

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 136566486</b>	Statement Date: 02/14/2021 Document ID: 6Q1Q K3Z 331F	2020
	Sudeer kumar Gadwala yugender 1014 Grand Ave North Bergen, NJ 07047  RECIPIENT'S TIN: XXX-XX-4664		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      0.00</p> <p>1b- Qualified dividends      0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	266,040.71	275,503.29	0.00	6,002.47	-3,460.11
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>266,040.71</b>	<b>275,503.29</b>	<b>0.00</b>	<b>6,002.47</b>	<b>-3,460.11</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>266,040.71</b>	<b>275,503.29</b>	<b>0.00</b>	<b>6,002.47</b>	<b>-3,460.11</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 136566486

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 04/24/2020 CALL \$13.00 / CUSIP: / Symbol:							
04/16/20	2.000	83.98	04/15/20	182.00	...	-98.02	Option sale to close-call <sup>25</sup>
AAL 05/29/2020 CALL \$11.00 / CUSIP: / Symbol:							
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	3.000	404.98	05/11/20	225.00	...	179.98	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	269.99	05/18/20	56.00	...	213.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/27/20	5.000	674.97	Various	281.00	...	393.97	Total of 2 transactions
AAL 06/19/2020 CALL \$12.00 / CUSIP: / Symbol:							
06/04/20	1.000	149.98	05/27/20	118.00	...	31.98	Option sale to close-call <sup>25</sup>
AAL 07/02/2020 CALL \$14.50 / CUSIP: / Symbol:							
06/23/20	4.000	275.98	06/23/20	316.00	...	-40.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 08/14/2020 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	55.99	08/05/20	78.00	...	-22.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	55.99	08/05/20	78.00	...	-22.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/06/20	2.000	111.98	Various	156.00	...	-44.02	Total of 2 transactions
AAL 09/11/2020 CALL \$14.00 / CUSIP: / Symbol:							
09/09/20	1.000	10.98	09/08/20	40.00	...	-29.02	Option sale to close-call <sup>25</sup>
AAPL 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
12/22/20	1.000	65.98	12/21/20	45.00	...	20.98	Option sale to close-call <sup>25</sup>
AAPL 05/15/2020 CALL \$310.00 / CUSIP: / Symbol:							
05/08/20	1.000	414.98	05/08/20	345.00	...	69.98	Option sale to close-call <sup>25</sup>
AAPL 07/17/2020 CALL \$400.00 / CUSIP: / Symbol:							
06/16/20	2.000	211.98	06/12/20	158.00	...	53.98	Option sale to close-call <sup>25</sup>
AAPL 07/31/2020 CALL \$390.00 / CUSIP: / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	799.98	07/14/20	1,070.00	...	-270.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	799.97	07/15/20	1,485.00	...	-685.03	2 of 2 - Option sale to close-call <sup>25</sup>
07/23/20	2.000	1,599.95	Various	2,555.00	...	-955.05	Total of 2 transactions
AAPL 08/07/2020 PUT \$350.00 / CUSIP: / Symbol:							
07/29/20	1.000	155.98	07/28/20	270.00	...	-114.02	Option sale to close-put <sup>25</sup>
AAPL 08/14/2020 CALL \$455.00 / CUSIP: / Symbol:							
08/07/20	1.000	734.97	08/06/20	583.00	...	151.97	Option sale to close-call <sup>25</sup>
AAPL 08/14/2020 CALL \$460.00 / CUSIP: / Symbol:							
08/10/20	1.000	-120.02	08/07/20	0.00	...	-120.02	Short sale closed- call <sup>25</sup> Option written: 08/06/20
AAPL 08/21/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/11/20	1.000	664.97	08/10/20	1,214.00	...	-549.03	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/21/2020 CALL \$465.00 / CUSIP: / Symbol:							
08/12/20	1.000	349.97	08/11/20	0.00	...	349.97	Short sale closed- call <sup>25</sup>
08/17/20	1.000	374.98	08/13/20	665.00	...	-290.02	Option written: 08/10/20 Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>724.95</b>		<b>665.00</b>	...	<b>59.95</b>	
AAPL 08/28/2020 CALL \$475.00 / CUSIP: / Symbol:							
08/18/20	1.000	561.97	08/18/20	513.00	...	48.97	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$123.75 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/03/20	125.00	...	-125.00	Option expiration- long call
AAPL 09/18/2020 CALL \$121.25 / CUSIP: / Symbol:							
09/15/20	1.000	81.98	09/15/20	116.00	...	-34.02	Option sale to close-call <sup>25</sup>
AAPL 10/16/2020 CALL \$135.00 / CUSIP: / Symbol:							
09/15/20	1.000	112.98	09/08/20	274.00	...	-161.02	Option sale to close-call <sup>25</sup>
AAPL 10/16/2020 CALL \$136.25 / CUSIP: / Symbol:							
09/09/20	1.000	209.98	09/08/20	248.00	...	-38.02	Option sale to close-call <sup>25</sup>
AAPL 11/06/2020 CALL \$120.00 / CUSIP: / Symbol:							
11/06/20	1.000	7.98	10/26/20	234.00	...	-226.02	Option sale to close-call <sup>25</sup>
AAPL 12/31/2020 CALL \$135.00 / CUSIP: / Symbol:							
12/24/20	1.000	130.98	12/22/20	185.00	54.02 W	0.00	Option sale to close-call <sup>25</sup>
12/29/20	1.000	218.98	12/28/20	301.02	...	-82.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>349.96</b>		<b>486.02</b>	<b>54.02 W</b>	<b>-82.04</b>	
AAXN 05/15/2020 CALL \$80.00 / CUSIP: / Symbol:							
	2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	1.000	107.98	05/07/20	90.00	...	17.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	107.98	05/07/20	90.00	...	17.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/07/20	2.000	215.96	Various	180.00	...	35.96	Total of 2 transactions
ABBV 09/18/2020 CALL \$97.50 / CUSIP: / Symbol:							
05/15/20	1.000	379.98	05/14/20	325.00	...	54.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADBE 08/21/2020 CALL \$455.00 / CUSIP: / Symbol:							
08/06/20	1.000	1,527.95	08/06/20	1,093.00	...	434.95	Option sale to close-call <sup>25</sup>
ADBE 08/21/2020 CALL \$465.00 / CUSIP: / Symbol:							
08/07/20	1.000	-332.03	08/06/20	0.00	...	-332.03	Short sale closed- call <sup>25</sup> Option written: 08/06/20
ALGN 05/08/2020 CALL \$235.00 / CUSIP: / Symbol:							
04/27/20	1.000	69.98	04/20/20	175.00	...	-105.02	Option sale to close-call <sup>25</sup>
AMBA 09/18/2020 CALL \$52.50 / CUSIP: / Symbol:							
08/28/20	1.000	339.98	08/27/20	220.00	...	119.98	Option sale to close-call <sup>25</sup>
AMC 07/10/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/08/20	1.000	104.98	06/05/20	78.00	...	26.98	Option sale to close-call <sup>25</sup>
AMD 01/15/2021 CALL \$94.50 / CUSIP: / Symbol:							
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	190.99	12/28/20	286.00	...	-95.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	190.99	12/28/20	278.00	...	-87.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/29/20	2.000	381.98	Various	564.00	...	-182.02	Total of 2 transactions
AMD 04/24/2020 CALL \$54.00 / CUSIP: / Symbol:							
04/15/20	1.000	293.98	04/14/20	217.00	...	76.98	Option sale to close-call <sup>25</sup>
AMD 06/05/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/05/20	1.000	0.00	06/04/20	17.00	...	-17.00	Option expiration- long call
AMD 07/17/2020 CALL \$57.50 / CUSIP: / Symbol:							
07/10/20	1.000	184.98	07/09/20	185.00	...	-0.02	Option sale to close-call <sup>25</sup>
AMD 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/08/20	1.000	116.98	06/03/20	109.00	...	7.98	Option sale to close-call <sup>25</sup>
AMD 07/24/2020 CALL \$59.00 / CUSIP: / Symbol:							
07/21/20	1.000	90.98	07/20/20	65.00	...	25.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 07/24/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	07/13/20	109.00	...	-104.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	9.99	07/15/20	32.00	...	-22.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/21/20	3.000	14.98	Various	141.00	...	-126.02	Total of 2 transactions
AMD 07/31/2020 PUT \$68.00 / CUSIP: / Symbol:							
07/27/20	2.000	513.97	07/27/20	588.00	...	-74.03	Option sale to close-put <sup>25</sup>
AMRS 06/18/2021 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	12/30/20	165.00	...	-25.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	139.99	12/30/20	155.00	...	-15.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/31/20	2.000	279.98	Various	320.00	...	-40.02	Total of 2 transactions
AMT 08/07/2020 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/04/20	27.00	...	-27.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/04/20	27.00	...	-27.00	2 of 2 - Option expiration- long call
08/07/20	2.000	0.00	Various	54.00	...	-54.00	Total of 2 transactions
AMZN 01/15/2021 CALL \$3,325.00 / CUSIP: / Symbol:							
12/29/20	1.000	9,735.77	12/29/20	8,841.00	...	894.77	Option sale to close-call <sup>25</sup>
AMZN 01/15/2021 CALL \$3,345.00 / CUSIP: / Symbol:							
12/30/20	1.000	-831.19	12/29/20	0.00	...	-831.19	Short sale closed- call <sup>25</sup> Option written: 12/29/20
AMZN 06/19/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	329.99	06/10/20	580.00	...	-250.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	329.98	06/10/20	385.00	...	-55.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	2.000	659.97	Various	965.00	...	-305.03	Total of 2 transactions
AMZN 07/10/2020 CALL \$2,915.00 / CUSIP: / Symbol:							
07/06/20	1.000	5,766.86	07/02/20	5,501.00	...	265.86	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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AMZN 07/10/2020 CALL \$2,920.00 / CUSIP: / Symbol:							
07/07/20	1.000	-227.13	07/06/20	0.00	...	-227.13	Short sale closed- call <sup>25</sup> Option written: 07/02/20
AMZN 08/21/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
08/07/20	1.000	8,689.79	08/06/20	7,905.00	...	784.79	Option sale to close-call <sup>25</sup>
AMZN 08/21/2020 CALL \$3,230.00 / CUSIP: / Symbol:							
08/10/20	1.000	-704.16	08/07/20	0.00	...	-704.16	Short sale closed- call <sup>25</sup> Option written: 08/06/20
APHA 09/25/2020 CALL \$5.00 / CUSIP: / Symbol:							
08/26/20	2.000	33.98	08/25/20	32.00	...	1.98	Option sale to close-call <sup>25</sup>
AVAV 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/18/20	1.000	329.98	05/15/20	275.00	...	54.98	Option sale to close-call <sup>25</sup>
AZN 06/05/2020 CALL \$53.00 / CUSIP: / Symbol:							
05/28/20	1.000	144.98	05/27/20	118.00	...	26.98	Option sale to close-call <sup>25</sup>
AZN 07/17/2020 CALL \$59.00 / CUSIP: / Symbol:							
07/16/20	1.000	29.98	07/15/20	75.00	...	-45.02	Option sale to close-call <sup>25</sup>
AZN 08/21/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/01/20	2.000	79.99	06/29/20	100.00	...	-20.01	Option sale to close-call <sup>25</sup>
07/01/20	1.000	39.99	06/29/20	50.00	10.01 W	0.00	Option sale to close-call <sup>25</sup>
07/16/20	1.000	104.98	07/15/20	150.01	...	-45.03	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>224.96</b>		<b>300.01</b>	<b>10.01 W</b>	<b>-65.04</b>	
BA 07/10/2020 CALL \$185.00 / CUSIP: / Symbol:							
07/06/20	1.000	669.97	07/02/20	780.00	...	-110.03	Option sale to close-call <sup>25</sup>
BA 07/10/2020 CALL \$187.50 / CUSIP: / Symbol:							
07/07/20	1.000	104.97	07/06/20	0.00	...	104.97	Short sale closed- call <sup>25</sup> Option written: 07/02/20
BA 07/17/2020 CALL \$160.00 / CUSIP: / Symbol:							
05/18/20	1.000	549.97	05/18/20	470.00	...	79.97	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BA 07/31/2020 PUT \$160.00 / CUSIP: / Symbol:							
07/31/20	1.000	194.98	07/29/20	173.00	...	21.98	Option sale to close-put <sup>25</sup>
BA 08/14/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/11/20	1.000	825.97	08/10/20	447.00	...	378.97	Option sale to close-call <sup>25</sup>
BA 08/14/2020 CALL \$185.00 / CUSIP: / Symbol:							
08/12/20	1.000	-265.02	08/11/20	0.00	...	-265.02	Short sale closed- call <sup>25</sup> Option written: 08/10/20
BA 08/21/2020 CALL \$197.50 / CUSIP: / Symbol:							
08/11/20	1.000	187.98	08/11/20	505.00	...	-317.02	Option sale to close-call <sup>25</sup>
BA 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/06/20	1.000	942.96	07/01/20	936.00	...	6.96	Option sale to close-call <sup>25</sup>
08/10/20	1.000	117.98	08/07/20	75.00	...	42.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,060.94</b>		<b>1,011.00</b>	...	<b>49.94</b>	
BA 08/21/2020 CALL \$215.00 / CUSIP: / Symbol:							
07/07/20	1.000	-5.03	07/06/20	0.00	...	-5.03	Short sale closed- call <sup>25</sup> Option written: 07/01/20
BA 08/21/2020 PUT \$140.00 / CUSIP: / Symbol:							
07/31/20	1.000	208.98	07/27/20	211.00	...	-2.02	Option sale to close-put <sup>25</sup>
BA 09/18/2020 CALL \$190.00 / CUSIP: / Symbol:							
08/31/20	1.000	379.98	08/28/20	530.00	...	-150.02	Option sale to close-call <sup>25</sup>
BABA 06/12/2020 CALL \$205.00 / CUSIP: / Symbol:							
05/08/20	1.000	819.97	05/06/20	585.00	...	234.97	Option sale to close-call <sup>25</sup>
BABA 07/17/2020 CALL \$205.00 / CUSIP: / Symbol:							
05/14/20	1.000	969.96	05/14/20	888.00	...	81.96	Option sale to close-call <sup>25</sup>
BABA 07/17/2020 CALL \$237.50 / CUSIP: / Symbol:							
07/07/20	1.000	702.97	07/06/20	695.00	...	7.97	Option sale to close-call <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BABA 07/17/2020 CALL \$242.50 / CUSIP: / Symbol:							
07/08/20	1.000	1.97	07/07/20	0.00	...	1.97	Short sale closed- call <sup>25</sup> Option written: 07/06/20
BABA 08/21/2020 CALL \$265.00 / CUSIP: / Symbol:							
08/04/20	1.000	874.97	08/04/20	780.00	...	94.97	Option sale to close-call <sup>25</sup>
BABA 09/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/24/20	1.000	238.98	08/21/20	117.00	...	121.98	Option sale to close-call <sup>25</sup>
BAC 10/16/2020 CALL \$26.00 / CUSIP: / Symbol:							
10/12/20	1.000	32.98	10/09/20	32.00	...	0.98	Option sale to close-call <sup>25</sup>
BHC 01/15/2021 CALL \$18.00 / CUSIP: / Symbol:							
06/15/20	1.000	329.98	06/11/20	300.00	...	29.98	Option sale to close-call <sup>25</sup>
BIDU 01/08/2021 CALL \$220.00 / CUSIP: / Symbol:							
12/30/20	1.000	799.97	12/30/20	545.00	...	254.97	Option sale to close-call <sup>25</sup>
BIDU 05/15/2020 CALL \$106.00 / CUSIP: / Symbol:							
05/08/20	1.000	48.98	05/07/20	37.00	...	11.98	Option sale to close-call <sup>25</sup>
BIDU 05/15/2020 CALL \$108.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/06/20	25.00	...	-25.00	Option expiration- long call
BIDU 05/15/2020 CALL \$109.00 / CUSIP: / Symbol:							
05/08/20	1.000	19.98	05/06/20	20.00	...	-0.02	Option sale to close-call <sup>25</sup>
BIDU 05/22/2020 CALL \$105.00 / CUSIP: / Symbol:							
05/18/20	1.000	429.98	05/15/20	236.00	...	193.98	Option sale to close-call <sup>25</sup>
BIDU 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
<i>9 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	224.99	05/19/20	108.00	...	116.99	1 of 9 - Option sale to close-call <sup>25</sup>
	2.000	449.98	05/19/20	240.00	...	209.98	2 of 9 - Option sale to close-call <sup>25</sup>
	3.000	674.98	05/19/20	360.00	...	314.98	3 of 9 - Option sale to close-call <sup>25</sup>
	4.000	899.97	05/19/20	432.00	...	467.97	4 of 9 - Option sale to close-call <sup>25</sup>
	1.000	169.98	05/20/20	61.00	...	108.98	5 of 9 - Option sale to close-call <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIDU 07/17/2020 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	174.98	05/20/20	61.00	...	113.98	6 of 9 - Option sale to close-call <sup>25</sup>
	1.000	174.98	05/20/20	61.00	...	113.98	7 of 9 - Option sale to close-call <sup>25</sup>
	2.000	425.98	05/20/20	122.00	...	303.98	8 of 9 - Option sale to close-call <sup>25</sup>
	5.000	1,124.97	05/20/20	305.00	...	819.97	9 of 9 - Option sale to close-call <sup>25</sup>
06/16/20	20.000	4,320.81	Various	1,750.00	...	2,570.81	Total of 9 transactions
BIDU 09/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/02/20	1.000	381.98	07/01/20	322.00	...	59.98	Option sale to close-call <sup>25</sup>
BIDU 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/06/20	1.000	-49.02	07/02/20	0.00	...	-49.02	Short sale closed- call <sup>25</sup> Option written: 07/01/20
BILI 08/21/2020 CALL \$45.00 / CUSIP: / Symbol:							
07/28/20	1.000	139.98	07/27/20	142.00	...	-2.02	Option sale to close-call <sup>25</sup>
BILI 09/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/26/20	1.000	172.98	08/26/20	187.00	...	-14.02	Option sale to close-call <sup>25</sup>
BMY 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
04/23/20	1.000	253.98	04/21/20	232.00	...	21.98	Option sale to close-call <sup>25</sup>
BYND 04/24/2020 CALL \$82.00 / CUSIP: / Symbol:							
04/21/20	1.000	739.97	04/20/20	380.00	...	359.97	Option sale to close-call <sup>25</sup>
BYND 05/15/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	151.98	05/04/20	133.03	...	18.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	198.99	05/04/20	75.00	...	123.99	2 of 2 - Option sale to close-call <sup>25</sup>
05/05/20	2.000	350.97	Various	208.03	...	142.94	Total of 2 transactions
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	198.98	04/30/20	380.00	181.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	198.99	05/04/20	256.02	57.03 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
05/05/20	2.000	397.97	Various	636.02	238.05 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>748.94</b>		<b>844.05</b>	<b>238.05 W</b>	<b>142.94</b>	

