

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 926323635</b>	Statement Date: 02/14/2021 Document ID: B493 211 T3P3	2020
	Jaswanth Mogalipuvvu 1716 Empress Dr NW 8J Roanoke, VA 24012  RECIPIENT'S TIN: XXX-XX-4564		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      21.33</p> <p>1b- Qualified dividends      19.78</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      50.55</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      1.55</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	459,100.67	542,547.28	0.00	73,776.62	-9,669.99
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	8.18	14.88	0.00	0.00	-6.70
	<b>Total Short-term</b>	<b>459,108.85</b>	<b>542,562.16</b>	<b>0.00</b>	<b>73,776.62</b>	<b>-9,676.69</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>459,108.85</b>	<b>542,562.16</b>	<b>0.00</b>	<b>73,776.62</b>	<b>-9,676.69</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 926323635

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.26
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 05/22/2020 PUT \$9.50 / CUSIP: / Symbol:							
05/20/20	1.000	18.98	05/19/20	31.00	...	-12.02	Option sale to close-put <sup>25</sup>
AAL 05/29/2020 PUT \$11.50 / CUSIP: / Symbol:							
05/28/20	5.000	199.98	05/27/20	150.00	...	49.98	Option sale to close-put <sup>25</sup>
AAL 06/12/2020 PUT \$12.00 / CUSIP: / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	06/10/20	10.00	...	-0.01	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	9.99	06/10/20	10.00	...	-0.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	5.00	06/10/20	5.00	...	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
06/11/20	5.000	24.98	Various	25.00	...	-0.02	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/12/2020 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	7.99	06/05/20	56.00	...	-48.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	7.99	06/05/20	30.00	...	-22.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/09/20	4.000	15.98	Various	86.00	...	-70.02	Total of 2 transactions
AAL 09/04/2020 CALL \$13.50 / CUSIP: / Symbol:							
08/27/20	1.000	79.98	08/25/20	58.00	...	21.98	Option sale to close-call <sup>25</sup>
AAL 09/11/2020 CALL \$13.50 / CUSIP: / Symbol:							
09/02/20	1.000	55.98	09/01/20	55.00	...	0.98	Option sale to close-call <sup>25</sup>
AAPL 02/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
11/24/20	1.000	794.97	11/23/20	720.00	...	74.97	Option sale to close-call <sup>25</sup>
AAPL 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
11/24/20	1.000	984.96	11/23/20	944.00	...	40.96	Option sale to close-call <sup>25</sup>
AAPL 06/12/2020 CALL \$347.50 / CUSIP: / Symbol:							
06/09/20	10.000	2,699.92	06/09/20	3,200.00	...	-500.08	Option sale to close-call <sup>25</sup>
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	329.98	06/09/20	320.00	...	9.98	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	989.96	06/09/20	960.00	...	29.96	2 of 3 - Option sale to close-call <sup>25</sup>
	6.000	1,979.94	06/09/20	1,920.00	...	59.94	3 of 3 - Option sale to close-call <sup>25</sup>
06/10/20	10.000	3,299.88	Various	3,200.00	...	99.88	Total of 3 transactions
	<b>Security total:</b>	<b>5,999.80</b>		<b>6,400.00</b>	...	<b>-400.20</b>	
AAPL 06/12/2020 CALL \$350.00 / CUSIP: / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	234.99	06/09/20	214.00	...	20.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	469.98	06/09/20	400.00	...	69.98	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	939.97	06/09/20	856.00	...	83.97	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	704.98	06/09/20	600.00	...	104.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/09/20	10.000	2,349.92	Various	2,070.00	...	279.92	Total of 4 transactions
06/11/20	1.000	253.98	06/10/20	406.02	...	-152.04	Option sale to close-call <sup>25</sup>
06/11/20	1.000	253.98	06/10/20	330.00	76.02 W	0.00	Option sale to close-call <sup>25</sup>

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Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/12/2020 CALL \$350.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>2,857.88</b>		<b>2,806.02</b>	<b>76.02 W</b>	<b>127.88</b>	
AAPL 06/19/2020 CALL \$340.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	06/09/20	255.00	...	244.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.98	06/09/20	255.00	...	244.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	2.000	999.96	Various	510.00	...	489.96	Total of 2 transactions
AAPL 06/26/2020 CALL \$355.00 / CUSIP: / Symbol:							
06/19/20	1.000	699.97	06/18/20	480.00	...	219.97	Option sale to close-call <sup>25</sup>
AAPL 06/26/2020 CALL \$360.00 / CUSIP: / Symbol:							
06/16/20	1.000	389.98	06/16/20	380.00	...	9.98	Option sale to close-call <sup>25</sup>
06/18/20	1.000	399.98	06/17/20	370.00	...	29.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>789.96</b>		<b>750.00</b>	<b>...</b>	<b>39.96</b>	
AAPL 07/31/2020 CALL \$370.00 / CUSIP: / Symbol:							
07/01/20	1.000	1,189.96	07/01/20	1,185.00	...	4.96	Option sale to close-call <sup>25</sup>
AAPL 07/31/2020 CALL \$380.00 / CUSIP: / Symbol:							
07/10/20	1.000	1,594.95	07/09/20	1,480.00	...	114.95	Option sale to close-call <sup>25</sup>
AAPL 07/31/2020 CALL \$390.00 / CUSIP: / Symbol:							
07/22/20	1.000	1,069.96	07/22/20	990.00	...	79.96	Option sale to close-call <sup>25</sup>
07/27/20	1.000	439.98	07/23/20	965.00	525.02 W	0.00	Option sale to close-call <sup>25</sup>
07/29/20	1.000	339.98	07/28/20	2,289.10	...	-1,949.12	Option sale to close-call <sup>25</sup>
4 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	282.98	07/23/20	1,360.02	1,077.04 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	299.98	07/23/20	1,837.04	1,537.06 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	304.98	07/23/20	2,167.06	1,862.08 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	301.98	07/23/20	2,382.08	2,080.10 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/29/20	4.000	1,189.92	Various	7,746.20	6,556.28 W	0.00	Total of 4 transactions
<b>Security total:</b>		<b>3,039.84</b>		<b>11,990.30</b>	<b>7,081.30 W</b>	<b>-1,869.16</b>	

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Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/07/2020 CALL \$375.00 / CUSIP: / Symbol:							
07/13/20	1.000	2,549.93	07/10/20	1,850.00	...	699.93	Option sale to close-call <sup>25</sup>
AAPL 08/21/2020 CALL \$370.00 / CUSIP: / Symbol:							
07/06/20	1.000	1,749.95	07/02/20	1,650.00	...	99.95	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$132.50 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	200.99	09/02/20	231.00	...	-30.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	200.99	09/02/20	111.00	...	89.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	401.98	Various	342.00	...	59.98	Total of 2 transactions
AAPL 09/25/2020 CALL \$116.25 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	40.99	09/15/20	400.00	...	-359.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	40.99	09/17/20	120.00	...	-79.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/23/20	2.000	81.98	Various	520.00	...	-438.02	Total of 2 transactions
AAPL 10/02/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/15/20	1.000	514.97	09/11/20	545.06	...	-30.09	Option sale to close-call <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	544.97	09/10/20	700.00	155.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	539.97	09/10/20	705.03	165.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/15/20	2.000	1,084.94	Various	1,405.03	320.09 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>1,599.91</b>		<b>1,950.09</b>	<b>320.09 W</b>	<b>-30.09</b>	
AAPL 10/16/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/09/20	1.000	349.98	10/08/20	280.00	...	69.98	Option sale to close-call <sup>25</sup>
AAPL 10/16/2020 CALL \$122.00 / CUSIP: / Symbol:							
10/14/20	1.000	219.98	10/13/20	190.00	...	29.98	Option sale to close-call <sup>25</sup>
AAPL 10/16/2020 CALL \$122.50 / CUSIP: / Symbol:							
10/15/20	1.000	53.98	10/12/20	246.00	...	-192.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/21/20	1.000	649.97	09/18/20	670.00	...	-20.03	Option sale to close-call <sup>25</sup>
AAPL 10/30/2020 CALL \$112.00 / CUSIP: / Symbol:							
10/12/20	1.000	999.96	10/06/20	700.00	...	299.96	Option sale to close-call <sup>25</sup>
10/13/20	1.000	1,074.96	10/06/20	635.00	...	439.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,074.92</b>		<b>1,335.00</b>	...	<b>739.92</b>	
AAPL 10/30/2020 CALL \$117.00 / CUSIP: / Symbol:							
10/28/20	1.000	193.98	10/21/20	435.00	241.02 W	0.00	Option sale to close-call <sup>25</sup>
10/29/20	1.000	224.98	10/23/20	531.02	...	-306.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>418.96</b>		<b>966.02</b>	<b>241.02 W</b>	<b>-306.04</b>	
AAPL 10/30/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/21/20	1.000	292.98	10/20/20	240.00	...	52.98	Option sale to close-call <sup>25</sup>
10/29/20	1.000	112.98	10/21/20	254.00	...	-141.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>405.96</b>		<b>494.00</b>	...	<b>-88.04</b>	
AAPL 12/18/2020 CALL \$117.50 / CUSIP: / Symbol:							
09/24/20	1.000	614.97	09/15/20	900.00	285.03 W	0.00	Option sale to close-call <sup>25</sup>
09/28/20	1.000	827.97	09/24/20	885.03	57.06 W	0.00	Option sale to close-call <sup>25</sup>
10/05/20	1.000	839.97	09/28/20	857.06	17.09 W	0.00	Option sale to close-call <sup>25</sup>
10/15/20	1.000	999.96	10/06/20	817.09	...	182.87	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,282.87</b>		<b>3,459.18</b>	<b>359.18 W</b>	<b>182.87</b>	
AAPL 12/18/2020 CALL \$175.00 / CUSIP: / Symbol:							
09/03/20	2.000	669.97	08/28/20	462.50	...	207.47	Option sale to close-call <sup>25</sup>
	5 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	88.00	09/08/20	334.26	...	-246.26	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	88.00	09/08/20	309.25	...	-221.25	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	87.99	09/09/20	353.00	...	-265.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	88.00	09/09/20	323.00	...	-235.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	87.99	09/10/20	209.01	...	-121.02	5 of 5 - Option sale to close-call <sup>25</sup>
09/15/20	5.000	439.98	Various	1,528.52	...	-1,088.54	Total of 5 transactions

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/18/2020 CALL \$175.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	2.000	173.99	08/28/20	462.50	288.51 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	87.00	09/03/20	300.00	213.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	87.00	09/03/20	270.00	183.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	86.99	09/04/20	176.00	89.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/15/20	5.000	434.98	Various	1,208.50	773.52 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,544.93</b>		<b>3,199.52</b>	<b>773.52 W</b>	<b>-881.07</b>	
AAPL 12/18/2020 CALL \$180.00 / CUSIP: / Symbol:							
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	83.00	09/04/20	285.27	...	-202.27	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	82.99	09/04/20	278.26	...	-195.27	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	81.99	09/08/20	281.26	...	-199.27	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	81.99	09/09/20	256.26	...	-174.27	4 of 4 - Option sale to close-call <sup>25</sup>
09/15/20	4.000	329.97	Various	1,101.05	...	-771.08	Total of 4 transactions
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	82.98	08/28/20	211.25	128.27 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	165.98	08/28/20	422.50	256.52 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	82.99	08/28/20	211.25	128.26 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/15/20	4.000	331.95	Various	845.00	513.05 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>661.92</b>		<b>1,946.05</b>	<b>513.05 W</b>	<b>-771.08</b>	
ACI 08/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/08/20	1.000	139.98	07/07/20	135.02	...	4.96	Option sale to close-call <sup>25</sup>
07/08/20	1.000	139.98	07/07/20	145.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
07/14/20	1.000	99.99	07/09/20	125.00	...	-25.01	Option sale to close-call <sup>25</sup>
07/14/20	1.000	99.99	07/09/20	130.00	30.01 W	0.00	Option sale to close-call <sup>25</sup>
07/15/20	1.000	109.98	07/09/20	145.01	...	-35.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>589.92</b>		<b>680.03</b>	<b>35.03 W</b>	<b>-55.08</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADAP 06/19/2020 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	3.000	14.99	05/29/20	45.00	...	-30.01	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	9.99	05/29/20	30.00	...	-20.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/01/20	5.000	24.98	Various	75.00	...	-50.02	Total of 2 transactions
AMC 06/12/2020 PUT \$5.00 / CUSIP: / Symbol:							
06/11/20	5.000	74.98	06/11/20	75.00	...	-0.02	Option sale to close-put <sup>25</sup>
AMCR 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	5.000	599.98	07/06/20	535.00	...	64.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.99	07/08/20	100.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/16/20	6.000	719.97	Various	635.00	...	84.97	Total of 2 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	70.00	07/09/20	95.00	25.00 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	279.98	07/16/20	460.00	180.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	5.000	349.98	Various	555.00	205.02 W	0.00	Total of 2 transactions
6 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	80.00	07/16/20	140.00	60.00 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	80.00	07/17/20	155.01	75.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	239.98	07/23/20	465.01	225.03 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	85.00	07/23/20	165.00	80.00 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	85.00	07/23/20	180.01	95.01 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	254.98	07/23/20	540.03	285.05 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/04/20	10.000	824.96	Various	1,645.06	820.10 W	0.00	Total of 6 transactions
6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	07/23/20	185.00	90.01 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	95.00	07/23/20	200.01	105.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	284.98	07/23/20	600.05	315.07 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	94.99	07/29/20	175.01	80.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	95.00	07/29/20	190.01	95.01 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	3.000	284.98	07/29/20	570.07	285.09 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/05/20	10.000	949.94	Various	1,920.15	970.21 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMCR 08/21/2020 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	07/30/20	150.02	50.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	100.00	07/30/20	165.01	65.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	299.98	07/30/20	495.09	195.11 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/06/20	5.000	499.97	Various	810.12	310.15 W	0.00	Total of 3 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	3.000	314.98	07/31/20	345.11	...	-30.13	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	105.00	07/31/20	115.01	...	-10.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	104.99	07/31/20	100.03	...	4.96	3 of 3 - Option sale to close-call <sup>25</sup>
08/10/20	5.000	524.97	Various	560.15	...	-35.18	Total of 3 transactions
	<b>Security total:</b>	<b>3,869.79</b>		<b>6,125.48</b>	<b>2,305.48 W</b>	<b>49.79</b>	
AMCR 08/21/2020 CALL \$9.00 / CUSIP: / Symbol:							
07/14/20	1.000	174.99	07/09/20	170.00	...	4.99	Option sale to close-call <sup>25</sup>
07/14/20	1.000	174.99	07/08/20	175.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
07/27/20	1.000	179.98	07/23/20	180.01	0.03 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	189.99	07/29/20	165.03	...	24.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.99	07/29/20	165.00	...	24.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	379.98	Various	330.03	...	49.95	Total of 2 transactions
	<b>Security total:</b>	<b>909.94</b>		<b>855.04</b>	<b>0.04 W</b>	<b>54.94</b>	
AOS 08/21/2020 CALL \$50.00 / CUSIP: / Symbol:							
07/27/20	1.000	299.98	07/14/20	190.00	...	109.98	Option sale to close-call <sup>25</sup>
9 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	50.00	07/30/20	260.00	...	-210.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	49.99	07/30/20	200.00	...	-150.01	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	49.99	07/30/20	170.00	...	-120.01	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	50.00	07/30/20	160.00	...	-110.00	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	49.99	07/30/20	140.00	...	-90.01	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	50.00	07/30/20	120.00	...	-70.00	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	49.99	07/31/20	260.00	...	-210.01	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	50.00	07/31/20	240.01	...	-190.01	8 of 9 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AOS 08/21/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	1.000	49.99	07/31/20	230.00	...	-180.01	9 of 9 - Option sale to close-call <sup>25</sup>
08/03/20	9.000	449.95	Various	1,780.01	...	-1,330.06	Total of 9 transactions
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	50.00	07/16/20	260.00	210.00 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	50.00	07/22/20	240.00	190.00 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	99.99	07/23/20	500.00	200.01 W	-200.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/03/20	4.000	199.99	Various	1,000.00	600.01 W	-200.00	Total of 3 transactions
	<b>Security total:</b>	<b>949.92</b>		<b>2,970.01</b>	<b>600.01 W</b>	<b>-1,420.08</b>	
AOS 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
7 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/30/20	180.00	...	-180.00	1 of 7 - Option expiration- long call
	1.000	0.00	07/30/20	40.00	...	-40.00	2 of 7 - Option expiration- long call
	1.000	0.00	07/30/20	30.00	...	-30.00	3 of 7 - Option expiration- long call
	1.000	0.00	07/30/20	28.00	...	-28.00	4 of 7 - Option expiration- long call
	1.000	0.00	07/30/20	20.00	...	-20.00	5 of 7 - Option expiration- long call
	1.000	0.00	07/30/20	15.00	...	-15.00	6 of 7 - Option expiration- long call
	1.000	0.00	07/30/20	9.00	...	-9.00	7 of 7 - Option expiration- long call
08/21/20	9.000	0.00	Various	322.00	...	-322.00	Total of 7 transactions
ATVI 06/19/2020 CALL \$76.50 / CUSIP: / Symbol:							
06/17/20	2.000	183.98	06/17/20	180.00	...	3.98	Option sale to close-call <sup>25</sup>
AZUL 06/19/2020 PUT \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	99.98	06/11/20	75.00	...	24.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	99.98	06/11/20	75.00	...	24.98	2 of 2 - Option sale to close-put <sup>25</sup>
06/11/20	2.000	199.96	Various	150.00	...	49.96	Total of 2 transactions

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Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AZUL 06/19/2020 PUT \$7.50 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/11/20	30.00	...	-30.00	1 of 3 - Option expiration- long put
	1.000	0.00	06/11/20	28.00	...	-28.00	2 of 3 - Option expiration- long put
	1.000	0.00	06/11/20	28.00	...	-28.00	3 of 3 - Option expiration- long put
06/19/20	3.000	0.00	Various	86.00	...	-86.00	Total of 3 transactions
BA 06/12/2020 CALL \$220.00 / CUSIP: / Symbol:							
06/11/20	1.000	24.98	06/10/20	480.00	...	-455.02	Option sale to close-call <sup>25</sup>
BA 07/10/2020 CALL \$182.50 / CUSIP: / Symbol:							
06/30/20	1.000	959.96	06/30/20	880.00	...	79.96	Option sale to close-call <sup>25</sup>
06/30/20	1.000	829.97	06/30/20	860.00	30.03 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	759.97	07/01/20	760.00	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	869.97	07/01/20	795.03	...	74.94	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	1,629.94	Various	1,555.03	...	74.91	Total of 2 transactions
	<b>Security total:</b>	<b>3,419.87</b>		<b>3,295.03</b>	<b>30.03 W</b>	<b>154.87</b>	
BA 07/10/2020 CALL \$190.00 / CUSIP: / Symbol:							
06/30/20	1.000	834.97	06/29/20	1,100.00	...	-265.03	Option sale to close-call <sup>25</sup>
BA 07/10/2020 CALL \$192.50 / CUSIP: / Symbol:							
06/29/20	1.000	1,134.96	06/29/20	1,085.00	...	49.96	Option sale to close-call <sup>25</sup>
BA 07/17/2020 PUT \$185.00 / CUSIP: / Symbol:							
07/06/20	1.000	829.97	07/06/20	850.00	...	-20.03	Option sale to close-put <sup>25</sup>
BA 08/21/2020 CALL \$185.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	1,774.95	07/01/20	1,730.00	...	44.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,699.95	07/06/20	1,660.00	...	39.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	3,474.90	Various	3,390.00	...	84.90	Total of 2 transactions
07/08/20	1.000	1,579.95	07/07/20	1,675.00	95.05 W	0.00	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/21/2020 CALL \$185.00 / CUSIP: / Symbol: (cont'd)							
07/10/20	1.000	1,399.95	07/09/20	1,970.09	...	-570.14	Option sale to close-call <sup>25</sup>
07/10/20	1.000	1,124.96	07/07/20	1,715.05	590.09 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,579.76</b>		<b>8,750.14</b>	<b>685.14 W</b>	<b>-485.24</b>	
BA 08/28/2020 CALL \$175.00 / CUSIP: / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	499.98	08/18/20	495.01	...	4.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	499.98	08/19/20	360.02	...	139.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	599.97	08/20/20	249.00	...	350.97	3 of 3 - Option sale to close-call <sup>25</sup>
08/24/20	3.000	1,599.93	Various	1,104.03	...	495.90	Total of 3 transactions
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	399.99	08/17/20	520.00	120.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	08/18/20	440.00	40.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	799.97	Various	960.00	160.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,399.90</b>		<b>2,064.03</b>	<b>160.03 W</b>	<b>495.90</b>	
BA 09/04/2020 CALL \$175.00 / CUSIP: / Symbol:							
08/27/20	1.000	719.97	08/26/20	420.00	...	299.97	Option sale to close-call <sup>25</sup>
BA 09/11/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	519.97	09/01/20	505.03	...	14.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	09/02/20	475.00	...	24.97	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	1,019.94	Various	980.03	...	39.91	Total of 2 transactions
09/02/20	1.000	509.97	08/31/20	600.00	90.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,529.91</b>		<b>1,580.03</b>	<b>90.03 W</b>	<b>39.91</b>	
BA 10/02/2020 PUT \$165.00 / CUSIP: / Symbol:							
09/29/20	1.000	449.98	09/28/20	340.00	...	109.98	Option sale to close-put <sup>25</sup>
BABA 01/15/2021 CALL \$255.00 / CUSIP: / Symbol:							
11/20/20	1.000	2,499.93	11/18/20	1,560.00	...	939.93	Option sale to close-call <sup>25</sup>
BABA 01/15/2021 CALL \$305.00 / CUSIP: / Symbol:							
11/18/20	1.000	252.98	11/17/20	310.00	...	-57.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 02/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/24/20	1.000	689.97	12/24/20	640.00	...	49.97	Option sale to close-call <sup>25</sup>
BABA 08/21/2020 CALL \$247.50 / CUSIP: / Symbol:							
08/17/20	1.000	1,199.96	08/14/20	1,150.00	...	49.96	Option sale to close-call <sup>25</sup>
BABA 08/21/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/12/20	1.000	1,074.96	08/10/20	1,000.00	...	74.96	Option sale to close-call <sup>25</sup>
08/17/20	1.000	1,039.96	08/13/20	1,030.00	...	9.96	Option sale to close-call <sup>25</sup>
08/18/20	1.000	1,299.96	08/14/20	930.00	...	369.96	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>3,414.88</b>		<b>2,960.00</b>	...	<b>454.88</b>	
BABA 10/30/2020 CALL \$310.00 / CUSIP: / Symbol:							
10/27/20	1.000	969.96	10/22/20	460.00	...	509.96	Option sale to close-call <sup>25</sup>
BABA 10/30/2020 CALL \$312.50 / CUSIP: / Symbol:							
10/27/20	1.000	754.97	10/21/20	520.00	...	234.97	Option sale to close-call <sup>25</sup>
10/29/20	1.000	319.98	10/23/20	287.00	...	32.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,074.95</b>		<b>807.00</b>	...	<b>267.95</b>	
BABA 12/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
11/27/20	1.000	65.98	11/24/20	125.00	...	-59.02	Option sale to close-call <sup>25</sup>
BIDU 11/20/2020 CALL \$150.00 / CUSIP: / Symbol:							
11/16/20	1.000	449.98	11/13/20	270.00	...	179.98	Option sale to close-call <sup>25</sup>
BMY 08/21/2020 CALL \$59.00 / CUSIP: / Symbol:							
<i>6 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	280.99	07/20/20	270.00	...	10.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	280.99	07/20/20	255.00	...	25.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	280.99	07/21/20	266.00	...	14.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	280.99	07/21/20	251.00	...	29.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	279.98	07/22/20	250.00	...	29.98	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	299.98	07/22/20	245.00	...	54.98	6 of 6 - Option sale to close-call <sup>25</sup>
07/23/20	6.000	1,703.92	Various	1,537.00	...	166.92	Total of 6 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 08/21/2020 CALL \$59.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	246.99	07/24/20	200.00	...	46.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	246.99	07/27/20	183.00	...	63.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	247.00	07/27/20	183.00	...	64.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	246.99	07/27/20	172.00	...	74.99	4 of 4 - Option sale to close-call <sup>25</sup>
08/03/20	4.000	987.97	Various	738.00	...	249.97	Total of 4 transactions
08/03/20	1.000	246.99	07/23/20	285.00	38.01 W	0.00	Option sale to close-call <sup>25</sup>
5 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	329.99	07/27/20	215.01	...	114.98	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	329.98	07/29/20	188.00	...	141.98	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	329.99	07/29/20	188.00	...	141.99	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	329.98	08/04/20	197.00	...	132.98	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	354.98	08/04/20	197.00	...	157.98	5 of 5 - Option sale to close-call <sup>25</sup>
08/06/20	5.000	1,674.92	Various	985.01	...	689.91	Total of 5 transactions
	<b>Security total:</b>	<b>4,613.80</b>		<b>3,545.01</b>	<b>38.01 W</b>	<b>1,106.80</b>	
BMY 08/21/2020 CALL \$60.00 / CUSIP: / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	2.000	439.99	07/22/20	420.00	...	19.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	219.99	07/22/20	195.00	...	24.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	219.99	07/22/20	185.00	...	34.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/23/20	4.000	879.97	Various	800.00	...	79.97	Total of 3 transactions
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	219.99	07/20/20	220.00	0.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	439.99	07/21/20	440.00	0.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	3.000	659.98	Various	660.00	0.02 W	0.00	Total of 2 transactions
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	1.000	151.99	07/23/20	230.01	78.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	303.98	07/23/20	460.01	156.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/27/20	3.000	455.97	Various	690.02	234.05 W	0.00	Total of 2 transactions
07/28/20	1.000	189.99	07/24/20	188.01	...	1.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 08/21/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
12 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	169.99	07/23/20	308.02	138.03 W	0.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	169.99	07/23/20	230.00	60.01 W	0.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	169.99	07/23/20	293.02	123.03 W	0.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	184.99	07/23/20	353.03	168.04 W	0.00	4 of 12 - Option sale to close-call <sup>25</sup>
	1.000	184.99	07/23/20	338.03	153.04 W	0.00	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	184.99	07/23/20	293.01	108.02 W	0.00	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	185.00	07/23/20	275.01	90.01 W	0.00	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	184.99	07/23/20	213.00	28.01 W	0.00	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	189.99	07/24/20	348.04	158.05 W	0.00	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	189.99	07/24/20	333.04	143.05 W	0.00	10 of 12 - Option sale to close-call <sup>25</sup>
	1.000	189.99	07/24/20	288.02	98.03 W	0.00	11 of 12 - Option sale to close-call <sup>25</sup>
	1.000	190.00	07/24/20	260.01	70.01 W	0.00	12 of 12 - Option sale to close-call <sup>25</sup>
07/28/20	12.000	2,194.90	Various	3,532.23	1,337.33 W	0.00	Total of 12 transactions
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	173.99	07/24/20	135.00	...	38.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.99	07/27/20	196.01	...	3.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	2.000	373.98	Various	331.01	...	42.97	Total of 2 transactions

