

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 438746976	Statement Date: 02/11/2022 Document ID: G133 E7M V2T0	2021
	Venkata krishna Galla 1606 Crystal Spring Lane 1606 Nashville, TN 37076 RECIPIENT'S TIN: XXX-XX-9328		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 100.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	821,586.51	881,455.04	0.00	28,916.54	-30,951.99
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	821,586.51	881,455.04	0.00	28,916.54	-30,951.99
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	821,586.51	881,455.04	0.00	28,916.54	-30,951.99
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 438746976

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	7.01
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	73.90
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
01/14/21	7.000	1,049.96	01/14/21	805.00	...	244.96	Option sale to close-call ²⁵
AAPL 01/15/2021 PUT \$130.00 / CUSIP: / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	2.000	209.99	01/13/21	178.00	...	31.99	1 of 2 - Option sale to close-put ²⁵
	6.000	629.97	01/13/21	534.00	...	95.97	2 of 2 - Option sale to close-put ²⁵
01/14/21	8.000	839.96	Various	712.00	...	127.96	Total of 2 transactions
AAPL 01/21/2022 PUT \$160.00 / CUSIP: / Symbol:							
2 transactions for 12/02/21. Total proceeds and cost reported to the IRS.							
	2.000	1,479.98	12/01/21	770.00	...	709.98	1 of 2 - Option sale to close-put ²⁵
	2.000	1,479.99	12/01/21	770.00	...	709.99	2 of 2 - Option sale to close-put ²⁵
12/02/21	4.000	2,959.97	Various	1,540.00	...	1,419.97	Total of 2 transactions

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/12/2021 PUT \$133.00 / CUSIP: / Symbol:							
02/11/21	5.000	184.98	02/10/21	215.00	...	-30.02	Option sale to close-put ²⁵
AAPL 02/12/2021 PUT \$135.00 / CUSIP: / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	6.000	689.97	02/10/21	690.00	...	-0.03	1 of 2 - Option sale to close-put ²⁵
	4.000	459.98	02/10/21	460.00	...	-0.02	2 of 2 - Option sale to close-put ²⁵
02/10/21	10.000	1,149.95	Various	1,150.00	...	-0.05	Total of 2 transactions
AAPL 02/26/2021 CALL \$124.00 / CUSIP: / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	10.000	1,139.95	02/23/21	1,610.00	...	-470.05	1 of 3 - Option sale to close-call ²⁵
	10.000	1,139.95	02/23/21	1,610.00	...	-470.05	2 of 3 - Option sale to close-call ²⁵
	1.000	114.00	02/23/21	150.00	...	-36.00	3 of 3 - Option sale to close-call ²⁵
02/23/21	21.000	2,393.90	Various	3,370.00	...	-976.10	Total of 3 transactions
AAPL 02/26/2021 PUT \$123.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	15.000	3,074.90	02/23/21	3,060.00	...	14.90	1 of 2 - Option sale to close-put ²⁵
	1.000	204.99	02/23/21	177.00	...	27.99	2 of 2 - Option sale to close-put ²⁵
02/23/21	16.000	3,279.89	Various	3,237.00	...	42.89	Total of 2 transactions
AAPL 03/05/2021 CALL \$127.00 / CUSIP: / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	12.000	611.97	03/02/21	2,016.00	...	-1,404.03	1 of 2 - Option sale to close-call ²⁵
	2.000	101.99	03/02/21	248.00	...	-146.01	2 of 2 - Option sale to close-call ²⁵
03/03/21	14.000	713.96	Various	2,264.00	...	-1,550.04	Total of 2 transactions
AAPL 03/19/2021 CALL \$124.00 / CUSIP: / Symbol:							
03/17/21	10.000	1,589.97	03/17/21	1,150.00	...	439.97	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$125.00 / CUSIP: / Symbol:							
4 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	6.000	179.98	03/17/21	588.00	...	-408.02	1 of 4 - Option sale to close-call ²⁵
	2.000	59.98	03/17/21	196.00	...	-136.02	2 of 4 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/19/2021 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
	1.000	29.98	03/17/21	98.00	...	-68.02	3 of 4 - Option sale to close-call ²⁵
	1.000	29.98	03/17/21	98.00	...	-68.02	4 of 4 - Option sale to close-call ²⁵
03/18/21	10.000	299.92	Various	980.00	...	-680.08	Total of 4 transactions
AAPL 03/26/2021 CALL \$127.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	5.000	264.99	03/18/21	430.00	...	-165.01	1 of 2 - Option sale to close-call ²⁵
	2.000	105.99	03/18/21	118.00	...	-12.01	2 of 2 - Option sale to close-call ²⁵
03/19/21	7.000	370.98	Various	548.00	...	-177.02	Total of 2 transactions
AAPL 03/26/2021 PUT \$119.00 / CUSIP: / Symbol:							
4 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	20.000	1,199.95	03/25/21	1,560.00	...	-360.05	1 of 4 - Option sale to close-put ²⁵
	20.000	1,199.95	03/25/21	1,120.00	...	79.95	2 of 4 - Option sale to close-put ²⁵
	20.000	1,199.96	03/25/21	1,020.00	...	179.96	3 of 4 - Option sale to close-put ²⁵
	20.000	1,199.95	03/25/21	660.00	...	539.95	4 of 4 - Option sale to close-put ²⁵
03/25/21	80.000	4,799.81	Various	4,360.00	...	439.81	Total of 4 transactions
AAPL 03/26/2021 PUT \$121.00 / CUSIP: / Symbol:							
3 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	2.000	185.99	03/24/21	176.00	...	9.99	1 of 3 - Option sale to close-put ²⁵
	23.000	2,138.94	03/24/21	2,024.00	...	114.94	2 of 3 - Option sale to close-put ²⁵
	10.000	929.98	03/24/21	690.00	...	239.98	3 of 3 - Option sale to close-put ²⁵
03/24/21	35.000	3,254.91	Various	2,890.00	...	364.91	Total of 3 transactions
AAPL 04/16/2021 CALL \$132.00 / CUSIP: / Symbol:							
3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	1.000	154.00	04/12/21	125.00	...	29.00	1 of 3 - Option sale to close-call ²⁵
	1.000	154.00	04/12/21	125.00	...	29.00	2 of 3 - Option sale to close-call ²⁵
	8.000	1,231.97	04/12/21	1,000.00	...	231.97	3 of 3 - Option sale to close-call ²⁵
04/13/21	10.000	1,539.97	Various	1,250.00	...	289.97	Total of 3 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 04/16/2021 CALL \$134.00 / CUSIP: / Symbol:							
3 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	1.000	144.99	04/14/21	126.00	...	18.99	1 of 3 - Option sale to close-call ²⁵
	2.000	289.99	04/14/21	252.00	...	37.99	2 of 3 - Option sale to close-call ²⁵
	27.000	3,914.93	04/14/21	3,402.00	...	512.93	3 of 3 - Option sale to close-call ²⁵
04/14/21	30.000	4,349.91	Various	3,780.00	...	569.91	Total of 3 transactions
AAPL 04/23/2021 CALL \$132.00 / CUSIP: / Symbol:							
8 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	93.00	04/22/21	84.00	...	9.00	1 of 8 - Option sale to close-call ²⁵
	5.000	464.99	04/22/21	420.00	...	44.99	2 of 8 - Option sale to close-call ²⁵
	9.000	836.98	04/22/21	756.00	...	80.98	3 of 8 - Option sale to close-call ²⁵
	10.000	929.97	04/22/21	840.00	...	89.97	4 of 8 - Option sale to close-call ²⁵
	10.000	929.97	04/22/21	840.00	...	89.97	5 of 8 - Option sale to close-call ²⁵
	10.000	929.97	04/22/21	840.00	...	89.97	6 of 8 - Option sale to close-call ²⁵
	10.000	929.97	04/22/21	840.00	...	89.97	7 of 8 - Option sale to close-call ²⁵
	45.000	4,184.88	04/22/21	3,780.00	...	404.88	8 of 8 - Option sale to close-call ²⁵
04/22/21	100.000	9,299.73	Various	8,400.00	...	899.73	Total of 8 transactions
AAPL 04/23/2021 CALL \$133.00 / CUSIP: / Symbol:							
4 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	1.000	93.98	04/22/21	91.00	...	2.98	1 of 4 - Option sale to close-call ²⁵
	5.000	469.98	04/22/21	455.00	...	14.98	2 of 4 - Option sale to close-call ²⁵
	5.000	469.98	04/22/21	455.00	...	14.98	3 of 4 - Option sale to close-call ²⁵
	89.000	8,365.77	04/22/21	8,099.00	...	266.77	4 of 4 - Option sale to close-call ²⁵
04/22/21	100.000	9,399.71	Various	9,100.00	...	299.71	Total of 4 transactions
AAPL 05/21/2021 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	20.000	59.96	05/17/21	720.00	...	-660.04	1 of 2 - Option sale to close-call ²⁵
	20.000	59.95	05/18/21	200.00	...	-140.05	2 of 2 - Option sale to close-call ²⁵
05/20/21	40.000	119.91	Various	920.00	...	-800.09	Total of 2 transactions

