

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 135699072</b>	Statement Date: 02/11/2022 Document ID: 164U 68F 2WCX	2021
	Harsha Ganta 10301 Trotters Pointe Drive 103 Louisville, KY 40241  RECIPIENT'S TIN: XXX-XX-2835		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      61.44</p> <p>1b- Qualified dividends      61.44</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      1.09</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	646,710.32	659,618.59	0.00	16,553.68	3,645.41
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>646,710.32</b>	<b>659,618.59</b>	<b>0.00</b>	<b>16,553.68</b>	<b>3,645.41</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>646,710.32</b>	<b>659,618.59</b>	<b>0.00</b>	<b>16,553.68</b>	<b>3,645.41</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 135699072

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/17/2021 CALL \$150.00 / CUSIP: / Symbol: 08/02/21	1.000	296.98	07/30/21	292.00	...	4.98	Option sale to close-call <sup>25</sup>
AAPL 09/17/2021 CALL \$155.00 / CUSIP: / Symbol: 08/03/21	1.000	-0.02	08/02/21	0.00	...	-0.02	Short sale closed- call <sup>25</sup> Option written: 07/30/21
AAPL 12/31/2021 PUT \$177.50 / CUSIP: / Symbol: 12/28/21	2.000	185.98	12/28/21	150.00	...	35.98	Option sale to close-put <sup>25</sup>
AMC 06/04/2021 CALL \$20.00 / CUSIP: / Symbol: 05/27/21	2.000	491.98	05/26/21	582.00	...	-90.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 CALL \$30.00 / CUSIP: / Symbol:							
<i>21 transactions for 05/27/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	209.98	05/27/21	180.00	...	29.98	1 of 21 - Option sale to close-call 25
	1.000	209.99	05/27/21	180.00	...	29.99	2 of 21 - Option sale to close-call 25
	1.000	210.00	05/27/21	180.00	...	30.00	3 of 21 - Option sale to close-call 25
	1.000	210.00	05/27/21	180.00	...	30.00	4 of 21 - Option sale to close-call 25
	1.000	210.00	05/27/21	180.00	...	30.00	5 of 21 - Option sale to close-call 25
	1.000	210.00	05/27/21	180.00	...	30.00	6 of 21 - Option sale to close-call 25
	1.000	210.00	05/27/21	180.00	...	30.00	7 of 21 - Option sale to close-call 25
	2.000	419.99	05/27/21	360.00	...	59.99	8 of 21 - Option sale to close-call 25
	2.000	419.99	05/27/21	360.00	...	59.99	9 of 21 - Option sale to close-call 25
	2.000	419.99	05/27/21	360.00	...	59.99	10 of 21 - Option sale to close-call 25
	2.000	419.99	05/27/21	360.00	...	59.99	11 of 21 - Option sale to close-call 25
	3.000	629.99	05/27/21	540.00	...	89.99	12 of 21 - Option sale to close-call 25
	1.000	334.98	05/27/21	222.00	...	112.98	13 of 21 - Option sale to close-call 25
	1.000	334.98	05/27/21	222.00	...	112.98	14 of 21 - Option sale to close-call 25
	1.000	334.98	05/27/21	222.00	...	112.98	15 of 21 - Option sale to close-call 25
	4.000	839.98	05/27/21	720.00	...	119.98	16 of 21 - Option sale to close-call 25
	4.000	839.99	05/27/21	720.00	...	119.99	17 of 21 - Option sale to close-call 25

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 06/04/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	4.000	839.99	05/27/21	720.00	...	119.99	18 of 21 - Option sale to close-call <sup>25</sup>
	2.000	669.99	05/27/21	444.00	...	225.99	19 of 21 - Option sale to close-call <sup>25</sup>
	3.000	1,007.98	05/27/21	666.00	...	341.98	20 of 21 - Option sale to close-call <sup>25</sup>
	24.000	8,039.91	05/27/21	5,328.00	...	2,711.91	21 of 21 - Option sale to close-call <sup>25</sup>
05/27/21	62.000	17,022.70	Various	12,504.00	...	4,518.70	Total of 21 transactions
AMC 06/04/2021 CALL \$39.00 / CUSIP: / Symbol:							
05/28/21	3.000	2,399.97	05/27/21	1,620.00	...	779.97	Option sale to close-call <sup>25</sup>
AMC 06/11/2021 CALL \$29.00 / CUSIP: / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	28.000	4,115.92	05/26/21	5,236.00	...	-1,120.08	1 of 2 - Option sale to close-call <sup>25</sup>
	7.000	1,028.98	05/26/21	1,400.00	...	-371.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/21	35.000	5,144.90	Various	6,636.00	...	-1,491.10	Total of 2 transactions
AMC 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
07/14/21	2.000	49.98	07/13/21	80.00	...	-30.02	Option sale to close-call <sup>25</sup>
AMC 07/30/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	13.000	2,820.95	07/19/21	2,821.00	...	-0.05	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	433.98	07/19/21	434.00	...	-0.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/19/21	15.000	3,254.93	Various	3,255.00	...	-0.07	Total of 2 transactions
AMC 07/30/2021 CALL \$50.00 / CUSIP: / Symbol:							
07/21/21	10.000	4,399.95	07/20/21	3,300.00	...	1,099.95	Option sale to close-call <sup>25</sup>
07/26/21	1.000	64.98	07/22/21	144.00	...	-79.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,464.93</b>		<b>3,444.00</b>	...	<b>1,020.93</b>	
AMC 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
08/12/21	42.000	7,139.88	08/12/21	6,468.00	...	671.88	Option sale to close-call <sup>25</sup>

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 08/20/2021 CALL \$40.00 / CUSIP: / Symbol:							
08/05/21	1.000	157.98	08/05/21	170.00	...	-12.02	Option sale to close-call <sup>25</sup>
AMC 09/10/2021 CALL \$50.00 / CUSIP: / Symbol:							
6 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	3.000	719.99	08/26/21	1,365.00	...	-645.01	1 of 6 - Option sale to close-call <sup>25</sup>
	2.000	479.99	08/26/21	910.00	...	-430.01	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	239.99	08/26/21	455.00	...	-215.01	3 of 6 - Option sale to close-call <sup>25</sup>
	1.000	239.99	08/26/21	455.00	...	-215.01	4 of 6 - Option sale to close-call <sup>25</sup>
	1.000	240.00	08/26/21	455.00	...	-215.00	5 of 6 - Option sale to close-call <sup>25</sup>
	1.000	240.00	08/26/21	455.00	...	-215.00	6 of 6 - Option sale to close-call <sup>25</sup>
08/27/21	9.000	2,159.96	Various	4,095.00	...	-1,935.04	Total of 6 transactions
AMC 09/17/2021 CALL \$145.00 / CUSIP: / Symbol:							
06/15/21	2.000	3,439.97	06/14/21	3,490.00	25.02 W	-25.01	Option sale to close-call <sup>25</sup>
06/16/21	1.000	1,419.98	06/15/21	1,830.02	...	-410.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,859.95</b>		<b>5,320.02</b>	<b>25.02 W</b>	<b>-435.05</b>	
ANY 09/17/2021 CALL \$9.00 / CUSIP: / Symbol:							
3 transactions for 08/31/21. Total proceeds and cost reported to the IRS.							
	3.000	260.98	08/31/21	180.00	...	80.98	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	260.98	08/31/21	180.00	...	80.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	347.98	08/31/21	240.00	...	107.98	3 of 3 - Option sale to close-call <sup>25</sup>
08/31/21	10.000	869.94	Various	600.00	...	269.94	Total of 3 transactions
BA 08/06/2021 CALL \$235.00 / CUSIP: / Symbol:							
5 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	08/06/21	114.00	...	-114.00	1 of 5 - Option expiration- long call
	2.000	0.00	08/06/21	38.00	...	-38.00	2 of 5 - Option expiration- long call
	2.000	0.00	08/06/21	38.00	...	-38.00	3 of 5 - Option expiration- long call
	3.000	0.00	08/06/21	12.00	...	-12.00	4 of 5 - Option expiration- long call
	1.000	0.00	08/06/21	3.00	...	-3.00	5 of 5 - Option expiration- long call
08/06/21	14.000	0.00	Various	205.00	...	-205.00	Total of 5 transactions
BABA 12/31/2021 CALL \$125.00 / CUSIP: / Symbol:							
12/31/21	1.000	46.98	12/30/21	44.00	...	2.98	Option sale to close-call <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 12/31/2021 PUT \$113.00 / CUSIP: / Symbol:							
12/31/21	1.000	0.00	12/30/21	46.00	...	-46.00	Option expiration- long put
BABA 12/31/2021 PUT \$114.00 / CUSIP: / Symbol:							
12/30/21	2.000	55.98	12/30/21	216.00	...	-160.02	Option sale to close-put <sup>25</sup>
BB 06/25/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 06/10/21. Total proceeds and cost reported to the IRS.							
	74.000	6,807.82	06/10/21	9,250.00	...	-2,442.18	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	92.00	06/10/21	142.02	...	-50.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	91.99	06/10/21	109.00	...	-17.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/10/21	76.000	6,991.81	Various	9,501.02	...	-2,509.21	Total of 3 transactions
06/10/21	1.000	91.98	06/10/21	125.00	33.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>7,083.79</b>		<b>9,626.02</b>	<b>33.02 W</b>	<b>-2,509.21</b>	
BB 07/02/2021 PUT \$11.00 / CUSIP: / Symbol:							
9 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	51.000	1,784.88	06/10/21	2,142.00	...	-357.12	1 of 9 - Option sale to close-put <sup>25</sup>
	44.000	1,539.90	06/10/21	1,848.00	...	-308.10	2 of 9 - Option sale to close-put <sup>25</sup>
	24.000	839.95	06/10/21	1,008.00	...	-168.05	3 of 9 - Option sale to close-put <sup>25</sup>
	7.000	244.98	06/10/21	343.02	...	-98.04	4 of 9 - Option sale to close-put <sup>25</sup>
	10.000	349.98	06/10/21	420.00	...	-70.02	5 of 9 - Option sale to close-put <sup>25</sup>
	5.000	174.99	06/10/21	210.00	...	-35.01	6 of 9 - Option sale to close-put <sup>25</sup>
	1.000	35.00	06/10/21	42.00	...	-7.00	7 of 9 - Option sale to close-put <sup>25</sup>
	1.000	35.00	06/10/21	42.00	...	-7.00	8 of 9 - Option sale to close-put <sup>25</sup>
	1.000	35.00	06/10/21	42.00	...	-7.00	9 of 9 - Option sale to close-put <sup>25</sup>
06/11/21	144.000	5,039.68	Various	6,097.02	...	-1,057.34	Total of 9 transactions
06/11/21	16.000	559.96	06/10/21	672.00	49.02 W	-63.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>5,599.64</b>		<b>6,769.02</b>	<b>49.02 W</b>	<b>-1,120.36</b>	
CGEN 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/11/21	1.000	9.98	02/03/21	50.00	...	-40.02	Option sale to close-call <sup>25</sup>
CLOV 06/25/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/11/21	30.000	3,899.92	06/11/21	5,550.00	...	-1,650.08	Option sale to close-call <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 135699072
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 08/20/2021 CALL \$20.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	209.98	06/23/21	185.00	...	24.98	1 of 2 - Option sale to close-call <sup>25</sup>
	15.000	3,149.95	06/23/21	2,775.00	...	374.95	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	16.000	3,359.93	Various	2,960.00	...	399.93	Total of 2 transactions
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol:							
<i>52 transactions for 08/24/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	53.99	08/24/21	58.00	4.01 W	0.00	1 of 52 - Option sale to close-call <sup>25</sup>
	2.000	107.99	08/24/21	116.00	8.01 W	0.00	2 of 52 - Option sale to close-call <sup>25</sup>
	1.000	53.99	08/24/21	62.01	8.02 W	0.00	3 of 52 - Option sale to close-call <sup>25</sup>
	1.000	53.99	08/24/21	58.00	4.01 W	0.00	4 of 52 - Option sale to close-call <sup>25</sup>
	2.000	107.98	08/24/21	116.00	8.02 W	0.00	5 of 52 - Option sale to close-call <sup>25</sup>
	7.000	377.98	08/24/21	406.00	28.02 W	0.00	6 of 52 - Option sale to close-call <sup>25</sup>
	62.000	3,347.86	08/24/21	3,596.00	248.14 W	0.00	7 of 52 - Option sale to close-call <sup>25</sup>
	1.000	54.00	08/24/21	66.02	12.02 W	0.00	8 of 52 - Option sale to close-call <sup>25</sup>
	1.000	54.00	08/24/21	62.01	8.01 W	0.00	9 of 52 - Option sale to close-call <sup>25</sup>
	2.000	108.00	08/24/21	124.01	16.01 W	0.00	10 of 52 - Option sale to close-call <sup>25</sup>
	2.000	107.99	08/24/21	124.02	16.03 W	0.00	11 of 52 - Option sale to close-call <sup>25</sup>
	7.000	377.98	08/24/21	434.02	56.04 W	0.00	12 of 52 - Option sale to close-call <sup>25</sup>
	7.000	377.98	08/24/21	406.00	28.02 W	0.00	13 of 52 - Option sale to close-call <sup>25</sup>
	1.000	54.00	08/24/21	58.00	4.00 W	0.00	14 of 52 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	4.000	215.99	08/24/21	232.00	16.01 W	0.00	15 of 52 - Option sale to close-call 25
	13.000	701.97	08/24/21	754.00	52.03 W	0.00	16 of 52 - Option sale to close-call 25
	2.000	108.00	08/24/21	124.00	16.00 W	0.00	17 of 52 - Option sale to close-call 25
	10.000	539.97	08/24/21	620.02	80.05 W	0.00	18 of 52 - Option sale to close-call 25
	4.000	215.98	08/24/21	248.01	32.03 W	0.00	19 of 52 - Option sale to close-call 25
	2.000	101.98	08/24/21	110.00	8.02 W	0.00	20 of 52 - Option sale to close-call 25
	5.000	254.98	08/24/21	275.01	20.03 W	0.00	21 of 52 - Option sale to close-call 25
	5.000	254.98	08/24/21	275.01	20.03 W	0.00	22 of 52 - Option sale to close-call 25
	1.000	51.00	08/24/21	63.02	12.02 W	0.00	23 of 52 - Option sale to close-call 25
	2.000	102.00	08/24/21	118.01	16.01 W	0.00	24 of 52 - Option sale to close-call 25
	34.000	1,733.92	08/24/21	1,870.09	136.17 W	0.00	25 of 52 - Option sale to close-call 25
	1.000	51.00	08/24/21	59.01	8.01 W	0.00	26 of 52 - Option sale to close-call 25
	2.000	102.00	08/24/21	118.03	16.03 W	0.00	27 of 52 - Option sale to close-call 25
	3.000	152.99	08/24/21	177.02	24.03 W	0.00	28 of 52 - Option sale to close-call 25
	2.000	102.00	08/24/21	118.01	16.01 W	0.00	29 of 52 - Option sale to close-call 25
	2.000	101.99	08/24/21	118.01	16.02 W	0.00	30 of 52 - Option sale to close-call 25
	4.000	203.99	08/24/21	220.01	16.02 W	0.00	31 of 52 - Option sale to close-call 25

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	51.00	08/24/21	55.00	4.00 W	0.00	32 of 52 - Option sale to close-call 25
	3.000	152.99	08/24/21	165.01	12.02 W	0.00	33 of 52 - Option sale to close-call 25
	2.000	102.00	08/24/21	110.00	8.00 W	0.00	34 of 52 - Option sale to close-call 25
	4.000	203.99	08/24/21	220.01	16.02 W	0.00	35 of 52 - Option sale to close-call 25
	8.000	407.98	08/24/21	440.02	32.04 W	0.00	36 of 52 - Option sale to close-call 25
	2.000	102.00	08/24/21	118.00	16.00 W	0.00	37 of 52 - Option sale to close-call 25
	3.000	152.99	08/24/21	165.01	12.02 W	0.00	38 of 52 - Option sale to close-call 25
	9.000	458.98	08/24/21	531.04	72.06 W	0.00	39 of 52 - Option sale to close-call 25
	1.000	51.00	08/24/21	59.01	8.01 W	0.00	40 of 52 - Option sale to close-call 25
	2.000	101.99	08/24/21	110.02	8.03 W	0.00	41 of 52 - Option sale to close-call 25
	3.000	152.99	08/24/21	165.02	12.03 W	0.00	42 of 52 - Option sale to close-call 25
	4.000	203.99	08/24/21	236.03	32.04 W	0.00	43 of 52 - Option sale to close-call 25
	2.000	101.99	08/24/21	110.01	8.02 W	0.00	44 of 52 - Option sale to close-call 25
	5.000	254.99	08/24/21	275.03	20.04 W	0.00	45 of 52 - Option sale to close-call 25
	4.000	203.99	08/24/21	220.02	16.03 W	0.00	46 of 52 - Option sale to close-call 25
	5.000	254.98	08/24/21	275.03	20.05 W	0.00	47 of 52 - Option sale to close-call 25
	2.000	101.99	08/24/21	110.01	8.02 W	0.00	48 of 52 - Option sale to close-call 25

