

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 611964776	Statement Date: 02/14/2021 Document ID: 50P2 3XB 3822	2020
	Shiva Gurram 12942 Sanctuary Cove Dr 104 Temple Terrace, FL 33637 RECIPIENT'S TIN: XXX-XX-0225		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 1.06</p> <p>1b- Qualified dividends 1.06</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	52,277.51	50,822.57	0.00	712.44	2,167.38
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.78	7.26	0.00	0.00	-5.48
	Total Short-term	52,279.29	50,829.83	0.00	712.44	2,161.90
Long	D (basis reported to the IRS)	67.05	57.67	0.00	0.00	9.38
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	67.05	57.67	0.00	0.00	9.38
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	52,346.34	50,887.50	0.00	712.44	2,171.28

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.44
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 06/12/2020 CALL \$22.00 / CUSIP: / Symbol:							
06/11/20	1.000	4.98	06/09/20	150.00	...	-145.02	Option sale to close-call ²⁵
CLSN 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/15/20	1.000	4.98	06/12/20	4.00	...	0.98	Option sale to close-call ²⁵
06/17/20	1.000	44.98	06/12/20	4.00	...	40.98	Option sale to close-call ²⁵
	Security total:	49.96		8.00	...	41.96	
CLSN 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/12/20	70.00	...	-70.00	Option expiration- long call
MARK 06/19/2020 CALL \$4.00 / CUSIP: / Symbol:							
06/09/20	3.000	50.98	06/08/20	75.00	...	-24.02	Option sale to close-call ²⁵
MARK 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/22/20	55.00	...	-55.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA 06/19/2020 CALL \$4.00 / CUSIP: / Symbol:							
06/09/20	1.000	79.98	06/08/20	45.00	...	34.98	Option sale to close-call ²⁵
MFA 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/23/20	5.00	...	-5.00	Option expiration- long call
NNDM 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/30/20	1.000	106.98	12/15/20	44.00	...	62.98	Option sale to close-call ²⁵
NYMT 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	06/19/20	10.00	...	-10.00	Option expiration- long call
TTOO 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/22/20	15.00	...	-15.00	Option expiration- long call
VAL 06/19/2020 CALL \$2.00 / CUSIP: / Symbol:							
06/10/20	1.000	19.98	06/08/20	70.00	...	-50.02	Option sale to close-call ²⁵
		2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.					
	1.000	14.98	06/08/20	70.00	...	-55.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.98	06/08/20	70.00	...	-55.02	2 of 2 - Option sale to close-call ²⁵
06/11/20	2.000	29.96	Various	140.00	...	-110.04	Total of 2 transactions
	Security total:	49.94		210.00	...	-160.06	
ACELRX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 00444T100 / Symbol:							
03/26/20	10.000	13.90	03/25/20	9.80	...	4.10	Sale ²⁵
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol:							
		2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.					
	3.000	449.99	12/10/20	449.79	...	0.20	1 of 2 - Sale ²⁵
	2.000	299.99	12/10/20	292.00	...	7.99	2 of 2 - Sale ²⁵
12/10/20	5.000	749.98	Various	741.79	...	8.19	Total of 2 transactions
12/15/20	1.000	127.25	12/14/20	126.50	...	0.75	Sale ²⁵
	Security total:	877.23		868.29	...	8.94	

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	10.000	126.10	07/02/20	125.50	...	0.60	1 of 2 - Sale ²⁵
	10.000	126.10	07/02/20	125.00	...	1.10	2 of 2 - Sale ²⁵
07/15/20	20.000	252.20	Various	250.50	...	1.70	Total of 2 transactions
APARTMENT INVESTMENT AND MANAG EMENT COMPANY / CUSIP: 03748R747 / Symbol:							
12/22/20	20.000	102.00	12/15/20	103.00	...	-1.00	Sale ²⁵
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	17.65	12/14/20	14.09	...	3.56	1 of 4 - Sale ²⁵
	4.000	70.60	12/14/20	56.36	...	14.24	2 of 4 - Sale ²⁵
	10.000	176.50	12/15/20	138.10	...	38.40	3 of 4 - Sale ²⁵
	10.000	176.50	12/15/20	137.20	...	39.30	4 of 4 - Sale ²⁵
12/28/20	25.000	441.25	Various	345.75	...	95.50	Total of 4 transactions
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
11/18/20	3.000	356.25	09/16/20	342.24	...	14.01	Sale ²⁵
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103109 / Symbol:							
06/05/20	200.000	231.98	05/29/20	142.80	...	89.18	Sale ²⁵
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	50.000	231.09	07/09/20	337.00	...	-105.91	1 of 2 - Sale ²⁵
	50.000	231.09	07/15/20	222.75	...	8.34	2 of 2 - Sale ²⁵
07/20/20	100.000	462.18	Various	559.75	...	-97.57	Total of 2 transactions
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
9 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	1.000	1.90	03/19/20	1.37	...	0.53	1 of 9 - Sale ²⁵
	4.000	7.60	03/19/20	5.48	...	2.12	2 of 9 - Sale ²⁵
	5.000	9.25	03/19/20	6.85	...	2.40	3 of 9 - Sale ²⁵
	5.000	9.60	03/19/20	6.85	...	2.75	4 of 9 - Sale ²⁵
	5.000	9.85	03/19/20	6.85	...	3.00	5 of 9 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	5.000	9.85	03/19/20	6.65	...	3.20	6 of 9 - Sale ²⁵
	10.000	18.50	03/19/20	13.30	...	5.20	7 of 9 - Sale ²⁵
	10.000	19.00	03/19/20	13.30	...	5.70	8 of 9 - Sale ²⁵
	25.000	46.25	03/19/20	33.25	...	13.00	9 of 9 - Sale ²⁵
03/23/20	70.000	131.80	Various	93.90	...	37.90	Total of 9 transactions
03/27/20	10.000	17.60	03/26/20	15.90	...	1.70	Sale ²⁵
	Security total:	149.40		109.80	...	39.60	
BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C309 / Symbol:							
8 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	20.000	16.60	04/02/20	16.00	...	0.60	1 of 8 - Sale ²⁵
	30.000	24.90	04/02/20	22.51	...	2.39	2 of 8 - Sale ²⁵
	30.000	24.90	04/02/20	21.38	...	3.52	3 of 8 - Sale ²⁵
	30.000	24.90	04/03/20	20.09	...	4.81	4 of 8 - Sale ²⁵
	40.000	33.19	04/09/20	28.46	...	4.73	5 of 8 - Sale ²⁵
	50.000	41.49	04/09/20	35.58	...	5.91	6 of 8 - Sale ²⁵
	100.000	82.99	04/20/20	69.98	...	13.01	7 of 8 - Sale ²⁵
	100.000	82.99	04/20/20	69.98	...	13.01	8 of 8 - Sale ²⁵
04/27/20	400.000	331.96	Various	283.98	...	47.98	Total of 8 transactions
7 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	50.000	41.49	03/27/20	67.50	26.01 W	0.00	1 of 7 - Sale ²⁵
	10.000	8.30	03/27/20	13.10	4.80 W	0.00	2 of 7 - Sale ²⁵
	10.000	8.30	03/27/20	12.00	3.70 W	0.00	3 of 7 - Sale ²⁵
	10.000	8.30	03/27/20	11.15	2.85 W	0.00	4 of 7 - Sale ²⁵
	10.000	8.30	03/27/20	10.60	2.30 W	0.00	5 of 7 - Sale ²⁵
	10.000	8.30	03/30/20	9.50	1.20 W	0.00	6 of 7 - Sale ²⁵
	10.000	8.30	03/30/20	8.80	0.50 W	0.00	7 of 7 - Sale ²⁵
04/27/20	110.000	91.29	Various	132.65	41.36 W	0.00	Total of 7 transactions
8 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	54.99	05/19/20	59.61	...	-4.62	1 of 8 - Sale ²⁵
	10.000	11.00	05/19/20	11.52	...	-0.52	2 of 8 - Sale ²⁵
	10.000	11.00	05/19/20	10.42	...	0.58	3 of 8 - Sale ²⁵
	10.000	11.00	05/19/20	9.57	...	1.43	4 of 8 - Sale ²⁵
	10.000	11.00	05/19/20	9.02	...	1.98	5 of 8 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C309 / Symbol: (cont'd)							
	10.000	11.00	05/19/20	7.92	...	3.08	6 of 8 - Sale ²⁵
	10.000	11.00	05/19/20	7.22	...	3.78	7 of 8 - Sale ²⁵
	90.000	98.99	05/19/20	60.48	...	38.51	8 of 8 - Sale ²⁵
06/10/20	200.000	219.98	Various	175.76	...	44.22	Total of 8 transactions
	Security total:	643.23		592.39	41.36 W	92.20	
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	86.000	39.21	04/14/20	39.47	...	-0.26	1 of 2 - Sale ²⁵
	300.000	136.79	04/14/20	134.76	...	2.03	2 of 2 - Sale ²⁵
05/13/20	386.000	176.00	Various	174.23	...	1.77	Total of 2 transactions
07/22/20	50.000	39.75	07/08/20	39.50	...	0.25	Sale ²⁵
	Security total:	215.75		213.73	...	2.02	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
06/30/20	500.000	239.94	05/27/20	227.50	...	12.44	Sale ²⁵
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol:							
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	100.000	579.97	06/19/20	561.01	...	18.96	1 of 2 - Sale ²⁵
	100.000	514.97	06/19/20	460.00	...	54.97	2 of 2 - Sale ²⁵
06/19/20	200.000	1,094.94	Various	1,021.01	...	73.93	Total of 2 transactions
06/19/20	100.000	444.99	06/19/20	460.00	15.01 W	0.00	Sale ²⁵
09/16/20	50.000	76.10	07/07/20	122.50	...	-46.40	Sale ²⁵
	Security total:	1,616.03		1,603.51	15.01 W	27.53	
CBL & ASSOC PPTYS INC COMMON S TOCK / CUSIP: 124830100 / Symbol:							
	4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	8.000	1.88	05/04/20	1.88	...	0.00	1 of 4 - Sale ²⁵
	100.000	23.49	05/04/20	23.48	...	0.01	2 of 4 - Sale ²⁵
	92.000	21.61	05/04/20	21.60	...	0.01	3 of 4 - Sale ²⁵
	400.000	93.95	05/04/20	93.92	...	0.03	4 of 4 - Sale ²⁵
05/26/20	600.000	140.93	Various	140.88	...	0.05	Total of 4 transactions

