

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 161196936</b>	Statement Date: 02/14/2021 Document ID: 723T 85B NN10	2020
	Rajesh Golla 715 Rice Rd 4A Ridgeland, MS 39157  RECIPIENT'S TIN: XXX-XX-9853		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.38		2- Royalties	0.00	
1b- Qualified dividends	0.38		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
3- Nondividend distributions	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
<b>4- Federal income tax withheld</b>	<b>0.00</b>		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
8- Foreign country or US possession:	0.00		7- Foreign tax paid:	0.00	
9- Cash liquidation distributions	0.00		11- Aggregate profit or (loss) on contracts	0.00	
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
12- Specified private activity bond interest dividends (AMT)	0.00				

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	251,940.89	255,016.91	0.00	10,194.00	7,117.98
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>251,940.89</b>	<b>255,016.91</b>	<b>0.00</b>	<b>10,194.00</b>	<b>7,117.98</b>
Long	D (basis reported to the IRS)	21.81	14.51	0.00	4.40	11.70
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>21.81</b>	<b>14.51</b>	<b>0.00</b>	<b>4.40</b>	<b>11.70</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>251,962.70</b>	<b>255,031.42</b>	<b>0.00</b>	<b>10,198.40</b>	<b>7,129.68</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 161196936

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.33
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 03/20/2020 CALL \$16.50 / CUSIP: / Symbol:							
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/16/20	57.00	...	-57.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/17/20	106.00	...	-106.00	2 of 3 - Option expiration- long call
	2.000	0.00	03/18/20	40.00	...	-40.00	3 of 3 - Option expiration- long call
03/20/20	4.000	0.00	Various	203.00	...	-203.00	Total of 3 transactions
AAL 05/22/2020 CALL \$10.00 / CUSIP: / Symbol:							
05/20/20	1.000	30.98	05/15/20	34.00	...	-3.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/18/2020 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	75.99	12/02/20	49.00	...	26.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	75.99	12/02/20	49.00	...	26.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	2.000	151.98	Various	98.00	...	53.98	Total of 2 transactions
AAPL 03/13/2020 CALL \$285.00 / CUSIP: / Symbol:							
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/12/20	28.00	...	-28.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/12/20	24.00	...	-24.00	2 of 2 - Option expiration- long call
03/13/20	2.000	0.00	Various	52.00	...	-52.00	Total of 2 transactions
AAPL 03/20/2020 CALL \$285.00 / CUSIP: / Symbol:							
03/19/20	1.000	10.98	03/17/20	62.00	51.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/17/20	102.02	...	-102.02	1 of 2 - Option expiration- long call
	1.000	0.00	03/17/20	51.00	...	-51.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	153.02	...	-153.02	Total of 2 transactions
	<b>Security total:</b>	<b>10.98</b>		<b>215.02</b>	<b>51.02 W</b>	<b>-153.02</b>	
AAPL 05/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/11/20	1.000	134.98	05/08/20	92.00	...	42.98	Option sale to close-call <sup>25</sup>
05/13/20	1.000	101.98	05/12/20	150.00	...	-48.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>236.96</b>		<b>242.00</b>	...	<b>-5.04</b>	
AAPL 05/22/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/18/20	1.000	130.98	05/15/20	88.00	...	42.98	Option sale to close-call <sup>25</sup>
AAPL 06/12/2020 CALL \$335.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	222.98	06/03/20	111.00	...	111.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	238.98	06/03/20	111.00	...	127.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	2.000	461.96	Various	222.00	...	239.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/18/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	115.99	09/15/20	176.00	...	-60.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	115.99	09/15/20	165.00	...	-49.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	231.98	Various	341.00	...	-109.02	Total of 2 transactions
AAPL 09/18/2020 CALL \$122.50 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	46.98	09/11/20	44.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	187.98	09/11/20	176.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/14/20	5.000	234.96	Various	220.00	...	14.96	Total of 2 transactions
AAPL 09/25/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/21/20	2.000	493.97	09/21/20	298.00	...	195.97	Option sale to close-call <sup>25</sup>
AAPL 09/25/2020 CALL \$113.75 / CUSIP: / Symbol:							
09/25/20	2.000	0.00	09/22/20	202.00	...	-202.00	Option expiration- long call
ACB 11/27/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/27/20	4.000	43.98	11/24/20	104.00	...	-60.02	Option sale to close-call <sup>25</sup>
ACB 11/27/2020 CALL \$10.50 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	2.000	9.98	11/24/20	72.01	...	-62.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.98	11/24/20	36.01	...	-31.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.98	11/24/20	36.00	...	-31.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/27/20	4.000	19.94	Various	144.02	...	-124.08	Total of 3 transactions
11/27/20	4.000	19.98	11/24/20	104.00	84.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>39.92</b>		<b>248.02</b>	<b>84.02 W</b>	<b>-124.08</b>	
ACB 11/27/2020 CALL \$12.50 / CUSIP: / Symbol:							
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	11/24/20	50.00	...	-50.00	1 of 5 - Option expiration- long call
	2.000	0.00	11/24/20	20.00	...	-20.00	2 of 5 - Option expiration- long call
	1.000	0.00	11/24/20	10.00	...	-10.00	3 of 5 - Option expiration- long call
	1.000	0.00	11/24/20	10.00	...	-10.00	4 of 5 - Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 11/27/2020 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	11/24/20	10.00	...	-10.00	5 of 5 - Option expiration- long call
11/27/20	10.000	0.00	Various	100.00	...	-100.00	Total of 5 transactions
ACB 11/27/2020 CALL \$8.00 / CUSIP: / Symbol:							
8 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	110.98	11/23/20	12.00	...	98.98	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	110.98	11/23/20	12.00	...	98.98	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	116.98	11/23/20	12.00	...	104.98	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	119.98	11/23/20	12.00	...	107.98	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	131.98	11/23/20	17.00	...	114.98	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	199.98	11/23/20	34.00	...	165.98	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	243.98	11/23/20	24.00	...	219.98	7 of 8 - Option sale to close-call <sup>25</sup>
	4.000	503.97	11/23/20	48.00	...	455.97	8 of 8 - Option sale to close-call <sup>25</sup>
11/24/20	13.000	1,538.83	Various	171.00	...	1,367.83	Total of 8 transactions
ACB 12/04/2020 CALL \$10.50 / CUSIP: / Symbol:							
11/30/20	5.000	849.97	11/25/20	185.00	...	664.97	Option sale to close-call <sup>25</sup>
ACB 12/04/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	166.98	11/30/20	57.00	...	109.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	166.98	11/30/20	57.00	...	109.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/20	2.000	333.96	Various	114.00	...	219.96	Total of 2 transactions
ADBE 04/03/2020 CALL \$360.00 / CUSIP: / Symbol:							
03/30/20	3.000	35.98	03/27/20	93.00	...	-57.02	Option sale to close-call <sup>25</sup>
AMC 12/31/2020 CALL \$6.00 / CUSIP: / Symbol:							
12/03/20	10.000	139.97	12/02/20	150.00	...	-10.03	Option sale to close-call <sup>25</sup>
AMD 03/13/2020 CALL \$46.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/12/20	21.00	...	-21.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/04/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	161.98	11/25/20	112.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	161.98	11/25/20	112.00	...	49.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/30/20	2.000	323.96	Various	224.00	...	99.96	Total of 2 transactions
AMZN 03/20/2020 CALL \$2,015.00 / CUSIP: / Symbol:							
03/18/20	1.000	142.98	03/18/20	175.00	...	-32.02	Option sale to close-call <sup>25</sup>
AMZN 03/20/2020 CALL \$2,030.00 / CUSIP: / Symbol:							
03/19/20	1.000	299.98	03/19/20	124.00	...	175.98	Option sale to close-call <sup>25</sup>
AMZN 03/20/2020 CALL \$2,085.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/19/20	100.00	...	-100.00	Option expiration- long call
AMZN 05/29/2020 CALL \$2,485.00 / CUSIP: / Symbol:							
05/29/20	2.000	0.00	05/28/20	214.00	...	-214.00	Option expiration- long call
BA 04/03/2020 CALL \$250.00 / CUSIP: / Symbol:							
03/30/20	1.000	7.98	03/27/20	72.00	...	-64.02	Option sale to close-call <sup>25</sup>
BA 04/24/2020 CALL \$155.00 / CUSIP: / Symbol:							
04/23/20	1.000	25.98	04/22/20	35.00	...	-9.02	Option sale to close-call <sup>25</sup>
BA 05/01/2020 CALL \$160.00 / CUSIP: / Symbol:							
04/27/20	1.000	53.98	04/24/20	105.00	...	-51.02	Option sale to close-call <sup>25</sup>
BA 05/22/2020 CALL \$142.00 / CUSIP: / Symbol:							
05/20/20	1.000	72.98	05/19/20	118.00	...	-45.02	Option sale to close-call <sup>25</sup>
BA 05/29/2020 CALL \$170.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/28/20	19.00	...	-19.00	Option expiration- long call
BA 05/29/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/28/20	20.00	...	-20.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/28/20	5.00	...	-5.00	2 of 2 - Option expiration- long call
05/29/20	5.000	0.00	Various	25.00	...	-25.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/05/2020 CALL \$155.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	250.98	06/01/20	209.00	...	41.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	314.98	06/01/20	209.00	...	105.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/20	2.000	565.96	Various	418.00	...	147.96	Total of 2 transactions
BA 06/05/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	223.98	06/03/20	200.00	...	23.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	294.98	06/03/20	121.02	...	173.96	2 of 2 - Option sale to close-call <sup>25</sup>
06/03/20	2.000	518.96	Various	321.02	...	197.94	Total of 2 transactions
06/03/20	1.000	186.98	06/03/20	200.00	13.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>705.94</b>		<b>521.02</b>	<b>13.02 W</b>	<b>197.94</b>	
BA 06/12/2020 CALL \$165.00 / CUSIP: / Symbol:							
06/02/20	1.000	226.98	06/02/20	202.00	...	24.98	Option sale to close-call <sup>25</sup>
06/03/20	1.000	263.98	06/02/20	202.00	...	61.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>490.96</b>		<b>404.00</b>	...	<b>86.96</b>	
BA 06/12/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	519.97	06/03/20	320.00	...	199.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	654.97	06/03/20	320.00	...	334.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/03/20	2.000	1,174.94	Various	640.00	...	534.94	Total of 2 transactions
BABA 06/05/2020 CALL \$207.50 / CUSIP: / Symbol:							
06/01/20	1.000	241.98	05/29/20	254.00	...	-12.02	Option sale to close-call <sup>25</sup>
06/02/20	1.000	339.98	05/29/20	254.00	...	85.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>581.96</b>		<b>508.00</b>	...	<b>73.96</b>	
BABA 06/05/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	191.98	06/01/20	136.00	...	55.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	394.98	06/01/20	136.00	...	258.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	586.96	Various	272.00	...	314.96	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/04/2020 CALL \$285.00 / CUSIP: / Symbol:							
12/03/20	2.000	13.98	12/01/20	50.00	...	-36.02	Option sale to close-call <sup>25</sup>
BABA 12/04/2020 CALL \$295.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	19.99	11/25/20	252.00	...	-232.01	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	49.99	11/30/20	75.00	...	-25.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	7.000	69.98	Various	327.00	...	-257.02	Total of 2 transactions
BBY 12/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	12/09/20	92.01	...	-84.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.99	12/09/20	92.01	...	-84.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/20	2.000	15.98	Various	184.02	...	-168.04	Total of 2 transactions
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	7.99	12/09/20	50.00	42.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	7.99	12/09/20	50.00	42.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/20	2.000	15.98	Various	100.00	84.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>31.96</b>		<b>284.02</b>	<b>84.02 W</b>	<b>-168.04</b>	
BYND 03/20/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/19/20	35.04	...	-35.04	1 of 2 - Option expiration- long call
	1.000	32.98	03/19/20	15.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/20/20	2.000	32.98	Various	50.04	...	-17.06	Total of 2 transactions
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	13.98	03/19/20	15.00	1.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	15.98	03/19/20	16.02	0.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/20/20	2.000	29.96	Various	31.02	1.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>62.94</b>		<b>81.06</b>	<b>1.06 W</b>	<b>-17.06</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 03/20/2020 CALL \$78.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/17/20	41.02	...	-41.02	1 of 2 - Option expiration- long call
	4.000	0.00	03/17/20	24.00	...	-24.00	2 of 2 - Option expiration- long call
03/20/20	5.000	0.00	Various	65.02	...	-65.02	Total of 2 transactions
03/20/20	1.000	0.98	03/16/20	36.00	35.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>0.98</b>		<b>101.02</b>	<b>35.02 W</b>	<b>-65.02</b>	
BYND 05/15/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/07/20	1.000	145.98	05/07/20	107.00	...	38.98	Option sale to close-call <sup>25</sup>
BYND 05/15/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/11/20	1.000	269.98	05/08/20	166.00	...	103.98	Option sale to close-call <sup>25</sup>
BYND 06/05/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	584.97	05/29/20	268.00	...	316.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	704.97	05/29/20	268.00	...	436.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/20	2.000	1,289.94	Various	536.00	...	753.94	Total of 2 transactions
BYND 06/05/2020 CALL \$148.00 / CUSIP: / Symbol:							
06/02/20	1.000	101.98	06/01/20	252.00	...	-150.02	Option sale to close-call <sup>25</sup>
06/02/20	1.000	127.98	06/01/20	252.00	124.02 W	0.00	Option sale to close-call <sup>25</sup>
06/05/20	1.000	0.00	06/01/20	314.02	...	-314.02	Option expiration- long call
	<b>Security total:</b>	<b>229.96</b>		<b>818.02</b>	<b>124.02 W</b>	<b>-464.04</b>	
BYND 06/12/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	06/02/20	258.00	...	-23.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	260.98	06/02/20	258.00	...	2.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	495.96	Various	516.00	...	-20.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGC 10/16/2020 CALL \$15.50 / CUSIP: / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	48.98	09/25/20	43.00	...	5.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	48.98	09/25/20	43.00	...	5.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/20	2.000	97.96	Various	86.00	...	11.96	Total of 2 transactions
