

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 816778575</b>	Statement Date: 02/11/2022 Document ID: EBS4 481 UR5M	2021
	Sai sandeep Akula 90 Kane St d11 West Hartford, CT 06119  RECIPIENT'S TIN: XXX-XX-7634		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.49</p> <p>1b- Qualified dividends      0.49</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	294,956.59	328,829.06	0.00	2,188.34	-31,684.13
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>294,956.59</b>	<b>328,829.06</b>	<b>0.00</b>	<b>2,188.34</b>	<b>-31,684.13</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>294,956.59</b>	<b>328,829.06</b>	<b>0.00</b>	<b>2,188.34</b>	<b>-31,684.13</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 816778575

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	37.78
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
03/12/21	1.000	489.98	02/23/21	455.00	...	34.98	Option sale to close-call <sup>25</sup>
06/03/21	1.000	414.98	03/22/21	530.00	...	-115.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>904.96</b>		<b>985.00</b>	...	<b>-80.04</b>	
AAL 07/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
	4 transactions for 07/16/21. Total proceeds and cost reported to the IRS.						
	5.000	0.00	06/24/21	345.00	...	-345.00	1 of 4 - Option expiration- long call
	2.000	0.00	06/24/21	138.00	...	-138.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/24/21	69.00	...	-69.00	3 of 4 - Option expiration- long call
	7.000	0.00	06/30/21	161.00	...	-161.00	4 of 4 - Option expiration- long call
07/16/21	15.000	0.00	Various	713.00	...	-713.00	Total of 4 transactions

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Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AAPL 01/21/2022 CALL \$140.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,084.98	02/12/21	1,640.00	555.02 W	0.00	Option sale to close-call <sup>25</sup>
04/22/21	1.000	1,049.98	03/17/21	1,565.02	...	-515.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,134.96</b>		<b>3,205.02</b>	<b>555.02 W</b>	<b>-515.04</b>	
AAPL 03/05/2021 CALL \$130.00 / CUSIP: / Symbol:							
02/12/21	3.000	1,874.94	01/25/21	3,810.00	...	-1,935.06	Option sale to close-call <sup>25</sup>
AAPL 03/05/2021 CALL \$144.00 / CUSIP: / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	1.000	87.99	01/27/21	815.00	...	-727.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	175.99	01/29/21	670.00	...	-494.01	2 of 2 - Option sale to close-call <sup>25</sup>
02/12/21	3.000	263.98	Various	1,485.00	...	-1,221.02	Total of 2 transactions
AAPL 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	419.99	09/04/20	500.00	...	-80.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	419.98	09/14/20	260.00	...	159.98	2 of 2 - Option sale to close-call <sup>25</sup>
01/27/21	2.000	839.97	Various	760.00	...	79.97	Total of 2 transactions
AAPL 04/01/2021 CALL \$124.00 / CUSIP: / Symbol:							
03/31/21	5.000	104.98	03/23/21	900.00	...	-795.02	Option sale to close-call <sup>25</sup>
AAPL 04/23/2021 CALL \$134.00 / CUSIP: / Symbol:							
04/22/21	1.000	24.98	04/16/21	201.00	...	-176.02	Option sale to close-call <sup>25</sup>
AAPL 04/30/2021 CALL \$128.00 / CUSIP: / Symbol:							
04/07/21	1.000	354.98	04/05/21	305.00	...	49.98	Option sale to close-call <sup>25</sup>
AAPL 04/30/2021 CALL \$130.00 / CUSIP: / Symbol:							
04/22/21	1.000	454.98	04/08/21	390.00	...	64.98	Option sale to close-call <sup>25</sup>
AAPL 04/30/2021 PUT \$135.00 / CUSIP: / Symbol:							
04/27/21	1.000	299.98	04/26/21	305.00	...	-5.02	Option sale to close-put <sup>25</sup>
AAPL 05/07/2021 PUT \$134.00 / CUSIP: / Symbol:							
04/29/21	1.000	233.98	04/28/21	304.00	...	-70.02	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/17/2022 CALL \$150.00 / CUSIP: / Symbol: 03/12/21	1.000	994.98	03/08/21	810.00	...	184.98	Option sale to close-call <sup>25</sup>
AAPL 06/18/2021 CALL \$160.00 / CUSIP: / Symbol: 01/25/21	3.000	1,964.94	09/30/20	1,260.00	...	704.94	Option sale to close-call <sup>25</sup>
AAPL 06/18/2021 PUT \$129.00 / CUSIP: / Symbol: 06/16/21	1.000	101.98	06/14/21	101.00	...	0.98	Option sale to close-put <sup>25</sup>
AAPL 07/02/2021 CALL \$125.00 / CUSIP: / Symbol: 06/08/21	3.000	1,349.98	06/04/21	882.00	...	467.98	Option sale to close-call <sup>25</sup>
AAPL 07/16/2021 CALL \$130.00 / CUSIP: / Symbol: 01/25/21	1.000	1,919.94	01/14/21	1,390.00	...	529.94	Option sale to close-call <sup>25</sup>
AAPL 08/20/2021 CALL \$135.00 / CUSIP: / Symbol: 07/06/21	2.000	1,849.98	06/24/21	850.00	...	999.98	Option sale to close-call <sup>25</sup>
AAPL 08/20/2021 CALL \$150.00 / CUSIP: / Symbol: 07/19/21	3.000	650.98	07/09/21	915.00	...	-264.02	Option sale to close-call <sup>25</sup>
AAPL 10/08/2021 CALL \$155.00 / CUSIP: / Symbol: 10/08/21	3.000	0.00	09/13/21	561.00	...	-561.00	Option expiration- long call
AAPL 10/29/2021 PUT \$146.00 / CUSIP: / Symbol: <i>2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	29.99	10/28/21	31.00	...	-1.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	29.99	10/28/21	31.00	...	-1.01	2 of 2 - Option sale to close-put <sup>25</sup>
10/29/21	2.000	59.98	Various	62.00	...	-2.02	Total of 2 transactions
AAPL 11/26/2021 CALL \$162.50 / CUSIP: / Symbol: 11/22/21	1.000	243.98	11/19/21	141.00	...	102.98	Option sale to close-call <sup>25</sup>
AAPL 12/03/2021 CALL \$162.50 / CUSIP: / Symbol: 11/30/21	1.000	159.98	11/29/21	97.00	...	62.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/17/2021 CALL \$170.00 / CUSIP: / Symbol:							
2 transactions for 10/28/21. Total proceeds and cost reported to the IRS.							
	1.000	77.98	10/26/21	56.00	...	21.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	77.98	10/26/21	56.00	...	21.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/21	2.000	155.96	Various	112.00	...	43.96	Total of 2 transactions
ABNB 11/26/2021 CALL \$185.00 / CUSIP: / Symbol:							
11/05/21	1.000	1,799.98	11/04/21	605.00	...	1,194.98	Option sale to close-call <sup>25</sup>
ABNB 12/10/2021 CALL \$187.50 / CUSIP: / Symbol:							
12/06/21	1.000	122.98	11/29/21	310.00	...	-187.02	Option sale to close-call <sup>25</sup>
ABNB 12/10/2021 CALL \$195.00 / CUSIP: / Symbol:							
12/09/21	1.000	8.98	12/07/21	76.00	...	-67.02	Option sale to close-call <sup>25</sup>
ADBE 01/21/2022 CALL \$660.00 / CUSIP: / Symbol:							
10/14/21	1.000	1,289.98	09/28/21	1,060.00	...	229.98	Option sale to close-call <sup>25</sup>
ADBE 03/18/2022 CALL \$700.00 / CUSIP: / Symbol:							
11/05/21	1.000	3,187.97	08/13/21	3,050.00	...	137.97	Option sale to close-call <sup>25</sup>
11/17/21	1.000	2,924.97	11/10/21	2,540.00	...	384.97	Option sale to close-call <sup>25</sup>
11/19/21	1.000	4,604.96	11/18/21	3,055.00	...	1,549.96	Option sale to close-call <sup>25</sup>
11/30/21	1.000	4,409.96	11/22/21	4,400.00	...	9.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>15,127.86</b>		<b>13,045.00</b>	...	<b>2,082.86</b>	
ADBE 06/04/2021 CALL \$502.50 / CUSIP: / Symbol:							
05/26/21	1.000	849.98	05/24/21	585.00	...	264.98	Option sale to close-call <sup>25</sup>
ADBE 10/22/2021 CALL \$640.00 / CUSIP: / Symbol:							
10/20/21	1.000	464.98	10/19/21	385.00	...	79.98	Option sale to close-call <sup>25</sup>
ADIL 10/15/2021 CALL \$5.00 / CUSIP: / Symbol:							
09/28/21	2.000	59.98	09/24/21	60.00	...	-0.02	Option sale to close-call <sup>25</sup>
AFRM 10/08/2021 CALL \$140.00 / CUSIP: / Symbol:							
10/06/21	1.000	509.98	10/06/21	420.00	...	89.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AFRM 12/31/2021 CALL \$110.00 / CUSIP: / Symbol:							
12/31/21	1.000	4.98	12/27/21	265.00	...	-260.02	Option sale to close-call <sup>25</sup>
AHT 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
07/16/21	10.000	0.00	06/25/21	450.00	...	-450.00	Option expiration- long call
AI 06/18/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/09/21	196.00	...	-196.00	1 of 2 - Option expiration- long call
	5.000	0.00	06/11/21	300.00	...	-300.00	2 of 2 - Option expiration- long call
06/18/21	6.000	0.00	Various	496.00	...	-496.00	Total of 2 transactions
AIRG 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/12/21	1.000	359.98	02/08/21	310.00	...	49.98	Option sale to close-call <sup>25</sup>
AMC 01/07/2022 CALL \$40.00 / CUSIP: / Symbol:							
12/21/21	1.000	108.98	12/02/21	283.00	...	-174.02	Option sale to close-call <sup>25</sup>
AMC 02/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
02/19/21	1.000	22.98	02/19/21	0.00	...	22.98	Short sale closed- call expired Option written: 02/08/21
AMC 02/26/2021 CALL \$16.00 / CUSIP: / Symbol:							
03/01/21	1.000	55.98	02/26/21	0.00	...	55.98	Short sale closed- call <sup>25</sup> Option written: 02/25/21
AMC 06/11/2021 PUT \$35.00 / CUSIP: / Symbol:							
06/03/21	1.000	549.98	06/02/21	560.00	...	-10.02	Option sale to close-put <sup>25</sup>
AMC 06/18/2021 PUT \$40.00 / CUSIP: / Symbol:							
06/17/21	1.000	12.98	06/14/21	75.00	...	-62.02	Option sale to close-put <sup>25</sup>
AMC 07/16/2021 PUT \$40.00 / CUSIP: / Symbol:							
07/13/21	1.000	259.98	07/12/21	155.00	...	104.98	Option sale to close-put <sup>25</sup>
AMC 11/12/2021 CALL \$49.00 / CUSIP: / Symbol:							
11/12/21	1.000	0.00	11/08/21	287.00	...	-287.00	Option expiration- long call

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMC 12/17/2021 CALL \$27.00 / CUSIP: / Symbol: 12/17/21	1.000	127.98	12/15/21	42.00	...	85.98	Option sale to close-call <sup>25</sup>
AMD 01/07/2022 CALL \$160.00 / CUSIP: / Symbol: 12/08/21	1.000	329.98	12/02/21	695.00	...	-365.02	Option sale to close-call <sup>25</sup>
AMD 07/09/2021 CALL \$94.00 / CUSIP: / Symbol: 07/02/21	1.000	209.98	06/30/21	166.00	...	43.98	Option sale to close-call <sup>25</sup>
AMD 10/08/2021 CALL \$105.00 / CUSIP: / Symbol: 10/08/21	1.000	41.98	10/07/21	305.00	...	-263.02	Option sale to close-call <sup>25</sup>
AMD 11/05/2021 CALL \$136.00 / CUSIP: / Symbol: 11/05/21	1.000	294.98	11/04/21	116.00	...	178.98	Option sale to close-call <sup>25</sup>
AMD 11/12/2021 CALL \$148.00 / CUSIP: / Symbol: 11/10/21	1.000	119.98	11/08/21	390.00	...	-270.02	Option sale to close-call <sup>25</sup>
AMD 12/10/2021 CALL \$150.00 / CUSIP: / Symbol: 12/06/21	1.000	42.98	12/03/21	241.00	...	-198.02	Option sale to close-call <sup>25</sup>
AMPY 11/19/2021 CALL \$5.00 / CUSIP: / Symbol: 10/22/21	1.000	20.98	10/04/21	45.00	...	-24.02	Option sale to close-call <sup>25</sup>
AMZN 03/05/2021 CALL \$3,205.00 / CUSIP: / Symbol: 03/05/21	1.000	20.98	03/03/21	246.00	...	-225.02	Option sale to close-call <sup>25</sup>
AMZN 10/01/2021 CALL \$3,445.00 / CUSIP: / Symbol: 09/30/21	1.000	43.98	09/28/21	540.00	...	-496.02	Option sale to close-call <sup>25</sup>
AMZN 10/29/2021 PUT \$3,220.00 / CUSIP: / Symbol: 10/29/21	1.000	0.00	10/28/21	735.00	...	-735.00	Option expiration- long put
AMZN 12/10/2021 CALL \$3,600.00 / CUSIP: / Symbol: 12/09/21	1.000	275.98	12/08/21	805.00	...	-529.02	Option sale to close-call <sup>25</sup>
AMZN 12/17/2021 CALL \$3,600.00 / CUSIP: / Symbol: 12/15/21	1.000	115.98	12/10/21	895.00	...	-779.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APHA 04/16/2021 PUT \$18.00 / CUSIP: / Symbol:							
04/08/21	1.000	239.98	04/06/21	174.00	...	65.98	Option sale to close-put <sup>25</sup>
AR 07/16/2021 CALL \$14.00 / CUSIP: / Symbol:							
06/02/21	1.000	94.98	06/01/21	95.00	...	-0.02	Option sale to close-call <sup>25</sup>
ARKX 01/21/2022 CALL \$21.00 / CUSIP: / Symbol:							
2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	174.98	05/06/21	190.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	174.98	05/06/21	190.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/02/21	2.000	349.96	Various	380.00	...	-30.04	Total of 2 transactions
ARNA 12/17/2021 CALL \$100.00 / CUSIP: / Symbol:							
7 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	12/14/21	100.00	...	-100.00	1 of 7 - Option expiration- long call
	2.000	0.00	12/14/21	30.00	...	-30.00	2 of 7 - Option expiration- long call
	2.000	0.00	12/14/21	30.00	...	-30.00	3 of 7 - Option expiration- long call
	2.000	0.00	12/14/21	24.00	...	-24.00	4 of 7 - Option expiration- long call
	2.000	0.00	12/14/21	24.00	...	-24.00	5 of 7 - Option expiration- long call
	1.000	0.00	12/14/21	15.00	...	-15.00	6 of 7 - Option expiration- long call
	1.000	0.00	12/14/21	15.00	...	-15.00	7 of 7 - Option expiration- long call
12/17/21	20.000	0.00	Various	238.00	...	-238.00	Total of 7 transactions
ATOS 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/14/21	1.000	126.98	06/11/21	108.00	...	18.98	Option sale to close-call <sup>25</sup>
BA 02/12/2021 PUT \$212.50 / CUSIP: / Symbol:							
02/08/21	1.000	504.97	02/05/21	480.00	...	24.97	Option sale to close-put <sup>25</sup>
BA 02/19/2021 CALL \$290.00 / CUSIP: / Symbol:							
01/29/21	1.000	29.98	11/17/20	425.00	...	-395.02	Option sale to close-call <sup>25</sup>
BA 03/05/2021 PUT \$210.00 / CUSIP: / Symbol:							
02/23/21	1.000	764.97	02/22/21	575.00	...	189.97	Option sale to close-put <sup>25</sup>
BA 03/26/2021 PUT \$250.00 / CUSIP: / Symbol:							
03/16/21	1.000	504.98	03/12/21	500.00	...	4.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 04/01/2021 CALL \$272.50 / CUSIP: / Symbol:							
03/31/21	1.000	14.98	03/18/21	440.00	...	-425.02	Option sale to close-call <sup>25</sup>
BABA 03/26/2021 PUT \$222.50 / CUSIP: / Symbol:							
03/17/21	1.000	145.98	03/16/21	355.00	...	-209.02	Option sale to close-put <sup>25</sup>
BABA 07/09/2021 CALL \$240.00 / CUSIP: / Symbol:							
07/09/21	1.000	0.00	06/29/21	149.00	...	-149.00	Option expiration- long call
BB 07/02/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	71.98	06/14/21	194.00	...	-122.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	71.98	06/14/21	194.00	...	-122.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	2.000	143.96	Various	388.00	...	-244.04	Total of 2 transactions
BB 07/30/2021 CALL \$11.00 / CUSIP: / Symbol:							
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	07/28/21	145.00	...	-145.00	1 of 2 - Option expiration- long call
	5.000	0.00	07/28/21	145.00	...	-145.00	2 of 2 - Option expiration- long call
07/30/21	10.000	0.00	Various	290.00	...	-290.00	Total of 2 transactions
BBBY 06/18/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/14/21	1.000	42.98	06/07/21	335.00	...	-292.02	Option sale to close-call <sup>25</sup>
BIDU 08/20/2021 CALL \$250.00 / CUSIP: / Symbol:							
4 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	0.99	06/28/21	255.00	...	-254.01	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	0.99	06/28/21	255.00	...	-254.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/28/21	255.00	...	-254.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/28/21	255.00	...	-254.00	4 of 4 - Option sale to close-call <sup>25</sup>
08/11/21	4.000	3.98	Various	1,020.00	...	-1,016.02	Total of 4 transactions
BIIB 06/11/2021 PUT \$325.00 / CUSIP: / Symbol:							
06/08/21	1.000	64.98	06/07/21	145.00	...	-80.02	Option sale to close-put <sup>25</sup>
BIIB 06/25/2021 CALL \$405.00 / CUSIP: / Symbol:							
06/09/21	1.000	1,219.98	06/08/21	810.00	...	409.98	Option sale to close-call <sup>25</sup>

