

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 119938009	Statement Date: 02/11/2022 Document ID: 103T 101 1FC3	2021
	Ranjith Kudumula 9I Garden terrace North Arlington, NJ 07031 RECIPIENT'S TIN: XXX-XX-2818		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	72,342.99	77,187.98	0.00	4,529.15	-315.84
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	72,342.99	77,187.98	0.00	4,529.15	-315.84
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	72,342.99	77,187.98	0.00	4,529.15	-315.84
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 119938009

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.18
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) &	Additional information
AAPL 03/12/2021 CALL \$126.00 / CUSIP: / Symbol:								
03/08/21	1.000	23.98	03/05/21	67.00	...	-43.02		Option sale to close-call ²⁵
AAPL 03/26/2021 PUT \$125.00 / CUSIP: / Symbol:								
03/16/21	1.000	228.98	03/16/21	208.00	...	20.98		Option sale to close-put ²⁵
ABBV 02/19/2021 CALL \$110.00 / CUSIP: / Symbol:								
01/04/21	1.000	177.99	12/31/20	245.00	...	-67.01		Option sale to close-call ²⁵
01/04/21	1.000	177.99	12/31/20	242.00	64.01 W	0.00		Option sale to close-call ²⁵
01/12/21	1.000	359.98	01/11/21	384.01	...	-24.03		Option sale to close-call ²⁵
	Security total:	715.96		871.01	64.01 W	-91.04		

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABBV 03/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
3 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	2.000	165.99	02/05/21	340.00	...	-174.01	1 of 3 - Option sale to close-call ²⁵
	1.000	82.99	02/05/21	172.00	...	-89.01	2 of 3 - Option sale to close-call ²⁵
	1.000	83.00	02/05/21	166.00	...	-83.00	3 of 3 - Option sale to close-call ²⁵
02/08/21	4.000	331.98	Various	678.00	...	-346.02	Total of 3 transactions
ABBV 07/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
03/15/21	1.000	389.98	03/12/21	350.00	...	39.98	Option sale to close-call ²⁵
ACB 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	214.99	01/29/21	141.00	...	73.99	1 of 4 - Option sale to close-call ²⁵
	1.000	214.99	01/29/21	141.00	...	73.99	2 of 4 - Option sale to close-call ²⁵
	1.000	225.98	01/29/21	141.00	...	84.98	3 of 4 - Option sale to close-call ²⁵
	1.000	225.98	01/29/21	134.00	...	91.98	4 of 4 - Option sale to close-call ²⁵
02/03/21	4.000	881.94	Various	557.00	...	324.94	Total of 4 transactions
AMAT 02/05/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	51.99	01/26/21	205.00	...	-153.01	1 of 2 - Option sale to close-call ²⁵
	1.000	51.99	01/27/21	100.00	...	-48.01	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	103.98	Various	305.00	...	-201.02	Total of 2 transactions
AMC 01/21/2022 CALL \$2.50 / CUSIP: / Symbol:							
01/21/21	1.000	180.98	01/15/21	163.00	...	17.98	Option sale to close-call ²⁵
AMC 02/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	589.97	01/26/21	170.00	...	419.97	1 of 2 - Option sale to close-call ²⁵
	3.000	2,549.93	01/26/21	537.00	...	2,012.93	2 of 2 - Option sale to close-call ²⁵
01/27/21	4.000	3,139.90	Various	707.00	...	2,432.90	Total of 2 transactions
AMC 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/03/21	2.000	137.98	03/02/21	212.00	...	-74.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 04/16/2021 CALL \$13.00 / CUSIP: / Symbol:							
03/10/21	1.000	273.98	03/09/21	198.00	...	75.98	Option sale to close-call ²⁵
AMC 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	2.000	511.99	03/16/21	512.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	255.99	03/16/21	254.00	...	1.99	2 of 2 - Option sale to close-call ²⁵
03/18/21	3.000	767.98	Various	766.00	...	1.98	Total of 2 transactions
AQN 07/16/2021 CALL \$17.50 / CUSIP: / Symbol:							
01/11/21	1.000	54.98	12/24/20	45.00	...	9.98	Option sale to close-call ²⁵
AWH 02/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/28/21	1.000	124.98	01/27/21	90.00	...	34.98	Option sale to close-call ²⁵
01/29/21	1.000	184.98	01/28/21	90.00	...	94.98	Option sale to close-call ²⁵
	Security total:	309.96		180.00	...	129.96	
BA 01/08/2021 CALL \$207.50 / CUSIP: / Symbol:							
2 transactions for 01/05/21. Total proceeds and cost reported to the IRS.							
	1.000	404.98	01/04/21	360.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	1.000	404.98	01/04/21	340.00	...	64.98	2 of 2 - Option sale to close-call ²⁵
01/05/21	2.000	809.96	Various	700.00	...	109.96	Total of 2 transactions
BILI 01/15/2021 CALL \$110.00 / CUSIP: / Symbol:							
01/06/21	1.000	276.98	01/06/21	225.00	...	51.98	Option sale to close-call ²⁵
BOX 06/18/2021 CALL \$23.00 / CUSIP: / Symbol:							
05/17/21	1.000	159.98	05/10/21	100.00	...	59.98	Option sale to close-call ²⁵
CAN 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/12/21	3.000	1,229.98	03/11/21	891.00	...	338.98	Option sale to close-call ²⁵
CLOV 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
02/05/21	1.000	50.98	02/04/21	80.00	...	-29.02	Option sale to close-call ²⁵
CLOV 08/20/2021 CALL \$12.50 / CUSIP: / Symbol:							
08/11/21	2.000	21.98	07/21/21	90.00	...	-68.02	Option sale to close-call ²⁵

