

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 124614959	Statement Date: 02/14/2021 Document ID: 8Y49 U34 GY7C	2020
	Vivek Vemula 5441 N EAST RIVER ROAD, APT 815 CHICAGO, IL 60656 RECIPIENT'S TIN: XXX-XX-8634		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 120.85</p> <p>1b- Qualified dividends 105.33</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 192.50</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 15.52</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p style="text-align: center;">MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 60.56</p> <p style="text-align: center;">SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,815,147.41	2,819,507.91	0.00	18,948.73	14,588.23
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	9.36	131.87	0.00	0.00	-122.51
	Total Short-term	2,815,156.77	2,819,639.78	0.00	18,948.73	14,465.72
Long	D (basis reported to the IRS)	8,215.86	12,479.57	0.00	346.91	-3,916.80
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	8,215.86	12,479.57	0.00	346.91	-3,916.80
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	2,823,372.63	2,832,119.35	0.00	19,295.64	10,548.92
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 124614959

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	1,487.35
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
12/03/20	10.000	2,469.92	06/22/20	4,300.00	...	-1,830.08	Option sale to close-call ²⁵
AAL 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
05/15/20	5.000	1,524.95	05/14/20	1,500.00	...	24.95	Option sale to close-call ²⁵
AMC 09/18/2020 CALL \$4.00 / CUSIP: / Symbol:							
04/15/20	20.000	1,199.93	04/14/20	1,100.00	...	99.93	Option sale to close-call ²⁵
AMZN 05/01/2020 CALL \$2,560.00 / CUSIP: / Symbol:							
04/30/20	5.000	16,299.62	04/30/20	15,250.00	...	1,049.62	Option sale to close-call ²⁵
05/01/20	5.000	74.98	04/30/20	16,750.00	...	-16,675.02	Option sale to close-call ²⁵
	Security total:	16,374.60		32,000.00	...	-15,625.40	
AMZN 06/19/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
05/01/20	2.000	537.97	05/01/20	530.00	...	7.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 01/15/2021 CALL \$160.00 / CUSIP: / Symbol:							
06/01/20	1.000	2,574.93	06/01/20	2,575.00	...	-0.07	Option sale to close-call ²⁵
FPRX 12/18/2020 PUT \$12.50 / CUSIP: / Symbol:							
11/12/20	10.000	2,049.93	11/12/20	1,830.00	...	219.93	Option sale to close-put ²⁵
9 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	23.000	1,379.92	11/16/20	2,185.00	...	-805.08	1 of 9 - Option sale to close-put ²⁵
	17.000	1,019.93	11/16/20	1,615.00	...	-595.07	2 of 9 - Option sale to close-put ²⁵
	13.000	779.96	11/16/20	1,235.00	...	-455.04	3 of 9 - Option sale to close-put ²⁵
	5.000	299.98	11/16/20	475.00	...	-175.02	4 of 9 - Option sale to close-put ²⁵
	2.000	119.99	11/16/20	190.00	...	-70.01	5 of 9 - Option sale to close-put ²⁵
	1.000	60.00	11/16/20	95.00	...	-35.00	6 of 9 - Option sale to close-put ²⁵
	1.000	60.00	11/16/20	95.00	...	-35.00	7 of 9 - Option sale to close-put ²⁵
	1.000	60.00	11/16/20	95.00	...	-35.00	8 of 9 - Option sale to close-put ²⁵
	1.000	60.00	11/16/20	95.00	...	-35.00	9 of 9 - Option sale to close-put ²⁵
11/17/20	64.000	3,839.78	Various	6,080.00	...	-2,240.22	Total of 9 transactions
	Security total:	5,889.71		7,910.00	...	-2,020.29	
IVR 07/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	50.000	7,999.72	06/08/20	7,750.00	...	249.72	1 of 2 - Option sale to close-call ²⁵
	50.000	8,499.71	06/08/20	8,000.00	...	499.71	2 of 2 - Option sale to close-call ²⁵
06/08/20	100.000	16,499.43	Various	15,750.00	...	749.43	Total of 2 transactions
JBLU 12/18/2020 PUT \$14.00 / CUSIP: / Symbol:							
8 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	82.98	11/17/20	80.00	...	2.98	1 of 8 - Option sale to close-put ²⁵
	1.000	82.98	11/17/20	80.00	...	2.98	2 of 8 - Option sale to close-put ²⁵
	1.000	82.98	11/17/20	80.00	...	2.98	3 of 8 - Option sale to close-put ²⁵
	1.000	82.98	11/17/20	80.00	...	2.98	4 of 8 - Option sale to close-put ²⁵
	6.000	497.98	11/17/20	480.00	...	17.98	5 of 8 - Option sale to close-put ²⁵
	23.000	1,908.91	11/17/20	1,840.00	...	68.91	6 of 8 - Option sale to close-put ²⁵
	25.000	2,074.90	11/17/20	2,000.00	...	74.90	7 of 8 - Option sale to close-put ²⁵
	42.000	3,485.84	11/17/20	3,360.00	...	125.84	8 of 8 - Option sale to close-put ²⁵
11/17/20	100.000	8,299.55	Various	8,000.00	...	299.55	Total of 8 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JBLU 12/18/2020 PUT \$14.00 / CUSIP: / Symbol: (cont'd)							
13 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	27.000	1,133.92	11/17/20	2,511.09	...	-1,377.17	1 of 13 - Option sale to close-put ²⁵
	21.000	923.94	11/17/20	1,953.07	...	-1,029.13	2 of 13 - Option sale to close-put ²⁵
	15.000	629.96	11/17/20	1,395.05	...	-765.09	3 of 13 - Option sale to close-put ²⁵
	7.000	307.98	11/17/20	651.02	...	-343.04	4 of 13 - Option sale to close-put ²⁵
	6.000	251.98	11/17/20	558.02	...	-306.04	5 of 13 - Option sale to close-put ²⁵
	12.000	503.96	11/17/20	780.00	...	-276.04	6 of 13 - Option sale to close-put ²⁵
	2.000	83.99	11/17/20	242.02	...	-158.03	7 of 13 - Option sale to close-put ²⁵
	3.000	125.99	11/17/20	279.02	...	-153.03	8 of 13 - Option sale to close-put ²⁵
	3.000	131.99	11/17/20	279.01	...	-147.02	9 of 13 - Option sale to close-put ²⁵
	2.000	87.99	11/17/20	186.02	...	-98.03	10 of 13 - Option sale to close-put ²⁵
	3.000	131.99	11/17/20	216.00	...	-84.01	11 of 13 - Option sale to close-put ²⁵
	1.000	42.00	11/17/20	93.02	...	-51.02	12 of 13 - Option sale to close-put ²⁵
	1.000	44.00	11/17/20	93.00	...	-49.00	13 of 13 - Option sale to close-put ²⁵
11/18/20	103.000	4,399.69	Various	9,236.34	...	-4,836.65	Total of 13 transactions
10 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	10.000	439.96	11/17/20	720.00	84.01 W	-196.03	1 of 10 - Option sale to close-put ²⁵
	3.000	131.98	11/17/20	216.00	84.02 W	0.00	2 of 10 - Option sale to close-put ²⁵
	2.000	87.98	11/17/20	144.00	56.02 W	0.00	3 of 10 - Option sale to close-put ²⁵
	21.000	923.93	11/17/20	1,512.00	588.07 W	0.00	4 of 10 - Option sale to close-put ²⁵
	7.000	307.98	11/17/20	504.00	196.02 W	0.00	5 of 10 - Option sale to close-put ²⁵
	9.000	395.97	11/17/20	648.00	252.03 W	0.00	6 of 10 - Option sale to close-put ²⁵
	15.000	659.95	11/17/20	1,080.00	420.05 W	0.00	7 of 10 - Option sale to close-put ²⁵
	1.000	43.98	11/17/20	72.00	28.02 W	0.00	8 of 10 - Option sale to close-put ²⁵
	27.000	1,187.91	11/17/20	1,944.00	756.09 W	0.00	9 of 10 - Option sale to close-put ²⁵
	2.000	87.99	11/17/20	200.01	112.02 W	0.00	10 of 10 - Option sale to close-put ²⁵
11/18/20	97.000	4,267.63	Various	7,040.01	2,576.35 W	-196.03	Total of 10 transactions
	Security total:	16,966.87		24,276.35	2,576.35 W	-4,733.13	

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/22/20	15.000	9,149.76	06/19/20	9,300.00	...	-150.24	Option sale to close-call ²⁵
NKLA 07/17/2020 PUT \$60.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	3,009.92	06/15/20	3,000.00	...	9.92	1 of 2 - Option sale to close-put ²⁵
	1.000	3,009.92	06/15/20	3,000.00	...	9.92	2 of 2 - Option sale to close-put ²⁵
06/15/20	2.000	6,019.84	Various	6,000.00	...	19.84	Total of 2 transactions
NKLA 07/17/2020 PUT \$75.00 / CUSIP: / Symbol:							
06/09/20	2.000	7,559.82	06/09/20	7,800.00	...	-240.18	Option sale to close-put ²⁵
NTAP 11/20/2020 CALL \$47.50 / CUSIP: / Symbol:							
11/11/20	50.000	12,999.61	11/11/20	12,950.00	...	49.61	Option sale to close-call ²⁵
TWO 09/18/2020 CALL \$6.00 / CUSIP: / Symbol:							
10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	164.99	06/08/20	155.00	...	9.99	1 of 10 - Option sale to close-call ²⁵
	2.000	329.99	06/08/20	310.00	...	19.99	2 of 10 - Option sale to close-call ²⁵
	3.000	494.98	06/08/20	465.00	...	29.98	3 of 10 - Option sale to close-call ²⁵
	3.000	494.98	06/08/20	465.00	...	29.98	4 of 10 - Option sale to close-call ²⁵
	3.000	494.98	06/08/20	465.00	...	29.98	5 of 10 - Option sale to close-call ²⁵
	5.000	824.97	06/08/20	775.00	...	49.97	6 of 10 - Option sale to close-call ²⁵
	7.000	1,154.96	06/08/20	1,085.00	...	69.96	7 of 10 - Option sale to close-call ²⁵
	7.000	1,154.96	06/08/20	1,085.00	...	69.96	8 of 10 - Option sale to close-call ²⁵
	9.000	1,484.95	06/08/20	1,395.00	...	89.95	9 of 10 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TWO 09/18/2020 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
	10.000	1,649.94	06/08/20	1,550.00	...	99.94	10 of 10 - Option sale to close-call ²⁵
06/09/20	50.000	8,249.70	Various	7,750.00	...	499.70	Total of 10 transactions
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	30.000	87.29	04/06/20	55.80	...	31.49	1 of 3 - Sale ²⁵
	102.000	296.81	04/06/20	193.80	...	103.01	2 of 3 - Sale ²⁵
	200.000	581.98	04/06/20	380.00	...	201.98	3 of 3 - Sale ²⁵
04/16/20	332.000	966.08	Various	629.60	...	336.48	Total of 3 transactions
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	7.000	14.56	05/07/20	14.49	...	0.07	1 of 4 - Sale ²⁵
	200.000	415.97	05/07/20	414.00	...	1.97	2 of 4 - Sale ²⁵
	100.000	207.98	05/08/20	201.00	...	6.98	3 of 4 - Sale ²⁵
	100.000	207.99	05/08/20	201.00	...	6.99	4 of 4 - Sale ²⁵
05/14/20	407.000	846.50	Various	830.49	...	16.01	Total of 4 transactions
10 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	7.000	14.56	05/07/20	14.70	0.14 W	0.00	1 of 10 - Sale ²⁵
	293.000	609.39	05/07/20	615.30	5.91 W	0.00	2 of 10 - Sale ²⁵
	7.000	14.56	05/07/20	14.84	0.28 W	0.00	3 of 10 - Sale ²⁵
	93.000	193.42	05/07/20	195.30	1.88 W	0.00	4 of 10 - Sale ²⁵
	68.000	141.43	05/07/20	142.13	0.70 W	0.00	5 of 10 - Sale ²⁵
	100.000	207.98	05/07/20	209.02	1.04 W	0.00	6 of 10 - Sale ²⁵
	100.000	207.98	05/07/20	209.02	1.04 W	0.00	7 of 10 - Sale ²⁵
	7.000	14.56	05/07/20	14.77	0.21 W	0.00	8 of 10 - Sale ²⁵
	25.000	52.00	05/07/20	52.25	0.25 W	0.00	9 of 10 - Sale ²⁵
	93.000	193.42	05/07/20	194.39	0.97 W	0.00	10 of 10 - Sale ²⁵
05/14/20	793.000	1,649.30	Various	1,661.72	12.42 W	0.00	Total of 10 transactions
15 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	7.000	21.77	05/14/20	14.70	...	7.07	1 of 15 - Sale ²⁵
	10.000	31.10	05/14/20	20.70	...	10.40	2 of 15 - Sale ²⁵
	25.000	77.74	05/14/20	52.01	...	25.73	3 of 15 - Sale ²⁵
	25.000	77.75	05/14/20	52.00	...	25.75	4 of 15 - Sale ²⁵

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol: (cont'd)							
	34.000	105.74	05/14/20	70.73	...	35.01	5 of 15 - Sale ²⁵
	41.000	127.51	05/14/20	84.05	...	43.46	6 of 15 - Sale ²⁵
	59.000	183.48	05/14/20	122.75	...	60.73	7 of 15 - Sale ²⁵
	59.000	183.48	05/14/20	120.95	...	62.53	8 of 15 - Sale ²⁵
	68.000	211.47	05/14/20	141.46	...	70.01	9 of 15 - Sale ²⁵
	75.000	233.24	05/14/20	156.03	...	77.21	10 of 15 - Sale ²⁵
	97.000	301.66	05/14/20	200.79	...	100.87	11 of 15 - Sale ²⁵
	100.000	310.98	05/14/20	208.04	...	102.94	12 of 15 - Sale ²⁵
	100.000	310.98	05/14/20	205.00	...	105.98	13 of 15 - Sale ²⁵
	100.000	310.98	05/28/20	271.00	...	39.98	14 of 15 - Sale ²⁵
	200.000	621.96	05/28/20	542.00	...	79.96	15 of 15 - Sale ²⁵
07/08/20	1,000.000	3,109.84	Various	2,262.21	...	847.63	Total of 15 transactions
	6 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	4.000	13.08	07/17/20	13.04	...	0.04	1 of 6 - Sale ²⁵
	96.000	313.91	07/17/20	312.96	...	0.95	2 of 6 - Sale ²⁵
	100.000	326.99	07/17/20	326.00	...	0.99	3 of 6 - Sale ²⁵
	100.000	326.99	07/17/20	326.00	...	0.99	4 of 6 - Sale ²⁵
	200.000	653.96	07/17/20	652.00	...	1.96	5 of 6 - Sale ²⁵
	500.000	1,634.90	07/17/20	1,630.00	...	4.90	6 of 6 - Sale ²⁵
07/21/20	1,000.000	3,269.83	Various	3,260.00	...	9.83	Total of 6 transactions
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	185.000	595.66	07/22/20	587.38	...	8.28	1 of 2 - Sale ²⁵
	815.000	2,624.14	07/22/20	2,587.62	...	36.52	2 of 2 - Sale ²⁵
07/22/20	1,000.000	3,219.80	Various	3,175.00	...	44.80	Total of 2 transactions
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	175.000	542.47	07/28/20	540.75	...	1.72	1 of 3 - Sale ²⁵
	411.000	1,274.02	07/28/20	1,269.99	...	4.03	2 of 3 - Sale ²⁵
	414.000	1,283.32	07/28/20	1,279.26	...	4.06	3 of 3 - Sale ²⁵
08/10/20	1,000.000	3,099.81	Various	3,090.00	...	9.81	Total of 3 transactions
	Security total:	16,161.16		14,909.02	12.42 W	1,264.56	

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
8 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	10.000	21.70	04/13/20	20.50	...	1.20	1 of 8 - Sale ²⁵
	20.000	43.40	04/13/20	41.00	...	2.40	2 of 8 - Sale ²⁵
	53.000	115.00	04/13/20	108.65	...	6.35	3 of 8 - Sale ²⁵
	75.000	159.74	04/13/20	150.62	...	9.12	4 of 8 - Sale ²⁵
	221.000	470.70	04/13/20	443.81	...	26.89	5 of 8 - Sale ²⁵
	317.000	687.83	04/13/20	649.85	...	37.98	6 of 8 - Sale ²⁵
	600.000	1,301.90	04/13/20	1,230.00	...	71.90	7 of 8 - Sale ²⁵
	704.000	1,499.40	04/13/20	1,413.77	...	85.63	8 of 8 - Sale ²⁵
04/13/20	2,000.000	4,299.67	Various	4,058.20	...	241.47	Total of 8 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	700.000	2,183.87	11/16/20	2,184.00	...	-0.13	1 of 2 - Sale ²⁵
	300.000	935.94	11/16/20	936.00	...	-0.06	2 of 2 - Sale ²⁵
11/16/20	1,000.000	3,119.81	Various	3,120.00	...	-0.19	Total of 2 transactions
	Security total:	7,419.48		7,178.20	...	241.28	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
3 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	1.000	48.30	01/08/20	48.07	...	0.23	1 of 3 - Sale ²⁵
	10.000	482.99	01/08/20	478.95	...	4.04	2 of 3 - Sale ²⁵
	49.000	2,366.64	01/08/20	2,355.18	...	11.46	3 of 3 - Sale ²⁵
01/10/20	60.000	2,897.93	Various	2,882.20	...	15.73	Total of 3 transactions
3 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	1.000	48.85	01/14/20	48.22	...	0.63	1 of 3 - Sale ²⁵
	49.000	2,393.59	01/14/20	2,362.78	...	30.81	2 of 3 - Sale ²⁵
	50.000	2,442.44	01/14/20	2,410.50	...	31.94	3 of 3 - Sale ²⁵
01/15/20	100.000	4,884.88	Various	4,821.50	...	63.38	Total of 3 transactions
01/22/20	40.000	2,057.95	01/21/20	2,050.00	...	7.95	Sale ²⁵
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	80.000	4,072.70	01/23/20	4,083.20	...	-10.50	1 of 2 - Sale ²⁵
	20.000	1,018.18	01/24/20	1,007.03	...	11.15	2 of 2 - Sale ²⁵
02/10/20	100.000	5,090.88	Various	5,090.23	...	0.65	Total of 2 transactions
02/10/20	20.000	1,018.17	01/23/20	1,020.80	2.63 W	0.00	Sale ²⁵

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Account 124614959

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
04/22/20	60.000	3,284.91	04/21/20	3,121.74	...	163.17	Sale ²⁵
04/24/20	100.000	5,474.86	04/23/20	5,385.00	...	89.86	Sale ²⁵
04/28/20	100.000	5,342.87	04/28/20	5,300.00	...	42.87	Sale ²⁵
04/29/20	100.000	5,411.88	04/28/20	5,295.00	...	116.88	Sale ²⁵
	Security total:	35,464.33		34,966.47	2.63 W	500.49	
AKARI THERAPEUTICS PLC ADR (0. 01 USD) / CUSIP: 00972G108 / Symbol:							
01/10/20	500.000	919.92	01/09/20	870.00	...	49.92	Sale ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
	4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	15.000	3,989.91	11/10/20	3,990.09	...	-0.18	1 of 4 - Sale ²⁵
	15.000	3,989.91	11/10/20	3,990.09	...	-0.18	2 of 4 - Sale ²⁵
	13.000	3,457.92	11/10/20	3,458.08	...	-0.16	3 of 4 - Sale ²⁵
	7.000	1,861.96	11/10/20	1,862.04	...	-0.08	4 of 4 - Sale ²⁵
11/10/20	50.000	13,299.70	Various	13,300.30	...	-0.60	Total of 4 transactions
	5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	15.000	3,989.91	11/10/20	3,990.00	0.09 W	0.00	1 of 5 - Sale ²⁵
	13.000	3,457.92	11/10/20	3,458.00	0.08 W	0.00	2 of 5 - Sale ²⁵
	15.000	3,989.91	11/10/20	3,990.00	0.09 W	0.00	3 of 5 - Sale ²⁵
	41.000	10,905.75	11/10/20	10,906.00	0.25 W	0.00	4 of 5 - Sale ²⁵
	66.000	17,555.60	11/10/20	17,556.00	0.40 W	0.00	5 of 5 - Sale ²⁵
11/10/20	150.000	39,899.09	Various	39,900.00	0.91 W	0.00	Total of 5 transactions
	7 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	266.79	11/11/20	265.66	...	1.13	1 of 7 - Sale ²⁵
	1.000	266.79	11/11/20	265.66	...	1.13	2 of 7 - Sale ²⁵
	1.000	266.80	11/11/20	265.66	...	1.14	3 of 7 - Sale ²⁵
	2.000	533.58	11/11/20	531.31	...	2.27	4 of 7 - Sale ²⁵
	8.000	2,134.35	11/11/20	2,125.25	...	9.10	5 of 7 - Sale ²⁵
	21.000	5,602.67	11/11/20	5,578.77	...	23.90	6 of 7 - Sale ²⁵
	66.000	17,608.40	11/11/20	17,533.30	...	75.10	7 of 7 - Sale ²⁵
11/13/20	100.000	26,679.38	Various	26,565.61	...	113.77	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	47.000	10,527.76	12/24/20	10,528.00	...	-0.24	1 of 2 - Sale ²⁵
	3.000	671.98	12/24/20	672.00	...	-0.02	2 of 2 - Sale ²⁵
12/28/20	50.000	11,199.74	Various	11,200.00	...	-0.26	Total of 2 transactions
	Security total:	91,077.91		90,965.91	0.91 W	112.91	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2,365.44	04/29/20	2,360.00	...	5.44	1 of 2 - Sale ²⁵
	14.000	33,116.26	04/29/20	33,040.00	...	76.26	2 of 2 - Sale ²⁵
04/29/20	15.000	35,481.70	Various	35,400.00	...	81.70	Total of 2 transactions
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	2,443.94	04/30/20	2,422.85	...	21.09	1 of 2 - Sale ²⁵
	4.000	9,775.78	04/30/20	9,691.40	...	84.38	2 of 2 - Sale ²⁵
04/30/20	5.000	12,219.72	Various	12,114.25	...	105.47	Total of 2 transactions
07/23/20	5.000	15,164.66	07/23/20	15,050.00	...	114.66	Sale ²⁵
8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	3,104.93	08/11/20	3,104.00	...	0.93	1 of 8 - Sale ²⁵
	1.000	3,104.93	08/11/20	3,104.00	...	0.93	2 of 8 - Sale ²⁵
	1.000	3,104.93	08/11/20	3,104.00	...	0.93	3 of 8 - Sale ²⁵
	2.000	6,209.86	08/11/20	6,208.00	...	1.86	4 of 8 - Sale ²⁵
	1.000	3,115.93	08/11/20	3,105.00	...	10.93	5 of 8 - Sale ²⁵
	1.000	3,115.93	08/11/20	3,105.00	...	10.93	6 of 8 - Sale ²⁵
	1.000	3,115.93	08/11/20	3,105.00	...	10.93	7 of 8 - Sale ²⁵
	2.000	6,231.86	08/11/20	6,210.00	...	21.86	8 of 8 - Sale ²⁵
08/11/20	10.000	31,104.30	Various	31,045.00	...	59.30	Total of 8 transactions
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	10.000	31,201.21	11/13/20	31,020.00	...	181.21	1 of 2 - Sale ²⁵
	10.000	31,169.31	11/13/20	30,970.00	...	199.31	2 of 2 - Sale ²⁵
11/13/20	20.000	62,370.52	Various	61,990.00	...	380.52	Total of 2 transactions
	Security total:	156,340.90		155,599.25	...	741.65	

