

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 129636015	Statement Date: 02/14/2021 Document ID: CVN5 E13 133N	2020
	Anil Bellapu 15451 NE 13th PI APT 607 Bellevue, WA 98007 RECIPIENT'S TIN: XXX-XX-8372		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	152.24		2- Royalties	0.00	
1b- Qualified dividends	34.33		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	3.47		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.36		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	117.91		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	219,006.54	234,875.68	0.00	2,296.50	-13,572.64
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	219,006.54	234,875.68	0.00	2,296.50	-13,572.64
Long	D (basis reported to the IRS)	4,400.54	4,202.00	0.00	0.00	198.54
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.08	3.75	0.00	0.00	-3.67
	Total Long-term	4,400.62	4,205.75	0.00	0.00	194.87
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	223,407.16	239,081.43	0.00	2,296.50	-13,377.77

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	30.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/11/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/11/20	2.000	0.00	09/10/20	140.00	...	-140.00	Option expiration- long call
AAPL 09/18/2020 PUT \$110.00 / CUSIP: / Symbol:							
09/17/20	5.000	999.96	09/15/20	275.00	...	724.96	Option sale to close-put ²⁵
AAPL 09/25/2020 CALL \$112.50 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	2.000	3.98	09/23/20	50.00	...	-46.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.00	09/23/20	25.00	...	-25.00	2 of 2 - Option expiration- long call
09/25/20	3.000	3.98	Various	75.00	...	-71.02	Total of 2 transactions
AAPL 10/16/2020 CALL \$135.00 / CUSIP: / Symbol:							
10/16/20	5.000	0.00	09/15/20	650.00	...	-650.00	Option expiration- long call

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Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
12/15/20	10.000	699.96	12/07/20	700.00	...	-0.04	Option sale to close-call ²⁵
ACN 09/25/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/25/20	2.000	0.00	09/23/20	80.00	...	-80.00	Option expiration- long call
ACN 09/25/2020 PUT \$207.50 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/23/20	40.00	...	-40.00	Option expiration- long put
AMD 10/30/2020 CALL \$90.00 / CUSIP: / Symbol:							
10 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/16/20	190.00	...	-190.00	1 of 10 - Option expiration- long call
	1.000	0.00	10/16/20	190.00	...	-190.00	2 of 10 - Option expiration- long call
	2.000	0.00	10/23/20	176.00	...	-176.00	3 of 10 - Option expiration- long call
	1.000	0.00	10/23/20	88.00	...	-88.00	4 of 10 - Option expiration- long call
	1.000	0.00	10/23/20	88.00	...	-88.00	5 of 10 - Option expiration- long call
	1.000	0.00	10/23/20	88.00	...	-88.00	6 of 10 - Option expiration- long call
	20.000	0.00	10/27/20	160.00	...	-160.00	7 of 10 - Option expiration- long call
	8.000	0.00	10/27/20	64.00	...	-64.00	8 of 10 - Option expiration- long call
	1.000	0.00	10/27/20	8.00	...	-8.00	9 of 10 - Option expiration- long call
	1.000	0.00	10/27/20	8.00	...	-8.00	10 of 10 - Option expiration- long call
10/30/20	37.000	0.00	Various	1,060.00	...	-1,060.00	Total of 10 transactions
AMZN 10/23/2020 CALL \$3,420.00 / CUSIP: / Symbol:							
5 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/16/20	5,500.00	...	-5,500.00	1 of 5 - Option expiration- long call
	1.000	0.00	10/16/20	2,700.00	...	-2,700.00	2 of 5 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 10/23/2020 CALL \$3,420.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	10/19/20	900.00	...	-900.00	3 of 5 - Option expiration- long call
	1.000	0.00	10/21/20	195.00	...	-195.00	4 of 5 - Option expiration- long call
	1.000	0.00	10/21/20	195.00	...	-195.00	5 of 5 - Option expiration- long call
10/23/20	5.000	0.00	Various	9,490.00	...	-9,490.00	Total of 5 transactions
AMZN 11/13/2020 PUT \$2,700.00 / CUSIP: / Symbol:							
11/12/20	1.000	24.98	11/06/20	175.00	...	-150.02	Option sale to close-put ²⁵
AMZN 11/20/2020 CALL \$3,700.00 / CUSIP: / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	3,049.92	10/26/20	4,040.00	...	-990.08	1 of 2 - Option sale to close-call ²⁵
	1.000	3,049.92	10/26/20	4,040.00	...	-990.08	2 of 2 - Option sale to close-call ²⁵
10/29/20	2.000	6,099.84	Various	8,080.00	...	-1,980.16	Total of 2 transactions
AMZN 11/20/2020 PUT \$2,800.00 / CUSIP: / Symbol:							
2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	1.000	5,999.86	10/30/20	5,500.00	...	499.86	1 of 2 - Option sale to close-put ²⁵
	1.000	5,999.86	10/30/20	5,500.00	...	499.86	2 of 2 - Option sale to close-put ²⁵
10/30/20	2.000	11,999.72	Various	11,000.00	...	999.72	Total of 2 transactions
AMZN 11/20/2020 PUT \$2,900.00 / CUSIP: / Symbol:							
10/30/20	1.000	8,499.80	10/30/20	5,290.00	...	3,209.80	Option sale to close-put ²⁵
AMZN 11/27/2020 PUT \$2,700.00 / CUSIP: / Symbol:							
11/04/20	2.000	2,199.94	11/02/20	9,800.00	...	-7,600.06	Option sale to close-put ²⁵
AMZN 12/11/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
12/10/20	1.000	15.98	12/07/20	370.00	...	-354.02	Option sale to close-call ²⁵
AMZN 12/18/2020 PUT \$2,700.00 / CUSIP: / Symbol:							
11/10/20	1.000	3,799.90	11/05/20	1,550.00	...	2,249.90	Option sale to close-put ²⁵
12/09/20	1.000	329.98	11/05/20	1,550.00	...	-1,220.02	Option sale to close-put ²⁵
	Security total:	4,129.88		3,100.00	...	1,029.88	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYX 11/20/2020 PUT \$90.00 / CUSIP: / Symbol:							
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	23.99	11/02/20	136.01	...	-112.02	1 of 2 - Option sale to close-put ²⁵
11/05/20	1.000	23.99	11/02/20	136.01	...	-112.02	2 of 2 - Option sale to close-put ²⁵
	2.000	47.98	Various	272.02	...	-224.04	Total of 2 transactions
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	23.99	11/02/20	80.00	56.01 W	0.00	1 of 2 - Option sale to close-put ²⁵
11/05/20	1.000	23.99	11/02/20	80.00	56.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
	2.000	47.98	Various	160.00	112.02 W	0.00	Total of 2 transactions
	Security total:	95.96		432.02	112.02 W	-224.04	
BAC 06/26/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/26/20	1.000	0.00	06/12/20	83.00	...	-83.00	Option expiration- long call
DOCU 12/04/2020 PUT \$200.00 / CUSIP: / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	2.000	1.99	12/03/20	160.00	...	-158.01	1 of 4 - Option sale to close-put ²⁵
	1.000	0.99	12/03/20	80.00	...	-79.01	2 of 4 - Option sale to close-put ²⁵
	1.000	1.00	12/03/20	80.00	...	-79.00	3 of 4 - Option sale to close-put ²⁵
12/04/20	1.000	1.00	12/03/20	80.00	...	-79.00	4 of 4 - Option sale to close-put ²⁵
	5.000	4.98	Various	400.00	...	-395.02	Total of 4 transactions
FB 09/18/2020 PUT \$255.00 / CUSIP: / Symbol:							
09/17/20	1.000	479.97	09/16/20	110.00	...	369.97	Option sale to close-put ²⁵
FSR 02/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	2.000	799.98	09/15/20	2,000.00	...	-1,200.02	1 of 2 - Option sale to close-call ²⁵
11/25/20	8.000	3,199.91	10/07/20	2,400.00	...	799.91	2 of 2 - Option sale to close-call ²⁵
	10.000	3,999.89	Various	4,400.00	...	-400.11	Total of 2 transactions
FVRR 10/16/2020 CALL \$190.00 / CUSIP: / Symbol:							
10/16/20	2.000	9.98	10/14/20	200.00	...	-190.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD 11/13/2020 CALL \$90.00 / CUSIP: / Symbol:							
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	30.00	11/11/20	15.00	...	15.00	1 of 3 - Option sale to close-call ²⁵
	4.000	119.99	11/11/20	60.00	...	59.99	2 of 3 - Option sale to close-call ²⁵
	5.000	149.98	11/11/20	75.00	...	74.98	3 of 3 - Option sale to close-call ²⁵
11/12/20	10.000	299.97	Various	150.00	...	149.97	Total of 3 transactions
JNJ 10/16/2020 CALL \$157.50 / CUSIP: / Symbol:							
7 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	10/12/20	117.00	...	-117.00	1 of 7 - Option expiration- long call
	2.000	0.00	10/12/20	78.00	...	-78.00	2 of 7 - Option expiration- long call
	1.000	0.00	10/12/20	39.00	...	-39.00	3 of 7 - Option expiration- long call
	1.000	0.00	10/12/20	39.00	...	-39.00	4 of 7 - Option expiration- long call
	1.000	0.00	10/12/20	39.00	...	-39.00	5 of 7 - Option expiration- long call
	1.000	0.00	10/12/20	39.00	...	-39.00	6 of 7 - Option expiration- long call
	1.000	0.00	10/12/20	39.00	...	-39.00	7 of 7 - Option expiration- long call
10/16/20	10.000	0.00	Various	390.00	...	-390.00	Total of 7 transactions
JPM 10/16/2020 CALL \$105.00 / CUSIP: / Symbol:							
6 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	10/12/20	460.00	...	-460.00	1 of 6 - Option expiration- long call
	1.000	0.00	10/12/20	92.00	...	-92.00	2 of 6 - Option expiration- long call
	1.000	0.00	10/12/20	92.00	...	-92.00	3 of 6 - Option expiration- long call
	1.000	0.00	10/12/20	92.00	...	-92.00	4 of 6 - Option expiration- long call
	1.000	0.00	10/12/20	92.00	...	-92.00	5 of 6 - Option expiration- long call
	1.000	0.00	10/12/20	92.00	...	-92.00	6 of 6 - Option expiration- long call
10/16/20	10.000	0.00	Various	920.00	...	-920.00	Total of 6 transactions
LCA 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	10.000	2,299.93	09/09/20	3,300.00	...	-1,000.07	1 of 2 - Option sale to close-call ²⁵
	6.000	1,379.95	10/13/20	540.00	...	839.95	2 of 2 - Option sale to close-call ²⁵
12/01/20	16.000	3,679.88	Various	3,840.00	...	-160.12	Total of 2 transactions
12/04/20	4.000	1,519.95	10/13/20	360.00	...	1,159.95	Option sale to close-call ²⁵
	Security total:	5,199.83		4,200.00	...	999.83	

