

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 781095542</b>	Statement Date: 02/14/2021 Document ID: U267 ENX X767	2020
	Anitha Amara 1300 E Algonquin Rd 2Q Schaumburg, IL 60173  RECIPIENT'S TIN: XXX-XX-3921		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      21.58</p> <p>1b- Qualified dividends      18.22</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.10</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	162,807.79	157,515.79	0.00	2,250.18	7,542.18
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.25	6.73	0.00	0.00	-0.48
<b>Total Short-term</b>		<b>162,814.04</b>	<b>157,522.52</b>	<b>0.00</b>	<b>2,250.18</b>	<b>7,541.70</b>
Long	D (basis reported to the IRS)	0.37	0.33	0.00	0.00	0.04
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>		<b>0.37</b>	<b>0.33</b>	<b>0.00</b>	<b>0.00</b>	<b>0.04</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand total</b>		<b>162,814.41</b>	<b>157,522.85</b>	<b>0.00</b>	<b>2,250.18</b>	<b>7,541.74</b>
<b>Withholding</b>		<b>Amount</b>				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 781095542

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME****2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	105.34
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 12/31/2020 CALL \$3.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/22/20	5.00	...	-5.00	Option expiration- long call
ADT INC. / CUSIP: 00090Q103 / Symbol:							
5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.002	0.02	09/24/20	0.02	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.002	0.02	09/24/20	0.02	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.084	0.70	11/09/20	0.67	...	0.03	3 of 5 - Sale <sup>25</sup>
	0.116	0.98	11/09/20	0.92	...	0.06	4 of 5 - Sale <sup>25</sup>
	0.138	1.16	11/09/20	1.10	...	0.06	5 of 5 - Sale <sup>25</sup>
12/04/20	0.342	2.88	Various	2.73	...	0.15	Total of 5 transactions
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.116	0.95	09/24/20	0.99	0.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	49.03	09/24/20	51.24	2.21 W	0.00	2 of 3 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADT INC. / CUSIP: 00090Q103 / Symbol: (cont'd)							
12/04/20	5.590	47.14	09/24/20	47.73	0.59 W	0.00	3 of 3 - Sale <sup>25</sup>
	11.706	97.12	Various	99.96	2.84 W	0.00	Total of 3 transactions
	4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	0.004	0.03	11/09/20	0.03	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.146	1.23	11/09/20	1.12	...	0.11	2 of 4 - Sale <sup>25</sup>
	3.000	25.56	11/09/20	23.14	...	2.42	3 of 4 - Sale <sup>25</sup>
	5.775	48.74	11/09/20	46.06	...	2.68	4 of 4 - Sale <sup>25</sup>
12/08/20	8.924	75.56	Various	70.35	...	5.21	Total of 4 transactions
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	1.494	12.60	11/09/20	11.36	...	1.24	1 of 2 - Sale <sup>25</sup>
	2.444	20.61	11/09/20	18.86	...	1.75	2 of 2 - Sale <sup>25</sup>
12/09/20	3.938	33.21	Various	30.22	...	2.99	Total of 2 transactions
	<b>Security total:</b>	<b>208.77</b>		<b>203.26</b>	<b>2.84 W</b>	<b>8.35</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
	20 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.606	2.48	10/08/20	2.45	...	0.03	1 of 20 - Sale <sup>25</sup>
	12.394	50.82	10/08/20	50.20	...	0.62	2 of 20 - Sale <sup>25</sup>
	0.030	0.12	10/12/20	0.12	...	0.00	3 of 20 - Sale <sup>25</sup>
	12.793	52.45	10/12/20	51.75	...	0.70	4 of 20 - Sale <sup>25</sup>
	12.389	50.79	10/12/20	49.93	...	0.86	5 of 20 - Sale <sup>25</sup>
	0.174	0.71	10/14/20	0.61	...	0.10	6 of 20 - Sale <sup>25</sup>
	0.292	1.20	10/14/20	1.03	...	0.17	7 of 20 - Sale <sup>25</sup>
	9.813	40.23	10/14/20	28.64	...	11.59	8 of 20 - Sale <sup>25</sup>
	0.003	0.01	10/19/20	0.01	...	0.00	9 of 20 - Sale <sup>25</sup>
	0.168	0.69	10/19/20	0.57	...	0.12	10 of 20 - Sale <sup>25</sup>
	1.000	4.10	10/19/20	3.42	...	0.68	11 of 20 - Sale <sup>25</sup>
	15.000	61.50	10/19/20	53.07	...	8.43	12 of 20 - Sale <sup>25</sup>
	0.058	0.24	10/23/20	0.17	...	0.07	13 of 20 - Sale <sup>25</sup>
	1.420	5.34	10/23/20	5.10	...	0.24	14 of 20 - Sale <sup>25</sup>
	3.564	14.61	10/23/20	10.83	...	3.78	15 of 20 - Sale <sup>25</sup>
	17.346	65.29	10/23/20	52.73	...	12.56	16 of 20 - Sale <sup>25</sup>
	2.708	10.19	10/27/20	7.80	...	2.39	17 of 20 - Sale <sup>25</sup>
	10.000	37.64	10/27/20	27.30	...	10.34	18 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	1.490	5.29	10/30/20	3.56	...	1.73	19 of 20 - Sale <sup>25</sup>
	8.016	30.18	10/30/20	19.16	...	11.02	20 of 20 - Sale <sup>25</sup>
11/09/20	109.263	433.88	Various	368.45	...	65.43	Total of 20 transactions
	13 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	5.000	20.50	09/04/20	31.40	10.90 W	0.00	1 of 13 - Sale <sup>25</sup>
	5.510	22.59	09/04/20	34.60	12.01 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.885	7.73	09/23/20	9.00	1.27 W	0.00	3 of 13 - Sale <sup>25</sup>
	5.000	20.50	10/12/20	31.05	10.55 W	0.00	4 of 13 - Sale <sup>25</sup>
	5.510	22.59	10/12/20	34.21	11.62 W	0.00	5 of 13 - Sale <sup>25</sup>
	1.885	7.73	10/12/20	8.87	1.14 W	0.00	6 of 13 - Sale <sup>25</sup>
	5.000	20.50	10/12/20	30.77	10.27 W	0.00	7 of 13 - Sale <sup>25</sup>
	5.510	22.59	10/12/20	33.91	11.32 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.420	5.82	10/12/20	6.60	0.78 W	0.00	9 of 13 - Sale <sup>25</sup>
	5.000	18.82	10/23/20	25.47	6.65 W	0.00	10 of 13 - Sale <sup>25</sup>
	5.510	20.74	10/23/20	28.07	7.33 W	0.00	11 of 13 - Sale <sup>25</sup>
	5.000	17.76	10/30/20	18.60	0.84 W	0.00	12 of 13 - Sale <sup>25</sup>
	5.510	19.57	10/30/20	20.50	0.93 W	0.00	13 of 13 - Sale <sup>25</sup>
11/09/20	57.737	227.44	Various	313.05	85.61 W	0.00	Total of 13 transactions
11/10/20	0.904	3.62	10/30/20	2.16	...	1.46	Sale <sup>25</sup>
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	5.000	20.00	11/09/20	20.83	0.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.096	16.38	11/09/20	17.06	0.68 W	0.00	2 of 2 - Sale <sup>25</sup>
11/10/20	9.096	36.38	Various	37.89	1.51 W	0.00	Total of 2 transactions
	8 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	0.904	3.91	11/09/20	3.77	...	0.14	1 of 8 - Sale <sup>25</sup>
	0.510	2.20	11/11/20	1.67	...	0.53	2 of 8 - Sale <sup>25</sup>
	1.591	6.87	11/11/20	5.18	...	1.69	3 of 8 - Sale <sup>25</sup>
	1.591	6.88	11/13/20	4.77	...	2.11	4 of 8 - Sale <sup>25</sup>
	3.409	14.73	11/13/20	10.25	...	4.48	5 of 8 - Sale <sup>25</sup>
	1.015	4.39	11/17/20	3.17	...	1.22	6 of 8 - Sale <sup>25</sup>
	1.490	6.44	11/17/20	4.66	...	1.78	7 of 8 - Sale <sup>25</sup>
	3.490	15.08	11/17/20	10.32	...	4.76	8 of 8 - Sale <sup>25</sup>
11/24/20	14.000	60.50	Various	43.79	...	16.71	Total of 8 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>761.82</b>		<b>765.34</b>		<b>87.12 W</b>	<b>83.60</b>
ACORDA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00484M106 / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	100.000	95.99	10/09/20	72.48	...	23.51	1 of 2 - Sale <sup>25</sup>
	100.000	95.99	10/09/20	71.00	...	24.99	2 of 2 - Sale <sup>25</sup>
10/19/20	200.000	191.98	Various	143.48	...	48.50	Total of 2 transactions
7 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	11.000	10.09	10/26/20	9.41	...	0.68	1 of 7 - Sale <sup>25</sup>
	11.904	10.92	11/05/20	10.00	...	0.92	2 of 7 - Sale <sup>25</sup>
	12.000	11.00	11/16/20	9.39	...	1.61	3 of 7 - Sale <sup>25</sup>
	24.000	22.01	11/16/20	18.96	...	3.05	4 of 7 - Sale <sup>25</sup>
	6.000	5.50	11/23/20	4.15	...	1.35	5 of 7 - Sale <sup>25</sup>
	41.000	37.60	11/23/20	28.37	...	9.23	6 of 7 - Sale <sup>25</sup>
	92.159	84.50	11/25/20	65.75	...	18.75	7 of 7 - Sale <sup>25</sup>
12/02/20	198.063	181.62	Various	146.03	...	35.59	Total of 7 transactions
6 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.743	0.69	10/20/20	1.10	0.41 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.319	1.18	10/20/20	1.95	0.77 W	0.00	2 of 6 - Sale <sup>25</sup>
	7.937	7.28	10/20/20	11.75	4.47 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.743	0.68	11/04/20	1.11	0.43 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.319	1.21	11/04/20	2.00	0.79 W	0.00	5 of 6 - Sale <sup>25</sup>
	7.937	7.28	11/04/20	7.42	0.14 W	0.00	6 of 6 - Sale <sup>25</sup>
12/02/20	20.000	18.32	Various	25.33	7.01 W	0.00	Total of 6 transactions
<b>Security total:</b>		<b>391.92</b>		<b>314.84</b>	<b>7.01 W</b>	<b>84.09</b>	
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.108	51.45	11/12/20	50.00	...	1.45	1 of 2 - Sale <sup>25</sup>
	0.207	99.27	11/16/20	95.37	...	3.90	2 of 2 - Sale <sup>25</sup>
11/30/20	0.315	150.72	Various	145.37	...	5.35	Total of 2 transactions
12/02/20	0.010	4.80	11/16/20	4.63	...	0.17	Sale <sup>25</sup>
<b>Security total:</b>		<b>155.52</b>		<b>150.00</b>	...	<b>5.52</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGIOS PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 00847X104 / Symbol:							
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	45.15	12/08/20	37.15	...	8.00	1 of 3 - Sale <sup>25</sup>
	1.000	45.01	12/10/20	33.96	...	11.05	2 of 3 - Sale <sup>25</sup>
	1.000	45.15	12/10/20	33.97	...	11.18	3 of 3 - Sale <sup>25</sup>
12/21/20	3.000	135.31	Various	105.08	...	30.23	Total of 3 transactions
ALBEMARLE CORPORATION / CUSIP: 012653101 / Symbol:							
10/01/20	1.770	158.00	09/23/20	150.00	...	8.00	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
09/29/20	2.000	556.54	09/24/20	538.49	...	18.05	Sale <sup>25</sup>
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	0.348	94.25	11/04/20	100.00	5.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.649	175.75	11/05/20	186.44	4.96 W	-5.73	2 of 2 - Sale <sup>25</sup>
11/23/20	0.997	270.00	Various	286.44	10.71 W	-5.73	Total of 2 transactions
11/24/20	0.000	0.09	11/05/20	0.09	...	0.00	Sale <sup>25</sup>
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.351	97.05	11/05/20	100.91	3.86 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.348	96.16	11/05/20	103.31	7.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.205	56.70	11/05/20	60.91	4.21 W	0.00	3 of 3 - Sale <sup>25</sup>
11/24/20	0.904	249.91	Various	265.13	15.22 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,076.54</b>		<b>1,090.15</b>	<b>25.93 W</b>	<b>12.32</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1,475.77	10/02/20	1,468.85	...	6.92	1 of 2 - Sale <sup>25</sup>
	1.000	1,475.78	10/02/20	1,466.00	...	9.78	2 of 2 - Sale <sup>25</sup>
10/08/20	2.000	2,951.55	Various	2,934.85	...	16.70	Total of 2 transactions
11/12/20	0.012	21.02	10/30/20	19.47	...	1.55	Sale <sup>25</sup>
11/30/20	0.000	0.58	10/30/20	0.53	...	0.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,973.15</b>		<b>2,954.85</b>	...	<b>18.30</b>	
ALPS ALPINE CO., LTD. AMERICAN DEPOSITARY RECEIPTS - UNSPONS ORED / CUSIP: 021090204 / Symbol:							
12/22/20	0.205	5.28	12/11/20	5.00	...	0.28	Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTERYX, INC. / CUSIP: 02156B103 / Symbol:							
12/02/20	0.043	5.07	11/06/20	5.00	...	0.07	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
<i>6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	0.032	100.43	08/03/20	100.00	...	0.43	1 of 6 - Sale <sup>25</sup>
	0.014	44.55	09/11/20	44.06	...	0.49	2 of 6 - Sale <sup>25</sup>
	0.055	172.95	09/11/20	171.33	...	1.62	3 of 6 - Sale <sup>25</sup>
	0.247	780.55	09/11/20	772.10	...	8.45	4 of 6 - Sale <sup>25</sup>
	0.652	2,053.22	09/11/20	2,029.80	...	23.42	5 of 6 - Sale <sup>25</sup>
	0.684	2,163.56	09/11/20	2,126.01	...	37.55	6 of 6 - Sale <sup>25</sup>
09/28/20	1.684	5,315.26	Various	5,243.30	...	71.96	Total of 6 transactions
09/28/20	0.316	993.49	09/11/20	1,000.00	6.51 W	0.00	Sale <sup>25</sup>
<i>8 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.069	219.71	09/11/20	213.21	...	6.50	1 of 8 - Sale <sup>25</sup>
	0.499	1,596.76	09/16/20	1,550.00	...	46.76	2 of 8 - Sale <sup>25</sup>
	0.068	216.20	09/17/20	202.13	...	14.07	3 of 8 - Sale <sup>25</sup>
	0.071	226.02	09/17/20	211.11	...	14.91	4 of 8 - Sale <sup>25</sup>
	0.097	309.28	09/17/20	288.89	...	20.39	5 of 8 - Sale <sup>25</sup>
	0.166	531.47	09/17/20	500.00	...	31.47	6 of 8 - Sale <sup>25</sup>
	0.267	853.52	09/17/20	797.87	...	55.65	7 of 8 - Sale <sup>25</sup>
	0.115	368.89	09/18/20	340.91	...	27.98	8 of 8 - Sale <sup>25</sup>
10/01/20	1.350	4,321.85	Various	4,104.12	...	217.73	Total of 8 transactions
<i>5 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.054	173.74	09/18/20	159.09	...	14.65	1 of 5 - Sale <sup>25</sup>
	0.043	137.42	10/02/20	132.97	...	4.45	2 of 5 - Sale <sup>25</sup>
	0.127	408.78	10/02/20	395.55	...	13.23	3 of 5 - Sale <sup>25</sup>
	0.132	425.25	10/02/20	411.48	...	13.77	4 of 5 - Sale <sup>25</sup>
	0.306	987.15	10/06/20	946.68	...	40.47	5 of 5 - Sale <sup>25</sup>
10/09/20	0.660	2,132.34	Various	2,045.77	...	86.57	Total of 5 transactions
<i>3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.28	10/06/20	0.25	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.003	9.02	10/06/20	8.07	...	0.95	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.056	193.02	10/13/20	193.00	...	0.02	3 of 3 - Sale <sup>25</sup>
10/13/20	0.058	202.32	Various	201.32	...	1.00	Total of 3 transactions
10/13/20	0.232	802.58	10/13/20	803.06	0.48 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.001	3.46	10/13/20	3.58	0.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.35	10/13/20	0.36	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	0.001	3.81	Various	3.94	0.13 W	0.00	Total of 2 transactions
10/27/20	0.020	64.56	10/20/20	64.03	...	0.53	Sale <sup>25</sup>
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	0.030	97.22	10/16/20	100.06	2.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.027	88.22	10/19/20	90.06	1.84 W	0.00	2 of 2 - Sale <sup>25</sup>
10/27/20	0.057	185.44	Various	190.12	4.68 W	0.00	Total of 2 transactions
	4 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	0.011	36.04	10/20/20	36.03	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.008	24.45	10/23/20	24.02	...	0.43	2 of 4 - Sale <sup>25</sup>
	0.031	101.35	10/23/20	100.07	...	1.28	3 of 4 - Sale <sup>25</sup>
	0.040	128.62	10/26/20	127.53	...	1.09	4 of 4 - Sale <sup>25</sup>
10/28/20	0.090	290.46	Various	287.65	...	2.81	Total of 4 transactions
	14 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	0.000	0.33	10/30/20	0.33	...	0.00	1 of 14 - Sale <sup>25</sup>
	0.000	0.58	10/30/20	0.56	...	0.02	2 of 14 - Sale <sup>25</sup>
	0.001	3.61	10/30/20	3.45	...	0.16	3 of 14 - Sale <sup>25</sup>
	0.027	86.05	10/30/20	85.78	...	0.27	4 of 14 - Sale <sup>25</sup>
	0.007	20.98	10/30/20	20.00	...	0.98	5 of 14 - Sale <sup>25</sup>
	0.032	102.91	10/30/20	100.00	...	2.91	6 of 14 - Sale <sup>25</sup>
	0.057	181.00	10/30/20	176.66	...	4.34	7 of 14 - Sale <sup>25</sup>
	0.066	208.94	10/30/20	200.00	...	8.94	8 of 14 - Sale <sup>25</sup>
	0.162	512.72	10/30/20	500.00	...	12.72	9 of 14 - Sale <sup>25</sup>
	0.132	419.22	10/30/20	400.00	...	19.22	10 of 14 - Sale <sup>25</sup>
	0.164	520.32	10/30/20	499.44	...	20.88	11 of 14 - Sale <sup>25</sup>
	0.385	1,222.23	10/30/20	1,192.16	...	30.07	12 of 14 - Sale <sup>25</sup>
	0.010	32.23	11/02/20	30.00	...	2.23	13 of 14 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.919	2,915.39	11/02/20	2,721.08	...	194.31	14 of 14 - Sale <sup>25</sup>
11/04/20	1.962	6,226.51	Various	5,929.46	...	297.05	Total of 14 transactions
	4 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	0.007	22.37	10/26/20	22.56	0.19 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.97	10/27/20	1.04	0.07 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.030	94.83	10/30/20	95.34	0.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.001	2.31	10/30/20	2.33	0.02 W	0.00	4 of 4 - Sale <sup>25</sup>
11/04/20	0.038	120.48	Various	121.27	0.79 W	0.00	Total of 4 transactions
	8 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	0.000	1.01	11/02/20	0.98	...	0.03	1 of 8 - Sale <sup>25</sup>
	0.001	2.41	11/02/20	2.17	...	0.24	2 of 8 - Sale <sup>25</sup>
	0.001	3.31	11/02/20	2.98	...	0.33	3 of 8 - Sale <sup>25</sup>
	0.007	23.34	11/02/20	21.07	...	2.27	4 of 8 - Sale <sup>25</sup>
	0.022	74.29	11/02/20	66.47	...	7.82	5 of 8 - Sale <sup>25</sup>
	0.029	95.63	11/02/20	86.04	...	9.59	6 of 8 - Sale <sup>25</sup>
	0.941	3,114.25	11/04/20	2,962.29	...	151.96	7 of 8 - Sale <sup>25</sup>
	1.000	3,329.44	11/04/20	3,149.37	...	180.07	8 of 8 - Sale <sup>25</sup>
11/05/20	2.001	6,643.68	Various	6,291.37	...	352.31	Total of 8 transactions
12/01/20	0.059	191.65	11/04/20	187.09	...	4.56	Sale <sup>25</sup>
	9 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.001	3.23	11/05/20	3.31	0.08 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.250	805.09	11/06/20	824.36	19.19 W	-0.08	2 of 9 - Sale <sup>25</sup>
	0.053	172.26	11/06/20	175.64	3.38 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.001	3.24	11/06/20	3.39	0.15 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.249	805.26	11/06/20	840.62	35.36 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.053	171.81	11/06/20	175.26	3.45 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.000	0.98	11/06/20	1.00	0.02 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.397	1,286.26	11/06/20	1,300.00	13.74 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.173	560.12	11/06/20	562.47	2.35 W	0.00	9 of 9 - Sale <sup>25</sup>
12/01/20	1.176	3,808.25	Various	3,886.05	77.72 W	-0.08	Total of 9 transactions
	20 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	0.168	549.23	11/06/20	571.12	...	-21.89	1 of 20 - Sale <sup>25</sup>
	0.079	255.21	11/06/20	268.28	...	-13.07	2 of 20 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.224	731.16	11/06/20	736.20	...	-5.04	3 of 20 - Sale <sup>25</sup>
	0.053	173.13	11/06/20	175.93	...	-2.80	4 of 20 - Sale <sup>25</sup>
	0.053	173.57	11/06/20	176.36	...	-2.79	5 of 20 - Sale <sup>25</sup>
	0.001	4.27	11/06/20	4.45	...	-0.18	6 of 20 - Sale <sup>25</sup>
	0.001	3.23	11/06/20	3.40	...	-0.17	7 of 20 - Sale <sup>25</sup>
	0.000	0.99	11/06/20	1.00	...	-0.01	8 of 20 - Sale <sup>25</sup>
	0.004	12.12	11/06/20	12.09	...	0.03	9 of 20 - Sale <sup>25</sup>
	0.169	559.02	11/06/20	556.76	...	2.26	10 of 20 - Sale <sup>25</sup>
	0.076	251.92	11/06/20	249.62	...	2.30	11 of 20 - Sale <sup>25</sup>
	0.031	103.46	11/09/20	100.43	...	3.03	12 of 20 - Sale <sup>25</sup>
	0.007	21.59	11/10/20	20.09	...	1.50	13 of 20 - Sale <sup>25</sup>
	0.007	22.70	11/10/20	20.96	...	1.74	14 of 20 - Sale <sup>25</sup>
	0.016	53.88	11/10/20	50.22	...	3.66	15 of 20 - Sale <sup>25</sup>
	0.033	108.58	11/10/20	100.00	...	8.58	16 of 20 - Sale <sup>25</sup>
	0.033	108.77	11/10/20	100.00	...	8.77	17 of 20 - Sale <sup>25</sup>
	0.042	139.74	11/10/20	129.61	...	10.13	18 of 20 - Sale <sup>25</sup>
	0.064	211.55	11/11/20	200.00	...	11.55	19 of 20 - Sale <sup>25</sup>
	0.017	56.91	11/12/20	54.24	...	2.67	20 of 20 - Sale <sup>25</sup>
12/28/20	1.080	3,541.03	Various	3,530.76	...	10.27	Total of 20 transactions
12/30/20	0.007	24.61	11/12/20	23.27	...	1.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>34,868.32</b>		<b>33,912.58</b>	<b>90.31 W</b>	<b>1,046.05</b>	
AMERICAN INTERNATIONAL GROUP, INC. / CUSIP: 026874784 / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.056	2.25	11/12/20	2.00	...	0.25	1 of 4 - Sale <sup>25</sup>
	0.218	8.72	11/12/20	7.74	...	0.98	2 of 4 - Sale <sup>25</sup>
	0.726	29.11	11/12/20	25.84	...	3.27	3 of 4 - Sale <sup>25</sup>
	1.000	40.02	11/12/20	35.58	...	4.44	4 of 4 - Sale <sup>25</sup>
12/09/20	2.000	80.10	Various	71.16	...	8.94	Total of 4 transactions
12/17/20	0.270	10.26	11/12/20	9.61	...	0.65	Sale <sup>25</sup>
12/23/20	2.000	75.59	11/12/20	71.15	...	4.44	Sale <sup>25</sup>
12/29/20	0.002	0.09	11/12/20	0.08	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>166.04</b>		<b>152.00</b>	<b>...</b>	<b>14.04</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN WELL CORPORATION / CUSIP: 03044L105 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.035	0.82	11/12/20	1.00	...	-0.18	1 of 2 - Sale <sup>25</sup>
	0.222	5.27	11/16/20	5.00	...	0.27	2 of 2 - Sale <sup>25</sup>
11/24/20	0.257	6.09	Various	6.00	...	0.09	Total of 2 transactions
ANTERIX INC. COMMON STOCK / CUSIP: 03676C100 / Symbol:							
12/01/20	0.750	22.72	11/18/20	21.46	...	1.26	Sale <sup>25</sup>
12/02/20	0.019	0.58	11/18/20	0.54	...	0.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23.30</b>		<b>22.00</b>	...	<b>1.30</b>	
APARTMENT INVESTMENT AND MANAG EMENT COMPANY / CUSIP: 03748R747 / Symbol:							
12/30/20	0.740	3.73	12/15/20	3.83	0.10 W	0.00	Sale <sup>25</sup>
12/31/20	0.001	0.00	12/15/20	0.00	...	0.00	Zero proceeds / negative sale <sup>25</sup>
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.999	10.15	12/15/20	10.35	0.10 W	-0.10	1 of 3 - Sale <sup>25</sup>
	0.740	3.76	12/15/20	3.93	0.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.260	1.32	12/15/20	1.34	0.02 W	0.00	3 of 3 - Sale <sup>25</sup>
12/31/20	2.999	15.23	Various	15.62	0.29 W	-0.10	Total of 3 transactions
	<b>Security total:</b>	<b>18.96</b>		<b>19.45</b>	<b>0.39 W</b>	<b>-0.10</b>	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	0.100	0.49	10/15/20	0.48	...	0.01	1 of 2 - Sale <sup>25</sup>
	5.000	24.66	10/15/20	24.18	...	0.48	2 of 2 - Sale <sup>25</sup>
11/02/20	5.100	25.15	Various	24.66	...	0.49	Total of 2 transactions
11/10/20	0.071	0.37	10/15/20	0.34	...	0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>25.52</b>		<b>25.00</b>	...	<b>0.52</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
9 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	0.003	0.30	09/09/20	0.30	...	0.00	1 of 9 - Sale <sup>25</sup>
	0.023	2.65	09/09/20	2.65	...	0.00	2 of 9 - Sale <sup>25</sup>
	0.138	16.02	09/23/20	15.16	...	0.86	3 of 9 - Sale <sup>25</sup>
	1.000	116.02	09/23/20	109.84	...	6.18	4 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	3.700	429.30	09/24/20	405.15	...	24.15	5 of 9 - Sale <sup>25</sup>
	4.393	509.66	09/29/20	500.00	...	9.66	6 of 9 - Sale <sup>25</sup>
	5.000	580.14	10/02/20	567.05	...	13.09	7 of 9 - Sale <sup>25</sup>
	0.347	40.24	10/07/20	39.71	...	0.53	8 of 9 - Sale <sup>25</sup>
	3.255	377.64	10/07/20	372.67	...	4.97	9 of 9 - Sale <sup>25</sup>
10/09/20	17.858	2,071.97	Various	2,012.53	...	59.44	Total of 9 transactions
	4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	0.006	0.71	08/24/20	0.76	0.05 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	464.05	08/24/20	501.24	37.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.139	16.15	09/09/20	16.18	0.03 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.998	579.86	09/09/20	580.87	1.01 W	0.00	4 of 4 - Sale <sup>25</sup>
10/09/20	9.142	1,060.77	Various	1,099.05	38.28 W	0.00	Total of 4 transactions
	10 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	0.006	0.75	10/07/20	0.75	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.001	0.08	10/12/20	0.08	...	0.00	2 of 10 - Sale <sup>25</sup>
	0.019	2.40	10/12/20	2.37	...	0.03	3 of 10 - Sale <sup>25</sup>
	0.139	17.07	10/12/20	16.79	...	0.28	4 of 10 - Sale <sup>25</sup>
	0.278	34.21	10/12/20	33.75	...	0.46	5 of 10 - Sale <sup>25</sup>
	0.439	53.80	10/12/20	53.30	...	0.50	6 of 10 - Sale <sup>25</sup>
	1.184	145.16	10/12/20	142.55	...	2.61	7 of 10 - Sale <sup>25</sup>
	4.998	612.75	10/12/20	602.73	...	10.02	8 of 10 - Sale <sup>25</sup>
	13.680	1,677.23	10/12/20	1,646.48	...	30.75	9 of 10 - Sale <sup>25</sup>
	22.230	2,737.54	10/13/20	2,722.83	...	14.71	10 of 10 - Sale <sup>25</sup>
10/13/20	42.973	5,280.99	Various	5,221.63	...	59.36	Total of 10 transactions
	10 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	0.439	53.85	10/07/20	54.32	0.47 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.019	2.38	10/07/20	2.41	0.03 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.300	36.82	10/07/20	37.17	0.35 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.001	0.07	10/07/20	0.08	0.01 W	0.00	4 of 10 - Sale <sup>25</sup>
	3.240	397.29	10/12/20	420.27	22.98 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.023	2.69	10/12/20	2.74	0.05 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	123.15	10/12/20	127.46	4.31 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.495	60.93	10/13/20	64.11	3.18 W	0.00	8 of 10 - Sale <sup>25</sup>

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(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.023	2.77	10/13/20	2.81	0.04 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.746	214.96	10/13/20	226.19	11.23 W	0.00	10 of 10 - Sale <sup>25</sup>
10/13/20	7.285	894.91	Various	937.56	42.65 W	0.00	Total of 10 transactions
	26 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.000	0.04	10/13/20	0.04	...	0.00	1 of 26 - Sale <sup>25</sup>
	0.001	0.15	10/13/20	0.15	...	0.00	2 of 26 - Sale <sup>25</sup>
	10.000	1,206.83	10/14/20	1,224.97	...	-18.14	3 of 26 - Sale <sup>25</sup>
	0.000	0.01	10/15/20	0.01	...	0.00	4 of 26 - Sale <sup>25</sup>
	0.106	12.75	10/15/20	12.63	...	0.12	5 of 26 - Sale <sup>25</sup>
	2.000	241.37	10/15/20	239.72	...	1.65	6 of 26 - Sale <sup>25</sup>
	0.001	0.09	10/19/20	0.09	...	0.00	7 of 26 - Sale <sup>25</sup>
	0.000	0.01	10/19/20	0.01	...	0.00	8 of 26 - Sale <sup>25</sup>
	0.195	23.57	10/19/20	23.09	...	0.48	9 of 26 - Sale <sup>25</sup>
	0.705	85.08	10/19/20	82.78	...	2.30	10 of 26 - Sale <sup>25</sup>
	1.000	120.68	10/19/20	118.16	...	2.52	11 of 26 - Sale <sup>25</sup>
	0.179	21.61	10/22/20	22.05	...	-0.44	12 of 26 - Sale <sup>25</sup>
	0.161	19.47	10/22/20	19.86	...	-0.39	13 of 26 - Sale <sup>25</sup>
	0.103	12.42	10/22/20	12.66	...	-0.24	14 of 26 - Sale <sup>25</sup>
	0.013	1.56	10/22/20	1.57	...	-0.01	15 of 26 - Sale <sup>25</sup>
	0.010	1.16	10/22/20	1.16	...	0.00	16 of 26 - Sale <sup>25</sup>
	0.311	37.51	10/22/20	36.37	...	1.14	17 of 26 - Sale <sup>25</sup>
	0.433	52.23	10/23/20	53.10	...	-0.87	18 of 26 - Sale <sup>25</sup>
	0.101	12.20	10/23/20	12.30	...	-0.10	19 of 26 - Sale <sup>25</sup>
	0.000	0.03	10/23/20	0.03	...	0.00	20 of 26 - Sale <sup>25</sup>
	0.000	0.02	10/23/20	0.02	...	0.00	21 of 26 - Sale <sup>25</sup>
	1.647	198.74	10/23/20	188.73	...	10.01	22 of 26 - Sale <sup>25</sup>
	0.353	42.65	10/29/20	39.24	...	3.41	23 of 26 - Sale <sup>25</sup>
	0.999	120.60	10/29/20	111.03	...	9.57	24 of 26 - Sale <sup>25</sup>
	0.484	58.47	10/30/20	53.10	...	5.37	25 of 26 - Sale <sup>25</sup>
	0.832	100.42	10/30/20	91.14	...	9.28	26 of 26 - Sale <sup>25</sup>
11/09/20	19.636	2,369.67	Various	2,344.01	...	25.66	Total of 26 transactions
	17 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	7.000	844.78	10/13/20	850.04	3.58 W	-1.68	1 of 17 - Sale <sup>25</sup>
	0.023	2.71	10/13/20	2.81	0.10 W	0.00	2 of 17 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.977	117.55	10/13/20	124.43	6.88 W	0.00	3 of 17 - Sale <sup>25</sup>
	0.023	2.74	10/13/20	2.87	0.13 W	0.00	4 of 17 - Sale <sup>25</sup>
	0.495	60.30	10/13/20	64.03	3.73 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.746	212.73	10/13/20	225.92	13.19 W	0.00	6 of 17 - Sale <sup>25</sup>
	22.421	2,732.40	10/13/20	2,757.52	25.12 W	0.00	7 of 17 - Sale <sup>25</sup>
	0.010	1.17	10/13/20	1.22	0.05 W	0.00	8 of 17 - Sale <sup>25</sup>
	0.013	1.57	10/13/20	1.64	0.07 W	0.00	9 of 17 - Sale <sup>25</sup>
	0.179	21.83	10/13/20	23.12	1.29 W	0.00	10 of 17 - Sale <sup>25</sup>
	0.798	97.30	10/13/20	103.07	5.77 W	0.00	11 of 17 - Sale <sup>25</sup>
	4.316	526.02	10/13/20	526.87	0.85 W	0.00	12 of 17 - Sale <sup>25</sup>
	0.023	2.72	10/13/20	2.88	0.16 W	0.00	13 of 17 - Sale <sup>25</sup>
	0.495	59.71	10/13/20	64.13	4.42 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.746	210.66	10/13/20	226.26	15.60 W	0.00	15 of 17 - Sale <sup>25</sup>
	0.622	75.12	10/13/20	76.68	1.56 W	0.00	16 of 17 - Sale <sup>25</sup>
	0.479	57.82	10/13/20	58.19	0.37 W	0.00	17 of 17 - Sale <sup>25</sup>
11/09/20	41.364	5,027.13	Various	5,111.68	82.87 W	-1.68	Total of 17 transactions
	7 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	0.000	0.04	10/30/20	0.04	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.023	2.71	10/30/20	2.62	...	0.09	2 of 7 - Sale <sup>25</sup>
	0.495	59.62	10/30/20	58.52	...	1.10	3 of 7 - Sale <sup>25</sup>
	0.370	44.59	10/30/20	40.74	...	3.85	4 of 7 - Sale <sup>25</sup>
	1.746	210.32	10/30/20	206.45	...	3.87	5 of 7 - Sale <sup>25</sup>
	0.479	57.72	10/30/20	52.76	...	4.96	6 of 7 - Sale <sup>25</sup>
	0.622	75.00	10/30/20	69.62	...	5.38	7 of 7 - Sale <sup>25</sup>
11/16/20	3.735	450.00	Various	430.75	...	19.25	Total of 7 transactions
	7 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.173	21.27	10/30/20	19.08	...	2.19	1 of 7 - Sale <sup>25</sup>
	0.260	31.85	10/30/20	28.57	...	3.28	2 of 7 - Sale <sup>25</sup>
	0.086	10.51	11/09/20	10.06	...	0.45	3 of 7 - Sale <sup>25</sup>
	0.017	2.14	11/18/20	2.06	...	0.08	4 of 7 - Sale <sup>25</sup>
	1.000	122.74	11/18/20	118.70	...	4.04	5 of 7 - Sale <sup>25</sup>
	1.000	122.88	11/23/20	117.98	...	4.90	6 of 7 - Sale <sup>25</sup>
	1.720	211.12	11/23/20	202.93	...	8.19	7 of 7 - Sale <sup>25</sup>
12/01/20	4.256	522.51	Various	499.38	...	23.13	Total of 7 transactions