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	3.000	152.99	08/24/21	165.02	12.03 W	0.00	49 of 52 - Option sale to close-call <sub>25</sub>
	3.000	146.98	08/24/21	165.01	18.03 W	0.00	50 of 52 - Option sale to close-call <sub>25</sub>
	1.000	48.98	08/24/21	55.01	6.03 W	0.00	51 of 52 - Option sale to close-call <sub>25</sub>
	5.000	244.98	08/24/21	275.03	30.05 W	0.00	52 of 52 - Option sale to close-call <sub>25</sub>
08/24/21	265.000	13,886.24	Various	15,209.69	1,323.45 W	0.00	Total of 52 transactions
	48 transactions for 08/25/21. Total proceeds and cost reported to the IRS.						
	6.000	215.98	08/25/21	408.06	...	-192.08	1 of 48 - Option sale to close-call <sub>25</sub>
	3.000	107.99	08/25/21	264.05	...	-156.06	2 of 48 - Option sale to close-call <sub>25</sub>
	5.000	179.99	08/25/21	330.07	...	-150.08	3 of 48 - Option sale to close-call <sub>25</sub>
	4.000	143.99	08/25/21	272.05	...	-128.06	4 of 48 - Option sale to close-call <sub>25</sub>
	3.000	107.99	08/25/21	225.04	...	-117.05	5 of 48 - Option sale to close-call <sub>25</sub>
	3.000	107.99	08/25/21	225.04	...	-117.05	6 of 48 - Option sale to close-call <sub>25</sub>
	3.000	107.99	08/25/21	225.04	...	-117.05	7 of 48 - Option sale to close-call <sub>25</sub>
	2.000	71.99	08/25/21	184.06	...	-112.07	8 of 48 - Option sale to close-call <sub>25</sub>
	4.000	143.99	08/25/21	256.05	...	-112.06	9 of 48 - Option sale to close-call <sub>25</sub>
	4.000	143.99	08/25/21	256.04	...	-112.05	10 of 48 - Option sale to close-call <sub>25</sub>
	4.000	143.99	08/25/21	256.03	...	-112.04	11 of 48 - Option sale to close-call <sub>25</sub>
	2.000	71.99	08/25/21	184.02	...	-112.03	12 of 48 - Option sale to close-call <sub>25</sub>

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Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	2.000	71.99	08/25/21	176.04	...	-104.05	13 of 48 - Option sale to close-call 25
	3.000	107.99	08/25/21	204.03	...	-96.04	14 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	158.03	...	-86.03	15 of 48 - Option sale to close-call 25
	3.000	107.99	08/25/21	192.04	...	-84.05	16 of 48 - Option sale to close-call 25
	3.000	107.99	08/25/21	192.03	...	-84.04	17 of 48 - Option sale to close-call 25
	3.000	107.99	08/25/21	192.03	...	-84.04	18 of 48 - Option sale to close-call 25
	2.000	71.99	08/25/21	154.01	...	-82.02	19 of 48 - Option sale to close-call 25
	2.000	71.99	08/25/21	150.01	...	-78.02	20 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	150.02	...	-78.02	21 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	136.00	...	-64.00	22 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	132.03	...	-60.03	23 of 48 - Option sale to close-call 25
	1.000	36.00	08/25/21	96.02	...	-60.02	24 of 48 - Option sale to close-call 25
	2.000	71.99	08/25/21	128.03	...	-56.04	25 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	128.03	...	-56.03	26 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	128.03	...	-56.03	27 of 48 - Option sale to close-call 25
	1.000	35.99	08/25/21	92.02	...	-56.03	28 of 48 - Option sale to close-call 25
	2.000	72.00	08/25/21	128.02	...	-56.02	29 of 48 - Option sale to close-call 25

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	08/25/21	92.01	...	-56.01	30 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	88.02	...	-52.02	31 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	88.01	...	-52.01	32 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	88.01	...	-52.01	33 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	88.01	...	-52.01	34 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	88.01	...	-52.01	35 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	88.00	...	-52.00	36 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	79.01	...	-43.01	37 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	79.01	...	-43.01	38 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	75.00	...	-39.00	39 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	75.00	...	-39.00	40 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	75.00	...	-39.00	41 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	68.01	...	-32.01	42 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	66.03	...	-30.03	43 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	66.01	...	-30.01	44 of 48 - Option sale to close-call <sub>25</sub>
	1.000	35.98	08/25/21	64.02	...	-28.04	45 of 48 - Option sale to close-call <sub>25</sub>
	1.000	35.98	08/25/21	64.01	...	-28.03	46 of 48 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	08/25/21	64.02	...	-28.02	47 of 48 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	64.01	...	-28.01	48 of 48 - Option sale to close-call <sub>25</sub>
08/25/21	98.000	3,527.74	Various	7,081.17	...	-3,553.43	Total of 48 transactions
	<i>70 transactions for 08/25/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	37.00	08/24/21	52.00	15.00 W	0.00	1 of 70 - Option sale to close-call <sub>25</sub>
	8.000	295.97	08/24/21	416.04	120.07 W	0.00	2 of 70 - Option sale to close-call <sub>25</sub>
	1.000	37.00	08/24/21	60.02	23.02 W	0.00	3 of 70 - Option sale to close-call <sub>25</sub>
	2.000	74.00	08/24/21	112.01	38.01 W	0.00	4 of 70 - Option sale to close-call <sub>25</sub>
	2.000	74.00	08/24/21	104.01	30.01 W	0.00	5 of 70 - Option sale to close-call <sub>25</sub>
	1.000	37.00	08/24/21	56.01	19.01 W	0.00	6 of 70 - Option sale to close-call <sub>25</sub>
	2.000	73.99	08/24/21	112.03	38.04 W	0.00	7 of 70 - Option sale to close-call <sub>25</sub>
	2.000	73.99	08/24/21	112.01	38.02 W	0.00	8 of 70 - Option sale to close-call <sub>25</sub>
	2.000	74.00	08/24/21	112.02	38.02 W	0.00	9 of 70 - Option sale to close-call <sub>25</sub>
	3.000	110.99	08/24/21	168.03	57.04 W	0.00	10 of 70 - Option sale to close-call <sub>25</sub>
	3.000	110.99	08/24/21	156.02	45.03 W	0.00	11 of 70 - Option sale to close-call <sub>25</sub>
	1.000	37.00	08/24/21	52.00	15.00 W	0.00	12 of 70 - Option sale to close-call <sub>25</sub>
	1.000	37.00	08/24/21	52.00	15.00 W	0.00	13 of 70 - Option sale to close-call <sub>25</sub>
	3.000	110.99	08/24/21	156.02	45.03 W	0.00	14 of 70 - Option sale to close-call <sub>25</sub>

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	3.000	110.99	08/24/21	156.02	45.03 W	0.00	15 of 70 - Option sale to close-call 25
	2.000	73.99	08/24/21	104.00	30.01 W	0.00	16 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	52.00	15.00 W	0.00	17 of 70 - Option sale to close-call 25
	4.000	147.99	08/24/21	208.02	60.03 W	0.00	18 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	63.00	26.00 W	0.00	19 of 70 - Option sale to close-call 25
	2.000	73.99	08/24/21	126.01	52.02 W	0.00	20 of 70 - Option sale to close-call 25
	8.000	295.98	08/24/21	504.07	208.09 W	0.00	21 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	71.02	34.02 W	0.00	22 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	67.01	30.01 W	0.00	23 of 70 - Option sale to close-call 25
	2.000	74.00	08/24/21	134.01	60.01 W	0.00	24 of 70 - Option sale to close-call 25
	2.000	73.99	08/24/21	134.04	60.05 W	0.00	25 of 70 - Option sale to close-call 25
	2.000	73.99	08/24/21	134.02	60.03 W	0.00	26 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	67.01	30.01 W	0.00	27 of 70 - Option sale to close-call 25
	3.000	110.99	08/24/21	201.04	90.05 W	0.00	28 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	63.00	26.00 W	0.00	29 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	63.00	26.00 W	0.00	30 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	63.00	26.00 W	0.00	31 of 70 - Option sale to close-call 25

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	37.00	08/24/21	67.01	30.01 W	0.00	32 of 70 - Option sale to close-call 25
	2.000	74.00	08/24/21	126.01	52.01 W	0.00	33 of 70 - Option sale to close-call 25
	2.000	74.00	08/24/21	126.02	52.02 W	0.00	34 of 70 - Option sale to close-call 25
	3.000	110.99	08/24/21	189.03	78.04 W	0.00	35 of 70 - Option sale to close-call 25
	3.000	110.99	08/24/21	189.03	78.04 W	0.00	36 of 70 - Option sale to close-call 25
	3.000	110.99	08/24/21	189.03	78.04 W	0.00	37 of 70 - Option sale to close-call 25
	2.000	74.00	08/24/21	112.00	38.00 W	0.00	38 of 70 - Option sale to close-call 25
	3.000	110.99	08/24/21	156.02	45.03 W	0.00	39 of 70 - Option sale to close-call 25
	4.000	147.99	08/24/21	208.02	60.03 W	0.00	40 of 70 - Option sale to close-call 25
	6.000	221.98	08/24/21	336.04	114.06 W	0.00	41 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	54.03	17.03 W	0.00	42 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	54.01	17.01 W	0.00	43 of 70 - Option sale to close-call 25
	5.000	184.98	08/24/21	270.05	85.07 W	0.00	44 of 70 - Option sale to close-call 25
	1.000	37.00	08/24/21	56.01	19.01 W	0.00	45 of 70 - Option sale to close-call 25
	2.000	73.99	08/24/21	104.03	30.04 W	0.00	46 of 70 - Option sale to close-call 25
	2.000	74.00	08/24/21	104.02	30.02 W	0.00	47 of 70 - Option sale to close-call 25
	3.000	110.99	08/24/21	156.03	45.04 W	0.00	48 of 70 - Option sale to close-call 25

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	4.000	147.99	08/24/21	224.04	76.05 W	0.00	49 of 70 - Option sale to close-call <sub>25</sub>
	3.000	110.99	08/24/21	156.02	45.03 W	0.00	50 of 70 - Option sale to close-call <sub>25</sub>
	3.000	110.99	08/24/21	168.02	57.03 W	0.00	51 of 70 - Option sale to close-call <sub>25</sub>
	4.000	147.99	08/24/21	208.03	60.04 W	0.00	52 of 70 - Option sale to close-call <sub>25</sub>
	2.000	73.99	08/24/21	104.02	30.03 W	0.00	53 of 70 - Option sale to close-call <sub>25</sub>
	4.000	147.99	08/24/21	208.04	60.05 W	0.00	54 of 70 - Option sale to close-call <sub>25</sub>
	2.000	73.99	08/24/21	104.02	30.03 W	0.00	55 of 70 - Option sale to close-call <sub>25</sub>
	3.000	110.99	08/24/21	156.03	45.04 W	0.00	56 of 70 - Option sale to close-call <sub>25</sub>
	2.000	73.99	08/24/21	108.02	34.03 W	0.00	57 of 70 - Option sale to close-call <sub>25</sub>
	1.000	37.00	08/24/21	52.01	15.01 W	0.00	58 of 70 - Option sale to close-call <sub>25</sub>
	3.000	107.99	08/25/21	237.05	43.02 W	-86.04	59 of 70 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	75.00	39.00 W	0.00	60 of 70 - Option sale to close-call <sub>25</sub>
	5.000	179.98	08/25/21	375.06	195.08 W	0.00	61 of 70 - Option sale to close-call <sub>25</sub>
	2.000	71.98	08/25/21	150.02	78.04 W	0.00	62 of 70 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	75.01	39.01 W	0.00	63 of 70 - Option sale to close-call <sub>25</sub>
	1.000	36.00	08/25/21	75.01	39.01 W	0.00	64 of 70 - Option sale to close-call <sub>25</sub>
	2.000	72.00	08/25/21	128.01	56.01 W	0.00	65 of 70 - Option sale to close-call <sub>25</sub>

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CLOV 09/03/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	08/25/21	75.01	39.01 W	0.00	66 of 70 - Option sale to close-call <sup>25</sup>
	2.000	71.99	08/25/21	158.01	86.02 W	0.00	67 of 70 - Option sale to close-call <sup>25</sup>
	1.000	36.00	08/25/21	83.02	47.02 W	0.00	68 of 70 - Option sale to close-call <sup>25</sup>
	1.000	36.00	08/25/21	79.01	43.01 W	0.00	69 of 70 - Option sale to close-call <sup>25</sup>
	2.000	71.99	08/25/21	158.05	86.06 W	0.00	70 of 70 - Option sale to close-call <sup>25</sup>
08/25/21	162.000	5,971.56	Various	9,583.39	3,525.79 W	-86.04	Total of 70 transactions
	<b>Security total:</b>	<b>23,385.54</b>		<b>31,874.25</b>	<b>4,849.24 W</b>	<b>-3,639.47</b>	
F 12/23/2021 PUT \$19.00 / CUSIP: / Symbol:							
3 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	3.000	98.99	12/17/21	54.00	...	44.99	1 of 3 - Option sale to close-put <sup>25</sup>
	5.000	164.99	12/17/21	90.00	...	74.99	2 of 3 - Option sale to close-put <sup>25</sup>
	6.000	197.98	12/17/21	108.00	...	89.98	3 of 3 - Option sale to close-put <sup>25</sup>
12/20/21	14.000	461.96	Various	252.00	...	209.96	Total of 3 transactions
FCEL 03/12/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/11/21	1.000	9.98	03/10/21	18.00	...	-8.02	Option sale to close-call <sup>25</sup>
FGEN 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
04/14/21	1.000	174.98	04/07/21	280.00	...	-105.02	Option sale to close-call <sup>25</sup>
FSR 05/28/2021 CALL \$13.00 / CUSIP: / Symbol:							
05/06/21	2.000	131.98	05/04/21	176.00	...	-44.02	Option sale to close-call <sup>25</sup>
FUBO 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/19/21	1.000	0.00	02/05/21	244.00	...	-244.00	Option expiration- long call

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBO 04/16/2021 CALL \$26.00 / CUSIP: / Symbol:							
4 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	1.000	124.99	03/26/21	114.00	...	10.99	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	249.99	03/26/21	228.00	...	21.99	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	249.99	03/26/21	228.00	...	21.99	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	124.99	03/29/21	100.00	...	24.99	4 of 4 - Option sale to close-call <sup>25</sup>
04/01/21	6.000	749.96	Various	670.00	...	79.96	Total of 4 transactions
FUBO 05/07/2021 CALL \$23.00 / CUSIP: / Symbol:							
04/16/21	1.000	71.98	04/15/21	109.00	...	-37.02	Option sale to close-call <sup>25</sup>
FUBO 09/17/2021 CALL \$32.00 / CUSIP: / Symbol:							
08/27/21	4.000	155.98	08/26/21	196.00	...	-40.02	Option sale to close-call <sup>25</sup>
HYLN 07/09/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	4.000	79.98	06/29/21	112.00	...	-32.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	20.00	06/29/21	25.00	...	-5.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/29/21	5.000	99.98	Various	137.00	...	-37.02	Total of 2 transactions
LI 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	1.000	55.98	03/05/21	38.00	...	17.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	55.98	03/05/21	38.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
03/08/21	2.000	111.96	Various	76.00	...	35.96	Total of 2 transactions
LI 03/19/2021 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	2.000	43.99	03/02/21	120.00	...	-76.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	22.00	03/02/21	60.00	...	-38.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	21.99	03/05/21	14.00	...	7.99	3 of 3 - Option sale to close-call <sup>25</sup>
03/08/21	4.000	87.98	Various	194.00	...	-106.02	Total of 3 transactions
LI 05/07/2021 CALL \$22.50 / CUSIP: / Symbol:							
04/15/21	3.000	128.98	04/14/21	240.00	...	-111.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MU 01/07/2022 PUT \$88.00 / CUSIP: / Symbol:							
12/27/21	1.000	73.98	12/23/21	131.00	...	-57.02	Option sale to close-put <sup>25</sup>
MU 12/23/2021 PUT \$87.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	311.98	12/21/21	372.00	...	-60.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	52.00	12/21/21	77.00	...	-25.00	2 of 2 - Option sale to close-put <sup>25</sup>
12/21/21	7.000	363.98	Various	449.00	...	-85.02	Total of 2 transactions
MU 12/23/2021 PUT \$88.00 / CUSIP: / Symbol:							
12/22/21	8.000	519.97	12/22/21	400.00	...	119.97	Option sale to close-put <sup>25</sup>
MU 12/31/2021 PUT \$87.00 / CUSIP: / Symbol:							
12/22/21	2.000	267.98	12/21/21	228.00	...	39.98	Option sale to close-put <sup>25</sup>
MU 12/31/2021 PUT \$90.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/29/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	21.99	12/28/21	68.00	...	-46.01	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	11.00	12/28/21	34.00	...	-23.00	2 of 3 - Option sale to close-put <sup>25</sup>
	20.000	219.95	12/29/21	200.00	...	19.95	3 of 3 - Option sale to close-put <sup>25</sup>
12/29/21	23.000	252.94	Various	302.00	...	-49.06	Total of 3 transactions
NIO 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
12/03/21	1.000	320.98	12/03/21	300.00	...	20.98	Option sale to close-call <sup>25</sup>
NIO 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/08/21	1.000	177.98	03/05/21	143.00	...	34.98	Option sale to close-call <sup>25</sup>
NIO 05/07/2021 CALL \$40.00 / CUSIP: / Symbol:							
04/15/21	1.000	97.98	04/14/21	149.00	...	-51.02	Option sale to close-call <sup>25</sup>
NIO 07/16/2021 CALL \$48.00 / CUSIP: / Symbol:							
07/12/21	1.000	69.98	07/12/21	48.00	...	21.98	Option sale to close-call <sup>25</sup>
NIO 11/19/2021 CALL \$46.00 / CUSIP: / Symbol:							
11/10/21	1.000	35.98	11/09/21	107.00	...	-71.02	Option sale to close-call <sup>25</sup>

