

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 893957126</b>	Statement Date: 02/11/2022 Document ID: XMZM W2J 8J1T	2021
	Venkata kiran Gurrum 1162 Hidden Ridge 1322 Irving, TX 75038  RECIPIENT'S TIN: XXX-XX-7946		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2021 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>2e- Section 897 ordinary dividends      0.00</p> <p>2f- Section 897 capital gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INFORMATION</b>      <b>2021 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2021 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p><b>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</b></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	744,380.19	786,167.42	0.00	38,224.78	-3,562.45
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>744,380.19</b>	<b>786,167.42</b>	<b>0.00</b>	<b>38,224.78</b>	<b>-3,562.45</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>744,380.19</b>	<b>786,167.42</b>	<b>0.00</b>	<b>38,224.78</b>	<b>-3,562.45</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 893957126

**Summary Information**

2021

(continued)

02/11/2022

**INTEREST INCOME**

2021 1099-INT

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	365.37
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AHT 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	06/09/21	320.00	...	-320.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/09/21	160.00	...	-160.00	2 of 2 - Option expiration- long call
06/18/21	3.000	0.00	Various	480.00	...	-480.00	Total of 2 transactions
ANY 10/15/2021 CALL \$7.00 / CUSIP: / Symbol:							
3 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	52.99	10/06/21	81.01	...	-28.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	52.99	10/06/21	81.01	...	-28.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	53.00	10/06/21	67.00	...	-14.00	3 of 3 - Option sale to close-call <sup>25</sup>
10/07/21	3.000	158.98	Various	229.02	...	-70.04	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ANY 10/15/2021 CALL \$7.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	1.000	52.99	10/06/21	67.00	14.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	52.99	10/06/21	67.00	14.01 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/21	2.000	105.98	Various	134.00	28.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>264.96</b>		<b>363.02</b>	<b>28.02 W</b>	<b>-70.04</b>	
BBBY 06/11/2021 PUT \$35.00 / CUSIP: / Symbol:							
2 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	514.98	06/02/21	370.00	...	144.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	564.98	06/02/21	370.00	...	194.98	2 of 2 - Option sale to close-put <sup>25</sup>
06/03/21	2.000	1,079.96	Various	740.00	...	339.96	Total of 2 transactions
BBIG 06/18/2021 CALL \$4.50 / CUSIP: / Symbol:							
06/08/21	4.000	379.98	06/04/21	220.00	...	159.98	Option sale to close-call <sup>25</sup>
BBIG 08/20/2021 CALL \$4.00 / CUSIP: / Symbol:							
3 transactions for 07/27/21. Total proceeds and cost reported to the IRS.							
	5.000	139.98	07/26/21	200.00	...	-60.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	111.99	07/26/21	160.00	...	-48.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	28.00	07/26/21	40.00	...	-12.00	3 of 3 - Option sale to close-call <sup>25</sup>
07/27/21	10.000	279.97	Various	400.00	...	-120.03	Total of 3 transactions
BBIG 10/29/2021 CALL \$7.50 / CUSIP: / Symbol:							
10/18/21	3.000	554.98	10/15/21	504.00	...	50.98	Option sale to close-call <sup>25</sup>
BNGO 06/18/2021 PUT \$8.00 / CUSIP: / Symbol:							
06/11/21	5.000	389.98	06/07/21	395.00	...	-5.02	Option sale to close-put <sup>25</sup>
CEI 10/15/2021 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 10/07/21. Total proceeds and cost reported to the IRS.							
	2.000	149.98	10/06/21	106.00	...	43.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	224.98	10/06/21	159.00	...	65.98	2 of 2 - Option sale to close-call <sup>25</sup>
10/07/21	5.000	374.96	Various	265.00	...	109.96	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CEI 10/15/2021 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	10/08/21	195.00	...	-195.00	1 of 2 - Option expiration- long call
	1.000	0.00	10/08/21	65.00	...	-65.00	2 of 2 - Option expiration- long call
10/15/21	4.000	0.00	Various	260.00	...	-260.00	Total of 2 transactions
CEI 10/22/2021 CALL \$2.00 / CUSIP: / Symbol:							
10/20/21	15.000	224.96	10/19/21	270.00	...	-45.04	Option sale to close-call <sup>25</sup>
CERE 07/16/2021 PUT \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	1.000	159.99	06/29/21	150.00	...	9.99	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	159.99	06/29/21	150.00	...	9.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/29/21	2.000	319.98	Various	300.00	...	19.98	Total of 2 transactions
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	164.99	06/29/21	150.00	...	14.99	1 of 2 - Option sale to close-put <sup>25</sup>
	2.000	329.99	06/29/21	300.00	...	29.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/30/21	3.000	494.98	Various	450.00	...	44.98	Total of 2 transactions
	<b>Security total:</b>	<b>814.96</b>		<b>750.00</b>	...	<b>64.96</b>	
CLOV 06/18/2021 CALL \$15.50 / CUSIP: / Symbol:							
2 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	1.000	189.98	06/14/21	195.00	...	-5.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.98	06/14/21	195.00	...	-5.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/14/21	2.000	379.96	Various	390.00	...	-10.04	Total of 2 transactions
EBON 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
4 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	9.000	0.00	05/04/21	189.00	...	-189.00	1 of 4 - Option expiration- long call
	4.000	0.00	05/04/21	84.00	...	-84.00	2 of 4 - Option expiration- long call
	1.000	0.00	05/04/21	21.00	...	-21.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/04/21	21.00	...	-21.00	4 of 4 - Option expiration- long call
05/21/21	15.000	0.00	Various	315.00	...	-315.00	Total of 4 transactions

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Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EXPR 05/28/2021 PUT \$5.50 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	74.98	05/26/21	70.00	...	4.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	84.98	05/26/21	70.00	...	14.98	2 of 2 - Option sale to close-put <sup>25</sup>
05/28/21	2.000	159.96	Various	140.00	...	19.96	Total of 2 transactions
EXPR 06/04/2021 PUT \$5.00 / CUSIP: / Symbol:							
2 transactions for 05/28/21. Total proceeds and cost reported to the IRS.							
	1.000	100.00	05/26/21	70.00	...	30.00	1 of 2 - Option sale to close-put <sup>25</sup>
	4.000	399.98	05/26/21	280.00	...	119.98	2 of 2 - Option sale to close-put <sup>25</sup>
05/28/21	5.000	499.98	Various	350.00	...	149.98	Total of 2 transactions
FUBO 05/07/2021 CALL \$18.50 / CUSIP: / Symbol:							
3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	05/05/21	70.00	...	-70.00	1 of 3 - Option expiration- long call
	2.000	0.00	05/05/21	70.00	...	-70.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/05/21	35.00	...	-35.00	3 of 3 - Option expiration- long call
05/07/21	5.000	0.00	Various	175.00	...	-175.00	Total of 3 transactions
FUBO 05/14/2021 CALL \$18.50 / CUSIP: / Symbol:							
2 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	5.000	459.98	05/13/21	215.00	...	244.98	1 of 2 - Option sale to close-call <sup>25</sup>
	5.000	459.98	05/13/21	215.00	...	244.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/14/21	10.000	919.96	Various	430.00	...	489.96	Total of 2 transactions
FUBO 05/21/2021 PUT \$20.00 / CUSIP: / Symbol:							
3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	05/14/21	212.00	...	-212.00	1 of 3 - Option expiration- long put
	1.000	0.00	05/14/21	122.00	...	-122.00	2 of 3 - Option expiration- long put
	1.000	0.00	05/14/21	106.00	...	-106.00	3 of 3 - Option expiration- long put
05/21/21	4.000	0.00	Various	440.00	...	-440.00	Total of 3 transactions
FUBO 05/21/2021 PUT \$20.50 / CUSIP: / Symbol:							
05/17/21	2.000	251.98	05/14/21	200.00	...	51.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUBO 05/28/2021 PUT \$23.50 / CUSIP: / Symbol:							
3 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	41.00	05/26/21	63.02	...	-22.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	41.98	05/26/21	63.00	...	-21.02	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	40.99	05/26/21	48.00	...	-7.01	3 of 3 - Option sale to close-put <sup>25</sup>
05/27/21	3.000	123.97	Various	174.02	...	-50.05	Total of 3 transactions
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	6.000	245.98	05/26/21	336.00	15.00 W	-75.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	40.98	05/26/21	56.00	15.02 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
05/27/21	7.000	286.96	Various	392.00	30.02 W	-75.02	Total of 2 transactions
	<b>Security total:</b>	<b>410.93</b>		<b>566.02</b>	<b>30.02 W</b>	<b>-125.07</b>	
FUV 06/18/2021 PUT \$15.00 / CUSIP: / Symbol:							
06/11/21	4.000	679.98	06/08/21	560.00	...	119.98	Option sale to close-put <sup>25</sup>
GNOG 08/20/2021 PUT \$17.50 / CUSIP: / Symbol:							
08/20/21	5.000	0.00	08/09/21	325.00	...	-325.00	Option expiration- long put
GSAT 05/21/2021 PUT \$1.50 / CUSIP: / Symbol:							
4 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	4.000	147.99	04/26/21	164.01	...	-16.02	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	73.99	04/26/21	82.01	...	-8.02	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	37.00	04/26/21	41.00	...	-4.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	36.99	04/26/21	39.00	...	-2.01	4 of 4 - Option sale to close-put <sup>25</sup>
05/06/21	8.000	295.97	Various	326.02	...	-30.05	Total of 4 transactions
2 transactions for 05/06/21. Total proceeds and cost reported to the IRS.							
	1.000	37.00	04/26/21	39.00	2.00 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	6.000	221.98	04/26/21	234.00	12.02 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
05/06/21	7.000	258.98	Various	273.00	14.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>554.95</b>		<b>599.02</b>	<b>14.02 W</b>	<b>-30.05</b>	
IVR 06/11/2021 CALL \$4.00 / CUSIP: / Symbol:							
06/10/21	10.000	469.97	06/09/21	370.00	...	99.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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LCID 10/01/2021 CALL \$25.00 / CUSIP: / Symbol:							
10/01/21	3.000	32.98	09/30/21	276.00	...	-243.02	Option sale to close-call <sup>25</sup>
LCID 10/01/2021 CALL \$26.00 / CUSIP: / Symbol:							
<i>4 transactions for 10/01/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	09/30/21	91.00	...	-91.00	1 of 4 - Option expiration- long call
	1.000	0.00	09/30/21	91.00	...	-91.00	2 of 4 - Option expiration- long call
	1.000	0.00	09/30/21	91.00	...	-91.00	3 of 4 - Option expiration- long call
	1.000	0.00	09/30/21	91.00	...	-91.00	4 of 4 - Option expiration- long call
10/01/21	4.000	0.00	Various	364.00	...	-364.00	Total of 4 transactions
LCID 10/01/2021 CALL \$27.00 / CUSIP: / Symbol:							
<i>3 transactions for 09/29/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	148.99	09/29/21	160.00	...	-11.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	148.99	09/29/21	160.00	...	-11.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	149.00	09/29/21	160.00	...	-11.00	3 of 3 - Option sale to close-call <sup>25</sup>
09/29/21	3.000	446.98	Various	480.00	...	-33.02	Total of 3 transactions
LCID 11/05/2021 PUT \$35.00 / CUSIP: / Symbol:							
11/01/21	2.000	293.98	10/28/21	810.00	...	-516.02	Option sale to close-put <sup>25</sup>
LCID 11/05/2021 PUT \$37.00 / CUSIP: / Symbol:							
11/01/21	2.000	473.98	10/28/21	870.00	...	-396.02	Option sale to close-put <sup>25</sup>
MVIS 05/21/2021 PUT \$30.00 / CUSIP: / Symbol:							
04/26/21	1.000	949.98	04/26/21	960.00	...	-10.02	Option sale to close-put <sup>25</sup>
MVST 08/20/2021 PUT \$12.50 / CUSIP: / Symbol:							
08/09/21	3.000	449.98	08/06/21	570.00	...	-120.02	Option sale to close-put <sup>25</sup>
OCGN 05/21/2021 PUT \$10.00 / CUSIP: / Symbol:							
05/07/21	2.000	591.98	04/22/21	596.00	...	-4.02	Option sale to close-put <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCGN 05/21/2021 PUT \$7.50 / CUSIP: / Symbol:							
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	117.98	04/23/21	124.00	...	-6.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	117.98	04/23/21	124.00	...	-6.02	2 of 2 - Option sale to close-put <sup>25</sup>
05/07/21	2.000	235.96	Various	248.00	...	-12.04	Total of 2 transactions
OEG 06/18/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	5.000	149.98	06/09/21	175.00	...	-25.02	1 of 3 - Option sale to close-call <sup>25</sup>
	4.000	119.99	06/09/21	140.00	...	-20.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	30.00	06/09/21	35.00	...	-5.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/09/21	10.000	299.97	Various	350.00	...	-50.03	Total of 3 transactions
PRPH 06/18/2021 CALL \$7.20 / CUSIP: / Symbol:							
06/18/21	2.000	0.00	06/10/21	80.00	...	-80.00	Option expiration- long call
RMO 06/18/2021 CALL \$9.00 / CUSIP: / Symbol:							
06/11/21	10.000	649.97	06/11/21	650.00	...	-0.03	Option sale to close-call <sup>25</sup>
SNDL 06/04/2021 CALL \$1.00 / CUSIP: / Symbol:							
4 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	1.000	36.98	06/02/21	14.00	...	22.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	44.98	06/02/21	14.00	...	30.98	2 of 4 - Option sale to close-call <sup>25</sup>
	9.000	332.97	06/02/21	126.00	...	206.97	3 of 4 - Option sale to close-call <sup>25</sup>
	9.000	404.97	06/02/21	126.00	...	278.97	4 of 4 - Option sale to close-call <sup>25</sup>
06/03/21	20.000	819.90	Various	280.00	...	539.90	Total of 4 transactions
SOS 04/09/2021 CALL \$6.00 / CUSIP: / Symbol:							
3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	4.000	199.98	03/29/21	220.00	...	-20.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	49.98	03/29/21	60.01	...	-10.03	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	50.00	03/29/21	60.02	...	-10.02	3 of 3 - Option sale to close-call <sup>25</sup>
04/07/21	6.000	299.96	Various	340.03	...	-40.07	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SOS 04/09/2021 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	3.000	149.98	03/29/21	165.00	5.01 W	-10.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.98	03/29/21	55.00	5.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
04/07/21	4.000	199.96	Various	220.00	10.03 W	-10.01	Total of 2 transactions
	<b>Security total:</b>	<b>499.92</b>		<b>560.03</b>	<b>10.03 W</b>	<b>-50.08</b>	
SOS 04/09/2021 CALL \$6.50 / CUSIP: / Symbol:							
04/09/21	10.000	0.00	04/07/21	250.00	...	-250.00	Option expiration- long call
WEN 06/18/2021 CALL \$27.00 / CUSIP: / Symbol:							
06/18/21	2.000	0.00	06/09/21	310.00	...	-310.00	Option expiration- long call
WISH 06/18/2021 CALL \$12.50 / CUSIP: / Symbol:							
3 transactions for 06/17/21. Total proceeds and cost reported to the IRS.							
	2.000	21.99	06/09/21	632.00	...	-610.01	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	21.99	06/09/21	500.00	...	-478.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	11.00	06/09/21	320.00	...	-309.00	3 of 3 - Option sale to close-call <sup>25</sup>
06/17/21	5.000	54.98	Various	1,452.00	...	-1,397.02	Total of 3 transactions
WISH 08/27/2021 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 08/27/21. Total proceeds and cost reported to the IRS.							
	15.000	0.00	08/24/21	555.00	...	-555.00	1 of 3 - Option expiration- long call
	10.000	0.00	08/24/21	220.00	...	-220.00	2 of 3 - Option expiration- long call
	5.000	0.00	08/24/21	110.00	...	-110.00	3 of 3 - Option expiration- long call
08/27/21	30.000	0.00	Various	885.00	...	-885.00	Total of 3 transactions
WPG 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/09/21	1.000	159.98	06/08/21	85.00	...	74.98	Option sale to close-call <sup>25</sup>
XERS 06/18/2021 CALL \$5.00 / CUSIP: / Symbol:							
06/04/21	10.000	619.97	06/03/21	550.00	...	69.97	Option sale to close-call <sup>25</sup>