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
<i>3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	2,676.61	03/04/20	2,640.00	...	36.61	1 of 3 - Sale ²⁵
	25.000	446.10	03/05/20	426.25	...	19.85	2 of 3 - Sale ²⁵
	30.000	535.32	03/05/20	511.50	...	23.82	3 of 3 - Sale ²⁵
03/17/20	205.000	3,658.03	Various	3,577.75	...	80.28	Total of 3 transactions
<i>7 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	37.000	377.40	04/22/20	376.66	...	0.74	1 of 7 - Sale ²⁵
	100.000	1,047.96	04/22/20	1,047.00	...	0.96	2 of 7 - Sale ²⁵
	100.000	1,047.96	04/22/20	1,047.00	...	0.96	3 of 7 - Sale ²⁵
	63.000	642.57	04/22/20	641.34	...	1.23	4 of 7 - Sale ²⁵
	100.000	1,019.96	04/22/20	1,018.00	...	1.96	5 of 7 - Sale ²⁵
	100.000	1,019.96	04/22/20	1,018.00	...	1.96	6 of 7 - Sale ²⁵
	100.000	1,019.96	04/22/20	1,018.00	...	1.96	7 of 7 - Sale ²⁵
04/22/20	600.000	6,175.77	Various	6,166.00	...	9.77	Total of 7 transactions
<i>3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,002.96	04/27/20	1,003.00	0.04 W	0.00	1 of 3 - Sale ²⁵
	100.000	1,002.96	04/27/20	1,003.00	0.04 W	0.00	2 of 3 - Sale ²⁵
	300.000	3,008.89	04/27/20	3,009.00	0.11 W	0.00	3 of 3 - Sale ²⁵
04/27/20	500.000	5,014.81	Various	5,015.00	0.19 W	0.00	Total of 3 transactions
<i>2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,002.96	05/04/20	954.04	...	48.92	1 of 2 - Sale ²⁵
	100.000	1,002.96	05/04/20	954.04	...	48.92	2 of 2 - Sale ²⁵
05/05/20	200.000	2,005.92	Various	1,908.08	...	97.84	Total of 2 transactions
05/20/20	100.000	990.96	05/19/20	977.04	...	13.92	Sale ²⁵
<i>5 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	716.08	05/22/20	678.87	...	37.21	1 of 5 - Sale ²⁵
	100.000	1,022.96	05/22/20	969.84	...	53.12	2 of 5 - Sale ²⁵
	100.000	1,022.96	05/22/20	969.81	...	53.15	3 of 5 - Sale ²⁵
	100.000	1,022.96	05/22/20	964.04	...	58.92	4 of 5 - Sale ²⁵
	130.000	1,329.85	05/22/20	1,260.75	...	69.10	5 of 5 - Sale ²⁵
05/26/20	500.000	5,114.81	Various	4,843.31	...	271.50	Total of 5 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,148.96	05/28/20	1,133.00	...	15.96	1 of 2 - Sale ²⁵
05/28/20	100.000	1,148.96	05/28/20	1,133.00	...	15.96	2 of 2 - Sale ²⁵
	200.000	2,297.92	Various	2,266.00	...	31.92	Total of 2 transactions
<i>7 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,108.96	05/28/20	1,108.00	...	0.96	1 of 7 - Sale ²⁵
	50.000	554.48	05/28/20	551.50	...	2.98	2 of 7 - Sale ²⁵
	50.000	554.48	05/28/20	549.50	...	4.98	3 of 7 - Sale ²⁵
	50.000	554.49	05/28/20	547.50	...	6.99	4 of 7 - Sale ²⁵
	50.000	554.48	05/29/20	522.50	...	31.98	5 of 7 - Sale ²⁵
06/01/20	100.000	1,128.96	06/01/20	1,120.85	...	8.11	6 of 7 - Sale ²⁵
	100.000	1,128.96	06/01/20	1,120.85	...	8.11	7 of 7 - Sale ²⁵
	500.000	5,584.81	Various	5,520.70	...	64.11	Total of 7 transactions
<i>2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,108.96	05/28/20	1,120.00	11.04 W	0.00	1 of 2 - Sale ²⁵
06/01/20	100.000	1,108.96	05/28/20	1,120.00	11.04 W	0.00	2 of 2 - Sale ²⁵
	200.000	2,217.92	Various	2,240.00	22.08 W	0.00	Total of 2 transactions
<i>3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,229.96	06/01/20	1,100.00	...	129.96	1 of 3 - Sale ²⁵
	100.000	1,229.96	06/01/20	1,100.00	...	129.96	2 of 3 - Sale ²⁵
06/25/20	200.000	2,459.92	06/01/20	2,210.00	...	249.92	3 of 3 - Sale ²⁵
	400.000	4,919.84	Various	4,410.00	...	509.84	Total of 3 transactions
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,229.96	06/11/20	1,500.00	270.04 W	0.00	1 of 2 - Sale ²⁵
06/25/20	100.000	1,229.96	06/11/20	1,470.00	240.04 W	0.00	2 of 2 - Sale ²⁵
	200.000	2,459.92	Various	2,970.00	510.08 W	0.00	Total of 2 transactions
<i>2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,324.96	07/01/20	1,545.04	220.08 W	0.00	1 of 2 - Sale ²⁵
07/02/20	100.000	1,324.96	07/01/20	1,515.04	190.08 W	0.00	2 of 2 - Sale ²⁵
	200.000	2,649.92	Various	3,060.08	410.16 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,263.96	07/02/20	1,262.00	...	1.96	1 of 5 - Sale ²⁵
	100.000	1,263.96	07/02/20	1,261.00	...	2.96	2 of 5 - Sale ²⁵
	100.000	1,263.95	07/06/20	1,248.00	...	15.95	3 of 5 - Sale ²⁵
	50.000	631.98	07/07/20	615.00	...	16.98	4 of 5 - Sale ²⁵
	50.000	631.98	07/07/20	600.00	...	31.98	5 of 5 - Sale ²⁵
07/15/20	400.000	5,055.83	Various	4,986.00	...	69.83	Total of 5 transactions
<i>4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,263.96	07/02/20	1,499.89	235.93 W	0.00	1 of 4 - Sale ²⁵
	100.000	1,263.96	07/02/20	1,469.89	205.93 W	0.00	2 of 4 - Sale ²⁵
	200.000	2,527.92	07/02/20	2,559.62	31.70 W	0.00	3 of 4 - Sale ²⁵
	100.000	1,263.96	07/02/20	1,267.00	3.04 W	0.00	4 of 4 - Sale ²⁵
07/15/20	500.000	6,319.80	Various	6,796.40	476.60 W	0.00	Total of 4 transactions
07/23/20	500.000	6,059.81	07/17/20	6,040.00	...	19.81	Sale ²⁵
<i>7 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,211.96	07/17/20	1,444.93	232.97 W	0.00	1 of 7 - Sale ²⁵
	100.000	1,211.96	07/17/20	1,414.93	202.97 W	0.00	2 of 7 - Sale ²⁵
	15.000	181.79	07/17/20	183.73	1.94 W	0.00	3 of 7 - Sale ²⁵
	5.000	60.60	07/17/20	61.24	0.64 W	0.00	4 of 7 - Sale ²⁵
	42.000	509.02	07/17/20	514.44	5.42 W	0.00	5 of 7 - Sale ²⁵
	100.000	1,211.96	07/17/20	1,212.04	0.08 W	0.00	6 of 7 - Sale ²⁵
	138.000	1,672.51	07/17/20	1,690.29	17.78 W	0.00	7 of 7 - Sale ²⁵
07/23/20	500.000	6,059.80	Various	6,521.60	461.80 W	0.00	Total of 7 transactions
<i>6 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	58.00	07/24/20	57.54	...	0.46	1 of 6 - Sale ²⁵
	15.000	173.99	07/24/20	172.64	...	1.35	2 of 6 - Sale ²⁵
	42.000	487.19	07/24/20	483.38	...	3.81	3 of 6 - Sale ²⁵
	68.000	788.77	07/24/20	782.60	...	6.17	4 of 6 - Sale ²⁵
	70.000	811.97	07/24/20	805.62	...	6.35	5 of 6 - Sale ²⁵
	100.000	1,159.96	07/24/20	1,138.08	...	21.88	6 of 6 - Sale ²⁵
07/27/20	300.000	3,479.88	Various	3,439.86	...	40.02	Total of 6 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	100.000	1,159.96	07/24/20	1,370.97	211.01 W	0.00	1 of 2 - Sale ²⁵
	100.000	1,159.96	07/24/20	1,340.97	181.01 W	0.00	2 of 2 - Sale ²⁵
07/27/20	200.000	2,319.92	Various	2,711.94	392.02 W	0.00	Total of 2 transactions
14 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	60.000	670.18	07/30/20	778.83	...	-108.65	1 of 14 - Sale ²⁵
	32.000	357.43	07/30/20	424.99	...	-67.56	2 of 14 - Sale ²⁵
	30.000	335.09	07/30/20	389.42	...	-54.33	3 of 14 - Sale ²⁵
	25.000	279.24	07/30/20	332.03	...	-52.79	4 of 14 - Sale ²⁵
	17.000	189.88	07/30/20	225.77	...	-35.89	5 of 14 - Sale ²⁵
	13.000	145.20	07/30/20	172.65	...	-27.45	6 of 14 - Sale ²⁵
	13.000	145.21	07/30/20	172.64	...	-27.43	7 of 14 - Sale ²⁵
	10.000	111.70	07/30/20	129.80	...	-18.10	8 of 14 - Sale ²⁵
	70.000	781.87	07/30/20	781.93	...	-0.06	9 of 14 - Sale ²⁵
	142.000	1,586.08	07/30/20	1,586.14	...	-0.06	10 of 14 - Sale ²⁵
	106.000	1,183.98	07/30/20	1,184.02	...	-0.04	11 of 14 - Sale ²⁵
	30.000	335.09	07/30/20	335.11	...	-0.02	12 of 14 - Sale ²⁵
	30.000	335.09	07/30/20	335.11	...	-0.02	13 of 14 - Sale ²⁵
	9.000	100.53	07/30/20	100.53	...	0.00	14 of 14 - Sale ²⁵
08/03/20	587.000	6,556.57	Various	6,948.97	...	-392.40	Total of 14 transactions
8 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	100.000	1,116.96	07/30/20	1,328.01	211.05 W	0.00	1 of 8 - Sale ²⁵
	10.000	111.70	07/30/20	129.80	18.10 W	0.00	2 of 8 - Sale ²⁵
	90.000	1,005.26	07/30/20	1,168.21	162.95 W	0.00	3 of 8 - Sale ²⁵
	100.000	1,116.96	07/30/20	1,117.00	0.04 W	0.00	4 of 8 - Sale ²⁵
	30.000	335.09	07/30/20	335.10	0.01 W	0.00	5 of 8 - Sale ²⁵
	57.000	636.66	07/30/20	756.99	120.33 W	0.00	6 of 8 - Sale ²⁵
	13.000	145.21	07/30/20	172.65	27.44 W	0.00	7 of 8 - Sale ²⁵
	13.000	145.21	07/30/20	172.64	27.43 W	0.00	8 of 8 - Sale ²⁵
08/03/20	413.000	4,613.05	Various	5,180.40	567.35 W	0.00	Total of 8 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	199.000	3,462.50	12/11/20	3,452.65	...	9.85	1 of 2 - Sale ²⁵
	201.000	3,497.30	12/11/20	3,487.35	...	9.95	2 of 2 - Sale ²⁵
12/11/20	400.000	6,959.80	Various	6,940.00	...	19.80	Total of 2 transactions
5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	100.000	1,701.95	12/14/20	1,699.00	...	2.95	1 of 5 - Sale ²⁵
	100.000	1,701.95	12/14/20	1,699.00	...	2.95	2 of 5 - Sale ²⁵
	100.000	1,701.95	12/14/20	1,699.00	...	2.95	3 of 5 - Sale ²⁵
	100.000	1,701.95	12/14/20	1,699.00	...	2.95	4 of 5 - Sale ²⁵
	100.000	1,701.95	12/14/20	1,699.00	...	2.95	5 of 5 - Sale ²⁵
12/15/20	500.000	8,509.75	Various	8,495.00	...	14.75	Total of 5 transactions
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	294.000	4,568.62	12/22/20	4,568.76	...	-0.14	1 of 3 - Sale ²⁵
	205.000	3,185.60	12/22/20	3,185.70	...	-0.10	2 of 3 - Sale ²⁵
	1.000	15.54	12/22/20	15.54	...	0.00	3 of 3 - Sale ²⁵
12/22/20	500.000	7,769.76	Various	7,770.00	...	-0.24	Total of 3 transactions
	Security total:	106,794.60		108,784.13		2,840.28 W	850.75
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	47.000	17,399.01	07/23/20	17,399.40	...	-0.39	1 of 2 - Sale ²⁵
	3.000	1,110.58	07/23/20	1,110.60	...	-0.02	2 of 2 - Sale ²⁵
07/23/20	50.000	18,509.59	Various	18,510.00	...	-0.41	Total of 2 transactions
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	258.11	08/31/20	252.30	...	5.81	1 of 2 - Sale ²⁵
	4.000	516.23	08/31/20	504.60	...	11.63	2 of 2 - Sale ²⁵
08/31/20	6.000	774.34	Various	756.90	...	17.44	Total of 2 transactions
08/31/20	100.000	12,905.70	08/31/20	12,920.00	14.30 W	0.00	Sale ²⁵
6 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	30.000	3,568.42	09/04/20	3,420.00	...	148.42	1 of 6 - Sale ²⁵
	30.000	3,568.42	09/08/20	3,474.00	...	94.42	2 of 6 - Sale ²⁵
	50.000	5,947.36	09/11/20	5,525.00	...	422.36	3 of 6 - Sale ²⁵
	20.000	2,378.94	09/14/20	2,266.00	...	112.94	4 of 6 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	20.000	2,378.95	09/15/20	2,278.00	...	100.95	5 of 6 - Sale ²⁵
	50.000	5,947.36	10/06/20	5,640.00	...	307.36	6 of 6 - Sale ²⁵
11/06/20	200.000	23,789.45	Various	22,603.00	...	1,186.45	Total of 6 transactions
	7 transactions for 11/06/20. Total proceeds and cost reported to the IRS.						
	50.000	5,947.36	08/31/20	6,447.15	499.79 W	0.00	1 of 7 - Sale ²⁵
	50.000	5,947.37	08/31/20	6,457.15	509.78 W	0.00	2 of 7 - Sale ²⁵
	14.000	1,665.26	09/03/20	1,681.68	16.42 W	0.00	3 of 7 - Sale ²⁵
	4.000	475.79	09/03/20	480.48	4.69 W	0.00	4 of 7 - Sale ²⁵
	10.000	1,189.47	09/03/20	1,201.00	11.53 W	0.00	5 of 7 - Sale ²⁵
	22.000	2,616.84	09/03/20	2,642.64	25.80 W	0.00	6 of 7 - Sale ²⁵
	20.000	2,378.94	09/03/20	2,400.60	21.66 W	0.00	7 of 7 - Sale ²⁵
11/06/20	170.000	20,221.03	Various	21,310.70	1,089.67 W	0.00	Total of 7 transactions
	9 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	12.000	1,385.97	11/10/20	1,508.35	122.38 W	0.00	1 of 9 - Sale ²⁵
	50.000	5,774.87	11/10/20	6,274.79	499.92 W	0.00	2 of 9 - Sale ²⁵
	38.000	4,388.90	11/10/20	4,776.43	387.53 W	0.00	3 of 9 - Sale ²⁵
	4.000	461.99	11/10/20	466.69	4.70 W	0.00	4 of 9 - Sale ²⁵
	10.000	1,154.97	11/10/20	1,166.53	11.56 W	0.00	5 of 9 - Sale ²⁵
	14.000	1,616.96	11/10/20	1,633.42	16.46 W	0.00	6 of 9 - Sale ²⁵
	20.000	2,309.94	11/10/20	2,331.66	21.72 W	0.00	7 of 9 - Sale ²⁵
	22.000	2,540.94	11/10/20	2,566.80	25.86 W	0.00	8 of 9 - Sale ²⁵
	30.000	3,464.92	11/10/20	3,465.00	0.08 W	0.00	9 of 9 - Sale ²⁵
11/10/20	200.000	23,099.46	Various	24,189.67	1,090.21 W	0.00	Total of 9 transactions
	6 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	4.000	470.83	11/10/20	470.05	...	0.78	1 of 6 - Sale ²⁵
	10.000	1,177.08	11/10/20	1,174.94	...	2.14	2 of 6 - Sale ²⁵
	14.000	1,647.92	11/10/20	1,645.20	...	2.72	3 of 6 - Sale ²⁵
	22.000	2,589.58	11/10/20	2,585.31	...	4.27	4 of 6 - Sale ²⁵
	20.000	2,354.17	11/10/20	2,348.49	...	5.68	5 of 6 - Sale ²⁵
	30.000	3,531.25	11/10/20	3,490.23	...	41.02	6 of 6 - Sale ²⁵
11/19/20	100.000	11,770.83	Various	11,714.22	...	56.61	Total of 6 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>9 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	1,412.50	11/10/20	1,518.44	105.94 W	0.00	1 of 9 - Sale ²⁵
	38.000	4,472.91	11/10/20	4,808.39	335.48 W	0.00	2 of 9 - Sale ²⁵
	50.000	5,885.41	11/10/20	6,316.84	431.43 W	0.00	3 of 9 - Sale ²⁵
	80.000	9,416.66	11/18/20	9,486.35	69.69 W	0.00	4 of 9 - Sale ²⁵
	50.000	5,885.41	11/18/20	5,929.93	44.52 W	0.00	5 of 9 - Sale ²⁵
	10.000	1,177.08	11/18/20	1,185.16	8.08 W	0.00	6 of 9 - Sale ²⁵
	100.000	11,770.83	11/18/20	11,861.65	90.82 W	0.00	7 of 9 - Sale ²⁵
	20.000	2,354.17	11/18/20	2,380.00	25.83 W	0.00	8 of 9 - Sale ²⁵
	40.000	4,708.33	11/18/20	4,730.78	22.45 W	0.00	9 of 9 - Sale ²⁵
11/19/20	400.000	47,083.30	Various	48,217.54	1,134.24 W	0.00	Total of 9 transactions
	Security total:	158,153.70		160,222.03	3,328.42 W	1,260.09	
APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
<i>3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	21.72	06/16/20	21.63	...	0.09	1 of 3 - Sale ²⁵
	96.000	695.12	06/16/20	692.16	...	2.96	2 of 3 - Sale ²⁵
	401.000	2,903.56	06/16/20	2,891.21	...	12.35	3 of 3 - Sale ²⁵
06/16/20	500.000	3,620.40	Various	3,605.00	...	15.40	Total of 3 transactions
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THRE E PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
<i>7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.00	06/11/20	11.90	...	0.10	1 of 7 - Sale ²⁵
	95.000	1,077.27	06/11/20	1,076.35	...	0.92	2 of 7 - Sale ²⁵
	100.000	1,133.96	06/11/20	1,133.00	...	0.96	3 of 7 - Sale ²⁵
	105.000	1,190.66	06/11/20	1,189.65	...	1.01	4 of 7 - Sale ²⁵
	200.000	2,267.92	06/11/20	2,266.00	...	1.92	5 of 7 - Sale ²⁵
	100.000	1,133.96	06/11/20	1,118.99	...	14.97	6 of 7 - Sale ²⁵
	499.000	5,987.85	06/11/20	5,938.10	...	49.75	7 of 7 - Sale ²⁵
06/11/20	1,100.000	12,803.62	Various	12,733.99	...	69.63	Total of 7 transactions
<i>8 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	88.83	06/18/20	86.80	...	2.03	1 of 8 - Sale ²⁵
	9.000	114.20	06/18/20	111.60	...	2.60	2 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol: (cont'd)							
	100.000	1,247.96	06/18/20	1,236.99	...	10.97	3 of 8 - Sale ²⁵
	200.000	2,495.92	06/18/20	2,473.98	...	21.94	4 of 8 - Sale ²⁵
	200.000	2,495.92	06/18/20	2,473.98	...	21.94	5 of 8 - Sale ²⁵
	84.000	1,065.93	06/18/20	1,041.60	...	24.33	6 of 8 - Sale ²⁵
	100.000	1,268.96	06/18/20	1,240.00	...	28.96	7 of 8 - Sale ²⁵
	300.000	3,806.87	06/18/20	3,720.00	...	86.87	8 of 8 - Sale ²⁵
06/18/20	1,000.000	12,584.59	Various	12,384.95	...	199.64	Total of 8 transactions
	4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	12.000	150.00	06/18/20	148.08	...	1.92	1 of 4 - Sale ²⁵
	100.000	1,249.96	06/18/20	1,234.00	...	15.96	2 of 4 - Sale ²⁵
	100.000	1,249.96	06/18/20	1,234.00	...	15.96	3 of 4 - Sale ²⁵
	288.000	3,599.88	06/18/20	3,553.92	...	45.96	4 of 4 - Sale ²⁵
06/19/20	500.000	6,249.80	Various	6,170.00	...	79.80	Total of 4 transactions
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	33.000	397.32	06/22/20	396.33	...	0.99	1 of 3 - Sale ²⁵
	150.000	1,805.94	06/22/20	1,801.50	...	4.44	2 of 3 - Sale ²⁵
	317.000	3,816.55	06/22/20	3,807.17	...	9.38	3 of 3 - Sale ²⁵
06/23/20	500.000	6,019.81	Various	6,005.00	...	14.81	Total of 3 transactions
	8 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	128.000	1,532.11	06/23/20	1,536.00	...	-3.89	1 of 8 - Sale ²⁵
	25.000	299.24	06/23/20	302.28	...	-3.04	2 of 8 - Sale ²⁵
	18.000	215.45	06/23/20	217.10	...	-1.65	3 of 8 - Sale ²⁵
	2.000	23.94	06/23/20	24.18	...	-0.24	4 of 8 - Sale ²⁵
	6.000	71.82	06/23/20	71.40	...	0.42	5 of 8 - Sale ²⁵
	28.000	335.15	06/23/20	334.08	...	1.07	6 of 8 - Sale ²⁵
	21.000	251.36	06/23/20	249.92	...	1.44	7 of 8 - Sale ²⁵
	45.000	538.63	06/23/20	534.17	...	4.46	8 of 8 - Sale ²⁵
07/07/20	273.000	3,267.70	Various	3,269.13	...	-1.43	Total of 8 transactions
	12 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	72.000	861.81	06/23/20	864.00	1.37 W	-0.82	1 of 12 - Sale ²⁵
	25.000	299.24	06/23/20	300.00	0.76 W	0.00	2 of 12 - Sale ²⁵
	69.000	825.90	06/23/20	828.00	2.10 W	0.00	3 of 12 - Sale ²⁵
	6.000	71.82	06/23/20	72.00	0.18 W	0.00	4 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol: (cont'd)							
	2.000	23.94	06/23/20	24.06	0.12 W	0.00	5 of 12 - Sale ²⁵
	25.000	299.24	06/23/20	300.76	1.52 W	0.00	6 of 12 - Sale ²⁵
	28.000	335.14	06/23/20	336.85	1.71 W	0.00	7 of 12 - Sale ²⁵
	2.000	23.94	06/23/20	24.12	0.18 W	0.00	8 of 12 - Sale ²⁵
	25.000	299.24	06/23/20	301.52	2.28 W	0.00	9 of 12 - Sale ²⁵
	6.000	71.82	06/23/20	72.18	0.36 W	0.00	10 of 12 - Sale ²⁵
	39.000	466.81	06/23/20	469.19	2.38 W	0.00	11 of 12 - Sale ²⁵
	28.000	335.15	06/23/20	337.71	2.56 W	0.00	12 of 12 - Sale ²⁵
07/07/20	327.000	3,914.05	Various	3,930.39	15.52 W	-0.82	Total of 12 transactions
	Security total:	44,839.57		44,493.46	15.52 W	361.63	
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
3 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	1.000	112.20	01/16/20	109.67	...	2.53	1 of 3 - Sale ²⁵
	1.000	112.20	01/16/20	109.67	...	2.53	2 of 3 - Sale ²⁵
	18.000	2,019.55	01/16/20	1,974.06	...	45.49	3 of 3 - Sale ²⁵
01/16/20	20.000	2,243.95	Various	2,193.40	...	50.55	Total of 3 transactions
2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	1.000	116.50	01/30/20	116.01	...	0.49	1 of 2 - Sale ²⁵
	19.000	2,213.45	01/30/20	2,204.19	...	9.26	2 of 2 - Sale ²⁵
01/31/20	20.000	2,329.95	Various	2,320.20	...	9.75	Total of 2 transactions
2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	2.000	236.30	02/11/20	233.80	...	2.50	1 of 2 - Sale ²⁵
	38.000	4,492.71	02/11/20	4,442.20	...	50.51	2 of 2 - Sale ²⁵
02/12/20	40.000	4,729.01	Various	4,676.00	...	53.01	Total of 2 transactions
	Security total:	9,302.91		9,189.60	...	113.31	
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
03/05/20	100.000	482.99	03/04/20	482.00	...	0.99	Sale ²⁵
BLUEPRINT MEDICINES CORPORATION COMMON STOCK / CUSIP: 09627Y109 / Symbol:							
10 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	58.63	04/28/20	58.60	...	0.03	1 of 10 - Sale ²⁵
	3.000	175.89	04/28/20	175.80	...	0.09	2 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BLUEPRINT MEDICINES CORPORATIO N COMMON STOCK / CUSIP: 09627Y109 / Symbol: (cont'd)							
	7.000	410.41	04/28/20	410.20	...	0.21	3 of 10 - Sale ²⁵
	7.000	410.41	04/28/20	410.20	...	0.21	4 of 10 - Sale ²⁵
	20.000	1,172.57	04/28/20	1,172.00	...	0.57	5 of 10 - Sale ²⁵
	22.000	1,289.83	04/28/20	1,289.20	...	0.63	6 of 10 - Sale ²⁵
	27.000	1,582.97	04/28/20	1,582.20	...	0.77	7 of 10 - Sale ²⁵
	31.000	1,817.49	04/28/20	1,816.60	...	0.89	8 of 10 - Sale ²⁵
	35.000	2,051.99	04/28/20	2,051.00	...	0.99	9 of 10 - Sale ²⁵
	47.000	2,755.54	04/28/20	2,754.20	...	1.34	10 of 10 - Sale ²⁵
04/28/20	200.000	11,725.73	Various	11,720.00	...	5.73	Total of 10 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	1.000	103.50	03/18/20	99.90	...	3.60	1 of 3 - Sale ²⁵
	16.000	1,655.96	03/18/20	1,598.16	...	57.80	2 of 3 - Sale ²⁵
	33.000	3,415.42	03/18/20	3,296.21	...	119.21	3 of 3 - Sale ²⁵
03/18/20	50.000	5,174.88	Various	4,994.27	...	180.61	Total of 3 transactions
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	97.05	03/18/20	94.00	...	3.05	1 of 2 - Sale ²⁵
	29.000	2,814.38	03/18/20	2,726.00	...	88.38	2 of 2 - Sale ²⁵
03/19/20	30.000	2,911.43	Various	2,820.00	...	91.43	Total of 2 transactions
4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	1.000	216.02	03/20/20	95.80	...	120.22	1 of 4 - Sale ²⁵
	29.000	6,264.50	03/20/20	2,778.20	...	3,486.30	2 of 4 - Sale ²⁵
	20.000	4,320.34	04/24/20	2,603.00	...	1,717.34	3 of 4 - Sale ²⁵
	20.000	4,320.34	05/07/20	2,460.00	...	1,860.34	4 of 4 - Sale ²⁵
11/18/20	70.000	15,121.20	Various	7,937.00	...	7,184.20	Total of 4 transactions
	Security total:	23,207.51		15,751.27	...	7,456.24	
BRIDGELINE DIGITAL, INC. COMMO N STOCK / CUSIP: 10807Q700 / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	669.000	969.94	02/18/20	970.05	...	-0.11	1 of 2 - Sale ²⁵
	331.000	479.91	02/18/20	479.95	...	-0.04	2 of 2 - Sale ²⁵
02/19/20	1,000.000	1,449.85	Various	1,450.00	...	-0.15	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
4 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	150.000	122.98	01/24/20	108.00	...	14.98	1 of 4 - Sale ²⁵
	300.000	245.96	01/24/20	216.00	...	29.96	2 of 4 - Sale ²⁵
	550.000	450.92	01/24/20	396.00	...	54.92	3 of 4 - Sale ²⁵
	1,000.000	819.86	01/24/20	720.00	...	99.86	4 of 4 - Sale ²⁵
01/24/20	2,000.000	1,639.72	Various	1,440.00	...	199.72	Total of 4 transactions
03/03/20	1,000.000	509.86	03/03/20	460.00	...	49.86	Sale ²⁵
	Security total:	2,149.58		1,900.00	...	249.58	
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
22 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	100.000	929.96	04/29/20	927.02	...	2.94	1 of 22 - Sale ²⁵
	100.000	929.97	04/29/20	927.02	...	2.95	2 of 22 - Sale ²⁵
	53.000	524.68	04/29/20	516.75	...	7.93	3 of 22 - Sale ²⁵
	95.000	883.47	04/29/20	874.96	...	8.51	4 of 22 - Sale ²⁵
	97.000	902.07	04/29/20	893.38	...	8.69	5 of 22 - Sale ²⁵
	100.000	929.97	04/29/20	921.01	...	8.96	6 of 22 - Sale ²⁵
	102.000	948.57	04/29/20	939.43	...	9.14	7 of 22 - Sale ²⁵
	103.000	957.86	04/29/20	948.65	...	9.21	8 of 22 - Sale ²⁵
	103.000	957.87	04/29/20	948.64	...	9.23	9 of 22 - Sale ²⁵
	1,000.000	8,759.89	04/29/20	8,750.00	...	9.89	10 of 22 - Sale ²⁵
	80.000	711.97	04/29/20	700.00	...	11.97	11 of 22 - Sale ²⁵
	97.000	902.07	04/29/20	887.55	...	14.52	12 of 22 - Sale ²⁵
	100.000	954.96	04/29/20	940.00	...	14.96	13 of 22 - Sale ²⁵
	100.000	989.96	04/29/20	975.00	...	14.96	14 of 22 - Sale ²⁵
	100.000	989.97	04/29/20	975.00	...	14.97	15 of 22 - Sale ²⁵
	103.000	957.86	04/29/20	942.45	...	15.41	16 of 22 - Sale ²⁵
	194.000	1,920.53	04/29/20	1,891.50	...	29.03	17 of 22 - Sale ²⁵
	206.000	2,039.33	04/29/20	2,008.50	...	30.83	18 of 22 - Sale ²⁵
	347.000	3,435.18	04/29/20	3,383.25	...	51.93	19 of 22 - Sale ²⁵
	400.000	3,819.86	04/29/20	3,760.00	...	59.86	20 of 22 - Sale ²⁵
	500.000	4,774.83	04/29/20	4,700.00	...	74.83	21 of 22 - Sale ²⁵
	920.000	8,187.71	04/29/20	8,050.00	...	137.71	22 of 22 - Sale ²⁵
04/29/20	5,000.000	46,408.54	Various	45,860.11	...	548.43	Total of 22 transactions

