

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 167887579	Statement Date: 02/11/2022 Document ID: WV3J 17V V53T	2021
	Satya Chelluboina 201 SW 5th PI H301 Renton, WA 98057 RECIPIENT'S TIN: XXX-XX-2001		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	159,685.86	169,545.64	0.00	2,198.51	-7,661.27
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	159,685.86	169,545.64	0.00	2,198.51	-7,661.27
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	159,685.86	169,545.64	0.00	2,198.51	-7,661.27
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.52
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

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Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALJ REGIONAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 001627108 / Symbol:							
16 transactions for 02/24/21. Total proceeds and cost reported to the IRS.							
	1.000	1.70	02/24/21	1.65	...	0.05	1 of 16 - Sale ²⁵
	6.000	10.20	02/24/21	9.89	...	0.31	2 of 16 - Sale ²⁵
	39.000	66.30	02/24/21	64.27	...	2.03	3 of 16 - Sale ²⁵
	54.000	91.79	02/24/21	88.99	...	2.80	4 of 16 - Sale ²⁵
	77.000	130.89	02/24/21	126.90	...	3.99	5 of 16 - Sale ²⁵
	100.000	169.99	02/24/21	164.80	...	5.19	6 of 16 - Sale ²⁵
	100.000	169.99	02/24/21	164.80	...	5.19	7 of 16 - Sale ²⁵
	100.000	169.99	02/24/21	164.80	...	5.19	8 of 16 - Sale ²⁵
	100.000	169.99	02/24/21	164.80	...	5.19	9 of 16 - Sale ²⁵
	123.000	209.09	02/24/21	202.70	...	6.39	10 of 16 - Sale ²⁵
	150.000	254.98	02/24/21	247.20	...	7.78	11 of 16 - Sale ²⁵
	300.000	509.94	02/24/21	494.40	...	15.54	12 of 16 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALJ REGIONAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 001627108 / Symbol: (cont'd)							
	300.000	509.94	02/24/21	494.40	...	15.54	13 of 16 - Sale ²⁵
	300.000	509.94	02/24/21	494.40	...	15.54	14 of 16 - Sale ²⁵
	300.000	509.94	02/24/21	494.40	...	15.54	15 of 16 - Sale ²⁵
	450.000	764.93	02/24/21	741.60	...	23.33	16 of 16 - Sale ²⁵
02/24/21	2,500.000	4,249.60	Various	4,120.00	...	129.60	Total of 16 transactions
	2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	292.000	370.84	03/05/21	379.60	8.76 W	0.00	1 of 2 - Sale ²⁵
	3,208.000	4,074.07	03/05/21	4,170.40	96.33 W	0.00	2 of 2 - Sale ²⁵
03/05/21	3,500.000	4,444.91	Various	4,550.00	105.09 W	0.00	Total of 2 transactions
	14 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	408.000	555.24	03/08/21	571.21	...	-15.97	1 of 14 - Sale ²⁵
	300.000	408.26	03/08/21	422.75	...	-14.49	2 of 14 - Sale ²⁵
	300.000	408.26	03/08/21	420.01	...	-11.75	3 of 14 - Sale ²⁵
	300.000	408.26	03/08/21	420.01	...	-11.75	4 of 14 - Sale ²⁵
	300.000	408.26	03/08/21	420.01	...	-11.75	5 of 14 - Sale ²⁵
	300.000	408.26	03/08/21	420.01	...	-11.75	6 of 14 - Sale ²⁵
	300.000	408.26	03/08/21	420.01	...	-11.75	7 of 14 - Sale ²⁵
	300.000	408.27	03/08/21	420.01	...	-11.74	8 of 14 - Sale ²⁵
	292.000	397.37	03/08/21	408.80	...	-11.43	9 of 14 - Sale ²⁵
	200.000	272.17	03/08/21	281.83	...	-9.66	10 of 14 - Sale ²⁵
	100.000	136.08	03/08/21	140.00	...	-3.92	11 of 14 - Sale ²⁵
	100.000	136.09	03/08/21	140.00	...	-3.91	12 of 14 - Sale ²⁵
	100.000	136.09	03/08/21	140.00	...	-3.91	13 of 14 - Sale ²⁵
	100.000	136.09	03/08/21	140.00	...	-3.91	14 of 14 - Sale ²⁵
03/09/21	3,400.000	4,626.96	Various	4,764.65	...	-137.69	Total of 14 transactions
	2 transactions for 03/09/21. Total proceeds and cost reported to the IRS.						
	300.000	408.26	03/08/21	420.01	7.83 W	-3.92	1 of 2 - Sale ²⁵
	300.000	408.26	03/08/21	420.01	11.75 W	0.00	2 of 2 - Sale ²⁵
03/09/21	600.000	816.52	Various	840.02	19.58 W	-3.92	Total of 2 transactions
	Security total:	14,137.99		14,274.67	124.67 W	-12.01	
AGROFRESH SOLUTIONS, INC. COMM ON STOCK / CUSIP: 00856G109 / Symbol:							