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ACAMAR PARTNERS ACQUISITION CO RP. CLASS A COMMON STOCK / CUSIP: 004285102 / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	24.000	278.46	01/06/21	267.48	...	10.98	1 of 3 - Sale <sup>25</sup>
	100.000	1,160.23	01/06/21	1,117.00	...	43.23	2 of 3 - Sale <sup>25</sup>
	176.000	2,042.00	01/06/21	1,961.48	...	80.52	3 of 3 - Sale <sup>25</sup>
01/19/21	300.000	3,480.69	Various	3,345.96	...	134.73	Total of 3 transactions
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
6 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	3,600.000	2,019.15	06/24/21	2,087.64	...	-68.49	1 of 6 - Sale <sup>25</sup>
	1,000.000	560.87	06/24/21	579.90	...	-19.03	2 of 6 - Sale <sup>25</sup>
	100.000	56.00	06/24/21	57.99	...	-1.99	3 of 6 - Sale <sup>25</sup>
	100.000	56.00	06/24/21	57.99	...	-1.99	4 of 6 - Sale <sup>25</sup>
	100.000	56.00	06/24/21	57.99	...	-1.99	5 of 6 - Sale <sup>25</sup>
	100.000	56.00	06/24/21	57.99	...	-1.99	6 of 6 - Sale <sup>25</sup>
07/06/21	5,000.000	2,804.02	Various	2,899.50	...	-95.48	Total of 6 transactions
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	400.000	309.95	01/11/21	266.92	...	43.03	1 of 2 - Sale <sup>25</sup>
	600.000	464.93	01/11/21	400.38	...	64.55	2 of 2 - Sale <sup>25</sup>
01/21/21	1,000.000	774.88	Various	667.30	...	107.58	Total of 2 transactions
02/26/21	1,000.000	1,073.17	02/09/21	2,568.90	...	-1,495.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,848.05</b>		<b>3,236.20</b>	...	<b>-1,388.15</b>	
ALSET EHOME INTERNATIONAL INC. COMMON STOCK / CUSIP: 02116A104 / Symbol:							
03/17/21	100.000	1,413.38	03/05/21	1,129.13	...	284.25	Sale <sup>25</sup>
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/07/21	2.000	262.76	08/31/20	259.28	...	3.48	Sale <sup>25</sup>
ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol:							
8 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	67.000	746.43	03/05/21	709.42	...	37.01	1 of 8 - Sale <sup>25</sup>
	100.000	1,094.98	03/05/21	1,057.00	...	37.98	2 of 8 - Sale <sup>25</sup>

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(continued)

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ATLAS CREST INVESTMENT CORP. / CUSIP: 049284102 / Symbol: (cont'd)							
	152.000	1,691.73	03/05/21	1,609.44	...	82.29	3 of 8 - Sale <sup>25</sup>
	267.000	2,923.60	03/05/21	2,827.10	...	96.50	4 of 8 - Sale <sup>25</sup>
	233.000	2,595.81	03/05/21	2,467.10	...	128.71	5 of 8 - Sale <sup>25</sup>
	248.000	2,762.67	03/05/21	2,625.92	...	136.75	6 of 8 - Sale <sup>25</sup>
	300.000	3,342.06	03/05/21	3,176.52	...	165.54	7 of 8 - Sale <sup>25</sup>
	633.000	6,931.24	03/05/21	6,690.81	...	240.43	8 of 8 - Sale <sup>25</sup>
03/05/21	2,000.000	22,088.52	Various	21,163.31	...	925.21	Total of 8 transactions
	2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.						
	500.000	5,262.41	03/05/21	5,140.00	...	122.41	1 of 2 - Sale <sup>25</sup>
	500.000	5,274.91	03/05/21	5,140.00	...	134.91	2 of 2 - Sale <sup>25</sup>
03/18/21	1,000.000	10,537.32	Various	10,280.00	...	257.32	Total of 2 transactions
	2 transactions for 03/26/21. Total proceeds and cost reported to the IRS.						
	625.000	6,281.14	03/17/21	6,537.50	225.60 W	-30.76	1 of 2 - Sale <sup>25</sup>
	75.000	753.74	03/17/21	784.50	30.76 W	0.00	2 of 2 - Sale <sup>25</sup>
03/26/21	700.000	7,034.88	Various	7,322.00	256.36 W	-30.76	Total of 2 transactions
	8 transactions for 03/29/21. Total proceeds and cost reported to the IRS.						
	260.000	2,641.55	03/24/21	2,737.85	...	-96.30	1 of 8 - Sale <sup>25</sup>
	165.000	1,678.02	03/24/21	1,737.48	...	-59.46	2 of 8 - Sale <sup>25</sup>
	125.000	1,264.97	03/24/21	1,316.27	...	-51.30	3 of 8 - Sale <sup>25</sup>
	75.000	758.99	03/24/21	789.76	...	-30.77	4 of 8 - Sale <sup>25</sup>
	25.000	254.25	03/24/21	261.51	...	-7.26	5 of 8 - Sale <sup>25</sup>
	20.000	203.40	03/24/21	209.20	...	-5.80	6 of 8 - Sale <sup>25</sup>
	20.000	203.40	03/24/21	209.20	...	-5.80	7 of 8 - Sale <sup>25</sup>
	10.000	101.70	03/24/21	104.60	...	-2.90	8 of 8 - Sale <sup>25</sup>
03/29/21	700.000	7,106.28	Various	7,365.87	...	-259.59	Total of 8 transactions
03/29/21	300.000	3,035.95	03/17/21	3,138.00	25.51 W	-76.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>49,802.95</b>		<b>49,269.18</b>	<b>281.87 W</b>	<b>815.64</b>	

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
3 transactions for 01/25/21. Total proceeds and cost reported to the IRS.							
	50.000	132.53	01/13/21	57.00	...	75.53	1 of 3 - Sale <sup>25</sup>
	100.000	265.04	01/13/21	114.00	...	151.04	2 of 3 - Sale <sup>25</sup>
	350.000	862.69	01/13/21	399.00	...	463.69	3 of 3 - Sale <sup>25</sup>
01/25/21	500.000	1,260.26	Various	570.00	...	690.26	Total of 3 transactions
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/24/21	1,500.000	3,179.80	09/16/21	2,533.05	...	646.75	Sale <sup>25</sup>
3 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	500.000	1,309.93	09/16/21	844.35	...	465.58	1 of 3 - Sale <sup>25</sup>
	242.000	634.01	09/22/21	364.21	...	269.80	2 of 3 - Sale <sup>25</sup>
	258.000	675.92	09/22/21	388.29	...	287.63	3 of 3 - Sale <sup>25</sup>
09/27/21	1,000.000	2,619.86	Various	1,596.85	...	1,023.01	Total of 3 transactions
5 transactions for 09/29/21. Total proceeds and cost reported to the IRS.							
	58.000	187.38	09/22/21	87.29	...	100.09	1 of 5 - Sale <sup>25</sup>
	142.000	458.75	09/22/21	214.42	...	244.33	2 of 5 - Sale <sup>25</sup>
	158.000	510.45	09/22/21	237.79	...	272.66	3 of 5 - Sale <sup>25</sup>
	300.000	914.98	09/22/21	453.00	...	461.98	4 of 5 - Sale <sup>25</sup>
	342.000	1,104.88	09/22/21	514.71	...	590.17	5 of 5 - Sale <sup>25</sup>
09/29/21	1,000.000	3,176.44	Various	1,507.21	...	1,669.23	Total of 5 transactions
5 transactions for 09/30/21. Total proceeds and cost reported to the IRS.							
	96.000	352.39	09/29/21	326.40	...	25.99	1 of 5 - Sale <sup>25</sup>
	404.000	1,482.99	09/29/21	1,373.60	...	109.39	2 of 5 - Sale <sup>25</sup>
	500.000	1,835.38	09/29/21	1,700.00	...	135.38	3 of 5 - Sale <sup>25</sup>
	1,000.000	3,670.76	09/29/21	3,400.00	...	270.76	4 of 5 - Sale <sup>25</sup>
	2,000.000	7,280.32	09/30/21	7,099.00	...	181.32	5 of 5 - Sale <sup>25</sup>
09/30/21	4,000.000	14,621.84	Various	13,899.00	...	722.84	Total of 5 transactions
09/30/21	1,000.000	3,590.26	09/30/21	3,659.40	69.14 W	0.00	Sale <sup>25</sup>
2 transactions for 10/05/21. Total proceeds and cost reported to the IRS.							
	93.000	281.87	10/05/21	279.93	...	1.94	1 of 2 - Sale <sup>25</sup>
	907.000	2,748.99	10/05/21	2,730.07	...	18.92	2 of 2 - Sale <sup>25</sup>
10/05/21	1,000.000	3,030.86	Various	3,010.00	...	20.86	Total of 2 transactions
10/05/21	1,000.000	3,030.86	10/05/21	3,079.14	48.28 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
<i>4 transactions for 10/06/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	238.31	10/05/21	372.56	134.25 W	0.00	1 of 4 - Sale <sup>25</sup>
	300.000	357.47	10/05/21	558.84	201.37 W	0.00	2 of 4 - Sale <sup>25</sup>
	500.000	595.79	10/05/21	931.41	335.62 W	0.00	3 of 4 - Sale <sup>25</sup>
	1,000.000	1,179.87	10/05/21	1,577.68	397.81 W	0.00	4 of 4 - Sale <sup>25</sup>
10/06/21	2,000.000	2,371.44	Various	3,440.49	1,069.05 W	0.00	Total of 4 transactions
<i>3 transactions for 10/07/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	167.06	10/06/21	131.19	...	35.87	1 of 3 - Sale <sup>25</sup>
	1,000.000	1,351.27	10/06/21	996.00	...	355.27	2 of 3 - Sale <sup>25</sup>
	900.000	1,503.51	10/06/21	896.40	...	607.11	3 of 3 - Sale <sup>25</sup>
10/07/21	2,000.000	3,021.84	Various	2,023.59	...	998.25	Total of 3 transactions
<i>4 transactions for 10/07/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	135.13	10/06/21	166.72	31.59 W	0.00	1 of 4 - Sale <sup>25</sup>
	200.000	270.25	10/06/21	333.45	63.20 W	0.00	2 of 4 - Sale <sup>25</sup>
	200.000	270.25	10/06/21	333.45	63.20 W	0.00	3 of 4 - Sale <sup>25</sup>
	500.000	675.64	10/06/21	833.62	157.98 W	0.00	4 of 4 - Sale <sup>25</sup>
10/07/21	1,000.000	1,351.27	Various	1,667.24	315.97 W	0.00	Total of 4 transactions
<i>5 transactions for 10/11/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	172.48	10/08/21	180.00	7.52 W	0.00	1 of 5 - Sale <sup>25</sup>
	200.000	344.97	10/08/21	423.20	78.23 W	0.00	2 of 5 - Sale <sup>25</sup>
	200.000	344.97	10/08/21	423.20	78.23 W	0.00	3 of 5 - Sale <sup>25</sup>
	200.000	344.98	10/08/21	423.19	78.21 W	0.00	4 of 5 - Sale <sup>25</sup>
	300.000	517.46	10/08/21	634.79	117.33 W	0.00	5 of 5 - Sale <sup>25</sup>
10/11/21	1,000.000	1,724.86	Various	2,084.38	359.52 W	0.00	Total of 5 transactions
<i>3 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	164.11	10/13/21	160.42	...	3.69	1 of 3 - Sale <sup>25</sup>
	500.000	820.53	10/13/21	764.50	...	56.03	2 of 3 - Sale <sup>25</sup>
	500.000	845.63	10/13/21	764.50	...	81.13	3 of 3 - Sale <sup>25</sup>
10/19/21	1,100.000	1,830.27	Various	1,689.42	...	140.85	Total of 3 transactions
<i>4 transactions for 10/19/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	328.21	10/13/21	384.01	55.80 W	0.00	1 of 4 - Sale <sup>25</sup>
	200.000	328.21	10/13/21	384.03	55.82 W	0.00	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	200.000	328.22	10/13/21	384.03	55.81 W	0.00	3 of 4 - Sale <sup>25</sup>
	300.000	492.32	10/13/21	576.03	83.71 W	0.00	4 of 4 - Sale <sup>25</sup>
10/19/21	900.000	1,476.96	Various	1,728.10	251.14 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>45,026.56</b>		<b>41,917.87</b>	<b>2,113.10 W</b>	<b>5,221.79</b>	
CANOO INC. CLASS A COMMON STOC K / CUSIP: 13803R102 / Symbol:							
2 transactions for 01/13/21. Total proceeds and cost reported to the IRS.							
	100.000	1,899.95	09/18/20	1,807.44	...	92.51	1 of 2 - Sale <sup>25</sup>
	100.000	1,899.94	12/22/20	1,852.00	...	47.94	2 of 2 - Sale <sup>25</sup>
01/13/21	200.000	3,799.89	Various	3,659.44	...	140.45	Total of 2 transactions
01/21/21	200.000	3,435.90	12/24/20	3,560.00	...	-124.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,235.79</b>		<b>7,219.44</b>	...	<b>16.35</b>	
CEMTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
9 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	200.000	359.98	04/06/21	375.84	...	-15.86	1 of 9 - Sale <sup>25</sup>
	200.000	359.98	04/06/21	375.84	...	-15.86	2 of 9 - Sale <sup>25</sup>
	200.000	359.98	04/06/21	375.84	...	-15.86	3 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/06/21	187.92	...	-7.93	4 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/06/21	187.92	...	-7.93	5 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/06/21	187.92	...	-7.93	6 of 9 - Sale <sup>25</sup>
	50.000	90.00	04/06/21	93.96	...	-3.96	7 of 9 - Sale <sup>25</sup>
	35.000	63.00	04/06/21	65.77	...	-2.77	8 of 9 - Sale <sup>25</sup>
	15.000	27.00	04/06/21	28.19	...	-1.19	9 of 9 - Sale <sup>25</sup>
04/06/21	1,000.000	1,799.91	Various	1,879.20	...	-79.29	Total of 9 transactions
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
03/24/21	150.000	3,502.48	03/24/21	3,501.00	...	1.48	Sale <sup>25</sup>
4 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	6.000	141.00	03/29/21	130.91	...	10.09	1 of 4 - Sale <sup>25</sup>
	8.000	188.00	03/29/21	174.55	...	13.45	2 of 4 - Sale <sup>25</sup>
	36.000	845.99	03/29/21	785.49	...	60.50	3 of 4 - Sale <sup>25</sup>
	100.000	2,349.97	03/29/21	2,263.00	...	86.97	4 of 4 - Sale <sup>25</sup>
03/30/21	150.000	3,524.96	Various	3,353.95	...	171.01	Total of 4 transactions

