

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 962115754</b>	Statement Date: 02/14/2021 Document ID: 6EX9 8Q1 6RK1	2020
	Manoj reddy Pasam 2512 SE 4th St Bentonville, AR 72712  RECIPIENT'S TIN: XXX-XX-3687		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      1.45</p> <p>1b- Qualified dividends      1.45</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.34</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	181,824.10	191,270.32	0.00	8,245.61	-1,200.61
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>181,824.10</b>	<b>191,270.32</b>	<b>0.00</b>	<b>8,245.61</b>	<b>-1,200.61</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>181,824.10</b>	<b>191,270.32</b>	<b>0.00</b>	<b>8,245.61</b>	<b>-1,200.61</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 962115754

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.01
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) &	Additional information
BLNK 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:								
07/01/20	1.000	29.98	06/30/20	125.00	...		-95.02	Option sale to close-call <sup>25</sup>
BLNK 07/17/2020 PUT \$5.00 / CUSIP: / Symbol:								
07/01/20	1.000	129.98	06/30/20	95.00	...		34.98	Option sale to close-put <sup>25</sup>
CEMI 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:								
07/07/20	1.000	27.98	07/02/20	37.00	...		-9.02	Option sale to close-call <sup>25</sup>
CEMI 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:								
07/06/20	1.000	24.98	07/02/20	15.00	...		9.98	Option sale to close-call <sup>25</sup>
CEMI 08/21/2020 CALL \$7.50 / CUSIP: / Symbol:								
07/16/20	1.000	114.98	07/14/20	120.00	...		-5.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLSN 08/21/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/15/20	1.000	15.98	07/14/20	15.00	...	0.98	Option sale to close-call <sup>25</sup>
DGLY 08/21/2020 PUT \$4.00 / CUSIP: / Symbol:							
07/17/20	1.000	139.98	07/16/20	120.00	...	19.98	Option sale to close-put <sup>25</sup>
EVFM 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/26/20	1.000	71.98	06/26/20	85.00	...	-13.02	Option sale to close-call <sup>25</sup>
FTI 08/21/2020 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/08/20	75.00	...	-75.00	1 of 3 - Option expiration- long call
	3.000	0.00	07/08/20	45.00	...	-45.00	2 of 3 - Option expiration- long call
	2.000	0.00	07/08/20	20.00	...	-20.00	3 of 3 - Option expiration- long call
08/21/20	10.000	0.00	Various	140.00	...	-140.00	Total of 3 transactions
GNUS 07/31/2020 CALL \$1.50 / CUSIP: / Symbol:							
07/28/20	3.000	89.98	07/28/20	90.00	...	-0.02	Option sale to close-call <sup>25</sup>
HTZ 07/02/2020 PUT \$1.50 / CUSIP: / Symbol:							
06/26/20	1.000	32.98	06/25/20	30.00	...	2.98	Option sale to close-put <sup>25</sup>
MARA 08/21/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/27/20	3.000	89.98	07/27/20	90.00	...	-0.02	Option sale to close-call <sup>25</sup>
MCRB 08/21/2020 PUT \$2.50 / CUSIP: / Symbol:							
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	08/10/20	30.00	...	-30.00	1 of 4 - Option expiration- long put
	4.000	0.00	08/10/20	20.00	...	-20.00	2 of 4 - Option expiration- long put
	2.000	0.00	08/10/20	10.00	...	-10.00	3 of 4 - Option expiration- long put
	1.000	0.00	08/10/20	5.00	...	-5.00	4 of 4 - Option expiration- long put
08/21/20	13.000	0.00	Various	65.00	...	-65.00	Total of 4 transactions
MFA 07/17/2020 CALL \$3.00 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	07/01/20	30.00	...	-30.00	Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MITT 08/21/2020 PUT \$2.50 / CUSIP: / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	3.000	20.98	08/07/20	39.00	...	-18.02	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	20.98	08/07/20	39.00	...	-18.02	2 of 2 - Option sale to close-put <sup>25</sup>
08/10/20	6.000	41.96	Various	78.00	...	-36.04	Total of 2 transactions
NAK 08/21/2020 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	07/15/20	30.00	...	-0.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	07/15/20	30.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/16/20	2.000	59.96	Various	60.00	...	-0.04	Total of 2 transactions
NIO 07/10/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/07/20	1.000	123.98	07/06/20	98.00	...	25.98	Option sale to close-call <sup>25</sup>
NIO 07/10/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/08/20	1.000	93.98	07/06/20	69.00	...	24.98	Option sale to close-call <sup>25</sup>
NIO 07/17/2020 PUT \$9.00 / CUSIP: / Symbol:							
07/13/20	1.000	2.98	07/08/20	38.00	...	-35.02	Option sale to close-put <sup>25</sup>
OAS 08/21/2020 CALL \$1.00 / CUSIP: / Symbol:							
08/05/20	1.000	9.98	08/05/20	10.00	...	-0.02	Option sale to close-call <sup>25</sup>
OPK 07/24/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/17/20	2.000	17.98	07/09/20	38.00	...	-20.02	Option sale to close-call <sup>25</sup>
OPK 07/31/2020 PUT \$4.50 / CUSIP: / Symbol:							
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/29/20	10.00	...	-10.00	1 of 3 - Option expiration- long put
	1.000	0.00	07/29/20	5.00	...	-5.00	2 of 3 - Option expiration- long put
	1.000	0.00	07/29/20	5.00	...	-5.00	3 of 3 - Option expiration- long put
07/31/20	4.000	0.00	Various	20.00	...	-20.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPK 07/31/2020 PUT \$5.00 / CUSIP: / Symbol:							
4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	2.000	5.99	07/29/20	36.00	...	-30.01	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	2.99	07/29/20	19.00	...	-16.01	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	3.00	07/29/20	19.00	...	-16.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	3.00	07/29/20	18.00	...	-15.00	4 of 4 - Option sale to close-put <sup>25</sup>
07/31/20	5.000	14.98	Various	92.00	...	-77.02	Total of 4 transactions
OPK 08/07/2020 CALL \$6.50 / CUSIP: / Symbol:							
07/31/20	2.000	75.98	07/21/20	170.00	...	-94.02	Option sale to close-call <sup>25</sup>
PEI 10/16/2020 CALL \$2.00 / CUSIP: / Symbol:							
07/07/20	1.000	19.98	06/29/20	25.00	...	-5.02	Option sale to close-call <sup>25</sup>
PRCP 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	64.98	07/14/20	30.00	...	34.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	104.98	07/14/20	30.00	...	74.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	169.96	Various	60.00	...	109.96	Total of 2 transactions
PRCP 07/17/2020 PUT \$2.50 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/14/20	5.00	...	-5.00	Option expiration- long put
VAL 07/17/2020 CALL \$1.00 / CUSIP: / Symbol:							
06/26/20	2.000	21.98	06/25/20	30.00	8.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	06/26/20	28.02	...	-18.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/26/20	10.00	...	-5.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/20	3.000	14.98	Various	38.02	...	-23.04	Total of 2 transactions
	<b>Security total:</b>	<b>36.96</b>		<b>68.02</b>	<b>8.02 W</b>	<b>-23.04</b>	
VAL 08/21/2020 CALL \$1.00 / CUSIP: / Symbol:							
06/30/20	4.000	59.98	06/25/20	80.00	20.02 W	0.00	Option sale to close-call <sup>25</sup>
5 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/25/20	25.01	...	-10.02	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	14.99	06/25/20	20.00	...	-5.01	2 of 5 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAL 08/21/2020 CALL \$1.00 / CUSIP: / Symbol: (cont'd)							
	1.000	10.99	06/26/20	20.00	...	-9.01	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	10.99	06/29/20	20.01	...	-9.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	10.98	06/29/20	20.00	...	-9.02	5 of 5 - Option sale to close-call <sup>25</sup>
07/01/20	5.000	62.94	Various	105.02	...	-42.08	Total of 5 transactions
	<b>Security total:</b>	<b>122.92</b>		<b>185.02</b>	<b>20.02 W</b>	<b>-42.08</b>	
VAL 08/21/2020 PUT \$1.00 / CUSIP: / Symbol:							
	2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	1.000	49.99	06/30/20	55.00	...	-5.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	49.99	06/30/20	52.00	...	-2.01	2 of 2 - Option sale to close-put <sup>25</sup>
07/07/20	2.000	99.98	Various	107.00	...	-7.02	Total of 2 transactions
XSPA 08/21/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/17/20	1.000	79.98	07/16/20	94.00	...	-14.02	Option sale to close-call <sup>25</sup>
XSPA 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/17/20	1.000	34.98	07/16/20	35.00	...	-0.02	Option sale to close-call <sup>25</sup>
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
	4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	100.000	200.99	05/07/20	215.00	...	-14.01	1 of 4 - Sale <sup>25</sup>
	100.000	200.99	05/07/20	215.00	...	-14.01	2 of 4 - Sale <sup>25</sup>
	25.000	50.25	05/07/20	53.75	...	-3.50	3 of 4 - Sale <sup>25</sup>
	25.000	50.25	05/07/20	53.75	...	-3.50	4 of 4 - Sale <sup>25</sup>
05/08/20	250.000	502.48	Various	537.50	...	-35.02	Total of 4 transactions
ARCA BIOPHARMA, INC. COMMON STOCK / CUSIP: 00211Y506 / Symbol:							
	7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	35.000	262.49	06/10/20	474.61	...	-212.12	1 of 7 - Sale <sup>25</sup>
	42.000	314.99	06/10/20	505.27	...	-190.28	2 of 7 - Sale <sup>25</sup>
	23.000	172.49	06/10/20	277.39	...	-104.90	3 of 7 - Sale <sup>25</sup>
	50.000	374.99	06/10/20	415.50	...	-40.51	4 of 7 - Sale <sup>25</sup>
	15.000	112.49	06/10/20	135.45	...	-22.96	5 of 7 - Sale <sup>25</sup>
	44.000	329.98	06/10/20	350.24	...	-20.26	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ARCA BIOPHARMA, INC. COMMON STOCK / CUSIP: 00211Y506 / Symbol: (cont'd)							
	6.000	45.00	06/10/20	47.76	...	-2.76	7 of 7 - Sale <sup>25</sup>
06/11/20	215.000	1,612.43	Various	2,206.22	...	-593.79	Total of 7 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	77.000	578.25	06/10/20	752.29	174.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	23.000	172.72	06/10/20	224.71	51.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	35.000	262.50	06/10/20	421.06	158.56 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	135.000	1,013.47	Various	1,398.06	384.59 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,625.90</b>		<b>3,604.28</b>	<b>384.59 W</b>	<b>-593.79</b>	
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol:							
	2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	2,800.000	1,069.83	04/23/20	792.12	...	277.71	1 of 2 - Sale <sup>25</sup>
	6,598.000	2,520.98	04/23/20	1,929.92	...	591.06	2 of 2 - Sale <sup>25</sup>
04/24/20	9,398.000	3,590.81	Various	2,722.04	...	868.77	Total of 2 transactions
	14 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	2.000	0.60	04/28/20	0.52	...	0.08	1 of 14 - Sale <sup>25</sup>
	10.000	3.00	04/28/20	2.59	...	0.41	2 of 14 - Sale <sup>25</sup>
	30.000	9.00	04/28/20	7.78	...	1.22	3 of 14 - Sale <sup>25</sup>
	50.000	15.00	04/28/20	12.96	...	2.04	4 of 14 - Sale <sup>25</sup>
	50.000	15.00	04/28/20	12.96	...	2.04	5 of 14 - Sale <sup>25</sup>
	100.000	29.99	04/28/20	25.92	...	4.07	6 of 14 - Sale <sup>25</sup>
	108.000	32.39	04/28/20	27.99	...	4.40	7 of 14 - Sale <sup>25</sup>
	172.000	51.58	04/28/20	44.58	...	7.00	8 of 14 - Sale <sup>25</sup>
	355.000	106.46	04/28/20	92.02	...	14.44	9 of 14 - Sale <sup>25</sup>
	500.000	149.94	04/28/20	129.60	...	20.34	10 of 14 - Sale <sup>25</sup>
	500.000	149.94	04/28/20	129.60	...	20.34	11 of 14 - Sale <sup>25</sup>
	1,170.000	350.86	04/28/20	303.26	...	47.60	12 of 14 - Sale <sup>25</sup>
	1,513.000	453.72	04/28/20	392.17	...	61.55	13 of 14 - Sale <sup>25</sup>
	2,440.000	731.69	04/28/20	632.45	...	99.24	14 of 14 - Sale <sup>25</sup>
04/30/20	7,000.000	2,099.17	Various	1,814.40	...	284.77	Total of 14 transactions
	26 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	552.000	165.81	04/30/20	168.64	2.83 W	0.00	1 of 26 - Sale <sup>25</sup>
	1,000.000	300.38	04/30/20	305.50	5.12 W	0.00	2 of 26 - Sale <sup>25</sup>

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(continued)

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ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	100.000	30.05	04/30/20	30.55	0.50 W	0.00	3 of 26 - Sale <sup>25</sup>
	100.000	30.04	04/30/20	30.55	0.51 W	0.00	4 of 26 - Sale <sup>25</sup>
	100.000	30.04	04/30/20	30.55	0.51 W	0.00	5 of 26 - Sale <sup>25</sup>
	1.000	0.30	04/30/20	0.31	0.01 W	0.00	6 of 26 - Sale <sup>25</sup>
	84.000	25.22	04/30/20	25.66	0.44 W	0.00	7 of 26 - Sale <sup>25</sup>
	100.000	30.02	04/30/20	30.55	0.53 W	0.00	8 of 26 - Sale <sup>25</sup>
	100.000	30.01	04/30/20	30.55	0.54 W	0.00	9 of 26 - Sale <sup>25</sup>
	2,363.000	708.60	04/30/20	721.89	13.29 W	0.00	10 of 26 - Sale <sup>25</sup>
	500.000	149.93	04/30/20	155.31	5.38 W	0.00	11 of 26 - Sale <sup>25</sup>
	52.000	15.59	04/30/20	15.91	0.32 W	0.00	12 of 26 - Sale <sup>25</sup>
	291.000	87.27	04/30/20	88.99	1.72 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	0.30	04/30/20	0.31	0.01 W	0.00	14 of 26 - Sale <sup>25</sup>
	84.000	25.19	04/30/20	25.70	0.51 W	0.00	15 of 26 - Sale <sup>25</sup>
	100.000	29.99	04/30/20	30.57	0.58 W	0.00	16 of 26 - Sale <sup>25</sup>
	100.000	29.99	04/30/20	30.58	0.59 W	0.00	17 of 26 - Sale <sup>25</sup>
	100.000	29.99	04/30/20	30.58	0.59 W	0.00	18 of 26 - Sale <sup>25</sup>
	100.000	29.98	04/30/20	30.60	0.62 W	0.00	19 of 26 - Sale <sup>25</sup>
	100.000	29.99	04/30/20	30.61	0.62 W	0.00	20 of 26 - Sale <sup>25</sup>
	709.000	212.61	04/30/20	216.83	4.22 W	0.00	21 of 26 - Sale <sup>25</sup>
	376.000	112.74	04/30/20	115.18	2.44 W	0.00	22 of 26 - Sale <sup>25</sup>
	987.000	295.98	04/30/20	302.34	6.36 W	0.00	23 of 26 - Sale <sup>25</sup>
	351.000	105.26	04/30/20	108.12	2.86 W	0.00	24 of 26 - Sale <sup>25</sup>
	500.000	149.95	04/30/20	156.58	6.63 W	0.00	25 of 26 - Sale <sup>25</sup>
	649.000	194.63	04/30/20	199.90	5.27 W	0.00	26 of 26 - Sale <sup>25</sup>
04/30/20	9,500.000	2,849.86	Various	2,912.86	63.00 W	0.00	Total of 26 transactions
	17 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.22	05/18/20	0.23	0.01 W	0.00	1 of 17 - Sale <sup>25</sup>
	52.000	11.32	05/18/20	11.75	0.43 W	0.00	2 of 17 - Sale <sup>25</sup>
	84.000	18.29	05/18/20	18.97	0.68 W	0.00	3 of 17 - Sale <sup>25</sup>
	100.000	21.77	05/18/20	22.56	0.79 W	0.00	4 of 17 - Sale <sup>25</sup>
	100.000	21.77	05/18/20	22.57	0.80 W	0.00	5 of 17 - Sale <sup>25</sup>
	100.000	21.77	05/18/20	22.57	0.80 W	0.00	6 of 17 - Sale <sup>25</sup>
	100.000	21.77	05/18/20	22.60	0.83 W	0.00	7 of 17 - Sale <sup>25</sup>
	100.000	21.77	05/18/20	22.60	0.83 W	0.00	8 of 17 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	291.000	63.35	05/18/20	65.68	2.33 W	0.00	9 of 17 - Sale <sup>25</sup>
	376.000	81.85	05/18/20	85.09	3.24 W	0.00	10 of 17 - Sale <sup>25</sup>
	487.000	106.01	05/18/20	110.18	4.17 W	0.00	11 of 17 - Sale <sup>25</sup>
	709.000	154.34	05/18/20	160.06	5.72 W	0.00	12 of 17 - Sale <sup>25</sup>
	351.000	76.41	05/18/20	81.48	5.07 W	0.00	13 of 17 - Sale <sup>25</sup>
	500.000	108.84	05/18/20	115.22	6.38 W	0.00	14 of 17 - Sale <sup>25</sup>
	500.000	108.84	05/18/20	118.63	9.79 W	0.00	15 of 17 - Sale <sup>25</sup>
	649.000	141.28	05/18/20	150.65	9.37 W	0.00	16 of 17 - Sale <sup>25</sup>
	3,000.000	653.05	05/18/20	672.00	18.95 W	0.00	17 of 17 - Sale <sup>25</sup>
05/18/20	7,500.000	1,632.65	Various	1,702.84	70.19 W	0.00	Total of 17 transactions
06/01/20	1.000	0.20	05/29/20	0.20	...	0.00	Sale <sup>25</sup>
19 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	43.000	8.47	05/29/20	8.73	0.26 W	0.00	1 of 19 - Sale <sup>25</sup>
	9.000	1.77	05/29/20	1.83	0.06 W	0.00	2 of 19 - Sale <sup>25</sup>
	88.000	17.33	05/29/20	17.86	0.53 W	0.00	3 of 19 - Sale <sup>25</sup>
	84.000	16.53	05/29/20	17.05	0.52 W	0.00	4 of 19 - Sale <sup>25</sup>
	100.000	19.69	05/29/20	20.28	0.59 W	0.00	5 of 19 - Sale <sup>25</sup>
	100.000	19.69	05/29/20	20.29	0.60 W	0.00	6 of 19 - Sale <sup>25</sup>
	100.000	19.69	05/29/20	20.29	0.60 W	0.00	7 of 19 - Sale <sup>25</sup>
	100.000	19.69	05/29/20	20.32	0.63 W	0.00	8 of 19 - Sale <sup>25</sup>
	3.000	0.59	05/29/20	0.61	0.02 W	0.00	9 of 19 - Sale <sup>25</sup>
	203.000	39.97	05/29/20	41.19	1.22 W	0.00	10 of 19 - Sale <sup>25</sup>
	709.000	139.58	05/29/20	143.90	4.32 W	0.00	11 of 19 - Sale <sup>25</sup>
	97.000	19.10	05/29/20	19.71	0.61 W	0.00	12 of 19 - Sale <sup>25</sup>
	3.000	0.59	05/29/20	0.61	0.02 W	0.00	13 of 19 - Sale <sup>25</sup>
	373.000	73.44	05/29/20	75.92	2.48 W	0.00	14 of 19 - Sale <sup>25</sup>
	77.000	15.16	05/29/20	15.67	0.51 W	0.00	15 of 19 - Sale <sup>25</sup>
	286.000	56.31	05/29/20	58.19	1.88 W	0.00	16 of 19 - Sale <sup>25</sup>
	124.000	24.41	05/29/20	25.23	0.82 W	0.00	17 of 19 - Sale <sup>25</sup>
	476.000	93.72	05/29/20	98.85	5.13 W	0.00	18 of 19 - Sale <sup>25</sup>
	24.000	4.73	05/29/20	4.98	0.25 W	0.00	19 of 19 - Sale <sup>25</sup>
06/01/20	2,999.000	590.46	Various	611.51	21.05 W	0.00	Total of 19 transactions