02/04/21	1.000	2.06	02/02/21	2.03	...	0.03	Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol:							
03/09/21	45.000	214.20	03/08/21	212.63	...	1.57	Sale ²⁵
ALTERITY THERAPEUTICS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 02155X106 / Symbol:							
<i>5 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>							
	0.701	1.09	03/17/21	1.08	...	0.01	1 of 5 - Sale ²⁵
	7.673	11.97	03/17/21	11.89	...	0.08	2 of 5 - Sale ²⁵
	192.327	300.01	03/17/21	298.11	...	1.90	3 of 5 - Sale ²⁵
	291.626	454.90	03/17/21	452.02	...	2.88	4 of 5 - Sale ²⁵
	798.000	1,244.77	03/17/21	1,236.90	...	7.87	5 of 5 - Sale ²⁵
03/19/21	1,290.327	2,012.74	Various	2,000.00	...	12.74	Total of 5 transactions
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
<i>4 transactions for 03/12/21. Total proceeds and cost reported to the IRS.</i>							
	1,094.000	3,205.38	03/11/21	3,643.02	...	-437.64	1 of 4 - Sale ²⁵
	1.357	3.98	03/11/21	4.53	...	-0.55	2 of 4 - Sale ²⁵
	1.174	3.44	03/11/21	3.91	...	-0.47	3 of 4 - Sale ²⁵
	0.469	1.37	03/11/21	1.74	...	-0.37	4 of 4 - Sale ²⁵
03/12/21	1,097.000	3,214.17	Various	3,653.20	...	-439.03	Total of 4 transactions
03/12/21	0.469	1.38	03/11/21	1.56	0.18 W	0.00	Sale ²⁵
	Security total:	3,215.55		3,654.76	0.18 W	-439.03	
CALADRIUS BIOSCIENCES, INC. CO MMON STOCK / CUSIP: 128058203 / Symbol:							
<i>7 transactions for 03/11/21. Total proceeds and cost reported to the IRS.</i>							
	751.000	1,546.96	03/10/21	1,651.75	...	-104.79	1 of 7 - Sale ²⁵
	498.000	1,025.81	03/10/21	1,095.30	...	-69.49	2 of 7 - Sale ²⁵
	200.000	411.98	03/10/21	439.88	...	-27.90	3 of 7 - Sale ²⁵
	100.000	205.99	03/10/21	219.94	...	-13.95	4 of 7 - Sale ²⁵
	90.000	185.39	03/10/21	197.95	...	-12.56	5 of 7 - Sale ²⁵
	10.000	20.60	03/10/21	21.99	...	-1.39	6 of 7 - Sale ²⁵
	1.450	2.99	03/10/21	3.19	...	-0.20	7 of 7 - Sale ²⁵
03/11/21	1,650.450	3,399.72	Various	3,630.00	...	-230.28	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CATABASIS PHARMACEUTICALS, INC . COMMON STOCK / CUSIP: 14875P206 / Symbol:							
6 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	100.000	342.98	02/12/21	378.07	...	-35.09	1 of 6 - Sale ²⁵
	100.000	342.98	02/12/21	371.05	...	-28.07	2 of 6 - Sale ²⁵
	100.000	342.98	02/12/21	371.05	...	-28.07	3 of 6 - Sale ²⁵
	100.000	342.98	02/12/21	364.03	...	-21.05	4 of 6 - Sale ²⁵
	100.000	342.98	02/12/21	364.03	...	-21.05	5 of 6 - Sale ²⁵
	100.000	342.98	02/12/21	350.00	...	-7.02	6 of 6 - Sale ²⁵
02/17/21	600.000	2,057.88	Various	2,198.23	...	-140.35	Total of 6 transactions
12 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	100.000	342.98	02/12/21	357.01	14.03 W	0.00	1 of 12 - Sale ²⁵
	100.000	342.98	02/12/21	357.01	14.03 W	0.00	2 of 12 - Sale ²⁵
	100.000	342.98	02/12/21	357.02	14.04 W	0.00	3 of 12 - Sale ²⁵
	100.000	342.98	02/12/21	364.03	21.05 W	0.00	4 of 12 - Sale ²⁵
	100.000	342.98	02/12/21	364.03	21.05 W	0.00	5 of 12 - Sale ²⁵
	100.000	342.98	02/12/21	371.05	28.07 W	0.00	6 of 12 - Sale ²⁵
	100.000	342.99	02/12/21	350.00	7.01 W	0.00	7 of 12 - Sale ²⁵
	100.000	342.99	02/12/21	350.00	7.01 W	0.00	8 of 12 - Sale ²⁵
	100.000	342.99	02/12/21	357.02	14.03 W	0.00	9 of 12 - Sale ²⁵
	100.000	342.99	02/12/21	357.02	14.03 W	0.00	10 of 12 - Sale ²⁵
	100.000	342.99	02/12/21	364.04	21.05 W	0.00	11 of 12 - Sale ²⁵
	300.000	1,028.94	02/12/21	1,050.00	21.06 W	0.00	12 of 12 - Sale ²⁵
02/17/21	1,400.000	4,801.77	Various	4,998.23	196.46 W	0.00	Total of 12 transactions
	Security total:	6,859.65		7,196.46	196.46 W	-140.35	
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	0.012	0.10	03/19/21	0.10	...	0.00	1 of 2 - Sale ²⁵
	379.337	3,118.57	03/19/21	3,041.90	...	76.67	2 of 2 - Sale ²⁵
03/19/21	379.349	3,118.67	Various	3,042.00	...	76.67	Total of 2 transactions

