

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 762038891	Statement Date: 02/14/2021 Document ID: 168E 562 45D3	2020
	Dileep Vampada 7928 White Bluff Ln 201 Memphis, TN 38119 RECIPIENT'S TIN: XXX-XX-1024		

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.14		2- Royalties	0.00	
1b- Qualified dividends	0.14		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2c- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	101,111.86	114,664.76	0.00	13,196.27	-356.63
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	101,111.86	114,664.76	0.00	13,196.27	-356.63
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	101,111.86	114,664.76	0.00	13,196.27	-356.63
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 762038891

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/11/2020 CALL \$133.75 / CUSIP: / Symbol:							
09/02/20	1.000	387.98	09/02/20	369.00	...	18.98	Option sale to close-call ²⁵
AAPL 09/18/2020 CALL \$121.25 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	3.000	302.98	09/15/20	306.00	...	-3.02	1 of 2 - Option sale to close-call ²⁵
	1.000	101.00	09/15/20	100.00	...	1.00	2 of 2 - Option sale to close-call ²⁵
09/15/20	4.000	403.98	Various	406.00	...	-2.02	Total of 2 transactions
AAPL 09/18/2020 CALL \$132.50 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	127.98	09/04/20	95.00	...	32.98	1 of 2 - Option sale to close-call ²⁵
	2.000	255.98	09/04/20	190.00	...	65.98	2 of 2 - Option sale to close-call ²⁵
09/08/20	3.000	383.96	Various	285.00	...	98.96	Total of 2 transactions

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Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/25/2020 CALL \$131.25 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	4.000	279.98	09/10/20	520.00	...	-240.02	1 of 2 - Option sale to close-call ²⁵
	1.000	69.98	09/10/20	130.00	...	-60.02	2 of 2 - Option sale to close-call ²⁵
09/11/20	5.000	349.96	Various	650.00	...	-300.04	Total of 2 transactions
AMZN 12/24/2020 CALL \$3,220.00 / CUSIP: / Symbol:							
12/22/20	1.000	1,614.95	12/22/20	1,535.00	...	79.95	Option sale to close-call ²⁵
BABA 09/11/2020 CALL \$292.50 / CUSIP: / Symbol:							
09/04/20	1.000	188.98	09/03/20	360.00	...	-171.02	Option sale to close-call ²⁵
BABA 09/11/2020 CALL \$295.00 / CUSIP: / Symbol:							
09/08/20	3.000	299.98	09/04/20	369.00	...	-69.02	Option sale to close-call ²⁵
EB 09/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/28/20	1.000	79.98	07/17/20	90.00	...	-10.02	Option sale to close-call ²⁵
FB 09/25/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	3.000	179.98	09/10/20	333.00	...	-153.02	1 of 3 - Option sale to close-call ²⁵
	1.000	60.00	09/10/20	111.00	...	-51.00	2 of 3 - Option sale to close-call ²⁵
	1.000	60.00	09/10/20	111.00	...	-51.00	3 of 3 - Option sale to close-call ²⁵
09/11/20	5.000	299.98	Various	555.00	...	-255.02	Total of 3 transactions
MSFT 08/14/2020 PUT \$205.00 / CUSIP: / Symbol:							
08/07/20	1.000	69.98	08/06/20	72.00	...	-2.02	Option sale to close-put ²⁵
MSFT 09/11/2020 PUT \$217.50 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	125.98	09/02/20	98.00	...	27.98	1 of 2 - Option sale to close-put ²⁵
	4.000	503.97	09/02/20	392.00	...	111.97	2 of 2 - Option sale to close-put ²⁵
09/03/20	5.000	629.95	Various	490.00	...	139.95	Total of 2 transactions
MSFT 09/11/2020 PUT \$227.50 / CUSIP: / Symbol:							
09/02/20	1.000	454.97	09/02/20	380.00	...	74.97	Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 09/18/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/09/20	1.000	95.98	09/08/20	82.00	...	13.98	Option sale to close-call ²⁵
MSFT 09/25/2020 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	3.000	575.97	09/08/20	690.00	...	-114.03	1 of 2 - Option sale to close-call ²⁵
	1.000	174.98	09/08/20	230.00	...	-55.02	2 of 2 - Option sale to close-call ²⁵
09/09/20	4.000	750.95	Various	920.00	...	-169.05	Total of 2 transactions
NVAX 09/18/2020 CALL \$118.00 / CUSIP: / Symbol:							
09/15/20	1.000	185.98	09/15/20	246.00	...	-60.02	Option sale to close-call ²⁵
NVDA 09/11/2020 CALL \$532.50 / CUSIP: / Symbol:							
09/04/20	1.000	759.97	09/04/20	385.00	...	374.97	Option sale to close-call ²⁵
O 09/18/2020 PUT \$60.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	6.000	269.98	09/03/20	210.00	...	59.98	1 of 2 - Option sale to close-put ²⁵
	9.000	404.97	09/03/20	315.00	...	89.97	2 of 2 - Option sale to close-put ²⁵
09/04/20	15.000	674.95	Various	525.00	...	149.95	Total of 2 transactions
SNAP 09/11/2020 CALL \$23.50 / CUSIP: / Symbol:							
09/08/20	5.000	124.98	09/04/20	110.00	...	14.98	Option sale to close-call ²⁵
TSLA 09/18/2020 CALL \$407.00 / CUSIP: / Symbol:							
09/09/20	1.000	1,174.96	09/08/20	1,020.00	...	154.96	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$620.00 / CUSIP: / Symbol:							
09/17/20	1.000	464.97	09/17/20	420.00	...	44.97	Option sale to close-call ²⁵
TSLA 10/02/2020 CALL \$439.00 / CUSIP: / Symbol:							
09/29/20	1.000	724.97	09/29/20	815.00	...	-90.03	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/09/2020 CALL \$430.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1,343.96	10/02/20	1,325.00	...	18.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,343.96	10/02/20	1,325.00	...	18.96	2 of 2 - Option sale to close-call ²⁵
10/02/20	2.000	2,687.92	Various	2,650.00	...	37.92	Total of 2 transactions
TSLA 10/09/2020 CALL \$495.00 / CUSIP: / Symbol:							
10/02/20	1.000	444.98	10/02/20	380.00	...	64.98	Option sale to close-call ²⁵
TSLA 12/31/2020 PUT \$575.00 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	137.99	12/24/20	160.00	...	-22.01	1 of 2 - Option sale to close-put ²⁵
	3.000	413.98	12/24/20	420.00	...	-6.02	2 of 2 - Option sale to close-put ²⁵
12/24/20	4.000	551.97	Various	580.00	...	-28.03	Total of 2 transactions
TWTR 09/11/2020 CALL \$42.00 / CUSIP: / Symbol:							
09/08/20	1.000	17.98	09/04/20	31.00	...	-13.02	Option sale to close-call ²⁵
ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
06/17/20	250.000	160.04	06/15/20	153.75	...	6.29	Sale ²⁵
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
12/21/20	15.000	1,399.91	12/18/20	1,431.23	31.32 W	0.00	Sale ²⁵
AKARI THERAPEUTICS PLC ADR (0.01 USD) / CUSIP: 00972G108 / Symbol:							
07/02/20	100.000	232.32	07/01/20	225.99	...	6.33	Sale ²⁵
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
10/01/20	1.000	3,190.88	09/15/20	3,149.87	...	41.01	Sale ²⁵
10/12/20	1.000	3,390.56	10/02/20	3,135.23	...	255.33	Sale ²⁵
5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	0.003	9.37	10/21/20	9.15	...	0.22	1 of 5 - Sale ²⁵
	0.036	117.23	10/21/20	114.53	...	2.70	2 of 5 - Sale ²⁵
	0.630	2,041.81	10/21/20	2,000.00	...	41.81	3 of 5 - Sale ²⁵
	0.041	132.93	10/22/20	128.19	...	4.74	4 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.279	904.07	10/22/20	871.81	...	32.26	5 of 5 - Sale ²⁵
12/01/20	0.989	3,205.41	Various	3,123.68	...	81.73	Total of 5 transactions
12/16/20	1.000	3,238.42	12/09/20	3,155.00	...	83.42	Sale ²⁵
	Security total:	13,025.27		12,563.78	...	461.49	
ANIXA BIOSCIENCES, INC. COMMON STOCK / CUSIP: 03528H109 / Symbol:							
06/04/20	100.000	236.90	06/01/20	238.98	...	-2.08	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>9 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.800	218.14	09/02/20	233.23	...	-15.09	1 of 9 - Sale ²⁵
	1.000	121.19	09/03/20	125.97	...	-4.78	2 of 9 - Sale ²⁵
	0.000	0.02	09/04/20	0.02	...	0.00	3 of 9 - Sale ²⁵
	0.926	112.20	09/04/20	107.98	...	4.22	4 of 9 - Sale ²⁵
	0.726	88.86	09/10/20	81.81	...	7.05	5 of 9 - Sale ²⁵
	0.942	115.33	09/10/20	107.00	...	8.33	6 of 9 - Sale ²⁵
	1.274	154.40	09/10/20	143.58	...	10.82	7 of 9 - Sale ²⁵
	5.805	710.59	09/11/20	650.00	...	60.59	8 of 9 - Sale ²⁵
	1.000	122.40	09/18/20	118.08	...	4.32	9 of 9 - Sale ²⁵
10/12/20	13.473	1,643.13	Various	1,567.67	...	75.46	Total of 9 transactions
10/12/20	10.000	1,211.92	09/02/20	1,307.09	9.52 W	-85.65	Sale ²⁵
	Security total:	2,855.05		2,874.76	9.52 W	-10.19	
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
<i>13 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	710.000	209.37	06/25/20	210.23	...	-0.86	1 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.81	...	-0.06	2 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.81	...	-0.06	3 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.80	...	-0.05	4 of 13 - Sale ²⁵
	30.000	8.85	06/25/20	8.88	...	-0.03	5 of 13 - Sale ²⁵
	20.000	5.90	06/25/20	5.80	...	0.10	6 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.50	...	0.25	7 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.50	...	0.25	8 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.50	...	0.25	9 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol: (cont'd)							
	50.000	14.75	06/25/20	14.49	...	0.26	10 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.49	...	0.26	11 of 13 - Sale ²⁵
	50.000	14.75	06/25/20	14.49	...	0.26	12 of 13 - Sale ²⁵
	100.000	29.49	06/25/20	28.99	...	0.50	13 of 13 - Sale ²⁵
06/26/20	1,310.000	386.36	Various	385.29	...	1.07	Total of 13 transactions
B. RILEY PRINCIPAL MERGER CORP. II / CUSIP: 05600U106 / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	21.000	234.14	06/29/20	231.84	...	2.30	1 of 3 - Sale ²⁵
	31.000	345.64	06/29/20	342.55	...	3.09	2 of 3 - Sale ²⁵
	33.000	367.93	06/29/20	364.65	...	3.28	3 of 3 - Sale ²⁵
07/06/20	85.000	947.71	Various	939.04	...	8.67	Total of 3 transactions
BELLUS HEALTH INC. COMMON SHARES / CUSIP: 07987C204 / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	90.000	248.83	07/08/20	271.35	...	-22.52	1 of 3 - Sale ²⁵
	75.000	207.36	07/09/20	220.13	...	-12.77	2 of 3 - Sale ²⁵
	75.000	207.36	07/14/20	180.75	...	26.61	3 of 3 - Sale ²⁵
07/17/20	240.000	663.55	Various	672.23	...	-8.68	Total of 3 transactions
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
09/08/20	7.000	888.03	09/04/20	868.06	...	19.97	Sale ²⁵
BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol:							
04/29/20	1.000	311.62	04/22/20	290.68	...	20.94	Sale ²⁵
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
05/20/20	50.000	20.00	04/15/20	20.75	0.75 W	0.00	Sale ²⁵
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	50.000	25.99	06/05/20	25.70	...	0.29	1 of 2 - Sale ²⁵
	399.000	207.44	06/05/20	199.10	...	8.34	2 of 2 - Sale ²⁵
06/08/20	449.000	233.43	Various	224.80	...	8.63	Total of 2 transactions
	Security total:	253.43		245.55	0.75 W	8.63	

