



FIDELITY BROKERAGE SERVICES LLC
 P.O. Box 28019
 Albuquerque, NM 87125-8019

2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666
 Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

eDelivered

DALIA ROY PAL
 3140 TECOPA SPRINGS LN
 SIMI VALLEY CA 93063-2065

Payer's Name and Address:
 NATIONAL FINANCIAL SERVICES LLC
 499 WASHINGTON BLVD
 JERSEY CITY, NJ 07310

Form 1099-DIV * **2021 Dividends and Distributions** Copy B for Recipient
(OMB No. 1545-0110)

1a Total Ordinary Dividends	158.69	7 Foreign Tax Paid.....	0.00
1b Qualified Dividends	158.00	8 Foreign Country or U.S. Possession.....	
2a Total Capital Gain Distributions	0.00	9 Cash Liquidation Distributions.....	0.00
2b Unrecap. Sec 1250 Gain.....	0.00	10 Non-Cash Liquidation Distributions.....	0.00
2c Section 1202 Gain.....	0.00	11 Exempt Interest Dividends	0.00
2d Collectibles (28%) Gain.....	0.00	12 Specified Private Activity Bond Interest Dividends.....	0.00
3 Nondividend Distributions	0.00	13 State	
4 Federal Income Tax Withheld	0.00	14 State Identification No.	
5 Section 199A Dividends	0.00	15 State Tax Withheld.....	0.00
6 Investment Expenses.....	0.00		

Form 1099-INT * **2021 Interest Income** Copy B for Recipient
(OMB No. 1545-0112)

1 Interest Income	0.00	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld	0.00	13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no.	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest	0.00	17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

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Form 1099-MISC *

2021 Miscellaneous Information

Copy B for Recipient
(OMB No. 1545-0115)

2 Royalties	0.00	15 State Tax Withheld	0.00
3 Other Income	0.00	16 State/Payer's State No.	
4 Federal Income Tax Withheld	0.00	17 State Income	0.00
8 Substitute Payments in Lieu of Dividends or Interest	0.00		

Summary of 2021 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	655,852.56	673,687.64	0.00	4,182.15	-17,835.08	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	655,852.56	673,687.64	0.00	4,182.15	-17,835.08	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2021 Original Issue Discount

Total Original Issue Discount	0.00	Total Original Issue Discount - REMIC	0.00
Total Original Issue Discount on U.S. Treasury Obligations	0.00		
Total Original Issue Discount on Tax-Exempt Obligations	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ABEONA THERAPEUTICS INC COM, ABEO, 00289Y107										
Sale	300.000	02/09/21	03/11/21	685.49	1,078.47			-392.98		
AEROCENTURY CORP COM, ACY, 007737109										
Sale	538.000	04/06/21	04/06/21	6,789.52	6,926.48			-136.96		
Sale	1,262.000	04/06/21	04/06/21	15,903.00	16,247.62			-344.62		
Sale	100.000	04/06/21	04/06/21	1,260.99	1,287.45			-26.46		
Sale	100.000	04/06/21	04/06/21	1,259.99	1,287.45			-27.46		
Subtotals				25,213.50	25,749.00					
AERPIO PHARMACEUTICALS INC COM, 00810B105										
Sale	1,000.000	07/13/21	07/26/21	2,189.98	2,120.10			69.88		
AMC ENTERTAINMENT HOLDINGS INC, AMC, 00165C104										
Sale	300.000	02/25/21	03/09/21	3,105.37	3,005.97			99.40		
APPLIED UV INC COM, AUVI, 03828V105										
Sale	200.000	02/09/21	02/09/21	6,183.86	5,910.30			273.56		
BLACKBERRY LTD COM ISIN #CA09228F1036 SE, BB, 09228F103										
Sale	85.000	01/19/21	01/22/21	1,190.16	992.45			197.71		
Sale	0.646	01/19/21	02/05/21	8.53	7.54			0.99		
Sale	199.354	02/04/21	02/05/21	2,633.61	2,329.05			304.56		
Sale	0.646	02/04/21	02/05/21	8.52	7.55			0.97		
Subtotals				3,840.82	3,336.59					