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MGM 11/20/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	5.000	34.98	11/12/20	45.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	5.000	34.98	11/12/20	45.00	...	-10.02	2 of 2 - Option sale to close-call ²⁵
11/12/20	10.000	69.96	Various	90.00	...	-20.04	Total of 2 transactions
MGM 11/20/2020 PUT \$20.00 / CUSIP: / Symbol:							
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	6.000	0.00	11/12/20	66.00	...	-66.00	1 of 3 - Option expiration- long put
	2.000	0.00	11/12/20	22.00	...	-22.00	2 of 3 - Option expiration- long put
	3.000	0.00	11/13/20	9.00	...	-9.00	3 of 3 - Option expiration- long put
11/20/20	11.000	0.00	Various	97.00	...	-97.00	Total of 3 transactions
MSFT 06/18/2021 CALL \$310.00 / CUSIP: / Symbol:							
10/13/20	6.000	2,339.93	09/08/20	2,220.00	...	119.93	Option sale to close-call ²⁵
NIO 10/02/2020 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	9.000	0.00	10/01/20	54.00	...	-54.00	1 of 2 - Option expiration- long put
	1.000	0.00	10/01/20	6.00	...	-6.00	2 of 2 - Option expiration- long put
10/02/20	10.000	0.00	Various	60.00	...	-60.00	Total of 2 transactions
NKE 09/25/2020 CALL \$122.00 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.000	1,449.96	09/22/20	280.00	...	1,169.96	1 of 2 - Option sale to close-call ²⁵
	3.000	2,174.94	09/22/20	420.00	...	1,754.94	2 of 2 - Option sale to close-call ²⁵
09/23/20	5.000	3,624.90	Various	700.00	...	2,924.90	Total of 2 transactions
NKLA 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
17 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	7.000	2,939.92	07/14/20	3,220.00	...	-280.08	1 of 17 - Option sale to close-call ²⁵
	10.000	4,199.89	07/16/20	4,100.00	...	99.89	2 of 17 - Option sale to close-call ²⁵

