

FIDELITY BROKERAGE SERVICES LLC P.O. Box 28019 Albuquerque, NM 87125-8019

## 2020 TAX REPORTING STATEMENT

SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

eDelivered
Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
499 WASHINGTON BLVD

JERSEY CITY, NJ 07310

SAGAR BABUBHAI PATEL 92 ETHEL RD EDISON NJ 08817-2210

Form 1099-DIV *	2020 Dividends and	Distributions	Copy B for Recipient (OMB No. 1545-0110)
1a Total Ordinary Dividends		7 Foreign Tax Paid	Various 0.00 000
4 Federal Income Tax Withheld		14 State Identification No.	
5 Section 199A Dividends	1.78	15 State Tax Withheld	0.00
6 Investment Evpenses	0.00		

Form 1099-INT *	2020 Interes	t Income	Copy B for Recipient (OMB No. 1545-0112)
1 Interest Income	0.26	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld		13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest		17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

02/21/2021 9006000000 Pages 1 of 30



AGAR BABUBHAI PATFI

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Form 1099-MISC *	2020 Miscellane	Copy B for Recipient (OMB No. 1545-0115)
2 Royalties	0.00	
3 Other Income	0.00	15 State Tax Withheld
4 Federal Income Tax Withheld	0.00	16 State/Payer's State No.
8 Substitute Payments in Lieu of Dividends or Interest	0.00	17 State Income

Summary of 2020 Procee	eds From Broker a	nd Barter Exchan	ge Transactions			
1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is reported to the IRS	18,827.37	18,180.72	0.00	4.93	646.65	0.00
Short-term transactions for which basis is not reported to the IRS	1,795.34	1,781.83	0.00	0.00	13.51	0.00
Long-term transactions for which basis is reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is not reported to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	20,622.71	19,962.55	0.00	4.93	660.16	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2020 O	riginal Issue Discount
Total Original Issue Discount	Total Original Issue Discount - REMIC
Total Original Issue Discount on Tax-Exempt Obligations	Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.





AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity '	<b>1b</b> Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
ACCELERATE D	IAGNOSTICS IN	с сом us	SD0.001, AXDX, 0043	0H102						
Sale	5.000	03/31/20	06/10/20	49.72	43.90			5.82		
Sale	10.000	04/22/20	06/10/20	99.45	93.00			6.45		
Sale	5.000	04/27/20	06/10/20	49.72	53.95			-4.23		
Sale	4.000	04/28/20	06/10/20	39.78	45.16			-5.38		
Sale	1.000	04/30/20	06/10/20	9.95	11.13			-1.18		
Subtotals				248.62	247.14					
AMARIN CORP	ADR EACHREP 1	ORD GBF	P0.50, AMRN, 02311	1206						
Sale	10.000	04/01/20	04/06/20	48.80	43.89			4.91		
Sale	1.000	04/01/20	04/06/20	4.88	4.28			0.60		
Subtotals				53.68	48.17					
AMAZON.COM I	NC, AMZN, 0231	35106								
Sale	0.104	03/27/20	04/06/20	207.20	199.25			7.95		
AMBARELLA IN	C COM USD0.000	045, AMBA	, G037AX101							
Sale	5.000	06/02/20	06/03/20	278.97	310.70			-31.73		
AMC ENTERTAI	NMENT HOLDING	GS INC CO	OM USD0., AMC, 001	65C104						
Sale	10.000	03/31/20	04/07/20	33.93	30.88			3.05		
Sale	5.000	04/07/20	05/12/20	27.80	15.80			12.00		
Sale	5.000	04/08/20	05/12/20	27.80	14.98			12.82		
Sale	10.000	04/30/20	05/12/20	55.59	48.47			7.12		
Subtotals				145.12	110.13					

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

02/21/2021 9006000000 Pages 3 of 30



AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity '	<b>1b</b> Date Acquired	<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
AMERICAN EAG	LE OUTFITTERS	INC COM	, AEO, 02553E106							
Sale	2.000	04/01/20	04/06/20	15.81	13.77			2.04		
Sale	5.000	04/09/20	08/03/20	51.10	49.94			1.16		
Subtotals				66.91	63.71					
AMERICAN EXP	RESS CO COM U	JSD0.20, A	XP, 025816109							
Sale	2.000	04/01/20	04/06/20	167.68	156.84			10.84		
ANNALY CAPITA	AL MANAGEMEN	T INC COM	M, NLY, 035710409							
Sale	1.000	04/08/20	05/15/20	5.85	5.74			0.11		
Sale	9.000	04/24/20	05/15/20	52.67	52.62			0.05		
Subtotals				58.52	58.36					
APACHE CORP	COM USD0.625,	APA, 0374	11105							
Sale	4.000	04/03/20	04/06/20	23.13	21.15			1.98		
AQUESTIVE TH	ERAPEUTICS INC	C COM, AC	QST, 03843E104							
Sale	10.000	05/19/20	05/20/20	59.72	67.10			-7.38		
Sale	20.000	05/19/20	05/20/20	119.45	133.00			-13.55		
Sale	10.000	05/19/20	05/20/20	59.72	67.50			-7.78		
Subtotals				238.89	267.60					

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.





AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity ,	<b>1b</b> Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
ARROWHEAD P	HARMACEUTICA	ALS INC C	OM, ARWR, 04280A1	00						
Sale	2.000	04/21/20	0 06/05/20	65.23	76.52			-11.29		
Sale	1.000	04/21/20	0 06/05/20	32.61	36.77			-4.16		
Subtotals				97.84	113.29					
BANK OF NEW	YORK MELLON C	ORP COM	И USD0.01, BK, 06405	58100						
Sale	2.000	04/01/20	0 04/06/20	70.60	65.50			5.10		
BATTALION OIL	CORP COM, BA	TL, 07134L	_107							
Sale	2.000	04/23/20	0 05/26/20	11.05	10.20			0.85		
BED BATH AND	BEYOND COM U	JSD0.01, E	BBY, 075896100							
Sale	10.000	04/13/20	0 05/18/20	61.04	51.65			9.39		
BEYOND MEAT	INC COM, BYND	, 08862E1	09							
Sale	2.000	04/22/20	0 05/06/20	248.83	178.71			70.12		
Sale	3.000	04/27/20	0 05/06/20	373.25	335.03			38.22		
Subtotals				622.08	513.74					
BK OF AMERICA	A CORP COM, BA	AC, 060505	5104							
Sale	3.000	03/09/20	0 05/19/20	67.96	67.40			0.56		
Sale	1.000	03/09/20	0 05/19/20	22.65	22.01			0.64		
Sale	5.000	03/31/20	0 05/19/20	113.28	109.10			4.18		
Subtotals				203.89	198.51					

02/21/2021 9006000000 Pages 5 of 30

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	<b>1b</b> Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
CALL SPY \$380	EXP 12/14/2020,	SPY20121	4, 5013149XX							
Sale	1.000	11/30/20	12/08/20	8.50	27.69			-19.19		
CAPITAL ONE F	INANCIAL CORP	COM USE	00.01, COF, 14040H10	05						
Sale	5.000	04/08/20	04/27/20	288.14	282.45			5.69		
CARNIVAL COR	P PAIREDCTF, C	CL, 14365	8300							
Sale	3.000	04/03/20	04/06/20	31.13	25.47			5.66		
CASSAVA SCIEN	NCES INCCOM, S	SAVA, 148 <sup>-</sup>	17C107							
Sale	50.000	05/18/20	05/20/20	102.89	117.47			-14.58		
CONNS INC CO	M, CONN, 208242	2107								
Sale	10.000	06/08/20	07/17/20	102.04	100.05			1.99		
DAVE &BUSTER	S ENTMT INC C	OM, PLAY,	238337109							
Sale	5.000	06/08/20	10/01/20	77.01	101.30			-24.29		
DICKS SPORTIN	IG GOODSINC C	OM, DKS,	253393102							
Sale	5.000	06/03/20	06/09/20	198.42	189.65			8.77		
DISNEY WALT C	O, DIS, 2546871	06								
Sale	4.000	03/27/20	04/07/20	419.43	396.91			22.52		





AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of pro	perty, Stock o	or Other Sy	mbol, CUSIP							
Action	Quantity 1	<b>1b</b> Date Acquired	<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DOCUSIGN INC CO	M, DOCU, 25	6163106								
Sale	2.000	05/07/20	06/11/20	292.47	232.68			59.79		
Sale	1.000	10/08/20	11/10/20	189.15	221.79			-32.64		
Subtotals				481.62	454.47					
DYNAVAX TECHNO	LOGIESCOR	P COM NE	W, DVAX, 268158201							
Sale	9.000	06/23/20	09/30/20	38.79	66.33			-27.54		
Sale	1.000	08/07/20	09/30/20	4.31	7.70			-3.39		
Sale	6.000	08/07/20	10/26/20	23.45	46.20			-22.75		
Subtotals				66.55	120.23					
ETF SERIES SOLUT	IONSDEEP \	/ALUE, DE	EP, 26922A701							
Sale	2.000	03/31/20	05/18/20	40.09	38.72			1.37		
EXXON MOBIL COR	P, XOM, 302	31G102								
Sale	1.000	04/09/20	05/21/20	45.44	45.04			0.40		
Sale	4.000	04/09/20	11/10/20	146.81	180.16			-33.35		
Subtotals				192.25	225.20					
FID INTER TREASU	RY BOND INI	DEX FUND	, FUAMX, 31635V257							
Sale	42.522	various	04/17/20	511.11	501.36			9.75		