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol: (cont'd)							
6 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	200.000	1,751.98	04/29/20	1,764.00	12.02 W	0.00	1 of 6 - Sale ²⁵
	300.000	2,627.97	04/29/20	2,646.00	18.03 W	0.00	2 of 6 - Sale ²⁵
	200.000	1,751.97	04/29/20	1,764.00	12.03 W	0.00	3 of 6 - Sale ²⁵
	100.000	875.99	04/29/20	882.00	6.01 W	0.00	4 of 6 - Sale ²⁵
	100.000	875.99	04/29/20	888.01	12.02 W	0.00	5 of 6 - Sale ²⁵
	100.000	875.99	04/29/20	888.01	12.02 W	0.00	6 of 6 - Sale ²⁵
04/29/20	1,000.000	8,759.89	Various	8,832.02	72.13 W	0.00	Total of 6 transactions
	Security total:	55,168.43		54,692.13	72.13 W	548.43	
CARDLYTICS, INC. COMMON STOCK / CUSIP: 14161W105 / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	1.000	96.00	02/11/20	94.20	...	1.80	1 of 2 - Sale ²⁵
	49.000	4,703.90	02/11/20	4,615.80	...	88.10	2 of 2 - Sale ²⁵
02/11/20	50.000	4,799.90	Various	4,710.00	...	89.90	Total of 2 transactions
2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	1.000	92.95	02/12/20	92.30	...	0.65	1 of 2 - Sale ²⁵
	39.000	3,624.97	02/12/20	3,599.70	...	25.27	2 of 2 - Sale ²⁵
02/12/20	40.000	3,717.92	Various	3,692.00	...	25.92	Total of 2 transactions
02/26/20	50.000	4,213.16	02/25/20	4,185.00	...	28.16	Sale ²⁵
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	12.000	725.98	03/03/20	708.00	...	17.98	1 of 2 - Sale ²⁵
	18.000	1,088.97	03/03/20	1,062.00	...	26.97	2 of 2 - Sale ²⁵
03/03/20	30.000	1,814.95	Various	1,770.00	...	44.95	Total of 2 transactions
	Security total:	14,545.93		14,357.00	...	188.93	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
03/27/20	60.000	883.18	03/24/20	870.00	...	13.18	Sale ²⁵
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	100.000	849.97	04/01/20	920.00	70.03 W	0.00	1 of 3 - Sale ²⁵
	90.000	764.97	04/01/20	828.00	63.03 W	0.00	2 of 3 - Sale ²⁵
	10.000	85.00	04/01/20	92.00	7.00 W	0.00	3 of 3 - Sale ²⁵
04/03/20	200.000	1,699.94	Various	1,840.00	140.06 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
04/23/20	238.000	2,786.88	04/22/20	2,782.22	...	4.66	Sale ²⁵
	5 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	37.000	433.26	04/22/20	458.07	24.81 W	0.00	1 of 5 - Sale ²⁵
	1.000	11.71	04/22/20	12.38	0.67 W	0.00	2 of 5 - Sale ²⁵
	10.000	117.10	04/22/20	123.90	6.80 W	0.00	3 of 5 - Sale ²⁵
	52.000	608.90	04/22/20	644.30	35.40 W	0.00	4 of 5 - Sale ²⁵
	100.000	1,170.96	04/22/20	1,239.03	68.07 W	0.00	5 of 5 - Sale ²⁵
04/23/20	200.000	2,341.93	Various	2,477.68	135.75 W	0.00	Total of 5 transactions
	12 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	90.000	1,055.66	04/24/20	1,109.80	...	-54.14	1 of 12 - Sale ²⁵
	49.000	574.75	04/24/20	604.23	...	-29.48	2 of 12 - Sale ²⁵
	35.000	410.54	04/24/20	431.24	...	-20.70	3 of 12 - Sale ²⁵
	10.000	117.30	04/24/20	123.31	...	-6.01	4 of 12 - Sale ²⁵
	6.000	70.38	04/24/20	73.98	...	-3.60	5 of 12 - Sale ²⁵
	3.000	35.19	04/24/20	37.11	...	-1.92	6 of 12 - Sale ²⁵
	3.000	35.19	04/24/20	36.99	...	-1.80	7 of 12 - Sale ²⁵
	2.000	23.46	04/24/20	24.64	...	-1.18	8 of 12 - Sale ²⁵
	1.000	11.73	04/24/20	12.33	...	-0.60	9 of 12 - Sale ²⁵
	1.000	11.73	04/24/20	12.32	...	-0.59	10 of 12 - Sale ²⁵
	9.000	105.57	04/24/20	105.21	...	0.36	11 of 12 - Sale ²⁵
	94.000	1,102.57	04/24/20	1,098.86	...	3.71	12 of 12 - Sale ²⁵
04/24/20	303.000	3,554.07	Various	3,670.02	...	-115.95	Total of 12 transactions
	8 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	2.000	23.46	04/24/20	24.72	1.26 W	0.00	1 of 8 - Sale ²⁵
	1.000	11.73	04/24/20	12.36	0.63 W	0.00	2 of 8 - Sale ²⁵
	35.000	410.53	04/24/20	432.62	22.09 W	0.00	3 of 8 - Sale ²⁵
	49.000	574.75	04/24/20	606.17	31.42 W	0.00	4 of 8 - Sale ²⁵
	10.000	117.30	04/24/20	123.71	6.41 W	0.00	5 of 8 - Sale ²⁵
	3.000	35.19	04/24/20	37.11	1.92 W	0.00	6 of 8 - Sale ²⁵
	7.000	82.11	04/24/20	86.59	4.48 W	0.00	7 of 8 - Sale ²⁵
	90.000	1,055.66	04/24/20	1,113.36	57.70 W	0.00	8 of 8 - Sale ²⁵
04/24/20	197.000	2,310.73	Various	2,436.64	125.91 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
20 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	6.000	112.20	06/18/20	110.70	...	1.50	1 of 20 - Sale ²⁵
	20.000	374.39	06/18/20	370.40	...	3.99	2 of 20 - Sale ²⁵
	100.000	1,849.94	06/18/20	1,845.00	...	4.94	3 of 20 - Sale ²⁵
	100.000	1,849.94	06/18/20	1,845.00	...	4.94	4 of 20 - Sale ²⁵
	100.000	1,849.94	06/18/20	1,845.00	...	4.94	5 of 20 - Sale ²⁵
	100.000	1,849.94	06/18/20	1,845.00	...	4.94	6 of 20 - Sale ²⁵
	100.000	1,849.95	06/18/20	1,845.00	...	4.95	7 of 20 - Sale ²⁵
	27.000	504.88	06/18/20	498.15	...	6.73	8 of 20 - Sale ²⁵
	80.000	1,497.55	06/18/20	1,481.60	...	15.95	9 of 20 - Sale ²⁵
	73.000	1,365.05	06/18/20	1,346.85	...	18.20	10 of 20 - Sale ²⁵
	100.000	1,871.94	06/18/20	1,852.00	...	19.94	11 of 20 - Sale ²⁵
	100.000	1,871.94	06/18/20	1,852.00	...	19.94	12 of 20 - Sale ²⁵
	100.000	1,871.94	06/18/20	1,852.00	...	19.94	13 of 20 - Sale ²⁵
	100.000	1,871.94	06/18/20	1,852.00	...	19.94	14 of 20 - Sale ²⁵
	94.000	1,757.75	06/18/20	1,734.30	...	23.45	15 of 20 - Sale ²⁵
	100.000	1,869.94	06/18/20	1,845.00	...	24.94	16 of 20 - Sale ²⁵
	100.000	1,869.94	06/18/20	1,845.00	...	24.94	17 of 20 - Sale ²⁵
	100.000	1,869.94	06/18/20	1,845.00	...	24.94	18 of 20 - Sale ²⁵
	140.000	2,568.92	06/18/20	2,499.00	...	69.92	19 of 20 - Sale ²⁵
	160.000	2,935.91	06/18/20	2,856.00	...	79.91	20 of 20 - Sale ²⁵
06/18/20	1,800.000	33,463.94	Various	33,065.00	...	398.94	Total of 20 transactions
9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	9.000	168.75	06/19/20	168.30	...	0.45	1 of 9 - Sale ²⁵
	14.000	262.50	06/19/20	261.80	...	0.70	2 of 9 - Sale ²⁵
	200.000	3,740.17	06/19/20	3,736.00	...	4.17	3 of 9 - Sale ²⁵
	86.000	1,612.45	06/19/20	1,608.20	...	4.25	4 of 9 - Sale ²⁵
	91.000	1,706.20	06/19/20	1,701.70	...	4.50	5 of 9 - Sale ²⁵
	100.000	1,874.94	06/19/20	1,870.00	...	4.94	6 of 9 - Sale ²⁵
	100.000	1,874.94	06/19/20	1,870.00	...	4.94	7 of 9 - Sale ²⁵
	100.000	1,874.94	06/19/20	1,870.00	...	4.94	8 of 9 - Sale ²⁵
	300.000	5,610.26	06/19/20	5,604.00	...	6.26	9 of 9 - Sale ²⁵
06/19/20	1,000.000	18,725.15	Various	18,690.00	...	35.15	Total of 9 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
<i>5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	206.57	06/24/20	206.55	...	0.02	1 of 5 - Sale ²⁵
	10.000	158.90	06/24/20	158.88	...	0.02	2 of 5 - Sale ²⁵
	73.000	1,159.93	06/24/20	1,159.85	...	0.08	3 of 5 - Sale ²⁵
	195.000	3,098.46	06/24/20	3,098.22	...	0.24	4 of 5 - Sale ²⁵
	209.000	3,320.91	06/24/20	3,320.65	...	0.26	5 of 5 - Sale ²⁵
06/24/20	500.000	7,944.77	Various	7,944.15	...	0.62	Total of 5 transactions
<i>6 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,443.95	07/27/20	1,429.00	...	14.95	1 of 6 - Sale ²⁵
	100.000	1,443.95	07/27/20	1,429.00	...	14.95	2 of 6 - Sale ²⁵
	100.000	1,443.95	07/27/20	1,429.00	...	14.95	3 of 6 - Sale ²⁵
	100.000	1,443.95	07/27/20	1,429.00	...	14.95	4 of 6 - Sale ²⁵
	100.000	1,443.96	07/27/20	1,429.00	...	14.96	5 of 6 - Sale ²⁵
	100.000	1,443.95	07/27/20	1,392.00	...	51.95	6 of 6 - Sale ²⁵
07/28/20	600.000	8,663.71	Various	8,537.00	...	126.71	Total of 6 transactions
<i>6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	37.000	571.63	08/20/20	529.10	...	42.53	1 of 6 - Sale ²⁵
	63.000	973.32	08/20/20	900.90	...	72.42	2 of 6 - Sale ²⁵
	100.000	1,544.95	08/20/20	1,430.00	...	114.95	3 of 6 - Sale ²⁵
	100.000	1,544.95	08/20/20	1,430.00	...	114.95	4 of 6 - Sale ²⁵
	100.000	1,544.95	08/20/20	1,430.00	...	114.95	5 of 6 - Sale ²⁵
	100.000	1,544.95	08/20/20	1,430.00	...	114.95	6 of 6 - Sale ²⁵
08/24/20	500.000	7,724.75	Various	7,150.00	...	574.75	Total of 6 transactions
	Security total:	90,099.05		89,462.71	401.72 W	1,038.06	
CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol:							
01/09/20	200.000	2,069.93	01/09/20	2,020.00	...	49.93	Sale ²⁵
<i>5 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	1,549.94	02/26/20	1,570.00	...	-20.06	1 of 5 - Sale ²⁵
	20.000	154.99	02/26/20	156.60	...	-1.61	2 of 5 - Sale ²⁵
	50.000	387.48	02/27/20	369.01	...	18.47	3 of 5 - Sale ²⁵
	20.000	154.99	02/27/20	135.21	...	19.78	4 of 5 - Sale ²⁵

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(continued)

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CLOVIS ONCOLOGY, INC. COMMON S TOCK / CUSIP: 189464100 / Symbol: (cont'd)							
	30.000	232.49	02/27/20	196.80	...	35.69	5 of 5 - Sale ²⁵
03/04/20	320.000	2,479.89	Various	2,427.62	...	52.27	Total of 5 transactions
03/04/20	250.000	1,937.43	02/25/20	1,987.50	14.02 W	-36.05	Sale ²⁵
	Security total:	6,487.25		6,435.12	14.02 W	66.15	
COUPA SOFTWARE INCORPORATED CO MMON STOCK / CUSIP: 22266L106 / Symbol:							
02/10/20	30.000	4,803.50	02/05/20	4,698.00	...	105.50	Sale ²⁵
	2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	1.000	151.01	03/04/20	150.54	...	0.47	1 of 2 - Sale ²⁵
	49.000	7,399.32	03/04/20	7,376.46	...	22.86	2 of 2 - Sale ²⁵
03/05/20	50.000	7,550.33	Various	7,527.00	...	23.33	Total of 2 transactions
	Security total:	12,353.83		12,225.00	...	128.83	
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
11/11/20	300.000	24,719.41	11/11/20	24,315.00	...	404.41	Sale ²⁵
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
	5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	100.000	1,706.95	06/16/20	1,698.00	...	8.95	1 of 5 - Sale ²⁵
	100.000	1,706.95	06/16/20	1,698.00	...	8.95	2 of 5 - Sale ²⁵
	100.000	1,706.95	06/16/20	1,698.00	...	8.95	3 of 5 - Sale ²⁵
	200.000	3,413.90	06/16/20	3,396.00	...	17.90	4 of 5 - Sale ²⁵
	500.000	8,400.15	06/16/20	8,354.95	...	45.20	5 of 5 - Sale ²⁵
06/16/20	1,000.000	16,934.90	Various	16,844.95	...	89.95	Total of 5 transactions
	5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	3.000	50.07	06/16/20	49.95	...	0.12	1 of 5 - Sale ²⁵
	97.000	1,618.88	06/16/20	1,615.05	...	3.83	2 of 5 - Sale ²⁵
	100.000	1,668.95	06/16/20	1,665.00	...	3.95	3 of 5 - Sale ²⁵
	100.000	1,668.95	06/16/20	1,665.00	...	3.95	4 of 5 - Sale ²⁵
	200.000	3,337.90	06/16/20	3,330.00	...	7.90	5 of 5 - Sale ²⁵
06/17/20	500.000	8,344.75	Various	8,325.00	...	19.75	Total of 5 transactions
	Security total:	25,279.65		25,169.95	...	109.70	

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	100.000	2,344.93	04/08/20	2,304.00	...	40.93	1 of 2 - Sale ²⁵
	100.000	2,344.94	04/08/20	2,304.00	...	40.94	2 of 2 - Sale ²⁵
04/09/20	200.000	4,689.87	Various	4,608.00	...	81.87	Total of 2 transactions
04/28/20	500.000	11,499.68	04/27/20	11,080.00	...	419.68	Sale ²⁵
	Security total:	16,189.55		15,688.00	...	501.55	
DIREXION DAILY S&P BIOTECH BEA R 3X SHARES / CUSIP: 25460E539 / Symbol:							
06/02/20	500.000	2,239.89	06/02/20	2,239.75	...	0.14	Sale ²⁵
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	100.000	434.98	06/16/20	434.00	...	0.98	1 of 5 - Sale ²⁵
	100.000	434.98	06/16/20	434.00	...	0.98	2 of 5 - Sale ²⁵
	100.000	434.98	06/16/20	434.00	...	0.98	3 of 5 - Sale ²⁵
	100.000	434.98	06/16/20	434.00	...	0.98	4 of 5 - Sale ²⁵
	100.000	434.99	06/16/20	434.00	...	0.99	5 of 5 - Sale ²⁵
06/16/20	500.000	2,174.91	Various	2,170.00	...	4.91	Total of 5 transactions
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	100.000	436.07	06/17/20	436.00	...	0.07	1 of 4 - Sale ²⁵
	100.000	436.08	06/17/20	436.00	...	0.08	2 of 4 - Sale ²⁵
	200.000	872.16	06/17/20	872.00	...	0.16	3 of 4 - Sale ²⁵
	100.000	437.99	06/17/20	436.00	...	1.99	4 of 4 - Sale ²⁵
06/17/20	500.000	2,182.30	Various	2,180.00	...	2.30	Total of 4 transactions
5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	421.99	06/18/20	418.00	...	3.99	1 of 5 - Sale ²⁵
	100.000	421.99	06/18/20	418.00	...	3.99	2 of 5 - Sale ²⁵
	200.000	843.96	06/18/20	836.00	...	7.96	3 of 5 - Sale ²⁵
	300.000	1,265.93	06/18/20	1,254.00	...	11.93	4 of 5 - Sale ²⁵
	300.000	1,265.93	06/18/20	1,254.00	...	11.93	5 of 5 - Sale ²⁵
06/18/20	1,000.000	4,219.80	Various	4,180.00	...	39.80	Total of 5 transactions
	Security total:	10,816.90		10,769.75	...	47.15	

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY MSCI BRAZIL BUL L 2X SHARES / CUSIP: 25460G708 / Symbol:							
8 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.503	84.29	02/19/20	1,546.35	...	-1,462.06	1 of 8 - Sale ²⁵
	0.086	4.81	02/19/20	88.17	...	-83.36	2 of 8 - Sale ²⁵
	0.257	14.42	02/26/20	206.55	...	-192.13	3 of 8 - Sale ²⁵
	0.029	1.60	02/26/20	22.95	...	-21.35	4 of 8 - Sale ²⁵
	17.143	961.18	03/16/20	1,794.00	...	-832.82	5 of 8 - Sale ²⁵
	5.714	320.39	03/16/20	516.00	...	-195.61	6 of 8 - Sale ²⁵
	7.143	400.49	03/27/20	412.50	...	-12.01	7 of 8 - Sale ²⁵
	0.125	7.02	04/01/20	5.92	...	1.10	8 of 8 - Sale ²⁵
04/23/20	32.000	1,794.20	Various	4,592.44	...	-2,798.24	Total of 8 transactions
DIREXION DAILY S&P BIOTECH BUL L 3X SHARES / CUSIP: 25490K323 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	3.000	124.38	03/16/20	53.85	...	70.53	1 of 2 - Sale ²⁵
	97.000	4,021.52	03/16/20	1,741.15	...	2,280.37	2 of 2 - Sale ²⁵
04/24/20	100.000	4,145.90	Various	1,795.00	...	2,350.90	Total of 2 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
09/01/20	1.000	263.50	09/01/20	263.50	...	0.00	Sale ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	2.000	526.98	09/01/20	527.00	0.02 W	0.00	1 of 2 - Sale ²⁵
	27.000	7,114.34	09/01/20	7,114.50	0.16 W	0.00	2 of 2 - Sale ²⁵
09/01/20	29.000	7,641.32	Various	7,641.50	0.18 W	0.00	Total of 2 transactions
7 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	537.49	09/01/20	537.62	0.13 W	0.00	1 of 7 - Sale ²⁵
	27.000	7,256.09	09/01/20	7,257.73	1.64 W	0.00	2 of 7 - Sale ²⁵
	1.000	268.74	09/01/20	268.80	0.06 W	0.00	3 of 7 - Sale ²⁵
	2.000	546.98	09/02/20	547.08	0.10 W	0.00	4 of 7 - Sale ²⁵
	3.000	820.48	09/02/20	820.61	0.13 W	0.00	5 of 7 - Sale ²⁵
	1.000	273.47	09/02/20	273.53	0.06 W	0.00	6 of 7 - Sale ²⁵
	24.000	6,563.37	09/02/20	6,564.86	1.49 W	0.00	7 of 7 - Sale ²⁵
09/02/20	60.000	16,266.62	Various	16,270.23	3.61 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
<i>5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	5,575.08	09/02/20	6,561.77	...	-986.69	1 of 5 - Sale ²⁵
	10.000	2,322.95	09/02/20	2,590.00	...	-267.05	2 of 5 - Sale ²⁵
	3.000	696.88	09/02/20	820.17	...	-123.29	3 of 5 - Sale ²⁵
	2.000	464.59	09/02/20	546.79	...	-82.20	4 of 5 - Sale ²⁵
	1.000	232.29	09/02/20	273.40	...	-41.11	5 of 5 - Sale ²⁵
09/03/20	40.000	9,291.79	Various	10,792.13	...	-1,500.34	Total of 5 transactions
	Security total:	33,463.23		34,967.36	3.79 W	-1,500.34	
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	203.56	12/08/20	201.80	...	1.76	1 of 2 - Sale ²⁵
	25.000	1,272.22	12/08/20	1,261.25	...	10.97	2 of 2 - Sale ²⁵
12/09/20	29.000	1,475.78	Various	1,463.05	...	12.73	Total of 2 transactions
DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol:							
04/14/20	3,000.000	2,280.21	04/13/20	2,250.00	...	30.21	Sale ²⁵
EXANTAS CAPITAL CORP. COMMON S TOCK / CUSIP: 30068N105 / Symbol:							
<i>12 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	7.40	06/09/20	7.30	...	0.10	1 of 12 - Sale ²⁵
	5.000	18.50	06/09/20	18.25	...	0.25	2 of 12 - Sale ²⁵
	20.000	74.00	06/09/20	73.00	...	1.00	3 of 12 - Sale ²⁵
	22.000	81.40	06/09/20	80.30	...	1.10	4 of 12 - Sale ²⁵
	28.000	103.60	06/09/20	102.20	...	1.40	5 of 12 - Sale ²⁵
	45.000	166.50	06/09/20	164.25	...	2.25	6 of 12 - Sale ²⁵
	78.000	288.58	06/09/20	284.70	...	3.88	7 of 12 - Sale ²⁵
	100.000	369.98	06/09/20	365.00	...	4.98	8 of 12 - Sale ²⁵
	100.000	369.99	06/09/20	365.00	...	4.99	9 of 12 - Sale ²⁵
	200.000	739.96	06/09/20	730.00	...	9.96	10 of 12 - Sale ²⁵
	200.000	739.96	06/09/20	730.00	...	9.96	11 of 12 - Sale ²⁵
	200.000	739.96	06/09/20	730.00	...	9.96	12 of 12 - Sale ²⁵
06/09/20	1,000.000	3,699.83	Various	3,650.00	...	49.83	Total of 12 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
<i>5 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	178.00	08/06/20	177.75	...	0.25	1 of 5 - Sale ²⁵
	10.000	870.98	08/06/20	870.50	...	0.48	2 of 5 - Sale ²⁵
	11.000	958.08	08/06/20	957.55	...	0.53	3 of 5 - Sale ²⁵
	27.000	2,351.64	08/06/20	2,350.35	...	1.29	4 of 5 - Sale ²⁵
	48.000	4,271.90	08/06/20	4,224.00	...	47.90	5 of 5 - Sale ²⁵
08/06/20	98.000	8,630.60	Various	8,580.15	...	50.45	Total of 5 transactions
08/06/20	2.000	172.35	08/06/20	174.10	1.75 W	0.00	Sale ²⁵
08/07/20	100.000	8,049.81	08/07/20	8,030.20	...	19.61	Sale ²⁵
08/07/20	100.000	8,299.80	08/07/20	8,300.00	0.20 W	0.00	Sale ²⁵
08/11/20	100.000	7,299.82	08/11/20	7,300.00	0.18 W	0.00	Sale ²⁵
08/19/20	100.000	7,729.81	08/19/20	7,689.28	...	40.53	Sale ²⁵
<i>6 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	536.99	08/24/20	504.00	...	32.99	1 of 6 - Sale ²⁵
	6.000	536.99	08/24/20	504.00	...	32.99	2 of 6 - Sale ²⁵
	11.000	984.47	08/24/20	924.00	...	60.47	3 of 6 - Sale ²⁵
	14.000	1,252.97	08/24/20	1,172.50	...	80.47	4 of 6 - Sale ²⁵
	36.000	3,221.92	08/24/20	3,015.00	...	206.92	5 of 6 - Sale ²⁵
	77.000	6,891.34	08/24/20	6,468.00	...	423.34	6 of 6 - Sale ²⁵
08/25/20	150.000	13,424.68	Various	12,587.50	...	837.18	Total of 6 transactions
08/27/20	1.000	93.00	08/27/20	93.00	...	0.00	Sale ²⁵
<i>4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	464.99	08/27/20	465.00	0.01 W	0.00	1 of 4 - Sale ²⁵
	11.000	1,022.98	08/27/20	1,023.00	0.02 W	0.00	2 of 4 - Sale ²⁵
	25.000	2,324.94	08/27/20	2,325.00	0.06 W	0.00	3 of 4 - Sale ²⁵
	58.000	5,393.87	08/27/20	5,394.00	0.13 W	0.00	4 of 4 - Sale ²⁵
08/27/20	99.000	9,206.78	Various	9,207.00	0.22 W	0.00	Total of 4 transactions
<i>7 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	94.10	08/28/20	94.00	...	0.10	1 of 7 - Sale ²⁵
	2.000	188.20	08/28/20	188.00	...	0.20	2 of 7 - Sale ²⁵
	3.000	282.29	08/28/20	282.01	...	0.28	3 of 7 - Sale ²⁵
	11.000	1,035.08	08/28/20	1,034.02	...	1.06	4 of 7 - Sale ²⁵
	25.000	2,352.44	08/28/20	2,350.06	...	2.38	5 of 7 - Sale ²⁵

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	27.000	2,540.64	08/28/20	2,538.06	...	2.58	6 of 7 - Sale ²⁵
	31.000	2,917.03	08/28/20	2,914.07	...	2.96	7 of 7 - Sale ²⁵
08/28/20	100.000	9,409.78	Various	9,400.22	...	9.56	Total of 7 transactions
09/01/20	100.000	9,524.77	08/28/20	9,350.00	...	174.77	Sale ²⁵
	Security total:	81,841.20		80,711.45	2.35 W	1,132.10	
FRANSCAS HLDGS CORP COMMON S TOCK / CUSIP: 351793203 / Symbol:							
4 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	30.000	85.49	03/18/20	82.80	...	2.69	1 of 4 - Sale ²⁵
	100.000	284.98	03/18/20	276.00	...	8.98	2 of 4 - Sale ²⁵
	100.000	286.99	03/18/20	276.00	...	10.99	3 of 4 - Sale ²⁵
	300.000	854.95	03/18/20	828.00	...	26.95	4 of 4 - Sale ²⁵
03/19/20	530.000	1,512.41	Various	1,462.80	...	49.61	Total of 4 transactions
HAWAIIAN HOLDINGS, INC. COMMON STOCK / CUSIP: 419879101 / Symbol:							
5 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	2.000	18.00	03/20/20	17.62	...	0.38	1 of 5 - Sale ²⁵
	24.000	216.00	03/20/20	211.44	...	4.56	2 of 5 - Sale ²⁵
	25.000	225.00	03/20/20	220.25	...	4.75	3 of 5 - Sale ²⁵
	49.000	441.00	03/20/20	431.69	...	9.31	4 of 5 - Sale ²⁵
	100.000	899.97	03/20/20	881.00	...	18.97	5 of 5 - Sale ²⁵
03/23/20	200.000	1,799.97	Various	1,762.00	...	37.97	Total of 5 transactions
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	100.000	1,009.96	03/23/20	820.00	...	189.96	1 of 2 - Sale ²⁵
	100.000	1,009.96	03/23/20	820.00	...	189.96	2 of 2 - Sale ²⁵
04/01/20	200.000	2,019.92	Various	1,640.00	...	379.92	Total of 2 transactions
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	100.000	1,074.96	04/13/20	1,075.00	0.04 W	0.00	1 of 3 - Sale ²⁵
	100.000	1,074.96	04/13/20	1,075.00	0.04 W	0.00	2 of 3 - Sale ²⁵
	100.000	1,074.96	04/13/20	1,075.00	0.04 W	0.00	3 of 3 - Sale ²⁵
04/13/20	300.000	3,224.88	Various	3,225.00	0.12 W	0.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HAWAIIAN HOLDINGS, INC. COMMON STOCK / CUSIP: 419879101 / Symbol: (cont'd)							
8 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	10.70	04/16/20	10.69	...	0.01	1 of 8 - Sale ²⁵
	3.000	32.10	04/16/20	32.07	...	0.03	2 of 8 - Sale ²⁵
	8.000	85.60	04/16/20	85.52	...	0.08	3 of 8 - Sale ²⁵
	16.000	171.19	04/16/20	171.04	...	0.15	4 of 8 - Sale ²⁵
	100.000	1,069.96	04/16/20	1,069.04	...	0.92	5 of 8 - Sale ²⁵
	100.000	1,069.96	04/16/20	1,069.04	...	0.92	6 of 8 - Sale ²⁵
	100.000	1,069.97	04/16/20	1,069.04	...	0.93	7 of 8 - Sale ²⁵
	172.000	1,840.34	04/16/20	1,838.68	...	1.66	8 of 8 - Sale ²⁵
04/16/20	500.000	5,349.82	Various	5,345.12	...	4.70	Total of 8 transactions
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	200.000	2,251.92	04/24/20	2,252.00	...	-0.08	1 of 2 - Sale ²⁵
	100.000	1,125.96	04/24/20	1,126.04	...	-0.08	2 of 2 - Sale ²⁵
04/24/20	300.000	3,377.88	Various	3,378.04	...	-0.16	Total of 2 transactions
04/24/20	200.000	2,251.93	04/24/20	2,252.00	0.04 W	-0.03	Sale ²⁵
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	66.000	1,046.73	06/18/20	1,039.50	...	7.23	1 of 3 - Sale ²⁵
	116.000	1,839.70	06/18/20	1,827.00	...	12.70	2 of 3 - Sale ²⁵
	318.000	5,043.33	06/18/20	5,008.50	...	34.83	3 of 3 - Sale ²⁵
06/18/20	500.000	7,929.76	Various	7,875.00	...	54.76	Total of 3 transactions
5 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	8.000	116.24	06/22/20	116.24	...	0.00	1 of 5 - Sale ²⁵
	3.000	43.59	06/22/20	43.59	...	0.00	2 of 5 - Sale ²⁵
	6.000	87.18	06/22/20	87.18	...	0.00	3 of 5 - Sale ²⁵
	10.000	145.30	06/22/20	145.30	...	0.00	4 of 5 - Sale ²⁵
	3.000	43.59	06/22/20	43.59	...	0.00	5 of 5 - Sale ²⁵
07/02/20	30.000	435.90	Various	435.90	...	0.00	Total of 5 transactions
8 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	100.000	1,452.95	06/22/20	1,453.00	0.05 W	0.00	1 of 8 - Sale ²⁵
	55.000	799.12	06/22/20	799.15	0.03 W	0.00	2 of 8 - Sale ²⁵
	13.000	188.88	06/22/20	188.89	0.01 W	0.00	3 of 8 - Sale ²⁵
	16.000	232.47	06/22/20	232.48	0.01 W	0.00	4 of 8 - Sale ²⁵
	66.000	958.95	06/22/20	958.98	0.03 W	0.00	5 of 8 - Sale ²⁵

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(continued)