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol: (cont'd)							
04/22/21	12.000	233.28	03/30/21	253.90	...	-20.62	Sale <sup>25</sup>
	15 transactions for 04/22/21. Total proceeds and cost reported to the IRS.						
	22.000	427.68	03/29/21	480.02	52.34 W	0.00	1 of 15 - Sale <sup>25</sup>
	28.000	544.31	03/29/21	610.93	66.62 W	0.00	2 of 15 - Sale <sup>25</sup>
	22.000	427.67	03/30/21	517.82	24.59 W	-65.56	3 of 15 - Sale <sup>25</sup>
	1.000	19.62	03/30/21	21.16	1.54 W	0.00	4 of 15 - Sale <sup>25</sup>
	16.000	313.92	03/30/21	338.53	24.61 W	0.00	5 of 15 - Sale <sup>25</sup>
	99.000	1,942.36	03/30/21	2,094.66	152.30 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.000	19.62	04/12/21	23.47	3.85 W	0.00	7 of 15 - Sale <sup>25</sup>
	6.000	117.72	04/12/21	156.17	38.45 W	0.00	8 of 15 - Sale <sup>25</sup>
	16.000	313.91	04/12/21	375.49	61.58 W	0.00	9 of 15 - Sale <sup>25</sup>
	16.000	313.92	04/12/21	350.88	36.96 W	0.00	10 of 15 - Sale <sup>25</sup>
	28.000	549.35	04/12/21	680.66	131.31 W	0.00	11 of 15 - Sale <sup>25</sup>
	34.000	667.07	04/12/21	745.62	78.55 W	0.00	12 of 15 - Sale <sup>25</sup>
	49.000	961.36	04/12/21	1,149.95	188.59 W	0.00	13 of 15 - Sale <sup>25</sup>
	50.000	980.99	04/12/21	1,173.42	192.43 W	0.00	14 of 15 - Sale <sup>25</sup>
	100.000	1,961.97	04/12/21	2,193.00	231.03 W	0.00	15 of 15 - Sale <sup>25</sup>
04/22/21	488.000	9,561.47	Various	10,911.78	1,284.75 W	-65.56	Total of 15 transactions
	<b>Security total:</b>	<b>16,822.19</b>		<b>18,020.63</b>	<b>1,284.75 W</b>	<b>86.31</b>	
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
06/02/21	1.000	12.43	05/14/21	11.02	...	1.41	Sale <sup>25</sup>
CONTEXTLOGIC INC. CLASS A COMM ON STOCK / CUSIP: 21077C107 / Symbol:							
	4 transactions for 10/04/21. Total proceeds and cost reported to the IRS.						
	500.000	2,515.53	06/29/21	6,963.80	...	-4,448.27	1 of 4 - Sale <sup>25</sup>
	500.000	2,515.53	08/13/21	3,358.75	...	-843.22	2 of 4 - Sale <sup>25</sup>
	1,000.000	5,031.05	09/28/21	5,776.70	...	-745.65	3 of 4 - Sale <sup>25</sup>
	1,000.000	5,031.05	10/01/21	5,318.00	...	-286.95	4 of 4 - Sale <sup>25</sup>
10/04/21	3,000.000	15,093.16	Various	21,417.25	...	-6,324.09	Total of 4 transactions

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Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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DATCHAT, INC. COMMON STOCK / CUSIP: 23816M107 / Symbol:							
2 transactions for 10/11/21. Total proceeds and cost reported to the IRS.							
	100.000	1,245.71	10/08/21	1,082.00	...	163.71	1 of 2 - Sale <sup>25</sup>
	400.000	4,982.84	10/08/21	4,327.76	...	655.08	2 of 2 - Sale <sup>25</sup>
10/11/21	500.000	6,228.55	Various	5,409.76	...	818.79	Total of 2 transactions
3 transactions for 10/14/21. Total proceeds and cost reported to the IRS.							
	100.000	998.98	10/13/21	1,275.00	...	-276.02	1 of 3 - Sale <sup>25</sup>
	100.000	998.98	10/13/21	1,275.00	...	-276.02	2 of 3 - Sale <sup>25</sup>
	100.000	998.98	10/13/21	1,275.00	...	-276.02	3 of 3 - Sale <sup>25</sup>
10/14/21	300.000	2,996.94	Various	3,825.00	...	-828.06	Total of 3 transactions
	<b>Security total:</b>	<b>9,225.49</b>		<b>9,234.76</b>	...	<b>-9.27</b>	
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
06/09/21	2,000.000	4,299.73	06/08/21	4,298.20	...	1.53	Sale <sup>25</sup>
DIGITAL BRANDS GROUP, INC. COM MON STOCK / CUSIP: 25401N101 / Symbol:							
06/24/21	500.000	2,918.17	06/24/21	3,123.10	...	-204.93	Sale <sup>25</sup>
DOMA HOLDINGS, INC. / CUSIP: 25703A104 / Symbol:							
3 transactions for 09/17/21. Total proceeds and cost reported to the IRS.							
	100.000	888.98	09/16/21	775.85	...	113.13	1 of 3 - Sale <sup>25</sup>
	100.000	888.98	09/16/21	775.85	...	113.13	2 of 3 - Sale <sup>25</sup>
	300.000	2,666.94	09/16/21	2,327.55	...	339.39	3 of 3 - Sale <sup>25</sup>
09/17/21	500.000	4,444.90	Various	3,879.25	...	565.65	Total of 3 transactions
DRIVE SHACK INC. / CUSIP: 262077100 / Symbol:							
06/14/21	1,000.000	3,789.86	06/14/21	3,810.00	20.14 W	0.00	Sale <sup>25</sup>
06/29/21	1,000.000	3,241.16	06/25/21	3,518.64	...	-277.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,031.02</b>		<b>7,328.64</b>	<b>20.14 W</b>	<b>-277.48</b>	
ENERGY FOCUS, INC. COMMON STOC K / CUSIP: 29268T409 / Symbol:							
6 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	300.000	1,646.95	06/03/21	1,683.00	...	-36.05	1 of 6 - Sale <sup>25</sup>
	144.000	785.07	06/03/21	807.84	...	-22.77	2 of 6 - Sale <sup>25</sup>
	100.000	548.98	06/03/21	561.00	...	-12.02	3 of 6 - Sale <sup>25</sup>

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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ENERGY FOCUS, INC. COMMON STOC K / CUSIP: 29268T409 / Symbol: (cont'd)							
	100.000	548.98	06/03/21	561.00	...	-12.02	4 of 6 - Sale <sup>25</sup>
	100.000	548.98	06/03/21	561.00	...	-12.02	5 of 6 - Sale <sup>25</sup>
	6.000	33.00	06/03/21	33.66	...	-0.66	6 of 6 - Sale <sup>25</sup>
06/03/21	750.000	4,111.96	Various	4,207.50	...	-95.54	Total of 6 transactions
ENGLOBAL CORPORATION COMMON ST OCK / CUSIP: 293306106 / Symbol:							
8 transactions for 03/11/21. Total proceeds and cost reported to the IRS.							
	1.000	6.35	03/11/21	6.28	...	0.07	1 of 8 - Sale <sup>25</sup>
	50.000	318.00	03/11/21	314.00	...	4.00	2 of 8 - Sale <sup>25</sup>
	100.000	632.98	03/11/21	628.00	...	4.98	3 of 8 - Sale <sup>25</sup>
	99.000	628.63	03/11/21	621.72	...	6.91	4 of 8 - Sale <sup>25</sup>
	100.000	634.98	03/11/21	628.00	...	6.98	5 of 8 - Sale <sup>25</sup>
	100.000	634.98	03/11/21	628.00	...	6.98	6 of 8 - Sale <sup>25</sup>
	100.000	635.98	03/11/21	628.00	...	7.98	7 of 8 - Sale <sup>25</sup>
	450.000	2,861.93	03/11/21	2,826.00	...	35.93	8 of 8 - Sale <sup>25</sup>
03/11/21	1,000.000	6,353.83	Various	6,280.00	...	73.83	Total of 8 transactions
3 transactions for 03/12/21. Total proceeds and cost reported to the IRS.							
	300.000	1,772.96	03/11/21	1,875.00	...	-102.04	1 of 3 - Sale <sup>25</sup>
	100.000	590.98	03/11/21	625.00	...	-34.02	2 of 3 - Sale <sup>25</sup>
	100.000	590.98	03/11/21	625.00	...	-34.02	3 of 3 - Sale <sup>25</sup>
03/12/21	500.000	2,954.92	Various	3,125.00	...	-170.08	Total of 3 transactions
	<b>Security total:</b>	<b>9,308.75</b>		<b>9,405.00</b>	...	<b>-96.25</b>	
E2OPEN PARENT HOLDINGS, INC. / CUSIP: 29788T103 / Symbol:							
02/11/21	250.000	2,337.96	02/01/21	2,575.00	...	-237.04	Sale <sup>25</sup>
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	200.000	4,360.43	04/14/21	4,359.40	...	1.03	1 of 2 - Sale <sup>25</sup>
	200.000	4,360.43	04/16/21	3,785.36	...	575.07	2 of 2 - Sale <sup>25</sup>
04/26/21	400.000	8,720.86	Various	8,144.76	...	576.10	Total of 2 transactions
2 transactions for 04/30/21. Total proceeds and cost reported to the IRS.							
	52.000	1,048.30	04/30/21	1,046.76	...	1.54	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	148.000	2,983.64	04/30/21	2,979.24	...	4.40	2 of 2 - Sale <sup>25</sup>
04/30/21	200.000	4,031.94	Various	4,026.00	...	5.94	Total of 2 transactions
05/14/21	150.000	3,004.46	05/03/21	2,978.04	...	26.42	Sale <sup>25</sup>
	2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	50.000	1,604.99	05/03/21	992.68	...	612.31	1 of 2 - Sale <sup>25</sup>
	150.000	4,814.95	05/03/21	2,964.96	...	1,849.99	2 of 2 - Sale <sup>25</sup>
08/10/21	200.000	6,419.94	Various	3,957.64	...	2,462.30	Total of 2 transactions
	4 transactions for 09/17/21. Total proceeds and cost reported to the IRS.						
	2.000	55.80	05/03/21	39.53	...	16.27	1 of 4 - Sale <sup>25</sup>
	48.000	1,339.69	05/03/21	948.79	...	390.90	2 of 4 - Sale <sup>25</sup>
	100.000	2,791.02	05/03/21	1,976.64	...	814.38	3 of 4 - Sale <sup>25</sup>
	100.000	2,791.02	05/03/21	1,976.64	...	814.38	4 of 4 - Sale <sup>25</sup>
09/17/21	250.000	6,977.53	Various	4,941.60	...	2,035.93	Total of 4 transactions
	<b>Security total:</b>	<b>29,154.73</b>		<b>24,048.04</b>	...	<b>5,106.69</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
01/28/21	1,000.000	2,029.83	01/28/21	2,090.00	...	-60.17	Sale <sup>25</sup>
GIGCAPITAL3, INC. / CUSIP: 37519D107 / Symbol:							
	2 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	100.000	1,042.98	02/09/21	1,435.50	...	-392.52	1 of 2 - Sale <sup>25</sup>
	100.000	1,042.98	03/08/21	1,061.00	...	-18.02	2 of 2 - Sale <sup>25</sup>
03/25/21	200.000	2,085.96	Various	2,496.50	...	-410.54	Total of 2 transactions
GOLDEN NUGGET ONLINE GAMING, I NC. CLASS A COMMON STOCK / CUSIP: 38113L107 / Symbol:							
01/11/21	50.000	974.02	09/09/20	875.50	...	98.52	Sale <sup>25</sup>
	2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	15.000	309.00	09/09/20	262.65	...	46.35	1 of 2 - Sale <sup>25</sup>
	135.000	2,782.52	09/09/20	2,363.85	...	418.67	2 of 2 - Sale <sup>25</sup>
01/21/21	150.000	3,091.52	Various	2,626.50	...	465.02	Total of 2 transactions
	<b>Security total:</b>	<b>4,065.54</b>		<b>3,502.00</b>	...	<b>563.54</b>	

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK / CUSIP: 382865103 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	200.000	2,465.92	01/06/21	2,369.00	...	96.92	1 of 2 - Sale <sup>25</sup>
01/19/21	400.000	4,931.84	01/19/21	4,928.00	...	3.84	2 of 2 - Sale <sup>25</sup>
	600.000	7,397.76	Various	7,297.00	...	100.76	Total of 2 transactions
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	50.000	616.48	01/06/21	618.95	2.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	1,232.96	01/06/21	1,237.50	4.54 W	0.00	2 of 3 - Sale <sup>25</sup>
01/19/21	100.000	1,232.96	01/06/21	1,237.50	4.54 W	0.00	3 of 3 - Sale <sup>25</sup>
	250.000	3,082.40	Various	3,093.95	11.55 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>10,480.16</b>		<b>10,390.95</b>	<b>11.55 W</b>	<b>100.76</b>	
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
5 transactions for 06/14/21. Total proceeds and cost reported to the IRS.							
	100.000	458.99	06/11/21	454.84	...	4.15	1 of 5 - Sale <sup>25</sup>
	100.000	458.99	06/11/21	454.84	...	4.15	2 of 5 - Sale <sup>25</sup>
	100.000	458.99	06/11/21	454.84	...	4.15	3 of 5 - Sale <sup>25</sup>
	100.000	458.99	06/11/21	454.84	...	4.15	4 of 5 - Sale <sup>25</sup>
06/14/21	100.000	458.99	06/11/21	454.84	...	4.15	5 of 5 - Sale <sup>25</sup>
	500.000	2,294.95	Various	2,274.20	...	20.75	Total of 5 transactions
HISTOGEN INC. COMMON STOCK / CUSIP: 43358Y103 / Symbol:							
01/21/21	1,000.000	1,119.85	01/19/21	935.00	...	184.85	Sale <sup>25</sup>
HUDSON EXECUTIVE INVESTMENT CO RP. CLASS A COMMON STOCK / CUSIP: 443761101 / Symbol:							
01/27/21	300.000	3,275.99	01/27/21	3,237.00	...	38.99	Sale <sup>25</sup>
9 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	100.000	1,091.99	01/20/21	1,175.99	84.00 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	1,091.99	01/20/21	1,176.00	84.01 W	0.00	2 of 9 - Sale <sup>25</sup>
	100.000	1,091.99	01/20/21	1,176.00	84.01 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	1,091.99	01/20/21	1,176.00	84.01 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	1,091.99	01/20/21	1,176.00	84.01 W	0.00	5 of 9 - Sale <sup>25</sup>
	200.000	2,183.99	01/21/21	2,293.98	109.99 W	0.00	6 of 9 - Sale <sup>25</sup>
	500.000	5,459.97	01/22/21	5,785.00	325.03 W	0.00	7 of 9 - Sale <sup>25</sup>
	100.000	1,091.99	01/27/21	1,106.00	14.01 W	0.00	8 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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HUDSON EXECUTIVE INVESTMENT CO RP. CLASS A COMMON STOCK / CUSIP: 443761101 / Symbol: (cont'd)							
01/27/21	400.000	4,367.98	01/27/21	4,430.04	62.06 W	0.00	9 of 9 - Sale <sup>25</sup>
01/27/21	1,700.000	18,563.88	Various	19,495.01	931.13 W	0.00	Total of 9 transactions
	10 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	100.000	1,094.97	01/27/21	1,100.01	5.04 W	0.00	1 of 10 - Sale <sup>25</sup>
	100.000	1,094.97	01/27/21	1,179.00	84.03 W	0.00	2 of 10 - Sale <sup>25</sup>
	100.000	1,094.97	01/27/21	1,179.01	84.04 W	0.00	3 of 10 - Sale <sup>25</sup>
	100.000	1,094.97	01/27/21	1,179.01	84.04 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	1,094.97	01/27/21	1,179.01	84.04 W	0.00	5 of 10 - Sale <sup>25</sup>
	100.000	1,094.97	01/27/21	1,179.01	84.04 W	0.00	6 of 10 - Sale <sup>25</sup>
	200.000	2,189.95	01/27/21	2,203.03	13.08 W	0.00	7 of 10 - Sale <sup>25</sup>
	200.000	2,189.95	01/27/21	2,299.99	110.04 W	0.00	8 of 10 - Sale <sup>25</sup>
	200.000	2,189.95	01/27/21	2,302.01	112.06 W	0.00	9 of 10 - Sale <sup>25</sup>
	300.000	3,284.93	01/27/21	3,480.02	195.09 W	0.00	10 of 10 - Sale <sup>25</sup>
01/28/21	1,500.000	16,424.60	Various	17,280.10	855.50 W	0.00	Total of 10 transactions
	3 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	5.000	57.50	01/28/21	55.68	...	1.82	1 of 3 - Sale <sup>25</sup>
	95.000	1,092.46	01/28/21	1,057.84	...	34.62	2 of 3 - Sale <sup>25</sup>
	100.000	1,149.96	01/28/21	1,113.51	...	36.45	3 of 3 - Sale <sup>25</sup>
02/05/21	200.000	2,299.92	Various	2,227.03	...	72.89	Total of 3 transactions
	6 transactions for 02/05/21. Total proceeds and cost reported to the IRS.						
	50.000	574.98	01/28/21	576.51	1.53 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	1,149.96	01/28/21	1,182.04	32.08 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	1,149.96	01/28/21	1,182.04	32.08 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	1,149.96	01/28/21	1,182.04	32.08 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	1,149.97	01/28/21	1,182.03	32.06 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	1,149.97	01/28/21	1,182.04	32.07 W	0.00	6 of 6 - Sale <sup>25</sup>
02/05/21	550.000	6,324.80	Various	6,486.70	161.90 W	0.00	Total of 6 transactions
	15 transactions for 03/05/21. Total proceeds and cost reported to the IRS.						
	100.000	991.98	01/28/21	1,163.03	...	-171.05	1 of 15 - Sale <sup>25</sup>
	100.000	991.98	01/28/21	1,153.02	...	-161.04	2 of 15 - Sale <sup>25</sup>
	50.000	495.99	01/28/21	576.51	...	-80.52	3 of 15 - Sale <sup>25</sup>
	200.000	1,983.97	02/02/21	2,370.06	...	-386.09	4 of 15 - Sale <sup>25</sup>
	200.000	1,983.97	02/02/21	2,352.06	...	-368.09	5 of 15 - Sale <sup>25</sup>