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Account 762038891

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BOQI INTERNATIONAL MEDICAL INC . COMMON STOCK / CUSIP: 099501108 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	200.000	398.50	07/06/20	408.00	...	-9.50	1 of 2 - Sale ²⁵
	50.000	99.62	07/07/20	96.00	...	3.62	2 of 2 - Sale ²⁵
07/09/20	250.000	498.12	Various	504.00	...	-5.88	Total of 2 transactions
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
06/19/20	150.000	67.78	06/18/20	66.00	...	1.78	Sale ²⁵
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
06/22/20	165.000	229.40	06/19/20	249.15	...	-19.75	Sale ²⁵
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	5.000	22.50	07/06/20	19.35	...	3.15	1 of 2 - Sale ²⁵
	95.000	427.49	07/06/20	367.65	...	59.84	2 of 2 - Sale ²⁵
07/06/20	100.000	449.99	Various	387.00	...	62.99	Total of 2 transactions
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	12.66	07/08/20	10.59	...	2.07	1 of 2 - Sale ²⁵
	97.000	409.33	07/08/20	342.41	...	66.92	2 of 2 - Sale ²⁵
07/08/20	100.000	421.99	Various	353.00	...	68.99	Total of 2 transactions
	Security total:	871.98		740.00	...	131.98	
CHIASMA, INC. COMMON STOCK / CUSIP: 16706W102 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	65.000	364.75	06/26/20	496.06	...	-131.31	1 of 2 - Sale ²⁵
	3.000	16.84	06/26/20	21.65	...	-4.81	2 of 2 - Sale ²⁵
06/29/20	68.000	381.59	Various	517.71	...	-136.12	Total of 2 transactions
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
06/08/20	100.000	302.01	06/03/20	102.00	...	200.01	Sale ²⁵
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	100.000	255.49	06/03/20	102.00	...	153.49	1 of 2 - Sale ²⁵
	200.000	501.98	06/03/20	213.00	...	288.98	2 of 2 - Sale ²⁵
06/09/20	300.000	757.47	Various	315.00	...	442.47	Total of 2 transactions

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Account 762038891

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	67.000	165.48	06/04/20	300.83	...	-135.35	1 of 2 - Sale ²⁵
	100.000	246.99	06/04/20	371.00	...	-124.01	2 of 2 - Sale ²⁵
06/10/20	167.000	412.47	Various	671.83	...	-259.36	Total of 2 transactions
06/10/20	150.000	370.49	06/04/20	702.00	221.01 W	-110.50	Sale ²⁵
06/17/20	100.000	203.99	06/15/20	458.62	...	-254.63	Sale ²⁵
	Security total:	2,046.43		2,249.45	221.01 W	17.99	
COMPANHIA SIDERURGICA NACIONAL S.A. (CSN) / CUSIP: 20440W105 / Symbol:							
05/22/20	25.000	37.25	04/20/20	33.46	...	3.79	Sale ²⁵
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	34.80	06/10/20	30.10	...	4.70	1 of 5 - Sale ²⁵
	20.000	69.60	06/10/20	53.67	...	15.93	2 of 5 - Sale ²⁵
	100.000	347.99	06/10/20	300.99	...	47.00	3 of 5 - Sale ²⁵
	80.000	278.39	06/10/20	214.70	...	63.69	4 of 5 - Sale ²⁵
	80.000	278.39	06/10/20	194.80	...	83.59	5 of 5 - Sale ²⁵
06/10/20	290.000	1,009.17	Various	794.26	...	214.91	Total of 5 transactions
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
05/29/20	5.000	176.55	04/29/20	184.45	...	-7.90	Sale ²⁵
DIREXION DAILY GOLD MINERS IND EX BEAR 2X SHARES / CUSIP: 25460G880 / Symbol:							
05/04/20	0.800	26.17	04/20/20	31.60	...	-5.43	Cash in lieu
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	6.59	07/07/20	6.26	...	0.33	1 of 2 - Sale ²⁵
	49.000	322.91	07/07/20	306.99	...	15.92	2 of 2 - Sale ²⁵
07/08/20	50.000	329.50	Various	313.25	...	16.25	Total of 2 transactions

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
06/19/20	100.000	182.18	06/17/20	198.91	4.68 W	-12.05	Sale ²⁵
	<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	15.00	07/07/20	12.98	...	2.02	1 of 2 - Sale ²⁵
	25.000	124.00	07/07/20	108.18	...	15.82	2 of 2 - Sale ²⁵
07/08/20	28.000	139.00	Various	121.16	...	17.84	Total of 2 transactions
	Security total:	321.18		320.07	4.68 W	5.79	
EVENTBRITE, INC. CLASS A COMMO N STOCK / CUSIP: 29975E109 / Symbol:							
07/14/20	35.000	304.15	07/09/20	296.63	...	7.52	Sale ²⁵
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
	<i>5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>						
	29.000	170.84	07/13/20	180.92	...	-10.08	1 of 5 - Sale ²⁵
	32.000	188.51	07/14/20	171.80	...	16.71	2 of 5 - Sale ²⁵
	15.000	88.37	07/17/20	87.28	...	1.09	3 of 5 - Sale ²⁵
	20.000	117.82	07/31/20	111.70	...	6.12	4 of 5 - Sale ²⁵
	20.000	117.82	07/31/20	110.70	...	7.12	5 of 5 - Sale ²⁵
08/03/20	116.000	683.36	Various	662.40	...	20.96	Total of 5 transactions
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V102 / Symbol:							
05/18/20	50.000	14.73	04/15/20	8.92	...	5.81	Sale ²⁵
05/29/20	325.000	106.59	05/28/20	113.75	...	-7.16	Sale ²⁵
07/06/20	300.000	128.33	07/02/20	119.79	...	8.54	Sale ²⁵
	Security total:	249.65		242.46	...	7.19	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
04/29/20	1.000	196.02	04/24/20	180.98	...	15.04	Sale ²⁵
09/29/20	2.000	518.80	09/17/20	504.94	...	13.86	Sale ²⁵
	Security total:	714.82		685.92	...	28.90	
FITBIT, INC. CLASS A COMMON ST OCK / CUSIP: 33812L102 / Symbol:							
	<i>4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>						
	80.000	521.17	07/02/20	502.19	...	18.98	1 of 4 - Sale ²⁵
	190.000	1,248.25	07/02/20	1,192.71	...	55.54	2 of 4 - Sale ²⁵

