

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 497856796	Statement Date: 02/11/2021 Document ID: 6YY8 933 Q155	2020
	NIRANJAN PARIPELLI 4400 COLLEGE PARK DR APT 612 CONROE, TX 77384-4374		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-2144		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 192.96</p> <p>1b- Qualified dividends 192.96</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 200.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts -7.34</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 3,586.40</p> <p>11- Aggregate profit or (loss) on contracts 3,579.06</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	3,277,802.97	3,321,290.84	0.00	61,500.82	18,012.95
Short	B (basis not reported to the IRS)	12,700.78	14,291.71	0.00	963.91	-627.02
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Short-term		3,290,503.75	3,335,582.55	0.00	62,464.73	17,385.93
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Long-term		0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term		0.00	0.00	0.00	0.00	0.00
Grand total		3,290,503.75	3,335,582.55	0.00	62,464.73	17,385.93

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 497856796

Summary Information

2020

(continued)

02/11/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.46
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	987.63
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	60.50
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/11/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AAR CORP COM / CUSIP: 000361105 / Symbol: AIR							
07/21/20	50.000	1,052.47	07/21/20	960.00	...	92.47	Sale
08/10/20	17.000	331.49	07/28/20	305.67	...	25.82	Sale
08/10/20	83.000	1,618.45	Various	1,614.84	8.51 W	12.12	Sale
	Security total:	3,002.41		2,880.51	8.51 W	130.41	
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
08/07/20	500.000	2,181.94	Various	2,113.97	...	67.97	Sale
11/02/20	500.000	1,134.96	10/20/20	1,550.00	...	-415.04	Sale
	Security total:	3,316.90		3,663.97	...	-347.07	
ABBOTT LABORATORIES COM / CUSIP: 002824100 / Symbol: ABT							
04/06/20	35.000	2,826.76	Various	2,789.80	...	36.96	Sale
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD							
07/14/20	25.000	1,369.97	07/13/20	1,352.50	...	17.47	Sale
09/01/20	25.000	2,299.95	09/01/20	2,275.00	...	24.95	Sale
10/08/20	25.000	2,169.15	Various	2,321.13	151.98 W	0.00	Total of 2 transactions
10/15/20	50.000	4,142.40	Various	4,320.87	178.47 W	0.00	Sale
10/23/20	25.000	2,027.46	10/23/20	2,014.31	...	13.15	Sale
10/26/20	50.000	4,140.17	10/23/20	4,261.66	119.18 W	-2.31	Sale

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ADVANCED MICRO DEVICES INC COM / CUSIP: 007903107 / Symbol: AMD (cont'd)							
11/10/20	20.000	1,631.16	11/03/20	1,620.78	...	10.38	Sale
12/30/20	50.000	4,599.89	12/29/20	4,550.00	...	49.89	Sale
	Security total:	22,380.15		22,716.25	449.63 W	113.53	
AGEAGLE AERIAL SYSTEMS INC COM / CUSIP: 00848K101 / Symbol: UAVS							
07/14/20	250.000	652.46	07/14/20	625.00	...	27.46	Sale
07/22/20	250.000	812.45	07/22/20	782.50	...	29.95	Sale
	Security total:	1,464.91		1,407.50	...	57.41	
AIM IMMUNOTECH INC COM / CUSIP: 00901B105 / Symbol: AIM							
05/11/20	500.000	1,124.92	04/28/20	1,100.00	...	24.92	Sale
06/30/20	641.000	1,567.14	06/30/20	1,551.22	...	15.92	Sale
	Security total:	2,692.06		2,651.22	...	40.84	
ALIBABA GROUP HOLDING LTD ADR SPONSORED / CUSIP: 01609W102 / Symbol: BABA							
09/30/20	10.000	2,839.94	09/29/20	2,770.00	...	69.94	Sale
11/20/20	25.000	6,774.85	11/20/20	6,750.00	...	24.85	Sale
12/17/20	10.000	2,644.94	12/02/20	2,617.50	...	27.44	Sale
12/28/20	50.000	11,049.75	12/24/20	10,848.50	...	201.25	Sale
12/29/20	50.000	11,612.38	12/29/20	11,537.50	...	74.88	Sale
12/30/20	25.000	5,974.87	12/29/20	5,925.00	...	49.87	Sale
	Security total:	40,896.73		40,448.50	...	448.23	
ALPHA PRO TECH COM / CUSIP: 020772109 / Symbol: APT							
04/23/20	50.000	718.97	04/23/20	689.00	...	29.97	Sale
07/20/20	50.000	1,099.97	07/20/20	1,037.50	...	62.47	Sale
07/22/20	100.000	2,224.94	07/20/20	2,175.00	...	49.94	Sale
07/27/20	50.000	1,162.46	07/24/20	1,087.50	...	74.96	Sale
07/31/20	100.000	2,199.94	07/31/20	2,150.00	...	49.94	Sale
08/07/20	100.000	1,850.95	08/04/20	2,150.00	299.05 W	0.00	Sale
09/01/20	500.000	6,854.79	Various	9,659.05	...	-2,804.26	Sale
	Security total:	16,112.02		18,948.05	299.05 W	-2,536.98	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALPHABET INC COM CL A / CUSIP: 02079K305 / Symbol: GOOGL							
09/29/20	2.000	2,918.44	09/28/20	2,910.00	...	8.44	Sale
11/24/20	10.000	17,639.61	Various	17,420.00	...	219.61	Sale
12/01/20	25.000	45,012.81	Various	44,223.50	...	789.31	Total of 2 transactions
12/04/20	3.000	5,479.27	Various	5,250.00	...	229.27	Total of 2 transactions
12/23/20	5.000	8,674.81	11/30/20	8,750.00	75.19 W	0.00	Sale
12/28/20	10.000	17,649.61	Various	17,630.19	...	19.42	Sale
	Security total:	97,374.55		96,183.69	75.19 W	1,266.05	
AMARIN CORP ADR SPONSORED / CUSIP: 023111206 / Symbol: AMRN							
04/07/20	300.000	1,601.92	04/06/20	1,496.25	...	105.67	Sale
05/04/20	135.000	1,023.26	05/04/20	999.00	...	24.26	Sale
05/11/20	100.000	791.97	05/08/20	781.00	...	10.97	Sale
	Security total:	3,417.15		3,276.25	...	140.90	
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
03/24/20	1.000	1,938.96	03/24/20	1,936.81	...	2.15	Sale
10/13/20	6.000	20,699.54	Various	19,313.74	90.19 W	1,475.99	Sale
10/15/20	10.000	32,979.27	10/14/20	34,070.19	1,090.92 W	0.00	Sale
10/19/20	5.000	16,158.89	Various	17,155.46	996.57 W	0.00	Total of 2 transactions
10/20/20	35.000	113,412.49	Various	116,180.95	3,077.74 W	309.28	Total of 4 transactions
10/21/20	20.000	64,237.42	Various	66,429.52	2,192.10 W	0.00	Total of 3 transactions
10/22/20	5.000	16,024.65	10/21/20	16,723.57	698.92 W	0.00	Sale
10/27/20	13.000	42,614.05	Various	42,307.14	273.99 W	580.90	Total of 2 transactions
10/29/20	8.000	25,959.43	10/28/20	25,548.81	...	410.62	Sale
11/10/20	10.000	30,939.32	Various	31,055.47	485.81 W	369.66	Sale
11/24/20	1.000	3,122.24	11/20/20	3,099.80	...	22.44	Sale
11/24/20	9.000	28,099.60	Various	28,384.01	373.98 W	89.57	Total of 2 transactions
11/25/20	10.000	31,699.30	11/25/20	32,012.48	343.58 W	30.40	Sale
12/29/20	5.000	16,594.63	11/30/20	15,875.00	...	719.63	Sale
	Security total:	444,479.79		450,092.95	9,623.80 W	4,010.64	
AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL							
05/26/20	200.000	2,083.93	Various	2,041.50	...	42.43	Sale
09/25/20	100.000	1,254.96	09/25/20	1,230.00	...	24.96	Sale
09/28/20	100.000	1,283.41	09/28/20	1,275.00	...	8.41	Sale

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL (cont'd)							
10/15/20	50.000	612.98	10/13/20	681.02	...	-68.04	Sale
10/15/20	100.000	1,224.96	10/09/20	1,340.00	57.52 W	-57.52	Sale
	Security total:	6,460.24		6,567.52	57.52 W	-49.76	
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
07/06/20	9.000	3,326.78	07/01/20	3,285.00	...	41.78	Sale
07/14/20	5.000	1,934.96	07/13/20	1,910.00	...	24.96	Sale
10/12/20	100.000	12,424.72	Various	12,243.75	337.64 W	518.61	Sale
10/15/20	125.000	14,897.16	Various	15,862.78	965.62 W	0.00	Total of 2 transactions
10/22/20	50.000	5,874.86	10/16/20	6,306.45	431.59 W	0.00	Sale
10/29/20	200.000	22,729.47	Various	25,136.77	2,407.30 W	0.00	Total of 2 transactions
11/10/20	300.000	34,627.29	Various	35,736.99	1,717.71 W	608.01	Total of 2 transactions
12/29/20	100.000	13,599.69	11/20/20	12,457.25	...	1,142.44	Sale
	Security total:	109,414.93		112,938.99	5,859.86 W	2,335.80	
ASTRAZENECA ADR SPONSORED / CUSIP: 046353108 / Symbol: AZN							
09/01/20	50.000	2,767.94	Various	2,957.25	...	-189.31	Sale
BANK OF AMERICA CORPORATION COM / CUSIP: 060505104 / Symbol: BAC							
03/17/20	54.000	1,187.96	03/16/20	1,120.50	...	67.46	Sale
03/19/20	75.000	1,593.70	03/18/20	1,481.25	...	112.45	Sale
03/24/20	63.000	1,259.96	Various	1,143.60	...	116.36	Sale
04/06/20	100.000	2,109.94	04/01/20	1,975.00	...	134.94	Sale
04/24/20	25.000	553.74	04/22/20	543.75	...	9.99	Sale
05/14/20	50.000	1,065.96	05/13/20	1,037.50	...	28.46	Sale
06/25/20	100.000	2,399.94	06/24/20	2,375.00	...	24.94	Sale
06/29/20	100.000	2,355.94	06/26/20	2,330.00	...	25.94	Sale
07/08/20	100.000	2,312.60	07/08/20	2,306.00	...	6.60	Sale
07/09/20	60.000	1,366.16	07/09/20	1,365.00	...	1.16	Sale
07/10/20	40.000	925.98	07/09/20	910.00	...	15.98	Sale
07/21/20	50.000	1,212.46	07/17/20	1,187.25	...	25.21	Sale
09/02/20	100.000	2,591.20	09/01/20	2,587.75	...	3.45	Sale
	Security total:	20,935.54		20,362.60	...	572.94	
BARRICK GOLD CORPORATION COM / CUSIP: 067901108 / Symbol: GOLD							
04/24/20	22.000	604.99	04/23/20	587.40	...	17.59	Sale