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BYND 05/15/2020 CALL \$200.00 / CUSIP: / Symbol:							
05/12/20	1.000	20.98	05/08/20	25.00	...	-4.02	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$140.00 / CUSIP: / Symbol:							
07/15/20	1.000	135.98	07/15/20	206.00	...	-70.02	Option sale to close-call <sup>25</sup>
BYND 07/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/23/20	1.000	280.98	04/21/20	265.00	...	15.98	Option sale to close-call <sup>25</sup>
BYND 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/18/20	1.000	65.98	08/13/20	260.00	...	-194.02	Option sale to close-call <sup>25</sup>
BYND 12/31/2020 CALL \$155.00 / CUSIP: / Symbol:							
12/21/20	1.000	144.98	12/18/20	172.00	...	-27.02	Option sale to close-call <sup>25</sup>
C 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
08/25/20	1.000	115.98	07/14/20	207.00	...	-91.02	Option sale to close-call <sup>25</sup>
CAKE 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
<i>3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	06/16/20	100.00	...	-100.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/23/20	35.00	...	-35.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/29/20	20.00	...	-20.00	3 of 3 - Option expiration- long call
07/17/20	3.000	0.00	Various	155.00	...	-155.00	Total of 3 transactions
CAT 08/21/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/12/20	1.000	7.98	08/11/20	27.00	...	-19.02	Option sale to close-call <sup>25</sup>
CCJ 09/18/2020 CALL \$12.00 / CUSIP: / Symbol:							
07/31/20	4.000	119.98	07/29/20	112.00	...	7.98	Option sale to close-call <sup>25</sup>
08/07/20	1.000	28.98	07/29/20	28.00	...	0.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>148.96</b>		<b>140.00</b>	...	<b>8.96</b>	
CCL 05/22/2020 CALL \$15.00 / CUSIP: / Symbol:							
05/20/20	2.000	59.98	05/18/20	90.00	...	-30.02	Option sale to close-call <sup>25</sup>
CCL 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
05/21/20	1.000	137.98	05/18/20	135.00	...	2.98	Option sale to close-call <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CCL 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
05/27/20	1.000	134.98	05/19/20	80.00	...	54.98	Option sale to close-call <sup>25</sup>
CCL 09/25/2020 CALL \$20.00 / CUSIP: / Symbol:							
08/31/20	1.000	65.98	08/28/20	69.00	...	-3.02	Option sale to close-call <sup>25</sup>
CCL 10/16/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/08/20	1.000	109.98	06/05/20	55.00	...	54.98	Option sale to close-call <sup>25</sup>
CDNS 05/15/2020 PUT \$70.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	04/20/20	155.00	...	-105.01	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	49.99	04/21/20	160.00	...	-110.01	2 of 2 - Option sale to close-put <sup>25</sup>
05/01/20	2.000	99.98	Various	315.00	...	-215.02	Total of 2 transactions
CGC 06/12/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/09/20	1.000	49.98	06/08/20	44.00	...	5.98	Option sale to close-call <sup>25</sup>
CHRW 08/21/2020 PUT \$82.50 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/23/20	195.00	...	-195.00	1 of 2 - Option expiration- long put
	1.000	0.00	07/28/20	105.00	...	-105.00	2 of 2 - Option expiration- long put
08/21/20	2.000	0.00	Various	300.00	...	-300.00	Total of 2 transactions
CLDR 07/17/2020 CALL \$12.50 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	110.98	06/04/20	45.00	...	65.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	110.98	06/09/20	35.00	...	75.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/10/20	2.000	221.96	Various	80.00	...	141.96	Total of 2 transactions
CLDR 09/04/2020 CALL \$13.50 / CUSIP: / Symbol:							
08/11/20	3.000	77.98	08/10/20	90.00	...	-12.02	Option sale to close-call <sup>25</sup>
CLDR 09/04/2020 CALL \$14.50 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/01/20	108.00	...	-108.00	Option expiration- long call

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLDR 09/11/2020 CALL \$13.50 / CUSIP: / Symbol:							
09/01/20	1.000	164.98	08/28/20	81.00	...	83.98	Option sale to close-call <sup>25</sup>
CLVS 05/29/2020 CALL \$8.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/19/20	60.00	...	-60.00	Option expiration- long call
COST 07/17/2020 CALL \$320.00 / CUSIP: / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	06/23/20	130.00	...	159.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	289.98	06/25/20	75.00	...	214.98	2 of 2 - Option sale to close-call <sup>25</sup>
07/07/20	2.000	579.97	Various	205.00	...	374.97	Total of 2 transactions
COST 08/14/2020 CALL \$337.50 / CUSIP: / Symbol:							
08/06/20	1.000	836.97	08/05/20	545.00	...	291.97	Option sale to close-call <sup>25</sup>
COST 08/14/2020 CALL \$345.00 / CUSIP: / Symbol:							
08/07/20	1.000	-150.02	08/06/20	0.00	...	-150.02	Short sale closed- call <sup>25</sup> Option written: 08/05/20
CRM 05/08/2020 CALL \$170.00 / CUSIP: / Symbol:							
05/07/20	1.000	158.98	05/07/20	76.00	...	82.98	Option sale to close-call <sup>25</sup>
CRM 05/29/2020 CALL \$190.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/27/20	84.00	...	-84.00	Option expiration- long call
CRM 08/21/2020 CALL \$197.50 / CUSIP: / Symbol:							
08/13/20	1.000	250.98	08/12/20	199.00	...	51.98	Option sale to close-call <sup>25</sup>
CRM 08/28/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/25/20	1.000	359.98	08/20/20	234.00	...	125.98	Option sale to close-call <sup>25</sup>
CSCO 07/17/2020 CALL \$42.50 / CUSIP: / Symbol:							
05/14/20	1.000	339.98	05/13/20	219.00	...	120.98	Option sale to close-call <sup>25</sup>
CSCO 09/18/2020 CALL \$43.00 / CUSIP: / Symbol:							
08/31/20	1.000	51.98	08/26/20	51.00	...	0.98	Option sale to close-call <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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CTXS 05/15/2020 CALL \$155.00 / CUSIP: / Symbol:							
05/08/20	1.000	64.98	05/06/20	130.00	...	-65.02	Option sale to close-call <sup>25</sup>
CTXS 05/15/2020 CALL \$160.00 / CUSIP: / Symbol:							
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	4.000	139.98	05/05/20	140.00	...	-0.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	35.00	05/05/20	35.00	...	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	5.000	174.99	05/05/20	150.00	...	24.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/06/20	10.000	349.97	Various	325.00	...	24.97	Total of 3 transactions
CTXS 05/15/2020 CALL \$162.50 / CUSIP: / Symbol:							
05/06/20	3.000	59.98	05/05/20	60.00	...	-0.02	Option sale to close-call <sup>25</sup>
CTXS 06/19/2020 CALL \$150.00 / CUSIP: / Symbol:							
04/24/20	1.000	569.97	04/23/20	510.00	...	59.97	Option sale to close-call <sup>25</sup>
CVGW 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/08/20	74.00	...	-74.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/09/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
06/19/20	2.000	0.00	Various	89.00	...	-89.00	Total of 2 transactions
CVS 06/19/2020 CALL \$62.50 / CUSIP: / Symbol:							
04/17/20	2.000	939.96	04/16/20	730.00	...	209.96	Option sale to close-call <sup>25</sup>
CYBR 10/16/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/26/20	1.000	254.98	05/18/20	230.00	...	24.98	Option sale to close-call <sup>25</sup>
DBX 04/24/2020 CALL \$19.50 / CUSIP: / Symbol:							
04/17/20	1.000	29.98	04/15/20	40.00	...	-10.02	Option sale to close-call <sup>25</sup>
DBX 09/18/2020 CALL \$21.00 / CUSIP: / Symbol:							
08/17/20	1.000	52.98	08/12/20	55.00	...	-2.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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DDOG 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
04/22/20	1.000	319.98	04/17/20	290.00	...	29.98	Option sale to close-call <sup>25</sup>
04/22/20	1.000	319.98	04/20/20	349.00	29.02 W	0.00	Option sale to close-call <sup>25</sup>
04/27/20	1.000	369.98	04/24/20	369.02	...	0.96	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,009.94</b>		<b>1,008.02</b>	<b>29.02 W</b>	<b>30.94</b>	
DG 07/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/11/20	1.000	264.98	06/10/20	215.00	...	49.98	Option sale to close-call <sup>25</sup>
DG 08/28/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/26/20	1.000	339.98	08/24/20	190.00	...	149.98	Option sale to close-call <sup>25</sup>
DGLY 06/19/2020 CALL \$2.50 / CUSIP: / Symbol:							
06/08/20	1.000	184.98	06/01/20	88.00	...	96.98	Option sale to close-call <sup>25</sup>
DIS 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
09/23/20	1.000	185.98	09/22/20	215.00	...	-29.02	Option sale to close-call <sup>25</sup>
DIS 05/08/2020 PUT \$95.00 / CUSIP: / Symbol:							
04/21/20	1.000	334.98	04/20/20	275.00	...	59.98	Option sale to close-put <sup>25</sup>
DIS 05/22/2020 CALL \$122.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/20/20	119.00	...	-119.00	Option expiration- long call
DIS 06/19/2020 CALL \$130.00 / CUSIP: / Symbol:							
06/05/20	1.000	204.98	05/20/20	156.00	...	48.98	Option sale to close-call <sup>25</sup>
DIS 07/17/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	06/11/20	21.00	...	-21.00	Option expiration- long call
DIS 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
05/18/20	5.000	994.96	05/15/20	675.00	...	319.96	Option sale to close-call <sup>25</sup>
DISH 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
04/24/20	1.000	199.98	04/17/20	210.00	...	-10.02	Option sale to close-call <sup>25</sup>
DKNG 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
12/24/20	1.000	289.98	12/21/20	360.00	...	-70.02	Option sale to close-call <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DOCU 07/17/2020 CALL \$180.00 / CUSIP: / Symbol:							
06/10/20	1.000	174.98	06/08/20	129.00	...	45.98	Option sale to close-call <sup>25</sup>
DOCU 09/04/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/02/20	1.000	2,209.94	09/01/20	2,882.00	...	-672.06	Option sale to close-call <sup>25</sup>
DOCU 09/04/2020 CALL \$280.00 / CUSIP: / Symbol:							
09/03/20	1.000	629.93	09/02/20	0.00	...	629.93	Short sale closed- call <sup>25</sup> Option written: 09/01/20
DOCU 09/11/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/31/20	1.000	1,402.95	08/28/20	1,356.00	...	46.95	Option sale to close-call <sup>25</sup>
09/09/20	1.000	151.98	09/09/20	179.00	...	-27.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,554.93</b>		<b>1,535.00</b>	...	<b>19.93</b>	
DOCU 09/11/2020 CALL \$230.00 / CUSIP: / Symbol:							
09/01/20	1.000	-49.04	08/31/20	0.00	...	-49.04	Short sale closed- call <sup>25</sup> Option written: 08/28/20
DOCU 09/18/2020 CALL \$195.00 / CUSIP: / Symbol:							
08/13/20	1.000	1,810.94	08/12/20	1,590.00	...	220.94	Option sale to close-call <sup>25</sup>
DOCU 09/18/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/14/20	1.000	-158.04	08/13/20	0.00	...	-158.04	Short sale closed- call <sup>25</sup> Option written: 08/12/20
DOCU 12/18/2020 CALL \$190.00 / CUSIP: / Symbol:							
07/27/20	1.000	3,004.92	07/24/20	3,036.00	...	-31.08	Option sale to close-call <sup>25</sup>
DOCU 12/18/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/28/20	1.000	20.94	07/27/20	0.00	...	20.94	Short sale closed- call <sup>25</sup> Option written: 07/24/20
EBAY 07/31/2020 PUT \$55.50 / CUSIP: / Symbol:							
07/29/20	1.000	153.98	07/28/20	151.00	...	2.98	Option sale to close-put <sup>25</sup>
EBAY 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/18/20	1.000	105.98	08/12/20	98.00	...	7.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

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ERJ 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	69.98	05/27/20	19.00	...	50.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	69.98	05/27/20	19.00	...	50.98	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	164.98	05/27/20	57.00	...	107.98	3 of 3 - Option sale to close-call <sup>25</sup>
06/03/20	5.000	304.94	Various	95.00	...	209.94	Total of 3 transactions
F 04/17/2020 CALL \$6.00 / CUSIP: / Symbol:							
04/15/20	5.000	9.98	04/13/20	20.00	...	-10.02	Option sale to close-call <sup>25</sup>
F 07/02/2020 CALL \$6.50 / CUSIP: / Symbol:							
07/01/20	1.000	0.98	06/22/20	21.00	...	-20.02	Option sale to close-call <sup>25</sup>
FB 05/22/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/19/20	1.000	260.98	05/18/20	262.00	...	-1.02	Option sale to close-call <sup>25</sup>
FB 05/22/2020 CALL \$220.00 / CUSIP: / Symbol:							
05/19/20	1.000	339.98	05/19/20	143.00	...	196.98	Option sale to close-call <sup>25</sup>
FB 05/22/2020 CALL \$225.00 / CUSIP: / Symbol:							
05/20/20	1.000	349.98	05/19/20	109.00	...	240.98	Option sale to close-call <sup>25</sup>
FB 07/17/2020 CALL \$245.00 / CUSIP: / Symbol:							
07/17/20	1.000	2.98	07/16/20	65.00	...	-62.02	Option sale to close-call <sup>25</sup>
FB 08/21/2020 CALL \$235.00 / CUSIP: / Symbol:							
07/29/20	1.000	893.97	07/29/20	915.00	...	-21.03	Option sale to close-call <sup>25</sup>
FB 08/21/2020 CALL \$250.00 / CUSIP: / Symbol:							
07/30/20	1.000	8.98	07/29/20	0.00	...	8.98	Short sale closed- call <sup>25</sup> Option written: 07/29/20
FB 08/21/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/19/20	1.000	278.98	08/18/20	184.00	...	94.98	Option sale to close-call <sup>25</sup>
FB 08/28/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/07/20	1.000	992.96	08/07/20	916.00	...	76.96	Option sale to close-call <sup>25</sup>

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FB 08/28/2020 CALL \$270.00 / CUSIP: / Symbol:							
08/19/20	1.000	319.98	08/19/20	525.00	...	-205.02	Option sale to close-call <sup>25</sup>
FB 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/10/20	1.000	-46.03	08/07/20	0.00	...	-46.03	Short sale closed- call <sup>25</sup> Option written: 08/07/20
FB 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/26/20	1.000	449.98	08/25/20	258.00	...	191.98	Option sale to close-call <sup>25</sup>
FB 09/04/2020 CALL \$262.50 / CUSIP: / Symbol:							
08/13/20	1.000	813.97	08/12/20	853.00	...	-39.03	Option sale to close-call <sup>25</sup>
FB 09/04/2020 CALL \$267.50 / CUSIP: / Symbol:							
08/14/20	1.000	30.97	08/13/20	0.00	...	30.97	Short sale closed- call <sup>25</sup> Option written: 08/12/20
FB 09/11/2020 CALL \$305.00 / CUSIP: / Symbol:							
09/08/20	1.000	18.98	09/01/20	565.00	546.02 W	0.00	Option sale to close-call <sup>25</sup>
	3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	09/03/20	861.02	...	-861.02	1 of 3 - Option expiration- long call
	2.000	0.00	09/04/20	144.00	...	-144.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/09/20	6.00	...	-6.00	3 of 3 - Option expiration- long call
09/11/20	4.000	0.00	Various	1,011.02	...	-1,011.02	Total of 3 transactions
	<b>Security total:</b>	<b>18.98</b>		<b>1,576.02</b>	<b>546.02 W</b>	<b>-1,011.02</b>	
FDX 10/16/2020 PUT \$140.00 / CUSIP: / Symbol:							
07/10/20	1.000	629.97	07/09/20	695.00	...	-65.03	Option sale to close-put <sup>25</sup>
FIT 07/10/2020 CALL \$6.50 / CUSIP: / Symbol:							
06/16/20	2.000	27.98	06/10/20	36.00	...	-8.02	Option sale to close-call <sup>25</sup>
FIT 10/02/2020 CALL \$6.50 / CUSIP: / Symbol:							
09/25/20	1.000	6.98	09/22/20	4.00	...	2.98	Option sale to close-call <sup>25</sup>
FLIR 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/24/20	1.000	89.98	06/23/20	55.00	...	34.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FSLY 07/31/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/30/20	1.000	264.98	07/29/20	100.00	...	164.98	Option sale to close-call <sup>25</sup>
FSLY 08/21/2020 CALL \$100.00 / CUSIP: / Symbol:							
07/30/20	1.000	829.97	07/29/20	480.00	...	349.97	Option sale to close-call <sup>25</sup>
FSLY 08/21/2020 CALL \$110.00 / CUSIP: / Symbol:							
08/05/20	1.000	1,148.96	08/03/20	1,285.00	...	-136.04	Option sale to close-call <sup>25</sup>
FSLY 08/21/2020 CALL \$115.00 / CUSIP: / Symbol:							
08/03/20	1.000	999.96	07/31/20	490.00	...	509.96	Option sale to close-call <sup>25</sup>
FSLY 08/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
08/06/20	1.000	110.97	08/05/20	0.00	...	110.97	Short sale closed- call <sup>25</sup> Option written: 08/03/20
FUBO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
12/30/20	1.000	158.98	12/29/20	203.00	...	-44.02	Option sale to close-call <sup>25</sup>
GDX 06/26/2020 CALL \$34.00 / CUSIP: / Symbol:							
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	116.99	06/19/20	60.00	...	56.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	116.99	06/19/20	59.00	...	57.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/22/20	2.000	233.98	Various	119.00	...	114.98	Total of 2 transactions
GH 07/17/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/23/20	1.000	149.98	06/22/20	120.00	...	29.98	Option sale to close-call <sup>25</sup>
GIS 10/16/2020 CALL \$60.00 / CUSIP: / Symbol:							
09/23/20	1.000	62.98	09/23/20	65.00	...	-2.02	Option sale to close-call <sup>25</sup>
GLD 07/17/2020 CALL \$177.00 / CUSIP: / Symbol:							
07/02/20	1.000	14.98	07/01/20	15.00	...	-0.02	Option sale to close-call <sup>25</sup>
GLUU 08/21/2020 CALL \$11.00 / CUSIP: / Symbol:							
08/21/20	1.000	0.00	08/04/20	50.00	...	-50.00	Option expiration- long call

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GNUS 10/16/2020 CALL \$2.50 / CUSIP: / Symbol:							
08/14/20	1.000	29.98	08/13/20	35.00	...	-5.02	Option sale to close-call <sup>25</sup>
GOLD 07/17/2020 CALL \$28.00 / CUSIP: / Symbol:							
07/01/20	1.000	46.98	06/22/20	57.00	...	-10.02	Option sale to close-call <sup>25</sup>
GOOG 08/21/2020 CALL \$1,480.00 / CUSIP: / Symbol:							
08/03/20	1.000	3,179.91	07/31/20	3,065.00	...	114.91	Option sale to close-call <sup>25</sup>
GOOG 08/21/2020 CALL \$1,490.00 / CUSIP: / Symbol:							
08/06/20	1.000	2,844.92	08/03/20	3,580.00	...	-735.08	Option sale to close-call <sup>25</sup>
GOOG 08/21/2020 CALL \$1,520.00 / CUSIP: / Symbol:							
08/04/20	1.000	-5.05	08/03/20	0.00	...	-5.05	Short sale closed- call <sup>25</sup> Option written: 07/31/20
GOOG 08/21/2020 CALL \$1,530.00 / CUSIP: / Symbol:							
08/07/20	1.000	739.94	08/06/20	0.00	...	739.94	Short sale closed- call <sup>25</sup> Option written: 08/03/20
GOOG 09/04/2020 CALL \$1,750.00 / CUSIP: / Symbol:							
09/02/20	1.000	549.97	09/01/20	175.00	...	374.97	Option sale to close-call <sup>25</sup>
GOOG 09/11/2020 CALL \$1,700.00 / CUSIP: / Symbol:							
09/09/20	1.000	11.98	09/08/20	80.00	...	-68.02	Option sale to close-call <sup>25</sup>
GOOGL 10/02/2020 CALL \$1,500.0 / CUSIP: / Symbol:							
10/01/20	1.000	469.97	09/29/20	410.00	...	59.97	Option sale to close-call <sup>25</sup>
GOOS 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/05/20	1.000	309.98	06/03/20	178.00	...	131.98	Option sale to close-call <sup>25</sup>
GPRO 08/21/2020 CALL \$5.50 / CUSIP: / Symbol:							
08/03/20	1.000	52.98	07/31/20	40.00	...	12.98	Option sale to close-call <sup>25</sup>
GPS 05/15/2020 PUT \$8.00 / CUSIP: / Symbol:							
04/20/20	1.000	84.98	04/17/20	77.00	...	7.98	Option sale to close-put <sup>25</sup>