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Robinhood Securities LLC

Account 167887579

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
2 transactions for 03/03/21. Total proceeds and cost reported to the IRS.							
	1,468.000	2,950.49	03/02/21	3,009.40	...	-58.91	1 of 2 - Sale ²⁵
	732.000	1,471.22	03/02/21	1,500.60	...	-29.38	2 of 2 - Sale ²⁵
03/03/21	2,200.000	4,421.71	Various	4,510.00	...	-88.29	Total of 2 transactions
ENTASIS THERAPEUTICS HOLDINGS INC. COMMON STOCK / CUSIP: 293614103 / Symbol:							
03/29/21	700.000	1,386.54	03/29/21	1,467.13	...	-80.59	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
03/09/21	4.000	14.88	03/08/21	14.72	...	0.16	Sale ²⁵
FOUR SEASONS EDUCATION (CAYMAN) INC. AMERICAN DEPOSITARY SHA RES, EACH TWO ADSS REPRESENTIN / CUSIP: 35101A101 / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	900.000	1,430.88	03/26/21	1,943.10	...	-512.22	1 of 2 - Sale ²⁵
	33.000	52.47	03/26/21	60.23	...	-7.76	2 of 2 - Sale ²⁵
03/29/21	933.000	1,483.35	Various	2,003.33	...	-519.98	Total of 2 transactions
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
01/28/21	6.219	257.53	01/25/21	250.00	...	7.53	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
02/10/21	65.000	137.26	02/03/21	115.70	...	21.56	Sale ²⁵
HARBOR CUSTOM DEVELOPMENT, INC . COMMON STOCK / CUSIP: 41150T108 / Symbol:							
8 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	1.000	3.43	02/01/21	3.09	...	0.34	1 of 8 - Sale ²⁵
	21.000	72.03	02/01/21	65.52	...	6.51	2 of 8 - Sale ²⁵
	100.000	342.99	02/01/21	312.00	...	30.99	3 of 8 - Sale ²⁵
	400.000	1,371.91	02/01/21	1,248.00	...	123.91	4 of 8 - Sale ²⁵
	452.000	1,550.27	02/01/21	1,396.68	...	153.59	5 of 8 - Sale ²⁵
	39.000	133.77	02/02/21	122.85	...	10.92	6 of 8 - Sale ²⁵
	48.000	164.63	02/02/21	151.20	...	13.43	7 of 8 - Sale ²⁵
	300.000	1,028.93	02/02/21	945.00	...	83.93	8 of 8 - Sale ²⁵
02/11/21	1,361.000	4,667.96	Various	4,244.34	...	423.62	Total of 8 transactions

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Robinhood Securities LLC

Account 167887579

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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INVO BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 44984F302 / Symbol:							
<i>5 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	400.000	2,820.53	03/09/21	4,180.00	...	-1,359.47	1 of 5 - Sale ²⁵
	100.000	704.98	03/09/21	1,330.02	...	-625.04	2 of 5 - Sale ²⁵
	175.000	1,233.72	03/09/21	1,828.75	...	-595.03	3 of 5 - Sale ²⁵
	125.000	881.23	03/09/21	1,237.50	...	-356.27	4 of 5 - Sale ²⁵
	100.000	704.98	03/09/21	1,045.00	...	-340.02	5 of 5 - Sale ²⁵
03/10/21	900.000	6,345.44	Various	9,621.27	...	-3,275.83	Total of 5 transactions
03/10/21	100.000	704.98	03/09/21	990.00	285.02 W	0.00	Sale ²⁵
	Security total:	7,050.42		10,611.27	285.02 W	-3,275.83	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
<i>12 transactions for 02/12/21. Total proceeds and cost reported to the IRS.</i>							
	50.000	114.51	02/03/21	107.00	...	7.51	1 of 12 - Sale ²⁵
	93.000	212.99	02/03/21	195.30	...	17.69	2 of 12 - Sale ²⁵
	150.000	343.53	02/03/21	321.00	...	22.53	3 of 12 - Sale ²⁵
	175.000	400.79	02/03/21	374.50	...	26.29	4 of 12 - Sale ²⁵
	200.000	458.05	02/03/21	428.00	...	30.05	5 of 12 - Sale ²⁵
	200.000	458.05	02/03/21	428.00	...	30.05	6 of 12 - Sale ²⁵
	200.000	458.05	02/03/21	428.00	...	30.05	7 of 12 - Sale ²⁵
	1,402.000	3,210.89	02/11/21	4,206.00	...	-995.11	8 of 12 - Sale ²⁵
	429.000	982.51	02/11/21	1,287.00	...	-304.49	9 of 12 - Sale ²⁵
	100.000	229.02	02/11/21	285.00	...	-55.98	10 of 12 - Sale ²⁵
	100.000	229.03	02/11/21	285.00	...	-55.97	11 of 12 - Sale ²⁵
	1.000	2.29	02/11/21	2.85	...	-0.56	12 of 12 - Sale ²⁵
02/12/21	3,100.000	7,099.71	Various	8,347.65	...	-1,247.94	Total of 12 transactions
JANONE INC. COMMON STOCK (NV) / CUSIP: 47089W104 / Symbol:							
02/01/21	3.000	24.52	01/28/21	55.47	...	-30.95	Sale ²⁵