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Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRSR 02/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/27/21	3.000	1,034.96	01/27/21	804.00	...	230.96	Option sale to close-call ²⁵
	<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	199.99	01/27/21	335.00	...	-135.01	1 of 2 - Option sale to close-call ²⁵
	1.000	199.99	01/27/21	293.00	...	-93.01	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	399.98	Various	628.00	...	-228.02	Total of 2 transactions
	Security total:	1,434.94		1,432.00	...	2.94	
DDD 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/08/21	1.000	243.98	01/07/21	85.00	...	158.98	Option sale to close-call ²⁵
DIS 03/19/2021 CALL \$205.00 / CUSIP: / Symbol:							
03/08/21	1.000	369.98	03/08/21	330.00	...	39.98	Option sale to close-call ²⁵
DIS 04/16/2021 CALL \$200.00 / CUSIP: / Symbol:							
03/18/21	1.000	414.98	03/17/21	585.00	...	-170.02	Option sale to close-call ²⁵
DKNG 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/05/21	1.000	228.98	02/04/21	225.00	...	3.98	Option sale to close-call ²⁵
DPW 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/18/21	1.000	329.98	02/18/21	370.00	...	-40.02	Option sale to close-call ²⁵
EBON 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
	<i>4 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	167.00	03/12/21	143.00	...	24.00	1 of 4 - Option sale to close-call ²⁵
	2.000	333.99	03/12/21	280.00	...	53.99	2 of 4 - Option sale to close-call ²⁵
	1.000	166.99	03/12/21	108.00	...	58.99	3 of 4 - Option sale to close-call ²⁵
	1.000	167.00	03/12/21	93.00	...	74.00	4 of 4 - Option sale to close-call ²⁵
03/15/21	5.000	834.98	Various	624.00	...	210.98	Total of 4 transactions
EBON 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
	<i>2 transactions for 05/17/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	14.98	05/10/21	23.00	...	-8.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.98	05/10/21	23.00	...	-8.02	2 of 2 - Option sale to close-call ²⁵
05/17/21	2.000	29.96	Various	46.00	...	-16.04	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
F 02/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
4 transactions for 02/04/21. Total proceeds and cost reported to the IRS.							
	3.000	221.99	02/01/21	237.00	...	-15.01	1 of 4 - Option sale to close-call ²⁵
	2.000	147.99	02/01/21	158.00	...	-10.01	2 of 4 - Option sale to close-call ²⁵
	1.000	74.00	02/01/21	72.00	...	2.00	3 of 4 - Option sale to close-call ²⁵
	3.000	221.98	02/02/21	201.00	...	20.98	4 of 4 - Option sale to close-call ²⁵
02/04/21	9.000	665.96	Various	668.00	...	-2.04	Total of 4 transactions
F 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
7 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	23.00	03/16/21	19.00	...	4.00	1 of 7 - Option sale to close-call ²⁵
	1.000	22.98	03/16/21	17.00	...	5.98	2 of 7 - Option sale to close-call ²⁵
	1.000	22.99	03/16/21	17.00	...	5.99	3 of 7 - Option sale to close-call ²⁵
	1.000	23.00	03/16/21	17.00	...	6.00	4 of 7 - Option sale to close-call ²⁵
	1.000	23.00	03/16/21	17.00	...	6.00	5 of 7 - Option sale to close-call ²⁵
	2.000	45.98	03/16/21	38.00	...	7.98	6 of 7 - Option sale to close-call ²⁵
	2.000	45.99	03/16/21	38.00	...	7.99	7 of 7 - Option sale to close-call ²⁵
03/18/21	9.000	206.94	Various	163.00	...	43.94	Total of 7 transactions
FEYE 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	1.000	169.98	03/12/21	164.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	1.000	208.98	03/12/21	164.00	...	44.98	2 of 2 - Option sale to close-call ²⁵
03/16/21	2.000	378.96	Various	328.00	...	50.96	Total of 2 transactions
FSLR 02/05/2021 CALL \$101.00 / CUSIP: / Symbol:							
02/02/21	1.000	168.98	02/01/21	123.00	...	45.98	Option sale to close-call ²⁵
FSLR 03/12/2021 CALL \$82.00 / CUSIP: / Symbol:							
03/10/21	1.000	47.98	03/09/21	22.00	...	25.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLR 03/19/2021 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	188.99	02/05/21	270.00	...	-81.01	1 of 2 - Option sale to close-call ²⁵
	2.000	377.98	02/17/21	326.00	...	51.98	2 of 2 - Option sale to close-call ²⁵
02/17/21	3.000	566.97	Various	596.00	...	-29.03	Total of 2 transactions
FUBO 03/12/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/10/21	3.000	83.98	03/09/21	96.00	...	-12.02	Option sale to close-call ²⁵
GEVO 03/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
4 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	1.000	279.99	02/08/21	270.00	...	9.99	1 of 4 - Option sale to close-call ²⁵
	1.000	279.99	02/08/21	270.00	...	9.99	2 of 4 - Option sale to close-call ²⁵
	1.000	329.98	02/08/21	270.00	...	59.98	3 of 4 - Option sale to close-call ²⁵
	1.000	279.99	02/10/21	320.00	...	-40.01	4 of 4 - Option sale to close-call ²⁵
02/10/21	4.000	1,169.95	Various	1,130.00	...	39.95	Total of 4 transactions
GILD 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
02/16/21	1.000	55.98	02/05/21	160.00	...	-104.02	Option sale to close-call ²⁵
GME 03/12/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/08/21	1.000	1,754.98	03/08/21	1,300.00	...	454.98	Option sale to close-call ²⁵
GS 01/15/2021 CALL \$295.00 / CUSIP: / Symbol:							
01/11/21	1.000	374.98	01/08/21	289.00	...	85.98	Option sale to close-call ²⁵
GS 02/05/2021 CALL \$285.00 / CUSIP: / Symbol:							
3 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	1.000	87.99	01/29/21	247.00	...	-159.01	1 of 3 - Option sale to close-call ²⁵
	1.000	87.99	02/01/21	160.00	...	-72.01	2 of 3 - Option sale to close-call ²⁵
	1.000	88.00	02/01/21	153.00	...	-65.00	3 of 3 - Option sale to close-call ²⁵
02/01/21	3.000	263.98	Various	560.00	...	-296.02	Total of 3 transactions

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(continued)

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GS 02/12/2021 CALL \$305.00 / CUSIP: / Symbol:							
02/04/21	5.000	974.96	02/04/21	965.00	...	9.96	Option sale to close-call ²⁵
02/08/21	2.000	273.99	02/05/21	228.00	...	45.99	Option sale to close-call ²⁵
02/08/21	1.000	136.99	02/04/21	193.00	56.01 W	0.00	Option sale to close-call ²⁵
02/10/21	1.000	149.98	02/09/21	222.01	...	-72.03	Option sale to close-call ²⁵
	Security total:	1,535.92		1,608.01	56.01 W	-16.08	
GS 04/23/2021 CALL \$350.00 / CUSIP: / Symbol:							
04/19/21	1.000	158.98	04/16/21	196.00	...	-37.02	Option sale to close-call ²⁵
HD 02/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
	2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	1.000	179.98	01/21/21	123.00	...	56.98	1 of 2 - Option sale to close-call ²⁵
	3.000	539.97	01/21/21	369.00	...	170.97	2 of 2 - Option sale to close-call ²⁵
01/22/21	4.000	719.95	Various	492.00	...	227.95	Total of 2 transactions
	2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.						
	1.000	159.99	01/21/21	125.00	...	34.99	1 of 2 - Option sale to close-call ²⁵
	1.000	159.99	01/21/21	123.00	...	36.99	2 of 2 - Option sale to close-call ²⁵
01/25/21	2.000	319.98	Various	248.00	...	71.98	Total of 2 transactions
	Security total:	1,039.93		740.00	...	299.93	
HOG 06/18/2021 CALL \$55.00 / CUSIP: / Symbol:							
05/18/21	1.000	74.98	05/17/21	115.00	...	-40.02	Option sale to close-call ²⁵
IMAX 04/16/2021 CALL \$23.00 / CUSIP: / Symbol:							
	3 transactions for 03/17/21. Total proceeds and cost reported to the IRS.						
	1.000	80.99	03/11/21	185.00	...	-104.01	1 of 3 - Option sale to close-call ²⁵
	1.000	81.00	03/11/21	185.00	...	-104.00	2 of 3 - Option sale to close-call ²⁵
	1.000	80.99	03/16/21	90.00	...	-9.01	3 of 3 - Option sale to close-call ²⁵
03/17/21	3.000	242.98	Various	460.00	...	-217.02	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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INO 02/19/2021 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	2.000	559.97	01/27/21	340.00	...	219.97	1 of 2 - Option sale to close-call ²⁵
	3.000	839.97	01/27/21	510.00	...	329.97	2 of 2 - Option sale to close-call ²⁵
01/28/21	5.000	1,399.94	Various	850.00	...	549.94	Total of 2 transactions
INTC 01/08/2021 PUT \$50.50 / CUSIP: / Symbol:							
01/07/21	5.000	14.98	01/06/21	80.00	...	-65.02	Option sale to close-put ²⁵
IPOE 02/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1.000	175.99	01/15/21	155.00	...	20.99	1 of 2 - Option sale to close-call ²⁵
	1.000	175.99	01/15/21	151.00	...	24.99	2 of 2 - Option sale to close-call ²⁵
01/19/21	2.000	351.98	Various	306.00	...	45.98	Total of 2 transactions
KNDI 02/19/2021 CALL \$12.00 / CUSIP: / Symbol:							
2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	112.98	01/27/21	94.00	...	18.98	1 of 2 - Option sale to close-call ²⁵
	1.000	112.98	01/27/21	94.00	...	18.98	2 of 2 - Option sale to close-call ²⁵
01/28/21	2.000	225.96	Various	188.00	...	37.96	Total of 2 transactions
KNDI 02/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/04/21	1.000	101.98	12/31/20	90.00	...	11.98	Option sale to close-call ²⁵
MRNA 01/22/2021 CALL \$140.00 / CUSIP: / Symbol:							
01/14/21	1.000	309.98	01/13/21	207.00	...	102.98	Option sale to close-call ²⁵
01/15/21	1.000	279.98	01/13/21	137.00	...	142.98	Option sale to close-call ²⁵
	Security total:	589.96		344.00	...	245.96	
MRNA 02/12/2021 CALL \$175.00 / CUSIP: / Symbol:							
02/03/21	1.000	554.97	02/03/21	446.00	...	108.97	Option sale to close-call ²⁵
02/04/21	1.000	569.97	02/03/21	465.00	...	104.97	Option sale to close-call ²⁵
	Security total:	1,124.94		911.00	...	213.94	
MSFT 02/05/2021 CALL \$230.00 / CUSIP: / Symbol:							
01/08/21	1.000	309.98	01/05/21	310.00	...	-0.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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MSFT 02/19/2021 CALL \$245.00 / CUSIP: / Symbol:							
01/29/21	2.000	501.97	01/28/21	970.00	...	-468.03	Option sale to close-call ²⁵
MU 03/19/2021 CALL \$95.00 / CUSIP: / Symbol:							
3 transactions for 03/16/21. Total proceeds and cost reported to the IRS.							
	4.000	395.99	03/16/21	608.00	...	-212.01	1 of 3 - Option sale to close-call ²⁵
	1.000	99.00	03/16/21	125.00	...	-26.00	2 of 3 - Option sale to close-call ²⁵
	1.000	98.99	03/16/21	100.00	...	-1.01	3 of 3 - Option sale to close-call ²⁵
03/16/21	6.000	593.98	Various	833.00	...	-239.02	Total of 3 transactions
MVIS 06/04/2021 PUT \$20.00 / CUSIP: / Symbol:							
06/04/21	1.000	24.98	06/04/21	20.00	...	4.98	Option sale to close-put ²⁵
NFLX 01/29/2021 CALL \$650.00 / CUSIP: / Symbol:							
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	203.98	01/20/21	179.00	...	24.98	1 of 2 - Option sale to close-call ²⁵
	1.000	217.98	01/20/21	179.00	...	38.98	2 of 2 - Option sale to close-call ²⁵
01/20/21	2.000	421.96	Various	358.00	...	63.96	Total of 2 transactions
4 transactions for 01/22/21. Total proceeds and cost reported to the IRS.							
	1.000	57.00	01/21/21	204.00	...	-147.00	1 of 4 - Option sale to close-call ²⁵
	1.000	56.99	01/21/21	160.00	...	-103.01	2 of 4 - Option sale to close-call ²⁵
	1.000	56.99	01/21/21	132.00	...	-75.01	3 of 4 - Option sale to close-call ²⁵
	1.000	57.00	01/22/21	78.00	...	-21.00	4 of 4 - Option sale to close-call ²⁵
01/22/21	4.000	227.98	Various	574.00	...	-346.02	Total of 4 transactions
	Security total:	649.94		932.00	...	-282.06	
NFLX 02/12/2021 CALL \$600.00 / CUSIP: / Symbol:							
02/05/21	1.000	80.98	02/04/21	145.00	...	-64.02	Option sale to close-call ²⁵
NFLX 02/19/2021 CALL \$600.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	120.99	02/04/21	305.00	...	-184.01	1 of 2 - Option sale to close-call ²⁵
	1.000	120.99	02/04/21	300.00	...	-179.01	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	241.98	Various	605.00	...	-363.02	Total of 2 transactions