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HAWAIIAN HOLDINGS, INC. COMMON STOCK / CUSIP: 419879101 / Symbol: (cont'd)							
	27.000	392.30	06/22/20	392.31	0.01 W	0.00	6 of 8 - Sale ²⁵
	61.000	886.30	06/22/20	886.33	0.03 W	0.00	7 of 8 - Sale ²⁵
	132.000	1,917.90	06/22/20	1,917.96	0.06 W	0.00	8 of 8 - Sale ²⁵
07/02/20	470.000	6,828.87	Various	6,829.10	0.23 W	0.00	Total of 8 transactions
	17 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	80.000	971.97	07/29/20	990.44	...	-18.47	1 of 17 - Sale ²⁵
	40.000	485.99	07/29/20	495.22	...	-9.23	2 of 17 - Sale ²⁵
	34.000	413.08	07/29/20	420.94	...	-7.86	3 of 17 - Sale ²⁵
	27.000	328.04	07/29/20	334.27	...	-6.23	4 of 17 - Sale ²⁵
	19.000	230.84	07/29/20	235.23	...	-4.39	5 of 17 - Sale ²⁵
	16.000	194.39	07/29/20	198.09	...	-3.70	6 of 17 - Sale ²⁵
	13.000	157.94	07/29/20	160.95	...	-3.01	7 of 17 - Sale ²⁵
	8.000	97.20	07/29/20	99.04	...	-1.84	8 of 17 - Sale ²⁵
	5.000	60.75	07/30/20	60.70	...	0.05	9 of 17 - Sale ²⁵
	1.000	12.15	07/30/20	11.85	...	0.30	10 of 17 - Sale ²⁵
	3.000	36.45	07/30/20	35.73	...	0.72	11 of 17 - Sale ²⁵
	15.000	182.24	07/30/20	177.76	...	4.48	12 of 17 - Sale ²⁵
	17.000	206.54	07/30/20	201.47	...	5.07	13 of 17 - Sale ²⁵
	20.000	242.99	07/30/20	237.01	...	5.98	14 of 17 - Sale ²⁵
	42.000	510.29	07/30/20	500.25	...	10.04	15 of 17 - Sale ²⁵
	50.000	607.48	07/30/20	595.53	...	11.95	16 of 17 - Sale ²⁵
	47.000	571.03	07/30/20	546.16	...	24.87	17 of 17 - Sale ²⁵
08/04/20	437.000	5,309.37	Various	5,300.64	...	8.73	Total of 17 transactions
	7 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	8.000	97.20	07/29/20	99.05	0.69 W	-1.16	1 of 7 - Sale ²⁵
	20.000	243.00	07/29/20	247.61	4.61 W	0.00	2 of 7 - Sale ²⁵
	20.000	243.00	07/29/20	247.61	4.61 W	0.00	3 of 7 - Sale ²⁵
	1.000	12.15	07/29/20	12.38	0.23 W	0.00	4 of 7 - Sale ²⁵
	59.000	716.82	07/29/20	730.45	13.63 W	0.00	5 of 7 - Sale ²⁵
	50.000	607.48	07/29/20	619.03	11.55 W	0.00	6 of 7 - Sale ²⁵
	5.000	60.75	07/29/20	63.05	2.30 W	0.00	7 of 7 - Sale ²⁵
08/04/20	163.000	1,980.40	Various	2,019.18	37.62 W	-1.16	Total of 7 transactions
	Security total:	40,508.70		40,061.98	38.01 W	484.73	

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IFRESH INC. COMMON STOCK / CUSIP: 449538107 / Symbol:							
<i>10 transactions for 04/15/20. Total proceeds and cost reported to the IRS.</i>							
	31.000	43.40	04/15/20	42.47	...	0.93	1 of 10 - Sale ²⁵
	31.000	43.40	04/15/20	42.32	...	1.08	2 of 10 - Sale ²⁵
	31.000	43.40	04/15/20	42.32	...	1.08	3 of 10 - Sale ²⁵
	69.000	96.59	04/15/20	94.53	...	2.06	4 of 10 - Sale ²⁵
	69.000	96.59	04/15/20	94.18	...	2.41	5 of 10 - Sale ²⁵
	69.000	96.59	04/15/20	94.18	...	2.41	6 of 10 - Sale ²⁵
	100.000	139.99	04/15/20	136.50	...	3.49	7 of 10 - Sale ²⁵
	200.000	279.98	04/15/20	274.00	...	5.98	8 of 10 - Sale ²⁵
	200.000	279.98	04/15/20	273.00	...	6.98	9 of 10 - Sale ²⁵
	200.000	279.98	04/15/20	273.00	...	6.98	10 of 10 - Sale ²⁵
04/15/20	1,000.000	1,399.90	Various	1,366.50	...	33.40	Total of 10 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
<i>5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	671.96	05/11/20	529.54	...	142.42	1 of 5 - Sale ²⁵
	100.000	335.98	06/02/20	281.00	...	54.98	2 of 5 - Sale ²⁵
	100.000	335.98	06/02/20	281.00	...	54.98	3 of 5 - Sale ²⁵
	100.000	335.98	06/02/20	281.00	...	54.98	4 of 5 - Sale ²⁵
	100.000	335.98	06/02/20	281.00	...	54.98	5 of 5 - Sale ²⁵
07/06/20	600.000	2,015.88	Various	1,653.54	...	362.34	Total of 5 transactions
<i>5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	335.98	04/09/20	450.00	114.02 W	0.00	1 of 5 - Sale ²⁵
	100.000	335.98	04/09/20	438.00	102.02 W	0.00	2 of 5 - Sale ²⁵
	125.000	419.98	04/14/20	510.00	90.02 W	0.00	3 of 5 - Sale ²⁵
	75.000	251.98	04/14/20	306.00	54.02 W	0.00	4 of 5 - Sale ²⁵
	58.000	194.87	06/30/20	263.53	68.66 W	0.00	5 of 5 - Sale ²⁵
07/06/20	458.000	1,538.79	Various	1,967.53	428.74 W	0.00	Total of 5 transactions
<i>7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	339.99	07/06/20	450.02	...	-110.03	1 of 7 - Sale ²⁵
	100.000	339.98	07/06/20	438.02	...	-98.04	2 of 7 - Sale ²⁵
	125.000	424.97	07/06/20	510.02	...	-85.05	3 of 7 - Sale ²⁵
	58.000	197.19	07/06/20	263.54	...	-66.35	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
	45.000	152.99	07/06/20	183.61	...	-30.62	5 of 7 - Sale ²⁵
	30.000	102.00	07/06/20	122.41	...	-20.41	6 of 7 - Sale ²⁵
	42.000	142.79	07/06/20	141.12	...	1.67	7 of 7 - Sale ²⁵
07/09/20	500.000	1,699.91	Various	2,108.74	...	-408.83	Total of 7 transactions
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	100.000	311.98	08/17/20	312.07	...	-0.09	1 of 2 - Sale ²⁵
	100.000	311.99	08/17/20	312.08	...	-0.09	2 of 2 - Sale ²⁵
08/17/20	200.000	623.97	Various	624.15	...	-0.18	Total of 2 transactions
5 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	200.000	623.96	08/17/20	624.00	0.04 W	0.00	1 of 5 - Sale ²⁵
	200.000	623.96	08/17/20	624.04	0.08 W	0.00	2 of 5 - Sale ²⁵
	200.000	623.96	08/17/20	624.08	0.12 W	0.00	3 of 5 - Sale ²⁵
	100.000	311.99	08/17/20	312.06	0.07 W	0.00	4 of 5 - Sale ²⁵
	100.000	311.98	08/17/20	312.06	0.08 W	0.00	5 of 5 - Sale ²⁵
08/17/20	800.000	2,495.85	Various	2,496.24	0.39 W	0.00	Total of 5 transactions
	Security total:	8,374.40		8,850.20	429.13 W	-46.67	
IROBOT CORPORATION COMMON STOC K / CUSIP: 462726100 / Symbol:							
02/19/20	45.000	2,339.94	02/18/20	2,315.25	...	24.69	Sale ²⁵
JIAYIN GROUP INC. AMERICAN DEP OSITARY SHARES / CUSIP: 47737C104 / Symbol:							
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	264.99	06/17/20	264.00	...	0.99	1 of 4 - Sale ²⁵
	75.000	397.48	06/17/20	396.00	...	1.48	2 of 4 - Sale ²⁵
	125.000	662.47	06/17/20	660.00	...	2.47	3 of 4 - Sale ²⁵
	250.000	1,324.94	06/17/20	1,320.00	...	4.94	4 of 4 - Sale ²⁵
06/17/20	500.000	2,649.88	Various	2,640.00	...	9.88	Total of 4 transactions
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
4 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	16.000	9.60	03/20/20	8.33	...	1.27	1 of 4 - Sale ²⁵
	84.000	50.37	03/20/20	43.72	...	6.65	2 of 4 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol: (cont'd)							
	100.000	59.97	03/20/20	52.05	...	7.92	3 of 4 - Sale ²⁵
	100.000	59.97	03/20/20	52.05	...	7.92	4 of 4 - Sale ²⁵
03/20/20	300.000	179.91	Various	156.15	...	23.76	Total of 4 transactions
LADDER CAPITAL CORP / CUSIP: 505743104 / Symbol:							
5 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	10.000	67.70	04/20/20	67.60	...	0.10	1 of 5 - Sale ²⁵
	60.000	406.19	04/20/20	405.60	...	0.59	2 of 5 - Sale ²⁵
	100.000	676.97	04/20/20	676.00	...	0.97	3 of 5 - Sale ²⁵
	100.000	676.97	04/20/20	676.00	...	0.97	4 of 5 - Sale ²⁵
	230.000	1,557.03	04/20/20	1,554.80	...	2.23	5 of 5 - Sale ²⁵
04/21/20	500.000	3,384.86	Various	3,380.00	...	4.86	Total of 5 transactions
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	50.000	5,029.38	07/13/20	5,025.00	...	4.38	1 of 2 - Sale ²⁵
	50.000	5,239.88	07/13/20	5,225.00	...	14.88	2 of 2 - Sale ²⁵
07/13/20	100.000	10,269.26	Various	10,250.00	...	19.26	Total of 2 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	50.000	5,239.87	07/13/20	5,255.00	15.13 W	0.00	1 of 3 - Sale ²⁵
	10.000	1,005.88	07/13/20	1,008.03	2.15 W	0.00	2 of 3 - Sale ²⁵
	40.000	4,023.50	07/13/20	4,032.10	8.60 W	0.00	3 of 3 - Sale ²⁵
07/13/20	100.000	10,269.25	Various	10,295.13	25.88 W	0.00	Total of 3 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	10.000	1,058.47	07/13/20	1,000.15	...	58.32	1 of 3 - Sale ²⁵
	40.000	4,233.90	07/13/20	4,000.60	...	233.30	2 of 3 - Sale ²⁵
	50.000	5,292.38	07/13/20	4,990.00	...	302.38	3 of 3 - Sale ²⁵
07/15/20	100.000	10,584.75	Various	9,990.75	...	594.00	Total of 3 transactions
4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	10.000	1,335.97	08/05/20	1,330.00	...	5.97	1 of 4 - Sale ²⁵
	3.000	403.49	08/05/20	391.50	...	11.99	2 of 4 - Sale ²⁵
	40.000	5,343.88	08/05/20	5,320.00	...	23.88	3 of 4 - Sale ²⁵

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(continued)

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	47.000	6,321.36	08/05/20	6,133.50	...	187.86	4 of 4 - Sale ²⁵
08/05/20	100.000	13,404.70	Various	13,175.00	...	229.70	Total of 4 transactions
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	10.000	1,339.97	08/05/20	1,305.00	...	34.97	1 of 4 - Sale ²⁵
	19.000	2,545.94	08/05/20	2,468.10	...	77.84	2 of 4 - Sale ²⁵
	31.000	4,153.90	08/05/20	4,026.90	...	127.00	3 of 4 - Sale ²⁵
	40.000	5,359.87	08/05/20	5,220.00	...	139.87	4 of 4 - Sale ²⁵
08/06/20	100.000	13,399.68	Various	13,020.00	...	379.68	Total of 4 transactions
	Security total:	57,927.64		56,730.88	25.88 W	1,222.64	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
6 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	4.000	156.00	12/31/19	156.00	...	0.00	1 of 6 - Sale ²⁵
	3.000	117.00	12/31/19	117.00	...	0.00	2 of 6 - Sale ²⁵
	5.000	194.99	12/31/19	194.60	...	0.39	3 of 6 - Sale ²⁵
	3.000	117.00	12/31/19	115.20	...	1.80	4 of 6 - Sale ²⁵
	27.000	1,052.97	12/31/19	1,036.80	...	16.17	5 of 6 - Sale ²⁵
	15.000	584.98	01/02/20	555.02	...	29.96	6 of 6 - Sale ²⁵
01/08/20	57.000	2,222.94	Various	2,174.62	...	48.32	Total of 6 transactions
01/08/20	38.000	1,481.96	12/31/19	1,482.00	0.04 W	0.00	Sale ²⁵
3 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	20.000	954.98	01/14/20	945.02	...	9.96	1 of 3 - Sale ²⁵
	30.000	1,432.46	01/14/20	1,417.50	...	14.96	2 of 3 - Sale ²⁵
	10.000	477.49	01/14/20	459.80	...	17.69	3 of 3 - Sale ²⁵
01/16/20	60.000	2,864.93	Various	2,822.32	...	42.61	Total of 3 transactions
4 transactions for 01/28/20. Total proceeds and cost reported to the IRS.							
	1.000	40.00	01/27/20	37.48	...	2.52	1 of 4 - Sale ²⁵
	1.000	40.00	01/27/20	37.48	...	2.52	2 of 4 - Sale ²⁵
	18.000	719.98	01/27/20	683.10	...	36.88	3 of 4 - Sale ²⁵
	30.000	1,199.97	01/27/20	1,126.50	...	73.47	4 of 4 - Sale ²⁵
01/28/20	50.000	1,999.95	Various	1,884.56	...	115.39	Total of 4 transactions

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(continued)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	100.000	404.98	06/10/20	391.00	...	13.98	1 of 5 - Sale ²⁵
	100.000	404.98	06/10/20	391.00	...	13.98	2 of 5 - Sale ²⁵
	100.000	404.99	06/10/20	391.00	...	13.99	3 of 5 - Sale ²⁵
	100.000	404.99	06/10/20	391.00	...	13.99	4 of 5 - Sale ²⁵
	100.000	404.99	06/10/20	391.00	...	13.99	5 of 5 - Sale ²⁵
06/10/20	500.000	2,024.93	Various	1,955.00	...	69.93	Total of 5 transactions
	Security total:	10,594.71		10,318.50	0.04 W	276.25	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
11 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	281.000	595.67	03/26/20	612.58	...	-16.91	1 of 11 - Sale ²⁵
	256.000	542.67	03/26/20	558.08	...	-15.41	2 of 11 - Sale ²⁵
	202.000	428.22	03/26/20	440.36	...	-12.14	3 of 11 - Sale ²⁵
	108.000	228.95	03/26/20	235.44	...	-6.49	4 of 11 - Sale ²⁵
	58.000	122.95	03/26/20	126.44	...	-3.49	5 of 11 - Sale ²⁵
	53.000	112.35	03/26/20	115.54	...	-3.19	6 of 11 - Sale ²⁵
	34.000	72.08	03/26/20	74.12	...	-2.04	7 of 11 - Sale ²⁵
	8.000	16.96	03/26/20	17.44	...	-0.48	8 of 11 - Sale ²⁵
	23.000	48.76	03/27/20	39.33	...	9.43	9 of 11 - Sale ²⁵
	77.000	163.23	03/27/20	131.67	...	31.56	10 of 11 - Sale ²⁵
	100.000	211.99	04/14/20	182.00	...	29.99	11 of 11 - Sale ²⁵
06/04/20	1,200.000	2,543.83	Various	2,533.00	...	10.83	Total of 11 transactions
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
5 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	5.000	74.40	04/09/20	71.00	...	3.40	1 of 5 - Sale ²⁵
	8.000	119.04	04/09/20	113.60	...	5.44	2 of 5 - Sale ²⁵
	58.000	863.01	04/09/20	823.60	...	39.41	3 of 5 - Sale ²⁵
	79.000	1,175.48	04/09/20	1,121.80	...	53.68	4 of 5 - Sale ²⁵
	150.000	2,231.94	04/09/20	2,130.00	...	101.94	5 of 5 - Sale ²⁵
04/13/20	300.000	4,463.87	Various	4,260.00	...	203.87	Total of 5 transactions

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: (cont'd)							
5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	11.000	150.81	04/16/20	150.70	...	0.11	1 of 5 - Sale ²⁵
	83.000	1,137.89	04/16/20	1,137.10	...	0.79	2 of 5 - Sale ²⁵
	100.000	1,370.95	04/16/20	1,370.00	...	0.95	3 of 5 - Sale ²⁵
	100.000	1,370.96	04/16/20	1,370.00	...	0.96	4 of 5 - Sale ²⁵
	206.000	2,824.17	04/16/20	2,822.20	...	1.97	5 of 5 - Sale ²⁵
04/16/20	500.000	6,854.78	Various	6,850.00	...	4.78	Total of 5 transactions
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	21.000	284.97	04/20/20	284.09	...	0.88	1 of 3 - Sale ²⁵
	99.000	1,343.39	04/20/20	1,339.28	...	4.11	2 of 3 - Sale ²⁵
	180.000	2,442.52	04/20/20	2,435.06	...	7.46	3 of 3 - Sale ²⁵
04/22/20	300.000	4,070.88	Various	4,058.43	...	12.45	Total of 3 transactions
	Security total:	15,389.53		15,168.43	...	221.10	
MATADOR RESOURCES COMPANY / CUSIP: 576485205 / Symbol:							
9 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	100.000	244.98	03/09/20	275.02	...	-30.04	1 of 9 - Sale ²⁵
	51.000	124.94	03/09/20	132.60	...	-7.66	2 of 9 - Sale ²⁵
	196.000	480.17	03/11/20	480.23	...	-0.06	3 of 9 - Sale ²⁵
	100.000	244.98	03/11/20	245.02	...	-0.04	4 of 9 - Sale ²⁵
	50.000	122.49	03/11/20	122.51	...	-0.02	5 of 9 - Sale ²⁵
	50.000	122.49	03/11/20	122.51	...	-0.02	6 of 9 - Sale ²⁵
	4.000	9.80	03/11/20	9.80	...	0.00	7 of 9 - Sale ²⁵
	50.000	122.49	03/11/20	103.51	...	18.98	8 of 9 - Sale ²⁵
	150.000	367.48	03/11/20	310.53	...	56.95	9 of 9 - Sale ²⁵
03/24/20	751.000	1,839.82	Various	1,801.73	...	38.09	Total of 9 transactions
5 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	199.000	487.52	03/09/20	517.40	7.51 W	-22.37	1 of 5 - Sale ²⁵
	200.000	489.96	03/09/20	520.00	30.04 W	0.00	2 of 5 - Sale ²⁵
	50.000	122.49	03/09/20	130.00	7.51 W	0.00	3 of 5 - Sale ²⁵
	200.000	489.97	03/09/20	520.00	30.03 W	0.00	4 of 5 - Sale ²⁵
	200.000	489.96	03/09/20	520.00	30.04 W	0.00	5 of 5 - Sale ²⁵
03/24/20	849.000	2,079.90	Various	2,207.40	105.13 W	-22.37	Total of 5 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MATADOR RESOURCES COMPANY / CUSIP: 576485205 / Symbol: (cont'd)							
Security total:		3,919.72		4,009.13		105.13 W	15.72
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	16.000	2,655.94	01/16/20	2,633.28	...	22.66	1 of 3 - Sale ²⁵
	34.000	5,643.87	01/16/20	5,595.72	...	48.15	2 of 3 - Sale ²⁵
	0.173	28.71	03/16/20	25.50	...	3.21	3 of 3 - Sale ²⁵
04/06/20	50.173	8,328.52	Various	8,254.50	...	74.02	Total of 3 transactions
3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	7.000	1,210.97	04/23/20	1,207.50	...	3.47	1 of 3 - Sale ²⁵
	45.000	7,784.82	04/23/20	7,762.50	...	22.32	2 of 3 - Sale ²⁵
	48.000	8,303.81	04/23/20	8,280.00	...	23.81	3 of 3 - Sale ²⁵
04/23/20	100.000	17,299.60	Various	17,250.00	...	49.60	Total of 3 transactions
3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	2.000	343.09	04/24/20	342.30	...	0.79	1 of 3 - Sale ²⁵
	3.000	514.63	04/24/20	513.45	...	1.18	2 of 3 - Sale ²⁵
	95.000	16,296.88	04/24/20	16,259.25	...	37.63	3 of 3 - Sale ²⁵
04/24/20	100.000	17,154.60	Various	17,115.00	...	39.60	Total of 3 transactions
8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	60.000	10,938.94	04/29/20	11,160.00	...	-221.06	1 of 8 - Sale ²⁵
	30.000	5,469.47	04/29/20	5,580.00	...	-110.53	2 of 8 - Sale ²⁵
	10.000	1,823.15	04/29/20	1,860.00	...	-36.85	3 of 8 - Sale ²⁵
	100.000	18,249.58	04/29/20	18,200.00	...	49.58	4 of 8 - Sale ²⁵
	100.000	17,999.59	04/29/20	17,950.00	...	49.59	5 of 8 - Sale ²⁵
	100.000	17,999.59	04/29/20	17,950.00	...	49.59	6 of 8 - Sale ²⁵
	100.000	17,999.59	04/29/20	17,940.00	...	59.59	7 of 8 - Sale ²⁵
	100.000	18,099.58	04/29/20	17,610.00	...	489.58	8 of 8 - Sale ²⁵
04/29/20	600.000	108,579.49	Various	108,250.00	...	329.49	Total of 8 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	21.000	4,086.08	06/17/20	4,079.41	...	6.67	1 of 2 - Sale ²⁵
	79.000	15,371.47	06/17/20	15,346.33	...	25.14	2 of 2 - Sale ²⁵
06/17/20	100.000	19,457.55	Various	19,425.74	...	31.81	Total of 2 transactions
07/27/20	60.000	12,157.68	07/23/20	12,150.00	...	7.68	Sale ²⁵

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(continued)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
Security total:		182,977.44		182,445.24	...		532.20
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
4 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	10.000	565.19	01/31/20	537.90	...	27.29	1 of 4 - Sale ²⁵
	10.000	565.18	01/31/20	534.00	...	31.18	2 of 4 - Sale ²⁵
	10.000	565.19	01/31/20	532.00	...	33.19	3 of 4 - Sale ²⁵
	50.000	2,825.93	01/31/20	2,705.00	...	120.93	4 of 4 - Sale ²⁵
02/05/20	80.000	4,521.49	Various	4,308.90	...	212.59	Total of 4 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
06/04/20	100.000	598.97	01/22/20	553.00	...	45.97	Sale ²⁵
11/04/20	100.000	3,929.90	11/04/20	3,650.00	...	279.90	Sale ²⁵
11/13/20	500.000	26,099.36	11/13/20	26,000.00	...	99.36	Sale ²⁵
21 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	218.000	11,619.11	11/13/20	11,619.40	0.29 W	0.00	1 of 21 - Sale ²⁵
	70.000	3,730.91	11/13/20	3,731.00	0.09 W	0.00	2 of 21 - Sale ²⁵
	112.000	5,969.45	11/13/20	5,969.60	0.15 W	0.00	3 of 21 - Sale ²⁵
	1.000	49.02	11/13/20	52.80	3.78 W	0.00	4 of 21 - Sale ²⁵
	2.000	98.04	11/13/20	105.60	7.56 W	0.00	5 of 21 - Sale ²⁵
	6.000	294.12	11/13/20	316.81	22.69 W	0.00	6 of 21 - Sale ²⁵
	1.000	49.02	11/13/20	52.80	3.78 W	0.00	7 of 21 - Sale ²⁵
	1.000	49.02	11/13/20	52.80	3.78 W	0.00	8 of 21 - Sale ²⁵
	70.000	3,431.47	11/13/20	3,696.09	264.62 W	0.00	9 of 21 - Sale ²⁵
	112.000	5,490.35	11/13/20	5,913.75	423.40 W	0.00	10 of 21 - Sale ²⁵
	207.000	10,147.34	11/13/20	10,929.88	782.54 W	0.00	11 of 21 - Sale ²⁵
	1.000	50.18	11/13/20	53.09	2.91 W	0.00	12 of 21 - Sale ²⁵
	1.000	50.18	11/13/20	53.09	2.91 W	0.00	13 of 21 - Sale ²⁵
	1.000	50.18	11/13/20	53.09	2.91 W	0.00	14 of 21 - Sale ²⁵
	2.000	100.36	11/13/20	106.18	5.82 W	0.00	15 of 21 - Sale ²⁵
	6.000	301.08	11/13/20	318.55	17.47 W	0.00	16 of 21 - Sale ²⁵
	129.000	6,473.25	11/13/20	6,848.66	375.41 W	0.00	17 of 21 - Sale ²⁵
	52.000	2,609.37	11/13/20	2,760.70	151.33 W	0.00	18 of 21 - Sale ²⁵
	70.000	3,512.62	11/13/20	3,716.32	203.70 W	0.00	19 of 21 - Sale ²⁵

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	78.000	3,914.06	11/13/20	4,141.05	226.99 W	0.00	20 of 21 - Sale ²⁵
	60.000	3,010.82	11/13/20	3,185.42	174.60 W	0.00	21 of 21 - Sale ²⁵
11/13/20	1,200.000	60,999.95	Various	63,676.68	2,676.73 W	0.00	Total of 21 transactions
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	53.02	11/24/20	52.86	...	0.16	1 of 3 - Sale ²⁵
	100.000	5,309.87	11/24/20	5,300.00	...	9.87	2 of 3 - Sale ²⁵
	99.000	5,248.85	11/24/20	5,233.14	...	15.71	3 of 3 - Sale ²⁵
11/24/20	200.000	10,611.74	Various	10,586.00	...	25.74	Total of 3 transactions
	24 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	53.02	11/24/20	55.77	2.75 W	0.00	1 of 24 - Sale ²⁵
	1.000	53.02	11/24/20	55.77	2.75 W	0.00	2 of 24 - Sale ²⁵
	1.000	53.02	11/24/20	55.77	2.75 W	0.00	3 of 24 - Sale ²⁵
	2.000	106.04	11/24/20	111.54	5.50 W	0.00	4 of 24 - Sale ²⁵
	6.000	318.11	11/24/20	334.63	16.52 W	0.00	5 of 24 - Sale ²⁵
	52.000	2,756.97	11/24/20	2,900.05	143.08 W	0.00	6 of 24 - Sale ²⁵
	60.000	3,181.12	11/24/20	3,346.20	165.08 W	0.00	7 of 24 - Sale ²⁵
	70.000	3,711.31	11/24/20	3,903.90	192.59 W	0.00	8 of 24 - Sale ²⁵
	78.000	4,135.46	11/24/20	4,350.07	214.61 W	0.00	9 of 24 - Sale ²⁵
	129.000	6,839.41	11/24/20	7,194.35	354.94 W	0.00	10 of 24 - Sale ²⁵
	1.000	53.10	11/24/20	55.75	2.65 W	0.00	11 of 24 - Sale ²⁵
	1.000	53.10	11/24/20	55.75	2.65 W	0.00	12 of 24 - Sale ²⁵
	2.000	106.20	11/24/20	111.50	5.30 W	0.00	13 of 24 - Sale ²⁵
	6.000	318.58	11/24/20	334.52	15.94 W	0.00	14 of 24 - Sale ²⁵
	1.000	53.10	11/24/20	55.75	2.65 W	0.00	15 of 24 - Sale ²⁵
	88.000	4,672.68	11/24/20	4,906.13	233.45 W	0.00	16 of 24 - Sale ²⁵
	49.000	2,601.83	11/24/20	2,731.82	129.99 W	0.00	17 of 24 - Sale ²⁵
	41.000	2,177.05	11/24/20	2,285.81	108.76 W	0.00	18 of 24 - Sale ²⁵
	11.000	584.08	11/24/20	613.27	29.19 W	0.00	19 of 24 - Sale ²⁵
	12.000	637.18	11/24/20	669.02	31.84 W	0.00	20 of 24 - Sale ²⁵
	70.000	3,716.91	11/24/20	3,902.59	185.68 W	0.00	21 of 24 - Sale ²⁵
	18.000	955.78	11/24/20	1,003.52	47.74 W	0.00	22 of 24 - Sale ²⁵
	40.000	2,123.95	11/24/20	2,230.06	106.11 W	0.00	23 of 24 - Sale ²⁵
	60.000	3,185.92	11/24/20	3,345.08	159.16 W	0.00	24 of 24 - Sale ²⁵
11/24/20	800.000	42,446.94	Various	44,608.62	2,161.68 W	0.00	Total of 24 transactions

