

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 920101938	Statement Date: 02/11/2022 Document ID: 77DU 1EU 4V4D	2021
	Srihari Nuthikattu 1324 South Finley Road 30 Lombard, IL 60148 RECIPIENT'S TIN: XXX-XX-0523		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2021 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5, 2e) 0.06</p> <p>1b- Qualified dividends 0.06</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>2e- Section 897 ordinary dividends 0.00</p> <p>2f- Section 897 capital gain 0.00</p> <p>3- Nondividend distributions 0.13</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INFORMATION 2021 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 1.09</p> <p>SECTION 1256 CONTRACTS 2021 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2021 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2021 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p> <p>* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.</p>
--	---

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	80,050.54	121,695.31	0.00	14,472.83	-27,171.94
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	4.55	4.45	0.00	0.00	0.10
	Total Short-term	80,055.09	121,699.76	0.00	14,472.83	-27,171.84
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	80,055.09	121,699.76	0.00	14,472.83	-27,171.84
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 920101938

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	35.79
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/12/2021 CALL \$170.00 / CUSIP: / Symbol:							
4 transactions for 01/29/21. Total proceeds and cost reported to the IRS.							
	1.000	18.00	01/26/21	107.00	...	-89.00	1 of 4 - Option sale to close-call ²⁵
	1.000	17.99	01/27/21	111.00	...	-93.01	2 of 4 - Option sale to close-call ²⁵
	1.000	17.99	01/27/21	108.00	...	-90.01	3 of 4 - Option sale to close-call ²⁵
	1.000	18.00	01/27/21	108.00	...	-90.00	4 of 4 - Option sale to close-call ²⁵
01/29/21	4.000	71.98	Various	434.00	...	-362.02	Total of 4 transactions
AAPL 03/12/2021 CALL \$170.00 / CUSIP: / Symbol:							
02/02/21	2.000	103.98	01/29/21	168.00	...	-64.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACB 03/19/2021 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 02/02/21. Total proceeds and cost reported to the IRS.							
	1.000	75.99	01/19/21	73.00	...	2.99	1 of 2 - Option sale to close-call ²⁵
	2.000	151.99	01/22/21	98.00	...	53.99	2 of 2 - Option sale to close-call ²⁵
02/02/21	3.000	227.98	Various	171.00	...	56.98	Total of 2 transactions
AEZS 03/19/2021 CALL \$4.00 / CUSIP: / Symbol:							
03/01/21	1.000	4.98	02/09/21	80.00	...	-75.02	Option sale to close-call ²⁵
AI 09/17/2021 CALL \$65.00 / CUSIP: / Symbol:							
09/08/21	1.000	4.98	08/31/21	65.00	...	-60.02	Option sale to close-call ²⁵
AMC 03/05/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 02/23/21. Total proceeds and cost reported to the IRS.							
	1.000	13.99	01/29/21	825.00	...	-811.01	1 of 2 - Option sale to close-call ²⁵
	1.000	13.99	02/02/21	172.00	...	-158.01	2 of 2 - Option sale to close-call ²⁵
02/23/21	2.000	27.98	Various	997.00	...	-969.02	Total of 2 transactions
AMC 03/05/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/01/21	1.000	829.97	01/29/21	800.00	...	29.97	Option sale to close-call ²⁵
AMC 03/05/2021 CALL \$24.00 / CUSIP: / Symbol:							
01/29/21	1.000	774.97	01/29/21	775.00	...	-0.03	Option sale to close-call ²⁵
AMC 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/01/21	1.000	51.98	02/01/21	645.00	...	-593.02	Option sale to close-call ²⁵
AMC 07/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
07/15/21	1.000	29.98	07/14/21	54.00	...	-24.02	Option sale to close-call ²⁵
AMC 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
07/14/21	1.000	19.98	07/12/21	100.00	...	-80.02	Option sale to close-call ²⁵
AMC 08/06/2021 CALL \$40.00 / CUSIP: / Symbol:							
08/05/21	1.000	13.98	08/04/21	23.00	...	-9.02	Option sale to close-call ²⁵
AMC 12/23/2021 CALL \$28.00 / CUSIP: / Symbol:							
12/14/21	1.000	118.98	12/13/21	127.00	...	-8.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMTX 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	05/07/21	70.00	...	-60.01	1 of 3 - Option sale to close-call ²⁵
	1.000	5.00	05/07/21	60.00	...	-55.00	2 of 3 - Option sale to close-call ²⁵
	1.000	4.99	05/07/21	40.00	...	-35.01	3 of 3 - Option sale to close-call ²⁵
06/24/21	4.000	19.98	Various	170.00	...	-150.02	Total of 3 transactions
AMZN 08/13/2021 CALL \$3,500.00 / CUSIP: / Symbol:							
08/11/21	1.000	43.98	08/09/21	190.00	...	-146.02	Option sale to close-call ²⁵
AMZN 08/13/2021 CALL \$3,600.00 / CUSIP: / Symbol:							
08/10/21	1.000	29.98	08/06/21	184.00	...	-154.02	Option sale to close-call ²⁵
AMZN 08/13/2021 CALL \$3,900.00 / CUSIP: / Symbol:							
08/02/21	1.000	123.98	07/30/21	235.00	...	-111.02	Option sale to close-call ²⁵
AMZN 08/20/2021 CALL \$3,700.00 / CUSIP: / Symbol:							
08/09/21	1.000	164.98	08/02/21	555.00	...	-390.02	Option sale to close-call ²⁵
AMZN 08/20/2021 CALL \$3,740.00 / CUSIP: / Symbol:							
08/06/21	1.000	239.98	08/02/21	405.00	...	-165.02	Option sale to close-call ²⁵
ARKK 02/19/2021 CALL \$170.00 / CUSIP: / Symbol:							
01/08/21	1.000	165.98	01/06/21	40.00	...	125.98	Option sale to close-call ²⁵
ARKK 12/10/2021 CALL \$110.00 / CUSIP: / Symbol:							
11/24/21	1.000	214.98	11/23/21	145.00	...	69.98	Option sale to close-call ²⁵
11/30/21	1.000	201.98	11/29/21	150.00	...	51.98	Option sale to close-call ²⁵
	Security total:	416.96		295.00	...	121.96	
ARKK 12/17/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.							
	1.000	0.98	11/30/21	175.00	174.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	12/01/21	306.02	305.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/15/21	2.000	1.96	Various	481.02	479.06 W	0.00	Total of 2 transactions
2 transactions for 12/17/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/01/21	400.04	...	-400.04	1 of 2 - Option expiration- long call

