

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 134300896</b>	Statement Date: 02/14/2021 Document ID: C8VE Y5M GH45	2020
	Gurusainadhareddy Chilakala 2401 Bottlebrush Dr Prosper, TX 75078  RECIPIENT'S TIN: XXX-XX-1694		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	924,496.83	1,087,003.25	0.00	110,968.37	-51,538.05
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>924,496.83</b>	<b>1,087,003.25</b>	<b>0.00</b>	<b>110,968.37</b>	<b>-51,538.05</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>924,496.83</b>	<b>1,087,003.25</b>	<b>0.00</b>	<b>110,968.37</b>	<b>-51,538.05</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 134300896

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	66.13
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAON 05/15/2020 CALL \$45.00 / CUSIP: / Symbol:							
04/21/20	1.000	234.98	04/20/20	400.00	...	-165.02	Option sale to close-call <sup>25</sup>
AAPL 09/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	81.99	09/03/20	515.00	...	-433.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	81.99	09/03/20	510.00	...	-428.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/11/20	2.000	163.98	Various	1,025.00	...	-861.02	Total of 2 transactions
AAPL 10/16/2020 CALL \$102.50 / CUSIP: / Symbol:							
09/22/20	1.000	1,094.96	09/18/20	850.00	...	244.96	Option sale to close-call <sup>25</sup>
AAXN 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/25/20	12.000	2,879.91	06/16/20	6,120.00	...	-3,240.09	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 06/26/2020 CALL \$410.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	1,224.97	06/08/20	555.00	...	669.97	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	2,449.93	06/11/20	1,370.00	...	1,079.93	2 of 2 - Option sale to close-call <sup>25</sup>
06/17/20	3.000	3,674.90	Various	1,925.00	...	1,749.90	Total of 2 transactions
ADBE 10/09/2020 CALL \$515.00 / CUSIP: / Symbol:							
09/15/20	1.000	1,873.94	09/11/20	1,650.00	...	223.94	Option sale to close-call <sup>25</sup>
09/21/20	1.000	257.98	09/16/20	2,282.02	...	-2,024.04	Option sale to close-call <sup>25</sup>
09/21/20	1.000	257.98	09/11/20	1,270.00	1,012.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,389.90</b>		<b>5,202.02</b>	<b>1,012.02 W</b>	<b>-1,800.10</b>	
ADI 05/29/2020 CALL \$113.00 / CUSIP: / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	8.000	1,759.94	05/19/20	1,720.00	...	39.94	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	2,199.93	05/19/20	1,000.00	...	1,199.93	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/20	18.000	3,959.87	Various	2,720.00	...	1,239.87	Total of 2 transactions
ALLK 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/29/20	1.000	589.97	09/28/20	530.00	...	59.97	Option sale to close-call <sup>25</sup>
AMAT 05/29/2020 CALL \$53.00 / CUSIP: / Symbol:							
05/27/20	10.000	3,249.90	05/14/20	1,530.00	...	1,719.90	Option sale to close-call <sup>25</sup>
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	5.000	1,774.95	05/14/20	1,500.00	...	274.95	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	1,774.95	05/14/20	765.00	...	1,009.95	2 of 2 - Option sale to close-call <sup>25</sup>
05/28/20	10.000	3,549.90	Various	2,265.00	...	1,284.90	Total of 2 transactions
	<b>Security total:</b>	<b>6,799.80</b>		<b>3,795.00</b>	...	<b>3,004.80</b>	
AMD 07/31/2020 CALL \$54.00 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	22.000	5,499.84	06/12/20	10,230.00	...	-4,730.16	1 of 2 - Option sale to close-call <sup>25</sup>
	30.000	7,499.77	06/15/20	13,350.00	...	-5,850.23	2 of 2 - Option sale to close-call <sup>25</sup>
06/26/20	52.000	12,999.61	Various	23,580.00	...	-10,580.39	Total of 2 transactions

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMGN 09/04/2020 CALL \$282.50 / CUSIP: / Symbol:							
08/26/20	2.000	49.98	08/26/20	54.00	...	-4.02	Option sale to close-call <sup>25</sup>
AMGN 09/18/2020 CALL \$252.50 / CUSIP: / Symbol:							
09/11/20	1.000	107.98	09/03/20	570.00	...	-462.02	Option sale to close-call <sup>25</sup>
AMGN 10/09/2020 CALL \$247.50 / CUSIP: / Symbol:							
09/25/20	1.000	369.98	09/21/20	385.00	...	-15.02	Option sale to close-call <sup>25</sup>
AMZN 07/10/2020 CALL \$3,040.00 / CUSIP: / Symbol:							
	6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	5,799.87	07/07/20	5,325.00	...	474.87	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5,799.87	07/07/20	5,325.00	...	474.87	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5,799.87	07/07/20	4,360.00	...	1,439.87	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5,799.87	07/07/20	3,830.00	...	1,969.87	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5,799.87	07/07/20	3,705.00	...	2,094.87	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	5,799.87	07/07/20	3,705.00	...	2,094.87	6 of 6 - Option sale to close-call <sup>25</sup>
07/08/20	6.000	34,799.22	Various	26,250.00	...	8,549.22	Total of 6 transactions
AMZN 08/07/2020 CALL \$3,280.00 / CUSIP: / Symbol:							
	9 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	999.98	07/09/20	19,745.04	...	-18,745.06	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.98	07/09/20	19,745.03	...	-18,745.05	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.97	07/09/20	19,025.04	...	-18,025.07	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.98	07/09/20	19,025.03	...	-18,025.05	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.97	07/14/20	21,045.03	...	-20,045.06	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.97	07/15/20	30,045.07	...	-29,045.10	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.98	07/15/20	20,700.04	...	-19,700.06	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.98	07/23/20	6,970.00	...	-5,970.02	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	999.97	07/27/20	5,385.00	...	-4,385.03	9 of 9 - Option sale to close-call <sup>25</sup>
08/03/20	9.000	8,999.78	Various	161,685.28	...	-152,685.50	Total of 9 transactions
	6 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	1.000	1,054.96	07/09/20	10,400.00	9,345.04 W	0.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,054.96	07/09/20	10,400.00	9,345.04 W	0.00	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	2,109.94	07/09/20	20,800.00	18,690.06 W	0.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	2,109.94	07/09/20	20,800.00	18,690.06 W	0.00	4 of 6 - Option sale to close-call <sup>25</sup>

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Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 08/07/2020 CALL \$3,280.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1,054.96	07/09/20	10,400.00	9,345.04 W	0.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	1,054.96	07/09/20	19,745.03	18,690.07 W	0.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/03/20	8.000	8,439.72	Various	92,545.03	84,105.31 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>17,439.50</b>		<b>254,230.31</b>	<b>84,105.31 W</b>	<b>-152,685.50</b>	
AMZN 08/28/2020 CALL \$3,020.00 / CUSIP: / Symbol:							
08/04/20	1.000	16,624.62	08/03/20	17,650.00	...	-1,025.38	Option sale to close-call <sup>25</sup>
ATVI 08/21/2020 CALL \$82.00 / CUSIP: / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	103.98	08/07/20	222.00	...	-118.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	103.98	08/07/20	222.00	...	-118.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/17/20	2.000	207.96	Various	444.00	...	-236.04	Total of 2 transactions
ATVI 08/21/2020 CALL \$84.00 / CUSIP: / Symbol:							
6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	9.000	2,420.93	08/05/20	5,904.07	...	-3,483.14	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	268.98	08/05/20	656.01	...	-387.03	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	537.98	08/05/20	720.00	...	-182.02	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	268.98	08/05/20	360.00	...	-91.02	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	268.98	08/05/20	360.00	...	-91.02	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	268.99	08/05/20	360.00	...	-91.01	6 of 6 - Option sale to close-call <sup>25</sup>
08/05/20	15.000	4,034.84	Various	8,360.08	...	-4,325.24	Total of 6 transactions
08/05/20	10.000	2,689.92	08/04/20	5,650.00	2,960.08 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>6,724.76</b>		<b>14,010.08</b>	<b>2,960.08 W</b>	<b>-4,325.24</b>	
ATVI 08/21/2020 CALL \$91.00 / CUSIP: / Symbol:							
08/05/20	1.000	61.98	08/04/20	230.00	...	-168.02	Option sale to close-call <sup>25</sup>
AVGO 07/10/2020 CALL \$300.00 / CUSIP: / Symbol:							
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1,919.94	06/15/20	1,070.00	...	849.94	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	5,759.86	06/15/20	3,210.00	...	2,549.86	2 of 4 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVGO 07/10/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	3.000	5,759.86	06/15/20	3,210.00	...	2,549.86	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	5,759.87	06/15/20	3,210.00	...	2,549.87	4 of 4 - Option sale to close-call <sup>25</sup>
07/02/20	10.000	19,199.53	Various	10,700.00	...	8,499.53	Total of 4 transactions
AYX 05/15/2020 CALL \$115.00 / CUSIP: / Symbol:							
04/23/20	1.000	969.96	04/21/20	980.00	...	-10.04	Option sale to close-call <sup>25</sup>
AZO 06/12/2020 CALL \$1,120.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	509.98	05/22/20	8,150.03	...	-7,640.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	509.98	05/22/20	3,890.00	...	-3,380.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/12/20	2.000	1,019.96	Various	12,040.03	...	-11,020.07	Total of 2 transactions
06/12/20	1.000	509.97	05/22/20	3,890.00	3,380.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,529.93</b>		<b>15,930.03</b>	<b>3,380.03 W</b>	<b>-11,020.07</b>	
BABA 05/29/2020 CALL \$215.00 / CUSIP: / Symbol:							
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	05/11/20	7,500.00	...	-7,500.00	1 of 4 - Option expiration- long call
	5.000	0.00	05/12/20	1,700.00	...	-1,700.00	2 of 4 - Option expiration- long call
	5.000	0.00	05/20/20	4,050.00	...	-4,050.00	3 of 4 - Option expiration- long call
	20.000	0.00	05/22/20	2,120.00	...	-2,120.00	4 of 4 - Option expiration- long call
05/29/20	50.000	0.00	Various	15,370.00	...	-15,370.00	Total of 4 transactions
BABA 07/31/2020 CALL \$267.50 / CUSIP: / Symbol:							
07/09/20	10.000	7,749.80	07/09/20	8,500.00	...	-750.20	Option sale to close-call <sup>25</sup>
BAC 05/01/2020 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	2.000	445.98	04/16/20	272.00	...	173.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	445.98	04/16/20	272.00	...	173.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/27/20	4.000	891.96	Various	544.00	...	347.96	Total of 2 transactions
BBY 05/29/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/29/20	5.000	0.00	05/20/20	1,525.00	...	-1,525.00	Option expiration- long call