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 05/21/2021 PUT \$125.00 / CUSIP: / Symbol:							
4 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	16.000	143.96	05/20/21	960.00	...	-816.04	1 of 4 - Option sale to close-put ²⁵
	16.000	143.96	05/20/21	960.00	...	-816.04	2 of 4 - Option sale to close-put ²⁵
	8.000	71.97	05/20/21	480.00	...	-408.03	3 of 4 - Option sale to close-put ²⁵
	4.000	35.99	05/20/21	276.01	...	-240.02	4 of 4 - Option sale to close-put ²⁵
05/21/21	44.000	395.88	Various	2,676.01	...	-2,280.13	Total of 4 transactions
05/21/21	60.000	539.87	05/20/21	3,600.00	204.01 W	-2,856.12	Option sale to close-put ²⁵
	Security total:	935.75		6,276.01	204.01 W	-5,136.25	
AAPL 08/27/2021 PUT \$148.00 / CUSIP: / Symbol:							
08/23/21	10.000	839.97	08/20/21	2,000.00	...	-1,160.03	Option sale to close-put ²⁵
AAPL 10/22/2021 PUT \$148.00 / CUSIP: / Symbol:							
8 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	101.00	10/19/21	82.00	...	19.00	1 of 8 - Option sale to close-put ²⁵
	1.000	101.00	10/19/21	82.00	...	19.00	2 of 8 - Option sale to close-put ²⁵
	2.000	201.98	10/19/21	164.00	...	37.98	3 of 8 - Option sale to close-put ²⁵
	2.000	202.00	10/19/21	164.00	...	38.00	4 of 8 - Option sale to close-put ²⁵
	4.000	403.99	10/19/21	328.00	...	75.99	5 of 8 - Option sale to close-put ²⁵
	5.000	504.98	10/19/21	410.00	...	94.98	6 of 8 - Option sale to close-put ²⁵
	5.000	504.99	10/19/21	410.00	...	94.99	7 of 8 - Option sale to close-put ²⁵
	10.000	1,009.97	10/19/21	820.00	...	189.97	8 of 8 - Option sale to close-put ²⁵
10/19/21	30.000	3,029.91	Various	2,460.00	...	569.91	Total of 8 transactions
AAPL 12/03/2021 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 11/29/21. Total proceeds and cost reported to the IRS.							
	5.000	919.98	11/26/21	1,140.00	...	-220.02	1 of 2 - Option sale to close-call ²⁵
	5.000	919.98	11/26/21	1,140.00	...	-220.02	2 of 2 - Option sale to close-call ²⁵
11/29/21	10.000	1,839.96	Various	2,280.00	...	-440.04	Total of 2 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/03/2021 PUT \$162.50 / CUSIP: / Symbol:							
2 transactions for 11/30/21. Total proceeds and cost reported to the IRS.							
	6.000	839.98	11/30/21	1,164.00	...	-324.02	1 of 2 - Option sale to close-put ²⁵
	4.000	559.98	11/30/21	776.00	...	-216.02	2 of 2 - Option sale to close-put ²⁵
11/30/21	10.000	1,399.96	Various	1,940.00	...	-540.04	Total of 2 transactions
AAPL 12/10/2021 CALL \$170.00 / CUSIP: / Symbol:							
12/07/21	10.000	1,989.96	12/07/21	1,820.00	...	169.96	Option sale to close-call ²⁵
AAPL 12/10/2021 PUT \$160.00 / CUSIP: / Symbol:							
4 transactions for 12/03/21. Total proceeds and cost reported to the IRS.							
	1.000	192.00	12/02/21	176.00	...	16.00	1 of 4 - Option sale to close-put ²⁵
	2.000	383.99	12/02/21	352.00	...	31.99	2 of 4 - Option sale to close-put ²⁵
	3.000	575.99	12/02/21	528.00	...	47.99	3 of 4 - Option sale to close-put ²⁵
	4.000	767.98	12/02/21	704.00	...	63.98	4 of 4 - Option sale to close-put ²⁵
12/03/21	10.000	1,919.96	Various	1,760.00	...	159.96	Total of 4 transactions
AAPL 12/10/2021 PUT \$170.00 / CUSIP: / Symbol:							
2 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	3.000	644.98	12/07/21	585.00	...	59.98	1 of 2 - Option sale to close-put ²⁵
	7.000	1,504.98	12/07/21	1,365.00	...	139.98	2 of 2 - Option sale to close-put ²⁵
12/07/21	10.000	2,149.96	Various	1,950.00	...	199.96	Total of 2 transactions
AAPL 12/10/2021 PUT \$172.50 / CUSIP: / Symbol:							
2 transactions for 12/08/21. Total proceeds and cost reported to the IRS.							
	5.000	789.98	12/08/21	755.00	...	34.98	1 of 2 - Option sale to close-put ²⁵
	5.000	789.99	12/08/21	755.00	...	34.99	2 of 2 - Option sale to close-put ²⁵
12/08/21	10.000	1,579.97	Various	1,510.00	...	69.97	Total of 2 transactions
AAPL 12/17/2021 PUT \$165.00 / CUSIP: / Symbol:							
5 transactions for 12/10/21. Total proceeds and cost reported to the IRS.							
	4.000	279.98	12/09/21	376.00	...	-96.02	1 of 5 - Option sale to close-put ²⁵
	2.000	139.98	12/09/21	188.00	...	-48.02	2 of 5 - Option sale to close-put ²⁵
	2.000	139.98	12/09/21	188.00	...	-48.02	3 of 5 - Option sale to close-put ²⁵
	1.000	70.98	12/09/21	94.00	...	-23.02	4 of 5 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AAPL 12/17/2021 PUT \$165.00 / CUSIP: / Symbol: (cont'd)							
	1.000	70.98	12/09/21	94.00	...	-23.02	5 of 5 - Option sale to close-put ²⁵
12/10/21	10.000	701.90	Various	940.00	...	-238.10	Total of 5 transactions
AAPL 12/23/2021 CALL \$170.00 / CUSIP: / Symbol:							
5 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	1.000	299.98	12/20/21	251.00	...	48.98	1 of 5 - Option sale to close-call ²⁵
	2.000	577.99	12/20/21	502.00	...	75.99	2 of 5 - Option sale to close-call ²⁵
	3.000	899.98	12/20/21	753.00	...	146.98	3 of 5 - Option sale to close-call ²⁵
	3.000	899.98	12/20/21	753.00	...	146.98	4 of 5 - Option sale to close-call ²⁵
	6.000	1,733.97	12/20/21	1,512.00	...	221.97	5 of 5 - Option sale to close-call ²⁵
12/20/21	15.000	4,411.90	Various	3,771.00	...	640.90	Total of 5 transactions
2 transactions for 12/21/21. Total proceeds and cost reported to the IRS.							
	14.000	3,219.95	12/21/21	3,710.00	...	-490.05	1 of 2 - Option sale to close-call ²⁵
	1.000	230.00	12/21/21	265.00	...	-35.00	2 of 2 - Option sale to close-call ²⁵
12/21/21	15.000	3,449.95	Various	3,975.00	...	-525.05	Total of 2 transactions
	Security total:	7,861.85		7,746.00	...	115.85	
AAPL 12/23/2021 CALL \$185.00 / CUSIP: / Symbol:							
12/16/21	2.000	315.98	12/15/21	148.00	...	167.98	Option sale to close-call ²⁵
AAPL 12/31/2021 PUT \$172.50 / CUSIP: / Symbol:							
12/23/21	10.000	1,739.97	12/22/21	2,150.00	...	-410.03	Option sale to close-put ²⁵
AMC 06/04/2021 PUT \$23.00 / CUSIP: / Symbol:							
06/02/21	1.000	23.98	05/28/21	298.00	...	-274.02	Option sale to close-put ²⁵
AMC 06/11/2021 PUT \$44.00 / CUSIP: / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,329.98	06/02/21	1,010.00	...	319.98	1 of 2 - Option sale to close-put ²⁵
	1.000	1,329.98	06/02/21	1,010.00	...	319.98	2 of 2 - Option sale to close-put ²⁵
06/03/21	2.000	2,659.96	Various	2,020.00	...	639.96	Total of 2 transactions
06/04/21	2.000	1,589.98	06/03/21	2,220.00	...	-630.02	Option sale to close-put ²⁵
	Security total:	4,249.94		4,240.00	...	9.94	

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMZN 01/15/2021 CALL \$3,255.00 / CUSIP: / Symbol:							
2 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	1.000	874.98	01/08/21	2,125.00	...	-1,250.02	1 of 2 - Option sale to close-call ²⁵
	1.000	874.97	01/11/21	1,060.00	...	-185.03	2 of 2 - Option sale to close-call ²⁵
01/11/21	2.000	1,749.95	Various	3,185.00	...	-1,435.05	Total of 2 transactions
AMZN 01/15/2021 PUT \$3,045.00 / CUSIP: / Symbol:							
01/12/21	1.000	1,539.95	01/11/21	1,729.00	...	-189.05	Option sale to close-put ²⁵
CYBR 02/12/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	4.99	02/10/21	45.00	...	-40.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	02/10/21	45.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
02/11/21	2.000	9.98	Various	90.00	...	-80.02	Total of 2 transactions
FUBO 01/29/2021 CALL \$45.00 / CUSIP: / Symbol:							
01/25/21	4.000	1,239.96	01/25/21	1,040.00	...	199.96	Option sale to close-call ²⁵
2 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	224.98	01/25/21	211.00	...	13.98	1 of 2 - Option sale to close-call ²⁵
	4.000	899.97	01/25/21	844.00	...	55.97	2 of 2 - Option sale to close-call ²⁵
01/26/21	5.000	1,124.95	Various	1,055.00	...	69.95	Total of 2 transactions
	Security total:	2,364.91		2,095.00	...	269.91	
GE 04/01/2021 CALL \$13.00 / CUSIP: / Symbol:							
8 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	36.000	863.92	03/23/21	900.00	...	-36.08	1 of 8 - Option sale to close-call ²⁵
	1.000	24.00	03/23/21	22.02	...	1.98	2 of 8 - Option sale to close-call ²⁵
	2.000	48.00	03/23/21	44.01	...	3.99	3 of 8 - Option sale to close-call ²⁵
	3.000	71.99	03/23/21	66.02	...	5.97	4 of 8 - Option sale to close-call ²⁵
	1.000	24.00	03/23/21	16.00	...	8.00	5 of 8 - Option sale to close-call ²⁵
	2.000	47.99	03/23/21	36.00	...	11.99	6 of 8 - Option sale to close-call ²⁵
	8.000	191.98	03/23/21	176.02	...	15.96	7 of 8 - Option sale to close-call ²⁵
	16.000	383.97	03/23/21	336.00	...	47.97	8 of 8 - Option sale to close-call ²⁵
03/24/21	69.000	1,655.85	Various	1,596.07	...	59.78	Total of 8 transactions

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Account 438746976

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GE 04/01/2021 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	1.000	23.98	03/23/21	25.00	1.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	3.000	71.98	03/23/21	75.00	3.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	10.000	239.97	03/23/21	250.00	10.03 W	0.00	3 of 3 - Option sale to close-call ²⁵
03/24/21	14.000	335.93	Various	350.00	14.07 W	0.00	Total of 3 transactions
	Security total:	1,991.78		1,946.07	14.07 W	59.78	
GE 06/04/2021 PUT \$14.00 / CUSIP: / Symbol:							
05/28/21	15.000	284.96	05/27/21	345.00	...	-60.04	Option sale to close-put ²⁵
GME 01/29/2021 PUT \$90.00 / CUSIP: / Symbol:							
01/27/21	1.000	509.97	01/26/21	1,265.00	...	-755.03	Option sale to close-put ²⁵
GME 02/05/2021 PUT \$57.00 / CUSIP: / Symbol:							
01/27/21	1.000	864.97	01/27/21	590.00	...	274.97	Option sale to close-put ²⁵
GME 02/12/2021 PUT \$25.00 / CUSIP: / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	2.98	02/08/21	249.01	...	-246.03	1 of 2 - Option sale to close-put ²⁵
	1.000	2.99	02/08/21	42.00	...	-39.01	2 of 2 - Option sale to close-put ²⁵
02/11/21	2.000	5.97	Various	291.01	...	-285.04	Total of 2 transactions
02/11/21	1.000	2.99	02/04/21	210.00	207.01 W	0.00	Option sale to close-put ²⁵
	Security total:	8.96		501.01	207.01 W	-285.04	
GME 03/05/2021 PUT \$98.50 / CUSIP: / Symbol:							
02/26/21	1.000	2,549.97	02/25/21	2,355.00	...	194.97	Option sale to close-put ²⁵
GME 03/19/2021 PUT \$65.00 / CUSIP: / Symbol:							
03/10/21	1.000	101.98	03/09/21	149.00	...	-47.02	Option sale to close-put ²⁵
GME 06/04/2021 PUT \$185.00 / CUSIP: / Symbol:							
05/27/21	1.000	354.98	05/26/21	600.00	...	-245.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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GSAT 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	02/09/21	55.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	02/09/21	55.00	...	44.98	2 of 2 - Option sale to close-call ²⁵
02/10/21	2.000	199.96	Various	110.00	...	89.96	Total of 2 transactions
MVIS 03/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
03/09/21	1.000	154.98	03/08/21	145.00	...	9.98	Option sale to close-call ²⁵
MVIS 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/11/21	9.000	4,553.87	02/11/21	4,230.00	...	323.87	Option sale to close-call ²⁵
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	2.000	479.98	02/23/21	350.00	...	129.98	1 of 2 - Option sale to close-call ²⁵
	2.000	479.99	02/23/21	350.00	...	129.99	2 of 2 - Option sale to close-call ²⁵
02/23/21	4.000	959.97	Various	700.00	...	259.97	Total of 2 transactions
02/23/21	10.000	2,399.92	02/22/21	4,200.00	1,800.08 W	0.00	Option sale to close-call ²⁵
02/24/21	10.000	2,699.92	02/23/21	3,870.08	1,170.16 W	0.00	Option sale to close-call ²⁵
2 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	7.000	1,609.97	02/24/21	2,919.11	...	-1,309.14	1 of 2 - Option sale to close-call ²⁵
	3.000	689.99	02/24/21	1,251.05	...	-561.06	2 of 2 - Option sale to close-call ²⁵
02/25/21	10.000	2,299.96	Various	4,170.16	...	-1,870.20	Total of 2 transactions
	Security total:	12,913.64		17,170.24	2,970.24 W	-1,286.36	
MVIS 03/19/2021 PUT \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	5.000	89.98	03/11/21	150.00	...	-60.02	1 of 2 - Option sale to close-put ²⁵
	5.000	89.98	03/11/21	150.00	...	-60.02	2 of 2 - Option sale to close-put ²⁵
03/12/21	10.000	179.96	Various	300.00	...	-120.04	Total of 2 transactions
NRZ 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/09/21	5.000	249.98	02/04/21	355.00	...	-105.02	Option sale to close-call ²⁵

