sale	1.000	09/14/20	09/15/20	168.44	162.00			6.44		
Subtotals				10,977.35	11,474.81		426.01			
SEMTECH COR	P, SMTC, 816850	101								
Sale	4.000	08/03/20	08/21/20	243.19	226.87			16.32		
Sale	1.000	08/03/20	08/28/20	59.44	56.72			2.72		
Subtotals				302.63	283.59					
SHOPIFY INC C	OM NPV CL A ISI	N #CA8250	09L1, SHOP, 82509L	_107						
Sale	1.000	07/28/20	07/29/20	1,091.27	984.53			106.74		
Sale	1.000	07/31/20	08/03/20	1,069.97	1,021.04			48.93		
Sale	1.000	08/11/20	08/18/20	1,004.63	1,007.16		2.53	-2.53		
Sale	1.000	08/12/20	08/18/20	1,004.63	997.04			7.59		
Sale	1.000	08/28/20	09/11/20	902.49	960.44		57.95	-57.95		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock	or Other Sy	mbol, CUSIP							
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SHOPIFY INC C	OM NPV CL A IS	IN #CA825	09L1, SHOP, 82509L	107						
Sale	1.000	09/04/20	0 09/11/20	902.49	957.91		55.42	-55.42		
Sale	4.000	09/09/20	0 09/11/20	3,609.96	3,811.56		201.60	-201.60		
Sale	6.000	09/09/20	0 09/24/20	5,405.88	5,780.97			-375.09		
Sale	3.000	11/03/20	11/04/20	2,901.23	2,844.65			56.58		
Sale	2.000	11/10/20	11/11/20	1,849.95	1,811.22			38.73		
Sale	2.000	11/10/20	11/11/20	1,863.07	1,796.00			67.07		
Sale	2.000	11/13/20	11/18/20	1,833.40	1,836.65			-3.25		
Subtotals				23,438.97	23,809.17		317.50			
SKILLZ INC CO	M, SKLZ, 83067L1	109								
Sale	100.000	12/28/20	12/29/20	1,960.95	1,923.00			37.95		
SLACK TECHNO	LOGIES INC CO	M CL A, W	/ORK, 83088V102							
Sale	50.000	10/05/20	10/06/20	1,425.56	1,424.50			1.06		
Sale	50.000	11/10/20	11/11/20	1,257.72	1,235.30			22.42		
Sale	100.000	11/17/20	11/17/20	2,642.94	2,599.00			43.94		
Subtotals				5,326.22	5,258.80					
SNAP INC CL A	SNAP, 83304A10	06								
Sale	99.000	08/28/20	0 09/02/20	2,219.53	2,192.85			26.68		
Sale	1.000	08/28/20	0 09/03/20	23.04	22.15			0.89		
Sale	1.000	09/02/20	09/11/20	23.76	22.95			0.81		
Sale	200.000	09/09/20	09/11/20	4,752.89	4,761.00		8.11	-8.11		
Sale	199.000	09/10/20	09/11/20	4,729.13	4,793.91		64.78	-64.78		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SNAP INC CL A	, SNAP, 83304A10)6								
Sale	1.000	09/09/20	09/15/20	24.69	24.13			0.56		
Sale	1.000	09/09/20	09/15/20	24.69	24.04			0.65		
Sale	298.000	09/13/20	09/15/20	7,357.45	7,098.95			258.50		
Sale	2.000	09/13/20	09/16/20	49.28	48.02			1.26		
Sale	1.000	09/15/20	09/22/20	25.03	25.13		0.10	-0.10		
Sale	1.000	09/15/20	09/22/20	24.98	24.34			0.64		
Sale	49.000	09/16/20	09/22/20	1,226.66	1,203.82			22.84		
Sale	47.000	09/16/20	09/22/20	1,174.27	1,154.69			19.58		
Sale	2.000	09/17/20	09/22/20	49.97	48.49			1.48		
Sale	1.000	09/17/20	09/23/20	25.68	24.24			1.44		
Sale	99.000	09/18/20	09/23/20	2,542.76	2,393.57			149.19		
Sale	1.000	09/18/20	09/28/20	25.64	24.18			1.46		
Sale	99.000	09/25/20	09/28/20	2,538.80	2,428.97			109.83		
Sale	100.000	09/25/20	09/29/20	2,606.94	2,453.50			153.44		
Sale	1.000	09/25/20	09/30/20	26.32	24.53			1.79		
Sale	99.000	09/28/20	09/30/20	2,605.87	2,533.98			71.89		
Sale	1.000	09/28/20	09/30/20	26.01	25.60			0.41		
Sale	99.000	09/29/20	09/30/20	2,575.21	2,588.85			-13.64		
Sale	1.000	09/29/20	10/26/20	41.21	26.15			15.06		
Subtotals				34,719.81	33,968.04		72.99			