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Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GRPN 09/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/24/20	1.000	160.98	08/19/20	158.00	...	2.98	Option sale to close-call <sup>25</sup>
GRPN1 07/17/2020 CALL \$1.50 / CUSIP: / Symbol:							
06/16/20	2.000	39.98	06/10/20	66.00	...	-26.02	Option sale to close-call <sup>25</sup>
GS 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
07/10/20	1.000	189.98	07/06/20	167.00	...	22.98	Option sale to close-call <sup>25</sup>
07/13/20	1.000	267.98	07/07/20	96.00	...	171.98	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>457.96</b>		<b>263.00</b>	...	<b>194.96</b>	
HCAT 08/21/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/12/20	1.000	24.98	08/11/20	80.00	...	-55.02	Option sale to close-call <sup>25</sup>
HMHC 08/21/2020 CALL \$2.50 / CUSIP: / Symbol:							
	<i>2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	64.99	07/28/20	70.00	...	-5.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	64.99	07/28/20	60.00	...	4.99	2 of 2 - Option sale to close-call <sup>25</sup>
07/29/20	2.000	129.98	Various	130.00	...	-0.02	Total of 2 transactions
HON 08/21/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/10/20	1.000	179.98	08/07/20	110.00	...	69.98	Option sale to close-call <sup>25</sup>
HTZ 07/17/2020 CALL \$4.50 / CUSIP: / Symbol:							
06/09/20	1.000	94.98	06/08/20	100.00	...	-5.02	Option sale to close-call <sup>25</sup>
HTZ 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/09/20	1.000	94.98	06/08/20	97.00	...	-2.02	Option sale to close-call <sup>25</sup>
HTZ 07/17/2020 CALL \$8.00 / CUSIP: / Symbol:							
06/10/20	1.000	44.98	06/09/20	80.00	...	-35.02	Option sale to close-call <sup>25</sup>
HTZ 08/21/2020 CALL \$2.00 / CUSIP: / Symbol:							
	<i>2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	11.98	08/05/20	18.00	...	-6.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.98	08/05/20	9.00	...	-3.02	2 of 2 - Option sale to close-call <sup>25</sup>
08/10/20	3.000	17.96	Various	27.00	...	-9.04	Total of 2 transactions

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HYG 06/19/2020 CALL \$81.00 / CUSIP: / Symbol:							
05/20/20	3.000	305.98	05/18/20	237.00	...	68.98	Option sale to close-call <sup>25</sup>
IBM 07/17/2020 CALL \$155.00 / CUSIP: / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	04/20/20	83.00	81.00 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.99	05/06/20	18.00	16.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	2.00	05/07/20	16.00	14.00 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	1.99	05/11/20	10.00	8.01 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
07/06/20	4.000	7.98	Various	127.00	119.02 W	0.00	Total of 4 transactions
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	05/18/20	11.00	10.02 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.98	05/18/20	11.00	10.02 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
07/09/20	2.000	1.96	Various	22.00	20.04 W	0.00	Total of 2 transactions
7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/10/20	96.00	...	-96.00	1 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	31.01	...	-31.01	2 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	29.00	...	-29.00	3 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	25.02	...	-25.02	4 of 7 - Option expiration- long call
	1.000	0.00	06/10/20	23.01	...	-23.01	5 of 7 - Option expiration- long call
	19.000	0.00	06/22/20	57.00	...	-57.00	6 of 7 - Option expiration- long call
	1.000	0.00	06/22/20	13.02	...	-13.02	7 of 7 - Option expiration- long call
07/17/20	25.000	0.00	Various	274.06	...	-274.06	Total of 7 transactions
	<b>Security total:</b>	<b>9.94</b>		<b>423.06</b>	<b>139.06 W</b>	<b>-274.06</b>	
IDEX 07/17/2020 CALL \$4.00 / CUSIP: / Symbol:							
8 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	9.98	06/24/20	102.00	...	-92.02	1 of 8 - Option sale to close-call <sup>25</sup>
	1.000	9.99	06/24/20	60.00	...	-50.01	2 of 8 - Option sale to close-call <sup>25</sup>
	1.000	9.99	06/24/20	60.00	...	-50.01	3 of 8 - Option sale to close-call <sup>25</sup>
	1.000	10.00	06/24/20	60.00	...	-50.00	4 of 8 - Option sale to close-call <sup>25</sup>
	1.000	10.00	06/24/20	60.00	...	-50.00	5 of 8 - Option sale to close-call <sup>25</sup>
	1.000	10.00	06/24/20	60.00	...	-50.00	6 of 8 - Option sale to close-call <sup>25</sup>
	1.000	9.99	06/24/20	55.00	...	-45.01	7 of 8 - Option sale to close-call <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IDEX 07/17/2020 CALL \$4.00 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	06/24/20	55.00	...	-45.00	8 of 8 - Option sale to close-call <sup>25</sup>
07/01/20	8.000	79.95	Various	512.00	...	-432.05	Total of 8 transactions
07/01/20	1.000	10.00	06/24/20	55.00	45.00 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>89.95</b>		<b>567.00</b>	<b>45.00 W</b>	<b>-432.05</b>	
INO 06/26/2020 CALL \$27.00 / CUSIP: / Symbol:							
06/25/20	1.000	264.98	06/25/20	235.00	...	29.98	Option sale to close-call <sup>25</sup>
IVR 10/16/2020 CALL \$5.00 / CUSIP: / Symbol:							
4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/10/20	185.00	...	-185.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/29/20	45.00	...	-45.00	2 of 4 - Option expiration- long call
	1.000	0.00	08/06/20	9.00	...	-9.00	3 of 4 - Option expiration- long call
	1.000	0.00	08/06/20	9.00	...	-9.00	4 of 4 - Option expiration- long call
10/16/20	4.000	0.00	Various	248.00	...	-248.00	Total of 4 transactions
IWM 08/07/2020 PUT \$142.00 / CUSIP: / Symbol:							
07/24/20	1.000	232.98	07/23/20	142.00	...	90.98	Option sale to close-put <sup>25</sup>
IWM 08/21/2020 PUT \$133.00 / CUSIP: / Symbol:							
07/02/20	1.000	334.98	06/29/20	580.00	...	-245.02	Option sale to close-put <sup>25</sup>
IWM 08/21/2020 PUT \$145.00 / CUSIP: / Symbol:							
07/30/20	1.000	337.98	07/29/20	272.00	...	65.98	Option sale to close-put <sup>25</sup>
JD 02/19/2021 CALL \$85.00 / CUSIP: / Symbol:							
12/28/20	1.000	464.97	12/24/20	590.00	...	-125.03	Option sale to close-call <sup>25</sup>
JNJ 07/17/2020 CALL \$165.00 / CUSIP: / Symbol:							
06/08/20	1.000	28.98	06/05/20	39.00	10.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	06/19/20	36.00	...	-26.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	4.99	06/19/20	28.02	...	-23.03	2 of 2 - Option sale to close-call <sup>25</sup>
07/15/20	3.000	14.98	Various	64.02	...	-49.04	Total of 2 transactions
	<b>Security total:</b>	<b>43.96</b>		<b>103.02</b>	<b>10.02 W</b>	<b>-49.04</b>	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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JNJ 07/24/2020 CALL \$145.00 / CUSIP: / Symbol:							
07/14/20	1.000	369.98	07/13/20	249.00	...	120.98	Option sale to close-call <sup>25</sup>
JPM 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
12/22/20	1.000	106.98	12/21/20	186.00	79.02 W	0.00	Option sale to close-call <sup>25</sup>
12/24/20	1.000	125.98	12/21/20	228.02	...	-102.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>232.96</b>		<b>414.02</b>	<b>79.02 W</b>	<b>-102.04</b>	
JPM 07/10/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/25/20	1.000	209.98	06/24/20	166.00	...	43.98	Option sale to close-call <sup>25</sup>
LITE 09/18/2020 CALL \$95.00 / CUSIP: / Symbol:							
06/26/20	1.000	198.98	06/19/20	185.00	...	13.98	Option sale to close-call <sup>25</sup>
LMT 08/21/2020 CALL \$410.00 / CUSIP: / Symbol:							
07/13/20	1.000	124.98	07/10/20	85.00	...	39.98	Option sale to close-call <sup>25</sup>
LPSN 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
08/24/20	1.000	59.98	08/18/20	140.00	...	-80.02	Option sale to close-call <sup>25</sup>
LULU 08/21/2020 PUT \$335.00 / CUSIP: / Symbol:							
08/12/20	1.000	359.98	08/10/20	475.00	...	-115.02	Option sale to close-put <sup>25</sup>
LULU 09/25/2020 CALL \$310.00 / CUSIP: / Symbol:							
09/22/20	1.000	255.98	09/22/20	320.00	...	-64.02	Option sale to close-call <sup>25</sup>
LUV 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/18/20	1.000	324.98	05/15/20	269.00	...	55.98	Option sale to close-call <sup>25</sup>
LUV 12/18/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/12/20	2.000	249.98	06/10/20	246.00	...	3.98	Option sale to close-call <sup>25</sup>
M 04/24/2020 CALL \$6.00 / CUSIP: / Symbol:							
04/24/20	1.000	0.00	04/17/20	38.00	...	-38.00	Option expiration- long call
M 07/02/2020 PUT \$6.00 / CUSIP: / Symbol:							
06/29/20	1.000	29.98	06/25/20	22.00	...	7.98	Option sale to close-put <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

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M 09/04/2020 PUT \$6.50 / CUSIP: / Symbol:							
09/02/20	1.000	5.98	08/31/20	24.00	...	-18.02	Option sale to close-put <sup>25</sup>
MCD 05/29/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/21/20	1.000	257.98	05/20/20	198.00	...	59.98	Option sale to close-call <sup>25</sup>
MGNI 01/15/2021 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	253.99	12/22/20	150.00	...	103.99	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	253.98	12/28/20	310.00	...	-56.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/28/20	2.000	507.97	Various	460.00	...	47.97	Total of 2 transactions
MGNI 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/29/20	2.000	199.98	12/28/20	260.00	...	-60.02	Option sale to close-call <sup>25</sup>
MGNI 06/18/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/28/20	1.000	839.97	12/18/20	370.00	...	469.97	Option sale to close-call <sup>25</sup>
MLCO 09/18/2020 CALL \$16.00 / CUSIP: / Symbol:							
07/28/20	1.000	155.98	07/27/20	145.00	...	10.98	Option sale to close-call <sup>25</sup>
MSFT 06/05/2020 CALL \$192.50 / CUSIP: / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	210.99	04/30/20	200.00	...	10.99	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	421.98	04/30/20	320.00	...	101.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/05/20	3.000	632.97	Various	520.00	...	112.97	Total of 2 transactions
MSFT 07/02/2020 CALL \$197.50 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	146.99	05/21/20	218.00	...	-71.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	146.99	06/05/20	90.00	...	56.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/20	2.000	293.98	Various	308.00	...	-14.02	Total of 2 transactions

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/14/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	55.99	08/03/20	96.00	...	-40.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	55.99	08/03/20	87.00	...	-31.01	2 of 2 - Option sale to close-call <sup>25</sup>
08/04/20	2.000	111.98	Various	183.00	...	-71.02	Total of 2 transactions
MSFT 08/21/2020 CALL \$210.00 / CUSIP: / Symbol:							
08/03/20	1.000	799.97	07/31/20	298.00	...	501.97	Option sale to close-call <sup>25</sup>
MSFT 09/04/2020 CALL \$250.00 / CUSIP: / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	4.00	08/27/20	262.00	...	-258.00	1 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4.00	08/27/20	148.00	...	-144.00	2 of 5 - Option sale to close-call <sup>25</sup>
	1.000	4.00	08/28/20	90.00	...	-86.00	3 of 5 - Option sale to close-call <sup>25</sup>
	1.000	3.99	08/28/20	76.00	...	-72.01	4 of 5 - Option sale to close-call <sup>25</sup>
	2.000	7.99	08/31/20	66.00	...	-58.01	5 of 5 - Option sale to close-call <sup>25</sup>
09/03/20	6.000	23.98	Various	642.00	...	-618.02	Total of 5 transactions
MSFT 09/18/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/27/20	1.000	1,199.96	08/25/20	315.00	...	884.96	Option sale to close-call <sup>25</sup>
MSFT 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/02/20	223.00	...	-223.00	Option expiration- long call
MSFT 10/09/2020 CALL \$210.00 / CUSIP: / Symbol:							
09/29/20	1.000	324.98	09/29/20	385.00	60.02 W	0.00	Option sale to close-call <sup>25</sup>
10/09/20	1.000	245.98	10/02/20	328.02	...	-82.04	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>570.96</b>		<b>713.02</b>	<b>60.02 W</b>	<b>-82.04</b>	
MU 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/05/20	1.000	127.98	06/03/20	45.00	...	82.98	Option sale to close-call <sup>25</sup>
MU 07/17/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/08/20	1.000	42.98	06/05/20	46.00	...	-3.02	Option sale to close-call <sup>25</sup>
MU 09/18/2020 CALL \$47.50 / CUSIP: / Symbol:							
08/24/20	1.000	48.98	08/20/20	52.00	...	-3.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NBRV 10/16/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/29/20	7.00	...	-7.00	1 of 2 - Option expiration- long call
	10.000	0.00	07/30/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
10/16/20	11.000	0.00	Various	57.00	...	-57.00	Total of 2 transactions
NFLX 07/17/2020 CALL \$550.00 / CUSIP: / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	1,709.95	07/14/20	1,290.00	...	419.95	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	1,709.96	07/14/20	1,123.00	...	586.96	2 of 2 - Option sale to close-call <sup>25</sup>
07/14/20	2.000	3,419.91	Various	2,413.00	...	1,006.91	Total of 2 transactions
07/17/20	1.000	14.98	07/15/20	1,140.00	...	-1,125.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,434.89</b>		<b>3,553.00</b>	...	<b>-118.11</b>	
NFLX 07/24/2020 CALL \$590.00 / CUSIP: / Symbol:							
07/17/20	1.000	136.98	07/16/20	1,131.00	...	-994.02	Option sale to close-call <sup>25</sup>
NFLX 07/24/2020 CALL \$600.00 / CUSIP: / Symbol:							
07/20/20	1.000	864.96	07/17/20	0.00	...	864.96	Short sale closed- call <sup>25</sup> Option written: 07/16/20
NFLX 09/25/2020 CALL \$510.00 / CUSIP: / Symbol:							
09/21/20	1.000	349.98	09/21/20	256.00	...	93.98	Option sale to close-call <sup>25</sup>
NIO 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
08/03/20	1.000	409.98	07/30/20	375.00	...	34.98	Option sale to close-call <sup>25</sup>
NIO 01/15/2021 CALL \$18.00 / CUSIP: / Symbol:							
08/04/20	1.000	-19.02	08/03/20	0.00	...	-19.02	Short sale closed- call <sup>25</sup> Option written: 07/30/20
NIO 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
07/31/20	1.000	184.98	07/30/20	218.00	...	-33.02	Option sale to close-call <sup>25</sup>
NKLA 08/07/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/05/20	1.000	19.98	08/04/20	280.00	...	-260.02	Option sale to close-call <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NKLA 12/31/2020 CALL \$16.50 / CUSIP: / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/30/20	15.00	...	-15.00	1 of 2 - Option expiration- long call
	1.000	0.00	12/30/20	15.00	...	-15.00	2 of 2 - Option expiration- long call
12/31/20	2.000	0.00	Various	30.00	...	-30.00	Total of 2 transactions
NOC 06/19/2020 CALL \$365.00 / CUSIP: / Symbol:							
05/28/20	1.000	184.98	05/27/20	90.00	...	94.98	Option sale to close-call <sup>25</sup>
NOK 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
05/20/20	5.000	194.98	05/18/20	190.00	...	4.98	Option sale to close-call <sup>25</sup>
NTNX 06/05/2020 CALL \$25.00 / CUSIP: / Symbol:							
05/29/20	2.000	159.98	05/28/20	130.00	...	29.98	Option sale to close-call <sup>25</sup>
NTNX 07/10/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/08/20	1.000	194.98	05/29/20	190.00	...	4.98	Option sale to close-call <sup>25</sup>
NVDA 06/19/2020 CALL \$405.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	19.98	06/12/20	450.02	...	-430.04	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	19.99	06/12/20	227.02	...	-207.03	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	39.99	06/12/20	90.00	...	-50.01	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/20	4.000	79.96	Various	767.04	...	-687.08	Total of 3 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	06/10/20	380.00	360.01 W	0.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	06/11/20	157.00	137.01 W	0.00	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	06/11/20	425.01	405.02 W	0.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	19.99	06/11/20	202.01	182.02 W	0.00	4 of 4 - Option sale to close-call <sup>25</sup>
06/16/20	4.000	79.96	Various	1,164.02	1,084.06 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>159.92</b>		<b>1,931.06</b>	<b>1,084.06 W</b>	<b>-687.08</b>	
NVDA 07/24/2020 CALL \$420.00 / CUSIP: / Symbol:							
07/17/20	1.000	474.97	07/17/20	510.00	35.03 W	0.00	Option sale to close-call <sup>25</sup>
07/20/20	1.000	814.97	07/17/20	495.03	...	319.94	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,289.94</b>		<b>1,005.03</b>	<b>35.03 W</b>	<b>319.94</b>	

