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Robinhood Securities LLC 500 Colonial Center Parkway		nformation nt 671917300	Statement Date: Document ID:	02/11/2022 1UBU 136 K382	2021
Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700	Venkatesh Jogiparthi 23477 Haggerty Road Mi, MI 48375				·
PAYER'S TIN: 38-4019216	RECIPIENT'S TIN: XXX-	-XX-3835			
[] FATCA filing requirement (see instructions)	Summary	Information			
DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV	• OMB No. 1545-0110	MISCELLANEOUS	INFORMATION	2021 1099-MISC*	OMB No. 1545-011
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	0.00	2- Royalties			0.00
1b- Qualified dividends	0.00	3- Other income			0.00
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00	4- Federal income tax	withheld		0.00
2b- Unrecaptured Section 1250 gain	0.00	8- Substitute payments	in lieu of dividends o	r interest	0.00
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00	SECTION 1256 CON	TRACTS	2021 1099-B*	OMB No. 1545-071
2e- Section 897 ordinary dividends	0.00	8- Profit or (loss) realize	ed in 2021 on closed	contracts	0.00
2f- Section 897 capital gain 3- Nondividend distributions	0.00 0.00	9- Unrealized profit or (0.00
4- Federal income tax withheld	0.00 0.00	10- Unrealized profit or (0.00
5- Section 199A dividends	0.00	11- Aggregate profit or (0.00
6- Investment expenses	0.00		· · · · · ·		
8- Foreign country or US possession: 7- Foreign tax paid:	0.00			ons appear summarized be	elow and are
9- Cash liquidation distributions	0.00	detailed in subseque			mus Comilas
10- Noncash liquidation distributions	0.00	* This is important tax information			
11- Exempt-interest dividends (includes line 12)	0.00	If you are required to file a return			
12- Specified private activity bond interest dividends (AMT)	0.00	you if this income is taxable and	the ins determines	that it has not been repo	nieu.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.
--

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	120,400.89	136,663.68	0.00	2,257.24	-14,005.55
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	120,400.89	136,663.68	0.00	2,257.24	-14,005.55
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	120,400.89	136,663.68	0.00	2,257.24	-14,005.55
Withholding		Amount				
Federal income	tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Summary Information

OMB No. 1545-0112

(continued)

INTEREST INCOME 2021 1099-INT

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3) 2- Early withdrawal penalty	0.00 0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	