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Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 04/23/2021 CALL \$650.00 / CUSIP: / Symbol:							
04/14/21	1.000	464.98	04/14/21	480.00	...	-15.02	Option sale to close-call <sup>25</sup>
NVDA 04/23/2021 PUT \$570.00 / CUSIP: / Symbol:							
04/14/21	1.000	99.98	04/12/21	680.00	...	-580.02	Option sale to close-put <sup>25</sup>
NVDA 07/30/2021 CALL \$190.00 / CUSIP: / Symbol:							
4 transactions for 07/20/21. Total proceeds and cost reported to the IRS.							
	2.000	619.98	07/20/21	570.00	...	49.98	1 of 4 - Option sale to close-call <sup>25</sup>
	4.000	1,239.98	07/20/21	1,140.00	...	99.98	2 of 4 - Option sale to close-call <sup>25</sup>
	5.000	1,549.98	07/20/21	1,425.00	...	124.98	3 of 4 - Option sale to close-call <sup>25</sup>
	10.000	4,349.95	07/20/21	3,450.00	...	899.95	4 of 4 - Option sale to close-call <sup>25</sup>
07/20/21	21.000	7,759.89	Various	6,585.00	...	1,174.89	Total of 4 transactions
NVDA 10/29/2021 CALL \$260.00 / CUSIP: / Symbol:							
12 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	92.00	10/26/21	64.00	...	28.00	1 of 12 - Option sale to close-call <sup>25</sup>
	1.000	92.00	10/26/21	64.00	...	28.00	2 of 12 - Option sale to close-call <sup>25</sup>
	1.000	92.00	10/26/21	64.00	...	28.00	3 of 12 - Option sale to close-call <sup>25</sup>
	1.000	92.00	10/26/21	64.00	...	28.00	4 of 12 - Option sale to close-call <sup>25</sup>
	2.000	183.99	10/26/21	128.00	...	55.99	5 of 12 - Option sale to close-call <sup>25</sup>
	3.000	275.99	10/26/21	192.00	...	83.99	6 of 12 - Option sale to close-call <sup>25</sup>
	3.000	275.99	10/26/21	192.00	...	83.99	7 of 12 - Option sale to close-call <sup>25</sup>
	5.000	459.99	10/26/21	320.00	...	139.99	8 of 12 - Option sale to close-call <sup>25</sup>
	5.000	459.99	10/26/21	320.00	...	139.99	9 of 12 - Option sale to close-call <sup>25</sup>
	7.000	643.98	10/26/21	448.00	...	195.98	10 of 12 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NVDA 10/29/2021 CALL \$260.00 / CUSIP: / Symbol: (cont'd)							
	9.000	827.97	10/26/21	576.00	...	251.97	11 of 12 - Option sale to close-call <sup>25</sup>
	12.000	1,103.97	10/26/21	768.00	...	335.97	12 of 12 - Option sale to close-call <sup>25</sup>
10/26/21	50.000	4,599.87	Various	3,200.00	...	1,399.87	Total of 12 transactions
NVDA 11/12/2021 CALL \$270.00 / CUSIP: / Symbol:							
11/01/21	4.000	995.98	10/29/21	928.00	...	67.98	Option sale to close-call <sup>25</sup>
NVDA 11/12/2021 CALL \$315.00 / CUSIP: / Symbol:							
11/04/21	1.000	213.98	11/04/21	185.00	...	28.98	Option sale to close-call <sup>25</sup>
NVDA 11/12/2021 CALL \$400.00 / CUSIP: / Symbol:							
11/05/21	1.000	77.98	11/05/21	164.00	...	-86.02	Option sale to close-call <sup>25</sup>
NVDA 11/26/2021 CALL \$470.00 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/10/21	50.00	...	-50.00	Option expiration- long call
NVDA 12/23/2021 PUT \$265.00 / CUSIP: / Symbol:							
12/20/21	1.000	414.98	12/20/21	430.00	...	-15.02	Option sale to close-put <sup>25</sup>
NVDA 12/31/2021 CALL \$315.00 / CUSIP: / Symbol:							
12/14/21	1.000	299.98	12/14/21	200.00	...	99.98	Option sale to close-call <sup>25</sup>
NVDA 12/31/2021 CALL \$325.00 / CUSIP: / Symbol:							
12/13/21	1.000	217.98	12/13/21	320.00	102.02 W	0.00	Option sale to close-call <sup>25</sup>
12/15/21	1.000	261.98	12/15/21	379.02	...	-117.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>479.96</b>		<b>699.02</b>	<b>102.02 W</b>	<b>-117.04</b>	
NVDA 12/31/2021 CALL \$330.00 / CUSIP: / Symbol:							
12/16/21	1.000	241.98	12/15/21	298.00	...	-56.02	Option sale to close-call <sup>25</sup>
PLTR 03/26/2021 CALL \$27.00 / CUSIP: / Symbol:							
	2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	1.000	143.99	03/10/21	113.00	...	30.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	143.99	03/10/21	113.00	...	30.99	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	287.98	Various	226.00	...	61.98	Total of 2 transactions

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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PLTR 04/09/2021 CALL \$24.00 / CUSIP: / Symbol:							
5 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	4.000	271.98	04/01/21	288.00	...	-16.02	1 of 5 - Option sale to close-call <sup>25</sup>
	3.000	203.98	04/01/21	216.00	...	-12.02	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	67.98	04/01/21	72.00	...	-4.02	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	67.98	04/01/21	72.00	...	-4.02	4 of 5 - Option sale to close-call <sup>25</sup>
	1.000	67.98	04/01/21	72.00	...	-4.02	5 of 5 - Option sale to close-call <sup>25</sup>
04/01/21	10.000	679.90	Various	720.00	...	-40.10	Total of 5 transactions
PLUG 04/09/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/18/21	1.000	309.98	03/17/21	375.00	...	-65.02	Option sale to close-call <sup>25</sup>
PTON 11/19/2021 PUT \$40.00 / CUSIP: / Symbol:							
11/09/21	5.000	109.98	11/08/21	150.00	...	-40.02	Option sale to close-put <sup>25</sup>
RAPT 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
4 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	449.99	06/14/21	340.00	...	109.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	449.99	06/14/21	340.00	...	109.99	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	449.99	06/14/21	340.00	...	109.99	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	2,249.98	06/14/21	1,700.00	...	549.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/14/21	8.000	3,599.95	Various	2,720.00	...	879.95	Total of 4 transactions
RIVN 01/07/2022 PUT \$94.00 / CUSIP: / Symbol:							
12/28/21	1.000	184.98	12/27/21	380.00	...	-195.02	Option sale to close-put <sup>25</sup>
ROOT 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	14.000	1,007.96	06/11/21	1,260.00	...	-252.04	1 of 4 - Option sale to close-call <sup>25</sup>
	13.000	935.97	06/11/21	1,170.00	...	-234.03	2 of 4 - Option sale to close-call <sup>25</sup>
	11.000	791.97	06/11/21	979.00	...	-187.03	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	359.99	06/11/21	450.00	...	-90.01	4 of 4 - Option sale to close-call <sup>25</sup>
06/14/21	43.000	3,095.89	Various	3,859.00	...	-763.11	Total of 4 transactions
SOLO 03/05/2021 CALL \$10.00 / CUSIP: / Symbol:							
03/01/21	1.000	1.98	02/18/21	35.00	...	-33.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPRT 09/17/2021 PUT \$14.00 / CUSIP: / Symbol:							
08/31/21	11.000	1,319.97	08/27/21	2,145.00	...	-825.03	Option sale to close-put <sup>25</sup>
SPY 04/21/2021 PUT \$405.00 / CUSIP: / Symbol:							
04/13/21	3.000	377.98	04/12/21	360.00	...	17.98	Option sale to close-put <sup>25</sup>
SPY 05/26/2021 PUT \$400.00 / CUSIP: / Symbol:							
05/11/21	4.000	1,083.98	05/11/21	1,520.00	...	-436.02	Option sale to close-put <sup>25</sup>
SPY 05/28/2021 CALL \$424.00 / CUSIP: / Symbol:							
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	4.000	363.98	05/17/21	360.00	...	3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	15.000	1,364.96	05/17/21	1,350.00	...	14.96	2 of 3 - Option sale to close-call <sup>25</sup>
	31.000	2,820.92	05/17/21	2,790.00	...	30.92	3 of 3 - Option sale to close-call <sup>25</sup>
05/17/21	50.000	4,549.86	Various	4,500.00	...	49.86	Total of 3 transactions
SPY 05/28/2021 PUT \$405.00 / CUSIP: / Symbol:							
05/06/21	25.000	10,024.89	05/06/21	9,875.00	...	149.89	Option sale to close-put <sup>25</sup>
T 06/04/2021 PUT \$26.50 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	05/18/21	18.00	...	-18.00	1 of 2 - Option expiration- long put
	1.000	0.00	05/19/21	11.00	...	-11.00	2 of 2 - Option expiration- long put
06/04/21	3.000	0.00	Various	29.00	...	-29.00	Total of 2 transactions
T 06/04/2021 PUT \$31.00 / CUSIP: / Symbol:							
05/18/21	1.000	144.98	05/17/21	6.00	...	138.98	Option sale to close-put <sup>25</sup>
T 06/04/2021 PUT \$31.50 / CUSIP: / Symbol:							
9 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	3.000	92.98	05/17/21	57.00	...	35.98	1 of 9 - Option sale to close-put <sup>25</sup>
	4.000	123.98	05/17/21	76.00	...	47.98	2 of 9 - Option sale to close-put <sup>25</sup>
	4.000	123.98	05/17/21	76.00	...	47.98	3 of 9 - Option sale to close-put <sup>25</sup>
	4.000	123.98	05/17/21	76.00	...	47.98	4 of 9 - Option sale to close-put <sup>25</sup>
	5.000	154.98	05/17/21	95.00	...	59.98	5 of 9 - Option sale to close-put <sup>25</sup>
	31.000	960.93	05/17/21	589.00	...	371.93	6 of 9 - Option sale to close-put <sup>25</sup>

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T 06/04/2021 PUT \$31.50 / CUSIP: / Symbol: (cont'd)							
	50.000	1,649.89	05/17/21	950.00	...	699.89	7 of 9 - Option sale to close-put <sup>25</sup>
	73.000	2,262.84	05/17/21	1,387.00	...	875.84	8 of 9 - Option sale to close-put <sup>25</sup>
	76.000	2,355.83	05/17/21	1,444.00	...	911.83	9 of 9 - Option sale to close-put <sup>25</sup>
05/17/21	250.000	7,849.39	Various	4,750.00	...	3,099.39	Total of 9 transactions
T 07/09/2021 CALL \$29.50 / CUSIP: / Symbol:							
3 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	4.000	19.98	06/29/21	60.02	...	-40.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.98	06/29/21	15.02	...	-10.04	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.98	06/29/21	10.00	...	-5.02	3 of 3 - Option sale to close-call <sup>25</sup>
06/30/21	6.000	29.94	Various	85.04	...	-55.10	Total of 3 transactions
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	4.000	19.98	06/29/21	40.00	20.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.98	06/29/21	10.00	5.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/21	5.000	24.96	Various	50.00	25.04 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>54.90</b>		<b>135.04</b>	<b>25.04 W</b>	<b>-55.10</b>	
TPGY 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	8.000	159.97	04/14/21	320.00	...	-160.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	39.98	04/14/21	80.00	...	-40.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/19/21	10.000	199.95	Various	400.00	...	-200.05	Total of 2 transactions
TSLA 03/19/2021 CALL \$705.00 / CUSIP: / Symbol:							
03/17/21	1.000	599.98	03/17/21	454.00	...	145.98	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$715.00 / CUSIP: / Symbol:							
03/19/21	1.000	2.98	03/18/21	390.00	...	-387.02	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$725.00 / CUSIP: / Symbol:							
03/17/21	1.000	369.98	03/17/21	485.00	...	-115.02	Option sale to close-call <sup>25</sup>
TSLA 03/26/2021 CALL \$650.00 / CUSIP: / Symbol:							
03/25/21	1.000	369.98	03/25/21	360.00	...	9.98	Option sale to close-call <sup>25</sup>