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ARKK 12/17/2021 CALL \$110.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/03/21	49.00	...	-49.00	2 of 2 - Option expiration- long call
12/17/21	2.000	0.00	Various	449.04	...	-449.04	Total of 2 transactions
	Security total:	1.96		930.06	479.06 W	-449.04	
ARKQ 03/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
02/03/21	1.000	77.98	01/19/21	105.00	...	-27.02	Option sale to close-call ²⁵
ASXC 04/16/2021 CALL \$8.00 / CUSIP: / Symbol:							
04/16/21	2.000	0.00	02/17/21	220.00	...	-220.00	Option expiration- long call
ATOM 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
03/02/21	1.000	9.98	02/11/21	75.00	...	-65.02	Option sale to close-call ²⁵
ATOM 03/19/2021 PUT \$25.00 / CUSIP: / Symbol:							
02/11/21	1.000	99.98	02/10/21	70.00	...	29.98	Option sale to close-put ²⁵
ATOS 01/21/2022 CALL \$8.00 / CUSIP: / Symbol:							
08/16/21	2.000	49.98	07/19/21	150.00	...	-100.02	Option sale to close-call ²⁵
	3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.						
	1.000	24.98	07/19/21	75.00	...	-50.02	1 of 3 - Option sale to close-call ²⁵
	1.000	24.98	07/19/21	75.00	...	-50.02	2 of 3 - Option sale to close-call ²⁵
	1.000	24.98	07/19/21	75.00	...	-50.02	3 of 3 - Option sale to close-call ²⁵
08/20/21	3.000	74.94	Various	225.00	...	-150.06	Total of 3 transactions
	Security total:	124.92		375.00	...	-250.08	
ATOS 08/20/2021 CALL \$6.00 / CUSIP: / Symbol:							
	3 transactions for 07/21/21. Total proceeds and cost reported to the IRS.						
	1.000	19.99	07/19/21	205.00	...	-185.01	1 of 3 - Option sale to close-call ²⁵
	1.000	19.99	07/19/21	150.00	...	-130.01	2 of 3 - Option sale to close-call ²⁵
	3.000	59.98	07/19/21	105.00	...	-45.02	3 of 3 - Option sale to close-call ²⁵
07/21/21	5.000	99.96	Various	460.00	...	-360.04	Total of 3 transactions
	2 transactions for 07/21/21. Total proceeds and cost reported to the IRS.						
	1.000	20.00	06/30/21	190.00	170.00 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	20.00	07/02/21	135.00	115.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/21/21	2.000	40.00	Various	325.00	285.00 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ATOS 08/20/2021 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
Security total:		139.96		785.00	285.00 W	-360.04	
ATOS 08/20/2021 CALL \$7.00 / CUSIP: / Symbol:							
07/06/21	1.000	162.98	06/30/21	162.00	...	0.98	Option sale to close-call ²⁵
ATOS 09/17/2021 CALL \$5.00 / CUSIP: / Symbol:							
07/27/21	2.000	79.98	07/19/21	130.00	50.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	4.000	59.99	07/19/21	260.00	...	-200.01	1 of 3 - Option sale to close-call ²⁵
	2.000	29.99	07/21/21	178.02	...	-148.03	2 of 3 - Option sale to close-call ²⁵
	2.000	29.99	07/26/21	90.00	...	-60.01	3 of 3 - Option sale to close-call ²⁵
08/11/21	8.000	119.97	Various	528.02	...	-408.05	Total of 3 transactions
Security total:		199.95		658.02	50.02 W	-408.05	
BABA 02/19/2021 CALL \$270.00 / CUSIP: / Symbol:							
01/05/21	1.000	345.98	12/28/20	232.00	...	113.98	Option sale to close-call ²⁵
BABA 03/18/2022 CALL \$145.00 / CUSIP: / Symbol:							
12/29/21	1.000	204.98	12/29/21	215.00	...	-10.02	Option sale to close-call ²⁵
BABA 06/18/2021 CALL \$260.00 / CUSIP: / Symbol:							
04/06/21	1.000	464.98	04/05/21	375.00	...	89.98	Option sale to close-call ²⁵
BABA 07/16/2021 CALL \$225.00 / CUSIP: / Symbol:							
5 transactions for 07/08/21. Total proceeds and cost reported to the IRS.							
	1.000	22.00	07/06/21	103.00	...	-81.00	1 of 5 - Option sale to close-call ²⁵
	1.000	22.00	07/06/21	90.00	...	-68.00	2 of 5 - Option sale to close-call ²⁵
	1.000	21.99	07/06/21	71.00	...	-49.01	3 of 5 - Option sale to close-call ²⁵
	1.000	21.99	07/06/21	71.00	...	-49.01	4 of 5 - Option sale to close-call ²⁵
	1.000	22.00	07/06/21	71.00	...	-49.00	5 of 5 - Option sale to close-call ²⁵
07/08/21	5.000	109.98	Various	406.00	...	-296.02	Total of 5 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BABA 07/23/2021 CALL \$225.00 / CUSIP: / Symbol:							
07/14/21	1.000	104.98	07/08/21	52.00	...	52.98	Option sale to close-call ²⁵
07/15/21	1.000	99.98	07/08/21	52.00	...	47.98	Option sale to close-call ²⁵
	Security total:	204.96		104.00	...	100.96	
BABA 08/13/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/10/21	1.000	85.98	08/06/21	200.00	...	-114.02	Option sale to close-call ²⁵
BABA 08/20/2021 CALL \$205.00 / CUSIP: / Symbol:							
08/02/21	1.000	460.98	07/26/21	445.00	...	15.98	Option sale to close-call ²⁵
BABA 08/27/2021 CALL \$200.00 / CUSIP: / Symbol:							
08/19/21	1.000	26.98	08/17/21	37.00	...	-10.02	Option sale to close-call ²⁵
08/19/21	1.000	22.98	08/17/21	37.00	14.02 W	0.00	Option sale to close-call ²⁵
08/20/21	1.000	11.98	08/19/21	34.02	...	-22.04	Option sale to close-call ²⁵
	Security total:	61.94		108.02	14.02 W	-32.06	
BABA 08/27/2021 CALL \$220.00 / CUSIP: / Symbol:							
07/30/21	1.000	144.98	07/27/21	135.00	...	9.98	Option sale to close-call ²⁵
BABA 09/03/2021 CALL \$195.00 / CUSIP: / Symbol:							
08/23/21	1.000	32.98	08/20/21	43.00	...	-10.02	Option sale to close-call ²⁵
BABA 09/16/2022 CALL \$150.00 / CUSIP: / Symbol:							
12/10/21	1.000	1,199.98	11/19/21	2,030.00	830.02 W	0.00	Option sale to close-call ²⁵
BABA 09/17/2021 CALL \$200.00 / CUSIP: / Symbol:							
09/13/21	1.000	1.98	08/20/21	75.00	...	-73.02	Option sale to close-call ²⁵
BIDU 06/18/2021 CALL \$280.00 / CUSIP: / Symbol:							
04/15/21	1.000	169.98	04/12/21	238.00	68.02 W	0.00	Option sale to close-call ²⁵
05/03/21	1.000	48.98	04/15/21	233.02	...	-184.04	Option sale to close-call ²⁵
	Security total:	218.96		471.02	68.02 W	-184.04	
BIDU 06/18/2021 CALL \$300.00 / CUSIP: / Symbol:							
03/26/21	1.000	299.98	03/25/21	325.00	...	-25.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIDU 07/16/2021 CALL \$280.00 / CUSIP: / Symbol:							
06/15/21	1.000	10.98	05/03/21	79.00	...	-68.02	Option sale to close-call ²⁵
BIDU 08/20/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	4.000	3.98	08/06/21	72.00	68.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1.00	08/06/21	18.00	17.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/16/21	5.000	4.98	Various	90.00	85.02 W	0.00	Total of 2 transactions
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	3.000	0.00	08/06/21	105.02	...	-105.02	1 of 3 - Option expiration- long call
	1.000	0.00	08/06/21	35.00	...	-35.00	2 of 3 - Option expiration- long call
	1.000	0.00	08/06/21	35.00	...	-35.00	3 of 3 - Option expiration- long call
08/20/21	5.000	0.00	Various	175.02	...	-175.02	Total of 3 transactions
	Security total:	4.98		265.02	85.02 W	-175.02	
BIDU 11/19/2021 CALL \$250.00 / CUSIP: / Symbol:							
09/14/21	1.000	19.98	06/11/21	335.00	...	-315.02	Option sale to close-call ²⁵
BIDU 11/19/2021 CALL \$260.00 / CUSIP: / Symbol:							
07/12/21	1.000	192.98	06/15/21	260.00	...	-67.02	Option sale to close-call ²⁵
11/08/21	1.000	1.98	06/15/21	260.00	...	-258.02	Option sale to close-call ²⁵
	Security total:	194.96		520.00	...	-325.04	
BILI 06/18/2021 CALL \$130.00 / CUSIP: / Symbol:							
04/15/21	1.000	186.98	04/07/21	345.00	...	-158.02	Option sale to close-call ²⁵
BILI 07/23/2021 CALL \$115.00 / CUSIP: / Symbol:							
07/22/21	2.000	39.98	07/22/21	44.00	...	-4.02	Option sale to close-call ²⁵
BILI 08/20/2021 CALL \$100.00 / CUSIP: / Symbol:							
07/28/21	1.000	499.98	07/23/21	395.00	...	104.98	Option sale to close-call ²⁵
07/30/21	1.000	138.98	07/23/21	395.00	256.02 W	0.00	Option sale to close-call ²⁵
08/02/21	1.000	319.98	07/29/21	516.02	...	-196.04	Option sale to close-call ²⁵
	Security total:	958.94		1,306.02	256.02 W	-91.06	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BILI 08/20/2021 CALL \$109.00 / CUSIP: / Symbol:							
07/28/21	1.000	149.98	07/26/21	125.00	...	24.98	Option sale to close-call ²⁵
BILI 12/17/2021 CALL \$66.00 / CUSIP: / Symbol:							
12/13/21	1.000	13.98	12/03/21	365.02	...	-351.04	Option sale to close-call ²⁵
12/13/21	1.000	12.98	11/30/21	340.00	327.02 W	0.00	Option sale to close-call ²⁵
	Security total:	26.96		705.02	327.02 W	-351.04	
BLDP 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
01/08/21	1.000	109.98	11/23/20	65.00	...	44.98	Option sale to close-call ²⁵
01/12/21	1.000	399.98	11/23/20	65.00	...	334.98	Option sale to close-call ²⁵
	Security total:	509.96		130.00	...	379.96	
BLDP 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
02/04/21	1.000	409.98	01/15/21	250.00	...	159.98	Option sale to close-call ²⁵
BLDP 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
	<i>3 transactions for 05/21/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	03/05/21	300.00	...	-300.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/05/21	159.00	...	-159.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/05/21	159.00	...	-159.00	3 of 3 - Option expiration- long call
05/21/21	4.000	0.00	Various	618.00	...	-618.00	Total of 3 transactions
BLDP 05/21/2021 CALL \$39.00 / CUSIP: / Symbol:							
01/19/21	1.000	599.97	01/12/21	600.00	...	-0.03	Option sale to close-call ²⁵
BNGO 02/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/19/21	1.000	345.98	01/15/21	265.00	...	80.98	Option sale to close-call ²⁵
BNGO 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
01/22/21	1.000	269.98	01/15/21	270.00	...	-0.02	Option sale to close-call ²⁵
BNGO 03/19/2021 CALL \$8.00 / CUSIP: / Symbol:							
01/22/21	1.000	329.98	01/14/21	200.00	...	129.98	Option sale to close-call ²⁵
02/01/21	1.000	449.98	01/14/21	200.00	...	249.98	Option sale to close-call ²⁵
	Security total:	779.96		400.00	...	379.96	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAN 05/21/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/30/21	1.000	240.99	03/24/21	196.00	...	44.99	Option sale to close-call ²⁵
03/30/21	1.000	240.99	03/22/21	410.00	169.01 W	0.00	Option sale to close-call ²⁵
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	16.99	03/24/21	144.00	...	-127.01	1 of 2 - Option sale to close-call ²⁵
	2.000	33.99	04/12/21	80.00	...	-46.01	2 of 2 - Option sale to close-call ²⁵
04/15/21	3.000	50.98	Various	224.00	...	-173.02	Total of 2 transactions
4 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	1.000	16.99	03/24/21	365.01	348.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	16.99	03/24/21	165.00	148.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	17.00	03/24/21	513.02	496.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	17.00	03/24/21	292.01	275.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
04/15/21	4.000	67.98	Various	1,335.04	1,267.06 W	0.00	Total of 4 transactions
2 transactions for 05/21/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	04/12/21	536.02	...	-536.02	1 of 2 - Option expiration- long call
	1.000	0.00	04/12/21	315.01	...	-315.01	2 of 2 - Option expiration- long call
05/21/21	2.000	0.00	Various	851.03	...	-851.03	Total of 2 transactions
Security total:		600.94		3,016.07	1,436.07 W	-979.06	
CCIV 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
02/05/21	1.000	1,519.95	01/13/21	310.00	...	1,209.95	Option sale to close-call ²⁵
CCIV 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/05/21	1.000	1,133.96	01/15/21	255.00	...	878.96	Option sale to close-call ²⁵
CCIV 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/24/21	1.000	371.98	02/05/21	1,025.00	...	-653.02	Option sale to close-call ²⁵
CCIV 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/29/21	1.000	525.97	01/25/21	405.00	...	120.97	Option sale to close-call ²⁵
CCIV 03/19/2021 PUT \$15.00 / CUSIP: / Symbol:							
03/08/21	1.000	8.98	02/05/21	78.00	...	-69.02	Option sale to close-put ²⁵
CCIV 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/31/21	1.000	7.98	02/24/21	288.00	...	-280.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCIV 05/21/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/15/21	1.000	511.97	01/13/21	380.00	...	131.97	Option sale to close-call ²⁵
CCIV 05/21/2021 CALL \$45.00 / CUSIP: / Symbol:							
04/22/21	3.000	32.98	03/05/21	471.00	...	-438.02	Option sale to close-call ²⁵
CHPT 05/21/2021 CALL \$35.00 / CUSIP: / Symbol:							
		<i>2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.</i>					
	3.000	566.98	03/16/21	675.00	...	-108.02	1 of 2 - Option sale to close-call ²⁵
	2.000	377.98	03/16/21	450.00	...	-72.02	2 of 2 - Option sale to close-call ²⁵
03/18/21	5.000	944.96	Various	1,125.00	...	-180.04	Total of 2 transactions
CIIC 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/12/21	1.000	259.98	01/07/21	370.00	...	-110.02	Option sale to close-call ²⁵
CLOV 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
		<i>4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.</i>					
	1.000	34.98	03/05/21	25.00	...	9.98	1 of 4 - Option sale to close-call ²⁵
	2.000	69.98	03/05/21	50.00	...	19.98	2 of 4 - Option sale to close-call ²⁵
	2.000	69.98	03/05/21	50.00	...	19.98	3 of 4 - Option sale to close-call ²⁵
	3.000	119.98	03/05/21	75.00	...	44.98	4 of 4 - Option sale to close-call ²⁵
04/16/21	8.000	294.92	Various	200.00	...	94.92	Total of 4 transactions
04/22/21	2.000	41.98	03/05/21	50.00	...	-8.02	Option sale to close-call ²⁵
	Security total:	336.90		250.00	...	86.90	
CLSN 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
03/01/21	1.000	51.98	02/11/21	145.00	...	-93.02	Option sale to close-call ²⁵
CNK 03/19/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/04/21	1.000	94.98	12/01/20	90.00	...	4.98	Option sale to close-call ²⁵
COIN 07/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
07/15/21	1.000	9.98	07/14/21	50.00	...	-40.02	Option sale to close-call ²⁵
CRIS 01/15/2021 PUT \$2.50 / CUSIP: / Symbol:							
01/15/21	1.000	0.00	12/09/20	20.00	...	-20.00	Option expiration- long put

