

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 279849957</b>	Statement Date: 01/20/2022 Document ID: 8Y7G 52S 29KS	2021
	SIVAJI RAJA MUGGARI 3562 ROCKING HORSE CT DUBLIN, CA 94568-8815		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-8429		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      -18.32</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      -18.32</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	86,206.85	99,154.66	0.00	1,560.18	-11,387.63
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>86,206.85</b>	<b>99,154.66</b>	<b>0.00</b>	<b>1,560.18</b>	<b>-11,387.63</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>86,206.85</b>	<b>99,154.66</b>	<b>0.00</b>	<b>1,560.18</b>	<b>-11,387.63</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 279849957

**Summary Information**

2021

(continued)

01/20/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.02
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	76.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 279849957

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

01/20/2022

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZONAS FLORESTAL LTD COM / CUSIP: 02314H208 / Symbol: AZFL							
05/10/21	23,000.000	75.41	05/06/21	115.05	...	-39.64	Sale
COMMUNICATIONS SYSTEMS INC COM / CUSIP: 203900105 / Symbol: JCS							
09/14/21	27.000	250.02	09/14/21	241.38	...	8.64	Sale
COMSOVEREIGN HLDG COM / CUSIP: 205650203 / Symbol: COMS							
08/24/21	40.000	75.20	08/20/21	72.80	...	2.40	Sale
CREATIVE MEDICAL TECH HOLDING 1:500 R/S 11/10/21 22529Y309 / CUSIP: 22529Y200 / Symbol:							
04/15/21	3,000.000	126.49	Various	174.75	...	-48.26	Sale
FIRST COBALT CORP N/C 12/7/21 28474P102 / CUSIP: 319702106 / Symbol:							
03/30/21	1,000.000	286.93	Various	325.60	...	-38.67	Sale
GRAPEFRUIT USA INC COM / CUSIP: 388598104 / Symbol: GPFT							
04/15/21	1,000.000	122.93	Various	193.75	...	-70.82	Sale
HPIL HLDG COM / CUSIP: 40432Y109 / Symbol: HPIL							
02/17/21	25,000.000	60.07	02/12/21	33.48	...	26.59	Sale
02/18/21	15,000.000	21.26	02/12/21	20.08	...	1.18	Sale
04/15/21	100,000.000	167.10	Various	92.34	...	74.76	Sale
	<b>Security total:</b>	<b>248.43</b>		<b>145.90</b>	...	<b>102.53</b>	

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Account 279849957

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HEALTHIER CHOICES MGMT CORP COM / CUSIP: 42226N109 / Symbol: HCMC							
06/02/21	485,000.000	666.10	Various	1,021.85	...	-355.75	Sale
HEMP TECHNOLOGY INC 1:4500 R/S 2/24/21 74937E102 / CUSIP: 42371F109 / Symbol:							
02/12/21	8,000.000	35.30	02/09/21	24.51	...	10.79	Sale
HIGH PERFORMANCE BEVERAGES CO COM / CUSIP: 42969X306 / Symbol: TBEV							
04/14/21	200,000.000	247.10	Various	203.90	...	43.20	Sale
HOUMU HLDGS LTD COM / CUSIP: 441693108 / Symbol: HOMU							
04/16/21	50.000	7.54	02/12/21	74.45	...	-66.91	Sale
ORPHAZYME A/S ADR SPONSORED / CUSIP: 687305102 / Symbol: ORPH							
06/15/21	17.000	171.36	Various	175.54	...	-4.18	Sale
PETROS PHARMACEUTICALS INC COM / CUSIP: 71678J100 / Symbol: PTPI							
11/05/21	4.000	10.57	11/03/21	12.92	...	-2.35	Sale
PHARMACYTE BIOTECH INC COM / CUSIP: 71715X203 / Symbol: PMCB							
08/19/21	1.000	4.09	08/18/21	7.19	...	-3.10	Sale
RCMW GROUP INC COM / CUSIP: 74937E102 / Symbol: RCMW							
04/16/21	1.000	0.00	02/09/21	10.72	...	-10.72	Sale
04/27/21	1.000	0.00	02/09/21	10.72	...	-10.72	Sale
	<b>Security total:</b>	<b>0.00</b>		<b>21.44</b>	...	<b>-21.44</b>	
RENEWABLE ENERGY & POWER INC COM / CUSIP: 75972U307 / Symbol: RBNW							
04/16/21	100,000.000	107.10	Various	273.65	...	-166.55	Sale
SERVICE TEAM INC COM / CUSIP: 81761B104 / Symbol: SVTE							
04/16/21	200,000.000	67.10	Various	144.85	...	-77.75	Sale
SIGNATURE DEVICES INC COM / CUSIP: 82668Y106 / Symbol: SDVI							
04/15/21	200,000.000	107.10	Various	158.90	...	-51.80	Sale
TGI SOLAR POWER GROUP INC COM / CUSIP: 87243J102 / Symbol: TSPG							
04/23/21	10,000.000	62.86	Various	148.54	...	-85.68	Sale

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERDE BIO HLDGS INC COM / CUSIP: 92338J108 / Symbol: VBHI							
04/28/21	100,000.000	1,437.59	Various	1,840.23	174.96 W	-227.68	Total of 2 transactions
06/02/21	50,000.000	682.10	Various	918.32	...	-236.22	Sale
	<b>Security total:</b>	<b>2,119.69</b>		<b>2,758.55</b>	<b>174.96 W</b>	<b>-463.90</b>	
APPLE INC AAPL Jul 02 2021 138.0 Call / CUSIP: / Symbol: AAPL 07/02/21 C 138.000							
06/30/21	4.000	181.33	06/29/21	150.66	...	30.67	Option sale
APPLE INC AAPL Jul 09 2021 145.0 Call / CUSIP: / Symbol: AAPL 07/09/21 C 145.000							
07/07/21	1.000	94.34	07/06/21	38.66	...	55.68	Option sale
07/08/21	1.000	33.34	07/07/21	72.66	...	-39.32	Option sale
	<b>Security total:</b>	<b>127.68</b>		<b>111.32</b>	...	<b>16.36</b>	
APPLE INC AAPL Jul 16 2021 145.0 Call / CUSIP: / Symbol: AAPL 07/16/21 C 145.000							
07/14/21	2.000	764.67	07/13/21	301.33	...	463.34	Option sale
APPLE INC AAPL Sep 17 2021 160.0 Call / CUSIP: / Symbol: AAPL 09/17/21 C 160.000							
09/10/21	5.000	141.68	Various	453.30	...	-311.62	Option sale
APPLE INC AAPL Oct 08 2021 150.0 Call / CUSIP: / Symbol: AAPL 10/08/21 C 150.000							
09/21/21	2.000	174.68	09/17/21	361.32	...	-186.64	Option sale
ABBVIE INC ABBV Sep 03 2021 120.0 Call / CUSIP: / Symbol: ABBV 09/03/21 C 120.000							
08/24/21	3.000	358.01	Various	337.98	...	20.03	Option sale
AIRBNB INC ABNB May 21 2021 140.0 Call / CUSIP: / Symbol: ABNB 05/21/21 C 140.000							
05/21/21	5.000	26.67	05/20/21	377.32	...	-350.65	Option sale
AIRBNB INC ABNB Oct 15 2021 180.0 Call / CUSIP: / Symbol: ABNB 10/15/21 C 180.000							
10/13/21	2.000	48.68	Various	185.32	...	-136.64	Option sale
AUTODESK INC ADSK Feb 19 2021 310.0 Call / CUSIP: / Symbol: ADSK 02/19/21 C 310.000							
02/12/21	1.000	236.33	02/08/21	640.66	...	-404.33	Option sale
02/16/21	2.000	1,318.64	02/08/21	1,281.33	...	37.31	Option sale
	<b>Security total:</b>	<b>1,554.97</b>		<b>1,921.99</b>	...	<b>-367.02</b>	
AUTODESK INC ADSK Jul 16 2021 305.0 Call / CUSIP: / Symbol: ADSK 07/16/21 C 305.000							
07/06/21	1.000	139.34	07/06/21	245.66	...	-106.32	Option sale

