

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 963927496</b>	Statement Date: 02/11/2022 Document ID: B82D X0Y M952	2021
	Vishal Komanpally 6523 Escena Blvd unit 3103 Irving, TX 75039  RECIPIENT'S TIN: XXX-XX-1475		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	249,394.97	266,879.34	0.00	16,740.18	-744.19
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>249,394.97</b>	<b>266,879.34</b>	<b>0.00</b>	<b>16,740.18</b>	<b>-744.19</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>249,394.97</b>	<b>266,879.34</b>	<b>0.00</b>	<b>16,740.18</b>	<b>-744.19</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 963927496

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

**2021 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AENZA S.A.A. / CUSIP: 00776D103 / Symbol:							
5 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	0.169	0.40	04/22/21	0.30	...	0.10	1 of 5 - Sale <sup>25</sup>
	1.021	2.44	04/22/21	1.74	...	0.70	2 of 5 - Sale <sup>25</sup>
	100.000	238.99	04/22/21	173.00	...	65.99	3 of 5 - Sale <sup>25</sup>
	100.000	238.99	04/22/21	173.00	...	65.99	4 of 5 - Sale <sup>25</sup>
	943.979	2,255.97	04/22/21	1,651.96	...	604.01	5 of 5 - Sale <sup>25</sup>
04/22/21	1,145.169	2,736.79	Various	2,000.00	...	736.79	Total of 5 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
2 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.203	4.46	02/08/21	3.67	...	0.79	1 of 2 - Sale <sup>25</sup>
	54.797	1,205.50	02/08/21	993.88	...	211.62	2 of 2 - Sale <sup>25</sup>
02/09/21	55.000	1,209.96	Various	997.55	...	212.41	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.135	3.72	02/08/21	2.45	...	1.27	1 of 3 - Sale <sup>25</sup>
	9.000	245.97	02/09/21	203.11	...	42.86	2 of 3 - Sale <sup>25</sup>
	43.865	1,206.25	02/09/21	989.97	...	216.28	3 of 3 - Sale <sup>25</sup>
02/10/21	53.000	1,455.94	Various	1,195.53	...	260.41	Total of 3 transactions
12 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	83.905	1,539.61	02/12/21	2,587.99	...	-1,048.38	1 of 12 - Sale <sup>25</sup>
	1.000	18.35	02/12/21	30.49	...	-12.14	2 of 12 - Sale <sup>25</sup>
	0.889	16.31	02/12/21	27.10	...	-10.79	3 of 12 - Sale <sup>25</sup>
	0.346	6.35	02/12/21	10.66	...	-4.31	4 of 12 - Sale <sup>25</sup>
	0.119	2.17	02/12/21	4.30	...	-2.13	5 of 12 - Sale <sup>25</sup>
	0.294	5.39	02/12/21	7.36	...	-1.97	6 of 12 - Sale <sup>25</sup>
	0.095	1.75	02/12/21	3.46	...	-1.71	7 of 12 - Sale <sup>25</sup>
	0.242	4.44	02/12/21	6.07	...	-1.63	8 of 12 - Sale <sup>25</sup>
	0.106	1.95	02/12/21	3.24	...	-1.29	9 of 12 - Sale <sup>25</sup>
	0.004	0.07	02/12/21	0.12	...	-0.05	10 of 12 - Sale <sup>25</sup>
	0.001	0.02	02/12/21	0.03	...	-0.01	11 of 12 - Sale <sup>25</sup>
	1.647	30.22	02/12/21	29.00	...	1.22	12 of 12 - Sale <sup>25</sup>
02/12/21	88.647	1,626.63	Various	2,709.82	...	-1,083.19	Total of 12 transactions
8 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.750	11.33	02/09/21	16.92	5.59 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.346	5.23	02/10/21	9.80	4.57 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.001	0.02	02/10/21	0.03	0.01 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.004	0.06	02/10/21	0.11	0.05 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.995	30.16	02/10/21	55.86	25.70 W	0.00	5 of 8 - Sale <sup>25</sup>
	83.905	1,268.60	02/10/21	2,379.12	1,110.52 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.095	1.63	02/10/21	3.41	1.78 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.119	2.03	02/10/21	4.24	2.21 W	0.00	8 of 8 - Sale <sup>25</sup>
02/12/21	87.214	1,319.06	Various	2,469.49	1,150.43 W	0.00	Total of 8 transactions
5 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	0.037	0.52	04/22/21	0.50	...	0.02	1 of 5 - Sale <sup>25</sup>
	0.178	2.54	04/22/21	2.46	...	0.08	2 of 5 - Sale <sup>25</sup>
	67.718	964.38	04/22/21	941.78	...	22.60	3 of 5 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	74.460	1,060.39	04/22/21	1,000.00	...	60.39	4 of 5 - Sale <sup>25</sup>
	460.822	6,562.61	04/22/21	6,357.04	...	205.57	5 of 5 - Sale <sup>25</sup>
04/23/21	603.215	8,590.44	Various	8,301.78	...	288.66	Total of 5 transactions
	<b>Security total:</b>	<b>14,202.03</b>		<b>15,674.17</b>	<b>1,150.43 W</b>	<b>-321.71</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	0.319	45.61	01/25/21	44.97	...	0.64	1 of 2 - Sale <sup>25</sup>
	45.000	6,435.75	01/25/21	6,347.03	...	88.72	2 of 2 - Sale <sup>25</sup>
01/25/21	45.319	6,481.36	Various	6,392.00	...	89.36	Total of 2 transactions
01/28/21	20.830	2,891.82	01/27/21	3,000.00	...	-108.18	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,373.18</b>		<b>9,392.00</b>	...	<b>-18.82</b>	
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
2 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	294.575	898.44	03/11/21	998.58	...	-100.14	1 of 2 - Sale <sup>25</sup>
	0.126	0.38	03/11/21	0.42	...	-0.04	2 of 2 - Sale <sup>25</sup>
03/12/21	294.701	898.82	Various	999.00	...	-100.18	Total of 2 transactions
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
01/22/21	17.000	9.86	01/21/21	10.03	...	-0.17	Sale <sup>25</sup>
CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
04/14/21	9.973	150.26	04/13/21	150.00	...	0.26	Sale <sup>25</sup>
CLOOPEN GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TWO CLASS A OR / CUSIP: 18900M104 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	8.397	385.06	02/09/21	488.60	...	-103.54	1 of 3 - Sale <sup>25</sup>
	0.191	7.49	02/09/21	13.40	...	-5.91	2 of 3 - Sale <sup>25</sup>
	0.005	0.21	02/09/21	0.37	...	-0.16	3 of 3 - Sale <sup>25</sup>
02/10/21	8.593	392.76	Various	502.37	...	-109.61	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLOOPEN GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TWO CLASS A OR / CUSIP: 18900M104 / Symbol: (cont'd)							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	0.598	27.43	02/09/21	34.69	2.31 W	-4.95	1 of 2 - Sale <sup>25</sup>
	0.005	0.25	02/09/21	0.31	0.06 W	0.00	2 of 2 - Sale <sup>25</sup>
02/10/21	0.603	27.68	Various	35.00	2.37 W	-4.95	Total of 2 transactions
	<b>Security total:</b>	<b>420.44</b>		<b>537.37</b>	<b>2.37 W</b>	<b>-114.56</b>	
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	0.042	0.34	03/19/21	0.33	...	0.01	1 of 2 - Sale <sup>25</sup>
	50.958	412.75	03/19/21	403.58	...	9.17	2 of 2 - Sale <sup>25</sup>
03/19/21	51.000	413.09	Various	403.91	...	9.18	Total of 2 transactions
03/22/21	0.011	0.08	03/19/21	0.09	...	-0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>413.17</b>		<b>404.00</b>	...	<b>9.17</b>	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.002	0.46	02/08/21	0.45	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.770	144.77	02/08/21	143.01	...	1.76	2 of 3 - Sale <sup>25</sup>
	10.000	1,879.25	02/08/21	1,856.50	...	22.75	3 of 3 - Sale <sup>25</sup>
02/09/21	10.773	2,024.48	Various	1,999.96	...	24.52	Total of 3 transactions
ECP ENVIRONMENTAL GROWTH OPPOR TUNITIES CORP. CLASS A COMMON STOCK / CUSIP: 26829T100 / Symbol:							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	48.000	487.60	04/07/21	500.64	...	-13.04	1 of 2 - Sale <sup>25</sup>
	0.035	0.35	04/07/21	0.36	...	-0.01	2 of 2 - Sale <sup>25</sup>
04/07/21	48.035	487.95	Various	501.00	...	-13.05	Total of 2 transactions
EHANG HOLDINGS LIMITED ADS / CUSIP: 26853E102 / Symbol:							
2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	0.005	0.38	02/17/21	0.28	...	0.10	1 of 2 - Sale <sup>25</sup>
	18.936	1,339.87	02/17/21	999.72	...	340.15	2 of 2 - Sale <sup>25</sup>
02/17/21	18.941	1,340.25	Various	1,000.00	...	340.25	Total of 2 transactions

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EPIZYME, INC. COMMON STOCK / CUSIP: 29428V104 / Symbol:							
3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	0.162	1.34	05/07/21	1.32	...	0.02	1 of 3 - Sale <sup>25</sup>
	87.000	720.35	05/07/21	708.61	...	11.74	2 of 3 - Sale <sup>25</sup>
	97.000	803.63	05/07/21	790.07	...	13.56	3 of 3 - Sale <sup>25</sup>
05/07/21	184.162	1,525.32	Various	1,500.00	...	25.32	Total of 3 transactions
META PLATFORMS, INC. CLASS A C OMMON STOCK / CUSIP: 30303M102 / Symbol:							
3 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	8.000	2,140.59	01/07/21	2,146.56	...	-5.97	1 of 3 - Sale <sup>25</sup>
	0.810	216.84	01/07/21	217.26	...	-0.42	2 of 3 - Sale <sup>25</sup>
	0.001	0.18	01/07/21	0.18	...	0.00	3 of 3 - Sale <sup>25</sup>
01/07/21	8.810	2,357.61	Various	2,364.00	...	-6.39	Total of 3 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	0.543	101.28	03/26/21	103.50	2.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	930.34	03/26/21	952.50	22.16 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/21	5.543	1,031.62	Various	1,056.00	24.38 W	0.00	Total of 2 transactions
3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	5.000	695.04	04/12/21	729.41	...	-34.37	1 of 3 - Sale <sup>25</sup>
	0.543	75.53	04/12/21	79.07	...	-3.54	2 of 3 - Sale <sup>25</sup>
	1.279	177.73	04/12/21	180.85	...	-3.12	3 of 3 - Sale <sup>25</sup>
04/13/21	6.822	948.30	Various	989.33	...	-41.03	Total of 3 transactions
	<b>Security total:</b>	<b>1,979.92</b>		<b>2,045.33</b>	<b>24.38 W</b>	<b>-41.03</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	20.723	40.00	01/27/21	53.67	...	-13.67	1 of 3 - Sale <sup>25</sup>
	0.238	0.46	01/27/21	0.77	...	-0.31	2 of 3 - Sale <sup>25</sup>
	0.039	0.07	01/27/21	0.10	...	-0.03	3 of 3 - Sale <sup>25</sup>
01/28/21	21.000	40.53	Various	54.54	...	-14.01	Total of 3 transactions
01/28/21	0.238	0.46	01/27/21	0.61	0.15 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>40.99</b>		<b>55.15</b>	<b>0.15 W</b>	<b>-14.01</b>	

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
2 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	4.000	156.04	04/13/21	160.50	...	-4.46	1 of 2 - Sale <sup>25</sup>
	0.554	21.61	04/13/21	22.23	...	-0.62	2 of 2 - Sale <sup>25</sup>
04/14/21	4.554	177.65	Various	182.73	...	-5.08	Total of 2 transactions
GRUPO SIMEC, S.A.B DE C.V. / CUSIP: 400491106 / Symbol:							
04/09/21	25.000	330.90	04/08/21	362.45	...	-31.55	Sale <sup>25</sup>
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K409 / Symbol:							
2 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	18.000	204.17	02/09/21	270.18	...	-66.01	1 of 2 - Sale <sup>25</sup>
	0.521	5.87	02/09/21	7.82	...	-1.95	2 of 2 - Sale <sup>25</sup>
02/10/21	18.521	210.04	Various	278.00	...	-67.96	Total of 2 transactions
HOMOLOGY MEDICINES, INC. COMMON STOCK / CUSIP: 438083107 / Symbol:							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	7.896	58.79	04/08/21	57.45	...	1.34	1 of 2 - Sale <sup>25</sup>
	117.965	878.25	04/08/21	869.99	...	8.26	2 of 2 - Sale <sup>25</sup>
04/09/21	125.860	937.04	Various	927.44	...	9.60	Total of 2 transactions
IDERA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45168K405 / Symbol:							
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	142.000	254.53	03/19/21	271.93	...	-17.40	1 of 2 - Sale <sup>25</sup>
	7.869	14.13	03/19/21	15.07	...	-0.94	2 of 2 - Sale <sup>25</sup>
03/22/21	149.869	268.66	Various	287.00	...	-18.34	Total of 2 transactions
KALEIDO BIOSCIENCES, INC. COMMON STOCK / CUSIP: 483347100 / Symbol:							
7 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	0.580	4.58	04/15/21	4.36	...	0.22	1 of 7 - Sale <sup>25</sup>
	100.000	788.98	04/15/21	753.42	...	35.56	2 of 7 - Sale <sup>25</sup>
	100.000	788.98	04/15/21	753.42	...	35.56	3 of 7 - Sale <sup>25</sup>
	100.000	789.98	04/15/21	753.42	...	36.56	4 of 7 - Sale <sup>25</sup>
	100.000	789.98	04/15/21	753.42	...	36.56	5 of 7 - Sale <sup>25</sup>
	139.420	1,101.39	04/15/21	1,050.42	...	50.97	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KALEIDO BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 483347100 / Symbol: (cont'd)							
	191.000	1,505.05	04/15/21	1,439.03	...	66.02	7 of 7 - Sale <sup>25</sup>
04/15/21	731.000	5,768.94	Various	5,507.49	...	261.45	Total of 7 transactions
04/16/21	0.200	1.36	04/15/21	1.51	...	-0.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,770.30</b>		<b>5,509.00</b>	...	<b>261.30</b>	
KALVISTA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 483497103 / Symbol:							
3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.							
	10.984	403.31	02/09/21	411.24	...	-7.93	1 of 3 - Sale <sup>25</sup>
	0.016	0.59	02/09/21	0.60	...	-0.01	2 of 3 - Sale <sup>25</sup>
	0.004	0.17	02/09/21	0.16	...	0.01	3 of 3 - Sale <sup>25</sup>
02/10/21	11.004	404.07	Various	412.00	...	-7.93	Total of 3 transactions
KELLY SERVICES, INC. CLASS B C OMMON STOCK / CUSIP: 488152307 / Symbol:							
04/07/21	0.433	15.80	04/05/21	26.00	...	-10.20	Sale <sup>25</sup>
KNOWBE4, INC. CLASS A COMMON S TOCK / CUSIP: 49926T104 / Symbol:							
3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.							
	87.914	1,861.89	04/22/21	1,984.18	...	-122.29	1 of 3 - Sale <sup>25</sup>
	33.000	699.26	04/22/21	744.79	...	-45.53	2 of 3 - Sale <sup>25</sup>
	0.086	1.82	04/22/21	2.02	...	-0.20	3 of 3 - Sale <sup>25</sup>
04/22/21	121.000	2,562.97	Various	2,730.99	...	-168.02	Total of 3 transactions
04/22/21	0.311	6.60	04/22/21	7.03	0.12 W	-0.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,569.57</b>		<b>2,738.02</b>	<b>0.12 W</b>	<b>-168.33</b>	
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	54.000	461.15	03/10/21	494.64	...	-33.49	1 of 3 - Sale <sup>25</sup>
	0.555	4.75	03/10/21	5.09	...	-0.34	2 of 3 - Sale <sup>25</sup>
	0.029	0.25	03/10/21	0.27	...	-0.02	3 of 3 - Sale <sup>25</sup>
03/10/21	54.585	466.15	Various	500.00	...	-33.85	Total of 3 transactions

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Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	0.236	5.56	04/26/21	5.26	...	0.30	1 of 2 - Sale <sup>25</sup>
	448.599	10,552.73	04/26/21	9,994.74	...	557.99	2 of 2 - Sale <sup>25</sup>
04/26/21	448.835	10,558.29	Various	10,000.00	...	558.29	Total of 2 transactions
NGL ENERGY PARTNERS LP / CUSIP: 62913M107 / Symbol:							
01/21/21	91.324	341.73	01/21/21	300.00	...	41.73	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	0.216	9.64	03/11/21	9.73	0.09 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.216	9.64	03/11/21	9.82	0.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	21.784	972.43	03/11/21	981.26	8.83 W	0.00	3 of 3 - Sale <sup>25</sup>
03/15/21	22.216	991.71	Various	1,000.81	9.10 W	0.00	Total of 3 transactions
3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	0.214	9.30	03/18/21	9.08	...	0.22	1 of 3 - Sale <sup>25</sup>
	0.967	42.11	03/18/21	40.30	...	1.81	2 of 3 - Sale <sup>25</sup>
	21.784	948.48	03/18/21	916.57	...	31.91	3 of 3 - Sale <sup>25</sup>
03/22/21	22.965	999.89	Various	965.95	...	33.94	Total of 3 transactions
03/22/21	0.002	0.09	03/18/21	0.10	0.01 W	0.00	Sale <sup>25</sup>
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.187	7.96	03/18/21	7.81	...	0.15	1 of 2 - Sale <sup>25</sup>
	16.394	696.10	03/18/21	683.15	...	12.95	2 of 2 - Sale <sup>25</sup>
03/23/21	16.582	704.06	Various	690.96	...	13.10	Total of 2 transactions
3 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	0.002	0.10	03/19/21	0.11	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.998	42.36	03/19/21	43.00	0.64 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.606	365.39	03/19/21	370.90	5.51 W	0.00	3 of 3 - Sale <sup>25</sup>
03/23/21	9.606	407.85	Various	414.01	6.16 W	0.00	Total of 3 transactions
4 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	0.002	0.09	04/09/21	0.10	0.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.753	28.47	04/09/21	28.93	0.46 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.245	9.26	04/09/21	9.42	0.16 W	0.00	3 of 4 - Sale <sup>25</sup>

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Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	7.754	293.26	04/09/21	298.06	4.80 W	0.00	4 of 4 - Sale <sup>25</sup>
04/12/21	8.754	331.08	Various	336.51	5.43 W	0.00	Total of 4 transactions
	7 transactions for 04/15/21. Total proceeds and cost reported to the IRS.						
	0.013	0.48	04/15/21	0.47	...	0.01	1 of 7 - Sale <sup>25</sup>
	0.245	8.73	04/15/21	8.66	...	0.07	2 of 7 - Sale <sup>25</sup>
	0.274	9.77	04/15/21	9.69	...	0.08	3 of 7 - Sale <sup>25</sup>
	0.564	20.11	04/15/21	19.93	...	0.18	4 of 7 - Sale <sup>25</sup>
	0.753	26.84	04/15/21	26.58	...	0.26	5 of 7 - Sale <sup>25</sup>
	7.754	276.43	04/15/21	273.85	...	2.58	6 of 7 - Sale <sup>25</sup>
	156.668	5,585.19	04/15/21	5,436.05	...	149.14	7 of 7 - Sale <sup>25</sup>
04/15/21	166.272	5,927.55	Various	5,775.23	...	152.32	Total of 7 transactions
04/15/21	0.002	0.08	04/15/21	0.09	0.01 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/22/21. Total proceeds and cost reported to the IRS.						
	0.002	0.09	04/21/21	0.09	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.014	0.55	04/21/21	0.52	...	0.03	2 of 3 - Sale <sup>25</sup>
	165.000	6,359.27	04/21/21	5,999.40	...	359.87	3 of 3 - Sale <sup>25</sup>
04/22/21	165.017	6,359.91	Various	6,000.01	...	359.90	Total of 3 transactions
	3 transactions for 04/23/21. Total proceeds and cost reported to the IRS.						
	0.016	0.65	04/22/21	0.64	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.788	31.50	04/22/21	31.19	...	0.31	2 of 3 - Sale <sup>25</sup>
	64.000	2,557.09	04/22/21	2,532.16	...	24.93	3 of 3 - Sale <sup>25</sup>
04/23/21	64.805	2,589.24	Various	2,563.99	...	25.25	Total of 3 transactions
	<b>Security total:</b>	<b>18,311.46</b>		<b>17,747.66</b>	<b>20.71 W</b>	<b>584.51</b>	
NEURONETICS, INC. COMMON STOCK / CUSIP: 64131A105 / Symbol:							
	3 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	160.224	1,984.66	05/04/21	1,989.96	...	-5.30	1 of 3 - Sale <sup>25</sup>
	0.036	0.44	05/04/21	0.44	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.776	9.62	05/04/21	9.60	...	0.02	3 of 3 - Sale <sup>25</sup>
05/04/21	161.036	1,994.72	Various	2,000.00	...	-5.28	Total of 3 transactions