COST 03/20/2020 CALL \$335.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
03/18/20	1.000	49.98	03/16/20	36.00	...	13.98	Option sale to close-call <sup>25</sup>
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/18/20	62.00	...	-62.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/18/20	51.00	...	-51.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	113.00	...	-113.00	Total of 2 transactions
	<b>Security total:</b>	<b>49.98</b>		<b>149.00</b>	...	<b>-99.02</b>	
COST 05/29/2020 CALL \$315.00 / CUSIP: / Symbol:							
05/29/20	2.000	0.00	05/28/20	474.00	...	-474.00	Option expiration- long call
DAL 03/20/2020 CALL \$43.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/16/20	43.00	...	-43.00	Option expiration- long call
DKNG 12/11/2020 CALL \$52.00 / CUSIP: / Symbol:							
12/11/20	3.000	14.98	12/09/20	120.00	...	-105.02	Option sale to close-call <sup>25</sup>
FB 06/05/2020 CALL \$232.50 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	176.98	06/01/20	194.00	...	-17.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	222.98	06/01/20	194.00	...	28.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	399.96	Various	388.00	...	11.96	Total of 2 transactions
FSR 12/04/2020 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	21.99	11/30/20	132.00	...	-110.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	22.00	12/01/20	74.00	...	-52.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	32.99	12/02/20	27.00	...	5.99	3 of 3 - Option sale to close-call <sup>25</sup>
12/03/20	7.000	76.98	Various	233.00	...	-156.02	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSR 12/11/2020 CALL \$15.50 / CUSIP: / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	3.000	5.98	12/10/20	75.00	...	-69.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2.00	12/10/20	25.00	...	-23.00	2 of 2 - Option sale to close-call <sup>25</sup>
12/11/20	4.000	7.98	Various	100.00	...	-92.02	Total of 2 transactions
FSR 12/11/2020 CALL \$21.00 / CUSIP: / Symbol:							
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	12/02/20	144.00	...	-144.00	1 of 5 - Option expiration- long call
	1.000	0.00	12/04/20	15.00	...	-15.00	2 of 5 - Option expiration- long call
	1.000	0.00	12/04/20	15.00	...	-15.00	3 of 5 - Option expiration- long call
	2.000	0.00	12/07/20	30.00	...	-30.00	4 of 5 - Option expiration- long call
	3.000	0.00	12/09/20	30.00	...	-30.00	5 of 5 - Option expiration- long call
12/11/20	10.000	0.00	Various	234.00	...	-234.00	Total of 5 transactions
FSR 12/24/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	46.98	12/11/20	46.00	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	9.000	422.97	12/11/20	414.00	...	8.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/20	10.000	469.95	Various	460.00	...	9.95	Total of 2 transactions
GOOGL 05/01/2020 CALL \$1,450.0 / CUSIP: / Symbol:							
04/29/20	2.000	97.98	04/29/20	240.00	...	-142.02	Option sale to close-call <sup>25</sup>
HYLN 12/04/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	2.000	13.99	11/30/20	120.00	...	-106.01	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	20.99	12/01/20	90.00	...	-69.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/03/20	5.000	34.98	Various	210.00	...	-175.02	Total of 2 transactions
JNJ 12/04/2020 CALL \$148.00 / CUSIP: / Symbol:							
4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	11/25/20	24.00	...	30.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	69.98	11/25/20	24.00	...	45.98	2 of 4 - Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JNJ 12/04/2020 CALL \$148.00 / CUSIP: / Symbol: (cont'd)							
	2.000	101.98	11/25/20	48.00	...	53.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	98.98	11/25/20	24.00	...	74.98	4 of 4 - Option sale to close-call <sup>25</sup>
12/01/20	5.000	325.92	Various	120.00	...	205.92	Total of 4 transactions
JNJ 12/04/2020 CALL \$149.00 / CUSIP: / Symbol:							
12/03/20	2.000	79.98	12/02/20	96.00	...	-16.02	Option sale to close-call <sup>25</sup>
LYFT 01/08/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/08/20	1.000	189.98	12/07/20	192.00	...	-2.02	Option sale to close-call <sup>25</sup>
NIO 06/12/2020 CALL \$5.50 / CUSIP: / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	65.98	06/03/20	41.00	...	24.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	70.98	06/03/20	41.00	...	29.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	90.98	06/03/20	41.00	...	49.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/04/20	3.000	227.94	Various	123.00	...	104.94	Total of 3 transactions
06/09/20	1.000	100.98	06/03/20	41.00	...	59.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>328.92</b>		<b>164.00</b>	...	<b>164.92</b>	
NKE 03/06/2020 CALL \$93.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	03/05/20	30.00	...	-30.00	Option expiration- long call
NKE 05/01/2020 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	7.000	0.00	04/24/20	21.00	...	-21.00	1 of 3 - Option expiration- long call
	2.000	0.00	04/24/20	6.00	...	-6.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/24/20	3.00	...	-3.00	3 of 3 - Option expiration- long call
05/01/20	10.000	0.00	Various	30.00	...	-30.00	Total of 3 transactions
NKLA 11/27/2020 CALL \$38.00 / CUSIP: / Symbol:							
11/27/20	2.000	0.00	11/24/20	220.00	...	-220.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 12/04/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/30/20	185.00	...	-185.00	1 of 2 - Option expiration- long call
	3.000	0.00	12/01/20	90.00	...	-90.00	2 of 2 - Option expiration- long call
12/04/20	4.000	0.00	Various	275.00	...	-275.00	Total of 2 transactions
NVDA 03/20/2020 CALL \$280.00 / CUSIP: / Symbol:							
03/13/20	1.000	34.98	03/12/20	49.00	...	-14.02	Option sale to close-call <sup>25</sup>
NVDA 05/22/2020 CALL \$385.00 / CUSIP: / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/21/20	335.00	...	-335.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/21/20	232.00	...	-232.00	2 of 2 - Option expiration- long call
05/22/20	2.000	0.00	Various	567.00	...	-567.00	Total of 2 transactions
NVDA 05/22/2020 CALL \$390.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	280.00	...	-280.00	Option expiration- long call
NVDA 05/29/2020 CALL \$400.00 / CUSIP: / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	6.99	05/22/20	157.00	...	-150.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.99	05/22/20	115.00	...	-108.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/20	2.000	13.98	Various	272.00	...	-258.02	Total of 2 transactions
PCG 03/13/2020 CALL \$12.50 / CUSIP: / Symbol:							
03/13/20	4.000	0.00	03/12/20	8.00	...	-8.00	Option expiration- long call
PCG 03/13/2020 CALL \$14.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/11/20	8.00	...	-8.00	Option expiration- long call
PFE 01/08/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	3.98	12/15/20	103.00	...	-99.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3.98	12/15/20	103.00	...	-99.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/20	2.000	7.96	Various	206.00	...	-198.04	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/07/20	1.000	565.97	12/04/20	380.00	...	185.97	Option sale to close-call <sup>25</sup>
PLTR 12/04/2020 CALL \$32.00 / CUSIP: / Symbol:							
11/30/20	1.000	195.98	11/27/20	210.00	...	-14.02	Option sale to close-call <sup>25</sup>
PYPL 01/15/2021 CALL \$220.00 / CUSIP: / Symbol:							
11/23/20	1.000	384.98	11/20/20	282.00	...	102.98	Option sale to close-call <sup>25</sup>
SBUX 06/05/2020 CALL \$79.50 / CUSIP: / Symbol:							
06/04/20	1.000	96.98	06/03/20	68.00	...	28.98	Option sale to close-call <sup>25</sup>
06/05/20	1.000	191.98	06/03/20	68.00	...	123.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>288.96</b>		<b>136.00</b>	...	<b>152.96</b>	
SQ 03/13/2020 CALL \$61.00 / CUSIP: / Symbol:							
<i>2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	69.99	03/12/20	35.00	...	34.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	69.99	03/12/20	33.00	...	36.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/12/20	2.000	139.98	Various	68.00	...	71.98	Total of 2 transactions
<i>2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	03/12/20	33.00	...	-33.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/12/20	21.00	...	-21.00	2 of 2 - Option expiration- long call
03/13/20	2.000	0.00	Various	54.00	...	-54.00	Total of 2 transactions
<b>Security total:</b>		<b>139.98</b>		<b>122.00</b>	...	<b>17.98</b>	
SQ 03/13/2020 CALL \$73.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/09/20	44.00	...	-44.00	Option expiration- long call
SQ 03/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
03/19/20	1.000	273.98	03/18/20	84.00	...	189.98	Option sale to close-call <sup>25</sup>
SQ 03/20/2020 CALL \$43.00 / CUSIP: / Symbol:							
03/19/20	1.000	389.98	03/18/20	33.00	...	356.98	Option sale to close-call <sup>25</sup>
SQ 03/20/2020 CALL \$54.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/17/20	31.00	...	-31.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 03/20/2020 CALL \$59.00 / CUSIP: / Symbol:							
03/17/20	2.000	99.98	03/16/20	58.00	...	41.98	Option sale to close-call <sup>25</sup>
	3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	03/16/20	29.00	...	-29.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/16/20	28.00	...	-28.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/16/20	16.00	...	-16.00	3 of 3 - Option expiration- long call
03/20/20	3.000	0.00	Various	73.00	...	-73.00	Total of 3 transactions
	<b>Security total:</b>	<b>99.98</b>		<b>131.00</b>	...	<b>-31.02</b>	
SQ 03/20/2020 CALL \$65.50 / CUSIP: / Symbol:							
03/17/20	3.000	59.98	03/16/20	27.00	...	32.98	Option sale to close-call <sup>25</sup>
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	20.00	03/12/20	107.00	87.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.00	03/13/20	38.00	18.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
03/17/20	2.000	40.00	Various	145.00	105.00 W	0.00	Total of 2 transactions
	5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	03/16/20	96.00	...	-96.00	1 of 5 - Option expiration- long call
	5.000	0.00	03/16/20	35.00	...	-35.00	2 of 5 - Option expiration- long call
	1.000	0.00	03/16/20	27.00	...	-27.00	3 of 5 - Option expiration- long call
	3.000	0.00	03/16/20	24.00	...	-24.00	4 of 5 - Option expiration- long call
	1.000	0.00	03/16/20	8.00	...	-8.00	5 of 5 - Option expiration- long call
03/20/20	11.000	0.00	Various	190.00	...	-190.00	Total of 5 transactions
	<b>Security total:</b>	<b>99.98</b>		<b>362.00</b>	<b>105.00 W</b>	<b>-157.02</b>	
SQ 03/27/2020 CALL \$54.00 / CUSIP: / Symbol:							
03/25/20	1.000	297.98	03/19/20	106.00	...	191.98	Option sale to close-call <sup>25</sup>
03/26/20	1.000	579.97	03/19/20	37.00	...	542.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>877.95</b>		<b>143.00</b>	...	<b>734.95</b>	
SQ 03/27/2020 CALL \$63.00 / CUSIP: / Symbol:							
03/26/20	2.000	95.98	03/25/20	62.00	...	33.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 03/27/2020 CALL \$67.00 / CUSIP: / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	3.000	29.98	03/25/20	27.00	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	51.98	03/25/20	18.00	...	33.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/26/20	5.000	81.96	Various	45.00	...	36.96	Total of 2 transactions
SQ 04/03/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	5.98	03/27/20	28.00	...	-22.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.98	03/27/20	28.00	...	-22.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/30/20	2.000	11.96	Various	56.00	...	-44.04	Total of 2 transactions
SQ 04/09/2020 CALL \$58.00 / CUSIP: / Symbol:							
04/08/20	2.000	39.98	04/07/20	60.00	...	-20.02	Option sale to close-call <sup>25</sup>
SQ 04/09/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	9.000	80.97	04/07/20	108.00	...	-27.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	14.98	04/07/20	12.00	...	2.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/08/20	10.000	95.95	Various	120.00	...	-24.05	Total of 2 transactions
SQ 04/09/2020 CALL \$62.00 / CUSIP: / Symbol:							
04/08/20	3.000	17.98	04/07/20	30.00	...	-12.02	Option sale to close-call <sup>25</sup>
SQ 05/22/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/21/20	15.00	...	-15.00	Option expiration- long call
SQ 05/22/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/20/20	1.000	27.98	05/15/20	46.00	...	-18.02	Option sale to close-call <sup>25</sup>
SQ 05/29/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/21/20	1.000	85.98	05/20/20	153.00	...	-67.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQ 06/05/2020 CALL \$89.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	111.98	06/02/20	81.00	...	30.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	135.98	06/02/20	81.00	...	54.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	247.96	Various	162.00	...	85.96	Total of 2 transactions
SQ 06/05/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/04/20	1.000	74.98	06/03/20	69.00	...	5.98	Option sale to close-call <sup>25</sup>
06/05/20	3.000	0.00	06/03/20	207.00	...	-207.00	Option expiration- long call
	<b>Security total:</b>	<b>74.98</b>		<b>276.00</b>	...	<b>-201.02</b>	
T 12/04/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	3.000	5.99	12/03/20	6.02	...	-0.03	1 of 3 - Option sale to close-call <sup>25</sup>
	9.000	17.98	12/03/20	18.00	...	-0.02	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	19.98	12/03/20	20.00	...	-0.02	3 of 3 - Option sale to close-call <sup>25</sup>
12/04/20	22.000	43.95	Various	44.02	...	-0.07	Total of 3 transactions
12/04/20	3.000	5.98	12/03/20	6.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>49.93</b>		<b>50.02</b>	<b>0.02 W</b>	<b>-0.07</b>	
TLRY 06/19/2020 CALL \$10.50 / CUSIP: / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	05/22/20	105.00	...	34.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	139.99	05/22/20	105.00	...	34.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	145.98	05/22/20	105.00	...	40.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/26/20	3.000	425.96	Various	315.00	...	110.96	Total of 3 transactions
TLRY 10/16/2020 CALL \$6.50 / CUSIP: / Symbol:							
10/16/20	4.000	0.00	10/09/20	100.00	...	-100.00	Option expiration- long call
TLRY 11/27/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/27/20	10.000	0.00	11/24/20	70.00	...	-70.00	Option expiration- long call

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TLRY 11/27/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	70.98	11/23/20	21.00	...	49.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	70.98	11/23/20	21.00	...	49.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	70.98	11/23/20	21.00	...	49.98	3 of 3 - Option sale to close-call <sup>25</sup>
11/24/20	3.000	212.94	Various	63.00	...	149.94	Total of 3 transactions
TSLA 03/13/2020 CALL \$730.00 / CUSIP: / Symbol:							
4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/11/20	115.00	...	-115.00	1 of 4 - Option expiration- long call
	1.000	0.00	03/11/20	88.00	...	-88.00	2 of 4 - Option expiration- long call
	3.000	0.00	03/12/20	45.00	...	-45.00	3 of 4 - Option expiration- long call
