



FIDELITY BROKERAGE SERVICES LLC
P.O. Box 28019
Albuquerque, NM 87125-8019

2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

eDelivered

SAURAV PAL
3140 TECOPA SPRINGS LN
SIMI VALLEY CA 93063-2065

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
499 WASHINGTON BLVD
JERSEY CITY, NJ 07310

Form 1099-DIV *

2021 Dividends and Distributions

Copy B for Recipient
(OMB No. 1545-0110)

1a Total Ordinary Dividends	29.43	7 Foreign Tax Paid.....	0.00
1b Qualified Dividends	29.31	8 Foreign Country or U.S. Possession.....	
2a Total Capital Gain Distributions	0.00	9 Cash Liquidation Distributions.....	0.00
2b Unrecap. Sec 1250 Gain.....	0.00	10 Non-Cash Liquidation Distributions.....	0.00
2c Section 1202 Gain.....	0.00	11 Exempt Interest Dividends	0.00
2d Collectibles (28%) Gain.....	0.00	12 Specified Private Activity Bond Interest Dividends.....	0.00
3 Nondividend Distributions	0.00	13 State	
4 Federal Income Tax Withheld	0.00	14 State Identification No.	
5 Section 199A Dividends	0.00	15 State Tax Withheld.....	0.00
6 Investment Expenses.....	0.00		

Form 1099-INT *

2021 Interest Income

Copy B for Recipient
(OMB No. 1545-0112)

1 Interest Income	0.00	10 Market Discount	0.00
2 Early Withdrawal Penalty	0.00	11 Bond Premium	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations	0.00	12 Bond Premium on U.S. Treasury Obligations	0.00
4 Federal Income Tax Withheld	0.00	13 Bond Premium on Tax-Exempt Bond	0.00
5 Investment Expenses	0.00	14 Tax-Exempt Bond CUSIP no.	
6 Foreign Tax Paid	0.00	15 State	
7 Foreign Country or U.S. Possession		16 State Identification No	
8 Tax-Exempt Interest	0.00	17 State Tax Withheld	0.00
9 Specified Private Activity Bond Interest	0.00		

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Form 1099-MISC *

2021 Miscellaneous Information

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(OMB No. 1545-0115)

2 Royalties	0.00	15 State Tax Withheld	0.00
3 Other Income	0.00	16 State/Payer's State No.	
4 Federal Income Tax Withheld	0.00	17 State Income	0.00
8 Substitute Payments in Lieu of Dividends or Interest	0.00		

Summary of 2021 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	1,698,848.62	1,713,054.87	0.00	22,943.60	-14,206.25	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	1,698,848.62	1,713,054.87	0.00	22,943.60	-14,206.25	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

Summary of 2021 Original Issue Discount

Total Original Issue Discount	0.00	Total Original Issue Discount - REMIC	0.00
Total Original Issue Discount on U.S. Treasury Obligations	0.00		
Total Original Issue Discount on Tax-Exempt Obligations	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
AMC ENTERTAINMENT HOLDINGS INC, AMC, 00165C104										
Sale	35.000	01/27/21	05/26/21	674.46	636.60			37.86		
Sale	500.000	06/02/21	06/02/21	32,202.03	32,271.50			-69.47		
Subtotals				32,876.49	32,908.10					
APPLE INC COM USD0.00001, AAPL, 037833100										
Sale	142.000	01/26/21	02/18/21	18,329.06	20,460.78		14.50	-2,131.72		
Sale	0.966	01/26/21	02/18/21	124.68	153.69			-29.01		
Subtotals				18,453.74	20,614.47		14.50			
AURIS MEDICAL HOLDING LTD. COMMON SHARES, G07025201										
Sale	5,000.000	04/13/21	04/14/21	18,966.95	21,750.50			-2,783.55		
BIT DIGITAL INC USD ORD SHS ISIN #KYG114, BTBT, G1144A105										
Sale	40.000	02/19/21	05/10/21	423.63	964.20			-540.57		
BLACKBERRY LTD COM ISIN #CA09228F1036 SE, BB, 09228F103										
Sale	1,550.000	01/20/21	01/22/21	21,689.29	20,217.12			1,472.17		
Sale	16.000	01/25/21	01/27/21	431.33	318.04			113.29		
Sale	5.000	01/25/21	01/27/21	134.84	99.39			35.45		
Sale	430.000	02/02/21	02/04/21	5,172.78	5,082.00			90.78		
Sale	1,923.000	02/05/21	02/05/21	25,508.03	25,018.04			489.99		
Sale	1,000.000	02/11/21	02/11/21	12,501.32	12,428.60			72.72		
Sale	250.000	02/11/21	02/12/21	3,247.80	3,119.50			128.30		

