

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 119325330</b>	Statement Date: 02/14/2021 Document ID: D744 8Q0 P2Q1	2020
	Sreenivasulu Chilekampalli 800 South Wells Street 1103 Chicago, IL 60607  RECIPIENT'S TIN: XXX-XX-3185		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	40.31		2- Royalties	0.00	
1b- Qualified dividends	40.31		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	33,385.11	36,871.84	0.00	1,769.19	-1,717.54
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>33,385.11</b>	<b>36,871.84</b>	<b>0.00</b>	<b>1,769.19</b>	<b>-1,717.54</b>
Long	D (basis reported to the IRS)	1,652.14	7,660.37	0.00	3,086.18	-2,922.05
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>1,652.14</b>	<b>7,660.37</b>	<b>0.00</b>	<b>3,086.18</b>	<b>-2,922.05</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>35,037.25</b>	<b>44,532.21</b>	<b>0.00</b>	<b>4,855.37</b>	<b>-4,639.59</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME****2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 08/21/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	14.99	06/24/20	69.00	...	-54.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	14.99	06/26/20	51.00	...	-36.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	13.98	07/01/20	45.00	...	-31.02	3 of 3 - Option sale to close-call <sup>25</sup>
08/05/20	3.000	43.96	Various	165.00	...	-121.04	Total of 3 transactions
AAL 09/11/2020 CALL \$15.00 / CUSIP: / Symbol:							
09/04/20	1.000	25.98	09/02/20	22.00	...	3.98	Option sale to close-call <sup>25</sup>
AAL 11/27/2020 CALL \$13.50 / CUSIP: / Symbol:							
11/09/20	1.000	74.98	10/26/20	50.00	...	24.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/02/2020 CALL \$140.00 / CUSIP: / Symbol:							
5 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/04/20	130.00	...	-130.00	1 of 5 - Option expiration- long call
	1.000	0.00	09/11/20	35.00	...	-35.00	2 of 5 - Option expiration- long call
	1.000	0.00	09/18/20	11.00	...	-11.00	3 of 5 - Option expiration- long call
	1.000	0.00	09/18/20	11.00	...	-11.00	4 of 5 - Option expiration- long call
	1.000	0.00	09/23/20	3.00	...	-3.00	5 of 5 - Option expiration- long call
10/02/20	5.000	0.00	Various	190.00	...	-190.00	Total of 5 transactions
AAPL 11/20/2020 CALL \$145.00 / CUSIP: / Symbol:							
09/22/20	1.000	143.98	09/08/20	340.00	196.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	99.99	09/10/20	481.02	381.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.99	09/11/20	157.00	57.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
09/29/20	2.000	199.98	Various	638.02	438.04 W	0.00	Total of 2 transactions
5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/17/20	503.03	...	-503.03	1 of 5 - Option expiration- long call
	1.000	0.00	10/15/20	140.01	...	-140.01	2 of 5 - Option expiration- long call
	1.000	0.00	10/22/20	38.00	...	-38.00	3 of 5 - Option expiration- long call
	1.000	0.00	10/30/20	10.00	...	-10.00	4 of 5 - Option expiration- long call
	1.000	0.00	11/10/20	4.00	...	-4.00	5 of 5 - Option expiration- long call
11/20/20	5.000	0.00	Various	695.04	...	-695.04	Total of 5 transactions
	<b>Security total:</b>	<b>343.96</b>		<b>1,673.06</b>	<b>634.06 W</b>	<b>-695.04</b>	
AAPL 11/27/2020 CALL \$145.00 / CUSIP: / Symbol:							
10/21/20	1.000	56.98	10/20/20	50.00	...	6.98	Option sale to close-call <sup>25</sup>
AZN 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/08/20	1.000	119.98	09/03/20	100.00	...	19.98	Option sale to close-call <sup>25</sup>
AZN 09/25/2020 CALL \$57.50 / CUSIP: / Symbol:							
09/17/20	1.000	44.98	08/28/20	125.00	80.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/04/20	145.02	...	-145.02	1 of 3 - Option expiration- long call
	1.000	0.00	09/09/20	40.00	...	-40.00	2 of 3 - Option expiration- long call

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Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 09/25/2020 CALL \$57.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	09/16/20	30.00	...	-30.00	3 of 3 - Option expiration- long call
09/25/20	3.000	0.00	Various	215.02	...	-215.02	Total of 3 transactions
	<b>Security total:</b>	<b>44.98</b>		<b>340.02</b>	<b>80.02 W</b>	<b>-215.02</b>	
AZN 10/16/2020 CALL \$62.50 / CUSIP: / Symbol:							
09/16/20	1.000	39.98	09/10/20	30.00	...	9.98	Option sale to close-call <sup>25</sup>
BA 04/17/2020 CALL \$139.00 / CUSIP: / Symbol:							
04/16/20	1.000	409.98	04/16/20	385.00	...	24.98	Option sale to close-call <sup>25</sup>
BA 04/24/2020 CALL \$152.50 / CUSIP: / Symbol:							
04/17/20	1.000	804.97	04/16/20	340.00	...	464.97	Option sale to close-call <sup>25</sup>
BA 05/08/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/29/20	1.000	409.98	04/24/20	360.00	...	49.98	Option sale to close-call <sup>25</sup>
05/06/20	1.000	3.98	04/29/20	400.00	...	-396.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>413.96</b>		<b>760.00</b>	...	<b>-346.04</b>	
BA 05/15/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/11/20	1.000	34.98	05/01/20	385.00	350.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	05/04/20	535.02	...	-535.02	1 of 2 - Option expiration- long call
	1.000	0.00	05/08/20	80.00	...	-80.00	2 of 2 - Option expiration- long call
05/15/20	2.000	0.00	Various	615.02	...	-615.02	Total of 2 transactions
	<b>Security total:</b>	<b>34.98</b>		<b>1,000.02</b>	<b>350.02 W</b>	<b>-615.02</b>	
BA 06/05/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/21/20	1.000	69.98	05/13/20	30.00	...	39.98	Option sale to close-call <sup>25</sup>
BA 06/19/2020 CALL \$225.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	699.97	06/05/20	900.00	...	-200.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	899.97	06/05/20	565.00	...	334.97	2 of 2 - Option sale to close-call <sup>25</sup>
06/05/20	2.000	1,599.94	Various	1,465.00	...	134.94	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/19/2020 PUT \$175.00 / CUSIP: / Symbol:							
06/05/20	1.000	249.98	06/05/20	310.00	...	-60.02	Option sale to close-put <sup>25</sup>
BA 06/26/2020 PUT \$130.00 / CUSIP: / Symbol:							
06/05/20	1.000	53.98	06/03/20	146.00	...	-92.02	Option sale to close-put <sup>25</sup>
BA 06/26/2020 PUT \$145.00 / CUSIP: / Symbol:							
06/05/20	1.000	104.98	06/03/20	280.00	...	-175.02	Option sale to close-put <sup>25</sup>
BA 07/10/2020 PUT \$150.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	559.97	06/03/20	550.00	...	9.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,249.96	06/05/20	173.00	...	1,076.96	2 of 2 - Option sale to close-put <sup>25</sup>
06/11/20	2.000	1,809.93	Various	723.00	...	1,086.93	Total of 2 transactions
BA 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/28/20	1.000	289.98	05/11/20	160.00	...	129.98	Option sale to close-call <sup>25</sup>
BA 07/17/2020 CALL \$270.00 / CUSIP: / Symbol:							
06/18/20	1.000	299.98	06/17/20	254.00	...	45.98	Option sale to close-call <sup>25</sup>
07/17/20	1.000	0.00	06/18/20	224.00	...	-224.00	Option expiration- long call
	<b>Security total:</b>	<b>299.98</b>		<b>478.00</b>	...	<b>-178.02</b>	
BA 07/17/2020 CALL \$300.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/15/20	185.00	...	-185.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/10/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	195.00	...	-195.00	Total of 2 transactions
BA 07/24/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/17/20	190.00	...	-190.00	1 of 3 - Option expiration- long call
	1.000	0.00	07/02/20	50.00	...	-50.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/20/20	2.00	...	-2.00	3 of 3 - Option expiration- long call
07/24/20	3.000	0.00	Various	242.00	...	-242.00	Total of 3 transactions