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FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol: (cont'd)							
	10.000	65.15	07/07/20	61.68	...	3.47	3 of 4 - Sale ²⁵
	10.000	65.92	07/07/20	61.67	...	4.25	4 of 4 - Sale ²⁵
07/09/20	290.000	1,900.49	Various	1,818.25	...	82.24	Total of 4 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
10/02/20	50.000	318.00	09/29/20	303.36	...	14.64	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	149.99	05/08/20	70.41	...	79.58	1 of 2 - Sale ²⁵
	150.000	224.98	05/21/20	202.50	...	22.48	2 of 2 - Sale ²⁵
05/26/20	250.000	374.97	Various	272.91	...	102.06	Total of 2 transactions
05/29/20	200.000	404.02	05/28/20	415.00	10.98 W	0.00	Sale ²⁵
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	29.000	100.63	05/28/20	56.26	...	44.37	1 of 4 - Sale ²⁵
	50.000	173.49	05/28/20	103.75	...	69.74	2 of 4 - Sale ²⁵
	75.000	260.24	05/28/20	151.86	...	108.38	3 of 4 - Sale ²⁵
	125.000	433.74	05/28/20	249.36	...	184.38	4 of 4 - Sale ²⁵
06/02/20	279.000	968.10	Various	561.23	...	406.87	Total of 4 transactions
13 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	10.000	58.20	05/28/20	18.30	...	39.90	1 of 13 - Sale ²⁵
	44.000	256.07	05/28/20	80.52	...	175.55	2 of 13 - Sale ²⁵
	46.000	267.71	05/28/20	89.24	...	178.47	3 of 13 - Sale ²⁵
	21.000	122.22	06/02/20	74.97	...	47.25	4 of 13 - Sale ²⁵
	79.000	459.76	06/02/20	282.03	...	177.73	5 of 13 - Sale ²⁵
	100.000	581.99	06/02/20	357.00	...	224.99	6 of 13 - Sale ²⁵
	25.000	160.99	06/03/20	139.25	...	21.74	7 of 13 - Sale ²⁵
	75.000	482.98	06/03/20	417.75	...	65.23	8 of 13 - Sale ²⁵
	100.000	643.97	06/03/20	557.00	...	86.97	9 of 13 - Sale ²⁵
	100.000	643.97	06/03/20	557.00	...	86.97	10 of 13 - Sale ²⁵
	100.000	784.98	06/03/20	663.00	...	121.98	11 of 13 - Sale ²⁵
	200.000	1,287.95	06/03/20	1,114.00	...	173.95	12 of 13 - Sale ²⁵
	200.000	1,569.95	06/03/20	1,366.00	...	203.95	13 of 13 - Sale ²⁵
06/03/20	1,100.000	7,320.74	Various	5,716.06	...	1,604.68	Total of 13 transactions

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
06/10/20	100.000	483.99	06/03/20	706.00	222.01 W	0.00	Sale ²⁵
		2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.					
	100.000	283.00	06/03/20	706.00	423.00 W	0.00	1 of 2 - Sale ²⁵
	100.000	297.99	06/03/20	1,129.00	831.01 W	0.00	2 of 2 - Sale ²⁵
06/23/20	200.000	580.99	Various	1,835.00	1,254.01 W	0.00	Total of 2 transactions
06/25/20	100.000	271.49	06/03/20	928.01	656.52 W	0.00	Sale ²⁵
		2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.					
	100.000	237.49	06/03/20	1,537.01	1,299.52 W	0.00	1 of 2 - Sale ²⁵
	100.000	237.49	06/04/20	1,399.52	1,162.03 W	0.00	2 of 2 - Sale ²⁵
06/26/20	200.000	474.98	Various	2,936.53	2,461.55 W	0.00	Total of 2 transactions
		18 transactions for 06/29/20. Total proceeds and cost reported to the IRS.					
	41.000	95.73	06/08/20	765.27	669.54 W	0.00	1 of 18 - Sale ²⁵
	50.000	116.75	06/08/20	917.76	801.01 W	0.00	2 of 18 - Sale ²⁵
	9.000	21.01	06/09/20	156.02	135.01 W	0.00	3 of 18 - Sale ²⁵
	1.000	2.33	06/09/20	15.96	13.63 W	0.00	4 of 18 - Sale ²⁵
	50.000	116.49	06/09/20	787.02	670.53 W	0.00	5 of 18 - Sale ²⁵
	8.000	18.64	06/11/20	154.40	135.76 W	0.00	6 of 18 - Sale ²⁵
	41.000	95.53	06/11/20	804.02	708.49 W	0.00	7 of 18 - Sale ²⁵
	2.000	4.66	06/11/20	38.60	33.94 W	0.00	8 of 18 - Sale ²⁵
	48.000	111.84	06/11/20	715.21	603.37 W	0.00	9 of 18 - Sale ²⁵
	1.000	2.33	06/11/20	14.90	12.57 W	0.00	10 of 18 - Sale ²⁵
	40.000	93.29	06/11/20	781.41	688.12 W	0.00	11 of 18 - Sale ²⁵
	9.000	20.99	06/11/20	164.94	143.95 W	0.00	12 of 18 - Sale ²⁵
	1.000	2.33	06/11/20	16.95	14.62 W	0.00	13 of 18 - Sale ²⁵
	40.000	93.29	06/11/20	669.42	576.13 W	0.00	14 of 18 - Sale ²⁵
	2.000	4.66	06/17/20	40.16	35.50 W	0.00	15 of 18 - Sale ²⁵
	8.000	18.66	06/17/20	160.64	141.98 W	0.00	16 of 18 - Sale ²⁵
	41.000	95.62	06/17/20	836.00	740.38 W	0.00	17 of 18 - Sale ²⁵
	8.000	18.65	06/17/20	132.17	113.52 W	0.00	18 of 18 - Sale ²⁵
06/29/20	400.000	932.80	Various	7,170.85	6,238.05 W	0.00	Total of 18 transactions
		14 transactions for 07/02/20. Total proceeds and cost reported to the IRS.					
	39.000	99.64	06/17/20	611.52	...	-511.88	1 of 14 - Sale ²⁵
	2.000	5.11	06/17/20	33.04	...	-27.93	2 of 14 - Sale ²⁵

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	40.000	102.19	06/18/20	801.72	...	-699.53	3 of 14 - Sale ²⁵
	40.000	102.19	06/18/20	689.73	...	-587.54	4 of 14 - Sale ²⁵
	9.000	22.99	06/18/20	169.51	...	-146.52	5 of 14 - Sale ²⁵
	9.000	22.99	06/18/20	138.69	...	-115.70	6 of 14 - Sale ²⁵
	1.000	2.55	06/18/20	17.46	...	-14.91	7 of 14 - Sale ²⁵
	1.000	2.55	06/18/20	15.41	...	-12.86	8 of 14 - Sale ²⁵
	41.000	104.75	06/22/20	850.26	...	-745.51	9 of 14 - Sale ²⁵
	8.000	20.44	06/22/20	163.42	...	-142.98	10 of 14 - Sale ²⁵
	8.000	20.44	06/22/20	134.96	...	-114.52	11 of 14 - Sale ²⁵
	2.000	5.11	06/22/20	40.86	...	-35.75	12 of 14 - Sale ²⁵
	26.000	66.43	06/22/20	69.68	...	-3.25	13 of 14 - Sale ²⁵
	51.000	130.30	06/26/20	118.82	...	11.48	14 of 14 - Sale ²⁵
07/02/20	277.000	707.68	Various	3,855.08	...	-3,147.40	Total of 14 transactions
	Security total:	12,519.76		24,396.67	10,843.12 W	-1,033.79	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
07/13/20	100.000	506.98	07/09/20	481.77	...	25.21	Sale ²⁵
07/30/20	30.000	155.85	07/09/20	144.53	...	11.32	Sale ²⁵
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	70.000	368.34	07/09/20	337.24	...	31.10	1 of 2 - Sale ²⁵
	30.000	157.86	07/14/20	142.13	...	15.73	2 of 2 - Sale ²⁵
07/31/20	100.000	526.20	Various	479.37	...	46.83	Total of 2 transactions
09/25/20	25.000	107.29	09/03/20	105.50	...	1.79	Sale ²⁵
	Security total:	1,296.32		1,211.17	...	85.15	
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
05/28/20	185.000	93.81	05/21/20	99.72	...	-5.91	Sale ²⁵
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol:							
07/07/20	50.000	126.25	06/30/20	132.75	...	-6.50	Sale ²⁵
07/08/20	50.000	132.01	06/30/20	132.75	...	-0.74	Sale ²⁵
	Security total:	258.26		265.50	...	-7.24	