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

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BED BATH AND BEYOND COM / CUSIP: 075896100 / Symbol: BBBY							
05/08/20	250.000	1,515.99	Various	1,488.74	...	27.25	Sale
06/01/20	125.000	931.22	06/01/20	906.25	...	24.97	Sale
	Security total:	2,447.21		2,394.99	...	52.22	
BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND							
04/28/20	5.000	509.99	04/27/20	493.75	...	16.24	Sale
06/10/20	50.000	8,001.07	Various	7,775.00	...	226.07	Total of 2 transactions
06/17/20	75.000	11,936.73	Various	11,712.50	...	224.23	Total of 3 transactions
06/18/20	50.000	7,887.32	Various	7,828.75	...	58.57	Total of 2 transactions
06/23/20	50.000	7,683.35	Various	7,608.25	...	75.10	Total of 4 transactions
06/24/20	25.000	3,863.66	06/23/20	3,813.75	...	49.91	Sale
06/25/20	75.000	10,820.27	Various	11,005.43	309.33 W	124.17	Total of 3 transactions
07/17/20	10.000	1,290.97	07/17/20	1,344.63	53.66 W	0.00	Sale
08/03/20	10.000	1,341.97	07/17/20	1,334.64	...	7.33	Sale
08/27/20	25.000	3,325.38	08/10/20	3,328.66	33.51 W	30.23	Sale
09/03/20	25.000	3,499.92	09/02/20	3,333.51	...	166.41	Sale
09/08/20	25.000	3,349.93	09/03/20	3,250.00	...	99.93	Sale
	Security total:	63,510.56		62,828.87	396.50 W	1,078.19	
BIG LOTS INC COM / CUSIP: 089302103 / Symbol: BIG							
06/03/20	25.000	968.98	06/03/20	954.25	...	14.73	Sale
BIGCOMMERCE HLDGS INC COM / CUSIP: 08975P108 / Symbol: BIGC							
11/23/20	50.000	3,514.14	11/19/20	3,412.50	...	101.64	Sale
12/23/20	25.000	1,818.71	12/14/20	1,800.00	...	18.71	Sale
	Security total:	5,332.85		5,212.50	...	120.35	
BILIBILI INC ADR / CUSIP: 090040106 / Symbol: BILI							
05/26/20	16.000	547.19	05/22/20	530.40	...	16.79	Sale
BIONDVAX PHARMACEUTICALS ADR SPONSORED / CUSIP: 09073Q105 / Symbol: BVXV							
06/19/20	50.000	1,109.97	06/19/20	1,089.50	...	20.47	Sale
BLOOM ENERGY CORPORATION COM CL A / CUSIP: 093712107 / Symbol: BE							
03/24/20	100.000	453.98	Various	416.87	...	37.11	Sale

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BOEING CO COM / CUSIP: 097023105 / Symbol: BA							
04/09/20	20.000	3,099.93	04/08/20	2,959.60	...	140.33	Sale
04/16/20	15.000	2,197.45	04/16/20	2,182.50	...	14.95	Sale
04/22/20	10.000	1,349.97	04/21/20	1,370.00	...	-20.03	Sale
04/23/20	5.000	702.48	04/21/20	685.00	...	17.48	Sale
09/30/20	15.000	2,550.40	09/30/20	2,497.50	...	52.90	Sale
09/30/20	25.000	4,224.91	09/30/20	4,212.00	12.05 W	24.96	Sale
10/01/20	25.000	4,279.54	09/30/20	4,237.05	...	42.49	Sale
12/04/20	25.000	5,784.87	Various	5,360.00	...	424.87	Sale
Security total:		24,189.55		23,503.65	12.05 W	697.95	
BOX INC COM CL A / CUSIP: 10316T104 / Symbol: BOX							
06/02/20	75.000	1,505.96	06/01/20	1,481.25	...	24.71	Sale
06/08/20	250.000	4,724.87	06/05/20	4,700.00	...	24.87	Sale
Security total:		6,230.83		6,181.25	...	49.58	
BOXLIGHT CORPORATION COM CL A / CUSIP: 103197109 / Symbol: BOXL							
06/23/20	500.000	429.93	06/22/20	425.00	...	4.93	Sale
CARNIVAL CORP UNITS / CUSIP: 143658300 / Symbol: CCL							
06/01/20	50.000	812.47	06/01/20	787.50	...	24.97	Sale
06/04/20	100.000	1,824.95	06/04/20	1,800.00	...	24.95	Sale
06/08/20	250.000	6,439.83	06/08/20	6,340.00	...	99.83	Sale
07/14/20	50.000	787.47	07/13/20	762.50	...	24.97	Sale
08/03/20	100.000	1,307.96	Various	1,535.00	227.04 W	0.00	Sale
08/10/20	100.000	1,529.96	08/03/20	1,527.04	...	2.92	Sale
09/30/20	55.000	838.02	Various	866.25	28.23 W	0.00	Total of 6 transactions
10/06/20	100.000	1,584.32	Various	1,561.98	...	22.34	Sale
12/28/20	50.000	1,099.97	12/16/20	1,076.29	...	23.68	Sale
Security total:		16,224.95		16,256.56	255.27 W	223.66	
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
05/15/20	6.000	550.13	05/15/20	528.00	...	22.13	Sale
06/10/20	25.000	2,924.94	06/10/20	2,900.00	...	24.94	Sale
07/16/20	6.000	820.90	07/16/20	819.48	...	1.42	Sale
Security total:		4,295.97		4,247.48	...	48.49	

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(continued)

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CASA SYSTEMS INC COM / CUSIP: 14713L102 / Symbol: CASA							
04/23/20	229.000	1,055.64	04/23/20	1,007.60	...	48.04	Sale
CHESAPEAKE ENERGY CORP COM / CUSIP: 165167743 / Symbol: CHKAQ							
04/29/20	100.000	2,522.82	04/29/20	2,921.00	...	-398.18	Sale
CHEWY INC COM CL A / CUSIP: 16679L109 / Symbol: CHWY							
09/08/20	25.000	1,574.97	09/03/20	1,550.00	...	24.97	Sale
CHICO'S FAS INC COM / CUSIP: 168615102 / Symbol: CHS							
05/08/20	4.000	5.14	05/07/20	4.88	...	0.26	Sale
CLEANSPARK INC COM / CUSIP: 18452B209 / Symbol: CLSK							
05/27/20	500.000	1,014.92	05/27/20	1,000.00	...	14.92	Sale
CLEVELAND BIOLABS INC COM / CUSIP: 185860202 / Symbol: CBLI							
07/31/20	250.000	762.45	07/22/20	700.00	...	62.45	Sale
CLOUDERA INC COM / CUSIP: 18914U100 / Symbol: CLDR							
04/16/20	100.000	745.97	04/16/20	735.00	...	10.97	Sale
05/12/20	125.000	1,088.72	05/11/20	1,075.00	...	13.72	Sale
08/05/20	50.000	588.48	08/04/20	580.00	...	8.48	Sale
	Security total:	2,423.17		2,390.00	...	33.17	
CO DIAGNOSTICS INC COM / CUSIP: 189763105 / Symbol: CODX							
04/23/20	77.000	1,093.37	04/23/20	1,020.25	...	73.12	Sale
06/25/20	100.000	1,928.95	06/25/20	1,903.53	...	25.42	Sale
06/26/20	100.000	1,868.95	06/26/20	1,844.00	...	24.95	Sale
	Security total:	4,891.27		4,767.78	...	123.49	
COSTCO WHOLESALE CORP COM / CUSIP: 22160K105 / Symbol: COST							
03/26/20	5.000	1,439.97	03/24/20	1,440.00	0.03 W	0.00	Sale
04/22/20	10.000	3,074.93	04/21/20	3,050.03	...	24.90	Sale
	Security total:	4,514.90		4,490.03	0.03 W	24.90	
CROWDSTRIKE HOLDINGS INC COM CL A / CUSIP: 22788C105 / Symbol: CRWD							
05/01/20	25.000	1,749.96	04/30/20	1,705.00	...	44.96	Sale

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DAVE & BUSTER'S ENTERTAINMENT COM / CUSIP: 238337109 / Symbol: PLAY							
06/29/20	100.000	1,349.96	06/10/20	1,920.00	570.04 W	0.00	Sale
08/03/20	100.000	1,191.96	Various	2,243.04	1,051.08 W	0.00	Sale
08/10/20	500.000	7,674.77	Various	7,376.58	...	298.19	Sale
	Security total:	10,216.69		11,539.62	1,621.12 W	298.19	
DELTA AIR LINES INC COM / CUSIP: 247361702 / Symbol: DAL							
06/05/20	500.000	17,437.06	Various	17,400.00	...	37.06	Total of 2 transactions
06/05/20	250.000	8,524.78	06/05/20	8,625.00	40.09 W	-60.13	Sale
06/10/20	100.000	3,214.92	06/10/20	3,240.09	...	-25.17	Sale
	Security total:	29,176.76		29,265.09	40.09 W	-48.24	
DENBURY RES INC DEL .00259485:1 EXC 9/21/20 / CUSIP: 247916208 / Symbol:							
08/18/20	2,000.000	59.61	07/29/20	518.00	...	-458.39	Sale
DIREXION SHARES ETF TRUST DLY FIN BULL NEW ETF / CUSIP: 25459Y694 / Symbol: FAS							
04/28/20	50.000	1,482.46	04/27/20	1,382.50	...	99.96	Sale
12/23/20	50.000	2,864.93	12/18/20	2,762.50	...	102.43	Sale
	Security total:	4,347.39		4,145.00	...	202.39	
DIREXION SHARES ETF TRUST 1:5 R/S 4/23/20 25460G781 / CUSIP: 25460E844 / Symbol:							
03/23/20	650.000	4,406.82	Various	3,907.50	22.03 W	521.35	Sale
04/06/20	500.000	4,199.85	03/30/20	3,697.03	...	502.82	Sale
04/08/20	500.000	4,279.85	04/07/20	4,250.00	...	29.85	Sale
	Security total:	12,886.52		11,854.53	22.03 W	1,054.02	
DIREXION SHARES ETF TRUST DIREXION DLY GLD MIN IDX 2X / CUSIP: 25460G781 / Symbol: NUGT							
04/28/20	25.000	1,718.71	04/28/20	1,668.75	...	49.96	Sale
04/29/20	57.000	3,890.35	Various	3,833.50	...	56.85	Total of 2 transactions
05/01/20	47.000	3,101.92	04/30/20	3,049.38	...	52.54	Sale
06/19/20	98.000	6,334.34	Various	6,181.00	...	153.34	Total of 2 transactions
06/22/20	2.000	134.00	06/19/20	129.00	...	5.00	Sale
07/10/20	250.000	21,320.51	Various	21,237.50	...	83.01	Total of 5 transactions
07/14/20	25.000	2,074.95	07/13/20	2,050.00	...	24.95	Sale
07/17/20	10.000	859.98	07/16/20	835.00	...	24.98	Sale
12/01/20	100.000	6,832.82	Various	6,249.84	...	582.98	Total of 2 transactions
12/01/20	50.000	3,414.91	11/18/20	3,450.00	35.09 W	0.00	Sale