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Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 08/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	06/24/20	190.00	...	-190.00	Option expiration- long call
BA 09/04/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	08/28/20	4.00	...	-4.00	Option expiration- long call
BA 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/20/20	159.00	...	-159.00	1 of 6 - Option expiration- long call
	1.000	0.00	08/18/20	30.00	...	-30.00	2 of 6 - Option expiration- long call
	1.000	0.00	08/31/20	19.00	...	-19.00	3 of 6 - Option expiration- long call
	1.000	0.00	09/08/20	8.00	...	-8.00	4 of 6 - Option expiration- long call
	1.000	0.00	09/09/20	4.00	...	-4.00	5 of 6 - Option expiration- long call
	5.000	0.00	09/11/20	10.00	...	-10.00	6 of 6 - Option expiration- long call
09/18/20	10.000	0.00	Various	230.00	...	-230.00	Total of 6 transactions
BA 10/30/2020 CALL \$180.00 / CUSIP: / Symbol:							
10/28/20	1.000	3.98	10/28/20	10.00	...	-6.02	Option sale to close-call <sup>25</sup>
BAC 04/24/2020 PUT \$22.00 / CUSIP: / Symbol:							
04/20/20	1.000	49.98	04/17/20	45.00	...	4.98	Option sale to close-put <sup>25</sup>
BAC 07/02/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/17/20	49.00	...	-49.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/29/20	2.00	...	-2.00	2 of 2 - Option expiration- long call
07/02/20	2.000	0.00	Various	51.00	...	-51.00	Total of 2 transactions
BAC 07/10/2020 CALL \$31.50 / CUSIP: / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/12/20	13.00	...	-13.00	1 of 4 - Option expiration- long call
	10.000	0.00	06/17/20	80.00	...	-80.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/17/20	9.00	...	-9.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/26/20	2.00	...	-2.00	4 of 4 - Option expiration- long call
07/10/20	13.000	0.00	Various	104.00	...	-104.00	Total of 4 transactions

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Robinhood Securities LLC

Account 119325330

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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BAC 08/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/14/20	2.000	29.98	06/22/20	140.00	...	-110.02	Option sale to close-call <sup>25</sup>
BAC 08/21/2020 CALL \$31.00 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	3.99	06/17/20	40.00	...	-36.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	7.99	06/30/20	26.00	...	-18.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/20	3.000	11.98	Various	66.00	...	-54.02	Total of 2 transactions
08/11/20	1.000	4.00	06/12/20	43.00	39.00 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/30/20	13.00	...	-13.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/28/20	42.00	...	-42.00	2 of 2 - Option expiration- long call
08/21/20	2.000	0.00	Various	55.00	...	-55.00	Total of 2 transactions
	<b>Security total:</b>	<b>15.98</b>		<b>164.00</b>	<b>39.00 W</b>	<b>-109.02</b>	
DAL 06/19/2020 PUT \$24.50 / CUSIP: / Symbol:							
06/05/20	1.000	22.98	06/04/20	20.00	...	2.98	Option sale to close-put <sup>25</sup>
DAL 07/17/2020 CALL \$37.00 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/12/20	144.00	...	-144.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/15/20	117.00	...	-117.00	2 of 4 - Option expiration- long call
	1.000	0.00	07/02/20	18.00	...	-18.00	3 of 4 - Option expiration- long call
	1.000	0.00	07/07/20	8.00	...	-8.00	4 of 4 - Option expiration- long call
07/17/20	4.000	0.00	Various	287.00	...	-287.00	Total of 4 transactions
DAL 08/21/2020 CALL \$34.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	06/23/20	190.00	...	-190.00	Option expiration- long call
DAL 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/29/20	1.000	359.98	06/24/20	350.00	...	9.98	Option sale to close-call <sup>25</sup>
DAL 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/29/20	1.000	479.97	06/24/20	460.00	...	19.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 119325330

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIS 04/17/2020 CALL \$103.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	133.98	04/16/20	85.00	...	48.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	169.98	04/16/20	110.00	...	59.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/16/20	2.000	303.96	Various	195.00	...	108.96	Total of 2 transactions
DIS 04/24/2020 CALL \$105.00 / CUSIP: / Symbol:							
04/23/20	1.000	19.98	04/20/20	160.00	...	-140.02	Option sale to close-call <sup>25</sup>
DIS 05/01/2020 CALL \$102.00 / CUSIP: / Symbol:							
04/27/20	1.000	249.98	04/24/20	208.02	...	41.96	Option sale to close-call <sup>25</sup>
04/27/20	1.000	249.98	04/21/20	283.00	33.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>499.96</b>		<b>491.02</b>	<b>33.02 W</b>	<b>41.96</b>	
DIS 05/01/2020 CALL \$112.00 / CUSIP: / Symbol:							
04/30/20	1.000	21.98	04/30/20	68.00	46.02 W	0.00	Option sale to close-call <sup>25</sup>
05/01/20	1.000	0.98	04/30/20	119.02	...	-118.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>22.96</b>		<b>187.02</b>	<b>46.02 W</b>	<b>-118.04</b>	
DIS 05/08/2020 PUT \$100.00 / CUSIP: / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	04/27/20	200.00	...	99.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	199.98	04/29/20	68.00	...	131.98	2 of 2 - Option sale to close-put <sup>25</sup>
05/04/20	2.000	499.96	Various	268.00	...	231.96	Total of 2 transactions
DIS 05/15/2020 CALL \$111.00 / CUSIP: / Symbol:							
05/06/20	1.000	52.98	05/05/20	130.00	...	-77.02	Option sale to close-call <sup>25</sup>
DIS 05/15/2020 PUT \$100.00 / CUSIP: / Symbol:							
05/05/20	1.000	249.98	04/27/20	240.00	...	9.98	Option sale to close-put <sup>25</sup>
DIS 05/29/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/07/20	1.000	124.98	05/06/20	90.00	...	34.98	Option sale to close-call <sup>25</sup>
DIS 05/29/2020 PUT \$110.00 / CUSIP: / Symbol:							
05/28/20	1.000	2.98	05/20/20	46.00	...	-43.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 119325330