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INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
06/11/20	200.000	108.78	06/10/20	151.40	21.31 W	-21.31	Sale ²⁵
	<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>						
	400.000	227.95	06/10/20	302.80	...	-74.85	1 of 2 - Sale ²⁵
	100.000	56.99	06/10/20	88.30	...	-31.31	2 of 2 - Sale ²⁵
06/12/20	500.000	284.94	Various	391.10	...	-106.16	Total of 2 transactions
	Security total:	393.72		542.50	21.31 W	-127.47	
J.JILL, INC. COMMON STOCK / CUSIP: 46620W102 / Symbol:							
06/18/20	300.000	229.67	06/17/20	228.93	...	0.74	Sale ²⁵
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
05/18/20	1.000	90.81	04/24/20	88.58	...	2.23	Sale ²⁵
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
07/30/20	100.000	1,105.59	07/30/20	1,214.33	...	-108.74	Sale ²⁵
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
	<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>						
	250.000	117.85	05/22/20	134.67	...	-16.82	1 of 2 - Sale ²⁵
	50.000	23.60	05/22/20	26.94	...	-3.34	2 of 2 - Sale ²⁵
05/29/20	300.000	141.45	Various	161.61	...	-20.16	Total of 2 transactions
	<i>3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>						
	250.000	209.21	07/01/20	231.75	...	-22.54	1 of 3 - Sale ²⁵
	100.000	83.69	07/08/20	83.49	...	0.20	2 of 3 - Sale ²⁵
	250.000	209.21	07/09/20	207.42	...	1.79	3 of 3 - Sale ²⁵
07/29/20	600.000	502.11	Various	522.66	...	-20.55	Total of 3 transactions
	Security total:	643.56		684.27	...	-40.71	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
05/27/20	20.000	52.20	05/20/20	56.55	...	-4.35	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
05/29/20	25.000	43.33	04/15/20	41.15	...	2.18	Sale ²⁵

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MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
<i>5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	90.000	193.94	05/29/20	219.15	...	-25.21	1 of 5 - Sale ²⁵
	73.000	157.30	05/29/20	179.95	...	-22.65	2 of 5 - Sale ²⁵
	26.000	56.03	05/29/20	63.31	...	-7.28	3 of 5 - Sale ²⁵
	70.000	150.84	06/01/20	161.40	...	-10.56	4 of 5 - Sale ²⁵
	100.000	215.48	06/03/20	215.50	...	-0.02	5 of 5 - Sale ²⁵
06/04/20	359.000	773.59	Various	839.31	...	-65.72	Total of 5 transactions
MID-CON ENERGY PARTNERS, LP CO MMON UNITS / CUSIP: 59560V208 / Symbol:							
06/17/20	70.000	316.74	06/16/20	351.40	...	-34.66	Sale ²⁵
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
<i>4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	148.05	05/29/20	105.67	...	42.38	1 of 4 - Sale ²⁵
	42.000	177.65	05/29/20	130.14	...	47.51	2 of 4 - Sale ²⁵
	80.000	338.39	05/29/20	225.52	...	112.87	3 of 4 - Sale ²⁵
	143.000	604.87	05/29/20	355.93	...	248.94	4 of 4 - Sale ²⁵
06/01/20	300.000	1,268.96	Various	817.26	...	451.70	Total of 4 transactions
<i>3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	12.18	05/29/20	9.30	...	2.88	1 of 3 - Sale ²⁵
	43.000	174.51	05/29/20	137.11	...	37.40	2 of 3 - Sale ²⁵
	100.000	405.84	05/29/20	341.00	...	64.84	3 of 3 - Sale ²⁵
06/02/20	146.000	592.53	Various	487.41	...	105.12	Total of 3 transactions
	Security total:	1,861.49		1,304.67	...	556.82	
MISTRAS GROUP INC. / CUSIP: 60649T107 / Symbol:							
05/21/20	15.000	60.83	04/20/20	50.55	...	10.28	Sale ²⁵
MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol:							
<i>3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.571	8.21	09/23/20	7.91	...	0.30	1 of 3 - Sale ²⁵
	1.000	14.37	09/23/20	13.87	...	0.50	2 of 3 - Sale ²⁵
	20.000	287.45	09/29/20	272.20	...	15.25	3 of 3 - Sale ²⁵
10/01/20	21.571	310.03	Various	293.98	...	16.05	Total of 3 transactions

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MY SIZE, INC. COMMON STOCK / CUSIP: 62844N208 / Symbol:							
05/28/20	300.000	302.96	05/22/20	306.00	3.04 W	0.00	Sale ²⁵
	<i>2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>						
	300.000	389.98	06/09/20	423.04	...	-33.06	1 of 2 - Sale ²⁵
	200.000	259.99	06/09/20	280.00	...	-20.01	2 of 2 - Sale ²⁵
06/10/20	500.000	649.97	Various	703.04	...	-53.07	Total of 2 transactions
	Security total:	952.93		1,009.04	3.04 W	-53.07	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
07/30/20	1.000	481.77	07/17/20	490.85	...	-9.08	Sale ²⁵
NETGEAR, INC. COMMON STOCK / CUSIP: 64111Q104 / Symbol:							
05/18/20	2.000	49.58	04/23/20	43.02	...	6.56	Sale ²⁵
NEUROMETRIX, INC. COMMON STOCK / CUSIP: 641255807 / Symbol:							
	<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>						
	90.000	168.41	06/10/20	269.10	100.69 W	0.00	1 of 2 - Sale ²⁵
	60.000	112.27	06/10/20	179.40	67.13 W	0.00	2 of 2 - Sale ²⁵
06/11/20	150.000	280.68	Various	448.50	167.82 W	0.00	Total of 2 transactions
	<i>7 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>						
	90.000	172.79	06/10/20	358.07	...	-185.28	1 of 7 - Sale ²⁵
	50.000	96.00	06/10/20	185.44	...	-89.44	2 of 7 - Sale ²⁵
	50.000	95.99	06/10/20	129.50	...	-33.51	3 of 7 - Sale ²⁵
	10.000	19.20	06/10/20	39.79	...	-20.59	4 of 7 - Sale ²⁵
	43.000	82.56	06/10/20	102.56	...	-20.00	5 of 7 - Sale ²⁵
	50.000	95.99	06/10/20	112.44	...	-16.45	6 of 7 - Sale ²⁵
	7.000	13.44	06/10/20	16.72	...	-3.28	7 of 7 - Sale ²⁵
06/12/20	300.000	575.97	Various	944.52	...	-368.55	Total of 7 transactions
	Security total:	856.65		1,393.02	167.82 W	-368.55	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
05/21/20	49.000	98.49	04/20/20	98.83	...	-0.34	Sale ²⁵

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NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
07/31/20	50.000	62.00	07/13/20	77.75	...	-15.75	Sale ²⁵
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	90.000	111.14	07/13/20	139.95	12.80 W	-16.01	1 of 2 - Sale ²⁵
	100.000	124.49	07/13/20	155.50	31.01 W	0.00	2 of 2 - Sale ²⁵
07/31/20	190.000	235.63	Various	295.45	43.81 W	-16.01	Total of 2 transactions
	5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	60.000	76.79	07/13/20	93.30	...	-16.51	1 of 5 - Sale ²⁵
	75.000	95.99	07/14/20	118.14	...	-22.15	2 of 5 - Sale ²⁵
	25.000	32.00	07/28/20	35.88	...	-3.88	3 of 5 - Sale ²⁵
	20.000	25.60	07/28/20	28.70	...	-3.10	4 of 5 - Sale ²⁵
	20.000	25.60	07/29/20	28.10	...	-2.50	5 of 5 - Sale ²⁵
08/03/20	200.000	255.98	Various	304.12	...	-48.14	Total of 5 transactions
	Security total:	553.61		677.32	43.81 W	-79.90	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	100.000	60.00	06/23/20	50.79	...	9.21	1 of 3 - Sale ²⁵
	448.000	268.79	06/23/20	227.54	...	41.25	2 of 3 - Sale ²⁵
	580.000	347.98	06/23/20	295.80	...	52.18	3 of 3 - Sale ²⁵
06/23/20	1,128.000	676.77	Various	574.13	...	102.64	Total of 3 transactions
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
09/14/20	1.000	522.98	09/09/20	493.50	...	29.48	Sale ²⁵
09/25/20	2.000	1,023.22	09/15/20	1,032.39	...	-9.17	Sale ²⁵
	Security total:	1,546.61		1,525.89	...	20.31	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
05/20/20	5.000	75.06	04/21/20	60.25	...	14.81	Sale ²⁵
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
04/29/20	50.000	16.68	04/15/20	15.50	...	1.18	Sale ²⁵
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
05/05/20	100.000	67.99	04/13/20	67.00	...	0.99	Sale ²⁵