14- Tax-exempt and tax credit bond CUSIP number

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid Tax-exempt accrued interest paid	0.00 0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	85.91
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1c- Date		1d- Proceeds &			1f- Accrued mkt disc (D) &	Gain or loss(-) &	
sold or disposed	Quantity	6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
ADN 06/18/2021 CALL \$1	7.50 / CUSIP: / Symbol:						
06/18/21	1.000	0.00	02/05/21	320.00		-320.00	Option expiration- long call
AGC 07/16/2021 CALL \$1	5.00 / CUSIP: / Symbol:						
06/21/21	1.000	4.98	03/11/21	260.00		-255.02	Option sale to close-call ²⁵
APXT 04/16/2021 CALL \$*	17.50 / CUSIP: / Symbol:						
02/01/21	1.000	306.98	12/16/20	320.00		-13.02	Option sale to close-call ²⁵
3BIG 05/21/2021 CALL \$5	5.00 / CUSIP: / Symbol:						
05/21/21	2.000	0.00	04/07/21	30.00		-30.00	Option expiration- long call
BFLY 07/16/2021 CALL \$1	17.50 / CUSIP: / Symbol:						
02/16/21	1.000	839.97	12/23/20	570.00		269.97	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BTWN 07/16/2021 CALL	. \$12.50 / CUSIP: / Symbo	l:					
02/05/21	1.000	471.97	01/06/21	460.00		11.97	Option sale to close-call ²⁵
BYND 05/20/2022 CALL	\$140.00 / CUSIP: / Symbo	ol:					
11/11/21	2.000	279.98	11/10/21	740.00		-460.02	Option sale to close-call ²⁵
CCIV 03/19/2021 PUT \$	12.50 / CUSIP: / Symbol:						
02/12/21	3.000	89.98	02/05/21	135.00		-45.02	Option sale to close-put ²⁵
CCIV 05/21/2021 CALL	\$20.00 / CUSIP: / Symbol:						
02/24/21	1.000	1,069.96	01/29/21	1,000.00		69.96	Option sale to close-call ²⁵
CCIV 05/21/2021 CALL	\$30.00 / CUSIP: / Symbol:						
02/23/21	1.000	949.96	01/14/21	500.00		449.96	Option sale to close-call ²⁵
CCIV 08/20/2021 CALL	\$17.50 / CUSIP: / Symbol:						
06/07/21	1.000	849.98	01/13/21	660.00		189.98	Option sale to close-call ²⁵
CCX 03/19/2021 CALL \$	12.50 / CUSIP: / Symbol:						
02/16/21	2.000	49.98	02/05/21	52.00		-2.02	Option sale to close-call ²⁵
CCX 05/21/2021 CALL \$	12.50 / CUSIP: / Symbol:						
	2 transactions for	02/08/21. Total proceed	is and cost repor	ted to the IRS.			
	1.000	84.98	02/05/21	91.00		-6.02	1 of 2 - Option sale to close-call ²⁵
	1.000	89.98	02/05/21	91.00		-1.02	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	174.96	Various	182.00		-7.04	Total of 2 transactions
05/21/21	1.000	0.00	02/05/21	91.00		-91.00	Option expiration- long call
	Security total:	174.96		273.00		-98.04	
CLA 05/21/2021 CALL \$	12.50 / CUSIP: / Symbol:						
02/08/21	2.000	719.97	02/01/21	490.00		229.97	Option sale to close-call ²⁵
CLOV 02/19/2021 CALL	\$15.00 / CUSIP: / Symbol	l:					
02/03/21	1.000	94.98	12/24/20	315.00		-220.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOV 05/21/2021 CALL \$	10.00 / CUSIP: / Symbol:						
	2 transactions for 0	01/14/21. Total proceed	ls and cost repor	ted to the IRS.			
01/14/21	1.000 1.000 2.000	559.97 569.97 1,129.94	12/10/20 12/10/20 Various	430.00 430.00 860.00	··· ···	129.97 139.97 269.94	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
CLOV 12/17/2021 CALL \$		*	Vanous	000.00		200.01	
02/05/21	1.000	559.97	12/16/20	530.00		29.97	Option sale to close-call ²⁵
CRTX 01/21/2022 CALL \$			12,10,20	000.00		20.01	
11/10/21	1.000	209.98	11/09/21	210.00		-0.02	Option sale to close-call ²⁵
DIS 11/12/2021 CALL \$19	7.50 / CUSIP: / Symbol:						
11/12/21	1.000	0.00	11/10/21	46.00		-46.00	Option expiration-long call
FSR 08/20/2021 CALL \$20	0.00 / CUSIP: / Symbol:						
02/12/21	1.000	504.97	02/08/21	270.00		234.97	Option sale to close-call ²⁵
GHIV 02/19/2021 CALL \$1	2.50 / CUSIP: / Symbol:						
01/14/21	2.000	439.98	01/11/21	440.00		-0.02	Option sale to close-call ²⁵
GIK 04/16/2021 CALL \$12	.50 / CUSIP: / Symbol:						
04/16/21	1.000	0.00	12/23/20	470.00		-470.00	Option expiration- long call
HL 01/21/2022 CALL \$12.0	00 / CUSIP: / Symbol:						
02/05/21	1.000	89.98	02/04/21	84.00		5.98	Option sale to close-call ²⁵
IPOD 07/16/2021 CALL \$1	7.50 / CUSIP: / Symbol:						
07/16/21	2.000	0.00	01/29/21	840.00		-840.00	Option expiration- long call
IPOE 07/16/2021 CALL \$1	0.00 / CUSIP: / Symbol:						
01/07/21	1.000	949.96	12/23/20	430.00		519.96	Option sale to close-call ²⁵
IPOF 07/16/2021 CALL \$1	5.00 / CUSIP: / Symbol:						
	7 transactions for 0	07/16/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000 1.000 1.000	0.00 0.00 0.00	12/23/20 12/29/20 01/27/21	350.00 250.00 300.00	 	-350.00 -250.00 -300.00	1 of 7 - Option expiration- long call 2 of 7 - Option expiration- long call 3 of 7 - Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IPOF 07/16/2021 CALL \$	\$15.00 / CUSIP: / Symbol:	(cont'd)					
	1.000 3.000 6.000 3.000	0.00 0.00 0.00 0.00	03/12/21 03/25/21 04/14/21 04/14/21	128.00 171.00 192.00 87.00	 	-128.00 -171.00 -192.00 -87.00	4 of 7 - Option expiration- long call 5 of 7 - Option expiration- long call 6 of 7 - Option expiration- long call 7 of 7 - Option expiration- long call
07/16/21	16.000	0.00	Various	1,478.00		-1,478.00	Total of 7 transactions
LCID 08/20/2021 CALL \$	\$25.00 / CUSIP: / Symbol:			,		,	
07/28/21	1.000	222.98	01/14/21	630.00		-407.02	Option sale to close-call ²⁵
LGVW 04/16/2021 CALL	. \$22.50 / CUSIP: / Symbol	l:					
02/05/21	1.000	399.98	01/14/21	430.00		-30.02	Option sale to close-call ²⁵
ME 07/16/2021 CALL \$1	2.50 / CUSIP: / Symbol:						
07/16/21	1.000	0.00	02/01/21	340.00		-340.00	Option expiration- long call
NGA 07/16/2021 CALL \$	20.00 / CUSIP: / Symbol:						
01/29/21	1.000	949.96	12/24/20	710.00		239.96	Option sale to close-call ²⁵
PINS 01/20/2023 CALL S	\$95.00 / CUSIP: / Symbol:						
08/04/21	1.000	599.98	07/30/21	560.00		39.98	Option sale to close-call ²⁵
PSFE 07/16/2021 CALL	\$12.50 / CUSIP: / Symbol:						
07/16/21	1.000	0.00	01/07/21	580.00		-580.00	Option expiration- long call
PSTH 01/20/2023 CALL	\$25.00 / CUSIP: / Symbol:	:					
07/28/21	2.000	419.98	04/12/21	1,500.00		-1,080.02	Option sale to close-call ²⁵
PSTH 01/21/2022 CALL	\$30.00 / CUSIP: / Symbol:	:					
02/25/21 07/28/21	1.000 1.000 2 transactions for (869.98 19.98 07/30/21. Total proceed	02/23/21 02/23/21 Is and cost repor	800.00 800.00 ted to the IRS.		69.98 -780.02	Option sale to close-call ²⁵ Option sale to close-call ²⁵
07/30/21	1.000 1.000 2.000	19.98 19.98 39.96	02/23/21 02/23/21 Various	800.00 800.00 1,600.00	 	-780.02 -780.02 -1,560.04	1 of 2 - Option sale to close-call ²⁵ 2 of 2 - Option sale to close-call ²⁵ Total of 2 transactions
	Security total:	929.92		3,200.00		-2,270.08	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715