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(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.02	11/23/20	0.02	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.010	1.27	11/23/20	1.13	...	0.14	2 of 4 - Sale <sup>25</sup>
	0.130	17.19	11/23/20	15.10	...	2.09	3 of 4 - Sale <sup>25</sup>
	0.860	113.45	12/17/20	110.27	...	3.18	4 of 4 - Sale <sup>25</sup>
12/24/20	1.000	131.93	Various	126.52	...	5.41	Total of 4 transactions
	<b>Security total:</b>	<b>17,809.88</b>		<b>17,783.11</b>	<b>163.80 W</b>	<b>190.57</b>	
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol:							
12/08/20	25.000	120.25	12/07/20	100.38	...	19.87	Sale <sup>25</sup>
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
11/13/20	1.400	10.38	10/15/20	9.95	...	0.43	Sale <sup>25</sup>
11/27/20	0.006	0.09	10/15/20	0.05	...	0.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10.47</b>		<b>10.00</b>	...	<b>0.47</b>	
ARCUS BIOSCIENCES, INC. / CUSIP: 03969F109 / Symbol:							
11/27/20	0.044	1.08	11/04/20	1.00	...	0.08	Sale <sup>25</sup>
ARCTURUS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 03969T109 / Symbol:							
12/11/20	0.097	10.49	12/08/20	10.00	...	0.49	Sale <sup>25</sup>
ARENA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 040047607 / Symbol:							
12/04/20	0.073	4.90	11/10/20	5.00	...	-0.10	Sale <sup>25</sup>
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
11/06/20	0.410	4.48	11/02/20	1.96	...	2.52	Sale <sup>25</sup>
12/02/20	0.008	0.08	11/02/20	0.04	...	0.04	Sale <sup>25</sup>
12/31/20	1.000	8.62	12/23/20	9.31	0.69 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13.18</b>		<b>11.31</b>	<b>0.69 W</b>	<b>2.56</b>	
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
11/12/20	9.800	31.51	10/15/20	29.69	...	1.82	Sale <sup>25</sup>
12/02/20	0.101	0.73	10/15/20	0.31	...	0.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>32.24</b>		<b>30.00</b>	...	<b>2.24</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754874 / Symbol:							
8 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.219	2.10	12/09/20	1.50	...	0.60	1 of 8 - Sale <sup>25</sup>
	0.600	5.78	12/09/20	4.13	...	1.65	2 of 8 - Sale <sup>25</sup>
	0.734	7.07	12/09/20	5.10	...	1.97	3 of 8 - Sale <sup>25</sup>
	0.747	7.19	12/09/20	5.00	...	2.19	4 of 8 - Sale <sup>25</sup>
	0.997	9.60	12/09/20	6.87	...	2.73	5 of 8 - Sale <sup>25</sup>
	1.900	18.30	12/09/20	13.14	...	5.16	6 of 8 - Sale <sup>25</sup>
	1.999	19.25	12/09/20	13.90	...	5.35	7 of 8 - Sale <sup>25</sup>
	2.805	27.01	12/09/20	19.12	...	7.89	8 of 8 - Sale <sup>25</sup>
12/10/20	10.000	96.30	Various	68.76	...	27.54	Total of 8 transactions
BP P.L.C. / CUSIP: 055622104 / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	12/08/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.281	6.19	12/08/20	6.16	...	0.03	2 of 3 - Sale <sup>25</sup>
	2.000	44.03	12/08/20	43.83	...	0.20	3 of 3 - Sale <sup>25</sup>
12/10/20	2.281	50.23	Various	50.00	...	0.23	Total of 3 transactions
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	0.001	0.08	12/09/20	0.08	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.064	10.34	12/09/20	9.92	...	0.42	2 of 2 - Sale <sup>25</sup>
12/11/20	0.065	10.42	Various	10.00	...	0.42	Total of 2 transactions
BANCO BILBAO VIZCAYA ARGENTARI A, S.A. / CUSIP: 05946K101 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	1.000	5.21	11/16/20	4.28	...	0.93	1 of 3 - Sale <sup>25</sup>
	0.100	0.52	11/27/20	0.47	...	0.05	2 of 3 - Sale <sup>25</sup>
	3.900	20.32	11/27/20	18.24	...	2.08	3 of 3 - Sale <sup>25</sup>
12/04/20	5.000	26.05	Various	22.99	...	3.06	Total of 3 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.001	0.02	06/11/20	0.02	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.757	20.15	06/11/20	18.64	...	1.51	2 of 4 - Sale <sup>25</sup>
	2.242	60.00	06/11/20	55.19	...	4.81	3 of 4 - Sale <sup>25</sup>
	1.758	47.05	10/28/20	43.97	...	3.08	4 of 4 - Sale <sup>25</sup>
11/09/20	4.758	127.22	Various	117.82	...	9.40	Total of 4 transactions
11/09/20	3.000	79.83	06/05/20	85.01	3.45 W	-1.73	Sale <sup>25</sup>
11/23/20	0.183	5.00	10/28/20	4.57	...	0.43	Sale <sup>25</sup>
11/25/20	0.059	1.72	10/28/20	1.48	...	0.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>213.77</b>		<b>208.88</b>	<b>3.45 W</b>	<b>8.34</b>	
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 06367V105 / Symbol:							
10/22/20	0.728	23.15	10/06/20	25.00	...	-1.85	Cash in lieu
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol:							
10/09/20	4.800	104.70	10/02/20	99.12	...	5.58	Sale <sup>25</sup>
8 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	0.006	0.12	10/02/20	0.12	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.037	0.79	10/02/20	0.76	...	0.03	2 of 8 - Sale <sup>25</sup>
	0.001	0.02	10/28/20	0.02	...	0.00	3 of 8 - Sale <sup>25</sup>
	0.190	4.09	10/28/20	4.04	...	0.05	4 of 8 - Sale <sup>25</sup>
	0.419	9.03	10/28/20	8.91	...	0.12	5 of 8 - Sale <sup>25</sup>
	0.554	11.92	10/28/20	11.78	...	0.14	6 of 8 - Sale <sup>25</sup>
	0.691	14.89	10/28/20	14.58	...	0.31	7 of 8 - Sale <sup>25</sup>
	2.836	61.06	10/28/20	60.37	...	0.69	8 of 8 - Sale <sup>25</sup>
11/06/20	4.734	101.92	Various	100.58	...	1.34	Total of 8 transactions
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	0.610	13.11	10/28/20	13.22	0.11 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.390	72.86	10/28/20	73.54	0.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.419	9.01	10/28/20	9.08	0.07 W	0.00	3 of 3 - Sale <sup>25</sup>
11/06/20	4.419	94.98	Various	95.84	0.86 W	0.00	Total of 3 transactions
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	0.051	1.04	11/09/20	1.08	...	-0.04	1 of 3 - Sale <sup>25</sup>
	4.949	98.18	11/09/20	98.02	...	0.16	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BED BATH & BEYOND INC. COMMON STOCK / CUSIP: 075896100 / Symbol: (cont'd)							
	0.949	19.15	11/09/20	18.79	...	0.36	3 of 3 - Sale <sup>25</sup>
11/13/20	5.949	118.37	Various	117.89	...	0.48	Total of 3 transactions
11/13/20	0.051	1.02	10/28/20	1.08	0.06 W	0.00	Sale <sup>25</sup>
11/25/20	0.051	1.11	11/09/20	1.02	...	0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>422.10</b>		<b>415.53</b>	<b>0.92 W</b>	<b>7.49</b>	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
10/01/20	2.954	501.22	09/29/20	500.00	...	1.22	Sale <sup>25</sup>
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.006	1.12	10/01/20	1.00	...	0.12	1 of 2 - Sale <sup>25</sup>
	1.994	378.28	10/13/20	368.88	...	9.40	2 of 2 - Sale <sup>25</sup>
10/14/20	2.000	379.40	Various	369.88	...	9.52	Total of 2 transactions
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	0.006	1.10	10/13/20	1.09	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.534	99.24	10/16/20	99.00	...	0.24	2 of 2 - Sale <sup>25</sup>
10/19/20	0.540	100.34	Various	100.09	...	0.25	Total of 2 transactions
	3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.005	0.76	10/16/20	1.00	0.24 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.303	42.52	10/27/20	50.00	7.48 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.689	96.72	11/04/20	103.21	3.59 W	-2.90	3 of 3 - Sale <sup>25</sup>
11/30/20	0.997	140.00	Various	154.21	11.31 W	-2.90	Total of 3 transactions
	4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	0.311	42.97	11/04/20	46.64	3.67 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.005	0.75	11/09/20	1.02	0.27 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.303	41.81	11/09/20	51.16	9.35 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.380	52.47	11/09/20	58.40	5.93 W	0.00	4 of 4 - Sale <sup>25</sup>
12/02/20	1.000	138.00	Various	157.22	19.22 W	0.00	Total of 4 transactions
	4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.002	0.24	11/09/20	0.26	0.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.310	43.94	11/09/20	48.31	4.37 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.000	0.06	11/09/20	0.07	0.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.816	115.76	11/09/20	117.63	1.87 W	0.00	4 of 4 - Sale <sup>25</sup>
12/03/20	1.127	160.00	Various	166.27	6.27 W	0.00	Total of 4 transactions

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.000	0.06	11/09/20	0.06	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.184	25.81	11/09/20	21.81	...	4.00	2 of 3 - Sale <sup>25</sup>
	0.235	32.97	11/09/20	27.30	...	5.67	3 of 3 - Sale <sup>25</sup>
12/04/20	0.420	58.84	Various	49.17	...	9.67	Total of 3 transactions
13 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.184	25.76	11/09/20	26.61	0.85 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.005	0.76	11/09/20	1.04	0.28 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.043	5.99	11/09/20	7.46	1.47 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.260	36.29	11/09/20	45.21	8.92 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.002	0.24	11/09/20	0.26	0.02 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.310	43.22	11/09/20	48.65	5.43 W	0.00	6 of 13 - Sale <sup>25</sup>
	0.000	0.06	11/09/20	0.07	0.01 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.184	25.68	11/09/20	29.18	3.50 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.196	27.50	11/09/20	31.11	3.61 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.048	6.77	11/09/20	7.02	0.25 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.005	0.76	11/09/20	0.90	0.14 W	0.00	11 of 13 - Sale <sup>25</sup>
	0.001	0.12	11/09/20	0.13	0.01 W	0.00	12 of 13 - Sale <sup>25</sup>
	0.043	6.01	11/09/20	6.34	0.33 W	0.00	13 of 13 - Sale <sup>25</sup>
12/04/20	1.282	179.16	Various	203.98	24.82 W	0.00	Total of 13 transactions
13 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.532	76.93	11/09/20	61.75	...	15.18	1 of 13 - Sale <sup>25</sup>
	0.002	0.24	11/12/20	0.24	...	0.00	2 of 13 - Sale <sup>25</sup>
	0.002	0.33	11/12/20	0.33	...	0.00	3 of 13 - Sale <sup>25</sup>
	0.024	3.44	11/12/20	3.30	...	0.14	4 of 13 - Sale <sup>25</sup>
	0.048	6.71	11/12/20	6.57	...	0.14	5 of 13 - Sale <sup>25</sup>
	0.231	32.04	11/20/20	35.71	...	-3.67	6 of 13 - Sale <sup>25</sup>
	0.028	3.94	11/20/20	4.39	...	-0.45	7 of 13 - Sale <sup>25</sup>
	0.005	0.75	11/20/20	0.80	...	-0.05	8 of 13 - Sale <sup>25</sup>
	0.017	2.32	11/20/20	2.37	...	-0.05	9 of 13 - Sale <sup>25</sup>
	0.007	0.99	11/20/20	1.03	...	-0.04	10 of 13 - Sale <sup>25</sup>
	0.001	0.12	11/20/20	0.12	...	0.00	11 of 13 - Sale <sup>25</sup>
	0.178	24.75	11/20/20	24.58	...	0.17	12 of 13 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol: (cont'd)							
12/09/20	1.850	256.96	11/20/20	248.24	...	8.72	13 of 13 - Sale <sup>25</sup>
	2.926	409.52	Various	389.43	...	20.09	Total of 13 transactions
9 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.028	4.11	11/12/20	4.69	0.58 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.005	0.78	11/12/20	0.85	0.07 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.231	33.38	11/12/20	38.14	4.76 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.178	25.80	11/12/20	26.47	0.67 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.007	0.99	11/12/20	1.06	0.07 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.124	17.25	11/12/20	18.44	1.19 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.000	0.06	11/12/20	0.07	0.01 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.184	25.55	11/12/20	27.59	2.04 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.196	27.24	11/12/20	29.30	2.06 W	0.00	9 of 9 - Sale <sup>25</sup>
12/09/20	0.955	135.16	Various	146.61	11.45 W	0.00	Total of 9 transactions
5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	0.184	25.90	11/20/20	26.72	...	-0.82	1 of 5 - Sale <sup>25</sup>
	0.196	27.61	11/20/20	28.37	...	-0.76	2 of 5 - Sale <sup>25</sup>
	0.124	17.48	11/20/20	17.85	...	-0.37	3 of 5 - Sale <sup>25</sup>
	0.000	0.06	11/20/20	0.07	...	-0.01	4 of 5 - Sale <sup>25</sup>
	0.095	13.42	11/20/20	12.78	...	0.64	5 of 5 - Sale <sup>25</sup>
12/11/20	0.600	84.47	Various	85.79	...	-1.32	Total of 5 transactions
12/16/20	0.064	8.89	11/20/20	8.56	...	0.33	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,295.00</b>		<b>2,331.21</b>	<b>73.07 W</b>	<b>36.86</b>	
BIG LOTS, INC. / CUSIP: 089302103 / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	5.996	292.95	09/29/20	299.28	...	-6.33	1 of 2 - Sale <sup>25</sup>
	5.989	292.60	09/30/20	268.68	...	23.92	2 of 2 - Sale <sup>25</sup>
10/06/20	11.986	585.55	Various	567.96	...	17.59	Total of 2 transactions
10/06/20	0.014	0.71	09/29/20	0.72	0.01 W	0.00	Sale <sup>25</sup>
11/06/20	0.011	0.55	09/30/20	0.49	...	0.06	Sale <sup>25</sup>
12/11/20	0.042	1.92	12/04/20	2.00	...	-0.08	Sale <sup>25</sup>
<b>Security total:</b>		<b>588.73</b>		<b>571.17</b>	<b>0.01 W</b>	<b>17.57</b>	

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.001	0.07	09/23/20	0.07	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.281	23.70	09/23/20	22.72	...	0.98	2 of 3 - Sale <sup>25</sup>
	1.000	84.23	09/23/20	80.83	...	3.40	3 of 3 - Sale <sup>25</sup>
09/23/20	1.282	108.00	Various	103.62	...	4.38	Total of 3 transactions
10/01/20	1.190	105.01	09/23/20	96.19	...	8.82	Sale <sup>25</sup>
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.002	0.24	09/23/20	0.19	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.198	20.31	10/08/20	18.50	...	1.81	2 of 3 - Sale <sup>25</sup>
	3.000	307.74	10/08/20	280.74	...	27.00	3 of 3 - Sale <sup>25</sup>
10/13/20	3.200	328.29	Various	299.43	...	28.86	Total of 3 transactions
12/04/20	0.930	74.35	11/06/20	73.82	...	0.53	Sale <sup>25</sup>
12/04/20	0.008	0.65	10/08/20	0.76	0.11 W	0.00	Sale <sup>25</sup>
12/09/20	0.419	32.70	11/09/20	30.65	...	2.05	Sale <sup>25</sup>
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.008	0.64	11/06/20	0.76	0.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.322	25.11	11/06/20	25.53	0.42 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.251	19.64	11/06/20	20.00	0.36 W	0.00	3 of 3 - Sale <sup>25</sup>
12/09/20	0.581	45.39	Various	46.29	0.90 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>694.39</b>		<b>650.76</b>	<b>1.01 W</b>	<b>44.64</b>	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
15 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.011	0.08	11/30/20	0.05	...	0.03	1 of 15 - Sale <sup>25</sup>
	0.193	1.38	11/30/20	1.00	...	0.38	2 of 15 - Sale <sup>25</sup>
	0.268	1.92	11/30/20	1.39	...	0.53	3 of 15 - Sale <sup>25</sup>
	1.000	7.16	11/30/20	4.95	...	2.21	4 of 15 - Sale <sup>25</sup>
	18.855	135.00	11/30/20	98.61	...	36.39	5 of 15 - Sale <sup>25</sup>
	0.090	0.64	12/01/20	0.43	...	0.21	6 of 15 - Sale <sup>25</sup>
	2.999	21.47	12/01/20	14.57	...	6.90	7 of 15 - Sale <sup>25</sup>
	9.901	70.89	12/01/20	50.00	...	20.89	8 of 15 - Sale <sup>25</sup>
	0.031	0.22	12/04/20	0.18	...	0.04	9 of 15 - Sale <sup>25</sup>
	0.144	1.03	12/04/20	0.85	...	0.18	10 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol: (cont'd)							
	9.995	71.56	12/04/20	59.15	...	12.41	11 of 15 - Sale <sup>25</sup>
	10.687	76.52	12/04/20	62.82	...	13.70	12 of 15 - Sale <sup>25</sup>
	0.564	4.04	12/09/20	3.96	...	0.08	13 of 15 - Sale <sup>25</sup>
	1.000	7.16	12/09/20	7.04	...	0.12	14 of 15 - Sale <sup>25</sup>
	4.263	30.52	12/09/20	30.30	...	0.22	15 of 15 - Sale <sup>25</sup>
12/22/20	60.000	429.59	Various	335.30	...	94.29	Total of 15 transactions
BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.043	10.69	11/09/20	10.00	...	0.69	1 of 3 - Sale <sup>25</sup>
	0.808	200.00	11/09/20	186.18	...	13.82	2 of 3 - Sale <sup>25</sup>
	0.957	237.06	11/09/20	220.58	...	16.48	3 of 3 - Sale <sup>25</sup>
12/02/20	1.808	447.75	Various	416.76	...	30.99	Total of 3 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.004	1.00	11/09/20	0.94	...	0.06	1 of 2 - Sale <sup>25</sup>
	0.230	56.77	11/09/20	53.02	...	3.75	2 of 2 - Sale <sup>25</sup>
12/04/20	0.234	57.77	Various	53.96	...	3.81	Total of 2 transactions
12/09/20	0.001	0.35	11/09/20	0.33	...	0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>505.87</b>		<b>471.05</b>	...	<b>34.82</b>	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
10/08/20	0.980	85.17	10/02/20	72.30	...	12.87	Sale <sup>25</sup>
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	0.020	1.86	10/02/20	1.48	...	0.38	1 of 4 - Sale <sup>25</sup>
	0.000	0.01	10/15/20	0.01	...	0.00	2 of 4 - Sale <sup>25</sup>
	1.752	163.17	10/15/20	149.99	...	13.18	3 of 4 - Sale <sup>25</sup>
	2.228	207.44	10/15/20	190.69	...	16.75	4 of 4 - Sale <sup>25</sup>
10/16/20	4.000	372.48	Various	342.17	...	30.31	Total of 4 transactions
10/19/20	0.108	10.04	10/15/20	9.24	...	0.80	Sale <sup>25</sup>
8 transactions for 10/30/20. Total proceeds and cost reported to the IRS.							
	0.001	0.06	10/15/20	0.06	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.000	0.01	10/15/20	0.01	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.000	0.01	10/21/20	0.01	...	0.00	3 of 8 - Sale <sup>25</sup>
	0.001	0.12	10/27/20	0.12	...	0.00	4 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIONTECH SE AMERICAN DEPOSITAR Y SHARE / CUSIP: 09075V102 / Symbol: (cont'd)							
	0.003	0.29	10/27/20	0.28	...	0.01	5 of 8 - Sale <sup>25</sup>
	1.000	85.52	10/27/20	82.60	...	2.92	6 of 8 - Sale <sup>25</sup>
	0.118	10.00	10/28/20	9.82	...	0.18	7 of 8 - Sale <sup>25</sup>
	0.860	73.52	10/28/20	69.02	...	4.50	8 of 8 - Sale <sup>25</sup>
10/30/20	1.984	169.53	Various	161.92	...	7.61	Total of 8 transactions
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	0.135	11.51	10/21/20	11.86	0.35 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	85.52	10/21/20	88.13	2.61 W	0.00	2 of 2 - Sale <sup>25</sup>
10/30/20	1.135	97.03	Various	99.99	2.96 W	0.00	Total of 2 transactions
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.006	0.63	10/28/20	0.47	...	0.16	1 of 3 - Sale <sup>25</sup>
	0.016	1.77	10/28/20	1.34	...	0.43	2 of 3 - Sale <sup>25</sup>
	0.978	107.08	11/09/20	99.70	...	7.38	3 of 3 - Sale <sup>25</sup>
11/10/20	1.000	109.48	Various	101.51	...	7.97	Total of 3 transactions
	5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.006	0.68	11/09/20	0.57	...	0.11	1 of 5 - Sale <sup>25</sup>
	0.016	1.93	11/09/20	1.65	...	0.28	2 of 5 - Sale <sup>25</sup>
	0.122	14.48	11/16/20	10.84	...	3.64	3 of 5 - Sale <sup>25</sup>
	1.000	119.04	11/16/20	89.16	...	29.88	4 of 5 - Sale <sup>25</sup>
	0.106	12.68	11/17/20	9.45	...	3.23	5 of 5 - Sale <sup>25</sup>
11/30/20	1.250	148.81	Various	111.67	...	37.14	Total of 5 transactions
	3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.006	0.75	11/17/20	0.55	...	0.20	1 of 3 - Sale <sup>25</sup>
	0.330	40.17	12/01/20	37.26	...	2.91	2 of 3 - Sale <sup>25</sup>
	0.663	80.61	12/01/20	74.80	...	5.81	3 of 3 - Sale <sup>25</sup>
12/09/20	1.000	121.53	Various	112.61	...	8.92	Total of 3 transactions
12/23/20	0.000	0.01	12/01/20	0.01	...	0.00	Sale <sup>25</sup>
	3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	0.336	33.62	12/01/20	37.94	4.32 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.844	84.35	12/01/20	91.54	7.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.319	31.92	12/01/20	34.64	2.72 W	0.00	3 of 3 - Sale <sup>25</sup>
12/23/20	1.500	149.89	Various	164.12	14.23 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,263.97</b>		<b>1,175.54</b>	<b>17.19 W</b>	<b>105.62</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
12/17/20	0.006	0.05	12/01/20	0.05	...	0.00	Sale <sup>25</sup>
	2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	2.098	17.50	12/01/20	18.73	0.88 W	-0.35	1 of 2 - Sale <sup>25</sup>
	0.596	4.97	12/01/20	5.32	0.35 W	0.00	2 of 2 - Sale <sup>25</sup>
12/17/20	2.694	22.47	Various	24.05	1.23 W	-0.35	Total of 2 transactions
	<b>Security total:</b>	<b>22.52</b>		<b>24.10</b>	<b>1.23 W</b>	<b>-0.35</b>	
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	0.203	2.16	09/23/20	1.66	...	0.50	1 of 2 - Sale <sup>25</sup>
	11.797	126.01	09/23/20	96.73	...	29.28	2 of 2 - Sale <sup>25</sup>
10/01/20	12.000	128.17	Various	98.39	...	29.78	Total of 2 transactions
	3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.196	1.92	09/23/20	1.61	...	0.31	1 of 3 - Sale <sup>25</sup>
	0.029	0.28	10/09/20	0.28	...	0.00	2 of 3 - Sale <sup>25</sup>
	13.774	135.11	10/13/20	127.96	...	7.15	3 of 3 - Sale <sup>25</sup>
10/14/20	13.998	137.31	Various	129.85	...	7.46	Total of 3 transactions
	9 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.812	7.97	10/06/20	8.80	0.83 W	0.00	1 of 9 - Sale <sup>25</sup>
	8.992	88.31	10/07/20	93.01	4.28 W	-0.42	2 of 9 - Sale <sup>25</sup>
	1.008	9.89	10/07/20	10.42	0.53 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.045	0.45	10/09/20	0.50	0.05 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.766	7.52	10/09/20	8.39	0.87 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.226	41.46	10/09/20	44.15	2.69 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.152	1.49	10/09/20	1.58	0.09 W	0.00	7 of 9 - Sale <sup>25</sup>
	3.802	37.30	10/09/20	39.34	2.04 W	0.00	8 of 9 - Sale <sup>25</sup>
	6.198	60.80	10/09/20	60.87	0.07 W	0.00	9 of 9 - Sale <sup>25</sup>
10/14/20	26.002	255.19	Various	267.06	11.45 W	-0.42	Total of 9 transactions
	5 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	0.001	0.01	10/21/20	0.01	...	0.00	1 of 5 - Sale <sup>25</sup>
	1.711	15.90	10/21/20	15.01	...	0.89	2 of 5 - Sale <sup>25</sup>
	0.188	1.75	11/04/20	1.75	...	0.00	3 of 5 - Sale <sup>25</sup>
	1.347	12.51	11/04/20	11.57	...	0.94	4 of 5 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
11/05/20	8.805	81.80	11/04/20	75.54	...	6.26	5 of 5 - Sale <sup>25</sup>
	12.052	111.97	Various	103.88	...	8.09	Total of 5 transactions
	10 transactions for 11/05/20. Total proceeds and cost reported to the IRS.						
	0.045	0.42	10/13/20	0.47	0.05 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.766	7.08	10/13/20	7.99	0.91 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.188	1.74	10/13/20	1.87	0.13 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.152	1.41	10/13/20	1.50	0.09 W	0.00	4 of 10 - Sale <sup>25</sup>
	3.802	35.32	10/13/20	37.36	2.04 W	0.00	5 of 10 - Sale <sup>25</sup>
	4.038	37.51	10/13/20	40.08	2.57 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.008	9.36	10/13/20	9.89	0.53 W	0.00	7 of 10 - Sale <sup>25</sup>
	3.137	29.15	10/13/20	29.19	0.04 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.044	0.41	11/04/20	0.43	0.02 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.766	7.12	11/04/20	7.48	0.36 W	0.00	10 of 10 - Sale <sup>25</sup>
11/05/20	13.948	129.52	Various	136.26	6.74 W	0.00	Total of 10 transactions
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.505	4.80	11/04/20	4.65	...	0.15	1 of 3 - Sale <sup>25</sup>
	1.008	9.58	11/09/20	10.00	...	-0.42	2 of 3 - Sale <sup>25</sup>
	1.000	9.51	11/09/20	9.41	...	0.10	3 of 3 - Sale <sup>25</sup>
11/10/20	2.512	23.89	Various	24.06	...	-0.17	Total of 3 transactions
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	3.802	36.14	11/09/20	37.76	1.26 W	-0.36	1 of 3 - Sale <sup>25</sup>
	0.152	1.45	11/09/20	1.52	0.07 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.533	33.58	11/09/20	35.44	1.86 W	0.00	3 of 3 - Sale <sup>25</sup>
11/10/20	7.488	71.17	Various	74.72	3.19 W	-0.36	Total of 3 transactions
11/17/20	0.500	7.13	11/09/20	4.71	...	2.42	Sale <sup>25</sup>
	11 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.005	0.13	11/09/20	0.04	...	0.09	1 of 11 - Sale <sup>25</sup>
	0.636	16.92	11/24/20	17.93	...	-1.01	2 of 11 - Sale <sup>25</sup>
	0.361	9.60	11/24/20	10.16	...	-0.56	3 of 11 - Sale <sup>25</sup>
	0.222	5.90	11/24/20	6.22	...	-0.32	4 of 11 - Sale <sup>25</sup>
	0.184	4.89	11/24/20	5.16	...	-0.27	5 of 11 - Sale <sup>25</sup>
	0.044	1.18	11/24/20	1.24	...	-0.06	6 of 11 - Sale <sup>25</sup>
	0.013	0.34	11/25/20	0.32	...	0.02	7 of 11 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	0.114	3.03	11/25/20	2.88	...	0.15	8 of 11 - Sale <sup>25</sup>
	2.885	76.77	11/25/20	73.38	...	3.39	9 of 11 - Sale <sup>25</sup>
	0.038	1.02	12/02/20	0.80	...	0.22	10 of 11 - Sale <sup>25</sup>
	2.051	54.58	12/02/20	42.58	...	12.00	11 of 11 - Sale <sup>25</sup>
12/07/20	6.551	174.36	Various	160.71	...	13.65	Total of 11 transactions
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.991	26.38	11/24/20	27.46	0.59 W	-0.49	1 of 2 - Sale <sup>25</sup>
	0.457	12.18	11/24/20	12.56	0.38 W	0.00	2 of 2 - Sale <sup>25</sup>
12/07/20	1.449	38.56	Various	40.02	0.97 W	-0.49	Total of 2 transactions
12/11/20	0.900	25.23	12/02/20	18.69	...	6.54	Sale <sup>25</sup>
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.011	0.45	12/02/20	0.23	...	0.22	1 of 3 - Sale <sup>25</sup>
	0.457	18.83	12/21/20	16.30	...	2.53	2 of 3 - Sale <sup>25</sup>
	0.532	21.88	12/21/20	19.07	...	2.81	3 of 3 - Sale <sup>25</sup>
12/22/20	1.000	41.16	Various	35.60	...	5.56	Total of 3 transactions
	<b>Security total:</b>	<b>1,143.66</b>		<b>1,093.95</b>	<b>22.35 W</b>	<b>72.06</b>	
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	0.851	18.64	10/05/20	14.93	...	3.71	1 of 2 - Sale <sup>25</sup>
	1.999	43.76	10/05/20	35.07	...	8.69	2 of 2 - Sale <sup>25</sup>
10/07/20	2.850	62.40	Various	50.00	...	12.40	Total of 2 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	12/09/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.081	2.02	12/09/20	2.00	...	0.02	2 of 3 - Sale <sup>25</sup>
	0.881	21.94	12/09/20	21.68	...	0.26	3 of 3 - Sale <sup>25</sup>
12/11/20	0.962	23.97	Various	23.69	...	0.28	Total of 3 transactions
12/11/20	0.038	0.95	12/09/20	1.00	0.05 W	0.00	Sale <sup>25</sup>
	2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.038	0.98	12/09/20	0.99	...	-0.01	1 of 2 - Sale <sup>25</sup>
	0.706	18.13	12/09/20	17.37	...	0.76	2 of 2 - Sale <sup>25</sup>
12/15/20	0.744	19.11	Various	18.36	...	0.75	Total of 2 transactions
	<b>Security total:</b>	<b>106.43</b>		<b>93.05</b>	<b>0.05 W</b>	<b>13.43</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLUEBIRD BIO, INC. COMMON STOC K / CUSIP: 09609G100 / Symbol:							
12/03/20	0.900	42.38	11/05/20	44.33	1.95 W	0.00	Sale <sup>25</sup>
	7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.000	0.02	11/06/20	0.02	...	0.00	1 of 7 - Sale <sup>25</sup>
	1.080	49.85	11/06/20	49.08	...	0.77	2 of 7 - Sale <sup>25</sup>
	0.077	3.52	12/10/20	3.54	...	-0.02	3 of 7 - Sale <sup>25</sup>
	0.023	1.07	12/10/20	1.06	...	0.01	4 of 7 - Sale <sup>25</sup>
	0.002	0.11	12/10/20	0.10	...	0.01	5 of 7 - Sale <sup>25</sup>
	0.063	2.91	12/10/20	2.81	...	0.10	6 of 7 - Sale <sup>25</sup>
	0.671	30.73	12/10/20	29.83	...	0.90	7 of 7 - Sale <sup>25</sup>
12/22/20	1.916	88.21	Various	86.44	...	1.77	Total of 7 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.100	4.62	11/05/20	4.93	0.31 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.834	38.51	11/06/20	39.73	1.02 W	-0.20	2 of 3 - Sale <sup>25</sup>
	0.065	3.02	11/06/20	3.12	0.10 W	0.00	3 of 3 - Sale <sup>25</sup>
12/22/20	1.000	46.15	Various	47.78	1.43 W	-0.20	Total of 3 transactions
12/23/20	0.025	1.13	12/10/20	1.09	...	0.04	Sale <sup>25</sup>
	<b>Security total:</b>	<b>177.87</b>		<b>179.64</b>	<b>3.38 W</b>	<b>1.61</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
	3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	0.017	2.83	09/29/20	2.74	...	0.09	1 of 3 - Sale <sup>25</sup>
	0.100	16.89	09/29/20	16.35	...	0.54	2 of 3 - Sale <sup>25</sup>
	5.983	1,011.00	09/29/20	978.25	...	32.75	3 of 3 - Sale <sup>25</sup>
10/01/20	6.100	1,030.72	Various	997.34	...	33.38	Total of 3 transactions
	3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	0.016	2.74	09/29/20	2.66	...	0.08	1 of 3 - Sale <sup>25</sup>
	2.000	336.81	10/02/20	335.50	...	1.31	2 of 3 - Sale <sup>25</sup>
	2.000	336.81	10/02/20	334.29	...	2.52	3 of 3 - Sale <sup>25</sup>
10/02/20	4.016	676.36	Various	672.45	...	3.91	Total of 3 transactions
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.015	2.44	10/08/20	2.44	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.986	162.06	10/13/20	160.67	...	1.39	2 of 2 - Sale <sup>25</sup>
10/14/20	1.001	164.50	Various	163.11	...	1.39	Total of 2 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	0.200	32.88	10/08/20	32.93	0.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.200	32.88	10/08/20	32.99	0.11 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.799	131.44	10/08/20	131.69	0.25 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	1.199	197.20	Various	197.61	0.41 W	0.00	Total of 3 transactions
10/15/20	0.014	2.34	10/13/20	2.34	...	0.00	Sale <sup>25</sup>
7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.015	2.57	10/27/20	2.32	...	0.25	1 of 7 - Sale <sup>25</sup>
	0.186	32.15	10/27/20	29.17	...	2.98	2 of 7 - Sale <sup>25</sup>
	0.799	138.41	10/27/20	125.41	...	13.00	3 of 7 - Sale <sup>25</sup>
	0.296	51.16	11/04/20	45.62	...	5.54	4 of 7 - Sale <sup>25</sup>
	0.295	51.15	11/04/20	45.58	...	5.57	5 of 7 - Sale <sup>25</sup>
	0.705	121.96	11/04/20	108.80	...	13.16	6 of 7 - Sale <sup>25</sup>
	0.695	120.45	11/04/20	106.94	...	13.51	7 of 7 - Sale <sup>25</sup>
11/09/20	2.990	517.85	Various	463.84	...	54.01	Total of 7 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.015	3.31	11/04/20	2.33	...	0.98	1 of 3 - Sale <sup>25</sup>
	0.097	21.36	11/18/20	20.00	...	1.36	2 of 3 - Sale <sup>25</sup>
	0.502	109.99	11/20/20	99.88	...	10.11	3 of 3 - Sale <sup>25</sup>
11/24/20	0.614	134.66	Various	122.21	...	12.45	Total of 3 transactions
12/23/20	0.001	0.13	11/20/20	0.12	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,723.76</b>		<b>2,619.02</b>	<b>0.41 W</b>	<b>105.15</b>	
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	11.000	4.09	12/03/20	4.26	...	-0.17	1 of 2 - Sale <sup>25</sup>
	25.000	9.30	12/15/20	8.54	...	0.76	2 of 2 - Sale <sup>25</sup>
12/29/20	36.000	13.39	Various	12.80	...	0.59	Total of 2 transactions
12/29/20	24.000	8.92	11/30/20	10.44	0.32 W	-1.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>22.31</b>		<b>23.24</b>	<b>0.32 W</b>	<b>-0.61</b>	