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Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIIB 07/16/2021 CALL \$375.00 / CUSIP: / Symbol: 07/09/21	1.000	459.98	07/08/21	470.00	...	-10.02	Option sale to close-call <sup>25</sup>
BIIB 07/16/2021 CALL \$420.00 / CUSIP: / Symbol: 07/12/21	5.000	29.98	07/08/21	150.00	...	-120.02	Option sale to close-call <sup>25</sup>
BTBT 08/20/2021 CALL \$5.00 / CUSIP: / Symbol: 07/26/21	1.000	329.98	06/28/21	250.00	...	79.98	Option sale to close-call <sup>25</sup>
BTBT 10/22/2021 CALL \$12.00 / CUSIP: / Symbol: 10/20/21	2.000	119.98	10/20/21	90.00	...	29.98	Option sale to close-call <sup>25</sup>
BTBT 12/10/2021 CALL \$12.50 / CUSIP: / Symbol: 11/09/21	1.000	169.98	11/02/21	195.00	...	-25.02	Option sale to close-call <sup>25</sup>
BTX 08/20/2021 CALL \$10.00 / CUSIP: / Symbol: 06/22/21	1.000	679.98	06/10/21	610.00	...	69.98	Option sale to close-call <sup>25</sup>
BYND 07/09/2021 CALL \$160.00 / CUSIP: / Symbol: 06/30/21	1.000	509.98	06/28/21	540.00	...	-30.02	Option sale to close-call <sup>25</sup>
C 07/30/2021 CALL \$71.00 / CUSIP: / Symbol: 07/30/21	1.000	0.00	07/15/21	41.00	...	-41.00	Option expiration- long call
CCIV 06/18/2021 CALL \$21.50 / CUSIP: / Symbol: 06/02/21	1.000	154.98	06/01/21	152.00	...	2.98	Option sale to close-call <sup>25</sup>
CCIV 07/02/2021 CALL \$27.00 / CUSIP: / Symbol: 06/30/21	2.000	147.98	06/28/21	228.00	...	-80.02	Option sale to close-call <sup>25</sup>
CCIV 07/09/2021 CALL \$30.00 / CUSIP: / Symbol: 2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.	1.000	52.98	06/28/21	86.00	...	-33.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	52.98	06/28/21	86.00	...	-33.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/30/21	2.000	105.96	Various	172.00	...	-66.04	Total of 2 transactions
CCL 08/20/2021 CALL \$25.00 / CUSIP: / Symbol: 07/21/21	2.000	139.98	07/14/21	130.00	...	9.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CGC 08/20/2021 CALL \$22.50 / CUSIP: / Symbol:							
08/11/21	1.000	2.98	06/24/21	340.00	...	-337.02	Option sale to close-call <sup>25</sup>
CMC 07/16/2021 CALL \$34.00 / CUSIP: / Symbol:							
06/24/21	1.000	29.98	06/11/21	145.00	...	-115.02	Option sale to close-call <sup>25</sup>
CRM 01/07/2022 CALL \$280.00 / CUSIP: / Symbol:							
12/23/21	1.000	17.98	12/02/21	395.00	...	-377.02	Option sale to close-call <sup>25</sup>
CRM 03/26/2021 CALL \$210.00 / CUSIP: / Symbol:							
03/22/21	1.000	639.98	03/18/21	365.00	...	274.98	Option sale to close-call <sup>25</sup>
CRM 03/26/2021 CALL \$215.00 / CUSIP: / Symbol:							
	3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	1.000	297.98	03/17/21	281.00	...	16.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	297.98	03/17/21	281.00	...	16.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	297.98	03/17/21	281.00	...	16.98	3 of 3 - Option sale to close-call <sup>25</sup>
03/22/21	3.000	893.94	Various	843.00	...	50.94	Total of 3 transactions
CRM 04/09/2021 CALL \$222.50 / CUSIP: / Symbol:							
04/07/21	2.000	223.98	04/07/21	228.00	...	-4.02	Option sale to close-call <sup>25</sup>
CRM 07/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
01/21/21	1.000	1,609.95	01/14/21	1,370.00	...	239.95	Option sale to close-call <sup>25</sup>
CRON 06/18/2021 CALL \$8.50 / CUSIP: / Symbol:							
	4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	1.000	87.99	06/02/21	54.00	...	33.99	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	88.00	06/02/21	54.00	...	34.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	88.00	06/02/21	54.00	...	34.00	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	175.99	06/02/21	108.00	...	67.99	4 of 4 - Option sale to close-call <sup>25</sup>
06/03/21	5.000	439.98	Various	270.00	...	169.98	Total of 4 transactions
CSCO 02/12/2021 CALL \$45.50 / CUSIP: / Symbol:							
01/25/21	2.000	249.98	01/15/21	276.00	...	-26.02	Option sale to close-call <sup>25</sup>

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Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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CVS 08/20/2021 CALL \$85.00 / CUSIP: / Symbol:							
07/28/21	2.000	279.98	07/21/21	230.00	...	49.98	Option sale to close-call <sup>25</sup>
CVX 07/16/2021 PUT \$104.00 / CUSIP: / Symbol:							
07/07/21	1.000	260.98	07/06/21	187.00	...	73.98	Option sale to close-put <sup>25</sup>
DAL 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/14/21	2.000	1,229.96	09/30/20	750.00	...	479.96	Option sale to close-call <sup>25</sup>
DIS 03/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
03/12/21	1.000	299.98	03/10/21	291.00	...	8.98	Option sale to close-call <sup>25</sup>
DIS 04/01/2021 CALL \$200.00 / CUSIP: / Symbol:							
04/01/21	1.000	0.00	03/18/21	235.00	...	-235.00	Option expiration- long call
DISCA 08/20/2021 CALL \$37.50 / CUSIP: / Symbol:							
<i>2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	07/01/21	130.00	...	-130.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/01/21	65.00	...	-65.00	2 of 2 - Option expiration- long call
08/20/21	3.000	0.00	Various	195.00	...	-195.00	Total of 2 transactions
DKNG 07/23/2021 CALL \$50.00 / CUSIP: / Symbol:							
06/28/21	1.000	414.98	06/24/21	350.00	...	64.98	Option sale to close-call <sup>25</sup>
07/19/21	2.000	25.98	07/09/21	318.00	...	-292.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>440.96</b>		<b>668.00</b>	...	<b>-227.04</b>	
EBAY 07/23/2021 CALL \$67.00 / CUSIP: / Symbol:							
06/28/21	2.000	491.98	06/24/21	374.00	...	117.98	Option sale to close-call <sup>25</sup>
EBON 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
<i>8 transactions for 06/18/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	4.99	06/09/21	55.00	...	-50.01	1 of 8 - Option sale to close-call <sup>25</sup>
	4.000	3.98	06/09/21	44.00	...	-40.02	2 of 8 - Option sale to close-call <sup>25</sup>
	4.000	3.99	06/09/21	44.00	...	-40.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/09/21	21.02	...	-20.02	4 of 8 - Option sale to close-call <sup>25</sup>
	2.000	1.99	06/09/21	22.00	...	-20.01	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/09/21	11.00	...	-10.00	6 of 8 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBON 06/18/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	06/09/21	11.00	...	-10.00	7 of 8 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/09/21	11.00	...	-10.00	8 of 8 - Option sale to close-call <sup>25</sup>
06/18/21	19.000	18.95	Various	219.02	...	-200.07	Total of 8 transactions
06/18/21	1.000	0.98	06/09/21	11.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>19.93</b>		<b>230.02</b>	<b>10.02 W</b>	<b>-200.07</b>	
ETSY 07/02/2021 CALL \$182.50 / CUSIP: / Symbol:							
06/25/21	1.000	679.98	06/24/21	560.00	...	119.98	Option sale to close-call <sup>25</sup>
ETSY 07/16/2021 CALL \$175.00 / CUSIP: / Symbol:							
06/22/21	2.000	1,559.98	06/14/21	1,410.00	...	149.98	Option sale to close-call <sup>25</sup>
EXPR 08/06/2021 CALL \$5.00 / CUSIP: / Symbol:							
	2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.						
	1.000	52.98	08/02/21	45.00	...	7.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	52.98	08/02/21	42.00	...	10.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/21	2.000	105.96	Various	87.00	...	18.96	Total of 2 transactions
F 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
03/04/21	3.000	410.98	02/23/21	372.00	...	38.98	Option sale to close-call <sup>25</sup>
	3 transactions for 06/04/21. Total proceeds and cost reported to the IRS.						
	1.000	286.99	03/08/21	168.00	...	118.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	286.99	03/08/21	168.00	...	118.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	287.00	03/08/21	168.00	...	119.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/04/21	3.000	860.98	Various	504.00	...	356.98	Total of 3 transactions
	<b>Security total:</b>	<b>1,271.96</b>		<b>876.00</b>	...	<b>395.96</b>	
F 02/12/2021 PUT \$10.50 / CUSIP: / Symbol:							
02/08/21	2.000	5.98	02/04/21	50.00	...	-44.02	Option sale to close-put <sup>25</sup>
FB 02/12/2021 CALL \$325.00 / CUSIP: / Symbol:							
02/12/21	1.000	0.00	01/25/21	126.00	...	-126.00	Option expiration- long call
FB 04/30/2021 CALL \$325.00 / CUSIP: / Symbol:							
04/07/21	1.000	644.98	04/05/21	660.00	...	-15.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FB 04/30/2021 CALL \$337.50 / CUSIP: / Symbol:							
04/22/21	1.000	54.98	04/08/21	355.00	...	-300.02	Option sale to close-call <sup>25</sup>
FB 05/07/2021 PUT \$305.00 / CUSIP: / Symbol:							
05/04/21	1.000	133.98	04/28/21	665.00	...	-531.02	Option sale to close-put <sup>25</sup>
FB 06/17/2022 CALL \$380.00 / CUSIP: / Symbol:							
11/15/21	1.000	2,554.97	11/10/21	1,690.00	...	864.97	Option sale to close-call <sup>25</sup>
11/19/21	1.000	2,599.97	11/16/21	2,310.00	...	289.97	Option sale to close-call <sup>25</sup>
12/08/21	1.000	1,544.98	11/22/21	2,705.00	...	-1,160.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>6,699.92</b>		<b>6,705.00</b>	...	<b>-5.08</b>	
FB 07/16/2021 CALL \$420.00 / CUSIP: / Symbol:							
03/17/21	1.000	82.98	09/30/20	390.00	...	-307.02	Option sale to close-call <sup>25</sup>
FB 09/03/2021 CALL \$377.50 / CUSIP: / Symbol:							
08/30/21	1.000	549.98	08/27/21	162.00	...	387.98	Option sale to close-call <sup>25</sup>
FB 10/08/2021 CALL \$340.00 / CUSIP: / Symbol:							
10/06/21	1.000	81.98	10/05/21	131.00	...	-49.02	Option sale to close-call <sup>25</sup>
FB 10/08/2021 CALL \$360.00 / CUSIP: / Symbol:							
09/21/21	1.000	799.98	09/20/21	600.00	...	199.98	Option sale to close-call <sup>25</sup>
FB 12/10/2021 CALL \$327.50 / CUSIP: / Symbol:							
12/07/21	1.000	344.98	12/06/21	200.00	...	144.98	Option sale to close-call <sup>25</sup>
FDX 07/16/2021 PUT \$275.00 / CUSIP: / Symbol:							
06/28/21	1.000	110.98	06/25/21	289.00	...	-178.02	Option sale to close-put <sup>25</sup>
FSLR 07/02/2021 CALL \$80.00 / CUSIP: / Symbol:							
06/24/21	2.000	1,239.98	06/23/21	416.00	...	823.98	Option sale to close-call <sup>25</sup>
FSLR 07/16/2021 CALL \$85.00 / CUSIP: / Symbol:							
06/28/21	3.000	1,739.98	06/24/21	1,185.00	...	554.98	Option sale to close-call <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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FTCV 08/20/2021 CALL \$12.50 / CUSIP: / Symbol:							
07/01/21	2.000	179.98	06/25/21	180.00	...	-0.02	Option sale to close-call <sup>25</sup>
08/11/21	1.000	4.98	08/04/21	45.00	...	-40.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>184.96</b>		<b>225.00</b>	...	<b>-40.04</b>	
FUBO 01/15/2021 CALL \$39.00 / CUSIP: / Symbol:							
01/11/21	1.000	9.98	01/05/21	147.00	...	-137.02	Option sale to close-call <sup>25</sup>
FUBO 01/21/2022 CALL \$28.00 / CUSIP: / Symbol:							
06/21/21	1.000	1,034.98	06/02/21	885.00	...	149.98	Option sale to close-call <sup>25</sup>
FUBO 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/16/21	3.000	0.00	07/13/21	225.00	...	-225.00	Option expiration- long call
FUBO 07/30/2021 CALL \$33.50 / CUSIP: / Symbol:							
	<i>4 transactions for 07/30/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	06/23/21	390.00	...	-390.00	1 of 4 - Option expiration- long call
	2.000	0.00	06/24/21	750.00	...	-750.00	2 of 4 - Option expiration- long call
	1.000	0.00	06/24/21	340.00	...	-340.00	3 of 4 - Option expiration- long call
	1.000	0.00	06/29/21	280.00	...	-280.00	4 of 4 - Option expiration- long call
07/30/21	5.000	0.00	Various	1,760.00	...	-1,760.00	Total of 4 transactions
FUBO 10/22/2021 CALL \$30.00 / CUSIP: / Symbol:							
10/18/21	2.000	119.98	10/18/21	110.00	...	9.98	Option sale to close-call <sup>25</sup>
FUBO 10/22/2021 CALL \$31.00 / CUSIP: / Symbol:							
10/19/21	3.000	122.98	10/18/21	96.00	...	26.98	Option sale to close-call <sup>25</sup>
GE 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
06/02/21	3.000	350.98	05/27/21	339.00	...	11.98	Option sale to close-call <sup>25</sup>
GE 07/16/2021 CALL \$14.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>						
	15.000	0.00	06/30/21	225.00	...	-225.00	1 of 2 - Option expiration- long call
	15.000	0.00	06/30/21	225.00	...	-225.00	2 of 2 - Option expiration- long call
07/16/21	30.000	0.00	Various	450.00	...	-450.00	Total of 2 transactions

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GME 01/29/2021 PUT \$75.00 / CUSIP: / Symbol: 01/27/21	1.000	399.98	01/27/21	400.00	...	-0.02	Option sale to close-put <sup>25</sup>
GME 02/26/2021 PUT \$50.00 / CUSIP: / Symbol: 02/25/21	1.000	109.98	02/25/21	116.00	...	-6.02	Option sale to close-put <sup>25</sup>
GME 03/19/2021 PUT \$125.00 / CUSIP: / Symbol: 03/15/21	1.000	214.98	03/12/21	560.00	...	-345.02	Option sale to close-put <sup>25</sup>
GME 11/05/2021 CALL \$215.00 / CUSIP: / Symbol: 11/02/21	1.000	394.98	11/02/21	670.00	...	-275.02	Option sale to close-call <sup>25</sup>
GME 12/10/2021 PUT \$150.00 / CUSIP: / Symbol: 12/09/21	1.000	122.98	12/08/21	375.00	...	-252.02	Option sale to close-put <sup>25</sup>
GNUS 06/18/2021 CALL \$3.00 / CUSIP: / Symbol: 06/11/21	25.000	124.94	06/03/21	325.00	...	-200.06	Option sale to close-call <sup>25</sup>
GTT 07/16/2021 CALL \$2.00 / CUSIP: / Symbol: 06/10/21	1.000	94.98	06/03/21	200.00	...	-105.02	Option sale to close-call <sup>25</sup>
GUSH 01/21/2022 CALL \$60.00 / CUSIP: / Symbol: 01/14/21	1.000	1,619.95	10/05/20	310.00	...	1,309.95	Option sale to close-call <sup>25</sup>
GUSH 02/19/2021 PUT \$56.00 / CUSIP: / Symbol: 02/09/21	1.000	279.98	02/08/21	220.00	...	59.98	Option sale to close-put <sup>25</sup>
GUSH 03/19/2021 CALL \$50.00 / CUSIP: / Symbol: 02/03/21	1.000	749.97	01/27/21	750.00	...	-0.03	Option sale to close-call <sup>25</sup>
GUSH 03/19/2021 CALL \$70.00 / CUSIP: / Symbol: 02/23/21	1.000	859.97	02/23/21	610.00	...	249.97	Option sale to close-call <sup>25</sup>
GUSH 09/17/2021 CALL \$55.00 / CUSIP: / Symbol: 02/05/21	1.000	1,539.95	01/21/21	1,480.00	...	59.95	Option sale to close-call <sup>25</sup>
HEXO 11/19/2021 CALL \$3.00 / CUSIP: / Symbol: 11/19/21	1.000	0.00	09/28/21	12.00	...	-12.00	Option expiration- long call