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DIREXION SHARES ETF TRUST DIREXION DLY GLD MIN IDX 2X / CUSIP: 25460G781 / Symbol: NUGT (cont'd)							
12/23/20	50.000	3,461.36	12/14/20	3,138.50	...	322.86	Sale
12/30/20	50.000	3,499.91	Various	3,293.64	...	206.27	Sale
	Security total:	56,643.76		55,116.11	35.09 W	1,562.74	
DIREXION SHARES ETF TRUST DIREXION DAILY MSCI INDIA B / CUSIP: 25490K331 / Symbol: INDL							
05/15/20	75.000	1,166.96	05/15/20	1,158.75	...	8.21	Sale
DOCUSIGN INC COM / CUSIP: 256163106 / Symbol: DOCU							
06/22/20	20.000	3,379.93	06/22/20	3,330.00	...	49.93	Sale
07/13/20	10.000	1,971.46	07/13/20	2,048.50	77.04 W	0.00	Sale
07/20/20	10.000	2,027.96	07/13/20	2,027.04	...	0.92	Sale
09/23/20	15.000	3,270.70	Various	3,257.74	71.78 W	84.74	Total of 3 transactions
10/01/20	22.000	4,908.89	Various	4,936.04	42.09 W	14.94	Total of 2 transactions
10/27/20	10.000	2,229.95	10/23/20	2,192.09	...	37.86	Sale
	Security total:	17,788.89		17,791.41	190.91 W	188.39	
DRAFTKINGS INC COM CL A / CUSIP: 26142R104 / Symbol: DKNG							
09/10/20	25.000	1,099.98	09/10/20	1,075.00	...	24.98	Sale
09/18/20	50.000	2,692.93	09/17/20	2,687.50	...	5.43	Sale
11/10/20	50.000	2,061.94	11/04/20	2,032.50	...	29.44	Sale
12/30/20	150.000	7,365.82	Various	7,363.73	16.63 W	18.72	Total of 2 transactions
	Security total:	13,220.67		13,158.73	16.63 W	78.57	
EHEALTH INC COM / CUSIP: 28238P109 / Symbol: EHTH							
06/19/20	25.000	2,822.44	06/19/20	2,787.50	...	34.94	Sale
06/23/20	25.000	2,777.44	06/19/20	2,775.00	...	2.44	Sale
07/31/20	3.000	212.25	07/23/20	266.10	53.85 W	0.00	Sale
08/07/20	100.000	7,777.82	Various	7,674.85	292.69 W	395.66	Sale
09/10/20	100.000	7,199.83	Various	6,992.69	...	207.14	Sale
	Security total:	20,789.78		20,496.14	346.54 W	640.18	
ELDORADO RESORTS INC N/C 7/21/20 12769G100 / CUSIP: 28470R102 / Symbol:							
06/02/20	50.000	1,824.95	06/01/20	1,800.00	...	24.95	Sale
ELDORADO GOLD CORP COM / CUSIP: 284902509 / Symbol: EGO							
08/18/20	100.000	1,217.96	08/10/20	1,215.00	...	2.96	Sale

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ENPHASE ENERGY INC COM / CUSIP: 29355A107 / Symbol: ENPH							
06/17/20	30.000	1,207.17	06/17/20	1,157.40	...	49.77	Sale
ETSY INC COM / CUSIP: 29786A106 / Symbol: ETSY							
10/19/20	25.000	3,737.42	10/19/20	3,706.25	...	31.17	Sale
EVOKE PHARMA INC COM / CUSIP: 30049G104 / Symbol: EVOK							
06/22/20	100.000	424.98	06/22/20	418.00	...	6.98	Sale
EXELIXIS INC COM / CUSIP: 30161Q104 / Symbol: EXEL							
03/25/20	50.000	819.97	03/25/20	770.00	...	49.97	Sale
EXPRESS INC COM / CUSIP: 30219E103 / Symbol: EXPR							
06/02/20	1,500.000	2,924.76	06/02/20	2,900.00	...	24.76	Sale
08/04/20	10.000	11.90	07/23/20	12.90	1.00 W	0.00	Sale
08/10/20	1,000.000	1,209.85	Various	1,167.10	39.27 W	82.02	Sale
09/14/20	1,500.000	1,481.79	Various	1,469.27	...	12.52	Sale
	Security total:	5,628.30		5,549.27	40.27 W	119.30	
FACEBOOK INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
10/06/20	1.000	255.84	09/01/20	297.70	41.86 W	0.00	Sale
10/07/20	1.000	258.44	09/01/20	297.70	39.26 W	0.00	Sale
10/08/20	17.000	4,487.90	Various	4,946.60	458.70 W	0.00	Sale
10/13/20	50.000	13,839.19	Various	13,773.79	279.19 W	344.59	Sale
10/15/20	50.000	13,349.69	10/14/20	13,979.19	140.12 W	-489.38	Sale
10/29/20	20.000	5,667.87	10/29/20	5,780.12	...	-112.25	Sale
12/28/20	50.000	13,404.69	12/24/20	13,342.50	...	62.19	Sale
12/29/20	50.000	13,912.18	12/29/20	13,897.00	...	15.18	Sale
	Security total:	65,175.80		66,314.60	959.13 W	-179.67	
FASTLY INC COM CL A / CUSIP: 31188V100 / Symbol: FSLY							
07/20/20	15.000	1,276.47	Various	1,226.10	...	50.37	Sale
10/15/20	100.000	9,089.55	Various	9,018.75	...	70.80	Total of 4 transactions
10/19/20	25.000	2,144.96	10/16/20	2,100.00	...	44.96	Sale
11/23/20	50.000	4,174.90	11/23/20	4,050.00	...	124.90	Sale
11/30/20	50.000	4,339.89	11/25/20	4,140.50	...	199.39	Sale
	Security total:	21,025.77		20,535.35	...	490.42	

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

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FEDEX CORP COM / CUSIP: 31428X106 / Symbol: FDX							
07/16/20	6.000	1,001.08	07/16/20	996.00	...	5.08	Sale
FISKER INC COM CL A / CUSIP: 33813J106 / Symbol: FSR							
11/25/20	100.000	1,899.95	11/25/20	1,831.00	...	68.95	Sale
GAMESTOP CORPORATION COM CL A / CUSIP: 36467W109 / Symbol: GME							
08/04/20	100.000	455.98	08/04/20	456.00	...	-0.02	Sale
GAP INC COM / CUSIP: 364760108 / Symbol: GPS							
06/01/20	100.000	924.97	05/29/20	895.00	...	29.97	Sale
GENERAL MOTORS CO COM / CUSIP: 37045V100 / Symbol: GM							
04/28/20	50.000	1,157.47	Various	1,107.50	...	49.97	Total of 2 transactions
GILEAD SCIENCES INC COM / CUSIP: 375558103 / Symbol: GILD							
04/17/20	32.000	2,695.94	04/17/20	2,688.00	...	7.94	Sale
GLAXOSMITHKLINE ADR SPONSORED / CUSIP: 37733W105 / Symbol: GSK							
03/30/20	50.000	1,862.45	03/30/20	1,850.00	...	12.45	Sale
GOGO INC COM / CUSIP: 38046C109 / Symbol: GOGO							
05/19/20	2.000	4.20	05/19/20	4.15	...	0.05	Sale
GROUPON INC 1:20 R/S 6/11/20 399473206 / CUSIP: 399473107 / Symbol:							
05/18/20	500.000	599.93	05/05/20	595.00	...	4.93	Sale
GROUPON INC COM / CUSIP: 399473206 / Symbol: GRPN							
08/06/20	100.000	1,749.95	07/22/20	1,700.73	...	49.22	Sale
GUESS INC COM / CUSIP: 401617105 / Symbol: GES							
05/29/20	400.000	3,913.90	Various	3,880.00	...	33.90	Total of 2 transactions
06/01/20	100.000	989.97	05/29/20	965.00	...	24.97	Sale
	Security total:	4,903.87		4,845.00	...	58.87	
HEALTH CATALYST INC COM / CUSIP: 42225T107 / Symbol: HCAT							
09/01/20	300.000	9,319.25	Various	10,150.00	...	-830.75	Sale

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HEAT BIOLOGICS INC 1:7 R/S 12/11/20 42237K409 / CUSIP: 42237K300 / Symbol:							
06/26/20	2,000.000	1,767.72	06/26/20	1,659.40	...	108.32	Sale
07/28/20	250.000	524.96	07/28/20	492.50	...	32.46	Sale
	Security total:	2,292.68		2,151.90	...	140.78	
HUBSPOT INC COM / CUSIP: 443573100 / Symbol: HUBS							
06/19/20	40.000	8,813.41	Various	8,785.00	...	28.41	Total of 2 transactions
IBIO INC COM / CUSIP: 451033203 / Symbol: IBIO							
05/26/20	750.000	1,199.89	05/20/20	1,125.00	...	74.89	Sale
06/26/20	1,350.000	3,389.76	Various	3,285.00	...	104.76	Total of 2 transactions
07/14/20	500.000	1,149.91	07/14/20	1,125.00	...	24.91	Sale
	Security total:	5,739.56		5,535.00	...	204.56	
DEPENDENCE CONTRACT DRILLING COM / CUSIP: 453415606 / Symbol: ICD							
06/05/20	500.000	3,244.87	06/05/20	3,131.50	...	113.37	Sale
INGERSOLL RAND INC COM / CUSIP: 45687V106 / Symbol: IR							
05/08/20	40.000	1,144.77	05/07/20	1,117.43	...	27.34	Sale
INOVIO PHARMACEUTICALS INC COM / CUSIP: 45773H201 / Symbol: INO							
04/16/20	350.000	2,719.40	Various	2,662.50	...	56.90	Sale
06/25/20	200.000	6,919.83	06/25/20	6,900.00	...	19.83	Sale
06/30/20	900.000	23,334.39	Various	25,162.05	1,827.66 W	0.00	Total of 5 transactions
07/13/20	950.000	24,258.85	Various	25,016.80	1,643.14 W	885.19	Total of 3 transactions
07/29/20	100.000	1,974.95	07/13/20	2,895.66	920.71 W	0.00	Sale
08/03/20	100.000	1,999.95	Various	2,794.20	794.25 W	0.00	Sale
08/07/20	250.000	5,174.86	Various	6,719.96	1,592.57 W	47.47	Sale
08/10/20	100.000	1,962.95	08/06/20	2,530.39	567.44 W	0.00	Sale
09/01/20	1,100.000	12,539.59	Various	20,894.25	...	-8,354.66	Sale
	Security total:	80,884.77		95,575.81	7,345.77 W	-7,345.27	
INNOVATIVE INDUSTRIAL PROPERTE COM USD0.001 / CUSIP: 45781V101 / Symbol: IIPR							
03/27/20	25.000	1,999.96	03/27/20	1,950.00	...	49.96	Sale

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2020 1099-B* OMB No. 1545-0715

(continued)