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AUTODESK INC ADSK Aug 20 2021 332.5 Call / CUSIP: / Symbol: ADSK 08/20/21 C 332.500							
08/19/21	2.000	218.68	08/19/21	301.32	...	-82.64	Option sale
ALLY FINANCIAL INC ALLY May 21 2021 46.0 Call / CUSIP: / Symbol: ALLY 05/21/21 C 46.000							
04/19/21	1.000	224.34	04/15/21	260.66	...	-36.32	Option sale
ALLY FINANCIAL INC ALLY May 21 2021 50.0 Call / CUSIP: / Symbol: ALLY 05/21/21 C 50.000							
04/27/21	2.000	300.67	04/26/21	283.33	...	17.34	Option sale
ADVANCED MICRO DEVICES INC AMD Jul 02 2021 83.0 Call / CUSIP: / Symbol: AMD 07/02/21 C 83.000							
06/23/21	1.000	201.34	06/23/21	207.66	...	-6.32	Option sale
AMAZON COM INC AMZN Aug 27 2021 3330.0 Call / CUSIP: / Symbol: AMZN 08/27/21 C 3330.000							
08/24/21	1.000	1,384.33	08/24/21	1,500.66	...	-116.33	Option sale
BROADCOM INC AVGO Jan 22 2021 450.0 Call / CUSIP: / Symbol: AVGO 01/22/21 C 450.000							
01/12/21	1.000	1,089.32	01/12/21	900.66	...	188.66	Option sale
BOEING CO BA Aug 27 2021 220.0 Call / CUSIP: / Symbol: BA 08/27/21 C 220.000							
08/24/21	6.000	1,796.01	08/23/21	1,096.96	...	699.05	Option sale
BOEING CO BA Sep 10 2021 227.5 Call / CUSIP: / Symbol: BA 09/10/21 C 227.500							
09/03/21	6.000	263.02	Various	471.97	...	-208.95	Total of 2 transactions
ALIBABA GROUP HOLDING LTD BABA May 07 2021 237.5 Call / CUSIP: / Symbol: BABA 05/07/21 C 237.500							
04/28/21	1.000	449.34	04/27/21	402.66	...	46.68	Option sale
ALIBABA GROUP HOLDING LTD BABA Jul 02 2021 225.0 Call / CUSIP: / Symbol: BABA 07/02/21 C 225.000							
06/25/21	1.000	202.34	06/25/21	285.66	...	-83.32	Option sale
ALIBABA GROUP HOLDING LTD BABA Aug 27 2021 170.0 Call / CUSIP: / Symbol: BABA 08/27/21 C 170.000							
08/23/21	1.000	106.34	08/20/21	420.66	...	-314.32	Option sale
BANK OF AMERICA CORPORATION BAC Nov 12 2021 48.0 Call / CUSIP: / Symbol: BAC 11/12/21 C 48.000							
11/10/21	1.000	19.34	11/09/21	11.66	...	7.68	Option sale
BAIDU INC BIDU Jan 15 2021 222.5 Call / CUSIP: / Symbol: BIDU 01/15/21 C 222.500							
01/04/21	1.000	614.33	01/04/21	999.66	...	-385.33	Option sale

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01/20/2022

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BAIDU INC BIDU Apr 30 2021 222.5 Call / CUSIP: / Symbol: BIDU 04/30/21 C 222.500							
04/28/21	2.000	298.67	04/28/21	241.33	...	57.34	Option sale
BAIDU INC BIDU May 07 2021 215.0 Call / CUSIP: / Symbol: BIDU 05/07/21 C 215.000							
04/30/21	1.000	199.34	04/29/21	550.67	351.33 W	0.00	Option sale
05/04/21	7.000	359.34	Various	2,243.96	...	-1,884.62	Option sale
	<b>Security total:</b>	<b>558.68</b>		<b>2,794.63</b>	<b>351.33 W</b>	<b>-1,884.62</b>	
BAIDU INC BIDU Aug 20 2021 142.0 Call / CUSIP: / Symbol: BIDU 08/20/21 C 142.000							
08/20/21	7.000	107.36	08/20/21	504.62	...	-397.26	Option sale
BAIDU INC BIDU Aug 27 2021 152.5 Call / CUSIP: / Symbol: BIDU 08/27/21 C 152.500							
08/25/21	2.000	598.68	08/25/21	501.32	...	97.36	Option sale
BILIBILI INC BILI Jun 11 2021 113.0 Call / CUSIP: / Symbol: BILI 06/11/21 C 113.000							
06/11/21	2.000	8.67	Various	101.32	...	-92.65	Option sale
BILIBILI INC BILI Jun 18 2021 112.0 Call / CUSIP: / Symbol: BILI 06/18/21 C 112.000							
06/14/21	1.000	549.34	06/10/21	330.66	...	218.68	Option sale
BIONANO GENOMICS INC BNGO Aug 20 2021 3.0 Call / CUSIP: / Symbol: BNGO 08/20/21 C 3.000							
01/15/21	2.000	1,300.64	01/15/21	1,251.33	...	49.31	Option sale
BEYOND MEAT INC BYND Nov 05 2021 97.0 Call / CUSIP: / Symbol: BYND 11/05/21 C 97.000							
10/25/21	1.000	204.34	10/22/21	205.66	...	-1.32	Option sale
CARA THERAPEUTICS INC CARA May 21 2021 12.5 Call / CUSIP: / Symbol: CARA 05/21/21 C 12.500							
05/11/21	1.000	89.34	05/06/21	90.66	...	-1.32	Option sale
CATERPILLAR INC CAT Sep 10 2021 202.5 Put / CUSIP: / Symbol: CAT 09/10/21 P 202.500							
09/08/21	3.000	124.01	09/08/21	241.98	...	-117.97	Option sale
CATERPILLAR INC CAT Sep 24 2021 200.0 Put / CUSIP: / Symbol: CAT 09/24/21 P 200.000							
09/16/21	2.000	266.68	09/15/21	401.32	...	-134.64	Option sale
CONFLUENT INC CFLT Sep 17 2021 65.0 Call / CUSIP: / Symbol: CFLT 09/17/21 C 65.000							
09/13/21	6.000	1,046.01	09/13/21	1,013.96	...	32.05	Option sale

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TD Ameritrade Clearing, Inc.