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OKTA 01/07/2022 PUT \$225.00 / CUSIP: / Symbol:							
<i>4 transactions for 12/31/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	499.98	12/31/21	475.00	...	24.98	1 of 4 - Option sale to close-put ²⁵
	1.000	499.99	12/31/21	475.00	...	24.99	2 of 4 - Option sale to close-put ²⁵
	1.000	499.99	12/31/21	450.00	...	49.99	3 of 4 - Option sale to close-put ²⁵
	3.000	1,499.98	12/31/21	1,425.00	...	74.98	4 of 4 - Option sale to close-put ²⁵
12/31/21	6.000	2,999.94	Various	2,825.00	...	174.94	Total of 4 transactions
OKTA 01/20/2023 CALL \$300.00 / CUSIP: / Symbol:							
11/30/21	1.000	1,829.98	11/29/21	1,840.00	...	-10.02	Option sale to close-call ²⁵
OKTA 01/22/2021 PUT \$260.00 / CUSIP: / Symbol:							
01/21/21	5.000	1,049.96	01/21/21	700.00	...	349.96	Option sale to close-put ²⁵
OKTA 02/12/2021 PUT \$280.00 / CUSIP: / Symbol:							
02/12/21	2.000	39.98	02/11/21	146.00	...	-106.02	Option sale to close-put ²⁵
OKTA 03/05/2021 CALL \$252.50 / CUSIP: / Symbol:							
03/04/21	1.000	19.98	03/03/21	645.00	...	-625.02	Option sale to close-call ²⁵
OKTA 03/05/2021 PUT \$210.00 / CUSIP: / Symbol:							
03/05/21	1.000	124.98	03/04/21	101.00	...	23.98	Option sale to close-put ²⁵
OKTA 03/18/2022 CALL \$220.00 / CUSIP: / Symbol:							
12/06/21	1.000	1,874.98	12/03/21	2,140.00	...	-265.02	Option sale to close-call ²⁵
OKTA 03/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/12/21	1.000	134.98	03/11/21	440.00	...	-305.02	Option sale to close-call ²⁵
<i>2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	249.98	03/11/21	2,200.00	...	-1,950.02	1 of 2 - Option sale to close-call ²⁵
	4.000	199.98	03/11/21	1,760.00	...	-1,560.02	2 of 2 - Option sale to close-call ²⁵
03/15/21	9.000	449.96	Various	3,960.00	...	-3,510.04	Total of 2 transactions
	Security total:	584.94		4,400.00	...	-3,815.06	

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 03/26/2021 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	4.000	379.99	03/18/21	476.00	96.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	5.000	474.98	03/18/21	1,070.00	595.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/19/21	9.000	854.97	Various	1,546.00	691.03 W	0.00	Total of 2 transactions
5 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	1.000	120.00	03/19/21	82.00	...	38.00	1 of 5 - Option sale to close-call ²⁵
	1.000	120.00	03/19/21	75.00	...	45.00	2 of 5 - Option sale to close-call ²⁵
	4.000	479.99	03/19/21	424.01	...	55.98	3 of 5 - Option sale to close-call ²⁵
	2.000	239.99	03/19/21	162.00	...	77.99	4 of 5 - Option sale to close-call ²⁵
	3.000	359.99	03/19/21	243.00	...	116.99	5 of 5 - Option sale to close-call ²⁵
03/22/21	11.000	1,319.97	Various	986.01	...	333.96	Total of 5 transactions
03/22/21	5.000	599.99	03/19/21	1,050.02	450.03 W	0.00	Option sale to close-call ²⁵
03/24/21	5.000	489.98	03/23/21	1,450.03	...	-960.05	Option sale to close-call ²⁵
	Security total:	3,264.91		5,032.06	1,141.06 W	-626.09	
OKTA 03/26/2021 PUT \$210.00 / CUSIP: / Symbol:							
03/19/21	5.000	3,074.97	03/19/21	2,625.00	...	449.97	Option sale to close-put ²⁵
OKTA 04/01/2021 CALL \$230.00 / CUSIP: / Symbol:							
3 transactions for 03/25/21. Total proceeds and cost reported to the IRS.							
	1.000	119.99	03/24/21	201.00	81.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	120.00	03/24/21	201.00	81.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	2.000	239.99	03/24/21	402.00	162.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
03/25/21	4.000	479.98	Various	804.00	324.02 W	0.00	Total of 3 transactions
4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	2.000	19.99	03/26/21	242.01	...	-222.02	1 of 4 - Option sale to close-call ²⁵
	1.000	9.99	03/26/21	121.01	...	-111.02	2 of 4 - Option sale to close-call ²⁵
	1.000	10.00	03/26/21	121.00	...	-111.00	3 of 4 - Option sale to close-call ²⁵
	1.000	10.00	03/26/21	40.00	...	-30.00	4 of 4 - Option sale to close-call ²⁵
03/30/21	5.000	49.98	Various	524.02	...	-474.04	Total of 4 transactions
	Security total:	529.96		1,328.02	324.02 W	-474.04	

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 04/01/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/17/21	1.000	99.98	03/17/21	107.00	7.02 W	0.00	Option sale to close-call ²⁵
	2.000	79.99	03/17/21	214.00	...	-134.01	1 of 2 - Option sale to close-call ²⁵
	1.000	39.99	03/18/21	64.02	...	-24.03	2 of 2 - Option sale to close-call ²⁵
03/23/21	3.000	119.98	Various	278.02	...	-158.04	Total of 2 transactions
	Security total:	219.96		385.02	7.02 W	-158.04	
OKTA 04/09/2021 CALL \$230.00 / CUSIP: / Symbol:							
04/01/21	1.000	523.98	03/31/21	287.00	...	236.98	Option sale to close-call ²⁵
OKTA 04/09/2021 CALL \$240.00 / CUSIP: / Symbol:							
	4 transactions for 04/09/21. Total proceeds and cost reported to the IRS.						
	1.000	260.00	04/08/21	189.00	...	71.00	1 of 4 - Option sale to close-call ²⁵
	1.000	260.00	04/08/21	189.00	...	71.00	2 of 4 - Option sale to close-call ²⁵
	1.000	260.00	04/08/21	189.00	...	71.00	3 of 4 - Option sale to close-call ²⁵
	17.000	4,419.93	04/08/21	3,213.00	...	1,206.93	4 of 4 - Option sale to close-call ²⁵
04/09/21	20.000	5,199.93	Various	3,780.00	...	1,419.93	Total of 4 transactions
OKTA 04/16/2021 CALL \$262.50 / CUSIP: / Symbol:							
04/15/21	7.000	3,359.97	04/14/21	1,806.00	...	1,553.97	Option sale to close-call ²⁵
OKTA 04/16/2021 PUT \$260.00 / CUSIP: / Symbol:							
	2 transactions for 04/13/21. Total proceeds and cost reported to the IRS.						
	2.000	1,059.99	04/13/21	850.00	...	209.99	1 of 2 - Option sale to close-put ²⁵
	3.000	1,589.98	04/13/21	1,275.00	...	314.98	2 of 2 - Option sale to close-put ²⁵
04/13/21	5.000	2,649.97	Various	2,125.00	...	524.97	Total of 2 transactions
OKTA 04/16/2021 PUT \$265.00 / CUSIP: / Symbol:							
	7 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	10.000	1,029.97	04/15/21	2,000.00	...	-970.03	1 of 7 - Option sale to close-put ²⁵
	10.000	1,029.97	04/15/21	1,560.00	...	-530.03	2 of 7 - Option sale to close-put ²⁵
	1.000	103.00	04/15/21	117.00	...	-14.00	3 of 7 - Option sale to close-put ²⁵
	10.000	1,029.97	04/15/21	970.00	...	59.97	4 of 7 - Option sale to close-put ²⁵
	2.000	205.99	04/15/21	100.00	...	105.99	5 of 7 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 04/16/2021 PUT \$265.00 / CUSIP: / Symbol: (cont'd)							
	5.000	514.99	04/15/21	250.00	...	264.99	6 of 7 - Option sale to close-put ²⁵
	10.000	1,029.98	04/15/21	660.00	...	369.98	7 of 7 - Option sale to close-put ²⁵
04/16/21	48.000	4,943.87	Various	5,657.00	...	-713.13	Total of 7 transactions
OKTA 04/23/2021 PUT \$260.00 / CUSIP: / Symbol:							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	04/21/21	1,275.00	...	-1,275.00	1 of 2 - Option expiration- long put
	5.000	0.00	04/21/21	415.00	...	-415.00	2 of 2 - Option expiration- long put
04/23/21	10.000	0.00	Various	1,690.00	...	-1,690.00	Total of 2 transactions
OKTA 04/30/2021 CALL \$280.00 / CUSIP: / Symbol:							
3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	2.000	1,289.99	04/23/21	1,120.00	...	169.99	1 of 3 - Option sale to close-call ²⁵
	2.000	1,289.99	04/23/21	1,120.00	...	169.99	2 of 3 - Option sale to close-call ²⁵
	6.000	3,869.96	04/23/21	3,300.00	...	569.96	3 of 3 - Option sale to close-call ²⁵
04/23/21	10.000	6,449.94	Various	5,540.00	...	909.94	Total of 3 transactions
OKTA 04/30/2021 CALL \$285.00 / CUSIP: / Symbol:							
4 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	3.000	119.99	04/27/21	1,080.00	...	-960.01	1 of 4 - Option sale to close-call ²⁵
	1.000	40.00	04/27/21	360.00	...	-320.00	2 of 4 - Option sale to close-call ²⁵
	1.000	40.00	04/27/21	360.00	...	-320.00	3 of 4 - Option sale to close-call ²⁵
	5.000	199.98	04/29/21	725.00	...	-525.02	4 of 4 - Option sale to close-call ²⁵
04/29/21	10.000	399.97	Various	2,525.00	...	-2,125.03	Total of 4 transactions
OKTA 05/07/2021 PUT \$232.50 / CUSIP: / Symbol:							
05/06/21	5.000	1,774.98	05/06/21	1,080.00	...	694.98	Option sale to close-put ²⁵
OKTA 05/14/2021 CALL \$230.00 / CUSIP: / Symbol:							
3 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	10.000	929.97	05/12/21	3,400.00	...	-2,470.03	1 of 3 - Option sale to close-call ²⁵
	17.000	1,580.96	05/13/21	2,210.00	...	-629.04	2 of 3 - Option sale to close-call ²⁵
	3.000	278.99	05/13/21	390.00	...	-111.01	3 of 3 - Option sale to close-call ²⁵
05/13/21	30.000	2,789.92	Various	6,000.00	...	-3,210.08	Total of 3 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 05/14/2021 PUT \$220.00 / CUSIP: / Symbol:							
05/13/21	20.000	6,899.92	05/13/21	3,500.00	...	3,399.92	Option sale to close-put ²⁵
OKTA 05/14/2021 PUT \$225.00 / CUSIP: / Symbol:							
4 transactions for 05/12/21. Total proceeds and cost reported to the IRS.							
	10.000	3,049.96	05/11/21	5,550.00	...	-2,500.04	1 of 4 - Option sale to close-put ²⁵
	10.000	3,049.97	05/11/21	3,800.00	...	-750.03	2 of 4 - Option sale to close-put ²⁵
	4.000	1,219.99	05/11/21	872.00	...	347.99	3 of 4 - Option sale to close-put ²⁵
	7.000	2,134.97	05/11/21	924.00	...	1,210.97	4 of 4 - Option sale to close-put ²⁵
05/12/21	31.000	9,454.89	Various	11,146.00	...	-1,691.11	Total of 4 transactions
OKTA 05/21/2021 CALL \$230.00 / CUSIP: / Symbol:							
3 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	5.000	2,374.98	05/14/21	2,600.00	...	-225.02	1 of 3 - Option sale to close-call ²⁵
	5.000	2,374.98	05/14/21	2,550.00	...	-175.02	2 of 3 - Option sale to close-call ²⁵
	5.000	2,374.97	05/14/21	2,050.00	...	324.97	3 of 3 - Option sale to close-call ²⁵
05/14/21	15.000	7,124.93	Various	7,200.00	...	-75.07	Total of 3 transactions
OKTA 05/21/2021 PUT \$200.00 / CUSIP: / Symbol:							
2 transactions for 05/18/21. Total proceeds and cost reported to the IRS.							
	4.000	79.98	05/17/21	160.00	...	-80.02	1 of 2 - Option sale to close-put ²⁵
	1.000	20.00	05/17/21	40.00	...	-20.00	2 of 2 - Option sale to close-put ²⁵
05/18/21	5.000	99.98	Various	200.00	...	-100.02	Total of 2 transactions
OKTA 05/28/2021 PUT \$230.00 / CUSIP: / Symbol:							
05/26/21	3.000	644.98	05/24/21	945.00	...	-300.02	Option sale to close-put ²⁵
OKTA 06/11/2021 CALL \$220.00 / CUSIP: / Symbol:							
4 transactions for 06/07/21. Total proceeds and cost reported to the IRS.							
	1.000	193.99	06/04/21	204.02	...	-10.03	1 of 4 - Option sale to close-call ²⁵
	1.000	194.00	06/04/21	200.00	...	-6.00	2 of 4 - Option sale to close-call ²⁵
	1.000	194.00	06/04/21	198.00	...	-4.00	3 of 4 - Option sale to close-call ²⁵
	1.000	193.99	06/04/21	175.00	...	18.99	4 of 4 - Option sale to close-call ²⁵
06/07/21	4.000	775.98	Various	777.02	...	-1.04	Total of 4 transactions
06/07/21	1.000	193.98	06/04/21	200.00	6.02 W	0.00	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OKTA 06/11/2021 CALL \$220.00 / CUSIP: / Symbol: (cont'd)							
Security total:		969.96		977.02		6.02 W	-1.04
OKTA 06/18/2021 PUT \$227.50 / CUSIP: / Symbol:							
2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	4.000	519.99	06/17/21	404.00	...	115.99	1 of 2 - Option sale to close-put ²⁵
	6.000	779.98	06/17/21	606.00	...	173.98	2 of 2 - Option sale to close-put ²⁵
06/17/21	10.000	1,299.97	Various	1,010.00	...	289.97	Total of 2 transactions
2 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	2.000	259.99	06/17/21	414.00	154.01 W	0.00	1 of 2 - Option sale to close-put ²⁵
	8.000	1,039.98	06/17/21	1,568.00	528.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
06/17/21	10.000	1,299.97	Various	1,982.00	682.03 W	0.00	Total of 2 transactions
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	8.000	103.98	06/17/21	1,008.02	...	-904.04	1 of 2 - Option sale to close-put ²⁵
	2.000	25.99	06/17/21	274.01	...	-248.02	2 of 2 - Option sale to close-put ²⁵
06/18/21	10.000	129.97	Various	1,282.03	...	-1,152.06	Total of 2 transactions
Security total:		2,729.91		4,274.03	682.03 W	-862.09	
OKTA 06/25/2021 CALL \$240.00 / CUSIP: / Symbol:							
3 transactions for 06/22/21. Total proceeds and cost reported to the IRS.							
	3.000	944.99	06/21/21	810.00	...	134.99	1 of 3 - Option sale to close-call ²⁵
	7.000	2,204.97	06/21/21	1,890.00	...	314.97	2 of 3 - Option sale to close-call ²⁵
06/22/21	10.000	3,149.96	06/21/21	1,570.00	...	1,579.96	3 of 3 - Option sale to close-call ²⁵
	20.000	6,299.92	Various	4,270.00	...	2,029.92	Total of 3 transactions
OKTA 06/25/2021 PUT \$235.00 / CUSIP: / Symbol:							
2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.							
	10.000	4,799.95	06/18/21	4,250.00	...	549.95	1 of 2 - Option sale to close-put ²⁵
	5.000	2,399.98	06/18/21	1,575.00	...	824.98	2 of 2 - Option sale to close-put ²⁵
06/21/21	15.000	7,199.93	Various	5,825.00	...	1,374.93	Total of 2 transactions