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NKLA 09/18/2020 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	419.99	07/17/20	310.00	...	109.99	3 of 17 - Option sale to close-call ²⁵
	2.000	839.98	07/17/20	620.00	...	219.98	4 of 17 - Option sale to close-call ²⁵
	2.000	839.98	07/17/20	620.00	...	219.98	5 of 17 - Option sale to close-call ²⁵
	2.000	839.98	07/17/20	620.00	...	219.98	6 of 17 - Option sale to close-call ²⁵
	2.000	839.98	07/17/20	620.00	...	219.98	7 of 17 - Option sale to close-call ²⁵
	3.000	1,259.96	07/17/20	930.00	...	329.96	8 of 17 - Option sale to close-call ²⁵
	3.000	1,259.97	07/17/20	930.00	...	329.97	9 of 17 - Option sale to close-call ²⁵
	3.000	1,259.97	07/17/20	930.00	...	329.97	10 of 17 - Option sale to close-call ²⁵
	1.000	419.99	08/24/20	135.02	...	284.97	11 of 17 - Option sale to close-call ²⁵
	1.000	419.99	08/24/20	125.02	...	294.97	12 of 17 - Option sale to close-call ²⁵
	1.000	419.99	08/24/20	125.02	...	294.97	13 of 17 - Option sale to close-call ²⁵
	7.000	2,939.92	08/24/20	665.00	...	2,274.92	14 of 17 - Option sale to close-call ²⁵
	1.000	419.99	08/26/20	70.00	...	349.99	15 of 17 - Option sale to close-call ²⁵
	19.000	7,979.77	08/26/20	1,330.00	...	6,649.77	16 of 17 - Option sale to close-call ²⁵
	5.000	2,099.94	09/03/20	100.00	...	1,999.94	17 of 17 - Option sale to close-call ²⁵
09/08/20	70.000	29,399.21	Various	15,450.06	...	13,949.15	Total of 17 transactions
	3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	1.000	429.98	07/14/20	460.00	30.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	429.98	07/14/20	460.00	30.02 W	0.00	2 of 3 - Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 09/18/2020 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	419.98	07/14/20	460.00	40.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/08/20	3.000	1,279.94	Various	1,380.00	100.06 W	0.00	Total of 3 transactions
	Security total:	30,679.15		16,830.06	100.06 W	13,949.15	
OSTK 09/25/2020 PUT \$70.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/22/20	80.00	...	-80.00	Option expiration- long put
PENN 01/15/2021 CALL \$85.00 / CUSIP: / Symbol:							
12/15/20	1.000	609.97	12/09/20	590.00	...	19.97	Option sale to close-call ²⁵
PINS 10/23/2020 PUT \$46.00 / CUSIP: / Symbol:							
10/23/20	10.000	0.00	10/21/20	150.00	...	-150.00	Option expiration- long put
PINS 11/20/2020 PUT \$50.00 / CUSIP: / Symbol:							
	5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	10.000	0.00	10/29/20	720.00	...	-720.00	1 of 5 - Option expiration- long put
	10.000	0.00	11/02/20	1,180.00	...	-1,180.00	2 of 5 - Option expiration- long put
	11.000	0.00	11/13/20	77.00	...	-77.00	3 of 5 - Option expiration- long put
	7.000	0.00	11/13/20	49.00	...	-49.00	4 of 5 - Option expiration- long put
	2.000	0.00	11/13/20	14.00	...	-14.00	5 of 5 - Option expiration- long put
11/20/20	40.000	0.00	Various	2,040.00	...	-2,040.00	Total of 5 transactions
PLUG 10/09/2020 PUT \$13.00 / CUSIP: / Symbol:							
	4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	16.000	0.00	10/05/20	64.00	...	-64.00	1 of 4 - Option expiration- long put
	18.000	0.00	10/05/20	54.00	...	-54.00	2 of 4 - Option expiration- long put
	4.000	0.00	10/05/20	20.00	...	-20.00	3 of 4 - Option expiration- long put
	2.000	0.00	10/05/20	6.00	...	-6.00	4 of 4 - Option expiration- long put
10/09/20	40.000	0.00	Various	144.00	...	-144.00	Total of 4 transactions
PLUG 12/11/2020 CALL \$30.00 / CUSIP: / Symbol:							
	3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	4.000	319.98	12/08/20	320.00	...	-0.02	1 of 3 - Option sale to close-call ²⁵
	1.000	80.00	12/08/20	80.00	...	0.00	2 of 3 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PLUG 12/11/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	10.000	1,099.95	12/08/20	910.00	...	189.95	3 of 3 - Option sale to close-call ²⁵
12/08/20	15.000	1,499.93	Various	1,310.00	...	189.93	Total of 3 transactions
12/11/20	5.000	0.00	12/08/20	400.00	...	-400.00	Option expiration- long call
	Security total:	1,499.93		1,710.00	...	-210.07	
PTON 01/21/2022 CALL \$200.00 / CUSIP: / Symbol:							
10/14/20	1.000	2,784.92	10/06/20	1,900.00	...	884.92	Option sale to close-call ²⁵
PTON 10/23/2020 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	10/16/20	1,000.00	...	-1,000.00	1 of 3 - Option expiration- long call
	2.000	0.00	10/21/20	110.00	...	-110.00	2 of 3 - Option expiration- long call
	2.000	0.00	10/21/20	110.00	...	-110.00	3 of 3 - Option expiration- long call
10/23/20	8.000	0.00	Various	1,220.00	...	-1,220.00	Total of 3 transactions
PTON 11/20/2020 CALL \$130.00 / CUSIP: / Symbol:							
11/20/20	10.000	0.00	11/12/20	700.00	...	-700.00	Option expiration- long call
PYPL 11/06/2020 PUT \$170.00 / CUSIP: / Symbol:							
2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	11/02/20	250.00	...	-245.02	1 of 2 - Option sale to close-put ²⁵
	1.000	4.98	11/02/20	250.00	...	-245.02	2 of 2 - Option sale to close-put ²⁵
11/05/20	2.000	9.96	Various	500.00	...	-490.04	Total of 2 transactions
RAD 10/09/2020 CALL \$12.00 / CUSIP: / Symbol:							
10/09/20	10.000	0.00	10/07/20	30.00	...	-30.00	Option expiration- long call
SE 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	2.000	1,039.97	10/06/20	1,180.00	...	-140.03	1 of 2 - Option sale to close-call ²⁵
	2.000	1,039.97	10/12/20	860.00	...	179.97	2 of 2 - Option sale to close-call ²⁵
10/13/20	4.000	2,079.94	Various	2,040.00	...	39.94	Total of 2 transactions