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TSLA 03/26/2021 CALL \$652.50 / CUSIP: / Symbol:							
03/25/21	1.000	353.98	03/25/21	460.00	...	-106.02	Option sale to close-call <sup>25</sup>
TSLA 03/26/2021 CALL \$672.50 / CUSIP: / Symbol:							
03/25/21	2.000	291.98	03/25/21	460.00	...	-168.02	Option sale to close-call <sup>25</sup>
TSLA 04/09/2021 CALL \$705.00 / CUSIP: / Symbol:							
04/06/21	1.000	1,069.98	04/06/21	1,135.00	...	-65.02	Option sale to close-call <sup>25</sup>
TSLA 04/09/2021 CALL \$727.50 / CUSIP: / Symbol:							
04/05/21	1.000	1,079.98	04/01/21	710.00	...	369.98	Option sale to close-call <sup>25</sup>
VERU 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
		2 transactions for 02/24/21. Total proceeds and cost reported to the IRS.					
	1.000	94.98	02/23/21	85.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	269.98	02/23/21	255.00	...	14.98	2 of 2 - Option sale to close-call <sup>25</sup>
02/24/21	4.000	364.96	Various	340.00	...	24.96	Total of 2 transactions
		2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.					
	3.000	95.98	02/24/21	255.00	...	-159.02	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	95.98	02/24/21	255.00	...	-159.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/02/21	6.000	191.96	Various	510.00	...	-318.04	Total of 2 transactions
	<b>Security total:</b>	<b>556.92</b>		<b>850.00</b>	...	<b>-293.08</b>	
WKHS 03/26/2021 CALL \$17.00 / CUSIP: / Symbol:							
03/26/21	2.000	0.00	03/22/21	78.00	...	-78.00	Option expiration- long call
WPRT 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/07/21	4.000	19.98	06/24/21	60.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
		18 transactions for 08/20/21. Total proceeds and cost reported to the IRS.					
	90.000	0.00	06/24/21	1,350.00	...	-1,350.00	1 of 18 - Option expiration- long call
	47.000	0.00	06/24/21	705.00	...	-705.00	2 of 18 - Option expiration- long call
	37.000	0.00	06/24/21	555.00	...	-555.00	3 of 18 - Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WPRT 08/20/2021 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	18.000	0.00	06/24/21	270.00	...	-270.00	4 of 18 - Option expiration- long call
	13.000	0.00	06/24/21	195.00	...	-195.00	5 of 18 - Option expiration- long call
	11.000	0.00	06/24/21	165.00	...	-165.00	6 of 18 - Option expiration- long call
	3.000	0.00	06/24/21	75.02	...	-75.02	7 of 18 - Option expiration- long call
	4.000	0.00	06/24/21	60.00	...	-60.00	8 of 18 - Option expiration- long call
	3.000	0.00	06/24/21	45.00	...	-45.00	9 of 18 - Option expiration- long call
	2.000	0.00	06/24/21	30.00	...	-30.00	10 of 18 - Option expiration- long call
	2.000	0.00	06/24/21	30.00	...	-30.00	11 of 18 - Option expiration- long call
	1.000	0.00	06/24/21	25.00	...	-25.00	12 of 18 - Option expiration- long call
	1.000	0.00	06/24/21	15.00	...	-15.00	13 of 18 - Option expiration- long call
	1.000	0.00	06/24/21	15.00	...	-15.00	14 of 18 - Option expiration- long call
	1.000	0.00	06/24/21	15.00	...	-15.00	15 of 18 - Option expiration- long call
	1.000	0.00	06/24/21	15.00	...	-15.00	16 of 18 - Option expiration- long call
	1.000	0.00	06/24/21	15.00	...	-15.00	17 of 18 - Option expiration- long call
	1.000	0.00	06/25/21	10.00	...	-10.00	18 of 18 - Option expiration- long call
08/20/21	237.000	0.00	Various	3,590.02	...	-3,590.02	Total of 18 transactions
	<b>Security total:</b>	<b>19.98</b>		<b>3,650.02</b>	<b>40.02 W</b>	<b>-3,590.02</b>	
WPRT 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/12/21	5.000	49.98	07/09/21	75.00	...	-25.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZM 04/09/2021 CALL \$322.50 / CUSIP: / Symbol:							
04/07/21	1.000	1,149.98	04/07/21	480.00	...	669.98	Option sale to close-call <sup>25</sup>
ZM 04/16/2021 CALL \$330.00 / CUSIP: / Symbol:							
04/09/21	2.000	919.98	04/09/21	760.00	...	159.98	Option sale to close-call <sup>25</sup>
ZM 04/23/2021 CALL \$335.00 / CUSIP: / Symbol:							
04/12/21	1.000	399.98	04/09/21	580.00	...	-180.02	Option sale to close-call <sup>25</sup>
ZM 05/07/2021 CALL \$305.00 / CUSIP: / Symbol:							
<i>8 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	3.000	1,289.98	05/04/21	1,440.00	...	-150.02	1 of 8 - Option sale to close-call <sup>25</sup>
	3.000	1,289.99	05/04/21	1,440.00	...	-150.01	2 of 8 - Option sale to close-call <sup>25</sup>
	3.000	1,289.99	05/04/21	1,440.00	...	-150.01	3 of 8 - Option sale to close-call <sup>25</sup>
	3.000	1,289.99	05/04/21	1,440.00	...	-150.01	4 of 8 - Option sale to close-call <sup>25</sup>
	3.000	1,289.99	05/04/21	1,440.00	...	-150.01	5 of 8 - Option sale to close-call <sup>25</sup>
	2.000	859.99	05/04/21	960.00	...	-100.01	6 of 8 - Option sale to close-call <sup>25</sup>
	2.000	859.99	05/04/21	960.00	...	-100.01	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	429.99	05/04/21	480.00	...	-50.01	8 of 8 - Option sale to close-call <sup>25</sup>
05/04/21	20.000	8,599.91	Various	9,600.00	...	-1,000.09	Total of 8 transactions
ZM 05/14/2021 CALL \$310.00 / CUSIP: / Symbol:							
<i>3 transactions for 05/06/21. Total proceeds and cost reported to the IRS.</i>							
	7.000	1,784.98	05/06/21	1,750.00	...	34.98	1 of 3 - Option sale to close-call <sup>25</sup>
	10.000	2,549.96	05/06/21	2,500.00	...	49.96	2 of 3 - Option sale to close-call <sup>25</sup>
	23.000	5,864.92	05/06/21	5,750.00	...	114.92	3 of 3 - Option sale to close-call <sup>25</sup>
05/06/21	40.000	10,199.86	Various	10,000.00	...	199.86	Total of 3 transactions
ZM 09/17/2021 CALL \$305.00 / CUSIP: / Symbol:							
08/31/21	1.000	809.98	08/31/21	820.00	...	-10.02	Option sale to close-call <sup>25</sup>
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
03/05/21	338.685	1,361.46	03/03/21	1,500.00	...	-138.54	Sale <sup>25</sup>
<i>6 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>							
	96.577	408.70	05/07/21	395.00	...	13.70	1 of 6 - Sale <sup>25</sup>
	0.015	0.06	05/10/21	0.06	...	0.00	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol: (cont'd)							
	0.200	0.85	05/10/21	0.81	...	0.04	3 of 6 - Sale <sup>25</sup>
	0.242	1.03	05/10/21	0.98	...	0.05	4 of 6 - Sale <sup>25</sup>
	5.919	25.05	05/10/21	23.94	...	1.11	5 of 6 - Sale <sup>25</sup>
	49.000	207.36	05/10/21	198.21	...	9.15	6 of 6 - Sale <sup>25</sup>
05/21/21	151.953	643.05	Various	619.00	...	24.05	Total of 6 transactions
	<b>Security total:</b>	<b>2,004.51</b>		<b>2,119.00</b>	...	<b>-114.49</b>	
AGNC INVESTMENT CORP. COMMON S TOCK / CUSIP: 00123Q104 / Symbol:							
3 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	8.891	151.42	06/25/21	152.18	...	-0.76	1 of 3 - Sale <sup>25</sup>
	0.109	1.85	06/25/21	1.87	...	-0.02	2 of 3 - Sale <sup>25</sup>
	0.001	0.01	06/25/21	0.01	...	0.00	3 of 3 - Sale <sup>25</sup>
06/29/21	9.000	153.28	Various	154.06	...	-0.78	Total of 3 transactions
06/29/21	0.109	1.85	06/25/21	1.86	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>155.13</b>		<b>155.92</b>	<b>0.01 W</b>	<b>-0.78</b>	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
05/26/21	0.130	2.42	05/26/21	2.42	...	0.00	Sale <sup>25</sup>
05/26/21	291.608	5,424.09	05/26/21	5,435.58	11.49 W	0.00	Sale <sup>25</sup>
5 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	0.029	1.02	05/27/21	0.71	...	0.31	1 of 5 - Sale <sup>25</sup>
	0.050	1.76	05/27/21	1.23	...	0.53	2 of 5 - Sale <sup>25</sup>
	0.852	30.01	05/27/21	20.82	...	9.19	3 of 5 - Sale <sup>25</sup>
	77.251	2,715.60	05/27/21	1,884.79	...	830.81	4 of 5 - Sale <sup>25</sup>
	290.698	10,218.83	05/27/21	7,103.94	...	3,114.89	5 of 5 - Sale <sup>25</sup>
05/28/21	368.881	12,967.22	Various	9,011.49	...	3,955.73	Total of 5 transactions
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	0.016	0.60	06/01/21	0.51	...	0.09	1 of 2 - Sale <sup>25</sup>
	50.939	1,881.67	06/01/21	1,599.49	...	282.18	2 of 2 - Sale <sup>25</sup>
06/02/21	50.955	1,882.27	Various	1,600.00	...	282.27	Total of 2 transactions
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	0.116	6.11	06/02/21	5.09	...	1.02	1 of 3 - Sale <sup>25</sup>
	0.541	28.59	06/02/21	23.82	...	4.77	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	31.000	1,636.48	06/02/21	1,364.00	...	272.48	3 of 3 - Sale <sup>25</sup>
06/03/21	31.657	1,671.18	Various	1,392.91	...	278.27	Total of 3 transactions
06/10/21	200.080	9,683.78	06/09/21	10,062.00	...	-378.22	Sale <sup>25</sup>
4 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	0.009	0.28	08/05/21	0.26	...	0.02	1 of 4 - Sale <sup>25</sup>
	0.014	0.45	08/05/21	0.41	...	0.04	2 of 4 - Sale <sup>25</sup>
	0.364	11.70	08/05/21	10.72	...	0.98	3 of 4 - Sale <sup>25</sup>
	5.986	192.57	08/05/21	176.59	...	15.98	4 of 4 - Sale <sup>25</sup>
08/06/21	6.373	205.00	Various	187.98	...	17.02	Total of 4 transactions
10/25/21	101.402	3,689.98	10/25/21	3,729.54	...	-39.56	Sale <sup>25</sup>
<b>Security total:</b>		<b>35,525.94</b>		<b>31,421.92</b>	<b>11.49 W</b>	<b>4,115.51</b>	
AT&T INC. / CUSIP: 00206R102 / Symbol:							
8 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	0.134	3.91	04/07/21	4.16	0.25 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.711	137.03	04/07/21	145.84	8.81 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.134	3.91	05/04/21	4.49	0.58 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.657	19.11	05/04/21	20.76	1.65 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.137	3.99	05/17/21	4.39	0.40 W	0.00	5 of 8 - Sale <sup>25</sup>
	77.994	2,268.81	05/17/21	2,495.61	226.80 W	0.00	6 of 8 - Sale <sup>25</sup>
	13.367	388.85	05/17/21	430.00	41.15 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	87.27	05/17/21	95.69	8.42 W	0.00	8 of 8 - Sale <sup>25</sup>
05/18/21	100.134	2,912.88	Various	3,200.94	288.06 W	0.00	Total of 8 transactions
05/21/21	1.688	50.67	05/20/21	53.16	2.49 W	0.00	Sale <sup>25</sup>
10 transactions for 07/15/21. Total proceeds and cost reported to the IRS.							
	13.367	380.03	05/28/21	436.88	...	-56.85	1 of 10 - Sale <sup>25</sup>
	3.000	85.29	05/28/21	97.24	...	-11.95	2 of 10 - Sale <sup>25</sup>
	1.334	37.93	05/28/21	39.50	...	-1.57	3 of 10 - Sale <sup>25</sup>
	3.000	85.28	06/01/21	87.95	...	-2.67	4 of 10 - Sale <sup>25</sup>
	0.070	1.99	06/01/21	2.05	...	-0.06	5 of 10 - Sale <sup>25</sup>
	6.411	182.26	06/14/21	186.40	...	-4.14	6 of 10 - Sale <sup>25</sup>
	0.299	8.50	06/18/21	9.42	...	-0.92	7 of 10 - Sale <sup>25</sup>
	0.173	4.92	06/18/21	4.93	...	-0.01	8 of 10 - Sale <sup>25</sup>
	2.000	56.85	06/23/21	57.65	...	-0.80	9 of 10 - Sale <sup>25</sup>

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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AT&T INC. / CUSIP: 00206R102 / Symbol: (cont'd)							
	0.012	0.35	06/23/21	0.35	...	0.00	10 of 10 - Sale <sup>25</sup>
07/15/21	29.666	843.40	Various	922.37	...	-78.97	Total of 10 transactions
	8 transactions for 07/15/21. Total proceeds and cost reported to the IRS.						
	77.994	2,217.34	05/28/21	2,535.81	12.25 W	-306.22	1 of 8 - Sale <sup>25</sup>
	0.299	8.50	05/28/21	9.40	0.90 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.035	1.00	05/28/21	1.11	0.11 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.134	3.82	05/28/21	4.56	0.74 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.688	48.00	05/28/21	52.47	4.47 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.688	76.42	05/28/21	84.61	8.19 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.657	18.68	05/28/21	21.10	2.42 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.137	3.90	05/28/21	4.46	0.56 W	0.00	8 of 8 - Sale <sup>25</sup>
07/15/21	83.633	2,377.66	Various	2,713.52	29.64 W	-306.22	Total of 8 transactions
	8 transactions for 07/20/21. Total proceeds and cost reported to the IRS.						
	3.000	84.31	07/19/21	94.99	...	-10.68	1 of 8 - Sale <sup>25</sup>
	2.688	75.54	07/19/21	82.32	...	-6.78	2 of 8 - Sale <sup>25</sup>
	1.482	41.64	07/19/21	44.79	...	-3.15	3 of 8 - Sale <sup>25</sup>
	0.657	18.46	07/19/21	20.54	...	-2.08	4 of 8 - Sale <sup>25</sup>
	0.134	3.77	07/19/21	4.44	...	-0.67	5 of 8 - Sale <sup>25</sup>
	0.137	3.86	07/19/21	4.34	...	-0.48	6 of 8 - Sale <sup>25</sup>
	0.206	5.80	07/19/21	6.24	...	-0.44	7 of 8 - Sale <sup>25</sup>
	0.035	0.99	07/19/21	1.08	...	-0.09	8 of 8 - Sale <sup>25</sup>
07/20/21	8.341	234.37	Various	258.74	...	-24.37	Total of 8 transactions
	<b>Security total:</b>	<b>6,418.98</b>		<b>7,148.73</b>	<b>320.19 W</b>	<b>-409.56</b>	
ADITXT, INC. COMMON STOCK / CUSIP: 007025109 / Symbol:							
	2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.						
	0.692	1.35	10/06/21	1.12	...	0.23	1 of 2 - Sale <sup>25</sup>
	307.000	598.60	10/06/21	498.88	...	99.72	2 of 2 - Sale <sup>25</sup>
10/14/21	307.692	599.95	Various	500.00	...	99.95	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

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AEROCENTURY CORPORATION / CUSIP: 007737109 / Symbol:							
2 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	25.000	316.75	01/28/21	291.16	...	25.59	1 of 2 - Sale <sup>25</sup>
	97.000	1,222.42	01/28/21	1,129.71	...	92.71	2 of 2 - Sale <sup>25</sup>
01/29/21	122.000	1,539.17	Various	1,420.87	...	118.30	Total of 2 transactions
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
12 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	13.000	151.45	01/20/21	224.21	...	-72.76	1 of 12 - Sale <sup>25</sup>
	4.000	46.60	01/20/21	68.99	...	-22.39	2 of 12 - Sale <sup>25</sup>
	8.000	93.36	01/20/21	115.66	...	-22.30	3 of 12 - Sale <sup>25</sup>
	2.058	23.99	01/20/21	35.49	...	-11.50	4 of 12 - Sale <sup>25</sup>
	4.000	46.64	01/20/21	57.83	...	-11.19	5 of 12 - Sale <sup>25</sup>
	2.000	23.34	01/20/21	28.92	...	-5.58	6 of 12 - Sale <sup>25</sup>
	0.942	10.99	01/20/21	16.25	...	-5.26	7 of 12 - Sale <sup>25</sup>
	0.601	7.01	01/20/21	10.37	...	-3.36	8 of 12 - Sale <sup>25</sup>
	0.341	3.98	01/20/21	6.80	...	-2.82	9 of 12 - Sale <sup>25</sup>
	1.000	11.66	01/20/21	14.46	...	-2.80	10 of 12 - Sale <sup>25</sup>
	1.000	11.66	01/20/21	14.46	...	-2.80	11 of 12 - Sale <sup>25</sup>
	0.058	0.67	01/20/21	0.99	...	-0.32	12 of 12 - Sale <sup>25</sup>
01/25/21	37.000	431.35	Various	594.43	...	-163.08	Total of 12 transactions
5 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	62.000	723.51	01/20/21	896.42	53.15 W	-119.76	1 of 5 - Sale <sup>25</sup>
	1.601	18.69	01/20/21	23.15	4.30 W	-0.16	2 of 5 - Sale <sup>25</sup>
	0.341	4.01	01/20/21	4.93	0.92 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.058	0.67	01/20/21	0.83	0.16 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.341	3.98	01/20/21	5.85	1.87 W	0.00	5 of 5 - Sale <sup>25</sup>
01/25/21	64.341	750.86	Various	931.18	60.40 W	-119.92	Total of 5 transactions
	<b>Security total:</b>	<b>1,182.21</b>		<b>1,525.61</b>	<b>60.40 W</b>	<b>-283.00</b>	

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKERNA CORP. COMMON STOCK / CUSIP: 00973W102 / Symbol:							
2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	274.000	1,896.82	02/03/21	1,996.72	...	-99.90	1 of 2 - Sale <sup>25</sup>
	0.450	3.12	02/03/21	3.28	...	-0.16	2 of 2 - Sale <sup>25</sup>
02/04/21	274.450	1,899.94	Various	2,000.00	...	-100.06	Total of 2 transactions
ALLIANCEBERNSTEIN HOLDING, L.P. / CUSIP: 01881G106 / Symbol:							
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	64.922	2,869.97	06/09/21	2,970.83	...	-100.86	1 of 2 - Sale <sup>25</sup>
	0.638	28.22	06/09/21	29.17	...	-0.95	2 of 2 - Sale <sup>25</sup>
06/22/21	65.560	2,898.19	Various	3,000.00	...	-101.81	Total of 2 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
3 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	0.220	3.99	06/02/21	3.56	...	0.43	1 of 3 - Sale <sup>25</sup>
	235.865	4,276.48	06/02/21	3,818.63	...	457.85	2 of 3 - Sale <sup>25</sup>
	124.591	2,258.97	06/02/21	1,600.00	...	658.97	3 of 3 - Sale <sup>25</sup>
06/03/21	360.676	6,539.44	Various	5,422.19	...	1,117.25	Total of 3 transactions
15 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	99.329	1,741.21	06/03/21	1,799.83	58.28 W	-0.34	1 of 15 - Sale <sup>25</sup>
	0.094	1.65	06/03/21	1.69	0.04 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.578	10.13	06/03/21	10.45	0.32 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.094	1.64	06/03/21	1.74	0.10 W	0.00	4 of 15 - Sale <sup>25</sup>
	72.578	1,272.27	06/03/21	1,315.11	42.84 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.094	1.64	06/03/21	1.80	0.16 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.578	10.13	06/03/21	10.79	0.66 W	0.00	7 of 15 - Sale <sup>25</sup>
	26.751	468.94	06/03/21	500.51	31.57 W	0.00	8 of 15 - Sale <sup>25</sup>
	72.578	1,273.00	06/03/21	1,357.94	84.94 W	0.00	9 of 15 - Sale <sup>25</sup>
	72.000	1,262.86	06/03/21	1,347.12	84.26 W	0.00	10 of 15 - Sale <sup>25</sup>
	85.422	1,498.28	06/03/21	1,547.85	49.57 W	0.00	11 of 15 - Sale <sup>25</sup>
	10.000	175.39	06/03/21	181.20	5.81 W	0.00	12 of 15 - Sale <sup>25</sup>
	0.299	5.25	06/03/21	5.76	0.51 W	0.00	13 of 15 - Sale <sup>25</sup>
	12.453	218.43	06/03/21	225.64	7.21 W	0.00	14 of 15 - Sale <sup>25</sup>
	0.248	4.35	06/03/21	4.77	0.42 W	0.00	15 of 15 - Sale <sup>25</sup>
06/03/21	453.094	7,945.17	Various	8,312.20	366.69 W	-0.34	Total of 15 transactions