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FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

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BLACKBERRY LTD COM ISIN #CA09228F1036 SE, BB, 09228F103										
Sale	160.000	02/16/21	06/02/21	2,497.87	1,942.40			555.47		
Subtotals				71,183.26	68,225.09					
BLINK CHARGING CO COM, BLNK, 09354A100										
Sale	43.000	02/02/21	02/03/21	2,113.40	1,961.70			151.70		
Sale	0.840	02/02/21	02/03/21	41.24	38.29			2.95		
Subtotals				2,154.64	1,999.99					
BUMBLE INC COM CL A, BMBL, 12047B105										
Sale	40.000	02/11/21	02/12/21	3,278.29	2,948.46			329.83		
CHURCHILL CAPITAL CORP IV CL A, 171439102										
Sale	1,156.000	01/25/21	02/02/21	33,650.41	30,853.64			2,796.77		
Sale	5.000	01/25/21	02/02/21	145.55	131.80			13.75		
Sale	0.552	01/25/21	02/02/21	16.06	14.55			1.51		
Sale	20.000	02/05/21	02/05/21	696.80	676.56			20.24		
Sale	26.000	02/12/21	02/16/21	1,341.57	1,068.08			273.49		
Sale	95.000	02/17/21	02/17/21	5,518.90	5,075.85			443.05		
Sale	5.000	02/17/21	02/17/21	290.47	267.00			23.47		
Sale	30.000	03/03/21	03/10/21	771.27	756.60			14.67		
Sale	35.000	03/03/21	03/10/21	899.81	881.65			18.16		
Subtotals				43,330.84	39,725.73					

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FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

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DHT HOLDINGS INC, DHT, Y2065G121										
Sale	1,942.000	02/05/21	02/09/21	11,447.84	11,467.52			-19.68		
Sale	2,488.000	02/05/21	02/09/21	14,666.43	14,704.08			-37.65		
Subtotals				26,114.27	26,171.60					
EBANG INTERNATIONAL HLDGS INC COM HKD0.0, EBON, G3R33A106										
Sale	100.000	02/17/21	02/18/21	1,145.19	1,034.00			111.19		
Sale	500.000	02/18/21	02/18/21	5,878.37	5,655.00			223.37		
Sale	1,000.000	02/18/21	02/18/21	12,081.13	12,165.00		83.87	-83.87		
Sale	500.000	02/18/21	02/18/21	6,170.86	6,401.94		231.08	-231.08		
Sale	500.000	02/18/21	02/18/21	6,679.85	6,594.43			85.42		
Sale	500.000	02/18/21	02/18/21	5,638.37	6,686.08			-1,047.71		
Subtotals				37,593.77	38,536.45		314.95			
EHANG HOLDINGS LIMITED SPON ADS EACH REP, EH, 26853E102										
Sale	100.000	02/17/21	02/17/21	6,939.84	6,161.01			778.83		
Sale	100.000	02/17/21	02/17/21	6,115.36	7,224.50			-1,109.14		
Subtotals				13,055.20	13,385.51					
ENVERIC BIOSCIENCES INC COM NEW, ENVB, 29405E109										
Sale	400.000	03/10/21	03/10/21	2,341.58	2,332.00			9.58		
Sale	400.000	03/10/21	03/10/21	2,475.30	2,324.00			151.30		
Sale	1,000.000	03/10/21	03/10/21	6,549.96	6,350.00			199.96		
Subtotals				11,366.84	11,006.00					