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SNAP 11/27/2020 PUT \$35.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	10/30/20	1,360.00	...	-1,360.00	1 of 3 - Option expiration- long put
	19.000	0.00	11/24/20	57.00	...	-57.00	2 of 3 - Option expiration- long put
	1.000	0.00	11/24/20	3.00	...	-3.00	3 of 3 - Option expiration- long put
11/27/20	40.000	0.00	Various	1,420.00	...	-1,420.00	Total of 3 transactions
TSLA 06/18/2021 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	6,549.85	09/02/20	5,500.00	...	1,049.85	1 of 2 - Option sale to close-call ²⁵
	1.000	6,549.85	09/08/20	2,900.00	...	3,649.85	2 of 2 - Option sale to close-call ²⁵
09/15/20	2.000	13,099.70	Various	8,400.00	...	4,699.70	Total of 2 transactions
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	5,164.88	09/18/20	6,350.00	...	-1,185.12	1 of 2 - Option sale to close-call ²⁵
	1.000	5,164.88	09/18/20	6,000.00	...	-835.12	2 of 2 - Option sale to close-call ²⁵
09/22/20	2.000	10,329.76	Various	12,350.00	...	-2,020.24	Total of 2 transactions
09/22/20	1.000	5,164.88	09/18/20	6,000.00	835.12 W	0.00	Option sale to close-call ²⁵
09/23/20	1.000	3,999.90	09/18/20	7,185.12	...	-3,185.22	Option sale to close-call ²⁵
	Security total:	32,594.24		33,935.12	835.12 W	-505.76	
TSLA 09/25/2020 PUT \$360.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/22/20	370.00	...	-370.00	Option expiration- long put
TSLA 10/30/2020 CALL \$440.00 / CUSIP: / Symbol:							
10/07/20	1.000	2,864.92	10/06/20	2,631.00	...	233.92	Option sale to close-call ²⁵
TSLA 10/30/2020 CALL \$460.00 / CUSIP: / Symbol:							
10/08/20	1.000	-174.06	10/07/20	0.00	...	-174.06	Short sale closed- call ²⁵ Option written: 10/06/20
TSM 10/16/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/16/20	10.000	0.00	09/18/20	90.00	...	-90.00	Option expiration- long call

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TWTR 10/23/2020 CALL \$50.00 / CUSIP: / Symbol:							
9 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	84.99	10/15/20	10.00	...	74.99	1 of 9 - Option sale to close-call ²⁵
	1.000	84.99	10/15/20	10.00	...	74.99	2 of 9 - Option sale to close-call ²⁵
	1.000	84.99	10/15/20	10.00	...	74.99	3 of 9 - Option sale to close-call ²⁵
	1.000	85.00	10/15/20	10.00	...	75.00	4 of 9 - Option sale to close-call ²⁵
	1.000	85.00	10/15/20	10.00	...	75.00	5 of 9 - Option sale to close-call ²⁵
	1.000	99.98	10/15/20	10.00	...	89.98	6 of 9 - Option sale to close-call ²⁵
	2.000	199.98	10/15/20	20.00	...	179.98	7 of 9 - Option sale to close-call ²⁵
	5.000	424.97	10/15/20	50.00	...	374.97	8 of 9 - Option sale to close-call ²⁵
	7.000	699.97	10/15/20	70.00	...	629.97	9 of 9 - Option sale to close-call ²⁵
10/21/20	20.000	1,849.87	Various	200.00	...	1,649.87	Total of 9 transactions
UVXY 10/30/2020 PUT \$20.00 / CUSIP: / Symbol:							
10/29/20	10.000	549.96	10/28/20	350.00	...	199.96	Option sale to close-put ²⁵
UVXY 11/13/2020 CALL \$16.00 / CUSIP: / Symbol:							
11/13/20	5.000	9.98	11/06/20	350.00	...	-340.02	Option sale to close-call ²⁵
UVXY 11/20/2020 CALL \$12.00 / CUSIP: / Symbol:							
5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	2.000	53.98	11/17/20	226.01	...	-172.03	1 of 5 - Option sale to close-call ²⁵
	3.000	80.99	11/17/20	210.00	...	-129.01	2 of 5 - Option sale to close-call ²⁵
	1.000	26.99	11/17/20	70.00	...	-43.01	3 of 5 - Option sale to close-call ²⁵
	1.000	27.00	11/17/20	70.00	...	-43.00	4 of 5 - Option sale to close-call ²⁵
	1.000	27.00	11/17/20	70.00	...	-43.00	5 of 5 - Option sale to close-call ²⁵
11/20/20	8.000	215.96	Various	646.01	...	-430.05	Total of 5 transactions
11/20/20	2.000	53.99	11/17/20	140.00	86.01 W	0.00	Option sale to close-call ²⁵
	Security total:	269.95		786.01	86.01 W	-430.05	
ZM 10/16/2020 CALL \$520.00 / CUSIP: / Symbol:							
10/14/20	1.000	799.97	10/14/20	750.00	...	49.97	Option sale to close-call ²⁵
ZM 10/16/2020 PUT \$480.00 / CUSIP: / Symbol:							
10/14/20	1.000	419.98	10/13/20	350.00	...	69.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 11/27/2020 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	2.000	61.98	11/13/20	1,388.01	...	-1,326.03	1 of 2 - Option sale to close-call ²⁵
	1.000	30.99	11/13/20	185.00	...	-154.01	2 of 2 - Option sale to close-call ²⁵
11/25/20	3.000	92.97	Various	1,573.01	...	-1,480.04	Total of 2 transactions
11/25/20	2.000	61.99	11/12/20	1,080.00	1,018.01 W	0.00	Option sale to close-call ²⁵
	Security total:	154.96		2,653.01	1,018.01 W	-1,480.04	
ZM 12/24/2020 CALL \$425.00 / CUSIP: / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/21/20	1,250.00	...	-1,250.00	1 of 3 - Option expiration- long call
	2.000	0.00	12/21/20	900.00	...	-900.00	2 of 3 - Option expiration- long call
	3.000	0.00	12/21/20	810.00	...	-810.00	3 of 3 - Option expiration- long call
12/24/20	6.000	0.00	Various	2,960.00	...	-2,960.00	Total of 3 transactions
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	2,000.000	682.74	11/25/20	510.00	...	172.74	1 of 2 - Sale ²⁵
	2,000.000	721.14	11/25/20	510.00	...	211.14	2 of 2 - Sale ²⁵
12/01/20	4,000.000	1,403.88	Various	1,020.00	...	383.88	Total of 2 transactions
ADAMIS PHARMACEUTICALS CORPORA TION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
8 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	51.000	24.98	11/25/20	22.94	...	2.04	1 of 8 - Sale ²⁵
	51.000	24.98	11/25/20	22.94	...	2.04	2 of 8 - Sale ²⁵
	100.000	48.99	11/25/20	44.98	...	4.01	3 of 8 - Sale ²⁵
	100.000	48.99	11/25/20	44.98	...	4.01	4 of 8 - Sale ²⁵
	250.000	122.47	11/25/20	112.45	...	10.02	5 of 8 - Sale ²⁵
	458.000	224.37	11/25/20	206.01	...	18.36	6 of 8 - Sale ²⁵
	690.000	338.02	11/25/20	310.36	...	27.66	7 of 8 - Sale ²⁵
	800.000	391.90	11/25/20	359.84	...	32.06	8 of 8 - Sale ²⁵
12/01/20	2,500.000	1,224.70	Various	1,124.50	...	100.20	Total of 8 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
<i>4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	279.97	11/24/20	278.00	...	1.97	1 of 4 - Sale ²⁵
	1,000.000	279.97	11/24/20	278.00	...	1.97	2 of 4 - Sale ²⁵
	1,000.000	279.97	11/24/20	278.00	...	1.97	3 of 4 - Sale ²⁵
	1,000.000	279.98	11/24/20	278.00	...	1.98	4 of 4 - Sale ²⁵
11/25/20	4,000.000	1,119.89	Various	1,112.00	...	7.89	Total of 4 transactions
<i>9 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	13.65	12/03/20	11.55	...	2.10	1 of 9 - Sale ²⁵
	200.000	77.97	12/03/20	66.00	...	11.97	2 of 9 - Sale ²⁵
	200.000	77.98	12/03/20	66.00	...	11.98	3 of 9 - Sale ²⁵
	235.000	91.62	12/03/20	77.55	...	14.07	4 of 9 - Sale ²⁵
	365.000	142.30	12/03/20	120.45	...	21.85	5 of 9 - Sale ²⁵
	600.000	233.93	12/03/20	198.00	...	35.93	6 of 9 - Sale ²⁵
	765.000	298.25	12/03/20	252.45	...	45.80	7 of 9 - Sale ²⁵
	800.000	311.90	12/03/20	264.00	...	47.90	8 of 9 - Sale ²⁵
	800.000	311.90	12/03/20	264.00	...	47.90	9 of 9 - Sale ²⁵
12/23/20	4,000.000	1,559.50	Various	1,320.00	...	239.50	Total of 9 transactions
	Security total:	2,679.39		2,432.00	...	247.39	
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
11/23/20	1,000.000	4,519.88	07/09/20	3,079.90	...	1,439.98	Sale ²⁵
BELLUS HEALTH INC. COMMON SHARES / CUSIP: 07987C204 / Symbol:							
11/20/20	230.000	720.10	11/18/20	701.50	...	18.60	Sale ²⁵
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
<i>3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	834.96	10/14/20	820.00	...	14.96	1 of 3 - Sale ²⁵
	100.000	834.97	10/14/20	820.00	...	14.97	2 of 3 - Sale ²⁵
	100.000	834.97	10/14/20	820.00	...	14.97	3 of 3 - Sale ²⁵
10/14/20	300.000	2,504.90	Various	2,460.00	...	44.90	Total of 3 transactions