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ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	21.03	06/02/20	22.19	1.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	149.000	31.33	06/02/20	33.05	1.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	251.000	52.78	06/02/20	55.68	2.90 W	0.00	3 of 3 - Sale <sup>25</sup>
06/03/20	500.000	105.14	Various	110.92	5.78 W	0.00	Total of 3 transactions
8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	3,000.000	623.66	06/03/20	657.95	22.87 W	-11.42	1 of 8 - Sale <sup>25</sup>
	9.000	1.87	06/03/20	1.98	0.11 W	0.00	2 of 8 - Sale <sup>25</sup>
	43.000	8.94	06/03/20	9.42	0.48 W	0.00	3 of 8 - Sale <sup>25</sup>
	88.000	18.29	06/03/20	19.27	0.98 W	0.00	4 of 8 - Sale <sup>25</sup>
	203.000	42.20	06/03/20	44.46	2.26 W	0.00	5 of 8 - Sale <sup>25</sup>
	657.000	136.58	06/03/20	143.94	7.36 W	0.00	6 of 8 - Sale <sup>25</sup>
	500.000	103.94	06/03/20	113.72	9.78 W	0.00	7 of 8 - Sale <sup>25</sup>
	500.000	103.94	06/03/20	116.29	12.35 W	0.00	8 of 8 - Sale <sup>25</sup>
06/04/20	5,000.000	1,039.42	Various	1,107.03	56.19 W	-11.42	Total of 8 transactions
34 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.38	06/05/20	0.31	...	0.07	1 of 34 - Sale <sup>25</sup>
	3.000	1.14	06/05/20	0.87	...	0.27	2 of 34 - Sale <sup>25</sup>
	3.000	1.14	06/05/20	0.87	...	0.27	3 of 34 - Sale <sup>25</sup>
	9.000	3.42	06/05/20	2.66	...	0.76	4 of 34 - Sale <sup>25</sup>
	18.000	6.84	06/05/20	5.21	...	1.63	5 of 34 - Sale <sup>25</sup>
	24.000	9.12	06/05/20	7.06	...	2.06	6 of 34 - Sale <sup>25</sup>
	32.000	12.16	06/05/20	9.26	...	2.90	7 of 34 - Sale <sup>25</sup>
	39.000	14.81	06/05/20	11.49	...	3.32	8 of 34 - Sale <sup>25</sup>
	43.000	16.34	06/05/20	12.67	...	3.67	9 of 34 - Sale <sup>25</sup>
	49.000	18.61	06/05/20	14.45	...	4.16	10 of 34 - Sale <sup>25</sup>
	50.000	19.00	06/05/20	14.48	...	4.52	11 of 34 - Sale <sup>25</sup>
	52.000	19.75	06/05/20	15.07	...	4.68	12 of 34 - Sale <sup>25</sup>
	77.000	29.25	06/05/20	22.35	...	6.90	13 of 34 - Sale <sup>25</sup>
	84.000	31.91	06/05/20	24.34	...	7.57	14 of 34 - Sale <sup>25</sup>
	100.000	37.99	06/05/20	29.52	...	8.47	15 of 34 - Sale <sup>25</sup>
	97.000	36.85	06/05/20	28.12	...	8.73	16 of 34 - Sale <sup>25</sup>
	100.000	37.99	06/05/20	28.99	...	9.00	17 of 34 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	100.000	37.99	06/05/20	28.96	...	9.03	18 of 34 - Sale <sup>25</sup>
	100.000	37.99	06/05/20	28.96	...	9.03	19 of 34 - Sale <sup>25</sup>
	124.000	47.10	06/05/20	35.99	...	11.11	20 of 34 - Sale <sup>25</sup>
	149.000	56.60	06/05/20	43.98	...	12.62	21 of 34 - Sale <sup>25</sup>
	158.000	60.02	06/05/20	46.58	...	13.44	22 of 34 - Sale <sup>25</sup>
	203.000	77.11	06/05/20	59.83	...	17.28	23 of 34 - Sale <sup>25</sup>
	251.000	95.35	06/05/20	74.08	...	21.27	24 of 34 - Sale <sup>25</sup>
	286.000	108.64	06/05/20	82.99	...	25.65	25 of 34 - Sale <sup>25</sup>
	500.000	174.93	06/05/20	146.21	...	28.72	26 of 34 - Sale <sup>25</sup>
	500.000	174.93	06/05/20	142.72	...	32.21	27 of 34 - Sale <sup>25</sup>
	373.000	141.69	06/05/20	108.26	...	33.43	28 of 34 - Sale <sup>25</sup>
	499.000	189.56	06/05/20	154.50	...	35.06	29 of 34 - Sale <sup>25</sup>
	500.000	174.94	06/05/20	139.11	...	35.83	30 of 34 - Sale <sup>25</sup>
	500.000	189.93	06/05/20	152.72	...	37.21	31 of 34 - Sale <sup>25</sup>
	476.000	180.82	06/05/20	140.12	...	40.70	32 of 34 - Sale <sup>25</sup>
	499.000	189.55	06/05/20	148.25	...	41.30	33 of 34 - Sale <sup>25</sup>
	501.000	190.31	06/05/20	148.46	...	41.85	34 of 34 - Sale <sup>25</sup>
06/08/20	6,500.000	2,424.16	Various	1,909.44	...	514.72	Total of 34 transactions
	<b>Security total:</b>	<b>14,331.87</b>		<b>12,891.24</b>	<b>216.21 W</b>	<b>1,656.84</b>	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	36.49	06/17/20	35.72	...	0.77	1 of 5 - Sale <sup>25</sup>
	154.000	56.19	06/17/20	51.27	...	4.92	2 of 5 - Sale <sup>25</sup>
	900.000	328.39	06/17/20	321.48	...	6.91	3 of 5 - Sale <sup>25</sup>
	400.000	145.95	06/17/20	133.16	...	12.79	4 of 5 - Sale <sup>25</sup>
	446.000	162.74	06/17/20	148.47	...	14.27	5 of 5 - Sale <sup>25</sup>
06/18/20	2,000.000	729.76	Various	690.10	...	39.66	Total of 5 transactions
AKERS BIOSCIENCES INC. COMMON STOCK / CUSIP: 00973E409 / Symbol:							
04/06/20	550.000	2,199.88	04/06/20	2,090.00	...	109.88	Sale <sup>25</sup>
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	199.000	437.78	12/02/20	451.43	...	-13.65	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AKERS BIOSCIENCES INC. COMMON STOCK / CUSIP: 00973E409 / Symbol: (cont'd)							
	1.000	2.21	12/02/20	2.27	...	-0.06	2 of 2 - Sale <sup>25</sup>
12/02/20	200.000	439.99	Various	453.70	...	-13.71	Total of 2 transactions
	<b>Security total:</b>	<b>2,639.87</b>		<b>2,543.70</b>	...	<b>96.17</b>	
AMPLIFY ENERGY CORP. / CUSIP: 03212B103 / Symbol:							
04/30/20	1,000.000	1,573.74	04/30/20	1,750.00	...	-176.26	Sale <sup>25</sup>
	5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	40.000	64.00	06/22/20	61.52	...	2.48	1 of 5 - Sale <sup>25</sup>
	40.000	63.99	06/22/20	61.20	...	2.79	2 of 5 - Sale <sup>25</sup>
	40.000	64.00	06/22/20	61.20	...	2.80	3 of 5 - Sale <sup>25</sup>
	50.000	79.99	06/22/20	76.50	...	3.49	4 of 5 - Sale <sup>25</sup>
	100.000	159.99	06/22/20	152.00	...	7.99	5 of 5 - Sale <sup>25</sup>
06/23/20	270.000	431.97	Various	412.42	...	19.55	Total of 5 transactions
	<b>Security total:</b>	<b>2,005.71</b>		<b>2,162.42</b>	...	<b>-156.71</b>	
APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
05/15/20	10.000	125.37	05/14/20	146.07	...	-20.70	Sale <sup>25</sup>
07/20/20	21.000	217.35	07/17/20	226.30	...	-8.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>342.72</b>		<b>372.37</b>	...	<b>-29.65</b>	
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	529.89	06/23/20	543.50	...	-13.61	1 of 3 - Sale <sup>25</sup>
	10.000	529.88	06/23/20	542.00	...	-12.12	2 of 3 - Sale <sup>25</sup>
	8.000	423.91	06/23/20	431.10	...	-7.19	3 of 3 - Sale <sup>25</sup>
06/24/20	28.000	1,483.68	Various	1,516.60	...	-32.92	Total of 3 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
	2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	2,990.000	2,485.77	04/01/20	2,570.80	...	-85.03	1 of 2 - Sale <sup>25</sup>
	10.000	8.32	04/01/20	8.60	...	-0.28	2 of 2 - Sale <sup>25</sup>
04/01/20	3,000.000	2,494.09	Various	2,579.40	...	-85.31	Total of 2 transactions

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	200.000	333.96	05/14/20	398.00	...	-64.04	1 of 2 - Sale <sup>25</sup>
	100.000	166.98	05/14/20	183.00	...	-16.02	2 of 2 - Sale <sup>25</sup>
05/15/20	300.000	500.94	Various	581.00	...	-80.06	Total of 2 transactions
BELLUS HEALTH INC. COMMON SHAR ES / CUSIP: 07987C204 / Symbol:							
12/10/20	150.000	475.50	12/07/20	517.22	...	-41.72	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	230.000	154.07	07/17/20	176.85	...	-22.78	1 of 2 - Sale <sup>25</sup>
	100.000	66.99	07/17/20	76.89	...	-9.90	2 of 2 - Sale <sup>25</sup>
07/17/20	330.000	221.06	Various	253.74	...	-32.68	Total of 2 transactions
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	100.000	48.84	06/12/20	44.98	...	3.86	1 of 5 - Sale <sup>25</sup>
	400.000	195.19	06/12/20	179.92	...	15.27	2 of 5 - Sale <sup>25</sup>
	500.000	243.99	06/12/20	224.90	...	19.09	3 of 5 - Sale <sup>25</sup>
	500.000	243.99	06/12/20	224.60	...	19.39	4 of 5 - Sale <sup>25</sup>
	300.000	146.40	06/15/20	150.00	...	-3.60	5 of 5 - Sale <sup>25</sup>
06/16/20	1,800.000	878.41	Various	824.40	...	54.01	Total of 5 transactions
06/17/20	700.000	329.62	06/15/20	350.00	...	-20.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,208.03</b>		<b>1,174.40</b>	...	<b>33.63</b>	
CASTLIGHT HEALTH, INC. / CUSIP: 14862Q100 / Symbol:							
05/22/20	100.000	75.99	05/21/20	97.00	21.01 W	0.00	Sale <sup>25</sup>
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	500.000	409.99	05/22/20	444.30	...	-34.31	1 of 2 - Sale <sup>25</sup>
	100.000	82.00	05/22/20	109.87	...	-27.87	2 of 2 - Sale <sup>25</sup>
05/26/20	600.000	491.99	Various	554.17	...	-62.18	Total of 2 transactions
	<b>Security total:</b>	<b>567.98</b>		<b>651.17</b>	<b>21.01 W</b>	<b>-62.18</b>	

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	30.000	112.20	07/01/20	108.00	...	4.20	1 of 2 - Sale <sup>25</sup>
	100.000	373.99	07/01/20	360.00	...	13.99	2 of 2 - Sale <sup>25</sup>
07/02/20	130.000	486.19	Various	468.00	...	18.19	Total of 2 transactions
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
9 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	6.000	8.34	06/03/20	5.13	...	3.21	1 of 9 - Sale <sup>25</sup>
	30.000	41.70	06/03/20	26.40	...	15.30	2 of 9 - Sale <sup>25</sup>
	100.000	138.99	06/03/20	93.00	...	45.99	3 of 9 - Sale <sup>25</sup>
	100.000	138.99	06/03/20	93.00	...	45.99	4 of 9 - Sale <sup>25</sup>
	100.000	139.00	06/03/20	93.00	...	46.00	5 of 9 - Sale <sup>25</sup>
	94.000	130.66	06/03/20	80.37	...	50.29	6 of 9 - Sale <sup>25</sup>
	164.000	227.95	06/03/20	155.80	...	72.15	7 of 9 - Sale <sup>25</sup>
	350.000	486.48	06/03/20	301.00	...	185.48	8 of 9 - Sale <sup>25</sup>
	436.000	606.02	06/03/20	414.20	...	191.82	9 of 9 - Sale <sup>25</sup>
06/03/20	1,380.000	1,918.13	Various	1,261.90	...	656.23	Total of 9 transactions
06/09/20	200.000	501.96	06/08/20	592.00	...	-90.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,420.09</b>		<b>1,853.90</b>	...	<b>566.19</b>	
VELOCITYSHARES3X LONG CRUDE OI L ETNS LINKED TO THE S&P GSCI CRUDE OIL INDEX ER / CUSIP: 17325E291 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	14.000	19.04	03/10/20	17.62	...	1.42	1 of 2 - Sale <sup>25</sup>
	486.000	660.88	03/10/20	611.63	...	49.25	2 of 2 - Sale <sup>25</sup>
03/10/20	500.000	679.92	Various	629.25	...	50.67	Total of 2 transactions
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	30.000	499.49	05/08/20	458.55	...	40.94	1 of 2 - Sale <sup>25</sup>
	50.000	832.48	05/08/20	775.50	...	56.98	2 of 2 - Sale <sup>25</sup>
05/11/20	80.000	1,331.97	Various	1,234.05	...	97.92	Total of 2 transactions
05/12/20	50.000	859.98	05/11/20	845.00	...	14.98	Sale <sup>25</sup>

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	5.000	131.99	05/13/20	114.85	...	17.14	1 of 3 - Sale <sup>25</sup>
	15.000	367.80	05/13/20	344.55	...	23.25	2 of 3 - Sale <sup>25</sup>
	20.000	527.99	05/13/20	428.40	...	99.59	3 of 3 - Sale <sup>25</sup>
05/14/20	40.000	1,027.78	Various	887.80	...	139.98	Total of 3 transactions
05/15/20	5.000	95.00	05/14/20	111.95	...	-16.95	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,314.73</b>		<b>3,078.80</b>	...	<b>235.93</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
5 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	30.000	323.09	03/16/20	183.00	...	140.09	1 of 5 - Sale <sup>25</sup>
	18.000	193.86	03/17/20	147.42	...	46.44	2 of 5 - Sale <sup>25</sup>
	51.000	641.56	03/17/20	548.21	...	93.35	3 of 5 - Sale <sup>25</sup>
	95.000	1,195.06	03/17/20	1,020.30	...	174.76	4 of 5 - Sale <sup>25</sup>
	100.000	1,076.98	03/17/20	819.00	...	257.98	5 of 5 - Sale <sup>25</sup>
03/17/20	294.000	3,430.55	Various	2,717.93	...	712.62	Total of 5 transactions
4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	6.000	65.34	03/17/20	79.08	13.74 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	108.90	03/17/20	131.80	22.90 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	163.34	03/17/20	197.85	34.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	107.000	1,165.18	03/17/20	1,412.40	247.22 W	0.00	4 of 4 - Sale <sup>25</sup>
03/18/20	138.000	1,502.76	Various	1,821.13	318.37 W	0.00	Total of 4 transactions
6 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	99.000	638.52	03/18/20	1,298.81	...	-660.29	1 of 6 - Sale <sup>25</sup>
	15.000	96.74	03/18/20	196.64	...	-99.90	2 of 6 - Sale <sup>25</sup>
	10.000	64.50	03/18/20	130.99	...	-66.49	3 of 6 - Sale <sup>25</sup>
	6.000	38.70	03/18/20	78.59	...	-39.89	4 of 6 - Sale <sup>25</sup>
	8.000	51.59	03/20/20	50.80	...	0.79	5 of 6 - Sale <sup>25</sup>
	8.000	51.60	03/20/20	32.32	...	19.28	6 of 6 - Sale <sup>25</sup>
03/26/20	146.000	941.65	Various	1,788.15	...	-846.50	Total of 6 transactions
	<b>Security total:</b>	<b>5,874.96</b>		<b>6,327.21</b>	<b>318.37 W</b>	<b>-133.88</b>	

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Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
11/23/20	50.000	388.50	11/23/20	301.75	...	86.75	Sale <sup>25</sup>
		2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.					
	48.000	351.88	11/23/20	262.01	...	89.87	1 of 2 - Sale <sup>25</sup>
	70.000	513.17	11/23/20	422.45	...	90.72	2 of 2 - Sale <sup>25</sup>
11/24/20	118.000	865.05	Various	684.46	...	180.59	Total of 2 transactions
	<b>Security total:</b>	<b>1,253.55</b>		<b>986.21</b>	...	<b>267.34</b>	
DUNXIN FINANCIAL HOLDINGS LIM I TED / CUSIP: 26605Q106 / Symbol:							
	5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	100.000	95.55	06/17/20	128.00	...	-32.45	1 of 5 - Sale <sup>25</sup>
	80.000	76.44	06/17/20	97.60	...	-21.16	2 of 5 - Sale <sup>25</sup>
	100.000	106.99	06/17/20	128.00	...	-21.01	3 of 5 - Sale <sup>25</sup>
	50.000	47.77	06/17/20	64.50	...	-16.73	4 of 5 - Sale <sup>25</sup>
	50.000	53.49	06/17/20	63.99	...	-10.50	5 of 5 - Sale <sup>25</sup>
06/17/20	380.000	380.24	Various	482.09	...	-101.85	Total of 5 transactions
	3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	250.000	307.47	06/17/20	319.98	6.50 W	-6.01	1 of 3 - Sale <sup>25</sup>
	100.000	123.99	06/17/20	127.99	4.00 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	123.99	06/17/20	127.99	4.00 W	0.00	3 of 3 - Sale <sup>25</sup>
06/17/20	450.000	555.45	Various	575.96	14.50 W	-6.01	Total of 3 transactions
	<b>Security total:</b>	<b>935.69</b>		<b>1,058.05</b>	<b>14.50 W</b>	<b>-107.86</b>	
EXTRACTION OIL & GAS INC COMMO N STOCK / CUSIP: 30227M105 / Symbol:							
	5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	100.000	50.29	06/16/20	56.48	...	-6.19	1 of 5 - Sale <sup>25</sup>
	100.000	50.30	06/16/20	56.48	...	-6.18	2 of 5 - Sale <sup>25</sup>
	100.000	50.30	06/16/20	56.47	...	-6.17	3 of 5 - Sale <sup>25</sup>
	100.000	50.30	06/16/20	56.47	...	-6.17	4 of 5 - Sale <sup>25</sup>
	100.000	50.30	06/16/20	56.46	...	-6.16	5 of 5 - Sale <sup>25</sup>
06/17/20	500.000	251.49	Various	282.36	...	-30.87	Total of 5 transactions