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

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HOOD 01/21/2022 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	1.000	220.98	11/19/21	325.00	...	-104.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	220.98	11/19/21	325.00	...	-104.02	2 of 2 - Option sale to close-call <sup>25</sup>
11/29/21	2.000	441.96	Various	650.00	...	-208.04	Total of 2 transactions
HOOD 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/26/21	1.000	72.98	10/07/21	101.00	...	-28.02	Option sale to close-call <sup>25</sup>
HYLN 07/02/2021 CALL \$12.00 / CUSIP: / Symbol:							
06/07/21	2.000	217.98	06/04/21	222.00	...	-4.02	Option sale to close-call <sup>25</sup>
INTC 06/25/2021 CALL \$57.50 / CUSIP: / Symbol:							
06/11/21	2.000	221.98	06/04/21	274.00	...	-52.02	Option sale to close-call <sup>25</sup>
INTC 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/14/21	1.000	192.98	06/11/21	192.00	...	0.98	Option sale to close-call <sup>25</sup>
IPG 08/20/2021 CALL \$35.00 / CUSIP: / Symbol:							
07/02/21	3.000	164.98	07/01/21	183.00	...	-18.02	Option sale to close-call <sup>25</sup>
IVR 07/09/2021 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	4.000	123.98	06/21/21	120.00	...	3.98	1 of 3 - Option sale to close-call <sup>25</sup>
	8.000	247.98	06/21/21	240.00	...	7.98	2 of 3 - Option sale to close-call <sup>25</sup>
	10.000	309.97	06/21/21	300.00	...	9.97	3 of 3 - Option sale to close-call <sup>25</sup>
06/24/21	22.000	681.93	Various	660.00	...	21.93	Total of 3 transactions
IVR 11/05/2021 CALL \$3.50 / CUSIP: / Symbol:							
11/05/21	10.000	0.00	11/03/21	40.00	...	-40.00	Option expiration- long call
JBLU 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
01/14/21	2.000	719.97	12/17/20	630.00	...	89.97	Option sale to close-call <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

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JBLU 01/21/2022 CALL \$20.00 / CUSIP: / Symbol:							
03/12/21	1.000	379.98	02/23/21	370.00	...	9.98	Option sale to close-call <sup>25</sup>
06/03/21	1.000	231.98	03/22/21	335.00	...	-103.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>611.96</b>		<b>705.00</b>	...	<b>-93.04</b>	
JD 01/20/2023 CALL \$100.00 / CUSIP: / Symbol:							
06/24/21	1.000	619.98	06/21/21	550.00	...	69.98	Option sale to close-call <sup>25</sup>
JMIA 04/09/2021 CALL \$38.00 / CUSIP: / Symbol:							
04/01/21	1.000	205.98	03/25/21	177.00	...	28.98	Option sale to close-call <sup>25</sup>
JMIA 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
04/08/21	1.000	45.98	03/09/21	440.00	...	-394.02	Option sale to close-call <sup>25</sup>
JMIA 05/21/2021 CALL \$27.00 / CUSIP: / Symbol:							
05/20/21	1.000	156.98	05/06/21	320.00	...	-163.02	Option sale to close-call <sup>25</sup>
KMI 06/25/2021 CALL \$19.50 / CUSIP: / Symbol:							
	2 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	4.000	3.98	06/10/21	60.00	...	-56.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1.00	06/10/21	16.00	...	-15.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/23/21	5.000	4.98	Various	76.00	...	-71.02	Total of 2 transactions
KMI 07/02/2021 CALL \$19.50 / CUSIP: / Symbol:							
07/02/21	5.000	0.00	06/10/21	115.00	...	-115.00	Option expiration- long call
KMX 04/09/2021 CALL \$136.00 / CUSIP: / Symbol:							
04/09/21	1.000	0.00	03/31/21	320.00	...	-320.00	Option expiration- long call
KOPN 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/20/21	4.000	0.00	06/28/21	400.00	...	-400.00	Option expiration- long call
KSS 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/12/21	1.000	374.98	02/24/21	375.00	...	-0.02	Option sale to close-call <sup>25</sup>
LLY 07/16/2021 CALL \$240.00 / CUSIP: / Symbol:							
06/10/21	1.000	489.98	06/09/21	285.00	...	204.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
M 12/10/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/10/21	20.000	0.00	12/07/21	280.00	...	-280.00	Option expiration- long call
MAT 06/25/2021 CALL \$21.00 / CUSIP: / Symbol:							
06/10/21	1.000	39.98	06/02/21	105.00	...	-65.02	Option sale to close-call <sup>25</sup>
MO 07/02/2021 CALL \$50.00 / CUSIP: / Symbol:							
	2 transactions for 07/02/21. Total proceeds and cost reported to the IRS.						
	9.000	0.00	06/11/21	279.00	...	-279.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/11/21	31.00	...	-31.00	2 of 2 - Option expiration- long call
07/02/21	10.000	0.00	Various	310.00	...	-310.00	Total of 2 transactions
MP 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/16/21	1.000	799.97	02/12/21	620.00	...	179.97	Option sale to close-call <sup>25</sup>
MP 07/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
06/24/21	1.000	179.98	06/14/21	230.00	...	-50.02	Option sale to close-call <sup>25</sup>
MRNA 12/03/2021 CALL \$360.00 / CUSIP: / Symbol:							
12/01/21	1.000	287.98	11/30/21	1,225.00	...	-937.02	Option sale to close-call <sup>25</sup>
MRVL 01/21/2022 CALL \$65.00 / CUSIP: / Symbol:							
06/02/21	1.000	128.98	03/17/21	325.00	...	-196.02	Option sale to close-call <sup>25</sup>
MRVL 07/02/2021 CALL \$47.00 / CUSIP: / Symbol:							
06/14/21	3.000	2,009.97	06/07/21	858.00	...	1,151.97	Option sale to close-call <sup>25</sup>
MRVL 07/02/2021 CALL \$50.00 / CUSIP: / Symbol:							
	2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.						
	2.000	799.99	06/08/21	400.00	...	399.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	799.99	06/10/21	456.00	...	343.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/14/21	4.000	1,599.98	Various	856.00	...	743.98	Total of 2 transactions
MSFT 03/18/2022 CALL \$330.00 / CUSIP: / Symbol:							
11/02/21	1.000	2,009.97	08/31/21	965.00	...	1,044.97	Option sale to close-call <sup>25</sup>
11/16/21	1.000	2,164.97	11/10/21	1,885.00	...	279.97	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>4,174.94</b>		<b>2,850.00</b>	...	<b>1,324.94</b>	

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MSFT 04/09/2021 PUT \$250.00 / CUSIP: / Symbol:							
04/08/21	1.000	39.98	04/07/21	179.00	...	-139.02	Option sale to close-put <sup>25</sup>
MSFT 04/14/2022 CALL \$360.00 / CUSIP: / Symbol:							
11/19/21	1.000	1,414.98	11/18/21	1,285.00	...	129.98	Option sale to close-call <sup>25</sup>
12/08/21	1.000	883.98	11/22/21	1,535.00	...	-651.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>2,298.96</b>		<b>2,820.00</b>	...	<b>-521.04</b>	
MSFT 04/16/2021 CALL \$245.00 / CUSIP: / Symbol:							
04/05/21	1.000	494.98	04/01/21	260.00	...	234.98	Option sale to close-call <sup>25</sup>
MSFT 04/16/2021 CALL \$260.00 / CUSIP: / Symbol:							
01/28/21	1.000	619.97	09/30/20	530.00	...	89.97	Option sale to close-call <sup>25</sup>
MSFT 05/14/2021 CALL \$257.50 / CUSIP: / Symbol:							
	2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	04/29/21	183.00	...	-183.00	1 of 2 - Option expiration- long call
	1.000	1.98	04/29/21	183.00	...	-181.02	2 of 2 - Option sale to close-call <sup>25</sup>
05/14/21	2.000	1.98	Various	366.00	...	-364.02	Total of 2 transactions
MSFT 12/03/2021 CALL \$345.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/22/21	372.00	...	-372.00	Option expiration- long call
MTCH 04/09/2021 CALL \$138.00 / CUSIP: / Symbol:							
03/31/21	1.000	379.98	03/25/21	345.00	...	34.98	Option sale to close-call <sup>25</sup>
MTCH 05/21/2021 CALL \$146.00 / CUSIP: / Symbol:							
05/20/21	1.000	29.98	05/07/21	455.00	...	-425.02	Option sale to close-call <sup>25</sup>
MTTR 11/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
	4 transactions for 10/26/21. Total proceeds and cost reported to the IRS.						
	2.000	9.99	10/14/21	58.02	...	-48.03	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/14/21	58.01	...	-48.02	2 of 4 - Option sale to close-call <sup>25</sup>
	3.000	14.99	10/14/21	51.00	...	-36.01	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	5.00	10/14/21	29.02	...	-24.02	4 of 4 - Option sale to close-call <sup>25</sup>
10/26/21	8.000	39.97	Various	196.05	...	-156.08	Total of 4 transactions

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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MTTR 11/19/2021 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	4.000	19.98	10/14/21	68.00	24.01 W	-24.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	9.98	10/14/21	34.00	24.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	4.98	10/14/21	17.00	12.02 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/26/21	7.000	34.94	Various	119.00	60.05 W	-24.01	Total of 3 transactions
	<b>Security total:</b>	<b>74.91</b>		<b>315.05</b>	<b>60.05 W</b>	<b>-180.09</b>	
NFLX 01/08/2021 CALL \$600.00 / CUSIP: / Symbol:							
01/05/21	1.000	17.98	12/21/20	191.00	...	-173.02	Option sale to close-call <sup>25</sup>
NFLX 02/12/2021 PUT \$527.50 / CUSIP: / Symbol:							
01/25/21	1.000	649.97	01/20/21	640.00	...	9.97	Option sale to close-put <sup>25</sup>
NFLX 03/19/2021 CALL \$547.50 / CUSIP: / Symbol:							
03/15/21	1.000	101.98	03/04/21	510.00	...	-408.02	Option sale to close-call <sup>25</sup>
NFLX 03/19/2021 CALL \$557.50 / CUSIP: / Symbol:							
03/15/21	1.000	65.98	03/04/21	325.00	...	-259.02	Option sale to close-call <sup>25</sup>
NFLX 03/26/2021 CALL \$532.50 / CUSIP: / Symbol:							
03/23/21	1.000	889.98	03/18/21	415.00	...	474.98	Option sale to close-call <sup>25</sup>
NFLX 04/16/2021 CALL \$530.00 / CUSIP: / Symbol:							
03/23/21	1.000	2,069.97	03/17/21	1,590.00	...	479.97	Option sale to close-call <sup>25</sup>
NFLX 04/16/2021 CALL \$540.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,444.98	03/15/21	1,030.00	...	414.98	Option sale to close-call <sup>25</sup>
NFLX 04/16/2021 CALL \$542.50 / CUSIP: / Symbol:							
04/07/21	1.000	1,219.98	04/05/21	875.00	...	344.98	Option sale to close-call <sup>25</sup>
NFLX 04/23/2021 CALL \$630.00 / CUSIP: / Symbol:							
04/23/21	1.000	0.00	04/08/21	297.00	...	-297.00	Option expiration- long call
NFLX 05/28/2021 CALL \$507.50 / CUSIP: / Symbol:							
05/21/21	1.000	474.98	04/28/21	1,465.00	...	-990.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NFLX 06/11/2021 CALL \$500.00 / CUSIP: / Symbol:							
06/11/21	2.000	65.98	06/10/21	186.00	...	-120.02	Option sale to close-call <sup>25</sup>
NFLX 07/02/2021 CALL \$512.50 / CUSIP: / Symbol:							
06/23/21	1.000	1,034.98	06/22/21	790.00	...	244.98	Option sale to close-call <sup>25</sup>
NFLX 07/09/2021 CALL \$520.00 / CUSIP: / Symbol:							
06/23/21	1.000	679.98	06/23/21	625.00	...	54.98	Option sale to close-call <sup>25</sup>
NFLX 10/08/2021 CALL \$640.00 / CUSIP: / Symbol:							
10/06/21	1.000	509.98	10/05/21	495.00	...	14.98	Option sale to close-call <sup>25</sup>
10/08/21	1.000	499.98	10/07/21	510.00	...	-10.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,009.96</b>		<b>1,005.00</b>	...	<b>4.96</b>	
NFLX 10/15/2021 CALL \$645.00 / CUSIP: / Symbol:							
10/14/21	1.000	76.98	10/11/21	555.00	...	-478.02	Option sale to close-call <sup>25</sup>
NFLX 10/22/2021 CALL \$640.00 / CUSIP: / Symbol:							
10/21/21	1.000	599.98	10/20/21	410.00	...	189.98	Option sale to close-call <sup>25</sup>
NFLX 10/22/2021 PUT \$650.00 / CUSIP: / Symbol:							
10/22/21	1.000	80.98	10/21/21	440.00	...	-359.02	Option sale to close-put <sup>25</sup>
NFLX 10/29/2021 PUT \$650.00 / CUSIP: / Symbol:							
10/28/21	1.000	30.98	10/22/21	690.00	...	-659.02	Option sale to close-put <sup>25</sup>
NFLX 11/19/2021 CALL \$700.00 / CUSIP: / Symbol:							
11/15/21	1.000	227.98	11/12/21	465.00	...	-237.02	Option sale to close-call <sup>25</sup>
NIO 01/21/2022 CALL \$40.00 / CUSIP: / Symbol:							
	2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.						
	1.000	574.98	11/03/21	480.00	...	94.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	574.98	11/03/21	480.00	...	94.98	2 of 2 - Option sale to close-call <sup>25</sup>
11/05/21	2.000	1,149.96	Various	960.00	...	189.96	Total of 2 transactions
NIO 01/21/2022 CALL \$50.00 / CUSIP: / Symbol:							
04/22/21	1.000	629.98	03/17/21	1,095.00	...	-465.02	Option sale to close-call <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NIO 04/01/2021 CALL \$48.00 / CUSIP: / Symbol:							
04/01/21	1.000	0.00	03/18/21	140.00	...	-140.00	Option expiration- long call
NIO 05/07/2021 CALL \$41.00 / CUSIP: / Symbol:							
05/07/21	1.000	0.00	04/29/21	136.00	...	-136.00	Option expiration- long call
NIO 06/25/2021 CALL \$50.00 / CUSIP: / Symbol:							
06/18/21	1.000	81.98	06/14/21	97.00	...	-15.02	Option sale to close-call <sup>25</sup>
NIO 08/20/2021 CALL \$45.00 / CUSIP: / Symbol:							
03/16/21	1.000	994.98	03/08/21	635.00	...	359.98	Option sale to close-call <sup>25</sup>
NKE 07/09/2021 CALL \$152.50 / CUSIP: / Symbol:							
06/28/21	1.000	207.98	06/25/21	355.00	...	-147.02	Option sale to close-call <sup>25</sup>
NOK 07/16/2021 CALL \$7.00 / CUSIP: / Symbol:							
06/03/21	1.000	36.98	06/02/21	23.00	...	13.98	Option sale to close-call <sup>25</sup>
NVAX 03/19/2021 PUT \$170.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	03/12/21	505.00	...	-505.00	Option expiration- long put
NVDA 01/08/2021 CALL \$600.00 / CUSIP: / Symbol:							
01/05/21	1.000	36.98	12/21/20	179.00	...	-142.02	Option sale to close-call <sup>25</sup>
NVDA 03/19/2021 CALL \$555.00 / CUSIP: / Symbol:							
03/15/21	1.000	180.98	03/04/21	450.00	...	-269.02	Option sale to close-call <sup>25</sup>
NVDA 03/26/2021 CALL \$530.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,574.98	03/05/21	690.00	...	884.98	Option sale to close-call <sup>25</sup>
NVDA 04/01/2021 CALL \$545.00 / CUSIP: / Symbol:							
03/31/21	1.000	124.98	03/18/21	525.00	...	-400.02	Option sale to close-call <sup>25</sup>
NVDA 04/09/2021 CALL \$530.00 / CUSIP: / Symbol:							
03/31/21	1.000	1,459.98	03/24/21	985.00	...	474.98	Option sale to close-call <sup>25</sup>
NVDA 04/09/2021 PUT \$560.00 / CUSIP: / Symbol:							
04/08/21	1.000	82.98	04/07/21	670.00	...	-587.02	Option sale to close-put <sup>25</sup>