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: (cont'd)							
28 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	0.031	0.47	06/03/21	0.57	0.10 W	0.00	1 of 28 - Sale <sup>25</sup>
	0.094	1.44	06/03/21	1.79	0.35 W	0.00	2 of 28 - Sale <sup>25</sup>
	0.352	5.42	06/03/21	6.57	1.15 W	0.00	3 of 28 - Sale <sup>25</sup>
	26.399	406.00	06/03/21	492.86	86.86 W	0.00	4 of 28 - Sale <sup>25</sup>
	33.024	507.90	06/03/21	596.75	88.85 W	0.00	5 of 28 - Sale <sup>25</sup>
	72.000	1,107.35	06/03/21	1,343.54	236.19 W	0.00	6 of 28 - Sale <sup>25</sup>
	72.578	1,116.23	06/03/21	1,354.32	238.09 W	0.00	7 of 28 - Sale <sup>25</sup>
	0.248	3.81	06/03/21	4.75	0.94 W	0.00	8 of 28 - Sale <sup>25</sup>
	0.299	4.60	06/03/21	5.74	1.14 W	0.00	9 of 28 - Sale <sup>25</sup>
	10.000	153.80	06/03/21	180.66	26.86 W	0.00	10 of 28 - Sale <sup>25</sup>
	12.453	191.52	06/03/21	224.95	33.43 W	0.00	11 of 28 - Sale <sup>25</sup>
	52.399	805.88	06/03/21	946.60	140.72 W	0.00	12 of 28 - Sale <sup>25</sup>
	157.534	2,422.84	06/03/21	2,754.48	331.64 W	0.00	13 of 28 - Sale <sup>25</sup>
	0.031	0.47	06/03/21	0.64	0.17 W	0.00	14 of 28 - Sale <sup>25</sup>
	0.094	1.44	06/03/21	1.99	0.55 W	0.00	15 of 28 - Sale <sup>25</sup>
	0.352	5.42	06/03/21	7.31	1.89 W	0.00	16 of 28 - Sale <sup>25</sup>
	16.492	253.64	06/03/21	342.62	88.98 W	0.00	17 of 28 - Sale <sup>25</sup>
	0.031	0.48	06/03/21	0.58	0.10 W	0.00	18 of 28 - Sale <sup>25</sup>
	0.248	3.81	06/03/21	4.76	0.95 W	0.00	19 of 28 - Sale <sup>25</sup>
	0.299	4.60	06/03/21	5.76	1.16 W	0.00	20 of 28 - Sale <sup>25</sup>
	6.125	94.20	06/03/21	107.43	13.23 W	0.00	21 of 28 - Sale <sup>25</sup>
	9.875	151.88	06/03/21	184.92	33.04 W	0.00	22 of 28 - Sale <sup>25</sup>
	10.000	153.80	06/03/21	181.21	27.41 W	0.00	23 of 28 - Sale <sup>25</sup>
	12.453	191.52	06/03/21	225.64	34.12 W	0.00	24 of 28 - Sale <sup>25</sup>
	33.024	507.90	06/03/21	598.57	90.67 W	0.00	25 of 28 - Sale <sup>25</sup>
	52.399	805.88	06/03/21	949.50	143.62 W	0.00	26 of 28 - Sale <sup>25</sup>
	72.000	1,107.35	06/03/21	1,347.51	240.16 W	0.00	27 of 28 - Sale <sup>25</sup>
	72.578	1,116.23	06/03/21	1,358.33	242.10 W	0.00	28 of 28 - Sale <sup>25</sup>
06/04/21	723.409	11,125.88	Various	13,230.35	2,104.47 W	0.00	Total of 28 transactions
06/07/21	448.575	7,091.88	06/07/21	6,432.58	...	659.30	Sale <sup>25</sup>
17 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	0.911	14.40	06/07/21	14.97	0.57 W	0.00	1 of 17 - Sale <sup>25</sup>
	0.031	0.48	06/07/21	0.61	0.13 W	0.00	2 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: (cont'd)							
	0.031	0.50	06/07/21	0.55	0.05 W	0.00	3 of 17 - Sale <sup>25</sup>
	0.094	1.48	06/07/21	1.89	0.41 W	0.00	4 of 17 - Sale <sup>25</sup>
	0.248	3.92	06/07/21	4.50	0.58 W	0.00	5 of 17 - Sale <sup>25</sup>
	0.299	4.73	06/07/21	5.45	0.72 W	0.00	6 of 17 - Sale <sup>25</sup>
	0.352	5.57	06/07/21	6.94	1.37 W	0.00	7 of 17 - Sale <sup>25</sup>
	6.125	96.83	06/07/21	101.06	4.23 W	0.00	8 of 17 - Sale <sup>25</sup>
	9.875	156.12	06/07/21	174.65	18.53 W	0.00	9 of 17 - Sale <sup>25</sup>
	10.000	158.10	06/07/21	170.81	12.71 W	0.00	10 of 17 - Sale <sup>25</sup>
	12.453	196.88	06/07/21	212.69	15.81 W	0.00	11 of 17 - Sale <sup>25</sup>
	16.492	260.73	06/07/21	325.47	64.74 W	0.00	12 of 17 - Sale <sup>25</sup>
	33.024	522.10	06/07/21	564.23	42.13 W	0.00	13 of 17 - Sale <sup>25</sup>
	52.399	828.41	06/07/21	895.02	66.61 W	0.00	14 of 17 - Sale <sup>25</sup>
	72.000	1,138.31	06/07/21	1,272.64	134.33 W	0.00	15 of 17 - Sale <sup>25</sup>
	72.578	1,147.44	06/07/21	1,282.87	135.43 W	0.00	16 of 17 - Sale <sup>25</sup>
	150.498	2,379.34	06/07/21	2,474.97	95.63 W	0.00	17 of 17 - Sale <sup>25</sup>
06/07/21	437.409	6,915.34	Various	7,509.32	593.98 W	0.00	Total of 17 transactions
	6 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	0.090	1.49	06/07/21	1.49	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.252	4.16	06/07/21	4.14	...	0.02	2 of 6 - Sale <sup>25</sup>
	0.568	9.40	06/07/21	9.33	...	0.07	3 of 6 - Sale <sup>25</sup>
	6.125	101.18	06/07/21	101.00	...	0.18	4 of 6 - Sale <sup>25</sup>
	150.498	2,486.20	06/07/21	2,473.43	...	12.77	5 of 6 - Sale <sup>25</sup>
	449.159	7,420.01	06/07/21	7,096.49	...	323.52	6 of 6 - Sale <sup>25</sup>
06/08/21	606.693	10,022.44	Various	9,685.88	...	336.56	Total of 6 transactions
	15 transactions for 06/08/21. Total proceeds and cost reported to the IRS.						
	0.031	0.51	06/07/21	0.61	0.10 W	0.00	1 of 15 - Sale <sup>25</sup>
	0.031	0.52	06/07/21	0.55	0.03 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.094	1.55	06/07/21	1.89	0.34 W	0.00	3 of 15 - Sale <sup>25</sup>
	0.352	5.82	06/07/21	6.94	1.12 W	0.00	4 of 15 - Sale <sup>25</sup>
	9.875	163.14	06/07/21	174.55	11.41 W	0.00	5 of 15 - Sale <sup>25</sup>
	14.782	244.20	06/07/21	261.13	16.93 W	0.00	6 of 15 - Sale <sup>25</sup>
	16.492	272.44	06/07/21	325.30	52.86 W	0.00	7 of 15 - Sale <sup>25</sup>
	72.000	1,189.42	06/07/21	1,271.90	82.48 W	0.00	8 of 15 - Sale <sup>25</sup>
	0.248	4.09	06/07/21	4.49	0.40 W	0.00	9 of 15 - Sale <sup>25</sup>

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Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol: (cont'd)							
	0.299	4.95	06/07/21	5.45	0.50 W	0.00	10 of 15 - Sale <sup>25</sup>
	10.000	165.20	06/07/21	170.70	5.50 W	0.00	11 of 15 - Sale <sup>25</sup>
	12.453	205.72	06/07/21	212.56	6.84 W	0.00	12 of 15 - Sale <sup>25</sup>
	33.024	545.55	06/07/21	563.89	18.34 W	0.00	13 of 15 - Sale <sup>25</sup>
	52.399	865.61	06/07/21	894.48	28.87 W	0.00	14 of 15 - Sale <sup>25</sup>
	57.795	954.77	06/07/21	1,020.99	66.22 W	0.00	15 of 15 - Sale <sup>25</sup>
06/08/21	279.875	4,623.49	Various	4,915.43	291.94 W	0.00	Total of 15 transactions
	18 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	601.125	8,908.54	06/08/21	9,990.69	...	-1,082.15	1 of 18 - Sale <sup>25</sup>
	72.000	1,067.03	06/08/21	1,279.12	...	-212.09	2 of 18 - Sale <sup>25</sup>
	57.795	856.52	06/08/21	1,026.78	...	-170.26	3 of 18 - Sale <sup>25</sup>
	52.399	776.54	06/08/21	899.73	...	-123.19	4 of 18 - Sale <sup>25</sup>
	16.492	244.41	06/08/21	326.96	...	-82.55	5 of 18 - Sale <sup>25</sup>
	33.024	489.40	06/08/21	567.19	...	-77.79	6 of 18 - Sale <sup>25</sup>
	14.782	219.07	06/08/21	262.61	...	-43.54	7 of 18 - Sale <sup>25</sup>
	12.453	184.55	06/08/21	213.81	...	-29.26	8 of 18 - Sale <sup>25</sup>
	9.875	146.35	06/08/21	175.54	...	-29.19	9 of 18 - Sale <sup>25</sup>
	10.000	148.20	06/08/21	171.70	...	-23.50	10 of 18 - Sale <sup>25</sup>
	0.212	3.14	06/08/21	4.19	...	-1.05	11 of 18 - Sale <sup>25</sup>
	0.299	4.44	06/08/21	5.48	...	-1.04	12 of 18 - Sale <sup>25</sup>
	0.248	3.67	06/08/21	4.52	...	-0.85	13 of 18 - Sale <sup>25</sup>
	0.094	1.39	06/08/21	2.07	...	-0.68	14 of 18 - Sale <sup>25</sup>
	0.099	1.46	06/08/21	2.12	...	-0.66	15 of 18 - Sale <sup>25</sup>
	0.031	0.45	06/08/21	0.67	...	-0.22	16 of 18 - Sale <sup>25</sup>
	0.042	0.62	06/08/21	0.83	...	-0.21	17 of 18 - Sale <sup>25</sup>
	0.031	0.47	06/08/21	0.55	...	-0.08	18 of 18 - Sale <sup>25</sup>
06/09/21	881.000	13,056.25	Various	14,934.56	...	-1,878.31	Total of 18 transactions
	3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.						
	0.031	0.45	06/08/21	0.61	0.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.094	1.39	06/08/21	1.90	0.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.099	1.47	06/08/21	1.95	0.48 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/21	0.223	3.31	Various	4.46	1.15 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>67,323.20</b>		<b>70,446.97</b>	<b>3,358.23 W</b>	<b>234.46</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CHARGEPOINT HOLDINGS, INC. / CUSIP: 15961R105 / Symbol:							
03/11/21	29.583	848.14	03/11/21	904.80	...	-56.66	Sale <sup>25</sup>
03/11/21	0.417	11.95	03/11/21	12.74	0.76 W	-0.03	Sale <sup>25</sup>
03/12/21	0.402	11.04	03/11/21	13.06	...	-2.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>871.13</b>		<b>930.60</b>	<b>0.76 W</b>	<b>-58.71</b>	
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	69.728	1,472.98	03/03/21	1,999.55	...	-526.57	1 of 2 - Sale <sup>25</sup>
	0.016	0.33	03/03/21	0.45	...	-0.12	2 of 2 - Sale <sup>25</sup>
03/05/21	69.744	1,473.31	Various	2,000.00	...	-526.69	Total of 2 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
06/22/21	0.031	0.41	06/22/21	0.41	...	0.00	Sale <sup>25</sup>
2 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	0.081	1.07	06/22/21	1.08	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	216.919	2,848.09	06/22/21	2,878.51	30.42 W	0.00	2 of 2 - Sale <sup>25</sup>
06/22/21	217.000	2,849.16	Various	2,879.59	30.43 W	0.00	Total of 2 transactions
07/22/21	63.313	568.22	07/21/21	564.66	...	3.56	Sale <sup>25</sup>
2 transactions for 07/22/21. Total proceeds and cost reported to the IRS.							
	0.081	0.73	07/21/21	0.74	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	216.919	1,946.81	07/21/21	1,965.03	18.22 W	0.00	2 of 2 - Sale <sup>25</sup>
07/22/21	217.000	1,947.54	Various	1,965.77	18.23 W	0.00	Total of 2 transactions
10 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	0.146	1.34	07/26/21	1.19	...	0.15	1 of 10 - Sale <sup>25</sup>
	5.996	54.99	07/26/21	48.81	...	6.18	2 of 10 - Sale <sup>25</sup>
	0.923	8.46	07/30/21	7.50	...	0.96	3 of 10 - Sale <sup>25</sup>
	11.000	100.87	07/30/21	89.43	...	11.44	4 of 10 - Sale <sup>25</sup>
	36.000	330.11	07/30/21	292.50	...	37.61	5 of 10 - Sale <sup>25</sup>
	61.350	562.56	07/30/21	500.00	...	62.56	6 of 10 - Sale <sup>25</sup>
	12.000	110.04	08/02/21	97.80	...	12.24	7 of 10 - Sale <sup>25</sup>
	0.822	7.54	08/03/21	6.74	...	0.80	8 of 10 - Sale <sup>25</sup>
	11.000	100.87	08/03/21	90.26	...	10.61	9 of 10 - Sale <sup>25</sup>
	38.634	354.26	08/03/21	316.41	...	37.85	10 of 10 - Sale <sup>25</sup>
08/12/21	177.871	1,631.04	Various	1,450.64	...	180.40	Total of 10 transactions

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Robinhood Securities LLC

Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
4 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	0.081	0.75	07/22/21	0.76	0.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.284	2.61	07/22/21	2.64	0.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	216.634	1,986.50	07/22/21	2,017.73	31.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	368.049	3,374.95	07/22/21	3,397.10	22.15 W	0.00	4 of 4 - Sale <sup>25</sup>
08/12/21	585.050	5,364.81	Various	5,418.23	53.42 W	0.00	Total of 4 transactions
10 transactions for 08/13/21. Total proceeds and cost reported to the IRS.							
	0.081	0.69	08/12/21	0.73	0.04 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.117	0.99	08/12/21	1.06	0.07 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.284	2.41	08/12/21	2.56	0.15 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.029	0.25	08/12/21	0.26	0.01 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.081	0.69	08/12/21	0.76	0.07 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.117	0.99	08/12/21	1.11	0.12 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.284	2.41	08/12/21	2.68	0.27 W	0.00	7 of 10 - Sale <sup>25</sup>
	216.488	1,833.62	08/12/21	1,955.79	122.17 W	0.00	8 of 10 - Sale <sup>25</sup>
	368.049	3,117.32	08/12/21	3,294.11	176.79 W	0.00	9 of 10 - Sale <sup>25</sup>
	276.980	2,345.97	08/12/21	2,462.35	116.38 W	0.00	10 of 10 - Sale <sup>25</sup>
08/13/21	862.512	7,305.34	Various	7,721.41	416.07 W	0.00	Total of 10 transactions
9 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	0.081	0.66	08/13/21	0.75	0.09 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.049	0.40	08/13/21	0.46	0.06 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.284	2.31	08/13/21	2.64	0.33 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.068	0.55	08/13/21	0.64	0.09 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.029	0.24	08/13/21	0.25	0.01 W	0.00	5 of 9 - Sale <sup>25</sup>
	216.488	1,756.76	08/13/21	1,928.76	172.00 W	0.00	6 of 9 - Sale <sup>25</sup>
	368.049	2,986.66	08/13/21	3,248.17	261.51 W	0.00	7 of 9 - Sale <sup>25</sup>
	276.980	2,247.65	08/13/21	2,427.77	180.12 W	0.00	8 of 9 - Sale <sup>25</sup>
	13.386	108.62	08/13/21	111.71	3.09 W	0.00	9 of 9 - Sale <sup>25</sup>
08/16/21	875.415	7,103.85	Various	7,721.15	617.30 W	0.00	Total of 9 transactions
8 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	0.049	0.45	08/16/21	0.45	...	0.00	1 of 8 - Sale <sup>25</sup>
	0.081	0.74	08/16/21	0.74	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.029	0.27	08/16/21	0.24	...	0.03	3 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol: (cont'd)							
	13.386	121.56	08/16/21	109.84	...	11.72	4 of 8 - Sale <sup>25</sup>
	15.357	139.45	08/16/21	122.48	...	16.97	5 of 8 - Sale <sup>25</sup>
	216.488	1,965.93	08/16/21	1,898.49	...	67.44	6 of 8 - Sale <sup>25</sup>
	276.980	2,515.26	08/16/21	2,389.03	...	126.23	7 of 8 - Sale <sup>25</sup>
	368.049	3,342.26	08/16/21	3,196.71	...	145.55	8 of 8 - Sale <sup>25</sup>
08/24/21	890.420	8,085.92	Various	7,717.98	...	367.94	Total of 8 transactions
	2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.						
	0.068	0.62	08/16/21	0.63	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.284	2.58	08/16/21	2.60	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/21	0.352	3.20	Various	3.23	0.03 W	0.00	Total of 2 transactions
	10 transactions for 08/26/21. Total proceeds and cost reported to the IRS.						
	100.000	863.50	08/25/21	894.00	...	-30.50	1 of 10 - Sale <sup>25</sup>
	100.000	863.50	08/25/21	894.00	...	-30.50	2 of 10 - Sale <sup>25</sup>
	99.279	857.27	08/25/21	887.55	...	-30.28	3 of 10 - Sale <sup>25</sup>
	69.000	595.81	08/25/21	616.86	...	-21.05	4 of 10 - Sale <sup>25</sup>
	69.000	595.81	08/25/21	616.86	...	-21.05	5 of 10 - Sale <sup>25</sup>
	30.721	265.28	08/25/21	274.65	...	-9.37	6 of 10 - Sale <sup>25</sup>
	0.376	3.25	08/25/21	3.48	...	-0.23	7 of 10 - Sale <sup>25</sup>
	0.278	2.40	08/25/21	2.58	...	-0.18	8 of 10 - Sale <sup>25</sup>
	0.068	0.59	08/25/21	0.64	...	-0.05	9 of 10 - Sale <sup>25</sup>
	0.003	0.03	08/25/21	0.03	...	0.00	10 of 10 - Sale <sup>25</sup>
08/26/21	468.725	4,047.44	Various	4,190.65	...	-143.21	Total of 10 transactions
	4 transactions for 08/26/21. Total proceeds and cost reported to the IRS.						
	28.279	244.19	08/25/21	252.81	0.28 W	-8.34	1 of 4 - Sale <sup>25</sup>
	0.068	0.59	08/25/21	0.62	0.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.278	2.40	08/25/21	2.50	0.10 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.376	3.24	08/25/21	3.36	0.12 W	0.00	4 of 4 - Sale <sup>25</sup>
08/26/21	29.000	250.42	Various	259.29	0.53 W	-8.34	Total of 4 transactions
11/02/21	0.910	6.86	09/14/21	7.91	...	-1.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>39,164.21</b>		<b>39,900.92</b>	<b>1,136.01 W</b>	<b>399.30</b>	