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NIO 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/06/21	1.000	229.98	01/05/21	213.00	...	16.98	Option sale to close-call ²⁵
		<i>2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	281.98	01/06/21	209.00	...	72.98	1 of 2 - Option sale to close-call ²⁵
	1.000	281.98	01/07/21	163.00	...	118.98	2 of 2 - Option sale to close-call ²⁵
01/08/21	2.000	563.96	Various	372.00	...	191.96	Total of 2 transactions
	Security total:	793.94		585.00	...	208.94	
NIO 02/19/2021 CALL \$66.00 / CUSIP: / Symbol:							
02/11/21	1.000	141.98	02/10/21	222.00	...	-80.02	Option sale to close-call ²⁵
NRZ 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
		<i>2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.</i>					
	3.000	95.99	01/28/21	105.00	...	-9.01	1 of 2 - Option sale to close-call ²⁵
	2.000	63.99	01/28/21	68.00	...	-4.01	2 of 2 - Option sale to close-call ²⁵
02/03/21	5.000	159.98	Various	173.00	...	-13.02	Total of 2 transactions
OEG 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
		<i>3 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	252.99	02/16/21	320.00	...	-67.01	1 of 3 - Option sale to close-call ²⁵
	1.000	252.99	02/16/21	320.00	...	-67.01	2 of 3 - Option sale to close-call ²⁵
	1.000	252.99	02/16/21	295.00	...	-42.01	3 of 3 - Option sale to close-call ²⁵
02/17/21	3.000	758.97	Various	935.00	...	-176.03	Total of 3 transactions
OGI 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
		<i>2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	193.99	02/12/21	225.00	...	-31.01	1 of 2 - Option sale to close-call ²⁵
	1.000	193.99	02/12/21	207.00	...	-13.01	2 of 2 - Option sale to close-call ²⁵
02/16/21	2.000	387.98	Various	432.00	...	-44.02	Total of 2 transactions
PENN 01/22/2021 CALL \$115.00 / CUSIP: / Symbol:							
01/19/21	1.000	31.98	01/19/21	36.00	...	-4.02	Option sale to close-call ²⁵
PLTR 01/15/2021 CALL \$28.00 / CUSIP: / Symbol:							
01/12/21	1.000	20.98	01/11/21	34.00	...	-13.02	Option sale to close-call ²⁵