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Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NVDA 04/09/2021 PUT \$570.00 / CUSIP: / Symbol:							
04/08/21	1.000	296.98	04/08/21	390.00	...	-93.02	Option sale to close-put <sup>25</sup>
NVDA 04/14/2022 CALL \$360.00 / CUSIP: / Symbol:							
11/15/21	1.000	1,654.98	11/10/21	1,750.00	...	-95.02	Option sale to close-call <sup>25</sup>
NVDA 04/16/2021 CALL \$540.00 / CUSIP: / Symbol:							
04/01/21	1.000	1,964.97	03/17/21	1,970.00	...	-5.03	Option sale to close-call <sup>25</sup>
NVDA 04/16/2021 CALL \$600.00 / CUSIP: / Symbol:							
04/12/21	1.000	1,899.98	04/08/21	350.00	...	1,549.98	Option sale to close-call <sup>25</sup>
NVDA 06/04/2021 CALL \$637.50 / CUSIP: / Symbol:							
06/01/21	1.000	1,829.98	05/24/21	1,415.00	...	414.98	Option sale to close-call <sup>25</sup>
NVDA 06/11/2021 CALL \$700.00 / CUSIP: / Symbol:							
06/04/21	1.000	1,104.98	06/03/21	745.00	...	359.98	Option sale to close-call <sup>25</sup>
NVDA 07/02/2021 CALL \$765.00 / CUSIP: / Symbol:							
06/28/21	1.000	3,279.97	06/25/21	1,310.00	...	1,969.97	Option sale to close-call <sup>25</sup>
NVDA 07/02/2021 CALL \$820.00 / CUSIP: / Symbol:							
06/30/21	2.000	425.98	06/28/21	1,170.00	...	-744.02	Option sale to close-call <sup>25</sup>
NVDA 07/09/2021 CALL \$840.00 / CUSIP: / Symbol:							
07/08/21	1.000	35.98	07/06/21	750.00	...	-714.02	Option sale to close-call <sup>25</sup>
NVDA 07/16/2021 CALL \$805.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/14/21	441.00	...	-441.00	Option expiration- long call
NVDA 07/23/2021 CALL \$200.00 / CUSIP: / Symbol:							
07/23/21	2.000	0.00	07/21/21	202.00	...	-202.00	Option expiration- long call
NVDA 08/06/2021 CALL \$200.63 / CUSIP: / Symbol:							
08/04/21	1.000	304.98	07/29/21	308.00	...	-3.02	Option sale to close-call <sup>25</sup>
NVDA 10/08/2021 CALL \$215.00 / CUSIP: / Symbol:							
10/06/21	1.000	22.98	10/01/21	96.00	...	-73.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 10/22/2021 CALL \$227.50 / CUSIP: / Symbol:							
10/22/21	2.000	293.98	10/21/21	144.00	...	149.98	Option sale to close-call <sup>25</sup>
NVDA 10/29/2021 CALL \$250.00 / CUSIP: / Symbol:							
10/29/21	1.000	384.98	10/26/21	345.00	...	39.98	Option sale to close-call <sup>25</sup>
NVDA 11/05/2021 CALL \$290.00 / CUSIP: / Symbol:							
11/04/21	1.000	246.98	11/04/21	153.00	...	93.98	Option sale to close-call <sup>25</sup>
NVDA 11/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/10/21	1.000	1,259.98	11/05/21	1,505.00	...	-245.02	Option sale to close-call <sup>25</sup>
NVDA 11/19/2021 CALL \$320.00 / CUSIP: / Symbol:							
11/05/21	1.000	1,487.98	11/05/21	1,320.00	...	167.98	Option sale to close-call <sup>25</sup>
11/12/21	1.000	494.98	11/11/21	465.00	...	29.98	Option sale to close-call <sup>25</sup>
11/18/21	1.000	822.98	11/17/21	290.00	...	532.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>2,805.94</b>		<b>2,075.00</b>	...	<b>730.94</b>	
NVDA 11/19/2021 CALL \$330.00 / CUSIP: / Symbol:							
11/05/21	1.000	1,019.98	11/04/21	640.00	...	379.98	Option sale to close-call <sup>25</sup>
11/17/21	1.000	169.98	11/16/21	136.00	...	33.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,189.96</b>		<b>776.00</b>	...	<b>413.96</b>	
NVDA 11/19/2021 PUT \$262.50 / CUSIP: / Symbol:							
11/18/21	1.000	0.98	11/17/21	214.00	...	-213.02	Option sale to close-put <sup>25</sup>
NVDA 11/19/2021 PUT \$280.00 / CUSIP: / Symbol:							
11/17/21	1.000	193.98	11/16/21	186.00	...	7.98	Option sale to close-put <sup>25</sup>
NVDA 11/26/2021 CALL \$335.00 / CUSIP: / Symbol:							
11/19/21	1.000	519.98	11/18/21	780.00	...	-260.02	Option sale to close-call <sup>25</sup>
NVDA 12/10/2021 CALL \$310.00 / CUSIP: / Symbol:							
12/06/21	1.000	161.98	12/03/21	850.00	...	-688.02	Option sale to close-call <sup>25</sup>
NVDA 12/17/2021 CALL \$295.00 / CUSIP: / Symbol:							
12/16/21	1.000	514.98	12/15/21	425.00	...	89.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 12/23/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/20/21	1.000	99.98	12/16/21	578.00	...	-478.02	Option sale to close-call <sup>25</sup>
NVDA 12/31/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/27/21	1.000	1,124.98	12/23/21	665.00	...	459.98	Option sale to close-call <sup>25</sup>
NVDA 12/31/2021 CALL \$302.50 / CUSIP: / Symbol:							
12/31/21	1.000	13.98	12/30/21	232.00	...	-218.02	Option sale to close-call <sup>25</sup>
OCGN 11/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/12/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	177.98	09/23/21	129.00	...	48.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	177.98	09/23/21	129.00	...	48.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/12/21	2.000	355.96	Various	258.00	...	97.96	Total of 2 transactions
ON 05/21/2021 CALL \$42.00 / CUSIP: / Symbol:							
04/22/21	1.000	164.98	03/31/21	308.00	...	-143.02	Option sale to close-call <sup>25</sup>
OPTT 08/20/2021 CALL \$2.50 / CUSIP: / Symbol:							
	<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>						
	5.000	199.98	06/11/21	275.00	...	-75.02	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	199.99	06/11/21	275.00	...	-75.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/24/21	10.000	399.97	Various	550.00	...	-150.03	Total of 2 transactions
PAYX 04/16/2021 CALL \$100.00 / CUSIP: / Symbol:							
	<i>2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.00	04/05/21	218.00	...	-218.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/05/21	218.00	...	-218.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	436.00	...	-436.00	Total of 2 transactions
PDD 07/16/2021 CALL \$121.00 / CUSIP: / Symbol:							
06/24/21	1.000	639.98	06/23/21	530.00	...	109.98	Option sale to close-call <sup>25</sup>
PINS 05/14/2021 CALL \$75.50 / CUSIP: / Symbol:							
05/14/21	1.000	0.00	04/27/21	635.00	...	-635.00	Option expiration- long call

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 06/17/2022 CALL \$20.00 / CUSIP: / Symbol:							
06/01/21	1.000	724.98	05/21/21	580.00	...	144.98	Option sale to close-call <sup>25</sup>
PLTR 06/17/2022 CALL \$25.00 / CUSIP: / Symbol:							
03/12/21	1.000	1,144.98	03/08/21	905.00	...	239.98	Option sale to close-call <sup>25</sup>
PLTR 06/17/2022 CALL \$27.00 / CUSIP: / Symbol:							
04/22/21	1.000	524.98	03/12/21	1,095.00	...	-570.02	Option sale to close-call <sup>25</sup>
PLTR 12/10/2021 CALL \$20.00 / CUSIP: / Symbol:							
12/09/21	1.000	28.98	12/07/21	22.00	...	6.98	Option sale to close-call <sup>25</sup>
PLTR 12/31/2021 CALL \$20.00 / CUSIP: / Symbol:							
	2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	25.000	0.00	12/27/21	275.00	...	-275.00	1 of 2 - Option expiration- long call
	25.000	0.00	12/27/21	275.00	...	-275.00	2 of 2 - Option expiration- long call
12/31/21	50.000	0.00	Various	550.00	...	-550.00	Total of 2 transactions
PTON 06/25/2021 CALL \$115.00 / CUSIP: / Symbol:							
06/22/21	2.000	595.98	06/22/21	390.00	...	205.98	Option sale to close-call <sup>25</sup>
PTON 08/13/2021 CALL \$112.00 / CUSIP: / Symbol:							
08/13/21	1.000	9.98	08/11/21	198.00	...	-188.02	Option sale to close-call <sup>25</sup>
PTON 08/20/2021 CALL \$130.00 / CUSIP: / Symbol:							
08/11/21	1.000	20.98	07/27/21	450.00	...	-429.02	Option sale to close-call <sup>25</sup>
PTON 10/15/2021 CALL \$170.00 / CUSIP: / Symbol:							
04/12/21	1.000	634.98	03/31/21	625.00	...	9.98	Option sale to close-call <sup>25</sup>
PYPL 04/14/2022 CALL \$250.00 / CUSIP: / Symbol:							
	2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.						
	1.000	267.99	11/29/21	340.00	...	-72.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	267.99	12/02/21	330.00	...	-62.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/15/21	2.000	535.98	Various	670.00	...	-134.02	Total of 2 transactions
PYPL 05/28/2021 CALL \$252.50 / CUSIP: / Symbol:							
05/21/21	1.000	434.98	05/06/21	810.00	...	-375.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PYPL 07/23/2021 CALL \$305.00 / CUSIP: / Symbol:							
07/22/21	1.000	126.98	07/21/21	123.00	...	3.98	Option sale to close-call <sup>25</sup>
QCOM 01/21/2022 CALL \$170.00 / CUSIP: / Symbol:							
08/11/21	1.000	286.98	07/14/21	410.00	...	-123.02	Option sale to close-call <sup>25</sup>
QS 04/16/2021 CALL \$62.50 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	03/22/21	720.00	...	-720.00	Option expiration- long call
QS 06/18/2021 CALL \$27.00 / CUSIP: / Symbol:							
06/02/21	1.000	229.98	06/01/21	199.00	...	30.98	Option sale to close-call <sup>25</sup>
RIOT 07/09/2021 CALL \$40.00 / CUSIP: / Symbol:							
07/07/21	2.000	29.98	06/29/21	500.00	...	-470.02	Option sale to close-call <sup>25</sup>
RIVN 11/26/2021 PUT \$100.00 / CUSIP: / Symbol:							
11/26/21	1.000	0.00	11/22/21	320.00	...	-320.00	Option expiration- long put
RIVN 12/17/2021 PUT \$100.00 / CUSIP: / Symbol:							
12/17/21	1.000	462.98	12/16/21	275.00	...	187.98	Option sale to close-put <sup>25</sup>
RKT 06/18/2021 CALL \$18.00 / CUSIP: / Symbol:							
06/02/21	1.000	135.98	06/01/21	115.00	...	20.98	Option sale to close-call <sup>25</sup>
RKT 07/30/2021 CALL \$20.00 / CUSIP: / Symbol:							
06/23/21	2.000	239.98	06/21/21	242.00	...	-2.02	Option sale to close-call <sup>25</sup>
ROKU 02/18/2022 CALL \$360.00 / CUSIP: / Symbol:							
11/05/21	1.000	699.98	11/04/21	1,245.00	...	-545.02	Option sale to close-call <sup>25</sup>
ROKU 03/18/2022 CALL \$390.00 / CUSIP: / Symbol:							
11/02/21	1.000	1,324.98	11/01/21	1,465.00	...	-140.02	Option sale to close-call <sup>25</sup>
ROKU 03/19/2021 CALL \$410.00 / CUSIP: / Symbol:							
03/11/21	1.000	279.98	03/04/21	455.00	...	-175.02	Option sale to close-call <sup>25</sup>
ROKU 03/19/2021 CALL \$427.50 / CUSIP: / Symbol:							
03/11/21	1.000	146.98	03/04/21	289.00	...	-142.02	Option sale to close-call <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 04/16/2021 CALL \$385.00 / CUSIP: / Symbol: 04/16/21	1.000	194.98	04/14/21	795.00	...	-600.02	Option sale to close-call <sup>25</sup>
ROKU 06/25/2021 CALL \$420.00 / CUSIP: / Symbol: 06/23/21	1.000	611.98	06/22/21	505.00	...	106.98	Option sale to close-call <sup>25</sup>
ROKU 08/27/2021 CALL \$360.00 / CUSIP: / Symbol: 08/27/21	1.000	147.98	08/26/21	129.00	...	18.98	Option sale to close-call <sup>25</sup>
ROKU 10/01/2021 CALL \$325.00 / CUSIP: / Symbol: 09/30/21	1.000	65.98	09/24/21	635.00	...	-569.02	Option sale to close-call <sup>25</sup>
ROKU 10/22/2021 CALL \$340.00 / CUSIP: / Symbol: 10/19/21	1.000	754.98	10/18/21	640.00	...	114.98	Option sale to close-call <sup>25</sup>
ROKU 11/05/2021 PUT \$287.50 / CUSIP: / Symbol: 11/04/21	1.000	182.98	11/03/21	535.00	...	-352.02	Option sale to close-put <sup>25</sup>
ROKU 12/03/2021 PUT \$200.00 / CUSIP: / Symbol: 11/22/21	3.000	407.98	11/19/21	303.00	...	104.98	Option sale to close-put <sup>25</sup>
ROKU 12/10/2021 CALL \$275.00 / CUSIP: / Symbol: 12/09/21	1.000	334.98	12/08/21	315.00	...	19.98	Option sale to close-call <sup>25</sup>
ROOT 07/16/2021 CALL \$12.50 / CUSIP: / Symbol: 06/28/21	1.000	49.98	06/09/21	350.00	...	-300.02	Option sale to close-call <sup>25</sup>
ROOT 07/16/2021 CALL \$15.00 / CUSIP: / Symbol: 06/25/21	1.000	29.98	06/11/21	145.00	...	-115.02	Option sale to close-call <sup>25</sup>
RSI 01/21/2022 CALL \$15.00 / CUSIP: / Symbol: 09/20/21	1.000	539.98	08/31/21	249.00	...	290.98	Option sale to close-call <sup>25</sup>
09/27/21	1.000	559.98	08/31/21	249.00	...	310.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,099.96</b>		<b>498.00</b>	...	<b>601.96</b>	
SAGE 06/18/2021 CALL \$82.50 / CUSIP: / Symbol: 06/01/21	1.000	629.98	05/18/21	1,100.00	...	-470.02	Option sale to close-call <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SAVA 06/18/2021 PUT \$65.00 / CUSIP: / Symbol:							
06/10/21	1.000	459.98	06/09/21	453.00	...	6.98	Option sale to close-put <sup>25</sup>
SDC 07/02/2021 CALL \$10.00 / CUSIP: / Symbol:							
06/24/21	2.000	23.98	06/09/21	298.00	...	-274.02	Option sale to close-call <sup>25</sup>
SHOP 12/10/2021 CALL \$1,485.00 / CUSIP: / Symbol:							
12/09/21	1.000	3,139.97	12/06/21	710.00	...	2,429.97	Option sale to close-call <sup>25</sup>
SHOP 12/10/2021 CALL \$1,600.00 / CUSIP: / Symbol:							
12/06/21	1.000	194.98	11/30/21	2,830.00	...	-2,635.02	Option sale to close-call <sup>25</sup>
SHOP 12/17/2021 CALL \$1,440.00 / CUSIP: / Symbol:							
12/16/21	1.000	559.98	12/15/21	275.00	...	284.98	Option sale to close-call <sup>25</sup>
SHOP 12/17/2021 CALL \$1,600.00 / CUSIP: / Symbol:							
12/15/21	1.000	39.98	12/10/21	860.00	...	-820.02	Option sale to close-call <sup>25</sup>
SHOP 12/23/2021 CALL \$1,440.00 / CUSIP: / Symbol:							
12/22/21	1.000	329.98	12/21/21	330.00	...	-0.02	Option sale to close-call <sup>25</sup>
SHOP 12/23/2021 CALL \$1,495.00 / CUSIP: / Symbol:							
12/23/21	1.000	29.98	12/16/21	750.00	...	-720.02	Option sale to close-call <sup>25</sup>
SHOP 12/23/2021 PUT \$1,320.00 / CUSIP: / Symbol:							
12/23/21	1.000	14.98	12/22/21	370.00	...	-355.02	Option sale to close-put <sup>25</sup>
SKLZ 06/18/2021 CALL \$18.50 / CUSIP: / Symbol:							
06/02/21	1.000	164.98	06/02/21	160.00	...	4.98	Option sale to close-call <sup>25</sup>
SKLZ 06/18/2021 CALL \$24.00 / CUSIP: / Symbol:							
3 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	179.99	06/07/21	110.00	...	69.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	179.99	06/07/21	110.00	...	69.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	180.00	06/07/21	110.00	...	70.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/08/21	3.000	539.98	Various	330.00	...	209.98	Total of 3 transactions