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Robinhood Securities LLC

Account 781095542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CALLON PETROLEUM COMPANY / CUSIP: 13123X508 / Symbol:							
11/24/20	0.950	10.19	11/09/20	6.50	...	3.69	Sale <sup>25</sup>
	4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.050	0.73	11/09/20	0.34	...	0.39	1 of 4 - Sale <sup>25</sup>
	0.645	9.37	12/09/20	10.00	...	-0.63	2 of 4 - Sale <sup>25</sup>
	0.354	5.14	12/09/20	5.32	...	-0.18	3 of 4 - Sale <sup>25</sup>
	0.001	0.02	12/09/20	0.02	...	0.00	4 of 4 - Sale <sup>25</sup>
12/11/20	1.050	15.26	Various	15.68	...	-0.42	Total of 4 transactions
12/11/20	0.355	5.16	12/09/20	5.50	0.34 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>30.61</b>		<b>27.68</b>	<b>0.34 W</b>	<b>3.27</b>	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	0.511	5.09	09/15/20	3.40	...	1.69	1 of 2 - Sale <sup>25</sup>
	10.000	99.60	09/15/20	66.60	...	33.00	2 of 2 - Sale <sup>25</sup>
09/18/20	10.511	104.69	Various	70.00	...	34.69	Total of 2 transactions
09/22/20	11.846	126.81	09/21/20	104.69	...	22.12	Sale <sup>25</sup>
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	10.191	119.76	09/23/20	96.10	...	23.66	1 of 2 - Sale <sup>25</sup>
	12.809	150.53	09/23/20	126.81	...	23.72	2 of 2 - Sale <sup>25</sup>
10/01/20	23.000	270.29	Various	222.91	...	47.38	Total of 2 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	0.414	4.80	09/23/20	3.90	...	0.90	1 of 2 - Sale <sup>25</sup>
	22.586	262.26	10/02/20	240.22	...	22.04	2 of 2 - Sale <sup>25</sup>
10/07/20	23.000	267.06	Various	244.12	...	22.94	Total of 2 transactions
10/08/20	0.900	10.88	10/02/20	9.58	...	1.30	Sale <sup>25</sup>
	10 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	0.019	0.22	10/02/20	0.20	...	0.02	1 of 10 - Sale <sup>25</sup>
	8.000	89.92	10/13/20	89.26	...	0.66	2 of 10 - Sale <sup>25</sup>
	0.182	2.04	10/14/20	2.00	...	0.04	3 of 10 - Sale <sup>25</sup>
	15.000	168.61	10/15/20	163.63	...	4.98	4 of 10 - Sale <sup>25</sup>
	1.000	11.24	10/16/20	10.84	...	0.40	5 of 10 - Sale <sup>25</sup>
	20.771	233.48	10/19/20	200.00	...	33.48	6 of 10 - Sale <sup>25</sup>
	0.070	0.78	10/20/20	0.65	...	0.13	7 of 10 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
	0.998	11.22	10/20/20	9.35	...	1.87	8 of 10 - Sale <sup>25</sup>
	4.000	44.96	10/20/20	37.38	...	7.58	9 of 10 - Sale <sup>25</sup>
	5.002	56.23	10/23/20	46.29	...	9.94	10 of 10 - Sale <sup>25</sup>
11/04/20	55.041	618.70	Various	559.60	...	59.10	Total of 10 transactions
11/04/20	21.959	246.83	10/12/20	250.00	3.17 W	0.00	Sale <sup>25</sup>
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.401	4.07	10/23/20	3.77	...	0.30	1 of 3 - Sale <sup>25</sup>
	10.000	101.51	11/09/20	98.93	...	2.58	2 of 3 - Sale <sup>25</sup>
	8.599	87.29	11/09/20	84.22	...	3.07	3 of 3 - Sale <sup>25</sup>
11/10/20	19.000	192.87	Various	186.92	...	5.95	Total of 3 transactions
11/10/20	2.000	20.30	11/06/20	21.61	1.31 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	0.442	4.84	11/09/20	4.56	...	0.28	1 of 2 - Sale <sup>25</sup>
	0.858	9.40	11/09/20	8.40	...	1.00	2 of 2 - Sale <sup>25</sup>
11/12/20	1.300	14.24	Various	12.96	...	1.28	Total of 2 transactions
	10 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.260	2.05	11/18/20	1.97	...	0.08	1 of 10 - Sale <sup>25</sup>
	0.420	3.32	11/18/20	3.18	...	0.14	2 of 10 - Sale <sup>25</sup>
	2.000	15.80	11/18/20	15.18	...	0.62	3 of 10 - Sale <sup>25</sup>
	2.196	17.34	11/18/20	16.62	...	0.72	4 of 10 - Sale <sup>25</sup>
	4.998	39.49	11/18/20	38.03	...	1.46	5 of 10 - Sale <sup>25</sup>
	4.985	38.73	11/20/20	39.43	...	-0.70	6 of 10 - Sale <sup>25</sup>
	2.363	18.36	11/20/20	18.55	...	-0.19	7 of 10 - Sale <sup>25</sup>
	0.652	5.07	11/20/20	5.15	...	-0.08	8 of 10 - Sale <sup>25</sup>
	1.000	7.77	11/20/20	7.74	...	0.03	9 of 10 - Sale <sup>25</sup>
	3.639	28.28	11/20/20	27.49	...	0.79	10 of 10 - Sale <sup>25</sup>
12/09/20	22.513	176.21	Various	173.34	...	2.87	Total of 10 transactions
	18 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.101	0.80	11/09/20	0.99	0.19 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	7.90	11/12/20	9.37	1.47 W	0.00	2 of 18 - Sale <sup>25</sup>
	0.558	4.41	11/12/20	5.21	0.80 W	0.00	3 of 18 - Sale <sup>25</sup>
	0.442	3.49	11/12/20	3.84	0.35 W	0.00	4 of 18 - Sale <sup>25</sup>
	2.000	15.80	11/12/20	17.54	1.74 W	0.00	5 of 18 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
	9.000	71.10	11/12/20	81.00	9.90 W	0.00	6 of 18 - Sale <sup>25</sup>
	8.000	63.20	11/13/20	67.84	4.64 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	7.90	11/13/20	8.31	0.41 W	0.00	8 of 18 - Sale <sup>25</sup>
	4.000	31.60	11/16/20	32.50	0.90 W	0.00	9 of 18 - Sale <sup>25</sup>
	2.000	15.80	11/17/20	16.12	0.32 W	0.00	10 of 18 - Sale <sup>25</sup>
	0.558	4.34	11/18/20	5.03	0.69 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	7.77	11/18/20	9.04	1.27 W	0.00	12 of 18 - Sale <sup>25</sup>
	0.101	0.78	11/18/20	0.95	0.17 W	0.00	13 of 18 - Sale <sup>25</sup>
	0.442	3.43	11/18/20	3.70	0.27 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.703	5.47	11/18/20	5.93	0.46 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.297	10.07	11/19/20	10.88	0.81 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.363	10.59	11/19/20	11.75	1.16 W	0.00	17 of 18 - Sale <sup>25</sup>
	7.637	59.34	11/20/20	63.92	2.38 W	-2.20	18 of 18 - Sale <sup>25</sup>
12/09/20	41.201	323.79	Various	353.92	27.93 W	-2.20	Total of 18 transactions
	<b>Security total:</b>	<b>2,372.67</b>		<b>2,209.65</b>	<b>32.41 W</b>	<b>195.43</b>	
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	12.945	43.76	10/12/20	40.00	...	3.76	1 of 2 - Sale <sup>25</sup>
	8.356	28.24	10/13/20	23.86	...	4.38	2 of 2 - Sale <sup>25</sup>
10/14/20	21.301	72.00	Various	63.86	...	8.14	Total of 2 transactions
10/14/20	13.699	46.30	10/08/20	50.00	0.11 W	-3.59	Sale <sup>25</sup>
10/19/20	0.401	1.25	10/13/20	1.25	...	0.00	Sale <sup>25</sup>
6 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.084	0.46	12/08/20	0.44	...	0.02	1 of 6 - Sale <sup>25</sup>
	0.102	0.56	12/08/20	0.54	...	0.02	2 of 6 - Sale <sup>25</sup>
	18.877	104.25	12/08/20	99.46	...	4.79	3 of 6 - Sale <sup>25</sup>
	18.964	104.74	12/08/20	99.56	...	5.18	4 of 6 - Sale <sup>25</sup>
	1.000	5.53	12/11/20	5.20	...	0.33	5 of 6 - Sale <sup>25</sup>
	1.356	7.49	12/11/20	6.84	...	0.65	6 of 6 - Sale <sup>25</sup>
12/21/20	40.383	223.03	Various	212.04	...	10.99	Total of 6 transactions
9 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.110	0.61	11/16/20	1.00	0.39 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.133	0.74	11/17/20	1.25	0.51 W	0.00	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol: (cont'd)							
	2.000	11.05	11/17/20	18.70	7.65 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.005	0.03	11/17/20	0.05	0.02 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.041	0.23	11/18/20	0.31	0.08 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	5.52	11/18/20	7.66	2.14 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.004	0.02	11/18/20	0.03	0.01 W	0.00	7 of 9 - Sale <sup>25</sup>
	3.000	16.57	11/18/20	18.21	1.64 W	0.00	8 of 9 - Sale <sup>25</sup>
	3.323	18.35	12/04/20	20.00	1.65 W	0.00	9 of 9 - Sale <sup>25</sup>
12/21/20	9.617	53.12	Various	67.21	14.09 W	0.00	Total of 9 transactions
	12 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	2.000	12.68	12/14/20	16.03	...	-3.35	1 of 12 - Sale <sup>25</sup>
	0.646	3.70	12/14/20	4.53	...	-0.83	2 of 12 - Sale <sup>25</sup>
	0.133	0.85	12/14/20	1.07	...	-0.22	3 of 12 - Sale <sup>25</sup>
	0.110	0.70	12/14/20	0.85	...	-0.15	4 of 12 - Sale <sup>25</sup>
	0.005	0.03	12/14/20	0.05	...	-0.02	5 of 12 - Sale <sup>25</sup>
	0.004	0.02	12/14/20	0.03	...	-0.01	6 of 12 - Sale <sup>25</sup>
	0.041	0.26	12/14/20	0.26	...	0.00	7 of 12 - Sale <sup>25</sup>
	0.354	2.25	12/14/20	2.24	...	0.01	8 of 12 - Sale <sup>25</sup>
	3.000	17.19	12/14/20	16.28	...	0.91	9 of 12 - Sale <sup>25</sup>
	3.323	19.05	12/14/20	17.86	...	1.19	10 of 12 - Sale <sup>25</sup>
	5.383	30.85	12/14/20	26.26	...	4.59	11 of 12 - Sale <sup>25</sup>
	4.356	27.62	12/14/20	21.25	...	6.37	12 of 12 - Sale <sup>25</sup>
12/22/20	19.356	115.20	Various	106.71	...	8.49	Total of 12 transactions
	6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.110	0.63	12/11/20	0.94	0.31 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.005	0.03	12/11/20	0.05	0.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.133	0.76	12/11/20	1.18	0.42 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	11.46	12/11/20	17.73	6.27 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.041	0.23	12/11/20	0.29	0.06 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.354	2.03	12/11/20	2.54	0.51 W	0.00	6 of 6 - Sale <sup>25</sup>
12/22/20	2.644	15.14	Various	22.73	7.59 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>526.04</b>		<b>523.80</b>	<b>21.79 W</b>	<b>24.03</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	0.114	0.94	10/07/20	0.94	...	0.00	1 of 2 - Sale <sup>25</sup>
	11.886	98.43	10/07/20	98.05	...	0.38	2 of 2 - Sale <sup>25</sup>
10/14/20	12.000	99.37	Various	98.99	...	0.38	Total of 2 transactions
3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	0.008	0.07	10/07/20	0.07	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.114	0.98	10/07/20	0.94	...	0.04	2 of 3 - Sale <sup>25</sup>
	9.878	84.86	10/20/20	81.98	...	2.88	3 of 3 - Sale <sup>25</sup>
10/21/20	10.000	85.91	Various	82.99	...	2.92	Total of 3 transactions
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.022	0.32	10/20/20	0.19	...	0.13	1 of 3 - Sale <sup>25</sup>
	0.100	1.45	10/20/20	0.83	...	0.62	2 of 3 - Sale <sup>25</sup>
	0.978	14.16	10/29/20	8.18	...	5.98	3 of 3 - Sale <sup>25</sup>
11/09/20	1.100	15.93	Various	9.20	...	6.73	Total of 3 transactions
5 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.022	0.33	10/29/20	0.19	...	0.14	1 of 5 - Sale <sup>25</sup>
	0.333	4.97	12/03/20	4.97	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.002	0.03	12/03/20	0.03	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.001	0.01	12/03/20	0.01	...	0.00	4 of 5 - Sale <sup>25</sup>
	0.089	1.31	12/03/20	1.22	...	0.09	5 of 5 - Sale <sup>25</sup>
12/09/20	0.447	6.65	Various	6.42	...	0.23	Total of 5 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.031	0.46	12/03/20	0.47	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.881	42.88	12/03/20	43.52	0.64 W	0.00	2 of 2 - Sale <sup>25</sup>
12/09/20	2.911	43.34	Various	43.99	0.65 W	0.00	Total of 2 transactions
2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.031	0.50	12/03/20	0.44	...	0.06	1 of 2 - Sale <sup>25</sup>
	0.969	15.70	12/03/20	13.60	...	2.10	2 of 2 - Sale <sup>25</sup>
12/18/20	1.000	16.20	Various	14.04	...	2.16	Total of 2 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	0.089	1.38	12/03/20	1.22	...	0.16	1 of 2 - Sale <sup>25</sup>
	1.911	29.80	12/03/20	26.83	...	2.97	2 of 2 - Sale <sup>25</sup>
12/23/20	2.000	31.18	Various	28.05	...	3.13	Total of 2 transactions
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	0.511	8.47	12/03/20	7.07	...	1.40	1 of 2 - Sale <sup>25</sup>
	3.489	57.79	12/03/20	47.41	...	10.38	2 of 2 - Sale <sup>25</sup>
12/24/20	4.000	66.26	Various	54.48	...	11.78	Total of 2 transactions
12/29/20	0.500	8.67	12/03/20	6.79	...	1.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>373.51</b>		<b>344.95</b>	<b>0.65 W</b>	<b>29.21</b>	
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.088	0.43	11/05/20	0.40	...	0.03	1 of 2 - Sale <sup>25</sup>
	11.000	53.52	11/05/20	49.61	...	3.91	2 of 2 - Sale <sup>25</sup>
12/10/20	11.088	53.95	Various	50.01	...	3.94	Total of 2 transactions
CORSAIR GAMING, INC. COMMON ST OCK / CUSIP: 22041X102 / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	0.135	2.88	09/24/20	2.00	...	0.88	1 of 2 - Sale <sup>25</sup>
	9.865	210.02	09/24/20	146.39	...	63.63	2 of 2 - Sale <sup>25</sup>
10/01/20	10.000	212.90	Various	148.39	...	64.51	Total of 2 transactions
5 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	0.108	2.51	09/24/20	1.61	...	0.90	1 of 5 - Sale <sup>25</sup>
	2.747	63.71	10/05/20	55.00	...	8.71	2 of 5 - Sale <sup>25</sup>
	12.000	278.27	10/06/20	230.40	...	47.87	3 of 5 - Sale <sup>25</sup>
	0.790	18.28	10/07/20	14.70	...	3.58	4 of 5 - Sale <sup>25</sup>
	9.145	212.05	10/07/20	170.13	...	41.92	5 of 5 - Sale <sup>25</sup>
10/20/20	24.790	574.82	Various	471.84	...	102.98	Total of 5 transactions
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	0.009	0.22	10/07/20	0.17	...	0.05	1 of 2 - Sale <sup>25</sup>
	0.088	2.16	10/23/20	2.16	...	0.00	2 of 2 - Sale <sup>25</sup>
10/26/20	0.097	2.38	Various	2.33	...	0.05	Total of 2 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CORSAIR GAMING, INC. COMMON ST OCK / CUSIP: 22041X102 / Symbol: (cont'd)							
10/26/20	4.000	97.64	10/23/20	97.84	0.20 W	0.00	Sale <sup>25</sup>
	3 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	0.219	6.31	10/30/20	5.01	...	1.30	1 of 3 - Sale <sup>25</sup>
	1.000	28.75	10/30/20	23.02	...	5.73	2 of 3 - Sale <sup>25</sup>
	3.781	108.69	10/30/20	87.22	...	21.47	3 of 3 - Sale <sup>25</sup>
11/11/20	5.000	143.75	Various	115.25	...	28.50	Total of 3 transactions
11/17/20	0.200	6.05	10/30/20	4.60	...	1.45	Sale <sup>25</sup>
12/07/20	0.019	0.73	10/30/20	0.45	...	0.28	Sale <sup>25</sup>
	4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.199	7.45	11/27/20	8.14	0.29 W	-0.40	1 of 4 - Sale <sup>25</sup>
	0.115	4.30	11/27/20	4.69	0.39 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	37.52	11/27/20	40.99	3.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.932	35.00	11/27/20	38.22	3.22 W	0.00	4 of 4 - Sale <sup>25</sup>
12/07/20	2.246	84.27	Various	92.04	7.37 W	-0.40	Total of 4 transactions
	3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	0.030	1.18	12/02/20	1.04	...	0.14	1 of 3 - Sale <sup>25</sup>
	1.996	77.76	12/02/20	68.96	...	8.80	2 of 3 - Sale <sup>25</sup>
	12.835	500.14	12/02/20	434.46	...	65.68	3 of 3 - Sale <sup>25</sup>
12/08/20	14.861	579.08	Various	504.46	...	74.62	Total of 3 transactions
	8 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	3.854	150.16	11/27/20	157.96	7.80 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.084	3.27	11/27/20	3.62	0.35 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.100	3.88	11/27/20	4.30	0.42 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.115	4.47	11/27/20	4.94	0.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.901	35.09	11/27/20	38.94	3.85 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.932	36.33	11/27/20	40.31	3.98 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.154	161.88	11/27/20	165.26	3.38 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	194.83	11/27/20	195.25	0.42 W	0.00	8 of 8 - Sale <sup>25</sup>
12/08/20	15.139	589.91	Various	610.58	20.67 W	0.00	Total of 8 transactions
	13 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	2.165	79.47	12/02/20	77.65	...	1.82	1 of 13 - Sale <sup>25</sup>
	0.030	1.10	12/09/20	1.05	...	0.05	2 of 13 - Sale <sup>25</sup>
	0.083	3.05	12/09/20	2.93	...	0.12	3 of 13 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CORSAIR GAMING, INC. COMMON ST OCK / CUSIP: 22041X102 / Symbol: (cont'd)							
	1.000	36.71	12/09/20	35.32	...	1.39	4 of 13 - Sale <sup>25</sup>
	2.000	73.43	12/09/20	69.58	...	3.85	5 of 13 - Sale <sup>25</sup>
	2.294	84.23	12/09/20	80.00	...	4.23	6 of 13 - Sale <sup>25</sup>
	7.000	256.99	12/09/20	246.02	...	10.97	7 of 13 - Sale <sup>25</sup>
	0.563	20.67	12/10/20	19.77	...	0.90	8 of 13 - Sale <sup>25</sup>
	2.000	73.43	12/10/20	70.23	...	3.20	9 of 13 - Sale <sup>25</sup>
	3.000	110.14	12/10/20	103.39	...	6.75	10 of 13 - Sale <sup>25</sup>
	1.000	36.71	12/11/20	34.04	...	2.67	11 of 13 - Sale <sup>25</sup>
	3.000	110.14	12/11/20	99.27	...	10.87	12 of 13 - Sale <sup>25</sup>
	4.542	166.76	12/11/20	151.85	...	14.91	13 of 13 - Sale <sup>25</sup>
12/16/20	28.678	1,052.83	Various	991.10	...	61.73	Total of 13 transactions
	11 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	0.084	3.08	12/08/20	3.50	0.42 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.100	3.65	12/08/20	4.16	0.51 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.115	4.21	12/08/20	4.78	0.57 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.901	33.06	12/08/20	37.69	4.63 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.932	34.23	12/08/20	39.02	4.79 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.689	62.01	12/08/20	66.88	4.87 W	0.00	6 of 11 - Sale <sup>25</sup>
	4.154	152.51	12/08/20	159.48	6.97 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	183.56	12/08/20	188.29	4.73 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.994	109.90	12/08/20	112.49	2.59 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.355	13.04	12/08/20	13.10	0.06 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	36.69	12/08/20	36.90	0.21 W	0.00	11 of 11 - Sale <sup>25</sup>
12/16/20	17.323	635.94	Various	666.29	30.35 W	0.00	Total of 11 transactions
	<b>Security total:</b>	<b>3,980.30</b>		<b>3,705.17</b>	<b>58.59 W</b>	<b>333.72</b>	
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.003	0.03	12/08/20	0.02	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.162	1.28	12/08/20	0.98	...	0.30	2 of 3 - Sale <sup>25</sup>
	2.000	15.80	12/09/20	15.49	...	0.31	3 of 3 - Sale <sup>25</sup>
12/11/20	2.165	17.11	Various	16.49	...	0.62	Total of 3 transactions

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DAIICHI SANKYO CO LIMITED SPON SORED ADR (JAPAN) / CUSIP: 23381D102 / Symbol:							
11/04/20	1.000	28.80	10/09/20	27.99	...	0.81	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
11/24/20	0.012	1.04	11/11/20	1.00	...	0.04	Sale <sup>25</sup>
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
09/30/20	9.000	285.16	06/04/20	281.79	...	3.37	Sale <sup>25</sup>
10/14/20	1.000	31.90	06/04/20	31.31	...	0.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>317.06</b>		<b>313.10</b>	...	<b>3.96</b>	
DIAMONDBACK ENERGY, INC. COMMM ON STOCK / CUSIP: 25278X109 / Symbol:							
2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	0.035	1.09	10/01/20	1.05	...	0.04	1 of 2 - Sale <sup>25</sup>
	9.965	308.59	10/01/20	297.97	...	10.62	2 of 2 - Sale <sup>25</sup>
10/08/20	10.000	309.68	Various	299.02	...	10.66	Total of 2 transactions
10/19/20	0.033	0.93	10/01/20	0.98	...	-0.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>310.61</b>		<b>300.00</b>	...	<b>10.61</b>	
DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
5 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	4.684	116.97	10/08/20	100.00	...	16.97	1 of 5 - Sale <sup>25</sup>
	0.524	13.08	10/09/20	11.58	...	1.50	2 of 5 - Sale <sup>25</sup>
	3.986	99.56	10/09/20	88.42	...	11.14	3 of 5 - Sale <sup>25</sup>
	0.008	0.20	10/13/20	0.19	...	0.01	4 of 5 - Sale <sup>25</sup>
	12.925	322.81	10/13/20	297.92	...	24.89	5 of 5 - Sale <sup>25</sup>
10/14/20	22.128	552.62	Various	498.11	...	54.51	Total of 5 transactions
10/14/20	3.872	96.71	09/23/20	100.00	0.07 W	-3.22	Sale <sup>25</sup>
10/19/20	0.082	1.80	10/13/20	1.96	...	-0.16	Sale <sup>25</sup>
	<b>Security total:</b>	<b>651.13</b>		<b>600.07</b>	<b>0.07 W</b>	<b>51.13</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DICERNA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 253031108 / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	0.474	9.60	10/16/20	9.85	...	-0.25	1 of 2 - Sale <sup>25</sup>
	0.007	0.14	10/16/20	0.15	...	-0.01	2 of 2 - Sale <sup>25</sup>
10/26/20	0.481	9.74	Various	10.00	...	-0.26	Total of 2 transactions
DILLARDS INC. / CUSIP: 254067101 / Symbol:							
11/10/20	0.510	26.13	10/14/20	24.98	...	1.15	Sale <sup>25</sup>
11/13/20	0.000	0.02	10/14/20	0.02	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>26.15</b>		<b>25.00</b>	...	<b>1.15</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	0.002	0.05	10/06/20	0.05	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.692	16.87	10/06/20	16.53	...	0.34	2 of 3 - Sale <sup>25</sup>
	3.000	73.08	10/06/20	71.63	...	1.45	3 of 3 - Sale <sup>25</sup>
10/14/20	3.694	90.00	Various	88.21	...	1.79	Total of 3 transactions
10/19/20	0.306	6.74	10/06/20	7.30	...	-0.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>96.74</b>		<b>95.51</b>	...	<b>1.23</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BEAR 2X SHARES / CUSIP: 25460G807 / Symbol:							
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	0.050	0.52	11/05/20	0.47	...	0.05	1 of 3 - Sale <sup>25</sup>
	1.000	10.48	11/05/20	9.53	...	0.95	2 of 3 - Sale <sup>25</sup>
	1.950	20.44	11/06/20	17.56	...	2.88	3 of 3 - Sale <sup>25</sup>
11/10/20	3.000	31.44	Various	27.56	...	3.88	Total of 3 transactions
11/25/20	0.050	0.64	11/06/20	0.45	...	0.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>32.08</b>		<b>28.01</b>	...	<b>4.07</b>	
DIREXION DAILY REGIONAL BANKS BULL 3X SHARES / CUSIP: 25460G864 / Symbol:							
10/20/20	1.000	63.03	10/06/20	57.50	...	5.53	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
12/11/20	0.024	1.24	11/12/20	1.00	...	0.24	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EISAI CO LTD AMERICAN DEPOSITARY SHARES / CUSIP: 282579309 / Symbol:							
11/19/20	1.960	146.14	11/09/20	148.00	...	-1.86	Sale <sup>25</sup>
	2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	0.026	1.97	11/09/20	2.00	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.013	0.99	11/09/20	1.00	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
11/19/20	0.040	2.96	Various	3.00	0.04 W	0.00	Total of 2 transactions
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	0.026	1.96	11/09/20	2.03	...	-0.07	1 of 2 - Sale <sup>25</sup>
	0.013	0.98	11/09/20	1.01	...	-0.03	2 of 2 - Sale <sup>25</sup>
11/25/20	0.040	2.94	Various	3.04	...	-0.10	Total of 2 transactions
	<b>Security total:</b>	<b>152.04</b>		<b>154.04</b>	<b>0.04 W</b>	<b>-1.96</b>	
ELECTRAMECCANICA VEHICLES CORP. LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
11/10/20	1.000	3.56	10/14/20	3.15	...	0.41	Sale <sup>25</sup>
	3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	0.002	0.01	11/13/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.453	3.93	11/13/20	2.99	...	0.94	2 of 3 - Sale <sup>25</sup>
	1.946	16.87	11/16/20	11.27	...	5.60	3 of 3 - Sale <sup>25</sup>
11/19/20	2.400	20.81	Various	14.27	...	6.54	Total of 3 transactions
	<b>Security total:</b>	<b>24.37</b>		<b>17.42</b>	...	<b>6.95</b>	
EOS ENERGY ENTERPRISES, INC. CLASS A COMMON STOCK / CUSIP: 29415C101 / Symbol:							
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	0.001	0.01	12/03/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.071	1.06	12/03/20	0.99	...	0.07	2 of 2 - Sale <sup>25</sup>
12/10/20	0.072	1.07	Various	1.00	...	0.07	Total of 2 transactions
ETHAN ALLEN INTERIORS INC / CUSIP: 297602104 / Symbol:							
	3 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	0.012	0.21	10/13/20	0.21	...	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	17.79	10/13/20	17.53	...	0.26	2 of 3 - Sale <sup>25</sup>
	3.000	53.18	10/13/20	52.59	...	0.59	3 of 3 - Sale <sup>25</sup>
11/16/20	4.012	71.18	Various	70.33	...	0.85	Total of 3 transactions
11/17/20	0.277	5.00	10/13/20	4.85	...	0.15	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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ETHAN ALLEN INTERIORS INC / CUSIP: 297602104 / Symbol: (cont'd)							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.400	7.37	10/13/20	7.01	...	0.36	1 of 2 - Sale <sup>25</sup>
	1.000	18.42	10/13/20	17.53	...	0.89	2 of 2 - Sale <sup>25</sup>
11/18/20	1.400	25.79	Various	24.54	...	1.25	Total of 2 transactions
11/25/20	0.016	0.29	10/13/20	0.28	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>102.26</b>		<b>100.00</b>	...	<b>2.26</b>	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	0.011	3.16	10/15/20	3.00	...	0.16	1 of 2 - Sale <sup>25</sup>
	0.097	27.21	10/28/20	26.50	...	0.71	2 of 2 - Sale <sup>25</sup>
10/29/20	0.108	30.37	Various	29.50	...	0.87	Total of 2 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.002	0.51	10/28/20	0.50	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.253	70.45	10/30/20	65.96	...	4.49	2 of 2 - Sale <sup>25</sup>
11/12/20	0.255	70.96	Various	66.46	...	4.50	Total of 2 transactions
11/25/20	0.035	9.57	10/30/20	9.04	...	0.53	Sale <sup>25</sup>
12/29/20	0.183	51.07	12/14/20	50.00	...	1.07	Sale <sup>25</sup>
	<b>Security total:</b>	<b>161.97</b>		<b>155.00</b>	...	<b>6.97</b>	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
10/19/20	0.018	1.49	10/16/20	1.50	0.01 W	0.00	Sale <sup>25</sup>
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	11/03/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.015	1.21	11/03/20	1.00	...	0.21	2 of 2 - Sale <sup>25</sup>
12/02/20	0.015	1.22	Various	1.01	...	0.21	Total of 2 transactions
	<b>Security total:</b>	<b>2.71</b>		<b>2.51</b>	<b>0.01 W</b>	<b>0.21</b>	
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
12/29/20	0.100	26.14	12/28/20	26.42	0.01 W	-0.27	Sale <sup>25</sup>
FIVE PRIME THERAPEUTICS, INC. COMMON STOCK / CUSIP: 33830X104 / Symbol:							
12/04/20	0.089	1.54	11/12/20	2.00	...	-0.46	Sale <sup>25</sup>

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(continued)

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.235	2.11	11/13/20	2.00	...	0.11	1 of 3 - Sale <sup>25</sup>
	0.681	6.10	11/16/20	6.00	...	0.10	2 of 3 - Sale <sup>25</sup>
	5.000	44.81	12/10/20	45.89	...	-1.08	3 of 3 - Sale <sup>25</sup>
12/28/20	5.916	53.02	Various	53.89	...	-0.87	Total of 3 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
10/07/20	28.000	59.95	10/05/20	49.48	...	10.47	Sale <sup>25</sup>
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	0.124	0.27	10/05/20	0.22	...	0.05	1 of 2 - Sale <sup>25</sup>
	0.169	0.37	10/05/20	0.30	...	0.07	2 of 2 - Sale <sup>25</sup>
10/21/20	0.293	0.64	Various	0.52	...	0.12	Total of 2 transactions
11/30/20	0.002	0.02	11/24/20	0.02	...	0.00	Sale <sup>25</sup>
11/30/20	0.196	1.92	11/24/20	1.98	0.06 W	0.00	Sale <sup>25</sup>
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	0.196	1.70	12/02/20	1.41	...	0.29	1 of 4 - Sale <sup>25</sup>
	0.239	2.07	12/02/20	1.65	...	0.42	2 of 4 - Sale <sup>25</sup>
	0.296	2.57	12/02/20	2.00	...	0.57	3 of 4 - Sale <sup>25</sup>
	0.545	4.73	12/02/20	4.00	...	0.73	4 of 4 - Sale <sup>25</sup>
12/17/20	1.275	11.07	Various	9.06	...	2.01	Total of 4 transactions
12/17/20	0.632	5.48	12/08/20	5.49	0.01 W	0.00	Sale <sup>25</sup>
12/22/20	1.000	10.78	12/08/20	8.69	...	2.09	Sale <sup>25</sup>
6 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	4.122	50.49	12/08/20	35.82	...	14.67	1 of 6 - Sale <sup>25</sup>
	0.254	3.11	12/09/20	2.02	...	1.09	2 of 6 - Sale <sup>25</sup>
	0.378	4.63	12/09/20	3.03	...	1.60	3 of 6 - Sale <sup>25</sup>
	0.620	7.60	12/09/20	4.96	...	2.64	4 of 6 - Sale <sup>25</sup>
	0.645	7.90	12/14/20	4.85	...	3.05	5 of 6 - Sale <sup>25</sup>
	3.981	48.77	12/14/20	30.05	...	18.72	6 of 6 - Sale <sup>25</sup>
12/28/20	10.000	122.50	Various	80.73	...	41.77	Total of 6 transactions
12/29/20	2.000	22.21	12/14/20	15.10	...	7.11	Sale <sup>25</sup>
<b>Security total:</b>		<b>234.57</b>		<b>171.07</b>	<b>0.07 W</b>	<b>63.57</b>	

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GSX TECHEDU INC. / CUSIP: 36257Y109 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.087	5.75	11/20/20	5.00	...	0.75	1 of 2 - Sale <sup>25</sup>
	0.913	60.14	11/20/20	53.89	...	6.25	2 of 2 - Sale <sup>25</sup>
11/24/20	1.000	65.89	Various	58.89	...	7.00	Total of 2 transactions
11/30/20	0.019	1.20	11/20/20	1.11	...	0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>67.09</b>		<b>60.00</b>	...	<b>7.09</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
10/19/20	1.000	14.15	10/09/20	12.88	...	1.27	Sale <sup>25</sup>
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.007	0.10	12/09/20	0.10	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.635	8.90	12/09/20	8.84	...	0.06	2 of 3 - Sale <sup>25</sup>
	0.358	5.01	12/09/20	4.90	...	0.11	3 of 3 - Sale <sup>25</sup>
12/10/20	1.000	14.01	Various	13.84	...	0.17	Total of 3 transactions
12/17/20	0.360	5.29	12/09/20	5.01	...	0.28	Sale <sup>25</sup>
3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.005	0.08	12/09/20	0.07	...	0.01	1 of 3 - Sale <sup>25</sup>
	1.000	15.50	12/09/20	13.92	...	1.58	2 of 3 - Sale <sup>25</sup>
	2.000	31.00	12/09/20	27.90	...	3.10	3 of 3 - Sale <sup>25</sup>
12/18/20	3.005	46.58	Various	41.89	...	4.69	Total of 3 transactions
	<b>Security total:</b>	<b>80.03</b>		<b>73.62</b>	...	<b>6.41</b>	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	0.058	0.43	10/09/20	0.40	...	0.03	1 of 3 - Sale <sup>25</sup>
	0.300	2.22	10/09/20	2.09	...	0.13	2 of 3 - Sale <sup>25</sup>
	13.943	103.06	10/09/20	97.28	...	5.78	3 of 3 - Sale <sup>25</sup>
10/19/20	14.300	105.71	Various	99.77	...	5.94	Total of 3 transactions
10/21/20	0.033	0.25	10/09/20	0.23	...	0.02	Sale <sup>25</sup>
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.220	2.49	11/13/20	2.00	...	0.49	1 of 2 - Sale <sup>25</sup>
	2.000	22.65	11/16/20	19.10	...	3.55	2 of 2 - Sale <sup>25</sup>
12/10/20	2.220	25.14	Various	21.10	...	4.04	Total of 2 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>131.10</b>		<b>121.10</b>	...		<b>10.00</b>
GENETRON HOLDINGS LIMITED ADS / CUSIP: 37186H100 / Symbol:							
11/11/20	1.000	12.19	10/01/20	12.35	...		-0.16 Sale <sup>25</sup>
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.026	0.32	10/01/20	0.32	...		0.00 1 of 3 - Sale <sup>25</sup>
	7.071	87.72	10/01/20	87.33	...		0.39 2 of 3 - Sale <sup>25</sup>
	6.903	85.63	10/08/20	80.97	...		4.66 3 of 3 - Sale <sup>25</sup>
11/12/20	14.000	173.67	Various	168.62	...		5.05 Total of 3 transactions
11/17/20	1.600	18.70	10/08/20	18.77	...		-0.07 Sale <sup>25</sup>
12/02/20	0.022	0.31	10/08/20	0.26	...		0.05 Sale <sup>25</sup>
<b>Security total:</b>		<b>204.87</b>		<b>200.00</b>	...		<b>4.87</b>
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
10/19/20	10.000	13.15	09/30/20	12.35	...		0.80 Sale <sup>25</sup>
GLOBAL BLOOD THERAPEUTICS, INC . COMMON STOCK / CUSIP: 37890U108 / Symbol:							
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.026	1.22	11/06/20	1.00	...		0.22 1 of 4 - Sale <sup>25</sup>
	0.396	18.86	11/06/20	15.00	...		3.86 2 of 4 - Sale <sup>25</sup>
	0.263	12.54	11/12/20	10.41	...		2.13 3 of 4 - Sale <sup>25</sup>
	0.916	43.66	11/12/20	36.11	...		7.55 4 of 4 - Sale <sup>25</sup>
12/02/20	1.600	76.28	Various	62.52	...		13.76 Total of 4 transactions
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.004	0.18	11/12/20	0.16	...		0.02 1 of 2 - Sale <sup>25</sup>
	0.084	3.75	11/12/20	3.32	...		0.43 2 of 2 - Sale <sup>25</sup>
12/03/20	0.088	3.93	Various	3.48	...		0.45 Total of 2 transactions
<b>Security total:</b>		<b>80.21</b>		<b>66.00</b>	...		<b>14.21</b>
GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol:							
09/29/20	4.926	306.35	09/29/20	300.00	...		6.35 Sale <sup>25</sup>
6 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	0.005	0.20	11/17/20	0.19	...		0.01 1 of 6 - Sale <sup>25</sup>
	1.000	42.51	11/17/20	39.92	...		2.59 2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol: (cont'd)							
	1.282	54.51	11/17/20	50.60	...	3.91	3 of 6 - Sale <sup>25</sup>
	0.750	31.89	11/17/20	27.78	...	4.11	4 of 6 - Sale <sup>25</sup>
	1.000	42.51	11/17/20	37.06	...	5.45	5 of 6 - Sale <sup>25</sup>
	0.778	33.09	11/19/20	29.24	...	3.85	6 of 6 - Sale <sup>25</sup>
12/11/20	4.815	204.71	Various	184.79	...	19.92	Total of 6 transactions
	11 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	2.000	81.78	09/30/20	110.00	28.22 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.780	33.19	10/12/20	40.99	7.80 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.209	8.90	10/12/20	11.01	2.11 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.195	8.28	10/16/20	9.97	1.69 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.001	0.02	10/16/20	0.03	0.01 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.037	1.57	11/17/20	1.97	0.40 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.963	83.46	11/17/20	104.69	21.23 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.037	1.57	11/17/20	1.82	0.25 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.003	0.12	11/17/20	0.14	0.02 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.023	0.96	11/17/20	1.11	0.15 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.718	30.52	11/17/20	35.50	4.98 W	0.00	11 of 11 - Sale <sup>25</sup>
12/11/20	5.965	250.37	Various	317.23	66.86 W	0.00	Total of 11 transactions
12/15/20	0.327	13.94	11/20/20	12.46	...	1.48	Sale <sup>25</sup>
	12 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.001	0.04	11/19/20	0.05	0.01 W	0.00	1 of 12 - Sale <sup>25</sup>
	0.036	1.54	11/19/20	1.74	0.20 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.780	33.32	11/19/20	37.75	4.43 W	0.00	3 of 12 - Sale <sup>25</sup>
	0.209	8.94	11/19/20	9.98	1.04 W	0.00	4 of 12 - Sale <sup>25</sup>
	0.001	0.02	11/19/20	0.03	0.01 W	0.00	5 of 12 - Sale <sup>25</sup>
	0.195	8.31	11/19/20	9.00	0.69 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.001	0.04	11/20/20	0.05	0.01 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.183	50.53	11/20/20	57.94	7.41 W	0.00	8 of 12 - Sale <sup>25</sup>
	0.003	0.12	11/20/20	0.13	0.01 W	0.00	9 of 12 - Sale <sup>25</sup>
	0.023	0.96	11/20/20	1.01	0.05 W	0.00	10 of 12 - Sale <sup>25</sup>
	0.037	1.58	11/20/20	1.66	0.08 W	0.00	11 of 12 - Sale <sup>25</sup>
	0.718	30.66	11/20/20	32.38	1.72 W	0.00	12 of 12 - Sale <sup>25</sup>
12/15/20	3.186	136.06	Various	151.72	15.66 W	0.00	Total of 12 transactions