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DDOG 07/16/2021 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	1.000	191.99	03/05/21	202.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	1.000	191.99	03/05/21	201.00	...	-9.01	2 of 2 - Option sale to close-call ²⁵
04/12/21	2.000	383.98	Various	403.00	...	-19.02	Total of 2 transactions
DIDI 08/20/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 07/26/21. Total proceeds and cost reported to the IRS.							
	1.000	49.99	07/23/21	60.00	...	-10.01	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	07/23/21	60.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
07/26/21	2.000	99.98	Various	120.00	...	-20.02	Total of 2 transactions
DIDI 09/24/2021 CALL \$10.00 / CUSIP: / Symbol:							
09/24/21	1.000	0.00	09/17/21	4.00	...	-4.00	Option expiration- long call
DIS 03/19/2021 CALL \$210.00 / CUSIP: / Symbol:							
01/28/21	1.000	134.98	01/20/21	125.00	...	9.98	Option sale to close-call ²⁵
DIS 03/19/2021 CALL \$230.00 / CUSIP: / Symbol:							
02/11/21	1.000	159.98	01/20/21	55.00	...	104.98	Option sale to close-call ²⁵
2 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	2.000	87.99	01/21/21	100.00	...	-12.01	1 of 2 - Option sale to close-call ²⁵
	1.000	43.99	01/21/21	51.00	...	-7.01	2 of 2 - Option sale to close-call ²⁵
02/16/21	3.000	131.98	Various	151.00	...	-19.02	Total of 2 transactions
02/16/21	1.000	44.00	01/20/21	55.00	11.00 W	0.00	Option sale to close-call ²⁵
02/19/21	1.000	21.98	01/21/21	62.00	...	-40.02	Option sale to close-call ²⁵
	Security total:	357.94		323.00	11.00 W	45.94	
DNN 04/16/2021 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	10.000	0.00	02/12/21	350.00	...	-350.00	1 of 2 - Option expiration- long call
	5.000	0.00	02/12/21	125.00	...	-125.00	2 of 2 - Option expiration- long call
04/16/21	15.000	0.00	Various	475.00	...	-475.00	Total of 2 transactions
DOCU 05/21/2021 CALL \$240.00 / CUSIP: / Symbol:							
04/09/21	1.000	210.98	03/18/21	630.00	...	-419.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCU 05/21/2021 CALL \$250.00 / CUSIP: / Symbol:							
04/07/21	1.000	90.98	03/18/21	500.00	...	-409.02	Option sale to close-call ²⁵
EBON 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
03/24/21	3.000	395.98	03/22/21	810.00	...	-414.02	Option sale to close-call ²⁵
EBON 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
4 transactions for 06/29/21. Total proceeds and cost reported to the IRS.							
	1.000	2.98	04/05/21	200.00	...	-197.02	1 of 4 - Option sale to close-call ²⁵
	1.000	3.00	04/05/21	200.00	...	-197.00	2 of 4 - Option sale to close-call ²⁵
	2.000	5.99	04/06/21	232.00	...	-226.01	3 of 4 - Option sale to close-call ²⁵
	1.000	2.99	04/06/21	115.00	...	-112.01	4 of 4 - Option sale to close-call ²⁵
06/29/21	5.000	14.96	Various	747.00	...	-732.04	Total of 4 transactions
EOSE 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/14/21	189.00	...	-189.00	Option expiration- long call
ETSY 07/16/2021 CALL \$220.00 / CUSIP: / Symbol:							
07/14/21	1.000	6.98	07/02/21	83.00	...	-76.02	Option sale to close-call ²⁵
F 06/18/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 03/23/21. Total proceeds and cost reported to the IRS.							
	1.000	267.99	11/23/20	68.00	...	199.99	1 of 2 - Option sale to close-call ²⁵
	1.000	267.99	01/08/21	61.00	...	206.99	2 of 2 - Option sale to close-call ²⁵
03/23/21	2.000	535.98	Various	129.00	...	406.98	Total of 2 transactions
F 06/18/2021 CALL \$17.00 / CUSIP: / Symbol:							
5 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	3.99	02/05/21	98.00	...	-94.01	1 of 5 - Option sale to close-call ²⁵
	4.000	7.99	02/08/21	160.00	...	-152.01	2 of 5 - Option sale to close-call ²⁵
	1.000	1.99	02/08/21	40.00	...	-38.01	3 of 5 - Option sale to close-call ²⁵
	2.000	3.99	02/11/21	68.00	...	-64.01	4 of 5 - Option sale to close-call ²⁵
	1.000	1.98	02/11/21	33.00	...	-31.02	5 of 5 - Option sale to close-call ²⁵
05/07/21	10.000	19.94	Various	399.00	...	-379.06	Total of 5 transactions
05/18/21	4.000	7.98	02/11/21	132.00	...	-124.02	Option sale to close-call ²⁵
Security total:		27.92		531.00	...	-503.08	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 02/19/2021 CALL \$350.00 / CUSIP: / Symbol:							
01/15/21	1.000	30.98	01/11/21	49.00	...	-18.02	Option sale to close-call ²⁵
01/20/21	2.000	89.98	01/11/21	98.00	...	-8.02	Option sale to close-call ²⁵
01/21/21	2.000	99.98	01/11/21	98.00	...	1.98	Option sale to close-call ²⁵
	Security total:	220.94		245.00	...	-24.06	
FCEL 02/19/2021 CALL \$32.00 / CUSIP: / Symbol:							
01/21/21	1.000	47.99	01/20/21	60.00	...	-12.01	Option sale to close-call ²⁵
01/21/21	1.000	47.99	01/20/21	60.00	12.01 W	0.00	Option sale to close-call ²⁵
01/25/21	1.000	30.98	01/20/21	72.01	...	-41.03	Option sale to close-call ²⁵
	Security total:	126.96		192.01	12.01 W	-53.04	
FCEL 02/19/2021 PUT \$10.00 / CUSIP: / Symbol:							
	2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	1.000	28.99	01/20/21	32.00	...	-3.01	1 of 2 - Option sale to close-put ²⁵
	1.000	28.99	01/20/21	32.00	...	-3.01	2 of 2 - Option sale to close-put ²⁵
01/21/21	2.000	57.98	Various	64.00	...	-6.02	Total of 2 transactions
FCEL 03/19/2021 CALL \$29.00 / CUSIP: / Symbol:							
02/04/21	1.000	350.98	01/29/21	290.00	...	60.98	Option sale to close-call ²⁵
FCEL 04/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
01/26/21	1.000	619.97	01/11/21	310.00	...	309.97	Option sale to close-call ²⁵
FCEL 04/16/2021 CALL \$27.00 / CUSIP: / Symbol:							
03/26/21	4.000	39.98	03/05/21	140.00	...	-100.02	Option sale to close-call ²⁵
03/26/21	1.000	10.00	02/17/21	320.00	310.00 W	0.00	Option sale to close-call ²⁵
03/29/21	1.000	8.98	03/05/21	345.00	...	-336.02	Option sale to close-call ²⁵
	Security total:	58.96		805.00	310.00 W	-436.04	
FCEL 07/16/2021 CALL \$20.00 / CUSIP: / Symbol:							
03/24/21	1.000	174.98	03/05/21	225.00	50.02 W	0.00	Option sale to close-call ²⁵
	3 transactions for 06/02/21. Total proceeds and cost reported to the IRS.						
	1.000	20.00	03/05/21	225.00	205.00 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	19.99	04/15/21	91.02	71.03 W	0.00	2 of 3 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FCEL 07/16/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	39.99	04/15/21	66.00	26.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/02/21	4.000	79.98	Various	382.02	302.04 W	0.00	Total of 3 transactions
06/03/21	1.000	21.99	04/15/21	28.00	...	-6.01	Option sale to close-call ²⁵
06/03/21	3.000	65.99	04/15/21	99.00	33.01 W	0.00	Option sale to close-call ²⁵
6 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	3.000	2.99	04/15/21	84.00	...	-81.01	1 of 6 - Option sale to close-call ²⁵
	1.000	1.00	05/04/21	223.00	...	-222.00	2 of 6 - Option sale to close-call ²⁵
	1.000	1.00	05/04/21	89.03	...	-88.03	3 of 6 - Option sale to close-call ²⁵
	2.000	1.99	05/04/21	62.01	...	-60.02	4 of 6 - Option sale to close-call ²⁵
	2.000	1.99	05/04/21	56.01	...	-54.02	5 of 6 - Option sale to close-call ²⁵
	1.000	0.98	05/04/21	28.00	...	-27.02	6 of 6 - Option sale to close-call ²⁵
06/30/21	10.000	9.95	Various	542.05	...	-532.10	Total of 6 transactions
	Security total:	352.89		1,276.07	385.07 W	-538.11	
FCEL 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
06/22/21	1.000	63.98	06/08/21	216.00	152.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.							
	1.000	50.99	06/08/21	216.00	...	-165.01	1 of 2 - Option sale to close-call ²⁵
	1.000	50.99	06/21/21	217.02	...	-166.03	2 of 2 - Option sale to close-call ²⁵
07/01/21	2.000	101.98	Various	433.02	...	-331.04	Total of 2 transactions
	Security total:	165.96		649.02	152.02 W	-331.04	
FSLR 02/19/2021 CALL \$145.00 / CUSIP: / Symbol:							
01/15/21	1.000	40.98	01/07/21	121.00	...	-80.02	Option sale to close-call ²⁵
FSLR 03/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
03/09/21	1.000	2.98	01/15/21	71.00	...	-68.02	Option sale to close-call ²⁵
FSLY 04/16/2021 CALL \$115.00 / CUSIP: / Symbol:							
2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.							
	1.000	10.00	02/26/21	100.00	...	-90.00	1 of 2 - Option sale to close-call ²⁵
	4.000	39.98	03/05/21	140.00	...	-100.02	2 of 2 - Option sale to close-call ²⁵
03/29/21	5.000	49.98	Various	240.00	...	-190.02	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FSLY 09/17/2021 CALL \$85.00 / CUSIP: / Symbol:							
06/28/21	1.000	199.98	05/06/21	80.00	...	119.98	Option sale to close-call ²⁵
08/11/21	1.000	4.98	05/06/21	80.00	...	-75.02	Option sale to close-call ²⁵
	Security total:	204.96		160.00	...	44.96	
FSR 02/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	20.99	01/13/21	7.00	...	13.99	1 of 2 - Option sale to close-call ²⁵
	1.000	38.99	01/14/21	59.00	...	-20.01	2 of 2 - Option sale to close-call ²⁵
01/27/21	2.000	59.98	Various	66.00	...	-6.02	Total of 2 transactions
	2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	2.000	41.99	11/23/20	146.00	104.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	38.99	01/13/21	59.01	20.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/27/21	3.000	80.98	Various	205.01	124.03 W	0.00	Total of 2 transactions
02/04/21	1.000	2.98	01/14/21	27.02	...	-24.04	Option sale to close-call ²⁵
	Security total:	143.94		298.03	124.03 W	-30.06	
GEVO 03/19/2021 CALL \$10.00 / CUSIP: / Symbol:							
02/10/21	1.000	589.97	01/28/21	500.00	...	89.97	Option sale to close-call ²⁵
GEVO 03/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
02/12/21	1.000	409.98	01/28/21	450.00	...	-40.02	Option sale to close-call ²⁵
GEVO 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
	5 transactions for 05/21/21. Total proceeds and cost reported to the IRS.						
	1.000	0.00	02/17/21	380.00	...	-380.00	1 of 5 - Option expiration- long call
	3.000	0.00	03/05/21	195.00	...	-195.00	2 of 5 - Option expiration- long call
	1.000	0.00	03/05/21	65.00	...	-65.00	3 of 5 - Option expiration- long call
	8.000	0.00	04/12/21	48.00	...	-48.00	4 of 5 - Option expiration- long call
	10.000	0.00	04/16/21	50.00	...	-50.00	5 of 5 - Option expiration- long call
05/21/21	23.000	0.00	Various	738.00	...	-738.00	Total of 5 transactions
GEVO 08/20/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/06/21	10.000	99.97	04/15/21	350.00	...	-250.03	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO 08/20/2021 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	08/12/21	40.00	...	-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/12/21	10.00	...	-10.00	2 of 2 - Option expiration- long call
08/20/21	5.000	0.00	Various	50.00	...	-50.00	Total of 2 transactions
GEVO 11/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	2.000	129.98	05/07/21	60.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	2.000	129.98	05/07/21	60.00	...	69.98	2 of 2 - Option sale to close-call ²⁵
05/27/21	4.000	259.96	Various	120.00	...	139.96	Total of 2 transactions
07/27/21	4.000	39.98	05/07/21	120.00	...	-80.02	Option sale to close-call ²⁵
	Security total:	299.94		240.00	...	59.94	
GM 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/04/21	2.000	243.98	11/24/20	108.00	...	135.98	Option sale to close-call ²⁵
GM 06/18/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	1.000	444.99	11/20/20	75.00	...	369.99	1 of 2 - Option sale to close-call ²⁵
	1.000	444.99	12/02/20	74.00	...	370.99	2 of 2 - Option sale to close-call ²⁵
03/18/21	2.000	889.98	Various	149.00	...	740.98	Total of 2 transactions
05/04/21	1.000	43.98	12/02/20	74.00	...	-30.02	Option sale to close-call ²⁵
	Security total:	933.96		223.00	...	710.96	
GM 06/18/2021 CALL \$80.00 / CUSIP: / Symbol:							
03/02/21	3.000	173.98	02/10/21	222.00	48.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	2.000	259.99	02/10/21	148.00	...	111.99	1 of 2 - Option sale to close-call ²⁵
	3.000	389.99	02/11/21	264.02	...	125.97	2 of 2 - Option sale to close-call ²⁵
03/18/21	5.000	649.98	Various	412.02	...	237.96	Total of 2 transactions
05/07/21	1.000	2.98	02/26/21	37.00	...	-34.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 06/18/2021 CALL \$80.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 05/26/21. Total proceeds and cost reported to the IRS.							
	4.000	3.98	02/26/21	148.00	...	-144.02	1 of 2 - Option sale to close-call ²⁵
	1.000	0.98	03/04/21	31.00	...	-30.02	2 of 2 - Option sale to close-call ²⁵
05/26/21	5.000	4.96	Various	179.00	...	-174.04	Total of 2 transactions
	Security total:	831.90		850.02	48.02 W	29.90	
GM 09/17/2021 CALL \$65.00 / CUSIP: / Symbol:							
4 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	2.000	17.99	08/04/21	312.01	...	-294.02	1 of 4 - Option sale to close-call ²⁵
	1.000	7.98	08/04/21	168.00	...	-160.02	2 of 4 - Option sale to close-call ²⁵
	1.000	8.99	08/04/21	77.01	...	-68.02	3 of 4 - Option sale to close-call ²⁵
	1.000	9.00	08/04/21	33.00	...	-24.00	4 of 4 - Option sale to close-call ²⁵
08/11/21	5.000	43.96	Various	590.02	...	-546.06	Total of 4 transactions
5 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	9.00	06/28/21	144.00	135.00 W	0.00	1 of 5 - Option sale to close-call ²⁵
	2.000	17.99	06/28/21	288.00	270.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	8.99	07/16/21	65.00	56.01 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	9.00	08/04/21	21.00	12.00 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	9.00	08/04/21	156.00	147.00 W	0.00	5 of 5 - Option sale to close-call ²⁵
08/11/21	6.000	53.98	Various	674.00	620.02 W	0.00	Total of 5 transactions
	Security total:	97.94		1,264.02	620.02 W	-546.06	
GM 09/17/2021 CALL \$75.00 / CUSIP: / Symbol:							
05/27/21	2.000	169.98	04/29/21	150.00	...	19.98	Option sale to close-call ²⁵
07/01/21	1.000	34.98	04/29/21	75.00	...	-40.02	Option sale to close-call ²⁵
07/23/21	1.000	9.98	04/29/21	75.00	...	-65.02	Option sale to close-call ²⁵