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
5 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	45.000	467.53	09/30/20	481.50	...	-13.97	1 of 5 - Sale ²⁵
	1.000	10.39	10/01/20	9.65	...	0.74	2 of 5 - Sale ²⁵
	4.000	41.56	10/01/20	38.60	...	2.96	3 of 5 - Sale ²⁵
	9.000	93.51	10/01/20	84.06	...	9.45	4 of 5 - Sale ²⁵
	10.000	103.89	10/05/20	90.70	...	13.19	5 of 5 - Sale ²⁵
10/07/20	69.000	716.88	Various	704.51	...	12.37	Total of 5 transactions
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	10.39	09/30/20	10.70	0.31 W	0.00	1 of 2 - Sale ²⁵
	4.000	41.56	09/30/20	42.80	1.24 W	0.00	2 of 2 - Sale ²⁵
10/07/20	5.000	51.95	Various	53.50	1.55 W	0.00	Total of 2 transactions
	Security total:	768.83		758.01	1.55 W	12.37	
PARETEUM CORPORATION COMMON STOCK / CUSIP: 69946T207 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	200.000	133.84	06/10/20	169.98	...	-36.14	1 of 2 - Sale ²⁵
	100.000	66.91	06/10/20	83.14	...	-16.23	2 of 2 - Sale ²⁵
06/11/20	300.000	200.75	Various	253.12	...	-52.37	Total of 2 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
05/29/20	500.000	664.97	05/27/20	548.90	...	116.07	Sale ²⁵
06/03/20	300.000	392.99	05/29/20	411.00	...	-18.01	Sale ²⁵
	Security total:	1,057.96		959.90	...	98.06	
PFENEX INC. / CUSIP: 717071104 / Symbol:							
06/29/20	35.000	284.03	06/26/20	284.55	...	-0.52	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	4.39	04/07/20	3.77	...	0.62	1 of 4 - Sale ²⁵
	16.000	70.28	05/05/20	66.24	...	4.04	2 of 4 - Sale ²⁵
	9.000	39.53	05/14/20	34.83	...	4.70	3 of 4 - Sale ²⁵
	19.000	83.46	05/21/20	78.41	...	5.05	4 of 4 - Sale ²⁵
05/28/20	45.000	197.66	Various	183.25	...	14.41	Total of 4 transactions

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Robinhood Securities LLC

Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
08/03/20	50.000	429.15	07/31/20	380.77	...	48.38	Sale ²⁵
	Security total:	626.81		564.02	...	62.79	
PLURISTEM THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 72940R300 / Symbol:							
05/28/20	23.000	185.40	04/27/20	290.03	...	-104.63	Sale ²⁵
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
<i>3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	212.00	07/30/20	227.50	...	-15.50	1 of 3 - Sale ²⁵
	5.000	30.28	07/30/20	24.05	...	6.23	2 of 3 - Sale ²⁵
	20.000	121.15	07/30/20	95.00	...	26.15	3 of 3 - Sale ²⁵
07/30/20	60.000	363.43	Various	346.55	...	16.88	Total of 3 transactions
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
<i>3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	12.50	05/20/20	11.75	...	0.75	1 of 3 - Sale ²⁵
	75.000	37.49	05/20/20	35.25	...	2.24	2 of 3 - Sale ²⁵
	200.000	99.98	05/20/20	94.00	...	5.98	3 of 3 - Sale ²⁵
05/28/20	300.000	149.97	Various	141.00	...	8.97	Total of 3 transactions
06/03/20	300.000	155.51	05/29/20	155.61	...	-0.10	Sale ²⁵
	Security total:	305.48		296.61	...	8.87	
RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
<i>2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	319.00	08/05/20	319.80	...	-0.80	1 of 2 - Sale ²⁵
	5.000	79.75	08/05/20	78.78	...	0.97	2 of 2 - Sale ²⁵
08/06/20	25.000	398.75	Various	398.58	...	0.17	Total of 2 transactions
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
<i>4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.82	05/29/20	2.66	...	0.16	1 of 4 - Sale ²⁵
	21.000	59.01	05/29/20	55.75	...	3.26	2 of 4 - Sale ²⁵

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(continued)

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	45.000	126.44	06/01/20	113.63	...	12.81	3 of 4 - Sale ²⁵
	100.000	280.99	06/02/20	233.00	...	47.99	4 of 4 - Sale ²⁵
06/04/20	167.000	469.26	Various	405.04	...	64.22	Total of 4 transactions
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	71.000	35.42	05/05/20	45.44	...	-10.02	1 of 2 - Sale ²⁵
	100.000	49.89	05/20/20	45.31	...	4.58	2 of 2 - Sale ²⁵
05/22/20	171.000	85.31	Various	90.75	...	-5.44	Total of 2 transactions
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
05/20/20	14.000	50.29	04/14/20	30.07	...	20.22	Sale ²⁵
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	7.51	07/31/20	7.46	...	0.05	1 of 2 - Sale ²⁵
	19.000	142.69	07/31/20	141.81	...	0.88	2 of 2 - Sale ²⁵
08/03/20	20.000	150.20	Various	149.27	...	0.93	Total of 2 transactions
08/03/20	100.000	750.97	07/09/20	755.84	0.97 W	-3.90	Sale ²⁵
	Security total:	901.17		905.11	0.97 W	-2.97	
SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	100.000	271.12	06/12/20	289.50	18.38 W	0.00	1 of 2 - Sale ²⁵
	50.000	135.56	06/12/20	144.75	9.19 W	0.00	2 of 2 - Sale ²⁵
06/17/20	150.000	406.68	Various	434.25	27.57 W	0.00	Total of 2 transactions
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	273.99	06/12/20	307.88	...	-33.89	1 of 3 - Sale ²⁵
	50.000	136.99	06/17/20	138.18	...	-1.19	2 of 3 - Sale ²⁵
	25.000	68.50	06/17/20	64.50	...	4.00	3 of 3 - Sale ²⁵
06/18/20	175.000	479.48	Various	510.56	...	-31.08	Total of 3 transactions
	Security total:	886.16		944.81	27.57 W	-31.08	

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(continued)

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
05/29/20	300.000	136.67	05/27/20	149.28	...	-12.61	Sale ²⁵
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
07/30/20	50.000	104.57	07/29/20	105.50	...	-0.93	Sale ²⁵
SIX FLAGS ENTERTAINMENT CORP. / CUSIP: 83001A102 / Symbol:							
05/22/20	15.000	350.57	04/29/20	314.10	...	36.47	Sale ²⁵
08/04/20	20.000	346.70	08/03/20	325.34	...	21.36	Sale ²⁵
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	311.59	09/29/20	310.93	...	0.66	1 of 2 - Sale ²⁵
	5.000	103.87	10/01/20	98.33	...	5.54	2 of 2 - Sale ²⁵
10/02/20	20.000	415.46	Various	409.26	...	6.20	Total of 2 transactions
	Security total:	1,112.73		1,048.70	...	64.03	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
08/12/20	5.000	109.50	07/30/20	112.53	3.03 W	0.00	Sale ²⁵
08/21/20	20.000	433.81	07/30/20	450.10	16.29 W	0.00	Sale ²⁵
08/26/20	10.000	211.60	07/30/20	225.05	13.45 W	0.00	Sale ²⁵
<i>9 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	340.37	07/30/20	337.57	...	2.80	1 of 9 - Sale ²⁵
	5.000	113.45	07/31/20	114.90	...	-1.45	2 of 9 - Sale ²⁵
	5.000	113.46	07/31/20	113.87	...	-0.41	3 of 9 - Sale ²⁵
	5.000	113.45	08/03/20	109.82	...	3.63	4 of 9 - Sale ²⁵
	5.000	113.46	08/03/20	107.17	...	6.29	5 of 9 - Sale ²⁵
	10.000	226.91	08/03/20	218.40	...	8.51	6 of 9 - Sale ²⁵
	5.000	113.45	08/06/20	113.47	...	-0.02	7 of 9 - Sale ²⁵
	5.000	113.46	08/06/20	106.75	...	6.71	8 of 9 - Sale ²⁵
	5.000	113.45	08/06/20	106.10	...	7.35	9 of 9 - Sale ²⁵
09/02/20	60.000	1,361.46	Various	1,328.05	...	33.41	Total of 9 transactions
	Security total:	2,116.37		2,115.73	32.77 W	33.41	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
10/05/20	1.000	240.01	10/02/20	231.25	...	8.76	Sale ²⁵

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(continued)