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
05/20/20	150.000	116.97	05/19/20	103.50	...	13.47	Sale <sup>25</sup>
06/03/20	500.000	429.94	06/02/20	472.67	25.64 W	-17.09	Sale <sup>25</sup>
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	39.000	54.99	06/19/20	52.08	...	2.91	1 of 3 - Sale <sup>25</sup>
	61.000	86.00	06/19/20	81.47	...	4.53	2 of 3 - Sale <sup>25</sup>
	200.000	281.98	06/19/20	267.09	...	14.89	3 of 3 - Sale <sup>25</sup>
06/22/20	300.000	422.97	Various	400.64	...	22.33	Total of 3 transactions
	<b>Security total:</b>	<b>969.88</b>		<b>976.81</b>	<b>25.64 W</b>	<b>18.71</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
05/15/20	1.000	4.22	03/16/20	3.70	...	0.52	Sale <sup>25</sup>
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	350.000	257.21	05/11/20	276.47	...	-19.26	1 of 2 - Sale <sup>25</sup>
	300.000	221.96	05/11/20	236.97	...	-15.01	2 of 2 - Sale <sup>25</sup>
05/12/20	650.000	479.17	Various	513.44	...	-34.27	Total of 2 transactions
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK / CUSIP: 38741L107 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	133.000	839.20	04/08/20	597.17	...	242.03	1 of 2 - Sale <sup>25</sup>
	300.000	1,892.95	04/08/20	1,355.97	...	536.98	2 of 2 - Sale <sup>25</sup>
04/09/20	433.000	2,732.15	Various	1,953.14	...	779.01	Total of 2 transactions
4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	62.000	399.89	04/09/20	358.98	...	40.91	1 of 4 - Sale <sup>25</sup>
	103.000	664.32	04/09/20	596.37	...	67.95	2 of 4 - Sale <sup>25</sup>
	108.000	696.57	04/09/20	625.32	...	71.25	3 of 4 - Sale <sup>25</sup>
	345.000	2,225.16	04/09/20	1,997.55	...	227.61	4 of 4 - Sale <sup>25</sup>
04/13/20	618.000	3,985.94	Various	3,578.22	...	407.72	Total of 4 transactions
5 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	33.000	158.40	04/17/20	162.64	4.24 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	120.00	04/17/20	123.21	3.21 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	478.99	04/17/20	492.85	13.86 W	0.00	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK / CUSIP: 38741L107 / Symbol: (cont'd)							
	100.000	478.99	04/17/20	492.85	13.86 W	0.00	4 of 5 - Sale <sup>25</sup>
	42.000	201.18	04/17/20	207.00	5.82 W	0.00	5 of 5 - Sale <sup>25</sup>
04/20/20	300.000	1,437.56	Various	1,478.55	40.99 W	0.00	Total of 5 transactions
	3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	30.000	129.31	04/22/20	128.96	...	0.35	1 of 3 - Sale <sup>25</sup>
	22.000	94.82	04/22/20	93.91	...	0.91	2 of 3 - Sale <sup>25</sup>
	28.000	120.68	04/22/20	119.52	...	1.16	3 of 3 - Sale <sup>25</sup>
04/23/20	80.000	344.81	Various	342.39	...	2.42	Total of 3 transactions
	6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	300.000	1,293.02	04/17/20	1,478.55	185.53 W	0.00	1 of 6 - Sale <sup>25</sup>
	25.000	107.75	04/22/20	109.71	1.96 W	0.00	2 of 6 - Sale <sup>25</sup>
	33.000	142.23	04/22/20	144.82	2.59 W	0.00	3 of 6 - Sale <sup>25</sup>
	42.000	181.02	04/22/20	184.73	3.71 W	0.00	4 of 6 - Sale <sup>25</sup>
	42.000	181.02	04/22/20	181.70	0.68 W	0.00	5 of 6 - Sale <sup>25</sup>
	58.000	249.99	04/22/20	250.92	0.93 W	0.00	6 of 6 - Sale <sup>25</sup>
04/23/20	500.000	2,155.03	Various	2,350.43	195.40 W	0.00	Total of 6 transactions
04/28/20	20.000	92.20	04/27/20	87.77	...	4.43	Sale <sup>25</sup>
04/28/20	280.000	1,290.75	04/27/20	1,363.16	72.41 W	0.00	Sale <sup>25</sup>
	6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	25.000	138.74	04/29/20	131.96	...	6.78	1 of 6 - Sale <sup>25</sup>
	80.000	443.98	04/29/20	436.69	...	7.29	2 of 6 - Sale <sup>25</sup>
	33.000	183.14	04/29/20	174.19	...	8.95	3 of 6 - Sale <sup>25</sup>
	42.000	233.09	04/29/20	222.11	...	10.98	4 of 6 - Sale <sup>25</sup>
	42.000	233.09	04/29/20	219.08	...	14.01	5 of 6 - Sale <sup>25</sup>
	58.000	321.89	04/29/20	302.53	...	19.36	6 of 6 - Sale <sup>25</sup>
04/30/20	280.000	1,553.93	Various	1,486.56	...	67.37	Total of 6 transactions
04/30/20	20.000	110.99	04/29/20	116.37	5.38 W	0.00	Sale <sup>25</sup>
	8 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	50.000	212.62	05/12/20	239.23	...	-26.61	1 of 8 - Sale <sup>25</sup>
	50.000	221.09	05/12/20	239.24	...	-18.15	2 of 8 - Sale <sup>25</sup>
	42.000	197.40	05/12/20	208.19	...	-10.79	3 of 8 - Sale <sup>25</sup>
	29.000	136.30	05/12/20	143.80	...	-7.50	4 of 8 - Sale <sup>25</sup>
	21.000	98.70	05/12/20	104.14	...	-5.44	5 of 8 - Sale <sup>25</sup>

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK / CUSIP: 38741L107 / Symbol: (cont'd)							
	8.000	37.60	05/12/20	39.66	...	-2.06	6 of 8 - Sale <sup>25</sup>
	20.000	102.00	05/12/20	98.38	...	3.62	7 of 8 - Sale <sup>25</sup>
	30.000	152.99	05/12/20	139.50	...	13.49	8 of 8 - Sale <sup>25</sup>
05/13/20	250.000	1,158.70	Various	1,212.14	...	-53.44	Total of 8 transactions
	4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	29.000	147.89	05/12/20	156.85	8.96 W	0.00	1 of 4 - Sale <sup>25</sup>
	29.000	147.89	05/12/20	156.85	8.96 W	0.00	2 of 4 - Sale <sup>25</sup>
	42.000	214.19	05/12/20	227.16	12.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	509.98	05/12/20	523.63	13.65 W	0.00	4 of 4 - Sale <sup>25</sup>
05/13/20	200.000	1,019.95	Various	1,064.49	44.54 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>15,882.01</b>		<b>15,033.22</b>	<b>358.72 W</b>	<b>1,207.51</b>	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
05/15/20	1.000	1.05	06/24/19	3.49	...	-2.44	Sale <sup>25</sup>
HTG MOLECULAR DIAGNOSTICS, INC . COMMON STOCK / CUSIP: 40434H104 / Symbol:							
	4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	150.000	62.98	05/14/20	110.09	...	-47.11	1 of 4 - Sale <sup>25</sup>
	142.000	62.71	05/14/20	104.25	...	-41.54	2 of 4 - Sale <sup>25</sup>
	58.000	25.61	05/14/20	42.58	...	-16.97	3 of 4 - Sale <sup>25</sup>
	50.000	22.08	05/14/20	36.69	...	-14.61	4 of 4 - Sale <sup>25</sup>
05/15/20	400.000	173.38	Various	293.61	...	-120.23	Total of 4 transactions
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	242.000	101.61	05/14/20	143.75	24.73 W	-17.41	1 of 2 - Sale <sup>25</sup>
	258.000	108.33	05/14/20	153.25	44.92 W	0.00	2 of 2 - Sale <sup>25</sup>
05/15/20	500.000	209.94	Various	297.00	69.65 W	-17.41	Total of 2 transactions
	<b>Security total:</b>	<b>383.32</b>		<b>590.61</b>	<b>69.65 W</b>	<b>-137.64</b>	
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
	2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	50.000	55.00	05/27/20	57.50	2.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	400.000	439.95	05/27/20	460.00	20.05 W	0.00	2 of 2 - Sale <sup>25</sup>
05/28/20	450.000	494.95	Various	517.50	22.55 W	0.00	Total of 2 transactions

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	150.000	166.50	05/27/20	172.50	6.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	55.50	05/27/20	60.00	4.50 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	218.00	05/27/20	240.02	22.02 W	0.00	3 of 3 - Sale <sup>25</sup>
05/29/20	400.000	440.00	Various	472.52	32.52 W	0.00	Total of 3 transactions
7 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	100.000	80.64	06/02/20	133.20	...	-52.56	1 of 7 - Sale <sup>25</sup>
	50.000	40.32	06/02/20	66.01	...	-25.69	2 of 7 - Sale <sup>25</sup>
	49.000	39.59	06/02/20	64.48	...	-24.89	3 of 7 - Sale <sup>25</sup>
	50.000	40.31	06/02/20	63.50	...	-23.19	4 of 7 - Sale <sup>25</sup>
	50.000	40.39	06/02/20	62.80	...	-22.41	5 of 7 - Sale <sup>25</sup>
	10.000	8.08	06/02/20	12.22	...	-4.14	6 of 7 - Sale <sup>25</sup>
	1.000	0.81	06/02/20	1.32	...	-0.51	7 of 7 - Sale <sup>25</sup>
06/02/20	310.000	250.14	Various	403.53	...	-153.39	Total of 7 transactions
15 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	50.000	39.97	05/27/20	60.01	20.04 W	0.00	1 of 15 - Sale <sup>25</sup>
	150.000	119.90	05/27/20	170.27	50.37 W	0.00	2 of 15 - Sale <sup>25</sup>
	50.000	39.97	05/27/20	57.49	17.52 W	0.00	3 of 15 - Sale <sup>25</sup>
	150.000	119.90	05/27/20	164.99	45.09 W	0.00	4 of 15 - Sale <sup>25</sup>
	200.000	159.87	05/27/20	226.02	66.15 W	0.00	5 of 15 - Sale <sup>25</sup>
	50.000	39.97	06/01/20	47.50	7.53 W	0.00	6 of 15 - Sale <sup>25</sup>
	50.000	40.43	06/01/20	67.54	27.11 W	0.00	7 of 15 - Sale <sup>25</sup>
	150.000	121.30	06/01/20	192.85	71.55 W	0.00	8 of 15 - Sale <sup>25</sup>
	50.000	40.43	06/01/20	64.49	24.06 W	0.00	9 of 15 - Sale <sup>25</sup>
	100.000	80.87	06/01/20	123.99	43.12 W	0.00	10 of 15 - Sale <sup>25</sup>
	50.000	40.32	06/02/20	69.86	5.91 W	-23.63	11 of 15 - Sale <sup>25</sup>
	150.000	121.31	06/02/20	180.83	59.52 W	0.00	12 of 15 - Sale <sup>25</sup>
	50.000	40.32	06/02/20	60.28	19.96 W	0.00	13 of 15 - Sale <sup>25</sup>
	50.000	40.32	06/02/20	58.77	18.45 W	0.00	14 of 15 - Sale <sup>25</sup>
	50.000	40.32	06/02/20	51.27	10.95 W	0.00	15 of 15 - Sale <sup>25</sup>
06/02/20	1,350.000	1,085.20	Various	1,596.16	487.33 W	-23.63	Total of 15 transactions

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
<i>5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	140.000	123.18	06/04/20	182.80	...	-59.62	1 of 5 - Sale <sup>25</sup>
	50.000	43.99	06/04/20	65.40	...	-21.41	2 of 5 - Sale <sup>25</sup>
	50.000	44.00	06/04/20	63.90	...	-19.90	3 of 5 - Sale <sup>25</sup>
	50.000	43.99	06/04/20	56.39	...	-12.40	4 of 5 - Sale <sup>25</sup>
	10.000	8.80	06/04/20	15.00	...	-6.20	5 of 5 - Sale <sup>25</sup>
06/05/20	300.000	263.96	Various	383.49	...	-119.53	Total of 5 transactions
	<b>Security total:</b>	<b>2,534.25</b>		<b>3,373.20</b>	<b>542.40 W</b>	<b>-296.55</b>	
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
06/05/20	200.000	545.96	06/04/20	276.00	...	269.96	Sale <sup>25</sup>
06/11/20	200.000	405.98	06/11/20	441.98	36.00 W	0.00	Sale <sup>25</sup>
06/12/20	100.000	305.99	06/11/20	220.99	...	85.00	Sale <sup>25</sup>
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	191.000	353.33	06/17/20	452.23	...	-98.90	1 of 2 - Sale <sup>25</sup>
	9.000	16.65	06/17/20	21.31	...	-4.66	2 of 2 - Sale <sup>25</sup>
06/18/20	200.000	369.98	Various	473.54	...	-103.56	Total of 2 transactions
	<b>Security total:</b>	<b>1,627.91</b>		<b>1,412.51</b>	<b>36.00 W</b>	<b>251.40</b>	
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol:							
<i>2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	180.50	05/19/20	196.51	...	-16.01	1 of 2 - Sale <sup>25</sup>
	50.000	180.49	05/19/20	181.50	...	-1.01	2 of 2 - Sale <sup>25</sup>
05/20/20	100.000	360.99	Various	378.01	...	-17.02	Total of 2 transactions
05/20/20	100.000	362.99	05/19/20	378.00	15.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>723.98</b>		<b>756.01</b>	<b>15.01 W</b>	<b>-17.02</b>	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
<i>2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	1,196.95	04/28/20	1,340.19	...	-143.24	1 of 2 - Sale <sup>25</sup>
	1,000.000	1,391.74	04/28/20	1,489.10	...	-97.36	2 of 2 - Sale <sup>25</sup>
04/28/20	1,900.000	2,588.69	Various	2,829.29	...	-240.60	Total of 2 transactions

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IMMURON LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45254U101 / Symbol:							
07/20/20	16.000	237.76	07/20/20	223.81	...	13.95	Sale <sup>25</sup>
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
12/10/20	50.000	357.57	12/09/20	228.50	...	129.07	Sale <sup>25</sup>
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	300.000	572.98	06/09/20	624.00	...	-51.02	1 of 2 - Sale <sup>25</sup>
	100.000	190.99	06/09/20	203.99	...	-13.00	2 of 2 - Sale <sup>25</sup>
06/10/20	400.000	763.97	Various	827.99	...	-64.02	Total of 2 transactions
INVIVO THERAPEUTICS HOLDINGS C ORP COMMON STOCK / CUSIP: 46186M506 / Symbol:							
6 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	214.000	427.96	04/14/20	432.28	...	-4.32	1 of 6 - Sale <sup>25</sup>
	76.000	151.99	04/14/20	155.83	...	-3.84	2 of 6 - Sale <sup>25</sup>
	100.000	199.99	04/14/20	203.02	...	-3.03	3 of 6 - Sale <sup>25</sup>
	62.000	123.99	04/14/20	125.87	...	-1.88	4 of 6 - Sale <sup>25</sup>
	24.000	48.00	04/14/20	48.96	...	-0.96	5 of 6 - Sale <sup>25</sup>
	24.000	48.00	04/14/20	48.72	...	-0.72	6 of 6 - Sale <sup>25</sup>
04/14/20	500.000	999.93	Various	1,014.68	...	-14.75	Total of 6 transactions
8 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	100.000	199.98	04/14/20	201.00	0.63 W	-0.39	1 of 8 - Sale <sup>25</sup>
	76.000	151.99	04/14/20	152.76	0.77 W	0.00	2 of 8 - Sale <sup>25</sup>
	24.000	48.00	04/14/20	48.24	0.24 W	0.00	3 of 8 - Sale <sup>25</sup>
	76.000	151.99	04/14/20	153.53	1.54 W	0.00	4 of 8 - Sale <sup>25</sup>
	24.000	48.00	04/14/20	48.48	0.48 W	0.00	5 of 8 - Sale <sup>25</sup>
	76.000	151.99	04/14/20	154.30	2.31 W	0.00	6 of 8 - Sale <sup>25</sup>
	24.000	48.00	04/14/20	48.24	0.24 W	0.00	7 of 8 - Sale <sup>25</sup>
	100.000	199.98	04/14/20	201.00	1.02 W	0.00	8 of 8 - Sale <sup>25</sup>
04/14/20	500.000	999.93	Various	1,007.55	7.23 W	-0.39	Total of 8 transactions
	<b>Security total:</b>	<b>1,999.86</b>		<b>2,022.23</b>	<b>7.23 W</b>	<b>-15.14</b>	
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol:							
06/09/20	200.000	495.98	06/08/20	167.72	...	328.26	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	400.000	439.95	06/25/20	492.00	...	-52.05	1 of 2 - Sale <sup>25</sup>
	100.000	108.00	06/25/20	123.00	...	-15.00	2 of 2 - Sale <sup>25</sup>
06/26/20	500.000	547.95	Various	615.00	...	-67.05	Total of 2 transactions
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2,000.000	1,619.56	05/15/20	1,673.77	...	-54.21	1 of 2 - Sale <sup>25</sup>
	1,900.000	1,538.57	05/15/20	1,519.62	...	18.95	2 of 2 - Sale <sup>25</sup>
05/15/20	3,900.000	3,158.13	Various	3,193.39	...	-35.26	Total of 2 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
03/25/20	610.000	725.81	03/25/20	534.54	...	191.27	Sale <sup>25</sup>
03/27/20	230.000	459.97	03/26/20	425.50	...	34.47	Sale <sup>25</sup>
04/15/20	500.000	861.57	04/14/20	914.95	53.38 W	0.00	Sale <sup>25</sup>
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	100.000	172.33	04/27/20	178.68	6.35 W	0.00	1 of 2 - Sale <sup>25</sup>
	300.000	517.00	04/27/20	536.03	19.03 W	0.00	2 of 2 - Sale <sup>25</sup>
04/28/20	400.000	689.33	Various	714.71	25.38 W	0.00	Total of 2 transactions
5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	100.000	176.49	05/04/20	173.01	...	3.48	1 of 5 - Sale <sup>25</sup>
	100.000	176.49	05/04/20	173.00	...	3.49	2 of 5 - Sale <sup>25</sup>
	200.000	352.97	05/04/20	346.01	...	6.96	3 of 5 - Sale <sup>25</sup>
	200.000	352.97	05/04/20	333.32	...	19.65	4 of 5 - Sale <sup>25</sup>
	300.000	529.44	05/04/20	499.98	...	29.46	5 of 5 - Sale <sup>25</sup>
05/05/20	900.000	1,588.36	Various	1,525.32	...	63.04	Total of 5 transactions
05/05/20	100.000	176.48	05/04/20	177.33	0.85 W	0.00	Sale <sup>25</sup>
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	100.000	161.31	05/06/20	160.51	...	0.80	1 of 2 - Sale <sup>25</sup>
	300.000	483.94	05/06/20	478.98	...	4.96	2 of 2 - Sale <sup>25</sup>
05/08/20	400.000	645.25	Various	639.49	...	5.76	Total of 2 transactions
05/15/20	500.000	754.92	05/14/20	768.40	13.48 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	200.000	310.97	05/18/20	322.39	...	-11.42	1 of 3 - Sale <sup>25</sup>
	200.000	310.97	05/18/20	322.39	...	-11.42	2 of 3 - Sale <sup>25</sup>
	100.000	155.48	05/18/20	161.20	...	-5.72	3 of 3 - Sale <sup>25</sup>
05/19/20	500.000	777.42	Various	805.98	...	-28.56	Total of 3 transactions
	<b>Security total:</b>	<b>6,679.11</b>		<b>6,506.22</b>	<b>93.09 W</b>	<b>265.98</b>	
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol:							
9 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	8.000	8.08	05/08/20	8.04	...	0.04	1 of 9 - Sale <sup>25</sup>
	26.000	26.26	05/08/20	26.12	...	0.14	2 of 9 - Sale <sup>25</sup>
	62.000	62.61	05/08/20	62.31	...	0.30	3 of 9 - Sale <sup>25</sup>
	66.000	66.65	05/08/20	66.32	...	0.33	4 of 9 - Sale <sup>25</sup>
	74.000	74.73	05/08/20	74.37	...	0.36	5 of 9 - Sale <sup>25</sup>
	92.000	92.91	05/08/20	92.45	...	0.46	6 of 9 - Sale <sup>25</sup>
	116.000	117.14	05/08/20	114.78	...	2.36	7 of 9 - Sale <sup>25</sup>
	144.000	144.11	05/08/20	141.02	...	3.09	8 of 9 - Sale <sup>25</sup>
	556.000	561.46	05/08/20	544.49	...	16.97	9 of 9 - Sale <sup>25</sup>
05/08/20	1,144.000	1,153.95	Various	1,129.90	...	24.05	Total of 9 transactions
89 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	450.000	419.35	05/08/20	440.96	21.61 W	0.00	1 of 89 - Sale <sup>25</sup>
	26.000	24.23	05/08/20	25.48	1.25 W	0.00	2 of 89 - Sale <sup>25</sup>
	100.000	93.19	05/08/20	97.99	4.80 W	0.00	3 of 89 - Sale <sup>25</sup>
	200.000	186.38	05/08/20	195.98	9.60 W	0.00	4 of 89 - Sale <sup>25</sup>
	100.000	93.19	05/08/20	97.99	4.80 W	0.00	5 of 89 - Sale <sup>25</sup>
	100.000	93.19	05/08/20	97.98	4.79 W	0.00	6 of 89 - Sale <sup>25</sup>
	24.000	22.37	05/08/20	23.52	1.15 W	0.00	7 of 89 - Sale <sup>25</sup>
	76.000	70.82	05/08/20	75.09	4.27 W	0.00	8 of 89 - Sale <sup>25</sup>
	74.000	68.96	05/08/20	73.11	4.15 W	0.00	9 of 89 - Sale <sup>25</sup>
	200.000	186.38	05/08/20	197.61	11.23 W	0.00	10 of 89 - Sale <sup>25</sup>
	34.000	31.68	05/08/20	33.59	1.91 W	0.00	11 of 89 - Sale <sup>25</sup>
	66.000	61.51	05/08/20	65.21	3.70 W	0.00	12 of 89 - Sale <sup>25</sup>
	26.000	24.23	05/08/20	25.69	1.46 W	0.00	13 of 89 - Sale <sup>25</sup>
	100.000	93.19	05/08/20	98.80	5.61 W	0.00	14 of 89 - Sale <sup>25</sup>