	2.000	0.00	03/12/20	20.00	...	-20.00	4 of 4 - Option expiration- long call
03/13/20	7.000	0.00	Various	268.00	...	-268.00	Total of 4 transactions
TSLA 03/13/2020 CALL \$745.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/11/20	107.00	...	-107.00	Option expiration- long call
TSLA 03/13/2020 CALL \$810.00 / CUSIP: / Symbol:							
03/13/20	1.000	0.00	03/10/20	33.00	...	-33.00	Option expiration- long call
TSLA 03/20/2020 CALL \$460.00 / CUSIP: / Symbol:							
03/19/20	1.000	279.98	03/18/20	140.00	...	139.98	Option sale to close-call <sup>25</sup>
TSLA 03/20/2020 CALL \$510.00 / CUSIP: / Symbol:							
03/19/20	1.000	299.98	03/18/20	109.02	...	190.96	Option sale to close-call <sup>25</sup>
03/19/20	1.000	84.98	03/18/20	110.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>384.96</b>		<b>219.02</b>	<b>25.02 W</b>	<b>190.96</b>	
TSLA 03/20/2020 CALL \$515.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/19/20	133.02	...	-133.02	Option expiration- long call
03/20/20	1.000	58.98	03/19/20	115.00	56.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>58.98</b>		<b>248.02</b>	<b>56.02 W</b>	<b>-133.02</b>	
TSLA 03/20/2020 CALL \$565.00 / CUSIP: / Symbol:							
03/19/20	1.000	77.98	03/18/20	36.00	...	41.98	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 03/20/2020 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	03/17/20	59.00	...	-59.00	1 of 2 - Option expiration- long call
	1.000	0.00	03/17/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	109.00	...	-109.00	Total of 2 transactions
TSLA 03/20/2020 CALL \$740.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/16/20	33.00	...	-33.00	Option expiration- long call
TSLA 05/15/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	261.99	05/05/20	260.00	...	1.99	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	785.97	05/05/20	780.00	...	5.97	2 of 5 - Option sale to close-call <sup>25</sup>
	6.000	1,571.95	05/05/20	1,560.00	...	11.95	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	315.98	05/05/20	286.00	...	29.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	315.99	05/05/20	260.00	...	55.99	5 of 5 - Option sale to close-call <sup>25</sup>
05/05/20	12.000	3,251.88	Various	3,146.00	...	105.88	Total of 5 transactions
TSLA 05/15/2020 CALL \$820.00 / CUSIP: / Symbol:							
05/14/20	1.000	299.98	05/14/20	227.00	...	72.98	Option sale to close-call <sup>25</sup>
TSLA 05/15/2020 CALL \$960.00 / CUSIP: / Symbol:							
05/12/20	1.000	209.98	05/11/20	207.00	...	2.98	Option sale to close-call <sup>25</sup>
TSLA 06/05/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
06/03/20	1.000	130.98	06/02/20	335.00	204.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/02/20	370.02	...	-370.02	1 of 2 - Option expiration- long call
	1.000	0.00	06/03/20	46.00	...	-46.00	2 of 2 - Option expiration- long call
06/05/20	2.000	0.00	Various	416.02	...	-416.02	Total of 2 transactions
	<b>Security total:</b>	<b>130.98</b>		<b>751.02</b>	<b>204.02 W</b>	<b>-416.02</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/05/2020 CALL \$1,020.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	419.98	06/01/20	320.00	...	99.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	424.98	06/01/20	320.00	...	104.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	844.96	Various	640.00	...	204.96	Total of 2 transactions
TSLA 06/05/2020 CALL \$1,040.00 / CUSIP: / Symbol:							
06/02/20	1.000	167.98	06/02/20	291.01	...	-123.03	Option sale to close-call <sup>25</sup>
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	110.99	06/02/20	315.00	204.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	110.99	06/02/20	315.00	204.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	221.98	Various	630.00	408.02 W	0.00	Total of 2 transactions
06/05/20	1.000	0.00	06/02/20	291.01	...	-291.01	Option expiration- long call
	<b>Security total:</b>	<b>389.96</b>		<b>1,212.02</b>	<b>408.02 W</b>	<b>-414.04</b>	
TSLA 06/05/2020 CALL \$900.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,049.96	05/29/20	382.00	...	667.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,649.95	05/29/20	382.00	...	1,267.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/20	2.000	2,699.91	Various	764.00	...	1,935.91	Total of 2 transactions
TSLA 06/05/2020 CALL \$980.00 / CUSIP: / Symbol:							
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	06/01/20	350.00	...	49.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	414.98	06/01/20	283.00	...	131.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	524.97	06/01/20	253.00	...	271.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/01/20	3.000	1,339.93	Various	886.00	...	453.93	Total of 3 transactions
TSLA 06/05/2020 PUT \$820.00 / CUSIP: / Symbol:							
06/02/20	1.000	269.98	06/02/20	213.00	...	56.98	Option sale to close-put <sup>25</sup>
TWTR 12/04/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/03/20	1.000	339.98	11/02/20	285.00	...	54.98	Option sale to close-call <sup>25</sup>
UAL 05/08/2020 CALL \$25.00 / CUSIP: / Symbol:							
05/08/20	2.000	47.98	05/07/20	52.00	...	-4.02	Option sale to close-call <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 12/11/2020 CALL \$22.00 / CUSIP: / Symbol:							
12/11/20	3.000	158.98	12/09/20	90.00	...	68.98	Option sale to close-call <sup>25</sup>
XLK 10/16/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/25/20	1.000	274.98	09/24/20	245.00	...	29.98	Option sale to close-call <sup>25</sup>
XPEV 12/24/2020 CALL \$55.00 / CUSIP: / Symbol:							
12/14/20	5.000	499.97	12/09/20	635.00	...	-135.03	Option sale to close-call <sup>25</sup>
ZM 06/05/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	269.98	06/03/20	140.00	...	129.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	369.98	06/03/20	155.00	...	214.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/03/20	2.000	639.96	Various	295.00	...	344.96	Total of 2 transactions
ZM 06/05/2020 CALL \$245.00 / CUSIP: / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	234.98	06/02/20	195.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	312.98	06/02/20	195.00	...	117.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/20	2.000	547.96	Various	390.00	...	157.96	Total of 2 transactions
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,562.86	04/07/20	1,565.00	...	-2.14	1 of 2 - Sale <sup>25</sup>
	2.000	625.15	04/07/20	616.98	...	8.17	2 of 2 - Sale <sup>25</sup>
04/08/20	7.000	2,188.01	Various	2,181.98	...	6.03	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
05/15/20	1.000	53.93	05/11/20	55.22	...	-1.29	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	11.59	04/07/20	11.36	...	0.23	1 of 3 - Sale <sup>25</sup>
	25.000	289.74	04/16/20	280.97	...	8.77	2 of 3 - Sale <sup>25</sup>
	24.000	278.15	04/16/20	266.64	...	11.51	3 of 3 - Sale <sup>25</sup>
04/17/20	50.000	579.48	Various	558.97	...	20.51	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	11.23	04/16/20	11.11	...	0.12	1 of 2 - Sale <sup>25</sup>
05/26/20	499.000	5,603.63	05/26/20	5,114.75	...	488.88	2 of 2 - Sale <sup>25</sup>
	500.000	5,614.86	Various	5,125.86	...	489.00	Total of 2 transactions
9 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	12.34	05/26/20	11.19	...	1.15	1 of 9 - Sale <sup>25</sup>
	1.000	12.34	05/26/20	10.25	...	2.09	2 of 9 - Sale <sup>25</sup>
	5.000	61.70	05/26/20	55.95	...	5.75	3 of 9 - Sale <sup>25</sup>
	10.000	123.40	05/26/20	111.90	...	11.50	4 of 9 - Sale <sup>25</sup>
	20.000	246.80	05/26/20	223.80	...	23.00	5 of 9 - Sale <sup>25</sup>
	85.000	1,048.87	05/26/20	951.15	...	97.72	6 of 9 - Sale <sup>25</sup>
	100.000	1,241.96	05/26/20	1,119.00	...	122.96	7 of 9 - Sale <sup>25</sup>
	135.000	1,665.85	05/26/20	1,510.65	...	155.20	8 of 9 - Sale <sup>25</sup>
	143.000	1,764.56	05/26/20	1,600.17	...	164.39	9 of 9 - Sale <sup>25</sup>
05/27/20	500.000	6,177.82	Various	5,594.06	...	583.76	Total of 9 transactions
05/28/20	1.000	11.40	05/26/20	11.19	...	0.21	Sale <sup>25</sup>
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	199.000	2,268.90	05/27/20	2,385.97	117.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	300.000	3,414.45	05/27/20	3,596.94	182.49 W	0.00	2 of 2 - Sale <sup>25</sup>
05/28/20	499.000	5,683.35	Various	5,982.91	299.56 W	0.00	Total of 2 transactions
06/01/20	199.000	2,234.72	05/29/20	2,254.33	...	-19.61	Sale <sup>25</sup>
06/01/20	1.000	11.23	05/27/20	11.99	0.76 W	0.00	Sale <sup>25</sup>
6 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	12.06	05/29/20	11.35	...	0.71	1 of 6 - Sale <sup>25</sup>
	1.000	12.06	06/02/20	11.96	...	0.10	2 of 6 - Sale <sup>25</sup>
	20.000	241.30	06/02/20	236.17	...	5.13	3 of 6 - Sale <sup>25</sup>
	30.000	361.95	06/02/20	354.25	...	7.70	4 of 6 - Sale <sup>25</sup>
	150.000	1,792.73	06/02/20	1,771.24	...	21.49	5 of 6 - Sale <sup>25</sup>
	98.000	1,182.34	06/02/20	1,157.21	...	25.13	6 of 6 - Sale <sup>25</sup>
06/03/20	300.000	3,602.44	Various	3,542.18	...	60.26	Total of 6 transactions
	<b>Security total:</b>	<b>23,915.30</b>		<b>23,081.49</b>	<b>300.32 W</b>	<b>1,134.13</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPTYSBIO, INC. COMMON STOCK / CUSIP: 032724106 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	14.01	03/18/20	13.75	...	0.26	1 of 3 - Sale <sup>25</sup>
	99.000	1,386.96	03/24/20	1,314.72	...	72.24	2 of 3 - Sale <sup>25</sup>
	100.000	1,400.96	03/24/20	1,328.00	...	72.96	3 of 3 - Sale <sup>25</sup>
03/25/20	200.000	2,801.93	Various	2,656.47	...	145.46	Total of 3 transactions
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	14.30	03/24/20	13.28	...	1.02	1 of 3 - Sale <sup>25</sup>
	23.000	328.90	03/26/20	322.00	...	6.90	2 of 3 - Sale <sup>25</sup>
	26.000	371.80	03/26/20	364.00	...	7.80	3 of 3 - Sale <sup>25</sup>
03/27/20	50.000	715.00	Various	699.28	...	15.72	Total of 3 transactions
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	51.000	710.91	03/26/20	714.00	3.09 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	13.94	03/26/20	14.30	0.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	48.000	669.10	03/26/20	686.40	17.30 W	0.00	3 of 3 - Sale <sup>25</sup>
03/30/20	100.000	1,393.95	Various	1,414.70	20.75 W	0.00	Total of 3 transactions
4 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	13.50	03/26/20	14.36	0.86 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	13.50	04/01/20	13.89	0.39 W	0.00	2 of 4 - Sale <sup>25</sup>
	48.000	647.98	04/01/20	666.74	18.76 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	674.98	04/01/20	679.53	4.55 W	0.00	4 of 4 - Sale <sup>25</sup>
04/02/20	100.000	1,349.96	Various	1,374.52	24.56 W	0.00	Total of 4 transactions
4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	14.77	04/01/20	14.39	...	0.38	1 of 4 - Sale <sup>25</sup>
	1.000	14.77	04/03/20	14.28	...	0.49	2 of 4 - Sale <sup>25</sup>
	48.000	709.18	04/03/20	685.71	...	23.47	3 of 4 - Sale <sup>25</sup>
	50.000	738.72	04/03/20	699.28	...	39.44	4 of 4 - Sale <sup>25</sup>
04/06/20	100.000	1,477.44	Various	1,413.66	...	63.78	Total of 4 transactions
04/09/20	1.000	15.08	04/03/20	13.89	...	1.19	Sale <sup>25</sup>
14 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	49.000	738.90	04/07/20	766.50	27.60 W	0.00	1 of 14 - Sale <sup>25</sup>
	33.000	492.36	04/07/20	516.22	23.86 W	0.00	2 of 14 - Sale <sup>25</sup>
	18.000	268.55	04/07/20	281.57	13.02 W	0.00	3 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPTYSBIO, INC. COMMON STOCK / CUSIP: 032724106 / Symbol: (cont'd)							
	49.000	731.05	04/07/20	792.98	61.93 W	0.00	4 of 14 - Sale <sup>25</sup>
	10.000	149.20	04/07/20	163.43	14.23 W	0.00	5 of 14 - Sale <sup>25</sup>
	10.000	149.20	04/07/20	163.43	14.23 W	0.00	6 of 14 - Sale <sup>25</sup>
	18.000	269.54	04/07/20	294.18	24.64 W	0.00	7 of 14 - Sale <sup>25</sup>
	13.000	194.67	04/07/20	212.46	17.79 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	14.97	04/07/20	16.02	1.05 W	0.00	9 of 14 - Sale <sup>25</sup>
	49.000	733.75	04/07/20	777.33	43.58 W	0.00	10 of 14 - Sale <sup>25</sup>
	2.000	29.95	04/07/20	31.51	1.56 W	0.00	11 of 14 - Sale <sup>25</sup>
	7.000	104.82	04/07/20	109.70	4.88 W	0.00	12 of 14 - Sale <sup>25</sup>
	10.000	149.75	04/07/20	156.71	6.96 W	0.00	13 of 14 - Sale <sup>25</sup>
	2.000	30.39	04/07/20	31.23	0.84 W	0.00	14 of 14 - Sale <sup>25</sup>
04/09/20	271.000	4,057.10	Various	4,313.27	256.17 W	0.00	Total of 14 transactions
	4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	15.50	04/07/20	15.62	0.12 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	15.50	04/13/20	16.02	0.52 W	0.00	2 of 4 - Sale <sup>25</sup>
	9.000	139.50	04/13/20	144.16	4.66 W	0.00	3 of 4 - Sale <sup>25</sup>
	13.000	201.50	04/13/20	208.24	6.74 W	0.00	4 of 4 - Sale <sup>25</sup>
04/14/20	24.000	372.00	Various	384.04	12.04 W	0.00	Total of 4 transactions
04/16/20	1.000	15.65	04/13/20	16.02	0.37 W	0.00	Sale <sup>25</sup>
	11 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	1.000	15.93	04/21/20	15.93	...	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	15.93	04/21/20	15.68	...	0.25	2 of 11 - Sale <sup>25</sup>
	1.000	15.93	04/21/20	15.64	...	0.29	3 of 11 - Sale <sup>25</sup>
	2.000	31.86	04/22/20	31.77	...	0.09	4 of 11 - Sale <sup>25</sup>
	1.000	15.93	04/22/20	15.63	...	0.30	5 of 11 - Sale <sup>25</sup>
	1.000	15.93	04/22/20	15.62	...	0.31	6 of 11 - Sale <sup>25</sup>
	2.000	31.86	04/22/20	31.05	...	0.81	7 of 11 - Sale <sup>25</sup>
	7.000	111.51	04/22/20	110.63	...	0.88	8 of 11 - Sale <sup>25</sup>
	10.000	159.29	04/22/20	158.04	...	1.25	9 of 11 - Sale <sup>25</sup>
	24.000	382.31	04/22/20	371.52	...	10.79	10 of 11 - Sale <sup>25</sup>
	49.000	780.54	04/22/20	755.09	...	25.45	11 of 11 - Sale <sup>25</sup>
04/23/20	99.000	1,577.02	Various	1,536.60	...	40.42	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPTYSBIO, INC. COMMON STOCK / CUSIP: 032724106 / Symbol: (cont'd)							
<i>19 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.93	04/13/20	16.02	0.09 W	0.00	1 of 19 - Sale <sup>25</sup>
	22.000	350.45	04/21/20	364.97	14.52 W	0.00	2 of 19 - Sale <sup>25</sup>
	3.000	47.79	04/21/20	51.21	3.42 W	0.00	3 of 19 - Sale <sup>25</sup>
	25.000	398.23	04/21/20	412.13	13.90 W	0.00	4 of 19 - Sale <sup>25</sup>
	1.000	15.93	04/21/20	16.61	0.68 W	0.00	5 of 19 - Sale <sup>25</sup>
	2.000	31.86	04/21/20	32.89	1.03 W	0.00	6 of 19 - Sale <sup>25</sup>
	2.000	31.86	04/21/20	32.67	0.81 W	0.00	7 of 19 - Sale <sup>25</sup>
	7.000	111.51	04/21/20	113.78	2.27 W	0.00	8 of 19 - Sale <sup>25</sup>
	10.000	159.29	04/21/20	162.53	3.24 W	0.00	9 of 19 - Sale <sup>25</sup>
	2.000	31.86	04/21/20	31.95	0.09 W	0.00	10 of 19 - Sale <sup>25</sup>
	1.000	15.93	04/21/20	16.08	0.15 W	0.00	11 of 19 - Sale <sup>25</sup>
	1.000	15.93	04/21/20	16.07	0.14 W	0.00	12 of 19 - Sale <sup>25</sup>
	8.000	127.44	04/21/20	128.60	1.16 W	0.00	13 of 19 - Sale <sup>25</sup>
	13.000	207.08	04/21/20	208.98	1.90 W	0.00	14 of 19 - Sale <sup>25</sup>
	22.000	350.45	04/21/20	356.84	6.39 W	0.00	15 of 19 - Sale <sup>25</sup>
	25.000	398.24	04/21/20	402.90	4.66 W	0.00	16 of 19 - Sale <sup>25</sup>
	3.000	47.79	04/21/20	50.10	2.31 W	0.00	17 of 19 - Sale <sup>25</sup>
	1.000	15.93	04/22/20	16.16	0.23 W	0.00	18 of 19 - Sale <sup>25</sup>
	2.000	31.86	04/22/20	31.99	0.13 W	0.00	19 of 19 - Sale <sup>25</sup>
04/23/20	151.000	2,405.36	Various	2,462.48	57.12 W	0.00	Total of 19 transactions
<i>5 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.80	04/22/20	15.55	...	0.25	1 of 5 - Sale <sup>25</sup>