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SOLITARIO ZINC CORP / CUSIP: 8342EP107 / Symbol:							
06/10/20	700.000	245.90	06/09/20	279.93	...	-34.03	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
<i>4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	124.82	08/18/20	213.00	...	-88.18	1 of 4 - Sale ²⁵
	15.000	93.62	08/21/20	157.65	...	-64.03	2 of 4 - Sale ²⁵
	10.000	62.41	08/21/20	104.60	...	-42.19	3 of 4 - Sale ²⁵
	20.000	124.82	08/24/20	171.20	...	-46.38	4 of 4 - Sale ²⁵
09/04/20	65.000	405.67	Various	646.45	...	-240.78	Total of 4 transactions
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
05/27/20	10.000	124.15	04/29/20	152.00	...	-27.85	Sale ²⁵
05/27/20	20.000	248.30	04/29/20	310.35	43.44 W	-18.61	Sale ²⁵
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	52.40	04/29/20	73.21	...	-20.81	1 of 2 - Sale ²⁵
	10.000	131.00	05/08/20	133.01	...	-2.01	2 of 2 - Sale ²⁵
05/29/20	14.000	183.40	Various	206.22	...	-22.82	Total of 2 transactions
	Security total:	555.85		668.57	43.44 W	-69.28	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
<i>8 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	918.29	09/02/20	892.51	...	25.78	1 of 8 - Sale ²⁵
	1.000	459.14	09/02/20	428.28	...	30.86	2 of 8 - Sale ²⁵
	2.000	918.28	09/02/20	881.32	...	36.96	3 of 8 - Sale ²⁵
	0.390	178.85	09/03/20	160.00	...	18.85	4 of 8 - Sale ²⁵
	0.390	178.90	09/03/20	158.58	...	20.32	5 of 8 - Sale ²⁵
	0.610	280.17	09/03/20	248.42	...	31.75	6 of 8 - Sale ²⁵
	1.000	459.03	09/04/20	374.93	...	84.10	7 of 8 - Sale ²⁵
	2.000	918.05	09/08/20	683.69	...	234.36	8 of 8 - Sale ²⁵
09/15/20	9.390	4,310.71	Various	3,827.73	...	482.98	Total of 8 transactions
<i>2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	439.03	10/02/20	422.59	...	16.44	1 of 2 - Sale ²⁵
	1.000	439.03	10/02/20	418.45	...	20.58	2 of 2 - Sale ²⁵
10/12/20	2.000	878.06	Various	841.04	...	37.02	Total of 2 transactions

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
Security total:		5,188.77		4,668.77	...	520.00	
THE ODP CORPORATION COMMON STO CK / CUSIP: 88337F105 / Symbol:							
07/01/20	10.000	222.80	06/30/20	223.75	...	-0.95	Sale ²⁵
TRIUMPH GROUP, INC. / CUSIP: 896818101 / Symbol:							
05/26/20	5.000	31.00	04/21/20	31.18	0.18 W	0.00	Sale ²⁵
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	10.000	77.40	05/28/20	109.80	...	-32.40	1 of 2 - Sale ²⁵
	5.000	38.70	05/28/20	55.08	...	-16.38	2 of 2 - Sale ²⁵
05/29/20	15.000	116.10	Various	164.88	...	-48.78	Total of 2 transactions
Security total:		147.10		196.06	0.18 W	-48.78	
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	17.000	253.29	07/09/20	103.53	...	149.76	1 of 2 - Sale ²⁵
	80.000	1,191.96	07/09/20	502.25	...	689.71	2 of 2 - Sale ²⁵
07/30/20	97.000	1,445.25	Various	605.78	...	839.47	Total of 2 transactions
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	158.00	07/30/20	154.90	...	3.10	1 of 3 - Sale ²⁵
	35.000	552.98	07/30/20	549.50	...	3.48	2 of 3 - Sale ²⁵
	10.000	157.99	07/30/20	154.28	...	3.71	3 of 3 - Sale ²⁵
07/31/20	55.000	868.97	Various	858.68	...	10.29	Total of 3 transactions
8 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	3.000	43.38	08/03/20	46.00	...	-2.62	1 of 8 - Sale ²⁵
	3.000	43.38	08/03/20	45.69	...	-2.31	2 of 8 - Sale ²⁵
	4.000	57.44	08/03/20	58.96	...	-1.52	3 of 8 - Sale ²⁵
	1.000	14.46	08/03/20	15.36	...	-0.90	4 of 8 - Sale ²⁵
	2.000	28.92	08/03/20	28.30	...	0.62	5 of 8 - Sale ²⁵
	4.000	57.44	08/03/20	56.56	...	0.88	6 of 8 - Sale ²⁵
	2.000	28.92	08/03/20	27.93	...	0.99	7 of 8 - Sale ²⁵
	4.000	57.44	08/03/20	55.86	...	1.58	8 of 8 - Sale ²⁵
08/05/20	23.000	331.38	Various	334.66	...	-3.28	Total of 8 transactions