02/21/2021 9006000000 Pages 7 of 30

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock	or Other Sy	ymbol, CUSIP							
Action	Quantity	<b>1b</b> Date Acquired	1c Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
FIDELITY CHINA	REGION, FHK	CX, 315910	778							
Sale	8.804	variou	s 12/22/20	475.24	498.88		1.18	-23.64		
Sale	0.439	11/24/20	0 12/22/20	23.70	26.05			-2.35		
Subtotals				498.94	524.93		1.18			
FIDELITY SELEC	CT MED TECHNO	OLOGY & [	DEVICES, FSMEX, 31	6390475						
Sale	0.338	11/24/20	0 12/22/20	24.52	24.43			0.09		
FIDELITY U.S. B	OND INDEX FUI	ND, FXNAX	(, 316146356							
Sale	20.683	04/08/20	0 04/15/20	257.50	254.20			3.30		
Sale	20.035	various	s 04/28/20	249.64	246.24			3.40		
Subtotals				507.14	500.44					
FITBIT INC CL A	, FIT, 33812L102	2								
Sale	7.000	05/08/20	0 05/26/20	45.04	47.02			-1.98		
HALLIBURTON	CO COM USD2.5	50, HAL, 40	6216101							
Sale	50.000	04/29/20	0 05/18/20	535.74	525.75			9.99		
Sale	20.000	04/29/20	0 05/18/20	216.83	207.76			9.07		
Subtotals				752.57	733.51					
INDEPENDENC	E CONTRACT DI	RILLING CO	OM, ICD, 453415606							
Sale	3.000	04/09/20	0 04/24/20	22.45	15.33			7.12		
INFLARX NV, IF	RX, N44821101									
Sale	2.000	04/01/20	0 04/27/20	12.22	10.95			1.27		





SAGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	Quantity 1	1b Date	1c Date Sold	1d Proceeds	1e Cost or	1f Accrued	1g Wash Sale	Gain/Loss (-)	4 Federal	14 State
	•	Acquired	or Disposed		Other Basis (b)	Market Discount	Loss Disallowed		Income Tax Withheld	16 State Tax Withheld
INOVIO PHARMA	ACEUTICALS INC	COM NE	W, INO, 45773H201							
Sale	10.000	04/23/20	10/01/20	116.31	130.69			-14.38		
Sale	10.000	08/12/20	10/01/20	116.31	128.87			-12.56		
Sale	5.000	08/12/20	10/01/20	58.15	64.48			-6.33		
Subtotals				290.77	324.04					
JPMORGAN CHA	ASE & CO COM L	JSD1.00, J	PM, 46625H100							
Sale	2.000	03/09/20	04/07/20	186.30	190.05		3.75	-3.75		
Sale	2.000	04/03/20	04/07/20	186.29	168.02			18.27		
Subtotals				372.59	358.07		3.75			
KITE REALTY GI	ROUP TRUST CO	OM USDO.C	)1 (POS, KRG, 49803	T300						
Sale	2.000	03/30/20	05/18/20	18.93	18.30			0.63		
LUCKIN COFFEE	E INC SPON ADS	EACH RE	P 8 OR, LKNCY, 549	51L109						
Sale	5.000	04/03/20	10/21/20	23.59	28.55			-4.96		
MARATHON OIL	CORPORATION	COM USE	01.00, MRO, 5658491	06						
Sale	5.000	04/03/20	04/07/20	19.67	18.04			1.63		
Sale	2.000	04/08/20	05/15/20	11.30	7.47			3.83		
Sale	8.000	05/12/20	05/15/20	45.22	47.78			-2.56		
Subtotals				76.19	73.29					

02/21/2021 9006000000 Pages 9 of 30

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description o	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity '	<b>1b</b> Date Acquired	<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
MERIDIAN BIOS	CIENCE INC, VIV	/O, 58958 <sup>2</sup>	1101							
Sale	25.000	04/27/20	0 05/08/20	423.12	288.25			134.87		
Sale	20.000	06/01/20	06/09/20	323.49	318.00			5.49		
Subtotals				746.61	606.25					
MICROSOFT CO	ORP, MSFT, 5949	18104								
Sale	2.000	03/06/20	04/06/20	330.36	324.98			5.38		
Sale	1.000	03/09/20	04/06/20	165.18	154.55			10.63		
Sale	2.000	03/10/20	04/06/20	330.35	317.30			13.05		
Subtotals				825.89	796.83					
NANOVIRICIDES	S INC COM, NNV	C, 630087	302							
Sale	1.000	05/12/20	0 05/12/20	8.73	9.09			-0.36		
Sale	4.000	05/12/20	05/12/20	34.91	36.37			-1.46		
Sale	5.000	05/12/20	05/14/20	56.79	45.46			11.33		
Subtotals				100.43	90.92					
NETFLIX COM II	NC COM, NFLX, 6	64110L106	;							
Sale	2.000	04/22/20	0 06/02/20	852.38	841.00			11.38		
NOVAVAX INC	COM NEW, NVAX	(, 6700024	01							
Sale	1.000	05/13/20	0 05/15/20	40.91	39.91			1.00		
NVIDIA CORPO	RATION COM, N	√DA, 6706	6G104							
Sale	3.000	05/19/20	06/02/20	1,047.69	1,077.44			-29.75		





AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

Action	f property, Stock of Quantity 1		1c Date Sold	1d Proceeds	1e Cost or	4f Aggregat	1a Wash Sala	Coin/Loop ( )	4 Federal	14 State
Action	,	Acquired	or Disposed	1a Proceeds	Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	Income Tax Withheld	16 State Tax Withheld
OASIS PETROLI	EUM INC COM, 6	74215108								
Sale	100.000	04/23/20	04/24/20	60.49	42.80			17.69		
Sale	10.000	04/27/20	11/05/20	1.15	4.74			-3.59		
Sale	10.000	04/28/20	11/05/20	1.15	5.66			-4.51		
Subtotals				62.79	53.20					
OCCIDENTAL P	ETE CORPCOM,	OXY, 6745	599105							
Sale	2.000	04/03/20	04/06/20	26.19	26.00			0.19		
OPKO HEALTH	NC, OPK, 68375	N103								
Sale	10.000	05/12/20	05/15/20	25.99	23.95			2.04		
Sale	10.000	05/12/20	07/09/20	41.22	23.95			17.27		
Subtotals				67.21	47.90					
PAYPAL HLDGS	INC COM, PYPL	, 70450Y10	03							
Sale	2.000	03/31/20	04/06/20	204.47	196.69			7.78		
PELOTON INTE	RACTIVE INC CL	A COM, P	TON, 70614W100							
Sale	5.000	05/07/20	06/09/20	234.76	224.05			10.71		
Sale	5.000	05/07/20	06/09/20	234.76	211.28			23.48		
Sale	10.000	05/18/20	06/09/20	469.52	473.12			-3.60		
Sale	5.000	05/18/20	06/09/20	234.75	223.99			10.76		
Subtotals				1,173.79	1,132.44					

02/21/2021 9006000000 Pages 11 of 30

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	property, Stock	or Other Symb	ool, CUSIP							
Action	Quantity '		c Date Sold r Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	<b>14</b> State <b>16</b> State Tax Withheld
PG&E CORP CO	M NPV, PCG, 69	331C108								
Sale	5.000	04/09/20	05/18/20	60.86	57.47			3.39		
Sale	5.000	04/09/20	05/18/20	60.86	57.69			3.17		
Sale	10.000	04/30/20	05/18/20	121.71	105.56			16.15		
Subtotals				243.43	220.72					
RANGE RESOU	RCES CORP, RR	.C, 75281A109	9							
Sale	5.000	03/31/20	04/06/20	13.96	11.23			2.73		
Sale	5.000	03/31/20	04/06/20	13.96	11.07			2.89		
Subtotals				27.92	22.30					
REV GROUP INC	COM, REVG, 7	49527107								
Sale	10.000	06/08/20	06/23/20	64.34	81.14			-16.80		
RITE AID CORP	COM, RAD, 7677	754872								
Sale	5.000	04/13/20	07/09/20	86.22	68.60			17.62		
ROKU INC COM	CL A, ROKU, 77	543R102								
Sale	2.000	03/30/20	04/21/20	240.23	179.94			60.29		
SALARIUS PHAR	RMACEUTICALS	INC COM NE	W, SLRX, 79400X	107						
Sale	100.000	05/18/20	05/18/20	98.51	90.00			8.51		
Sale	1.000	05/18/20	05/18/20	0.98	0.93			0.05		
Subtotals				99.49	90.93					





AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	property, Stock of	or Other Syr	nbol, CUSIP							
Action	Quantity 1		<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SASOL SPON AD	R EACH CNV IN	NTO 1 ORD	NPV, SSL, 8038663	00						
Sale	5.000	04/07/20	05/19/20	23.26	21.30			1.96		
Sale	2.000	04/09/20	05/19/20	9.31	7.59			1.72		
Sale	1.000	04/24/20	05/19/20	4.65	3.50			1.15		
Subtotals				37.22	32.39					
SCHLUMBERGER	R LIMITEDCOM	USD0.01, S	LB, 806857108							
Sale	8.000	04/23/20	05/18/20	138.75	132.79			5.96		
SMILEDIRECTCL	UB INC CL A CC	OM, SDC, 8	3192H106							
Sale	2.000	04/23/20	05/15/20	13.50	11.94			1.56		
Sale	3.000	04/23/20	05/15/20	20.24	17.28			2.96		
Subtotals				33.74	29.22					
SORRENTO THE	RAPEUTICS INC	C COM NEV	V, SRNE, 83587F202	2						
Sale	1.000	05/11/20	05/15/20	6.78	2.83			3.95		
Sale	1.000	05/11/20	05/15/20	6.78	2.82			3.96		
Sale	20.000	05/12/20	05/15/20	135.70	57.37			78.33		
Sale	3.000	05/12/20	05/15/20	20.36	9.57			10.79		
Sale	26.000	05/12/20	05/18/20	234.87	82.90			151.97		
Subtotals				404.49	155.49					