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Robinhood Securities LLC

Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	10.000	5.50	03/12/20	4.60	...	0.90	1 of 2 - Sale ²⁵
	20.000	11.00	03/12/20	9.25	...	1.75	2 of 2 - Sale ²⁵
03/16/20	30.000	16.50	Various	13.85	...	2.65	Total of 2 transactions
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	50.000	20.55	03/25/20	21.00	...	-0.45	1 of 2 - Sale ²⁵
	10.000	4.11	03/25/20	3.70	...	0.41	2 of 2 - Sale ²⁵
03/27/20	60.000	24.66	Various	24.70	...	-0.04	Total of 2 transactions
	Security total:	41.16		38.55	...	2.61	
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
6 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	1.14	05/27/20	0.71	...	0.43	1 of 6 - Sale ²⁵
	4.000	4.56	05/27/20	2.85	...	1.71	2 of 6 - Sale ²⁵
	5.000	5.70	05/27/20	3.56	...	2.14	3 of 6 - Sale ²⁵
	8.000	9.12	05/27/20	5.70	...	3.42	4 of 6 - Sale ²⁵
	11.000	12.54	05/27/20	7.83	...	4.71	5 of 6 - Sale ²⁵
06/05/20	171.000	194.92	05/27/20	121.75	...	73.17	6 of 6 - Sale ²⁵
	200.000	227.98	Various	142.40	...	85.58	Total of 6 transactions
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	9.50	02/13/20	14.12	...	-4.62	1 of 3 - Sale ²⁵
	1.000	4.75	03/25/20	3.15	...	1.60	2 of 3 - Sale ²⁵
	2.000	9.50	03/25/20	6.30	...	3.20	3 of 3 - Sale ²⁵
04/14/20	5.000	23.75	Various	23.57	...	0.18	Total of 3 transactions
6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	7.000	17.36	06/01/20	15.19	...	2.17	1 of 6 - Sale ²⁵
	11.000	27.28	06/01/20	23.87	...	3.41	2 of 6 - Sale ²⁵
	14.000	34.72	06/01/20	29.54	...	5.18	3 of 6 - Sale ²⁵
	17.000	42.16	06/01/20	35.87	...	6.29	4 of 6 - Sale ²⁵
	26.000	64.48	06/01/20	56.42	...	8.06	5 of 6 - Sale ²⁵

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(continued)

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CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol: (cont'd)							
06/09/20	50.000	123.99	06/01/20	105.50	...	18.49	6 of 6 - Sale ²⁵
	125.000	309.99	Various	266.39	...	43.60	Total of 6 transactions
	3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	19.000	39.80	06/01/20	40.09	0.29 W	0.00	1 of 3 - Sale ²⁵
	60.000	125.70	06/01/20	126.60	0.90 W	0.00	2 of 3 - Sale ²⁵
	21.000	43.99	06/04/20	45.36	1.37 W	0.00	3 of 3 - Sale ²⁵
06/12/20	100.000	209.49	Various	212.05	2.56 W	0.00	Total of 3 transactions
	5 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	71.000	146.96	06/12/20	149.10	...	-2.14	1 of 5 - Sale ²⁵
	21.000	43.47	06/12/20	45.47	...	-2.00	2 of 5 - Sale ²⁵
	29.000	60.03	06/12/20	60.90	...	-0.87	3 of 5 - Sale ²⁵
	29.000	60.03	06/12/20	60.90	...	-0.87	4 of 5 - Sale ²⁵
	50.000	103.49	06/19/20	94.50	...	8.99	5 of 5 - Sale ²⁵
07/20/20	200.000	413.98	Various	410.87	...	3.11	Total of 5 transactions
	3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	10.000	20.70	06/04/20	21.75	1.05 W	0.00	1 of 3 - Sale ²⁵
	19.000	39.33	06/04/20	41.33	2.00 W	0.00	2 of 3 - Sale ²⁵
	50.000	103.49	06/12/20	105.75	0.95 W	-1.31	3 of 3 - Sale ²⁵
07/20/20	79.000	163.52	Various	168.83	4.00 W	-1.31	Total of 3 transactions
	3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	19.000	36.52	08/13/20	40.95	...	-4.43	1 of 3 - Sale ²⁵
	21.000	40.35	08/13/20	44.00	...	-3.65	2 of 3 - Sale ²⁵
	10.000	19.22	08/13/20	21.55	...	-2.33	3 of 3 - Sale ²⁵
09/16/20	50.000	96.09	Various	106.50	...	-10.41	Total of 3 transactions
	4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	3.80	12/02/20	3.78	...	0.02	1 of 4 - Sale ²⁵
	1.000	3.80	12/02/20	3.78	...	0.02	2 of 4 - Sale ²⁵
	4.000	15.20	12/02/20	15.12	...	0.08	3 of 4 - Sale ²⁵
	19.000	72.20	12/02/20	71.82	...	0.38	4 of 4 - Sale ²⁵
12/03/20	25.000	95.00	Various	94.50	...	0.50	Total of 4 transactions
	Security total:	1,311.82		1,282.71	6.56 W	35.67	

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Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
8 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	21.40	12/17/20	19.55	...	1.85	1 of 8 - Sale ²⁵
	1.000	21.41	12/17/20	19.30	...	2.11	2 of 8 - Sale ²⁵
	5.000	107.06	12/17/20	95.75	...	11.31	3 of 8 - Sale ²⁵
	9.000	192.70	12/17/20	175.95	...	16.75	4 of 8 - Sale ²⁵
	9.000	192.70	12/17/20	173.25	...	19.45	5 of 8 - Sale ²⁵
	10.000	214.12	12/17/20	186.50	...	27.62	6 of 8 - Sale ²⁵
	20.000	428.23	12/17/20	365.60	...	62.63	7 of 8 - Sale ²⁵
	20.000	428.23	12/17/20	361.00	...	67.23	8 of 8 - Sale ²⁵
12/22/20	75.000	1,605.85	Various	1,396.90	...	208.95	Total of 8 transactions
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
6 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	9.000	13.95	03/26/20	14.13	...	-0.18	1 of 6 - Sale ²⁵
	1.000	1.55	03/26/20	1.57	...	-0.02	2 of 6 - Sale ²⁵
	5.000	7.75	03/26/20	7.20	...	0.55	3 of 6 - Sale ²⁵
	10.000	15.50	03/27/20	13.15	...	2.35	4 of 6 - Sale ²⁵
	10.000	15.50	03/27/20	12.80	...	2.70	5 of 6 - Sale ²⁵
	10.000	15.50	03/27/20	12.80	...	2.70	6 of 6 - Sale ²⁵
04/08/20	45.000	69.75	Various	61.65	...	8.10	Total of 6 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/06/20	3.000	31.50	04/01/20	27.14	...	4.36	Sale ²⁵
04/07/20	2.000	24.00	04/01/20	18.09	...	5.91	Sale ²⁵
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	16.15	05/13/20	12.25	...	3.90	1 of 2 - Sale ²⁵
	4.000	64.60	05/13/20	48.99	...	15.61	2 of 2 - Sale ²⁵
07/15/20	5.000	80.75	Various	61.24	...	19.51	Total of 2 transactions
	Security total:	136.25		106.47	...	29.78	
CASTLIGHT HEALTH, INC. / CUSIP: 14862Q100 / Symbol:							
05/21/20	1.000	1.02	05/20/20	0.71	...	0.31	Sale ²⁵
6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	12.000	11.41	05/20/20	8.56	...	2.85	1 of 6 - Sale ²⁵