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SOCIAL CAP HE	DOSOPHIA HLD	GS CORP	II COM, G8250T109							
Sale	100.000	11/24/20	11/25/20	1,874.95	1,936.00		61.05	-61.05		
Sale	100.000	11/26/20	11/30/20	2,299.94	2,242.05			57.89		
Sale	50.000	12/09/20	12/09/20	1,287.97	1,276.00			11.97		
Sale	100.000	12/09/20	12/14/20	2,691.94	2,576.00			115.94		
Sale	100.000	12/09/20	12/14/20	2,694.09	2,576.00			118.09		
Sale	100.000	12/15/20	12/17/20	2,836.43	2,922.00			-85.57		
Subtotals				13,685.32	13,528.05		61.05			
SOCIAL CAP HE	DOSOPHIA HLD	GS CORP	VI COM, IPOF, G825	51L105						
Sale	100.000	12/23/20	12/23/20	1,233.38	1,240.94		7.56	-7.56		
Sale	3.000	12/23/20	12/29/20	38.09	37.23			0.86		
Sale	145.000	12/23/20	12/29/20	1,796.51	1,799.36		0.98	-2.85		
Subtotals				3,067.98	3,077.53		8.54			
SOCIAL CAPITA	L HEDOSOPHIA	HLDGS III	COM U, IPOC, G825	1K107						
Sale	100.000	12/17/20	12/18/20	1,228.47	1,098.00			130.47		
Sale	100.000	12/18/20	12/21/20	1,278.97	1,202.50			76.47		
Sale	200.000	12/24/20	12/24/20	3,141.95	2,958.00			183.95		
Subtotals				5,649.39	5,258.50					
SPIRIT AIRLINE	S INC, SAVE, 848	3577102								
Sale	200.000	09/18/20	09/24/20	3,181.92	3,615.00			-433.08		
Sale	500.000	10/27/20	10/29/20	7,954.82	8,327.50		372.68	-372.68		
Sale	475.000	10/27/20	10/29/20	8,055.82	8,072.80		16.98	-16.98		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SPIRIT AIRLIN	NES INC, SAVE, 848	3577102								
Sale	25.000	10/27/20	10/29/20	423.99	424.57		0.58	-0.58		
Sale	25.000	10/28/20	10/30/20	439.66	439.65			0.01		
Sale	475.000	10/28/20	10/30/20	8,353.64	8,359.41		5.77	-5.77		
Sale	1.000	10/28/20	11/13/20	19.25	17.41			1.84		
Sale	1.000	10/31/20	11/13/20	19.25	17.62			1.63		
Sale	98.000	11/09/20	11/13/20	1,886.45	1,934.73		48.28	-48.28		
Sale	102.000	11/09/20	11/13/20	1,990.08	2,013.70		23.62	-23.62		
Sale	90.000	11/09/20	11/13/20	1,755.95	1,739.89			16.06		
Sale	8.000	11/09/20	11/13/20	156.08	154.62			1.46		
Sale	2.000	11/09/20	11/17/20	40.67	38.66			2.01		
Sale	27.000	11/13/20	11/17/20	549.03	558.29		9.26	-9.26		
Sale	71.000	11/15/20	11/17/20	1,443.75	1,402.05			41.70		
Sale	79.000	11/15/20	11/18/20	1,635.26	1,560.02			75.24		
Sale	21.000	11/15/20	11/18/20	434.69	424.15			10.54		
Sale	2.000	11/15/20	11/23/20	41.34	40.40			0.94		
Sale	71.000	11/15/20	11/23/20	1,467.54	1,495.15		27.61	-27.61		
Sale	29.000	11/15/20	11/23/20	599.42	603.13		3.71	-3.71		
Sale	48.000	11/16/20	11/23/20	992.13	987.24			4.89		
Sale	1.000	11/16/20	11/27/20	23.42	20.57			2.85		
Sale	1.000	11/16/20	11/27/20	23.42	20.57			2.85		
Sale	98.000	11/17/20	11/27/20	2,295.51	2,272.32			23.19		
Sale	2.000	11/17/20	11/27/20	46.55	46.00			0.55		
Sale	23.000	11/21/20	11/27/20	535.31	531.33			3.98		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SPIRIT AIRLINES	S INC, SAVE, 848	3577102								
Sale	27.000	11/21/20	11/27/20	628.40	626.75			1.65		
Sale	48.000	11/25/20	11/27/20	1,117.16	1,097.76			19.40		
Sale	2.000	11/25/20	12/01/20	45.45	45.74		0.29	-0.29		
Sale	1.000	12/12/20	12/21/20	25.33	26.41		1.08	-1.08		
Sale	1.000	12/15/20	12/21/20	25.33	25.31			0.02		
Sale	148.000	12/21/20	12/21/20	3,748.27	3,724.76			23.51		
Sale	1.000	12/12/20	12/23/20	24.54	26.25		1.71	-1.71		
Sale	99.000	12/21/20	12/23/20	2,429.90	2,491.56		61.66	-61.66		
Subtotals				52,409.33	53,181.32		573.23			
SQUARE INC CL	A, SQ, 8522341	03								
Sale	5.000	11/04/20	11/05/20	881.21	859.95			21.26		
Sale	5.000	11/04/20	11/05/20	881.20	861.15			20.05		
Sale	10.000	11/05/20	11/06/20	1,935.85	1,833.00			102.85		
Subtotals				3,698.26	3,554.10					
SUNPOWER CO	RP, SPWR, 8676	52406								
Sale	50.000	11/18/20	11/19/20	1,029.72	994.00			35.72		
SUPER MICRO	COMPUTERING (COM USDO	0.001, SMCI, 86800L	J104						
Sale	100.000	10/22/20	10/30/20	2,287.94	2,619.50		331.56	-331.56		
Sale	26.000	10/22/20	11/02/20	613.58	757.01		143.43	-143.43		
Sale	24.000	10/22/20	11/04/20	630.23	698.77		68.54	-68.54		
Sale	26.000	10/22/20	11/04/20	682.74	716.19		33.45	-33.45		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 7		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SUPER MICRO	COMPUTERING	COM USD0	.001, SMCI, 86800U1	04						
Sale	16.000	10/22/20	11/04/20	427.19	440.73		13.54	-13.54		
Sale	8.000	10/22/20	11/04/20	213.60	220.11		6.51	-6.51		
Sale	26.000	10/22/20	11/04/20	694.18	744.68		50.50	-50.50		
Sale	50.000	10/22/20	11/04/20	1,334.97	1,258.24			76.73		
Sale	24.000	10/22/20	11/04/20	674.38	575.05			99.33		
Sale	26.000	10/22/20	11/04/20	730.58	655.91			74.67		
Sale	2.000	11/03/20	11/04/20	56.20	46.57			9.63		
Sale	48.000	11/04/20	11/04/20	1,348.77	1,272.00			76.77		
Sale	2.000	11/04/20	11/13/20	58.61	53.00			5.61		
Sale	48.000	11/10/20	11/13/20	1,406.60	1,343.52			63.08		
Sale	1.000	11/10/20	11/19/20	29.96	27.99			1.97		
Sale	1.000	11/10/20	11/23/20	29.67	27.99			1.68		
Sale	49.000	11/20/20	11/23/20	1,453.79	1,469.47			-15.68		
Sale	1.000	11/20/20	12/04/20	30.28	29.99			0.29		
Subtotals				12,703.27	12,956.72		647.53			
SVMK INC COM	, SVMK, 78489X1	03								
Sale	50.000	08/31/20	09/03/20	1,219.47	1,243.25			-23.78		
SWITCHBACK E	NERGY ACQUIS	TN CRP CO	OM CL A, SBE, 87105	5M102						
Sale	25.000	11/25/20	11/27/20	904.73	879.38			25.35		
Sale	50.000	12/29/20	12/29/20	1,969.95	2,034.25		64.30	-64.30		
Subtotals				2,874.68	2,913.63		64.30			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sym	nbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TANDEM DIABE	TES CAREINC C	OM NEW, T	NDM, 875372203							
Sale	5.000	08/03/20	08/05/20	525.33	518.30			7.03		
Sale	4.000	08/03/20	08/06/20	429.18	422.78			6.40		
Sale	1.000	08/03/20	09/01/20	114.37	105.70			8.67		
Subtotals				1,068.88	1,046.78					
TESLA INC COM	1, TSLA, 88160R1	01								
Sale	2.000	09/04/20	09/14/20	810.02	838.93		28.91	-28.91		
Sale	2.000	09/04/20	09/14/20	796.31	772.11			24.20		
Sale	2.000	09/08/20	09/14/20	810.02	684.70			125.32		
Sale	2.000	09/11/20	09/14/20	796.31	743.20			53.11		
Sale	1.000	10/09/20	10/13/20	444.11	433.68			10.43		
Sale	9.000	10/12/20	10/13/20	3,996.94	3,993.48			3.46		
Sale	2.000	11/03/20	11/03/20	843.58	825.90			17.68		
Sale	5.000	11/03/20	11/03/20	2,108.95	2,081.03			27.92		
Sale	10.000	11/12/20	11/16/20	4,399.90	4,191.00			208.90		
Subtotals				15,006.14	14,564.03		28.91			
THE9 LTD SPON	ADS EACH REF	30 CL A O	RDSH, NCTY, 8833	7K302						
Sale	250.000	11/24/20	11/24/20	934.97	859.50			75.47		
Sale	23.000	11/24/20	12/01/20	71.52	79.07		7.55	-7.55		
Sale	77.000	11/24/20	12/01/20	240.23	264.73		24.50	-24.50		
Sale	150.000	11/24/20	12/18/20	512.99	515.70		2.71	-2.71		
Sale	23.000	11/24/20	12/18/20	78.66	89.34		10.68	-10.68		

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AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
THE9 LTD SPO	N ADS EACH REF	30 CL A C	RDSH, NCTY, 8833	37K302						
Sale	27.000	11/24/20	12/18/20	92.33	104.60		12.27	-12.27		
Sale	50.000	11/24/20	12/18/20	170.96	193.70		22.74	-22.74		
Sale	50.000	11/24/20	12/18/20	170.95	178.70		7.75	-7.75		
Sale	100.000	11/24/20	12/18/20	354.34	349.44			4.90		
Sale	50.000	11/24/20	12/18/20	177.17	180.43			-3.26		
Sale	50.000	11/24/20	12/18/20	177.17	180.23			-3.06		
Sale	50.000	11/24/20	12/18/20	177.25	165.24			12.01		
Sale	50.000	12/17/20	12/18/20	177.24	157.48			19.76		
Subtotals				3,335.78	3,318.16		88.20			
THUNDER BRII	DGE ACQUISITION	N II LTD CC	OM US, THBR, G885	57S116						
Sale	50.000	12/21/20	12/21/20	550.04	548.00			2.04		
Sale	1.000	12/24/20	12/28/20	14.03	12.77			1.26		
Sale	99.000	12/24/20	12/28/20	1,387.94	1,264.23			123.71		
Sale	100.000	12/24/20	12/28/20	1,399.96	1,277.00			122.96		
Subtotals				3,351.97	3,102.00					
TILRAY INC CC	M USD0.0001 CL/	ASS 2, TLR	Y, 88688T100							
Sale	50.000	07/28/20	07/29/20	391.54	371.84			19.70		
Sale	44.000	07/30/20	08/04/20	355.95	336.60			19.35		
Sale	56.000	07/30/20	08/04/20	453.03	428.40			24.63		
Sale	100.000	07/31/20	08/04/20	808.98	739.00			69.98		
Sale	1.000	08/04/20	09/16/20	5.70	8.11		2.41	-2.41		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC CO	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	100.000	08/05/20	09/16/20	569.99	814.00		244.01	-244.01		
Sale	100.000	08/12/20	09/16/20	569.99	708.01		138.02	-138.02		
Sale	50.000	08/24/20	09/16/20	284.99	333.00		48.01	-48.01		
Sale	50.000	08/28/20	09/16/20	284.99	329.75		44.76	-44.76		
Sale	100.000	09/04/20	09/16/20	569.99	557.80			12.19		
Sale	99.000	09/04/20	09/16/20	564.28	581.14		16.86	-16.86		
Sale	1.000	08/04/20	09/22/20	5.29	8.28		2.99	-2.99		
Sale	199.000	08/12/20	09/22/20	1,052.68	1,514.95		462.27	-462.27		
Sale	1.000	08/04/20	09/28/20	4.85	8.69		3.84	-3.84		
Sale	199.000	08/12/20	09/28/20	964.33	1,512.99		548.66	-548.66		
Sale	200.000	09/04/20	09/28/20	969.17	1,251.01		281.84	-281.84		
Sale	100.000	09/16/20	09/28/20	484.59	570.00		85.41	-85.41		
Sale	1.000	09/22/20	09/28/20	4.85	5.28		0.43	-0.43		
Sale	499.000	09/23/20	09/28/20	2,418.10	2,559.82		141.72	-141.72		
Sale	1.000	08/04/20	09/30/20	5.10	8.97		3.87	-3.87		
Sale	1.000	08/04/20	09/30/20	5.06	8.84		3.78	-3.78		
Sale	299.000	08/28/20	09/30/20	1,513.23	2,161.52		648.29	-648.29		
Sale	499.000	09/16/20	09/30/20	2,544.84	3,409.16		864.32	-864.32		
Sale	1.000	08/04/20	10/05/20	5.07	8.68		3.61	-3.61		
Sale	1.000	08/04/20	10/05/20	5.23	8.49		3.26	-3.26		
Sale	1.000	08/04/20	10/05/20	5.21	8.12		2.91	-2.91		
Sale	199.000	08/12/20	10/05/20	1,040.74	1,426.01		385.27	-385.27		
Sale	199.000	08/12/20	10/05/20	1,036.77	1,342.06		305.29	-305.29		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	299.000	08/28/20	10/05/20	1,517.38	2,108.31		590.93	-590.93		
Sale	1.000	08/28/20	10/05/20	5.21	6.36		1.15	-1.15		
Sale	99.000	08/28/20	10/05/20	515.77	613.40		97.63	-97.63		
Sale	1.000	08/28/20	10/05/20	5.21	6.16		0.95	-0.95		
Sale	200.000	08/28/20	10/05/20	1,042.79	1,279.44		236.65	-236.65		
Sale	100.000	08/28/20	10/05/20	521.39	581.34		59.95	-59.95		
Sale	201.000	08/28/20	10/05/20	1,074.31	1,204.01		129.70	-129.70		
Sale	200.000	09/16/20	10/05/20	1,014.99	1,147.90		132.91	-132.91		
Sale	199.000	09/16/20	10/05/20	1,037.58	1,103.15		65.57	-65.57		
Sale	299.000	09/16/20	10/05/20	1,598.13	1,769.60		171.47	-171.47		
Sale	1.000	09/22/20	10/05/20	5.21	5.43		0.22	-0.22		
Sale	199.000	09/23/20	10/05/20	1,037.60	1,045.55		7.95	-7.95		
Sale	1.000	08/05/20	10/06/20	4.89	6.68		1.79	-1.79		
Sale	1.000	08/05/20	10/06/20	4.89	7.02		2.13	-2.13		
Sale	200.000	08/13/20	10/06/20	978.80	1,178.68		199.88	-199.88		
Sale	49.000	08/25/20	10/06/20	239.83	293.66		53.83	-53.83		
Sale	249.000	08/25/20	10/06/20	1,217.58	1,588.10		370.52	-370.52		
Sale	250.000	09/17/20	10/06/20	1,222.47	1,438.90		216.43	-216.43		
Sale	300.000	09/23/20	10/06/20	1,466.97	1,576.20		109.23	-109.23		
Sale	200.000	09/23/20	10/06/20	977.98	1,107.89		129.91	-129.91		
Sale	250.000	10/06/20	10/06/20	1,222.47	1,339.75		117.28	-117.28		
Sale	99.000	08/13/20	10/07/20	532.11	511.90			20.21		
Sale	249.000	08/25/20	10/07/20	1,273.59	1,590.07		316.48	-316.48		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	COM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	242.000	08/25/20	10/07/20	1,290.10	1,491.62		201.52	-201.52		
Sale	7.000	08/25/20	10/07/20	37.32	46.37		9.05	-9.05		
Sale	150.000	08/25/20	10/07/20	806.21	918.22		112.01	-112.01		
Sale	50.000	08/29/20	10/07/20	268.63	283.52		14.89	-14.89		
Sale	99.000	09/05/20	10/07/20	534.09	546.43		12.34	-12.34		
Sale	251.000	09/17/20	10/07/20	1,283.85	1,563.67		279.82	-279.82		
Sale	251.000	09/17/20	10/07/20	1,338.07	1,509.17		171.10	-171.10		
Sale	201.000	09/17/20	10/07/20	1,080.37	1,203.85		123.48	-123.48		
Sale	52.000	09/17/20	10/07/20	280.52	277.99			2.53		
Sale	42.000	09/17/20	10/07/20	226.58	244.66		18.08	-18.08		
Sale	42.000	09/17/20	10/07/20	225.74	223.79			1.95		
Sale	1.000	09/22/20	10/07/20	5.37	5.70		0.33	-0.33		
Sale	57.000	09/23/20	10/07/20	307.53	326.68		19.15	-19.15		
Sale	193.000	09/23/20	10/07/20	1,037.35	1,104.56		67.21	-67.21		
Sale	14.000	09/23/20	10/07/20	75.26	77.65		2.39	-2.39		
Sale	16.000	08/07/20	10/08/20	87.52	92.31		4.79	-4.79		
Sale	150.000	08/26/20	10/08/20	811.01	906.26		95.25	-95.25		
Sale	136.000	08/26/20	10/08/20	743.90	803.74		59.84	-59.84		
Sale	1.000	08/26/20	10/08/20	5.60	5.60			0.00		
Sale	151.000	08/26/20	10/08/20	846.31	901.11		54.80	-54.80		
Sale	50.000	08/30/20	10/08/20	270.34	279.64		9.30	-9.30		
Sale	99.000	09/06/20	10/08/20	535.26	536.55		1.29	-1.29		
Sale	114.000	09/06/20	10/08/20	623.56	612.97			10.59		