02/21/2021 9006000000 Pages 13 of 30

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity 1	<b>1b</b> Date Acquired	<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
SPDR S&P500 E	TF TRUST TRUS	ST UNIT DI	EPOSIT, SPY, 78462F	103						
Sale	1.000	04/01/20	04/06/20	265.31	246.64			18.67		
Sale	2.000	04/08/20	04/22/20	556.44	541.81			14.63		
Subtotals				821.75	788.45					
SPIRIT AIRLINES	S INC, SAVE, 848	3577102								
Sale	5.000	04/08/20	11/10/20	103.34	62.15			41.19		
TANGER FACTO	ORY OUTLET CT	RS INC, SI	KT, 875465106							
Sale	2.000	03/31/20	04/06/20	10.79	10.48			0.31		
Sale	2.000	03/31/20	04/07/20	13.56	10.48			3.08		
Subtotals				24.35	20.96					
TELA BIO INC C	OM, TELA, 87238	31108								
Sale	2.000	06/22/20	08/13/20	27.08	45.31			-18.23		
Sale	1.000	06/26/20	08/13/20	13.54	14.00			-0.46		
Sale	31.000	06/26/20	08/13/20	419.72	434.93			-15.21		
Sale	10.000	09/22/20	0 09/30/20	165.49	157.80			7.69		
Subtotals				625.83	652.04					
TELADOC HEAL	TH INC COM, TD	OC, 87918	3A105							
Sale	2.000	04/08/20	04/21/20	337.74	279.76			57.98		
TWITTER INC C	OM USD0.000005	5, TWTR, 9	90184L102							
Sale	1.000	04/27/20	05/28/20	31.81	29.88			1.93		





AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Syn	nbol, CUSIP							
Action	Quantity 1		<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
UNITED NATUR	RAL FOODSCOM	USD0.01, U	NFI, 911163103							
Sale	10.000	05/18/20	05/19/20	187.09	181.16			5.93		
VACCINEX INC	COM, VCNX, 918	640103								
Sale	10.000	07/20/20	08/27/20	50.95	82.20			-31.25		
Sale	10.000	07/20/20	08/27/20	50.95	83.10			-32.15		
Sale	3.000	07/20/20	08/27/20	15.28	23.70			-8.42		
Subtotals				117.18	189.00					
VANGUARD INI	DEX FUNDSS&P 5	500 ETF US	D, VOO, 922908363							
Sale	2.000	04/08/20	04/22/20	511.68	492.51			19.17		
Sale	1.000	04/09/20	04/23/20	259.27	255.55			3.72		
Sale	1.000	04/15/20	04/23/20	259.26	255.18			4.08		
Subtotals				1,030.21	1,003.24					
VIRGIN GALAC	TIC HOLDINGS IN	IC COM, SF	PCE, 92766K106							
Sale	10.000	05/07/20	05/19/20	158.29	189.25			-30.96		
VISA INC COM	CL A, V, 92826C8	39								
Sale	2.000	03/27/20	04/06/20	339.89	325.88			14.01		
WHITING PETE	CORP NEW COM	1 NEW, 966	387409							
Sale	50.000	04/23/20	05/15/20	48.05	76.78			-28.73		
Sale	50.000	04/30/20	05/15/20	48.05	61.43			-13.38		
Subtotals				96.10	138.21					

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

02/21/2021 9006000000 Pages 15 of 30



AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis <u>is reported</u> to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I Proceeds are reported as **gross proceeds** unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	of property, Stock of	or Other Sym	bol, CUSIP							
Action	Quantity '		<b>1c</b> Date Sold or Disposed	1d Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
WISDOMTREE	TR US LARGECA	P FUND, EP	S, 97717W588							
Sale	5.000	04/23/20	04/27/20	154.07	152.33			1.74		
WORKHORSE (	GROUP INC COM	NEW, WKHS	S, 98138J206							
Sale	10.000	10/15/20	11/10/20	183.19	220.70			-37.51		
ZOOM VIDEO C	OMMUNICATION	S INC CL A,	ZM, 98980L101							
Sale	1.000	05/21/20	06/01/20	186.50	177.78			8.72		
Sale	1.000	05/21/20	06/01/20	186.49	172.06			14.43		
Subtotals				372.99	349.84					
TOTALS			ort-Term Realized		18,180.72	0.00	4.93	1,259.94 -613.29	0.00	

<sup>\*</sup> This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.





AGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is not reported to the IRS --report on Form 8949 with Box B checked and/or Schedule D, Part I Proceeds are reported as gross proceeds unless otherwise indicated (a).(This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of	f property, Stock of	or Other Sy	mbol, CUSIP							
Action	Quantity ,	<b>1b</b> Date Acquired	<b>1c</b> Date Sold or Disposed	<b>1d</b> Proceeds	<b>1e</b> Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State 16 State Tax Withheld
DCP MIDSTREA	M LP COMUT LT	D PTN, DC	P, 23311P100							
Sale	100.000	04/22/20	05/12/20	813.97	663.88			150.09		
Sale	20.000	05/13/20	05/15/20	158.79	157.66			1.13		
Subtotals				972.76	821.54					
UNITED STS OIL	FD LPUNITS, 9	1232N108								
Sale	7.000	04/20/20	04/22/20	18.51	26.32			-7.81		
Sale	293.000	04/21/20	04/22/20	774.97	899.01			-124.04		
Sale	10.000	04/21/20	04/22/20	26.45	32.00			-5.55		
Sale	1.000	04/21/20	04/22/20	2.65	2.96			-0.31		
Subtotals				822.58	960.29					
TOTALS				1,795.34	1,781.83	0.00	0.00		0.00	
-		Box B SI	hort-Term Realized	l Gain				151.22		
		Box B SI	hort-Term Realized	Loss				-137.71		

For any transaction listed on Form 1099-B in a section indicating that "basis <u>is reported</u> to the IRS", we are reporting to the IRS: 1a Description of Property, 2 type of gain or loss (i.e. short-term or long-term), 3 Proceeds from QOF (Qualified Opportunity Fund), 6 Gross or Net Proceeds, 12 basis reported to IRS, and columns 1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15 and 16. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "basis is not reported to the IRS", we are reporting to the IRS: 1a Description of Property, 3 Proceeds from QOF (Qualified Opportunity Fund), 5 Noncovered security, 6 Gross or Net Proceeds, and columns 1c, 1d, 4, 15 and 16. We are not reporting to the IRS: 2 type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns 1b, 1e, 1f, 1g, 2, 7 and 12 and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: 1a Description of Property and totals for boxes 8, 9, 10 and 11.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

02/21/2021 9006000000 Pages 17 of 30



SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

#### FORM 1099-B\*

### 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.





AGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Sumn	nary of 2020 Supplemental	Information Not Reported to the IRS	
Margin Interest Paid		Currency Realized Gain/Loss (USD)	
Non-deductible Generic Expenses  Deductible Generic Expenses		Short/Long Term Realized Gain/Loss Mortgage Pool Statement (MBS)	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

02/21/2021 9006000000 Pages 19 of 30



SAGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	, Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	<b>11</b> Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreign Tax Paid
AMERICAN	EXPRESS CO COM USDO	0.20, AXP, 025816109	)					
05/08/20	0.86			0.86				
08/10/20	2.15			2.15				
11/10/20	2.15			2.15				
Subtotals	5.16			5.16				
APPLE INC	COM USD0.00001, AAPL,	037833100						
05/14/20	3.28			3.28				
08/13/20	4.92			4.92				
11/12/20	8.82			8.82				
Subtotals	17.02			17.02				
AT&T INC	COM USD1, T, 00206R102							
05/01/20	1.56			1.56				
08/03/20	2.08			2.08				
11/02/20	2.08			2.08				
Subtotals	5.72			5.72				
BANK OF N	NEW YORK MELLON COR	P COM USD0.01, BK,	064058100					
05/11/20	0.62			0.62				
08/07/20	0.62			0.62				
11/10/20	0.62			0.62				
Subtotals	1.86			1.86				
BP PLC SP	ONSORED ADR, BP, 0556	22104						
06/19/20	3.15			3.15				
09/25/20	1.58			1.58				



SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreigi Tax Paid
BP PLC SP	ONSORED ADR, BP, 0556	522104						
12/18/20	1.58			1.58				
Subtotals	6.31			6.31				
CITIGROUP	P INC, C, 172967424							
05/22/20	1.02			1.02				
08/28/20	1.02			1.02				
11/25/20	1.02			1.02				
Subtotals	3.06			3.06				
COMCAST	CORP, CMCSA, 20030N10							
07/22/20	1.15			1.15				
10/28/20	1.15			1.15				
Subtotals	2.30			2.30				
ENTERCON	M COMMUNICATIONS CO	RP CL A, ETM, 29363	9100					
03/27/20	0.20			0.20				
EXXON MO	DBIL CORP, XOM, 30231G	102						
06/10/20	4.35			4.35				
09/10/20	3.48			3.48				
12/10/20	3.48			3.48				
Subtotals	11.31			11.31				
FID INTER	TREASURY BOND INDEX	FUND, FUAMX, 3163	5V257					
03/31/20	0.48	0.48						
04/08/20	0.42		0.42					
04/17/20	0.46	0.46						
Subtotals	1.36	0.94	0.42					