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Account 962115754

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2020 1099-B\* OMB No. 1545-0715

(continued)

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
74.000		68.96	05/08/20	73.11	4.15 W	0.00	15 of 89 - Sale <sup>25</sup>
100.000		93.19	05/08/20	98.80	5.61 W	0.00	16 of 89 - Sale <sup>25</sup>
74.000		68.82	05/08/20	73.11	4.29 W	0.00	17 of 89 - Sale <sup>25</sup>
26.000		24.18	05/08/20	25.69	1.51 W	0.00	18 of 89 - Sale <sup>25</sup>
26.000		24.18	05/08/20	25.69	1.51 W	0.00	19 of 89 - Sale <sup>25</sup>
74.000		68.82	05/08/20	73.10	4.28 W	0.00	20 of 89 - Sale <sup>25</sup>
34.000		31.62	05/08/20	33.87	2.25 W	0.00	21 of 89 - Sale <sup>25</sup>
1.000		0.93	05/08/20	1.00	0.07 W	0.00	22 of 89 - Sale <sup>25</sup>
200.000		185.97	05/08/20	199.23	13.26 W	0.00	23 of 89 - Sale <sup>25</sup>
116.000		107.86	05/08/20	109.04	1.18 W	0.00	24 of 89 - Sale <sup>25</sup>
26.000		24.18	05/08/20	25.64	1.46 W	0.00	25 of 89 - Sale <sup>25</sup>
26.000		24.18	05/08/20	25.69	1.51 W	0.00	26 of 89 - Sale <sup>25</sup>
26.000		24.17	05/08/20	25.69	1.52 W	0.00	27 of 89 - Sale <sup>25</sup>
66.000		61.37	05/08/20	65.08	3.71 W	0.00	28 of 89 - Sale <sup>25</sup>
74.000		68.81	05/08/20	72.97	4.16 W	0.00	29 of 89 - Sale <sup>25</sup>
74.000		68.81	05/08/20	73.11	4.30 W	0.00	30 of 89 - Sale <sup>25</sup>
8.000		7.44	05/08/20	7.90	0.46 W	0.00	31 of 89 - Sale <sup>25</sup>
100.000		92.99	05/08/20	98.61	5.62 W	0.00	32 of 89 - Sale <sup>25</sup>
100.000		92.98	05/08/20	98.61	5.63 W	0.00	33 of 89 - Sale <sup>25</sup>
24.000		22.32	05/08/20	23.71	1.39 W	0.00	34 of 89 - Sale <sup>25</sup>
73.000		67.88	05/08/20	72.71	4.83 W	0.00	35 of 89 - Sale <sup>25</sup>
76.000		70.67	05/08/20	75.71	5.04 W	0.00	36 of 89 - Sale <sup>25</sup>
26.000		24.18	05/08/20	25.69	1.51 W	0.00	37 of 89 - Sale <sup>25</sup>
1.000		0.90	05/08/20	1.00	0.10 W	0.00	38 of 89 - Sale <sup>25</sup>
24.000		21.60	05/08/20	23.71	2.11 W	0.00	39 of 89 - Sale <sup>25</sup>
26.000		23.40	05/08/20	25.69	2.29 W	0.00	40 of 89 - Sale <sup>25</sup>
73.000		65.71	05/08/20	72.72	7.01 W	0.00	41 of 89 - Sale <sup>25</sup>
66.000		59.41	05/08/20	65.20	5.79 W	0.00	42 of 89 - Sale <sup>25</sup>
76.000		68.42	05/08/20	75.72	7.30 W	0.00	43 of 89 - Sale <sup>25</sup>
134.000		120.63	05/08/20	133.50	12.87 W	0.00	44 of 89 - Sale <sup>25</sup>
34.000		30.61	05/08/20	33.87	3.26 W	0.00	45 of 89 - Sale <sup>25</sup>
66.000		59.41	05/08/20	65.76	6.35 W	0.00	46 of 89 - Sale <sup>25</sup>
8.000		8.08	05/08/20	8.29	0.21 W	0.00	47 of 89 - Sale <sup>25</sup>
18.000		18.18	05/08/20	19.22	1.04 W	0.00	48 of 89 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	26.000	26.26	05/08/20	26.92	0.66 W	0.00	49 of 89 - Sale <sup>25</sup>
	26.000	26.26	05/08/20	26.97	0.71 W	0.00	50 of 89 - Sale <sup>25</sup>
	26.000	26.26	05/08/20	26.98	0.72 W	0.00	51 of 89 - Sale <sup>25</sup>
	66.000	66.65	05/08/20	68.34	1.69 W	0.00	52 of 89 - Sale <sup>25</sup>
	66.000	66.65	05/08/20	70.43	3.78 W	0.00	53 of 89 - Sale <sup>25</sup>
	74.000	74.73	05/08/20	76.63	1.90 W	0.00	54 of 89 - Sale <sup>25</sup>
	74.000	74.73	05/08/20	76.77	2.04 W	0.00	55 of 89 - Sale <sup>25</sup>
	100.000	100.99	05/08/20	103.55	2.56 W	0.00	56 of 89 - Sale <sup>25</sup>
	100.000	100.99	05/08/20	103.56	2.57 W	0.00	57 of 89 - Sale <sup>25</sup>
	1.000	1.01	05/08/20	1.08	0.07 W	0.00	58 of 89 - Sale <sup>25</sup>
	8.000	8.08	05/08/20	8.53	0.45 W	0.00	59 of 89 - Sale <sup>25</sup>
	24.000	24.24	05/08/20	25.61	1.37 W	0.00	60 of 89 - Sale <sup>25</sup>
	34.000	34.33	05/08/20	36.56	2.23 W	0.00	61 of 89 - Sale <sup>25</sup>
	66.000	66.65	05/08/20	70.98	4.33 W	0.00	62 of 89 - Sale <sup>25</sup>
	73.000	73.72	05/08/20	78.50	4.78 W	0.00	63 of 89 - Sale <sup>25</sup>
	76.000	76.75	05/08/20	81.73	4.98 W	0.00	64 of 89 - Sale <sup>25</sup>
	134.000	135.32	05/08/20	144.10	8.78 W	0.00	65 of 89 - Sale <sup>25</sup>
	8.000	8.01	05/08/20	8.04	0.03 W	0.00	66 of 89 - Sale <sup>25</sup>
	18.000	18.01	05/08/20	18.67	0.66 W	0.00	67 of 89 - Sale <sup>25</sup>
	26.000	26.02	05/08/20	26.17	0.15 W	0.00	68 of 89 - Sale <sup>25</sup>
	26.000	26.02	05/08/20	26.18	0.16 W	0.00	69 of 89 - Sale <sup>25</sup>
	66.000	66.05	05/08/20	68.42	2.37 W	0.00	70 of 89 - Sale <sup>25</sup>
	74.000	74.05	05/08/20	74.51	0.46 W	0.00	71 of 89 - Sale <sup>25</sup>
	38.000	38.03	05/08/20	38.19	0.16 W	0.00	72 of 89 - Sale <sup>25</sup>
	8.000	8.01	05/08/20	8.35	0.34 W	0.00	73 of 89 - Sale <sup>25</sup>
	24.000	24.02	05/08/20	25.08	1.06 W	0.00	74 of 89 - Sale <sup>25</sup>
	68.000	68.04	05/08/20	71.64	3.60 W	0.00	75 of 89 - Sale <sup>25</sup>
	1.000	0.95	05/08/20	1.06	0.11 W	0.00	76 of 89 - Sale <sup>25</sup>
	8.000	7.60	05/08/20	8.42	0.82 W	0.00	77 of 89 - Sale <sup>25</sup>
	18.000	17.10	05/08/20	18.96	1.86 W	0.00	78 of 89 - Sale <sup>25</sup>
	66.000	62.71	05/08/20	69.53	6.82 W	0.00	79 of 89 - Sale <sup>25</sup>
	73.000	69.36	05/08/20	76.90	7.54 W	0.00	80 of 89 - Sale <sup>25</sup>
	134.000	127.33	05/08/20	141.16	13.83 W	0.00	81 of 89 - Sale <sup>25</sup>
	16.000	15.20	05/08/20	16.86	1.66 W	0.00	82 of 89 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	20.000	19.00	05/08/20	19.88	0.88 W	0.00	83 of 89 - Sale <sup>25</sup>
	26.000	24.71	05/08/20	25.83	1.12 W	0.00	84 of 89 - Sale <sup>25</sup>
	38.000	36.11	05/08/20	37.70	1.59 W	0.00	85 of 89 - Sale <sup>25</sup>
	8.000	7.60	05/08/20	7.93	0.33 W	0.00	86 of 89 - Sale <sup>25</sup>
	12.000	11.40	05/08/20	12.29	0.89 W	0.00	87 of 89 - Sale <sup>25</sup>
	26.000	24.71	05/08/20	25.84	1.13 W	0.00	88 of 89 - Sale <sup>25</sup>
	54.000	51.31	05/08/20	53.69	2.38 W	0.00	89 of 89 - Sale <sup>25</sup>
05/08/20	5,356.000	5,084.50	Various	5,385.98	301.48 W	0.00	Total of 89 transactions
	8 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	8.000	6.20	05/08/20	8.02	1.82 W	0.00	1 of 8 - Sale <sup>25</sup>
	18.000	13.95	05/08/20	17.94	3.99 W	0.00	2 of 8 - Sale <sup>25</sup>
	24.000	18.60	05/08/20	24.10	5.50 W	0.00	3 of 8 - Sale <sup>25</sup>
	54.000	41.84	05/08/20	53.78	11.94 W	0.00	4 of 8 - Sale <sup>25</sup>
	25.000	19.36	05/08/20	25.32	5.96 W	0.00	5 of 8 - Sale <sup>25</sup>
	8.000	6.20	05/08/20	8.50	2.30 W	0.00	6 of 8 - Sale <sup>25</sup>
	20.000	15.50	05/08/20	21.27	5.77 W	0.00	7 of 8 - Sale <sup>25</sup>
	43.000	33.32	05/08/20	43.56	10.24 W	0.00	8 of 8 - Sale <sup>25</sup>
05/11/20	200.000	154.97	Various	202.49	47.52 W	0.00	Total of 8 transactions
	31 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	28.000	19.64	05/11/20	27.05	7.41 W	0.00	1 of 31 - Sale <sup>25</sup>
	1.000	0.70	05/11/20	0.85	0.15 W	0.00	2 of 31 - Sale <sup>25</sup>
	16.000	11.22	05/11/20	13.58	2.36 W	0.00	3 of 31 - Sale <sup>25</sup>
	18.000	12.63	05/11/20	15.27	2.64 W	0.00	4 of 31 - Sale <sup>25</sup>
	20.000	14.03	05/11/20	15.78	1.75 W	0.00	5 of 31 - Sale <sup>25</sup>
	26.000	18.24	05/11/20	20.49	2.25 W	0.00	6 of 31 - Sale <sup>25</sup>
	38.000	26.65	05/11/20	29.90	3.25 W	0.00	7 of 31 - Sale <sup>25</sup>
	53.000	37.18	05/11/20	44.96	7.78 W	0.00	8 of 31 - Sale <sup>25</sup>
	66.000	46.30	05/11/20	55.99	9.69 W	0.00	9 of 31 - Sale <sup>25</sup>
	134.000	94.00	05/11/20	113.66	19.66 W	0.00	10 of 31 - Sale <sup>25</sup>
	8.000	5.61	05/11/20	6.29	0.68 W	0.00	11 of 31 - Sale <sup>25</sup>
	12.000	8.42	05/11/20	9.83	1.41 W	0.00	12 of 31 - Sale <sup>25</sup>
	26.000	18.24	05/11/20	20.50	2.26 W	0.00	13 of 31 - Sale <sup>25</sup>
	54.000	37.88	05/11/20	42.61	4.73 W	0.00	14 of 31 - Sale <sup>25</sup>
	28.000	19.04	05/11/20	28.33	9.29 W	0.00	15 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	8.000	5.44	05/11/20	7.80	2.36 W	0.00	16 of 31 - Sale <sup>25</sup>
	8.000	5.44	05/11/20	8.28	2.84 W	0.00	17 of 31 - Sale <sup>25</sup>
	18.000	12.24	05/11/20	17.44	5.20 W	0.00	18 of 31 - Sale <sup>25</sup>
	20.000	13.60	05/11/20	20.71	7.11 W	0.00	19 of 31 - Sale <sup>25</sup>
	24.000	16.32	05/11/20	23.43	7.11 W	0.00	20 of 31 - Sale <sup>25</sup>
	25.000	16.99	05/11/20	24.63	7.64 W	0.00	21 of 31 - Sale <sup>25</sup>
	26.000	17.68	05/11/20	25.17	7.49 W	0.00	22 of 31 - Sale <sup>25</sup>
	43.000	29.23	05/11/20	42.36	13.13 W	0.00	23 of 31 - Sale <sup>25</sup>
	1.000	0.68	05/11/20	0.90	0.22 W	0.00	24 of 31 - Sale <sup>25</sup>
	53.000	36.03	05/11/20	47.37	11.34 W	0.00	25 of 31 - Sale <sup>25</sup>
	46.000	31.27	05/11/20	41.11	9.84 W	0.00	26 of 31 - Sale <sup>25</sup>
	12.000	8.16	05/11/20	9.99	1.83 W	0.00	27 of 31 - Sale <sup>25</sup>
	16.000	10.88	05/11/20	14.31	3.43 W	0.00	28 of 31 - Sale <sup>25</sup>
	18.000	12.24	05/11/20	16.09	3.85 W	0.00	29 of 31 - Sale <sup>25</sup>
	66.000	44.87	05/11/20	58.99	14.12 W	0.00	30 of 31 - Sale <sup>25</sup>
	88.000	59.83	05/11/20	78.64	18.81 W	0.00	31 of 31 - Sale <sup>25</sup>
05/12/20	1,000.000	690.68	Various	882.31	191.63 W	0.00	Total of 31 transactions
	12 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	28.000	18.20	05/12/20	28.32	10.12 W	0.00	1 of 12 - Sale <sup>25</sup>
	8.000	5.20	05/12/20	7.80	2.60 W	0.00	2 of 12 - Sale <sup>25</sup>
	8.000	5.20	05/12/20	8.28	3.08 W	0.00	3 of 12 - Sale <sup>25</sup>
	18.000	11.70	05/12/20	17.44	5.74 W	0.00	4 of 12 - Sale <sup>25</sup>
	20.000	13.00	05/12/20	20.71	7.71 W	0.00	5 of 12 - Sale <sup>25</sup>
	24.000	15.60	05/12/20	23.43	7.83 W	0.00	6 of 12 - Sale <sup>25</sup>
	25.000	16.25	05/12/20	24.63	8.38 W	0.00	7 of 12 - Sale <sup>25</sup>
	26.000	16.90	05/12/20	25.16	8.26 W	0.00	8 of 12 - Sale <sup>25</sup>
	43.000	27.95	05/12/20	42.36	14.41 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	0.65	05/12/20	0.90	0.25 W	0.00	10 of 12 - Sale <sup>25</sup>
	46.000	29.90	05/12/20	41.11	11.21 W	0.00	11 of 12 - Sale <sup>25</sup>
	53.000	34.44	05/12/20	47.37	12.93 W	0.00	12 of 12 - Sale <sup>25</sup>
05/13/20	300.000	194.99	Various	287.51	92.52 W	0.00	Total of 12 transactions
	9 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	28.000	25.26	05/12/20	25.02	...	0.24	1 of 9 - Sale <sup>25</sup>
	6.000	5.42	05/12/20	4.79	...	0.63	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	6.000	5.41	05/12/20	4.78	...	0.63	3 of 9 - Sale <sup>25</sup>
	8.000	7.22	05/12/20	6.12	...	1.10	4 of 9 - Sale <sup>25</sup>
	20.000	18.05	05/12/20	15.35	...	2.70	5 of 9 - Sale <sup>25</sup>
	26.000	23.46	05/12/20	19.93	...	3.53	6 of 9 - Sale <sup>25</sup>
	26.000	23.46	05/12/20	19.93	...	3.53	7 of 9 - Sale <sup>25</sup>
	26.000	23.46	05/12/20	19.89	...	3.57	8 of 9 - Sale <sup>25</sup>
	54.000	48.72	05/12/20	41.44	...	7.28	9 of 9 - Sale <sup>25</sup>
05/14/20	200.000	180.46	Various	157.25	...	23.21	Total of 9 transactions
	7 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	40.000	31.20	05/14/20	42.72	11.52 W	0.00	1 of 7 - Sale <sup>25</sup>
	60.000	46.80	05/14/20	64.06	17.26 W	0.00	2 of 7 - Sale <sup>25</sup>
	28.000	21.84	05/14/20	34.03	12.19 W	0.00	3 of 7 - Sale <sup>25</sup>
	12.000	9.36	05/14/20	12.08	2.72 W	0.00	4 of 7 - Sale <sup>25</sup>
	16.000	12.48	05/14/20	17.10	4.62 W	0.00	5 of 7 - Sale <sup>25</sup>
	18.000	14.04	05/14/20	19.22	5.18 W	0.00	6 of 7 - Sale <sup>25</sup>
	26.000	20.28	05/14/20	27.76	7.48 W	0.00	7 of 7 - Sale <sup>25</sup>
05/15/20	200.000	156.00	Various	216.97	60.97 W	0.00	Total of 7 transactions
06/03/20	150.000	129.96	06/03/20	128.99	...	0.97	Sale <sup>25</sup>
	20 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	28.000	24.26	06/03/20	38.22	13.96 W	0.00	1 of 20 - Sale <sup>25</sup>
	8.000	6.93	06/03/20	10.04	3.11 W	0.00	2 of 20 - Sale <sup>25</sup>
	8.000	6.93	06/03/20	10.52	3.59 W	0.00	3 of 20 - Sale <sup>25</sup>
	18.000	15.60	06/03/20	22.47	6.87 W	0.00	4 of 20 - Sale <sup>25</sup>
	20.000	17.33	06/03/20	26.30	8.97 W	0.00	5 of 20 - Sale <sup>25</sup>
	24.000	20.80	06/03/20	30.14	9.34 W	0.00	6 of 20 - Sale <sup>25</sup>
	25.000	21.66	06/03/20	31.62	9.96 W	0.00	7 of 20 - Sale <sup>25</sup>
	26.000	22.53	06/03/20	32.43	9.90 W	0.00	8 of 20 - Sale <sup>25</sup>
	43.000	37.26	06/03/20	54.38	17.12 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	0.87	06/03/20	1.18	0.31 W	0.00	10 of 20 - Sale <sup>25</sup>
	12.000	10.40	06/03/20	13.88	3.48 W	0.00	11 of 20 - Sale <sup>25</sup>
	16.000	13.86	06/03/20	19.49	5.63 W	0.00	12 of 20 - Sale <sup>25</sup>
	18.000	15.60	06/03/20	21.91	6.31 W	0.00	13 of 20 - Sale <sup>25</sup>
	26.000	22.53	06/03/20	31.65	9.12 W	0.00	14 of 20 - Sale <sup>25</sup>
	40.000	34.66	06/03/20	48.70	14.04 W	0.00	15 of 20 - Sale <sup>25</sup>