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INPIXON COM / CUSIP: 45790J800 / Symbol: INPX							
06/05/20	2,000.000	4,069.67	Various	4,030.00	...	39.67	Total of 2 transactions
06/05/20	2,000.000	4,157.15	Various	4,115.05	32.68 W	74.78	Total of 2 transactions
06/08/20	1,100.000	2,320.81	Various	2,255.48	...	65.33	Total of 2 transactions
06/09/20	1,000.000	1,909.84	06/08/20	2,077.15	167.31 W	0.00	Sale
06/16/20	1,000.000	1,799.84	06/08/20	2,135.16	335.32 W	0.00	Sale
06/25/20	1,500.000	2,489.76	06/08/20	3,165.23	675.47 W	0.00	Sale
07/17/20	2,500.000	3,074.63	Various	4,546.38	1,481.60 W	9.85	Total of 2 transactions
09/01/20	2,000.000	2,279.91	07/06/20	4,041.68	...	-1,761.77	Sale
	Security total:	22,101.61		26,366.13	2,692.38 W	-1,572.14	
INTEL CORP COM / CUSIP: 458140100 / Symbol: INTC							
09/02/20	50.000	2,632.94	09/02/20	2,587.50	...	45.44	Sale
10/19/20	50.000	2,746.18	10/19/20	2,700.00	...	46.18	Sale
10/21/20	50.000	2,667.18	10/21/20	2,662.50	...	4.68	Sale
12/16/20	100.000	5,124.88	12/02/20	4,948.50	...	176.38	Sale
12/28/20	100.000	4,749.89	12/18/20	4,747.00	...	2.89	Sale
	Security total:	17,921.07		17,645.50	...	275.57	
JP MORGAN CHASE & CO COM / CUSIP: 46625H100 / Symbol: JPM							
06/29/20	25.000	2,343.95	06/26/20	2,318.75	...	25.20	Sale
JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA							
05/18/20	150.000	641.97	05/13/20	600.00	...	41.97	Sale
06/18/20	200.000	1,147.95	06/18/20	1,144.00	...	3.95	Sale
07/22/20	100.000	984.97	07/22/20	975.00	...	9.97	Sale
08/24/20	100.000	1,065.97	08/20/20	1,100.00	34.03 W	0.00	Sale
08/25/20	350.000	3,748.38	Various	3,672.53	29.03 W	104.88	Sale
08/26/20	100.000	974.97	08/26/20	979.03	...	-4.06	Sale
	Security total:	8,564.21		8,470.56	63.06 W	156.71	
KITOV PHARMA LTD 1:10 R/S 8/21/20 49803V206 / CUSIP: 49803V107 / Symbol:							
06/05/20	2,500.000	1,289.57	Various	1,357.01	67.44 W	0.00	Total of 2 transactions
06/25/20	2,500.000	3,024.63	06/25/20	3,008.92	...	15.71	Sale
06/25/20	5,000.000	6,274.26	Various	6,229.94	19.05 W	63.37	Total of 2 transactions
	Security total:	10,588.46		10,595.87	86.49 W	79.08	

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(continued)

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KOHLS CORPORATION COM / CUSIP: 500255104 / Symbol: KSS							
08/24/20	100.000	2,024.95	08/21/20	1,875.00	...	149.95	Sale
09/08/20	100.000	2,179.94	09/04/20	2,150.00	...	29.94	Sale
12/23/20	50.000	1,974.95	12/16/20	1,950.00	...	24.95	Sale
	Security total:	6,179.84		5,975.00	...	204.84	
LAS VEGAS SANDS CORP COM / CUSIP: 517834107 / Symbol: LVS							
06/30/20	25.000	1,137.97	06/10/20	1,342.50	204.53 W	0.00	Sale
07/13/20	75.000	3,678.66	Various	3,827.38	263.34 W	114.62	Sale
07/14/20	50.000	2,374.94	07/14/20	2,613.34	238.40 W	0.00	Sale
08/11/20	50.000	2,549.93	07/22/20	2,538.40	...	11.53	Sale
	Security total:	9,741.50		10,321.62	706.27 W	126.15	
LIMELIGHT NETWORKS INC COM / CUSIP: 53261M104 / Symbol: LLNW							
05/26/20	500.000	2,774.88	Various	2,759.03	...	15.85	Sale
LUCKIN COFFEE INC ADR SPONSORED / CUSIP: 54951L109 / Symbol: LKNCY							
04/06/20	160.000	711.96	04/02/20	1,051.55	...	-339.59	Sale
LULULEMON ATHLETICA INC COM / CUSIP: 550021109 / Symbol: LULU							
12/04/20	10.000	3,799.92	12/02/20	3,740.00	...	59.92	Sale
LYFT INC COM CL A / CUSIP: 55087P104 / Symbol: LYFT							
04/27/20	17.000	552.49	04/24/20	541.96	...	10.53	Sale
MACY'S INC COM / CUSIP: 55616P104 / Symbol: M							
05/21/20	100.000	530.98	05/21/20	510.00	...	20.98	Sale
05/29/20	300.000	1,994.94	Various	1,950.00	...	44.94	Total of 2 transactions
06/01/20	300.000	1,945.44	Various	1,945.02	11.54 W	11.96	Total of 2 transactions
06/02/20	150.000	1,004.96	06/01/20	969.02	...	35.94	Sale
06/10/20	800.000	6,751.75	Various	6,645.75	...	106.00	Total of 2 transactions
06/16/20	500.000	4,164.85	06/10/20	4,050.00	...	114.85	Sale
07/13/20	100.000	660.98	07/13/20	650.00	...	10.98	Sale
07/21/20	200.000	1,315.95	07/20/20	1,290.00	...	25.95	Sale
07/23/20	200.000	1,327.95	07/22/20	1,278.00	...	49.95	Sale
07/24/20	5.000	32.30	07/24/20	32.21	...	0.09	Sale
07/28/20	250.000	1,623.09	Various	1,610.84	...	12.25	Total of 2 transactions

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MACY'S INC COM / CUSIP: 55616P104 / Symbol: M (cont'd)							
10/02/20	100.000	599.98	09/28/20	598.50	...	1.48	Sale
12/23/20	250.000	2,687.41	12/16/20	2,680.00	...	7.41	Sale
	Security total:	24,640.58		24,209.34	11.54 W	442.78	
MARATHON OIL CORPORATION COM / CUSIP: 565849106 / Symbol: MRO							
05/12/20	175.000	1,039.46	05/11/20	1,015.00	...	24.46	Sale
MICROSOFT CORP COM / CUSIP: 594918104 / Symbol: MSFT							
03/24/20	25.000	3,624.92	03/20/20	3,575.00	...	49.92	Sale
05/18/20	25.000	4,637.40	Various	4,600.00	10.08 W	47.48	Sale
06/04/20	25.000	4,599.90	06/04/20	4,585.08	...	14.82	Sale
06/05/20	25.000	4,599.90	06/04/20	4,575.00	...	24.90	Sale
06/22/20	25.000	4,994.89	06/19/20	4,969.25	...	25.64	Sale
07/14/20	10.000	2,094.95	07/13/20	2,070.00	...	24.95	Sale
07/28/20	1.000	202.35	07/22/20	206.20	3.85 W	0.00	Sale
08/03/20	5.000	1,058.48	Various	1,033.12	...	25.36	Sale
10/12/20	50.000	11,119.25	Various	10,790.13	98.00 W	427.12	Sale
10/13/20	50.000	11,224.74	10/12/20	11,198.00	35.63 W	62.37	Sale
11/10/20	35.000	7,521.33	Various	7,442.63	37.25 W	115.95	Sale
11/20/20	25.000	5,298.33	11/19/20	5,311.00	12.67 W	0.00	Sale
11/24/20	50.000	10,651.25	11/20/20	10,536.67	...	114.58	Sale
12/28/20	50.000	11,272.01	Various	10,587.50	...	684.51	Total of 2 transactions
	Security total:	82,899.70		81,479.58	197.48 W	1,617.60	
MICRON TECHNOLOGY INC COM / CUSIP: 595112103 / Symbol: MU							
12/02/20	50.000	3,449.91	12/02/20	3,446.00	...	3.91	Sale
MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA							
04/13/20	100.000	3,249.92	04/13/20	3,200.00	...	49.92	Sale
05/15/20	12.000	785.98	05/14/20	757.38	...	28.60	Sale
05/19/20	25.000	1,949.96	05/19/20	1,916.50	...	33.46	Sale
06/24/20	50.000	3,262.42	05/19/20	3,712.50	450.08 W	0.00	Sale
06/25/20	50.000	3,020.82	Various	3,425.08	404.26 W	0.00	Sale
06/30/20	150.000	9,712.27	Various	9,635.26	313.84 W	390.85	Sale
07/13/20	177.000	11,836.22	Various	11,769.00	389.67 W	456.89	Total of 2 transactions
07/14/20	350.000	30,294.29	Various	28,844.66	...	1,449.63	Total of 3 transactions

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MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA (cont'd)							
07/15/20	100.000	8,784.05	Various	8,500.00	...	284.05	Total of 6 transactions
07/15/20	300.000	24,979.54	Various	26,340.32	1,360.78 W	0.00	Total of 4 transactions
07/17/20	1,000.000	92,497.86	Various	92,147.22	...	350.64	Total of 5 transactions
07/17/20	1,200.000	111,159.43	Various	111,151.58	800.11 W	807.96	Total of 6 transactions
07/20/20	150.000	14,609.66	07/17/20	14,459.46	...	150.20	Sale
07/20/20	100.000	8,284.81	07/20/20	8,293.32	42.92 W	34.41	Sale
07/21/20	50.000	3,978.90	07/20/20	4,142.92	164.02 W	0.00	Sale
08/03/20	50.000	3,752.41	07/21/20	4,085.00	332.59 W	0.00	Sale
08/05/20	50.000	3,779.91	07/21/20	4,249.02	469.11 W	0.00	Sale
08/07/20	100.000	7,400.82	Various	8,600.20	1,199.38 W	0.00	Total of 2 transactions
08/18/20	25.000	1,678.21	07/24/20	2,047.09	368.88 W	0.00	Sale
09/01/20	50.000	3,149.92	Various	4,417.69	1,267.77 W	0.00	Sale
09/02/20	350.000	21,657.48	Various	27,128.75	587.64 W	-4,883.63	Sale
09/08/20	25.000	1,401.22	09/08/20	1,987.64	...	-586.42	Sale
	Security total:	371,226.10		380,810.59	8,151.05 W	-1,433.44	
MOHAWK INDUSTRIES COM / CUSIP: 608190104 / Symbol: MHK							
07/10/20	11.000	781.53	07/10/20	781.00	...	0.53	Sale
07/13/20	14.000	994.68	07/10/20	994.00	...	0.68	Sale
	Security total:	1,776.21		1,775.00	...	1.21	
MONEYGRAM INTERNATIONAL INC COM / CUSIP: 60935Y208 / Symbol: MGI							
08/12/20	200.000	721.96	Various	719.88	...	2.08	Sale
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
05/26/20	250.000	887.45	05/26/20	862.50	...	24.95	Sale
07/06/20	200.000	2,268.93	Various	2,263.00	...	5.93	Total of 2 transactions
07/29/20	25.000	314.74	07/28/20	314.50	...	0.24	Sale
09/02/20	200.000	3,980.89	09/02/20	3,922.99	59.55 W	117.45	Sale
09/09/20	100.000	1,814.95	09/04/20	1,759.55	...	55.40	Sale
09/14/20	100.000	1,814.95	09/10/20	1,792.00	...	22.95	Sale
09/15/20	100.000	2,002.95	09/15/20	1,991.21	...	11.74	Sale
09/29/20	200.000	3,999.90	Various	3,912.99	...	86.91	Total of 2 transactions
10/06/20	4.000	86.30	Various	87.20	0.90 W	0.00	Total of 3 transactions
10/07/20	4.000	86.44	Various	87.20	0.76 W	0.00	Total of 3 transactions

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(continued)