4 transactions for 08/09/21. Total proceeds and cost reported to the IRS.							
	3.000	8.99	04/29/21	216.00	...	-207.01	1 of 4 - Option sale to close-call ²⁵
	1.000	3.00	05/04/21	58.00	...	-55.00	2 of 4 - Option sale to close-call ²⁵
	1.000	2.99	05/04/21	55.00	...	-52.01	3 of 4 - Option sale to close-call ²⁵
	1.000	3.00	05/04/21	55.00	...	-52.00	4 of 4 - Option sale to close-call ²⁵
08/09/21	6.000	17.98	Various	384.00	...	-366.02	Total of 4 transactions
	Security total:	232.92		684.00	...	-451.08	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GM 10/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	31.98	08/05/21	88.01	...	-56.03	1 of 2 - Option sale to close-call ²⁵
	1.000	31.98	08/05/21	88.01	...	-56.03	2 of 2 - Option sale to close-call ²⁵
08/11/21	2.000	63.96	Various	176.02	...	-112.06	Total of 2 transactions
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	3.000	95.98	08/05/21	180.00	84.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	63.98	08/05/21	120.00	56.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/11/21	5.000	159.96	Various	300.00	140.04 W	0.00	Total of 2 transactions
3 transactions for 08/20/21. Total proceeds and cost reported to the IRS.							
	1.000	8.98	08/05/21	88.01	...	-79.03	1 of 3 - Option sale to close-call ²⁵
	1.000	8.98	08/05/21	88.01	...	-79.03	2 of 3 - Option sale to close-call ²⁵
	1.000	8.98	08/05/21	88.00	...	-79.02	3 of 3 - Option sale to close-call ²⁵
08/20/21	3.000	26.94	Various	264.02	...	-237.08	Total of 3 transactions
	Security total:	250.86		740.04	140.04 W	-349.14	
GOEV 08/20/2021 CALL \$17.50 / CUSIP: / Symbol:							
07/16/21	2.000	9.98	03/30/21	98.00	...	-88.02	Option sale to close-call ²⁵
08/20/21	1.000	0.00	03/30/21	49.00	...	-49.00	Option expiration- long call
	Security total:	9.98		147.00	...	-137.02	
GSAT 03/19/2021 CALL \$2.00 / CUSIP: / Symbol:							
02/24/21	1.000	39.98	01/20/21	30.00	...	9.98	Option sale to close-call ²⁵
GSAT 03/19/2021 PUT \$1.00 / CUSIP: / Symbol:							
03/19/21	2.000	0.00	02/09/21	20.00	...	-20.00	Option expiration- long put
GSAT 04/16/2021 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	02/09/21	145.00	...	-145.00	1 of 2 - Option expiration- long call
	5.000	0.00	02/09/21	145.00	...	-145.00	2 of 2 - Option expiration- long call
04/16/21	10.000	0.00	Various	290.00	...	-290.00	Total of 2 transactions
GUSH 01/15/2021 PUT \$20.00 / CUSIP: / Symbol:							
01/06/21	1.000	4.98	12/04/20	30.00	...	-25.02	Option sale to close-put ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GUSH 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
01/27/21	1.000	329.98	01/21/21	231.00	...	98.98	Option sale to close-call ²⁵
GUSH 08/20/2021 CALL \$80.00 / CUSIP: / Symbol:							
08/10/21	1.000	64.98	08/02/21	135.00	...	-70.02	Option sale to close-call ²⁵
GUSH 09/17/2021 CALL \$80.00 / CUSIP: / Symbol:							
08/27/21	1.000	139.98	08/19/21	30.00	...	109.98	Option sale to close-call ²⁵
HMC 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 03/18/21. Total proceeds and cost reported to the IRS.							
	5.000	49.98	02/12/21	110.00	...	-60.02	1 of 3 - Option sale to close-call ²⁵
	4.000	39.98	02/12/21	88.00	...	-48.02	2 of 3 - Option sale to close-call ²⁵
	1.000	10.00	02/12/21	22.00	...	-12.00	3 of 3 - Option sale to close-call ²⁵
03/18/21	10.000	99.96	Various	220.00	...	-120.04	Total of 3 transactions
HYLN 04/16/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/12/21	44.00	...	-44.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/19/21	33.00	...	-33.00	2 of 2 - Option expiration- long call
04/16/21	2.000	0.00	Various	77.00	...	-77.00	Total of 2 transactions
HYLN 04/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
4 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/30/20	50.00	...	-50.00	1 of 4 - Option expiration- long call
	1.000	0.00	01/12/21	10.00	...	-10.00	2 of 4 - Option expiration- long call
	1.000	0.00	01/12/21	10.00	...	-10.00	3 of 4 - Option expiration- long call
	1.000	0.00	01/19/21	8.00	...	-8.00	4 of 4 - Option expiration- long call
04/16/21	4.000	0.00	Various	78.00	...	-78.00	Total of 4 transactions
HYLN 06/18/2021 CALL \$20.00 / CUSIP: / Symbol:							
6 transactions for 05/13/21. Total proceeds and cost reported to the IRS.							
	2.000	9.99	03/05/21	250.00	...	-240.01	1 of 6 - Option sale to close-call ²⁵
	1.000	4.99	03/05/21	150.00	...	-145.01	2 of 6 - Option sale to close-call ²⁵
	5.000	24.98	03/18/21	485.00	...	-460.02	3 of 6 - Option sale to close-call ²⁵
	3.000	14.99	03/18/21	291.00	...	-276.01	4 of 6 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLN 06/18/2021 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
	2.000	9.99	03/18/21	194.00	...	-184.01	5 of 6 - Option sale to close-call ²⁵
	1.000	4.99	05/12/21	155.02	...	-150.03	6 of 6 - Option sale to close-call ²⁵
05/13/21	14.000	69.93	Various	1,525.02	...	-1,455.09	Total of 6 transactions
05/13/21	1.000	4.98	03/05/21	150.00	145.02 W	0.00	Option sale to close-call ²⁵
	Security total:	74.91		1,675.02	145.02 W	-1,455.09	
HYLN 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
5 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	9.98	03/04/21	142.00	...	-132.02	1 of 5 - Option sale to close-call ²⁵
	1.000	5.00	03/04/21	71.00	...	-66.00	2 of 5 - Option sale to close-call ²⁵
	2.000	9.99	03/05/21	92.00	...	-82.01	3 of 5 - Option sale to close-call ²⁵
	1.000	5.00	03/05/21	56.00	...	-51.00	4 of 5 - Option sale to close-call ²⁵
	4.000	19.98	03/19/21	120.00	...	-100.02	5 of 5 - Option sale to close-call ²⁵
05/07/21	10.000	49.95	Various	481.00	...	-431.05	Total of 5 transactions
IDEX 04/16/2021 CALL \$4.50 / CUSIP: / Symbol:							
03/24/21	1.000	8.98	02/05/21	215.00	...	-206.02	Option sale to close-call ²⁵
IVR 01/21/2022 CALL \$3.00 / CUSIP: / Symbol:							
01/11/21	3.000	200.98	09/04/20	165.00	...	35.98	Option sale to close-call ²⁵
2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.							
	1.000	64.99	09/04/20	55.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	2.000	129.99	09/04/20	110.00	...	19.99	2 of 2 - Option sale to close-call ²⁵
01/12/21	3.000	194.98	Various	165.00	...	29.98	Total of 2 transactions
3 transactions for 01/15/21. Total proceeds and cost reported to the IRS.							
	2.000	137.99	09/04/20	110.00	...	27.99	1 of 3 - Option sale to close-call ²⁵
	1.000	69.00	09/16/20	45.00	...	24.00	2 of 3 - Option sale to close-call ²⁵
	1.000	68.99	10/07/20	40.00	...	28.99	3 of 3 - Option sale to close-call ²⁵
01/15/21	4.000	275.98	Various	195.00	...	80.98	Total of 3 transactions
	Security total:	671.94		525.00	...	146.94	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JG 03/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
02/19/21	1.000	110.98	02/08/21	137.00	...	-26.02	Option sale to close-call ²⁵
03/02/21	1.000	39.98	02/08/21	137.00	...	-97.02	Option sale to close-call ²⁵
	Security total:	150.96		274.00	...	-123.04	
JMIA 05/21/2021 CALL \$60.00 / CUSIP: / Symbol:							
04/07/21	1.000	80.98	03/18/21	415.00	...	-334.02	Option sale to close-call ²⁵
KMPH 05/21/2021 CALL \$12.50 / CUSIP: / Symbol:							
04/07/21	1.000	104.98	02/08/21	330.00	...	-225.02	Option sale to close-call ²⁵
KNDI 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
	2 transactions for 01/08/21. Total proceeds and cost reported to the IRS.						
	1.000	1.99	11/24/20	134.00	...	-132.01	1 of 2 - Option sale to close-call ²⁵
	1.000	1.99	11/24/20	134.00	...	-132.01	2 of 2 - Option sale to close-call ²⁵
01/08/21	2.000	3.98	Various	268.00	...	-264.02	Total of 2 transactions
LAC 03/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	1.000	207.98	01/20/21	330.00	122.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	189.98	01/20/21	382.02	192.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
02/12/21	2.000	397.96	Various	712.02	314.06 W	0.00	Total of 2 transactions
03/01/21	1.000	20.98	01/28/21	362.04	...	-341.06	Option sale to close-call ²⁵
	Security total:	418.94		1,074.06	314.06 W	-341.06	
MARK 04/16/2021 CALL \$1.50 / CUSIP: / Symbol:							
03/01/21	1.000	159.98	12/01/20	50.00	...	109.98	Option sale to close-call ²⁵
MITT 01/21/2022 CALL \$2.50 / CUSIP: / Symbol:							
01/28/21	1.000	159.98	09/16/20	50.00	...	109.98	Option sale to close-call ²⁵
MITT 05/21/2021 CALL \$3.00 / CUSIP: / Symbol:							
03/02/21	1.000	139.98	01/28/21	125.00	...	14.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MNKD 05/21/2021 CALL \$4.50 / CUSIP: / Symbol:							
02/26/21	1.000	159.98	02/04/21	180.00	20.02 W	0.00	Option sale to close-call ²⁵
04/15/21	1.000	42.98	02/05/21	145.02	...	-102.04	Option sale to close-call ²⁵
	Security total:	202.96		325.02	20.02 W	-102.04	
MRNA 02/19/2021 CALL \$170.00 / CUSIP: / Symbol:							
<i>2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.</i>							
	2.000	313.98	12/31/20	288.00	...	25.98	1 of 2 - Option sale to close-call ²⁵
	1.000	209.98	12/31/20	144.00	...	65.98	2 of 2 - Option sale to close-call ²⁵
01/07/21	3.000	523.96	Various	432.00	...	91.96	Total of 2 transactions
01/11/21	1.000	163.98	12/31/20	143.00	...	20.98	Option sale to close-call ²⁵
<i>2 transactions for 01/12/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	169.98	12/31/20	143.00	...	26.98	1 of 2 - Option sale to close-call ²⁵
	1.000	186.98	01/07/21	240.00	...	-53.02	2 of 2 - Option sale to close-call ²⁵
01/12/21	2.000	356.96	Various	383.00	...	-26.04	Total of 2 transactions
	Security total:	1,044.90		958.00	...	86.90	
MRNA 02/19/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/06/21	1.000	131.98	12/31/20	992.05	...	-860.07	Option sale to close-call ²⁵
01/07/21	1.000	114.98	12/31/20	663.02	...	-548.04	Option sale to close-call ²⁵
	Security total:	246.96		1,655.07	...	-1,408.11	
MRNA 03/19/2021 CALL \$160.00 / CUSIP: / Symbol:							
01/12/21	1.000	519.97	01/07/21	495.00	...	24.97	Option sale to close-call ²⁵
MRNA 03/19/2021 PUT \$90.00 / CUSIP: / Symbol:							
03/01/21	1.000	22.98	02/01/21	90.00	...	-67.02	Option sale to close-put ²⁵
MRNA 04/16/2021 CALL \$180.00 / CUSIP: / Symbol:							
01/26/21	1.000	1,199.96	12/31/20	400.00	...	799.96	Option sale to close-call ²⁵
02/16/21	1.000	1,929.94	01/08/21	450.00	...	1,479.94	Option sale to close-call ²⁵
	Security total:	3,129.90		850.00	...	2,279.90	
MRNA 04/16/2021 CALL \$250.00 / CUSIP: / Symbol:							
03/29/21	2.000	39.98	02/16/21	700.00	...	-660.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MRNA 08/06/2021 PUT \$350.00 / CUSIP: / Symbol:							
08/05/21	1.000	22.98	08/04/21	160.00	...	-137.02	Option sale to close-put ²⁵
MRNA 08/13/2021 CALL \$422.50 / CUSIP: / Symbol:							
08/13/21	1.000	139.98	08/12/21	105.00	...	34.98	Option sale to close-call ²⁵
MRNA 08/13/2021 CALL \$500.00 / CUSIP: / Symbol:							
08/12/21	1.000	24.98	08/11/21	553.04	...	-528.06	Option sale to close-call ²⁵
	2 transactions for 08/12/21. Total proceeds and cost reported to the IRS.						
	1.000	41.98	08/10/21	385.00	343.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	34.98	08/11/21	493.02	458.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/12/21	2.000	76.96	Various	878.02	801.06 W	0.00	Total of 2 transactions
	Security total:	101.94		1,431.06	801.06 W	-528.06	
MRNA 08/13/2021 PUT \$400.00 / CUSIP: / Symbol:							
08/05/21	1.000	999.98	08/05/21	835.00	...	164.98	Option sale to close-put ²⁵
08/09/21	1.000	204.98	08/09/21	226.00	21.02 W	0.00	Option sale to close-put ²⁵
08/11/21	1.000	169.98	08/09/21	156.02	...	13.96	Option sale to close-put ²⁵
	Security total:	1,374.94		1,217.02	21.02 W	178.94	
MRNA 08/20/2021 CALL \$480.00 / CUSIP: / Symbol:							
08/17/21	1.000	69.98	08/11/21	380.00	310.02 W	0.00	Option sale to close-call ²⁵
08/19/21	1.000	11.98	08/16/21	345.02	...	-333.04	Option sale to close-call ²⁵
	Security total:	81.96		725.02	310.02 W	-333.04	
MRNA 12/03/2021 PUT \$300.00 / CUSIP: / Symbol:							
11/30/21	1.000	349.98	11/29/21	143.00	...	206.98	Option sale to close-put ²⁵
NFLX 02/19/2021 CALL \$600.00 / CUSIP: / Symbol:							
01/20/21	1.000	1,304.96	01/19/21	350.00	...	954.96	Option sale to close-call ²⁵
NIO 02/12/2021 CALL \$70.00 / CUSIP: / Symbol:							
01/12/21	1.000	532.97	01/06/21	310.00	...	222.97	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
4 transactions for 03/17/21. Total proceeds and cost reported to the IRS.							
	1.000	439.98	03/05/21	220.00	...	219.98	1 of 4 - Option sale to close-call ²⁵
	1.000	439.98	03/05/21	220.00	...	219.98	2 of 4 - Option sale to close-call ²⁵
	1.000	439.98	03/17/21	340.00	...	99.98	3 of 4 - Option sale to close-call ²⁵
	1.000	439.98	03/17/21	340.00	...	99.98	4 of 4 - Option sale to close-call ²⁵
03/17/21	4.000	1,759.92	Various	1,120.00	...	639.92	Total of 4 transactions
2 transactions for 03/24/21. Total proceeds and cost reported to the IRS.							
	2.000	281.99	03/17/21	680.00	...	-398.01	1 of 2 - Option sale to close-call ²⁵
	2.000	281.99	03/23/21	504.00	...	-222.01	2 of 2 - Option sale to close-call ²⁵
03/24/21	4.000	563.98	Various	1,184.00	...	-620.02	Total of 2 transactions
	Security total:	2,323.90		2,304.00	...	19.90	
NIO 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/22/21	1.000	127.98	04/16/21	86.00	...	41.98	Option sale to close-call ²⁵
04/29/21	2.000	277.98	04/16/21	172.00	...	105.98	Option sale to close-call ²⁵
	Security total:	405.96		258.00	...	147.96	
NIO 06/18/2021 CALL \$55.00 / CUSIP: / Symbol:							
04/12/21	1.000	76.98	03/23/21	195.00	118.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 04/29/21. Total proceeds and cost reported to the IRS.							
	2.000	151.99	03/23/21	390.00	238.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	75.99	03/24/21	259.02	183.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/29/21	3.000	227.98	Various	649.02	421.04 W	0.00	Total of 2 transactions
