



FIDELITY BROKERAGE SERVICES LLC
P.O. Box 28019
Albuquerque, NM 87125-8019

2020 TAX REPORTING STATEMENT

SHIRISH PATHAK

Account No. **X70-835525** Customer Service: 800-544-6666
Recipient ID No. *****-**-1651** Payer's Fed ID Number: 04-3523567

eDelivered

SHIRISH PATHAK
2527 PORTICI PASS
ROUND ROCK TX 78665-2245

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
499 WASHINGTON BLVD
JERSEY CITY, NJ 07310

Form 1099-DIV * **2020 Dividends and Distributions** Copy B for Recipient
(OMB No. 1545-0110)

1a Total Ordinary Dividends	1,463.56	7 Foreign Tax Paid.....	0.00
1b Qualified Dividends	1,459.59	8 Foreign Country or U.S. Possession.....	
2a Total Capital Gain Distributions	0.00	9 Cash Liquidation Distributions.....	0.00
2b Unrecap. Sec 1250 Gain.....	0.00	10 Non-Cash Liquidation Distributions.....	0.00
2c Section 1202 Gain.....	0.00	11 Exempt Interest Dividends	0.00
2d Collectibles (28%) Gain.....	0.00	12 Specified Private Activity Bond Interest Dividends.....	0.00
3 Nondividend Distributions	0.00	13 State	
4 Federal Income Tax Withheld	0.00	14 State Identification No.	
5 Section 199A Dividends	0.00	15 State Tax Withheld.....	0.00
6 Investment Expenses.....	0.00		

Form 1099-INT * **2020 Interest Income** Copy B for Recipient
(OMB No. 1545-0112)

1 Interest Income	38.32	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld	0.00	13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no.	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest	0.00	17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

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Form 1099-MISC *

2020 Miscellaneous Income

Copy B for Recipient
(OMB No. 1545-0115)

2 Royalties	0.00	15 State Tax Withheld	0.00
3 Other Income	0.00	16 State/Payer's State No.	
4 Federal Income Tax Withheld	0.00	17 State Income	0.00
8 Substitute Payments in Lieu of Dividends or Interest	0.00		

Summary of 2020 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	308,981.54	297,819.60	0.00	0.00	11,161.94	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	3,817.91	4,000.43	0.00	0.00	-182.52	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	114,985.25	60,444.92	0.00	0.00	54,540.33	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	427,784.70	362,264.95	0.00	0.00	65,519.75	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2020 Original Issue Discount

Total Original Issue Discount	0.00	Total Original Issue Discount - REMIC	0.00
Total Original Issue Discount on U.S. Treasury Obligations	0.00		
Total Original Issue Discount on Tax-Exempt Obligations	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ALPHABET INC CAP STKCL C, GOOG, 02079K107										
Sale	4.000	03/11/20	03/13/20	4,707.89	4,883.48			-175.59		
AMAZON.COM INC, AMZN, 023135106										
Sale	20.000	04/30/20	05/14/20	47,486.68	48,360.80			-874.12		
APPLE INC COM USD0.00001, AAPL, 037833100										
Sale	15.000	03/09/20	03/13/20	3,939.81	4,088.48			-148.67		
MICROSOFT CORP, MSFT, 594918104										
Sale	25.000	03/09/20	03/13/20	3,645.31	3,830.50			-185.19		
TESLA INC COM, TSLA, 88160R101										
Sale	20.000	03/09/20	03/13/20	10,166.65	12,697.63			-2,530.98		
UNITEDHEALTH GROUP, UNH, 91324P102										
Sale	28.316	07/01/19	04/23/20	8,155.17	5,840.22(e)			2,314.95		
Sale	28.248	01/01/20	04/23/20	8,135.53	5,858.07(e)			2,277.46		
Sale	100.000	03/12/20	04/23/20	28,800.36	26,774.50			2,025.86		
Sale	25.000	03/16/20	04/23/20	7,200.09	6,011.75			1,188.34		
Sale	22.300	03/18/20	04/23/20	6,422.42	4,926.83			1,495.59		
Cash In Lieu	0.700	03/18/20	04/27/20	201.66	154.70			46.96		
Sale	100.000	05/13/20	05/14/20	29,043.85	27,771.20			1,272.65		
Sale	200.000	05/13/20	05/14/20	58,092.71	55,542.40			2,550.31		
Sale	170.000	05/20/20	05/27/20	50,661.80	49,626.40			1,035.40		

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FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
UNITEDHEALTH GROUP, UNH, 91324P102										
Sale	142.000	05/20/20	05/27/20	42,321.61	41,452.64			868.97		
Subtotals				239,035.20	223,958.71					
TOTALS				308,981.54	297,819.60	0.00	0.00		0.00	
					Box A Short-Term Realized Gain			15,076.49		
					Box A Short-Term Realized Loss			-3,914.55		