02/11/2021

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NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO (cont'd)							
10/08/20	98.000	2,106.94	10/01/20	2,136.78	29.84 W	0.00	Sale
10/09/20	125.000	2,699.75	Various	2,730.03	30.35 W	0.07	Total of 6 transactions
10/14/20	200.000	4,888.87	Various	4,650.24	...	238.63	Total of 2 transactions
10/15/20	100.000	2,820.43	10/15/20	2,895.51	75.08 W	0.00	Sale
10/19/20	100.000	2,809.93	10/19/20	2,875.08	...	-65.15	Sale
	Security total:	32,583.42		32,280.78	196.48 W	499.12	
NANOVIRICIDES INC COM / CUSIP: 630087302 / Symbol: NNVC							
07/24/20	75.000	476.98	Various	531.25	...	-54.27	Sale
NAVISTAR INTERNATIONAL CORP COM / CUSIP: 63934E108 / Symbol: NAV							
06/01/20	50.000	1,269.96	05/29/20	1,250.00	...	19.96	Sale
06/03/20	75.000	1,873.45	Various	1,818.75	...	54.70	Sale
	Security total:	3,143.41		3,068.75	...	74.66	
NETFLIX INC COM / CUSIP: 64110L106 / Symbol: NFLX							
09/14/20	10.000	4,764.98	Various	4,750.00	...	14.98	Total of 2 transactions
09/15/20	10.000	4,949.89	09/15/20	4,938.40	...	11.49	Sale
09/28/20	10.000	4,903.39	09/16/20	4,853.00	...	50.39	Sale
10/13/20	15.000	8,234.82	10/12/20	8,220.00	...	14.82	Sale
10/15/20	70.000	37,894.16	Various	38,740.69	846.53 W	0.00	Total of 3 transactions
10/19/20	25.000	13,424.70	10/16/20	13,794.65	163.73 W	-206.22	Sale
10/29/20	10.000	5,099.89	Various	4,987.05	...	112.84	Sale
11/10/20	10.000	4,658.90	11/04/20	5,138.23	479.33 W	0.00	Sale
12/14/20	10.000	5,229.88	12/02/20	5,469.33	239.45 W	0.00	Sale
12/29/20	25.000	13,237.21	12/29/20	13,214.45	134.57 W	157.33	Sale
12/31/20	25.000	13,429.95	12/29/20	13,384.57	62.59 W	107.97	Sale
	Security total:	115,827.77		117,490.37	1,926.20 W	263.60	
NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA							
06/15/20	50.000	3,424.91	06/10/20	3,325.00	...	99.91	Sale
07/21/20	50.000	2,010.45	Various	2,009.40	...	1.05	Sale
	Security total:	5,435.36		5,334.40	...	100.96	
NOKIA OYJ ADR SPONSORED / CUSIP: 654902204 / Symbol: NOK							
04/24/20	1.000	3.41	04/24/20	3.40	...	0.01	Sale

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NUTANIX INC COM CL A / CUSIP: 67059N108 / Symbol: NTNX							
05/28/20	50.000	1,217.46	05/27/20	1,177.64	...	39.82	Sale
NVIDIA CORP COM / CUSIP: 67066G104 / Symbol: NVDA							
03/13/20	2.000	451.73	03/12/20	440.00	...	11.73	Sale
03/17/20	14.000	3,051.93	03/16/20	2,849.83	...	202.10	Sale
03/20/20	20.000	4,530.90	Various	3,880.00	...	650.90	Total of 2 transactions
03/23/20	10.000	2,119.95	03/20/20	2,100.00	...	19.95	Sale
05/22/20	10.000	3,621.47	05/21/20	3,518.39	...	103.08	Sale
05/29/20	5.000	1,749.96	05/26/20	1,740.00	...	9.96	Sale
07/13/20	5.000	2,039.95	07/13/20	2,010.00	...	29.95	Sale
07/24/20	5.000	2,039.95	07/24/20	1,975.00	...	64.95	Sale
09/14/20	50.000	26,256.92	Various	26,352.88	420.12 W	324.16	Total of 2 transactions
09/29/20	4.000	2,128.53	Various	2,104.00	...	24.53	Total of 3 transactions
10/06/20	50.000	27,601.13	Various	26,401.37	...	1,199.76	Sale
10/08/20	5.000	2,762.44	10/06/20	2,740.00	...	22.44	Sale
10/12/20	50.000	28,454.36	Various	27,420.00	...	1,034.36	Sale
10/15/20	50.000	27,549.40	Various	28,337.50	788.10 W	0.00	Total of 2 transactions
10/20/20	25.000	13,730.95	10/19/20	14,094.05	72.62 W	-290.48	Sale
10/23/20	10.000	5,389.88	10/23/20	5,507.62	117.74 W	0.00	Sale
11/10/20	20.000	10,739.76	Various	10,730.45	205.24 W	214.55	Sale
11/20/20	25.000	13,289.71	11/20/20	13,572.98	291.21 W	7.94	Sale
11/25/20	75.000	39,624.69	Various	39,660.06	358.47 W	323.10	Total of 2 transactions
	Security total:	217,133.61		215,434.13	2,253.50 W	3,952.98	
OCCIDENTAL PETROLEUM CORP COM / CUSIP: 674599105 / Symbol: OXY							
05/05/20	100.000	1,635.95	05/01/20	1,539.58	...	96.37	Sale
07/14/20	50.000	854.47	07/14/20	837.00	...	17.47	Sale
07/21/20	100.000	1,714.95	Various	1,664.74	...	50.21	Sale
	Security total:	4,205.37		4,041.32	...	164.05	
OCEAN POWER TECHNOLOGIES INC COM / CUSIP: 674870506 / Symbol: OPTT							
07/22/20	1,000.000	704.16	07/22/20	700.00	...	4.16	Sale

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OCUGEN INC COM / CUSIP: 67577C105 / Symbol: OCGN							
06/05/20	2,022.000	495.75	Various	601.10	...	-105.35	Sale
06/05/20	2,978.000	731.89	Various	915.32	196.42 W	12.99	Total of 2 transactions
	Security total:	1,227.64		1,516.42	196.42 W	-92.36	
ONCONOVA THERAPEUTICS INC COM / CUSIP: 68232V405 / Symbol: ONTX							
04/29/20	3,500.000	1,189.55	04/28/20	1,120.00	...	69.55	Sale
05/04/20	3,225.000	1,025.15	05/01/20	999.75	...	25.40	Sale
07/21/20	100.000	133.99	07/21/20	133.00	...	0.99	Sale
	Security total:	2,348.69		2,252.75	...	95.94	
ORACLE CORP COM / CUSIP: 68389X105 / Symbol: ORCL							
09/14/20	25.000	1,526.22	09/14/20	1,525.00	...	1.22	Sale
PAYPAL HOLDINGS INC COM / CUSIP: 70450Y103 / Symbol: PYPL							
10/13/20	50.000	10,217.95	10/13/20	10,150.00	...	67.95	Sale
10/21/20	10.000	2,123.45	10/21/20	2,085.00	...	38.45	Sale
12/04/20	10.000	2,179.95	12/02/20	2,125.00	...	54.95	Sale
	Security total:	14,521.35		14,360.00	...	161.35	
PELTON INTERACTIVE INC COM CL A / CUSIP: 70614W100 / Symbol: PTON							
09/02/20	25.000	2,276.20	09/02/20	2,175.00	...	101.20	Sale
09/10/20	15.000	1,342.47	09/10/20	1,342.50	12.52 W	12.49	Sale
09/14/20	25.000	1,999.96	09/11/20	2,162.52	...	-162.56	Sale
	Security total:	5,618.63		5,680.02	12.52 W	-48.87	
PFIZER INC COM / CUSIP: 717081103 / Symbol: PFE							
06/16/20	50.000	1,670.05	06/16/20	1,660.00	...	10.05	Sale
PINTEREST INC COM CL A / CUSIP: 72352L106 / Symbol: PINS							
04/30/20	48.000	1,007.97	04/29/20	996.00	...	11.97	Sale
PROSHARES TRUST ULTRPRO S&P500 ETF / CUSIP: 74347X864 / Symbol: UPRO							
09/10/20	50.000	2,899.93	09/10/20	2,850.00	...	49.93	Sale
09/14/20	50.000	2,862.43	09/10/20	2,850.00	...	12.43	Sale
	Security total:	5,762.36		5,700.00	...	62.36	

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PULMATRIX INCORPORATED COM / CUSIP: 74584P202 / Symbol: PULM							
07/15/20	500.000	709.92	07/15/20	705.00	...	4.92	Sale
QUEST DIAGNOSTICS INC COM / CUSIP: 74834L100 / Symbol: DGX							
05/08/20	10.000	1,112.68	05/05/20	1,097.50	...	15.18	Sale
RED ROBIN GOURMET BURGERS INC COM / CUSIP: 75689M101 / Symbol: RRGB							
08/10/20	100.000	999.97	08/10/20	967.00	...	32.97	Sale
REMARK HOLDINGS INC COM / CUSIP: 75955K102 / Symbol: MARK							
07/14/20	500.000	724.92	07/14/20	700.00	...	24.92	Sale
RITE AID CORP COM / CUSIP: 767754872 / Symbol: RAD							
05/11/20	125.000	1,687.98	Various	1,660.75	...	27.23	Sale
05/29/20	300.000	3,874.88	Various	3,825.00	...	49.88	Total of 3 transactions
11/17/20	100.000	1,095.97	11/17/20	1,094.48	...	1.49	Sale
11/18/20	200.000	2,183.93	11/17/20	2,176.00	...	7.93	Sale
11/20/20	100.000	1,085.97	11/19/20	1,084.00	...	1.97	Sale
Security total:		9,928.73		9,840.23	...	88.50	
ROCKET COMPANIES INC COM CL A / CUSIP: 77311W101 / Symbol: RKT							
10/15/20	500.000	11,199.74	Various	12,484.50	1,570.68 W	285.92	Sale
11/04/20	350.000	7,254.85	Various	8,789.68	1,449.47 W	-85.36	Sale
11/20/20	200.000	4,210.71	11/20/20	5,624.47	969.38 W	-444.38	Sale
Security total:		22,665.30		26,898.65	3,989.53 W	-243.82	
ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU							
03/31/20	25.000	2,349.95	03/30/20	2,219.38	...	130.57	Sale
06/25/20	25.000	3,089.93	06/25/20	3,062.50	...	27.43	Sale
06/26/20	25.000	3,088.68	06/25/20	3,087.50	...	1.18	Sale
09/21/20	25.000	4,662.40	Various	4,495.00	...	167.40	Sale
Security total:		13,190.96		12,864.38	...	326.58	