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(continued)

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CASTLIGHT HEALTH, INC. / CUSIP: 14862Q100 / Symbol: (cont'd)							
	87.000	82.73	05/20/20	62.03	...	20.70	2 of 6 - Sale ²⁵
	88.000	83.68	05/20/20	62.74	...	20.94	3 of 6 - Sale ²⁵
	100.000	95.09	05/20/20	71.30	...	23.79	4 of 6 - Sale ²⁵
	100.000	95.09	05/20/20	71.30	...	23.79	5 of 6 - Sale ²⁵
	100.000	95.09	05/20/20	71.30	...	23.79	6 of 6 - Sale ²⁵
06/09/20	487.000	463.09	Various	347.23	...	115.86	Total of 6 transactions
<i>3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	2.45	06/18/20	2.36	...	0.09	1 of 3 - Sale ²⁵
	47.000	38.32	06/18/20	36.94	...	1.38	2 of 3 - Sale ²⁵
	100.000	81.52	06/18/20	78.59	...	2.93	3 of 3 - Sale ²⁵
07/02/20	150.000	122.29	Various	117.89	...	4.40	Total of 3 transactions
	Security total:	586.40		465.83	...	120.57	
CEDAR REALTY TRUST, INC / CUSIP: 150602209 / Symbol:							
06/04/20	200.000	319.98	05/29/20	154.00	...	165.98	Sale ²⁵
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
07/20/20	6.000	6.43	06/22/20	34.61	...	-28.18	Sale ²⁵
07/20/20	300.000	320.96	06/05/20	837.00	10.32 W	-505.72	Sale ²⁵
	Security total:	327.39		871.61	10.32 W	-533.90	
CHIASMA, INC. COMMON STOCK / CUSIP: 16706W102 / Symbol:							
<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	26.000	116.48	06/26/20	168.22	...	-51.74	1 of 2 - Sale ²⁵
	4.000	17.92	06/26/20	25.88	...	-7.96	2 of 2 - Sale ²⁵
07/13/20	30.000	134.40	Various	194.10	...	-59.70	Total of 2 transactions
CHINA RECYCLING ENERGY CORPORA TION COMMON STOCK / CUSIP: 168913309 / Symbol:							
04/15/20	10.000	25.30	04/02/20	29.72	...	-4.42	Sale ²⁵
CHINA AUTOMOTIVE SYSTEMS, INC. COMMON STOCK / CUSIP: 16936R105 / Symbol:							
12/01/20	70.000	909.96	12/01/20	794.50	...	115.46	Sale ²⁵

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CHINA JO-JO DRUGSTORES, INC. C OMMON STOCK / CUSIP: 16949A206 / Symbol:							
06/10/20	12.000	19.08	06/01/20	16.08	...	3.00	Sale ²⁵
06/23/20	100.000	184.99	06/12/20	150.00	...	34.99	Sale ²⁵
	Security total:	204.07		166.08	...	37.99	
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
<i>3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.00	12/09/20	7.60	...	0.40	1 of 3 - Sale ²⁵
	67.000	515.87	12/09/20	505.85	...	10.02	2 of 3 - Sale ²⁵
	59.000	471.99	12/09/20	448.40	...	23.59	3 of 3 - Sale ²⁵
12/09/20	127.000	995.86	Various	961.85	...	34.01	Total of 3 transactions
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
06/05/20	1,000.000	289.69	05/05/20	310.00	...	-20.31	Sale ²⁵
DESTINATION XL GROUP INC COMMO N STOCK / CUSIP: 25065K104 / Symbol:							
12/14/20	100.000	23.69	12/11/20	20.50	...	3.19	Sale ²⁵
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
10/26/20	1.000	21.40	07/07/20	32.00	...	-10.60	Sale ²⁵
DIREXION DAILY ENERGY BULL 2X SHARES / CUSIP: 25460G609 / Symbol:							
07/14/20	10.000	140.21	07/07/20	138.00	...	2.21	Sale ²⁵
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
<i>2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	22.03	06/02/20	38.95	...	-16.92	1 of 2 - Sale ²⁵
	20.000	220.30	07/22/20	226.80	...	-6.50	2 of 2 - Sale ²⁵
08/03/20	22.000	242.33	Various	265.75	...	-23.42	Total of 2 transactions
<i>2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	10.10	12/18/20	10.05	...	0.05	1 of 2 - Sale ²⁵
	5.000	50.50	12/21/20	49.45	...	1.05	2 of 2 - Sale ²⁵
12/28/20	6.000	60.60	Various	59.50	...	1.10	Total of 2 transactions
	Security total:	302.93		325.25	...	-22.32	

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DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	10.000	2.40	03/12/20	4.18	...	-1.78	1 of 2 - Sale ²⁵
	70.000	16.79	03/25/20	15.04	...	1.75	2 of 2 - Sale ²⁵
03/26/20	80.000	19.19	Various	19.22	...	-0.03	Total of 2 transactions
E.MERGE TECHNOLOGY ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 26873Y104 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	10.10	12/14/20	10.03	...	0.07	1 of 2 - Sale ²⁵
	9.000	90.90	12/14/20	90.25	...	0.65	2 of 2 - Sale ²⁵
12/16/20	10.000	101.00	Various	100.28	...	0.72	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	23.30	06/12/20	16.60	...	6.70	1 of 3 - Sale ²⁵
	40.000	93.19	06/12/20	66.40	...	26.79	2 of 3 - Sale ²⁵
	50.000	116.50	06/12/20	80.00	...	36.50	3 of 3 - Sale ²⁵
06/29/20	100.000	232.99	Various	163.00	...	69.99	Total of 3 transactions
EMERSON RADIO CORP. / CUSIP: 291087203 / Symbol:							
06/12/20	7.000	5.29	05/20/20	5.29	...	0.00	Sale ²⁵
ENERPLUS CORPORATION / CUSIP: 292766102 / Symbol:							
07/14/20	48.000	121.92	07/06/20	121.92	...	0.00	Sale ²⁵
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
02/13/20	5.000	32.50	01/22/20	33.40	...	-0.90	Sale ²⁵
FORUM MERGER II CORPORATION CLASS A COMMON STOCK / CUSIP: 34986F103 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	10.000	144.75	06/19/20	173.40	...	-28.65	1 of 2 - Sale ²⁵
	5.000	72.38	06/23/20	84.25	...	-11.87	2 of 2 - Sale ²⁵
07/20/20	15.000	217.13	Various	257.65	...	-40.52	Total of 2 transactions