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COINBASE GLOBAL, INC. CLASS A COMMON STOCK / CUSIP: 19260Q107 / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	327.12	04/14/21	384.98	...	-57.86	1 of 3 - Sale <sup>25</sup>
	1.000	327.12	04/14/21	343.70	...	-16.58	2 of 3 - Sale <sup>25</sup>
	1.000	327.11	04/14/21	329.48	...	-2.37	3 of 3 - Sale <sup>25</sup>
04/16/21	3.000	981.35	Various	1,058.16	...	-76.81	Total of 3 transactions
CREATD INC. COMMON STOCK / CUSIP: 225265107 / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	0.305	1.68	10/25/21	1.66	...	0.02	1 of 2 - Sale <sup>25</sup>
	678.000	3,722.12	10/25/21	3,688.32	...	33.80	2 of 2 - Sale <sup>25</sup>
10/25/21	678.305	3,723.80	Various	3,689.98	...	33.82	Total of 2 transactions
DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
01/27/21	4.959	1,034.81	01/25/21	1,000.00	...	34.81	Sale <sup>25</sup>
FISKER INC. / CUSIP: 33813J106 / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	0.700	9.12	05/10/21	7.52	...	1.60	1 of 2 - Sale <sup>25</sup>
	115.687	1,506.24	05/10/21	1,242.48	...	263.76	2 of 2 - Sale <sup>25</sup>
05/26/21	116.387	1,515.36	Various	1,250.00	...	265.36	Total of 2 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.015	0.62	01/22/21	0.51	...	0.11	1 of 2 - Sale <sup>25</sup>
	28.937	1,191.60	01/22/21	999.49	...	192.11	2 of 2 - Sale <sup>25</sup>
01/25/21	28.952	1,192.22	Various	1,000.00	...	192.22	Total of 2 transactions
7 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.076	4.14	01/25/21	3.05	...	1.09	1 of 7 - Sale <sup>25</sup>
	0.097	5.29	01/25/21	3.89	...	1.40	2 of 7 - Sale <sup>25</sup>
	0.173	9.43	01/25/21	6.94	...	2.49	3 of 7 - Sale <sup>25</sup>
	20.000	1,089.97	01/25/21	803.67	...	286.30	4 of 7 - Sale <sup>25</sup>
	47.000	2,564.26	01/25/21	1,888.63	...	675.63	5 of 7 - Sale <sup>25</sup>
	199.827	10,904.30	01/25/21	8,029.78	...	2,874.52	6 of 7 - Sale <sup>25</sup>

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Account 135699072

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
01/27/21	0.125	6.81	01/26/21	5.00	...	1.81	7 of 7 - Sale <sup>25</sup>
	267.298	14,584.20	Various	10,740.96	...	3,843.24	Total of 7 transactions
	3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	0.471	22.43	01/27/21	24.58	2.15 W	0.00	1 of 3 - Sale <sup>25</sup>
	278.706	13,268.87	01/27/21	14,559.62	1,290.75 W	0.00	2 of 3 - Sale <sup>25</sup>
	17.762	845.62	01/27/21	880.83	35.21 W	0.00	3 of 3 - Sale <sup>25</sup>
01/28/21	296.939	14,136.92	Various	15,465.03	1,328.11 W	0.00	Total of 3 transactions
	7 transactions for 02/01/21. Total proceeds and cost reported to the IRS.						
	0.182	8.20	01/29/21	8.89	0.69 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.471	21.32	01/29/21	23.47	2.15 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.017	0.79	01/29/21	0.86	0.07 W	0.00	3 of 7 - Sale <sup>25</sup>
	15.000	675.28	01/29/21	748.37	73.09 W	0.00	4 of 7 - Sale <sup>25</sup>
	17.473	786.32	01/29/21	871.74	85.42 W	0.00	5 of 7 - Sale <sup>25</sup>
	34.562	1,555.40	01/29/21	1,660.07	104.67 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.783	260.25	01/29/21	275.73	15.48 W	0.00	7 of 7 - Sale <sup>25</sup>
02/01/21	73.488	3,307.56	Various	3,589.13	281.57 W	0.00	Total of 7 transactions
	2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.						
	3.193	153.78	02/01/21	158.52	4.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	205.689	9,907.47	02/01/21	10,757.77	850.30 W	0.00	2 of 2 - Sale <sup>25</sup>
02/03/21	208.882	10,061.25	Various	10,916.29	855.04 W	0.00	Total of 2 transactions
	3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	0.345	13.97	02/18/21	14.89	...	-0.92	1 of 3 - Sale <sup>25</sup>
	2.416	97.95	02/22/21	100.00	...	-2.05	2 of 3 - Sale <sup>25</sup>
	0.391	15.85	02/24/21	14.96	...	0.89	3 of 3 - Sale <sup>25</sup>
03/02/21	3.152	127.77	Various	129.85	...	-2.08	Total of 3 transactions
	20 transactions for 03/02/21. Total proceeds and cost reported to the IRS.						
	0.135	5.48	02/03/21	6.79	1.31 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.030	1.23	02/03/21	1.52	0.29 W	0.00	2 of 20 - Sale <sup>25</sup>
	0.017	0.70	02/03/21	0.91	0.21 W	0.00	3 of 20 - Sale <sup>25</sup>
	0.182	7.39	02/03/21	9.49	2.10 W	0.00	4 of 20 - Sale <sup>25</sup>
	0.471	19.10	02/03/21	24.91	5.81 W	0.00	5 of 20 - Sale <sup>25</sup>
	5.783	234.46	02/03/21	294.85	60.39 W	0.00	6 of 20 - Sale <sup>25</sup>
	15.000	608.17	02/03/21	797.74	189.57 W	0.00	7 of 20 - Sale <sup>25</sup>

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	17.473	708.43	02/03/21	929.53	221.10 W	0.00	8 of 20 - Sale <sup>25</sup>
	34.562	1,401.32	02/03/21	1,774.37	373.05 W	0.00	9 of 20 - Sale <sup>25</sup>
	58.108	2,355.95	02/03/21	3,047.39	691.44 W	0.00	10 of 20 - Sale <sup>25</sup>
	14.404	584.00	02/03/21	724.40	140.40 W	0.00	11 of 20 - Sale <sup>25</sup>
	0.013	0.52	02/08/21	0.64	0.12 W	0.00	12 of 20 - Sale <sup>25</sup>
	11.493	465.99	02/08/21	575.90	109.91 W	0.00	13 of 20 - Sale <sup>25</sup>
	66.951	2,714.48	02/08/21	3,377.77	663.29 W	0.00	14 of 20 - Sale <sup>25</sup>
	0.188	7.60	02/10/21	9.44	1.84 W	0.00	15 of 20 - Sale <sup>25</sup>
	0.058	2.36	02/10/21	2.93	0.57 W	0.00	16 of 20 - Sale <sup>25</sup>
	10.000	405.45	02/10/21	503.10	97.65 W	0.00	17 of 20 - Sale <sup>25</sup>
	80.682	3,271.23	02/16/21	3,577.44	135.94 W	-170.27	18 of 20 - Sale <sup>25</sup>
	3.193	129.44	02/16/21	146.30	16.86 W	0.00	19 of 20 - Sale <sup>25</sup>
	58.879	2,387.23	02/16/21	2,854.09	466.86 W	0.00	20 of 20 - Sale <sup>25</sup>
03/02/21	377.621	15,310.53	Various	18,659.51	3,178.71 W	-170.27	Total of 20 transactions
	19 transactions for 03/03/21. Total proceeds and cost reported to the IRS.						
	66.951	2,477.15	03/02/21	3,433.70	...	-956.55	1 of 19 - Sale <sup>25</sup>
	58.108	2,149.97	03/02/21	3,095.94	...	-945.97	2 of 19 - Sale <sup>25</sup>
	34.562	1,278.79	03/02/21	1,803.24	...	-524.45	3 of 19 - Sale <sup>25</sup>
	41.915	1,550.84	03/02/21	2,066.80	...	-515.96	4 of 19 - Sale <sup>25</sup>
	17.473	646.48	03/02/21	944.12	...	-297.64	5 of 19 - Sale <sup>25</sup>
	15.000	555.00	03/02/21	810.27	...	-255.27	6 of 19 - Sale <sup>25</sup>
	14.404	532.94	03/02/21	736.43	...	-203.49	7 of 19 - Sale <sup>25</sup>
	11.493	425.25	03/02/21	585.50	...	-160.25	8 of 19 - Sale <sup>25</sup>
	10.000	370.00	03/02/21	511.45	...	-141.45	9 of 19 - Sale <sup>25</sup>
	5.783	213.96	03/02/21	299.68	...	-85.72	10 of 19 - Sale <sup>25</sup>
	0.419	15.48	03/02/21	22.48	...	-7.00	11 of 19 - Sale <sup>25</sup>
	0.182	6.74	03/02/21	9.64	...	-2.90	12 of 19 - Sale <sup>25</sup>
	0.188	6.94	03/02/21	9.60	...	-2.66	13 of 19 - Sale <sup>25</sup>
	0.135	5.00	03/02/21	6.89	...	-1.89	14 of 19 - Sale <sup>25</sup>
	0.053	1.95	03/02/21	2.82	...	-0.87	15 of 19 - Sale <sup>25</sup>
	0.058	2.16	03/02/21	2.98	...	-0.82	16 of 19 - Sale <sup>25</sup>
	0.030	1.12	03/02/21	1.54	...	-0.42	17 of 19 - Sale <sup>25</sup>
	0.017	0.64	03/02/21	0.93	...	-0.29	18 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
03/03/21	0.013	0.47	03/02/21	0.65	...	-0.18	19 of 19 - Sale <sup>25</sup>
	276.783	10,240.88	Various	14,344.66	...	-4,103.78	Total of 19 transactions
	4 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	35.819	1,108.41	03/03/21	1,415.76	...	-307.35	1 of 4 - Sale <sup>25</sup>
	16.910	523.27	03/03/21	738.27	...	-215.00	2 of 4 - Sale <sup>25</sup>
	3.193	98.79	03/03/21	130.93	...	-32.14	3 of 4 - Sale <sup>25</sup>
	0.054	1.67	03/03/21	2.35	...	-0.68	4 of 4 - Sale <sup>25</sup>
03/12/21	55.975	1,732.14	Various	2,287.31	...	-555.17	Total of 4 transactions
	2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	0.527	10.15	04/19/21	9.52	...	0.63	1 of 2 - Sale <sup>25</sup>
	10.000	192.40	04/19/21	180.48	...	11.92	2 of 2 - Sale <sup>25</sup>
05/04/21	10.527	202.55	Various	190.00	...	12.55	Total of 2 transactions
	2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.						
	0.451	9.12	05/10/21	7.50	...	1.62	1 of 2 - Sale <sup>25</sup>
	179.400	3,625.63	05/10/21	2,992.50	...	633.13	2 of 2 - Sale <sup>25</sup>
05/12/21	179.851	3,634.75	Various	3,000.00	...	634.75	Total of 2 transactions
	2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	0.560	15.03	05/28/21	14.48	...	0.55	1 of 2 - Sale <sup>25</sup>
	154.000	4,137.48	05/28/21	3,985.52	...	151.96	2 of 2 - Sale <sup>25</sup>
06/03/21	154.560	4,152.51	Various	4,000.00	...	152.51	Total of 2 transactions
	<b>Security total:</b>	<b>78,683.28</b>		<b>84,322.74</b>	<b>5,643.43 W</b>	<b>3.97</b>	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
	2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	15.000	812.63	02/04/21	996.75	...	-184.12	1 of 2 - Sale <sup>25</sup>
	0.049	2.66	02/04/21	3.25	...	-0.59	2 of 2 - Sale <sup>25</sup>
02/05/21	15.049	815.29	Various	1,000.00	...	-184.71	Total of 2 transactions
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
	2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.						
	0.954	9.77	01/13/21	6.67	...	3.10	1 of 2 - Sale <sup>25</sup>
	142.000	1,455.44	01/13/21	993.29	...	462.15	2 of 2 - Sale <sup>25</sup>
01/19/21	142.954	1,465.21	Various	999.96	...	465.25	Total of 2 transactions

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GITLAB INC. CLASS A COMMON STO CK / CUSIP: 37637K108 / Symbol:							
3 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	0.006	0.61	10/14/21	0.59	...	0.02	1 of 3 - Sale <sup>25</sup>
	0.994	99.50	10/14/21	95.52	...	3.98	2 of 3 - Sale <sup>25</sup>
	5.538	554.72	10/14/21	532.30	...	22.42	3 of 3 - Sale <sup>25</sup>
10/15/21	6.538	654.83	Various	628.41	...	26.42	Total of 3 transactions
GORES HOLDINGS VI, INC. CLASS A COMMON STOCK / CUSIP: 38286R105 / Symbol:							
5 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	0.083	1.18	03/05/21	1.00	...	0.18	1 of 5 - Sale <sup>25</sup>
	0.115	1.64	03/05/21	1.44	...	0.20	2 of 5 - Sale <sup>25</sup>
	0.391	5.57	03/05/21	4.72	...	0.85	3 of 5 - Sale <sup>25</sup>
	40.898	583.21	03/05/21	495.28	...	87.93	4 of 5 - Sale <sup>25</sup>
	214.403	3,057.36	03/05/21	2,699.33	...	358.03	5 of 5 - Sale <sup>25</sup>
03/08/21	255.890	3,648.96	Various	3,201.77	...	447.19	Total of 5 transactions
2 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	0.369	5.26	03/03/21	5.97	0.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	153.573	2,189.94	03/03/21	2,494.03	304.09 W	0.00	2 of 2 - Sale <sup>25</sup>
03/08/21	153.942	2,195.20	Various	2,500.00	304.80 W	0.00	Total of 2 transactions
03/09/21	270.965	4,035.97	03/08/21	3,961.48	...	74.49	Sale <sup>25</sup>
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	0.361	5.38	03/08/21	5.96	0.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.008	0.11	03/08/21	0.12	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	153.573	2,287.44	03/08/21	2,549.32	261.88 W	0.00	3 of 3 - Sale <sup>25</sup>
03/09/21	153.942	2,292.93	Various	2,555.40	262.47 W	0.00	Total of 3 transactions
10 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	0.008	0.13	03/09/21	0.13	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.361	6.32	03/09/21	6.17	...	0.15	2 of 10 - Sale <sup>25</sup>
	53.942	944.52	03/09/21	927.00	...	17.52	3 of 10 - Sale <sup>25</sup>
	99.631	1,744.53	03/09/21	1,712.19	...	32.34	4 of 10 - Sale <sup>25</sup>
	46.058	806.47	03/09/21	712.98	...	93.49	5 of 10 - Sale <sup>25</sup>
	74.000	1,295.73	03/09/21	1,147.00	...	148.73	6 of 10 - Sale <sup>25</sup>
	75.000	1,313.24	03/09/21	1,161.75	...	151.49	7 of 10 - Sale <sup>25</sup>
	85.000	1,488.34	03/09/21	1,317.50	...	170.84	8 of 10 - Sale <sup>25</sup>