02/21/2021 9006000000 Pages 21 of 30



SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

## **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreigr Tax Paid
FIDELITY C	CHINA REGION, FHKCX, 3	15910778						
12/04/20	21.18	2.84	15.90	2.44				0.5
FIDELITY S	SELECT MED TECHNOLOG	GY & DEVICES, FSME	EX, 316390475					
12/18/20	0.35			0.35				
FIDELITY S	SELECT SOFTWARE & IT S	SRVC, FSCSX, 31639	0822					
04/08/20	2.46		0.59	1.87				
12/18/20	19.91		14.82	5.09				
Subtotals	22.37		15.41	6.96				
FIDELITY U	J.S. BOND INDEX FUND, F							
04/28/20	0.44	0.44						
FIDELITY 5	600 INDEX FUND, FXAIX, 3	315911750						
04/08/20	6.12			6.01	0.11			
07/10/20	8.21	0.03		7.68	0.50			
10/02/20	7.55	0.03		7.07	0.45			
12/11/20	12.10	0.05		11.33	0.72			
Subtotals	33.98	0.11		32.09	1.78			
GENERAL E	ELECTRIC CO COM USDO	0.06, GE, 369604103						
07/27/20	0.12			0.12				
10/26/20	0.12			0.12				
Subtotals	0.24			0.24				
GILEAD SC	CIENCES INC COM USDO.	001, GILD, 375558103						
06/29/20	5.44			5.44				
09/29/20	10.88			10.88				



SAGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-DIV Transactions**

#### **Total Ordinary Dividends and Distributions Detail**

Description,	, Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	<b>11</b> Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreig Tax Pai
GILEAD SC	CIENCES INC COM USDO.	001, GILD, 375558103						
12/30/20	10.88			10.88				
Subtotals	27.20			27.20				
INTEL COR	RP COM USD0.001, INTC,	458140100						
12/01/20	5.61			5.61				
INTERNATI	IONAL BUS MACH CORP	COM USD0.20, IBM, 4	59200101					
06/10/20	4.89			4.89				
09/10/20	4.89			4.89				
12/10/20	4.89			4.89				
Subtotals	14.67			14.67				
INVESCO L	_TD, IVZ, G491BT108							
06/03/20	1.55			1.55				
09/01/20	1.55			1.55				
12/02/20	1.55			1.55				
Subtotals	4.65			4.65				
JPMORGA	N CHASE & CO, JPM, 4662	25H100						
04/30/20	1.80			1.80				
07/31/20	4.50			4.50				
10/31/20	4.50			4.50				
Subtotals	10.80			10.80				
LOCKHEED	D MARTIN CORP COM US	D1.00, LMT, 53983010	)9					
09/25/20	4.80			4.80				
12/24/20	5.20			5.20				
Subtotals	10.00			10.00				

02/21/2021 9006000000 Pages 23 of 30



SAGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreig Tax Pai
MERCK &C	O. INC COM, MRK, 58933	Y105						
04/07/20	1.22			1.22				
07/07/20	1.83			1.83				
10/07/20	4.27			4.27				
Subtotals	7.32			7.32				
MGM RESC	ORTS INTERNATIONAL CO	OM USD0.01, MGM, 5	52953101					
06/15/20	0.01			0.01				
09/15/20	0.01			0.01				
12/15/20	0.01			0.01				
Subtotals	0.03			0.03				
MICROSOF	T CORP, MSFT, 59491810							
06/11/20	2.55			2.55				
09/10/20	3.57			3.57				
12/10/20	3.92			3.92				
Subtotals	10.04			10.04				
ROYAL DU	TCH SHELL A SHS EUR0.	07 (DUTCH, RYDAF, 0	G7690A100					
06/22/20	1.58			1.58				0.2
09/21/20	1.57			1.57				0.2
12/16/20	1.67			1.67				0.2
Subtotals	4.82			4.82				0.7
SPDR S&P5	500 ETF TRUST TRUST U	NIT, SPY, 78462F103						
10/30/20	1.34			1.34				
01/29/21	4.74			4.74				
Subtotals	6.08			6.08				



SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreigr Tax Paid
STARBUCK	S CORP COM USD0.001,	SBUX, 855244109						
05/22/20	4.10			4.10				
08/21/20	4.10			4.10				
11/27/20	4.50			4.50				
Subtotals	12.70			12.70				
UNITEDHEA	ALTH GROUP INC, UNH, 9	91324P102						
12/15/20	3.75			3.75				
VANGUARD	INDEX FUNDS S&P 500	ETF USD, VOO, 9229	08363					
12/28/20	2.77			2.77				
VISA INC, V	, 92826C839							
06/02/20	0.60			0.60				
09/01/20	0.60			0.60				
12/01/20	0.64			0.64				
Subtotals	1.84			1.84				
WALMART I	INC COM, WMT, 9311421							
09/08/20	1.62			1.62				
WELLS FAR	RGO CO NEW COM, WFC	, 949746101						
06/01/20	1.53			1.53				
09/01/20	0.60			0.60				
12/01/20	0.60			0.60				
Subtotals	2.73			2.73				

02/21/2021 9006000000 Pages 25 of 30



SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

#### **Details of 1099-DIV Transactions**

**Total Ordinary Dividends and Distributions Detail** 

Description,	Symbol, CUSIP							
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	<b>7</b> Foreign Tax Paid
TOTALS	259.49	4.33	31.73	221.65	1.78	0.00	0.00	1.24

Short-term capital gain distributions reported on monthly/quarterly account statements are included in 1a Total Ordinary Dividends on Form 1099-DIV.