Account 279849957

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

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CHEWY INC CHWY May 21 2021 69.0 Call / CUSIP: / Symbol: CHWY 05/21/21 C 69.000							
05/14/21	2.000	303.68	Various	661.33	...	-357.65	Total of 2 transactions
CHEWY INC CHWY Sep 24 2021 72.0 Put / CUSIP: / Symbol: CHWY 09/24/21 P 72.000							
09/14/21	1.000	185.34	09/14/21	125.66	...	59.68	Option sale
CHEWY INC CHWY May 07 2021 100.0 Call / CUSIP: / Symbol: CHWY 05/07/21 C 100.000							
04/13/21	1.000	82.34	03/31/21	310.66	...	-228.32	Option sale
CLEVELAND CLIFFS INC CLF Jun 18 2021 18.0 Call / CUSIP: / Symbol: CLF 06/18/21 C 18.000							
04/27/21	2.000	482.67	04/26/21	345.33	...	137.34	Option sale
CO DIAGNOSTICS INC CODX Jul 23 2021 9.0 Call / CUSIP: / Symbol: CODX 07/23/21 C 9.000							
07/22/21	1.000	34.34	07/19/21	27.66	...	6.68	Option sale
COINBASE GLOBAL INC COIN Sep 17 2021 290.0 Call / CUSIP: / Symbol: COIN 09/17/21 C 290.000							
09/07/21	2.000	478.68	09/07/21	601.32	...	-122.64	Option sale
COINBASE GLOBAL INC COIN Oct 15 2021 300.0 Call / CUSIP: / Symbol: COIN 10/15/21 C 300.000							
09/15/21	2.000	338.68	09/13/21	381.32	...	-42.64	Option sale
COINBASE GLOBAL INC COIN Aug 27 2021 262.5 Put / CUSIP: / Symbol: COIN 08/27/21 P 262.500							
08/23/21	1.000	759.34	08/23/21	520.66	...	238.68	Option sale
COTY INC COTY Mar 19 2021 7.0 Call / CUSIP: / Symbol: COTY 03/19/21 C 7.000							
02/09/21	2.000	144.67	02/08/21	309.33	...	-164.66	Option sale
SALESFORCE.COM INC CRM May 07 2021 235.0 Call / CUSIP: / Symbol: CRM 05/07/21 C 235.000							
05/03/21	2.000	108.67	Various	561.32	...	-452.65	Option sale
SALESFORCE.COM INC CRM Jul 16 2021 250.0 Call / CUSIP: / Symbol: CRM 07/16/21 C 250.000							
07/06/21	1.000	383.34	07/06/21	410.66	...	-27.32	Option sale
CROWDSTRIKE HOLDINGS INC CRWD May 07 2021 220.0 Call / CUSIP: / Symbol: CRWD 05/07/21 C 220.000							
04/26/21	1.000	799.34	04/26/21	685.66	...	113.68	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Jun 25 2021 242.5 Call / CUSIP: / Symbol: CRWD 06/25/21 C 242.500							
06/18/21	1.000	517.34	06/17/21	475.66	...	41.68	Option sale

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CROWDSTRIKE HOLDINGS INC CRWD Aug 27 2021 275.0 Call / CUSIP: / Symbol: CRWD 08/27/21 C 275.000							
08/26/21	1.000	529.34	08/25/21	325.66	...	203.68	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Sep 24 2021 245.0 Put / CUSIP: / Symbol: CRWD 09/24/21 P 245.000							
09/14/21	1.000	219.34	09/14/21	250.66	...	-31.32	Option sale
DROPBOX INC DBX Feb 26 2021 24.0 Call / CUSIP: / Symbol: DBX 02/26/21 C 24.000							
02/19/21	1.000	60.34	02/16/21	187.66	...	-127.32	Option sale
DATADOG INC DDOG Mar 26 2021 85.0 Call / CUSIP: / Symbol: DDOG 03/26/21 C 85.000							
03/16/21	2.000	1,218.66	03/15/21	971.33	...	247.33	Option sale
WALT DISNEY COMPANY (THE) DIS Feb 12 2021 175.0 Call / CUSIP: / Symbol: DIS 02/12/21 C 175.000							
01/28/21	4.000	1,997.29	01/22/21	2,002.66	...	-5.37	Option sale
DRAFTKINGS INC DKNG Sep 24 2021 60.0 Put / CUSIP: / Symbol: DKNG 09/24/21 P 60.000							
09/14/21	1.000	219.34	09/14/21	150.66	...	68.68	Option sale
DOCUSIGN INC DOCU May 28 2021 187.5 Call / CUSIP: / Symbol: DOCU 05/28/21 C 187.500							
05/20/21	1.000	999.33	05/19/21	600.66	...	398.67	Option sale
ETON PHARMACEUTICALS INC ETON Jul 16 2021 10.0 Call / CUSIP: / Symbol: ETON 07/16/21 C 10.000							
06/10/21	3.000	58.00	Various	59.99	...	-1.99	Option sale
ETSY INC ETSY Jan 15 2021 170.0 Call / CUSIP: / Symbol: ETSY 01/15/21 C 170.000							
01/08/21	1.000	949.32	01/06/21	720.66	...	228.66	Option sale
ETSY INC ETSY Jun 11 2021 172.5 Call / CUSIP: / Symbol: ETSY 06/11/21 C 172.500							
06/09/21	2.000	298.67	Various	1,001.32	...	-702.65	Option sale
ETSY INC ETSY Jun 11 2021 175.0 Call / CUSIP: / Symbol: ETSY 06/11/21 C 175.000							
06/09/21	1.000	89.34	06/03/21	260.66	...	-171.32	Option sale
ETSY INC ETSY Jul 09 2021 197.5 Call / CUSIP: / Symbol: ETSY 07/09/21 C 197.500							
07/09/21	1.000	7.34	07/09/21	30.66	...	-23.32	Option sale
ETSY INC ETSY Jul 16 2021 200.0 Call / CUSIP: / Symbol: ETSY 07/16/21 C 200.000							
07/12/21	2.000	318.67	07/09/21	541.33	...	-222.66	Option sale