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FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
CREDIT SUISSE AG NASSAU BRH VELOCITY 0.0, TVIXF, 22542D258										
Sale	20.000	06/15/20	06/15/20	3,817.91	4,000.43			-182.52		
TOTALS				3,817.91	4,000.43	0.00	0.00		0.00	
								0.00		
								-182.52		

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FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Long-term transactions for which basis is reported to the IRS --report on Form 8949 with Box D checked and/or Schedule D, Part II

Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
UNITEDHEALTH GROUP, UNH, 91324P102										
Sale	9.500	01/01/16	02/13/20	2,878.06	950.00(e)			1,928.06		
Sale	61.000	01/07/16	02/13/20	18,480.15	6,849.94			11,630.21		
Sale	55.769	07/01/16	02/13/20	16,895.49	5,576.93(e)			11,318.56		
Sale	38.731	01/01/17	02/13/20	11,733.59	4,637.61(e)			7,095.98		
Sale	8.037	01/01/17	03/04/20	2,332.72	962.40(e)			1,370.32		
Sale	41.673	07/01/17	03/04/20	12,094.96	5,669.22(e)			6,425.74		
Sale	36.165	01/01/18	03/04/20	10,496.33	5,699.98(e)			4,796.35		
Sale	50.000	03/20/18	03/04/20	14,511.68	11,298.08			3,213.60		
Sale	30.689	07/01/18	03/04/20	8,906.92	5,769.19(e)			3,137.73		
Sale	30.000	12/27/18	03/04/20	8,707.01	7,231.63			1,475.38		
Sale	3.436	01/01/19	03/04/20	997.09	722.69(e)			274.40		
Sale	24.136	01/01/19	04/23/20	6,951.25	5,077.25(e)			1,874.00		
Subtotals				114,985.25	60,444.92					
TOTALS				114,985.25	60,444.92	0.00	0.00		0.00	
								54,540.33		
								0.00		

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FORM 1099-B*

2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

- (b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.
- (e) Your 1099-B reflects shares disposed of that were acquired through your employers stock plan. Cost basis associated with these shares may not have been adjusted for any compensation income that was associated with those shares in the year of acquisition or disposal. Please consult a tax advisor for the rules specific to your grant and plan. Refer to the Supplemental Stock Plan Service Lot Detail section of this form for additional detail.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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Summary of 2020 Supplemental Information Not Reported to the IRS

Margin Interest Paid	0.00	Currency Realized Gain/Loss (USD)	0.00
Tax Exempt Investment Expense	0.00	Actual Payment Shortfall.....	0.00
Accrued Interest Paid on Purchases	0.00	Addition to Basis	0.00
Proceeds Investment Expenses	0.00	Account Fees.....	0.00
Severance Tax	0.00	Short Dividends.....	0.00
Administrative Expenses	0.00	Money Market Realized Gain/Loss.....	0.00
Non-deductible Generic Expenses	0.00	Short/Long Term Realized Gain/Loss.....	0.00
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS).....	0.00

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2020 SUPPLEMENTAL INFORMATION

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Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
ETF SER SOLUTIONS US GLB JETS, JETS, 26922A842								
12/31/20	4.58	0.25	3.72	0.61				
MICROSOFT CORP, MSFT, 594918104								
12/10/20	8.40			8.40				
UNITEDHEALTH GROUP INC, UNH, 91324P102								
03/24/20	195.16			195.16				
06/30/20	400.00			400.00				
09/22/20	427.71			427.71				
12/15/20	427.71			427.71				
Subtotals	1,450.58			1,450.58				
TOTALS	1,463.56	0.25	3.72	1,459.59	0.00	0.00	0.00	0.00

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2020** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).



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Details of 1099-INT Transactions

Interest Income Details, Taxable Obligations

Description, Symbol, CUSIP						
Date	1 Interest Income	6 Foreign Tax Paid	11 Bond Premium	Noncovered Bond Premium	10 Market Discount	Noncovered Market Discount
CASH, FCASH, 315994103						
01/31/20	1.17					
02/28/20	13.45					
03/31/20	21.51					
04/30/20	0.62					
05/29/20	0.80					
06/30/20	0.41					
07/31/20	0.23					
08/31/20	0.11					
09/30/20	0.01					
12/31/20	0.01					
Subtotals	38.32					
TOTALS	38.32	0.00	0.00	0.00	0.00	0.00





