

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 824906838	Statement Date: 02/14/2021 Document ID: 6Q4J 843 3UP2	2020
	Rajeshmon Bhaskaran 7200 Johnson Farm Ln Apt 14 Chadds Ford, PA 19317-9075 RECIPIENT'S TIN: XXX-XX-6790		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 1.80</p> <p>1b- Qualified dividends 1.80</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.70</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	94,663.33	97,921.97	0.00	2,881.25	-377.39
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	94,663.33	97,921.97	0.00	2,881.25	-377.39
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	94,663.33	97,921.97	0.00	2,881.25	-377.39
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	1,214.96	11/23/20	723.00	...	491.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,214.97	11/23/20	707.00	...	507.97	2 of 2 - Option sale to close-call ²⁵
12/01/20	2.000	2,429.93	Various	1,430.00	...	999.93	Total of 2 transactions
AAPL 02/19/2021 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	-353.02	12/01/20	0.00	...	-353.02	1 of 2 - Short sale closed- call ²⁵ Option written: 11/23/20
	1.000	-345.02	12/01/20	0.00	...	-345.02	2 of 2 - Short sale closed- call ²⁵ Option written: 11/23/20
12/02/20	2.000	-698.04	Various	0.00	...	-698.04	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$114.00 / CUSIP: / Symbol:							
10/26/20	1.000	436.98	10/23/20	400.00	...	36.98	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$115.00 / CUSIP: / Symbol:							
10/13/20	1.000	1,004.96	10/08/20	537.00	...	467.96	Option sale to close-call ²⁵
10/27/20	1.000	-32.02	10/26/20	0.00	32.02 W	0.00	Short sale closed- call ²⁵
10/30/20	1.000	0.00	10/27/20	361.02	...	-361.02	Option written: 10/23/20
							Option expiration- long call
	Security total:	972.94		898.02	32.02 W	106.94	
AAPL 12/04/2020 CALL \$124.00 / CUSIP: / Symbol:							
							<i>4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.</i>
	2.000	0.00	12/02/20	180.00	...	-180.00	1 of 4 - Option expiration- long call
	1.000	0.00	12/02/20	71.00	...	-71.00	2 of 4 - Option expiration- long call
	2.000	0.00	12/03/20	76.00	...	-76.00	3 of 4 - Option expiration- long call
	1.000	0.00	12/03/20	38.00	...	-38.00	4 of 4 - Option expiration- long call
12/04/20	6.000	0.00	Various	365.00	...	-365.00	Total of 4 transactions
AAPL 12/11/2020 CALL \$125.00 / CUSIP: / Symbol:							
							<i>5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>
	1.000	3.00	12/09/20	240.02	...	-237.02	1 of 5 - Option sale to close-call ²⁵
	1.000	3.00	12/09/20	148.00	...	-145.00	2 of 5 - Option sale to close-call ²⁵
	1.000	3.00	12/09/20	95.00	...	-92.00	3 of 5 - Option sale to close-call ²⁵
	2.000	5.99	12/09/20	72.00	...	-66.01	4 of 5 - Option sale to close-call ²⁵
	1.000	2.99	12/09/20	36.00	...	-33.01	5 of 5 - Option sale to close-call ²⁵
12/11/20	6.000	17.98	Various	591.02	...	-573.04	Total of 5 transactions
12/11/20	1.000	2.98	12/09/20	148.00	145.02 W	0.00	Option sale to close-call ²⁵
	Security total:	20.96		739.02	145.02 W	-573.04	
ACB 11/06/2020 CALL \$5.50 / CUSIP: / Symbol:							
							<i>2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>
	1.000	337.98	11/05/20	67.00	...	270.98	1 of 2 - Option sale to close-call ²⁵
	1.000	337.98	11/05/20	67.00	...	270.98	2 of 2 - Option sale to close-call ²⁵
11/06/20	2.000	675.96	Various	134.00	...	541.96	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 12/11/2020 CALL \$11.50 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	12.00	12/04/20	133.00	...	-121.00	1 of 2 - Option sale to close-call ²⁵
	3.000	35.98	12/08/20	60.00	...	-24.02	2 of 2 - Option sale to close-call ²⁵
12/09/20	4.000	47.98	Various	193.00	...	-145.02	Total of 2 transactions
ACB 12/11/2020 CALL \$13.00 / CUSIP: / Symbol:							
12/09/20	1.000	82.98	12/08/20	0.00	...	82.98	Short sale closed- call ²⁵ Option written: 12/04/20
AMRN 11/27/2020 CALL \$5.00 / CUSIP: / Symbol:							
6 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	11/20/20	32.00	...	-32.00	1 of 6 - Option expiration- long call
	2.000	0.00	11/20/20	30.00	...	-30.00	2 of 6 - Option expiration- long call
	2.000	0.00	11/20/20	28.00	...	-28.00	3 of 6 - Option expiration- long call
	7.000	0.00	11/23/20	28.00	...	-28.00	4 of 6 - Option expiration- long call
	7.000	0.00	11/23/20	21.00	...	-21.00	5 of 6 - Option expiration- long call
	1.000	0.00	11/23/20	3.00	...	-3.00	6 of 6 - Option expiration- long call
11/27/20	23.000	0.00	Various	142.00	...	-142.00	Total of 6 transactions
AMRN 12/04/2020 CALL \$5.00 / CUSIP: / Symbol:							
12/01/20	1.000	15.98	11/30/20	14.00	...	1.98	Option sale to close-call ²⁵
AMZN 10/30/2020 CALL \$3,165.00 / CUSIP: / Symbol:							
10/27/20	1.000	15,563.64	10/23/20	10,975.00	...	4,588.64	Option sale to close-call ²⁵
AMZN 10/30/2020 CALL \$3,170.00 / CUSIP: / Symbol:							
10/28/20	1.000	-4,526.25	10/27/20	0.00	...	-4,526.25	Short sale closed- call ²⁵ Option written: 10/23/20

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Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BB 12/18/2020 CALL \$11.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	2.000	-2.01	12/04/20	0.00	...	-2.01	1 of 3 - Short sale closed- call ²⁵ Option written: 12/01/20
	1.000	8.99	12/04/20	0.00	...	8.99	2 of 3 - Short sale closed- call ²⁵ Option written: 12/01/20
	1.000	65.98	12/04/20	0.00	...	65.98	3 of 3 - Short sale closed- call ²⁵ Option written: 12/01/20
12/07/20	4.000	72.96	Various	0.00	...	72.96	Total of 3 transactions
BB 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
12/07/20	1.000	66.98	12/01/20	125.02	...	-58.04	Option sale to close-call ²⁵
12/07/20	1.000	66.98	12/01/20	138.00	71.02 W	0.00	Option sale to close-call ²⁵
12/15/20	1.000	21.98	12/01/20	54.00	...	-32.02	Option sale to close-call ²⁵
12/16/20	1.000	18.98	12/01/20	54.00	...	-35.02	Option sale to close-call ²⁵
	Security total:	174.92		371.02	71.02 W	-125.08	
CGC 01/08/2021 CALL \$26.00 / CUSIP: / Symbol:							
12/23/20	1.000	129.98	12/22/20	145.00	...	-15.02	Option sale to close-call ²⁵
CGC 11/13/2020 CALL \$23.00 / CUSIP: / Symbol:							
11/09/20	1.000	242.98	11/06/20	177.00	...	65.98	Option sale to close-call ²⁵
COST 12/11/2020 CALL \$380.00 / CUSIP: / Symbol:							
12/09/20	1.000	354.98	12/08/20	455.00	...	-100.02	Option sale to close-call ²⁵
DOCU 12/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	1,534.96	11/30/20	1,350.00	...	184.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,534.96	12/01/20	820.00	...	714.96	2 of 2 - Option sale to close-call ²⁵
12/03/20	2.000	3,069.92	Various	2,170.00	...	899.92	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCU 12/11/2020 CALL \$232.50 / CUSIP: / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	-575.03	12/03/20	0.00	...	-575.03	1 of 2 - Short sale closed- call ²⁵ Option written: 12/01/20
	1.000	-145.04	12/03/20	0.00	...	-145.04	2 of 2 - Short sale closed- call ²⁵ Option written: 11/30/20
12/04/20	2.000	-720.07	Various	0.00	...	-720.07	Total of 2 transactions
DOCU 12/18/2020 CALL \$235.00 / CUSIP: / Symbol:							
12/09/20	1.000	573.97	12/08/20	744.00	...	-170.03	Option sale to close-call ²⁵
DOCU 12/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
12/10/20	1.000	131.97	12/09/20	0.00	...	131.97	Short sale closed- call ²⁵ Option written: 12/08/20
F 11/20/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	71.99	11/13/20	37.00	...	34.99	1 of 2 - Option sale to close-call ²⁵
	1.000	71.99	11/13/20	37.00	...	34.99	2 of 2 - Option sale to close-call ²⁵
11/16/20	2.000	143.98	Various	74.00	...	69.98	Total of 2 transactions
FB 10/30/2020 CALL \$277.50 / CUSIP: / Symbol:							
10/21/20	1.000	1,063.96	10/12/20	1,110.00	...	-46.04	Option sale to close-call ²⁵
FB 10/30/2020 CALL \$282.50 / CUSIP: / Symbol:							
10/22/20	1.000	53.97	10/21/20	0.00	...	53.97	Short sale closed- call ²⁵ Option written: 10/12/20
FCEL 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/23/20	1.000	248.98	12/22/20	172.00	...	76.98	Option sale to close-call ²⁵
GCI 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/27/20	1.000	59.98	11/18/20	55.00	...	4.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GNUS 10/16/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	10/08/20	40.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	10/08/20	40.00	...	4.98	2 of 2 - Option sale to close-call ²⁵
10/12/20	2.000	89.96	Various	80.00	...	9.96	Total of 2 transactions
GNUS 10/30/2020 CALL \$1.00 / CUSIP: / Symbol:							
10/21/20	1.000	34.98	10/20/20	35.00	...	-0.02	Option sale to close-call ²⁵
GNUS 11/20/2020 CALL \$1.00 / CUSIP: / Symbol:							
10/19/20	1.000	47.98	10/13/20	55.00	7.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	19.00	11/16/20	29.02	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	3.000	56.98	11/16/20	66.00	...	-9.02	2 of 2 - Option sale to close-call ²⁵
11/20/20	4.000	75.98	Various	95.02	...	-19.04	Total of 2 transactions
	Security total:	123.96		150.02	7.02 W	-19.04	
JMIA 10/16/2020 CALL \$9.00 / CUSIP: / Symbol:							
10/09/20	1.000	244.98	10/08/20	164.00	...	80.98	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$13.00 / CUSIP: / Symbol:							
11/11/20	1.000	129.98	11/10/20	135.00	...	-5.02	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$14.00 / CUSIP: / Symbol:							
10/22/20	1.000	387.98	10/21/20	277.00	...	110.98	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$16.00 / CUSIP: / Symbol:							
10/23/20	1.000	392.98	10/20/20	270.00	...	122.98	Option sale to close-call ²⁵
JMIA 11/20/2020 CALL \$17.00 / CUSIP: / Symbol:							
10/23/20	1.000	-25.02	10/22/20	0.00	25.02 W	0.00	Short sale closed- call ²⁵ Option written: 10/20/20
10/26/20	1.000	-206.04	10/23/20	0.00	...	-206.04	Short sale closed- call ²⁵ Option written: 10/21/20
	Security total:	-231.06		0.00	25.02 W	-206.04	