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FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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CARRIER GLOBAL CORPORATION COM, CARR, 14448C104										
Sale	40.000	02/05/21	03/18/21	1,638.59	1,569.56			69.03		
CEMTREX INC COM NEW, CETX, 15130G600										
Sale	1,000.000	03/10/21	03/19/21	2,129.99	3,280.00			-1,150.01		
Sale	1,000.000	03/10/21	03/19/21	2,129.98	2,660.00			-530.02		
Subtotals				4,259.97	5,940.00					
CENTURY COMMUNITIES INC, CCS, 156504300										
Sale	19.000	01/25/21	02/05/21	1,117.05	973.66			143.39		
Sale	0.513	01/25/21	02/05/21	30.14	26.30			3.84		
Subtotals				1,147.19	999.96					
CHEMBIO DIAGNOSTICS INC, CEMI, 163572209										
Sale	5,000.000	04/05/21	04/05/21	28,825.85	28,773.00			52.85		
CLEANSPARK INC COM NEW, CLSK, 18452B209										
Sale	50.000	02/18/21	02/18/21	1,860.95	1,741.00			119.95		
CLOVER HEALTH INVESTMENTS CORP COMCL A, CLOV, 18914F103										
Sale	1,000.000	04/16/21	04/16/21	9,904.94	9,870.00			34.94		
CLOVIS ONCOLOGY INC COM USD0.001, CLVS, 189464100										
Sale	14.000	03/19/21	04/01/21	100.03	120.20			-20.17		
Sale	486.000	03/19/21	04/01/21	3,472.45	4,172.32			-699.87		
Subtotals				3,572.48	4,292.52					

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CLPS INCORPORATION COM USD0.0001, CLPS, G31642104										
Sale	100.000	02/17/21	03/11/21	438.02	1,378.30			-940.28		
CNS PHARMACEUTICALS INC COM, CNSP, 18978H102										
Sale	100.000	02/09/21	02/09/21	417.99	409.50			8.49		
Sale	300.000	02/09/21	02/09/21	1,253.46	1,228.50			24.96		
Subtotals				1,671.45	1,638.00					
COMMUNICATIONS SYSTEMS INC, JCS, 203900105										
Sale	300.000	03/02/21	03/02/21	2,732.98	2,641.50			91.48		
DHT HOLDINGS INC, DHT, Y2065G121										
Sale	500.000	02/05/21	02/09/21	2,940.73	2,912.85			27.88		
DRAGON VICTORY INTERNATIONAL LTD COM USD, LYL, G28365107										
Sale	1,000.000	02/08/21	02/08/21	2,784.93	2,760.00			24.93		
DUNXIN FINANCIAL HOLDINGS LIMITED SPON A, DXF, 26605Q106										
Sale	1,000.000	03/18/21	03/18/21	2,549.98	2,495.80			54.18		
EBANG INTERNATIONAL HLDGS INC COM HKD0.0, EBON, G3R33A106										
Sale	100.000	02/17/21	03/11/21	717.21	1,032.58			-315.37		
ENERGOUS CORP, WATT, 29272C103										
Sale	500.000	02/19/21	02/19/21	3,748.41	3,434.00			314.41		

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ENVERIC BIOSCIENCES INC COM NEW, ENVB, 29405E109										
Sale	500.000	03/10/21	03/10/21	3,088.48	3,020.00			68.48		
EXELA TECHNOLOGIES INC COM NEW, XELA, 30162V409										
Sale	400.000	03/09/21	03/09/21	1,739.99	1,544.00			195.99		
FIDELITY BLUE CHIP GROWTH, FBGRX, 316389303										
Sale	11.925	01/14/21	04/07/21	2,075.78	2,000.00			75.78		
FORD MTR CO DEL COM, F, 345370860										
Sale	100.000	02/01/21	02/04/21	1,141.25	1,106.50			34.75		
GENERAL MTRS CO COM, GM, 37045V100										
Sale	17.000	01/20/21	03/02/21	935.16	947.24			-12.08		
Sale	0.946	01/20/21	03/02/21	52.02	52.71			-0.69		
Subtotals				987.18	999.95					
HUMANIGEN INC COM NEW, HGEN, 444863203										
Sale	500.000	03/29/21	03/29/21	12,449.98	13,494.95			-1,044.97		
INVO BIOSCIENCE INC COM, INVO, 44984F302										
Sale	178.000	03/09/21	03/09/21	1,811.68	1,762.20			49.48		
Sale	22.000	03/09/21	03/09/21	223.92	219.12			4.80		
Sale	140.000	03/09/21	03/09/21	1,426.59	1,394.40			32.19		
Sale	60.000	03/09/21	03/09/21	606.59	597.60			8.99		
Subtotals				4,068.78	3,973.32					