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OKTA 06/25/2021 PUT \$242.50 / CUSIP: / Symbol:							
4 transactions for 06/25/21. Total proceeds and cost reported to the IRS.							
	1.000	95.98	06/24/21	95.00	...	0.98	1 of 4 - Option sale to close-put ²⁵
	1.000	103.98	06/24/21	95.00	...	8.98	2 of 4 - Option sale to close-put ²⁵
	2.000	207.98	06/24/21	190.00	...	17.98	3 of 4 - Option sale to close-put ²⁵
	1.000	133.98	06/24/21	95.00	...	38.98	4 of 4 - Option sale to close-put ²⁵
06/25/21	5.000	541.92	Various	475.00	...	66.92	Total of 4 transactions
OKTA 06/25/2021 PUT \$245.00 / CUSIP: / Symbol:							
06/24/21	10.000	2,139.96	06/24/21	1,700.00	...	439.96	Option sale to close-put ²⁵
OKTA 07/02/2021 CALL \$242.50 / CUSIP: / Symbol:							
07/01/21	10.000	1,599.97	07/01/21	1,750.00	...	-150.03	Option sale to close-call ²⁵
OKTA 07/02/2021 CALL \$250.00 / CUSIP: / Symbol:							
06/29/21	10.000	3,399.96	06/29/21	2,350.00	...	1,049.96	Option sale to close-call ²⁵
OKTA 07/02/2021 PUT \$240.00 / CUSIP: / Symbol:							
07/02/21	10.000	389.97	07/01/21	1,700.00	...	-1,310.03	Option sale to close-put ²⁵
OKTA 07/02/2021 PUT \$245.00 / CUSIP: / Symbol:							
07/01/21	10.000	3,099.96	07/01/21	2,500.00	...	599.96	Option sale to close-put ²⁵
OKTA 07/09/2021 PUT \$247.50 / CUSIP: / Symbol:							
07/08/21	15.000	4,874.94	07/08/21	3,225.00	...	1,649.94	Option sale to close-put ²⁵
OKTA 07/16/2021 CALL \$247.50 / CUSIP: / Symbol:							
3 transactions for 07/09/21. Total proceeds and cost reported to the IRS.							
	1.000	619.99	07/09/21	425.00	...	194.99	1 of 3 - Option sale to close-call ²⁵
	1.000	620.00	07/09/21	400.00	...	220.00	2 of 3 - Option sale to close-call ²⁵
	10.000	6,199.95	07/09/21	5,200.00	...	999.95	3 of 3 - Option sale to close-call ²⁵
07/09/21	12.000	7,439.94	Various	6,025.00	...	1,414.94	Total of 3 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 07/23/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.							
	10.000	1,899.97	07/20/21	2,500.00	...	-600.03	1 of 2 - Option sale to close-call ²⁵
	10.000	1,899.97	07/21/21	1,200.00	...	699.97	2 of 2 - Option sale to close-call ²⁵
07/21/21	20.000	3,799.94	Various	3,700.00	...	99.94	Total of 2 transactions
OKTA 07/30/2021 CALL \$255.00 / CUSIP: / Symbol:							
4 transactions for 07/28/21. Total proceeds and cost reported to the IRS.							
	10.000	1,659.97	07/26/21	4,000.00	...	-2,340.03	1 of 4 - Option sale to close-call ²⁵
	4.000	663.99	07/26/21	1,260.00	...	-596.01	2 of 4 - Option sale to close-call ²⁵
	1.000	166.00	07/27/21	124.00	...	42.00	3 of 4 - Option sale to close-call ²⁵
	10.000	1,659.96	07/27/21	1,500.00	...	159.96	4 of 4 - Option sale to close-call ²⁵
07/28/21	25.000	4,149.92	Various	6,884.00	...	-2,734.08	Total of 4 transactions
OKTA 07/30/2021 PUT \$250.00 / CUSIP: / Symbol:							
2 transactions for 07/23/21. Total proceeds and cost reported to the IRS.							
	2.000	443.99	07/23/21	372.00	...	71.99	1 of 2 - Option sale to close-put ²⁵
	8.000	1,775.97	07/23/21	1,488.00	...	287.97	2 of 2 - Option sale to close-put ²⁵
07/23/21	10.000	2,219.96	Various	1,860.00	...	359.96	Total of 2 transactions
07/23/21	10.000	2,219.97	07/23/21	3,200.00	980.03 W	0.00	Option sale to close-put ²⁵
3 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	10.000	2,799.96	07/28/21	4,180.03	...	-1,380.07	1 of 3 - Option sale to close-put ²⁵
	2.000	559.99	07/28/21	640.00	...	-80.01	2 of 3 - Option sale to close-put ²⁵
	1.000	280.00	07/28/21	305.00	...	-25.00	3 of 3 - Option sale to close-put ²⁵
07/29/21	13.000	3,639.95	Various	5,125.03	...	-1,485.08	Total of 3 transactions
	Security total:	8,079.88		10,185.03	980.03 W	-1,125.12	
OKTA 08/06/2021 CALL \$245.00 / CUSIP: / Symbol:							
3 transactions for 08/02/21. Total proceeds and cost reported to the IRS.							
	1.000	424.98	08/02/21	300.00	...	124.98	1 of 3 - Option sale to close-call ²⁵
	2.000	849.98	08/02/21	600.00	...	249.98	2 of 3 - Option sale to close-call ²⁵
	7.000	2,974.97	08/02/21	2,100.00	...	874.97	3 of 3 - Option sale to close-call ²⁵
08/02/21	10.000	4,249.93	Various	3,000.00	...	1,249.93	Total of 3 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 08/06/2021 CALL \$250.00 / CUSIP: / Symbol:							
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	4.000	1,459.98	07/30/21	1,160.00	...	299.98	1 of 2 - Option sale to close-call ²⁵
	6.000	2,189.98	07/30/21	1,740.00	...	449.98	2 of 2 - Option sale to close-call ²⁵
07/30/21	10.000	3,649.96	Various	2,900.00	...	749.96	Total of 2 transactions
3 transactions for 08/04/21. Total proceeds and cost reported to the IRS.							
	10.000	1,599.97	08/03/21	2,300.00	...	-700.03	1 of 3 - Option sale to close-call ²⁵
	10.000	1,599.97	08/03/21	1,300.00	...	299.97	2 of 3 - Option sale to close-call ²⁵
	5.000	799.98	08/03/21	395.00	...	404.98	3 of 3 - Option sale to close-call ²⁵
08/04/21	25.000	3,999.92	Various	3,995.00	...	4.92	Total of 3 transactions
	Security total:	7,649.88		6,895.00	...	754.88	
OKTA 08/06/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 08/06/21. Total proceeds and cost reported to the IRS.							
	7.000	0.00	08/05/21	182.00	...	-182.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/05/21	20.00	...	-20.00	2 of 2 - Option expiration- long call
08/06/21	8.000	0.00	Various	202.00	...	-202.00	Total of 2 transactions
OKTA 08/13/2021 CALL \$242.50 / CUSIP: / Symbol:							
2 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	1.000	399.98	08/06/21	350.00	...	49.98	1 of 2 - Option sale to close-call ²⁵
	9.000	3,599.96	08/06/21	3,150.00	...	449.96	2 of 2 - Option sale to close-call ²⁵
08/09/21	10.000	3,999.94	Various	3,500.00	...	499.94	Total of 2 transactions
OKTA 08/13/2021 PUT \$235.00 / CUSIP: / Symbol:							
2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.							
	10.000	1,549.97	08/12/21	1,700.00	...	-150.03	1 of 2 - Option sale to close-put ²⁵
	10.000	1,549.97	08/12/21	1,150.00	...	399.97	2 of 2 - Option sale to close-put ²⁵
08/12/21	20.000	3,099.94	Various	2,850.00	...	249.94	Total of 2 transactions