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ROOT 04/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	99.98	03/16/21	114.01	...	-14.03	1 of 2 - Option sale to close-call ²⁵
	1.000	94.99	03/16/21	90.00	...	4.99	2 of 2 - Option sale to close-call ²⁵
03/19/21	2.000	194.97	Various	204.01	...	-9.04	Total of 2 transactions
03/19/21	1.000	94.99	03/16/21	119.00	24.01 W	0.00	Option sale to close-call ²⁵
	Security total:	289.96		323.01	24.01 W	-9.04	
RUN 01/15/2021 CALL \$85.00 / CUSIP: / Symbol:							
01/06/21	1.000	259.98	01/06/21	251.00	...	8.98	Option sale to close-call ²⁵
SDC 02/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
02/10/21	1.000	4.98	01/28/21	151.00	...	-146.02	Option sale to close-call ²⁵
SLB 08/20/2021 CALL \$27.50 / CUSIP: / Symbol:							
02/03/21	1.000	214.98	01/19/21	308.00	93.02 W	0.00	Option sale to close-call ²⁵
02/05/21	1.000	267.98	01/27/21	257.02	...	10.96	Option sale to close-call ²⁵
	Security total:	482.96		565.02	93.02 W	10.96	
SNAP 01/29/2021 CALL \$53.50 / CUSIP: / Symbol:							
01/21/21	1.000	186.98	01/20/21	124.00	...	62.98	Option sale to close-call ²⁵
SNAP 02/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
01/12/21	1.000	212.98	01/11/21	217.00	...	-4.02	Option sale to close-call ²⁵
SNAP 03/19/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/12/21	1.000	137.98	03/11/21	182.00	...	-44.02	Option sale to close-call ²⁵
SNDL 03/19/2021 CALL \$5.00 / CUSIP: / Symbol:							
11 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	1.000	40.00	02/11/21	200.03	...	-160.03	1 of 11 - Option sale to close-call ²⁵
	3.000	119.99	02/11/21	225.00	...	-105.01	2 of 11 - Option sale to close-call ²⁵
	1.000	40.00	02/11/21	140.01	...	-100.01	3 of 11 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNDL 03/19/2021 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	40.00	02/11/21	95.00	...	-55.00	4 of 11 - Option sale to close-call ²⁵
	1.000	40.00	02/11/21	95.00	...	-55.00	5 of 11 - Option sale to close-call ²⁵
	1.000	40.00	02/11/21	95.00	...	-55.00	6 of 11 - Option sale to close-call ²⁵
	1.000	40.00	02/11/21	85.00	...	-45.00	7 of 11 - Option sale to close-call ²⁵
	3.000	119.99	02/12/21	186.00	...	-66.01	8 of 11 - Option sale to close-call ²⁵
	2.000	79.99	02/12/21	124.00	...	-44.01	9 of 11 - Option sale to close-call ²⁵
	2.000	79.99	02/12/21	110.00	...	-30.01	10 of 11 - Option sale to close-call ²⁵
	1.000	39.99	02/12/21	44.00	...	-4.01	11 of 11 - Option sale to close-call ²⁵
02/16/21	17.000	679.95	Various	1,399.04	...	-719.09	Total of 11 transactions
	3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	1.000	39.98	02/11/21	125.00	85.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	39.99	02/11/21	170.02	130.03 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	39.99	02/11/21	85.00	45.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
02/16/21	3.000	119.96	Various	380.02	260.06 W	0.00	Total of 3 transactions
	Security total:	799.91		1,779.06	260.06 W	-719.09	
SNDL 07/16/2021 CALL \$1.00 / CUSIP: / Symbol:							
01/28/21	5.000	354.98	01/15/21	200.00	...	154.98	Option sale to close-call ²⁵
SOS 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/16/21	1.000	399.98	02/12/21	167.00	...	232.98	Option sale to close-call ²⁵
SOS 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/16/21	2.000	719.97	02/16/21	666.00	...	53.97	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SPCE 02/05/2021 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	1.000	292.98	01/22/21	222.00	...	70.98	1 of 2 - Option sale to close-call ²⁵
	1.000	292.98	01/22/21	222.00	...	70.98	2 of 2 - Option sale to close-call ²⁵
01/25/21	2.000	585.96	Various	444.00	...	141.96	Total of 2 transactions
SPCE 02/05/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 01/26/21. Total proceeds and cost reported to the IRS.							
	1.000	230.98	01/25/21	197.00	...	33.98	1 of 3 - Option sale to close-call ²⁵
	1.000	249.98	01/25/21	197.00	...	52.98	2 of 3 - Option sale to close-call ²⁵
	1.000	249.99	01/25/21	197.00	...	52.99	3 of 3 - Option sale to close-call ²⁵
01/26/21	3.000	730.95	Various	591.00	...	139.95	Total of 3 transactions
SPWR 01/08/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/06/21	1.000	38.98	01/06/21	75.00	...	-36.02	Option sale to close-call ²⁵
SPWR 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/21/21	1.000	359.98	01/19/21	297.00	...	62.98	Option sale to close-call ²⁵
SQ 01/15/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/08/21	1.000	389.98	01/07/21	299.00	...	90.98	Option sale to close-call ²⁵
SRNE 02/19/2021 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.							
	1.000	269.98	02/03/21	180.00	...	89.98	1 of 2 - Option sale to close-call ²⁵
	1.000	269.99	02/03/21	180.00	...	89.99	2 of 2 - Option sale to close-call ²⁵
02/08/21	2.000	539.97	Various	360.00	...	179.97	Total of 2 transactions
4 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	1.000	199.99	02/03/21	158.00	...	41.99	1 of 4 - Option sale to close-call ²⁵
	1.000	199.99	02/03/21	154.00	...	45.99	2 of 4 - Option sale to close-call ²⁵
	1.000	199.99	02/03/21	152.00	...	47.99	3 of 4 - Option sale to close-call ²⁵
	1.000	202.98	02/03/21	153.00	...	49.98	4 of 4 - Option sale to close-call ²⁵
02/09/21	4.000	802.95	Various	617.00	...	185.95	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SRNE 02/19/2021 CALL \$16.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	93.99	02/09/21	297.01	...	-203.02	1 of 3 - Option sale to close-call ²⁵
	1.000	93.99	02/09/21	202.00	...	-108.01	2 of 3 - Option sale to close-call ²⁵
	1.000	93.99	02/10/21	226.00	...	-132.01	3 of 3 - Option sale to close-call ²⁵
02/11/21	3.000	281.97	Various	725.01	...	-443.04	Total of 3 transactions
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	93.99	02/09/21	189.00	95.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	94.00	02/09/21	195.00	101.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/11/21	2.000	187.99	Various	384.00	196.01 W	0.00	Total of 2 transactions
	Security total:	1,812.88		2,086.01	196.01 W	-77.12	
T 05/21/2021 CALL \$32.00 / CUSIP: / Symbol:							
3 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	1.000	53.99	04/22/21	40.00	...	13.99	1 of 3 - Option sale to close-call ²⁵
	2.000	107.98	04/22/21	80.00	...	27.98	2 of 3 - Option sale to close-call ²⁵
	2.000	107.99	04/22/21	76.00	...	31.99	3 of 3 - Option sale to close-call ²⁵
05/06/21	5.000	269.96	Various	196.00	...	73.96	Total of 3 transactions
TDOC 01/22/2021 CALL \$250.00 / CUSIP: / Symbol:							
01/14/21	1.000	67.98	01/13/21	297.00	...	-229.02	Option sale to close-call ²⁵
TGT 05/21/2021 CALL \$225.00 / CUSIP: / Symbol:							
05/19/21	1.000	49.98	05/19/21	78.00	...	-28.02	Option sale to close-call ²⁵
TIGR 01/15/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/07/21	1.000	219.98	01/06/21	193.00	...	26.98	Option sale to close-call ²⁵
TRIT 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
03/19/21	1.000	84.98	03/16/21	125.00	...	-40.02	Option sale to close-call ²⁵
TSLA 02/26/2021 CALL \$750.00 / CUSIP: / Symbol:							
02/25/21	1.000	139.98	02/25/21	281.00	...	-141.02	Option sale to close-call ²⁵
TSLA 03/12/2021 CALL \$700.00 / CUSIP: / Symbol:							
03/11/21	1.000	1,319.98	03/11/21	1,205.00	...	114.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TSLA 04/23/2021 CALL \$800.00 / CUSIP: / Symbol:							
04/20/21	1.000	141.98	04/19/21	280.00	...	-138.02	Option sale to close-call ²⁵
TSLA 05/07/2021 CALL \$700.00 / CUSIP: / Symbol:							
05/07/21	1.000	70.98	05/06/21	43.00	...	27.98	Option sale to close-call ²⁵
TSLA 05/14/2021 CALL \$700.00 / CUSIP: / Symbol:							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	1.000	132.99	05/10/21	272.00	139.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	132.99	05/10/21	198.00	65.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/10/21	2.000	265.98	Various	470.00	204.02 W	0.00	Total of 2 transactions
05/11/21	1.000	71.98	05/10/21	265.01	...	-193.03	Option sale to close-call ²⁵
05/12/21	1.000	26.98	05/11/21	136.01	...	-109.03	Option sale to close-call ²⁵
	Security total:	364.94		871.02	204.02 W	-302.06	
TTCF 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	1.000	190.99	01/12/21	145.00	...	45.99	1 of 2 - Option sale to close-call ²⁵
	1.000	190.99	01/12/21	145.00	...	45.99	2 of 2 - Option sale to close-call ²⁵
01/13/21	2.000	381.98	Various	290.00	...	91.98	Total of 2 transactions
01/14/21	2.000	451.98	01/13/21	390.00	...	61.98	Option sale to close-call ²⁵
2 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	1.000	102.99	01/13/21	195.00	92.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	205.99	01/14/21	426.00	220.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/20/21	3.000	308.98	Various	621.00	312.02 W	0.00	Total of 2 transactions
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	45.99	01/15/21	277.01	...	-231.02	1 of 3 - Option sale to close-call ²⁵
	1.000	45.99	01/15/21	260.01	...	-214.02	2 of 3 - Option sale to close-call ²⁵
	1.000	45.98	01/19/21	245.00	...	-199.02	3 of 3 - Option sale to close-call ²⁵
02/03/21	3.000	137.96	Various	782.02	...	-644.06	Total of 3 transactions
	Security total:	1,280.90		2,083.02	312.02 W	-490.10	
UAL 04/01/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/22/21	1.000	50.98	03/19/21	109.00	...	-58.02	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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UPST 03/19/2021 CALL \$120.00 / CUSIP: / Symbol:							
03/18/21	1.000	644.98	03/18/21	360.00	...	284.98	Option sale to close-call ²⁵
VLDR 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
<i>5 transactions for 01/26/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	84.98	01/26/21	40.00	...	44.98	1 of 5 - Option sale to close-call ²⁵
	1.000	84.98	01/26/21	40.00	...	44.98	2 of 5 - Option sale to close-call ²⁵
	1.000	85.00	01/26/21	40.00	...	45.00	3 of 5 - Option sale to close-call ²⁵
	3.000	224.98	01/26/21	120.00	...	104.98	4 of 5 - Option sale to close-call ²⁵
	6.000	509.97	01/26/21	240.00	...	269.97	5 of 5 - Option sale to close-call ²⁵
01/26/21	12.000	989.91	Various	480.00	...	509.91	Total of 5 transactions
VUZI 02/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
<i>3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.</i>							
	4.000	795.97	01/25/21	980.00	...	-184.03	1 of 3 - Option sale to close-call ²⁵
	1.000	199.00	01/25/21	255.02	...	-56.02	2 of 3 - Option sale to close-call ²⁵
	1.000	198.99	01/26/21	215.00	...	-16.01	3 of 3 - Option sale to close-call ²⁵
01/27/21	6.000	1,193.96	Various	1,450.02	...	-256.06	Total of 3 transactions
01/27/21	1.000	199.98	01/25/21	245.00	45.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1,393.94		1,695.02	45.02 W	-256.06	
XPEV 06/25/2021 CALL \$43.00 / CUSIP: / Symbol:							
06/23/21	1.000	41.98	06/23/21	87.00	...	-45.02	Option sale to close-call ²⁵
YALA 04/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/18/21	1.000	319.98	03/17/21	340.00	...	-20.02	Option sale to close-call ²⁵
ARK SPACE EXPLORATION & INNOVA TION ETF / CUSIP: 00214Q807 / Symbol:							
<i>2 transactions for 04/19/21. Total proceeds and cost reported to the IRS.</i>							
	10.000	208.60	04/01/21	208.79	...	-0.19	1 of 2 - Sale ²⁵
	1.000	20.86	04/01/21	20.88	...	-0.02	2 of 2 - Sale ²⁵
04/19/21	11.000	229.46	Various	229.67	...	-0.21	Total of 2 transactions