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02/07/2021 9006000000 Pages 97 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	8.000	09/18/20	10/08/20	43.25	46.76		3.51	-3.51		
Sale	41.000	09/18/20	10/08/20	224.27	231.08		6.81	-6.81		
Sale	8.000	09/23/20	10/08/20	43.24	42.10			1.14		
Sale	185.000	09/23/20	10/08/20	1,000.24	1,026.09		25.85	-25.85		
Sale	43.000	09/23/20	10/08/20	235.20	226.26			8.94		
Sale	56.000	09/23/20	10/08/20	306.31	292.63			13.68		
Sale	193.000	09/23/20	10/08/20	1,055.68	1,012.48			43.20		
Sale	1.000	09/23/20	10/08/20	5.47	5.62		0.15	-0.15		
Sale	150.000	09/23/20	10/08/20	820.49	815.21			5.28		
Sale	28.000	09/23/20	10/08/20	156.94	152.17			4.77		
Sale	7.000	09/23/20	10/08/20	39.23	39.76		0.53	-0.53		
Sale	13.000	09/24/20	10/08/20	72.89	71.05			1.84		
Sale	1.000	09/24/20	10/08/20	5.85	5.47			0.38		
Sale	249.000	10/06/20	10/08/20	1,457.86	1,336.36			121.50		
Sale	1.000	08/07/20	10/12/20	6.29	7.98		1.69	-1.69		
Sale	13.000	08/08/20	10/12/20	81.77	80.84			0.93		
Sale	86.000	08/08/20	10/12/20	540.92	569.65		28.73	-28.73		
Sale	42.000	09/24/20	10/12/20	267.43	272.40		4.97	-4.97		
Sale	158.000	09/24/20	10/12/20	1,006.04	1,053.89		47.85	-47.85		
Sale	1.000	10/06/20	10/12/20	6.37	5.37			1.00		
Sale	199.000	10/09/20	10/12/20	1,267.10	1,272.61		5.51	-5.51		
Sale	87.000	08/08/20	10/13/20	512.99	576.17		63.18	-63.18		
Sale	101.000	10/09/20	10/13/20	595.54	632.77		37.23	-37.23		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 7	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	COM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	100.000	10/09/20	10/13/20	589.64	612.77		23.13	-23.13		
Sale	98.000	10/09/20	10/13/20	577.84	617.46		39.62	-39.62		
Sale	314.000	10/12/20	10/13/20	1,851.44	1,969.73		118.29	-118.29		
Sale	1.000	08/08/20	10/19/20	6.28	6.59		0.31	-0.31		
Sale	1.000	08/08/20	10/19/20	6.34	6.31			0.03		
Sale	1.000	08/08/20	10/19/20	6.34	7.43		1.09	-1.09		
Sale	14.000	10/10/20	10/19/20	87.87	87.17			0.70		
Sale	285.000	10/10/20	10/19/20	1,789.32	1,848.29		58.97	-58.97		
Sale	86.000	10/10/20	10/19/20	545.66	535.46			10.20		
Sale	284.000	10/10/20	10/19/20	1,801.91	1,660.60			141.31		
Sale	298.000	10/13/20	10/19/20	1,890.80	1,898.76		7.96	-7.96		
Sale	16.000	10/13/20	10/19/20	101.50	101.95		0.45	-0.45		
Sale	14.000	10/13/20	10/19/20	88.82	79.41			9.41		
Sale	1.000	10/13/20	10/20/20	6.36	5.67			0.69		
Sale	284.000	10/14/20	10/20/20	1,806.96	1,826.25		19.29	-19.29		
Sale	16.000	10/14/20	10/20/20	101.80	102.85		1.05	-1.05		
Sale	199.000	10/20/20	10/20/20	1,266.15	1,273.60		7.45	-7.45		
Sale	1.000	08/09/20	10/21/20	6.49	7.40		0.91	-0.91		
Sale	1.000	08/09/20	10/21/20	6.80	7.50		0.70	-0.70		
Sale	1.000	08/09/20	10/21/20	6.59	7.35		0.76	-0.76		
Sale	249.000	10/14/20	10/21/20	1,615.97	1,577.23			38.74		
Sale	50.000	10/15/20	10/21/20	339.99	337.51			2.48		
Sale	1.000	10/20/20	10/21/20	6.49	6.40			0.09		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