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GOODRX HOLDINGS, INC. CLASS A COMMON STOCK / CUSIP: 38246G108 / Symbol: (cont'd)							
16 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.209	8.63	11/20/20	9.03	...	-0.40	1 of 16 - Sale <sup>25</sup>
	0.195	8.02	11/20/20	8.12	...	-0.10	2 of 16 - Sale <sup>25</sup>
	0.001	0.02	11/20/20	0.03	...	-0.01	3 of 16 - Sale <sup>25</sup>
	1.489	61.34	11/20/20	56.83	...	4.51	4 of 16 - Sale <sup>25</sup>
	0.700	28.82	11/30/20	30.29	...	-1.47	5 of 16 - Sale <sup>25</sup>
	0.483	19.92	11/30/20	20.94	...	-1.02	6 of 16 - Sale <sup>25</sup>
	0.001	0.04	11/30/20	0.04	...	0.00	7 of 16 - Sale <sup>25</sup>
	0.003	0.12	11/30/20	0.11	...	0.01	8 of 16 - Sale <sup>25</sup>
	0.023	0.93	11/30/20	0.88	...	0.05	9 of 16 - Sale <sup>25</sup>
	0.037	1.52	11/30/20	1.45	...	0.07	10 of 16 - Sale <sup>25</sup>
	0.718	29.57	11/30/20	28.33	...	1.24	11 of 16 - Sale <sup>25</sup>
	0.735	30.28	11/30/20	27.24	...	3.04	12 of 16 - Sale <sup>25</sup>
	0.036	1.48	12/01/20	1.42	...	0.06	13 of 16 - Sale <sup>25</sup>
	0.084	3.47	12/01/20	3.11	...	0.36	14 of 16 - Sale <sup>25</sup>
	0.457	18.77	12/01/20	18.06	...	0.71	15 of 16 - Sale <sup>25</sup>
	0.507	20.90	12/01/20	18.71	...	2.19	16 of 16 - Sale <sup>25</sup>
12/16/20	5.677	233.83	Various	224.59	...	9.24	Total of 16 transactions
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.780	32.14	11/20/20	34.21	1.21 W	-0.86	1 of 2 - Sale <sup>25</sup>
	0.036	1.48	11/20/20	1.57	0.09 W	0.00	2 of 2 - Sale <sup>25</sup>
12/16/20	0.816	33.62	Various	35.78	1.30 W	-0.86	Total of 2 transactions
	<b>Security total:</b>	<b>1,178.88</b>		<b>1,226.57</b>	<b>83.82 W</b>	<b>36.13</b>	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
10/06/20	1.000	4.82	03/13/20	3.31	...	1.51	Sale <sup>25</sup>
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
5 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.147	5.88	12/10/20	13.00	...	-7.12	1 of 5 - Sale <sup>25</sup>
	0.021	0.84	12/10/20	2.00	...	-1.16	2 of 5 - Sale <sup>25</sup>
	0.171	6.83	12/11/20	10.00	...	-3.17	3 of 5 - Sale <sup>25</sup>
	0.034	1.37	12/11/20	2.00	...	-0.63	4 of 5 - Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol: (cont'd)							
	0.216	8.66	12/14/20	10.00	...	-1.34	5 of 5 - Sale <sup>25</sup>
12/18/20	0.590	23.58	Various	37.00	...	-13.42	Total of 5 transactions
12/23/20	0.000	0.00	12/14/20	0.00	...	0.00	Zero proceeds / negative sale <sup>25</sup>
	<b>Security total:</b>	<b>23.58</b>		<b>37.00</b>	...	<b>-13.42</b>	
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
7 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	3.15	09/24/20	2.73	...	0.42	1 of 7 - Sale <sup>25</sup>
	9.989	62.58	09/24/20	27.27	...	35.31	2 of 7 - Sale <sup>25</sup>
	0.015	0.10	10/08/20	0.03	...	0.07	3 of 7 - Sale <sup>25</sup>
	13.000	81.44	10/08/20	29.97	...	51.47	4 of 7 - Sale <sup>25</sup>
	1.823	11.04	10/23/20	3.82	...	7.22	5 of 7 - Sale <sup>25</sup>
	1.996	12.51	10/23/20	4.18	...	8.33	6 of 7 - Sale <sup>25</sup>
	1.177	7.13	10/26/20	2.37	...	4.76	7 of 7 - Sale <sup>25</sup>
11/05/20	29.000	177.95	Various	70.37	...	107.58	Total of 7 transactions
6 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.564	1.42	10/26/20	1.13	...	0.29	1 of 6 - Sale <sup>25</sup>
	20.000	50.20	11/09/20	49.90	...	0.30	2 of 6 - Sale <sup>25</sup>
	2.837	7.12	11/10/20	6.00	...	1.12	3 of 6 - Sale <sup>25</sup>
	0.890	2.23	11/12/20	1.82	...	0.41	4 of 6 - Sale <sup>25</sup>
	1.174	2.95	11/12/20	2.40	...	0.55	5 of 6 - Sale <sup>25</sup>
	4.535	11.38	11/12/20	10.00	...	1.38	6 of 6 - Sale <sup>25</sup>
11/12/20	30.000	75.30	Various	71.25	...	4.05	Total of 6 transactions
8 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	0.200	0.28	11/16/20	0.23	...	0.05	1 of 8 - Sale <sup>25</sup>
	20.000	28.20	11/16/20	23.00	...	5.20	2 of 8 - Sale <sup>25</sup>
	20.000	28.20	11/16/20	22.90	...	5.30	3 of 8 - Sale <sup>25</sup>
	25.000	35.25	11/16/20	28.38	...	6.87	4 of 8 - Sale <sup>25</sup>
	32.000	45.11	11/16/20	37.28	...	7.83	5 of 8 - Sale <sup>25</sup>
	20.000	28.20	11/18/20	24.37	...	3.83	6 of 8 - Sale <sup>25</sup>
	25.000	35.25	11/23/20	33.75	...	1.50	7 of 8 - Sale <sup>25</sup>
	2.974	4.19	12/10/20	4.07	...	0.12	8 of 8 - Sale <sup>25</sup>
12/31/20	145.174	204.68	Various	173.98	...	30.70	Total of 8 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol: (cont'd)							
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	2.000	2.82	11/12/20	4.09	1.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.826	1.16	11/12/20	1.69	0.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	2.82	12/10/20	4.01	1.19 W	0.00	3 of 3 - Sale <sup>25</sup>
12/31/20	4.826	6.80	Various	9.79	2.99 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>464.73</b>		<b>325.39</b>	<b>2.99 W</b>	<b>142.33</b>	
HANESBRANDS, INC. / CUSIP: 410345102 / Symbol:							
11/16/20	4.000	52.74	11/05/20	52.31	...	0.43	Sale <sup>25</sup>
11/24/20	6.000	87.03	11/05/20	78.47	...	8.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>139.77</b>		<b>130.78</b>	<b>...</b>	<b>8.99</b>	
HELIX ENERGY SOLUTIONS GROUP, INC. / CUSIP: 42330P107 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.282	0.91	10/06/20	0.77	...	0.14	1 of 2 - Sale <sup>25</sup>
	17.718	57.50	10/06/20	48.55	...	8.95	2 of 2 - Sale <sup>25</sup>
11/09/20	18.000	58.41	Various	49.32	...	9.09	Total of 2 transactions
12/31/20	0.240	1.03	10/06/20	0.65	...	0.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>59.44</b>		<b>49.97</b>	<b>...</b>	<b>9.47</b>	
HUMANIGEN, INC. COMMON STOCK / CUSIP: 444863203 / Symbol:							
8 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	0.488	6.84	10/08/20	6.51	...	0.33	1 of 8 - Sale <sup>25</sup>
	7.000	98.18	10/08/20	93.49	...	4.69	2 of 8 - Sale <sup>25</sup>
	0.561	7.86	10/12/20	7.27	...	0.59	3 of 8 - Sale <sup>25</sup>
	10.988	154.11	10/12/20	142.73	...	11.38	4 of 8 - Sale <sup>25</sup>
	0.002	0.03	10/13/20	0.03	...	0.00	5 of 8 - Sale <sup>25</sup>
	0.037	0.51	10/13/20	0.43	...	0.08	6 of 8 - Sale <sup>25</sup>
	0.569	7.97	10/13/20	7.35	...	0.62	7 of 8 - Sale <sup>25</sup>
	2.322	32.57	10/13/20	27.60	...	4.97	8 of 8 - Sale <sup>25</sup>
10/14/20	21.966	308.07	Various	285.41	...	22.66	Total of 8 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HUMANIGEN, INC. COMMON STOCK / CUSIP: 444863203 / Symbol: (cont'd)							
<i>2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	0.642	9.01	10/07/20	9.67	0.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.962	83.63	10/07/20	90.33	6.70 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	6.605	92.64	Various	100.00	7.36 W	0.00	Total of 2 transactions
<i>2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	0.028	0.39	10/13/20	0.36	...	0.03	1 of 2 - Sale <sup>25</sup>
	0.072	1.00	10/13/20	0.92	...	0.08	2 of 2 - Sale <sup>25</sup>
10/21/20	0.100	1.39	Various	1.28	...	0.11	Total of 2 transactions
<i>3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.01	11/09/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.065	0.61	11/09/20	0.60	...	0.01	2 of 3 - Sale <sup>25</sup>
	1.000	9.38	11/09/20	9.31	...	0.07	3 of 3 - Sale <sup>25</sup>
11/13/20	1.066	10.00	Various	9.92	...	0.08	Total of 3 transactions
<i>2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.</i>							
	4.868	48.59	11/09/20	45.32	...	3.27	1 of 2 - Sale <sup>25</sup>
	6.132	61.19	11/09/20	50.19	...	11.00	2 of 2 - Sale <sup>25</sup>
12/07/20	11.000	109.78	Various	95.51	...	14.27	Total of 2 transactions
12/11/20	0.144	1.35	11/09/20	1.18	...	0.17	Sale <sup>25</sup>
12/21/20	4.000	73.17	12/15/20	41.76	...	31.41	Sale <sup>25</sup>
12/22/20	0.760	14.39	12/15/20	7.93	...	6.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>610.79</b>		<b>542.99</b>	<b>7.36 W</b>	<b>75.16</b>	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
10/02/20	5.000	214.50	09/29/20	255.45	0.07 W	-40.88	Sale <sup>25</sup>
<i>2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	0.864	23.26	09/29/20	44.14	...	-20.88	1 of 2 - Sale <sup>25</sup>
	0.008	0.22	09/29/20	0.48	...	-0.26	2 of 2 - Sale <sup>25</sup>
10/13/20	0.872	23.48	Various	44.62	...	-21.14	Total of 2 transactions
	<b>Security total:</b>	<b>237.98</b>		<b>300.07</b>	<b>0.07 W</b>	<b>-62.02</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IRHYTHM TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 450056106 / Symbol:							
4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.256	50.82	12/02/20	50.00	...	0.82	1 of 4 - Sale <sup>25</sup>
	0.027	5.26	12/03/20	5.00	...	0.26	2 of 4 - Sale <sup>25</sup>
	0.054	10.71	12/07/20	10.00	...	0.71	3 of 4 - Sale <sup>25</sup>
	0.273	54.17	12/07/20	50.00	...	4.17	4 of 4 - Sale <sup>25</sup>
12/10/20	0.610	120.96	Various	115.00	...	5.96	Total of 4 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
12/04/20	0.012	0.02	11/17/20	0.02	...	0.00	Sale <sup>25</sup>
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.206	0.30	11/17/20	0.34	0.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.988	1.45	11/17/20	1.64	0.19 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	1.194	1.75	Various	1.98	0.23 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1.77</b>		<b>2.00</b>	<b>0.23 W</b>	<b>0.00</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.952	4.30	12/07/20	4.00	...	0.30	1 of 4 - Sale <sup>25</sup>
	0.018	0.04	12/10/20	0.03	...	0.01	2 of 4 - Sale <sup>25</sup>
	1.000	2.20	12/10/20	1.97	...	0.23	3 of 4 - Sale <sup>25</sup>
	2.000	4.41	12/11/20	3.64	...	0.77	4 of 4 - Sale <sup>25</sup>
12/23/20	4.970	10.95	Various	9.64	...	1.31	Total of 4 transactions
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	3.958	8.72	11/23/20	10.00	1.28 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.991	8.80	12/01/20	8.90	0.01 W	-0.09	2 of 3 - Sale <sup>25</sup>
	0.494	1.09	12/01/20	1.10	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
12/23/20	8.443	18.61	Various	20.00	1.30 W	-0.09	Total of 3 transactions
	<b>Security total:</b>	<b>29.56</b>		<b>29.64</b>	<b>1.30 W</b>	<b>1.22</b>	
INFOSYS LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 456788108 / Symbol:							
10/21/20	1.000	15.57	10/19/20	15.34	...	0.23	Sale <sup>25</sup>

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Account 781095542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INNOVATIVE INDUSTRIAL PROPERTI ES, INC. COMMON STOCK / CUSIP: 45781V101 / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	12/14/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.060	10.17	12/14/20	9.99	...	0.18	2 of 2 - Sale <sup>25</sup>
12/14/20	0.060	10.18	Various	10.00	...	0.18	Total of 2 transactions
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
12/04/20	0.233	1.12	11/30/20	1.00	...	0.12	Sale <sup>25</sup>
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	0.269	31.29	11/09/20	29.90	...	1.39	1 of 2 - Sale <sup>25</sup>
	0.708	82.34	11/09/20	80.00	...	2.34	2 of 2 - Sale <sup>25</sup>
11/10/20	0.977	113.63	Various	109.90	...	3.73	Total of 2 transactions
12/03/20	0.001	0.11	11/09/20	0.10	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>113.74</b>		<b>110.00</b>	...	<b>3.74</b>	
JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol:							
4 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	0.002	0.14	11/09/20	0.13	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.029	1.95	11/09/20	1.91	...	0.04	2 of 4 - Sale <sup>25</sup>
	0.051	3.46	11/09/20	3.31	...	0.15	3 of 4 - Sale <sup>25</sup>
	2.971	202.50	11/09/20	193.47	...	9.03	4 of 4 - Sale <sup>25</sup>
11/11/20	3.053	208.05	Various	198.82	...	9.23	Total of 4 transactions
11/11/20	0.029	1.95	11/09/20	2.00	0.05 W	0.00	Sale <sup>25</sup>
11/27/20	0.947	67.60	11/09/20	61.66	...	5.94	Sale <sup>25</sup>
11/30/20	0.000	0.01	11/09/20	0.01	...	0.00	Sale <sup>25</sup>
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	57.52	12/04/20	61.40	...	-3.88	1 of 2 - Sale <sup>25</sup>
	0.941	54.15	12/07/20	55.35	...	-1.20	2 of 2 - Sale <sup>25</sup>
12/09/20	1.941	111.67	Various	116.75	...	-5.08	Total of 2 transactions
12/09/20	0.059	3.37	12/04/20	3.60	0.23 W	0.00	Sale <sup>25</sup>
12/10/20	0.059	3.39	12/07/20	3.68	...	-0.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>396.04</b>		<b>386.52</b>	<b>0.28 W</b>	<b>9.80</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	0.002	0.06	11/10/20	0.03	...	0.03	1 of 4 - Sale <sup>25</sup>
	0.158	4.00	11/10/20	2.15	...	1.85	2 of 4 - Sale <sup>25</sup>
	0.658	16.58	11/10/20	8.99	...	7.59	3 of 4 - Sale <sup>25</sup>
	2.842	71.64	11/10/20	38.73	...	32.91	4 of 4 - Sale <sup>25</sup>
11/20/20	3.660	92.28	Various	49.90	...	42.38	Total of 4 transactions
12/03/20	0.007	0.24	11/10/20	0.10	...	0.14	Sale <sup>25</sup>
8 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.637	21.40	11/27/20	25.91	4.51 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.002	0.07	11/27/20	0.08	0.01 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.295	110.62	11/27/20	134.01	23.39 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.101	3.40	11/27/20	4.42	1.02 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.033	1.10	11/27/20	1.42	0.32 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.002	0.07	11/27/20	0.09	0.02 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.503	16.89	11/27/20	21.81	4.92 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.066	2.21	11/27/20	2.39	0.18 W	0.00	8 of 8 - Sale <sup>25</sup>
12/03/20	4.639	155.76	Various	190.13	34.37 W	0.00	Total of 8 transactions
8 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.508	17.25	11/30/20	16.66	...	0.59	1 of 8 - Sale <sup>25</sup>
	0.961	32.61	11/30/20	31.36	...	1.25	2 of 8 - Sale <sup>25</sup>
	2.995	101.65	11/30/20	98.34	...	3.31	3 of 8 - Sale <sup>25</sup>
	4.863	165.08	11/30/20	160.00	...	5.08	4 of 8 - Sale <sup>25</sup>
	0.003	0.10	12/01/20	0.09	...	0.01	5 of 8 - Sale <sup>25</sup>
	0.157	5.33	12/01/20	4.59	...	0.74	6 of 8 - Sale <sup>25</sup>
	5.523	187.47	12/01/20	162.19	...	25.28	7 of 8 - Sale <sup>25</sup>
	5.991	203.36	12/01/20	175.41	...	27.95	8 of 8 - Sale <sup>25</sup>
12/07/20	21.000	712.85	Various	648.64	...	64.21	Total of 8 transactions
6 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.033	1.11	11/27/20	1.51	0.40 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.101	3.43	11/27/20	4.69	1.26 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.295	111.85	11/27/20	142.92	31.07 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.002	0.07	11/30/20	0.09	0.02 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.503	17.08	11/30/20	21.34	4.26 W	0.00	5 of 6 - Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
12/07/20	0.066	2.24	11/30/20	2.33	0.09 W	0.00	6 of 6 - Sale <sup>25</sup>
	4.000	135.78	Various	172.88	37.10 W	0.00	Total of 6 transactions
	10 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.002	0.08	12/02/20	0.08	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.066	2.50	12/02/20	1.87	...	0.63	2 of 10 - Sale <sup>25</sup>
	0.503	19.08	12/02/20	17.82	...	1.26	3 of 10 - Sale <sup>25</sup>
	2.144	81.30	12/02/20	78.04	...	3.26	4 of 10 - Sale <sup>25</sup>
	0.785	29.75	12/02/20	21.15	...	8.60	5 of 10 - Sale <sup>25</sup>
	0.033	1.24	12/10/20	1.17	...	0.07	6 of 10 - Sale <sup>25</sup>
	0.101	3.84	12/10/20	3.62	...	0.22	7 of 10 - Sale <sup>25</sup>
	0.215	8.17	12/10/20	6.88	...	1.29	8 of 10 - Sale <sup>25</sup>
	0.566	21.47	12/10/20	18.58	...	2.89	9 of 10 - Sale <sup>25</sup>
	2.000	75.83	12/10/20	63.88	...	11.95	10 of 10 - Sale <sup>25</sup>
12/15/20	6.415	243.26	Various	213.09	...	30.17	Total of 10 transactions
	3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.033	1.24	12/01/20	1.36	0.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.101	3.84	12/01/20	4.23	0.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.151	43.63	12/01/20	44.64	1.01 W	0.00	3 of 3 - Sale <sup>25</sup>
12/15/20	1.285	48.71	Various	50.23	1.52 W	0.00	Total of 3 transactions
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	0.085	3.38	12/10/20	2.77	...	0.61	1 of 3 - Sale <sup>25</sup>
	0.500	20.02	12/15/20	18.19	...	1.83	2 of 3 - Sale <sup>25</sup>
	6.415	256.81	12/15/20	227.75	...	29.06	3 of 3 - Sale <sup>25</sup>
12/16/20	7.000	280.21	Various	248.71	...	31.50	Total of 3 transactions
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	0.085	4.05	12/15/20	3.00	...	1.05	1 of 2 - Sale <sup>25</sup>
	4.915	235.63	12/17/20	191.65	...	43.98	2 of 2 - Sale <sup>25</sup>
12/23/20	5.000	239.68	Various	194.65	...	45.03	Total of 2 transactions
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	0.085	3.43	12/17/20	3.30	...	0.13	1 of 2 - Sale <sup>25</sup>
	0.915	37.14	12/28/20	36.43	...	0.71	2 of 2 - Sale <sup>25</sup>
12/29/20	1.000	40.57	Various	39.73	...	0.84	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	0.080	3.24	12/28/20	3.18	...	0.06	1 of 3 - Sale <sup>25</sup>
	1.000	40.47	12/28/20	39.80	...	0.67	2 of 3 - Sale <sup>25</sup>
	2.000	81.09	12/28/20	79.60	...	1.49	3 of 3 - Sale <sup>25</sup>
12/31/20	3.080	124.80	Various	122.58	...	2.22	Total of 3 transactions
	<b>Security total:</b>	<b>2,074.14</b>		<b>1,930.64</b>	<b>72.99 W</b>	<b>216.49</b>	
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
4 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	0.293	2.50	10/14/20	2.33	...	0.17	1 of 4 - Sale <sup>25</sup>
	5.974	50.97	10/14/20	47.67	...	3.30	2 of 4 - Sale <sup>25</sup>
	0.375	3.20	10/26/20	2.54	...	0.66	3 of 4 - Sale <sup>25</sup>
	6.358	54.26	10/26/20	43.17	...	11.09	4 of 4 - Sale <sup>25</sup>
11/04/20	13.000	110.93	Various	95.71	...	15.22	Total of 4 transactions
4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.631	4.32	10/26/20	4.29	...	0.03	1 of 4 - Sale <sup>25</sup>
	0.078	0.53	11/10/20	0.47	...	0.06	2 of 4 - Sale <sup>25</sup>
	0.998	6.84	11/10/20	6.03	...	0.81	3 of 4 - Sale <sup>25</sup>
	8.292	56.77	11/10/20	49.99	...	6.78	4 of 4 - Sale <sup>25</sup>
11/12/20	10.000	68.46	Various	60.78	...	7.68	Total of 4 transactions
5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	5.000	34.23	11/06/20	46.93	12.70 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	34.23	11/09/20	42.50	8.27 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	13.70	11/10/20	17.14	3.44 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	20.64	11/10/20	25.71	5.07 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	13.76	11/10/20	15.36	1.60 W	0.00	5 of 5 - Sale <sup>25</sup>
11/12/20	17.000	116.56	Various	147.64	31.08 W	0.00	Total of 5 transactions
7 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.015	0.17	11/10/20	0.12	...	0.05	1 of 7 - Sale <sup>25</sup>
	0.018	0.20	11/10/20	0.13	...	0.07	2 of 7 - Sale <sup>25</sup>
	0.122	1.37	11/10/20	0.94	...	0.43	3 of 7 - Sale <sup>25</sup>
	0.433	4.88	11/10/20	3.32	...	1.56	4 of 7 - Sale <sup>25</sup>
	0.862	9.69	11/10/20	6.63	...	3.06	5 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
	1.311	14.73	11/10/20	10.07	...	4.66	6 of 7 - Sale <sup>25</sup>
	1.688	18.96	11/10/20	12.87	...	6.09	7 of 7 - Sale <sup>25</sup>
11/18/20	4.448	50.00	Various	34.08	...	15.92	Total of 7 transactions
	11 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.173	1.61	11/10/20	1.03	...	0.58	1 of 11 - Sale <sup>25</sup>
	0.552	5.13	11/10/20	4.25	...	0.88	2 of 11 - Sale <sup>25</sup>
	3.000	27.86	11/10/20	22.98	...	4.88	3 of 11 - Sale <sup>25</sup>
	2.000	18.57	11/10/20	13.54	...	5.03	4 of 11 - Sale <sup>25</sup>
	4.000	37.15	11/30/20	39.32	...	-2.17	5 of 11 - Sale <sup>25</sup>
	0.525	4.87	12/02/20	3.98	...	0.89	6 of 11 - Sale <sup>25</sup>
	2.000	18.57	12/02/20	15.22	...	3.35	7 of 11 - Sale <sup>25</sup>
	11.933	110.82	12/02/20	100.00	...	10.82	8 of 11 - Sale <sup>25</sup>
	9.961	92.50	12/02/20	75.80	...	16.70	9 of 11 - Sale <sup>25</sup>
	20.000	185.73	12/02/20	145.58	...	40.15	10 of 11 - Sale <sup>25</sup>
	23.169	215.16	12/07/20	180.67	...	34.49	11 of 11 - Sale <sup>25</sup>
12/07/20	77.313	717.97	Various	602.37	...	115.60	Total of 11 transactions
	7 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	10.000	92.86	11/24/20	153.22	60.36 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	92.86	11/30/20	97.67	0.46 W	-4.35	2 of 7 - Sale <sup>25</sup>
	0.930	8.63	11/30/20	10.00	1.37 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.519	4.82	11/30/20	5.12	0.30 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	9.29	11/30/20	9.88	0.59 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.215	1.99	11/30/20	2.10	0.11 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.024	0.22	11/30/20	0.23	0.01 W	0.00	7 of 7 - Sale <sup>25</sup>
12/07/20	22.687	210.67	Various	278.22	63.20 W	-4.35	Total of 7 transactions
	<b>Security total:</b>	<b>1,274.59</b>		<b>1,218.80</b>	<b>94.28 W</b>	<b>150.07</b>	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
	3 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	0.974	1.11	10/16/20	1.12	...	-0.01	1 of 3 - Sale <sup>25</sup>
	0.018	0.02	10/16/20	0.02	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.026	0.03	10/16/20	0.03	...	0.00	3 of 3 - Sale <sup>25</sup>
10/19/20	1.018	1.16	Various	1.17	...	-0.01	Total of 3 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
10/29/20	1.000	20.97	10/14/20	20.84	...	0.13	Sale <sup>25</sup>
LENDINGTREE, INC. COMMON STOCK / CUSIP: 52603B107 / Symbol:							
11/10/20	0.623	191.12	11/05/20	180.00	...	11.12	Sale <sup>25</sup>
11/10/20	0.032	9.76	11/06/20	9.80	0.04 W	0.00	Sale <sup>25</sup>
	4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	0.001	0.18	11/06/20	0.20	0.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.668	182.63	11/17/20	197.14	14.12 W	-0.39	2 of 4 - Sale <sup>25</sup>
	0.018	4.79	11/17/20	5.18	0.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.014	3.92	11/17/20	4.25	0.33 W	0.00	4 of 4 - Sale <sup>25</sup>
12/10/20	0.700	191.52	Various	206.77	14.86 W	-0.39	Total of 4 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.002	0.45	11/20/20	0.44	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.449	123.71	11/20/20	121.31	...	2.40	2 of 3 - Sale <sup>25</sup>
	0.551	152.11	11/20/20	149.26	...	2.85	3 of 3 - Sale <sup>25</sup>
12/22/20	1.002	276.27	Various	271.01	...	5.26	Total of 3 transactions
	7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.317	86.11	11/17/20	93.47	7.36 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.001	0.18	11/19/20	0.20	0.02 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.014	3.90	11/19/20	4.36	0.46 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.018	4.76	11/19/20	5.31	0.55 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.324	88.02	11/19/20	97.90	9.88 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.036	9.92	11/19/20	10.79	0.87 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.289	78.71	11/20/20	84.55	5.84 W	0.00	7 of 7 - Sale <sup>25</sup>
12/22/20	0.998	271.60	Various	296.58	24.98 W	0.00	Total of 7 transactions
12/24/20	0.446	124.11	11/20/20	120.74	...	3.37	Sale <sup>25</sup>
	7 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.001	0.18	11/23/20	0.19	0.01 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.014	3.99	11/23/20	4.17	0.18 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.018	4.87	11/23/20	5.08	0.21 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.037	10.34	11/23/20	10.75	0.41 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.317	88.06	11/23/20	89.33	1.27 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.068	18.83	12/01/20	19.23	0.40 W	0.00	6 of 7 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LENDINGTREE, INC. COMMON STOCK / CUSIP: 52603B107 / Symbol: (cont'd)							
	0.110	30.62	12/01/20	31.27	0.65 W	0.00	7 of 7 - Sale <sup>25</sup>
12/24/20	0.564	156.89	Various	160.02	3.13 W	0.00	Total of 7 transactions
	11 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	0.104	29.07	12/01/20	29.50	...	-0.43	1 of 11 - Sale <sup>25</sup>
	0.001	0.18	12/01/20	0.18	...	0.00	2 of 11 - Sale <sup>25</sup>
	0.002	0.47	12/01/20	0.42	...	0.05	3 of 11 - Sale <sup>25</sup>
	0.036	10.21	12/01/20	10.12	...	0.09	4 of 11 - Sale <sup>25</sup>
	0.014	4.01	12/01/20	3.82	...	0.19	5 of 11 - Sale <sup>25</sup>
	0.018	4.90	12/01/20	4.65	...	0.25	6 of 11 - Sale <sup>25</sup>
	0.037	10.40	12/01/20	9.83	...	0.57	7 of 11 - Sale <sup>25</sup>
	0.068	18.96	12/01/20	17.57	...	1.39	8 of 11 - Sale <sup>25</sup>
	0.289	81.04	12/01/20	79.24	...	1.80	9 of 11 - Sale <sup>25</sup>
	0.110	30.81	12/01/20	28.55	...	2.26	10 of 11 - Sale <sup>25</sup>
	0.317	88.65	12/01/20	81.56	...	7.09	11 of 11 - Sale <sup>25</sup>
12/28/20	0.995	278.70	Various	265.44	...	13.26	Total of 11 transactions
12/28/20	0.005	1.38	12/01/20	1.40	0.02 W	0.00	Sale <sup>25</sup>
12/29/20	0.005	1.36	12/01/20	1.27	...	0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,502.71</b>		<b>1,513.03</b>	<b>43.03 W</b>	<b>32.71</b>	
LEXICON PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 528872302 / Symbol:							
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.078	0.29	12/09/20	0.23	...	0.06	1 of 5 - Sale <sup>25</sup>
	0.600	2.20	12/09/20	1.83	...	0.37	2 of 5 - Sale <sup>25</sup>
	0.678	2.49	12/09/20	2.00	...	0.49	3 of 5 - Sale <sup>25</sup>
	1.400	5.14	12/09/20	4.13	...	1.01	4 of 5 - Sale <sup>25</sup>
	4.922	18.06	12/09/20	13.77	...	4.29	5 of 5 - Sale <sup>25</sup>
12/11/20	7.678	28.18	Various	21.96	...	6.22	Total of 5 transactions
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.400	1.47	12/08/20	1.51	0.01 W	-0.03	1 of 2 - Sale <sup>25</sup>
	0.600	2.21	12/08/20	2.27	0.06 W	0.00	2 of 2 - Sale <sup>25</sup>
12/11/20	1.000	3.68	Various	3.78	0.07 W	-0.03	Total of 2 transactions
	<b>Security total:</b>	<b>31.86</b>		<b>25.74</b>	<b>0.07 W</b>	<b>6.19</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol:							
7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.877	6.83	12/04/20	7.54	...	-0.71	1 of 7 - Sale <sup>25</sup>
	5.350	19.48	12/04/20	20.00	...	-0.52	2 of 7 - Sale <sup>25</sup>
	0.123	0.45	12/04/20	0.56	...	-0.11	3 of 7 - Sale <sup>25</sup>
	0.114	0.41	12/04/20	0.47	...	-0.06	4 of 7 - Sale <sup>25</sup>
	0.536	1.95	12/04/20	2.00	...	-0.05	5 of 7 - Sale <sup>25</sup>
	0.005	0.02	12/04/20	0.02	...	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	36.40	12/07/20	33.74	...	2.66	7 of 7 - Sale <sup>25</sup>
12/22/20	18.005	65.54	Various	64.33	...	1.21	Total of 7 transactions
12/22/20	0.123	0.45	12/04/20	0.51	0.06 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>65.99</b>		<b>64.84</b>	<b>0.06 W</b>	<b>1.21</b>	
LUFAX HOLDING LTD. AMERICAN DEPOSITARY SHARES, TWO OF WHICH REPRESENTING ONE ORDINARY SHAR / CUSIP: 54975P102 / Symbol:							
12/04/20	0.316	4.61	11/17/20	5.00	...	-0.39	Sale <sup>25</sup>
MARATHON PATENT GROUP, INC. COMMON STOCK / CUSIP: 56585W401 / Symbol:							
12/04/20	0.162	0.91	11/30/20	1.00	...	-0.09	Sale <sup>25</sup>
MARINUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 56854Q200 / Symbol:							
10/15/20	5.000	76.22	09/15/20	65.55	...	10.67	Sale <sup>25</sup>
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol:							
11/27/20	0.224	6.47	11/06/20	5.00	...	1.47	Sale <sup>25</sup>
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	2.000	1.70	12/10/20	0.87	...	0.83	1 of 2 - Sale <sup>25</sup>
	48.000	40.80	12/10/20	21.55	...	19.25	2 of 2 - Sale <sup>25</sup>
12/11/20	50.000	42.50	Various	22.42	...	20.08	Total of 2 transactions
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	2.000	1.00	12/10/20	0.90	...	0.10	1 of 2 - Sale <sup>25</sup>
	38.000	19.00	12/14/20	18.58	...	0.42	2 of 2 - Sale <sup>25</sup>
12/23/20	40.000	20.00	Various	19.48	...	0.52	Total of 2 transactions
12/23/20	40.000	19.99	12/11/20	21.20	0.06 W	-1.15	Sale <sup>25</sup>
12/24/20	2.000	1.01	12/22/20	0.95	...	0.06	Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol: (cont'd)							
<b>Security total:</b>		<b>83.50</b>		<b>64.05</b>		<b>0.06 W</b>	<b>19.51</b>
MEDIAALPHA, INC. / CUSIP: 58450V104 / Symbol:							
12/02/20	0.026	0.90	11/10/20	1.00	...	-0.10	Sale <sup>25</sup>
MERCK & CO., INC. / CUSIP: 58933Y105 / Symbol:							
12/31/20	0.950	77.14	12/31/20	76.71	...	0.43	Sale <sup>25</sup>
MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	8.87	12/18/20	8.50	...	0.37	1 of 3 - Sale <sup>25</sup>
	2.000	17.73	12/18/20	16.90	...	0.83	2 of 3 - Sale <sup>25</sup>
	0.672	5.96	12/22/20	5.54	...	0.42	3 of 3 - Sale <sup>25</sup>
12/28/20	3.672	32.56	Various	30.94	...	1.62	Total of 3 transactions
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.029	0.25	12/15/20	0.39	0.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	8.86	12/15/20	13.61	4.75 W	0.00	2 of 2 - Sale <sup>25</sup>
12/28/20	1.028	9.11	Various	14.00	4.89 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>41.67</b>		<b>44.94</b>	<b>4.89 W</b>	<b>1.62</b>	
MIRUM PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 604749101 / Symbol:							
12/22/20	0.106	1.93	12/15/20	2.00	...	-0.07	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
7 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.391	30.01	10/22/20	27.74	...	2.27	1 of 7 - Sale <sup>25</sup>
	0.609	46.70	10/22/20	43.26	...	3.44	2 of 7 - Sale <sup>25</sup>
	0.146	11.20	10/30/20	10.00	...	1.20	3 of 7 - Sale <sup>25</sup>
	0.000	0.03	11/02/20	0.03	...	0.00	4 of 7 - Sale <sup>25</sup>
	0.999	76.69	11/02/20	66.97	...	9.72	5 of 7 - Sale <sup>25</sup>
	0.461	35.37	11/04/20	32.14	...	3.23	6 of 7 - Sale <sup>25</sup>
	2.000	155.80	11/04/20	139.57	...	16.23	7 of 7 - Sale <sup>25</sup>
11/09/20	4.606	355.80	Various	319.71	...	36.09	Total of 7 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	0.001	0.09	11/04/20	0.08	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.399	31.67	11/04/20	27.84	...	3.83	2 of 2 - Sale <sup>25</sup>
11/11/20	0.400	31.76	Various	27.92	...	3.84	Total of 2 transactions
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.005	0.78	11/04/20	0.37	...	0.41	1 of 3 - Sale <sup>25</sup>
	0.107	15.62	11/17/20	10.00	...	5.62	2 of 3 - Sale <sup>25</sup>
	0.388	56.74	11/18/20	34.84	...	21.90	3 of 3 - Sale <sup>25</sup>
11/30/20	0.500	73.14	Various	45.21	...	27.93	Total of 3 transactions
4 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.002	0.25	11/18/20	0.16	...	0.09	1 of 4 - Sale <sup>25</sup>
	0.006	0.87	12/01/20	0.86	...	0.01	2 of 4 - Sale <sup>25</sup>
	0.432	60.43	12/01/20	59.92	...	0.51	3 of 4 - Sale <sup>25</sup>
	1.000	139.42	12/01/20	138.70	...	0.72	4 of 4 - Sale <sup>25</sup>
12/16/20	1.440	200.97	Various	199.64	...	1.33	Total of 4 transactions
	<b>Security total:</b>	<b>661.67</b>		<b>592.48</b>	...	<b>69.19</b>	
MONTROSE ENVIRONMENTAL GROUP, INC. / CUSIP: 615111101 / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.071	2.07	11/23/20	2.05	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.077	2.25	11/23/20	2.22	...	0.03	2 of 3 - Sale <sup>25</sup>
	1.923	56.43	11/23/20	55.73	...	0.70	3 of 3 - Sale <sup>25</sup>
12/09/20	2.071	60.75	Various	60.00	...	0.75	Total of 3 transactions
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
6 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	1.000	27.25	09/30/20	22.08	...	5.17	1 of 6 - Sale <sup>25</sup>
	0.022	0.61	10/14/20	0.54	...	0.07	2 of 6 - Sale <sup>25</sup>
	0.048	1.30	10/14/20	1.14	...	0.16	3 of 6 - Sale <sup>25</sup>
	0.480	13.09	10/14/20	11.45	...	1.64	4 of 6 - Sale <sup>25</sup>
	1.000	27.25	10/14/20	23.87	...	3.38	5 of 6 - Sale <sup>25</sup>
	17.449	475.52	10/14/20	467.21	...	8.31	6 of 6 - Sale <sup>25</sup>
10/28/20	20.000	545.02	Various	526.29	...	18.73	Total of 6 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.225	37.40	10/14/20	32.79	...	4.61	1 of 2 - Sale <sup>25</sup>
	0.605	18.49	10/26/20	15.74	...	2.75	2 of 2 - Sale <sup>25</sup>
10/29/20	1.830	55.89	Various	48.53	...	7.36	Total of 2 transactions
<i>2 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	0.010	0.41	10/26/20	0.26	...	0.15	1 of 2 - Sale <sup>25</sup>
	0.540	22.55	11/04/20	19.77	...	2.78	2 of 2 - Sale <sup>25</sup>
11/06/20	0.550	22.96	Various	20.03	...	2.93	Total of 2 transactions
<i>3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	0.006	0.35	11/04/20	0.23	...	0.12	1 of 3 - Sale <sup>25</sup>
	0.080	4.42	11/13/20	3.70	...	0.72	2 of 3 - Sale <sup>25</sup>
	0.133	7.32	11/13/20	6.13	...	1.19	3 of 3 - Sale <sup>25</sup>
11/24/20	0.220	12.09	Various	10.06	...	2.03	Total of 3 transactions
12/02/20	0.004	0.17	11/13/20	0.17	...	0.00	Sale <sup>25</sup>
12/29/20	1.000	44.45	12/15/20	42.85	...	1.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>680.58</b>		<b>647.93</b>	...	<b>32.65</b>	
NANTHEALTH, INC. COMMON STOCK / CUSIP: 630104107 / Symbol:							
<i>3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	0.129	0.45	12/14/20	0.45	...	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	4.33	12/14/20	3.46	...	0.87	2 of 3 - Sale <sup>25</sup>
	1.300	5.63	12/14/20	4.55	...	1.08	3 of 3 - Sale <sup>25</sup>
12/22/20	2.429	10.41	Various	8.46	...	1.95	Total of 3 transactions
NESCO HOLDINGS, INC. COMMON STOCK / CUSIP: 64083J104 / Symbol:							
12/04/20	0.145	0.92	12/03/20	1.00	...	-0.08	Sale <sup>25</sup>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
10/14/20	0.500	285.40	10/13/20	273.36	...	12.04	Sale <sup>25</sup>
11/05/20	0.000	0.01	10/13/20	0.01	...	0.00	Sale <sup>25</sup>
<i>9 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	0.003	1.51	10/13/20	1.63	0.12 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.007	3.54	10/14/20	3.82	0.16 W	-0.12	2 of 9 - Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	0.035	17.69	10/14/20	19.18	1.49 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.003	1.50	10/14/20	1.74	0.24 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.004	2.03	10/14/20	2.35	0.32 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.197	99.26	10/14/20	107.19	7.93 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.554	279.11	10/14/20	300.00	20.89 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.092	46.52	10/14/20	50.00	3.48 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.114	57.43	10/15/20	61.93	4.50 W	0.00	9 of 9 - Sale <sup>25</sup>
11/05/20	1.010	508.59	Various	547.84	39.13 W	-0.12	Total of 9 transactions
	14 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.000	0.05	10/19/20	0.05	...	0.00	1 of 14 - Sale <sup>25</sup>
	0.000	0.17	10/21/20	0.17	...	0.00	2 of 14 - Sale <sup>25</sup>
	0.002	1.02	10/21/20	1.00	...	0.02	3 of 14 - Sale <sup>25</sup>
	0.196	98.60	10/21/20	96.00	...	2.60	4 of 14 - Sale <sup>25</sup>
	0.339	170.09	10/21/20	166.13	...	3.96	5 of 14 - Sale <sup>25</sup>
	0.603	303.57	10/21/20	295.70	...	7.87	6 of 14 - Sale <sup>25</sup>
	0.000	0.11	10/26/20	0.11	...	0.00	7 of 14 - Sale <sup>25</sup>
	0.370	186.06	10/26/20	179.89	...	6.17	8 of 14 - Sale <sup>25</sup>
	0.000	0.06	10/30/20	0.06	...	0.00	9 of 14 - Sale <sup>25</sup>
	0.001	0.48	10/30/20	0.45	...	0.03	10 of 14 - Sale <sup>25</sup>
	0.105	53.12	10/30/20	50.00	...	3.12	11 of 14 - Sale <sup>25</sup>
	0.286	143.57	10/30/20	135.88	...	7.69	12 of 14 - Sale <sup>25</sup>
	0.615	309.81	10/30/20	292.29	...	17.52	13 of 14 - Sale <sup>25</sup>
	0.991	499.94	10/30/20	471.32	...	28.62	14 of 14 - Sale <sup>25</sup>
12/01/20	3.508	1,766.65	Various	1,689.05	...	77.60	Total of 14 transactions
	16 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.003	1.50	10/15/20	1.86	0.36 W	0.00	1 of 16 - Sale <sup>25</sup>
	0.004	2.03	10/15/20	2.51	0.48 W	0.00	2 of 16 - Sale <sup>25</sup>
	0.035	17.67	10/15/20	20.57	2.90 W	0.00	3 of 16 - Sale <sup>25</sup>
	0.092	46.47	10/15/20	53.64	7.17 W	0.00	4 of 16 - Sale <sup>25</sup>
	0.197	99.16	10/15/20	114.97	15.81 W	0.00	5 of 16 - Sale <sup>25</sup>
	0.554	278.83	10/15/20	321.87	43.04 W	0.00	6 of 16 - Sale <sup>25</sup>
	0.108	54.34	10/15/20	62.31	7.97 W	0.00	7 of 16 - Sale <sup>25</sup>
	0.001	0.34	10/15/20	0.39	0.05 W	0.00	8 of 16 - Sale <sup>25</sup>
	0.001	0.66	10/15/20	0.75	0.09 W	0.00	9 of 16 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
	0.004	2.04	10/19/20	2.32	0.28 W	0.00	10 of 16 - Sale <sup>25</sup>
	0.186	93.72	10/19/20	98.79	5.07 W	0.00	11 of 16 - Sale <sup>25</sup>
	0.003	1.50	11/04/20	1.85	0.35 W	0.00	12 of 16 - Sale <sup>25</sup>
	0.004	2.03	11/04/20	2.50	0.47 W	0.00	13 of 16 - Sale <sup>25</sup>
	0.035	17.71	11/04/20	20.47	2.76 W	0.00	14 of 16 - Sale <sup>25</sup>
	0.197	99.36	11/04/20	114.40	15.04 W	0.00	15 of 16 - Sale <sup>25</sup>
	0.040	20.03	11/04/20	22.96	2.93 W	0.00	16 of 16 - Sale <sup>25</sup>
12/01/20	1.465	737.39	Various	842.16	104.77 W	0.00	Total of 16 transactions
	6 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	0.186	93.99	11/09/20	93.66	...	0.33	1 of 6 - Sale <sup>25</sup>
	0.745	376.23	11/09/20	363.83	...	12.40	2 of 6 - Sale <sup>25</sup>
	0.205	103.35	11/12/20	100.00	...	3.35	3 of 6 - Sale <sup>25</sup>
	1.000	505.04	11/25/20	483.00	...	22.04	4 of 6 - Sale <sup>25</sup>
	0.024	11.87	11/27/20	11.48	...	0.39	5 of 6 - Sale <sup>25</sup>
	0.842	425.22	11/27/20	411.12	...	14.10	6 of 6 - Sale <sup>25</sup>
12/02/20	3.000	1,515.70	Various	1,463.09	...	52.61	Total of 6 transactions
	14 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	0.092	46.67	11/04/20	53.37	6.70 W	0.00	1 of 14 - Sale <sup>25</sup>
	0.515	259.97	11/04/20	297.31	37.34 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.001	0.34	11/04/20	0.39	0.05 W	0.00	3 of 14 - Sale <sup>25</sup>
	0.001	0.66	11/04/20	0.74	0.08 W	0.00	4 of 14 - Sale <sup>25</sup>
	0.108	54.58	11/04/20	62.00	7.42 W	0.00	5 of 14 - Sale <sup>25</sup>
	0.004	1.90	11/04/20	2.14	0.24 W	0.00	6 of 14 - Sale <sup>25</sup>
	0.003	1.50	11/09/20	1.77	0.27 W	0.00	7 of 14 - Sale <sup>25</sup>
	0.004	2.04	11/09/20	2.39	0.35 W	0.00	8 of 14 - Sale <sup>25</sup>
	0.017	8.35	11/09/20	9.17	0.82 W	0.00	9 of 14 - Sale <sup>25</sup>
	0.000	0.15	11/09/20	0.16	0.01 W	0.00	10 of 14 - Sale <sup>25</sup>
	0.000	0.06	11/09/20	0.07	0.01 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.018	9.33	11/09/20	10.48	1.15 W	0.00	12 of 14 - Sale <sup>25</sup>
	0.040	20.08	11/09/20	22.35	2.27 W	0.00	13 of 14 - Sale <sup>25</sup>
	0.197	99.59	11/09/20	111.35	11.76 W	0.00	14 of 14 - Sale <sup>25</sup>
12/02/20	1.000	505.22	Various	573.69	68.47 W	0.00	Total of 14 transactions
12/03/20	0.150	74.76	11/27/20	84.16	6.46 W	-2.94	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
12 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.008	4.46	11/27/20	4.69	...	-0.23	1 of 12 - Sale <sup>25</sup>
	0.356	190.08	12/17/20	214.36	...	-24.28	2 of 12 - Sale <sup>25</sup>
	0.197	105.19	12/17/20	116.08	...	-10.89	3 of 12 - Sale <sup>25</sup>
	0.101	53.86	12/17/20	60.36	...	-6.50	4 of 12 - Sale <sup>25</sup>
	0.092	49.30	12/17/20	55.59	...	-6.29	5 of 12 - Sale <sup>25</sup>
	0.103	55.03	12/17/20	61.04	...	-6.01	6 of 12 - Sale <sup>25</sup>
	0.040	21.21	12/17/20	23.30	...	-2.09	7 of 12 - Sale <sup>25</sup>
	0.018	9.86	12/17/20	10.93	...	-1.07	8 of 12 - Sale <sup>25</sup>
	0.017	8.82	12/17/20	9.57	...	-0.75	9 of 12 - Sale <sup>25</sup>
	0.004	2.15	12/17/20	2.48	...	-0.33	10 of 12 - Sale <sup>25</sup>
	0.003	1.59	12/17/20	1.85	...	-0.26	11 of 12 - Sale <sup>25</sup>
	0.000	0.07	12/17/20	0.08	...	-0.01	12 of 12 - Sale <sup>25</sup>
12/29/20	0.940	501.62	Various	560.33	...	-58.71	Total of 12 transactions
5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.007	3.72	12/17/20	4.23	...	-0.51	1 of 5 - Sale <sup>25</sup>
	0.004	1.99	12/17/20	2.23	...	-0.24	2 of 5 - Sale <sup>25</sup>
	0.001	0.68	12/17/20	0.77	...	-0.09	3 of 5 - Sale <sup>25</sup>
	0.001	0.35	12/17/20	0.41	...	-0.06	4 of 5 - Sale <sup>25</sup>
	0.000	0.09	12/17/20	0.10	...	-0.01	5 of 5 - Sale <sup>25</sup>
12/30/20	0.013	6.83	Various	7.74	...	-0.91	Total of 5 transactions
	<b>Security total:</b>	<b>5,902.17</b>		<b>6,041.43</b>	<b>218.83 W</b>	<b>79.57</b>	
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.018	0.19	12/02/20	0.19	...	0.00	1 of 2 - Sale <sup>25</sup>
	1.721	18.16	12/02/20	16.07	...	2.09	2 of 2 - Sale <sup>25</sup>
12/04/20	1.739	18.35	Various	16.26	...	2.09	Total of 2 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	2.982	31.46	11/20/20	34.31	2.16 W	-0.69	1 of 2 - Sale <sup>25</sup>
	0.018	0.19	11/20/20	0.21	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	3.000	31.65	Various	34.52	2.18 W	-0.69	Total of 2 transactions