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 06/12/2020 PUT \$110.00 / CUSIP: / Symbol:							
05/29/20	1.000	169.98	05/20/20	136.00	...	33.98	Option sale to close-put <sup>25</sup>
		<i>2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	19.99	05/26/20	70.00	...	-50.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	19.99	06/10/20	3.00	...	16.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/11/20	2.000	39.98	Various	73.00	...	-33.02	Total of 2 transactions
	<b>Security total:</b>	<b>209.96</b>		<b>209.00</b>	...	<b>0.96</b>	
DIS 06/19/2020 CALL \$130.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/17/20	3.00	...	-3.00	Option expiration- long call
DIS 06/26/2020 CALL \$125.00 / CUSIP: / Symbol:							
	<i>4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	06/10/20	290.00	...	-290.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/12/20	81.00	...	-81.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/19/20	28.00	...	-28.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/24/20	3.00	...	-3.00	4 of 4 - Option expiration- long call
06/26/20	4.000	0.00	Various	402.00	...	-402.00	Total of 4 transactions
DIS 07/02/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/30/20	1.000	4.98	06/19/20	210.00	...	-205.02	Option sale to close-call <sup>25</sup>
DIS 07/02/2020 CALL \$130.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.99	06/17/20	55.00	...	-54.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	06/24/20	5.00	...	-4.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/20	2.000	1.98	Various	60.00	...	-58.02	Total of 2 transactions
DIS 07/02/2020 PUT \$110.00 / CUSIP: / Symbol:							
06/11/20	1.000	199.98	05/26/20	180.00	...	19.98	Option sale to close-put <sup>25</sup>
06/12/20	1.000	299.98	06/03/20	120.00	...	179.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>499.96</b>		<b>300.00</b>	...	<b>199.96</b>	
DIS 07/17/2020 CALL \$115.00 / CUSIP: / Symbol:							
05/20/20	1.000	799.97	05/14/20	225.00	...	574.97	Option sale to close-call <sup>25</sup>

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Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DIS 07/17/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/20/20	1.000	259.98	05/15/20	61.00	...	198.98	Option sale to close-call <sup>25</sup>
DIS 07/31/2020 CALL \$134.00 / CUSIP: / Symbol:							
07/08/20	1.000	29.98	06/17/20	128.00	98.02 W	0.00	Option sale to close-call <sup>25</sup>
07/10/20	1.000	59.98	06/26/20	128.02	68.04 W	0.00	Option sale to close-call <sup>25</sup>
07/22/20	1.000	9.98	06/30/20	86.04	...	-76.06	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>99.94</b>		<b>342.06</b>	<b>166.06 W</b>	<b>-76.06</b>	
DIS 07/31/2020 CALL \$150.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/09/20	21.00	...	-21.00	Option expiration- long call
DIS 08/14/2020 PUT \$117.00 / CUSIP: / Symbol:							
08/14/20	1.000	0.00	08/06/20	9.00	...	-9.00	Option expiration- long put
DIS 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
07/01/20	1.000	123.98	06/30/20	112.00	...	11.98	Option sale to close-call <sup>25</sup>
DIS 08/21/2020 CALL \$135.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	126.98	06/11/20	175.00	...	-48.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	159.98	06/30/20	58.00	...	101.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/05/20	2.000	286.96	Various	233.00	...	53.96	Total of 2 transactions
DIS 09/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
08/27/20	1.000	49.98	07/07/20	53.00	3.02 W	0.00	Option sale to close-call <sup>25</sup>
08/28/20	1.000	54.98	08/19/20	22.02	...	32.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>104.96</b>		<b>75.02</b>	<b>3.02 W</b>	<b>32.96</b>	
DIS 10/02/2020 CALL \$165.00 / CUSIP: / Symbol:							
08/27/20	1.000	20.98	08/26/20	10.00	...	10.98	Option sale to close-call <sup>25</sup>
DIS 10/16/2020 CALL \$130.00 / CUSIP: / Symbol:							
05/11/20	1.000	299.98	05/06/20	190.00	...	109.98	Option sale to close-call <sup>25</sup>
DOCU 09/04/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	54.00	...	-54.00	Option expiration- long call

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(continued)

02/14/2021

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DOCU 09/18/2020 CALL \$400.00 / CUSIP: / Symbol:							
6 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/04/20	200.00	...	-200.00	1 of 6 - Option expiration- long call
	1.000	0.00	09/04/20	25.00	...	-25.00	2 of 6 - Option expiration- long call
	1.000	0.00	09/04/20	20.00	...	-20.00	3 of 6 - Option expiration- long call
	1.000	0.00	09/09/20	4.00	...	-4.00	4 of 6 - Option expiration- long call
	1.000	0.00	09/09/20	3.00	...	-3.00	5 of 6 - Option expiration- long call
	2.000	0.00	09/11/20	4.00	...	-4.00	6 of 6 - Option expiration- long call
09/18/20	7.000	0.00	Various	256.00	...	-256.00	Total of 6 transactions
DOCU 10/02/2020 CALL \$400.00 / CUSIP: / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/09/20	40.00	...	-40.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/10/20	14.00	...	-14.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/15/20	5.00	...	-5.00	3 of 3 - Option expiration- long call
10/02/20	3.000	0.00	Various	59.00	...	-59.00	Total of 3 transactions
ERJ 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
09/04/20	1.000	19.98	07/20/20	30.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/14/20	20.02	...	-20.02	1 of 2 - Option expiration- long call
	1.000	0.00	08/26/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
11/20/20	2.000	0.00	Various	30.02	...	-30.02	Total of 2 transactions
	<b>Security total:</b>	<b>19.98</b>		<b>60.02</b>	<b>10.02 W</b>	<b>-30.02</b>	
GILD 11/27/2020 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	10/23/20	155.00	...	-155.00	1 of 3 - Option expiration- long call
	1.000	0.00	10/28/20	54.00	...	-54.00	2 of 3 - Option expiration- long call
	1.000	0.00	11/13/20	13.00	...	-13.00	3 of 3 - Option expiration- long call
11/27/20	3.000	0.00	Various	222.00	...	-222.00	Total of 3 transactions
INO 07/02/2020 CALL \$34.00 / CUSIP: / Symbol:							
06/25/20	1.000	299.98	06/24/20	330.00	...	-30.02	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information	
INO 09/11/2020 CALL \$11.00 / CUSIP: / Symbol:								
09/09/20	1.000	50.98	09/09/20	48.00	...	2.98	Option sale to close-call <sup>25</sup>	
INO 09/25/2020 PUT \$10.00 / CUSIP: / Symbol:								
09/25/20	1.000	0.00	09/16/20	12.00	...	-12.00	Option expiration- long put	
INO 10/16/2020 CALL \$20.00 / CUSIP: / Symbol:								
10/16/20	1.000	294.98	10/16/20	0.00	...	294.98	Short sale closed- call expired Option written: 09/16/20	
JPM 06/05/2020 PUT \$105.00 / CUSIP: / Symbol:								
06/04/20	1.000	89.98	06/04/20	72.00	...	17.98	Option sale to close-put <sup>25</sup>	
JPM 06/05/2020 PUT \$106.00 / CUSIP: / Symbol:								
06/05/20	1.000	0.00	06/04/20	80.00	...	-80.00	Option expiration- long put	
JPM 08/21/2020 CALL \$115.00 / CUSIP: / Symbol:								
07/21/20	1.000	39.98	06/12/20	239.00	199.02 W	0.00	Option sale to close-call <sup>25</sup>	
		2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	14.99	06/18/20	175.00	...	-160.01	1 of 2 - Option sale to close-call <sup>25</sup>	
	1.000	14.99	06/23/20	329.02	...	-314.03	2 of 2 - Option sale to close-call <sup>25</sup>	
08/14/20	2.000	29.98	Various	504.02	...	-474.04	Total of 2 transactions	
08/21/20	1.000	0.00	06/26/20	100.00	...	-100.00	Option expiration- long call	
	<b>Security total:</b>	<b>69.96</b>		<b>843.02</b>	<b>199.02 W</b>	<b>-574.04</b>		
JPM 09/18/2020 CALL \$115.00 / CUSIP: / Symbol:								
07/08/20	1.000	109.98	07/07/20	90.00	...	19.98	Option sale to close-call <sup>25</sup>	
LUV 07/17/2020 CALL \$40.50 / CUSIP: / Symbol:								
06/29/20	1.000	54.98	06/24/20	35.00	...	19.98	Option sale to close-call <sup>25</sup>	
M 08/21/2020 CALL \$8.00 / CUSIP: / Symbol:								
06/02/20	1.000	62.98	05/29/20	58.00	...	4.98	Option sale to close-call <sup>25</sup>	
M 08/28/2020 CALL \$6.50 / CUSIP: / Symbol:								
08/27/20	1.000	24.98	08/18/20	36.00	...	-11.02	Option sale to close-call <sup>25</sup>	