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Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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NEXIMMUNE, INC. COMMON STOCK / CUSIP: 65344D109 / Symbol:							
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.377	10.14	02/12/21	8.96	...	1.18	1 of 2 - Sale <sup>25</sup>
	52.584	1,414.45	02/12/21	1,283.04	...	131.41	2 of 2 - Sale <sup>25</sup>
02/12/21	52.961	1,424.59	Various	1,292.00	...	132.59	Total of 2 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
5 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	0.091	1.13	02/08/21	0.97	...	0.16	1 of 5 - Sale <sup>25</sup>
	0.087	1.09	02/08/21	0.93	...	0.16	2 of 5 - Sale <sup>25</sup>
	7.000	87.50	02/08/21	74.80	...	12.70	3 of 5 - Sale <sup>25</sup>
	88.000	1,099.96	02/08/21	940.28	...	159.68	4 of 5 - Sale <sup>25</sup>
	91.822	1,147.74	02/08/21	981.12	...	166.62	5 of 5 - Sale <sup>25</sup>
02/09/21	187.000	2,337.42	Various	1,998.10	...	339.32	Total of 5 transactions
02/11/21	0.178	2.04	02/08/21	1.90	...	0.14	Sale <sup>25</sup>
4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	0.416	4.78	02/09/21	5.25	0.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	79.406	913.14	02/09/21	1,004.32	91.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	574.98	02/09/21	632.39	57.41 W	0.00	3 of 4 - Sale <sup>25</sup>
	28.000	322.00	02/09/21	354.13	32.13 W	0.00	4 of 4 - Sale <sup>25</sup>
02/11/21	157.822	1,814.90	Various	1,996.09	181.19 W	0.00	Total of 4 transactions
2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	50.000	502.98	02/11/21	653.03	...	-150.05	1 of 2 - Sale <sup>25</sup>
	25.000	251.49	02/11/21	326.49	...	-75.00	2 of 2 - Sale <sup>25</sup>
02/12/21	75.000	754.47	Various	979.52	...	-225.05	Total of 2 transactions
4 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	0.309	3.11	02/09/21	4.26	1.15 W	0.00	1 of 4 - Sale <sup>25</sup>
	74.449	748.92	02/11/21	972.36	72.77 W	-150.67	2 of 4 - Sale <sup>25</sup>
	0.107	1.08	02/11/21	1.38	0.30 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.957	49.87	02/11/21	64.14	14.27 W	0.00	4 of 4 - Sale <sup>25</sup>
02/12/21	79.822	802.98	Various	1,042.14	88.49 W	-150.67	Total of 4 transactions
7 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	24.248	241.74	02/18/21	321.07	...	-79.33	1 of 7 - Sale <sup>25</sup>
	4.957	49.43	02/18/21	65.03	...	-15.60	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	2.207	22.01	02/18/21	25.13	...	-3.12	3 of 7 - Sale <sup>25</sup>
	0.309	3.08	02/18/21	4.31	...	-1.23	4 of 7 - Sale <sup>25</sup>
	0.621	6.24	02/18/21	7.04	...	-0.80	5 of 7 - Sale <sup>25</sup>
	0.107	1.07	02/18/21	1.40	...	-0.33	6 of 7 - Sale <sup>25</sup>
	0.172	1.71	02/18/21	1.95	...	-0.24	7 of 7 - Sale <sup>25</sup>
02/22/21	32.621	325.28	Various	425.93	...	-100.65	Total of 7 transactions
	<b>Security total:</b>	<b>6,037.09</b>		<b>6,443.68</b>	<b>269.68 W</b>	<b>-136.91</b>	
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
<i>5 transactions for 03/15/21. Total proceeds and cost reported to the IRS.</i>							
	0.009	0.04	03/11/21	0.03	...	0.01	1 of 5 - Sale <sup>25</sup>
	0.681	3.00	03/11/21	2.55	...	0.45	2 of 5 - Sale <sup>25</sup>
	1.000	4.40	03/11/21	3.75	...	0.65	3 of 5 - Sale <sup>25</sup>
	0.544	2.40	03/12/21	2.30	...	0.10	4 of 5 - Sale <sup>25</sup>
	211.774	931.87	03/12/21	895.70	...	36.17	5 of 5 - Sale <sup>25</sup>
03/15/21	214.009	941.71	Various	904.33	...	37.38	Total of 5 transactions
ORLA MINING LTD. / CUSIP: 68634K106 / Symbol:							
<i>2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>							
	0.359	1.42	03/18/21	1.39	...	0.03	1 of 2 - Sale <sup>25</sup>
	102.208	402.68	03/18/21	398.61	...	4.07	2 of 2 - Sale <sup>25</sup>
03/19/21	102.567	404.10	Various	400.00	...	4.10	Total of 2 transactions
ORPHAZYME A/S AMERICAN DEPOSIT ARY SHARES / CUSIP: 687305102 / Symbol:							
03/30/21	0.622	6.23	03/30/21	5.80	...	0.43	Sale <sup>25</sup>
<i>3 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i>							
	98.622	818.77	03/30/21	919.16	...	-100.39	1 of 3 - Sale <sup>25</sup>
	10.378	86.16	03/30/21	96.72	...	-10.56	2 of 3 - Sale <sup>25</sup>
	1.000	8.30	03/30/21	9.32	...	-1.02	3 of 3 - Sale <sup>25</sup>
04/05/21	110.000	913.23	Various	1,025.20	...	-111.97	Total of 3 transactions
	<b>Security total:</b>	<b>919.46</b>		<b>1,031.00</b>	...	<b>-111.54</b>	

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	0.053	1.32	02/18/21	1.32	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.382	9.60	02/18/21	9.59	...	0.01	2 of 3 - Sale <sup>25</sup>
	20.988	527.82	02/18/21	527.20	...	0.62	3 of 3 - Sale <sup>25</sup>
02/23/21	21.422	538.74	Various	538.11	...	0.63	Total of 3 transactions
PROFOUND MEDICAL CORP. COMMON STOCK / CUSIP: 74319B502 / Symbol:							
7 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	0.045	0.90	05/06/21	0.90	...	0.00	1 of 7 - Sale <sup>25</sup>
	0.103	2.07	05/06/21	2.06	...	0.01	2 of 7 - Sale <sup>25</sup>
	0.413	8.32	05/06/21	8.28	...	0.04	3 of 7 - Sale <sup>25</sup>
	14.999	302.22	05/06/21	302.10	...	0.12	4 of 7 - Sale <sup>25</sup>
	0.559	11.36	05/06/21	11.21	...	0.15	5 of 7 - Sale <sup>25</sup>
	14.441	290.98	05/06/21	289.63	...	1.35	6 of 7 - Sale <sup>25</sup>
	84.000	1,692.58	05/06/21	1,684.74	...	7.84	7 of 7 - Sale <sup>25</sup>
05/07/21	114.559	2,308.43	Various	2,298.92	...	9.51	Total of 7 transactions
PROVENTION BIO, INC. COMMON STOCK / CUSIP: 74374N102 / Symbol:							
3 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	0.022	0.18	04/12/21	0.18	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.131	1.06	04/12/21	1.05	...	0.01	2 of 3 - Sale <sup>25</sup>
	40.978	331.49	04/12/21	329.85	...	1.64	3 of 3 - Sale <sup>25</sup>
04/13/21	41.131	332.73	Various	331.08	...	1.65	Total of 3 transactions
RESOLUTE FOREST PRODUCTS INC. / CUSIP: 76117W109 / Symbol:							
13 transactions for 04/19/21. Total proceeds and cost reported to the IRS.							
	7.000	112.49	04/19/21	110.60	...	1.89	1 of 13 - Sale <sup>25</sup>
	13.000	208.91	04/19/21	205.40	...	3.51	2 of 13 - Sale <sup>25</sup>
	17.658	283.76	04/19/21	279.00	...	4.76	3 of 13 - Sale <sup>25</sup>
	19.658	315.91	04/19/21	310.60	...	5.31	4 of 13 - Sale <sup>25</sup>
	23.000	369.61	04/19/21	363.40	...	6.21	5 of 13 - Sale <sup>25</sup>
	27.000	433.89	04/19/21	426.60	...	7.29	6 of 13 - Sale <sup>25</sup>
	43.000	691.00	04/19/21	679.40	...	11.60	7 of 13 - Sale <sup>25</sup>
	67.342	1,082.17	04/19/21	1,064.00	...	18.17	8 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RESOLUTE FOREST PRODUCTS INC. / CUSIP: 76117W109 / Symbol: (cont'd)							
	100.000	1,606.98	04/19/21	1,580.00	...	26.98	9 of 13 - Sale <sup>25</sup>
	100.000	1,607.08	04/19/21	1,580.00	...	27.08	10 of 13 - Sale <sup>25</sup>
	100.000	1,607.08	04/19/21	1,580.00	...	27.08	11 of 13 - Sale <sup>25</sup>
	100.000	1,607.08	04/19/21	1,580.00	...	27.08	12 of 13 - Sale <sup>25</sup>
	200.000	3,214.15	04/19/21	3,160.00	...	54.15	13 of 13 - Sale <sup>25</sup>
04/19/21	817.658	13,140.11	Various	12,919.00	...	221.11	Total of 13 transactions
05/05/21	70.468	1,052.29	05/04/21	1,000.00	...	52.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,192.40</b>		<b>13,919.00</b>	...	<b>273.40</b>	
RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	10.962	270.93	03/18/21	282.37	...	-11.44	1 of 2 - Sale <sup>25</sup>
	0.687	16.98	03/18/21	17.63	...	-0.65	2 of 2 - Sale <sup>25</sup>
03/19/21	11.648	287.91	Various	300.00	...	-12.09	Total of 2 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
5 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	104.830	6,671.39	02/05/21	6,731.10	...	-59.71	1 of 5 - Sale <sup>25</sup>
	0.138	8.77	02/05/21	8.93	...	-0.16	2 of 5 - Sale <sup>25</sup>
	0.033	2.08	02/05/21	2.12	...	-0.04	3 of 5 - Sale <sup>25</sup>
	0.151	9.01	02/05/21	8.64	...	0.37	4 of 5 - Sale <sup>25</sup>
	104.767	6,244.95	02/05/21	5,991.36	...	253.59	5 of 5 - Sale <sup>25</sup>
02/05/21	209.918	12,936.20	Various	12,742.15	...	194.05	Total of 5 transactions
2 transactions for 02/05/21. Total proceeds and cost reported to the IRS.							
	0.138	8.77	02/05/21	8.85	0.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.033	2.08	02/05/21	2.10	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
02/05/21	0.171	10.85	Various	10.95	0.10 W	0.00	Total of 2 transactions
3 transactions for 03/10/21. Total proceeds and cost reported to the IRS.							
	6.649	375.86	03/10/21	380.01	...	-4.15	1 of 3 - Sale <sup>25</sup>
	0.349	19.73	03/10/21	20.13	...	-0.40	2 of 3 - Sale <sup>25</sup>
	0.002	0.12	03/10/21	0.12	...	0.00	3 of 3 - Sale <sup>25</sup>
03/10/21	7.000	395.71	Various	400.26	...	-4.55	Total of 3 transactions
03/10/21	0.349	19.74	03/10/21	19.93	0.19 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SNAP INC. / CUSIP: 83304A106 / Symbol: (cont'd)							
2 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	0.044	2.62	04/22/21	2.45	...	0.17	1 of 2 - Sale <sup>25</sup>
	59.605	3,550.08	04/22/21	3,497.00	...	53.08	2 of 2 - Sale <sup>25</sup>
04/23/21	59.649	3,552.70	Various	3,499.45	...	53.25	Total of 2 transactions
3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	18.000	974.42	05/04/21	995.37	...	-20.95	1 of 3 - Sale <sup>25</sup>
	0.070	3.80	05/04/21	3.88	...	-0.08	2 of 3 - Sale <sup>25</sup>
	0.014	0.73	05/04/21	0.75	...	-0.02	3 of 3 - Sale <sup>25</sup>
05/05/21	18.084	978.95	Various	1,000.00	...	-21.05	Total of 3 transactions
	<b>Security total:</b>	<b>17,894.15</b>		<b>17,672.74</b>	<b>0.29 W</b>	<b>221.70</b>	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
2 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	8.181	1,415.82	01/13/21	1,406.28	...	9.54	1 of 2 - Sale <sup>25</sup>
	15.937	2,758.15	01/13/21	2,726.00	...	32.15	2 of 2 - Sale <sup>25</sup>
01/14/21	24.118	4,173.97	Various	4,132.28	...	41.69	Total of 2 transactions
10 transactions for 01/14/21. Total proceeds and cost reported to the IRS.							
	0.185	31.93	01/13/21	32.76	0.83 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.397	68.73	01/13/21	70.51	1.78 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.815	141.00	01/13/21	144.67	3.67 W	0.00	3 of 10 - Sale <sup>25</sup>
	13.000	2,249.86	01/13/21	2,307.37	57.51 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.121	20.64	01/14/21	21.32	0.68 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.185	31.57	01/14/21	32.61	1.04 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.397	67.94	01/14/21	70.18	2.24 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.694	118.73	01/14/21	122.68	3.95 W	0.00	8 of 10 - Sale <sup>25</sup>
	13.000	2,223.91	01/14/21	2,296.76	72.85 W	0.00	9 of 10 - Sale <sup>25</sup>
	24.300	4,157.06	01/14/21	4,185.75	28.69 W	0.00	10 of 10 - Sale <sup>25</sup>
01/14/21	53.093	9,111.37	Various	9,284.61	173.24 W	0.00	Total of 10 transactions
01/15/21	1.286	219.70	01/14/21	220.81	...	-1.11	Sale <sup>25</sup>
12 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	12.283	2,098.99	01/14/21	2,124.18	10.92 W	-14.27	1 of 12 - Sale <sup>25</sup>
	0.022	3.59	01/14/21	3.84	0.25 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.013	2.27	01/14/21	2.36	0.09 W	0.00	3 of 12 - Sale <sup>25</sup>

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Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol: (cont'd)							
	0.022	3.69	01/14/21	3.97	0.28 W	0.00	4 of 12 - Sale <sup>25</sup>
	0.121	20.57	01/14/21	21.40	0.83 W	0.00	5 of 12 - Sale <sup>25</sup>
	0.185	31.46	01/14/21	32.73	1.27 W	0.00	6 of 12 - Sale <sup>25</sup>
	0.362	61.76	01/14/21	64.25	2.49 W	0.00	7 of 12 - Sale <sup>25</sup>
	0.694	118.35	01/14/21	123.15	4.80 W	0.00	8 of 12 - Sale <sup>25</sup>
	13.000	2,216.71	01/14/21	2,305.60	88.89 W	0.00	9 of 12 - Sale <sup>25</sup>
	11.972	2,041.40	01/14/21	2,070.30	28.90 W	0.00	10 of 12 - Sale <sup>25</sup>
	0.013	2.27	01/14/21	2.38	0.11 W	0.00	11 of 12 - Sale <sup>25</sup>
	0.045	7.73	01/14/21	7.82	0.09 W	0.00	12 of 12 - Sale <sup>25</sup>
01/15/21	38.732	6,608.79	Various	6,761.98	138.92 W	-14.27	Total of 12 transactions
	8 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	13.000	2,223.60	01/20/21	2,350.59	...	-126.99	1 of 8 - Sale <sup>25</sup>
	10.540	1,802.82	01/20/21	1,859.14	...	-56.32	2 of 8 - Sale <sup>25</sup>
	0.457	78.18	01/20/21	82.68	...	-4.50	3 of 8 - Sale <sup>25</sup>
	0.362	61.95	01/20/21	65.50	...	-3.55	4 of 8 - Sale <sup>25</sup>
	0.237	40.53	01/20/21	42.86	...	-2.33	5 of 8 - Sale <sup>25</sup>
	0.185	31.56	01/20/21	33.37	...	-1.81	6 of 8 - Sale <sup>25</sup>
	0.121	20.64	01/20/21	21.82	...	-1.18	7 of 8 - Sale <sup>25</sup>
	0.022	3.70	01/20/21	4.04	...	-0.34	8 of 8 - Sale <sup>25</sup>
01/21/21	24.923	4,262.98	Various	4,460.00	...	-197.02	Total of 8 transactions
	5 transactions for 02/04/21. Total proceeds and cost reported to the IRS.						
	0.808	138.88	02/04/21	140.95	2.07 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.013	2.29	02/04/21	2.40	0.11 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.045	7.77	02/04/21	7.88	0.11 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.624	107.19	02/04/21	108.99	1.80 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.309	740.47	02/04/21	751.28	10.81 W	0.00	5 of 5 - Sale <sup>25</sup>
02/04/21	5.799	996.60	Various	1,011.50	14.90 W	0.00	Total of 5 transactions
02/16/21	0.000	0.02	02/10/21	0.02	...	0.00	Sale <sup>25</sup>
	2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.						
	0.015	2.53	02/10/21	2.54	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	169.93	02/10/21	170.52	0.59 W	0.00	2 of 2 - Sale <sup>25</sup>
02/16/21	1.015	172.46	Various	173.06	0.60 W	0.00	Total of 2 transactions
03/04/21	2.845	415.78	03/03/21	417.65	...	-1.87	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol: (cont'd)							
<i>7 transactions for 03/04/21. Total proceeds and cost reported to the IRS.</i>							
	4.309	629.72	03/03/21	643.38	13.05 W	-0.61	1 of 7 - Sale <sup>25</sup>
	0.013	1.95	03/03/21	2.06	0.11 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.015	2.18	03/03/21	2.20	0.02 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	146.13	03/03/21	147.38	1.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.045	6.61	03/03/21	6.75	0.14 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.624	91.16	03/03/21	93.37	2.21 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.808	118.11	03/03/21	120.71	2.60 W	0.00	7 of 7 - Sale <sup>25</sup>
03/04/21	6.814	995.86	Various	1,015.85	19.38 W	-0.61	Total of 7 transactions
<i>7 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	4.116	572.34	03/09/21	575.61	...	-3.27	1 of 7 - Sale <sup>25</sup>
	0.624	86.74	03/09/21	87.47	...	-0.73	2 of 7 - Sale <sup>25</sup>
	0.808	112.39	03/09/21	113.07	...	-0.68	3 of 7 - Sale <sup>25</sup>
	0.013	1.85	03/09/21	1.93	...	-0.08	4 of 7 - Sale <sup>25</sup>
	0.045	6.29	03/09/21	6.32	...	-0.03	5 of 7 - Sale <sup>25</sup>
	0.015	2.07	03/09/21	2.05	...	0.02	6 of 7 - Sale <sup>25</sup>
	1.000	139.05	03/09/21	137.93	...	1.12	7 of 7 - Sale <sup>25</sup>
03/10/21	6.621	920.73	Various	924.38	...	-3.65	Total of 7 transactions
	<b>Security total:</b>	<b>27,878.26</b>		<b>28,402.14</b>	<b>347.04 W</b>	<b>-176.84</b>	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>5 transactions for 04/05/21. Total proceeds and cost reported to the IRS.</i>							
	1.739	1.82	03/19/21	2.56	0.58 W	-0.16	1 of 5 - Sale <sup>25</sup>
	0.226	0.24	03/19/21	0.33	0.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.368	0.39	03/19/21	0.54	0.15 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.226	0.24	03/19/21	0.42	0.18 W	0.00	4 of 5 - Sale <sup>25</sup>
	503.000	543.17	03/19/21	738.85	195.68 W	0.00	5 of 5 - Sale <sup>25</sup>
04/05/21	505.559	545.86	Various	742.70	196.68 W	-0.16	Total of 5 transactions
<i>13 transactions for 04/12/21. Total proceeds and cost reported to the IRS.</i>							
	0.388	0.37	03/19/21	0.57	0.20 W	0.00	1 of 13 - Sale <sup>25</sup>
	174.836	164.69	03/19/21	256.82	92.13 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.226	0.21	03/22/21	0.48	0.27 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.368	0.35	03/22/21	0.64	0.29 W	0.00	4 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol: (cont'd)							
	1.371	1.29	03/22/21	2.40	1.11 W	0.00	5 of 13 - Sale <sup>25</sup>
	57.035	53.73	03/22/21	98.05	44.32 W	0.00	6 of 13 - Sale <sup>25</sup>
	0.388	0.37	03/22/21	0.72	0.35 W	0.00	7 of 13 - Sale <sup>25</sup>
	445.965	420.08	03/22/21	766.62	346.54 W	0.00	8 of 13 - Sale <sup>25</sup>
	244.647	230.45	03/22/21	325.38	94.93 W	0.00	9 of 13 - Sale <sup>25</sup>
	15.937	15.01	04/07/21	16.24	1.23 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.138	0.13	04/07/21	0.14	0.01 W	0.00	11 of 13 - Sale <sup>25</sup>
	9.104	8.58	04/08/21	8.76	0.18 W	0.00	12 of 13 - Sale <sup>25</sup>
	73.985	69.69	04/08/21	71.24	1.55 W	0.00	13 of 13 - Sale <sup>25</sup>
04/12/21	1,024.388	964.95	Various	1,548.06	583.11 W	0.00	Total of 13 transactions
04/22/21	1.364	1.19	04/13/21	2.02	0.83 W	0.00	Sale <sup>25</sup>
	16 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	398.374	446.13	05/04/21	625.11	...	-178.98	1 of 16 - Sale <sup>25</sup>
	173.238	194.01	05/04/21	228.51	...	-34.50	2 of 16 - Sale <sup>25</sup>
	57.035	63.87	05/04/21	89.50	...	-25.63	3 of 16 - Sale <sup>25</sup>
	1.371	1.54	05/04/21	2.20	...	-0.66	4 of 16 - Sale <sup>25</sup>
	0.388	0.43	05/04/21	0.66	...	-0.23	5 of 16 - Sale <sup>25</sup>
	0.226	0.25	05/04/21	0.45	...	-0.20	6 of 16 - Sale <sup>25</sup>
	0.368	0.41	05/04/21	0.58	...	-0.17	7 of 16 - Sale <sup>25</sup>
	47.592	53.30	05/05/21	74.75	...	-21.45	8 of 16 - Sale <sup>25</sup>
	244.647	273.97	05/05/21	289.11	...	-15.14	9 of 16 - Sale <sup>25</sup>
	1.364	1.53	05/05/21	1.91	...	-0.38	10 of 16 - Sale <sup>25</sup>
	0.233	0.26	05/05/21	0.23	...	0.03	11 of 16 - Sale <sup>25</sup>
	0.138	0.15	05/05/21	0.12	...	0.03	12 of 16 - Sale <sup>25</sup>
	9.104	10.20	05/05/21	7.41	...	2.79	13 of 16 - Sale <sup>25</sup>
	15.937	17.85	05/05/21	13.88	...	3.97	14 of 16 - Sale <sup>25</sup>
	73.985	82.85	05/05/21	60.27	...	22.58	15 of 16 - Sale <sup>25</sup>
	204.000	228.45	05/05/21	161.91	...	66.54	16 of 16 - Sale <sup>25</sup>
06/02/21	1,228.000	1,375.20	Various	1,556.60	...	-181.40	Total of 16 transactions
06/02/21	0.233	0.26	05/04/21	0.30	0.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,887.46</b>		<b>3,849.68</b>	<b>780.66 W</b>	<b>-181.56</b>	
TALIS BIOMEDICAL CORPORATION C OMMON STOCK / CUSIP: 87424L108 / Symbol:							
02/16/21	54.855	1,358.97	02/12/21	1,480.00	...	-121.03	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TARGET HOSPITALITY CORP. COMMO N STOCK / CUSIP: 87615L107 / Symbol:							
04/08/21	249.713	869.04	04/07/21	849.00	...	20.04	Sale <sup>25</sup>
TIMBER PHARMACEUTICALS, INC. / CUSIP: 887080109 / Symbol:							
<i>2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.</i>							
	738.000	952.51	05/04/21	999.99	...	-47.48	1 of 2 - Sale <sup>25</sup>
	0.007	0.01	05/04/21	0.01	...	0.00	2 of 2 - Sale <sup>25</sup>
05/06/21	738.007	952.52	Various	1,000.00	...	-47.48	Total of 2 transactions
TYME TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 90238J103 / Symbol:							
<i>3 transactions for 02/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.017	0.05	02/08/21	0.04	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.415	1.24	02/08/21	1.06	...	0.18	2 of 3 - Sale <sup>25</sup>
	19.983	59.82	02/08/21	50.90	...	8.92	3 of 3 - Sale <sup>25</sup>
02/10/21	20.415	61.11	Various	52.00	...	9.11	Total of 3 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
<i>2 transactions for 01/22/21. Total proceeds and cost reported to the IRS.</i>							
	8.360	352.36	01/21/21	381.88	...	-29.52	1 of 2 - Sale <sup>25</sup>
	0.549	23.12	01/21/21	24.92	...	-1.80	2 of 2 - Sale <sup>25</sup>
01/22/21	8.908	375.48	Various	406.80	...	-31.32	Total of 2 transactions
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
<i>2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.</i>							
	30.899	43.57	02/18/21	45.11	...	-1.54	1 of 2 - Sale <sup>25</sup>
	0.615	0.87	02/18/21	0.89	...	-0.02	2 of 2 - Sale <sup>25</sup>
02/22/21	31.515	44.44	Various	46.00	...	-1.56	Total of 2 transactions
VOLKSWAGEN A G UNSPONSORED ADR REPRESENT 1/10TH SH / CUSIP: 928662600 / Symbol:							
<i>4 transactions for 03/24/21. Total proceeds and cost reported to the IRS.</i>							
	6.000	210.30	03/18/21	253.56	...	-43.26	1 of 4 - Sale <sup>25</sup>
	10.000	350.49	03/18/21	363.60	...	-13.11	2 of 4 - Sale <sup>25</sup>
	1.000	35.05	03/18/21	34.50	...	0.55	3 of 4 - Sale <sup>25</sup>
	6.000	210.30	03/22/21	230.76	...	-20.46	4 of 4 - Sale <sup>25</sup>
03/24/21	23.000	806.14	Various	882.42	...	-76.28	Total of 4 transactions