05/03/21	1.000	54.98	03/24/21	141.00	86.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	1.000	19.98	03/24/21	141.00	...	-121.02	1 of 2 - Option sale to close-call ²⁵
	1.000	19.98	04/30/21	229.03	...	-209.05	2 of 2 - Option sale to close-call ²⁵
05/07/21	2.000	39.96	Various	370.03	...	-330.07	Total of 2 transactions
05/07/21	1.000	19.98	03/24/21	141.00	121.02 W	0.00	Option sale to close-call ²⁵
3 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	2.000	29.99	04/30/21	330.01	...	-300.02	1 of 3 - Option sale to close-call ²⁵
	1.000	15.00	04/30/21	167.02	...	-152.02	2 of 3 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 06/18/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.99	04/30/21	132.02	...	-117.03	3 of 3 - Option sale to close-call ²⁵
05/10/21	4.000	59.98	Various	629.05	...	-569.07	Total of 3 transactions
	Security total:	479.86		2,125.10	746.10 W	-899.14	
NIO 07/23/2021 CALL \$48.00 / CUSIP: / Symbol:							
07/20/21	2.000	19.98	07/12/21	198.00	...	-178.02	Option sale to close-call ²⁵
NIO 08/06/2021 CALL \$46.00 / CUSIP: / Symbol:							
2 transactions for 07/29/21. Total proceeds and cost reported to the IRS.							
	1.000	59.99	07/27/21	74.00	...	-14.01	1 of 2 - Option sale to close-call ²⁵
	1.000	59.99	07/27/21	74.00	...	-14.01	2 of 2 - Option sale to close-call ²⁵
07/29/21	2.000	119.98	Various	148.00	...	-28.02	Total of 2 transactions
NIO 08/20/2021 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 08/16/21. Total proceeds and cost reported to the IRS.							
	2.000	17.99	08/13/21	64.00	...	-46.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	08/13/21	32.00	...	-23.01	2 of 2 - Option sale to close-call ²⁵
08/16/21	3.000	26.98	Various	96.00	...	-69.02	Total of 2 transactions
NIO 08/20/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/15/21	1.000	142.98	05/10/21	58.00	...	84.98	Option sale to close-call ²⁵
NIO 08/27/2021 CALL \$45.00 / CUSIP: / Symbol:							
08/24/21	1.000	1.98	08/16/21	27.00	...	-25.02	Option sale to close-call ²⁵
NIO 09/03/2021 CALL \$48.00 / CUSIP: / Symbol:							
08/31/21	1.000	0.98	08/19/21	12.00	...	-11.02	Option sale to close-call ²⁵
NIO 09/17/2021 CALL \$42.00 / CUSIP: / Symbol:							
09/15/21	1.000	1.98	09/13/21	5.00	...	-3.02	Option sale to close-call ²⁵
NK 03/19/2021 PUT \$25.00 / CUSIP: / Symbol:							
03/01/21	1.000	59.98	02/12/21	145.00	...	-85.02	Option sale to close-put ²⁵
NKLA 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
01/13/21	1.000	63.98	12/28/20	39.00	...	24.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 04/16/2021 CALL \$35.00 / CUSIP: / Symbol:							
03/29/21	1.000	3.98	01/13/21	130.00	...	-126.02	Option sale to close-call ²⁵
NKLA 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
03/24/21	1.000	44.98	03/05/21	90.00	...	-45.02	Option sale to close-call ²⁵
05/04/21	2.000	25.98	03/05/21	180.00	...	-154.02	Option sale to close-call ²⁵
	Security total:	70.96		270.00	...	-199.04	
NTLA 07/16/2021 CALL \$190.00 / CUSIP: / Symbol:							
07/16/21	1.000	0.00	07/06/21	70.00	...	-70.00	Option expiration- long call
NTLA 08/20/2021 PUT \$100.00 / CUSIP: / Symbol:							
07/12/21	1.000	79.98	06/30/21	230.00	...	-150.02	Option sale to close-put ²⁵
NVAX 03/19/2021 PUT \$95.00 / CUSIP: / Symbol:							
02/02/21	1.000	119.98	01/29/21	270.00	...	-150.02	Option sale to close-put ²⁵
NVDA 07/23/2021 CALL \$900.00 / CUSIP: / Symbol:							
07/15/21	1.000	62.98	07/08/21	400.00	...	-337.02	Option sale to close-call ²⁵
NVDA 07/30/2021 CALL \$820.00 / CUSIP: / Symbol:							
07/19/21	1.000	399.98	07/16/21	827.02	...	-427.04	Option sale to close-call ²⁵
07/19/21	1.000	212.98	07/15/21	780.00	567.02 W	0.00	Option sale to close-call ²⁵
	Security total:	612.96		1,607.02	567.02 W	-427.04	
OCGN 01/21/2022 CALL \$10.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/15/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	491.98	06/11/21	450.00	...	41.98	1 of 2 - Option sale to close-call ²⁵
	2.000	491.98	06/11/21	450.00	...	41.98	2 of 2 - Option sale to close-call ²⁵
06/15/21	4.000	983.96	Various	900.00	...	83.96	Total of 2 transactions
06/18/21	1.000	214.98	06/11/21	225.00	...	-10.02	Option sale to close-call ²⁵
	Security total:	1,198.94		1,125.00	...	73.94	
OTRK 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
03/16/21	1.000	181.98	03/01/21	145.00	...	36.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OTRK 05/21/2021 CALL \$60.00 / CUSIP: / Symbol:							
04/15/21	1.000	34.98	03/01/21	170.00	...	-135.02	Option sale to close-call ²⁵
PBI 03/19/2021 CALL \$14.00 / CUSIP: / Symbol:							
03/19/21	1.000	0.00	01/27/21	215.00	...	-215.00	Option expiration- long call
PBI 04/16/2021 CALL \$13.00 / CUSIP: / Symbol:							
03/18/21	2.000	41.98	02/02/21	140.00	...	-98.02	Option sale to close-call ²⁵
PCAR 02/19/2021 CALL \$124.30 / CUSIP: / Symbol:							
01/29/21	3.000	14.98	01/25/21	45.00	...	-30.02	Option sale to close-call ²⁵
02/02/21	2.000	13.98	01/25/21	30.00	...	-16.02	Option sale to close-call ²⁵
	Security total:	28.96		75.00	...	-46.04	
PDD 06/18/2021 CALL \$210.00 / CUSIP: / Symbol:							
04/06/21	2.000	431.98	03/17/21	650.00	218.02 W	0.00	Option sale to close-call ²⁵
	3 transactions for 05/20/21. Total proceeds and cost reported to the IRS.						
	2.000	31.98	03/22/21	578.02	...	-546.04	1 of 3 - Option sale to close-call ²⁵
	1.000	15.99	03/24/21	70.00	...	-54.01	2 of 3 - Option sale to close-call ²⁵
	1.000	15.99	03/24/21	68.00	...	-52.01	3 of 3 - Option sale to close-call ²⁵
05/20/21	4.000	63.96	Various	716.02	...	-652.06	Total of 3 transactions
	Security total:	495.94		1,366.02	218.02 W	-652.06	
PLAY 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
01/15/21	2.000	0.00	11/30/20	26.00	...	-26.00	Option expiration- long call
PLTR 02/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
	2 transactions for 01/15/21. Total proceeds and cost reported to the IRS.						
	1.000	33.98	01/05/21	12.00	...	21.98	1 of 2 - Option sale to close-call ²⁵
	1.000	33.98	01/05/21	12.00	...	21.98	2 of 2 - Option sale to close-call ²⁵
01/15/21	2.000	67.96	Various	24.00	...	43.96	Total of 2 transactions
PLTR 03/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
	3 transactions for 01/27/21. Total proceeds and cost reported to the IRS.						
	1.000	334.99	01/07/21	34.00	...	300.99	1 of 3 - Option sale to close-call ²⁵
	1.000	334.98	01/08/21	36.00	...	298.98	2 of 3 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 03/19/2021 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	2.000	1,459.95	01/08/21	72.00	...	1,387.95	3 of 3 - Option sale to close-call ²⁵
01/27/21	4.000	2,129.92	Various	142.00	...	1,987.92	Total of 3 transactions
3 transactions for 02/25/21. Total proceeds and cost reported to the IRS.							
	2.000	55.99	01/08/21	72.00	...	-16.01	1 of 3 - Option sale to close-call ²⁵
	1.000	28.00	01/28/21	385.00	...	-357.00	2 of 3 - Option sale to close-call ²⁵
	1.000	27.99	01/29/21	271.00	...	-243.01	3 of 3 - Option sale to close-call ²⁵
02/25/21	4.000	111.98	Various	728.00	...	-616.02	Total of 3 transactions
Security total:		2,241.90		870.00	...	1,371.90	
PLTR 04/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 03/02/21. Total proceeds and cost reported to the IRS.							
	1.000	36.99	01/28/21	504.00	467.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	36.99	01/28/21	460.00	423.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
03/02/21	2.000	73.98	Various	964.00	890.02 W	0.00	Total of 2 transactions
5 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	5.00	01/29/21	390.00	...	-385.00	1 of 5 - Option sale to close-call ²⁵
	1.000	5.00	02/01/21	797.01	...	-792.01	2 of 5 - Option sale to close-call ²⁵
	1.000	5.00	02/01/21	753.01	...	-748.01	3 of 5 - Option sale to close-call ²⁵
	1.000	4.99	02/16/21	155.00	...	-150.01	4 of 5 - Option sale to close-call ²⁵
	3.000	14.99	02/18/21	282.00	...	-267.01	5 of 5 - Option sale to close-call ²⁵
04/05/21	7.000	34.98	Various	2,377.02	...	-2,342.04	Total of 5 transactions
Security total:		108.96		3,341.02	890.02 W	-2,342.04	
PLTR 05/21/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.							
	6.000	83.98	03/05/21	330.00	...	-246.02	1 of 2 - Option sale to close-call ²⁵
	4.000	51.98	03/05/21	220.00	...	-168.02	2 of 2 - Option sale to close-call ²⁵
04/12/21	10.000	135.96	Various	550.00	...	-414.04	Total of 2 transactions
PLTR 06/18/2021 CALL \$40.00 / CUSIP: / Symbol:							
3 transactions for 05/05/21. Total proceeds and cost reported to the IRS.							
	6.000	47.98	03/23/21	384.00	...	-336.02	1 of 3 - Option sale to close-call ²⁵
	2.000	15.98	03/23/21	128.00	...	-112.02	2 of 3 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 06/18/2021 CALL \$40.00 / CUSIP: / Symbol: (cont'd)							
	1.000	7.98	03/23/21	64.00	...	-56.02	3 of 3 - Option sale to close-call ²⁵
05/05/21	9.000	71.94	Various	576.00	...	-504.06	Total of 3 transactions
05/07/21	1.000	5.98	03/23/21	64.00	...	-58.02	Option sale to close-call ²⁵
	Security total:	77.92		640.00	...	-562.08	
PLUG 03/19/2021 CALL \$100.00 / CUSIP: / Symbol:							
02/16/21	1.000	109.98	02/01/21	355.00	...	-245.02	Option sale to close-call ²⁵
PLUG 04/16/2021 CALL \$70.00 / CUSIP: / Symbol:							
03/11/21	3.000	335.98	03/05/21	123.00	...	212.98	Option sale to close-call ²⁵
	3 transactions for 04/06/21. Total proceeds and cost reported to the IRS.						
	2.000	3.98	03/05/21	82.00	...	-78.02	1 of 3 - Option sale to close-call ²⁵
	1.000	1.98	03/05/21	41.00	...	-39.02	2 of 3 - Option sale to close-call ²⁵
	1.000	1.98	03/05/21	41.00	...	-39.02	3 of 3 - Option sale to close-call ²⁵
04/06/21	4.000	7.94	Various	164.00	...	-156.06	Total of 3 transactions
	Security total:	343.92		287.00	...	56.92	
PLUG 04/16/2021 CALL \$75.00 / CUSIP: / Symbol:							
03/11/21	1.000	83.98	03/04/21	50.00	...	33.98	Option sale to close-call ²⁵
PLUG 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/30/21	1.000	31.98	04/12/21	73.00	...	-41.02	Option sale to close-call ²⁵
	4 transactions for 04/30/21. Total proceeds and cost reported to the IRS.						
	1.000	32.98	04/12/21	73.00	40.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	1.000	32.98	04/12/21	73.00	40.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	32.98	04/12/21	73.00	40.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	2.000	63.98	04/12/21	146.00	82.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
04/30/21	5.000	162.92	Various	365.00	202.08 W	0.00	Total of 4 transactions
	4 transactions for 05/04/21. Total proceeds and cost reported to the IRS.						
	2.000	33.99	04/15/21	156.02	...	-122.03	1 of 4 - Option sale to close-call ²⁵
	1.000	16.99	04/15/21	77.02	...	-60.03	2 of 4 - Option sale to close-call ²⁵
	1.000	17.00	04/15/21	77.02	...	-60.02	3 of 4 - Option sale to close-call ²⁵
	1.000	17.00	04/15/21	77.02	...	-60.02	4 of 4 - Option sale to close-call ²⁵
05/04/21	5.000	84.98	Various	387.08	...	-302.10	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG 06/18/2021 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
Security total:		279.88		825.08	202.08 W	-343.12	
PLUG 06/18/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/16/21	5.000	2,074.97	03/16/21	1,800.00	...	274.97	Option sale to close-call ²⁵
6 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	4.000	83.99	03/18/21	2,152.02	...	-2,068.03	1 of 6 - Option sale to close-call ²⁵
	1.000	21.00	03/24/21	409.00	...	-388.00	2 of 6 - Option sale to close-call ²⁵
	1.000	21.00	03/24/21	308.00	...	-287.00	3 of 6 - Option sale to close-call ²⁵
	1.000	21.00	03/24/21	100.00	...	-79.00	4 of 6 - Option sale to close-call ²⁵
	2.000	41.99	04/07/21	134.00	...	-92.01	5 of 6 - Option sale to close-call ²⁵
	10.000	209.97	04/15/21	210.00	...	-0.03	6 of 6 - Option sale to close-call ²⁵
04/15/21	19.000	398.95	Various	3,313.02	...	-2,914.07	Total of 6 transactions
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	5.000	104.98	03/16/21	1,650.00	1,545.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	21.00	03/18/21	229.00	208.00 W	0.00	2 of 2 - Option sale to close-call ²⁵
04/15/21	6.000	125.98	Various	1,879.00	1,753.02 W	0.00	Total of 2 transactions
Security total:		2,599.90		6,992.02	1,753.02 W	-2,639.10	
PLUG 08/20/2021 CALL \$27.00 / CUSIP: / Symbol:							
08/16/21	1.000	22.98	08/13/21	47.00	...	-24.02	Option sale to close-call ²⁵
PLUG 09/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
06/29/21	2.000	201.98	04/15/21	134.00	...	67.98	Option sale to close-call ²⁵
3 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	2.000	123.98	04/15/21	140.00	...	-16.02	1 of 3 - Option sale to close-call ²⁵
	2.000	123.98	04/15/21	140.00	...	-16.02	2 of 3 - Option sale to close-call ²⁵
	1.000	67.98	04/15/21	70.00	...	-2.02	3 of 3 - Option sale to close-call ²⁵
07/06/21	5.000	315.94	Various	350.00	...	-34.06	Total of 3 transactions
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	1.000	21.99	04/15/21	71.00	...	-49.01	1 of 2 - Option sale to close-call ²⁵
	2.000	43.99	05/10/21	62.00	...	-18.01	2 of 2 - Option sale to close-call ²⁵
07/16/21	3.000	65.98	Various	133.00	...	-67.02	Total of 2 transactions
Security total:		583.90		617.00	...	-33.10	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QS 01/29/2021 CALL \$124.00 / CUSIP: / Symbol:							
01/06/21	1.000	199.98	12/31/20	245.00	...	-45.02	Option sale to close-call ²⁵
QS 01/29/2021 PUT \$30.00 / CUSIP: / Symbol:							
01/04/21	1.000	139.98	12/22/20	85.00	...	54.98	Option sale to close-put ²⁵
QS 02/19/2021 PUT \$25.00 / CUSIP: / Symbol:							
01/04/21	1.000	109.98	12/22/20	100.00	...	9.98	Option sale to close-put ²⁵
QS 05/21/2021 CALL \$85.00 / CUSIP: / Symbol:							
03/11/21	2.000	939.98	03/05/21	310.00	...	629.98	Option sale to close-call ²⁵
QS 07/23/2021 CALL \$35.00 / CUSIP: / Symbol:							