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Robinhood Securities LLC

Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NVDA 08/07/2020 PUT \$390.00 / CUSIP: / Symbol:							
07/29/20	1.000	248.98	07/28/20	370.00	...	-121.02	Option sale to close-put <sup>25</sup>
NVDA 08/21/2020 CALL \$455.00 / CUSIP: / Symbol:							
08/05/20	1.000	1,829.94	08/03/20	1,638.00	...	191.94	Option sale to close-call <sup>25</sup>
NVDA 08/21/2020 CALL \$475.00 / CUSIP: / Symbol:							
08/06/20	1.000	-90.04	08/05/20	0.00	...	-90.04	Short sale closed- call <sup>25</sup> Option written: 08/03/20
NVDA 09/04/2020 CALL \$550.00 / CUSIP: / Symbol:							
09/01/20	1.000	1,059.96	08/31/20	910.00	...	149.96	Option sale to close-call <sup>25</sup>
		<i>2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	0.00	09/03/20	234.00	...	-234.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/03/20	231.00	...	-231.00	2 of 2 - Option expiration- long call
09/04/20	2.000	0.00	Various	465.00	...	-465.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,059.96</b>		<b>1,375.00</b>	...	<b>-315.04</b>	
OPK 09/18/2020 CALL \$7.00 / CUSIP: / Symbol:							
07/22/20	1.000	91.98	07/21/20	151.00	...	-59.02	Option sale to close-call <sup>25</sup>
ORCL 06/19/2020 PUT \$52.00 / CUSIP: / Symbol:							
06/16/20	1.000	64.98	06/15/20	101.00	36.02 W	0.00	Option sale to close-put <sup>25</sup>
		<i>2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>					
	1.000	71.99	06/16/20	87.02	...	-15.03	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	71.99	06/17/20	32.00	...	39.99	2 of 2 - Option sale to close-put <sup>25</sup>
06/17/20	2.000	143.98	Various	119.02	...	24.96	Total of 2 transactions
	<b>Security total:</b>	<b>208.96</b>		<b>220.02</b>	<b>36.02 W</b>	<b>24.96</b>	
OVV 07/17/2020 CALL \$11.00 / CUSIP: / Symbol:							
06/19/20	2.000	269.98	06/18/20	230.00	...	39.98	Option sale to close-call <sup>25</sup>
OXY 07/17/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/05/20	1.000	216.98	06/02/20	62.00	...	154.98	Option sale to close-call <sup>25</sup>
OXY 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/08/20	1.000	167.98	06/05/20	83.00	...	84.98	Option sale to close-call <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OXY2 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	07/01/20	41.00	...	-40.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.98	07/01/20	41.00	...	-40.02	2 of 2 - Option sale to close-call <sup>25</sup>
07/02/20	2.000	1.96	Various	82.00	...	-80.04	Total of 2 transactions
PDD 07/31/2020 CALL \$93.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	5.99	07/22/20	62.02	...	-56.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	5.99	07/22/20	34.00	...	-28.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/31/20	2.000	11.98	Various	96.02	...	-84.04	Total of 2 transactions
07/31/20	1.000	5.98	07/22/20	34.00	28.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>17.96</b>		<b>130.02</b>	<b>28.02 W</b>	<b>-84.04</b>	
PEP 09/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
08/18/20	1.000	43.98	08/12/20	66.00	...	-22.02	Option sale to close-call <sup>25</sup>
PINS 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
05/18/20	1.000	329.98	05/15/20	290.00	...	39.98	Option sale to close-call <sup>25</sup>
PINS 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/31/20	1.000	789.97	07/30/20	220.00	...	569.97	Option sale to close-call <sup>25</sup>
PLAY 05/01/2020 CALL \$12.00 / CUSIP: / Symbol:							
04/27/20	1.000	114.98	04/24/20	77.00	...	37.98	Option sale to close-call <sup>25</sup>
PLNT 08/21/2020 PUT \$65.00 / CUSIP: / Symbol:							
06/18/20	1.000	679.97	06/17/20	648.00	...	31.97	Option sale to close-put <sup>25</sup>
PLUG 06/19/2020 CALL \$4.50 / CUSIP: / Symbol:							
06/03/20	1.000	12.98	06/02/20	14.00	...	-1.02	Option sale to close-call <sup>25</sup>
PRTY 06/19/2020 PUT \$0.50 / CUSIP: / Symbol:							
05/27/20	1.000	4.98	05/22/20	10.00	...	-5.02	Option sale to close-put <sup>25</sup>
PRTY 07/17/2020 CALL \$1.50 / CUSIP: / Symbol:							
06/04/20	1.000	39.98	06/03/20	35.00	...	4.98	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PRTY 10/16/2020 CALL \$1.00 / CUSIP: / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	74.99	05/22/20	29.00	...	45.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	74.99	05/26/20	35.00	...	39.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	149.98	05/26/20	70.00	...	79.98	3 of 3 - Option sale to close-call <sup>25</sup>
05/29/20	4.000	299.96	Various	134.00	...	165.96	Total of 3 transactions
PTON 05/15/2020 CALL \$32.00 / CUSIP: / Symbol:							
04/27/20	1.000	414.98	04/24/20	345.00	...	69.98	Option sale to close-call <sup>25</sup>
PYPL 07/31/2020 CALL \$182.50 / CUSIP: / Symbol:							
07/29/20	1.000	444.98	07/27/20	315.00	...	129.98	Option sale to close-call <sup>25</sup>
PYPL 08/21/2020 CALL \$180.00 / CUSIP: / Symbol:							
07/30/20	1.000	1,884.94	07/29/20	784.00	...	1,100.94	Option sale to close-call <sup>25</sup>
PYPL 08/21/2020 CALL \$192.50 / CUSIP: / Symbol:							
07/31/20	1.000	-638.02	07/30/20	0.00	...	-638.02	Short sale closed- call <sup>25</sup> Option written: 07/29/20
PYPL 09/18/2020 CALL \$220.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	189.98	08/24/20	130.00	...	59.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.98	08/24/20	128.00	...	61.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/28/20	2.000	379.96	Various	258.00	...	121.96	Total of 2 transactions
QCOM 07/31/2020 CALL \$105.00 / CUSIP: / Symbol:							
07/30/20	1.000	200.98	07/30/20	151.00	...	49.98	Option sale to close-call <sup>25</sup>
QCOM 10/30/2020 CALL \$131.00 / CUSIP: / Symbol:							
10/12/20	1.000	173.98	10/09/20	159.00	...	14.98	Option sale to close-call <sup>25</sup>
QQQ 07/10/2020 PUT \$235.00 / CUSIP: / Symbol:							
06/24/20	1.000	271.98	06/23/20	202.00	...	69.98	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 09/18/2020 PUT \$165.00 / CUSIP: / Symbol:							
7 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	27.98	09/18/20	0.00	...	27.98	1 of 7 - Short sale closed- put expired Option written: 07/28/20
	10.000	29.97	09/18/20	0.00	...	29.97	2 of 7 - Short sale closed- put expired Option written: 08/24/20
	2.000	49.98	09/18/20	0.00	...	49.98	3 of 7 - Short sale closed- put expired Option written: 07/29/20
	2.000	55.98	09/18/20	0.00	...	55.98	4 of 7 - Short sale closed- put expired Option written: 07/28/20
	2.000	55.98	09/18/20	0.00	...	55.98	5 of 7 - Short sale closed- put expired Option written: 07/28/20
	10.000	59.97	09/18/20	0.00	...	59.97	6 of 7 - Short sale closed- put expired Option written: 08/18/20
	2.000	83.98	09/18/20	0.00	...	83.98	7 of 7 - Short sale closed- put expired Option written: 07/13/20
09/18/20	29.000	363.84	Various	0.00	...	363.84	Total of 7 transactions
QQQ 09/18/2020 PUT \$170.00 / CUSIP: / Symbol:							
8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/13/20	104.00	...	-104.00	1 of 8 - Option expiration- long put
	2.000	0.00	07/28/20	68.00	...	-68.00	2 of 8 - Option expiration- long put
	2.000	0.00	07/28/20	68.00	...	-68.00	3 of 8 - Option expiration- long put
	1.000	0.00	07/28/20	34.00	...	-34.00	4 of 8 - Option expiration- long put
	2.000	0.00	07/29/20	60.00	...	-60.00	5 of 8 - Option expiration- long put
	7.000	0.00	08/18/20	56.00	...	-56.00	6 of 8 - Option expiration- long put
	3.000	0.00	08/18/20	24.00	...	-24.00	7 of 8 - Option expiration- long put
	10.000	0.00	08/24/20	50.00	...	-50.00	8 of 8 - Option expiration- long put
09/18/20	29.000	0.00	Various	464.00	...	-464.00	Total of 8 transactions

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 09/18/2020 PUT \$198.00 / CUSIP: / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	220.98	09/18/20	0.00	...	220.98	1 of 3 - Short sale closed- put expired
	1.000	223.98	09/18/20	0.00	...	223.98	Option written: 07/01/20 2 of 3 - Short sale closed- put expired
	1.000	223.98	09/18/20	0.00	...	223.98	Option written: 07/01/20 3 of 3 - Short sale closed- put expired
09/18/20	3.000	668.94	Various	0.00	...	668.94	Option written: 07/01/20 Total of 3 transactions
QQQ 09/18/2020 PUT \$200.00 / CUSIP: / Symbol:							
4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/01/20	242.00	...	-242.00	1 of 4 - Option expiration- long put
	1.000	0.00	07/01/20	242.00	...	-242.00	2 of 4 - Option expiration- long put
	1.000	0.00	07/01/20	239.00	...	-239.00	3 of 4 - Option expiration- long put
	2.000	0.00	07/29/20	176.00	...	-176.00	4 of 4 - Option expiration- long put
09/18/20	5.000	0.00	Various	899.00	...	-899.00	Total of 4 transactions
QQQ 12/18/2020 PUT \$130.00 / CUSIP: / Symbol:							
06/12/20	1.000	182.98	05/19/20	158.00	...	24.98	Option sale to close-put <sup>25</sup>
06/19/20	3.000	332.98	06/16/20	348.00	...	-15.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>515.96</b>		<b>506.00</b>	...	<b>9.96</b>	
RCL 09/11/2020 CALL \$75.00 / CUSIP: / Symbol:							
09/08/20	1.000	154.98	09/01/20	110.00	...	44.98	Option sale to close-call <sup>25</sup>
ROKU 04/24/2020 CALL \$110.00 / CUSIP: / Symbol:							
04/14/20	1.000	339.98	04/13/20	94.00	...	245.98	Option sale to close-call <sup>25</sup>
ROKU 05/29/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/20/20	1.000	227.98	05/19/20	211.00	...	16.98	Option sale to close-call <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROKU 06/12/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/08/20	1.000	88.98	06/02/20	160.00	71.02 W	0.00	Option sale to close-call <sup>25</sup>
06/09/20	1.000	114.98	06/05/20	110.02	...	4.96	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>203.96</b>		<b>270.02</b>	<b>71.02 W</b>	<b>4.96</b>	
ROKU 07/17/2020 CALL \$133.00 / CUSIP: / Symbol:							
07/06/20	1.000	677.97	07/02/20	725.00	...	-47.03	Option sale to close-call <sup>25</sup>
ROKU 07/17/2020 CALL \$135.00 / CUSIP: / Symbol:							
07/07/20	1.000	44.97	07/06/20	0.00	...	44.97	Short sale closed- call <sup>25</sup> Option written: 07/02/20
ROKU 07/17/2020 CALL \$150.00 / CUSIP: / Symbol:							
06/09/20	1.000	132.98	06/08/20	133.00	...	-0.02	Option sale to close-call <sup>25</sup>
ROKU 07/17/2020 CALL \$170.00 / CUSIP: / Symbol:							
07/15/20	1.000	66.98	07/14/20	104.00	...	-37.02	Option sale to close-call <sup>25</sup>
ROKU 07/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
06/17/20	1.000	39.98	06/16/20	75.00	35.02 W	0.00	Option sale to close-call <sup>25</sup>
	<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	64.99	06/17/20	86.02	...	-21.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	129.99	06/17/20	102.00	...	27.99	2 of 2 - Option sale to close-call <sup>25</sup>
06/18/20	3.000	194.98	Various	188.02	...	6.96	Total of 2 transactions
	<b>Security total:</b>	<b>234.96</b>		<b>263.02</b>	<b>35.02 W</b>	<b>6.96</b>	
ROKU 08/07/2020 CALL \$180.00 / CUSIP: / Symbol:							
08/05/20	1.000	509.97	08/04/20	508.00	...	1.97	Option sale to close-call <sup>25</sup>
ROKU 08/21/2020 CALL \$150.00 / CUSIP: / Symbol:							
06/08/20	1.000	394.98	06/08/20	284.00	...	110.98	Option sale to close-call <sup>25</sup>
ROKU 09/04/2020 PUT \$160.00 / CUSIP: / Symbol:							
09/04/20	1.000	184.98	09/03/20	79.00	...	105.98	Option sale to close-put <sup>25</sup>
ROKU 09/18/2020 CALL \$155.00 / CUSIP: / Symbol:							
07/29/20	1.000	1,577.95	07/21/20	1,949.00	...	-371.05	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 09/18/2020 CALL \$170.00 / CUSIP: / Symbol:							
07/30/20	1.000	313.95	07/29/20	0.00	...	313.95	Short sale closed- call <sup>25</sup> Option written: 07/21/20
RRGB 09/18/2020 CALL \$12.50 / CUSIP: / Symbol:							
09/01/20	1.000	99.98	08/28/20	50.00	...	49.98	Option sale to close-call <sup>25</sup>
RTX 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/08/20	166.00	...	-166.00	1 of 5 - Option expiration- long call
	1.000	0.00	06/12/20	60.00	...	-60.00	2 of 5 - Option expiration- long call
	3.000	0.00	06/23/20	39.00	...	-39.00	3 of 5 - Option expiration- long call
	2.000	0.00	06/23/20	26.00	...	-26.00	4 of 5 - Option expiration- long call
	3.000	0.00	07/07/20	9.00	...	-9.00	5 of 5 - Option expiration- long call
07/17/20	10.000	0.00	Various	300.00	...	-300.00	Total of 5 transactions
SBAC 07/17/2020 CALL \$350.00 / CUSIP: / Symbol:							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/19/20	90.00	...	-90.00	1 of 2 - Option expiration- long call
	2.000	0.00	07/01/20	42.00	...	-42.00	2 of 2 - Option expiration- long call
07/17/20	3.000	0.00	Various	132.00	...	-132.00	Total of 2 transactions
SDRL 01/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
06/08/20	1.000	54.98	06/02/20	15.00	...	39.98	Option sale to close-call <sup>25</sup>
SEAS 07/17/2020 PUT \$14.00 / CUSIP: / Symbol:							
07/02/20	1.000	34.98	06/30/20	90.00	...	-55.02	Option sale to close-put <sup>25</sup>
SHAK 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/05/20	1.000	174.98	06/04/20	45.00	...	129.98	Option sale to close-call <sup>25</sup>
SHW 07/17/2020 CALL \$640.00 / CUSIP: / Symbol:							
06/09/20	1.000	349.98	06/08/20	291.00	...	58.98	Option sale to close-call <sup>25</sup>
SLV 03/31/2021 PUT \$17.50 / CUSIP: / Symbol:							
07/28/20	1.000	114.98	07/23/20	115.00	...	-0.02	Option sale to close-put <sup>25</sup>

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SLV 09/18/2020 PUT \$20.00 / CUSIP: / Symbol:							
<i>10 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	0.00	07/24/20	368.00	...	-368.00	1 of 10 - Option expiration- long put
	5.000	0.00	08/11/20	100.00	...	-100.00	2 of 10 - Option expiration- long put
	1.000	0.00	08/18/20	13.00	...	-13.00	3 of 10 - Option expiration- long put
	4.000	0.00	08/27/20	24.00	...	-24.00	4 of 10 - Option expiration- long put
	4.000	0.00	08/27/20	24.00	...	-24.00	5 of 10 - Option expiration- long put
	3.000	0.00	08/27/20	18.00	...	-18.00	6 of 10 - Option expiration- long put
	1.000	0.00	08/27/20	6.00	...	-6.00	7 of 10 - Option expiration- long put
	1.000	0.00	08/27/20	6.00	...	-6.00	8 of 10 - Option expiration- long put
	1.000	0.00	08/27/20	6.00	...	-6.00	9 of 10 - Option expiration- long put
	1.000	0.00	08/27/20	6.00	...	-6.00	10 of 10 - Option expiration- long put
09/18/20	25.000	0.00	Various	571.00	...	-571.00	Total of 10 transactions
SNAP 05/08/2020 CALL \$13.50 / CUSIP: / Symbol:							
04/21/20	1.000	101.98	04/20/20	105.00	...	-3.02	Option sale to close-call <sup>25</sup>
SNAP 06/05/2020 CALL \$17.50 / CUSIP: / Symbol:							
<i>2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	47.98	05/27/20	38.00	...	9.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	49.98	05/27/20	38.00	...	11.98	2 of 2 - Option sale to close-call <sup>25</sup>
05/28/20	2.000	97.96	Various	76.00	...	21.96	Total of 2 transactions
SNAP 06/12/2020 CALL \$18.50 / CUSIP: / Symbol:							
05/29/20	4.000	271.98	05/28/20	204.00	...	67.98	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SNAP 07/10/2020 CALL \$26.00 / CUSIP: / Symbol:							
07/08/20	1.000	56.98	07/07/20	43.00	...	13.98	Option sale to close-call <sup>25</sup>
SNAP 09/11/2020 CALL \$24.50 / CUSIP: / Symbol:							
09/11/20	1.000	3.98	09/02/20	38.00	...	-34.02	Option sale to close-call <sup>25</sup>
SNAP 09/18/2020 CALL \$26.00 / CUSIP: / Symbol:							
08/18/20	1.000	22.98	08/14/20	32.00	...	-9.02	Option sale to close-call <sup>25</sup>
SNE 02/19/2021 CALL \$110.00 / CUSIP: / Symbol:							
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	162.99	12/29/20	163.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	162.99	12/29/20	156.00	...	6.99	2 of 2 - Option sale to close-call <sup>25</sup>
12/30/20	2.000	325.98	Various	319.00	...	6.98	Total of 2 transactions
SNE 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/01/20	1.000	224.98	05/29/20	145.00	...	79.98	Option sale to close-call <sup>25</sup>
SNE 06/19/2020 CALL \$75.00 / CUSIP: / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/11/20	65.00	...	-65.00	1 of 4 - Option expiration- long call
	1.000	0.00	06/12/20	10.00	...	-10.00	2 of 4 - Option expiration- long call
	2.000	0.00	06/16/20	10.00	...	-10.00	3 of 4 - Option expiration- long call
	4.000	0.00	06/17/20	16.00	...	-16.00	4 of 4 - Option expiration- long call
06/19/20	8.000	0.00	Various	101.00	...	-101.00	Total of 4 transactions
SNOW 01/15/2021 CALL \$330.00 / CUSIP: / Symbol:							
12/30/20	1.000	869.97	12/29/20	860.00	...	9.97	Option sale to close-call <sup>25</sup>
SNOW 10/09/2020 PUT \$195.00 / CUSIP: / Symbol:							
10/09/20	1.000	0.00	10/02/20	55.00	...	-55.00	Option expiration- long put
SNPS 09/18/2020 CALL \$210.00 / CUSIP: / Symbol:							
06/11/20	1.000	429.98	06/10/20	430.00	...	-0.02	Option sale to close-call <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

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SOLO 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	69.99	06/24/20	60.00	...	9.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	34.98	06/25/20	30.00	...	4.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	139.99	06/25/20	120.00	...	19.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/29/20	7.000	244.96	Various	210.00	...	34.96	Total of 3 transactions
SOLO 12/18/2020 CALL \$7.50 / CUSIP: / Symbol:							
06/30/20	3.000	119.98	06/24/20	105.00	...	14.98	Option sale to close-call <sup>25</sup>
SPCE 04/24/2020 CALL \$18.50 / CUSIP: / Symbol:							
04/15/20	1.000	349.98	04/14/20	223.00	...	126.98	Option sale to close-call <sup>25</sup>
SPCE 05/01/2020 CALL \$21.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/27/20	52.00	...	-52.00	Option expiration- long call
SPCE 05/15/2020 CALL \$18.50 / CUSIP: / Symbol:							
04/23/20	1.000	243.98	04/21/20	241.00	...	2.98	Option sale to close-call <sup>25</sup>
SPCE 05/29/2020 CALL \$16.00 / CUSIP: / Symbol:							
05/22/20	1.000	74.98	05/20/20	70.00	...	4.98	Option sale to close-call <sup>25</sup>
SPCE 06/05/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/01/20	90.00	...	-90.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/03/20	27.00	...	-27.00	2 of 2 - Option expiration- long call
06/05/20	2.000	0.00	Various	117.00	...	-117.00	Total of 2 transactions
SPOT 06/12/2020 CALL \$202.50 / CUSIP: / Symbol:							
06/11/20	1.000	34.98	06/10/20	146.00	...	-111.02	Option sale to close-call <sup>25</sup>
SPOT 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
06/11/20	1.000	39.98	06/10/20	80.00	...	-40.02	Option sale to close-call <sup>25</sup>
SPWR 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
07/15/20	1.000	141.98	07/14/20	139.00	...	2.98	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 05/13/2020 PUT \$290.00 / CUSIP: / Symbol: 05/12/20	1.000	139.98	05/11/20	93.00	...	46.98	Option sale to close-put <sup>25</sup>
SPY 05/13/2020 PUT \$294.00 / CUSIP: / Symbol: 05/13/20	1.000	1,415.95	05/11/20	224.00	...	1,191.95	Option sale to close-put <sup>25</sup>
SPY 05/15/2020 PUT \$270.00 / CUSIP: / Symbol: 05/15/20	3.000	0.00	05/14/20	216.00	...	-216.00	Option expiration- long put
SPY 05/20/2020 CALL \$300.00 / CUSIP: / Symbol: 05/19/20	2.000	61.98	05/18/20	138.00	...	-76.02	Option sale to close-call <sup>25</sup>
SPY 05/22/2020 PUT \$290.00 / CUSIP: / Symbol: 05/21/20	1.000	44.98	05/20/20	55.00	...	-10.02	Option sale to close-put <sup>25</sup>
SPY 05/29/2020 PUT \$277.00 / CUSIP: / Symbol: 05/14/20	2.000	1,107.96	05/12/20	400.00	...	707.96	Option sale to close-put <sup>25</sup>
SPY 06/05/2020 PUT \$309.00 / CUSIP: / Symbol: 06/05/20	1.000	0.00	06/04/20	88.00	...	-88.00	Option expiration- long put
SPY 06/10/2020 PUT \$317.00 / CUSIP: / Symbol: 06/09/20	2.000	189.98	06/08/20	124.00	...	65.98	Option sale to close-put <sup>25</sup>
SPY 06/12/2020 PUT \$300.00 / CUSIP: / Symbol: 06/12/20	1.000	159.98	06/11/20	284.00	...	-124.02	Option sale to close-put <sup>25</sup>
SPY 06/15/2020 PUT \$305.00 / CUSIP: / Symbol: 06/03/20	1.000	249.98	06/03/20	260.00	...	-10.02	Option sale to close-put <sup>25</sup>
SPY 06/19/2020 PUT \$295.00 / CUSIP: / Symbol: 06/03/20	1.000	181.98	05/27/20	627.00	...	-445.02	Option sale to close-put <sup>25</sup>
SPY 06/24/2020 CALL \$317.00 / CUSIP: / Symbol: 06/23/20	2.000	133.98	06/22/20	72.00	...	61.98	Option sale to close-call <sup>25</sup>
SPY 06/29/2020 PUT \$292.00 / CUSIP: / Symbol: 06/29/20	1.000	0.00	06/25/20	93.00	...	-93.00	Option expiration- long put