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02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PSTH 04/16/2021 CALL	. \$35.00 / CUSIP: / Symbol:						
02/16/21	1.000	499.97	02/08/21	490.00		9.97	Option sale to close-call ²⁵
PSTH 05/21/2021 CALL	. \$35.00 / CUSIP: / Symbol:						
02/16/21	1.000	589.97	02/08/21	530.00		59.97	Option sale to close-call ²⁵
QELL 07/16/2021 CALL	\$15.00 / CUSIP: / Symbol:						
07/16/21	2.000	0.00	02/12/21	600.00		-600.00	Option expiration- long call
RMO 08/20/2021 CALL	\$35.00 / CUSIP: / Symbol:						
08/20/21	1.000	0.00	12/24/20	1,140.00		-1,140.00	Option expiration- long call
SAVA 01/20/2023 CALL	. \$55.00 / CUSIP: / Symbol:						
11/10/21	1.000	4,299.96	08/27/21	3,540.00		759.96	Option sale to close-call ²⁵
SAVA 03/19/2021 PUT	\$25.00 / CUSIP: / Symbol:						
02/03/21	1.000	269.98	02/02/21	400.00		-130.02	Option sale to close-put ²⁵
SOAC 08/20/2021 CALL	\$12.50 / CUSIP: / Symbol:						
08/20/21	1.000	0.00	02/03/21	280.00		-280.00	Option expiration- long call
SPCE 01/21/2022 CALL	. \$45.00 / CUSIP: / Symbol:						
07/08/21	1.000	1,849.98	07/07/21	1,390.00		459.98	Option sale to close-call ²⁵
THCB 06/18/2021 CALL	. \$17.50 / CUSIP: / Symbol:						
	3 transactions for 0	6/18/21. Total proceed	ds and cost repor	ted to the IRS.			
	1.000	0.00	02/25/21	440.00		-440.00	1 of 3 - Option expiration- long call
	1.000	0.00	02/25/21	400.00		-400.00	2 of 3 - Option expiration- long call
	1.000	0.00	02/26/21	430.00		-430.00	3 of 3 - Option expiration- long call
06/18/21	3.000	0.00	Various	1,270.00		-1,270.00	Total of 3 transactions
JWMC 05/21/2021 CAL	L \$10.00 / CUSIP: / Symbol	:					
05/21/21	1.000	0.00	01/07/21	366.00		-366.00	Option expiration- long call
WKHS 01/21/2022 CAL	L \$9.00 / CUSIP: / Symbol:						
11/08/21	2.000	163.98	09/10/21	412.00		-248.02	Option sale to close-call ²⁵
							•

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Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of pro	perty/CUSIP/Symbol						
1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WKHS 03/12/2021 CA	LL \$20.00 / CUSIP: / Symb	ool:					
03/08/21	1.000	78.98	02/23/21	192.00		-113.02	Option sale to close-call ²⁵
ZNTE 07/16/2021 CAL	L \$15.00 / CUSIP: / Symbo	ol:					
07/16/21	1.000	0.00	02/05/21	330.00		-330.00	Option expiration- long call
	ICALS, INC COM MON ST						- p · · · · · · · · · · · · · · · · · ·
	,	r 06/28/21. Total proceed	5	ted to the IRS			
			•		0.40.144	0.00	1 - 6 0 0 - 1- 25
	0.718	2.07	06/21/21	2.50	0.43 W	0.00	1 of 2 - Sale ²⁵
	1.000	2.87	06/21/21	3.48	0.61 W	0.00	2 of 2 - Sale ²⁵
06/28/21	1.718	4.94	Various	5.98	1.04 W	0.00	Total of 2 transactions
		r 06/30/21. Total proceed					
	698.000	1,786.78	06/21/21	2,373.20		-586.42	1 of 6 - Sale ²⁵
	198.000	506.85	06/21/21	689.04		-182.19	2 of 6 - Sale ²⁵
	1.000	2.56	06/21/21	4.01		-1.45	3 of 6 - Sale ²⁵
	0.718	1.84	06/21/21	2.87		-1.03	4 of 6 - Sale ²⁵
	0.282	0.72	06/21/21	1.22		-0.50	5 of 6 - Sale ²⁵
	24.000	61.44	06/22/21	78.96		-17.52	6 of 6 - Sale ²⁵
06/30/21	922.000	2,360.19	Various	3,149.30		-789.11	Total of 6 transactions
06/30/21	0.282	0.72	06/21/21	0.98	0.26 W	0.00	Sale ²⁵
	Security total:	2,365.85		3,156.26	1.30 W	-789.11	
ALZAMEND NEURO, I	INC. COMMON ST OCK / C	CUSIP: 02262M308 / Syn	nbol:				
	4 transactions for	r 06/21/21. Total proceed	ls and cost repor	ted to the IRS.			
	58.000	454.13	06/18/21	523.74		-69.61	1 of 4 - Sale ²⁵
	32.000	250.55	06/18/21	288.96		-38.41	2 of 4 - Sale ²⁵
	10.000	78.30	06/18/21	90.20		-11.90	3 of 4 - Sale ²⁵
	5.000	39.15	06/18/21	45.15		-6.00	4 of 4 - Sale ²⁵
06/21/21	105.000	822.13	Various	948.05		-125.92	Total of 4 transactions
		r 11/09/21. Total proceed					
	2.000	5.36	11/04/21	4.54		0.82	1 of 3 - Sale ²⁵
	66.000	176.96	11/04/21	150.48		26.48	2 of 3 - Sale ²⁵
	29.000	77.76	11/08/21	78.01		-0.25	3 of 3 - Sale ²⁵
11/09/21	97.000	260.08	Various	233.03		27.05	Total of 3 transactions
	Security total:	1,082.21		1,181.08		-98.87	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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	INC / CUSIP: 13200M508 / S	() ()	aoquirou				
,		r 08/02/21. Total proceed	s and cost repor	ted to the IRS.			
	350.000	157.35	07/30/21	157.75		-0.40	1 of 7 - Sale ²⁵
	335.000	150.61	07/30/21	150.98		-0.37	2 of 7 - Sale ²⁵
	450.000	202.45	07/30/21	202.81		-0.36	3 of 7 - Sale ²⁵
	100.000	45.00	07/30/21	45.28		-0.28	4 of 7 - Sale ²⁵
	200.000	89.98	07/30/21	90.14		-0.16	5 of 7 - Sale ²⁵
	8.000	3.60	07/30/21	3.61		-0.01	6 of 7 - Sale ²⁵
	7.000	3.15	07/30/21	3.15		0.00	7 of 7 - Sale ²⁵
08/02/21	1,450.000	652.14	Various	653.72		-1.58	Total of 7 transactions
	3 transactions for	r 08/02/21. Total proceed	ls and cost repor	ted to the IRS.			
	100.000	45.00	07/30/21	45.07	0.07 W	0.00	1 of 3 - Sale ²⁵
	100.000	45.00	07/30/21	45.14	0.14 W	0.00	2 of 3 - Sale ²⁵
	100.000	45.00	07/30/21	45.21	0.21 W	0.00	3 of 3 - Sale ²⁵
08/02/21	300.000	135.00	Various	135.42	0.42 W	0.00	Total of 3 transactions
	Security total:	787.14		789.14	0.42 W	-1.58	
CBAK ENERGY TEC	CHNOLOGY, INC. C OMMON	STOCK / CUSIP: 14986	C102 / Symbol:				
02/23/21	33.000	200.31	02/19/21	287.10		-86.79	Sale ²⁵
CHURCHILL CAPIT	AL CORP IV / CUSIP: 171439	102 / Symbol:					
	3 transactions for	r 02/18/21. Total proceed	ls and cost repor	ted to the IRS.			
	20.000	1,140.37	02/16/21	1,031.12		109.25	1 of 3 - Sale ²⁵
	20.000	1,140.37	02/16/21	952.87		187.50	2 of 3 - Sale ²⁵
	35.000	1,995.65	02/16/21	1,713.69		281.96	3 of 3 - Sale ²⁵
02/18/21	75.000	4,276.39	Various	3,697.68		578.71	Total of 3 transactions
CLEANSPARK, INC	. COMMON STOCK / CUSIP:	18452B209 / Symbol:					
03/22/21	60.000	1,415.68	03/17/21	1,372.80		42.88	Sale ²⁵
03/30/21	180.000	3,725.96	03/17/21	4,118.40		-392.44	Sale ²⁵
	Security total:	5,141.64		5,491.20		-349.56	
CLOVER HEALTH II	NVESTMENTS, COR P. CLAS		/ CUSIP: 18914F	-			
03/10/21	,		03/05/21	3,778.01		811 15	Sale ²⁵
03/10/21	550.000	4,592.46	03/05/21	3,778.01		814.45	Sale