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Robinhood Securities LLC

Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
<i>2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	223.94	12/02/20	199.65	...	24.29	1 of 2 - Sale ²⁵
	100.000	746.46	12/02/20	665.50	...	80.96	2 of 2 - Sale ²⁵
12/02/20	130.000	970.40	Various	865.15	...	105.25	Total of 2 transactions
12/03/20	82.000	607.59	12/03/20	631.40	23.81 W	0.00	Sale ²⁵
12/08/20	10.000	82.62	12/07/20	80.50	...	2.12	Sale ²⁵
<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	72.000	608.38	12/09/20	636.51	28.13 W	0.00	1 of 2 - Sale ²⁵
	28.000	236.59	12/09/20	239.40	2.81 W	0.00	2 of 2 - Sale ²⁵
12/10/20	100.000	844.97	Various	875.91	30.94 W	0.00	Total of 2 transactions
<i>5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	58.66	12/11/20	58.03	...	0.63	1 of 5 - Sale ²⁵
	10.000	83.79	12/11/20	81.90	...	1.89	2 of 5 - Sale ²⁵
	10.000	83.79	12/11/20	81.01	...	2.78	3 of 5 - Sale ²⁵
	10.000	83.80	12/14/20	79.90	...	3.90	4 of 5 - Sale ²⁵
	15.000	125.69	12/14/20	121.36	...	4.33	5 of 5 - Sale ²⁵
12/15/20	52.000	435.73	Various	422.20	...	13.53	Total of 5 transactions
<i>3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.38	12/09/20	8.85	0.47 W	0.00	1 of 3 - Sale ²⁵
	9.000	75.42	12/09/20	79.66	4.24 W	0.00	2 of 3 - Sale ²⁵
	10.000	83.80	12/11/20	83.91	0.11 W	0.00	3 of 3 - Sale ²⁵
12/15/20	20.000	167.60	Various	172.42	4.82 W	0.00	Total of 3 transactions
12/22/20	10.000	103.75	12/21/20	92.00	...	11.75	Sale ²⁵
<i>6 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	180.74	12/28/20	180.60	...	0.14	1 of 6 - Sale ²⁵
	10.000	120.50	12/28/20	116.90	...	3.60	2 of 6 - Sale ²⁵
	25.000	301.24	12/28/20	296.24	...	5.00	3 of 6 - Sale ²⁵
	10.000	120.50	12/28/20	113.70	...	6.80	4 of 6 - Sale ²⁵
	15.000	180.74	12/28/20	173.25	...	7.49	5 of 6 - Sale ²⁵
	30.000	361.48	12/30/20	346.50	...	14.98	6 of 6 - Sale ²⁵
12/30/20	105.000	1,265.20	Various	1,227.19	...	38.01	Total of 6 transactions

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Account 611964776

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
<i>10 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.05	12/28/20	12.70	0.65 W	0.00	1 of 10 - Sale ²⁵
	1.000	12.05	12/28/20	13.07	1.02 W	0.00	2 of 10 - Sale ²⁵
	1.000	12.05	12/28/20	13.06	1.01 W	0.00	3 of 10 - Sale ²⁵
	17.000	204.84	12/28/20	215.83	10.99 W	0.00	4 of 10 - Sale ²⁵
	2.000	24.10	12/28/20	25.94	1.84 W	0.00	5 of 10 - Sale ²⁵
	6.000	72.30	12/28/20	77.17	4.87 W	0.00	6 of 10 - Sale ²⁵
	4.000	48.20	12/28/20	49.60	1.40 W	0.00	7 of 10 - Sale ²⁵
	6.000	72.30	12/28/20	74.05	1.75 W	0.00	8 of 10 - Sale ²⁵
	4.000	48.20	12/28/20	49.32	1.12 W	0.00	9 of 10 - Sale ²⁵
	20.000	240.99	12/28/20	243.50	2.51 W	0.00	10 of 10 - Sale ²⁵
12/30/20	62.000	747.08	Various	774.24	27.16 W	0.00	Total of 10 transactions
	Security total:	5,224.94		5,141.01	86.73 W	170.66	
GEE GROUP INC. / CUSIP: 36165A102 / Symbol:							
<i>2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	115.000	252.99	07/02/20	250.70	...	2.29	1 of 2 - Sale ²⁵
	185.000	406.98	07/02/20	403.30	...	3.68	2 of 2 - Sale ²⁵
07/02/20	300.000	659.97	Various	654.00	...	5.97	Total of 2 transactions
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
04/08/20	3.000	66.75	03/30/20	66.42	...	0.33	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.95	06/11/20	3.20	...	0.75	1 of 6 - Sale ²⁵
	21.000	82.95	06/11/20	71.40	...	11.55	2 of 6 - Sale ²⁵
	29.000	114.55	06/11/20	98.60	...	15.95	3 of 6 - Sale ²⁵
	50.000	197.50	06/11/20	176.00	...	21.50	4 of 6 - Sale ²⁵
	50.000	197.49	06/11/20	172.50	...	24.99	5 of 6 - Sale ²⁵
	49.000	193.54	06/11/20	156.80	...	36.74	6 of 6 - Sale ²⁵
06/16/20	200.000	789.98	Various	678.50	...	111.48	Total of 6 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
<i>7 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	103.95	06/18/20	98.35	...	5.60	1 of 7 - Sale ²⁵
	22.000	65.33	06/26/20	66.66	...	-1.33	2 of 7 - Sale ²⁵
	5.000	14.85	06/26/20	15.95	...	-1.10	3 of 7 - Sale ²⁵
	18.000	53.46	06/26/20	54.54	...	-1.08	4 of 7 - Sale ²⁵
	13.000	38.61	06/26/20	39.39	...	-0.78	5 of 7 - Sale ²⁵
	2.000	5.94	06/26/20	6.06	...	-0.12	6 of 7 - Sale ²⁵
	10.000	29.70	06/26/20	23.60	...	6.10	7 of 7 - Sale ²⁵
07/02/20	105.000	311.84	Various	304.55	...	7.29	Total of 7 transactions
<i>4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	90.000	267.29	06/16/20	342.00	74.71 W	0.00	1 of 4 - Sale ²⁵
	35.000	103.95	06/18/20	127.40	23.45 W	0.00	2 of 4 - Sale ²⁵
	2.000	5.94	06/18/20	7.28	1.34 W	0.00	3 of 4 - Sale ²⁵
	48.000	142.56	06/18/20	174.73	32.17 W	0.00	4 of 4 - Sale ²⁵
07/02/20	175.000	519.74	Various	651.41	131.67 W	0.00	Total of 4 transactions
<i>2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	48.30	07/28/20	69.61	...	-21.31	1 of 2 - Sale ²⁵
	38.000	61.17	08/06/20	63.45	...	-2.28	2 of 2 - Sale ²⁵
12/08/20	68.000	109.47	Various	133.06	...	-23.59	Total of 2 transactions
	Security total:	1,731.03		1,767.52	131.67 W	95.18	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
07/13/20	200.000	119.98	07/01/20	93.00	...	26.98	Sale ²⁵
08/20/20	100.000	94.00	08/20/20	105.00	...	-11.00	Sale ²⁵
12/14/20	50.000	98.50	12/11/20	95.50	...	3.00	Sale ²⁵
12/16/20	50.000	108.00	12/15/20	92.50	...	15.50	Sale ²⁵
<i>3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	79.000	341.27	12/28/20	344.45	...	-3.18	1 of 3 - Sale ²⁵
	13.000	56.16	12/28/20	58.37	...	-2.21	2 of 3 - Sale ²⁵
	1.000	4.32	12/28/20	4.36	...	-0.04	3 of 3 - Sale ²⁵
12/28/20	93.000	401.75	Various	407.18	...	-5.43	Total of 3 transactions
12/28/20	87.000	375.83	12/28/20	390.63	13.61 W	-1.19	Sale ²⁵
	Security total:	1,198.06		1,183.81	13.61 W	27.86	

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2020 1099-B* OMB No. 1545-0715

(continued)

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GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
07/06/20	400.000	195.95	06/22/20	136.00	...	59.95	Sale ²⁵
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
12/10/20	1.000	101.00	12/09/20	100.00	...	1.00	Sale ²⁵
HTG MOLECULAR DIAGNOSTICS, INC . COMMON STOCK / CUSIP: 40434H104 / Symbol:							
07/07/20	500.000	310.04	05/29/20	280.00	...	30.04	Sale ²⁵
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
04/13/20	50.000	28.80	03/25/20	27.00	...	1.80	Sale ²⁵
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	2.000	39.02	12/14/20	38.54	...	0.48	1 of 2 - Sale ²⁵
	3.000	58.53	12/14/20	57.81	...	0.72	2 of 2 - Sale ²⁵
12/16/20	5.000	97.55	Various	96.35	...	1.20	Total of 2 transactions
HEXO CORP. / CUSIP: 428304109 / Symbol:							
03/26/20	50.000	52.50	03/25/20	34.93	...	17.57	Sale ²⁵
05/18/20	200.000	123.98	04/15/20	97.92	...	26.06	Sale ²⁵
	Security total:	176.48		132.85	...	43.63	
IMAC HOLDINGS, INC. COMMON STO CK / CUSIP: 44967K104 / Symbol:							
19 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	5.000	8.80	03/24/20	17.90	...	-9.10	1 of 19 - Sale ²⁵
	10.000	17.60	03/25/20	26.70	...	-9.10	2 of 19 - Sale ²⁵
	5.000	8.80	03/25/20	11.40	...	-2.60	3 of 19 - Sale ²⁵
	10.000	17.60	03/26/20	21.20	...	-3.60	4 of 19 - Sale ²⁵
	10.000	17.60	03/26/20	21.20	...	-3.60	5 of 19 - Sale ²⁵
	10.000	17.60	03/26/20	20.00	...	-2.40	6 of 19 - Sale ²⁵
	10.000	17.60	03/27/20	20.39	...	-2.79	7 of 19 - Sale ²⁵
	10.000	17.60	03/27/20	20.24	...	-2.64	8 of 19 - Sale ²⁵
	10.000	17.60	03/27/20	19.40	...	-1.80	9 of 19 - Sale ²⁵
	30.000	52.79	04/14/20	52.20	...	0.59	10 of 19 - Sale ²⁵
	35.000	61.59	04/14/20	60.90	...	0.69	11 of 19 - Sale ²⁵