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/30/2020 PUT \$305.00 / CUSIP: / Symbol:							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	343.98	06/23/20	206.00	...	137.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	629.97	06/24/20	341.00	...	288.97	2 of 2 - Option sale to close-put <sup>25</sup>
06/24/20	2.000	973.95	Various	547.00	...	426.95	Total of 2 transactions
SPY 07/02/2020 PUT \$307.00 / CUSIP: / Symbol:							
07/01/20	1.000	128.98	07/01/20	98.00	...	30.98	Option sale to close-put <sup>25</sup>
SPY 07/17/2020 PUT \$200.00 / CUSIP: / Symbol:							
04/20/20	1.000	231.98	04/17/20	245.00	...	-13.02	Option sale to close-put <sup>25</sup>
SPY 07/17/2020 PUT \$300.00 / CUSIP: / Symbol:							
06/08/20	1.000	380.98	06/05/20	402.00	...	-21.02	Option sale to close-put <sup>25</sup>
SPY 07/24/2020 CALL \$330.00 / CUSIP: / Symbol:							
07/23/20	1.000	18.98	07/15/20	128.00	...	-109.02	Option sale to close-call <sup>25</sup>
SPY 07/29/2020 PUT \$320.00 / CUSIP: / Symbol:							
07/24/20	1.000	320.98	07/23/20	238.00	...	82.98	Option sale to close-put <sup>25</sup>
SPY 08/05/2020 PUT \$315.00 / CUSIP: / Symbol:							
07/30/20	1.000	181.98	07/28/20	203.00	...	-21.02	Option sale to close-put <sup>25</sup>
SPY 08/21/2020 PUT \$310.00 / CUSIP: / Symbol:							
9 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	4.98	08/21/20	0.00	...	4.98	1 of 9 - Short sale closed- put expired
	1.000	4.98	08/21/20	0.00	...	4.98	Option written: 08/17/20
	1.000	4.98	08/21/20	0.00	...	4.98	2 of 9 - Short sale closed- put expired
	1.000	4.98	08/21/20	0.00	...	4.98	Option written: 08/17/20
	1.000	4.98	08/21/20	0.00	...	4.98	3 of 9 - Short sale closed- put expired
	1.000	4.98	08/21/20	0.00	...	4.98	Option written: 08/17/20

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 08/21/2020 PUT \$310.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.98	08/21/20	0.00	...	4.98	4 of 9 - Short sale closed- put expired
	1.000	4.98	08/21/20	0.00	...	4.98	Option written: 08/18/20 5 of 9 - Short sale closed- put expired
	3.000	14.98	08/21/20	0.00	...	14.98	Option written: 08/18/20 6 of 9 - Short sale closed- put expired
	3.000	14.98	08/21/20	0.00	...	14.98	Option written: 08/17/20 7 of 9 - Short sale closed- put expired
	1.000	65.98	08/21/20	0.00	...	65.98	Option written: 08/18/20 8 of 9 - Short sale closed- put expired
	1.000	378.98	08/21/20	0.00	...	378.98	Option written: 08/11/20 9 of 9 - Short sale closed- put expired
08/21/20	13.000	499.82	Various	0.00	...	499.82	Option written: 07/30/20 Total of 9 transactions
SPY 08/21/2020 PUT \$320.00 / CUSIP: / Symbol:							
9 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/30/20	661.00	...	-661.00	1 of 9 - Option expiration- long put
	1.000	0.00	08/11/20	139.00	...	-139.00	2 of 9 - Option expiration- long put
	3.000	0.00	08/17/20	30.00	...	-30.00	3 of 9 - Option expiration- long put
	1.000	0.00	08/17/20	10.00	...	-10.00	4 of 9 - Option expiration- long put
	1.000	0.00	08/17/20	10.00	...	-10.00	5 of 9 - Option expiration- long put
	1.000	0.00	08/17/20	10.00	...	-10.00	6 of 9 - Option expiration- long put
	3.000	0.00	08/18/20	33.00	...	-33.00	7 of 9 - Option expiration- long put
	1.000	0.00	08/18/20	11.00	...	-11.00	8 of 9 - Option expiration- long put
	1.000	0.00	08/18/20	11.00	...	-11.00	9 of 9 - Option expiration- long put
08/21/20	13.000	0.00	Various	915.00	...	-915.00	Total of 9 transactions
SPY 08/31/2020 PUT \$337.00 / CUSIP: / Symbol:							
08/25/20	1.000	62.98	08/24/20	119.00	...	-56.02	Option sale to close-put <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/04/2020 PUT \$345.00 / CUSIP: / Symbol:							
08/31/20	1.000	99.98	08/28/20	238.00	...	-138.02	Option sale to close-put <sup>25</sup>
SPY 09/28/2020 CALL \$330.00 / CUSIP: / Symbol:							
09/28/20	1.000	358.98	09/23/20	120.00	...	238.98	Option sale to close-call <sup>25</sup>
SPY 12/24/2020 PUT \$361.00 / CUSIP: / Symbol:							
12/21/20	1.000	141.98	12/21/20	209.00	...	-67.02	Option sale to close-put <sup>25</sup>
SPY 12/24/2020 PUT \$367.00 / CUSIP: / Symbol:							
<i>2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	428.98	12/18/20	289.00	...	139.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	376.98	12/18/20	170.00	...	206.98	2 of 2 - Option sale to close-put <sup>25</sup>
12/21/20	2.000	805.96	Various	459.00	...	346.96	Total of 2 transactions
SQ 04/17/2020 CALL \$64.00 / CUSIP: / Symbol:							
04/14/20	1.000	99.98	04/13/20	89.00	...	10.98	Option sale to close-call <sup>25</sup>
SQ 04/24/2020 CALL \$62.50 / CUSIP: / Symbol:							
04/17/20	1.000	199.98	04/14/20	278.00	...	-78.02	Option sale to close-call <sup>25</sup>
SQ 06/12/2020 CALL \$100.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	06/03/20	83.00	...	-83.00	1 of 2 - Option expiration- long call
	1.000	0.00	06/08/20	13.00	...	-13.00	2 of 2 - Option expiration- long call
06/12/20	2.000	0.00	Various	96.00	...	-96.00	Total of 2 transactions
SQ 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/16/20	1.000	61.98	06/10/20	73.00	...	-11.02	Option sale to close-call <sup>25</sup>
SRS 05/15/2020 CALL \$22.00 / CUSIP: / Symbol:							
04/20/20	1.000	143.98	04/17/20	137.00	...	6.98	Option sale to close-call <sup>25</sup>
SVMK 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/30/20	1.000	129.98	06/18/20	105.00	...	24.98	Option sale to close-call <sup>25</sup>
SYF 07/17/2020 CALL \$22.00 / CUSIP: / Symbol:							
05/28/20	1.000	179.98	05/27/20	145.00	...	34.98	Option sale to close-call <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TDC 06/19/2020 CALL \$22.50 / CUSIP: / Symbol:							
06/10/20	1.000	249.98	06/10/20	140.00	...	109.98	Option sale to close-call <sup>25</sup>
TECD 09/18/2020 CALL \$145.00 / CUSIP: / Symbol:							
<i>12 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	0.00	05/21/20	160.00	...	-160.00	1 of 12 - Option expiration- long call
	4.000	0.00	06/15/20	60.00	...	-60.00	2 of 12 - Option expiration- long call
	4.000	0.00	06/15/20	60.00	...	-60.00	3 of 12 - Option expiration- long call
	2.000	0.00	06/15/20	30.00	...	-30.00	4 of 12 - Option expiration- long call
	1.000	0.00	06/15/20	15.00	...	-15.00	5 of 12 - Option expiration- long call
	1.000	0.00	06/15/20	15.00	...	-15.00	6 of 12 - Option expiration- long call
	1.000	0.00	06/16/20	10.00	...	-10.00	7 of 12 - Option expiration- long call
	5.000	0.00	06/23/20	25.00	...	-25.00	8 of 12 - Option expiration- long call
	4.000	0.00	06/23/20	20.00	...	-20.00	9 of 12 - Option expiration- long call
	1.000	0.00	06/23/20	5.00	...	-5.00	10 of 12 - Option expiration- long call
	19.000	0.00	06/29/20	95.00	...	-95.00	11 of 12 - Option expiration- long call
	1.000	0.00	06/29/20	5.00	...	-5.00	12 of 12 - Option expiration- long call
07/17/20	45.000	0.00	Various	500.00	...	-500.00	Total of 12 transactions
TECK 04/17/2020 CALL \$8.50 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/16/20	15.00	...	-15.00	Option expiration- long call
TECK 04/24/2020 CALL \$8.50 / CUSIP: / Symbol:							
04/24/20	1.000	0.98	04/16/20	44.00	...	-43.02	Option sale to close-call <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TEVA 06/19/2020 CALL \$11.00 / CUSIP: / Symbol:							
04/23/20	2.000	129.98	04/22/20	122.00	...	7.98	Option sale to close-call <sup>25</sup>
TGT 06/26/2020 CALL \$126.00 / CUSIP: / Symbol:							
06/23/20	1.000	89.98	06/22/20	57.00	...	32.98	Option sale to close-call <sup>25</sup>
TGT 08/21/2020 CALL \$130.00 / CUSIP: / Symbol:							
08/04/20	1.000	414.98	07/31/20	211.00	...	203.98	Option sale to close-call <sup>25</sup>
TLT 09/18/2020 PUT \$161.00 / CUSIP: / Symbol:							
08/17/20	1.000	154.98	08/13/20	196.00	...	-41.02	Option sale to close-put <sup>25</sup>
TMO 05/15/2020 CALL \$370.00 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/06/20	11.00	...	-11.00	Option expiration- long call
TMUS 06/19/2020 CALL \$115.00 / CUSIP: / Symbol:							
	<i>2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	0.99	05/19/20	13.00	...	-12.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	0.99	05/19/20	13.00	...	-12.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/20	2.000	1.98	Various	26.00	...	-24.02	Total of 2 transactions
TRIL 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/25/20	1.000	49.98	06/24/20	95.00	...	-45.02	Option sale to close-call <sup>25</sup>
TSLA 05/22/2020 CALL \$890.00 / CUSIP: / Symbol:							
05/21/20	1.000	119.98	05/21/20	27.00	...	92.98	Option sale to close-call <sup>25</sup>
TSLA 06/05/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
06/02/20	1.000	334.98	06/01/20	130.00	...	204.98	Option sale to close-call <sup>25</sup>
TSLA 06/12/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
06/10/20	1.000	1,799.95	06/09/20	535.00	...	1,264.95	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 07/17/2020 CALL \$1,920.00 / CUSIP: / Symbol:							
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	569.98	07/13/20	6,357.00	...	-5,787.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	569.99	07/13/20	1,955.00	...	-1,385.01	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	569.98	07/14/20	1,455.00	...	-885.02	3 of 3 - Option sale to close-call <sup>25</sup>
07/15/20	3.000	1,709.95	Various	9,767.00	...	-8,057.05	Total of 3 transactions
TSLA 07/17/2020 CALL \$1,980.00 / CUSIP: / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	729.96	07/15/20	0.00	...	729.96	1 of 3 - Short sale closed- call <sup>25</sup>
	1.000	1,164.95	07/15/20	0.00	...	1,164.95	Option written: 07/14/20 2 of 3 - Short sale closed- call <sup>25</sup>
	1.000	4,797.87	07/15/20	0.00	...	4,797.87	Option written: 07/13/20 3 of 3 - Short sale closed- call <sup>25</sup>
07/16/20	3.000	6,692.78	Various	0.00	...	6,692.78	Option written: 07/13/20 Total of 3 transactions
TSLA 07/17/2020 PUT \$1,105.00 / CUSIP: / Symbol:							
07/10/20	1.000	284.97	07/09/20	0.00	...	284.97	Short sale closed- put <sup>25</sup> Option written: 07/08/20
TSLA 07/17/2020 PUT \$1,135.00 / CUSIP: / Symbol:							
07/09/20	1.000	644.97	07/08/20	1,020.00	...	-375.03	Option sale to close-put <sup>25</sup>
TSLA 08/07/2020 CALL \$1,510.00 / CUSIP: / Symbol:							
08/07/20	1.000	604.97	08/06/20	958.00	...	-353.03	Option sale to close-call <sup>25</sup>
TSLA 08/07/2020 CALL \$1,530.00 / CUSIP: / Symbol:							
08/10/20	1.000	217.97	08/07/20	0.00	...	217.97	Short sale closed- call <sup>25</sup> Option written: 08/06/20
TSLA 08/21/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
08/04/20	1.000	809.97	08/03/20	989.00	...	-179.03	Option sale to close-call <sup>25</sup>
TSLA 08/21/2020 CALL \$2,000.00 / CUSIP: / Symbol:							
08/05/20	1.000	115.97	08/04/20	0.00	...	115.97	Short sale closed- call <sup>25</sup> Option written: 08/03/20

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSM 08/14/2020 CALL \$85.00 / CUSIP: / Symbol:							
07/31/20	3.000	182.98	07/30/20	309.00	...	-126.02	Option sale to close-call <sup>25</sup>
TSM 08/14/2020 CALL \$89.00 / CUSIP: / Symbol:							
07/31/20	2.000	61.98	07/30/20	148.00	...	-86.02	Option sale to close-call <sup>25</sup>
TSM 08/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
	<i>13 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	43.99	08/05/20	460.00	...	-416.01	1 of 13 - Option sale to close-call <sup>25</sup>
	2.000	21.99	08/05/20	152.00	...	-130.01	2 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/05/20	115.00	...	-104.00	3 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/05/20	115.00	...	-104.00	4 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/05/20	115.00	...	-104.00	5 of 13 - Option sale to close-call <sup>25</sup>
	6.000	65.98	08/06/20	324.00	...	-258.02	6 of 13 - Option sale to close-call <sup>25</sup>
	4.000	43.99	08/06/20	220.00	...	-176.01	7 of 13 - Option sale to close-call <sup>25</sup>
	1.000	9.98	08/06/20	148.00	...	-138.02	8 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/06/20	55.00	...	-44.00	9 of 13 - Option sale to close-call <sup>25</sup>
	1.000	10.99	08/06/20	44.00	...	-33.01	10 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/06/20	44.00	...	-33.00	11 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/06/20	44.00	...	-33.00	12 of 13 - Option sale to close-call <sup>25</sup>
	1.000	11.00	08/06/20	44.00	...	-33.00	13 of 13 - Option sale to close-call <sup>25</sup>
08/11/20	25.000	273.92	Various	1,880.00	...	-1,606.08	Total of 13 transactions
08/11/20	1.000	11.00	08/05/20	115.00	104.00 W	0.00	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSM 08/21/2020 CALL \$90.00 / CUSIP: / Symbol: (cont'd)							
<b>Security total:</b>		<b>284.92</b>		<b>1,995.00</b>	<b>104.00 W</b>	<b>-1,606.08</b>	
TSN 07/17/2020 CALL \$75.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	55.00	06/08/20	124.00	...	-69.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	54.99	06/12/20	30.00	...	24.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	109.99	06/15/20	50.00	...	59.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/16/20	4.000	219.98	Various	204.00	...	15.98	Total of 3 transactions
TWLO 08/21/2020 CALL \$290.00 / CUSIP: / Symbol:							
07/20/20	1.000	1,364.95	07/15/20	355.00	...	1,009.95	Option sale to close-call <sup>25</sup>
TWTR 07/17/2020 PUT \$25.00 / CUSIP: / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	05/29/20	55.00	0.02 W	0.00	1 of 3 - Option sale to close-put <sup>25</sup>
	3.000	164.99	05/29/20	165.00	0.01 W	0.00	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	54.99	05/29/20	59.02	4.03 W	0.00	3 of 3 - Option sale to close-put <sup>25</sup>
05/29/20	5.000	274.96	Various	279.02	4.06 W	0.00	Total of 3 transactions
06/11/20	8.000	223.98	06/05/20	88.00	...	135.98	Option sale to close-put <sup>25</sup>
7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	3.000	83.99	05/29/20	177.01	93.02 W	0.00	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	28.00	05/29/20	59.00	31.00 W	0.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	28.00	05/29/20	59.03	31.03 W	0.00	3 of 7 - Option sale to close-put <sup>25</sup>
	2.000	55.99	05/29/20	110.00	54.01 W	0.00	4 of 7 - Option sale to close-put <sup>25</sup>
	3.000	83.99	06/01/20	111.00	27.01 W	0.00	5 of 7 - Option sale to close-put <sup>25</sup>
	2.000	55.99	06/01/20	78.00	22.01 W	0.00	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	27.98	06/05/20	42.01	14.03 W	0.00	7 of 7 - Option sale to close-put <sup>25</sup>
06/11/20	13.000	363.94	Various	636.05	272.11 W	0.00	Total of 7 transactions
9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	13.00	06/16/20	11.00	...	2.00	1 of 9 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	11.00	...	2.00	2 of 9 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	11.00	...	2.00	3 of 9 - Option sale to close-put <sup>25</sup>
	5.000	64.98	06/16/20	50.00	...	14.98	4 of 9 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	23.00	...	-10.00	5 of 9 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 07/17/2020 PUT \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	13.00	06/17/20	20.03	...	-7.03	6 of 9 - Option sale to close-put <sup>25</sup>
	5.000	64.98	06/17/20	45.00	...	19.98	7 of 9 - Option sale to close-put <sup>25</sup>
	9.000	116.96	06/17/20	90.00	...	26.96	8 of 9 - Option sale to close-put <sup>25</sup>
	8.000	103.97	06/19/20	48.00	...	55.97	9 of 9 - Option sale to close-put <sup>25</sup>
06/24/20	32.000	415.89	Various	309.03	...	106.86	Total of 9 transactions
	21 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	13.00	06/05/20	42.00	29.00 W	0.00	1 of 21 - Option sale to close-put <sup>25</sup>
	1.000	12.99	06/12/20	68.01	55.02 W	0.00	2 of 21 - Option sale to close-put <sup>25</sup>
	1.000	12.99	06/12/20	68.00	55.01 W	0.00	3 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/12/20	68.03	55.03 W	0.00	4 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/12/20	64.01	51.01 W	0.00	5 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/12/20	64.00	51.00 W	0.00	6 of 21 - Option sale to close-put <sup>25</sup>
	2.000	26.00	06/15/20	54.01	28.01 W	0.00	7 of 21 - Option sale to close-put <sup>25</sup>
	3.000	38.99	06/15/20	75.01	36.02 W	0.00	8 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	39.00	26.00 W	0.00	9 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	65.02	52.02 W	0.00	10 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	65.01	52.01 W	0.00	11 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	65.03	52.03 W	0.00	12 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/16/20	24.03	11.03 W	0.00	13 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	61.01	48.01 W	0.00	14 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	61.00	48.00 W	0.00	15 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	24.01	11.01 W	0.00	16 of 21 - Option sale to close-put <sup>25</sup>
	3.000	38.99	06/17/20	66.02	27.03 W	0.00	17 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	35.00	22.00 W	0.00	18 of 21 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWTR 07/17/2020 PUT \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	13.00	06/17/20	61.02	48.02 W	0.00	19 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	61.01	48.01 W	0.00	20 of 21 - Option sale to close-put <sup>25</sup>
	1.000	13.00	06/17/20	61.03	48.03 W	0.00	21 of 21 - Option sale to close-put <sup>25</sup>
06/24/20	26.000	337.96	Various	1,191.26	853.30 W	0.00	Total of 21 transactions
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	32.99	06/19/20	54.01	...	-21.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	32.99	06/19/20	54.00	...	-21.01	2 of 2 - Option sale to close-put <sup>25</sup>
06/26/20	2.000	65.98	Various	108.01	...	-42.03	Total of 2 transactions
	7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/25/20	21.01	...	-21.01	1 of 7 - Option expiration- long put
	2.000	0.00	06/26/20	92.02	...	-92.02	2 of 7 - Option expiration- long put
	1.000	0.00	06/26/20	48.01	...	-48.01	3 of 7 - Option expiration- long put
	1.000	0.00	07/01/20	55.03	...	-55.03	4 of 7 - Option expiration- long put
	1.000	0.00	07/01/20	55.02	...	-55.02	5 of 7 - Option expiration- long put
	1.000	0.00	07/01/20	55.01	...	-55.01	6 of 7 - Option expiration- long put
	1.000	0.00	07/01/20	29.00	...	-29.00	7 of 7 - Option expiration- long put
07/17/20	8.000	0.00	Various	355.10	...	-355.10	Total of 7 transactions
	<b>Security total:</b>	<b>1,682.71</b>		<b>2,966.47</b>	<b>1,129.47 W</b>	<b>-154.29</b>	
TWTR 07/17/2020 PUT \$32.00 / CUSIP: / Symbol:							
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	113.98	06/19/20	77.00	...	36.98	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	113.99	06/19/20	77.00	...	36.99	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	113.99	06/19/20	77.00	...	36.99	3 of 3 - Option sale to close-put <sup>25</sup>
06/22/20	3.000	341.96	Various	231.00	...	110.96	Total of 3 transactions
06/24/20	1.000	164.98	06/22/20	106.00	...	58.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>506.94</b>		<b>337.00</b>	<b>...</b>	<b>169.94</b>	