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 08/20/2021 PUT \$227.50 / CUSIP: / Symbol:							
2 transactions for 08/18/21. Total proceeds and cost reported to the IRS.							
	1.000	264.98	08/18/21	210.00	...	54.98	1 of 2 - Option sale to close-put ²⁵
	14.000	3,443.95	08/18/21	2,940.00	...	503.95	2 of 2 - Option sale to close-put ²⁵
08/18/21	15.000	3,708.93	Various	3,150.00	...	558.93	Total of 2 transactions
OKTA 08/20/2021 PUT \$230.00 / CUSIP: / Symbol:							
08/20/21	15.000	689.96	08/19/21	2,685.00	...	-1,995.04	Option sale to close-put ²⁵
OKTA 08/20/2021 PUT \$237.50 / CUSIP: / Symbol:							
08/13/21	9.000	4,409.95	08/13/21	3,870.00	...	539.95	Option sale to close-put ²⁵
OKTA 08/27/2021 CALL \$262.50 / CUSIP: / Symbol:							
3 transactions for 08/26/21. Total proceeds and cost reported to the IRS.							
	1.000	349.98	08/25/21	264.00	...	85.98	1 of 3 - Option sale to close-call ²⁵
	1.000	350.00	08/25/21	264.00	...	86.00	2 of 3 - Option sale to close-call ²⁵
	8.000	2,799.96	08/25/21	2,112.00	...	687.96	3 of 3 - Option sale to close-call ²⁵
08/26/21	10.000	3,499.94	Various	2,640.00	...	859.94	Total of 3 transactions
OKTA 08/27/2021 PUT \$225.00 / CUSIP: / Symbol:							
08/24/21	6.000	119.98	08/23/21	840.00	...	-720.02	Option sale to close-put ²⁵
OKTA 08/27/2021 PUT \$242.50 / CUSIP: / Symbol:							
5 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	4.000	807.98	08/24/21	1,748.02	...	-940.04	1 of 5 - Option sale to close-put ²⁵
	3.000	605.99	08/24/21	1,110.00	...	-504.01	2 of 5 - Option sale to close-put ²⁵
	1.000	202.00	08/24/21	437.02	...	-235.02	3 of 5 - Option sale to close-put ²⁵
	1.000	201.98	08/24/21	370.00	...	-168.02	4 of 5 - Option sale to close-put ²⁵
	1.000	201.98	08/24/21	370.00	...	-168.02	5 of 5 - Option sale to close-put ²⁵
08/24/21	10.000	2,019.93	Various	4,035.04	...	-2,015.11	Total of 5 transactions
2 transactions for 08/24/21. Total proceeds and cost reported to the IRS.							
	1.000	201.98	08/24/21	370.00	168.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	4.000	807.98	08/24/21	1,480.00	672.02 W	0.00	2 of 2 - Option sale to close-put ²⁵
08/24/21	5.000	1,009.96	Various	1,850.00	840.04 W	0.00	Total of 2 transactions
	Security total:	3,029.89		5,885.04	840.04 W	-2,015.11	

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OKTA 08/27/2021 PUT \$257.50 / CUSIP: / Symbol:							
08/25/21	10.000	2,599.96	08/25/21	3,000.00	...	-400.04	Option sale to close-put ²⁵
OKTA 09/17/2021 PUT \$252.50 / CUSIP: / Symbol:							
3 transactions for 09/14/21. Total proceeds and cost reported to the IRS.							
	1.000	384.98	09/14/21	330.00	...	54.98	1 of 3 - Option sale to close-put ²⁵
	1.000	385.00	09/14/21	330.00	...	55.00	2 of 3 - Option sale to close-put ²⁵
	8.000	3,079.96	09/14/21	2,640.00	...	439.96	3 of 3 - Option sale to close-put ²⁵
09/14/21	10.000	3,849.94	Various	3,300.00	...	549.94	Total of 3 transactions
OKTA 09/24/2021 PUT \$255.00 / CUSIP: / Symbol:							
2 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	1.000	429.98	09/17/21	400.00	...	29.98	1 of 2 - Option sale to close-put ²⁵
	9.000	3,869.96	09/17/21	3,600.00	...	269.96	2 of 2 - Option sale to close-put ²⁵
09/17/21	10.000	4,299.94	Various	4,000.00	...	299.94	Total of 2 transactions
09/21/21	10.000	4,799.95	09/21/21	4,000.00	...	799.95	Option sale to close-put ²⁵
	Security total:	9,099.89		8,000.00	...	1,099.89	
OKTA 10/01/2021 CALL \$247.50 / CUSIP: / Symbol:							
09/27/21	10.000	3,799.96	09/27/21	2,700.00	...	1,099.96	Option sale to close-call ²⁵
3 transactions for 09/28/21. Total proceeds and cost reported to the IRS.							
	10.000	569.98	09/27/21	2,600.00	...	-2,030.02	1 of 3 - Option sale to close-call ²⁵
	10.000	569.97	09/28/21	890.00	...	-320.03	2 of 3 - Option sale to close-call ²⁵
	20.000	1,139.95	09/28/21	1,400.00	...	-260.05	3 of 3 - Option sale to close-call ²⁵
09/28/21	40.000	2,279.90	Various	4,890.00	...	-2,610.10	Total of 3 transactions
	Security total:	6,079.86		7,590.00	...	-1,510.14	
OKTA 10/01/2021 PUT \$230.00 / CUSIP: / Symbol:							
2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.							
	10.000	289.97	09/28/21	2,050.00	...	-1,760.03	1 of 2 - Option sale to close-put ²⁵
	5.000	144.99	09/30/21	425.00	...	-280.01	2 of 2 - Option sale to close-put ²⁵
10/01/21	15.000	434.96	Various	2,475.00	...	-2,040.04	Total of 2 transactions
OKTA 10/08/2021 CALL \$230.00 / CUSIP: / Symbol:							
10/06/21	10.000	1,999.96	10/05/21	2,300.00	...	-300.04	Option sale to close-call ²⁵

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OKTA 10/08/2021 CALL \$235.00 / CUSIP: / Symbol:							
10/07/21	10.000	2,219.96	10/07/21	2,500.00	...	-280.04	Option sale to close-call ²⁵
OKTA 10/08/2021 PUT \$227.50 / CUSIP: / Symbol:							
6 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	8.000	2,279.97	10/06/21	2,384.00	...	-104.03	1 of 6 - Option sale to close-put ²⁵
	1.000	285.00	10/06/21	310.00	...	-25.00	2 of 6 - Option sale to close-put ²⁵
	1.000	285.00	10/06/21	296.00	...	-11.00	3 of 6 - Option sale to close-put ²⁵
	1.000	285.00	10/06/21	170.00	...	115.00	4 of 6 - Option sale to close-put ²⁵
	1.000	285.00	10/06/21	170.00	...	115.00	5 of 6 - Option sale to close-put ²⁵
	8.000	2,279.96	10/06/21	1,360.00	...	919.96	6 of 6 - Option sale to close-put ²⁵
10/06/21	20.000	5,699.93	Various	4,690.00	...	1,009.93	Total of 6 transactions
OKTA 10/15/2021 CALL \$227.50 / CUSIP: / Symbol:							
2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	1.000	469.98	10/11/21	400.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	9.000	4,229.95	10/11/21	3,600.00	...	629.95	2 of 2 - Option sale to close-call ²⁵
10/11/21	10.000	4,699.93	Various	4,000.00	...	699.93	Total of 2 transactions
OKTA 10/15/2021 CALL \$260.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	5.000	89.98	10/14/21	435.00	...	-345.02	1 of 2 - Option sale to close-call ²⁵
	5.000	89.99	10/14/21	435.00	...	-345.01	2 of 2 - Option sale to close-call ²⁵
10/15/21	10.000	179.97	Various	870.00	...	-690.03	Total of 2 transactions
OKTA 10/15/2021 PUT \$230.00 / CUSIP: / Symbol:							
3 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	8.000	487.98	10/12/21	2,400.00	...	-1,912.02	1 of 3 - Option sale to close-put ²⁵
	10.000	609.97	10/12/21	1,350.00	...	-740.03	2 of 3 - Option sale to close-put ²⁵
	2.000	122.00	10/12/21	600.00	...	-478.00	3 of 3 - Option sale to close-put ²⁵
10/13/21	20.000	1,219.95	Various	4,350.00	...	-3,130.05	Total of 3 transactions
OKTA 10/15/2021 PUT \$240.00 / CUSIP: / Symbol:							
10/13/21	10.000	2,149.96	10/13/21	2,900.00	...	-750.04	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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OKTA 10/22/2021 CALL \$260.00 / CUSIP: / Symbol:							
10/20/21	10.000	1,469.97	10/20/21	2,700.00	...	-1,230.03	Option sale to close-call ²⁵
OKTA 10/22/2021 PUT \$240.00 / CUSIP: / Symbol:							
10/14/21	10.000	1,049.97	10/13/21	2,700.00	...	-1,650.03	Option sale to close-put ²⁵
OKTA 10/22/2021 PUT \$252.50 / CUSIP: / Symbol:							
10/15/21	10.000	3,949.95	10/15/21	3,650.00	...	299.95	Option sale to close-put ²⁵
OKTA 10/22/2021 PUT \$255.00 / CUSIP: / Symbol:							
2 transactions for 10/21/21. Total proceeds and cost reported to the IRS.							
	6.000	779.98	10/20/21	1,830.00	...	-1,050.02	1 of 2 - Option sale to close-put ²⁵
	4.000	519.98	10/20/21	1,220.00	...	-700.02	2 of 2 - Option sale to close-put ²⁵
10/21/21	10.000	1,299.96	Various	3,050.00	...	-1,750.04	Total of 2 transactions
OKTA 10/22/2021 PUT \$257.50 / CUSIP: / Symbol:							
2 transactions for 10/22/21. Total proceeds and cost reported to the IRS.							
	10.000	649.97	10/21/21	1,950.00	...	-1,300.03	1 of 2 - Option sale to close-put ²⁵
	3.000	194.99	10/21/21	285.00	...	-90.01	2 of 2 - Option sale to close-put ²⁵
10/22/21	13.000	844.96	Various	2,235.00	...	-1,390.04	Total of 2 transactions
OKTA 11/26/2021 PUT \$210.00 / CUSIP: / Symbol:							
2 transactions for 11/24/21. Total proceeds and cost reported to the IRS.							
	10.000	2,499.96	11/24/21	2,000.00	...	499.96	1 of 2 - Option sale to close-put ²⁵
	10.000	2,569.96	11/24/21	2,050.00	...	519.96	2 of 2 - Option sale to close-put ²⁵
11/24/21	20.000	5,069.92	Various	4,050.00	...	1,019.92	Total of 2 transactions
OKTA 11/26/2021 PUT \$215.00 / CUSIP: / Symbol:							
11/26/21	10.000	569.97	11/24/21	1,100.00	...	-530.03	Option sale to close-put ²⁵
OKTA 12/10/2021 CALL \$245.00 / CUSIP: / Symbol:							
4 transactions for 12/09/21. Total proceeds and cost reported to the IRS.							
	7.000	419.97	12/08/21	1,092.00	...	-672.03	1 of 4 - Option sale to close-call ²⁵
	1.000	60.00	12/08/21	156.00	...	-96.00	2 of 4 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OKTA 12/10/2021 CALL \$245.00 / CUSIP: / Symbol: (cont'd)							
	1.000	60.00	12/08/21	156.00	...	-96.00	3 of 4 - Option sale to close-call ²⁵
	1.000	60.00	12/08/21	156.00	...	-96.00	4 of 4 - Option sale to close-call ²⁵
12/09/21	10.000	599.97	Various	1,560.00	...	-960.03	Total of 4 transactions
OKTA 12/17/2021 CALL \$245.00 / CUSIP: / Symbol:							
12/13/21	10.000	189.97	12/10/21	800.00	...	-610.03	Option sale to close-call ²⁵
OKTA 12/17/2021 PUT \$185.00 / CUSIP: / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	4.000	91.98	12/13/21	92.00	...	-0.02	1 of 2 - Option sale to close-put ²⁵
	4.000	91.99	12/13/21	92.00	...	-0.01	2 of 2 - Option sale to close-put ²⁵
12/14/21	8.000	183.97	Various	184.00	...	-0.03	Total of 2 transactions
OKTA 12/17/2021 PUT \$190.00 / CUSIP: / Symbol:							
12/15/21	6.000	149.98	12/14/21	204.00	...	-54.02	Option sale to close-put ²⁵
OKTA 12/23/2021 CALL \$230.00 / CUSIP: / Symbol:							
2 transactions for 12/22/21. Total proceeds and cost reported to the IRS.							
	12.000	1,739.96	12/21/21	3,300.00	...	-1,560.04	1 of 2 - Option sale to close-call ²⁵
	3.000	434.99	12/21/21	825.00	...	-390.01	2 of 2 - Option sale to close-call ²⁵
12/22/21	15.000	2,174.95	Various	4,125.00	...	-1,950.05	Total of 2 transactions
OKTA 12/31/2021 PUT \$220.00 / CUSIP: / Symbol:							
12/28/21	5.000	749.98	12/23/21	1,750.00	...	-1,000.02	Option sale to close-put ²⁵
QTT 02/19/2021 PUT \$5.00 / CUSIP: / Symbol:							
01/22/21	5.000	999.96	01/21/21	975.00	...	24.96	Option sale to close-put ²⁵
SOS 04/16/2021 PUT \$5.50 / CUSIP: / Symbol:							
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	2.000	173.98	04/07/21	130.00	...	43.98	1 of 2 - Option sale to close-put ²⁵
	3.000	260.98	04/07/21	195.00	...	65.98	2 of 2 - Option sale to close-put ²⁵
04/08/21	5.000	434.96	Various	325.00	...	109.96	Total of 2 transactions