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ETSY INC ETSY Aug 27 2021 200.0 Call / CUSIP: / Symbol: ETSY 08/27/21 C 200.000							
08/19/21	1.000	379.34	08/18/21	350.66	...	28.68	Option sale
ETSY INC ETSY Sep 10 2021 220.0 Call / CUSIP: / Symbol: ETSY 09/10/21 C 220.000							
09/03/21	3.000	1,354.00	09/02/21	1,131.98	...	222.02	Option sale
EXPEDIA GROUP INC EXPE Nov 19 2021 192.5 Call / CUSIP: / Symbol: EXPE 11/19/21 C 192.500							
11/18/21	1.000	2.34	11/15/21	19.66	...	-17.32	Option sale
FACEBOOK INC FB Jul 09 2021 352.5 Call / CUSIP: / Symbol: FB 07/09/21 C 352.500							
07/06/21	1.000	699.34	07/02/21	470.66	...	228.68	Option sale
FACEBOOK INC FB Jul 09 2021 357.5 Call / CUSIP: / Symbol: FB 07/09/21 C 357.500							
07/01/21	1.000	197.34	06/30/21	187.66	...	9.68	Option sale
FEDEX CORP FDX Jul 16 2021 300.0 Call / CUSIP: / Symbol: FDX 07/16/21 C 300.000							
07/13/21	1.000	319.34	07/12/21	334.66	...	-15.32	Option sale
FEDEX CORP FDX Sep 17 2021 257.5 Put / CUSIP: / Symbol: FDX 09/17/21 P 257.500							
09/15/21	1.000	236.34	09/15/21	225.66	...	10.68	Option sale
FOOT LOCKER INC FL Oct 15 2021 55.0 Call / CUSIP: / Symbol: FL 10/15/21 C 55.000							
09/20/21	5.000	246.68	09/17/21	218.30	...	28.38	Option sale
FUBOTV INC FUBO May 07 2021 25.0 Call / CUSIP: / Symbol: FUBO 05/07/21 C 25.000							
04/27/21	2.000	124.67	04/27/21	89.99	...	34.68	Option sale
04/27/21	3.000	175.00	04/26/21	178.99	2.66 W	-1.33	Option sale
<b>Security total:</b>		<b>299.67</b>		<b>268.98</b>	<b>2.66 W</b>	<b>33.35</b>	
FUTU HOLDINGS LIMITED FUTU Aug 27 2021 94.0 Call / CUSIP: / Symbol: FUTU 08/27/21 C 94.000							
08/18/21	1.000	804.34	08/18/21	360.66	...	443.68	Option sale
FUTU HOLDINGS LIMITED FUTU Nov 12 2021 85.0 Call / CUSIP: / Symbol: FUTU 11/12/21 C 85.000							
10/21/21	1.000	199.34	10/15/21	186.66	...	12.68	Option sale
GENERAL MOTORS CO GM Jun 18 2021 55.0 Call / CUSIP: / Symbol: GM 06/18/21 C 55.000							
05/17/21	1.000	261.34	05/13/21	260.66	...	0.68	Option sale

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GOLDMAN SACHS GROUP INC GS Feb 26 2021 310.0 Call / CUSIP: / Symbol: GS 02/26/21 C 310.000							
02/17/21	1.000	799.32	02/16/21	680.66	...	118.66	Option sale
GOLDMAN SACHS GROUP INC GS Jul 23 2021 380.0 Call / CUSIP: / Symbol: GS 07/23/21 C 380.000							
07/16/21	1.000	148.34	07/14/21	460.66	...	-312.32	Option sale
JD.COM INC JD Sep 03 2021 77.0 Call / CUSIP: / Symbol: JD 09/03/21 C 77.000							
08/30/21	2.000	258.68	08/26/21	376.32	...	-117.64	Option sale
LITHIUM AMERICAS CORP LAC Oct 15 2021 25.0 Call / CUSIP: / Symbol: LAC 10/15/21 C 25.000							
09/21/21	2.000	148.68	09/17/21	311.32	...	-162.64	Option sale
LUCID GROUP INC LCID Oct 01 2021 30.0 Call / CUSIP: / Symbol: LCID 10/01/21 C 30.000							
09/28/21	11.000	212.71	Various	270.27	...	-57.56	Option sale
LOWE'S COMPANIES INC LOW May 14 2021 215.0 Call / CUSIP: / Symbol: LOW 05/14/21 C 215.000							
05/10/21	4.000	709.33	05/10/21	390.66	...	318.67	Option sale
LOWE'S COMPANIES INC LOW Oct 22 2021 220.0 Call / CUSIP: / Symbol: LOW 10/22/21 C 220.000							
10/14/21	1.000	59.34	10/13/21	30.66	...	28.68	Option sale
LULULEMON ATHLETICA INC LULU Jan 22 2021 357.5 Call / CUSIP: / Symbol: LULU 01/22/21 C 357.500							
01/14/21	2.000	1,498.64	01/13/21	1,181.33	...	317.31	Option sale
LULULEMON ATHLETICA INC LULU Jul 02 2021 370.0 Call / CUSIP: / Symbol: LULU 07/02/21 C 370.000							
06/25/21	1.000	509.34	06/24/21	295.66	...	213.68	Option sale
LULULEMON ATHLETICA INC LULU Jul 02 2021 372.5 Call / CUSIP: / Symbol: LULU 07/02/21 C 372.500							
06/29/21	1.000	152.34	06/28/21	362.98	...	-210.64	Option sale
06/29/21	1.000	156.34	06/25/21	325.66	169.32 W	0.00	Option sale
	<b>Security total:</b>	<b>308.68</b>		<b>688.64</b>	<b>169.32 W</b>	<b>-210.64</b>	
LULULEMON ATHLETICA INC LULU Jul 16 2021 380.0 Call / CUSIP: / Symbol: LULU 07/16/21 C 380.000							
07/09/21	1.000	349.34	07/08/21	335.66	...	13.68	Option sale

