

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 555910876</b>	Statement Date: 02/14/2021 Document ID: 2385 21V 8707	2020
	Sai krishna Koneru 42 Berkshire Pl Apt 4 Hackensack, NJ 07601  RECIPIENT'S TIN: XXX-XX-4222		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	27,982.42	29,039.15	0.00	170.12	-886.61
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>27,982.42</b>	<b>29,039.15</b>	<b>0.00</b>	<b>170.12</b>	<b>-886.61</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>27,982.42</b>	<b>29,039.15</b>	<b>0.00</b>	<b>170.12</b>	<b>-886.61</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/08/2021 PUT \$131.00 / CUSIP: / Symbol:							
12/31/20	1.000	188.98	12/31/20	168.00	...	20.98	Option sale to close-put <sup>25</sup>
AAPL 02/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
11/25/20	1.000	209.98	11/24/20	193.00	...	16.98	Option sale to close-call <sup>25</sup>
AAPL 05/01/2020 CALL \$305.00 / CUSIP: / Symbol:							
04/30/20	1.000	174.98	04/30/20	166.00	...	8.98	Option sale to close-call <sup>25</sup>
AAPL 05/08/2020 CALL \$305.00 / CUSIP: / Symbol:							
05/06/20	1.000	177.98	05/06/20	121.00	...	56.98	Option sale to close-call <sup>25</sup>
AAPL 05/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/12/20	1.000	269.98	05/12/20	246.00	...	23.98	Option sale to close-call <sup>25</sup>
05/13/20	1.000	107.98	05/12/20	229.00	...	-121.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>377.96</b>		<b>475.00</b>	...	<b>-97.04</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 09/18/2020 CALL \$122.50 / CUSIP: / Symbol: 09/14/20	1.000	47.98	09/11/20	47.00	...	0.98	Option sale to close-call <sup>25</sup>
AAPL 10/02/2020 CALL \$115.00 / CUSIP: / Symbol: 09/28/20	1.000	179.98	09/28/20	169.00	...	10.98	Option sale to close-call <sup>25</sup>
AAPL 10/23/2020 CALL \$117.00 / CUSIP: / Symbol: 10/12/20	1.000	569.97	10/07/20	349.00	...	220.97	Option sale to close-call <sup>25</sup>
AAPL 10/23/2020 CALL \$118.00 / CUSIP: / Symbol: 10/13/20	1.000	-199.02	10/12/20	0.00	...	-199.02	Short sale closed- call <sup>25</sup> Option written: 10/07/20
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol: 10/16/20	1.000	299.98	10/16/20	313.00	...	-13.02	Option sale to close-call <sup>25</sup>
AAPL 11/06/2020 CALL \$115.00 / CUSIP: / Symbol: 10/29/20	1.000	399.98	10/28/20	401.00	...	-1.02	Option sale to close-call <sup>25</sup>
AAPL 11/06/2020 CALL \$117.00 / CUSIP: / Symbol: 10/30/20	1.000	-2.02	10/29/20	0.00	...	-2.02	Short sale closed- call <sup>25</sup> Option written: 10/28/20
AMC 11/13/2020 PUT \$2.00 / CUSIP: / Symbol: 11/02/20	1.000	24.98	10/30/20	21.00	...	3.98	Option sale to close-put <sup>25</sup>
AMD 03/20/2020 PUT \$30.00 / CUSIP: / Symbol: 03/09/20	1.000	19.98	02/28/20	63.00	...	-43.02	Option sale to close-put <sup>25</sup>
AMD 04/24/2020 CALL \$58.00 / CUSIP: / Symbol: 04/22/20	1.000	43.98	04/21/20	90.00	...	-46.02	Option sale to close-call <sup>25</sup>
AMD 05/15/2020 CALL \$55.00 / CUSIP: / Symbol: 05/06/20	1.000	97.98	05/05/20	103.00	...	-5.02	Option sale to close-call <sup>25</sup>
AMD 07/10/2020 CALL \$53.00 / CUSIP: / Symbol: 07/06/20	1.000	139.98	07/02/20	80.00	...	59.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/10/2020 PUT \$51.00 / CUSIP: / Symbol:							
07/06/20	1.000	33.98	07/02/20	64.00	...	-30.02	Option sale to close-put <sup>25</sup>
ATNX 01/17/2020 CALL \$22.50 / CUSIP: / Symbol:							
01/02/20	1.000	4.98	12/30/19	10.00	...	-5.02	Option sale to close-call <sup>25</sup>
ATNX 02/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