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AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	200.000	317.99	01/27/21	318.00	...	-0.01	1 of 2 - Sale ²⁵
	100.000	159.00	01/27/21	151.50	...	7.50	2 of 2 - Sale ²⁵
02/01/21	300.000	476.99	Various	469.50	...	7.49	Total of 2 transactions
AULT GLOBAL HOLDINGS, INC. / CUSIP: 05150X104 / Symbol:							
3 transactions for 02/18/21. Total proceeds and cost reported to the IRS.							
	4.000	26.48	02/17/21	24.67	...	1.81	1 of 3 - Sale ²⁵
	20.000	132.39	02/17/21	125.37	...	7.02	2 of 3 - Sale ²⁵
	100.000	661.98	02/17/21	630.50	...	31.48	3 of 3 - Sale ²⁵
02/18/21	124.000	820.85	Various	780.54	...	40.31	Total of 3 transactions
CPS TECHNOLOGIES CORP. COMMON STOCK / CUSIP: 12619F104 / Symbol:							
2 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	2.000	14.18	05/19/21	12.48	...	1.70	1 of 2 - Sale ²⁵
	18.000	127.62	05/19/21	112.29	...	15.33	2 of 2 - Sale ²⁵
05/20/21	20.000	141.80	Various	124.77	...	17.03	Total of 2 transactions
CLOVER HEALTH INVESTMENTS, COR P. CLASS A COMMON STOCK / CUSIP: 18914F103 / Symbol:							
9 transactions for 09/24/21. Total proceeds and cost reported to the IRS.							
	27.000	211.40	06/09/21	574.02	...	-362.62	1 of 9 - Sale ²⁵
	23.000	180.09	06/11/21	357.17	...	-177.08	2 of 9 - Sale ²⁵
	25.000	195.74	06/22/21	301.75	...	-106.01	3 of 9 - Sale ²⁵
	24.000	187.92	06/22/21	289.88	...	-101.96	4 of 9 - Sale ²⁵
	1.000	7.83	06/22/21	12.05	...	-4.22	5 of 9 - Sale ²⁵
	40.000	313.19	07/07/21	393.99	...	-80.80	6 of 9 - Sale ²⁵
	6.000	46.98	07/07/21	59.07	...	-12.09	7 of 9 - Sale ²⁵
	1.000	7.83	07/07/21	9.85	...	-2.02	8 of 9 - Sale ²⁵
	1.000	7.83	07/07/21	9.81	...	-1.98	9 of 9 - Sale ²⁵
09/24/21	148.000	1,158.81	Various	2,007.59	...	-848.78	Total of 9 transactions