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Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 08/21/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
<i>10 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	181.99	07/24/20	318.05	136.06 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	181.99	07/24/20	303.05	121.06 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	173.99	07/24/20	258.03	84.04 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	174.00	07/24/20	230.01	56.01 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	173.99	07/24/20	276.06	102.07 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	174.00	07/24/20	261.06	87.06 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	199.98	07/27/20	224.04	24.06 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	199.99	07/27/20	240.07	40.08 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	200.00	07/28/20	267.06	67.06 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>
	1.000	199.99	07/28/20	204.06	4.07 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
08/03/20	10.000	1,859.92	Various	2,581.49	721.57 W	0.00	Total of 10 transactions
08/05/20	1.000	224.98	07/29/20	198.08	...	26.90	Option sale to close-call <sup>25</sup>
08/05/20	1.000	224.98	07/29/20	225.06	0.08 W	0.00	Option sale to close-call <sup>25</sup>
<i>7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	264.99	07/29/20	144.07	...	120.92	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	264.98	07/31/20	125.08	...	139.90	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	299.98	07/31/20	125.00	...	174.98	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	229.98	08/03/20	175.00	...	54.98	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	299.98	08/03/20	175.00	...	124.98	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	299.98	08/03/20	175.00	...	124.98	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	309.98	08/03/20	175.00	...	134.98	7 of 7 - Option sale to close-call <sup>25</sup>
08/06/20	7.000	1,969.87	Various	1,094.15	...	875.72	Total of 7 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BMY 08/21/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
5 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	179.99	08/03/20	175.00	...	4.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	179.99	08/07/20	165.00	...	14.99	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	189.98	08/07/20	155.00	...	34.98	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	199.99	08/07/20	150.00	...	49.99	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	199.99	08/07/20	150.00	...	49.99	5 of 5 - Option sale to close-call <sup>25</sup>
08/10/20	5.000	949.94	Various	795.00	...	154.94	Total of 5 transactions
	<b>Security total:</b>	<b>9,984.48</b>		<b>11,095.05</b>	<b>2,293.05 W</b>	<b>1,182.48</b>	
BMY 09/11/2020 CALL \$60.00 / CUSIP: / Symbol:							
09/02/20	1.000	188.98	09/01/20	154.00	...	34.98	Option sale to close-call <sup>25</sup>
BRKB 08/21/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/06/20	1.000	479.97	07/06/20	470.00	...	9.97	Option sale to close-call <sup>25</sup>
BRKB 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/02/20	1.000	399.98	08/31/20	280.00	...	119.98	Option sale to close-call <sup>25</sup>
BRKB 10/30/2020 CALL \$210.00 / CUSIP: / Symbol:							
10/22/20	1.000	304.98	10/21/20	256.00	...	48.98	Option sale to close-call <sup>25</sup>
BRKB 10/30/2020 CALL \$212.50 / CUSIP: / Symbol:							
10/07/20	1.000	499.97	10/06/20	400.00	...	99.97	Option sale to close-call <sup>25</sup>
10/21/20	1.000	199.98	10/14/20	310.00	110.02 W	0.00	Option sale to close-call <sup>25</sup>
10/23/20	1.000	224.98	10/19/20	300.02	75.04 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/19/20	215.04	...	-215.04	1 of 3 - Option expiration- long call
	1.000	0.00	10/26/20	100.00	...	-100.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/27/20	32.00	...	-32.00	3 of 3 - Option expiration- long call
10/30/20	3.000	0.00	Various	347.04	...	-347.04	Total of 3 transactions
	<b>Security total:</b>	<b>924.93</b>		<b>1,357.06</b>	<b>185.06 W</b>	<b>-247.07</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRKB 10/30/2020 CALL \$215.00 / CUSIP: / Symbol:							
5 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/13/20	400.00	...	-400.00	1 of 5 - Option expiration- long call
	1.000	0.00	10/13/20	300.00	...	-300.00	2 of 5 - Option expiration- long call
	1.000	0.00	10/19/20	80.00	...	-80.00	3 of 5 - Option expiration- long call
	1.000	0.00	10/26/20	36.00	...	-36.00	4 of 5 - Option expiration- long call
	1.000	0.00	10/26/20	34.00	...	-34.00	5 of 5 - Option expiration- long call
10/30/20	5.000	0.00	Various	850.00	...	-850.00	Total of 5 transactions
BYND 06/12/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	161.99	06/08/20	300.00	...	-138.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	80.99	06/08/20	110.00	...	-29.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	3.000	242.98	Various	410.00	...	-167.02	Total of 2 transactions
BYND 06/26/2020 CALL \$160.00 / CUSIP: / Symbol:							
06/18/20	1.000	669.97	06/18/20	600.00	...	69.97	Option sale to close-call <sup>25</sup>
06/19/20	1.000	779.97	06/18/20	630.00	...	149.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,449.94</b>		<b>1,230.00</b>	...	<b>219.94</b>	
BYND 06/26/2020 PUT \$160.00 / CUSIP: / Symbol:							
06/19/20	1.000	589.97	06/19/20	540.00	...	49.97	Option sale to close-put <sup>25</sup>
BYND 07/02/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/01/20	1.000	202.98	07/01/20	390.00	...	-187.02	Option sale to close-call <sup>25</sup>
BYND 07/02/2020 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	80.00	06/22/20	480.00	...	-400.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	80.00	06/23/20	240.00	...	-160.00	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	159.99	06/25/20	200.00	...	-40.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	79.99	06/25/20	85.00	...	-5.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/26/20	5.000	399.98	Various	1,005.00	...	-605.02	Total of 4 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 07/10/2020 CALL \$145.00 / CUSIP: / Symbol:							
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	459.97	07/01/20	680.00	220.03 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/01/20	820.03	370.05 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	529.97	07/01/20	870.05	340.08 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/01/20	3.000	1,439.92	Various	2,370.08	930.16 W	0.00	Total of 3 transactions
07/02/20	1.000	489.97	07/02/20	475.14	...	14.83	Option sale to close-call <sup>25</sup>
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	624.97	07/01/20	760.08	135.11 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	459.97	07/01/20	535.11	75.14 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	1,084.94	Various	1,295.19	210.25 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,014.83</b>		<b>4,140.41</b>	<b>1,140.41 W</b>	<b>14.83</b>	
BYND 07/10/2020 CALL \$146.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	699.97	07/01/20	640.00	...	59.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/01/20	670.00	...	129.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	1,499.94	Various	1,310.00	...	189.94	Total of 2 transactions
BYND 07/10/2020 CALL \$148.00 / CUSIP: / Symbol:							
07/01/20	1.000	849.97	07/01/20	800.00	...	49.97	Option sale to close-call <sup>25</sup>
07/06/20	1.000	299.98	07/01/20	780.00	...	-480.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,149.95</b>		<b>1,580.00</b>	...	<b>-430.05</b>	
BYND 07/10/2020 CALL \$149.00 / CUSIP: / Symbol:							
07/02/20	1.000	349.98	07/02/20	770.02	...	-420.04	Option sale to close-call <sup>25</sup>
07/02/20	1.000	329.98	07/01/20	770.00	440.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>679.96</b>		<b>1,540.02</b>	<b>440.02 W</b>	<b>-420.04</b>	
BYND 07/10/2020 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	849.97	07/01/20	780.00	...	69.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	949.96	07/01/20	780.00	...	169.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	1,799.93	Various	1,560.00	...	239.93	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	669.97	07/07/20	620.00	...	49.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	664.97	07/07/20	600.00	...	64.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	1,334.94	Various	1,220.00	...	114.94	Total of 2 transactions
BYND 07/17/2020 CALL \$143.00 / CUSIP: / Symbol:							
07/06/20	1.000	659.97	07/06/20	620.00	...	39.97	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$145.00 / CUSIP: / Symbol:							
07/06/20	1.000	609.97	07/06/20	585.06	...	24.91	Option sale to close-call <sup>25</sup>
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	609.97	07/02/20	620.00	10.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	609.97	07/02/20	610.03	0.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	1,219.94	Various	1,230.03	10.09 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,829.91</b>		<b>1,815.09</b>	<b>10.09 W</b>	<b>24.91</b>	
BYND 07/24/2020 CALL \$129.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	754.97	07/13/20	700.00	...	54.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	784.97	07/13/20	700.00	...	84.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	1,539.94	Various	1,400.00	...	139.94	Total of 2 transactions
BYND 07/24/2020 CALL \$131.00 / CUSIP: / Symbol:							
07/13/20	1.000	684.97	07/13/20	700.00	...	-15.03	Option sale to close-call <sup>25</sup>
BYND 08/28/2020 CALL \$124.00 / CUSIP: / Symbol:							
08/20/20	1.000	399.98	08/19/20	330.00	...	69.98	Option sale to close-call <sup>25</sup>
BYND 08/28/2020 CALL \$125.00 / CUSIP: / Symbol:							
08/26/20	1.000	230.98	08/24/20	257.00	...	-26.02	Option sale to close-call <sup>25</sup>
BYND 09/04/2020 CALL \$125.00 / CUSIP: / Symbol:							
08/26/20	1.000	449.98	08/24/20	400.00	...	49.98	Option sale to close-call <sup>25</sup>
08/27/20	1.000	799.97	08/25/20	320.00	...	479.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,249.95</b>		<b>720.00</b>	...	<b>529.95</b>	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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BYND 09/25/2020 PUT \$149.00 / CUSIP: / Symbol:							
09/18/20	1.000	464.97	09/15/20	700.00	...	-235.03	Option sale to close-put <sup>25</sup>
BYND 09/25/2020 PUT \$155.00 / CUSIP: / Symbol:							
09/17/20	1.000	799.97	09/16/20	590.00	...	209.97	Option sale to close-put <sup>25</sup>
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	774.97	09/17/20	510.00	...	264.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	829.97	09/17/20	510.00	...	319.97	2 of 2 - Option sale to close-put <sup>25</sup>
09/18/20	2.000	1,604.94	Various	1,020.00	...	584.94	Total of 2 transactions
	<b>Security total:</b>	<b>2,404.91</b>		<b>1,610.00</b>	...	<b>794.91</b>	
BYND 10/02/2020 PUT \$160.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/29/20	200.00	...	-200.00	1 of 2 - Option expiration- long put
	1.000	0.00	10/01/20	20.00	...	-20.00	2 of 2 - Option expiration- long put
10/02/20	2.000	0.00	Various	220.00	...	-220.00	Total of 2 transactions
BYND 10/09/2020 PUT \$155.00 / CUSIP: / Symbol:							
6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/29/20	380.00	...	-380.00	1 of 6 - Option expiration- long put
	1.000	0.00	10/01/20	125.00	...	-125.00	2 of 6 - Option expiration- long put
	1.000	0.00	10/05/20	58.00	...	-58.00	3 of 6 - Option expiration- long put
	1.000	0.00	10/06/20	20.00	...	-20.00	4 of 6 - Option expiration- long put
	1.000	0.00	10/06/20	15.00	...	-15.00	5 of 6 - Option expiration- long put
	1.000	0.00	10/06/20	15.00	...	-15.00	6 of 6 - Option expiration- long put
10/09/20	6.000	0.00	Various	613.00	...	-613.00	Total of 6 transactions
BYND 12/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
11/27/20	1.000	649.97	11/24/20	600.00	...	49.97	Option sale to close-call <sup>25</sup>
CAT 09/25/2020 PUT \$155.00 / CUSIP: / Symbol:							
09/18/20	1.000	394.98	09/17/20	255.00	...	139.98	Option sale to close-put <sup>25</sup>
09/21/20	1.000	749.97	09/18/20	230.00	...	519.97	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,144.95</b>		<b>485.00</b>	...	<b>659.95</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAT 10/02/2020 PUT \$150.00 / CUSIP: / Symbol:							
09/29/20	1.000	329.98	09/28/20	230.00	...	99.98	Option sale to close-put <sup>25</sup>
10/01/20	1.000	239.98	09/30/20	130.00	...	109.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>569.96</b>		<b>360.00</b>	...	<b>209.96</b>	
CAT 10/09/2020 PUT \$150.00 / CUSIP: / Symbol:							
4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/02/20	250.00	...	-250.00	1 of 4 - Option expiration- long put
	1.000	0.00	10/05/20	61.00	...	-61.00	2 of 4 - Option expiration- long put
	1.000	0.00	10/06/20	40.00	...	-40.00	3 of 4 - Option expiration- long put
	1.000	0.00	10/07/20	25.00	...	-25.00	4 of 4 - Option expiration- long put
10/09/20	4.000	0.00	Various	376.00	...	-376.00	Total of 4 transactions
CAT 10/09/2020 PUT \$152.50 / CUSIP: / Symbol:							
10/06/20	1.000	199.98	10/05/20	200.00	...	-0.02	Option sale to close-put <sup>25</sup>
CCL 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
09/16/20	1.000	399.98	09/15/20	365.00	...	34.98	Option sale to close-call <sup>25</sup>
CCL 05/22/2020 CALL \$14.50 / CUSIP: / Symbol:							
05/19/20	1.000	69.98	05/18/20	65.00	...	4.98	Option sale to close-call <sup>25</sup>
CCL 05/29/2020 CALL \$17.00 / CUSIP: / Symbol:							
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	49.98	05/27/20	35.00	...	14.98	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	49.99	05/27/20	35.00	...	14.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	49.99	05/27/20	35.00	...	14.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	50.00	05/27/20	35.00	...	15.00	4 of 6 - Option sale to close-call <sup>25</sup>
	2.000	99.99	05/27/20	70.00	...	29.99	5 of 6 - Option sale to close-call <sup>25</sup>
	4.000	199.99	05/27/20	140.00	...	59.99	6 of 6 - Option sale to close-call <sup>25</sup>
05/27/20	10.000	499.94	Various	350.00	...	149.94	Total of 6 transactions
05/29/20	10.000	0.00	05/28/20	200.00	...	-200.00	Option expiration- long call
	<b>Security total:</b>	<b>499.94</b>		<b>550.00</b>	...	<b>-50.06</b>	
CHK 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/08/20	1.000	2,199.94	06/08/20	1,400.00	...	799.94	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHWY 09/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/08/20	555.00	...	-555.00	Option expiration- long call
CL 09/04/2020 PUT \$77.00 / CUSIP: / Symbol:							
7 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	17.00	08/12/20	140.00	123.00 W	0.00	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	17.00	08/12/20	140.00	123.00 W	0.00	2 of 7 - Option sale to close-put <sup>25</sup>
	2.000	33.99	08/12/20	260.00	226.01 W	0.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	17.00	08/12/20	120.00	103.00 W	0.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	17.00	08/12/20	110.00	93.00 W	0.00	5 of 7 - Option sale to close-put <sup>25</sup>
	4.000	67.99	08/14/20	480.00	412.01 W	0.00	6 of 7 - Option sale to close-put <sup>25</sup>
	5.000	84.98	08/17/20	450.00	365.02 W	0.00	7 of 7 - Option sale to close-put <sup>25</sup>
08/27/20	15.000	254.96	Various	1,700.00	1,445.04 W	0.00	Total of 7 transactions
7 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	4.000	103.99	08/18/20	652.01	...	-548.02	1 of 7 - Option sale to close-put <sup>25</sup>
	2.000	51.99	08/18/20	386.01	...	-334.02	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/18/20	203.00	...	-177.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/18/20	203.00	...	-177.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/18/20	183.00	...	-157.00	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	26.00	08/18/20	153.00	...	-127.00	6 of 7 - Option sale to close-put <sup>25</sup>
	5.000	129.98	08/19/20	675.02	...	-545.04	7 of 7 - Option sale to close-put <sup>25</sup>
08/28/20	15.000	389.96	Various	2,455.04	...	-2,065.08	Total of 7 transactions
	<b>Security total:</b>	<b>644.92</b>		<b>4,155.04</b>	<b>1,445.04 W</b>	<b>-2,065.08</b>	
CL 09/04/2020 PUT \$77.50 / CUSIP: / Symbol:							
19 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	33.99	08/12/20	132.00	98.01 W	0.00	1 of 19 - Option sale to close-put <sup>25</sup>
	1.000	33.99	08/12/20	135.00	101.01 W	0.00	2 of 19 - Option sale to close-put <sup>25</sup>
	1.000	33.99	08/12/20	234.01	200.02 W	0.00	3 of 19 - Option sale to close-put <sup>25</sup>
	1.000	33.99	08/14/20	246.01	212.02 W	0.00	4 of 19 - Option sale to close-put <sup>25</sup>
	1.000	35.00	08/14/20	340.02	305.02 W	0.00	5 of 19 - Option sale to close-put <sup>25</sup>
	1.000	34.99	08/14/20	350.02	315.03 W	0.00	6 of 19 - Option sale to close-put <sup>25</sup>
	1.000	35.00	08/14/20	138.00	103.00 W	0.00	7 of 19 - Option sale to close-put <sup>25</sup>
	1.000	34.99	08/17/20	118.00	83.01 W	0.00	8 of 19 - Option sale to close-put <sup>25</sup>
	1.000	35.00	08/17/20	115.00	80.00 W	0.00	9 of 19 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CL 09/04/2020 PUT \$77.50 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	08/17/20	415.02	375.02 W	0.00	10 of 19 - Option sale to close-put <sup>25</sup>
	1.000	39.99	08/18/20	416.03	376.04 W	0.00	11 of 19 - Option sale to close-put <sup>25</sup>
	1.000	40.00	08/18/20	204.00	164.00 W	0.00	12 of 19 - Option sale to close-put <sup>25</sup>
	1.000	39.99	08/18/20	183.01	143.02 W	0.00	13 of 19 - Option sale to close-put <sup>25</sup>
	1.000	40.00	08/18/20	180.00	140.00 W	0.00	14 of 19 - Option sale to close-put <sup>25</sup>
	1.000	40.00	08/18/20	465.02	425.02 W	0.00	15 of 19 - Option sale to close-put <sup>25</sup>
	1.000	39.99	08/18/20	466.04	426.05 W	0.00	16 of 19 - Option sale to close-put <sup>25</sup>
	1.000	40.00	08/18/20	247.00	207.00 W	0.00	17 of 19 - Option sale to close-put <sup>25</sup>
	1.000	39.99	08/18/20	223.02	183.03 W	0.00	18 of 19 - Option sale to close-put <sup>25</sup>
	1.000	40.00	08/19/20	215.00	175.00 W	0.00	19 of 19 - Option sale to close-put <sup>25</sup>
08/28/20	19.000	710.90	Various	4,822.20	4,111.30 W	0.00	Total of 19 transactions
	5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	1.000	30.00	08/19/20	500.02	...	-470.02	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	29.99	08/24/20	458.05	...	-428.06	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	30.00	08/24/20	239.00	...	-209.00	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	29.99	08/24/20	213.03	...	-183.04	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	30.00	08/24/20	205.00	...	-175.00	5 of 5 - Option sale to close-put <sup>25</sup>
09/01/20	5.000	149.98	Various	1,615.10	...	-1,465.12	Total of 5 transactions
	<b>Security total:</b>	<b>860.88</b>		<b>6,437.30</b>	<b>4,111.30 W</b>	<b>-1,465.12</b>	
CL 09/04/2020 PUT \$78.00 / CUSIP: / Symbol:							
08/21/20	5.000	499.97	08/20/20	450.00	...	49.97	Option sale to close-put <sup>25</sup>
08/28/20	5.000	249.98	08/21/20	375.00	...	-125.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>749.95</b>		<b>825.00</b>	...	<b>-75.05</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CNK 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/08/20	1.000	214.98	10/05/20	195.00	...	19.98	Option sale to close-call <sup>25</sup>
10/20/20	1.000	174.98	10/12/20	190.00	...	-15.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>389.96</b>		<b>385.00</b>	...	<b>4.96</b>	
CNK 08/21/2020 CALL \$12.50 / CUSIP: / Symbol:							
08/07/20	1.000	59.98	08/06/20	35.00	...	24.98	Option sale to close-call <sup>25</sup>
CNK 09/18/2020 CALL \$12.50 / CUSIP: / Symbol:							
08/25/20	1.000	189.98	08/18/20	85.00	...	104.98	Option sale to close-call <sup>25</sup>
CNK 09/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/27/20	1.000	84.98	08/26/20	70.00	...	14.98	Option sale to close-call <sup>25</sup>
09/18/20	1.000	0.00	09/10/20	25.00	...	-25.00	Option expiration- long call
	<b>Security total:</b>	<b>84.98</b>		<b>95.00</b>	...	<b>-10.02</b>	
CRM 09/04/2020 PUT \$245.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	11.99	08/26/20	167.00	...	-155.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	11.99	08/27/20	65.00	...	-53.01	2 of 2 - Option sale to close-put <sup>25</sup>
09/02/20	2.000	23.98	Various	232.00	...	-208.02	Total of 2 transactions
CRWD 06/12/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/11/20	1.000	199.98	06/11/20	180.00	...	19.98	Option sale to close-call <sup>25</sup>
CRWD 07/24/2020 CALL \$114.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	5.99	07/13/20	300.00	...	-294.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.00	07/13/20	300.00	...	-294.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	11.99	Various	600.00	...	-588.01	Total of 2 transactions
07/23/20	1.000	5.99	07/13/20	500.00	494.01 W	0.00	Option sale to close-call <sup>25</sup>
07/24/20	1.000	0.00	07/17/20	524.01	...	-524.01	Option expiration- long call
	<b>Security total:</b>	<b>17.98</b>		<b>1,624.01</b>	<b>494.01 W</b>	<b>-1,112.02</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1,649.95	09/01/20	650.00	...	999.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,459.95	09/02/20	1,360.00	...	99.95	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	3,109.90	Various	2,010.00	...	1,099.90	Total of 2 transactions
CRWD 09/11/2020 CALL \$103.00 / CUSIP: / Symbol:							
08/14/20	1.000	799.97	08/07/20	725.00	...	74.97	Option sale to close-call <sup>25</sup>
08/17/20	1.000	799.97	08/14/20	675.00	...	124.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,599.94</b>		<b>1,400.00</b>	...	<b>199.94</b>	
CRWD 09/11/2020 CALL \$104.00 / CUSIP: / Symbol:							
08/11/20	1.000	549.97	08/07/20	840.00	290.03 W	0.00	Option sale to close-call <sup>25</sup>
08/20/20	1.000	969.96	08/10/20	785.03	...	184.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,519.93</b>		<b>1,625.03</b>	<b>290.03 W</b>	<b>184.93</b>	
CRWD 12/24/2020 PUT \$200.00 / CUSIP: / Symbol:							
12/21/20	1.000	259.98	12/18/20	655.02	...	-395.04	Option sale to close-put <sup>25</sup>
12/21/20	1.000	304.98	12/18/20	540.00	235.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>564.96</b>		<b>1,195.02</b>	<b>235.02 W</b>	<b>-395.04</b>	
CVS 08/21/2020 CALL \$64.00 / CUSIP: / Symbol:							
07/22/20	1.000	195.98	07/22/20	196.00	...	-0.02	Option sale to close-call <sup>25</sup>
CVS 08/21/2020 CALL \$64.50 / CUSIP: / Symbol:							
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	07/20/20	207.00	...	-7.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	199.99	07/20/20	197.00	...	2.99	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	399.99	07/21/20	394.00	...	5.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	399.99	07/21/20	392.00	...	7.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/22/20	6.000	1,199.96	Various	1,190.00	...	9.96	Total of 4 transactions
CVS 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	351.99	07/20/20	360.00	...	-8.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	175.99	07/21/20	175.00	...	0.99	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CVS 08/21/2020 CALL \$65.00 / CUSIP: / Symbol: (cont'd)							
	1.000	175.99	07/21/20	175.00	...	0.99	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	351.99	07/21/20	340.00	...	11.99	4 of 4 - Option sale to close-call <sup>25</sup>
07/22/20	6.000	1,055.96	Various	1,050.00	...	5.96	Total of 4 transactions
CWEN 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/22/20	1.000	74.98	09/21/20	64.00	...	10.98	Option sale to close-call <sup>25</sup>
D 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
07/08/20	1.000	299.98	07/06/20	298.02	...	1.96	Option sale to close-call <sup>25</sup>
07/08/20	1.000	299.98	07/06/20	300.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	239.99	07/09/20	275.00	35.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	07/09/20	250.00	10.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	479.97	Various	525.00	45.03 W	0.00	Total of 2 transactions
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	299.98	07/10/20	255.01	...	44.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	299.98	07/14/20	220.02	...	79.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	2.000	599.96	Various	475.03	...	124.93	Total of 2 transactions
	<b>Security total:</b>	<b>1,679.89</b>		<b>1,598.05</b>	<b>45.05 W</b>	<b>126.89</b>	
D 08/21/2020 CALL \$77.50 / CUSIP: / Symbol:							
07/06/20	1.000	234.98	07/06/20	245.00	...	-10.02	Option sale to close-call <sup>25</sup>
DAL 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/13/20	1.000	29.98	11/11/20	48.02	...	-18.04	Option sale to close-call <sup>25</sup>
11/13/20	1.000	24.98	11/09/20	53.00	28.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>54.96</b>		<b>101.02</b>	<b>28.02 W</b>	<b>-18.04</b>	
DKNG 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/15/20	1.000	9.98	05/13/20	105.00	...	-95.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/13/20	65.00	...	-65.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/14/20	30.00	...	-30.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/14/20	15.00	...	-15.00	3 of 3 - Option expiration- long call
05/15/20	3.000	0.00	Various	110.00	...	-110.00	Total of 3 transactions
DOCU 09/04/2020 CALL \$247.50 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	1,709.95	09/03/20	2,170.04	...	-460.09	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,164.94	09/03/20	2,100.00	...	64.94	2 of 2 - Option sale to close-call <sup>25</sup>
09/03/20	2.000	3,874.89	Various	4,270.04	...	-395.15	Total of 2 transactions
09/03/20	1.000	1,244.96	09/03/20	2,100.00	855.04 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5,119.85</b>		<b>6,370.04</b>	<b>855.04 W</b>	<b>-395.15</b>	
DOCU 09/04/2020 CALL \$265.00 / CUSIP: / Symbol:							
09/02/20	1.000	2,549.93	09/02/20	2,505.00	...	44.93	Option sale to close-call <sup>25</sup>
ENZ 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	07/09/20	55.00	...	-10.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	44.99	07/10/20	30.00	...	14.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	2.000	89.98	Various	85.00	...	4.98	Total of 2 transactions
ETRN 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/15/20	2.000	49.98	06/15/20	50.00	...	-0.02	Option sale to close-call <sup>25</sup>
FB 07/02/2020 PUT \$217.50 / CUSIP: / Symbol:							
06/29/20	1.000	399.98	06/29/20	395.02	...	4.96	Option sale to close-put <sup>25</sup>
06/29/20	1.000	429.98	06/29/20	445.00	15.02 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>829.96</b>		<b>840.02</b>	<b>15.02 W</b>	<b>4.96</b>	
FB 07/10/2020 PUT \$222.50 / CUSIP: / Symbol:							
06/30/20	1.000	539.97	06/30/20	540.00	...	-0.03	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 10/02/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/18/20	1.000	409.98	09/16/20	750.00	340.02 W	0.00	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	187.98	09/17/20	590.02	402.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	09/18/20	662.04	422.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/22/20	2.000	427.96	Various	1,252.06	824.10 W	0.00	Total of 2 transactions
09/28/20	1.000	79.98	09/21/20	554.06	474.08 W	0.00	Option sale to close-call <sup>25</sup>
09/29/20	1.000	99.98	09/25/20	539.10	...	-439.12	Option sale to close-call <sup>25</sup>
09/29/20	1.000	89.98	09/23/20	584.08	494.10 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,107.88</b>		<b>3,679.30</b>	<b>2,132.30 W</b>	<b>-439.12</b>	
FB 10/30/2020 CALL \$290.00 / CUSIP: / Symbol:							
		<i>2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	514.97	10/14/20	495.00	...	19.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.97	10/15/20	300.00	...	299.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/21/20	2.000	1,114.94	Various	795.00	...	319.94	Total of 2 transactions
FCX 08/07/2020 PUT \$14.50 / CUSIP: / Symbol:							
		<i>2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>					
	5.000	759.97	07/23/20	625.00	...	134.97	1 of 2 - Option sale to close-put <sup>25</sup>
	5.000	889.97	07/23/20	655.00	...	234.97	2 of 2 - Option sale to close-put <sup>25</sup>
07/24/20	10.000	1,649.94	Various	1,280.00	...	369.94	Total of 2 transactions
FDX 09/18/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,299.96	09/02/20	1,060.00	...	239.96	Option sale to close-call <sup>25</sup>
FDX 09/18/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,099.96	09/02/20	865.00	...	234.96	Option sale to close-call <sup>25</sup>
09/04/20	1.000	1,019.96	09/03/20	880.08	...	139.88	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	939.96	09/03/20	1,100.00	160.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	999.96	09/03/20	1,070.04	70.08 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	1,939.92	Various	2,170.04	230.12 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>4,059.84</b>		<b>3,915.12</b>	<b>230.12 W</b>	<b>374.84</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FDX 09/25/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/08/20	1.000	1,199.96	09/01/20	880.00	...	319.96	Option sale to close-call <sup>25</sup>
09/15/20	1.000	1,599.95	09/04/20	800.00	...	799.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,799.91</b>		<b>1,680.00</b>	...	<b>1,119.91</b>	
FLS 09/18/2020 PUT \$30.00 / CUSIP: / Symbol:							
08/18/20	1.000	124.98	08/03/20	300.00	175.02 W	0.00	Option sale to close-put <sup>25</sup>
09/02/20	1.000	134.98	08/07/20	345.02	...	-210.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>259.96</b>		<b>645.02</b>	<b>175.02 W</b>	<b>-210.04</b>	
GAN 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/01/20	1.000	139.98	06/30/20	126.00	...	13.98	Option sale to close-call <sup>25</sup>
GNUS 07/17/2020 CALL \$3.00 / CUSIP: / Symbol:							
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	29.99	07/02/20	90.00	...	-60.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	30.00	07/06/20	35.00	...	-5.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.99	07/06/20	30.00	...	-0.01	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	3.000	89.98	Various	155.00	...	-65.02	Total of 3 transactions
GNUS 07/17/2020 CALL \$3.50 / CUSIP: / Symbol:							
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	19.99	07/02/20	65.00	...	-45.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	19.99	07/06/20	25.00	...	-5.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	39.98	Various	90.00	...	-50.02	Total of 2 transactions
GOOGL 06/12/2020 CALL \$1,500.0 / CUSIP: / Symbol:							
06/11/20	1.000	34.98	06/10/20	200.00	...	-165.02	Option sale to close-call <sup>25</sup>
GPS 12/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
12/01/20	1.000	93.98	11/27/20	123.00	...	-29.02	Option sale to close-call <sup>25</sup>
IBM 10/23/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/19/20	155.00	...	-155.00	Option expiration- long call
IDEX 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/17/20	1.000	19.98	06/17/20	25.00	...	-5.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 07/02/2020 CALL \$22.00 / CUSIP: / Symbol:							
06/24/20	1.000	469.97	06/23/20	330.00	...	139.97	Option sale to close-call <sup>25</sup>
INO 07/02/2020 CALL \$32.00 / CUSIP: / Symbol:							
06/29/20	1.000	399.98	06/29/20	400.00	...	-0.02	Option sale to close-call <sup>25</sup>
INO 07/10/2020 CALL \$29.00 / CUSIP: / Symbol:							
06/30/20	1.000	349.98	06/30/20	440.00	90.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	18.99	06/30/20	500.02	...	-481.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	18.99	07/01/20	105.00	...	-86.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.00	07/01/20	50.00	...	-31.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	3.000	56.98	Various	655.02	...	-598.04	Total of 3 transactions
	<b>Security total:</b>	<b>406.96</b>		<b>1,095.02</b>	<b>90.02 W</b>	<b>-598.04</b>	
INO 08/07/2020 CALL \$24.50 / CUSIP: / Symbol:							
07/17/20	1.000	529.97	07/14/20	550.00	...	-20.03	Option sale to close-call <sup>25</sup>
INTC 09/11/2020 CALL \$53.00 / CUSIP: / Symbol:							
09/02/20	1.000	108.98	09/02/20	104.00	...	4.98	Option sale to close-call <sup>25</sup>
INTC 10/23/2020 CALL \$59.50 / CUSIP: / Symbol:							
10/22/20	1.000	20.98	10/21/20	20.00	...	0.98	Option sale to close-call <sup>25</sup>
INTC 10/30/2020 CALL \$58.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/22/20	61.00	...	-61.00	Option expiration- long call
IVR 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/09/20	1.000	74.98	11/05/20	48.00	...	26.98	Option sale to close-call <sup>25</sup>
IVR 01/15/2021 CALL \$3.50 / CUSIP: / Symbol:							
11/18/20	1.000	24.98	08/19/20	32.00	...	-7.02	Option sale to close-call <sup>25</sup>
11/24/20	1.000	24.98	09/02/20	15.00	...	9.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>49.96</b>		<b>47.00</b>	...	<b>2.96</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IVR 11/20/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	10/12/20	35.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.99	10/12/20	35.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/14/20	2.000	79.98	Various	70.00	...	9.98	Total of 2 transactions
IVR 11/20/2020 CALL \$3.00 / CUSIP: / Symbol:							
11/13/20	1.000	19.98	11/11/20	20.00	...	-0.02	Option sale to close-call <sup>25</sup>
IWM 08/28/2020 PUT \$159.00 / CUSIP: / Symbol:							
08/18/20	1.000	361.98	08/14/20	414.00	...	-52.02	Option sale to close-put <sup>25</sup>
JCI 12/18/2020 PUT \$45.00 / CUSIP: / Symbol:							
12/02/20	1.000	89.98	11/25/20	90.00	...	-0.02	Option sale to close-put <sup>25</sup>
KHC 09/04/2020 CALL \$35.00 / CUSIP: / Symbol:							
10 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	53.00	08/18/20	90.00	37.00 W	0.00	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	52.99	08/18/20	85.00	32.01 W	0.00	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	53.00	08/18/20	88.00	35.00 W	0.00	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	53.00	08/18/20	85.00	32.00 W	0.00	4 of 10 - Option sale to close-call <sup>25</sup>
	1.000	52.99	08/18/20	85.00	32.01 W	0.00	5 of 10 - Option sale to close-call <sup>25</sup>
	1.000	55.00	08/19/20	121.00	66.00 W	0.00	6 of 10 - Option sale to close-call <sup>25</sup>
	1.000	54.99	08/19/20	118.01	63.02 W	0.00	7 of 10 - Option sale to close-call <sup>25</sup>
	1.000	55.00	08/19/20	121.00	66.00 W	0.00	8 of 10 - Option sale to close-call <sup>25</sup>
	1.000	55.00	08/19/20	117.00	62.00 W	0.00	9 of 10 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

## SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 &amp; 5)

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## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KHC 09/04/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	1.000	54.99	08/19/20	117.01	62.02 W	0.00	10 of 10 - Option sale to close-call <sup>25</sup>
08/27/20	10.000	539.96	Various	1,027.02	487.06 W	0.00	Total of 10 transactions
	8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	43.00	08/20/20	129.00	...	-86.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	43.00	08/21/20	116.00	...	-73.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	43.00	08/21/20	112.02	...	-69.02	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	43.00	08/21/20	108.02	...	-65.02	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	43.00	08/21/20	108.00	...	-65.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	42.99	08/28/20	31.01	...	11.98	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	85.99	08/28/20	62.01	...	23.98	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	85.99	08/28/20	60.01	...	25.98	8 of 8 - Option sale to close-call <sup>25</sup>
08/31/20	10.000	429.97	Various	726.07	...	-296.10	Total of 8 transactions
	8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	42.00	08/20/20	125.00	83.00 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	42.00	08/20/20	125.00	83.00 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	42.00	08/20/20	121.02	79.02 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	42.00	08/20/20	120.00	78.00 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	42.00	08/20/20	120.02	78.02 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	83.99	08/20/20	94.00	10.01 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	41.99	08/20/20	47.00	5.01 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	83.99	08/20/20	92.00	8.01 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
08/31/20	10.000	419.97	Various	844.04	424.07 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>1,389.90</b>		<b>2,597.13</b>	<b>911.13 W</b>	<b>-296.10</b>	
KHC 09/04/2020 CALL \$35.50 / CUSIP: / Symbol:							
	2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	159.99	08/18/20	136.00	...	23.99	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	239.99	08/18/20	201.00	...	38.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/19/20	5.000	399.98	Various	337.00	...	62.98	Total of 2 transactions
	4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	5.000	174.98	08/18/20	350.00	175.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	35.00	08/19/20	95.00	60.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>

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Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KHC 09/04/2020 CALL \$35.50 / CUSIP: / Symbol: (cont'd)							
	1.000	34.99	08/19/20	93.01	58.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	104.99	08/19/20	276.01	171.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/27/20	10.000	349.96	Various	814.02	464.06 W	0.00	Total of 4 transactions
	8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	1.000	25.00	08/20/20	89.00	64.00 W	0.00	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	24.99	08/20/20	87.02	62.03 W	0.00	2 of 8 - Option sale to close-call <sup>25</sup>
	2.000	49.99	08/20/20	172.01	122.02 W	0.00	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	24.99	08/20/20	86.01	61.02 W	0.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	24.99	08/21/20	87.00	62.01 W	0.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	25.00	08/21/20	85.03	60.03 W	0.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	24.99	08/21/20	84.02	59.03 W	0.00	7 of 8 - Option sale to close-call <sup>25</sup>
	2.000	49.99	08/21/20	168.02	118.03 W	0.00	8 of 8 - Option sale to close-call <sup>25</sup>
08/31/20	10.000	249.94	Various	858.11	608.17 W	0.00	Total of 8 transactions
	6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	2.000	9.99	08/21/20	162.03	...	-152.04	1 of 6 - Option sale to close-call <sup>25</sup>
	5.000	24.99	08/21/20	110.00	...	-85.01	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5.00	08/21/20	84.01	...	-79.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5.00	08/21/20	82.03	...	-77.03	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5.00	08/21/20	81.03	...	-76.03	5 of 6 - Option sale to close-call <sup>25</sup>
	10.000	49.97	09/01/20	80.00	...	-30.03	6 of 6 - Option sale to close-call <sup>25</sup>
09/02/20	20.000	99.95	Various	599.10	...	-499.15	Total of 6 transactions
	<b>Security total:</b>	<b>1,099.83</b>		<b>2,608.23</b>	<b>1,072.23 W</b>	<b>-436.17</b>	
KR 08/07/2020 CALL \$33.50 / CUSIP: / Symbol:							
07/16/20	5.000	649.97	07/15/20	560.00	...	89.97	Option sale to close-call <sup>25</sup>
KR 08/14/2020 CALL \$33.50 / CUSIP: / Symbol:							
07/20/20	5.000	624.97	07/17/20	625.00	...	-0.03	Option sale to close-call <sup>25</sup>
KR 08/21/2020 CALL \$32.50 / CUSIP: / Symbol:							
07/21/20	2.000	573.97	07/20/20	360.00	...	213.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 08/21/2020 CALL \$33.00 / CUSIP: / Symbol:							
12 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	168.99	07/02/20	165.00	...	3.99	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	168.99	07/02/20	162.00	...	6.99	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	169.00	07/02/20	162.00	...	7.00	3 of 12 - Option sale to close-call <sup>25</sup>
	2.000	337.99	07/02/20	324.00	...	13.99	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	337.99	07/02/20	320.00	...	17.99	5 of 12 - Option sale to close-call <sup>25</sup>
	3.000	506.98	07/02/20	483.00	...	23.98	6 of 12 - Option sale to close-call <sup>25</sup>
	1.000	166.99	07/06/20	163.00	...	3.99	7 of 12 - Option sale to close-call <sup>25</sup>
	1.000	169.99	07/06/20	164.00	...	5.99	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	166.99	07/06/20	159.00	...	7.99	9 of 12 - Option sale to close-call <sup>25</sup>
	3.000	500.98	07/06/20	483.00	...	17.98	10 of 12 - Option sale to close-call <sup>25</sup>
	4.000	667.98	07/06/20	640.00	...	27.98	11 of 12 - Option sale to close-call <sup>25</sup>
	5.000	849.97	07/06/20	800.00	...	49.97	12 of 12 - Option sale to close-call <sup>25</sup>
07/06/20	25.000	4,212.84	Various	4,025.00	...	187.84	Total of 12 transactions
9 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	140.00	07/08/20	137.00	...	3.00	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	139.99	07/08/20	136.00	...	3.99	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	160.00	07/08/20	151.00	...	9.00	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/08/20	150.01	...	9.98	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	169.99	07/08/20	142.01	...	27.98	5 of 9 - Option sale to close-call <sup>25</sup>
	2.000	319.99	07/08/20	284.01	...	35.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	169.99	07/08/20	132.00	...	37.99	7 of 9 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 08/21/2020 CALL \$33.00 / CUSIP: / Symbol: (cont'd)							
	1.000	170.00	07/08/20	111.02	...	58.98	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	339.99	07/08/20	212.00	...	127.99	9 of 9 - Option sale to close-call <sup>25</sup>
07/10/20	11.000	1,769.94	Various	1,455.05	...	314.89	Total of 9 transactions
	4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	1.000	139.99	07/07/20	165.00	25.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	140.00	07/07/20	163.00	23.00 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	699.97	07/07/20	810.00	110.03 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/08/20	161.01	1.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/10/20	8.000	1,139.95	Various	1,299.01	159.06 W	0.00	Total of 4 transactions
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	2.000	287.99	07/13/20	260.00	...	27.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	287.99	07/13/20	256.00	...	31.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	4.000	575.98	Various	516.00	...	59.98	Total of 2 transactions
07/13/20	1.000	143.99	07/10/20	152.00	8.01 W	0.00	Option sale to close-call <sup>25</sup>
07/14/20	1.000	163.98	07/13/20	152.01	...	11.97	Option sale to close-call <sup>25</sup>
	7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	186.99	07/15/20	154.00	...	32.99	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	186.99	07/15/20	150.00	...	36.99	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	186.99	07/15/20	150.00	...	36.99	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	373.98	07/15/20	308.00	...	65.98	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	373.99	07/15/20	300.00	...	73.99	5 of 7 - Option sale to close-call <sup>25</sup>
	3.000	560.98	07/15/20	450.00	...	110.98	6 of 7 - Option sale to close-call <sup>25</sup>
	5.000	934.97	07/15/20	775.00	...	159.97	7 of 7 - Option sale to close-call <sup>25</sup>
07/16/20	15.000	2,804.89	Various	2,287.00	...	517.89	Total of 7 transactions
	12 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	223.99	07/16/20	168.00	...	55.99	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	223.99	07/16/20	162.00	...	61.99	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	223.99	07/16/20	160.00	...	63.99	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	229.99	07/16/20	163.00	...	66.99	4 of 12 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KR 08/21/2020 CALL \$33.00 / CUSIP: / Symbol: (cont'd)							
	1.000	229.99	07/16/20	163.00	...	66.99	5 of 12 - Option sale to close-call <sup>25</sup>
	1.000	229.99	07/16/20	162.00	...	67.99	6 of 12 - Option sale to close-call <sup>25</sup>
	2.000	447.99	07/16/20	316.00	...	131.99	7 of 12 - Option sale to close-call <sup>25</sup>
	2.000	459.99	07/16/20	324.00	...	135.99	8 of 12 - Option sale to close-call <sup>25</sup>
	1.000	229.99	07/17/20	161.00	...	68.99	9 of 12 - Option sale to close-call <sup>25</sup>
	1.000	229.99	07/17/20	160.00	...	69.99	10 of 12 - Option sale to close-call <sup>25</sup>
	3.000	689.98	07/17/20	477.00	...	212.98	11 of 12 - Option sale to close-call <sup>25</sup>
	5.000	1,149.97	07/17/20	810.00	...	339.97	12 of 12 - Option sale to close-call <sup>25</sup>
07/21/20	20.000	4,569.85	Various	3,226.00	...	1,343.85	Total of 12 transactions
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	239.99	07/22/20	220.00	...	19.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	239.98	07/22/20	219.00	...	20.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	479.97	Various	439.00	...	40.97	Total of 2 transactions
	3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	224.99	07/29/20	207.00	...	17.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.98	07/30/20	213.01	...	11.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	224.99	07/30/20	200.00	...	24.99	3 of 3 - Option sale to close-call <sup>25</sup>
08/03/20	3.000	674.96	Various	620.01	...	54.95	Total of 3 transactions
08/03/20	1.000	224.99	07/23/20	240.00	15.01 W	0.00	Option sale to close-call <sup>25</sup>
08/07/20	1.000	228.98	08/06/20	210.00	...	18.98	Option sale to close-call <sup>25</sup>
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	180.99	08/11/20	156.00	...	24.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	180.98	08/11/20	130.05	...	50.93	2 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	2.000	361.97	Various	286.05	...	75.92	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 08/21/2020 CALL \$33.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	180.98	08/10/20	207.00	26.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	180.99	08/11/20	212.02	31.03 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	180.98	08/11/20	181.03	0.05 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
08/13/20	3.000	542.95	Various	600.05	57.10 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>17,895.24</b>		<b>15,507.18</b>	<b>239.18 W</b>	<b>2,627.24</b>	
KR 08/21/2020 CALL \$34.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	130.99	07/02/20	122.00	...	8.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	130.99	07/02/20	120.00	...	10.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	131.00	07/02/20	120.00	...	11.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/02/20	3.000	392.98	Various	362.00	...	30.98	Total of 3 transactions
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	130.99	06/30/20	146.00	15.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	131.00	06/30/20	145.00	14.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	261.99	Various	291.00	29.01 W	0.00	Total of 2 transactions
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	121.00	07/02/20	120.00	...	1.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	241.99	07/02/20	240.00	...	1.99	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	604.97	07/06/20	550.00	...	54.97	3 of 3 - Option sale to close-call <sup>25</sup>
07/07/20	8.000	967.96	Various	910.00	...	57.96	Total of 3 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	121.00	07/02/20	135.01	14.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	120.99	07/02/20	134.00	13.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	241.99	Various	269.01	27.02 W	0.00	Total of 2 transactions
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	07/07/20	129.01	34.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	95.00	07/07/20	128.01	33.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	284.98	07/07/20	345.00	60.02 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	111.99	07/07/20	145.02	33.03 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	112.00	07/07/20	144.01	32.01 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>

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Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 08/21/2020 CALL \$34.00 / CUSIP: / Symbol: (cont'd)							
	3.000	335.98	07/07/20	393.02	57.04 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
07/10/20	10.000	1,034.94	Various	1,284.07	249.13 W	0.00	Total of 6 transactions
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	07/08/20	99.01	...	0.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	305.98	07/08/20	258.06	...	47.92	2 of 2 - Option sale to close-call <sup>25</sup>
07/13/20	4.000	405.97	Various	357.07	...	48.90	Total of 2 transactions
6 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	07/08/20	125.03	20.04 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	105.00	07/08/20	124.01	19.01 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	3.000	314.98	07/08/20	333.04	18.06 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	99.99	07/08/20	100.04	0.05 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	102.00	07/10/20	102.05	0.05 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	101.99	07/10/20	102.00	0.01 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
07/13/20	8.000	828.95	Various	886.17	57.22 W	0.00	Total of 6 transactions
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	109.99	07/10/20	98.05	...	11.94	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	110.00	07/10/20	98.01	...	11.99	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	329.98	07/10/20	294.00	...	35.98	3 of 3 - Option sale to close-call <sup>25</sup>
07/14/20	5.000	549.97	Various	490.06	...	59.91	Total of 3 transactions
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	5.000	594.97	07/13/20	460.00	...	134.97	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	644.97	07/15/20	515.00	...	129.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/16/20	10.000	1,239.94	Various	975.00	...	264.94	Total of 2 transactions
5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	141.99	07/15/20	100.00	...	41.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	199.99	07/16/20	110.00	...	89.99	2 of 5 - Option sale to close-call <sup>25</sup>
	5.000	709.97	07/16/20	595.00	...	114.97	3 of 5 - Option sale to close-call <sup>25</sup>
	4.000	567.98	07/16/20	440.00	...	127.98	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	799.97	07/16/20	420.00	...	379.97	5 of 5 - Option sale to close-call <sup>25</sup>
07/21/20	15.000	2,419.90	Various	1,665.00	...	754.90	Total of 5 transactions
07/22/20	5.000	824.97	07/20/20	450.00	...	374.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 08/21/2020 CALL \$34.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	179.98	07/22/20	153.00	...	26.98	1 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	1.000	179.98	07/22/20	153.00	...	26.98	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	359.96	Various	306.00	...	53.96	Total of 2 transactions
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	3.000	536.98	07/22/20	459.00	...	77.98	1 of 2 - Option sale to close-call <sup>25</sup>
07/24/20	2.000	357.99	07/23/20	338.00	...	19.99	2 of 2 - Option sale to close-call <sup>25</sup>
	5.000	894.97	Various	797.00	...	97.97	Total of 2 transactions
3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	2.000	319.99	07/24/20	310.00	...	9.99	1 of 3 - Option sale to close-call <sup>25</sup>
07/28/20	3.000	479.98	07/24/20	465.02	...	14.96	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/24/20	135.00	...	24.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/28/20	6.000	959.96	Various	910.02	...	49.94	Total of 3 transactions
07/28/20	3.000	479.98	07/23/20	480.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	07/24/20	135.00	...	4.99	1 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	1.000	140.00	07/29/20	140.00	...	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/03/20	2.000	279.99	Various	275.00	...	4.99	Total of 2 transactions
	1.000	139.99	07/29/20	140.00	0.01 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	3.000	464.97	07/29/20	420.00	...	44.97	1 of 3 - Option sale to close-call <sup>25</sup>
08/04/20	1.000	159.99	07/30/20	123.01	...	36.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	159.99	07/30/20	120.00	...	39.99	3 of 3 - Option sale to close-call <sup>25</sup>
	5.000	784.95	Various	663.01	...	121.94	Total of 3 transactions
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	07/30/20	120.00	...	29.99	1 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	1.000	149.99	07/30/20	115.00	...	34.99	2 of 2 - Option sale to close-call <sup>25</sup>
	2.000	299.98	Various	235.00	...	64.98	Total of 2 transactions
7 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	140.99	07/30/20	110.00	...	30.99	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/04/20	130.00	...	10.99	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/04/20	130.00	...	10.99	3 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 08/21/2020 CALL \$34.00 / CUSIP: / Symbol: (cont'd)							
	1.000	141.00	08/05/20	123.00	...	18.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/06/20	129.00	...	11.99	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/06/20	129.00	...	11.99	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	140.99	08/06/20	128.00	...	12.99	7 of 7 - Option sale to close-call <sup>25</sup>
08/07/20	7.000	986.94	Various	879.00	...	107.94	Total of 7 transactions
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	79.98	08/06/20	126.00	46.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	79.98	08/07/20	175.02	95.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/12/20	2.000	159.96	Various	301.02	141.06 W	0.00	Total of 2 transactions
	2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	2.000	179.99	08/07/20	258.00	78.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	89.99	08/10/20	215.04	125.05 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	3.000	269.98	Various	473.04	203.06 W	0.00	Total of 2 transactions
	3 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	129.98	08/11/20	215.05	...	-85.07	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	129.99	08/11/20	130.02	...	-0.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	129.99	08/11/20	110.01	...	19.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/14/20	3.000	389.96	Various	455.08	...	-65.12	Total of 3 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	99.99	08/10/20	159.01	59.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.99	08/11/20	139.00	39.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/14/20	2.000	199.98	Various	298.01	98.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>15,376.16</b>		<b>14,151.56</b>	<b>804.56 W</b>	<b>2,029.16</b>	
KR 09/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/04/20	175.00	...	-175.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/08/20	142.00	...	-142.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/09/20	127.00	...	-127.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/09/20	124.00	...	-124.00	4 of 4 - Option expiration- long call
09/18/20	4.000	0.00	Various	568.00	...	-568.00	Total of 4 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 09/18/2020 CALL \$35.50 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	09/04/20	296.00	...	-296.00	Option expiration- long call
LLY 10/16/2020 PUT \$140.00 / CUSIP: / Symbol:							
10/14/20	1.000	7.98	10/13/20	23.00	15.02 W	0.00	Option sale to close-put <sup>25</sup>
10/15/20	1.000	9.98	10/13/20	37.02	...	-27.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>17.96</b>		<b>60.02</b>	<b>15.02 W</b>	<b>-27.04</b>	
LPX 09/18/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/28/20	1.000	249.98	08/25/20	200.00	...	49.98	Option sale to close-call <sup>25</sup>
LPX 09/18/2020 CALL \$33.00 / CUSIP: / Symbol:							
08/28/20	1.000	174.98	08/24/20	200.00	25.02 W	0.00	Option sale to close-call <sup>25</sup>
09/01/20	1.000	154.98	08/25/20	155.02	...	-0.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>329.96</b>		<b>355.02</b>	<b>25.02 W</b>	<b>-0.04</b>	
LVGO 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/16/20	1.000	274.98	06/16/20	340.00	...	-65.02	Option sale to close-call <sup>25</sup>
LVGO 07/17/2020 PUT \$70.00 / CUSIP: / Symbol:							
07/02/20	1.000	249.98	06/30/20	250.00	...	-0.02	Option sale to close-put <sup>25</sup>
LYFT 07/17/2020 CALL \$32.00 / CUSIP: / Symbol:							
07/08/20	1.000	118.98	07/07/20	120.02	...	-1.04	Option sale to close-call <sup>25</sup>
07/08/20	1.000	118.98	07/07/20	124.00	5.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>237.96</b>		<b>244.02</b>	<b>5.02 W</b>	<b>-1.04</b>	
M 06/12/2020 CALL \$10.50 / CUSIP: / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	3.98	06/09/20	56.00	...	-52.02	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	7.99	06/09/20	60.00	...	-52.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	3.99	06/09/20	30.00	...	-26.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/10/20	4.000	15.96	Various	146.00	...	-130.04	Total of 3 transactions
06/10/20	1.000	4.00	06/09/20	30.00	26.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.96</b>		<b>176.00</b>	<b>26.00 W</b>	<b>-130.04</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MARA 12/18/2020 CALL \$5.00 / CUSIP: / Symbol:							
11/25/20	1.000	129.98	11/24/20	104.00	...	25.98	Option sale to close-call <sup>25</sup>
MGM 06/12/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/10/20	1.000	5.98	06/08/20	40.00	...	-34.02	Option sale to close-call <sup>25</sup>
MPC 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/06/20	1.000	359.98	09/25/20	270.00	...	89.98	Option sale to close-call <sup>25</sup>
10/08/20	1.000	344.98	10/02/20	210.00	...	134.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>704.96</b>		<b>480.00</b>	...	<b>224.96</b>	
MPC 01/15/2021 CALL \$32.50 / CUSIP: / Symbol:							
09/16/20	1.000	369.98	09/11/20	295.00	...	74.98	Option sale to close-call <sup>25</sup>
MPC 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
10/30/20	1.000	88.98	10/09/20	170.00	81.02 W	0.00	Option sale to close-call <sup>25</sup>
11/04/20	1.000	155.98	10/16/20	209.02	...	-53.04	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>244.96</b>		<b>379.02</b>	<b>81.02 W</b>	<b>-53.04</b>	
MPC 05/15/2020 CALL \$32.50 / CUSIP: / Symbol:							
05/15/20	2.000	1.98	05/13/20	78.00	...	-76.02	Option sale to close-call <sup>25</sup>
MPC 05/22/2020 CALL \$33.00 / CUSIP: / Symbol:							
05/18/20	1.000	139.98	05/18/20	76.00	...	63.98	Option sale to close-call <sup>25</sup>
MPC 05/22/2020 PUT \$31.00 / CUSIP: / Symbol:							
<i>3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	1.99	05/18/20	46.00	...	-44.01	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	1.99	05/18/20	40.00	...	-38.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	1.00	05/18/20	25.00	...	-24.00	3 of 3 - Option sale to close-put <sup>25</sup>
05/21/20	5.000	4.98	Various	111.00	...	-106.02	Total of 3 transactions
MPC 05/29/2020 PUT \$37.00 / CUSIP: / Symbol:							
05/26/20	5.000	589.97	05/26/20	450.00	...	139.97	Option sale to close-put <sup>25</sup>
MPC 06/05/2020 CALL \$37.00 / CUSIP: / Symbol:							
06/01/20	5.000	224.98	06/01/20	125.00	...	99.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MPC 06/05/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/02/20	50.000	2,399.84	06/02/20	1,950.00	...	449.84	Option sale to close-call <sup>25</sup>
MPC 06/05/2020 CALL \$39.00 / CUSIP: / Symbol:							
06/04/20	20.000	599.94	06/04/20	400.00	...	199.94	Option sale to close-call <sup>25</sup>
MPC 06/12/2020 CALL \$46.00 / CUSIP: / Symbol:							
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	7.000	55.98	06/08/20	238.00	...	-182.02	1 of 5 - Option sale to close-call <sup>25</sup>
	7.000	55.98	06/08/20	217.00	...	-161.02	2 of 5 - Option sale to close-call <sup>25</sup>
	10.000	79.98	06/08/20	200.00	...	-120.02	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	16.00	06/08/20	114.02	...	-98.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	8.00	06/08/20	57.02	...	-49.02	5 of 5 - Option sale to close-call <sup>25</sup>
06/09/20	27.000	215.94	Various	826.04	...	-610.10	Total of 5 transactions
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	7.98	06/08/20	34.00	26.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	15.98	06/08/20	68.00	52.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/20	3.000	23.96	Various	102.00	78.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>239.90</b>		<b>928.04</b>	<b>78.04 W</b>	<b>-610.10</b>	
MPC 07/17/2020 CALL \$34.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	151.99	07/09/20	133.00	...	18.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	151.99	07/09/20	100.00	...	51.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/10/20	2.000	303.98	Various	233.00	...	70.98	Total of 2 transactions
MPC 07/17/2020 CALL \$36.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	164.98	07/06/20	155.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	329.98	07/06/20	300.00	...	29.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	3.000	494.96	Various	455.00	...	39.96	Total of 2 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	119.99	07/07/20	117.01	...	2.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	119.99	07/07/20	110.01	...	9.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	239.98	Various	227.02	...	12.96	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MPC 07/17/2020 CALL \$36.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	103.99	07/07/20	111.00	7.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	103.99	07/07/20	111.00	7.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	207.98	Various	222.00	14.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>942.92</b>		<b>904.02</b>	<b>14.02 W</b>	<b>52.92</b>	
MPC 08/28/2020 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	199.98	08/21/20	113.00	...	86.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.98	08/21/20	95.00	...	104.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/24/20	2.000	399.96	Various	208.00	...	191.96	Total of 2 transactions
MPC 09/04/2020 CALL \$35.00 / CUSIP: / Symbol:							
11 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	157.99	08/26/20	152.00	...	5.99	1 of 11 - Option sale to close-call <sup>25</sup>
	1.000	157.99	08/26/20	150.00	...	7.99	2 of 11 - Option sale to close-call <sup>25</sup>
	1.000	157.99	08/26/20	141.00	...	16.99	3 of 11 - Option sale to close-call <sup>25</sup>
	1.000	157.99	08/27/20	110.00	...	47.99	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	157.99	08/27/20	105.00	...	52.99	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	158.00	08/27/20	105.00	...	53.00	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	157.99	08/27/20	100.00	...	57.99	7 of 11 - Option sale to close-call <sup>25</sup>
	1.000	170.00	08/27/20	100.00	...	70.00	8 of 11 - Option sale to close-call <sup>25</sup>
	1.000	169.99	08/27/20	83.00	...	86.99	9 of 11 - Option sale to close-call <sup>25</sup>
	8.000	1,263.96	08/27/20	832.00	...	431.96	10 of 11 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MPC 09/04/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)	8.000	1,359.95	08/27/20	832.00	...	527.95	11 of 11 - Option sale to close-call <sup>25</sup>
08/28/20	25.000	4,069.84	Various	2,710.00	...	1,359.84	Total of 11 transactions
MPC 09/04/2020 PUT \$37.00 / CUSIP: / Symbol:							
08/31/20	1.000	99.98	08/28/20	95.00	...	4.98	Option sale to close-put <sup>25</sup>
MPC 09/11/2020 CALL \$34.50 / CUSIP: / Symbol:							
09/02/20	1.000	138.98	09/01/20	136.00	...	2.98	Option sale to close-call <sup>25</sup>
MPC 09/11/2020 CALL \$35.00 / CUSIP: / Symbol:							
	13 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	08/31/20	164.00	...	-164.00	1 of 13 - Option expiration- long call
	1.000	0.00	09/01/20	121.00	...	-121.00	2 of 13 - Option expiration- long call
	1.000	0.00	09/01/20	114.00	...	-114.00	3 of 13 - Option expiration- long call
	1.000	0.00	09/01/20	113.00	...	-113.00	4 of 13 - Option expiration- long call
	1.000	0.00	09/01/20	112.00	...	-112.00	5 of 13 - Option expiration- long call
	1.000	0.00	09/01/20	111.00	...	-111.00	6 of 13 - Option expiration- long call
	4.000	0.00	09/02/20	428.00	...	-428.00	7 of 13 - Option expiration- long call
	2.000	0.00	09/03/20	174.00	...	-174.00	8 of 13 - Option expiration- long call
	1.000	0.00	09/03/20	73.00	...	-73.00	9 of 13 - Option expiration- long call
	1.000	0.00	09/03/20	70.00	...	-70.00	10 of 13 - Option expiration- long call
	1.000	0.00	09/04/20	50.00	...	-50.00	11 of 13 - Option expiration- long call
	1.000	0.00	09/04/20	47.00	...	-47.00	12 of 13 - Option expiration- long call