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Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715 (continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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CONTEXTLOGIC INC. C	LASS A COMM ON STC	OCK / CUSIP: 21077C107	/ Symbol:				
	4 transactions fo	r 08/27/21. Total proceed	ls and cost repor	ted to the IRS.			
	250.000	1,745.41	08/13/21	1,804.28		-58.87	1 of 4 - Sale ²⁵
	30.000	209.45	08/23/21	201.15		8.30	2 of 4 - Sale ²⁵
	500.000	3,490.82	08/25/21	3,482.80		8.02	3 of 4 - Sale ²⁵
	300.000	2,094.49	08/26/21	2,041.71		52.78	4 of 4 - Sale ²⁵
08/27/21	1,080.000	7,540.17	Various	7,529.94		10.23	Total of 4 transactions
DIDI GLOBAL INC. AME	RICAN DEPO SITARY S	HARES, EACH FOUR RI	EPRE SENTING	ONE CLASS A C	RDINARY S / CUSIP: 23292	E108 / Symbol:	
	3 transactions fo	r 07/02/21. Total proceed	ls and cost repor	ted to the IRS.			
	14.000	219.38	06/30/21	201.32		18.06	1 of 3 - Sale ²⁵
	30.000	470.10	06/30/21	431.07		39.03	2 of 3 - Sale ²⁵
	120.000	1,880.36	06/30/21	1,724.28		156.08	3 of 3 - Sale ²⁵
07/02/21	164.000	2,569.84	Various	2,356.67		213.17	Total of 3 transactions
DATASEA INC. COMMC	N STOCK / CUSIP: 2381	16206 / Symbol:					
	4 transactions fo	r 06/21/21. Total proceed	ls and cost repor	ted to the IRS.			
	698.000	1,634.97	06/07/21	1,905.54		-270.57	1 of 4 - Sale ²⁵
	200.000	468.48	06/07/21	546.00		-77.52	2 of 4 - Sale ²⁵
	101.000	236.58	06/07/21	275.73		-39.15	3 of 4 - Sale ²⁵
	1.000	2.34	06/07/21	2.73		-0.39	4 of 4 - Sale ²⁵
06/21/21	1,000.000	2,342.37	Various	2,730.00		-387.63	Total of 4 transactions
MV INC. COMMON SHA	ARES / CUSIP: 44974L10	03 / Symbol:					
07/26/21	1,000.000	2,079.86	07/23/21	1,738.90		340.96	Sale ²⁵
IANONE INC. COMMON	STOCK (NV) / CUSIP: 4	47089W104 / Symbol:					
11/09/21	10.000	61.02	11/08/21	69.96		-8.94	Sale ²⁵
M FUNDING AMERICA	, INC. COMMO N STOCI	K / CUSIP: 502074404 / S	Symbol:				
07/08/21	200.000	1,166.97	07/08/21	1,116.00		50.97	Sale ²⁵
MICROVAST HOLDING	S, INC. COMMO N STOC	CK / CUSIP: 59516C106 /	Symbol:				
	5 transactions fo	r 07/30/21. Total proceed	s and cost repor	ted to the IRS.			
	17.000	163.20	07/26/21	153.67		9.53	1 of 5 - Sale ²⁵
	20.000	191.60	07/26/21	177.78		13.82	2 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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MICROVAST HOLE	DINGS, INC. COMMO N STO	CK / CUSIP: 59516C106 /	Symbol: (cont'c	1)			
	100.000	959.98	07/26/21	903.93		56.05	3 of 5 - Sale ²⁵
	133.000	1,274.12	07/26/21	1,202.23		71.89	4 of 5 - Sale ²⁵
	200.000	1,915.96	07/26/21	1,776.00		139.96	5 of 5 - Sale ²⁵
07/30/21	470.000	4,504.86	Various	4,213.61		291.25	Total of 5 transactions
08/24/21	350.000	3,219.94	08/23/21	3,031.00		188.94	Sale ²⁵
	Security total:	7,724.80		7,244.61		480.19	
ALANTIR TECHN	OLOGIES INC. CLA SS A CO	MMON STOCK / CUSIP:	69608A108 / Sy	mbol:			
03/17/21	300.000	7,559.92	03/10/21	7,563.00		-3.08	Sale ²⁵
PERSHING SQUAF	RE TONTINE HOLDIN GS, LT	D. / CUSIP: 71531R109 /	Symbol:				
	3 transactions fo	or 02/23/21. Total proceed	ls and cost repor	ted to the IRS.			
	2.000	54.03	02/16/21	64.22	10.19 W	0.00	1 of 3 - Sale ²⁵
	2.000	53.62	02/16/21	64.22	10.60 W	0.00	2 of 3 - Sale ²⁵
	1.000	26.81	02/16/21	36.90	10.09 W	0.00	3 of 3 - Sale ²⁵
02/23/21	5.000	134.46	Various	165.34	30.88 W	0.00	Total of 3 transactions
03/05/21	18.000	426.95	02/18/21	541.25		-114.30	Sale ²⁵
	5 transactions fo	or 03/05/21. Total proceed	ls and cost repor	ted to the IRS.			
	1.000	23.72	02/16/21	37.10	13.38 W	0.00	1 of 5 - Sale ²⁵
	1.000	23.72	02/16/21	36.89	13.17 W	0.00	2 of 5 - Sale ²⁵
	48.000	1,138.55	02/17/21	1,544.06	118.27 W	-287.24	3 of 5 - Sale ²⁵
	1.000	23.72	02/17/21	42.26	18.54 W	0.00	4 of 5 - Sale ²⁵
	1.000	23.72	02/17/21	37.47	13.75 W	0.00	5 of 5 - Sale ²⁵
03/05/21	52.000	1,233.43	Various	1,697.78	177.11 W	-287.24	Total of 5 transactions
04/21/21	6.000	140.94	02/18/21	180.42		-39.48	Sale ²⁵
05/10/21	58.000	1,376.90	02/18/21	1,744.04		-367.14	Sale ²⁵
		for 06/18/21. Total procee					
	18.000	408.59	02/18/21	541.25		-132.66	1 of 13 - Sale ²⁵
	14.000	317.80	02/25/21	524.97		-207.17	2 of 13 - Sale 25
	32.000	726.39	02/25/21	929.60		-203.21	3 of 13 - Sale 25