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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SPCE 02/05/2021 PUT \$35.00 / CUSIP: / Symbol:							
02/01/21	1.000	17.98	01/29/21	254.00	...	-236.02	Option sale to close-put ²⁵
02/01/21	7.000	125.98	01/28/21	1,239.00	159.00 W	-954.02	Option sale to close-put ²⁵
	Security total:	143.96		1,493.00	159.00 W	-1,190.04	
TSLA 01/22/2021 CALL \$860.00 / CUSIP: / Symbol:							
01/21/21	1.000	689.97	01/20/21	1,070.00	...	-380.03	Option sale to close-call ²⁵
TSLA 04/01/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/25/21	2.000	639.98	03/24/21	1,130.00	245.01 W	-245.01	Option sale to close-call ²⁵
03/29/21	1.000	113.98	03/26/21	440.01	...	-326.03	Option sale to close-call ²⁵
	Security total:	753.96		1,570.01	245.01 W	-571.04	
TYME 02/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
02/03/21	10.000	1,849.93	02/03/21	1,440.00	...	409.93	Option sale to close-call ²⁵
UBER 02/19/2021 PUT \$55.00 / CUSIP: / Symbol:							
02/09/21	1.000	76.98	02/05/21	162.00	...	-85.02	Option sale to close-put ²⁵
WTI 03/19/2021 PUT \$4.00 / CUSIP: / Symbol:							
03/08/21	4.000	131.98	03/05/21	120.00	...	11.98	Option sale to close-put ²⁵
XPEV 01/15/2021 CALL \$54.00 / CUSIP: / Symbol:							
	2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	4.000	355.98	01/12/21	816.00	...	-460.02	1 of 2 - Option sale to close-call ²⁵
	1.000	88.98	01/13/21	248.00	...	-159.02	2 of 2 - Option sale to close-call ²⁵
01/13/21	5.000	444.96	Various	1,064.00	...	-619.04	Total of 2 transactions
01/13/21	3.000	266.99	01/12/21	612.00	115.00 W	-230.01	Option sale to close-call ²⁵
	Security total:	711.95		1,676.00	115.00 W	-849.05	
ATA CREATIVITY GLOBAL AMERICAN DEPOSITARY SHARES / CUSIP: 00211V106 / Symbol:							
02/04/21	200.000	2,673.92	02/04/21	2,394.00	...	279.92	Sale ²⁵

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	40.000	4,814.77	03/25/21	4,781.60	...	33.17	1 of 2 - Sale ²⁵
	100.000	12,036.92	03/25/21	11,945.50	...	91.42	2 of 2 - Sale ²⁵
03/26/21	140.000	16,851.69	Various	16,727.10	...	124.59	Total of 2 transactions
AVEO PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 053588307 / Symbol:							
03/10/21	300.000	3,839.94	03/10/21	4,221.00	...	-381.06	Sale ²⁵
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
5 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	25.000	517.49	06/08/21	440.50	...	76.99	1 of 5 - Sale ²⁵
	100.000	2,069.97	06/08/21	1,762.00	...	307.97	2 of 5 - Sale ²⁵
	125.000	2,587.47	06/08/21	2,202.50	...	384.97	3 of 5 - Sale ²⁵
	500.000	9,059.89	06/08/21	8,333.00	...	726.89	4 of 5 - Sale ²⁵
	250.000	5,174.94	06/08/21	4,405.00	...	769.94	5 of 5 - Sale ²⁵
06/08/21	1,000.000	19,409.76	Various	17,143.00	...	2,266.76	Total of 5 transactions
06/10/21	800.000	12,359.83	06/10/21	12,335.60	...	24.23	Sale ²⁵
	Security total:	31,769.59		29,478.60	...	2,290.99	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
12 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	82.000	1,721.97	04/07/21	1,746.64	...	-24.67	1 of 12 - Sale ²⁵
	195.000	4,094.95	04/07/21	4,114.50	...	-19.55	2 of 12 - Sale ²⁵
	90.000	1,889.98	04/07/21	1,908.02	...	-18.04	3 of 12 - Sale ²⁵
	80.000	1,679.98	04/07/21	1,696.02	...	-16.04	4 of 12 - Sale ²⁵
	100.000	2,099.97	04/07/21	2,110.00	...	-10.03	5 of 12 - Sale ²⁵
	90.000	1,889.98	04/07/21	1,899.00	...	-9.02	6 of 12 - Sale ²⁵
	20.000	420.00	04/07/21	424.00	...	-4.00	7 of 12 - Sale ²⁵
	10.000	210.00	04/07/21	213.01	...	-3.01	8 of 12 - Sale ²⁵
	14.000	294.00	04/07/21	296.80	...	-2.80	9 of 12 - Sale ²⁵
	4.000	84.00	04/07/21	85.20	...	-1.20	10 of 12 - Sale ²⁵
	10.000	210.00	04/07/21	211.00	...	-1.00	11 of 12 - Sale ²⁵
	1.000	21.00	04/07/21	21.10	...	-0.10	12 of 12 - Sale ²⁵
04/07/21	696.000	14,615.83	Various	14,725.29	...	-109.46	Total of 12 transactions

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Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
6 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	96.000	2,015.97	04/07/21	2,025.60	9.23 W	-0.40	1 of 6 - Sale ²⁵
	104.000	2,183.98	04/07/21	2,194.40	10.02 W	-0.40	2 of 6 - Sale ²⁵
	4.000	84.00	04/07/21	84.40	0.40 W	0.00	3 of 6 - Sale ²⁵
	4.000	84.00	04/07/21	84.80	0.80 W	0.00	4 of 6 - Sale ²⁵
	92.000	1,931.98	04/07/21	1,950.43	18.45 W	0.00	5 of 6 - Sale ²⁵
	104.000	2,183.98	04/07/21	2,194.40	10.42 W	0.00	6 of 6 - Sale ²⁵
04/07/21	404.000	8,483.91	Various	8,534.03	49.32 W	-0.80	Total of 6 transactions
	Security total:	23,099.74		23,259.32	49.32 W	-110.26	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
06/10/21	1,000.000	13,789.80	06/09/21	13,707.90	...	81.90	Sale ²⁵
3 transactions for 06/11/21. Total proceeds and cost reported to the IRS.							
	200.000	2,743.96	06/10/21	2,721.90	...	22.06	1 of 3 - Sale ²⁵
	400.000	5,487.92	06/10/21	5,443.80	...	44.12	2 of 3 - Sale ²⁵
	600.000	8,231.88	06/10/21	8,165.70	...	66.18	3 of 3 - Sale ²⁵
06/11/21	1,200.000	16,463.76	Various	16,331.40	...	132.36	Total of 3 transactions
	Security total:	30,253.56		30,039.30	...	214.26	
GLOBALSTAR, INC. / CUSIP: 378973408 / Symbol:							
9 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	40.000	109.60	02/09/21	75.60	...	34.00	1 of 9 - Sale ²⁵
	50.000	136.99	02/09/21	94.50	...	42.49	2 of 9 - Sale ²⁵
	50.000	136.99	02/09/21	94.50	...	42.49	3 of 9 - Sale ²⁵
	50.000	137.00	02/09/21	94.50	...	42.50	4 of 9 - Sale ²⁵
	100.000	273.98	02/09/21	189.00	...	84.98	5 of 9 - Sale ²⁵
	100.000	273.98	02/09/21	189.00	...	84.98	6 of 9 - Sale ²⁵
	100.000	273.98	02/09/21	189.00	...	84.98	7 of 9 - Sale ²⁵
	110.000	301.38	02/09/21	207.90	...	93.48	8 of 9 - Sale ²⁵
	400.000	1,095.93	02/09/21	754.00	...	341.93	9 of 9 - Sale ²⁵
02/10/21	1,000.000	2,739.83	Various	1,888.00	...	851.83	Total of 9 transactions

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(continued)

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
03/24/21	100.000	1,510.36	03/23/21	1,635.95	125.59 W	0.00	Sale ²⁵
	4 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	4.000	49.08	03/24/21	57.85	8.77 W	0.00	1 of 4 - Sale ²⁵
	40.000	491.20	03/24/21	578.50	87.30 W	0.00	2 of 4 - Sale ²⁵
	56.000	685.99	03/24/21	809.89	123.90 W	0.00	3 of 4 - Sale ²⁵
	100.000	1,224.98	03/24/21	1,320.65	95.67 W	0.00	4 of 4 - Sale ²⁵
03/25/21	200.000	2,451.25	Various	2,766.89	315.64 W	0.00	Total of 4 transactions
03/29/21	700.000	9,365.86	03/26/21	9,359.00	...	6.86	Sale ²⁵
	4 transactions for 03/29/21. Total proceeds and cost reported to the IRS.						
	4.000	53.52	03/26/21	62.25	8.73 W	0.00	1 of 4 - Sale ²⁵
	40.000	535.19	03/26/21	622.10	86.91 W	0.00	2 of 4 - Sale ²⁵
	56.000	749.27	03/26/21	872.62	123.35 W	0.00	3 of 4 - Sale ²⁵
	100.000	1,337.98	03/26/21	1,432.67	94.69 W	0.00	4 of 4 - Sale ²⁵
03/29/21	200.000	2,675.96	Various	2,989.64	313.68 W	0.00	Total of 4 transactions
03/30/21	30.000	362.39	03/30/21	349.20	...	13.19	Sale ²⁵
	7 transactions for 03/30/21. Total proceeds and cost reported to the IRS.						
	4.000	48.32	03/29/21	58.73	10.41 W	0.00	1 of 7 - Sale ²⁵
	40.000	483.19	03/29/21	586.91	103.72 W	0.00	2 of 7 - Sale ²⁵
	56.000	676.47	03/29/21	823.35	146.88 W	0.00	3 of 7 - Sale ²⁵
	100.000	1,207.98	03/29/21	1,344.69	136.71 W	0.00	4 of 7 - Sale ²⁵
	184.000	2,222.69	03/29/21	2,300.00	77.31 W	0.00	5 of 7 - Sale ²⁵
	216.000	2,609.24	03/29/21	2,700.00	90.76 W	0.00	6 of 7 - Sale ²⁵
	400.000	4,831.93	03/29/21	5,000.00	168.07 W	0.00	7 of 7 - Sale ²⁵
03/30/21	1,000.000	12,079.82	Various	12,813.68	733.86 W	0.00	Total of 7 transactions
	10 transactions for 04/01/21. Total proceeds and cost reported to the IRS.						
	4.000	68.00	03/30/21	59.99	...	8.01	1 of 10 - Sale ²⁵
	40.000	679.99	03/30/21	599.52	...	80.47	2 of 10 - Sale ²⁵
	56.000	951.99	03/30/21	841.00	...	110.99	3 of 10 - Sale ²⁵
	100.000	1,699.98	03/30/21	1,376.21	...	323.77	4 of 10 - Sale ²⁵
	84.000	1,427.98	03/30/21	1,076.47	...	351.51	5 of 10 - Sale ²⁵
	100.000	1,699.98	03/30/21	1,281.52	...	418.46	6 of 10 - Sale ²⁵
	100.000	1,699.98	03/30/21	1,281.52	...	418.46	7 of 10 - Sale ²⁵
	116.000	1,971.97	03/30/21	1,486.56	...	485.41	8 of 10 - Sale ²⁵