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MPC 09/11/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	09/04/20	43.00	...	-43.00	13 of 13 - Option expiration- long call
09/11/20	17.000	0.00	Various	1,620.00	...	-1,620.00	Total of 13 transactions
MPC 09/18/2020 CALL \$33.00 / CUSIP: / Symbol:							
5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	09/04/20	210.00	170.01 W	0.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	39.99	09/08/20	150.00	110.01 W	0.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	39.99	09/08/20	295.01	255.02 W	0.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	40.00	09/09/20	188.01	148.01 W	0.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	39.99	09/09/20	78.00	38.01 W	0.00	5 of 5 - Option sale to close-call <sup>25</sup>
09/14/20	5.000	199.96	Various	921.02	721.06 W	0.00	Total of 5 transactions
09/16/20	2.000	59.99	09/11/20	50.00	...	9.99	Option sale to close-call <sup>25</sup>
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	30.00	09/10/20	289.02	259.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	29.99	09/10/20	182.01	152.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	30.00	09/11/20	63.01	33.01 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/16/20	3.000	89.99	Various	534.04	444.05 W	0.00	Total of 3 transactions
6 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	09/14/20	287.02	...	-280.02	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	7.00	09/14/20	180.02	...	-173.02	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	7.00	09/14/20	61.01	...	-54.01	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	13.99	09/14/20	56.00	...	-42.01	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	27.98	09/16/20	80.00	...	-52.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	7.00	09/16/20	20.00	...	-13.00	6 of 6 - Option sale to close-call <sup>25</sup>
09/17/20	10.000	69.97	Various	684.05	...	-614.08	Total of 6 transactions
	<b>Security total:</b>	<b>419.91</b>		<b>2,189.11</b>	<b>1,165.11 W</b>	<b>-604.09</b>	
MPC 09/18/2020 CALL \$33.50 / CUSIP: / Symbol:							
4 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	20.00	09/08/20	250.01	...	-230.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	09/09/20	151.01	...	-131.02	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	09/11/20	18.00	...	1.99	3 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MPC 09/18/2020 CALL \$33.50 / CUSIP: / Symbol: (cont'd)							
	1.000	20.00	09/11/20	17.00	...	3.00	4 of 4 - Option sale to close-call <sup>25</sup>
09/16/20	4.000	79.98	Various	436.02	...	-356.04	Total of 4 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	25.99	09/04/20	166.00	140.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	25.99	09/08/20	120.00	94.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/16/20	2.000	51.98	Various	286.00	234.02 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>131.96</b>		<b>722.02</b>	<b>234.02 W</b>	<b>-356.04</b>	
MPC 10/16/2020 CALL \$27.00 / CUSIP: / Symbol:							
10/05/20	1.000	247.98	10/02/20	140.00	...	107.98	Option sale to close-call <sup>25</sup>
MPC 11/20/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/13/20	1.000	149.98	11/12/20	75.00	...	74.98	Option sale to close-call <sup>25</sup>
MPC 11/20/2020 PUT \$35.50 / CUSIP: / Symbol:							
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/13/20	57.00	...	-57.00	1 of 3 - Option expiration- long put
	1.000	0.00	11/16/20	15.00	...	-15.00	2 of 3 - Option expiration- long put
	1.000	0.00	11/16/20	10.00	...	-10.00	3 of 3 - Option expiration- long put
11/20/20	3.000	0.00	Various	82.00	...	-82.00	Total of 3 transactions
MPC 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
10/06/20	1.000	294.98	10/01/20	225.00	...	69.98	Option sale to close-call <sup>25</sup>
MRNA 06/12/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/11/20	1.000	299.98	06/11/20	190.00	...	109.98	Option sale to close-call <sup>25</sup>
MRNA 06/12/2020 CALL \$67.00 / CUSIP: / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	34.99	06/11/20	240.00	205.01 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	34.99	06/11/20	100.00	65.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/11/20	255.01	220.03 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/11/20	3.000	104.96	Various	595.01	490.05 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MRNA 06/12/2020 CALL \$67.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/11/20	239.03	...	-224.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.99	06/11/20	115.01	...	-100.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	15.00	06/11/20	19.00	...	-4.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/12/20	3.000	44.98	Various	373.04	...	-328.06	Total of 3 transactions
	<b>Security total:</b>	<b>149.94</b>		<b>968.05</b>	<b>490.05 W</b>	<b>-328.06</b>	
MRNA 08/21/2020 CALL \$85.00 / CUSIP: / Symbol:							
07/16/20	1.000	1,024.96	07/15/20	950.00	...	74.96	Option sale to close-call <sup>25</sup>
07/17/20	1.000	974.96	07/16/20	900.00	...	74.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,999.92</b>		<b>1,850.00</b>	...	<b>149.92</b>	
MRO 01/15/2021 CALL \$4.00 / CUSIP: / Symbol:							
10/08/20	1.000	75.98	10/06/20	66.00	...	9.98	Option sale to close-call <sup>25</sup>
MS 10/16/2020 CALL \$51.00 / CUSIP: / Symbol:							
10/14/20	1.000	99.98	10/13/20	100.00	...	-0.02	Option sale to close-call <sup>25</sup>
MSFT 06/12/2020 CALL \$192.50 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	151.99	06/09/20	140.00	...	11.99	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	303.98	06/09/20	280.00	...	23.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	303.98	06/09/20	280.00	...	23.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/20	5.000	759.95	Various	700.00	...	59.95	Total of 3 transactions
MSFT 06/12/2020 CALL \$200.00 / CUSIP: / Symbol:							
7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	21.98	06/09/20	19.00	...	2.98	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	21.98	06/09/20	19.00	...	2.98	2 of 7 - Option sale to close-call <sup>25</sup>
	2.000	43.98	06/09/20	38.00	...	5.98	3 of 7 - Option sale to close-call <sup>25</sup>
	2.000	43.98	06/09/20	38.00	...	5.98	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	43.98	06/09/20	38.00	...	5.98	5 of 7 - Option sale to close-call <sup>25</sup>
	3.000	65.98	06/09/20	57.00	...	8.98	6 of 7 - Option sale to close-call <sup>25</sup>

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 06/12/2020 CALL \$200.00 / CUSIP: / Symbol: (cont'd)							
	9.000	197.97	06/09/20	171.00	...	26.97	7 of 7 - Option sale to close-call <sup>25</sup>
06/09/20	20.000	439.85	Various	380.00	...	59.85	Total of 7 transactions
MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/16/20	10.000	999.95	06/16/20	900.00	...	99.95	Option sale to close-call <sup>25</sup>
MSFT 06/26/2020 CALL \$200.00 / CUSIP: / Symbol:							
6 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	06/16/20	175.00	...	24.99	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.99	06/16/20	150.00	...	39.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.99	06/16/20	155.00	...	44.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	189.99	06/16/20	145.00	...	44.99	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	199.98	06/16/20	145.00	...	54.98	5 of 6 - Option sale to close-call <sup>25</sup>
	2.000	419.98	06/16/20	300.00	...	119.98	6 of 6 - Option sale to close-call <sup>25</sup>
06/17/20	7.000	1,399.92	Various	1,070.00	...	329.92	Total of 6 transactions
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	899.97	06/19/20	1,125.07	...	-225.10	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	874.97	06/19/20	800.00	...	74.97	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	899.97	06/19/20	775.00	...	124.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/19/20	15.000	2,674.91	Various	2,700.07	...	-25.16	Total of 3 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	999.96	06/19/20	1,200.00	200.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	874.97	06/19/20	1,200.04	325.07 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	10.000	1,874.93	Various	2,400.04	525.11 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,949.76</b>		<b>6,170.11</b>	<b>525.11 W</b>	<b>304.76</b>	
MSFT 07/02/2020 CALL \$205.00 / CUSIP: / Symbol:							
06/25/20	1.000	129.98	06/24/20	195.00	...	-65.02	Option sale to close-call <sup>25</sup>
MSFT 07/10/2020 PUT \$197.50 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	223.99	06/30/20	300.00	...	-76.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	223.99	06/30/20	237.00	...	-13.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/30/20	2.000	447.98	Various	537.00	...	-89.02	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 07/17/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/02/20	2.000	829.97	07/02/20	780.00	...	49.97	Option sale to close-call <sup>25</sup>
MSFT 07/17/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	459.97	07/06/20	410.00	...	49.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/06/20	399.00	...	50.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	909.95	Various	809.00	...	100.95	Total of 2 transactions
MSFT 07/17/2020 CALL \$212.50 / CUSIP: / Symbol:							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	414.98	07/07/20	415.00	0.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	389.98	07/07/20	400.02	10.04 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	304.98	07/07/20	400.04	95.06 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/07/20	3.000	1,109.94	Various	1,215.06	105.12 W	0.00	Total of 3 transactions
07/08/20	1.000	449.98	07/07/20	435.06	...	14.92	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,559.92</b>		<b>1,650.12</b>	<b>105.12 W</b>	<b>14.92</b>	
MSFT 07/24/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/02/20	1.000	609.97	07/02/20	565.00	...	44.97	Option sale to close-call <sup>25</sup>
MSFT 07/24/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	649.97	07/07/20	625.00	...	24.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	599.97	07/07/20	525.00	...	74.97	2 of 2 - Option sale to close-call <sup>25</sup>
07/08/20	2.000	1,249.94	Various	1,150.00	...	99.94	Total of 2 transactions
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	489.97	07/13/20	1,020.02	...	-530.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	489.97	07/13/20	885.02	...	-395.05	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	979.94	Various	1,905.04	...	-925.10	Total of 2 transactions
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	454.98	07/13/20	800.00	345.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	454.98	07/13/20	745.00	290.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	909.96	Various	1,545.00	635.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,139.84</b>		<b>4,600.04</b>	<b>635.04 W</b>	<b>-825.16</b>	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/31/2020 CALL \$207.50 / CUSIP: / Symbol:							
07/20/20	1.000	649.97	07/15/20	850.00	200.03 W	0.00	Option sale to close-call <sup>25</sup>
07/21/20	1.000	879.97	07/17/20	710.03	...	169.94	Option sale to close-call <sup>25</sup>
07/22/20	1.000	779.97	07/22/20	765.00	...	14.97	Option sale to close-call <sup>25</sup>
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	577.97	07/23/20	570.00	...	7.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	07/23/20	435.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	1,027.95	Various	1,005.00	...	22.95	Total of 2 transactions
07/27/20	1.000	111.98	07/23/20	400.00	288.02 W	0.00	Option sale to close-call <sup>25</sup>
07/29/20	1.000	55.98	07/23/20	643.02	...	-587.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,505.82</b>		<b>4,373.05</b>	<b>488.05 W</b>	<b>-379.18</b>	
MSFT 07/31/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/22/20	1.000	649.97	07/22/20	615.06	...	34.91	Option sale to close-call <sup>25</sup>
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	634.97	07/15/20	750.00	115.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	699.97	07/22/20	715.03	15.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/22/20	2.000	1,334.94	Various	1,465.03	130.09 W	0.00	Total of 2 transactions
	3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	49.99	07/23/20	950.02	...	-900.03	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	49.99	07/27/20	70.00	...	-20.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	50.00	07/27/20	65.00	...	-15.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/28/20	3.000	149.98	Various	1,085.02	...	-935.04	Total of 3 transactions
07/28/20	1.000	49.98	07/22/20	650.00	600.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,184.87</b>		<b>3,815.11</b>	<b>730.11 W</b>	<b>-900.13</b>	
MSFT 07/31/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/13/20	1.000	824.97	07/13/20	780.00	...	44.97	Option sale to close-call <sup>25</sup>
07/14/20	1.000	499.97	07/13/20	770.00	270.03 W	0.00	Option sale to close-call <sup>25</sup>
07/20/20	1.000	314.98	07/13/20	950.03	...	-635.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,639.92</b>		<b>2,500.03</b>	<b>270.03 W</b>	<b>-590.08</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 09/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
09/09/20	1.000	71.98	09/08/20	551.02	...	-479.04	Option sale to close-call <sup>25</sup>
09/09/20	1.000	67.98	09/03/20	505.00	437.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>139.96</b>		<b>1,056.02</b>	<b>437.02 W</b>	<b>-479.04</b>	
MSFT 09/11/2020 CALL \$227.50 / CUSIP: / Symbol:							
09/03/20	1.000	329.98	09/03/20	420.00	90.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/03/20	390.02	...	-390.02	1 of 2 - Option expiration- long call
	1.000	0.00	09/03/20	300.00	...	-300.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	690.02	...	-690.02	Total of 2 transactions
	<b>Security total:</b>	<b>329.98</b>		<b>1,110.02</b>	<b>90.02 W</b>	<b>-690.02</b>	
MXIM 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/02/20	1.000	229.98	08/31/20	190.02	...	39.96	Option sale to close-call <sup>25</sup>
09/02/20	1.000	204.98	08/26/20	250.00	45.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>434.96</b>		<b>440.02</b>	<b>45.02 W</b>	<b>39.96</b>	
NAK 08/21/2020 CALL \$3.00 / CUSIP: / Symbol:							
07/23/20	1.000	39.98	07/21/20	50.00	...	-10.02	Option sale to close-call <sup>25</sup>
NEE 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/15/20	290.00	...	-290.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/16/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	305.00	...	-305.00	Total of 2 transactions
NEE 10/16/2020 CALL \$300.00 / CUSIP: / Symbol:							
	4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	139.98	09/15/20	760.00	620.02 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	139.98	09/16/20	895.02	755.04 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	149.98	09/18/20	935.04	785.06 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	149.98	09/30/20	895.06	745.08 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
10/02/20	4.000	579.92	Various	3,485.12	2,905.20 W	0.00	Total of 4 transactions
10/06/20	1.000	169.98	09/30/20	715.10	...	-545.12	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEE 10/16/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
10/06/20	1.000	159.98	09/30/20	810.08	650.10 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>909.88</b>		<b>5,010.30</b>	<b>3,555.30 W</b>	<b>-545.12</b>	
NET 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
12/04/20	1.000	679.97	12/01/20	620.00	...	59.97	Option sale to close-call <sup>25</sup>
NET 01/29/2021 CALL \$79.00 / CUSIP: / Symbol:							
12/11/20	1.000	879.97	12/11/20	780.00	...	99.97	Option sale to close-call <sup>25</sup>
NET 02/19/2021 CALL \$75.00 / CUSIP: / Symbol:							
12/04/20	1.000	969.96	12/01/20	900.00	...	69.96	Option sale to close-call <sup>25</sup>
NET 02/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,019.96	12/09/20	940.00	...	79.96	Option sale to close-call <sup>25</sup>
NET 02/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	1,099.96	12/21/20	1,044.00	...	55.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,099.96	12/21/20	1,040.00	...	59.96	2 of 2 - Option sale to close-call <sup>25</sup>
12/21/20	2.000	2,199.92	Various	2,084.00	...	115.92	Total of 2 transactions
NET 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/17/20	1.000	214.98	06/17/20	240.00	...	-25.02	Option sale to close-call <sup>25</sup>
NET 09/18/2020 CALL \$38.00 / CUSIP: / Symbol:							
09/01/20	1.000	279.98	08/31/20	230.00	...	49.98	Option sale to close-call <sup>25</sup>
NET 09/18/2020 CALL \$39.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/31/20	225.00	...	-225.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/03/20	105.00	...	-105.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/08/20	55.00	...	-55.00	3 of 3 - Option expiration- long call
09/18/20	3.000	0.00	Various	385.00	...	-385.00	Total of 3 transactions
NET 10/16/2020 CALL \$34.00 / CUSIP: / Symbol:							
09/15/20	1.000	379.98	09/04/20	350.00	...	29.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol:							
30 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
2.000		81.99	06/22/20	1,024.08	...	-942.09	1 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	685.04	...	-644.04	2 of 30 - Option sale to close-put <sup>25</sup>
5.000		204.98	06/22/20	760.05	...	-555.07	3 of 30 - Option sale to close-put <sup>25</sup>
1.000		40.99	06/22/20	512.03	...	-471.04	4 of 30 - Option sale to close-put <sup>25</sup>
3.000		122.99	06/22/20	456.04	...	-333.05	5 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	326.01	...	-285.01	6 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	352.04	...	-270.05	7 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	352.04	...	-270.05	8 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	352.04	...	-270.05	9 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	352.03	...	-270.04	10 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	308.04	...	-226.05	11 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	266.02	...	-225.02	12 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	306.03	...	-224.04	13 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	306.02	...	-224.03	14 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	304.04	...	-222.05	15 of 30 - Option sale to close-put <sup>25</sup>
2.000		81.99	06/22/20	304.03	...	-222.04	16 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	176.02	...	-135.02	17 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	176.01	...	-135.01	18 of 30 - Option sale to close-put <sup>25</sup>
1.000		42.98	06/22/20	164.00	...	-121.02	19 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	154.02	...	-113.02	20 of 30 - Option sale to close-put <sup>25</sup>
1.000		41.00	06/22/20	153.03	...	-112.03	21 of 30 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	1.000	41.00	06/22/20	153.02	...	-112.02	22 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	153.02	...	-112.02	23 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	153.01	...	-112.01	24 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.02	...	-111.02	25 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.02	...	-111.02	26 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.01	...	-111.01	27 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.01	...	-111.01	28 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.01	...	-111.01	29 of 30 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.00	...	-111.00	30 of 30 - Option sale to close-put <sup>25</sup>
06/22/20	46.000	1,887.84	Various	9,159.78	...	-7,271.94	Total of 30 transactions
	96 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	41.99	06/18/20	215.00	173.01 W	0.00	1 of 96 - Option sale to close-put <sup>25</sup>
	1.000	41.99	06/19/20	170.00	128.01 W	0.00	2 of 96 - Option sale to close-put <sup>25</sup>
	1.000	41.99	06/19/20	343.01	301.02 W	0.00	3 of 96 - Option sale to close-put <sup>25</sup>
	2.000	83.99	06/19/20	340.00	256.01 W	0.00	4 of 96 - Option sale to close-put <sup>25</sup>
	1.000	42.00	06/19/20	461.02	419.02 W	0.00	5 of 96 - Option sale to close-put <sup>25</sup>
	1.000	42.00	06/19/20	288.01	246.01 W	0.00	6 of 96 - Option sale to close-put <sup>25</sup>
	2.000	83.99	06/19/20	576.01	492.02 W	0.00	7 of 96 - Option sale to close-put <sup>25</sup>
	1.000	42.00	06/19/20	160.00	118.00 W	0.00	8 of 96 - Option sale to close-put <sup>25</sup>
	1.000	42.00	06/22/20	519.02	477.02 W	0.00	9 of 96 - Option sale to close-put <sup>25</sup>
	1.000	42.00	06/22/20	346.01	304.01 W	0.00	10 of 96 - Option sale to close-put <sup>25</sup>
	2.000	83.99	06/22/20	692.02	608.03 W	0.00	11 of 96 - Option sale to close-put <sup>25</sup>
	1.000	42.00	06/22/20	192.00	150.00 W	0.00	12 of 96 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	1.000	41.99	06/22/20	132.01	90.02 W	0.00	13 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	551.02	509.02 W	0.00	14 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	378.01	336.01 W	0.00	15 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	756.03	672.04 W	0.00	16 of 96 - Option sale to close-put <sub>25</sub>
	4.000	167.99	06/22/20	296.00	128.01 W	0.00	17 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	224.00	182.00 W	0.00	18 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	164.02	122.02 W	0.00	19 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	148.00	64.01 W	0.00	20 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	148.00	64.01 W	0.00	21 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	148.00	64.01 W	0.00	22 of 96 - Option sale to close-put <sub>25</sub>
	10.000	419.97	06/22/20	740.00	320.03 W	0.00	23 of 96 - Option sale to close-put <sub>25</sub>
	12.000	503.97	06/22/20	888.00	384.03 W	0.00	24 of 96 - Option sale to close-put <sub>25</sub>
	4.000	167.99	06/22/20	296.00	128.01 W	0.00	25 of 96 - Option sale to close-put <sub>25</sub>
	5.000	209.98	06/22/20	370.00	160.02 W	0.00	26 of 96 - Option sale to close-put <sub>25</sub>
	1.000	41.99	06/22/20	100.00	58.01 W	0.00	27 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	583.02	541.02 W	0.00	28 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	410.01	368.01 W	0.00	29 of 96 - Option sale to close-put <sub>25</sub>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	2.000	83.99	06/22/20	820.04	736.05 W	0.00	30 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	106.00	64.00 W	0.00	31 of 96 - Option sale to close-put <sub>25</sub>
	3.000	125.99	06/22/20	318.01	192.02 W	0.00	32 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	256.00	214.00 W	0.00	33 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	196.02	154.02 W	0.00	34 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	212.01	128.02 W	0.00	35 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	212.01	128.02 W	0.00	36 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	212.01	128.02 W	0.00	37 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	106.00	64.00 W	0.00	38 of 96 - Option sale to close-put <sub>25</sub>
	1.000	41.98	06/22/20	106.00	64.02 W	0.00	39 of 96 - Option sale to close-put <sub>25</sub>
	5.000	209.98	06/22/20	530.01	320.03 W	0.00	40 of 96 - Option sale to close-put <sub>25</sub>
	3.000	125.99	06/22/20	318.01	192.02 W	0.00	41 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	615.02	573.02 W	0.00	42 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	442.01	400.01 W	0.00	43 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	884.05	800.06 W	0.00	44 of 96 - Option sale to close-put <sub>25</sub>
	1.000	41.99	06/22/20	106.00	64.01 W	0.00	45 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.98	06/22/20	212.01	128.03 W	0.00	46 of 96 - Option sale to close-put <sub>25</sub>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	3.000	125.98	06/22/20	318.01	192.03 W	0.00	47 of 96 - Option sale to close-put 25
	2.000	83.98	06/22/20	212.01	128.03 W	0.00	48 of 96 - Option sale to close-put 25
	3.000	125.98	06/22/20	318.01	192.03 W	0.00	49 of 96 - Option sale to close-put 25
	1.000	40.98	06/22/20	106.00	65.02 W	0.00	50 of 96 - Option sale to close-put 25
	2.000	81.98	06/22/20	212.01	130.03 W	0.00	51 of 96 - Option sale to close-put 25
	2.000	83.99	06/22/20	212.00	128.01 W	0.00	52 of 96 - Option sale to close-put 25
	1.000	41.99	06/22/20	106.00	64.01 W	0.00	53 of 96 - Option sale to close-put 25
	4.000	167.99	06/22/20	424.02	256.03 W	0.00	54 of 96 - Option sale to close-put 25
	1.000	42.00	06/22/20	129.00	87.00 W	0.00	55 of 96 - Option sale to close-put 25
	1.000	42.00	06/22/20	129.02	87.02 W	0.00	56 of 96 - Option sale to close-put 25
	1.000	42.00	06/22/20	129.01	87.01 W	0.00	57 of 96 - Option sale to close-put 25
	2.000	83.99	06/22/20	258.02	174.03 W	0.00	58 of 96 - Option sale to close-put 25
	2.000	83.99	06/22/20	258.03	174.04 W	0.00	59 of 96 - Option sale to close-put 25
	3.000	125.99	06/22/20	387.03	261.04 W	0.00	60 of 96 - Option sale to close-put 25
	1.000	42.00	06/22/20	279.00	237.00 W	0.00	61 of 96 - Option sale to close-put 25
	1.000	42.00	06/22/20	129.00	87.00 W	0.00	62 of 96 - Option sale to close-put 25
	1.000	42.00	06/22/20	219.02	177.02 W	0.00	63 of 96 - Option sale to close-put 25