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	46.000	39.86	06/03/20	53.97	14.11 W	0.00	16 of 20 - Sale <sup>25</sup>
	53.000	45.92	06/03/20	62.20	16.28 W	0.00	17 of 20 - Sale <sup>25</sup>
	60.000	51.99	06/03/20	73.04	21.05 W	0.00	18 of 20 - Sale <sup>25</sup>
	528.000	457.49	06/03/20	490.83	33.34 W	0.00	19 of 20 - Sale <sup>25</sup>
	150.000	129.97	06/03/20	131.24	1.27 W	0.00	20 of 20 - Sale <sup>25</sup>
06/03/20	1,150.000	996.45	Various	1,204.21	207.76 W	0.00	Total of 20 transactions
06/05/20	328.000	337.95	06/04/20	325.24	...	12.71	Sale <sup>25</sup>
	18 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	28.000	28.85	06/04/20	39.96	11.11 W	0.00	1 of 18 - Sale <sup>25</sup>
	8.000	8.24	06/04/20	10.54	2.30 W	0.00	2 of 18 - Sale <sup>25</sup>
	8.000	8.24	06/04/20	11.02	2.78 W	0.00	3 of 18 - Sale <sup>25</sup>
	18.000	18.55	06/04/20	23.58	5.03 W	0.00	4 of 18 - Sale <sup>25</sup>
	20.000	20.61	06/04/20	27.54	6.93 W	0.00	5 of 18 - Sale <sup>25</sup>
	24.000	24.73	06/04/20	31.62	6.89 W	0.00	6 of 18 - Sale <sup>25</sup>
	25.000	25.76	06/04/20	33.17	7.41 W	0.00	7 of 18 - Sale <sup>25</sup>
	26.000	26.79	06/04/20	34.04	7.25 W	0.00	8 of 18 - Sale <sup>25</sup>
	43.000	44.30	06/04/20	57.04	12.74 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	1.03	06/04/20	1.24	0.21 W	0.00	10 of 18 - Sale <sup>25</sup>
	12.000	12.36	06/04/20	14.62	2.26 W	0.00	11 of 18 - Sale <sup>25</sup>
	16.000	16.49	06/04/20	20.48	3.99 W	0.00	12 of 18 - Sale <sup>25</sup>
	18.000	18.55	06/04/20	23.02	4.47 W	0.00	13 of 18 - Sale <sup>25</sup>
	26.000	26.79	06/04/20	33.26	6.47 W	0.00	14 of 18 - Sale <sup>25</sup>
	40.000	41.21	06/04/20	51.18	9.97 W	0.00	15 of 18 - Sale <sup>25</sup>
	46.000	47.40	06/04/20	56.82	9.42 W	0.00	16 of 18 - Sale <sup>25</sup>
	53.000	54.61	06/04/20	65.49	10.88 W	0.00	17 of 18 - Sale <sup>25</sup>
	60.000	61.82	06/04/20	76.76	14.94 W	0.00	18 of 18 - Sale <sup>25</sup>
06/05/20	472.000	486.33	Various	611.38	125.05 W	0.00	Total of 18 transactions
	32 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	28.000	23.52	06/12/20	36.97	13.45 W	0.00	1 of 32 - Sale <sup>25</sup>
	8.000	6.72	06/12/20	9.69	2.97 W	0.00	2 of 32 - Sale <sup>25</sup>
	18.000	15.12	06/12/20	21.66	6.54 W	0.00	3 of 32 - Sale <sup>25</sup>
	21.000	17.64	06/12/20	25.61	7.97 W	0.00	4 of 32 - Sale <sup>25</sup>
	24.000	20.16	06/12/20	29.06	8.90 W	0.00	5 of 32 - Sale <sup>25</sup>
	25.000	21.00	06/12/20	30.50	9.50 W	0.00	6 of 32 - Sale <sup>25</sup>

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	26.000	21.84	06/12/20	31.27	9.43 W	0.00	7 of 32 - Sale <sup>25</sup>
	150.000	125.97	06/12/20	139.83	13.86 W	0.00	8 of 32 - Sale <sup>25</sup>
	200.000	167.96	06/12/20	197.37	29.41 W	0.00	9 of 32 - Sale <sup>25</sup>
	8.000	6.72	06/12/20	10.30	3.58 W	0.00	10 of 32 - Sale <sup>25</sup>
	20.000	16.79	06/12/20	25.73	8.94 W	0.00	11 of 32 - Sale <sup>25</sup>
	22.000	18.48	06/12/20	27.20	8.72 W	0.00	12 of 32 - Sale <sup>25</sup>
	50.000	41.99	06/12/20	57.25	15.26 W	0.00	13 of 32 - Sale <sup>25</sup>
	1.000	0.88	06/12/20	1.15	0.27 W	0.00	14 of 32 - Sale <sup>25</sup>
	46.000	40.63	06/12/20	52.66	12.03 W	0.00	15 of 32 - Sale <sup>25</sup>
	50.000	44.17	06/12/20	59.44	15.27 W	0.00	16 of 32 - Sale <sup>25</sup>
	3.000	2.65	06/12/20	3.44	0.79 W	0.00	17 of 32 - Sale <sup>25</sup>
	28.000	24.73	06/12/20	40.05	15.32 W	0.00	18 of 32 - Sale <sup>25</sup>
	8.000	7.07	06/12/20	10.57	3.50 W	0.00	19 of 32 - Sale <sup>25</sup>
	18.000	15.90	06/12/20	23.64	7.74 W	0.00	20 of 32 - Sale <sup>25</sup>
	21.000	18.55	06/12/20	27.92	9.37 W	0.00	21 of 32 - Sale <sup>25</sup>
	24.000	21.20	06/12/20	31.70	10.50 W	0.00	22 of 32 - Sale <sup>25</sup>
	25.000	22.08	06/12/20	33.25	11.17 W	0.00	23 of 32 - Sale <sup>25</sup>
	26.000	22.97	06/12/20	34.13	11.16 W	0.00	24 of 32 - Sale <sup>25</sup>
	10.000	8.83	06/12/20	11.99	3.16 W	0.00	25 of 32 - Sale <sup>25</sup>
	12.000	10.60	06/12/20	13.66	3.06 W	0.00	26 of 32 - Sale <sup>25</sup>
	16.000	14.13	06/12/20	19.19	5.06 W	0.00	27 of 32 - Sale <sup>25</sup>
	18.000	15.90	06/12/20	21.57	5.67 W	0.00	28 of 32 - Sale <sup>25</sup>
	26.000	22.97	06/12/20	31.17	8.20 W	0.00	29 of 32 - Sale <sup>25</sup>
	40.000	35.34	06/12/20	47.97	12.63 W	0.00	30 of 32 - Sale <sup>25</sup>
	28.000	24.73	06/12/20	29.18	4.45 W	0.00	31 of 32 - Sale <sup>25</sup>
	200.000	176.68	06/12/20	219.37	42.69 W	0.00	32 of 32 - Sale <sup>25</sup>
06/15/20	1,200.000	1,033.92	Various	1,354.49	320.57 W	0.00	Total of 32 transactions
06/22/20	100.000	116.34	06/18/20	107.84	...	8.50	Sale <sup>25</sup>
28 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	100.000	94.00	06/17/20	99.98	5.98 W	0.00	1 of 28 - Sale <sup>25</sup>
	8.000	7.52	06/17/20	10.84	3.32 W	0.00	2 of 28 - Sale <sup>25</sup>
	20.000	18.80	06/17/20	27.09	8.29 W	0.00	3 of 28 - Sale <sup>25</sup>
	22.000	20.68	06/17/20	28.68	8.00 W	0.00	4 of 28 - Sale <sup>25</sup>
	28.000	26.32	06/17/20	33.96	7.64 W	0.00	5 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	22.000	20.68	06/17/20	22.00	1.32 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	0.94	06/17/20	1.18	0.24 W	0.00	7 of 28 - Sale <sup>25</sup>
	3.000	2.82	06/17/20	3.52	0.70 W	0.00	8 of 28 - Sale <sup>25</sup>
	22.000	20.68	06/17/20	26.73	6.05 W	0.00	9 of 28 - Sale <sup>25</sup>
	28.000	26.32	06/17/20	34.03	7.71 W	0.00	10 of 28 - Sale <sup>25</sup>
	46.000	43.24	06/17/20	53.89	10.65 W	0.00	11 of 28 - Sale <sup>25</sup>
	28.000	26.32	06/17/20	40.52	14.20 W	0.00	12 of 28 - Sale <sup>25</sup>
	6.000	5.64	06/17/20	8.03	2.39 W	0.00	13 of 28 - Sale <sup>25</sup>
	18.000	16.92	06/17/20	23.94	7.02 W	0.00	14 of 28 - Sale <sup>25</sup>
	26.000	24.44	06/17/20	34.56	10.12 W	0.00	15 of 28 - Sale <sup>25</sup>
	22.000	20.67	06/17/20	26.52	5.85 W	0.00	16 of 28 - Sale <sup>25</sup>
	2.000	2.14	06/18/20	2.60	0.46 W	0.00	17 of 28 - Sale <sup>25</sup>
	21.000	22.47	06/18/20	27.53	5.06 W	0.00	18 of 28 - Sale <sup>25</sup>
	24.000	25.68	06/18/20	31.26	5.58 W	0.00	19 of 28 - Sale <sup>25</sup>
	25.000	26.75	06/18/20	32.79	6.04 W	0.00	20 of 28 - Sale <sup>25</sup>
	10.000	10.70	06/18/20	11.81	1.11 W	0.00	21 of 28 - Sale <sup>25</sup>
	18.000	19.26	06/18/20	21.25	1.99 W	0.00	22 of 28 - Sale <sup>25</sup>
	12.000	12.84	06/18/20	13.43	0.59 W	0.00	23 of 28 - Sale <sup>25</sup>
	16.000	17.12	06/18/20	18.90	1.78 W	0.00	24 of 28 - Sale <sup>25</sup>
	18.000	19.26	06/18/20	21.24	1.98 W	0.00	25 of 28 - Sale <sup>25</sup>
	22.000	23.54	06/18/20	25.98	2.44 W	0.00	26 of 28 - Sale <sup>25</sup>
	26.000	27.82	06/18/20	30.68	2.86 W	0.00	27 of 28 - Sale <sup>25</sup>
	6.000	6.42	06/18/20	6.47	0.05 W	0.00	28 of 28 - Sale <sup>25</sup>
06/22/20	600.000	589.99	Various	719.41	129.42 W	0.00	Total of 28 transactions
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	6.000	7.02	06/18/20	6.14	...	0.88	1 of 2 - Sale <sup>25</sup>
	94.000	109.97	06/18/20	101.36	...	8.61	2 of 2 - Sale <sup>25</sup>
06/23/20	100.000	116.99	Various	107.50	...	9.49	Total of 2 transactions
	31 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	46.000	40.47	06/26/20	52.42	...	-11.95	1 of 31 - Sale <sup>25</sup>
	20.000	17.60	06/26/20	26.45	...	-8.85	2 of 31 - Sale <sup>25</sup>
	22.000	19.36	06/26/20	27.98	...	-8.62	3 of 31 - Sale <sup>25</sup>
	28.000	24.64	06/26/20	33.13	...	-8.49	4 of 31 - Sale <sup>25</sup>
	28.000	24.63	06/26/20	33.06	...	-8.43	5 of 31 - Sale <sup>25</sup>

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Account 962115754

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
	94.000	82.70	06/26/20	90.97	...	-8.27	6 of 31 - Sale <sup>25</sup>
	22.000	19.36	06/26/20	26.03	...	-6.67	7 of 31 - Sale <sup>25</sup>
	8.000	7.04	06/26/20	10.58	...	-3.54	8 of 31 - Sale <sup>25</sup>
	22.000	20.89	06/26/20	23.48	...	-2.59	9 of 31 - Sale <sup>25</sup>
	3.000	2.64	06/26/20	3.42	...	-0.78	10 of 31 - Sale <sup>25</sup>
	22.000	20.89	06/26/20	21.30	...	-0.41	11 of 31 - Sale <sup>25</sup>
	1.000	0.88	06/26/20	1.15	...	-0.27	12 of 31 - Sale <sup>25</sup>
	6.000	5.70	06/26/20	5.81	...	-0.11	13 of 31 - Sale <sup>25</sup>
	28.000	26.59	06/29/20	39.34	...	-12.75	14 of 31 - Sale <sup>25</sup>
	26.000	24.69	06/29/20	33.47	...	-8.78	15 of 31 - Sale <sup>25</sup>
	18.000	17.09	06/29/20	23.18	...	-6.09	16 of 31 - Sale <sup>25</sup>
	22.000	20.89	06/29/20	24.88	...	-3.99	17 of 31 - Sale <sup>25</sup>
	25.000	23.74	06/29/20	27.66	...	-3.92	18 of 31 - Sale <sup>25</sup>
	24.000	22.79	06/29/20	26.34	...	-3.55	19 of 31 - Sale <sup>25</sup>
	21.000	19.94	06/29/20	23.23	...	-3.29	20 of 31 - Sale <sup>25</sup>
	6.000	5.70	06/29/20	7.78	...	-2.08	21 of 31 - Sale <sup>25</sup>
	26.000	24.69	06/29/20	25.48	...	-0.79	22 of 31 - Sale <sup>25</sup>
	22.000	20.89	06/29/20	21.58	...	-0.69	23 of 31 - Sale <sup>25</sup>
	18.000	17.09	06/29/20	17.65	...	-0.56	24 of 31 - Sale <sup>25</sup>
	18.000	17.09	06/29/20	17.64	...	-0.55	25 of 31 - Sale <sup>25</sup>
	16.000	15.19	06/29/20	15.70	...	-0.51	26 of 31 - Sale <sup>25</sup>
	2.000	1.90	06/29/20	2.19	...	-0.29	27 of 31 - Sale <sup>25</sup>
	6.000	5.70	06/29/20	5.86	...	-0.16	28 of 31 - Sale <sup>25</sup>
	4.000	3.80	06/29/20	3.92	...	-0.12	29 of 31 - Sale <sup>25</sup>
	12.000	11.40	06/29/20	11.03	...	0.37	30 of 31 - Sale <sup>25</sup>
	6.000	5.70	06/29/20	5.27	...	0.43	31 of 31 - Sale <sup>25</sup>
06/30/20	622.000	571.68	Various	687.98	...	-116.30	Total of 31 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	28.000	24.64	06/26/20	39.62	14.98 W	0.00	1 of 4 - Sale <sup>25</sup>
	6.000	5.28	06/26/20	7.84	2.56 W	0.00	2 of 4 - Sale <sup>25</sup>
	18.000	15.84	06/26/20	23.36	7.52 W	0.00	3 of 4 - Sale <sup>25</sup>
	26.000	22.88	06/26/20	33.73	10.85 W	0.00	4 of 4 - Sale <sup>25</sup>
06/30/20	78.000	68.64	Various	104.55	35.91 W	0.00	Total of 4 transactions
11/27/20	200.000	842.96	11/25/20	1,061.76	218.80 W	0.00	Sale <sup>25</sup>