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Detail Information

Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

Short-Term Transactions

Description of Property, Stock or Other Symbol, Cusip								
Grant Type (w)	Quantity	Date of Acquisition (x)	Date Sold or Disposed	Proceeds	Ordinary Income Reported (y)	Adjusted Cost or Other Basis (z)	Wash Sale Loss Disallowed	Adjusted Gain/Loss
UNITEDHEALTH GROUP, UNH, 91324P102								
QSP	28.316	07/01/19	04/23/20	8,155.17	1,030.43	6,870.65	0.00	1,284.52
QSP	28.248	01/01/20	04/23/20	8,135.53	2,446.28	8,304.35	0.00	-168.82
	100.000	03/12/20	04/23/20	28,800.36	0.00	26,774.50	0.00	2,025.86
	25.000	03/16/20	04/23/20	7,200.09	0.00	6,011.75	0.00	1,188.34
	22.300	03/18/20	04/23/20	6,422.42	0.00	4,926.83	0.00	1,495.59
	0.700	03/18/20	04/27/20	201.66	0.00	154.70	0.00	46.96
	100.000	05/13/20	05/14/20	29,043.85	0.00	27,771.20	0.00	1,272.65
	200.000	05/13/20	05/14/20	58,092.71	0.00	55,542.40	0.00	2,550.31
	170.000	05/20/20	05/27/20	50,661.80	0.00	49,626.40	0.00	1,035.40
	142.000	05/20/20	05/27/20	42,321.61	0.00	41,452.64	0.00	868.97
Totals				239,035.20		227,435.42		
				Short-Term Adjusted Realized Gain				11,768.60
				Short-Term Adjusted Realized Loss				-168.82
				Wash Sale Loss Disallowed			0.00	

Detail Information

Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

Long-Term Transactions

Description of Property, Stock or Other Symbol, Cusip								
Grant Type (w)	Quantity	Date of Acquisition (x)	Date Sold or Disposed	Proceeds	Ordinary Income Reported (y)	Adjusted Cost or Other Basis (z)	Wash Sale Loss Disallowed	Adjusted Gain/Loss
UNITEDHEALTH GROUP, UNH, 91324P102								
QSP	9.500	01/01/16	02/13/20	2,878.06	173.58	1,123.58	0.00	1,754.48
	61.000	01/07/16	02/13/20	18,480.15	0.00	6,849.94	0.00	11,630.21



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Detail Information

Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

Long-Term Transactions

Description of Property, Stock or Other Symbol, Cusip								
Grant Type (w)	Quantity	Date of Acquisition (x)	Date Sold or Disposed	Proceeds	Ordinary Income Reported (y)	Adjusted Cost or Other Basis (z)	Wash Sale Loss Disallowed	Adjusted Gain/Loss
UNITEDHEALTH GROUP, UNH, 91324P102								
QSP	55.769	07/01/16	02/13/20	16,895.49	984.11	6,561.04	0.00	10,334.45
QSP	38.731	01/01/17	02/13/20	11,733.59	818.34	5,455.95	0.00	6,277.64
QSP	8.037	01/01/17	03/04/20	2,332.72	169.82	1,132.22	0.00	1,200.50
QSP	41.673	07/01/17	03/04/20	12,094.96	1,000.41	6,669.63	0.00	5,425.33
QSP	36.165	01/01/18	03/04/20	10,496.33	1,005.86	6,705.84	0.00	3,790.49
	50.000	03/20/18	03/04/20	14,511.68	0.00	11,298.08	0.00	3,213.60
QSP	30.689	07/01/18	03/04/20	8,906.92	1,018.07	6,787.26	0.00	2,119.66
	30.000	12/27/18	03/04/20	8,707.01	0.00	7,231.63	0.00	1,475.38
QSP	3.436	01/01/19	03/04/20	997.09	133.16	855.85	0.00	141.24
QSP	24.136	01/01/19	04/23/20	6,951.25	935.51	6,012.76	0.00	938.49
Totals				114,985.25		66,683.78		
				Long-Term Adjusted Realized Gain				48,301.47
				Long-Term Adjusted Realized Loss				0.00
				Wash Sale Loss Disallowed			0.00	

(w) Grant Type describes the equity award source of the lot you sold this tax year. Please see the Grant Type table for additional description.





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Detail Information

Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

Grant Type	Equity Award Type	Acquisition date represents:
DO	Deposit Only Shares	Deposit Date
NQSOP	Non Qualified Stock Option Shares	Exercise Date
NQSP	Non Qualified ESPP Shares	Purchase Date
NSR	Non-Incentive SAR (NSR)	Exercise Date
QSOP	Qualified Stock Option Shares	Exercise Date
QSP	Qualified ESPP Shares	Purchase Date
RSA	Restricted Awards	Vesting Date
RSU	Restricted Units	Distribution Date
RSU	Performance Units	Distribution Date
RSU	Total Shareholder Return Units	Distribution Date
SAR	Stock Appreciation Rights	Exercise Date

- (x) Date of Acquisition is the date that shares were acquired from your Equity Plan and deposited into your brokerage account.
- (y) Fidelity-calculated ordinary income that was reported on the W2 from the year you acquired the shares. Fidelity-calculated ordinary income does not include any adjustments that your company has made. Fidelity is not responsible for any adjustments that your company may have made to this number.
- (z) Adjusted cost or other basis provided may include the following adjustments: ordinary income reported, dividend reinvestment, return of capital/principal and wash sale loss disallowed.

Important Tax Return Document Enclosed.

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