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BIIB 04/24/2020 CALL \$305.00 / CUSIP: / Symbol:							
04/07/20	1.000	2,079.94	04/01/20	1,310.00	...	769.94	Option sale to close-call <sup>25</sup>
BJ 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/22/20	5.000	3,599.91	05/20/20	800.00	...	2,799.91	Option sale to close-call <sup>25</sup>
BJ 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
08/03/20	7.000	349.98	07/15/20	700.00	...	-350.02	Option sale to close-call <sup>25</sup>
BJ 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/21/20	1.000	639.97	08/19/20	540.00	...	99.97	Option sale to close-call <sup>25</sup>
BL 05/15/2020 CALL \$65.00 / CUSIP: / Symbol:							
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	04/30/20	147.00	...	-52.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	95.00	04/30/20	147.00	...	-52.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	94.99	05/05/20	25.00	...	69.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/06/20	3.000	284.98	Various	319.00	...	-34.02	Total of 3 transactions
BL 08/21/2020 CALL \$80.00 / CUSIP: / Symbol:							
08/17/20	2.000	59.98	08/07/20	570.00	...	-510.02	Option sale to close-call <sup>25</sup>
BLNK 12/31/2020 PUT \$47.00 / CUSIP: / Symbol:							
12/29/20	1.000	499.97	12/24/20	230.00	...	269.97	Option sale to close-put <sup>25</sup>
BOX 06/19/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/28/20	1.000	69.98	05/27/20	100.00	...	-30.02	Option sale to close-call <sup>25</sup>
BPOP 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	06/11/20	400.00	...	-400.00	1 of 2 - Option expiration- long call
	33.000	0.00	06/12/20	1,485.00	...	-1,485.00	2 of 2 - Option expiration- long call
07/17/20	43.000	0.00	Various	1,885.00	...	-1,885.00	Total of 2 transactions
BYND 05/08/2020 CALL \$105.00 / CUSIP: / Symbol:							
04/27/20	1.000	809.97	04/27/20	1,045.00	...	-235.03	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CATM 05/15/2020 CALL \$22.50 / CUSIP: / Symbol:							
04/17/20	4.000	339.98	04/15/20	340.00	...	-0.02	Option sale to close-call <sup>25</sup>
CB 05/15/2020 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/20/20	760.00	...	-760.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/06/20	5.00	...	-5.00	2 of 2 - Option expiration- long call
05/15/20	2.000	0.00	Various	765.00	...	-765.00	Total of 2 transactions
CLDR 07/02/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/17/20	4.000	799.97	06/16/20	620.00	...	179.97	Option sale to close-call <sup>25</sup>
COUP 08/21/2020 CALL \$307.50 / CUSIP: / Symbol:							
08/20/20	1.000	259.98	08/07/20	410.00	...	-150.02	Option sale to close-call <sup>25</sup>
COUP 10/02/2020 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	2,499.94	09/11/20	1,470.00	...	1,029.94	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	2,499.93	09/11/20	1,080.00	...	1,419.93	2 of 2 - Option sale to close-call <sup>25</sup>
09/28/20	2.000	4,999.87	Various	2,550.00	...	2,449.87	Total of 2 transactions
COUP 12/31/2020 PUT \$320.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/15/20	980.00	...	-980.00	Option expiration- long put
CPB 06/12/2020 CALL \$52.00 / CUSIP: / Symbol:							
06/12/20	1.000	0.00	06/02/20	160.00	...	-160.00	Option expiration- long call
CRWD 06/12/2020 CALL \$79.00 / CUSIP: / Symbol:							
05/26/20	3.000	1,679.95	05/26/20	1,770.00	...	-90.05	Option sale to close-call <sup>25</sup>
CRWD 06/12/2020 CALL \$87.00 / CUSIP: / Symbol:							
9 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	10.000	5,099.87	05/28/20	3,000.00	...	2,099.87	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,019.97	05/29/20	800.00	...	219.97	2 of 9 - Option sale to close-call <sup>25</sup>
	10.000	5,099.87	05/29/20	4,700.00	...	399.87	3 of 9 - Option sale to close-call <sup>25</sup>
	3.000	1,529.96	05/29/20	1,110.00	...	419.96	4 of 9 - Option sale to close-call <sup>25</sup>
	4.000	2,039.95	06/01/20	4,760.00	...	-2,720.05	5 of 9 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRWD 06/12/2020 CALL \$87.00 / CUSIP: / Symbol: (cont'd)							
	2.000	1,019.97	06/02/20	1,800.00	...	-780.03	6 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,019.97	06/02/20	1,800.00	...	-780.03	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,019.97	06/02/20	1,800.00	...	-780.03	8 of 9 - Option sale to close-call <sup>25</sup>
	1.000	509.99	06/02/20	900.00	...	-390.01	9 of 9 - Option sale to close-call <sup>25</sup>
06/12/20	36.000	18,359.52	Various	20,670.00	...	-2,310.48	Total of 9 transactions
CRWD 06/26/2020 CALL \$96.00 / CUSIP: / Symbol:							
06/10/20	3.000	1,619.95	06/04/20	1,200.00	...	419.95	Option sale to close-call <sup>25</sup>
CRWD 07/10/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/08/20	15.000	28,499.34	06/12/20	7,950.00	...	20,549.34	Option sale to close-call <sup>25</sup>
CRWD 09/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	404.99	09/02/20	2,160.00	...	-1,755.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	404.98	09/02/20	1,360.00	...	-955.02	2 of 2 - Option sale to close-call <sup>25</sup>
09/10/20	2.000	809.97	Various	3,520.00	...	-2,710.03	Total of 2 transactions
CSOD 06/19/2020 CALL \$37.50 / CUSIP: / Symbol:							
4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	10.000	1,549.95	05/11/20	3,300.00	...	-1,750.05	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	774.97	05/11/20	1,250.00	...	-475.03	2 of 4 - Option sale to close-call <sup>25</sup>
	4.000	619.98	05/11/20	1,000.00	...	-380.02	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	154.99	05/11/20	250.00	...	-95.01	4 of 4 - Option sale to close-call <sup>25</sup>
05/18/20	20.000	3,099.89	Various	5,800.00	...	-2,700.11	Total of 4 transactions
DBX 05/15/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/11/20	5.000	949.96	05/07/20	990.00	40.04 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	5.000	609.98	05/07/20	1,030.04	...	-420.06	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	121.99	05/07/20	198.00	...	-76.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	122.00	05/07/20	198.00	...	-76.00	3 of 3 - Option sale to close-call <sup>25</sup>
05/15/20	7.000	853.97	Various	1,426.04	...	-572.07	Total of 3 transactions
	<b>Security total:</b>	<b>1,803.93</b>		<b>2,416.04</b>	<b>40.04 W</b>	<b>-572.07</b>	

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Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DBX 05/15/2020 CALL \$22.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	8.000	175.97	05/07/20	1,240.00	...	-1,064.03	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	153.98	05/07/20	1,085.00	...	-931.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/15/20	15.000	329.95	Various	2,325.00	...	-1,995.05	Total of 2 transactions
DBX 06/26/2020 CALL \$22.50 / CUSIP: / Symbol:							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	0.00	06/05/20	7,000.00	...	-7,000.00	1 of 2 - Option expiration- long call
	8.000	0.00	06/09/20	480.00	...	-480.00	2 of 2 - Option expiration- long call
06/26/20	108.000	0.00	Various	7,480.00	...	-7,480.00	Total of 2 transactions
DE 05/29/2020 PUT \$139.00 / CUSIP: / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	5.000	664.97	05/21/20	1,175.00	...	-510.03	1 of 3 - Option sale to close-put <sup>25</sup>
	2.000	265.99	05/21/20	512.00	...	-246.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	132.99	05/21/20	267.00	...	-134.01	3 of 3 - Option sale to close-put <sup>25</sup>
05/22/20	8.000	1,063.95	Various	1,954.00	...	-890.05	Total of 3 transactions
DG 06/12/2020 CALL \$195.00 / CUSIP: / Symbol:							
06/01/20	5.000	1,799.95	05/27/20	1,175.00	...	624.95	Option sale to close-call <sup>25</sup>
DHT 05/15/2020 CALL \$8.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	04/28/20	90.00	...	-90.00	Option expiration- long call
DIS 05/01/2020 CALL \$106.00 / CUSIP: / Symbol:							
04/16/20	2.000	495.97	04/16/20	538.00	...	-42.03	Option sale to close-call <sup>25</sup>
DKNG 06/12/2020 CALL \$42.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/02/20	1,900.00	...	-1,900.00	1 of 2 - Option expiration- long call
	3.000	0.00	06/02/20	1,080.00	...	-1,080.00	2 of 2 - Option expiration- long call
06/12/20	8.000	0.00	Various	2,980.00	...	-2,980.00	Total of 2 transactions
DLTR 06/12/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/29/20	5.000	4,349.89	05/27/20	1,550.00	...	2,799.89	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DXCM 03/20/2020 CALL \$310.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/18/20	700.00	...	-700.00	Option expiration- long call
ECPG 05/15/2020 CALL \$25.00 / CUSIP: / Symbol:							
05/07/20	2.000	199.98	04/22/20	380.00	...	-180.02	Option sale to close-call <sup>25</sup>
EGHT 04/17/2020 CALL \$17.50 / CUSIP: / Symbol:							
04/17/20	1.000	19.98	04/07/20	100.00	...	-80.02	Option sale to close-call <sup>25</sup>
EHTH 05/15/2020 CALL \$120.00 / CUSIP: / Symbol:							
05/07/20	1.000	319.98	04/24/20	480.00	...	-160.02	Option sale to close-call <sup>25</sup>
ENPH 05/15/2020 CALL \$47.50 / CUSIP: / Symbol:							
05/06/20	1.000	639.97	05/05/20	330.00	...	309.97	Option sale to close-call <sup>25</sup>
05/07/20	3.000	2,279.93	05/05/20	990.00	...	1,289.93	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,919.90</b>		<b>1,320.00</b>	...	<b>1,599.90</b>	
ENPH 06/19/2020 CALL \$57.50 / CUSIP: / Symbol:							
	<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>						
	6.000	0.00	05/11/20	3,240.00	...	-3,240.00	1 of 2 - Option expiration- long call
	4.000	0.00	05/11/20	2,080.00	...	-2,080.00	2 of 2 - Option expiration- long call
06/19/20	10.000	0.00	Various	5,320.00	...	-5,320.00	Total of 2 transactions
ENPH 07/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/17/20	10.000	3,299.90	06/17/20	3,400.00	...	-100.10	Option sale to close-call <sup>25</sup>
ENPH 08/21/2020 CALL \$42.50 / CUSIP: / Symbol:							
08/05/20	2.000	5,749.86	08/04/20	5,350.00	...	399.86	Option sale to close-call <sup>25</sup>
ENVA 03/20/2020 CALL \$22.50 / CUSIP: / Symbol:							
02/27/20	2.000	59.98	02/03/20	420.00	...	-360.02	Option sale to close-call <sup>25</sup>
ESTC 06/19/2020 CALL \$95.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	0.00	06/01/20	1,880.00	...	-1,880.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/01/20	490.00	...	-490.00	2 of 2 - Option expiration- long call
06/19/20	5.000	0.00	Various	2,370.00	...	-2,370.00	Total of 2 transactions