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Account 167887579

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
3 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	363.000	1,716.94	03/23/21	2,541.00	...	-824.06	1 of 3 - Sale ²⁵
	37.000	174.27	03/23/21	259.00	...	-84.73	2 of 3 - Sale ²⁵
	35.000	165.55	03/23/21	245.00	...	-79.45	3 of 3 - Sale ²⁵
03/24/21	435.000	2,056.76	Various	3,045.00	...	-988.24	Total of 3 transactions
MINERVA NEUROSCIENCES, INC COM MON STOCK / CUSIP: 603380106 / Symbol:							
5 transactions for 03/01/21. Total proceeds and cost reported to the IRS.							
	600.000	1,889.92	02/24/21	2,034.00	...	-144.08	1 of 5 - Sale ²⁵
	499.000	1,571.78	02/24/21	1,691.61	...	-119.83	2 of 5 - Sale ²⁵
	425.000	1,338.69	02/24/21	1,440.75	...	-102.06	3 of 5 - Sale ²⁵
	100.000	314.99	02/24/21	339.00	...	-24.01	4 of 5 - Sale ²⁵
	1.000	3.15	02/24/21	3.39	...	-0.24	5 of 5 - Sale ²⁵
03/01/21	1,625.000	5,118.53	Various	5,508.75	...	-390.22	Total of 5 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
01/28/21	4.000	656.56	01/28/21	653.52	...	3.04	Sale ²⁵
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
01/28/21	160.000	767.96	01/27/21	752.00	...	15.96	Sale ²⁵
NANOVICIDES INC. / CUSIP: 630087302 / Symbol:							
6 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	352.000	2,534.34	03/02/21	2,682.24	...	-147.90	1 of 6 - Sale ²⁵
	95.000	683.98	03/02/21	723.90	...	-39.92	2 of 6 - Sale ²⁵
	77.000	555.15	03/02/21	586.74	...	-31.59	3 of 6 - Sale ²⁵
	77.000	555.15	03/02/21	586.74	...	-31.59	4 of 6 - Sale ²⁵
	26.000	187.46	03/02/21	198.12	...	-10.66	5 of 6 - Sale ²⁵
	23.000	165.83	03/02/21	175.26	...	-9.43	6 of 6 - Sale ²⁵
03/02/21	650.000	4,681.91	Various	4,953.00	...	-271.09	Total of 6 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
4 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	0.609	6.63	03/11/21	6.15	...	0.48	1 of 4 - Sale ²⁵
	0.776	8.54	03/11/21	7.83	...	0.71	2 of 4 - Sale ²⁵
	73.224	806.18	03/11/21	739.57	...	66.61	3 of 4 - Sale ²⁵
	262.000	2,884.57	03/11/21	2,646.20	...	238.37	4 of 4 - Sale ²⁵
03/11/21	336.609	3,705.92	Various	3,399.75	...	306.17	Total of 4 transactions
NEOS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 64052L106 / Symbol:							
03/11/21	300.000	261.05	03/09/21	258.00	...	3.05	Sale ²⁵
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
02/03/21	505.000	2,283.29	02/01/21	2,509.85	...	-226.56	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
03/04/21	75.000	754.86	03/03/21	950.25	...	-195.39	Sale ²⁵
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
18 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	690.000	2,007.80	03/15/21	2,052.06	...	-44.26	1 of 18 - Sale ²⁵
	46.798	136.18	03/15/21	144.80	...	-8.62	2 of 18 - Sale ²⁵
	49.781	144.86	03/15/21	151.05	...	-6.19	3 of 18 - Sale ²⁵
	41.219	119.94	03/15/21	125.06	...	-5.12	4 of 18 - Sale ²⁵
	3.202	9.32	03/15/21	9.90	...	-0.58	5 of 18 - Sale ²⁵
	29.131	84.77	03/19/21	88.99	...	-4.22	6 of 18 - Sale ²⁵
	11.983	34.87	03/19/21	35.88	...	-1.01	7 of 18 - Sale ²⁵
	0.650	1.89	03/19/21	1.98	...	-0.09	8 of 18 - Sale ²⁵
	0.144	0.42	03/19/21	0.44	...	-0.02	9 of 18 - Sale ²⁵
	0.219	0.64	03/19/21	0.66	...	-0.02	10 of 18 - Sale ²⁵
	12.886	37.50	03/19/21	36.98	...	0.52	11 of 18 - Sale ²⁵
	77.000	224.06	03/19/21	220.99	...	3.07	12 of 18 - Sale ²⁵
	97.258	283.01	03/19/21	279.13	...	3.88	13 of 18 - Sale ²⁵
	99.856	290.56	03/19/21	286.59	...	3.97	14 of 18 - Sale ²⁵
	100.000	290.98	03/19/21	287.00	...	3.98	15 of 18 - Sale ²⁵
	100.000	290.99	03/19/21	287.00	...	3.99	16 of 18 - Sale ²⁵
	100.000	290.99	03/19/21	287.00	...	3.99	17 of 18 - Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol: (cont'd)							
	943.000	2,743.99	03/19/21	2,706.41	...	37.58	18 of 18 - Sale ²⁵
03/22/21	2,403.127	6,992.77	Various	7,001.92	...	-9.15	Total of 18 transactions