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KNDI 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
11/27/20	1.000	369.98	11/20/20	313.00	...	56.98	Option sale to close-call ²⁵
KNDI 03/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
12/08/20	2.000	13.98	12/07/20	0.00	...	13.98	Short sale closed- call ²⁵ Option written: 12/01/20
KNDI 03/19/2021 CALL \$9.00 / CUSIP: / Symbol:							
12/07/20	2.000	577.97	12/01/20	590.00	...	-12.03	Option sale to close-call ²⁵
KNDI 10/16/2020 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	10/08/20	99.00	...	5.99	1 of 2 - Option sale to close-call ²⁵
	1.000	104.99	10/14/20	125.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
10/14/20	2.000	209.98	Various	224.00	...	-14.02	Total of 2 transactions
KNDI 10/16/2020 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	2.000	81.99	10/09/20	40.00	...	41.99	1 of 3 - Option sale to close-call ²⁵
	2.000	81.99	10/09/20	40.00	...	41.99	2 of 3 - Option sale to close-call ²⁵
	2.000	82.00	10/09/20	40.00	...	42.00	3 of 3 - Option sale to close-call ²⁵
10/14/20	6.000	245.98	Various	120.00	...	125.98	Total of 3 transactions
KNDI 11/20/2020 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	179.99	11/12/20	100.00	...	79.99	1 of 2 - Option sale to close-call ²⁵
	1.000	179.99	11/13/20	190.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
11/13/20	2.000	359.98	Various	290.00	...	69.98	Total of 2 transactions
KNDI 11/20/2020 CALL \$7.00 / CUSIP: / Symbol:							
10/28/20	2.000	99.98	10/27/20	140.00	...	-40.02	Option sale to close-call ²⁵
KNDI 11/20/2020 CALL \$8.00 / CUSIP: / Symbol:							
11/04/20	1.000	134.98	11/04/20	165.00	...	-30.02	Option sale to close-call ²⁵
KNDI 11/20/2020 CALL \$9.00 / CUSIP: / Symbol:							
11/17/20	1.000	124.98	11/17/20	120.00	...	4.98	Option sale to close-call ²⁵

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KNDI 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
12/16/20	1.000	9.98	12/09/20	130.00	...	-120.02	Option sale to close-call ²⁵
LAZR 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	744.98	12/18/20	407.00	...	337.98	1 of 2 - Option sale to close-call ²⁵
	1.000	744.97	12/18/20	397.00	...	347.97	2 of 2 - Option sale to close-call ²⁵
12/21/20	2.000	1,489.95	Various	804.00	...	685.95	Total of 2 transactions
LAZR 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	-238.02	12/21/20	0.00	...	-238.02	1 of 2 - Short sale closed- call ²⁵ Option written: 12/18/20
	1.000	-228.02	12/21/20	0.00	...	-228.02	2 of 2 - Short sale closed- call ²⁵ Option written: 12/18/20
12/22/20	2.000	-466.04	Various	0.00	...	-466.04	Total of 2 transactions
LLNW 11/20/2020 CALL \$4.00 / CUSIP: / Symbol:							
11/20/20	1.000	19.98	10/27/20	44.00	...	-24.02	Option sale to close-call ²⁵
MGI 11/20/2020 CALL \$4.00 / CUSIP: / Symbol:							
10/27/20	1.000	59.98	10/26/20	75.00	...	-15.02	Option sale to close-call ²⁵
NFLX 10/30/2020 CALL \$532.50 / CUSIP: / Symbol:							
10/26/20	1.000	64.98	10/19/20	2,908.00	...	-2,843.02	Option sale to close-call ²⁵
NFLX 10/30/2020 CALL \$542.50 / CUSIP: / Symbol:							
10/27/20	1.000	2,443.93	10/26/20	0.00	...	2,443.93	Short sale closed- call ²⁵ Option written: 10/19/20
NIO 10/23/2020 CALL \$24.50 / CUSIP: / Symbol:							
10/16/20	1.000	439.98	10/14/20	258.00	...	181.98	Option sale to close-call ²⁵
NIO 11/06/2020 CALL \$37.00 / CUSIP: / Symbol:							
11/04/20	1.000	314.98	11/04/20	140.00	...	174.98	Option sale to close-call ²⁵

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NIO 11/13/2020 CALL \$44.00 / CUSIP: / Symbol:							
11/10/20	1.000	122.98	11/09/20	228.00	...	-105.02	Option sale to close-call ²⁵
NIO 11/27/2020 CALL \$42.00 / CUSIP: / Symbol:							
11/23/20	1.000	1,027.96	11/17/20	770.00	...	257.96	Option sale to close-call ²⁵
11/24/20	1.000	1,184.96	11/18/20	492.00	...	692.96	Option sale to close-call ²⁵
	Security total:	2,212.92		1,262.00	...	950.92	
NIO 11/27/2020 CALL \$49.00 / CUSIP: / Symbol:							
11/24/20	1.000	17.98	11/23/20	0.00	...	17.98	Short sale closed- call ²⁵ Option written: 11/17/20
11/25/20	1.000	-366.02	11/24/20	0.00	...	-366.02	Short sale closed- call ²⁵ Option written: 11/18/20
	Security total:	-348.04		0.00	...	-348.04	
NIO 12/31/2020 CALL \$47.50 / CUSIP: / Symbol:							
12/16/20	1.000	248.98	12/01/20	624.00	...	-375.02	Option sale to close-call ²⁵
NIO 12/31/2020 CALL \$57.00 / CUSIP: / Symbol:							
12/08/20	1.000	142.98	12/07/20	0.00	...	142.98	Short sale closed- call ²⁵ Option written: 12/01/20
NKLA 10/09/2020 CALL \$26.50 / CUSIP: / Symbol:							
10/08/20	1.000	20.98	10/08/20	70.00	...	-49.02	Option sale to close-call ²⁵
NKLA 11/27/2020 CALL \$37.00 / CUSIP: / Symbol:							
	4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	11/24/20	290.00	...	-290.00	1 of 4 - Option expiration- long call
	1.000	0.00	11/24/20	125.00	...	-125.00	2 of 4 - Option expiration- long call
	1.000	0.00	11/24/20	125.00	...	-125.00	3 of 4 - Option expiration- long call
	4.000	0.00	11/25/20	60.00	...	-60.00	4 of 4 - Option expiration- long call
11/27/20	7.000	0.00	Various	600.00	...	-600.00	Total of 4 transactions
NOK 11/13/2020 CALL \$3.50 / CUSIP: / Symbol:							
11/11/20	2.000	53.98	11/09/20	56.00	...	-2.02	Option sale to close-call ²⁵

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PFE 12/24/2020 CALL \$40.50 / CUSIP: / Symbol:							
12/07/20	1.000	183.98	12/04/20	180.00	...	3.98	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$31.00 / CUSIP: / Symbol:							
7 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	85.00	11/27/20	557.00	...	-472.00	1 of 7 - Option sale to close-call ²⁵
	1.000	85.00	11/27/20	425.00	...	-340.00	2 of 7 - Option sale to close-call ²⁵
	1.000	84.99	12/02/20	37.00	...	47.99	3 of 7 - Option sale to close-call ²⁵
	1.000	85.00	12/02/20	37.00	...	48.00	4 of 7 - Option sale to close-call ²⁵
	5.000	424.97	12/03/20	200.00	...	224.97	5 of 7 - Option sale to close-call ²⁵
	1.000	84.99	12/07/20	68.00	...	16.99	6 of 7 - Option sale to close-call ²⁵
	1.000	85.00	12/07/20	68.00	...	17.00	7 of 7 - Option sale to close-call ²⁵
12/07/20	11.000	934.95	Various	1,392.00	...	-457.05	Total of 7 transactions
PLTR 12/11/2020 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	2.000	381.98	12/01/20	0.00	...	381.98	1 of 2 - Short sale closed- call ²⁵ Option written: 11/27/20
	2.000	577.97	12/01/20	0.00	...	577.97	2 of 2 - Short sale closed- call ²⁵ Option written: 11/27/20
12/02/20	4.000	959.95	Various	0.00	...	959.95	Total of 2 transactions
PLTR 12/11/2020 CALL \$44.00 / CUSIP: / Symbol:							
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/27/20	274.00	...	-274.00	1 of 5 - Option expiration- long call
	1.000	0.00	11/27/20	180.00	...	-180.00	2 of 5 - Option expiration- long call
	1.000	0.00	12/01/20	15.00	...	-15.00	3 of 5 - Option expiration- long call
	1.000	0.00	12/01/20	15.00	...	-15.00	4 of 5 - Option expiration- long call
	5.000	0.00	12/07/20	40.00	...	-40.00	5 of 5 - Option expiration- long call
12/11/20	9.000	0.00	Various	524.00	...	-524.00	Total of 5 transactions
PLTR 12/24/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/04/20	1.000	234.98	12/01/20	481.02	...	-246.04	Option sale to close-call ²⁵
12/04/20	1.000	234.98	12/01/20	358.00	123.02 W	0.00	Option sale to close-call ²⁵
	Security total:	469.96		839.02	123.02 W	-246.04	

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PLTR 12/24/2020 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	2.000	413.98	12/07/20	590.00	...	-176.02	1 of 2 - Option sale to close-call ²⁵
	1.000	206.99	12/07/20	295.00	...	-88.01	2 of 2 - Option sale to close-call ²⁵
12/14/20	3.000	620.97	Various	885.00	...	-264.03	Total of 2 transactions
PLTR 12/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	92.98	12/04/20	0.00	...	92.98	1 of 2 - Short sale closed- call ²⁵ Option written: 12/01/20
	1.000	92.98	12/04/20	0.00	...	92.98	2 of 2 - Short sale closed- call ²⁵ Option written: 12/01/20
12/07/20	2.000	185.96	Various	0.00	...	185.96	Total of 2 transactions
PZZA 10/23/2020 CALL \$82.00 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/14/20	285.00	...	-285.00	Option expiration- long call
RIDE 12/24/2020 CALL \$21.00 / CUSIP: / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	89.00	12/22/20	79.00	...	10.00	1 of 3 - Option sale to close-call ²⁵
	1.000	88.99	12/22/20	35.00	...	53.99	2 of 3 - Option sale to close-call ²⁵
	2.000	177.99	12/22/20	92.00	...	85.99	3 of 3 - Option sale to close-call ²⁵
12/23/20	4.000	355.98	Various	206.00	...	149.98	Total of 3 transactions
RKT 10/23/2020 CALL \$22.50 / CUSIP: / Symbol:							
10/23/20	1.000	0.00	10/14/20	150.00	...	-150.00	Option expiration- long call
RKT 10/30/2020 CALL \$22.00 / CUSIP: / Symbol:							
10/26/20	1.000	17.98	10/16/20	107.00	...	-89.02	Option sale to close-call ²⁵
SBE 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/21/20	1.000	628.97	12/21/20	557.00	...	71.97	Option sale to close-call ²⁵

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SBE 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	589.98	12/21/20	549.02	...	40.96	1 of 2 - Option sale to close-call ²⁵
	1.000	589.98	12/21/20	474.00	...	115.98	2 of 2 - Option sale to close-call ²⁵
12/22/20	2.000	1,179.96	Various	1,023.02	...	156.94	Total of 2 transactions
12/22/20	1.000	-78.02	12/21/20	0.00	78.02 W	0.00	Short sale closed- call ²⁵ Option written: 12/21/20
Security total:		1,101.94		1,023.02	78.02 W	156.94	
SBE 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	-97.02	12/22/20	0.00	...	-97.02	1 of 2 - Short sale closed- call ²⁵ Option written: 12/21/20
	1.000	-94.02	12/22/20	0.00	...	-94.02	2 of 2 - Short sale closed- call ²⁵ Option written: 12/21/20
12/23/20	2.000	-191.04	Various	0.00	...	-191.04	Total of 2 transactions
SM 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
11/20/20	1.000	129.98	11/18/20	120.00	...	9.98	Option sale to close-call ²⁵
SNAP 10/23/2020 CALL \$38.00 / CUSIP: / Symbol:							
10/21/20	1.000	112.98	10/21/20	165.00	...	-52.02	Option sale to close-call ²⁵
SNAP 10/23/2020 CALL \$42.00 / CUSIP: / Symbol:							
10/22/20	1.000	12.98	10/21/20	0.00	...	12.98	Short sale closed- call ²⁵ Option written: 10/21/20
SNDL 04/16/2021 CALL \$0.50 / CUSIP: / Symbol:							
12/23/20	1.000	19.98	12/22/20	30.00	...	-10.02	Option sale to close-call ²⁵
SNOW 10/23/2020 CALL \$285.00 / CUSIP: / Symbol:							
10/22/20	1.000	1,267.96	10/22/20	1,254.00	...	13.96	Option sale to close-call ²⁵
SNOW 10/23/2020 CALL \$300.00 / CUSIP: / Symbol:							
10/23/20	1.000	40.97	10/22/20	0.00	...	40.97	Short sale closed- call ²⁵ Option written: 10/22/20