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLV 06/30/2021 CALL \$24.00 / CUSIP: / Symbol:							
02/12/21	1.000	310.98	02/04/21	265.00	...	45.98	Option sale to close-call <sup>25</sup>
SNAP 01/21/2022 CALL \$75.00 / CUSIP: / Symbol:							
04/22/21	1.000	514.98	03/17/21	1,005.00	...	-490.02	Option sale to close-call <sup>25</sup>
SNAP 10/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,039.98	03/08/21	705.00	...	334.98	Option sale to close-call <sup>25</sup>
SNDL 02/12/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/12/21	1.000	44.98	02/12/21	0.00	...	44.98	Short sale closed- call expired Option written: 02/10/21
SOS 06/11/2021 CALL \$4.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	151.97	06/03/21	368.00	...	-216.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	37.98	06/03/21	92.00	...	-54.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/09/21	10.000	189.95	Various	460.00	...	-270.05	Total of 2 transactions
SPCE 07/16/2021 CALL \$125.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/16/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	0.00	07/09/21	312.00	...	-312.00	1 of 3 - Option expiration- long call
	1.000	0.00	07/09/21	39.00	...	-39.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/09/21	39.00	...	-39.00	3 of 3 - Option expiration- long call
07/16/21	10.000	0.00	Various	390.00	...	-390.00	Total of 3 transactions
SPCE 07/16/2021 CALL \$55.00 / CUSIP: / Symbol:							
07/09/21	1.000	534.98	07/07/21	286.00	...	248.98	Option sale to close-call <sup>25</sup>
SPCE 07/16/2021 CALL \$80.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/09/21	139.00	...	-139.00	Option expiration- long call
SPLK 07/30/2021 CALL \$140.00 / CUSIP: / Symbol:							
06/24/21	1.000	502.98	06/22/21	650.00	...	-147.02	Option sale to close-call <sup>25</sup>
SPLK 08/20/2021 CALL \$135.00 / CUSIP: / Symbol:							
06/22/21	1.000	989.98	06/18/21	475.00	...	514.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPY 01/21/2022 CALL \$500.00 / CUSIP: / Symbol:							
08/11/21	2.000	187.98	08/04/21	186.00	...	1.98	Option sale to close-call <sup>25</sup>
SPY 01/21/2022 CALL \$515.00 / CUSIP: / Symbol:							
4 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	41.00	07/09/21	38.00	...	3.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	41.99	07/19/21	25.00	...	16.99	2 of 4 - Option sale to close-call <sup>25</sup>
	24.000	983.94	07/19/21	600.00	...	383.94	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	41.99	08/16/21	41.00	...	0.99	4 of 4 - Option sale to close-call <sup>25</sup>
11/05/21	27.000	1,108.92	Various	704.00	...	404.92	Total of 4 transactions
7 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	3.000	20.99	11/16/21	81.00	...	-60.01	1 of 7 - Option sale to close-call <sup>25</sup>
	2.000	13.99	11/16/21	54.00	...	-40.01	2 of 7 - Option sale to close-call <sup>25</sup>
	1.000	6.99	11/16/21	27.00	...	-20.01	3 of 7 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/16/21	27.00	...	-20.00	4 of 7 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/16/21	27.00	...	-20.00	5 of 7 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/16/21	27.00	...	-20.00	6 of 7 - Option sale to close-call <sup>25</sup>
	1.000	7.00	11/16/21	27.00	...	-20.00	7 of 7 - Option sale to close-call <sup>25</sup>
12/16/21	10.000	69.97	Various	270.00	...	-200.03	Total of 7 transactions
	<b>Security total:</b>	<b>1,178.89</b>		<b>974.00</b>	...	<b>204.89</b>	
SPY 01/21/2022 CALL \$520.00 / CUSIP: / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	29.98	07/19/21	20.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	07/19/21	20.00	...	9.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/11/21	2.000	59.96	Various	40.00	...	19.96	Total of 2 transactions
SPY 01/21/2022 CALL \$545.00 / CUSIP: / Symbol:							
4 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	8.000	79.98	07/02/21	80.00	...	-0.02	1 of 4 - Option sale to close-call <sup>25</sup>
	3.000	30.00	07/02/21	30.00	...	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	20.000	199.95	07/02/21	180.00	...	19.95	3 of 4 - Option sale to close-call <sup>25</sup>
	3.000	29.99	07/08/21	30.00	...	-0.01	4 of 4 - Option sale to close-call <sup>25</sup>
08/06/21	34.000	339.92	Various	320.00	...	19.92	Total of 4 transactions

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 06/25/2021 CALL \$426.00 / CUSIP: / Symbol:							
06/22/21	1.000	34.98	06/16/21	116.00	...	-81.02	Option sale to close-call <sup>25</sup>
SPY 07/02/2021 PUT \$427.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/28/21	209.00	...	-209.00	Option expiration- long put
SPY 07/16/2021 CALL \$440.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/13/21	45.00	...	-45.00	Option expiration- long call
SPY 07/30/2021 PUT \$435.00 / CUSIP: / Symbol:							
07/30/21	1.000	14.98	07/28/21	83.00	...	-68.02	Option sale to close-put <sup>25</sup>
SPY 10/08/2021 CALL \$435.00 / CUSIP: / Symbol:							
10/06/21	1.000	211.98	10/05/21	306.00	...	-94.02	Option sale to close-call <sup>25</sup>
SPY 12/17/2021 CALL \$470.00 / CUSIP: / Symbol:							
2 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	1.000	184.99	12/15/21	49.00	...	135.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	184.99	12/15/21	49.00	...	135.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/16/21	2.000	369.98	Various	98.00	...	271.98	Total of 2 transactions
12/17/21	1.000	5.98	12/16/21	67.00	...	-61.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>375.96</b>		<b>165.00</b>	...	<b>210.96</b>	
SPY 12/17/2021 CALL \$475.00 / CUSIP: / Symbol:							
12/16/21	9.000	278.97	12/15/21	198.00	...	80.97	Option sale to close-call <sup>25</sup>
SPY 12/17/2021 CALL \$476.00 / CUSIP: / Symbol:							
7 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	18.000	143.96	12/16/21	468.00	...	-324.04	1 of 7 - Option sale to close-call <sup>25</sup>
	6.000	47.98	12/16/21	156.00	...	-108.02	2 of 7 - Option sale to close-call <sup>25</sup>
	6.000	47.98	12/16/21	156.00	...	-108.02	3 of 7 - Option sale to close-call <sup>25</sup>
	6.000	47.99	12/16/21	156.00	...	-108.01	4 of 7 - Option sale to close-call <sup>25</sup>
	6.000	47.99	12/16/21	156.00	...	-108.01	5 of 7 - Option sale to close-call <sup>25</sup>
	2.000	16.00	12/16/21	88.02	...	-72.02	6 of 7 - Option sale to close-call <sup>25</sup>
	4.000	31.99	12/16/21	104.00	...	-72.01	7 of 7 - Option sale to close-call <sup>25</sup>
12/16/21	48.000	383.89	Various	1,284.02	...	-900.13	Total of 7 transactions
12/16/21	2.000	15.98	12/16/21	52.00	36.02 W	0.00	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SPY 12/17/2021 CALL \$476.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>399.87</b>		<b>1,336.02</b>		<b>36.02 W</b>	<b>-900.13</b>
SPY 12/17/2021 CALL \$477.00 / CUSIP: / Symbol:							
3 transactions for 12/16/21. Total proceeds and cost reported to the IRS.							
	14.000	223.97	12/15/21	182.00	...	41.97	1 of 3 - Option sale to close-call <sup>25</sup>
	16.000	255.96	12/15/21	208.00	...	47.96	2 of 3 - Option sale to close-call <sup>25</sup>
	20.000	319.96	12/15/21	260.00	...	59.96	3 of 3 - Option sale to close-call <sup>25</sup>
12/16/21	50.000	799.89	Various	650.00	...	149.89	Total of 3 transactions
SPY 12/17/2021 CALL \$480.00 / CUSIP: / Symbol:							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	240.000	719.51	12/15/21	720.00	...	-0.49	1 of 2 - Option sale to close-call <sup>25</sup>
	60.000	179.87	12/15/21	180.00	...	-0.13	2 of 2 - Option sale to close-call <sup>25</sup>
12/15/21	300.000	899.38	Various	900.00	...	-0.62	Total of 2 transactions
SPY 12/20/2021 CALL \$476.00 / CUSIP: / Symbol:							
12/20/21	1.000	0.00	12/16/21	22.00	...	-22.00	Option expiration- long call
SPY 12/27/2021 CALL \$470.00 / CUSIP: / Symbol:							
5 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	1.000	39.99	12/21/21	37.00	...	2.99	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	40.00	12/21/21	37.00	...	3.00	2 of 5 - Option sale to close-call <sup>25</sup>
	2.000	79.99	12/21/21	74.00	...	5.99	3 of 5 - Option sale to close-call <sup>25</sup>
	2.000	80.00	12/21/21	74.00	...	6.00	4 of 5 - Option sale to close-call <sup>25</sup>
	4.000	159.99	12/21/21	148.00	...	11.99	5 of 5 - Option sale to close-call <sup>25</sup>
12/22/21	10.000	399.97	Various	370.00	...	29.97	Total of 5 transactions
SQ 04/09/2021 CALL \$250.00 / CUSIP: / Symbol:							
04/07/21	1.000	270.98	04/07/21	262.00	...	8.98	Option sale to close-call <sup>25</sup>
SQ 04/09/2021 PUT \$245.00 / CUSIP: / Symbol:							
04/08/21	1.000	55.98	04/07/21	325.00	...	-269.02	Option sale to close-put <sup>25</sup>
SQ 06/18/2021 CALL \$227.50 / CUSIP: / Symbol:							
06/11/21	1.000	271.98	06/04/21	292.00	...	-20.02	Option sale to close-call <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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SQ 07/23/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	1.000	149.99	07/21/21	118.00	...	31.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	149.99	07/21/21	118.00	...	31.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/21	2.000	299.98	Various	236.00	...	63.98	Total of 2 transactions
SRNE 06/11/2021 CALL \$8.50 / CUSIP: / Symbol:							
06/04/21	3.000	86.98	06/02/21	189.00	...	-102.02	Option sale to close-call <sup>25</sup>
SSYS 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/01/21	1.000	339.98	02/25/21	280.00	...	59.98	Option sale to close-call <sup>25</sup>
SWN 11/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	6.000	65.98	09/27/21	342.00	...	-276.02	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	32.99	09/27/21	171.00	...	-138.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	11.00	09/27/21	57.00	...	-46.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/22/21	10.000	109.97	Various	570.00	...	-460.03	Total of 3 transactions
TACO 01/21/2022 CALL \$12.50 / CUSIP: / Symbol:							
12/07/21	1.000	26.98	12/06/21	15.00	...	11.98	Option sale to close-call <sup>25</sup>
TDOC 03/19/2021 CALL \$265.00 / CUSIP: / Symbol:							
03/11/21	1.000	23.98	02/25/21	560.00	...	-536.02	Option sale to close-call <sup>25</sup>
TDOC 04/01/2021 CALL \$222.50 / CUSIP: / Symbol:							
04/01/21	1.000	0.00	03/11/21	405.00	...	-405.00	Option expiration- long call
TDOC 04/30/2021 CALL \$185.00 / CUSIP: / Symbol:							
04/22/21	1.000	629.98	04/12/21	925.00	...	-295.02	Option sale to close-call <sup>25</sup>
TLRY 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/15/21	1.000	74.98	02/12/21	735.00	...	-660.02	Option sale to close-call <sup>25</sup>
TLRY 06/18/2021 CALL \$21.50 / CUSIP: / Symbol:							
06/04/21	1.000	120.98	06/03/21	330.00	...	-209.02	Option sale to close-call <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TLRY 06/18/2021 CALL \$23.00 / CUSIP: / Symbol:							
06/10/21	1.000	113.98	06/09/21	169.00	...	-55.02	Option sale to close-call <sup>25</sup>
TLRY 07/23/2021 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 07/19/21. Total proceeds and cost reported to the IRS.							
	1.000	3.98	07/13/21	104.00	...	-100.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	3.98	07/13/21	104.00	...	-100.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/19/21	2.000	7.96	Various	208.00	...	-200.04	Total of 2 transactions
TME 06/18/2021 CALL \$16.00 / CUSIP: / Symbol:							
3 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	5.000	224.98	06/09/21	250.00	...	-25.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	179.98	06/09/21	200.00	...	-20.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	45.00	06/09/21	50.00	...	-5.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/14/21	10.000	449.96	Various	500.00	...	-50.04	Total of 3 transactions
TRIP 07/16/2021 CALL \$44.00 / CUSIP: / Symbol:							
06/03/21	1.000	188.98	06/01/21	286.00	...	-97.02	Option sale to close-call <sup>25</sup>
TRIT 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	6.98	02/12/21	110.00	...	-103.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.98	02/12/21	110.00	...	-103.02	2 of 2 - Option sale to close-call <sup>25</sup>
03/11/21	2.000	13.96	Various	220.00	...	-206.04	Total of 2 transactions
TSLA 01/08/2021 CALL \$1,025.00 / CUSIP: / Symbol:							
01/04/21	1.000	2.98	12/21/20	81.00	...	-78.02	Option sale to close-call <sup>25</sup>
TSLA 03/19/2021 CALL \$730.00 / CUSIP: / Symbol:							
03/09/21	1.000	699.98	03/05/21	690.00	...	9.98	Option sale to close-call <sup>25</sup>
TSLA 04/09/2021 PUT \$605.00 / CUSIP: / Symbol:							
04/08/21	1.000	13.98	03/31/21	670.00	...	-656.02	Option sale to close-put <sup>25</sup>
TSLA 05/07/2021 CALL \$707.50 / CUSIP: / Symbol:							
05/06/21	1.000	68.98	04/27/21	2,400.00	...	-2,331.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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TSLA 07/02/2021 CALL \$700.00 / CUSIP: / Symbol: 06/25/21	1.000	1,689.98	06/24/21	1,445.00	...	244.98	Option sale to close-call <sup>25</sup>
TSLA 07/02/2021 CALL \$720.00 / CUSIP: / Symbol: 07/02/21	1.000	43.98	06/30/21	350.00	...	-306.02	Option sale to close-call <sup>25</sup>
TSLA 07/16/2021 CALL \$745.00 / CUSIP: / Symbol: 07/16/21	1.000	0.00	07/13/21	24.00	...	-24.00	Option expiration- long call
TSLA 07/23/2021 CALL \$670.00 / CUSIP: / Symbol: 07/23/21	1.000	0.00	07/22/21	95.00	...	-95.00	Option expiration- long call
TSLA 11/05/2021 CALL \$1,250.00 / CUSIP: / Symbol: 11/01/21	1.000	634.98	10/29/21	595.00	...	39.98	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,030.00 / CUSIP: / Symbol: 11/16/21	1.000	3,539.97	11/15/21	2,565.00	...	974.97	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,090.00 / CUSIP: / Symbol: 11/17/21	1.000	2,444.97	11/17/21	2,180.00	...	264.97	Option sale to close-call <sup>25</sup>
TSLA 11/19/2021 CALL \$1,250.00 / CUSIP: / Symbol: 11/11/21	1.000	354.98	11/10/21	825.00	...	-470.02	Option sale to close-call <sup>25</sup>
TSLA 11/26/2021 CALL \$1,250.00 / CUSIP: / Symbol: 11/19/21	1.000	409.98	11/17/21	685.00	275.02 W	0.00	Option sale to close-call <sup>25</sup>
11/26/21	1.000	3.98	11/22/21	935.02	...	-931.04	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>413.96</b>		<b>1,620.02</b>	<b>275.02 W</b>	<b>-931.04</b>	
TSLA 12/10/2021 CALL \$1,105.00 / CUSIP: / Symbol: 12/09/21	1.000	187.98	12/08/21	689.00	...	-501.02	Option sale to close-call <sup>25</sup>
TSLA 12/17/2021 CALL \$1,090.00 / CUSIP: / Symbol: 12/13/21	1.000	207.98	12/10/21	880.00	...	-672.02	Option sale to close-call <sup>25</sup>
TSLA 12/23/2021 CALL \$1,020.00 / CUSIP: / Symbol: 12/22/21	1.000	484.98	12/22/21	340.00	...	144.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 12/23/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
12/22/21	1.000	50.98	12/15/21	345.00	...	-294.02	Option sale to close-call <sup>25</sup>
TSLA 12/31/2021 CALL \$1,125.00 / CUSIP: / Symbol:							
12/30/21	1.000	246.98	12/30/21	330.00	...	-83.02	Option sale to close-call <sup>25</sup>
TTD 04/14/2022 CALL \$95.00 / CUSIP: / Symbol:							
11/04/21	1.000	409.98	08/31/21	820.00	...	-410.02	Option sale to close-call <sup>25</sup>
TTD 06/25/2021 CALL \$75.00 / CUSIP: / Symbol:							
7 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	4.000	99.98	06/15/21	60.00	...	39.98	1 of 7 - Option sale to close-call <sup>25</sup>
	5.000	124.98	06/15/21	75.00	...	49.98	2 of 7 - Option sale to close-call <sup>25</sup>
	5.000	124.98	06/15/21	75.00	...	49.98	3 of 7 - Option sale to close-call <sup>25</sup>
	5.000	124.98	06/15/21	75.00	...	49.98	4 of 7 - Option sale to close-call <sup>25</sup>
	5.000	124.98	06/15/21	75.00	...	49.98	5 of 7 - Option sale to close-call <sup>25</sup>
	8.000	199.97	06/15/21	120.00	...	79.97	6 of 7 - Option sale to close-call <sup>25</sup>
	8.000	199.97	06/15/21	120.00	...	79.97	7 of 7 - Option sale to close-call <sup>25</sup>
06/24/21	40.000	999.84	Various	600.00	...	399.84	Total of 7 transactions
TTD 10/15/2021 CALL \$74.00 / CUSIP: / Symbol:							
06/25/21	1.000	1,009.98	06/24/21	890.00	...	119.98	Option sale to close-call <sup>25</sup>
TTD 12/17/2021 CALL \$98.00 / CUSIP: / Symbol:							
12/17/21	20.000	0.00	12/15/21	500.00	...	-500.00	Option expiration- long call
TTD 12/23/2021 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	4.000	23.98	12/21/21	52.00	...	-28.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	9.98	12/21/21	13.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/21	5.000	33.96	Various	65.00	...	-31.04	Total of 2 transactions
TTD 12/31/2021 CALL \$103.00 / CUSIP: / Symbol:							
12/27/21	1.000	44.98	12/23/21	40.00	...	4.98	Option sale to close-call <sup>25</sup>
TWLO 02/18/2022 CALL \$350.00 / CUSIP: / Symbol:							
12/06/21	1.000	399.98	11/03/21	1,590.00	...	-1,190.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TWLO 02/19/2021 CALL \$440.00 / CUSIP: / Symbol:							
02/03/21	1.000	774.97	01/25/21	825.00	...	-50.03	Option sale to close-call <sup>25</sup>
TWLO 02/19/2021 PUT \$362.50 / CUSIP: / Symbol:							
02/08/21	1.000	619.97	02/05/21	770.00	...	-150.03	Option sale to close-put <sup>25</sup>
TWLO 03/19/2021 CALL \$405.00 / CUSIP: / Symbol:							
03/15/21	1.000	118.98	03/04/21	470.00	...	-351.02	Option sale to close-call <sup>25</sup>
TWLO 03/26/2021 CALL \$385.00 / CUSIP: / Symbol:							
03/26/21	1.000	0.00	03/18/21	605.00	...	-605.00	Option expiration- long call
TWLO 03/26/2021 CALL \$390.00 / CUSIP: / Symbol:							
03/16/21	1.000	849.98	03/05/21	435.00	...	414.98	Option sale to close-call <sup>25</sup>
TWLO 04/01/2021 CALL \$380.00 / CUSIP: / Symbol:							
04/01/21	1.000	8.98	03/18/21	710.00	...	-701.02	Option sale to close-call <sup>25</sup>
TWLO 04/09/2021 CALL \$380.00 / CUSIP: / Symbol:							
03/31/21	1.000	152.98	03/24/21	405.00	...	-252.02	Option sale to close-call <sup>25</sup>
TWLO 04/09/2021 PUT \$352.50 / CUSIP: / Symbol:							
04/08/21	1.000	63.98	04/07/21	355.00	...	-291.02	Option sale to close-put <sup>25</sup>
TWLO 04/16/2021 CALL \$390.00 / CUSIP: / Symbol:							
03/16/21	1.000	1,889.98	03/08/21	680.00	...	1,209.98	Option sale to close-call <sup>25</sup>
TWLO 04/16/2021 CALL \$400.00 / CUSIP: / Symbol:							
<i>2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	142.99	03/17/21	1,295.00	...	-1,152.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	142.99	03/31/21	157.00	...	-14.01	2 of 2 - Option sale to close-call <sup>25</sup>
04/08/21	2.000	285.98	Various	1,452.00	...	-1,166.02	Total of 2 transactions
TWLO 04/23/2021 CALL \$380.00 / CUSIP: / Symbol:							
04/22/21	1.000	284.98	04/12/21	805.00	...	-520.02	Option sale to close-call <sup>25</sup>
TWLO 04/30/2021 PUT \$400.00 / CUSIP: / Symbol:							
04/27/21	1.000	1,199.98	04/26/21	880.00	...	319.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TWLO 06/11/2021 CALL \$312.50 / CUSIP: / Symbol:							
05/20/21	1.000	1,464.98	05/06/21	1,600.00	135.02 W	0.00	Option sale to close-call <sup>25</sup>
05/21/21	1.000	1,819.98	05/20/21	1,835.02	...	-15.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,284.96</b>		<b>3,435.02</b>	<b>135.02 W</b>	<b>-15.04</b>	
TWLO 06/18/2021 PUT \$322.50 / CUSIP: / Symbol:							
06/15/21	1.000	212.98	06/14/21	115.00	...	97.98	Option sale to close-put <sup>25</sup>
TWLO 07/02/2021 PUT \$345.00 / CUSIP: / Symbol:							
06/21/21	1.000	589.98	06/18/21	595.00	...	-5.02	Option sale to close-put <sup>25</sup>
TWLO 07/16/2021 CALL \$380.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/14/21	355.00	...	-355.00	Option expiration- long call
TWLO 07/16/2021 CALL \$402.50 / CUSIP: / Symbol:							
07/07/21	1.000	1,004.98	07/06/21	955.00	...	49.98	Option sale to close-call <sup>25</sup>
TWLO 10/22/2021 CALL \$370.00 / CUSIP: / Symbol:							
10/20/21	1.000	474.98	10/19/21	450.00	...	24.98	Option sale to close-call <sup>25</sup>
TWLO 10/29/2021 PUT \$320.00 / CUSIP: / Symbol:							
10/28/21	1.000	3,464.97	10/27/21	282.00	...	3,182.97	Option sale to close-put <sup>25</sup>
TWLO 11/05/2021 CALL \$295.00 / CUSIP: / Symbol:							
11/01/21	1.000	544.98	10/29/21	515.00	...	29.98	Option sale to close-call <sup>25</sup>
TWLO 11/05/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/02/21	1.000	369.98	11/01/21	585.00	...	-215.02	Option sale to close-call <sup>25</sup>
TWTR 01/21/2022 CALL \$80.00 / CUSIP: / Symbol:							
03/16/21	1.000	904.98	03/08/21	760.00	...	144.98	Option sale to close-call <sup>25</sup>
TWTR 06/04/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/04/21	5.000	24.98	06/03/21	130.00	...	-105.02	Option sale to close-call <sup>25</sup>
TWTR 09/17/2021 CALL \$75.00 / CUSIP: / Symbol:							
04/22/21	1.000	434.98	03/17/21	860.00	...	-425.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 10/15/2021 CALL \$69.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	10/14/21	15.00	...	-15.00	1 of 2 - Option expiration- long call
	5.000	0.00	10/14/21	15.00	...	-15.00	2 of 2 - Option expiration- long call
10/15/21	10.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
UAA 07/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.							
	1.000	55.99	06/14/21	50.00	...	5.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	55.99	06/14/21	50.00	...	5.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/15/21	2.000	111.98	Various	100.00	...	11.98	Total of 2 transactions
UAVS 07/16/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/08/21	1.000	174.98	06/07/21	145.00	...	29.98	Option sale to close-call <sup>25</sup>
ULTA 06/18/2021 CALL \$365.00 / CUSIP: / Symbol:							
3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	94.98	06/08/21	65.00	...	29.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	95.00	06/08/21	65.00	...	30.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	284.98	06/08/21	195.00	...	89.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/21	5.000	474.96	Various	325.00	...	149.96	Total of 3 transactions
UPST 07/02/2021 CALL \$140.00 / CUSIP: / Symbol:							
06/24/21	1.000	429.98	06/23/21	298.00	...	131.98	Option sale to close-call <sup>25</sup>
USB 08/06/2021 CALL \$58.00 / CUSIP: / Symbol:							
07/12/21	1.000	135.98	06/29/21	166.00	...	-30.02	Option sale to close-call <sup>25</sup>
USO 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
01/14/21	1.000	529.97	09/30/20	240.00	...	289.97	Option sale to close-call <sup>25</sup>
UWMC 07/16/2021 CALL \$11.00 / CUSIP: / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	99.99	06/11/21	83.00	...	16.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	99.99	06/11/21	83.00	...	16.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/14/21	2.000	199.98	Various	166.00	...	33.98	Total of 2 transactions