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EVBG 07/17/2020 CALL \$145.00 / CUSIP: / Symbol:							
06/26/20	10.000	3,999.89	06/05/20	3,000.00	...	999.89	Option sale to close-call <sup>25</sup>
EXAS 06/26/2020 CALL \$89.00 / CUSIP: / Symbol:							
<i>3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	299.99	06/16/20	235.00	...	64.99	1 of 3 - Option sale to close-call <sup>25</sup>
	35.000	10,499.70	06/16/20	9,625.00	...	874.70	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	299.99	06/17/20	250.00	...	49.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/19/20	37.000	11,099.68	Various	10,110.00	...	989.68	Total of 3 transactions
FIVE 07/31/2020 CALL \$107.00 / CUSIP: / Symbol:							
07/02/20	7.000	3,709.90	06/19/20	5,670.00	...	-1,960.10	Option sale to close-call <sup>25</sup>
FIVE 09/18/2020 CALL \$113.00 / CUSIP: / Symbol:							
09/02/20	1.000	679.97	09/02/20	690.00	...	-10.03	Option sale to close-call <sup>25</sup>
09/03/20	2.000	2,719.92	09/02/20	1,380.00	...	1,339.92	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,399.89</b>		<b>2,070.00</b>	...	<b>1,329.89</b>	
FLIR 01/15/2021 PUT \$55.00 / CUSIP: / Symbol:							
12/24/20	1.000	1,059.96	12/23/20	1,150.00	...	-90.04	Option sale to close-put <sup>25</sup>
FSLY 06/12/2020 CALL \$43.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	409.99	05/26/20	480.00	...	-70.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	409.98	05/27/20	130.00	...	279.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/29/20	4.000	819.97	Various	610.00	...	209.97	Total of 2 transactions
FSLY 06/26/2020 CALL \$80.00 / CUSIP: / Symbol:							
06/26/20	6.000	3,539.91	06/23/20	1,740.00	...	1,799.91	Option sale to close-call <sup>25</sup>
FSLY 07/02/2020 CALL \$52.00 / CUSIP: / Symbol:							
06/25/20	2.000	5,479.86	06/16/20	900.00	...	4,579.86	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FSLY 07/10/2020 CALL \$85.00 / CUSIP: / Symbol:							
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,139.96	07/01/20	530.00	...	609.96	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1,139.96	07/01/20	530.00	...	609.96	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	3,419.91	07/01/20	1,590.00	...	1,829.91	3 of 4 - Option sale to close-call <sup>25</sup>
	7.000	7,979.81	07/02/20	3,640.00	...	4,339.81	4 of 4 - Option sale to close-call <sup>25</sup>
07/08/20	12.000	13,679.64	Various	6,290.00	...	7,389.64	Total of 4 transactions
GDS 04/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
5 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	10.000	749.96	04/07/20	1,200.00	...	-450.04	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	149.99	04/07/20	240.00	...	-90.01	2 of 5 - Option sale to close-call <sup>25</sup>
	10.000	749.96	04/09/20	350.00	...	399.96	3 of 5 - Option sale to close-call <sup>25</sup>
	10.000	749.97	04/09/20	350.00	...	399.97	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	149.99	04/13/20	80.00	...	69.99	5 of 5 - Option sale to close-call <sup>25</sup>
04/14/20	34.000	2,549.87	Various	2,220.00	...	329.87	Total of 5 transactions
GWPH 06/19/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/14/20	5.000	3,699.90	05/11/20	3,300.00	...	399.90	Option sale to close-call <sup>25</sup>
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	2.000	3,239.92	05/11/20	1,320.00	...	1,919.92	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	4,919.88	05/11/20	1,980.00	...	2,939.88	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	8,099.81	05/11/20	4,200.00	...	3,899.81	3 of 3 - Option sale to close-call <sup>25</sup>
05/20/20	10.000	16,259.61	Various	7,500.00	...	8,759.61	Total of 3 transactions
	<b>Security total:</b>	<b>19,959.51</b>		<b>10,800.00</b>	...	<b>9,159.51</b>	
HIBB 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	3.000	719.98	05/22/20	1,050.00	...	-330.02	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,199.96	05/22/20	1,475.00	...	-275.04	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,199.96	05/22/20	1,350.00	...	-150.04	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	479.99	05/22/20	600.00	...	-120.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/20	15.000	3,599.89	Various	4,475.00	...	-875.11	Total of 4 transactions

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HUYA 05/29/2020 CALL \$19.50 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	05/20/20	1,200.00	...	-1,200.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/26/20	5.00	...	-5.00	2 of 2 - Option expiration- long call
05/29/20	11.000	0.00	Various	1,205.00	...	-1,205.00	Total of 2 transactions
IBM 02/28/2020 CALL \$152.50 / CUSIP: / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	4.000	3.98	02/14/20	524.00	...	-520.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.00	02/14/20	365.02	...	-364.02	2 of 2 - Option sale to close-call <sup>25</sup>
02/27/20	5.000	4.98	Various	889.02	...	-884.04	Total of 2 transactions
02/27/20	1.000	0.98	02/14/20	235.00	234.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>5.96</b>		<b>1,124.02</b>	<b>234.02 W</b>	<b>-884.04</b>	
ICPT 08/21/2020 CALL \$105.00 / CUSIP: / Symbol:							
08/21/20	5.000	0.00	06/29/20	300.00	...	-300.00	Option expiration- long call
INO 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
16 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	12.000	95.97	09/28/20	2,160.00	...	-2,064.03	1 of 16 - Option sale to close-call <sup>25</sup>
	4.000	31.98	09/28/20	720.00	...	-688.02	2 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	352.02	...	-344.02	3 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	352.01	...	-344.01	4 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	352.01	...	-344.01	5 of 16 - Option sale to close-call <sup>25</sup>
	2.000	15.99	09/28/20	360.00	...	-344.01	6 of 16 - Option sale to close-call <sup>25</sup>
	1.000	7.99	09/28/20	180.00	...	-172.01	7 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	8 of 16 - Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INO 10/16/2020 CALL \$12.50 / CUSIP: / Symbol: (cont'd)							
	1.000	8.00	09/28/20	180.00	...	-172.00	9 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	10 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	11 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	12 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	13 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	14 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	15 of 16 - Option sale to close-call <sup>25</sup>
	1.000	8.00	09/28/20	180.00	...	-172.00	16 of 16 - Option sale to close-call <sup>25</sup>
10/15/20	31.000	247.93	Various	6,096.04	...	-5,848.11	Total of 16 transactions
	3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	2.000	15.99	09/28/20	360.00	172.01 W	-172.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	7.99	09/28/20	180.00	172.01 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	7.98	09/28/20	180.00	172.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/15/20	4.000	31.96	Various	720.00	516.04 W	-172.00	Total of 3 transactions
	<b>Security total:</b>	<b>279.89</b>		<b>6,816.04</b>	<b>516.04 W</b>	<b>-6,020.11</b>	
IWM 05/15/2020 CALL \$119.00 / CUSIP: / Symbol:							
04/16/20	1.000	632.97	04/15/20	662.00	...	-29.03	Option sale to close-call <sup>25</sup>
JD 05/22/2020 CALL \$51.00 / CUSIP: / Symbol:							
05/19/20	4.000	2,119.94	05/14/20	548.00	...	1,571.94	Option sale to close-call <sup>25</sup>
05/22/20	10.000	0.00	05/14/20	1,370.00	...	-1,370.00	Option expiration- long call
	<b>Security total:</b>	<b>2,119.94</b>		<b>1,918.00</b>	...	<b>201.94</b>	
JKS 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
12/16/20	1.000	1,099.96	12/09/20	820.00	...	279.96	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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JKS 11/20/2020 CALL \$65.00 / CUSIP: / Symbol:							
11/02/20	1.000	519.97	10/27/20	420.00	...	99.97	Option sale to close-call <sup>25</sup>
KEYS 06/19/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	10.000	699.96	05/26/20	850.00	...	-150.04	1 of 2 - Option sale to close-call <sup>25</sup>
	10.000	699.96	05/26/20	750.00	...	-50.04	2 of 2 - Option sale to close-call <sup>25</sup>
05/26/20	20.000	1,399.92	Various	1,600.00	...	-200.08	Total of 2 transactions
KEYS 07/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	10.000	3,399.90	06/15/20	3,100.00	...	299.90	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	339.99	06/25/20	330.00	...	9.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/06/20	11.000	3,739.89	Various	3,430.00	...	309.89	Total of 2 transactions
KODK 08/07/2020 CALL \$41.00 / CUSIP: / Symbol:							
08/07/20	3.000	0.00	07/30/20	1,740.00	...	-1,740.00	Option expiration- long call
KODK 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/29/20	1.000	2,399.93	07/29/20	2,520.00	...	-120.07	Option sale to close-call <sup>25</sup>
KODK 08/21/2020 CALL \$12.50 / CUSIP: / Symbol:							
07/29/20	1.000	1,999.94	07/29/20	2,330.00	...	-330.06	Option sale to close-call <sup>25</sup>
KODK 08/21/2020 PUT \$22.50 / CUSIP: / Symbol:							
07/30/20	2.000	1,119.96	07/29/20	1,680.00	...	-560.04	Option sale to close-put <sup>25</sup>
KR 04/24/2020 CALL \$32.00 / CUSIP: / Symbol:							
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	110.00	04/07/20	150.00	...	-40.00	1 of 3 - Option sale to close-call <sup>25</sup>
	10.000	1,099.95	04/09/20	810.00	...	289.95	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	549.98	04/15/20	300.00	...	249.98	3 of 3 - Option sale to close-call <sup>25</sup>
04/16/20	16.000	1,759.93	Various	1,260.00	...	499.93	Total of 3 transactions
KR 07/02/2020 CALL \$34.00 / CUSIP: / Symbol:							
07/02/20	8.000	0.00	06/17/20	912.00	...	-912.00	Option expiration- long call