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MCDONALD'S CORPORATION MCD Apr 09 2021 225.0 Call / CUSIP: / Symbol: MCD 04/09/21 C 225.000							
03/23/21	1.000	394.34	03/23/21	330.67	...	63.67	Option sale
03/24/21	1.000	429.34	03/23/21	330.66	...	98.68	Option sale
	<b>Security total:</b>	<b>823.68</b>		<b>661.33</b>	...	<b>162.35</b>	
MCDONALD'S CORPORATION MCD Apr 30 2021 232.5 Call / CUSIP: / Symbol: MCD 04/30/21 C 232.500							
04/26/21	2.000	642.67	04/26/21	826.32	...	-183.65	Option sale
MGM RESORTS INTERNATIONAL MGM Jun 18 2021 45.0 Call / CUSIP: / Symbol: MGM 06/18/21 C 45.000							
06/10/21	4.000	85.33	06/09/21	241.65	...	-156.32	Option sale
MODERNA INC MRNA Feb 26 2021 160.0 Call / CUSIP: / Symbol: MRNA 02/26/21 C 160.000							
02/03/21	1.000	1,521.31	02/02/21	1,015.66	...	505.65	Option sale
MODERNA INC MRNA Apr 30 2021 180.0 Call / CUSIP: / Symbol: MRNA 04/30/21 C 180.000							
04/27/21	1.000	599.34	04/26/21	615.66	...	-16.32	Option sale
MODERNA INC MRNA May 21 2021 165.0 Call / CUSIP: / Symbol: MRNA 05/21/21 C 165.000							
05/21/21	5.000	61.67	Various	876.31	...	-814.64	Option sale
MODERNA INC MRNA Jun 18 2021 210.0 Call / CUSIP: / Symbol: MRNA 06/18/21 C 210.000							
06/14/21	1.000	358.34	06/14/21	500.66	...	-142.32	Option sale
MODERNA INC MRNA Oct 22 2021 490.0 Call / CUSIP: / Symbol: MRNA 10/22/21 C 490.000							
10/12/21	8.000	50.70	Various	384.28	...	-333.58	Option sale
MARVELL TECHNOLOGY INC MRVL Jan 22 2021 51.0 Call / CUSIP: / Symbol: MRVL 01/22/21 C 51.000							
01/15/21	10.000	1,193.31	01/14/21	1,436.64	...	-243.33	Option sale
MICROSOFT CORP MSFT Jan 29 2021 225.0 Call / CUSIP: / Symbol: MSFT 01/29/21 C 225.000							
01/22/21	3.000	1,947.96	01/20/21	1,405.99	...	541.97	Option sale
MICROSOFT CORP MSFT Jul 16 2021 280.0 Call / CUSIP: / Symbol: MSFT 07/16/21 C 280.000							
07/08/21	2.000	380.67	07/07/21	457.33	...	-76.66	Option sale
MICROSOFT CORP MSFT Sep 03 2021 305.0 Call / CUSIP: / Symbol: MSFT 09/03/21 C 305.000							
08/30/21	5.000	766.68	Various	1,051.30	...	-284.62	Option sale

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MICROSOFT CORP MSFT Sep 24 2021 305.0 Call / CUSIP: / Symbol: MSFT 09/24/21 C 305.000							
09/16/21	6.000	1,676.01	09/16/21	1,233.96	...	442.05	Option sale
MICRON TECHNOLOGY INC MU Feb 19 2021 80.0 Call / CUSIP: / Symbol: MU 02/19/21 C 80.000							
02/08/21	5.000	2,121.62	02/03/21	1,388.32	...	733.30	Option sale
MICROVISION INC WASHINGTON MVIS Jun 18 2021 18.0 Call / CUSIP: / Symbol: MVIS 06/18/21 C 18.000							
06/03/21	1.000	259.34	06/02/21	300.66	...	-41.32	Option sale
CLOUDFLARE INC NET Jun 25 2021 93.0 Call / CUSIP: / Symbol: NET 06/25/21 C 93.000							
06/15/21	1.000	366.34	06/15/21	340.66	...	25.68	Option sale
NETFLIX INC NFLX Mar 26 2021 530.0 Call / CUSIP: / Symbol: NFLX 03/26/21 C 530.000							
03/25/21	1.000	43.34	03/24/21	480.66	...	-437.32	Option sale
NETFLIX INC NFLX Oct 29 2021 690.0 Call / CUSIP: / Symbol: NFLX 10/29/21 C 690.000							
10/29/21	1.000	14.34	10/26/21	203.66	...	-189.32	Option sale
NIKE INC NKE Mar 05 2021 145.0 Call / CUSIP: / Symbol: NKE 03/05/21 C 145.000							
03/05/21	12.000	0.00	Various	1,079.98	...	-1,079.98	Option expiration
NOVAVAX INC NVAX Sep 03 2021 250.0 Call / CUSIP: / Symbol: NVAX 09/03/21 C 250.000							
09/01/21	1.000	449.34	08/31/21	310.66	...	138.68	Option sale
NOVAVAX INC NVAX Sep 10 2021 290.0 Call / CUSIP: / Symbol: NVAX 09/10/21 C 290.000							
09/07/21	3.000	1,078.00	09/07/21	1,026.98	...	51.02	Option sale
NVIDIA CORP NVDA Jun 18 2021 765.0 Call / CUSIP: / Symbol: NVDA 06/18/21 C 765.000							
06/18/21	1.000	792.34	06/18/21	530.66	...	261.68	Option sale
NVIDIA CORP NVDA Jun 25 2021 780.0 Call / CUSIP: / Symbol: NVDA 06/25/21 C 780.000							
06/25/21	1.000	100.34	06/24/21	550.66	...	-450.32	Option sale
NVIDIA CORP NVDA Aug 27 2021 195.0 Call / CUSIP: / Symbol: NVDA 08/27/21 C 195.000							
08/19/21	1.000	579.34	08/19/21	290.66	...	288.68	Option sale
NVIDIA CORP NVDA Aug 27 2021 206.25 Call / CUSIP: / Symbol: NVDA 08/27/21 C 206.250							
08/20/21	2.000	808.68	08/19/21	696.32	...	112.36	Option sale

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TD Ameritrade Clearing, Inc.

