



FIDELITY BROKERAGE SERVICES LLC  
 P.O. Box 28019  
 Albuquerque, NM 87125-8019

# 2021 TAX REPORTING STATEMENT

ABHISHEK DUTTA

Account No. **Z09-211594** Customer Service: 800-544-6666  
 Recipient ID No. **\*\*\*-\*\*-6153** Payer's Fed ID Number: 04-3523567

eDelivered

ABHISHEK DUTTA  
 3323 MCCUE ROAD  
 541  
 HOUSTON TX 77056-7148

Payer's Name and Address:  
 NATIONAL FINANCIAL SERVICES LLC  
 499 WASHINGTON BLVD  
 JERSEY CITY, NJ 07310

**Form 1099-DIV \*** **2021 Dividends and Distributions** Copy B for Recipient  
(OMB No. 1545-0110)

1a Total Ordinary Dividends .....	365.93	7 Foreign Tax Paid.....	2.71
1b Qualified Dividends .....	336.92	8 Foreign Country or U.S. Possession.....	Various
2a Total Capital Gain Distributions .....	0.00	9 Cash Liquidation Distributions.....	0.00
2b Unrecap. Sec 1250 Gain.....	0.00	10 Non-Cash Liquidation Distributions.....	0.00
2c Section 1202 Gain.....	0.00	11 Exempt Interest Dividends .....	0.00
2d Collectibles (28%) Gain.....	0.00	12 Specified Private Activity Bond Interest Dividends.....	0.00
3 Nondividend Distributions .....	0.00	13 State .....	
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	14 State Identification No. ....	
5 Section 199A Dividends .....	7.51	15 State Tax Withheld.....	0.00
6 Investment Expenses.....	0.00		

**Form 1099-INT \*** **2021 Interest Income** Copy B for Recipient  
(OMB No. 1545-0112)

1 Interest Income .....	0.00	10 Market Discount .....	0.00
2 Early Withdrawal Penalty .....	0.00	11 Bond Premium .....	0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations .....	0.00	12 Bond Premium on U.S. Treasury Obligations .....	0.00
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	13 Bond Premium on Tax-Exempt Bond .....	0.00
5 Investment Expenses .....	0.00	14 Tax-Exempt Bond CUSIP no. ....	
6 Foreign Tax Paid .....	0.00	15 State .....	
7 Foreign Country or U.S. Possession .....		16 State Identification No .....	
8 Tax-Exempt Interest .....	0.00	17 State Tax Withheld .....	0.00
9 Specified Private Activity Bond Interest .....	0.00		

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## Form 1099-MISC \*

## 2021 Miscellaneous Information

Copy B for Recipient  
(OMB No. 1545-0115)

2 Royalties .....	0.00	15 State Tax Withheld .....	0.00
3 Other Income .....	0.00	16 State/Payer's State No. ....	
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	17 State Income .....	0.00
8 Substitute Payments in Lieu of Dividends or Interest .....	0.00		

## Summary of 2021 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	14,568.37	11,323.38	0.00	0.00	3,244.99	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	5,640.70	4,576.97	0.00	0.00	1,063.73	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	20,209.07	15,900.35	0.00	0.00	4,308.72	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

## Summary of 2021 Original Issue Discount

Total Original Issue Discount .....	0.00	Total Original Issue Discount - REMIC .....	0.00
Total Original Issue Discount on U.S. Treasury Obligations .....	0.00		
Total Original Issue Discount on Tax-Exempt Obligations .....	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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Recipient ID No. **\*\*\*-\*\*-6153** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I

Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
CARRIER GLOBAL CORPORATION COM, CARR, 14448C104										
Sale	66.000	12/11/20	03/08/21	2,481.73	2,446.10			35.63		
Sale	0.485	12/11/20	03/08/21	18.23	17.98			0.25		
Sale	0.072	12/11/20	11/19/21	4.13	2.67			1.46		
Sale	26.000	12/11/20	11/19/21	1,495.80	963.61			532.19		
<b>Subtotals</b>				<b>3,999.89</b>	<b>3,430.36</b>					
FIDELITY MSCI HEALTH CARE INDEX ETF, FHLC, 316092600										
Sale	34.000	11/17/20	03/09/21	1,985.74	1,899.24			86.50		
Sale	0.243	11/17/20	03/09/21	14.18	13.57			0.61		
<b>Subtotals</b>				<b>1,999.92</b>	<b>1,912.81</b>					
ISHARES INC CORE MSCI EMERGING MKTS ETF, IEMG, 46434G103										
Sale	43.000	11/25/20	08/26/21	2,688.81	2,557.00			131.81		
Sale	0.178	11/25/20	08/26/21	11.12	10.58			0.54		
Sale	16.000	11/25/20	09/28/21	992.38	951.44			40.94		
Sale	0.122	11/25/20	09/28/21	7.56	7.25			0.31		
Sale	16.000	11/25/20	10/04/21	972.58	951.44			21.14		
Sale	0.451	11/25/20	10/04/21	27.40	26.82			0.58		
Sale	8.000	11/25/20	11/19/21	500.39	475.72			24.67		
<b>Subtotals</b>				<b>5,200.24</b>	<b>4,980.25</b>					
UPSTART HLDGS INC COM, UPST, 91680M107										
Sale	0.957	07/16/21	09/23/21	323.48	105.81			217.67		
Sale	2.000	07/16/21	09/23/21	676.43	221.13			455.30		

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Recipient ID No. **\*\*\*-\*\*-6153** Payer's Fed ID Number: 04-3523567