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Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol:							
<i>4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.</i>							
	1,740.000	514.99	06/23/20	1,218.00	...	-703.01	1 of 4 - Sale ²⁵
	1,500.000	443.96	06/23/20	1,050.00	...	-606.04	2 of 4 - Sale ²⁵
	1,000.000	295.97	06/23/20	700.00	...	-404.03	3 of 4 - Sale ²⁵
	760.000	224.94	06/23/20	532.00	...	-307.06	4 of 4 - Sale ²⁵
10/22/20	5,000.000	1,479.86	Various	3,500.00	...	-2,020.14	Total of 4 transactions
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
10/28/20	2,000.000	4,839.65	06/05/20	4,800.00	...	39.65	Sale ²⁵
DIREXION DAILY AEROSPACE & DEFENSE BULL 3X SHARES / CUSIP: 25460E661 / Symbol:							
10/21/20	150.000	1,666.44	10/20/20	1,712.63	46.19 W	0.00	Sale ²⁵
<i>2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	1,735.47	10/23/20	1,780.09	...	-44.62	1 of 2 - Sale ²⁵
	50.000	578.49	10/23/20	577.96	...	0.53	2 of 2 - Sale ²⁵
10/23/20	200.000	2,313.96	Various	2,358.05	...	-44.09	Total of 2 transactions
	Security total:	3,980.40		4,070.68	46.19 W	-44.09	
GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol:							
<i>4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	1,032.000	135.27	06/10/20	928.80	...	-793.53	1 of 4 - Sale ²⁵
	508.000	66.59	06/10/20	457.20	...	-390.61	2 of 4 - Sale ²⁵
	260.000	34.08	06/10/20	234.00	...	-199.92	3 of 4 - Sale ²⁵
	200.000	26.22	06/10/20	180.00	...	-153.78	4 of 4 - Sale ²⁵
09/03/20	2,000.000	262.16	Various	1,800.00	...	-1,537.84	Total of 4 transactions
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
06/04/20	100.000	140.00	06/04/20	140.00	...	0.00	Sale ²⁵
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
<i>5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	26.000	95.67	06/05/20	88.40	...	7.27	1 of 5 - Sale ²⁵
	474.000	1,744.22	06/05/20	1,611.60	...	132.62	2 of 5 - Sale ²⁵
	500.000	1,839.89	06/05/20	1,700.00	...	139.89	3 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol: (cont'd)							
	500.000	1,839.89	06/05/20	1,700.00	...	139.89	4 of 5 - Sale ²⁵
	500.000	1,839.89	06/05/20	1,700.00	...	139.89	5 of 5 - Sale ²⁵
07/10/20	2,000.000	7,359.56	Various	6,800.00	...	559.56	Total of 5 transactions
HEXO CORP. / CUSIP: 428304109 / Symbol:							
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1,500.000	1,154.19	11/24/20	1,233.00	...	-78.81	1 of 2 - Sale ²⁵
	500.000	384.64	11/24/20	411.00	...	-26.36	2 of 2 - Sale ²⁵
11/25/20	2,000.000	1,538.83	Various	1,644.00	...	-105.17	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	70.000	856.07	03/06/20	980.00	...	-123.93	1 of 3 - Sale ²⁵
	30.000	366.89	03/09/20	322.50	...	44.39	2 of 3 - Sale ²⁵
	50.000	611.48	03/09/20	475.00	...	136.48	3 of 3 - Sale ²⁵
05/13/20	150.000	1,834.44	Various	1,777.50	...	56.94	Total of 3 transactions
LUCKIN COFFEE INC AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
06/04/20	3,000.000	12,599.36	06/04/20	12,000.00	...	599.36	Sale ²⁵
LYFT, INC. CLASS A COMMON STOCK / CUSIP: 55087P104 / Symbol:							
03/09/20	14.000	456.14	04/01/19	1,008.00	...	-551.86	Sale ²⁵
MATINAS BIOPHARMA HOLDINGS, INC. / CUSIP: 576810105 / Symbol:							
5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	300.000	317.95	11/27/20	306.00	...	11.95	1 of 5 - Sale ²⁵
	300.000	317.95	11/27/20	306.00	...	11.95	2 of 5 - Sale ²⁵
	300.000	317.96	11/27/20	306.00	...	11.96	3 of 5 - Sale ²⁵
	300.000	317.96	11/27/20	306.00	...	11.96	4 of 5 - Sale ²⁵
	300.000	317.96	11/27/20	306.00	...	11.96	5 of 5 - Sale ²⁵
12/04/20	1,500.000	1,589.78	Various	1,530.00	...	59.78	Total of 5 transactions
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
10/30/20	0.020	3.94	09/11/20	4.08	...	-0.14	Sale ²⁵