02/21/20	2.000	0.00	12/30/19	30.00	...	-30.00	Option expiration- long call
AZN 07/24/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	07/20/20	125.00	...	-95.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.99	07/20/20	53.00	...	-23.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	59.98	Various	178.00	...	-118.02	Total of 2 transactions
BA 08/21/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/21/20	1.000	193.98	07/20/20	154.00	...	39.98	Option sale to close-call <sup>25</sup>
BABA 03/20/2020 CALL \$255.00 / CUSIP: / Symbol:							
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	01/22/20	168.00	...	-28.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	01/22/20	140.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/04/20	2.000	279.98	Various	308.00	...	-28.02	Total of 2 transactions
BABA 03/20/2020 CALL \$265.00 / CUSIP: / Symbol:							
02/05/20	1.000	65.98	02/05/20	75.00	...	-9.02	Option sale to close-call <sup>25</sup>
BAC 05/15/2020 CALL \$35.00 / CUSIP: / Symbol:							
03/10/20	1.000	4.98	02/26/20	38.00	...	-33.02	Option sale to close-call <sup>25</sup>
BBBY 10/16/2020 CALL \$12.00 / CUSIP: / Symbol:							
09/10/20	1.000	164.98	09/09/20	105.00	...	59.98	Option sale to close-call <sup>25</sup>
BBY 02/19/2021 CALL \$125.00 / CUSIP: / Symbol:							
12/07/20	1.000	144.98	12/03/20	167.00	...	-22.02	Option sale to close-call <sup>25</sup>
BNTX 12/31/2020 CALL \$107.00 / CUSIP: / Symbol:							
12/23/20	1.000	164.98	12/23/20	155.00	...	9.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 02/14/2020 CALL \$142.00 / CUSIP: / Symbol: 02/14/20	1.000	0.00	02/11/20	163.00	...	-163.00	Option expiration- long call
DIS 04/17/2020 CALL \$150.00 / CUSIP: / Symbol: 03/12/20	2.000	15.98	02/24/20	108.00	...	-92.02	Option sale to close-call <sup>25</sup>
DIS 04/17/2020 CALL \$170.00 / CUSIP: / Symbol: 03/31/20	1.000	2.98	02/06/20	46.00	...	-43.02	Option sale to close-call <sup>25</sup>
DIS 05/15/2020 CALL \$109.00 / CUSIP: / Symbol: 05/12/20	1.000	137.98	05/12/20	137.00	...	0.98	Option sale to close-call <sup>25</sup>
DIS 05/15/2020 CALL \$120.00 / CUSIP: / Symbol: 04/28/20	1.000	75.98	04/22/20	58.00	...	17.98	Option sale to close-call <sup>25</sup>
DIS 05/15/2020 CALL \$125.00 / CUSIP: / Symbol: 04/17/20	1.000	56.98	04/16/20	45.00	...	11.98	Option sale to close-call <sup>25</sup>
DIS 06/18/2021 CALL \$170.00 / CUSIP: / Symbol: 03/10/20	1.000	214.98	03/04/20	161.00	...	53.98	Option sale to close-call <sup>25</sup>
DIS 06/18/2021 CALL \$220.00 / CUSIP: / Symbol: 03/12/20	1.000	56.98	02/06/20	132.00	...	-75.02	Option sale to close-call <sup>25</sup>
DIS 07/17/2020 CALL \$120.00 / CUSIP: / Symbol: 07/09/20	1.000	161.98	07/08/20	154.00	...	7.98	Option sale to close-call <sup>25</sup>
DIS 07/17/2020 CALL \$121.00 / CUSIP: / Symbol: <i>3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>	1.000	141.99	07/09/20	144.00	...	-2.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	142.00	07/09/20	144.00	...	-2.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	141.99	07/09/20	124.00	...	17.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	3.000	425.98	Various	412.00	...	13.98	Total of 3 transactions
DIS 10/09/2020 PUT \$120.00 / CUSIP: / Symbol: 10/02/20	1.000	76.98	10/02/20	94.00	...	-17.02	Option sale to close-put <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 11/06/2020 CALL \$136.00 / CUSIP: / Symbol:							
09/25/20	1.000	166.98	09/25/20	154.00	...	12.98	Option sale to close-call <sup>25</sup>