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IMAC HOLDINGS, INC. COMMON STO CK / CUSIP: 44967K104 / Symbol: (cont'd)							
	20.000	35.20	04/14/20	33.97	...	1.23	12 of 19 - Sale ²⁵
	30.000	52.79	04/14/20	51.26	...	1.53	13 of 19 - Sale ²⁵
	30.000	52.79	04/14/20	51.24	...	1.55	14 of 19 - Sale ²⁵
	50.000	87.99	04/15/20	81.50	...	6.49	15 of 19 - Sale ²⁵
	50.000	87.99	04/15/20	81.06	...	6.93	16 of 19 - Sale ²⁵
	50.000	87.99	04/15/20	77.50	...	10.49	17 of 19 - Sale ²⁵
	50.000	87.99	04/17/20	80.50	...	7.49	18 of 19 - Sale ²⁵
	50.000	88.00	04/17/20	80.37	...	7.63	19 of 19 - Sale ²⁵
05/05/20	475.000	835.92	Various	828.93	...	6.99	Total of 19 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
8 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	5.000	7.25	03/04/20	9.03	...	-1.78	1 of 8 - Sale ²⁵
	10.000	14.50	03/10/20	15.40	...	-0.90	2 of 8 - Sale ²⁵
	15.000	21.75	03/12/20	25.80	...	-4.05	3 of 8 - Sale ²⁵
	20.000	29.00	03/12/20	33.00	...	-4.00	4 of 8 - Sale ²⁵
	10.000	14.50	03/12/20	16.26	...	-1.76	5 of 8 - Sale ²⁵
	28.000	40.60	03/23/20	33.88	...	6.72	6 of 8 - Sale ²⁵
	20.000	29.00	03/25/20	20.20	...	8.80	7 of 8 - Sale ²⁵
	30.000	43.49	04/06/20	23.97	...	19.52	8 of 8 - Sale ²⁵
04/28/20	138.000	200.09	Various	177.54	...	22.55	Total of 8 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	18.000	33.84	06/11/20	19.98	...	13.86	1 of 3 - Sale ²⁵
	32.000	60.16	06/11/20	35.52	...	24.64	2 of 3 - Sale ²⁵
	20.000	37.60	06/15/20	21.90	...	15.70	3 of 3 - Sale ²⁵
06/26/20	70.000	131.60	Various	77.40	...	54.20	Total of 3 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	1.88	06/25/20	2.65	0.77 W	0.00	1 of 2 - Sale ²⁵
	46.000	86.47	06/25/20	121.90	35.43 W	0.00	2 of 2 - Sale ²⁵
06/26/20	47.000	88.35	Various	124.55	36.20 W	0.00	Total of 2 transactions

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	200.000	287.97	07/02/20	304.00	...	-16.03	1 of 3 - Sale ²⁵
	100.000	143.99	07/06/20	138.00	...	5.99	2 of 3 - Sale ²⁵
	115.000	165.58	07/29/20	144.33	...	21.25	3 of 3 - Sale ²⁵
08/12/20	415.000	597.54	Various	586.33	...	11.21	Total of 3 transactions
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	70.000	100.79	07/02/20	108.50	5.51 W	-2.20	1 of 4 - Sale ²⁵
	1.000	1.44	07/02/20	2.29	0.85 W	0.00	2 of 4 - Sale ²⁵
	46.000	66.23	07/02/20	105.35	39.12 W	0.00	3 of 4 - Sale ²⁵
	3.000	4.32	07/02/20	4.56	0.24 W	0.00	4 of 4 - Sale ²⁵
08/12/20	120.000	172.78	Various	220.70	45.72 W	-2.20	Total of 4 transactions
6 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	2.62	08/19/20	2.07	...	0.55	1 of 6 - Sale ²⁵
	3.000	7.87	08/19/20	3.90	...	3.97	2 of 6 - Sale ²⁵
	46.000	120.74	08/19/20	95.24	...	25.50	3 of 6 - Sale ²⁵
	1.000	2.62	08/20/20	1.24	...	1.38	4 of 6 - Sale ²⁵
	49.000	128.62	08/20/20	60.77	...	67.85	5 of 6 - Sale ²⁵
	150.000	393.73	11/23/20	388.50	...	5.23	6 of 6 - Sale ²⁵
11/23/20	250.000	656.20	Various	551.72	...	104.48	Total of 6 transactions
12/09/20	2.000	4.14	12/04/20	3.98	...	0.16	Sale ²⁵
	Security total:	1,650.61		1,564.68	81.92 W	167.85	
INMUNE BIO INC. COMMON STOCK / CUSIP: 45782T105 / Symbol:							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	26.000	473.18	07/14/20	532.22	...	-59.04	1 of 3 - Sale ²⁵
	20.000	363.99	07/14/20	393.00	...	-29.01	2 of 3 - Sale ²⁵
	20.000	363.99	07/14/20	360.00	...	3.99	3 of 3 - Sale ²⁵
07/14/20	66.000	1,201.16	Various	1,285.22	...	-84.06	Total of 3 transactions
KEMPHARM, INC. COMMON STOCK / CUSIP: 488445107 / Symbol:							
06/18/20	600.000	143.93	05/19/20	145.20	...	-1.27	Sale ²⁵

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Robinhood Securities LLC

Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	3.000	40.11	07/07/20	38.55	...	1.56	1 of 3 - Sale ²⁵
	3.000	40.11	07/07/20	38.25	...	1.86	2 of 3 - Sale ²⁵
	6.000	80.22	07/07/20	73.02	...	7.20	3 of 3 - Sale ²⁵
07/13/20	12.000	160.44	Various	149.82	...	10.62	Total of 3 transactions
07/13/20	3.000	40.11	07/02/20	43.70	3.59 W	0.00	Sale ²⁵
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	3.000	41.97	07/27/20	40.22	...	1.75	1 of 3 - Sale ²⁵
	15.000	209.85	07/27/20	183.15	...	26.70	2 of 3 - Sale ²⁵
	5.000	69.95	08/05/20	58.85	...	11.10	3 of 3 - Sale ²⁵
08/18/20	23.000	321.77	Various	282.22	...	39.55	Total of 3 transactions
	Security total:	522.32		475.74	3.59 W	50.17	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	3.36	05/28/20	2.22	...	1.14	1 of 3 - Sale ²⁵
	14.000	47.04	05/28/20	31.08	...	15.96	2 of 3 - Sale ²⁵
	15.000	50.70	05/28/20	33.30	...	17.40	3 of 3 - Sale ²⁵
06/04/20	30.000	101.10	Various	66.60	...	34.50	Total of 3 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
06/09/20	100.000	329.99	05/13/20	142.67	...	187.32	Sale ²⁵
06/10/20	100.000	276.32	05/13/20	142.67	...	133.65	Sale ²⁵
07/02/20	100.000	249.99	06/15/20	235.00	...	14.99	Sale ²⁵
	Security total:	856.30		520.34	...	335.96	
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
12/28/20	100.000	53.99	12/11/20	54.00	...	-0.01	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	8.000	649.21	07/14/20	704.80	55.59 W	0.00	1 of 2 - Sale ²⁵
	7.000	568.05	07/14/20	616.70	48.65 W	0.00	2 of 2 - Sale ²⁵
07/15/20	15.000	1,217.26	Various	1,321.50	104.24 W	0.00	Total of 2 transactions