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(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
05/27/20	50.000	2,469.94	05/19/20	3,792.50	...	-1,322.56	Sale ²⁵
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
10/16/20	75.000	1,671.36	07/02/20	4,500.00	...	-2,828.64	Sale ²⁵
OCEAN POWER TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 674870506 / Symbol:							
09/03/20	317.000	346.06	06/09/20	177.52	...	168.54	Sale ²⁵
ONCTERNAL THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68236P107 / Symbol:							
12/08/20	200.000	849.96	12/07/20	810.00	...	39.96	Sale ²⁵
ORBITAL ENERGY GROUP, INC. COMMON STOCK / CUSIP: 68559A109 / Symbol:							
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	100.000	139.99	11/23/20	125.00	...	14.99	1 of 4 - Sale ²⁵
	100.000	139.99	11/23/20	125.00	...	14.99	2 of 4 - Sale ²⁵
	100.000	139.99	11/23/20	125.00	...	14.99	3 of 4 - Sale ²⁵
	100.000	139.99	11/23/20	125.00	...	14.99	4 of 4 - Sale ²⁵
11/23/20	400.000	559.96	Various	500.00	...	59.96	Total of 4 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	100.000	414.98	02/26/20	495.00	...	-80.02	1 of 3 - Sale ²⁵
	60.000	248.99	02/26/20	297.00	...	-48.01	2 of 3 - Sale ²⁵
	40.000	165.99	02/26/20	198.00	...	-32.01	3 of 3 - Sale ²⁵
06/03/20	200.000	829.96	Various	990.00	...	-160.04	Total of 3 transactions
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
10/26/20	200.000	3,839.88	10/08/20	3,690.00	...	149.88	Sale ²⁵
3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	100.000	1,919.95	10/05/20	2,008.99	89.04 W	0.00	1 of 3 - Sale ²⁵
	92.000	1,766.35	10/07/20	1,775.60	9.25 W	0.00	2 of 3 - Sale ²⁵
	8.000	153.60	10/07/20	154.40	0.80 W	0.00	3 of 3 - Sale ²⁵
10/26/20	200.000	3,839.90	Various	3,938.99	99.09 W	0.00	Total of 3 transactions
	Security total:	7,679.78		7,628.99	99.09 W	149.88	

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RUHNN HOLDING LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 781314109 / Symbol:							
11/25/20	400.000	1,193.16	11/24/20	1,180.00	...	13.16	Sale ²⁵
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol:							
<i>18 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	183.000	567.27	11/27/20	594.75	...	-27.48	1 of 18 - Sale ²⁵
	100.000	309.98	11/27/20	325.00	...	-15.02	2 of 18 - Sale ²⁵
	100.000	309.98	11/27/20	325.00	...	-15.02	3 of 18 - Sale ²⁵
	30.000	92.99	11/27/20	97.50	...	-4.51	4 of 18 - Sale ²⁵
	18.000	55.80	11/27/20	58.50	...	-2.70	5 of 18 - Sale ²⁵
	14.000	43.40	11/27/20	45.50	...	-2.10	6 of 18 - Sale ²⁵
	10.000	31.00	11/27/20	32.50	...	-1.50	7 of 18 - Sale ²⁵
	10.000	31.00	11/27/20	32.50	...	-1.50	8 of 18 - Sale ²⁵
	9.000	27.90	11/27/20	29.25	...	-1.35	9 of 18 - Sale ²⁵
	7.000	21.70	11/27/20	22.75	...	-1.05	10 of 18 - Sale ²⁵
	7.000	21.70	11/27/20	22.75	...	-1.05	11 of 18 - Sale ²⁵
	6.000	18.60	11/27/20	19.50	...	-0.90	12 of 18 - Sale ²⁵
	5.000	15.50	11/27/20	16.25	...	-0.75	13 of 18 - Sale ²⁵
	1.000	3.10	11/27/20	3.25	...	-0.15	14 of 18 - Sale ²⁵
	100.000	309.97	12/01/20	285.00	...	24.97	15 of 18 - Sale ²⁵
	100.000	309.98	12/01/20	285.00	...	24.98	16 of 18 - Sale ²⁵
	100.000	309.98	12/01/20	285.00	...	24.98	17 of 18 - Sale ²⁵
	200.000	619.96	12/01/20	570.00	...	49.96	18 of 18 - Sale ²⁵
12/03/20	1,000.000	3,099.81	Various	3,050.00	...	49.81	Total of 18 transactions
SENMIAO TECHNOLOGY LIMITED COM MON STOCK / CUSIP: 817225105 / Symbol:							
<i>2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	146.48	10/19/20	134.00	...	12.48	1 of 2 - Sale ²⁵
	400.000	585.94	10/19/20	536.00	...	49.94	2 of 2 - Sale ²⁵
10/19/20	500.000	732.42	Various	670.00	...	62.42	Total of 2 transactions
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
05/13/20	10.000	3,553.52	02/20/20	3,470.00	...	83.52	Sale ²⁵