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RUMBLEON INC COM CL B / CUSIP: 781386305 / Symbol: RMBL							
07/20/20	25.000	674.99	07/20/20	618.75	...	56.24	Sale
08/04/20	50.000	1,168.96	Various	1,118.75	28.02 W	78.23	Sale
08/10/20	50.000	1,224.96	08/10/20	1,215.52	...	9.44	Sale
	Security total:	3,068.91		2,953.02	28.02 W	143.91	
SPDR SERIES TRUST S&P RETAIL ETF / CUSIP: 78464A714 / Symbol: XRT							
12/01/20	50.000	3,051.17	11/25/20	3,042.50	...	8.67	Sale
SSR MINING INC COM / CUSIP: 784730103 / Symbol: SSRM							
03/23/20	100.000	1,153.28	03/20/20	1,100.00	...	53.28	Sale
SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM							
07/24/20	5.000	942.48	07/22/20	947.50	...	-5.02	Sale
12/04/20	15.000	3,374.93	Various	3,465.97	126.60 W	35.56	Sale
12/17/20	25.000	5,637.38	Various	5,649.63	110.93 W	98.68	Sale
	Security total:	9,954.79		10,063.10	237.53 W	129.22	
SASOL ADR SPONSORED / CUSIP: 803866300 / Symbol: SSL							
06/01/20	3,000.000	17,609.25	Various	11,588.75	...	6,020.50	Sale
06/19/20	100.000	840.97	Various	815.55	...	25.42	Sale
06/25/20	3,000.000	24,479.10	06/25/20	24,448.69	...	30.41	Sale
06/25/20	3,000.000	24,601.97	Various	24,840.00	25.23 W	-212.80	Total of 2 transactions
07/21/20	100.000	917.97	07/20/20	874.16	...	43.81	Sale
07/29/20	250.000	2,242.42	Various	2,141.55	...	100.87	Sale
09/16/20	150.000	1,219.45	09/15/20	1,190.07	...	29.38	Sale
10/14/20	100.000	664.98	10/14/20	660.00	...	4.98	Sale
	Security total:	72,576.11		66,558.77	25.23 W	6,042.57	
SHAKE SHACK INC COM CL A / CUSIP: 819047101 / Symbol: SHAK							
06/04/20	50.000	2,901.93	06/04/20	2,876.45	...	25.48	Sale
SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP							
08/07/20	10.000	10,736.96	08/07/20	10,835.00	...	-98.04	Sale

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SIMON PROPERTY GROUP INC COM USD0.0001 / CUSIP: 828806109 / Symbol: SPG							
07/22/20	25.000	1,539.97	07/22/20	1,506.25	...	33.72	Sale
07/27/20	50.000	3,035.42	07/27/20	3,035.00	...	0.42	Sale
12/28/20	25.000	2,139.95	12/18/20	2,137.50	...	2.45	Sale
	Security total:	6,715.34		6,678.75	...	36.59	
SINTX TECHNOLOGIES INC COM / CUSIP: 829392307 / Symbol: SINT							
07/23/20	500.000	1,199.92	Various	1,141.43	...	58.49	Total of 2 transactions
SLACK TECHNOLOGIES INC COM CL A / CUSIP: 83088V102 / Symbol: WORK							
06/04/20	600.000	19,179.52	Various	19,025.00	...	154.52	Total of 5 transactions
06/05/20	1,600.000	51,821.16	Various	51,803.34	104.25 W	122.07	Total of 5 transactions
06/08/20	500.000	16,399.58	06/05/20	16,258.41	...	141.17	Sale
11/25/20	200.000	7,336.82	Various	7,200.00	...	136.82	Total of 2 transactions
	Security total:	94,737.08		94,286.75	104.25 W	554.58	
SMILEDIRECTCLUB INC COM CL A / CUSIP: 83192H106 / Symbol: SDC							
05/21/20	160.000	1,188.75	Various	1,161.44	...	27.31	Sale
SNAP INC COM CL A / CUSIP: 83304A106 / Symbol: SNAP							
07/14/20	50.000	1,199.96	07/14/20	1,188.75	...	11.21	Sale
10/20/20	200.000	6,209.85	Various	5,724.00	...	485.85	Total of 2 transactions
10/21/20	100.000	3,529.91	10/21/20	3,425.00	...	104.91	Sale
12/29/20	100.000	5,311.87	12/29/20	5,080.60	...	231.27	Sale
	Security total:	16,251.59		15,418.35	...	833.24	
SNOWFLAKE INC COM CL A / CUSIP: 833445109 / Symbol: SNOW							
09/16/20	10.000	2,651.41	09/16/20	2,850.00	...	-198.59	Sale
12/29/20	125.000	38,274.14	Various	38,150.00	...	124.14	Total of 2 transactions
12/30/20	50.000	15,212.13	Various	15,200.00	...	12.13	Total of 2 transactions
	Security total:	56,137.68		56,200.00	...	-62.32	

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Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

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SORRENTO THERAPEUTICS INC COM / CUSIP: 83587F202 / Symbol: SRNE							
05/15/20	177.000	1,363.05	Various	1,317.50	...	45.55	Total of 3 transactions
05/18/20	50.000	399.98	05/18/20	437.50	...	-37.52	Sale
06/24/20	100.000	674.98	06/24/20	670.00	...	4.98	Sale
08/07/20	100.000	1,370.41	08/07/20	1,310.00	...	60.41	Sale
	Security total:	3,808.42		3,735.00	...	73.42	
SOUTHWEST AIRLINES CO COM / CUSIP: 844741108 / Symbol: LUV							
07/14/20	50.000	1,649.95	07/13/20	1,618.75	...	31.20	Sale
SQUARE INC COM CL A / CUSIP: 852234103 / Symbol: SQ							
05/12/20	20.000	1,519.97	05/12/20	1,500.00	...	19.97	Sale
07/10/20	25.000	3,151.18	07/10/20	3,157.50	...	-6.32	Sale
12/04/20	10.000	2,084.95	12/02/20	1,985.00	...	99.95	Sale
12/30/20	50.000	11,006.01	Various	10,631.49	...	374.52	Total of 2 transactions
12/30/20	100.000	21,814.50	Various	21,840.92	197.41 W	170.99	Total of 2 transactions
	Security total:	39,576.61		39,114.91	197.41 W	659.11	
TARGET CORP COM / CUSIP: 87612E106 / Symbol: TGT							
11/10/20	25.000	3,887.66	10/21/20	4,125.00	...	-237.34	Sale
TELADOC HEALTH INC COM / CUSIP: 87918A105 / Symbol: TDOC							
03/26/20	44.000	6,709.85	Various	6,573.00	...	136.85	Total of 2 transactions
05/21/20	5.000	884.98	05/20/20	860.00	...	24.98	Sale
	Security total:	7,594.83		7,433.00	...	161.83	
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
09/14/20	300.000	123,522.72	Various	125,744.97	4,218.28 W	1,996.03	Total of 7 transactions
09/15/20	200.000	89,472.98	Various	90,762.14	1,727.26 W	438.10	Total of 4 transactions
09/16/20	100.000	44,399.00	Various	45,010.96	731.16 W	119.20	Total of 2 transactions
10/08/20	5.000	2,132.45	09/16/20	2,225.00	92.55 W	0.00	Sale
10/14/20	50.000	22,872.73	Various	22,608.18	236.08 W	500.63	Sale
10/15/20	60.000	27,046.90	Various	27,891.98	845.08 W	0.00	Total of 3 transactions
11/10/20	50.000	20,799.53	Various	21,657.40	...	-857.87	Sale
11/10/20	50.000	20,800.53	Various	22,464.18	1,663.65 W	0.00	Sale
	Security total:	351,046.84		358,364.81	9,514.06 W	2,196.09	

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THERAPEUTICSMD INC COM / CUSIP: 88338N107 / Symbol: TXMD							
06/10/20	3,000.000	4,319.54	06/10/20	4,230.00	...	89.54	Sale
TILRAY INC COM / CUSIP: 88688T100 / Symbol: TLRV							
05/11/20	2.000	16.52	05/07/20	16.00	...	0.52	Sale
TWITTER INC COM / CUSIP: 90184L102 / Symbol: TWTR							
06/02/20	25.000	799.98	06/01/20	793.50	...	6.48	Sale
2U INC COM / CUSIP: 90214J101 / Symbol: TWOU							
09/15/20	50.000	1,843.67	09/14/20	1,822.50	...	21.17	Sale
URBAN ONE INC COM CL A / CUSIP: 91705J105 / Symbol: UONE							
06/17/20	100.000	1,659.94	Various	1,585.00	...	74.94	Total of 2 transactions
06/17/20	50.000	594.23	06/17/20	762.50	168.27 W	0.00	Sale
06/18/20	50.000	1,297.97	Various	1,490.19	...	-192.22	Total of 2 transactions
06/18/20	50.000	949.97	06/18/20	1,064.66	114.69 W	0.00	Sale
	Security total:	4,502.11		4,902.35	282.96 W	-117.28	
V F CORP COM / CUSIP: 918204108 / Symbol: VFC							
06/10/20	25.000	1,603.96	06/10/20	1,598.74	...	5.22	Sale
VANECK VECTORS ETF TRUST VIDEO GAMING ETF / CUSIP: 92189F114 / Symbol: ESPO							
11/30/20	50.000	3,262.43	Various	3,170.50	...	91.93	Total of 2 transactions
VAPOTHERM INC COM / CUSIP: 922107107 / Symbol: VAPO							
06/03/20	50.000	1,324.96	06/03/20	1,300.00	...	24.96	Sale
VIR BIOTECHNOLOGY INC COM / CUSIP: 92764N102 / Symbol: VIR							
06/30/20	75.000	2,999.92	06/26/20	3,225.50	225.58 W	0.00	Sale
07/07/20	100.000	4,130.85	Various	4,312.10	...	-181.25	Total of 2 transactions
07/07/20	100.000	4,199.90	06/26/20	4,170.33	118.10 W	147.67	Sale
	Security total:	11,330.67		11,707.93	343.68 W	-33.58	
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
03/20/20	100.000	1,196.96	03/18/20	1,051.32	...	145.64	Sale
03/20/20	74.000	885.75	Various	888.00	45.52 W	43.27	Sale
04/24/20	7.000	117.27	Various	113.68	...	3.59	Total of 2 transactions

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VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE (cont'd)							
05/06/20	44.000	812.65	05/06/20	787.60	...	25.05	Sale
06/22/20	200.000	3,459.90	06/10/20	3,360.00	...	99.90	Sale
10/15/20	100.000	2,111.94	10/14/20	2,175.00	63.06 W	0.00	Sale
10/19/20	100.000	2,349.94	10/19/20	2,361.06	...	-11.12	Sale
	Security total:	10,934.41		10,736.66	108.58 W	306.33	
VROOM INC COM / CUSIP: 92918V109 / Symbol: VRM							
06/18/20	50.000	2,347.44	06/18/20	2,337.50	...	9.94	Sale
WALMART INC COM / CUSIP: 931142103 / Symbol: WMT							
07/01/20	25.000	2,994.93	Various	3,080.00	...	-85.07	Sale
WELLS FARGO & COMPANY COM / CUSIP: 949746101 / Symbol: WFC							
06/25/20	100.000	2,649.93	06/24/20	2,625.00	...	24.93	Sale
07/15/20	50.000	1,268.96	07/13/20	1,267.50	...	1.46	Sale
07/23/20	50.000	1,324.96	07/23/20	1,300.00	...	24.96	Sale
	Security total:	5,243.85		5,192.50	...	51.35	
WORKDAY INC COM CL A / CUSIP: 98138H101 / Symbol: WDAY							
08/13/20	10.000	1,824.96	Various	1,823.50	...	1.46	Sale
11/24/20	25.000	5,269.88	11/20/20	5,237.50	...	32.38	Sale
	Security total:	7,094.84		7,061.00	...	33.84	
XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
09/14/20	100.000	1,925.95	09/11/20	1,896.50	...	29.45	Sale
ZOMEDICA PHARMACEUTICALS CORP N/C 10/5/20 98980M109 / CUSIP: 98979F107 / Symbol:							
06/05/20	1,030.000	180.13	05/29/20	194.72	...	-14.59	Sale
06/05/20	2,470.000	428.98	Various	488.08	34.55 W	-24.55	Total of 2 transactions
	Security total:	609.11		682.80	34.55 W	-39.14	
ZOOMINFO TECHNOLOGIES INC COM CL A / CUSIP: 98980F104 / Symbol: ZI							
06/04/20	50.000	1,824.95	06/04/20	1,800.00	...	24.95	Sale
06/05/20	150.000	5,377.36	06/04/20	5,325.00	...	52.36	Sale
	Security total:	7,202.31		7,125.00	...	77.31	