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TWTR 08/21/2020 PUT \$31.00 / CUSIP: / Symbol:							
4 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/16/20	140.00	...	-140.00	1 of 4 - Option expiration- long put
	1.000	0.00	07/23/20	15.00	...	-15.00	2 of 4 - Option expiration- long put
	1.000	0.00	07/23/20	15.00	...	-15.00	3 of 4 - Option expiration- long put
	2.000	0.00	08/04/20	30.00	...	-30.00	4 of 4 - Option expiration- long put
08/21/20	5.000	0.00	Various	200.00	...	-200.00	Total of 4 transactions
TWTR 09/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
05/20/20	2.000	447.98	05/19/20	362.00	...	85.98	Option sale to close-call <sup>25</sup>
TXMD 06/19/2020 CALL \$1.50 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	06/03/20	10.00	...	-0.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.99	06/03/20	20.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/08/20	3.000	29.98	Various	30.00	...	-0.02	Total of 2 transactions
TZA 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/06/20	72.00	...	-72.00	1 of 2 - Option expiration- long call
	2.000	0.00	05/07/20	20.00	...	-20.00	2 of 2 - Option expiration- long call
05/15/20	6.000	0.00	Various	92.00	...	-92.00	Total of 2 transactions
UAA 05/29/2020 CALL \$9.50 / CUSIP: / Symbol:							
05/12/20	1.000	38.98	05/11/20	35.00	...	3.98	Option sale to close-call <sup>25</sup>
UAL 10/16/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/08/20	1.000	374.98	08/28/20	340.00	...	34.98	Option sale to close-call <sup>25</sup>
UBER 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
07/16/20	1.000	153.98	07/07/20	194.00	...	-40.02	Option sale to close-call <sup>25</sup>
UBER 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/16/20	1.000	166.98	06/15/20	141.00	...	25.98	Option sale to close-call <sup>25</sup>
07/01/20	1.000	17.98	06/24/20	50.00	...	-32.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>184.96</b>		<b>191.00</b>	...	<b>-6.04</b>	

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 08/07/2020 CALL \$32.00 / CUSIP: / Symbol:							
08/05/20	1.000	186.98	08/03/20	86.00	...	100.98	Option sale to close-call <sup>25</sup>
UBER 08/28/2020 CALL \$30.00 / CUSIP: / Symbol:							
08/21/20	1.000	199.98	08/20/20	66.00	...	133.98	Option sale to close-call <sup>25</sup>
UPS 08/21/2020 PUT \$127.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	4.000	7.98	08/21/20	0.00	...	7.98	1 of 2 - Short sale closed- put expired
	1.000	92.98	08/21/20	0.00	...	92.98	Option written: 08/12/20
							2 of 2 - Short sale closed- put expired
							Option written: 07/30/20
08/21/20	5.000	100.96	Various	0.00	...	100.96	Total of 2 transactions
UPS 08/21/2020 PUT \$135.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/30/20	263.00	...	-263.00	1 of 2 - Option expiration- long put
	4.000	0.00	08/12/20	28.00	...	-28.00	2 of 2 - Option expiration- long put
08/21/20	5.000	0.00	Various	291.00	...	-291.00	Total of 2 transactions
UPWK 08/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/13/20	1.000	59.98	08/12/20	55.00	...	4.98	Option sale to close-call <sup>25</sup>
UPWK 08/21/2020 CALL \$17.50 / CUSIP: / Symbol:							
08/05/20	1.000	64.98	08/03/20	148.00	...	-83.02	Option sale to close-call <sup>25</sup>
UPWK 09/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
08/25/20	1.000	69.98	08/24/20	60.00	...	9.98	Option sale to close-call <sup>25</sup>
USB 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/05/20	1.000	149.98	06/04/20	41.00	...	108.98	Option sale to close-call <sup>25</sup>
UVXY 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
07/13/20	1.000	1,236.96	07/07/20	1,310.00	...	-73.04	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
07/14/20	1.000	30.97	07/13/20	0.00	...	30.97	Short sale closed- call <sup>25</sup> Option written: 07/07/20
UVXY 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
09/18/20	1.000	174.98	09/10/20	208.00	...	-33.02	Option sale to close-call <sup>25</sup>
UVXY 03/19/2021 CALL \$20.00 / CUSIP: / Symbol:							
12/29/20	2.000	385.98	12/28/20	334.00	...	51.98	Option sale to close-call <sup>25</sup>
UVXY 05/15/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	5.000	274.98	05/13/20	180.00	...	94.98	1 of 3 - Option sale to close-call <sup>25</sup>
	5.000	274.98	05/13/20	160.00	...	114.98	2 of 3 - Option sale to close-call <sup>25</sup>
	4.000	219.99	05/13/20	100.00	...	119.99	3 of 3 - Option sale to close-call <sup>25</sup>
05/14/20	14.000	769.95	Various	440.00	...	329.95	Total of 3 transactions
7 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/13/20	25.00	...	-25.00	1 of 7 - Option expiration- long call
	30.000	0.00	05/14/20	750.00	...	-750.00	2 of 7 - Option expiration- long call
	10.000	0.00	05/14/20	650.00	...	-650.00	3 of 7 - Option expiration- long call
	1.000	0.00	05/14/20	65.00	...	-65.00	4 of 7 - Option expiration- long call
	1.000	0.00	05/14/20	65.00	...	-65.00	5 of 7 - Option expiration- long call
	1.000	0.00	05/14/20	65.00	...	-65.00	6 of 7 - Option expiration- long call
	1.000	0.00	05/14/20	65.00	...	-65.00	7 of 7 - Option expiration- long call
05/15/20	45.000	0.00	Various	1,685.00	...	-1,685.00	Total of 7 transactions
	<b>Security total:</b>	<b>769.95</b>		<b>2,125.00</b>	...	<b>-1,355.05</b>	
UVXY 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	44.00	05/06/20	71.00	...	-27.00	1 of 6 - Option sale to close-call <sup>25</sup>
	1.000	44.00	05/11/20	11.00	...	33.00	2 of 6 - Option sale to close-call <sup>25</sup>
	1.000	44.00	05/11/20	11.00	...	33.00	3 of 6 - Option sale to close-call <sup>25</sup>
	2.000	87.99	05/12/20	20.00	...	67.99	4 of 6 - Option sale to close-call <sup>25</sup>
	3.000	131.98	05/12/20	18.00	...	113.98	5 of 6 - Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 05/15/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	5.000	219.98	05/12/20	30.00	...	189.98	6 of 6 - Option sale to close-call <sup>25</sup>
05/13/20	13.000	571.95	Various	161.00	...	410.95	Total of 6 transactions
UVXY 05/22/2020 CALL \$38.50 / CUSIP: / Symbol:							
05/14/20	1.000	959.96	05/11/20	206.00	...	753.96	Option sale to close-call <sup>25</sup>
UVXY 05/22/2020 CALL \$39.00 / CUSIP: / Symbol:							
05/21/20	1.000	87.98	05/20/20	108.00	...	-20.02	Option sale to close-call <sup>25</sup>
UVXY 05/22/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/15/20	1.000	-685.02	05/14/20	0.00	...	-685.02	Short sale closed- call <sup>25</sup> Option written: 05/11/20
UVXY 05/29/2020 PUT \$29.00 / CUSIP: / Symbol:							
05/29/20	1.000	0.00	05/26/20	54.00	...	-54.00	Option expiration- long put
UVXY 06/05/2020 CALL \$35.00 / CUSIP: / Symbol:							
06/03/20	1.000	31.98	06/01/20	188.00	...	-156.02	Option sale to close-call <sup>25</sup>
UVXY 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
05/29/20	1.000	282.98	05/29/20	360.00	...	-77.02	Option sale to close-call <sup>25</sup>
UVXY 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/15/20	1.000	139.98	06/12/20	550.00	...	-410.02	Option sale to close-call <sup>25</sup>
UVXY 06/19/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/13/20	1.000	424.98	05/11/20	196.00	...	228.98	Option sale to close-call <sup>25</sup>
UVXY 06/19/2020 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	50.00	05/26/20	61.00	...	-11.00	1 of 3 - Option sale to close-call <sup>25</sup>
	2.000	99.99	06/05/20	12.00	...	87.99	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	99.99	06/09/20	12.00	...	87.99	3 of 3 - Option sale to close-call <sup>25</sup>
06/11/20	5.000	249.98	Various	85.00	...	164.98	Total of 3 transactions

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 07/02/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	84.98	06/19/20	130.00	...	-45.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	84.98	06/19/20	130.00	...	-45.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/22/20	2.000	169.96	Various	260.00	...	-90.04	Total of 2 transactions
UVXY 07/02/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	38.98	06/18/20	104.00	...	-65.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	38.98	06/18/20	104.00	...	-65.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/22/20	2.000	77.96	Various	208.00	...	-130.04	Total of 2 transactions
UVXY 07/10/2020 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	236.98	06/22/20	175.00	...	61.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	236.98	06/23/20	116.00	...	120.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	236.98	06/23/20	116.00	...	120.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	236.98	06/23/20	116.00	...	120.98	4 of 4 - Option sale to close-call <sup>25</sup>
06/24/20	4.000	947.92	Various	523.00	...	424.92	Total of 4 transactions
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/24/20	251.00	...	-251.00	1 of 6 - Option expiration- long call
	1.000	0.00	06/29/20	78.00	...	-78.00	2 of 6 - Option expiration- long call
	2.000	0.00	07/01/20	54.00	...	-54.00	3 of 6 - Option expiration- long call
	2.000	0.00	07/01/20	48.00	...	-48.00	4 of 6 - Option expiration- long call
	2.000	0.00	07/01/20	48.00	...	-48.00	5 of 6 - Option expiration- long call
	1.000	0.00	07/01/20	24.00	...	-24.00	6 of 6 - Option expiration- long call
07/10/20	9.000	0.00	Various	503.00	...	-503.00	Total of 6 transactions
	<b>Security total:</b>	<b>947.92</b>		<b>1,026.00</b>	...	<b>-78.08</b>	

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	236.99	06/23/20	552.00	...	-315.01	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	236.99	07/06/20	148.00	...	88.99	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	236.99	07/10/20	114.00	...	122.99	3 of 3 - Option sale to close-call <sup>25</sup>
07/13/20	3.000	710.97	Various	814.00	...	-103.03	Total of 3 transactions
UVXY 07/17/2020 CALL \$50.00 / CUSIP: / Symbol:							
07/17/20	2.000	0.00	07/06/20	88.00	...	-88.00	Option expiration- long call
UVXY 07/17/2020 CALL \$60.00 / CUSIP: / Symbol:							
06/11/20	1.000	369.98	06/11/20	212.00	...	157.98	Option sale to close-call <sup>25</sup>
UVXY 07/17/2020 CALL \$70.00 / CUSIP: / Symbol:							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	-8.02	07/13/20	0.00	...	-8.02	1 of 3 - Short sale closed- call <sup>25</sup> Option written: 07/10/20
	1.000	3.98	07/13/20	0.00	...	3.98	2 of 3 - Short sale closed- call <sup>25</sup> Option written: 07/06/20
	1.000	119.98	07/13/20	0.00	...	119.98	3 of 3 - Short sale closed- call <sup>25</sup> Option written: 06/23/20
07/14/20	3.000	115.94	Various	0.00	...	115.94	Total of 3 transactions
UVXY 07/17/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	100.00	06/05/20	43.00	...	57.00	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	299.98	06/09/20	171.00	...	128.98	2 of 2 - Option sale to close-call <sup>25</sup>
06/11/20	4.000	399.98	Various	214.00	...	185.98	Total of 2 transactions
06/12/20	1.000	201.98	06/11/20	203.00	1.02 W	0.00	Option sale to close-call <sup>25</sup>
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	19.99	06/16/20	276.02	...	-256.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	39.99	06/29/20	136.00	...	-96.01	2 of 2 - Option sale to close-call <sup>25</sup>
07/01/20	3.000	59.98	Various	412.02	...	-352.04	Total of 2 transactions
	<b>Security total:</b>	<b>661.94</b>		<b>829.02</b>	<b>1.02 W</b>	<b>-166.06</b>	

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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UVXY 08/21/2020 CALL \$21.00 / CUSIP: / Symbol:							
08/21/20	1.000	13.98	08/18/20	56.00	...	-42.02	Option sale to close-call <sup>25</sup>
UVXY 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/20/20	1.000	38.98	08/07/20	79.00	40.02 W	0.00	Option sale to close-call <sup>25</sup>
08/24/20	1.000	34.98	08/07/20	119.02	84.04 W	0.00	Option sale to close-call <sup>25</sup>
08/28/20	3.000	185.98	08/18/20	78.00	...	107.98	Option sale to close-call <sup>25</sup>
08/28/20	1.000	62.00	08/10/20	141.04	79.04 W	0.00	Option sale to close-call <sup>25</sup>
09/01/20	1.000	42.98	08/18/20	105.04	...	-62.06	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>364.92</b>		<b>522.10</b>	<b>203.10 W</b>	<b>45.92</b>	
UVXY 12/18/2020 CALL \$24.00 / CUSIP: / Symbol:							
09/03/20	1.000	984.96	08/04/20	870.00	...	114.96	Option sale to close-call <sup>25</sup>
UVXY 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/28/20	1.000	906.96	07/23/20	998.00	91.04 W	0.00	Option sale to close-call <sup>25</sup>
07/29/20	1.000	926.96	07/23/20	1,089.04	...	-162.08	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,833.92</b>		<b>2,087.04</b>	<b>91.04 W</b>	<b>-162.08</b>	
UVXY 12/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/17/20	1.000	1,159.96	07/15/20	1,230.00	...	-70.04	Option sale to close-call <sup>25</sup>
UVXY 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
07/29/20	1.000	71.97	07/28/20	0.00	...	71.97	Short sale closed- call <sup>25</sup>
07/30/20	1.000	55.97	07/29/20	0.00	...	55.97	Option written: 07/23/20 Short sale closed- call <sup>25</sup>
09/04/20	1.000	-131.03	09/03/20	0.00	...	-131.03	Option written: 07/23/20 Short sale closed- call <sup>25</sup>
	<b>Security total:</b>	<b>-3.09</b>		<b>0.00</b>	<b>...</b>	<b>-3.09</b>	Option written: 08/04/20
UVXY 12/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
07/20/20	1.000	-6.03	07/17/20	0.00	...	-6.03	Short sale closed- call <sup>25</sup> Option written: 07/15/20

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UVXY 12/18/2020 CALL \$80.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	69.99	10/02/20	158.00	...	-88.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	139.99	10/13/20	154.00	...	-14.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/20	3.000	209.98	Various	312.00	...	-102.02	Total of 2 transactions
VALE 10/16/2020 CALL \$13.00 / CUSIP: / Symbol:							
09/21/20	1.000	7.98	09/18/20	25.00	...	-17.02	Option sale to close-call <sup>25</sup>
VCRA 10/16/2020 CALL \$35.00 / CUSIP: / Symbol:							
08/07/20	1.000	144.98	07/28/20	254.00	...	-109.02	Option sale to close-call <sup>25</sup>
VIAC 08/21/2020 CALL \$28.00 / CUSIP: / Symbol:							
08/14/20	1.000	99.98	08/13/20	35.00	...	64.98	Option sale to close-call <sup>25</sup>
VIAC 08/28/2020 CALL \$29.00 / CUSIP: / Symbol:							
08/17/20	1.000	34.98	08/14/20	91.00	...	-56.02	Option sale to close-call <sup>25</sup>
W 06/05/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/29/20	1.000	439.98	05/28/20	84.00	...	355.98	Option sale to close-call <sup>25</sup>
WDAY 08/28/2020 CALL \$250.00 / CUSIP: / Symbol:							
08/28/20	1.000	27.98	08/27/20	130.00	...	-102.02	Option sale to close-call <sup>25</sup>
WDAY 09/04/2020 CALL \$245.00 / CUSIP: / Symbol:							
08/27/20	1.000	286.98	08/27/20	283.00	...	3.98	Option sale to close-call <sup>25</sup>
WDC 04/17/2020 CALL \$46.00 / CUSIP: / Symbol:							
04/14/20	1.000	95.98	04/13/20	69.00	...	26.98	Option sale to close-call <sup>25</sup>
WDC 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/12/20	1.000	269.98	06/11/20	257.00	...	12.98	Option sale to close-call <sup>25</sup>
WDC 08/28/2020 CALL \$38.50 / CUSIP: / Symbol:							
08/14/20	1.000	32.98	08/13/20	52.00	...	-19.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WFC 07/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
7 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	06/08/20	166.00	...	-166.00	1 of 7 - Option expiration- long call
	1.000	0.00	06/12/20	50.00	...	-50.00	2 of 7 - Option expiration- long call
	2.000	0.00	06/16/20	88.00	...	-88.00	3 of 7 - Option expiration- long call
	4.000	0.00	06/18/20	120.00	...	-120.00	4 of 7 - Option expiration- long call
	10.000	0.00	06/24/20	110.00	...	-110.00	5 of 7 - Option expiration- long call
	8.000	0.00	06/25/20	88.00	...	-88.00	6 of 7 - Option expiration- long call
	2.000	0.00	06/25/20	22.00	...	-22.00	7 of 7 - Option expiration- long call
07/17/20	28.000	0.00	Various	644.00	...	-644.00	Total of 7 transactions
WING 06/19/2020 PUT \$80.00 / CUSIP: / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/06/20	90.00	...	-90.00	1 of 3 - Option expiration- long put
	1.000	0.00	05/20/20	35.00	...	-35.00	2 of 3 - Option expiration- long put
	1.000	0.00	05/21/20	20.00	...	-20.00	3 of 3 - Option expiration- long put
06/19/20	3.000	0.00	Various	145.00	...	-145.00	Total of 3 transactions
WKHS 10/16/2020 CALL \$32.00 / CUSIP: / Symbol:							
10/13/20	1.000	89.98	10/12/20	215.00	...	-125.02	Option sale to close-call <sup>25</sup>
WMT 05/15/2020 CALL \$128.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/13/20	14.00	...	-14.00	1 of 3 - Option expiration- long call
	1.000	0.00	05/13/20	14.00	...	-14.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/13/20	13.00	...	-13.00	3 of 3 - Option expiration- long call
05/15/20	3.000	0.00	Various	41.00	...	-41.00	Total of 3 transactions
WMT 05/15/2020 CALL \$140.00 / CUSIP: / Symbol:							
4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/27/20	64.00	...	-64.00	1 of 4 - Option expiration- long call
	3.000	0.00	05/06/20	9.00	...	-9.00	2 of 4 - Option expiration- long call
	2.000	0.00	05/06/20	6.00	...	-6.00	3 of 4 - Option expiration- long call
	1.000	0.00	05/06/20	3.00	...	-3.00	4 of 4 - Option expiration- long call
05/15/20	8.000	0.00	Various	82.00	...	-82.00	Total of 4 transactions