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Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HUDSON EXECUTIVE INVESTMENT CO RP. CLASS A COMMON STOCK / CUSIP: 443761101 / Symbol: (cont'd)							
	100.000	991.98	02/02/21	1,125.04	...	-133.06	6 of 15 - Sale <sup>25</sup>
	250.000	2,479.96	02/24/21	2,632.50	...	-152.54	7 of 15 - Sale <sup>25</sup>
	150.000	1,487.98	02/24/21	1,589.31	...	-101.33	8 of 15 - Sale <sup>25</sup>
	100.000	991.98	02/24/21	1,085.08	...	-93.10	9 of 15 - Sale <sup>25</sup>
	100.000	991.98	02/24/21	1,085.08	...	-93.10	10 of 15 - Sale <sup>25</sup>
	100.000	991.98	02/24/21	1,085.08	...	-93.10	11 of 15 - Sale <sup>25</sup>
	100.000	991.98	02/24/21	1,085.07	...	-93.09	12 of 15 - Sale <sup>25</sup>
	100.000	991.99	02/24/21	1,085.06	...	-93.07	13 of 15 - Sale <sup>25</sup>
	50.000	495.99	02/24/21	529.77	...	-33.78	14 of 15 - Sale <sup>25</sup>
	50.000	495.99	02/24/21	528.03	...	-32.04	15 of 15 - Sale <sup>25</sup>
03/05/21	1,750.000	17,359.70	Various	19,444.70	...	-2,085.00	Total of 15 transactions
	<b>Security total:</b>	<b>64,248.89</b>		<b>68,170.54</b>	<b>1,948.53 W</b>	<b>-1,973.12</b>	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
	2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.						
	500.000	4,960.36	03/10/21	6,755.00	1,794.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	250.000	2,480.19	04/12/21	2,507.20	27.01 W	0.00	2 of 2 - Sale <sup>25</sup>
04/26/21	750.000	7,440.55	Various	9,262.20	1,821.65 W	0.00	Total of 2 transactions
	3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.						
	250.000	1,914.96	04/12/21	3,404.52	...	-1,489.56	1 of 3 - Sale <sup>25</sup>
	250.000	1,914.96	04/13/21	3,298.57	...	-1,383.61	2 of 3 - Sale <sup>25</sup>
	250.000	1,914.96	04/13/21	2,428.26	...	-513.30	3 of 3 - Sale <sup>25</sup>
05/11/21	750.000	5,744.88	Various	9,131.35	...	-3,386.47	Total of 3 transactions
	<b>Security total:</b>	<b>13,185.43</b>		<b>18,393.55</b>	<b>1,821.65 W</b>	<b>-3,386.47</b>	
INDIA GLOBALIZATION CAPITAL, I NC. / CUSIP: 45408X308 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	400.000	659.93	01/28/21	687.96	...	-28.03	1 of 2 - Sale <sup>25</sup>
	100.000	164.99	01/28/21	171.99	...	-7.00	2 of 2 - Sale <sup>25</sup>
01/28/21	500.000	824.92	Various	859.95	...	-35.03	Total of 2 transactions

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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INUVO, INC. / CUSIP: 46122W204 / Symbol:							
3 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	50.000	77.50	01/12/21	32.32	...	45.18	1 of 3 - Sale <sup>25</sup>
	150.000	233.23	01/12/21	96.96	...	136.27	2 of 3 - Sale <sup>25</sup>
	300.000	466.46	01/12/21	193.92	...	272.54	3 of 3 - Sale <sup>25</sup>
01/19/21	500.000	777.19	Various	323.20	...	453.99	Total of 3 transactions
01/21/21	500.000	814.92	01/12/21	323.20	...	491.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,592.11</b>		<b>646.40</b>	...	<b>945.71</b>	
JUNIPER INDUSTRIAL HOLDINGS, I NC. / CUSIP: 48205G106 / Symbol:							
4 transactions for 01/06/21. Total proceeds and cost reported to the IRS.							
	100.000	1,096.45	12/22/20	1,091.95	...	4.50	1 of 4 - Sale <sup>25</sup>
	100.000	1,096.45	12/22/20	1,091.95	...	4.50	2 of 4 - Sale <sup>25</sup>
	100.000	1,096.45	12/22/20	1,091.95	...	4.50	3 of 4 - Sale <sup>25</sup>
	100.000	1,096.46	12/22/20	1,091.95	...	4.51	4 of 4 - Sale <sup>25</sup>
01/06/21	400.000	4,385.81	Various	4,367.80	...	18.01	Total of 4 transactions
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol:							
3 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	144.000	872.61	06/04/21	900.00	...	-27.39	1 of 3 - Sale <sup>25</sup>
	150.000	913.47	06/04/21	937.50	...	-24.03	2 of 3 - Sale <sup>25</sup>
	6.000	36.30	06/04/21	37.50	...	-1.20	3 of 3 - Sale <sup>25</sup>
06/04/21	300.000	1,822.38	Various	1,875.00	...	-52.62	Total of 3 transactions
06/07/21	200.000	1,125.97	06/04/21	1,250.00	...	-124.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,948.35</b>		<b>3,125.00</b>	...	<b>-176.65</b>	
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol:							
11 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	27.71	04/22/21	22.94	...	4.77	1 of 11 - Sale <sup>25</sup>
	6.000	166.29	04/22/21	152.99	...	13.30	2 of 11 - Sale <sup>25</sup>
	16.000	443.44	04/22/21	367.02	...	76.42	3 of 11 - Sale <sup>25</sup>
	16.000	443.44	04/22/21	367.02	...	76.42	4 of 11 - Sale <sup>25</sup>
	16.000	443.44	04/22/21	342.40	...	101.04	5 of 11 - Sale <sup>25</sup>
	28.000	776.01	04/22/21	665.83	...	110.18	6 of 11 - Sale <sup>25</sup>
	34.000	942.30	04/22/21	779.91	...	162.39	7 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LUCID GROUP, INC. COMMON STOCK / CUSIP: 549498103 / Symbol: (cont'd)							
	32.000	886.87	04/22/21	684.81	...	202.06	8 of 11 - Sale <sup>25</sup>
	34.000	942.30	04/22/21	727.61	...	214.69	9 of 11 - Sale <sup>25</sup>
	49.000	1,358.02	04/22/21	1,124.00	...	234.02	10 of 11 - Sale <sup>25</sup>
	68.000	1,884.60	04/22/21	1,455.22	...	429.38	11 of 11 - Sale <sup>25</sup>
10/26/21	300.000	8,314.42	Various	6,689.75	...	1,624.67	Total of 11 transactions
	6 transactions for 10/28/21. Total proceeds and cost reported to the IRS.						
	11.000	355.30	04/22/21	210.10	...	145.20	1 of 6 - Sale <sup>25</sup>
	89.000	2,874.67	04/22/21	1,699.90	...	1,174.77	2 of 6 - Sale <sup>25</sup>
	100.000	3,229.97	04/22/21	1,910.00	...	1,319.97	3 of 6 - Sale <sup>25</sup>
	63.000	2,143.35	05/03/21	1,258.08	...	885.27	4 of 6 - Sale <sup>25</sup>
	87.000	2,959.87	05/03/21	1,737.35	...	1,222.52	5 of 6 - Sale <sup>25</sup>
	100.000	3,229.97	05/03/21	1,996.95	...	1,233.02	6 of 6 - Sale <sup>25</sup>
10/28/21	450.000	14,793.13	Various	8,812.38	...	5,980.75	Total of 6 transactions
	<b>Security total:</b>	<b>23,107.55</b>		<b>15,502.13</b>	...	<b>7,605.42</b>	
LUMEN TECHNOLOGIES, INC. / CUSIP: 550241103 / Symbol:							
	2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.						
	200.000	2,401.92	01/28/21	2,411.98	...	-10.06	1 of 2 - Sale <sup>25</sup>
	100.000	1,200.96	01/28/21	1,205.99	...	-5.03	2 of 2 - Sale <sup>25</sup>
01/28/21	300.000	3,602.88	Various	3,617.97	...	-15.09	Total of 2 transactions
MILLENDO THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 60040X103 / Symbol:							
	2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.						
	519.000	582.41	06/22/21	778.50	...	-196.09	1 of 2 - Sale <sup>25</sup>
	481.000	539.76	06/22/21	721.50	...	-181.74	2 of 2 - Sale <sup>25</sup>
06/24/21	1,000.000	1,122.17	Various	1,500.00	...	-377.83	Total of 2 transactions
MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol:							
	3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	45.000	74.30	01/27/21	52.20	...	22.10	1 of 3 - Sale <sup>25</sup>
	500.000	825.52	01/27/21	580.00	...	245.52	2 of 3 - Sale <sup>25</sup>
	955.000	1,576.75	01/27/21	1,107.80	...	468.95	3 of 3 - Sale <sup>25</sup>
02/23/21	1,500.000	2,476.57	Various	1,740.00	...	736.57	Total of 3 transactions

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOLECULAR DATA INC. AMERICAN D EPOSITARY SHARES / CUSIP: 60852L106 / Symbol: (cont'd)							
2 transactions for 02/26/21. Total proceeds and cost reported to the IRS.							
	500.000	626.09	01/27/21	580.00	...	46.09	1 of 2 - Sale <sup>25</sup>
	1,000.000	1,252.17	01/27/21	1,118.70	...	133.47	2 of 2 - Sale <sup>25</sup>
02/26/21	1,500.000	1,878.26	Various	1,698.70	...	179.56	Total of 2 transactions
	<b>Security total:</b>	<b>4,354.83</b>		<b>3,438.70</b>	...	<b>916.13</b>	
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	400.000	2,263.94	04/29/21	3,075.44	...	-811.50	1 of 3 - Sale <sup>25</sup>
	293.000	1,658.33	05/03/21	2,053.93	...	-395.60	2 of 3 - Sale <sup>25</sup>
	207.000	1,171.59	05/03/21	1,451.07	...	-279.48	3 of 3 - Sale <sup>25</sup>
05/11/21	900.000	5,093.86	Various	6,580.44	...	-1,486.58	Total of 3 transactions
NANOVIBRONIX, INC. COMMON STOCK / CUSIP: 63008J108 / Symbol:							
04/26/21	1,000.000	1,069.87	04/26/21	1,140.00	...	-70.13	Sale <sup>25</sup>
9F INC. AMERICAN DEPOSITARY SHARES / CUSIP: 65442R109 / Symbol:							
02/23/21	1,000.000	1,829.83	02/09/21	1,760.00	...	69.83	Sale <sup>25</sup>
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
10/15/21	4,500.000	1,534.40	10/11/21	1,525.05	...	9.35	Sale <sup>25</sup>
ODONATE THERAPEUTICS INC COMMON STOCK / CUSIP: 676079106 / Symbol:							
5 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	10.000	45.40	04/07/21	41.40	...	4.00	1 of 5 - Sale <sup>25</sup>
	90.000	408.58	04/07/21	372.56	...	36.02	2 of 5 - Sale <sup>25</sup>
	100.000	453.98	04/07/21	413.95	...	40.03	3 of 5 - Sale <sup>25</sup>
	100.000	453.99	04/07/21	413.95	...	40.04	4 of 5 - Sale <sup>25</sup>
	200.000	907.97	04/07/21	827.90	...	80.07	5 of 5 - Sale <sup>25</sup>
04/07/21	500.000	2,269.92	Various	2,069.76	...	200.16	Total of 5 transactions
ONCONOVA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 68232V405 / Symbol:							
5 transactions for 02/03/21. Total proceeds and cost reported to the IRS.							
	1.000	0.84	02/03/21	0.83	...	0.01	1 of 5 - Sale <sup>25</sup>
	10.000	8.40	02/03/21	8.30	...	0.10	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol: (cont'd)							
	32.000	26.88	02/03/21	26.56	...	0.32	3 of 5 - Sale <sup>25</sup>
	567.000	474.00	02/03/21	470.61	...	3.39	4 of 5 - Sale <sup>25</sup>
	390.000	327.55	02/03/21	323.70	...	3.85	5 of 5 - Sale <sup>25</sup>
02/03/21	1,000.000	837.67	Various	830.00	...	7.67	Total of 5 transactions
ORBITAL ENERGY GROUP, INC. COM MON STOCK / CUSIP: 68559A109 / Symbol:							
03/30/21	1,000.000	5,449.95	03/30/21	5,439.10	...	10.85	Sale <sup>25</sup>
OUSTER, INC. / CUSIP: 68989M103 / Symbol:							
2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	200.000	1,873.96	01/04/21	2,582.00	...	-708.04	1 of 2 - Sale <sup>25</sup>
	200.000	1,873.97	01/04/21	2,582.00	...	-708.03	2 of 2 - Sale <sup>25</sup>
03/24/21	400.000	3,747.93	Various	5,164.00	...	-1,416.07	Total of 2 transactions
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
03/30/21	10.000	219.80	03/30/21	210.69	...	9.11	Sale <sup>25</sup>
2 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	1.000	21.98	02/02/21	30.69	8.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	139.000	3,055.18	02/02/21	4,265.90	1,210.72 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/21	140.000	3,077.16	Various	4,296.59	1,219.43 W	0.00	Total of 2 transactions
3 transactions for 05/14/21. Total proceeds and cost reported to the IRS.							
	139.000	2,657.76	03/30/21	4,139.27	...	-1,481.51	1 of 3 - Sale <sup>25</sup>
	1.000	19.12	03/30/21	29.78	...	-10.66	2 of 3 - Sale <sup>25</sup>
	60.000	1,147.24	05/03/21	1,355.92	...	-208.68	3 of 3 - Sale <sup>25</sup>
05/14/21	200.000	3,824.12	Various	5,524.97	...	-1,700.85	Total of 3 transactions
08/18/21	240.000	6,191.93	05/03/21	5,423.66	...	768.27	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,313.01</b>		<b>15,455.91</b>	<b>1,219.43 W</b>	<b>-923.47</b>	
PALISADE BIO, INC. COMMON STOC K / CUSIP: 696389105 / Symbol:							
16 transactions for 10/04/21. Total proceeds and cost reported to the IRS.							
	297.000	730.60	09/30/21	828.63	...	-98.03	1 of 16 - Sale <sup>25</sup>
	46.000	113.15	09/30/21	158.71	...	-45.56	2 of 16 - Sale <sup>25</sup>
	40.000	98.39	09/30/21	124.81	...	-26.42	3 of 16 - Sale <sup>25</sup>
	40.000	98.40	09/30/21	124.80	...	-26.40	4 of 16 - Sale <sup>25</sup>