	1.000	16.34	04/23/20	15.80	...	0.54	2 of 5 - Sale <sup>25</sup>
	2.000	32.68	04/23/20	31.72	...	0.96	3 of 5 - Sale <sup>25</sup>
	27.000	431.44	04/23/20	428.09	...	3.35	4 of 5 - Sale <sup>25</sup>
	47.000	767.93	04/23/20	735.58	...	32.35	5 of 5 - Sale <sup>25</sup>
04/24/20	78.000	1,264.19	Various	1,226.74	...	37.45	Total of 5 transactions
<i>17 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	22.000	351.55	04/23/20	357.30	5.75 W	0.00	1 of 17 - Sale <sup>25</sup>
	25.000	399.49	04/23/20	403.42	3.93 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	15.98	04/23/20	16.18	0.20 W	0.00	3 of 17 - Sale <sup>25</sup>
	2.000	31.96	04/23/20	32.03	0.07 W	0.00	4 of 17 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPTYSBIO, INC. COMMON STOCK / CUSIP: 032724106 / Symbol: (cont'd)							
	1.000	15.98	04/23/20	16.72	0.74 W	0.00	5 of 17 - Sale <sup>25</sup>
	2.000	31.96	04/23/20	33.25	1.29 W	0.00	6 of 17 - Sale <sup>25</sup>
	7.000	111.86	04/23/20	112.00	0.14 W	0.00	7 of 17 - Sale <sup>25</sup>
	13.000	207.73	04/23/20	208.01	0.28 W	0.00	8 of 17 - Sale <sup>25</sup>
	22.000	347.59	04/23/20	356.19	8.60 W	0.00	9 of 17 - Sale <sup>25</sup>
	25.000	394.99	04/23/20	402.16	7.17 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	15.80	04/23/20	16.13	0.33 W	0.00	11 of 17 - Sale <sup>25</sup>
	2.000	31.60	04/23/20	31.93	0.33 W	0.00	12 of 17 - Sale <sup>25</sup>
	3.000	47.40	04/23/20	50.01	2.61 W	0.00	13 of 17 - Sale <sup>25</sup>
	7.000	110.60	04/23/20	112.32	1.72 W	0.00	14 of 17 - Sale <sup>25</sup>
	13.000	205.39	04/23/20	208.60	3.21 W	0.00	15 of 17 - Sale <sup>25</sup>
	27.000	426.58	04/23/20	429.30	2.72 W	0.00	16 of 17 - Sale <sup>25</sup>
	49.000	774.17	04/23/20	776.65	2.48 W	0.00	17 of 17 - Sale <sup>25</sup>
04/24/20	222.000	3,520.63	Various	3,562.20	41.57 W	0.00	Total of 17 transactions
04/27/20	1.000	15.95	04/23/20	15.65	...	0.30	Sale <sup>25</sup>
9 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	20.000	318.99	04/24/20	326.23	7.24 W	0.00	1 of 9 - Sale <sup>25</sup>
	25.000	398.74	04/24/20	405.18	6.44 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	15.95	04/24/20	16.25	0.30 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	31.90	04/24/20	32.17	0.27 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	15.95	04/24/20	16.79	0.84 W	0.00	5 of 9 - Sale <sup>25</sup>
	2.000	31.90	04/24/20	33.39	1.49 W	0.00	6 of 9 - Sale <sup>25</sup>
	7.000	111.65	04/24/20	112.49	0.84 W	0.00	7 of 9 - Sale <sup>25</sup>
	13.000	207.34	04/24/20	208.93	1.59 W	0.00	8 of 9 - Sale <sup>25</sup>
	28.000	446.58	04/24/20	449.40	2.82 W	0.00	9 of 9 - Sale <sup>25</sup>
04/27/20	99.000	1,579.00	Various	1,600.83	21.83 W	0.00	Total of 9 transactions
7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	16.01	04/28/20	15.97	...	0.04	1 of 7 - Sale <sup>25</sup>
	1.000	16.02	04/29/20	15.98	...	0.04	2 of 7 - Sale <sup>25</sup>
	7.000	112.17	04/29/20	112.11	...	0.06	3 of 7 - Sale <sup>25</sup>
	13.000	208.32	04/29/20	208.23	...	0.09	4 of 7 - Sale <sup>25</sup>
	28.000	448.69	04/29/20	447.88	...	0.81	5 of 7 - Sale <sup>25</sup>
	49.000	784.49	04/29/20	780.82	...	3.67	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPTYSBIO, INC. COMMON STOCK / CUSIP: 032724106 / Symbol: (cont'd)							
	50.000	801.23	04/29/20	796.75	...	4.48	7 of 7 - Sale <sup>25</sup>
04/30/20	149.000	2,386.93	Various	2,377.74	...	9.19	Total of 7 transactions
	16 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	1.000	16.01	04/24/20	16.05	0.04 W	0.00	1 of 16 - Sale <sup>25</sup>
	20.000	320.20	04/28/20	326.64	6.44 W	0.00	2 of 16 - Sale <sup>25</sup>
	25.000	400.25	04/28/20	405.69	5.44 W	0.00	3 of 16 - Sale <sup>25</sup>
	1.000	16.01	04/28/20	16.27	0.26 W	0.00	4 of 16 - Sale <sup>25</sup>
	2.000	32.02	04/28/20	32.21	0.19 W	0.00	5 of 16 - Sale <sup>25</sup>
	1.000	16.01	04/28/20	16.81	0.80 W	0.00	6 of 16 - Sale <sup>25</sup>
	2.000	32.02	04/28/20	33.43	1.41 W	0.00	7 of 16 - Sale <sup>25</sup>
	7.000	112.07	04/28/20	112.63	0.56 W	0.00	8 of 16 - Sale <sup>25</sup>
	13.000	208.13	04/28/20	209.20	1.07 W	0.00	9 of 16 - Sale <sup>25</sup>
	28.000	448.28	04/28/20	449.98	1.70 W	0.00	10 of 16 - Sale <sup>25</sup>
	20.000	320.49	04/29/20	325.14	4.65 W	0.00	11 of 16 - Sale <sup>25</sup>
	25.000	400.61	04/29/20	403.82	3.21 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	16.02	04/29/20	16.20	0.18 W	0.00	13 of 16 - Sale <sup>25</sup>
	2.000	32.05	04/29/20	32.06	0.01 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	16.02	04/29/20	16.74	0.72 W	0.00	15 of 16 - Sale <sup>25</sup>
	2.000	32.05	04/29/20	33.28	1.23 W	0.00	16 of 16 - Sale <sup>25</sup>
04/30/20	151.000	2,418.24	Various	2,446.15	27.91 W	0.00	Total of 16 transactions
	12 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	15.04	04/29/20	15.94	0.90 W	0.00	1 of 12 - Sale <sup>25</sup>
	177.000	2,662.02	04/30/20	2,782.44	19.05 W	-101.37	2 of 12 - Sale <sup>25</sup>
	20.000	300.79	04/30/20	314.65	13.86 W	0.00	3 of 12 - Sale <sup>25</sup>
	25.000	375.99	04/30/20	390.71	14.72 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	15.04	04/30/20	15.68	0.64 W	0.00	5 of 12 - Sale <sup>25</sup>
	2.000	30.08	04/30/20	31.01	0.93 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	15.04	04/30/20	16.22	1.18 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	30.08	04/30/20	32.23	2.15 W	0.00	8 of 12 - Sale <sup>25</sup>
	48.000	721.90	04/30/20	744.00	22.10 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	15.04	04/30/20	15.50	0.46 W	0.00	10 of 12 - Sale <sup>25</sup>
	20.000	300.79	04/30/20	314.40	13.61 W	0.00	11 of 12 - Sale <sup>25</sup>
	2.000	30.08	04/30/20	31.44	1.36 W	0.00	12 of 12 - Sale <sup>25</sup>
05/01/20	300.000	4,511.89	Various	4,704.22	90.96 W	-101.37	Total of 12 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANAPTYSBIO, INC. COMMON STOCK / CUSIP: 032724106 / Symbol: (cont'd)							
13 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	04/30/20	16.62	...	-1.62	1 of 13 - Sale <sup>25</sup>
	27.000	403.64	05/01/20	413.79	...	-10.15	2 of 13 - Sale <sup>25</sup>
	20.000	298.99	05/01/20	306.51	...	-7.52	3 of 13 - Sale <sup>25</sup>
	2.000	29.90	05/01/20	30.65	...	-0.75	4 of 13 - Sale <sup>25</sup>
	1.000	15.00	05/01/20	15.38	...	-0.38	5 of 13 - Sale <sup>25</sup>
	1.000	15.00	05/01/20	15.29	...	-0.29	6 of 13 - Sale <sup>25</sup>
	1.000	15.00	05/01/20	15.20	...	-0.20	7 of 13 - Sale <sup>25</sup>
	1.000	15.00	05/01/20	14.85	...	0.15	8 of 13 - Sale <sup>25</sup>
	1.000	14.95	05/01/20	14.59	...	0.36	9 of 13 - Sale <sup>25</sup>
	2.000	30.00	05/01/20	29.34	...	0.66	10 of 13 - Sale <sup>25</sup>
	20.000	299.99	05/01/20	297.98	...	2.01	11 of 13 - Sale <sup>25</sup>
	25.000	374.99	05/01/20	369.87	...	5.12	12 of 13 - Sale <sup>25</sup>
	48.000	719.97	05/01/20	700.54	...	19.43	13 of 13 - Sale <sup>25</sup>
05/04/20	150.000	2,247.43	Various	2,240.61	...	6.82	Total of 13 transactions
05/05/20	1.000	18.27	05/01/20	15.33	...	2.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>34,143.02</b>		<b>34,474.40</b>	<b>553.28 W</b>	<b>221.90</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	10.000	3,150.73	05/14/20	3,067.69	...	83.04	1 of 2 - Sale <sup>25</sup>
	5.000	1,575.36	05/15/20	1,515.34	...	60.02	2 of 2 - Sale <sup>25</sup>
05/18/20	15.000	4,726.09	Various	4,583.03	...	143.06	Total of 2 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	44.26	03/26/20	46.98	2.72 W	0.00	1 of 2 - Sale <sup>25</sup>
	499.000	441.70	03/27/20	521.46	79.76 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/20	549.000	485.96	Various	568.44	82.48 W	0.00	Total of 2 transactions
04/08/20	451.000	381.67	04/07/20	367.12	...	14.55	Sale <sup>25</sup>
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.85	03/27/20	1.09	0.24 W	0.00	1 of 3 - Sale <sup>25</sup>
	49.000	41.47	04/07/20	42.56	1.09 W	0.00	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
04/08/20	499.000	422.29	04/07/20	485.94	63.65 W	0.00	3 of 3 - Sale <sup>25</sup>
	549.000	464.61	Various	529.59	64.98 W	0.00	Total of 3 transactions
	4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	0.74	04/07/20	1.05	0.31 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	37.05	04/13/20	45.24	0.33 W	-7.86	2 of 4 - Sale <sup>25</sup>
	46.000	34.09	04/13/20	36.76	2.67 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	2.22	04/13/20	2.40	0.18 W	0.00	4 of 4 - Sale <sup>25</sup>
04/14/20	100.000	74.10	Various	85.45	3.49 W	-7.86	Total of 4 transactions
	14 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	0.74	04/13/20	0.90	...	-0.16	1 of 14 - Sale <sup>25</sup>
	148.000	109.55	04/20/20	125.43	...	-15.88	2 of 14 - Sale <sup>25</sup>
	96.000	71.05	04/20/20	81.36	...	-10.31	3 of 14 - Sale <sup>25</sup>
	86.000	63.66	04/20/20	72.89	...	-9.23	4 of 14 - Sale <sup>25</sup>
	62.000	45.88	04/20/20	52.55	...	-6.67	5 of 14 - Sale <sup>25</sup>
	35.000	25.90	04/20/20	29.66	...	-3.76	6 of 14 - Sale <sup>25</sup>
	46.000	34.05	04/20/20	35.79	...	-1.74	7 of 14 - Sale <sup>25</sup>
	10.000	7.40	04/20/20	8.48	...	-1.08	8 of 14 - Sale <sup>25</sup>
	4.000	2.96	04/20/20	3.39	...	-0.43	9 of 14 - Sale <sup>25</sup>
	4.000	2.96	04/20/20	3.39	...	-0.43	10 of 14 - Sale <sup>25</sup>
	1.000	0.74	04/20/20	1.03	...	-0.29	11 of 14 - Sale <sup>25</sup>
	2.000	1.48	04/20/20	1.77	...	-0.29	12 of 14 - Sale <sup>25</sup>
	2.000	1.48	04/20/20	1.70	...	-0.22	13 of 14 - Sale <sup>25</sup>
	3.000	2.22	04/20/20	2.34	...	-0.12	14 of 14 - Sale <sup>25</sup>
04/27/20	500.000	370.07	Various	420.68	...	-50.61	Total of 14 transactions
	<b>Security total:</b>	<b>1,776.41</b>		<b>1,971.28</b>	<b>150.95 W</b>	<b>-43.92</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
	3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	0.083	1.11	05/15/20	1.10	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.917	12.26	05/15/20	11.49	...	0.77	2 of 3 - Sale <sup>25</sup>
	99.000	1,323.60	05/29/20	1,427.57	...	-103.97	3 of 3 - Sale <sup>25</sup>
06/01/20	100.000	1,336.97	Various	1,440.16	...	-103.19	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AZUL S.A. AMERICAN DEPOSITORY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	8.27	05/20/20	7.97	...	0.30	1 of 2 - Sale <sup>25</sup>
	100.000	827.07	05/21/20	824.92	...	2.15	2 of 2 - Sale <sup>25</sup>
05/27/20	101.000	835.34	Various	832.89	...	2.45	Total of 2 transactions
05/27/20	299.000	2,472.93	05/26/20	2,515.37	4.83 W	-37.61	Sale <sup>25</sup>
5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	11.19	05/26/20	8.41	...	2.78	1 of 5 - Sale <sup>25</sup>
	2.000	22.38	06/03/20	22.18	...	0.20	2 of 5 - Sale <sup>25</sup>
	8.000	89.52	06/03/20	88.74	...	0.78	3 of 5 - Sale <sup>25</sup>
	9.000	100.71	06/03/20	99.83	...	0.88	4 of 5 - Sale <sup>25</sup>
	14.000	156.66	06/03/20	155.29	...	1.37	5 of 5 - Sale <sup>25</sup>
06/04/20	34.000	380.46	Various	374.45	...	6.01	Total of 5 transactions
09/03/20	1.000	13.29	06/03/20	11.09	...	2.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,702.02</b>		<b>3,733.80</b>	<b>4.83 W</b>	<b>-26.95</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	170.30	03/25/20	161.75	...	8.55	1 of 2 - Sale <sup>25</sup>
	4.000	682.06	03/25/20	647.00	...	35.06	2 of 2 - Sale <sup>25</sup>
03/26/20	5.000	852.36	Various	808.75	...	43.61	Total of 2 transactions
04/30/20	5.000	707.10	04/29/20	702.20	...	4.90	Sale <sup>25</sup>
05/28/20	20.000	3,102.00	05/27/20	3,114.00	...	-12.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,661.46</b>		<b>4,624.95</b>	...	<b>36.51</b>	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	16.92	04/07/20	14.84	...	2.08	1 of 4 - Sale <sup>25</sup>
	1.000	17.16	05/18/20	16.38	...	0.78	2 of 4 - Sale <sup>25</sup>
	99.000	1,674.82	05/18/20	1,621.62	...	53.20	3 of 4 - Sale <sup>25</sup>
	99.000	1,698.97	05/18/20	1,621.62	...	77.35	4 of 4 - Sale <sup>25</sup>
05/19/20	200.000	3,407.87	Various	3,274.46	...	133.41	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	17.22	05/18/20	16.38	...	0.84	1 of 3 - Sale <sup>25</sup>
	49.000	837.88	05/20/20	839.86	...	-1.98	2 of 3 - Sale <sup>25</sup>
	49.000	843.76	05/20/20	841.33	...	2.43	3 of 3 - Sale <sup>25</sup>
05/21/20	99.000	1,698.86	Various	1,697.57	...	1.29	Total of 3 transactions
05/21/20	1.000	17.10	05/20/20	17.17	0.07 W	0.00	Sale <sup>25</sup>
10/07/20	1.000	15.50	05/20/20	17.21	...	-1.71	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,139.33</b>		<b>5,006.41</b>	<b>0.07 W</b>	<b>132.99</b>	
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	99.000	205.42	03/26/20	197.52	...	7.90	1 of 2 - Sale <sup>25</sup>
	200.000	414.96	03/26/20	387.48	...	27.48	2 of 2 - Sale <sup>25</sup>
03/27/20	299.000	620.38	Various	585.00	...	35.38	Total of 2 transactions
03/27/20	100.000	207.48	03/26/20	222.00	14.52 W	0.00	Sale <sup>25</sup>
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	2.20	03/26/20	2.00	...	0.20	1 of 5 - Sale <sup>25</sup>
	141.000	308.79	04/15/20	303.14	...	5.65	2 of 5 - Sale <sup>25</sup>
	159.000	349.80	04/15/20	341.83	...	7.97	3 of 5 - Sale <sup>25</sup>
	10.000	21.90	04/16/20	20.00	...	1.90	4 of 5 - Sale <sup>25</sup>
	49.000	107.31	04/16/20	98.43	...	8.88	5 of 5 - Sale <sup>25</sup>
04/17/20	360.000	790.00	Various	765.40	...	24.60	Total of 5 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	2.19	04/16/20	2.01	...	0.18	1 of 2 - Sale <sup>25</sup>
	249.000	545.79	05/14/20	461.90	...	83.89	2 of 2 - Sale <sup>25</sup>
05/18/20	250.000	547.98	Various	463.91	...	84.07	Total of 2 transactions
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	50.000	112.00	05/14/20	92.75	...	19.25	1 of 3 - Sale <sup>25</sup>
	100.000	223.99	05/14/20	185.50	...	38.49	2 of 3 - Sale <sup>25</sup>
	100.000	223.99	05/14/20	185.50	...	38.49	3 of 3 - Sale <sup>25</sup>
05/19/20	250.000	559.98	Various	463.75	...	96.23	Total of 3 transactions
12/08/20	1.000	4.61	05/14/20	1.85	...	2.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,730.43</b>		<b>2,501.91</b>	<b>14.52 W</b>	<b>243.04</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
03/25/20	49.000	282.73	03/24/20	261.61	...	21.12	Sale <sup>25</sup>
	3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	6.43	03/24/20	5.34	...	1.09	1 of 3 - Sale <sup>25</sup>
	99.000	636.60	04/24/20	609.83	...	26.77	2 of 3 - Sale <sup>25</sup>
	100.000	643.04	04/24/20	600.00	...	43.04	3 of 3 - Sale <sup>25</sup>
04/27/20	200.000	1,286.07	Various	1,215.17	...	70.90	Total of 3 transactions
	7 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	5.90	04/24/20	6.16	0.26 W	0.00	1 of 7 - Sale <sup>25</sup>
	17.000	100.30	04/27/20	109.79	9.49 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	5.90	04/27/20	6.72	0.82 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	59.00	04/27/20	64.58	5.58 W	0.00	4 of 7 - Sale <sup>25</sup>
	3.000	17.70	04/27/20	19.38	1.68 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	29.50	04/27/20	32.29	2.79 W	0.00	6 of 7 - Sale <sup>25</sup>
	63.000	371.69	04/27/20	406.87	35.18 W	0.00	7 of 7 - Sale <sup>25</sup>
05/01/20	100.000	589.99	Various	645.79	55.80 W	0.00	Total of 7 transactions
	8 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	6.07	05/14/20	5.72	...	0.35	1 of 8 - Sale <sup>25</sup>
	1.000	6.07	05/14/20	4.90	...	1.17	2 of 8 - Sale <sup>25</sup>
	3.000	18.21	05/14/20	16.38	...	1.83	3 of 8 - Sale <sup>25</sup>
	5.000	30.35	05/14/20	27.28	...	3.07	4 of 8 - Sale <sup>25</sup>
	10.000	60.71	05/14/20	54.56	...	6.15	5 of 8 - Sale <sup>25</sup>