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Robinhood Securities LLC

Account 119325330

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MRNA 07/31/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	229.99	06/16/20	580.00	...	-350.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	229.98	06/17/20	480.00	...	-250.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	459.97	Various	1,060.00	...	-600.03	Total of 2 transactions
MRNA 08/21/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/25/20	1.000	239.98	06/22/20	235.00	...	4.98	Option sale to close-call <sup>25</sup>
MSFT 04/24/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/24/20	1.000	0.00	04/20/20	170.00	...	-170.00	Option expiration- long call
MSFT 05/01/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/23/20	1.000	299.98	04/21/20	200.00	...	99.98	Option sale to close-call <sup>25</sup>
04/29/20	1.000	209.98	04/29/20	185.00	...	24.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>509.96</b>		<b>385.00</b>	...	<b>124.96</b>	
NIO 08/21/2020 PUT \$4.00 / CUSIP: / Symbol:							
07/22/20	1.000	4.98	07/21/20	5.00	...	-0.02	Option sale to close-put <sup>25</sup>
NKLA 09/25/2020 CALL \$44.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/18/20	20.00	...	-20.00	Option expiration- long call
NTRS 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
05/20/20	1.000	199.98	05/15/20	150.00	...	49.98	Option sale to close-call <sup>25</sup>
NTRS 07/17/2020 CALL \$95.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/19/20	30.00	...	-30.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/26/20	10.00	...	-10.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	40.00	...	-40.00	Total of 2 transactions
NTRS 07/17/2020 PUT \$80.00 / CUSIP: / Symbol:							
06/11/20	1.000	299.98	06/04/20	175.00	...	124.98	Option sale to close-put <sup>25</sup>
ORCL 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/18/20	1.000	176.98	06/17/20	136.00	...	40.98	Option sale to close-call <sup>25</sup>

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Account 119325330

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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QTT 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	06/19/20	0.00	...	4.98	Short sale closed- call expired Option written: 06/04/20
QTT 06/19/2020 PUT \$2.50 / CUSIP: / Symbol:							
06/08/20	2.000	29.98	06/04/20	30.00	...	-0.02	Option sale to close-put <sup>25</sup>
QTT 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/17/20	1.000	14.98	07/17/20	0.00	...	14.98	Short sale closed- call expired Option written: 07/02/20
QTT 07/17/2020 PUT \$2.50 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	06/04/20	70.00	...	-70.00	Option expiration- long put
QTT 10/16/2020 CALL \$5.00 / CUSIP: / Symbol:							
10/16/20	1.000	50.98	10/16/20	0.00	...	50.98	Short sale closed- call expired Option written: 06/23/20
RTX 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/15/20	1.000	129.98	07/07/20	107.00	...	22.98	Option sale to close-call <sup>25</sup>
SBUX 07/17/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/18/20	19.00	...	-19.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/19/20	12.00	...	-12.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	31.00	...	-31.00	Total of 2 transactions
SBUX 07/17/2020 CALL \$97.50 / CUSIP: / Symbol:							
4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/18/20	6.00	...	-6.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/19/20	4.00	...	-4.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/23/20	3.00	...	-3.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/23/20	3.00	...	-3.00	4 of 4 - Option expiration- long call
07/17/20	4.000	0.00	Various	16.00	...	-16.00	Total of 4 transactions
TLRY 05/08/2020 PUT \$6.50 / CUSIP: / Symbol:							
05/05/20	1.000	8.98	04/27/20	37.00	...	-28.02	Option sale to close-put <sup>25</sup>

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Account 119325330

Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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TLRY 07/10/2020 CALL \$9.00 / CUSIP: / Symbol:							
07/10/20	1.000	10.98	07/10/20	0.00	...	10.98	Short sale closed- call expired Option written: 07/07/20
TLRY 08/07/2020 CALL \$9.50 / CUSIP: / Symbol:							
08/07/20	1.000	19.98	08/07/20	0.00	...	19.98	Short sale closed- call expired Option written: 07/28/20
TLRY 10/02/2020 CALL \$14.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/16/20	109.00	...	-109.00	Option expiration- long call
TLRY 11/27/2020 CALL \$13.00 / CUSIP: / Symbol:							
11/27/20	1.000	99.98	11/27/20	0.00	...	99.98	Short sale closed- call expired Option written: 11/06/20
TSLA 09/18/2020 CALL \$800.00 / CUSIP: / Symbol:							
09/14/20	5.000	9.98	09/11/20	10.00	...	-0.02	Option sale to close-call <sup>25</sup>
TSLA 09/25/2020 CALL \$800.00 / CUSIP: / Symbol:							
09/15/20	1.000	199.98	09/11/20	46.00	...	153.98	Option sale to close-call <sup>25</sup>
	3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/18/20	122.00	...	-122.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/21/20	35.00	...	-35.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/23/20	2.00	...	-2.00	3 of 3 - Option expiration- long call
09/25/20	3.000	0.00	Various	159.00	...	-159.00	Total of 3 transactions
	<b>Security total:</b>	<b>199.98</b>		<b>205.00</b>	...	<b>-5.02</b>	
TSLA 10/02/2020 CALL \$800.00 / CUSIP: / Symbol:							
10/02/20	1.000	0.00	09/23/20	17.00	...	-17.00	Option expiration- long call
TSLA 10/09/2020 CALL \$800.00 / CUSIP: / Symbol:							
	3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/22/20	200.00	...	-200.00	1 of 3 - Option expiration- long call
	1.000	0.00	09/24/20	30.00	...	-30.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/25/20	15.00	...	-15.00	3 of 3 - Option expiration- long call
10/09/20	3.000	0.00	Various	245.00	...	-245.00	Total of 3 transactions