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	2.000	83.99	06/22/20	258.02	174.03 W	0.00	64 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	258.02	174.03 W	0.00	65 of 96 - Option sale to close-put <sub>25</sub>
	3.000	125.99	06/22/20	387.02	261.03 W	0.00	66 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	129.01	87.01 W	0.00	67 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	638.02	596.02 W	0.00	68 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	465.01	423.01 W	0.00	69 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	930.06	846.07 W	0.00	70 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	129.00	87.00 W	0.00	71 of 96 - Option sale to close-put <sub>25</sub>
	1.000	42.00	06/22/20	129.01	87.01 W	0.00	72 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	258.03	174.04 W	0.00	73 of 96 - Option sale to close-put <sub>25</sub>
	2.000	83.99	06/22/20	258.02	174.03 W	0.00	74 of 96 - Option sale to close-put <sub>25</sub>
	5.000	209.98	06/22/20	645.03	435.05 W	0.00	75 of 96 - Option sale to close-put <sub>25</sub>
	1.000	40.99	06/22/20	302.00	261.01 W	0.00	76 of 96 - Option sale to close-put <sub>25</sub>
	1.000	40.99	06/22/20	129.01	88.02 W	0.00	77 of 96 - Option sale to close-put <sub>25</sub>
	1.000	41.00	06/22/20	130.02	89.02 W	0.00	78 of 96 - Option sale to close-put <sub>25</sub>
	1.000	41.00	06/22/20	129.01	88.01 W	0.00	79 of 96 - Option sale to close-put <sub>25</sub>
	2.000	81.99	06/22/20	260.03	178.04 W	0.00	80 of 96 - Option sale to close-put <sub>25</sub>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NFLX 06/26/2020 PUT \$420.00 / CUSIP: / Symbol: (cont'd)							
	2.000	81.99	06/22/20	258.01	176.02 W	0.00	81 of 96 - Option sale to close-put <sup>25</sup>
	1.000	40.98	06/22/20	129.01	88.03 W	0.00	82 of 96 - Option sale to close-put <sup>25</sup>
	2.000	81.98	06/22/20	258.01	176.03 W	0.00	83 of 96 - Option sale to close-put <sup>25</sup>
	1.000	40.99	06/22/20	152.00	111.01 W	0.00	84 of 96 - Option sale to close-put <sup>25</sup>
	1.000	40.99	06/22/20	129.01	88.02 W	0.00	85 of 96 - Option sale to close-put <sup>25</sup>
	1.000	40.99	06/22/20	152.01	111.02 W	0.00	86 of 96 - Option sale to close-put <sup>25</sup>
	2.000	81.99	06/22/20	304.02	222.03 W	0.00	87 of 96 - Option sale to close-put <sup>25</sup>
	1.000	40.98	06/22/20	661.02	620.04 W	0.00	88 of 96 - Option sale to close-put <sup>25</sup>
	1.000	40.98	06/22/20	488.01	447.03 W	0.00	89 of 96 - Option sale to close-put <sup>25</sup>
	2.000	81.99	06/22/20	976.07	894.08 W	0.00	90 of 96 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	242.02	201.02 W	0.00	91 of 96 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.00	111.00 W	0.00	92 of 96 - Option sale to close-put <sup>25</sup>
	1.000	41.00	06/22/20	152.02	111.02 W	0.00	93 of 96 - Option sale to close-put <sup>25</sup>
	2.000	81.99	06/22/20	304.03	222.04 W	0.00	94 of 96 - Option sale to close-put <sup>25</sup>
	2.000	81.99	06/22/20	304.03	222.04 W	0.00	95 of 96 - Option sale to close-put <sup>25</sup>
	2.000	81.99	06/22/20	304.03	222.04 W	0.00	96 of 96 - Option sale to close-put <sup>25</sup>
06/22/20	180.000	7,527.20	Various	30,510.24	22,983.04 W	0.00	Total of 96 transactions
06/23/20	1.000	24.98	06/22/20	164.02	...	-139.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>9,440.02</b>		<b>39,834.04</b>	<b>22,983.04 W</b>	<b>-7,410.98</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 07/24/2020 CALL \$620.00 / CUSIP: / Symbol:							
07/13/20	1.000	1,399.95	07/13/20	1,620.00	220.05 W	0.00	Option sale to close-call <sup>25</sup>
07/14/20	1.000	799.97	07/13/20	1,910.08	...	-1,110.11	Option sale to close-call <sup>25</sup>
07/14/20	1.000	799.97	07/13/20	1,510.05	710.08 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,999.89</b>		<b>5,040.13</b>	<b>930.13 W</b>	<b>-1,110.11</b>	
NFLX 07/24/2020 CALL \$630.00 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	699.97	07/13/20	1,750.00	1,050.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	699.97	07/13/20	2,250.03	1,550.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	1,399.94	Various	4,000.03	2,600.09 W	0.00	Total of 2 transactions
07/15/20	1.000	669.97	07/14/20	2,350.06	...	-1,680.09	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,069.91</b>		<b>6,350.09</b>	<b>2,600.09 W</b>	<b>-1,680.09</b>	
NIO 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
08/25/20	1.000	484.97	08/11/20	385.00	...	99.97	Option sale to close-call <sup>25</sup>
NIO 01/15/2021 CALL \$18.00 / CUSIP: / Symbol:							
09/10/20	1.000	499.97	09/08/20	495.00	...	4.97	Option sale to close-call <sup>25</sup>
09/29/20	1.000	599.97	09/10/20	470.00	...	129.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,099.94</b>		<b>965.00</b>	...	<b>134.94</b>	
NIO 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
11/13/20	1.000	2,399.93	11/09/20	1,540.00	...	859.93	Option sale to close-call <sup>25</sup>
11/18/20	1.000	1,644.95	11/16/20	1,785.00	...	-140.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,044.88</b>		<b>3,325.00</b>	...	<b>719.88</b>	
NIO 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
09/29/20	1.000	569.97	09/08/20	455.00	...	114.97	Option sale to close-call <sup>25</sup>
NIO 02/19/2021 CALL \$42.00 / CUSIP: / Symbol:							
12/15/20	1.000	849.97	12/11/20	805.00	...	44.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 06/05/2020 PUT \$4.00 / CUSIP: / Symbol:							
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	2.000	7.99	06/01/20	18.00	...	-10.01	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	3.99	06/01/20	9.00	...	-5.01	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	4.00	06/01/20	9.00	...	-5.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	4.00	06/01/20	9.00	...	-5.00	4 of 4 - Option sale to close-put <sup>25</sup>
06/02/20	5.000	19.98	Various	45.00	...	-25.02	Total of 4 transactions
NIO 10/02/2020 CALL \$19.00 / CUSIP: / Symbol:							
09/03/20	1.000	279.98	09/03/20	260.00	...	19.98	Option sale to close-call <sup>25</sup>
09/22/20	1.000	139.98	09/21/20	146.00	...	-6.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>419.96</b>		<b>406.00</b>	...	<b>13.96</b>	
NIO 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
11/24/20	1.000	909.96	11/23/20	810.00	...	99.96	Option sale to close-call <sup>25</sup>
NKLA 01/15/2021 CALL \$22.50 / CUSIP: / Symbol:							
12/01/20	1.000	219.98	11/30/20	445.00	...	-225.02	Option sale to close-call <sup>25</sup>
NKLA 07/17/2020 CALL \$62.00 / CUSIP: / Symbol:							
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	07/02/20	515.02	...	-420.03	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	95.00	07/02/20	290.00	...	-195.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	94.99	07/02/20	250.00	...	-155.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	95.00	07/02/20	240.00	...	-145.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	94.99	07/06/20	135.00	...	-40.01	5 of 5 - Option sale to close-call <sup>25</sup>
07/06/20	5.000	474.97	Various	1,430.02	...	-955.05	Total of 5 transactions
07/06/20	1.000	154.98	07/02/20	370.00	215.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>629.95</b>		<b>1,800.02</b>	<b>215.02 W</b>	<b>-955.05</b>	
NKLA 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/21/20	1.000	669.97	07/20/20	570.00	...	99.97	Option sale to close-call <sup>25</sup>
08/04/20	1.000	499.97	07/23/20	350.00	...	149.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,169.94</b>		<b>920.00</b>	...	<b>249.94</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 09/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/08/20	1.000	579.97	09/02/20	200.00	...	379.97	Option sale to close-call <sup>25</sup>
NKLA 09/25/2020 CALL \$38.00 / CUSIP: / Symbol:							
09/08/20	1.000	857.97	09/03/20	380.00	...	477.97	Option sale to close-call <sup>25</sup>
NKLA 10/16/2020 CALL \$24.50 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/08/20	165.00	...	-165.00	Option expiration- long call
NKLA 10/30/2020 CALL \$24.00 / CUSIP: / Symbol:							
	<i>3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	10/09/20	310.00	...	-310.00	1 of 3 - Option expiration- long call
	1.000	0.00	10/15/20	170.00	...	-170.00	2 of 3 - Option expiration- long call
	1.000	0.00	10/23/20	65.00	...	-65.00	3 of 3 - Option expiration- long call
10/30/20	3.000	0.00	Various	545.00	...	-545.00	Total of 3 transactions
NOK 01/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
10/20/20	1.000	17.98	10/19/20	18.00	...	-0.02	Option sale to close-call <sup>25</sup>
NVAX 06/12/2020 CALL \$46.00 / CUSIP: / Symbol:							
06/11/20	1.000	199.98	06/11/20	150.00	...	49.98	Option sale to close-call <sup>25</sup>
NVAX 06/12/2020 CALL \$48.00 / CUSIP: / Symbol:							
06/11/20	1.000	44.98	06/11/20	140.00	...	-95.02	Option sale to close-call <sup>25</sup>
OLED 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
07/07/20	1.000	234.98	07/07/20	260.00	...	-25.02	Option sale to close-call <sup>25</sup>
PANW 08/28/2020 CALL \$275.00 / CUSIP: / Symbol:							
08/27/20	1.000	9.98	08/25/20	741.04	...	-731.06	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.98	08/24/20	610.00	600.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	08/25/20	690.02	680.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	2.000	19.96	Various	1,300.02	1,280.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>29.94</b>		<b>2,041.06</b>	<b>1,280.06 W</b>	<b>-731.06</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PANW 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/11/20	1.000	199.98	09/03/20	595.00	395.02 W	0.00	Option sale to close-call <sup>25</sup>
09/14/20	1.000	199.98	09/08/20	595.02	...	-395.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>399.96</b>		<b>1,190.02</b>	<b>395.02 W</b>	<b>-395.04</b>	
PANW 09/18/2020 CALL \$260.00 / CUSIP: / Symbol:							
09/01/20	1.000	709.97	08/27/20	610.00	...	99.97	Option sale to close-call <sup>25</sup>
PCG 10/16/2020 CALL \$10.00 / CUSIP: / Symbol:							
09/22/20	1.000	30.98	09/18/20	26.00	...	4.98	Option sale to close-call <sup>25</sup>
PCG 10/16/2020 CALL \$9.50 / CUSIP: / Symbol:							
10/01/20	1.000	44.98	09/28/20	35.00	...	9.98	Option sale to close-call <sup>25</sup>
PCG 10/30/2020 CALL \$10.00 / CUSIP: / Symbol:							
10/14/20	1.000	63.98	10/12/20	64.00	...	-0.02	Option sale to close-call <sup>25</sup>
PFE 01/15/2021 CALL \$37.00 / CUSIP: / Symbol:							
11/27/20	1.000	166.98	11/20/20	178.00	...	-11.02	Option sale to close-call <sup>25</sup>
PFE 05/22/2020 CALL \$38.50 / CUSIP: / Symbol:							
05/19/20	2.000	27.98	05/13/20	46.00	...	-18.02	Option sale to close-call <sup>25</sup>
PLUG 08/21/2020 CALL \$9.00 / CUSIP: / Symbol:							
07/10/20	1.000	179.98	07/09/20	150.00	...	29.98	Option sale to close-call <sup>25</sup>
07/15/20	1.000	122.98	07/13/20	122.02	...	0.96	Option sale to close-call <sup>25</sup>
07/15/20	1.000	122.98	07/13/20	135.00	12.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	134.99	07/20/20	92.00	...	42.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	134.99	07/20/20	92.00	...	42.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	269.98	Various	184.00	...	85.98	Total of 2 transactions
	6 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	99.00	07/22/20	99.00	...	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	98.99	07/22/20	97.00	...	1.99	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	98.99	07/23/20	90.00	...	8.99	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	99.00	07/23/20	87.00	...	12.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	98.98	07/23/20	86.00	...	12.98	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG 08/21/2020 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	1.000	98.99	07/23/20	86.00	...	12.99	6 of 6 - Option sale to close-call <sup>25</sup>
08/03/20	6.000	593.95	Various	545.00	...	48.95	Total of 6 transactions
	<b>Security total:</b>	<b>1,289.87</b>		<b>1,136.02</b>	<b>12.02 W</b>	<b>165.87</b>	
PTON 07/31/2020 CALL \$68.00 / CUSIP: / Symbol:							
07/13/20	1.000	484.97	07/10/20	490.00	...	-5.03	Option sale to close-call <sup>25</sup>
RCL 06/26/2020 PUT \$54.00 / CUSIP: / Symbol:							
06/19/20	1.000	339.98	06/19/20	310.00	...	29.98	Option sale to close-put <sup>25</sup>
RKT 10/16/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	74.98	09/23/20	185.01	...	-110.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	110.98	09/29/20	79.01	...	31.97	2 of 2 - Option sale to close-call <sup>25</sup>
10/01/20	2.000	185.96	Various	264.02	...	-78.06	Total of 2 transactions
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	69.99	09/14/20	210.00	140.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	69.99	09/18/20	120.00	50.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/01/20	2.000	139.98	Various	330.00	190.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>325.94</b>		<b>594.02</b>	<b>190.02 W</b>	<b>-78.06</b>	
ROKU 06/05/2020 CALL \$107.00 / CUSIP: / Symbol:							
06/04/20	1.000	169.98	06/03/20	120.00	...	49.98	Option sale to close-call <sup>25</sup>
ROKU 06/26/2020 PUT \$120.00 / CUSIP: / Symbol:							
06/19/20	1.000	205.98	06/18/20	350.00	...	-144.02	Option sale to close-put <sup>25</sup>
ROKU 07/02/2020 CALL \$124.00 / CUSIP: / Symbol:							
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	06/29/20	300.00	...	-200.01	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	100.00	06/29/20	200.00	...	-100.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	99.99	06/29/20	140.00	...	-40.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	100.00	06/29/20	120.00	...	-20.00	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	99.99	06/29/20	80.00	...	19.99	5 of 5 - Option sale to close-call <sup>25</sup>
06/30/20	5.000	499.97	Various	840.00	...	-340.03	Total of 5 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 07/10/2020 PUT \$118.00 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	07/01/20	480.01	...	-315.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	164.99	07/01/20	371.01	...	-206.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	164.99	07/01/20	162.00	...	2.99	3 of 3 - Option sale to close-put <sup>25</sup>
07/02/20	3.000	494.97	Various	1,013.02	...	-518.05	Total of 3 transactions
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	07/01/20	410.00	245.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	164.99	07/01/20	310.00	145.01 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
07/02/20	2.000	329.98	Various	720.00	390.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>824.95</b>		<b>1,733.02</b>	<b>390.02 W</b>	<b>-518.05</b>	
ROKU 10/02/2020 PUT \$175.00 / CUSIP: / Symbol:							
09/22/20	1.000	499.97	09/21/20	400.00	...	99.97	Option sale to close-put <sup>25</sup>
09/23/20	1.000	349.98	09/22/20	340.00	...	9.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>849.95</b>		<b>740.00</b>	...	<b>109.95</b>	
SAVE 06/19/2020 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	06/03/20	30.00	...	-15.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	14.98	06/03/20	30.00	...	-15.02	2 of 2 - Option sale to close-put <sup>25</sup>
06/04/20	2.000	29.96	Various	60.00	...	-30.04	Total of 2 transactions
SAVE 07/17/2020 PUT \$12.50 / CUSIP: / Symbol:							
06/25/20	2.000	99.98	06/24/20	100.00	...	-0.02	Option sale to close-put <sup>25</sup>
SAVE 11/20/2020 CALL \$20.00 / CUSIP: / Symbol:							
10/20/20	1.000	60.98	10/19/20	55.00	...	5.98	Option sale to close-call <sup>25</sup>
SDOW 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/06/20	1.000	124.98	11/05/20	120.00	...	4.98	Option sale to close-call <sup>25</sup>
SDOW 07/17/2020 PUT \$20.00 / CUSIP: / Symbol:							
06/16/20	1.000	69.98	06/12/20	90.00	...	-20.02	Option sale to close-put <sup>25</sup>

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Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SDOW 08/21/2020 CALL \$21.00 / CUSIP: / Symbol:							
07/20/20	1.000	229.98	07/14/20	290.00	...	-60.02	Option sale to close-call <sup>25</sup>
SDOW 11/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
11/12/20	1.000	79.98	11/09/20	70.00	...	9.98	Option sale to close-call <sup>25</sup>
11/20/20	1.000	0.00	11/13/20	35.00	...	-35.00	Option expiration- long call
	<b>Security total:</b>	<b>79.98</b>		<b>105.00</b>	...	<b>-25.02</b>	
SHLL 08/21/2020 CALL \$22.50 / CUSIP: / Symbol:							
08/13/20	1.000	199.98	07/23/20	430.02	...	-230.04	Option sale to close-call <sup>25</sup>
08/13/20	1.000	129.98	07/15/20	360.00	230.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>329.96</b>		<b>790.02</b>	<b>230.02 W</b>	<b>-230.04</b>	
SNAP 01/29/2021 CALL \$51.00 / CUSIP: / Symbol:							
12/16/20	1.000	419.98	12/16/20	370.00	...	49.98	Option sale to close-call <sup>25</sup>
SNAP 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/29/20	1.000	599.97	12/24/20	530.00	...	69.97	Option sale to close-call <sup>25</sup>
SNAP 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/16/20	1.000	834.97	12/15/20	780.00	...	54.97	Option sale to close-call <sup>25</sup>
SNAP 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/17/20	1.000	199.98	07/16/20	171.00	...	28.98	Option sale to close-call <sup>25</sup>
SPG 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	349.98	08/11/20	380.00	30.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	349.98	08/11/20	415.02	65.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	699.96	Various	795.02	95.06 W	0.00	Total of 2 transactions
09/16/20	1.000	259.98	09/10/20	300.06	...	-40.08	Option sale to close-call <sup>25</sup>
09/16/20	1.000	259.98	08/18/20	335.04	75.06 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,219.92</b>		<b>1,430.12</b>	<b>170.12 W</b>	<b>-40.08</b>	

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPG 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
10/06/20	1.000	499.97	09/18/20	540.00	40.03 W	0.00	Option sale to close-call <sup>25</sup>
10/23/20	1.000	279.98	10/09/20	440.03	160.05 W	0.00	Option sale to close-call <sup>25</sup>
10/29/20	1.000	196.98	10/16/20	410.05	213.07 W	0.00	Option sale to close-call <sup>25</sup>
11/09/20	1.000	799.97	10/27/20	383.07	...	416.90	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,776.90</b>		<b>1,773.15</b>	<b>413.15 W</b>	<b>416.90</b>	
SPY 06/15/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/12/20	2.000	129.98	06/12/20	130.00	...	-0.02	Option sale to close-call <sup>25</sup>
SPY 06/17/2020 CALL \$310.00 / CUSIP: / Symbol:							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	139.98	06/15/20	160.00	...	-20.02	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,249.96	06/15/20	1,150.00	...	99.96	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	1,099.96	06/15/20	1,000.00	...	99.96	3 of 3 - Option sale to close-call <sup>25</sup>
06/15/20	11.000	2,489.90	Various	2,310.00	...	179.90	Total of 3 transactions
SPY 06/17/2020 PUT \$310.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	205.98	06/16/20	285.01	...	-79.03	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	499.97	06/16/20	540.01	...	-40.04	2 of 2 - Option sale to close-put <sup>25</sup>
06/16/20	3.000	705.95	Various	825.02	...	-119.07	Total of 2 transactions
06/16/20	10.000	1,589.94	06/16/20	1,940.00	105.02 W	-245.04	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>2,295.89</b>		<b>2,765.02</b>	<b>105.02 W</b>	<b>-364.11</b>	
SPY 06/19/2020 PUT \$280.00 / CUSIP: / Symbol:							
06/12/20	1.000	174.98	06/12/20	190.00	15.02 W	0.00	Option sale to close-put <sup>25</sup>
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	10.00	06/12/20	190.00	...	-180.00	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	9.99	06/15/20	95.02	...	-85.03	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	19.99	06/15/20	100.00	...	-80.01	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	10.00	06/15/20	40.00	...	-30.00	4 of 4 - Option sale to close-put <sup>25</sup>
06/16/20	5.000	49.98	Various	425.02	...	-375.04	Total of 4 transactions
<b>Security total:</b>		<b>224.96</b>		<b>615.02</b>	<b>15.02 W</b>	<b>-375.04</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/19/2020 PUT \$305.00 / CUSIP: / Symbol:							
06/16/20	1.000	249.98	06/16/20	225.00	...	24.98	Option sale to close-put <sup>25</sup>
SPY 06/19/2020 PUT \$310.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	499.97	06/16/20	480.00	...	19.97	1 of 3 - Option sale to close-put <sup>25</sup>
	4.000	2,279.93	06/16/20	1,920.00	...	359.93	2 of 3 - Option sale to close-put <sup>25</sup>
	5.000	3,249.91	06/16/20	2,400.00	...	849.91	3 of 3 - Option sale to close-put <sup>25</sup>
06/16/20	10.000	6,029.81	Various	4,800.00	...	1,229.81	Total of 3 transactions
SPY 06/22/2020 PUT \$280.00 / CUSIP: / Symbol:							
06/12/20	1.000	249.98	06/12/20	200.00	...	49.98	Option sale to close-put <sup>25</sup>
SPY 06/22/2020 PUT \$310.00 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	06/18/20	368.00	...	11.98	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	759.97	06/18/20	736.00	...	23.97	2 of 3 - Option sale to close-put <sup>25</sup>
	2.000	779.97	06/18/20	736.00	...	43.97	3 of 3 - Option sale to close-put <sup>25</sup>
06/18/20	5.000	1,919.92	Various	1,840.00	...	79.92	Total of 3 transactions
SPY 06/26/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/19/20	1.000	429.98	06/19/20	420.00	...	9.98	Option sale to close-call <sup>25</sup>
SPY 06/26/2020 PUT \$306.00 / CUSIP: / Symbol:							
06/22/20	5.000	1,599.95	06/22/20	1,525.00	...	74.95	Option sale to close-put <sup>25</sup>
SPY 08/12/2020 PUT \$333.00 / CUSIP: / Symbol:							
08/07/20	1.000	229.98	08/06/20	219.00	...	10.98	Option sale to close-put <sup>25</sup>
SPY 11/06/2020 PUT \$312.50 / CUSIP: / Symbol:							
11/06/20	1.000	0.00	11/03/20	98.00	...	-98.00	Option expiration- long put
STM 08/21/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/27/20	1.000	24.98	07/23/20	27.00	...	-2.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STNE 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	419.98	08/26/20	410.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.98	08/26/20	360.00	...	89.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/27/20	2.000	869.96	Various	770.00	...	99.96	Total of 2 transactions
09/21/20	1.000	369.98	09/18/20	340.00	...	29.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,239.94</b>		<b>1,110.00</b>	...	<b>129.94</b>	
STNE 10/30/2020 CALL \$56.00 / CUSIP: / Symbol:							
10/30/20	1.000	139.98	10/27/20	250.00	...	-110.02	Option sale to close-call <sup>25</sup>
SYF 06/05/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/04/20	3.000	59.98	06/03/20	45.00	...	14.98	Option sale to close-call <sup>25</sup>
T 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
09/02/20	1.000	169.98	08/26/20	160.00	...	9.98	Option sale to close-call <sup>25</sup>
TDOC 06/19/2020 CALL \$175.00 / CUSIP: / Symbol:							
06/15/20	1.000	999.96	06/12/20	500.00	...	499.96	Option sale to close-call <sup>25</sup>
TSLA 06/12/2020 CALL \$1,020.00 / CUSIP: / Symbol:							
06/11/20	2.000	2,369.93	06/11/20	3,130.00	...	-760.07	Option sale to close-call <sup>25</sup>
TSLA 06/12/2020 PUT \$950.00 / CUSIP: / Symbol:							
06/11/20	1.000	449.98	06/11/20	400.00	...	49.98	Option sale to close-put <sup>25</sup>
TSLA 07/10/2020 CALL \$1,600.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,349.96	07/07/20	1,400.09	...	-50.13	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,048.94	07/07/20	1,780.00	...	268.94	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	3,398.90	Various	3,180.09	...	218.81	Total of 2 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,149.96	07/07/20	1,800.00	650.04 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,549.95	07/07/20	1,700.04	150.09 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	2,699.91	Various	3,500.04	800.13 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,098.81</b>		<b>6,680.13</b>	<b>800.13 W</b>	<b>218.81</b>	