	17.000	103.20	05/14/20	92.76	...	10.44	6 of 8 - Sale <sup>25</sup>
	63.000	382.45	05/14/20	343.78	...	38.67	7 of 8 - Sale <sup>25</sup>
	499.000	3,029.25	05/15/20	2,684.57	...	344.68	8 of 8 - Sale <sup>25</sup>
05/18/20	599.000	3,636.31	Various	3,229.95	...	406.36	Total of 8 transactions
05/18/20	1.000	6.07	04/27/20	6.46	0.39 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	5.66	05/15/20	5.77	0.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	199.000	1,126.61	05/18/20	1,154.10	27.49 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/20	200.000	1,132.27	Various	1,159.87	27.60 W	0.00	Total of 2 transactions
	10 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	5.93	05/18/20	5.91	...	0.02	1 of 10 - Sale <sup>25</sup>
	1.000	5.88	05/20/20	5.58	...	0.30	2 of 10 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol: (cont'd)							
	1.000	6.19	05/20/20	5.56	...	0.63	3 of 10 - Sale <sup>25</sup>
	50.000	294.13	05/20/20	285.91	...	8.22	4 of 10 - Sale <sup>25</sup>
	49.000	290.77	05/20/20	280.19	...	10.58	5 of 10 - Sale <sup>25</sup>
	100.000	588.13	05/20/20	571.81	...	16.32	6 of 10 - Sale <sup>25</sup>
	99.000	582.37	05/20/20	550.44	...	31.93	7 of 10 - Sale <sup>25</sup>
	99.000	612.79	05/21/20	605.88	...	6.91	8 of 10 - Sale <sup>25</sup>
	400.000	2,475.94	05/21/20	2,448.00	...	27.94	9 of 10 - Sale <sup>25</sup>
	500.000	3,094.92	05/21/20	3,039.95	...	54.97	10 of 10 - Sale <sup>25</sup>
05/21/20	1,300.000	7,957.05	Various	7,799.23	...	157.82	Total of 10 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	6.75	05/21/20	6.12	...	0.63	1 of 2 - Sale <sup>25</sup>
	299.000	2,018.19	06/02/20	1,976.36	...	41.83	2 of 2 - Sale <sup>25</sup>
06/04/20	300.000	2,024.94	Various	1,982.48	...	42.46	Total of 2 transactions
	<b>Security total:</b>	<b>16,915.43</b>		<b>16,300.56</b>	<b>83.79 W</b>	<b>698.66</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
	2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	4.000	99.20	04/08/20	91.60	...	7.60	1 of 2 - Sale <sup>25</sup>
	45.000	1,115.97	04/08/20	1,030.50	...	85.47	2 of 2 - Sale <sup>25</sup>
04/09/20	49.000	1,215.17	Various	1,122.10	...	93.07	Total of 2 transactions
09/03/20	1.000	32.21	04/08/20	22.90	...	9.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,247.38</b>		<b>1,145.00</b>	...	<b>102.38</b>	
DIAMONDBACK ENERGY, INC. COMM ON STOCK / CUSIP: 25278X109 / Symbol:							
03/30/20	4.000	93.08	03/26/20	98.48	5.40 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	37.27	03/26/20	24.62	...	12.65	1 of 3 - Sale <sup>25</sup>
	4.000	149.08	04/09/20	145.48	...	3.60	2 of 3 - Sale <sup>25</sup>
	15.000	559.03	04/09/20	525.30	...	33.73	3 of 3 - Sale <sup>25</sup>
04/13/20	20.000	745.38	Various	695.40	...	49.98	Total of 3 transactions
	3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	2.000	59.96	04/16/20	56.66	...	3.30	1 of 3 - Sale <sup>25</sup>
	3.000	89.93	04/16/20	86.03	...	3.90	2 of 3 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol: (cont'd)							
	4.000	119.91	04/16/20	112.14	...	7.77	3 of 3 - Sale <sup>25</sup>
04/17/20	9.000	269.80	Various	254.83	...	14.97	Total of 3 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	29.98	04/09/20	35.02	5.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	299.78	04/15/20	303.46	3.68 W	0.00	2 of 2 - Sale <sup>25</sup>
04/17/20	11.000	329.76	Various	338.48	8.72 W	0.00	Total of 2 transactions
	2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	1.000	37.29	04/16/20	33.08	...	4.21	1 of 2 - Sale <sup>25</sup>
	4.000	149.15	04/22/20	134.07	...	15.08	2 of 2 - Sale <sup>25</sup>
04/23/20	5.000	186.44	Various	167.15	...	19.29	Total of 2 transactions
	3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	33.36	04/22/20	33.52	0.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	166.80	04/24/20	182.16	15.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	19.000	633.82	04/24/20	685.21	51.39 W	0.00	3 of 3 - Sale <sup>25</sup>
04/27/20	25.000	833.98	Various	900.89	66.91 W	0.00	Total of 3 transactions
05/06/20	1.000	39.31	04/24/20	36.22	...	3.09	Sale <sup>25</sup>
	2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	5.000	196.57	05/05/20	219.51	22.94 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	746.95	05/05/20	827.16	80.21 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/20	24.000	943.52	Various	1,046.67	103.15 W	0.00	Total of 2 transactions
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	19.000	749.46	05/14/20	824.82	...	-75.36	1 of 3 - Sale <sup>25</sup>
	5.000	197.23	05/14/20	218.89	...	-21.66	2 of 3 - Sale <sup>25</sup>
	25.000	986.13	05/14/20	979.75	...	6.38	3 of 3 - Sale <sup>25</sup>
05/15/20	49.000	1,932.82	Various	2,023.46	...	-90.64	Total of 3 transactions
05/15/20	1.000	39.45	05/05/20	40.83	1.38 W	0.00	Sale <sup>25</sup>
06/12/20	1.000	45.68	05/14/20	40.57	...	5.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,459.22</b>		<b>5,642.98</b>	<b>185.56 W</b>	<b>1.80</b>	
EDWARDS LIFESCIENCES CORP / CUSIP: 28176E108 / Symbol:							
04/27/20	10.000	2,199.95	04/24/20	2,255.18	...	-55.23	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
04/13/20	19.000	3,122.20	04/09/20	3,133.85	11.65 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	170.27	04/09/20	164.94	...	5.33	1 of 2 - Sale <sup>25</sup>
	19.000	3,235.05	04/13/20	3,120.96	...	114.09	2 of 2 - Sale <sup>25</sup>
04/14/20	20.000	3,405.32	Various	3,285.90	...	119.42	Total of 2 transactions
04/15/20	1.000	170.10	04/13/20	163.65	...	6.45	Sale <sup>25</sup>
04/30/20	5.000	895.23	04/29/20	907.00	...	-11.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,592.85</b>		<b>7,490.40</b>	<b>11.65 W</b>	<b>114.10</b>	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
<i>2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	1,369.90	03/25/20	1,375.00	...	-5.10	1 of 2 - Sale <sup>25</sup>
	49.000	134.25	03/26/20	145.53	...	-11.28	2 of 2 - Sale <sup>25</sup>
03/30/20	549.000	1,504.15	Various	1,520.53	...	-16.38	Total of 2 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
04/20/20	1.000	435.27	04/17/20	417.29	...	17.98	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
10/07/20	1.000	25.58	09/29/20	18.40	...	7.18	Sale <sup>25</sup>
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
10/12/20	1.000	0.09	10/09/20	0.15	...	-0.06	Sale <sup>25</sup>
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
03/11/20	7.000	94.71	03/10/20	97.86	...	-3.15	Sale <sup>25</sup>
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
<i>4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	109.50	04/09/20	107.22	...	2.28	1 of 4 - Sale <sup>25</sup>
	1.000	109.50	04/09/20	107.21	...	2.29	2 of 4 - Sale <sup>25</sup>
	4.000	438.00	04/09/20	428.86	...	9.14	3 of 4 - Sale <sup>25</sup>
	14.000	1,532.96	04/09/20	1,501.01	...	31.95	4 of 4 - Sale <sup>25</sup>
04/14/20	20.000	2,189.96	Various	2,144.30	...	45.66	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUTOUTIAO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 74915J107 / Symbol:							
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	2.30	09/20/19	3.87	1.57 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	229.98	03/26/20	273.00	43.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	229.98	03/26/20	262.78	32.80 W	0.00	3 of 4 - Sale <sup>25</sup>
	49.000	112.69	03/27/20	122.50	9.81 W	0.00	4 of 4 - Sale <sup>25</sup>
03/30/20	250.000	574.95	Various	662.15	87.20 W	0.00	Total of 4 transactions
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	2.80	03/27/20	4.07	1.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	279.99	04/08/20	312.02	32.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	99.000	277.19	04/09/20	306.69	29.50 W	0.00	3 of 3 - Sale <sup>25</sup>
04/13/20	200.000	559.98	Various	622.78	62.80 W	0.00	Total of 3 transactions
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.89	04/09/20	3.10	0.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	39.000	112.71	04/17/20	120.91	8.20 W	0.00	2 of 2 - Sale <sup>25</sup>
04/20/20	40.000	115.60	Various	124.01	8.41 W	0.00	Total of 2 transactions
05/01/20	249.000	602.54	04/28/20	602.28	...	0.26	Sale <sup>25</sup>
8 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	2.42	04/17/20	3.10	0.68 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	2.42	04/28/20	3.70	1.28 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	2.42	04/28/20	2.64	0.22 W	0.00	3 of 8 - Sale <sup>25</sup>
	100.000	241.98	04/28/20	275.03	33.05 W	0.00	4 of 8 - Sale <sup>25</sup>
	99.000	239.56	04/28/20	270.07	30.51 W	0.00	5 of 8 - Sale <sup>25</sup>
	39.000	94.37	04/28/20	102.97	8.60 W	0.00	6 of 8 - Sale <sup>25</sup>
	9.000	21.78	04/28/20	23.67	1.89 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	2.42	04/28/20	2.43	0.01 W	0.00	8 of 8 - Sale <sup>25</sup>
05/01/20	251.000	607.37	Various	683.61	76.24 W	0.00	Total of 8 transactions
7 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2.77	05/14/20	2.62	...	0.15	1 of 7 - Sale <sup>25</sup>
	1.000	2.77	05/14/20	2.41	...	0.36	2 of 7 - Sale <sup>25</sup>
	9.000	24.95	05/14/20	23.45	...	1.50	3 of 7 - Sale <sup>25</sup>
	100.000	277.17	05/14/20	272.66	...	4.51	4 of 7 - Sale <sup>25</sup>
	39.000	108.10	05/14/20	102.05	...	6.05	5 of 7 - Sale <sup>25</sup>
	99.000	274.40	05/14/20	267.72	...	6.68	6 of 7 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUTOUTIAO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 74915J107 / Symbol: (cont'd)							
05/19/20	249.000	690.15	05/14/20	596.62	...	93.53	7 of 7 - Sale <sup>25</sup>
05/19/20	498.000	1,380.31	Various	1,267.53	...	112.78	Total of 7 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2.77	04/28/20	3.10	0.33 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	2.77	05/14/20	3.68	0.91 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/20	2.000	5.54	Various	6.78	1.24 W	0.00	Total of 2 transactions
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	199.000	505.44	05/20/20	545.26	...	-39.82	1 of 3 - Sale <sup>25</sup>
	99.000	251.45	05/20/20	266.12	...	-14.67	2 of 3 - Sale <sup>25</sup>
	1.000	2.54	05/20/20	3.60	...	-1.06	3 of 3 - Sale <sup>25</sup>
05/20/20	299.000	759.43	Various	814.98	...	-55.55	Total of 3 transactions
05/20/20	1.000	2.54	05/14/20	2.73	0.19 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>4,608.26</b>		<b>4,786.85</b>	<b>236.08 W</b>	<b>57.49</b>	
RMG ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 749641106 / Symbol:							
12/23/20	1.000	28.18	12/10/20	22.96	...	5.22	Sale <sup>25</sup>
RH / CUSIP: 74967X103 / Symbol:							
03/30/20	10.000	1,119.97	03/27/20	1,098.33	...	21.64	Sale <sup>25</sup>
04/17/20	5.000	636.23	04/15/20	614.50	...	21.73	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,756.20</b>		<b>1,712.83</b>	...	<b>43.37</b>	
RESMED INC. / CUSIP: 761152107 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	10.000	1,562.88	05/27/20	1,527.56	...	35.32	1 of 2 - Sale <sup>25</sup>
	9.000	1,413.73	05/27/20	1,374.80	...	38.93	2 of 2 - Sale <sup>25</sup>
05/28/20	19.000	2,976.61	Various	2,902.36	...	74.25	Total of 2 transactions
06/01/20	1.000	159.89	05/27/20	152.75	...	7.14	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,136.50</b>		<b>3,055.11</b>	...	<b>81.39</b>	
REX AMERICAN RESOURCES CORP. / CUSIP: 761624105 / Symbol:							
03/26/20	5.000	248.97	03/25/20	238.56	...	10.41	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RINGCENTRAL, INC. / CUSIP: 76680R206 / Symbol:							
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	212.10	04/08/20	204.00	...	8.10	1 of 3 - Sale <sup>25</sup>
	1.000	222.00	04/08/20	204.00	...	18.00	2 of 3 - Sale <sup>25</sup>
	3.000	665.98	04/08/20	612.00	...	53.98	3 of 3 - Sale <sup>25</sup>
04/09/20	5.000	1,100.08	Various	1,020.00	...	80.08	Total of 3 transactions
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	5.000	1,032.48	04/09/20	1,028.57	...	3.91	1 of 2 - Sale <sup>25</sup>
	5.000	1,032.47	04/09/20	1,009.30	...	23.17	2 of 2 - Sale <sup>25</sup>
04/13/20	10.000	2,064.95	Various	2,037.87	...	27.08	Total of 2 transactions
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	5.000	1,089.98	04/13/20	1,041.00	...	48.98	1 of 2 - Sale <sup>25</sup>
	5.000	1,089.97	04/13/20	1,025.00	...	64.97	2 of 2 - Sale <sup>25</sup>
04/14/20	10.000	2,179.95	Various	2,066.00	...	113.95	Total of 2 transactions
	<b>Security total:</b>	<b>5,344.98</b>		<b>5,123.87</b>	...	<b>221.11</b>	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
04/20/20	49.000	1,448.33	04/17/20	1,379.29	...	69.04	Sale <sup>25</sup>
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	24.000	643.90	04/21/20	635.28	...	8.62	1 of 2 - Sale <sup>25</sup>
	24.000	644.47	04/21/20	635.28	...	9.19	2 of 2 - Sale <sup>25</sup>
04/22/20	48.000	1,288.37	Various	1,270.56	...	17.81	Total of 2 transactions
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	26.85	04/17/20	28.15	1.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	26.83	04/21/20	27.77	0.94 W	0.00	2 of 2 - Sale <sup>25</sup>
04/22/20	2.000	53.68	Various	55.92	2.24 W	0.00	Total of 2 transactions
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	27.04	04/21/20	26.47	...	0.57	1 of 2 - Sale <sup>25</sup>
	48.000	1,297.89	04/22/20	1,273.44	...	24.45	2 of 2 - Sale <sup>25</sup>
04/23/20	49.000	1,324.93	Various	1,299.91	...	25.02	Total of 2 transactions
04/23/20	1.000	27.04	04/22/20	27.47	0.43 W	0.00	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	26.97	04/22/20	26.53	...	0.44	1 of 3 - Sale <sup>25</sup>
	1.000	26.97	04/24/20	26.97	...	0.00	2 of 3 - Sale <sup>25</sup>
	97.000	2,616.52	04/24/20	2,574.34	...	42.18	3 of 3 - Sale <sup>25</sup>
04/27/20	99.000	2,670.46	Various	2,627.84	...	42.62	Total of 3 transactions
5 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	2.000	53.04	04/24/20	53.08	0.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	71.000	1,875.40	04/28/20	1,906.35	10.03 W	-20.92	2 of 5 - Sale <sup>25</sup>
	3.000	79.56	04/28/20	80.55	0.99 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	52.84	04/28/20	53.74	0.90 W	0.00	4 of 5 - Sale <sup>25</sup>
	22.000	581.22	04/28/20	590.70	9.48 W	0.00	5 of 5 - Sale <sup>25</sup>
04/29/20	100.000	2,642.06	Various	2,684.42	21.44 W	-20.92	Total of 5 transactions
05/01/20	1.000	26.08	04/28/20	26.85	...	-0.77	Sale <sup>25</sup>
5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	30.85	04/28/20	26.85	...	4.00	1 of 5 - Sale <sup>25</sup>
	2.000	61.70	05/19/20	61.58	...	0.12	2 of 5 - Sale <sup>25</sup>
	3.000	92.55	05/19/20	92.01	...	0.54	3 of 5 - Sale <sup>25</sup>
	22.000	678.73	05/19/20	677.06	...	1.67	4 of 5 - Sale <sup>25</sup>
	22.000	678.73	05/19/20	676.96	...	1.77	5 of 5 - Sale <sup>25</sup>
05/20/20	50.000	1,542.56	Various	1,534.46	...	8.10	Total of 5 transactions
06/12/20	1.000	30.68	05/19/20	30.78	...	-0.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,054.19</b>		<b>10,937.50</b>	<b>24.11 W</b>	<b>140.80</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	50.000	728.48	04/20/20	656.00	...	72.48	1 of 3 - Sale <sup>25</sup>
	50.000	728.47	04/20/20	654.40	...	74.07	2 of 3 - Sale <sup>25</sup>
	49.000	713.91	04/21/20	611.88	...	102.03	3 of 3 - Sale <sup>25</sup>
04/21/20	149.000	2,170.86	Various	1,922.28	...	248.58	Total of 3 transactions
09/04/20	1.000	22.87	04/21/20	12.49	...	10.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,193.73</b>		<b>1,934.77</b>	<b>...</b>	<b>258.96</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