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KEMPHARM INC COM NEW, KMPH, 488445206										
Sale	250.000	03/03/21	03/03/21	3,478.73	3,466.25			12.48		
KOSS CORP, KOSS, 500692108										
Sale	3.000	01/28/21	02/04/21	56.99	245.76			-188.77		
LIQUID MEDIA GROUP LTD NEW COM NEW ISIN, YVR, 53634Q204										
Sale	950.000	03/23/21	03/23/21	4,940.07	4,797.50			142.57		
Sale	50.000	03/23/21	03/23/21	260.00	253.00			7.00		
Sale	150.000	03/23/21	03/23/21	780.01	730.35			49.66		
Sale	1,850.000	03/23/21	03/23/21	9,620.14	9,009.50			610.64		
Sale	1,000.000	03/23/21	03/23/21	5,470.07	4,870.00			600.07		
Subtotals				21,070.29	19,660.35					
MISONIX INC COM USD0.01, 604871103										
Sale	80.000	02/09/21	02/16/21	1,492.14	1,473.60			18.54		
NANOVIRICIDES INC COM, NNVC, 630087302										
Sale	300.000	03/02/21	03/02/21	2,277.31	2,073.48			203.83		
NLS PHARMACEUTICS LTD COM CHF0.02, NLSP, H57830103										
Sale	800.000	03/12/21	03/12/21	4,325.02	4,312.00			13.02		
Sale	200.000	03/12/21	03/12/21	1,081.25	1,077.12			4.13		
Subtotals				5,406.27	5,389.12					

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OCUGEN INC COM, OCGN, 67577C105										
Sale	100.000	02/09/21	02/09/21	1,312.98	1,221.00			91.98		
Sale	500.000	03/11/21	03/12/21	5,349.97	5,084.00			265.97		
Subtotals				6,662.95	6,305.00					
ODONATE THERAPEUTICSINC COM, ODT, 676079106										
Sale	1,513.000	04/07/21	04/07/21	5,847.71	6,974.93		819.53	-1,127.22		
Sale	1,387.000	04/07/21	04/07/21	5,360.73	6,380.20			-1,019.47		
Sale	1,100.000	04/07/21	04/07/21	4,247.07	5,879.53			-1,632.46		
Subtotals				15,455.51	19,234.66		819.53			
ONEMAIN HLDGS INC COM, OMF, 68268W103										
Sale	40.000	02/05/21	04/26/21	2,249.98	2,232.80			17.18		
PDS BIOTECHNOLOGY CORP COM, PDSB, 70465T107										
Sale	500.000	03/11/21	03/11/21	3,053.48	2,880.00			173.48		
PERFORMANT FINANCIALCOM USD0.0001, PFMT, 71377E105										
Sale	300.000	04/05/21	04/05/21	877.31	855.00			22.31		
Sale	3,700.000	04/05/21	04/05/21	10,840.94	10,545.00			295.94		
Subtotals				11,718.25	11,400.00					
PLUG POWER INC, PLUG, 72919P202										
Sale	15.000	01/27/21	02/04/21	1,049.54	1,036.65			12.89		

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PROQR THERAPEUTICS NV COM EUR0.04, PRQR, N71542109										
Sale	582.000	03/25/21	03/25/21	5,075.01	4,667.64			407.37		
Sale	418.000	03/25/21	03/25/21	3,644.94	3,347.76			297.18		
Sale	50.000	03/25/21	03/25/21	436.00	392.00			44.00		
Sale	950.000	03/25/21	03/25/21	8,283.96	7,442.30			841.66		
Sale	100.000	03/25/21	03/25/21	858.05	823.90			34.15		
Sale	1,370.000	03/25/21	03/25/21	11,755.22	11,288.80			466.42		
Sale	430.000	03/25/21	03/25/21	3,689.59	3,541.18			148.41		
Sale	100.000	03/25/21	03/25/21	857.99	823.53			34.46		
Subtotals				34,600.76	32,327.11					
R C M TECHNOLOGIES INC, RCMT, 749360400										
Sale	200.000	02/11/21	09/13/21	1,421.99	1,398.50			23.49		
REALNETWORKS INC COMSTK USD0.001, RNWK, 75605L708										
Sale	500.000	02/22/21	02/22/21	2,788.43	2,284.00			504.43		
REGIONAL HEALTH PPTYS INC COM, RHE, 75903M309										
Sale	1,000.000	04/30/21	04/30/21	8,929.95	8,819.90			110.05		
Sale	990.000	04/30/21	04/30/21	9,093.10	8,972.47			120.63		
Sale	10.000	04/30/21	04/30/21	91.85	90.00			1.85		
Sale	232.000	04/30/21	04/30/21	2,095.87	2,108.88		13.01	-13.01		
Sale	268.000	04/30/21	04/30/21	2,421.10	2,414.68			6.42		
Sale	268.000	04/30/21	04/30/21	2,420.02	2,414.68			5.34		
Sale	232.000	04/30/21	04/30/21	2,094.95	2,103.33		8.38	-8.38		