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EXELA TECHNOLOGIES INC COM NEW, XELA, 30162V409										
Sale	200.000	03/09/21	03/09/21	829.99	746.00			83.99		
Sale	400.000	03/09/21	03/09/21	1,557.99	1,558.00		0.01	-0.01		
Sale	241.000	03/09/21	03/09/21	938.69	881.70			56.99		
Sale	159.000	03/09/21	03/09/21	618.66	581.70			36.96		
Sale	200.000	03/09/21	03/09/21	878.21	858.01			20.20		
Subtotals				4,823.54	4,625.41		0.01			
FIDELITY BLUE CHIP GROWTH, FBGRX, 316389303										
Sale	119.303	01/08/21	01/25/21	20,681.18	20,000.00			681.18		
FORD MTR CO DEL COM, F, 345370860										
Sale	470.000	02/01/21	02/03/21	5,329.92	5,094.80			235.12		
GLU MOBILE INC, 379890106										
Sale	390.000	02/09/21	02/09/21	4,947.04	4,897.82			49.22		
INVO BIOSCIENCE INC COM, INVO, 44984F302										
Sale	200.000	03/09/21	03/09/21	2,281.11	2,180.00			101.11		
Sale	200.000	03/09/21	03/09/21	2,281.10	2,010.00			271.10		
Sale	200.000	03/09/21	03/09/21	1,833.99	1,778.50			55.49		
Sale	100.000	03/09/21	03/09/21	826.99	773.27			53.72		
Sale	200.000	03/09/21	03/09/21	1,892.99	1,818.00			74.99		
Subtotals				9,116.18	8,559.77					

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JIAYIN GROUP INC SPON ADS EACH REP 4 ORD, JFIN, 47737C104										
Sale	660.000	03/18/21	03/22/21	7,860.55	7,814.40			46.15		
Sale	240.000	03/18/21	03/22/21	2,858.38	2,836.80			21.58		
Sale	100.000	03/18/21	03/22/21	1,191.00	1,183.00			8.00		
Subtotals				11,909.93	11,834.20					
KEMPHARM INC COM NEW, KMPH, 488445206										
Sale	12.000	03/03/21	03/03/21	170.16	154.44			15.72		
Sale	88.000	03/03/21	03/03/21	1,247.83	1,133.39			114.44		
Subtotals				1,417.99	1,287.83					
KOSS CORP, KOSS, 500692108										
Sale	100.000	02/02/21	02/03/21	2,605.94	2,159.00			446.94		
LUCID GROUP INC COM, LCID, 549498103										
Sale	290.000	10/29/21	10/29/21	10,793.74	10,639.61			154.13		
Sale	290.000	11/01/21	11/04/21	10,861.89	10,714.60			147.29		
Subtotals				21,655.63	21,354.21					
OCUGEN INC COM, OCGN, 67577C105										
Sale	100.000	02/11/21	03/03/21	1,266.99	1,099.29			167.70		
Sale	327.000	03/11/21	03/11/21	3,165.70	2,962.62			203.08		
Sale	173.000	03/11/21	03/11/21	1,674.82	1,568.94			105.88		
Sale	500.000	03/11/21	03/11/21	5,036.02	4,910.00			126.02		
Sale	100.000	03/11/21	03/11/21	1,009.03	1,009.00			0.03		

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OCUGEN INC COM, OCGN, 67577C105										
Sale	200.000	03/11/21	03/11/21	2,018.07	2,014.00			4.07		
Sale	66.000	03/11/21	03/11/21	666.26	664.62			1.64		
Sale	134.000	03/11/21	03/11/21	1,352.72	1,353.40		0.68	-0.68		
Sale	366.000	03/11/21	04/26/21	4,406.61	3,841.94			564.67		
Sale	134.000	03/11/21	04/26/21	1,613.35	1,407.29			206.06		
Sale	217.000	06/09/21	10/26/21	2,690.79	2,123.35			567.44		
Sale	683.000	06/09/21	10/26/21	8,469.15	6,686.57			1,782.58		
Subtotals				33,369.51	29,641.02		0.68			
ODONATE THERAPEUTICSINC COM, ODT, 676079106										
Sale	2,000.000	04/07/21	04/07/21	7,709.96	9,820.00			-2,110.04		
Sale	2,000.000	04/07/21	04/07/21	7,709.96	8,520.00			-810.04		
Subtotals				15,419.92	18,340.00					
PALANTIR TECHNOLOGIES INC CL A, PLTR, 69608A108										
Sale	1,000.000	04/27/21	06/02/21	24,395.87	24,089.00			306.87		
Sale	435.000	10/27/21	10/28/21	11,133.29	10,957.61			175.68		
Subtotals				35,529.16	35,046.61					
PLUG POWER INC, PLUG, 72919P202										
Sale	30.000	02/02/21	02/03/21	1,961.36	1,923.90			37.46		