	32.000	726.39	02/25/21	920.00		-193.61	4 of 13 - Sale ²⁵
	23.000	522.09	02/25/21	661.25		-139.16	5 of 13 - Sale 25
	1.000	22.70	02/25/21	47.59		-24.89	6 of 13 - Sale ²⁵
	1.000	22.70	02/25/21	42.80		-20.10	7 of 13 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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PERSHING SQUARE	TONTINE HOLDIN GS, LT	D. / CUSIP: 71531R109 /	Symbol: (cont'd)			
	1.000	22.70	02/25/21	42.43		-19.73	8 of 13 - Sale ²⁵
	1.000	22.70	02/25/21	42.22		-19.52	9 of 13 - Sale ²⁵
	13.000	294.96	02/26/21	381.10		-86.14	10 of 13 - Sale ²⁵
	2.000	45.40	02/26/21	58.63		-13.23	11 of 13 - Sale ²⁵
	7.000	158.83	03/01/21	204.68		-45.85	12 of 13 - Sale ²⁵
	6.000	136.14	03/18/21	160.14		-24.00	13 of 13 - Sale ²⁵
06/18/21	151.000	3,427.39	Various	4,556.66		-1,129.27	Total of 13 transactions
	Security total:	6,740.07		8,885.49	207.99 W	-1,937.43	
RESHAPE LIFESCIE	NCES, INC. COM MON STC	OCK / CUSIP: 76090R10	1 / Symbol:				
	3 transactions for	r 08/11/21. Total proceed	ls and cost repor	ted to the IRS.			
	1,000.000	3,449.86	07/30/21	3,983.50		-533.64	1 of 3 - Sale ²⁵
	530.000	1,828.42	08/04/21	1,902.70		-74.28	2 of 3 - Sale ²⁵
	35.000	120.75	08/06/21	128.43		-7.68	3 of 3 - Sale ²⁵
08/11/21	1,565.000	5,399.03	Various	6,014.63		-615.60	Total of 3 transactions
SAB BIOTHERAPEU	TICS, INC. COMM ON STOC	CK / CUSIP: 78397T103	/ Symbol:				
11/10/21	20.000	192.30	11/09/21	185.40		6.90	Sale ²⁵
30FI TECHNOLOGIE	ES, INC. COMMON STOCK	/ CUSIP: 83406F102 / Sy	/mbol:				
	2 transactions for	r 07/19/21. Total proceed	ls and cost repor	ted to the IRS.			
	0.409	6.16	07/09/21	6.88	0.72 W	0.00	1 of 2 - Sale ²⁵
	17.000	256.38	07/09/21	286.07	29.69 W	0.00	2 of 2 - Sale ²⁵
07/19/21	17.409	262.54	Various	292.95	30.41 W	0.00	Total of 2 transactions
	7 transactions for	r 07/23/21. Total proceed	ls and cost repor	ted to the IRS.			
	382.000	6,020.24	07/09/21	6,428.26		-408.02	1 of 7 - Sale ²⁵
	7.591	119.64	07/09/21	140.49		-20.85	2 of 7 - Sale ²⁵
	0.409	6.44	07/09/21	7.57		-1.13	3 of 7 - Sale ²⁵
	75.000	1,181.98	07/12/21	1,230.67		-48.69	4 of 7 - Sale ²⁵
	9.409	148.28	07/12/21	170.81		-22.53	5 of 7 - Sale ²⁵
	0.591	9.32	07/12/21	10.33		-1.01	6 of 7 - Sale ²⁵
	35.000	551.59	07/15/21	564.90		-13.31	7 of 7 - Sale ²⁵
07/23/21	510.000	8,037.49	Various	8,553.03		-515.54	Total of 7 transactions
07/23/21	0.591	9.32	07/09/21	9.95	0.63 W	0.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SOFI TECHNOLOGIE	S, INC. COMMON STOCK	/ CUSIP: 83406F102 / Sy	/mbol: (cont'd)				
	Security total:	8,309.35		8,855.93	31.04 W	-515.54	
SOS LIMITED / CUSIF	P: 83587W106 / Symbol:						
	2 transactions fo	or 04/12/21. Total proceed	ls and cost repor	ted to the IRS.			
04/12/21	10.000 520.000 530.000	55.71 2,896.63 2,952.34	03/30/21 03/30/21 Various	47.90 2,412.07 2,459.97	 	7.81 484.56 492.37	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions
STEM, INC. / CUSIP: 8	85859N102 / Symbol:						
	3 transactions fo	r 08/19/21. Total proceed	ls and cost repor	ted to the IRS.			
	0.237 21.000 5.512	5.20 461.60 119.17	08/12/21 08/12/21 08/12/21	5.49 487.30 127.90	0.29 W 25.70 W 8.73 W	0.00 0.00 0.00	1 of 3 - Sale ²⁵ 2 of 3 - Sale ²⁵ 3 of 3 - Sale ²⁵
08/19/21	26.748	585.97 58/23/21. Total proceed	Various	620.69	34.72 W	0.00	Total of 3 transactions
	173.000	3,997.99	08/12/21	4,014.47		-16.48	1 of 7 - Sale ²⁵
	11.237 9.763 5.512	259.67 225.63 127.38	08/12/21 08/12/21 08/12/21 08/12/21	274.55 238.51 136.66	··· ···	-14.88 -12.88	2 of 7 - Sale ²⁵ 3 of 7 - Sale ²⁵ 4 of 7 - Sale ²⁵
	73.000 0.237	1,687.00 5.47	08/12/21 08/12/21	1,694.29 5.78	···· ····	-9.28 -7.29 -0.31	5 of 7 - Sale ²⁵ 6 of 7 - Sale ²⁵
08/23/21 08/23/21	0.252 273.000 0.252	5.82 6,308.96 5.82	<i>08/12/21</i> Various 08/12/21	5.86 6,370.12 5.84	 0.02 W	<i>-0.04</i> -61.16 0.00	7 of 7 - Sale ²⁵ Total of 7 transactions Sale ²⁵
00/20/21	Security total:	6,900.75		6,996.65	34.74 W	-61.16	
SYNAPTOGENIX. INC	C. COMMON STOC K / CUS	SIP: 87167T201 / Symbol	:				
		or 07/09/21. Total proceed		ted to the IRS			
07/09/21	150.000 240.000 390.000	1,352.97 2,164.76 3,517.73	07/07/21 07/08/21 Various	1,284.00 1,926.53 3,210.53		68.97 238.23 307.20	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵ Total of 2 transactions