ENPH 10/16/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/13/20	1.000	39.98	10/13/20	43.00	...	-3.02	Option sale to close-call <sup>25</sup>
F 01/15/2021 CALL \$8.00 / CUSIP: / Symbol:							
10/19/20	1.000	53.98	10/16/20	61.00	...	-7.02	Option sale to close-call <sup>25</sup>
FB 04/24/2020 CALL \$192.50 / CUSIP: / Symbol:							
04/22/20	1.000	27.98	04/20/20	42.00	...	-14.02	Option sale to close-call <sup>25</sup>
FB 06/19/2020 CALL \$285.00 / CUSIP: / Symbol:							
03/12/20	1.000	13.98	02/04/20	33.00	...	-19.02	Option sale to close-call <sup>25</sup>
FB 07/10/2020 CALL \$242.50 / CUSIP: / Symbol:							
07/01/20	1.000	123.98	07/01/20	102.00	...	21.98	Option sale to close-call <sup>25</sup>
FB 07/10/2020 CALL \$257.50 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	46.99	07/02/20	50.00	...	-3.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	46.99	07/02/20	35.00	...	11.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	47.00	07/06/20	17.00	...	30.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	3.000	140.98	Various	102.00	...	38.98	Total of 3 transactions
FB 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/09/20	1.000	97.98	09/09/20	108.00	...	-10.02	Option sale to close-call <sup>25</sup>
FB 12/24/2020 CALL \$275.00 / CUSIP: / Symbol:							
12/21/20	1.000	177.98	12/21/20	159.00	...	18.98	Option sale to close-call <sup>25</sup>
FB 12/31/2020 CALL \$275.00 / CUSIP: / Symbol:							
12/22/20	1.000	309.98	12/22/20	305.00	...	4.98	Option sale to close-call <sup>25</sup>
GILD 05/08/2020 CALL \$100.00 / CUSIP: / Symbol:							
04/17/20	1.000	204.98	04/16/20	46.00	...	158.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILD 05/15/2020 CALL \$100.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	04/29/20	99.00	...	-99.00	Option expiration- long call
GILD 05/15/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/01/20	1.000	242.98	05/01/20	147.00	...	95.98	Option sale to close-call <sup>25</sup>
05/11/20	2.000	47.98	05/08/20	42.00	...	5.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>290.96</b>		<b>189.00</b>	...	<b>101.96</b>	
GILD 07/10/2020 CALL \$84.00 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	06/29/20	14.00	...	-14.00	Option expiration- long call
GIS 07/17/2020 CALL \$67.50 / CUSIP: / Symbol:							
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/29/20	15.00	...	-15.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/07/20	3.00	...	-3.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	18.00	...	-18.00	Total of 2 transactions
GIS 10/16/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/09/20	1.000	72.98	09/08/20	63.00	...	9.98	Option sale to close-call <sup>25</sup>
GLD 02/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
10/30/20	1.000	644.97	10/27/20	718.00	...	-73.03	Option sale to close-call <sup>25</sup>
GLD 02/19/2021 CALL \$182.00 / CUSIP: / Symbol:							
11/02/20	1.000	59.97	10/30/20	0.00	...	59.97	Short sale closed- call <sup>25</sup> Option written: 10/27/20
GLD 05/15/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/07/20	1.000	175.98	05/06/20	164.00	...	11.98	Option sale to close-call <sup>25</sup>
GLD 05/22/2020 PUT \$163.00 / CUSIP: / Symbol:							
05/21/20	1.000	66.98	05/20/20	48.00	...	18.98	Option sale to close-put <sup>25</sup>
HD 05/15/2020 CALL \$240.00 / CUSIP: / Symbol:							
05/11/20	1.000	131.98	05/08/20	120.00	...	11.98	Option sale to close-call <sup>25</sup>
HD 11/27/2020 CALL \$280.00 / CUSIP: / Symbol:							
11/19/20	1.000	70.98	11/19/20	59.00	...	11.98	Option sale to close-call <sup>25</sup>