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PALISADE BIO, INC. COMMON STOC K / CUSIP: 696389105 / Symbol: (cont'd)							
	77.000	189.41	09/30/21	214.83	...	-25.42	5 of 16 - Sale <sup>25</sup>
	77.000	189.41	09/30/21	214.83	...	-25.42	6 of 16 - Sale <sup>25</sup>
	23.000	56.58	09/30/21	71.77	...	-15.19	7 of 16 - Sale <sup>25</sup>
	17.000	41.82	09/30/21	53.04	...	-11.22	8 of 16 - Sale <sup>25</sup>
	31.000	76.26	09/30/21	86.49	...	-10.23	9 of 16 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	26.46	...	-9.24	10 of 16 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	26.46	...	-9.24	11 of 16 - Sale <sup>25</sup>
	23.000	56.58	09/30/21	64.17	...	-7.59	12 of 16 - Sale <sup>25</sup>
	23.000	56.58	09/30/21	64.17	...	-7.59	13 of 16 - Sale <sup>25</sup>
	23.000	56.58	09/30/21	64.17	...	-7.59	14 of 16 - Sale <sup>25</sup>
	6.000	14.76	09/30/21	18.72	...	-3.96	15 of 16 - Sale <sup>25</sup>
	3.000	7.38	09/30/21	8.37	...	-0.99	16 of 16 - Sale <sup>25</sup>
10/04/21	740.000	1,820.34	Various	2,150.43	...	-330.09	Total of 16 transactions
	10 transactions for 10/04/21. Total proceeds and cost reported to the IRS.						
	7.000	17.22	09/30/21	19.53	2.31 W	0.00	1 of 10 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	19.53	2.31 W	0.00	2 of 10 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	21.84	4.62 W	0.00	3 of 10 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	21.84	4.62 W	0.00	4 of 10 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	24.15	6.93 W	0.00	5 of 10 - Sale <sup>25</sup>
	7.000	17.22	09/30/21	24.15	6.93 W	0.00	6 of 10 - Sale <sup>25</sup>
	40.000	98.39	09/30/21	111.60	13.21 W	0.00	7 of 10 - Sale <sup>25</sup>
	46.000	113.15	09/30/21	143.52	30.37 W	0.00	8 of 10 - Sale <sup>25</sup>
	46.000	113.16	09/30/21	128.34	15.18 W	0.00	9 of 10 - Sale <sup>25</sup>
	86.000	211.55	09/30/21	239.94	28.39 W	0.00	10 of 10 - Sale <sup>25</sup>
10/04/21	260.000	639.57	Various	754.44	114.87 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>2,459.91</b>		<b>2,904.87</b>	<b>114.87 W</b>	<b>-330.09</b>	
POLARITYTE, INC. COMMON STOCK / CUSIP: 731094108 / Symbol:							
	2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.						
	351.000	515.91	01/12/21	385.71	...	130.20	1 of 2 - Sale <sup>25</sup>
	649.000	953.92	01/12/21	713.19	...	240.73	2 of 2 - Sale <sup>25</sup>
02/23/21	1,000.000	1,469.83	Various	1,098.90	...	370.93	Total of 2 transactions

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
01/06/21	2,000.000	3,119.69	12/29/20	3,199.80	...	-80.11	Sale <sup>25</sup>
ROMEO POWER, INC. / CUSIP: 776153108 / Symbol:							
2 transactions for 04/06/21. Total proceeds and cost reported to the IRS.							
	250.000	2,444.96	03/31/21	2,084.68	...	360.28	1 of 2 - Sale <sup>25</sup>
	500.000	4,889.91	04/01/21	4,239.45	...	650.46	2 of 2 - Sale <sup>25</sup>
04/06/21	750.000	7,334.87	Various	6,324.13	...	1,010.74	Total of 2 transactions
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
6 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	0.640	1.65	06/03/21	1.62	...	0.03	1 of 6 - Sale <sup>25</sup>
	2.000	5.14	06/03/21	5.06	...	0.08	2 of 6 - Sale <sup>25</sup>
	0.360	1.03	06/03/21	0.91	...	0.12	3 of 6 - Sale <sup>25</sup>
	80.640	212.88	06/03/21	204.02	...	8.86	4 of 6 - Sale <sup>25</sup>
	287.360	758.59	06/03/21	727.02	...	31.57	5 of 6 - Sale <sup>25</sup>
	629.000	1,660.47	06/03/21	1,591.37	...	69.10	6 of 6 - Sale <sup>25</sup>
06/03/21	1,000.000	2,639.76	Various	2,530.00	...	109.76	Total of 6 transactions
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
03/05/21	500.000	3,040.42	03/05/21	3,085.00	44.58 W	0.00	Sale <sup>25</sup>
2 transactions for 03/22/21. Total proceeds and cost reported to the IRS.							
	200.000	1,455.97	03/09/21	1,263.83	...	192.14	1 of 2 - Sale <sup>25</sup>
	300.000	2,183.95	03/09/21	1,910.75	...	273.20	2 of 2 - Sale <sup>25</sup>
03/22/21	500.000	3,639.92	Various	3,174.58	...	465.34	Total of 2 transactions
6 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	25.000	155.00	03/26/21	151.50	...	3.50	1 of 6 - Sale <sup>25</sup>
	75.000	464.98	03/26/21	454.42	...	10.56	2 of 6 - Sale <sup>25</sup>
	100.000	619.98	03/26/21	605.89	...	14.09	3 of 6 - Sale <sup>25</sup>
	100.000	619.98	03/26/21	605.89	...	14.09	4 of 6 - Sale <sup>25</sup>
	100.000	619.98	03/26/21	605.89	...	14.09	5 of 6 - Sale <sup>25</sup>
	100.000	619.98	03/26/21	605.89	...	14.09	6 of 6 - Sale <sup>25</sup>
03/26/21	500.000	3,099.90	Various	3,029.48	...	70.42	Total of 6 transactions

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
<i>3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	85.35	03/26/21	88.65	3.30 W	0.00	1 of 3 - Sale <sup>25</sup>
	185.000	1,052.62	03/26/21	1,091.52	38.90 W	0.00	2 of 3 - Sale <sup>25</sup>
	300.000	1,706.95	03/26/21	1,803.00	96.05 W	0.00	3 of 3 - Sale <sup>25</sup>
03/29/21	500.000	2,844.92	Various	2,983.17	138.25 W	0.00	Total of 3 transactions
<i>5 transactions for 03/30/21. Total proceeds and cost reported to the IRS.</i>							
	15.000	73.20	03/26/21	92.25	19.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	487.98	03/26/21	622.03	134.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	487.99	03/26/21	593.00	105.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	185.000	902.77	03/26/21	1,135.95	233.18 W	0.00	4 of 5 - Sale <sup>25</sup>
	200.000	975.97	03/26/21	1,250.03	274.06 W	0.00	5 of 5 - Sale <sup>25</sup>
03/30/21	600.000	2,927.91	Various	3,693.26	765.35 W	0.00	Total of 5 transactions
<i>2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>							
	400.000	1,687.94	04/05/21	1,932.00	...	-244.06	1 of 2 - Sale <sup>25</sup>
	100.000	421.98	04/05/21	588.01	...	-166.03	2 of 2 - Sale <sup>25</sup>
04/16/21	500.000	2,109.92	Various	2,520.01	...	-410.09	Total of 2 transactions
<i>5 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>							
	8.000	33.76	04/05/21	49.60	15.84 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	63.30	04/05/21	91.50	28.20 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	421.99	04/05/21	617.05	195.06 W	0.00	3 of 5 - Sale <sup>25</sup>
	185.000	780.67	04/05/21	1,126.73	346.06 W	0.00	4 of 5 - Sale <sup>25</sup>
	192.000	810.21	04/05/21	1,190.46	380.25 W	0.00	5 of 5 - Sale <sup>25</sup>
04/16/21	500.000	2,109.93	Various	3,075.34	965.41 W	0.00	Total of 5 transactions
<i>7 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	382.13	04/06/21	636.55	...	-254.42	1 of 7 - Sale <sup>25</sup>
	100.000	382.13	04/06/21	633.56	...	-251.43	2 of 7 - Sale <sup>25</sup>
	100.000	382.12	04/06/21	625.56	...	-243.44	3 of 7 - Sale <sup>25</sup>
	92.000	351.55	04/06/21	585.62	...	-234.07	4 of 7 - Sale <sup>25</sup>
	85.000	324.81	04/06/21	531.72	...	-206.91	5 of 7 - Sale <sup>25</sup>
	15.000	57.32	04/06/21	93.98	...	-36.66	6 of 7 - Sale <sup>25</sup>
	8.000	30.57	04/06/21	50.92	...	-20.35	7 of 7 - Sale <sup>25</sup>
05/04/21	500.000	1,910.63	Various	3,157.91	...	-1,247.28	Total of 7 transactions
	<b>Security total:</b>	<b>21,683.55</b>		<b>24,718.75</b>	<b>1,913.59 W</b>	<b>-1,121.61</b>	

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ACQUISITION CORP. II / CUSIP: 846775104 / Symbol:							
2 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	266.000	2,766.48	03/03/21	2,939.30	...	-172.82	1 of 2 - Sale <sup>25</sup>
	34.000	353.62	03/03/21	375.70	...	-22.08	2 of 2 - Sale <sup>25</sup>
03/05/21	300.000	3,120.10	Various	3,315.00	...	-194.90	Total of 2 transactions
SPHERE 3D CORP. COMMON SHARES / CUSIP: 84841L308 / Symbol:							
6 transactions for 09/27/21. Total proceeds and cost reported to the IRS.							
	38.000	251.58	09/17/21	245.10	...	6.48	1 of 6 - Sale <sup>25</sup>
	48.000	317.79	09/17/21	309.60	...	8.19	2 of 6 - Sale <sup>25</sup>
	62.000	410.48	09/17/21	399.90	...	10.58	3 of 6 - Sale <sup>25</sup>
	100.000	662.06	09/17/21	645.00	...	17.06	4 of 6 - Sale <sup>25</sup>
	252.000	1,668.41	09/17/21	1,625.40	...	43.01	5 of 6 - Sale <sup>25</sup>
	500.000	3,310.32	09/17/21	3,214.95	...	95.37	6 of 6 - Sale <sup>25</sup>
09/27/21	1,000.000	6,620.64	Various	6,439.95	...	180.69	Total of 6 transactions
8 transactions for 10/06/21. Total proceeds and cost reported to the IRS.							
	5.000	34.20	09/27/21	33.60	...	0.60	1 of 8 - Sale <sup>25</sup>
	57.000	389.87	09/27/21	383.04	...	6.83	2 of 8 - Sale <sup>25</sup>
	100.000	683.98	09/27/21	672.00	...	11.98	3 of 8 - Sale <sup>25</sup>
	100.000	683.98	09/27/21	672.00	...	11.98	4 of 8 - Sale <sup>25</sup>
	100.000	683.99	09/27/21	672.00	...	11.99	5 of 8 - Sale <sup>25</sup>
	50.000	341.99	09/27/21	327.50	...	14.49	6 of 8 - Sale <sup>25</sup>
	138.000	943.90	09/27/21	927.36	...	16.54	7 of 8 - Sale <sup>25</sup>
	450.000	3,077.93	09/27/21	2,947.50	...	130.43	8 of 8 - Sale <sup>25</sup>
10/06/21	1,000.000	6,839.84	Various	6,635.00	...	204.84	Total of 8 transactions
2 transactions for 10/20/21. Total proceeds and cost reported to the IRS.							
	174.000	1,409.75	10/19/21	1,320.49	...	89.26	1 of 2 - Sale <sup>25</sup>
	326.000	2,641.26	10/19/21	2,474.34	...	166.92	2 of 2 - Sale <sup>25</sup>
10/20/21	500.000	4,051.01	Various	3,794.83	...	256.18	Total of 2 transactions
	<b>Security total:</b>	<b>17,511.49</b>		<b>16,869.78</b>	...	<b>641.71</b>	

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 85236Q109 / Symbol:							
3 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	3.000	37.35	03/05/21	34.86	...	2.49	1 of 3 - Sale <sup>25</sup>
	97.000	1,207.63	03/05/21	1,126.99	...	80.64	2 of 3 - Sale <sup>25</sup>
	100.000	1,244.98	03/05/21	1,161.85	...	83.13	3 of 3 - Sale <sup>25</sup>
03/05/21	200.000	2,489.96	Various	2,323.70	...	166.26	Total of 3 transactions
3 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	200.000	2,741.96	03/05/21	2,323.70	...	418.26	1 of 3 - Sale <sup>25</sup>
	4.000	54.84	03/30/21	53.08	...	1.76	2 of 3 - Sale <sup>25</sup>
	196.000	2,687.12	03/30/21	2,600.92	...	86.20	3 of 3 - Sale <sup>25</sup>
03/30/21	400.000	5,483.92	Various	4,977.70	...	506.22	Total of 3 transactions
7 transactions for 04/07/21. Total proceeds and cost reported to the IRS.							
	7.000	94.12	03/30/21	92.89	...	1.23	1 of 7 - Sale <sup>25</sup>
	93.000	1,249.11	03/30/21	1,234.11	...	15.00	2 of 7 - Sale <sup>25</sup>
	100.000	1,343.13	03/30/21	1,327.00	...	16.13	3 of 7 - Sale <sup>25</sup>
	100.000	1,343.28	03/30/21	1,327.00	...	16.28	4 of 7 - Sale <sup>25</sup>
	7.000	94.02	04/01/21	91.84	...	2.18	5 of 7 - Sale <sup>25</sup>
	93.000	1,249.11	04/01/21	1,220.16	...	28.95	6 of 7 - Sale <sup>25</sup>
	100.000	1,343.13	04/01/21	1,312.00	...	31.13	7 of 7 - Sale <sup>25</sup>
04/07/21	500.000	6,715.90	Various	6,605.00	...	110.90	Total of 7 transactions
3 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	208.000	2,404.44	04/01/21	2,728.96	...	-324.52	1 of 3 - Sale <sup>25</sup>
	408.000	4,716.41	04/07/21	5,116.32	...	-399.91	2 of 3 - Sale <sup>25</sup>
	92.000	1,063.50	04/07/21	1,296.30	...	-232.80	3 of 3 - Sale <sup>25</sup>
04/12/21	708.000	8,184.35	Various	9,141.58	...	-957.23	Total of 3 transactions
04/12/21	92.000	1,064.42	04/01/21	1,207.04	142.62 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>23,938.55</b>		<b>24,255.02</b>	<b>142.62 W</b>	<b>-173.85</b>	
SUNDANCE ENERGY INC COMMON STO CK / CUSIP: 86725N102 / Symbol:							
01/28/21	500.000	1,567.95	01/28/21	1,361.30	...	206.65	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
<i>3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	564.92	01/04/21	269.95	...	294.97	1 of 3 - Sale <sup>25</sup>
	500.000	564.92	01/04/21	269.95	...	294.97	2 of 3 - Sale <sup>25</sup>
	500.000	564.93	01/27/21	388.50	...	176.43	3 of 3 - Sale <sup>25</sup>
01/28/21	1,500.000	1,694.77	Various	928.40	...	766.37	Total of 3 transactions
<i>3 transactions for 02/02/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	99.99	01/27/21	77.66	...	22.33	1 of 3 - Sale <sup>25</sup>
	200.000	199.99	01/27/21	155.32	...	44.67	2 of 3 - Sale <sup>25</sup>
	200.000	199.99	01/27/21	155.32	...	44.67	3 of 3 - Sale <sup>25</sup>
02/02/21	500.000	499.97	Various	388.30	...	111.67	Total of 3 transactions
02/02/21	2,000.000	1,999.71	02/02/21	2,077.60	38.95 W	-38.94	Sale <sup>25</sup>
02/23/21	1,000.000	1,199.85	02/16/21	2,098.95	...	-899.10	Sale <sup>25</sup>
11/12/21	3,000.000	2,497.12	11/03/21	1,963.20	...	533.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,891.42</b>		<b>7,456.45</b>	<b>38.95 W</b>	<b>473.92</b>	
TENGASCO, INC. / CUSIP: 88033R502 / Symbol:							
<i>7 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	100.000	299.99	01/28/21	299.99	...	0.00	1 of 7 - Sale <sup>25</sup>
	11.000	35.75	01/28/21	32.42	...	3.33	2 of 7 - Sale <sup>25</sup>
	200.000	1,071.41	01/28/21	1,029.10	...	42.31	3 of 7 - Sale <sup>25</sup>
	189.000	610.43	01/28/21	557.00	...	53.43	4 of 7 - Sale <sup>25</sup>
	100.000	535.71	01/28/21	482.00	...	53.71	5 of 7 - Sale <sup>25</sup>
	500.000	1,391.15	01/28/21	1,300.00	...	91.15	6 of 7 - Sale <sup>25</sup>
	200.000	1,071.41	01/28/21	964.00	...	107.41	7 of 7 - Sale <sup>25</sup>
01/28/21	1,300.000	5,015.85	Various	4,664.51	...	351.34	Total of 7 transactions
<i>2 transactions for 01/28/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	599.96	01/28/21	599.98	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	200.000	853.96	01/28/21	919.06	65.10 W	0.00	2 of 2 - Sale <sup>25</sup>
01/28/21	400.000	1,453.92	Various	1,519.04	65.12 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>6,469.77</b>		<b>6,183.55</b>	<b>65.12 W</b>	<b>351.34</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/07/21	6.000	4,870.80	12/18/20	3,819.03	...	1,051.77	Sale <sup>25</sup>