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(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	8.000	650.14	07/17/20	812.39	...	-162.25	1 of 2 - Sale ²⁵
	7.000	568.88	07/17/20	710.85	...	-141.97	2 of 2 - Sale ²⁵
07/20/20	15.000	1,219.02	Various	1,523.24	...	-304.22	Total of 2 transactions
	Security total:	2,436.28		2,844.74	104.24 W	-304.22	
MYOS RENS TECHNOLOGY INC. COMM ON STOCK / CUSIP: 62857P108 / Symbol:							
07/01/20	82.000	258.29	07/01/20	258.30	...	-0.01	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	5.000	220.00	12/14/20	202.50	...	17.50	1 of 2 - Sale ²⁵
	5.000	223.75	12/14/20	202.50	...	21.25	2 of 2 - Sale ²⁵
12/16/20	10.000	443.75	Various	405.00	...	38.75	Total of 2 transactions
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
12/30/20	46.000	446.20	12/23/20	467.13	9.10 W	-11.83	Sale ²⁵
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
06/10/20	50.000	139.13	05/29/20	105.38	...	33.75	Sale ²⁵
12/28/20	50.000	185.25	12/18/20	180.00	...	5.25	Sale ²⁵
	Security total:	324.38		285.38	...	39.00	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
08/03/20	10.000	333.50	07/20/20	388.90	55.40 W	0.00	Sale ²⁵
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	354.49	07/24/20	366.90	...	-12.41	1 of 2 - Sale ²⁵
	10.000	354.49	07/30/20	292.24	...	62.25	2 of 2 - Sale ²⁵
08/05/20	20.000	708.98	Various	659.14	...	49.84	Total of 2 transactions
	Security total:	1,042.48		1,048.04	55.40 W	49.84	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
12/23/20	100.000	233.49	12/23/20	225.00	...	8.49	Sale ²⁵

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(continued)

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OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
6 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	1.000	4.70	03/16/20	4.50	...	0.20	1 of 6 - Sale ²⁵
	1.000	4.09	03/16/20	3.75	...	0.34	2 of 6 - Sale ²⁵
	8.000	32.72	03/16/20	32.00	...	0.72	3 of 6 - Sale ²⁵
	1.000	4.50	03/16/20	3.45	...	1.05	4 of 6 - Sale ²⁵
	9.000	42.30	03/16/20	40.50	...	1.80	5 of 6 - Sale ²⁵
03/16/20	49.000	220.50	03/16/20	169.05	...	51.45	6 of 6 - Sale ²⁵
	69.000	308.81	Various	253.25	...	55.56	Total of 6 transactions
4 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	1.000	4.20	03/16/20	4.45	0.25 W	0.00	1 of 4 - Sale ²⁵
	1.000	4.20	03/16/20	4.70	0.50 W	0.00	2 of 4 - Sale ²⁵
	8.000	33.60	03/16/20	35.60	2.00 W	0.00	3 of 4 - Sale ²⁵
	1.000	4.09	03/16/20	4.25	0.16 W	0.00	4 of 4 - Sale ²⁵
03/16/20	11.000	46.09	Various	49.00	2.91 W	0.00	Total of 4 transactions
8 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	9.000	17.46	03/16/20	37.35	...	-19.89	1 of 8 - Sale ²⁵
	1.000	1.94	03/16/20	4.31	...	-2.37	2 of 8 - Sale ²⁵
	10.000	19.40	03/25/20	27.70	...	-8.30	3 of 8 - Sale ²⁵
	50.000	97.00	04/17/20	121.50	...	-24.50	4 of 8 - Sale ²⁵
	50.000	97.00	04/17/20	121.50	...	-24.50	5 of 8 - Sale ²⁵
	29.000	56.26	04/20/20	66.41	...	-10.15	6 of 8 - Sale ²⁵
	30.000	58.20	04/20/20	67.50	...	-9.30	7 of 8 - Sale ²⁵
	1.000	1.94	04/20/20	2.30	...	-0.36	8 of 8 - Sale ²⁵
06/01/20	180.000	349.20	Various	448.57	...	-99.37	Total of 8 transactions
	Security total:	704.10		750.82	2.91 W	-43.81	
OPES ACQUISITION CORP. COMMON STOCK / CUSIP: 68373P100 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	9.000	108.85	06/23/20	145.53	...	-36.68	1 of 2 - Sale ²⁵
	1.000	12.10	06/23/20	16.17	...	-4.07	2 of 2 - Sale ²⁵
07/20/20	10.000	120.95	Various	161.70	...	-40.75	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
05/04/20	300.000	185.96	04/17/20	118.44	...	67.52	Sale ²⁵
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	100.000	162.12	05/13/20	119.00	...	43.12	1 of 2 - Sale ²⁵
	100.000	162.12	05/13/20	119.00	...	43.12	2 of 2 - Sale ²⁵
05/18/20	200.000	324.24	Various	238.00	...	86.24	Total of 2 transactions
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	150.000	292.48	06/29/20	457.50	...	-165.02	1 of 3 - Sale ²⁵
	50.000	97.49	06/29/20	152.50	...	-55.01	2 of 3 - Sale ²⁵
	50.000	97.50	06/29/20	152.50	...	-55.00	3 of 3 - Sale ²⁵
06/30/20	250.000	487.47	Various	762.50	...	-275.03	Total of 3 transactions
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	100.000	26.94	05/01/20	24.51	...	2.43	1 of 6 - Sale ²⁵
	200.000	53.88	05/01/20	49.02	...	4.86	2 of 6 - Sale ²⁵
	700.000	188.59	05/01/20	173.60	...	14.99	3 of 6 - Sale ²⁵
	200.000	53.89	05/05/20	44.02	...	9.87	4 of 6 - Sale ²⁵
	300.000	80.83	05/06/20	57.06	...	23.77	5 of 6 - Sale ²⁵
	500.000	134.71	05/06/20	95.09	...	39.62	6 of 6 - Sale ²⁵
05/13/20	2,000.000	538.84	Various	443.30	...	95.54	Total of 6 transactions
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
5 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	79.000	304.93	11/18/20	342.86	...	-37.93	1 of 5 - Sale ²⁵
	1.000	3.86	11/18/20	4.35	...	-0.49	2 of 5 - Sale ²⁵
	10.000	43.50	11/18/20	40.10	...	3.40	3 of 5 - Sale ²⁵
	37.000	160.95	11/18/20	148.27	...	12.68	4 of 5 - Sale ²⁵
	50.000	217.49	11/18/20	200.25	...	17.24	5 of 5 - Sale ²⁵
11/18/20	177.000	730.73	Various	735.83	...	-5.10	Total of 5 transactions

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(continued)

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PLANTRONICS, INC. / CUSIP: 727493108 / Symbol:							
05/13/20	1.000	13.61	02/12/20	15.01	...	-1.40	Sale ²⁵
QEP RESOURCES, INC. / CUSIP: 74733V100 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	221.000	182.18	05/06/20	170.17	...	12.01	1 of 2 - Sale ²⁵
	3.000	2.48	05/13/20	2.11	...	0.37	2 of 2 - Sale ²⁵
05/18/20	224.000	184.66	Various	172.28	...	12.38	Total of 2 transactions
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:							
03/24/20	5.000	1.81	03/16/20	1.02	...	0.79	Sale ²⁵
05/05/20	200.000	91.64	04/15/20	58.00	...	33.64	Sale ²⁵
	Security total:	93.45		59.02	...	34.43	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	50.000	110.00	12/02/20	81.00	...	29.00	1 of 2 - Sale ²⁵
	150.000	329.98	12/02/20	243.00	...	86.98	2 of 2 - Sale ²⁵
12/04/20	200.000	439.98	Various	324.00	...	115.98	Total of 2 transactions
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	226.000	440.67	12/04/20	542.40	94.98 W	-6.75	1 of 3 - Sale ²⁵
	64.000	124.79	12/04/20	153.60	28.81 W	0.00	2 of 3 - Sale ²⁵
	10.000	19.50	12/04/20	24.00	4.50 W	0.00	3 of 3 - Sale ²⁵
12/08/20	300.000	584.96	Various	720.00	128.29 W	-6.75	Total of 3 transactions
	Security total:	1,024.94		1,044.00	128.29 W	109.23	
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	2.000	60.50	12/29/20	57.70	...	2.80	1 of 4 - Sale ²⁵
	3.000	93.00	12/29/20	86.56	...	6.44	2 of 4 - Sale ²⁵
	2.000	62.00	12/29/20	54.00	...	8.00	3 of 4 - Sale ²⁵
	5.000	154.99	12/29/20	137.00	...	17.99	4 of 4 - Sale ²⁵
12/30/20	12.000	370.49	Various	335.26	...	35.23	Total of 4 transactions
12/30/20	10.000	309.99	12/28/20	328.50	9.26 W	-9.25	Sale ²⁵
	Security total:	680.48		663.76	9.26 W	25.98	