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/24/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
07/21/20	1.000	2,399.93	07/17/20	1,300.00	...	1,099.93	Option sale to close-call <sup>25</sup>
07/22/20	1.000	1,799.95	07/21/20	1,700.00	...	99.95	Option sale to close-call <sup>25</sup>
07/23/20	1.000	18.98	07/22/20	1,800.00	...	-1,781.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,218.86</b>		<b>4,800.00</b>	...	<b>-581.14</b>	
TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/23/20	1.000	69.98	09/21/20	1,650.00	...	-1,580.02	Option sale to close-call <sup>25</sup>
TTD 07/10/2020 PUT \$425.00 / CUSIP: / Symbol:							
4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,139.96	07/01/20	1,220.04	...	-80.08	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	1,299.96	07/01/20	1,280.00	...	19.96	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	1,139.97	07/01/20	1,050.00	...	89.97	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	1,299.97	07/01/20	1,140.00	...	159.97	4 of 4 - Option sale to close-put <sup>25</sup>
07/01/20	4.000	4,879.86	Various	4,690.04	...	189.82	Total of 4 transactions
07/01/20	1.000	1,119.96	07/01/20	1,240.00	120.04 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>5,999.82</b>		<b>5,930.04</b>	<b>120.04 W</b>	<b>189.82</b>	
TTD 07/17/2020 PUT \$450.00 / CUSIP: / Symbol:							
07/02/20	1.000	1,999.94	07/02/20	2,000.00	...	-0.06	Option sale to close-put <sup>25</sup>
TTD 07/17/2020 PUT \$465.00 / CUSIP: / Symbol:							
07/07/20	1.000	2,019.94	07/07/20	2,000.00	...	19.94	Option sale to close-put <sup>25</sup>
TWLO 06/26/2020 PUT \$210.00 / CUSIP: / Symbol:							
06/24/20	1.000	319.98	06/22/20	310.00	...	9.98	Option sale to close-put <sup>25</sup>
TWLO 07/02/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	544.97	06/29/20	500.00	...	44.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	549.97	06/29/20	470.00	...	79.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/20	2.000	1,094.94	Various	970.00	...	124.94	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWLO 07/10/2020 PUT \$217.50 / CUSIP: / Symbol:							
06/30/20	1.000	619.97	06/30/20	570.03	...	49.94	Option sale to close-put <sup>25</sup>
06/30/20	1.000	619.97	06/30/20	620.00	0.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,239.94</b>		<b>1,190.03</b>	<b>0.03 W</b>	<b>49.94</b>	
TWLO 07/10/2020 PUT \$222.50 / CUSIP: / Symbol:							
8 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	07/01/20	600.00	...	-400.01	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	199.99	07/01/20	585.00	...	-385.01	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	199.99	07/01/20	520.00	...	-320.01	3 of 8 - Option sale to close-put <sup>25</sup>
	1.000	199.99	07/01/20	490.00	...	-290.01	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	200.00	07/01/20	450.00	...	-250.00	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	199.99	07/02/20	300.00	...	-100.01	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	200.00	07/02/20	260.00	...	-60.00	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	199.99	07/02/20	200.00	...	-0.01	8 of 8 - Option sale to close-put <sup>25</sup>
07/02/20	8.000	1,599.94	Various	3,405.00	...	-1,805.06	Total of 8 transactions
TXRH 09/18/2020 PUT \$60.00 / CUSIP: / Symbol:							
08/26/20	1.000	169.98	08/24/20	195.00	...	-25.02	Option sale to close-put <sup>25</sup>
UAL 06/19/2020 PUT \$37.00 / CUSIP: / Symbol:							
06/15/20	1.000	139.98	06/15/20	180.00	...	-40.02	Option sale to close-put <sup>25</sup>
UAL 07/10/2020 CALL \$35.00 / CUSIP: / Symbol:							
07/01/20	1.000	169.98	07/01/20	170.00	...	-0.02	Option sale to close-call <sup>25</sup>
UAL 07/17/2020 CALL \$34.00 / CUSIP: / Symbol:							
07/07/20	1.000	179.98	07/07/20	178.00	...	1.98	Option sale to close-call <sup>25</sup>
UAL 07/17/2020 PUT \$29.00 / CUSIP: / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	174.98	06/25/20	180.00	5.02 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	174.98	06/25/20	180.00	5.02 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	174.98	06/25/20	180.00	5.02 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
06/26/20	3.000	524.94	Various	540.00	15.06 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UAL 07/17/2020 PUT \$29.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	65.98	06/25/20	180.00	...	-114.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	65.98	06/29/20	105.02	...	-39.04	2 of 2 - Option sale to close-put <sup>25</sup>
07/07/20	2.000	131.96	Various	285.02	...	-153.06	Total of 2 transactions
07/07/20	1.000	65.98	06/25/20	180.00	114.02 W	0.00	Option sale to close-put <sup>25</sup>
3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	109.99	07/02/20	69.02	...	40.97	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	109.99	07/06/20	51.02	...	58.97	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	109.98	07/08/20	182.02	...	-72.04	3 of 3 - Option sale to close-put <sup>25</sup>
07/09/20	3.000	329.96	Various	302.06	...	27.90	Total of 3 transactions
	<b>Security total:</b>	<b>1,052.84</b>		<b>1,307.08</b>	<b>129.08 W</b>	<b>-125.16</b>	
UAL 10/16/2020 CALL \$37.00 / CUSIP: / Symbol:							
09/16/20	1.000	379.98	09/15/20	296.00	...	83.98	Option sale to close-call <sup>25</sup>
UAL 10/30/2020 CALL \$35.00 / CUSIP: / Symbol:							
10/19/20	1.000	181.98	10/16/20	137.00	...	44.98	Option sale to close-call <sup>25</sup>
10/22/20	1.000	203.98	10/21/20	164.00	...	39.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>385.96</b>		<b>301.00</b>	...	<b>84.96</b>	
UAL 10/30/2020 CALL \$40.00 / CUSIP: / Symbol:							
10/23/20	1.000	59.98	10/22/20	64.00	...	-4.02	Option sale to close-call <sup>25</sup>
UAL 11/13/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/09/20	1.000	799.97	11/06/20	107.00	...	692.97	Option sale to close-call <sup>25</sup>
UPS 09/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/02/20	1.000	117.98	08/28/20	108.00	...	9.98	Option sale to close-call <sup>25</sup>
UPS 09/11/2020 CALL \$162.50 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	369.98	09/01/20	280.00	...	89.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	399.98	09/02/20	300.00	...	99.98	2 of 2 - Option sale to close-call <sup>25</sup>
09/02/20	2.000	769.96	Various	580.00	...	189.96	Total of 2 transactions

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Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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UPS 09/11/2020 CALL \$162.50 / CUSIP: / Symbol: (cont'd)							
09/04/20	1.000	247.98	09/03/20	235.02	...	12.96	Option sale to close-call <sup>25</sup>
09/04/20	1.000	259.98	09/03/20	280.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,277.92</b>		<b>1,095.02</b>	<b>20.02 W</b>	<b>202.92</b>	
UPS 09/11/2020 CALL \$165.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	215.99	09/01/20	202.00	...	13.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	215.99	09/01/20	196.00	...	19.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	215.99	09/01/20	170.00	...	45.99	3 of 3 - Option sale to close-call <sup>25</sup>
09/02/20	3.000	647.97	Various	568.00	...	79.97	Total of 3 transactions
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	189.98	09/03/20	175.03	...	14.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	165.99	09/03/20	143.00	...	22.99	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	355.97	Various	318.03	...	37.94	Total of 2 transactions
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	165.98	09/03/20	202.00	36.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	165.99	09/03/20	216.02	50.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/04/20	2.000	331.97	Various	418.02	86.05 W	0.00	Total of 2 transactions
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	09/03/20	128.00	...	-88.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	39.99	09/03/20	100.00	...	-60.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/09/20	2.000	79.98	Various	228.00	...	-148.02	Total of 2 transactions
	<b>Security total:</b>	<b>1,415.89</b>		<b>1,532.05</b>	<b>86.05 W</b>	<b>-30.11</b>	
USO 05/29/2020 CALL \$24.00 / CUSIP: / Symbol:							
05/20/20	1.000	152.98	05/19/20	120.00	...	32.98	Option sale to close-call <sup>25</sup>
UVXY 06/19/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/16/20	1.000	304.98	06/16/20	310.00	...	-5.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 06/26/2020 PUT \$38.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	499.97	06/12/20	440.00	...	59.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	479.97	06/12/20	400.00	...	79.97	2 of 2 - Option sale to close-put <sup>25</sup>
06/12/20	2.000	979.94	Various	840.00	...	139.94	Total of 2 transactions
VZ 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
10/14/20	1.000	9.98	10/13/20	49.00	...	-39.02	Option sale to close-call <sup>25</sup>
WFC 09/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/02/20	1.000	59.98	08/28/20	75.00	...	-15.02	Option sale to close-call <sup>25</sup>
WKHS 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/07/20	1.000	649.97	07/01/20	600.00	...	49.97	Option sale to close-call <sup>25</sup>
07/13/20	1.000	699.97	07/06/20	700.00	0.03 W	0.00	Option sale to close-call <sup>25</sup>
07/14/20	1.000	649.97	07/13/20	600.03	...	49.94	Option sale to close-call <sup>25</sup>
07/30/20	1.000	609.97	07/14/20	680.00	...	-70.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,609.88</b>		<b>2,580.03</b>	<b>0.03 W</b>	<b>29.88</b>	
WKHS 01/15/2021 CALL \$16.00 / CUSIP: / Symbol:							
07/20/20	1.000	609.97	07/02/20	840.00	...	-230.03	Option sale to close-call <sup>25</sup>
WKHS 01/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	699.97	07/02/20	780.00	80.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	669.97	07/06/20	730.03	60.06 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	2.000	1,369.94	Various	1,510.03	140.09 W	0.00	Total of 2 transactions
07/07/20	1.000	589.97	07/06/20	690.06	...	-100.09	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,959.91</b>		<b>2,200.09</b>	<b>140.09 W</b>	<b>-100.09</b>	
WKHS 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/29/20	1.000	199.98	06/29/20	150.00	...	49.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	259.99	06/29/20	265.00	...	-5.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	259.98	06/29/20	215.00	...	44.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/20	2.000	519.97	Various	480.00	...	39.97	Total of 2 transactions
WKHS 07/17/2020 CALL \$19.00 / CUSIP: / Symbol:							
07/01/20	1.000	369.98	06/30/20	300.00	...	69.98	Option sale to close-call <sup>25</sup>
WKHS 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	06/30/20	370.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	369.98	06/30/20	340.00	...	29.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/20	2.000	749.96	Various	710.00	...	39.96	Total of 2 transactions
6 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	06/30/20	360.00	10.02 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.99	06/30/20	330.02	20.03 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.99	06/30/20	320.00	10.01 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.98	06/30/20	320.00	10.02 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.99	06/30/20	320.03	10.04 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	309.98	06/30/20	310.01	0.03 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
06/30/20	6.000	1,899.91	Various	1,960.06	60.15 W	0.00	Total of 6 transactions
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	06/30/20	310.04	...	-20.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	289.99	06/30/20	300.03	...	-10.04	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	2.000	579.98	Various	610.07	...	-30.09	Total of 2 transactions
07/01/20	1.000	289.99	06/30/20	310.02	20.03 W	0.00	Option sale to close-call <sup>25</sup>
07/02/20	1.000	399.98	06/30/20	320.03	...	79.95	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,919.82</b>		<b>3,910.18</b>	<b>80.18 W</b>	<b>89.82</b>	
WKHS 07/17/2020 PUT \$12.50 / CUSIP: / Symbol:							
06/29/20	1.000	339.98	06/29/20	340.00	...	-0.02	Option sale to close-put <sup>25</sup>
WMT 09/11/2020 CALL \$137.00 / CUSIP: / Symbol:							
09/01/20	1.000	899.97	08/31/20	320.00	...	579.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/11/2020 CALL \$146.00 / CUSIP: / Symbol:							
09/03/20	1.000	499.97	09/02/20	375.00	...	124.97	Option sale to close-call <sup>25</sup>
WMT 09/11/2020 CALL \$150.00 / CUSIP: / Symbol:							
4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/01/20	320.00	...	-320.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/02/20	246.00	...	-246.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/03/20	123.00	...	-123.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/04/20	72.00	...	-72.00	4 of 4 - Option expiration- long call
09/11/20	4.000	0.00	Various	761.00	...	-761.00	Total of 4 transactions
WORK 09/04/2020 CALL \$34.00 / CUSIP: / Symbol:							
09/02/20	1.000	58.98	09/02/20	59.00	...	-0.02	Option sale to close-call <sup>25</sup>
ZEN 06/19/2020 CALL \$85.00 / CUSIP: / Symbol:							
4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	16.00	06/18/20	83.03	...	-67.03	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	15.99	06/18/20	78.02	...	-62.03	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	16.00	06/18/20	34.01	...	-18.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	15.99	06/18/20	34.00	...	-18.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/18/20	4.000	63.98	Various	229.06	...	-165.08	Total of 4 transactions
7 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	06/18/20	70.00	20.01 W	0.00	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	49.99	06/18/20	80.00	30.01 W	0.00	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	16.00	06/18/20	60.01	44.01 W	0.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	15.99	06/18/20	65.01	49.02 W	0.00	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	31.99	06/18/20	60.00	28.01 W	0.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	15.99	06/18/20	74.01	58.02 W	0.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	15.99	06/18/20	79.02	63.03 W	0.00	7 of 7 - Option sale to close-call <sup>25</sup>
06/18/20	8.000	195.94	Various	488.05	292.11 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>259.92</b>		<b>717.11</b>	<b>292.11 W</b>	<b>-165.08</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 08/28/2020 CALL \$295.00 / CUSIP: / Symbol:							
08/11/20	1.000	79.98	08/07/20	180.00	100.02 W	0.00	Option sale to close-call <sup>25</sup>
08/13/20	1.000	94.98	08/10/20	215.02	120.04 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	08/11/20	195.04	...	204.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	379.98	08/12/20	45.00	...	334.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/18/20	2.000	779.96	Various	240.04	...	539.92	Total of 2 transactions
<b>Security total:</b>		<b>954.92</b>		<b>635.06</b>	<b>220.06 W</b>	<b>539.92</b>	
ZM 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/24/20	1.000	599.97	08/21/20	500.00	...	99.97	Option sale to close-call <sup>25</sup>
08/25/20	1.000	349.98	08/24/20	500.00	150.02 W	0.00	Option sale to close-call <sup>25</sup>
08/26/20	1.000	399.98	08/24/20	350.02	...	49.96	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,349.93</b>		<b>1,350.02</b>	<b>150.02 W</b>	<b>149.93</b>	
ZM 08/28/2020 CALL \$315.00 / CUSIP: / Symbol:							
08/17/20	1.000	74.99	08/07/20	70.00	...	4.99	Option sale to close-call <sup>25</sup>
08/17/20	1.000	74.99	08/06/20	170.00	95.01 W	0.00	Option sale to close-call <sup>25</sup>
08/18/20	1.000	139.98	08/11/20	125.01	...	14.97	Option sale to close-call <sup>25</sup>
08/19/20	1.000	159.98	08/11/20	30.00	...	129.98	Option sale to close-call <sup>25</sup>
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	08/17/20	65.00	...	134.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.99	08/19/20	150.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
08/20/20	2.000	399.98	Various	215.00	...	184.98	Total of 2 transactions
<b>Security total:</b>		<b>849.92</b>		<b>610.01</b>	<b>95.01 W</b>	<b>334.92</b>	
ZM 09/04/2020 CALL \$325.00 / CUSIP: / Symbol:							
08/26/20	1.000	899.97	08/25/20	670.00	...	229.97	Option sale to close-call <sup>25</sup>
ZM 09/11/2020 PUT \$400.00 / CUSIP: / Symbol:							
09/02/20	1.000	899.97	09/01/20	600.00	...	299.97	Option sale to close-put <sup>25</sup>
ZS 09/04/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/02/20	1.000	265.98	09/02/20	236.00	...	29.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZS 09/11/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/10/20	1.000	909.96	09/04/20	1,000.00	...	-90.04	Option sale to close-call <sup>25</sup>
ZS 09/25/2020 PUT \$136.00 / CUSIP: / Symbol:							
09/23/20	1.000	289.98	09/22/20	270.00	...	19.98	Option sale to close-put <sup>25</sup>
ZS 12/11/2020 PUT \$175.00 / CUSIP: / Symbol:							
12/04/20	1.000	499.97	12/04/20	380.00	...	119.97	Option sale to close-put <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
04/20/20	100.000	334.99	04/13/20	227.00	...	107.99	Sale <sup>25</sup>
ADAPT IMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
06/03/20	50.000	599.98	06/01/20	600.00	...	-0.02	Sale <sup>25</sup>
ADVANCE AUTO PARTS INC / CUSIP: 00751Y106 / Symbol:							
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	95.00	03/05/20	129.19	...	-34.19	1 of 3 - Sale <sup>25</sup>
	1.000	95.00	03/20/20	113.19	...	-18.19	2 of 3 - Sale <sup>25</sup>
	2.000	190.00	03/20/20	158.00	...	32.00	3 of 3 - Sale <sup>25</sup>
03/27/20	4.000	380.00	Various	400.38	...	-20.38	Total of 3 transactions
03/27/20	1.000	95.00	03/05/20	129.19	34.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>475.00</b>		<b>529.57</b>	<b>34.19 W</b>	<b>-20.38</b>	
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	100.000	304.99	08/19/20	275.00	...	29.99	1 of 2 - Sale <sup>25</sup>
	50.000	152.50	08/20/20	111.25	...	41.25	2 of 2 - Sale <sup>25</sup>
08/31/20	150.000	457.49	Various	386.25	...	71.24	Total of 2 transactions
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	21.20	04/27/20	13.85	...	7.35	1 of 2 - Sale <sup>25</sup>
	29.000	620.87	04/27/20	401.65	...	219.22	2 of 2 - Sale <sup>25</sup>
06/10/20	30.000	642.07	Various	415.50	...	226.57	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol: (cont'd)							
06/16/20	50.000	981.97	06/15/20	982.50	0.27 W	-0.26	Sale <sup>25</sup>
07/06/20	25.000	473.00	06/22/20	477.02	...	-4.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,097.04</b>		<b>1,875.02</b>	<b>0.27 W</b>	<b>222.29</b>	
ALEXION PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 015351109 / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	4.000	326.00	03/19/20	329.35	...	-3.35	1 of 2 - Sale <sup>25</sup>
	1.000	81.50	03/19/20	82.34	...	-0.84	2 of 2 - Sale <sup>25</sup>
03/23/20	5.000	407.50	Various	411.69	...	-4.19	Total of 2 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	2.000	406.09	05/04/20	382.00	...	24.09	1 of 2 - Sale <sup>25</sup>
	4.000	812.18	05/04/20	764.00	...	48.18	2 of 2 - Sale <sup>25</sup>
05/12/20	6.000	1,218.27	Various	1,146.00	...	72.27	Total of 2 transactions
05/22/20	3.000	601.03	05/12/20	600.00	...	1.03	Sale <sup>25</sup>
12/23/20	1.000	255.80	12/18/20	260.53	4.73 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,075.10</b>		<b>2,006.53</b>	<b>4.73 W</b>	<b>73.30</b>	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
4 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	5.000	62.50	03/19/20	51.55	...	10.95	1 of 4 - Sale <sup>25</sup>
	25.000	312.50	03/19/20	258.00	...	54.50	2 of 4 - Sale <sup>25</sup>
	15.000	209.99	03/19/20	154.65	...	55.34	3 of 4 - Sale <sup>25</sup>
	35.000	489.99	03/23/20	353.50	...	136.49	4 of 4 - Sale <sup>25</sup>
03/24/20	80.000	1,074.98	Various	817.70	...	257.28	Total of 4 transactions
05/26/20	25.000	262.50	03/25/20	387.50	125.00 W	0.00	Sale <sup>25</sup>
6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	25.000	450.23	03/25/20	387.50	...	62.73	1 of 6 - Sale <sup>25</sup>
	2.000	36.02	04/03/20	19.70	...	16.32	2 of 6 - Sale <sup>25</sup>
	3.000	54.03	04/03/20	29.55	...	24.48	3 of 6 - Sale <sup>25</sup>
	20.000	360.19	05/04/20	294.00	...	66.19	4 of 6 - Sale <sup>25</sup>
	5.000	90.04	06/03/20	84.95	...	5.09	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	5.000	90.05	06/03/20	59.95	...	30.10	6 of 6 - Sale <sup>25</sup>
06/09/20	60.000	1,080.56	Various	875.65	...	204.91	Total of 6 transactions
06/11/20	1.000	14.94	06/10/20	17.75	...	-2.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,432.98</b>		<b>2,098.60</b>	<b>125.00 W</b>	<b>459.38</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	244.50	03/20/20	229.64	...	14.86	1 of 2 - Sale <sup>25</sup>
	1.000	244.50	03/20/20	228.84	...	15.66	2 of 2 - Sale <sup>25</sup>
03/24/20	2.000	489.00	Various	458.48	...	30.52	Total of 2 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	4.000	472.09	08/17/20	458.60	...	13.49	1 of 2 - Sale <sup>25</sup>
	4.000	472.09	08/18/20	458.06	...	14.03	2 of 2 - Sale <sup>25</sup>
11/30/20	8.000	944.18	Various	916.66	...	27.52	Total of 2 transactions
11/30/20	2.000	236.04	08/27/20	251.94	15.90 W	0.00	Sale <sup>25</sup>
12/11/20	2.000	241.46	08/27/20	251.94	10.48 W	0.00	Sale <sup>25</sup>
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	4.000	504.63	08/27/20	503.88	...	0.75	1 of 2 - Sale <sup>25</sup>
	4.000	504.64	08/27/20	500.00	...	4.64	2 of 2 - Sale <sup>25</sup>
12/15/20	8.000	1,009.27	Various	1,003.88	...	5.39	Total of 2 transactions
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	3.000	374.78	08/28/20	374.59	...	0.19	1 of 3 - Sale <sup>25</sup>
	5.000	625.28	08/28/20	624.31	...	0.97	2 of 3 - Sale <sup>25</sup>
	2.000	249.85	09/04/20	227.80	...	22.05	3 of 3 - Sale <sup>25</sup>
12/21/20	10.000	1,249.91	Various	1,226.70	...	23.21	Total of 3 transactions
	<b>Security total:</b>	<b>4,169.86</b>		<b>4,109.60</b>	<b>26.38 W</b>	<b>86.64</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
04/29/20	20.000	15.20	04/14/20	15.20	...	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	17.220	185.98	04/14/20	155.80	...	30.18	1 of 3 - Sale <sup>25</sup>
	3.780	40.82	04/16/20	29.93	...	10.89	2 of 3 - Sale <sup>25</sup>
	5.000	54.00	05/12/20	32.75	...	21.25	3 of 3 - Sale <sup>25</sup>
05/15/20	26.000	280.80	Various	218.48	...	62.32	Total of 3 transactions
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THRE E PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	15.000	121.65	04/07/20	142.50	...	-20.85	1 of 3 - Sale <sup>25</sup>
	18.000	145.98	04/21/20	153.00	...	-7.02	2 of 3 - Sale <sup>25</sup>
	4.000	32.44	04/21/20	34.00	...	-1.56	3 of 3 - Sale <sup>25</sup>
05/29/20	37.000	300.07	Various	329.50	...	-29.43	Total of 3 transactions
05/29/20	10.000	81.10	03/31/20	100.00	18.90 W	0.00	Sale <sup>25</sup>
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	15.00	04/21/20	8.50	...	6.50	1 of 4 - Sale <sup>25</sup>
	2.000	30.00	04/21/20	17.00	...	13.00	2 of 4 - Sale <sup>25</sup>
	4.000	60.00	05/14/20	31.40	...	28.60	3 of 4 - Sale <sup>25</sup>
	5.000	75.00	05/14/20	39.25	...	35.75	4 of 4 - Sale <sup>25</sup>
06/09/20	12.000	180.00	Various	96.15	...	83.85	Total of 4 transactions
06/10/20	1.000	14.57	05/14/20	7.85	...	6.72	Sale <sup>25</sup>
09/08/20	10.000	146.94	08/19/20	118.60	...	28.34	Sale <sup>25</sup>
11/30/20	9.000	197.01	08/19/20	106.73	...	90.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>919.69</b>		<b>758.83</b>	<b>18.90 W</b>	<b>179.76</b>	
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	98.000	318.49	07/07/20	318.53	...	-0.04	1 of 2 - Sale <sup>25</sup>
	1.000	3.25	07/07/20	3.25	...	0.00	2 of 2 - Sale <sup>25</sup>
07/07/20	99.000	321.74	Various	321.78	...	-0.04	Total of 2 transactions
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	100.000	357.99	07/06/20	358.00	0.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	98.000	338.08	07/07/20	343.01	4.93 W	0.00	2 of 5 - Sale <sup>25</sup>
	101.000	348.43	07/07/20	353.50	5.07 W	0.00	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol: (cont'd)							
	1.000	3.45	07/07/20	3.63	0.18 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	3.25	07/07/20	3.68	0.43 W	0.00	5 of 5 - Sale <sup>25</sup>
07/07/20	301.000	1,051.20	Various	1,061.82	10.62 W	0.00	Total of 5 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	2.68	07/07/20	3.25	...	-0.57	1 of 3 - Sale <sup>25</sup>
	98.000	263.13	07/10/20	289.12	...	-25.99	2 of 3 - Sale <sup>25</sup>
	1.000	2.68	07/10/20	3.33	...	-0.65	3 of 3 - Sale <sup>25</sup>
07/13/20	100.000	268.49	Various	295.70	...	-27.21	Total of 3 transactions
09/10/20	1.000	2.46	07/10/20	2.95	...	-0.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,643.89</b>		<b>1,682.25</b>	<b>10.62 W</b>	<b>-27.74</b>	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
10/13/20	1.000	181.80	06/30/20	133.10	...	48.70	Sale <sup>25</sup>
12/29/20	1.000	127.03	12/28/20	127.93	0.90 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>308.83</b>		<b>261.03</b>	<b>0.90 W</b>	<b>48.70</b>	
BIOHITECH GLOBAL, INC. COMMON STOCK / CUSIP: 09074B107 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	40.000	123.20	06/29/20	156.00	...	-32.80	1 of 3 - Sale <sup>25</sup>
	10.000	30.80	06/29/20	39.00	...	-8.20	2 of 3 - Sale <sup>25</sup>
	100.000	399.99	06/29/20	330.00	...	69.99	3 of 3 - Sale <sup>25</sup>
06/29/20	150.000	553.99	Various	525.00	...	28.99	Total of 3 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/12/20	2.000	362.81	03/12/20	320.80	...	42.01	Sale <sup>25</sup>
03/13/20	2.000	332.00	03/12/20	323.21	...	8.79	Sale <sup>25</sup>
03/24/20	1.000	125.00	03/18/20	105.83	...	19.17	Sale <sup>25</sup>
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	125.00	03/16/20	135.83	10.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	125.00	03/16/20	135.83	10.83 W	0.00	2 of 2 - Sale <sup>25</sup>
03/24/20	2.000	250.00	Various	271.66	21.66 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	300.79	03/31/20	300.00	...	0.79	1 of 2 - Sale <sup>25</sup>
	1.000	150.39	04/29/20	140.00	...	10.39	2 of 2 - Sale <sup>25</sup>
05/28/20	3.000	451.18	Various	440.00	...	11.18	Total of 2 transactions
05/28/20	1.000	150.40	03/31/20	160.83	10.43 W	0.00	Sale <sup>25</sup>
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	203.56	05/29/20	155.43	...	48.13	1 of 3 - Sale <sup>25</sup>
	1.000	198.81	06/03/20	162.00	...	36.81	2 of 3 - Sale <sup>25</sup>
	3.000	610.68	06/03/20	486.00	...	124.68	3 of 3 - Sale <sup>25</sup>
06/10/20	5.000	1,013.05	Various	803.43	...	209.62	Total of 3 transactions
11/10/20	1.000	186.17	06/30/20	182.89	...	3.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,870.61</b>		<b>2,608.65</b>	<b>32.09 W</b>	<b>294.05</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	14.000	290.64	04/01/20	126.00	...	164.64	1 of 3 - Sale <sup>25</sup>
	1.000	21.59	04/16/20	11.45	...	10.14	2 of 3 - Sale <sup>25</sup>
	15.000	311.41	04/16/20	171.75	...	139.66	3 of 3 - Sale <sup>25</sup>
06/10/20	30.000	623.64	Various	309.20	...	314.44	Total of 3 transactions
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	10.000	143.40	06/16/20	170.00	26.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	143.35	06/16/20	170.00	26.65 W	0.00	2 of 2 - Sale <sup>25</sup>
08/28/20	20.000	286.75	Various	340.00	53.25 W	0.00	Total of 2 transactions
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	5.000	89.42	08/18/20	75.73	...	13.69	1 of 4 - Sale <sup>25</sup>
	5.000	89.42	08/28/20	85.97	...	3.45	2 of 4 - Sale <sup>25</sup>
	5.000	89.43	08/28/20	85.94	...	3.49	3 of 4 - Sale <sup>25</sup>
	5.000	89.43	08/31/20	84.37	...	5.06	4 of 4 - Sale <sup>25</sup>
11/24/20	20.000	357.70	Various	332.01	...	25.69	Total of 4 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARNIVAL PLC / CUSIP: 14365C103 / Symbol: (cont'd)							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	5.000	89.76	08/31/20	71.05	...	18.71	1 of 3 - Sale <sup>25</sup>
	5.000	89.76	09/15/20	66.70	...	23.06	2 of 3 - Sale <sup>25</sup>
	9.000	161.57	09/18/20	114.80	...	46.77	3 of 3 - Sale <sup>25</sup>
11/25/20	19.000	341.09	Various	252.55	...	88.54	Total of 3 transactions
	<b>Security total:</b>	<b>985.54</b>		<b>924.56</b>	<b>53.25 W</b>	<b>114.23</b>	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	17.40	05/13/20	11.90	...	5.50	1 of 3 - Sale <sup>25</sup>
	5.000	86.40	05/13/20	59.50	...	26.90	2 of 3 - Sale <sup>25</sup>
	14.000	241.92	05/13/20	166.60	...	75.32	3 of 3 - Sale <sup>25</sup>
06/10/20	20.000	345.72	Various	238.00	...	107.72	Total of 3 transactions
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	10.000	150.60	06/23/20	139.47	...	11.13	1 of 2 - Sale <sup>25</sup>
	5.000	75.30	07/28/20	59.10	...	16.20	2 of 2 - Sale <sup>25</sup>
11/23/20	15.000	225.90	Various	198.57	...	27.33	Total of 2 transactions
11/23/20	10.000	150.60	06/12/20	159.00	8.40 W	0.00	Sale <sup>25</sup>
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	5.000	85.87	07/28/20	59.10	...	26.77	1 of 3 - Sale <sup>25</sup>
	10.000	171.73	09/11/20	119.35	...	52.38	2 of 3 - Sale <sup>25</sup>
	9.000	154.56	09/17/20	106.18	...	48.38	3 of 3 - Sale <sup>25</sup>
11/24/20	24.000	412.16	Various	284.63	...	127.53	Total of 3 transactions
7 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	16.10	09/17/20	11.80	...	4.30	1 of 7 - Sale <sup>25</sup>
	1.000	16.10	12/03/20	14.84	...	1.26	2 of 7 - Sale <sup>25</sup>
	9.000	144.90	12/03/20	133.56	...	11.34	3 of 7 - Sale <sup>25</sup>
	6.000	96.60	12/03/20	84.00	...	12.60	4 of 7 - Sale <sup>25</sup>
	7.000	112.70	12/03/20	98.00	...	14.70	5 of 7 - Sale <sup>25</sup>
	6.000	96.60	12/03/20	79.48	...	17.12	6 of 7 - Sale <sup>25</sup>
	9.000	144.90	12/08/20	124.20	...	20.70	7 of 7 - Sale <sup>25</sup>
12/11/20	39.000	627.90	Various	545.88	...	82.02	Total of 7 transactions