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(continued)

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NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol: (cont'd)							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	0.261	2.78	12/02/20	2.68	...	0.10	1 of 2 - Sale <sup>25</sup>
	2.000	21.39	12/02/20	20.58	...	0.81	2 of 2 - Sale <sup>25</sup>
12/10/20	2.261	24.17	Various	23.26	...	0.91	Total of 2 transactions
	<b>Security total:</b>	<b>74.17</b>		<b>74.04</b>	<b>2.18 W</b>	<b>2.31</b>	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	0.300	6.58	10/02/20	7.25	0.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	43.86	10/02/20	48.34	4.48 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	2.300	50.44	Various	55.59	5.15 W	0.00	Total of 2 transactions
6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	3.700	94.36	10/02/20	89.42	...	4.94	1 of 6 - Sale <sup>25</sup>
	0.136	3.46	10/16/20	3.00	...	0.46	2 of 6 - Sale <sup>25</sup>
	0.079	2.02	11/06/20	1.71	...	0.31	3 of 6 - Sale <sup>25</sup>
	0.221	5.63	11/06/20	4.75	...	0.88	4 of 6 - Sale <sup>25</sup>
	2.000	51.01	11/06/20	43.06	...	7.95	5 of 6 - Sale <sup>25</sup>
	2.864	73.05	11/06/20	55.26	...	17.79	6 of 6 - Sale <sup>25</sup>
11/18/20	9.000	229.53	Various	197.20	...	32.33	Total of 6 transactions
11/24/20	1.000	35.63	11/06/20	19.30	...	16.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>315.60</b>		<b>272.09</b>	<b>5.15 W</b>	<b>48.66</b>	
NKARTA, INC. COMMON STOCK / CUSIP: 65487U108 / Symbol:							
12/09/20	0.100	6.39	12/07/20	5.00	...	1.39	Sale <sup>25</sup>
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
10/30/20	0.301	1.02	10/29/20	1.00	...	0.02	Sale <sup>25</sup>
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	0.201	20.78	10/13/20	23.90	3.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.999	413.87	10/13/20	476.10	62.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.800	186.33	10/15/20	199.71	13.38 W	0.00	3 of 3 - Sale <sup>25</sup>
11/25/20	6.000	620.98	Various	699.71	78.73 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
<i>16 transactions for 11/27/20. Total proceeds and cost reported to the IRS.</i>							
	0.200	22.62	10/15/20	22.15	...	0.47	1 of 16 - Sale <sup>25</sup>
	0.029	3.33	10/23/20	2.60	...	0.73	2 of 16 - Sale <sup>25</sup>
	0.097	10.95	10/23/20	8.59	...	2.36	3 of 16 - Sale <sup>25</sup>
	0.998	113.37	10/23/20	88.81	...	24.56	4 of 16 - Sale <sup>25</sup>
	0.000	0.01	10/29/20	0.01	...	0.00	5 of 16 - Sale <sup>25</sup>
	0.201	22.80	10/29/20	20.42	...	2.38	6 of 16 - Sale <sup>25</sup>
	1.540	174.89	10/29/20	156.66	...	18.23	7 of 16 - Sale <sup>25</sup>
	2.000	227.10	11/09/20	214.28	...	12.82	8 of 16 - Sale <sup>25</sup>
	0.001	0.15	11/12/20	0.13	...	0.02	9 of 16 - Sale <sup>25</sup>
	0.219	24.91	11/12/20	23.95	...	0.96	10 of 16 - Sale <sup>25</sup>
	0.239	28.69	11/12/20	26.09	...	2.60	11 of 16 - Sale <sup>25</sup>
	0.145	17.35	11/12/20	14.60	...	2.75	12 of 16 - Sale <sup>25</sup>
	1.000	120.02	11/12/20	100.88	...	19.14	13 of 16 - Sale <sup>25</sup>
	0.380	45.72	11/16/20	34.20	...	11.52	14 of 16 - Sale <sup>25</sup>
	0.654	78.55	11/16/20	63.77	...	14.78	15 of 16 - Sale <sup>25</sup>
	2.961	355.35	11/16/20	266.45	...	88.90	16 of 16 - Sale <sup>25</sup>
11/27/20	10.665	1,245.81	Various	1,043.59	...	202.22	Total of 16 transactions
12/03/20	0.005	0.63	11/16/20	0.45	...	0.18	Sale <sup>25</sup>
<i>5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	0.813	104.21	12/01/20	111.43	6.34 W	-0.88	1 of 5 - Sale <sup>25</sup>
	0.090	11.52	12/01/20	12.21	0.69 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.094	12.09	12/01/20	12.79	0.70 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.005	0.59	12/01/20	0.67	0.08 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.086	10.96	12/01/20	12.37	1.41 W	0.00	5 of 5 - Sale <sup>25</sup>
12/03/20	1.088	139.37	Various	149.47	9.22 W	-0.88	Total of 5 transactions
<i>5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	0.011	1.44	12/01/20	1.40	...	0.04	1 of 5 - Sale <sup>25</sup>
	1.221	156.96	12/01/20	156.61	...	0.35	2 of 5 - Sale <sup>25</sup>
	0.196	25.13	12/01/20	24.47	...	0.66	3 of 5 - Sale <sup>25</sup>
	0.763	98.08	12/01/20	95.50	...	2.58	4 of 5 - Sale <sup>25</sup>
	2.989	384.10	12/01/20	375.53	...	8.57	5 of 5 - Sale <sup>25</sup>
12/11/20	5.180	665.71	Various	653.51	...	12.20	Total of 5 transactions

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
11 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	0.921	112.86	12/01/20	126.22	13.36 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.005	0.56	12/01/20	0.66	0.10 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.042	5.13	12/01/20	6.02	0.89 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.032	3.95	12/01/20	4.34	0.39 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.062	7.99	12/01/20	8.38	0.39 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.005	0.59	12/01/20	0.69	0.10 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.042	5.38	12/01/20	6.26	0.88 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.032	4.14	12/01/20	4.52	0.38 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.921	118.41	12/01/20	131.51	13.10 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.044	5.61	12/01/20	6.32	0.71 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.714	91.82	12/01/20	97.96	6.14 W	0.00	11 of 11 - Sale <sup>25</sup>
12/11/20	2.820	356.44	Various	392.88	36.44 W	0.00	Total of 11 transactions
8 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.062	8.23	12/01/20	8.17	...	0.06	1 of 8 - Sale <sup>25</sup>
	1.401	185.60	12/01/20	175.32	...	10.28	2 of 8 - Sale <sup>25</sup>
	0.032	4.27	12/02/20	4.20	...	0.07	3 of 8 - Sale <sup>25</sup>
	1.000	132.48	12/02/20	123.83	...	8.65	4 of 8 - Sale <sup>25</sup>
	0.287	37.97	12/09/20	31.34	...	6.63	5 of 8 - Sale <sup>25</sup>
	0.799	105.82	12/09/20	85.62	...	20.20	6 of 8 - Sale <sup>25</sup>
	1.694	224.38	12/09/20	185.32	...	39.06	7 of 8 - Sale <sup>25</sup>
	2.000	264.90	12/09/20	214.38	...	50.52	8 of 8 - Sale <sup>25</sup>
12/14/20	7.274	963.65	Various	828.18	...	135.47	Total of 8 transactions
9 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.005	0.60	12/01/20	0.68	0.08 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.042	5.46	12/01/20	6.12	0.66 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.032	4.20	12/01/20	4.41	0.21 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.921	120.14	12/01/20	128.39	8.25 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.044	5.79	12/01/20	6.17	0.38 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.714	94.64	12/01/20	95.54	0.90 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.005	0.61	12/02/20	0.65	0.04 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.042	5.55	12/02/20	5.84	0.29 W	0.00	8 of 9 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	0.921	122.05	12/02/20	122.34	0.29 W	0.00	9 of 9 - Sale <sup>25</sup>
12/14/20	2.726	359.04	Various	370.14	11.10 W	0.00	Total of 9 transactions
	7 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	0.044	5.75	12/09/20	5.16	...	0.59	1 of 7 - Sale <sup>25</sup>
	0.261	34.37	12/09/20	28.89	...	5.48	2 of 7 - Sale <sup>25</sup>
	0.005	0.61	12/15/20	0.61	...	0.00	3 of 7 - Sale <sup>25</sup>
	0.042	5.51	12/15/20	5.47	...	0.04	4 of 7 - Sale <sup>25</sup>
	0.453	59.69	12/15/20	56.69	...	3.00	5 of 7 - Sale <sup>25</sup>
	0.574	75.59	12/15/20	71.07	...	4.52	6 of 7 - Sale <sup>25</sup>
	0.921	121.29	12/15/20	114.34	...	6.95	7 of 7 - Sale <sup>25</sup>
12/17/20	2.300	302.81	Various	282.23	...	20.58	Total of 7 transactions
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.005	0.61	12/15/20	0.58	...	0.03	1 of 2 - Sale <sup>25</sup>
	2.000	260.60	12/18/20	248.00	...	12.60	2 of 2 - Sale <sup>25</sup>
12/21/20	2.005	261.21	Various	248.58	...	12.63	Total of 2 transactions
	4 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1.500	193.74	12/21/20	185.78	...	7.96	1 of 4 - Sale <sup>25</sup>
	0.480	61.29	12/22/20	54.02	...	7.27	2 of 4 - Sale <sup>25</sup>
	0.500	63.85	12/22/20	54.70	...	9.15	3 of 4 - Sale <sup>25</sup>
	0.500	64.58	12/22/20	54.88	...	9.70	4 of 4 - Sale <sup>25</sup>
12/23/20	2.980	383.46	Various	349.38	...	34.08	Total of 4 transactions
12/23/20	0.500	61.76	12/21/20	61.93	0.17 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,360.87</b>		<b>5,080.05</b>	<b>135.66 W</b>	<b>416.48</b>	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
11/12/20	0.032	17.45	11/10/20	16.82	...	0.63	Sale <sup>25</sup>
11/12/20	0.018	9.51	11/09/20	10.00	0.49 W	0.00	Sale <sup>25</sup>
	3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.006	3.26	11/10/20	3.35	0.09 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.114	60.59	11/18/20	60.76	0.16 W	-0.01	2 of 3 - Sale <sup>25</sup>
	0.012	6.15	11/18/20	6.49	0.34 W	0.00	3 of 3 - Sale <sup>25</sup>
11/30/20	0.131	70.00	Various	70.60	0.59 W	-0.01	Total of 3 transactions

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(continued)

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
<i>5 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.62	11/18/20	0.62	...	0.00	1 of 5 - Sale <sup>25</sup>
	0.012	6.13	11/20/20	6.43	...	-0.30	2 of 5 - Sale <sup>25</sup>
	0.006	3.25	11/20/20	3.32	...	-0.07	3 of 5 - Sale <sup>25</sup>
	0.107	57.15	11/20/20	56.94	...	0.21	4 of 5 - Sale <sup>25</sup>
	0.379	201.79	11/20/20	200.49	...	1.30	5 of 5 - Sale <sup>25</sup>
12/02/20	0.506	268.94	Various	267.80	...	1.14	Total of 5 transactions
12/02/20	0.434	231.06	11/18/20	232.45	0.20 W	-1.19	Sale <sup>25</sup>
12/04/20	0.056	30.00	11/20/20	29.53	...	0.47	Sale <sup>25</sup>
12/08/20	0.008	4.09	11/20/20	4.08	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>631.05</b>		<b>631.28</b>	<b>1.28 W</b>	<b>1.05</b>	
OCEAN POWER TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 674870506 / Symbol:							
<i>3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.</i>							
	4.618	18.16	10/08/20	9.50	...	8.66	1 of 3 - Sale <sup>25</sup>
	0.409	1.61	10/28/20	0.72	...	0.89	2 of 3 - Sale <sup>25</sup>
	2.973	11.68	10/28/20	5.26	...	6.42	3 of 3 - Sale <sup>25</sup>
11/24/20	8.000	31.45	Various	15.48	...	15.97	Total of 3 transactions
11/30/20	0.011	0.03	10/28/20	0.02	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>31.48</b>		<b>15.50</b>	...	<b>15.98</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	85.000	25.94	09/29/20	26.49	...	-0.55	1 of 2 - Sale <sup>25</sup>
	1.000	0.31	09/29/20	0.31	...	0.00	2 of 2 - Sale <sup>25</sup>
10/26/20	86.000	26.25	Various	26.80	...	-0.55	Total of 2 transactions
12/22/20	13.000	10.37	09/29/20	4.05	...	6.32	Sale <sup>25</sup>
12/23/20	1.000	1.86	09/29/20	0.31	...	1.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>38.48</b>		<b>31.16</b>	...	<b>7.32</b>	

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(continued)

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OLEMA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 68062P106 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.250	13.35	11/20/20	10.85	...	2.50	1 of 2 - Sale <sup>25</sup>
	2.000	105.58	11/20/20	86.80	...	18.78	2 of 2 - Sale <sup>25</sup>
11/24/20	2.250	118.93	Various	97.65	...	21.28	Total of 2 transactions
12/02/20	0.054	2.94	11/20/20	2.34	...	0.60	Sale <sup>25</sup>
12/09/20	0.000	0.01	11/20/20	0.01	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>121.88</b>		<b>100.00</b>	...	<b>21.88</b>	
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
10/14/20	240.000	79.03	10/13/20	71.57	...	7.46	Sale <sup>25</sup>
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	10.000	2.79	10/13/20	2.98	0.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	0.28	10/14/20	0.32	0.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	169.000	47.11	10/20/20	47.52	0.12 W	-0.29	3 of 3 - Sale <sup>25</sup>
11/10/20	180.000	50.18	Various	50.82	0.35 W	-0.29	Total of 3 transactions
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	10.000	4.22	10/20/20	3.00	...	1.22	1 of 3 - Sale <sup>25</sup>
	1.000	0.42	12/08/20	0.35	...	0.07	2 of 3 - Sale <sup>25</sup>
	39.000	16.47	12/08/20	12.30	...	4.17	3 of 3 - Sale <sup>25</sup>
12/22/20	50.000	21.11	Various	15.65	...	5.46	Total of 3 transactions
12/23/20	9.000	6.13	12/08/20	2.84	...	3.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>156.45</b>		<b>140.88</b>	<b>0.35 W</b>	<b>15.92</b>	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	10.000	4.90	10/26/20	5.51	...	-0.61	1 of 6 - Sale <sup>25</sup>
	6.000	2.94	11/04/20	2.93	...	0.01	2 of 6 - Sale <sup>25</sup>
	19.000	9.30	11/05/20	9.06	...	0.24	3 of 6 - Sale <sup>25</sup>
	106.000	51.93	11/09/20	49.81	...	2.12	4 of 6 - Sale <sup>25</sup>
	4.000	1.96	11/12/20	1.94	...	0.02	5 of 6 - Sale <sup>25</sup>
	190.000	93.07	11/20/20	72.18	...	20.89	6 of 6 - Sale <sup>25</sup>
12/22/20	335.000	164.10	Various	141.43	...	22.67	Total of 6 transactions
12/22/20	100.000	48.99	09/25/20	63.99	7.50 W	-7.50	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORAGENICS INC. / CUSIP: 684023302 / Symbol: (cont'd)							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	60.000	36.59	11/20/20	22.80	...	13.79	1 of 2 - Sale <sup>25</sup>
	30.000	18.30	12/09/20	17.13	...	1.17	2 of 2 - Sale <sup>25</sup>
12/23/20	90.000	54.89	Various	39.93	...	14.96	Total of 2 transactions
	<b>Security total:</b>	<b>267.98</b>		<b>245.35</b>	<b>7.50 W</b>	<b>30.13</b>	
ORASURE TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 68554V108 / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.014	0.19	11/05/20	0.18	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.986	13.30	11/05/20	12.65	...	0.65	2 of 2 - Sale <sup>25</sup>
11/12/20	1.000	13.49	Various	12.83	...	0.66	Total of 2 transactions
11/23/20	0.013	0.16	11/05/20	0.17	...	-0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13.65</b>		<b>13.00</b>	...	<b>0.65</b>	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
10/07/20	4.000	324.84	10/02/20	313.80	...	11.04	Sale <sup>25</sup>
6 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	2.000	124.20	10/20/20	145.14	...	-20.94	1 of 6 - Sale <sup>25</sup>
	2.000	124.20	11/06/20	130.81	...	-6.61	2 of 6 - Sale <sup>25</sup>
	4.000	248.40	11/09/20	219.40	...	29.00	3 of 6 - Sale <sup>25</sup>
	2.470	153.39	11/12/20	130.00	...	23.39	4 of 6 - Sale <sup>25</sup>
	3.000	186.29	11/16/20	155.60	...	30.69	5 of 6 - Sale <sup>25</sup>
	2.907	180.51	11/17/20	149.90	...	30.61	6 of 6 - Sale <sup>25</sup>
11/20/20	16.377	1,016.99	Various	930.85	...	86.14	Total of 6 transactions
11/20/20	3.623	225.00	10/08/20	300.00	22.63 W	-52.37	Sale <sup>25</sup>
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	65.56	11/17/20	72.27	...	-6.71	1 of 2 - Sale <sup>25</sup>
	0.093	5.82	11/17/20	6.74	...	-0.92	2 of 2 - Sale <sup>25</sup>
12/16/20	1.093	71.38	Various	79.01	...	-7.63	Total of 2 transactions
	<b>Security total:</b>	<b>1,638.21</b>		<b>1,623.66</b>	<b>22.63 W</b>	<b>37.18</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OZON HOLDINGS PLC AMERICAN DEP OSITARY SHARES, EACH ADS REPRE SENTING ONE ORDINARY SHARE / CUSIP: 69269L104 / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.265	11.90	11/25/20	10.48	...	1.42	1 of 4 - Sale <sup>25</sup>
	0.997	44.72	11/25/20	39.52	...	5.20	2 of 4 - Sale <sup>25</sup>
	0.002	0.07	11/27/20	0.06	...	0.01	3 of 4 - Sale <sup>25</sup>
	0.736	33.03	11/27/20	28.64	...	4.39	4 of 4 - Sale <sup>25</sup>
12/09/20	2.000	89.72	Various	78.70	...	11.02	Total of 4 transactions
12/17/20	0.090	3.99	11/27/20	3.50	...	0.49	Sale <sup>25</sup>
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.458	20.58	11/27/20	17.80	...	2.78	1 of 2 - Sale <sup>25</sup>
	0.542	24.40	12/10/20	24.30	...	0.10	2 of 2 - Sale <sup>25</sup>
12/29/20	1.000	44.98	Various	42.10	...	2.88	Total of 2 transactions
	<b>Security total:</b>	<b>138.69</b>		<b>124.30</b>	...	<b>14.39</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
10/27/20	14.000	146.37	09/30/20	140.91	...	5.46	Sale <sup>25</sup>
10/29/20	1.000	10.88	09/30/20	10.07	...	0.81	Sale <sup>25</sup>
5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.006	0.17	12/02/20	0.14	...	0.03	1 of 5 - Sale <sup>25</sup>
	0.476	12.87	12/02/20	10.64	...	2.23	2 of 5 - Sale <sup>25</sup>
	1.971	53.24	12/02/20	44.00	...	9.24	3 of 5 - Sale <sup>25</sup>
	2.815	76.05	12/02/20	62.70	...	13.35	4 of 5 - Sale <sup>25</sup>
	4.000	108.08	12/02/20	89.22	...	18.86	5 of 5 - Sale <sup>25</sup>
12/07/20	9.268	250.41	Various	206.70	...	43.71	Total of 5 transactions
8 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.006	0.16	11/27/20	0.19	0.03 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.773	128.95	11/27/20	149.81	20.86 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.522	14.10	11/27/20	15.81	1.71 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.234	6.31	11/27/20	7.08	0.77 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.196	5.29	11/27/20	5.93	0.64 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.002	0.06	11/27/20	0.07	0.01 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	108.08	11/27/20	121.11	13.03 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	27.02	11/27/20	27.26	0.24 W	0.00	8 of 8 - Sale <sup>25</sup>
12/07/20	10.732	289.97	Various	327.26	37.29 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
<i>5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.006	0.18	12/02/20	0.16	...	0.02	1 of 5 - Sale <sup>25</sup>
	0.010	0.29	12/02/20	0.26	...	0.03	2 of 5 - Sale <sup>25</sup>
	0.048	1.40	12/02/20	1.29	...	0.11	3 of 5 - Sale <sup>25</sup>
	0.094	2.72	12/02/20	2.50	...	0.22	4 of 5 - Sale <sup>25</sup>
	0.942	27.27	12/02/20	25.13	...	2.14	5 of 5 - Sale <sup>25</sup>
12/23/20	1.100	31.86	Various	29.34	...	2.52	Total of 5 transactions
	<b>Security total:</b>	<b>729.49</b>		<b>714.28</b>	<b>37.29 W</b>	<b>52.50</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
<i>2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.03	10/16/20	0.03	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.943	105.01	11/09/20	100.92	...	4.09	2 of 2 - Sale <sup>25</sup>
11/12/20	0.944	105.04	Various	100.95	...	4.09	Total of 2 transactions
<i>5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.</i>							
	0.020	2.23	10/16/20	2.61	0.38 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.056	6.27	10/16/20	7.36	1.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.020	2.20	10/16/20	3.00	0.80 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.980	109.11	10/16/20	129.81	20.70 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.001	0.15	11/09/20	0.20	0.05 W	0.00	5 of 5 - Sale <sup>25</sup>
11/12/20	1.078	119.96	Various	142.98	23.02 W	0.00	Total of 5 transactions
<i>2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.02	11/09/20	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.03	11/09/20	0.02	...	0.01	2 of 2 - Sale <sup>25</sup>
11/23/20	0.000	0.05	Various	0.04	...	0.01	Total of 2 transactions
<i>8 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.018	2.05	11/09/20	2.72	0.67 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.056	6.26	11/09/20	7.11	0.85 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.725	80.56	11/09/20	92.85	12.29 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.255	28.36	11/09/20	32.70	4.34 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.018	2.05	11/09/20	2.64	0.59 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.001	0.15	11/09/20	0.19	0.04 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.056	6.26	11/09/20	6.85	0.59 W	0.00	7 of 8 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
11/23/20	0.668	74.26	11/09/20	82.55	8.29 W	0.00	8 of 8 - Sale <sup>25</sup>
	1.799	199.95	Various	227.61	27.66 W	0.00	Total of 8 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.001	0.07	11/09/20	0.07	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.685	78.62	11/09/20	72.43	...	6.19	2 of 2 - Sale <sup>25</sup>
11/30/20	0.685	78.69	Various	72.50	...	6.19	Total of 2 transactions
	8 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.001	0.07	11/09/20	0.08	0.01 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.199	22.85	11/09/20	24.58	1.73 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.056	6.41	11/09/20	6.89	0.48 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.018	2.12	11/09/20	2.54	0.42 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.001	0.15	11/09/20	0.18	0.03 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.056	6.47	11/09/20	6.55	0.08 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.668	76.77	11/09/20	79.01	2.24 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.056	6.47	11/09/20	6.92	0.45 W	0.00	8 of 8 - Sale <sup>25</sup>
11/30/20	1.056	121.31	Various	126.75	5.44 W	0.00	Total of 8 transactions
	13 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	0.254	28.61	11/09/20	26.83	...	1.78	1 of 13 - Sale <sup>25</sup>
	0.018	2.08	11/25/20	2.38	...	-0.30	2 of 13 - Sale <sup>25</sup>
	0.056	6.40	11/25/20	6.44	...	-0.04	3 of 13 - Sale <sup>25</sup>
	0.001	0.15	11/25/20	0.17	...	-0.02	4 of 13 - Sale <sup>25</sup>
	0.005	0.59	11/25/20	0.56	...	0.03	5 of 13 - Sale <sup>25</sup>
	0.056	6.36	11/25/20	6.07	...	0.29	6 of 13 - Sale <sup>25</sup>
	0.051	5.75	11/25/20	5.46	...	0.29	7 of 13 - Sale <sup>25</sup>
	0.253	28.71	11/25/20	27.73	...	0.98	8 of 13 - Sale <sup>25</sup>
	0.199	22.61	11/25/20	21.49	...	1.12	9 of 13 - Sale <sup>25</sup>
	0.416	46.90	11/25/20	45.61	...	1.29	10 of 13 - Sale <sup>25</sup>
	0.404	45.94	11/25/20	43.00	...	2.94	11 of 13 - Sale <sup>25</sup>
	0.360	41.24	11/25/20	38.30	...	2.94	12 of 13 - Sale <sup>25</sup>
	1.000	114.28	11/25/20	106.38	...	7.90	13 of 13 - Sale <sup>25</sup>
12/02/20	3.073	349.62	Various	330.42	...	19.20	Total of 13 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.056	6.29	11/09/20	6.38	0.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.199	22.45	11/09/20	22.78	0.33 W	0.00	2 of 2 - Sale <sup>25</sup>
12/02/20	0.255	28.74	Various	29.16	0.42 W	0.00	Total of 2 transactions
12/07/20	0.000	0.05	11/25/20	0.05	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,003.41</b>		<b>1,030.46</b>	<b>56.54 W</b>	<b>29.49</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	38.06	10/16/20	37.34	...	0.72	1 of 2 - Sale <sup>25</sup>
	1.627	61.94	10/22/20	61.17	...	0.77	2 of 2 - Sale <sup>25</sup>
10/23/20	2.627	100.00	Various	98.51	...	1.49	Total of 2 transactions
3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/22/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.254	10.11	10/22/20	9.53	...	0.58	2 of 3 - Sale <sup>25</sup>
	1.000	39.88	10/22/20	37.59	...	2.29	3 of 3 - Sale <sup>25</sup>
11/10/20	1.254	50.00	Various	47.13	...	2.87	Total of 3 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.119	5.00	10/22/20	4.47	...	0.53	1 of 2 - Sale <sup>25</sup>
	0.881	37.06	11/04/20	33.20	...	3.86	2 of 2 - Sale <sup>25</sup>
12/09/20	1.000	42.06	Various	37.67	...	4.39	Total of 2 transactions
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.100	3.69	11/04/20	3.77	...	-0.08	1 of 4 - Sale <sup>25</sup>
	0.019	0.70	11/04/20	0.71	...	-0.01	2 of 4 - Sale <sup>25</sup>
	0.149	5.48	11/19/20	5.38	...	0.10	3 of 4 - Sale <sup>25</sup>
	0.832	30.69	11/19/20	30.00	...	0.69	4 of 4 - Sale <sup>25</sup>
12/29/20	1.100	40.56	Various	39.86	...	0.70	Total of 4 transactions
	<b>Security total:</b>	<b>232.62</b>		<b>223.17</b>	<b>...</b>	<b>9.45</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.004	0.02	11/17/20	0.01	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.930	4.18	11/17/20	2.18	...	2.00	2 of 2 - Sale <sup>25</sup>
11/18/20	0.935	4.20	Various	2.19	...	2.01	Total of 2 transactions
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	0.047	1.64	10/12/20	1.62	...	0.02	1 of 2 - Sale <sup>25</sup>
	1.953	67.58	10/12/20	66.82	...	0.76	2 of 2 - Sale <sup>25</sup>
10/14/20	2.000	69.22	Various	68.44	...	0.78	Total of 2 transactions
10/19/20	0.046	1.35	10/12/20	1.56	...	-0.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>70.57</b>		<b>70.00</b>	...	<b>0.57</b>	
PILGRIM'S PRIDE CORPORATION CO MMON STOCK / CUSIP: 72147K108 / Symbol:							
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	0.041	0.68	10/15/20	0.67	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.220	3.65	10/15/20	3.60	...	0.05	2 of 3 - Sale <sup>25</sup>
	0.959	15.92	10/15/20	15.73	...	0.19	3 of 3 - Sale <sup>25</sup>
10/23/20	1.220	20.25	Various	20.00	...	0.25	Total of 3 transactions
PINDUODUO INC. AMERICAN DEPOSI TARY SHARES / CUSIP: 722304102 / Symbol:							
12/02/20	0.007	0.96	11/13/20	1.00	...	-0.04	Sale <sup>25</sup>
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	0.200	5.20	11/05/20	4.70	...	0.50	1 of 5 - Sale <sup>25</sup>
	0.225	5.85	11/05/20	5.30	...	0.55	2 of 5 - Sale <sup>25</sup>
	0.600	15.58	11/16/20	12.68	...	2.90	3 of 5 - Sale <sup>25</sup>
	0.180	4.68	11/23/20	3.81	...	0.87	4 of 5 - Sale <sup>25</sup>
	0.995	25.83	11/23/20	21.09	...	4.74	5 of 5 - Sale <sup>25</sup>
12/08/20	2.200	57.14	Various	47.58	...	9.56	Total of 5 transactions
12/10/20	0.005	0.13	11/23/20	0.10	...	0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>57.27</b>		<b>47.68</b>	...	<b>9.59</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.043	1.03	11/12/20	1.00	...	0.03	1 of 2 - Sale <sup>25</sup>
	1.957	47.50	11/17/20	43.96	...	3.54	2 of 2 - Sale <sup>25</sup>
12/03/20	2.000	48.53	Various	44.96	...	3.57	Total of 2 transactions
4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.043	27.56	11/17/20	23.41	...	4.15	1 of 4 - Sale <sup>25</sup>
	1.000	27.40	11/17/20	22.46	...	4.94	2 of 4 - Sale <sup>25</sup>
	1.000	27.73	11/17/20	22.46	...	5.27	3 of 4 - Sale <sup>25</sup>
	1.957	51.73	11/20/20	47.72	...	4.01	4 of 4 - Sale <sup>25</sup>
12/11/20	5.000	134.42	Various	116.05	...	18.37	Total of 4 transactions
12/15/20	4.000	111.12	11/20/20	97.51	...	13.61	Sale <sup>25</sup>
12/31/20	0.040	1.35	11/20/20	0.98	...	0.37	Sale <sup>25</sup>
	<b>Security total:</b>	<b>295.42</b>		<b>259.50</b>	...	<b>35.92</b>	
PLURALSIGHT, INC. CLASS A COMM ON STOCK / CUSIP: 72941B106 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	0.024	0.37	11/06/20	0.35	...	0.02	1 of 2 - Sale <sup>25</sup>
	0.976	14.79	11/06/20	14.29	...	0.50	2 of 2 - Sale <sup>25</sup>
11/10/20	1.000	15.16	Various	14.64	...	0.52	Total of 2 transactions
11/27/20	0.024	0.39	11/06/20	0.36	...	0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>15.55</b>		<b>15.00</b>	...	<b>0.55</b>	
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
4 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.100	0.47	11/24/20	0.51	...	-0.04	1 of 4 - Sale <sup>25</sup>
	3.000	14.13	12/02/20	12.68	...	1.45	2 of 4 - Sale <sup>25</sup>
	5.000	23.55	12/09/20	20.82	...	2.73	3 of 4 - Sale <sup>25</sup>
	7.172	33.78	12/10/20	29.55	...	4.23	4 of 4 - Sale <sup>25</sup>
12/18/20	15.272	71.93	Various	63.56	...	8.37	Total of 4 transactions
12/18/20	9.728	45.82	11/24/20	49.49	1.07 W	-2.60	Sale <sup>25</sup>
12/22/20	2.828	14.39	12/10/20	12.72	...	1.67	Sale <sup>25</sup>
	<b>Security total:</b>	<b>132.14</b>		<b>125.77</b>	<b>1.07 W</b>	<b>7.44</b>	