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(continued)

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KR 10/09/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/17/20	5.000	104.98	09/10/20	825.00	...	-720.02	Option sale to close-call <sup>25</sup>
LB 05/29/2020 PUT \$13.50 / CUSIP: / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/20/20	615.00	...	-615.00	1 of 3 - Option expiration- long put
	1.000	0.00	05/20/20	200.00	...	-200.00	2 of 3 - Option expiration- long put
	1.000	0.00	05/28/20	2.00	...	-2.00	3 of 3 - Option expiration- long put
05/29/20	5.000	0.00	Various	817.00	...	-817.00	Total of 3 transactions
LOGI 05/15/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	619.97	05/11/20	696.03	...	-76.06	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	309.98	05/11/20	329.00	...	-19.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/15/20	3.000	929.95	Various	1,025.03	...	-95.08	Total of 2 transactions
05/15/20	2.000	619.97	05/11/20	658.00	38.03 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,549.92</b>		<b>1,683.03</b>	<b>38.03 W</b>	<b>-95.08</b>	
LOW 05/29/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/26/20	10.000	1,849.93	05/18/20	1,940.00	...	-90.07	Option sale to close-call <sup>25</sup>
MA 05/08/2020 CALL \$262.50 / CUSIP: / Symbol:							
05/06/20	1.000	1,264.96	04/15/20	1,190.00	...	74.96	Option sale to close-call <sup>25</sup>
MCK 06/05/2020 CALL \$150.00 / CUSIP: / Symbol:							
05/27/20	5.000	3,249.91	05/19/20	1,550.00	...	1,699.91	Option sale to close-call <sup>25</sup>
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	839.98	05/19/20	310.00	...	529.98	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	3,359.92	05/19/20	1,280.00	...	2,079.92	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	4,199.89	05/19/20	1,375.00	...	2,824.89	3 of 3 - Option sale to close-call <sup>25</sup>
06/02/20	10.000	8,399.79	Various	2,965.00	...	5,434.79	Total of 3 transactions
	<b>Security total:</b>	<b>11,649.70</b>		<b>4,515.00</b>	...	<b>7,134.70</b>	
MELI 05/01/2020 CALL \$610.00 / CUSIP: / Symbol:							
05/01/20	1.000	99.98	04/21/20	1,510.00	...	-1,410.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MELI 05/08/2020 CALL \$580.00 / CUSIP: / Symbol:							
05/08/20	1.000	20,609.53	04/24/20	3,110.00	...	17,499.53	Option sale to close-call <sup>25</sup>
MELI 06/12/2020 CALL \$845.00 / CUSIP: / Symbol:							
06/01/20	2.000	5,359.87	05/27/20	2,940.00	...	2,419.87	Option sale to close-call <sup>25</sup>
MELI 07/10/2020 CALL \$970.00 / CUSIP: / Symbol:							
07/07/20	1.000	5,289.87	06/17/20	3,380.00	...	1,909.87	Option sale to close-call <sup>25</sup>
MHK 06/19/2020 CALL \$85.00 / CUSIP: / Symbol:							
05/12/20	5.000	1,799.95	05/11/20	2,000.00	...	-200.05	Option sale to close-call <sup>25</sup>
MLHR 06/19/2020 CALL \$22.50 / CUSIP: / Symbol:							
05/05/20	5.000	1,024.96	05/01/20	900.00	...	124.96	Option sale to close-call <sup>25</sup>
MOH 06/19/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/12/20	2.000	2,339.93	05/08/20	1,700.00	...	639.93	Option sale to close-call <sup>25</sup>
05/19/20	2.000	1,639.95	05/08/20	1,700.00	...	-60.05	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>3,979.88</b>		<b>3,400.00</b>	...	<b>579.88</b>	
MOH 10/16/2020 PUT \$170.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	09/29/20	600.00	...	-600.00	Option expiration- long put
MPC 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	99.000	6,137.66	07/07/20	15,444.00	...	-9,306.34	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	62.00	07/07/20	156.00	...	-94.00	2 of 3 - Option sale to close-call <sup>25</sup>
	30.000	1,859.90	07/08/20	3,300.00	...	-1,440.10	3 of 3 - Option sale to close-call <sup>25</sup>
07/09/20	130.000	8,059.56	Various	18,900.00	...	-10,840.44	Total of 3 transactions
MRNA 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,019.97	12/22/20	1,145.00	...	-125.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,019.97	12/22/20	1,065.00	...	-45.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	2.000	2,039.94	Various	2,210.00	...	-170.06	Total of 2 transactions

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 05/08/2020 CALL \$170.00 / CUSIP: / Symbol:							
05/06/20	2.000	2,629.93	04/27/20	1,538.00	...	1,091.93	Option sale to close-call <sup>25</sup>
MSFT 05/15/2020 CALL \$145.00 / CUSIP: / Symbol:							
04/06/20	1.000	2,369.93	03/23/20	895.00	...	1,474.93	Option sale to close-call <sup>25</sup>
MSFT 07/31/2020 CALL \$225.00 / CUSIP: / Symbol:							
	<i>10 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>						
	21.000	0.00	07/21/20	4,704.00	...	-4,704.00	1 of 10 - Option expiration- long call
	2.000	0.00	07/21/20	448.00	...	-448.00	2 of 10 - Option expiration- long call
	7.000	0.00	07/23/20	280.00	...	-280.00	3 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	4 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	5 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	6 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	7 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	8 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	9 of 10 - Option expiration- long call
	1.000	0.00	07/23/20	40.00	...	-40.00	10 of 10 - Option expiration- long call
07/31/20	37.000	0.00	Various	5,712.00	...	-5,712.00	Total of 10 transactions
MSFT 09/18/2020 CALL \$222.50 / CUSIP: / Symbol:							
09/11/20	1.000	89.98	09/03/20	605.00	...	-515.02	Option sale to close-call <sup>25</sup>
MSFT 11/20/2020 CALL \$205.00 / CUSIP: / Symbol:							
11/09/20	1.000	2,164.94	11/02/20	560.00	...	1,604.94	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MTZ 05/15/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/30/20	160.00	...	-160.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/08/20	5.00	...	-5.00	2 of 2 - Option expiration- long call
05/15/20	3.000	0.00	Various	165.00	...	-165.00	Total of 2 transactions
NFLX 12/24/2020 PUT \$527.50 / CUSIP: / Symbol:							
12/23/20	1.000	1,149.96	12/14/20	1,595.00	...	-445.04	Option sale to close-put <sup>25</sup>
NOW 05/15/2020 CALL \$380.00 / CUSIP: / Symbol:							
05/15/20	3.000	0.00	05/12/20	1,410.00	...	-1,410.00	Option expiration- long call
NOW 07/24/2020 PUT \$440.00 / CUSIP: / Symbol:							
07/21/20	5.000	4,949.88	07/20/20	3,100.00	...	1,849.88	Option sale to close-put <sup>25</sup>
NOW 12/31/2020 PUT \$540.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/16/20	1,090.00	...	-1,090.00	Option expiration- long put
NTES 05/29/2020 CALL \$385.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/18/20	4,590.00	...	-4,590.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/19/20	2,430.00	...	-2,430.00	2 of 2 - Option expiration- long call
05/29/20	4.000	0.00	Various	7,020.00	...	-7,020.00	Total of 2 transactions
NTES 07/31/2020 CALL \$525.00 / CUSIP: / Symbol:							
7 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	799.97	07/10/20	720.00	...	79.97	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/10/20	720.00	...	79.97	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/10/20	720.00	...	79.97	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/10/20	720.00	...	79.97	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/10/20	720.00	...	79.97	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/10/20	720.00	...	79.97	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/10/20	720.00	...	79.97	7 of 7 - Option sale to close-call <sup>25</sup>
07/13/20	7.000	5,599.79	Various	5,040.00	...	559.79	Total of 7 transactions