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
Security total:		144,686.86		149,074.30	4,838.41 W	450.97	
NEKTAR THERAPEUTICS COMMON STOCK / CUSIP: 640268108 / Symbol:							
7 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	1.000	21.63	12/31/19	21.55	...	0.08	1 of 7 - Sale ²⁵
	12.000	259.56	12/31/19	258.90	...	0.66	2 of 7 - Sale ²⁵
	10.000	216.29	12/31/19	215.60	...	0.69	3 of 7 - Sale ²⁵
	10.000	216.29	12/31/19	215.60	...	0.69	4 of 7 - Sale ²⁵
	9.000	194.67	12/31/19	193.95	...	0.72	5 of 7 - Sale ²⁵
	18.000	389.33	12/31/19	388.36	...	0.97	6 of 7 - Sale ²⁵
	20.000	432.59	12/31/19	431.40	...	1.19	7 of 7 - Sale ²⁵
01/08/20	80.000	1,730.36	Various	1,725.36	...	5.00	Total of 7 transactions
3 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	49.000	972.13	01/27/20	997.15	...	-25.02	1 of 3 - Sale ²⁵
	19.000	376.95	01/27/20	381.71	...	-4.76	2 of 3 - Sale ²⁵
	1.000	19.84	01/27/20	20.60	...	-0.76	3 of 3 - Sale ²⁵
01/27/20	69.000	1,368.92	Various	1,399.46	...	-30.54	Total of 3 transactions
01/27/20	1.000	19.84	01/27/20	20.35	0.51 W	0.00	Sale ²⁵
Security total:		3,119.12		3,145.17	0.51 W	-25.54	
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
17 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	5.97	04/09/20	5.95	...	0.02	1 of 17 - Sale ²⁵
	1.000	5.97	04/09/20	5.95	...	0.02	2 of 17 - Sale ²⁵
	1.000	5.97	04/09/20	5.95	...	0.02	3 of 17 - Sale ²⁵
	1.000	5.97	04/09/20	5.95	...	0.02	4 of 17 - Sale ²⁵
	1.000	5.97	04/09/20	5.95	...	0.02	5 of 17 - Sale ²⁵
	2.000	11.94	04/09/20	11.90	...	0.04	6 of 17 - Sale ²⁵
	2.000	11.94	04/09/20	11.90	...	0.04	7 of 17 - Sale ²⁵
	2.000	11.94	04/09/20	11.90	...	0.04	8 of 17 - Sale ²⁵
	3.000	17.91	04/09/20	17.85	...	0.06	9 of 17 - Sale ²⁵
	4.000	23.88	04/09/20	23.80	...	0.08	10 of 17 - Sale ²⁵
	5.000	29.85	04/09/20	29.75	...	0.10	11 of 17 - Sale ²⁵
	5.000	29.85	04/09/20	29.75	...	0.10	12 of 17 - Sale ²⁵

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	6.000	35.82	04/09/20	35.70	...	0.12	13 of 17 - Sale ²⁵
	7.000	41.79	04/09/20	41.65	...	0.14	14 of 17 - Sale ²⁵
	40.000	238.80	04/09/20	238.00	...	0.80	15 of 17 - Sale ²⁵
	160.000	955.15	04/09/20	952.00	...	3.15	16 of 17 - Sale ²⁵
	259.000	1,546.16	04/09/20	1,541.05	...	5.11	17 of 17 - Sale ²⁵
04/21/20	500.000	2,984.88	Various	2,975.00	...	9.88	Total of 17 transactions
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
04/14/20	1,000.000	2,139.83	03/26/20	2,100.00	...	39.83	Sale ²⁵
04/16/20	1,000.000	1,999.83	04/16/20	2,000.00	...	-0.17	Sale ²⁵
	Security total:	4,139.66		4,100.00	...	39.66	
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
<i>7 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	37.98	03/20/20	37.80	...	0.18	1 of 7 - Sale ²⁵
	100.000	37.98	03/20/20	37.80	...	0.18	2 of 7 - Sale ²⁵
	100.000	37.98	03/20/20	37.80	...	0.18	3 of 7 - Sale ²⁵
	100.000	37.98	03/20/20	37.80	...	0.18	4 of 7 - Sale ²⁵
	164.000	62.28	03/20/20	61.99	...	0.29	5 of 7 - Sale ²⁵
	200.000	75.95	03/20/20	75.60	...	0.35	6 of 7 - Sale ²⁵
	2,236.000	849.16	03/20/20	845.21	...	3.95	7 of 7 - Sale ²⁵
03/20/20	3,000.000	1,139.31	Various	1,134.00	...	5.31	Total of 7 transactions
OCCIDENTAL PETROLEUM CORPORATION / CUSIP: 674599105 / Symbol:							
<i>11 transactions for 04/20/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	152.64	04/20/20	150.00	...	2.64	1 of 11 - Sale ²⁵
	40.000	516.78	04/20/20	503.20	...	13.58	2 of 11 - Sale ²⁵
	40.000	516.78	04/20/20	499.20	...	17.58	3 of 11 - Sale ²⁵
	88.000	1,119.32	04/20/20	1,100.00	...	19.32	4 of 11 - Sale ²⁵
	90.000	1,144.76	04/20/20	1,125.00	...	19.76	5 of 11 - Sale ²⁵
	60.000	775.18	04/20/20	754.80	...	20.38	6 of 11 - Sale ²⁵
	110.000	1,399.16	04/20/20	1,375.00	...	24.16	7 of 11 - Sale ²⁵
	60.000	775.17	04/20/20	748.80	...	26.37	8 of 11 - Sale ²⁵
	100.000	1,291.96	04/20/20	1,258.00	...	33.96	9 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol: (cont'd)							
	200.000	2,543.92	04/20/20	2,500.00	...	43.92	10 of 11 - Sale ²⁵
	100.000	1,291.96	04/20/20	1,247.88	...	44.08	11 of 11 - Sale ²⁵
04/20/20	900.000	11,527.63	Various	11,261.88	...	265.75	Total of 11 transactions
	3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	150.000	1,961.94	04/20/20	1,900.32	...	61.62	1 of 3 - Sale ²⁵
	200.000	2,615.92	04/20/20	2,550.00	...	65.92	2 of 3 - Sale ²⁵
	150.000	1,961.93	04/21/20	1,785.00	...	176.93	3 of 3 - Sale ²⁵
04/22/20	500.000	6,539.79	Various	6,235.32	...	304.47	Total of 3 transactions
	Security total:	18,067.42		17,497.20	...	570.22	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
08/26/20	100.000	10,849.75	08/26/20	10,700.00	...	149.75	Sale ²⁵
	3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	100.000	9,660.78	08/27/20	10,200.00	...	-539.22	1 of 3 - Sale ²⁵
	45.000	4,814.89	08/27/20	4,770.00	...	44.89	2 of 3 - Sale ²⁵
	55.000	5,884.86	08/27/20	5,830.00	...	54.86	3 of 3 - Sale ²⁵
08/27/20	200.000	20,360.53	Various	20,800.00	...	-439.47	Total of 3 transactions
	6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	40.000	2,191.94	11/09/20	2,190.00	...	1.94	1 of 6 - Sale ²⁵
	60.000	3,287.92	11/09/20	3,285.00	...	2.92	2 of 6 - Sale ²⁵
	100.000	5,479.86	11/09/20	5,475.00	...	4.86	3 of 6 - Sale ²⁵
	100.000	5,479.86	11/09/20	5,475.00	...	4.86	4 of 6 - Sale ²⁵
	100.000	5,479.86	11/09/20	5,475.00	...	4.86	5 of 6 - Sale ²⁵
	100.000	5,479.86	11/09/20	5,475.00	...	4.86	6 of 6 - Sale ²⁵
11/09/20	500.000	27,399.30	Various	27,375.00	...	24.30	Total of 6 transactions
	Security total:	58,609.58		58,875.00	...	-265.42	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
	32 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	30.000	893.98	11/27/20	892.52	...	1.46	1 of 32 - Sale ²⁵
	42.000	1,270.47	11/27/20	1,268.40	...	2.07	2 of 32 - Sale ²⁵
	70.000	2,085.94	11/27/20	2,082.56	...	3.38	3 of 32 - Sale ²⁵
	100.000	2,979.92	11/27/20	2,975.16	...	4.76	4 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	100.000	2,979.92	11/27/20	2,975.08	...	4.84	5 of 32 - Sale ²⁵
	100.000	2,979.92	11/27/20	2,975.08	...	4.84	6 of 32 - Sale ²⁵
	100.000	3,024.92	11/27/20	3,020.00	...	4.92	7 of 32 - Sale ²⁵
	100.000	2,979.92	11/27/20	2,975.00	...	4.92	8 of 32 - Sale ²⁵
	37.000	1,109.23	11/27/20	1,102.60	...	6.63	9 of 32 - Sale ²⁵
	100.000	2,977.92	11/27/20	2,970.00	...	7.92	10 of 32 - Sale ²⁵
	100.000	2,977.92	11/27/20	2,970.00	...	7.92	11 of 32 - Sale ²⁵
	100.000	2,977.92	11/27/20	2,970.00	...	7.92	12 of 32 - Sale ²⁵
	100.000	2,977.92	11/27/20	2,970.00	...	7.92	13 of 32 - Sale ²⁵
	100.000	2,977.93	11/27/20	2,970.00	...	7.93	14 of 32 - Sale ²⁵
	63.000	1,888.69	11/27/20	1,877.40	...	11.29	15 of 32 - Sale ²⁵
	358.000	10,829.22	11/27/20	10,811.60	...	17.62	16 of 32 - Sale ²⁵
	100.000	2,997.92	11/27/20	2,980.00	...	17.92	17 of 32 - Sale ²⁵
	100.000	2,997.92	11/27/20	2,980.00	...	17.92	18 of 32 - Sale ²⁵
	100.000	2,997.92	11/27/20	2,980.00	...	17.92	19 of 32 - Sale ²⁵
	100.000	2,997.92	11/27/20	2,980.00	...	17.92	20 of 32 - Sale ²⁵
	40.000	1,228.17	11/27/20	1,210.00	...	18.17	21 of 32 - Sale ²⁵
	60.000	1,842.25	11/27/20	1,815.00	...	27.25	22 of 32 - Sale ²⁵
	100.000	3,070.42	11/27/20	3,025.00	...	45.42	23 of 32 - Sale ²⁵
	100.000	3,070.42	11/27/20	3,025.00	...	45.42	24 of 32 - Sale ²⁵
	100.000	3,070.42	11/27/20	3,025.00	...	45.42	25 of 32 - Sale ²⁵
	100.000	3,070.42	11/27/20	3,025.00	...	45.42	26 of 32 - Sale ²⁵
	100.000	3,117.46	11/27/20	3,060.00	...	57.46	27 of 32 - Sale ²⁵
	100.000	3,117.47	11/27/20	3,060.00	...	57.47	28 of 32 - Sale ²⁵
	100.000	3,117.47	11/27/20	3,060.00	...	57.47	29 of 32 - Sale ²⁵
	100.000	3,117.47	11/27/20	3,060.00	...	57.47	30 of 32 - Sale ²⁵
	500.000	16,249.58	11/27/20	16,069.55	...	180.03	31 of 32 - Sale ²⁵
	500.000	15,089.60	11/27/20	14,865.00	...	224.60	32 of 32 - Sale ²⁵
11/27/20	3,900.000	119,064.57	Various	118,024.95	...	1,039.62	Total of 32 transactions
5 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	100.000	3,019.92	11/27/20	3,020.00	0.08 W	0.00	1 of 5 - Sale ²⁵
	100.000	3,019.92	11/27/20	3,020.00	0.16 W	0.00	2 of 5 - Sale ²⁵
	100.000	3,019.92	11/27/20	3,020.00	0.08 W	0.00	3 of 5 - Sale ²⁵
	100.000	3,019.92	11/27/20	3,020.00	0.08 W	0.00	4 of 5 - Sale ²⁵

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	100.000	3,019.92	11/27/20	3,020.00	0.08 W	0.00	5 of 5 - Sale ²⁵
11/27/20	500.000	15,099.60	Various	15,100.08	0.48 W	0.00	Total of 5 transactions
	Security total:	134,164.17		133,125.03	0.48 W	1,039.62	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
3 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	1.000	31.73	01/29/20	31.60	...	0.13	1 of 3 - Sale ²⁵
	11.000	349.02	01/29/20	347.60	...	1.42	2 of 3 - Sale ²⁵
	38.000	1,205.71	01/29/20	1,200.80	...	4.91	3 of 3 - Sale ²⁵
01/30/20	50.000	1,586.46	Various	1,580.00	...	6.46	Total of 3 transactions
PHUNWARE, INC. COMMON STOCK / CUSIP: 71948P100 / Symbol:							
5 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	100.000	99.98	01/08/20	121.00	...	-21.02	1 of 5 - Sale ²⁵
	100.000	99.99	01/08/20	121.00	...	-21.01	2 of 5 - Sale ²⁵
	100.000	99.99	01/08/20	121.00	...	-21.01	3 of 5 - Sale ²⁵
	100.000	99.99	01/08/20	121.00	...	-21.01	4 of 5 - Sale ²⁵
	100.000	99.99	01/10/20	115.00	...	-15.01	5 of 5 - Sale ²⁵
01/27/20	500.000	499.94	Various	599.00	...	-99.06	Total of 5 transactions
10 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	27.000	31.05	06/11/20	30.78	...	0.27	1 of 10 - Sale ²⁵
	73.000	83.58	06/11/20	83.22	...	0.36	2 of 10 - Sale ²⁵
	100.000	114.48	06/11/20	114.00	...	0.48	3 of 10 - Sale ²⁵
	108.000	123.65	06/11/20	123.12	...	0.53	4 of 10 - Sale ²⁵
	73.000	83.94	06/11/20	83.22	...	0.72	5 of 10 - Sale ²⁵
	100.000	114.99	06/11/20	114.00	...	0.99	6 of 10 - Sale ²⁵
	100.000	114.99	06/11/20	114.00	...	0.99	7 of 10 - Sale ²⁵
	100.000	114.99	06/11/20	114.00	...	0.99	8 of 10 - Sale ²⁵
	100.000	114.99	06/11/20	114.00	...	0.99	9 of 10 - Sale ²⁵
	119.000	136.84	06/11/20	135.66	...	1.18	10 of 10 - Sale ²⁵
06/11/20	900.000	1,033.50	Various	1,026.00	...	7.50	Total of 10 transactions
	Security total:	1,533.44		1,625.00	...	-91.56	

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(continued)

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PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
01/13/20	100.000	1,961.95	01/13/20	1,953.00	...	8.95	Sale ²⁵
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	100.000	2,669.28	12/11/20	2,692.00	22.72 W	0.00	1 of 2 - Sale ²⁵
	100.000	2,669.28	12/11/20	2,692.00	22.72 W	0.00	2 of 2 - Sale ²⁵
12/11/20	200.000	5,338.56	Various	5,384.00	45.44 W	0.00	Total of 2 transactions
4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	100.000	3,599.90	12/24/20	3,612.72	...	-12.82	1 of 4 - Sale ²⁵
	59.000	2,123.94	12/24/20	2,131.50	...	-7.56	2 of 4 - Sale ²⁵
	41.000	1,475.96	12/24/20	1,481.22	...	-5.26	3 of 4 - Sale ²⁵
	100.000	3,599.91	12/24/20	3,590.00	...	9.91	4 of 4 - Sale ²⁵
12/24/20	300.000	10,799.71	Various	10,815.44	...	-15.73	Total of 4 transactions
	Security total:	16,138.27		16,199.44	45.44 W	-15.73	
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
04/14/20	700.000	1,140.89	04/14/20	1,092.00	...	48.89	Sale ²⁵
PROGYNY, INC. COMMON STOCK / CUSIP: 74340E103 / Symbol:							
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	39.000	1,123.17	02/25/20	1,123.20	...	-0.03	1 of 3 - Sale ²⁵
	1.000	28.80	02/25/20	28.80	...	0.00	2 of 3 - Sale ²⁵
	10.000	287.99	02/26/20	270.00	...	17.99	3 of 3 - Sale ²⁵
03/04/20	50.000	1,439.96	Various	1,422.00	...	17.96	Total of 3 transactions
REDWOOD TRUST, INC. / CUSIP: 758075402 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	200.000	1,121.95	05/26/20	1,076.00	...	45.95	1 of 2 - Sale ²⁵
	300.000	1,682.92	05/26/20	1,614.00	...	68.92	2 of 2 - Sale ²⁵
05/27/20	500.000	2,804.87	Various	2,690.00	...	114.87	Total of 2 transactions
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	4.000	30.60	06/09/20	30.56	...	0.04	1 of 6 - Sale ²⁵
	32.000	244.79	06/09/20	244.11	...	0.68	2 of 6 - Sale ²⁵
	96.000	734.37	06/09/20	733.44	...	0.93	3 of 6 - Sale ²⁵

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(continued)

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REDWOOD TRUST, INC. / CUSIP: 758075402 / Symbol: (cont'd)							
	100.000	764.97	06/09/20	764.00	...	0.97	4 of 6 - Sale ²⁵
	200.000	1,529.94	06/09/20	1,527.66	...	2.28	5 of 6 - Sale ²⁵
	100.000	764.97	06/09/20	762.00	...	2.97	6 of 6 - Sale ²⁵
06/16/20	532.000	4,069.64	Various	4,061.77	...	7.87	Total of 6 transactions
	8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	32.000	244.80	06/09/20	245.76	0.96 W	0.00	1 of 8 - Sale ²⁵
	68.000	520.18	06/09/20	522.24	2.06 W	0.00	2 of 8 - Sale ²⁵
	32.000	244.79	06/09/20	246.72	1.93 W	0.00	3 of 8 - Sale ²⁵
	68.000	520.18	06/09/20	522.24	2.06 W	0.00	4 of 8 - Sale ²⁵
	32.000	244.79	06/09/20	246.04	1.25 W	0.00	5 of 8 - Sale ²⁵
	68.000	520.18	06/09/20	520.78	0.60 W	0.00	6 of 8 - Sale ²⁵
	68.000	520.18	06/09/20	520.78	0.60 W	0.00	7 of 8 - Sale ²⁵
	100.000	764.97	06/09/20	765.83	0.86 W	0.00	8 of 8 - Sale ²⁵
06/16/20	468.000	3,580.07	Various	3,590.39	10.32 W	0.00	Total of 8 transactions
	10 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	32.000	224.63	06/18/20	225.91	...	-1.28	1 of 10 - Sale ²⁵
	68.000	477.34	06/18/20	477.98	...	-0.64	2 of 10 - Sale ²⁵
	68.000	477.34	06/18/20	477.96	...	-0.62	3 of 10 - Sale ²⁵
	41.000	287.81	06/18/20	288.19	...	-0.38	4 of 10 - Sale ²⁵
	32.000	224.63	06/18/20	224.93	...	-0.30	5 of 10 - Sale ²⁵
	17.000	119.34	06/18/20	119.50	...	-0.16	6 of 10 - Sale ²⁵
	10.000	70.20	06/18/20	70.29	...	-0.09	7 of 10 - Sale ²⁵
	193.000	1,354.80	06/18/20	1,354.86	...	-0.06	8 of 10 - Sale ²⁵
	148.000	1,038.92	06/18/20	1,038.96	...	-0.04	9 of 10 - Sale ²⁵
	32.000	224.63	06/18/20	224.65	...	-0.02	10 of 10 - Sale ²⁵
06/18/20	641.000	4,499.64	Various	4,503.23	...	-3.59	Total of 10 transactions
	9 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	32.000	224.63	06/18/20	225.89	1.26 W	0.00	1 of 9 - Sale ²⁵
	27.000	189.54	06/18/20	189.78	0.24 W	0.00	2 of 9 - Sale ²⁵
	41.000	287.81	06/18/20	288.18	0.37 W	0.00	3 of 9 - Sale ²⁵
	68.000	477.34	06/18/20	477.96	0.62 W	0.00	4 of 9 - Sale ²⁵
	32.000	224.63	06/18/20	224.92	0.29 W	0.00	5 of 9 - Sale ²⁵
	32.000	224.63	06/18/20	225.90	1.27 W	0.00	6 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
REDWOOD TRUST, INC. / CUSIP: 758075402 / Symbol: (cont'd)							
	27.000	189.53	06/18/20	189.78	0.25 W	0.00	7 of 9 - Sale ²⁵
	68.000	477.34	06/18/20	477.94	0.60 W	0.00	8 of 9 - Sale ²⁵
	32.000	224.63	06/18/20	224.64	0.01 W	0.00	9 of 9 - Sale ²⁵
06/18/20	359.000	2,520.08	Various	2,524.99	4.91 W	0.00	Total of 9 transactions
	Security total:	17,474.30		17,370.38	15.23 W	119.15	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
	4 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	1.000	137.00	01/09/20	133.68	...	3.32	1 of 4 - Sale ²⁵
	39.000	5,342.88	01/09/20	5,213.52	...	129.36	2 of 4 - Sale ²⁵
	10.000	1,369.97	01/10/20	1,306.00	...	63.97	3 of 4 - Sale ²⁵
	20.000	2,739.94	01/10/20	2,596.00	...	143.94	4 of 4 - Sale ²⁵
01/14/20	70.000	9,589.79	Various	9,249.20	...	340.59	Total of 4 transactions
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
	2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	100.000	144.00	03/09/20	137.00	...	7.00	1 of 2 - Sale ²⁵
	900.000	1,296.04	03/09/20	1,233.00	...	63.04	2 of 2 - Sale ²⁵
03/10/20	1,000.000	1,440.04	Various	1,370.00	...	70.04	Total of 2 transactions
	8 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	1,442.000	1,816.70	03/27/20	1,816.92	...	-0.22	1 of 8 - Sale ²⁵
	396.000	498.91	03/27/20	498.96	...	-0.05	2 of 8 - Sale ²⁵
	100.000	125.99	03/27/20	126.00	...	-0.01	3 of 8 - Sale ²⁵
	1.000	1.26	03/27/20	1.26	...	0.00	4 of 8 - Sale ²⁵
	46.000	57.96	03/27/20	57.96	...	0.00	5 of 8 - Sale ²⁵
	3.000	3.78	03/27/20	3.78	...	0.00	6 of 8 - Sale ²⁵
	8.000	10.08	03/27/20	10.08	...	0.00	7 of 8 - Sale ²⁵
	4.000	5.04	03/27/20	5.04	...	0.00	8 of 8 - Sale ²⁵
03/27/20	2,000.000	2,519.72	Various	2,520.00	...	-0.28	Total of 8 transactions
	Security total:	3,959.76		3,890.00	...	69.76	

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
02/05/20	50.000	2,294.95	01/24/20	2,292.00	...	2.95	Sale ²⁵
	2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	2.000	91.34	02/10/20	90.28	...	1.06	1 of 2 - Sale ²⁵
	34.000	1,552.74	02/10/20	1,533.40	...	19.34	2 of 2 - Sale ²⁵
02/11/20	36.000	1,644.08	Various	1,623.68	...	20.40	Total of 2 transactions
	3 transactions for 03/09/20. Total proceeds and cost reported to the IRS.						
	49.000	2,278.44	02/26/20	2,291.73	...	-13.29	1 of 3 - Sale ²⁵
	19.000	883.47	02/26/20	888.63	...	-5.16	2 of 3 - Sale ²⁵
	1.000	46.50	02/26/20	47.04	...	-0.54	3 of 3 - Sale ²⁵
03/09/20	69.000	3,208.41	Various	3,227.40	...	-18.99	Total of 3 transactions
03/09/20	1.000	46.50	02/26/20	46.77	0.27 W	0.00	Sale ²⁵
04/20/20	200.000	10,911.73	04/17/20	10,814.00	...	97.73	Sale ²⁵
	3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	20.000	1,047.97	04/21/20	1,040.00	...	7.97	1 of 3 - Sale ²⁵
	32.000	1,676.76	04/21/20	1,664.00	...	12.76	2 of 3 - Sale ²⁵
	48.000	2,515.13	04/21/20	2,496.00	...	19.13	3 of 3 - Sale ²⁵
04/21/20	100.000	5,239.86	Various	5,200.00	...	39.86	Total of 3 transactions
	2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	74.000	3,958.90	04/28/20	3,920.52	...	38.38	1 of 2 - Sale ²⁵
	126.000	6,740.84	04/28/20	6,675.48	...	65.36	2 of 2 - Sale ²⁵
04/28/20	200.000	10,699.74	Various	10,596.00	...	103.74	Total of 2 transactions
04/28/20	200.000	10,659.74	04/28/20	10,660.00	0.13 W	-0.13	Sale ²⁵
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	62.000	4,695.76	05/27/20	4,712.08	16.32 W	0.00	1 of 2 - Sale ²⁵
	38.000	2,878.05	05/27/20	2,888.05	10.00 W	0.00	2 of 2 - Sale ²⁵
05/27/20	100.000	7,573.81	Various	7,600.13	26.32 W	0.00	Total of 2 transactions
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	38.000	3,961.79	06/18/20	3,941.10	...	20.69	1 of 2 - Sale ²⁵
	62.000	6,463.98	06/18/20	6,430.22	...	33.76	2 of 2 - Sale ²⁵
06/18/20	100.000	10,425.77	Various	10,371.32	...	54.45	Total of 2 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: (cont'd)							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	16.000	1,940.92	07/13/20	1,856.00	...	84.92	1 of 2 - Sale ²⁵
	34.000	4,124.44	07/13/20	4,035.56	...	88.88	2 of 2 - Sale ²⁵
07/30/20	50.000	6,065.36	Various	5,891.56	...	173.80	Total of 2 transactions
6 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	15.000	1,819.61	07/09/20	1,860.00	40.39 W	0.00	1 of 6 - Sale ²⁵
	1.000	121.31	07/09/20	124.00	2.69 W	0.00	2 of 6 - Sale ²⁵
	34.000	4,124.44	07/09/20	4,216.00	91.56 W	0.00	3 of 6 - Sale ²⁵
	1.000	121.31	07/09/20	126.69	5.38 W	0.00	4 of 6 - Sale ²⁵
	15.000	1,819.61	07/09/20	1,900.39	80.78 W	0.00	5 of 6 - Sale ²⁵
	34.000	4,124.44	07/09/20	4,216.00	91.56 W	0.00	6 of 6 - Sale ²⁵
07/30/20	100.000	12,130.72	Various	12,443.08	312.36 W	0.00	Total of 6 transactions
13 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	174.49	08/06/20	145.51	...	28.98	1 of 13 - Sale ²⁵
	15.000	2,617.38	08/06/20	2,182.73	...	434.65	2 of 13 - Sale ²⁵
	34.000	5,932.72	08/06/20	4,855.98	...	1,076.74	3 of 13 - Sale ²⁵
	50.000	8,724.59	08/06/20	7,004.00	...	1,720.59	4 of 13 - Sale ²⁵
	50.000	8,724.59	08/06/20	6,892.50	...	1,832.09	5 of 13 - Sale ²⁵
	50.000	8,724.59	08/06/20	6,872.50	...	1,852.09	6 of 13 - Sale ²⁵
	10.000	1,744.92	08/07/20	1,357.00	...	387.92	7 of 13 - Sale ²⁵
	10.000	1,744.92	08/07/20	1,356.95	...	387.97	8 of 13 - Sale ²⁵
	10.000	1,744.92	08/07/20	1,330.00	...	414.92	9 of 13 - Sale ²⁵
	10.000	1,744.92	08/07/20	1,315.00	...	429.92	10 of 13 - Sale ²⁵
	10.000	1,744.92	08/07/20	1,315.00	...	429.92	11 of 13 - Sale ²⁵
	20.000	3,489.83	08/07/20	2,660.00	...	829.83	12 of 13 - Sale ²⁵
	30.000	5,234.75	08/10/20	3,750.00	...	1,484.75	13 of 13 - Sale ²⁵
11/24/20	300.000	52,347.54	Various	41,037.17	...	11,310.37	Total of 13 transactions
	Security total:	133,248.21		121,803.11	339.08 W	11,784.18	
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
02/12/20	1.000	8.98	02/11/20	8.54	...	0.44	Sale ²⁵
5 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	60.000	516.58	02/12/20	510.00	...	6.58	1 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol: (cont'd)							
	1.000	8.61	02/14/20	8.30	...	0.31	2 of 5 - Sale ²⁵
	3.000	25.83	02/14/20	24.90	...	0.93	3 of 5 - Sale ²⁵
	9.000	77.49	02/14/20	74.70	...	2.79	4 of 5 - Sale ²⁵
	37.000	318.56	02/14/20	307.10	...	11.46	5 of 5 - Sale ²⁵
02/18/20	110.000	947.07	Various	925.00	...	22.07	Total of 5 transactions
	8 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	5.000	30.95	03/25/20	28.55	...	2.40	1 of 8 - Sale ²⁵
	10.000	61.90	03/25/20	56.80	...	5.10	2 of 8 - Sale ²⁵
	33.000	204.26	03/25/20	188.43	...	15.83	3 of 8 - Sale ²⁵
	52.000	321.87	03/25/20	296.92	...	24.95	4 of 8 - Sale ²⁵
	100.000	618.97	03/25/20	571.00	...	47.97	5 of 8 - Sale ²⁵
	100.000	618.97	03/25/20	571.00	...	47.97	6 of 8 - Sale ²⁵
	100.000	618.97	03/25/20	571.00	...	47.97	7 of 8 - Sale ²⁵
	400.000	2,475.89	03/25/20	2,284.00	...	191.89	8 of 8 - Sale ²⁵
03/25/20	800.000	4,951.78	Various	4,567.70	...	384.08	Total of 8 transactions
	12 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	1.000	6.16	03/26/20	5.95	...	0.21	1 of 12 - Sale ²⁵
	7.000	41.37	03/26/20	40.60	...	0.77	2 of 12 - Sale ²⁵
	10.000	61.20	03/26/20	59.50	...	1.70	3 of 12 - Sale ²⁵
	10.000	61.30	03/26/20	59.50	...	1.80	4 of 12 - Sale ²⁵
	70.000	413.69	03/26/20	406.00	...	7.69	5 of 12 - Sale ²⁵
	93.000	549.60	03/26/20	539.40	...	10.20	6 of 12 - Sale ²⁵
	139.000	821.45	03/26/20	806.20	...	15.25	7 of 12 - Sale ²⁵
	191.000	1,128.76	03/26/20	1,107.80	...	20.96	8 of 12 - Sale ²⁵
	190.000	1,162.75	03/26/20	1,130.50	...	32.25	9 of 12 - Sale ²⁵
	199.000	1,217.83	03/26/20	1,184.05	...	33.78	10 of 12 - Sale ²⁵
	200.000	1,223.95	03/26/20	1,190.00	...	33.95	11 of 12 - Sale ²⁵
	190.000	1,164.65	03/26/20	1,130.50	...	34.15	12 of 12 - Sale ²⁵
03/26/20	1,300.000	7,852.71	Various	7,660.00	...	192.71	Total of 12 transactions
	7 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	1.000	5.75	04/23/20	5.70	...	0.05	1 of 7 - Sale ²⁵
	1.000	5.75	04/23/20	5.70	...	0.05	2 of 7 - Sale ²⁵
	4.000	23.00	04/23/20	22.80	...	0.20	3 of 7 - Sale ²⁵