				•		,,	*			
1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC CO	OM USD0.0001 CL/	ASS 2, TLF	RY, 88688T100							
Sale	51.000	10/21/20	10/21/20	330.98	335.88		4.90	-4.90		
Sale	249.000	10/21/20	10/21/20	1,615.97	1,650.52		34.55	-34.55		
Sale	149.000	10/21/20	10/21/20	966.99	981.91		14.92	-14.92		
Sale	250.000	10/21/20	10/21/20	1,699.96	1,653.94			46.02		
Sale	550.000	10/21/20	10/21/20	3,739.91	3,660.25			79.66		
Sale	149.000	10/21/20	10/21/20	1,013.18	1,006.52			6.66		
Sale	300.000	10/21/20	10/21/20	1,978.31	1,996.50		18.19	-18.19		
Sale	300.000	10/21/20	10/21/20	1,978.31	1,947.48			30.83		
Sale	250.000	10/21/20	10/21/20	1,648.59	1,638.93			9.66		
Sale	149.000	10/21/20	10/21/20	982.55	976.40			6.15		
Sale	1.000	08/09/20	10/22/20	6.78	6.95		0.17	-0.17		
Sale	1.000	08/09/20	10/22/20	6.81	6.75			0.06		
Sale	300.000	10/21/20	10/22/20	1,926.28	1,984.79		58.51	-58.51		
Sale	50.000	10/21/20	10/22/20	330.24	327.65			2.59		
Sale	1.000	10/21/20	10/22/20	6.60	6.61		0.01	-0.01		
Sale	150.000	10/21/20	10/22/20	990.72	994.10		3.38	-3.38		
Sale	150.000	10/21/20	10/22/20	990.73	1,007.36		16.63	-16.63		
Sale	199.000	10/21/20	10/22/20	1,348.33	1,325.93			22.40		
Sale	99.000	10/21/20	10/22/20	674.67	652.69			21.98		
Sale	2.000	10/21/20	10/22/20	13.64	13.18			0.46		
Sale	350.000	10/22/20	10/22/20	2,311.70	2,283.05			28.65		
Sale	299.000	10/22/20	10/22/20	1,974.86	1,864.27			110.59		
Sale	198.000	10/22/20	10/22/20	1,351.32	1,301.84			49.48		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC CO	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	1.000	10/22/20	10/23/20	6.82	6.58			0.24		
Sale	100.000	10/23/20	10/23/20	682.48	664.50			17.98		
Sale	150.000	10/23/20	10/23/20	1,023.73	1,008.00			15.73		
Sale	250.000	10/23/20	10/23/20	1,706.21	1,688.75			17.46		
Sale	499.000	10/23/20	10/23/20	3,405.60	3,514.46		108.86	-108.86		
Sale	1.000	10/23/20	10/27/20	5.87	7.04		1.17	-1.17		
Sale	1.000	10/23/20	10/27/20	5.87	6.78		0.91	-0.91		
Sale	499.000	10/23/20	10/27/20	2,928.12	3,492.03		563.91	-563.91		
Sale	1.000	10/23/20	10/27/20	5.69	7.01		1.32	-1.32		
Sale	500.000	10/23/20	10/27/20	2,842.94	3,516.82		673.88	-673.88		
Sale	499.000	10/26/20	10/27/20	2,928.11	2,914.16			13.95		
Sale	499.000	10/27/20	10/27/20	2,837.24	2,946.10		108.86	-108.86		
Sale	1.000	10/23/20	10/28/20	5.45	7.22		1.77	-1.77		
Sale	1.000	10/23/20	10/28/20	5.63	7.37		1.74	-1.74		
Sale	500.000	10/23/20	10/28/20	2,816.53	3,583.39		766.86	-766.86		
Sale	501.000	10/23/20	10/28/20	2,798.53	3,656.61		858.08	-858.08		
Sale	999.000	10/27/20	10/28/20	5,444.42	6,377.14		932.72	-932.72		
Sale	499.000	10/27/20	10/28/20	2,810.90	3,060.27		249.37	-249.37		
Sale	500.000	10/28/20	10/28/20	2,816.55	2,882.25		65.70	-65.70		
Sale	499.000	10/28/20	10/28/20	2,787.34	2,876.49		89.15	-89.15		
Sale	501.000	10/24/20	10/29/20	2,847.31	3,621.09		773.78	-773.78		
Sale	251.000	10/24/20	10/29/20	1,495.18	1,806.93		311.75	-311.75		
Sale	249.000	10/24/20	10/29/20	1,483.25	1,827.55		344.30	-344.30		

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02/07/2021 9006000000 Pages 101 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	COM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	1.000	10/24/20	10/29/20	5.92	7.10		1.18	-1.18		
Sale	250.000	10/24/20	10/29/20	1,479.22	1,759.26		280.04	-280.04		
Sale	249.000	10/24/20	10/29/20	1,473.29	1,789.74		316.45	-316.45		
Sale	1.000	10/24/20	10/29/20	5.97	7.05		1.08	-1.08		
Sale	251.000	10/24/20	10/29/20	1,499.46	1,738.28		238.82	-238.82		
Sale	48.000	10/24/20	10/29/20	286.76	347.32		60.56	-60.56		
Sale	48.000	10/24/20	10/29/20	286.75	339.20		52.45	-52.45		
Sale	152.000	10/24/20	10/29/20	908.06	1,099.86		191.80	-191.80		
Sale	100.000	10/24/20	10/29/20	600.39	706.68		106.29	-106.29		
Sale	52.000	10/24/20	10/29/20	311.99	367.48		55.49	-55.49		
Sale	49.000	10/24/20	10/29/20	293.99	354.56		60.57	-60.57		
Sale	251.000	10/24/20	10/29/20	1,505.96	1,736.16		230.20	-230.20		
Sale	1.000	10/28/20	10/29/20	5.68	5.76		0.08	-0.08		
Sale	499.000	10/28/20	10/29/20	2,835.95	2,983.89		147.94	-147.94		
Sale	48.000	10/28/20	10/29/20	288.00	292.39		4.39	-4.39		
Sale	1.000	10/29/20	10/29/20	5.68	5.61			0.07		
Sale	498.000	10/29/20	10/29/20	2,830.29	2,811.91			18.38		
Sale	299.000	10/24/20	11/02/20	1,749.10	2,025.54		276.44	-276.44		
Sale	250.000	10/24/20	11/02/20	1,508.70	1,657.03		148.33	-148.33		
Sale	1.000	10/24/20	11/02/20	6.03	6.96		0.93	-0.93		
Sale	49.000	10/24/20	11/02/20	302.98	318.46		15.48	-15.48		
Sale	100.000	10/24/20	11/02/20	618.32	668.97		50.65	-50.65		
Sale	149.000	10/24/20	11/02/20	964.63	1,031.35		66.72	-66.72		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC CO	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	1.000	10/25/20	11/02/20	5.85	6.64		0.79	-0.79		
Sale	48.000	10/25/20	11/02/20	280.79	325.71		44.92	-44.92		
Sale	100.000	10/25/20	11/02/20	584.99	675.59		90.60	-90.60		
Sale	53.000	10/25/20	11/02/20	310.04	358.14		48.10	-48.10		
Sale	250.000	10/25/20	11/02/20	1,508.70	1,717.46		208.76	-208.76		
Sale	301.000	10/25/20	11/02/20	1,863.14	1,975.27		112.13	-112.13		
Sale	199.000	10/25/20	11/02/20	1,231.79	1,353.55		121.76	-121.76		
Sale	1.000	10/25/20	11/02/20	6.18	6.65		0.47	-0.47		
Sale	351.000	10/25/20	11/02/20	2,170.33	2,437.58		267.25	-267.25		
Sale	250.000	10/25/20	11/02/20	1,618.53	1,761.38		142.85	-142.85		
Sale	199.000	10/28/20	11/02/20	1,164.13	1,212.20		48.07	-48.07		
Sale	1.000	10/28/20	11/02/20	6.03	5.88			0.15		
Sale	252.000	10/28/20	11/02/20	1,520.78	1,535.05		14.27	-14.27		
Sale	199.000	10/28/20	11/02/20	1,200.94	1,228.74		27.80	-27.80		
Sale	451.000	10/28/20	11/02/20	2,788.65	2,705.23			83.42		
Sale	1.000	10/29/20	11/02/20	6.03	5.65			0.38		
Sale	46.000	10/29/20	11/02/20	277.65	268.28			9.37		
Sale	48.000	10/29/20	11/02/20	296.80	279.95			16.85		
Sale	101.000	10/29/20	11/02/20	653.91	589.06			64.85		
Sale	150.000	10/29/20	11/02/20	1,005.48	874.84			130.64		
Sale	100.000	10/29/20	11/02/20	662.98	583.23			79.75		
Sale	98.000	10/25/20	11/04/20	619.34	683.41		64.07	-64.07		
Sale	152.000	10/25/20	11/04/20	960.62	1,088.71		128.09	-128.09		