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WALMART INC. / CUSIP: 931142103 / Symbol:							
3 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	0.001	0.11	02/12/21	0.11	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.252	36.72	02/12/21	36.47	...	0.25	2 of 3 - Sale <sup>25</sup>
	10.999	1,600.25	02/12/21	1,590.05	...	10.20	3 of 3 - Sale <sup>25</sup>
02/16/21	11.252	1,637.08	Various	1,626.63	...	10.45	Total of 3 transactions
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
16 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	100.000	209.09	02/08/21	230.00	20.91 W	0.00	1 of 16 - Sale <sup>25</sup>
	100.000	208.99	02/08/21	230.00	21.01 W	0.00	2 of 16 - Sale <sup>25</sup>
	200.000	417.98	02/08/21	460.00	42.02 W	0.00	3 of 16 - Sale <sup>25</sup>
	34.783	72.69	02/08/21	80.00	7.31 W	0.00	4 of 16 - Sale <sup>25</sup>
	0.249	0.52	02/12/21	0.67	0.15 W	0.00	5 of 16 - Sale <sup>25</sup>
	64.969	135.78	02/12/21	177.23	41.45 W	0.00	6 of 16 - Sale <sup>25</sup>
	25.057	52.37	02/12/21	68.38	16.01 W	0.00	7 of 16 - Sale <sup>25</sup>
	34.783	72.69	02/12/21	94.89	22.20 W	0.00	8 of 16 - Sale <sup>25</sup>
	68.866	143.92	02/12/21	188.70	44.78 W	0.00	9 of 16 - Sale <sup>25</sup>
	0.564	1.18	02/12/21	1.54	0.36 W	0.00	10 of 16 - Sale <sup>25</sup>
	0.168	0.35	02/16/21	0.44	0.09 W	0.00	11 of 16 - Sale <sup>25</sup>
	0.249	0.52	02/16/21	0.74	0.22 W	0.00	12 of 16 - Sale <sup>25</sup>
	64.969	135.78	02/16/21	196.65	60.87 W	0.00	13 of 16 - Sale <sup>25</sup>
	5.345	11.17	02/16/21	13.89	2.72 W	0.00	14 of 16 - Sale <sup>25</sup>
	5.067	10.59	02/16/21	15.34	4.75 W	0.00	15 of 16 - Sale <sup>25</sup>
	12.589	26.31	02/16/21	38.11	11.80 W	0.00	16 of 16 - Sale <sup>25</sup>
03/01/21	717.656	1,499.93	Various	1,796.58	296.65 W	0.00	Total of 16 transactions
10 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	0.400	0.81	02/16/21	1.21	0.40 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.212	2.39	02/16/21	3.67	1.28 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.564	1.15	02/16/21	1.71	0.56 W	0.00	3 of 10 - Sale <sup>25</sup>
	25.057	50.86	02/16/21	75.87	25.01 W	0.00	4 of 10 - Sale <sup>25</sup>
	15.514	31.49	02/16/21	46.96	15.47 W	0.00	5 of 10 - Sale <sup>25</sup>
	68.866	139.79	02/16/21	209.29	69.50 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.168	0.34	02/16/21	0.49	0.15 W	0.00	7 of 10 - Sale <sup>25</sup>
	34.783	70.60	02/16/21	90.40	19.80 W	0.00	8 of 10 - Sale <sup>25</sup>

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(continued)

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	200.000	405.97	02/16/21	519.80	113.83 W	0.00	9 of 10 - Sale <sup>25</sup>
	146.049	296.46	02/16/21	348.90	52.44 W	0.00	10 of 10 - Sale <sup>25</sup>
03/09/21	492.612	999.86	Various	1,298.30	298.44 W	0.00	Total of 10 transactions
	29 transactions for 03/11/21. Total proceeds and cost reported to the IRS.						
	0.400	0.81	02/16/21	0.96	0.15 W	0.00	1 of 29 - Sale <sup>25</sup>
	1.212	2.39	02/16/21	2.89	0.50 W	0.00	2 of 29 - Sale <sup>25</sup>
	238.215	483.54	02/16/21	569.08	85.54 W	0.00	3 of 29 - Sale <sup>25</sup>
	0.082	0.17	02/17/21	0.25	0.08 W	0.00	4 of 29 - Sale <sup>25</sup>
	0.249	0.50	02/17/21	0.74	0.24 W	0.00	5 of 29 - Sale <sup>25</sup>
	0.400	0.81	02/17/21	1.23	0.42 W	0.00	6 of 29 - Sale <sup>25</sup>
	0.564	1.15	02/17/21	1.73	0.58 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.212	2.46	02/17/21	3.80	1.34 W	0.00	8 of 29 - Sale <sup>25</sup>
	11.364	23.07	02/17/21	35.11	12.04 W	0.00	9 of 29 - Sale <sup>25</sup>
	15.514	31.49	02/17/21	47.74	16.25 W	0.00	10 of 29 - Sale <sup>25</sup>
	25.057	50.86	02/17/21	77.13	26.27 W	0.00	11 of 29 - Sale <sup>25</sup>
	5.067	10.29	02/17/21	15.29	5.00 W	0.00	12 of 29 - Sale <sup>25</sup>
	12.589	25.55	02/17/21	37.99	12.44 W	0.00	13 of 29 - Sale <sup>25</sup>
	64.886	131.71	02/17/21	195.76	64.05 W	0.00	14 of 29 - Sale <sup>25</sup>
	5.345	10.85	02/17/21	13.84	2.99 W	0.00	15 of 29 - Sale <sup>25</sup>
	0.337	0.68	02/17/21	1.04	0.36 W	0.00	16 of 29 - Sale <sup>25</sup>
	20.887	42.40	03/02/21	65.32	22.92 W	0.00	17 of 29 - Sale <sup>25</sup>
	1.034	2.10	03/03/21	3.29	1.19 W	0.00	18 of 29 - Sale <sup>25</sup>
	35.244	71.54	03/03/21	112.36	40.82 W	0.00	19 of 29 - Sale <sup>25</sup>
	0.168	0.34	03/03/21	0.52	0.18 W	0.00	20 of 29 - Sale <sup>25</sup>
	14.499	29.43	03/03/21	39.84	10.41 W	0.00	21 of 29 - Sale <sup>25</sup>
	38.286	77.72	03/03/21	101.81	24.09 W	0.00	22 of 29 - Sale <sup>25</sup>
	1.196	2.37	03/03/21	3.18	0.81 W	0.00	23 of 29 - Sale <sup>25</sup>
	34.783	70.95	03/03/21	92.49	21.54 W	0.00	24 of 29 - Sale <sup>25</sup>
	146.019	297.86	03/03/21	388.29	90.43 W	0.00	25 of 29 - Sale <sup>25</sup>
	79.716	162.61	03/03/21	195.23	32.62 W	0.00	26 of 29 - Sale <sup>25</sup>
	0.400	0.82	03/03/21	0.99	0.17 W	0.00	27 of 29 - Sale <sup>25</sup>
	1.212	2.47	03/03/21	3.03	0.56 W	0.00	28 of 29 - Sale <sup>25</sup>
	226.868	462.78	03/03/21	555.62	92.84 W	0.00	29 of 29 - Sale <sup>25</sup>
03/11/21	982.806	1,999.72	Various	2,566.55	566.83 W	0.00	Total of 29 transactions

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
27 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	5.188	11.15	03/03/21	12.71	1.56 W	0.00	1 of 27 - Sale <sup>25</sup>
	66.332	142.61	03/03/21	162.45	19.84 W	0.00	2 of 27 - Sale <sup>25</sup>
	0.400	0.86	03/03/21	1.26	0.40 W	0.00	3 of 27 - Sale <sup>25</sup>
	1.212	2.61	03/03/21	3.87	1.26 W	0.00	4 of 27 - Sale <sup>25</sup>
	15.514	33.35	03/03/21	48.67	15.32 W	0.00	5 of 27 - Sale <sup>25</sup>
	11.353	24.41	03/03/21	35.63	11.22 W	0.00	6 of 27 - Sale <sup>25</sup>
	0.337	0.72	03/03/21	1.06	0.34 W	0.00	7 of 27 - Sale <sup>25</sup>
	0.564	1.21	03/03/21	1.76	0.55 W	0.00	8 of 27 - Sale <sup>25</sup>
	11.364	24.43	03/03/21	35.79	11.36 W	0.00	9 of 27 - Sale <sup>25</sup>
	13.704	29.46	03/03/21	43.01	13.55 W	0.00	10 of 27 - Sale <sup>25</sup>
	0.082	0.18	03/03/21	0.25	0.07 W	0.00	11 of 27 - Sale <sup>25</sup>
	0.249	0.53	03/03/21	0.76	0.23 W	0.00	12 of 27 - Sale <sup>25</sup>
	5.067	10.89	03/03/21	15.59	4.70 W	0.00	13 of 27 - Sale <sup>25</sup>
	3.747	8.06	03/03/21	11.53	3.47 W	0.00	14 of 27 - Sale <sup>25</sup>
	64.886	139.51	03/03/21	199.66	60.15 W	0.00	15 of 27 - Sale <sup>25</sup>
	8.842	19.01	03/03/21	27.22	8.21 W	0.00	16 of 27 - Sale <sup>25</sup>
	20.887	44.90	03/03/21	66.57	21.67 W	0.00	17 of 27 - Sale <sup>25</sup>
	1.034	2.22	03/03/21	3.35	1.13 W	0.00	18 of 27 - Sale <sup>25</sup>
	34.237	73.61	03/03/21	111.21	37.60 W	0.00	19 of 27 - Sale <sup>25</sup>
	1.007	2.16	03/03/21	3.27	1.11 W	0.00	20 of 27 - Sale <sup>25</sup>
	0.168	0.36	03/03/21	0.53	0.17 W	0.00	21 of 27 - Sale <sup>25</sup>
	5.345	11.49	03/03/21	14.16	2.67 W	0.00	22 of 27 - Sale <sup>25</sup>
	1.196	2.57	03/03/21	3.31	0.74 W	0.00	23 of 27 - Sale <sup>25</sup>
	14.499	31.17	03/03/21	40.71	9.54 W	0.00	24 of 27 - Sale <sup>25</sup>
	38.286	82.32	03/03/21	104.11	21.79 W	0.00	25 of 27 - Sale <sup>25</sup>
	39.498	84.92	03/03/21	107.02	22.10 W	0.00	26 of 27 - Sale <sup>25</sup>
	100.000	214.99	03/03/21	270.93	55.94 W	0.00	27 of 27 - Sale <sup>25</sup>
03/17/21	465.000	999.70	Various	1,326.39	326.69 W	0.00	Total of 27 transactions
03/18/21	124.968	264.30	03/04/21	233.53	...	30.77	Sale <sup>25</sup>
39 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	34.783	73.56	03/03/21	94.24	20.68 W	0.00	1 of 39 - Sale <sup>25</sup>
	6.520	13.79	03/03/21	17.67	3.88 W	0.00	2 of 39 - Sale <sup>25</sup>
	59.058	124.90	03/03/21	147.61	22.71 W	0.00	3 of 39 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	6.159	13.03	03/03/21	15.08	2.05 W	0.00	4 of 39 - Sale <sup>25</sup>
	5.188	10.97	03/03/21	12.40	1.43 W	0.00	5 of 39 - Sale <sup>25</sup>
	45.674	96.59	03/03/21	109.12	12.53 W	0.00	6 of 39 - Sale <sup>25</sup>
	0.400	0.85	03/03/21	1.01	0.16 W	0.00	7 of 39 - Sale <sup>25</sup>
	1.212	2.56	03/03/21	3.09	0.53 W	0.00	8 of 39 - Sale <sup>25</sup>
	226.868	479.79	03/03/21	566.99	87.20 W	0.00	9 of 39 - Sale <sup>25</sup>
	20.658	43.69	03/03/21	51.63	7.94 W	0.00	10 of 39 - Sale <sup>25</sup>
	0.337	0.71	03/03/21	1.04	0.33 W	0.00	11 of 39 - Sale <sup>25</sup>
	0.400	0.85	03/03/21	1.24	0.39 W	0.00	12 of 39 - Sale <sup>25</sup>
	0.564	1.19	03/03/21	1.73	0.54 W	0.00	13 of 39 - Sale <sup>25</sup>
	1.212	2.56	03/03/21	3.79	1.23 W	0.00	14 of 39 - Sale <sup>25</sup>
	11.353	24.01	03/03/21	34.95	10.94 W	0.00	15 of 39 - Sale <sup>25</sup>
	11.364	24.03	03/03/21	35.11	11.08 W	0.00	16 of 39 - Sale <sup>25</sup>
	13.704	28.98	03/03/21	42.19	13.21 W	0.00	17 of 39 - Sale <sup>25</sup>
	15.514	32.81	03/03/21	47.74	14.93 W	0.00	18 of 39 - Sale <sup>25</sup>
	0.082	0.17	03/03/21	0.24	0.07 W	0.00	19 of 39 - Sale <sup>25</sup>
	0.249	0.53	03/03/21	0.75	0.22 W	0.00	20 of 39 - Sale <sup>25</sup>
	3.747	7.92	03/03/21	11.30	3.38 W	0.00	21 of 39 - Sale <sup>25</sup>
	5.067	10.72	03/03/21	15.29	4.57 W	0.00	22 of 39 - Sale <sup>25</sup>
	8.842	18.70	03/03/21	26.69	7.99 W	0.00	23 of 39 - Sale <sup>25</sup>
	64.886	137.23	03/03/21	195.76	58.53 W	0.00	24 of 39 - Sale <sup>25</sup>
	20.887	44.17	03/03/21	65.32	21.15 W	0.00	25 of 39 - Sale <sup>25</sup>
	1.007	2.13	03/03/21	3.21	1.08 W	0.00	26 of 39 - Sale <sup>25</sup>
	1.034	2.19	03/03/21	3.29	1.10 W	0.00	27 of 39 - Sale <sup>25</sup>
	34.237	72.41	03/03/21	109.16	36.75 W	0.00	28 of 39 - Sale <sup>25</sup>
	0.168	0.35	03/03/21	0.52	0.17 W	0.00	29 of 39 - Sale <sup>25</sup>
	5.345	11.30	03/03/21	13.84	2.54 W	0.00	30 of 39 - Sale <sup>25</sup>
	1.196	2.53	03/03/21	3.24	0.71 W	0.00	31 of 39 - Sale <sup>25</sup>
	14.499	30.66	03/03/21	39.85	9.19 W	0.00	32 of 39 - Sale <sup>25</sup>
	25.360	53.64	03/03/21	67.20	13.56 W	0.00	33 of 39 - Sale <sup>25</sup>
	38.286	80.97	03/03/21	101.81	20.84 W	0.00	34 of 39 - Sale <sup>25</sup>
	20.658	43.69	03/03/21	49.36	5.67 W	0.00	35 of 39 - Sale <sup>25</sup>
	14.138	29.90	03/04/21	35.48	5.58 W	0.00	36 of 39 - Sale <sup>25</sup>
	35.862	75.85	03/04/21	89.99	14.14 W	0.00	37 of 39 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	2.394	5.06	03/04/21	5.80	0.74 W	0.00	38 of 39 - Sale <sup>25</sup>
	61.744	130.58	03/04/21	149.92	19.34 W	0.00	39 of 39 - Sale <sup>25</sup>
03/18/21	820.658	1,735.57	Various	2,174.65	439.08 W	0.00	Total of 39 transactions
	26 transactions for 03/19/21. Total proceeds and cost reported to the IRS.						
	0.400	0.76	03/04/21	1.14	0.38 W	0.00	1 of 26 - Sale <sup>25</sup>
	0.609	1.15	03/04/21	1.75	0.60 W	0.00	2 of 26 - Sale <sup>25</sup>
	0.337	0.66	03/04/21	0.96	0.30 W	0.00	3 of 26 - Sale <sup>25</sup>
	0.564	1.11	03/04/21	1.59	0.48 W	0.00	4 of 26 - Sale <sup>25</sup>
	0.603	1.18	03/04/21	1.74	0.56 W	0.00	5 of 26 - Sale <sup>25</sup>
	11.353	22.26	03/04/21	32.16	9.90 W	0.00	6 of 26 - Sale <sup>25</sup>
	11.364	22.29	03/04/21	32.32	10.03 W	0.00	7 of 26 - Sale <sup>25</sup>
	13.704	26.87	03/04/21	38.82	11.95 W	0.00	8 of 26 - Sale <sup>25</sup>
	15.514	30.42	03/04/21	43.92	13.50 W	0.00	9 of 26 - Sale <sup>25</sup>
	0.082	0.16	03/04/21	0.22	0.06 W	0.00	10 of 26 - Sale <sup>25</sup>
	0.249	0.49	03/04/21	0.68	0.19 W	0.00	11 of 26 - Sale <sup>25</sup>
	3.747	7.35	03/04/21	10.38	3.03 W	0.00	12 of 26 - Sale <sup>25</sup>
	5.067	9.94	03/04/21	14.04	4.10 W	0.00	13 of 26 - Sale <sup>25</sup>
	8.842	17.34	03/04/21	24.51	7.17 W	0.00	14 of 26 - Sale <sup>25</sup>
	64.886	127.24	03/04/21	179.80	52.56 W	0.00	15 of 26 - Sale <sup>25</sup>
	20.887	40.96	03/04/21	60.19	19.23 W	0.00	16 of 26 - Sale <sup>25</sup>
	1.034	2.03	03/04/21	3.03	1.00 W	0.00	17 of 26 - Sale <sup>25</sup>
	5.024	9.85	03/04/21	14.78	4.93 W	0.00	18 of 26 - Sale <sup>25</sup>
	6.520	12.79	03/04/21	16.06	3.27 W	0.00	19 of 26 - Sale <sup>25</sup>
	34.783	68.21	03/04/21	85.68	17.47 W	0.00	20 of 26 - Sale <sup>25</sup>
	5.188	10.17	03/04/21	11.12	0.95 W	0.00	21 of 26 - Sale <sup>25</sup>
	45.674	89.56	03/04/21	97.88	8.32 W	0.00	22 of 26 - Sale <sup>25</sup>
	0.400	0.79	03/04/21	0.91	0.12 W	0.00	23 of 26 - Sale <sup>25</sup>
	1.212	2.38	03/04/21	2.79	0.41 W	0.00	24 of 26 - Sale <sup>25</sup>
	226.868	444.87	03/04/21	511.15	66.28 W	0.00	25 of 26 - Sale <sup>25</sup>
	25.003	49.03	03/04/21	56.34	7.31 W	0.00	26 of 26 - Sale <sup>25</sup>
03/19/21	509.916	999.86	Various	1,243.96	244.10 W	0.00	Total of 26 transactions
	53 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	0.239	0.48	03/04/21	0.54	0.06 W	0.00	1 of 53 - Sale <sup>25</sup>
	20.658	41.52	03/04/21	46.54	5.02 W	0.00	2 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	33.816	67.97	03/04/21	76.19	8.22 W	0.00	3 of 53 - Sale <sup>25</sup>
	6.159	12.38	03/04/21	13.56	1.18 W	0.00	4 of 53 - Sale <sup>25</sup>
	1.445	2.90	03/10/21	4.62	1.72 W	0.00	5 of 53 - Sale <sup>25</sup>
	1.007	2.02	03/10/21	3.22	1.20 W	0.00	6 of 53 - Sale <sup>25</sup>
	27.768	55.81	03/10/21	88.96	33.15 W	0.00	7 of 53 - Sale <sup>25</sup>
	0.168	0.34	03/10/21	0.53	0.19 W	0.00	8 of 53 - Sale <sup>25</sup>
	5.345	10.74	03/10/21	13.92	3.18 W	0.00	9 of 53 - Sale <sup>25</sup>
	3.623	7.28	03/10/21	10.02	2.74 W	0.00	10 of 53 - Sale <sup>25</sup>
	0.400	0.80	03/10/21	1.21	0.41 W	0.00	11 of 53 - Sale <sup>25</sup>
	0.603	1.21	03/10/21	1.81	0.60 W	0.00	12 of 53 - Sale <sup>25</sup>
	0.609	1.22	03/10/21	1.87	0.65 W	0.00	13 of 53 - Sale <sup>25</sup>
	11.911	23.94	03/10/21	35.14	11.20 W	0.00	14 of 53 - Sale <sup>25</sup>
	1.196	2.40	03/10/21	3.20	0.80 W	0.00	15 of 53 - Sale <sup>25</sup>
	10.876	21.86	03/10/21	29.51	7.65 W	0.00	16 of 53 - Sale <sup>25</sup>
	25.360	50.97	03/10/21	66.31	15.34 W	0.00	17 of 53 - Sale <sup>25</sup>
	38.286	76.95	03/10/21	100.48	23.53 W	0.00	18 of 53 - Sale <sup>25</sup>
	2.394	4.81	03/10/21	5.72	0.91 W	0.00	19 of 53 - Sale <sup>25</sup>
	14.138	28.42	03/10/21	34.99	6.57 W	0.00	20 of 53 - Sale <sup>25</sup>
	35.862	72.08	03/10/21	88.73	16.65 W	0.00	21 of 53 - Sale <sup>25</sup>
	61.744	124.10	03/10/21	147.76	23.66 W	0.00	22 of 53 - Sale <sup>25</sup>
	20.658	41.52	03/10/21	48.64	7.12 W	0.00	23 of 53 - Sale <sup>25</sup>
	0.337	0.68	03/10/21	0.99	0.31 W	0.00	24 of 53 - Sale <sup>25</sup>
	0.564	1.13	03/10/21	1.64	0.51 W	0.00	25 of 53 - Sale <sup>25</sup>
	3.603	7.24	03/10/21	10.53	3.29 W	0.00	26 of 53 - Sale <sup>25</sup>
	11.353	22.82	03/10/21	33.17	10.35 W	0.00	27 of 53 - Sale <sup>25</sup>
	11.364	22.84	03/10/21	33.33	10.49 W	0.00	28 of 53 - Sale <sup>25</sup>
	13.704	27.54	03/10/21	40.04	12.50 W	0.00	29 of 53 - Sale <sup>25</sup>
	0.082	0.17	03/10/21	0.23	0.06 W	0.00	30 of 53 - Sale <sup>25</sup>
	0.249	0.50	03/10/21	0.70	0.20 W	0.00	31 of 53 - Sale <sup>25</sup>
	3.747	7.53	03/10/21	10.71	3.18 W	0.00	32 of 53 - Sale <sup>25</sup>
	5.067	10.18	03/10/21	14.49	4.31 W	0.00	33 of 53 - Sale <sup>25</sup>
	8.842	17.77	03/10/21	25.30	7.53 W	0.00	34 of 53 - Sale <sup>25</sup>
	64.886	130.41	03/10/21	185.58	55.17 W	0.00	35 of 53 - Sale <sup>25</sup>
	20.887	41.98	03/10/21	62.04	20.06 W	0.00	36 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	1.034	2.08	03/10/21	3.12	1.04 W	0.00	37 of 53 - Sale <sup>25</sup>
	5.024	10.10	03/10/21	15.23	5.13 W	0.00	38 of 53 - Sale <sup>25</sup>
	6.520	13.10	03/10/21	16.64	3.54 W	0.00	39 of 53 - Sale <sup>25</sup>
	34.783	69.91	03/10/21	88.77	18.86 W	0.00	40 of 53 - Sale <sup>25</sup>
	5.188	10.43	03/10/21	11.58	1.15 W	0.00	41 of 53 - Sale <sup>25</sup>
	2.765	5.56	03/10/21	6.17	0.61 W	0.00	42 of 53 - Sale <sup>25</sup>
	42.909	86.24	03/15/21	114.88	28.64 W	0.00	43 of 53 - Sale <sup>25</sup>
	0.400	0.80	03/15/21	1.12	0.32 W	0.00	44 of 53 - Sale <sup>25</sup>
	1.212	2.44	03/15/21	3.43	0.99 W	0.00	45 of 53 - Sale <sup>25</sup>
	155.880	313.30	03/15/21	434.46	121.16 W	0.00	46 of 53 - Sale <sup>25</sup>
	0.851	1.71	03/15/21	2.40	0.69 W	0.00	47 of 53 - Sale <sup>25</sup>
	0.239	0.48	03/15/21	0.66	0.18 W	0.00	48 of 53 - Sale <sup>25</sup>
	70.136	140.97	03/15/21	197.80	56.83 W	0.00	49 of 53 - Sale <sup>25</sup>
	25.003	50.25	03/15/21	70.52	20.27 W	0.00	50 of 53 - Sale <sup>25</sup>
	98.384	197.74	03/15/21	248.73	50.99 W	0.00	51 of 53 - Sale <sup>25</sup>
	16.000	32.16	03/15/21	40.32	8.16 W	0.00	52 of 53 - Sale <sup>25</sup>
	379.958	763.67	03/16/21	910.00	146.33 W	0.00	53 of 53 - Sale <sup>25</sup>
03/22/21	1,315.239	2,643.45	Various	3,408.05	764.60 W	0.00	Total of 53 transactions
	46 transactions for 03/26/21. Total proceeds and cost reported to the IRS.						
	1.007	1.75	03/23/21	3.04	1.29 W	0.00	1 of 46 - Sale <sup>25</sup>
	1.445	2.51	03/23/21	4.36	1.85 W	0.00	2 of 46 - Sale <sup>25</sup>
	27.768	48.17	03/23/21	83.82	35.65 W	0.00	3 of 46 - Sale <sup>25</sup>
	0.168	0.29	03/23/21	0.50	0.21 W	0.00	4 of 46 - Sale <sup>25</sup>
	5.345	9.27	03/23/21	12.93	3.66 W	0.00	5 of 46 - Sale <sup>25</sup>
	3.401	5.90	03/23/21	8.78	2.88 W	0.00	6 of 46 - Sale <sup>25</sup>
	20.658	35.83	03/23/21	42.72	6.89 W	0.00	7 of 46 - Sale <sup>25</sup>
	33.816	58.66	03/23/21	69.93	11.27 W	0.00	8 of 46 - Sale <sup>25</sup>
	6.159	10.68	03/23/21	12.42	1.74 W	0.00	9 of 46 - Sale <sup>25</sup>
	0.337	0.58	03/23/21	0.92	0.34 W	0.00	10 of 46 - Sale <sup>25</sup>
	0.400	0.69	03/23/21	1.14	0.45 W	0.00	11 of 46 - Sale <sup>25</sup>
	0.564	0.98	03/23/21	1.54	0.56 W	0.00	12 of 46 - Sale <sup>25</sup>
	0.603	1.05	03/23/21	1.70	0.65 W	0.00	13 of 46 - Sale <sup>25</sup>
	0.609	1.06	03/23/21	1.76	0.70 W	0.00	14 of 46 - Sale <sup>25</sup>
	3.603	6.25	03/23/21	9.87	3.62 W	0.00	15 of 46 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	11.353	19.69	03/23/21	31.07	11.38 W	0.00	16 of 46 - Sale <sup>25</sup>
	11.364	19.71	03/23/21	31.23	11.52 W	0.00	17 of 46 - Sale <sup>25</sup>
	11.911	20.66	03/23/21	32.94	12.28 W	0.00	18 of 46 - Sale <sup>25</sup>
	13.704	23.77	03/23/21	37.51	13.74 W	0.00	19 of 46 - Sale <sup>25</sup>
	0.082	0.14	03/23/21	0.21	0.07 W	0.00	20 of 46 - Sale <sup>25</sup>
	0.249	0.43	03/23/21	0.65	0.22 W	0.00	21 of 46 - Sale <sup>25</sup>
	3.747	6.50	03/23/21	10.02	3.52 W	0.00	22 of 46 - Sale <sup>25</sup>
	5.067	8.79	03/23/21	13.56	4.77 W	0.00	23 of 46 - Sale <sup>25</sup>
	8.842	15.34	03/23/21	23.67	8.33 W	0.00	24 of 46 - Sale <sup>25</sup>
	64.886	112.56	03/23/21	173.59	61.03 W	0.00	25 of 46 - Sale <sup>25</sup>
	20.887	36.23	03/23/21	58.18	21.95 W	0.00	26 of 46 - Sale <sup>25</sup>
	1.034	1.79	03/23/21	2.93	1.14 W	0.00	27 of 46 - Sale <sup>25</sup>
	5.024	8.72	03/23/21	14.30	5.58 W	0.00	28 of 46 - Sale <sup>25</sup>
	6.520	11.31	03/23/21	15.44	4.13 W	0.00	29 of 46 - Sale <sup>25</sup>
	34.783	60.34	03/23/21	82.34	22.00 W	0.00	30 of 46 - Sale <sup>25</sup>
	0.222	0.38	03/23/21	0.57	0.19 W	0.00	31 of 46 - Sale <sup>25</sup>
	1.196	2.07	03/23/21	2.98	0.91 W	0.00	32 of 46 - Sale <sup>25</sup>
	10.876	18.87	03/23/21	27.50	8.63 W	0.00	33 of 46 - Sale <sup>25</sup>
	25.360	43.99	03/23/21	61.62	17.63 W	0.00	34 of 46 - Sale <sup>25</sup>
	38.286	66.42	03/23/21	93.40	26.98 W	0.00	35 of 46 - Sale <sup>25</sup>
	2.394	4.15	03/23/21	5.28	1.13 W	0.00	36 of 46 - Sale <sup>25</sup>
	14.138	24.53	03/23/21	32.37	7.84 W	0.00	37 of 46 - Sale <sup>25</sup>
	35.862	62.21	03/23/21	82.10	19.89 W	0.00	38 of 46 - Sale <sup>25</sup>
	61.744	107.11	03/23/21	136.35	29.24 W	0.00	39 of 46 - Sale <sup>25</sup>
	5.188	9.00	03/23/21	10.62	1.62 W	0.00	40 of 46 - Sale <sup>25</sup>
	2.765	4.80	03/23/21	5.66	0.86 W	0.00	41 of 46 - Sale <sup>25</sup>
	42.909	74.43	03/23/21	106.94	32.51 W	0.00	42 of 46 - Sale <sup>25</sup>
	0.400	0.69	03/23/21	1.05	0.36 W	0.00	43 of 46 - Sale <sup>25</sup>
	1.212	2.10	03/23/21	3.20	1.10 W	0.00	44 of 46 - Sale <sup>25</sup>
	40.219	69.77	03/23/21	104.66	34.89 W	0.00	45 of 46 - Sale <sup>25</sup>
	20.658	35.84	03/23/21	44.82	8.98 W	0.00	46 of 46 - Sale <sup>25</sup>
03/26/21	608.767	1,056.01	Various	1,502.19	446.18 W	0.00	Total of 46 transactions