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NTNX 06/05/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/05/20	7.000	0.00	05/27/20	1,435.00	...	-1,435.00	Option expiration- long call
NUE 05/22/2020 CALL \$41.00 / CUSIP: / Symbol:							
05/11/20	10.000	1,199.95	05/11/20	1,210.00	...	-10.05	Option sale to close-call <sup>25</sup>
NVAX 05/22/2020 CALL \$24.00 / CUSIP: / Symbol:							
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	2,449.93	05/11/20	295.00	...	2,154.93	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	2,469.93	05/11/20	295.00	...	2,174.93	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	6,779.84	05/11/20	885.00	...	5,894.84	3 of 3 - Option sale to close-call <sup>25</sup>
05/22/20	5.000	11,699.70	Various	1,475.00	...	10,224.70	Total of 3 transactions
NVAX 07/17/2020 CALL \$79.00 / CUSIP: / Symbol:							
07/01/20	3.000	2,759.92	06/26/20	3,120.00	...	-360.08	Option sale to close-call <sup>25</sup>
NVDA 10/16/2020 CALL \$535.00 / CUSIP: / Symbol:							
09/25/20	1.000	1,554.95	09/22/20	1,215.00	...	339.95	Option sale to close-call <sup>25</sup>
OKTA 06/05/2020 CALL \$190.00 / CUSIP: / Symbol:							
05/14/20	2.000	1,279.96	05/11/20	1,040.00	...	239.96	Option sale to close-call <sup>25</sup>
OKTA 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/18/20	1.000	162.98	08/07/20	555.00	...	-392.02	Option sale to close-call <sup>25</sup>
OLLI 06/19/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/29/20	2.000	1,279.96	05/28/20	1,180.00	...	99.96	Option sale to close-call <sup>25</sup>
PLAN 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	3.000	374.99	05/21/20	1,605.02	...	-1,230.03	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	624.97	05/21/20	1,650.00	...	-1,025.03	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	499.98	05/22/20	1,800.00	...	-1,300.02	3 of 3 - Option sale to close-call <sup>25</sup>
05/26/20	12.000	1,499.94	Various	5,055.02	...	-3,555.08	Total of 3 transactions
05/26/20	3.000	404.98	05/21/20	1,020.00	615.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,904.92</b>		<b>6,075.02</b>	<b>615.02 W</b>	<b>-3,555.08</b>	

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Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PLCE 05/01/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	2.000	759.98	04/16/20	620.00	...	139.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	1,139.96	04/16/20	930.00	...	209.96	2 of 2 - Option sale to close-call <sup>25</sup>
05/01/20	5.000	1,899.94	Various	1,550.00	...	349.94	Total of 2 transactions
PLCE 05/22/2020 CALL \$27.50 / CUSIP: / Symbol:							
05/12/20	4.000	1,839.94	05/11/20	1,320.00	...	519.94	Option sale to close-call <sup>25</sup>
PLCE 08/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
08/19/20	1.000	679.97	08/18/20	820.00	...	-140.03	Option sale to close-call <sup>25</sup>
PSA 05/15/2020 CALL \$195.00 / CUSIP: / Symbol:							
04/21/20	1.000	689.97	04/20/20	780.00	...	-90.03	Option sale to close-call <sup>25</sup>
PTON 05/08/2020 CALL \$38.00 / CUSIP: / Symbol:							
05/07/20	2.000	1,179.96	05/06/20	492.00	...	687.96	Option sale to close-call <sup>25</sup>
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	459.97	05/06/20	304.00	...	155.97	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	1,839.94	05/06/20	984.00	...	855.94	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	2,299.93	05/06/20	1,230.00	...	1,069.93	3 of 3 - Option sale to close-call <sup>25</sup>
05/08/20	10.000	4,599.84	Various	2,518.00	...	2,081.84	Total of 3 transactions
	<b>Security total:</b>	<b>5,779.80</b>		<b>3,010.00</b>	...	<b>2,769.80</b>	
PTON 05/15/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/07/20	4.000	1,819.94	05/06/20	1,108.00	...	711.94	Option sale to close-call <sup>25</sup>
PTON 10/09/2020 CALL \$83.50 / CUSIP: / Symbol:							
09/18/20	1.000	674.97	09/16/20	610.00	...	64.97	Option sale to close-call <sup>25</sup>
QDEL 06/19/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/20/20	2.000	3,459.91	05/18/20	3,060.00	...	399.91	Option sale to close-call <sup>25</sup>
QDEL 12/18/2020 CALL \$195.00 / CUSIP: / Symbol:							
12/10/20	1.000	999.96	11/09/20	2,250.00	...	-1,250.04	Option sale to close-call <sup>25</sup>

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Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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QRVO 01/15/2021 CALL \$165.00 / CUSIP: / Symbol:							
12/16/20	1.000	469.97	12/09/20	700.00	...	-230.03	Option sale to close-call <sup>25</sup>
RAPT 01/15/2021 CALL \$22.50 / CUSIP: / Symbol:							
11/18/20	3.000	704.97	11/16/20	1,050.00	...	-345.03	Option sale to close-call <sup>25</sup>
REXR 05/15/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/15/20	2.000	0.00	04/20/20	620.00	...	-620.00	Option expiration- long call
RNG 02/28/2020 CALL \$242.50 / CUSIP: / Symbol:							
	<i>11 transactions for 02/27/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	919.97	02/24/20	740.00	...	179.97	1 of 11 - Option sale to close-call <sup>25</sup>
	6.000	1,379.95	02/24/20	1,110.00	...	269.95	2 of 11 - Option sale to close-call <sup>25</sup>
	4.000	919.97	02/25/20	2,420.09	...	-1,500.12	3 of 11 - Option sale to close-call <sup>25</sup>
	3.000	689.98	02/25/20	1,755.08	...	-1,065.10	4 of 11 - Option sale to close-call <sup>25</sup>
	1.000	229.99	02/25/20	675.04	...	-445.05	5 of 11 - Option sale to close-call <sup>25</sup>
	1.000	229.99	02/25/20	675.04	...	-445.05	6 of 11 - Option sale to close-call <sup>25</sup>
	1.000	229.99	02/25/20	605.04	...	-375.05	7 of 11 - Option sale to close-call <sup>25</sup>
	4.000	919.97	02/25/20	400.00	...	519.97	8 of 11 - Option sale to close-call <sup>25</sup>
	10.000	2,299.92	02/25/20	1,000.00	...	1,299.92	9 of 11 - Option sale to close-call <sup>25</sup>
	10.000	2,299.92	02/25/20	1,000.00	...	1,299.92	10 of 11 - Option sale to close-call <sup>25</sup>
	11.000	2,529.92	02/25/20	1,100.00	...	1,429.92	11 of 11 - Option sale to close-call <sup>25</sup>
02/27/20	55.000	12,649.57	Various	11,480.29	...	1,169.28	Total of 11 transactions

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RNG 02/28/2020 CALL \$242.50 / CUSIP: / Symbol: (cont'd)							
<i>14 transactions for 02/27/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,149.96	02/13/20	3,050.00	1,900.04 W	0.00	1 of 14 - Option sale to close-call 25
	5.000	1,149.96	02/14/20	3,050.00	1,900.04 W	0.00	2 of 14 - Option sale to close-call 25
	4.000	919.97	02/20/20	2,760.03	1,840.06 W	0.00	3 of 14 - Option sale to close-call 25
	1.000	229.99	02/21/20	780.01	550.02 W	0.00	4 of 14 - Option sale to close-call 25
	5.000	1,149.96	02/21/20	3,900.04	2,750.08 W	0.00	5 of 14 - Option sale to close-call 25
	1.000	229.99	02/21/20	780.02	550.03 W	0.00	6 of 14 - Option sale to close-call 25
	3.000	689.98	02/21/20	2,280.04	1,590.06 W	0.00	7 of 14 - Option sale to close-call 25
	1.000	229.99	02/21/20	850.02	620.03 W	0.00	8 of 14 - Option sale to close-call 25
	1.000	229.99	02/21/20	850.02	620.03 W	0.00	9 of 14 - Option sale to close-call 25
	4.000	919.97	02/24/20	2,940.06	2,020.09 W	0.00	10 of 14 - Option sale to close-call 25
	1.000	229.99	02/24/20	735.03	505.04 W	0.00	11 of 14 - Option sale to close-call 25
	3.000	689.98	02/24/20	2,145.06	1,455.08 W	0.00	12 of 14 - Option sale to close-call 25
	1.000	229.99	02/24/20	805.03	575.04 W	0.00	13 of 14 - Option sale to close-call 25
	1.000	229.99	02/24/20	805.03	575.04 W	0.00	14 of 14 - Option sale to close-call 25
02/27/20	36.000	8,279.71	Various	25,730.39	17,450.68 W	0.00	Total of 14 transactions
	<b>Security total:</b>	<b>20,929.28</b>		<b>37,210.68</b>	<b>17,450.68 W</b>	<b>1,169.28</b>	