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	16.56	12/08/20	13.80	...	2.76	1 of 2 - Sale <sup>25</sup>
	19.000	314.64	12/22/20	295.17	...	19.47	2 of 2 - Sale <sup>25</sup>
12/23/20	20.000	331.20	Various	308.97	...	22.23	Total of 2 transactions
	<b>Security total:</b>	<b>2,093.48</b>		<b>1,735.05</b>	<b>8.40 W</b>	<b>366.83</b>	
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	50.000	549.98	06/03/20	600.00	...	-50.02	1 of 2 - Sale <sup>25</sup>
	50.000	562.48	06/03/20	600.00	...	-37.52	2 of 2 - Sale <sup>25</sup>
06/04/20	100.000	1,112.46	Various	1,200.00	...	-87.54	Total of 2 transactions
CLOUDFLARE, INC. CLASS A COMMO N STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	10.000	392.99	08/06/20	377.00	...	15.99	1 of 3 - Sale <sup>25</sup>
	10.000	392.99	08/10/20	373.96	...	19.03	2 of 3 - Sale <sup>25</sup>
	10.000	392.99	09/03/20	373.40	...	19.59	3 of 3 - Sale <sup>25</sup>
09/24/20	30.000	1,178.97	Various	1,124.36	...	54.61	Total of 3 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
07/06/20	1.000	106.36	07/02/20	107.39	...	-1.03	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
09/03/20	1.000	132.40	09/02/20	145.49	...	-13.09	Sale <sup>25</sup>
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	33.46	03/25/20	31.79	...	1.67	1 of 5 - Sale <sup>25</sup>
	7.000	234.21	03/25/20	222.53	...	11.68	2 of 5 - Sale <sup>25</sup>
	17.000	568.80	03/25/20	540.43	...	28.37	3 of 5 - Sale <sup>25</sup>
	5.000	167.30	04/15/20	117.00	...	50.30	4 of 5 - Sale <sup>25</sup>
	9.000	301.13	05/04/20	189.00	...	112.13	5 of 5 - Sale <sup>25</sup>
06/09/20	39.000	1,304.90	Various	1,100.75	...	204.15	Total of 5 transactions
06/10/20	1.000	31.41	05/04/20	21.00	...	10.41	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
06/16/20	5.000	150.75	06/12/20	145.00	...	5.75	Sale <sup>25</sup>
06/25/20	10.000	275.72	06/23/20	290.30	14.58 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	146.88	07/20/20	132.00	...	14.88	1 of 2 - Sale <sup>25</sup>
	10.000	293.75	07/20/20	278.58	...	15.17	2 of 2 - Sale <sup>25</sup>
08/10/20	15.000	440.63	Various	410.58	...	30.05	Total of 2 transactions
	<b>Security total:</b>	<b>2,203.41</b>		<b>1,967.63</b>	<b>14.58 W</b>	<b>250.36</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
	2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	2.000	8.40	03/30/20	8.08	...	0.32	1 of 2 - Sale <sup>25</sup>
	23.000	96.60	03/30/20	92.92	...	3.68	2 of 2 - Sale <sup>25</sup>
04/01/20	25.000	105.00	Various	101.00	...	4.00	Total of 2 transactions
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
	2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	20.52	05/27/20	23.00	...	-2.48	1 of 2 - Sale <sup>25</sup>
	2.000	43.94	05/27/20	46.00	...	-2.06	2 of 2 - Sale <sup>25</sup>
06/10/20	3.000	64.46	Various	69.00	...	-4.54	Total of 2 transactions
10/21/20	1.000	10.00	07/17/20	13.43	3.43 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	4.000	46.46	07/17/20	53.71	...	-7.25	1 of 2 - Sale <sup>25</sup>
	1.000	11.62	10/21/20	13.48	...	-1.86	2 of 2 - Sale <sup>25</sup>
11/20/20	5.000	58.08	Various	67.19	...	-9.11	Total of 2 transactions
	<b>Security total:</b>	<b>132.54</b>		<b>149.62</b>	<b>3.43 W</b>	<b>-13.65</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460G831 / Symbol:							
05/06/20	0.500	37.82	04/07/20	25.00	...	12.82	Cash in lieu
06/10/20	2.000	182.74	04/07/20	100.00	...	82.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>220.56</b>		<b>125.00</b>	<b>...</b>	<b>95.56</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	98.00	03/20/20	90.00	...	8.00	1 of 2 - Sale <sup>25</sup>
	4.000	392.00	03/20/20	360.00	...	32.00	2 of 2 - Sale <sup>25</sup>
03/24/20	5.000	490.00	Various	450.00	...	40.00	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
11/13/20	49.000	334.75	07/13/20	192.57	...	142.18	Sale <sup>25</sup>
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	7.50	07/13/20	3.93	...	3.57	1 of 4 - Sale <sup>25</sup>
	25.000	187.50	11/16/20	144.94	...	42.56	2 of 4 - Sale <sup>25</sup>
	4.000	30.00	12/01/20	28.99	...	1.01	3 of 4 - Sale <sup>25</sup>
	9.000	67.50	12/09/20	63.14	...	4.36	4 of 4 - Sale <sup>25</sup>
12/17/20	39.000	292.50	Various	241.00	...	51.50	Total of 4 transactions
	<b>Security total:</b>	<b>627.25</b>		<b>433.57</b>	...	<b>193.68</b>	
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
06/09/20	50.000	375.00	06/05/20	340.00	...	35.00	Sale <sup>25</sup>
06/10/20	1.000	7.14	06/09/20	7.30	...	-0.16	Sale <sup>25</sup>
06/10/20	49.000	331.37	06/09/20	357.70	13.44 W	-12.89	Sale <sup>25</sup>
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	5.000	31.46	06/12/20	32.69	1.23 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	119.55	06/22/20	121.52	1.97 W	0.00	2 of 2 - Sale <sup>25</sup>
08/04/20	24.000	151.01	Various	154.21	3.20 W	0.00	Total of 2 transactions
4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	8.44	06/22/20	6.40	...	2.04	1 of 4 - Sale <sup>25</sup>
	3.000	25.30	08/04/20	18.31	...	6.99	2 of 4 - Sale <sup>25</sup>
	5.000	42.17	08/04/20	31.23	...	10.94	3 of 4 - Sale <sup>25</sup>
	15.000	126.53	08/04/20	91.56	...	34.97	4 of 4 - Sale <sup>25</sup>
10/30/20	24.000	202.44	Various	147.50	...	54.94	Total of 4 transactions
12/22/20	1.000	13.38	08/04/20	6.10	...	7.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,080.34</b>		<b>1,012.81</b>	<b>16.64 W</b>	<b>84.17</b>	

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	213.99	04/28/20	183.00	...	30.99	1 of 2 - Sale <sup>25</sup>
	4.000	855.98	04/28/20	735.60	...	120.38	2 of 2 - Sale <sup>25</sup>
04/29/20	5.000	1,069.97	Various	918.60	...	151.37	Total of 2 transactions
FORUM ENERGY TECHNOLOGIES, INC. / CUSIP: 34984V100 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	193.000	164.66	06/08/20	165.98	1.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	107.000	91.29	06/08/20	92.02	0.73 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	300.000	255.95	Various	258.00	2.05 W	0.00	Total of 2 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	193.000	109.98	06/09/20	159.58	...	-49.60	1 of 2 - Sale <sup>25</sup>
	107.000	60.98	06/09/20	88.47	...	-27.49	2 of 2 - Sale <sup>25</sup>
06/10/20	300.000	170.96	Various	248.05	...	-77.09	Total of 2 transactions
	<b>Security total:</b>	<b>426.91</b>		<b>506.05</b>	<b>2.05 W</b>	<b>-77.09</b>	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
10/21/20	1.000	2.18	10/12/20	2.73	...	-0.55	Sale <sup>25</sup>
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
10/30/20	1.000	57.53	10/22/20	61.93	...	-4.40	Sale <sup>25</sup>
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
04/30/20	10.000	38.90	04/29/20	42.00	...	-3.10	Sale <sup>25</sup>
10/16/20	99.000	248.48	08/28/20	149.49	...	98.99	Sale <sup>25</sup>
11/24/20	1.000	1.07	08/28/20	1.51	...	-0.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>288.45</b>		<b>193.00</b>	<b>...</b>	<b>95.45</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/17/20	100.000	161.99	06/17/20	121.99	...	40.00	Sale <sup>25</sup>
06/24/20	100.000	274.99	06/17/20	172.50	...	102.49	Sale <sup>25</sup>
06/25/20	100.000	272.49	06/24/20	250.00	...	22.49	Sale <sup>25</sup>
06/26/20	100.000	192.99	06/25/20	250.00	57.01 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
5 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	2.97	06/26/20	2.31	...	0.66	1 of 5 - Sale <sup>25</sup>
	1.000	2.21	07/07/20	1.27	...	0.94	2 of 5 - Sale <sup>25</sup>
	99.000	294.07	07/07/20	182.67	...	111.40	3 of 5 - Sale <sup>25</sup>
	49.000	108.29	08/13/20	65.91	...	42.38	4 of 5 - Sale <sup>25</sup>
	50.000	123.75	08/13/20	67.25	...	56.50	5 of 5 - Sale <sup>25</sup>
11/24/20	200.000	531.29	Various	319.41	...	211.88	Total of 5 transactions
12/18/20	1.000	2.02	08/13/20	1.34	...	0.68	Sale <sup>25</sup>
3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	49.000	99.15	11/30/20	139.14	39.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	2.02	11/30/20	2.84	0.82 W	0.00	2 of 3 - Sale <sup>25</sup>
	49.000	98.98	11/30/20	178.91	79.93 W	0.00	3 of 3 - Sale <sup>25</sup>
12/18/20	99.000	200.15	Various	320.89	120.74 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,635.92</b>		<b>1,436.13</b>	<b>177.75 W</b>	<b>377.54</b>	
IMMURON LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45254U101 / Symbol:							
08/18/20	1.000	10.57	08/14/20	10.92	...	-0.35	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	25.000	804.98	06/24/20	600.00	...	204.98	1 of 2 - Sale <sup>25</sup>
	25.000	804.98	06/25/20	699.50	...	105.48	2 of 2 - Sale <sup>25</sup>
06/26/20	50.000	1,609.96	Various	1,299.50	...	310.46	Total of 2 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	12.12	06/26/20	30.00	17.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	109.08	06/26/20	270.00	160.92 W	0.00	2 of 2 - Sale <sup>25</sup>
09/15/20	10.000	121.20	Various	300.00	178.80 W	0.00	Total of 2 transactions
11/16/20	10.000	126.00	06/30/20	255.60	129.60 W	0.00	Sale <sup>25</sup>
11/27/20	10.000	110.70	08/10/20	192.20	40.75 W	-40.75	Sale <sup>25</sup>
11/30/20	10.000	127.32	08/11/20	147.15	...	-19.83	Sale <sup>25</sup>
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	9.000	111.87	08/27/20	267.61	...	-155.74	1 of 4 - Sale <sup>25</sup>
	1.000	12.43	08/27/20	29.74	...	-17.31	2 of 4 - Sale <sup>25</sup>

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Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	124.31	11/18/20	238.40	...	-114.09	3 of 4 - Sale <sup>25</sup>
	5.000	62.15	11/20/20	95.23	...	-33.08	4 of 4 - Sale <sup>25</sup>
12/03/20	25.000	310.76	Various	630.98	...	-320.22	Total of 4 transactions
	<b>Security total:</b>	<b>2,405.94</b>		<b>2,825.43</b>	<b>349.15 W</b>	<b>-70.34</b>	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	5.47	06/02/20	2.80	...	2.67	1 of 3 - Sale <sup>25</sup>
	7.000	34.44	06/02/20	19.60	...	14.84	2 of 3 - Sale <sup>25</sup>
06/10/20	42.000	206.64	06/02/20	117.60	...	89.04	3 of 3 - Sale <sup>25</sup>
	50.000	246.55	Various	140.00	...	106.55	Total of 3 transactions
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	57.000	192.65	07/06/20	188.10	...	4.55	1 of 5 - Sale <sup>25</sup>
	243.000	821.29	07/06/20	801.90	...	19.39	2 of 5 - Sale <sup>25</sup>
	300.000	1,013.93	07/06/20	990.00	...	23.93	3 of 5 - Sale <sup>25</sup>
	400.000	1,351.92	07/06/20	1,320.00	...	31.92	4 of 5 - Sale <sup>25</sup>
07/06/20	500.000	1,701.10	07/06/20	1,655.00	...	46.10	5 of 5 - Sale <sup>25</sup>
	1,500.000	5,080.89	Various	4,955.00	...	125.89	Total of 5 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	424.000	1,366.21	07/06/20	1,399.20	32.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	76.000	244.89	07/06/20	250.80	5.91 W	0.00	2 of 2 - Sale <sup>25</sup>
07/07/20	500.000	1,611.10	Various	1,650.00	38.90 W	0.00	Total of 2 transactions
27 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	9.000	28.62	07/06/20	30.40	1.78 W	0.00	1 of 27 - Sale <sup>25</sup>
	100.000	317.99	07/06/20	337.78	19.79 W	0.00	2 of 27 - Sale <sup>25</sup>
	315.000	1,001.67	07/06/20	1,064.01	62.34 W	0.00	3 of 27 - Sale <sup>25</sup>
	76.000	241.67	07/06/20	250.80	9.13 W	0.00	4 of 27 - Sale <sup>25</sup>
	9.000	29.34	07/06/20	31.66	2.32 W	0.00	5 of 27 - Sale <sup>25</sup>
	91.000	296.65	07/06/20	320.13	23.48 W	0.00	6 of 27 - Sale <sup>25</sup>
	3.000	9.78	07/06/20	10.55	0.77 W	0.00	7 of 27 - Sale <sup>25</sup>
	9.000	29.34	07/06/20	31.66	2.32 W	0.00	8 of 27 - Sale <sup>25</sup>
	76.000	247.75	07/06/20	258.23	10.48 W	0.00	9 of 27 - Sale <sup>25</sup>
	3.000	9.78	07/06/20	10.55	0.77 W	0.00	10 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	9.000	29.34	07/06/20	31.66	2.32 W	0.00	11 of 27 - Sale <sup>25</sup>
	100.000	325.99	07/06/20	351.79	25.80 W	0.00	12 of 27 - Sale <sup>25</sup>
	41.000	133.66	07/06/20	144.24	10.58 W	0.00	13 of 27 - Sale <sup>25</sup>
	59.000	192.33	07/06/20	207.56	15.23 W	0.00	14 of 27 - Sale <sup>25</sup>
	100.000	325.99	07/06/20	351.79	25.80 W	0.00	15 of 27 - Sale <sup>25</sup>
	3.000	9.78	07/07/20	10.31	0.53 W	0.00	16 of 27 - Sale <sup>25</sup>
	3.000	9.78	07/07/20	10.31	0.53 W	0.00	17 of 27 - Sale <sup>25</sup>
	9.000	29.34	07/07/20	30.94	1.60 W	0.00	18 of 27 - Sale <sup>25</sup>
	9.000	29.34	07/07/20	30.94	1.60 W	0.00	19 of 27 - Sale <sup>25</sup>
	9.000	29.34	07/07/20	30.94	1.60 W	0.00	20 of 27 - Sale <sup>25</sup>
	24.000	78.24	07/07/20	82.51	4.27 W	0.00	21 of 27 - Sale <sup>25</sup>
	41.000	133.66	07/07/20	140.96	7.30 W	0.00	22 of 27 - Sale <sup>25</sup>
	59.000	192.33	07/07/20	202.85	10.52 W	0.00	23 of 27 - Sale <sup>25</sup>
	91.000	296.65	07/07/20	312.86	16.21 W	0.00	24 of 27 - Sale <sup>25</sup>
	100.000	325.99	07/07/20	343.80	17.81 W	0.00	25 of 27 - Sale <sup>25</sup>
	76.000	247.75	07/07/20	252.16	4.41 W	0.00	26 of 27 - Sale <sup>25</sup>
	76.000	247.75	07/07/20	250.81	3.06 W	0.00	27 of 27 - Sale <sup>25</sup>
07/08/20	1,500.000	4,849.85	Various	5,132.20	282.35 W	0.00	Total of 27 transactions
07/10/20	76.000	250.79	07/07/20	244.57	...	6.22	Sale <sup>25</sup>
	11 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	3.000	9.90	07/07/20	10.01	0.11 W	0.00	1 of 11 - Sale <sup>25</sup>
	3.000	9.90	07/07/20	10.01	0.11 W	0.00	2 of 11 - Sale <sup>25</sup>
	9.000	29.70	07/07/20	30.04	0.34 W	0.00	3 of 11 - Sale <sup>25</sup>
	9.000	29.70	07/07/20	30.04	0.34 W	0.00	4 of 11 - Sale <sup>25</sup>
	9.000	29.70	07/07/20	30.04	0.34 W	0.00	5 of 11 - Sale <sup>25</sup>
	24.000	79.19	07/07/20	80.11	0.92 W	0.00	6 of 11 - Sale <sup>25</sup>
	41.000	135.29	07/07/20	136.86	1.57 W	0.00	7 of 11 - Sale <sup>25</sup>
	59.000	194.69	07/07/20	196.96	2.27 W	0.00	8 of 11 - Sale <sup>25</sup>
	91.000	300.28	07/07/20	303.77	3.49 W	0.00	9 of 11 - Sale <sup>25</sup>
	100.000	329.98	07/07/20	333.81	3.83 W	0.00	10 of 11 - Sale <sup>25</sup>
	76.000	250.78	07/07/20	259.77	8.99 W	0.00	11 of 11 - Sale <sup>25</sup>
07/10/20	424.000	1,399.11	Various	1,421.42	22.31 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
<i>5 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	76.000	203.82	07/15/20	297.79	...	-93.97	1 of 5 - Sale <sup>25</sup>
	59.000	158.22	07/15/20	226.47	...	-68.25	2 of 5 - Sale <sup>25</sup>
	49.000	131.41	07/15/20	188.17	...	-56.76	3 of 5 - Sale <sup>25</sup>
	41.000	109.95	07/15/20	157.37	...	-47.42	4 of 5 - Sale <sup>25</sup>
	24.000	64.36	07/15/20	92.12	...	-27.76	5 of 5 - Sale <sup>25</sup>
10/30/20	249.000	667.76	Various	961.92	...	-294.16	Total of 5 transactions
<i>8 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	268.18	07/15/20	383.83	47.42 W	-68.23	1 of 8 - Sale <sup>25</sup>
	27.000	72.41	07/15/20	103.69	31.28 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	8.05	07/15/20	11.51	3.46 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	8.05	07/15/20	11.51	3.46 W	0.00	4 of 8 - Sale <sup>25</sup>
	9.000	24.14	07/15/20	34.54	10.40 W	0.00	5 of 8 - Sale <sup>25</sup>
	9.000	24.14	07/15/20	34.54	10.40 W	0.00	6 of 8 - Sale <sup>25</sup>
	9.000	24.14	07/15/20	34.54	10.40 W	0.00	7 of 8 - Sale <sup>25</sup>
	91.000	244.04	07/15/20	349.29	105.25 W	0.00	8 of 8 - Sale <sup>25</sup>
10/30/20	251.000	673.15	Various	963.45	222.07 W	-68.23	Total of 8 transactions
<i>2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	858.00	07/15/20	950.00	...	-92.00	1 of 2 - Sale <sup>25</sup>
	192.000	655.14	07/15/20	729.60	...	-74.46	2 of 2 - Sale <sup>25</sup>
11/24/20	442.000	1,513.14	Various	1,679.60	...	-166.46	Total of 2 transactions
<i>5 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	29.000	98.32	07/15/20	110.20	...	-11.88	1 of 5 - Sale <sup>25</sup>
	24.000	81.37	07/15/20	91.20	...	-9.83	2 of 5 - Sale <sup>25</sup>
	5.000	16.95	07/15/20	19.00	...	-2.05	3 of 5 - Sale <sup>25</sup>
	33.000	111.89	11/19/20	141.13	...	-29.24	4 of 5 - Sale <sup>25</sup>
	9.000	30.51	11/19/20	38.48	...	-7.97	5 of 5 - Sale <sup>25</sup>
11/25/20	100.000	339.04	Various	400.01	...	-60.97	Total of 5 transactions
12/28/20	50.000	170.62	11/19/20	213.83	...	-43.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,802.00</b>		<b>17,762.00</b>	<b>565.63 W</b>	<b>-394.37</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KB HOME / CUSIP: 48666K109 / Symbol:							
07/08/20	50.000	1,538.86	06/24/20	1,591.50	52.64 W	0.00	Sale <sup>25</sup>
07/10/20	50.000	1,524.96	06/24/20	1,591.50	...	-66.54	Sale <sup>25</sup>
07/13/20	50.000	1,524.96	07/09/20	1,552.64	...	-27.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,588.78</b>		<b>4,735.64</b>	<b>52.64 W</b>	<b>-94.22</b>	
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
07/31/20	1.000	34.56	07/22/20	34.87	...	-0.31	Sale <sup>25</sup>
LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	2.000	5.78	03/24/20	4.84	...	0.94	1 of 3 - Sale <sup>25</sup>
	48.000	138.72	03/24/20	116.16	...	22.56	2 of 3 - Sale <sup>25</sup>
	50.000	144.50	03/24/20	120.99	...	23.51	3 of 3 - Sale <sup>25</sup>
03/25/20	100.000	289.00	Various	241.99	...	47.01	Total of 3 transactions
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	100.000	358.15	03/26/20	300.00	...	58.15	1 of 2 - Sale <sup>25</sup>
	100.000	358.15	03/26/20	292.00	...	66.15	2 of 2 - Sale <sup>25</sup>
04/15/20	200.000	716.30	Various	592.00	...	124.30	Total of 2 transactions
05/26/20	100.000	149.99	05/20/20	265.00	...	-115.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,155.29</b>		<b>1,098.99</b>	<b>...</b>	<b>56.30</b>	
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
03/10/20	1.000	2.21	03/04/20	2.59	...	-0.38	Sale <sup>25</sup>
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	26.000	74.10	04/02/20	169.00	...	-94.90	1 of 3 - Sale <sup>25</sup>
	10.000	28.50	04/03/20	58.50	...	-30.00	2 of 3 - Sale <sup>25</sup>
	14.000	39.90	04/06/20	63.00	...	-23.10	3 of 3 - Sale <sup>25</sup>
05/20/20	50.000	142.50	Various	290.50	...	-148.00	Total of 3 transactions

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Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	40.000	112.13	04/09/20	80.00	...	32.13	1 of 3 - Sale <sup>25</sup>
	1.000	2.84	05/13/20	1.41	...	1.43	2 of 3 - Sale <sup>25</sup>
	9.000	25.23	05/13/20	12.66	...	12.57	3 of 3 - Sale <sup>25</sup>
06/10/20	50.000	140.20	Various	94.07	...	46.13	Total of 3 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	49.000	140.63	06/16/20	143.56	...	-2.93	1 of 2 - Sale <sup>25</sup>
	1.000	2.87	06/16/20	2.93	...	-0.06	2 of 2 - Sale <sup>25</sup>
06/16/20	50.000	143.50	Various	146.49	...	-2.99	Total of 2 transactions
	<b>Security total:</b>	<b>283.70</b>		<b>240.56</b>	...	<b>43.14</b>	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
4 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	28.90	03/26/20	26.90	...	2.00	1 of 4 - Sale <sup>25</sup>
	2.000	28.90	03/26/20	26.90	...	2.00	2 of 4 - Sale <sup>25</sup>
	26.000	375.70	03/26/20	349.70	...	26.00	3 of 4 - Sale <sup>25</sup>
	20.000	301.00	03/26/20	269.00	...	32.00	4 of 4 - Sale <sup>25</sup>
04/07/20	50.000	734.50	Various	672.50	...	62.00	Total of 4 transactions
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	12.000	250.58	05/06/20	168.00	...	82.58	1 of 3 - Sale <sup>25</sup>
	1.000	20.66	05/13/20	12.90	...	7.76	2 of 3 - Sale <sup>25</sup>
	2.000	41.76	05/13/20	25.80	...	15.96	3 of 3 - Sale <sup>25</sup>
06/10/20	15.000	313.00	Various	206.70	...	106.30	Total of 3 transactions
08/10/20	5.000	106.98	06/12/20	97.50	...	9.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,154.48</b>		<b>976.70</b>	...	<b>177.78</b>	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
03/27/20	50.000	184.00	03/25/20	180.50	...	3.50	Sale <sup>25</sup>
04/02/20	50.000	174.00	03/30/20	161.00	...	13.00	Sale <sup>25</sup>
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	7.23	05/22/20	5.80	...	1.43	1 of 2 - Sale <sup>25</sup>
	19.000	139.65	05/22/20	110.20	...	29.45	2 of 2 - Sale <sup>25</sup>
06/10/20	20.000	146.88	Various	116.00	...	30.88	Total of 2 transactions