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PRIORITY TECHNOLOGY HOLDINGS, INC. COMMON STOCK / CUSIP: 74275G107 / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.747	5.01	12/03/20	5.00	...	0.01	1 of 2 - Sale <sup>25</sup>
	4.580	30.71	12/08/20	30.00	...	0.71	2 of 2 - Sale <sup>25</sup>
12/21/20	5.327	35.72	Various	35.00	...	0.72	Total of 2 transactions
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.036	1.76	11/27/20	1.12	...	0.64	1 of 3 - Sale <sup>25</sup>
	0.100	4.81	11/27/20	3.08	...	1.73	2 of 3 - Sale <sup>25</sup>
	0.964	46.64	11/27/20	29.68	...	16.96	3 of 3 - Sale <sup>25</sup>
11/30/20	1.100	53.21	Various	33.88	...	19.33	Total of 3 transactions
9 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	0.036	2.37	11/27/20	1.12	...	1.25	1 of 9 - Sale <sup>25</sup>
	0.116	7.48	12/14/20	7.45	...	0.03	2 of 9 - Sale <sup>25</sup>
	0.302	19.70	12/14/20	18.00	...	1.70	3 of 9 - Sale <sup>25</sup>
	1.545	100.59	12/14/20	93.13	...	7.46	4 of 9 - Sale <sup>25</sup>
	0.041	2.62	12/15/20	2.55	...	0.07	5 of 9 - Sale <sup>25</sup>
	0.078	4.98	12/15/20	4.85	...	0.13	6 of 9 - Sale <sup>25</sup>
	0.116	7.58	12/15/20	7.27	...	0.31	7 of 9 - Sale <sup>25</sup>
	0.073	4.78	12/15/20	4.31	...	0.47	8 of 9 - Sale <sup>25</sup>
	0.692	44.52	12/15/20	43.46	...	1.06	9 of 9 - Sale <sup>25</sup>
12/17/20	3.000	194.62	Various	182.14	...	12.48	Total of 9 transactions
6 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	65.12	12/09/20	75.32	10.20 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.116	7.58	12/10/20	8.00	0.42 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.114	7.43	12/14/20	8.03	0.60 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.078	5.06	12/14/20	5.46	0.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.809	52.75	12/14/20	57.13	4.38 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.073	4.70	12/14/20	4.81	0.11 W	0.00	6 of 6 - Sale <sup>25</sup>
12/17/20	2.190	142.64	Various	158.75	16.11 W	0.00	Total of 6 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.001	0.04	12/15/20	0.03	...	0.01	1 of 2 - Sale <sup>25</sup>
	2.000	141.28	12/15/20	114.68	...	26.60	2 of 2 - Sale <sup>25</sup>
12/18/20	2.000	141.32	Various	114.71	...	26.61	Total of 2 transactions
	<b>Security total:</b>	<b>531.79</b>		<b>489.48</b>	<b>16.11 W</b>	<b>58.42</b>	
RAPT THERAPEUTICS, INC. COMMON STOCK / CUSIP: 75382E109 / Symbol:							
3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.019	0.44	11/16/20	0.32	...	0.12	1 of 3 - Sale <sup>25</sup>
	0.921	20.92	11/16/20	15.60	...	5.32	2 of 3 - Sale <sup>25</sup>
	1.000	22.72	11/16/20	16.20	...	6.52	3 of 3 - Sale <sup>25</sup>
12/04/20	1.940	44.08	Various	32.12	...	11.96	Total of 3 transactions
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	4.078	90.36	11/16/20	69.08	...	21.28	1 of 2 - Sale <sup>25</sup>
	5.922	131.21	11/16/20	99.96	...	31.25	2 of 2 - Sale <sup>25</sup>
12/21/20	10.000	221.57	Various	169.04	...	52.53	Total of 2 transactions
	<b>Security total:</b>	<b>265.65</b>		<b>201.16</b>	...	<b>64.49</b>	
RENESOLA LTD AMERICAN DEPOSITA RY (EACH REPRESENTING TEN SHAR ES) / CUSIP: 75971T301 / Symbol:							
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	0.364	1.44	10/12/20	1.28	...	0.16	1 of 2 - Sale <sup>25</sup>
	11.000	43.67	10/12/20	38.72	...	4.95	2 of 2 - Sale <sup>25</sup>
10/15/20	11.364	45.11	Various	40.00	...	5.11	Total of 2 transactions
REVLON, INC. / CUSIP: 761525609 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.115	1.77	11/10/20	1.00	...	0.77	1 of 2 - Sale <sup>25</sup>
	1.185	18.17	11/12/20	13.79	...	4.38	2 of 2 - Sale <sup>25</sup>
12/03/20	1.300	19.94	Various	14.79	...	5.15	Total of 2 transactions
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.104	1.28	11/12/20	1.21	...	0.07	1 of 2 - Sale <sup>25</sup>
	2.000	24.58	12/11/20	23.35	...	1.23	2 of 2 - Sale <sup>25</sup>
12/22/20	2.104	25.86	Various	24.56	...	1.30	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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REVLON, INC. / CUSIP: 761525609 / Symbol: (cont'd)							
<b>Security total:</b>		<b>45.80</b>		<b>39.35</b>	...	<b>6.45</b>	
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.700	6.55	11/30/20	5.09	...	1.46	1 of 2 - Sale <sup>25</sup>
	2.000	18.69	11/30/20	14.53	...	4.16	2 of 2 - Sale <sup>25</sup>
12/03/20	2.700	25.24	Various	19.62	...	5.62	Total of 2 transactions
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.053	0.86	11/30/20	0.38	...	0.48	1 of 4 - Sale <sup>25</sup>
	0.008	0.13	12/08/20	0.08	...	0.05	2 of 4 - Sale <sup>25</sup>
	0.031	0.51	12/08/20	0.31	...	0.20	3 of 4 - Sale <sup>25</sup>
	1.907	31.12	12/08/20	18.70	...	12.42	4 of 4 - Sale <sup>25</sup>
12/28/20	2.000	32.62	Various	19.47	...	13.15	Total of 4 transactions
12/29/20	0.092	1.36	12/08/20	0.90	...	0.46	Sale <sup>25</sup>
<b>Security total:</b>		<b>59.22</b>		<b>39.99</b>	...	<b>19.23</b>	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
10/16/20	20.000	54.60	10/13/20	49.20	...	5.40	Sale <sup>25</sup>
10/19/20	0.325	0.90	10/13/20	0.80	...	0.10	Sale <sup>25</sup>
<b>Security total:</b>		<b>55.50</b>		<b>50.00</b>	...	<b>5.50</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S A / CUSIP: 780259206 / Symbol:							
11/09/20	1.990	60.50	10/29/20	46.99	...	13.51	Sale <sup>25</sup>
11/09/20	2.000	60.80	05/22/20	63.88	0.02 W	-3.06	Sale <sup>25</sup>
11/27/20	0.010	0.36	10/29/20	0.26	...	0.10	Sale <sup>25</sup>
<b>Security total:</b>		<b>121.66</b>		<b>111.13</b>	<b>0.02 W</b>	<b>10.55</b>	
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
12/02/20	0.060	10.24	11/27/20	10.00	...	0.24	3- Proceeds from collectibles [X] Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
10/09/20	1.930	508.89	10/08/20	499.03	...	9.86	Sale <sup>25</sup>
	2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	0.004	0.98	10/08/20	0.97	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.576	150.97	10/15/20	148.18	...	2.79	2 of 2 - Sale <sup>25</sup>
10/16/20	0.580	151.95	Various	149.15	...	2.80	Total of 2 transactions
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	0.000	0.02	10/15/20	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.007	1.81	10/15/20	1.80	...	0.01	2 of 2 - Sale <sup>25</sup>
10/19/20	0.007	1.83	Various	1.82	...	0.01	Total of 2 transactions
	3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.001	0.17	11/12/20	0.17	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.000	0.03	11/16/20	0.03	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.383	96.14	11/16/20	95.59	...	0.55	3 of 3 - Sale <sup>25</sup>
11/17/20	0.384	96.34	Various	95.79	...	0.55	Total of 3 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.020	4.96	11/12/20	5.00	0.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.393	98.70	11/12/20	99.83	1.13 W	0.00	2 of 2 - Sale <sup>25</sup>
11/17/20	0.413	103.66	Various	104.83	1.17 W	0.00	Total of 2 transactions
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	0.020	5.11	11/16/20	4.94	...	0.17	1 of 2 - Sale <sup>25</sup>
	0.172	44.89	11/16/20	43.55	...	1.34	2 of 2 - Sale <sup>25</sup>
11/18/20	0.192	50.00	Various	48.49	...	1.51	Total of 2 transactions
12/01/20	0.026	6.16	11/16/20	6.50	0.34 W	0.00	Sale <sup>25</sup>
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.026	5.86	12/01/20	6.30	0.44 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.195	44.45	12/01/20	45.71	1.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.779	177.69	12/01/20	180.49	2.80 W	0.00	3 of 3 - Sale <sup>25</sup>
12/22/20	1.000	228.00	Various	232.50	4.50 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,146.83</b>		<b>1,138.11</b>	<b>6.01 W</b>	<b>14.73</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAP SE / CUSIP: 803054204 / Symbol:							
<i>2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.088	10.70	10/26/20	10.00	...	0.70	1 of 2 - Sale <sup>25</sup>
	0.912	111.40	10/27/20	105.76	...	5.64	2 of 2 - Sale <sup>25</sup>
12/01/20	1.000	122.10	Various	115.76	...	6.34	Total of 2 transactions
<i>2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.02	10/27/20	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.002	0.23	10/27/20	0.22	...	0.01	2 of 2 - Sale <sup>25</sup>
12/02/20	0.002	0.25	Various	0.24	...	0.01	Total of 2 transactions
	<b>Security total:</b>	<b>122.35</b>		<b>116.00</b>	...	<b>6.35</b>	
17 EDUCATION & TECHNOLOGY GROU P INC. AMERICAN DEPOSITARY SHA RES / CUSIP: 81807M106 / Symbol:							
12/10/20	0.552	11.32	12/09/20	10.00	...	1.32	Sale <sup>25</sup>
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.373	3.33	11/13/20	2.71	...	0.62	1 of 2 - Sale <sup>25</sup>
	0.627	5.61	11/13/20	4.57	...	1.04	2 of 2 - Sale <sup>25</sup>
12/09/20	1.000	8.94	Various	7.28	...	1.66	Total of 2 transactions
12/11/20	0.373	3.25	11/13/20	2.72	...	0.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12.19</b>		<b>10.00</b>	...	<b>2.19</b>	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
<i>3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	0.002	0.50	10/05/20	0.48	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.075	18.72	10/05/20	18.23	...	0.49	2 of 3 - Sale <sup>25</sup>
	1.998	500.25	10/05/20	481.52	...	18.73	3 of 3 - Sale <sup>25</sup>
10/07/20	2.075	519.47	Various	500.23	...	19.24	Total of 3 transactions
<i>2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.925	482.04	10/01/20	488.20	3.20 W	-2.96	1 of 2 - Sale <sup>25</sup>
	0.075	18.73	10/01/20	18.96	0.23 W	0.00	2 of 2 - Sale <sup>25</sup>
10/07/20	2.000	500.77	Various	507.16	3.43 W	-2.96	Total of 2 transactions
10/13/20	0.999	249.63	10/08/20	248.95	...	0.68	Sale <sup>25</sup>
10/19/20	0.001	0.25	10/08/20	0.25	...	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNOWFLAKE INC. / CUSIP: 833445109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,270.12</b>		<b>1,256.59</b>		<b>3.43 W</b>	<b>16.96</b>
SOLAREEDGE TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 83417M104 / Symbol:							
4 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	0.001	0.27	11/03/20	0.25	...	0.02	1 of 4 - Sale <sup>25</sup>
	0.079	17.96	11/03/20	16.75	...	1.21	2 of 4 - Sale <sup>25</sup>
	0.051	11.68	11/04/20	10.00	...	1.68	3 of 4 - Sale <sup>25</sup>
	0.129	29.36	11/11/20	29.72	...	-0.36	4 of 4 - Sale <sup>25</sup>
11/17/20	0.260	59.27	Various	56.72	...	2.55	Total of 4 transactions
11/27/20	0.001	0.34	11/11/20	0.28	...	0.06	Sale <sup>25</sup>
<b>Security total:</b>		<b>59.61</b>		<b>57.00</b>	...	<b>2.61</b>	
SONY CORPORATION ADS / CUSIP: 835699307 / Symbol:							
11/24/20	0.022	2.03	11/20/20	2.00	...	0.03	Sale <sup>25</sup>
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.434	5.20	09/29/20	4.60	...	0.60	1 of 2 - Sale <sup>25</sup>
	8.996	107.77	09/29/20	95.36	...	12.41	2 of 2 - Sale <sup>25</sup>
10/13/20	9.430	112.97	Various	99.96	...	13.01	Total of 2 transactions
17 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	0.040	0.31	11/05/20	0.28	...	0.03	1 of 17 - Sale <sup>25</sup>
	0.276	2.15	11/05/20	1.93	...	0.22	2 of 17 - Sale <sup>25</sup>
	14.000	109.13	11/05/20	97.79	...	11.34	3 of 17 - Sale <sup>25</sup>
	0.461	3.60	11/06/20	3.19	...	0.41	4 of 17 - Sale <sup>25</sup>
	8.865	69.10	11/06/20	61.30	...	7.80	5 of 17 - Sale <sup>25</sup>
	0.206	1.61	11/09/20	1.58	...	0.03	6 of 17 - Sale <sup>25</sup>
	4.998	39.21	11/09/20	38.59	...	0.62	7 of 17 - Sale <sup>25</sup>
	10.291	80.73	11/09/20	60.79	...	19.94	8 of 17 - Sale <sup>25</sup>
	0.278	2.18	11/10/20	1.52	...	0.66	9 of 17 - Sale <sup>25</sup>
	18.000	141.21	11/10/20	98.64	...	42.57	10 of 17 - Sale <sup>25</sup>
	0.004	0.03	11/12/20	0.03	...	0.00	11 of 17 - Sale <sup>25</sup>
	0.639	5.06	11/12/20	5.01	...	0.05	12 of 17 - Sale <sup>25</sup>
	2.420	19.20	11/12/20	19.00	...	0.20	13 of 17 - Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	0.937	7.35	11/12/20	5.81	...	1.54	14 of 17 - Sale <sup>25</sup>
	5.650	44.32	11/12/20	35.00	...	9.32	15 of 17 - Sale <sup>25</sup>
	0.359	2.84	11/24/20	2.36	...	0.48	16 of 17 - Sale <sup>25</sup>
	4.506	35.73	11/24/20	32.49	...	3.24	17 of 17 - Sale <sup>25</sup>
11/27/20	71.928	563.76	Various	465.31	...	98.45	Total of 17 transactions
	11 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	0.004	0.03	09/29/20	0.04	0.01 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.639	4.98	10/14/20	6.62	1.64 W	0.00	2 of 11 - Sale <sup>25</sup>
	8.998	70.14	10/14/20	93.38	23.24 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.206	1.60	10/16/20	1.97	0.37 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.998	38.96	10/16/20	48.03	9.07 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.004	0.03	11/06/20	0.04	0.01 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.639	5.01	11/06/20	6.06	1.05 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.493	35.25	11/06/20	42.66	7.41 W	0.00	8 of 11 - Sale <sup>25</sup>
	4.506	35.35	11/09/20	38.26	2.91 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.628	4.98	11/24/20	5.15	0.17 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.445	11.46	11/24/20	11.86	0.40 W	0.00	11 of 11 - Sale <sup>25</sup>
11/27/20	26.558	207.79	Various	254.07	46.28 W	0.00	Total of 11 transactions
	4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	0.053	0.42	11/24/20	0.35	...	0.07	1 of 4 - Sale <sup>25</sup>
	0.628	4.93	11/24/20	4.29	...	0.64	2 of 4 - Sale <sup>25</sup>
	2.375	18.65	12/08/20	18.65	...	0.00	3 of 4 - Sale <sup>25</sup>
	5.500	43.20	12/14/20	41.39	...	1.81	4 of 4 - Sale <sup>25</sup>
12/28/20	8.556	67.20	Various	64.68	...	2.52	Total of 4 transactions
12/28/20	1.445	11.35	12/08/20	11.75	0.32 W	-0.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>963.07</b>		<b>895.77</b>	<b>46.60 W</b>	<b>113.90</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
09/24/20	5.000	185.55	05/22/20	144.85	...	40.70	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
10/22/20	5.000	89.40	06/11/20	84.01	...	5.39	Sale <sup>25</sup>
10/22/20	5.000	89.40	06/04/20	102.03	12.63 W	0.00	Sale <sup>25</sup>
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	5.000	91.87	10/12/20	98.07	...	-6.20	1 of 2 - Sale <sup>25</sup>
	4.900	90.04	10/12/20	83.72	...	6.32	2 of 2 - Sale <sup>25</sup>
10/23/20	9.900	181.91	Various	181.79	...	0.12	Total of 2 transactions
11/03/20	0.056	1.00	10/12/20	0.95	...	0.05	Sale <sup>25</sup>
12/02/20	0.044	1.02	10/12/20	0.76	...	0.26	Sale <sup>25</sup>
<b>Security total:</b>		<b>362.73</b>		<b>369.54</b>	<b>12.63 W</b>	<b>5.82</b>	
SPUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	0.015	2.49	12/03/20	2.44	...	0.05	1 of 2 - Sale <sup>25</sup>
	0.985	158.23	12/03/20	155.48	...	2.75	2 of 2 - Sale <sup>25</sup>
12/15/20	1.000	160.72	Various	157.92	...	2.80	Total of 2 transactions
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.010	1.81	12/03/20	1.71	...	0.10	1 of 3 - Sale <sup>25</sup>
	0.013	2.31	12/03/20	2.08	...	0.23	2 of 3 - Sale <sup>25</sup>
	0.977	171.61	12/03/20	155.00	...	16.61	3 of 3 - Sale <sup>25</sup>
12/22/20	1.000	175.73	Various	158.79	...	16.94	Total of 3 transactions
<b>Security total:</b>		<b>336.45</b>		<b>316.71</b>	<b>...</b>	<b>19.74</b>	
SPRUCE BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 85209E109 / Symbol:							
12/10/20	0.042	0.93	12/07/20	1.00	...	-0.07	Sale <sup>25</sup>
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
12/10/20	1.000	55.05	12/09/20	49.50	...	5.55	Sale <sup>25</sup>
STMICROELECTRONICS N.V. / CUSIP: 861012102 / Symbol:							
12/11/20	0.028	0.98	12/09/20	1.00	...	-0.02	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	7.000	5.16	11/11/20	2.55	...	2.61	1 of 5 - Sale <sup>25</sup>
	20.000	14.76	11/12/20	6.86	...	7.90	2 of 5 - Sale <sup>25</sup>
	18.000	13.28	11/16/20	4.53	...	8.75	3 of 5 - Sale <sup>25</sup>
	34.000	25.09	11/16/20	8.56	...	16.53	4 of 5 - Sale <sup>25</sup>
	60.000	44.27	11/24/20	18.77	...	25.50	5 of 5 - Sale <sup>25</sup>
11/30/20	139.000	102.56	Various	41.27	...	61.29	Total of 5 transactions
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	40.000	21.90	11/24/20	12.51	...	9.39	1 of 2 - Sale <sup>25</sup>
	95.000	52.01	11/24/20	30.02	...	21.99	2 of 2 - Sale <sup>25</sup>
12/08/20	135.000	73.91	Various	42.53	...	31.38	Total of 2 transactions
	<b>Security total:</b>	<b>176.47</b>		<b>83.80</b>	...	<b>92.67</b>	
SUNPOWER CORPORATION COMMON ST OCK / CUSIP: 867652406 / Symbol:							
10/02/20	0.919	12.40	09/30/20	11.40	...	1.00	Sale <sup>25</sup>
5 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	0.081	1.40	09/30/20	1.00	...	0.40	1 of 5 - Sale <sup>25</sup>
	0.319	5.53	10/12/20	5.05	...	0.48	2 of 5 - Sale <sup>25</sup>
	0.600	10.39	10/12/20	9.49	...	0.90	3 of 5 - Sale <sup>25</sup>
	0.380	7.04	10/12/20	6.12	...	0.92	4 of 5 - Sale <sup>25</sup>
	5.000	87.65	10/12/20	80.51	...	7.14	5 of 5 - Sale <sup>25</sup>
10/19/20	6.380	112.01	Various	102.17	...	9.84	Total of 5 transactions
10/19/20	6.000	103.95	10/08/20	105.60	1.65 W	0.00	Sale <sup>25</sup>
6 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	0.020	0.45	10/12/20	0.32	...	0.13	1 of 6 - Sale <sup>25</sup>
	0.200	4.49	11/09/20	4.15	...	0.34	2 of 6 - Sale <sup>25</sup>
	0.400	8.99	11/09/20	8.32	...	0.67	3 of 6 - Sale <sup>25</sup>
	1.800	40.46	11/09/20	36.94	...	3.52	4 of 6 - Sale <sup>25</sup>
	0.010	0.22	11/18/20	0.19	...	0.03	5 of 6 - Sale <sup>25</sup>
	0.570	12.81	11/18/20	11.30	...	1.51	6 of 6 - Sale <sup>25</sup>
12/11/20	3.000	67.42	Various	61.22	...	6.20	Total of 6 transactions
12/15/20	0.429	9.93	11/18/20	8.51	...	1.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>305.71</b>		<b>288.90</b>	<b>1.65 W</b>	<b>18.46</b>	