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(continued)

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SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol: (cont'd)							
	17.000	97.75	04/23/20	96.90	...	0.85	4 of 7 - Sale ²⁵
	25.000	143.75	04/23/20	142.50	...	1.25	5 of 7 - Sale ²⁵
	68.000	390.99	04/23/20	387.60	...	3.39	6 of 7 - Sale ²⁵
	384.000	2,207.90	04/23/20	2,188.80	...	19.10	7 of 7 - Sale ²⁵
04/23/20	500.000	2,874.89	Various	2,850.00	...	24.89	Total of 7 transactions
04/29/20	500.000	2,749.87	04/27/20	2,649.95	...	99.92	Sale ²⁵
6 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	11.000	58.41	05/21/20	58.30	...	0.11	1 of 6 - Sale ²⁵
	10.000	53.23	05/21/20	52.00	...	1.23	2 of 6 - Sale ²⁵
	189.000	1,003.54	05/21/20	1,001.66	...	1.88	3 of 6 - Sale ²⁵
	100.000	532.32	05/21/20	530.00	...	2.32	4 of 6 - Sale ²⁵
	100.000	532.31	05/21/20	515.00	...	17.31	5 of 6 - Sale ²⁵
	190.000	1,011.40	05/21/20	988.00	...	23.40	6 of 6 - Sale ²⁵
05/22/20	600.000	3,191.21	Various	3,144.96	...	46.25	Total of 6 transactions
05/27/20	100.000	525.97	05/26/20	518.00	...	7.97	Sale ²⁵
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	5.16	06/01/20	5.10	...	0.06	1 of 3 - Sale ²⁵
	9.000	46.49	06/01/20	45.90	...	0.59	2 of 3 - Sale ²⁵
	96.000	495.83	06/01/20	489.60	...	6.23	3 of 3 - Sale ²⁵
06/01/20	106.000	547.48	Various	540.60	...	6.88	Total of 3 transactions
06/12/20	380.000	1,945.50	06/12/20	1,926.60	...	18.90	Sale ²⁵
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	100.000	507.97	06/17/20	500.00	...	7.97	1 of 2 - Sale ²⁵
	400.000	2,031.90	06/17/20	2,000.00	...	31.90	2 of 2 - Sale ²⁵
06/17/20	500.000	2,539.87	Various	2,500.00	...	39.87	Total of 2 transactions
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	43.000	223.59	07/06/20	220.16	...	3.43	1 of 2 - Sale ²⁵
	457.000	2,376.29	07/06/20	2,339.84	...	36.45	2 of 2 - Sale ²⁵
07/06/20	500.000	2,599.88	Various	2,560.00	...	39.88	Total of 2 transactions
	Security total:	30,735.21		29,851.35	...	883.86	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
4 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	2.000	126.32	11/04/20	125.96	...	0.36	1 of 4 - Sale ²⁵
	5.000	315.80	11/04/20	314.90	...	0.90	2 of 4 - Sale ²⁵
	22.000	1,389.48	11/04/20	1,385.56	...	3.92	3 of 4 - Sale ²⁵
	71.000	4,484.25	11/04/20	4,471.58	...	12.67	4 of 4 - Sale ²⁵
11/05/20	100.000	6,315.85	Various	6,298.00	...	17.85	Total of 4 transactions
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
4 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	130.000	116.99	02/06/20	250.91	...	-133.92	1 of 4 - Sale ²⁵
	70.000	62.99	02/06/20	135.11	...	-72.12	2 of 4 - Sale ²⁵
	70.000	62.99	02/06/20	134.41	...	-71.42	3 of 4 - Sale ²⁵
	30.000	27.00	02/06/20	42.00	...	-15.00	4 of 4 - Sale ²⁵
02/07/20	300.000	269.97	Various	562.43	...	-292.46	Total of 4 transactions
02/07/20	500.000	449.94	02/06/20	710.00	140.43 W	-119.63	Sale ²⁵
	Security total:	719.91		1,272.43	140.43 W	-412.09	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	37.70	06/01/20	37.65	...	0.05	1 of 3 - Sale ²⁵
	99.000	3,732.20	06/01/20	3,725.37	...	6.83	2 of 3 - Sale ²⁵
	100.000	3,744.90	06/02/20	3,734.71	...	10.19	3 of 3 - Sale ²⁵
06/02/20	200.000	7,514.80	Various	7,497.73	...	17.07	Total of 3 transactions
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	25.000	919.58	06/02/20	928.75	9.17 W	0.00	1 of 2 - Sale ²⁵
	75.000	2,758.72	06/02/20	2,786.25	27.53 W	0.00	2 of 2 - Sale ²⁵
06/02/20	100.000	3,678.30	Various	3,715.00	36.70 W	0.00	Total of 2 transactions
11 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	22.000	844.78	06/04/20	849.51	...	-4.73	1 of 11 - Sale ²⁵
	13.000	499.19	06/04/20	501.96	...	-2.77	2 of 11 - Sale ²⁵
	23.000	883.17	06/04/20	885.37	...	-2.20	3 of 11 - Sale ²⁵
	20.000	767.98	06/04/20	769.89	...	-1.91	4 of 11 - Sale ²⁵
	7.000	268.79	06/04/20	270.30	...	-1.51	5 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
	5.000	192.00	06/04/20	193.06	...	-1.06	6 of 11 - Sale ²⁵
	3.000	115.20	06/04/20	115.84	...	-0.64	7 of 11 - Sale ²⁵
	5.000	191.99	06/04/20	192.47	...	-0.48	8 of 11 - Sale ²⁵
	2.000	76.80	06/04/20	76.98	...	-0.18	9 of 11 - Sale ²⁵
	50.000	1,917.67	06/04/20	1,912.50	...	5.17	10 of 11 - Sale ²⁵
	50.000	1,920.94	06/04/20	1,915.05	...	5.89	11 of 11 - Sale ²⁵
06/04/20	200.000	7,678.51	Various	7,682.93	...	-4.42	Total of 11 transactions
	40 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	25.000	962.47	06/03/20	969.17	6.70 W	0.00	1 of 40 - Sale ²⁵
	23.000	885.48	06/03/20	891.64	6.16 W	0.00	2 of 40 - Sale ²⁵
	52.000	2,001.94	06/03/20	2,015.89	13.95 W	0.00	3 of 40 - Sale ²⁵
	23.000	885.48	06/04/20	891.66	6.18 W	0.00	4 of 40 - Sale ²⁵
	25.000	962.48	06/04/20	969.20	6.72 W	0.00	5 of 40 - Sale ²⁵
	52.000	2,001.94	06/04/20	2,015.95	14.01 W	0.00	6 of 40 - Sale ²⁵
	50.000	1,924.95	06/04/20	1,925.00	0.05 W	0.00	7 of 40 - Sale ²⁵
	23.000	883.64	06/04/20	892.83	9.19 W	0.00	8 of 40 - Sale ²⁵
	25.000	960.47	06/04/20	970.47	10.00 W	0.00	9 of 40 - Sale ²⁵
	27.000	1,037.31	06/04/20	1,048.12	10.81 W	0.00	10 of 40 - Sale ²⁵
	25.000	960.47	06/04/20	970.49	10.02 W	0.00	11 of 40 - Sale ²⁵
	5.000	192.10	06/04/20	193.50	1.40 W	0.00	12 of 40 - Sale ²⁵
	2.000	76.84	06/04/20	77.40	0.56 W	0.00	13 of 40 - Sale ²⁵
	23.000	883.64	06/04/20	890.09	6.45 W	0.00	14 of 40 - Sale ²⁵
	20.000	768.38	06/04/20	774.00	5.62 W	0.00	15 of 40 - Sale ²⁵
	20.000	768.98	06/04/20	776.01	7.03 W	0.00	16 of 40 - Sale ²⁵
	2.000	76.90	06/04/20	77.36	0.46 W	0.00	17 of 40 - Sale ²⁵
	5.000	192.25	06/04/20	194.00	1.75 W	0.00	18 of 40 - Sale ²⁵
	5.000	192.25	06/04/20	193.40	1.15 W	0.00	19 of 40 - Sale ²⁵
	20.000	768.98	06/04/20	773.62	4.64 W	0.00	20 of 40 - Sale ²⁵
	23.000	884.32	06/04/20	889.65	5.33 W	0.00	21 of 40 - Sale ²⁵
	25.000	961.22	06/04/20	970.02	8.80 W	0.00	22 of 40 - Sale ²⁵
	13.000	498.16	06/04/20	502.27	4.11 W	0.00	23 of 40 - Sale ²⁵
	5.000	191.60	06/04/20	193.18	1.58 W	0.00	24 of 40 - Sale ²⁵
	7.000	268.23	06/04/20	270.46	2.23 W	0.00	25 of 40 - Sale ²⁵
	22.000	843.02	06/04/20	850.01	6.99 W	0.00	26 of 40 - Sale ²⁵

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Account 124614959

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
	2.000	76.64	06/04/20	77.03	0.39 W	0.00	27 of 40 - Sale ²⁵
	5.000	191.59	06/04/20	192.58	0.99 W	0.00	28 of 40 - Sale ²⁵
	20.000	766.38	06/04/20	770.34	3.96 W	0.00	29 of 40 - Sale ²⁵
	23.000	881.33	06/04/20	885.88	4.55 W	0.00	30 of 40 - Sale ²⁵
	3.000	114.96	06/04/20	115.91	0.95 W	0.00	31 of 40 - Sale ²⁵
	3.000	115.06	06/04/20	115.70	0.64 W	0.00	32 of 40 - Sale ²⁵
	5.000	191.77	06/04/20	192.83	1.06 W	0.00	33 of 40 - Sale ²⁵
	5.000	191.77	06/04/20	192.24	0.47 W	0.00	34 of 40 - Sale ²⁵
	7.000	268.48	06/04/20	269.98	1.50 W	0.00	35 of 40 - Sale ²⁵
	13.000	498.60	06/04/20	501.36	2.76 W	0.00	36 of 40 - Sale ²⁵
	20.000	767.07	06/04/20	768.96	1.89 W	0.00	37 of 40 - Sale ²⁵
	22.000	843.78	06/04/20	848.49	4.71 W	0.00	38 of 40 - Sale ²⁵
	2.000	76.71	06/04/20	76.89	0.18 W	0.00	39 of 40 - Sale ²⁵
	23.000	882.13	06/04/20	884.30	2.17 W	0.00	40 of 40 - Sale ²⁵
06/04/20	700.000	26,899.77	Various	27,077.88	178.11 W	0.00	Total of 40 transactions
	Security total:	45,771.38		45,973.54	214.81 W	12.65	
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	100.000	552.98	04/22/20	546.00	...	6.98	1 of 3 - Sale ²⁵
	100.000	552.97	04/22/20	545.80	...	7.17	2 of 3 - Sale ²⁵
	300.000	1,658.92	04/22/20	1,637.94	...	20.98	3 of 3 - Sale ²⁵
04/22/20	500.000	2,764.87	Various	2,729.74	...	35.13	Total of 3 transactions
6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	2.000	10.54	04/23/20	10.50	...	0.04	1 of 6 - Sale ²⁵
	19.000	100.12	04/23/20	99.75	...	0.37	2 of 6 - Sale ²⁵
	20.000	105.39	04/23/20	105.00	...	0.39	3 of 6 - Sale ²⁵
	100.000	526.98	04/23/20	525.00	...	1.98	4 of 6 - Sale ²⁵
	159.000	837.89	04/23/20	834.75	...	3.14	5 of 6 - Sale ²⁵
	200.000	1,053.95	04/23/20	1,050.00	...	3.95	6 of 6 - Sale ²⁵
04/23/20	500.000	2,634.87	Various	2,625.00	...	9.87	Total of 6 transactions
11 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	3.000	15.30	04/24/20	15.24	...	0.06	1 of 11 - Sale ²⁵
	7.000	35.70	04/24/20	35.56	...	0.14	2 of 11 - Sale ²⁵

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(continued)

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SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol: (cont'd)							
	8.000	40.80	04/24/20	40.64	...	0.16	3 of 11 - Sale ²⁵
	15.000	76.50	04/24/20	76.20	...	0.30	4 of 11 - Sale ²⁵
	22.000	112.20	04/24/20	111.76	...	0.44	5 of 11 - Sale ²⁵
	28.000	142.79	04/24/20	142.24	...	0.55	6 of 11 - Sale ²⁵
	35.000	178.49	04/24/20	177.80	...	0.69	7 of 11 - Sale ²⁵
	37.000	188.69	04/24/20	187.96	...	0.73	8 of 11 - Sale ²⁵
	55.000	280.49	04/24/20	279.40	...	1.09	9 of 11 - Sale ²⁵
	90.000	458.98	04/24/20	457.20	...	1.78	10 of 11 - Sale ²⁵
	200.000	1,019.95	04/24/20	1,016.00	...	3.95	11 of 11 - Sale ²⁵
04/24/20	500.000	2,549.89	Various	2,540.00	...	9.89	Total of 11 transactions
	Security total:	7,949.63		7,894.74	...	54.89	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	400.000	5,239.84	08/25/20	5,188.00	...	51.84	1 of 5 - Sale ²⁵
	15.000	196.49	08/27/20	192.45	...	4.04	2 of 5 - Sale ²⁵
	18.000	235.79	08/27/20	230.94	...	4.85	3 of 5 - Sale ²⁵
	67.000	877.67	08/27/20	859.61	...	18.06	4 of 5 - Sale ²⁵
	100.000	1,309.96	08/27/20	1,283.00	...	26.96	5 of 5 - Sale ²⁵
08/27/20	600.000	7,859.75	Various	7,754.00	...	105.75	Total of 5 transactions
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	100.000	1,309.96	08/26/20	1,335.00	25.04 W	0.00	1 of 4 - Sale ²⁵
	90.000	1,178.96	08/26/20	1,201.50	22.54 W	0.00	2 of 4 - Sale ²⁵
	10.000	131.00	08/26/20	133.50	2.50 W	0.00	3 of 4 - Sale ²⁵
	200.000	2,619.92	08/26/20	2,678.00	58.08 W	0.00	4 of 4 - Sale ²⁵
08/27/20	400.000	5,239.84	Various	5,348.00	108.16 W	0.00	Total of 4 transactions
20 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	13.50	08/27/20	13.37	...	0.13	1 of 20 - Sale ²⁵
	1.000	13.50	08/27/20	13.37	...	0.13	2 of 20 - Sale ²⁵
	5.000	67.50	08/27/20	67.05	...	0.45	3 of 20 - Sale ²⁵
	7.000	94.50	08/27/20	93.87	...	0.63	4 of 20 - Sale ²⁵
	10.000	135.00	08/27/20	134.10	...	0.90	5 of 20 - Sale ²⁵
	9.000	121.49	08/27/20	120.33	...	1.16	6 of 20 - Sale ²⁵
	10.000	135.00	08/27/20	133.71	...	1.29	7 of 20 - Sale ²⁵

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	10.000	135.00	08/27/20	133.70	...	1.30	8 of 20 - Sale ²⁵
	15.000	202.49	08/27/20	200.56	...	1.93	9 of 20 - Sale ²⁵
	24.000	323.99	08/27/20	320.89	...	3.10	10 of 20 - Sale ²⁵
	39.000	526.48	08/27/20	523.01	...	3.47	11 of 20 - Sale ²⁵
	39.000	526.48	08/27/20	523.01	...	3.47	12 of 20 - Sale ²⁵
	10.000	134.99	08/27/20	131.20	...	3.79	13 of 20 - Sale ²⁵
	30.000	404.99	08/27/20	401.11	...	3.88	14 of 20 - Sale ²⁵
	14.000	189.00	08/27/20	183.68	...	5.32	15 of 20 - Sale ²⁵
	15.000	202.49	08/27/20	196.80	...	5.69	16 of 20 - Sale ²⁵
	45.000	607.48	08/27/20	601.67	...	5.81	17 of 20 - Sale ²⁵
	55.000	742.48	08/27/20	735.37	...	7.11	18 of 20 - Sale ²⁵
	100.000	1,349.96	08/27/20	1,341.04	...	8.92	19 of 20 - Sale ²⁵
	61.000	823.48	08/27/20	800.32	...	23.16	20 of 20 - Sale ²⁵
08/31/20	500.000	6,749.80	Various	6,668.16	...	81.64	Total of 20 transactions
	Security total:	19,849.39		19,770.16	108.16 W	187.39	
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
8 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	12.000	273.35	07/07/20	277.21	...	-3.86	1 of 8 - Sale ²⁵
	6.000	136.67	07/07/20	140.22	...	-3.55	2 of 8 - Sale ²⁵
	10.000	227.79	07/07/20	231.00	...	-3.21	3 of 8 - Sale ²⁵
	3.000	68.34	07/07/20	70.11	...	-1.77	4 of 8 - Sale ²⁵
	6.000	136.68	07/09/20	131.05	...	5.63	5 of 8 - Sale ²⁵
	8.000	182.24	07/09/20	172.17	...	10.07	6 of 8 - Sale ²⁵
	14.000	318.91	07/09/20	301.29	...	17.62	7 of 8 - Sale ²⁵
	22.000	501.14	07/09/20	480.53	...	20.61	8 of 8 - Sale ²⁵
07/13/20	81.000	1,845.12	Various	1,803.58	...	41.54	Total of 8 transactions
8 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	75.000	1,708.45	06/24/20	1,728.75	20.30 W	0.00	1 of 8 - Sale ²⁵
	3.000	68.34	06/24/20	69.15	0.81 W	0.00	2 of 8 - Sale ²⁵
	69.000	1,571.77	06/24/20	1,609.13	37.36 W	0.00	3 of 8 - Sale ²⁵
	3.000	68.34	06/24/20	69.96	1.62 W	0.00	4 of 8 - Sale ²⁵
	6.000	136.68	06/24/20	139.92	3.24 W	0.00	5 of 8 - Sale ²⁵
	44.000	1,002.29	06/24/20	1,014.20	11.91 W	0.00	6 of 8 - Sale ²⁵

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SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol: (cont'd)							
	47.000	1,070.63	07/07/20	1,098.46		-24.28	7 of 8 - Sale ²⁵
	22.000	501.14	07/07/20	514.17	3.55 W	0.00	8 of 8 - Sale ²⁵
07/13/20	269.000	6,127.64	Various	6,243.74	13.03 W	-24.28	Total of 8 transactions
	7 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	89.000	1,861.83	08/17/20	1,892.14	91.82 W	-30.31	1 of 7 - Sale ²⁵
	1.000	20.92	08/17/20	21.26	...	-0.34	2 of 7 - Sale ²⁵
	2.000	41.84	08/18/20	41.72	...	0.12	3 of 7 - Sale ²⁵
	2.000	41.84	08/18/20	41.72	...	0.12	4 of 7 - Sale ²⁵
	46.000	962.29	08/18/20	959.59	...	2.70	5 of 7 - Sale ²⁵
	5.000	104.60	08/21/20	101.40	...	3.20	6 of 7 - Sale ²⁵
	45.000	941.37	08/21/20	912.63	...	28.74	7 of 7 - Sale ²⁵
08/24/20	190.000	3,974.69	Various	3,970.46	...	4.23	Total of 7 transactions
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	15.000	313.80	08/17/20	318.90	1.70 W	-3.40	1 of 3 - Sale ²⁵
	48.000	1,004.13	08/17/20	1,020.48	16.35 W	0.00	2 of 3 - Sale ²⁵
	47.000	983.21	08/17/20	999.22	16.01 W	0.00	3 of 3 - Sale ²⁵
08/24/20	110.000	2,301.14	Various	2,338.60	34.06 W	-3.40	Total of 3 transactions
	Security total:	14,248.59		14,356.38	125.88 W	18.09	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
	12 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	3.000	38.43	04/16/20	37.92	...	0.51	1 of 12 - Sale ²⁵
	5.000	64.05	04/16/20	63.20	...	0.85	2 of 12 - Sale ²⁵
	11.000	140.91	04/16/20	139.04	...	1.87	3 of 12 - Sale ²⁵
	11.000	140.91	04/16/20	139.04	...	1.87	4 of 12 - Sale ²⁵
	16.000	204.96	04/16/20	202.24	...	2.72	5 of 12 - Sale ²⁵
	16.000	204.96	04/16/20	202.24	...	2.72	6 of 12 - Sale ²⁵
	20.000	256.20	04/16/20	252.80	...	3.40	7 of 12 - Sale ²⁵
	54.000	691.71	04/16/20	682.56	...	9.15	8 of 12 - Sale ²⁵
	64.000	819.81	04/16/20	808.96	...	10.85	9 of 12 - Sale ²⁵
	100.000	1,280.96	04/16/20	1,264.00	...	16.96	10 of 12 - Sale ²⁵
	100.000	1,280.96	04/16/20	1,264.00	...	16.96	11 of 12 - Sale ²⁵
	100.000	1,280.96	04/16/20	1,264.00	...	16.96	12 of 12 - Sale ²⁵
04/16/20	500.000	6,404.82	Various	6,320.00	...	84.82	Total of 12 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
8 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	2.000	25.68	04/20/20	25.64	...	0.04	1 of 8 - Sale ²⁵
	3.000	38.52	04/20/20	38.46	...	0.06	2 of 8 - Sale ²⁵
	8.000	102.72	04/20/20	102.56	...	0.16	3 of 8 - Sale ²⁵
	10.000	128.40	04/20/20	128.20	...	0.20	4 of 8 - Sale ²⁵
	13.000	166.91	04/20/20	166.66	...	0.25	5 of 8 - Sale ²⁵
	17.000	218.27	04/20/20	217.94	...	0.33	6 of 8 - Sale ²⁵
	60.000	770.37	04/20/20	769.20	...	1.17	7 of 8 - Sale ²⁵
	87.000	1,117.04	04/20/20	1,115.34	...	1.70	8 of 8 - Sale ²⁵
04/20/20	200.000	2,567.91	Various	2,564.00	...	3.91	Total of 8 transactions
3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	42.000	524.14	04/22/20	522.90	...	1.24	1 of 3 - Sale ²⁵
	54.000	673.90	04/22/20	672.30	...	1.60	2 of 3 - Sale ²⁵
	404.000	5,041.76	04/22/20	5,029.80	...	11.96	3 of 3 - Sale ²⁵
04/23/20	500.000	6,239.80	Various	6,225.00	...	14.80	Total of 3 transactions
	Security total:	15,212.53		15,109.00	...	103.53	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	4.000	843.98	11/24/20	804.00	...	39.98	1 of 4 - Sale ²⁵
	8.000	1,687.96	11/24/20	1,608.00	...	79.96	2 of 4 - Sale ²⁵
	16.000	3,375.92	11/24/20	3,216.00	...	159.92	3 of 4 - Sale ²⁵
	72.000	15,191.66	11/24/20	14,472.00	...	719.66	4 of 4 - Sale ²⁵
11/25/20	100.000	21,099.52	Various	20,100.00	...	999.52	Total of 4 transactions
TPG RE FINANCE TRUST, INC. COM MON STOCK / CUSIP: 87266M107 / Symbol:							
7 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	5.000	30.90	04/15/20	30.85	...	0.05	1 of 7 - Sale ²⁵
	93.000	574.72	04/15/20	573.81	...	0.91	2 of 7 - Sale ²⁵
	95.000	587.07	04/15/20	586.15	...	0.92	3 of 7 - Sale ²⁵
	107.000	661.23	04/15/20	660.19	...	1.04	4 of 7 - Sale ²⁵
	200.000	1,235.95	04/15/20	1,234.00	...	1.95	5 of 7 - Sale ²⁵
	200.000	1,235.95	04/15/20	1,234.00	...	1.95	6 of 7 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TPG RE FINANCE TRUST, INC. COM MON STOCK / CUSIP: 87266M107 / Symbol: (cont'd)							
04/15/20	200.000	1,235.95	04/15/20	1,234.00	...	1.95	7 of 7 - Sale ²⁵
	900.000	5,561.77	Various	5,553.00	...	8.77	Total of 7 transactions
	8 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	100.000	601.97	04/21/20	602.00	...	-0.03	1 of 8 - Sale ²⁵
	100.000	601.97	04/21/20	602.00	...	-0.03	2 of 8 - Sale ²⁵
	65.000	391.28	04/21/20	391.30	...	-0.02	3 of 8 - Sale ²⁵
	35.000	210.69	04/21/20	210.70	...	-0.01	4 of 8 - Sale ²⁵
	16.000	96.32	04/21/20	96.32	...	0.00	5 of 8 - Sale ²⁵
	5.000	30.15	04/21/20	30.10	...	0.05	6 of 8 - Sale ²⁵
	15.000	90.45	04/21/20	90.30	...	0.15	7 of 8 - Sale ²⁵
	64.000	385.91	04/21/20	385.28	...	0.63	8 of 8 - Sale ²⁵
04/21/20	400.000	2,408.74	Various	2,408.00	...	0.74	Total of 8 transactions
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	240.000	2,359.11	06/09/20	2,356.80	...	2.31	1 of 2 - Sale ²⁵
	260.000	2,555.71	06/09/20	2,553.20	...	2.51	2 of 2 - Sale ²⁵
06/09/20	500.000	4,914.82	Various	4,910.00	...	4.82	Total of 2 transactions
	Security total:	12,885.33		12,871.00	...	14.33	
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
08/06/20	50.000	10,559.76	08/05/20	10,175.00	...	384.76	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/04/20	1.000	910.98	02/04/20	937.47	...	-26.49	Sale ²⁵
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
	9 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	4.59	04/13/20	4.59	...	0.00	1 of 9 - Sale ²⁵
	21.000	96.39	04/13/20	96.39	...	0.00	2 of 9 - Sale ²⁵
	6.000	27.54	04/13/20	27.54	...	0.00	3 of 9 - Sale ²⁵
	17.000	78.03	04/13/20	78.03	...	0.00	4 of 9 - Sale ²⁵
	5.000	22.95	04/13/20	22.95	...	0.00	5 of 9 - Sale ²⁵
	5.000	22.95	04/13/20	21.40	...	1.55	6 of 9 - Sale ²⁵
	6.000	27.54	04/13/20	25.68	...	1.86	7 of 9 - Sale ²⁵
	44.000	201.96	04/13/20	188.33	...	13.63	8 of 9 - Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol: (cont'd)							
04/13/20	45.000	206.54	04/13/20	192.60	...	13.94	9 of 9 - Sale ²⁵
04/13/20	150.000	688.49	Various	657.51	...	30.98	Total of 9 transactions
	5 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	21.000	96.39	04/09/20	102.90	6.51 W	0.00	1 of 5 - Sale ²⁵
	1.000	4.59	04/09/20	4.90	0.31 W	0.00	2 of 5 - Sale ²⁵
	50.000	229.50	04/09/20	245.00	15.50 W	0.00	3 of 5 - Sale ²⁵
	28.000	128.52	04/09/20	137.20	8.68 W	0.00	4 of 5 - Sale ²⁵
	50.000	229.49	04/13/20	229.50	0.01 W	0.00	5 of 5 - Sale ²⁵
04/13/20	150.000	688.49	Various	719.50	31.01 W	0.00	Total of 5 transactions
	2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	120.000	543.57	04/14/20	538.80	...	4.77	1 of 2 - Sale ²⁵
	380.000	1,721.31	04/14/20	1,706.20	...	15.11	2 of 2 - Sale ²⁵
04/21/20	500.000	2,264.88	Various	2,245.00	...	19.88	Total of 2 transactions
	5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	10.000	48.60	05/28/20	48.60	...	0.00	1 of 5 - Sale ²⁵
	13.000	63.18	05/28/20	63.18	...	0.00	2 of 5 - Sale ²⁵
	25.000	121.50	05/28/20	121.50	...	0.00	3 of 5 - Sale ²⁵
	4.000	19.44	05/28/20	19.44	...	0.00	4 of 5 - Sale ²⁵
	1.000	4.86	05/28/20	4.86	...	0.00	5 of 5 - Sale ²⁵
06/01/20	53.000	257.58	Various	257.58	...	0.00	Total of 5 transactions
	5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	100.000	485.99	05/28/20	486.00	0.01 W	0.00	1 of 5 - Sale ²⁵
	150.000	728.97	05/28/20	729.00	0.03 W	0.00	2 of 5 - Sale ²⁵
	73.000	354.76	05/28/20	354.79	0.03 W	0.00	3 of 5 - Sale ²⁵
	27.000	131.21	05/28/20	131.22	0.01 W	0.00	4 of 5 - Sale ²⁵
	97.000	471.40	05/28/20	471.42	0.02 W	0.00	5 of 5 - Sale ²⁵
06/01/20	447.000	2,172.33	Various	2,172.43	0.10 W	0.00	Total of 5 transactions
	8 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	153.000	829.22	06/09/20	884.34	...	-55.12	1 of 8 - Sale ²⁵
	150.000	812.96	06/09/20	867.03	...	-54.07	2 of 8 - Sale ²⁵
	97.000	525.72	06/09/20	560.68	...	-34.96	3 of 8 - Sale ²⁵
	100.000	541.98	06/09/20	574.00	...	-32.02	4 of 8 - Sale ²⁵
	100.000	541.98	06/09/20	574.00	...	-32.02	5 of 8 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol: (cont'd)							
	73.000	395.64	06/09/20	421.97	...	-26.33	6 of 8 - Sale ²⁵
	27.000	146.33	06/09/20	156.07	...	-9.74	7 of 8 - Sale ²⁵
	100.000	541.97	06/10/20	547.00	...	-5.03	8 of 8 - Sale ²⁵
08/03/20	800.000	4,335.80	Various	4,585.09	...	-249.29	Total of 8 transactions
	Security total:	10,407.57		10,637.11	31.11 W	-198.43	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	49.000	2,307.84	03/05/20	2,709.70	...	-401.86	1 of 5 - Sale ²⁵
	19.000	894.88	03/05/20	1,009.09	...	-114.21	2 of 5 - Sale ²⁵
	1.000	47.10	03/05/20	55.30	...	-8.20	3 of 5 - Sale ²⁵
	1.000	47.10	03/05/20	53.12	...	-6.02	4 of 5 - Sale ²⁵
	30.000	1,412.96	05/28/20	877.50	...	535.46	5 of 5 - Sale ²⁵
06/05/20	100.000	4,709.88	Various	4,704.71	...	5.17	Total of 5 transactions
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	100.000	3,334.91	07/07/20	3,326.00	...	8.91	1 of 4 - Sale ²⁵
	100.000	3,334.91	07/07/20	3,322.00	...	12.91	2 of 4 - Sale ²⁵
	100.000	3,334.92	07/07/20	3,322.00	...	12.92	3 of 4 - Sale ²⁵
	50.000	1,667.46	07/07/20	1,625.00	...	42.46	4 of 4 - Sale ²⁵
07/14/20	350.000	11,672.20	Various	11,595.00	...	77.20	Total of 4 transactions
	Security total:	16,382.08		16,299.71	...	82.37	
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	200.000	521.96	04/24/20	536.00	...	-14.04	1 of 2 - Sale ²⁵
	100.000	260.98	04/24/20	270.02	...	-9.04	2 of 2 - Sale ²⁵
04/24/20	300.000	782.94	Various	806.02	...	-23.08	Total of 2 transactions
04/24/20	300.000	782.94	04/24/20	804.00	7.02 W	-14.04	Sale ²⁵
	Security total:	1,565.88		1,610.02	7.02 W	-37.12	
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
06/09/20	1,000.000	1,891.13	06/09/20	1,898.70	...	-7.57	Sale ²⁵