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Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
2 transactions for 01/19/21. Total proceeds and cost reported to the IRS.							
	1,000.000	875.14	01/11/21	808.90	...	66.24	1 of 2 - Sale <sup>25</sup>
	1,000.000	875.14	01/11/21	807.70	...	67.44	2 of 2 - Sale <sup>25</sup>
01/19/21	2,000.000	1,750.28	Various	1,616.60	...	133.68	Total of 2 transactions
02/26/21	1,000.000	1,129.87	02/10/21	1,588.90	...	-459.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,880.15</b>		<b>3,205.50</b>	...	<b>-325.35</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
9 transactions for 04/23/21. Total proceeds and cost reported to the IRS.							
	232.000	415.25	04/22/21	459.36	...	-44.11	1 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	2 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	3 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	4 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	5 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	6 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	7 of 9 - Sale <sup>25</sup>
	100.000	179.99	04/22/21	198.00	...	-18.01	8 of 9 - Sale <sup>25</sup>
	68.000	122.39	04/22/21	134.64	...	-12.25	9 of 9 - Sale <sup>25</sup>
04/23/21	1,000.000	1,797.57	Various	1,980.00	...	-182.43	Total of 9 transactions
TUSCAN HOLDINGS CORP. COMMON S TOCK / CUSIP: 90069K104 / Symbol:							
03/31/21	500.000	6,154.90	03/25/21	5,748.60	...	406.30	Sale <sup>25</sup>
04/01/21	250.000	3,167.45	03/30/21	3,057.50	...	109.95	Sale <sup>25</sup>
04/12/21	250.000	3,095.33	03/30/21	3,057.50	...	37.83	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,417.68</b>		<b>11,863.60</b>	...	<b>554.08</b>	
UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol:							
3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	100.000	940.97	01/19/21	1,536.58	...	-595.61	1 of 3 - Sale <sup>25</sup>
	50.000	470.48	01/19/21	770.76	...	-300.28	2 of 3 - Sale <sup>25</sup>
	50.000	470.48	01/19/21	768.28	...	-297.80	3 of 3 - Sale <sup>25</sup>
01/27/21	200.000	1,881.93	Various	3,075.62	...	-1,193.69	Total of 3 transactions

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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UWM HOLDINGS CORPORATION / CUSIP: 91823B109 / Symbol: (cont'd)							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	100.000	939.96	01/19/21	1,240.54	300.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	939.97	01/19/21	1,240.54	300.57 W	0.00	2 of 2 - Sale <sup>25</sup>
01/27/21	200.000	1,879.93	Various	2,481.08	601.15 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>3,761.86</b>		<b>5,556.70</b>	<b>601.15 W</b>	<b>-1,193.69</b>	
VELODYNE LIDAR, INC. COMMON ST OCK / CUSIP: 92259F101 / Symbol:							
5 transactions for 03/30/21. Total proceeds and cost reported to the IRS.							
	500.000	5,551.36	03/29/21	5,937.80	...	-386.44	1 of 5 - Sale <sup>25</sup>
	400.000	4,441.09	03/29/21	4,684.00	...	-242.91	2 of 5 - Sale <sup>25</sup>
	154.000	1,709.82	03/29/21	1,784.84	...	-75.02	3 of 5 - Sale <sup>25</sup>
	144.000	1,598.79	03/29/21	1,668.96	...	-70.17	4 of 5 - Sale <sup>25</sup>
	2.000	22.21	03/29/21	23.16	...	-0.95	5 of 5 - Sale <sup>25</sup>
03/30/21	1,200.000	13,323.27	Various	14,098.76	...	-775.49	Total of 5 transactions
VENUS CONCEPT INC. COMMON STOC K / CUSIP: 92332W105 / Symbol:							
4 transactions for 01/21/21. Total proceeds and cost reported to the IRS.							
	14.000	31.92	01/13/21	28.59	...	3.33	1 of 4 - Sale <sup>25</sup>
	50.000	114.00	01/13/21	102.11	...	11.89	2 of 4 - Sale <sup>25</sup>
	136.000	310.06	01/13/21	277.74	...	32.32	3 of 4 - Sale <sup>25</sup>
	800.000	1,815.85	01/13/21	1,633.76	...	182.09	4 of 4 - Sale <sup>25</sup>
01/21/21	1,000.000	2,271.83	Various	2,042.20	...	229.63	Total of 4 transactions
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
2 transactions for 10/15/21. Total proceeds and cost reported to the IRS.							
	9.000	73.18	10/14/21	66.69	...	6.49	1 of 2 - Sale <sup>25</sup>
	691.000	5,618.62	10/14/21	5,126.81	...	491.81	2 of 2 - Sale <sup>25</sup>
10/15/21	700.000	5,691.80	Various	5,193.50	...	498.30	Total of 2 transactions
10/19/21	300.000	2,333.94	10/14/21	2,225.82	...	108.12	Sale <sup>25</sup>
10/20/21	500.000	3,420.27	10/19/21	3,774.20	353.93 W	0.00	Sale <sup>25</sup>
3 transactions for 11/23/21. Total proceeds and cost reported to the IRS.							
	500.000	1,694.93	10/19/21	3,774.20	2,079.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	250.000	847.46	10/20/21	1,704.78	857.32 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VINCO VENTURES, INC. COMMON STOCK / CUSIP: 927330100 / Symbol: (cont'd)							
	500.000	1,694.93	10/20/21	3,763.48	2,068.55 W	0.00	3 of 3 - Sale <sup>25</sup>
11/23/21	1,250.000	4,237.32	Various	9,242.46	5,005.14 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>15,683.33</b>		<b>20,435.98</b>	<b>5,359.07 W</b>	<b>606.42</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
01/28/21	100.000	4,500.89	01/28/21	5,085.54	...	-584.65	Sale <sup>25</sup>
VISLINK TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 92836Y300 / Symbol:							
01/27/21	500.000	1,469.90	01/27/21	1,440.00	...	29.90	Sale <sup>25</sup>
WASHINGTON PRIME GROUP INC NEW COMMON SHARES / CUSIP: 93964W405 / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	337.000	1,641.14	06/08/21	1,647.93	...	-6.79	1 of 2 - Sale <sup>25</sup>
	163.000	793.78	06/08/21	797.07	...	-3.29	2 of 2 - Sale <sup>25</sup>
06/08/21	500.000	2,434.92	Various	2,445.00	...	-10.08	Total of 2 transactions
WINDTREE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 97382D303 / Symbol:							
5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	400.000	787.94	06/03/21	788.00	...	-0.06	1 of 5 - Sale <sup>25</sup>
	400.000	787.94	06/03/21	788.00	...	-0.06	2 of 5 - Sale <sup>25</sup>
	400.000	787.95	06/03/21	788.00	...	-0.05	3 of 5 - Sale <sup>25</sup>
	400.000	787.95	06/03/21	788.00	...	-0.05	4 of 5 - Sale <sup>25</sup>
	400.000	787.95	06/03/21	788.00	...	-0.05	5 of 5 - Sale <sup>25</sup>
06/03/21	2,000.000	3,939.73	Various	3,940.00	...	-0.27	Total of 5 transactions
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol:							
03/19/21	1,000.000	2,119.86	03/12/21	2,148.90	...	-29.04	Sale <sup>25</sup>
XERIS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98422L107 / Symbol:							
9 transactions for 06/03/21. Total proceeds and cost reported to the IRS.							
	200.000	763.97	06/03/21	803.83	...	-39.86	1 of 9 - Sale <sup>25</sup>
	200.000	763.97	06/03/21	803.83	...	-39.86	2 of 9 - Sale <sup>25</sup>
	200.000	763.97	06/03/21	803.82	...	-39.85	3 of 9 - Sale <sup>25</sup>
	500.000	1,909.93	06/03/21	1,949.50	...	-39.57	4 of 9 - Sale <sup>25</sup>
	101.000	385.80	06/03/21	405.94	...	-20.14	5 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XERIS PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 98422L107 / Symbol: (cont'd)							
	99.000	378.17	06/03/21	397.99	...	-19.82	6 of 9 - Sale <sup>25</sup>
	100.000	381.99	06/03/21	401.52	...	-19.53	7 of 9 - Sale <sup>25</sup>
	99.000	378.17	06/03/21	397.49	...	-19.32	8 of 9 - Sale <sup>25</sup>
	1.000	3.82	06/03/21	4.02	...	-0.20	9 of 9 - Sale <sup>25</sup>
06/03/21	1,500.000	5,729.79	Various	5,967.94	...	-238.15	Total of 9 transactions
	5 transactions for 06/03/21. Total proceeds and cost reported to the IRS.						
	200.000	765.97	06/03/21	790.00	24.03 W	0.00	1 of 5 - Sale <sup>25</sup>
	200.000	765.97	06/03/21	790.00	24.03 W	0.00	2 of 5 - Sale <sup>25</sup>
	200.000	765.97	06/03/21	790.00	24.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	200.000	765.97	06/03/21	790.00	24.03 W	0.00	4 of 5 - Sale <sup>25</sup>
	200.000	765.98	06/03/21	790.00	24.02 W	0.00	5 of 5 - Sale <sup>25</sup>
06/03/21	1,000.000	3,829.86	Various	3,950.00	120.14 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>9,559.65</b>		<b>9,917.94</b>	<b>120.14 W</b>	<b>-238.15</b>	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
	2 transactions for 02/08/21. Total proceeds and cost reported to the IRS.						
	1,000.000	2,279.82	02/01/21	1,078.90	...	1,200.92	1 of 2 - Sale <sup>25</sup>
	1,000.000	2,439.82	02/01/21	1,078.90	...	1,360.92	2 of 2 - Sale <sup>25</sup>
02/08/21	2,000.000	4,719.64	Various	2,157.80	...	2,561.84	Total of 2 transactions
CC NEUBERGER PRINCIPAL HOLDING S I / CUSIP: G1992Y114 / Symbol:							
02/01/21	250.000	2,669.94	02/01/21	2,575.00	...	94.94	Sale <sup>25</sup>
02/01/21	500.000	5,339.87	01/25/21	5,398.90	59.03 W	0.00	Sale <sup>25</sup>
02/02/21	500.000	5,519.81	02/01/21	5,209.03	...	310.78	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,529.62</b>		<b>13,182.93</b>	<b>59.03 W</b>	<b>405.72</b>	
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
09/27/21	2,000.000	1,087.75	09/24/21	1,160.00	...	-72.25	Sale <sup>25</sup>
EAST STONE ACQUISITION CORPORATION ORDINARY SHARES / CUSIP: G2911D108 / Symbol:							
01/25/21	500.000	5,039.87	01/08/21	5,149.95	...	-110.08	Sale <sup>25</sup>
FARMMI, INC. ORDINARY SHARES / CUSIP: G33277107 / Symbol:							
09/30/21	4,000.000	1,909.91	09/29/21	1,556.80	...	353.11	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENESIS PARK ACQUISITION CORP. / CUSIP: G38245109 / Symbol:							
3 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	100.000	1,063.32	03/25/21	1,015.00	...	48.32	1 of 3 - Sale <sup>25</sup>
	113.000	1,201.56	03/25/21	1,146.95	...	54.61	2 of 3 - Sale <sup>25</sup>
	287.000	3,051.73	03/25/21	2,913.05	...	138.68	3 of 3 - Sale <sup>25</sup>
04/08/21	500.000	5,316.61	Various	5,075.00	...	241.61	Total of 3 transactions
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
7 transactions for 04/13/21. Total proceeds and cost reported to the IRS.							
	55.000	273.34	04/05/21	386.93	113.59 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	496.99	04/05/21	703.50	206.51 W	0.00	2 of 7 - Sale <sup>25</sup>
	100.000	496.99	04/05/21	703.50	206.51 W	0.00	3 of 7 - Sale <sup>25</sup>
	145.000	720.62	04/05/21	1,020.07	299.45 W	0.00	4 of 7 - Sale <sup>25</sup>
	200.000	993.97	04/05/21	1,407.00	413.03 W	0.00	5 of 7 - Sale <sup>25</sup>
	200.000	993.97	04/05/21	1,407.00	413.03 W	0.00	6 of 7 - Sale <sup>25</sup>
	200.000	993.97	04/05/21	1,407.00	413.03 W	0.00	7 of 7 - Sale <sup>25</sup>
04/13/21	1,000.000	4,969.85	Various	7,035.00	2,065.15 W	0.00	Total of 7 transactions
10 transactions for 04/21/21. Total proceeds and cost reported to the IRS.							
	55.000	237.11	04/06/21	398.96	161.85 W	0.00	1 of 10 - Sale <sup>25</sup>
	100.000	431.12	04/06/21	725.36	294.24 W	0.00	2 of 10 - Sale <sup>25</sup>
	145.000	625.12	04/06/21	1,051.78	426.66 W	0.00	3 of 10 - Sale <sup>25</sup>
	200.000	862.23	04/06/21	1,450.73	588.50 W	0.00	4 of 10 - Sale <sup>25</sup>
	45.000	194.00	04/08/21	323.33	129.33 W	0.00	5 of 10 - Sale <sup>25</sup>
	55.000	237.11	04/08/21	395.18	158.07 W	0.00	6 of 10 - Sale <sup>25</sup>
	55.000	237.11	04/08/21	395.18	158.07 W	0.00	7 of 10 - Sale <sup>25</sup>
	55.000	237.11	04/08/21	395.18	158.07 W	0.00	8 of 10 - Sale <sup>25</sup>
	145.000	625.12	04/08/21	1,041.85	416.73 W	0.00	9 of 10 - Sale <sup>25</sup>
	145.000	625.12	04/08/21	1,041.85	416.73 W	0.00	10 of 10 - Sale <sup>25</sup>
04/21/21	1,000.000	4,311.15	Various	7,219.40	2,908.25 W	0.00	Total of 10 transactions
55 transactions for 05/03/21. Total proceeds and cost reported to the IRS.							
	100.000	392.08	04/29/21	839.51	...	-447.43	1 of 55 - Sale <sup>25</sup>
	95.000	372.47	04/29/21	797.52	...	-425.05	2 of 55 - Sale <sup>25</sup>
	60.000	235.25	04/29/21	577.24	...	-341.99	3 of 55 - Sale <sup>25</sup>
	800.000	3,119.86	04/29/21	3,391.36	...	-271.50	4 of 55 - Sale <sup>25</sup>