Robinhood	Securities LLC
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Proceeds from Broker and Barter Exchange Transactions

2021 **1099-B*** OMB No. 1545-0715

(continued)

02/11/2022

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TUSCAN HOLDINGS (CORP. II COMMO N STOC	CK / CUSIP: 90070A103 /	Symbol:			· · · ·	
	7 transactions fo	or 02/04/21. Total proceed	s and cost report	ted to the IRS.			
	12.000	136.20	02/03/21	136.86		-0.66	1 of 7 - Sale ²⁵
	0.540	6.14	02/03/21	6.16		-0.02	2 of 7 - Sale 25
	0.001	0.01	02/03/21	0.01		0.00	3 of 7 - Sale ²⁵
	0.416	4.75	02/03/21	4.75		0.00	4 of 7 - Sale ²⁵
	0.584	6.66	02/03/21	6.66		0.00	5 of 7 - Sale ²⁵
	0.489	5.58	02/03/21	5.57		0.01	6 of 7 - Sale ²⁵
	0.876	10.00	02/03/21	9.99		0.01	7 of 7 - Sale ²⁵
02/04/21	14.906	169.34	Various	170.00		-0.66	Total of 7 transactions
VINCO VENTURES, IN	IC. COMMON ST OCK / C	USIP: 927330100 / Symb	ol:				
04/06/21	51.000	119.33	03/29/21	172.64		-53.31	Sale ²⁵
04/14/21	189.000	414.02	03/29/21	639.76		-225.74	Sale ²⁵
	Security total:	533.35		812.40		-279.05	
VIRGIN GALACTIC HC	DLDINGS, INC. / CUSIP: 9	2766K106 / Symbol:					
	3 transactions fo	or 07/06/21. Total proceed	ls and cost repor	ted to the IRS.			
	5.000	239.57	07/02/21	231.05		8.52	1 of 3 - Sale ²⁵
	45.000	2,156.16	07/02/21	2,079.11		77.05	2 of 3 - Sale 25
	55.000	2,635.31	07/02/21	2,541.00		94.31	3 of 3 - Sale ²⁵
07/06/21	105.000	5,031.04	Various	4,851.16		179.88	Total of 3 transactions
BLUESCAPE OPPORT	UNITIES ACQUIS ITION	CORP. / CUSIP: G1195N	105 / Symbol:				
	2 transactions fo	or 02/18/21. Total proceed	ls and cost repor	ted to the IRS.			
	10.000	112.20	02/17/21	115.70		-3.50	1 of 2 - Sale ²⁵
	2.000	22.44	02/17/21	23.84		-1.40	2 of 2 - Sale 25
02/18/21	12.000	134.64	Various	139.54		-4.90	Total of 2 transactions
02/18/21	31.000	347.82	02/17/21	358.67	0.70 W	-10.15	Sale ²⁵
	Security total:	482.46		498.21	0.70 W	-15.05	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EURO TECH HOLDIN	GS COMPANY LIM ITED C	OMMON STOCK / CUSI	P: G32030127 /	Symbol:			
	2 transactions for	r 06/21/21. Total proceed	ls and cost repor	ted to the IRS.			
	180.000 500.000	617.37 1,714.93	06/21/21 06/21/21	624.60 1,720.65		-7.23 -5.72	1 of 2 - Sale ²⁵ 2 of 2 - Sale ²⁵
06/21/21	680.000	2,332.30	Various	2,345.25		-12.95	Total of 2 transactions
GENESIS PARK ACQU	UISITION CORP. / CUSIP:	G38245109 / Symbol:					
02/08/21	35.000	399.35	02/05/21	411.25		-11.90	Sale ²⁵
KAIXIN AUTO HOLDIN	NGS ORDINARY SHARES	/ CUSIP: G5223X100 / S	ymbol:				
08/04/21	500.000	902.43	08/03/21	902.50		-0.07	Sale ²⁵
PAYSAFE LIMITED / C	CUSIP: G6964L107 / Symbo	ol:					
	4 transactions for	r 11/19/21. Total proceed	ls and cost repor	ted to the IRS.			
	153.000	616.57	11/11/21	786.42	169.85 W	0.00	1 of 4 - Sale ²⁵
	31.882	128.48	11/11/21	163.87	35.39 W	0.00	2 of 4 - Sale ²⁵
	15.599	62.08	11/11/21	97.50	35.42 W	0.00	3 of 4 - Sale ²⁵
	21.173	83.00	11/11/21	132.33	49.33 W	0.00	4 of 4 - Sale ²⁵
11/19/21	221.654	890.13	Various	1,180.12	289.99 W	0.00	Total of 4 transactions
	3 transactions for	r 11/22/21. Total proceed	ls and cost repor	ted to the IRS.			