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Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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VXRT 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	9.000	323.97	06/03/21	531.00	...	-207.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	36.00	06/03/21	59.00	...	-23.00	2 of 2 - Option sale to close-call <sup>25</sup>
06/04/21	10.000	359.97	Various	590.00	...	-230.03	Total of 2 transactions
WISH 01/21/2022 CALL \$12.50 / CUSIP: / Symbol:							
07/14/21	1.000	214.98	06/24/21	1,060.04	...	-845.06	Option sale to close-call <sup>25</sup>
2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.							
	1.000	214.98	06/18/21	440.00	225.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	214.98	06/21/21	735.02	520.04 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/21	2.000	429.96	Various	1,175.02	745.06 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>644.94</b>		<b>2,235.06</b>	<b>745.06 W</b>	<b>-845.06</b>	
WISH 07/02/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/02/21	1.000	0.00	06/28/21	105.00	...	-105.00	Option expiration- long call
WISH 07/02/2021 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 07/02/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	06/28/21	50.00	...	-50.00	1 of 3 - Option expiration- long call
	4.000	0.00	06/28/21	40.00	...	-40.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/28/21	10.00	...	-10.00	3 of 3 - Option expiration- long call
07/02/21	10.000	0.00	Various	100.00	...	-100.00	Total of 3 transactions
WISH 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
07/16/21	3.000	0.00	06/28/21	381.00	...	-381.00	Option expiration- long call
WISH 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
07/16/21	5.000	0.00	06/28/21	300.00	...	-300.00	Option expiration- long call
WISH 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	6.000	0.00	06/28/21	174.00	...	-174.00	1 of 2 - Option expiration- long call
	4.000	0.00	06/28/21	116.00	...	-116.00	2 of 2 - Option expiration- long call
07/16/21	10.000	0.00	Various	290.00	...	-290.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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WISH 09/24/2021 CALL \$6.00 / CUSIP: / Symbol:							
09/23/21	4.000	83.98	09/22/21	56.00	...	27.98	Option sale to close-call <sup>25</sup>
WISH 09/24/2021 CALL \$6.50 / CUSIP: / Symbol:							
09/24/21	4.000	27.98	09/23/21	20.00	...	7.98	Option sale to close-call <sup>25</sup>
WISH 12/10/2021 CALL \$4.00 / CUSIP: / Symbol:							
12/07/21	2.000	17.98	12/06/21	16.00	...	1.98	Option sale to close-call <sup>25</sup>
WISH 12/17/2021 CALL \$7.50 / CUSIP: / Symbol:							
4 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/18/21	12.00	...	-12.00	1 of 4 - Option expiration- long call
	2.000	0.00	11/18/21	12.00	...	-12.00	2 of 4 - Option expiration- long call
	1.000	0.00	11/18/21	6.00	...	-6.00	3 of 4 - Option expiration- long call
	1.000	0.00	11/18/21	6.00	...	-6.00	4 of 4 - Option expiration- long call
12/17/21	6.000	0.00	Various	36.00	...	-36.00	Total of 4 transactions
WKHS 08/20/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/29/21	1.000	419.98	06/24/21	330.00	...	89.98	Option sale to close-call <sup>25</sup>
WPG 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	06/11/21	118.02	...	-108.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/11/21	32.00	...	-27.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/14/21	3.000	14.98	Various	150.02	...	-135.04	Total of 2 transactions
06/14/21	2.000	9.98	06/11/21	64.00	54.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>24.96</b>		<b>214.02</b>	<b>54.02 W</b>	<b>-135.04</b>	
XL 06/18/2021 CALL \$8.00 / CUSIP: / Symbol:							
4 transactions for 06/02/21. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/01/21	35.00	...	4.98	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	79.98	06/01/21	70.00	...	9.98	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	79.98	06/01/21	70.00	...	9.98	3 of 4 - Option sale to close-call <sup>25</sup>
	5.000	229.98	06/01/21	175.00	...	54.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/02/21	10.000	429.92	Various	350.00	...	79.92	Total of 4 transactions

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
XOM 07/02/2021 CALL \$62.00 / CUSIP: / Symbol:							
06/09/21	2.000	355.98	06/08/21	328.00	...	27.98	Option sale to close-call <sup>25</sup>
YUM 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
04/12/21	1.000	139.98	04/06/21	135.00	...	4.98	Option sale to close-call <sup>25</sup>
ZM 01/29/2021 CALL \$455.00 / CUSIP: / Symbol:							
01/15/21	1.000	719.97	01/14/21	420.00	...	299.97	Option sale to close-call <sup>25</sup>
ZM 07/02/2021 CALL \$400.00 / CUSIP: / Symbol:							
06/24/21	2.000	519.98	06/24/21	570.00	...	-50.02	Option sale to close-call <sup>25</sup>
ZM 07/16/2021 CALL \$380.00 / CUSIP: / Symbol:							
06/24/21	1.000	1,389.98	06/22/21	1,290.00	...	99.98	Option sale to close-call <sup>25</sup>
ZM 08/13/2021 CALL \$360.00 / CUSIP: / Symbol:							
08/13/21	1.000	0.00	08/11/21	330.00	...	-330.00	Option expiration- long call
ZM 08/13/2021 CALL \$397.50 / CUSIP: / Symbol:							
08/11/21	1.000	14.98	08/06/21	480.00	...	-465.02	Option sale to close-call <sup>25</sup>
ZM 09/03/2021 PUT \$320.00 / CUSIP: / Symbol:							
08/31/21	1.000	2,939.97	08/30/21	420.00	...	2,519.97	Option sale to close-put <sup>25</sup>
ZM 09/10/2021 CALL \$325.00 / CUSIP: / Symbol:							
09/10/21	2.000	0.00	08/31/21	480.00	...	-480.00	Option expiration- long call
ZM 12/03/2021 CALL \$230.00 / CUSIP: / Symbol:							
12/03/21	1.000	0.00	11/29/21	170.00	...	-170.00	Option expiration- long call
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
<i>5 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	17.000	137.36	01/27/21	320.60	...	-183.24	1 of 5 - Sale <sup>25</sup>
	10.000	80.80	01/27/21	191.19	...	-110.39	2 of 5 - Sale <sup>25</sup>
	8.000	64.64	01/27/21	151.28	...	-86.64	3 of 5 - Sale <sup>25</sup>
	5.000	40.40	01/27/21	80.50	...	-40.10	4 of 5 - Sale <sup>25</sup>
	60.000	484.78	02/08/21	364.12	...	120.66	5 of 5 - Sale <sup>25</sup>
03/05/21	100.000	807.98	Various	1,107.69	...	-299.71	Total of 5 transactions