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

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EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	55.000	215.64	04/29/21	461.76	...	-246.12	5 of 55 - Sale <sup>25</sup>
	40.000	156.83	04/29/21	384.82	...	-227.99	6 of 55 - Sale <sup>25</sup>
	55.000	215.64	04/29/21	440.69	...	-225.05	7 of 55 - Sale <sup>25</sup>
	35.000	137.23	04/29/21	336.72	...	-199.49	8 of 55 - Sale <sup>25</sup>
	41.000	160.75	04/29/21	340.37	...	-179.62	9 of 55 - Sale <sup>25</sup>
	41.000	160.75	04/29/21	328.51	...	-167.76	10 of 55 - Sale <sup>25</sup>
	40.000	156.83	04/29/21	318.51	...	-161.68	11 of 55 - Sale <sup>25</sup>
	39.000	152.92	04/29/21	310.54	...	-157.62	12 of 55 - Sale <sup>25</sup>
	25.000	98.02	04/29/21	240.51	...	-142.49	13 of 55 - Sale <sup>25</sup>
	25.000	98.02	04/29/21	240.49	...	-142.47	14 of 55 - Sale <sup>25</sup>
	30.000	117.62	04/29/21	252.01	...	-134.39	15 of 55 - Sale <sup>25</sup>
	30.000	117.62	04/29/21	249.05	...	-131.43	16 of 55 - Sale <sup>25</sup>
	24.000	93.60	04/29/21	194.92	...	-101.32	17 of 55 - Sale <sup>25</sup>
	20.000	78.00	04/29/21	162.43	...	-84.43	18 of 55 - Sale <sup>25</sup>
	300.000	1,169.95	04/29/21	1,239.00	...	-69.05	19 of 55 - Sale <sup>25</sup>
	16.000	62.73	04/29/21	128.20	...	-65.47	20 of 55 - Sale <sup>25</sup>
	11.000	42.90	04/29/21	89.34	...	-46.44	21 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	84.00	...	-44.79	22 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	83.02	...	-43.81	23 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	83.01	...	-43.80	24 of 55 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	81.22	...	-42.22	25 of 55 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	81.21	...	-42.21	26 of 55 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	81.21	...	-42.21	27 of 55 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	81.21	...	-42.21	28 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	29 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	30 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	31 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	32 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	33 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	34 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	80.12	...	-40.91	35 of 55 - Sale <sup>25</sup>
	10.000	39.21	04/29/21	79.62	...	-40.41	36 of 55 - Sale <sup>25</sup>
	5.000	19.60	04/29/21	48.10	...	-28.50	37 of 55 - Sale <sup>25</sup>
	5.000	19.60	04/29/21	48.10	...	-28.50	38 of 55 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 893957126
2021 1099-B* OMB No. 1545-0715	(continued)	02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	6.000	23.53	04/29/21	47.77	...	-24.24	39 of 55 - Sale <sup>25</sup>
	4.000	15.68	04/29/21	38.48	...	-22.80	40 of 55 - Sale <sup>25</sup>
	5.000	19.60	04/29/21	41.51	...	-21.91	41 of 55 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	40.61	...	-21.11	42 of 55 - Sale <sup>25</sup>
	5.000	19.60	04/29/21	40.06	...	-20.46	43 of 55 - Sale <sup>25</sup>
	5.000	19.60	04/29/21	39.81	...	-20.21	44 of 55 - Sale <sup>25</sup>
	4.000	15.68	04/29/21	33.58	...	-17.90	45 of 55 - Sale <sup>25</sup>
	4.000	15.68	04/29/21	33.58	...	-17.90	46 of 55 - Sale <sup>25</sup>
	4.000	15.68	04/29/21	33.21	...	-17.53	47 of 55 - Sale <sup>25</sup>
	4.000	15.68	04/29/21	32.05	...	-16.37	48 of 55 - Sale <sup>25</sup>
	4.000	15.68	04/29/21	32.05	...	-16.37	49 of 55 - Sale <sup>25</sup>
	3.000	11.76	04/29/21	24.03	...	-12.27	50 of 55 - Sale <sup>25</sup>
	1.000	3.92	04/29/21	9.62	...	-5.70	51 of 55 - Sale <sup>25</sup>
	1.000	3.92	04/29/21	8.40	...	-4.48	52 of 55 - Sale <sup>25</sup>
	1.000	3.92	04/29/21	8.40	...	-4.48	53 of 55 - Sale <sup>25</sup>
	1.000	3.92	04/29/21	8.01	...	-4.09	54 of 55 - Sale <sup>25</sup>
	1.000	3.92	04/29/21	8.01	...	-4.09	55 of 55 - Sale <sup>25</sup>
05/03/21	2,100.000	8,208.49	Various	13,114.22	...	-4,905.73	Total of 55 transactions
	<i>118 transactions for 05/03/21. Total proceeds and cost reported to the IRS.</i>						
	40.000	156.00	04/08/21	322.50	166.50 W	0.00	1 of 118 - Sale <sup>25</sup>
	60.000	234.00	04/08/21	483.75	249.75 W	0.00	2 of 118 - Sale <sup>25</sup>
	100.000	389.99	04/08/21	806.25	416.26 W	0.00	3 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/12/21	7.34	3.44 W	0.00	4 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/12/21	7.34	3.44 W	0.00	5 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/12/21	7.41	3.51 W	0.00	6 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/12/21	8.63	4.73 W	0.00	7 of 118 - Sale <sup>25</sup>
	3.000	11.70	04/12/21	22.03	10.33 W	0.00	8 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/12/21	29.38	13.78 W	0.00	9 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/12/21	29.38	13.78 W	0.00	10 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/12/21	29.38	13.78 W	0.00	11 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/12/21	29.63	14.03 W	0.00	12 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/12/21	29.63	14.03 W	0.00	13 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/12/21	34.53	18.93 W	0.00	14 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/12/21	36.72	17.22 W	0.00	15 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	5.000	19.50	04/12/21	36.72	17.22 W	0.00	16 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/12/21	43.16	23.66 W	0.00	17 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/12/21	43.16	23.66 W	0.00	18 of 118 - Sale <sup>25</sup>
	6.000	23.40	04/12/21	44.06	20.66 W	0.00	19 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	20 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	21 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	22 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	23 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	24 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	25 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	26 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/12/21	73.44	34.44 W	0.00	27 of 118 - Sale <sup>25</sup>
	16.000	62.40	04/12/21	117.51	55.11 W	0.00	28 of 118 - Sale <sup>25</sup>
	25.000	97.50	04/12/21	215.82	118.32 W	0.00	29 of 118 - Sale <sup>25</sup>
	40.000	155.99	04/12/21	293.76	137.77 W	0.00	30 of 118 - Sale <sup>25</sup>
	40.000	155.99	04/12/21	345.30	189.31 W	0.00	31 of 118 - Sale <sup>25</sup>
	41.000	159.89	04/12/21	301.10	141.21 W	0.00	32 of 118 - Sale <sup>25</sup>
	41.000	159.89	04/12/21	303.91	144.02 W	0.00	33 of 118 - Sale <sup>25</sup>
	55.000	214.49	04/12/21	403.92	189.43 W	0.00	34 of 118 - Sale <sup>25</sup>
	55.000	214.49	04/12/21	403.92	189.43 W	0.00	35 of 118 - Sale <sup>25</sup>
	55.000	214.49	04/12/21	407.43	192.94 W	0.00	36 of 118 - Sale <sup>25</sup>
	60.000	233.99	04/12/21	517.95	283.96 W	0.00	37 of 118 - Sale <sup>25</sup>
	60.000	233.99	04/12/21	517.96	283.97 W	0.00	38 of 118 - Sale <sup>25</sup>
	80.000	311.99	04/12/21	587.52	275.53 W	0.00	39 of 118 - Sale <sup>25</sup>
	95.000	370.49	04/12/21	703.70	333.21 W	0.00	40 of 118 - Sale <sup>25</sup>
	100.000	389.99	04/12/21	734.40	344.41 W	0.00	41 of 118 - Sale <sup>25</sup>
	100.000	389.99	04/12/21	740.75	350.76 W	0.00	42 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	7.78	3.88 W	0.00	43 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	7.78	3.88 W	0.00	44 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	7.85	3.95 W	0.00	45 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	7.85	3.95 W	0.00	46 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	8.22	4.32 W	0.00	47 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	8.22	4.32 W	0.00	48 of 118 - Sale <sup>25</sup>
	1.000	3.90	04/29/21	9.07	5.17 W	0.00	49 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	1.000	3.90	04/29/21	9.44	5.54 W	0.00	50 of 118 - Sale <sup>25</sup>
	3.000	11.70	04/29/21	23.34	11.64 W	0.00	51 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	31.13	15.53 W	0.00	52 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	31.13	15.53 W	0.00	53 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	31.13	15.53 W	0.00	54 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	31.38	15.78 W	0.00	55 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	31.38	15.78 W	0.00	56 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	32.49	16.89 W	0.00	57 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	32.86	17.26 W	0.00	58 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	32.86	17.26 W	0.00	59 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	36.28	20.68 W	0.00	60 of 118 - Sale <sup>25</sup>
	4.000	15.60	04/29/21	37.76	22.16 W	0.00	61 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	38.91	19.41 W	0.00	62 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	38.91	19.41 W	0.00	63 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	38.91	19.41 W	0.00	64 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	38.91	19.41 W	0.00	65 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	40.61	21.11 W	0.00	66 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	45.35	25.85 W	0.00	67 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	45.35	25.85 W	0.00	68 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	47.20	27.70 W	0.00	69 of 118 - Sale <sup>25</sup>
	5.000	19.50	04/29/21	47.20	27.70 W	0.00	70 of 118 - Sale <sup>25</sup>
	6.000	23.40	04/29/21	46.69	23.29 W	0.00	71 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	72 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	73 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	74 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	75 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	76 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	77 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	78 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	79 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	80 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	81 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	82 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.82	38.82 W	0.00	83 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	10.000	39.00	04/29/21	77.83	38.83 W	0.00	84 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	77.83	38.83 W	0.00	85 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	78.51	39.51 W	0.00	86 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	81.21	42.21 W	0.00	87 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	81.22	42.22 W	0.00	88 of 118 - Sale <sup>25</sup>
	10.000	39.00	04/29/21	82.20	43.20 W	0.00	89 of 118 - Sale <sup>25</sup>
	11.000	42.90	04/29/21	85.61	42.71 W	0.00	90 of 118 - Sale <sup>25</sup>
	16.000	62.40	04/29/21	124.52	62.12 W	0.00	91 of 118 - Sale <sup>25</sup>
	20.000	78.00	04/29/21	155.65	77.65 W	0.00	92 of 118 - Sale <sup>25</sup>
	24.000	93.60	04/29/21	186.78	93.18 W	0.00	93 of 118 - Sale <sup>25</sup>
	25.000	97.50	04/29/21	226.77	129.27 W	0.00	94 of 118 - Sale <sup>25</sup>
	25.000	97.50	04/29/21	226.78	129.28 W	0.00	95 of 118 - Sale <sup>25</sup>
	25.000	97.50	04/29/21	235.99	138.49 W	0.00	96 of 118 - Sale <sup>25</sup>
	25.000	97.50	04/29/21	236.01	138.51 W	0.00	97 of 118 - Sale <sup>25</sup>
	30.000	116.99	04/29/21	235.53	118.54 W	0.00	98 of 118 - Sale <sup>25</sup>
	30.000	117.00	04/29/21	233.47	116.47 W	0.00	99 of 118 - Sale <sup>25</sup>
	30.000	117.00	04/29/21	243.65	126.65 W	0.00	100 of 118 - Sale <sup>25</sup>
	30.000	117.00	04/29/21	246.61	129.61 W	0.00	101 of 118 - Sale <sup>25</sup>
	35.000	136.49	04/29/21	330.41	193.92 W	0.00	102 of 118 - Sale <sup>25</sup>
	35.000	136.50	04/29/21	317.49	180.99 W	0.00	103 of 118 - Sale <sup>25</sup>
	40.000	155.99	04/29/21	311.30	155.31 W	0.00	104 of 118 - Sale <sup>25</sup>
	40.000	155.99	04/29/21	362.84	206.85 W	0.00	105 of 118 - Sale <sup>25</sup>
	40.000	155.99	04/29/21	377.61	221.62 W	0.00	106 of 118 - Sale <sup>25</sup>
	41.000	159.89	04/29/21	332.98	173.09 W	0.00	107 of 118 - Sale <sup>25</sup>
	41.000	159.90	04/29/21	319.07	159.17 W	0.00	108 of 118 - Sale <sup>25</sup>
	55.000	214.49	04/29/21	428.03	213.54 W	0.00	109 of 118 - Sale <sup>25</sup>
	55.000	214.49	04/29/21	431.54	217.05 W	0.00	110 of 118 - Sale <sup>25</sup>
	55.000	214.49	04/29/21	451.85	237.36 W	0.00	111 of 118 - Sale <sup>25</sup>
	60.000	233.99	04/29/21	544.27	310.28 W	0.00	112 of 118 - Sale <sup>25</sup>
	60.000	233.99	04/29/21	566.43	332.44 W	0.00	113 of 118 - Sale <sup>25</sup>
	80.000	311.99	04/29/21	622.59	310.60 W	0.00	114 of 118 - Sale <sup>25</sup>
	95.000	370.49	04/29/21	745.34	374.85 W	0.00	115 of 118 - Sale <sup>25</sup>
	95.000	370.49	04/29/21	780.41	409.92 W	0.00	116 of 118 - Sale <sup>25</sup>
	100.000	389.98	04/29/21	821.49	431.51 W	0.00	117 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	100.000	389.99	04/29/21	784.58	394.59 W	0.00	118 of 118 - Sale <sup>25</sup>
05/03/21	2,800.000	10,919.69	Various	22,457.70	11,538.01 W	0.00	Total of 118 transactions
	<b>Security total:</b>	<b>28,409.18</b>		<b>49,826.32</b>	<b>16,511.41 W</b>	<b>-4,905.73</b>	
JAWS SPITFIRE ACQUISITION CORP ORATION / CUSIP: G50740102 / Symbol:							
3 transactions for 03/26/21. Total proceeds and cost reported to the IRS.							
	50.000	538.99	03/22/21	524.95	...	14.04	1 of 3 - Sale <sup>25</sup>
	100.000	1,077.98	03/22/21	1,049.90	...	28.08	2 of 3 - Sale <sup>25</sup>
	100.000	1,077.98	03/22/21	1,049.90	...	28.08	3 of 3 - Sale <sup>25</sup>
03/26/21	250.000	2,694.95	Various	2,624.75	...	70.20	Total of 3 transactions
2 transactions for 04/08/21. Total proceeds and cost reported to the IRS.							
	100.000	1,062.30	03/22/21	1,049.90	...	12.40	1 of 2 - Sale <sup>25</sup>
	150.000	1,593.45	03/22/21	1,574.85	...	18.60	2 of 2 - Sale <sup>25</sup>
04/08/21	250.000	2,655.75	Various	2,624.75	...	31.00	Total of 2 transactions
	<b>Security total:</b>	<b>5,350.70</b>		<b>5,249.50</b>	...	<b>101.20</b>	
METEN HOLDING GROUP LTD. ORDINARY SHARES / CUSIP: G6055H114 / Symbol:							
11/01/21	3,000.000	1,952.63	10/29/21	1,851.00	...	101.63	Sale <sup>25</sup>
RECON TECHNOLOGY, LTD. CLASS A ORDINARY SHARES / CUSIP: G7415M124 / Symbol:							
6 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	3.000	6.67	01/28/21	6.54	...	0.13	1 of 6 - Sale <sup>25</sup>
	100.000	222.39	01/28/21	218.00	...	4.39	2 of 6 - Sale <sup>25</sup>
	100.000	222.39	01/28/21	218.00	...	4.39	3 of 6 - Sale <sup>25</sup>
	163.000	362.50	01/28/21	355.34	...	7.16	4 of 6 - Sale <sup>25</sup>
	300.000	667.18	01/28/21	654.00	...	13.18	5 of 6 - Sale <sup>25</sup>
	334.000	742.80	01/28/21	728.12	...	14.68	6 of 6 - Sale <sup>25</sup>
01/28/21	1,000.000	2,223.93	Various	2,180.00	...	43.93	Total of 6 transactions
SOCIAL CAPITAL HEDOSOPHIA HOLDINGS CORP. V / CUSIP: G8252P105 / Symbol:							
03/05/21	100.000	1,573.43	03/05/21	1,379.00	...	194.43	Sale <sup>25</sup>