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SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	0.004	0.32	10/08/20	0.32	...	0.00	1 of 3 - Sale <sup>25</sup>
	1.974	144.09	10/08/20	138.83	...	5.26	2 of 3 - Sale <sup>25</sup>
	6.921	505.14	10/08/20	499.68	...	5.46	3 of 3 - Sale <sup>25</sup>
10/09/20	8.900	649.55	Various	638.83	...	10.72	Total of 3 transactions
11/20/20	2.363	143.63	11/04/20	126.13	...	17.50	Sale <sup>25</sup>
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	0.017	1.02	10/08/20	1.17	0.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.620	463.18	10/12/20	500.00	30.77 W	-6.05	2 of 2 - Sale <sup>25</sup>
11/20/20	7.637	464.20	Various	501.17	30.92 W	-6.05	Total of 2 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	0.017	1.12	11/04/20	1.04	...	0.08	1 of 3 - Sale <sup>25</sup>
	1.367	92.07	11/04/20	79.59	...	12.48	2 of 3 - Sale <sup>25</sup>
	4.616	310.82	11/09/20	288.17	...	22.65	3 of 3 - Sale <sup>25</sup>
11/25/20	6.000	404.01	Various	368.80	...	35.21	Total of 3 transactions
12/03/20	0.380	22.63	11/09/20	23.72	...	-1.09	Sale <sup>25</sup>
12/04/20	0.004	0.23	11/09/20	0.25	...	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,684.25</b>		<b>1,658.90</b>	<b>30.92 W</b>	<b>56.27</b>	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
10/06/20	20.000	63.10	09/29/20	49.50	...	13.60	Sale <sup>25</sup>
10/21/20	0.202	0.58	09/29/20	0.50	...	0.08	Sale <sup>25</sup>
4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	0.003	0.02	11/05/20	0.01	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.135	0.69	11/05/20	0.41	...	0.28	2 of 4 - Sale <sup>25</sup>
	1.000	5.16	11/05/20	3.08	...	2.08	3 of 4 - Sale <sup>25</sup>
	9.862	50.91	11/09/20	33.24	...	17.67	4 of 4 - Sale <sup>25</sup>
11/20/20	11.000	56.78	Various	36.74	...	20.04	Total of 4 transactions
12/11/20	0.138	0.55	11/09/20	0.46	...	0.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>121.01</b>		<b>87.20</b>	...	<b>33.81</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
8 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.017	7.52	09/01/20	8.13	...	-0.61	1 of 8 - Sale <sup>25</sup>
	0.000	0.01	09/01/20	0.01	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.000	0.01	09/02/20	0.01	...	0.00	3 of 8 - Sale <sup>25</sup>
	0.000	0.09	09/02/20	0.08	...	0.01	4 of 8 - Sale <sup>25</sup>
	0.008	3.51	09/02/20	3.24	...	0.27	5 of 8 - Sale <sup>25</sup>
	1.000	445.95	09/02/20	411.68	...	34.27	6 of 8 - Sale <sup>25</sup>
	0.009	3.82	09/08/20	2.89	...	0.93	7 of 8 - Sale <sup>25</sup>
	1.000	445.85	09/08/20	337.11	...	108.74	8 of 8 - Sale <sup>25</sup>
09/21/20	2.033	906.76	Various	763.15	...	143.61	Total of 8 transactions
5 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.038	16.75	09/01/20	18.10	0.61 W	-0.74	1 of 5 - Sale <sup>25</sup>
	0.983	438.42	09/01/20	473.76	35.34 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.000	0.19	09/02/20	0.21	0.02 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.016	7.33	09/02/20	7.98	0.65 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.984	438.62	09/02/20	442.41	3.79 W	0.00	5 of 5 - Sale <sup>25</sup>
09/21/20	2.021	901.31	Various	942.46	40.41 W	-0.74	Total of 5 transactions
7 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	09/23/20	0.01	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.020	7.73	09/23/20	7.64	...	0.09	2 of 7 - Sale <sup>25</sup>
	0.024	9.35	09/23/20	9.22	...	0.13	3 of 7 - Sale <sup>25</sup>
	0.060	23.53	09/23/20	22.79	...	0.74	4 of 7 - Sale <sup>25</sup>
	0.505	198.06	09/23/20	191.84	...	6.22	5 of 7 - Sale <sup>25</sup>
	0.940	368.81	09/23/20	360.83	...	7.98	6 of 7 - Sale <sup>25</sup>
	1.495	594.69	09/23/20	568.17	...	26.52	7 of 7 - Sale <sup>25</sup>
09/24/20	3.044	1,202.18	Various	1,160.50	...	41.68	Total of 7 transactions
8 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	0.024	9.40	09/23/20	10.04	0.64 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.000	0.17	09/23/20	0.18	0.01 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.016	6.45	09/23/20	6.95	0.50 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.959	376.31	09/23/20	402.15	25.84 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.000	0.13	09/23/20	0.16	0.03 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.016	6.54	09/23/20	6.75	0.21 W	0.00	6 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.024	9.53	09/23/20	9.74	0.21 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.959	381.50	09/23/20	390.32	8.82 W	0.00	8 of 8 - Sale <sup>25</sup>
09/24/20	2.000	790.03	Various	826.29	36.26 W	0.00	Total of 8 transactions
	8 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	0.000	0.02	10/02/20	0.01	...	0.01	1 of 8 - Sale <sup>25</sup>
	0.016	7.31	10/02/20	7.14	...	0.17	2 of 8 - Sale <sup>25</sup>
	0.024	10.66	10/02/20	10.30	...	0.36	3 of 8 - Sale <sup>25</sup>
	0.959	426.83	10/02/20	413.06	...	13.77	4 of 8 - Sale <sup>25</sup>
	1.000	445.23	10/02/20	421.45	...	23.78	5 of 8 - Sale <sup>25</sup>
	1.000	445.26	10/02/20	421.46	...	23.80	6 of 8 - Sale <sup>25</sup>
	1.000	445.05	10/02/20	417.83	...	27.22	7 of 8 - Sale <sup>25</sup>
	1.000	445.23	10/02/20	418.00	...	27.23	8 of 8 - Sale <sup>25</sup>
10/12/20	4.999	2,225.59	Various	2,109.25	...	116.34	Total of 8 transactions
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	0.000	0.18	10/02/20	0.19	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.000	0.18	10/02/20	0.20	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
10/12/20	0.001	0.36	Various	0.39	0.03 W	0.00	Total of 2 transactions
	6 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	0.000	0.02	10/20/20	0.02	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.142	59.83	10/20/20	59.80	...	0.03	2 of 6 - Sale <sup>25</sup>
	1.000	421.57	10/20/20	421.34	...	0.23	3 of 6 - Sale <sup>25</sup>
	0.000	0.13	10/30/20	0.12	...	0.01	4 of 6 - Sale <sup>25</sup>
	0.505	212.87	10/30/20	199.88	...	12.99	5 of 6 - Sale <sup>25</sup>
	1.448	610.47	10/30/20	564.71	...	45.76	6 of 6 - Sale <sup>25</sup>
11/03/20	3.095	1,304.89	Various	1,245.87	...	59.02	Total of 6 transactions
	10 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	0.275	116.06	10/19/20	121.70	1.04 W	-4.60	1 of 10 - Sale <sup>25</sup>
	0.000	0.17	10/19/20	0.19	0.02 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.205	86.27	10/19/20	91.03	4.76 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.020	8.33	10/19/20	8.79	0.46 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.629	265.35	10/19/20	278.30	12.95 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.000	0.17	10/19/20	0.20	0.03 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.006	2.43	10/19/20	2.62	0.19 W	0.00	7 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.020	8.33	10/19/20	9.03	0.70 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.205	86.25	10/19/20	93.51	7.26 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.045	18.85	10/20/20	19.76	0.91 W	0.00	10 of 10 - Sale <sup>25</sup>
11/03/20	1.405	592.21	Various	625.13	28.32 W	-4.60	Total of 10 transactions
	9 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.090	40.67	10/30/20	37.15	...	3.52	1 of 9 - Sale <sup>25</sup>
	0.006	2.55	11/04/20	2.49	...	0.06	2 of 9 - Sale <sup>25</sup>
	0.020	8.72	11/04/20	8.54	...	0.18	3 of 9 - Sale <sup>25</sup>
	0.045	20.10	11/04/20	19.87	...	0.23	4 of 9 - Sale <sup>25</sup>
	0.090	39.96	11/04/20	38.37	...	1.59	5 of 9 - Sale <sup>25</sup>
	0.205	90.33	11/04/20	88.38	...	1.95	6 of 9 - Sale <sup>25</sup>
	0.539	242.23	11/04/20	239.61	...	2.62	7 of 9 - Sale <sup>25</sup>
	1.095	492.28	11/04/20	464.40	...	27.88	8 of 9 - Sale <sup>25</sup>
	1.679	741.34	11/04/20	708.41	...	32.93	9 of 9 - Sale <sup>25</sup>
11/09/20	3.769	1,678.18	Various	1,607.22	...	70.96	Total of 9 transactions
	5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.000	0.18	11/04/20	0.20	0.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.006	2.59	11/04/20	2.64	0.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.020	8.88	11/04/20	9.08	0.20 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.205	91.95	11/04/20	94.01	2.06 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.000	0.18	11/04/20	0.19	0.01 W	0.00	5 of 5 - Sale <sup>25</sup>
11/09/20	0.231	103.78	Various	106.12	2.34 W	0.00	Total of 5 transactions
	11 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.090	40.94	11/04/20	38.18	...	2.76	1 of 11 - Sale <sup>25</sup>
	0.000	0.18	11/09/20	0.18	...	0.00	2 of 11 - Sale <sup>25</sup>
	0.118	53.29	11/09/20	49.83	...	3.46	3 of 11 - Sale <sup>25</sup>
	0.000	0.01	11/10/20	0.01	...	0.00	4 of 11 - Sale <sup>25</sup>
	0.120	54.35	11/10/20	48.88	...	5.47	5 of 11 - Sale <sup>25</sup>
	0.126	56.82	11/10/20	51.11	...	5.71	6 of 11 - Sale <sup>25</sup>
	0.096	43.55	11/11/20	40.00	...	3.55	7 of 11 - Sale <sup>25</sup>
	0.024	10.86	11/12/20	10.00	...	0.86	8 of 11 - Sale <sup>25</sup>
	0.000	0.14	11/16/20	0.13	...	0.01	9 of 11 - Sale <sup>25</sup>
	0.088	39.86	11/16/20	35.93	...	3.93	10 of 11 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.400	178.59	11/16/20	163.15	...	15.44	11 of 11 - Sale <sup>25</sup>
11/17/20	1.063	478.59	Various	437.40	...	41.19	Total of 11 transactions
	8 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	0.012	7.18	11/16/20	4.73	...	2.45	1 of 8 - Sale <sup>25</sup>
	0.029	18.13	11/30/20	17.21	...	0.92	2 of 8 - Sale <sup>25</sup>
	0.018	10.95	11/30/20	10.00	...	0.95	3 of 8 - Sale <sup>25</sup>
	0.036	22.47	11/30/20	20.80	...	1.67	4 of 8 - Sale <sup>25</sup>
	0.166	103.03	11/30/20	100.00	...	3.03	5 of 8 - Sale <sup>25</sup>
	0.141	87.21	11/30/20	82.79	...	4.42	6 of 8 - Sale <sup>25</sup>
	0.138	85.55	11/30/20	79.20	...	6.35	7 of 8 - Sale <sup>25</sup>
	0.460	285.25	12/01/20	269.56	...	15.69	8 of 8 - Sale <sup>25</sup>
12/10/20	1.000	619.77	Various	584.29	...	35.48	Total of 8 transactions
	6 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	0.052	32.99	12/01/20	30.44	...	2.55	1 of 6 - Sale <sup>25</sup>
	0.009	5.70	12/02/20	5.00	...	0.70	2 of 6 - Sale <sup>25</sup>
	0.062	39.65	12/02/20	34.09	...	5.56	3 of 6 - Sale <sup>25</sup>
	0.487	309.44	12/02/20	265.91	...	43.53	4 of 6 - Sale <sup>25</sup>
	0.486	308.55	12/10/20	278.99	...	29.56	5 of 6 - Sale <sup>25</sup>
	0.504	320.00	12/10/20	289.48	...	30.52	6 of 6 - Sale <sup>25</sup>
12/14/20	1.600	1,016.33	Various	903.91	...	112.42	Total of 6 transactions
	2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	0.011	6.86	12/10/20	6.09	...	0.77	1 of 2 - Sale <sup>25</sup>
	0.949	614.53	12/16/20	582.31	...	32.22	2 of 2 - Sale <sup>25</sup>
12/17/20	0.960	621.39	Various	588.40	...	32.99	Total of 2 transactions
	4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.004	2.84	12/16/20	2.69	...	0.15	1 of 4 - Sale <sup>25</sup>
	0.028	18.03	12/17/20	18.00	...	0.03	2 of 4 - Sale <sup>25</sup>
	0.015	9.76	12/18/20	9.76	...	0.00	3 of 4 - Sale <sup>25</sup>
	0.032	21.23	12/18/20	21.22	...	0.01	4 of 4 - Sale <sup>25</sup>
12/24/20	0.079	51.86	Various	51.67	...	0.19	Total of 4 transactions
	6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.738	479.43	12/18/20	494.88	15.45 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.157	103.34	12/18/20	105.12	1.78 W	0.00	2 of 6 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.039	25.81	12/18/20	25.82	0.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.038	25.12	12/21/20	25.80	0.68 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.015	10.07	12/21/20	10.32	0.25 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.104	68.46	12/21/20	69.95	1.49 W	0.00	6 of 6 - Sale <sup>25</sup>
12/24/20	1.091	712.23	Various	731.89	19.66 W	0.00	Total of 6 transactions
8 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.154	103.24	12/21/20	103.19	...	0.05	1 of 8 - Sale <sup>25</sup>
	0.015	10.23	12/21/20	10.16	...	0.07	2 of 8 - Sale <sup>25</sup>
	0.038	25.50	12/21/20	25.37	...	0.13	3 of 8 - Sale <sup>25</sup>
	0.097	64.71	12/21/20	64.04	...	0.67	4 of 8 - Sale <sup>25</sup>
	0.039	26.21	12/21/20	25.38	...	0.83	5 of 8 - Sale <sup>25</sup>
	0.157	104.95	12/21/20	103.39	...	1.56	6 of 8 - Sale <sup>25</sup>
	0.007	4.80	12/22/20	4.72	...	0.08	7 of 8 - Sale <sup>25</sup>
	0.153	102.13	12/22/20	98.36	...	3.77	8 of 8 - Sale <sup>25</sup>
12/28/20	0.660	441.77	Various	434.61	...	7.16	Total of 8 transactions
12/28/20	0.340	227.91	12/21/20	229.35	1.44 W	0.00	Sale <sup>25</sup>
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.000	0.29	12/22/20	0.28	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.073	48.01	12/22/20	47.33	...	0.68	2 of 4 - Sale <sup>25</sup>
	0.079	51.70	12/22/20	50.33	...	1.37	3 of 4 - Sale <sup>25</sup>
	0.151	100.00	12/22/20	96.85	...	3.15	4 of 4 - Sale <sup>25</sup>
12/29/20	0.303	200.00	Various	194.79	...	5.21	Total of 4 transactions
10 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.000	0.06	12/22/20	0.06	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.038	25.79	12/22/20	24.31	...	1.48	2 of 10 - Sale <sup>25</sup>
	0.046	31.35	12/22/20	29.36	...	1.99	3 of 10 - Sale <sup>25</sup>
	0.063	42.67	12/22/20	39.94	...	2.73	4 of 10 - Sale <sup>25</sup>
	0.149	101.62	12/22/20	94.46	...	7.16	5 of 10 - Sale <sup>25</sup>
	0.166	115.20	12/22/20	105.54	...	9.66	6 of 10 - Sale <sup>25</sup>
	0.202	139.86	12/22/20	125.00	...	14.86	7 of 10 - Sale <sup>25</sup>
	0.395	269.03	12/22/20	250.00	...	19.03	8 of 10 - Sale <sup>25</sup>
	0.311	215.49	12/22/20	194.98	...	20.51	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.320	221.44	12/22/20	200.29	...	21.15	10 of 10 - Sale <sup>25</sup>
12/30/20	1.690	1,162.51	Various	1,063.94	...	98.57	Total of 10 transactions
	<b>Security total:</b>	<b>15,237.65</b>		<b>14,606.63</b>	<b>128.46 W</b>	<b>759.48</b>	
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
10/15/20	33.000	53.46	09/23/20	48.83	...	4.63	Sale <sup>25</sup>
10/19/20	0.788	1.28	09/23/20	1.17	...	0.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>54.74</b>		<b>50.00</b>	...	<b>4.74</b>	
TILRAY, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.238	2.16	11/27/20	2.00	...	0.16	1 of 2 - Sale <sup>25</sup>
	4.762	43.27	11/30/20	43.18	...	0.09	2 of 2 - Sale <sup>25</sup>
12/03/20	5.000	45.43	Various	45.18	...	0.25	Total of 2 transactions
12/03/20	0.752	6.81	11/30/20	6.82	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>52.24</b>		<b>52.00</b>	<b>0.01 W</b>	<b>0.25</b>	
TITAN PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 888314507 / Symbol:							
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	65.000	14.29	10/15/20	9.40	...	4.89	1 of 3 - Sale <sup>25</sup>
	1.000	0.22	10/19/20	0.14	...	0.08	2 of 3 - Sale <sup>25</sup>
	74.000	16.27	10/20/20	8.68	...	7.59	3 of 3 - Sale <sup>25</sup>
10/28/20	140.000	30.78	Various	18.22	...	12.56	Total of 3 transactions
5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	7.000	0.86	10/20/20	0.82	...	0.04	1 of 5 - Sale <sup>25</sup>
	1.000	0.12	10/21/20	0.12	...	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	12.23	11/05/20	10.15	...	2.08	3 of 5 - Sale <sup>25</sup>
	48.000	5.97	11/09/20	6.76	...	-0.79	4 of 5 - Sale <sup>25</sup>
	252.000	30.81	11/09/20	26.28	...	4.53	5 of 5 - Sale <sup>25</sup>
11/10/20	408.000	49.99	Various	44.13	...	5.86	Total of 5 transactions
11/10/20	140.000	17.12	10/28/20	22.22	1.75 W	-3.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>97.89</b>		<b>84.57</b>	<b>1.75 W</b>	<b>15.07</b>	

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	4.000	13.00	12/23/20	13.07	...	-0.07	1 of 2 - Sale <sup>25</sup>
	1.000	3.21	12/23/20	3.27	...	-0.06	2 of 2 - Sale <sup>25</sup>
12/31/20	5.000	16.21	Various	16.34	...	-0.13	Total of 2 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
12 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	20.000	20.70	09/17/20	19.77	...	0.93	1 of 12 - Sale <sup>25</sup>
	4.000	4.14	10/13/20	3.06	...	1.08	2 of 12 - Sale <sup>25</sup>
	25.000	25.87	10/14/20	18.63	...	7.24	3 of 12 - Sale <sup>25</sup>
	25.000	25.87	10/14/20	18.63	...	7.24	4 of 12 - Sale <sup>25</sup>
	50.000	51.74	10/20/20	34.99	...	16.75	5 of 12 - Sale <sup>25</sup>
	21.000	21.73	10/22/20	13.64	...	8.09	6 of 12 - Sale <sup>25</sup>
	5.000	5.17	10/30/20	2.84	...	2.33	7 of 12 - Sale <sup>25</sup>
	100.000	103.49	11/04/20	57.95	...	45.54	8 of 12 - Sale <sup>25</sup>
	19.000	19.67	11/09/20	10.11	...	9.56	9 of 12 - Sale <sup>25</sup>
	31.000	32.08	11/27/20	17.59	...	14.49	10 of 12 - Sale <sup>25</sup>
	130.000	102.68	11/27/20	73.76	...	28.92	11 of 12 - Sale <sup>25</sup>
	100.000	103.49	11/27/20	56.74	...	46.75	12 of 12 - Sale <sup>25</sup>
12/07/20	530.000	516.63	Various	327.71	...	188.92	Total of 12 transactions
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	4.000	3.15	11/27/20	2.27	...	0.88	1 of 3 - Sale <sup>25</sup>
	28.000	22.05	12/07/20	20.54	...	1.51	2 of 3 - Sale <sup>25</sup>
	95.000	74.82	12/07/20	66.73	...	8.09	3 of 3 - Sale <sup>25</sup>
12/24/20	127.000	100.02	Various	89.54	...	10.48	Total of 3 transactions
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	37.000	24.86	12/08/20	24.27	...	0.59	1 of 3 - Sale <sup>25</sup>
	2.000	1.34	12/09/20	1.27	...	0.07	2 of 3 - Sale <sup>25</sup>
	25.000	16.80	12/10/20	15.69	...	1.11	3 of 3 - Sale <sup>25</sup>
12/30/20	64.000	43.00	Various	41.23	...	1.77	Total of 3 transactions
4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	36.000	24.18	12/07/20	26.41	2.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	64.000	42.99	12/08/20	43.13	0.14 W	0.00	2 of 4 - Sale <sup>25</sup>

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
	1.000	0.67	12/08/20	0.73		0.00	3 of 4 - Sale <sup>25</sup>
	35.000	23.52	12/08/20	25.05	0.06 W	0.00	4 of 4 - Sale <sup>25</sup>
12/30/20	136.000	91.36	Various	95.32	3.96 W	0.00	Total of 4 transactions
	4 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	20.000	13.12	12/11/20	13.05	...	0.07	1 of 4 - Sale <sup>25</sup>
	11.000	7.22	12/14/20	6.98	...	0.24	2 of 4 - Sale <sup>25</sup>
	39.000	25.60	12/14/20	23.03	...	2.57	3 of 4 - Sale <sup>25</sup>
	15.000	9.84	12/22/20	8.43	...	1.41	4 of 4 - Sale <sup>25</sup>
12/31/20	85.000	55.78	Various	51.49	...	4.29	Total of 4 transactions
	2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	0.66	12/10/20	0.68	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	2.63	12/10/20	2.67	0.04 W	0.00	2 of 2 - Sale <sup>25</sup>
12/31/20	5.000	3.29	Various	3.35	0.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>810.08</b>		<b>608.64</b>	<b>4.02 W</b>	<b>205.46</b>	
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
12/31/20	2.000	29.13	12/08/20	25.63	...	3.50	Sale <sup>25</sup>
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
	7 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	0.500	11.15	09/30/20	10.25	...	0.90	1 of 7 - Sale <sup>25</sup>
	0.500	11.15	09/30/20	10.25	...	0.90	2 of 7 - Sale <sup>25</sup>
	0.026	0.58	10/19/20	0.58	...	0.00	3 of 7 - Sale <sup>25</sup>
	0.450	10.04	10/19/20	10.00	...	0.04	4 of 7 - Sale <sup>25</sup>
	1.999	44.56	10/19/20	44.42	...	0.14	5 of 7 - Sale <sup>25</sup>
	0.250	5.57	10/19/20	5.27	...	0.30	6 of 7 - Sale <sup>25</sup>
	1.775	39.55	10/19/20	37.41	...	2.14	7 of 7 - Sale <sup>25</sup>
10/26/20	5.500	122.60	Various	118.18	...	4.42	Total of 7 transactions
10/29/20	0.225	7.34	10/19/20	4.74	...	2.60	Sale <sup>25</sup>
	6 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.001	0.01	10/19/20	0.01	...	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	57.23	11/09/20	57.58	...	-0.35	2 of 6 - Sale <sup>25</sup>
	0.023	0.66	11/09/20	0.66	...	0.00	3 of 6 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol: (cont'd)							
	0.550	15.75	11/09/20	15.21	...	0.54	4 of 6 - Sale <sup>25</sup>
	2.427	69.43	11/09/20	68.87	...	0.56	5 of 6 - Sale <sup>25</sup>
	0.921	26.53	11/09/20	25.72	...	0.81	6 of 6 - Sale <sup>25</sup>
11/10/20	5.922	169.61	Various	168.05	...	1.56	Total of 6 transactions
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.023	0.66	11/04/20	0.68	0.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	57.60	11/04/20	59.32	1.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	115.20	11/09/20	117.00	1.54 W	-0.26	3 of 3 - Sale <sup>25</sup>
11/10/20	6.023	173.46	Various	177.00	3.28 W	-0.26	Total of 3 transactions
11/17/20	0.449	15.03	11/09/20	12.41	...	2.62	Sale <sup>25</sup>
	2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.001	0.02	11/09/20	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.999	32.88	12/11/20	32.79	...	0.09	2 of 2 - Sale <sup>25</sup>
12/22/20	1.000	32.90	Various	32.81	...	0.09	Total of 2 transactions
	2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.						
	0.200	6.97	12/11/20	6.56	...	0.41	1 of 2 - Sale <sup>25</sup>
	1.000	34.84	12/11/20	32.81	...	2.03	2 of 2 - Sale <sup>25</sup>
12/28/20	1.200	41.81	Various	39.37	...	2.44	Total of 2 transactions
12/29/20	1.000	32.22	12/11/20	32.81	0.59 W	0.00	Sale <sup>25</sup>
	5 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	0.077	2.50	12/15/20	2.38	...	0.12	1 of 5 - Sale <sup>25</sup>
	0.089	2.89	12/15/20	2.75	...	0.14	2 of 5 - Sale <sup>25</sup>
	0.199	6.46	12/15/20	6.16	...	0.30	3 of 5 - Sale <sup>25</sup>
	0.405	13.17	12/15/20	12.64	...	0.53	4 of 5 - Sale <sup>25</sup>
	0.595	19.33	12/15/20	18.38	...	0.95	5 of 5 - Sale <sup>25</sup>
12/31/20	1.366	44.35	Various	42.31	...	2.04	Total of 5 transactions
	3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	0.089	2.89	12/11/20	2.92	0.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	32.52	12/11/20	32.81	0.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.712	23.07	12/11/20	23.34	0.27 W	0.00	3 of 3 - Sale <sup>25</sup>
12/31/20	1.801	58.48	Various	59.07	0.59 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>697.80</b>		<b>686.75</b>	<b>4.46 W</b>	<b>15.51</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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22ND CENTURY GROUP INC. / CUSIP: 90137F103 / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	15.000	12.79	10/28/20	10.88	...	1.91	1 of 2 - Sale <sup>25</sup>
	17.000	14.50	11/04/20	12.84	...	1.66	2 of 2 - Sale <sup>25</sup>
11/10/20	32.000	27.29	Various	23.72	...	3.57	Total of 2 transactions
11/10/20	11.000	9.38	10/15/20	10.28	0.90 W	0.00	Sale <sup>25</sup>
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	1.77	11/04/20	0.84	...	0.93	1 of 2 - Sale <sup>25</sup>
	9.000	15.88	11/23/20	14.06	...	1.82	2 of 2 - Sale <sup>25</sup>
12/09/20	10.000	17.65	Various	14.90	...	2.75	Total of 2 transactions
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	2.34	11/23/20	1.56	...	0.78	1 of 3 - Sale <sup>25</sup>
	1.000	2.34	12/21/20	2.33	...	0.01	2 of 3 - Sale <sup>25</sup>
	4.000	9.39	12/21/20	9.30	...	0.09	3 of 3 - Sale <sup>25</sup>
12/23/20	6.000	14.07	Various	13.19	...	0.88	Total of 3 transactions
	<b>Security total:</b>	<b>68.39</b>		<b>62.09</b>	<b>0.90 W</b>	<b>7.20</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.380	16.44	10/30/20	15.81	...	0.63	1 of 3 - Sale <sup>25</sup>
	0.620	26.82	10/30/20	25.80	...	1.02	2 of 3 - Sale <sup>25</sup>
	0.380	16.43	11/02/20	14.97	...	1.46	3 of 3 - Sale <sup>25</sup>
11/12/20	1.380	59.69	Various	56.58	...	3.11	Total of 3 transactions
11/25/20	0.001	0.03	11/02/20	0.03	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>59.72</b>		<b>56.61</b>	...	<b>3.11</b>	
UNIFI, INC. NEW / CUSIP: 904677200 / Symbol:							
10/28/20	0.191	3.01	10/27/20	3.00	...	0.01	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
03/25/20	5.000	180.25	03/18/20	131.34	...	48.91	Sale <sup>25</sup>
03/25/20	5.000	180.25	03/13/20	185.55	5.30 W	0.00	Sale <sup>25</sup>
2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	5.000	163.90	03/30/20	150.95	...	12.95	1 of 2 - Sale <sup>25</sup>

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(continued)

02/14/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
03/31/20	5.000	163.90	03/30/20	145.65	...	18.25	2 of 2 - Sale <sup>25</sup>
	10.000	327.80	Various	296.60	...	31.20	Total of 2 transactions
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	10.000	299.77	04/01/20	295.10	...	4.67	1 of 3 - Sale <sup>25</sup>
	5.000	149.88	04/01/20	140.54	...	9.34	2 of 3 - Sale <sup>25</sup>
	5.000	149.89	04/01/20	128.84	...	21.05	3 of 3 - Sale <sup>25</sup>
05/27/20	20.000	599.54	Various	564.48	...	35.06	Total of 3 transactions
	3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	5.000	164.57	04/03/20	110.55	...	54.02	1 of 3 - Sale <sup>25</sup>
	2.000	65.83	05/05/20	49.25	...	16.58	2 of 3 - Sale <sup>25</sup>
	20.000	658.28	05/28/20	591.40	...	66.88	3 of 3 - Sale <sup>25</sup>
06/03/20	27.000	888.68	Various	751.20	...	137.48	Total of 3 transactions
	2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	3.000	111.12	06/11/20	103.89	...	7.23	1 of 2 - Sale <sup>25</sup>
	13.479	499.27	10/12/20	501.55	...	-2.28	2 of 2 - Sale <sup>25</sup>
10/22/20	16.479	610.39	Various	605.44	...	4.95	Total of 2 transactions
	2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	9.521	352.67	06/04/20	360.47	1.84 W	-5.96	1 of 2 - Sale <sup>25</sup>
	13.479	500.00	06/04/20	510.31	10.31 W	0.00	2 of 2 - Sale <sup>25</sup>
10/22/20	23.000	852.67	Various	870.78	12.15 W	-5.96	Total of 2 transactions
	4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	0.158	5.31	10/12/20	5.89	...	-0.58	1 of 4 - Sale <sup>25</sup>
	0.082	2.77	10/12/20	3.07	...	-0.30	2 of 4 - Sale <sup>25</sup>
	1.842	61.91	10/27/20	65.32	...	-3.41	3 of 4 - Sale <sup>25</sup>
	0.000	0.01	10/27/20	0.01	...	0.00	4 of 4 - Sale <sup>25</sup>
10/30/20	2.083	70.00	Various	74.29	...	-4.29	Total of 4 transactions
11/24/20	0.157	6.91	10/27/20	5.57	...	1.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,716.49</b>		<b>3,485.25</b>	<b>17.45 W</b>	<b>248.69</b>	
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol:							
10/29/20	0.990	158.65	10/28/20	154.58	...	4.07	Sale <sup>25</sup>
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.010	1.64	10/28/20	1.56	...	0.08	1 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED PARCEL SERVICE, INC. CL ASS B / CUSIP: 911312106 / Symbol: (cont'd)							
	0.020	3.27	11/09/20	3.22	...	0.05	2 of 3 - Sale <sup>25</sup>
	0.976	159.45	11/09/20	156.76	...	2.69	3 of 3 - Sale <sup>25</sup>
11/10/20	1.006	164.36	Various	161.54	...	2.82	Total of 3 transactions
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.020	3.27	11/04/20	3.28	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.004	0.71	11/04/20	0.72	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
11/10/20	0.024	3.98	Various	4.00	0.02 W	0.00	Total of 2 transactions
11/25/20	0.004	0.74	11/09/20	0.71	...	0.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>327.73</b>		<b>320.83</b>	<b>0.02 W</b>	<b>6.92</b>	
UNITY SOFTWARE INC. / CUSIP: 91332U101 / Symbol:							
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.030	4.72	12/08/20	5.00	...	-0.28	1 of 2 - Sale <sup>25</sup>
	0.032	4.96	12/10/20	5.00	...	-0.04	2 of 2 - Sale <sup>25</sup>
12/11/20	0.062	9.68	Various	10.00	...	-0.32	Total of 2 transactions
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
	5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	11.583	61.85	10/26/20	61.39	...	0.46	1 of 5 - Sale <sup>25</sup>
	5.463	28.64	11/09/20	26.85	...	1.79	2 of 5 - Sale <sup>25</sup>
	14.812	77.64	11/09/20	59.66	...	17.98	3 of 5 - Sale <sup>25</sup>
	2.625	13.76	11/10/20	10.03	...	3.73	4 of 5 - Sale <sup>25</sup>
	4.146	21.74	11/10/20	15.00	...	6.74	5 of 5 - Sale <sup>25</sup>
11/12/20	38.629	203.63	Various	172.93	...	30.70	Total of 5 transactions
	14 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	5.20	10/13/20	7.72	2.52 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	10.40	10/13/20	15.44	5.04 W	0.00	2 of 14 - Sale <sup>25</sup>
	9.954	53.15	10/13/20	76.84	23.69 W	0.00	3 of 14 - Sale <sup>25</sup>
	2.000	10.68	10/16/20	17.78	7.10 W	0.00	4 of 14 - Sale <sup>25</sup>
	0.041	0.22	10/16/20	0.36	0.14 W	0.00	5 of 14 - Sale <sup>25</sup>
	0.436	2.33	10/16/20	3.81	1.48 W	0.00	6 of 14 - Sale <sup>25</sup>
	0.523	2.79	10/16/20	4.58	1.79 W	0.00	7 of 14 - Sale <sup>25</sup>
	5.463	29.18	10/16/20	34.03	4.85 W	0.00	8 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	8.417	44.12	10/26/20	64.64	20.52 W	0.00	9 of 14 - Sale <sup>25</sup>
	0.041	0.21	11/09/20	0.30	0.09 W	0.00	10 of 14 - Sale <sup>25</sup>
	0.436	2.29	11/09/20	3.24	0.95 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.523	2.74	11/09/20	3.90	1.16 W	0.00	12 of 14 - Sale <sup>25</sup>
	2.000	10.48	11/09/20	15.15	4.67 W	0.00	13 of 14 - Sale <sup>25</sup>
	1.537	8.05	11/09/20	9.85	1.80 W	0.00	14 of 14 - Sale <sup>25</sup>
11/12/20	34.371	181.84	Various	257.64	75.80 W	0.00	Total of 14 transactions
11/16/20	1.000	5.27	11/10/20	6.26	0.99 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	3.403	19.43	11/11/20	24.25	...	-4.82	1 of 2 - Sale <sup>25</sup>
	0.222	1.27	11/11/20	1.56	...	-0.29	2 of 2 - Sale <sup>25</sup>
11/18/20	3.625	20.70	Various	25.81	...	-5.11	Total of 2 transactions
11/18/20	3.375	19.28	11/10/20	21.12	1.07 W	-0.77	Sale <sup>25</sup>
12/04/20	0.516	4.00	11/11/20	3.68	...	0.32	Sale <sup>25</sup>
	10 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.123	0.93	11/11/20	0.88	...	0.05	1 of 10 - Sale <sup>25</sup>
	1.270	9.57	12/08/20	12.25	...	-2.68	2 of 10 - Sale <sup>25</sup>
	1.537	11.59	12/08/20	13.04	...	-1.45	3 of 10 - Sale <sup>25</sup>
	0.504	3.80	12/08/20	4.87	...	-1.07	4 of 10 - Sale <sup>25</sup>
	0.523	3.94	12/08/20	4.99	...	-1.05	5 of 10 - Sale <sup>25</sup>
	0.436	3.29	12/08/20	4.14	...	-0.85	6 of 10 - Sale <sup>25</sup>
	0.686	5.17	12/08/20	5.70	...	-0.53	7 of 10 - Sale <sup>25</sup>
	0.041	0.31	12/08/20	0.39	...	-0.08	8 of 10 - Sale <sup>25</sup>
	0.004	0.03	12/08/20	0.04	...	-0.01	9 of 10 - Sale <sup>25</sup>
	1.508	11.37	12/09/20	11.64	...	-0.27	10 of 10 - Sale <sup>25</sup>
12/21/20	6.631	50.00	Various	57.94	...	-7.94	Total of 10 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.314	2.35	12/09/20	2.56	...	-0.21	1 of 3 - Sale <sup>25</sup>
	0.178	1.32	12/09/20	1.37	...	-0.05	2 of 3 - Sale <sup>25</sup>
	0.283	2.11	12/10/20	2.15	...	-0.04	3 of 3 - Sale <sup>25</sup>
12/22/20	0.775	5.78	Various	6.08	...	-0.30	Total of 3 transactions
	<b>Security total:</b>	<b>490.50</b>		<b>551.46</b>	<b>77.86 W</b>	<b>16.90</b>	

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VELOCITYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
4 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	0.305	4.89	09/30/20	5.75	0.86 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	80.10	09/30/20	94.20	14.10 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.003	0.04	09/30/20	0.05	0.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.692	75.17	10/09/20	80.24	5.07 W	0.00	4 of 4 - Sale <sup>25</sup>
11/17/20	10.000	160.20	Various	180.24	20.04 W	0.00	Total of 4 transactions
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.001	0.01	10/09/20	0.01	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.305	5.10	10/26/20	5.09	...	0.01	2 of 4 - Sale <sup>25</sup>
	3.301	55.14	10/26/20	55.08	...	0.06	3 of 4 - Sale <sup>25</sup>
	1.225	20.46	11/09/20	19.92	...	0.54	4 of 4 - Sale <sup>25</sup>
12/04/20	4.832	80.71	Various	80.10	...	0.61	Total of 4 transactions
12/04/20	1.155	19.29	10/09/20	19.75	0.46 W	0.00	Sale <sup>25</sup>
6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.003	0.06	11/09/20	0.05	...	0.01	1 of 6 - Sale <sup>25</sup>
	0.474	10.37	11/09/20	7.70	...	2.67	2 of 6 - Sale <sup>25</sup>
	1.106	24.20	11/09/20	15.31	...	8.89	3 of 6 - Sale <sup>25</sup>
	4.692	102.67	11/09/20	68.12	...	34.55	4 of 6 - Sale <sup>25</sup>
	0.049	1.07	12/02/20	0.74	...	0.33	5 of 6 - Sale <sup>25</sup>
	6.676	146.07	12/02/20	98.13	...	47.94	6 of 6 - Sale <sup>25</sup>
12/09/20	13.000	284.44	Various	190.05	...	94.39	Total of 6 transactions
10 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	0.078	1.73	12/02/20	1.15	...	0.58	1 of 10 - Sale <sup>25</sup>
	0.478	10.60	12/09/20	10.00	...	0.60	2 of 10 - Sale <sup>25</sup>
	0.560	12.42	12/09/20	11.00	...	1.42	3 of 10 - Sale <sup>25</sup>
	2.000	44.40	12/09/20	39.33	...	5.07	4 of 10 - Sale <sup>25</sup>
	2.998	66.56	12/09/20	59.00	...	7.56	5 of 10 - Sale <sup>25</sup>
	13.000	288.59	12/09/20	279.50	...	9.09	6 of 10 - Sale <sup>25</sup>
	7.628	169.33	12/09/20	150.00	...	19.33	7 of 10 - Sale <sup>25</sup>
	3.000	66.60	12/10/20	56.88	...	9.72	8 of 10 - Sale <sup>25</sup>
	5.000	111.00	12/11/20	96.20	...	14.80	9 of 10 - Sale <sup>25</sup>
	5.259	116.75	12/11/20	98.65	...	18.10	10 of 10 - Sale <sup>25</sup>
12/15/20	40.000	887.98	Various	801.71	...	86.27	Total of 10 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol: (cont'd)							
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	4.741	100.75	12/11/20	88.95	...	11.80	1 of 3 - Sale <sup>25</sup>
	19.000	400.24	12/15/20	380.19	...	20.05	2 of 3 - Sale <sup>25</sup>
	20.259	430.48	12/15/20	405.38	...	25.10	3 of 3 - Sale <sup>25</sup>
12/21/20	44.000	931.47	Various	874.52	...	56.95	Total of 3 transactions
	<b>Security total:</b>	<b>2,364.09</b>		<b>2,146.37</b>	<b>20.50 W</b>	<b>238.22</b>	
VERTEX PHARMACEUTICALS INCORPO RATED COMMON STOCK / CUSIP: 92532F100 / Symbol:							
4 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	0.033	7.25	10/15/20	7.00	...	0.25	1 of 4 - Sale <sup>25</sup>
	0.111	24.69	10/16/20	24.79	...	-0.10	2 of 4 - Sale <sup>25</sup>
	0.000	0.02	10/16/20	0.02	...	0.00	3 of 4 - Sale <sup>25</sup>
	0.082	18.35	10/16/20	17.98	...	0.37	4 of 4 - Sale <sup>25</sup>
11/04/20	0.226	50.31	Various	49.79	...	0.52	Total of 4 transactions
11/30/20	0.001	0.22	10/16/20	0.21	...	0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>50.53</b>		<b>50.00</b>	...	<b>0.53</b>	
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.087	1.52	11/20/20	1.60	...	-0.08	1 of 3 - Sale <sup>25</sup>
	0.001	0.01	11/20/20	0.01	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.891	15.53	11/20/20	15.48	...	0.05	3 of 3 - Sale <sup>25</sup>
12/03/20	0.979	17.06	Various	17.09	...	-0.03	Total of 3 transactions
12/03/20	0.108	1.89	11/20/20	1.99	0.08 W	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18.95</b>		<b>19.08</b>	<b>0.08 W</b>	<b>-0.05</b>	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.002	0.05	11/11/20	0.04	...	0.01	1 of 4 - Sale <sup>25</sup>
	0.076	2.38	11/11/20	1.96	...	0.42	2 of 4 - Sale <sup>25</sup>
	0.023	0.73	11/12/20	0.63	...	0.10	3 of 4 - Sale <sup>25</sup>
	0.923	28.93	11/12/20	25.37	...	3.56	4 of 4 - Sale <sup>25</sup>
12/03/20	1.023	32.09	Various	28.00	...	4.09	Total of 4 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
12/22/20	1.000	25.15	12/17/20	23.61	...	1.54	Sale <sup>25</sup>
VOYAGER THERAPEUTICS, INC. COM MON STOCK / CUSIP: 92915B106 / Symbol:							
<i>5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	0.115	0.99	11/10/20	1.00	...	-0.01	1 of 5 - Sale <sup>25</sup>
	1.996	17.15	11/12/20	17.38	...	-0.23	2 of 5 - Sale <sup>25</sup>
	0.877	7.53	11/12/20	7.62	...	-0.09	3 of 5 - Sale <sup>25</sup>
	1.912	16.42	12/10/20	15.00	...	1.42	4 of 5 - Sale <sup>25</sup>
	5.000	42.95	12/10/20	39.15	...	3.80	5 of 5 - Sale <sup>25</sup>
12/15/20	9.900	85.04	Various	80.15	...	4.89	Total of 5 transactions
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
<i>2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.029	1.00	11/16/20	1.00	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.271	9.34	11/17/20	9.06	...	0.28	2 of 2 - Sale <sup>25</sup>
12/02/20	0.300	10.34	Various	10.06	...	0.28	Total of 2 transactions
12/04/20	0.028	0.93	11/17/20	0.94	...	-0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11.27</b>		<b>11.00</b>	...	<b>0.27</b>	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
<i>8 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.002	0.59	09/01/20	0.57	...	0.02	1 of 8 - Sale <sup>25</sup>
	0.999	314.54	09/01/20	304.43	...	10.11	2 of 8 - Sale <sup>25</sup>
	0.001	0.20	09/03/20	0.18	...	0.02	3 of 8 - Sale <sup>25</sup>
	0.001	0.43	09/03/20	0.38	...	0.05	4 of 8 - Sale <sup>25</sup>
	1.000	314.72	09/03/20	279.44	...	35.28	5 of 8 - Sale <sup>25</sup>
	0.003	1.06	09/14/20	0.89	...	0.17	6 of 8 - Sale <sup>25</sup>
	1.000	314.59	09/14/20	264.11	...	50.48	7 of 8 - Sale <sup>25</sup>
	1.792	563.87	09/23/20	513.60	...	50.27	8 of 8 - Sale <sup>25</sup>
10/01/20	4.798	1,510.00	Various	1,363.60	...	146.40	Total of 8 transactions
<i>2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	0.003	0.92	08/24/20	0.98	0.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.999	314.44	08/24/20	334.02	19.58 W	0.00	2 of 2 - Sale <sup>25</sup>
10/01/20	1.002	315.36	Various	335.00	19.64 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
<i>6 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	0.003	0.90	09/23/20	0.90	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.124	38.26	09/23/20	37.99	...	0.27	2 of 6 - Sale <sup>25</sup>
	1.125	346.94	10/02/20	340.97	...	5.97	3 of 6 - Sale <sup>25</sup>
	0.371	114.47	10/09/20	110.09	...	4.38	4 of 6 - Sale <sup>25</sup>
	3.000	925.23	10/09/20	889.91	...	35.32	5 of 6 - Sale <sup>25</sup>
	3.391	1,045.82	10/12/20	999.89	...	45.93	6 of 6 - Sale <sup>25</sup>
10/13/20	8.014	2,471.62	Various	2,379.75	...	91.87	Total of 6 transactions
10/13/20	0.875	269.89	10/02/20	282.39	12.50 W	0.00	Sale <sup>25</sup>
<i>6 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.12	10/12/20	0.12	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.055	16.91	10/14/20	16.85	...	0.06	2 of 6 - Sale <sup>25</sup>
	0.390	120.04	10/14/20	119.56	...	0.48	3 of 6 - Sale <sup>25</sup>
	0.270	82.90	10/14/20	79.37	...	3.53	4 of 6 - Sale <sup>25</sup>
	0.785	241.51	10/14/20	229.14	...	12.37	5 of 6 - Sale <sup>25</sup>
	1.340	411.95	10/14/20	391.22	...	20.73	6 of 6 - Sale <sup>25</sup>
10/19/20	2.841	873.43	Various	836.26	...	37.17	Total of 6 transactions
<i>2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.</i>							
	0.270	82.97	10/14/20	83.61	0.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.160	49.10	10/14/20	49.52	0.42 W	0.00	2 of 2 - Sale <sup>25</sup>
10/19/20	0.429	132.07	Various	133.13	1.06 W	0.00	Total of 2 transactions
<i>3 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.14	10/23/20	0.14	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.132	35.10	10/23/20	35.00	...	0.10	2 of 3 - Sale <sup>25</sup>
	0.840	224.12	10/23/20	222.79	...	1.33	3 of 3 - Sale <sup>25</sup>
10/26/20	0.972	259.36	Various	257.93	...	1.43	Total of 3 transactions
<i>4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	0.003	0.79	10/14/20	0.87	0.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.132	35.11	10/23/20	35.22	0.11 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.003	0.79	10/23/20	0.86	0.07 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.025	6.56	10/23/20	6.59	0.03 W	0.00	4 of 4 - Sale <sup>25</sup>
10/26/20	0.162	43.25	Various	43.54	0.29 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	0.025	6.84	10/26/20	6.73	...	0.11	1 of 2 - Sale <sup>25</sup>
	0.062	17.37	10/26/20	17.00	...	0.37	2 of 2 - Sale <sup>25</sup>
10/28/20	0.087	24.21	Various	23.73	...	0.48	Total of 2 transactions
10/28/20	0.003	0.82	10/26/20	0.87	0.05 W	0.00	Sale <sup>25</sup>
4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.351	90.57	10/29/20	89.25	...	1.32	1 of 4 - Sale <sup>25</sup>
	0.033	8.47	10/30/20	8.00	...	0.47	2 of 4 - Sale <sup>25</sup>
	0.635	163.91	10/30/20	160.00	...	3.91	3 of 4 - Sale <sup>25</sup>
	1.977	510.50	11/09/20	498.78	...	11.72	4 of 4 - Sale <sup>25</sup>
11/12/20	2.995	773.45	Various	756.03	...	17.42	Total of 4 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.002	0.48	10/26/20	0.50	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.003	0.76	10/29/20	0.80	0.04 W	0.00	2 of 2 - Sale <sup>25</sup>
11/12/20	0.005	1.24	Various	1.30	0.06 W	0.00	Total of 2 transactions
11/20/20	0.018	4.64	11/09/20	4.61	...	0.03	Sale <sup>25</sup>
5 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	0.003	0.75	11/09/20	0.78	0.03 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.002	0.47	11/09/20	0.49	0.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	507.83	11/09/20	509.28	1.45 W	0.00	3 of 5 - Sale <sup>25</sup>
	4.000	1,015.66	11/09/20	1,043.27	27.61 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.977	1,009.79	11/09/20	1,028.91	19.12 W	0.00	5 of 5 - Sale <sup>25</sup>
11/20/20	9.982	2,534.50	Various	2,582.73	48.23 W	0.00	Total of 5 transactions
15 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.395	100.00	11/09/20	98.85	...	1.15	1 of 15 - Sale <sup>25</sup>
	0.977	246.77	11/09/20	244.57	...	2.20	2 of 15 - Sale <sup>25</sup>
	0.610	155.26	11/09/20	152.69	...	2.57	3 of 15 - Sale <sup>25</sup>
	2.000	509.10	11/09/20	489.68	...	19.42	4 of 15 - Sale <sup>25</sup>
	0.083	21.15	11/10/20	20.57	...	0.58	5 of 15 - Sale <sup>25</sup>
	0.303	77.05	11/10/20	72.09	...	4.96	6 of 15 - Sale <sup>25</sup>
	0.431	109.65	11/10/20	102.97	...	6.68	7 of 15 - Sale <sup>25</sup>
	0.216	54.99	11/20/20	55.71	...	-0.72	8 of 15 - Sale <sup>25</sup>
	0.018	4.66	11/20/20	4.75	...	-0.09	9 of 15 - Sale <sup>25</sup>