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Account 555910876

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INTC 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/28/20	1.000	97.98	10/27/20	110.00	12.02 W	0.00	Option sale to close-call <sup>25</sup>
10/30/20	1.000	84.98	10/29/20	89.02	...	-4.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>182.96</b>		<b>199.02</b>	<b>12.02 W</b>	<b>-4.04</b>	
INTC 10/30/2020 CALL \$55.00 / CUSIP: / Symbol:							
10/23/20	1.000	3.98	10/21/20	117.00	...	-113.02	Option sale to close-call <sup>25</sup>
INTC 10/30/2020 PUT \$51.50 / CUSIP: / Symbol:							
10/23/20	1.000	344.98	10/21/20	88.00	...	256.98	Option sale to close-put <sup>25</sup>
JNJ 12/24/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/14/20	1.000	65.98	12/14/20	83.00	...	-17.02	Option sale to close-call <sup>25</sup>
JNJ 12/31/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/24/20	1.000	58.98	12/23/20	62.00	...	-3.02	Option sale to close-call <sup>25</sup>
MSFT 02/14/2020 CALL \$190.00 / CUSIP: / Symbol:							
02/10/20	2.000	193.98	02/07/20	222.00	...	-28.02	Option sale to close-call <sup>25</sup>
MSFT 02/14/2020 CALL \$192.50 / CUSIP: / Symbol:							
02/11/20	2.000	25.98	02/10/20	0.00	...	25.98	Short sale closed- call <sup>25</sup>
02/12/20	2.000	-100.02	02/11/20	0.00	...	-100.02	Option written: 02/07/20 Short sale closed- call <sup>25</sup> Option written: 02/07/20
	<b>Security total:</b>	<b>-74.04</b>		<b>0.00</b>	<b>...</b>	<b>-74.04</b>	
MSFT 02/14/2020 PUT \$170.00 / CUSIP: / Symbol:							
02/14/20	2.000	0.00	02/05/20	72.00	...	-72.00	Option expiration- long put
MSFT 02/21/2020 CALL \$175.00 / CUSIP: / Symbol:							
02/04/20	1.000	599.97	12/23/19	34.00	...	565.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 02/21/2020 PUT \$167.50 / CUSIP: / Symbol:							
<i>2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	14.98	02/05/20	46.00	...	-31.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	14.98	02/05/20	46.00	...	-31.02	2 of 2 - Option sale to close-put <sup>25</sup>
02/10/20	2.000	29.96	Various	92.00	...	-62.04	Total of 2 transactions
MSFT 03/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
02/11/20	1.000	235.98	02/11/20	243.00	...	-7.02	Option sale to close-call <sup>25</sup>
MSFT 03/20/2020 CALL \$210.00 / CUSIP: / Symbol:							
02/24/20	1.000	14.98	02/11/20	131.00	...	-116.02	Option sale to close-call <sup>25</sup>
MSFT 04/09/2020 CALL \$170.00 / CUSIP: / Symbol:							
04/07/20	1.000	130.98	04/07/20	79.00	...	51.98	Option sale to close-call <sup>25</sup>
MSFT 04/17/2020 CALL \$180.00 / CUSIP: / Symbol:							
03/09/20	1.000	247.98	01/21/20	185.00	...	62.98	Option sale to close-call <sup>25</sup>
MSFT 04/17/2020 CALL \$230.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	02/11/20	74.00	...	-74.00	Option expiration- long call
MSFT 04/24/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/17/20	1.000	264.98	04/17/20	271.00	...	-6.02	Option sale to close-call <sup>25</sup>
MSFT 05/08/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/05/20	1.000	113.98	04/30/20	105.00	...	8.98	Option sale to close-call <sup>25</sup>
MSFT 05/15/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/13/20	1.000	129.98	05/13/20	106.00	...	23.98	Option sale to close-call <sup>25</sup>
MSFT 06/18/2021 CALL \$250.00 / CUSIP: / Symbol:							
12/15/20	1.000	551.97	12/15/20	555.00	3.03 W	0.00	Option sale to close-call <sup>25</sup>
MSFT 06/19/2020 CALL \$200.00 / CUSIP: / Symbol:							