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Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES / CUSIP: G8857S116 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	100.000	1,153.97	01/06/21	1,307.00	...	-153.03	1 of 3 - Sale <sup>25</sup>
	100.000	1,153.97	01/07/21	1,289.00	...	-135.03	2 of 3 - Sale <sup>25</sup>
	50.000	576.99	01/22/21	639.50	...	-62.51	3 of 3 - Sale <sup>25</sup>
01/28/21	250.000	2,884.93	Various	3,235.50	...	-350.57	Total of 3 transactions
5 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	250.000	2,884.93	01/06/21	3,247.88	72.59 W	-290.36	1 of 5 - Sale <sup>25</sup>
	50.000	578.99	01/22/21	639.50	60.51 W	0.00	2 of 5 - Sale <sup>25</sup>
	500.000	5,724.81	01/22/21	6,395.00	670.19 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	578.99	01/28/21	625.59	46.60 W	0.00	4 of 5 - Sale <sup>25</sup>
	400.000	4,631.88	01/28/21	4,960.11	328.23 W	0.00	5 of 5 - Sale <sup>25</sup>
01/28/21	1,250.000	14,399.60	Various	15,868.08	1,178.12 W	-290.36	Total of 5 transactions
12 transactions for 03/05/21. Total proceeds and cost reported to the IRS.							
	50.000	512.49	01/28/21	571.00	58.51 W	0.00	1 of 12 - Sale <sup>25</sup>
	50.000	512.49	01/28/21	573.50	61.01 W	0.00	2 of 12 - Sale <sup>25</sup>
	50.000	512.49	01/28/21	613.50	101.01 W	0.00	3 of 12 - Sale <sup>25</sup>
	50.000	512.49	01/28/21	620.10	107.61 W	0.00	4 of 12 - Sale <sup>25</sup>
	100.000	1,024.98	01/28/21	1,142.00	117.02 W	0.00	5 of 12 - Sale <sup>25</sup>
	100.000	1,024.98	01/28/21	1,148.00	123.02 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	1,024.98	01/28/21	1,240.03	215.05 W	0.00	7 of 12 - Sale <sup>25</sup>
	150.000	1,537.47	01/28/21	1,843.59	306.12 W	0.00	8 of 12 - Sale <sup>25</sup>
	200.000	2,049.97	01/28/21	2,284.00	234.03 W	0.00	9 of 12 - Sale <sup>25</sup>
	250.000	2,562.46	01/28/21	3,072.64	510.18 W	0.00	10 of 12 - Sale <sup>25</sup>
	400.000	4,099.93	01/28/21	4,423.96	324.03 W	0.00	11 of 12 - Sale <sup>25</sup>
	400.000	4,099.94	01/28/21	4,601.12	501.18 W	0.00	12 of 12 - Sale <sup>25</sup>
03/05/21	1,900.000	19,474.67	Various	22,133.44	2,658.77 W	0.00	Total of 12 transactions
3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	200.000	2,020.26	02/10/21	2,541.79	...	-521.53	1 of 3 - Sale <sup>25</sup>
	50.000	505.07	02/10/21	695.96	...	-190.89	2 of 3 - Sale <sup>25</sup>
	1.000	10.10	03/09/21	11.33	...	-1.23	3 of 3 - Sale <sup>25</sup>
03/29/21	251.000	2,535.43	Various	3,249.08	...	-713.65	Total of 3 transactions

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THUNDER BRIDGE ACQUISITION II, LTD. CLASS A ORDINARY SHARES / CUSIP: G8857S116 / Symbol: (cont'd)							
3 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	10.21	01/28/21	11.42	1.21 W	0.00	1 of 3 - Sale <sup>25</sup>
	149.000	1,505.10	01/28/21	1,701.58	196.48 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	1,010.13	02/10/21	1,404.94	197.41 W	-197.40	3 of 3 - Sale <sup>25</sup>
03/29/21	250.000	2,525.44	Various	3,117.94	395.10 W	-197.40	Total of 3 transactions
9 transactions for 03/31/21. Total proceeds and cost reported to the IRS.							
	151.000	1,559.80	03/09/21	1,901.20	...	-341.40	1 of 9 - Sale <sup>25</sup>
	99.000	1,022.65	03/09/21	1,246.48	...	-223.83	2 of 9 - Sale <sup>25</sup>
	50.000	516.49	03/09/21	635.11	...	-118.62	3 of 9 - Sale <sup>25</sup>
	100.000	1,032.98	03/09/21	1,136.01	...	-103.03	4 of 9 - Sale <sup>25</sup>
	99.000	1,022.65	03/09/21	1,121.68	...	-99.03	5 of 9 - Sale <sup>25</sup>
	100.000	1,032.98	03/31/21	1,210.03	...	-177.05	6 of 9 - Sale <sup>25</sup>
	50.000	516.49	03/31/21	605.04	...	-88.55	7 of 9 - Sale <sup>25</sup>
	50.000	516.49	03/31/21	564.01	...	-47.52	8 of 9 - Sale <sup>25</sup>
	1.000	10.33	03/31/21	11.29	...	-0.96	9 of 9 - Sale <sup>25</sup>
03/31/21	700.000	7,230.86	Various	8,430.85	...	-1,199.99	Total of 9 transactions
8 transactions for 04/01/21. Total proceeds and cost reported to the IRS.							
	50.000	568.99	03/31/21	700.84	...	-131.85	1 of 8 - Sale <sup>25</sup>
	149.000	1,695.59	03/31/21	1,696.72	...	-1.13	2 of 8 - Sale <sup>25</sup>
	1.000	11.38	03/31/21	11.28	...	0.10	3 of 8 - Sale <sup>25</sup>
	1.000	11.38	03/31/21	11.24	...	0.14	4 of 8 - Sale <sup>25</sup>
	100.000	1,137.98	03/31/21	1,131.30	...	6.68	5 of 8 - Sale <sup>25</sup>
	100.000	1,137.98	03/31/21	1,131.29	...	6.69	6 of 8 - Sale <sup>25</sup>
	99.000	1,126.60	03/31/21	1,117.73	...	8.87	7 of 8 - Sale <sup>25</sup>
	200.000	2,275.97	03/31/21	2,264.33	...	11.64	8 of 8 - Sale <sup>25</sup>
04/01/21	700.000	7,965.87	Various	8,064.73	...	-98.86	Total of 8 transactions
4 transactions for 04/14/21. Total proceeds and cost reported to the IRS.							
	189.000	1,924.25	03/31/21	2,124.14	...	-199.89	1 of 4 - Sale <sup>25</sup>
	100.000	1,018.12	03/31/21	1,123.89	...	-105.77	2 of 4 - Sale <sup>25</sup>
	50.000	509.06	03/31/21	561.95	...	-52.89	3 of 4 - Sale <sup>25</sup>
	10.000	101.80	03/31/21	112.39	...	-10.59	4 of 4 - Sale <sup>25</sup>
04/14/21	349.000	3,553.23	Various	3,922.37	...	-369.14	Total of 4 transactions
	<b>Security total:</b>	<b>60,570.03</b>		<b>68,021.99</b>	<b>4,231.99 W</b>	<b>-3,219.97</b>	

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Robinhood Securities LLC

Account 893957126

## Proceeds from Broker and Barter Exchange Transactions

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. II / CUSIP: G89554102 / Symbol:							
03/24/21	250.000	2,616.15	02/26/21	2,899.88	283.73 W	0.00	Sale <sup>25</sup>
	3 transactions for 03/25/21. Total proceeds and cost reported to the IRS.						
	250.000	2,550.25	02/26/21	3,201.23	...	-650.98	1 of 3 - Sale <sup>25</sup>
	250.000	2,550.26	02/26/21	2,899.87	...	-349.61	2 of 3 - Sale <sup>25</sup>
	500.000	5,100.51	03/03/21	5,825.00	...	-724.49	3 of 3 - Sale <sup>25</sup>
03/25/21	1,000.000	10,201.02	Various	11,926.10	...	-1,725.08	Total of 3 transactions
	<b>Security total:</b>	<b>12,817.17</b>		<b>14,825.98</b>	<b>283.73 W</b>	<b>-1,725.08</b>	
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
	6 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	500.000	360.54	01/21/21	244.95	...	115.59	1 of 6 - Sale <sup>25</sup>
	1,000.000	797.36	01/21/21	489.90	...	307.46	2 of 6 - Sale <sup>25</sup>
	100.000	166.98	01/25/21	34.94	...	132.04	3 of 6 - Sale <sup>25</sup>
	200.000	333.97	01/25/21	69.88	...	264.09	4 of 6 - Sale <sup>25</sup>
	300.000	500.95	01/25/21	104.82	...	396.13	5 of 6 - Sale <sup>25</sup>
	400.000	667.94	01/25/21	139.76	...	528.18	6 of 6 - Sale <sup>25</sup>
01/27/21	2,500.000	2,827.74	Various	1,084.25	...	1,743.49	Total of 6 transactions
	4 transactions for 07/15/21. Total proceeds and cost reported to the IRS.						
	500.000	255.44	03/10/21	504.45	...	-249.01	1 of 4 - Sale <sup>25</sup>
	500.000	255.44	03/22/21	430.80	...	-175.36	2 of 4 - Sale <sup>25</sup>
	500.000	255.43	03/25/21	354.90	...	-99.47	3 of 4 - Sale <sup>25</sup>
	500.000	255.44	04/29/21	306.05	...	-50.61	4 of 4 - Sale <sup>25</sup>
07/15/21	2,000.000	1,021.75	Various	1,596.20	...	-574.45	Total of 4 transactions
	3 transactions for 09/29/21. Total proceeds and cost reported to the IRS.						
	500.000	374.14	09/24/21	351.75	...	22.39	1 of 3 - Sale <sup>25</sup>
	601.000	449.72	09/24/21	422.80	...	26.92	2 of 3 - Sale <sup>25</sup>
	899.000	672.96	09/24/21	632.45	...	40.51	3 of 3 - Sale <sup>25</sup>
09/29/21	2,000.000	1,496.82	Various	1,407.00	...	89.82	Total of 3 transactions
	<b>Security total:</b>	<b>5,346.31</b>		<b>4,087.45</b>	...	<b>1,258.86</b>	

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Robinhood Securities LLC

Account 893957126

**Proceeds from Broker and Barter Exchange Transactions**

2021 1099-B\* OMB No. 1545-0715

(continued)

02/11/2022

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L109 / Symbol:							
6 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	100.000	68.98	01/19/21	34.01	...	34.97	1 of 6 - Sale <sup>25</sup>
	100.000	68.99	01/19/21	34.01	...	34.98	2 of 6 - Sale <sup>25</sup>
	100.000	68.99	01/19/21	34.01	...	34.98	3 of 6 - Sale <sup>25</sup>
	100.000	68.99	01/19/21	34.01	...	34.98	4 of 6 - Sale <sup>25</sup>
	100.000	68.99	01/19/21	34.01	...	34.98	5 of 6 - Sale <sup>25</sup>
	500.000	344.92	01/19/21	170.05	...	174.87	6 of 6 - Sale <sup>25</sup>
01/27/21	1,000.000	689.86	Various	340.10	...	349.76	Total of 6 transactions
3 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	100.000	94.07	01/19/21	34.01	...	60.06	1 of 3 - Sale <sup>25</sup>
	300.000	282.19	01/19/21	102.03	...	180.16	2 of 3 - Sale <sup>25</sup>
	600.000	564.39	01/19/21	204.06	...	360.33	3 of 3 - Sale <sup>25</sup>
02/23/21	1,000.000	940.65	Various	340.10	...	600.55	Total of 3 transactions
	<b>Security total:</b>	<b>1,630.51</b>		<b>680.20</b>	...	<b>950.31</b>	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
7 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	1.000	1.10	01/19/21	0.90	...	0.20	1 of 7 - Sale <sup>25</sup>
	100.000	110.11	01/19/21	90.46	...	19.65	2 of 7 - Sale <sup>25</sup>
	100.000	110.11	01/19/21	90.46	...	19.65	3 of 7 - Sale <sup>25</sup>
	100.000	110.12	01/19/21	90.46	...	19.66	4 of 7 - Sale <sup>25</sup>
	100.000	110.12	01/19/21	90.46	...	19.66	5 of 7 - Sale <sup>25</sup>
	275.000	302.82	01/19/21	248.77	...	54.05	6 of 7 - Sale <sup>25</sup>
	324.000	356.77	01/19/21	293.10	...	63.67	7 of 7 - Sale <sup>25</sup>
01/27/21	1,000.000	1,101.15	Various	904.61	...	196.54	Total of 7 transactions
<b>Totals :</b>		<b>744,380.19</b>		<b>786,167.42</b>	<b>38,224.78 W</b>	<b>-3,562.45</b>	

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Robinhood Securities LLC

Account 893957126

**Fees and Expenses**

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/01/21	-5.00	Margin interest paid	
		02/01/21	-13.12	Margin interest paid	
		03/01/21	-5.00	Margin interest paid	
		03/01/21	-31.77	Margin interest paid	
		03/31/21	-5.00	Margin interest paid	
		03/31/21	-42.07	Margin interest paid	
		04/30/21	-5.00	Margin interest paid	
		04/30/21	-25.48	Margin interest paid	
		06/01/21	-5.00	Margin interest paid	
		06/01/21	-26.73	Margin interest paid	
		06/29/21	-5.00	Margin interest paid	
		06/29/21	-29.01	Margin interest paid	
		07/29/21	-5.00	Margin interest paid	
		07/29/21	-44.87	Margin interest paid	
			-248.05	Total Margin interest paid	
Margin charge		08/30/21	-5.00	Margin interest paid	
		08/30/21	-35.26	Margin interest paid	
		09/27/21	-5.00	Margin interest paid	
		09/27/21	-27.99	Margin interest paid	
		10/27/21	-5.00	Margin interest paid	
		10/27/21	-17.97	Margin interest paid	
		11/26/21	-5.00	Margin interest paid	
		11/26/21	-8.38	Margin interest paid	
		12/27/21	-2.72	Margin interest paid	
		12/27/21	-5.00	Margin interest paid	
			-117.32	Total Margin interest paid	
			<b>-365.37</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 893957126

## Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CHURCHILL CAPITAL CORP IV	171439102	07/26/21	-750.00	0.00	Exchange	
E2OPEN PARENT HOLDINGS, INC.	29788T103	02/05/21	250.00	0.00	Exchange	
GORES HOLDINGS IV, INC. CLASS A COMMON STOCK	382865103	01/22/21	-400.00	0.00	Exchange	
LUCID GROUP, INC. COMMON STOCK	549498103	07/26/21	750.00	0.00	Exchange	
OUSTER, INC.	68989M103	03/12/21	400.00	0.00	Exchange	
UWM HOLDINGS CORPORATION	91823B109	01/22/21	400.00	0.00	Exchange	
CC NEUBERGER PRINCIPAL HOLDING S I	G1992Y114	02/05/21	-250.00	0.00	Exchange	
COLONNADE ACQUISITION CORP.	G2283K110	03/12/21	-400.00	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is

**Instructions for Recipient**

checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Line 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Free File.** Go to [www.irs.gov/FreeFile](http://www.irs.gov/FreeFile) to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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