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Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
<b>Security total:</b>		<b>504.88</b>		<b>457.50</b>	...		<b>47.38</b>
MARATHON PETROLEUM CORPORATION / CUSIP: 56585A102 / Symbol:							
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	3.000	55.50	03/23/20	49.82	...	5.68	1 of 3 - Sale <sup>25</sup>
	7.000	124.46	03/23/20	116.25	...	8.21	2 of 3 - Sale <sup>25</sup>
	10.000	177.80	03/23/20	165.99	...	11.81	3 of 3 - Sale <sup>25</sup>
03/24/20	20.000	357.76	Various	332.06	...	25.70	Total of 3 transactions
03/25/20	25.000	539.98	03/25/20	430.00	...	109.98	Sale <sup>25</sup>
03/30/20	25.000	599.98	03/26/20	595.00	...	4.98	Sale <sup>25</sup>
04/23/20	40.000	1,065.57	03/31/20	972.00	...	93.57	Sale <sup>25</sup>
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	5.000	198.15	04/16/20	109.75	...	88.40	1 of 4 - Sale <sup>25</sup>
	10.000	396.29	05/06/20	300.00	...	96.29	2 of 4 - Sale <sup>25</sup>
	1.000	40.00	05/28/20	35.50	...	4.50	3 of 4 - Sale <sup>25</sup>
	4.000	158.52	05/28/20	142.00	...	16.52	4 of 4 - Sale <sup>25</sup>
06/10/20	20.000	792.96	Various	587.25	...	205.71	Total of 4 transactions
12/22/20	1.000	39.85	10/08/20	30.99	...	8.86	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,396.10</b>		<b>2,947.30</b>	...		<b>448.80</b>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	5.000	1,035.03	06/23/20	1,010.00	...	25.03	1 of 2 - Sale <sup>25</sup>
	5.000	1,035.02	07/10/20	1,065.10	...	-30.08	2 of 2 - Sale <sup>25</sup>
07/13/20	10.000	2,070.05	Various	2,075.10	...	-5.05	Total of 2 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
7 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	2.93	03/16/20	2.79	...	0.14	1 of 7 - Sale <sup>25</sup>
	1.000	2.93	03/16/20	2.79	...	0.14	2 of 7 - Sale <sup>25</sup>
	8.000	23.44	03/16/20	22.32	...	1.12	3 of 7 - Sale <sup>25</sup>
	50.000	146.49	03/18/20	116.50	...	29.99	4 of 7 - Sale <sup>25</sup>
	3.000	8.79	03/19/20	7.13	...	1.66	5 of 7 - Sale <sup>25</sup>
	8.000	23.44	03/23/20	17.60	...	5.84	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
03/26/20	2.000	5.86	03/24/20	5.00	...	0.86	7 of 7 - Sale <sup>25</sup>
03/26/20	73.000	213.88	Various	174.13	...	39.75	Total of 7 transactions
	6 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	12.000	35.16	03/10/20	40.32	5.16 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	2.93	03/10/20	3.35	0.42 W	0.00	2 of 6 - Sale <sup>25</sup>
	18.000	52.74	03/12/20	54.90	2.16 W	0.00	3 of 6 - Sale <sup>25</sup>
	13.000	38.09	03/12/20	39.13	1.04 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	2.93	03/12/20	3.07	0.14 W	0.00	5 of 6 - Sale <sup>25</sup>
	12.000	35.16	03/13/20	36.36	1.20 W	0.00	6 of 6 - Sale <sup>25</sup>
03/26/20	57.000	167.01	Various	177.13	10.12 W	0.00	Total of 6 transactions
	7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	1.000	3.66	04/22/20	3.47	...	0.19	1 of 7 - Sale <sup>25</sup>
	1.000	3.66	04/22/20	3.19	...	0.47	2 of 7 - Sale <sup>25</sup>
	12.000	43.92	04/22/20	41.76	...	2.16	3 of 7 - Sale <sup>25</sup>
	12.000	43.92	04/22/20	37.80	...	6.12	4 of 7 - Sale <sup>25</sup>
	13.000	47.58	04/22/20	40.69	...	6.89	5 of 7 - Sale <sup>25</sup>
	18.000	65.88	04/22/20	57.06	...	8.82	6 of 7 - Sale <sup>25</sup>
	43.000	157.37	04/22/20	131.15	...	26.22	7 of 7 - Sale <sup>25</sup>
04/30/20	100.000	365.99	Various	315.12	...	50.87	Total of 7 transactions
	2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	42.66	09/08/20	16.94	...	25.72	1 of 2 - Sale <sup>25</sup>
	9.000	383.95	11/16/20	377.15	...	6.80	2 of 2 - Sale <sup>25</sup>
12/04/20	10.000	426.61	Various	394.09	...	32.52	Total of 2 transactions
12/04/20	4.000	170.65	12/01/20	176.38	5.73 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,344.14</b>		<b>1,236.85</b>	<b>15.85 W</b>	<b>123.14</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
03/19/20	1.000	330.00	03/18/20	306.23	...	23.77	Sale <sup>25</sup>
05/04/20	2.000	849.98	04/28/20	808.00	...	41.98	Sale <sup>25</sup>
06/10/20	1.000	433.13	05/26/20	414.00	...	19.13	Sale <sup>25</sup>
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	492.00	07/16/20	469.90	...	22.10	1 of 3 - Sale <sup>25</sup>
	1.000	494.99	07/16/20	469.90	...	25.09	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	1.000	494.98	07/16/20	469.74	...	25.24	3 of 3 - Sale <sup>25</sup>
07/17/20	3.000	1,481.97	Various	1,409.54	...	72.43	Total of 3 transactions
	<b>Security total:</b>	<b>3,095.08</b>		<b>2,937.77</b>	...	<b>157.31</b>	
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	3.000	19.08	04/09/20	18.30	...	0.78	1 of 3 - Sale <sup>25</sup>
	4.000	25.44	04/09/20	24.40	...	1.04	2 of 3 - Sale <sup>25</sup>
	43.000	273.48	04/09/20	262.30	...	11.18	3 of 3 - Sale <sup>25</sup>
04/30/20	50.000	318.00	Various	305.00	...	13.00	Total of 3 transactions
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	2.000	13.60	05/04/20	12.28	...	1.32	1 of 3 - Sale <sup>25</sup>
	18.000	122.40	05/04/20	110.70	...	11.70	2 of 3 - Sale <sup>25</sup>
	30.000	204.00	05/04/20	184.50	...	19.50	3 of 3 - Sale <sup>25</sup>
05/05/20	50.000	340.00	Various	307.48	...	32.52	Total of 3 transactions
	<b>Security total:</b>	<b>658.00</b>		<b>612.48</b>	...	<b>45.52</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
10/30/20	1.000	18.10	09/28/20	18.85	...	-0.75	Sale <sup>25</sup>
3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	18.11	07/20/20	38.93	20.82 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	54.32	09/21/20	80.76	26.44 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	362.10	09/25/20	379.38	13.82 W	-3.46	3 of 3 - Sale <sup>25</sup>
10/30/20	24.000	434.53	Various	499.07	61.08 W	-3.46	Total of 3 transactions
11/18/20	9.000	225.00	09/28/20	169.63	...	55.37	Sale <sup>25</sup>
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	18.10	10/16/20	40.66	22.56 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	54.31	10/16/20	85.97	31.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	6.000	108.61	10/16/20	124.25	15.64 W	0.00	3 of 3 - Sale <sup>25</sup>
12/02/20	10.000	181.02	Various	250.88	69.86 W	0.00	Total of 3 transactions
12/16/20	10.000	172.00	10/16/20	207.08	35.08 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
<i>4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	14.26	12/01/20	39.89	25.63 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	42.76	12/01/20	83.63	40.87 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	14.26	12/01/20	19.94	5.68 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	71.27	12/01/20	98.98	27.71 W	0.00	4 of 4 - Sale <sup>25</sup>
12/24/20	10.000	142.55	Various	242.44	99.89 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,173.20</b>		<b>1,387.95</b>	<b>265.91 W</b>	<b>51.16</b>	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
<i>2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	44.60	06/04/20	43.00	...	1.60	1 of 2 - Sale <sup>25</sup>
	3.000	134.70	06/04/20	129.00	...	5.70	2 of 2 - Sale <sup>25</sup>
06/10/20	4.000	179.30	Various	172.00	...	7.30	Total of 2 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
05/26/20	2.000	732.02	05/21/20	704.00	...	28.02	Sale <sup>25</sup>
OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
12/14/20	5.000	218.47	12/11/20	214.80	...	3.67	Sale <sup>25</sup>
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
10/21/20	1.000	10.57	09/18/20	9.59	...	0.98	Sale <sup>25</sup>
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
04/08/20	50.000	634.98	03/26/20	712.50	...	-77.52	Sale <sup>25</sup>
PFIZER INC. / CUSIP: 717081103 / Symbol:							
05/18/20	3.000	114.00	05/11/20	113.55	...	0.45	Sale <sup>25</sup>
<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	180.50	05/11/20	189.25	8.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	72.20	05/11/20	75.70	3.50 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	108.30	05/11/20	118.80	10.50 W	0.00	3 of 3 - Sale <sup>25</sup>
06/04/20	10.000	361.00	Various	383.75	22.75 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 926323635

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	72.02	05/11/20	79.20	...	-7.18	1 of 5 - Sale <sup>25</sup>
	1.000	36.01	05/11/20	39.60	...	-3.59	2 of 5 - Sale <sup>25</sup>
	3.000	108.04	05/12/20	124.05	...	-16.01	3 of 5 - Sale <sup>25</sup>
	3.000	108.04	05/12/20	113.55	...	-5.51	4 of 5 - Sale <sup>25</sup>
	1.000	36.01	05/12/20	39.60	...	-3.59	5 of 5 - Sale <sup>25</sup>
06/10/20	10.000	360.12	Various	396.00	...	-35.88	Total of 5 transactions
	<b>Security total:</b>	<b>835.12</b>		<b>893.30</b>	<b>22.75 W</b>	<b>-35.43</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	84.44	06/11/20	84.19	...	0.25	1 of 3 - Sale <sup>25</sup>
	2.000	84.44	06/11/20	84.19	...	0.25	2 of 3 - Sale <sup>25</sup>
	6.000	253.27	06/11/20	252.58	...	0.69	3 of 3 - Sale <sup>25</sup>
06/11/20	10.000	422.15	Various	420.96	...	1.19	Total of 3 transactions
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	9.000	108.40	12/17/20	97.25	...	11.15	1 of 2 - Sale <sup>25</sup>
	10.000	120.45	12/17/20	108.25	...	12.20	2 of 2 - Sale <sup>25</sup>
12/21/20	19.000	228.85	Various	205.50	...	23.35	Total of 2 transactions
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	10.58	12/17/20	10.80	0.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	95.17	12/22/20	110.16	14.99 W	0.00	2 of 2 - Sale <sup>25</sup>
12/28/20	10.000	105.75	Various	120.96	15.21 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>756.75</b>		<b>747.42</b>	<b>15.21 W</b>	<b>24.54</b>	
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	27.92	05/27/20	22.90	...	5.02	1 of 2 - Sale <sup>25</sup>
	1.000	29.67	05/27/20	22.90	...	6.77	2 of 2 - Sale <sup>25</sup>
06/10/20	2.000	57.59	Various	45.80	...	11.79	Total of 2 transactions

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Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	68.36	05/13/20	54.00	...	14.36	1 of 2 - Sale <sup>25</sup>
	4.000	276.44	05/13/20	216.00	...	60.44	2 of 2 - Sale <sup>25</sup>
06/10/20	5.000	344.80	Various	270.00	...	74.80	Total of 2 transactions
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	4.000	356.00	03/26/20	368.00	...	-12.00	1 of 2 - Sale <sup>25</sup>
	1.000	89.00	03/26/20	92.00	...	-3.00	2 of 2 - Sale <sup>25</sup>
03/30/20	5.000	445.00	Various	460.00	...	-15.00	Total of 2 transactions
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
05/13/20	5.000	247.91	04/24/20	249.00	1.09 W	0.00	Sale <sup>25</sup>
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	78.09	05/22/20	54.22	...	23.87	1 of 2 - Sale <sup>25</sup>
	4.000	323.35	05/22/20	216.87	...	106.48	2 of 2 - Sale <sup>25</sup>
06/10/20	5.000	401.44	Various	271.09	...	130.35	Total of 2 transactions
	<b>Security total:</b>	<b>649.35</b>		<b>520.09</b>	<b>1.09 W</b>	<b>130.35</b>	
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
04/24/20	20.000	320.00	04/09/20	318.00	...	2.00	Sale <sup>25</sup>
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
03/26/20	25.000	702.48	03/25/20	649.48	...	53.00	Sale <sup>25</sup>
05/19/20	10.000	300.00	05/18/20	290.00	...	10.00	Sale <sup>25</sup>
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	10.000	322.20	06/02/20	374.00	...	-51.80	1 of 3 - Sale <sup>25</sup>
	5.000	161.10	06/02/20	189.00	...	-27.90	2 of 3 - Sale <sup>25</sup>
	15.000	584.98	06/02/20	567.00	...	17.98	3 of 3 - Sale <sup>25</sup>
06/04/20	30.000	1,068.28	Various	1,130.00	...	-61.72	Total of 3 transactions
	<b>Security total:</b>	<b>2,070.76</b>		<b>2,069.48</b>	...	<b>1.28</b>	

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Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SNAP INC. / CUSIP: 83304A106 / Symbol:							
<i>2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	39.000	436.78	03/24/20	420.81	...	15.97	1 of 2 - Sale <sup>25</sup>
	100.000	1,119.96	03/24/20	1,085.00	...	34.96	2 of 2 - Sale <sup>25</sup>
03/25/20	139.000	1,556.74	Various	1,505.81	...	50.93	Total of 2 transactions
04/20/20	100.000	1,269.96	04/16/20	1,270.00	0.02 W	-0.02	Sale <sup>25</sup>
<i>2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.60	04/21/20	12.50	...	3.10	1 of 2 - Sale <sup>25</sup>
	24.000	374.40	04/21/20	300.01	...	74.39	2 of 2 - Sale <sup>25</sup>
04/22/20	25.000	390.00	Various	312.51	...	77.49	Total of 2 transactions
04/24/20	25.000	405.00	04/23/20	405.01	...	-0.01	Sale <sup>25</sup>
06/29/20	20.000	443.39	06/16/20	418.80	...	24.59	Sale <sup>25</sup>
06/29/20	20.000	443.39	06/24/20	462.16	18.77 W	0.00	Sale <sup>25</sup>
09/11/20	10.000	240.42	07/07/20	259.39	...	-18.97	Sale <sup>25</sup>
<i>5 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	249.00	07/10/20	259.38	...	-10.38	1 of 5 - Sale <sup>25</sup>
	1.000	24.75	07/21/20	21.85	...	2.90	2 of 5 - Sale <sup>25</sup>
	4.000	99.24	07/21/20	87.40	...	11.84	3 of 5 - Sale <sup>25</sup>
	5.000	123.75	07/21/20	109.25	...	14.50	4 of 5 - Sale <sup>25</sup>
	9.000	222.75	07/22/20	205.20	...	17.55	5 of 5 - Sale <sup>25</sup>
09/24/20	29.000	719.49	Various	683.08	...	36.41	Total of 5 transactions
	<b>Security total:</b>	<b>5,468.39</b>		<b>5,316.76</b>	<b>18.79 W</b>	<b>170.42</b>	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
03/18/20	3.000	27.31	03/18/20	23.74	...	3.57	Sale <sup>25</sup>
<i>4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	213.19	04/06/20	106.19	...	107.00	1 of 4 - Sale <sup>25</sup>
	15.000	319.79	04/16/20	198.00	...	121.79	2 of 4 - Sale <sup>25</sup>
	5.000	106.60	05/07/20	50.10	...	56.50	3 of 4 - Sale <sup>25</sup>
	9.000	191.88	05/20/20	87.12	...	104.76	4 of 4 - Sale <sup>25</sup>
06/09/20	39.000	831.46	Various	441.41	...	390.05	Total of 4 transactions
06/10/20	1.000	19.92	05/20/20	9.68	...	10.24	Sale <sup>25</sup>
06/16/20	5.000	105.76	06/12/20	94.00	...	11.76	Sale <sup>25</sup>
06/16/20	45.000	951.84	06/16/20	964.80	4.32 W	-8.64	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
11/10/20	15.000	301.50	06/16/20	313.32	...	-11.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,237.79</b>		<b>1,846.95</b>	<b>4.32 W</b>	<b>395.16</b>	
SYNCHRONY FINANCIAL / CUSIP: 87165B103 / Symbol:							
05/06/20	15.000	261.93	05/05/20	270.00	8.07 W	0.00	Sale <sup>25</sup>
	3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	24.40	05/13/20	15.90	...	8.50	1 of 3 - Sale <sup>25</sup>
	4.000	98.24	05/13/20	63.60	...	34.64	2 of 3 - Sale <sup>25</sup>
	15.000	368.40	05/13/20	246.57	...	121.83	3 of 3 - Sale <sup>25</sup>
06/10/20	20.000	491.04	Various	326.07	...	164.97	Total of 3 transactions
	<b>Security total:</b>	<b>752.97</b>		<b>596.07</b>	<b>8.07 W</b>	<b>164.97</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
04/02/20	1.000	504.98	03/12/20	581.11	...	-76.13	Sale <sup>25</sup>
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	4.000	1,732.12	09/21/20	1,782.92	...	-50.80	1 of 2 - Sale <sup>25</sup>
	1.000	433.00	09/21/20	445.73	...	-12.73	2 of 2 - Sale <sup>25</sup>
09/22/20	5.000	2,165.12	Various	2,228.65	...	-63.53	Total of 2 transactions
	<b>Security total:</b>	<b>2,670.10</b>		<b>2,809.76</b>	...	<b>-139.66</b>	
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
05/13/20	1.000	1.25	04/30/20	2.00	...	-0.75	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
04/24/20	5.000	125.90	03/26/20	177.00	51.10 W	0.00	Sale <sup>25</sup>
04/29/20	10.000	325.00	03/26/20	354.00	29.00 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	10.000	287.23	03/26/20	354.00	66.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	143.61	04/22/20	180.85	37.24 W	0.00	2 of 2 - Sale <sup>25</sup>
05/28/20	15.000	430.84	Various	534.85	104.01 W	0.00	Total of 2 transactions
	9 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	43.28	04/24/20	27.70	...	15.58	1 of 9 - Sale <sup>25</sup>
	4.000	173.12	04/24/20	110.80	...	62.32	2 of 9 - Sale <sup>25</sup>
	5.000	216.40	04/24/20	139.50	...	76.90	3 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	1.000	43.28	04/30/20	37.88	...	5.40	4 of 9 - Sale <sup>25</sup>
	9.000	389.51	04/30/20	340.89	...	48.62	5 of 9 - Sale <sup>25</sup>
	5.000	216.39	05/01/20	171.24	...	45.15	6 of 9 - Sale <sup>25</sup>
	5.000	216.40	05/06/20	115.00	...	101.40	7 of 9 - Sale <sup>25</sup>
	5.000	216.39	05/12/20	112.50	...	103.89	8 of 9 - Sale <sup>25</sup>
	4.000	173.12	05/14/20	78.79	...	94.33	9 of 9 - Sale <sup>25</sup>
06/09/20	39.000	1,687.89	Various	1,134.30	...	553.59	Total of 9 transactions
06/10/20	1.000	40.50	05/14/20	19.70	...	20.80	Sale <sup>25</sup>
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	5.000	205.17	06/12/20	185.50	...	19.67	1 of 2 - Sale <sup>25</sup>
	45.000	1,846.53	06/15/20	1,764.00	...	82.53	2 of 2 - Sale <sup>25</sup>
06/16/20	50.000	2,051.70	Various	1,949.50	...	102.20	Total of 2 transactions
08/24/20	10.000	362.00	06/16/20	403.00	41.00 W	0.00	Sale <sup>25</sup>
09/17/20	4.000	149.73	08/25/20	138.80	...	10.93	Sale <sup>25</sup>
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	10.000	374.31	06/16/20	403.00	28.69 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	187.15	08/21/20	187.34	0.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	187.15	08/25/20	194.00	6.85 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	20.000	748.61	Various	784.34	35.73 W	0.00	Total of 3 transactions
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	5.000	177.29	09/21/20	176.88	...	0.41	1 of 3 - Sale <sup>25</sup>
	1.000	35.46	09/21/20	34.00	...	1.46	2 of 3 - Sale <sup>25</sup>
	4.000	141.84	09/21/20	136.17	...	5.67	3 of 3 - Sale <sup>25</sup>
10/06/20	10.000	354.59	Various	347.05	...	7.54	Total of 3 transactions
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	35.46	08/25/20	37.57	2.11 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	35.46	09/18/20	36.88	1.42 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.000	319.13	09/18/20	357.35	38.22 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	11.000	390.05	Various	431.80	41.75 W	0.00	Total of 3 transactions
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	37.00	10/08/20	38.87	...	-1.87	1 of 3 - Sale <sup>25</sup>
	8.000	296.02	10/15/20	304.71	...	-8.69	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	1.000	37.00	10/15/20	35.26	...	1.74	3 of 3 - Sale <sup>25</sup>
10/22/20	10.000	370.02	Various	378.84	...	-8.82	Total of 3 transactions
12/02/20	1.000	45.28	10/15/20	38.09	...	7.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,082.11</b>		<b>6,691.27</b>	<b>302.59 W</b>	<b>693.43</b>	
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	8.500	237.40	04/20/20	252.96	...	-15.56	1 of 4 - Sale <sup>25</sup>
	3.500	97.75	04/20/20	104.16	...	-6.41	2 of 4 - Sale <sup>25</sup>
	8.000	223.43	05/12/20	165.60	...	57.83	3 of 4 - Sale <sup>25</sup>
	5.000	139.65	05/27/20	125.40	...	14.25	4 of 4 - Sale <sup>25</sup>
06/10/20	25.000	698.23	Various	648.12	...	50.11	Total of 4 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
06/26/20	99.000	1,079.06	06/25/20	772.20	...	306.86	Sale <sup>25</sup>
06/29/20	1.000	8.05	06/25/20	7.80	...	0.25	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,087.11</b>		<b>780.00</b>	...	<b>307.11</b>	
VERTEX PHARMACEUTICALS INCORPORATED COMMON STOCK / CUSIP: 92532F100 / Symbol:							
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	214.99	03/17/20	204.00	...	10.99	1 of 3 - Sale <sup>25</sup>
	1.000	215.00	03/17/20	204.00	...	11.00	2 of 3 - Sale <sup>25</sup>
	1.000	214.99	03/18/20	204.60	...	10.39	3 of 3 - Sale <sup>25</sup>
03/19/20	3.000	644.98	Various	612.60	...	32.38	Total of 3 transactions
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	219.00	03/23/20	200.50	...	18.50	1 of 2 - Sale <sup>25</sup>
	2.000	438.00	03/23/20	401.00	...	37.00	2 of 2 - Sale <sup>25</sup>
03/24/20	3.000	657.00	Various	601.50	...	55.50	Total of 2 transactions
05/28/20	1.000	280.19	05/27/20	260.00	...	20.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,582.17</b>		<b>1,474.10</b>	...	<b>108.07</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	17.000	280.50	06/30/20	288.83	...	-8.33	1 of 2 - Sale <sup>25</sup>
	13.000	214.50	06/30/20	220.87	...	-6.37	2 of 2 - Sale <sup>25</sup>
07/01/20	30.000	495.00	Various	509.70	...	-14.70	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
7 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	2.000	44.40	12/02/20	40.39	...	4.01	1 of 7 - Sale <sup>25</sup>
	4.000	88.80	12/02/20	80.20	...	8.60	2 of 7 - Sale <sup>25</sup>
	9.000	199.79	12/02/20	181.31	...	18.48	3 of 7 - Sale <sup>25</sup>
	5.000	111.00	12/07/20	106.85	...	4.15	4 of 7 - Sale <sup>25</sup>
	10.000	221.99	12/08/20	211.60	...	10.39	5 of 7 - Sale <sup>25</sup>
	2.000	44.40	12/09/20	41.34	...	3.06	6 of 7 - Sale <sup>25</sup>
	7.000	155.40	12/09/20	144.67	...	10.73	7 of 7 - Sale <sup>25</sup>
12/15/20	39.000	865.78	Various	806.36	...	59.42	Total of 7 transactions
5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	23.00	12/09/20	20.67	...	2.33	1 of 5 - Sale <sup>25</sup>
	2.000	44.04	12/16/20	42.60	...	1.44	2 of 5 - Sale <sup>25</sup>
	4.000	88.09	12/16/20	85.20	...	2.89	3 of 5 - Sale <sup>25</sup>
	14.000	322.00	12/16/20	298.20	...	23.80	4 of 5 - Sale <sup>25</sup>
	9.000	198.19	12/21/20	185.04	...	13.15	5 of 5 - Sale <sup>25</sup>
12/22/20	30.000	675.32	Various	631.71	...	43.61	Total of 5 transactions
	<b>Security total:</b>	<b>1,541.10</b>		<b>1,438.07</b>	...	<b>103.03</b>	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
03/23/20	5.000	278.35	03/20/20	250.00	...	28.35	Sale <sup>25</sup>
ZAGG INC COMMON STOCK (DELAWAR E) / CUSIP: 98884U108 / Symbol:							
08/18/20	1.000	3.55	08/14/20	3.83	...	-0.28	Sale <sup>25</sup>
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
09/10/20	1.000	139.96	09/03/20	142.06	...	-2.10	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 926323635

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	15.81	04/16/20	11.50	...	4.31	1 of 2 - Sale <sup>25</sup>
	14.000	221.34	04/16/20	161.00	...	60.34	2 of 2 - Sale <sup>25</sup>
04/30/20	15.000	237.15	Various	172.50	...	64.65	Total of 2 transactions
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	207.01	04/16/20	115.00	...	92.01	1 of 3 - Sale <sup>25</sup>
	10.000	207.02	05/05/20	125.00	...	82.02	2 of 3 - Sale <sup>25</sup>
	10.000	207.01	05/05/20	119.00	...	88.01	3 of 3 - Sale <sup>25</sup>
06/10/20	30.000	621.04	Various	359.00	...	262.04	Total of 3 transactions
	<b>Security total:</b>	<b>858.19</b>		<b>531.50</b>	...	<b>326.69</b>	
STONECO LTD. CLASS A COMMON SH ARES / CUSIP: G85158106 / Symbol:							
10/30/20	1.000	55.54	08/24/20	50.20	...	5.34	Sale <sup>25</sup>
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	61.62	04/03/20	23.00	...	38.62	1 of 2 - Sale <sup>25</sup>
	4.000	254.33	04/03/20	92.00	...	162.33	2 of 2 - Sale <sup>25</sup>
06/10/20	5.000	315.95	Various	115.00	...	200.95	Total of 2 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
09/08/20	40.000	42.46	07/23/20	109.50	...	-67.04	Sale <sup>25</sup>
<b>Totals :</b>		<b>459,100.67</b>		<b>542,547.28</b>	<b>73,776.62 W</b>	<b>-9,669.99</b>	

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Robinhood Securities LLC

Account 926323635

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.500	8.18	04/20/20	14.88	...	-6.70	Gain or loss
<b>Totals:</b>		<b>8.18</b>		<b>14.88</b>	...	<b>-6.70</b>	

Robinhood Securities LLC

Account 926323635

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ADVANCE AUTO PARTS INC	00751Y106		04/03/20	0.50	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		11/12/20	7.18	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		10/27/20	50.00	Nondividend distribution	03
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.04	Qualified dividend	
MARATHON PETROLEUM CORPORATION	56585A102		06/10/20	8.70	Qualified dividend	
			12/10/20	0.58	Qualified dividend	
				<b>9.28</b>	<b>Total Dividends &amp; distributions</b>	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		05/15/20	1.55	Section 199A dividend	03
			05/15/20	0.55	Nondividend distribution	03
			05/15/20	0.40	Qualified dividend	03
				<b>2.50</b>	<b>Total Dividends &amp; distributions</b>	
RAYTHEON TECHNOLOGIES CORPORAT ION	75513E101		06/18/20	2.38	Qualified dividend	
				<b>71.88</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 926323635

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/22/20	-5.00	Margin interest paid	
		05/22/20	-5.00	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		07/21/20	-5.00	Margin interest paid	
		08/20/20	-5.00	Margin interest paid	
		09/21/20	-0.02	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		10/19/20	-0.24	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/18/20	-5.00	Margin interest paid	
			-40.26	Total Margin interest paid	
			<b>-40.26</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 926323635

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AAPL 12/18/2020 CALL \$700.00	AAPL 12/18/20 C 700.000	08/31/20	-1.00	0.00	Merger	
AAPL 12/18/2020 CALL \$720.00	AAPL 12/18/20 C 720.000	08/31/20	-1.00	0.00	Merger	
AAPL 12/18/2020 CALL \$175.00	AAPL 12/18/20 C 175.000	08/31/20	4.00	0.00	Merger	
AAPL 12/18/2020 CALL \$180.00	AAPL 12/18/20 C 180.000	08/31/20	4.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
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