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
11 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	11.891	15.46	04/05/21	26.39	10.93 W	0.00	1 of 11 - Sale <sup>25</sup>
	16.000	20.80	04/05/21	30.70	9.90 W	0.00	2 of 11 - Sale <sup>25</sup>
	98.384	127.88	04/05/21	189.61	61.73 W	0.00	3 of 11 - Sale <sup>25</sup>
	173.725	225.82	04/05/21	311.69	85.87 W	0.00	4 of 11 - Sale <sup>25</sup>
	89.000	115.69	04/05/21	159.68	43.99 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.239	0.31	04/05/21	0.52	0.21 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.851	1.11	04/05/21	1.89	0.78 W	0.00	7 of 11 - Sale <sup>25</sup>
	70.136	91.17	04/05/21	155.65	64.48 W	0.00	8 of 11 - Sale <sup>25</sup>
	115.661	150.35	04/05/21	252.87	102.52 W	0.00	9 of 11 - Sale <sup>25</sup>
	13.112	17.04	04/05/21	29.11	12.07 W	0.00	10 of 11 - Sale <sup>25</sup>
	63.945	83.12	04/05/21	114.72	31.60 W	0.00	11 of 11 - Sale <sup>25</sup>
04/07/21	652.945	848.75	Various	1,272.83	424.08 W	0.00	Total of 11 transactions
59 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	0.400	0.39	04/13/21	0.85	0.46 W	0.00	1 of 59 - Sale <sup>25</sup>
	0.350	0.33	04/13/21	0.75	0.42 W	0.00	2 of 59 - Sale <sup>25</sup>
	0.337	0.32	04/13/21	0.67	0.35 W	0.00	3 of 59 - Sale <sup>25</sup>
	0.564	0.54	04/13/21	1.12	0.58 W	0.00	4 of 59 - Sale <sup>25</sup>
	0.603	0.58	04/13/21	1.25	0.67 W	0.00	5 of 59 - Sale <sup>25</sup>
	0.259	0.25	04/13/21	0.55	0.30 W	0.00	6 of 59 - Sale <sup>25</sup>
	3.603	3.46	04/13/21	7.19	3.73 W	0.00	7 of 59 - Sale <sup>25</sup>
	11.353	10.90	04/13/21	22.63	11.73 W	0.00	8 of 59 - Sale <sup>25</sup>
	11.364	10.91	04/13/21	22.78	11.87 W	0.00	9 of 59 - Sale <sup>25</sup>
	11.911	11.44	04/13/21	24.09	12.65 W	0.00	10 of 59 - Sale <sup>25</sup>
	13.704	13.16	04/13/21	27.32	14.16 W	0.00	11 of 59 - Sale <sup>25</sup>
	0.082	0.08	04/13/21	0.15	0.07 W	0.00	12 of 59 - Sale <sup>25</sup>
	0.249	0.24	04/13/21	0.47	0.23 W	0.00	13 of 59 - Sale <sup>25</sup>
	3.747	3.60	04/13/21	7.23	3.63 W	0.00	14 of 59 - Sale <sup>25</sup>
	5.067	4.87	04/13/21	9.79	4.92 W	0.00	15 of 59 - Sale <sup>25</sup>
	8.842	8.49	04/13/21	17.09	8.60 W	0.00	16 of 59 - Sale <sup>25</sup>
	64.886	62.32	04/13/21	125.34	63.02 W	0.00	17 of 59 - Sale <sup>25</sup>
	20.887	20.06	04/13/21	42.65	22.59 W	0.00	18 of 59 - Sale <sup>25</sup>
	1.034	0.99	04/13/21	2.17	1.18 W	0.00	19 of 59 - Sale <sup>25</sup>
	5.024	4.83	04/13/21	10.56	5.73 W	0.00	20 of 59 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
1.007		0.97	04/13/21	2.29	1.32 W	0.00	21 of 59 - Sale <sup>25</sup>
1.445		1.39	04/13/21	3.28	1.89 W	0.00	22 of 59 - Sale <sup>25</sup>
27.768		26.67	04/13/21	63.17	36.50 W	0.00	23 of 59 - Sale <sup>25</sup>
0.168		0.16	04/13/21	0.38	0.22 W	0.00	24 of 59 - Sale <sup>25</sup>
5.345		5.13	04/13/21	8.96	3.83 W	0.00	25 of 59 - Sale <sup>25</sup>
0.751		0.72	04/13/21	1.22	0.50 W	0.00	26 of 59 - Sale <sup>25</sup>
5.770		5.54	04/13/21	9.37	3.83 W	0.00	27 of 59 - Sale <sup>25</sup>
34.783		33.41	04/13/21	56.47	23.06 W	0.00	28 of 59 - Sale <sup>25</sup>
0.222		0.21	04/13/21	0.41	0.20 W	0.00	29 of 59 - Sale <sup>25</sup>
1.196		1.15	04/13/21	2.10	0.95 W	0.00	30 of 59 - Sale <sup>25</sup>
3.401		3.27	04/13/21	6.25	2.98 W	0.00	31 of 59 - Sale <sup>25</sup>
10.876		10.45	04/13/21	19.41	8.96 W	0.00	32 of 59 - Sale <sup>25</sup>
25.360		24.35	04/13/21	42.76	18.41 W	0.00	33 of 59 - Sale <sup>25</sup>
38.286		36.77	04/13/21	64.93	28.16 W	0.00	34 of 59 - Sale <sup>25</sup>
2.394		2.30	04/13/21	3.50	1.20 W	0.00	35 of 59 - Sale <sup>25</sup>
14.138		13.58	04/13/21	21.85	8.27 W	0.00	36 of 59 - Sale <sup>25</sup>
35.862		34.44	04/13/21	55.43	20.99 W	0.00	37 of 59 - Sale <sup>25</sup>
27.712		26.61	04/13/21	40.59	13.98 W	0.00	38 of 59 - Sale <sup>25</sup>
0.854		0.81	04/13/21	1.25	0.44 W	0.00	39 of 59 - Sale <sup>25</sup>
33.178		31.85	04/13/21	48.60	16.75 W	0.00	40 of 59 - Sale <sup>25</sup>
5.188		4.98	04/13/21	6.76	1.78 W	0.00	41 of 59 - Sale <sup>25</sup>
2.765		2.66	04/13/21	3.60	0.94 W	0.00	42 of 59 - Sale <sup>25</sup>
42.909		41.20	04/13/21	75.04	33.84 W	0.00	43 of 59 - Sale <sup>25</sup>
0.400		0.38	04/13/21	0.76	0.38 W	0.00	44 of 59 - Sale <sup>25</sup>
1.212		1.16	04/13/21	2.30	1.14 W	0.00	45 of 59 - Sale <sup>25</sup>
33.348		32.02	04/13/21	61.98	29.96 W	0.00	46 of 59 - Sale <sup>25</sup>
0.239		0.22	04/13/21	0.45	0.23 W	0.00	47 of 59 - Sale <sup>25</sup>
6.871		6.33	04/13/21	12.77	6.44 W	0.00	48 of 59 - Sale <sup>25</sup>
0.851		0.78	04/13/21	1.62	0.84 W	0.00	49 of 59 - Sale <sup>25</sup>
70.136		64.66	04/13/21	133.99	69.33 W	0.00	50 of 59 - Sale <sup>25</sup>
115.661		106.63	04/13/21	217.16	110.53 W	0.00	51 of 59 - Sale <sup>25</sup>
11.891		10.96	04/13/21	22.72	11.76 W	0.00	52 of 59 - Sale <sup>25</sup>
13.112		12.09	04/13/21	25.07	12.98 W	0.00	53 of 59 - Sale <sup>25</sup>
20.658		19.04	04/13/21	27.36	8.32 W	0.00	54 of 59 - Sale <sup>25</sup>

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Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	20.658	19.04	04/13/21	29.45	10.41 W	0.00	55 of 59 - Sale <sup>25</sup>
	33.816	31.18	04/13/21	44.79	13.61 W	0.00	56 of 59 - Sale <sup>25</sup>
	6.159	5.68	04/13/21	7.84	2.16 W	0.00	57 of 59 - Sale <sup>25</sup>
	81.558	75.19	04/13/21	132.00	56.81 W	0.00	58 of 59 - Sale <sup>25</sup>
	53.288	49.13	04/13/21	73.33	24.20 W	0.00	59 of 59 - Sale <sup>25</sup>
04/14/21	955.504	900.87	Various	1,655.86	754.99 W	0.00	Total of 59 transactions
68 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	115.661	120.27	05/04/21	211.32	...	-91.05	1 of 68 - Sale <sup>25</sup>
	70.136	72.93	05/04/21	130.45	...	-57.52	2 of 68 - Sale <sup>25</sup>
	173.725	180.65	05/04/21	237.25	...	-56.60	3 of 68 - Sale <sup>25</sup>
	64.886	67.47	05/04/21	119.56	...	-52.09	4 of 68 - Sale <sup>25</sup>
	81.558	84.81	05/04/21	127.88	...	-43.07	5 of 68 - Sale <sup>25</sup>
	27.768	28.87	05/04/21	60.70	...	-31.83	6 of 68 - Sale <sup>25</sup>
	89.000	92.55	05/04/21	121.54	...	-28.99	7 of 68 - Sale <sup>25</sup>
	42.909	44.62	05/04/21	71.23	...	-26.61	8 of 68 - Sale <sup>25</sup>
	33.348	34.68	05/04/21	59.02	...	-24.34	9 of 68 - Sale <sup>25</sup>
	38.286	39.81	05/04/21	61.52	...	-21.71	10 of 68 - Sale <sup>25</sup>
	63.945	66.49	05/04/21	87.32	...	-20.83	11 of 68 - Sale <sup>25</sup>
	20.887	21.72	05/04/21	40.79	...	-19.07	12 of 68 - Sale <sup>25</sup>
	34.783	36.17	05/04/21	53.37	...	-17.20	13 of 68 - Sale <sup>25</sup>
	53.288	55.41	05/04/21	70.63	...	-15.22	14 of 68 - Sale <sup>25</sup>
	35.862	37.29	05/04/21	52.24	...	-14.95	15 of 68 - Sale <sup>25</sup>
	25.360	26.37	05/04/21	40.51	...	-14.14	16 of 68 - Sale <sup>25</sup>
	13.704	14.25	05/04/21	26.10	...	-11.85	17 of 68 - Sale <sup>25</sup>
	33.178	34.50	05/04/21	45.66	...	-11.16	18 of 68 - Sale <sup>25</sup>
	13.112	13.64	05/04/21	24.41	...	-10.77	19 of 68 - Sale <sup>25</sup>
	11.911	12.39	05/04/21	23.03	...	-10.64	20 of 68 - Sale <sup>25</sup>
	11.364	11.82	05/04/21	21.77	...	-9.95	21 of 68 - Sale <sup>25</sup>
	11.353	11.81	05/04/21	21.62	...	-9.81	22 of 68 - Sale <sup>25</sup>
	11.891	12.36	05/04/21	22.12	...	-9.76	23 of 68 - Sale <sup>25</sup>
	27.712	28.82	05/04/21	38.13	...	-9.31	24 of 68 - Sale <sup>25</sup>
	33.816	35.16	05/04/21	43.08	...	-7.92	25 of 68 - Sale <sup>25</sup>
	16.825	17.50	05/04/21	25.22	...	-7.72	26 of 68 - Sale <sup>25</sup>
	16.000	16.64	05/04/21	23.84	...	-7.20	27 of 68 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	10.876	11.31	05/04/21	18.44	...	-7.13	28 of 68 - Sale <sup>25</sup>
	8.842	9.19	05/04/21	16.31	...	-7.12	29 of 68 - Sale <sup>25</sup>
	20.658	21.48	05/04/21	28.41	...	-6.93	30 of 68 - Sale <sup>25</sup>
	14.138	14.70	05/04/21	20.59	...	-5.89	31 of 68 - Sale <sup>25</sup>
	6.871	7.15	05/04/21	12.43	...	-5.28	32 of 68 - Sale <sup>25</sup>
	5.024	5.22	05/04/21	10.11	...	-4.89	33 of 68 - Sale <sup>25</sup>
	20.658	21.48	05/04/21	26.32	...	-4.84	34 of 68 - Sale <sup>25</sup>
	5.067	5.27	05/04/21	9.34	...	-4.07	35 of 68 - Sale <sup>25</sup>
	3.603	3.75	05/04/21	6.87	...	-3.12	36 of 68 - Sale <sup>25</sup>
	3.747	3.90	05/04/21	6.90	...	-3.00	37 of 68 - Sale <sup>25</sup>
	5.345	5.56	05/04/21	8.49	...	-2.93	38 of 68 - Sale <sup>25</sup>
	5.770	6.00	05/04/21	8.86	...	-2.86	39 of 68 - Sale <sup>25</sup>
	3.401	3.54	05/04/21	5.94	...	-2.40	40 of 68 - Sale <sup>25</sup>
	1.445	1.50	05/04/21	3.15	...	-1.65	41 of 68 - Sale <sup>25</sup>
	1.007	1.05	05/04/21	2.20	...	-1.15	42 of 68 - Sale <sup>25</sup>
	6.159	6.40	05/04/21	7.53	...	-1.13	43 of 68 - Sale <sup>25</sup>
	1.034	1.08	05/04/21	2.08	...	-1.00	44 of 68 - Sale <sup>25</sup>
	1.212	1.26	05/04/21	2.20	...	-0.94	45 of 68 - Sale <sup>25</sup>
	5.188	5.39	05/04/21	6.30	...	-0.91	46 of 68 - Sale <sup>25</sup>
	2.394	2.49	05/04/21	3.29	...	-0.80	47 of 68 - Sale <sup>25</sup>
	1.196	1.24	05/04/21	1.99	...	-0.75	48 of 68 - Sale <sup>25</sup>
	0.851	0.89	05/04/21	1.58	...	-0.69	49 of 68 - Sale <sup>25</sup>
	0.603	0.63	05/04/21	1.20	...	-0.57	50 of 68 - Sale <sup>25</sup>
	0.564	0.59	05/04/21	1.07	...	-0.48	51 of 68 - Sale <sup>25</sup>
	2.765	2.88	05/04/21	3.35	...	-0.47	52 of 68 - Sale <sup>25</sup>
	0.400	0.42	05/04/21	0.81	...	-0.39	53 of 68 - Sale <sup>25</sup>
	0.350	0.36	05/04/21	0.73	...	-0.37	54 of 68 - Sale <sup>25</sup>
	0.751	0.78	05/04/21	1.15	...	-0.37	55 of 68 - Sale <sup>25</sup>
	0.400	0.42	05/04/21	0.73	...	-0.31	56 of 68 - Sale <sup>25</sup>
	0.337	0.35	05/04/21	0.64	...	-0.29	57 of 68 - Sale <sup>25</sup>
	0.854	0.89	05/04/21	1.18	...	-0.29	58 of 68 - Sale <sup>25</sup>
	0.259	0.27	05/04/21	0.53	...	-0.26	59 of 68 - Sale <sup>25</sup>
	0.168	0.17	05/04/21	0.37	...	-0.20	60 of 68 - Sale <sup>25</sup>
	0.249	0.26	05/04/21	0.45	...	-0.19	61 of 68 - Sale <sup>25</sup>