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RNG 03/13/2020 CALL \$242.50 / CUSIP: / Symbol:							
<i>14 transactions for 03/13/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	0.00	02/27/20	11,000.00	...	-11,000.00	1 of 14 - Option expiration- long call
	3.000	0.00	02/27/20	3,090.00	...	-3,090.00	2 of 14 - Option expiration- long call
	2.000	0.00	02/27/20	2,300.00	...	-2,300.00	3 of 14 - Option expiration- long call
	2.000	0.00	02/27/20	2,300.00	...	-2,300.00	4 of 14 - Option expiration- long call
	1.000	0.00	02/27/20	1,150.00	...	-1,150.00	5 of 14 - Option expiration- long call
	4.000	0.00	02/28/20	3,000.00	...	-3,000.00	6 of 14 - Option expiration- long call
	4.000	0.00	02/28/20	3,000.00	...	-3,000.00	7 of 14 - Option expiration- long call
	2.000	0.00	02/28/20	1,500.00	...	-1,500.00	8 of 14 - Option expiration- long call
	2.000	0.00	02/28/20	1,500.00	...	-1,500.00	9 of 14 - Option expiration- long call
	1.000	0.00	02/28/20	560.00	...	-560.00	10 of 14 - Option expiration- long call
	8.000	0.00	03/03/20	3,120.00	...	-3,120.00	11 of 14 - Option expiration- long call
	8.000	0.00	03/03/20	2,200.00	...	-2,200.00	12 of 14 - Option expiration- long call
	13.000	0.00	03/06/20	2,405.00	...	-2,405.00	13 of 14 - Option expiration- long call
	14.000	0.00	03/06/20	2,100.00	...	-2,100.00	14 of 14 - Option expiration- long call
03/13/20	74.000	0.00	Various	39,225.00	...	-39,225.00	Total of 14 transactions
RNG 04/24/2020 CALL \$240.00 / CUSIP: / Symbol:							
<i>7 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	214.99	03/30/20	1,490.00	...	-1,275.01	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	214.99	03/31/20	580.00	...	-365.01	2 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RNG 04/24/2020 CALL \$240.00 / CUSIP: / Symbol: (cont'd)							
	5.000	1,074.97	04/09/20	925.00	...	149.97	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	214.99	04/21/20	660.00	...	-445.01	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	214.99	04/21/20	550.00	...	-335.01	5 of 7 - Option sale to close-call <sup>25</sup>
	6.000	1,289.96	04/23/20	2,400.00	...	-1,110.04	6 of 7 - Option sale to close-call <sup>25</sup>
	2.000	429.99	04/23/20	880.00	...	-450.01	7 of 7 - Option sale to close-call <sup>25</sup>
04/24/20	17.000	3,654.88	Various	7,485.00	...	-3,830.12	Total of 7 transactions
RNG 05/08/2020 CALL \$227.50 / CUSIP: / Symbol:							
05/07/20	1.000	3,019.92	04/27/20	1,910.00	...	1,109.92	Option sale to close-call <sup>25</sup>
RNG 05/22/2020 PUT \$295.00 / CUSIP: / Symbol:							
05/18/20	1.000	3,159.92	05/15/20	1,650.00	...	1,509.92	Option sale to close-put <sup>25</sup>
RNG 06/05/2020 CALL \$285.00 / CUSIP: / Symbol:							
05/15/20	1.000	1,559.95	05/12/20	1,360.00	...	199.95	Option sale to close-call <sup>25</sup>
RNG 07/10/2020 CALL \$285.00 / CUSIP: / Symbol:							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	4.000	6,119.86	06/24/20	2,000.00	...	4,119.86	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	4,589.89	06/25/20	2,580.00	...	2,009.89	2 of 3 - Option sale to close-call <sup>25</sup>
	8.000	12,239.71	06/25/20	5,440.00	...	6,799.71	3 of 3 - Option sale to close-call <sup>25</sup>
07/06/20	15.000	22,949.46	Various	10,020.00	...	12,929.46	Total of 3 transactions
RNG 07/24/2020 CALL \$270.00 / CUSIP: / Symbol:							
07/15/20	1.000	589.97	07/13/20	1,000.00	...	-410.03	Option sale to close-call <sup>25</sup>
ROKU 05/15/2020 CALL \$138.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	05/07/20	1,840.00	...	-1,840.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/08/20	174.00	...	-174.00	2 of 2 - Option expiration- long call
05/15/20	3.000	0.00	Various	2,014.00	...	-2,014.00	Total of 2 transactions

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 07/10/2020 CALL \$114.00 / CUSIP: / Symbol:							
7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,974.94	06/10/20	750.00	...	1,224.94	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,974.94	06/10/20	750.00	...	1,224.94	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,974.94	06/10/20	750.00	...	1,224.94	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	1,974.94	06/10/20	750.00	...	1,224.94	4 of 7 - Option sale to close-call <sup>25</sup>
	2.000	3,949.91	06/10/20	1,500.00	...	2,449.91	5 of 7 - Option sale to close-call <sup>25</sup>
	4.000	7,899.81	06/10/20	3,000.00	...	4,899.81	6 of 7 - Option sale to close-call <sup>25</sup>
	15.000	29,624.31	06/15/20	6,675.00	...	22,949.31	7 of 7 - Option sale to close-call <sup>25</sup>
07/08/20	25.000	49,373.79	Various	14,175.00	...	35,198.79	Total of 7 transactions
ROKU 07/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
07/17/20	10.000	499.96	07/16/20	5,700.00	...	-5,200.04	Option sale to close-call <sup>25</sup>
ROKU 07/24/2020 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	5.000	2,849.92	07/13/20	3,775.00	...	-925.08	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	2,279.93	07/13/20	3,020.00	...	-740.07	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	569.97	07/13/20	755.00	...	-185.03	3 of 3 - Option sale to close-call <sup>25</sup>
07/16/20	10.000	5,699.82	Various	7,550.00	...	-1,850.18	Total of 3 transactions
ROKU 07/24/2020 PUT \$150.00 / CUSIP: / Symbol:							
07/10/20	10.000	7,399.81	07/09/20	13,750.00	...	-6,350.19	Option sale to close-put <sup>25</sup>
ROKU 07/24/2020 PUT \$195.00 / CUSIP: / Symbol:							
07/13/20	2.000	8,709.79	07/10/20	7,840.00	...	869.79	Option sale to close-put <sup>25</sup>
SAM 07/17/2020 CALL \$570.00 / CUSIP: / Symbol:							
06/26/20	10.000	8,599.78	06/22/20	8,900.00	...	-300.22	Option sale to close-call <sup>25</sup>
SAP 11/20/2020 CALL \$115.00 / CUSIP: / Symbol:							
11/10/20	2.000	699.97	10/28/20	480.00	...	219.97	Option sale to close-call <sup>25</sup>
SHOP 05/01/2020 CALL \$670.00 / CUSIP: / Symbol:							
04/27/20	1.000	2,189.94	04/21/20	1,120.00	...	1,069.94	Option sale to close-call <sup>25</sup>

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Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHOP 06/12/2020 CALL \$870.00 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	05/26/20	5,130.00	...	-5,130.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/27/20	475.00	...	-475.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/27/20	475.00	...	-475.00	3 of 3 - Option expiration- long call
06/12/20	5.000	0.00	Various	6,080.00	...	-6,080.00	Total of 3 transactions
SNPS 06/19/2020 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	2.000	1,239.97	05/20/20	980.00	...	259.97	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	1,859.95	05/20/20	1,470.00	...	389.95	2 of 2 - Option sale to close-call <sup>25</sup>
05/22/20	5.000	3,099.92	Various	2,450.00	...	649.92	Total of 2 transactions
SPG 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
05/20/20	1.000	262.98	05/11/20	415.00	152.02 W	0.00	Option sale to close-call <sup>25</sup>
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	844.98	05/11/20	572.02	...	272.96	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	3,379.92	05/11/20	1,680.00	...	1,699.92	2 of 3 - Option sale to close-call <sup>25</sup>
	7.000	5,914.85	05/11/20	2,905.00	...	3,009.85	3 of 3 - Option sale to close-call <sup>25</sup>
06/19/20	12.000	10,139.75	Various	5,157.02	...	4,982.73	Total of 3 transactions
	<b>Security total:</b>	<b>10,402.73</b>		<b>5,572.02</b>	<b>152.02 W</b>	<b>4,982.73</b>	
SPG 08/21/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/09/20	20.000	6,199.82	07/06/20	11,400.00	...	-5,200.18	Option sale to close-call <sup>25</sup>
SPLK 05/29/2020 PUT \$165.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/21/20	820.00	...	-820.00	1 of 2 - Option expiration- long put
	1.000	0.00	05/21/20	790.00	...	-790.00	2 of 2 - Option expiration- long put
05/29/20	2.000	0.00	Various	1,610.00	...	-1,610.00	Total of 2 transactions
SSRM 05/15/2020 CALL \$15.00 / CUSIP: / Symbol:							
04/21/20	5.000	774.97	04/17/20	550.00	...	224.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SSRM 06/19/2020 CALL \$18.00 / CUSIP: / Symbol:							
05/06/20	4.000	519.97	05/04/20	620.00	100.03 W	0.00	Option sale to close-call <sup>25</sup>
	4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	4.000	279.99	05/11/20	280.00	...	-0.01	1 of 4 - Option sale to close-call <sup>25</sup>
	8.000	559.96	05/14/20	1,440.00	...	-880.04	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	139.99	05/14/20	360.00	...	-220.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	70.00	05/20/20	435.01	...	-365.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/19/20	15.000	1,049.94	Various	2,515.01	...	-1,465.07	Total of 4 transactions
06/19/20	4.000	279.98	05/11/20	380.03	25.01 W	-75.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,849.89</b>		<b>3,515.04</b>	<b>125.04 W</b>	<b>-1,540.11</b>	
SSRM 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/03/20	1.000	69.98	07/28/20	110.00	...	-40.02	Option sale to close-call <sup>25</sup>
STAA 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
	5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	14.000	9,379.76	07/06/20	9,800.00	...	-420.24	1 of 5 - Option sale to close-call <sup>25</sup>
	2.000	1,339.96	07/06/20	1,400.00	...	-60.04	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	1,339.96	07/06/20	1,400.00	...	-60.04	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	669.97	07/06/20	700.00	...	-30.03	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	669.97	07/06/20	700.00	...	-30.03	5 of 5 - Option sale to close-call <sup>25</sup>
07/07/20	20.000	13,399.62	Various	14,000.00	...	-600.38	Total of 5 transactions
STE 06/19/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/18/20	5.000	2,499.93	05/13/20	1,275.00	...	1,224.93	Option sale to close-call <sup>25</sup>
SU 10/16/2020 CALL \$12.00 / CUSIP: / Symbol:							
09/29/20	9.000	674.96	09/29/20	675.00	...	-0.04	Option sale to close-call <sup>25</sup>
T 01/22/2021 CALL \$30.00 / CUSIP: / Symbol:							
	7 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	3.000	74.98	12/18/20	156.00	...	-81.02	1 of 7 - Option sale to close-call <sup>25</sup>
	1.000	24.99	12/18/20	52.00	...	-27.01	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	25.00	12/18/20	52.00	...	-27.00	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	25.00	12/18/20	52.00	...	-27.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	25.00	12/18/20	52.00	...	-27.00	5 of 7 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T 01/22/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	1.000	25.00	12/18/20	52.00	...	-27.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	25.00	12/18/20	52.00	...	-27.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/23/20	9.000	224.97	Various	468.00	...	-243.03	Total of 7 transactions
T 10/16/2020 CALL \$26.00 / CUSIP: / Symbol:							
09/11/20	10.000	3,099.91	09/10/20	3,300.00	...	-200.09	Option sale to close-call <sup>25</sup>
TDOC 04/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/17/20	1.000	2,129.94	03/25/20	990.00	...	1,139.94	Option sale to close-call <sup>25</sup>
TDOC 07/10/2020 CALL \$202.50 / CUSIP: / Symbol:							
07/02/20	6.000	6,059.85	06/26/20	3,660.00	...	2,399.85	Option sale to close-call <sup>25</sup>
TDOC 08/21/2020 CALL \$155.00 / CUSIP: / Symbol:							
08/05/20	1.000	5,819.86	08/05/20	5,850.00	...	-30.14	Option sale to close-call <sup>25</sup>
TDOC 08/21/2020 CALL \$207.50 / CUSIP: / Symbol:							
08/17/20	1.000	499.97	08/07/20	650.00	...	-150.03	Option sale to close-call <sup>25</sup>
TDOC 08/21/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/17/20	1.000	109.98	08/05/20	600.00	...	-490.02	Option sale to close-call <sup>25</sup>
TOL 06/12/2020 CALL \$34.00 / CUSIP: / Symbol:							
06/05/20	10.000	2,549.92	05/27/20	1,500.00	...	1,049.92	Option sale to close-call <sup>25</sup>
TREE 05/15/2020 CALL \$200.00 / CUSIP: / Symbol:							
04/23/20	1.000	2,399.93	04/15/20	1,160.00	...	1,239.93	Option sale to close-call <sup>25</sup>
TREE 12/18/2020 CALL \$320.00 / CUSIP: / Symbol:							
12/10/20	1.000	29.98	11/17/20	547.00	...	-517.02	Option sale to close-call <sup>25</sup>
TSLA 12/24/2020 CALL \$850.00 / CUSIP: / Symbol:							
12/14/20	1.000	604.97	12/10/20	990.00	...	-385.03	Option sale to close-call <sup>25</sup>
TTD 05/15/2020 CALL \$325.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/07/20	2,160.00	...	-2,160.00	Option expiration- long call