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	184.000	3,127.96	03/30/21	2,358.91	...	769.05	9 of 10 - Sale ²⁵
	196.000	3,331.96	03/30/21	2,512.76	...	819.20	10 of 10 - Sale ²⁵
04/01/21	980.000	16,659.79	Various	12,874.46	...	3,785.33	Total of 10 transactions
	2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	20.000	282.60	04/07/21	302.20	19.60 W	0.00	1 of 2 - Sale ²⁵
	1,280.000	18,086.15	04/07/21	18,803.20	717.05 W	0.00	2 of 2 - Sale ²⁵
04/08/21	1,300.000	18,368.75	Various	19,105.40	736.65 W	0.00	Total of 2 transactions
	2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.						
	20.000	282.00	04/09/21	301.60	19.60 W	0.00	1 of 2 - Sale ²⁵
	1,280.000	18,047.75	04/09/21	18,765.05	717.30 W	0.00	2 of 2 - Sale ²⁵
04/12/21	1,300.000	18,329.75	Various	19,066.65	736.90 W	0.00	Total of 2 transactions
	7 transactions for 04/16/21. Total proceeds and cost reported to the IRS.						
	20.000	215.50	04/14/21	282.20	66.70 W	0.00	1 of 7 - Sale ²⁵
	32.000	344.79	04/14/21	397.76	52.97 W	0.00	2 of 7 - Sale ²⁵
	68.000	732.69	04/14/21	845.24	112.55 W	0.00	3 of 7 - Sale ²⁵
	100.000	1,077.48	04/14/21	1,299.04	221.56 W	0.00	4 of 7 - Sale ²⁵
	100.000	1,077.48	04/14/21	1,368.04	290.56 W	0.00	5 of 7 - Sale ²⁵
	200.000	2,154.97	04/14/21	2,597.68	442.71 W	0.00	6 of 7 - Sale ²⁵
	880.000	9,481.84	04/14/21	12,047.54	2,565.70 W	0.00	7 of 7 - Sale ²⁵
04/16/21	1,400.000	15,084.75	Various	18,837.50	3,752.75 W	0.00	Total of 7 transactions
	3 transactions for 04/27/21. Total proceeds and cost reported to the IRS.						
	20.000	538.19	04/26/21	534.70	...	3.49	1 of 3 - Sale ²⁵
	50.000	1,345.49	04/26/21	1,315.28	...	30.21	2 of 3 - Sale ²⁵
	880.000	23,680.57	04/26/21	23,157.70	...	522.87	3 of 3 - Sale ²⁵
04/27/21	950.000	25,564.25	Various	25,007.68	...	556.57	Total of 3 transactions
	29 transactions for 05/05/21. Total proceeds and cost reported to the IRS.						
	1.000	15.16	04/29/21	25.86	10.70 W	0.00	1 of 29 - Sale ²⁵
	16.000	242.60	04/29/21	417.69	175.09 W	0.00	2 of 29 - Sale ²⁵
	18.000	272.92	04/29/21	459.63	186.71 W	0.00	3 of 29 - Sale ²⁵
	18.000	273.06	04/29/21	381.33	108.27 W	0.00	4 of 29 - Sale ²⁵
	20.000	303.24	04/29/21	579.22	275.98 W	0.00	5 of 29 - Sale ²⁵
	23.000	348.73	04/29/21	600.20	251.47 W	0.00	6 of 29 - Sale ²⁵
	27.000	409.38	04/29/21	704.59	295.21 W	0.00	7 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	32.000	485.19	04/29/21	817.14	331.95 W	0.00	8 of 29 - Sale ²⁵
	32.000	485.43	04/29/21	677.93	192.50 W	0.00	9 of 29 - Sale ²⁵
	34.000	515.51	04/29/21	887.60	372.09 W	0.00	10 of 29 - Sale ²⁵
	50.000	758.11	04/29/21	1,203.18	445.07 W	0.00	11 of 29 - Sale ²⁵
	50.000	758.11	04/29/21	1,555.75	797.64 W	0.00	12 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,058.76	300.27 W	0.00	13 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,086.68	328.19 W	0.00	14 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,086.78	328.29 W	0.00	15 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,087.28	328.79 W	0.00	16 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,303.64	545.15 W	0.00	17 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,304.19	545.70 W	0.00	18 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,304.63	546.14 W	0.00	19 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,304.64	546.15 W	0.00	20 of 29 - Sale ²⁵
	50.000	758.49	04/29/21	1,338.24	579.75 W	0.00	21 of 29 - Sale ²⁵
	50.000	758.54	04/29/21	1,086.18	327.64 W	0.00	22 of 29 - Sale ²⁵
	50.000	758.54	04/29/21	1,120.78	362.24 W	0.00	23 of 29 - Sale ²⁵
	100.000	1,517.08	04/29/21	2,174.35	657.27 W	0.00	24 of 29 - Sale ²⁵
	21.000	300.30	05/05/21	532.00	231.70 W	0.00	25 of 29 - Sale ²⁵
	29.000	414.69	05/05/21	733.79	319.10 W	0.00	26 of 29 - Sale ²⁵
	50.000	714.99	05/05/21	1,265.70	550.71 W	0.00	27 of 29 - Sale ²⁵
	50.000	714.99	05/05/21	1,266.14	551.15 W	0.00	28 of 29 - Sale ²⁵
	50.000	714.99	05/05/21	1,266.15	551.16 W	0.00	29 of 29 - Sale ²⁵
05/05/21	1,171.000	17,587.97	Various	28,630.05	11,042.08 W	0.00	Total of 29 transactions
	16 transactions for 05/06/21. Total proceeds and cost reported to the IRS.						
	50.000	716.49	05/05/21	1,511.64	...	-795.15	1 of 16 - Sale ²⁵
	50.000	716.49	05/05/21	1,265.16	...	-548.67	2 of 16 - Sale ²⁵
	50.000	716.49	05/05/21	1,265.15	...	-548.66	3 of 16 - Sale ²⁵
	50.000	716.49	05/05/21	1,264.71	...	-548.22	4 of 16 - Sale ²⁵
	50.000	716.49	05/05/21	1,159.07	...	-442.58	5 of 16 - Sale ²⁵
	34.000	487.21	05/05/21	857.61	...	-370.40	6 of 16 - Sale ²⁵
	32.000	458.55	05/05/21	788.91	...	-330.36	7 of 16 - Sale ²⁵
	29.000	415.56	05/05/21	733.22	...	-317.66	8 of 16 - Sale ²⁵
	27.000	386.90	05/05/21	680.77	...	-293.87	9 of 16 - Sale ²⁵
	20.000	286.60	05/05/21	561.58	...	-274.98	10 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	21.000	300.93	05/05/21	531.58	...	-230.65	11 of 16 - Sale ²⁵
	18.000	257.94	05/05/21	443.75	...	-185.81	12 of 16 - Sale ²⁵
	16.000	229.28	05/05/21	403.57	...	-174.29	13 of 16 - Sale ²⁵
	2.000	28.66	05/05/21	50.43	...	-21.77	14 of 16 - Sale ²⁵
	1.000	14.33	05/05/21	24.98	...	-10.65	15 of 16 - Sale ²⁵
	550.000	7,881.39	05/05/21	7,854.00	...	27.39	16 of 16 - Sale ²⁵
05/06/21	1,000.000	14,329.80	Various	19,396.13	...	-5,066.33	Total of 16 transactions
06/17/21	500.000	10,499.88	06/11/21	10,815.00	315.12 W	0.00	Sale ²⁵
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	50.000	874.48	06/25/21	859.00	...	15.48	1 of 2 - Sale ²⁵
	50.000	874.49	06/25/21	858.00	...	16.49	2 of 2 - Sale ²⁵
06/28/21	100.000	1,748.97	Various	1,717.00	...	31.97	Total of 2 transactions
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	19.000	332.31	06/25/21	338.01	5.70 W	0.00	1 of 2 - Sale ²⁵
	481.000	8,412.59	06/25/21	8,557.11	144.52 W	0.00	2 of 2 - Sale ²⁵
06/28/21	500.000	8,744.90	Various	8,895.12	150.22 W	0.00	Total of 2 transactions
	2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	19.000	321.32	06/30/21	329.27	7.95 W	0.00	1 of 2 - Sale ²⁵
	481.000	8,134.42	06/30/21	8,335.95	201.53 W	0.00	2 of 2 - Sale ²⁵
07/01/21	500.000	8,455.74	Various	8,665.22	209.48 W	0.00	Total of 2 transactions
	5 transactions for 07/08/21. Total proceeds and cost reported to the IRS.						
	1.000	15.12	07/02/21	16.27	1.15 W	0.00	1 of 5 - Sale ²⁵
	19.000	287.38	07/02/21	309.10	21.72 W	0.00	2 of 5 - Sale ²⁵
	80.000	1,209.98	07/02/21	1,301.52	91.54 W	0.00	3 of 5 - Sale ²⁵
	200.000	3,024.96	07/02/21	3,253.79	228.83 W	0.00	4 of 5 - Sale ²⁵
	200.000	3,024.96	07/02/21	3,253.80	228.84 W	0.00	5 of 5 - Sale ²⁵
07/08/21	500.000	7,562.40	Various	8,134.48	572.08 W	0.00	Total of 5 transactions
	6 transactions for 07/19/21. Total proceeds and cost reported to the IRS.						
	200.000	2,722.18	07/16/21	2,948.84	...	-226.66	1 of 6 - Sale ²⁵
	200.000	2,722.18	07/16/21	2,948.83	...	-226.65	2 of 6 - Sale ²⁵
	80.000	1,088.87	07/16/21	1,179.54	...	-90.67	3 of 6 - Sale ²⁵
	19.000	258.61	07/16/21	280.12	...	-21.51	4 of 6 - Sale ²⁵
	1.000	13.61	07/16/21	14.75	...	-1.14	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	150.000	2,041.63	07/16/21	2,040.00	...	1.63	6 of 6 - Sale ²⁵
07/19/21	650.000	8,847.08	Various	9,412.08	...	-565.00	Total of 6 transactions
	Security total:	200,229.67		220,471.13	19,004.05 W	-1,237.41	
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
4 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	7.000	281.05	04/01/21	277.90	...	3.15	1 of 4 - Sale ²⁵
	50.000	2,007.48	04/01/21	1,985.00	...	22.48	2 of 4 - Sale ²⁵
	100.000	4,014.97	04/01/21	3,970.00	...	44.97	3 of 4 - Sale ²⁵
	543.000	21,801.27	04/01/21	21,557.10	...	244.17	4 of 4 - Sale ²⁵
04/06/21	700.000	28,104.77	Various	27,790.00	...	314.77	Total of 4 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
02/03/21	1,000.000	2,970.91	02/02/21	3,030.00	59.09 W	0.00	Sale ²⁵
3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	10.000	61.80	02/05/21	49.09	...	12.71	1 of 3 - Sale ²⁵
	40.000	247.19	02/05/21	194.66	...	52.53	2 of 3 - Sale ²⁵
	750.000	4,634.80	02/05/21	4,356.82	...	277.98	3 of 3 - Sale ²⁵
02/05/21	800.000	4,943.79	Various	4,600.57	...	343.22	Total of 3 transactions
2 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	30.000	294.62	02/26/21	326.10	31.48 W	0.00	1 of 2 - Sale ²⁵
	200.000	1,964.16	02/26/21	2,211.22	247.06 W	0.00	2 of 2 - Sale ²⁵
03/01/21	230.000	2,258.78	Various	2,537.32	278.54 W	0.00	Total of 2 transactions
5 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	21.000	170.10	03/10/21	196.46	26.36 W	0.00	1 of 5 - Sale ²⁵
	30.000	242.99	03/10/21	275.08	32.09 W	0.00	2 of 5 - Sale ²⁵
	100.000	809.98	03/10/21	937.55	127.57 W	0.00	3 of 5 - Sale ²⁵
	170.000	1,376.97	03/10/21	1,380.40	3.43 W	0.00	4 of 5 - Sale ²⁵
	179.000	1,449.87	03/10/21	1,674.60	224.73 W	0.00	5 of 5 - Sale ²⁵
03/11/21	500.000	4,049.91	Various	4,464.09	414.18 W	0.00	Total of 5 transactions
8 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	10.000	87.70	03/16/21	108.65	20.95 W	0.00	1 of 8 - Sale ²⁵
	11.000	96.47	03/16/21	97.90	1.43 W	0.00	2 of 8 - Sale ²⁵

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(continued)