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Account 963927496

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
	0.239	0.25	05/04/21	0.44	...	-0.19	62 of 68 - Sale <sup>25</sup>
	0.222	0.23	05/04/21	0.39	...	-0.16	63 of 68 - Sale <sup>25</sup>
	0.082	0.09	05/04/21	0.14	...	-0.05	64 of 68 - Sale <sup>25</sup>
	0.607	0.63	05/04/21	0.53	...	0.10	65 of 68 - Sale <sup>25</sup>
	979.549	1,018.61	05/04/21	853.56	...	165.05	66 of 68 - Sale <sup>25</sup>
	1,143.641	1,189.24	05/04/21	1,000.00	...	189.24	67 of 68 - Sale <sup>25</sup>
	1,101.079	1,144.98	05/05/21	1,000.00	...	144.98	68 of 68 - Sale <sup>25</sup>
06/03/21	4,539.876	4,720.90	Various	4,936.91	...	-216.01	Total of 68 transactions
	<b>Security total:</b>	<b>18,668.92</b>		<b>23,415.80</b>	<b>4,561.64 W</b>	<b>-185.24</b>	
ARYA SCIENCES ACQUISITION CORP III CLASS A ORDINARY SHARES / CUSIP: G3166W106 / Symbol:							
3 transactions for 02/09/21. Total proceeds and cost reported to the IRS.							
	12.000	240.51	02/08/21	260.22	...	-19.71	1 of 3 - Sale <sup>25</sup>
	1.315	26.35	02/08/21	28.51	...	-2.16	2 of 3 - Sale <sup>25</sup>
	0.520	10.41	02/08/21	11.27	...	-0.86	3 of 3 - Sale <sup>25</sup>
02/09/21	13.834	277.27	Various	300.00	...	-22.73	Total of 3 transactions
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
41 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	0.096	0.17	12/14/20	0.21	0.04 W	0.00	1 of 41 - Sale <sup>25</sup>
	3.745	6.52	12/14/20	8.31	1.79 W	0.00	2 of 41 - Sale <sup>25</sup>
	60.681	110.51	12/14/20	134.66	24.15 W	0.00	3 of 41 - Sale <sup>25</sup>
	111.929	203.84	12/14/20	245.59	41.75 W	0.00	4 of 41 - Sale <sup>25</sup>
	34.659	63.12	12/14/20	76.05	12.93 W	0.00	5 of 41 - Sale <sup>25</sup>
	397.356	723.64	12/14/20	854.87	131.23 W	0.00	6 of 41 - Sale <sup>25</sup>
	0.077	0.14	12/17/20	0.22	0.08 W	0.00	7 of 41 - Sale <sup>25</sup>
	0.039	0.07	12/17/20	0.10	0.03 W	0.00	8 of 41 - Sale <sup>25</sup>
	0.090	0.16	12/17/20	0.23	0.07 W	0.00	9 of 41 - Sale <sup>25</sup>
	0.132	0.24	12/17/20	0.33	0.09 W	0.00	10 of 41 - Sale <sup>25</sup>
	0.150	0.27	12/17/20	0.35	0.08 W	0.00	11 of 41 - Sale <sup>25</sup>
	0.644	1.17	12/17/20	1.48	0.31 W	0.00	12 of 41 - Sale <sup>25</sup>
	0.868	1.58	12/17/20	2.00	0.42 W	0.00	13 of 41 - Sale <sup>25</sup>
	4.264	7.76	12/17/20	9.71	1.95 W	0.00	14 of 41 - Sale <sup>25</sup>
	8.868	16.15	12/17/20	20.22	4.07 W	0.00	15 of 41 - Sale <sup>25</sup>

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Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	29.932	54.51	12/17/20	71.58	17.07 W	0.00	16 of 41 - Sale <sup>25</sup>
	0.140	0.26	12/17/20	0.30	0.04 W	0.00	17 of 41 - Sale <sup>25</sup>
	9.945	18.11	12/17/20	21.35	3.24 W	0.00	18 of 41 - Sale <sup>25</sup>
	48.945	89.14	12/17/20	106.66	17.52 W	0.00	19 of 41 - Sale <sup>25</sup>
	108.002	196.69	12/17/20	236.97	40.28 W	0.00	20 of 41 - Sale <sup>25</sup>
	0.627	1.14	12/17/20	1.33	0.19 W	0.00	21 of 41 - Sale <sup>25</sup>
	1.000	1.82	12/18/20	2.38	0.56 W	0.00	22 of 41 - Sale <sup>25</sup>
	3.936	7.17	12/18/20	9.35	2.18 W	0.00	23 of 41 - Sale <sup>25</sup>
	30.027	54.68	12/18/20	71.28	16.60 W	0.00	24 of 41 - Sale <sup>25</sup>
	51.000	92.88	12/18/20	121.10	28.22 W	0.00	25 of 41 - Sale <sup>25</sup>
	0.981	1.79	12/18/20	2.31	0.52 W	0.00	26 of 41 - Sale <sup>25</sup>
	8.313	15.14	12/18/20	19.60	4.46 W	0.00	27 of 41 - Sale <sup>25</sup>
	35.035	63.80	12/18/20	84.56	20.76 W	0.00	28 of 41 - Sale <sup>25</sup>
	0.383	0.70	12/18/20	0.82	0.12 W	0.00	29 of 41 - Sale <sup>25</sup>
	3.558	6.48	12/18/20	7.65	1.17 W	0.00	30 of 41 - Sale <sup>25</sup>
	29.833	54.33	12/18/20	63.15	8.82 W	0.00	31 of 41 - Sale <sup>25</sup>
	0.242	0.44	12/18/20	0.51	0.07 W	0.00	32 of 41 - Sale <sup>25</sup>
	15.368	27.99	12/18/20	32.57	4.58 W	0.00	33 of 41 - Sale <sup>25</sup>
	107.798	196.31	12/18/20	234.10	37.79 W	0.00	34 of 41 - Sale <sup>25</sup>
	1.746	3.18	12/18/20	3.78	0.60 W	0.00	35 of 41 - Sale <sup>25</sup>
	82.555	150.34	12/18/20	177.44	27.10 W	0.00	36 of 41 - Sale <sup>25</sup>
	0.041	0.08	12/21/20	0.09	0.01 W	0.00	37 of 41 - Sale <sup>25</sup>
	0.096	0.17	12/21/20	0.23	0.06 W	0.00	38 of 41 - Sale <sup>25</sup>
	3.745	6.82	12/21/20	9.09	2.27 W	0.00	39 of 41 - Sale <sup>25</sup>
	232.553	423.51	12/21/20	501.91	78.40 W	0.00	40 of 41 - Sale <sup>25</sup>
	415.444	756.58	12/21/20	809.69	53.11 W	0.00	41 of 41 - Sale <sup>25</sup>
01/07/21	1,844.840	3,359.40	Various	3,944.13	584.73 W	0.00	Total of 41 transactions
	3 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	0.003	0.00	12/23/20	0.00	...	0.00	1 of 3 - Zero proceeds / negative sale <sup>25</sup>
	54.342	98.39	12/31/20	97.00	...	1.39	2 of 3 - Sale <sup>25</sup>
	307.661	557.06	12/31/20	535.33	...	21.73	3 of 3 - Sale <sup>25</sup>
01/08/21	362.005	655.45	Various	632.33	...	23.12	Total of 3 transactions

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Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
52 transactions for 01/08/21. Total proceeds and cost reported to the IRS.							
0.530		0.96	12/21/20	1.24	0.28 W	0.00	1 of 52 - Sale <sup>25</sup>
60.151		108.91	12/21/20	141.18	32.27 W	0.00	2 of 52 - Sale <sup>25</sup>
34.659		62.76	12/21/20	80.48	17.72 W	0.00	3 of 52 - Sale <sup>25</sup>
111.929		202.66	12/21/20	259.90	57.24 W	0.00	4 of 52 - Sale <sup>25</sup>
4.885		8.85	12/21/20	11.13	2.28 W	0.00	5 of 52 - Sale <sup>25</sup>
0.995		1.80	12/23/20	2.23	0.43 W	0.00	6 of 52 - Sale <sup>25</sup>
105.541		191.10	12/23/20	234.86	43.76 W	0.00	7 of 52 - Sale <sup>25</sup>
0.369		0.67	12/28/20	0.81	0.14 W	0.00	8 of 52 - Sale <sup>25</sup>
200.000		362.13	12/28/20	443.05	80.92 W	0.00	9 of 52 - Sale <sup>25</sup>
0.077		0.14	12/28/20	0.22	0.08 W	0.00	10 of 52 - Sale <sup>25</sup>
0.039		0.07	12/28/20	0.10	0.03 W	0.00	11 of 52 - Sale <sup>25</sup>
0.090		0.16	12/28/20	0.24	0.08 W	0.00	12 of 52 - Sale <sup>25</sup>
0.132		0.24	12/28/20	0.34	0.10 W	0.00	13 of 52 - Sale <sup>25</sup>
0.150		0.27	12/28/20	0.36	0.09 W	0.00	14 of 52 - Sale <sup>25</sup>
0.644		1.17	12/28/20	1.52	0.35 W	0.00	15 of 52 - Sale <sup>25</sup>
0.868		1.57	12/28/20	2.06	0.49 W	0.00	16 of 52 - Sale <sup>25</sup>
3.568		6.46	12/28/20	8.36	1.90 W	0.00	17 of 52 - Sale <sup>25</sup>
8.868		16.06	12/28/20	20.79	4.73 W	0.00	18 of 52 - Sale <sup>25</sup>
85.564		154.93	12/28/20	189.55	34.62 W	0.00	19 of 52 - Sale <sup>25</sup>
0.096		0.17	12/28/20	0.24	0.07 W	0.00	20 of 52 - Sale <sup>25</sup>
0.696		1.26	12/28/20	1.64	0.38 W	0.00	21 of 52 - Sale <sup>25</sup>
3.745		6.78	12/28/20	9.35	2.57 W	0.00	22 of 52 - Sale <sup>25</sup>
29.932		54.20	12/28/20	73.63	19.43 W	0.00	23 of 52 - Sale <sup>25</sup>
1.000		1.81	12/28/20	2.45	0.64 W	0.00	24 of 52 - Sale <sup>25</sup>
3.936		7.13	12/28/20	9.62	2.49 W	0.00	25 of 52 - Sale <sup>25</sup>
30.027		54.37	12/28/20	73.34	18.97 W	0.00	26 of 52 - Sale <sup>25</sup>
51.000		92.34	12/28/20	124.58	32.24 W	0.00	27 of 52 - Sale <sup>25</sup>
0.981		1.78	12/28/20	2.37	0.59 W	0.00	28 of 52 - Sale <sup>25</sup>
8.313		15.05	12/28/20	20.17	5.12 W	0.00	29 of 52 - Sale <sup>25</sup>
35.035		63.43	12/28/20	86.96	23.53 W	0.00	30 of 52 - Sale <sup>25</sup>
0.242		0.44	12/28/20	0.53	0.09 W	0.00	31 of 52 - Sale <sup>25</sup>
0.383		0.69	12/28/20	0.84	0.15 W	0.00	32 of 52 - Sale <sup>25</sup>
3.558		6.44	12/28/20	7.89	1.45 W	0.00	33 of 52 - Sale <sup>25</sup>