03/09/20	1.000	189.98	02/26/20	258.00	...	-68.02	Option sale to close-call <sup>25</sup>
MSFT 07/10/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/07/20	1.000	49.98	07/07/20	40.00	...	9.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
03/09/20	1.000	247.98	12/23/19	43.00	...	204.98	Option sale to close-call <sup>25</sup>
MSFT 07/17/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/08/20	1.000	299.98	07/07/20	310.00	...	-10.02	Option sale to close-call <sup>25</sup>
MSFT 07/17/2020 PUT \$202.50 / CUSIP: / Symbol:							
07/08/20	1.000	187.98	07/07/20	154.00	...	33.98	Option sale to close-put <sup>25</sup>
MSFT 07/24/2020 CALL \$225.00 / CUSIP: / Symbol:							
07/09/20	1.000	299.98	07/08/20	167.00	...	132.98	Option sale to close-call <sup>25</sup>
		2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.					
	1.000	128.99	07/16/20	126.00	...	2.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	128.99	07/17/20	79.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	2.000	257.98	Various	205.00	...	52.98	Total of 2 transactions
	<b>Security total:</b>	<b>557.96</b>		<b>372.00</b>	...	<b>185.96</b>	
MSFT 07/24/2020 CALL \$227.50 / CUSIP: / Symbol:							
07/10/20	1.000	240.98	07/09/20	224.00	...	16.98	Option sale to close-call <sup>25</sup>
07/13/20	1.000	246.98	07/10/20	224.00	...	22.98	Option sale to close-call <sup>25</sup>
		2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.					
	1.000	114.99	07/15/20	149.00	...	-34.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	114.99	07/16/20	105.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/16/20	2.000	229.98	Various	254.00	...	-24.02	Total of 2 transactions
	<b>Security total:</b>	<b>717.94</b>		<b>702.00</b>	...	<b>15.94</b>	
MSFT 07/24/2020 CALL \$232.50 / CUSIP: / Symbol:							
		2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.					
	4.000	167.98	07/21/20	284.00	116.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	42.00	07/21/20	56.00	14.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	5.000	209.98	Various	340.00	130.02 W	0.00	Total of 2 transactions
		4 transactions for 07/24/20. Total proceeds and cost reported to the IRS.					
	2.000	0.00	07/21/20	162.01	...	-162.01	1 of 4 - Option expiration- long call
	1.000	0.00	07/21/20	81.01	...	-81.01	2 of 4 - Option expiration- long call
	1.000	0.00	07/21/20	81.00	...	-81.00	3 of 4 - Option expiration- long call

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Account 555910876

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 07/24/2020 CALL \$232.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	07/21/20	66.00	...	-66.00	4 of 4 - Option expiration- long call
07/24/20	5.000	0.00	Various	390.02	...	-390.02	Total of 4 transactions
	<b>Security total:</b>	<b>209.98</b>		<b>730.02</b>	<b>130.02 W</b>	<b>-390.02</b>	
MSFT 07/24/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/21/20	1.000	148.98	07/21/20	148.00	...	0.98	Option sale to close-put <sup>25</sup>
MSFT 07/31/2020 CALL \$222.50 / CUSIP: / Symbol:							
07/24/20	1.000	24.98	07/23/20	110.00	...	-85.02	Option sale to close-call <sup>25</sup>
MSFT 07/31/2020 PUT \$200.00 / CUSIP: / Symbol:							
07/23/20	1.000	185.98	07/23/20	110.00	...	75.98	Option sale to close-put <sup>25</sup>
MSFT 09/18/2020 CALL \$212.50 / CUSIP: / Symbol:							
09/15/20	1.000	125.98	09/14/20	91.00	...	34.98	Option sale to close-call <sup>25</sup>
MSFT 09/18/2020 CALL \$230.00 / CUSIP: / Symbol:							
03/09/20	1.000	108.98	02/11/20	315.00	...	-206.02	Option sale to close-call <sup>25</sup>
MSFT 09/25/2020 CALL \$212.50 / CUSIP: / Symbol:							