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
6 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	0.081	4.92	05/28/20	4.84	...	0.08	1 of 6 - Sale ²⁵
	4.919	299.09	05/28/20	295.16	...	3.93	2 of 6 - Sale ²⁵
	45.000	2,735.93	05/28/20	2,700.00	...	35.93	3 of 6 - Sale ²⁵
	0.081	4.91	07/27/20	4.88	...	0.03	4 of 6 - Sale ²⁵
	0.994	60.47	07/27/20	60.12	...	0.35	5 of 6 - Sale ²⁵
	1.006	61.15	10/26/20	66.40	...	-5.25	6 of 6 - Sale ²⁵
10/30/20	52.081	3,166.47	Various	3,131.40	...	35.07	Total of 6 transactions
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	69.000	476.09	02/21/20	759.00	...	-282.91	1 of 3 - Sale ²⁵
	26.000	179.40	02/21/20	286.00	...	-106.60	2 of 3 - Sale ²⁵
	5.000	34.50	02/21/20	55.00	...	-20.50	3 of 3 - Sale ²⁵
07/30/20	100.000	689.99	Various	1,100.00	...	-410.01	Total of 3 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	600.000	575.91	11/30/20	360.00	...	215.91	1 of 2 - Sale ²⁵
	900.000	863.87	11/30/20	540.00	...	323.87	2 of 2 - Sale ²⁵
12/07/20	1,500.000	1,439.78	Various	900.00	...	539.78	Total of 2 transactions
WAITR HOLDINGS INC. COMMON STOCK / CUSIP: 930752100 / Symbol:							
12/23/20	1.000	3.10	12/09/20	4.31	...	-1.21	Sale ²⁵
WRAP TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 98212N107 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	29.000	244.75	06/03/20	237.80	...	6.95	1 of 2 - Sale ²⁵
	121.000	1,021.20	06/03/20	992.20	...	29.00	2 of 2 - Sale ²⁵
09/03/20	150.000	1,265.95	Various	1,230.00	...	35.95	Total of 2 transactions

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
<i>27 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	44.000	39.59	05/28/20	35.20	...	4.39	1 of 27 - Sale ²⁵
	50.000	44.99	05/28/20	40.00	...	4.99	2 of 27 - Sale ²⁵
	56.000	50.39	05/28/20	44.80	...	5.59	3 of 27 - Sale ²⁵
	74.000	66.59	05/28/20	59.20	...	7.39	4 of 27 - Sale ²⁵
	100.000	89.98	05/28/20	80.00	...	9.98	5 of 27 - Sale ²⁵
	100.000	89.99	05/28/20	80.00	...	9.99	6 of 27 - Sale ²⁵
	100.000	89.99	05/28/20	80.00	...	9.99	7 of 27 - Sale ²⁵
	100.000	89.99	05/28/20	80.00	...	9.99	8 of 27 - Sale ²⁵
	100.000	89.99	05/28/20	80.00	...	9.99	9 of 27 - Sale ²⁵
	100.000	89.99	05/28/20	80.00	...	9.99	10 of 27 - Sale ²⁵
	156.000	140.38	05/28/20	124.80	...	15.58	11 of 27 - Sale ²⁵
	199.000	179.07	05/28/20	159.20	...	19.87	12 of 27 - Sale ²⁵
	251.000	225.86	05/28/20	200.80	...	25.06	13 of 27 - Sale ²⁵
	300.000	269.96	05/28/20	240.00	...	29.96	14 of 27 - Sale ²⁵
	300.000	269.96	05/28/20	240.00	...	29.96	15 of 27 - Sale ²⁵
	400.000	359.94	05/28/20	320.00	...	39.94	16 of 27 - Sale ²⁵
	400.000	359.95	05/28/20	320.00	...	39.95	17 of 27 - Sale ²⁵
	500.000	449.93	05/28/20	400.00	...	49.93	18 of 27 - Sale ²⁵
	600.000	539.91	05/28/20	480.00	...	59.91	19 of 27 - Sale ²⁵
	600.000	539.91	05/28/20	480.00	...	59.91	20 of 27 - Sale ²⁵
	600.000	539.92	05/28/20	480.00	...	59.92	21 of 27 - Sale ²⁵
	600.000	539.92	05/28/20	480.00	...	59.92	22 of 27 - Sale ²⁵
	600.000	539.92	05/28/20	480.00	...	59.92	23 of 27 - Sale ²⁵
	600.000	539.92	05/28/20	480.00	...	59.92	24 of 27 - Sale ²⁵
	670.000	602.91	05/28/20	536.00	...	66.91	25 of 27 - Sale ²⁵
	700.000	629.90	05/28/20	560.00	...	69.90	26 of 27 - Sale ²⁵
	1,700.000	1,529.76	05/28/20	1,360.00	...	169.76	27 of 27 - Sale ²⁵
06/02/20	10,000.000	8,998.61	Various	8,000.00	...	998.61	Total of 27 transactions

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	300.000	1,494.94	06/05/20	2,160.00	...	-665.06	1 of 2 - Sale ²⁵
	130.000	648.01	06/05/20	936.00	...	-287.99	2 of 2 - Sale ²⁵
06/23/20	430.000	2,142.95	Various	3,096.00	...	-953.05	Total of 2 transactions
BORQS TECHNOLOGIES, INC. ORDIN ARY SHARES / CUSIP: G1466B103 / Symbol:							
5 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	34.000	41.47	11/23/20	38.08	...	3.39	1 of 5 - Sale ²⁵
	86.000	104.91	11/23/20	96.32	...	8.59	2 of 5 - Sale ²⁵
	100.000	121.99	11/23/20	112.00	...	9.99	3 of 5 - Sale ²⁵
	100.000	121.99	11/23/20	112.00	...	9.99	4 of 5 - Sale ²⁵
	100.000	121.99	11/23/20	112.00	...	9.99	5 of 5 - Sale ²⁵
11/23/20	420.000	512.35	Various	470.40	...	41.95	Total of 5 transactions
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	4.000	11.72	08/06/20	9.88	...	1.84	1 of 5 - Sale ²⁵
	6.000	17.58	08/06/20	14.82	...	2.76	2 of 5 - Sale ²⁵
	90.000	263.69	08/06/20	222.30	...	41.39	3 of 5 - Sale ²⁵
	94.000	275.41	08/06/20	232.18	...	43.23	4 of 5 - Sale ²⁵
	100.000	292.99	08/06/20	247.00	...	45.99	5 of 5 - Sale ²⁵
09/03/20	294.000	861.39	Various	726.18	...	135.21	Total of 5 transactions
Totals :		219,006.54		234,875.68	2,296.50 W	-13,572.64	