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2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	15.368	27.83	12/28/20	33.62	5.79 W	0.00	34 of 52 - Sale <sup>25</sup>
	29.833	54.02	12/28/20	65.19	11.17 W	0.00	35 of 52 - Sale <sup>25</sup>
	107.798	195.18	12/28/20	241.47	46.29 W	0.00	36 of 52 - Sale <sup>25</sup>
	1.746	3.16	12/28/20	3.90	0.74 W	0.00	37 of 52 - Sale <sup>25</sup>
	82.555	149.48	12/28/20	183.09	33.61 W	0.00	38 of 52 - Sale <sup>25</sup>
	0.140	0.25	12/28/20	0.30	0.05 W	0.00	39 of 52 - Sale <sup>25</sup>
	9.945	18.01	12/28/20	22.03	4.02 W	0.00	40 of 52 - Sale <sup>25</sup>
	48.945	88.62	12/28/20	110.00	21.38 W	0.00	41 of 52 - Sale <sup>25</sup>
	108.002	195.55	12/28/20	244.35	48.80 W	0.00	42 of 52 - Sale <sup>25</sup>
	0.041	0.07	12/28/20	0.09	0.02 W	0.00	43 of 52 - Sale <sup>25</sup>
	232.553	421.07	12/28/20	517.80	96.73 W	0.00	44 of 52 - Sale <sup>25</sup>
	0.627	1.14	12/28/20	1.38	0.24 W	0.00	45 of 52 - Sale <sup>25</sup>
	184.143	333.41	12/28/20	371.47	38.06 W	0.00	46 of 52 - Sale <sup>25</sup>
	0.368	0.67	12/29/20	0.70	0.03 W	0.00	47 of 52 - Sale <sup>25</sup>
	33.904	61.39	12/29/20	64.34	2.95 W	0.00	48 of 52 - Sale <sup>25</sup>
	0.354	0.64	12/29/20	0.67	0.03 W	0.00	49 of 52 - Sale <sup>25</sup>
	54.863	99.34	12/29/20	104.09	4.75 W	0.00	50 of 52 - Sale <sup>25</sup>
	0.527	0.95	12/31/20	1.20	0.25 W	0.00	51 of 52 - Sale <sup>25</sup>
	141.812	256.77	12/31/20	264.88	8.11 W	0.00	52 of 52 - Sale <sup>25</sup>
01/08/21	1,841.525	3,334.35	Various	4,042.60	708.25 W	0.00	Total of 52 transactions
	2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	0.004	0.01	01/08/21	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	945.945	1,826.74	01/13/21	1,806.28	...	20.46	2 of 2 - Sale <sup>25</sup>
01/13/21	945.949	1,826.75	Various	1,806.29	...	20.46	Total of 2 transactions
	153 transactions for 01/13/21. Total proceeds and cost reported to the IRS.						
	0.077	0.14	01/08/21	0.22	0.08 W	0.00	1 of 153 - Sale <sup>25</sup>
	0.039	0.08	01/08/21	0.10	0.02 W	0.00	2 of 153 - Sale <sup>25</sup>
	0.090	0.17	01/08/21	0.25	0.08 W	0.00	3 of 153 - Sale <sup>25</sup>
	0.128	0.24	01/08/21	0.34	0.10 W	0.00	4 of 153 - Sale <sup>25</sup>
	0.096	0.18	01/08/21	0.25	0.07 W	0.00	5 of 153 - Sale <sup>25</sup>
	0.150	0.28	01/08/21	0.37	0.09 W	0.00	6 of 153 - Sale <sup>25</sup>
	0.644	1.21	01/08/21	1.56	0.35 W	0.00	7 of 153 - Sale <sup>25</sup>
	0.696	1.31	01/08/21	1.69	0.38 W	0.00	8 of 153 - Sale <sup>25</sup>
	0.868	1.63	01/08/21	2.12	0.49 W	0.00	9 of 153 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	3.568	6.71	01/08/21	8.60	1.89 W	0.00	10 of 153 - Sale <sup>25</sup>
	3.745	7.04	01/08/21	9.60	2.56 W	0.00	11 of 153 - Sale <sup>25</sup>
	8.868	16.67	01/08/21	21.39	4.72 W	0.00	12 of 153 - Sale <sup>25</sup>
	29.932	56.27	01/08/21	75.65	19.38 W	0.00	13 of 153 - Sale <sup>25</sup>
	1.000	1.88	01/08/21	2.52	0.64 W	0.00	14 of 153 - Sale <sup>25</sup>
	3.936	7.40	01/08/21	9.88	2.48 W	0.00	15 of 153 - Sale <sup>25</sup>
	30.027	56.45	01/08/21	75.37	18.92 W	0.00	16 of 153 - Sale <sup>25</sup>
	51.000	95.87	01/08/21	128.03	32.16 W	0.00	17 of 153 - Sale <sup>25</sup>
	0.981	1.84	01/08/21	2.43	0.59 W	0.00	18 of 153 - Sale <sup>25</sup>
	8.313	15.63	01/08/21	20.73	5.10 W	0.00	19 of 153 - Sale <sup>25</sup>
	35.035	65.86	01/08/21	89.34	23.48 W	0.00	20 of 153 - Sale <sup>25</sup>
	60.151	113.07	01/08/21	145.25	32.18 W	0.00	21 of 153 - Sale <sup>25</sup>
	34.659	65.15	01/08/21	82.82	17.67 W	0.00	22 of 153 - Sale <sup>25</sup>
	111.929	210.41	01/08/21	267.48	57.07 W	0.00	23 of 153 - Sale <sup>25</sup>
	0.242	0.45	01/08/21	0.54	0.09 W	0.00	24 of 153 - Sale <sup>25</sup>
	0.383	0.72	01/08/21	0.87	0.15 W	0.00	25 of 153 - Sale <sup>25</sup>
	3.558	6.69	01/08/21	8.13	1.44 W	0.00	26 of 153 - Sale <sup>25</sup>
	15.368	28.89	01/08/21	34.66	5.77 W	0.00	27 of 153 - Sale <sup>25</sup>
	29.833	56.08	01/08/21	67.21	11.13 W	0.00	28 of 153 - Sale <sup>25</sup>
	64.892	121.98	01/08/21	149.75	27.77 W	0.00	29 of 153 - Sale <sup>25</sup>
	42.906	80.66	01/08/21	99.02	18.36 W	0.00	30 of 153 - Sale <sup>25</sup>
	1.746	3.28	01/08/21	4.02	0.74 W	0.00	31 of 153 - Sale <sup>25</sup>
	82.555	155.19	01/08/21	188.67	33.48 W	0.00	32 of 153 - Sale <sup>25</sup>
	0.140	0.26	01/08/21	0.31	0.05 W	0.00	33 of 153 - Sale <sup>25</sup>
	9.945	18.70	01/08/21	22.70	4.00 W	0.00	34 of 153 - Sale <sup>25</sup>
	48.945	92.01	01/08/21	113.31	21.30 W	0.00	35 of 153 - Sale <sup>25</sup>
	108.002	203.02	01/08/21	251.66	48.64 W	0.00	36 of 153 - Sale <sup>25</sup>
	0.041	0.08	01/08/21	0.10	0.02 W	0.00	37 of 153 - Sale <sup>25</sup>
	4.885	9.18	01/08/21	11.46	2.28 W	0.00	38 of 153 - Sale <sup>25</sup>
	200.835	377.53	01/08/21	460.77	83.24 W	0.00	39 of 153 - Sale <sup>25</sup>
	31.718	59.63	01/08/21	72.77	13.14 W	0.00	40 of 153 - Sale <sup>25</sup>
	0.627	1.18	01/08/21	1.42	0.24 W	0.00	41 of 153 - Sale <sup>25</sup>
	0.995	1.87	01/08/21	2.30	0.43 W	0.00	42 of 153 - Sale <sup>25</sup>
	105.541	198.40	01/08/21	242.00	43.60 W	0.00	43 of 153 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	0.369	0.69	01/08/21	0.83	0.14 W	0.00	44 of 153 - Sale <sup>25</sup>
	85.564	160.85	01/08/21	195.33	34.48 W	0.00	45 of 153 - Sale <sup>25</sup>
	200.000	375.97	01/08/21	456.58	80.61 W	0.00	46 of 153 - Sale <sup>25</sup>
	75.125	141.22	01/08/21	156.64	15.42 W	0.00	47 of 153 - Sale <sup>25</sup>
	0.077	0.14	01/12/21	0.23	0.09 W	0.00	48 of 153 - Sale <sup>25</sup>
	0.039	0.07	01/12/21	0.10	0.03 W	0.00	49 of 153 - Sale <sup>25</sup>
	0.090	0.17	01/12/21	0.26	0.09 W	0.00	50 of 153 - Sale <sup>25</sup>
	0.128	0.24	01/12/21	0.36	0.12 W	0.00	51 of 153 - Sale <sup>25</sup>
	0.096	0.18	01/12/21	0.26	0.08 W	0.00	52 of 153 - Sale <sup>25</sup>
	0.150	0.28	01/12/21	0.39	0.11 W	0.00	53 of 153 - Sale <sup>25</sup>
	0.644	1.21	01/12/21	1.64	0.43 W	0.00	54 of 153 - Sale <sup>25</sup>
	0.696	1.31	01/12/21	1.78	0.47 W	0.00	55 of 153 - Sale <sup>25</sup>
	0.868	1.63	01/12/21	2.23	0.60 W	0.00	56 of 153 - Sale <sup>25</sup>
	3.568	6.71	01/12/21	9.05	2.34 W	0.00	57 of 153 - Sale <sup>25</sup>
	3.745	7.04	01/12/21	10.08	3.04 W	0.00	58 of 153 - Sale <sup>25</sup>
	8.868	16.67	01/12/21	22.52	5.85 W	0.00	59 of 153 - Sale <sup>25</sup>
	29.932	56.27	01/12/21	79.45	23.18 W	0.00	60 of 153 - Sale <sup>25</sup>
	1.000	1.88	01/12/21	2.65	0.77 W	0.00	61 of 153 - Sale <sup>25</sup>
	3.936	7.40	01/12/21	10.38	2.98 W	0.00	62 of 153 - Sale <sup>25</sup>
	30.027	56.45	01/12/21	79.18	22.73 W	0.00	63 of 153 - Sale <sup>25</sup>
	51.000	95.87	01/12/21	134.51	38.64 W	0.00	64 of 153 - Sale <sup>25</sup>
	0.981	1.84	01/12/21	2.56	0.72 W	0.00	65 of 153 - Sale <sup>25</sup>
	8.313	15.63	01/12/21	21.78	6.15 W	0.00	66 of 153 - Sale <sup>25</sup>
	0.527	0.99	01/12/21	1.31	0.32 W	0.00	67 of 153 - Sale <sup>25</sup>
	35.035	65.86	01/12/21	93.79	27.93 W	0.00	68 of 153 - Sale <sup>25</sup>
	60.151	113.07	01/12/21	152.90	39.83 W	0.00	69 of 153 - Sale <sup>25</sup>
	34.659	65.15	01/12/21	87.23	22.08 W	0.00	70 of 153 - Sale <sup>25</sup>
	111.929	210.41	01/12/21	281.70	71.29 W	0.00	71 of 153 - Sale <sup>25</sup>
	0.242	0.45	01/12/21	0.57	0.12 W	0.00	72 of 153 - Sale <sup>25</sup>
	0.383	0.72	01/12/21	0.92	0.20 W	0.00	73 of 153 - Sale <sup>25</sup>
	3.558	6.69	01/12/21	8.58	1.89 W	0.00	74 of 153 - Sale <sup>25</sup>
	15.368	28.89	01/12/21	36.61	7.72 W	0.00	75 of 153 - Sale <sup>25</sup>
	29.833	56.08	01/12/21	71.00	14.92 W	0.00	76 of 153 - Sale <sup>25</sup>
	42.906	80.66	01/12/21	104.47	23.81 W	0.00	77 of 153 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
64.892		121.99	01/12/21	158.00	36.01 W	0.00	78 of 153 - Sale <sup>25</sup>
1.746		3.28	01/12/21	4.24	0.96 W	0.00	79 of 153 - Sale <sup>25</sup>
82.555		155.19	01/12/21	199.16	43.97 W	0.00	80 of 153 - Sale <sup>25</sup>
0.140		0.26	01/12/21	0.33	0.07 W	0.00	81 of 153 - Sale <sup>25</sup>
9.945		18.70	01/12/21	23.96	5.26 W	0.00	82 of 153 - Sale <sup>25</sup>
48.945		92.01	01/12/21	119.53	27.52 W	0.00	83 of 153 - Sale <sup>25</sup>
108.002		203.03	01/12/21	265.39	62.36 W	0.00	84 of 153 - Sale <sup>25</sup>
0.041		0.08	01/12/21	0.10	0.02 W	0.00	85 of 153 - Sale <sup>25</sup>
4.885		9.18	01/12/21	12.08	2.90 W	0.00	86 of 153 - Sale <sup>25</sup>
200.835		377.54	01/12/21	486.30	108.76 W	0.00	87 of 153 - Sale <sup>25</sup>
109.017		204.93	01/12/21	241.32	36.39 W	0.00	88 of 153 - Sale <sup>25</sup>
0.354		0.67	01/12/21	0.74	0.07 W	0.00	89 of 153 - Sale <sup>25</sup>
0.368		0.69	01/12/21	0.77	0.08 W	0.00	90 of 153 - Sale <sup>25</sup>
33.904		63.73	01/12/21	70.99	7.26 W	0.00	91 of 153 - Sale <sup>25</sup>
54.863		103.13	01/12/21	114.85	11.72 W	0.00	92 of 153 - Sale <sup>25</sup>
141.812		266.58	01/12/21	292.71	26.13 W	0.00	93 of 153 - Sale <sup>25</sup>
624.009		1,173.04	01/12/21	1,252.33	79.29 W	0.00	94 of 153 - Sale <sup>25</sup>
0.423		0.82	01/13/21	0.98	0.16 W	0.00	95 of 153 - Sale <sup>25</sup>
0.077		0.15	01/13/21	0.24	0.09 W	0.00	96 of 153 - Sale <sup>25</sup>
0.039		0.08	01/13/21	0.10	0.02 W	0.00	97 of 153 - Sale <sup>25</sup>
0.090		0.17	01/13/21	0.26	0.09 W	0.00	98 of 153 - Sale <sup>25</sup>
0.128		0.25	01/13/21	0.36	0.11 W	0.00	99 of 153 - Sale <sup>25</sup>
0.096		0.19	01/13/21	0.26	0.07 W	0.00	100 of 153 - Sale <sup>25</sup>
0.150		0.29	01/13/21	0.40	0.11 W	0.00	101 of 153 - Sale <sup>25</sup>
0.644		1.25	01/13/21	1.66	0.41 W	0.00	102 of 153 - Sale <sup>25</sup>
0.696		1.35	01/13/21	1.80	0.45 W	0.00	103 of 153 - Sale <sup>25</sup>
0.868		1.68	01/13/21	2.26	0.58 W	0.00	104 of 153 - Sale <sup>25</sup>
3.568		6.92	01/13/21	9.15	2.23 W	0.00	105 of 153 - Sale <sup>25</sup>
3.745		7.27	01/13/21	10.19	2.92 W	0.00	106 of 153 - Sale <sup>25</sup>
8.868		17.21	01/13/21	22.78	5.57 W	0.00	107 of 153 - Sale <sup>25</sup>
29.932		58.09	01/13/21	80.34	22.25 W	0.00	108 of 153 - Sale <sup>25</sup>
1.000		1.94	01/13/21	2.68	0.74 W	0.00	109 of 153 - Sale <sup>25</sup>
3.936		7.64	01/13/21	10.50	2.86 W	0.00	110 of 153 - Sale <sup>25</sup>
30.027		58.27	01/13/21	80.07	21.80 W	0.00	111 of 153 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
51.000		98.98	01/13/21	136.02	37.04 W	0.00	112 of 153 - Sale <sup>25</sup>
0.981		1.90	01/13/21	2.59	0.69 W	0.00	113 of 153 - Sale <sup>25</sup>
8.313		16.13	01/13/21	22.02	5.89 W	0.00	114 of 153 - Sale <sup>25</sup>
0.527		1.02	01/13/21	1.33	0.31 W	0.00	115 of 153 - Sale <sup>25</sup>
35.035		67.99	01/13/21	94.83	26.84 W	0.00	116 of 153 - Sale <sup>25</sup>
60.151		116.74	01/13/21	154.69	37.95 W	0.00	117 of 153 - Sale <sup>25</sup>
34.659		67.26	01/13/21	88.26	21.00 W	0.00	118 of 153 - Sale <sup>25</sup>
111.929		217.23	01/13/21	285.02	67.79 W	0.00	119 of 153 - Sale <sup>25</sup>
0.242		0.47	01/13/21	0.58	0.11 W	0.00	120 of 153 - Sale <sup>25</sup>
0.383		0.74	01/13/21	0.93	0.19 W	0.00	121 of 153 - Sale <sup>25</sup>
3.558		6.90	01/13/21	8.68	1.78 W	0.00	122 of 153 - Sale <sup>25</sup>
15.368		29.83	01/13/21	37.07	7.24 W	0.00	123 of 153 - Sale <sup>25</sup>
29.833		57.90	01/13/21	71.89	13.99 W	0.00	124 of 153 - Sale <sup>25</sup>
42.906		83.27	01/13/21	105.74	22.47 W	0.00	125 of 153 - Sale <sup>25</sup>
64.892		125.94	01/13/21	159.92	33.98 W	0.00	126 of 153 - Sale <sup>25</sup>
1.746		3.39	01/13/21	4.29	0.90 W	0.00	127 of 153 - Sale <sup>25</sup>
82.555		160.22	01/13/21	201.61	41.39 W	0.00	128 of 153 - Sale <sup>25</sup>
0.140		0.27	01/13/21	0.34	0.07 W	0.00	129 of 153 - Sale <sup>25</sup>
9.945		19.30	01/13/21	24.25	4.95 W	0.00	130 of 153 - Sale <sup>25</sup>
48.945		94.99	01/13/21	120.98	25.99 W	0.00	131 of 153 - Sale <sup>25</sup>
108.002		209.60	01/13/21	268.59	58.99 W	0.00	132 of 153 - Sale <sup>25</sup>
0.041		0.08	01/13/21	0.10	0.02 W	0.00	133 of 153 - Sale <sup>25</sup>
4.885		9.48	01/13/21	12.23	2.75 W	0.00	134 of 153 - Sale <sup>25</sup>
200.835		389.77	01/13/21	492.25	102.48 W	0.00	135 of 153 - Sale <sup>25</sup>
31.296		60.74	01/13/21	72.72	11.98 W	0.00	136 of 153 - Sale <sup>25</sup>
0.627		1.22	01/13/21	1.44	0.22 W	0.00	137 of 153 - Sale <sup>25</sup>
0.995		1.93	01/13/21	2.33	0.40 W	0.00	138 of 153 - Sale <sup>25</sup>
105.541		204.83	01/13/21	245.13	40.30 W	0.00	139 of 153 - Sale <sup>25</sup>
0.369		0.72	01/13/21	0.84	0.12 W	0.00	140 of 153 - Sale <sup>25</sup>
85.564		166.06	01/13/21	197.86	31.80 W	0.00	141 of 153 - Sale <sup>25</sup>
200.000		388.15	01/13/21	462.51	74.36 W	0.00	142 of 153 - Sale <sup>25</sup>
75.125		145.80	01/13/21	158.87	13.07 W	0.00	143 of 153 - Sale <sup>25</sup>
109.017		211.57	01/13/21	244.56	32.99 W	0.00	144 of 153 - Sale <sup>25</sup>
0.354		0.69	01/13/21	0.75	0.06 W	0.00	145 of 153 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	0.368	0.71	01/13/21	0.78	0.07 W	0.00	146 of 153 - Sale <sup>25</sup>
	33.904	65.80	01/13/21	72.00	6.20 W	0.00	147 of 153 - Sale <sup>25</sup>
	54.863	106.47	01/13/21	116.48	10.01 W	0.00	148 of 153 - Sale <sup>25</sup>
	141.812	275.22	01/13/21	296.92	21.70 W	0.00	149 of 153 - Sale <sup>25</sup>
	159.009	308.59	01/13/21	323.83	15.24 W	0.00	150 of 153 - Sale <sup>25</sup>
	0.368	0.71	01/13/21	0.84	0.13 W	0.00	151 of 153 - Sale <sup>25</sup>
	0.055	0.11	01/13/21	0.13	0.02 W	0.00	152 of 153 - Sale <sup>25</sup>
	465.000	897.97	01/13/21	947.01	49.04 W	0.00	153 of 153 - Sale <sup>25</sup>
01/13/21	5,930.628	11,294.27	Various	13,611.57	2,317.30 W	0.00	Total of 153 transactions
01/14/21	644.263	1,423.72	01/14/21	1,371.51	...	52.21	Sale <sup>25</sup>
	63 transactions for 01/14/21. Total proceeds and cost reported to the IRS.						
	0.077	0.17	01/14/21	0.25	0.08 W	0.00	1 of 63 - Sale <sup>25</sup>
	0.039	0.09	01/14/21	0.10	0.01 W	0.00	2 of 63 - Sale <sup>25</sup>
	0.090	0.20	01/14/21	0.28	0.08 W	0.00	3 of 63 - Sale <sup>25</sup>
	0.058	0.13	01/14/21	0.17	0.04 W	0.00	4 of 63 - Sale <sup>25</sup>
	0.070	0.16	01/14/21	0.21	0.05 W	0.00	5 of 63 - Sale <sup>25</sup>
	0.096	0.21	01/14/21	0.27	0.06 W	0.00	6 of 63 - Sale <sup>25</sup>
	0.150	0.33	01/14/21	0.43	0.10 W	0.00	7 of 63 - Sale <sup>25</sup>
	0.644	1.43	01/14/21	1.78	0.35 W	0.00	8 of 63 - Sale <sup>25</sup>
	0.696	1.55	01/14/21	1.93	0.38 W	0.00	9 of 63 - Sale <sup>25</sup>
	0.868	1.93	01/14/21	2.43	0.50 W	0.00	10 of 63 - Sale <sup>25</sup>
	3.568	7.92	01/14/21	9.83	1.91 W	0.00	11 of 63 - Sale <sup>25</sup>
	3.745	8.32	01/14/21	10.89	2.57 W	0.00	12 of 63 - Sale <sup>25</sup>
	8.868	19.70	01/14/21	24.45	4.75 W	0.00	13 of 63 - Sale <sup>25</sup>
	29.932	66.47	01/14/21	85.97	19.50 W	0.00	14 of 63 - Sale <sup>25</sup>
	3.936	8.74	01/14/21	11.24	2.50 W	0.00	15 of 63 - Sale <sup>25</sup>
	47.427	105.33	01/14/21	135.41	30.08 W	0.00	16 of 63 - Sale <sup>25</sup>
	1.000	2.21	01/14/21	2.87	0.66 W	0.00	17 of 63 - Sale <sup>25</sup>
	30.027	66.35	01/14/21	85.72	19.37 W	0.00	18 of 63 - Sale <sup>25</sup>
	3.573	7.90	01/14/21	10.20	2.30 W	0.00	19 of 63 - Sale <sup>25</sup>
	0.981	2.17	01/14/21	2.78	0.61 W	0.00	20 of 63 - Sale <sup>25</sup>
	8.313	18.37	01/14/21	23.59	5.22 W	0.00	21 of 63 - Sale <sup>25</sup>
	0.527	1.17	01/14/21	1.43	0.26 W	0.00	22 of 63 - Sale <sup>25</sup>
	35.035	77.42	01/14/21	101.42	24.00 W	0.00	23 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
60.151		132.92	01/14/21	166.00	33.08 W	0.00	24 of 63 - Sale <sup>25</sup>
34.659		76.59	01/14/21	94.78	18.19 W	0.00	25 of 63 - Sale <sup>25</sup>
111.929		247.34	01/14/21	306.06	58.72 W	0.00	26 of 63 - Sale <sup>25</sup>
0.242		0.53	01/14/21	0.62	0.09 W	0.00	27 of 63 - Sale <sup>25</sup>
0.383		0.85	01/14/21	1.00	0.15 W	0.00	28 of 63 - Sale <sup>25</sup>
3.558		7.86	01/14/21	9.35	1.49 W	0.00	29 of 63 - Sale <sup>25</sup>
15.368		33.96	01/14/21	39.96	6.00 W	0.00	30 of 63 - Sale <sup>25</sup>
29.833		65.92	01/14/21	77.50	11.58 W	0.00	31 of 63 - Sale <sup>25</sup>
42.906		94.82	01/14/21	113.81	18.99 W	0.00	32 of 63 - Sale <sup>25</sup>
64.892		143.40	01/14/21	172.12	28.72 W	0.00	33 of 63 - Sale <sup>25</sup>
56.625		125.13	01/14/21	148.93	23.80 W	0.00	34 of 63 - Sale <sup>25</sup>
1.746		3.88	01/14/21	4.62	0.74 W	0.00	35 of 63 - Sale <sup>25</sup>
25.930		57.56	01/14/21	68.20	10.64 W	0.00	36 of 63 - Sale <sup>25</sup>
72.323		160.55	01/14/21	193.46	32.91 W	0.00	37 of 63 - Sale <sup>25</sup>
0.140		0.31	01/14/21	0.37	0.06 W	0.00	38 of 63 - Sale <sup>25</sup>
9.945		21.98	01/14/21	26.12	4.14 W	0.00	39 of 63 - Sale <sup>25</sup>
48.945		108.16	01/14/21	130.18	22.02 W	0.00	40 of 63 - Sale <sup>25</sup>
35.678		78.85	01/14/21	95.44	16.59 W	0.00	41 of 63 - Sale <sup>25</sup>
0.041		0.09	01/14/21	0.11	0.02 W	0.00	42 of 63 - Sale <sup>25</sup>
4.885		10.79	01/14/21	13.15	2.36 W	0.00	43 of 63 - Sale <sup>25</sup>
0.365		0.81	01/14/21	0.96	0.15 W	0.00	44 of 63 - Sale <sup>25</sup>
200.470		443.00	01/14/21	529.06	86.06 W	0.00	45 of 63 - Sale <sup>25</sup>
0.055		0.12	01/14/21	0.14	0.02 W	0.00	46 of 63 - Sale <sup>25</sup>
0.368		0.81	01/14/21	0.91	0.10 W	0.00	47 of 63 - Sale <sup>25</sup>
31.296		69.16	01/14/21	78.60	9.44 W	0.00	48 of 63 - Sale <sup>25</sup>
0.627		1.39	01/14/21	1.56	0.17 W	0.00	49 of 63 - Sale <sup>25</sup>
0.995		2.20	01/14/21	2.52	0.32 W	0.00	50 of 63 - Sale <sup>25</sup>
105.541		233.23	01/14/21	264.98	31.75 W	0.00	51 of 63 - Sale <sup>25</sup>
0.369		0.81	01/14/21	0.90	0.09 W	0.00	52 of 63 - Sale <sup>25</sup>
85.564		189.08	01/14/21	213.95	24.87 W	0.00	53 of 63 - Sale <sup>25</sup>
200.000		441.97	01/14/21	500.12	58.15 W	0.00	54 of 63 - Sale <sup>25</sup>
75.125		166.01	01/14/21	173.00	6.99 W	0.00	55 of 63 - Sale <sup>25</sup>
109.017		240.91	01/14/21	265.07	24.16 W	0.00	56 of 63 - Sale <sup>25</sup>
0.354		0.78	01/14/21	0.81	0.03 W	0.00	57 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	0.368	0.81	01/14/21	0.85	0.04 W	0.00	58 of 63 - Sale <sup>25</sup>
	33.904	74.92	01/14/21	78.38	3.46 W	0.00	59 of 63 - Sale <sup>25</sup>
	54.863	121.24	01/14/21	126.80	5.56 W	0.00	60 of 63 - Sale <sup>25</sup>
	141.812	313.38	01/14/21	323.59	10.21 W	0.00	61 of 63 - Sale <sup>25</sup>
	159.009	351.38	01/14/21	353.74	2.36 W	0.00	62 of 63 - Sale <sup>25</sup>
	465.000	1,027.58	01/14/21	1,038.94	11.36 W	0.00	63 of 63 - Sale <sup>25</sup>
01/14/21	2,465.000	5,449.35	Various	6,130.31	680.96 W	0.00	Total of 63 transactions
	67 transactions for 01/20/21. Total proceeds and cost reported to the IRS.						
	0.077	0.15	01/15/21	0.24	0.09 W	0.00	1 of 67 - Sale <sup>25</sup>
	0.005	0.01	01/15/21	0.02	0.01 W	0.00	2 of 67 - Sale <sup>25</sup>
	0.039	0.08	01/15/21	0.09	0.01 W	0.00	3 of 67 - Sale <sup>25</sup>
	0.058	0.11	01/15/21	0.16	0.05 W	0.00	4 of 67 - Sale <sup>25</sup>
	0.070	0.14	01/15/21	0.20	0.06 W	0.00	5 of 67 - Sale <sup>25</sup>
	0.084	0.17	01/15/21	0.25	0.08 W	0.00	6 of 67 - Sale <sup>25</sup>
	0.096	0.19	01/15/21	0.26	0.07 W	0.00	7 of 67 - Sale <sup>25</sup>
	0.150	0.30	01/15/21	0.41	0.11 W	0.00	8 of 67 - Sale <sup>25</sup>
	0.644	1.28	01/15/21	1.70	0.42 W	0.00	9 of 67 - Sale <sup>25</sup>
	0.696	1.38	01/15/21	1.84	0.46 W	0.00	10 of 67 - Sale <sup>25</sup>
	0.868	1.73	01/15/21	2.32	0.59 W	0.00	11 of 67 - Sale <sup>25</sup>
	3.568	7.10	01/15/21	9.40	2.30 W	0.00	12 of 67 - Sale <sup>25</sup>
	3.745	7.45	01/15/21	10.43	2.98 W	0.00	13 of 67 - Sale <sup>25</sup>
	8.868	17.65	01/15/21	23.36	5.71 W	0.00	14 of 67 - Sale <sup>25</sup>
	29.932	59.56	01/15/21	82.32	22.76 W	0.00	15 of 67 - Sale <sup>25</sup>
	3.936	7.83	01/15/21	10.76	2.93 W	0.00	16 of 67 - Sale <sup>25</sup>
	47.246	94.02	01/15/21	129.13	35.11 W	0.00	17 of 67 - Sale <sup>25</sup>
	1.000	1.99	01/15/21	2.76	0.77 W	0.00	18 of 67 - Sale <sup>25</sup>
	3.573	7.11	01/15/21	9.80	2.69 W	0.00	19 of 67 - Sale <sup>25</sup>
	30.027	59.75	01/15/21	82.39	22.64 W	0.00	20 of 67 - Sale <sup>25</sup>
	0.181	0.36	01/15/21	0.49	0.13 W	0.00	21 of 67 - Sale <sup>25</sup>
	0.981	1.95	01/15/21	2.67	0.72 W	0.00	22 of 67 - Sale <sup>25</sup>
	8.313	16.54	01/15/21	22.67	6.13 W	0.00	23 of 67 - Sale <sup>25</sup>
	0.527	1.05	01/15/21	1.37	0.32 W	0.00	24 of 67 - Sale <sup>25</sup>
	35.035	69.72	01/15/21	97.53	27.81 W	0.00	25 of 67 - Sale <sup>25</sup>
	20.363	40.52	01/15/21	53.94	13.42 W	0.00	26 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	39.788	79.17	01/15/21	105.39	26.22 W	0.00	27 of 67 - Sale <sup>25</sup>
	34.659	68.97	01/15/21	90.93	21.96 W	0.00	28 of 67 - Sale <sup>25</sup>
	111.929	222.72	01/15/21	293.64	70.92 W	0.00	29 of 67 - Sale <sup>25</sup>
	0.242	0.48	01/15/21	0.60	0.12 W	0.00	30 of 67 - Sale <sup>25</sup>
	0.383	0.76	01/15/21	0.95	0.19 W	0.00	31 of 67 - Sale <sup>25</sup>
	3.558	7.08	01/15/21	8.96	1.88 W	0.00	32 of 67 - Sale <sup>25</sup>
	8.609	17.13	01/15/21	21.43	4.30 W	0.00	33 of 67 - Sale <sup>25</sup>
	29.833	59.37	01/15/21	74.19	14.82 W	0.00	34 of 67 - Sale <sup>25</sup>
	6.759	13.45	01/15/21	16.82	3.37 W	0.00	35 of 67 - Sale <sup>25</sup>
	42.906	85.38	01/15/21	109.04	23.66 W	0.00	36 of 67 - Sale <sup>25</sup>
	64.892	129.13	01/15/21	164.91	35.78 W	0.00	37 of 67 - Sale <sup>25</sup>
	1.746	3.48	01/15/21	4.41	0.93 W	0.00	38 of 67 - Sale <sup>25</sup>
	25.930	51.60	01/15/21	65.06	13.46 W	0.00	39 of 67 - Sale <sup>25</sup>
	56.625	112.68	01/15/21	142.64	29.96 W	0.00	40 of 67 - Sale <sup>25</sup>
	0.140	0.28	01/15/21	0.35	0.07 W	0.00	41 of 67 - Sale <sup>25</sup>
	9.945	19.79	01/15/21	25.01	5.22 W	0.00	42 of 67 - Sale <sup>25</sup>
	35.678	71.00	01/15/21	91.47	20.47 W	0.00	43 of 67 - Sale <sup>25</sup>
	48.945	97.40	01/15/21	124.75	27.35 W	0.00	44 of 67 - Sale <sup>25</sup>
	72.323	143.92	01/15/21	184.70	40.78 W	0.00	45 of 67 - Sale <sup>25</sup>
	0.041	0.08	01/15/21	0.11	0.03 W	0.00	46 of 67 - Sale <sup>25</sup>
	0.365	0.73	01/15/21	0.92	0.19 W	0.00	47 of 67 - Sale <sup>25</sup>
	4.885	9.72	01/15/21	12.61	2.89 W	0.00	48 of 67 - Sale <sup>25</sup>
	200.470	398.92	01/15/21	506.81	107.89 W	0.00	49 of 67 - Sale <sup>25</sup>
	0.055	0.11	01/15/21	0.13	0.02 W	0.00	50 of 67 - Sale <sup>25</sup>
	0.368	0.73	01/15/21	0.87	0.14 W	0.00	51 of 67 - Sale <sup>25</sup>
	31.296	62.28	01/15/21	75.12	12.84 W	0.00	52 of 67 - Sale <sup>25</sup>
	0.627	1.25	01/15/21	1.49	0.24 W	0.00	53 of 67 - Sale <sup>25</sup>
	0.995	1.98	01/15/21	2.41	0.43 W	0.00	54 of 67 - Sale <sup>25</sup>
	105.541	210.02	01/15/21	253.26	43.24 W	0.00	55 of 67 - Sale <sup>25</sup>
	0.369	0.73	01/15/21	0.86	0.13 W	0.00	56 of 67 - Sale <sup>25</sup>
	85.564	170.27	01/15/21	204.45	34.18 W	0.00	57 of 67 - Sale <sup>25</sup>
	200.000	397.98	01/15/21	477.91	79.93 W	0.00	58 of 67 - Sale <sup>25</sup>
	75.125	149.49	01/15/21	164.67	15.18 W	0.00	59 of 67 - Sale <sup>25</sup>
	109.017	216.94	01/15/21	252.97	36.03 W	0.00	60 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	0.354	0.70	01/15/21	0.77	0.07 W	0.00	61 of 67 - Sale <sup>25</sup>
	0.368	0.73	01/15/21	0.81	0.08 W	0.00	62 of 67 - Sale <sup>25</sup>
	33.904	67.47	01/15/21	74.62	7.15 W	0.00	63 of 67 - Sale <sup>25</sup>
	54.863	109.17	01/15/21	120.71	11.54 W	0.00	64 of 67 - Sale <sup>25</sup>
	141.812	282.20	01/15/21	307.85	25.65 W	0.00	65 of 67 - Sale <sup>25</sup>
	144.082	286.71	01/15/21	305.92	19.21 W	0.00	66 of 67 - Sale <sup>25</sup>
	159.009	316.42	01/15/21	336.09	19.67 W	0.00	67 of 67 - Sale <sup>25</sup>
01/20/21	2,144.082	4,266.56	Various	5,173.12	906.56 W	0.00	Total of 67 transactions
	51 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	0.077	0.16	01/20/21	0.25	0.09 W	0.00	1 of 51 - Sale <sup>25</sup>
	0.005	0.01	01/20/21	0.02	0.01 W	0.00	2 of 51 - Sale <sup>25</sup>
	0.039	0.08	01/20/21	0.09	0.01 W	0.00	3 of 51 - Sale <sup>25</sup>
	0.058	0.12	01/20/21	0.17	0.05 W	0.00	4 of 51 - Sale <sup>25</sup>
	0.070	0.14	01/20/21	0.20	0.06 W	0.00	5 of 51 - Sale <sup>25</sup>
	0.084	0.17	01/20/21	0.25	0.08 W	0.00	6 of 51 - Sale <sup>25</sup>
	0.150	0.30	01/20/21	0.41	0.11 W	0.00	7 of 51 - Sale <sup>25</sup>
	0.443	0.91	01/20/21	1.18	0.27 W	0.00	8 of 51 - Sale <sup>25</sup>
	0.096	0.19	01/20/21	0.26	0.07 W	0.00	9 of 51 - Sale <sup>25</sup>
	0.201	0.41	01/20/21	0.54	0.13 W	0.00	10 of 51 - Sale <sup>25</sup>
	0.696	1.41	01/20/21	1.86	0.45 W	0.00	11 of 51 - Sale <sup>25</sup>
	0.868	1.76	01/20/21	2.34	0.58 W	0.00	12 of 51 - Sale <sup>25</sup>
	3.568	7.24	01/20/21	9.50	2.26 W	0.00	13 of 51 - Sale <sup>25</sup>
	3.745	7.60	01/20/21	10.54	2.94 W	0.00	14 of 51 - Sale <sup>25</sup>
	8.868	18.01	01/20/21	23.61	5.60 W	0.00	15 of 51 - Sale <sup>25</sup>
	29.932	60.77	01/20/21	83.18	22.41 W	0.00	16 of 51 - Sale <sup>25</sup>
	0.181	0.37	01/20/21	0.50	0.13 W	0.00	17 of 51 - Sale <sup>25</sup>
	1.000	2.03	01/20/21	2.79	0.76 W	0.00	18 of 51 - Sale <sup>25</sup>
	3.573	7.26	01/20/21	9.90	2.64 W	0.00	19 of 51 - Sale <sup>25</sup>
	3.936	7.99	01/20/21	10.88	2.89 W	0.00	20 of 51 - Sale <sup>25</sup>
	30.027	60.97	01/20/21	83.25	22.28 W	0.00	21 of 51 - Sale <sup>25</sup>
	47.246	95.93	01/20/21	130.48	34.55 W	0.00	22 of 51 - Sale <sup>25</sup>
	0.981	1.99	01/20/21	2.70	0.71 W	0.00	23 of 51 - Sale <sup>25</sup>
	8.313	16.88	01/20/21	22.91	6.03 W	0.00	24 of 51 - Sale <sup>25</sup>
	0.527	1.07	01/20/21	1.38	0.31 W	0.00	25 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	35.035	71.14	01/20/21	98.53	27.39 W	0.00	26 of 51 - Sale <sup>25</sup>
	20.363	41.35	01/20/21	54.52	13.17 W	0.00	27 of 51 - Sale <sup>25</sup>
	39.788	80.79	01/20/21	106.54	25.75 W	0.00	28 of 51 - Sale <sup>25</sup>
	34.659	70.37	01/20/21	91.92	21.55 W	0.00	29 of 51 - Sale <sup>25</sup>
	111.929	227.26	01/20/21	296.86	69.60 W	0.00	30 of 51 - Sale <sup>25</sup>
	0.242	0.49	01/20/21	0.61	0.12 W	0.00	31 of 51 - Sale <sup>25</sup>
	0.383	0.78	01/20/21	0.96	0.18 W	0.00	32 of 51 - Sale <sup>25</sup>
	3.558	7.22	01/20/21	9.06	1.84 W	0.00	33 of 51 - Sale <sup>25</sup>
	6.759	13.72	01/20/21	17.01	3.29 W	0.00	34 of 51 - Sale <sup>25</sup>
	8.609	17.48	01/20/21	21.68	4.20 W	0.00	35 of 51 - Sale <sup>25</sup>
	29.833	60.57	01/20/21	75.04	14.47 W	0.00	36 of 51 - Sale <sup>25</sup>
	42.906	87.12	01/20/21	110.27	23.15 W	0.00	37 of 51 - Sale <sup>25</sup>
	64.892	131.76	01/20/21	166.77	35.01 W	0.00	38 of 51 - Sale <sup>25</sup>
	1.746	3.55	01/20/21	4.46	0.91 W	0.00	39 of 51 - Sale <sup>25</sup>
	25.930	52.65	01/20/21	65.80	13.15 W	0.00	40 of 51 - Sale <sup>25</sup>
	56.625	114.97	01/20/21	144.27	29.30 W	0.00	41 of 51 - Sale <sup>25</sup>
	0.140	0.28	01/20/21	0.35	0.07 W	0.00	42 of 51 - Sale <sup>25</sup>
	9.945	20.19	01/20/21	25.29	5.10 W	0.00	43 of 51 - Sale <sup>25</sup>
	35.678	72.44	01/20/21	92.49	20.05 W	0.00	44 of 51 - Sale <sup>25</sup>
	48.945	99.38	01/20/21	126.16	26.78 W	0.00	45 of 51 - Sale <sup>25</sup>
	72.323	146.85	01/20/21	186.78	39.93 W	0.00	46 of 51 - Sale <sup>25</sup>
	0.041	0.08	01/20/21	0.11	0.03 W	0.00	47 of 51 - Sale <sup>25</sup>
	0.365	0.74	01/20/21	0.93	0.19 W	0.00	48 of 51 - Sale <sup>25</sup>
	4.885	9.92	01/20/21	12.75	2.83 W	0.00	49 of 51 - Sale <sup>25</sup>
	15.745	31.97	01/20/21	40.26	8.29 W	0.00	50 of 51 - Sale <sup>25</sup>
	320.918	651.61	01/20/21	655.64	4.03 W	0.00	51 of 51 - Sale <sup>25</sup>
01/21/21	1,136.927	2,308.45	Various	2,804.25	495.80 W	0.00	Total of 51 transactions
	76 transactions for 01/22/21. Total proceeds and cost reported to the IRS.						
	0.047	0.09	01/21/21	0.13	0.04 W	0.00	1 of 76 - Sale <sup>25</sup>
	60.000	119.40	01/21/21	159.19	39.79 W	0.00	2 of 76 - Sale <sup>25</sup>
	124.677	248.10	01/22/21	321.20	73.10 W	0.00	3 of 76 - Sale <sup>25</sup>
	0.055	0.11	01/22/21	0.13	0.02 W	0.00	4 of 76 - Sale <sup>25</sup>
	0.368	0.73	01/22/21	0.89	0.16 W	0.00	5 of 76 - Sale <sup>25</sup>
	31.296	62.28	01/22/21	76.63	14.35 W	0.00	6 of 76 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
57.348		114.12	01/22/21	140.38	26.26 W	0.00	7 of 76 - Sale <sup>25</sup>
0.845		1.68	01/22/21	2.07	0.39 W	0.00	8 of 76 - Sale <sup>25</sup>
4.000		7.96	01/22/21	9.78	1.82 W	0.00	9 of 76 - Sale <sup>25</sup>
0.077		0.15	01/22/21	0.24	0.09 W	0.00	10 of 76 - Sale <sup>25</sup>
0.005		0.01	01/22/21	0.02	0.01 W	0.00	11 of 76 - Sale <sup>25</sup>
0.039		0.08	01/22/21	0.09	0.01 W	0.00	12 of 76 - Sale <sup>25</sup>
0.058		0.11	01/22/21	0.17	0.06 W	0.00	13 of 76 - Sale <sup>25</sup>
0.070		0.14	01/22/21	0.20	0.06 W	0.00	14 of 76 - Sale <sup>25</sup>
0.084		0.17	01/22/21	0.25	0.08 W	0.00	15 of 76 - Sale <sup>25</sup>
0.096		0.19	01/22/21	0.26	0.07 W	0.00	16 of 76 - Sale <sup>25</sup>
0.150		0.30	01/22/21	0.41	0.11 W	0.00	17 of 76 - Sale <sup>25</sup>
0.201		0.40	01/22/21	0.53	0.13 W	0.00	18 of 76 - Sale <sup>25</sup>
0.443		0.88	01/22/21	1.16	0.28 W	0.00	19 of 76 - Sale <sup>25</sup>
0.696		1.38	01/22/21	1.84	0.46 W	0.00	20 of 76 - Sale <sup>25</sup>
0.868		1.73	01/22/21	2.32	0.59 W	0.00	21 of 76 - Sale <sup>25</sup>
3.568		7.10	01/22/21	9.40	2.30 W	0.00	22 of 76 - Sale <sup>25</sup>
3.745		7.45	01/22/21	10.43	2.98 W	0.00	23 of 76 - Sale <sup>25</sup>
8.868		17.65	01/22/21	23.34	5.69 W	0.00	24 of 76 - Sale <sup>25</sup>
29.932		59.56	01/22/21	82.27	22.71 W	0.00	25 of 76 - Sale <sup>25</sup>
0.181		0.36	01/22/21	0.49	0.13 W	0.00	26 of 76 - Sale <sup>25</sup>
1.000		1.99	01/22/21	2.76	0.77 W	0.00	27 of 76 - Sale <sup>25</sup>
3.573		7.11	01/22/21	9.79	2.68 W	0.00	28 of 76 - Sale <sup>25</sup>
3.936		7.83	01/22/21	10.76	2.93 W	0.00	29 of 76 - Sale <sup>25</sup>
30.027		59.75	01/22/21	82.33	22.58 W	0.00	30 of 76 - Sale <sup>25</sup>
47.246		94.02	01/22/21	129.04	35.02 W	0.00	31 of 76 - Sale <sup>25</sup>
0.981		1.95	01/22/21	2.67	0.72 W	0.00	32 of 76 - Sale <sup>25</sup>
8.313		16.54	01/22/21	22.66	6.12 W	0.00	33 of 76 - Sale <sup>25</sup>
0.527		1.05	01/22/21	1.36	0.31 W	0.00	34 of 76 - Sale <sup>25</sup>
35.035		69.72	01/22/21	97.46	27.74 W	0.00	35 of 76 - Sale <sup>25</sup>
20.363		40.52	01/22/21	53.89	13.37 W	0.00	36 of 76 - Sale <sup>25</sup>
39.788		79.18	01/22/21	105.32	26.14 W	0.00	37 of 76 - Sale <sup>25</sup>
34.659		68.97	01/22/21	90.86	21.89 W	0.00	38 of 76 - Sale <sup>25</sup>
111.929		222.73	01/22/21	293.45	70.72 W	0.00	39 of 76 - Sale <sup>25</sup>
0.242		0.48	01/22/21	0.60	0.12 W	0.00	40 of 76 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	0.383	0.76	01/22/21	0.95	0.19 W	0.00	41 of 76 - Sale <sup>25</sup>
	3.558	7.08	01/22/21	8.95	1.87 W	0.00	42 of 76 - Sale <sup>25</sup>
	6.759	13.45	01/22/21	16.81	3.36 W	0.00	43 of 76 - Sale <sup>25</sup>
	8.609	17.13	01/22/21	21.42	4.29 W	0.00	44 of 76 - Sale <sup>25</sup>
	29.833	59.37	01/22/21	74.13	14.76 W	0.00	45 of 76 - Sale <sup>25</sup>
	42.906	85.38	01/22/21	108.96	23.58 W	0.00	46 of 76 - Sale <sup>25</sup>
	64.892	129.13	01/22/21	164.79	35.66 W	0.00	47 of 76 - Sale <sup>25</sup>
	1.746	3.48	01/22/21	4.40	0.92 W	0.00	48 of 76 - Sale <sup>25</sup>
	25.930	51.60	01/22/21	65.01	13.41 W	0.00	49 of 76 - Sale <sup>25</sup>
	56.625	112.68	01/22/21	142.54	29.86 W	0.00	50 of 76 - Sale <sup>25</sup>
	0.140	0.28	01/22/21	0.35	0.07 W	0.00	51 of 76 - Sale <sup>25</sup>
	9.945	19.79	01/22/21	24.99	5.20 W	0.00	52 of 76 - Sale <sup>25</sup>
	35.678	71.00	01/22/21	91.40	20.40 W	0.00	53 of 76 - Sale <sup>25</sup>
	48.945	97.40	01/22/21	124.66	27.26 W	0.00	54 of 76 - Sale <sup>25</sup>
	72.323	143.92	01/22/21	184.57	40.65 W	0.00	55 of 76 - Sale <sup>25</sup>
	0.041	0.08	01/22/21	0.11	0.03 W	0.00	56 of 76 - Sale <sup>25</sup>
	0.365	0.73	01/22/21	0.92	0.19 W	0.00	57 of 76 - Sale <sup>25</sup>
	4.885	9.72	01/22/21	12.60	2.88 W	0.00	58 of 76 - Sale <sup>25</sup>
	15.745	31.33	01/22/21	39.78	8.45 W	0.00	59 of 76 - Sale <sup>25</sup>
	0.627	1.25	01/22/21	1.49	0.24 W	0.00	60 of 76 - Sale <sup>25</sup>
	0.995	1.98	01/22/21	2.42	0.44 W	0.00	61 of 76 - Sale <sup>25</sup>
	43.348	86.26	01/22/21	104.44	18.18 W	0.00	62 of 76 - Sale <sup>25</sup>
	0.369	0.73	01/22/21	0.87	0.14 W	0.00	63 of 76 - Sale <sup>25</sup>
	85.564	170.27	01/22/21	205.30	35.03 W	0.00	64 of 76 - Sale <sup>25</sup>
	200.000	397.99	01/22/21	479.91	81.92 W	0.00	65 of 76 - Sale <sup>25</sup>
	75.125	149.49	01/22/21	165.42	15.93 W	0.00	66 of 76 - Sale <sup>25</sup>
	109.017	216.94	01/22/21	254.05	37.11 W	0.00	67 of 76 - Sale <sup>25</sup>
	0.354	0.70	01/22/21	0.78	0.08 W	0.00	68 of 76 - Sale <sup>25</sup>
	0.368	0.73	01/22/21	0.82	0.09 W	0.00	69 of 76 - Sale <sup>25</sup>
	33.904	67.47	01/22/21	74.95	7.48 W	0.00	70 of 76 - Sale <sup>25</sup>
	54.863	109.17	01/22/21	121.26	12.09 W	0.00	71 of 76 - Sale <sup>25</sup>
	141.812	282.20	01/22/21	309.26	27.06 W	0.00	72 of 76 - Sale <sup>25</sup>
	144.082	286.71	01/22/21	307.36	20.65 W	0.00	73 of 76 - Sale <sup>25</sup>
	159.009	316.42	01/22/21	337.67	21.25 W	0.00	74 of 76 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	320.918	638.60	01/22/21	645.84	7.24 W	0.00	75 of 76 - Sale <sup>25</sup>
	820.786	1,633.31	01/22/21	1,641.49	8.18 W	0.00	76 of 76 - Sale <sup>25</sup>
01/22/21	3,285.786	6,538.50	Various	7,487.44	948.94 W	0.00	Total of 76 transactions
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	320.918	671.15	01/26/21	668.04	...	3.11	1 of 2 - Sale <sup>25</sup>
	502.316	1,050.51	01/26/21	1,039.33	...	11.18	2 of 2 - Sale <sup>25</sup>
01/27/21	823.233	1,721.66	Various	1,707.37	...	14.29	Total of 2 transactions
	75 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	144.082	301.32	01/26/21	317.33	7.00 W	-9.01	1 of 75 - Sale <sup>25</sup>
	0.077	0.16	01/26/21	0.25	0.09 W	0.00	2 of 75 - Sale <sup>25</sup>
	0.005	0.01	01/26/21	0.02	0.01 W	0.00	3 of 75 - Sale <sup>25</sup>
	0.039	0.08	01/26/21	0.09	0.01 W	0.00	4 of 75 - Sale <sup>25</sup>
	0.058	0.12	01/26/21	0.18	0.06 W	0.00	5 of 75 - Sale <sup>25</sup>
	0.070	0.14	01/26/21	0.20	0.06 W	0.00	6 of 75 - Sale <sup>25</sup>
	0.084	0.17	01/26/21	0.25	0.08 W	0.00	7 of 75 - Sale <sup>25</sup>
	0.096	0.19	01/26/21	0.27	0.08 W	0.00	8 of 75 - Sale <sup>25</sup>
	0.150	0.30	01/26/21	0.42	0.12 W	0.00	9 of 75 - Sale <sup>25</sup>
	0.201	0.41	01/26/21	0.54	0.13 W	0.00	10 of 75 - Sale <sup>25</sup>
	0.443	0.90	01/26/21	1.19	0.29 W	0.00	11 of 75 - Sale <sup>25</sup>
	0.696	1.41	01/26/21	1.89	0.48 W	0.00	12 of 75 - Sale <sup>25</sup>
	0.868	1.76	01/26/21	2.38	0.62 W	0.00	13 of 75 - Sale <sup>25</sup>
	3.568	7.22	01/26/21	9.65	2.43 W	0.00	14 of 75 - Sale <sup>25</sup>
	3.745	7.58	01/26/21	10.69	3.11 W	0.00	15 of 75 - Sale <sup>25</sup>
	8.868	17.96	01/26/21	23.95	5.99 W	0.00	16 of 75 - Sale <sup>25</sup>
	29.932	60.61	01/26/21	84.34	23.73 W	0.00	17 of 75 - Sale <sup>25</sup>
	0.181	0.37	01/26/21	0.50	0.13 W	0.00	18 of 75 - Sale <sup>25</sup>
	1.000	2.02	01/26/21	2.83	0.81 W	0.00	19 of 75 - Sale <sup>25</sup>
	3.573	7.24	01/26/21	10.04	2.80 W	0.00	20 of 75 - Sale <sup>25</sup>
	3.936	7.97	01/26/21	11.03	3.06 W	0.00	21 of 75 - Sale <sup>25</sup>
	30.027	60.80	01/26/21	84.41	23.61 W	0.00	22 of 75 - Sale <sup>25</sup>
	47.246	95.66	01/26/21	132.30	36.64 W	0.00	23 of 75 - Sale <sup>25</sup>
	0.981	1.99	01/26/21	2.74	0.75 W	0.00	24 of 75 - Sale <sup>25</sup>
	8.313	16.83	01/26/21	23.24	6.41 W	0.00	25 of 75 - Sale <sup>25</sup>
	0.527	1.07	01/26/21	1.40	0.33 W	0.00	26 of 75 - Sale <sup>25</sup>