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PROQR THERAPEUTICS NV COM EUR0.04, PRQR, N71542109										
Sale	5,000.000	03/25/21	03/25/21	43,599.77	40,100.00			3,499.77		
Sale	429.000	03/25/21	03/25/21	3,492.04	3,469.67			22.37		
Sale	1,571.000	03/25/21	03/25/21	12,772.16	12,705.93			66.23		
Subtotals				59,863.97	56,275.60					
RIOT BLOCKCHAIN INC COM, RIOT, 767292105										
Sale	90.000	02/17/21	02/17/21	6,583.35	6,023.25			560.10		
Sale	100.000	02/18/21	02/18/21	6,922.35	6,727.40			194.95		
Sale	100.000	02/18/21	02/18/21	6,922.34	6,630.01			292.33		
Sale	500.000	02/18/21	02/18/21	35,604.21	35,298.85			305.36		
Sale	500.000	02/18/21	02/18/21	34,651.73	35,574.80		923.07	-923.07		
Sale	500.000	02/18/21	02/18/21	35,884.20	35,462.57			421.63		
Sale	200.000	02/18/21	02/18/21	12,575.72	14,198.00		1,622.28	-1,622.28		
Sale	300.000	02/18/21	02/19/21	21,560.04	21,297.00			263.04		
Subtotals				160,703.94	161,211.88		2,545.35			
ROBLOX CORP CL A, RBLX, 771049103										
Sale	6.000	03/10/21	03/10/21	432.72	427.08			5.64		
Sale	100.000	03/10/21	03/10/21	7,211.96	7,122.00			89.96		
Sale	600.000	03/10/21	03/10/21	43,271.78	42,714.00			557.78		
Sale	294.000	03/10/21	03/10/21	21,203.17	20,935.74			267.43		
Sale	100.000	03/10/21	03/10/21	7,367.96	7,288.00			79.96		
Sale	100.000	03/10/21	03/10/21	7,123.96	7,099.00			24.96		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ROBLOX CORP CL A, RBLX, 771049103										
Sale	18.000	03/10/21	03/10/21	1,282.13	1,265.58			16.55		
Sale	71.000	03/10/21	03/10/21	5,055.88	4,992.01			63.87		
Sale	11.000	03/10/21	03/10/21	783.31	773.52			9.79		
Sale	99.000	03/10/21	03/10/21	7,106.68	7,028.01			78.67		
Sale	33.000	03/10/21	03/10/21	2,368.89	2,343.00			25.89		
Sale	68.000	03/10/21	03/10/21	4,881.35	4,828.68			52.67		
Sale	100.000	03/10/21	03/10/21	7,161.46	7,080.00			81.46		
Sale	83.000	03/10/21	03/10/21	5,944.09	5,876.40			67.69		
Sale	17.000	03/10/21	03/10/21	1,217.47	1,203.77			13.70		
Sale	200.000	03/10/21	03/10/21	14,291.92	14,200.00			91.92		
Sale	200.000	03/10/21	03/10/21	14,139.92	14,074.00			65.92		
Sale	14.000	03/10/21	03/11/21	1,032.49	995.40			37.09		
Sale	96.000	03/10/21	03/11/21	7,079.96	6,823.68			256.28		
Sale	290.000	03/10/21	03/11/21	21,387.39	20,616.10			771.29		
Subtotals				180,344.49	177,685.97					
ROCKET COS INC COM CL A, RKT, 77311W101										
Sale	100.000	02/02/21	02/03/21	2,175.45	2,110.99			64.46		
SEACHANGE INTERNATIONAL INC, SEAC, 811699107										
Sale	10,000.000	03/29/21	03/29/21	15,299.92	14,600.00			699.92		
Sale	200.000	03/29/21	03/29/21	374.19	363.92			10.27		
Sale	6,806.000	03/29/21	03/29/21	12,727.15	12,384.20			342.95		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666

Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
SEACHANGE INTERNATIONAL INC, SEAC, 811699107										
Sale	2,994.000	03/29/21	03/29/21	5,613.73	5,447.88			165.85		
Subtotals				34,014.99	32,796.00					
SECOND SIGHT MED PRODS INC COM NEW, EYES, 81362J209										
Sale	300.000	03/05/21	03/05/21	1,844.99	1,660.50			184.49		
Sale	700.000	03/05/21	03/05/21	4,311.97	3,874.50			437.47		
Sale	1,000.000	03/05/21	03/05/21	7,779.96	7,280.10			499.86		
Sale	54.000	03/08/21	03/08/21	659.33	580.50			78.83		
Sale	250.000	03/08/21	03/08/21	3,049.98	2,687.50			362.48		
Sale	696.000	03/08/21	03/08/21	8,505.07	7,482.00			1,023.07		
Sale	2,000.000	03/08/21	03/08/21	21,503.29	21,580.00		76.71	-76.71		
Sale	20.000	03/08/21	03/08/21	218.19	214.07			4.12		
Sale	80.000	03/08/21	03/08/21	872.39	856.27			16.12		
Sale	584.000	03/08/21	03/08/21	6,377.24	6,250.76			126.48		
Sale	70.000	03/08/21	03/08/21	762.99	749.23			13.76		
Sale	1,246.000	03/08/21	03/08/21	13,556.41	13,336.38			220.03		
Sale	2,000.000	03/08/21	03/08/21	23,139.88	22,139.80			1,000.08		
Sale	1,000.000	03/08/21	03/08/21	12,253.53	11,849.00			404.53		
Sale	600.000	03/08/21	03/09/21	10,745.94	6,588.00			4,157.94		
Sale	573.000	03/08/21	03/09/21	10,239.45	6,291.54			3,947.91		
Sale	108.000	03/08/21	03/09/21	1,928.87	1,185.84			743.03		
Sale	392.000	03/08/21	03/09/21	7,001.08	4,308.08			2,693.00		
Sale	327.000	03/08/21	03/09/21	5,836.92	3,593.73			2,243.19		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
SECOND SIGHT MED PRODS INC COM NEW, EYES, 81362J209										
Sale	1,000.000	03/09/21	03/09/21	15,202.82	14,959.90			242.92		
Sale	100.000	03/11/21	03/11/21	1,248.99	1,225.00			23.99		
Sale	800.000	03/12/21	03/12/21	12,544.74	12,288.00			256.74		
Sale	200.000	03/12/21	03/12/21	3,136.18	3,074.00			62.18		
Sale	42.000	03/12/21	03/12/21	637.97	630.80			7.17		
Sale	161.000	03/12/21	03/12/21	2,443.96	2,418.06			25.90		
Sale	197.000	03/12/21	03/12/21	2,986.50	2,958.74			27.76		
Sale	600.000	03/12/21	03/12/21	9,105.55	9,011.40			94.15		
Sale	1,000.000	03/15/21	03/15/21	14,203.32	14,090.00			113.32		
Sale	1,000.000	03/15/21	03/15/21	14,580.92	14,356.20			224.72		
Sale	1,000.000	03/16/21	03/16/21	14,645.92	13,999.00			646.92		
Sale	4.000	03/16/21	03/17/21	58.31	58.12			0.19		
Sale	100.000	03/16/21	03/17/21	1,454.00	1,453.00			1.00		
Sale	70.000	03/16/21	03/17/21	1,019.19	1,017.10			2.09		
Sale	110.000	03/16/21	03/17/21	1,600.49	1,598.30			2.19		
Sale	716.000	03/16/21	03/17/21	10,410.58	10,403.48			7.10		
Sale	100.000	03/17/21	03/17/21	1,423.99	1,400.00			23.99		
Sale	100.000	03/17/21	03/17/21	1,441.99	1,428.50			13.49		
Sale	100.000	03/17/21	03/18/21	1,463.99	1,472.00		8.01	-8.01		
Sale	100.000	03/17/21	03/18/21	1,485.44	1,492.78		7.34	-7.34		
Sale	900.000	03/18/21	03/18/21	13,368.98	13,362.93			6.05		
Sale	100.000	03/25/21	03/26/21	888.00	981.34			-93.34		
Sale	4,830.000	03/26/21	03/26/21	42,890.17	47,044.20			-4,154.03		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666

Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
SECOND SIGHT MED PRODS INC COM NEW, EYES, 81362J209										
Sale	70.000	03/26/21	03/26/21	622.29	681.80			-59.51		
Subtotals				309,451.77	293,912.45		92.06			
SNAP INC CL A, SNAP, 83304A106										
Sale	170.000	02/04/21	02/05/21	10,551.66	10,048.70			502.96		
SOCKET MOBILE INC COM NEW, SCKT, 83368E200										
Sale	150.000	02/17/21	02/17/21	2,385.32	2,372.19			13.13		
SOS LIMITED SPON ADSEA REP 10 ORD SHS CL, SOS, 83587W106										
Sale	400.000	02/17/21	02/17/21	5,468.87	5,846.00		377.13	-377.13		
Sale	400.000	02/17/21	02/17/21	4,705.37	6,008.97			-1,303.60		
Subtotals				10,174.24	11,854.97		377.13			
TAKUNG ART CO LTD COM USD0.001 (POST REV, TKAT, 87407Q207										
Sale	200.000	03/23/21	03/23/21	12,061.93	11,896.00			165.93		
Sale	243.000	03/23/21	03/23/21	14,652.82	14,453.64			199.18		
Sale	57.000	03/23/21	03/23/21	3,441.75	3,390.36			51.39		
Sale	25.000	03/23/21	03/23/21	1,465.00	1,464.75			0.25		
Sale	20.000	03/23/21	03/23/21	1,172.00	1,171.40			0.60		
Sale	45.000	03/23/21	03/23/21	2,636.99	2,636.10			0.89		
Sale	265.000	03/23/21	03/23/21	15,528.95	15,502.53			26.42		
Sale	640.000	03/23/21	03/23/21	37,503.87	37,504.00		0.13	-0.13		
Sale	5.000	03/23/21	03/23/21	292.99	293.05		0.06	-0.06		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold type**)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
TAKUNG ART CO LTD COM USD0.001 (POST REV, TKAT, 87407Q207)										
Sale	100.000	03/23/21	03/23/21	5,867.37	5,793.07			74.30		
Sale	50.000	03/23/21	03/23/21	2,933.98	2,896.51			37.47		
Sale	40.000	03/23/21	03/23/21	2,346.78	2,317.20			29.58		
Sale	24.000	03/23/21	03/23/21	1,411.81	1,390.32			21.49		
Sale	355.000	03/23/21	03/23/21	20,824.19	20,565.11			259.08		
Sale	431.000	03/23/21	03/23/21	25,282.33	24,967.88			314.45		
Sale	50.000	03/23/21	03/23/21	2,911.48	2,858.75			52.73		
Sale	50.000	03/23/21	03/23/21	2,912.48	2,858.75			53.73		
Sale	50.000	03/23/21	03/23/21	2,911.98	2,858.75			53.23		
Sale	20.000	03/23/21	03/23/21	1,163.99	1,143.50			20.49		
Sale	743.000	03/23/21	03/23/21	43,153.21	42,481.03			672.18		
Sale	87.000	03/23/21	03/23/21	5,061.63	4,974.22			87.41		
Sale	34.000	03/23/21	03/24/21	1,412.08	1,982.20		570.12	-570.12		
Sale	55.000	03/23/21	03/24/21	2,284.25	3,207.60		923.35	-923.35		
Sale	9.000	03/23/21	03/24/21	373.77	675.79		302.02	-302.02		
Sale	64.000	03/23/21	03/24/21	2,657.90	4,808.99		2,151.09	-2,151.09		
Sale	11.000	03/23/21	03/24/21	456.82	641.41		184.59	-184.59		
Sale	16.000	03/23/21	03/24/21	664.48	1,201.57		537.09	-537.09		
Sale	41.000	03/23/21	03/24/21	1,703.13	3,768.52		2,065.39	-2,065.39		
Sale	100.000	03/23/21	03/24/21	4,153.97	5,835.00		1,681.03	-1,681.03		
Sale	59.000	03/23/21	03/24/21	2,450.85	5,239.63		2,788.78	-2,788.78		
Sale	34.000	03/23/21	03/24/21	1,411.33	1,983.90		572.57	-572.57		
Sale	41.000	03/23/21	03/24/21	1,701.90	4,457.74		2,755.84	-2,755.84		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
 Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
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(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
TAKUNG ART CO LTD COM USD0.001 (POST REV, TKAT, 87407Q207)										
Sale	3.000	03/23/21	03/24/21	124.53	326.46		201.93	-201.93		
Sale	100.000	03/23/21	03/24/21	4,150.98	9,214.54		3,026.88	-5,063.56		
Sale	254.000	03/23/21	03/24/21	10,543.48	14,828.52			-4,285.04		
Sale	56.000	03/23/21	03/24/21	2,324.55	4,210.66			-1,886.11		
Sale	100.000	03/23/21	03/24/21	4,152.37	12,007.89			-7,855.52		
Sale	23.000	03/23/21	03/24/21	954.95	1,730.07			-775.12		
Subtotals				247,058.87	279,537.41		17,760.87			
TESLA INC COM, TSLA, 88160R101										
Sale	5.000	01/08/21	01/20/21	4,247.45	4,369.93		122.48	-122.48		
Sale	5.000	01/31/21	10/15/21	4,130.73	4,127.08			3.65		
Sale	1.000	02/12/21	10/15/21	826.14	800.92			25.22		
Subtotals				9,204.32	9,297.93		122.48			
TILRAY INC COM USD0.0001 CLASS 2, TLRY, 88688T100										
Sale	390.000	02/09/21	02/09/21	16,940.28	16,274.70			665.58		
Sale	200.000	02/09/21	02/10/21	11,610.32	8,720.00			2,890.32		
Sale	190.000	02/09/21	02/10/21	11,029.27	8,284.00			2,745.27		
Subtotals				39,579.87	33,278.70					
TYME TECHNOLOGIES INC COM, TYME, 90238J103										
Sale	3,639.000	02/03/21	03/24/21	7,023.23	14,246.69			-7,223.46		
Sale	0.424	02/03/21	03/24/21	0.81	1.66			-0.85		
Sale	186.576	02/03/21	03/24/21	359.15	730.44			-371.29		