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ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM							
07/20/20	5.000	1,324.97	07/13/20	1,305.00	...	19.97	Sale
10/01/20	5.000	2,329.95	10/01/20	2,340.00	10.05 W	0.00	Sale
10/07/20	1.000	481.49	10/02/20	485.01	3.52 W	0.00	Sale
10/08/20	2.000	962.18	10/06/20	960.02	...	2.16	Sale
10/08/20	2.000	962.38	Various	965.02	3.82 W	1.18	Sale
10/20/20	5.000	2,674.94	10/20/20	2,672.34	...	2.60	Sale
	Security total:	8,735.91		8,727.39	17.39 W	25.91	
ZYNGA INC COM CL A / CUSIP: 98986T108 / Symbol: ZNGA							
06/17/20	100.000	933.97	06/17/20	931.00	...	2.97	Sale
07/15/20	100.000	950.16	Various	945.00	...	5.16	Total of 2 transactions
	Security total:	1,884.13		1,876.00	...	8.13	
APPLE INC AAPL Sep 18 2020 116.25 Call / CUSIP: / Symbol: AAPL 09/18/20 C 116.250							
09/15/20	2.000	514.66	09/15/20	501.33	...	13.33	Option sale
APPLE INC AAPL Oct 02 2020 116.25 Call / CUSIP: / Symbol: AAPL 10/02/20 C 116.250							
10/01/20	5.000	721.65	09/15/20	2,683.33	...	-1,961.68	Option sale
APPLE INC AAPL Oct 02 2020 117.5 Call / CUSIP: / Symbol: AAPL 10/02/20 C 117.500							
10/02/20	5.000	1,953.29	10/01/20	0.00	...	1,953.29	Short sale closed- option
APPLE INC AAPL Nov 20 2020 120.0 Call / CUSIP: / Symbol: AAPL 11/20/20 C 120.000							
10/12/20	5.000	4,646.57	Various	3,378.35	...	1,268.22	Total of 2 transactions
10/13/20	1.000	953.32	10/12/20	966.66	...	-13.34	Option sale
	Security total:	5,599.89		4,345.01	...	1,254.88	
APPLE INC AAPL Dec 18 2020 120.0 Call / CUSIP: / Symbol: AAPL 12/18/20 C 120.000							
10/21/20	1.000	690.32	10/20/20	675.66	...	14.66	Option sale
APPLE INC AAPL Jan 15 2021 120.0 Call / CUSIP: / Symbol: AAPL 01/15/21 C 120.000							
11/25/20	5.000	1,926.63	11/23/20	1,694.32	...	232.31	Option sale
AES CORP AES Oct 16 2020 19.0 Call / CUSIP: / Symbol: AES 10/16/20 C 19.000							
09/18/20	2.000	98.67	09/15/20	81.33	...	17.34	Option sale

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ADVANCED MICRO DEVICES INC AMD Nov 20 2020 85.0 Call / CUSIP: / Symbol: AMD 11/20/20 C 85.000							
10/08/20	2.000	1,888.63	Various	1,766.32	...	122.31	Option sale
AMAZON COM INC AMZN Oct 23 2020 3170.0 Call / CUSIP: / Symbol: AMZN 10/23/20 C 3170.000							
10/21/20	1.000	4,599.24	10/21/20	4,075.66	...	523.58	Option sale
AMAZON COM INC AMZN Jan 15 2021 3100.0 Call / CUSIP: / Symbol: AMZN 01/15/21 C 3100.000							
11/23/20	1.000	15,698.99	11/23/20	15,500.66	...	198.33	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 11 2020 292.5 Call / CUSIP: / Symbol: BABA 09/11/20 C 292.500							
09/11/20	1.000	2.33	09/02/20	1,073.67	...	-1,071.34	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 11 2020 295.0 Call / CUSIP: / Symbol: BABA 09/11/20 C 295.000							
09/14/20	1.000	942.64	09/11/20	0.00	...	942.64	Short sale closed- option
ALIBABA GROUP HOLDING LTD BABA Apr 16 2021 225.0 Call / CUSIP: / Symbol: BABA 04/16/21 C 225.000							
12/29/20	2.000	4,598.57	12/24/20	4,151.32	...	447.25	Option sale
CATERPILLAR INC CAT Dec 18 2020 180.0 Call / CUSIP: / Symbol: CAT 12/18/20 C 180.000							
11/23/20	1.000	309.33	11/20/20	220.66	...	88.67	Option sale
DRAFTKINGS INC DKNG Jan 15 2021 65.0 Call / CUSIP: / Symbol: DKNG 01/15/21 C 65.000							
12/16/20	1.000	119.34	12/04/20	85.66	...	33.68	Option sale
EXPRESS INC EXPR Jan 15 2021 1.0 Call / CUSIP: / Symbol: EXPR 01/15/21 C 1.000							
12/29/20	2.000	14.67	12/16/20	41.33	...	-26.66	Option sale
NABORS INDUSTRIES LTD COM / CUSIP: G6359F137 / Symbol: NBR							
09/15/20	100.000	2,976.92	09/14/20	2,916.04	...	60.88	Sale
MYOVANT SCIENCES LTD COM / CUSIP: G637AM102 / Symbol: MYOV							
07/23/20	50.000	880.47	07/23/20	855.25	...	25.22	Sale
NORDIC AMER TANKERS LTD COM / CUSIP: G65773106 / Symbol: NAT							
04/29/20	162.000	1,015.70	04/29/20	996.30	...	19.40	Sale
06/05/20	500.000	2,324.89	Various	2,704.51	379.62 W	0.00	Sale
06/08/20	90.000	474.28	05/19/20	524.72	...	-50.44	Sale

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NORDIC AMER TANKERS LTD COM / CUSIP: G65773106 / Symbol: NAT (cont'd)							
06/09/20	104.000	550.14	05/19/20	602.83	...	-52.69	Sale
06/10/20	306.000	1,621.72	Various	1,480.17	...	141.55	Sale
	Security total:	5,986.73		6,308.53	379.62 W	57.82	
SOCIAL CAP HEDOSOPHIA HLDGS CO 1:1 EXC 12/21/20 683712103 / CUSIP: G8250T109 / Symbol: IPOB							
11/24/20	200.000	3,849.89	Various	3,750.00	...	99.89	Sale
CRISPR THERAPEUTICS AG COM / CUSIP: H17182108 / Symbol: CRSP							
06/09/20	32.000	1,994.52	06/09/20	1,947.20	...	47.32	Sale
TRANSOCEAN LIMITED COM / CUSIP: H8817H100 / Symbol: RIG							
03/24/20	1,000.000	1,389.85	03/24/20	1,280.00	...	109.85	Sale
03/26/20	950.000	1,263.36	03/26/20	1,235.00	...	28.36	Sale
	Security total:	2,653.21		2,515.00	...	138.21	
HYLIION HOLDINGS CORP HYLN Nov 06 2020 46.5 Call / CUSIP: / Symbol: HYLN 11/06/20 C 46.500							
11/06/20	5.000	0.00	Various	1,803.30	...	-1,803.30	Option expiration
INTEL CORP INTC Nov 20 2020 55.0 Call / CUSIP: / Symbol: INTC 11/20/20 C 55.000							
10/12/20	1.000	174.34	10/09/20	153.66	...	20.68	Option sale
INTEL CORP INTC Nov 20 2020 57.5 Call / CUSIP: / Symbol: INTC 11/20/20 C 57.500							
10/13/20	1.000	-15.32	10/12/20	0.00	...	-15.32	Short sale closed- option
PACIFIC DRILLING SA BANKRUPTCY 12/31/20 / CUSIP: L7257P205 / Symbol:							
06/05/20	5,000.000	2,275.15	Various	2,250.00	...	25.15	Total of 2 transactions
MACY'S INC M Sep 25 2020 7.5 Call / CUSIP: / Symbol: M 09/25/20 C 7.500							
09/08/20	2.000	140.67	09/04/20	129.33	...	11.34	Option sale
MACY'S INC M Oct 16 2020 9.0 Call / CUSIP: / Symbol: M 10/16/20 C 9.000							
09/08/20	3.000	102.99	09/02/20	65.00	...	37.99	Option sale
CHECK-CAP LTD COM / CUSIP: M2361E203 / Symbol: CHEK							
07/17/20	750.000	502.40	07/17/20	480.00	...	22.40	Sale
08/05/20	750.000	487.40	Various	471.50	...	15.90	Sale
	Security total:	989.80		951.50	...	38.30	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FIVERR INTERNATIONAL LTD COM / CUSIP: M4R82T106 / Symbol: FVRR							
10/27/20	50.000	7,356.83	Various	8,700.00	1,343.17 W	0.00	Sale
11/10/20	50.000	7,704.01	Various	9,443.17	...	-1,739.16	Total of 3 transactions
	Security total:	15,060.84		18,143.17	1,343.17 W	-1,739.16	
INTEC PHARMA LTD 1:20 R/S 10/30/20 M53644148 / CUSIP: M53644106 / Symbol:							
07/20/20	7,500.000	2,849.05	Various	2,750.00	...	99.05	Sale
STRATASYS LTD COM / CUSIP: M85548101 / Symbol: SSYS							
05/04/20	60.000	1,030.17	05/04/20	1,005.00	...	25.17	Sale
05/06/20	60.000	1,051.17	05/05/20	1,026.00	...	25.17	Sale
	Security total:	2,081.34		2,031.00	...	50.34	
MODERNA INC MRNA Sep 18 2020 70.0 Call / CUSIP: / Symbol: MRNA 09/18/20 C 70.000							
09/03/20	2.000	618.66	09/02/20	379.33	...	239.33	Option sale
MODERNA INC MRNA Oct 09 2020 75.0 Call / CUSIP: / Symbol: MRNA 10/09/20 C 75.000							
09/17/20	2.000	798.65	09/16/20	791.33	...	7.32	Option sale
MICROSOFT CORP MSFT Mar 19 2021 215.0 Call / CUSIP: / Symbol: MSFT 03/19/21 C 215.000							
11/25/20	1.000	1,314.31	11/25/20	1,313.66	...	0.65	Option sale
12/01/20	1.000	1,381.31	11/25/20	1,300.66	...	80.65	Option sale
	Security total:	2,695.62		2,614.32	...	81.30	
NETFLIX INC NFLX Jan 15 2021 510.0 Call / CUSIP: / Symbol: NFLX 01/15/21 C 510.000							
10/07/20	2.000	12,948.40	Various	12,251.32	...	697.08	Total of 2 transactions
NIO INC NIO Feb 19 2021 60.0 Call / CUSIP: / Symbol: NIO 02/19/21 C 60.000							
12/31/20	2.000	928.65	12/24/20	751.33	...	177.32	Option sale
DIREXION SHARES ETF TRUST NUGT Mar 19 2021 70.0 Call / CUSIP: / Symbol: NUGT 03/19/21 C 70.000							
12/30/20	2.000	2,008.63	12/29/20	1,843.33	...	165.30	Option sale
NVIDIA CORP NVDA Jan 15 2021 540.0 Call / CUSIP: / Symbol: NVDA 01/15/21 C 540.000							
10/05/20	2.000	13,103.39	Various	12,776.32	...	327.07	Total of 2 transactions
ORACLE CORP ORCL Sep 18 2020 60.0 Call / CUSIP: / Symbol: ORCL 09/18/20 C 60.000							
09/08/20	1.000	74.33	09/04/20	65.67	...	8.66	Option sale