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADAMIS PHARMACEUTICALS CORPORATION COMMON STOCK / CUSIP: 00547W208 / Symbol:							
10/20/21	100.000	106.19	10/18/21	140.00	...	-33.81	Sale <sup>25</sup>
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
09/22/21	1.000	628.12	09/21/21	622.80	...	5.32	Sale <sup>25</sup>
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
10/27/21	2.000	252.79	10/26/21	248.52	...	4.27	Sale <sup>25</sup>
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
01/14/21	1.000	177.52	12/22/20	168.43	...	9.09	Sale <sup>25</sup>
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
01/15/21	1.000	242.94	01/13/21	235.20	...	7.74	Sale <sup>25</sup>
ALZAMEND NEURO, INC. COMMON STOCK / CUSIP: 02262M308 / Symbol:							
07/02/21	4.000	28.02	06/30/21	44.23	...	-16.21	Sale <sup>25</sup>
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	2.000	33.09	01/14/21	32.48	...	0.61	1 of 2 - Sale <sup>25</sup>
	8.000	132.34	01/14/21	129.92	...	2.42	2 of 2 - Sale <sup>25</sup>
01/27/21	10.000	165.43	Various	162.40	...	3.03	Total of 2 transactions
ANNALY CAPITAL MANAGEMENT, INC. / CUSIP: 035710409 / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	10.000	86.73	07/15/21	84.66	...	2.07	1 of 2 - Sale <sup>25</sup>
	10.000	86.73	07/19/21	82.86	...	3.87	2 of 2 - Sale <sup>25</sup>
07/21/21	20.000	173.46	Various	167.52	...	5.94	Total of 2 transactions
08/11/21	15.000	128.17	07/21/21	130.30	2.13 W	0.00	Sale <sup>25</sup>
08/26/21	15.000	129.53	08/16/21	130.37	...	-0.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>431.16</b>		<b>428.19</b>	<b>2.13 W</b>	<b>5.10</b>	
APPLIED DNA SCIENCES, INC. COMMON STOCK / CUSIP: 03815U300 / Symbol:							
12/15/21	100.000	501.13	12/09/21	608.00	...	-106.87	Sale <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARENA PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 040047607 / Symbol:							
12/14/21	2.000	182.74	12/13/21	180.16	...	2.58	Sale <sup>25</sup>
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
06/09/21	5.000	38.35	06/08/21	29.35	...	9.00	Sale <sup>25</sup>
AULT GLOBAL HOLDINGS, INC. / CUSIP: 05150X104 / Symbol:							
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	5.000	23.31	01/29/21	24.60	...	-1.29	1 of 2 - Sale <sup>25</sup>
	3.000	13.99	02/03/21	13.80	...	0.19	2 of 2 - Sale <sup>25</sup>
02/05/21	8.000	37.30	Various	38.40	...	-1.10	Total of 2 transactions
BENESSERE CAPITAL ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 08179B103 / Symbol:							
10/25/21	30.000	470.83	10/22/21	471.00	...	-0.17	Sale <sup>25</sup>
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	6.000	29.52	12/23/20	4.73	...	24.79	1 of 2 - Sale <sup>25</sup>
	12.000	59.04	12/29/20	12.18	...	46.86	2 of 2 - Sale <sup>25</sup>
01/05/21	18.000	88.56	Various	16.91	...	71.65	Total of 2 transactions
3 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	31.000	213.43	06/01/21	193.75	...	19.68	1 of 3 - Sale <sup>25</sup>
	69.000	475.05	06/01/21	431.25	...	43.80	2 of 3 - Sale <sup>25</sup>
	1.000	7.09	06/04/21	7.08	...	0.01	3 of 3 - Sale <sup>25</sup>
06/04/21	101.000	695.57	Various	632.08	...	63.49	Total of 3 transactions
06/07/21	19.000	154.30	06/04/21	134.52	...	19.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>938.43</b>		<b>783.51</b>	...	<b>154.92</b>	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
06/10/21	45.000	676.34	06/07/21	702.41	...	-26.07	Sale <sup>25</sup>
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
02/04/21	4.000	840.18	02/03/21	822.20	...	17.98	Sale <sup>25</sup>
BRIACELL THERAPEUTICS CORP. CO MMON SHARES / CUSIP: 10778Y302 / Symbol:							
06/02/21	30.000	233.78	06/02/21	206.10	...	27.68	Sale <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	200.000	549.97	06/14/21	757.86	...	-207.89	1 of 2 - Sale <sup>25</sup>
	100.000	274.98	06/14/21	379.00	...	-104.02	2 of 2 - Sale <sup>25</sup>
06/18/21	300.000	824.95	Various	1,136.86	...	-311.91	Total of 2 transactions
BUMBLE INC. CLASS A COMMON STO CK / CUSIP: 12047B105 / Symbol:							
02/12/21	9.000	718.81	02/11/21	702.00	...	16.81	Sale <sup>25</sup>
CF ACQUISITION CORP. VI CLASS A COMMON STOCK / CUSIP: 12521J103 / Symbol:							
12/03/21	9.000	93.97	12/02/21	104.85	...	-10.88	Sale <sup>25</sup>
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
2 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	5.000	6.40	10/12/21	7.55	...	-1.15	1 of 2 - Sale <sup>25</sup>
	100.000	127.99	10/13/21	157.50	...	-29.51	2 of 2 - Sale <sup>25</sup>
10/14/21	105.000	134.39	Various	165.05	...	-30.66	Total of 2 transactions
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
06/14/21	100.000	499.00	06/08/21	469.79	...	29.21	Sale <sup>25</sup>
CHEMOCENTRYX, INC. COMMON STOC K / CUSIP: 16383L106 / Symbol:							
07/13/21	50.000	816.10	07/12/21	876.79	...	-60.69	Sale <sup>25</sup>
CHEMOMAB THERAPEUTICS LTD. AME RICAN DEPOSITARY SHARE / CUSIP: 16385C104 / Symbol:							
12/06/21	2.000	14.82	12/02/21	17.98	...	-3.16	Sale <sup>25</sup>
CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	268.000	214.42	06/02/21	297.48	...	-83.06	1 of 2 - Sale <sup>25</sup>
	32.000	25.60	06/02/21	35.52	...	-9.92	2 of 2 - Sale <sup>25</sup>
06/03/21	300.000	240.02	Various	333.00	...	-92.98	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
01/14/21	5.000	121.20	12/17/20	109.39	...	11.81	Sale <sup>25</sup>
06/09/21	50.000	676.99	06/08/21	428.25	...	248.74	Sale <sup>25</sup>
	2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	100.000	1,318.24	06/10/21	1,081.50	...	236.74	1 of 2 - Sale <sup>25</sup>
	100.000	1,318.24	06/21/21	1,314.72	...	3.52	2 of 2 - Sale <sup>25</sup>
06/24/21	200.000	2,636.48	Various	2,396.22	...	240.26	Total of 2 transactions
06/30/21	5.000	68.13	06/25/21	70.85	2.72 W	0.00	Sale <sup>25</sup>
	3 transactions for 07/08/21. Total proceeds and cost reported to the IRS.						
	15.000	165.07	06/29/21	203.02	...	-37.95	1 of 3 - Sale <sup>25</sup>
	5.000	55.02	06/29/21	70.40	...	-15.38	2 of 3 - Sale <sup>25</sup>
	15.000	165.08	07/01/21	184.47	...	-19.39	3 of 3 - Sale <sup>25</sup>
07/08/21	35.000	385.17	Various	457.89	...	-72.72	Total of 3 transactions
07/08/21	95.000	1,045.45	06/25/21	1,346.15	126.61 W	-174.09	Sale <sup>25</sup>
	2 transactions for 07/14/21. Total proceeds and cost reported to the IRS.						
	15.000	149.10	07/01/21	231.94	...	-82.84	1 of 2 - Sale <sup>25</sup>
	25.000	248.50	07/08/21	355.86	...	-107.36	2 of 2 - Sale <sup>25</sup>
07/14/21	40.000	397.60	Various	587.80	...	-190.20	Total of 2 transactions
	2 transactions for 09/24/21. Total proceeds and cost reported to the IRS.						
	10.000	62.25	08/31/21	72.10	...	-9.85	1 of 2 - Sale <sup>25</sup>
	20.000	124.50	09/22/21	118.90	...	5.60	2 of 2 - Sale <sup>25</sup>
09/24/21	30.000	186.75	Various	191.00	...	-4.25	Total of 2 transactions
	<b>Security total:</b>	<b>5,517.77</b>		<b>5,587.55</b>	<b>129.33 W</b>	<b>59.55</b>	
CONTEXT THERAPEUTICS INC. COMM ON STOCK / CUSIP: 21077P108 / Symbol:							
11/04/21	20.000	116.35	10/25/21	168.00	...	-51.65	Sale <sup>25</sup>
COTY INC / CUSIP: 222070203 / Symbol:							
11/29/21	100.000	994.97	11/17/21	1,081.00	...	-86.03	Sale <sup>25</sup>
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
06/21/21	50.000	386.28	06/14/21	401.50	...	-15.22	Sale <sup>25</sup>
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
12/01/21	100.000	107.99	11/19/21	147.67	...	-39.68	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
4 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.020	1.11	09/14/20	0.48	...	0.63	1 of 4 - Sale <sup>25</sup>
	1.980	109.65	09/14/20	47.74	...	61.91	2 of 4 - Sale <sup>25</sup>
	10.000	553.78	09/30/20	221.25	...	332.53	3 of 4 - Sale <sup>25</sup>
	0.020	1.11	12/31/20	0.77	...	0.34	4 of 4 - Sale <sup>25</sup>
01/14/21	12.020	665.65	Various	270.24	...	395.41	Total of 4 transactions
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.000	0.03	12/31/20	0.02	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.000	0.03	01/14/21	0.02	...	0.01	2 of 3 - Sale <sup>25</sup>
	1.000	59.60	01/14/21	55.86	...	3.74	3 of 3 - Sale <sup>25</sup>
02/12/21	1.000	59.66	Various	55.90	...	3.76	Total of 3 transactions
02/25/21	5.000	385.50	02/12/21	304.63	...	80.87	Sale <sup>25</sup>
03/04/21	10.000	768.69	02/12/21	609.25	...	159.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,879.50</b>		<b>1,240.02</b>	...	<b>639.48</b>	
DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
01/14/21	2.000	411.41	12/09/20	369.42	...	41.99	Sale <sup>25</sup>
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
06/04/21	500.000	1,254.93	06/02/21	1,144.75	...	110.18	Sale <sup>25</sup>
12/01/21	400.000	828.18	11/29/21	874.00	...	-45.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,083.11</b>		<b>2,018.75</b>	...	<b>64.36</b>	
8X8, INC. COMMON STOCK / CUSIP: 282914100 / Symbol:							
06/07/21	20.000	456.42	06/04/21	465.27	...	-8.85	Sale <sup>25</sup>
EVAXION BIOTECH A/S AMERICAN D EPOSITARY SHARE / CUSIP: 29970R105 / Symbol:							
06/11/21	20.000	136.50	06/10/21	150.00	...	-13.50	Sale <sup>25</sup>
EXELA TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 30162V409 / Symbol:							
11/02/21	100.000	197.99	10/28/21	206.50	...	-8.51	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
01/27/21	2.000	21.69	01/25/21	22.15	0.46 W	0.00	Sale <sup>25</sup>
		<i>2 transactions for 02/04/21. Total proceeds and cost reported to the IRS.</i>					
	2.000	22.86	02/03/21	23.05	...	-0.19	1 of 2 - Sale <sup>25</sup>
	98.000	1,120.38	02/03/21	1,106.91	...	13.47	2 of 2 - Sale <sup>25</sup>
02/04/21	100.000	1,143.24	Various	1,129.96	...	13.28	Total of 2 transactions
	<b>Security total:</b>	<b>1,164.93</b>		<b>1,152.11</b>	<b>0.46 W</b>	<b>13.28</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
06/24/21	30.000	970.49	06/23/21	993.32	...	-22.83	Sale <sup>25</sup>
10/22/21	10.000	284.70	10/14/21	286.40	1.70 W	0.00	Sale <sup>25</sup>
		<i>2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.</i>					
	10.000	345.54	11/01/21	330.28	...	15.26	1 of 2 - Sale <sup>25</sup>
	10.000	345.54	11/01/21	328.58	...	16.96	2 of 2 - Sale <sup>25</sup>
11/04/21	20.000	691.08	Various	658.86	...	32.22	Total of 2 transactions
	<b>Security total:</b>	<b>1,946.27</b>		<b>1,938.58</b>	<b>1.70 W</b>	<b>9.39</b>	
GALECTO, INC. COMMON STOCK / CUSIP: 36322Q107 / Symbol:							
		<i>2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.</i>					
	47.720	260.07	06/10/21	450.00	...	-189.93	1 of 2 - Sale <sup>25</sup>
	0.000	0.00	06/10/21	0.00	...	0.00	2 of 2 - Zero proceeds / negative sale <sup>25</sup>
06/14/21	47.720	260.07	Various	450.00	...	-189.93	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
03/18/21	23.000	312.80	03/17/21	310.43	...	2.37	Sale <sup>25</sup>
		<i>2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>					
	5.000	64.96	03/23/21	63.73	...	1.23	1 of 2 - Sale <sup>25</sup>
	95.000	1,234.51	03/23/21	1,210.83	...	23.68	2 of 2 - Sale <sup>25</sup>
03/24/21	100.000	1,299.47	Various	1,274.56	...	24.91	Total of 2 transactions
	<b>Security total:</b>	<b>1,612.27</b>		<b>1,584.99</b>	...	<b>27.28</b>	

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
03/18/21	150.000	1,984.70	03/12/21	1,540.26	...	444.44	Sale <sup>25</sup>
03/25/21	120.000	1,328.52	03/23/21	1,508.06	...	-179.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,313.22</b>		<b>3,048.32</b>	...	<b>264.90</b>	
GORES GUGGENHEIM, INC. CLASS A COMMON STOCK / CUSIP: 38286Q107 / Symbol:							
<i>3 transactions for 11/29/21. Total proceeds and cost reported to the IRS.</i>							
	9.000	124.57	11/15/21	131.90	...	-7.33	1 of 3 - Sale <sup>25</sup>
	3.000	41.52	11/15/21	45.00	...	-3.48	2 of 3 - Sale <sup>25</sup>
	1.000	13.84	11/15/21	14.66	...	-0.82	3 of 3 - Sale <sup>25</sup>
11/29/21	13.000	179.93	Various	191.56	...	-11.63	Total of 3 transactions
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
06/11/21	100.000	458.99	06/08/21	436.00	...	22.99	Sale <sup>25</sup>
HELLO GROUP INC. AMERICAN DEPOSITARY SHARES / CUSIP: 423403104 / Symbol:							
10/29/21	20.000	255.80	10/20/21	264.96	...	-9.16	Sale <sup>25</sup>
HOUGHTON MIFFLIN HARCOURT COMPANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
02/12/21	100.000	646.97	02/04/21	561.50	...	85.47	Sale <sup>25</sup>
INSIGNIA SYSTEMS, INC. COMMON STOCK / CUSIP: 45765Y204 / Symbol:							
12/09/21	100.000	2,249.97	12/09/21	3,325.00	...	-1,075.03	Sale <sup>25</sup>
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/11/21	100.000	419.28	06/09/21	426.00	6.72 W	0.00	Sale <sup>25</sup>
07/01/21	100.000	387.99	06/24/21	418.31	...	-30.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>807.27</b>		<b>844.31</b>	<b>6.72 W</b>	<b>-30.32</b>	
JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol:							
06/03/21	20.000	145.20	06/02/21	177.28	...	-32.08	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
01/27/21	10.000	147.01	01/14/21	156.05	9.04 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 02/20/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	160.49 N	02/05/21	166.82	...	-6.33	1 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$10.50
	90.000	1,444.44 N	02/05/21	1,420.00	...	24.44	2 of 2 - Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$94.48
02/20/21	100.000	1,604.93	Various	1,586.82	...	18.11	Total of 2 transactions
	<b>Security total:</b>	<b>1,751.94</b>		<b>1,742.87</b>	<b>9.04 W</b>	<b>18.11</b>	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
01/27/21	15.000	836.34	01/15/21	674.48	...	161.86	Sale <sup>25</sup>
KIRKLAND'S, INC. COMMONSTOCK / CUSIP: 497498105 / Symbol:							
06/09/21	19.000	469.09	06/07/21	469.47	...	-0.38	Sale <sup>25</sup>
LA JOLLA PHARMACEUTICAL COMPAN Y COMMON STOCK (DE) / CUSIP: 503459604 / Symbol:							
06/03/21	45.000	237.65	06/02/21	256.50	...	-18.85	Sale <sup>25</sup>
LONGEVERON INC. CLASS A COMMON STOCK / CUSIP: 54303L104 / Symbol:							
11/23/21	10.000	207.00	11/22/21	375.70	...	-168.70	Sale <sup>25</sup>
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
11/23/21	20.000	105.20	11/23/21	90.69	...	14.51	Sale <sup>25</sup>
11/29/21	30.000	104.27	11/23/21	136.03	...	-31.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>209.47</b>		<b>226.72</b>	...	<b>-17.25</b>	
MERCADOLIBRE, INC. COMMON STOC K / CUSIP: 58733R102 / Symbol:							
06/15/21	1.000	1,447.05	06/14/21	1,428.96	...	18.09	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
06/30/21	1.000	270.46	06/24/21	267.42	...	3.04	Sale <sup>25</sup>
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
06/09/21	20.000	436.00	06/07/21	432.30	...	3.70	Sale <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
06/04/21	1.000	41.98	06/03/21	41.26	...	0.72	Sale <sup>25</sup>
06/07/21	19.000	819.37	06/03/21	783.84	...	35.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>861.35</b>		<b>825.10</b>	...	<b>36.25</b>	
NANO DIMENSION LTD. AMERICAN DEPOSITORY SHARES / CUSIP: 63008G203 / Symbol:							
<i>2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	381.05	06/14/21	386.00	...	-4.95	1 of 2 - Sale <sup>25</sup>
	20.000	152.42	06/17/21	161.15	...	-8.73	2 of 2 - Sale <sup>25</sup>
06/21/21	70.000	533.47	Various	547.15	...	-13.68	Total of 2 transactions
NASDAQ, INC. COMMON STOCK / CUSIP: 631103108 / Symbol:							
03/18/21	1.000	143.12	03/08/21	145.67	...	-2.55	Sale <sup>25</sup>
NCINO, INC. COMMON STOCK / CUSIP: 63947U107 / Symbol:							
02/12/21	10.000	800.08	02/03/21	735.20	...	64.88	Sale <sup>25</sup>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
06/23/21	1.000	515.26	06/22/21	507.93	...	7.33	Sale <sup>25</sup>
NIKE, INC. / CUSIP: 654106103 / Symbol:							
<i>2 transactions for 06/25/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	152.10	06/24/21	139.69	...	12.41	1 of 2 - Sale <sup>25</sup>
	5.000	760.51	06/24/21	698.45	...	62.06	2 of 2 - Sale <sup>25</sup>
06/25/21	6.000	912.61	Various	838.14	...	74.47	Total of 2 transactions
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	17.67	12/04/20	19.18	...	-1.51	1 of 2 - Sale <sup>25</sup>
	5.000	88.37	12/29/20	85.10	...	3.27	2 of 2 - Sale <sup>25</sup>
01/07/21	6.000	106.04	Various	104.28	...	1.76	Total of 2 transactions
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
02/25/21	100.000	119.99	02/08/21	117.50	...	2.49	Sale <sup>25</sup>