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02/07/2021 9006000000 Pages 103 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	COM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	48.000	10/25/20	11/05/20	333.59	338.31		4.72	-4.72		
Sale	149.000	10/25/20	11/05/20	1,035.52	1,009.30			26.22		
Sale	3.000	10/25/20	11/05/20	20.85	20.77			0.08		
Sale	98.000	10/25/20	11/05/20	721.26	678.46			42.80		
Sale	48.000	10/25/20	11/05/20	353.27	296.61			56.66		
Sale	61.000	10/26/20	11/05/20	448.95	443.90			5.05		
Sale	39.000	10/26/20	11/05/20	286.64	283.81			2.83		
Sale	1.000	10/26/20	11/05/20	7.35	7.25			0.10		
Sale	1.000	10/26/20	11/05/20	7.35	7.36		0.01	-0.01		
Sale	1.000	10/26/20	11/05/20	7.57	7.18			0.39		
Sale	101.000	10/27/20	11/05/20	742.33	689.78			52.55		
Sale	54.000	10/29/20	11/05/20	396.89	314.94			81.95		
Sale	48.000	10/29/20	11/05/20	352.79	277.65			75.14		
Sale	101.000	11/04/20	11/05/20	742.33	614.18			128.15		
Sale	948.000	11/05/20	11/05/20	6,967.65	6,797.16			170.49		
Sale	51.000	11/05/20	11/05/20	386.06	365.67			20.39		
Sale	248.000	11/05/20	11/05/20	1,877.31	1,740.96			136.35		
Sale	200.000	11/05/20	11/05/20	1,512.96	1,404.00			108.96		
Sale	552.000	11/05/20	11/05/20	4,173.03	3,875.04			297.99		
Sale	250.000	11/05/20	11/05/20	1,889.96	1,781.25			108.71		
Sale	198.000	11/05/20	11/05/20	1,496.84	1,493.91			2.93		
Sale	300.000	11/05/20	11/05/20	2,231.95	2,263.50		31.55	-31.55		
Sale	250.000	11/05/20	11/05/20	1,899.95	1,886.25			13.70		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	250.000	11/05/20	11/05/20	1,942.45	1,886.25			56.20		
Sale	2.000	11/05/20	11/06/20	16.84	15.09			1.75		
Sale	43.000	11/05/20	11/06/20	362.05	348.52			13.53		
Sale	200.000	11/05/20	11/06/20	1,685.96	1,621.03			64.93		
Sale	7.000	11/05/20	11/06/20	63.38	56.74			6.64		
Sale	150.000	11/06/20	11/06/20	1,358.08	1,296.49			61.59		
Sale	50.000	11/06/20	11/06/20	452.69	437.43			15.26		
Sale	143.000	11/06/20	11/06/20	1,294.71	1,264.12			30.59		
Sale	300.000	11/06/20	11/06/20	2,877.95	2,652.00			225.95		
Sale	50.000	11/06/20	11/06/20	524.48	442.00			82.48		
Sale	7.000	11/06/20	11/09/20	82.95	61.88			21.07		
Sale	143.000	11/06/20	11/09/20	1,694.51	1,399.97			294.54		
Sale	7.000	11/06/20	11/11/20	59.73	68.53		8.80	-8.80		
Sale	7.000	11/06/20	11/11/20	59.16	65.59		6.43	-6.43		
Sale	200.000	11/10/20	11/11/20	1,706.46	1,842.02		135.56	-135.56		
Sale	50.000	11/10/20	11/11/20	426.62	432.01		5.39	-5.39		
Sale	93.000	11/10/20	11/11/20	793.50	754.44			39.06		
Sale	93.000	11/10/20	11/11/20	785.96	776.36			9.60		
Sale	7.000	11/06/20	11/18/20	51.24	65.86		14.62	-14.62		
Sale	7.000	11/06/20	11/18/20	51.87	72.69		20.82	-20.82		
Sale	43.000	11/10/20	11/18/20	314.75	358.96		44.21	-44.21		
Sale	14.000	11/10/20	11/18/20	103.74	116.87		13.13	-13.13		
Sale	100.000	11/10/20) 11/18/20	740.98	888.28		147.30	-147.30		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Syr	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	OM USD0.0001 CL/	ASS 2, TLR	Y, 88688T100							
Sale	43.000	11/10/20	11/18/20	318.62	409.28		90.66	-90.66		
Sale	50.000	11/11/20	11/18/20	370.49	424.50		54.01	-54.01		
Sale	93.000	11/11/20	11/18/20	689.11	771.43		82.32	-82.32		
Sale	150.000	11/11/20	11/18/20	1,111.47	1,201.52		90.05	-90.05		
Sale	207.000	11/11/20	11/18/20	1,547.49	1,978.71		431.22	-431.22		
Sale	250.000	11/11/20	11/18/20	1,868.95	2,193.68		324.73	-324.73		
Sale	457.000	11/11/20	11/18/20	3,410.69	4,212.24		801.55	-801.55		
Sale	43.000	11/12/20	11/18/20	318.63	344.90		26.27	-26.27		
Sale	43.000	11/12/20	11/18/20	321.46	350.87		29.41	-29.41		
Sale	1.000	11/12/20	11/18/20	7.46	7.98		0.52	-0.52		
Sale	42.000	11/12/20	11/18/20	313.46	340.79		27.33	-27.33		
Sale	457.000	11/13/20	11/18/20	3,416.45	3,449.85		33.40	-33.40		
Sale	43.000	11/13/20	11/18/20	321.48	325.21		3.73	-3.73		
Sale	164.000	11/11/20	11/20/20	1,190.61	1,448.08		257.47	-257.47		
Sale	8.000	11/11/20	11/20/20	58.99	81.11		22.12	-22.12		
Sale	250.000	11/12/20	11/20/20	1,850.80	2,276.75		425.95	-425.95		
Sale	36.000	11/12/20	11/20/20	261.35	309.21		47.86	-47.86		
Sale	171.000	11/12/20	11/20/20	1,261.09	1,426.01		164.92	-164.92		
Sale	44.000	11/12/20	11/20/20	324.49	363.34		38.85	-38.85		
Sale	42.000	11/12/20	11/20/20	309.74	359.81		50.07	-50.07		
Sale	235.000	11/13/20	11/20/20	1,733.10	1,763.24		30.14	-30.14		
Sale	100.000	11/13/20	11/20/20	742.98	750.31		7.33	-7.33		
Sale	7.000	11/10/20	11/24/20	53.93	65.69		11.76	-11.76		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	COM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	123.000	11/13/20	11/24/20	916.33	922.88		6.55	-6.55		
Sale	1.000	11/13/20	11/24/20	7.45	7.55		0.10	-0.10		
Sale	42.000	11/13/20	11/24/20	312.89	317.34		4.45	-4.45		
Sale	1.000	11/13/20	11/24/20	7.70	7.03			0.67		
Sale	42.000	11/14/20	11/24/20	312.89	298.69			14.20		
Sale	8.000	11/14/20	11/24/20	59.60	77.97		18.37	-18.37		
Sale	192.000	11/15/20	11/24/20	1,430.36	1,623.58		193.22	-193.22		
Sale	100.000	11/15/20	11/24/20	744.99	797.93		52.94	-52.94		
Sale	157.000	11/15/20	11/24/20	1,169.62	1,253.25		83.63	-83.63		
Sale	365.000	11/15/20	11/24/20	2,812.25	2,642.60			169.65		
Sale	135.000	11/15/20	11/24/20	1,040.14	1,093.65		53.51	-53.51		
Sale	115.000	11/15/20	11/24/20	886.05	819.11			66.94		
Sale	142.000	11/15/20	11/24/20	1,084.13	1,157.06		72.93	-72.93		
Sale	93.000	11/16/20	11/24/20	692.83	662.55			30.28		
Sale	142.000	11/16/20	11/24/20	1,057.88	1,009.36			48.52		
Sale	100.000	11/16/20	11/24/20	744.99	705.33			39.66		
Sale	85.000	11/23/20	11/24/20	654.91	566.52			88.39		
Sale	250.000	11/24/20	11/24/20	1,926.21	1,820.00			106.21		
Sale	42.000	11/24/20	11/24/20	323.63	322.93			0.70		
Sale	358.000	11/24/20	11/24/20	2,733.28	2,752.55		19.27	-19.27		
Sale	142.000	11/15/20	11/25/20	1,072.07	1,189.05		116.98	-116.98		
Sale	358.000	11/24/20	11/25/20	2,702.84	2,752.56		49.72	-49.72		
Sale	7.000	11/10/20	11/27/20	58.79	61.57		2.78	-2.78		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	OM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	50.000	11/14/20	11/27/20	424.49	422.14			2.35		
Sale	50.000	11/14/20	11/27/20	424.49	446.24		21.75	-21.75		
Sale	43.000	11/14/20	11/27/20	361.15	337.83			23.32		
Sale	42.000	11/15/20	11/27/20	356.57	334.70			21.87		
Sale	308.000	11/24/20	11/27/20	2,614.86	2,437.46			177.40		
Sale	50.000	11/24/20	11/27/20	419.94	395.69			24.25		
Sale	150.000	11/24/20	11/27/20	1,259.82	1,153.78			106.04		
Sale	7.000	11/13/20	12/01/20	57.68	65.22		7.54	-7.54		
Sale	208.000	11/24/20	12/01/20	1,713.88	1,599.91			113.97		
Sale	35.000	11/25/20	12/01/20	288.39	264.36			24.03		
Sale	7.000	11/13/20	12/02/20	60.33	60.41		0.08	-0.08		
Sale	7.000	11/13/20	12/02/20	60.76	62.52		1.76	-1.76		
Sale	8.000	11/25/20	12/02/20	68.95	60.42			8.53		
Sale	235.000	11/30/20	12/02/20	2,025.42	2,096.20		70.78	-70.78		
Sale	1.000	11/30/20	12/02/20	8.68	8.92		0.24	-0.24		
Sale	235.000	11/30/20	12/02/20	2,039.75	1,988.62			51.13		
Sale	8.000	11/30/20	12/02/20	70.06	67.29			2.77		
Sale	7.000	12/01/20	12/02/20	60.76	57.12			3.64		
Sale	250.000	12/02/20	12/02/20	2,189.20	2,101.73			87.47		
Sale	100.000	12/02/20	12/02/20	875.68	837.50			38.18		
Sale	142.000	12/02/20	12/02/20	1,243.46	1,189.96			53.50		
Sale	8.000	12/02/20	12/03/20	70.84	67.04			3.80		
Sale	242.000	12/02/20	12/03/20	2,142.86	2,074.67			68.19		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC C	COM USD0.0001 CL	ASS 2, TLF	RY, 88688T100							
Sale	250.000	12/02/20	12/03/20	2,224.70	2,143.25			81.45		
Sale	7.000	12/02/20	12/04/20	64.50	60.01			4.49		
Sale	1.000	12/02/20	12/09/20	7.91	8.57		0.66	-0.66		
Sale	199.000	12/04/20	12/09/20	1,574.05	1,788.87		214.82	-214.82		
Sale	1.000	12/02/20	12/15/20	7.61	9.65		2.04	-2.04		
Sale	1.000	12/02/20	12/15/20	9.16	9.65		0.49	-0.49		
Sale	50.000	12/04/20	12/15/20	380.54	449.47		68.93	-68.93		
Sale	199.000	12/04/20	12/15/20	1,514.56	1,877.47		362.91	-362.91		
Sale	51.000	12/04/20	12/15/20	388.35	475.55		87.20	-87.20		
Sale	51.000	12/04/20	12/15/20	400.19	470.57		70.38	-70.38		
Sale	51.000	12/04/20	12/15/20	399.55	462.82		63.27	-63.27		
Sale	49.000	12/04/20	12/15/20	448.82	440.59			8.23		
Sale	1.000	12/04/20	12/15/20	8.57	8.99		0.42	-0.42		
Sale	301.000	12/07/20	12/15/20	2,290.86	2,514.85		223.99	-223.99		
Sale	449.000	12/07/20	12/15/20	3,419.06	3,924.08		505.02	-505.02		
Sale	51.000	12/07/20	12/15/20	400.19	421.32		21.13	-21.13		
Sale	398.000	12/07/20	12/15/20	3,123.03	3,534.67		411.64	-411.64		
Sale	51.000	12/07/20	12/15/20	399.55	425.41		25.86	-25.86		
Sale	449.000	12/07/20	12/15/20	3,517.61	3,918.81		401.20	-401.20		
Sale	449.000	12/09/20	12/15/20	3,417.26	3,561.92		144.66	-144.66		
Sale	449.000	12/09/20	12/15/20	3,517.61	3,519.79		2.18	-2.18		
Sale	199.000	12/09/20	12/15/20	1,705.39	1,571.61			133.78		
Sale	300.000	12/09/20	12/15/20	2,567.94	2,330.66			237.28		