Account 279849957

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

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NVIDIA CORP NVDA Sep 03 2021 220.0 Call / CUSIP: / Symbol: NVDA 09/03/21 C 220.000							
08/23/21	5.000	1,996.67	08/20/21	733.31	...	1,263.36	Option sale
NVIDIA CORP NVDA Sep 03 2021 225.0 Call / CUSIP: / Symbol: NVDA 09/03/21 C 225.000							
08/27/21	1.000	499.34	08/25/21	486.66	...	12.68	Option sale
ANAPLAN INC PLAN Jun 18 2021 50.0 Call / CUSIP: / Symbol: PLAN 06/18/21 C 50.000							
06/10/21	2.000	344.67	06/09/21	269.32	...	75.35	Option sale
DAVE & BUSTER'S ENTERTAINMENT PLAY May 21 2021 45.0 Call / CUSIP: / Symbol: PLAY 05/21/21 C 45.000							
05/10/21	1.000	109.34	05/07/21	176.66	...	-67.32	Option sale
PALANTIR TECH INC PLTR Apr 30 2021 24.5 Call / CUSIP: / Symbol: PLTR 04/30/21 C 24.500							
04/29/21	1.000	2.34	04/27/21	21.66	...	-19.32	Option sale
PALANTIR TECH INC PLTR May 07 2021 28.5 Call / CUSIP: / Symbol: PLTR 05/07/21 C 28.500							
04/23/21	25.000	133.35	Various	497.59	...	-364.24	Option sale
PALANTIR TECH INC PLTR May 21 2021 20.0 Call / CUSIP: / Symbol: PLTR 05/21/21 C 20.000							
05/13/21	1.000	35.34	05/11/21	90.66	...	-55.32	Option sale
PALANTIR TECH INC PLTR May 21 2021 28.0 Call / CUSIP: / Symbol: PLTR 05/21/21 C 28.000							
05/06/21	11.000	113.68	Various	320.29	...	-206.61	Option sale
PURPLE INNOVATION INC PRPL Jun 18 2021 35.0 Call / CUSIP: / Symbol: PRPL 06/18/21 C 35.000							
05/18/21	1.000	148.34	05/12/21	140.66	...	7.68	Option sale
05/21/21	1.000	49.34	05/14/21	150.66	...	-101.32	Option sale
	<b>Security total:</b>	<b>197.68</b>		<b>291.32</b>	...	<b>-93.64</b>	
PELTON INTERACTIVE INC PTON Oct 01 2021 95.0 Put / CUSIP: / Symbol: PTON 10/01/21 P 95.000							
09/22/21	1.000	434.34	09/22/21	350.66	...	83.68	Option sale
PAYPAL HOLDINGS INC PYPL Jun 25 2021 287.5 Call / CUSIP: / Symbol: PYPL 06/25/21 C 287.500							
06/22/21	1.000	240.34	06/21/21	215.66	...	24.68	Option sale
PAYPAL HOLDINGS INC PYPL Jul 02 2021 285.0 Call / CUSIP: / Symbol: PYPL 07/02/21 C 285.000							
06/22/21	1.000	636.34	06/21/21	555.66	...	80.68	Option sale

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PAYPAL HOLDINGS INC PYPL Aug 27 2021 275.0 Call / CUSIP: / Symbol: PYPL 08/27/21 C 275.000							
08/19/21	1.000	269.34	08/18/21	290.66	...	-21.32	Option sale
PAYPAL HOLDINGS INC PYPL Sep 10 2021 290.0 Call / CUSIP: / Symbol: PYPL 09/10/21 C 290.000							
09/01/21	1.000	309.34	08/31/21	381.98	...	-72.64	Option sale
09/01/21	1.000	319.34	08/31/21	400.66	81.32 W	0.00	Option sale
	<b>Security total:</b>	<b>628.68</b>		<b>782.64</b>	<b>81.32 W</b>	<b>-72.64</b>	
INVESCO QQQ TRUST QQQ May 10 2021 330.0 Call / CUSIP: / Symbol: QQQ 05/10/21 C 330.000							
05/10/21	3.000	10.00	05/10/21	58.99	...	-48.99	Option sale
INVESCO QQQ TRUST QQQ Sep 27 2021 362.0 Put / CUSIP: / Symbol: QQQ 09/27/21 P 362.000							
09/21/21	1.000	250.34	09/21/21	235.66	...	14.68	Option sale
INVESCO QQQ TRUST QQQ Sep 30 2021 361.0 Put / CUSIP: / Symbol: QQQ 09/30/21 P 361.000							
09/22/21	1.000	234.34	09/22/21	206.66	...	27.68	Option sale
INVESCO QQQ TRUST QQQ Sep 30 2021 365.0 Put / CUSIP: / Symbol: QQQ 09/30/21 P 365.000							
09/27/21	5.000	796.68	Various	983.30	...	-186.62	Option sale
ROBLOX CORP RBLX May 07 2021 67.0 Call / CUSIP: / Symbol: RBLX 05/07/21 C 67.000							
05/07/21	1.000	25.34	05/07/21	64.66	...	-39.32	Option sale
ROBLOX CORP RBLX May 07 2021 75.0 Call / CUSIP: / Symbol: RBLX 05/07/21 C 75.000							
04/28/21	3.000	958.00	04/27/21	831.98	...	126.02	Option sale
04/29/21	2.000	318.67	04/27/21	421.32	...	-102.65	Option sale
	<b>Security total:</b>	<b>1,276.67</b>		<b>1,253.30</b>	...	<b>23.37</b>	
ROBLOX CORP RBLX May 28 2021 80.0 Call / CUSIP: / Symbol: RBLX 05/28/21 C 80.000							
05/17/21	2.000	458.67	05/17/21	317.33	...	141.34	Option sale
RIOT BLOCKCHAIN INC RIOT Jun 04 2021 30.0 Call / CUSIP: / Symbol: RIOT 06/04/21 C 30.000							
06/03/21	1.000	42.34	06/02/21	88.66	...	-46.32	Option sale
REALNETWORKS INC RNWK Jul 16 2021 2.5 Call / CUSIP: / Symbol: RNWK 07/16/21 C 2.500							
06/11/21	3.000	118.00	06/10/21	121.99	...	-3.99	Option sale

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2021 1099-B\* OMB No. 1545-0715

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ROKU INC ROKU Sep 10 2021 380.0 Call / CUSIP: / Symbol: ROKU 09/10/21 C 380.000							
09/03/21	1.000	99.34	09/02/21	500.66	...	-401.32	Option sale
SEA LIMITED SE Jan 15 2021 215.0 Call / CUSIP: / Symbol: SE 01/15/21 C 215.000							
01/12/21	2.000	998.65	01/08/21	1,041.33	...	-42.68	Option sale
SKILLZ INC SKLZ Apr 30 2021 19.0 Call / CUSIP: / Symbol: SKLZ 04/30/21 C 19.000							
04/29/21	3.000	37.00	Various	318.99	...	-281.99	Option sale
SNAP INC SNAP Jun 04 2021 57.0 Call / CUSIP: / Symbol: SNAP 06/04/21 C 57.000							
05/20/21	1.000	224.34	05/18/21	164.66	...	59.68	Option sale
SNAP INC SNAP Jun 25 2021 64.5 Call / CUSIP: / Symbol: SNAP 06/25/21 C 64.500							
06/23/21	1.000	139.34	06/23/21	96.66	...	42.68	Option sale
SNAP INC SNAP Sep 03 2021 75.5 Call / CUSIP: / Symbol: SNAP 09/03/21 C 75.500							
08/31/21	1.000	189.34	08/31/21	125.66	...	63.68	Option sale
SNAP INC SNAP Sep 17 2021 75.0 Call / CUSIP: / Symbol: SNAP 09/17/21 C 75.000							
09/10/21	7.000	1,535.35	Various	1,268.62	...	266.73	Option sale
SNOWFLAKE INC SNOW May 21 2021 225.0 Call / CUSIP: / Symbol: SNOW 05/21/21 C 225.000							
05/19/21	1.000	609.34	05/18/21	435.66	...	173.68	Option sale
SNOWFLAKE INC SNOW Jul 16 2021 267.5 Call / CUSIP: / Symbol: SNOW 07/16/21 C 267.500							
07/16/21	1.000	4.34	07/14/21	305.66	...	-301.32	Option sale
SOFI TECHNOLOGIES INC SOFI Aug 13 2021 21.0 Call / CUSIP: / Symbol: SOFI 08/13/21 C 21.000							
08/12/21	1.000	14.34	07/22/21	34.66	...	-20.32	Option sale
SONOS INC SONO May 21 2021 40.0 Call / CUSIP: / Symbol: SONO 05/21/21 C 40.000							
05/14/21	13.000	121.34	05/13/21	243.63	...	-122.29	Option sale
SONOS INC SONO Sep 03 2021 41.5 Call / CUSIP: / Symbol: SONO 09/03/21 C 41.500							
08/30/21	7.000	135.36	Various	467.62	...	-332.26	Option sale