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Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
03/04/21	800.000	1,135.89	02/12/21	1,951.12	...	-815.23	Sale <sup>25</sup>
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
01/11/21	1.000	2.50	01/07/21	2.82	...	-0.32	Sale <sup>25</sup>
10/26/21	20.000	248.60	10/25/21	218.00	...	30.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>251.10</b>		<b>220.82</b>	...	<b>30.28</b>	
OCUPHIRE PHARMA, INC. COMMON S TOCK / CUSIP: 67577R102 / Symbol:							
07/01/21	50.000	276.91	06/30/21	273.98	...	2.93	Sale <sup>25</sup>
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
03/05/21	100.000	92.69	02/25/21	171.19	...	-78.50	Sale <sup>25</sup>
03/05/21	100.000	92.69	02/12/21	119.89	27.20 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>185.38</b>		<b>291.08</b>	<b>27.20 W</b>	<b>-78.50</b>	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
02/25/21	200.000	220.36	02/12/21	289.00	...	-68.64	Sale <sup>25</sup>
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
<i>2 transactions for 06/02/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	584.48	06/01/21	688.50	...	-104.02	1 of 2 - Sale <sup>25</sup>
	100.000	584.49	06/01/21	688.50	...	-104.01	2 of 2 - Sale <sup>25</sup>
06/02/21	200.000	1,168.97	Various	1,377.00	...	-208.03	Total of 2 transactions
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
<i>3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>							
	22.000	59.62	01/15/21	40.46	...	19.16	1 of 3 - Sale <sup>25</sup>
	1.000	2.71	02/03/21	2.32	...	0.39	2 of 3 - Sale <sup>25</sup>
	77.000	208.67	02/05/21	186.96	...	21.71	3 of 3 - Sale <sup>25</sup>
03/05/21	100.000	271.00	Various	229.74	...	41.26	Total of 3 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
<i>4 transactions for 05/19/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,031.49	05/06/21	1,017.50	...	13.99	1 of 4 - Sale <sup>25</sup>
	10.000	206.30	05/07/21	197.00	...	9.30	2 of 4 - Sale <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	85.000	1,753.54	05/11/21	1,690.51	...	63.03	3 of 4 - Sale <sup>25</sup>
	10.000	206.30	05/12/21	188.10	...	18.20	4 of 4 - Sale <sup>25</sup>
05/19/21	155.000	3,197.63	Various	3,093.11	...	104.52	Total of 4 transactions
10/05/21	20.000	469.10	10/04/21	465.40	...	3.70	Sale <sup>25</sup>
10/22/21	5.000	122.68	10/05/21	126.45	...	-3.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,789.41</b>		<b>3,684.96</b>	...	<b>104.45</b>	
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
2 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	30.000	369.51	06/04/21	388.28	...	-18.77	1 of 2 - Sale <sup>25</sup>
	20.000	240.24	06/04/21	258.85	...	-18.61	2 of 2 - Sale <sup>25</sup>
06/07/21	50.000	609.75	Various	647.13	...	-37.38	Total of 2 transactions
PETCO HEALTH AND WELLNESS COMP ANY, INC. CLASS A COMMON STOCK / CUSIP: 71601V105 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	33.000	889.68	01/14/21	903.21	...	-13.53	1 of 2 - Sale <sup>25</sup>
	7.000	189.25	01/14/21	191.59	...	-2.34	2 of 2 - Sale <sup>25</sup>
01/27/21	40.000	1,078.93	Various	1,094.80	...	-15.87	Total of 2 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
10/22/21	16.000	126.08	10/22/21	123.20	...	2.88	Sale <sup>25</sup>
10/22/21	28.000	220.64	10/22/21	313.60	39.84 W	-53.12	Sale <sup>25</sup>
10/25/21	12.000	100.68	10/22/21	132.12	...	-31.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>447.40</b>		<b>568.92</b>	<b>39.84 W</b>	<b>-81.68</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
01/14/21	4.000	257.16	12/22/20	146.10	...	111.06	Sale <sup>25</sup>
02/05/21	1.000	67.32	02/04/21	69.98	...	-2.66	Sale <sup>25</sup>
02/12/21	10.000	634.23	02/04/21	699.80	...	-65.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>958.71</b>		<b>915.88</b>	...	<b>42.83</b>	
POSHMARK, INC. CLASS A COMMON STOCK / CUSIP: 73739W104 / Symbol:							
02/04/21	5.000	335.00	01/14/21	490.00	...	-155.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PROSHARES BITCOIN STRATEGY ETF / CUSIP: 74347G440 / Symbol:							
10/21/21	10.000	413.90	10/20/21	430.07	...	-16.17	Sale <sup>25</sup>
PROSHARES ULTRASHORT BLOOMBERG CRUDE OIL / CUSIP: 74347W668 / Symbol:							
01/15/21	10.000	99.00	01/14/21	99.60	...	-0.60	Sale <sup>25</sup>
QUMU CORPORATION COMMON STOCK / CUSIP: 749063103 / Symbol:							
07/02/21	200.000	553.97	06/28/21	754.00	...	-200.03	Sale <sup>25</sup>
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
02/24/21	20.000	427.50	02/11/21	528.40	...	-100.90	Sale <sup>25</sup>
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
11/10/21	5.000	529.99	11/10/21	535.10	...	-5.11	Sale <sup>25</sup>
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
01/27/21	121.000	168.18	01/21/21	185.13	16.95 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>						
	121.000	197.22	02/23/21	208.12	...	-10.90	1 of 2 - Sale <sup>25</sup>
	49.000	79.86	02/23/21	77.41	...	2.45	2 of 2 - Sale <sup>25</sup>
03/04/21	170.000	277.08	Various	285.53	...	-8.45	Total of 2 transactions
	<b>Security total:</b>	<b>445.26</b>		<b>470.66</b>	<b>16.95 W</b>	<b>-8.45</b>	
ROOT, INC. CLASS A COMMON STOC K / CUSIP: 77664L108 / Symbol:							
09/13/21	100.000	624.21	08/31/21	622.82	...	1.39	Sale <sup>25</sup>
SPDR S&P OIL & GAS EXPLORATION & PRODUCTION ETF / CUSIP: 78468R556 / Symbol:							
01/15/21	2.000	140.37	01/14/21	142.32	...	-1.95	Sale <sup>25</sup>
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
06/11/21	100.000	401.54	06/10/21	329.80	...	71.74	Sale <sup>25</sup>
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
10/29/21	20.000	103.40	10/22/21	109.97	...	-6.57	Sale <sup>25</sup>
SNAP INC. / CUSIP: 83304A106 / Symbol:							
10/22/21	1.000	58.06	10/21/21	56.00	...	2.06	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
09/28/21	85.000	543.23	09/27/21	624.75	...	-81.52	Sale <sup>25</sup>
STEALTH BIOTHERAPEUTICS CORP. ADS / CUSIP: 85789A105 / Symbol:							
06/03/21	150.000	247.48	06/01/21	297.00	...	-49.52	Sale <sup>25</sup>
SUNDIAL GROWERS INC. COMMON SHARES / CUSIP: 86730L109 / Symbol:							
02/05/21	1.000	1.12	01/07/21	0.68	...	0.44	Sale <sup>25</sup>
	<i>7 transactions for 03/05/21. Total proceeds and cost reported to the IRS.</i>						
	149.000	164.05	01/07/21	100.71	...	63.34	1 of 7 - Sale <sup>25</sup>
	7.000	7.71	01/11/21	4.92	...	2.79	2 of 7 - Sale <sup>25</sup>
	14.000	15.41	01/14/21	9.56	...	5.85	3 of 7 - Sale <sup>25</sup>
	13.000	14.31	01/15/21	9.28	...	5.03	4 of 7 - Sale <sup>25</sup>
	3.000	3.30	01/25/21	1.80	...	1.50	5 of 7 - Sale <sup>25</sup>
	9.000	9.91	01/27/21	5.56	...	4.35	6 of 7 - Sale <sup>25</sup>
	45.000	49.54	01/27/21	25.58	...	23.96	7 of 7 - Sale <sup>25</sup>
03/05/21	240.000	264.23	Various	157.41	...	106.82	Total of 7 transactions
	<i>5 transactions for 05/17/21. Total proceeds and cost reported to the IRS.</i>						
	1,000.000	730.90	05/12/21	740.00	...	-9.10	1 of 5 - Sale <sup>25</sup>
	200.000	146.19	05/12/21	148.00	...	-1.81	2 of 5 - Sale <sup>25</sup>
	100.000	73.09	05/12/21	74.00	...	-0.91	3 of 5 - Sale <sup>25</sup>
	100.000	73.09	05/12/21	74.00	...	-0.91	4 of 5 - Sale <sup>25</sup>
	100.000	73.09	05/12/21	74.00	...	-0.91	5 of 5 - Sale <sup>25</sup>
05/17/21	1,500.000	1,096.36	Various	1,110.00	...	-13.64	Total of 5 transactions
	<b>Security total:</b>	<b>1,361.71</b>		<b>1,268.09</b>	...	<b>93.62</b>	
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
	<i>2 transactions for 06/10/21. Total proceeds and cost reported to the IRS.</i>						
	20.000	873.99	06/07/21	854.78	...	19.21	1 of 2 - Sale <sup>25</sup>
	20.000	874.03	06/07/21	854.78	...	19.25	2 of 2 - Sale <sup>25</sup>
06/10/21	40.000	1,748.02	Various	1,709.56	...	38.46	Total of 2 transactions
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
01/20/21	2.000	497.44	01/14/21	455.42	...	42.02	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/12/21	1.000	799.52	01/27/21	883.82	84.30 W	0.00	Sale <sup>25</sup>
03/10/21	1.000	663.13	03/09/21	710.92	...	-47.79	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,462.65</b>		<b>1,594.74</b>	<b>84.30 W</b>	<b>-47.79</b>	
TETRA TECHNOLOGIES, INC. / CUSIP: 88162F105 / Symbol:							
4 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	46.000	147.88	05/04/21	133.29	...	14.59	1 of 4 - Sale <sup>25</sup>
	34.000	109.31	05/06/21	111.69	...	-2.38	2 of 4 - Sale <sup>25</sup>
	20.000	64.30	05/06/21	65.70	...	-1.40	3 of 4 - Sale <sup>25</sup>
	35.000	112.52	05/11/21	108.81	...	3.71	4 of 4 - Sale <sup>25</sup>
05/11/21	135.000	434.01	Various	419.49	...	14.52	Total of 4 transactions
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	0.500	268.82	05/11/21	247.36	...	21.46	1 of 3 - Sale <sup>25</sup>
	0.500	268.86	05/11/21	247.37	...	21.49	2 of 3 - Sale <sup>25</sup>
	3.500	1,881.78	05/20/21	1,875.26	...	6.52	3 of 3 - Sale <sup>25</sup>
05/21/21	4.500	2,419.46	Various	2,369.99	...	49.47	Total of 3 transactions
06/07/21	4.000	2,372.35	06/04/21	2,327.08	...	45.27	Sale <sup>25</sup>
06/18/21	10.000	617.45	06/15/21	595.06	...	22.39	Sale <sup>25</sup>
06/21/21	10.000	615.18	06/15/21	595.06	...	20.12	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,024.44</b>		<b>5,887.19</b>	...	<b>137.25</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
06/17/21	40.000	202.80	06/15/21	223.51	...	-20.71	Sale <sup>25</sup>
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
01/27/21	1.000	35.80	01/14/21	35.65	...	0.15	Sale <sup>25</sup>
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
05/12/21	16.000	1,607.82	05/12/21	1,711.41	...	-103.59	Sale <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	13.000	172.45	06/14/21	284.26	...	-111.81	1 of 2 - Sale <sup>25</sup>
	7.000	92.61	06/14/21	153.06	...	-60.45	2 of 2 - Sale <sup>25</sup>
06/18/21	20.000	265.06	Various	437.32	...	-172.26	Total of 2 transactions
VERTEX ENERGY, INC COMMON STOCK / CUSIP: 92534K107 / Symbol:							
06/24/21	12.000	103.20	06/23/21	102.60	...	0.60	Sale <sup>25</sup>
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol:							
06/07/21	100.000	452.99	06/04/21	394.00	...	58.99	Sale <sup>25</sup>
07/12/21	3.000	12.98	07/09/21	12.00	...	0.98	Sale <sup>25</sup>
09/27/21	90.000	636.33	09/24/21	549.86	...	86.47	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,102.30</b>		<b>955.86</b>	...	<b>146.44</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
07/09/21	30.000	1,521.89	07/02/21	1,361.70	...	160.19	Sale <sup>25</sup>
VIVOS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 92859E108 / Symbol:							
4 transactions for 07/12/21. Total proceeds and cost reported to the IRS.							
	100.000	449.99	07/07/21	508.90	...	-58.91	1 of 4 - Sale <sup>25</sup>
	50.000	224.99	07/07/21	254.45	...	-29.46	2 of 4 - Sale <sup>25</sup>
	45.000	202.49	07/07/21	229.01	...	-26.52	3 of 4 - Sale <sup>25</sup>
	6.000	27.00	07/07/21	29.82	...	-2.82	4 of 4 - Sale <sup>25</sup>
07/12/21	201.000	904.47	Various	1,022.18	...	-117.71	Total of 4 transactions
VOYAGER THERAPEUTICS, INC. COMMON STOCK / CUSIP: 92915B106 / Symbol:							
10/11/21	100.000	501.98	10/08/21	480.95	...	21.03	Sale <sup>25</sup>
WAITR HOLDINGS INC. COMMON STOCK / CUSIP: 930752100 / Symbol:							
10/20/21	100.000	143.00	10/15/21	149.00	...	-6.00	Sale <sup>25</sup>
WINDTREE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 97382D303 / Symbol:							
06/07/21	300.000	780.61	06/04/21	936.00	...	-155.39	Sale <sup>25</sup>

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/04/21	100.000	1,304.21	06/02/21	1,108.00	...	196.21	Sale <sup>25</sup>
06/08/21	50.000	749.56	06/04/21	663.47	...	86.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,053.77</b>		<b>1,771.47</b>	...	<b>282.30</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
01/14/21	10.000	8.94	01/11/21	9.38	0.44 W	0.00	Sale <sup>25</sup>
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	10.000	25.35	02/05/21	18.73	...	6.62	1 of 2 - Sale <sup>25</sup>
	90.000	228.14	02/05/21	164.60	...	63.54	2 of 2 - Sale <sup>25</sup>
02/12/21	100.000	253.49	Various	183.33	...	70.16	Total of 2 transactions
	<b>Security total:</b>	<b>262.43</b>		<b>192.71</b>	<b>0.44 W</b>	<b>70.16</b>	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
06/15/21	100.000	369.22	06/09/21	369.00	...	0.22	Sale <sup>25</sup>
LIANLUO SMART LIMITED CLASS A COMMON STOCK / CUSIP: G5478K118 / Symbol:							
02/12/21	70.000	769.97	01/28/21	726.59	...	43.38	Sale <sup>25</sup>
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
02/25/21	100.000	199.00	02/12/21	156.00	...	43.00	Sale <sup>25</sup>
NABORS INDUSTRIES LTD. / CUSIP: G6359F137 / Symbol:							
01/14/21	2.000	135.17	12/09/20	136.86	...	-1.69	Sale <sup>25</sup>
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
01/20/21	1.000	197.03	01/15/21	201.80	...	-4.77	Sale <sup>25</sup>
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	5.000	8.45	01/27/21	6.78	...	1.67	1 of 2 - Sale <sup>25</sup>
	75.000	126.75	01/27/21	62.49	...	64.26	2 of 2 - Sale <sup>25</sup>
01/28/21	80.000	135.20	Various	69.27	...	65.93	Total of 2 transactions
06/08/21	500.000	425.14	06/02/21	406.95	...	18.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>560.34</b>		<b>476.22</b>	...	<b>84.12</b>	

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Account 816778575

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EQONEX LIMITED ORDINARY SHARES / CUSIP: Y2074E109 / Symbol:							
11/01/21	100.000	607.48	10/29/21	599.00	...	8.48	Sale <sup>25</sup>
<b>Totals :</b>		<b>294,956.59</b>		<b>328,829.06</b>	<b>2,188.34 W</b>	<b>-31,684.13</b>	

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Account 816778575

**Detail for Dividends and Distributions**

2021

02/11/2022

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.*

*Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
NASDAQ, INC. COMMON STOCK	631103108		03/26/21	0.49	Qualified dividend	
				<b>0.49</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 816778575

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/08/21	-0.02	Margin interest paid	
		02/08/21	-5.00	Margin interest paid	
		03/08/21	-0.49	Margin interest paid	
		03/08/21	-5.00	Margin interest paid	
		04/07/21	-0.40	Margin interest paid	
		04/07/21	-5.00	Margin interest paid	
		05/07/21	-5.00	Margin interest paid	
		06/07/21	-0.55	Margin interest paid	
		06/07/21	-5.00	Margin interest paid	
		07/06/21	-1.00	Margin interest paid	
		07/06/21	-5.00	Margin interest paid	
		08/05/21	-0.32	Margin interest paid	
		08/05/21	-5.00	Margin interest paid	
			-37.78	Total Margin interest paid	
			<b>-37.78</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 816778575

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
MRVL 01/21/2022 CALL \$65.00	MRVL 01/21/22 C 65.000	04/21/21	1.00	0.00	Merger	
		04/21/21	-1.00	0.00	Merger	
TTD 06/25/2021 CALL \$75.00	TTD 06/25/21 C 75.000	06/17/21	40.00	0.00	Merger	
TTD 06/25/2021 CALL \$750.00	TTD 06/25/21 C 750.000	06/17/21	-4.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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### Instructions for Recipient

#### Common Instructions for Recipient

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

#### Keep tax documents for your records.

#### 1099-DIV Instructions for Recipient

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

**Line 1b.** Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2c.** Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

**Line 2d.** Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

**Line 2e.** Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

**Line 2f.** Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

**Line 8.** This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting Lines.

**Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

#### 1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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