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SOLO 11/20/2020 CALL \$5.50 / CUSIP: / Symbol:							
11/17/20	1.000	144.98	11/17/20	100.00	...	44.98	Option sale to close-call ²⁵
SPCE 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
12/08/20	1.000	859.97	11/23/20	330.00	...	529.97	Option sale to close-call ²⁵
SPCE 10/23/2020 CALL \$20.50 / CUSIP: / Symbol:							
10/23/20	2.000	9.98	10/21/20	246.00	...	-236.02	Option sale to close-call ²⁵
SPCE 10/30/2020 CALL \$19.50 / CUSIP: / Symbol:							
10/26/20	1.000	46.98	10/23/20	111.00	...	-64.02	Option sale to close-call ²⁵
SPCE 10/30/2020 CALL \$21.50 / CUSIP: / Symbol:							
10/26/20	1.000	8.98	10/09/20	182.00	...	-173.02	Option sale to close-call ²⁵
SPCE 10/30/2020 CALL \$22.00 / CUSIP: / Symbol:							
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	10/07/20	208.00	...	-206.01	1 of 3 - Option sale to close-call ²⁵
	1.000	2.00	10/26/20	12.00	...	-10.00	2 of 3 - Option sale to close-call ²⁵
	1.000	1.99	10/27/20	4.00	...	-2.01	3 of 3 - Option sale to close-call ²⁵
10/28/20	3.000	5.98	Various	224.00	...	-218.02	Total of 3 transactions
SPCE 12/11/2020 CALL \$31.00 / CUSIP: / Symbol:							
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	232.98	12/07/20	181.00	...	51.98	1 of 3 - Option sale to close-call ²⁵
	1.000	232.98	12/07/20	181.00	...	51.98	2 of 3 - Option sale to close-call ²⁵
	1.000	232.98	12/07/20	140.00	...	92.98	3 of 3 - Option sale to close-call ²⁵
12/08/20	3.000	698.94	Various	502.00	...	196.94	Total of 3 transactions
SRNE 01/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	119.99	12/23/20	105.00	...	14.99	1 of 3 - Option sale to close-call ²⁵
	1.000	119.99	12/23/20	105.00	...	14.99	2 of 3 - Option sale to close-call ²⁵
	1.000	120.00	12/23/20	105.00	...	15.00	3 of 3 - Option sale to close-call ²⁵
12/24/20	3.000	359.98	Various	315.00	...	44.98	Total of 3 transactions

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SRNE 01/15/2021 CALL \$9.00 / CUSIP: / Symbol:							
12/11/20	2.000	209.98	12/01/20	280.00	...	-70.02	Option sale to close-call ²⁵
SRNE 10/23/2020 CALL \$9.00 / CUSIP: / Symbol:							
10/12/20	1.000	239.98	10/08/20	260.00	...	-20.02	Option sale to close-call ²⁵
SRNE 10/30/2020 CALL \$11.50 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/13/20	195.00	...	-195.00	Option expiration- long call
SRNE 10/30/2020 CALL \$7.50 / CUSIP: / Symbol:							
10/26/20	1.000	60.98	10/21/20	80.00	...	-19.02	Option sale to close-call ²⁵
SRNE 11/13/2020 CALL \$6.50 / CUSIP: / Symbol:							
11/13/20	1.000	4.98	11/12/20	56.02	...	-51.04	Option sale to close-call ²⁵
11/13/20	1.000	4.98	11/11/20	50.00	45.02 W	0.00	Option sale to close-call ²⁵
Security total:		9.96		106.02	45.02 W	-51.04	
SRNE 11/13/2020 CALL \$7.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	11/11/20	60.00	...	-60.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/12/20	5.00	...	-5.00	2 of 2 - Option expiration- long call
11/13/20	2.000	0.00	Various	65.00	...	-65.00	Total of 2 transactions
SRNE 12/18/2020 CALL \$8.00 / CUSIP: / Symbol:							
12/09/20	3.000	284.98	12/08/20	198.00	...	86.98	Option sale to close-call ²⁵
SRNE 12/31/2020 CALL \$8.50 / CUSIP: / Symbol:							
12/31/20	2.000	0.00	12/24/20	76.00	...	-76.00	Option expiration- long call
SWN 10/30/2020 CALL \$2.00 / CUSIP: / Symbol:							
10/12/20	1.000	85.98	10/08/20	84.00	...	1.98	Option sale to close-call ²⁵
SWN 12/04/2020 CALL \$3.00 / CUSIP: / Symbol:							
11/23/20	4.000	59.98	11/20/20	52.00	...	7.98	Option sale to close-call ²⁵

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SWN 12/11/2020 CALL \$3.00 / CUSIP: / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	12/02/20	13.00	...	-6.00	1 of 3 - Option sale to close-call ²⁵
	1.000	7.00	12/07/20	6.00	...	1.00	2 of 3 - Option sale to close-call ²⁵
	4.000	27.98	12/08/20	32.00	...	-4.02	3 of 3 - Option sale to close-call ²⁵
12/09/20	6.000	41.98	Various	51.00	...	-9.02	Total of 3 transactions
TLRY 01/15/2021 CALL \$9.00 / CUSIP: / Symbol:							
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	95.99	12/04/20	202.00	...	-106.01	1 of 4 - Option sale to close-call ²⁵
	1.000	95.98	12/08/20	122.00	...	-26.02	2 of 4 - Option sale to close-call ²⁵
	1.000	95.98	12/08/20	122.00	...	-26.02	3 of 4 - Option sale to close-call ²⁵
	1.000	95.99	12/08/20	122.00	...	-26.01	4 of 4 - Option sale to close-call ²⁵
12/14/20	4.000	383.94	Various	568.00	...	-184.06	Total of 4 transactions
TLRY 11/06/2020 CALL \$6.50 / CUSIP: / Symbol:							
11/05/20	2.000	233.98	11/05/20	122.00	...	111.98	Option sale to close-call ²⁵
TLRY 11/13/2020 CALL \$9.50 / CUSIP: / Symbol:							
11/09/20	1.000	179.98	11/06/20	89.00	...	90.98	Option sale to close-call ²⁵
TLRY 12/11/2020 CALL \$7.00 / CUSIP: / Symbol:							
11/27/20	1.000	96.98	11/23/20	67.00	...	29.98	Option sale to close-call ²⁵
TRIT 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	439.99	12/22/20	205.00	...	234.99	1 of 2 - Option sale to close-call ²⁵
	1.000	439.98	12/22/20	155.00	...	284.98	2 of 2 - Option sale to close-call ²⁵
12/24/20	2.000	879.97	Various	360.00	...	519.97	Total of 2 transactions
TSLA 01/08/2021 CALL \$580.00 / CUSIP: / Symbol:							
12/07/20	1.000	10,144.76	12/01/20	7,111.00	...	3,033.76	Option sale to close-call ²⁵
TSLA 01/08/2021 CALL \$590.00 / CUSIP: / Symbol:							
12/08/20	1.000	-2,832.16	12/07/20	0.00	...	-2,832.16	Short sale closed- call ²⁵ Option written: 12/01/20

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TSLA 01/15/2021 CALL \$480.00 / CUSIP: / Symbol: 11/23/20	1.000	8,044.81	11/18/20	5,268.00	...	2,776.81	Option sale to close-call ²⁵
TSLA 01/15/2021 CALL \$495.00 / CUSIP: / Symbol: 11/24/20	1.000	-2,605.12	11/23/20	0.00	...	-2,605.12	Short sale closed- call ²⁵ Option written: 11/18/20
TSLA 10/30/2020 CALL \$430.00 / CUSIP: / Symbol: 10/22/20	2.000	3,327.91	10/19/20	5,364.00	...	-2,036.09	Option sale to close-call ²⁵
TSLA 10/30/2020 CALL \$445.00 / CUSIP: / Symbol: 10/23/20	2.000	2,081.89	10/22/20	0.00	...	2,081.89	Short sale closed- call ²⁵ Option written: 10/19/20
TSLA 11/20/2020 CALL \$405.00 / CUSIP: / Symbol: 11/16/20	2.000	2,577.93	Various	2,412.00	...	165.93	Total of 2 transactions
<i>2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,288.96	11/13/20	1,345.00	...	-56.04	1 of 2 - Option sale to close-call ²⁵
	1.000	1,288.97	11/13/20	1,067.00	...	221.97	2 of 2 - Option sale to close-call ²⁵
TSLA 11/20/2020 CALL \$410.00 / CUSIP: / Symbol: 11/17/20	2.000	-60.07	Various	0.00	...	-60.07	Total of 2 transactions
<i>2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	-150.03	11/16/20	0.00	...	-150.03	1 of 2 - Short sale closed- call ²⁵ Option written: 11/13/20
	1.000	89.96	11/16/20	0.00	...	89.96	2 of 2 - Short sale closed- call ²⁵ Option written: 11/13/20
TTWO 11/06/2020 CALL \$170.00 / CUSIP: / Symbol: 10/21/20	1.000	590.97	10/13/20	823.00	...	-232.03	Option sale to close-call ²⁵
TTWO 11/06/2020 CALL \$180.00 / CUSIP: / Symbol: 10/22/20	1.000	162.98	10/21/20	0.00	...	162.98	Short sale closed- call ²⁵ Option written: 10/13/20
UAA 01/15/2021 CALL \$17.50 / CUSIP: / Symbol: 12/08/20	2.000	195.98	12/04/20	184.00	...	11.98	Option sale to close-call ²⁵