	0.696	2.70	11/11/21	4.35	1.65 W	0.00	1 of 3 - Sale ²⁵
	21.000	81.27	11/11/21	131.25	49.98 W	0.00	2 of 3 - Sale ²⁵
	44.681	167.78	11/11/21	279.26	111.48 W	0.00	3 of 3 - Sale ²⁵
11/22/21	66.377	251.75	Various	414.86	163.11 W	0.00	Total of 3 transactions
	17 transactions f	or 12/03/21. Total procee	ds and cost repo	orted to the IRS.			
	661.000	2,241.75	11/11/21	3,397.54		-1,155.79	1 of 17 - Sale ²⁵
	44.681	151.53	11/11/21	485.92		-334.39	2 of 17 - Sale ²⁵
	48.882	165.78	11/11/21	472.72		-306.94	3 of 17 - Sale ²⁵
	60.659	205.73	11/11/21	369.31		-163.58	4 of 17 - Sale ²⁵
	21.000	71.22	11/11/21	225.96		-154.74	5 of 17 - Sale ²⁵
	31.882	108.13	11/11/21	253.78		-145.65	6 of 17 - Sale ²⁵
	21.173	71.81	11/11/21	194.37		-122.56	7 of 17 - Sale ²⁵
	15.118	51.27	11/11/21	129.42		-78.15	8 of 17 - Sale ²⁵
	10.945	37.12	11/11/21	99.83		-62.71	9 of 17 - Sale ²⁵
	22.000	74.61	11/11/21	136.82		-62.21	10 of 17 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5) Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS. 1a-Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYSAFE LIMITED	/ CUSIP: G6964L107 / Symb	ool: (cont'd)					
	49.000	166.19	11/11/21	214.53		-48.34	11 of 17 - Sale ²⁵
	4.654	15.78	11/11/21	49.58		-33.80	12 of 17 - Sale 25
	3.341	11.33	11/11/21	22.28		-10.95	13 of 17 - Sale ²⁵
	0.696	2.36	11/11/21	7.48		-5.12	14 of 17 - Sale ²⁵
	0.628	2.13	11/11/21	7.04		-4.91	15 of 17 - Sale ²⁵
	4.000	13.57	11/11/21	17.92		-4.35	16 of 17 - Sale ²⁵
	0.341	1.15	11/11/21	3.62		-2.47	17 of 17 - Sale ²⁵
12/03/21	1,000.000 <i>20 transactions</i> :	3,391.46 for 12/03/21. Total procee	Various eds and cost repo	6,088.12 orted to the IRS.		-2,696.66	Total of 17 transactions
	0.628	2.15	11/11/21	3.92	1.77 W	0.00	1 of 20 - Sale ²⁵
	49.223	168.83	11/11/21	307.66	138.83 W	0.00	2 of 20 - Sale ²⁵
	15.118	51.85	11/11/21	77.71	25.86 W	0.00	3 of 20 - Sale ²⁵
	0.628	2.15	11/11/21	5.00	2.85 W	0.00	4 of 20 - Sale ²⁵
	0.696	2.39	11/11/21	5.23	2.84 W	0.00	5 of 20 - Sale ²⁵
	21.000	72.03	11/11/21	157.92	85.89 W	0.00	6 of 20 - Sale ²⁵
	44.681	153.25	11/11/21	341.14	187.89 W	0.00	7 of 20 - Sale ²⁵
	4.654	15.96	11/11/21	34.49	18.53 W	0.00	8 of 20 - Sale ²⁵
	49.223	168.83	11/11/21	391.83	223.00 W	0.00	9 of 20 - Sale ²⁵
	15.118	51.85	11/11/21	103.57	51.72 W	0.00	10 of 20 - Sale ²⁵
	10.945	37.54	11/11/21	81.11	43.57 W	0.00	11 of 20 - Sale ²⁵
	21.173	72.62	11/11/21	158.16	85.54 W	0.00	12 of 20 - Sale ²⁵
	31.882	109.35	11/11/21	199.26	89.91 W	0.00	13 of 20 - Sale ²⁵
	0.628	2.15	11/11/21	6.08	3.93 W	0.00	14 of 20 - Sale ²⁵
	0.696	2.39	11/11/21	6.42	4.03 W	0.00	15 of 20 - Sale ²⁵
	21.000	72.03	11/11/21	193.83	121.80 W	0.00	16 of 20 - Sale ²⁵
	44.681	153.25	11/11/21	417.55	264.30 W	0.00	17 of 20 - Sale ²⁵
	4.654	15.96	11/11/21	42.45	26.49 W	0.00	18 of 20 - Sale ²⁵
	86.000	294.97	11/11/21	442.04	147.07 W	0.00	19 of 20 - Sale ²⁵
	0.341	1.16	11/11/21	3.29	2.13 W	0.00	20 of 20 - Sale ²⁵
12/03/21	422.968	1,450.71	Various	2,978.66	1,527.95 W	0.00	Total of 20 transactions
	Security total:	5,984.05		10,661.76	1,981.05 W	-2,696.66	
Totals :	-	120,400.89		136,663.68	2,257.24 W	-14,005.55	