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SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	53.000	144.95	06/23/20	153.17	...	-8.22	1 of 4 - Sale ²⁵
	31.000	84.78	06/23/20	89.59	...	-4.81	2 of 4 - Sale ²⁵
	14.000	38.29	06/23/20	40.46	...	-2.17	3 of 4 - Sale ²⁵
	2.000	5.47	06/23/20	5.78	...	-0.31	4 of 4 - Sale ²⁵
07/20/20	100.000	273.49	Various	289.00	...	-15.51	Total of 4 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
6 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	100.000	42.48	05/21/20	45.30	...	-2.82	1 of 6 - Sale ²⁵
	100.000	42.49	05/21/20	45.30	...	-2.81	2 of 6 - Sale ²⁵
	100.000	42.49	05/21/20	45.30	...	-2.81	3 of 6 - Sale ²⁵
	100.000	42.49	05/21/20	45.30	...	-2.81	4 of 6 - Sale ²⁵
	100.000	42.49	05/21/20	45.30	...	-2.81	5 of 6 - Sale ²⁵
	100.000	42.49	06/12/20	40.12	...	2.37	6 of 6 - Sale ²⁵
07/13/20	600.000	254.93	Various	266.62	...	-11.69	Total of 6 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
04/06/20	1.000	12.00	03/10/20	11.95	...	0.05	Sale ²⁵
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
03/17/20	1.000	2.47	01/22/20	1.70	...	0.77	Sale ²⁵
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	8.000	103.76	08/03/20	95.44	...	8.32	1 of 3 - Sale ²⁵
	10.000	129.70	08/03/20	119.75	...	9.95	2 of 3 - Sale ²⁵
	5.000	64.85	08/05/20	59.15	...	5.70	3 of 3 - Sale ²⁵
08/24/20	23.000	298.31	Various	274.34	...	23.97	Total of 3 transactions
SUNPOWER CORPORATION COMMON STOCK / CUSIP: 867652406 / Symbol:							
07/13/20	1.000	9.42	02/12/20	10.23	...	-0.81	Sale ²⁵

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Robinhood Securities LLC

Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	9.70	12/16/20	9.65	...	0.05	1 of 2 - Sale ²⁵
	46.000	446.20	12/16/20	443.90	...	2.30	2 of 2 - Sale ²⁵
12/16/20	47.000	455.90	Various	453.55	...	2.35	Total of 2 transactions
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	330.00	06/24/20	161.15	...	168.85	1 of 2 - Sale ²⁵
	15.000	450.00	06/24/20	241.73	...	208.27	2 of 2 - Sale ²⁵
06/29/20	25.000	780.00	Various	402.88	...	377.12	Total of 2 transactions
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	4.000	106.68	07/07/20	92.00	...	14.68	1 of 2 - Sale ²⁵
	5.000	133.35	07/07/20	116.50	...	16.85	2 of 2 - Sale ²⁵
07/13/20	9.000	240.03	Various	208.50	...	31.53	Total of 2 transactions
	Security total:	1,020.03		611.38	...	408.65	
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	0.91	05/29/20	0.87	...	0.04	1 of 3 - Sale ²⁵
	99.000	90.08	05/29/20	86.19	...	3.89	2 of 3 - Sale ²⁵
	100.000	90.99	05/29/20	87.06	...	3.93	3 of 3 - Sale ²⁵
06/05/20	200.000	181.98	Various	174.12	...	7.86	Total of 3 transactions
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	5.000	45.00	12/11/20	24.50	...	20.50	1 of 4 - Sale ²⁵
	5.000	45.50	12/11/20	24.50	...	21.00	2 of 4 - Sale ²⁵
	10.000	101.29	12/11/20	49.00	...	52.29	3 of 4 - Sale ²⁵
	50.000	506.48	12/11/20	258.00	...	248.48	4 of 4 - Sale ²⁵
12/14/20	70.000	698.27	Various	356.00	...	342.27	Total of 4 transactions
8 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	10.000	95.00	12/15/20	92.10	...	2.90	1 of 8 - Sale ²⁵
	15.000	152.54	12/16/20	159.45	...	-6.91	2 of 8 - Sale ²⁵

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Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol: (cont'd)							
	5.000	50.85	12/16/20	52.75	...	-1.90	3 of 8 - Sale ²⁵
	9.000	91.53	12/16/20	93.15	...	-1.62	4 of 8 - Sale ²⁵
	10.000	101.70	12/16/20	102.00	...	-0.30	5 of 8 - Sale ²⁵
	1.000	10.17	12/16/20	10.35	...	-0.18	6 of 8 - Sale ²⁵
	10.000	101.69	12/16/20	99.00	...	2.69	7 of 8 - Sale ²⁵
	20.000	203.39	12/16/20	193.60	...	9.79	8 of 8 - Sale ²⁵
12/16/20	80.000	806.87	Various	802.40	...	4.47	Total of 8 transactions
12/16/20	15.000	142.50	12/15/20	143.70	1.20 W	0.00	Sale ²⁵
	Security total:	1,647.64		1,302.10	1.20 W	346.74	
VIRIOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92829J104 / Symbol:							
12/22/20	10.000	89.80	12/17/20	155.00	...	-65.20	Sale ²⁵
VIVUS, INC. COMMON STOCK / CUSIP: 928551308 / Symbol:							
07/13/20	50.000	22.54	04/02/20	70.00	...	-47.46	Sale ²⁵
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
06/05/20	350.000	332.46	05/29/20	241.50	...	90.96	Sale ²⁵
WHOLE EARTH BRANDS, INC. CLASS A COMMON STOCK / CUSIP: 96684W100 / Symbol:							
07/14/20	10.000	78.00	06/19/20	105.50	...	-27.50	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
07/01/20	37.000	722.22	06/30/20	717.06	...	5.16	Sale ²⁵
07/02/20	92.000	1,931.94	07/02/20	1,918.20	...	13.74	Sale ²⁵
07/02/20	1.000	21.00	07/02/20	21.75	0.75 W	0.00	Sale ²⁵
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	19.000	267.37	07/06/20	359.10	...	-91.73	1 of 2 - Sale ²⁵
	1.000	14.07	07/06/20	19.65	...	-5.58	2 of 2 - Sale ²⁵
07/20/20	20.000	281.44	Various	378.75	...	-97.31	Total of 2 transactions
12/03/20	30.000	632.98	12/02/20	594.00	...	38.98	Sale ²⁵
12/09/20	10.000	213.00	12/08/20	203.50	...	9.50	Sale ²⁵

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Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	20.000	432.00	12/17/20	419.00	...	13.00	1 of 2 - Sale ²⁵
	1.000	21.60	12/21/20	20.29	...	1.31	2 of 2 - Sale ²⁵
12/22/20	21.000	453.60	Various	439.29	...	14.31	Total of 2 transactions
	Security total:	4,256.18		4,272.55	0.75 W	-15.62	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	460.000	46.46	08/27/20	55.20	...	-8.74	1 of 2 - Sale ²⁵
	40.000	4.04	08/27/20	8.75	...	-4.71	2 of 2 - Sale ²⁵
09/16/20	500.000	50.50	Various	63.95	...	-13.45	Total of 2 transactions
09/16/20	400.000	40.39	06/18/20	79.92	3.95 W	-35.58	Sale ²⁵
	Security total:	90.89		143.87	3.95 W	-49.03	
HUDSON CAPITAL INC. ORDINARY S HARES / CUSIP: G4645C109 / Symbol:							
05/26/20	1.000	0.42	05/20/20	0.42	...	0.00	Sale ²⁵
4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	199.000	155.20	05/20/20	83.58	...	71.62	1 of 4 - Sale ²⁵
	300.000	225.56	05/20/20	126.00	...	99.56	2 of 4 - Sale ²⁵
	100.000	77.99	05/26/20	42.44	...	35.55	3 of 4 - Sale ²⁵
	100.000	77.98	05/26/20	40.42	...	37.56	4 of 4 - Sale ²⁵
05/28/20	699.000	536.73	Various	292.44	...	244.29	Total of 4 transactions
	Security total:	537.15		292.86	...	244.29	
LIANLUO SMART LIMITED CLASS A COMMON STOCK / CUSIP: G5478K100 / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	100.000	65.99	07/02/20	65.80	...	0.19	1 of 2 - Sale ²⁵
	100.000	65.99	07/02/20	64.50	...	1.49	2 of 2 - Sale ²⁵
07/20/20	200.000	131.98	Various	130.30	...	1.68	Total of 2 transactions
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
05/26/20	500.000	459.94	05/04/20	262.45	...	197.49	Sale ²⁵
07/16/20	150.000	133.48	06/19/20	129.75	...	3.73	Sale ²⁵
	Security total:	593.42		392.20	...	201.22	