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EMERSON RADIO CORP. / CUSIP: 291087203 / Symbol:							
5 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	115.000	144.31	03/19/21	189.47	...	-45.16	1 of 5 - Sale ²⁵
	40.000	50.20	03/19/21	65.60	...	-15.40	2 of 5 - Sale ²⁵
	5.000	6.27	03/19/21	8.20	...	-1.93	3 of 5 - Sale ²⁵
	1.000	1.26	03/19/21	1.64	...	-0.38	4 of 5 - Sale ²⁵
	34.000	42.67	03/23/21	51.00	...	-8.33	5 of 5 - Sale ²⁵
04/01/21	195.000	244.71	Various	315.91	...	-71.20	Total of 5 transactions
ENERGY FUELS INC. / CUSIP: 292671708 / Symbol:							
3 transactions for 01/20/21. Total proceeds and cost reported to the IRS.							
	6.000	22.75	01/12/21	22.68	...	0.07	1 of 3 - Sale ²⁵
	24.000	91.01	01/12/21	90.72	...	0.29	2 of 3 - Sale ²⁵
	3.000	11.64	01/12/21	11.34	...	0.30	3 of 3 - Sale ²⁵
01/20/21	33.000	125.40	Various	124.74	...	0.66	Total of 3 transactions
3 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	28.000	107.58	01/12/21	105.84	...	1.74	1 of 3 - Sale ²⁵
	39.000	149.84	01/12/21	147.42	...	2.42	2 of 3 - Sale ²⁵
	58.000	222.83	01/13/21	206.94	...	15.89	3 of 3 - Sale ²⁵
01/21/21	125.000	480.25	Various	460.20	...	20.05	Total of 3 transactions
5 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	42.000	160.94	01/13/21	149.85	...	11.09	1 of 5 - Sale ²⁵
	5.000	19.16	01/20/21	19.08	...	0.08	2 of 5 - Sale ²⁵
	50.000	191.59	01/20/21	190.75	...	0.84	3 of 5 - Sale ²⁵
	20.000	76.64	01/22/21	75.60	...	1.04	4 of 5 - Sale ²⁵
	80.000	306.55	01/22/21	302.40	...	4.15	5 of 5 - Sale ²⁵
01/25/21	197.000	754.88	Various	737.68	...	17.20	Total of 5 transactions
01/25/21	3.000	11.50	01/15/21	11.82	0.32 W	0.00	Sale ²⁵
02/01/21	47.000	199.87	01/27/21	197.30	...	2.57	Sale ²⁵
02/01/21	3.000	12.76	01/27/21	12.91	0.15 W	0.00	Sale ²⁵
3 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	50.000	213.62	01/27/21	209.90	...	3.72	1 of 3 - Sale ²⁵
	3.000	12.82	01/28/21	11.91	...	0.91	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ENERGY FUELS INC. / CUSIP: 292671708 / Symbol: (cont'd)							
	7.000	29.91	01/28/21	27.43	...	2.48	3 of 3 - Sale ²⁵
02/03/21	60.000	256.35	Various	249.24	...	7.11	Total of 3 transactions
02/05/21	40.000	167.80	01/28/21	156.76	...	11.04	Sale ²⁵
	Security total:	2,008.81		1,950.65	0.47 W	58.63	
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V102 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	25.000	26.25	01/13/21	23.04	...	3.21	1 of 2 - Sale ²⁵
	300.000	314.99	01/13/21	292.46	...	22.53	2 of 2 - Sale ²⁵
01/13/21	325.000	341.24	Various	315.50	...	25.74	Total of 2 transactions
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
05/10/21	9.000	155.52	05/06/21	163.98	7.52 W	-0.94	Sale ²⁵
05/19/21	4.000	77.60	05/06/21	72.88	...	4.72	Sale ²⁵
3 transactions for 05/20/21. Total proceeds and cost reported to the IRS.							
	2.000	39.94	05/06/21	36.44	...	3.50	1 of 3 - Sale ²⁵
	4.000	79.88	05/07/21	77.68	...	2.20	2 of 3 - Sale ²⁵
	4.000	79.88	05/11/21	70.96	...	8.92	3 of 3 - Sale ²⁵
05/20/21	10.000	199.70	Various	185.08	...	14.62	Total of 3 transactions
	Security total:	432.82		421.94	7.52 W	18.40	
LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol:							
2 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	15.000	359.25	01/27/21	399.31	40.06 W	0.00	1 of 2 - Sale ²⁵
	10.000	232.74	01/27/21	266.21	33.47 W	0.00	2 of 2 - Sale ²⁵
02/03/21	25.000	591.99	Various	665.52	73.53 W	0.00	Total of 2 transactions
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	15.000	351.30	01/27/21	399.31	48.01 W	0.00	1 of 2 - Sale ²⁵
	10.000	229.50	01/27/21	266.20	36.70 W	0.00	2 of 2 - Sale ²⁵
02/05/21	25.000	580.80	Various	665.51	84.71 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol: (cont'd)							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	15.000	344.84	01/27/21	455.30	110.46 W	0.00	1 of 2 - Sale ²⁵
02/11/21	10.000	229.89	01/27/21	298.23	68.34 W	0.00	2 of 2 - Sale ²⁵
	25.000	574.73	Various	753.53	178.80 W	0.00	Total of 2 transactions
6 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	10.000	236.62	01/27/21	304.99	68.37 W	0.00	1 of 6 - Sale ²⁵
	5.000	118.31	01/27/21	149.12	30.81 W	0.00	2 of 6 - Sale ²⁵
	5.000	118.31	01/27/21	144.28	25.97 W	0.00	3 of 6 - Sale ²⁵
	2.000	47.32	01/27/21	57.69	10.37 W	0.00	4 of 6 - Sale ²⁵
	3.000	70.98	01/28/21	94.73	23.75 W	0.00	5 of 6 - Sale ²⁵
02/12/21	2.000	47.55	01/28/21	63.16	15.61 W	0.00	6 of 6 - Sale ²⁵
	27.000	639.09	Various	813.97	174.88 W	0.00	Total of 6 transactions
6 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	5.000	95.44	01/28/21	157.89	62.45 W	0.00	1 of 6 - Sale ²⁵
	3.000	57.26	01/28/21	83.65	26.39 W	0.00	2 of 6 - Sale ²⁵
	5.000	95.44	01/28/21	157.52	62.08 W	0.00	3 of 6 - Sale ²⁵
	2.000	38.18	01/28/21	61.95	23.77 W	0.00	4 of 6 - Sale ²⁵
	3.000	57.26	01/28/21	92.92	35.66 W	0.00	5 of 6 - Sale ²⁵
02/25/21	2.000	38.18	01/28/21	60.00	21.82 W	0.00	6 of 6 - Sale ²⁵
	20.000	381.76	Various	613.93	232.17 W	0.00	Total of 6 transactions
17 transactions for 03/08/21. Total proceeds and cost reported to the IRS.							
	3.000	52.79	01/28/21	90.01	37.22 W	0.00	1 of 17 - Sale ²⁵
	5.000	87.99	01/28/21	153.39	65.40 W	0.00	2 of 17 - Sale ²⁵
	5.000	87.99	01/28/21	153.37	65.38 W	0.00	3 of 17 - Sale ²⁵
	5.000	87.99	02/03/21	178.43	90.44 W	0.00	4 of 17 - Sale ²⁵
	5.000	87.99	02/03/21	178.06	90.07 W	0.00	5 of 17 - Sale ²⁵
	2.000	35.20	02/03/21	68.21	33.01 W	0.00	6 of 17 - Sale ²⁵
	2.000	35.20	02/03/21	70.16	34.96 W	0.00	7 of 17 - Sale ²⁵
	3.000	52.79	02/03/21	105.25	52.46 W	0.00	8 of 17 - Sale ²⁵
	2.000	35.20	02/03/21	62.00	26.80 W	0.00	9 of 17 - Sale ²⁵
	3.000	52.79	02/03/21	93.33	40.54 W	0.00	10 of 17 - Sale ²⁵
	3.000	52.79	02/03/21	95.97	43.18 W	0.00	11 of 17 - Sale ²⁵
	2.000	35.20	02/03/21	56.76	21.56 W	0.00	12 of 17 - Sale ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol: (cont'd)							
	5.000	87.99	02/03/21	141.94	53.95 W	0.00	13 of 17 - Sale ²⁵
	5.000	87.99	02/03/21	150.16	62.17 W	0.00	14 of 17 - Sale ²⁵
	3.000	52.79	02/03/21	69.58	16.79 W	0.00	15 of 17 - Sale ²⁵
	25.000	439.96	02/10/21	560.02	120.06 W	0.00	16 of 17 - Sale ²⁵
	7.000	123.19	02/10/21	155.67	32.48 W	0.00	17 of 17 - Sale ²⁵
03/08/21	85.000	1,495.84	Various	2,382.31	886.47 W	0.00	Total of 17 transactions
	5 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	5.000	83.04	02/10/21	201.63	118.59 W	0.00	1 of 5 - Sale ²⁵
	2.000	33.22	02/10/21	69.29	36.07 W	0.00	2 of 5 - Sale ²⁵
	1.000	17.17	02/10/21	34.65	17.48 W	0.00	3 of 5 - Sale ²⁵
	5.000	85.85	02/10/21	176.60	90.75 W	0.00	4 of 5 - Sale ²⁵
	4.000	68.68	02/10/21	141.26	72.58 W	0.00	5 of 5 - Sale ²⁵
03/09/21	17.000	287.96	Various	623.43	335.47 W	0.00	Total of 5 transactions
	18 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	1.000	18.25	02/10/21	35.32	17.07 W	0.00	1 of 18 - Sale ²⁵
	5.000	91.27	02/18/21	197.42	106.15 W	0.00	2 of 18 - Sale ²⁵
	2.000	36.51	02/18/21	75.95	39.44 W	0.00	3 of 18 - Sale ²⁵
	2.000	36.51	02/18/21	77.90	41.39 W	0.00	4 of 18 - Sale ²⁵
	3.000	54.76	02/18/21	116.87	62.11 W	0.00	5 of 18 - Sale ²⁵
	2.000	36.51	02/18/21	69.74	33.23 W	0.00	6 of 18 - Sale ²⁵
	3.000	54.76	02/18/21	104.95	50.19 W	0.00	7 of 18 - Sale ²⁵
	3.000	54.76	02/18/21	107.59	52.83 W	0.00	8 of 18 - Sale ²⁵
	2.000	36.51	02/18/21	64.50	27.99 W	0.00	9 of 18 - Sale ²⁵
	5.000	91.27	02/18/21	161.30	70.03 W	0.00	10 of 18 - Sale ²⁵
	5.000	91.27	02/18/21	169.52	78.25 W	0.00	11 of 18 - Sale ²⁵
	3.000	54.76	02/18/21	81.20	26.44 W	0.00	12 of 18 - Sale ²⁵
	15.000	273.82	02/18/21	394.09	120.27 W	0.00	13 of 18 - Sale ²⁵
	5.000	91.27	02/18/21	130.80	39.53 W	0.00	14 of 18 - Sale ²⁵
	10.000	182.54	02/18/21	263.22	80.68 W	0.00	15 of 18 - Sale ²⁵
	4.000	73.02	02/23/21	177.27	104.25 W	0.00	16 of 18 - Sale ²⁵
	2.000	36.51	02/23/21	50.48	13.97 W	0.00	17 of 18 - Sale ²⁵
	1.000	18.25	02/25/21	42.51	24.26 W	0.00	18 of 18 - Sale ²⁵
03/11/21	73.000	1,332.55	Various	2,320.63	988.08 W	0.00	Total of 18 transactions