03/27/20	12.000	184.20	03/26/20	204.00	19.80 W	0.00	Sale <sup>25</sup>
03/31/20	36.000	467.62	03/30/20	430.74	...	36.88	Sale <sup>25</sup>
	4 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	1.000	12.99	03/26/20	17.00	4.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	25.98	03/30/20	27.23	1.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	12.99	03/30/20	15.98	2.99 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	129.90	03/30/20	136.15	6.25 W	0.00	4 of 4 - Sale <sup>25</sup>
03/31/20	14.000	181.86	Various	196.36	14.50 W	0.00	Total of 4 transactions
04/08/20	1.000	12.15	03/30/20	11.96	...	0.19	Sale <sup>25</sup>
	4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	12.15	04/07/20	15.14	2.99 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	24.30	04/07/20	25.55	1.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	121.00	04/07/20	127.72	6.72 W	0.00	3 of 4 - Sale <sup>25</sup>
	36.000	435.58	04/07/20	437.31	1.73 W	0.00	4 of 4 - Sale <sup>25</sup>
04/08/20	49.000	593.03	Various	605.72	12.69 W	0.00	Total of 4 transactions
	5 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	13.92	04/07/20	12.15	...	1.77	1 of 5 - Sale <sup>25</sup>
	2.000	27.84	04/13/20	27.49	...	0.35	2 of 5 - Sale <sup>25</sup>
	1.000	13.92	04/13/20	13.12	...	0.80	3 of 5 - Sale <sup>25</sup>
	10.000	139.20	04/13/20	137.92	...	1.28	4 of 5 - Sale <sup>25</sup>
	36.000	501.11	04/13/20	474.05	...	27.06	5 of 5 - Sale <sup>25</sup>
04/14/20	50.000	695.99	Various	664.73	...	31.26	Total of 5 transactions
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	13.92	04/13/20	16.11	2.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	99.000	1,378.04	04/14/20	1,423.62	45.58 W	0.00	2 of 2 - Sale <sup>25</sup>
04/14/20	100.000	1,391.96	Various	1,439.73	47.77 W	0.00	Total of 2 transactions
	4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	13.23	04/16/20	13.12	...	0.11	1 of 4 - Sale <sup>25</sup>
	2.000	26.46	04/16/20	26.24	...	0.22	2 of 4 - Sale <sup>25</sup>
	5.000	66.15	04/16/20	65.60	...	0.55	3 of 4 - Sale <sup>25</sup>
	20.000	264.59	04/16/20	261.21	...	3.38	4 of 4 - Sale <sup>25</sup>
04/17/20	28.000	370.43	Various	366.17	...	4.26	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
6 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	13.33	04/14/20	16.57	3.24 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	666.39	04/15/20	707.27	40.88 W	0.00	2 of 6 - Sale <sup>25</sup>
	9.000	119.95	04/16/20	121.41	1.46 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	13.23	04/16/20	15.90	2.67 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	132.29	04/16/20	134.78	2.49 W	0.00	5 of 6 - Sale <sup>25</sup>
	11.000	145.53	04/16/20	148.40	2.87 W	0.00	6 of 6 - Sale <sup>25</sup>
04/17/20	82.000	1,090.72	Various	1,144.33	53.61 W	0.00	Total of 6 transactions
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	12.53	04/16/20	13.12	0.59 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	300.66	04/20/20	326.82	26.16 W	0.00	2 of 2 - Sale <sup>25</sup>
04/21/20	25.000	313.19	Various	339.94	26.75 W	0.00	Total of 2 transactions
5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	10.000	123.30	04/22/20	121.87	...	1.43	1 of 5 - Sale <sup>25</sup>
	11.000	135.63	04/22/20	134.18	...	1.45	2 of 5 - Sale <sup>25</sup>
	9.000	110.97	04/22/20	108.90	...	2.07	3 of 5 - Sale <sup>25</sup>
	29.000	357.56	04/22/20	346.19	...	11.37	4 of 5 - Sale <sup>25</sup>
	99.000	1,220.63	04/24/20	1,170.18	...	50.45	5 of 5 - Sale <sup>25</sup>
04/27/20	158.000	1,948.09	Various	1,881.32	...	66.77	Total of 5 transactions
5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	12.33	04/20/20	13.62	1.29 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	12.33	04/22/20	14.61	2.28 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	12.33	04/22/20	12.53	0.20 W	0.00	3 of 5 - Sale <sup>25</sup>
	24.000	295.91	04/22/20	312.66	16.75 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	184.95	04/22/20	191.32	6.37 W	0.00	5 of 5 - Sale <sup>25</sup>
04/27/20	42.000	517.85	Various	544.74	26.89 W	0.00	Total of 5 transactions
14 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	12.03	04/24/20	13.11	1.08 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	12.03	05/04/20	14.33	2.30 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	12.03	05/04/20	12.25	0.22 W	0.00	3 of 14 - Sale <sup>25</sup>
	24.000	288.71	05/04/20	305.95	17.24 W	0.00	4 of 14 - Sale <sup>25</sup>
	15.000	180.45	05/04/20	187.12	6.67 W	0.00	5 of 14 - Sale <sup>25</sup>
	59.000	709.75	05/04/20	710.95	1.20 W	0.00	6 of 14 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	99.000	1,190.94	05/04/20	1,260.27	69.33 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	11.97	05/04/20	13.81	1.84 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	11.97	05/05/20	14.80	2.83 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	11.97	05/05/20	12.72	0.75 W	0.00	10 of 14 - Sale <sup>25</sup>
	24.000	287.21	05/05/20	317.23	30.02 W	0.00	11 of 14 - Sale <sup>25</sup>
	15.000	179.51	05/05/20	194.16	14.65 W	0.00	12 of 14 - Sale <sup>25</sup>
	59.000	706.05	05/05/20	738.68	32.63 W	0.00	13 of 14 - Sale <sup>25</sup>
	99.000	1,184.74	05/05/20	1,294.90	110.16 W	0.00	14 of 14 - Sale <sup>25</sup>
05/06/20	400.000	4,799.36	Various	5,090.28	290.92 W	0.00	Total of 14 transactions
	24 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	1.000	10.34	05/05/20	14.22	3.88 W	0.00	1 of 24 - Sale <sup>25</sup>
	1.000	10.34	05/08/20	13.19	2.85 W	0.00	2 of 24 - Sale <sup>25</sup>
	1.000	10.34	05/08/20	11.11	0.77 W	0.00	3 of 24 - Sale <sup>25</sup>
	24.000	248.15	05/08/20	278.66	30.51 W	0.00	4 of 24 - Sale <sup>25</sup>
	15.000	155.09	05/08/20	170.05	14.96 W	0.00	5 of 24 - Sale <sup>25</sup>
	59.000	610.04	05/08/20	643.87	33.83 W	0.00	6 of 24 - Sale <sup>25</sup>
	99.000	1,023.63	05/08/20	1,135.80	112.17 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	10.34	05/08/20	14.24	3.90 W	0.00	8 of 24 - Sale <sup>25</sup>
	50.000	516.98	05/08/20	518.00	1.02 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.000	10.34	05/08/20	12.97	2.63 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.000	10.34	05/08/20	10.89	0.55 W	0.00	11 of 24 - Sale <sup>25</sup>
	24.000	248.15	05/08/20	273.33	25.18 W	0.00	12 of 24 - Sale <sup>25</sup>
	15.000	155.09	05/08/20	166.72	11.63 W	0.00	13 of 24 - Sale <sup>25</sup>
	8.000	82.72	05/08/20	85.53	2.81 W	0.00	14 of 24 - Sale <sup>25</sup>
	51.000	522.80	05/08/20	545.23	22.43 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	10.25	05/08/20	14.38	4.13 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	10.25	05/08/20	13.12	2.87 W	0.00	17 of 24 - Sale <sup>25</sup>
	1.000	10.25	05/08/20	11.03	0.78 W	0.00	18 of 24 - Sale <sup>25</sup>
	24.000	246.02	05/08/20	276.82	30.80 W	0.00	19 of 24 - Sale <sup>25</sup>
	15.000	153.76	05/08/20	168.91	15.15 W	0.00	20 of 24 - Sale <sup>25</sup>
	8.000	82.01	05/08/20	86.69	4.68 W	0.00	21 of 24 - Sale <sup>25</sup>
	99.000	1,014.84	05/08/20	1,150.19	135.35 W	0.00	22 of 24 - Sale <sup>25</sup>
	50.000	512.55	05/08/20	525.27	12.72 W	0.00	23 of 24 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
05/11/20	50.000	512.55	05/08/20	524.25	11.70 W	0.00	24 of 24 - Sale <sup>25</sup>
	600.000	6,177.17	Various	6,664.47	487.30 W	0.00	Total of 24 transactions
	6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	9.47	05/15/20	8.80	...	0.67	1 of 6 - Sale <sup>25</sup>
	24.000	227.33	05/15/20	223.28	...	4.05	2 of 6 - Sale <sup>25</sup>
	49.000	464.14	05/15/20	459.97	...	4.17	3 of 6 - Sale <sup>25</sup>
	15.000	142.08	05/15/20	135.45	...	6.63	4 of 6 - Sale <sup>25</sup>
	8.000	75.78	05/15/20	68.84	...	6.94	5 of 6 - Sale <sup>25</sup>
	50.000	473.61	05/15/20	422.99	...	50.62	6 of 6 - Sale <sup>25</sup>
05/18/20	147.000	1,392.41	Various	1,319.33	...	73.08	Total of 6 transactions
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	9.47	05/08/20	10.92	1.45 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	9.47	05/15/20	12.15	2.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	9.47	05/15/20	10.89	1.42 W	0.00	3 of 3 - Sale <sup>25</sup>
05/18/20	3.000	28.41	Various	33.96	5.55 W	0.00	Total of 3 transactions
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	10.03	05/15/20	9.39	...	0.64	1 of 2 - Sale <sup>25</sup>
	47.000	471.39	05/18/20	470.94	...	0.45	2 of 2 - Sale <sup>25</sup>
05/19/20	48.000	481.42	Various	480.33	...	1.09	Total of 2 transactions
	9 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	10.03	05/18/20	12.70	2.67 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	10.03	05/18/20	11.44	1.41 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	10.03	05/18/20	11.47	1.44 W	0.00	3 of 9 - Sale <sup>25</sup>
	20.000	200.60	05/18/20	227.74	27.14 W	0.00	4 of 9 - Sale <sup>25</sup>
	29.000	290.87	05/18/20	330.23	39.36 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	40.12	05/18/20	41.10	0.98 W	0.00	6 of 9 - Sale <sup>25</sup>
	46.000	461.36	05/18/20	472.62	11.26 W	0.00	7 of 9 - Sale <sup>25</sup>
	21.000	210.62	05/18/20	215.33	4.71 W	0.00	8 of 9 - Sale <sup>25</sup>
	29.000	290.86	05/18/20	297.37	6.51 W	0.00	9 of 9 - Sale <sup>25</sup>
05/19/20	152.000	1,524.52	Various	1,620.00	95.48 W	0.00	Total of 9 transactions
	4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	10.08	05/20/20	10.07	...	0.01	1 of 4 - Sale <sup>25</sup>
	1.000	10.27	05/20/20	10.12	...	0.15	2 of 4 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	4.000	40.32	05/20/20	39.99	...	0.33	3 of 4 - Sale <sup>25</sup>
	43.000	433.48	05/20/20	429.84	...	3.64	4 of 4 - Sale <sup>25</sup>
05/21/20	49.000	494.15	Various	490.02	...	4.13	Total of 4 transactions
	22 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	9.99	05/18/20	10.02	0.03 W	0.00	1 of 22 - Sale <sup>25</sup>
	1.000	9.99	05/20/20	12.55	2.56 W	0.00	2 of 22 - Sale <sup>25</sup>
	1.000	9.99	05/20/20	11.28	1.29 W	0.00	3 of 22 - Sale <sup>25</sup>
	1.000	9.99	05/20/20	11.32	1.33 W	0.00	4 of 22 - Sale <sup>25</sup>
	20.000	199.82	05/20/20	224.64	24.82 W	0.00	5 of 22 - Sale <sup>25</sup>
	29.000	289.74	05/20/20	325.73	35.99 W	0.00	6 of 22 - Sale <sup>25</sup>
	4.000	39.96	05/20/20	40.48	0.52 W	0.00	7 of 22 - Sale <sup>25</sup>
	43.000	429.61	05/20/20	435.15	5.54 W	0.00	8 of 22 - Sale <sup>25</sup>
	1.000	10.27	05/20/20	12.60	2.33 W	0.00	9 of 22 - Sale <sup>25</sup>
	1.000	10.27	05/20/20	11.33	1.06 W	0.00	10 of 22 - Sale <sup>25</sup>
	1.000	10.27	05/20/20	11.37	1.10 W	0.00	11 of 22 - Sale <sup>25</sup>
	20.000	205.45	05/20/20	225.62	20.17 W	0.00	12 of 22 - Sale <sup>25</sup>
	24.000	246.53	05/20/20	270.74	24.21 W	0.00	13 of 22 - Sale <sup>25</sup>
	2.000	20.55	05/20/20	20.57	0.02 W	0.00	14 of 22 - Sale <sup>25</sup>
	21.000	211.70	05/20/20	215.55	3.85 W	0.00	15 of 22 - Sale <sup>25</sup>
	29.000	292.35	05/20/20	297.67	5.32 W	0.00	16 of 22 - Sale <sup>25</sup>
	1.000	10.08	05/20/20	12.20	2.12 W	0.00	17 of 22 - Sale <sup>25</sup>
	1.000	10.08	05/20/20	10.93	0.85 W	0.00	18 of 22 - Sale <sup>25</sup>
	1.000	10.08	05/20/20	10.97	0.89 W	0.00	19 of 22 - Sale <sup>25</sup>
	20.000	201.62	05/20/20	217.52	15.90 W	0.00	20 of 22 - Sale <sup>25</sup>
	24.000	241.94	05/20/20	261.02	19.08 W	0.00	21 of 22 - Sale <sup>25</sup>
	5.000	50.40	05/20/20	55.55	5.15 W	0.00	22 of 22 - Sale <sup>25</sup>
05/21/20	251.000	2,530.68	Various	2,704.81	174.13 W	0.00	Total of 22 transactions
	13 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	10.05	05/20/20	9.88	...	0.17	1 of 13 - Sale <sup>25</sup>
	20.000	201.04	05/21/20	216.80	...	-15.76	2 of 13 - Sale <sup>25</sup>
	20.000	201.05	05/21/20	216.80	...	-15.75	3 of 13 - Sale <sup>25</sup>
	5.000	50.26	05/21/20	55.38	...	-5.12	4 of 13 - Sale <sup>25</sup>
	29.000	291.52	05/21/20	296.63	...	-5.11	5 of 13 - Sale <sup>25</sup>
	21.000	211.10	05/21/20	214.79	...	-3.69	6 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	4.000	40.21	05/21/20	43.36	...	-3.15	7 of 13 - Sale <sup>25</sup>
	1.000	10.05	05/21/20	10.93	...	-0.88	8 of 13 - Sale <sup>25</sup>
	1.000	10.05	05/21/20	10.90	...	-0.85	9 of 13 - Sale <sup>25</sup>
	1.000	10.05	05/21/20	10.06	...	-0.01	10 of 13 - Sale <sup>25</sup>
	20.000	201.05	05/21/20	201.00	...	0.05	11 of 13 - Sale <sup>25</sup>
	85.000	854.44	05/21/20	854.25	...	0.19	12 of 13 - Sale <sup>25</sup>
	91.000	914.75	05/21/20	914.09	...	0.66	13 of 13 - Sale <sup>25</sup>
05/22/20	299.000	3,005.62	Various	3,054.87	...	-49.25	Total of 13 transactions
05/22/20	1.000	10.05	05/21/20	12.16	2.11 W	0.00	Sale <sup>25</sup>
09/04/20	1.000	18.01	05/21/20	12.16	...	5.85	Sale <sup>25</sup>
	<b>Security total:</b>	<b>28,228.89</b>		<b>29,312.13</b>	<b>1,257.50 W</b>	<b>174.26</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
05/05/20	1.000	67.46	04/06/20	49.30	...	18.16	Sale <sup>25</sup>
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	25.000	1,878.49	05/08/20	1,846.32	...	32.17	1 of 2 - Sale <sup>25</sup>
	25.000	1,878.48	05/08/20	1,808.39	...	70.09	2 of 2 - Sale <sup>25</sup>
05/11/20	50.000	3,756.97	Various	3,654.71	...	102.26	Total of 2 transactions
05/21/20	1.000	79.17	05/11/20	75.17	...	4.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,903.60</b>		<b>3,779.18</b>	...	<b>124.42</b>	
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
	3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	7.000	108.50	04/16/20	107.45	...	1.05	1 of 3 - Sale <sup>25</sup>
	41.000	635.48	04/16/20	629.35	...	6.13	2 of 3 - Sale <sup>25</sup>
	50.000	783.04	04/16/20	767.50	...	15.54	3 of 3 - Sale <sup>25</sup>
04/17/20	98.000	1,527.02	Various	1,504.30	...	22.72	Total of 3 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	15.50	04/09/20	16.39	0.89 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	15.50	04/16/20	16.24	0.74 W	0.00	2 of 2 - Sale <sup>25</sup>
04/17/20	2.000	31.00	Various	32.63	1.63 W	0.00	Total of 2 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol: (cont'd)							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	15.32	04/16/20	15.35	0.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	15.32	04/17/20	16.43	1.11 W	0.00	2 of 3 - Sale <sup>25</sup>
	48.000	735.33	04/17/20	753.00	17.67 W	0.00	3 of 3 - Sale <sup>25</sup>
04/20/20	50.000	765.97	Various	784.78	18.81 W	0.00	Total of 3 transactions
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	1.000	16.01	04/17/20	15.72	...	0.29	1 of 2 - Sale <sup>25</sup>
	48.000	768.51	04/23/20	759.75	...	8.76	2 of 2 - Sale <sup>25</sup>
04/24/20	49.000	784.52	Various	775.47	...	9.05	Total of 2 transactions
04/24/20	1.000	16.01	04/22/20	16.32	0.31 W	0.00	Sale <sup>25</sup>
05/01/20	1.000	15.63	04/23/20	15.77	0.14 W	0.00	Sale <sup>25</sup>
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	23.95	04/23/20	15.46	...	8.49	1 of 3 - Sale <sup>25</sup>
	1.000	23.95	05/27/20	23.69	...	0.26	2 of 3 - Sale <sup>25</sup>
	48.000	1,149.57	05/27/20	1,130.54	...	19.03	3 of 3 - Sale <sup>25</sup>
05/28/20	50.000	1,197.47	Various	1,169.69	...	27.78	Total of 3 transactions
09/03/20	1.000	25.19	05/27/20	23.55	...	1.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,362.81</b>		<b>4,322.51</b>	<b>20.89 W</b>	<b>61.19</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	813.66	05/19/20	818.79	...	-5.13	1 of 3 - Sale <sup>25</sup>
	3.000	2,455.86	05/19/20	2,456.38	...	-0.52	2 of 3 - Sale <sup>25</sup>
	1.000	818.67	05/19/20	818.80	...	-0.13	3 of 3 - Sale <sup>25</sup>