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UAA 12/11/2020 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	6.000	155.98	12/08/20	336.02	...	-180.04	1 of 2 - Option sale to close-call ²⁵
	4.000	103.99	12/08/20	164.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
12/08/20	10.000	259.97	Various	500.02	...	-240.05	Total of 2 transactions
12/08/20	10.000	259.97	12/08/20	410.00	90.02 W	-60.01	Option sale to close-call ²⁵
	Security total:	519.94		910.02	90.02 W	-300.06	
UPS 10/30/2020 CALL \$172.50 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/27/20	544.00	...	-544.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/29/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
10/30/20	2.000	0.00	Various	559.00	...	-559.00	Total of 2 transactions
UPS 10/30/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	5.98	10/30/20	0.00	...	5.98	1 of 2 - Short sale closed- call expired
	1.000	298.98	10/30/20	0.00	...	298.98	Option written: 10/29/20 2 of 2 - Short sale closed- call expired
10/30/20	2.000	304.96	Various	0.00	...	304.96	Option written: 10/27/20 Total of 2 transactions
VXRT 11/06/2020 CALL \$6.00 / CUSIP: / Symbol:							
10/26/20	1.000	29.98	10/14/20	160.00	...	-130.02	Option sale to close-call ²⁵
VXRT 11/27/2020 CALL \$6.50 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	24.99	11/20/20	49.00	...	-24.01	1 of 2 - Option sale to close-call ²⁵
	1.000	24.99	11/25/20	40.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
11/27/20	2.000	49.98	Various	89.00	...	-39.02	Total of 2 transactions
WKHS 01/15/2021 CALL \$44.00 / CUSIP: / Symbol:							
12/03/20	1.000	159.98	12/02/20	0.00	...	159.98	Short sale closed- call ²⁵ Option written: 11/23/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/13/20	1.000	119.98	10/09/20	170.00	...	-50.02	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$29.50 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/12/20	285.00	...	-285.00	Option expiration- long call
WORK 10/16/2020 CALL \$29.00 / CUSIP: / Symbol:							
10/12/20	1.000	263.98	10/08/20	137.00	...	126.98	Option sale to close-call ²⁵
WORK 11/27/2020 CALL \$29.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	136.98	11/20/20	70.00	...	66.98	1 of 2 - Option sale to close-call ²⁵
	1.000	136.98	11/23/20	116.00	...	20.98	2 of 2 - Option sale to close-call ²⁵
11/23/20	2.000	273.96	Various	186.00	...	87.96	Total of 2 transactions
WORK 12/11/2020 CALL \$40.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	454.98	11/27/20	370.00	...	84.98	1 of 2 - Option sale to close-call ²⁵
	1.000	454.98	11/27/20	315.00	...	139.98	2 of 2 - Option sale to close-call ²⁵
12/01/20	2.000	909.96	Various	685.00	...	224.96	Total of 2 transactions
XSPA 10/16/2020 CALL \$2.50 / CUSIP: / Symbol:							
<i>2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	10/06/20	70.00	...	-70.00	1 of 2 - Option expiration- long call
	2.000	0.00	10/07/20	44.00	...	-44.00	2 of 2 - Option expiration- long call
10/16/20	4.000	0.00	Various	114.00	...	-114.00	Total of 2 transactions
XSPA 11/20/2020 CALL \$2.50 / CUSIP: / Symbol:							
<i>2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	63.98	10/07/20	110.00	...	-46.02	1 of 2 - Option sale to close-call ²⁵
	1.000	31.98	10/07/20	55.00	...	-23.02	2 of 2 - Option sale to close-call ²⁵
10/19/20	3.000	95.96	Various	165.00	...	-69.04	Total of 2 transactions
ZNGA 10/30/2020 CALL \$8.50 / CUSIP: / Symbol:							
10/19/20	1.000	75.98	10/15/20	81.00	...	-5.02	Option sale to close-call ²⁵

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ZNGA 11/20/2020 CALL \$8.00 / CUSIP: / Symbol:							
11/19/20	1.000	14.98	11/16/20	13.00	...	1.98	Option sale to close-call ²⁵
ZVO 12/18/2020 CALL \$5.00 / CUSIP: / Symbol:							
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	11.00	11/16/20	45.00	...	-34.00	1 of 5 - Option sale to close-call ²⁵
	1.000	11.00	11/23/20	49.02	...	-38.02	2 of 5 - Option sale to close-call ²⁵
	3.000	32.99	11/23/20	45.00	...	-12.01	3 of 5 - Option sale to close-call ²⁵
	1.000	10.99	11/23/20	15.00	...	-4.01	4 of 5 - Option sale to close-call ²⁵
	1.000	11.00	11/23/20	15.00	...	-4.00	5 of 5 - Option sale to close-call ²⁵
12/11/20	7.000	76.98	Various	169.02	...	-92.04	Total of 5 transactions
12/11/20	1.000	10.98	11/16/20	45.00	34.02 W	0.00	Option sale to close-call ²⁵
	Security total:	87.96		214.02	34.02 W	-92.04	
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
09/08/20	50.000	108.65	09/03/20	112.88	...	-4.23	Sale ²⁵
ADT INC. / CUSIP: 00090Q103 / Symbol:							
5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	4.000	47.32	08/07/20	60.29	...	-12.97	1 of 5 - Sale ²⁵
	6.000	70.98	08/10/20	89.42	...	-18.44	2 of 5 - Sale ²⁵
	6.000	73.70	08/10/20	84.37	...	-10.67	3 of 5 - Sale ²⁵
	1.000	12.28	08/10/20	13.18	...	-0.90	4 of 5 - Sale ²⁵
	3.000	36.85	08/11/20	38.28	...	-1.43	5 of 5 - Sale ²⁵
08/12/20	20.000	241.13	Various	285.54	...	-44.41	Total of 5 transactions
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	10.000	116.45	08/03/20	144.80	28.35 W	0.00	1 of 3 - Sale ²⁵
	6.000	69.87	08/03/20	81.84	11.97 W	0.00	2 of 3 - Sale ²⁵
	4.000	46.58	08/05/20	51.03	4.45 W	0.00	3 of 3 - Sale ²⁵
08/12/20	20.000	232.90	Various	277.67	44.77 W	0.00	Total of 3 transactions
	Security total:	474.03		563.21	44.77 W	-44.41	

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Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
08/05/20	5.000	63.45	04/30/20	59.78	...	3.67	Sale ²⁵
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	13.77	04/30/20	11.95	...	1.82	1 of 2 - Sale ²⁵
	4.000	54.00	04/30/20	47.82	...	6.18	2 of 2 - Sale ²⁵
08/12/20	5.000	67.77	Various	59.77	...	8.00	Total of 2 transactions
08/19/20	1.000	13.08	07/09/20	11.24	...	1.84	Sale ²⁵
	Security total:	144.30		130.79	...	13.51	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/28/20	1.000	114.42	08/31/20	129.88	15.46 W	0.00	Sale ²⁵
10/01/20	1.000	116.10	08/31/20	129.88	13.78 W	0.00	Sale ²⁵
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	115.13	09/21/20	119.35	...	-4.22	1 of 3 - Sale ²⁵
	1.000	115.19	09/21/20	119.04	...	-3.85	2 of 3 - Sale ²⁵
	1.000	115.09	09/30/20	135.43	...	-20.34	3 of 3 - Sale ²⁵
10/08/20	3.000	345.41	Various	373.82	...	-28.41	Total of 3 transactions
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	115.24	08/31/20	144.75	29.51 W	0.00	1 of 3 - Sale ²⁵
	1.000	115.19	08/31/20	129.29	14.10 W	0.00	2 of 3 - Sale ²⁵
	1.000	115.19	09/21/20	134.77	19.58 W	0.00	3 of 3 - Sale ²⁵
10/08/20	3.000	345.62	Various	408.81	63.19 W	0.00	Total of 3 transactions
	Security total:	921.55		1,042.39	92.43 W	-28.41	
ARRAY TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 04271T100 / Symbol:							
10/19/20	10.000	393.10	10/16/20	403.60	...	-10.50	Sale ²⁵
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
08/07/20	4.000	222.12	07/21/20	232.23	...	-10.11	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
07/02/20	1.000	141.08	07/01/20	145.29	...	-4.21	Sale ²⁵
BIOFRONTERA AG AMERICAN DEPOS ITARY SHARES / CUSIP: 09075G105 / Symbol:							
08/05/20	2.000	72.00	08/05/20	53.00	...	19.00	Sale ²⁵

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	2.000	5.01	08/12/20	4.77	...	0.24	1 of 3 - Sale ²⁵
	3.000	7.51	08/12/20	7.20	...	0.31	2 of 3 - Sale ²⁵
	50.000	125.24	08/12/20	122.50	...	2.74	3 of 3 - Sale ²⁵
08/12/20	55.000	137.76	Various	134.47	...	3.29	Total of 3 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	14.16	05/15/20	12.82	...	1.34	1 of 2 - Sale ²⁵
	9.000	127.44	05/20/20	125.64	...	1.80	2 of 2 - Sale ²⁵
07/29/20	10.000	141.60	Various	138.46	...	3.14	Total of 2 transactions
08/05/20	3.000	42.26	05/20/20	41.88	...	0.38	Sale ²⁵
08/12/20	1.000	16.05	05/20/20	13.96	...	2.09	Sale ²⁵
08/19/20	1.000	14.96	05/20/20	13.96	...	1.00	Sale ²⁵
	Security total:	214.87		208.26	...	6.61	
DELEK LOGISTICS PARTNERS, LP / CUSIP: 24664T103 / Symbol:							
05/08/20	6.000	110.79	05/04/20	112.47	...	-1.68	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
07/30/20	10.000	251.75	05/27/20	262.00	5.13 W	-5.12	Sale ²⁵
08/07/20	5.000	134.76	06/26/20	133.79	...	0.97	Sale ²⁵
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	149.90	06/26/20	133.79	...	16.11	1 of 2 - Sale ²⁵
	3.000	89.94	07/09/20	79.67	...	10.27	2 of 2 - Sale ²⁵
08/11/20	8.000	239.84	Various	213.46	...	26.38	Total of 2 transactions
08/13/20	1.000	28.90	07/09/20	26.56	...	2.34	Sale ²⁵
08/14/20	1.000	29.00	07/09/20	26.55	...	2.45	Sale ²⁵
	Security total:	684.25		662.36	5.13 W	27.02	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
07/29/20	5.000	145.00	07/29/20	113.40	...	31.60	Sale ²⁵
08/03/20	8.000	127.28	07/29/20	181.43	...	-54.15	Sale ²⁵
	Security total:	272.28		294.83	...	-22.55	

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
<i>5 transactions for 11/17/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	12.42	11/13/20	11.76	...	0.66	1 of 5 - Sale ²⁵
	4.000	24.54	11/16/20	24.84	...	-0.30	2 of 5 - Sale ²⁵
	8.000	49.08	11/16/20	49.24	...	-0.16	3 of 5 - Sale ²⁵
	6.000	37.26	11/16/20	36.24	...	1.02	4 of 5 - Sale ²⁵
	12.000	73.62	11/16/20	71.82	...	1.80	5 of 5 - Sale ²⁵
11/17/20	32.000	196.92	Various	193.90	...	3.02	Total of 5 transactions
11/17/20	12.000	74.52	11/13/20	76.56	2.04 W	0.00	Sale ²⁵
	Security total:	271.44		270.46	2.04 W	3.02	
EVER-GLORY INTERNATIONAL GROUP , INC. COMMON STOCK / CUSIP: 299766204 / Symbol:							
<i>4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	5.33	10/22/20	4.50	...	0.83	1 of 4 - Sale ²⁵
	5.000	26.60	10/22/20	22.50	...	4.10	2 of 4 - Sale ²⁵
	12.000	63.96	10/22/20	54.00	...	9.96	3 of 4 - Sale ²⁵
	82.000	436.23	10/22/20	368.99	...	67.24	4 of 4 - Sale ²⁵
10/22/20	100.000	532.12	Various	449.99	...	82.13	Total of 4 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
06/01/20	2.000	11.71	05/04/20	9.68	...	2.03	Sale ²⁵
07/15/20	5.000	33.57	07/14/20	30.75	...	2.82	Sale ²⁵
07/27/20	3.000	20.62	07/14/20	18.45	...	2.17	Sale ²⁵
	Security total:	65.90		58.88	...	7.02	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
<i>2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	18.000	32.04	12/18/20	31.84	...	0.20	1 of 2 - Sale ²⁵
	15.000	26.70	12/18/20	26.03	...	0.67	2 of 2 - Sale ²⁵
12/21/20	33.000	58.74	Various	57.87	...	0.87	Total of 2 transactions
12/21/20	1.000	1.78	12/11/20	2.17	0.39 W	0.00	Sale ²⁵
12/24/20	4.000	19.68	12/23/20	18.32	...	1.36	Sale ²⁵
12/24/20	1.000	4.92	12/23/20	4.97	0.05 W	0.00	Sale ²⁵
12/28/20	10.000	51.00	12/23/20	45.80	...	5.20	Sale ²⁵
	Security total:	136.12		129.13	0.44 W	7.43	