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Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

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Copy B for Recipient OMB No. 1545-0715

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TYME TECHNOLOGIES INC COM, TYME, 90238J103										
Sale	5.424	02/03/21	03/24/21	10.44	21.21			-10.77		
Subtotals				7,393.63	15,000.00					
UNIVERSE PHARMACEUTICALS INC COM USD0.00, UPC, G9442G104										
Sale	1,000.000	03/29/21	03/29/21	7,402.36	7,244.00			158.36		
Sale	3,000.000	03/29/21	03/29/21	21,811.08	21,300.00			511.08		
Sale	32.000	03/29/21	03/29/21	233.92	225.60			8.32		
Sale	68.000	03/29/21	03/29/21	497.07	478.04			19.03		
Sale	653.000	03/29/21	03/29/21	4,799.53	4,590.59			208.94		
Sale	1,300.000	03/29/21	03/29/21	9,554.95	9,152.00			402.95		
Sale	947.000	03/29/21	03/29/21	6,960.41	6,638.47			321.94		
Sale	375.000	03/29/21	03/31/21	1,518.74	2,456.25		937.51	-937.51		
Sale	710.000	03/29/21	03/31/21	2,875.48	4,629.20		778.06	-1,753.72		
Sale	240.000	03/29/21	03/31/21	971.99	1,576.80			-604.81		
Sale	900.000	03/29/21	03/31/21	3,644.98	5,931.00			-2,286.02		
Sale	85.000	03/29/21	03/31/21	344.26	559.30			-215.04		
Sale	15.000	03/29/21	03/31/21	60.98	136.20			-75.22		
Sale	575.000	03/29/21	03/31/21	2,337.60	5,191.57			-2,853.97		
Sale	100.000	03/29/21	03/31/21	406.53	903.00			-496.47		
Subtotals				63,419.88	71,012.02		1,715.57			

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
 Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
USA TRUCK INC, USAK, 902925106										
Sale	100.000	02/03/21	02/05/21	1,135.97	1,114.15			21.82		
Sale	100.000	02/03/21	02/05/21	1,134.97	1,114.15			20.82		
Sale	809.000	02/03/21	02/05/21	9,165.76	9,013.47			152.29		
Sale	191.000	02/03/21	02/05/21	2,163.98	2,125.73			38.25		
Sale	129.000	02/03/21	02/05/21	1,469.27	1,435.71			33.56		
Sale	600.000	06/02/21	07/01/21	10,034.94	9,738.00			296.94		
Sale	200.000	06/02/21	07/01/21	3,339.28	3,246.00			93.28		
Sale	200.000	06/02/21	07/01/21	3,339.28	3,239.00			100.28		
Subtotals				31,783.45	31,026.21					
WAH FU ED GROUP LTD SHS ISIN #VGG9418410, WAFU, G94184101										
Sale	100.000	03/26/21	03/26/21	1,548.19	1,475.01			73.18		
Sale	200.000	03/26/21	03/26/21	3,095.98	2,950.02			145.96		
Sale	1,400.000	03/26/21	03/26/21	21,657.88	20,650.14			1,007.74		
Sale	300.000	03/26/21	03/26/21	4,649.97	4,425.03			224.94		
Sale	2,000.000	03/26/21	03/26/21	26,499.86	25,640.20			859.66		
Subtotals				57,451.88	55,140.40					
XPENG INC SPON ADS EACH REP 2 CL A ORD S, XPEV, 98422D105										
Sale	80.000	01/20/21	01/22/21	4,501.90	4,498.91			2.99		
Sale	105.000	02/02/21	02/04/21	4,972.69	4,957.05			15.64		
Subtotals				9,474.59	9,455.96					