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.000	23.99	09/23/20	55.00	...	-31.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	23.99	09/23/20	35.00	...	-11.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	24.00	09/23/20	34.00	...	-10.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/23/20	3.000	71.98	Various	124.00	...	-52.02	Total of 3 transactions
NKLA 10/09/2020 CALL \$31.00 / CUSIP: / Symbol:							
09/30/20	1.000	29.98	09/30/20	34.00	...	-4.02	Option sale to close-call <sup>25</sup>
NOK 07/02/2020 CALL \$4.50 / CUSIP: / Symbol:							
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	9.98	06/25/20	8.00	...	1.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	06/25/20	8.00	...	1.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/25/20	2.000	19.96	Various	16.00	...	3.96	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PFE 03/19/2021 CALL \$38.00 / CUSIP: / Symbol:							
10/27/20	1.000	234.98	10/16/20	252.00	...	-17.02	Option sale to close-call <sup>25</sup>
PFE 03/19/2021 CALL \$42.00 / CUSIP: / Symbol:							
10/28/20	1.000	5.98	10/27/20	0.00	...	5.98	Short sale closed- call <sup>25</sup> Option written: 10/16/20
PFE 10/23/2020 CALL \$41.50 / CUSIP: / Symbol:							
10/14/20	1.000	10.98	09/18/20	18.00	...	-7.02	Option sale to close-call <sup>25</sup>
PINS 02/14/2020 CALL \$29.00 / CUSIP: / Symbol:							
<i>2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	74.98	02/07/20	90.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	49.98	02/07/20	60.00	...	-10.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/07/20	5.000	124.96	Various	150.00	...	-25.04	Total of 2 transactions
PINS 08/21/2020 CALL \$33.00 / CUSIP: / Symbol:							
01/22/20	1.000	120.98	01/21/20	145.00	...	-24.02	Option sale to close-call <sup>25</sup>
PYPL 09/18/2020 CALL \$202.50 / CUSIP: / Symbol:							
09/14/20	1.000	30.98	09/11/20	44.00	...	-13.02	Option sale to close-call <sup>25</sup>
ROKU 04/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
03/10/20	1.000	14.98	02/25/20	101.00	...	-86.02	Option sale to close-call <sup>25</sup>
SHOP 04/17/2020 PUT \$335.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/13/20	20.00	...	-20.00	Option expiration- long put
SNAP 07/31/2020 CALL \$27.50 / CUSIP: / Symbol:							
07/21/20	1.000	102.98	07/20/20	109.00	...	-6.02	Option sale to close-call <sup>25</sup>
SPCE 05/15/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/11/20	91.00	...	-91.00	Option expiration- long call
SPCE 05/15/2020 PUT \$19.00 / CUSIP: / Symbol:							
05/12/20	1.000	95.98	05/11/20	104.00	...	-8.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 09/25/2020 CALL \$17.00 / CUSIP: / Symbol:							
09/21/20	1.000	30.98	09/21/20	24.00	...	6.98	Option sale to close-call <sup>25</sup>
SPCE 11/13/2020 CALL \$19.50 / CUSIP: / Symbol:							
11/06/20	1.000	74.98	11/05/20	107.00	...	-32.02	Option sale to close-call <sup>25</sup>
SPCE 11/13/2020 PUT \$18.50 / CUSIP: / Symbol:							
11/06/20	1.000	54.98	11/05/20	95.00	...	-40.02	Option sale to close-put <sup>25</sup>
STNE 02/14/2020 CALL \$47.00 / CUSIP: / Symbol:							
02/14/20	1.000	0.00	01/21/20	55.00	...	-55.00	Option expiration- long call
STZ 07/10/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/29/20	65.00	...	-65.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/02/20	35.00	...	-35.00	2 of 2 - Option expiration- long call
07/10/20	2.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions
T 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