FORM 1099-B\*

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I  
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
UPSTART HLDGS INC COM, UPST, 91680M107										
Sale	6.000	07/16/21	10/15/21	2,334.57	663.40			1,671.17		
Sale	0.043	07/16/21	10/15/21	16.73	4.75			11.98		
Sale	0.044	07/16/21	10/15/21	17.11	4.87			12.24		
<b>Subtotals</b>				<b>3,368.32</b>	<b>999.96</b>					
<b>TOTALS</b>				<b>14,568.37</b>	<b>11,323.38</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	
								<b>3,244.99</b>		
								<b>0.00</b>		
										<b>Box A Short-Term Realized Gain</b>
										<b>Box A Short-Term Realized Loss</b>

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**FORM 1099-B\***

## 2021 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Long-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box D checked and/or Schedule D, Part II  
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
FIDELITY MSCI HEALTH CARE INDEX ETF, FHLC, 316092600										
Sale	54.757	11/17/20	11/19/21	3,624.89	3,058.73			566.16		
Sale	0.243	11/17/20	11/19/21	16.09	13.57			2.52		
<b>Subtotals</b>				<b>3,640.98</b>	<b>3,072.30</b>					
VANGUARD INDEX FUNDS S&P 500 ETF USD, VOO, 922908363										
Sale	0.549	11/17/20	12/30/21	241.33	181.59			59.74		
Sale	4.000	11/17/20	12/30/21	1,758.39	1,323.08			435.31		
<b>Subtotals</b>				<b>1,999.72</b>	<b>1,504.67</b>					
<b>TOTALS</b>				<b>5,640.70</b>	<b>4,576.97</b>	<b>0.00</b>	<b>0.00</b>		<b>1,063.73</b>	<b>0.00</b>
									<b>Box D Long-Term Realized Gain</b>	
										<b>Box D Long-Term Realized Loss</b>
										<b>0.00</b>

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

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**FORM 1099-B\***

**2021 Proceeds from Broker and Barter Exchange Transactions**

Copy B for Recipient OMB No. 1545-0715

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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## Summary of 2021 Supplemental Information Not Reported to the IRS

Margin Interest Paid .....	0.00	Currency Realized Gain/Loss (USD) .....	0.00
Tax Exempt Investment Expense .....	0.00	Actual Payment Shortfall.....	0.00
Accrued Interest Paid on Purchases .....	0.00	Addition to Basis .....	0.00
Proceeds Investment Expenses .....	0.00	Account Fees.....	0.00
Severance Tax .....	0.00	Short Dividends.....	0.00
Administrative Expenses .....	0.00	Money Market Realized Gain/Loss.....	0.00
Non-deductible Generic Expenses .....	0.00	Short/Long Term Realized Gain/Loss.....	0.00
Deductible Generic Expenses .....	0.00	Mortgage Pool Statement (MBS).....	0.00

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# 2021 SUPPLEMENTAL INFORMATION

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**Note: This information is not reported to the IRS. It may assist you in tax return preparation.**

## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
CARRIER GLOBAL CORPORATION COM, CARR, 14448C104								
02/10/21	16.19			16.19				
05/24/21	8.21			8.21				
08/10/21	8.21			8.21				
11/22/21	8.21			8.21				
<b>Subtotals</b>	<b>40.82</b>			<b>40.82</b>				
FIDELITY GOVERNMENT MONEY MARKET, SPAXX, 31617H102								
02/26/21	0.01	0.01						
07/30/21	0.01	0.01						
<b>Subtotals</b>	<b>0.02</b>	<b>0.02</b>						
FIDELITY MSCI HEALTH CARE INDEX ETF, FHLC, 316092600								
03/24/21	11.11			11.11				
06/23/21	10.15			10.15				
09/22/21	10.57			10.57				
12/22/21	0.17			0.17				
<b>Subtotals</b>	<b>32.00</b>			<b>32.00</b>				
ISHARES INC CORE MSCI EMERGING MKTS ETF, IEMG, 46434G103								
06/16/21	42.19	20.60		21.59				2.63
12/17/21	1.33	0.88		0.45				0.08
<b>Subtotals</b>	<b>43.52</b>	<b>21.48</b>		<b>22.04</b>				<b>2.71</b>
MICRON TECHNOLOGY INC, MU, 595112103								
10/18/21	1.71			1.71				







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## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
VANGUARD INDEX FUNDS S&P 500 ETF USD, VOO, 922908363								
03/31/21	57.25			55.52	1.73			
07/02/21	60.65			58.81	1.84			
10/04/21	59.74			57.93	1.81			
12/27/21	70.22			68.09	2.13			
<b>Subtotals</b>	<b>247.86</b>			<b>240.35</b>	<b>7.51</b>			
<b>TOTALS</b>	<b>365.93</b>	<b>21.50</b>	<b>0.00</b>	<b>336.92</b>	<b>7.51</b>	<b>0.00</b>	<b>0.00</b>	<b>2.71</b>

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2021** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit [Fidelity.com/fundtaxinfo](https://www.fidelity.com/fundtaxinfo).



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## Foreign Income and Taxes Summary

*This schedule lists all income and foreign tax by country. Foreign income and tax from mutual funds is listed in a separate section with a country designation of "RIC"*

### RICs

Country	Security description	CUSIP	Dividends		Interest	Total Foreign Income	Foreign Tax Paid
			Nonqualified	Qualified			
<b>RIC</b>							
	ISHARES INC CORE MSCI EMERGING MKTS ETF	46434G103	16.32	16.84	0.00	33.16	2.71
<b>TOTAL RICs</b>			<b>16.32</b>	<b>16.84</b>	<b>0.00</b>	<b>33.16</b>	<b>2.71</b>

*Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.*

*N/A - Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.*

**Important Tax Return Document Enclosed.**

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