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TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RITE AID CORP RAD Jan 21 2022 12.0 Call / CUSIP: / Symbol: RAD 01/21/22 C 12.000							
11/19/20	5.000	1,496.64	11/17/20	1,478.32	...	18.32	Option sale
RH RH Sep 18 2020 420.0 Call / CUSIP: / Symbol: RH 09/18/20 C 420.000							
09/18/20	1.000	0.00	09/14/20	50.67	...	-50.67	Option expiration
ROCKET COMPANIES INC RKT Jan 15 2021 20.0 Call / CUSIP: / Symbol: RKT 01/15/21 C 20.000							
12/09/20	2.000	398.66	12/04/20	349.33	...	49.33	Option sale
HYLIION HOLDINGS CORP SHLL Nov 06 2020 47.5 Call / CUSIP: / Symbol: SHLL 11/06/20 C 47.500							
09/29/20	1.000	1,249.31	09/29/20	1,150.66	...	98.65	Option sale
SNAP INC SNAP Dec 18 2020 50.0 Call / CUSIP: / Symbol: SNAP 12/18/20 C 50.000							
11/23/20	1.000	169.34	11/20/20	98.66	...	70.68	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Oct 02 2020 20.0 Call / CUSIP: / Symbol: SPCE 10/02/20 C 20.000							
09/28/20	1.000	89.34	09/28/20	92.66	...	-3.32	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Oct 02 2020 20.5 Call / CUSIP: / Symbol: SPCE 10/02/20 C 20.500							
09/29/20	1.000	-0.32	09/28/20	0.00	...	-0.32	Short sale closed- option
STRATASYS LTD SSYS Jun 12 2020 21.0 Call / CUSIP: / Symbol: SSYS 06/12/20 C 21.000							
06/12/20	10.000	0.00	06/09/20	256.67	...	-256.67	Option expiration
TESLA INC TSLA Oct 02 2020 480.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 480.000							
09/09/20	1.000	1,399.30	09/08/20	1,160.67	...	238.63	Option sale
TESLA INC TSLA Oct 02 2020 600.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 600.000							
09/14/20	2.000	1,400.64	Various	1,731.34	...	-330.70	Option sale
TESLA INC TSLA Oct 02 2020 450.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 450.000							
10/02/20	3.000	231.99	Various	6,402.00	...	-6,170.01	Option sale
TESLA INC TSLA Oct 09 2020 430.0 Call / CUSIP: / Symbol: TSLA 10/09/20 C 430.000							
09/15/20	2.000	12,298.40	09/14/20	6,431.33	...	5,867.07	Option sale
TESLA INC TSLA Oct 09 2020 450.0 Call / CUSIP: / Symbol: TSLA 10/09/20 C 450.000							
09/16/20	2.000	-5,312.78	09/15/20	0.00	...	-5,312.78	Short sale closed- option

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TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA INC TSLA Nov 27 2020 450.0 Put / CUSIP: / Symbol: TSLA 11/27/20 P 450.000							
11/27/20	2.000	0.00	11/24/20	51.33	...	-51.33	Option expiration
DIREXION SHARES ETF TRUST TZA Sep 18 2020 22.0 Call / CUSIP: / Symbol: TZA 09/18/20 C 22.000							
09/11/20	1.000	14.33	09/10/20	14.67	...	-0.34	Option sale
PROSHARES TRUST UPRO Jan 15 2021 75.0 Call / CUSIP: / Symbol: UPRO 01/15/21 C 75.000							
12/17/20	2.000	818.65	12/16/20	715.33	...	103.32	Option sale
12/28/20	3.000	1,077.98	Various	1,071.99	...	5.99	Option sale
	Security total:	1,896.63		1,787.32	...	109.31	
ROYAL CARIBBEAN GROUP COM / CUSIP: V7780T103 / Symbol: RCL							
04/06/20	100.000	2,699.93	Various	2,650.00	70.04 W	119.97	Sale
04/08/20	150.000	5,549.86	04/07/20	5,343.04	...	206.82	Sale
04/23/20	21.000	774.88	04/23/20	756.00	...	18.88	Sale
04/27/20	20.000	759.98	04/27/20	725.00	...	34.98	Sale
	Security total:	9,784.65		9,474.04	70.04 W	380.65	
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 06 2020 497.5 Call / CUSIP: / Symbol: ZM 11/06/20 C 497.500							
10/02/20	1.000	5,304.22	10/02/20	5,240.66	...	63.56	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 20 2020 480.0 Call / CUSIP: / Symbol: ZM 11/20/20 C 480.000							
10/08/20	1.000	4,524.24	10/08/20	5,200.66	676.42 W	0.00	Option sale
10/09/20	1.000	5,549.22	10/08/20	5,427.08	...	122.14	Option sale
	Security total:	10,073.46		10,627.74	676.42 W	122.14	
Totals :		3,277,802.97		3,321,290.84	61,500.82 W	18,012.95	

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TD Ameritrade Clearing, Inc.

Account 497856796

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/11/2021

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE NASSAU ETN / CUSIP: 22542D258 / Symbol: TVIXF							
06/12/20	10.000	1,949.95	Various	2,889.39	939.44 W	0.00	Total of 2 transactions
06/26/20	10.000	1,531.77	Various	2,263.17	...	-731.40	Sale
Security total:		3,481.72		5,152.56	939.44 W	-731.40	
GRAYSCALE BITCOIN TRUST BTC COM / CUSIP: 389637109 / Symbol: GBTC							
12/09/20	10.000	199.45	12/01/20	241.40	24.47 W	-17.48	Sale
12/16/20	12.000	301.08	12/01/20	305.75	...	-4.67	Sale
Security total:		500.53		547.15	24.47 W	-22.15	
PROSHARES TRUST II ULTRA VIX SHORT ETF / CUSIP: 74347W148 / Symbol: UVXY							
09/08/20	200.000	5,227.86	Various	5,150.00	...	77.86	Total of 2 transactions
09/14/20	100.000	2,019.95	09/14/20	1,999.00	...	20.95	Sale
Security total:		7,247.81		7,149.00	...	98.81	
BROOKFIELD INFRASTRUCTURE PART LIMITED PARTNERSHIP UNITS / CUSIP: G16252101 / Symbol: BIP							
03/26/20	37.000	1,470.72	03/26/20	1,443.00	...	27.72	Sale
Totals :		12,700.78		14,291.71	963.91 W	-627.02	

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TD Ameritrade Clearing, Inc.

Account 497856796

Detail for Dividends and Distributions

2020

02/11/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC COM	037833100 AAPL		11/12/20	61.50	Qualified dividend	
ASTRAZENECA ADR SPONSORED	046353108 AZN		09/14/20	22.50	Qualified dividend	
DIREXION SHARES ETF TRUST DLY FIN BULL NEW ETF	25459Y694 FAS		12/30/20	3.96	Qualified dividend	03
NORDIC AMER TANKERS LTD COM	G65773106 NAT		06/05/20	105.00	Qualified dividend	
				192.96	Total Dividends & distributions	

TD Ameritrade Clearing, Inc.

Account 497856796

Detail for Interest Income

2020

02/11/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		06/30/20	0.07	Credit interest	
		07/31/20	0.02	Credit interest	
		09/30/20	0.01	Credit interest	
		10/30/20	0.01	Credit interest	
		11/30/20	0.25	Credit interest	
			0.36	Total Interest	
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	03/31/20	0.02	Interest- money mkt	
		04/30/20	0.04	Interest- money mkt	
		05/31/20	0.04	Interest- money mkt	
			0.10	Total Interest	
			0.46	Total Interest	

TD Ameritrade Clearing, Inc.

Account 497856796

Section 1256 Contracts - Regulated Futures and Nonequity Options

2020

02/11/2021

This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV Sep 30 2020 29.0	SLV 09/30/20 C 29.000	09/30/20	1.00	-15.67	Realized profit/loss	
ISHARES SILVER TRUST SLV Sep 30 2020 30.0	SLV 09/30/20 C 30.000	09/30/20	-1.00	8.33	Realized profit/loss	
ISHARES SILVER TRUST SLV Jan 21 2022 25.0	SLV 01/21/22 C 25.000	12/31/20	5.00	906.68	Unrealized profit/loss current year	
ISHARES SILVER TRUST SLV Jan 21 2022 27.0	SLV 01/21/22 C 27.000	12/31/20	20.00	2,679.72	Unrealized profit/loss current year	
				-7.34	Total Realized profit/loss	
				3,586.40	Total Unrealized profit/loss current year	
				3,579.06	Total Aggregate profit or (loss) on contracts	

TD Ameritrade Clearing, Inc.

Account 497856796

Detail for Miscellaneous Income

2020

02/11/2021

This section of your tax information statement contains various payments and proceeds you received during the year from rents, royalties, and certain other income types. We also call your attention to the varying thresholds for reporting on Form 1099-MISC. In general, if there is an amount of \$10 or more associated with boxes 2 or 8, it is reportable. All other box amounts must be reported if they are \$600 or more. Thus, it is possible to have a \$12 item reported in Box 2 for Royalties, but an amount of \$500 of Other Income not reported in Box 3., although each item is taxable income to you.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Offers and Promotions		05/18/20	100.00	Other income	
		05/29/20	50.00	Other income	
		07/28/20	50.00	Other income	
			200.00	Total Miscellaneous income	
			200.00	Total Miscellaneous income	

TD Ameritrade Clearing, Inc.

Account 497856796

Fees and Expenses

2020

02/11/2021

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		07/31/20	-0.52	Margin interest paid	
		08/31/20	-23.90	Margin interest paid	
		09/30/20	-157.04	Margin interest paid	
		10/30/20	-265.07	Margin interest paid	
		11/30/20	-157.73	Margin interest paid	
		12/31/20	-383.37	Margin interest paid	
				-987.63	Total Margin interest paid
ASTRAZENECA ADR SPONSORED	046353108 AZN	09/14/20	-0.50	Depository receipt fee	
SASOL ADR SPONSORED	803866300 SSL	06/08/20	-60.00	Depository receipt fee	
			-60.50	Total Depository receipt fee	
			-987.63	Total Margin interest paid	

TD Ameritrade Clearing, Inc.

Account 497856796

Foreign Income and Taxes Summary

2020

02/11/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	NORDIC AMER TANKERS LTD COM	G65773106	0.00	105.00		0.00	105.00	0.00
	Total BERMUDA		0.00	105.00		0.00	105.00	0.00
UK	ASTRAZENECA ADR SPONSORED	046353108	0.00	22.50		0.00	22.50	0.00
	Total UNITED KINGDOM		0.00	22.50		0.00	22.50	0.00
Grand Total	Total Foreign Source Income*			127.50				
	Qualified Foreign Source Income**			127.50				
	Foreign Tax					0.00		

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)

Line 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

Line 9. Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2019.

Line 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2020 in 2021.

Line 11. Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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