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Robinhood Securities LLC

Account 134300896

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TTD 11/27/2020 PUT \$730.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/06/20	1,466.00	...	-1,466.00	Option expiration- long put
TTWO 05/29/2020 CALL \$140.00 / CUSIP: / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/20/20	1,090.00	...	-1,090.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/20/20	1,059.00	...	-1,059.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/20/20	1,059.00	...	-1,059.00	3 of 3 - Option expiration- long call
05/29/20	3.000	0.00	Various	3,208.00	...	-3,208.00	Total of 3 transactions
TWLO 05/15/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/08/20	5.000	19,874.55	05/06/20	655.00	...	19,219.55	Option sale to close-call <sup>25</sup>
05/11/20	2.000	9,499.78	05/06/20	262.00	...	9,237.78	Option sale to close-call <sup>25</sup>
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	4,944.88	05/06/20	131.00	...	4,813.88	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	9,889.77	05/06/20	262.00	...	9,627.77	2 of 2 - Option sale to close-call <sup>25</sup>
05/13/20	3.000	14,834.65	Various	393.00	...	14,441.65	Total of 2 transactions
	<b>Security total:</b>	<b>44,208.98</b>		<b>1,310.00</b>	...	<b>42,898.98</b>	
TWLO 10/30/2020 CALL \$370.00 / CUSIP: / Symbol:							
10/27/20	1.000	4.98	10/20/20	330.00	...	-325.02	Option sale to close-call <sup>25</sup>
TWLO 11/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
11/17/20	1.000	189.98	10/27/20	1,250.00	...	-1,060.02	Option sale to close-call <sup>25</sup>
TWLO 11/27/2020 CALL \$310.00 / CUSIP: / Symbol:							
11/25/20	1.000	94.98	10/27/20	1,040.00	...	-945.02	Option sale to close-call <sup>25</sup>
TYL 07/17/2020 CALL \$370.00 / CUSIP: / Symbol:							
06/10/20	6.000	7,199.83	06/05/20	7,440.00	...	-240.17	Option sale to close-call <sup>25</sup>
ULTA 07/10/2020 CALL \$217.50 / CUSIP: / Symbol:							
07/10/20	5.000	0.00	06/19/20	4,950.00	...	-4,950.00	Option expiration- long call
UNFI 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
06/11/20	5.000	399.98	06/11/20	575.00	...	-175.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UVXY 07/02/2020 CALL \$38.00 / CUSIP: / Symbol:							
6 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	3.000	899.97	06/16/20	2,355.00	...	-1,455.03	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	599.98	06/16/20	1,570.00	...	-970.02	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	599.99	06/16/20	1,570.00	...	-970.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	299.99	06/16/20	785.00	...	-485.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	299.99	06/16/20	785.00	...	-485.01	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	299.99	06/16/20	785.00	...	-485.01	6 of 6 - Option sale to close-call <sup>25</sup>
06/26/20	10.000	2,999.91	Various	7,850.00	...	-4,850.09	Total of 6 transactions
UVXY 07/02/2020 CALL \$39.00 / CUSIP: / Symbol:							
9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	734.98	06/15/20	705.00	...	29.98	1 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.98	06/15/20	705.00	...	29.98	2 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.98	06/15/20	705.00	...	29.98	3 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.98	06/15/20	705.00	...	29.98	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.98	06/15/20	705.00	...	29.98	5 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.98	06/15/20	705.00	...	29.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.98	06/15/20	705.00	...	29.98	7 of 9 - Option sale to close-call <sup>25</sup>
	1.000	734.99	06/15/20	705.00	...	29.99	8 of 9 - Option sale to close-call <sup>25</sup>
	2.000	1,469.96	06/15/20	1,410.00	...	59.96	9 of 9 - Option sale to close-call <sup>25</sup>
06/16/20	10.000	7,349.81	Various	7,050.00	...	299.81	Total of 9 transactions
V 11/20/2020 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	665.97	10/27/20	116.00	...	549.97	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	665.97	10/27/20	116.00	...	549.97	2 of 2 - Option sale to close-call <sup>25</sup>
11/16/20	2.000	1,331.94	Various	232.00	...	1,099.94	Total of 2 transactions
VEEV 06/19/2020 CALL \$195.00 / CUSIP: / Symbol:							
05/19/20	1.000	1,289.96	05/13/20	1,110.00	...	179.96	Option sale to close-call <sup>25</sup>
VIPS 06/26/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/09/20	5.000	424.98	06/08/20	375.00	...	49.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VNOM 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
07/17/20	4.000	0.00	06/11/20	300.00	...	-300.00	Option expiration- long call
WDAY 06/05/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/28/20	10.000	699.96	05/27/20	800.00	...	-100.04	Option sale to close-call <sup>25</sup>
WIX 06/19/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/19/20	2.000	3,999.90	05/11/20	1,120.00	...	2,879.90	Option sale to close-call <sup>25</sup>
05/21/20	2.000	6,239.85	05/11/20	1,120.00	...	5,119.85	Option sale to close-call <sup>25</sup>
05/22/20	1.000	3,719.90	05/11/20	560.00	...	3,159.90	Option sale to close-call <sup>25</sup>
6 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	2.000	7,319.83	05/12/20	1,520.00	...	5,799.83	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	7,319.83	05/12/20	1,340.00	...	5,979.83	2 of 6 - Option sale to close-call <sup>25</sup>
	2.000	7,319.83	05/13/20	1,700.00	...	5,619.83	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	7,319.84	05/13/20	1,660.00	...	5,659.84	4 of 6 - Option sale to close-call <sup>25</sup>
	4.000	14,639.67	05/13/20	3,040.00	...	11,599.67	5 of 6 - Option sale to close-call <sup>25</sup>
	5.000	18,299.59	05/13/20	3,500.00	...	14,799.59	6 of 6 - Option sale to close-call <sup>25</sup>
06/15/20	17.000	62,218.59	Various	12,760.00	...	49,458.59	Total of 6 transactions
	<b>Security total:</b>	<b>76,178.24</b>		<b>15,560.00</b>	...	<b>60,618.24</b>	
WIX 07/17/2020 PUT \$250.00 / CUSIP: / Symbol:							
06/24/20	1.000	2,249.94	06/22/20	1,620.00	...	629.94	Option sale to close-put <sup>25</sup>
WIX 07/17/2020 PUT \$260.00 / CUSIP: / Symbol:							
06/16/20	5.000	20,749.53	06/15/20	20,450.00	...	299.53	Option sale to close-put <sup>25</sup>
WIX 08/21/2020 CALL \$190.00 / CUSIP: / Symbol:							
08/21/20	1.000	9,319.78	08/05/20	12,100.00	...	-2,780.22	Option sale to close-call <sup>25</sup>
WIX 08/21/2020 CALL \$290.00 / CUSIP: / Symbol:							
07/09/20	1.000	2,529.93	06/26/20	850.00	...	1,679.93	Option sale to close-call <sup>25</sup>
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	4.000	6,759.84	06/26/20	3,400.00	...	3,359.84	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	8,449.80	06/26/20	4,250.00	...	4,199.80	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	9.000	15,209.64	Various	7,650.00	...	7,559.64	Total of 2 transactions