05/20/20	5.000	4,088.19	Various	4,093.97	...	-5.78	Total of 3 transactions
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
6 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	9.90	03/26/20	8.18	...	1.72	1 of 6 - Sale <sup>25</sup>
	3.000	29.70	03/26/20	24.81	...	4.89	2 of 6 - Sale <sup>25</sup>
	5.000	49.50	03/26/20	40.95	...	8.55	3 of 6 - Sale <sup>25</sup>
	5.000	49.50	03/26/20	40.85	...	8.65	4 of 6 - Sale <sup>25</sup>
	10.000	99.00	03/26/20	84.70	...	14.30	5 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	94.000	930.56	03/26/20	769.86	...	160.70	6 of 6 - Sale <sup>25</sup>
03/27/20	118.000	1,168.16	Various	969.35	...	198.81	Total of 6 transactions
	12 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	1.000	6.93	03/26/20	8.19	1.26 W	0.00	1 of 12 - Sale <sup>25</sup>
	40.000	277.19	03/27/20	392.00	114.81 W	0.00	2 of 12 - Sale <sup>25</sup>
	10.000	69.30	03/27/20	98.00	28.70 W	0.00	3 of 12 - Sale <sup>25</sup>
	9.000	62.37	03/27/20	85.50	23.13 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	6.93	03/27/20	10.76	3.83 W	0.00	5 of 12 - Sale <sup>25</sup>
	40.000	277.19	03/27/20	494.81	217.62 W	0.00	6 of 12 - Sale <sup>25</sup>
	9.000	62.37	03/27/20	104.13	41.76 W	0.00	7 of 12 - Sale <sup>25</sup>
	10.000	69.30	03/27/20	118.70	49.40 W	0.00	8 of 12 - Sale <sup>25</sup>
	31.000	214.82	03/27/20	279.00	64.18 W	0.00	9 of 12 - Sale <sup>25</sup>
	20.000	138.59	03/27/20	176.42	37.83 W	0.00	10 of 12 - Sale <sup>25</sup>
	20.000	138.59	03/27/20	182.00	43.41 W	0.00	11 of 12 - Sale <sup>25</sup>
	50.000	346.49	03/30/20	367.60	21.11 W	0.00	12 of 12 - Sale <sup>25</sup>
03/30/20	241.000	1,670.07	Various	2,317.11	647.04 W	0.00	Total of 12 transactions
	6 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	1.000	5.89	03/30/20	10.76	4.87 W	0.00	1 of 6 - Sale <sup>25</sup>
	9.000	53.01	03/30/20	102.78	49.77 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	58.90	03/30/20	117.20	58.30 W	0.00	3 of 6 - Sale <sup>25</sup>
	40.000	235.59	03/30/20	488.82	253.23 W	0.00	4 of 6 - Sale <sup>25</sup>
	9.000	53.01	03/30/20	78.05	25.04 W	0.00	5 of 6 - Sale <sup>25</sup>
	31.000	182.57	03/30/20	274.36	91.79 W	0.00	6 of 6 - Sale <sup>25</sup>
03/31/20	100.000	588.97	Various	1,071.97	483.00 W	0.00	Total of 6 transactions
04/08/20	49.000	330.25	04/07/20	329.39	...	0.86	Sale <sup>25</sup>
	5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	1.000	6.74	03/30/20	8.67	1.93 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	6.74	04/07/20	11.17	4.43 W	0.00	2 of 5 - Sale <sup>25</sup>
	19.000	128.06	04/07/20	239.98	111.92 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	67.40	04/07/20	81.91	14.51 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	134.79	04/07/20	169.41	34.62 W	0.00	5 of 5 - Sale <sup>25</sup>
04/08/20	51.000	343.73	Various	511.14	167.41 W	0.00	Total of 5 transactions
04/09/20	1.000	7.22	04/07/20	6.72	...	0.50	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
8 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	7.22	04/08/20	9.06	1.84 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	7.22	04/08/20	11.56	4.34 W	0.00	2 of 8 - Sale <sup>25</sup>
	18.000	129.97	04/08/20	234.37	104.40 W	0.00	3 of 8 - Sale <sup>25</sup>
	9.000	64.99	04/08/20	113.94	48.95 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	72.21	04/08/20	129.60	57.39 W	0.00	5 of 8 - Sale <sup>25</sup>
	21.000	151.63	04/08/20	282.68	131.05 W	0.00	6 of 8 - Sale <sup>25</sup>
	8.000	57.76	04/08/20	79.30	21.54 W	0.00	7 of 8 - Sale <sup>25</sup>
	31.000	223.84	04/08/20	312.82	88.98 W	0.00	8 of 8 - Sale <sup>25</sup>
04/09/20	99.000	714.84	Various	1,173.33	458.49 W	0.00	Total of 8 transactions
7 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	6.73	04/08/20	9.91	3.18 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	6.73	04/09/20	8.72	1.99 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	6.73	04/09/20	11.22	4.49 W	0.00	3 of 7 - Sale <sup>25</sup>
	17.000	114.41	04/09/20	215.56	101.15 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	6.73	04/09/20	12.77	6.04 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	67.30	04/09/20	83.31	16.01 W	0.00	6 of 7 - Sale <sup>25</sup>
	19.000	127.87	04/09/20	163.61	35.74 W	0.00	7 of 7 - Sale <sup>25</sup>
04/13/20	50.000	336.50	Various	505.10	168.60 W	0.00	Total of 7 transactions
11 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	1.000	6.71	04/09/20	8.61	1.90 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	6.71	04/13/20	8.21	1.50 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	6.71	04/13/20	10.71	4.00 W	0.00	3 of 11 - Sale <sup>25</sup>
	17.000	114.07	04/13/20	206.89	92.82 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	6.71	04/13/20	12.02	5.31 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	6.71	04/13/20	9.40	2.69 W	0.00	6 of 11 - Sale <sup>25</sup>
	9.000	60.39	04/13/20	104.93	44.54 W	0.00	7 of 11 - Sale <sup>25</sup>
	10.000	67.10	04/13/20	119.59	52.49 W	0.00	8 of 11 - Sale <sup>25</sup>
	21.000	140.90	04/13/20	261.67	120.77 W	0.00	9 of 11 - Sale <sup>25</sup>
	7.000	46.97	04/13/20	62.39	15.42 W	0.00	10 of 11 - Sale <sup>25</sup>
	31.000	208.00	04/13/20	281.80	73.80 W	0.00	11 of 11 - Sale <sup>25</sup>
04/14/20	100.000	670.98	Various	1,086.22	415.24 W	0.00	Total of 11 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
12 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	6.80	04/13/20	8.91	2.11 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	6.80	04/15/20	8.46	1.66 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	6.80	04/15/20	10.96	4.16 W	0.00	3 of 12 - Sale <sup>25</sup>
	17.000	115.62	04/15/20	211.10	95.48 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	6.80	04/15/20	13.00	6.20 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	6.80	04/15/20	8.86	2.06 W	0.00	6 of 12 - Sale <sup>25</sup>
	8.000	54.42	04/15/20	68.47	14.05 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	13.46	04/15/20	17.12	3.66 W	0.00	8 of 12 - Sale <sup>25</sup>
	19.000	127.90	04/15/20	167.95	40.05 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	6.73	04/15/20	12.24	5.51 W	0.00	10 of 12 - Sale <sup>25</sup>
	1.000	6.73	04/15/20	9.62	2.89 W	0.00	11 of 12 - Sale <sup>25</sup>
	17.000	114.44	04/15/20	215.58	101.14 W	0.00	12 of 12 - Sale <sup>25</sup>
04/17/20	70.000	473.30	Various	752.27	278.97 W	0.00	Total of 12 transactions
28 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	3.000	19.17	04/15/20	36.54	17.37 W	0.00	1 of 28 - Sale <sup>25</sup>
	4.000	25.56	04/15/20	50.72	25.16 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	6.39	04/15/20	12.18	5.79 W	0.00	3 of 28 - Sale <sup>25</sup>
	6.000	38.34	04/15/20	73.07	34.73 W	0.00	4 of 28 - Sale <sup>25</sup>
	9.000	57.51	04/15/20	106.91	49.40 W	0.00	5 of 28 - Sale <sup>25</sup>
	8.000	51.12	04/15/20	74.49	23.37 W	0.00	6 of 28 - Sale <sup>25</sup>
	20.000	127.79	04/16/20	178.16	50.37 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	6.39	04/16/20	8.01	1.62 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	6.39	04/16/20	10.51	4.12 W	0.00	9 of 28 - Sale <sup>25</sup>
	17.000	108.62	04/16/20	203.43	94.81 W	0.00	10 of 28 - Sale <sup>25</sup>
	1.000	6.39	04/16/20	12.55	6.16 W	0.00	11 of 28 - Sale <sup>25</sup>
	1.000	6.39	04/16/20	8.41	2.02 W	0.00	12 of 28 - Sale <sup>25</sup>
	2.000	12.78	04/16/20	16.36	3.58 W	0.00	13 of 28 - Sale <sup>25</sup>
	8.000	51.12	04/16/20	64.85	13.73 W	0.00	14 of 28 - Sale <sup>25</sup>
	8.000	51.12	04/16/20	67.66	16.54 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	6.39	04/16/20	8.46	2.07 W	0.00	16 of 28 - Sale <sup>25</sup>
	3.000	19.17	04/16/20	26.19	7.02 W	0.00	17 of 28 - Sale <sup>25</sup>
	6.000	38.33	04/16/20	51.32	12.99 W	0.00	18 of 28 - Sale <sup>25</sup>
	1.000	7.16	04/16/20	8.55	1.39 W	0.00	19 of 28 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	1.000	7.16	04/17/20	12.33	5.17 W	0.00	20 of 28 - Sale <sup>25</sup>
	3.000	21.48	04/17/20	36.98	15.50 W	0.00	21 of 28 - Sale <sup>25</sup>
	4.000	28.64	04/17/20	51.30	22.66 W	0.00	22 of 28 - Sale <sup>25</sup>
	6.000	42.96	04/17/20	73.94	30.98 W	0.00	23 of 28 - Sale <sup>25</sup>
	6.000	42.96	04/17/20	72.14	29.18 W	0.00	24 of 28 - Sale <sup>25</sup>
	1.000	7.16	04/17/20	12.05	4.89 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	7.16	04/17/20	9.43	2.27 W	0.00	26 of 28 - Sale <sup>25</sup>
	17.000	121.72	04/17/20	212.25	90.53 W	0.00	27 of 28 - Sale <sup>25</sup>
	10.000	71.60	04/17/20	86.44	14.84 W	0.00	28 of 28 - Sale <sup>25</sup>
04/20/20	150.000	996.97	Various	1,585.23	588.26 W	0.00	Total of 28 transactions
31 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	8.27	04/17/20	8.64	0.37 W	0.00	1 of 31 - Sale <sup>25</sup>
	1.000	8.27	04/27/20	10.00	1.73 W	0.00	2 of 31 - Sale <sup>25</sup>
	1.000	8.27	04/27/20	12.50	4.23 W	0.00	3 of 31 - Sale <sup>25</sup>
	17.000	140.61	04/27/20	237.27	96.66 W	0.00	4 of 31 - Sale <sup>25</sup>
	3.000	24.81	04/27/20	41.61	16.80 W	0.00	5 of 31 - Sale <sup>25</sup>
	8.000	66.17	04/27/20	90.41	24.24 W	0.00	6 of 31 - Sale <sup>25</sup>
	19.000	157.17	04/27/20	207.06	49.89 W	0.00	7 of 31 - Sale <sup>25</sup>
	1.000	8.24	04/27/20	10.90	2.66 W	0.00	8 of 31 - Sale <sup>25</sup>
	1.000	8.24	04/28/20	14.18	5.94 W	0.00	9 of 31 - Sale <sup>25</sup>
	1.000	8.24	04/28/20	13.19	4.95 W	0.00	10 of 31 - Sale <sup>25</sup>
	3.000	24.72	04/28/20	39.56	14.84 W	0.00	11 of 31 - Sale <sup>25</sup>
	4.000	32.96	04/28/20	54.73	21.77 W	0.00	12 of 31 - Sale <sup>25</sup>
	5.000	41.21	04/28/20	64.41	23.20 W	0.00	13 of 31 - Sale <sup>25</sup>
	6.000	49.45	04/28/20	79.09	29.64 W	0.00	14 of 31 - Sale <sup>25</sup>
	1.000	8.24	04/28/20	10.04	1.80 W	0.00	15 of 31 - Sale <sup>25</sup>
	2.000	16.48	04/28/20	19.62	3.14 W	0.00	16 of 31 - Sale <sup>25</sup>
	8.000	65.93	04/28/20	77.88	11.95 W	0.00	17 of 31 - Sale <sup>25</sup>
	8.000	65.93	04/28/20	80.69	14.76 W	0.00	18 of 31 - Sale <sup>25</sup>
	1.000	8.24	04/28/20	10.09	1.85 W	0.00	19 of 31 - Sale <sup>25</sup>
	3.000	24.72	04/28/20	31.08	6.36 W	0.00	20 of 31 - Sale <sup>25</sup>
	6.000	49.45	04/28/20	61.10	11.65 W	0.00	21 of 31 - Sale <sup>25</sup>
	1.000	8.25	04/28/20	9.41	1.16 W	0.00	22 of 31 - Sale <sup>25</sup>
	1.000	8.25	04/28/20	9.77	1.52 W	0.00	23 of 31 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	1.000	8.25	04/28/20	12.27	4.02 W	0.00	24 of 31 - Sale <sup>25</sup>
	1.000	8.25	04/28/20	8.41	0.16 W	0.00	25 of 31 - Sale <sup>25</sup>
	17.000	140.32	04/28/20	233.31	92.99 W	0.00	26 of 31 - Sale <sup>25</sup>
	1.000	8.25	04/28/20	12.90	4.65 W	0.00	27 of 31 - Sale <sup>25</sup>
	1.000	8.25	04/28/20	12.93	4.68 W	0.00	28 of 31 - Sale <sup>25</sup>
	1.000	8.25	04/28/20	10.31	2.06 W	0.00	29 of 31 - Sale <sup>25</sup>
	17.000	140.33	04/28/20	227.19	86.86 W	0.00	30 of 31 - Sale <sup>25</sup>
	9.000	74.30	04/28/20	85.71	11.41 W	0.00	31 of 31 - Sale <sup>25</sup>
04/30/20	150.000	1,238.32	Various	1,796.26	557.94 W	0.00	Total of 31 transactions
	33 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	7.90	04/28/20	9.52	1.62 W	0.00	1 of 33 - Sale <sup>25</sup>
	2.000	15.80	04/30/20	27.36	11.56 W	0.00	2 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	9.60	1.70 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	12.10	4.20 W	0.00	4 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	8.24	0.34 W	0.00	5 of 33 - Sale <sup>25</sup>
	17.000	134.29	04/30/20	230.35	96.06 W	0.00	6 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	14.02	6.12 W	0.00	7 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	13.03	5.13 W	0.00	8 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	12.73	4.83 W	0.00	9 of 33 - Sale <sup>25</sup>
	3.000	23.70	04/30/20	39.08	15.38 W	0.00	10 of 33 - Sale <sup>25</sup>
	4.000	31.60	04/30/20	54.09	22.49 W	0.00	11 of 33 - Sale <sup>25</sup>
	5.000	39.50	04/30/20	63.60	24.10 W	0.00	12 of 33 - Sale <sup>25</sup>
	6.000	47.40	04/30/20	78.12	30.72 W	0.00	13 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	13.68	5.78 W	0.00	14 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	9.88	1.98 W	0.00	15 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	12.76	4.86 W	0.00	16 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	10.14	2.24 W	0.00	17 of 33 - Sale <sup>25</sup>
	2.000	15.80	04/30/20	19.30	3.50 W	0.00	18 of 33 - Sale <sup>25</sup>
	8.000	63.20	04/30/20	76.59	13.39 W	0.00	19 of 33 - Sale <sup>25</sup>
	8.000	63.20	04/30/20	79.40	16.20 W	0.00	20 of 33 - Sale <sup>25</sup>
	17.000	134.29	04/30/20	224.22	89.93 W	0.00	21 of 33 - Sale <sup>25</sup>
	1.000	7.90	04/30/20	9.93	2.03 W	0.00	22 of 33 - Sale <sup>25</sup>
	9.000	71.09	04/30/20	84.13	13.04 W	0.00	23 of 33 - Sale <sup>25</sup>
	7.000	55.30	04/30/20	77.77	22.47 W	0.00	24 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	2.000	15.27	04/30/20	27.72	12.45 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	7.64	04/30/20	9.70	2.06 W	0.00	26 of 33 - Sale <sup>25</sup>
	1.000	7.64	04/30/20	11.11	3.47 W	0.00	27 of 33 - Sale <sup>25</sup>
	1.000	7.64	04/30/20	10.74	3.10 W	0.00	28 of 33 - Sale <sup>25</sup>
	19.000	145.10	04/30/20	203.41	58.31 W	0.00	29 of 33 - Sale <sup>25</sup>
	1.000	7.64	04/30/20	9.24	1.60 W	0.00	30 of 33 - Sale <sup>25</sup>
	3.000	22.91	04/30/20	30.60	7.69 W	0.00	31 of 33 - Sale <sup>25</sup>
	6.000	45.82	04/30/20	60.13	14.31 W	0.00	32 of 33 - Sale <sup>25</sup>
	16.000	122.19	04/30/20	129.28	7.09 W	0.00	33 of 33 - Sale <sup>25</sup>
05/01/20	150.000	1,171.82	Various	1,681.57	509.75 W	0.00	Total of 33 transactions
05/15/20	52.000	386.10	05/14/20	369.20	...	16.90	Sale <sup>25</sup>
32 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	7.43	04/30/20	8.08	0.65 W	0.00	1 of 32 - Sale <sup>25</sup>
	1.000	7.42	05/14/20	8.80	1.38 W	0.00	2 of 32 - Sale <sup>25</sup>
	1.000	7.42	05/14/20	11.30	3.88 W	0.00	3 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	7.44	0.01 W	0.00	4 of 32 - Sale <sup>25</sup>
	17.000	126.22	05/14/20	216.76	90.54 W	0.00	5 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	13.22	5.79 W	0.00	6 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	12.23	4.80 W	0.00	7 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	11.93	4.50 W	0.00	8 of 32 - Sale <sup>25</sup>
	3.000	22.27	05/14/20	36.68	14.41 W	0.00	9 of 32 - Sale <sup>25</sup>
	4.000	29.70	05/14/20	50.89	21.19 W	0.00	10 of 32 - Sale <sup>25</sup>
	5.000	37.12	05/14/20	59.60	22.48 W	0.00	11 of 32 - Sale <sup>25</sup>
	6.000	44.55	05/14/20	73.32	28.77 W	0.00	12 of 32 - Sale <sup>25</sup>
	2.000	14.85	05/14/20	26.65	11.80 W	0.00	13 of 32 - Sale <sup>25</sup>
	1.000	7.42	05/14/20	12.88	5.46 W	0.00	14 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	9.08	1.65 W	0.00	15 of 32 - Sale <sup>25</sup>
	1.000	7.42	05/14/20	11.96	4.54 W	0.00	16 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	9.34	1.91 W	0.00	17 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	9.16	1.73 W	0.00	18 of 32 - Sale <sup>25</sup>
	2.000	14.85	05/14/20	17.70	2.85 W	0.00	19 of 32 - Sale <sup>25</sup>
	8.000	59.40	05/14/20	70.19	10.79 W	0.00	20 of 32 - Sale <sup>25</sup>
	8.000	59.40	05/14/20	73.00	13.60 W	0.00	21 of 32 - Sale <sup>25</sup>
	17.000	126.22	05/14/20	210.63	84.41 W	0.00	22 of 32 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	1.000	7.42	05/14/20	9.13	1.71 W	0.00	23 of 32 - Sale <sup>25</sup>
	9.000	66.83	05/14/20	76.94	10.11 W	0.00	24 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	10.57	3.14 W	0.00	25 of 32 - Sale <sup>25</sup>