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
06/04/20	2.000	15.01	05/08/20	12.47	...	2.54	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
07/20/20	20.000	41.51	05/27/20	32.20	...	9.31	Sale ²⁵
07/20/20	10.000	20.75	07/02/20	39.50	18.75 W	0.00	Sale ²⁵
	5 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	30.000	41.99	08/31/20	33.15	...	8.84	1 of 5 - Sale ²⁵
	30.000	42.04	08/31/20	33.15	...	8.89	2 of 5 - Sale ²⁵
	5.000	7.01	09/02/20	5.99	...	1.02	3 of 5 - Sale ²⁵
	9.000	12.69	09/02/20	10.77	...	1.92	4 of 5 - Sale ²⁵
	40.000	56.42	09/22/20	45.00	...	11.42	5 of 5 - Sale ²⁵
12/02/20	114.000	160.15	Various	128.06	...	32.09	Total of 5 transactions
	9 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	35.000	49.00	07/02/20	138.25	89.25 W	0.00	1 of 9 - Sale ²⁵
	5.000	7.00	07/06/20	23.91	16.91 W	0.00	2 of 9 - Sale ²⁵
	5.000	7.00	07/20/20	19.77	12.77 W	0.00	3 of 9 - Sale ²⁵
	25.000	35.00	07/20/20	52.00	17.00 W	0.00	4 of 9 - Sale ²⁵
	20.000	28.00	07/22/20	41.37	13.37 W	0.00	5 of 9 - Sale ²⁵
	50.000	69.99	08/07/20	80.25	10.26 W	0.00	6 of 9 - Sale ²⁵
	80.000	111.99	08/07/20	128.78	16.79 W	0.00	7 of 9 - Sale ²⁵
	15.000	21.00	08/12/20	23.33	2.33 W	0.00	8 of 9 - Sale ²⁵
	51.000	71.93	09/25/20	74.72	2.79 W	0.00	9 of 9 - Sale ²⁵
12/02/20	286.000	400.91	Various	582.38	181.47 W	0.00	Total of 9 transactions
	3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	50.000	76.52	09/25/20	67.75	...	8.77	1 of 3 - Sale ²⁵
	249.000	381.06	09/25/20	364.78	...	16.28	2 of 3 - Sale ²⁵
	100.000	153.03	10/30/20	103.50	...	49.53	3 of 3 - Sale ²⁵
12/09/20	399.000	610.61	Various	536.03	...	74.58	Total of 3 transactions
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	5.000	7.65	11/10/20	22.06	14.41 W	0.00	1 of 4 - Sale ²⁵
	5.000	7.65	11/10/20	17.92	10.27 W	0.00	2 of 4 - Sale ²⁵
	35.000	53.56	11/10/20	125.30	71.74 W	0.00	3 of 4 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
12/09/20	16.000	24.49	11/10/20	27.36	2.87 W	0.00	4 of 4 - Sale ²⁵
	61.000	93.35	Various	192.64	99.29 W	0.00	Total of 4 transactions
	13 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	9.000	14.95	12/10/20	19.89	4.94 W	0.00	1 of 13 - Sale ²⁵
	20.000	33.23	12/10/20	43.96	10.73 W	0.00	2 of 13 - Sale ²⁵
	16.000	26.58	12/10/20	27.76	1.18 W	0.00	3 of 13 - Sale ²⁵
	16.000	26.58	12/14/20	29.43	2.85 W	0.00	4 of 13 - Sale ²⁵
	34.000	56.49	12/14/20	62.39	5.90 W	0.00	5 of 13 - Sale ²⁵
	5.000	8.31	12/14/20	22.80	14.49 W	0.00	6 of 13 - Sale ²⁵
	5.000	8.31	12/14/20	18.66	10.35 W	0.00	7 of 13 - Sale ²⁵
	35.000	58.15	12/14/20	130.48	72.33 W	0.00	8 of 13 - Sale ²⁵
	16.000	26.58	12/14/20	29.72	3.14 W	0.00	9 of 13 - Sale ²⁵
	64.000	106.32	12/14/20	120.85	14.53 W	0.00	10 of 13 - Sale ²⁵
	15.000	24.92	12/14/20	27.51	2.59 W	0.00	11 of 13 - Sale ²⁵
	51.000	84.73	12/14/20	88.39	3.66 W	0.00	12 of 13 - Sale ²⁵
	14.000	23.26	12/14/20	23.50	0.24 W	0.00	13 of 13 - Sale ²⁵
12/17/20	300.000	498.41	Various	645.34	146.93 W	0.00	Total of 13 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	5.000	8.15	12/14/20	22.78	...	-14.63	1 of 2 - Sale ²⁵
	16.000	26.10	12/14/20	29.70	...	-3.60	2 of 2 - Sale ²⁵
12/21/20	21.000	34.25	Various	52.48	...	-18.23	Total of 2 transactions
	4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	9.000	14.68	12/14/20	20.05	5.37 W	0.00	1 of 4 - Sale ²⁵
	20.000	32.62	12/14/20	44.30	11.68 W	0.00	2 of 4 - Sale ²⁵
	16.000	26.10	12/14/20	28.03	1.93 W	0.00	3 of 4 - Sale ²⁵
	34.000	55.45	12/14/20	62.97	7.52 W	0.00	4 of 4 - Sale ²⁵
12/21/20	79.000	128.85	Various	155.35	26.50 W	0.00	Total of 4 transactions
	9 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	9.000	14.40	12/17/20	33.17	...	-18.77	1 of 9 - Sale ²⁵
	26.000	41.60	12/18/20	95.58	...	-53.98	2 of 9 - Sale ²⁵
	44.000	70.39	12/18/20	78.99	...	-8.60	3 of 9 - Sale ²⁵
	3.000	4.80	12/18/20	10.91	...	-6.11	4 of 9 - Sale ²⁵
	2.000	3.20	12/18/20	7.36	...	-4.16	5 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	20.000	31.80	12/18/20	35.90	...	-4.10	6 of 9 - Sale ²⁵
	16.000	25.60	12/18/20	28.23	...	-2.63	7 of 9 - Sale ²⁵
	15.000	23.86	12/18/20	26.11	...	-2.25	8 of 9 - Sale ²⁵
	2.000	3.18	12/18/20	3.28	...	-0.10	9 of 9 - Sale ²⁵
12/22/20	137.000	218.83	Various	319.53	...	-100.70	Total of 9 transactions
	Security total:	2,207.62		2,683.51	472.94 W	-2.95	
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	10.000	80.80	05/04/20	79.05	...	1.75	1 of 2 - Sale ²⁵
	5.000	40.40	08/07/20	39.70	...	0.70	2 of 2 - Sale ²⁵
08/19/20	15.000	121.20	Various	118.75	...	2.45	Total of 2 transactions
08/24/20	10.000	82.20	08/07/20	79.40	...	2.80	Sale ²⁵
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	5.000	41.41	08/07/20	39.70	...	1.71	1 of 2 - Sale ²⁵
	10.000	82.82	08/07/20	78.79	...	4.03	2 of 2 - Sale ²⁵
08/26/20	15.000	124.23	Various	118.49	...	5.74	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	6.000	47.19	08/07/20	46.80	...	0.39	1 of 2 - Sale ²⁵
	1.000	7.87	08/28/20	7.80	...	0.07	2 of 2 - Sale ²⁵
08/31/20	7.000	55.06	Various	54.60	...	0.46	Total of 2 transactions
	Security total:	382.69		371.24	...	11.45	
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
12/11/20	5.000	290.00	12/10/20	496.05	...	-206.05	Sale ²⁵
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	30.000	38.76	09/25/20	38.94	...	-0.18	1 of 2 - Sale ²⁵
	4.000	5.16	09/25/20	5.00	...	0.16	2 of 2 - Sale ²⁵
09/28/20	34.000	43.92	Various	43.94	...	-0.02	Total of 2 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
06/05/20	6.000	9.21	05/27/20	9.06	...	0.15	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	20.000	51.70	11/23/20	51.40	...	0.30	1 of 2 - Sale ²⁵
	20.000	51.70	11/23/20	48.79	...	2.91	2 of 2 - Sale ²⁵
11/25/20	40.000	103.40	Various	100.19	...	3.21	Total of 2 transactions
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
08/21/20	3.000	3.81	08/12/20	9.84	6.03 W	0.00	Sale ²⁵
08/28/20	40.000	50.40	08/28/20	51.99	...	-1.59	Sale ²⁵
11 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	12.000	15.06	08/12/20	39.36	24.30 W	0.00	1 of 11 - Sale ²⁵
	3.000	3.76	08/13/20	16.23	12.47 W	0.00	2 of 11 - Sale ²⁵
	17.000	21.33	08/13/20	57.80	36.47 W	0.00	3 of 11 - Sale ²⁵
	18.000	22.59	08/14/20	31.50	8.91 W	0.00	4 of 11 - Sale ²⁵
	18.000	22.68	08/26/20	32.13	4.20 W	-5.25	5 of 11 - Sale ²⁵
	50.000	62.75	08/26/20	64.49	1.74 W	0.00	6 of 11 - Sale ²⁵
	10.000	12.60	08/26/20	33.15	20.55 W	0.00	7 of 11 - Sale ²⁵
	3.000	3.78	08/26/20	16.34	12.56 W	0.00	8 of 11 - Sale ²⁵
	2.000	2.52	08/26/20	6.63	4.11 W	0.00	9 of 11 - Sale ²⁵
	15.000	18.90	08/26/20	51.53	32.63 W	0.00	10 of 11 - Sale ²⁵
	2.000	2.52	08/26/20	6.87	4.35 W	0.00	11 of 11 - Sale ²⁵
08/28/20	150.000	188.49	Various	356.03	162.29 W	-5.25	Total of 11 transactions
7 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	15.000	18.52	08/28/20	51.60	...	-33.08	1 of 7 - Sale ²⁵
	10.000	12.35	08/28/20	33.20	...	-20.85	2 of 7 - Sale ²⁵
	3.000	3.71	08/28/20	16.36	...	-12.65	3 of 7 - Sale ²⁵
	8.000	9.88	08/28/20	14.32	...	-4.44	4 of 7 - Sale ²⁵
	2.000	2.47	08/28/20	6.88	...	-4.41	5 of 7 - Sale ²⁵
	2.000	2.47	08/28/20	6.64	...	-4.17	6 of 7 - Sale ²⁵
	10.000	12.35	08/28/20	13.00	...	-0.65	7 of 7 - Sale ²⁵
08/31/20	50.000	61.75	Various	142.00	...	-80.25	Total of 7 transactions
	Security total:	304.45		559.86	168.32 W	-87.09	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
05/26/20	10.000	245.20	05/26/20	229.40	...	15.80	Sale ²⁵

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	2.000	2.11	09/08/20	1.96	...	0.15	1 of 3 - Sale ²⁵
	2.000	2.11	09/09/20	1.98	...	0.13	2 of 3 - Sale ²⁵
	24.000	25.26	09/21/20	25.20	...	0.06	3 of 3 - Sale ²⁵
09/22/20	28.000	29.48	Various	29.14	...	0.34	Total of 3 transactions
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	2.000	2.11	05/05/20	2.22	0.11 W	0.00	1 of 2 - Sale ²⁵
	20.000	21.05	05/06/20	21.90	0.85 W	0.00	2 of 2 - Sale ²⁵
09/22/20	22.000	23.16	Various	24.12	0.96 W	0.00	Total of 2 transactions
3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	14.000	15.14	09/21/20	15.30	...	-0.16	1 of 3 - Sale ²⁵
	2.000	2.16	09/21/20	2.21	...	-0.05	2 of 3 - Sale ²⁵
	6.000	6.50	09/24/20	6.37	...	0.13	3 of 3 - Sale ²⁵
10/01/20	22.000	23.80	Various	23.88	...	-0.08	Total of 3 transactions
	Security total:	76.44		77.14	0.96 W	0.26	
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
07/21/20	1.000	99.39	07/09/20	91.02	...	8.37	Sale ²⁵
07/21/20	2.000	198.79	05/27/20	199.10	0.16 W	-0.15	Sale ²⁵
07/27/20	1.000	96.86	07/09/20	91.17	...	5.69	Sale ²⁵
	Security total:	395.04		381.29	0.16 W	13.91	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
10/08/20	2.000	20.40	10/08/20	21.18	...	-0.78	Sale ²⁵
11/12/20	5.000	71.83	11/10/20	62.66	...	9.17	Sale ²⁵
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	10.000	142.97	11/10/20	125.32	...	17.65	1 of 2 - Sale ²⁵
	10.000	142.97	11/10/20	119.48	...	23.49	2 of 2 - Sale ²⁵
11/13/20	20.000	285.94	Various	244.80	...	41.14	Total of 2 transactions
12/31/20	1.000	40.82	12/24/20	45.22	4.40 W	0.00	Sale ²⁵
	Security total:	418.99		373.86	4.40 W	49.53	