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GORES HOLDINGS VI, INC. CLASS A COMMON STOCK / CUSIP: 38286R105 / Symbol: (cont'd)							
	137.000	2,398.86	03/09/21	2,123.50	...	275.36	9 of 10 - Sale <sup>25</sup>
	138.984	2,433.60	03/09/21	2,154.25	...	279.35	10 of 10 - Sale <sup>25</sup>
03/10/21	709.984	12,431.74	Various	11,262.47	...	1,169.27	Total of 10 transactions
	6 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	485.000	7,441.65	03/10/21	8,361.40	...	-919.75	1 of 6 - Sale <sup>25</sup>
	100.000	1,534.36	03/10/21	1,723.00	...	-188.64	2 of 6 - Sale <sup>25</sup>
	99.876	1,532.45	03/10/21	1,720.86	...	-188.41	3 of 6 - Sale <sup>25</sup>
	98.000	1,503.67	03/10/21	1,688.54	...	-184.87	4 of 6 - Sale <sup>25</sup>
	0.112	1.72	03/10/21	2.07	...	-0.35	5 of 6 - Sale <sup>25</sup>
	0.012	0.19	03/10/21	0.23	...	-0.04	6 of 6 - Sale <sup>25</sup>
03/12/21	783.000	12,014.04	Various	13,496.10	...	-1,482.06	Total of 6 transactions
	2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.						
	0.124	1.98	03/10/21	2.14	0.02 W	-0.14	1 of 2 - Sale <sup>25</sup>
	0.112	1.78	03/10/21	1.92	0.14 W	0.00	2 of 2 - Sale <sup>25</sup>
03/12/21	0.236	3.76	Various	4.06	0.16 W	-0.14	Total of 2 transactions
	<b>Security total:</b>	<b>36,622.60</b>		<b>36,981.28</b>	<b>567.43 W</b>	<b>208.75</b>	
KALEIDO BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 483347100 / Symbol:							
02/05/21	88.597	997.05	02/04/21	1,000.00	...	-2.95	Sale <sup>25</sup>
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
	6 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	162.839	3,753.39	03/02/21	3,984.48	...	-231.09	1 of 6 - Sale <sup>25</sup>
	0.363	8.37	03/02/21	8.88	...	-0.51	2 of 6 - Sale <sup>25</sup>
	0.136	3.13	03/02/21	3.51	...	-0.38	3 of 6 - Sale <sup>25</sup>
	0.281	6.47	03/02/21	6.69	...	-0.22	4 of 6 - Sale <sup>25</sup>
	42.000	968.09	03/03/21	990.99	...	-22.90	5 of 6 - Sale <sup>25</sup>
	0.382	8.80	03/03/21	9.01	...	-0.21	6 of 6 - Sale <sup>25</sup>
03/09/21	206.000	4,748.25	Various	5,003.56	...	-255.31	Total of 6 transactions
03/09/21	0.136	3.13	03/02/21	3.32	0.19 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,751.38</b>		<b>5,006.88</b>	<b>0.19 W</b>	<b>-255.31</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LENDINGCLUB CORPORATION / CUSIP: 52603A208 / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	138.958	5,995.98	10/28/21	6,127.82	...	-131.84	1 of 2 - Sale <sup>25</sup>
	0.413	17.82	10/28/21	18.20	...	-0.38	2 of 2 - Sale <sup>25</sup>
10/29/21	139.371	6,013.80	Various	6,146.02	...	-132.22	Total of 2 transactions
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
06/09/21	1.000	3.36	06/03/21	3.16	...	0.20	Sale <sup>25</sup>
LIZHI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 53933L104 / Symbol:							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	0.309	2.16	05/10/21	1.59	...	0.57	1 of 2 - Sale <sup>25</sup>
	57.949	405.76	05/10/21	298.41	...	107.35	2 of 2 - Sale <sup>25</sup>
05/26/21	58.258	407.92	Various	300.00	...	107.92	Total of 2 transactions
12/13/21	191.438	335.00	12/06/21	324.20	...	10.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>742.92</b>		<b>624.20</b>	...	<b>118.72</b>	
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
14 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	45.913	1,166.15	01/07/21	1,243.20	...	-77.05	1 of 14 - Sale <sup>25</sup>
	8.319	211.31	01/07/21	224.10	...	-12.79	2 of 14 - Sale <sup>25</sup>
	4.000	101.60	01/07/21	108.68	...	-7.08	3 of 14 - Sale <sup>25</sup>
	6.979	177.27	01/07/21	179.87	...	-2.60	4 of 14 - Sale <sup>25</sup>
	8.386	213.00	01/07/21	214.70	...	-1.70	5 of 14 - Sale <sup>25</sup>
	0.386	9.80	01/07/21	10.40	...	-0.60	6 of 14 - Sale <sup>25</sup>
	0.401	10.19	01/07/21	10.31	...	-0.12	7 of 14 - Sale <sup>25</sup>
	0.408	10.37	01/07/21	10.46	...	-0.09	8 of 14 - Sale <sup>25</sup>
	0.614	15.60	01/07/21	15.63	...	-0.03	9 of 14 - Sale <sup>25</sup>
	0.003	0.08	01/07/21	0.09	...	-0.01	10 of 14 - Sale <sup>25</sup>
	0.009	0.24	01/07/21	0.24	...	0.00	11 of 14 - Sale <sup>25</sup>
	24.000	609.58	01/07/21	578.16	...	31.42	12 of 14 - Sale <sup>25</sup>
	87.419	2,220.38	01/07/21	2,106.71	...	113.67	13 of 14 - Sale <sup>25</sup>
	98.581	2,503.88	01/07/21	2,375.70	...	128.18	14 of 14 - Sale <sup>25</sup>
01/11/21	285.419	7,249.45	Various	7,078.25	...	171.20	Total of 14 transactions
01/11/21	0.581	14.75	01/07/21	17.38	2.63 W	0.00	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol: (cont'd)							
01/12/21	0.581	15.12	01/07/21	16.63	...	-1.51	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,279.32</b>		<b>7,112.26</b>	<b>2.63 W</b>	<b>169.69</b>	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
14 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.006	0.30	12/21/20	0.30	...	0.00	1 of 14 - Sale <sup>25</sup>
	0.016	0.84	12/21/20	0.84	...	0.00	2 of 14 - Sale <sup>25</sup>
	0.008	0.40	12/21/20	0.38	...	0.02	3 of 14 - Sale <sup>25</sup>
	0.039	2.00	12/21/20	1.94	...	0.06	4 of 14 - Sale <sup>25</sup>
	0.048	2.47	12/21/20	2.39	...	0.08	5 of 14 - Sale <sup>25</sup>
	0.053	2.76	12/21/20	2.55	...	0.21	6 of 14 - Sale <sup>25</sup>
	0.186	9.64	12/21/20	9.42	...	0.22	7 of 14 - Sale <sup>25</sup>
	0.128	6.63	12/21/20	6.37	...	0.26	8 of 14 - Sale <sup>25</sup>
	0.288	14.94	12/21/20	14.59	...	0.35	9 of 14 - Sale <sup>25</sup>
	0.507	26.30	12/21/20	24.29	...	2.01	10 of 14 - Sale <sup>25</sup>
	3.738	193.75	12/21/20	186.65	...	7.10	11 of 14 - Sale <sup>25</sup>
	3.474	180.06	12/21/20	166.52	...	13.54	12 of 14 - Sale <sup>25</sup>
	11.732	608.04	12/21/20	593.86	...	14.18	13 of 14 - Sale <sup>25</sup>
	38.307	1,985.39	12/21/20	1,803.43	...	181.96	14 of 14 - Sale <sup>25</sup>
01/06/21	58.529	3,033.52	Various	2,813.53	...	219.99	Total of 14 transactions
13 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	0.011	0.57	12/21/20	0.61	0.04 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.001	0.06	12/21/20	0.07	0.01 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.023	1.21	12/21/20	1.26	0.05 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.038	1.95	12/21/20	1.96	0.01 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.162	8.39	12/21/20	8.45	0.06 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.047	2.45	12/21/20	2.47	0.02 W	0.00	6 of 13 - Sale <sup>25</sup>
	0.039	2.00	12/21/20	2.02	0.02 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.047	2.43	12/21/20	2.45	0.02 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.404	20.95	12/21/20	21.11	0.16 W	0.00	9 of 13 - Sale <sup>25</sup>
	22.000	1,140.23	12/21/20	1,150.93	10.70 W	0.00	10 of 13 - Sale <sup>25</sup>
	55.397	2,871.17	12/21/20	2,897.91	26.74 W	0.00	11 of 13 - Sale <sup>25</sup>
	0.012	0.63	12/21/20	0.72	0.09 W	0.00	12 of 13 - Sale <sup>25</sup>

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.630	136.32	12/21/20	155.74	19.42 W	0.00	13 of 13 - Sale <sup>25</sup>
01/06/21	80.812	4,188.36	Various	4,245.70	57.34 W	0.00	Total of 13 transactions
02/03/21	0.000	0.02	02/01/21	0.02	...	0.00	Sale <sup>25</sup>
9 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	0.011	0.62	02/01/21	0.66	0.04 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.001	0.07	02/01/21	0.08	0.01 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.023	1.35	02/01/21	1.40	0.05 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.038	2.16	02/01/21	2.18	0.02 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.047	2.70	02/01/21	2.73	0.03 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.047	2.73	02/01/21	2.75	0.02 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.162	9.33	02/01/21	9.41	0.08 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.404	23.32	02/01/21	23.50	0.18 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.134	7.70	02/01/21	7.78	0.08 W	0.00	9 of 9 - Sale <sup>25</sup>
02/03/21	0.867	49.98	Various	50.49	0.51 W	0.00	Total of 9 transactions
15 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.023	1.37	02/01/21	1.36	...	0.01	1 of 15 - Sale <sup>25</sup>
	0.038	2.19	02/01/21	2.12	...	0.07	2 of 15 - Sale <sup>25</sup>
	0.047	2.73	02/01/21	2.66	...	0.07	3 of 15 - Sale <sup>25</sup>
	0.039	2.25	02/01/21	2.18	...	0.07	4 of 15 - Sale <sup>25</sup>
	0.047	2.76	02/01/21	2.68	...	0.08	5 of 15 - Sale <sup>25</sup>
	0.102	5.92	02/01/21	5.74	...	0.18	6 of 15 - Sale <sup>25</sup>
	0.134	7.79	02/01/21	7.57	...	0.22	7 of 15 - Sale <sup>25</sup>
	3.133	182.64	02/01/21	182.41	...	0.23	8 of 15 - Sale <sup>25</sup>
	0.162	9.44	02/01/21	9.16	...	0.28	9 of 15 - Sale <sup>25</sup>
	0.250	14.60	02/01/21	14.16	...	0.44	10 of 15 - Sale <sup>25</sup>
	0.404	23.57	02/01/21	22.84	...	0.73	11 of 15 - Sale <sup>25</sup>
	12.100	705.44	02/01/21	704.56	...	0.88	12 of 15 - Sale <sup>25</sup>
	6.281	366.19	02/01/21	355.18	...	11.01	13 of 15 - Sale <sup>25</sup>
	5.774	336.59	02/01/21	323.67	...	12.92	14 of 15 - Sale <sup>25</sup>
	55.397	3,229.57	02/01/21	3,132.31	...	97.26	15 of 15 - Sale <sup>25</sup>
02/04/21	83.931	4,893.05	Various	4,768.60	...	124.45	Total of 15 transactions

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	0.011	0.62	02/01/21	0.64	0.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.001	0.07	02/01/21	0.08	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.012	0.71	02/01/21	0.77	0.06 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.630	153.34	02/01/21	166.87	13.53 W	0.00	4 of 4 - Sale <sup>25</sup>
02/04/21	2.654	154.74	Various	168.36	13.62 W	0.00	Total of 4 transactions
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.011	0.65	02/04/21	0.64	...	0.01	1 of 4 - Sale <sup>25</sup>
	82.522	5,012.27	02/04/21	4,752.44	...	259.83	2 of 4 - Sale <sup>25</sup>
	0.628	38.15	02/08/21	35.44	...	2.71	3 of 4 - Sale <sup>25</sup>
	9.000	546.65	02/08/21	507.78	...	38.87	4 of 4 - Sale <sup>25</sup>
02/09/21	92.161	5,597.72	Various	5,296.30	...	301.42	Total of 4 transactions
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.001	0.07	02/04/21	0.08	0.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.164	9.98	02/04/21	10.31	0.33 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.012	0.74	02/04/21	0.76	0.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.466	149.78	02/04/21	154.69	4.91 W	0.00	4 of 4 - Sale <sup>25</sup>
02/09/21	2.644	160.57	Various	165.84	5.27 W	0.00	Total of 4 transactions
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	11.883	750.78	02/09/21	740.29	...	10.49	1 of 2 - Sale <sup>25</sup>
	71.457	4,515.29	02/09/21	4,451.53	...	63.76	2 of 2 - Sale <sup>25</sup>
02/10/21	83.341	5,266.07	Various	5,191.82	...	74.25	Total of 2 transactions
7 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.001	0.07	02/09/21	0.08	0.01 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.115	7.29	02/09/21	7.42	0.13 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.012	0.77	02/09/21	0.78	0.01 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.049	3.09	02/09/21	3.15	0.06 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.466	155.82	02/09/21	158.53	2.71 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.001	0.07	02/09/21	0.08	0.01 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.115	7.29	02/09/21	7.32	0.03 W	0.00	7 of 7 - Sale <sup>25</sup>
02/10/21	2.760	174.40	Various	177.36	2.96 W	0.00	Total of 7 transactions

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	25.356	981.28	03/03/21	1,101.73	49.49 W	-70.96	1 of 10 - Sale <sup>25</sup>
	0.049	1.90	03/03/21	2.19	0.29 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.510	19.74	03/03/21	22.71	2.97 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.003	0.12	03/03/21	0.14	0.02 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.001	0.05	03/03/21	0.06	0.01 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.049	1.89	03/03/21	2.42	0.53 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.510	19.74	03/03/21	25.13	5.39 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.115	4.47	03/03/21	5.04	0.57 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.012	0.47	03/03/21	0.54	0.07 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.953	75.57	03/03/21	87.00	11.43 W	0.00	10 of 10 - Sale <sup>25</sup>
03/09/21	28.559	1,105.23	Various	1,246.96	70.77 W	-70.96	Total of 10 transactions
11 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	0.002	0.06	03/24/21	0.07	0.01 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.500	18.64	03/24/21	24.42	5.78 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.115	4.30	03/24/21	4.99	0.69 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.383	14.28	03/24/21	16.91	2.63 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.012	0.46	03/24/21	0.54	0.08 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.570	58.52	03/24/21	69.27	10.75 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.418	388.39	03/24/21	448.29	59.90 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.001	0.04	03/24/21	0.06	0.02 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.010	0.37	03/24/21	0.49	0.12 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.049	1.83	03/24/21	2.40	0.57 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.002	0.06	03/24/21	0.07	0.01 W	0.00	11 of 11 - Sale <sup>25</sup>
03/25/21	13.062	486.95	Various	567.51	80.56 W	0.00	Total of 11 transactions
13 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	8.356	292.14	04/15/21	343.78	...	-51.64	1 of 13 - Sale <sup>25</sup>
	2.062	72.08	04/15/21	84.45	...	-12.37	2 of 13 - Sale <sup>25</sup>
	1.570	54.87	04/15/21	66.30	...	-11.43	3 of 13 - Sale <sup>25</sup>
	0.500	17.48	04/15/21	23.47	...	-5.99	4 of 13 - Sale <sup>25</sup>
	0.383	13.39	04/15/21	16.19	...	-2.80	5 of 13 - Sale <sup>25</sup>
	0.115	4.03	04/15/21	4.77	...	-0.74	6 of 13 - Sale <sup>25</sup>
	2.938	102.72	04/15/21	103.45	...	-0.73	7 of 13 - Sale <sup>25</sup>

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Account 135699072

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.049	1.71	04/15/21	2.30	...	-0.59	8 of 13 - Sale <sup>25</sup>
	0.010	0.35	04/15/21	0.48	...	-0.13	9 of 13 - Sale <sup>25</sup>
	0.012	0.43	04/15/21	0.51	...	-0.08	10 of 13 - Sale <sup>25</sup>
	0.001	0.04	04/15/21	0.06	...	-0.02	11 of 13 - Sale <sup>25</sup>
	0.002	0.05	04/15/21	0.07	...	-0.02	12 of 13 - Sale <sup>25</sup>
	0.002	0.06	04/15/21	0.07	...	-0.01	13 of 13 - Sale <sup>25</sup>
04/16/21	16.000	559.35	Various	645.90	...	-86.55	Total of 13 transactions
	<b>Security total:</b>	<b>25,669.96</b>		<b>25,338.39</b>	<b>231.03 W</b>	<b>562.60</b>	
NRX PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 629444100 / Symbol:							
10/14/21	49.954	628.41	10/14/21	599.95	...	28.46	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOCK / CUSIP: 67066G104 / Symbol:							
<i>2 transactions for 05/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.758	991.12	05/11/21	1,000.00	...	-8.88	1 of 2 - Sale <sup>25</sup>
	0.165	93.19	05/11/21	94.00	...	-0.81	2 of 2 - Sale <sup>25</sup>
05/12/21	1.923	1,084.31	Various	1,094.00	...	-9.69	Total of 2 transactions
<i>2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>							
	0.236	180.56	07/15/21	179.19	...	1.37	1 of 2 - Sale <sup>25</sup>
	4.000	3,061.62	07/15/21	3,040.81	...	20.81	2 of 2 - Sale <sup>25</sup>
07/16/21	4.236	3,242.18	Various	3,220.00	...	22.18	Total of 2 transactions
<i>3 transactions for 07/19/21. Total proceeds and cost reported to the IRS.</i>							
	3.571	2,626.86	07/16/21	2,653.05	...	-26.19	1 of 3 - Sale <sup>25</sup>
	0.428	315.11	07/16/21	321.24	...	-6.13	2 of 3 - Sale <sup>25</sup>
	0.001	0.50	07/16/21	0.50	...	0.00	3 of 3 - Sale <sup>25</sup>
07/19/21	4.000	2,942.47	Various	2,974.79	...	-32.32	Total of 3 transactions
07/19/21	0.428	315.21	07/16/21	318.20	2.99 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 10/27/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	4,933.17	10/26/21	5,004.32	...	-71.15	1 of 3 - Sale <sup>25</sup>
	0.458	112.94	10/26/21	114.58	...	-1.64	2 of 3 - Sale <sup>25</sup>
	0.002	0.47	10/26/21	0.48	...	-0.01	3 of 3 - Sale <sup>25</sup>
10/27/21	20.460	5,046.58	Various	5,119.38	...	-72.80	Total of 3 transactions