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Robinhood Securities LLC

2021

Account 671917300

Fees and Expenses

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/25/21	-5.00	Margin interest paid	
		02/23/21	-0.79	Margin interest paid	
		02/23/21	-5.00	Margin interest paid	
		03/25/21	-5.00	Margin interest paid	
		03/25/21	-7.78	Margin interest paid	
		04/26/21	-4.98	Margin interest paid	
		04/26/21	-5.00	Margin interest paid	
		05/24/21	-1.21	Margin interest paid	
		05/24/21	-5.00	Margin interest paid	
		06/23/21	-1.34	Margin interest paid	
		06/23/21	-5.00	Margin interest paid	
		07/23/21	-2.67	Margin interest paid	
		07/23/21	-5.00	Margin interest paid	
		-	-53.77	Total Margin interest paid	
Margin charge		08/23/21	-2.73	Margin interest paid	
		08/23/21	-5.00	Margin interest paid	
		09/21/21	-1.21	Margin interest paid	
		09/21/21	-5.00	Margin interest paid	
		10/21/21	-5.00	Margin interest paid	
		11/22/21	-0.97	Margin interest paid	
		11/22/21	-5.00	Margin interest paid	
		12/20/21	-2.23	Margin interest paid	
		12/20/21	-5.00	Margin interest paid	
		-	-32.14	Total Margin interest paid	
		-	-85.91	Total Margin interest paid	

Robinhood	Securities	LLC
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2021

Non-Reportable Actions

Account 671917300

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BFLY 07/16/2021 CALL \$17.50	BFLY 07/16/21 C 17.500	02/16/21	1.00	0.00	Merger	
BFT 07/16/2021 CALL \$12.50	BFT 07/16/21 C 12.500	03/31/21	-1.00	0.00	Merger	
CCIV 08/20/2021 CALL \$25.00	CCIV 08/20/21 C 25.000	07/26/21	-1.00	0.00	Merger	
CLOV 02/19/2021 CALL \$15.00	CLOV 02/19/21 C 15.000	01/11/21	1.00	0.00	Merger	
CLOV 05/21/2021 CALL \$10.00	CLOV 05/21/21 C 10.000	01/11/21	2.00	0.00	Merger	
CLOV 12/17/2021 CALL \$10.00	CLOV 12/17/21 C 10.000	01/11/21	1.00	0.00	Merger	
GHIV 05/21/2021 CALL \$10.00	GHIV 05/21/21 C 10.000	01/22/21	-1.00	0.00	Merger	
IPOC 02/19/2021 CALL \$15.00	IPOC 02/19/21 C 15.000	01/11/21	-1.00	0.00	Merger	
IPOC 05/21/2021 CALL \$10.00	IPOC 05/21/21 C 10.000	01/11/21	-2.00	0.00	Merger	
IPOC 12/17/2021 CALL \$10.00	IPOC 12/17/21 C 10.000	01/11/21	-1.00	0.00	Merger	
LCID 08/20/2021 CALL \$25.00	LCID 08/20/21 C 25.000	07/26/21	1.00	0.00	Merger	
LGVW 07/16/2021 CALL \$17.50	LGVW 07/16/21 C 17.500	02/16/21	-1.00	0.00	Merger	
ME 07/16/2021 CALL \$12.50	ME 07/16/21 C 12.500	06/18/21	1.00	0.00	Merger	
PSFE 07/16/2021 CALL \$12.50	PSFE 07/16/21 C 12.500	03/31/21	1.00	0.00	Merger	
STEM 05/21/2021 CALL \$35.00	STEM 05/21/21 C 35.000	04/29/21	1.00	0.00	Merger	
STEM 10/15/2021 CALL \$40.00	STEM 10/15/21 C 40.000	04/29/21	1.00	0.00	Merger	
STPK 05/21/2021 CALL \$35.00	STPK 05/21/21 C 35.000	04/29/21	-1.00	0.00	Merger	
STPK 10/15/2021 CALL \$40.00	STPK 10/15/21 C 40.000	04/29/21	-1.00	0.00	Merger	
UWMC 05/21/2021 CALL \$10.00	UWMC 05/21/21 C 10.000	01/22/21	1.00	0.00	Merger	
VGAC 07/16/2021 CALL \$12.50	VGAC 07/16/21 C 12.500	06/18/21	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or nonSection 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details. Line 1f. Shows the amount of accrued market discount. For details on market discount.

see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. Line 10. Shows the amount of nondeductible loss in a wash sale transaction. For

Line 19. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 109-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.