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol: (cont'd)							
35 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
15.000		273.17	02/26/21	389.15	...	-115.98	1 of 35 - Sale ²⁵
4.000		72.85	02/26/21	175.95	...	-103.10	2 of 35 - Sale ²⁵
10.000		182.11	02/26/21	259.93	...	-77.82	3 of 35 - Sale ²⁵
5.000		91.06	02/26/21	167.88	...	-76.82	4 of 35 - Sale ²⁵
5.000		91.06	02/26/21	159.98	...	-68.92	5 of 35 - Sale ²⁵
3.000		54.63	02/26/21	116.08	...	-61.45	6 of 35 - Sale ²⁵
3.000		54.63	02/26/21	106.80	...	-52.17	7 of 35 - Sale ²⁵
3.000		54.63	02/26/21	104.16	...	-49.53	8 of 35 - Sale ²⁵
2.000		36.42	02/26/21	77.37	...	-40.95	9 of 35 - Sale ²⁵
2.000		36.42	02/26/21	75.42	...	-39.00	10 of 35 - Sale ²⁵
5.000		91.06	02/26/21	129.15	...	-38.09	11 of 35 - Sale ²⁵
2.000		36.42	02/26/21	69.21	...	-32.79	12 of 35 - Sale ²⁵
2.000		36.42	02/26/21	63.97	...	-27.55	13 of 35 - Sale ²⁵
3.000		54.63	02/26/21	80.21	...	-25.58	14 of 35 - Sale ²⁵
1.000		18.21	02/26/21	36.13	...	-17.92	15 of 35 - Sale ²⁵
1.000		18.21	02/26/21	35.06	...	-16.85	16 of 35 - Sale ²⁵
2.000		36.42	02/26/21	49.82	...	-13.40	17 of 35 - Sale ²⁵
5.000		91.06	03/03/21	183.53	...	-92.47	18 of 35 - Sale ²⁵
3.000		54.63	03/03/21	110.10	...	-55.47	19 of 35 - Sale ²⁵
2.000		36.42	03/03/21	73.18	...	-36.76	20 of 35 - Sale ²⁵
1.000		18.21	03/03/21	42.26	...	-24.05	21 of 35 - Sale ²⁵
1.000		18.21	03/03/21	38.99	...	-20.78	22 of 35 - Sale ²⁵
1.000		18.21	03/03/21	36.03	...	-17.82	23 of 35 - Sale ²⁵
3.000		54.63	03/04/21	113.27	...	-58.64	24 of 35 - Sale ²⁵
1.000		18.21	03/04/21	37.68	...	-19.47	25 of 35 - Sale ²⁵
2.000		36.42	03/04/21	33.60	...	2.82	26 of 35 - Sale ²⁵
2.000		36.42	03/04/21	31.03	...	5.39	27 of 35 - Sale ²⁵
24.000		437.08	03/04/21	402.36	...	34.72	28 of 35 - Sale ²⁵
30.000		546.35	03/04/21	464.16	...	82.19	29 of 35 - Sale ²⁵
1.000		18.21	03/08/21	17.03	...	1.18	30 of 35 - Sale ²⁵
2.000		36.42	03/08/21	34.01	...	2.41	31 of 35 - Sale ²⁵
10.000		182.12	03/08/21	170.63	...	11.49	32 of 35 - Sale ²⁵
20.000		364.23	03/08/21	341.00	...	23.23	33 of 35 - Sale ²⁵

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Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LMP AUTOMOTIVE HOLDINGS, INC. COMMON STOCK / CUSIP: 53952P101 / Symbol: (cont'd)							
	85.000	1,547.99	03/08/21	1,453.50	...	94.49	34 of 35 - Sale ²⁵
	23.000	418.86	03/10/21	409.40	...	9.46	35 of 35 - Sale ²⁵
03/17/21	284.000	5,172.03	Various	6,088.03	...	-916.00	Total of 35 transactions
	6 transactions for 03/17/21. Total proceeds and cost reported to the IRS.						
	1.000	18.23	02/25/21	36.27	18.04 W	0.00	1 of 6 - Sale ²⁵
	2.000	36.46	02/25/21	73.65	37.19 W	0.00	2 of 6 - Sale ²⁵
	5.000	91.15	02/25/21	184.70	93.55 W	0.00	3 of 6 - Sale ²⁵
	3.000	54.69	02/25/21	110.81	56.12 W	0.00	4 of 6 - Sale ²⁵
	4.000	72.92	02/26/21	156.88	83.96 W	0.00	5 of 6 - Sale ²⁵
	1.000	18.30	02/26/21	39.22	20.92 W	0.00	6 of 6 - Sale ²⁵
03/17/21	16.000	291.75	Various	601.53	309.78 W	0.00	Total of 6 transactions
	Security total:	11,348.50		15,528.39	3,263.89 W	-916.00	
MICT, INC. COMMON STOCK / CUSIP: 55328R109 / Symbol:							
	2 transactions for 02/18/21. Total proceeds and cost reported to the IRS.						
	25.000	61.88	02/16/21	59.95	...	1.93	1 of 2 - Sale ²⁵
	300.000	742.44	02/16/21	723.00	...	19.44	2 of 2 - Sale ²⁵
02/18/21	325.000	804.32	Various	782.95	...	21.37	Total of 2 transactions
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
	2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.						
	1.000	5.86	01/06/21	5.16	...	0.70	1 of 2 - Sale ²⁵
	18.000	105.48	01/06/21	92.79	...	12.69	2 of 2 - Sale ²⁵
01/07/21	19.000	111.34	Various	97.95	...	13.39	Total of 2 transactions
	4 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	10.000	66.01	01/13/21	64.90	...	1.11	1 of 4 - Sale ²⁵
	20.000	135.09	01/13/21	128.60	...	6.49	2 of 4 - Sale ²⁵
	30.000	202.64	01/13/21	192.30	...	10.34	3 of 4 - Sale ²⁵
	40.000	270.19	01/13/21	259.60	...	10.59	4 of 4 - Sale ²⁵
01/15/21	100.000	673.93	Various	645.40	...	28.53	Total of 4 transactions
06/04/21	25.000	552.99	06/04/21	504.68	...	48.31	Sale ²⁵
06/09/21	27.000	585.35	06/04/21	576.72	...	8.63	Sale ²⁵
	Security total:	1,923.61		1,824.75	...	98.86	