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 12/31/2020 PUT \$680.00 / CUSIP: / Symbol:							
12/30/20	1.000	339.98	12/30/20	335.00	...	4.98	Option sale to close-put <sup>25</sup>
VBIV 01/15/2021 CALL \$5.50 / CUSIP: / Symbol:							
11/03/20	1.000	14.98	10/26/20	15.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
11/06/20	1.000	14.98	11/03/20	10.02	...	4.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>29.96</b>		<b>25.02</b>	<b>0.02 W</b>	<b>4.96</b>	
WFC 06/26/2020 CALL \$26.00 / CUSIP: / Symbol:							
05/27/20	1.000	229.98	05/22/20	76.00	...	153.98	Option sale to close-call <sup>25</sup>
WFC 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/03/20	1.000	175.98	05/29/20	70.00	...	105.98	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
	4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	1.000	3.70	10/20/20	3.11	...	0.59	1 of 4 - Sale <sup>25</sup>
	1.000	3.70	10/28/20	2.61	...	1.09	2 of 4 - Sale <sup>25</sup>
	1.000	3.70	10/28/20	2.60	...	1.10	3 of 4 - Sale <sup>25</sup>
	2.000	7.40	10/28/20	5.22	...	2.18	4 of 4 - Sale <sup>25</sup>
11/23/20	5.000	18.50	Various	13.54	...	4.96	Total of 4 transactions
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
04/29/20	1.000	1,354.97	04/01/20	1,110.00	...	244.97	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
	5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	2.000	24.98	07/31/20	22.10	...	2.88	1 of 5 - Sale <sup>25</sup>
	5.000	62.46	07/31/20	55.25	...	7.21	2 of 5 - Sale <sup>25</sup>
	8.000	99.94	07/31/20	88.40	...	11.54	3 of 5 - Sale <sup>25</sup>
	20.000	249.84	07/31/20	221.00	...	28.84	4 of 5 - Sale <sup>25</sup>
	35.000	437.23	07/31/20	386.75	...	50.48	5 of 5 - Sale <sup>25</sup>
08/05/20	70.000	874.45	Various	773.50	...	100.95	Total of 5 transactions
	3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	26.18	08/20/20	25.12	...	1.06	1 of 3 - Sale <sup>25</sup>
	3.000	39.27	08/20/20	37.67	...	1.60	2 of 3 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	5.000	65.45	08/20/20	62.90	...	2.55	3 of 3 - Sale <sup>25</sup>
08/25/20	10.000	130.90	Various	125.69	...	5.21	Total of 3 transactions
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	10.000	129.55	08/21/20	121.70	...	7.85	1 of 2 - Sale <sup>25</sup>
	10.000	129.55	08/21/20	121.60	...	7.95	2 of 2 - Sale <sup>25</sup>
08/26/20	20.000	259.10	Various	243.30	...	15.80	Total of 2 transactions
09/04/20	1.000	13.21	09/01/20	12.80	...	0.41	Sale <sup>25</sup>
10/22/20	30.000	393.90	10/13/20	376.50	...	17.40	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,671.56</b>		<b>1,531.79</b>	...	<b>139.77</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
10/14/20	1.000	120.58	08/20/20	117.95	...	2.63	Sale <sup>25</sup>
10/21/20	1.000	116.92	08/20/20	117.95	...	-1.03	Sale <sup>25</sup>
10/26/20	1.000	113.68	08/20/20	117.95	...	-4.27	Sale <sup>25</sup>
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	121.17	08/20/20	117.94	...	3.23	1 of 3 - Sale <sup>25</sup>
	1.000	121.17	09/03/20	119.20	...	1.97	2 of 3 - Sale <sup>25</sup>
	1.000	121.17	09/03/20	119.16	...	2.01	3 of 3 - Sale <sup>25</sup>
11/09/20	3.000	363.51	Various	356.30	...	7.21	Total of 3 transactions
	<b>Security total:</b>	<b>714.69</b>		<b>710.15</b>	...	<b>4.54</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
03/30/20	40.000	36.79	02/11/20	63.60	26.81 W	0.00	Sale <sup>25</sup>
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	3.429	34.70	03/19/20	54.05	...	-19.35	1 of 4 - Sale <sup>25</sup>
	0.857	8.68	04/02/20	8.30	...	0.38	2 of 4 - Sale <sup>25</sup>
	1.000	10.12	07/09/20	11.50	...	-1.38	3 of 4 - Sale <sup>25</sup>
	1.000	10.12	07/21/20	11.50	...	-1.38	4 of 4 - Sale <sup>25</sup>
08/17/20	6.286	63.62	Various	85.35	...	-21.73	Total of 4 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	4.000	600.38	03/25/20	660.00	...	-59.62	1 of 2 - Sale <sup>25</sup>
	1.000	150.10	03/25/20	165.20	...	-15.10	2 of 2 - Sale <sup>25</sup>
05/28/20	5.000	750.48	Various	825.20	...	-74.72	Total of 2 transactions
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	172.00	03/27/20	158.00	...	14.00	1 of 5 - Sale <sup>25</sup>
	1.000	172.00	03/30/20	144.00	...	28.00	2 of 5 - Sale <sup>25</sup>
	1.000	171.99	04/01/20	141.61	...	30.38	3 of 5 - Sale <sup>25</sup>
	1.000	172.00	04/01/20	132.00	...	40.00	4 of 5 - Sale <sup>25</sup>
	1.000	171.99	04/03/20	125.00	...	46.99	5 of 5 - Sale <sup>25</sup>
06/03/20	5.000	859.98	Various	700.61	...	159.37	Total of 5 transactions
	<b>Security total:</b>	<b>1,610.46</b>		<b>1,525.81</b>	...	<b>84.65</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	10.000	336.49	05/06/20	211.58	...	124.91	1 of 2 - Sale <sup>25</sup>
	10.000	336.49	05/13/20	200.48	...	136.01	2 of 2 - Sale <sup>25</sup>
10/22/20	20.000	672.98	Various	412.06	...	260.92	Total of 2 transactions
11/06/20	1.000	31.25	10/28/20	29.50	...	1.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>704.23</b>		<b>441.56</b>	...	<b>262.67</b>	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
05/28/20	2.000	238.00	05/06/20	209.40	...	28.60	Sale <sup>25</sup>
07/31/20	3.000	349.23	06/15/20	339.00	...	10.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>587.23</b>		<b>548.40</b>	...	<b>38.83</b>	
FISERV, INC. COMMON STOCK / CUSIP: 337738108 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	4.000	415.64	08/05/20	392.00	...	23.64	1 of 2 - Sale <sup>25</sup>
	5.000	514.98	08/05/20	490.00	...	24.98	2 of 2 - Sale <sup>25</sup>
08/07/20	9.000	930.62	Various	882.00	...	48.62	Total of 2 transactions

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Robinhood Securities LLC

Account 119325330

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
08/24/20	1.000	65.91	07/22/20	76.30	10.39 W	0.00	Sale <sup>25</sup>
09/02/20	5.000	333.21	07/22/20	381.50	48.29 W	0.00	Sale <sup>25</sup>
09/04/20	1.000	66.16	07/22/20	76.30	10.14 W	0.00	Sale <sup>25</sup>
09/10/20	1.000	63.66	07/22/20	76.30	12.64 W	0.00	Sale <sup>25</sup>
09/11/20	1.000	65.19	07/22/20	76.30	11.11 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	64.10	07/22/20	76.30	12.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	64.10	08/04/20	81.09	16.99 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/20	2.000	128.20	Various	157.39	29.19 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>722.33</b>		<b>844.09</b>	<b>121.76 W</b>	<b>0.00</b>	
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
<i>3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	56.07	02/11/20	62.18	...	-6.11	1 of 3 - Sale <sup>25</sup>
	1.000	6.23	03/23/20	6.56	...	-0.33	2 of 3 - Sale <sup>25</sup>
	4.000	24.92	03/23/20	23.60	...	1.32	3 of 3 - Sale <sup>25</sup>
04/02/20	14.000	87.22	Various	92.34	...	-5.12	Total of 3 transactions
04/02/20	1.000	6.25	02/11/20	6.91	0.66 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>93.47</b>		<b>99.25</b>	<b>0.66 W</b>	<b>-5.12</b>	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
<i>6 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	15.19	03/26/20	22.00	...	-6.81	1 of 6 - Sale <sup>25</sup>
	40.000	30.39	06/18/20	32.20	...	-1.81	2 of 6 - Sale <sup>25</sup>
	30.000	22.79	06/18/20	24.23	...	-1.44	3 of 6 - Sale <sup>25</sup>
	10.000	7.60	07/24/20	7.00	...	0.60	4 of 6 - Sale <sup>25</sup>
	10.000	7.60	07/30/20	6.90	...	0.70	5 of 6 - Sale <sup>25</sup>
	1.000	0.76	09/04/20	0.65	...	0.11	6 of 6 - Sale <sup>25</sup>
10/08/20	111.000	84.33	Various	92.98	...	-8.65	Total of 6 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
08/26/20	1.000	2.24	08/24/20	2.22	...	0.02	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119325330