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2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
REGIONAL HEALTH PPTYS INC COM, RHE, 75903M309										
Sale	100.000	04/30/21	04/30/21	904.19	898.61			5.58		
Sale	533.000	04/30/21	04/30/21	4,818.29	4,770.35			47.94		
Sale	132.000	04/30/21	04/30/21	1,193.27	1,186.17			7.10		
Sale	235.000	04/30/21	04/30/21	2,130.77	2,103.25			27.52		
Sale	1,000.000	04/30/21	04/30/21	9,979.94	9,998.80		18.86	-18.86		
Sale	10.000	04/30/21	04/30/21	81.36	91.14			-9.78		
Sale	990.000	04/30/21	04/30/21	8,054.19	9,018.76			-964.57		
Subtotals				54,308.85	54,991.02		40.25			
RIGEL PHARMACEUTICALS INC, RIGL, 766559603										
Sale	500.000	02/18/21	02/18/21	2,505.74	2,497.50			8.24		
RIOT BLOCKCHAIN INC COM, RIOT, 767292105										
Sale	100.000	02/18/21	02/18/21	6,953.84	6,874.00			79.84		
ROBLOX CORP CL A, RBLX, 771049103										
Sale	100.000	03/10/21	03/10/21	7,032.96	6,991.00			41.96		
Sale	100.000	03/10/21	03/10/21	7,179.96	7,116.00			63.96		
Sale	100.000	03/10/21	03/10/21	7,179.96	7,115.00			64.96		
Sale	200.000	03/10/21	03/10/21	14,379.92	14,184.00			195.92		
Sale	100.000	03/10/21	03/10/21	7,115.96	7,069.00			46.96		
Sale	100.000	03/10/21	03/10/21	7,116.96	7,069.00			47.96		
Sale	200.000	03/10/21	03/10/21	14,163.92	14,128.80			35.12		
Sale	58.000	03/10/21	03/11/21	4,285.60	4,082.04			203.56		

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666

Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ROBLOX CORP CL A, RBLX, 771049103										
Sale	142.000	03/10/21	03/11/21	10,492.32	9,996.80			495.52		
Subtotals				78,947.56	77,751.64					
ROMEOPower INC COM, RMO, 776153108										
Sale	2,000.000	04/06/21	04/06/21	20,759.89	20,320.00			439.89		
SECOND SIGHT MED PRODS INC COM NEW, EYES, 81362J209										
Sale	400.000	03/08/21	03/08/21	5,358.61	4,104.00			1,254.61		
SHOPIFY INC COM NPV CL A ISIN #CA82509L1, SHOP, 82509L107										
Sale	2.000	02/23/21	04/28/21	2,571.89	2,571.52			0.37		
SNAP INC CL A, SNAP, 83304A106										
Sale	50.000	02/04/21	02/05/21	3,109.93	2,945.82			164.11		
Sale	40.000	04/16/21	07/23/21	3,134.98	2,477.98			657.00		
Subtotals				6,244.91	5,423.80					
SOCIAL CAP HEDOSOPHIA HLDGS CORP V COM U, G8252P105										
Sale	50.000	02/01/21	04/08/21	906.74	1,355.00			-448.26		
SOS LIMITED SPON ADSEA REP 10 ORD SHS CL, SOS, 83587W106										
Sale	300.000	02/12/21	02/12/21	2,212.15	2,190.00			22.15		
Sale	400.000	02/12/21	02/16/21	4,923.89	2,967.00			1,956.89		
Subtotals				7,136.04	5,157.00					