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
3 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	0.834	236.58	12/14/21	238.06	...	-1.48	1 of 3 - Sale <sup>25</sup>
	0.166	47.14	12/14/21	47.74	...	-0.60	2 of 3 - Sale <sup>25</sup>
	0.000	0.02	12/14/21	0.02	...	0.00	3 of 3 - Sale <sup>25</sup>
12/15/21	1.000	283.74	Various	285.82	...	-2.08	Total of 3 transactions
12/15/21	0.166	47.14	12/14/21	47.44	0.30 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>12,961.63</b>		<b>13,059.63</b>	<b>3.29 W</b>	<b>-94.71</b>	
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
03/02/21	8.422	6.69	02/11/21	9.98	...	-3.29	Sale <sup>25</sup>
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	0.005	0.13	03/10/21	0.12	...	0.01	1 of 2 - Sale <sup>25</sup>
	23.704	629.68	03/10/21	591.88	...	37.80	2 of 2 - Sale <sup>25</sup>
03/11/21	23.709	629.81	Various	592.00	...	37.81	Total of 2 transactions
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	25.679	2,256.52	05/06/21	2,166.00	...	90.52	1 of 2 - Sale <sup>25</sup>
	100.000	8,787.21	05/06/21	8,434.00	...	353.21	2 of 2 - Sale <sup>25</sup>
05/07/21	125.679	11,043.73	Various	10,600.00	...	443.73	Total of 2 transactions
05/11/21	17.884	1,527.73	05/10/21	1,500.00	...	27.73	Sale <sup>25</sup>
3 transactions for 05/17/21. Total proceeds and cost reported to the IRS.							
	0.010	0.98	05/12/21	0.97	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.078	7.31	05/12/21	7.20	...	0.11	2 of 3 - Sale <sup>25</sup>
	50.990	4,782.78	05/12/21	4,708.83	...	73.95	3 of 3 - Sale <sup>25</sup>
05/17/21	51.078	4,791.07	Various	4,717.00	...	74.07	Total of 3 transactions
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	18.992	1,911.79	05/17/21	1,723.61	...	188.18	1 of 2 - Sale <sup>25</sup>
	35.000	3,523.43	05/17/21	3,176.39	...	347.04	2 of 2 - Sale <sup>25</sup>
05/26/21	53.992	5,435.22	Various	4,900.00	...	535.22	Total of 2 transactions
<b>Security total:</b>		<b>22,797.75</b>		<b>21,717.00</b>	...	<b>1,080.75</b>	

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(continued)

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PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
4 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	0.208	2.46	10/22/21	1.81	...	0.65	1 of 4 - Sale <sup>25</sup>
	8.000	94.72	10/22/21	69.81	...	24.91	2 of 4 - Sale <sup>25</sup>
	32.060	379.59	10/22/21	284.05	...	95.54	3 of 4 - Sale <sup>25</sup>
	274.732	3,252.77	10/22/21	2,397.37	...	855.40	4 of 4 - Sale <sup>25</sup>
10/25/21	315.000	3,729.54	Various	2,753.04	...	976.50	Total of 4 transactions
11/02/21	0.067	0.23	10/22/21	0.58	...	-0.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,729.77</b>		<b>2,753.62</b>	...	<b>976.15</b>	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	106.000	6,861.74	01/06/21	7,176.20	61.77 W	-252.69	1 of 2 - Sale <sup>25</sup>
	0.675	43.67	01/06/21	45.68	2.01 W	0.00	2 of 2 - Sale <sup>25</sup>
01/07/21	106.675	6,905.41	Various	7,221.88	63.78 W	-252.69	Total of 2 transactions
5 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	20.000	864.98	01/27/21	1,022.34	...	-157.36	1 of 5 - Sale <sup>25</sup>
	0.821	35.49	01/27/21	41.94	...	-6.45	2 of 5 - Sale <sup>25</sup>
	0.495	21.43	01/27/21	25.18	...	-3.75	3 of 5 - Sale <sup>25</sup>
	0.129	5.56	01/27/21	6.53	...	-0.97	4 of 5 - Sale <sup>25</sup>
	0.051	2.20	01/27/21	2.60	...	-0.40	5 of 5 - Sale <sup>25</sup>
02/01/21	21.495	929.66	Various	1,098.59	...	-168.93	Total of 5 transactions
	<b>Security total:</b>	<b>7,835.07</b>		<b>8,320.47</b>	<b>63.78 W</b>	<b>-421.62</b>	
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol:							
4 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	100.000	601.85	06/04/21	523.00	...	78.85	1 of 4 - Sale <sup>25</sup>
	100.000	601.86	06/04/21	523.00	...	78.86	2 of 4 - Sale <sup>25</sup>
	300.000	1,805.56	06/04/21	1,569.00	...	236.56	3 of 4 - Sale <sup>25</sup>
	1,522.000	9,160.23	06/04/21	7,975.28	...	1,184.95	4 of 4 - Sale <sup>25</sup>
06/04/21	2,022.000	12,169.50	Various	10,590.28	...	1,579.22	Total of 4 transactions

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
<i>7 transactions for 06/16/21. Total proceeds and cost reported to the IRS.</i>							
	38.901	413.23	06/10/21	455.49	...	-42.26	1 of 7 - Sale <sup>25</sup>
	0.919	9.76	06/10/21	11.07	...	-1.31	2 of 7 - Sale <sup>25</sup>
	6.999	74.34	06/11/21	86.70	...	-12.36	3 of 7 - Sale <sup>25</sup>
	0.267	2.83	06/11/21	3.30	...	-0.47	4 of 7 - Sale <sup>25</sup>
	25.644	272.41	06/14/21	300.00	...	-27.59	5 of 7 - Sale <sup>25</sup>
	25.983	276.01	06/15/21	291.98	...	-15.97	6 of 7 - Sale <sup>25</sup>
	0.714	7.59	06/15/21	8.02	...	-0.43	7 of 7 - Sale <sup>25</sup>
06/16/21	99.427	1,056.17	Various	1,156.56	...	-100.39	Total of 7 transactions
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
06/10/21	1.000	6.72	06/07/21	6.45	...	0.27	Sale <sup>25</sup>
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
06/10/21	1.000	4.35	06/07/21	3.84	...	0.51	Sale <sup>25</sup>
STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
<i>3 transactions for 03/25/21. Total proceeds and cost reported to the IRS.</i>							
	18.962	460.79	03/24/21	482.98	...	-22.19	1 of 3 - Sale <sup>25</sup>
	0.377	9.15	03/24/21	9.57	...	-0.42	2 of 3 - Sale <sup>25</sup>
	0.293	7.12	03/24/21	7.45	...	-0.33	3 of 3 - Sale <sup>25</sup>
03/25/21	19.632	477.06	Various	500.00	...	-22.94	Total of 3 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>3 transactions for 06/01/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	101.99	05/28/21	96.80	...	5.19	1 of 3 - Sale <sup>25</sup>
	100.000	101.99	05/28/21	96.80	...	5.19	2 of 3 - Sale <sup>25</sup>
	889.000	906.66	05/28/21	860.55	...	46.11	3 of 3 - Sale <sup>25</sup>
06/01/21	1,089.000	1,110.64	Various	1,054.15	...	56.49	Total of 3 transactions

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	0.734	624.72	01/20/21	623.32	...	1.40	1 of 2 - Sale <sup>25</sup>
	8.997	7,661.75	01/20/21	7,647.35	...	14.40	2 of 2 - Sale <sup>25</sup>
01/21/21	9.730	8,286.47	Various	8,270.67	...	15.80	Total of 2 transactions
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.005	4.28	01/21/21	4.24	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.776	663.52	01/21/21	657.78	...	5.74	2 of 3 - Sale <sup>25</sup>
	9.000	7,695.25	01/21/21	7,624.45	...	70.80	3 of 3 - Sale <sup>25</sup>
01/25/21	9.781	8,363.05	Various	8,286.47	...	76.58	Total of 3 transactions
01/27/21	0.000	0.07	01/26/21	0.07	...	0.00	Sale <sup>25</sup>
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	0.013	11.37	01/26/21	11.61	0.24 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.013	11.36	01/26/21	11.85	0.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.987	858.03	01/26/21	876.71	18.68 W	0.00	3 of 3 - Sale <sup>25</sup>
01/27/21	1.013	880.76	Various	900.17	19.41 W	0.00	Total of 3 transactions
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	12.346	9,851.91	01/29/21	10,360.89	...	-508.98	1 of 3 - Sale <sup>25</sup>
	0.987	787.47	01/29/21	846.83	...	-59.36	2 of 3 - Sale <sup>25</sup>
	0.013	10.43	01/29/21	11.45	...	-1.02	3 of 3 - Sale <sup>25</sup>
02/01/21	13.346	10,649.81	Various	11,219.17	...	-569.36	Total of 3 transactions
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	5.668	3,421.40	06/01/21	3,581.79	...	-160.39	1 of 2 - Sale <sup>25</sup>
	0.332	200.39	06/01/21	217.81	...	-17.42	2 of 2 - Sale <sup>25</sup>
06/02/21	6.000	3,621.79	Various	3,799.60	...	-177.81	Total of 2 transactions
06/02/21	0.332	200.40	06/01/21	209.79	9.39 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>32,002.35</b>		<b>32,685.94</b>	<b>28.80 W</b>	<b>-654.79</b>	
TILRAY BRANDS, INC. CLASS 2 CO MMON STOCK / CUSIP: 88688T100 / Symbol:							
3 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	36.000	952.89	02/03/21	995.11	...	-42.22	1 of 3 - Sale <sup>25</sup>
	0.140	3.71	02/03/21	3.88	...	-0.17	2 of 3 - Sale <sup>25</sup>
	0.037	0.97	02/03/21	1.01	...	-0.04	3 of 3 - Sale <sup>25</sup>
02/04/21	36.177	957.57	Various	1,000.00	...	-42.43	Total of 3 transactions

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Robinhood Securities LLC

Account 135699072

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
<i>3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.</i>							
	0.406	1.30	02/04/21	1.02	...	0.28	1 of 3 - Sale <sup>25</sup>
	99.000	314.32	02/04/21	248.49	...	65.83	2 of 3 - Sale <sup>25</sup>
	299.000	949.26	02/04/21	750.49	...	198.77	3 of 3 - Sale <sup>25</sup>
02/05/21	398.406	1,264.88	Various	1,000.00	...	264.88	Total of 3 transactions
<i>3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.459	1.66	02/05/21	1.50	...	0.16	1 of 3 - Sale <sup>25</sup>
	2.643	9.51	02/05/21	8.66	...	0.85	2 of 3 - Sale <sup>25</sup>
	858.357	3,089.92	02/05/21	2,823.05	...	266.87	3 of 3 - Sale <sup>25</sup>
02/08/21	861.459	3,101.09	Various	2,833.21	...	267.88	Total of 3 transactions
	<b>Security total:</b>	<b>4,365.97</b>		<b>3,833.21</b>	...	<b>532.76</b>	
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
<i>2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	157.233	471.67	02/09/21	498.43	...	-26.76	1 of 2 - Sale <sup>25</sup>
	0.495	1.49	02/09/21	1.57	...	-0.08	2 of 2 - Sale <sup>25</sup>
02/10/21	157.728	473.16	Various	500.00	...	-26.84	Total of 2 transactions
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
<i>2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.086	1.03	02/05/21	0.95	...	0.08	1 of 2 - Sale <sup>25</sup>
	45.000	541.71	02/05/21	499.05	...	42.66	2 of 2 - Sale <sup>25</sup>
02/08/21	45.086	542.74	Various	500.00	...	42.74	Total of 2 transactions
<i>2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.</i>							
	0.225	3.81	02/08/21	3.11	...	0.70	1 of 2 - Sale <sup>25</sup>
	36.000	601.67	02/08/21	496.98	...	104.69	2 of 2 - Sale <sup>25</sup>
02/09/21	36.225	605.48	Various	500.09	...	105.39	Total of 2 transactions
<i>2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.056	1.15	02/09/21	1.15	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.087	1.80	02/09/21	1.80	...	0.00	2 of 2 - Sale <sup>25</sup>
02/10/21	0.143	2.95	Various	2.95	...	0.00	Total of 2 transactions
02/10/21	24.000	495.20	02/09/21	497.04	1.84 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol: (cont'd)							
5 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.220	4.53	02/10/21	4.33	...	0.20	1 of 5 - Sale <sup>25</sup>
	1.234	25.41	02/10/21	24.35	...	1.06	2 of 5 - Sale <sup>25</sup>
	3.220	66.29	02/10/21	63.27	...	3.02	3 of 5 - Sale <sup>25</sup>
	22.546	464.21	02/10/21	444.76	...	19.45	4 of 5 - Sale <sup>25</sup>
	275.000	5,662.09	02/10/21	5,403.75	...	258.34	5 of 5 - Sale <sup>25</sup>
02/12/21	302.220	6,222.53	Various	5,940.46	...	282.07	Total of 5 transactions
4 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.186	4.00	02/12/21	3.93	...	0.07	1 of 4 - Sale <sup>25</sup>
	0.419	8.97	02/12/21	8.82	...	0.15	2 of 4 - Sale <sup>25</sup>
	100.000	2,141.19	02/12/21	2,105.01	...	36.18	3 of 4 - Sale <sup>25</sup>
	195.000	4,175.32	02/12/21	4,104.77	...	70.55	4 of 4 - Sale <sup>25</sup>
02/16/21	295.605	6,329.48	Various	6,222.53	...	106.95	Total of 4 transactions
	<b>Security total:</b>	<b>14,198.38</b>		<b>13,663.07</b>	<b>1.84 W</b>	<b>537.15</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
5 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	0.002	0.77	12/31/20	0.75	...	0.02	1 of 5 - Sale <sup>25</sup>
	0.722	265.13	12/31/20	250.53	...	14.60	2 of 5 - Sale <sup>25</sup>
	1.776	651.77	12/31/20	616.55	...	35.22	3 of 5 - Sale <sup>25</sup>
	0.008	3.10	01/12/21	2.85	...	0.25	4 of 5 - Sale <sup>25</sup>
	0.216	79.19	01/12/21	72.76	...	6.43	5 of 5 - Sale <sup>25</sup>
01/13/21	2.724	999.96	Various	943.44	...	56.52	Total of 5 transactions
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	0.045	17.39	01/12/21	15.12	...	2.27	1 of 3 - Sale <sup>25</sup>
	0.361	140.03	01/12/21	121.64	...	18.39	2 of 3 - Sale <sup>25</sup>
	20.955	8,113.25	01/12/21	7,066.95	...	1,046.30	3 of 3 - Sale <sup>25</sup>
01/20/21	21.361	8,270.67	Various	7,203.71	...	1,066.96	Total of 3 transactions
	<b>Security total:</b>	<b>9,270.63</b>		<b>8,147.15</b>	...	<b>1,123.48</b>	
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
12/22/21	381.000	94.11	12/21/21	95.06	...	-0.95	Sale <sup>25</sup>

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Account 135699072

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TPG PACE BENEFICIAL FINANCE CO RP. / CUSIP: G8990D125 / Symbol:							
<i>7 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.617	13.41	03/12/21	12.16	...	1.25	1 of 7 - Sale <sup>25</sup>
	30.894	679.65	03/12/21	608.91	...	70.74	2 of 7 - Sale <sup>25</sup>
	78.000	1,715.98	03/12/21	1,555.32	...	160.66	3 of 7 - Sale <sup>25</sup>
	99.106	2,180.31	03/12/21	1,976.18	...	204.13	4 of 7 - Sale <sup>25</sup>
	100.000	2,199.98	03/12/21	1,994.00	...	205.98	5 of 7 - Sale <sup>25</sup>
	200.000	4,399.95	03/12/21	3,988.00	...	411.95	6 of 7 - Sale <sup>25</sup>
	225.000	4,949.95	03/12/21	4,486.50	...	463.45	7 of 7 - Sale <sup>25</sup>
03/15/21	733.617	16,139.23	Various	14,621.07	...	1,518.16	Total of 7 transactions
<i>3 transactions for 04/01/21. Total proceeds and cost reported to the IRS.</i>							
	28.575	559.77	03/15/21	622.64	...	-62.87	1 of 3 - Sale <sup>25</sup>
	0.372	7.29	03/15/21	8.90	...	-1.61	2 of 3 - Sale <sup>25</sup>
	0.053	1.04	03/15/21	1.16	...	-0.12	3 of 3 - Sale <sup>25</sup>
04/01/21	29.000	568.10	Various	632.70	...	-64.60	Total of 3 transactions
04/01/21	0.372	7.30	03/15/21	8.09	0.79 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,714.63</b>		<b>15,261.86</b>	<b>0.79 W</b>	<b>1,453.56</b>	
TPG PACE TECH OPPORTUNITIES CO RP. / CUSIP: G8990Y103 / Symbol:							
<i>3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.</i>							
	0.028	0.32	02/05/21	0.31	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.447	5.21	02/05/21	4.91	...	0.30	2 of 3 - Sale <sup>25</sup>
	44.972	523.45	02/05/21	494.78	...	28.67	3 of 3 - Sale <sup>25</sup>
02/08/21	45.447	528.98	Various	500.00	...	28.98	Total of 3 transactions
<b>Totals :</b>		<b>646,710.32</b>		<b>659,618.59</b>	<b>16,553.68 W</b>	<b>3,645.41</b>	

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Account 135699072

## Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AGNC INVESTMENT CORP. COMMON S TOCK	00123Q104		07/12/21	1.09	Nondividend distribution	03
AT&T INC.	00206R102		05/03/21	2.52	Qualified dividend	
			08/02/21	58.92	Qualified dividend	
				<b>61.44</b>	<b>Total Dividends &amp; distributions</b>	
				<b>62.53</b>	<b>Total Dividends &amp; distributions</b>	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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