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	13.000	85.99	07/30/20	216.17	130.18 W	0.00	1 of 2 - Sale ²⁵
	2.000	13.24	07/30/20	26.64	13.40 W	0.00	2 of 2 - Sale ²⁵
08/28/20	15.000	99.23	Various	242.81	143.58 W	0.00	Total of 2 transactions
09/08/20	13.000	80.32	08/07/20	116.33	...	-36.01	Sale ²⁵
7 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	6.18	07/30/20	23.33	17.15 W	0.00	1 of 7 - Sale ²⁵
	7.000	43.25	08/03/20	127.57	84.32 W	0.00	2 of 7 - Sale ²⁵
	2.000	12.36	08/05/20	30.93	18.57 W	0.00	3 of 7 - Sale ²⁵
	5.000	30.89	08/05/20	93.91	63.02 W	0.00	4 of 7 - Sale ²⁵
	2.000	12.36	08/05/20	17.54	5.18 W	0.00	5 of 7 - Sale ²⁵
	10.000	61.78	08/06/20	98.40	36.62 W	0.00	6 of 7 - Sale ²⁵
	10.000	61.78	08/07/20	98.50	29.38 W	-7.34	7 of 7 - Sale ²⁵
09/08/20	37.000	228.60	Various	490.18	254.24 W	-7.34	Total of 7 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	2.000	12.46	08/07/20	17.90	...	-5.44	1 of 3 - Sale ²⁵
	7.000	43.61	08/28/20	130.52	...	-86.91	2 of 3 - Sale ²⁵
	1.000	6.23	08/28/20	23.75	...	-17.52	3 of 3 - Sale ²⁵
09/14/20	10.000	62.30	Various	172.17	...	-109.87	Total of 3 transactions
5 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	5.000	28.98	08/28/20	96.02	...	-67.04	1 of 5 - Sale ²⁵
	2.000	11.59	08/28/20	31.77	...	-20.18	2 of 5 - Sale ²⁵
	10.000	57.95	09/02/20	101.30	...	-43.35	3 of 5 - Sale ²⁵
	8.000	46.36	09/02/20	81.13	...	-34.77	4 of 5 - Sale ²⁵
	2.000	11.59	09/02/20	18.12	...	-6.53	5 of 5 - Sale ²⁵
09/22/20	27.000	156.47	Various	328.34	...	-171.87	Total of 5 transactions
11/09/20	15.000	129.15	10/29/20	96.73	...	32.42	Sale ²⁵
	Security total:	756.07		1,446.56	397.82 W	-292.67	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
05/22/20	25.000	41.34	05/01/20	42.17	...	-0.83	Sale ²⁵
MARIN SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 56804T205 / Symbol:							
10/26/20	100.000	305.49	10/23/20	287.92	...	17.57	Sale ²⁵

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MARINE PETROLEUM TRUST UNITS O F BENEFICIAL INTEREST / CUSIP: 568423107 / Symbol: / Note: MP							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	35.000	128.28	11/02/20	138.78	...	-10.50	1 of 2 - Sale ²⁵
	4.000	14.66	11/02/20	15.36	...	-0.70	2 of 2 - Sale ²⁵
11/03/20	39.000	142.94	Various	154.14	...	-11.20	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/24/20	1.000	72.93	07/15/20	83.22	10.29 W	0.00	Sale ²⁵
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	80.30	07/15/20	83.21	2.91 W	0.00	1 of 2 - Sale ²⁵
	1.000	80.30	07/24/20	83.19	2.89 W	0.00	2 of 2 - Sale ²⁵
07/29/20	2.000	160.60	Various	166.40	5.80 W	0.00	Total of 2 transactions
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	3.000	188.44	08/12/20	219.61	...	-31.17	1 of 3 - Sale ²⁵
	1.000	63.29	08/12/20	84.17	...	-20.88	2 of 3 - Sale ²⁵
	1.000	63.29	08/12/20	84.14	...	-20.85	3 of 3 - Sale ²⁵
08/31/20	5.000	315.02	Various	387.92	...	-72.90	Total of 3 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	62.81	08/12/20	76.12	13.31 W	0.00	1 of 2 - Sale ²⁵
	1.000	62.81	08/12/20	76.10	13.29 W	0.00	2 of 2 - Sale ²⁵
08/31/20	2.000	125.62	Various	152.22	26.60 W	0.00	Total of 2 transactions
	Security total:	674.17		789.76	42.69 W	-72.90	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
09/08/20	7.000	121.40	08/31/20	129.64	...	-8.24	Sale ²⁵
09/08/20	6.000	104.05	08/31/20	111.34	6.08 W	-1.21	Sale ²⁵
10/06/20	5.000	106.81	10/05/20	113.78	...	-6.97	Sale ²⁵
	Security total:	332.26		354.76	6.08 W	-16.42	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	2.000	54.82	09/09/20	94.56	39.74 W	0.00	1 of 3 - Sale ²⁵
	2.000	54.82	09/14/20	69.54	14.72 W	0.00	2 of 3 - Sale ²⁵

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	5.000	137.05	09/21/20	145.00	7.95 W	0.00	3 of 3 - Sale ²⁵
09/21/20	9.000	246.69	Various	309.10	62.41 W	0.00	Total of 3 transactions
	4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	2.000	36.48	09/25/20	81.18	44.70 W	0.00	1 of 4 - Sale ²⁵
	2.000	36.48	09/25/20	56.16	19.68 W	0.00	2 of 4 - Sale ²⁵
	5.000	91.20	09/25/20	111.55	20.35 W	0.00	3 of 4 - Sale ²⁵
	1.000	18.24	09/25/20	20.72	2.48 W	0.00	4 of 4 - Sale ²⁵
09/28/20	10.000	182.40	Various	269.61	87.21 W	0.00	Total of 4 transactions
09/29/20	10.000	184.49	09/25/20	207.20	6.81 W	-15.90	Sale ²⁵
	6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	2.000	51.14	09/25/20	84.78	...	-33.64	1 of 6 - Sale ²⁵
	2.000	51.14	09/25/20	59.76	...	-8.62	2 of 6 - Sale ²⁵
	2.000	51.14	09/25/20	48.21	...	2.93	3 of 6 - Sale ²⁵
	1.000	25.57	09/30/20	22.71	...	2.86	4 of 6 - Sale ²⁵
	3.000	76.70	09/30/20	72.90	...	3.80	5 of 6 - Sale ²⁵
	3.000	76.70	09/30/20	67.50	...	9.20	6 of 6 - Sale ²⁵
10/01/20	13.000	332.39	Various	355.86	...	-23.47	Total of 6 transactions
	3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	20.000	407.73	11/09/20	412.23	...	-4.50	1 of 3 - Sale ²⁵
	8.000	163.09	11/09/20	158.72	...	4.37	2 of 3 - Sale ²⁵
	10.000	203.87	11/09/20	186.79	...	17.08	3 of 3 - Sale ²⁵
11/13/20	38.000	774.69	Various	757.74	...	16.95	Total of 3 transactions
	Security total:	1,720.66		1,899.51	156.43 W	-22.42	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
11/04/20	4.000	13.77	10/30/20	13.51	...	0.26	Sale ²⁵
11/10/20	46.000	172.13	10/30/20	155.39	...	16.74	Sale ²⁵
	Security total:	185.90		168.90	...	17.00	

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC BIOSCIENCES OF CALIFORNIA, INC. COMMON STOCK / CUSIP: 69404D108 / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	8.78	09/22/20	8.52	...	0.26	1 of 2 - Sale ²⁵
	15.000	131.73	09/22/20	128.40	...	3.33	2 of 2 - Sale ²⁵
09/25/20	16.000	140.51	Various	136.92	...	3.59	Total of 2 transactions
PROTAGONIST THERAPEUTICS, INC. COMMON STOCK / CUSIP: 74366E102 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	81.01	05/08/20	74.54	...	6.47	1 of 2 - Sale ²⁵
	10.000	162.03	05/08/20	150.65	...	11.38	2 of 2 - Sale ²⁵
05/26/20	15.000	243.04	Various	225.19	...	17.85	Total of 2 transactions
REMARK HOLDINGS, INC. COMMON STOCK / CUSIP: 75955K102 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	10.000	13.85	05/21/20	18.30	4.45 W	0.00	1 of 2 - Sale ²⁵
	20.000	27.70	05/27/20	63.20	35.50 W	0.00	2 of 2 - Sale ²⁵
07/20/20	30.000	41.55	Various	81.50	39.95 W	0.00	Total of 2 transactions
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	10.000	13.45	05/27/20	31.60	18.15 W	0.00	1 of 4 - Sale ²⁵
	10.000	13.45	07/15/20	20.60	7.15 W	0.00	2 of 4 - Sale ²⁵
	10.000	13.45	07/15/20	33.90	20.45 W	0.00	3 of 4 - Sale ²⁵
	5.000	6.73	07/20/20	15.80	9.07 W	0.00	4 of 4 - Sale ²⁵
07/21/20	35.000	47.08	Various	101.90	54.82 W	0.00	Total of 4 transactions
6 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	17.35	07/20/20	32.00	...	-14.65	1 of 6 - Sale ²⁵
	5.000	8.67	07/20/20	15.80	...	-7.13	2 of 6 - Sale ²⁵
	4.000	6.94	07/20/20	13.72	...	-6.78	3 of 6 - Sale ²⁵
	6.000	10.41	07/21/20	20.34	...	-9.93	4 of 6 - Sale ²⁵
	5.000	8.67	07/21/20	15.80	...	-7.13	5 of 6 - Sale ²⁵
	20.000	34.70	07/21/20	26.90	...	7.80	6 of 6 - Sale ²⁵
07/31/20	50.000	86.74	Various	124.56	...	-37.82	Total of 6 transactions
07/31/20	10.000	17.35	07/20/20	21.00	1.46 W	-2.19	Sale ²⁵
08/05/20	4.000	6.62	07/21/20	6.84	...	-0.22	Sale ²⁵
	Security total:	199.34		335.80	96.23 W	-40.23	

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RIGEL PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 766559603 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	12.000	34.95	07/14/20	51.48	16.53 W	0.00	1 of 2 - Sale ²⁵
	8.000	23.30	07/14/20	31.02	7.72 W	0.00	2 of 2 - Sale ²⁵
07/21/20	20.000	58.25	Various	82.50	24.25 W	0.00	Total of 2 transactions
6 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	10.000	25.30	07/14/20	52.56	27.26 W	0.00	1 of 6 - Sale ²⁵
	2.000	5.06	07/15/20	9.43	4.37 W	0.00	2 of 6 - Sale ²⁵
	8.000	20.24	07/15/20	34.42	14.18 W	0.00	3 of 6 - Sale ²⁵
	20.000	50.60	07/21/20	58.20	7.60 W	0.00	4 of 6 - Sale ²⁵
	8.000	20.28	07/22/20	44.45	24.17 W	0.00	5 of 6 - Sale ²⁵
	2.000	5.07	08/05/20	10.63	5.56 W	0.00	6 of 6 - Sale ²⁵
08/12/20	50.000	126.55	Various	209.69	83.14 W	0.00	Total of 6 transactions
9 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	8.000	19.53	08/05/20	43.99	...	-24.46	1 of 9 - Sale ²⁵
	8.000	19.53	08/05/20	34.90	...	-15.37	2 of 9 - Sale ²⁵
	13.000	31.73	08/05/20	38.61	...	-6.88	3 of 9 - Sale ²⁵
	2.000	4.88	08/05/20	10.52	...	-5.64	4 of 9 - Sale ²⁵
	2.000	4.88	08/05/20	9.55	...	-4.67	5 of 9 - Sale ²⁵
	7.000	17.08	08/05/20	20.00	...	-2.92	6 of 9 - Sale ²⁵
	3.000	7.32	08/05/20	7.43	...	-0.11	7 of 9 - Sale ²⁵
	10.000	24.41	08/07/20	24.60	...	-0.19	8 of 9 - Sale ²⁵
	35.000	85.43	08/14/20	86.01	...	-0.58	9 of 9 - Sale ²⁵
08/14/20	88.000	214.79	Various	275.61	...	-60.82	Total of 9 transactions
	Security total:	399.59		567.80	107.39 W	-60.82	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	280.69	08/06/20	180.00	...	100.69	1 of 3 - Sale ²⁵
	10.000	270.10	08/11/20	214.35	...	55.75	2 of 3 - Sale ²⁵
	10.000	280.69	08/11/20	214.35	...	66.34	3 of 3 - Sale ²⁵
08/31/20	30.000	831.48	Various	608.70	...	222.78	Total of 3 transactions