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

Short-term transactions for which basis is reported to the IRS --report on Form 8949 with Box A checked and/or Schedule D, Part I
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
ZOMEDICA CORP COM NPV ISIN #CA98980M1095, ZOM, 98980M109										
Sale	700.000	02/10/21	02/10/21	1,761.51	1,680.07			81.44		
ZOOM VIDEO COMMUNICATIONS INC CL A, ZM, 98980L101										
Sale	13.000	01/21/21	01/22/21	4,976.81	4,911.13			65.68		
Sale	0.499	01/21/21	01/25/21	193.81	188.50			5.31		
Sale	13.000	01/29/21	02/01/21	4,943.66	4,832.17			111.49		
Sale	0.451	01/29/21	02/01/21	171.46	167.72			3.74		
Sale	9.000	03/04/21	03/09/21	3,082.84	3,075.35			7.49		
Subtotals				13,368.58	13,174.87					
111 INC ADS EACH REP2 ORD SHS CL A, YI, 68247Q102										
Sale	100.000	02/11/21	05/10/21	1,120.99	3,181.88			-2,060.89		
TOTALS				1,698,848.62	1,713,054.87	0.00	22,943.60		0.00	
								59,034.83		
								-73,241.08		

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

FORM 1099-B*

2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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2021 TAX REPORTING STATEMENT

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

Summary of 2021 Supplemental Information Not Reported to the IRS

Margin Interest Paid	366.50	Currency Realized Gain/Loss (USD)	0.00
Tax Exempt Investment Expense	0.00	Actual Payment Shortfall.....	0.00
Accrued Interest Paid on Purchases	0.00	Addition to Basis	0.00
Proceeds Investment Expenses	0.00	Account Fees.....	0.00
Severance Tax	0.00	Short Dividends.....	0.00
Administrative Expenses	0.00	Money Market Realized Gain/Loss.....	0.00
Non-deductible Generic Expenses	0.00	Short/Long Term Realized Gain/Loss.....	0.00
Deductible Generic Expenses	0.00	Mortgage Pool Statement (MBS).....	0.00

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2021 SUPPLEMENTAL INFORMATION

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Details of 1099-DIV Transactions

Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
APPLE INC, AAPL, 037833100								
02/11/21	29.31			29.31				
FIDELITY GOVERNMENT MONEY MARKET, SPAXX, 31617H102								
01/29/21	0.08	0.08						
02/26/21	0.03	0.03						
11/30/21	0.01	0.01						
Subtotals	0.12	0.12						
TOTALS	29.43	0.12	0.00	29.31	0.00	0.00	0.00	0.00

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2021** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).



2021 SUPPLEMENTAL INFORMATION

SAURAV PAL

Account No. **Z20-085236** Customer Service: 800-544-6666
Recipient ID No. *****-**-4601** Payer's Fed ID Number: 04-3523567

Note: This information is not reported to the IRS. It may assist you in tax return preparation.

Margin Interest Paid

Description	Date	Amount
MARGIN INTEREST @ 8.325% AVG B	03/21/21	36.51
MARGIN INTEREST @ 8.325% AVG B	04/20/21	56.16
MARGIN INTEREST @ 8.325% AVG B	05/20/21	92.95
MARGIN INTEREST @ 8.325% AVG B	06/20/21	83.43
MARGIN INTEREST @ 8.325% AVG B	07/20/21	48.95
MARGIN INTEREST @ 8.325% AVG B	08/22/21	17.66
MARGIN INTEREST @ 8.325% AVG B	09/20/21	15.64
MARGIN INTEREST @ 8.325% AVG B	10/20/21	15.20
TOTAL		366.50

Important Tax Return Document Enclosed.