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666
Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
TAKUNG ART CO LTD COM USD0.001 (POST REV, TKAT, 87407Q207)										
Sale	200.000	03/18/21	03/18/21	5,158.09	4,970.00			188.09		
Sale	200.000	03/22/21	03/22/21	9,157.47	8,760.00			397.47		
Sale	100.000	03/22/21	03/22/21	4,578.73	4,534.00			44.73		
Sale	200.000	03/22/21	03/22/21	9,184.95	9,068.00			116.95		
Sale	200.000	03/22/21	03/22/21	9,067.95	9,068.00		0.05	-0.05		
Sale	134.000	03/22/21	03/22/21	6,559.26	6,467.54			91.72		
Sale	6.000	03/22/21	03/22/21	294.17	289.59			4.58		
Sale	90.000	03/22/21	03/22/21	4,402.78	4,343.85			58.93		
Sale	60.000	03/22/21	03/22/21	2,935.18	2,895.92			39.26		
Sale	210.000	03/22/21	03/22/21	10,309.24	10,135.65			173.59		
Sale	494.000	03/23/21	03/24/21	18,529.84	28,281.50			-9,751.66		
Sale	6.000	03/23/21	03/24/21	225.06	343.02			-117.96		
Subtotals				80,402.72	89,157.07		0.05			
TESLA INC COM, TSLA, 88160R101										
Sale	2.000	02/10/21	04/08/21	1,365.83	1,603.52			-237.69		
Sale	2.000	02/10/21	04/08/21	1,365.83	1,633.92			-268.09		
Sale	2.000	02/10/21	04/08/21	1,365.84	1,629.87			-264.03		
Subtotals				4,097.50	4,867.31					
UNIVERSE PHARMACEUTICALS INC COM USD0.00, UPC, G9442G104										
Sale	600.000	03/29/21	03/29/21	4,362.15	4,101.00			261.15		
Sale	800.000	03/29/21	03/29/21	5,815.97	5,468.00			347.97		

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666

Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
UNIVERSE PHARMACEUTICALS INC COM USD0.00, UPC, G9442G104										
Sale	600.000	03/29/21	03/29/21	4,367.97	4,101.00			266.97		
Sale	1,500.000	03/29/21	03/31/21	6,074.96	9,720.00		2,906.31	-3,645.04		
Sale	304.000	03/29/21	03/31/21	1,232.83	1,969.92			-737.09		
Sale	1,196.000	03/29/21	03/31/21	4,850.23	10,632.47			-5,782.24		
Subtotals				26,704.11	35,992.39		2,906.31			
UXIN LIMITED ADS EACH REP 3 CL A ORD SHS, UXIN, 91818X108										
Sale	1,000.000	04/28/21	04/28/21	3,074.98	3,235.00			-160.02		
VAXART INC COM NEW, VXRT, 92243A200										
Sale	100.000	04/30/21	04/30/21	1,082.49	1,080.93			1.56		
Sale	285.000	04/30/21	04/30/21	3,083.68	3,080.65			3.03		
Sale	219.000	04/30/21	04/30/21	2,370.88	2,367.24			3.64		
Sale	396.000	04/30/21	04/30/21	4,287.07	4,278.78			8.29		
Subtotals				10,824.12	10,807.60					
VERICITY INC COM, VERY, 92347D100										
Sale	100.000	04/08/21	04/08/21	1,456.99	1,873.00		416.01	-416.01		
Sale	100.000	04/08/21	04/08/21	1,455.00	1,873.00			-418.00		
Sale	100.000	04/08/21	04/08/21	1,455.00	1,874.00			-419.00		
Sale	100.000	04/08/21	04/08/21	1,455.00	2,290.01			-835.01		
Sale	100.000	04/08/21	04/08/21	1,455.00	1,872.00			-417.00		
Sale	351.000	04/08/21	04/08/21	5,107.06	6,707.61			-1,600.55		

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666
Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
VERICITY INC COM, VERY, 92347D100										
Sale	149.000	04/08/21	04/08/21	2,167.96	2,825.04			-657.08		
Subtotals				14,552.01	19,314.66		416.01			
VERU INC COM, VERU, 92536C103										
Sale	200.000	02/08/21	02/09/21	4,129.90	2,748.00			1,381.90		
Sale	200.000	09/15/21	09/23/21	1,811.99	1,778.00			33.99		
Subtotals				5,941.89	4,526.00					
VISLINK TECHNOLOGIESINC COM, VISL, 92836Y300										
Sale	300.000	02/09/21	02/09/21	1,358.99	1,212.00			146.99		
VROOM INC COM, VRM, 92918V109										
Sale	100.000	02/08/21	02/12/21	5,078.88	4,883.50			195.38		
VUZIX CORP COM USD0.001, VUZI, 92921W300										
Sale	200.000	02/22/21	02/22/21	4,526.40	4,580.00			-53.60		
Sale	200.000	02/22/21	02/22/21	4,526.39	4,452.00			74.39		
Subtotals				9,052.79	9,032.00					
WAH FU ED GROUP LTD SHS ISIN #VGG9418410, WAFU, G94184101										
Sale	561.000	03/26/21	03/26/21	9,396.69	8,936.79			459.90		
Sale	39.000	03/26/21	03/26/21	653.25	621.66			31.59		
Sale	100.000	03/26/21	03/26/21	1,673.99	1,594.00			79.99		
Sale	300.000	03/26/21	03/26/21	5,018.97	4,782.00			236.97		
Sale	1,650.000	03/26/21	03/26/21	21,573.63	21,631.50			-57.87		