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Account 781095542

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
	0.003	0.75	11/20/20	0.80	...	-0.05	10 of 15 - Sale <sup>25</sup>
	0.002	0.47	11/20/20	0.50	...	-0.03	11 of 15 - Sale <sup>25</sup>
	0.037	9.54	11/20/20	9.48	...	0.06	12 of 15 - Sale <sup>25</sup>
	0.018	4.74	12/07/20	4.36	...	0.38	13 of 15 - Sale <sup>25</sup>
	0.353	89.75	12/07/20	83.26	...	6.49	14 of 15 - Sale <sup>25</sup>
	0.582	150.64	12/07/20	140.65	...	9.99	15 of 15 - Sale <sup>25</sup>
12/14/20	6.027	1,534.52	Various	1,480.93	...	53.59	Total of 15 transactions
	7 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	0.003	0.74	11/09/20	0.79	0.05 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.002	0.47	11/09/20	0.50	0.03 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.018	4.62	11/09/20	4.74	0.12 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.018	4.66	11/09/20	4.70	0.04 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.165	296.59	11/12/20	303.18	6.59 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.796	457.13	11/12/20	463.50	6.37 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.965	500.20	11/20/20	509.45	5.56 W	-3.69	7 of 7 - Sale <sup>25</sup>
12/14/20	4.967	1,264.41	Various	1,286.86	18.76 W	-3.69	Total of 7 transactions
	4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.047	13.51	12/07/20	11.47	...	2.04	1 of 4 - Sale <sup>25</sup>
	0.003	0.98	12/16/20	0.94	...	0.04	2 of 4 - Sale <sup>25</sup>
	0.533	151.78	12/16/20	148.01	...	3.77	3 of 4 - Sale <sup>25</sup>
	0.317	90.20	12/16/20	85.80	...	4.40	4 of 4 - Sale <sup>25</sup>
12/22/20	0.900	256.47	Various	246.22	...	10.25	Total of 4 transactions
	<b>Security total:</b>	<b>12,269.24</b>		<b>12,014.88</b>	<b>100.59 W</b>	<b>354.95</b>	
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
	7 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	17.000	101.15	10/14/20	103.34	...	-2.19	1 of 7 - Sale <sup>25</sup>
	23.651	140.72	11/04/20	100.00	...	40.72	2 of 7 - Sale <sup>25</sup>
	0.019	0.11	11/12/20	0.07	...	0.04	3 of 7 - Sale <sup>25</sup>
	0.072	0.43	11/12/20	0.28	...	0.15	4 of 7 - Sale <sup>25</sup>
	0.484	2.88	11/12/20	1.94	...	0.94	5 of 7 - Sale <sup>25</sup>
	11.986	71.31	11/12/20	48.06	...	23.25	6 of 7 - Sale <sup>25</sup>
	14.984	89.15	11/12/20	59.93	...	29.22	7 of 7 - Sale <sup>25</sup>
11/16/20	68.196	405.75	Various	313.62	...	92.13	Total of 7 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol: (cont'd)							
8 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	0.700	4.17	10/06/20	7.78	3.61 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.996	11.88	10/06/20	22.22	10.34 W	0.00	2 of 8 - Sale <sup>25</sup>
	6.196	36.86	10/08/20	50.00	13.14 W	0.00	3 of 8 - Sale <sup>25</sup>
	9.000	53.55	10/09/20	72.43	18.88 W	0.00	4 of 8 - Sale <sup>25</sup>
	13.746	81.78	10/09/20	99.64	17.86 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.050	0.30	10/09/20	0.36	0.06 W	0.00	6 of 8 - Sale <sup>25</sup>
	40.000	237.99	10/13/20	264.80	1.92 W	-24.89	7 of 8 - Sale <sup>25</sup>
	10.116	60.18	10/13/20	70.00	9.82 W	0.00	8 of 8 - Sale <sup>25</sup>
11/16/20	81.804	486.71	Various	587.23	75.63 W	-24.89	Total of 8 transactions
7 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.996	12.38	11/12/20	18.23	...	-5.85	1 of 7 - Sale <sup>25</sup>
	0.700	4.34	11/12/20	6.38	...	-2.04	2 of 7 - Sale <sup>25</sup>
	1.232	7.64	11/12/20	7.47	...	0.17	3 of 7 - Sale <sup>25</sup>
	1.000	6.20	11/17/20	7.06	...	-0.86	4 of 7 - Sale <sup>25</sup>
	0.542	3.37	11/17/20	3.82	...	-0.45	5 of 7 - Sale <sup>25</sup>
	0.013	0.08	11/17/20	0.09	...	-0.01	6 of 7 - Sale <sup>25</sup>
	0.016	0.10	11/17/20	0.11	...	-0.01	7 of 7 - Sale <sup>25</sup>
11/19/20	5.500	34.11	Various	43.16	...	-9.05	Total of 7 transactions
12/30/20	6.607	35.15	12/08/20	50.73	...	-15.58	Sale <sup>25</sup>
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.458	2.43	11/17/20	3.22	0.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.935	15.62	12/08/20	22.61	6.05 W	-0.94	2 of 2 - Sale <sup>25</sup>
12/30/20	3.393	18.05	Various	25.83	6.84 W	-0.94	Total of 2 transactions
	<b>Security total:</b>	<b>979.77</b>		<b>1,020.57</b>	<b>82.47 W</b>	<b>41.67</b>	
WIPRO LIMITED / CUSIP: 97651M109 / Symbol:							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	0.998	4.99	10/09/20	5.41	...	-0.42	1 of 2 - Sale <sup>25</sup>
	0.001	0.00	10/09/20	0.00	...	0.00	2 of 2 - Zero proceeds / negative sale <sup>25</sup>
10/19/20	0.999	4.99	Various	5.41	...	-0.42	Total of 2 transactions

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
10/13/20	4.879	113.72	10/13/20	110.00	...	3.72	Sale <sup>25</sup>
	4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	0.003	0.06	11/05/20	0.06	...	0.00	1 of 4 - Sale <sup>25</sup>
	3.671	74.57	11/05/20	73.02	...	1.55	2 of 4 - Sale <sup>25</sup>
	0.920	18.69	11/05/20	16.85	...	1.84	3 of 4 - Sale <sup>25</sup>
	0.843	17.28	11/05/20	15.44	...	1.84	4 of 4 - Sale <sup>25</sup>
11/12/20	5.437	110.60	Various	105.37	...	5.23	Total of 4 transactions
	4 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	4.000	81.22	10/16/20	92.18	10.96 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	40.61	10/20/20	42.94	2.33 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.329	6.68	10/20/20	7.96	1.28 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.157	3.22	11/05/20	3.30	0.08 W	0.00	4 of 4 - Sale <sup>25</sup>
11/12/20	6.486	131.73	Various	146.38	14.65 W	0.00	Total of 4 transactions
11/17/20	0.236	5.00	11/05/20	4.32	...	0.68	Sale <sup>25</sup>
12/09/20	0.001	0.02	11/05/20	0.02	...	0.00	Sale <sup>25</sup>
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.157	3.42	11/24/20	4.24	0.82 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.169	3.68	11/24/20	5.14	1.46 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.673	14.66	11/24/20	17.81	3.15 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	21.80	11/24/20	26.46	4.66 W	0.00	4 of 4 - Sale <sup>25</sup>
12/09/20	1.999	43.56	Various	53.65	10.09 W	0.00	Total of 4 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	2.001	42.95	12/01/20	42.12	...	0.83	1 of 3 - Sale <sup>25</sup>
	0.149	3.20	12/02/20	2.94	...	0.26	2 of 3 - Sale <sup>25</sup>
	0.850	18.24	12/02/20	16.81	...	1.43	3 of 3 - Sale <sup>25</sup>
12/11/20	3.000	64.39	Various	61.87	...	2.52	Total of 3 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.001	0.02	11/24/20	0.03	0.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.157	3.37	12/01/20	4.13	0.76 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.169	3.63	12/01/20	5.02	1.39 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.673	14.44	12/01/20	17.31	2.87 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	21.46	12/01/20	25.71	4.25 W	0.00	5 of 5 - Sale <sup>25</sup>
12/11/20	2.000	42.92	Various	52.20	9.28 W	0.00	Total of 5 transactions

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Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.000	22.12	12/02/20	23.87	...	-1.75	1 of 5 - Sale <sup>25</sup>
	0.149	3.30	12/02/20	3.56	...	-0.26	2 of 5 - Sale <sup>25</sup>
	0.040	0.87	12/02/20	0.88	...	-0.01	3 of 5 - Sale <sup>25</sup>
	0.002	0.05	12/02/20	0.05	...	0.00	4 of 5 - Sale <sup>25</sup>
	7.000	154.84	12/02/20	137.30	...	17.54	5 of 5 - Sale <sup>25</sup>
12/22/20	8.191	181.18	Various	165.66	...	15.52	Total of 5 transactions
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.040	0.87	12/02/20	0.97	0.10 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.002	0.05	12/02/20	0.06	0.01 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.115	2.55	12/02/20	2.83	0.28 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.169	3.74	12/02/20	4.73	0.99 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.524	11.59	12/02/20	12.59	1.00 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.001	0.02	12/02/20	0.03	0.01 W	0.00	6 of 6 - Sale <sup>25</sup>
12/22/20	0.851	18.82	Various	21.21	2.39 W	0.00	Total of 6 transactions
5 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	0.169	4.13	12/02/20	4.31	...	-0.18	1 of 5 - Sale <sup>25</sup>
	0.001	0.02	12/02/20	0.03	...	-0.01	2 of 5 - Sale <sup>25</sup>
	0.115	2.82	12/02/20	2.54	...	0.28	3 of 5 - Sale <sup>25</sup>
	0.524	12.80	12/02/20	11.28	...	1.52	4 of 5 - Sale <sup>25</sup>
	0.891	21.78	12/02/20	17.47	...	4.31	5 of 5 - Sale <sup>25</sup>
12/24/20	1.700	41.55	Various	35.63	...	5.92	Total of 5 transactions
	<b>Security total:</b>	<b>753.49</b>		<b>756.31</b>	<b>36.41 W</b>	<b>33.59</b>	
XBIOTECH INC. COMMON STOCK / CUSIP: 98400H102 / Symbol:							
11/24/20	1.993	37.39	11/20/20	35.00	...	2.39	Sale <sup>25</sup>
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	482.97	09/24/20	474.60	...	8.37	1 of 2 - Sale <sup>25</sup>
	1.000	482.96	09/29/20	465.13	...	17.83	2 of 2 - Sale <sup>25</sup>
10/01/20	2.000	965.93	Various	939.73	...	26.20	Total of 2 transactions
10/06/20	2.000	954.99	10/02/20	967.77	2.81 W	-9.97	Sale <sup>25</sup>
11/12/20	0.000	0.03	10/30/20	0.03	...	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
8 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.010	4.42	10/29/20	5.07	0.65 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.014	6.21	10/30/20	7.06	0.85 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.212	90.75	10/30/20	101.35	10.60 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.021	9.21	10/30/20	10.14	0.93 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.022	9.30	10/30/20	10.14	0.84 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.065	27.70	11/04/20	30.41	2.71 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.095	40.74	11/04/20	45.61	4.87 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.261	111.64	11/06/20	127.00	15.36 W	0.00	8 of 8 - Sale <sup>25</sup>
11/12/20	0.700	299.97	Various	336.78	36.81 W	0.00	Total of 8 transactions
11/18/20	0.300	126.50	11/09/20	125.67	...	0.83	Sale <sup>25</sup>
9 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	0.010	4.35	11/06/20	5.68	1.33 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.003	1.21	11/06/20	1.52	0.31 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.014	6.11	11/06/20	7.91	1.80 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.212	89.30	11/06/20	113.84	24.54 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.019	7.85	11/09/20	8.60	0.75 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.022	9.15	11/09/20	9.93	0.78 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.065	27.25	11/09/20	29.78	2.53 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.095	40.08	11/09/20	44.69	4.61 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.261	109.86	11/09/20	124.50	14.64 W	0.00	9 of 9 - Sale <sup>25</sup>
11/18/20	0.700	295.16	Various	346.45	51.29 W	0.00	Total of 9 transactions
11/19/20	0.300	126.66	11/09/20	125.64	...	1.02	Sale <sup>25</sup>
9 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	0.010	4.35	11/09/20	5.65	1.30 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.003	1.22	11/09/20	1.52	0.30 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.014	6.12	11/09/20	7.87	1.75 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.212	89.41	11/09/20	113.25	23.84 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.019	7.86	11/09/20	8.54	0.68 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.022	9.16	11/09/20	9.87	0.71 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.065	27.29	11/09/20	29.60	2.31 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.095	40.14	11/09/20	44.42	4.28 W	0.00	8 of 9 - Sale <sup>25</sup>

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Account 781095542

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
11/19/20	0.261	110.01	11/09/20	123.75	13.74 W	0.00	9 of 9 - Sale <sup>25</sup>
	0.700	295.56	Various	344.47	48.91 W	0.00	Total of 9 transactions
	4 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	0.479	205.08	11/09/20	200.00	...	5.08	1 of 4 - Sale <sup>25</sup>
	0.733	313.92	11/09/20	307.09	...	6.83	2 of 4 - Sale <sup>25</sup>
	1.000	428.49	11/09/20	418.78	...	9.71	3 of 4 - Sale <sup>25</sup>
	0.089	38.04	11/10/20	33.80	...	4.24	4 of 4 - Sale <sup>25</sup>
11/20/20	2.300	985.53	Various	959.67	...	25.86	Total of 4 transactions
	11 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	0.010	4.42	11/09/20	5.62	1.20 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.003	1.23	11/09/20	1.51	0.28 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.014	6.21	11/09/20	7.82	1.61 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.212	90.74	11/09/20	112.53	21.79 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.019	7.97	11/09/20	8.45	0.48 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.022	9.30	11/09/20	9.78	0.48 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.028	11.85	11/09/20	12.54	0.69 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.037	15.84	11/09/20	16.81	0.97 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.092	39.48	11/09/20	42.74	3.26 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.003	1.26	11/09/20	1.36	0.10 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.261	111.64	11/09/20	122.95	11.31 W	0.00	11 of 11 - Sale <sup>25</sup>
11/20/20	0.700	299.94	Various	342.11	42.17 W	0.00	Total of 11 transactions
	6 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.003	1.37	11/10/20	1.37	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.019	8.84	11/10/20	7.54	...	1.30	2 of 6 - Sale <sup>25</sup>
	0.022	10.31	11/10/20	8.71	...	1.60	3 of 6 - Sale <sup>25</sup>
	0.028	13.14	11/10/20	11.18	...	1.96	4 of 6 - Sale <sup>25</sup>
	0.037	17.56	11/10/20	14.99	...	2.57	5 of 6 - Sale <sup>25</sup>
	0.077	36.40	11/10/20	31.76	...	4.64	6 of 6 - Sale <sup>25</sup>
11/30/20	0.184	87.62	Various	75.55	...	12.07	Total of 6 transactions
	4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.010	4.90	11/10/20	5.13	0.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.014	6.88	11/10/20	7.13	0.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.149	70.80	11/10/20	72.09	1.29 W	0.00	3 of 4 - Sale <sup>25</sup>

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Account 781095542

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
11/30/20	0.063	29.80	11/10/20	30.24	0.44 W	0.00	4 of 4 - Sale <sup>25</sup>
	0.237	112.38	Various	114.59	2.21 W	0.00	Total of 4 transactions
	8 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.010	4.17	11/10/20	4.14	...	0.03	1 of 8 - Sale <sup>25</sup>
	0.014	5.86	11/10/20	5.75	...	0.11	2 of 8 - Sale <sup>25</sup>
	0.015	5.97	11/10/20	5.72	...	0.25	3 of 8 - Sale <sup>25</sup>
	0.063	25.36	11/10/20	24.72	...	0.64	4 of 8 - Sale <sup>25</sup>
	0.042	17.08	11/10/20	16.25	...	0.83	5 of 8 - Sale <sup>25</sup>
	0.077	31.28	11/10/20	30.00	...	1.28	6 of 8 - Sale <sup>25</sup>
	0.149	60.23	11/10/20	57.82	...	2.41	7 of 8 - Sale <sup>25</sup>
	0.300	121.24	11/10/20	113.78	...	7.46	8 of 8 - Sale <sup>25</sup>
12/15/20	0.671	271.19	Various	258.18	...	13.01	Total of 8 transactions
	3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.003	1.18	11/10/20	1.21	0.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.016	6.27	11/10/20	6.44	0.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.261	105.30	11/10/20	110.13	4.83 W	0.00	3 of 3 - Sale <sup>25</sup>
12/15/20	0.279	112.75	Various	117.78	5.03 W	0.00	Total of 3 transactions
	10 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.088	36.57	11/10/20	33.75	...	2.82	1 of 10 - Sale <sup>25</sup>
	0.000	0.10	11/23/20	0.10	...	0.00	2 of 10 - Sale <sup>25</sup>
	0.000	0.07	12/09/20	0.07	...	0.00	3 of 10 - Sale <sup>25</sup>
	0.116	47.35	12/09/20	45.61	...	1.74	4 of 10 - Sale <sup>25</sup>
	0.000	0.20	12/10/20	0.19	...	0.01	5 of 10 - Sale <sup>25</sup>
	0.065	26.31	12/10/20	25.19	...	1.12	6 of 10 - Sale <sup>25</sup>
	0.193	78.69	12/10/20	76.28	...	2.41	7 of 10 - Sale <sup>25</sup>
	0.004	1.63	12/14/20	1.53	...	0.10	8 of 10 - Sale <sup>25</sup>
	0.067	27.11	12/14/20	25.19	...	1.92	9 of 10 - Sale <sup>25</sup>
	0.258	105.13	12/14/20	99.19	...	5.94	10 of 10 - Sale <sup>25</sup>
12/21/20	0.792	323.16	Various	307.10	...	16.06	Total of 10 transactions
	10 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.003	1.22	11/23/20	1.27	0.05 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.016	6.47	11/23/20	6.75	0.28 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.261	108.54	11/23/20	115.36	6.82 W	0.00	3 of 10 - Sale <sup>25</sup>

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	0.310	129.18	11/23/20	131.55	2.37 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.323	134.51	12/01/20	135.41	0.90 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.003	1.19	12/01/20	1.28	0.09 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.016	6.32	12/01/20	6.79	0.47 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.016	6.65	12/01/20	7.28	0.63 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.048	19.72	12/01/20	21.27	1.55 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.196	79.63	12/09/20	80.33	0.70 W	0.00	10 of 10 - Sale <sup>25</sup>
12/21/20	1.191	493.43	Various	507.29	13.86 W	0.00	Total of 10 transactions
	7 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.013	5.19	12/14/20	5.19	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.003	1.15	12/14/20	1.15	...	0.00	2 of 7 - Sale <sup>25</sup>
	0.003	1.20	12/14/20	1.20	...	0.00	3 of 7 - Sale <sup>25</sup>
	0.001	0.38	12/14/20	0.37	...	0.01	4 of 7 - Sale <sup>25</sup>
	0.018	7.04	12/14/20	6.61	...	0.43	5 of 7 - Sale <sup>25</sup>
	0.196	79.83	12/14/20	74.60	...	5.23	6 of 7 - Sale <sup>25</sup>
	0.721	294.34	12/14/20	272.49	...	21.85	7 of 7 - Sale <sup>25</sup>
12/22/20	0.954	389.13	Various	361.61	...	27.52	Total of 7 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.001	0.39	12/14/20	0.40	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.015	6.28	12/14/20	6.40	0.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.048	19.77	12/14/20	19.85	0.08 W	0.00	3 of 3 - Sale <sup>25</sup>
12/22/20	0.065	26.44	Various	26.65	0.21 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>6,166.37</b>		<b>6,257.07</b>	<b>203.30 W</b>	<b>112.60</b>	
ACCENTURE PLC / CUSIP: G1151C101 / Symbol:							
	2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	232.24	03/13/20	160.15	...	72.09	1 of 2 - Sale <sup>25</sup>
	0.989	229.69	09/24/20	214.04	...	15.65	2 of 2 - Sale <sup>25</sup>
10/21/20	1.989	461.93	Various	374.19	...	87.74	Total of 2 transactions
10/30/20	0.642	138.40	10/28/20	137.00	...	1.40	Sale <sup>25</sup>
	2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	0.011	2.37	09/24/20	2.38	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>

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ACCENTURE PLC / CUSIP: G1151C101 / Symbol: (cont'd)							
	0.347	74.89	10/28/20	75.00	0.02 W	-0.09	2 of 2 - Sale <sup>25</sup>
10/30/20	0.358	77.26	Various	77.38	0.03 W	-0.09	Total of 2 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.011	2.69	10/28/20	2.36	...	0.33	1 of 2 - Sale <sup>25</sup>
	0.049	11.97	10/28/20	10.48	...	1.49	2 of 2 - Sale <sup>25</sup>
11/09/20	0.060	14.66	Various	12.84	...	1.82	Total of 2 transactions
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	0.001	0.22	10/28/20	0.19	...	0.03	1 of 2 - Sale <sup>25</sup>
	0.005	1.17	10/30/20	1.00	...	0.17	2 of 2 - Sale <sup>25</sup>
11/27/20	0.006	1.39	Various	1.19	...	0.20	Total of 2 transactions
<b>Security total:</b>		<b>693.64</b>		<b>602.60</b>	<b>0.03 W</b>	<b>91.07</b>	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	18.501	87.88	11/19/20	99.91	12.03 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.017	0.08	11/19/20	0.09	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.482	7.04	11/20/20	7.94	0.90 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	23.75	11/20/20	26.79	3.04 W	0.00	4 of 4 - Sale <sup>25</sup>
12/22/20	25.000	118.75	Various	134.73	15.98 W	0.00	Total of 4 transactions
13 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	0.017	0.09	11/27/20	0.09	...	0.00	1 of 13 - Sale <sup>25</sup>
	15.690	81.89	11/27/20	77.35	...	4.54	2 of 13 - Sale <sup>25</sup>
	4.475	23.36	12/01/20	20.00	...	3.36	3 of 13 - Sale <sup>25</sup>
	0.005	0.03	12/02/20	0.02	...	0.01	4 of 13 - Sale <sup>25</sup>
	11.986	62.56	12/02/20	49.98	...	12.58	5 of 13 - Sale <sup>25</sup>
	15.000	78.29	12/02/20	62.83	...	15.46	6 of 13 - Sale <sup>25</sup>
	0.025	0.13	12/07/20	0.10	...	0.03	7 of 13 - Sale <sup>25</sup>
	1.620	8.38	12/07/20	7.05	...	1.33	8 of 13 - Sale <sup>25</sup>
	1.901	9.88	12/07/20	8.26	...	1.62	9 of 13 - Sale <sup>25</sup>
	6.380	33.00	12/07/20	29.16	...	3.84	10 of 13 - Sale <sup>25</sup>
	7.864	40.89	12/07/20	34.68	...	6.21	11 of 13 - Sale <sup>25</sup>
	10.210	53.10	12/07/20	46.66	...	6.44	12 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	15.666	81.77	12/07/20	66.88	...	14.89	13 of 13 - Sale <sup>25</sup>
12/29/20	90.838	473.37	Various	403.06	...	70.31	Total of 13 transactions
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	12.179	63.57	11/20/20	65.27	1.70 W	0.00	1 of 4 - Sale <sup>25</sup>
	18.501	96.57	11/27/20	103.24	6.67 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.482	7.74	11/27/20	8.21	0.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	26.10	11/27/20	27.69	1.59 W	0.00	4 of 4 - Sale <sup>25</sup>
12/29/20	37.162	193.98	Various	204.41	10.43 W	0.00	Total of 4 transactions
12/31/20	0.760	4.58	12/07/20	3.31	...	1.27	Sale <sup>25</sup>
<b>Security total:</b>		<b>790.68</b>		<b>745.51</b>	<b>26.41 W</b>	<b>71.58</b>	
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
7 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	0.599	8.09	09/24/20	8.57	...	-0.48	1 of 7 - Sale <sup>25</sup>
	3.401	45.95	09/24/20	42.51	...	3.44	2 of 7 - Sale <sup>25</sup>
	0.401	5.42	09/29/20	3.92	...	1.50	3 of 7 - Sale <sup>25</sup>
	0.612	8.27	09/29/20	5.92	...	2.35	4 of 7 - Sale <sup>25</sup>
	2.987	40.35	09/29/20	29.07	...	11.28	5 of 7 - Sale <sup>25</sup>
	8.579	115.90	09/29/20	68.20	...	47.70	6 of 7 - Sale <sup>25</sup>
	9.822	132.70	09/30/20	70.72	...	61.98	7 of 7 - Sale <sup>25</sup>
10/15/20	26.401	356.68	Various	228.91	...	127.77	Total of 7 transactions
5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	2.000	27.02	09/23/20	30.54	3.52 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	13.51	09/23/20	15.32	1.81 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	27.02	09/24/20	28.52	0.13 W	-1.37	3 of 5 - Sale <sup>25</sup>
	0.612	8.27	09/24/20	9.32	1.05 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.987	40.35	09/24/20	45.68	5.33 W	0.00	5 of 5 - Sale <sup>25</sup>
10/15/20	8.599	116.17	Various	129.38	11.84 W	-1.37	Total of 5 transactions
10/19/20	0.178	1.54	09/30/20	1.41	...	0.13	Sale <sup>25</sup>
<b>Security total:</b>		<b>474.39</b>		<b>359.70</b>	<b>11.84 W</b>	<b>126.53</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
<i>4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.022	2.15	10/08/20	2.07	...	0.08	1 of 4 - Sale <sup>25</sup>
	0.178	17.80	10/08/20	17.22	...	0.58	2 of 4 - Sale <sup>25</sup>
	0.178	17.79	10/08/20	17.10	...	0.69	3 of 4 - Sale <sup>25</sup>
	4.822	481.09	10/08/20	462.20	...	18.89	4 of 4 - Sale <sup>25</sup>
10/09/20	5.200	518.83	Various	498.59	...	20.24	Total of 4 transactions
11/05/20	0.015	1.43	10/08/20	1.41	...	0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>520.26</b>		<b>500.00</b>	...	<b>20.26</b>	
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
12/09/20	5.000	11.35	11/18/20	6.55	...	4.80	Sale <sup>25</sup>
<i>5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	0.600	1.53	11/18/20	0.79	...	0.74	1 of 5 - Sale <sup>25</sup>
	2.034	5.17	11/18/20	2.66	...	2.51	2 of 5 - Sale <sup>25</sup>
	0.034	0.09	11/24/20	0.07	...	0.02	3 of 5 - Sale <sup>25</sup>
	4.966	12.61	11/24/20	10.77	...	1.84	4 of 5 - Sale <sup>25</sup>
	10.000	25.53	11/24/20	21.70	...	3.83	5 of 5 - Sale <sup>25</sup>
12/11/20	17.634	44.93	Various	35.99	...	8.94	Total of 5 transactions
	<b>Security total:</b>	<b>56.28</b>		<b>42.54</b>	...	<b>13.74</b>	
UNIQUE N.V. ORDINARY SHARES / CUSIP: N90064101 / Symbol:							
<i>2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.02	12/21/20	0.02	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.991	39.98	12/21/20	37.17	...	2.81	2 of 2 - Sale <sup>25</sup>
12/22/20	0.991	40.00	Various	37.19	...	2.81	Total of 2 transactions
12/31/20	0.991	36.00	12/21/20	37.25	...	-1.25	Sale <sup>25</sup>
12/31/20	0.009	0.32	12/21/20	0.33	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>76.32</b>		<b>74.77</b>	<b>0.01 W</b>	<b>1.56</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	0.789	6.47	10/26/20	5.89	...	0.58	1 of 2 - Sale <sup>25</sup>
	11.000	90.18	10/26/20	82.50	...	7.68	2 of 2 - Sale <sup>25</sup>
11/09/20	11.789	96.65	Various	88.39	...	8.26	Total of 2 transactions
11/09/20	11.211	91.90	10/23/20	100.00	0.87 W	-7.23	Sale <sup>25</sup>
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	0.011	0.09	10/26/20	0.09	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.200	1.71	10/26/20	1.63	...	0.08	2 of 3 - Sale <sup>25</sup>
	0.989	8.46	11/12/20	9.24	...	-0.78	3 of 3 - Sale <sup>25</sup>
11/12/20	1.200	10.26	Various	10.96	...	-0.70	Total of 3 transactions
11/30/20	0.011	0.10	11/12/20	0.10	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>198.91</b>		<b>199.45</b>	<b>0.87 W</b>	<b>0.33</b>	
MAXEON SOLAR TECHNOLOGIES, LTD . ORDINARY SHARES / CUSIP: Y58473102 / Symbol:							
10/13/20	1.000	20.52	09/29/20	18.56	...	1.96	Sale <sup>25</sup>
12/03/20	5.588	145.65	11/20/20	99.99	...	45.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>166.17</b>		<b>118.55</b>	...	<b>47.62</b>	
<b>Totals :</b>		<b>162,807.79</b>		<b>157,515.79</b>	<b>2,250.18 W</b>	<b>7,542.18</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
12/01/20	0.003	0.37	10/30/20	0.33	...	0.04	Sale <sup>25</sup>
<b>Totals :</b>		<b>0.37</b>		<b>0.33</b>		<b>0.04</b>	

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Robinhood Securities LLC

Account 781095542

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MARINUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 56854Q200 / Symbol:							
2 transactions for 09/28/20							
	0.246	2.98	09/15/20	3.23	...	-0.25	Gain or loss
	0.094	1.13	09/15/20	1.22	...	-0.09	Gain or loss
09/28/20	0.340	4.11	Various	4.45	...	-0.34	Total of 2 transactions
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.139	2.14	11/04/20	2.28	...	-0.14	Gain or loss
<b>Totals:</b>		<b>6.25</b>		<b>6.73</b>	...	<b>-0.48</b>	

Robinhood Securities LLC

Account 781095542

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AMERICAN INTERNATIONAL GROUP, INC.	026874784		12/28/20	0.73	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		11/12/20	13.36	Qualified dividend	
BANK OF AMERICA CORPORATION	060505104		09/25/20	1.08	Qualified dividend	
HANESBRANDS, INC.	410345102		12/01/20	1.50	Qualified dividend	
NVIDIA CORPORATION COMMON STOCK	67066G104		12/29/20	0.01	Qualified dividend	
PFIZER INC.	717081103		12/01/20	0.90	Qualified dividend	
ROYAL DUTCH SHELL PLC ADS CLASS A	780259206		09/21/20	0.64	Qualified dividend	
			09/21/20	-0.10	Foreign tax withheld-NL	
				<b>0.64</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.10</b>	<b>Total Foreign tax withheld</b>	
ACCENTURE PLC	G1151C101		05/15/20	0.80	Nonqualified dividend	
			08/14/20	0.80	Nonqualified dividend	
			11/13/20	1.76	Nonqualified dividend	
				<b>3.36</b>	<b>Total Dividends &amp; distributions</b>	
				<b>21.58</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.10</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 781095542

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		10/12/20	-5.00	Margin interest paid	
		10/12/20	-16.69	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		11/10/20	-23.02	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
		12/10/20	-50.63	Margin interest paid	
			-105.34	Total Margin interest paid	
			<b>-105.34</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 781095542

**Foreign Income and Taxes Summary**

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
EI	ACCENTURE PLC	G1151C101	3.36	0.00	0.00	3.36	0.00	
<b>Total IRELAND</b>			<b>3.36</b>	<b>0.00</b>	<b>0.00</b>	<b>3.36</b>	<b>0.00</b>	
NL	ROYAL DUTCH SHELL PLC ADS CLAS S A	780259206	0.00	0.64	0.00	0.64	-0.10	
<b>Total NETHERLANDS</b>			<b>0.00</b>	<b>0.64</b>	<b>0.00</b>	<b>0.64</b>	<b>-0.10</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>4.00</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.64</b>				
	<b>Foreign Tax</b>				<b>-0.10</b>			

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 781095542

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HYLIION HOLDINGS CORP.	449109107	10/02/20	5.87	0.00	Merger	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK	89154L100	10/02/20	-5.87	0.00	Merger	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).