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IMMUNIC, INC. COMMON STOCK / CUSIP: 4525EP101 / Symbol:							
10/27/20	1.000	17.28	10/22/20	16.62	...	0.66	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
08/20/20	6.000	88.80	07/31/20	117.48	28.68 W	0.00	Sale <sup>25</sup>
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
04/27/20	5.000	477.50	04/15/20	453.00	...	24.50	Sale <sup>25</sup>
06/09/20	5.000	554.98	05/14/20	434.75	...	120.23	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,032.48</b>		<b>887.75</b>	...	<b>144.73</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
08/03/20	1.000	6.08	07/31/20	6.07	...	0.01	Sale <sup>25</sup>
	<i>2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.</i>						
	30.000	197.09	08/06/20	187.20	...	9.89	1 of 2 - Sale <sup>25</sup>
	70.000	459.88	08/06/20	437.50	...	22.38	2 of 2 - Sale <sup>25</sup>
08/07/20	100.000	656.97	Various	624.70	...	32.27	Total of 2 transactions
09/03/20	15.000	112.50	08/14/20	108.60	...	3.90	Sale <sup>25</sup>
10/26/20	15.000	104.73	10/12/20	93.60	...	11.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>880.28</b>		<b>832.97</b>	...	<b>47.31</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
	<i>2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	427.64	07/07/20	426.00	...	1.64	1 of 2 - Sale <sup>25</sup>
	3.000	641.98	07/07/20	639.00	...	2.98	2 of 2 - Sale <sup>25</sup>
08/03/20	5.000	1,069.62	Various	1,065.00	...	4.62	Total of 2 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	<i>3 transactions for 01/15/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	41.80	03/19/19	59.00	...	-17.20	1 of 3 - Sale <sup>25</sup>
	10.000	41.80	05/03/19	47.60	...	-5.80	2 of 3 - Sale <sup>25</sup>
	50.000	209.00	06/05/19	140.96	...	68.04	3 of 3 - Sale <sup>25</sup>
01/15/20	70.000	292.60	Various	247.56	...	45.04	Total of 3 transactions

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	18.77	09/02/20	18.50	...	0.27	1 of 2 - Sale <sup>25</sup>
	2.000	37.55	09/03/20	37.00	...	0.55	2 of 2 - Sale <sup>25</sup>
09/16/20	3.000	56.32	Various	55.50	...	0.82	Total of 2 transactions
	<b>Security total:</b>	<b>348.92</b>		<b>303.06</b>	...	<b>45.86</b>	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	79.20	07/24/20	60.50	...	18.70	1 of 4 - Sale <sup>25</sup>
	4.000	158.40	07/24/20	121.12	...	37.28	2 of 4 - Sale <sup>25</sup>
	4.000	158.40	07/31/20	120.02	...	38.38	3 of 4 - Sale <sup>25</sup>
	6.000	261.06	07/31/20	180.03	...	81.03	4 of 4 - Sale <sup>25</sup>
08/10/20	16.000	657.06	Various	481.67	...	175.39	Total of 4 transactions
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	41.41	08/24/20	38.50	...	2.91	1 of 2 - Sale <sup>25</sup>
	2.000	83.16	08/24/20	77.00	...	6.16	2 of 2 - Sale <sup>25</sup>
08/28/20	3.000	124.57	Various	115.50	...	9.07	Total of 2 transactions
09/08/20	14.000	699.98	09/03/20	504.70	...	195.28	Sale <sup>25</sup>
09/09/20	1.000	48.68	09/03/20	36.05	...	12.63	Sale <sup>25</sup>
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	3.000	74.92	09/29/20	54.00	...	20.92	1 of 2 - Sale <sup>25</sup>
	10.000	249.73	09/30/20	180.00	...	69.73	2 of 2 - Sale <sup>25</sup>
10/07/20	13.000	324.65	Various	234.00	...	90.65	Total of 2 transactions
	<b>Security total:</b>	<b>1,854.94</b>		<b>1,371.92</b>	...	<b>483.02</b>	
9 METERS BIOPHARMA, INC. COMMON STOCK / CUSIP: 654405109 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	6.04	03/10/20	5.00	...	1.04	1 of 2 - Sale <sup>25</sup>
	50.000	30.01	03/10/20	25.00	...	5.01	2 of 2 - Sale <sup>25</sup>
06/17/20	60.000	36.05	Various	30.00	...	6.05	Total of 2 transactions

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORTHERN TRUST CORPORATION COMMON STOCK / CUSIP: 665859104 / Symbol:							
05/29/20	5.000	400.00	03/19/20	357.50	...	42.50	Sale <sup>25</sup>
07/21/20	5.000	400.00	03/19/20	357.50	...	42.50	Sale <sup>25</sup>
08/03/20	0.044	3.54	07/02/20	3.50	...	0.04	Sale <sup>25</sup>
<b>Security total:</b>		<b>803.54</b>		<b>718.50</b>	...	<b>85.04</b>	
QUTOUTIAO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 74915J107 / Symbol:							
6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	10.000	26.62	03/30/20	24.50	...	2.12	1 of 6 - Sale <sup>25</sup>
	10.000	26.63	03/30/20	24.30	...	2.33	2 of 6 - Sale <sup>25</sup>
	9.000	23.96	04/01/20	22.50	...	1.46	3 of 6 - Sale <sup>25</sup>
	20.000	53.25	04/02/20	48.00	...	5.25	4 of 6 - Sale <sup>25</sup>
	30.000	79.88	04/21/20	75.90	...	3.98	5 of 6 - Sale <sup>25</sup>
	20.000	53.25	05/08/20	47.58	...	5.67	6 of 6 - Sale <sup>25</sup>
10/09/20	99.000	263.59	Various	242.78	...	20.81	Total of 6 transactions
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	20.000	53.25	03/19/20	74.00	19.72 W	-1.03	1 of 2 - Sale <sup>25</sup>
	10.000	26.62	03/19/20	37.90	11.28 W	0.00	2 of 2 - Sale <sup>25</sup>
10/09/20	30.000	79.87	Various	111.90	31.00 W	-1.03	Total of 2 transactions
<b>Security total:</b>		<b>343.46</b>		<b>354.68</b>	<b>31.00 W</b>	<b>19.78</b>	
RAYTHEON TECHNOLOGIES CORPORATION / CUSIP: 75513E101 / Symbol:							
07/24/20	1.000	62.01	07/09/20	60.00	...	2.01	Sale <sup>25</sup>
SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol:							
04/27/20	2.000	4.02	06/14/19	15.00	...	-10.98	Sale <sup>25</sup>
STARBUCKS CORPORATION COMMON STOCK / CUSIP: 855244109 / Symbol:							
3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	67.60	04/01/20	63.52	...	4.08	1 of 3 - Sale <sup>25</sup>
	1.000	67.60	04/01/20	63.20	...	4.40	2 of 3 - Sale <sup>25</sup>
	1.000	67.60	04/01/20	62.90	...	4.70	3 of 3 - Sale <sup>25</sup>
04/06/20	3.000	202.80	Various	189.62	...	13.18	Total of 3 transactions
08/06/20	10.000	755.98	08/03/20	755.70	...	0.28	Sale <sup>25</sup>
<b>Security total:</b>		<b>958.78</b>		<b>945.32</b>	...	<b>13.46</b>	