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Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
35.035		70.94	01/26/21	99.88	28.94 W	0.00	27 of 75 - Sale <sup>25</sup>
20.363		41.23	01/26/21	55.30	14.07 W	0.00	28 of 75 - Sale <sup>25</sup>
39.788		80.56	01/26/21	108.07	27.51 W	0.00	29 of 75 - Sale <sup>25</sup>
34.659		70.18	01/26/21	93.26	23.08 W	0.00	30 of 75 - Sale <sup>25</sup>
111.929		226.64	01/26/21	301.19	74.55 W	0.00	31 of 75 - Sale <sup>25</sup>
0.242		0.49	01/26/21	0.62	0.13 W	0.00	32 of 75 - Sale <sup>25</sup>
0.383		0.77	01/26/21	0.98	0.21 W	0.00	33 of 75 - Sale <sup>25</sup>
3.558		7.20	01/26/21	9.20	2.00 W	0.00	34 of 75 - Sale <sup>25</sup>
6.759		13.69	01/26/21	17.28	3.59 W	0.00	35 of 75 - Sale <sup>25</sup>
8.609		17.43	01/26/21	22.02	4.59 W	0.00	36 of 75 - Sale <sup>25</sup>
29.833		60.41	01/26/21	76.19	15.78 W	0.00	37 of 75 - Sale <sup>25</sup>
42.906		86.88	01/26/21	111.93	25.05 W	0.00	38 of 75 - Sale <sup>25</sup>
64.892		131.39	01/26/21	169.28	37.89 W	0.00	39 of 75 - Sale <sup>25</sup>
1.746		3.54	01/26/21	4.52	0.98 W	0.00	40 of 75 - Sale <sup>25</sup>
25.930		52.50	01/26/21	66.80	14.30 W	0.00	41 of 75 - Sale <sup>25</sup>
56.625		114.65	01/26/21	146.45	31.80 W	0.00	42 of 75 - Sale <sup>25</sup>
0.140		0.28	01/26/21	0.36	0.08 W	0.00	43 of 75 - Sale <sup>25</sup>
9.945		20.14	01/26/21	25.68	5.54 W	0.00	44 of 75 - Sale <sup>25</sup>
35.678		72.24	01/26/21	93.86	21.62 W	0.00	45 of 75 - Sale <sup>25</sup>
48.945		99.11	01/26/21	128.04	28.93 W	0.00	46 of 75 - Sale <sup>25</sup>
72.323		146.44	01/26/21	189.57	43.13 W	0.00	47 of 75 - Sale <sup>25</sup>
0.041		0.08	01/26/21	0.11	0.03 W	0.00	48 of 75 - Sale <sup>25</sup>
0.047		0.10	01/26/21	0.14	0.04 W	0.00	49 of 75 - Sale <sup>25</sup>
0.365		0.74	01/26/21	0.94	0.20 W	0.00	50 of 75 - Sale <sup>25</sup>
4.885		9.89	01/26/21	12.94	3.05 W	0.00	51 of 75 - Sale <sup>25</sup>
15.745		31.88	01/26/21	40.87	8.99 W	0.00	52 of 75 - Sale <sup>25</sup>
60.000		121.49	01/26/21	163.34	41.85 W	0.00	53 of 75 - Sale <sup>25</sup>
124.677		252.45	01/26/21	329.82	77.37 W	0.00	54 of 75 - Sale <sup>25</sup>
0.055		0.11	01/26/21	0.13	0.02 W	0.00	55 of 75 - Sale <sup>25</sup>
0.368		0.74	01/26/21	0.92	0.18 W	0.00	56 of 75 - Sale <sup>25</sup>
31.296		63.37	01/26/21	78.79	15.42 W	0.00	57 of 75 - Sale <sup>25</sup>
0.627		1.27	01/26/21	1.53	0.26 W	0.00	58 of 75 - Sale <sup>25</sup>
0.845		1.71	01/26/21	2.13	0.42 W	0.00	59 of 75 - Sale <sup>25</sup>
0.995		2.01	01/26/21	2.49	0.48 W	0.00	60 of 75 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	4.000	8.10	01/26/21	10.06	1.96 W	0.00	61 of 75 - Sale <sup>25</sup>
	43.348	87.77	01/26/21	107.44	19.67 W	0.00	62 of 75 - Sale <sup>25</sup>
	57.348	116.12	01/26/21	144.35	28.23 W	0.00	63 of 75 - Sale <sup>25</sup>
	0.369	0.75	01/26/21	0.90	0.15 W	0.00	64 of 75 - Sale <sup>25</sup>
	85.564	173.26	01/26/21	211.21	37.95 W	0.00	65 of 75 - Sale <sup>25</sup>
	200.000	404.97	01/26/21	493.74	88.77 W	0.00	66 of 75 - Sale <sup>25</sup>
	55.934	113.26	01/26/21	127.03	13.77 W	0.00	67 of 75 - Sale <sup>25</sup>
	19.191	40.13	01/26/21	43.59	3.46 W	0.00	68 of 75 - Sale <sup>25</sup>
	109.017	227.99	01/26/21	261.59	33.60 W	0.00	69 of 75 - Sale <sup>25</sup>
	0.354	0.74	01/26/21	0.81	0.07 W	0.00	70 of 75 - Sale <sup>25</sup>
	0.368	0.77	01/26/21	0.85	0.08 W	0.00	71 of 75 - Sale <sup>25</sup>
	33.904	70.90	01/26/21	77.29	6.39 W	0.00	72 of 75 - Sale <sup>25</sup>
	54.863	114.74	01/26/21	125.06	10.32 W	0.00	73 of 75 - Sale <sup>25</sup>
	141.812	296.58	01/26/21	319.06	22.48 W	0.00	74 of 75 - Sale <sup>25</sup>
	159.009	332.54	01/26/21	348.66	16.12 W	0.00	75 of 75 - Sale <sup>25</sup>
01/27/21	2,144.082	4,385.46	Various	5,348.40	953.93 W	-9.01	Total of 75 transactions
	87 transactions for 01/29/21. Total proceeds and cost reported to the IRS.						
	157.845	306.22	01/28/21	390.49	...	-84.27	1 of 87 - Sale <sup>25</sup>
	100.000	194.00	01/28/21	268.60	...	-74.60	2 of 87 - Sale <sup>25</sup>
	100.000	194.01	01/28/21	265.06	...	-71.05	3 of 87 - Sale <sup>25</sup>
	60.000	116.40	01/28/21	163.04	...	-46.64	4 of 87 - Sale <sup>25</sup>
	54.198	105.15	01/28/21	141.80	...	-36.65	5 of 87 - Sale <sup>25</sup>
	81.465	158.05	01/28/21	190.48	...	-32.43	6 of 87 - Sale <sup>25</sup>
	35.035	67.97	01/28/21	99.71	...	-31.74	7 of 87 - Sale <sup>25</sup>
	46.056	89.35	01/28/21	120.26	...	-30.91	8 of 87 - Sale <sup>25</sup>
	46.296	89.82	01/28/21	120.55	...	-30.73	9 of 87 - Sale <sup>25</sup>
	39.788	77.19	01/28/21	107.88	...	-30.69	10 of 87 - Sale <sup>25</sup>
	49.686	96.39	01/28/21	125.32	...	-28.93	11 of 87 - Sale <sup>25</sup>
	42.906	83.24	01/28/21	111.72	...	-28.48	12 of 87 - Sale <sup>25</sup>
	29.932	58.07	01/28/21	84.20	...	-26.13	13 of 87 - Sale <sup>25</sup>
	30.027	58.25	01/28/21	84.26	...	-26.01	14 of 87 - Sale <sup>25</sup>
	34.659	67.24	01/28/21	93.08	...	-25.84	15 of 87 - Sale <sup>25</sup>
	29.301	56.85	01/28/21	81.91	...	-25.06	16 of 87 - Sale <sup>25</sup>
	38.499	74.69	01/28/21	99.39	...	-24.70	17 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	35.678	69.22	01/28/21	93.69	...	-24.47	18 of 87 - Sale <sup>25</sup>
	43.348	84.10	01/28/21	107.67	...	-23.57	19 of 87 - Sale <sup>25</sup>
	43.409	84.22	01/28/21	107.37	...	-23.15	20 of 87 - Sale <sup>25</sup>
	42.155	81.78	01/28/21	104.28	...	-22.50	21 of 87 - Sale <sup>25</sup>
	42.155	81.78	01/28/21	104.27	...	-22.49	22 of 87 - Sale <sup>25</sup>
	55.934	108.51	01/28/21	127.32	...	-18.81	23 of 87 - Sale <sup>25</sup>
	31.296	60.72	01/28/21	78.95	...	-18.23	24 of 87 - Sale <sup>25</sup>
	29.833	57.88	01/28/21	76.04	...	-18.16	25 of 87 - Sale <sup>25</sup>
	25.930	50.31	01/28/21	66.68	...	-16.37	26 of 87 - Sale <sup>25</sup>
	17.945	34.81	01/28/21	50.17	...	-15.36	27 of 87 - Sale <sup>25</sup>
	17.945	34.81	01/28/21	48.65	...	-13.84	28 of 87 - Sale <sup>25</sup>
	18.595	36.08	01/28/21	49.29	...	-13.21	29 of 87 - Sale <sup>25</sup>
	18.595	36.08	01/28/21	48.42	...	-12.34	30 of 87 - Sale <sup>25</sup>
	18.126	35.16	01/28/21	47.42	...	-12.26	31 of 87 - Sale <sup>25</sup>
	18.126	35.16	01/28/21	46.79	...	-11.63	32 of 87 - Sale <sup>25</sup>
	117.984	228.89	01/28/21	239.51	...	-10.62	33 of 87 - Sale <sup>25</sup>
	15.745	30.55	01/28/21	40.80	...	-10.25	34 of 87 - Sale <sup>25</sup>
	100.000	194.00	01/28/21	203.00	...	-9.00	35 of 87 - Sale <sup>25</sup>
	100.000	194.00	01/28/21	202.99	...	-8.99	36 of 87 - Sale <sup>25</sup>
	8.313	16.13	01/28/21	23.20	...	-7.07	37 of 87 - Sale <sup>25</sup>
	8.868	17.20	01/28/21	23.90	...	-6.70	38 of 87 - Sale <sup>25</sup>
	9.945	19.29	01/28/21	25.63	...	-6.34	39 of 87 - Sale <sup>25</sup>
	7.608	14.76	01/28/21	20.44	...	-5.68	40 of 87 - Sale <sup>25</sup>
	8.609	16.70	01/28/21	21.98	...	-5.28	41 of 87 - Sale <sup>25</sup>
	18.535	35.96	01/28/21	40.97	...	-5.01	42 of 87 - Sale <sup>25</sup>
	7.662	14.86	01/28/21	19.32	...	-4.46	43 of 87 - Sale <sup>25</sup>
	6.082	11.80	01/28/21	16.05	...	-4.25	44 of 87 - Sale <sup>25</sup>
	6.759	13.11	01/28/21	17.24	...	-4.13	45 of 87 - Sale <sup>25</sup>
	8.754	16.98	01/28/21	20.47	...	-3.49	46 of 87 - Sale <sup>25</sup>
	4.885	9.48	01/28/21	12.92	...	-3.44	47 of 87 - Sale <sup>25</sup>
	3.745	7.26	01/28/21	10.67	...	-3.41	48 of 87 - Sale <sup>25</sup>
	3.936	7.64	01/28/21	11.01	...	-3.37	49 of 87 - Sale <sup>25</sup>
	4.321	8.38	01/28/21	11.61	...	-3.23	50 of 87 - Sale <sup>25</sup>
	3.573	6.93	01/28/21	10.02	...	-3.09	51 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	3.568	6.92	01/28/21	9.64	...	-2.72	52 of 87 - Sale <sup>25</sup>
	4.000	7.76	01/28/21	10.08	...	-2.32	53 of 87 - Sale <sup>25</sup>
	3.558	6.90	01/28/21	9.19	...	-2.29	54 of 87 - Sale <sup>25</sup>
	2.889	5.60	01/28/21	7.55	...	-1.95	55 of 87 - Sale <sup>25</sup>
	2.418	4.69	01/28/21	6.55	...	-1.86	56 of 87 - Sale <sup>25</sup>
	1.746	3.39	01/28/21	4.51	...	-1.12	57 of 87 - Sale <sup>25</sup>
	1.000	1.94	01/28/21	2.83	...	-0.89	58 of 87 - Sale <sup>25</sup>
	0.981	1.90	01/28/21	2.73	...	-0.83	59 of 87 - Sale <sup>25</sup>
	0.868	1.68	01/28/21	2.37	...	-0.69	60 of 87 - Sale <sup>25</sup>
	0.995	1.93	01/28/21	2.50	...	-0.57	61 of 87 - Sale <sup>25</sup>
	0.696	1.35	01/28/21	1.89	...	-0.54	62 of 87 - Sale <sup>25</sup>
	0.845	1.64	01/28/21	2.14	...	-0.50	63 of 87 - Sale <sup>25</sup>
	0.527	1.02	01/28/21	1.40	...	-0.38	64 of 87 - Sale <sup>25</sup>
	0.443	0.86	01/28/21	1.18	...	-0.32	65 of 87 - Sale <sup>25</sup>
	0.627	1.22	01/28/21	1.53	...	-0.31	66 of 87 - Sale <sup>25</sup>
	0.383	0.74	01/28/21	0.98	...	-0.24	67 of 87 - Sale <sup>25</sup>
	0.365	0.71	01/28/21	0.94	...	-0.23	68 of 87 - Sale <sup>25</sup>
	0.368	0.71	01/28/21	0.93	...	-0.22	69 of 87 - Sale <sup>25</sup>
	0.369	0.72	01/28/21	0.90	...	-0.18	70 of 87 - Sale <sup>25</sup>
	0.656	1.27	01/28/21	1.45	...	-0.18	71 of 87 - Sale <sup>25</sup>
	0.201	0.39	01/28/21	0.54	...	-0.15	72 of 87 - Sale <sup>25</sup>
	0.181	0.35	01/28/21	0.50	...	-0.15	73 of 87 - Sale <sup>25</sup>
	0.242	0.47	01/28/21	0.62	...	-0.15	74 of 87 - Sale <sup>25</sup>
	0.150	0.29	01/28/21	0.42	...	-0.13	75 of 87 - Sale <sup>25</sup>
	0.077	0.15	01/28/21	0.24	...	-0.09	76 of 87 - Sale <sup>25</sup>
	0.140	0.27	01/28/21	0.36	...	-0.09	77 of 87 - Sale <sup>25</sup>
	0.096	0.19	01/28/21	0.27	...	-0.08	78 of 87 - Sale <sup>25</sup>
	0.058	0.11	01/28/21	0.18	...	-0.07	79 of 87 - Sale <sup>25</sup>
	0.070	0.14	01/28/21	0.20	...	-0.06	80 of 87 - Sale <sup>25</sup>
	0.047	0.09	01/28/21	0.14	...	-0.05	81 of 87 - Sale <sup>25</sup>
	0.039	0.08	01/28/21	0.12	...	-0.04	82 of 87 - Sale <sup>25</sup>
	0.045	0.09	01/28/21	0.13	...	-0.04	83 of 87 - Sale <sup>25</sup>
	0.041	0.08	01/28/21	0.11	...	-0.03	84 of 87 - Sale <sup>25</sup>
	0.055	0.11	01/28/21	0.13	...	-0.02	85 of 87 - Sale <sup>25</sup>