	7.000	51.97	05/14/20	72.17	20.20 W	0.00	26 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	10.20	2.77 W	0.00	27 of 32 - Sale <sup>25</sup>
	19.000	141.07	05/14/20	193.21	52.14 W	0.00	28 of 32 - Sale <sup>25</sup>
	1.000	7.43	05/14/20	8.70	1.27 W	0.00	29 of 32 - Sale <sup>25</sup>
	3.000	22.27	05/14/20	28.99	6.72 W	0.00	30 of 32 - Sale <sup>25</sup>
	6.000	44.55	05/14/20	56.91	12.36 W	0.00	31 of 32 - Sale <sup>25</sup>
	16.000	118.80	05/14/20	120.69	1.89 W	0.00	32 of 32 - Sale <sup>25</sup>
05/15/20	148.000	1,098.90	Various	1,548.35	449.45 W	0.00	Total of 32 transactions
05/21/20	1.000	8.27	05/14/20	7.75	...	0.52	Sale <sup>25</sup>
	24 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	8.27	05/20/20	9.65	1.38 W	0.00	1 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	12.15	3.88 W	0.00	2 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	8.28	0.01 W	0.00	3 of 24 - Sale <sup>25</sup>
	17.000	140.62	05/20/20	231.13	90.51 W	0.00	4 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	14.06	5.79 W	0.00	5 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	13.07	4.80 W	0.00	6 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	12.77	4.50 W	0.00	7 of 24 - Sale <sup>25</sup>
	3.000	24.82	05/20/20	39.22	14.40 W	0.00	8 of 24 - Sale <sup>25</sup>
	4.000	33.09	05/20/20	54.27	21.18 W	0.00	9 of 24 - Sale <sup>25</sup>
	5.000	41.36	05/20/20	63.83	22.47 W	0.00	10 of 24 - Sale <sup>25</sup>
	6.000	49.63	05/20/20	78.39	28.76 W	0.00	11 of 24 - Sale <sup>25</sup>
	2.000	16.54	05/20/20	28.34	11.80 W	0.00	12 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	13.73	5.46 W	0.00	13 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	9.92	1.65 W	0.00	14 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	12.81	4.54 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	10.18	1.91 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	8.27	05/20/20	10.00	1.73 W	0.00	17 of 24 - Sale <sup>25</sup>
	2.000	16.54	05/20/20	19.39	2.85 W	0.00	18 of 24 - Sale <sup>25</sup>
	8.000	66.18	05/20/20	76.95	10.77 W	0.00	19 of 24 - Sale <sup>25</sup>
	8.000	66.18	05/20/20	79.76	13.58 W	0.00	20 of 24 - Sale <sup>25</sup>
	17.000	140.62	05/20/20	225.00	84.38 W	0.00	21 of 24 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	1.000	8.27	05/20/20	9.98	1.71 W	0.00	22 of 24 - Sale <sup>25</sup>
	9.000	74.45	05/20/20	84.54	10.09 W	0.00	23 of 24 - Sale <sup>25</sup>
	6.000	49.63	05/20/20	66.93	17.30 W	0.00	24 of 24 - Sale <sup>25</sup>
05/21/20	99.000	818.90	Various	1,184.35	365.45 W	0.00	Total of 24 transactions
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	11.18	05/20/20	11.16	...	0.02	1 of 3 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	10.54	...	0.64	2 of 3 - Sale <sup>25</sup>
	16.000	178.88	05/27/20	170.37	...	8.51	3 of 3 - Sale <sup>25</sup>
05/28/20	18.000	201.24	Various	192.07	...	9.17	Total of 3 transactions
	22 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	11.18	05/27/20	11.91	0.73 W	0.00	1 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	14.41	3.23 W	0.00	2 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	13.67	2.49 W	0.00	3 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	13.30	2.12 W	0.00	4 of 22 - Sale <sup>25</sup>
	19.000	212.41	05/27/20	252.21	39.80 W	0.00	5 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	11.80	0.62 W	0.00	6 of 22 - Sale <sup>25</sup>
	3.000	33.54	05/27/20	38.31	4.77 W	0.00	7 of 22 - Sale <sup>25</sup>
	6.000	67.08	05/27/20	75.54	8.46 W	0.00	8 of 22 - Sale <sup>25</sup>
	17.000	190.05	05/27/20	271.73	81.68 W	0.00	9 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	16.45	5.27 W	0.00	10 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	15.46	4.28 W	0.00	11 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	15.16	3.98 W	0.00	12 of 22 - Sale <sup>25</sup>
	3.000	33.54	05/27/20	46.38	12.84 W	0.00	13 of 22 - Sale <sup>25</sup>
	4.000	44.72	05/27/20	63.82	19.10 W	0.00	14 of 22 - Sale <sup>25</sup>
	5.000	55.90	05/27/20	75.77	19.87 W	0.00	15 of 22 - Sale <sup>25</sup>
	6.000	67.08	05/27/20	92.72	25.64 W	0.00	16 of 22 - Sale <sup>25</sup>
	2.000	22.36	05/27/20	33.12	10.76 W	0.00	17 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	16.12	4.94 W	0.00	18 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	12.31	1.13 W	0.00	19 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	15.20	4.02 W	0.00	20 of 22 - Sale <sup>25</sup>
	1.000	11.18	05/27/20	12.57	1.39 W	0.00	21 of 22 - Sale <sup>25</sup>
	5.000	55.89	05/27/20	60.03	4.14 W	0.00	22 of 22 - Sale <sup>25</sup>
05/28/20	82.000	916.73	Various	1,177.99	261.26 W	0.00	Total of 22 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
33 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
1.000		9.78	05/27/20	12.01	2.23 W	0.00	1 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	10.65	0.87 W	0.00	2 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	13.15	3.37 W	0.00	3 of 33 - Sale <sup>25</sup>
17.000		166.19	05/29/20	250.32	84.13 W	0.00	4 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	15.19	5.41 W	0.00	5 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	14.20	4.42 W	0.00	6 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	13.90	4.12 W	0.00	7 of 33 - Sale <sup>25</sup>
3.000		29.33	05/29/20	42.60	13.27 W	0.00	8 of 33 - Sale <sup>25</sup>
4.000		39.10	05/29/20	58.78	19.68 W	0.00	9 of 33 - Sale <sup>25</sup>
5.000		48.88	05/29/20	69.47	20.59 W	0.00	10 of 33 - Sale <sup>25</sup>
6.000		58.66	05/29/20	85.16	26.50 W	0.00	11 of 33 - Sale <sup>25</sup>
2.000		19.55	05/29/20	30.60	11.05 W	0.00	12 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	14.86	5.08 W	0.00	13 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	11.05	1.27 W	0.00	14 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	13.94	4.16 W	0.00	15 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	11.31	1.53 W	0.00	16 of 33 - Sale <sup>25</sup>
5.000		48.88	05/29/20	53.74	4.86 W	0.00	17 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	11.65	1.87 W	0.00	18 of 33 - Sale <sup>25</sup>
2.000		19.55	05/29/20	22.53	2.98 W	0.00	19 of 33 - Sale <sup>25</sup>
2.000		19.55	05/29/20	22.69	3.14 W	0.00	20 of 33 - Sale <sup>25</sup>
8.000		78.21	05/29/20	92.94	14.73 W	0.00	21 of 33 - Sale <sup>25</sup>
17.000		166.19	05/29/20	253.02	86.83 W	0.00	22 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	11.63	1.85 W	0.00	23 of 33 - Sale <sup>25</sup>
9.000		87.98	05/29/20	99.37	11.39 W	0.00	24 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	12.41	2.63 W	0.00	25 of 33 - Sale <sup>25</sup>
6.000		58.66	05/29/20	76.82	18.16 W	0.00	26 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	12.04	2.26 W	0.00	27 of 33 - Sale <sup>25</sup>
19.000		185.74	05/29/20	228.28	42.54 W	0.00	28 of 33 - Sale <sup>25</sup>
1.000		9.78	05/29/20	10.54	0.76 W	0.00	29 of 33 - Sale <sup>25</sup>
3.000		29.33	05/29/20	34.53	5.20 W	0.00	30 of 33 - Sale <sup>25</sup>
6.000		58.65	05/29/20	67.98	9.33 W	0.00	31 of 33 - Sale <sup>25</sup>
41.000		400.81	05/29/20	406.72	5.91 W	0.00	32 of 33 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 161196936

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	30.000	293.27	05/29/20	297.90	4.63 W	0.00	33 of 34 - Sale <sup>25</sup>
06/01/20	200.000	1,955.23	Various	2,381.98	426.75 W	0.00	Total of 33 transactions
	34 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	17.000	176.62	05/29/20	252.94	...	-76.32	1 of 34 - Sale <sup>25</sup>
	16.000	166.23	05/29/20	240.60	...	-74.37	2 of 34 - Sale <sup>25</sup>
	17.000	176.62	05/29/20	202.79	...	-26.17	3 of 34 - Sale <sup>25</sup>
	6.000	62.34	05/29/20	86.08	...	-23.74	4 of 34 - Sale <sup>25</sup>
	5.000	51.95	05/29/20	70.24	...	-18.29	5 of 34 - Sale <sup>25</sup>
	4.000	41.56	05/29/20	59.40	...	-17.84	6 of 34 - Sale <sup>25</sup>
	6.000	62.34	05/29/20	77.73	...	-15.39	7 of 34 - Sale <sup>25</sup>
	3.000	31.17	05/29/20	43.06	...	-11.89	8 of 34 - Sale <sup>25</sup>
	8.000	83.11	05/29/20	94.17	...	-11.06	9 of 34 - Sale <sup>25</sup>
	2.000	20.78	05/29/20	30.91	...	-10.13	10 of 34 - Sale <sup>25</sup>
	9.000	93.51	05/29/20	100.76	...	-7.25	11 of 34 - Sale <sup>25</sup>
	6.000	62.34	05/29/20	67.47	...	-5.13	12 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	15.34	...	-4.95	13 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	15.04	...	-4.65	14 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	15.01	...	-4.62	15 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	14.35	...	-3.96	16 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	14.09	...	-3.70	17 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	14.05	...	-3.66	18 of 34 - Sale <sup>25</sup>
	2.000	20.78	05/29/20	24.34	...	-3.56	19 of 34 - Sale <sup>25</sup>
	3.000	31.17	05/29/20	34.27	...	-3.10	20 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	13.30	...	-2.91	21 of 34 - Sale <sup>25</sup>
	5.000	51.95	05/29/20	54.51	...	-2.56	22 of 34 - Sale <sup>25</sup>
	2.000	20.78	05/29/20	23.00	...	-2.22	23 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	12.56	...	-2.17	24 of 34 - Sale <sup>25</sup>
	2.000	20.78	05/29/20	22.84	...	-2.06	25 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	12.16	...	-1.77	26 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	11.95	...	-1.56	27 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	11.80	...	-1.41	28 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	11.78	...	-1.39	29 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	11.46	...	-1.07	30 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	11.20	...	-0.81	31 of 34 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	1.000	10.39	05/29/20	10.45	...	-0.06	32 of 34 - Sale <sup>25</sup>
	1.000	10.39	05/29/20	10.10	...	0.29	33 of 34 - Sale <sup>25</sup>
	20.000	207.78	05/29/20	196.68	...	11.10	34 of 34 - Sale <sup>25</sup>
06/08/20	149.000	1,548.05	Various	1,886.43	...	-338.38	Total of 34 transactions
06/08/20	1.000	10.39	05/29/20	10.80	0.41 W	0.00	Sale <sup>25</sup>
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	29.000	251.74	05/29/20	285.49	...	-33.75	1 of 2 - Sale <sup>25</sup>
	21.000	182.29	05/29/20	206.52	...	-24.23	2 of 2 - Sale <sup>25</sup>
06/11/20	50.000	434.03	Various	492.01	...	-57.98	Total of 2 transactions
	<b>Security total:</b>	<b>17,088.97</b>		<b>23,036.59</b>	<b>5,778.02 W</b>	<b>-169.60</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	26.52	03/20/20	24.31	...	2.21	1 of 2 - Sale <sup>25</sup>
	49.000	1,299.45	03/24/20	1,216.67	...	82.78	2 of 2 - Sale <sup>25</sup>
03/25/20	50.000	1,325.97	Various	1,240.98	...	84.99	Total of 2 transactions
08/03/20	1.000	36.35	03/24/20	24.83	...	11.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,362.32</b>		<b>1,265.81</b>	...	<b>96.51</b>	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
03/24/20	50.000	1,587.46	03/23/20	1,237.00	...	350.46	Sale <sup>25</sup>
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	29.61	03/24/20	30.82	1.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	710.63	04/16/20	724.08	13.45 W	0.00	2 of 2 - Sale <sup>25</sup>
04/17/20	25.000	740.24	Various	754.90	14.66 W	0.00	Total of 2 transactions
3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	27.77	04/16/20	31.38	3.61 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	416.57	04/16/20	452.55	35.98 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.000	249.95	04/16/20	276.57	26.62 W	0.00	3 of 3 - Sale <sup>25</sup>
04/21/20	25.000	694.29	Various	760.50	66.21 W	0.00	Total of 3 transactions
6 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	25.22	04/16/20	30.73	5.51 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	25.22	04/24/20	29.20	3.98 W	0.00	2 of 6 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	9.000	227.00	04/24/20	256.91	29.91 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.000	378.33	04/24/20	419.80	41.47 W	0.00	4 of 6 - Sale <sup>25</sup>
	14.000	353.10	04/24/20	366.08	12.98 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	252.22	04/24/20	255.87	3.65 W	0.00	6 of 6 - Sale <sup>25</sup>
04/27/20	50.000	1,261.09	Various	1,358.59	97.50 W	0.00	Total of 6 transactions
	5 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	15.000	354.59	05/05/20	445.95	...	-91.36	1 of 5 - Sale <sup>25</sup>
	9.000	212.75	05/05/20	272.60	...	-59.85	2 of 5 - Sale <sup>25</sup>
	14.000	330.95	05/05/20	378.53	...	-47.58	3 of 5 - Sale <sup>25</sup>
	10.000	236.40	05/05/20	264.75	...	-28.35	4 of 5 - Sale <sup>25</sup>
	1.000	23.64	05/05/20	30.95	...	-7.31	5 of 5 - Sale <sup>25</sup>
05/06/20	49.000	1,158.33	Various	1,392.78	...	-234.45	Total of 5 transactions
	7 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	1.000	23.55	04/24/20	31.10	7.55 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	23.55	05/04/20	29.47	5.92 W	0.00	2 of 7 - Sale <sup>25</sup>
	9.000	211.99	05/04/20	259.32	47.33 W	0.00	3 of 7 - Sale <sup>25</sup>
	15.000	353.32	05/04/20	423.82	70.50 W	0.00	4 of 7 - Sale <sup>25</sup>
	14.000	329.76	05/04/20	369.84	40.08 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	235.55	05/04/20	258.55	23.00 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	23.64	05/04/20	33.04	9.40 W	0.00	7 of 7 - Sale <sup>25</sup>
05/06/20	51.000	1,201.36	Various	1,405.14	203.78 W	0.00	Total of 7 transactions
09/03/20	1.000	38.96	05/05/20	33.58	...	5.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,681.73</b>		<b>6,942.49</b>	<b>382.15 W</b>	<b>121.39</b>	
VISA INC. / CUSIP: 92826C839 / Symbol:							
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	170.50	04/16/20	165.20	...	5.30	1 of 2 - Sale <sup>25</sup>
	18.000	3,069.08	04/16/20	2,973.60	...	95.48	2 of 2 - Sale <sup>25</sup>
04/17/20	19.000	3,239.58	Various	3,138.80	...	100.78	Total of 2 transactions
04/22/20	1.000	163.17	04/16/20	165.20	...	-2.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,402.75</b>		<b>3,304.00</b>	<b>...</b>	<b>98.75</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENSATA TECHNOLOGIES HOLDING P LC / CUSIP: G8060N102 / Symbol:							
04/16/20	1.000	30.46	04/13/20	33.22	...	-2.76	Sale <sup>25</sup>
<b>Totals :</b>		<b>251,940.89</b>		<b>255,016.91</b>	<b>10,194.00 W</b>	<b>7,117.98</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
03/30/20	1.000	2.74	05/07/19	7.14	4.40 W	0.00	Sale <sup>25</sup>
09/03/20	1.000	19.07	03/26/20	7.37	...	11.70	Sale <sup>25</sup>
<b>Security total:</b>		<b>21.81</b>		<b>14.51</b>	<b>4.40 W</b>	<b>11.70</b>	
<b>Totals :</b>		<b>21.81</b>		<b>14.51</b>	<b>4.40 W</b>	<b>11.70</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 161196936

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
DIAMONDBACK ENERGY, INC. COMMM ON STOCK	25278X109		05/21/20	0.38	Qualified dividend	

---

**0.38 Total Dividends & distributions**

Robinhood Securities LLC

Account 161196936

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/18/20	-5.00	Margin interest paid	
		04/17/20	-0.94	Margin interest paid	
		04/17/20	-5.00	Margin interest paid	
		05/18/20	-1.71	Margin interest paid	
		05/18/20	-5.00	Margin interest paid	
		06/12/20	-2.68	Margin interest paid	
		09/14/20	-5.00	Margin interest paid	
		10/14/20	-5.00	Margin interest paid	
		11/13/20	-5.00	Margin interest paid	
		12/14/20	-5.00	Margin interest paid	
			-40.33	Total Margin interest paid	
			<b>-40.33</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

---

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).