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TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol: (cont'd)							
<i>5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	129.24	07/31/20	149.92	6.89 W	-13.79	1 of 5 - Sale ²⁵
	21.000	297.78	07/31/20	326.97	29.19 W	0.00	2 of 5 - Sale ²⁵
	9.000	127.62	07/31/20	138.56	10.94 W	0.00	3 of 5 - Sale ²⁵
	1.000	14.36	07/31/20	16.78	2.42 W	0.00	4 of 5 - Sale ²⁵
	3.000	43.08	07/31/20	50.27	7.19 W	0.00	5 of 5 - Sale ²⁵
08/05/20	43.000	612.08	Various	682.50	56.63 W	-13.79	Total of 5 transactions
09/02/20	1.000	18.71	08/03/20	14.15	...	4.56	Sale ²⁵
	Security total:	3,276.39		2,495.77	56.63 W	837.25	
UNDER ARMOUR, INC. CLASS C COMMON STOCK, \$0.0003 1/3 PAR VALUE / CUSIP: 904311206 / Symbol:							
<i>3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	93.20	08/03/20	88.15	...	5.05	1 of 3 - Sale ²⁵
	10.000	93.20	08/03/20	88.15	...	5.05	2 of 3 - Sale ²⁵
	25.000	233.00	08/03/20	222.75	...	10.25	3 of 3 - Sale ²⁵
08/05/20	45.000	419.40	Various	399.05	...	20.35	Total of 3 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
05/27/20	20.000	604.29	04/14/20	612.60	3.74 W	-4.57	Sale ²⁵
<i>3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	148.31	04/14/20	153.15	...	-4.84	1 of 3 - Sale ²⁵
	5.000	148.31	04/29/20	158.68	...	-10.37	2 of 3 - Sale ²⁵
	4.000	118.66	05/08/20	97.30	...	21.36	3 of 3 - Sale ²⁵
06/01/20	14.000	415.28	Various	409.13	...	6.15	Total of 3 transactions
	Security total:	1,019.57		1,021.73	3.74 W	1.58	
VAPOTHERM, INC. COMMON STOCK / CUSIP: 922107107 / Symbol:							
08/24/20	13.000	385.65	08/05/20	408.53	22.88 W	0.00	Sale ²⁵
<i>8 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	246.02	08/05/20	257.79	...	-11.77	1 of 8 - Sale ²⁵
	5.000	153.76	08/05/20	164.87	...	-11.11	2 of 8 - Sale ²⁵
	5.000	153.76	08/05/20	158.27	...	-4.51	3 of 8 - Sale ²⁵
	2.000	61.51	08/05/20	63.62	...	-2.11	4 of 8 - Sale ²⁵
	2.000	61.51	08/05/20	60.93	...	0.58	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAPOTHERM, INC. COMMON STOCK / CUSIP: 922107107 / Symbol: (cont'd)							
	2.000	61.51	08/07/20	60.37	...	1.14	6 of 8 - Sale ²⁵
	1.000	30.75	08/12/20	29.47	...	1.28	7 of 8 - Sale ²⁵
	5.000	153.76	08/12/20	146.35	...	7.41	8 of 8 - Sale ²⁵
09/02/20	30.000	922.58	Various	941.67	...	-19.09	Total of 8 transactions
<i>5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	213.19	08/05/20	219.97	6.78 W	0.00	1 of 5 - Sale ²⁵
	5.000	152.28	08/05/20	163.73	11.45 W	0.00	2 of 5 - Sale ²⁵
	8.000	243.65	08/05/20	261.04	17.39 W	0.00	3 of 5 - Sale ²⁵
	2.000	60.91	08/05/20	61.74	0.83 W	0.00	4 of 5 - Sale ²⁵
	8.000	243.65	08/05/20	246.72	3.07 W	0.00	5 of 5 - Sale ²⁵
09/02/20	30.000	913.68	Various	953.20	39.52 W	0.00	Total of 5 transactions
Security total:		2,221.91		2,303.40	62.40 W	-19.09	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
<i>5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	231.87	06/26/20	275.25	...	-43.38	1 of 5 - Sale ²⁵
	5.000	46.37	06/26/20	62.40	...	-16.03	2 of 5 - Sale ²⁵
	25.000	231.25	06/29/20	281.51	...	-50.26	3 of 5 - Sale ²⁵
	14.000	129.85	06/29/20	174.45	...	-44.60	4 of 5 - Sale ²⁵
	5.000	46.25	06/29/20	62.30	...	-16.05	5 of 5 - Sale ²⁵
06/30/20	74.000	685.59	Various	855.91	...	-170.32	Total of 5 transactions
<i>4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	31.000	287.51	06/26/20	402.38	92.64 W	-22.23	1 of 4 - Sale ²⁵
	50.000	378.75	06/26/20	513.25	134.50 W	0.00	2 of 4 - Sale ²⁵
	31.000	250.33	06/26/20	318.22	67.89 W	0.00	3 of 4 - Sale ²⁵
	19.000	153.42	06/26/20	246.62	93.20 W	0.00	4 of 4 - Sale ²⁵
06/30/20	131.000	1,070.01	Various	1,480.47	388.23 W	-22.23	Total of 4 transactions
07/01/20	1.000	8.09	06/29/20	9.75	1.66 W	0.00	Sale ²⁵
<i>16 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	84.52	08/12/20	208.59	...	-124.07	1 of 16 - Sale ²⁵
	1.000	5.63	08/12/20	15.40	...	-9.77	2 of 16 - Sale ²⁵
	1.000	5.63	08/12/20	13.73	...	-8.10	3 of 16 - Sale ²⁵
	13.000	73.25	08/21/20	170.95	...	-97.70	4 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	5.000	28.17	08/21/20	64.53	...	-36.36	5 of 16 - Sale ²⁵
	2.000	11.27	08/21/20	25.92	...	-14.65	6 of 16 - Sale ²⁵
	10.000	56.35	08/24/20	115.60	...	-59.25	7 of 16 - Sale ²⁵
	7.000	39.45	08/24/20	80.55	...	-41.10	8 of 16 - Sale ²⁵
	7.000	39.45	08/24/20	77.39	...	-37.94	9 of 16 - Sale ²⁵
	5.000	28.17	08/24/20	57.95	...	-29.78	10 of 16 - Sale ²⁵
	3.000	16.90	08/24/20	33.31	...	-16.41	11 of 16 - Sale ²⁵
	1.000	5.63	08/24/20	11.35	...	-5.72	12 of 16 - Sale ²⁵
	8.000	45.08	08/26/20	87.88	...	-42.80	13 of 16 - Sale ²⁵
	3.000	16.91	08/26/20	34.04	...	-17.13	14 of 16 - Sale ²⁵
	9.000	50.71	08/26/20	63.05	...	-12.34	15 of 16 - Sale ²⁵
	10.000	56.35	08/26/20	67.75	...	-11.40	16 of 16 - Sale ²⁵
09/02/20	100.000	563.47	Various	1,127.99	...	-564.52	Total of 16 transactions
	9 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	4.97	07/31/20	11.41	6.44 W	0.00	1 of 9 - Sale ²⁵
	29.000	144.27	07/31/20	282.90	138.63 W	0.00	2 of 9 - Sale ²⁵
	2.000	9.95	07/31/20	19.13	9.18 W	0.00	3 of 9 - Sale ²⁵
	10.000	49.75	07/31/20	95.10	45.35 W	0.00	4 of 9 - Sale ²⁵
	10.000	49.75	07/31/20	94.80	45.05 W	0.00	5 of 9 - Sale ²⁵
	7.000	34.82	07/31/20	65.98	31.16 W	0.00	6 of 9 - Sale ²⁵
	10.000	49.75	08/04/20	90.25	40.50 W	0.00	7 of 9 - Sale ²⁵
	4.000	19.90	08/06/20	37.28	17.38 W	0.00	8 of 9 - Sale ²⁵
	8.000	39.80	08/12/20	71.64	31.84 W	0.00	9 of 9 - Sale ²⁵
09/02/20	81.000	402.96	Various	768.49	365.53 W	0.00	Total of 9 transactions
	Security total:	2,730.12		4,242.61	755.42 W	-757.07	
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
07/13/20	200.000	140.98	07/07/20	157.52	8.27 W	-8.27	Sale ²⁵
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	300.000	216.06	07/07/20	236.28	...	-20.22	1 of 2 - Sale ²⁵
	100.000	72.01	07/09/20	80.64	...	-8.63	2 of 2 - Sale ²⁵
07/14/20	400.000	288.07	Various	316.92	...	-28.85	Total of 2 transactions
	Security total:	429.05		474.44	8.27 W	-37.12	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	100.000	93.69	04/30/20	51.01	...	42.68	1 of 2 - Sale ²⁵
	202.000	189.24	04/30/20	103.04	...	86.20	2 of 2 - Sale ²⁵
05/22/20	302.000	282.93	Various	154.05	...	128.88	Total of 2 transactions
05/29/20	340.000	285.73	05/28/20	298.32	...	-12.59	Sale ²⁵
	Security total:	568.66		452.37	...	116.29	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
05/29/20	1,500.000	234.41	05/27/20	232.35	...	2.06	Sale ²⁵
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
06/15/20	200.000	114.30	06/12/20	150.88	...	-36.58	Sale ²⁵
MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol:							
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	100.000	240.00	06/10/20	389.00	...	-149.00	1 of 4 - Sale ²⁵
	50.000	120.00	06/10/20	161.50	...	-41.50	2 of 4 - Sale ²⁵
	35.000	84.00	06/10/20	116.20	...	-32.20	3 of 4 - Sale ²⁵
	5.000	12.00	06/10/20	16.50	...	-4.50	4 of 4 - Sale ²⁵
06/12/20	190.000	456.00	Various	683.20	...	-227.20	Total of 4 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
05/21/20	10.000	141.30	05/04/20	143.40	...	-2.10	Sale ²⁵
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
06/04/20	150.000	90.13	05/04/20	191.99	...	-101.86	Sale ²⁵
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol:							
05/18/20	100.000	25.49	04/20/20	24.67	...	0.82	Sale ²⁵
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol:							
47 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	150.000	40.50	06/18/20	52.86	12.36 W	0.00	1 of 47 - Sale ²⁵
	50.000	13.50	06/18/20	22.62	9.12 W	0.00	2 of 47 - Sale ²⁵
	130.000	35.08	06/18/20	58.79	23.71 W	0.00	3 of 47 - Sale ²⁵

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GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	20.000	5.40	06/18/20	9.05	3.65 W	0.00	4 of 47 - Sale ²⁵
	80.000	21.59	06/18/20	36.18	14.59 W	0.00	5 of 47 - Sale ²⁵
	100.000	26.99	06/18/20	45.23	18.24 W	0.00	6 of 47 - Sale ²⁵
	20.000	5.40	06/18/20	9.05	3.65 W	0.00	7 of 47 - Sale ²⁵
	80.000	21.59	06/18/20	36.19	14.60 W	0.00	8 of 47 - Sale ²⁵
	100.000	26.99	06/18/20	45.24	18.25 W	0.00	9 of 47 - Sale ²⁵
	70.000	18.89	06/18/20	31.66	12.77 W	0.00	10 of 47 - Sale ²⁵
	200.000	54.00	06/18/20	70.48	16.48 W	0.00	11 of 47 - Sale ²⁵
	200.000	54.00	06/18/20	70.48	16.48 W	0.00	12 of 47 - Sale ²⁵
	300.000	80.99	06/18/20	105.72	24.73 W	0.00	13 of 47 - Sale ²⁵
	30.000	8.10	06/24/20	16.90	8.80 W	0.00	14 of 47 - Sale ²⁵
	20.000	5.40	06/24/20	11.26	5.86 W	0.00	15 of 47 - Sale ²⁵
	80.000	21.59	06/24/20	45.05	23.46 W	0.00	16 of 47 - Sale ²⁵
	20.000	5.40	06/24/20	11.27	5.87 W	0.00	17 of 47 - Sale ²⁵
	80.000	21.59	06/24/20	45.05	23.46 W	0.00	18 of 47 - Sale ²⁵
	50.000	13.49	06/24/20	28.16	14.67 W	0.00	19 of 47 - Sale ²⁵
	100.000	26.99	06/24/20	56.32	29.33 W	0.00	20 of 47 - Sale ²⁵
	20.000	5.40	06/24/20	11.27	5.87 W	0.00	21 of 47 - Sale ²⁵
	80.000	21.59	06/24/20	45.06	23.47 W	0.00	22 of 47 - Sale ²⁵
	100.000	26.99	06/24/20	56.33	29.34 W	0.00	23 of 47 - Sale ²⁵
	50.000	13.50	06/24/20	28.16	14.66 W	0.00	24 of 47 - Sale ²⁵
	20.000	5.40	06/24/20	11.27	5.87 W	0.00	25 of 47 - Sale ²⁵
	50.000	13.49	06/24/20	23.16	9.67 W	0.00	26 of 47 - Sale ²⁵
	30.000	8.10	06/24/20	13.90	5.80 W	0.00	27 of 47 - Sale ²⁵
	100.000	26.99	06/24/20	46.32	19.33 W	0.00	28 of 47 - Sale ²⁵
	20.000	5.40	06/24/20	9.26	3.86 W	0.00	29 of 47 - Sale ²⁵
	80.000	21.59	06/24/20	30.46	8.87 W	0.00	30 of 47 - Sale ²⁵
	100.000	26.99	06/24/20	38.08	11.09 W	0.00	31 of 47 - Sale ²⁵
	100.000	26.99	06/24/20	38.08	11.09 W	0.00	32 of 47 - Sale ²⁵
	162.000	43.72	06/24/20	61.69	17.97 W	0.00	33 of 47 - Sale ²⁵
	208.000	56.14	06/24/20	79.21	23.07 W	0.00	34 of 47 - Sale ²⁵
	20.000	5.40	06/26/20	11.37	5.97 W	0.00	35 of 47 - Sale ²⁵
	30.000	8.10	06/26/20	17.07	8.97 W	0.00	36 of 47 - Sale ²⁵
	50.000	13.49	06/26/20	28.45	14.96 W	0.00	37 of 47 - Sale ²⁵