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Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol: (cont'd)							
	0.005	0.01	01/28/21	0.02	...	-0.01	86 of 87 - Sale <sup>25</sup>
	0.039	0.08	01/28/21	0.09	...	-0.01	87 of 87 - Sale <sup>25</sup>
01/29/21	1,908.875	3,703.28	Various	4,751.85	...	-1,048.57	Total of 87 transactions
	10 transactions for 02/09/21. Total proceeds and cost reported to the IRS.						
	141.812	300.93	02/08/21	322.85	...	-21.92	1 of 10 - Sale <sup>25</sup>
	159.009	337.42	02/08/21	352.92	...	-15.50	2 of 10 - Sale <sup>25</sup>
	54.863	116.42	02/08/21	126.53	...	-10.11	3 of 10 - Sale <sup>25</sup>
	63.014	133.72	02/08/21	140.47	...	-6.75	4 of 10 - Sale <sup>25</sup>
	33.904	71.94	02/08/21	78.20	...	-6.26	5 of 10 - Sale <sup>25</sup>
	17.985	38.16	02/08/21	43.63	...	-5.47	6 of 10 - Sale <sup>25</sup>
	0.691	1.47	02/08/21	1.67	...	-0.20	7 of 10 - Sale <sup>25</sup>
	0.368	0.78	02/08/21	0.86	...	-0.08	8 of 10 - Sale <sup>25</sup>
	0.354	0.75	02/08/21	0.82	...	-0.07	9 of 10 - Sale <sup>25</sup>
	0.122	0.26	02/08/21	0.30	...	-0.04	10 of 10 - Sale <sup>25</sup>
02/09/21	472.122	1,001.85	Various	1,068.25	...	-66.40	Total of 10 transactions
	<b>Security total:</b>	<b>51,269.05</b>		<b>59,879.42</b>	<b>7,596.47 W</b>	<b>-1,013.90</b>	
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
03/09/21	79.000	82.94	03/09/21	94.76	11.82 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.						
	1.145	1.28	03/22/21	2.05	0.77 W	0.00	1 of 3 - Sale <sup>25</sup>
	77.855	90.45	03/22/21	139.22	48.77 W	0.00	2 of 3 - Sale <sup>25</sup>
	351.329	408.17	03/22/21	575.69	167.52 W	0.00	3 of 3 - Sale <sup>25</sup>
04/08/21	430.329	499.90	Various	716.96	217.06 W	0.00	Total of 3 transactions
	15 transactions for 04/14/21. Total proceeds and cost reported to the IRS.						
	0.507	0.43	03/22/21	0.83	0.40 W	0.00	1 of 15 - Sale <sup>25</sup>
	921.659	999.33	03/22/21	1,510.23	510.90 W	0.00	2 of 15 - Sale <sup>25</sup>
	0.282	0.31	03/22/21	0.46	0.15 W	0.00	3 of 15 - Sale <sup>25</sup>
	285.163	308.57	03/22/21	467.27	158.70 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.145	1.24	03/24/21	2.34	1.10 W	0.00	5 of 15 - Sale <sup>25</sup>
	3.764	4.07	03/24/21	7.54	3.47 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.507	0.55	03/24/21	1.10	0.55 W	0.00	7 of 15 - Sale <sup>25</sup>
	154.553	167.24	03/24/21	298.74	131.50 W	0.00	8 of 15 - Sale <sup>25</sup>
	74.091	80.17	03/24/21	148.55	68.38 W	0.00	9 of 15 - Sale <sup>25</sup>

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Account 963927496

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol: (cont'd)							
	351.329	380.16	03/24/21	651.86	271.70 W	0.00	10 of 15 - Sale <sup>25</sup>
	417.069	451.30	04/07/21	718.17	266.87 W	0.00	11 of 15 - Sale <sup>25</sup>
	0.026	0.03	04/07/21	0.04	0.01 W	0.00	12 of 15 - Sale <sup>25</sup>
	0.282	0.31	04/09/21	0.50	0.19 W	0.00	13 of 15 - Sale <sup>25</sup>
	350.011	378.74	04/09/21	631.11	252.37 W	0.00	14 of 15 - Sale <sup>25</sup>
	400.059	432.88	04/09/21	499.60	66.72 W	0.00	15 of 15 - Sale <sup>25</sup>
04/14/21	2,960.448	3,205.33	Various	4,938.34	1,733.01 W	0.00	Total of 15 transactions
	16 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	346.626	596.89	05/04/21	815.52	...	-218.63	1 of 16 - Sale <sup>25</sup>
	417.069	709.22	05/04/21	925.59	...	-216.37	2 of 16 - Sale <sup>25</sup>
	350.011	595.18	05/04/21	805.18	...	-210.00	3 of 16 - Sale <sup>25</sup>
	285.163	484.91	05/04/21	609.09	...	-124.18	4 of 16 - Sale <sup>25</sup>
	153.374	264.10	05/04/21	372.74	...	-108.64	5 of 16 - Sale <sup>25</sup>
	74.091	127.58	05/04/21	185.40	...	-57.82	6 of 16 - Sale <sup>25</sup>
	400.059	680.29	05/04/21	698.57	...	-18.28	7 of 16 - Sale <sup>25</sup>
	4.704	8.00	05/04/21	11.07	...	-3.07	8 of 16 - Sale <sup>25</sup>
	3.764	6.48	05/04/21	9.42	...	-2.94	9 of 16 - Sale <sup>25</sup>
	1.145	1.97	05/04/21	2.91	...	-0.94	10 of 16 - Sale <sup>25</sup>
	0.976	1.60	05/04/21	2.37	...	-0.77	11 of 16 - Sale <sup>25</sup>
	0.507	0.87	05/04/21	1.35	...	-0.48	12 of 16 - Sale <sup>25</sup>
	0.282	0.48	05/04/21	0.64	...	-0.16	13 of 16 - Sale <sup>25</sup>
	0.203	0.35	05/04/21	0.49	...	-0.14	14 of 16 - Sale <sup>25</sup>
	0.026	0.04	05/04/21	0.05	...	-0.01	15 of 16 - Sale <sup>25</sup>
	4,293.518	7,301.02	05/04/21	6,781.17	...	519.85	16 of 16 - Sale <sup>25</sup>
05/04/21	6,331.518	10,778.98	Various	11,221.56	...	-442.58	Total of 16 transactions
	<b>Security total:</b>	<b>14,567.15</b>		<b>16,971.62</b>	<b>1,961.89 W</b>	<b>-442.58</b>	
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
02/16/21	36.232	77.21	02/10/21	100.00	...	-22.79	Sale <sup>25</sup>
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
02/18/21	78.807	332.56	02/17/21	662.77	...	-330.21	Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 963927496

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AC IMMUNE SA COMMON STOCK / CUSIP: H00263105 / Symbol:							
3 transactions for 02/12/21. Total proceeds and cost reported to the IRS.							
	19.930	173.86	02/11/21	198.90	...	-25.04	1 of 3 - Sale <sup>25</sup>
	0.040	0.35	02/11/21	0.45	...	-0.10	2 of 3 - Sale <sup>25</sup>
	0.030	0.26	02/11/21	0.30	...	-0.04	3 of 3 - Sale <sup>25</sup>
02/12/21	20.000	174.47	Various	199.65	...	-25.18	Total of 3 transactions
02/12/21	0.040	0.35	02/11/21	0.40	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>174.82</b>		<b>200.05</b>	<b>0.05 W</b>	<b>-25.18</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
01/28/21	10.000	31.70	01/27/21	25.60	...	6.10	Sale <sup>25</sup>
01/28/21	280.000	887.54	01/27/21	952.00	24.30 W	-40.16	Sale <sup>25</sup>
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	100.000	130.49	02/11/21	212.52	...	-82.03	1 of 2 - Sale <sup>25</sup>
	5.541	7.23	02/11/21	11.78	...	-4.55	2 of 2 - Sale <sup>25</sup>
02/16/21	105.541	137.72	Various	224.30	...	-86.58	Total of 2 transactions
	<b>Security total:</b>	<b>1,056.96</b>		<b>1,201.90</b>	<b>24.30 W</b>	<b>-120.64</b>	
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
04/07/21	898.000	501.77	04/05/21	519.94	...	-18.17	Sale <sup>25</sup>
<b>Totals :</b>		<b>249,394.97</b>		<b>266,879.34</b>	<b>16,740.18 W</b>	<b>-744.19</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).



Robinhood Securities LLC

Account 963927496

**Fees and Expenses**

2021

02/11/2022

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/24/21	-5.00	Margin interest paid	
			<b>-5.00</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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