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	5.000	64.86	01/15/21	65.30	...	-0.44	1 of 3 - Sale ²⁵
	20.000	259.43	01/15/21	259.41	...	0.02	2 of 3 - Sale ²⁵
	8.000	104.80	01/15/21	104.48	...	0.32	3 of 3 - Sale ²⁵
01/19/21	33.000	429.09	Various	429.19	...	-0.10	Total of 3 transactions
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
2 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	45.000	103.73	12/29/20	109.13	...	-5.40	1 of 2 - Sale ²⁵
	5.000	11.52	12/29/20	12.00	...	-0.48	2 of 2 - Sale ²⁵
01/04/21	50.000	115.25	Various	121.13	...	-5.88	Total of 2 transactions
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X203 / Symbol:							
06/04/21	100.000	464.99	06/03/21	432.50	...	32.49	Sale ²⁵
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
9 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	15.000	76.87	02/17/21	198.00	...	-121.13	1 of 9 - Sale ²⁵
	1.000	5.12	02/17/21	13.23	...	-8.11	2 of 9 - Sale ²⁵
	16.000	82.00	02/18/21	182.19	...	-100.19	3 of 9 - Sale ²⁵
	67.000	343.37	02/22/21	665.95	...	-322.58	4 of 9 - Sale ²⁵
	1.000	5.12	02/22/21	9.94	...	-4.82	5 of 9 - Sale ²⁵
	25.000	128.12	02/23/21	213.25	...	-85.13	6 of 9 - Sale ²⁵
	25.000	128.12	02/26/21	155.50	...	-27.38	7 of 9 - Sale ²⁵
	5.000	25.63	02/26/21	31.13	...	-5.50	8 of 9 - Sale ²⁵
	1.000	5.12	02/26/21	6.26	...	-1.14	9 of 9 - Sale ²⁵
02/26/21	156.000	799.47	Various	1,475.45	...	-675.98	Total of 9 transactions
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
01/15/21	25.000	103.75	01/14/21	109.00	...	-5.25	Sale ²⁵

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:							
<i>4 transactions for 01/13/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	52.00	01/11/21	49.20	...	2.80	1 of 4 - Sale ²⁵
	2.000	52.00	01/11/21	49.20	...	2.80	2 of 4 - Sale ²⁵
	6.000	156.00	01/11/21	144.49	...	11.51	3 of 4 - Sale ²⁵
	40.000	1,039.97	01/11/21	986.00	...	53.97	4 of 4 - Sale ²⁵
01/13/21	50.000	1,299.97	Various	1,228.89	...	71.08	Total of 4 transactions
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol:							
02/01/21	3.000	5.22	01/27/21	5.10	...	0.12	Sale ²⁵
TRANSENTERIX INC. / CUSIP: 89366M300 / Symbol:							
01/25/21	50.000	190.75	01/22/21	185.00	...	5.75	Sale ²⁵
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
01/06/21	20.000	181.50	01/05/21	184.38	2.88 W	0.00	Sale ²⁵
<i>3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	46.75	01/05/21	47.05	...	-0.30	1 of 3 - Sale ²⁵
	10.000	93.33	01/06/21	94.74	...	-1.41	2 of 3 - Sale ²⁵
	5.000	46.66	01/06/21	46.86	...	-0.20	3 of 3 - Sale ²⁵
01/07/21	20.000	186.74	Various	188.65	...	-1.91	Total of 3 transactions
01/07/21	5.000	46.85	01/05/21	47.06	0.21 W	0.00	Sale ²⁵
	Security total:	415.09		420.09	3.09 W	-1.91	
XL FLEET CORP. / CUSIP: 9837FR100 / Symbol:							
01/11/21	50.000	1,072.72	01/08/21	1,096.00	...	-23.28	Sale ²⁵
YALLA GROUP LIMITED AMERICAN D EPOSITARY SHARES, EACH REPRES E NTING ONE CLASS A ORDINARY SHA / CUSIP: 98459U103 / Symbol:							
<i>2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.</i>							
	5.000	92.30	05/20/21	82.84	...	9.46	1 of 2 - Sale ²⁵
	20.000	369.20	05/20/21	344.20	...	25.00	2 of 2 - Sale ²⁵
06/03/21	25.000	461.50	Various	427.04	...	34.46	Total of 2 transactions
06/04/21	1.000	17.95	05/20/21	16.57	...	1.38	Sale ²⁵
	Security total:	479.45		443.61	...	35.84	

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Robinhood Securities LLC

Account 119938009

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
5 transactions for 01/04/21. Total proceeds and cost reported to the IRS.							
	5.000	39.15	12/21/20	56.26	...	-17.11	1 of 5 - Sale ²⁵
	4.000	31.32	12/21/20	46.54	...	-15.22	2 of 5 - Sale ²⁵
	1.000	7.83	12/21/20	20.32	...	-12.49	3 of 5 - Sale ²⁵
	3.000	23.50	12/21/20	35.13	...	-11.63	4 of 5 - Sale ²⁵
	1.000	7.83	12/21/20	11.54	...	-3.71	5 of 5 - Sale ²⁵
01/04/21	14.000	109.63	Various	169.79	...	-60.16	Total of 5 transactions
12 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	1.000	8.61	12/21/20	31.93	...	-23.32	1 of 12 - Sale ²⁵
	1.000	8.61	12/21/20	30.78	...	-22.17	2 of 12 - Sale ²⁵
	1.000	8.62	12/21/20	28.70	...	-20.08	3 of 12 - Sale ²⁵
	1.000	8.61	12/21/20	28.37	...	-19.76	4 of 12 - Sale ²⁵
	5.000	43.75	12/21/20	59.44	...	-15.69	5 of 12 - Sale ²⁵
	3.000	26.28	12/21/20	35.13	...	-8.85	6 of 12 - Sale ²⁵
	3.000	27.30	12/21/20	35.66	...	-8.36	7 of 12 - Sale ²⁵
	3.000	27.30	12/21/20	34.83	...	-7.53	8 of 12 - Sale ²⁵
	2.000	17.52	12/21/20	23.77	...	-6.25	9 of 12 - Sale ²⁵
	1.000	9.10	12/21/20	11.52	...	-2.42	10 of 12 - Sale ²⁵
	3.000	27.30	12/21/20	22.69	...	4.61	11 of 12 - Sale ²⁵
	6.000	51.67	12/21/20	45.39	...	6.28	12 of 12 - Sale ²⁵
01/06/21	30.000	264.67	Various	388.21	...	-123.54	Total of 12 transactions
	Security total:	374.30		558.00	...	-183.70	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
4 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	7.10	01/08/21	6.66	...	0.44	1 of 4 - Sale ²⁵
	4.000	28.41	01/08/21	26.72	...	1.69	2 of 4 - Sale ²⁵
	15.000	106.52	01/08/21	100.08	...	6.44	3 of 4 - Sale ²⁵
	2.000	14.20	01/11/21	13.22	...	0.98	4 of 4 - Sale ²⁵
01/12/21	22.000	156.23	Various	146.68	...	9.55	Total of 4 transactions
Totals :		72,342.99		77,187.98	4,529.15 W	-315.84	

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Robinhood Securities LLC

Account 119938009

Detail for Interest Income

2021

02/11/2022

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/22/21	0.02	Interest	
		01/29/21	0.05	Interest	
		02/24/21	0.03	Interest	
		02/26/21	0.01	Interest	
		03/23/21	0.05	Interest	
		06/30/21	0.01	Interest	
		07/30/21	0.01	Interest	
			0.18	Total Interest	
			0.18	Total Interest	

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2021 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s).

Lines 15-17. State tax withheld reporting lines.

Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Instructions for Recipient

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.