	13 transactions for 03/22/21. Total proceeds and cost reported to the IRS.						
	17.017	49.52	03/15/21	52.66	0.03 W	-3.11	1 of 13 - Sale ²⁵
	0.219	0.64	03/15/21	0.65	0.01 W	0.00	2 of 13 - Sale ²⁵
	0.219	0.64	03/15/21	0.66	0.02 W	0.00	3 of 13 - Sale ²⁵
	0.219	0.64	03/15/21	0.67	0.03 W	0.00	4 of 13 - Sale ²⁵
	3.202	9.32	03/15/21	9.70	0.38 W	0.00	5 of 13 - Sale ²⁵
	11.983	34.87	03/15/21	36.36	1.49 W	0.00	6 of 13 - Sale ²⁵
	17.017	49.52	03/15/21	51.57	2.05 W	0.00	7 of 13 - Sale ²⁵
	29.781	86.65	03/15/21	92.15	5.50 W	0.00	8 of 13 - Sale ²⁵
	29.781	86.66	03/15/21	90.24	3.58 W	0.00	9 of 13 - Sale ²⁵
	46.798	136.18	03/15/21	141.80	5.62 W	0.00	10 of 13 - Sale ²⁵
	49.781	144.85	03/15/21	147.85	3.00 W	0.00	11 of 13 - Sale ²⁵
	50.000	145.49	03/15/21	148.50	3.01 W	0.00	12 of 13 - Sale ²⁵
	100.000	290.99	03/15/21	297.00	6.01 W	0.00	13 of 13 - Sale ²⁵
03/22/21	356.017	1,035.97	Various	1,069.81	30.73 W	-3.11	Total of 13 transactions
	Security total:	8,028.74		8,071.73	30.73 W	-12.26	
OVID THERAPEUTICS INC. COMMON STOCK / CUSIP: 690469101 / Symbol:							
03/04/21	13.000	51.87	03/03/21	63.44	...	-11.57	Sale ²⁵
SECOND SIGHT MEDICAL PRODUCTS, INC. COMMON STOCK / CUSIP: 81362J209 / Symbol:							
	8 transactions for 03/08/21. Total proceeds and cost reported to the IRS.						
	7.000	65.56	03/05/21	53.90	...	11.66	1 of 8 - Sale ²⁵
	11.000	103.02	03/05/21	84.70	...	18.32	2 of 8 - Sale ²⁵
	21.000	196.67	03/05/21	161.70	...	34.97	3 of 8 - Sale ²⁵
	34.000	318.41	03/05/21	261.80	...	56.61	4 of 8 - Sale ²⁵
	37.000	346.51	03/05/21	284.90	...	61.61	5 of 8 - Sale ²⁵
	50.000	468.25	03/05/21	385.00	...	83.25	6 of 8 - Sale ²⁵
	100.000	936.48	03/05/21	770.00	...	166.48	7 of 8 - Sale ²⁵
	300.000	2,809.44	03/05/21	2,310.00	...	499.44	8 of 8 - Sale ²⁵
03/08/21	560.000	5,244.34	Various	4,312.00	...	932.34	Total of 8 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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SENESTECH, INC. COMMON STOCK / CUSIP: 81720R208 / Symbol:							
11 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	912.000	1,714.42	02/23/21	1,787.52	...	-73.10	1 of 11 - Sale ²⁵
	700.000	1,315.89	02/23/21	1,351.00	...	-35.11	2 of 11 - Sale ²⁵
	100.000	187.98	02/23/21	194.00	...	-6.02	3 of 11 - Sale ²⁵
	100.000	187.99	02/23/21	194.00	...	-6.01	4 of 11 - Sale ²⁵
	100.000	187.98	02/23/21	193.00	...	-5.02	5 of 11 - Sale ²⁵
	100.000	187.98	02/23/21	192.00	...	-4.02	6 of 11 - Sale ²⁵
	100.000	187.98	02/23/21	192.00	...	-4.02	7 of 11 - Sale ²⁵
	100.000	187.98	02/23/21	192.00	...	-4.02	8 of 11 - Sale ²⁵
	100.000	187.98	02/23/21	192.00	...	-4.02	9 of 11 - Sale ²⁵
	99.000	186.10	02/23/21	190.08	...	-3.98	10 of 11 - Sale ²⁵
	22.000	41.36	02/23/21	42.46	...	-1.10	11 of 11 - Sale ²⁵
02/23/21	2,433.000	4,573.64	Various	4,720.06	...	-146.42	Total of 11 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
9 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	7.80	03/01/21	6.26	...	1.54	1 of 9 - Sale ²⁵
	1.000	7.80	03/01/21	6.26	...	1.54	2 of 9 - Sale ²⁵
	2.000	15.60	03/01/21	12.52	...	3.08	3 of 9 - Sale ²⁵
	8.000	62.40	03/01/21	50.08	...	12.32	4 of 9 - Sale ²⁵
	8.000	62.40	03/01/21	50.08	...	12.32	5 of 9 - Sale ²⁵
	10.000	78.00	03/01/21	62.59	...	15.41	6 of 9 - Sale ²⁵
	13.000	101.40	03/01/21	81.37	...	20.03	7 of 9 - Sale ²⁵
	255.000	1,988.95	03/01/21	1,596.15	...	392.80	8 of 9 - Sale ²⁵
	602.000	4,695.50	03/01/21	3,768.15	...	927.35	9 of 9 - Sale ²⁵
03/02/21	900.000	7,019.85	Various	5,633.46	...	1,386.39	Total of 9 transactions
3 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	820.000	6,395.86	03/02/21	6,785.50	143.98 W	-245.66	1 of 3 - Sale ²⁵
	5.000	39.00	03/02/21	41.38	2.38 W	0.00	2 of 3 - Sale ²⁵
	25.000	195.00	03/02/21	206.87	11.87 W	0.00	3 of 3 - Sale ²⁵
03/02/21	850.000	6,629.86	Various	7,033.75	158.23 W	-245.66	Total of 3 transactions