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Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFIN ACQUISITION CORP. CLASS A ORDINARY SHARES / CUSIP: G6455A107 / Symbol:							
07/13/20	5.000	54.50	07/01/20	53.45	...	1.05	Sale ²⁵
07/15/20	15.000	160.80	07/01/20	160.35	...	0.45	Sale ²⁵
	Security total:	215.30		213.80	...	1.50	
NOBLE CORP PLC COMMON STOCK / CUSIP: G65431101 / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	202.39	05/06/20	207.00	...	-4.61	1 of 2 - Sale ²⁵
	100.000	22.49	05/06/20	23.00	...	-0.51	2 of 2 - Sale ²⁵
06/05/20	1,000.000	224.88	Various	230.00	...	-5.12	Total of 2 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
<i>2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	32.64	05/13/20	19.82	...	12.82	1 of 2 - Sale ²⁵
	10.000	163.20	05/13/20	104.09	...	59.11	2 of 2 - Sale ²⁵
07/15/20	12.000	195.84	Various	123.91	...	71.93	Total of 2 transactions
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	165.07	04/27/20	160.04	...	5.03	1 of 2 - Sale ²⁵
	100.000	41.27	05/04/20	38.75	...	2.52	2 of 2 - Sale ²⁵
06/05/20	500.000	206.34	Various	198.79	...	7.55	Total of 2 transactions
VG ACQUISITION CORP. / CUSIP: G9446E105 / Symbol:							
<i>3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.00	12/07/20	11.00	...	1.00	1 of 3 - Sale ²⁵
	4.000	48.00	12/07/20	44.00	...	4.00	2 of 3 - Sale ²⁵
	5.000	60.00	12/07/20	55.00	...	5.00	3 of 3 - Sale ²⁵
12/09/20	10.000	120.00	Various	110.00	...	10.00	Total of 3 transactions
<i>2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	124.50	12/14/20	116.50	...	8.00	1 of 2 - Sale ²⁵
	10.000	124.50	12/15/20	112.50	...	12.00	2 of 2 - Sale ²⁵
12/28/20	20.000	249.00	Various	229.00	...	20.00	Total of 2 transactions
	Security total:	369.00		339.00	...	30.00	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENTERA BIO LTD. ORDINARY SHARE S / CUSIP: M40527109 / Symbol:							
12/22/20	4.000	4.17	12/16/20	4.04	...	0.13	Sale ²⁵
RADWARE LTD. ORDINARY SHARES / CUSIP: M81873107 / Symbol:							
03/12/20	2.000	40.19	02/13/20	45.68	...	-5.49	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
<i>2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	14.56	09/29/20	11.60	...	2.96	1 of 2 - Sale ²⁵
	400.000	58.31	09/29/20	46.40	...	11.91	2 of 2 - Sale ²⁵
11/18/20	500.000	72.87	Various	58.00	...	14.87	Total of 2 transactions
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
10/26/20	170.000	24.24	06/24/20	68.65	...	-44.41	Sale ²⁵
SEANERGY MARITIME HOLDINGS CORP COMMON STOCK / CUSIP: Y73760194 / Symbol:							
<i>3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	5.500	13.20	05/05/20	11.66	...	1.54	1 of 3 - Sale ²⁵
	6.250	15.00	05/05/20	13.25	...	1.75	2 of 3 - Sale ²⁵
	31.250	75.00	05/28/20	63.75	...	11.25	3 of 3 - Sale ²⁵
07/01/20	43.000	103.20	Various	88.66	...	14.54	Total of 3 transactions
SEANERGY MARITIME HOLDINGS CORP COMMON STOCK / CUSIP: Y73760301 / Symbol:							
<i>2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	60.08	05/05/20	39.75	...	20.33	1 of 2 - Sale ²⁵
	500.000	100.14	05/05/20	66.25	...	33.89	2 of 2 - Sale ²⁵
06/09/20	800.000	160.22	Various	106.00	...	54.22	Total of 2 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
06/09/20	300.000	60.65	04/15/20	59.82	...	0.83	Sale ²⁵
<i>2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	101.09	05/01/20	107.50	6.41 W	0.00	1 of 2 - Sale ²⁵
	200.000	40.44	05/05/20	40.60	0.16 W	0.00	2 of 2 - Sale ²⁵
06/09/20	700.000	141.53	Various	148.10	6.57 W	0.00	Total of 2 transactions
	Security total:	202.18		207.92	6.57 W	0.83	

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Account 611964776

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	20.000	25.50	05/15/20	80.41	...	-54.91	1 of 3 - Sale ²⁵
	12.000	15.30	05/28/20	40.50	...	-25.20	2 of 3 - Sale ²⁵
	8.000	10.20	05/28/20	27.16	...	-16.96	3 of 3 - Sale ²⁵
08/28/20	40.000	51.00	Various	148.07	...	-97.07	Total of 3 transactions
Totals :		52,277.51		50,822.57	712.44 W	2,167.38	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol:							
03/24/20	1.000	45.04	02/01/19	39.36	...	5.68	Sale ²⁵
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
07/14/20	1.000	4.83	07/01/19	5.26	...	-0.43	Sale ²⁵
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
03/26/20	1.000	5.18	02/05/19	6.05	...	-0.87	Sale ²⁵
SNAP INC. / CUSIP: 83304A106 / Symbol:							
04/06/20	1.000	12.00	02/05/19	7.00	...	5.00	Sale ²⁵
Totals :		67.05		57.67		9.38	

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Robinhood Securities LLC

Account 611964776

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
04/27/20	0.005	0.08	08/19/19	1.44	...	-1.36	Gain or loss
WEATHERFORD INTERNATIONAL PLC ORDINARY SHARES NEW (IRELAND) / CUSIP: G48833118 / Symbol:							
01/15/20	0.003	0.10	02/04/19	4.23	...	-4.13	Gain or loss
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
07/07/20	0.750	1.60	05/05/20	1.59	...	0.01	Gain or loss
Totals:		1.78		7.26	...	-5.48	

Robinhood Securities LLC

Account 611964776

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	0.62	Qualified dividend	
APPLIED MATERIALS, INC. COMMON STOCK	038222105		03/11/20	0.21	Qualified dividend	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		12/30/20	0.07	Qualified dividend	
PLANTRONICS, INC.	727493108		03/10/20	0.15	Qualified dividend	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
				1.06	Total Dividends & distributions	

Robinhood Securities LLC

Account 611964776

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		06/30/20	0.03	Interest	
		07/28/20	0.06	Interest	
		07/31/20	0.04	Interest	
		08/31/20	0.04	Interest	
		09/30/20	0.02	Interest	
		11/30/20	0.05	Interest	
		12/17/20	0.07	Interest	
		12/31/20	0.13	Interest	
			0.44	Total Interest	
			0.44	Total Interest	

Robinhood Securities LLC

Account 611964776

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/13/20	-5.00	Margin interest paid	
		05/11/20	-5.00	Margin interest paid	
		06/10/20	-5.00	Margin interest paid	
		07/10/20	-5.00	Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/08/20	-5.00	Margin interest paid	
		11/09/20	-5.00	Margin interest paid	
		12/07/20	-5.00	Margin interest paid	
			-45.00	Total Margin interest paid	
			-45.00	Total Margin interest paid	

Robinhood Securities LLC

Account 611964776

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CANOO INC. CLASS A COMMON STOC K	13803R102	12/22/20	75.00	0.00	Exchange	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK	42589C104	12/22/20	-75.00	0.00	Exchange	
RMG ACQUISITION CORP. CLASS A COMMON STOCK	749641106	12/30/20	-22.00	0.00	Exchange	
ROMEO POWER, INC.	776153108	12/29/20	22.00	0.00	Exchange	
WHOLE EARTH BRANDS, INC. CLASS A COMMON STOCK	96684W100	06/25/20	10.00	0.00	Exchange	
ACT II GLOBAL ACQUISITION CORP . CLASS A ORDINARY SHARES	G0080J104	06/25/20	-10.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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