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GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	20.000	5.40	06/26/20	11.38	5.98 W	0.00	38 of 47 - Sale ²⁵
	30.000	8.10	06/26/20	17.07	8.97 W	0.00	39 of 47 - Sale ²⁵
	50.000	13.49	06/26/20	28.46	14.97 W	0.00	40 of 47 - Sale ²⁵
	20.000	5.39	06/26/20	11.38	5.99 W	0.00	41 of 47 - Sale ²⁵
	80.000	21.59	06/26/20	45.52	23.93 W	0.00	42 of 47 - Sale ²⁵
	15.000	4.05	06/26/20	8.54	4.49 W	0.00	43 of 47 - Sale ²⁵
	20.000	5.40	06/26/20	11.38	5.98 W	0.00	44 of 47 - Sale ²⁵
	65.000	17.54	06/26/20	36.98	19.44 W	0.00	45 of 47 - Sale ²⁵
	20.000	5.40	06/26/20	11.38	5.98 W	0.00	46 of 47 - Sale ²⁵
	80.000	21.59	06/26/20	45.53	23.94 W	0.00	47 of 47 - Sale ²⁵
06/26/20	3,500.000	944.71	Various	1,584.32	639.61 W	0.00	Total of 47 transactions
	6 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	80.000	20.55	06/29/20	45.04	...	-24.49	1 of 6 - Sale ²⁵
	120.000	30.82	06/29/20	44.96	...	-14.14	2 of 6 - Sale ²⁵
	100.000	25.68	06/29/20	37.46	...	-11.78	3 of 6 - Sale ²⁵
	20.000	5.14	06/29/20	11.25	...	-6.11	4 of 6 - Sale ²⁵
	20.000	5.14	06/29/20	11.25	...	-6.11	5 of 6 - Sale ²⁵
	30.000	7.71	06/29/20	11.24	...	-3.53	6 of 6 - Sale ²⁵
07/07/20	370.000	95.04	Various	161.20	...	-66.16	Total of 6 transactions
	23 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	20.000	5.00	06/26/20	11.37	6.37 W	0.00	1 of 23 - Sale ²⁵
	80.000	19.99	06/26/20	45.53	25.54 W	0.00	2 of 23 - Sale ²⁵
	20.000	5.00	06/26/20	11.38	6.38 W	0.00	3 of 23 - Sale ²⁵
	30.000	7.50	06/26/20	17.07	9.57 W	0.00	4 of 23 - Sale ²⁵
	50.000	12.49	06/26/20	23.46	10.97 W	0.00	5 of 23 - Sale ²⁵
	30.000	7.50	06/26/20	14.07	6.57 W	0.00	6 of 23 - Sale ²⁵
	70.000	17.49	06/26/20	32.83	15.34 W	0.00	7 of 23 - Sale ²⁵
	20.000	5.00	06/26/20	9.37	4.37 W	0.00	8 of 23 - Sale ²⁵
	30.000	7.50	06/26/20	14.07	6.57 W	0.00	9 of 23 - Sale ²⁵
	50.000	12.49	06/26/20	19.33	6.84 W	0.00	10 of 23 - Sale ²⁵
	30.000	7.50	06/26/20	11.60	4.10 W	0.00	11 of 23 - Sale ²⁵
	70.000	17.49	06/26/20	27.06	9.57 W	0.00	12 of 23 - Sale ²⁵
	65.000	16.70	06/29/20	36.58	7.65 W	-12.23	13 of 23 - Sale ²⁵
	20.000	5.05	06/29/20	11.24	6.19 W	0.00	14 of 23 - Sale ²⁵

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	20.000	5.05	06/29/20	11.25	6.20 W	0.00	15 of 23 - Sale ²⁵
	30.000	7.57	06/29/20	16.88	9.31 W	0.00	16 of 23 - Sale ²⁵
	30.000	7.57	06/29/20	16.88	9.31 W	0.00	17 of 23 - Sale ²⁵
	50.000	12.62	06/29/20	28.15	15.53 W	0.00	18 of 23 - Sale ²⁵
	50.000	12.62	06/29/20	28.16	15.54 W	0.00	19 of 23 - Sale ²⁵
	50.000	12.62	06/29/20	28.14	15.52 W	0.00	20 of 23 - Sale ²⁵
	15.000	3.85	06/29/20	8.45	4.60 W	0.00	21 of 23 - Sale ²⁵
	20.000	5.14	06/29/20	11.26	6.12 W	0.00	22 of 23 - Sale ²⁵
	30.000	7.71	06/29/20	16.89	9.18 W	0.00	23 of 23 - Sale ²⁵
07/07/20	880.000	221.45	Various	451.02	217.34 W	-12.23	Total of 23 transactions
	31 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	50.000	12.69	06/29/20	29.08	...	-16.39	1 of 31 - Sale ²⁵
	100.000	25.39	06/29/20	37.46	...	-12.07	2 of 31 - Sale ²⁵
	30.000	7.62	06/29/20	17.44	...	-9.82	3 of 31 - Sale ²⁵
	58.000	14.73	06/29/20	21.73	...	-7.00	4 of 31 - Sale ²⁵
	50.000	12.70	06/29/20	18.73	...	-6.03	5 of 31 - Sale ²⁵
	42.000	10.66	06/29/20	15.73	...	-5.07	6 of 31 - Sale ²⁵
	10.000	2.54	06/29/20	5.81	...	-3.27	7 of 31 - Sale ²⁵
	70.000	18.19	06/30/20	33.65	...	-15.46	8 of 31 - Sale ²⁵
	40.000	10.15	06/30/20	22.88	...	-12.73	9 of 31 - Sale ²⁵
	30.000	7.61	06/30/20	17.41	...	-9.80	10 of 31 - Sale ²⁵
	70.000	18.19	06/30/20	27.87	...	-9.68	11 of 31 - Sale ²⁵
	30.000	7.62	06/30/20	17.16	...	-9.54	12 of 31 - Sale ²⁵
	40.000	10.40	06/30/20	19.23	...	-8.83	13 of 31 - Sale ²⁵
	50.000	12.99	06/30/20	19.91	...	-6.92	14 of 31 - Sale ²⁵
	30.000	7.80	06/30/20	14.42	...	-6.62	15 of 31 - Sale ²⁵
	30.000	7.80	06/30/20	14.41	...	-6.61	16 of 31 - Sale ²⁵
	20.000	5.08	06/30/20	11.61	...	-6.53	17 of 31 - Sale ²⁵
	20.000	5.08	06/30/20	11.42	...	-6.34	18 of 31 - Sale ²⁵
	20.000	5.20	06/30/20	9.60	...	-4.40	19 of 31 - Sale ²⁵
	30.000	7.80	06/30/20	11.95	...	-4.15	20 of 31 - Sale ²⁵
	10.000	2.54	06/30/20	5.80	...	-3.26	21 of 31 - Sale ²⁵
	10.000	2.54	06/30/20	4.81	...	-2.27	22 of 31 - Sale ²⁵
	50.000	12.99	07/01/20	28.29	...	-15.30	23 of 31 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 762038891

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	50.000	12.99	07/01/20	28.27	...	-15.28	24 of 31 - Sale ²⁵
	30.000	7.80	07/01/20	16.96	...	-9.16	25 of 31 - Sale ²⁵
	30.000	7.80	07/01/20	16.83	...	-9.03	26 of 31 - Sale ²⁵
	25.000	6.50	07/01/20	14.02	...	-7.52	27 of 31 - Sale ²⁵
	20.000	5.20	07/01/20	11.30	...	-6.10	28 of 31 - Sale ²⁵
	20.000	5.20	07/01/20	11.22	...	-6.02	29 of 31 - Sale ²⁵
	15.000	3.89	07/01/20	8.43	...	-4.54	30 of 31 - Sale ²⁵
	10.000	2.60	07/01/20	5.66	...	-3.06	31 of 31 - Sale ²⁵
07/09/20	1,090.000	280.29	Various	529.09	...	-248.80	Total of 31 transactions
	Security total:	1,541.49		2,725.63	856.95 W	-327.19	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
05/22/20	50.000	7.14	04/15/20	11.24	...	-4.10	Sale ²⁵
Totals :		101,111.86		114,664.76	13,196.27 W	-356.63	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 762038891

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SM ENERGY COMPANY	78454L100		05/08/20	0.14	Qualified dividend	
				0.14	Total Dividends & distributions	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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