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02/07/2021 9006000000 Pages 109 of 124



RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TILRAY INC CO	M USD0.0001 CLA	ASS 2, TLR	RY, 88688T100							
Sale	1.000	12/09/20	12/16/20	9.90	7.77			2.13		
Sale	1.000	12/17/20	12/30/20	8.68	8.98		0.30	-0.30		
Sale	1.000	12/19/20	12/30/20	8.68	8.91		0.23	-0.23		
Sale	200.000	12/22/20	12/30/20	1,736.64	1,996.66		260.02	-260.02		
Sale	249.000	12/22/20	12/30/20	2,162.11	2,214.49		52.38	-52.38		
Sale	49.000	12/30/20	12/30/20	425.49	415.80			9.69		
Subtotals				329,388.57	349,638.15		25,828.77			
TWITTER INC C	OM USD0.000005	5, TWTR, 9	0184L102							
Sale	99.000	09/28/20	09/29/20	4,467.28	4,360.46			106.82		
Sale	1.000	09/28/20	09/30/20	44.23	44.04			0.19		
Sale	100.000	09/29/20	09/30/20	4,423.14	4,500.50		77.36	-77.36		
Sale	99.000	09/30/20	09/30/20	4,378.91	4,429.26		50.35	-50.35		
Sale	1.000	09/29/20	10/20/20	46.19	45.51			0.68		
Sale	1.000	10/19/20	10/20/20	46.18	45.88			0.30		
Sale	198.000	10/19/20	10/20/20	9,145.42	9,211.18		65.76	-65.76		
Sale	1.000	10/19/20	10/26/20	48.75	45.88			2.87		
Sale	50.000	10/31/20	11/03/20	2,092.95	2,022.48			70.47		
Sale	50.000	11/01/20	11/03/20	2,090.95	2,022.22			68.73		
Sale	50.000	11/02/20	11/04/20	2,143.95	2,050.48			93.47		
Sale	48.000	11/02/20	11/04/20	2,058.19	2,030.14			28.05		
Sale	2.000	11/03/20	11/04/20	85.76	84.19			1.57		
Sale	50.000	11/03/20	11/04/20	2,097.95	2,104.75		6.80	-6.80		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
TWITTER INC C	OM USD0.000005	5, TWTR, 9	0184L102							
Sale	50.000	11/03/20	11/04/20	2,115.06	2,068.05			47.01		
Sale	50.000	11/04/20	11/04/20	2,097.95	2,061.25			36.70		
Sale	50.000	11/04/20	11/04/20	2,115.06	2,048.50			66.56		
Sale	50.000	11/04/20	11/05/20	2,175.25	2,135.75			39.50		
Sale	100.000	11/05/20	11/05/20	4,355.38	4,307.63			47.75		
Sale	50.000	11/10/20	11/11/20	2,154.15	2,129.92			24.23		
Sale	49.000	11/10/20	11/11/20	2,111.06	2,075.52			35.54		
Sale	1.000	11/10/20	11/17/20	43.08	42.36			0.72		
Sale	50.000	11/12/20	11/17/20	2,153.95	2,145.38			8.57		
Sale	50.000	11/13/20	11/17/20	2,153.95	2,144.00			9.95		
Sale	49.000	11/16/20	11/17/20	2,110.87	2,096.22			14.65		
Sale	1.000	11/16/20	12/01/20	46.80	42.78			4.02		
Subtotals				56,802.41	56,294.33		200.27			
UBER TECHNO	LOGIES INC COM	1, UBER, 90	0353T100							
Sale	10.000	12/16/20	12/18/20	504.98	512.30		7.32	-7.32		
Sale	10.000	12/16/20	12/21/20	516.68	506.77			9.91		
Subtotals				1,021.66	1,019.07		7.32			
UNITED AIRLS	HLDGS INC COM,	, UAL, 9100	047109							
Sale	25.000	07/10/20	08/05/20	849.49	782.39			67.10		
Sale	25.000	07/10/20	08/05/20	848.80	782.39			66.41		
Sale	25.000	07/31/20	08/06/20	844.48	778.22			66.26		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 1	Ib Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
UNITED AIRL	S HLDGS INC COM	, UAL, 9100	047109							
Sale	24.000	08/04/20	08/06/20	810.70	781.90			28.80		
Sale	1.000	08/04/20	08/10/20	35.72	32.58			3.14		
Sale	49.000	08/07/20	08/10/20	1,750.37	1,672.37			78.00		
Sale	1.000	08/07/20	08/10/20	36.60	34.13			2.47		
Sale	24.000	08/07/20	08/10/20	878.38	820.77			57.61		
Sale	25.000	08/07/20	08/10/20	926.25	854.97			71.28		
Sale	1.000	08/07/20	09/15/20	37.39	34.20			3.19		
Sale	1.000	08/21/20	09/15/20	37.39	33.76			3.63		
Sale	8.000	09/11/20	09/15/20	299.11	287.52			11.59		
Sale	90.000	09/11/20	09/15/20	3,364.12	3,234.60			129.52		
Sale	2.000	09/11/20	09/23/20	67.72	71.88		4.16	-4.16		
Sale	98.000	09/21/20	09/23/20	3,318.30	3,321.79		3.49	-3.49		
Sale	2.000	09/11/20	09/25/20	67.71	71.95		4.24	-4.24		
Sale	2.000	09/11/20	09/25/20	67.60	68.96		1.36	-1.36		
Sale	2.000	09/11/20	09/25/20	67.94	68.85		0.91	-0.91		
Sale	98.000	09/22/20	09/25/20	3,317.62	3,174.77			142.85		
Sale	98.000	09/24/20	09/25/20	3,312.43	3,171.28			141.15		
Sale	2.000	09/24/20	09/25/20	67.94	64.72			3.22		
Sale	96.000	09/25/20	09/25/20	3,261.15	3,239.52			21.63		
Sale	2.000	09/11/20	09/28/20	73.35	68.40			4.95		
Sale	52.000	09/25/20	09/28/20	1,907.01	1,754.74			152.27		
Sale	46.000	09/25/20	09/28/20	1,686.96	1,552.32			134.64		
Sale	2.000	09/25/20	09/28/20	73.13	67.49			5.64		