07/23/21	1.000	0.00	07/01/21	70.00	...	-70.00	Option expiration- long call
QS 08/20/2021 CALL \$25.00 / CUSIP: / Symbol:							
08/17/21	8.000	23.97	08/16/21	48.00	...	-24.03	Option sale to close-call ²⁵
QS 08/27/2021 CALL \$23.00 / CUSIP: / Symbol:							
08/24/21	1.000	4.98	08/23/21	5.00	...	-0.02	Option sale to close-call ²⁵
08/25/21	1.000	12.98	08/23/21	5.00	...	7.98	Option sale to close-call ²⁵
	Security total:	17.96		10.00	...	7.96	
QS 08/27/2021 CALL \$25.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/20/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	7.98	08/17/21	18.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	3.98	08/17/21	9.00	...	-5.02	2 of 2 - Option sale to close-call ²⁵
08/20/21	3.000	11.96	Various	27.00	...	-15.04	Total of 2 transactions
QS 11/19/2021 CALL \$45.00 / CUSIP: / Symbol:							
06/28/21	2.000	609.98	06/15/21	500.00	...	109.98	Option sale to close-call ²⁵
RIG 02/19/2021 CALL \$3.00 / CUSIP: / Symbol:							
01/15/21	2.000	119.98	11/24/20	146.00	...	-26.02	Option sale to close-call ²⁵
RIOT 03/19/2021 CALL \$39.00 / CUSIP: / Symbol:							
02/08/21	1.000	479.97	01/13/21	395.00	...	84.97	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
RIOT 06/18/2021 CALL \$60.00 / CUSIP: / Symbol: 05/26/21	1.000	8.98	04/22/21	330.00	...	-321.02	Option sale to close-call ²⁵
RIOT 07/02/2021 CALL \$35.00 / CUSIP: / Symbol: 06/22/21	1.000	50.98	06/18/21	155.00	...	-104.02	Option sale to close-call ²⁵
RIOT 07/09/2021 CALL \$37.00 / CUSIP: / Symbol: 06/22/21	1.000	129.98	06/22/21	60.00	...	69.98	Option sale to close-call ²⁵
07/02/21	1.000	137.98	06/22/21	60.00	...	77.98	Option sale to close-call ²⁵
Security total:		267.96		120.00	...	147.96	
RIOT 09/17/2021 CALL \$31.50 / CUSIP: / Symbol: 09/15/21	1.000	39.98	09/14/21	20.00	...	19.98	Option sale to close-call ²⁵
RIOT 09/17/2021 CALL \$39.00 / CUSIP: / Symbol: 09/13/21	1.000	4.98	09/07/21	54.00	...	-49.02	Option sale to close-call ²⁵
RIOT 09/24/2021 CALL \$35.00 / CUSIP: / Symbol: 09/23/21	1.000	0.98	09/15/21	39.00	...	-38.02	Option sale to close-call ²⁵
RMO 05/21/2021 CALL \$40.00 / CUSIP: / Symbol: 05/21/21	3.000	0.00	02/16/21	210.00	...	-210.00	Option expiration- long call
RMO 05/21/2021 CALL \$45.00 / CUSIP: / Symbol: 05/21/21	1.000	0.00	02/12/21	65.00	...	-65.00	Option expiration- long call
RTX 02/19/2021 CALL \$85.00 / CUSIP: / Symbol: 01/29/21	2.000	13.98	01/26/21	24.00	...	-10.02	Option sale to close-call ²⁵
SAVA 08/20/2021 CALL \$140.00 / CUSIP: / Symbol: 08/02/21	1.000	199.98	07/30/21	140.00	...	59.98	Option sale to close-call ²⁵
SAVA 08/20/2021 CALL \$160.00 / CUSIP: / Symbol: 08/09/21	1.000	154.98	07/30/21	395.02	...	-240.04	Option sale to close-call ²⁵
08/09/21	1.000	99.98	07/29/21	330.00	230.02 W	0.00	Option sale to close-call ²⁵
Security total:		254.96		725.02	230.02 W	-240.04	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENS 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	1.000	114.98	06/15/21	130.00	...	-15.02	1 of 2 - Option sale to close-call ²⁵
	1.000	114.98	06/15/21	130.00	...	-15.02	2 of 2 - Option sale to close-call ²⁵
06/30/21	2.000	229.96	Various	260.00	...	-30.04	Total of 2 transactions
SNOW 02/05/2021 PUT \$200.00 / CUSIP: / Symbol:							
01/14/21	1.000	41.98	01/05/21	125.00	...	-83.02	Option sale to close-put ²⁵
SNOW 06/18/2021 CALL \$270.00 / CUSIP: / Symbol:							
03/19/21	1.000	1,269.98	03/16/21	1,740.00	...	-470.02	Option sale to close-call ²⁵
SOS 11/19/2021 CALL \$7.50 / CUSIP: / Symbol:							
07/27/21	1.000	20.98	05/06/21	45.00	...	-24.02	Option sale to close-call ²⁵
SPWR 02/19/2021 CALL \$27.00 / CUSIP: / Symbol:							
01/06/21	1.000	419.98	12/29/20	270.00	...	149.98	Option sale to close-call ²⁵
SPWR 02/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/15/21	1.000	174.98	01/08/21	135.00	...	39.98	Option sale to close-call ²⁵
SPWR 03/19/2021 CALL \$40.00 / CUSIP: / Symbol:							
01/21/21	1.000	644.97	01/15/21	305.00	...	339.97	Option sale to close-call ²⁵
SPWR 03/19/2021 CALL \$55.00 / CUSIP: / Symbol:							
01/28/21	1.000	1,024.96	01/21/21	287.00	...	737.96	Option sale to close-call ²⁵
SPWR 03/19/2021 CALL \$80.00 / CUSIP: / Symbol:							
4 transactions for 03/19/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	01/28/21	330.00	...	-330.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/01/21	239.00	...	-239.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/02/21	155.00	...	-155.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/22/21	1.00	...	-1.00	4 of 4 - Option expiration- long call
03/19/21	4.000	0.00	Various	725.00	...	-725.00	Total of 4 transactions
SPWR 06/18/2021 CALL \$33.00 / CUSIP: / Symbol:							
05/10/21	1.000	18.98	04/07/21	365.00	...	-346.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPWR 06/18/2021 CALL \$70.00 / CUSIP: / Symbol:							
2 transactions for 06/09/21. Total proceeds and cost reported to the IRS.							
	1.000	2.00	02/04/21	420.00	...	-418.00	1 of 2 - Option sale to close-call ²⁵
	3.000	5.98	03/08/21	240.00	...	-234.02	2 of 2 - Option sale to close-call ²⁵
06/09/21	4.000	7.98	Various	660.00	...	-652.02	Total of 2 transactions
SQ 09/17/2021 CALL \$270.00 / CUSIP: / Symbol:							
09/16/21	1.000	1.98	09/13/21	16.00	...	-14.02	Option sale to close-call ²⁵
SRPT 02/19/2021 CALL \$155.00 / CUSIP: / Symbol:							
01/15/21	1.000	44.98	01/11/21	90.00	...	-45.02	Option sale to close-call ²⁵
STLA 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
02/04/21	1.000	9.98	01/22/21	10.00	0.02 W	0.00	Option sale to close-call ²⁵
STLA2 06/18/2021 CALL \$22.50 / CUSIP: / Symbol:							
16 transactions for 06/01/21. Total proceeds and cost reported to the IRS.							
	2.000	11.99	01/21/21	80.00	...	-68.01	1 of 16 - Option sale to close-call ²⁵
	1.000	5.99	01/22/21	25.00	...	-19.01	2 of 16 - Option sale to close-call ²⁵
	1.000	5.99	01/22/21	25.00	...	-19.01	3 of 16 - Option sale to close-call ²⁵
	1.000	6.00	01/22/21	25.00	...	-19.00	4 of 16 - Option sale to close-call ²⁵
	1.000	6.00	01/22/21	25.00	...	-19.00	5 of 16 - Option sale to close-call ²⁵
	1.000	6.00	01/22/21	25.00	...	-19.00	6 of 16 - Option sale to close-call ²⁵
	1.000	6.00	01/22/21	25.00	...	-19.00	7 of 16 - Option sale to close-call ²⁵
	1.000	5.99	01/25/21	25.00	...	-19.01	8 of 16 - Option sale to close-call ²⁵
	2.000	11.98	02/02/21	40.00	...	-28.02	9 of 16 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
STLA2 06/18/2021 CALL \$22.50 / CUSIP: / Symbol: (cont'd)							
	2.000	11.98	02/02/21	40.00	...	-28.02	10 of 16 - Option sale to close-call ²⁵
	2.000	11.98	02/02/21	40.00	...	-28.02	11 of 16 - Option sale to close-call ²⁵
	1.000	5.98	02/02/21	20.00	...	-14.02	12 of 16 - Option sale to close-call ²⁵
	1.000	5.98	02/02/21	20.00	...	-14.02	13 of 16 - Option sale to close-call ²⁵
	1.000	5.99	02/02/21	20.00	...	-14.01	14 of 16 - Option sale to close-call ²⁵
	1.000	5.99	02/02/21	20.00	...	-14.01	15 of 16 - Option sale to close-call ²⁵
	1.000	5.99	02/02/21	20.00	...	-14.01	16 of 16 - Option sale to close-call ²⁵
06/01/21	20.000	119.83	Various	475.00	...	-355.17	Total of 16 transactions
STLA2 06/18/2021 CALL \$25.00 / CUSIP: / Symbol:							
6 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	5.000	0.00	01/25/21	70.00	...	-70.00	1 of 6 - Option expiration- long call
	4.000	0.00	01/25/21	56.00	...	-56.00	2 of 6 - Option expiration- long call
	1.000	0.00	01/25/21	15.00	...	-15.00	3 of 6 - Option expiration- long call
	1.000	0.00	01/25/21	14.02	...	-14.02	4 of 6 - Option expiration- long call
	1.000	0.00	01/26/21	15.00	...	-15.00	5 of 6 - Option expiration- long call
	1.000	0.00	02/02/21	10.00	...	-10.00	6 of 6 - Option expiration- long call
06/18/21	13.000	0.00	Various	180.02	...	-180.02	Total of 6 transactions
STMP 04/16/2021 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 04/16/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	02/19/21	240.00	...	-240.00	1 of 3 - Option expiration- long call
	1.000	0.00	03/01/21	50.00	...	-50.00	2 of 3 - Option expiration- long call
	1.000	0.00	03/11/21	40.00	...	-40.00	3 of 3 - Option expiration- long call
04/16/21	3.000	0.00	Various	330.00	...	-330.00	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAL 09/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	14.99	07/23/21	75.00	...	-60.01	1 of 2 - Option sale to close-call ²⁵
	1.000	14.99	07/23/21	75.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
08/11/21	2.000	29.98	Various	150.00	...	-120.02	Total of 2 transactions
TIGR 04/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 04/05/21. Total proceeds and cost reported to the IRS.							
	1.000	9.99	03/03/21	85.00	...	-75.01	1 of 2 - Option sale to close-call ²⁵
	2.000	19.99	03/08/21	78.00	...	-58.01	2 of 2 - Option sale to close-call ²⁵
04/05/21	3.000	29.98	Various	163.00	...	-133.02	Total of 2 transactions
TIGR 09/17/2021 CALL \$20.00 / CUSIP: / Symbol:							
08/04/21	1.000	169.98	07/29/21	165.00	...	4.98	Option sale to close-call ²⁵
09/08/21	1.000	5.98	08/23/21	25.00	...	-19.02	Option sale to close-call ²⁵
	Security total:	175.96		190.00	...	-14.04	
TSLA 02/12/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
01/28/21	1.000	183.98	01/26/21	685.00	...	-501.02	Option sale to close-call ²⁵
TSLA 02/19/2021 CALL \$1,200.00 / CUSIP: / Symbol:							
02/01/21	1.000	171.98	01/28/21	281.00	...	-109.02	Option sale to close-call ²⁵
TSLA 02/19/2021 PUT \$500.00 / CUSIP: / Symbol:							
01/08/21	1.000	484.97	01/07/21	480.00	...	4.97	Option sale to close-put ²⁵
TSLA 04/16/2021 CALL \$1,000.00 / CUSIP: / Symbol:							
03/05/21	1.000	249.98	03/04/21	255.00	...	-5.02	Option sale to close-call ²⁵
TSLA 04/16/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
03/18/21	1.000	116.98	03/02/21	245.00	...	-128.02	Option sale to close-call ²⁵
TSLA 05/21/2021 CALL \$900.00 / CUSIP: / Symbol:							
04/05/21	1.000	959.98	03/26/21	560.00	...	399.98	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 05/21/2021 CALL \$950.00 / CUSIP: / Symbol:							
03/22/21	1.000	1,599.98	03/19/21	1,330.00	...	269.98	Option sale to close-call ²⁵
03/24/21	1.000	694.98	03/19/21	1,120.00	...	-425.02	Option sale to close-call ²⁵
	Security total:	2,294.96		2,450.00	...	-155.04	
TSLA 07/02/2021 CALL \$780.00 / CUSIP: / Symbol:							
06/29/21	1.000	61.98	06/28/21	150.00	...	-88.02	Option sale to close-call ²⁵
TSLA 07/09/2021 CALL \$710.00 / CUSIP: / Symbol:							
07/08/21	1.000	13.98	07/06/21	106.00	...	-92.02	Option sale to close-call ²⁵
TTCF 03/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
02/01/21	2.000	229.98	01/21/21	240.00	...	-10.02	Option sale to close-call ²⁵
TTOO 03/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
01/26/21	3.000	314.98	01/26/21	315.00	...	-0.02	Option sale to close-call ²⁵
TTOO 05/21/2021 CALL \$2.50 / CUSIP: / Symbol:							
02/02/21	2.000	175.98	01/12/21	120.00	...	55.98	Option sale to close-call ²⁵
	4 transactions for 02/11/21. Total proceeds and cost reported to the IRS.						
	1.000	139.99	01/27/21	100.00	...	39.99	1 of 4 - Option sale to close-call ²⁵
	1.000	140.00	01/27/21	100.00	...	40.00	2 of 4 - Option sale to close-call ²⁵
	1.000	139.98	01/27/21	98.00	...	41.98	3 of 4 - Option sale to close-call ²⁵
	1.000	139.99	01/27/21	98.00	...	41.99	4 of 4 - Option sale to close-call ²⁵
02/11/21	4.000	559.96	Various	396.00	...	163.96	Total of 4 transactions
	Security total:	735.94		516.00	...	219.94	
TTOO 05/21/2021 CALL \$5.00 / CUSIP: / Symbol:							
	2 transactions for 01/21/21. Total proceeds and cost reported to the IRS.						
	1.000	25.99	01/12/21	26.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	25.99	01/12/21	26.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
01/21/21	2.000	51.98	Various	52.00	...	-0.02	Total of 2 transactions
UPST 07/30/2021 CALL \$125.00 / CUSIP: / Symbol:							
07/16/21	1.000	214.98	07/15/21	220.00	...	-5.02	Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPST 09/10/2021 PUT \$220.00 / CUSIP: / Symbol:							
09/10/21	1.000	0.00	09/07/21	45.00	...	-45.00	Option expiration- long put
UPST 12/03/2021 CALL \$200.00 / CUSIP: / Symbol:							
12/02/21	1.000	29.98	12/02/21	50.00	...	-20.02	Option sale to close-call ²⁵
UPST 12/23/2021 CALL \$175.00 / CUSIP: / Symbol:							
12/21/21	1.000	9.98	12/14/21	125.00	115.02 W	0.00	Option sale to close-call ²⁵
12/23/21	1.000	0.00	12/16/21	200.02	...	-200.02	Option expiration- long call
	Security total:	9.98		325.02	115.02 W	-200.02	
UPST 12/23/2021 CALL \$200.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/15/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	39.98	12/10/21	260.00	220.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	39.98	12/10/21	445.02	405.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/15/21	2.000	79.96	Various	705.02	625.06 W	0.00	Total of 2 transactions
12/16/21	1.000	69.98	12/13/21	495.04	...	-425.06	Option sale to close-call ²⁵
	Security total:	149.94		1,200.06	625.06 W	-425.06	
VIAC 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
04/22/21	1.000	105.98	04/07/21	300.00	194.02 W	0.00	Option sale to close-call ²⁵
	<i>2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	46.99	04/20/21	417.06	...	-370.07	1 of 2 - Option sale to close-call ²⁵
	2.000	93.99	04/20/21	106.00	...	-12.01	2 of 2 - Option sale to close-call ²⁵
05/04/21	3.000	140.98	Various	523.06	...	-382.08	Total of 2 transactions
	<i>2 transactions for 05/04/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	46.98	04/09/21	403.02	356.04 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	04/20/21	409.04	364.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/04/21	2.000	91.96	Various	812.06	720.10 W	0.00	Total of 2 transactions