To see the **2020** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit **Fidelity.com/fundtaxinfo**.

**Total Capital Gains Distributions Detail** 

Description	n, Symbol, CUSIP				
Date	2a Total Capital Gain Distr. (m)	Capital Gain Distributions Subject to Applicable Rate (m)	<b>2b</b> Unrecaptured Section 1250 Gain	<b>2c</b> Section 1202 Gain	2d Collectible (28%) Gai
FIDELITY (	CHINA REGION, FHKCX, 315910778				
12/04/20	3.08	3.08			
FIDELITY S	SELECT MED TECHNOLOGY & DEVICE	ES, FSMEX, 316390475			
12/18/20	24.15	24.15			
FIDELITY S	SELECT SOFTWARE & IT SRVC, FSCS.	X, 316390822			
04/08/20	3.93	3.93			
12/18/20	23.32	23.32			
Subtotals	27.25	27.25			
TOTALS	54.48	54.48	0.00	0.00	0.00

<sup>(</sup>m) 2a Total Capital Gain includes 2b, 2c and 2d. The portion of Capital Gain Distributions is subject to Applicable Rate.



December Complete CLICID

## 2020 SUPPLEMENTAL INFORMATION

SAGAR BABUBHAI PATEL

Account No. **X91-851666** Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

#### **Details of 1099-DIV Transactions**

#### Other Distributions, Tax and Expense Detail

Date	3 Non Dividend Distribution	4 Federal Income Tax Withheld	13 State	15 State Tax Withheld	6 Investment Expenses (n)	<b>9</b> Cash Liquidation Distribution	10 Noncash Liquidation Distribution
MFA FINAN	CIAL INC, MFA, 55272X	102					
MFA FINAN 10/30/20	CIAL INC, MFA, 55272X 0.75	102					

Dividends and other distributions sometimes must be reclassified by the issuer. As a result, we may be required to send you a corrected Tax Reporting Statement that may affect the information you report on your tax return.

02/21/2021 9006000000 Pages 27 of 30

<sup>(</sup>n) Reported widely held fixed investment trust dividend amounts include expenses which were not distributed to trust holders. Those amounts are listed separately in **1a** Total ordinary dividends. The equivalent expense amounts are also detailed in the supplemental (1099-DIV) Other Distribution, Tax, and Expense Details, column **6**. Tax exempt interest dividend expenses are listed as separate entries in column **11** and in the separate Tax-Exempt Interest Expenses section shown later in this statement.



SAGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Details of 1099-INT Transactions**

#### **Interest Income Details, Taxable Obligations**

Description, Sy	Description, Symbol, CUSIP									
Date	1 Interest Income	<b>6</b> Foreign Tax Paid	11 Bond Premium	Noncovered Bond Premium	<b>10</b> Market Discount	Noncovered Market Discount				
CASH, FCASH	, 315994103									
03/31/20	0.23									
04/30/20	0.01									
10/30/20	0.01									
11/30/20	0.01									
Subtotals	0.26									
TOTALS	0.26	0.00	0.00	0.00	0.00	0.00				



SAGAR BABUBHAI PATEL

Account No. X91-851666 Customer Service: 800-544-6666 Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Account Fees		
Description	Date	Amount
BP PLC SPONSORED ADR	06/19/20	0.03
BP PLC SPONSORED ADR	09/25/20	0.03
BP PLC SPONSORED ADR	12/18/20	0.03
SASOL LTD SPON ADR(EACH CNV TO 1 ORD)	06/09/20	0.16
TOTAL		0.25

02/21/2021 9006000000 Pages 29 of 30



SAGAR BABUBHAI PATEL

X91-851666 Customer Service: Recipient ID No. \*\*\*-\*\*-4748 Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

### **Foreign Income and Taxes Summary**

This schedule lists all income and foreign tax by country. Foreign income and tax from mutual funds is listed in a separate section with a country designation of "RIC"

#### **Equities and Bonds**

Country	Security description	CUSIP		Dividends	Interest	Total Foreign	Foreign Tax
			Nonqualified	Qualified		Income	Paid
UK							
ROYAL DU	TCH SHELL A SHS EUR0.07 (DUTCH	G7690A100	0.00	4.82	0.00	4.82	0.73
Total UNITED	KINGDOM		0.00	4.82	0.00	4.82	0.73
TOTAL Equi	TOTAL Equities and Bonds		0.00	4.82	0.00	4.82	0.73
RICs							
Country	Security description	CUSIP		Dividends		Total Foreign	Foreign Tax
			Nonqualified	Qualified	Interest	Income	Paid
RIC							
FIDELITY C	CHINA REGION	315910778	2.47	2.47	0.00	4.94	0.51
TOTAL RICs			2.47	2.47	0.00	4.94	0.51

Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied. N/A - Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Important Tax Return Document Enclosed.

02/21/2021 9006000000 Pages 30 of 30