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
6 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	4.000	80.74	08/11/20	85.74	...	-5.00	1 of 6 - Sale ²⁵
	3.000	60.55	08/12/20	59.25	...	1.30	2 of 6 - Sale ²⁵
	6.000	121.10	08/12/20	118.86	...	2.24	3 of 6 - Sale ²⁵
	6.000	121.11	08/12/20	118.80	...	2.31	4 of 6 - Sale ²⁵
	6.000	121.11	08/14/20	114.96	...	6.15	5 of 6 - Sale ²⁵
	4.000	83.77	08/14/20	76.64	...	7.13	6 of 6 - Sale ²⁵
09/25/20	29.000	588.38	Various	574.25	...	14.13	Total of 6 transactions
	Security total:	1,419.86		1,182.95	...	236.91	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
07/20/20	10.000	81.25	05/18/20	98.50	17.25 W	0.00	Sale ²⁵
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	101.20	05/18/20	98.50	...	2.70	1 of 2 - Sale ²⁵
	5.000	50.60	07/20/20	49.12	...	1.48	2 of 2 - Sale ²⁵
07/31/20	15.000	151.80	Various	147.62	...	4.18	Total of 2 transactions
08/03/20	5.000	47.11	07/20/20	49.12	2.01 W	0.00	Sale ²⁵
4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	5.000	31.70	08/19/20	56.16	24.46 W	0.00	1 of 4 - Sale ²⁵
	8.000	50.72	08/19/20	86.64	35.92 W	0.00	2 of 4 - Sale ²⁵
	4.000	25.36	08/21/20	40.68	15.32 W	0.00	3 of 4 - Sale ²⁵
	3.000	19.02	08/24/20	26.31	7.29 W	0.00	4 of 4 - Sale ²⁵
09/08/20	20.000	126.80	Various	209.79	82.99 W	0.00	Total of 4 transactions
3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	5.000	34.60	08/24/20	68.31	33.71 W	0.00	1 of 3 - Sale ²⁵
	2.000	13.84	08/24/20	26.52	12.68 W	0.00	2 of 3 - Sale ²⁵
	3.000	20.76	08/28/20	37.92	17.16 W	0.00	3 of 3 - Sale ²⁵
09/14/20	10.000	69.20	Various	132.75	63.55 W	0.00	Total of 3 transactions
8 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	9.34	08/28/20	14.89	5.55 W	0.00	1 of 8 - Sale ²⁵
	3.000	28.02	08/28/20	37.91	9.89 W	0.00	2 of 8 - Sale ²⁵
	4.000	37.36	08/28/20	47.92	10.56 W	0.00	3 of 8 - Sale ²⁵
	3.000	28.02	08/28/20	31.73	3.71 W	0.00	4 of 8 - Sale ²⁵

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Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	9.34	08/31/20	14.61		0.00	5 of 8 - Sale ²⁵
	3.000	28.02	09/02/20	42.04	5.27 W	0.00	6 of 8 - Sale ²⁵
	2.000	18.68	09/02/20	27.22	14.02 W	0.00	7 of 8 - Sale ²⁵
	3.000	28.02	09/02/20	38.97	8.54 W	0.00	8 of 8 - Sale ²⁵
09/21/20	20.000	186.80	Various	255.29	10.95 W	0.00	8 of 8 - Sale ²⁵
	4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	4.000	36.37	09/08/20	36.00	68.49 W	0.00	Total of 8 transactions
	3.000	27.28	09/08/20	22.79	...	0.37	1 of 4 - Sale ²⁵
	2.000	18.18	09/14/20	13.88	...	4.49	2 of 4 - Sale ²⁵
	8.000	72.74	09/16/20	63.75	...	4.30	3 of 4 - Sale ²⁵
09/24/20	17.000	154.57	Various	136.42	...	8.99	4 of 4 - Sale ²⁵
	8 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	9.09	09/02/20	12.82	3.73 W	0.00	1 of 8 - Sale ²⁵
	1.000	9.09	09/02/20	10.57	1.48 W	0.00	2 of 8 - Sale ²⁵
	1.000	9.09	09/08/20	9.89	0.80 W	0.00	3 of 8 - Sale ²⁵
	1.000	9.09	09/08/20	9.65	0.56 W	0.00	4 of 8 - Sale ²⁵
	1.000	9.09	09/09/20	11.67	2.58 W	0.00	5 of 8 - Sale ²⁵
	3.000	27.28	09/14/20	34.84	7.56 W	0.00	6 of 8 - Sale ²⁵
	2.000	18.18	09/14/20	22.42	4.24 W	0.00	7 of 8 - Sale ²⁵
	3.000	27.28	09/14/20	31.77	4.49 W	0.00	8 of 8 - Sale ²⁵
09/24/20	13.000	118.19	Various	143.63	25.44 W	0.00	Total of 8 transactions
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	9.41	09/16/20	8.77	...	0.64	1 of 2 - Sale ²⁵
	1.000	9.42	09/16/20	8.53	...	0.89	2 of 2 - Sale ²⁵
09/25/20	2.000	18.83	Various	17.30	...	1.53	Total of 2 transactions
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	9.41	09/16/20	11.70	2.29 W	0.00	1 of 2 - Sale ²⁵
	1.000	9.42	09/16/20	9.45	0.03 W	0.00	2 of 2 - Sale ²⁵
09/25/20	2.000	18.83	Various	21.15	2.32 W	0.00	Total of 2 transactions
	6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	10.74	09/29/20	13.53	2.79 W	0.00	1 of 6 - Sale ²⁵
	1.000	10.74	09/29/20	13.82	3.08 W	0.00	2 of 6 - Sale ²⁵
	3.000	32.22	09/29/20	41.27	9.05 W	0.00	3 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	10.74	09/29/20	11.26	0.52 W	0.00	4 of 6 - Sale ²⁵
	2.000	21.48	09/29/20	26.71	5.23 W	0.00	5 of 6 - Sale ²⁵
	1.000	10.74	09/29/20	12.73	1.99 W	0.00	6 of 6 - Sale ²⁵
10/02/20	9.000	96.66	Various	119.32	22.66 W	0.00	Total of 6 transactions
	4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	11.23	10/01/20	11.09	...	0.14	1 of 4 - Sale ²⁵
	5.000	56.16	10/01/20	52.85	...	3.31	2 of 4 - Sale ²⁵
	3.000	33.70	10/05/20	32.52	...	1.18	3 of 4 - Sale ²⁵
	1.000	11.23	10/06/20	10.79	...	0.44	4 of 4 - Sale ²⁵
10/08/20	10.000	112.32	Various	107.25	...	5.07	Total of 4 transactions
	8 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	2.000	22.47	09/29/20	25.46	2.99 W	0.00	1 of 8 - Sale ²⁵
	4.000	44.93	09/29/20	44.94	0.01 W	0.00	2 of 8 - Sale ²⁵
	1.000	11.23	09/30/20	13.97	2.74 W	0.00	3 of 8 - Sale ²⁵
	1.000	11.23	09/30/20	14.26	3.03 W	0.00	4 of 8 - Sale ²⁵
	2.000	22.47	09/30/20	28.38	5.91 W	0.00	5 of 8 - Sale ²⁵
	1.000	11.23	10/01/20	13.59	2.36 W	0.00	6 of 8 - Sale ²⁵
	2.000	22.47	10/01/20	26.37	3.90 W	0.00	7 of 8 - Sale ²⁵
	1.000	11.23	10/01/20	12.56	1.33 W	0.00	8 of 8 - Sale ²⁵
10/08/20	14.000	157.26	Various	179.53	22.27 W	0.00	Total of 8 transactions
	13 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	2.000	13.38	10/29/20	17.36	...	-3.98	1 of 13 - Sale ²⁵
	1.000	6.69	10/29/20	9.93	...	-3.24	2 of 13 - Sale ²⁵
	4.000	26.76	10/29/20	28.75	...	-1.99	3 of 13 - Sale ²⁵
	2.000	13.38	10/30/20	19.78	...	-6.40	4 of 13 - Sale ²⁵
	2.000	13.38	10/30/20	17.78	...	-4.40	5 of 13 - Sale ²⁵
	1.000	6.69	10/30/20	9.97	...	-3.28	6 of 13 - Sale ²⁵
	13.000	86.97	10/30/20	90.18	...	-3.21	7 of 13 - Sale ²⁵
	1.000	6.69	10/30/20	9.30	...	-2.61	8 of 13 - Sale ²⁵
	1.000	6.69	10/30/20	8.27	...	-1.58	9 of 13 - Sale ²⁵
	4.000	26.76	11/05/20	27.96	...	-1.20	10 of 13 - Sale ²⁵
	50.000	334.50	11/06/20	344.50	...	-10.00	11 of 13 - Sale ²⁵
	10.000	66.90	11/09/20	58.60	...	8.30	12 of 13 - Sale ²⁵

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(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	20.000	133.80	11/09/20	121.40	...	12.40	13 of 13 - Sale ²⁵
11/18/20	111.000	742.59	Various	763.78	...	-21.19	Total of 13 transactions
	Security total:	2,082.21		2,381.45	306.98 W	7.74	
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
05/19/20	69.000	206.31	05/14/20	183.53	...	22.78	Sale ²⁵
05/19/20	1.000	2.99	04/30/20	3.06	0.07 W	0.00	Sale ²⁵
	4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	3.06	05/14/20	2.73	...	0.33	1 of 4 - Sale ²⁵
	1.000	3.06	06/25/20	2.58	...	0.48	2 of 4 - Sale ²⁵
	10.000	30.60	06/26/20	24.27	...	6.33	3 of 4 - Sale ²⁵
	4.000	12.24	07/27/20	9.94	...	2.30	4 of 4 - Sale ²⁵
08/05/20	16.000	48.96	Various	39.52	...	9.44	Total of 4 transactions
09/14/20	4.000	9.77	07/27/20	9.94	0.17 W	0.00	Sale ²⁵
	8 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	2.44	09/18/20	2.44	...	0.00	1 of 8 - Sale ²⁵
	4.000	9.76	09/28/20	9.67	...	0.09	2 of 8 - Sale ²⁵
	12.000	29.28	09/28/20	29.02	...	0.26	3 of 8 - Sale ²⁵
	7.000	17.08	09/29/20	16.23	...	0.85	4 of 8 - Sale ²⁵
	5.000	12.20	09/30/20	11.89	...	0.31	5 of 8 - Sale ²⁵
	5.000	12.20	10/01/20	11.34	...	0.86	6 of 8 - Sale ²⁵
	8.000	19.52	10/01/20	18.07	...	1.45	7 of 8 - Sale ²⁵
	3.000	7.32	10/02/20	6.83	...	0.49	8 of 8 - Sale ²⁵
10/05/20	45.000	109.80	Various	105.49	...	4.31	Total of 8 transactions
	3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	2.44	07/27/20	2.49	0.05 W	0.00	1 of 3 - Sale ²⁵
	2.000	4.88	09/08/20	5.02	0.14 W	0.00	2 of 3 - Sale ²⁵
	2.000	4.88	09/18/20	4.96	0.08 W	0.00	3 of 3 - Sale ²⁵
10/05/20	5.000	12.20	Various	12.47	0.27 W	0.00	Total of 3 transactions
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	2.82	10/02/20	2.33	...	0.49	1 of 2 - Sale ²⁵
	2.000	5.64	10/02/20	4.70	...	0.94	2 of 2 - Sale ²⁵
10/08/20	3.000	8.46	Various	7.03	...	1.43	Total of 2 transactions