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Robinhood Securities LLC

Account 167887579

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
3 transactions for 03/09/21. Total proceeds and cost reported to the IRS.							
	303.000	1,784.61	03/09/21	2,099.85	...	-315.24	1 of 3 - Sale ²⁵
	25.000	147.25	03/09/21	173.24	...	-25.99	2 of 3 - Sale ²⁵
	5.000	29.45	03/09/21	34.66	...	-5.21	3 of 3 - Sale ²⁵
03/09/21	333.000	1,961.31	Various	2,307.75	...	-346.44	Total of 3 transactions
	Security total:	15,611.02		14,974.96	158.23 W	794.29	
STAFFING 360 SOLUTIONS, INC. C OMMON STOCK (DE) / CUSIP: 852387307 / Symbol:							
2 transactions for 02/22/21. Total proceeds and cost reported to the IRS.							
	4,100.000	4,430.69	02/19/21	4,715.00	...	-284.31	1 of 2 - Sale ²⁵
	221.000	238.83	02/19/21	254.15	...	-15.32	2 of 2 - Sale ²⁵
02/22/21	4,321.000	4,669.52	Various	4,969.15	...	-299.63	Total of 2 transactions
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
02/01/21	1,111.000	1,121.95	01/28/21	999.90	...	122.05	Sale ²⁵
SUPPORT.COM, INC. COMMON STOCK / CUSIP: 86858W200 / Symbol:							
4 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	126.000	912.35	03/22/21	1,118.78	...	-206.43	1 of 4 - Sale ²⁵
	105.000	760.29	03/22/21	926.01	...	-165.72	2 of 4 - Sale ²⁵
	194.000	1,404.72	03/22/21	1,563.64	...	-158.92	3 of 4 - Sale ²⁵
	20.000	144.82	03/22/21	176.38	...	-31.56	4 of 4 - Sale ²⁵
03/22/21	445.000	3,222.18	Various	3,784.81	...	-562.63	Total of 4 transactions
3 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	475.000	3,439.39	03/22/21	3,828.50	103.22 W	-285.89	1 of 3 - Sale ²⁵
	20.000	144.82	03/22/21	160.00	15.18 W	0.00	2 of 3 - Sale ²⁵
	105.000	760.29	03/22/21	840.00	79.71 W	0.00	3 of 3 - Sale ²⁵
03/22/21	600.000	4,344.50	Various	4,828.50	198.11 W	-285.89	Total of 3 transactions
	Security total:	7,566.68		8,613.31	198.11 W	-848.52	

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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VIRTRA, INC. COMMON STOCK / CUSIP: 92827K301 / Symbol:							
3 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	0.044	0.41	03/30/21	0.39	...	0.02	1 of 3 - Sale ²⁵
	1.392	12.94	03/30/21	12.38	...	0.56	2 of 3 - Sale ²⁵
	155.608	1,447.13	03/30/21	1,387.23	...	59.90	3 of 3 - Sale ²⁵
03/30/21	157.044	1,460.48	Various	1,400.00	...	60.48	Total of 3 transactions
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
5 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	60.830	96.39	03/24/21	96.73	...	-0.34	1 of 5 - Sale ²⁵
	3.000	4.75	03/24/21	4.80	...	-0.05	2 of 5 - Sale ²⁵
	0.170	0.27	03/24/21	0.27	...	0.00	3 of 5 - Sale ²⁵
	0.396	0.63	03/24/21	0.63	...	0.00	4 of 5 - Sale ²⁵
	1,137.000	1,801.66	03/24/21	1,796.46	...	5.20	5 of 5 - Sale ²⁵
03/26/21	1,201.396	1,903.70	Various	1,898.89	...	4.81	Total of 5 transactions
2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	3.170	5.01	03/24/21	5.04	0.03 W	0.00	1 of 2 - Sale ²⁵
	60.830	96.10	03/24/21	96.72	0.62 W	0.00	2 of 2 - Sale ²⁵
03/26/21	64.000	101.11	Various	101.76	0.65 W	0.00	Total of 2 transactions
	Security total:	2,004.81		2,000.65	0.65 W	4.81	
DOGNESS (INTERNATIONAL) CORPOR ATION CLASS A COMMON STOCK / CUSIP: G2788T103 / Symbol:							
2 transactions for 02/11/21. Total proceeds and cost reported to the IRS.							
	65.000	139.75	02/10/21	137.68	...	2.07	1 of 2 - Sale ²⁵
	123.000	264.45	02/10/21	261.93	...	2.52	2 of 2 - Sale ²⁵
02/11/21	188.000	404.20	Various	399.61	...	4.59	Total of 2 transactions
NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637113 / Symbol:							
6 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	300.000	920.93	02/17/21	933.05	...	-12.12	1 of 6 - Sale ²⁵
	457.000	1,402.90	02/17/21	1,412.13	...	-9.23	2 of 6 - Sale ²⁵
	100.000	306.98	02/17/21	311.01	...	-4.03	3 of 6 - Sale ²⁵
	100.000	306.98	02/17/21	311.01	...	-4.03	4 of 6 - Sale ²⁵
	100.000	306.99	02/17/21	311.02	...	-4.03	5 of 6 - Sale ²⁵