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Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WIX 08/21/2020 CALL \$290.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	2.000	3,059.92	06/26/20	1,700.00	...	1,359.92	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	3,059.93	06/26/20	1,600.00	...	1,459.93	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	4,589.89	06/26/20	2,550.00	...	2,039.89	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	7,649.82	06/26/20	4,250.00	...	3,399.82	4 of 4 - Option sale to close-call <sup>25</sup>
07/15/20	12.000	18,359.56	Various	10,100.00	...	8,259.56	Total of 4 transactions
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	1,639.95	06/26/20	850.00	...	789.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,639.95	06/26/20	850.00	...	789.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/20/20	2.000	3,279.90	Various	1,700.00	...	1,579.90	Total of 2 transactions
07/23/20	6.000	7,499.82	06/26/20	5,100.00	...	2,399.82	Option sale to close-call <sup>25</sup>
2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1,389.95	06/26/20	850.00	...	539.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,389.95	06/26/20	850.00	...	539.95	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	2.000	2,779.90	Various	1,700.00	...	1,079.90	Total of 2 transactions
	<b>Security total:</b>	<b>49,658.75</b>		<b>27,100.00</b>	...	<b>22,558.75</b>	
WIX 09/18/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/11/20	1.000	84.98	09/03/20	480.00	...	-395.02	Option sale to close-call <sup>25</sup>
WIX 11/20/2020 CALL \$260.00 / CUSIP: / Symbol:							
11/20/20	1.000	64.98	11/10/20	743.00	...	-678.02	Option sale to close-call <sup>25</sup>
WMG 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/26/20	10.000	1,749.94	06/16/20	3,600.00	...	-1,850.06	Option sale to close-call <sup>25</sup>
WORK 06/12/2020 CALL \$39.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	9.000	0.00	06/02/20	2,655.00	...	-2,655.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/10/20	4.00	...	-4.00	2 of 2 - Option expiration- long call
06/12/20	10.000	0.00	Various	2,659.00	...	-2,659.00	Total of 2 transactions
XLNX 05/15/2020 CALL \$87.50 / CUSIP: / Symbol:							
05/05/20	4.000	427.98	05/01/20	428.00	...	-0.02	Option sale to close-call <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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YY 06/05/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/20/20	7.000	769.97	05/19/20	665.00	...	104.97	Option sale to close-call <sup>25</sup>
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	4.000	439.98	05/20/20	700.00	260.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	4.000	439.98	05/20/20	520.00	80.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/20/20	8.000	879.96	Various	1,220.00	340.04 W	0.00	Total of 2 transactions
	10 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	439.98	05/20/20	195.01	...	244.97	1 of 10 - Option sale to close-call <sup>25</sup>
	1.000	439.98	05/20/20	130.00	...	309.98	2 of 10 - Option sale to close-call <sup>25</sup>
	1.000	439.98	05/20/20	115.00	...	324.98	3 of 10 - Option sale to close-call <sup>25</sup>
	1.000	439.98	05/20/20	115.00	...	324.98	4 of 10 - Option sale to close-call <sup>25</sup>
	3.000	1,319.96	05/20/20	585.01	...	734.95	5 of 10 - Option sale to close-call <sup>25</sup>
	4.000	1,763.95	05/20/20	540.02	...	1,223.93	6 of 10 - Option sale to close-call <sup>25</sup>
	4.000	1,759.95	05/20/20	460.00	...	1,299.95	7 of 10 - Option sale to close-call <sup>25</sup>
	5.000	2,199.94	05/20/20	575.00	...	1,624.94	8 of 10 - Option sale to close-call <sup>25</sup>
	6.000	2,645.93	05/20/20	780.00	...	1,865.93	9 of 10 - Option sale to close-call <sup>25</sup>
	10.000	4,399.88	05/20/20	1,150.00	...	3,249.88	10 of 10 - Option sale to close-call <sup>25</sup>
06/05/20	36.000	15,849.53	Various	4,645.04	...	11,204.49	Total of 10 transactions
	<b>Security total:</b>	<b>17,499.46</b>		<b>6,530.04</b>	<b>340.04 W</b>	<b>11,309.46</b>	
ZM 04/17/2020 CALL \$148.00 / CUSIP: / Symbol:							
04/17/20	1.000	379.98	04/01/20	700.00	...	-320.02	Option sale to close-call <sup>25</sup>
ZS 04/24/2020 CALL \$63.00 / CUSIP: / Symbol:							
04/24/20	1.000	439.98	04/13/20	245.00	...	194.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZTO 06/19/2020 CALL \$36.00 / CUSIP: / Symbol:							
05/29/20	10.000	99.97	05/20/20	1,350.00	...	-1,250.03	Option sale to close-call <sup>25</sup>
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
11/06/20	20.000	1,665.21	10/27/20	1,558.00	...	107.21	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.014	4.78	06/16/20	4.77	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.272	95.40	06/16/20	95.23	...	0.17	2 of 2 - Sale <sup>25</sup>
06/16/20	0.286	100.18	Various	100.00	...	0.18	Total of 2 transactions
COUPA SOFTWARE INCORPORATED COMMON STOCK / CUSIP: 22266L106 / Symbol:							
09/10/20	12.000	3,194.43	09/09/20	3,126.00	...	68.43	Sale <sup>25</sup>
DROPBOX, INC. CLASS A COMMON STOCK / CUSIP: 26210C104 / Symbol:							
08/21/20	3.000	59.88	08/06/20	65.37	...	-5.49	Sale <sup>25</sup>
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
02/27/20	200.000	9,427.81	08/16/19	4,350.00	...	5,077.81	Sale <sup>25</sup>
ENOVA INTERNATIONAL, INC. / CUSIP: 29357K103 / Symbol:							
02/14/20	3.000	65.16	11/15/19	66.36	...	-1.20	Sale <sup>25</sup>
02/21/20	132.000	2,904.19	11/15/19	2,919.72	...	-15.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,969.35</b>		<b>2,986.08</b>	...	<b>-16.73</b>	
GILEAD SCIENCES, INC. COMMON STOCK / CUSIP: 375558103 / Symbol:							
04/17/20	6.000	493.15	04/16/20	510.00	...	-16.85	Sale <sup>25</sup>
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	3.12	06/12/20	5.54	...	-2.42	1 of 4 - Sale <sup>25</sup>
	1.000	1.56	06/12/20	2.77	...	-1.21	2 of 4 - Sale <sup>25</sup>
	0.425	0.67	06/12/20	1.18	...	-0.51	3 of 4 - Sale <sup>25</sup>
	0.184	0.29	06/12/20	0.51	...	-0.22	4 of 4 - Sale <sup>25</sup>
08/05/20	3.609	5.64	Various	10.00	...	-4.36	Total of 4 transactions

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Robinhood Securities LLC

Account 134300896

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
HOMOLOGY MEDICINES, INC. COMMO N STOCK / CUSIP: 438083107 / Symbol:							
10/12/20	8.000	87.43	10/09/20	85.12	...	2.31	Sale <sup>25</sup>
INNOVIVA, INC. COMMON STOCK / CUSIP: 45781M101 / Symbol:							
10/20/20	35.000	347.20	10/15/20	351.72	...	-4.52	Sale <sup>25</sup>
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
02/03/20	93.000	645.39	05/17/19	451.05	...	194.34	Sale <sup>25</sup>
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
04/14/20	3.000	94.86	03/24/20	93.50	...	1.36	Sale <sup>25</sup>
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	17.000	594.81	09/10/20	598.06	...	-3.25	1 of 2 - Sale <sup>25</sup>
	50.000	1,749.46	09/11/20	1,715.95	...	33.51	2 of 2 - Sale <sup>25</sup>
09/11/20	67.000	2,344.27	Various	2,314.01	...	30.26	Total of 2 transactions
	<b>Security total:</b>	<b>2,439.13</b>		<b>2,407.51</b>	...	<b>31.62</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	1.000	801.73	02/03/20	729.41	...	72.32	1 of 2 - Sale <sup>25</sup>
	1.000	801.73	02/03/20	729.40	...	72.33	2 of 2 - Sale <sup>25</sup>
02/14/20	2.000	1,603.46	Various	1,458.81	...	144.65	Total of 2 transactions
VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
	4 transactions for 02/12/20. Total proceeds and cost reported to the IRS.						
	190.000	1,295.75	09/20/19	1,425.00	...	-129.25	1 of 4 - Sale <sup>25</sup>
	100.000	681.97	09/20/19	750.00	...	-68.03	2 of 4 - Sale <sup>25</sup>
	100.000	681.97	09/20/19	750.00	...	-68.03	3 of 4 - Sale <sup>25</sup>
	10.000	68.20	09/20/19	75.00	...	-6.80	4 of 4 - Sale <sup>25</sup>
02/12/20	400.000	2,727.89	Various	3,000.00	...	-272.11	Total of 4 transactions
10/28/20	90.000	477.89	10/27/20	494.02	...	-16.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,205.78</b>		<b>3,494.02</b>	...	<b>-288.24</b>	
VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol:							
09/11/20	30.000	505.78	09/10/20	493.20	...	12.58	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 134300896

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
CALEDONIA MINING CORPORATION P LC / CUSIP: G1757E113 / Symbol:							
<i>4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.114	1.81	06/22/20	1.58	...	0.23	1 of 4 - Sale <sup>25</sup>
	0.573	9.09	06/22/20	7.59	...	1.50	2 of 4 - Sale <sup>25</sup>
	35.987	570.38	06/22/20	498.42	...	71.96	3 of 4 - Sale <sup>25</sup>
	74.899	1,187.12	06/22/20	992.41	...	194.71	4 of 4 - Sale <sup>25</sup>
06/23/20	111.573	1,768.40	Various	1,500.00	...	268.40	Total of 4 transactions
ELASTIC N.V. / CUSIP: N14506104 / Symbol:							
09/10/20	20.000	2,075.76	09/09/20	1,997.00	...	78.76	Sale <sup>25</sup>
<b>Totals :</b>		<b>924,496.83</b>		<b>1,087,003.25</b>	<b>110,968.37 W</b>	<b>-51,538.05</b>	

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Robinhood Securities LLC

Account 134300896

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/18/20	-5.00	Margin interest paid	
		02/18/20	-14.25	Margin interest paid	
		03/19/20	-4.66	Margin interest paid	
		05/18/20	-1.59	Margin interest paid	
		05/28/20	0.01	Adj- Margin interest paid	
		06/17/20	-5.00	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		07/17/20	-0.65	Margin interest paid	
		07/17/20	-5.00	Margin interest paid	
		08/17/20	-5.00	Margin interest paid	
		09/01/20	-5.00	Margin interest paid	
		10/01/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
			-66.13	Total Margin interest paid	
			<b>-66.13</b>	<b>Total Margin interest paid</b>	



25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).