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VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
02/20/20	100.000	3,014.92	02/20/20	2,955.00	...	59.92	Sale ²⁵
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	1.000	18.82	01/23/20	18.45	...	0.37	1 of 2 - Sale ²⁵
	59.000	1,110.67	01/23/20	1,088.55	...	22.12	2 of 2 - Sale ²⁵
01/23/20	60.000	1,129.49	Various	1,107.00	...	22.49	Total of 2 transactions
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	40.000	1,443.96	02/20/20	1,376.00	...	67.96	1 of 2 - Sale ²⁵
	50.000	1,804.96	02/21/20	1,655.00	...	149.96	2 of 2 - Sale ²⁵
02/24/20	90.000	3,248.92	Various	3,031.00	...	217.92	Total of 2 transactions
02/24/20	60.000	2,165.94	02/20/20	2,187.00	21.06 W	0.00	Sale ²⁵
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	60.000	1,475.96	03/03/20	1,461.06	...	14.90	1 of 2 - Sale ²⁵
	35.000	860.97	03/03/20	840.00	...	20.97	2 of 2 - Sale ²⁵
03/03/20	95.000	2,336.93	Various	2,301.06	...	35.87	Total of 2 transactions
03/04/20	100.000	2,439.93	03/03/20	2,440.00	...	-0.07	Sale ²⁵
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	27.000	455.48	04/24/20	434.70	...	20.78	1 of 2 - Sale ²⁵
	73.000	1,231.47	04/24/20	1,175.30	...	56.17	2 of 2 - Sale ²⁵
04/24/20	100.000	1,686.95	Various	1,610.00	...	76.95	Total of 2 transactions
18 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	4.000	67.48	04/23/20	68.08	0.60 W	0.00	1 of 18 - Sale ²⁵
	50.000	843.48	04/23/20	851.00	7.52 W	0.00	2 of 18 - Sale ²⁵
	46.000	776.00	04/23/20	782.92	6.92 W	0.00	3 of 18 - Sale ²⁵
	4.000	67.48	04/23/20	68.68	1.20 W	0.00	4 of 18 - Sale ²⁵
	46.000	775.99	04/23/20	789.84	13.85 W	0.00	5 of 18 - Sale ²⁵
	50.000	843.48	04/23/20	858.52	15.04 W	0.00	6 of 18 - Sale ²⁵
	4.000	67.48	04/23/20	69.28	1.80 W	0.00	7 of 18 - Sale ²⁵
	12.000	202.44	04/23/20	207.85	5.41 W	0.00	8 of 18 - Sale ²⁵
	4.000	67.48	04/23/20	69.88	2.40 W	0.00	9 of 18 - Sale ²⁵
	34.000	573.56	04/23/20	588.91	15.35 W	0.00	10 of 18 - Sale ²⁵
	12.000	202.43	04/23/20	209.65	7.22 W	0.00	11 of 18 - Sale ²⁵

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	4.000	67.48	04/23/20	69.28	1.80 W	0.00	12 of 18 - Sale ²⁵
	46.000	776.00	04/23/20	796.77	20.77 W	0.00	13 of 18 - Sale ²⁵
	4.000	67.48	04/23/20	70.48	3.00 W	0.00	14 of 18 - Sale ²⁵
	34.000	573.56	04/23/20	594.03	20.47 W	0.00	15 of 18 - Sale ²⁵
	12.000	202.43	04/23/20	204.24	1.81 W	0.00	16 of 18 - Sale ²⁵
	34.000	573.56	04/23/20	578.68	5.12 W	0.00	17 of 18 - Sale ²⁵
	100.000	1,686.96	04/23/20	1,702.00	15.04 W	0.00	18 of 18 - Sale ²⁵
04/24/20	500.000	8,434.77	Various	8,580.09	145.32 W	0.00	Total of 18 transactions
	10 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	4.000	70.56	04/28/20	73.56	3.00 W	0.00	1 of 10 - Sale ²⁵
	12.000	211.67	04/28/20	218.90	7.23 W	0.00	2 of 10 - Sale ²⁵
	34.000	599.74	04/28/20	620.23	20.49 W	0.00	3 of 10 - Sale ²⁵
	4.000	70.56	04/28/20	72.36	1.80 W	0.00	4 of 10 - Sale ²⁵
	46.000	811.42	04/28/20	832.21	20.79 W	0.00	5 of 10 - Sale ²⁵
	12.000	211.67	04/28/20	213.49	1.82 W	0.00	6 of 10 - Sale ²⁵
	34.000	599.74	04/28/20	604.88	5.14 W	0.00	7 of 10 - Sale ²⁵
	54.000	952.54	04/28/20	960.68	8.14 W	0.00	8 of 10 - Sale ²⁵
	46.000	811.42	04/28/20	818.36	6.94 W	0.00	9 of 10 - Sale ²⁵
	54.000	952.53	04/28/20	952.56	0.03 W	0.00	10 of 10 - Sale ²⁵
04/28/20	300.000	5,291.85	Various	5,367.23	75.38 W	0.00	Total of 10 transactions
	15 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	34.000	615.38	04/28/20	617.53	...	-2.15	1 of 15 - Sale ²⁵
	4.000	72.40	04/28/20	73.24	...	-0.84	2 of 15 - Sale ²⁵
	12.000	217.19	04/28/20	217.95	...	-0.76	3 of 15 - Sale ²⁵
	4.000	72.40	04/28/20	72.04	...	0.36	4 of 15 - Sale ²⁵
	1.000	18.10	04/28/20	17.56	...	0.54	5 of 15 - Sale ²⁵
	20.000	361.99	04/28/20	360.24	...	1.75	6 of 15 - Sale ²⁵
	26.000	470.58	04/28/20	468.31	...	2.27	7 of 15 - Sale ²⁵
	12.000	217.20	04/28/20	212.54	...	4.66	8 of 15 - Sale ²⁵
	13.000	235.29	04/28/20	230.25	...	5.04	9 of 15 - Sale ²⁵
	21.000	380.09	04/28/20	371.93	...	8.16	10 of 15 - Sale ²⁵
	46.000	832.58	04/28/20	814.70	...	17.88	11 of 15 - Sale ²⁵
	54.000	977.37	04/28/20	956.38	...	20.99	12 of 15 - Sale ²⁵
	57.000	1,023.11	04/28/20	1,000.92	...	22.19	13 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	54.000	977.37	04/28/20	948.27	...	29.10	14 of 15 - Sale ²⁵
	142.000	2,570.12	04/28/20	2,493.52	...	76.60	15 of 15 - Sale ²⁵
04/29/20	500.000	9,041.17	Various	8,855.38	...	185.79	Total of 15 transactions
	4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	22.000	352.00	06/02/20	352.66	0.66 W	0.00	1 of 4 - Sale ²⁵
	78.000	1,247.96	06/02/20	1,250.34	2.38 W	0.00	2 of 4 - Sale ²⁵
	22.000	351.99	06/02/20	353.32	1.33 W	0.00	3 of 4 - Sale ²⁵
	178.000	2,847.92	06/02/20	2,853.34	5.42 W	0.00	4 of 4 - Sale ²⁵
06/02/20	300.000	4,799.87	Various	4,809.66	9.79 W	0.00	Total of 4 transactions
	23 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	211.000	3,561.57	06/09/20	3,561.68	...	-0.11	1 of 23 - Sale ²⁵
	175.000	2,953.91	06/09/20	2,954.00	...	-0.09	2 of 23 - Sale ²⁵
	75.000	1,265.96	06/09/20	1,266.00	...	-0.04	3 of 23 - Sale ²⁵
	25.000	422.00	06/09/20	422.00	...	0.00	4 of 23 - Sale ²⁵
	7.000	118.16	06/09/20	118.16	...	0.00	5 of 23 - Sale ²⁵
	7.000	118.16	06/09/20	118.16	...	0.00	6 of 23 - Sale ²⁵
	22.000	377.07	06/09/20	376.43	...	0.64	7 of 23 - Sale ²⁵
	21.000	359.93	06/09/20	358.69	...	1.24	8 of 23 - Sale ²⁵
	31.000	525.43	06/09/20	523.90	...	1.53	9 of 23 - Sale ²⁵
	27.000	462.77	06/09/20	461.17	...	1.60	10 of 23 - Sale ²⁵
	36.000	610.18	06/09/20	608.40	...	1.78	11 of 23 - Sale ²⁵
	21.000	359.93	06/09/20	358.05	...	1.88	12 of 23 - Sale ²⁵
	21.000	359.93	06/09/20	358.05	...	1.88	13 of 23 - Sale ²⁵
	47.000	796.63	06/09/20	794.30	...	2.33	14 of 23 - Sale ²⁵
	76.000	1,288.16	06/09/20	1,284.40	...	3.76	15 of 23 - Sale ²⁵
	77.000	1,305.11	06/09/20	1,301.30	...	3.81	16 of 23 - Sale ²⁵
	88.000	1,491.55	06/09/20	1,487.20	...	4.35	17 of 23 - Sale ²⁵
	49.000	839.83	06/09/20	835.45	...	4.38	18 of 23 - Sale ²⁵
	78.000	1,336.88	06/09/20	1,332.28	...	4.60	19 of 23 - Sale ²⁵
	62.000	1,062.65	06/09/20	1,057.10	...	5.55	20 of 23 - Sale ²⁵
	69.000	1,182.62	06/09/20	1,176.45	...	6.17	21 of 23 - Sale ²⁵
	145.000	2,457.67	06/09/20	2,450.50	...	7.17	22 of 23 - Sale ²⁵
	130.000	2,228.14	06/09/20	2,220.46	...	7.68	23 of 23 - Sale ²⁵
06/09/20	1,500.000	25,484.24	Various	25,424.13	...	60.11	Total of 23 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
Security total:		66,060.06		65,712.55	251.55 W	599.06	
WESTERN ASSET MORTGAGE CAPITAL CORPORATION / CUSIP: 95790D105 / Symbol:							
5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	14.000	30.24	05/19/20	29.40	...	0.84	1 of 5 - Sale ²⁵
	70.000	151.19	05/19/20	147.00	...	4.19	2 of 5 - Sale ²⁵
	80.000	172.79	05/19/20	168.00	...	4.79	3 of 5 - Sale ²⁵
	118.000	254.87	05/19/20	247.80	...	7.07	4 of 5 - Sale ²⁵
	218.000	470.85	05/19/20	457.80	...	13.05	5 of 5 - Sale ²⁵
05/20/20	500.000	1,079.94	Various	1,050.00	...	29.94	Total of 5 transactions
12/04/20	1,000.000	3,519.80	06/09/20	3,519.40	...	0.40	Sale ²⁵
Security total:		4,599.74		4,569.40	...	30.34	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
04/14/20	1.000	74.50	04/09/20	74.50	...	0.00	Sale ²⁵
04/14/20	49.000	3,650.41	04/09/20	3,650.50	0.09 W	0.00	Sale ²⁵
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	22.000	1,570.98	04/15/20	1,554.30	...	16.68	1 of 3 - Sale ²⁵
	29.000	2,070.84	04/15/20	2,048.85	...	21.99	2 of 3 - Sale ²⁵
	49.000	3,499.00	04/15/20	3,461.94	...	37.06	3 of 3 - Sale ²⁵
04/15/20	100.000	7,140.82	Various	7,065.09	...	75.73	Total of 3 transactions
5 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	71.24	04/22/20	70.36	...	0.88	1 of 5 - Sale ²⁵
	5.000	356.20	04/22/20	351.80	...	4.40	2 of 5 - Sale ²⁵
	50.000	3,557.42	04/22/20	3,537.50	...	19.92	3 of 5 - Sale ²⁵
	45.000	3,205.72	04/22/20	3,166.20	...	39.52	4 of 5 - Sale ²⁵
	49.000	3,490.68	04/22/20	3,447.64	...	43.04	5 of 5 - Sale ²⁵
04/22/20	150.000	10,681.26	Various	10,573.50	...	107.76	Total of 5 transactions
7 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	20.000	1,607.76	04/28/20	1,597.60	...	10.16	1 of 7 - Sale ²⁵
	21.000	1,688.15	04/28/20	1,677.48	...	10.67	2 of 7 - Sale ²⁵
	27.000	2,170.48	04/28/20	2,156.76	...	13.72	3 of 7 - Sale ²⁵
	52.000	4,180.18	04/28/20	4,153.76	...	26.42	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol: (cont'd)							
	80.000	6,431.05	04/28/20	6,390.40	...	40.65	5 of 7 - Sale ²⁵
	100.000	8,099.81	04/28/20	7,915.00	...	184.81	6 of 7 - Sale ²⁵
	100.000	8,099.81	04/28/20	7,915.00	...	184.81	7 of 7 - Sale ²⁵
04/28/20	400.000	32,277.24	Various	31,806.00	...	471.24	Total of 7 transactions
	5 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	6.000	439.79	08/04/20	433.20	...	6.59	1 of 5 - Sale ²⁵
	30.000	2,198.95	08/04/20	2,181.00	...	17.95	2 of 5 - Sale ²⁵
	38.000	2,785.33	08/04/20	2,762.60	...	22.73	3 of 5 - Sale ²⁵
	43.000	3,151.82	08/04/20	3,104.60	...	47.22	4 of 5 - Sale ²⁵
	132.000	9,675.36	08/04/20	9,596.40	...	78.96	5 of 5 - Sale ²⁵
08/04/20	249.000	18,251.25	Various	18,077.80	...	173.45	Total of 5 transactions
08/04/20	1.000	71.50	08/04/20	72.20	0.70 W	0.00	Sale ²⁵
	2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	71.13	08/05/20	71.79	...	-0.66	1 of 2 - Sale ²⁵
	99.000	7,041.70	08/05/20	7,037.91	...	3.79	2 of 2 - Sale ²⁵
08/05/20	100.000	7,112.83	Various	7,109.70	...	3.13	Total of 2 transactions
	Security total:	79,259.81		78,429.29	0.79 W	831.31	
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
	2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.						
	1.000	59.65	01/10/20	54.79	...	4.86	1 of 2 - Sale ²⁵
	49.000	2,922.78	01/10/20	2,684.71	...	238.07	2 of 2 - Sale ²⁵
01/13/20	50.000	2,982.43	Various	2,739.50	...	242.93	Total of 2 transactions
	2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	1.000	50.30	02/25/20	49.95	...	0.35	1 of 2 - Sale ²⁵
	49.000	2,464.64	02/25/20	2,447.55	...	17.09	2 of 2 - Sale ²⁵
02/25/20	50.000	2,514.94	Various	2,497.50	...	17.44	Total of 2 transactions
04/28/20	200.000	13,413.68	04/28/20	13,410.00	...	3.68	Sale ²⁵
	3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	100.000	6,634.84	04/28/20	6,696.00	...	-61.16	1 of 3 - Sale ²⁵
	81.000	5,374.22	04/28/20	5,423.76	...	-49.54	2 of 3 - Sale ²⁵
	19.000	1,260.62	04/28/20	1,272.24	...	-11.62	3 of 3 - Sale ²⁵
04/29/20	200.000	13,269.68	Various	13,392.00	...	-122.32	Total of 3 transactions

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol: (cont'd)							
Security total:		32,180.73		32,039.00	...		141.73
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
4 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	44.000	18,276.61	11/09/20	18,436.00	...	-159.39	1 of 4 - Sale ²⁵
	25.000	10,384.44	11/09/20	10,475.00	...	-90.56	2 of 4 - Sale ²⁵
	20.000	8,307.55	11/09/20	8,380.00	...	-72.45	3 of 4 - Sale ²⁵
	11.000	4,569.15	11/09/20	4,609.00	...	-39.85	4 of 4 - Sale ²⁵
11/09/20	100.000	41,537.75	Various	41,900.00	...	-362.25	Total of 4 transactions
NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol:							
25 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	7.04	04/27/20	7.00	...	0.04	1 of 25 - Sale ²⁵
	2.000	14.08	04/27/20	14.00	...	0.08	2 of 25 - Sale ²⁵
	3.000	21.12	04/27/20	21.00	...	0.12	3 of 25 - Sale ²⁵
	4.000	28.16	04/27/20	28.00	...	0.16	4 of 25 - Sale ²⁵
	5.000	35.20	04/27/20	35.00	...	0.20	5 of 25 - Sale ²⁵
	6.000	42.24	04/27/20	42.00	...	0.24	6 of 25 - Sale ²⁵
	8.000	56.32	04/27/20	56.01	...	0.31	7 of 25 - Sale ²⁵
	8.000	56.32	04/27/20	56.00	...	0.32	8 of 25 - Sale ²⁵
	9.000	63.36	04/27/20	63.00	...	0.36	9 of 25 - Sale ²⁵
	10.000	70.40	04/27/20	70.00	...	0.40	10 of 25 - Sale ²⁵
	10.000	70.40	04/27/20	70.00	...	0.40	11 of 25 - Sale ²⁵
	10.000	70.40	04/27/20	70.00	...	0.40	12 of 25 - Sale ²⁵
	20.000	140.80	04/27/20	140.00	...	0.80	13 of 25 - Sale ²⁵
	21.000	147.84	04/27/20	147.01	...	0.83	14 of 25 - Sale ²⁵
	22.000	154.88	04/27/20	154.01	...	0.87	15 of 25 - Sale ²⁵
	35.000	246.40	04/27/20	245.01	...	1.39	16 of 25 - Sale ²⁵
	38.000	267.51	04/27/20	266.01	...	1.50	17 of 25 - Sale ²⁵
	52.000	366.06	04/27/20	364.02	...	2.04	18 of 25 - Sale ²⁵
	67.000	471.66	04/27/20	469.02	...	2.64	19 of 25 - Sale ²⁵
	73.000	513.90	04/27/20	511.03	...	2.87	20 of 25 - Sale ²⁵
	75.000	527.98	04/27/20	525.02	...	2.96	21 of 25 - Sale ²⁵
	80.000	563.17	04/27/20	560.02	...	3.15	22 of 25 - Sale ²⁵

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(continued)

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NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol: (cont'd)							
	94.000	661.74	04/27/20	658.02	...	3.72	23 of 25 - Sale ²⁵
	147.000	1,034.84	04/27/20	1,029.04	...	5.80	24 of 25 - Sale ²⁵
	200.000	1,407.94	04/27/20	1,400.06	...	7.88	25 of 25 - Sale ²⁵
04/27/20	1,000.000	7,039.76	Various	7,000.28	...	39.48	Total of 25 transactions
04/27/20	1,000.000	7,039.72	04/27/20	7,040.00	0.28 W	0.00	Sale ²⁵
04/28/20	500.000	3,599.86	04/27/20	3,435.00	...	164.86	Sale ²⁵
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	25.000	180.00	04/28/20	179.75	...	0.25	1 of 3 - Sale ²⁵
	125.000	899.97	04/28/20	898.75	...	1.22	2 of 3 - Sale ²⁵
	350.000	2,519.90	04/28/20	2,516.50	...	3.40	3 of 3 - Sale ²⁵
04/29/20	500.000	3,599.87	Various	3,595.00	...	4.87	Total of 3 transactions
	Security total:	21,279.21		21,070.28	0.28 W	209.21	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,369.96	03/27/20	1,435.00	...	-65.04	1 of 3 - Sale ²⁵
	49.000	671.28	03/27/20	637.00	...	34.28	2 of 3 - Sale ²⁵
	51.000	698.67	03/27/20	663.00	...	35.67	3 of 3 - Sale ²⁵
04/09/20	200.000	2,739.91	Various	2,735.00	...	4.91	Total of 3 transactions
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	24.000	412.07	06/02/20	412.08	0.01 W	0.00	1 of 2 - Sale ²⁵
	176.000	3,021.83	06/02/20	3,021.92	0.09 W	0.00	2 of 2 - Sale ²⁵
06/02/20	200.000	3,433.90	Various	3,434.00	0.10 W	0.00	Total of 2 transactions
8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	19.60	06/16/20	19.50	...	0.10	1 of 8 - Sale ²⁵
	1.000	19.60	06/16/20	19.50	...	0.10	2 of 8 - Sale ²⁵
	15.000	293.99	06/16/20	292.51	...	1.48	3 of 8 - Sale ²⁵
	24.000	470.39	06/16/20	468.01	...	2.38	4 of 8 - Sale ²⁵
	30.000	587.98	06/16/20	585.02	...	2.96	5 of 8 - Sale ²⁵
	59.000	1,156.37	06/16/20	1,150.53	...	5.84	6 of 8 - Sale ²⁵
	70.000	1,371.96	06/16/20	1,365.03	...	6.93	7 of 8 - Sale ²⁵
	300.000	5,879.83	06/16/20	5,850.00	...	29.83	8 of 8 - Sale ²⁵
06/16/20	500.000	9,799.72	Various	9,750.10	...	49.62	Total of 8 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
10 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	500.000	9,380.23	06/16/20	9,160.00	...	220.23	1 of 10 - Sale ²⁵
	2.000	38.46	06/17/20	38.40	...	0.06	2 of 10 - Sale ²⁵
	8.000	153.84	06/17/20	153.60	...	0.24	3 of 10 - Sale ²⁵
	14.000	269.21	06/17/20	268.80	...	0.41	4 of 10 - Sale ²⁵
	14.000	269.21	06/17/20	268.80	...	0.41	5 of 10 - Sale ²⁵
	76.000	1,461.44	06/17/20	1,459.20	...	2.24	6 of 10 - Sale ²⁵
	86.000	1,653.73	06/17/20	1,651.20	...	2.53	7 of 10 - Sale ²⁵
	100.000	1,922.94	06/17/20	1,920.00	...	2.94	8 of 10 - Sale ²⁵
	100.000	1,922.95	06/17/20	1,920.00	...	2.95	9 of 10 - Sale ²⁵
	100.000	1,922.95	06/17/20	1,920.00	...	2.95	10 of 10 - Sale ²⁵
06/17/20	1,000.000	18,994.96	Various	18,760.00	...	234.96	Total of 10 transactions
11 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	10.000	188.50	06/18/20	184.90	...	3.60	1 of 11 - Sale ²⁵
	15.000	282.75	06/18/20	277.35	...	5.40	2 of 11 - Sale ²⁵
	40.000	774.78	06/18/20	764.00	...	10.78	3 of 11 - Sale ²⁵
	60.000	1,162.17	06/18/20	1,146.00	...	16.17	4 of 11 - Sale ²⁵
	100.000	1,936.94	06/18/20	1,910.00	...	26.94	5 of 11 - Sale ²⁵
	100.000	1,936.94	06/18/20	1,910.00	...	26.94	6 of 11 - Sale ²⁵
	100.000	1,936.94	06/18/20	1,910.00	...	26.94	7 of 11 - Sale ²⁵
	75.000	1,413.70	06/18/20	1,386.75	...	26.95	8 of 11 - Sale ²⁵
	100.000	1,936.95	06/18/20	1,910.00	...	26.95	9 of 11 - Sale ²⁵
	500.000	9,619.72	06/18/20	9,550.00	...	69.72	10 of 11 - Sale ²⁵
	400.000	7,539.78	06/18/20	7,396.00	...	143.78	11 of 11 - Sale ²⁵
06/18/20	1,500.000	28,729.17	Various	28,345.00	...	384.17	Total of 11 transactions
30 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	19.80	06/18/20	19.25	...	0.55	1 of 30 - Sale ²⁵
	21.000	415.80	06/18/20	404.25	...	11.55	2 of 30 - Sale ²⁵
	100.000	1,979.94	06/18/20	1,925.00	...	54.94	3 of 30 - Sale ²⁵
	178.000	3,524.30	06/18/20	3,426.50	...	97.80	4 of 30 - Sale ²⁵
	200.000	3,959.89	06/18/20	3,850.00	...	109.89	5 of 30 - Sale ²⁵
	8.000	154.32	06/19/20	154.00	...	0.32	6 of 30 - Sale ²⁵
	24.000	472.79	06/19/20	472.32	...	0.47	7 of 30 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	30.000	590.98	06/19/20	590.40	...	0.58	8 of 30 - Sale ²⁵
	38.000	748.58	06/19/20	747.84	...	0.74	9 of 30 - Sale ²⁵
	38.000	748.58	06/19/20	747.84	...	0.74	10 of 30 - Sale ²⁵
	70.000	1,378.96	06/19/20	1,377.60	...	1.36	11 of 30 - Sale ²⁵
	100.000	1,932.94	06/19/20	1,931.05	...	1.89	12 of 30 - Sale ²⁵
	100.000	1,932.94	06/19/20	1,931.05	...	1.89	13 of 30 - Sale ²⁵
	100.000	1,932.94	06/19/20	1,931.05	...	1.89	14 of 30 - Sale ²⁵
	200.000	3,851.89	06/19/20	3,850.00	...	1.89	15 of 30 - Sale ²⁵
	100.000	1,932.94	06/19/20	1,931.04	...	1.90	16 of 30 - Sale ²⁵
	100.000	1,932.94	06/19/20	1,931.04	...	1.90	17 of 30 - Sale ²⁵
	100.000	1,969.94	06/19/20	1,968.00	...	1.94	18 of 30 - Sale ²⁵
	100.000	1,969.94	06/19/20	1,968.00	...	1.94	19 of 30 - Sale ²⁵
	100.000	1,969.95	06/19/20	1,968.00	...	1.95	20 of 30 - Sale ²⁵
	300.000	5,777.83	06/19/20	5,775.00	...	2.83	21 of 30 - Sale ²⁵
	92.000	1,774.63	06/19/20	1,771.00	...	3.63	22 of 30 - Sale ²⁵
	100.000	1,928.94	06/19/20	1,925.00	...	3.94	23 of 30 - Sale ²⁵
	100.000	1,928.94	06/19/20	1,925.00	...	3.94	24 of 30 - Sale ²⁵
	100.000	1,928.94	06/19/20	1,925.00	...	3.94	25 of 30 - Sale ²⁵
	100.000	1,928.94	06/19/20	1,925.00	...	3.94	26 of 30 - Sale ²⁵
	100.000	1,940.94	06/19/20	1,929.00	...	11.94	27 of 30 - Sale ²⁵
	400.000	7,763.78	06/19/20	7,716.00	...	47.78	28 of 30 - Sale ²⁵
	500.000	9,749.72	06/19/20	9,675.00	...	74.72	29 of 30 - Sale ²⁵
	500.000	9,699.72	06/19/20	9,614.45	...	85.27	30 of 30 - Sale ²⁵
06/19/20	4,000.000	77,842.74	Various	77,304.68	...	538.06	Total of 30 transactions
9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	500.000	9,679.72	06/19/20	9,679.95	0.23 W	0.00	1 of 9 - Sale ²⁵
	100.000	1,909.94	06/19/20	1,920.00	10.06 W	0.00	2 of 9 - Sale ²⁵
	100.000	1,909.94	06/19/20	1,920.00	10.06 W	0.00	3 of 9 - Sale ²⁵
	54.000	969.26	06/19/20	1,015.23	45.97 W	0.00	4 of 9 - Sale ²⁵
	46.000	825.68	06/19/20	864.83	39.15 W	0.00	5 of 9 - Sale ²⁵
	100.000	1,794.94	06/19/20	1,880.06	85.12 W	0.00	6 of 9 - Sale ²⁵
	45.000	807.73	06/19/20	841.50	33.77 W	0.00	7 of 9 - Sale ²⁵
	9.000	161.55	06/19/20	168.30	6.75 W	0.00	8 of 9 - Sale ²⁵