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
08/04/20	5.000	42.50	03/19/20	18.65	...	23.85	Sale <sup>25</sup>
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	6.62	03/19/20	3.73	...	2.89	1 of 2 - Sale <sup>25</sup>
	4.000	26.48	03/19/20	14.80	...	11.68	2 of 2 - Sale <sup>25</sup>
08/28/20	5.000	33.10	Various	18.53	...	14.57	Total of 2 transactions
10/19/20	3.000	18.31	03/19/20	11.10	...	7.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>93.91</b>		<b>48.28</b>	...	<b>45.63</b>	
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
04/27/20	0.400	0.18	05/02/19	9.80	...	-9.62	Sale <sup>25</sup>
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
04/24/20	2.000	57.90	02/25/20	73.60	...	-15.70	Sale <sup>25</sup>
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	2.000	63.30	03/06/20	62.00	...	1.30	1 of 2 - Sale <sup>25</sup>
	1.000	31.65	03/19/20	20.50	...	11.15	2 of 2 - Sale <sup>25</sup>
05/11/20	3.000	94.95	Various	82.50	...	12.45	Total of 2 transactions
	<b>Security total:</b>	<b>152.85</b>		<b>156.10</b>	...	<b>-3.25</b>	
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
	5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	3.20	09/04/20	3.20	...	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	3.20	09/30/20	2.85	...	0.35	2 of 5 - Sale <sup>25</sup>
	8.000	25.60	09/30/20	23.04	...	2.56	3 of 5 - Sale <sup>25</sup>
	100.000	320.00	10/15/20	285.00	...	35.00	4 of 5 - Sale <sup>25</sup>
	5.000	16.00	10/26/20	12.25	...	3.75	5 of 5 - Sale <sup>25</sup>
12/08/20	115.000	368.00	Various	326.34	...	41.66	Total of 5 transactions
<b>Totals :</b>		<b>33,385.11</b>		<b>36,871.84</b>	<b>1,769.19 W</b>	<b>-1,717.54</b>	

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Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	1.000	0.92	01/08/19	5.08		0.00	1 of 3 - Sale <sup>25</sup>
	14.000	12.88	01/08/19	71.12	4.16 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	4.60	01/08/19	25.40	58.24 W	0.00	3 of 3 - Sale <sup>25</sup>
03/30/20	20.000	18.40	Various	101.60	20.80 W	0.00	Total of 3 transactions
					83.20 W		
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.200	12.15	03/19/20	67.77	...	-55.62	1 of 3 - Sale <sup>25</sup>
	0.429	4.34	03/19/20	24.21	...	-19.87	2 of 3 - Sale <sup>25</sup>
	0.086	0.87	03/19/20	4.84	...	-3.97	3 of 3 - Sale <sup>25</sup>
08/17/20	1.714	17.36	Various	96.82	...	-79.46	Total of 3 transactions
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
04/29/20	9.000	75.15	12/27/18	93.79	...	-18.64	Sale <sup>25</sup>
HEXO CORP. / CUSIP: 428304109 / Symbol:							
10/08/20	10.000	7.60	10/01/19	38.00	...	-30.40	Sale <sup>25</sup>
IMMUNIC, INC. COMMON STOCK / CUSIP: 4525EP101 / Symbol:							
04/27/20	3.000	28.59	04/05/19	56.70	...	-28.11	Sale <sup>25</sup>
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	9.840	7.48	09/26/18	111.93	...	-104.45	1 of 2 - Sale <sup>25</sup>
	6.160	4.68	12/06/18	55.75	...	-51.07	2 of 2 - Sale <sup>25</sup>
04/28/20	16.000	12.16	Various	167.68	...	-155.52	Total of 2 transactions
INNOVATE BIOPHARMACEUTICALS, I NC. COMMON STOCK / CUSIP: 45782F105 / Symbol:							
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	10.000	6.30	10/29/18	43.38	...	-37.08	1 of 4 - Sale <sup>25</sup>
	10.000	6.30	10/31/18	42.91	...	-36.61	2 of 4 - Sale <sup>25</sup>
	20.000	12.60	11/19/18	52.20	...	-39.60	3 of 4 - Sale <sup>25</sup>
	20.000	12.59	11/19/18	47.80	...	-35.21	4 of 4 - Sale <sup>25</sup>
04/28/20	60.000	37.79	Various	186.29	...	-148.50	Total of 4 transactions

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
4 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	0.286	0.15	10/01/18	18.00	...	-17.85	1 of 4 - Sale <sup>25</sup>
	1.143	0.62	10/03/18	46.33	...	-45.71	2 of 4 - Sale <sup>25</sup>
	2.857	1.54	12/06/18	84.00	...	-82.46	3 of 4 - Sale <sup>25</sup>
	5.714	3.09	12/11/18	124.00	...	-120.91	4 of 4 - Sale <sup>25</sup>
04/16/20	10.000	5.40	Various	272.33	...	-266.93	Total of 4 transactions
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
04/28/20	5.000	12.50	12/07/18	38.50	...	-26.00	Sale <sup>25</sup>
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	100.000	80.97	10/22/18	112.85	31.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	80.97	10/22/18	113.00	32.03 W	0.00	2 of 2 - Sale <sup>25</sup>
04/13/20	200.000	161.94	Various	225.85	63.91 W	0.00	Total of 2 transactions
4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	3.000	2.55	11/26/18	8.90	6.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	42.49	11/26/18	148.36	105.87 W	0.00	2 of 4 - Sale <sup>25</sup>
	47.000	39.95	11/26/18	139.47	99.52 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	8.50	12/17/18	8.60	0.10 W	0.00	4 of 4 - Sale <sup>25</sup>
04/14/20	110.000	93.49	Various	305.33	211.84 W	0.00	Total of 4 transactions
04/28/20	200.000	85.24	12/17/18	172.00	86.76 W	0.00	Sale <sup>25</sup>
12 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	90.000	73.51	12/17/18	77.40	...	-3.89	1 of 12 - Sale <sup>25</sup>
	10.000	8.17	04/02/20	8.69	...	-0.52	2 of 12 - Sale <sup>25</sup>
	10.000	8.17	04/16/20	25.26	...	-17.09	3 of 12 - Sale <sup>25</sup>
	90.000	73.51	04/16/20	65.46	...	8.05	4 of 12 - Sale <sup>25</sup>
	100.000	81.68	04/16/20	72.89	...	8.79	5 of 12 - Sale <sup>25</sup>
	50.000	40.83	05/04/20	140.87	...	-100.04	6 of 12 - Sale <sup>25</sup>
	37.000	30.22	05/04/20	104.25	...	-74.03	7 of 12 - Sale <sup>25</sup>
	100.000	82.02	05/04/20	113.37	...	-31.35	8 of 12 - Sale <sup>25</sup>
	90.000	73.82	05/04/20	102.04	...	-28.22	9 of 12 - Sale <sup>25</sup>
	3.000	2.45	05/04/20	8.45	...	-6.00	10 of 12 - Sale <sup>25</sup>
	10.000	8.17	05/04/20	11.34	...	-3.17	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119325330