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MARATHON PATENT GROUP, INC. CO MMON STOCK / CUSIP: 56585W401 / Symbol: (cont'd)							
12/01/20	170.000	1,026.82	11/30/20	1,129.06	42.10 W	-60.14	Sale <sup>25</sup>
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	70.000	361.98	12/04/20	441.00	...	-79.02	1 of 2 - Sale <sup>25</sup>
	30.000	155.13	12/04/20	203.78	...	-48.65	2 of 2 - Sale <sup>25</sup>
12/09/20	100.000	517.11	Various	644.78	...	-127.67	Total of 2 transactions
	<b>Security total:</b>	<b>14,450.69</b>		<b>16,449.60</b>	<b>1,773.73 W</b>	<b>-225.18</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
05/11/20	200.000	156.06	05/08/20	165.80	9.74 W	0.00	Sale <sup>25</sup>
05/12/20	250.000	272.50	05/11/20	267.50	...	5.00	Sale <sup>25</sup>
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	200.000	198.00	05/11/20	223.74	25.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	49.50	05/11/20	53.50	4.00 W	0.00	2 of 2 - Sale <sup>25</sup>
05/12/20	250.000	247.50	Various	277.24	29.74 W	0.00	Total of 2 transactions
	4 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1,000.000	999.85	05/19/20	1,019.90	...	-20.05	1 of 4 - Sale <sup>25</sup>
	200.000	199.97	05/19/20	205.42	...	-5.45	2 of 4 - Sale <sup>25</sup>
	50.000	49.99	05/19/20	53.49	...	-3.50	3 of 4 - Sale <sup>25</sup>
	150.000	149.98	05/19/20	148.47	...	1.51	4 of 4 - Sale <sup>25</sup>
05/20/20	1,400.000	1,399.79	Various	1,427.28	...	-27.49	Total of 4 transactions
	<b>Security total:</b>	<b>2,075.85</b>		<b>2,137.82</b>	<b>39.48 W</b>	<b>-22.49</b>	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
	9 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	51.000	96.89	03/25/20	127.00	...	-30.11	1 of 9 - Sale <sup>25</sup>
	50.000	95.00	03/25/20	124.51	...	-29.51	2 of 9 - Sale <sup>25</sup>
	49.000	93.09	03/25/20	122.01	...	-28.92	3 of 9 - Sale <sup>25</sup>
	35.000	66.49	03/25/20	87.16	...	-20.67	4 of 9 - Sale <sup>25</sup>
	29.000	55.10	03/25/20	71.92	...	-16.82	5 of 9 - Sale <sup>25</sup>
	17.000	32.30	03/25/20	42.16	...	-9.86	6 of 9 - Sale <sup>25</sup>
	15.000	28.50	03/25/20	37.35	...	-8.85	7 of 9 - Sale <sup>25</sup>
	3.000	5.70	03/25/20	7.92	...	-2.22	8 of 9 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol: (cont'd)							
	1.000	1.90	03/25/20	2.49	...	-0.59	9 of 9 - Sale <sup>25</sup>
03/25/20	250.000	474.97	Various	622.52	...	-147.55	Total of 9 transactions
	4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	3.000	6.00	03/24/20	6.15	0.15 W	0.00	1 of 4 - Sale <sup>25</sup>
	96.000	191.99	03/25/20	215.04	23.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	151.000	301.98	03/25/20	338.24	36.26 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	5.70	03/25/20	6.87	1.17 W	0.00	4 of 4 - Sale <sup>25</sup>
03/25/20	253.000	505.67	Various	566.30	60.63 W	0.00	Total of 4 transactions
05/12/20	150.000	517.46	05/11/20	529.50	...	-12.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,498.10</b>		<b>1,718.32</b>	<b>60.63 W</b>	<b>-159.59</b>	
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
	3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	50.000	195.99	06/04/20	211.81	...	-15.82	1 of 3 - Sale <sup>25</sup>
	100.000	391.98	06/05/20	434.74	...	-42.76	2 of 3 - Sale <sup>25</sup>
	50.000	195.99	06/05/20	206.00	...	-10.01	3 of 3 - Sale <sup>25</sup>
06/08/20	200.000	783.96	Various	852.55	...	-68.59	Total of 3 transactions
MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
05/27/20	300.000	614.94	05/26/20	651.00	...	-36.06	Sale <sup>25</sup>
MY SIZE, INC. COMMON STOCK / CUSIP: 62844N208 / Symbol:							
	4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	300.000	382.42	06/09/20	494.99	...	-112.57	1 of 4 - Sale <sup>25</sup>
	250.000	337.47	06/09/20	412.49	...	-75.02	2 of 4 - Sale <sup>25</sup>
	200.000	254.95	06/09/20	327.99	...	-73.04	3 of 4 - Sale <sup>25</sup>
	250.000	374.97	06/09/20	412.49	...	-37.52	4 of 4 - Sale <sup>25</sup>
06/09/20	1,000.000	1,349.81	Various	1,647.96	...	-298.15	Total of 4 transactions
06/09/20	1,000.000	1,399.94	06/09/20	1,639.90	239.96 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,749.75</b>		<b>3,287.86</b>	<b>239.96 W</b>	<b>-298.15</b>	

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(continued)

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	52.000	146.63	06/02/20	146.38	...	0.25	1 of 4 - Sale <sup>25</sup>
	98.000	276.34	06/02/20	275.87	...	0.47	2 of 4 - Sale <sup>25</sup>
	50.000	140.99	06/02/20	140.00	...	0.99	3 of 4 - Sale <sup>25</sup>
	80.000	225.59	06/02/20	213.60	...	11.99	4 of 4 - Sale <sup>25</sup>
06/03/20	280.000	789.55	Various	775.85	...	13.70	Total of 4 transactions
NANOVI BRONIX, INC. COMMON STOC K / CUSIP: 63008J108 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	95.000	142.01	12/02/20	150.10	...	-8.09	1 of 3 - Sale <sup>25</sup>
	95.000	142.02	12/02/20	150.10	...	-8.08	2 of 3 - Sale <sup>25</sup>
	5.000	7.47	12/02/20	8.35	...	-0.88	3 of 3 - Sale <sup>25</sup>
12/02/20	195.000	291.50	Various	308.55	...	-17.05	Total of 3 transactions
12/02/20	5.000	7.45	12/02/20	7.90	0.45 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>298.95</b>		<b>316.45</b>	<b>0.45 W</b>	<b>-17.05</b>	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
03/27/20	300.000	602.94	03/26/20	560.97	...	41.97	Sale <sup>25</sup>
13 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	5.000	9.90	04/08/20	9.45	...	0.45	1 of 13 - Sale <sup>25</sup>
	15.000	29.70	04/08/20	28.35	...	1.35	2 of 13 - Sale <sup>25</sup>
	15.000	29.70	04/08/20	28.35	...	1.35	3 of 13 - Sale <sup>25</sup>
	40.000	79.19	04/08/20	75.60	...	3.59	4 of 13 - Sale <sup>25</sup>
	40.000	79.19	04/08/20	75.60	...	3.59	5 of 13 - Sale <sup>25</sup>
	40.000	79.20	04/08/20	75.60	...	3.60	6 of 13 - Sale <sup>25</sup>
	60.000	118.79	04/08/20	113.40	...	5.39	7 of 13 - Sale <sup>25</sup>
	85.000	168.28	04/08/20	160.65	...	7.63	8 of 13 - Sale <sup>25</sup>
	85.000	168.28	04/08/20	160.65	...	7.63	9 of 13 - Sale <sup>25</sup>
	100.000	197.98	04/08/20	189.00	...	8.98	10 of 13 - Sale <sup>25</sup>
	100.000	197.98	04/08/20	189.00	...	8.98	11 of 13 - Sale <sup>25</sup>
	200.000	395.96	04/08/20	378.00	...	17.96	12 of 13 - Sale <sup>25</sup>
	215.000	425.66	04/08/20	406.35	...	19.31	13 of 13 - Sale <sup>25</sup>
04/08/20	1,000.000	1,979.81	Various	1,890.00	...	89.81	Total of 13 transactions
	<b>Security total:</b>	<b>2,582.75</b>		<b>2,450.97</b>	...	<b>131.78</b>	

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVBAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	12.000	10.68	06/09/20	11.64	0.96 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	88.80	06/09/20	97.00	8.20 W	0.00	2 of 4 - Sale <sup>25</sup>
	90.000	79.91	06/09/20	87.30	7.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	148.000	131.40	06/09/20	143.56	12.16 W	0.00	4 of 4 - Sale <sup>25</sup>
06/10/20	350.000	310.79	Various	339.50	28.71 W	0.00	Total of 4 transactions
9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	12.000	12.60	06/25/20	13.80	1.20 W	0.00	1 of 9 - Sale <sup>25</sup>
	90.000	94.51	06/25/20	103.69	9.18 W	0.00	2 of 9 - Sale <sup>25</sup>
	48.000	50.40	06/25/20	55.30	4.90 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	105.01	06/25/20	115.20	10.19 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	53.50	06/25/20	57.61	4.11 W	0.00	5 of 9 - Sale <sup>25</sup>
	12.000	12.84	06/25/20	14.04	1.20 W	0.00	6 of 9 - Sale <sup>25</sup>
	38.000	40.66	06/25/20	44.54	3.88 W	0.00	7 of 9 - Sale <sup>25</sup>
	100.000	107.00	06/25/20	117.19	10.19 W	0.00	8 of 9 - Sale <sup>25</sup>
	50.000	53.50	06/25/20	57.61	4.11 W	0.00	9 of 9 - Sale <sup>25</sup>
06/26/20	500.000	530.02	Various	578.98	48.96 W	0.00	Total of 9 transactions
7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	4.000	4.92	07/01/20	4.74	...	0.18	1 of 7 - Sale <sup>25</sup>
	28.000	34.44	07/01/20	34.22	...	0.22	2 of 7 - Sale <sup>25</sup>
	22.000	27.17	07/01/20	26.89	...	0.28	3 of 7 - Sale <sup>25</sup>
	8.000	9.88	07/01/20	9.48	...	0.40	4 of 7 - Sale <sup>25</sup>
	50.000	61.74	07/01/20	61.11	...	0.63	5 of 7 - Sale <sup>25</sup>
	38.000	46.74	07/01/20	45.12	...	1.62	6 of 7 - Sale <sup>25</sup>
	100.000	123.00	07/01/20	118.70	...	4.30	7 of 7 - Sale <sup>25</sup>
07/08/20	250.000	307.89	Various	300.26	...	7.63	Total of 7 transactions
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	12.000	14.34	07/01/20	14.88	0.54 W	0.00	1 of 5 - Sale <sup>25</sup>
	38.000	45.40	07/01/20	47.20	1.80 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	119.49	07/01/20	124.19	4.70 W	0.00	3 of 5 - Sale <sup>25</sup>
	48.000	58.80	07/01/20	59.62	0.82 W	0.00	4 of 5 - Sale <sup>25</sup>
	52.000	63.69	07/01/20	64.58	0.89 W	0.00	5 of 5 - Sale <sup>25</sup>
07/08/20	250.000	301.72	Various	310.47	8.75 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol: (cont'd)							
07/21/20	50.000	64.74	07/20/20	74.99	...	-10.25	Sale <sup>25</sup>
	<i>2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>						
	48.000	62.16	07/20/20	72.81	3.99 W	-6.66	1 of 2 - Sale <sup>25</sup>
	52.000	67.33	07/20/20	78.88	11.55 W	0.00	2 of 2 - Sale <sup>25</sup>
07/21/20	100.000	129.49	Various	151.69	15.54 W	-6.66	Total of 2 transactions
	<i>2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>						
	52.000	68.89	07/31/20	76.81	...	-7.92	1 of 2 - Sale <sup>25</sup>
	18.000	23.85	07/31/20	26.58	...	-2.73	2 of 2 - Sale <sup>25</sup>
08/06/20	70.000	92.74	Various	103.39	...	-10.65	Total of 2 transactions
	<b>Security total:</b>	<b>1,737.39</b>		<b>1,859.28</b>	<b>101.96 W</b>	<b>-19.93</b>	
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
	<i>3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>						
	2,000.000	1,202.73	04/23/20	1,429.80	227.07 W	0.00	1 of 3 - Sale <sup>25</sup>
	1,000.000	601.37	04/23/20	709.80	108.43 W	0.00	2 of 3 - Sale <sup>25</sup>
	1,500.000	805.60	04/23/20	1,137.65	332.05 W	0.00	3 of 3 - Sale <sup>25</sup>
04/23/20	4,500.000	2,609.70	Various	3,277.25	667.55 W	0.00	Total of 3 transactions
	<i>2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	379.98	04/23/20	379.22	...	0.76	1 of 2 - Sale <sup>25</sup>
	600.000	455.98	04/23/20	452.00	...	3.98	2 of 2 - Sale <sup>25</sup>
04/24/20	1,100.000	835.96	Various	831.22	...	4.74	Total of 2 transactions
05/01/20	1,000.000	600.26	04/30/20	780.00	...	-179.74	Sale <sup>25</sup>
	<i>4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>						
	400.000	273.42	04/30/20	355.37	81.95 W	0.00	1 of 4 - Sale <sup>25</sup>
	1,100.000	751.89	04/30/20	1,101.50	349.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	400.000	250.34	04/30/20	400.55	150.21 W	0.00	3 of 4 - Sale <sup>25</sup>
	600.000	375.52	04/30/20	468.00	92.48 W	0.00	4 of 4 - Sale <sup>25</sup>
05/01/20	2,500.000	1,651.17	Various	2,325.42	674.25 W	0.00	Total of 4 transactions
	<i>4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	280.05	05/05/20	482.91	...	-202.86	1 of 4 - Sale <sup>25</sup>
	340.000	192.20	05/05/20	331.92	...	-139.72	2 of 4 - Sale <sup>25</sup>
	250.000	140.03	05/05/20	244.06	...	-104.03	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol: (cont'd)							
	10.000	5.65	05/05/20	9.76	...	-4.11	4 of 4 - Sale <sup>25</sup>
05/06/20	1,100.000	617.93	Various	1,068.65	...	-450.72	Total of 4 transactions
05/06/20	400.000	226.11	05/05/20	345.31	0.30 W	-118.90	Sale <sup>25</sup>
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	300.000	164.96	05/18/20	275.83	...	-110.87	1 of 3 - Sale <sup>25</sup>
	600.000	329.98	05/18/20	418.81	...	-88.83	2 of 3 - Sale <sup>25</sup>
	100.000	55.00	05/18/20	91.94	...	-36.94	3 of 3 - Sale <sup>25</sup>
05/19/20	1,000.000	549.94	Various	786.58	...	-236.64	Total of 3 transactions
06/08/20	1.000	1.54	06/05/20	1.41	...	0.13	Sale <sup>25</sup>
<b>Security total:</b>		<b>7,092.61</b>		<b>9,415.84</b>	<b>1,342.10 W</b>	<b>-981.13</b>	
OCWEN FINANCIAL CORPORATION / CUSIP: 675746309 / Symbol:							
22 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	30.000	12.38	04/16/20	11.70	...	0.68	1 of 22 - Sale <sup>25</sup>
	20.000	8.26	04/16/20	7.49	...	0.77	2 of 22 - Sale <sup>25</sup>
	20.000	8.26	04/16/20	7.49	...	0.77	3 of 22 - Sale <sup>25</sup>
	23.000	9.50	04/16/20	8.64	...	0.86	4 of 22 - Sale <sup>25</sup>
	23.000	9.50	04/16/20	8.64	...	0.86	5 of 22 - Sale <sup>25</sup>
	23.000	9.50	04/16/20	8.62	...	0.88	6 of 22 - Sale <sup>25</sup>
	23.000	9.50	04/16/20	8.62	...	0.88	7 of 22 - Sale <sup>25</sup>
	77.000	31.80	04/16/20	28.91	...	2.89	8 of 22 - Sale <sup>25</sup>
	77.000	31.80	04/16/20	28.91	...	2.89	9 of 22 - Sale <sup>25</sup>
	77.000	31.80	04/16/20	28.84	...	2.96	10 of 22 - Sale <sup>25</sup>
	77.000	31.80	04/16/20	28.84	...	2.96	11 of 22 - Sale <sup>25</sup>
	80.000	33.04	04/16/20	29.97	...	3.07	12 of 22 - Sale <sup>25</sup>
	80.000	33.04	04/16/20	29.94	...	3.10	13 of 22 - Sale <sup>25</sup>
	100.000	41.30	04/16/20	37.55	...	3.75	14 of 22 - Sale <sup>25</sup>
	100.000	41.30	04/16/20	37.46	...	3.84	15 of 22 - Sale <sup>25</sup>
	100.000	41.30	04/16/20	37.46	...	3.84	16 of 22 - Sale <sup>25</sup>
	100.000	41.30	04/16/20	37.46	...	3.84	17 of 22 - Sale <sup>25</sup>
	100.000	41.30	04/16/20	37.46	...	3.84	18 of 22 - Sale <sup>25</sup>
	123.000	50.80	04/16/20	46.31	...	4.49	19 of 22 - Sale <sup>25</sup>
	177.000	73.10	04/16/20	66.31	...	6.79	20 of 22 - Sale <sup>25</sup>
	200.000	82.60	04/16/20	75.30	...	7.30	21 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCWEN FINANCIAL CORPORATION / CUSIP: 675746309 / Symbol: (cont'd)							
	900.000	371.32	04/16/20	338.85	...	32.47	22 of 22 - Sale <sup>25</sup>
04/17/20	2,530.000	1,044.50	Various	950.77	...	93.73	Total of 22 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
04/30/20	15.000	4.70	03/24/20	7.19	...	-2.49	Sale <sup>25</sup>
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
04/30/20	200.000	439.98	04/29/20	443.50	...	-3.52	Sale <sup>25</sup>
ORION GROUP HOLDINGS, INC / CUSIP: 68628V308 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	93.000	364.55	06/17/20	376.65	...	-12.10	1 of 3 - Sale <sup>25</sup>
	50.000	191.61	06/17/20	202.50	...	-10.89	2 of 3 - Sale <sup>25</sup>
	7.000	27.30	06/17/20	28.35	...	-1.05	3 of 3 - Sale <sup>25</sup>
06/18/20	150.000	583.46	Various	607.50	...	-24.04	Total of 3 transactions
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	28.000	7.14	05/12/20	5.46	...	1.68	1 of 3 - Sale <sup>25</sup>
	1,500.000	382.31	05/12/20	324.90	...	57.41	2 of 3 - Sale <sup>25</sup>
	1,472.000	375.17	05/12/20	287.04	...	88.13	3 of 3 - Sale <sup>25</sup>
05/13/20	3,000.000	764.62	Various	617.40	...	147.22	Total of 3 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
06/17/20	200.000	309.98	06/16/20	304.00	...	5.98	Sale <sup>25</sup>
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
05/19/20	100.000	1,903.12	05/19/20	1,932.00	...	-28.88	Sale <sup>25</sup>
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	142.50	06/09/20	117.50	...	25.00	1 of 3 - Sale <sup>25</sup>
	200.000	569.96	06/09/20	469.98	...	99.98	2 of 3 - Sale <sup>25</sup>
	250.000	732.48	06/09/20	587.47	...	145.01	3 of 3 - Sale <sup>25</sup>
06/10/20	500.000	1,444.94	Various	1,174.95	...	269.99	Total of 3 transactions