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	21.000	184.17	03/16/21	228.17	44.00 W	0.00	3 of 8 - Sale ²⁵
	30.000	263.09	03/16/21	320.39	57.30 W	0.00	4 of 8 - Sale ²⁵
	69.000	605.12	03/16/21	749.72	144.60 W	0.00	5 of 8 - Sale ²⁵
	100.000	876.98	03/16/21	961.00	84.02 W	0.00	6 of 8 - Sale ²⁵
	100.000	876.98	03/16/21	1,088.57	211.59 W	0.00	7 of 8 - Sale ²⁵
	170.000	1,490.87	03/16/21	1,637.13	146.26 W	0.00	8 of 8 - Sale ²⁵
03/17/21	511.000	4,481.38	Various	5,191.53	710.15 W	0.00	Total of 8 transactions
	2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.						
	10.000	81.00	03/22/21	106.15	25.15 W	0.00	1 of 2 - Sale ²⁵
	90.000	728.98	03/22/21	957.23	228.25 W	0.00	2 of 2 - Sale ²⁵
03/23/21	100.000	809.98	Various	1,063.38	253.40 W	0.00	Total of 2 transactions
	10 transactions for 04/01/21. Total proceeds and cost reported to the IRS.						
	90.000	612.12	03/31/21	840.25	...	-228.13	1 of 10 - Sale ²⁵
	170.000	1,156.23	03/31/21	1,302.26	...	-146.03	2 of 10 - Sale ²⁵
	69.000	469.29	03/31/21	613.80	...	-144.51	3 of 10 - Sale ²⁵
	100.000	680.14	03/31/21	764.02	...	-83.88	4 of 10 - Sale ²⁵
	30.000	204.04	03/31/21	261.30	...	-57.26	5 of 10 - Sale ²⁵
	21.000	142.83	03/31/21	186.80	...	-43.97	6 of 10 - Sale ²⁵
	10.000	68.01	03/31/21	93.15	...	-25.14	7 of 10 - Sale ²⁵
	10.000	68.01	03/31/21	89.16	...	-21.15	8 of 10 - Sale ²⁵
	11.000	74.81	03/31/21	76.23	...	-1.42	9 of 10 - Sale ²⁵
	189.000	1,285.46	03/31/21	1,285.20	...	0.26	10 of 10 - Sale ²⁵
04/01/21	700.000	4,760.94	Various	5,512.17	...	-751.23	Total of 10 transactions
	5 transactions for 06/23/21. Total proceeds and cost reported to the IRS.						
	100.000	716.98	06/22/21	697.00	...	19.98	1 of 5 - Sale ²⁵
	100.000	717.48	06/22/21	697.00	...	20.48	2 of 5 - Sale ²⁵
	200.000	1,433.97	06/22/21	1,394.00	...	39.97	3 of 5 - Sale ²⁵
	300.000	2,150.95	06/22/21	2,091.00	...	59.95	4 of 5 - Sale ²⁵
	300.000	2,150.95	06/22/21	2,091.00	...	59.95	5 of 5 - Sale ²⁵
06/23/21	1,000.000	7,170.33	Various	6,970.00	...	200.33	Total of 5 transactions
	Security total:	31,446.02		33,369.06	1,715.36 W	-207.68	

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(continued)

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OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	90.000	20,243.42	05/07/21	21,312.00	...	-1,068.58	1 of 3 - Sale ²⁵
	40.000	8,997.07	05/10/21	9,296.07	...	-299.00	2 of 3 - Sale ²⁵
	5.000	1,124.64	05/10/21	1,146.97	...	-22.33	3 of 3 - Sale ²⁵
05/11/21	135.000	30,365.13	Various	31,755.04	...	-1,389.91	Total of 3 transactions
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	50.000	190.50	02/08/21	118.50	...	72.00	1 of 2 - Sale ²⁵
	950.000	3,609.81	02/08/21	2,251.50	...	1,358.31	2 of 2 - Sale ²⁵
02/09/21	1,000.000	3,800.31	Various	2,370.00	...	1,430.31	Total of 2 transactions
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
10/26/21	220.000	504.27	10/25/21	869.00	...	-364.73	Sale ²⁵
SHIFT4 PAYMENTS, INC. / CUSIP: 82452J109 / Symbol:							
03/15/21	4.000	369.88	03/12/21	374.58	...	-4.70	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	367.000	1,759.71	04/01/21	1,798.30	...	-38.59	1 of 2 - Sale ²⁵
	133.000	637.71	04/01/21	651.70	...	-13.99	2 of 2 - Sale ²⁵
04/05/21	500.000	2,397.42	Various	2,450.00	...	-52.58	Total of 2 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
02/09/21	1,000.000	1,359.84	02/08/21	1,150.00	...	209.84	Sale ²⁵
02/19/21	2,800.000	4,255.85	02/18/21	4,032.00	...	223.85	Sale ²⁵
02/22/21	2,000.000	3,139.69	02/19/21	3,020.00	...	119.69	Sale ²⁵
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	300.000	482.99	03/17/21	522.00	...	-39.01	1 of 2 - Sale ²⁵
	300.000	482.99	03/17/21	474.00	...	8.99	2 of 2 - Sale ²⁵
03/18/21	600.000	965.98	Various	996.00	...	-30.02	Total of 2 transactions
	Security total:	9,721.36		9,198.00	...	523.36	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	376.000	3,233.54	04/06/21	3,202.88	...	30.66	1 of 2 - Sale ²⁵
	2,624.000	22,565.97	04/06/21	22,352.02	...	213.95	2 of 2 - Sale ²⁵
04/06/21	3,000.000	25,799.51	Various	25,554.90	...	244.61	Total of 2 transactions
13 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	400.000	3,515.93	04/07/21	3,995.80	...	-479.87	1 of 13 - Sale ²⁵
	400.000	3,515.93	04/07/21	3,995.80	...	-479.87	2 of 13 - Sale ²⁵
	190.000	1,670.07	04/07/21	2,051.94	...	-381.87	3 of 13 - Sale ²⁵
	210.000	1,845.86	04/07/21	2,097.79	...	-251.93	4 of 13 - Sale ²⁵
	200.000	1,757.97	04/07/21	1,997.90	...	-239.93	5 of 13 - Sale ²⁵
	200.000	1,757.97	04/07/21	1,997.90	...	-239.93	6 of 13 - Sale ²⁵
	169.000	1,485.48	04/07/21	1,688.23	...	-202.75	7 of 13 - Sale ²⁵
	112.000	984.46	04/07/21	1,118.82	...	-134.36	8 of 13 - Sale ²⁵
	102.000	896.56	04/07/21	1,018.93	...	-122.37	9 of 13 - Sale ²⁵
	98.000	861.40	04/07/21	978.97	...	-117.57	10 of 13 - Sale ²⁵
	86.000	755.92	04/07/21	859.10	...	-103.18	11 of 13 - Sale ²⁵
	21.000	186.69	04/07/21	209.78	...	-23.09	12 of 13 - Sale ²⁵
	2.000	17.58	04/07/21	19.98	...	-2.40	13 of 13 - Sale ²⁵
04/07/21	2,190.000	19,251.82	Various	22,030.94	...	-2,779.12	Total of 13 transactions
04/07/21	500.000	4,444.91	04/07/21	4,994.75	208.94 W	-340.90	Sale ²⁵
	Security total:	49,496.24		52,580.59	208.94 W	-2,875.41	

ZW DATA ACTION TECHNOLOGIES IN C. COMMON STOCK / CUSIP: 98880R109 / Symbol:

5 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	640.000	2,860.65	02/17/21	3,686.33	...	-825.68	1 of 5 - Sale ²⁵
	25.241	113.08	02/17/21	145.64	...	-32.56	2 of 5 - Sale ²⁵
	17.587	78.79	02/17/21	101.30	...	-22.51	3 of 5 - Sale ²⁵
	10.000	44.80	02/17/21	57.60	...	-12.80	4 of 5 - Sale ²⁵
	1.172	5.25	02/17/21	6.75	...	-1.50	5 of 5 - Sale ²⁵
02/18/21	694.000	3,102.57	Various	3,997.62	...	-895.05	Total of 5 transactions
02/19/21	0.413	1.92	02/17/21	2.38	...	-0.46	Sale ²⁵
	Security total:	3,104.49		4,000.00	...	-895.51	

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Robinhood Securities LLC

Account 438746976

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
02/09/21	600.000	1,379.89	02/08/21	1,296.00	...	83.89	Sale ²⁵
03/16/21	340.000	887.35	03/15/21	859.83	...	27.52	Sale ²⁵
03/18/21	1,000.000	2,229.86	03/17/21	2,210.00	...	19.86	Sale ²⁵
03/19/21	900.000	1,818.69	03/18/21	1,863.00	44.31 W	0.00	Sale ²⁵
<i>2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.</i>							
	900.000	1,828.40	03/19/21	1,960.23	...	-131.83	1 of 2 - Sale ²⁵
	100.000	203.16	03/19/21	212.88	...	-9.72	2 of 2 - Sale ²⁵
03/22/21	1,000.000	2,031.56	Various	2,173.11	...	-141.55	Total of 2 transactions
06/24/21	5,000.000	4,234.87	06/23/21	4,220.50	...	14.37	Sale ²⁵
Security total:		12,582.22		12,622.44	44.31 W	4.09	
SGOCO GROUP, LTD ORDINARY SHAR ES (CAYMAN ISLANDS) / CUSIP: G80751129 / Symbol:							
<i>2 transactions for 07/09/21. Total proceeds and cost reported to the IRS.</i>							
	12.000	163.26	07/09/21	126.00	...	37.26	1 of 2 - Sale ²⁵
	488.000	6,639.14	07/09/21	5,124.00	...	1,515.14	2 of 2 - Sale ²⁵
07/09/21	500.000	6,802.40	Various	5,250.00	...	1,552.40	Total of 2 transactions
ENTERA BIO LTD. ORDINARY SHARE S / CUSIP: M40527109 / Symbol:							
03/11/21	500.000	2,599.92	03/11/21	2,499.40	...	100.52	Sale ²⁵
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
<i>4 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	148.65	01/27/21	101.36	...	47.29	1 of 4 - Sale ²⁵
	200.000	148.65	01/27/21	101.18	...	47.47	2 of 4 - Sale ²⁵
	300.000	222.98	01/27/21	152.07	...	70.91	3 of 4 - Sale ²⁵
	900.000	668.94	01/27/21	455.31	...	213.63	4 of 4 - Sale ²⁵
01/28/21	1,600.000	1,189.22	Various	809.92	...	379.30	Total of 4 transactions
Totals :		821,586.51		881,455.04	28,916.54 W	-30,951.99	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 438746976

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/26/21	0.23	Interest	
		02/23/21	0.32	Interest	
		02/26/21	0.21	Interest	
		03/22/21	0.03	Interest	
		03/26/21	0.07	Interest	
		03/31/21	0.29	Interest	
		04/20/21	0.40	Interest	
		04/30/21	1.16	Interest	
		05/28/21	0.79	Interest	
		06/22/21	0.12	Interest	
		06/30/21	0.26	Interest	
		07/21/21	0.44	Interest	
		07/30/21	0.36	Interest	
			4.68	Total Interest	
Interest payment		08/18/21	0.31	Interest	
		08/31/21	0.40	Interest	
		09/30/21	0.84	Interest	
		10/27/21	0.27	Interest	
		10/29/21	0.27	Interest	
		11/30/21	0.04	Interest	
		12/10/21	0.05	Interest	
		12/31/21	0.15	Interest	
			2.33	Total Interest	
			7.01	Total Interest	

Robinhood Securities LLC

Account 438746976

Detail for Miscellaneous Information

2021

02/11/2022

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/07/21	100.00	Other income	
			100.00	Total Miscellaneous income	

Robinhood Securities LLC

Account 438746976

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/20/21	-5.00	Margin interest paid	
		02/19/21	-5.00	Margin interest paid	
		03/22/21	-0.05	Margin interest paid	
		03/22/21	-5.00	Margin interest paid	
		04/20/21	-5.00	Margin interest paid	
		04/20/21	-5.28	Margin interest paid	
		05/20/21	-4.49	Margin interest paid	
		05/20/21	-5.00	Margin interest paid	
		06/21/21	-2.52	Margin interest paid	
		06/21/21	-5.00	Margin interest paid	
		07/19/21	-1.44	Margin interest paid	
		07/19/21	-5.00	Margin interest paid	
			-48.78	Total Margin interest paid	
Margin charge		08/18/21	-0.12	Margin interest paid	
		08/18/21	-5.00	Margin interest paid	
		09/17/21	-5.00	Margin interest paid	
		10/18/21	-5.00	Margin interest paid	
		11/24/21	-5.00	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-25.12	Total Margin interest paid	
			-73.90	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Instructions for Recipient

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.