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Account 167887579

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637113 / Symbol: (cont'd)							
	43.000	132.01	02/17/21	133.74	...	-1.73	6 of 6 - Sale ²⁵
02/19/21	1,100.000	3,376.79	Various	3,411.96	...	-35.17	Total of 6 transactions
3 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	900.000	2,762.83	02/17/21	2,781.00	8.94 W	-9.23	1 of 3 - Sale ²⁵
	100.000	306.99	02/17/21	309.00	2.01 W	0.00	2 of 3 - Sale ²⁵
	100.000	306.99	02/17/21	309.00	2.01 W	0.00	3 of 3 - Sale ²⁵
02/19/21	1,100.000	3,376.81	Various	3,399.00	12.96 W	-9.23	Total of 3 transactions
	Security total:	6,753.60		6,810.96	12.96 W	-44.40	
PINGTAN MARINE ENTERPRISE LTD. / CUSIP: G7114V102 / Symbol:							
2 transactions for 03/15/21. Total proceeds and cost reported to the IRS.							
	14.255	16.96	03/12/21	16.75	...	0.21	1 of 2 - Sale ²⁵
	2,951.691	3,512.15	03/12/21	3,482.70	...	29.45	2 of 2 - Sale ²⁵
03/15/21	2,965.946	3,529.11	Various	3,499.45	...	29.66	Total of 2 transactions
TANTECH HOLDINGS LTD. COMMON S TOCK / CUSIP: G8675X107 / Symbol:							
03/03/21	200.000	315.98	03/02/21	336.00	...	-20.02	Sale ²⁵
WAH FU EDUCATION GROUP LIMITED ORDINARY SHARES / CUSIP: G94184101 / Symbol:							
03/26/21	140.000	1,840.97	03/26/21	1,906.80	...	-65.83	Sale ²⁵
ZK INTERNATIONAL GROUP CO., LT D ORDINARY SHARE / CUSIP: G9892K100 / Symbol:							
3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	40.000	468.39	03/19/21	422.80	...	45.59	1 of 3 - Sale ²⁵
	60.000	702.59	03/19/21	634.20	...	68.39	2 of 3 - Sale ²⁵
	189.000	2,213.15	03/19/21	1,997.73	...	215.42	3 of 3 - Sale ²⁵
03/19/21	289.000	3,384.13	Various	3,054.73	...	329.40	Total of 3 transactions
NLS PHARMACEUTICS LTD. ORDINAR Y SHARES / CUSIP: H57830103 / Symbol:							
03/12/21	50.000	261.51	03/12/21	290.00	...	-28.49	Sale ²⁵
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
02/23/21	4,275.000	4,402.64	02/23/21	4,612.30	209.66 W	0.00	Sale ²⁵
33 transactions for 03/04/21. Total proceeds and cost reported to the IRS.							
	10.000	8.66	03/03/21	10.39	1.73 W	0.00	1 of 33 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	16.000	13.84	03/03/21	18.60	4.76 W	0.00	2 of 33 - Sale ²⁵
	30.000	25.99	03/03/21	31.17	5.18 W	0.00	3 of 33 - Sale ²⁵
	30.000	25.99	03/03/21	31.17	5.18 W	0.00	4 of 33 - Sale ²⁵
	30.000	25.99	03/03/21	31.17	5.18 W	0.00	5 of 33 - Sale ²⁵
	33.000	28.54	03/03/21	38.37	9.83 W	0.00	6 of 33 - Sale ²⁵
	59.000	51.10	03/03/21	68.60	17.50 W	0.00	7 of 33 - Sale ²⁵
	67.000	57.95	03/03/21	77.89	19.94 W	0.00	8 of 33 - Sale ²⁵
	68.000	58.82	03/03/21	70.66	11.84 W	0.00	9 of 33 - Sale ²⁵
	70.000	60.63	03/03/21	72.73	12.10 W	0.00	10 of 33 - Sale ²⁵
	70.000	60.63	03/03/21	72.73	12.10 W	0.00	11 of 33 - Sale ²⁵
	70.000	60.64	03/03/21	72.73	12.09 W	0.00	12 of 33 - Sale ²⁵
	88.000	76.12	03/03/21	91.44	15.32 W	0.00	13 of 33 - Sale ²⁵
	92.000	79.68	03/03/21	95.59	15.91 W	0.00	14 of 33 - Sale ²⁵
	100.000	86.49	03/03/21	116.29	29.80 W	0.00	15 of 33 - Sale ²⁵
	100.000	86.50	03/03/21	103.90	17.40 W	0.00	16 of 33 - Sale ²⁵
	100.000	86.50	03/03/21	103.90	17.40 W	0.00	17 of 33 - Sale ²⁵
	100.000	86.50	03/03/21	103.90	17.40 W	0.00	18 of 33 - Sale ²⁵
	100.000	86.50	03/03/21	103.90	17.40 W	0.00	19 of 33 - Sale ²⁵
	100.000	86.50	03/03/21	116.23	29.73 W	0.00	20 of 33 - Sale ²⁵
	100.000	86.50	03/03/21	116.26	29.76 W	0.00	21 of 33 - Sale ²⁵
	100.000	86.62	03/03/21	103.91	17.29 W	0.00	22 of 33 - Sale ²⁵
	100.000	86.62	03/03/21	103.91	17.29 W	0.00	23 of 33 - Sale ²⁵
	100.000	86.63	03/03/21	103.91	17.28 W	0.00	24 of 33 - Sale ²⁵
	100.000	86.63	03/03/21	103.91	17.28 W	0.00	25 of 33 - Sale ²⁵
	100.000	86.64	03/03/21	103.90	17.26 W	0.00	26 of 33 - Sale ²⁵
	100.000	86.64	03/03/21	103.90	17.26 W	0.00	27 of 33 - Sale ²⁵
	100.000	86.65	03/03/21	103.91	17.26 W	0.00	28 of 33 - Sale ²⁵
	100.000	86.67	03/03/21	103.90	17.23 W	0.00	29 of 33 - Sale ²⁵
	300.000	259.49	03/03/21	311.71	52.22 W	0.00	30 of 33 - Sale ²⁵
	517.000	447.19	03/03/21	537.19	90.00 W	0.00	31 of 33 - Sale ²⁵
	700.000	605.48	03/03/21	727.33	121.85 W	0.00	32 of 33 - Sale ²⁵
	1,000.000	864.97	03/03/21	1,039.05	174.08 W	0.00	33 of 33 - Sale ²⁵
03/04/21	4,750.000	4,110.30	Various	4,994.15	883.85 W	0.00	Total of 33 transactions