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Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	246.000	4,415.57	06/19/20	4,600.20	184.63 W	0.00	9 of 9 - Sale ²⁵
06/19/20	1,200.000	22,474.33	Various	22,890.07	415.74 W	0.00	Total of 9 transactions
	10 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	46.000	785.20	06/22/20	827.54	42.34 W	0.00	1 of 10 - Sale ²⁵
	54.000	921.75	06/22/20	971.48	49.73 W	0.00	2 of 10 - Sale ²⁵
	100.000	1,706.95	06/22/20	1,799.02	92.07 W	0.00	3 of 10 - Sale ²⁵
	9.000	153.63	06/22/20	161.00	7.37 W	0.00	4 of 10 - Sale ²⁵
	45.000	768.13	06/22/20	805.02	36.89 W	0.00	5 of 10 - Sale ²⁵
	46.000	785.19	06/22/20	822.92	37.73 W	0.00	6 of 10 - Sale ²⁵
	100.000	1,706.95	06/22/20	1,788.96	82.01 W	0.00	7 of 10 - Sale ²⁵
	100.000	1,706.95	06/22/20	1,788.95	82.00 W	0.00	8 of 10 - Sale ²⁵
	46.000	785.20	06/22/20	810.54	25.34 W	0.00	9 of 10 - Sale ²⁵
	54.000	921.75	06/22/20	951.53	29.78 W	0.00	10 of 10 - Sale ²⁵
06/22/20	600.000	10,241.70	Various	10,726.96	485.26 W	0.00	Total of 10 transactions
	13 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	9.000	146.70	06/24/20	153.17	6.47 W	0.00	1 of 13 - Sale ²⁵
	45.000	733.48	06/24/20	765.89	32.41 W	0.00	2 of 13 - Sale ²⁵
	46.000	749.78	06/24/20	782.93	33.15 W	0.00	3 of 13 - Sale ²⁵
	100.000	1,629.96	06/24/20	1,712.07	82.11 W	0.00	4 of 13 - Sale ²⁵
	15.000	234.90	06/24/20	246.30	11.40 W	0.00	5 of 13 - Sale ²⁵
	9.000	140.94	06/24/20	146.87	5.93 W	0.00	6 of 13 - Sale ²⁵
	45.000	704.68	06/24/20	734.41	29.73 W	0.00	7 of 13 - Sale ²⁵
	46.000	720.34	06/24/20	742.94	22.60 W	0.00	8 of 13 - Sale ²⁵
	46.000	720.34	06/24/20	750.74	30.40 W	0.00	9 of 13 - Sale ²⁵
	54.000	845.61	06/24/20	872.17	26.56 W	0.00	10 of 13 - Sale ²⁵
	85.000	1,331.06	06/24/20	1,395.70	64.64 W	0.00	11 of 13 - Sale ²⁵
	100.000	1,565.95	06/24/20	1,641.99	76.04 W	0.00	12 of 13 - Sale ²⁵
	100.000	1,565.95	06/24/20	1,642.10	76.15 W	0.00	13 of 13 - Sale ²⁵
06/24/20	700.000	11,089.69	Various	11,587.28	497.59 W	0.00	Total of 13 transactions
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	15.000	240.59	06/26/20	239.71	...	0.88	1 of 2 - Sale ²⁵
	85.000	1,363.36	06/26/20	1,358.38	...	4.98	2 of 2 - Sale ²⁵
07/08/20	100.000	1,603.95	Various	1,598.09	...	5.86	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
9 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	15.000	241.19	06/24/20	248.40	7.21 W	0.00	1 of 9 - Sale ²⁵
	85.000	1,366.76	06/24/20	1,407.64	40.88 W	0.00	2 of 9 - Sale ²⁵
	100.000	1,607.95	06/24/20	1,656.04	48.09 W	0.00	3 of 9 - Sale ²⁵
	46.000	737.82	06/24/20	749.40	11.58 W	0.00	4 of 9 - Sale ²⁵
	54.000	866.13	06/24/20	879.76	13.63 W	0.00	5 of 9 - Sale ²⁵
	100.000	1,603.95	06/24/20	1,656.15	52.20 W	0.00	6 of 9 - Sale ²⁵
	9.000	144.36	06/24/20	148.13	3.77 W	0.00	7 of 9 - Sale ²⁵
	45.000	721.78	06/24/20	740.73	18.95 W	0.00	8 of 9 - Sale ²⁵
	46.000	737.81	06/24/20	757.20	19.39 W	0.00	9 of 9 - Sale ²⁵
07/08/20	500.000	8,027.75	Various	8,243.45	215.70 W	0.00	Total of 9 transactions
6 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	100.000	1,534.95	07/17/20	1,511.00	...	23.95	1 of 6 - Sale ²⁵
	100.000	1,534.96	07/20/20	1,515.00	...	19.96	2 of 6 - Sale ²⁵
	100.000	1,534.95	07/20/20	1,489.00	...	45.95	3 of 6 - Sale ²⁵
	100.000	1,534.96	07/22/20	1,460.00	...	74.96	4 of 6 - Sale ²⁵
	19.000	291.64	07/27/20	252.13	...	39.51	5 of 6 - Sale ²⁵
	81.000	1,243.31	07/27/20	1,074.87	...	168.44	6 of 6 - Sale ²⁵
08/10/20	500.000	7,674.77	Various	7,302.00	...	372.77	Total of 6 transactions
9 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	46.000	706.08	07/16/20	746.66	40.58 W	0.00	1 of 9 - Sale ²⁵
	54.000	828.88	07/16/20	876.55	47.67 W	0.00	2 of 9 - Sale ²⁵
	100.000	1,534.95	07/16/20	1,646.09	111.14 W	0.00	3 of 9 - Sale ²⁵
	9.000	138.15	07/16/20	147.41	9.26 W	0.00	4 of 9 - Sale ²⁵
	45.000	690.73	07/16/20	737.15	46.42 W	0.00	5 of 9 - Sale ²⁵
	46.000	706.08	07/16/20	753.55	47.47 W	0.00	6 of 9 - Sale ²⁵
	100.000	1,534.95	07/16/20	1,648.20	113.25 W	0.00	7 of 9 - Sale ²⁵
	100.000	1,534.95	07/16/20	1,560.00	25.05 W	0.00	8 of 9 - Sale ²⁵
	100.000	1,534.95	07/16/20	1,552.00	17.05 W	0.00	9 of 9 - Sale ²⁵
08/10/20	600.000	9,209.72	Various	9,667.61	457.89 W	0.00	Total of 9 transactions
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	100.000	1,574.95	08/12/20	1,555.00	...	19.95	1 of 3 - Sale ²⁵
	100.000	1,574.95	08/12/20	1,530.00	...	44.95	2 of 3 - Sale ²⁵

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(continued)

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	100.000	1,574.95	08/12/20	1,498.00	...	76.95	3 of 3 - Sale ²⁵
08/13/20	300.000	4,724.85	Various	4,583.00	...	141.85	Total of 3 transactions
	10 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	46.000	724.48	08/12/20	782.56	58.08 W	0.00	1 of 10 - Sale ²⁵
	54.000	850.47	08/12/20	918.69	68.22 W	0.00	2 of 10 - Sale ²⁵
	100.000	1,574.95	08/12/20	1,724.14	149.19 W	0.00	3 of 10 - Sale ²⁵
	50.000	787.48	08/12/20	856.13	68.65 W	0.00	4 of 10 - Sale ²⁵
	50.000	787.48	08/12/20	856.12	68.64 W	0.00	5 of 10 - Sale ²⁵
	9.000	141.75	08/12/20	151.46	9.71 W	0.00	6 of 10 - Sale ²⁵
	45.000	708.73	08/12/20	757.42	48.69 W	0.00	7 of 10 - Sale ²⁵
	46.000	724.48	08/12/20	774.27	49.79 W	0.00	8 of 10 - Sale ²⁵
	100.000	1,574.95	08/12/20	1,603.05	28.10 W	0.00	9 of 10 - Sale ²⁵
	100.000	1,574.95	08/12/20	1,581.05	6.10 W	0.00	10 of 10 - Sale ²⁵
08/13/20	600.000	9,449.72	Various	10,004.89	555.17 W	0.00	Total of 10 transactions
	13 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	54.000	861.27	08/13/20	910.62	...	-49.35	1 of 13 - Sale ²⁵
	50.000	797.47	08/13/20	843.65	...	-46.18	2 of 13 - Sale ²⁵
	100.000	1,594.95	08/13/20	1,634.24	...	-39.29	3 of 13 - Sale ²⁵
	41.000	653.93	08/13/20	691.78	...	-37.85	4 of 13 - Sale ²⁵
	37.000	590.13	08/13/20	623.92	...	-33.79	5 of 13 - Sale ²⁵
	45.000	717.73	08/13/20	746.19	...	-28.46	6 of 13 - Sale ²⁵
	38.000	606.08	08/13/20	630.13	...	-24.05	7 of 13 - Sale ²⁵
	9.000	143.55	08/13/20	151.86	...	-8.31	8 of 13 - Sale ²⁵
	9.000	143.55	08/13/20	151.76	...	-8.21	9 of 13 - Sale ²⁵
	9.000	143.55	08/13/20	149.21	...	-5.66	10 of 13 - Sale ²⁵
	8.000	127.60	08/13/20	132.66	...	-5.06	11 of 13 - Sale ²⁵
	100.000	1,594.94	08/13/20	1,568.10	...	26.84	12 of 13 - Sale ²⁵
	100.000	1,594.95	08/13/20	1,540.10	...	54.85	13 of 13 - Sale ²⁵
08/19/20	600.000	9,569.70	Various	9,774.22	...	-204.52	Total of 13 transactions
08/19/20	100.000	1,594.95	08/13/20	1,709.19	114.24 W	0.00	Sale ²⁵
	Security total:	237,201.53		238,415.54	2,741.69 W	1,527.68	

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REEBONZ HOLDING LIMITED ORDINARY SHARES / CUSIP: G7457R122 / Symbol:							
4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	50.000	7.54	05/09/19	348.00	...	-340.46	1 of 4 - Sale ²⁵
	22.000	3.32	05/09/19	154.44	...	-151.12	2 of 4 - Sale ²⁵
	22.000	3.32	05/09/19	153.78	...	-150.46	3 of 4 - Sale ²⁵
	100.000	15.09	05/10/19	608.00	...	-592.91	4 of 4 - Sale ²⁵
04/30/20	194.000	29.27	Various	1,264.22	...	-1,234.95	Total of 4 transactions
CAMTEK LTD. ORDINARY SHARES / CUSIP: M20791105 / Symbol:							
02/12/20	100.000	1,181.96	02/12/20	1,185.00	...	-3.04	Sale ²⁵
CYBERARK SOFTWARE LTD. ORDINARY SHARES / CUSIP: M2682V108 / Symbol:							
2 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	1.000	120.45	02/12/20	119.00	...	1.45	1 of 2 - Sale ²⁵
	39.000	4,697.45	02/12/20	4,641.00	...	56.45	2 of 2 - Sale ²⁵
02/13/20	40.000	4,817.90	Various	4,760.00	...	57.90	Total of 2 transactions
3 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	1.000	117.10	02/13/20	116.00	...	1.10	1 of 3 - Sale ²⁵
	16.000	1,873.56	02/13/20	1,856.00	...	17.56	2 of 3 - Sale ²⁵
	33.000	3,864.21	02/13/20	3,828.00	...	36.21	3 of 3 - Sale ²⁵
02/14/20	50.000	5,854.87	Various	5,800.00	...	54.87	Total of 3 transactions
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	12.000	1,368.92	02/24/20	1,378.56	9.64 W	0.00	1 of 2 - Sale ²⁵
	28.000	3,194.16	02/24/20	3,216.64	22.48 W	0.00	2 of 2 - Sale ²⁵
02/24/20	40.000	4,563.08	Various	4,595.20	32.12 W	0.00	Total of 2 transactions
8 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	28.000	2,844.73	03/05/20	2,895.28	...	-50.55	1 of 8 - Sale ²⁵
	30.000	3,047.93	03/05/20	3,078.00	...	-30.07	2 of 8 - Sale ²⁵
	8.000	812.78	03/05/20	827.23	...	-14.45	3 of 8 - Sale ²⁵
	4.000	406.39	03/05/20	413.61	...	-7.22	4 of 8 - Sale ²⁵
	1.000	101.60	03/06/20	100.05	...	1.55	5 of 8 - Sale ²⁵
	1.000	101.60	03/06/20	97.00	...	4.60	6 of 8 - Sale ²⁵
	19.000	1,930.35	03/06/20	1,900.95	...	29.40	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CYBERARK SOFTWARE LTD. ORDINARY SHARES / CUSIP: M2682V108 / Symbol: (cont'd)							
	8.000	812.78	03/06/20	776.00	...	36.78	8 of 8 - Sale ²⁵
05/06/20	99.000	10,058.16	Various	10,088.12	...	-29.96	Total of 8 transactions
	Security total:	25,294.01		25,243.32	32.12 W	82.81	
INMODE LTD. ORDINARY SHARES / CUSIP: M5425M103 / Symbol:							
01/13/20	25.000	1,118.72	01/13/20	1,118.50	...	0.22	Sale ²⁵
	3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	1.000	45.50	01/13/20	44.74	...	0.76	1 of 3 - Sale ²⁵
	4.000	182.07	01/13/20	178.96	...	3.11	2 of 3 - Sale ²⁵
	10.000	455.19	01/13/20	447.40	...	7.79	3 of 3 - Sale ²⁵
01/14/20	15.000	682.76	Various	671.10	...	11.66	Total of 3 transactions
	2 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	1.000	42.35	01/14/20	41.75	...	0.60	1 of 2 - Sale ²⁵
	39.000	1,651.61	01/14/20	1,628.25	...	23.36	2 of 2 - Sale ²⁵
01/15/20	40.000	1,693.96	Various	1,670.00	...	23.96	Total of 2 transactions
	2 transactions for 01/16/20. Total proceeds and cost reported to the IRS.						
	1.000	43.00	01/15/20	42.01	...	0.99	1 of 2 - Sale ²⁵
	29.000	1,246.97	01/15/20	1,218.29	...	28.68	2 of 2 - Sale ²⁵
01/16/20	30.000	1,289.97	Various	1,260.30	...	29.67	Total of 2 transactions
	2 transactions for 01/23/20. Total proceeds and cost reported to the IRS.						
	1.000	49.30	01/17/20	45.60	...	3.70	1 of 2 - Sale ²⁵
	49.000	2,415.64	01/17/20	2,234.40	...	181.24	2 of 2 - Sale ²⁵
01/23/20	50.000	2,464.94	Various	2,280.00	...	184.94	Total of 2 transactions
	2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	1.000	44.85	02/05/20	44.24	...	0.61	1 of 2 - Sale ²⁵
	19.000	852.13	02/05/20	840.56	...	11.57	2 of 2 - Sale ²⁵
02/06/20	20.000	896.98	Various	884.80	...	12.18	Total of 2 transactions
	2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	1.000	44.85	02/05/20	45.15	0.30 W	0.00	1 of 2 - Sale ²⁵
	29.000	1,300.62	02/05/20	1,309.35	8.73 W	0.00	2 of 2 - Sale ²⁵
02/06/20	30.000	1,345.47	Various	1,354.50	9.03 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INMODE LTD. ORDINARY SHARES / CUSIP: M5425M103 / Symbol: (cont'd)							
3 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	1.000	47.20	02/14/20	44.15	...	3.05	1 of 3 - Sale ²⁵
	29.000	1,368.76	02/14/20	1,280.38	...	88.38	2 of 3 - Sale ²⁵
	40.000	1,887.95	02/14/20	1,754.00	...	133.95	3 of 3 - Sale ²⁵
02/18/20	70.000	3,303.91	Various	3,078.53	...	225.38	Total of 3 transactions
	Security total:	12,796.71		12,317.73	9.03 W	488.01	
INTEGRATED MEDIA TECHNOLOGY LI MITED ORDINARY SHARES / CUSIP: Q49376108 / Symbol:							
8 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	8.00	02/04/20	7.83	...	0.17	1 of 8 - Sale ²⁵
	10.000	80.00	02/04/20	73.50	...	6.50	2 of 8 - Sale ²⁵
	49.000	391.99	02/04/20	383.67	...	8.32	3 of 8 - Sale ²⁵
	40.000	319.99	02/04/20	294.00	...	25.99	4 of 8 - Sale ²⁵
	45.000	359.98	02/10/20	345.60	...	14.38	5 of 8 - Sale ²⁵
	55.000	439.98	02/10/20	422.40	...	17.58	6 of 8 - Sale ²⁵
	100.000	799.97	02/10/20	757.85	...	42.12	7 of 8 - Sale ²⁵
	100.000	799.97	03/27/20	272.00	...	527.97	8 of 8 - Sale ²⁵
06/17/20	400.000	3,199.88	Various	2,556.85	...	643.03	Total of 8 transactions
06/17/20	254.000	2,031.92	01/22/20	2,209.80	177.88 W	0.00	Sale ²⁵
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	254.000	914.35	07/10/20	1,092.28	...	-177.93	1 of 2 - Sale ²⁵
	550.000	1,979.89	07/10/20	1,980.00	...	-0.11	2 of 2 - Sale ²⁵
07/16/20	804.000	2,894.24	Various	3,072.28	...	-178.04	Total of 2 transactions
4 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	180.000	617.36	08/24/20	617.44	...	-0.08	1 of 4 - Sale ²⁵
	200.000	685.96	08/24/20	686.04	...	-0.08	2 of 4 - Sale ²⁵
	100.000	342.98	08/24/20	343.02	...	-0.04	3 of 4 - Sale ²⁵
	20.000	68.60	08/24/20	68.60	...	0.00	4 of 4 - Sale ²⁵
08/26/20	500.000	1,714.90	Various	1,715.10	...	-0.20	Total of 4 transactions
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	200.000	685.96	08/24/20	686.00	0.04 W	0.00	1 of 3 - Sale ²⁵
	100.000	342.98	08/24/20	343.00	0.02 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 124614959

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTEGRATED MEDIA TECHNOLOGY LI MITED ORDINARY SHARES / CUSIP: Q49376108 / Symbol: (cont'd)							
	200.000	685.96	08/24/20	686.00	0.04 W	0.00	3 of 3 - Sale ²⁵
08/26/20	500.000	1,714.90	Various	1,715.00	0.10 W	0.00	Total of 3 transactions
	Security total:	11,555.84		11,269.03	177.98 W	464.79	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	42.20	05/20/20	40.29	...	1.91	1 of 2 - Sale ²⁵
	49.000	2,067.75	05/20/20	1,974.21	...	93.54	2 of 2 - Sale ²⁵
05/21/20	50.000	2,109.95	Various	2,014.50	...	95.45	Total of 2 transactions
06/17/20	100.000	5,844.86	06/17/20	5,840.00	...	4.86	Sale ²⁵
	Security total:	7,954.81		7,854.50	...	100.31	
Totals :		2,815,147.41		2,819,507.91	18,948.73 W	14,588.23	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P BIOTECH BUL L 3X SHARES / CUSIP: 25490K323 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	30.000	1,243.76	10/02/18	2,526.00	...	-1,282.24	1 of 2 - Sale ²⁵
	25.000	1,036.47	10/02/18	2,105.00	...	-1,068.53	2 of 2 - Sale ²⁵
04/24/20	55.000	2,280.23	Various	4,631.00	...	-2,350.77	Total of 2 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
6 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	112.000	670.85	03/14/19	688.24	...	-17.39	1 of 6 - Sale ²⁵
	88.000	527.09	03/14/19	540.76	...	-13.67	2 of 6 - Sale ²⁵
	87.000	521.11	03/14/19	534.62	...	-13.51	3 of 6 - Sale ²⁵
	66.000	395.32	03/14/19	405.57	...	-10.25	4 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	13.000	77.87	03/14/19	79.88	...	-2.01	5 of 6 - Sale ²⁵
	100.000	598.97	03/18/19	580.00	...	18.97	6 of 6 - Sale ²⁵
06/04/20	466.000	2,791.21	Various	2,829.07	...	-37.86	Total of 6 transactions
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
9 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	571.000	371.08	01/16/19	576.71	...	-205.63	1 of 9 - Sale ²⁵
	94.000	61.09	01/16/19	91.98	...	-30.89	2 of 9 - Sale ²⁵
	66.000	42.89	01/16/19	64.58	...	-21.69	3 of 9 - Sale ²⁵
	34.000	22.10	01/16/19	34.34	...	-12.24	4 of 9 - Sale ²⁵
	30.000	19.50	01/16/19	29.36	...	-9.86	5 of 9 - Sale ²⁵
	12.000	7.80	01/16/19	12.12	...	-4.32	6 of 9 - Sale ²⁵
	10.000	6.50	01/16/19	9.78	...	-3.28	7 of 9 - Sale ²⁵
	5.000	3.25	01/16/19	5.05	...	-1.80	8 of 9 - Sale ²⁵
	500.000	324.94	01/17/19	483.00	...	-158.06	9 of 9 - Sale ²⁵
05/04/20	1,322.000	859.15	Various	1,306.92	...	-447.77	Total of 9 transactions
REEBONZ HOLDING LIMITED ORDINARY SHARES / CUSIP: G7457R122 / Symbol:							
04/30/20	78.000	11.77	05/09/19	745.22	...	-733.45	Sale ²⁵
INTEGRATED MEDIA TECHNOLOGY LIMITED ORDINARY SHARES / CUSIP: Q49376108 / Symbol:							
5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	10.000	80.00	01/22/20	109.90	29.90 W	0.00	1 of 5 - Sale ²⁵
	10.000	80.00	01/22/20	105.60	25.60 W	0.00	2 of 5 - Sale ²⁵
	50.000	399.98	01/22/20	577.55	177.57 W	0.00	3 of 5 - Sale ²⁵
	36.000	287.99	01/22/20	331.57	43.58 W	0.00	4 of 5 - Sale ²⁵
	90.000	719.97	01/22/20	790.23	70.26 W	0.00	5 of 5 - Sale ²⁵
06/17/20	196.000	1,567.94	Various	1,914.85	346.91 W	0.00	Total of 5 transactions
6 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	50.000	179.99	07/10/20	357.57	...	-177.58	1 of 6 - Sale ²⁵
	90.000	323.98	07/10/20	394.26	...	-70.28	2 of 6 - Sale ²⁵
	30.000	107.99	07/10/20	144.32	...	-36.33	3 of 6 - Sale ²⁵
	10.000	36.00	07/10/20	65.90	...	-29.90	4 of 6 - Sale ²⁵
	10.000	36.00	07/10/20	61.60	...	-25.60	5 of 6 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INTEGRATED MEDIA TECHNOLOGY LIMITED ORDINARY SHARES / CUSIP: Q49376108 / Symbol: (cont'd)							
	6.000	21.60	07/10/20	28.86	...	-7.26	6 of 6 - Sale ²⁵
07/16/20	196.000	705.56	Various	1,052.51	...	-346.95	Total of 6 transactions
	Security total:	2,273.50		2,967.36	346.91 W	-346.95	
Totals :		8,215.86		12,479.57	346.91 W	-3,916.80	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 124614959

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY MSCI BRAZIL BUL L 2X SHARES / CUSIP: 25460G708 / Symbol:							
05/04/20	0.125	6.96	02/19/20	128.88	...	-121.92	Gain or loss
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
07/08/20	0.659	2.40	06/30/20	2.99	...	-0.59	Gain or loss
Totals:		9.36		131.87	...	-122.51	

Robinhood Securities LLC

Account 124614959

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	75.85	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	25.50	Qualified dividend	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		05/15/20	15.52	Section 199A dividend	03
			05/15/20	5.50	Nondividend distribution	03
			05/15/20	3.98	Qualified dividend	03
				25.00	Total Dividends & distributions	
TWO HARBORS INVESTMENT CORP.	90187B408		04/29/20	25.00	Nondividend distribution	03
			07/29/20	112.00	Nondividend distribution	03
				137.00	Total Dividends & distributions	
WESTERN ASSET MORTGAGE CAPITAL CORPORATION	95790D105		10/26/20	50.00	Nondividend distribution	03
				313.35	Total Dividends & distributions	

Robinhood Securities LLC

Account 124614959

Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
DIREXION DAILY MSCI BRAZIL BUL L 2X SHARES	25490K315	03/31/20	5.92	Substitute payment	
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	33.48	Substitute payment	
		07/28/20	21.16	Substitute payment	
			54.64	Total Miscellaneous income	
			60.56	Total Miscellaneous income	

Robinhood Securities LLC

Account 124614959

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/04/20	-5.00	Margin interest paid	
		02/04/20	-18.26	Margin interest paid	
		03/05/20	-40.61	Margin interest paid	
		04/06/20	-52.88	Margin interest paid	
		05/04/20	-40.25	Margin interest paid	
		06/03/20	-5.00	Margin interest paid	
		06/03/20	-37.79	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		07/06/20	-80.88	Margin interest paid	
		07/14/20	1.37	Adj- Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		08/03/20	-125.57	Margin interest paid	
		09/01/20	-5.00	Margin interest paid	
		09/01/20	-133.08	Margin interest paid	
		10/01/20	-5.00	Margin interest paid	
		10/01/20	-228.83	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/02/20	-249.74	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		11/30/20	-230.53	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
		12/30/20	-205.30	Margin interest paid	
			-1,487.35	Total Margin interest paid	
			-1,487.35	Total Margin interest paid	

Robinhood Securities LLC

Account 124614959

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	58.00	0.00	Stock dividend	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Instructions for Recipient

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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