	Security total:	338.92		1,635.12	914.12 W	-382.08	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIAC 09/17/2021 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 08/11/21. Total proceeds and cost reported to the IRS.							
	1.000	8.99	05/04/21	69.00	...	-60.01	1 of 2 - Option sale to close-call ²⁵
	1.000	8.99	05/04/21	69.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
08/11/21	2.000	17.98	Various	138.00	...	-120.02	Total of 2 transactions
WKHS 04/16/2021 CALL \$34.00 / CUSIP: / Symbol:							
04/06/21	5.000	9.98	03/02/21	300.00	...	-290.02	Option sale to close-call ²⁵
WKHS 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
03/04/21	1.000	21.98	02/12/21	893.02	...	-871.04	Option sale to close-call ²⁵
03/04/21	1.000	21.98	02/04/21	590.00	568.02 W	0.00	Option sale to close-call ²⁵
	Security total:	43.96		1,483.02	568.02 W	-871.04	
WKHS 07/16/2021 CALL \$30.00 / CUSIP: / Symbol:							
02/04/21	1.000	1,774.95	12/02/20	420.00	...	1,354.95	Option sale to close-call ²⁵
WPRT 04/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
04/16/21	1.000	0.00	01/13/21	95.00	...	-95.00	Option expiration- long call
WPRT 07/16/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 06/08/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	03/24/21	85.00	...	-80.02	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	03/24/21	84.00	...	-79.02	2 of 2 - Option sale to close-call ²⁵
06/08/21	2.000	9.96	Various	169.00	...	-159.04	Total of 2 transactions
WPRT 07/16/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/16/21	10.000	0.00	03/18/21	850.00	...	-850.00	Option expiration- long call
WPRT 10/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
07/06/21	1.000	14.98	06/08/21	30.00	...	-15.02	Option sale to close-call ²⁵
07/16/21	1.000	4.98	06/08/21	30.00	...	-25.02	Option sale to close-call ²⁵
07/30/21	1.000	4.98	06/08/21	30.00	...	-25.02	Option sale to close-call ²⁵
	Security total:	24.94		90.00	...	-65.06	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XL 05/21/2021 CALL \$15.00 / CUSIP: / Symbol:							
04/07/21	2.000	199.98	03/30/21	70.00	...	129.98	Option sale to close-call ²⁵
	<i>2 transactions for 04/12/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	39.99	03/30/21	70.00	...	-30.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	03/30/21	35.00	...	-15.01	2 of 2 - Option sale to close-call ²⁵
04/12/21	3.000	59.98	Various	105.00	...	-45.02	Total of 2 transactions
	Security total:	259.96		175.00	...	84.96	
XL 08/20/2021 CALL \$7.50 / CUSIP: / Symbol:							
08/13/21	1.000	4.98	08/12/21	59.02	...	-54.04	Option sale to close-call ²⁵
08/13/21	1.000	4.98	08/12/21	32.00	27.02 W	0.00	Option sale to close-call ²⁵
	Security total:	9.96		91.02	27.02 W	-54.04	
XPEV 03/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
03/11/21	1.000	3.98	01/29/21	330.00	...	-326.02	Option sale to close-call ²⁵
XPEV 04/16/2021 CALL \$60.00 / CUSIP: / Symbol:							
01/12/21	1.000	714.97	12/28/20	335.00	...	379.97	Option sale to close-call ²⁵
XPEV 04/16/2021 CALL \$65.00 / CUSIP: / Symbol:							
	<i>2 transactions for 03/29/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	5.00	03/02/21	349.02	...	-344.02	1 of 2 - Option sale to close-call ²⁵
	4.000	19.98	03/02/21	128.00	...	-108.02	2 of 2 - Option sale to close-call ²⁵
03/29/21	5.000	24.98	Various	477.02	...	-452.04	Total of 2 transactions
03/29/21	1.000	4.98	02/05/21	322.00	317.02 W	0.00	Option sale to close-call ²⁵
	Security total:	29.96		799.02	317.02 W	-452.04	
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
01/20/21	40.000	116.04	11/18/20	120.00	...	-3.96	Sale ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
01/29/21	100.000	1,328.67	01/27/21	379.00	...	949.67	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AEMETIS, INC. (DE) COMMON STOC K / CUSIP: 00770K202 / Symbol:							
03/25/21	1.000	21.58	01/26/21	8.75	...	12.83	Sale ²⁵
06/29/21	0.046	0.54	03/25/21	1.00	...	-0.46	Sale ²⁵
	Security total:	22.12		9.75	...	12.37	
AETERNA ZENTARIS INC. COMMON S TOCK / CUSIP: 007975402 / Symbol:							
07/19/21	1.000	0.66	02/08/21	2.83	...	-2.17	Sale ²⁵
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
03/25/21	0.447	1.00	03/10/21	1.31	...	-0.31	Sale ²⁵
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
3 transactions for 01/11/21. Total proceeds and cost reported to the IRS.							
	3.000	16.98	01/05/21	16.29	...	0.69	1 of 3 - Sale ²⁵
	2.000	11.74	01/05/21	10.86	...	0.88	2 of 3 - Sale ²⁵
	25.000	140.50	01/05/21	135.75	...	4.75	3 of 3 - Sale ²⁵
01/11/21	30.000	169.22	Various	162.90	...	6.32	Total of 3 transactions
01/14/21	19.000	106.02	01/05/21	103.17	...	2.85	Sale ²⁵
02/10/21	1.000	12.03	01/05/21	5.43	...	6.60	Sale ²⁵
	Security total:	287.27		271.50	...	15.77	
CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
2 transactions for 01/07/21. Total proceeds and cost reported to the IRS.							
	10.000	271.01	11/19/20	155.50	...	115.51	1 of 2 - Sale ²⁵
	19.000	514.93	11/19/20	306.47	...	208.46	2 of 2 - Sale ²⁵
01/07/21	29.000	785.94	Various	461.97	...	323.97	Total of 2 transactions
02/17/21	1.000	26.75	11/19/20	16.13	...	10.62	Sale ²⁵
	Security total:	812.69		478.10	...	334.59	
CELSION CORPORATION COMMON STO CK / CUSIP: 15117N503 / Symbol:							
03/01/21	1.000	2.19	02/11/21	2.97	...	-0.78	Sale ²⁵
CHURCHILL CAPITAL CORP IV / CUSIP: 171439102 / Symbol:							
02/09/21	1.000	32.54	01/12/21	14.71	...	17.83	Sale ²⁵
02/19/21	1.000	59.79	01/25/21	22.45	...	37.34	Sale ²⁵
	Security total:	92.33		37.16	...	55.17	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLIMATE CHANGE CRISIS REAL IMP ACT I ACQUISITION CORPORATION / CUSIP: 18716C100 / Symbol:							
2 transactions for 02/01/21. Total proceeds and cost reported to the IRS.							
	10.000	206.00	01/22/21	206.00	...	0.00	1 of 2 - Sale ²⁵
	9.000	185.40	01/25/21	186.57	...	-1.17	2 of 2 - Sale ²⁵
02/01/21	19.000	391.40	Various	392.57	...	-1.17	Total of 2 transactions
02/01/21	10.000	204.60	01/22/21	206.00	1.40 W	0.00	Sale ²⁵
02/26/21	1.000	14.33	01/25/21	20.73	...	-6.40	Sale ²⁵
	Security total:	610.33		619.30	1.40 W	-7.57	
CORSAIR GAMING, INC. COMMON STOCK / CUSIP: 22041X102 / Symbol:							
07/29/21	0.030	0.88	03/31/21	1.00	...	-0.12	Sale ²⁵
CURIS, INC. COMMON STOCK / CUSIP: 231269200 / Symbol:							
01/07/21	1.000	9.23	12/09/20	7.88	...	1.35	Sale ²⁵
DECARBONIZATION PLUS ACQUISITION CORPORATION CLASS A COMMON STOCK / CUSIP: 242797108 / Symbol:							
02/18/21	1.000	14.70	02/05/21	16.61	...	-1.91	Sale ²⁵
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
02/03/21	1.000	3.43	01/27/21	11.29	...	-7.86	Sale ²⁵
FUELCELL ENERGY, INC. COMMON STOCK / CUSIP: 35952H601 / Symbol:							
03/25/21	1.000	12.30	12/23/20	13.86	0.13 W	-1.43	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, INC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
02/18/21	1.000	1.92	01/27/21	2.90	...	-0.98	Sale ²⁵
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
3 transactions for 01/28/21. Total proceeds and cost reported to the IRS.							
	1.000	10.90	01/13/21	7.36	...	3.54	1 of 3 - Sale ²⁵
	20.000	219.60	01/13/21	146.90	...	72.70	2 of 3 - Sale ²⁵
	29.000	316.17	01/13/21	213.01	...	103.16	3 of 3 - Sale ²⁵
01/28/21	50.000	546.67	Various	367.27	...	179.40	Total of 3 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
02/17/21	1.000	2.06	02/09/21	2.62	...	-0.56	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
02/26/21	1.000	1.13	02/04/21	1.41	0.28 W	0.00	Sale ²⁵
03/03/21	1.000	1.33	03/02/21	1.83	...	-0.50	Sale ²⁵
	Security total:	2.46		3.24	0.28 W	-0.50	
JUST ENERGY GROUP INC COMMON S TOCK (CANADA) / CUSIP: 48213W408 / Symbol:							
03/17/21	299.000	502.27	03/16/21	549.83	...	-47.56	Sale ²⁵
03/17/21	1.000	1.68	03/11/21	2.00	0.32 W	0.00	Sale ²⁵
	Security total:	503.95		551.83	0.32 W	-47.56	
LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol:							
01/07/21	1.000	3.87	12/03/20	4.50	0.63 W	0.00	Sale ²⁵
01/15/21	1.000	3.86	01/08/21	4.54	...	-0.68	Sale ²⁵
	Security total:	7.73		9.04	0.63 W	-0.68	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
01/07/21	1.000	3.84	04/16/20	1.77	...	2.07	Sale ²⁵
MAGNITE, INC. COMMON STOCK / CUSIP: 55955D100 / Symbol:							
	2 transactions for 07/19/21. Total proceeds and cost reported to the IRS.						
	0.000	0.01	05/11/21	0.01	...	0.00	1 of 2 - Sale ²⁵
	0.041	1.12	05/11/21	0.99	...	0.13	2 of 2 - Sale ²⁵
07/19/21	0.041	1.13	Various	1.00	...	0.13	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
01/19/21	10.000	1,229.37	06/02/20	575.00	...	654.37	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
04/21/21	0.202	102.45	04/20/21	100.00	...	2.45	Sale ²⁵
NORTHERN DYNASTY MINERALS, LTD . / CUSIP: 66510M204 / Symbol:							
07/20/21	1.000	0.41	02/26/21	0.83	...	-0.42	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
02/24/21	1.000	26.41	01/08/21	25.17	...	1.24	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
01/15/21	1.000	53.81	01/06/21	73.64	19.83 W	0.00	Sale ²⁵
01/27/21	1.000	48.02	01/21/21	69.70	...	-21.68	Sale ²⁵
	Security total:	101.83		143.34	19.83 W	-21.68	
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
02/19/21	10.000	37.01	12/01/20	14.45	...	22.56	Sale ²⁵
03/02/21	89.000	261.21	12/01/20	128.61	...	132.60	Sale ²⁵
	Security total:	298.22		143.06	...	155.16	
ROBINHOOD MARKETS, INC. CLASS A COMMON STOCK / CUSIP: 770700102 / Symbol:							
2 transactions for 12/14/21. Total proceeds and cost reported to the IRS.							
	40.000	759.91	12/02/21	959.92	...	-200.01	1 of 2 - Sale ²⁵
	1.000	18.93	12/02/21	24.00	...	-5.07	2 of 2 - Sale ²⁵
12/14/21	41.000	778.84	Various	983.92	...	-205.08	Total of 2 transactions
SERVICE PROPERTIES TRUST COMMOM N STOCK / CUSIP: 81761L102 / Symbol:							
01/07/21	1.000	11.50	05/15/20	4.73	...	6.77	Sale ²⁵
STAR PEAK ENERGY TRANSITION CO RP. / CUSIP: 855185104 / Symbol:							
02/02/21	1.000	34.64	02/01/21	33.30	...	1.34	Sale ²⁵
TIZIANA LIFE SCIENCES PLC AMERICAN DEPOSITORY SHARE / CUSIP: 88875G101 / Symbol:							
01/21/21	1.000	4.10	01/20/21	4.74	...	-0.64	Sale ²⁵
TRANSENERIX INC. / CUSIP: 89366M300 / Symbol:							
02/18/21	1.000	4.61	02/08/21	3.76	...	0.85	Sale ²⁵
T2 BIOSYSTEMS, INC. COMMON STOCK / CUSIP: 89853L104 / Symbol:							
2 transactions for 01/27/21. Total proceeds and cost reported to the IRS.							
	99.000	229.67	01/26/21	260.19	...	-30.52	1 of 2 - Sale ²⁵
	100.000	231.99	01/26/21	260.00	...	-28.01	2 of 2 - Sale ²⁵
01/27/21	199.000	461.66	Various	520.19	...	-58.53	Total of 2 transactions
01/27/21	100.000	227.18	01/26/21	260.00	32.82 W	0.00	Sale ²⁵
03/04/21	1.000	1.82	01/26/21	2.63	...	-0.81	Sale ²⁵
	Security total:	690.66		782.82	32.82 W	-59.34	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
12/10/21	11.000	497.37	12/02/21	472.75	...	24.62	Sale ²⁵
UNITED STATES ANTIMONY CORPORA TION / CUSIP: 911549103 / Symbol:							
03/03/21	1.000	1.36	02/10/21	1.58	...	-0.22	Sale ²⁵
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
02/04/21	1.000	25.85	02/03/21	22.96	...	2.89	Sale ²⁵
12/02/21	99.949	544.89	11/30/21	571.71	...	-26.82	Sale ²⁵
12/02/21	0.051	0.28	04/09/21	1.00	0.72 W	0.00	Sale ²⁵
	Security total:	571.02		595.67	0.72 W	-23.93	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
<i>2 transactions for 12/10/21. Total proceeds and cost reported to the IRS.</i>							
	0.008	1.34	07/14/21	1.00	...	0.34	1 of 2 - Sale ²⁵
	2.992	484.17	12/10/21	487.08	...	-2.91	2 of 2 - Sale ²⁵
12/10/21	3.000	485.51	Various	488.08	...	-2.57	Total of 2 transactions
VENUS CONCEPT INC. COMMON STOC K / CUSIP: 92332W105 / Symbol:							
02/03/21	1.000	1.95	01/15/21	2.16	...	-0.21	Sale ²⁵
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
03/25/21	1.000	13.26	10/30/20	15.62	0.18 W	-2.18	Sale ²⁵
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
01/08/21	8.000	364.64	12/09/20	366.88	...	-2.24	Sale ²⁵
01/08/21	1.000	45.58	12/09/20	45.86	0.28 W	0.00	Sale ²⁵
02/02/21	1.000	49.10	12/09/20	46.14	...	2.96	Sale ²⁵
	Security total:	459.32		458.88	0.28 W	0.72	
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol:							
<i>3 transactions for 04/07/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.30	02/04/21	1.36	...	-0.06	1 of 3 - Sale ²⁵
	9.000	11.70	03/30/21	14.71	...	-3.01	2 of 3 - Sale ²⁵
	1.000	1.30	03/30/21	1.64	...	-0.34	3 of 3 - Sale ²⁵
04/07/21	11.000	14.30	Various	17.71	...	-3.41	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOMEDICA CORP. / CUSIP: 98980M109 / Symbol: (cont'd)							
2 transactions for 04/09/21. Total proceeds and cost reported to the IRS.							
	10.000	12.95	03/30/21	16.35	...	-3.40	1 of 2 - Sale ²⁵
	5.000	6.48	03/30/21	8.18	...	-1.70	2 of 2 - Sale ²⁵
04/09/21	15.000	19.43	Various	24.53	...	-5.10	Total of 2 transactions
04/12/21	200.000	222.34	03/30/21	327.00	...	-104.66	Sale ²⁵
2 transactions for 04/15/21. Total proceeds and cost reported to the IRS.							
	2.000	1.94	03/30/21	3.27	...	-1.33	1 of 2 - Sale ²⁵
	0.059	0.06	03/30/21	0.10	...	-0.04	2 of 2 - Sale ²⁵
04/15/21	2.059	2.00	Various	3.37	...	-1.37	Total of 2 transactions
	Security total:	258.07		372.61	...	-114.54	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
03/25/21	0.820	6.00	02/18/21	10.60	...	-4.60	Sale ²⁵
VG ACQUISITION CORP. / CUSIP: G9446E105 / Symbol:							
02/09/21	1.000	14.79	02/05/21	16.06	...	-1.27	Sale ²⁵
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
01/07/21	1.000	2.74	05/13/20	1.38	...	1.36	Sale ²⁵
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol:							
04/16/21	1.000	1.84	01/21/21	2.68	...	-0.84	Sale ²⁵
NAKED BRAND GROUP LIMITED ORDINARY SHARES / CUSIP: Q6519T117 / Symbol:							
02/03/21	1.000	0.97	01/27/21	3.22	...	-2.25	Sale ²⁵
CASTOR MARITIME INC. COMMON SHARES / CUSIP: Y1146L109 / Symbol:							
02/26/21	1.000	1.00	02/08/21	0.83	...	0.17	Sale ²⁵
Totals :		80,050.54		121,695.31	14,472.83 W	-27,171.94	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 920101938