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2021 1099-B* OMB No. 1545-0715

(continued)

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
36 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
983.000		707.64	03/04/21	940.12	...	-232.48	1 of 36 - Sale ²⁵
384.000		276.43	03/04/21	367.25	...	-90.82	2 of 36 - Sale ²⁵
383.000		275.71	03/04/21	366.29	...	-90.58	3 of 36 - Sale ²⁵
317.000		228.20	03/04/21	303.17	...	-74.97	4 of 36 - Sale ²⁵
300.000		215.96	03/04/21	286.91	...	-70.95	5 of 36 - Sale ²⁵
1,133.000		815.60	03/04/21	886.35	...	-70.75	6 of 36 - Sale ²⁵
100.000		71.99	03/04/21	108.03	...	-36.04	7 of 36 - Sale ²⁵
100.000		71.99	03/04/21	107.99	...	-36.00	8 of 36 - Sale ²⁵
100.000		71.99	03/04/21	107.96	...	-35.97	9 of 36 - Sale ²⁵
508.000		365.69	03/04/21	397.40	...	-31.71	10 of 36 - Sale ²⁵
133.000		95.74	03/04/21	127.20	...	-31.46	11 of 36 - Sale ²⁵
100.000		71.99	03/04/21	101.76	...	-29.77	12 of 36 - Sale ²⁵
100.000		71.99	03/04/21	101.74	...	-29.75	13 of 36 - Sale ²⁵
67.000		48.23	03/04/21	72.35	...	-24.12	14 of 36 - Sale ²⁵
100.000		71.99	03/04/21	95.63	...	-23.64	15 of 36 - Sale ²⁵
100.000		71.99	03/04/21	95.63	...	-23.64	16 of 36 - Sale ²⁵
100.000		71.99	03/04/21	95.63	...	-23.64	17 of 36 - Sale ²⁵
100.000		71.99	03/04/21	95.63	...	-23.64	18 of 36 - Sale ²⁵
100.000		71.99	03/04/21	95.51	...	-23.52	19 of 36 - Sale ²⁵
92.000		66.23	03/04/21	87.88	...	-21.65	20 of 36 - Sale ²⁵
59.000		42.47	03/04/21	63.66	...	-21.19	21 of 36 - Sale ²⁵
70.000		50.39	03/04/21	71.22	...	-20.83	22 of 36 - Sale ²⁵
70.000		50.39	03/04/21	71.21	...	-20.82	23 of 36 - Sale ²⁵
88.000		63.35	03/04/21	84.16	...	-20.81	24 of 36 - Sale ²⁵
70.000		50.39	03/04/21	66.86	...	-16.47	25 of 36 - Sale ²⁵
68.000		48.95	03/04/21	65.04	...	-16.09	26 of 36 - Sale ²⁵
33.000		23.76	03/04/21	35.65	...	-11.89	27 of 36 - Sale ²⁵
30.000		21.60	03/04/21	30.52	...	-8.92	28 of 36 - Sale ²⁵
30.000		21.60	03/04/21	28.65	...	-7.05	29 of 36 - Sale ²⁵
22.000		15.84	03/04/21	22.38	...	-6.54	30 of 36 - Sale ²⁵
16.000		11.52	03/04/21	17.28	...	-5.76	31 of 36 - Sale ²⁵
17.000		12.24	03/04/21	17.24	...	-5.00	32 of 36 - Sale ²⁵
17.000		12.24	03/04/21	16.26	...	-4.02	33 of 36 - Sale ²⁵

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(continued)

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CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol: (cont'd)							
	8.000	5.76	03/04/21	8.14	...	-2.38	34 of 36 - Sale ²⁵
	10.000	7.20	03/04/21	9.55	...	-2.35	35 of 36 - Sale ²⁵
	8.000	5.76	03/04/21	7.64	...	-1.88	36 of 36 - Sale ²⁵
03/05/21	5,916.000	4,258.79	Various	5,455.89	...	-1,197.10	Total of 36 transactions
	8 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	8.000	5.76	03/04/21	7.64	1.88 W	0.00	1 of 8 - Sale ²⁵
	17.000	12.29	03/04/21	16.23	3.94 W	0.00	2 of 8 - Sale ²⁵
	22.000	15.84	03/04/21	21.01	5.17 W	0.00	3 of 8 - Sale ²⁵
	30.000	21.60	03/04/21	28.65	7.05 W	0.00	4 of 8 - Sale ²⁵
	70.000	50.40	03/04/21	66.85	16.45 W	0.00	5 of 8 - Sale ²⁵
	70.000	50.40	03/04/21	66.86	16.46 W	0.00	6 of 8 - Sale ²⁵
	100.000	71.99	03/04/21	95.52	23.53 W	0.00	7 of 8 - Sale ²⁵
	100.000	72.00	03/04/21	95.51	23.51 W	0.00	8 of 8 - Sale ²⁵
03/05/21	417.000	300.28	Various	398.27	97.99 W	0.00	Total of 8 transactions
	Security total:	13,072.01		15,460.61	1,191.50 W	-1,197.10	
Totals :		159,685.86		169,545.64	2,198.51 W	-7,661.27	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 167887579

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/01/21	-0.35	Margin interest paid	
		03/01/21	-5.00	Margin interest paid	
		03/23/21	-0.17	Margin interest paid	
			-5.52	Total Margin interest paid	
			-5.52	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

Instructions for Recipient

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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