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WMT 07/10/2020 CALL \$125.00 / CUSIP: / Symbol:							
07/07/20	1.000	243.98	07/07/20	130.00	...	113.98	Option sale to close-call <sup>25</sup>
WMT 08/21/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/19/20	1.000	399.98	06/18/20	390.00	...	9.98	Option sale to close-call <sup>25</sup>
WMT 10/02/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/28/20	1.000	54.98	09/28/20	76.00	...	-21.02	Option sale to close-call <sup>25</sup>
WORK 05/15/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/05/20	1.000	44.98	04/21/20	275.00	230.02 W	0.00	Option sale to close-call <sup>25</sup>
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	1.000	189.99	04/21/20	275.00	...	-85.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	189.99	05/04/20	275.02	...	-85.03	2 of 2 - Option sale to close-call <sup>25</sup>
05/08/20	2.000	379.98	Various	550.02	...	-170.04	Total of 2 transactions
	<b>Security total:</b>	<b>424.96</b>		<b>825.02</b>	<b>230.02 W</b>	<b>-170.04</b>	
WORK 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/03/20	154.00	...	-154.00	1 of 2 - Option expiration- long call
	2.000	0.00	06/05/20	30.00	...	-30.00	2 of 2 - Option expiration- long call
06/19/20	3.000	0.00	Various	184.00	...	-184.00	Total of 2 transactions
WORK 07/17/2020 CALL \$34.00 / CUSIP: / Symbol:							
07/08/20	1.000	110.98	07/08/20	118.00	...	-7.02	Option sale to close-call <sup>25</sup>
WORK 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.00	06/05/20	55.00	...	-55.00	1 of 3 - Option expiration- long call
	1.000	0.00	06/05/20	55.00	...	-55.00	2 of 3 - Option expiration- long call
	1.000	0.00	06/16/20	30.00	...	-30.00	3 of 3 - Option expiration- long call
07/17/20	3.000	0.00	Various	140.00	...	-140.00	Total of 3 transactions
WORK 08/21/2020 CALL \$28.50 / CUSIP: / Symbol:							
07/31/20	1.000	180.98	07/29/20	185.00	...	-4.02	Option sale to close-call <sup>25</sup>

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Account 136566486

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORK 08/21/2020 CALL \$32.50 / CUSIP: / Symbol:							
08/03/20	1.000	4.98	07/31/20	0.00	...	4.98	Short sale closed- call <sup>25</sup> Option written: 07/29/20
WORK 09/04/2020 CALL \$35.00 / CUSIP: / Symbol:							
09/04/20	1.000	0.00	09/01/20	75.00	...	-75.00	Option expiration- long call
WORK 09/04/2020 PUT \$32.00 / CUSIP: / Symbol:							
09/03/20	1.000	114.98	09/02/20	13.00	...	101.98	Option sale to close-put <sup>25</sup>
WORK 09/11/2020 CALL \$32.50 / CUSIP: / Symbol:							
09/01/20	1.000	394.98	08/28/20	247.00	...	147.98	Option sale to close-call <sup>25</sup>
WYNN 06/12/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/03/20	1.000	55.98	06/02/20	37.00	...	18.98	Option sale to close-call <sup>25</sup>
XLE 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/18/20	1.000	51.98	08/12/20	109.00	...	-57.02	Option sale to close-call <sup>25</sup>
XLE 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
08/24/20	1.000	102.98	08/21/20	106.00	...	-3.02	Option sale to close-call <sup>25</sup>
XLF 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
	2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	1.000	19.98	05/29/20	24.01	...	-4.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	19.99	05/29/20	22.00	...	-2.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/01/20	2.000	39.97	Various	46.01	...	-6.04	Total of 2 transactions
06/01/20	1.000	19.99	05/29/20	22.00	2.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>59.96</b>		<b>68.01</b>	<b>2.01 W</b>	<b>-6.04</b>	
XLNX 07/31/2020 CALL \$111.00 / CUSIP: / Symbol:							
07/27/20	2.000	271.98	07/21/20	272.00	...	-0.02	Option sale to close-call <sup>25</sup>
XLNX 08/07/2020 CALL \$125.00 / CUSIP: / Symbol:							
	4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	07/30/20	90.00	...	-90.00	1 of 4 - Option expiration- long call
	1.000	0.00	07/30/20	44.00	...	-44.00	2 of 4 - Option expiration- long call

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XLNX 08/07/2020 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
	4.000	0.00	07/31/20	16.00	...	-16.00	3 of 4 - Option expiration- long call
	2.000	0.00	07/31/20	8.00	...	-8.00	4 of 4 - Option expiration- long call
08/07/20	9.000	0.00	Various	158.00	...	-158.00	Total of 4 transactions
YY 05/15/2020 CALL \$70.00 / CUSIP: / Symbol:							
05/15/20	3.000	0.00	05/07/20	15.00	...	-15.00	Option expiration- long call
ZEN 06/19/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/21/20	1.000	159.98	05/20/20	134.00	...	25.98	Option sale to close-call <sup>25</sup>
ZEN 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/31/20	40.00	...	-40.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/31/20	20.00	...	-20.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	60.00	...	-60.00	Total of 2 transactions
ZM 08/21/2020 CALL \$280.00 / CUSIP: / Symbol:							
07/23/20	1.000	639.97	07/21/20	950.00	...	-310.03	Option sale to close-call <sup>25</sup>
ZNGA 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/01/20	3.000	155.98	05/29/20	114.00	...	41.98	Option sale to close-call <sup>25</sup>
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	45.99	06/01/20	118.00	...	-72.01	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	45.99	06/05/20	46.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
06/16/20	4.000	91.98	Various	164.00	...	-72.02	Total of 2 transactions
	<b>Security total:</b>	<b>247.96</b>		<b>278.00</b>	...	<b>-30.04</b>	
ZUO 09/18/2020 CALL \$17.00 / CUSIP: / Symbol:							
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/02/20	205.00	...	-205.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/02/20	133.00	...	-133.00	2 of 2 - Option expiration- long call
09/18/20	2.000	0.00	Various	338.00	...	-338.00	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
<i>7 transactions for 02/04/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	579.96	02/03/20	908.00	...	-328.04	1 of 7 - Sale <sup>25</sup>
	50.000	144.99	02/03/20	241.47	...	-96.48	2 of 7 - Sale <sup>25</sup>
	50.000	144.99	02/03/20	227.50	...	-82.51	3 of 7 - Sale <sup>25</sup>
	150.000	434.98	02/03/20	516.00	...	-81.02	4 of 7 - Sale <sup>25</sup>
	50.000	144.99	02/03/20	215.00	...	-70.01	5 of 7 - Sale <sup>25</sup>
	50.000	144.99	02/03/20	212.01	...	-67.02	6 of 7 - Sale <sup>25</sup>
	50.000	144.99	02/03/20	170.00	...	-25.01	7 of 7 - Sale <sup>25</sup>
02/04/20	600.000	1,739.89	Various	2,489.98	...	-750.09	Total of 7 transactions
<i>2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	893.94	02/03/20	1,220.94	327.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	297.98	02/03/20	382.00	84.02 W	0.00	2 of 2 - Sale <sup>25</sup>
02/04/20	400.000	1,191.92	Various	1,602.94	411.02 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,931.81</b>		<b>4,092.92</b>	<b>411.02 W</b>	<b>-750.09</b>	
AKAMAI TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 00971T101 / Symbol:							
<i>2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	0.097	9.30	04/29/20	9.23	...	0.07	1 of 2 - Sale <sup>25</sup>
	18.880	1,803.91	04/29/20	1,790.77	...	13.14	2 of 2 - Sale <sup>25</sup>
04/30/20	18.977	1,813.21	Various	1,800.00	...	13.21	Total of 2 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
<i>3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	0.417	84.64	05/08/20	84.35	...	0.29	1 of 3 - Sale <sup>25</sup>
	0.472	95.71	05/08/20	95.38	...	0.33	2 of 3 - Sale <sup>25</sup>
	9.000	1,826.51	05/08/20	1,820.27	...	6.24	3 of 3 - Sale <sup>25</sup>
05/11/20	9.889	2,006.86	Various	2,000.00	...	6.86	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
3 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	2.000	271.07	02/04/20	265.34	...	5.73	1 of 3 - Sale <sup>25</sup>
	3.000	406.61	02/04/20	398.83	...	7.78	2 of 3 - Sale <sup>25</sup>
	30.000	4,066.08	02/04/20	3,984.53	...	81.55	3 of 3 - Sale <sup>25</sup>
02/12/20	35.000	4,743.76	Various	4,648.70	...	95.06	Total of 3 transactions
IPATH SERIES B S&P GSCI CRUDE OIL ETN / CUSIP: 06745R693 / Symbol:							
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	68.493	373.98	04/03/20	397.19	...	-23.21	1 of 2 - Sale <sup>25</sup>
	0.484	2.64	04/03/20	2.81	...	-0.17	2 of 2 - Sale <sup>25</sup>
04/06/20	68.977	376.62	Various	400.00	...	-23.38	Total of 2 transactions
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	4.000	469.68	01/29/20	456.96	...	12.72	1 of 2 - Sale <sup>25</sup>
	30.000	3,522.60	01/29/20	3,422.96	...	99.64	2 of 2 - Sale <sup>25</sup>
01/30/20	34.000	3,992.28	Various	3,879.92	...	112.36	Total of 2 transactions
02/04/20	39.000	4,402.22	02/04/20	4,305.23	...	96.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>8,394.50</b>		<b>8,185.15</b>	...	<b>209.35</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
04/15/20	18.000	2,509.14	04/14/20	2,593.80	...	-84.66	Sale <sup>25</sup>
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	485.000	1,290.79	02/03/20	1,455.00	...	-164.21	1 of 2 - Sale <sup>25</sup>
	15.000	39.92	02/03/20	45.00	...	-5.08	2 of 2 - Sale <sup>25</sup>
02/04/20	500.000	1,330.71	Various	1,500.00	...	-169.29	Total of 2 transactions
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol:							
06/22/20	3.000	454.59	06/16/20	437.38	...	17.21	Sale <sup>25</sup>
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	3.000	454.59	06/16/20	455.97	1.38 W	0.00	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH VE LOCITYSHARES DAILY 2X VIX SHOR T TERM ETN / CUSIP: 22542D258 / Symbol: (cont'd)							
	3.000	454.58	06/16/20	471.26	16.68 W	0.00	2 of 2 - Sale <sup>25</sup>
06/22/20	6.000	909.17	Various	927.23	18.06 W	0.00	Total of 2 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	148.00	06/16/20	157.54	...	-9.54	1 of 3 - Sale <sup>25</sup>
	2.000	295.99	06/23/20	259.62	...	36.37	2 of 3 - Sale <sup>25</sup>
	3.000	443.99	06/23/20	404.73	...	39.26	3 of 3 - Sale <sup>25</sup>
06/24/20	6.000	887.98	Various	821.89	...	66.09	Total of 3 transactions
	<b>Security total:</b>	<b>2,251.74</b>		<b>2,186.50</b>	<b>18.06 W</b>	<b>83.30</b>	
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
05/04/20	344.000	289.64	05/01/20	291.99	2.35 W	0.00	Sale <sup>25</sup>
	4 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	344.000	292.42	05/06/20	293.03	...	-0.61	1 of 4 - Sale <sup>25</sup>
	29.000	24.65	05/06/20	24.51	...	0.14	2 of 4 - Sale <sup>25</sup>
	100.000	85.01	05/06/20	84.50	...	0.51	3 of 4 - Sale <sup>25</sup>
	527.000	447.98	05/06/20	445.32	...	2.66	4 of 4 - Sale <sup>25</sup>
05/07/20	1,000.000	850.06	Various	847.36	...	2.70	Total of 4 transactions
	<b>Security total:</b>	<b>1,139.70</b>		<b>1,139.35</b>	<b>2.35 W</b>	<b>2.70</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
	3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	90.397	457.40	04/07/20	462.82	...	-5.42	1 of 3 - Sale <sup>25</sup>
	0.535	2.71	04/07/20	2.76	...	-0.05	2 of 3 - Sale <sup>25</sup>
	0.068	0.34	04/07/20	0.36	...	-0.02	3 of 3 - Sale <sup>25</sup>
04/08/20	91.000	460.45	Various	465.94	...	-5.49	Total of 3 transactions
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	0.068	0.34	04/07/20	0.35	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.535	2.72	04/07/20	2.74	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
04/08/20	0.603	3.06	Various	3.09	0.03 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>463.51</b>		<b>469.03</b>	<b>0.03 W</b>	<b>-5.49</b>	
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
01/31/20	200.000	3,423.90	01/30/20	3,381.52	...	42.38	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EMX ROYALTY CORPORATION / CUSIP: 26873J107 / Symbol:							
01/29/20	370.000	685.92	01/29/20	698.93	13.01 W	0.00	Sale <sup>25</sup>
	<i>7 transactions for 02/03/20. Total proceeds and cost reported to the IRS.</i>						
	289.000	589.57	01/31/20	596.83	...	-7.26	1 of 7 - Sale <sup>25</sup>
	80.000	163.20	01/31/20	165.21	...	-2.01	2 of 7 - Sale <sup>25</sup>
	1.000	2.04	01/31/20	2.08	...	-0.04	3 of 7 - Sale <sup>25</sup>
	1.000	2.04	01/31/20	2.02	...	0.02	4 of 7 - Sale <sup>25</sup>
	1.000	2.04	01/31/20	2.01	...	0.03	5 of 7 - Sale <sup>25</sup>
	1.000	2.04	01/31/20	2.00	...	0.04	6 of 7 - Sale <sup>25</sup>
	131.000	267.24	01/31/20	265.93	...	1.31	7 of 7 - Sale <sup>25</sup>
02/03/20	504.000	1,028.17	Various	1,036.08	...	-7.91	Total of 7 transactions
	<b>Security total:</b>	<b>1,714.09</b>		<b>1,735.01</b>	<b>13.01 W</b>	<b>-7.91</b>	
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
01/29/20	100.000	3,262.47	01/29/20	3,230.46	...	32.01	Sale <sup>25</sup>
IMEDIA BRANDS, INC. CLASS A COMMON STOCK / CUSIP: 452465206 / Symbol:							
	<i>8 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>						
	150.000	580.47	01/17/20	774.00	...	-193.53	1 of 8 - Sale <sup>25</sup>
	100.000	386.99	01/17/20	516.00	...	-129.01	2 of 8 - Sale <sup>25</sup>
	81.000	313.46	01/17/20	417.96	...	-104.50	3 of 8 - Sale <sup>25</sup>
	31.000	119.97	01/17/20	199.96	...	-79.99	4 of 8 - Sale <sup>25</sup>
	53.000	205.10	01/17/20	273.48	...	-68.38	5 of 8 - Sale <sup>25</sup>
	16.000	61.92	01/17/20	123.84	...	-61.92	6 of 8 - Sale <sup>25</sup>
	3.000	11.61	01/17/20	19.35	...	-7.74	7 of 8 - Sale <sup>25</sup>
	1.000	3.94	01/17/20	5.16	...	-1.22	8 of 8 - Sale <sup>25</sup>
01/24/20	435.000	1,683.46	Various	2,329.75	...	-646.29	Total of 8 transactions
	<i>4 transactions for 01/24/20. Total proceeds and cost reported to the IRS.</i>						
	16.000	61.92	01/17/20	82.56	20.64 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	11.61	01/17/20	15.48	3.87 W	0.00	2 of 4 - Sale <sup>25</sup>
	16.000	61.92	01/17/20	103.20	41.28 W	0.00	3 of 4 - Sale <sup>25</sup>
	31.000	119.96	01/17/20	159.96	40.00 W	0.00	4 of 4 - Sale <sup>25</sup>
01/24/20	66.000	255.41	Various	361.20	105.79 W	0.00	Total of 4 transactions
02/03/20	499.000	1,771.35	01/17/20	2,574.84	...	-803.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,710.22</b>		<b>5,265.79</b>	<b>105.79 W</b>	<b>-1,449.78</b>	

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
04/03/20	75.000	405.75	04/02/20	493.91	...	-88.16	Sale <sup>25</sup>
NORDSTROM, INC. / CUSIP: 655664100 / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	0.287	5.31	04/17/20	5.18	...	0.13	1 of 2 - Sale <sup>25</sup>
	58.159	1,076.05	04/17/20	1,049.82	...	26.23	2 of 2 - Sale <sup>25</sup>
04/20/20	58.446	1,081.36	Various	1,055.00	...	26.36	Total of 2 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
11 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	18.000	7.97	04/01/20	7.22	...	0.75	1 of 11 - Sale <sup>25</sup>
	30.000	13.28	04/01/20	12.03	...	1.25	2 of 11 - Sale <sup>25</sup>
	100.000	44.27	04/01/20	40.11	...	4.16	3 of 11 - Sale <sup>25</sup>
	100.000	44.27	04/01/20	40.11	...	4.16	4 of 11 - Sale <sup>25</sup>
	100.000	44.27	04/01/20	40.11	...	4.16	5 of 11 - Sale <sup>25</sup>
	100.000	44.27	04/01/20	40.10	...	4.17	6 of 11 - Sale <sup>25</sup>
	200.000	88.53	04/01/20	80.22	...	8.31	7 of 11 - Sale <sup>25</sup>
	300.000	132.80	04/01/20	120.33	...	12.47	8 of 11 - Sale <sup>25</sup>
	300.000	132.80	04/01/20	120.33	...	12.47	9 of 11 - Sale <sup>25</sup>
	1,000.000	442.66	04/01/20	394.30	...	48.36	10 of 11 - Sale <sup>25</sup>
	1.000	0.44	04/02/20	0.34	...	0.10	11 of 11 - Sale <sup>25</sup>
04/07/20	2,249.000	995.56	Various	895.20	...	100.36	Total of 11 transactions
9 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	400.000	177.07	03/31/20	181.64	4.57 W	0.00	1 of 9 - Sale <sup>25</sup>
	100.000	44.27	03/31/20	45.41	1.14 W	0.00	2 of 9 - Sale <sup>25</sup>
	400.000	177.07	03/31/20	181.64	4.57 W	0.00	3 of 9 - Sale <sup>25</sup>
	100.000	44.27	03/31/20	45.41	1.14 W	0.00	4 of 9 - Sale <sup>25</sup>
	700.000	309.87	03/31/20	317.87	8.00 W	0.00	5 of 9 - Sale <sup>25</sup>
	110.000	48.69	03/31/20	49.95	1.26 W	0.00	6 of 9 - Sale <sup>25</sup>
	2,222.000	983.61	03/31/20	1,018.40	34.79 W	0.00	7 of 9 - Sale <sup>25</sup>
	90.000	39.84	03/31/20	41.25	1.41 W	0.00	8 of 9 - Sale <sup>25</sup>
	12.000	5.31	03/31/20	5.50	0.19 W	0.00	9 of 9 - Sale <sup>25</sup>
04/07/20	4,134.000	1,830.00	Various	1,887.07	57.07 W	0.00	Total of 9 transactions