Proceeds Not Reported to the IRS

2021

02/11/2022

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228501 / Symbol:							
07/29/21	0.333	3.67	11/18/20	2.87	...	0.80	Gain or loss
META MATERIALS INC. COMMON STO CK / CUSIP: 59134N104 / Symbol:							
07/06/21	0.050	0.46	06/22/21	1.00	...	-0.54	Gain or loss
META METALS INC PREFERRED (SER IES A) / CUSIP: 59134N203 / Symbol:							
10/15/21	0.099	0.09	06/22/21	0.00	...	0.09	Gain or loss
CASTOR MARITIME INC. COMMON SH ARES / CUSIP: Y1146L125 / Symbol:							
06/07/21	0.100	0.33	04/05/21	0.58	...	-0.25	Gain or loss
Totals:		4.55		4.45	...	0.10	

Robinhood Securities LLC

Account 920101938

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AG MORTGAGE INVESTMENT TRUST, INC.	001228105		04/30/21	0.06	Nondividend distribution	03
			07/30/21	0.07	Nondividend distribution	03
				0.13	Total Dividends & distributions	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		05/28/21	0.01	Qualified dividend	
			08/30/21	0.01	Qualified dividend	
			11/29/21	0.02	Qualified dividend	
				0.04	Total Dividends & distributions	
VIACOMCBS INC. CLASS B COMMON STOCK	92556H206		07/01/21	0.01	Qualified dividend	
			10/01/21	0.01	Qualified dividend	
				0.02	Total Dividends & distributions	
				0.19	Total Dividends & distributions	

Robinhood Securities LLC

Account 920101938

Detail for Miscellaneous Information

2021

02/11/2022

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
AG MORTGAGE INVESTMENT TRUST, INC.	001228105	01/29/21	1.08	Substitute payment	
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103	02/26/21	0.01	Substitute payment	
			1.09	Total Miscellaneous income	

Robinhood Securities LLC

Account 920101938

Fees and Expenses

2021

02/11/2022

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper tax treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		01/19/21	-0.63	Margin interest paid	
		01/19/21	-5.00	Margin interest paid	
		02/16/21	-0.16	Margin interest paid	
		02/16/21	-5.00	Margin interest paid	
		03/18/21	-5.00	Margin interest paid	
		04/19/21	-5.00	Margin interest paid	
		05/17/21	-5.00	Margin interest paid	
		06/16/21	-5.00	Margin interest paid	
		07/16/21	-5.00	Margin interest paid	
			-35.79	Total Margin interest paid	
			-35.79	Total Margin interest paid	

Robinhood Securities LLC

Account 920101938

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
MOXIAN, INC. COMMON STOCK	624697306	08/17/21	-0.05	0.00	Merger	
ASXC 04/16/2021 CALL \$8.00	ASXC 04/16/21 C 8.000	03/05/21	2.00	0.00	Merger	
MOXIAN (BVI) INC ORDINARY SHAR ES	G6S34K105	08/17/21	0.05	0.00	Merger	
STLA 06/18/2021 CALL \$22.50	STLA 06/18/21 C 22.500	03/15/21	-20.00	0.00	Merger	
STLA 06/18/2021 CALL \$25.00	STLA 06/18/21 C 25.000	03/15/21	-13.00	0.00	Merger	
STLA2 06/18/2021 CALL \$22.50	STLA2 06/18/21 C 22.500	03/15/21	20.00	0.00	Merger	
		04/14/21	20.00	0.00	Merger	
		04/14/21	-20.00	0.00	Merger	
		04/19/21	20.00	0.00	Merger	
		04/19/21	-20.00	0.00	Merger	
STLA2 06/18/2021 CALL \$25.00	STLA2 06/18/21 C 25.000	03/15/21	13.00	0.00	Merger	
		04/14/21	13.00	0.00	Merger	
		04/14/21	-13.00	0.00	Merger	
		04/19/21	13.00	0.00	Merger	
		04/19/21	-13.00	0.00	Merger	
TRXC 04/16/2021 CALL \$8.00	TRXC 04/16/21 C 8.000	03/05/21	-2.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Instructions for Recipient

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on

Instructions for Recipient

forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties; copyrights; and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the Schedule E (Form 1040) instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 15-17. Show state or local income tax withheld from the payments.

Future developments. For the latest information about developments related to Form 1099-MISC and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099MISC.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.