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol: (cont'd)							
10/09/20	2.000	5.82	10/02/20	4.63	...	1.19	Sale ²⁵
	Security total:	404.31		365.67	0.51 W	39.15	
STEMLINE THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 85858C107 / Symbol:							
05/06/20	2.000	24.26	05/04/20	24.21	...	0.05	Sale ²⁵
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
12/11/20	25.000	13.07	12/09/20	12.15	...	0.92	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10/08/20	1.000	433.10	08/31/20	473.49	40.39 W	0.00	Sale ²⁵
	3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	429.15	08/31/20	473.49	...	-44.34	1 of 3 - Sale ²⁵
	1.000	429.15	09/08/20	396.71	...	32.44	2 of 3 - Sale ²⁵
	1.000	429.15	09/08/20	356.32	...	72.83	3 of 3 - Sale ²⁵
10/19/20	3.000	1,287.45	Various	1,226.52	...	60.93	Total of 3 transactions
12/10/20	1.000	568.26	12/09/20	605.31	...	-37.05	Sale ²⁵
	Security total:	2,288.81		2,305.32	40.39 W	23.88	
TRIUMPH GROUP, INC. / CUSIP: 896818101 / Symbol:							
	4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	6.66	09/21/20	7.97	...	-1.31	1 of 4 - Sale ²⁵
	4.000	26.65	09/22/20	28.94	...	-2.29	2 of 4 - Sale ²⁵
	3.000	19.99	09/24/20	18.63	...	1.36	3 of 4 - Sale ²⁵
	40.000	266.49	09/24/20	249.38	...	17.11	4 of 4 - Sale ²⁵
09/25/20	48.000	319.79	Various	304.92	...	14.87	Total of 4 transactions
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
08/07/20	5.000	27.35	06/08/20	29.65	...	-2.30	Sale ²⁵

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
08/05/20	2.000	66.24	04/30/20	60.11	...	6.13	Sale ²⁵
08/10/20	5.000	161.51	05/04/20	138.15	...	23.36	Sale ²⁵
08/12/20	4.000	128.77	05/04/20	110.52	...	18.25	Sale ²⁵
08/21/20	1.000	31.15	05/04/20	27.63	...	3.52	Sale ²⁵
	Security total:	387.67		336.41	...	51.26	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
08/11/20	8.000	303.76	05/27/20	243.67	...	60.09	Sale ²⁵
08/13/20	1.000	36.31	05/27/20	30.46	...	5.85	Sale ²⁵
08/14/20	1.000	36.25	05/27/20	30.46	...	5.79	Sale ²⁵
	Security total:	376.32		304.59	...	71.73	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
07/13/20	5.000	47.75	06/26/20	50.83	...	-3.08	Sale ²⁵
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
12/14/20	10.000	94.70	12/14/20	102.00	...	-7.30	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	5.000	80.55	09/09/20	92.05	11.50 W	0.00	1 of 2 - Sale ²⁵
	2.000	32.22	09/21/20	32.78	0.56 W	0.00	2 of 2 - Sale ²⁵
09/21/20	7.000	112.77	Various	124.83	12.06 W	0.00	Total of 2 transactions
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	19.95	09/28/20	18.60	...	1.35	1 of 2 - Sale ²⁵
	2.000	39.90	09/28/20	37.76	...	2.14	2 of 2 - Sale ²⁵
09/30/20	3.000	59.85	Various	56.36	...	3.49	Total of 2 transactions
09/30/20	5.000	99.75	09/28/20	104.50	4.75 W	0.00	Sale ²⁵
10/05/20	1.000	20.81	10/01/20	20.74	...	0.07	Sale ²⁵
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	4.000	88.16	10/01/20	82.95	...	5.21	1 of 3 - Sale ²⁵
	12.000	264.50	10/01/20	237.47	...	27.03	2 of 3 - Sale ²⁵
	4.000	88.16	10/02/20	77.84	...	10.32	3 of 3 - Sale ²⁵
10/07/20	20.000	440.82	Various	398.26	...	42.56	Total of 3 transactions

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
11/04/20	8.000	144.80	10/27/20	150.36	5.56 W	0.00	Sale ²⁵
	2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	4.000	81.32	10/27/20	76.62	...	4.70	1 of 2 - Sale ²⁵
	6.000	121.98	10/27/20	112.77	...	9.21	2 of 2 - Sale ²⁵
11/09/20	10.000	203.30	Various	189.39	...	13.91	Total of 2 transactions
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	4.000	82.24	10/30/20	72.04	...	10.20	1 of 2 - Sale ²⁵
	6.000	123.37	10/30/20	103.89	...	19.48	2 of 2 - Sale ²⁵
11/10/20	10.000	205.61	Various	175.93	...	29.68	Total of 2 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	10.000	218.74	11/13/20	216.90	...	1.84	1 of 2 - Sale ²⁵
	5.000	114.95	11/13/20	108.45	...	6.50	2 of 2 - Sale ²⁵
11/18/20	15.000	333.69	Various	325.35	...	8.34	Total of 2 transactions
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	5.000	120.63	11/13/20	108.45	...	12.18	1 of 2 - Sale ²⁵
	10.000	240.20	11/13/20	215.40	...	24.80	2 of 2 - Sale ²⁵
11/20/20	15.000	360.83	Various	323.85	...	36.98	Total of 2 transactions
	3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	10.000	283.69	11/13/20	214.70	...	68.99	1 of 3 - Sale ²⁵
	1.000	28.17	11/16/20	20.67	...	7.50	2 of 3 - Sale ²⁵
	10.000	283.69	11/16/20	206.70	...	76.99	3 of 3 - Sale ²⁵
11/27/20	21.000	595.55	Various	442.07	...	153.48	Total of 3 transactions
11/30/20	9.000	242.51	11/16/20	186.03	...	56.48	Sale ²⁵
	4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	9.000	226.71	12/09/20	288.34	61.63 W	0.00	1 of 4 - Sale ²⁵
	1.000	25.19	12/14/20	27.14	1.95 W	0.00	2 of 4 - Sale ²⁵
	9.000	225.23	12/14/20	305.84	80.61 W	0.00	3 of 4 - Sale ²⁵
	1.000	25.02	12/15/20	26.45	1.43 W	0.00	4 of 4 - Sale ²⁵
12/22/20	20.000	502.15	Various	647.77	145.62 W	0.00	Total of 4 transactions
12/23/20	1.000	26.45	12/18/20	25.86	...	0.59	Sale ²⁵
12/23/20	9.000	238.05	12/16/20	312.09	74.04 W	0.00	Sale ²⁵
12/30/20	5.000	120.35	12/18/20	163.26	42.91 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 824906838

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
Security total:		3,707.29		3,646.65		284.94 W	345.58
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	9.000	106.41	10/06/20	98.44	...	7.97	1 of 2 - Sale ²⁵
	9.000	106.42	10/06/20	97.91	...	8.51	2 of 2 - Sale ²⁵
10/07/20	18.000	212.83	Various	196.35	...	16.48	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
09/30/20	6.000	153.27	09/28/20	151.91	...	1.36	Sale ²⁵
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	17.000	302.02	11/05/20	296.97	...	5.05	1 of 2 - Sale ²⁵
	9.000	159.89	11/06/20	155.94	...	3.95	2 of 2 - Sale ²⁵
11/09/20	26.000	461.91	Various	452.91	...	9.00	Total of 2 transactions
Security total:		615.18		604.82	...	10.36	
X4 PHARMACEUTICALS, INC. COMM O N STOCK / CUSIP: 98420X103 / Symbol:							
07/14/20	9.000	72.90	07/09/20	71.10	...	1.80	Sale ²⁵
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	8.24	07/09/20	7.90	...	0.34	1 of 4 - Sale ²⁵
	10.000	82.35	07/27/20	80.30	...	2.05	2 of 4 - Sale ²⁵
	19.000	156.46	07/29/20	153.62	...	2.84	3 of 4 - Sale ²⁵
	10.000	82.35	07/31/20	78.30	...	4.05	4 of 4 - Sale ²⁵
08/06/20	40.000	329.40	Various	320.12	...	9.28	Total of 4 transactions
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	2.000	16.58	07/31/20	15.66	...	0.92	1 of 2 - Sale ²⁵
	5.000	41.38	07/31/20	39.15	...	2.23	2 of 2 - Sale ²⁵
08/07/20	7.000	57.96	Various	54.81	...	3.15	Total of 2 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	8.51	07/31/20	7.83	...	0.68	1 of 2 - Sale ²⁵
	1.000	8.63	07/31/20	7.83	...	0.80	2 of 2 - Sale ²⁵
08/12/20	2.000	17.14	Various	15.66	...	1.48	Total of 2 transactions
Security total:		477.40		461.69	...	15.71	

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Account 824906838

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
08/06/20	10.000	100.93	05/01/20	74.50	...	26.43	Sale ²⁵
08/12/20	5.000	45.70	05/01/20	37.25	...	8.45	Sale ²⁵
08/14/20	3.000	27.94	05/01/20	22.35	...	5.59	Sale ²⁵
08/24/20	1.000	9.27	05/01/20	7.45	...	1.82	Sale ²⁵
09/01/20	1.000	9.10	05/01/20	7.45	...	1.65	Sale ²⁵
Security total:		192.94		149.00	...	43.94	
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
05/06/20	50.000	130.64	05/01/20	125.00	...	5.64	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	10.000	123.30	05/08/20	126.70	...	-3.40	1 of 2 - Sale ²⁵
	9.000	116.01	05/08/20	114.75	...	1.26	2 of 2 - Sale ²⁵
05/08/20	19.000	239.31	Various	241.45	...	-2.14	Total of 2 transactions
Totals :		94,663.33		97,921.97	2,881.25 W	-377.39	

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Robinhood Securities LLC

Account 824906838

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
JPMORGAN CHASE & CO.	46625H100		07/31/20	1.80	Qualified dividend	
TWO HARBORS INVESTMENT CORP.	90187B408		07/29/20	0.70	Nondividend distribution	03
				2.50	Total Dividends & distributions	

Robinhood Securities LLC

Account 824906838

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/01/20	-5.00	Margin interest paid	
		06/29/20	-5.00	Margin interest paid	
		07/29/20	-5.00	Margin interest paid	
		08/28/20	-5.00	Margin interest paid	
		09/28/20	-5.00	Margin interest paid	
		10/27/20	-5.00	Margin interest paid	
		11/27/20	-5.00	Margin interest paid	
		12/28/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
			-40.00	Total Margin interest paid	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
- 25 Tax lot closed on a first in first out basis.
- MP Marine Petroleum Trust is a widely held fixed investment trust (WHFIT). Items of income, deduction, and credit, and any other information shown on this statement must be taken into account in computing taxable income and credits on your income tax return(s). Dividends reported to you as qualified must also meet the holding period requirements of section 1(h)(11)(B)(iii) of the Internal Revenue Code to be taxed as qualified dividends. You may be entitled to a depletion allowance which can be determined by referencing the additional information published by the trustee at www.marps-marine.com. If you can not access the information electronically and do not receive it from the trustee, you may request a hardcopy.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

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Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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