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
<i>10 transactions for 04/13/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	5.24	04/07/20	5.21	...	0.03	1 of 10 - Sale <sup>25</sup>
	90.000	39.30	04/07/20	39.07	...	0.23	2 of 10 - Sale <sup>25</sup>
	100.000	43.67	04/07/20	42.98	...	0.69	3 of 10 - Sale <sup>25</sup>
	100.000	43.67	04/07/20	42.98	...	0.69	4 of 10 - Sale <sup>25</sup>
	110.000	48.03	04/07/20	47.28	...	0.75	5 of 10 - Sale <sup>25</sup>
	400.000	174.67	04/07/20	171.93	...	2.74	6 of 10 - Sale <sup>25</sup>
	400.000	174.67	04/07/20	171.93	...	2.74	7 of 10 - Sale <sup>25</sup>
	700.000	305.67	04/07/20	300.88	...	4.79	8 of 10 - Sale <sup>25</sup>
	2,222.000	970.28	04/07/20	964.48	...	5.80	9 of 10 - Sale <sup>25</sup>
	1,866.000	814.83	04/07/20	780.73	...	34.10	10 of 10 - Sale <sup>25</sup>
04/13/20	6,000.000	2,620.03	Various	2,567.47	...	52.56	Total of 10 transactions
<i>2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.61	04/13/20	0.47	...	0.14	1 of 2 - Sale <sup>25</sup>
	999.000	609.25	05/01/20	649.35	...	-40.10	2 of 2 - Sale <sup>25</sup>
05/06/20	1,000.000	609.86	Various	649.82	...	-39.96	Total of 2 transactions
07/01/20	1.000	1.44	05/01/20	0.65	...	0.79	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,056.89</b>		<b>6,000.21</b>	<b>57.07 W</b>	<b>113.75</b>	
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
<i>9 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>							
	0.016	0.24	03/19/20	0.20	...	0.04	1 of 9 - Sale <sup>25</sup>
	0.019	0.29	03/19/20	0.24	...	0.05	2 of 9 - Sale <sup>25</sup>
	0.027	0.41	03/19/20	0.34	...	0.07	3 of 9 - Sale <sup>25</sup>
	0.132	1.99	03/19/20	1.65	...	0.34	4 of 9 - Sale <sup>25</sup>
	0.626	9.39	03/19/20	7.80	...	1.59	5 of 9 - Sale <sup>25</sup>
	0.668	10.02	03/19/20	8.39	...	1.63	6 of 9 - Sale <sup>25</sup>
	2.912	43.67	03/19/20	36.62	...	7.05	7 of 9 - Sale <sup>25</sup>
	4.000	59.99	03/19/20	50.27	...	9.72	8 of 9 - Sale <sup>25</sup>
	32.374	485.60	03/19/20	403.71	...	81.89	9 of 9 - Sale <sup>25</sup>
03/27/20	40.774	611.60	Various	509.22	...	102.38	Total of 9 transactions

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol: (cont'd)							
3 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	0.205	3.94	05/07/20	3.84	...	0.10	1 of 3 - Sale <sup>25</sup>
	2.082	39.91	05/07/20	38.86	...	1.05	2 of 3 - Sale <sup>25</sup>
	45.918	880.40	05/07/20	857.30	...	23.10	3 of 3 - Sale <sup>25</sup>
05/08/20	48.205	924.25	Various	900.00	...	24.25	Total of 3 transactions
	<b>Security total:</b>	<b>1,535.85</b>		<b>1,409.22</b>	...	<b>126.63</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	16.735	1,050.12	03/30/20	1,119.93	...	-69.81	1 of 3 - Sale <sup>25</sup>
	12.849	818.45	03/30/20	859.85	...	-41.40	2 of 3 - Sale <sup>25</sup>
	0.151	9.48	03/30/20	10.59	...	-1.11	3 of 3 - Sale <sup>25</sup>
03/31/20	29.735	1,878.05	Various	1,990.37	...	-112.32	Total of 3 transactions
03/31/20	0.151	9.63	03/30/20	10.11	0.48 W	0.00	Sale <sup>25</sup>
05/14/20	6.000	244.08	05/11/20	229.02	...	15.06	Sale <sup>25</sup>
06/23/20	8.000	256.23	06/22/20	281.60	...	-25.37	Sale <sup>25</sup>
06/23/20	10.000	320.29	06/16/20	350.90	3.06 W	-27.55	Sale <sup>25</sup>
07/06/20	1.000	30.17	06/22/20	38.26	...	-8.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,738.45</b>		<b>2,900.26</b>	<b>3.54 W</b>	<b>-158.27</b>	
RIBBON COMMUNICATIONS INC. COM MON STOCK / CUSIP: 762544104 / Symbol:							
02/03/20	637.000	1,796.22	01/31/20	1,827.74	...	-31.52	Sale <sup>25</sup>
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
01/29/20	28.000	3,648.71	01/28/20	3,603.46	...	45.25	Sale <sup>25</sup>
3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	0.565	51.21	02/20/20	71.05	19.84 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.185	16.82	02/20/20	23.35	6.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	1,360.22	02/20/20	1,888.11	527.89 W	0.00	3 of 3 - Sale <sup>25</sup>
03/11/20	15.750	1,428.25	Various	1,982.51	554.26 W	0.00	Total of 3 transactions
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	19.250	1,299.14	02/20/20	2,423.06	458.59 W	-665.33	1 of 3 - Sale <sup>25</sup>
	0.185	12.52	02/20/20	29.88	17.36 W	0.00	2 of 3 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	0.565	38.11	02/20/20	90.92	52.81 W	0.00	3 of 3 - Sale <sup>25</sup>
03/18/20	20.000	1,349.77	Various	2,543.86	528.76 W	-665.33	Total of 3 transactions
	7 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	7.855	679.86	03/27/20	1,124.10	...	-444.24	1 of 7 - Sale <sup>25</sup>
	12.000	1,040.70	03/27/20	1,439.07	...	-398.37	2 of 7 - Sale <sup>25</sup>
	2.395	207.33	03/27/20	287.25	...	-79.92	3 of 7 - Sale <sup>25</sup>
	0.565	48.88	03/27/20	100.66	...	-51.78	4 of 7 - Sale <sup>25</sup>
	0.185	16.06	03/27/20	33.08	...	-17.02	5 of 7 - Sale <sup>25</sup>
	0.474	41.13	03/27/20	56.84	...	-15.71	6 of 7 - Sale <sup>25</sup>
	0.131	11.33	03/27/20	15.65	...	-4.32	7 of 7 - Sale <sup>25</sup>
03/30/20	23.605	2,045.29	Various	3,056.65	...	-1,011.36	Total of 7 transactions
	3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	0.426	54.08	05/05/20	52.38	...	1.70	1 of 3 - Sale <sup>25</sup>
	0.943	119.65	05/05/20	116.00	...	3.65	2 of 3 - Sale <sup>25</sup>
	7.574	961.89	05/05/20	931.62	...	30.27	3 of 3 - Sale <sup>25</sup>
05/06/20	8.943	1,135.62	Various	1,100.00	...	35.62	Total of 3 transactions
	<b>Security total:</b>	<b>9,607.64</b>		<b>12,286.48</b>	<b>1,083.02 W</b>	<b>-1,595.82</b>	
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
	2 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	700.000	1,749.87	01/30/20	1,974.00	...	-224.13	1 of 2 - Sale <sup>25</sup>
	300.000	749.95	01/30/20	845.70	...	-95.75	2 of 2 - Sale <sup>25</sup>
01/30/20	1,000.000	2,499.82	Various	2,819.70	...	-319.88	Total of 2 transactions
SNAP INC. / CUSIP: 83304A106 / Symbol:							
	4 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	88.343	795.06	03/11/20	955.63	...	-160.57	1 of 4 - Sale <sup>25</sup>
	45.959	410.04	03/11/20	497.15	...	-87.11	2 of 4 - Sale <sup>25</sup>
	0.372	3.32	03/11/20	4.69	...	-1.37	3 of 4 - Sale <sup>25</sup>
	0.286	2.57	03/11/20	3.61	...	-1.04	4 of 4 - Sale <sup>25</sup>
03/19/20	134.959	1,210.99	Various	1,461.08	...	-250.09	Total of 4 transactions

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SNAP INC. / CUSIP: 83304A106 / Symbol: (cont'd)							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	0.286	2.57	03/11/20	3.09	0.52 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.372	3.35	03/11/20	4.02	0.67 W	0.00	2 of 2 - Sale <sup>25</sup>
03/19/20	0.657	5.92	Various	7.11	1.19 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>1,216.91</b>		<b>1,468.19</b>	<b>1.19 W</b>	<b>-250.09</b>	
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
06/29/20	1.000	2.53	06/19/20	2.96	...	-0.43	Sale <sup>25</sup>
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	0.058	3.71	04/08/20	3.19	...	0.52	1 of 4 - Sale <sup>25</sup>
	0.672	43.01	04/08/20	36.93	...	6.08	2 of 4 - Sale <sup>25</sup>
	0.730	46.64	04/08/20	40.14	...	6.50	3 of 4 - Sale <sup>25</sup>
	11.270	721.26	04/08/20	619.74	...	101.52	4 of 4 - Sale <sup>25</sup>
04/09/20	12.730	814.62	Various	700.00	...	114.62	Total of 4 transactions
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
3 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	0.851	96.67	02/12/20	96.33	...	0.34	1 of 3 - Sale <sup>25</sup>
	4.423	502.48	02/12/20	500.63	...	1.85	2 of 3 - Sale <sup>25</sup>
	8.000	908.54	02/12/20	905.45	...	3.09	3 of 3 - Sale <sup>25</sup>
02/19/20	13.274	1,507.69	Various	1,502.41	...	5.28	Total of 3 transactions
3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	22.000	2,521.26	02/12/20	2,533.03	...	-11.77	1 of 3 - Sale <sup>25</sup>
	0.726	83.18	02/12/20	82.13	...	1.05	2 of 3 - Sale <sup>25</sup>
	5.000	573.01	02/12/20	565.91	...	7.10	3 of 3 - Sale <sup>25</sup>
02/20/20	27.726	3,177.45	Various	3,181.07	...	-3.62	Total of 3 transactions
	<b>Security total:</b>	<b>4,685.14</b>		<b>4,683.48</b>	...	<b>1.66</b>	

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TECK RESOURCES LIMITED / CUSIP: 878742204 / Symbol:							
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	0.322	2.54	04/16/20	2.54	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.211	1.67	04/16/20	1.67	...	0.00	2 of 4 - Sale <sup>25</sup>
	6.321	50.19	04/16/20	50.00	...	0.19	3 of 4 - Sale <sup>25</sup>
	18.939	150.61	04/16/20	149.81	...	0.80	4 of 4 - Sale <sup>25</sup>
04/17/20	25.793	205.01	Various	204.02	...	0.99	Total of 4 transactions
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	12.755	100.38	04/16/20	100.89	0.51 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.378	2.97	04/16/20	2.99	0.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	6.000	47.22	04/16/20	47.46	0.24 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.478	200.81	04/16/20	201.53	0.72 W	0.00	4 of 5 - Sale <sup>25</sup>
	63.000	497.79	04/16/20	498.33	0.54 W	0.00	5 of 5 - Sale <sup>25</sup>
04/17/20	107.610	849.17	Various	851.20	2.03 W	0.00	Total of 5 transactions
9 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	60.697	479.62	04/16/20	480.63	...	-1.01	1 of 9 - Sale <sup>25</sup>
	25.478	201.32	04/16/20	202.25	...	-0.93	2 of 9 - Sale <sup>25</sup>
	12.755	100.79	04/16/20	101.40	...	-0.61	3 of 9 - Sale <sup>25</sup>
	66.697	527.03	04/16/20	527.57	...	-0.54	4 of 9 - Sale <sup>25</sup>
	58.693	463.78	04/16/20	464.26	...	-0.48	5 of 9 - Sale <sup>25</sup>
	6.000	47.41	04/16/20	47.70	...	-0.29	6 of 9 - Sale <sup>25</sup>
	2.303	18.20	04/16/20	18.24	...	-0.04	7 of 9 - Sale <sup>25</sup>
	0.378	2.98	04/16/20	3.01	...	-0.03	8 of 9 - Sale <sup>25</sup>
	0.222	1.75	04/16/20	1.75	...	0.00	9 of 9 - Sale <sup>25</sup>
04/28/20	233.222	1,842.88	Various	1,846.81	...	-3.93	Total of 9 transactions
	<b>Security total:</b>	<b>2,897.06</b>		<b>2,902.03</b>	<b>2.03 W</b>	<b>-2.94</b>	

TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:

6 transactions for 03/27/20. Total proceeds and cost reported to the IRS.

	0.178	89.09	03/18/20	63.95	...	25.14	1 of 6 - Sale <sup>25</sup>
	0.468	233.81	03/18/20	167.82	...	65.99	2 of 6 - Sale <sup>25</sup>
	3.113	1,556.46	03/18/20	1,117.23	...	439.23	3 of 6 - Sale <sup>25</sup>
	0.013	6.38	03/19/20	5.44	...	0.94	4 of 6 - Sale <sup>25</sup>
	0.874	437.16	03/19/20	372.81	...	64.35	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	500.01	03/19/20	426.13	...	73.88	6 of 6 - Sale <sup>25</sup>
03/27/20	5.646	2,822.91	Various	2,153.38	...	669.53	Total of 6 transactions
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	0.015	7.59	03/27/20	7.64	0.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.861	1,417.27	03/27/20	1,426.36	9.09 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/20	2.876	1,424.86	Various	1,434.00	9.14 W	0.00	Total of 2 transactions
	6 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	0.001	0.29	03/30/20	0.30	0.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.015	7.73	03/30/20	7.85	0.12 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.980	494.50	03/30/20	502.12	7.62 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.001	0.29	03/30/20	0.31	0.02 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.002	0.98	03/30/20	1.01	0.03 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.880	943.99	03/30/20	963.58	19.59 W	0.00	6 of 6 - Sale <sup>25</sup>
04/01/20	2.878	1,447.78	Various	1,475.17	27.39 W	0.00	Total of 6 transactions
	3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	0.004	2.30	04/06/20	2.18	...	0.12	1 of 3 - Sale <sup>25</sup>
	0.009	4.92	04/06/20	4.67	...	0.25	2 of 3 - Sale <sup>25</sup>
	0.779	420.84	04/06/20	399.31	...	21.53	3 of 3 - Sale <sup>25</sup>
04/07/20	0.793	428.06	Various	406.16	...	21.90	Total of 3 transactions
	9 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	0.001	0.42	04/13/20	0.38	...	0.04	1 of 9 - Sale <sup>25</sup>
	0.002	1.41	04/13/20	1.25	...	0.16	2 of 9 - Sale <sup>25</sup>
	0.022	16.06	04/13/20	13.90	...	2.16	3 of 9 - Sale <sup>25</sup>
	0.200	145.03	04/13/20	127.01	...	18.02	4 of 9 - Sale <sup>25</sup>
	0.293	211.93	04/13/20	186.51	...	25.42	5 of 9 - Sale <sup>25</sup>
	0.587	425.13	04/13/20	373.84	...	51.29	6 of 9 - Sale <sup>25</sup>
	0.768	555.49	04/13/20	480.75	...	74.74	7 of 9 - Sale <sup>25</sup>
	1.000	723.54	04/13/20	636.76	...	86.78	8 of 9 - Sale <sup>25</sup>
	1.917	1,387.15	04/13/20	1,200.80	...	186.35	9 of 9 - Sale <sup>25</sup>
04/14/20	4.790	3,466.16	Various	3,021.20	...	444.96	Total of 9 transactions
	6 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	2.818	1,998.15	04/15/20	2,081.98	...	-83.83	1 of 6 - Sale <sup>25</sup>
	0.856	606.90	04/15/20	634.29	...	-27.39	2 of 6 - Sale <sup>25</sup>

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Account 136566486

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.121	85.53	04/15/20	92.69	...	-7.16	3 of 6 - Sale <sup>25</sup>
	0.101	71.77	04/15/20	77.78	...	-6.01	4 of 6 - Sale <sup>25</sup>
	0.061	43.31	04/15/20	46.92	...	-3.61	5 of 6 - Sale <sup>25</sup>
	0.043	30.34	04/15/20	31.71	...	-1.37	6 of 6 - Sale <sup>25</sup>
04/16/20	4.000	2,836.00	Various	2,965.37	...	-129.37	Total of 6 transactions
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	0.222	157.31	04/15/20	163.88	6.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.061	43.32	04/15/20	45.12	1.80 W	0.00	2 of 2 - Sale <sup>25</sup>
04/16/20	0.283	200.63	Various	209.00	8.37 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>12,626.40</b>		<b>11,664.28</b>	<b>44.90 W</b>	<b>1,007.02</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
	3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	27.510	750.17	04/09/20	772.48	...	-22.31	1 of 3 - Sale <sup>25</sup>
	0.349	9.51	04/09/20	10.07	...	-0.56	2 of 3 - Sale <sup>25</sup>
	0.141	3.86	04/09/20	4.08	...	-0.22	3 of 3 - Sale <sup>25</sup>
04/13/20	28.000	763.54	Various	786.63	...	-23.09	Total of 3 transactions
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	0.349	9.51	04/09/20	9.79	0.28 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.141	3.86	04/09/20	3.97	0.11 W	0.00	2 of 2 - Sale <sup>25</sup>
04/13/20	0.490	13.37	Various	13.76	0.39 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>776.91</b>		<b>800.39</b>	<b>0.39 W</b>	<b>-23.09</b>	
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
05/12/20	111.000	1,028.94	05/11/20	1,000.11	...	28.83	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
04/24/20	1.000	16.72	04/23/20	17.28	...	-0.56	Sale <sup>25</sup>

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Account 136566486

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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YOUNGEVITY INTERNATIONAL, INC. COMMON STOCK / CUSIP: 987537206 / Symbol:							
<i>2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>							
	85.000	176.79	04/07/20	199.75	...	-22.96	1 of 2 - Sale <sup>25</sup>
	40.000	83.20	04/07/20	94.00	...	-10.80	2 of 2 - Sale <sup>25</sup>
04/08/20	125.000	259.99	Various	293.75	...	-33.76	Total of 2 transactions
<b>Totals :</b>		<b>266,040.71</b>		<b>275,503.29</b>	<b>6,002.47 W</b>	<b>-3,460.11</b>	

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<b>Robinhood Securities LLC</b>	<b>Fees and Expenses</b>	Account 136566486
<b>2020</b>		02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/18/20	-5.00	Margin interest paid	
			<b>-5.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 136566486

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
GRPN 07/17/2020 CALL \$1.50	GRPN 07/17/20 C 1.500	06/11/20	-2.00	0.00	Merger	
GRPN1 07/17/2020 CALL \$1.50	GRPN1 07/17/20 C 1.500	06/11/20	2.00	0.00	Merger	
OXY 07/17/2020 CALL \$20.00	OXY 07/17/20 C 20.000	07/02/20	-2.00	0.00	Merger	
OXY2 07/17/2020 CALL \$20.00	OXY2 07/17/20 C 20.000	07/02/20	2.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

**Instructions for Recipient**

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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