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANTHERA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 03674U300 / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	0.93	02/28/18	101.25	...	-100.32	1 of 2 - Sale ²⁵
	100.000	2.06	03/12/18	40.60	...	-38.54	2 of 2 - Sale ²⁵
06/12/20	145.000	2.99	Various	141.85	...	-138.86	Total of 2 transactions
APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol:							
<i>2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	115.60	03/13/18	120.24	...	-4.64	1 of 2 - Sale ²⁵
	4.000	231.20	03/19/18	235.28	...	-4.08	2 of 2 - Sale ²⁵
06/03/20	6.000	346.80	Various	355.52	...	-8.72	Total of 2 transactions
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
03/09/20	25.000	155.00	12/15/17	158.50	...	-3.50	Sale ²⁵
MICROSOFT CORPORATION COMMON STOCK / CUSIP: 594918104 / Symbol:							
<i>4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	0.020	3.94	02/02/18	1.84	...	2.10	1 of 4 - Sale ²⁵
	1.980	396.74	02/02/18	185.44	...	211.30	2 of 4 - Sale ²⁵
	4.000	801.37	03/15/18	372.00	...	429.37	3 of 4 - Sale ²⁵
	2.000	400.69	03/22/18	182.96	...	217.73	4 of 4 - Sale ²⁵
10/30/20	8.000	1,602.74	Various	742.24	...	860.50	Total of 4 transactions
OVERSTOCK.COM, INC. COMMON STOCK / CUSIP: 690370101 / Symbol:							
<i>3 transactions for 03/09/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	30.00	03/12/18	350.08	...	-320.08	1 of 3 - Sale ²⁵
	4.000	20.00	03/13/18	211.40	...	-191.40	2 of 3 - Sale ²⁵
	4.000	20.00	03/15/18	188.00	...	-168.00	3 of 3 - Sale ²⁵
03/09/20	14.000	70.00	Various	749.48	...	-679.48	Total of 3 transactions

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Robinhood Securities LLC

Account 129636015

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PUMA BIOTECHNOLOGY INC COMMON STOCK / CUSIP: 74587V107 / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	19.46	02/13/18	124.00	...	-104.54	1 of 3 - Sale ²⁵
	4.000	38.92	03/02/18	246.00	...	-207.08	2 of 3 - Sale ²⁵
	4.000	38.93	05/21/19	64.39	...	-25.46	3 of 3 - Sale ²⁵
09/03/20	10.000	97.31	Various	434.39	...	-337.08	Total of 3 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
02/20/20	20.000	27.60	03/12/18	179.20	...	-151.60	Sale ²⁵
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
06/09/20	1.000	6.36	12/19/17	5.43	...	0.93	Sale ²⁵
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	0.256	22.30	04/30/18	14.73	...	7.57	1 of 2 - Cash in lieu
	10.000	849.98	04/30/18	575.27	...	274.71	2 of 2 - Sale ²⁵
04/06/20	10.256	872.28	Various	590.00	...	282.28	Total of 2 transactions
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
05/13/20	3.000	354.98	03/08/18	213.00	...	141.98	Sale ²⁵
WALMART INC. / CUSIP: 931142103 / Symbol:							
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	4.000	493.99	02/27/18	368.00	...	125.99	1 of 2 - Sale ²⁵
	3.000	370.49	03/02/18	264.39	...	106.10	2 of 2 - Sale ²⁵
04/06/20	7.000	864.48	Various	632.39	...	232.09	Total of 2 transactions
Totals :		4,400.54		4,202.00		198.54	

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Robinhood Securities LLC

Account 129636015

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol: 04/27/20	0.005	0.08	11/04/17	3.75	...	-3.67	Gain or loss
Totals:		0.08		3.75	...	-3.67	

Robinhood Securities LLC

Account 129636015

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLIED MATERIALS, INC. COMMON STOCK	038222105		03/11/20	1.26	Qualified dividend	
			06/11/20	1.32	Qualified dividend	
				2.58	Total Dividends & distributions	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/12/20	4.08	Qualified dividend	
			06/11/20	4.08	Qualified dividend	
			09/10/20	4.08	Qualified dividend	
				12.24	Total Dividends & distributions	
SIMON PROPERTY GROUP, INC.	828806109		07/24/20	58.32	Section 199A dividend	03
			07/24/20	4.96	Qualified dividend	03
			07/24/20	1.54	Long-term capital gain	03
			07/24/20	0.18	Unrecaptured section 1250 gain	03
			10/23/20	59.59	Section 199A dividend	03
			10/23/20	5.06	Qualified dividend	03
			10/23/20	1.57	Long-term capital gain	03
10/23/20	0.18	Unrecaptured section 1250 gain	03			
				131.40	Total Dividends & distributions	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
			05/29/20	0.01	Qualified dividend	
				0.02	Total Dividends & distributions	
TARGET CORPORATION	87612E106		03/10/20	1.98	Qualified dividend	
WALMART INC.	931142103		01/02/20	3.71	Qualified dividend	
			04/06/20	3.78	Qualified dividend	
				7.49	Total Dividends & distributions	
				155.71	Total Dividends & distributions	

Robinhood Securities LLC

Account 129636015

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/06/20	-5.00	Margin interest paid	
		08/04/20	-5.00	Margin interest paid	
		09/03/20	-5.00	Margin interest paid	
		10/05/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		12/02/20	-5.00	Margin interest paid	
			-30.00	Total Margin interest paid	
			-30.00	Total Margin interest paid	

Robinhood Securities LLC

Account 129636015

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
SPRINT CORPORATION	85207U105	04/01/20	-100.00	0.00	Merger	
T-MOBILE US, INC. COMMON STOCK	872590104	04/01/20	10.00	0.00	Merger	
FSR 02/19/2021 CALL \$20.00	FSR 02/19/21 C 20.000	10/30/20	10.00	0.00	Merger	
SPAQ 02/19/2021 CALL \$20.00	SPAQ 02/19/21 C 20.000	10/30/20	-10.00	0.00	Merger	
WRAP 04/16/2021 CALL \$17.50	WRAP 04/16/21 C 17.500	12/01/20	10.00	0.00	Merger	
WRTC 04/16/2021 CALL \$17.50	WRTC 04/16/21 C 17.500	12/01/20	-10.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.