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Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	400.000	171.95	06/18/20	183.68	...	-11.73	1 of 2 - Sale <sup>25</sup>
	200.000	85.98	06/18/20	91.84	...	-5.86	2 of 2 - Sale <sup>25</sup>
06/19/20	600.000	257.93	Various	275.52	...	-17.59	Total of 2 transactions
PROSHARES ULTRAPRO 3X CRUDE OIL ETF / CUSIP: 74347W221 / Symbol:							
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	100.000	217.99	03/10/20	208.00	...	9.99	1 of 2 - Sale <sup>25</sup>
	99.000	215.81	03/10/20	203.94	...	11.87	2 of 2 - Sale <sup>25</sup>
03/10/20	199.000	433.80	Various	411.94	...	21.86	Total of 2 transactions
PROSHARES ULTRA BLOOMBERG CRUDE OIL / CUSIP: 74347W247 / Symbol:							
4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	500.000	989.92	04/08/20	1,170.00	...	-180.08	1 of 4 - Sale <sup>25</sup>
	1,000.000	1,979.83	04/09/20	2,610.00	...	-630.17	2 of 4 - Sale <sup>25</sup>
	1,050.000	2,078.83	04/09/20	2,121.00	...	-42.17	3 of 4 - Sale <sup>25</sup>
	250.000	494.96	04/09/20	498.75	...	-3.79	4 of 4 - Sale <sup>25</sup>
04/09/20	2,800.000	5,543.54	Various	6,399.75	...	-856.21	Total of 4 transactions
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
05/11/20	500.000	797.42	05/08/20	549.95	...	247.47	Sale <sup>25</sup>
5 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	500.000	1,165.11	05/12/20	1,260.00	94.89 W	0.00	1 of 5 - Sale <sup>25</sup>
	385.000	708.60	05/12/20	966.23	257.63 W	0.00	2 of 5 - Sale <sup>25</sup>
	42.000	77.30	05/12/20	97.43	20.13 W	0.00	3 of 5 - Sale <sup>25</sup>
	73.000	134.36	05/12/20	169.34	34.98 W	0.00	4 of 5 - Sale <sup>25</sup>
	35.000	64.42	05/12/20	74.55	10.13 W	0.00	5 of 5 - Sale <sup>25</sup>
05/12/20	1,035.000	2,149.79	Various	2,567.55	417.76 W	0.00	Total of 5 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	15.000	27.90	05/18/20	27.37	...	0.53	1 of 2 - Sale <sup>25</sup>
	50.000	93.50	05/18/20	91.25	...	2.25	2 of 2 - Sale <sup>25</sup>
05/19/20	65.000	121.40	Various	118.62	...	2.78	Total of 2 transactions

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Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
<i>10 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	42.000	74.76	05/18/20	96.78	22.02 W	0.00	1 of 10 - Sale <sup>25</sup>
	73.000	129.93	05/18/20	168.20	38.27 W	0.00	2 of 10 - Sale <sup>25</sup>
	385.000	685.28	05/18/20	960.26	274.98 W	0.00	3 of 10 - Sale <sup>25</sup>
	32.000	59.84	05/18/20	67.67	7.83 W	0.00	4 of 10 - Sale <sup>25</sup>
	12.000	22.44	05/18/20	28.97	6.53 W	0.00	5 of 10 - Sale <sup>25</sup>
	8.000	14.96	05/18/20	19.31	4.35 W	0.00	6 of 10 - Sale <sup>25</sup>
	111.000	207.55	05/18/20	267.98	60.43 W	0.00	7 of 10 - Sale <sup>25</sup>
	19.000	35.53	05/18/20	45.87	10.34 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	5.58	05/18/20	6.34	0.76 W	0.00	9 of 10 - Sale <sup>25</sup>
	150.000	270.00	05/18/20	362.14	92.14 W	0.00	10 of 10 - Sale <sup>25</sup>
05/19/20	835.000	1,505.87	Various	2,023.52	517.65 W	0.00	Total of 10 transactions
<i>13 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	274.48	05/19/20	352.39	...	-77.91	1 of 13 - Sale <sup>25</sup>
	95.000	174.32	05/19/20	223.66	...	-49.34	2 of 13 - Sale <sup>25</sup>
	65.000	118.94	05/19/20	151.72	...	-32.78	3 of 13 - Sale <sup>25</sup>
	27.000	49.54	05/19/20	63.03	...	-13.49	4 of 13 - Sale <sup>25</sup>
	15.000	27.45	05/19/20	38.01	...	-10.56	5 of 13 - Sale <sup>25</sup>
	15.000	27.52	05/19/20	36.66	...	-9.14	6 of 13 - Sale <sup>25</sup>
	19.000	34.77	05/19/20	43.31	...	-8.54	7 of 13 - Sale <sup>25</sup>
	32.000	58.56	05/19/20	65.75	...	-7.19	8 of 13 - Sale <sup>25</sup>
	16.000	29.28	05/19/20	36.47	...	-7.19	9 of 13 - Sale <sup>25</sup>
	12.000	22.02	05/19/20	28.25	...	-6.23	10 of 13 - Sale <sup>25</sup>
	8.000	14.68	05/19/20	18.83	...	-4.15	11 of 13 - Sale <sup>25</sup>
	8.000	14.68	05/19/20	18.67	...	-3.99	12 of 13 - Sale <sup>25</sup>
	3.000	5.49	05/19/20	6.19	...	-0.70	13 of 13 - Sale <sup>25</sup>
05/20/20	465.000	851.73	Various	1,082.94	...	-231.21	Total of 13 transactions
05/20/20	85.000	155.96	05/19/20	223.91	11.99 W	-55.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,582.17</b>		<b>6,566.49</b>	<b>947.40 W</b>	<b>-36.92</b>	

RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:

*4 transactions for 05/05/20. Total proceeds and cost reported to the IRS.*

	100.000	64.98	05/05/20	50.00	...	14.98	1 of 4 - Sale <sup>25</sup>
	100.000	64.99	05/05/20	50.00	...	14.99	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol: (cont'd)							
	800.000	519.89	05/05/20	400.00	...	119.89	3 of 4 - Sale <sup>25</sup>
	1,000.000	649.87	05/05/20	500.00	...	149.87	4 of 4 - Sale <sup>25</sup>
05/05/20	2,000.000	1,299.73	Various	1,000.00	...	299.73	Total of 4 transactions
05/11/20	200.000	114.20	05/08/20	102.90	...	11.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,413.93</b>		<b>1,102.90</b>	...	<b>311.03</b>	
RUMBLEON, INC. CLASS B COMMON STOCK / CUSIP: 781386206 / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	100.000	74.49	05/06/20	56.00	...	18.49	1 of 2 - Sale <sup>25</sup>
	900.000	665.87	05/06/20	504.00	...	161.87	2 of 2 - Sale <sup>25</sup>
05/07/20	1,000.000	740.36	Various	560.00	...	180.36	Total of 2 transactions
05/14/20	500.000	259.99	05/13/20	285.00	...	-25.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,000.35</b>		<b>845.00</b>	...	<b>155.35</b>	
SCWORX CORP. COMMON STOCK / CUSIP: 78396V109 / Symbol:							
04/16/20	100.000	811.97	04/16/20	927.00	...	-115.03	Sale <sup>25</sup>
SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol:							
12/10/20	10.000	140.69	12/10/20	154.60	...	-13.91	Sale <sup>25</sup>
12/10/20	30.000	422.09	12/10/20	472.65	33.71 W	-16.85	Sale <sup>25</sup>
12/14/20	20.000	131.00	12/10/20	310.31	...	-179.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>693.78</b>		<b>937.56</b>	<b>33.71 W</b>	<b>-210.07</b>	
SHILOH INDUSTRIES, INC. COMMON STOCK / CUSIP: 824543102 / Symbol:							
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	100.000	204.49	07/06/20	225.01	...	-20.52	1 of 3 - Sale <sup>25</sup>
	50.000	93.25	07/06/20	106.00	...	-12.75	2 of 3 - Sale <sup>25</sup>
	50.000	99.49	07/06/20	106.00	...	-6.51	3 of 3 - Sale <sup>25</sup>
07/08/20	200.000	397.23	Various	437.01	...	-39.78	Total of 3 transactions
07/08/20	100.000	198.99	07/06/20	212.00	13.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>596.22</b>		<b>649.01</b>	<b>13.01 W</b>	<b>-39.78</b>	

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	50.000	103.51	06/22/20	154.48	...	-50.97	1 of 2 - Sale <sup>25</sup>
	50.000	111.02	06/22/20	132.50	...	-21.48	2 of 2 - Sale <sup>25</sup>
06/22/20	100.000	214.53	Various	286.98	...	-72.45	Total of 2 transactions
06/22/20	200.000	444.08	06/22/20	532.00	65.94 W	-21.98	Sale <sup>25</sup>
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	80.000	139.99	06/22/20	247.17	...	-107.18	1 of 2 - Sale <sup>25</sup>
	20.000	35.00	06/22/20	61.79	...	-26.79	2 of 2 - Sale <sup>25</sup>
06/23/20	100.000	174.99	Various	308.96	...	-133.97	Total of 2 transactions
	<b>Security total:</b>	<b>833.60</b>		<b>1,127.94</b>	<b>65.94 W</b>	<b>-228.40</b>	
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
03/10/20	1.000	6.15	06/27/19	5.52	...	0.63	Sale <sup>25</sup>
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
04/22/20	100.000	237.99	04/21/20	245.00	7.01 W	0.00	Sale <sup>25</sup>
7 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	100.000	235.99	04/21/20	252.01	16.02 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	232.99	04/21/20	261.02	28.03 W	0.00	2 of 7 - Sale <sup>25</sup>
	100.000	232.99	04/21/20	245.00	12.01 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	249.98	04/23/20	278.03	28.05 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	249.98	04/23/20	262.01	12.03 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	249.98	04/23/20	250.00	0.02 W	0.00	6 of 7 - Sale <sup>25</sup>
	470.000	1,174.92	04/23/20	1,189.10	14.18 W	0.00	7 of 7 - Sale <sup>25</sup>
04/23/20	1,070.000	2,626.83	Various	2,737.17	110.34 W	0.00	Total of 7 transactions
10 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	83.000	216.62	04/27/20	246.52	...	-29.90	1 of 10 - Sale <sup>25</sup>
	300.000	782.94	04/27/20	805.46	...	-22.52	2 of 10 - Sale <sup>25</sup>
	100.000	260.98	04/27/20	280.99	...	-20.01	3 of 10 - Sale <sup>25</sup>
	120.000	313.17	04/27/20	323.39	...	-10.22	4 of 10 - Sale <sup>25</sup>
	16.000	41.76	04/27/20	47.04	...	-5.28	5 of 10 - Sale <sup>25</sup>
	50.000	130.49	04/27/20	134.25	...	-3.76	6 of 10 - Sale <sup>25</sup>
	83.000	216.62	04/27/20	220.36	...	-3.74	7 of 10 - Sale <sup>25</sup>
	17.000	44.37	04/27/20	45.72	...	-1.35	8 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
	13.000	33.93	04/27/20	34.64	...	-0.71	9 of 10 - Sale <sup>25</sup>
	1.000	2.61	04/27/20	2.96	...	-0.35	10 of 10 - Sale <sup>25</sup>
04/28/20	783.000	2,043.49	Various	2,141.33	...	-97.84	Total of 10 transactions
	5 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	16.000	42.56	04/27/20	46.96	4.40 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	2.64	04/27/20	2.94	0.30 W	0.00	2 of 5 - Sale <sup>25</sup>
	83.000	218.27	04/27/20	243.62	25.35 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	262.98	04/27/20	277.50	14.52 W	0.00	4 of 5 - Sale <sup>25</sup>
	17.000	44.71	04/27/20	45.13	0.42 W	0.00	5 of 5 - Sale <sup>25</sup>
04/28/20	217.000	571.16	Various	616.15	44.99 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>5,479.47</b>		<b>5,739.65</b>	<b>162.34 W</b>	<b>-97.84</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
12/22/20	1,000.000	476.43	12/21/20	538.89	62.46 W	0.00	Sale <sup>25</sup>
SUNLINK HEALTH SYSTEMS, INC / CUSIP: 86737U102 / Symbol:							
03/25/20	140.000	168.01	03/24/20	208.60	...	-40.59	Sale <sup>25</sup>
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol:							
04/17/20	1,200.000	510.08	04/17/20	486.48	...	23.60	Sale <sup>25</sup>
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
05/19/20	200.000	157.98	05/18/20	153.54	...	4.44	Sale <sup>25</sup>
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	400.000	587.94	05/08/20	595.92	...	-7.98	1 of 2 - Sale <sup>25</sup>
	100.000	146.98	05/08/20	148.50	...	-1.52	2 of 2 - Sale <sup>25</sup>
05/12/20	500.000	734.92	Various	744.42	...	-9.50	Total of 2 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
	5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	400.000	285.95	03/25/20	338.80	...	-52.85	1 of 5 - Sale <sup>25</sup>
	200.000	142.97	03/25/20	169.40	...	-26.43	2 of 5 - Sale <sup>25</sup>
	200.000	142.97	03/25/20	169.40	...	-26.43	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	200.000	142.98	03/25/20	169.40	...	-26.42	4 of 5 - Sale <sup>25</sup>
	100.000	71.49	03/25/20	85.00	...	-13.51	5 of 5 - Sale <sup>25</sup>
03/25/20	1,100.000	786.36	Various	932.00	...	-145.64	Total of 5 transactions
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
12/10/20	30.000	184.35	12/10/20	193.95	...	-9.60	Sale <sup>25</sup>
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	90.000	213.29	05/08/20	268.67	...	-55.38	1 of 2 - Sale <sup>25</sup>
	10.000	23.70	05/08/20	26.78	...	-3.08	2 of 2 - Sale <sup>25</sup>
05/11/20	100.000	236.99	Various	295.45	...	-58.46	Total of 2 transactions
05/11/20	100.000	236.99	05/08/20	267.76	27.69 W	-3.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>473.98</b>		<b>563.21</b>	<b>27.69 W</b>	<b>-61.54</b>	
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
03/18/20	800.000	1,388.66	03/18/20	1,500.00	111.34 W	0.00	Sale <sup>25</sup>
4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	40.000	51.99	03/31/20	47.60	...	4.39	1 of 4 - Sale <sup>25</sup>
	100.000	129.99	03/31/20	121.94	...	8.05	2 of 4 - Sale <sup>25</sup>
	200.000	259.97	03/31/20	238.00	...	21.97	3 of 4 - Sale <sup>25</sup>
	1,260.000	1,637.81	03/31/20	1,499.40	...	138.41	4 of 4 - Sale <sup>25</sup>
04/01/20	1,600.000	2,079.76	Various	1,906.94	...	172.82	Total of 4 transactions
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	100.000	129.98	03/31/20	132.92	2.94 W	0.00	1 of 2 - Sale <sup>25</sup>
	700.000	909.90	03/31/20	930.42	20.52 W	0.00	2 of 2 - Sale <sup>25</sup>
04/01/20	800.000	1,039.88	Various	1,063.34	23.46 W	0.00	Total of 2 transactions
6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	540.000	504.82	04/01/20	642.60	...	-137.78	1 of 6 - Sale <sup>25</sup>
	200.000	174.02	04/01/20	238.00	...	-63.98	2 of 6 - Sale <sup>25</sup>
	100.000	93.49	04/01/20	119.00	...	-25.51	3 of 6 - Sale <sup>25</sup>
	100.000	93.49	04/01/20	119.00	...	-25.51	4 of 6 - Sale <sup>25</sup>
	100.000	93.49	04/01/20	119.00	...	-25.51	5 of 6 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	60.000	56.09	04/01/20	71.40	...	-15.31	6 of 6 - Sale <sup>25</sup>
04/06/20	1,100.000	1,015.40	Various	1,309.00	...	-293.60	Total of 6 transactions
	6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	600.000	522.03	04/01/20	714.00	35.19 W	-156.78	1 of 6 - Sale <sup>25</sup>
	100.000	87.54	04/01/20	121.93	34.39 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	87.54	04/01/20	121.93	34.39 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	87.54	04/01/20	121.93	34.39 W	0.00	4 of 6 - Sale <sup>25</sup>
	200.000	174.02	04/01/20	243.87	69.85 W	0.00	5 of 6 - Sale <sup>25</sup>
	200.000	174.02	04/01/20	243.86	69.84 W	0.00	6 of 6 - Sale <sup>25</sup>
04/06/20	1,300.000	1,132.69	Various	1,567.52	278.05 W	-156.78	Total of 6 transactions
	8 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	100.000	100.99	04/01/20	149.39	...	-48.40	1 of 8 - Sale <sup>25</sup>
	200.000	201.97	04/01/20	238.00	...	-36.03	2 of 8 - Sale <sup>25</sup>
	10.000	10.10	04/01/20	14.94	...	-4.84	3 of 8 - Sale <sup>25</sup>
	200.000	201.97	04/02/20	287.85	...	-85.88	4 of 8 - Sale <sup>25</sup>
	200.000	201.97	04/02/20	287.84	...	-85.87	5 of 8 - Sale <sup>25</sup>
	110.000	111.08	04/02/20	155.09	...	-44.01	6 of 8 - Sale <sup>25</sup>
	100.000	100.98	04/02/20	143.39	...	-42.41	7 of 8 - Sale <sup>25</sup>
	90.000	90.89	04/02/20	129.05	...	-38.16	8 of 8 - Sale <sup>25</sup>
04/08/20	1,010.000	1,019.95	Various	1,405.55	...	-385.60	Total of 8 transactions
	<b>Security total:</b>	<b>7,676.34</b>		<b>8,752.35</b>	<b>412.85 W</b>	<b>-663.16</b>	
XENETIC BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 984015503 / Symbol:							
12/09/20	100.000	400.04	12/09/20	482.50	...	-82.46	Sale <sup>25</sup>
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	4,300.000	1,004.37	04/06/20	1,110.69	64.28 W	-42.04	1 of 2 - Sale <sup>25</sup>
	4,400.000	1,034.77	04/06/20	1,136.52	101.75 W	0.00	2 of 2 - Sale <sup>25</sup>
04/07/20	8,700.000	2,039.14	Various	2,247.21	166.03 W	-42.04	Total of 2 transactions
04/09/20	2,000.000	483.76	04/08/20	539.65	...	-55.89	Sale <sup>25</sup>
	20 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	5.000	1.85	04/13/20	1.72	...	0.13	1 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	8.000	2.96	04/13/20	2.78	...	0.18	2 of 20 - Sale <sup>25</sup>
	12.000	4.44	04/13/20	4.17	...	0.27	3 of 20 - Sale <sup>25</sup>
	44.000	16.28	04/13/20	15.29	...	0.99	4 of 20 - Sale <sup>25</sup>
	48.000	17.76	04/13/20	16.69	...	1.07	5 of 20 - Sale <sup>25</sup>
	100.000	36.99	04/13/20	34.74	...	2.25	6 of 20 - Sale <sup>25</sup>
	100.000	37.00	04/13/20	34.74	...	2.26	7 of 20 - Sale <sup>25</sup>
	100.000	37.00	04/13/20	34.74	...	2.26	8 of 20 - Sale <sup>25</sup>
	100.000	37.01	04/13/20	34.74	...	2.27	9 of 20 - Sale <sup>25</sup>
	100.000	37.00	04/13/20	34.66	...	2.34	10 of 20 - Sale <sup>25</sup>
	95.000	35.16	04/13/20	32.77	...	2.39	11 of 20 - Sale <sup>25</sup>
	100.000	37.01	04/13/20	34.50	...	2.51	12 of 20 - Sale <sup>25</sup>
	100.000	37.01	04/13/20	34.49	...	2.52	13 of 20 - Sale <sup>25</sup>
	100.000	37.01	04/13/20	34.49	...	2.52	14 of 20 - Sale <sup>25</sup>
	100.000	37.09	04/13/20	34.49	...	2.60	15 of 20 - Sale <sup>25</sup>
	200.000	73.98	04/13/20	69.53	...	4.45	16 of 20 - Sale <sup>25</sup>
	456.000	168.74	04/13/20	158.42	...	10.32	17 of 20 - Sale <sup>25</sup>
	505.000	186.89	04/13/20	174.24	...	12.65	18 of 20 - Sale <sup>25</sup>
	1,332.000	492.68	04/13/20	463.04	...	29.64	19 of 20 - Sale <sup>25</sup>
	1,395.000	516.25	04/13/20	481.31	...	34.94	20 of 20 - Sale <sup>25</sup>
04/14/20	5,000.000	1,850.11	Various	1,731.55	...	118.56	Total of 20 transactions
	3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	341.000	270.63	05/22/20	405.80	...	-135.17	1 of 3 - Sale <sup>25</sup>
	559.000	443.66	05/22/20	553.41	...	-109.75	2 of 3 - Sale <sup>25</sup>
	100.000	79.37	05/22/20	119.00	...	-39.63	3 of 3 - Sale <sup>25</sup>
05/22/20	1,000.000	793.66	Various	1,078.21	...	-284.55	Total of 3 transactions
05/22/20	1,000.000	789.96	05/22/20	990.00	128.22 W	-71.82	Sale <sup>25</sup>
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	50.000	128.01	06/04/20	98.00	...	30.01	1 of 2 - Sale <sup>25</sup>
	150.000	383.98	06/04/20	293.99	...	89.99	2 of 2 - Sale <sup>25</sup>
06/05/20	200.000	511.99	Various	391.99	...	120.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,468.62</b>		<b>6,978.61</b>	<b>294.25 W</b>	<b>-215.74</b>	