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SPOTIFY TECHNOLOGY S.A. SPOT Apr 01 2021 280.0 Call / CUSIP: / Symbol: SPOT 04/01/21 C 280.000							
03/30/21	3.000	14.94	Various	755.26	...	-740.32	Option sale
03/30/21	1.000	7.39	03/23/21	600.66	593.27 W	0.00	Option sale
	<b>Security total:</b>	<b>22.33</b>		<b>1,355.92</b>	<b>593.27 W</b>	<b>-740.32</b>	
SPOTIFY TECHNOLOGY S.A. SPOT Aug 20 2021 215.0 Call / CUSIP: / Symbol: SPOT 08/20/21 C 215.000							
08/20/21	1.000	134.34	08/20/21	99.66	...	34.68	Option sale
SPECTRUM PHARMACEUTICALS INC SPPI Jun 18 2021 4.0 Call / CUSIP: / Symbol: SPPI 06/18/21 C 4.000							
06/01/21	4.000	85.33	Various	68.65	...	16.68	Option sale
SPECTRUM PHARMACEUTICALS INC SPPI Jun 18 2021 6.0 Call / CUSIP: / Symbol: SPPI 06/18/21 C 6.000							
06/10/21	15.000	65.01	Various	158.95	...	-93.94	Option sale
SPECTRUM PHARMACEUTICALS INC SPPI Jul 16 2021 5.0 Call / CUSIP: / Symbol: SPPI 07/16/21 C 5.000							
06/09/21	3.000	120.01	Various	67.99	...	52.02	Total of 2 transactions
SUNPOWER CORP SPWR Jan 29 2021 36.0 Call / CUSIP: / Symbol: SPWR 01/29/21 C 36.000							
01/19/21	4.000	1,397.30	01/19/21	1,130.66	...	266.64	Option sale
SPDR S&P500 ETF TRUST SPY May 07 2021 423.0 Call / CUSIP: / Symbol: SPY 05/07/21 C 423.000							
05/03/21	4.000	221.33	04/30/21	327.65	...	-106.32	Option sale
SPDR S&P500 ETF TRUST SPY May 14 2021 417.0 Call / CUSIP: / Symbol: SPY 05/14/21 C 417.000							
05/11/21	1.000	155.34	05/11/21	120.66	...	34.68	Option sale
SPDR S&P500 ETF TRUST SPY Jun 25 2021 425.0 Call / CUSIP: / Symbol: SPY 06/25/21 C 425.000							
06/23/21	2.000	72.67	06/23/21	122.98	...	-50.31	Option sale
06/23/21	4.000	181.33	06/23/21	245.65	40.66 W	-23.66	Option sale
	<b>Security total:</b>	<b>254.00</b>		<b>368.63</b>	<b>40.66 W</b>	<b>-73.97</b>	
SPDR S&P500 ETF TRUST SPY Jul 23 2021 436.0 Call / CUSIP: / Symbol: SPY 07/23/21 C 436.000							
07/19/21	1.000	24.34	07/16/21	161.66	...	-137.32	Option sale
SPDR S&P500 ETF TRUST SPY Aug 20 2021 440.0 Call / CUSIP: / Symbol: SPY 08/20/21 C 440.000							
08/19/21	1.000	198.34	08/19/21	133.66	...	64.68	Option sale

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SPDR S&P500 ETF TRUST SPY Oct 01 2021 450.0 Call / CUSIP: / Symbol: SPY 10/01/21 C 450.000							
09/21/21	7.000	324.36	09/17/21	1,084.63	...	-760.27	Option sale
SHUTTERSTOCK INC SSTK Oct 15 2021 120.0 Call / CUSIP: / Symbol: SSTK 10/15/21 C 120.000							
10/07/21	1.000	184.34	09/28/21	205.66	...	-21.32	Option sale
SKYWORKS SOLUTIONS INC SWKS Jul 09 2021 195.0 Call / CUSIP: / Symbol: SWKS 07/09/21 C 195.000							
07/02/21	3.000	448.00	Various	331.99	...	116.01	Option sale
SKYWORKS SOLUTIONS INC SWKS Jul 16 2021 190.0 Call / CUSIP: / Symbol: SWKS 07/16/21 C 190.000							
07/09/21	1.000	184.34	07/08/21	125.66	...	58.68	Option sale
TENCENT MUSIC ENTERTAINMENT GR TME May 21 2021 15.5 Call / CUSIP: / Symbol: TME 05/21/21 C 15.500							
05/17/21	1.000	51.34	05/10/21	64.66	...	-13.32	Option sale
T-MOBILE US INC TMUS May 14 2021 135.0 Call / CUSIP: / Symbol: TMUS 05/14/21 C 135.000							
05/06/21	2.000	676.67	05/06/21	536.32	...	140.35	Option sale
TESLA INC TSLA Mar 26 2021 700.0 Call / CUSIP: / Symbol: TSLA 03/26/21 C 700.000							
03/25/21	1.000	70.34	03/23/21	740.66	...	-670.32	Option sale
TWILIO INC TWLO Feb 05 2021 372.5 Call / CUSIP: / Symbol: TWLO 02/05/21 C 372.500							
01/29/21	2.000	1,338.64	01/28/21	2,061.33	...	-722.69	Option sale
TWILIO INC TWLO Apr 01 2021 375.0 Call / CUSIP: / Symbol: TWLO 04/01/21 C 375.000							
03/29/21	2.000	48.67	Various	1,741.32	...	-1,692.65	Option sale
TWILIO INC TWLO Sep 24 2021 320.0 Put / CUSIP: / Symbol: TWLO 09/24/21 P 320.000							
09/15/21	1.000	301.34	09/14/21	275.66	...	25.68	Option sale
TWITTER INC TWTR Sep 03 2021 65.0 Call / CUSIP: / Symbol: TWTR 09/03/21 C 65.000							
08/30/21	8.000	634.69	Various	856.28	...	-221.59	Option sale
TWITTER INC TWTR Oct 15 2021 63.0 Call / CUSIP: / Symbol: TWTR 10/15/21 C 63.000							
10/14/21	1.000	64.34	09/28/21	189.66	...	-125.32	Option sale
UBER TECHNOLOGIES INC UBER Apr 30 2021 61.5 Call / CUSIP: / Symbol: UBER 04/30/21 C 61.500							
04/22/21	2.000	38.67	04/15/21	297.33	...	-258.66	Option sale