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	n of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
UNITED AIRLS	S HLDGS INC COM	, UAL, 9100	047109							
Sale	50.000	09/28/20	09/28/20	1,828.21	1,756.00			72.21		
Sale	48.000	09/28/20	09/28/20	1,755.07	1,685.28			69.79		
Sale	2.000	09/28/20	09/30/20	71.18	70.22			0.96		
Sale	98.000	09/28/20	09/30/20	3,487.74	3,548.47		60.73	-60.73		
Sale	2.000	09/28/20	10/02/20	72.94	72.42			0.52		
Sale	98.000	09/29/20	10/02/20	3,573.82	3,527.48			46.34		
Sale	200.000	10/01/20	10/02/20	7,293.51	7,075.00			218.51		
Sale	2.000	10/01/20	10/05/20	71.49	70.75			0.74		
Sale	198.000	10/05/20	10/05/20	7,077.35	7,137.90		60.55	-60.55		
Sale	2.000	10/05/20	10/09/20	74.96	72.10			2.86		
Sale	98.000	10/09/20	10/09/20	3,672.95	3,694.19		21.24	-21.24		
Sale	2.000	10/09/20	10/12/20	72.75	75.39		2.64	-2.64		
Sale	2.000	10/09/20	10/12/20	72.74	74.72		1.98	-1.98		
Sale	98.000	10/09/20	10/12/20	3,564.67	3,691.25		126.58	-126.58		
Sale	98.000	10/09/20	10/12/20	3,564.67	3,682.52		117.85	-117.85		
Sale	2.000	10/09/20	10/14/20	71.95	77.36		5.41	-5.41		
Sale	98.000	10/11/20	10/14/20	3,525.38	3,636.79		111.41	-111.41		
Sale	2.000	10/10/20	10/16/20	67.97	73.72		5.75	-5.75		
Sale	2.000	10/11/20	10/16/20	67.97	74.05		6.08	-6.08		
Sale	96.000	10/12/20	10/16/20	3,262.40	3,394.32		131.92	-131.92		
Sale	2.000	10/12/20	10/19/20	70.62	70.29			0.33		
Sale	98.000	10/15/20	10/19/20	3,460.30	3,519.55		59.25	-59.25		
Sale	2.000	10/15/20	10/19/20	70.47	71.70		1.23	-1.23		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1		c Date Sold r Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
UNITED AIRLS I	HLDGS INC COM,	, UAL, 910047	7109							
Sale	98.000	10/16/20	10/19/20	3,452.78	3,489.96		37.18	-37.18		
Sale	2.000	10/19/20	10/29/20	65.84	68.95		3.11	-3.11		
Sale	2.000	10/19/20	10/29/20	66.72	69.31		2.59	-2.59		
Sale	50.000	10/22/20	10/29/20	1,645.97	1,800.33		154.36	-154.36		
Sale	48.000	10/24/20	10/29/20	1,580.13	1,606.56		26.43	-26.43		
Sale	100.000	10/25/20	10/29/20	3,291.94	3,331.41		39.47	-39.47		
Sale	198.000	10/25/20	10/29/20	6,605.13	6,783.96		170.15	-178.83		
Sale	2.000	10/28/20	10/29/20	65.84	65.86		0.02	-0.02		
Sale	198.000	10/29/20	10/29/20	6,518.02	6,553.62		35.60	-35.60		
Sale	2.000	10/28/20	11/04/20	68.02	66.32			1.70		
Sale	98.000	11/03/20	11/04/20	3,332.90	3,357.95			-25.05		
Sale	2.000	11/03/20	11/05/20	69.84	68.53			1.31		
Sale	48.000	11/04/20	11/05/20	1,676.18	1,613.99			62.19		
Sale	2.000	10/27/20	11/09/20	79.62	72.19			7.43		
Sale	50.000	10/30/20	11/09/20	1,990.46	1,862.67			127.79		
Sale	2.000	11/04/20	11/09/20	79.62	67.25			12.37		
Sale	46.000	11/06/20	11/09/20	1,831.21	1,609.07			222.14		
Sale	2.000	11/06/20	11/13/20	77.39	69.96			7.43		
Sale	98.000	11/08/20	11/13/20	3,792.02	3,818.09			-26.07		
Sale	1.000	11/08/20	11/19/20	40.80	38.88			1.92		
Sale	1.000	11/08/20	12/01/20	45.66	38.87			6.79		
Subtotals				111,234.86	110,431.67		1,195.69			





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sym	bol, CUSIP							
Action	Quantity 1		c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
VELODYNE LIDA	AR INC COM, VLI	DR, 92259F1	01							
Sale	100.000	11/23/20	11/27/20	1,603.46	1,664.00			-60.54		
VG ACQUISITIO	N CORP CL A SH	IS ISIN #KYC	394, VGAC, G9446	E105						
Sale	100.000	12/08/20	12/09/20	1,221.05	1,155.00			66.05		
VIRGIN GALACT	TIC HOLDINGS IN	IC COM, SPO	CE, 92766K106							
Sale	200.000	12/29/20	12/29/20	4,713.89	4,793.40		0.40	-79.51		
VROOM INC CO	M, VRM, 92918V	109								
Sale	100.000	12/09/20	12/11/20	3,479.42	3,485.50			-6.08		
WESTWATER R	ES INC COM NE\	N, WWR, 96		-						
Sale	190.000	11/17/20	11/18/20	1,065.87	942.38			123.49		
Sale	60.000	11/17/20	11/18/20	336.59	297.60			38.99		
Subtotals				1,402.46	1,239.98					
WORKDAY INC	COM USD0.001 C	CL A, WDAY,	98138H101							
Sale	3.000	11/20/20	11/27/20	659.51	667.50		7.99	-7.99		
Sale	3.000	11/20/20	11/27/20	659.51	644.04			15.47		
Sale	3.000	11/20/20	11/27/20	659.23	642.72			16.51		
Sale	2.000	11/23/20	11/27/20	439.67	411.55			28.12		
Sale	2.000	11/24/20	11/27/20	439.67	417.34			22.33		
Sale	2.000	11/24/20	11/27/20	439.49	423.16			16.33		
Subtotals				3,297.08	3,206.31		7.99			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 7	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
WORKHORSE	GROUP INC COM	NEW, WK	HS, 98138J206							
Sale	50.000	10/15/20	10/16/20	1,185.47	1,089.19			96.28		
Sale	50.000	10/15/20	10/16/20	1,185.47	1,098.00			87.47		
Sale	99.000	10/22/20	10/23/20	2,000.15	1,998.81			1.34		
Sale	1.000	10/22/20	10/26/20	18.56	20.19		1.63	-1.63		
Sale	1.000	10/25/20	11/02/20	15.77	19.04		3.27	-3.27		
Sale	199.000	10/29/20	11/02/20	3,139.15	3,464.55		325.40	-325.40		
Sale	100.000	10/30/20	11/02/20	1,577.47	1,530.50			46.97		
Sale	1.000	10/25/20	11/03/20	16.80	19.12		2.32	-2.32		
Sale	1.000	10/25/20	11/03/20	16.95	18.14		1.19	-1.19		
Sale	149.000	10/29/20	11/03/20	2,503.14	2,601.57		98.43	-98.43		
Sale	50.000	10/29/20	11/03/20	847.48	873.01		25.53	-25.53		
Sale	49.000	10/29/20	11/03/20	830.53	848.14		17.61	-17.61		
Sale	1.000	10/26/20	11/05/20	17.38	19.58		2.20	-2.20		
Sale	1.000	10/29/20	11/05/20	17.38	17.31			0.07		
Sale	99.000	10/30/20	11/05/20	1,720.58	1,886.01		165.43	-165.43		
Sale	50.000	10/30/20	11/05/20	868.98	842.78			26.20		
Sale	49.000	10/30/20	11/05/20	851.60	831.26			20.34		
Sale	1.000	10/26/20	11/09/20	18.27	18.80		0.53	-0.53		
Sale	1.000	10/26/20	11/09/20	18.17	18.43		0.26	-0.26		
Sale	1.000	10/26/20	11/09/20	18.19	17.51			0.68		
Sale	99.000	10/30/20	11/09/20	1,809.18	1,887.04		77.86	-77.86		
Sale	99.000	10/30/20	11/09/20	1,798.78	1,785.61			13.17		
Sale	51.000	11/05/20	11/09/20	932.00	886.89			45.11		





AJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
WORKHORSE G	ROUP INC COM	NEW, WKI	HS, 98138J206							
Sale	150.000	11/06/20	11/09/20	2,741.19	2,652.00			89.19		
Sale	99.000	11/09/20	11/09/20	1,809.19	1,772.10			37.09		
Sale	149.000	11/09/20	11/09/20	2,709.75	2,570.25			139.50		
Sale	150.000	11/09/20	11/09/20	2,987.93	2,587.50			400.43		
Sale	1.000	11/09/20	11/09/20	19.11	17.25			1.86		
Sale	99.000	11/09/20	11/09/20	1,891.84	1,846.35			45.49		
Sale	1.000	11/09/20	11/12/20	20.32	18.65			1.67		
Sale	1.000	11/10/20	11/12/20	20.32	18.27			2.05		
Sale	98.000	11/10/20	11/12/20	1,991.36	1,799.77			191.59		
Sale	2.000	11/10/20	11/16/20	39.79	36.73			3.06		
Sale	48.000	11/13/20	11/16/20	954.93	924.00			30.93		
Sale	2.000	11/13/20	11/18/20	44.98	38.50			6.48		
Sale	100.000	11/17/20	11/18/20	2,249.12	2,126.00			123.12		
Sale	48.000	11/18/20	11/18/20	1,079.58	1,055.52			24.06		
Sale	50.000	11/18/20	11/18/20	1,111.52	1,099.50			12.02		
Sale	100.000	11/18/20	11/18/20	2,226.95	2,199.00			27.95		
Sale	2.000	11/18/20	12/03/20	42.88	43.98		1.10	-1.10		
Sale	2.000	11/18/20	12/03/20	45.28	56.42			-11.14		
Sale	98.000	11/24/20	12/03/20	2,101.07	2,710.69			-609.62		
Subtotals				45,494.56	45,363.96		722.76			