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Robinhood Securities LLC

Account 962115754

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	200.000	251.97	06/17/20	292.00	...	-40.03	1 of 3 - Sale <sup>25</sup>
	200.000	251.97	06/17/20	292.00	...	-40.03	2 of 3 - Sale <sup>25</sup>
	200.000	251.97	06/17/20	292.00	...	-40.03	3 of 3 - Sale <sup>25</sup>
06/17/20	600.000	755.91	Various	876.00	...	-120.09	Total of 3 transactions
AURIS MEDICAL HOLDING LTD. COM MON SHARES 0.01 SF (BERMUDA) / CUSIP: G07025201 / Symbol:							
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	18.000	98.55	12/01/20	91.68	...	6.87	1 of 3 - Sale <sup>25</sup>
	57.000	311.78	12/01/20	290.30	...	21.48	2 of 3 - Sale <sup>25</sup>
	75.000	442.03	12/01/20	381.99	...	60.04	3 of 3 - Sale <sup>25</sup>
12/01/20	150.000	852.36	Various	763.97	...	88.39	Total of 3 transactions
LIANLUO SMART LIMITED CLASS A COMMON STOCK / CUSIP: G5478K100 / Symbol:							
10 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	100.000	63.96	06/26/20	69.57	...	-5.61	1 of 10 - Sale <sup>25</sup>
	50.000	31.98	06/26/20	35.87	...	-3.89	2 of 10 - Sale <sup>25</sup>
	50.000	31.98	06/26/20	35.86	...	-3.88	3 of 10 - Sale <sup>25</sup>
	50.000	31.98	06/26/20	34.79	...	-2.81	4 of 10 - Sale <sup>25</sup>
	118.000	75.51	06/26/20	77.58	...	-2.07	5 of 10 - Sale <sup>25</sup>
	100.000	63.99	06/26/20	65.74	...	-1.75	6 of 10 - Sale <sup>25</sup>
	50.000	31.97	06/26/20	32.87	...	-0.90	7 of 10 - Sale <sup>25</sup>
	50.000	31.99	06/26/20	32.87	...	-0.88	8 of 10 - Sale <sup>25</sup>
	30.000	19.20	06/26/20	19.72	...	-0.52	9 of 10 - Sale <sup>25</sup>
	2.000	1.28	06/26/20	1.31	...	-0.03	10 of 10 - Sale <sup>25</sup>
06/29/20	600.000	383.84	Various	406.18	...	-22.34	Total of 10 transactions
8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	100.000	61.16	06/26/20	67.90	6.74 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	61.16	06/26/20	67.90	6.74 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	61.16	06/26/20	67.90	6.74 W	0.00	3 of 8 - Sale <sup>25</sup>
	150.000	91.74	06/26/20	101.85	10.11 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	61.16	06/26/20	67.90	6.74 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	30.58	06/26/20	33.95	3.37 W	0.00	6 of 8 - Sale <sup>25</sup>
	100.000	61.16	06/26/20	71.73	10.57 W	0.00	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIANLUO SMART LIMITED CLASS A COMMON STOCK / CUSIP: G5478K100 / Symbol: (cont'd)							
	50.000	30.58	06/26/20	35.87	5.29 W	0.00	8 of 8 - Sale <sup>25</sup>
06/29/20	750.000	458.70	Various	515.00	56.30 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>842.54</b>		<b>921.18</b>	<b>56.30 W</b>	<b>-22.34</b>	
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
07/01/20	300.000	274.01	07/01/20	279.00	4.99 W	0.00	Sale <sup>25</sup>
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	100.000	72.19	07/01/20	112.61	...	-40.42	1 of 4 - Sale <sup>25</sup>
	100.000	71.38	07/01/20	91.00	...	-19.62	2 of 4 - Sale <sup>25</sup>
	48.000	34.65	07/02/20	44.77	...	-10.12	3 of 4 - Sale <sup>25</sup>
	2.000	1.44	07/02/20	1.87	...	-0.43	4 of 4 - Sale <sup>25</sup>
07/02/20	250.000	179.66	Various	250.25	...	-70.59	Total of 4 transactions
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	100.000	71.39	07/01/20	93.00	21.61 W	0.00	1 of 4 - Sale <sup>25</sup>
	48.000	34.27	07/01/20	44.48	10.21 W	0.00	2 of 4 - Sale <sup>25</sup>
	152.000	108.51	07/01/20	140.85	32.34 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	71.39	07/01/20	92.66	21.27 W	0.00	4 of 4 - Sale <sup>25</sup>
07/02/20	400.000	285.56	Various	370.99	85.43 W	0.00	Total of 4 transactions
07/06/20	150.000	110.98	07/02/20	139.91	...	-28.93	Sale <sup>25</sup>
07/07/20	100.000	64.99	07/02/20	93.27	...	-28.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>915.20</b>		<b>1,133.42</b>	<b>90.42 W</b>	<b>-127.80</b>	
NOBLE CORP PLC COMMON STOCK / CUSIP: G65431101 / Symbol:							
	6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	30.000	17.13	06/08/20	15.90	...	1.23	1 of 6 - Sale <sup>25</sup>
	70.000	39.96	06/08/20	37.09	...	2.87	2 of 6 - Sale <sup>25</sup>
	100.000	57.08	06/08/20	52.99	...	4.09	3 of 6 - Sale <sup>25</sup>
	100.000	57.09	06/08/20	52.99	...	4.10	4 of 6 - Sale <sup>25</sup>
	300.000	171.27	06/08/20	159.00	...	12.27	5 of 6 - Sale <sup>25</sup>
	400.000	228.35	06/08/20	211.96	...	16.39	6 of 6 - Sale <sup>25</sup>
06/09/20	1,000.000	570.88	Various	529.93	...	40.95	Total of 6 transactions

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Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOBLE CORP PLC COMMON STOCK / CUSIP: G65431101 / Symbol: (cont'd)							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1,000.000	432.28	06/09/20	530.40	98.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	1,000.000	431.58	06/10/20	463.92	32.34 W	0.00	2 of 2 - Sale <sup>25</sup>
06/12/20	2,000.000	863.86	Various	994.32	130.46 W	0.00	Total of 2 transactions
06/22/20	1,000.000	379.88	06/19/20	408.54	28.66 W	0.00	Sale <sup>25</sup>
7 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1,000.000	370.07	06/22/20	384.75	14.68 W	0.00	1 of 7 - Sale <sup>25</sup>
	490.000	176.39	06/22/20	180.36	3.97 W	0.00	2 of 7 - Sale <sup>25</sup>
	100.000	35.48	06/22/20	36.81	1.33 W	0.00	3 of 7 - Sale <sup>25</sup>
	400.000	141.87	06/22/20	147.23	5.36 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	3.52	06/22/20	3.68	0.16 W	0.00	5 of 7 - Sale <sup>25</sup>
	230.000	80.86	06/22/20	83.23	2.37 W	0.00	6 of 7 - Sale <sup>25</sup>
	260.000	91.41	06/22/20	94.10	2.69 W	0.00	7 of 7 - Sale <sup>25</sup>
06/23/20	2,490.000	899.60	Various	930.16	30.56 W	0.00	Total of 7 transactions
10 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	379.000	125.02	06/22/20	139.62	...	-14.60	1 of 10 - Sale <sup>25</sup>
	260.000	85.76	06/22/20	94.99	...	-9.23	2 of 10 - Sale <sup>25</sup>
	120.000	39.59	06/22/20	43.84	...	-4.25	3 of 10 - Sale <sup>25</sup>
	107.000	35.30	06/22/20	39.08	...	-3.78	4 of 10 - Sale <sup>25</sup>
	80.000	26.39	06/22/20	29.46	...	-3.07	5 of 10 - Sale <sup>25</sup>
	20.000	6.60	06/22/20	7.37	...	-0.77	6 of 10 - Sale <sup>25</sup>
	20.000	6.60	06/22/20	7.37	...	-0.77	7 of 10 - Sale <sup>25</sup>
	10.000	3.30	06/22/20	3.71	...	-0.41	8 of 10 - Sale <sup>25</sup>
	3.000	0.99	06/22/20	1.10	...	-0.11	9 of 10 - Sale <sup>25</sup>
	1.000	0.33	06/22/20	0.37	...	-0.04	10 of 10 - Sale <sup>25</sup>
06/24/20	1,000.000	329.88	Various	366.91	...	-37.03	Total of 10 transactions
	<b>Security total:</b>	<b>3,044.10</b>		<b>3,229.86</b>	<b>189.68 W</b>	<b>3.92</b>	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
03/10/20	1.000	20.07	03/09/20	20.49	...	-0.42	Sale <sup>25</sup>

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Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
<i>10 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	103.000	93.83	06/16/20	132.89	...	-39.06	1 of 10 - Sale <sup>25</sup>
	97.000	88.37	06/16/20	125.14	...	-36.77	2 of 10 - Sale <sup>25</sup>
	150.000	136.65	06/16/20	170.24	...	-33.59	3 of 10 - Sale <sup>25</sup>
	110.000	100.22	06/16/20	130.91	...	-30.69	4 of 10 - Sale <sup>25</sup>
	100.000	91.11	06/16/20	119.01	...	-27.90	5 of 10 - Sale <sup>25</sup>
	90.000	82.00	06/16/20	107.11	...	-25.11	6 of 10 - Sale <sup>25</sup>
	80.000	72.89	06/16/20	95.21	...	-22.32	7 of 10 - Sale <sup>25</sup>
	100.000	94.98	06/16/20	111.00	...	-16.02	8 of 10 - Sale <sup>25</sup>
	20.000	18.22	06/16/20	27.40	...	-9.18	9 of 10 - Sale <sup>25</sup>
	30.000	27.33	06/16/20	34.05	...	-6.72	10 of 10 - Sale <sup>25</sup>
06/17/20	880.000	805.60	Various	1,052.96	...	-247.36	Total of 10 transactions
<i>6 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	19.00	06/16/20	22.60	3.60 W	0.00	1 of 6 - Sale <sup>25</sup>
	480.000	455.93	06/16/20	542.40	86.47 W	0.00	2 of 6 - Sale <sup>25</sup>
	20.000	19.00	06/16/20	26.20	7.20 W	0.00	3 of 6 - Sale <sup>25</sup>
	80.000	75.99	06/16/20	90.40	14.41 W	0.00	4 of 6 - Sale <sup>25</sup>
	110.000	104.49	06/16/20	124.30	19.81 W	0.00	5 of 6 - Sale <sup>25</sup>
	90.000	85.49	06/16/20	101.70	16.21 W	0.00	6 of 6 - Sale <sup>25</sup>
06/17/20	800.000	759.90	Various	907.60	147.70 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,565.50</b>		<b>1,960.56</b>	<b>147.70 W</b>	<b>-247.36</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
03/10/20	16.000	791.96	03/10/20	784.00	...	7.96	Sale <sup>25</sup>
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
<i>13 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1.10	06/08/20	0.77	...	0.33	1 of 13 - Sale <sup>25</sup>
	95.000	20.87	06/08/20	14.54	...	6.33	2 of 13 - Sale <sup>25</sup>
	100.000	21.96	06/08/20	15.30	...	6.66	3 of 13 - Sale <sup>25</sup>
	100.000	21.96	06/08/20	15.30	...	6.66	4 of 13 - Sale <sup>25</sup>
	100.000	21.97	06/08/20	15.30	...	6.67	5 of 13 - Sale <sup>25</sup>
	100.000	21.97	06/08/20	15.30	...	6.67	6 of 13 - Sale <sup>25</sup>
	100.000	21.97	06/08/20	15.30	...	6.67	7 of 13 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	400.000	87.87	06/08/20	61.16	...	26.71	8 of 13 - Sale <sup>25</sup>
	1,000.000	186.68	06/08/20	152.90	...	33.78	9 of 13 - Sale <sup>25</sup>
	1,015.000	189.48	06/08/20	155.19	...	34.29	10 of 13 - Sale <sup>25</sup>
	2,985.000	554.83	06/08/20	456.41	...	98.42	11 of 13 - Sale <sup>25</sup>
	2,000.000	439.35	06/08/20	305.80	...	133.55	12 of 13 - Sale <sup>25</sup>
	2,000.000	439.35	06/08/20	305.80	...	133.55	13 of 13 - Sale <sup>25</sup>
06/09/20	10,000.000	2,029.36	Various	1,529.07	...	500.29	Total of 13 transactions
	10 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	100.000	14.95	06/19/20	14.93	...	0.02	1 of 10 - Sale <sup>25</sup>
	100.000	14.95	06/19/20	14.93	...	0.02	2 of 10 - Sale <sup>25</sup>
	200.000	29.89	06/19/20	29.86	...	0.03	3 of 10 - Sale <sup>25</sup>
	100.000	14.96	06/19/20	14.93	...	0.03	4 of 10 - Sale <sup>25</sup>
	200.000	29.89	06/19/20	29.86	...	0.03	5 of 10 - Sale <sup>25</sup>
	200.000	29.90	06/19/20	29.86	...	0.04	6 of 10 - Sale <sup>25</sup>
	300.000	44.84	06/19/20	44.79	...	0.05	7 of 10 - Sale <sup>25</sup>
	400.000	59.79	06/19/20	59.72	...	0.07	8 of 10 - Sale <sup>25</sup>
	500.000	74.74	06/19/20	74.65	...	0.09	9 of 10 - Sale <sup>25</sup>
	900.000	134.54	06/19/20	134.37	...	0.17	10 of 10 - Sale <sup>25</sup>
06/22/20	3,000.000	448.45	Various	447.90	...	0.55	Total of 10 transactions
06/24/20	2,000.000	284.96	06/24/20	306.00	...	-21.04	Sale <sup>25</sup>
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	3,100.000	441.38	06/24/20	474.30	22.30 W	-10.62	1 of 4 - Sale <sup>25</sup>
	2,700.000	384.43	06/24/20	413.10	28.67 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	14.24	06/24/20	15.30	1.06 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	14.24	06/24/20	15.30	1.06 W	0.00	4 of 4 - Sale <sup>25</sup>
06/24/20	6,000.000	854.29	Various	918.00	53.09 W	-10.62	Total of 4 transactions
	18 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	2,000.000	284.78	06/24/20	306.00	...	-21.22	1 of 18 - Sale <sup>25</sup>
	600.000	85.43	06/24/20	90.37	...	-4.94	2 of 18 - Sale <sup>25</sup>
	500.000	71.61	06/24/20	75.31	...	-3.70	3 of 18 - Sale <sup>25</sup>
	400.000	56.96	06/24/20	60.25	...	-3.29	4 of 18 - Sale <sup>25</sup>
	561.000	81.23	06/24/20	84.50	...	-3.27	5 of 18 - Sale <sup>25</sup>
	539.000	78.04	06/24/20	81.18	...	-3.14	6 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 962115754

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	400.000	57.29	06/24/20	60.25	...	-2.96	7 of 18 - Sale <sup>25</sup>
	300.000	43.43	06/24/20	45.19	...	-1.76	8 of 18 - Sale <sup>25</sup>
	200.000	28.65	06/24/20	30.13	...	-1.48	9 of 18 - Sale <sup>25</sup>
	200.000	28.64	06/24/20	30.12	...	-1.48	10 of 18 - Sale <sup>25</sup>
	200.000	28.65	06/24/20	30.12	...	-1.47	11 of 18 - Sale <sup>25</sup>
	200.000	28.65	06/24/20	30.12	...	-1.47	12 of 18 - Sale <sup>25</sup>
	200.000	28.95	06/24/20	30.13	...	-1.18	13 of 18 - Sale <sup>25</sup>
	200.000	28.96	06/24/20	30.12	...	-1.16	14 of 18 - Sale <sup>25</sup>
	200.000	28.96	06/24/20	30.12	...	-1.16	15 of 18 - Sale <sup>25</sup>
	100.000	14.32	06/24/20	15.06	...	-0.74	16 of 18 - Sale <sup>25</sup>
	100.000	14.32	06/24/20	15.06	...	-0.74	17 of 18 - Sale <sup>25</sup>
	100.000	14.33	06/24/20	15.06	...	-0.73	18 of 18 - Sale <sup>25</sup>
06/25/20	7,000.000	1,003.20	Various	1,059.09	...	-55.89	Total of 18 transactions
	<b>Security total:</b>	<b>4,620.26</b>		<b>4,260.06</b>	<b>53.09 W</b>	<b>413.29</b>	
<b>Totals :</b>		<b>181,824.10</b>		<b>191,270.32</b>	<b>8,245.61 W</b>	<b>-1,200.61</b>	

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Robinhood Securities LLC

Account 962115754

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
DIREXION DAILY JUNIOR GOLD MIN ERS	25460E166		03/31/20	1.44	Qualified dividend	03
INDEX BULL 2X SHARES			03/31/20	0.34	Nondividend distribution	03
				<b>1.78</b>	<b>Total Dividends &amp; distributions</b>	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
				<b>1.79</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 962115754

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/20/20	-5.00	Margin interest paid	
		03/25/20	-5.00	Margin interest paid	
		04/24/20	-5.00	Margin interest paid	
		05/26/20	-0.01	Margin interest paid	
		05/26/20	-5.00	Margin interest paid	
		06/23/20	-5.00	Margin interest paid	
			-25.01	Total Margin interest paid	
			<b>-25.01</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 962115754

**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
JE 01/15/2021 CALL \$1.00	JE 01/15/21 C 1.000	09/29/20	-4.00	0.00	Merger	
JE1 01/15/2021 CALL \$1.00	JE1 01/15/21 C 1.000	09/29/20	4.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).