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
	10.000	8.20	05/04/20	7.10	...	1.10	12 of 12 - Sale <sup>25</sup>
07/01/20	600.000	490.75	Various	737.12	...	-246.37	Total of 12 transactions
	<b>Security total:</b>	<b>831.42</b>		<b>1,440.30</b>	<b>362.51 W</b>	<b>-246.37</b>	
QUTOUTIAO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 74915J107 / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	20.000	53.25	05/07/19	132.40	79.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	2.66	05/13/19	5.51	2.85 W	0.00	2 of 2 - Sale <sup>25</sup>
10/09/20	21.000	55.91	Various	137.91	82.00 W	0.00	Total of 2 transactions
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	22.40	09/28/18	241.85	219.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	13.44	09/28/18	197.10	183.66 W	0.00	2 of 2 - Sale <sup>25</sup>
06/17/20	80.000	35.84	Various	438.95	403.11 W	0.00	Total of 2 transactions
15 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	60.000	30.06	09/28/18	232.80	...	-202.74	1 of 15 - Sale <sup>25</sup>
	40.000	20.05	09/28/18	155.20	...	-135.15	2 of 15 - Sale <sup>25</sup>
	18.000	9.02	09/28/18	118.26	...	-109.24	3 of 15 - Sale <sup>25</sup>
	2.000	1.00	09/28/18	13.14	...	-12.14	4 of 15 - Sale <sup>25</sup>
	180.000	90.20	10/01/18	533.29	...	-443.09	5 of 15 - Sale <sup>25</sup>
	15.000	7.52	10/01/18	44.44	...	-36.92	6 of 15 - Sale <sup>25</sup>
	5.000	2.51	10/01/18	14.81	...	-12.30	7 of 15 - Sale <sup>25</sup>
	100.000	50.10	10/09/18	189.00	...	-138.90	8 of 15 - Sale <sup>25</sup>
	85.000	42.59	10/09/18	160.65	...	-118.06	9 of 15 - Sale <sup>25</sup>
	11.000	5.50	10/09/18	20.79	...	-15.29	10 of 15 - Sale <sup>25</sup>
	3.000	1.50	10/09/18	5.67	...	-4.17	11 of 15 - Sale <sup>25</sup>
	1.000	0.50	10/09/18	1.89	...	-1.39	12 of 15 - Sale <sup>25</sup>
	5.000	2.50	12/18/18	3.18	...	-0.68	13 of 15 - Sale <sup>25</sup>
	20.000	10.01	06/03/20	96.33	...	-86.32	14 of 15 - Sale <sup>25</sup>
	10.000	5.00	06/03/20	48.18	...	-43.18	15 of 15 - Sale <sup>25</sup>
07/02/20	555.000	278.06	Various	1,637.63	...	-1,359.57	Total of 15 transactions

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
SYNTHETIC BIOLOGICS, INC. / CUSIP: 87164U201 / Symbol: (cont'd)							
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	20.000	11.04	06/03/20	96.33	...	-85.29	1 of 4 - Sale <sup>25</sup>
	10.000	5.52	06/03/20	65.49	...	-59.97	2 of 4 - Sale <sup>25</sup>
	10.000	5.52	06/03/20	65.42	...	-59.90	3 of 4 - Sale <sup>25</sup>
	10.000	5.52	06/05/20	65.07	...	-59.55	4 of 4 - Sale <sup>25</sup>
08/17/20	50.000	27.60	Various	292.31	...	-264.71	Total of 4 transactions
	<b>Security total:</b>	<b>341.50</b>		<b>2,368.89</b>	<b>403.11 W</b>	<b>-1,624.28</b>	
TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	3.000	0.88	01/14/19	11.48	...	-10.60	1 of 2 - Sale <sup>25</sup>
	2.000	0.59	01/14/19	7.66	...	-7.07	2 of 2 - Sale <sup>25</sup>
04/27/20	5.000	1.47	Various	19.14	...	-17.67	Total of 2 transactions
TARONIS FUELS INC COMMON STOCK / CUSIP: 87621P100 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	15.000	1.40	01/14/19	42.52	...	-41.12	1 of 2 - Sale <sup>25</sup>
	10.000	0.93	01/14/19	28.34	...	-27.41	2 of 2 - Sale <sup>25</sup>
04/07/20	25.000	2.33	Various	70.86	...	-68.53	Total of 2 transactions
TILRAY, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	2.000	14.90	11/09/18	227.60	212.70 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	14.90	11/19/18	209.67	194.77 W	0.00	2 of 4 - Sale <sup>25</sup>
	4.000	29.80	12/07/18	398.00	368.20 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	14.90	12/12/18	171.00	156.10 W	0.00	4 of 4 - Sale <sup>25</sup>
03/30/20	10.000	74.50	Various	1,006.27	931.77 W	0.00	Total of 4 transactions
7 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	3.000	25.50	12/17/18	201.00	175.50 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	17.00	01/18/19	154.40	137.40 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	17.00	03/06/20	233.70	216.70 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	17.00	03/06/20	215.77	198.77 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	17.00	03/16/20	191.10	174.10 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	17.00	03/19/20	191.56	174.56 W	0.00	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 119325330

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol		1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
1c- Date sold or disposed	Quantity						
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	2.000	17.00	03/19/20	163.56	146.56 W	0.00	7 of 7 - Sale <sup>25</sup>
08/04/20	15.000	127.50	Various	1,351.09	1,223.59 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>202.00</b>		<b>2,357.36</b>	<b>2,155.36 W</b>	<b>0.00</b>	
TRANSENERIX INC. / CUSIP: 89366M300 / Symbol:							
04/27/20	5.600	2.56	12/11/18	214.20	...	-211.64	Sale <sup>25</sup>
<b>Totals :</b>		<b>1,652.14</b>		<b>7,660.37</b>	<b>3,086.18 W</b>	<b>-2,922.05</b>	

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Robinhood Securities LLC

Account 119325330

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	0.62	Qualified dividend	
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		09/29/20	11.56	Qualified dividend	
			12/30/20	20.53	Qualified dividend	
				<b>32.09</b>	<b>Total Dividends &amp; distributions</b>	
NORTHERN TRUST CORPORATION COM MON STOCK	665859104		07/01/20	3.50	Qualified dividend	
STARBUCKS CORPORATION COMMON S TOCK	855244109		08/21/20	4.10	Qualified dividend	
				<b>40.31</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 119325330

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INNOVATE BIOPHARMACEUTICALS, I NC. COMMON STOCK	45782F105	05/04/20	-60.00	0.00	Exchange	
9 METERS BIOPHARMA, INC. COMMO N STOCK	654405109	05/04/20	60.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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