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Syn	nbol, CUSIP							
Action	Quantity 1		1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
WRAP TECHNO	DLOGIES INC COM	M, WRAP, 9	8212N107							
Sale	100.000	11/20/20	12/04/20	547.99	606.00		58.01	-58.01		
Sale	100.000	11/20/20	12/04/20	554.48	643.01		88.53	-88.53		
Sale	50.000	11/24/20	12/04/20	273.99	269.00			4.99		
Sale	50.000	11/25/20	12/04/20	273.99	277.68		3.69	-3.69		
Sale	80.000	11/20/20	12/07/20	453.44	519.11		65.67	-65.67		
Sale	20.000	11/20/20	12/07/20	113.39	133.42		20.03	-20.03		
Sale	10.000	11/20/20	12/08/20	56.73	66.71		9.98	-9.98		
Sale	20.000	11/20/20	12/08/20	113.46	129.78		16.32	-16.32		
Sale	20.000	11/20/20	12/08/20	113.46	132.10		18.64	-18.64		
Sale	50.000	11/20/20	12/08/20	283.67	305.29			-21.62		
Sale	50.000	11/20/20	12/08/20	283.23	309.19			-25.96		
Sale	50.000	11/25/20	12/08/20	283.24	296.19		12.95	-12.95		
Sale	50.000	11/25/20	12/08/20	293.99	277.20			16.79		
Sale	50.000	12/02/20	12/08/20	293.99	264.25			29.74		
Subtotals				3,939.05	4,228.93		293.82			
XL FLEET COR	P COM CLA, XL, 9	837FR100								
Sale	500.000	12/29/20	12/30/20	12,486.32	12,180.00			306.32		
Sale	500.000	12/30/20	12/30/20	11,932.24	12,450.00		517.76	-517.76		
Sale	200.000	12/30/20	12/30/20	4,772.89	5,117.00		344.11	-344.11		
Sale	300.000	12/30/20	12/30/20	7,159.34	7,671.00		511.66	-511.66		
Subtotals				36,350.79	37,418.00		1,373.53			





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description	of property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
XPENG INC SF	PON ADS EACH RE	EP 2 CL A	ORD S, XPEV, 98422	2D105						
Sale	31.000	11/24/20	11/24/20	2,196.30	2,068.94			127.36		
Sale	69.000	11/24/20	11/24/20	4,889.23	4,605.06			284.17		
Sale	100.000	11/24/20	11/24/20	7,086.84	6,674.00			412.84		
Sale	100.000	11/24/20	11/24/20	6,915.34	6,769.41			145.93		
Sale	25.000	11/25/20	11/30/20	1,591.46	1,619.75		28.29	-28.29		
Sale	25.000	11/25/20	11/30/20	1,577.71	1,619.75		42.04	-42.04		
Sale	25.000	11/28/20	12/18/20	1,215.95	1,367.51		151.56	-151.56		
Sale	25.000	11/28/20	12/18/20	1,215.95	1,381.26		165.31	-165.31		
Sale	50.000	12/03/20	12/18/20	2,431.89	2,620.00		188.11	-188.11		
Sale	50.000	12/08/20	12/18/20	2,431.89	2,457.50		25.61	-25.61		
Sale	100.000	12/09/20	12/18/20	4,863.78	4,452.01			411.77		
Sale	50.000	12/17/20	12/18/20	2,431.88	2,316.25			115.63		
Sale	100.000	12/03/20	12/21/20	4,663.40	5,346.48		683.08	-683.08		
Sale	100.000	12/03/20	12/21/20	4,691.24	5,478.08		786.84	-786.84		
Sale	50.000	12/08/20	12/21/20	2,331.70	2,421.63		89.93	-89.93		
Sale	50.000	12/18/20	12/21/20	2,331.69	2,397.50		65.81	-65.81		
Sale	100.000	12/18/20	12/21/20	4,691.24	4,768.68		77.44	-77.44		
Sale	100.000	12/21/20	12/21/20	4,691.25	4,612.94			78.31		
Sale	50.000	11/28/20	12/22/20	2,239.27	2,882.24		642.97	-642.97		
Sale	200.000	12/18/20	12/22/20	9,057.79	10,174.28		1,116.49	-1,116.49		
Sale	100.000	12/21/20	12/22/20	4,528.90	4,655.00		126.10	-126.10		
Sale	50.000	12/21/20	12/22/20	2,264.45	2,361.38		96.93	-96.93		
Sale	50.000	11/28/20	12/24/20	2,141.52	2,873.87		732.35	-732.35		

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RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
XPENG INC SPO	ON ADS EACH RE	EP 2 CL A	ORD S, XPEV, 9842	2D105						
Sale	50.000	12/03/20	12/24/20	2,141.53	2,753.46		611.93	-611.93		
Sale	100.000	12/03/20	12/24/20	4,282.90	5,751.15		1,468.25	-1,468.25		
Sale	250.000	12/21/20	12/24/20	10,707.64	11,581.07		873.43	-873.43		
Sale	200.000	12/22/20	12/24/20	8,566.11	8,923.60		357.49	-357.49		
Sale	150.000	12/24/20	12/24/20	6,424.58	6,610.31		185.73	-185.73		
Sale	100.000	12/07/20	12/28/20	4,087.91	5,561.65		1,473.74	-1,473.74		
Sale	400.000	12/22/20	12/28/20	16,351.63	17,877.55		1,525.92	-1,525.92		
Sale	301.000	12/22/20	12/28/20	11,867.27	13,574.96		1,707.69	-1,707.69		
Sale	100.000	12/24/20	12/28/20	3,942.62	4,335.28		392.66	-392.66		
Sale	1.000	12/28/20	12/28/20	39.43	41.95		2.52	-2.52		
Sale	98.000	12/28/20	12/28/20	3,863.74	4,132.88		24.72	-269.14		
Subtotals				154,756.03	167,067.38		13,642.94			
TOTALS				2,931,848.48	3,091,894.57	0.00	194,257.43		0.00	
		Day A C	hart Tarm Deali-	ad Cain				40 274 EE		

Box A Short-Term Realized Gain 49,374.55
Box A Short-Term Realized Loss -209,420.64





RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

For any transaction listed on Form 1099-B in a section indicating that "basis is reported to the IRS", we are reporting to the IRS: 1a Description of Property, 2 type of gain or loss (i.e. short-term or long-term), 3 Proceeds from QOF (Qualified Opportunity Fund), 6 Gross or Net Proceeds, 12 basis reported to IRS, and columns 1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15 and 16. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "basis <u>is not reported</u> to the IRS", we are reporting to the IRS: 1a Description of Property, 3 Proceeds from QOF (Qualified Opportunity Fund), 5 Noncovered security, 6 Gross or Net Proceeds, and columns 1c, 1d, 4, 15 and 16. We are not reporting to the IRS: 2 type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns 1b, 1e, 1f, 1g, 2, 7 and 12 and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: 1a Description of Property and totals for boxes 8, 9, 10 and 11.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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Account No. Z07-305676 Customer Service: Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

Summary of 2020 Supplemental Information Not Reported to the IRS						
Margin Interest Paid	329.31	Currency Realized Gain/Loss (USD)	0.00			
Tax Exempt Investment Expense		Actual Payment Shortfall				
Accrued Interest Paid on Purchases	0.00	Addition to Basis	0.00			
Proceeds Investment Expenses	0.00	Account Fees	6.02			
Severance Tax		Short Dividends	0.00			
Administrative Expenses	0.00	Money Market Realized Gain/Loss				
Non-deductible Generic Expenses	0.00	Short/Long Term Realized Gain/Loss				
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS)	0.00			





2020 SUPPLEMENTAL INFORMATION

RAJESH KUMAR GOLLA

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

Description,	Symbol, CUSIP							
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
DIAMONDB	ACK ENERGY INC COM U	JSD0.01, FANG, 2527	8X109					
08/20/20	1.13			1.13				
11/19/20	0.38			0.38				
Subtotals	1.51			1.51				
FIDELITY G	OVERNMENT MONEY MA	ARKET, SPAXX, 3161	7H102					
08/31/20	0.03	0.03						
09/30/20	0.01	0.01						
10/30/20	0.06	0.06						
11/30/20	0.05	0.05						
12/31/20	0.02	0.02						
Subtotals	0.17	0.17						
INTEL CORI	P COM USD0.001, INTC,	458140100						
12/01/20	17.49			17.49				
MARVELL T	ECH GROUP COM USD0	0.002, MRVL, G5876H1	105					
10/28/20	0.06			0.06				
MICROSOF	T CORP, MSFT, 59491810	04						
12/10/20	5.60			5.60				
TOTALS	24.83	0.17	0.00	24.66	0.00	0.00	0.00	0.00

Short-term capital gain distributions reported on monthly/quarterly account statements are included in 1a Total Ordinary Dividends on Form 1099-DIV.

To see the **2020** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit **Fidelity.com/fundtaxinfo**.

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TOTAL

2020 SUPPLEMENTAL INFORMATION

RAJESH KUMAR GOLLA

329.31

Account No. **Z07-305676** Customer Service: 800-544-6666 Recipient ID No. ***-**-9853 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Account Fees		
Description	Date	Amount
QUTOUTIAO INC SPON ADS EACH REP 0.25 ORD	09/10/20	6.02
TOTAL		6.02
Margin Interest Paid		
Description	Date	Amount
MARGIN INTEREST @ 7.825% AVG B	12/30/20	53.90
MARGIN INTEREST @ 8.325% AVG B	08/20/20	13.72
MARGIN INTEREST @ 8.325% AVG B	09/20/20	21.32
MARGIN INTEREST @ 8.325% AVG B	10/20/20	62.46
MARGIN INTEREST @ 8.325% AVG B	11/22/20	67.98
MARGIN INTEREST @ 8.325% AVG B	12/20/20	109.93