01/24/20	3.000	38.98	01/21/20	45.00	6.02 W	0.00	Option sale to close-call <sup>25</sup>
03/10/20	3.000	32.98	02/03/20	36.02	...	-3.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>71.96</b>		<b>81.02</b>	<b>6.02 W</b>	<b>-3.04</b>	
T 04/16/2021 CALL \$32.00 / CUSIP: / Symbol:							
12/08/20	1.000	96.98	10/13/20	44.00	...	52.98	Option sale to close-call <sup>25</sup>
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	40.99	12/17/20	72.00	...	-31.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	40.99	12/18/20	58.00	...	-17.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/24/20	2.000	81.98	Various	130.00	...	-48.02	Total of 2 transactions
	<b>Security total:</b>	<b>178.96</b>		<b>174.00</b>	...	<b>4.96</b>	
T 05/29/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	05/22/20	36.00	...	61.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	97.98	05/22/20	35.00	...	62.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/20	2.000	195.96	Various	71.00	...	124.96	Total of 2 transactions

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Robinhood Securities LLC

Account 555910876

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T 07/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/21/20	1.000	57.98	07/20/20	49.00	...	8.98	Option sale to close-call <sup>25</sup>
TMUS 03/06/2020 CALL \$95.00 / CUSIP: / Symbol:							
03/04/20	1.000	43.98	02/11/20	290.00	...	-246.02	Option sale to close-call <sup>25</sup>
TMUS 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
02/04/20	1.000	37.98	01/21/20	53.00	...	-15.02	Option sale to close-call <sup>25</sup>
TSLA 10/02/2020 CALL \$480.00 / CUSIP: / Symbol:							
09/18/20	1.000	3,539.91	09/18/20	3,228.00	...	311.91	Option sale to close-call <sup>25</sup>
TSLA 10/02/2020 CALL \$485.00 / CUSIP: / Symbol:							
09/21/20	1.000	-302.08	09/18/20	0.00	...	-302.08	Short sale closed- call <sup>25</sup> Option written: 09/18/20
UPS 11/27/2020 CALL \$170.00 / CUSIP: / Symbol:							
11/20/20	1.000	90.98	11/19/20	126.00	...	-35.02	Option sale to close-call <sup>25</sup>
V 02/28/2020 CALL \$222.50 / CUSIP: / Symbol:							
02/04/20	1.000	19.98	01/21/20	36.00	...	-16.02	Option sale to close-call <sup>25</sup>
V 03/19/2021 CALL \$280.00 / CUSIP: / Symbol:							
02/04/20	1.000	102.98	01/21/20	77.00	...	25.98	Option sale to close-call <sup>25</sup>
V 03/20/2020 CALL \$240.00 / CUSIP: / Symbol:							
02/14/20	3.000	32.98	01/31/20	45.00	...	-12.02	Option sale to close-call <sup>25</sup>
V 07/24/2020 CALL \$200.00 / CUSIP: / Symbol:							
07/17/20	1.000	119.98	07/16/20	117.00	...	2.98	Option sale to close-call <sup>25</sup>
V 09/17/2021 CALL \$290.00 / CUSIP: / Symbol:							
02/04/20	1.000	188.98	01/27/20	197.00	...	-8.02	Option sale to close-call <sup>25</sup>
V 09/25/2020 CALL \$205.00 / CUSIP: / Symbol:							
09/22/20	1.000	54.98	09/21/20	54.00	...	0.98	Option sale to close-call <sup>25</sup>
VXX 05/22/2020 CALL \$39.00 / CUSIP: / Symbol:							
05/18/20	1.000	58.98	05/15/20	242.00	...	-183.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 05/22/2020 PUT \$38.00 / CUSIP: / Symbol:							
05/18/20	1.000	469.97	05/15/20	246.00	...	223.97	Option sale to close-put <sup>25</sup>
VXX 07/24/2020 CALL \$33.00 / CUSIP: / Symbol:							
07/20/20	1.000	45.98	07/17/20	95.00	...	-49.02	Option sale to close-call <sup>25</sup>
VXX 07/24/2020 PUT \$30.00 / CUSIP: / Symbol:							
07/20/20	1.000	109.98	07/17/20	77.00	...	32.98	Option sale to close-put <sup>25</sup>
VXX 10/23/2020 CALL \$24.00 / CUSIP: / Symbol:							