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UNITED PARCEL SERVICE INC UPS May 14 2021 195.0 Call / CUSIP: / Symbol: UPS 05/14/21 C 195.000							
04/28/21	2.000	878.67	04/27/21	681.33	...	197.34	Option sale
URBAN OUTFITTERS INC URBN May 21 2021 39.0 Call / CUSIP: / Symbol: URBN 05/21/21 C 39.000							
05/10/21	1.000	114.34	05/07/21	150.66	...	-36.32	Option sale
UNITED RENTALS INC URI Feb 26 2021 280.0 Call / CUSIP: / Symbol: URI 02/26/21 C 280.000							
02/19/21	1.000	932.32	02/17/21	740.66	...	191.66	Option sale
UNITED RENTALS INC URI May 07 2021 342.5 Call / CUSIP: / Symbol: URI 05/07/21 C 342.500							
05/07/21	1.000	322.34	05/07/21	200.66	...	121.68	Option sale
UNITED RENTALS INC URI Sep 10 2021 337.5 Put / CUSIP: / Symbol: URI 09/10/21 P 337.500							
09/08/21	2.000	798.68	09/08/21	601.32	...	197.36	Option sale
VAXART INC VXRT Oct 15 2021 10.0 Call / CUSIP: / Symbol: VXRT 10/15/21 C 10.000							
09/15/21	4.000	113.34	09/13/21	242.65	32.33 W	-96.98	Option sale
09/16/21	1.000	30.34	09/13/21	77.99	...	-47.65	Option sale
	<b>Security total:</b>	<b>143.68</b>		<b>320.64</b>	<b>32.33 W</b>	<b>-144.63</b>	
VERIZON COMMUNICATIONS VZ Apr 23 2021 60.0 Call / CUSIP: / Symbol: VZ 04/23/21 C 60.000							
04/22/21	14.000	18.68	04/19/21	226.30	...	-207.62	Option sale
WORKDAY INC WDAY Jul 02 2021 242.5 Call / CUSIP: / Symbol: WDAY 07/02/21 C 242.500							
06/23/21	1.000	238.34	06/22/21	255.66	...	-17.32	Option sale
WORKDAY INC WDAY Sep 10 2021 280.0 Call / CUSIP: / Symbol: WDAY 09/10/21 C 280.000							
09/01/21	1.000	159.34	09/01/21	289.99	...	-130.65	Option sale
09/01/21	5.000	746.68	08/31/21	1,254.30	114.33 W	-393.29	Option sale
	<b>Security total:</b>	<b>906.02</b>		<b>1,544.29</b>	<b>114.33 W</b>	<b>-523.94</b>	
WESTERN DIGITAL CORP WDC Sep 10 2021 60.0 Call / CUSIP: / Symbol: WDC 09/10/21 C 60.000							
09/02/21	2.000	478.68	09/02/21	365.32	...	113.36	Option sale
WINGSTOP INC WING Jan 15 2021 135.0 Call / CUSIP: / Symbol: WING 01/15/21 C 135.000							
01/06/21	1.000	659.33	01/05/21	460.66	...	198.67	Option sale

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 279849957

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

01/20/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXTLOGIC INC WISH Jun 18 2021 11.0 Call / CUSIP: / Symbol: WISH 06/18/21 C 11.000							
06/16/21	1.000	49.34	06/15/21	93.66	...	-44.32	Option sale
WALMART INC WMT Feb 26 2021 147.0 Call / CUSIP: / Symbol: WMT 02/26/21 C 147.000							
02/02/21	4.000	1,001.31	01/29/21	1,322.66	...	-321.35	Option sale
WYNN RESORTS LTD WYNN Jun 11 2021 134.0 Call / CUSIP: / Symbol: WYNN 06/11/21 C 134.000							
06/02/21	1.000	339.34	06/02/21	293.66	...	45.68	Option sale
SELECT SECTOR SPDR TRUST XLE Jun 18 2021 56.5 Call / CUSIP: / Symbol: XLE 06/18/21 C 56.500							
06/16/21	1.000	26.34	06/15/21	42.66	...	-16.32	Option sale
SELECT SECTOR SPDR TRUST XLF Aug 27 2021 39.5 Call / CUSIP: / Symbol: XLF 08/27/21 C 39.500							
08/16/21	1.000	9.34	08/13/21	15.66	...	-6.32	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Aug 27 2021 340.0 Call / CUSIP: / Symbol: ZM 08/27/21 C 340.000							
08/27/21	1.000	49.34	08/27/21	390.66	...	-341.32	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Aug 27 2021 345.0 Call / CUSIP: / Symbol: ZM 08/27/21 C 345.000							
08/27/21	1.000	4.34	08/27/21	10.66	...	-6.32	Option sale
ZSCALER INC ZS Sep 03 2021 275.0 Call / CUSIP: / Symbol: ZS 09/03/21 C 275.000							
08/30/21	1.000	594.34	08/30/21	485.66	...	108.68	Option sale
<b>Totals :</b>		<b>86,206.85</b>		<b>99,154.66</b>	<b>1,560.18 W</b>	<b>-11,387.63</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 279849957

**Detail for Interest Income**

2021

01/20/2022

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	04/30/21	0.01	Interest- money mkt	
		09/30/21	0.01	Interest- money mkt	
			0.02	Total Interest	
			<b>0.02</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 279849957

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2021

01/20/2022

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ISHARES SILVER TRUST SLV May 21 2021 25.0	SLV 05/21/21 C 25.000	05/11/21	1.00	-18.32	Realized profit/loss	

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**-18.32 Total Realized profit/loss**


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**-18.32 Total Aggregate profit or (loss) on contracts**

TD Ameritrade Clearing, Inc.

Account 279849957

**Fees and Expenses**

2021

01/20/2022

*This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
REORG FEE 42371F109		02/25/21	-38.00	Miscellaneous fee	
REORG FEE 98416T108		04/06/21	-38.00	Miscellaneous fee	
			<b>-76.00</b>	<b>Total Miscellaneous fee</b>	

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

**Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

**Lines 15-17.** State tax withheld reporting lines.

**Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

#### Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)



**Instructions for Recipient**

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2021.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2020.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2021. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2021 in 2022.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2021 Form 6781.

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