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666
 Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
WAH FU ED GROUP LTD SHS ISIN #VGG9418410, WAFU, G94184101										
Sale	350.000	03/26/21	03/26/21	4,576.23	4,574.54			1.69		
Subtotals				42,892.76	42,140.49					
WHEELER REAL ESTATE INVNT TR COM NEW, WHLR, 963025705										
Sale	500.000	02/08/21	02/08/21	2,239.95	2,130.00			109.95		
WILHELMINA INTERNATIONAL INC, WHLM, 968235200										
Sale	200.000	04/15/21	04/15/21	2,322.62	2,323.00			-0.38		
WISEKEY INTERNATIONAL HLDG LTD SPON ADS, WKEY, 97727L200										
Sale	100.000	03/18/21	03/18/21	1,464.99	1,435.00			29.99		
Sale	100.000	03/18/21	03/18/21	1,473.99	1,434.00			39.99		
Sale	200.000	03/18/21	03/18/21	2,931.98	2,868.00			63.98		
Subtotals				5,870.96	5,737.00					
ZOOM VIDEO COMMUNICATIONS INC CL A, ZM, 98980L101										
Sale	10.000	02/23/21	07/29/21	3,904.03	3,769.20			134.83		
ZYNGA INC, ZNGA, 98986T108										
Sale	100.000	02/08/21	02/12/21	1,164.47	1,097.50			66.97		
Sale	200.000	02/08/21	02/12/21	2,328.95	2,191.94			137.01		
Subtotals				3,493.42	3,289.44					
TOTALS				655,852.56	673,687.64	0.00	4,182.15		0.00	
								19,076.01		
								-36,911.09		

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666
Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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2021 TAX REPORTING STATEMENT

DALIA ROY PAL

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Summary of 2021 Supplemental Information Not Reported to the IRS

Margin Interest Paid	43.50	Currency Realized Gain/Loss (USD)	0.00
Tax Exempt Investment Expense	0.00	Actual Payment Shortfall.....	0.00
Accrued Interest Paid on Purchases	0.00	Addition to Basis	0.00
Proceeds Investment Expenses	0.00	Account Fees.....	0.60
Severance Tax	0.00	Short Dividends.....	0.00
Administrative Expenses	0.00	Money Market Realized Gain/Loss.....	0.00
Non-deductible Generic Expenses	0.00	Short/Long Term Realized Gain/Loss.....	0.00
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS).....	0.00

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2021 SUPPLEMENTAL INFORMATION

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666

Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
FIDELITY GOVERNMENT MONEY MARKET, SPAXX, 31617H102								
01/29/21	0.05	0.05						
02/26/21	0.11	0.11						
03/31/21	0.01	0.01						
04/30/21	0.02	0.02						
05/28/21	0.03	0.03						
06/30/21	0.03	0.03						
07/30/21	0.03	0.03						
08/31/21	0.09	0.09						
09/30/21	0.08	0.08						
10/29/21	0.08	0.08						
11/30/21	0.08	0.08						
12/31/21	0.08	0.08						
Subtotals	0.69	0.69						

ONEMAIN HLDGS INC COM, OMF, 68268W103								
02/25/21	158.00			158.00				

TOTALS	158.69	0.69	0.00	158.00	0.00	0.00	0.00	0.00

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2021** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).





2021 SUPPLEMENTAL INFORMATION

DALIA ROY PAL

Account No. **Z19-404308** Customer Service: 800-544-6666

Recipient ID No. *****-**-3172** Payer's Fed ID Number: 04-3523567

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Account Fees

Description	Date	Amount
NIO INC SPON ADS EACH REP 1 ORD SHS	05/11/21	0.60
TOTAL		0.60

Margin Interest Paid

Description	Date	Amount
MARGIN INTEREST @ 8.325% AVG B	03/21/21	10.87
MARGIN INTEREST @ 8.325% AVG B	04/20/21	32.63
TOTAL		43.50

Important Tax Return Document Enclosed.

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