10/15/20	1.000	69.98	10/13/20	55.00	...	14.98	Option sale to close-call <sup>25</sup>
VXX 12/11/2020 CALL \$19.00 / CUSIP: / Symbol:							
12/04/20	1.000	32.98	12/03/20	43.00	...	-10.02	Option sale to close-call <sup>25</sup>
VZ 04/24/2020 CALL \$61.00 / CUSIP: / Symbol:							
04/20/20	1.000	16.98	04/17/20	13.00	...	3.98	Option sale to close-call <sup>25</sup>
WMT 05/22/2020 CALL \$130.00 / CUSIP: / Symbol:							
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	17.99	05/18/20	187.00	...	-169.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	17.99	05/19/20	45.00	...	-27.01	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/20	2.000	35.98	Various	232.00	...	-196.02	Total of 2 transactions
WMT 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/14/20	1.000	143.98	09/14/20	163.00	19.02 W	0.00	Option sale to close-call <sup>25</sup>
09/15/20	1.000	152.98	09/14/20	157.02	...	-4.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>296.96</b>		<b>320.02</b>	<b>19.02 W</b>	<b>-4.04</b>	
ZM 12/04/2020 PUT \$340.00 / CUSIP: / Symbol:							
11/30/20	1.000	44.98	11/30/20	55.00	...	-10.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	3.000	701.26	12/23/20	771.65	...	-70.39	1 of 3 - Sale <sup>25</sup>
	1.000	233.76	12/24/20	215.09	...	18.67	2 of 3 - Sale <sup>25</sup>
	1.000	233.75	12/24/20	213.44	...	20.31	3 of 3 - Sale <sup>25</sup>
12/29/20	5.000	1,168.77	Various	1,200.18	...	-31.41	Total of 3 transactions
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
12/24/20	5.000	491.40	12/23/20	494.49	...	-3.09	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
12/14/20	6.000	1,286.43	12/11/20	1,269.54	...	16.89	Sale <sup>25</sup>
MIRAGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 60463E103 / Symbol:							
01/31/20	50.000	99.00	01/28/20	77.99	...	21.01	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
02/26/20	6.000	167.40	02/25/20	132.00	...	35.40	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
09/17/20	5.000	171.40	09/16/20	165.94	...	5.46	Sale <sup>25</sup>
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	22.01	09/30/20	20.98	...	1.03	1 of 2 - Sale <sup>25</sup>
	9.000	198.09	09/30/20	188.82	...	9.27	2 of 2 - Sale <sup>25</sup>
10/01/20	10.000	220.10	Various	209.80	...	10.30	Total of 2 transactions
	<b>Security total:</b>	<b>391.50</b>		<b>375.74</b>	...	<b>15.76</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
06/26/20	50.000	217.55	06/25/20	216.35	...	1.20	Sale <sup>25</sup>
REALTY INCOME CORPORATION / CUSIP: 756109104 / Symbol:							
12/31/20	3.000	181.41	12/30/20	183.62	0.01 W	-2.20	Sale <sup>25</sup>
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
12/14/20	2.000	443.28	12/02/20	440.20	...	3.08	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 555910876

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
12/07/20	6.000	952.56	12/04/20	965.97	...	-13.41	Sale <sup>25</sup>
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
12/18/20	15.000	822.66	12/16/20	820.02	...	2.64	Sale <sup>25</sup>
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
12/02/20	10.000	194.50	12/01/20	194.50	...	0.00	Sale <sup>25</sup>
WALMART INC. / CUSIP: 931142103 / Symbol:							
09/15/20	3.000	413.05	09/14/20	412.23	...	0.82	Sale <sup>25</sup>
WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
07/07/20	9.000	381.90	07/06/20	390.24	...	-8.34	Sale <sup>25</sup>
<b>Totals :</b>		<b>27,982.42</b>		<b>29,039.15</b>	<b>170.12 W</b>	<b>-886.61</b>	

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25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).